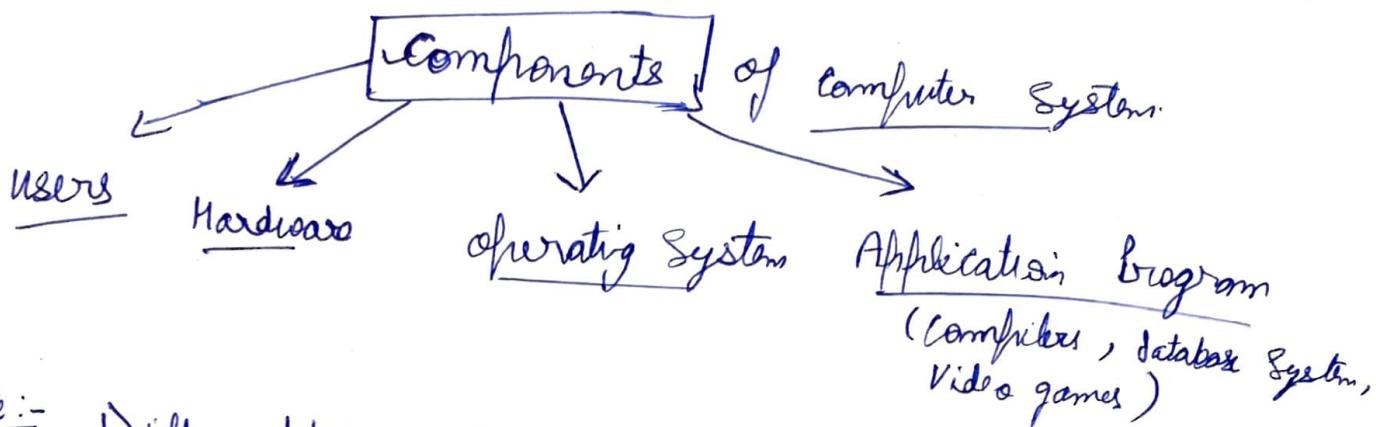


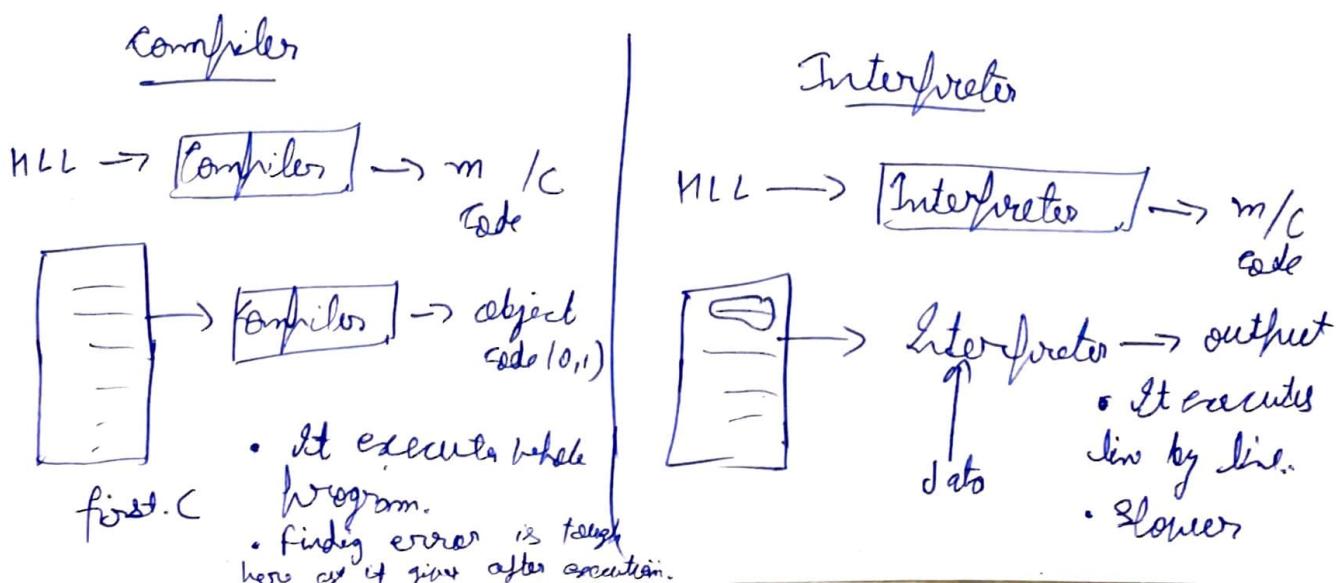
# Operating Systems:

- \* OS is a program that acts as intermediary b/w user of a computer and computer hardware.
- \* OS acts as control program & resource manager.
- \* " simplifies and manages the application programmes efficiently.



Note:- Diff. b/w compiler and assembler is that a compiler converts assembly lang. on the other hand, an assembler converts assembly level lang. code into machine lang. code.

Assembly lang. → Assembler → m/c code



- In interpreter, it will convert the HLL code into object code while the program is running means the execution of the program & the conversion or translation done ~~by~~ by the interpreter. But in Compiler, translation done before the execution.

Machine lang :- It is the low level programming lang.

It can be represented by 0s & 1s. To overcome this problem assembly lang is invented.

Assembly lang :- It is more than low level lang. and less than HLL. It uses numbers, symbols, abbreviations instead of 0s & 1s. For addition, subtraction it uses symbols like Add, Sub, etc.

## # Types of operating System :-

- 1) Batch (means batch of job)
- 2) Multi programmed \*
- 3) Multitasking \*
- 4) Real time OS.
- 5) Distributed
- 6) Clustered
- 7) Embedded  
Time-Sharing System.

