

[Create Account](#)

# Dense Vectors

**[Pinecone](#) is a vector database for storing and searching through dense vectors. Why would you ever want to do that? Keep reading to find out.**

There is perhaps no greater contributor to the success of modern Natural Language Processing (NLP) technology than vector representations of language. The meteoric rise of NLP was ignited with the introduction of word2vec in 2013 [1].

Word2vec is one of the most iconic and earliest examples of dense vectors representing text. But since the days of word2vec, developments in representing language have advanced at ludicrous speeds.

This article will explore *why* we use dense vectors — and some of the best approaches to building dense vectors available today.

## Intro to Dense Vectors for NLP and Vision



# Dense vs Sparse Vectors

The first question we should ask is *why should we represent text using vectors?* The straightforward answer is that for a computer to understand human-readable text, we need to convert our text into a machine-readable format.

Language is inherently full of information, so we need a reasonably large amount of data to represent even small amounts of text. [Vectors](#) are naturally good candidates for this format.

We also have two options for vector representation; *sparse* vectors or *dense* vectors.

Sparse vectors can be stored more efficiently and allow us to perform syntax-based comparisons of two sequences. For example, given two sentences; "[Bill ran from the giraffe toward the dolphin](#)", and "[Bill ran from the dolphin toward the giraffe](#)" we would get a perfect (or near-perfect) match.

Why? Because despite the meaning of the sentences being different, they are composed of the same syntax (e.g., words). And so, sparse vectors would be closely or even perfectly matched (depending on the construction approach).

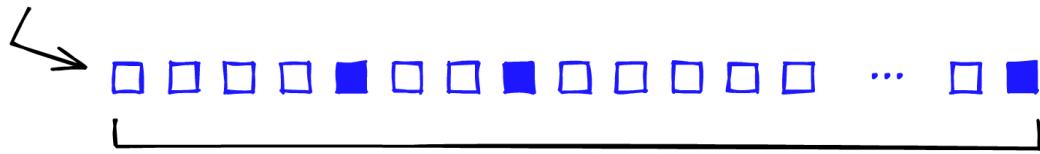
Where sparse vectors represent text syntax, we could view dense vectors as *numerical representations of semantic meaning*. Typically, we are taking words and encoding them into very dense, high-dimensional vectors. The abstract meaning and relationship of words are numerically encoded.

---

*Sparse vectors are called sparse because vectors are sparsely populated with information. Typically we would be looking at thousands of zeros to find a few ones (our relevant information). Consequently, these vectors can contain many dimensions, often in the tens of thousands.*

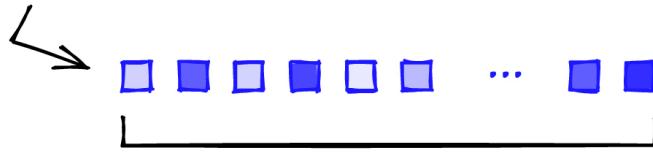
*sparse*

$[0, 0, 0, 1, 0, \dots 0]$



*dense*

$[0.2, 0.7, 0.1, 0.8, 0.1, \dots 0.9]$



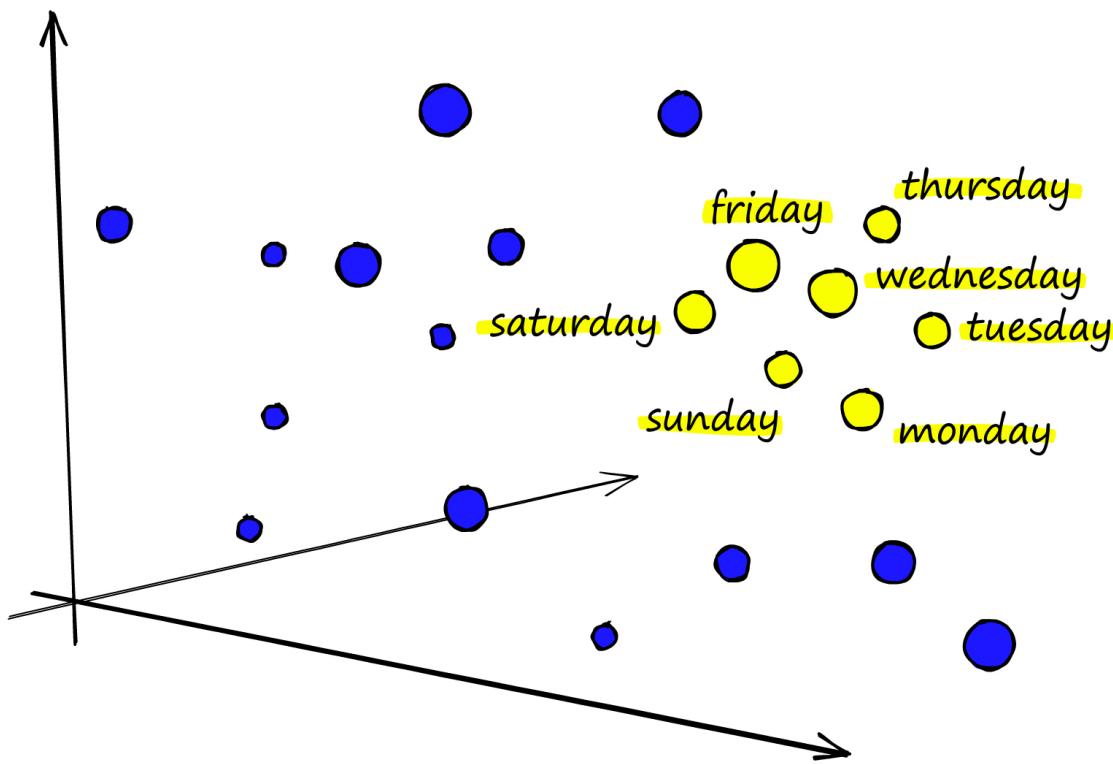
Sparse and dense vector comparison. Sparse vectors contain *sparingly* distributed bits of information, whereas dense vectors are much more information-rich with densely-packed information in every dimension.