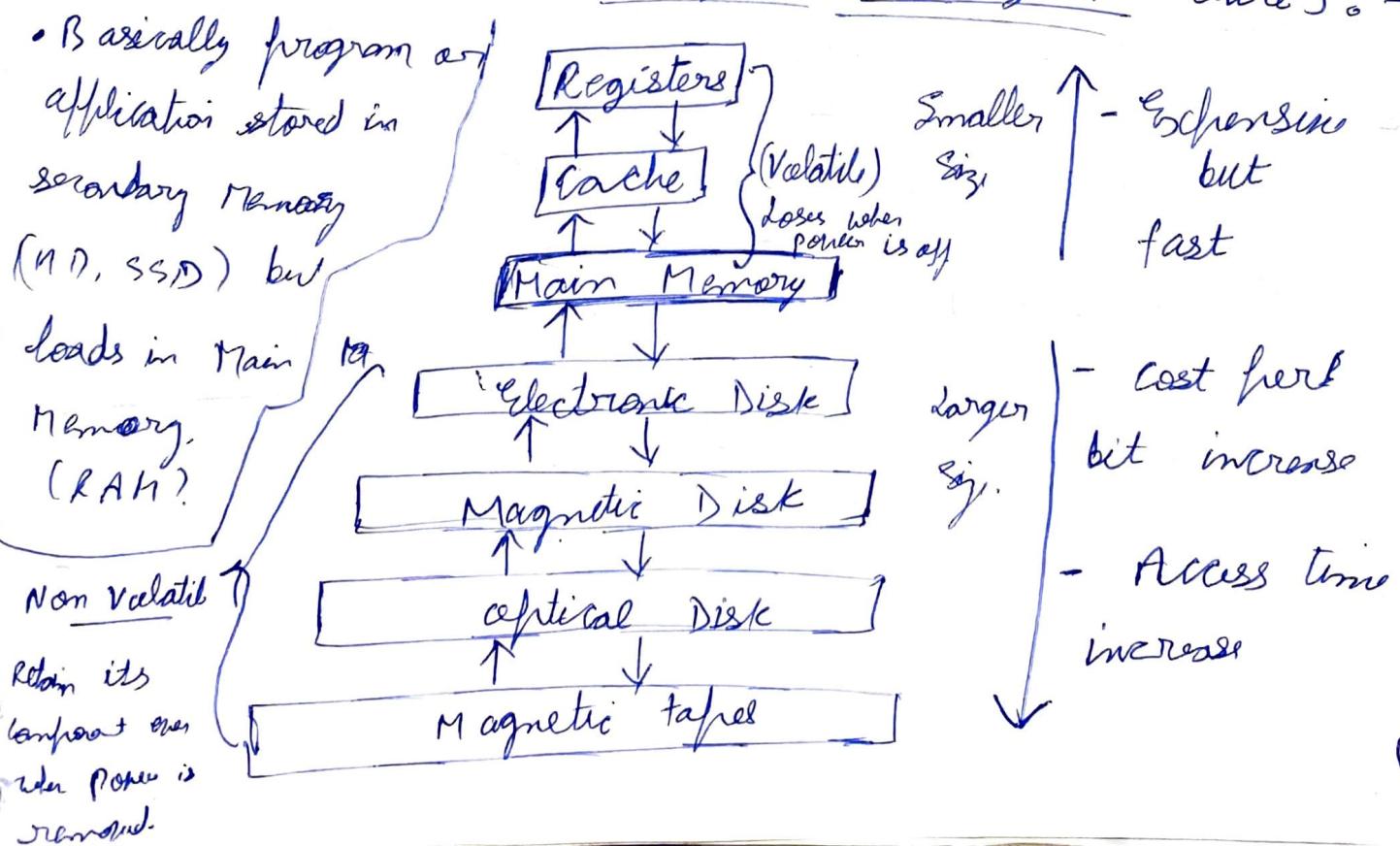
\* OS system

## ① Batch System :-

## Some Important terms :-

- 1) Bootstrap program - The initial program that runs when a computer is powered up or rebooted.
  - It is stored in ROM.
  - It must locate and load ~~the~~ <sup>into</sup> memory the OS kernel.
- 2) Interrupt - The occurrence of an event is usually signalled by an interrupt from Hardware or Software.
  - HW may ~~interrupt~~ \* trigger an interrupt at any time by executing a special operation called System call.
  - HW may trigger an interrupt at any time by sending a signal to the CPU, usually by the way of system bus.
- 3) System call - SW may ~~interrupt~~ trigger an interrupt by executing a special operation called system call. Also known as Monitor call.

## Basics of operating System (Storage Structure) :-



## → operating System Structure :-

- OS vary greatly in their makeup internally.
  - Commonalities :-
- i) Multiprogramming - It means the capability of running multiple programs by the CPU.
    - Basically multiprogrammed systems provide an environment in which the various system resources (e.g. CPU, memory, and peripheral devices), ~~but they~~ are utilized effectively, but they do not provide for user interaction with the computer system.
    - MP ↑ the CPU utilisation by organising jobs. So, that CPU always has one to execute.
  - ii) Time Sharing (Multitasking) :-
    - CPU executes multiple jobs by switching among them.
    - Switches occurs so frequently that the users can interact with each program while it is running.
    - A time-sharing op. system allows many users to share the computer simultaneously.

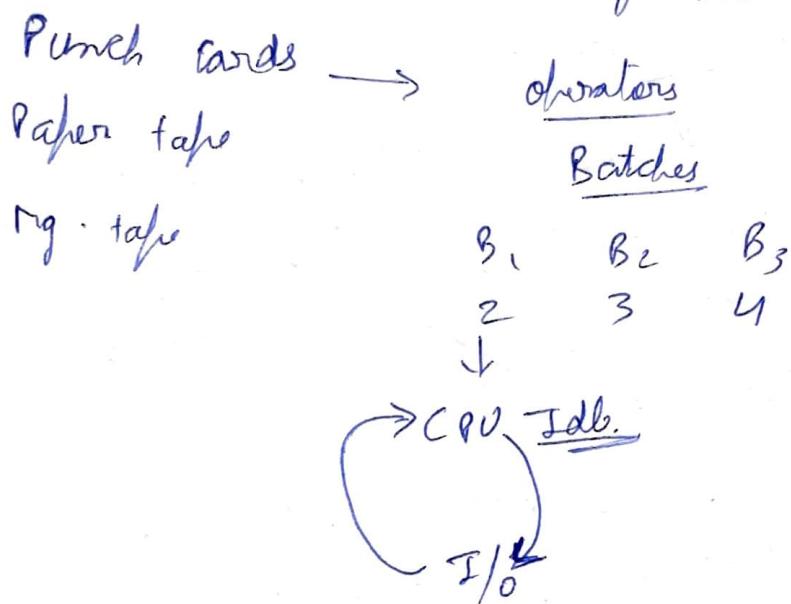
Note - A program loaded into memory and executing is called a "PROCESS".

## → Batch System :- (1960's)

Means similar kind of jobs ka ek batch bhar hai, aur vo hum computer ko deva hai tak ki vo execute kar saki.

Note:- Multiprog. makes sure that the CPU always has something to execute, thus ↑ the CPU utilisation. Or the low, T.S is the sharing of comp. resources among several work simultaneously.

- In this when a similar kind of job is executing by the CPU, during the execution of 1 program if it requires I/O till that CPU remains idle & instead of switching to next job. In ~~then~~ this there is let of Job time to CPU.



- After some refinements IBM launched its system in that Monitors are used instead of operator. → Fortran

JBS Y 7094

## → Parallel System

VS

## Distributed System

Memory: Tightly coupled sys.

Shared memory.

Control: global clock control.

### Processor

interconnection: order of Tbps

Main focus: Performance, scientific computing

loosely coupled sys.

distributed shared memory. or no global clock control.

order of Gbps

Performance (lat, scalability)  
 Reliability  
 Resource sharing.

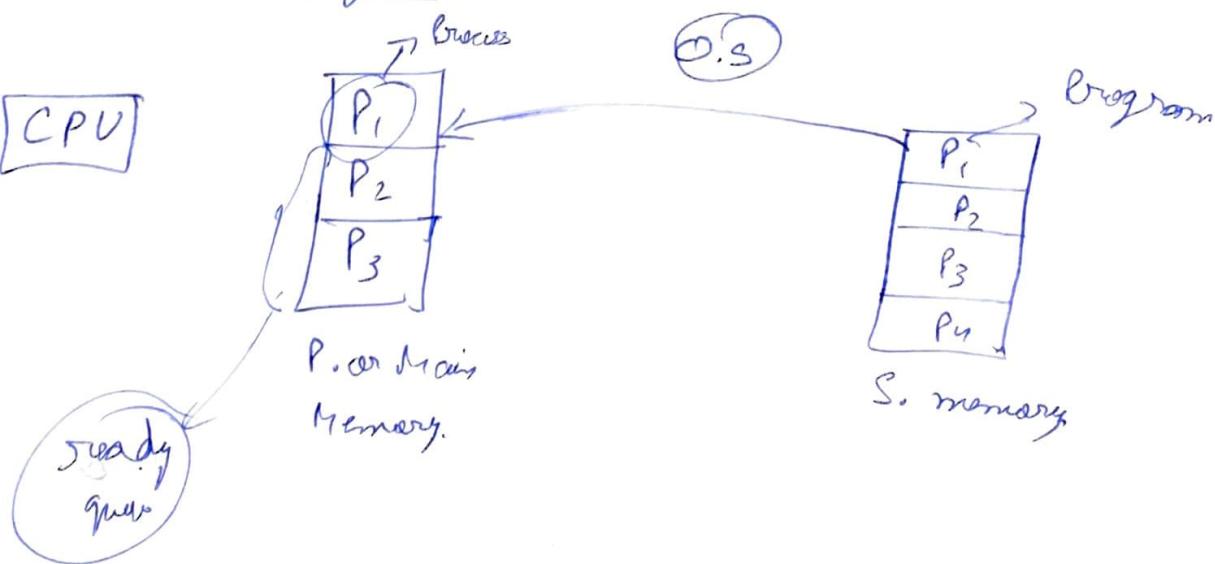
# System Call :- (Linux has about 300 sys. calls)

If a user uses any application, API or any program then we use it generally in user mode. But if I want to access any facility of OS then we need to access first kernel mode. So, for that to go into kernel mode we need System call. It is a programmatic way to shift kernel mode from VM. OS governs all hw devices so, to access those devices we need to access 1st K.S.

File Related  $\Rightarrow$  open(), Read(), Write(), close(), etc.

Information  $\Rightarrow$  get Pid, attributes, get system time and data.

→ Process Management :-



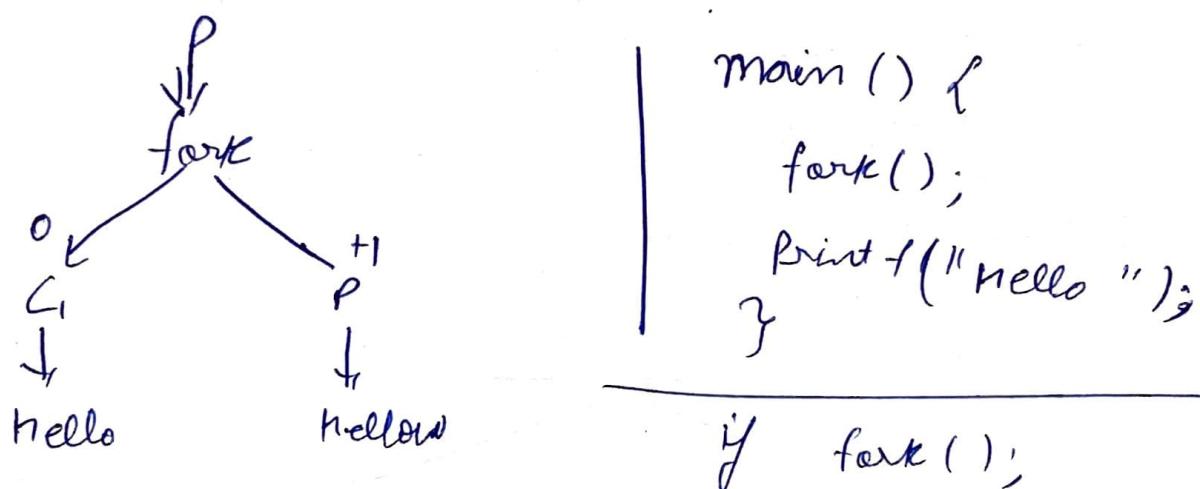
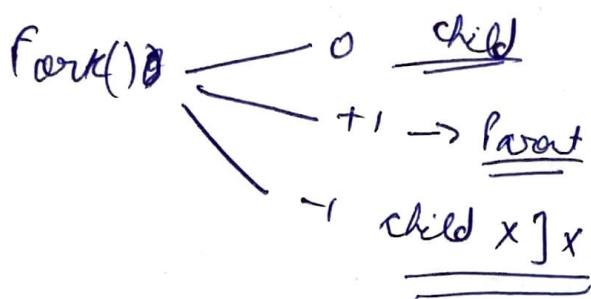
- The program under execution is called as Process.
- A thread is the unit of execution within a process.



## # The fork() and exec() System calls :-

Fork(): The fork() system is used to create a separate, duplicate process.

- It is used to create a child process.



• It returns 0 as child ID

∴  $2^n$  → fork.  
∴  $2^n$ , used to calc. total no. of Hello Proc.  
⇒ Total no. of child Process generated =  $2^n - 1$ .

Note:- fork() call se hamne walla process parent process ka exact same hoga but in exec(), main jo process create hoga join (child process) isme agar parent mai na kee tabbar similarity hoga.. It is

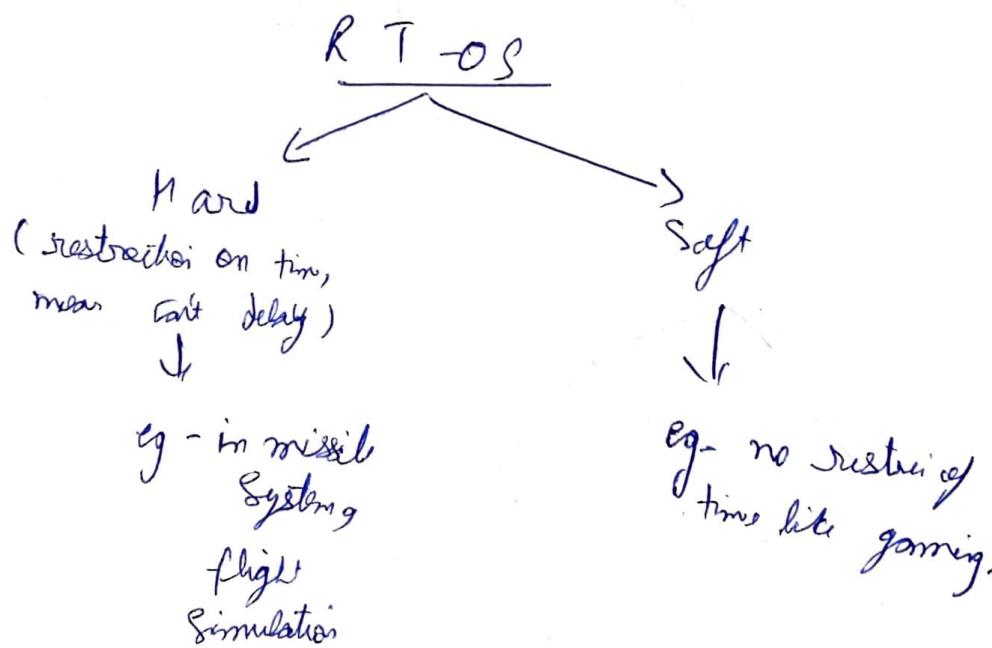
Toh isse basically address space, text segment, data segment, etc. kaa mka diff dekhne ko milta hai.

## # Week - 2 (Revise).

- Eg. of embedded OS - all phn, sensors and controllers.
- " Real time OS ex - aircraft control, multimedia services

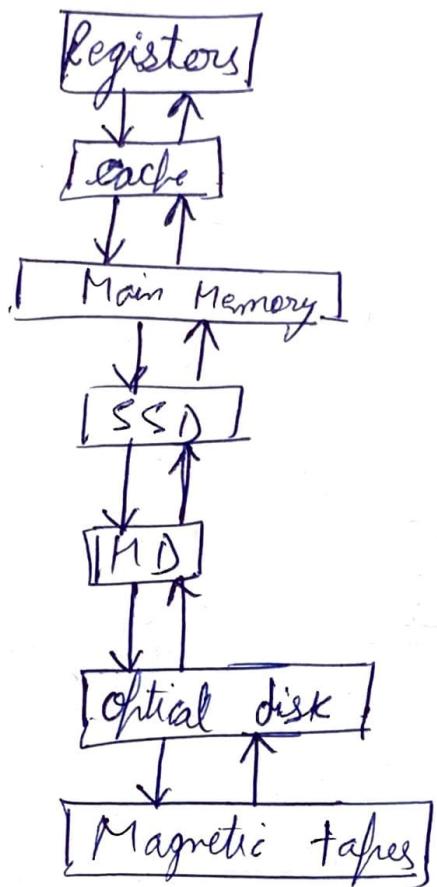
## # Real-Time OS:-

- Eg. live or video streaming th hoga, what is telling & what is listening is live means sharing in real time. This is known as real time environment.
- No delays, time matters allot.