---

*Dense vectors are still highly dimensional (784-dimensions are common, but it can be more or less). However, each dimension contains relevant information, determined by a neural net – compressing these vectors is more complex, so they typically use more memory.*

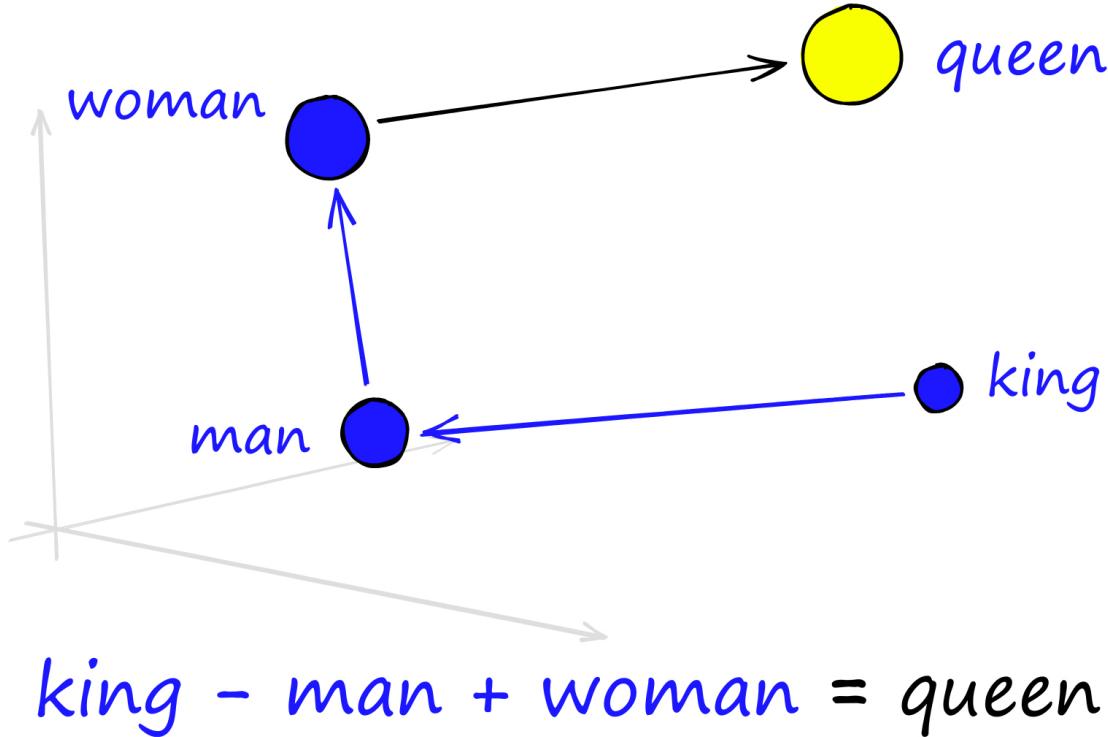
---

Imagine we create dense vectors for every word in a book, reduce the dimensionality of those vectors and then visualize them in 3D — we will be able to identify relationships. For example, days of the week may be clustered together:



Example of the clustering of related keywords as is typical with word embeddings such as *word2vec* or *GLoVe*.

Or we could perform ‘word-based’ arithmetic:



A classic example of arithmetic performed on word vectors from another Mikolov paper [2].

And all of this is achieved using equally complex neural nets, which identify patterns from *massive* amounts of text data and translate them into dense vectors.

Therefore we can view the difference between sparse and dense vectors as representing *syntax* in language versus representing *semantics* in language.

## Generating Dense Vectors

Many technologies exist for building dense vectors, ranging from vector representations of words or sentences, Major League Baseball players [3], or even cross-media text and images.

*We usually take an existing public model to generate vectors. For almost every scenario there is a high-performance model out there and it is easier, faster, and often much more accurate to use them. There are cases, for example for industry or language-specific embeddings where you sometimes need to fine-tune or even train a new model from scratch, but it isn't common.*