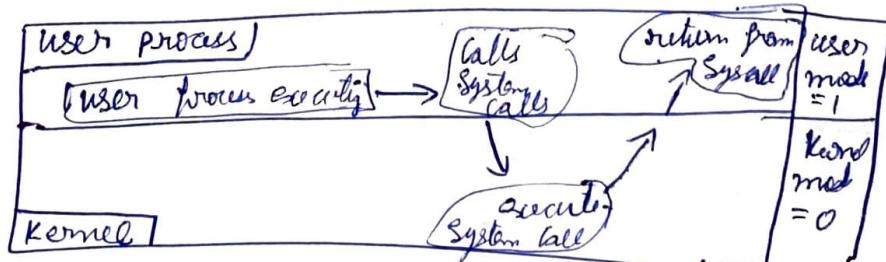
# Caching - process of copying info into faster storage system.

## # Storage Device Hierarchy :-



## # System Call :-

- We use syscall to provide an interface b/w a process and OS.
- A Syscall helps a program request service from the kernel.
- Kernel handles the Syscall.



- Traps are occurred by the user program to increase the func. of the OS.

## # OS - Task :-

- 1) Process Management
  - " creation & deletion.
  - " suspension & resumption.
  - " synchronization & interprocess communication.
- 2) Memory Management:
  - Allocates & deallocated memory to processes.
  - Manages multiple processes within memory.
  - " the sharing of memory b/w processes.
  - Determine which process to load when memory becomes available.
- 3) Secondary Storage and I/O Management:
  - Disk is the primary form of Secondary Storage.
  - OS - perform storage allocation, free-space management.
- 4) File management System :-
  - File creation & deletion.
  - Directory creation and deletion.
  - Supporting primitive for file / directory manipulation.
  - Mapping files to disks (2<sup>nd</sup>ary storage).
- 5) Protection And Security :-
  - Protection mechanisms control access of processes to user and system resources.
  - Protection mechanism must :-

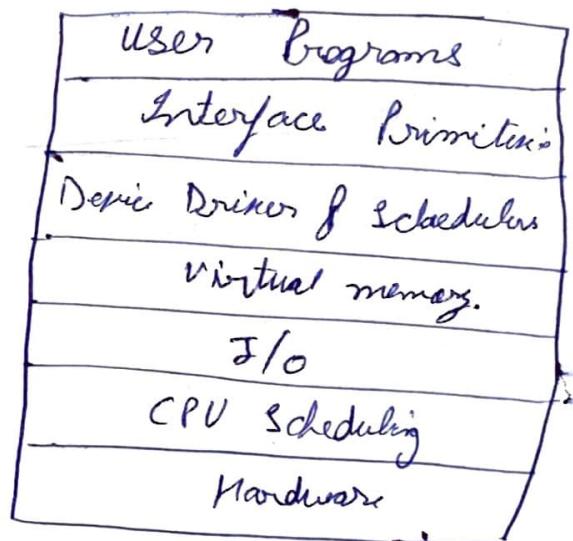
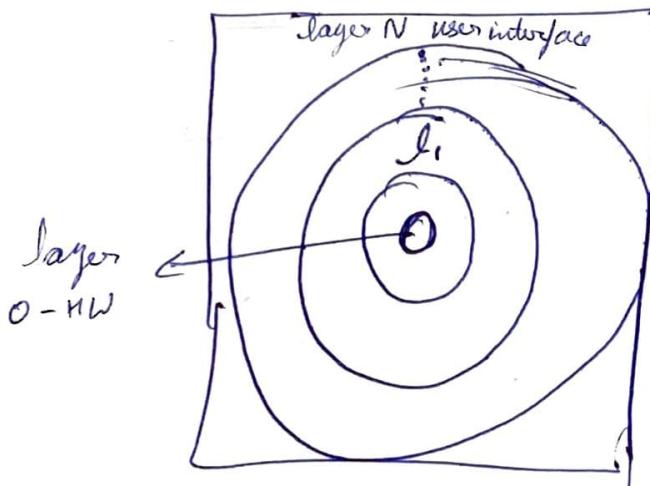
1) Distinguish b/w authorised and unauthorised user.

2) Specify access controls to be imposed on user.

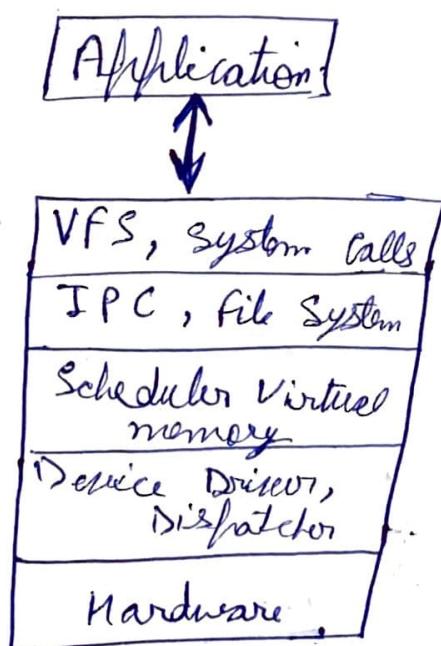
3) Provide mechanism for enforcement of access control.

## # Layered OS Structure :-

- OS divided into no. of layers - bottom layer is HW, highest layer is the user interface.



## # Monolithic Kernel :-



- More secure

Monolithic larger than microkernel. Fast b/w user, kernel  
same address space y.

Hard to extend.

Some exception OS crash.  
Linux, BSD, Windows (95, 98, Me),  
DOS, etc.

Provides CPU Scheduling, memory management, File management through syscalls. ↓  
OS funcn.

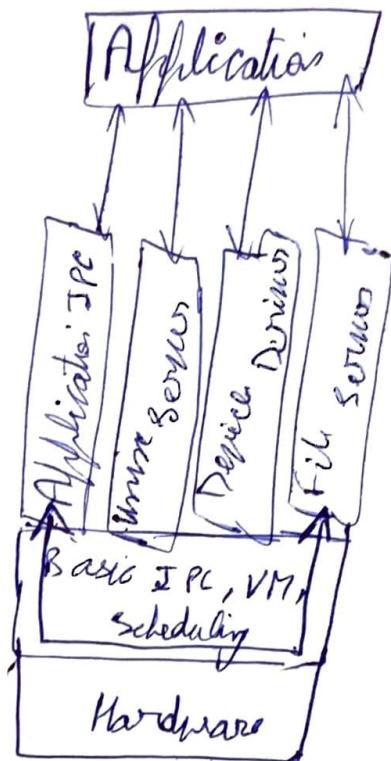
## # Micro Kernel :-



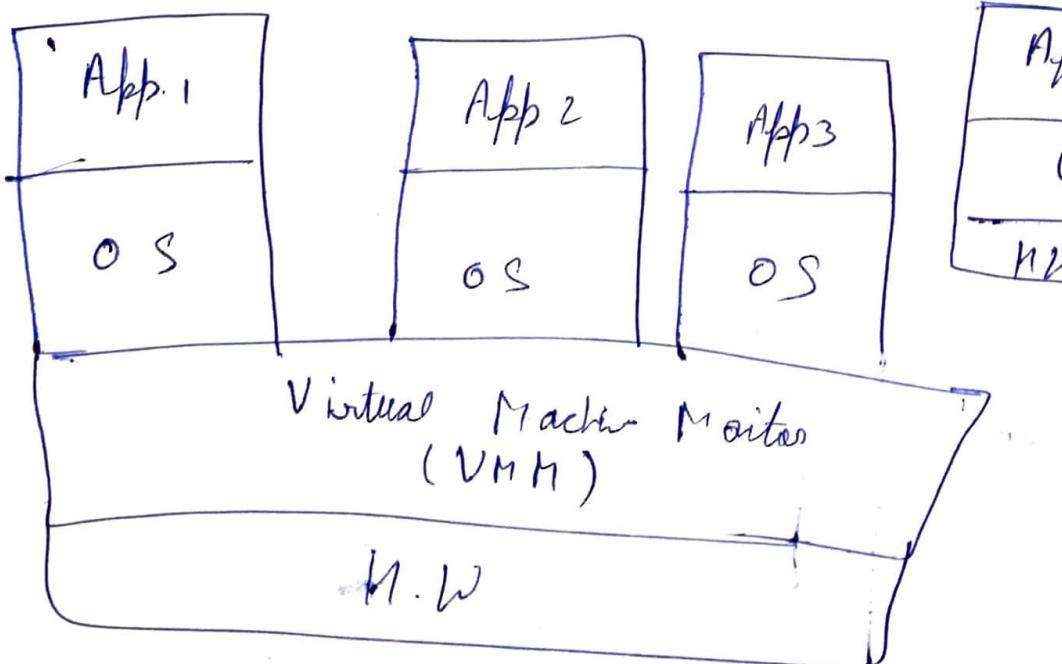
- Smaller in size
- Slow exec<sup>n</sup>. (Switching)

## # Virtualisation :-

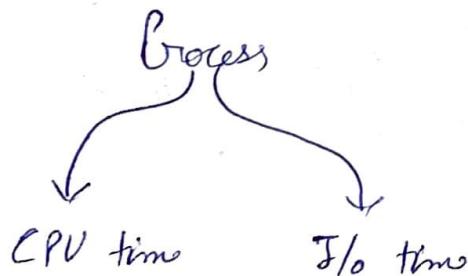
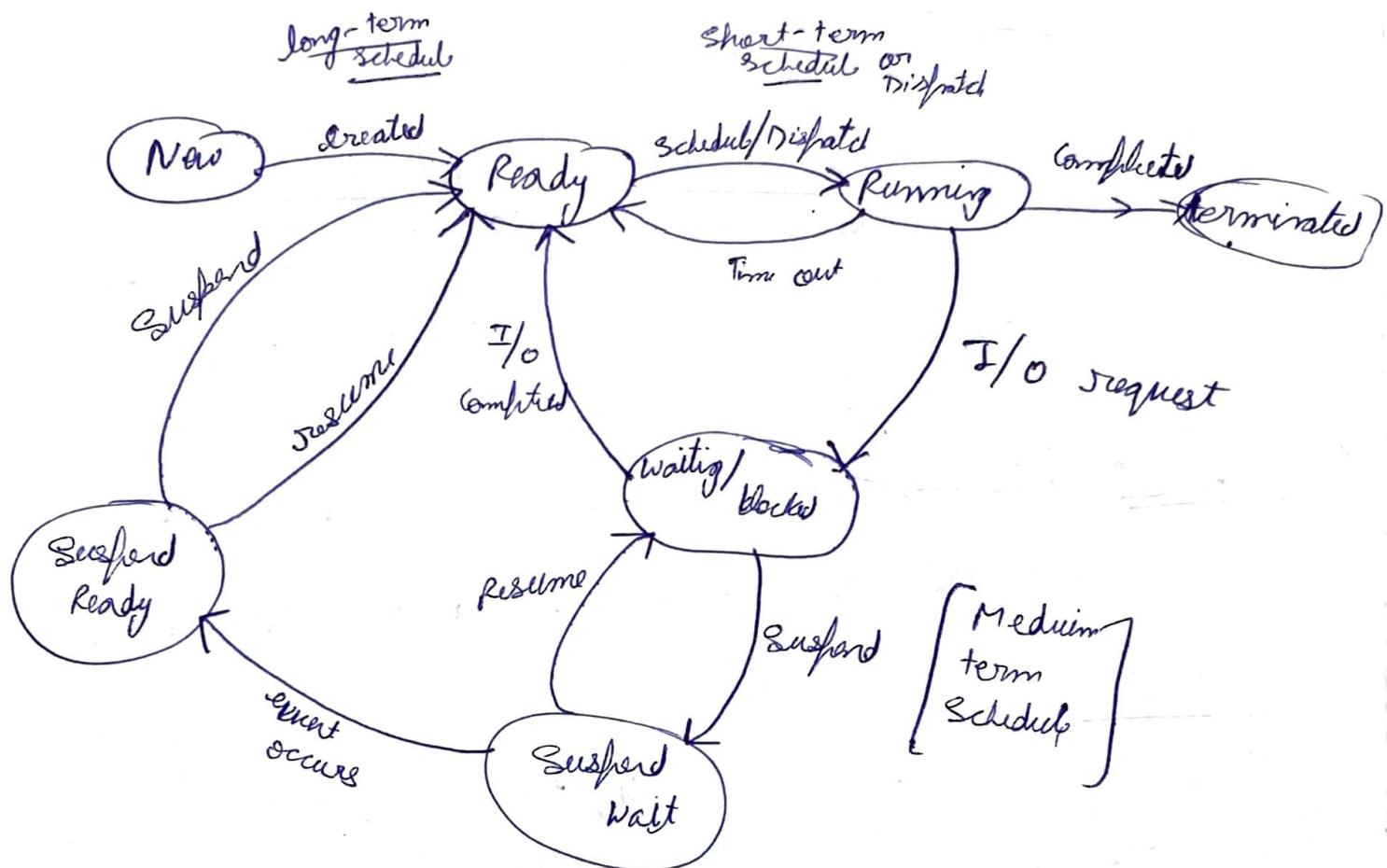
- It is the creation of a virtual version of something, such as a server, a storage device, etc., rather than actual an operating system,



## Virtual Machine :



# # Process State Transition Diagram



$$ST > MT > LT$$

- If Process is spending more time on CPU than its CPU Bound.
- or I/O time than its I/O Bound

- # Inter Process Communication :- (IPC)
- Series of actions
- Process can be Independent or Cooperative.
    - ↓  
not affected by execution of others
    - ↓  
can be affected by other executing prog.
  - IPC → ~~mech~~ → It is a mechanism allows process to communicate with each other & synchronize.

#### Why IPC :-

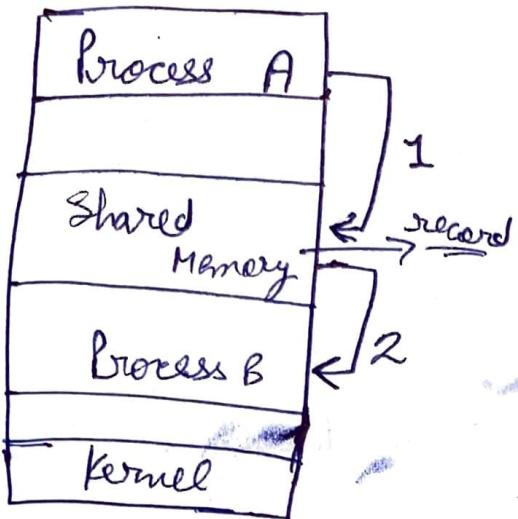
- 1) Info. sharing
- 2) Resource sharing
- 3) Computation speed up.  
(as Process work together)

- 4) Synchronization (help will help communicate each process to each other).
- 5) Modularity (Dividing the system func. into separate processes).
- 6) Convenience.

#### IPC Mechanism :-

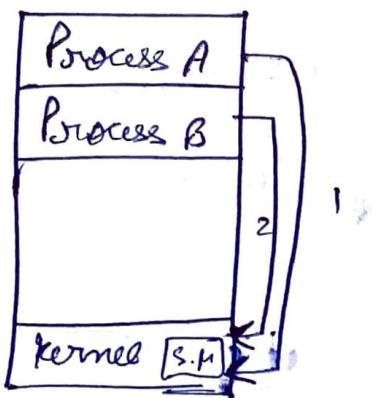
- 1) Shared Memory
- 2) Message Passing
- 3) Signals.