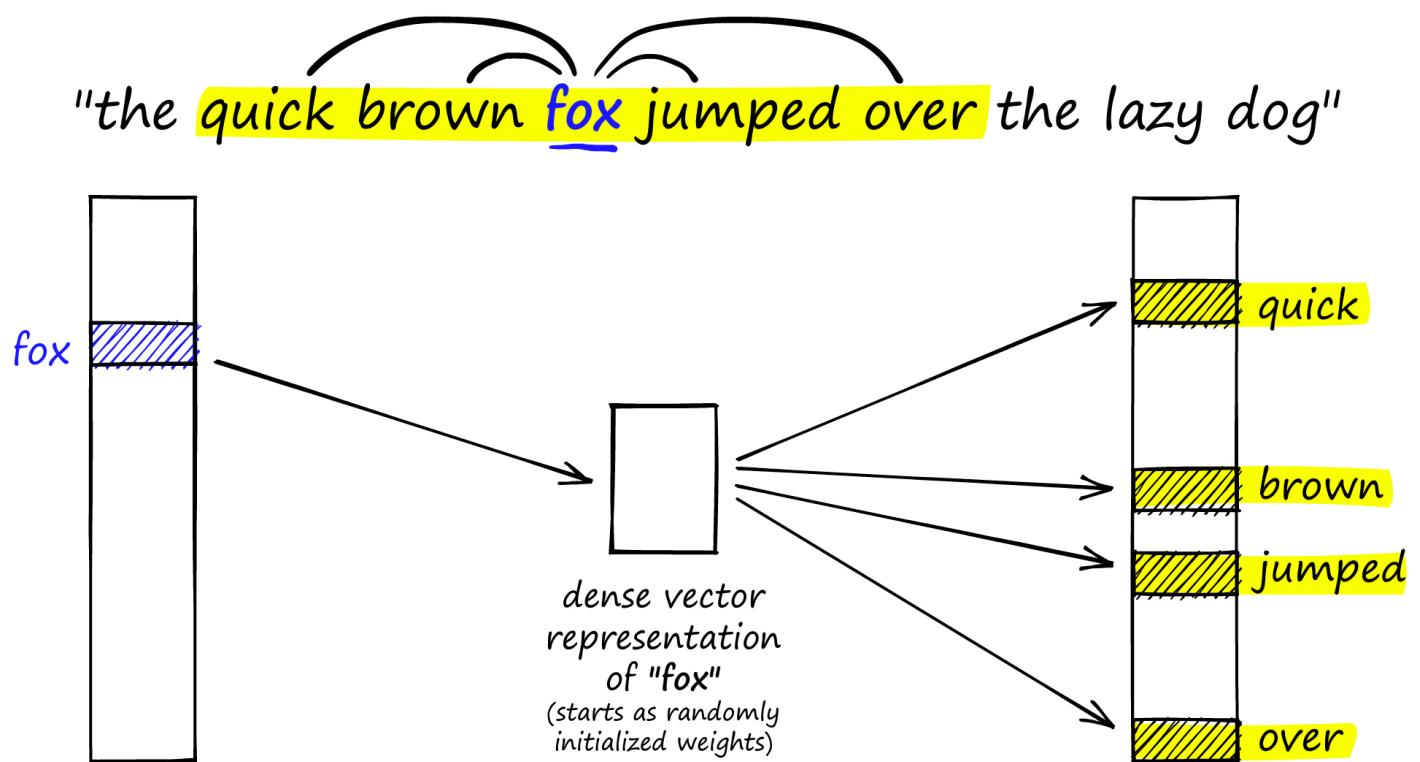
We will explore a few of the most exciting and valuable of these technologies, including:

- The ‘2vec’ methods
- Sentence Transformers
- Dense Passage Retrievers (DPR)
- Vision Transformers (ViT)

## Word2Vec

Although we now have superior technologies for building embeddings, no overview on dense vectors would be complete without word2vec. Although *not* the first, it was the first widely used dense embedding model thanks to (1) being *very good*, and (2) the release of the [word2vec toolkit](#) — allowing easy training or usage of pre-trained word2vec embeddings.

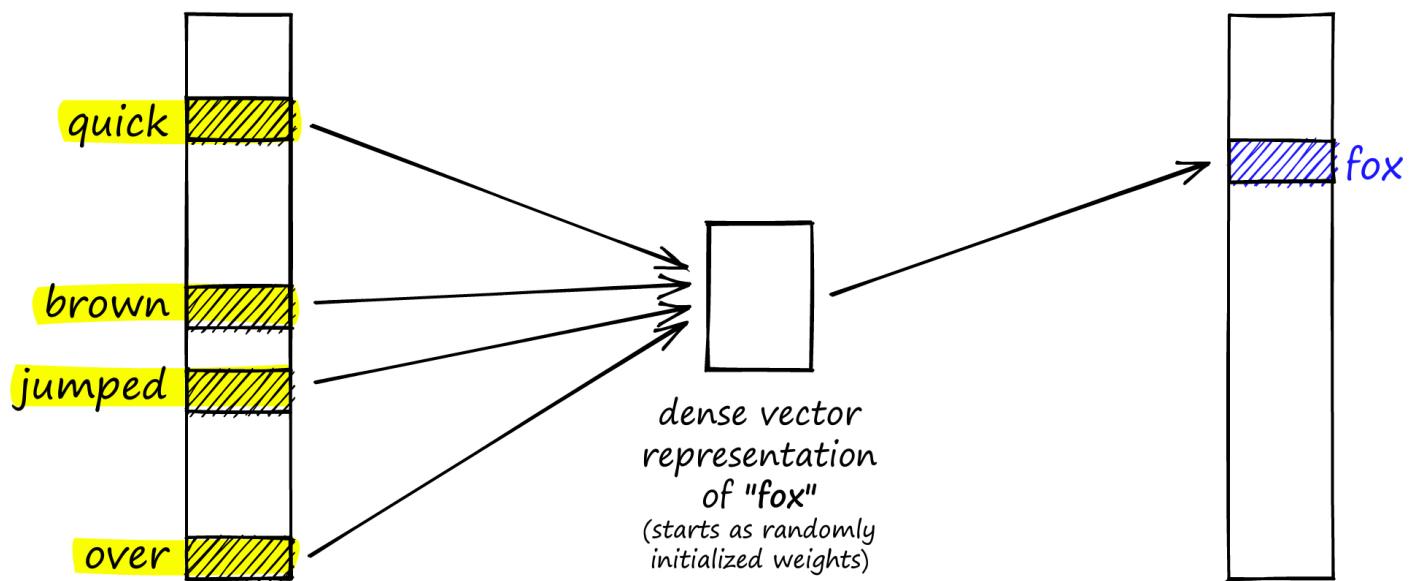
Given a sentence, word embeddings are created by taking a specific word (translated to a one-hot encoded vector) and mapping it to surrounding words through an encoder-decoder neural net.



The skip-gram approach to building dense vectors embeddings in word2vec.

This is the *skip-gram* version of word2vec which, given a word `fox`, attempts to predict surrounding words (its context). After training we discard the left and right blocks, keeping only the middle dense vector. This vector represents the word to the left of the diagram and can be used to embed this word for downstream language models.

We also have the *continuous bag of words (CBOW)*, which switches the direction and aims to predict a word based on its context. This time we produce an embedding for the word on the right (in this case, still `fox`).



The continuous bag of words (CBOW) approach to building dense vector embeddings in word2vec.

Both skip-gram and CBOW are alike in that they produce a dense embedding vector from the middle *hidden layer* of the encoder-decoder network.