## ① Shared Memory :-



- PA generates info. & keeps in shared memory.
- So, S.M keeps ~~one~~ record of it.
- Now, PB wants to use S.M so, it 1st checks the record present in the S.M and take the not of info. generated by PA. and it acts acc. to that. So, it takes another variables or resources.

### Problem with it:-



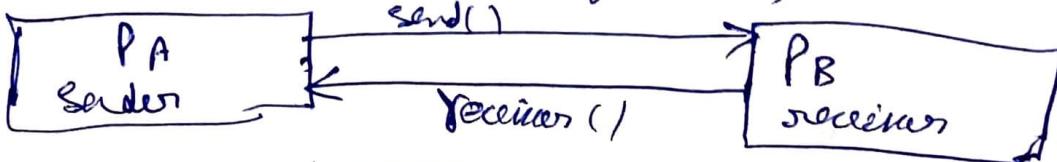
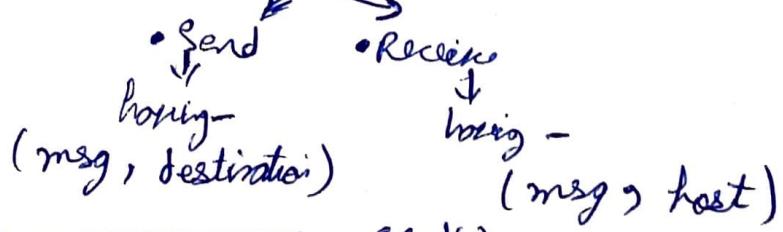
- OS tries to prevent one process from accessing another's process memory.

## ② Message Passing :-

- Here, process communicates with other without using any kind of shared memory.

→ In order to communicate with each other P<sub>1</sub> & P<sub>2</sub> :-

- ① first establish a communication link.
- ② Start exchange msg. using basic primitives.



- In this calls, the senders & receivers processes address each other by names.

Modes of communication b/w two processes can take place through two modes:-

### 1) Direct Addressing :-

In this type, the two processes need to name each other to communicate.

This become easy if they have the same parent.

If process A sends a message to process B, then

Sends (B, msg)

receive (A, msg)

} A link is established

Here, the receiver knows the identity of sender msg destination. This type of arrangement in D. Communication is known as Symmetric addressing.

• Usually the link is Bidirectional, but can be made unidir.

### 2) Indirect Addressing :-

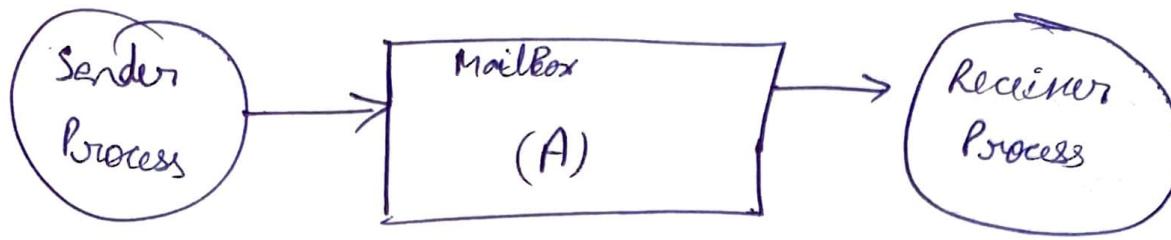
In this msg send and receive from a mailbox. A mailbox can be abstractly viewed as an object into which msg's may be placed and from which msg's may be removed by processes.

The sender and receiver processes should share a mailbox to communicate.

also known as Ports.

• Can be Unidirectional or Bidirectional.

eg:-



## # Client - Server System :- Communication.

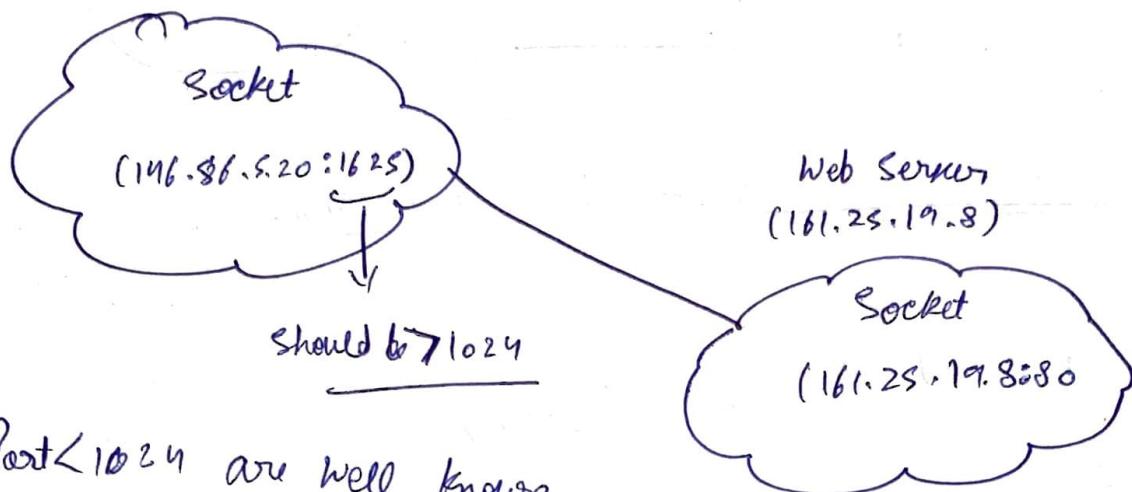
- 1) Pipes
- 2) Remote Method Invocation (Java)
- 3) Sockets
- 4) Remote Procedure calls.

### → Sockets :-

- Used for communication in client - Server Systems.
- It is defined as an endpoint for communication.
- It is identified by an IP address concatenated with a port no.
- Basically, in Client - Server the client ask info. from server and then server will give that info. to the client. So, Sockets are used to communicate with the server and the server communicate back to the client.
- The server waits for incoming client request by listening to a specified port. Once a request is received, the server accepts a connection from the client socket to complete the connection.
- Server implementing services (such as ftp, http .).

Host X

(196.86.5.20)



- Port < 1024 are well known and used for standard services

→ Pipe :-

P<sub>0</sub>

P<sub>1</sub>

→ also known as ordinary Pipe

Write → ~~fd[1]~~ → Pipe

Read  
fd[0]

⇒ child & parent process communication

⇒ FIFO

⇒ write 512 Bytes

⇒ read 1 Byte

⇒ fdS = file descriptors

Pipe()

[int pipe (int fds[2])]

fd[0] & fd[1]

Read            Write

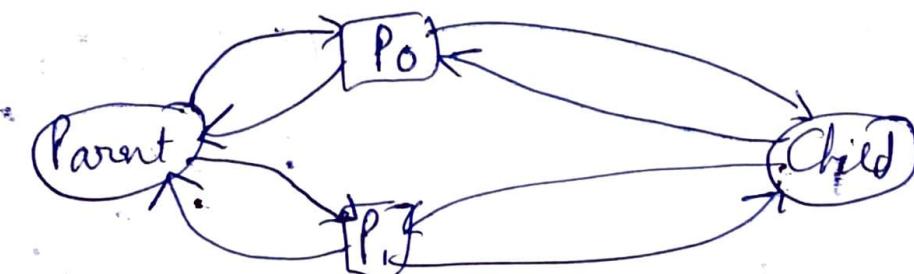
Action '0' (Success)

" -1 " (Failure)