From this, Mikolov et al. produced the infamous `King - Man + Woman == Queen` example of vector arithmetic applied to language we saw earlier [2].

Word2vec spurred a flurry of advances in NLP. Still, when it came to representing longer chunks of text using single vectors – word2vec was useless. It allowed us to encode single words (or n-grams) but nothing more, meaning long chunks of text could only be represented by *many* vectors.

To compare longer chunks of text effectively we need it to be represented by a single vector. Because of this limitation, several *extended* embedding methods quickly cropped up, such as sentence2vec and doc2vec.

Whether word2vec, sentence2vec, or even (batter|pitcher)2vec (representations of Major League Baseball players [3]), we now have vastly superior technologies for building these dense vectors. So although ‘2vec’ is where it started, we don’t often see them in use today.

## Sentence Similarity

We’ve explored the beginnings of word-based embedding with word2vec and briefly touched on the other *2vecs* that popped up, aiming to apply this vector embedding approach to longer chunks of text.

We see this same evolution with transformer models. These models produce incredibly information-rich dense vectors, which can be used for a variety of applications from sentiment analysis to question-answering. Thanks to these rich embeddings, transformers have become the dominant modern-day language models.

[BERT](#) is perhaps the most famous of these transformer architectures (although the following applies to *most* transformer models).

Within BERT, we produce vector embeddings for each word (or *token*) similar to word2vec. However, embeddings are much richer thanks to much deeper networks — and we can even encode the *context* of words thanks to the attention mechanism.

The attention mechanism allows BERT to prioritize which context words should have the biggest impact on a specific embedding by considering the *alignment* of said context words (we can imagine it as BERT literally *paying attention* to specific words depending on the context).

What we mean by ‘context’ is, where word2vec would produce the same vector for ‘bank’ whether it was “*a grassy bank*” or “*the bank of England*” — BERT would instead modify

the encoding for *bank* based on the surrounding context, thanks to the attention mechanism.

However, there is a problem here. We want to focus on comparing *sentences*, not words. And BERT embeddings are produced for each token. So this doesn't help us in sentence-pair comparisons. What we need is a single vector that represents our sentences or paragraphs like sentence2vec.

The first transformer explicitly built for this was *Sentence-BERT (SBERT)*, a modified version of BERT [4].

BERT (and SBERT) use a *WordPiece* tokenizer — meaning that every word is equal to one *or more* tokens. SBERT allows us to create a single vector embedding for sequences containing no more than 128 tokens. Anything beyond this limit is cut.

This limit isn't ideal for *long* pieces of text, but more than enough when comparing sentences or small-average length paragraphs. And many of the latest models allow for longer sequence lengths too!

## Embedding With Sentence Transformers

Let's look at how we can quickly pull together some sentence embeddings using the `sentence-transformers` library [5]. First, we import the library and initialize a sentence transformer model from Microsoft called `all-mnlp-base-v2` (maximum sequence length of `384` ).

```
!pip install sentence-transformers
```

In [1]:

```
from sentence_transformers import SentenceTransformer  
  
model = SentenceTransformer('all-mpnet-base-v2')
```

```
Downloading: 100%|██████████| 1.18k/1.18k [00:00<00:00, 314kB/s]  
Downloading: 100%|██████████| 10.1k/10.1k [00:00<00:00, 2.61MB/s]  
Downloading: 100%|██████████| 571/571 [00:00<00:00, 200kB/s]  
...  
Downloading: 100%|██████████| 190/190 [00:00<00:00, 46.5kB/s]
```

sentence\_transformers\_init.ipynb hosted with ❤ by GitHub

[view raw](#)

Then we can go ahead and encode a few sentences, some more similar than others — while sharing *very few* matching words.

```
In [2]: sentences = [  
    "it caught him off guard that space smelled  
    "she could not decide between painting her  
    "he thought there'd be sufficient time is h  
    "the bees decided to have a mutiny against  
    "the sign said there was road work ahead so  
    "on a scale of one to ten, what's your favc  
    "flying stinging insects rebelled in opposi  
]
```

```
In [3]: embeddings = model.encode(sentences)  
embeddings.shape
```

```
Out[3]: (7, 768)
```

encoding\_sentences.ipynb hosted with ❤ by GitHub

[view raw](#)

And what does our sentence transformer produce from these sentences? A 768-dimensional dense representation of our sentence. The performance of these embeddings when compared using a similarity metric such as cosine similarity is, in most cases — excellent.

In [4]:

```
from sentence_transformers.util import cos_sim

scores = cos_sim(embeddings[-1], embeddings[:-1]

print(sentences[-1])
for i, score in enumerate(scores[0]):
    print(f'{round(score.item(), 4)} | {sentence}
```

flying stinging insects rebelled in opposition  
to the matriarch  
0.1232 | it caught him off guard that space sme  
lled of seared steak  
0.1967 | she could not decide between painting  
her teeth or brushing her nails  
0.0523 | he thought there'd be sufficient time  
is he hid his watch  
0.6084 | the bees decided to have a mutiny agai  
nst their queen  
0.1011 | the sign said there was road work ahea  
d so she decided to speed up  
-0.0492 | on a scale of one to ten, what's your  
favorite flavor of color?

sentence\_cos\_sim.ipynb hosted with ❤ by GitHub

[view raw](#)

Despite our most semantically similar sentences about bees and their queen sharing *zero* descriptive words, our model correctly embeds these sentences in the closest vector space when measured with cosine similarity!

## Question-Answering

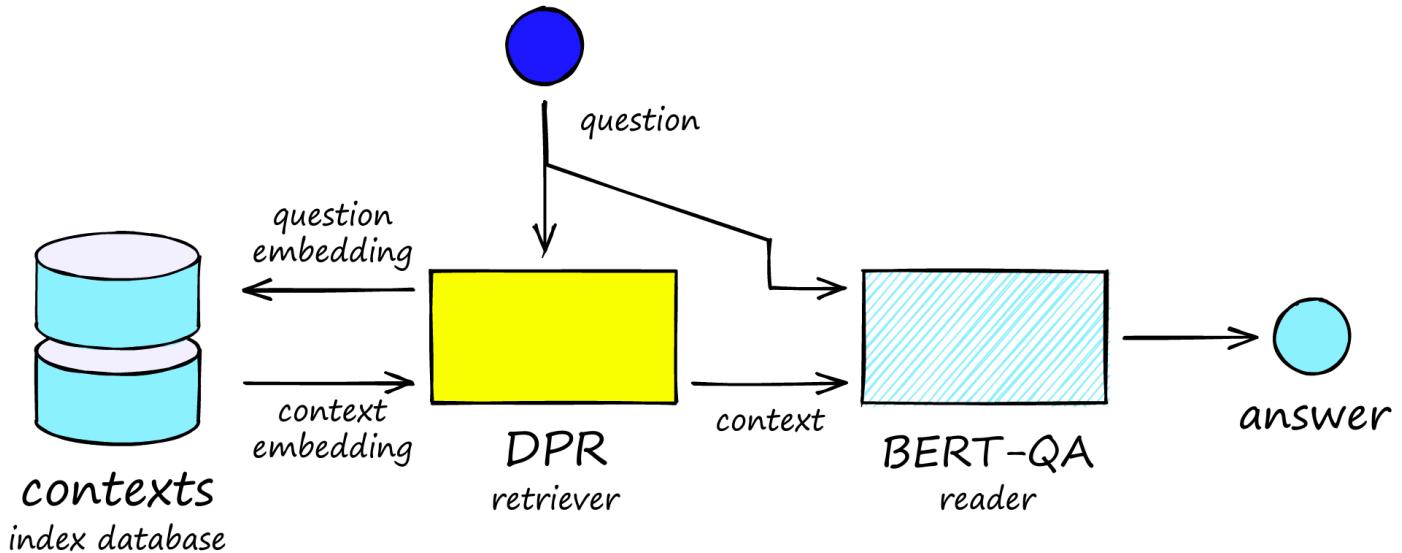
Another widespread use of transformer models is for questions and answers (Q&A).

Within Q&A, there are several different *architectures* we can use. One of the most common is *open domain Q&A (ODQA)*.

ODQA allows us to take a big set of sentences/paragraphs that contain answers to our questions (such as paragraphs from Wikipedia pages). We then ask a question to return a small chunk of one (or more) of those paragraphs which best answers our question.

When doing this, we are making use of three components or models:

- Some sort of **database** to store our sentence/paragraphs (called *contexts*).
- A **retriever** retrieves contexts that it sees as similar to our question.
- A **reader** model which extracts the *answer* from our related context(s).



An example open domain question-answering (ODQA) architecture.

The *retriever* portion of this architecture is our focus here. Imagine we use a sentence-transformer model. Given a question, the retriever would return sentences most similar to our question — but we want answers *not* questions.

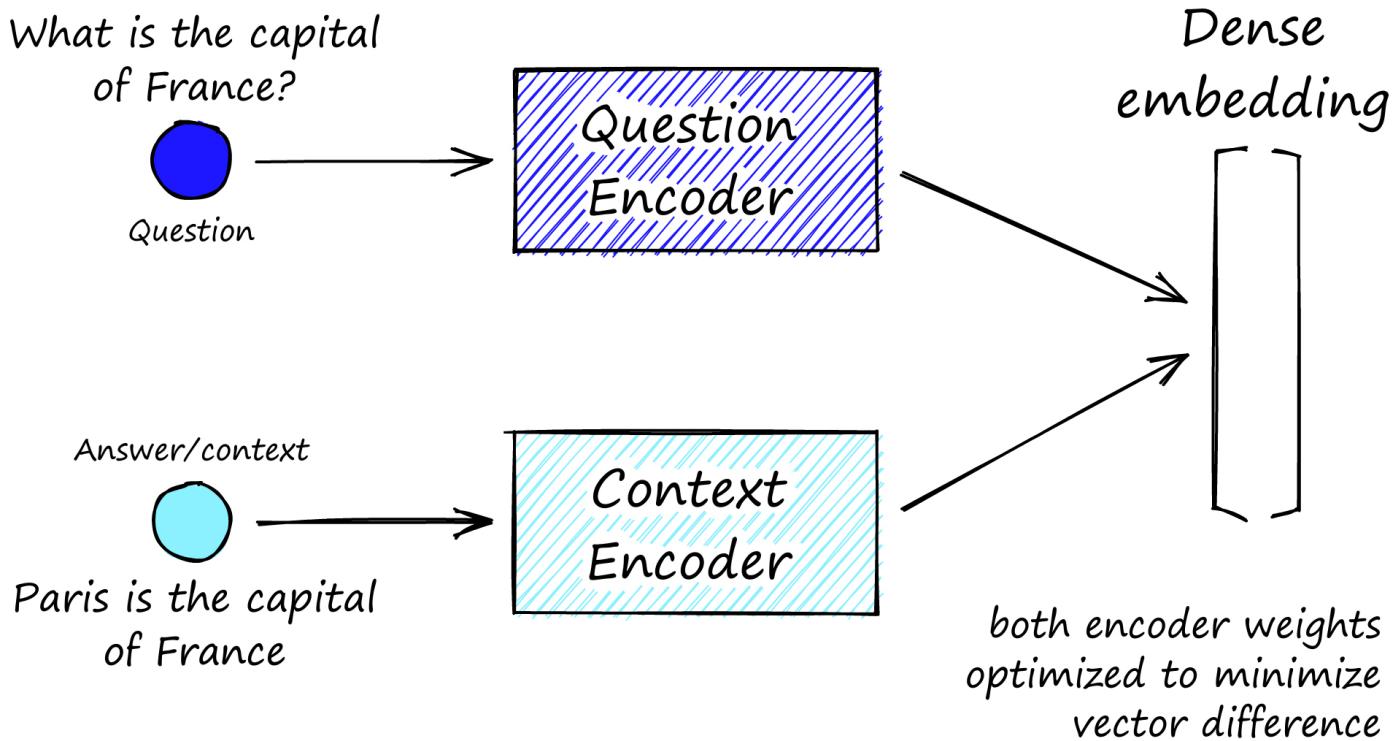
Instead, we want a model that can map question-answers pairs to the same point in vector space. So given the two sentences:

"What is the capital of France?" AND "The capital of France is Paris."