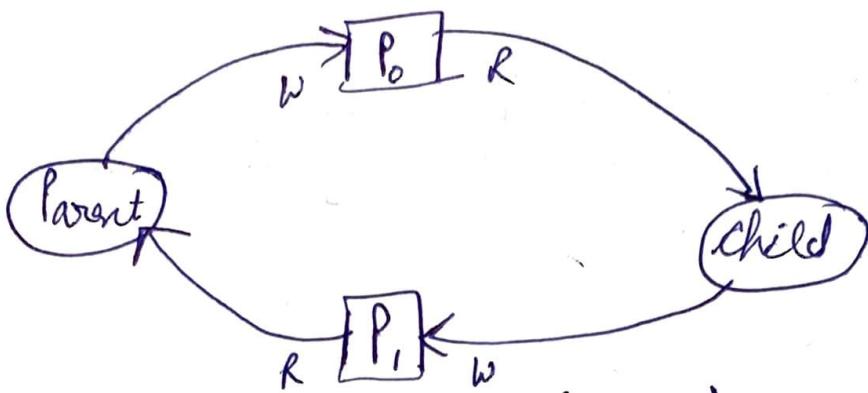
↓  
& perror()

It is one way Comm.

→ Below is 2 way Comm:



Simplified :-



1) Ordinary pipes

: Bidirectional.

Named Pipe

- Referred as FIFOs in UNIX System.

## # CPU - Scheduling :-

- Scheduling of the CPU is fundamental to O.S design.
- Arrival time - time at which process enters the ready queue.
- Burst time - time required by a process to get execute on CPU.
- Completion time - the time at which the process complete its execution.
- Turn Around time -  $(\text{Completion time} - \text{Arrival time})$   $12 - 11 = 1 \text{ hr} = 60 \text{ min.}$
- Waiting time -  $(\text{Turn around time} - \text{Burst time})$   $60 \text{ min} - 15 \text{ min} = 45 \text{ min.}$
- Response time - [The time at which a process gets CPU first time]  $(\text{Arrival time})$
- throughput -  $\frac{\text{no. of processes completed}}{\text{unit time}}$

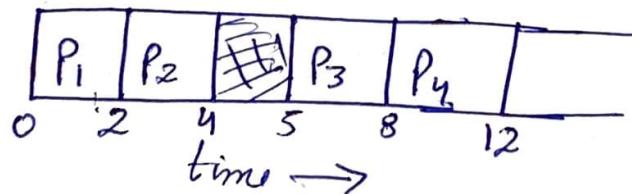
# → Single Processor Scheduling Algo :-

- 1) First Come, First Served (FCFS).
  - 2) Shortest Job First (SJF).
  - 3) Priority.
  - 4) Round Robin (RR).
- } N. Preemptive  
} Preemptive.

## ① FCFS :-

Process No.	Arrival time	Burst time	given		TAT	WT	RT
			Completion time	TAT			
P <sub>1</sub>	0	2	2	2	2	0	0 (0-0)
P <sub>2</sub>	1	2	4	3	1	1 (2-1)	
P <sub>3</sub>	5	3	8	3	0	0 (5-5)	
P <sub>4</sub>	6	4	12	6	2	2 (8-6)	
<u>14</u>							

Gantt chart



$$TAT = CT - AR$$

$$WT = TAT - BT$$

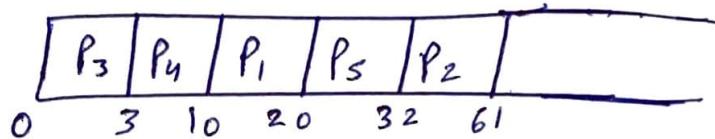
Note :- in NP  $\rightarrow$  WT will be same as RT.

$$\therefore \text{Avg. TAT} = \frac{14}{4} = .$$

## ② Shortest Job First :- (N.P)

Process	A.T	B.T	Start	Wait	↓	TAT	Criteria :- "Burst time" → N.P. = 0
					F		
P <sub>1</sub>	0	10	10	10	20	20	
P <sub>2</sub>	0	29	32	32	61	61	
P <sub>3</sub>	0	3	0	0	3	3	
P <sub>4</sub>	0	7	3	3	10	10	TAT = CT - AR
P <sub>5</sub>	0	12	20	20	32	32	WT = TAT - BT
				85			

Grantt chart:



$$\text{Avg. WT} = \frac{65}{5} = 13$$

$$\text{Avg. TAT} = 20 + 61 + 3 + 10 + 32 / 5 = 25.2$$

→ SJF :- Pomodoro.

### ③ Priority Scheduling :-

- Each process has its own priority. Out of all available processes, highest priority process gets the CPU.
- If two processes having same priority then use FCFS.

Priority	A.T	B.T	C.T	TAT	WT	R
P <sub>1</sub>	3	0	8	8	0	-
P <sub>2</sub>	4	1	2	17	16	-
P <sub>3</sub>	4	3	4	21	18	-
P <sub>4</sub>	5	4	1	22	18	-
P <sub>5</sub>	2	5	6	14	9	-
P <sub>6</sub>	6	6	5	27	21	-
P <sub>7</sub>	1	10	1	15	5	-

Less the no. higher the priority

$TAT = CP - AT$

$WT = TAT - BT$

In N.P son as WT?

P <sub>1</sub>	P <sub>5</sub>	P <sub>7</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>4</sub>	P <sub>6</sub>
0	8	14	15	17	21	27

### ④ Round Robin :-

From time in nature

$FR = CPU \text{ utilization} - \frac{\text{time quantum}}{AT}$

Process No.	Arrival time	Burst time	Completion time	TAT	WT	RT
P <sub>1</sub>	0	3	12	12	7	0
P <sub>2</sub>	1	4	11	10	6	0
P <sub>3</sub>	2	2	6	4	2	0
P <sub>4</sub>	4	3	9	5	1	0

Given:-  
 $T.Q = 2$

\* Ready queue

P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>1</sub>
----------------	----------------	----------------	----------------	----------------	----------------	----------------

(Gantt chart) Running queue

P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>1</sub>
0	2	4	6	8	10

No. of CS = 6

time

$$T.OI = 20$$

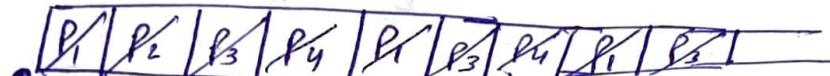
$(Y = RR - \text{easy learning with Nisha})$

Process	B.T	TAT	WT
P <sub>1</sub>	83 33 13	134	
P <sub>2</sub>	17	37	
P <sub>3</sub>	68 48 8	162	
P <sub>4</sub>	24 20 4	121	

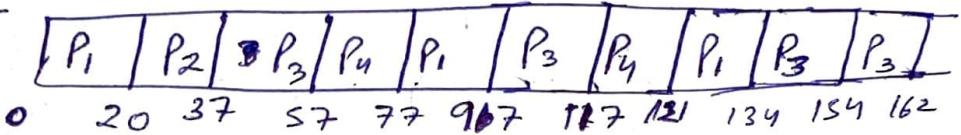
$$TAT = CT - AT$$

$$WT = TA - BT$$

Ready queue -



Running " -



~~Q/S~~

~~$$\text{Avg. WT} \Rightarrow (134 - 53) + (37 - 17) + (162 - 68) + (131 - 24)$$

$$= 81 + 120 + 94 + 107$$~~

~~$$\text{Avg. W.T} \Rightarrow (0 - 0) + (77 - 20) + (121 - 97) + (20 - 0) + (37 - 0)$$

$$+ (134 - 117) + (134 - 117) + (57 - 0) + (17 - 77)$$

$$= 73$$~~

~~$$\text{Avg. TAT} = 134 + 37 + 162 + 121 = 413.5$$~~

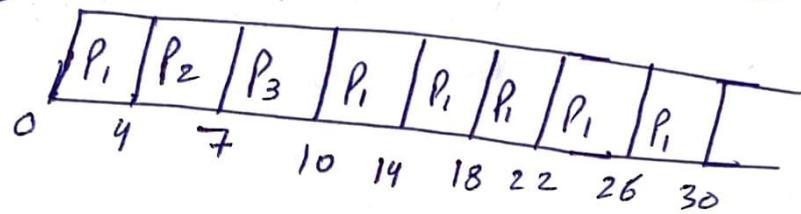
Ex-2 ( $q = 4$ )