We want a model that maps these two sentences to the same (or *very close*) vectors. And so when we receive a question "**What is the capital of France?**", we want the output vector to have very high similarity to the vector representation of "**The capital of France is Paris.**" in our [vector database](#).

The most popular model for this is Facebook AI's *Dense Passage Retriever (DPR)*.

DPR consists of two smaller models – a *context encoder* and a *query encoder*. Again they're both using the BERT architecture and are trained in parallel on question-answer pairs. We use a contrastive loss function, calculated as the difference between the two vectors output by each encoder [6].



Bi-encoder structure of DPR, we have both a *question encoder* and a *context encoder* – both are optimized to output the same (or close) embeddings for each question-context pair.

So when we give our question encoder "**What is the capital of France?**", we would hope that the output vector would be similar to the vector output by our context encoder for "**The capital of France is Paris.**".

We can't rely on all of the question-answer relationships on having been seen during training. So when we input a new question such as "**What is the capital of Australia?**" our model might output a vector that we could think of as similar to "**The capital of Australia is \_\_\_\_**". When we compare that to context embeddings in our database, this *should* be similar to "**The capital of Australia is Canberra**" (or so we hope).

## Fast DPR Setup

Let's take a quick look at building some context and query embeddings with DPR. We'll be using the `transformers` library from Hugging Face.

First, we initialize tokenizers and models for both our context (`ctx`) model and `question` model.

```
In [5]: from transformers import DPRContextEncoder, DPRQuestionEncoder, DF
```

```
In [6]: ctx_model = DPRContextEncoder.from_pretrained('
ctx_tokenizer = DPRContextEncoderTokenizer.from_
question_model = DPRQuestionEncoder.from_pretra_
question_tokenizer = DPRQuestionEncoderTokenize
```

```
Downloading: 100%|██████████| 492/492 [00:00<0
0:00, 142kB/s]
Downloading: 100%|██████████| 438M/438M [00:24<
00:00, 17.7MB/s]
Downloading: 100%|██████████| 232k/232k [00:00<
00:00, 756kB/s]
...
Downloading: 100%|██████████| 28.0/28.0 [00:00<
00:00, 7.14kB/s]
```

init\_qa.ipynb hosted with ❤ by GitHub

[view raw](#)

Given a question and several contexts we tokenize and encode like so:

```
[1]:  
  
contexts = [  
    "canberra is the capital city of australia",  
    "what is the capital city of australia?",  
    "the capital city of france is paris",  
    "what is the best selling sci-fi book?",  
    "sc-fi is a popular book genre read by millions",  
    "the best-selling sci-fi book is dune",  
    "how many searches are performed on Google?",  
    "Google serves more than 2 trillion queries annually",  
    "Google is a popular search engine"  
]
```

```
In [8]: xb_tokens = ctx_tokenizer(contexts, max_length=256, padding='max_length',  
                               truncation=True, return_tensors='pt')  
xb = ctx_model(**xb_tokens)  
  
xq_tokens = question_tokenizer(questions, max_length=256, padding='max_length',  
                               truncation=True, return_tensors='pt')  
xq = question_model(**xq_tokens)  
  
In [9]: xb.pooler_output.shape, xq.pooler_output.shape  
  
Out[9]: (torch.Size([9, 768]), torch.Size([3, 768]))
```

qa\_encode.ipynb hosted with ❤ by GitHub

[view raw](#)

*Note that we have included the questions within our contexts to confirm that the bi-encoder architecture is not just producing a straightforward semantic similarity operation as with sentence-transformers.*

Now we can compare our query embeddings `xq` against all of our context embeddings `xb` to see which are the most similar with *cosine similarity*.

In [10]:

```
import torch

for i, xq_vec in enumerate(xq.pooler_output):
    probs = cos_sim(xq_vec, xb.pooler_output)
    argmax = torch.argmax(probs)
    print(questions[i])
    print(contexts[argmax])
    print('---')
```

```
what is the capital city of australia?
canberra is the capital city of australia
---
what is the best selling sci-fi book?
the best-selling sci-fi book is dune
---
how many searches are performed on Google?
how many searches are performed on Google?
---
```

qa\_cos\_sim.ipynb hosted with ❤ by GitHub

view raw

Out of our three questions, we returned two correct answers as the *very top* answer. It's clear that DPR is not the *perfect* model, particularly when considering the simple nature of our questions and small dataset for DPR to retrieve from.

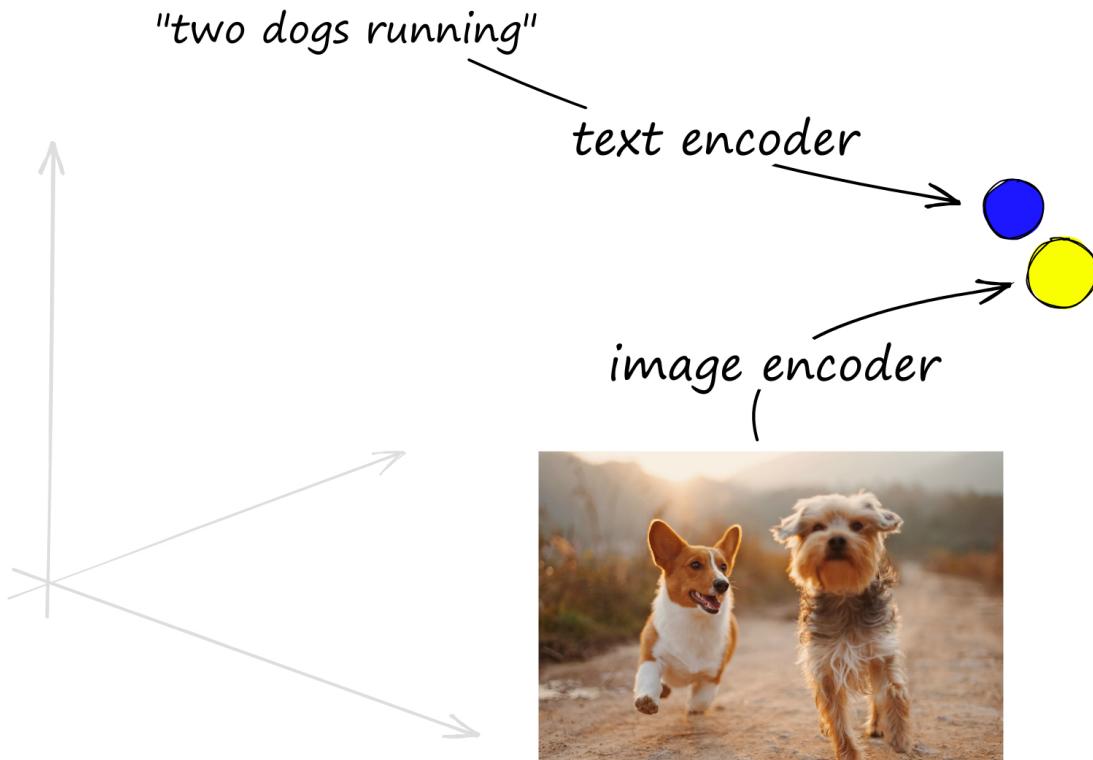
On the positive side however, in ODQA we would return many more contexts and allow a *reader* model to identify the best answers. Reader models can 're-rank' contexts, so retrieving the top context immediately is not required to return the correct answer. If we were to retrieve the most relevant result 66% of the time, it would likely be a good result.

We can also see that despite hiding *exact matches* to our questions in the contexts, they interfered with only our last question, being correctly ignored by the first two questions.

## Vision Transformers

Computer vision (CV) has become the stage for some exciting advances from transformer models — which have historically been restricted to NLP.

These advances look to make transformers the first widely adopted ML models that excel in both NLP *and* CV. And in the same way that we've been creating dense vectors representing language. We can do the same for images — and even encode images and text into the same vector space.



Using specific text and image encoders, we can encode text and images to the same vector space. Photo credit [Alvan Nee](#).

The *Vision Transformer (ViT)* was the first transformer applied to CV without the assistance of any upstream CNNs (as with VisualBERT [7]). The authors found that ViT can *sometimes* outperform state-of-the-art (SOTA) CNNs (the long-reigning masters of CV) [8].

These ViT transformers have been used alongside the more traditional language transformers to produce fascinating image and text encoders, as with OpenAI's CLIP model [9].

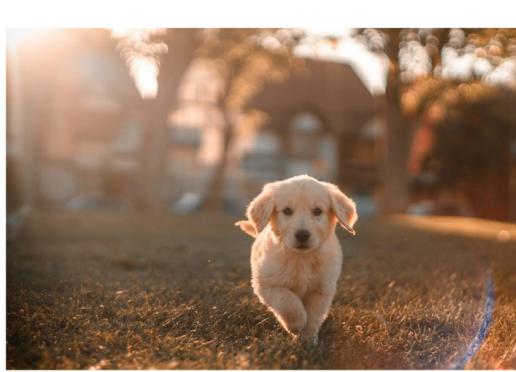
The CLIP model uses two encoders like DPR, but this time we use a ViT model as our image encoder and a *masked self-attention* transformer like BERT for text [10]. As with DPR, these two models are trained in parallel and optimized via a contrastive loss function — producing *high similarity* vectors for image-text pairs.

That means that we can encode a set of images and then match those images to a caption of our choosing. And we can use the same encoding and cosine similarity logic we have used throughout the article. Let's go ahead and try.

## Image-Text Embedding

Let's first get a few images to test. We will be using three images of dogs doing different things from Unsplash (links in the caption below).

```
In [12]:  
from PIL import Image  
import requests  
  
urls = [  
    "https://images.unsplash.com/photo-15762018  
    "https://images.unsplash.com/photo-15912941  
    "https://images.unsplash.com/photo-15481999  
]  
  
images = [  
    Image.open(requests.get(url, stream=True).r  
  
# Let's see what we have  
for image in images:  
    plt.show(plt.imshow(np.asarray(image)))
```

*"a dog running"**"a dog hiding  
behind a tree"**"two dogs running"*

Images downloaded from Unsplash (captions have been manually added — they are not included with the images), photo credits to Cristian Castillo [1, 2] and [Alvan Nee](#).