Process	B.T	A.T	Start	W.T	Finish	TAT
P <sub>1</sub>	24 20 6 12	0	0	6	30	30
P <sub>2</sub>	3	0	4	4	7	7
P <sub>3</sub>	3	0	7	7	10	10

Ready queue  $\Rightarrow$



Running  $\Rightarrow$



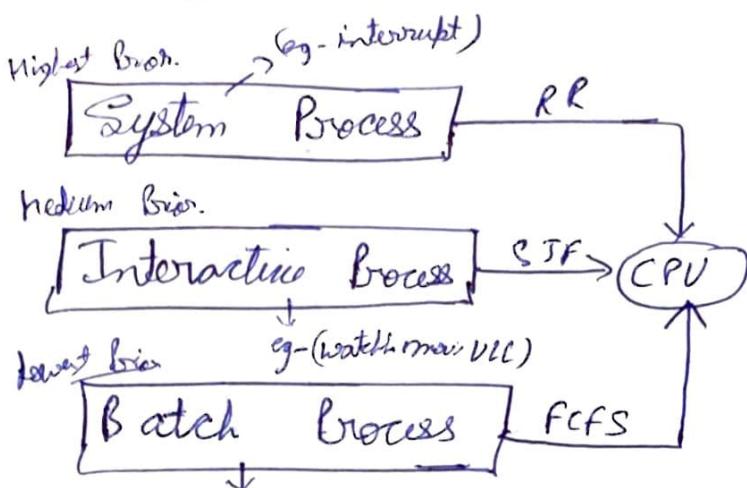
$$T.A.T \Rightarrow C.T - A.T$$

$$\text{Avg. TAT} = \frac{10 + 7 + 30}{3} = \frac{47}{3} = 15.67$$

$$W.T = TAT - BT$$

$$\text{Avg. W.T} \rightarrow$$

## # Multi-level queue scheduling :-

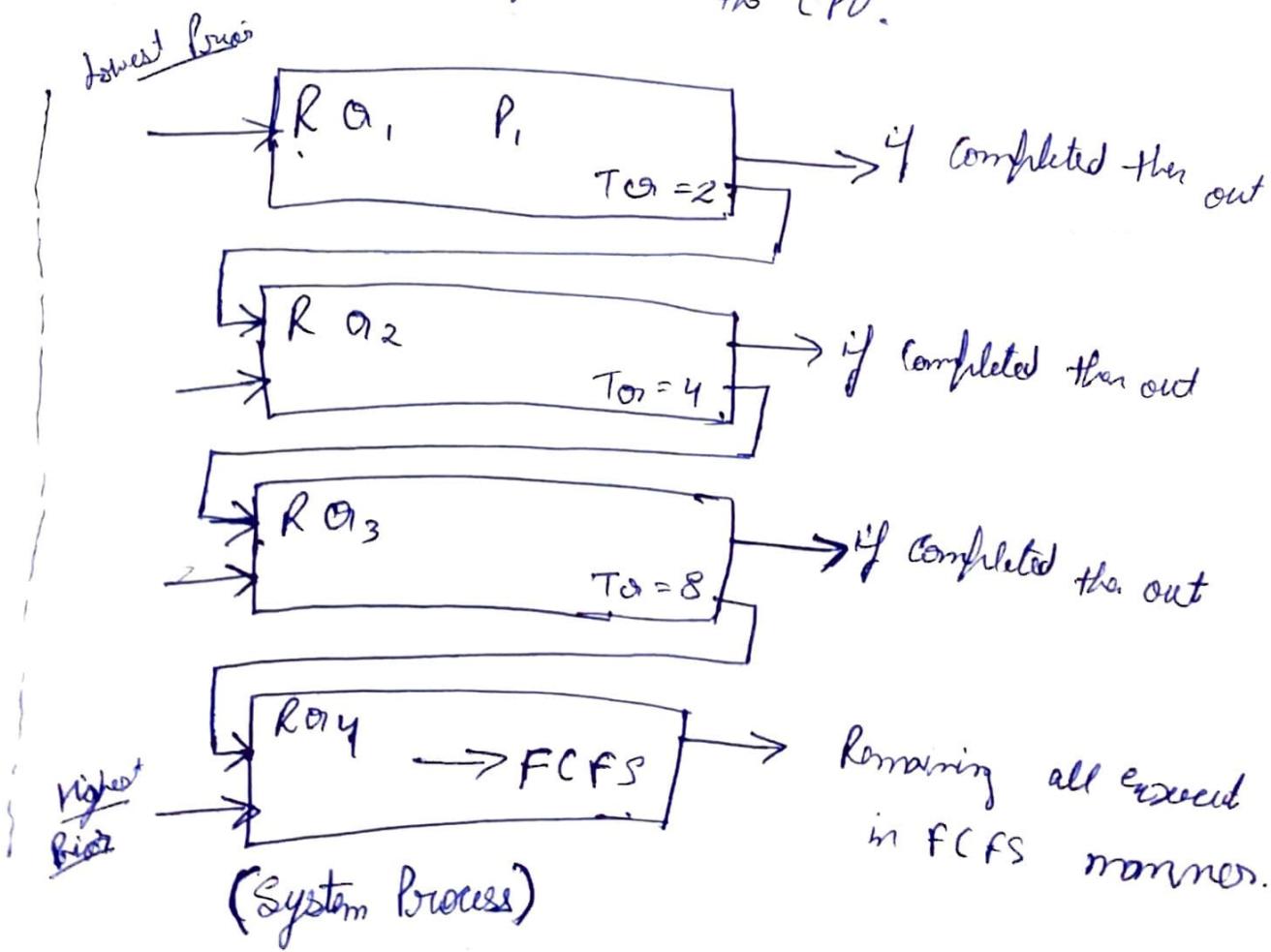


- However, is problem of starvation: more if Higher Prior. Boxes keep priority than lower as medium prior process will not get chance soon; take lot of time.

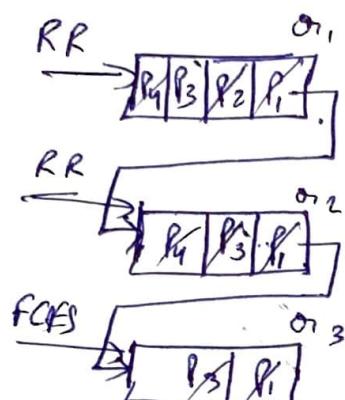
- ~~Priority~~ Interactive process  $\cong$  foreground. (RR algo.)
- batch process  $\cong$  background. (FCFS algo.)

## # Multi-level feedback queue:

- We use this feedback queue for lower prior. of process so, that they also get to the CPU.



D- FCFS F O.S.I.



Process	B.T	A.T	C.T	TAT	W.T
P <sub>1</sub>	36 53 11	0	136	136	83
P <sub>2</sub>	170	0	34	34	17
P <sub>3</sub>	68 51 26	0	162	162	94
P <sub>4</sub>	24 7	0	125	125	101

$$O_1 = RR_3 \\ = 9(17)$$

$$O_2 = RR \\ 2(25)$$

$$O_3 = FCFSS$$

Gantt chart:-