We can initialize the CLIP `model` and `processor` using `transformers` from Hugging Face.

```
In [11]: from transformers import CLIPProcessor, CLIPModel
        model = CLIPModel.from_pretrained('openai/clip-
        processor = CLIPProcessor.from_pretrained('oper
        Downloading: 100%|██████████| 3.98k/3.98k [00:0
        0<00:00, 916kB/s]
        Downloading: 100%|██████████| 605M/605M [00:44<
        00:00, 13.6MB/s]
        Downloading: 100%|██████████| 316/316 [00:00<0
        0:00, 121kB/s]
        ...
        Downloading: 100%|██████████| 1.49M/1.49M [00:0
        0<00:00, 2.60MB/s]
        ftfy or spacy is not installed using BERT Basic
        Tokenizer instead of ftfy.
```

Now let's create three true captions (plus some random) to describe our images and preprocess them through our `processor` before passing them on to our `model`. We will get output logits and use an `argmax` function to get our predictions.

```
In [13]: captions = ["a dog hiding behind a tree",
               "two dogs running",
               "a dog running",
               "a cucumber on a tree",
               "trees in the park",
               "a cucumber dog"]

inputs = processor(
    text=captions, images=images,
    return_tensors='pt', padding=True
)

outputs = model(**inputs)

probs = outputs.logits_per_image.argmax(dim=1)
probs
```

Out[13]: tensor([2, 0, 1])

```
In [14]: for i, image in enumerate(images):
    argmax = probs[i].item()
    print(captions[argmax])
    plt.show(plt.imshow(np.asarray(image)))
```

a dog running

CLIP\_predictions.ipynb hosted with ❤ by GitHub

[view raw](#)

And there, we have flawless image-to-text matching with CLIP! Of course, it is not perfect (our examples here are reasonably straightforward), but it produces some awe-inspiring results in no time at all.

Our model has dealt with comparing text and image embeddings. Still, if we wanted to extract those same embeddings used in the comparison, we access `outputs.text_embeds` and `outputs.image_embeds`.

```
In [15]: outputs.keys()
```

```
Out[15]: odict_keys(['loss', 'logits_per_image', 'logits_per_text', 'text_embeds', 'image_embeds', 'text_model_output', 'vision_model_output'])
```

```
In [16]: outputs.text_embeds.shape, outputs.image_embeds
```

```
Out[16]: (torch.Size([6, 512]), torch.Size([3, 512]))
```

CLIP\_embeddings.ipynb hosted with ❤ by GitHub

[view raw](#)

And again, we can follow the same logic as we previously used with cosine similarity to find the closest matches. Let's compare the embedding for '[a dog hiding behind a tree](#)' with our three images with this alternative approach.

```
In [17]: xq = outputs.text_embeds[0] # 'a dog hiding be  
xb = outputs.image_embeds
```

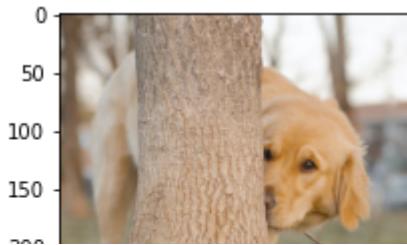
```
In [18]: sim = cos_sim(xq, xb)  
sim
```

```
Out[18]: tensor([[0.2443, 0.3572, 0.1681]], grad_fn=<_MmB  
ackward>)
```

```
In [19]: pred = sim.argmax().item()  
pred
```

```
Out[19]: 1
```

```
In [20]: plt.show(plt.imshow(np.asarray(images[pred])))
```



CLIP\_embeds\_cos\_sim.ipynb hosted with ❤ by GitHub

[view raw](#)

As expected, we return the dog hiding behind a tree!

That's it for this overview of both the early days of dense vector embeddings in NLP and the current SOTA. We've covered some of the most exciting applications of both text and image embeddings, such as:

- Semantic Similarity with [sentence-transformers](#).
- Q&A retrieval using Facebook AI's DPR model.
- Image-text matching with OpenAI's CLIP.

We hope you learned something from this article.

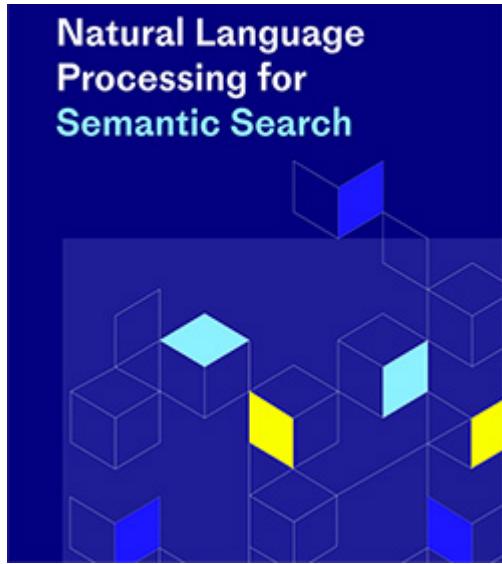
# References

- [1] T. Mikolov, et al., [Efficient Estimation of Word Representations in Vector Space](#) (2013)
- [2] T. Mikolov, et al., [Linguistic Regularities in Continuous Space Word Representations](#) (2013), NAACL HLT
- [3] M. Alcorn, [\(batter|pitcher\)2vec: Statistic-Free Talent Modeling With Neural Player Embeddings](#) (2017), MIT Sloan: Sports Analytics Conference
- [4] N. Reimers, I. Girevych, [Sentence-BERT: Sentence Embeddings using Siamese BERT-Networks](#) (2019), EMNLP
- [5] N. Reimers, [SentenceTransformers Documentation](#), sbert.net
- [6] V. Karpukhin, et al., [Dense Passage Retrieval for Open-Domain Question Answering](#) (2020), EMNLP
- [7] L. H. Li, et al., [VisualBERT: A Simple and Performant Baseline for Vision and Language](#) (2019), arXiv
- [8] A. Dosovitskiy, et al., [An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale](#) (2020), arXiv
- [9] A. Radford, et al., [CLIP: Connecting Text and Images](#) (2021), OpenAI Blog
- [10] [CLIP Model Card](#), Hugging Face

---

Next Chapter:

Sentence Embeddings and Transformers



## Natural Language Processing (NLP) for Semantic Search

Chapters:

### 1. Dense Vectors

- Dense vs Sparse Vectors
- Generating Dense Vectors
- References

### 2. Sentence Embeddings and Transformers

### 3. Training Sentence Transformers with Softmax Loss

### 4. Training Sentence Transformers with MNR Loss

### 5. Multilingual Sentence Transformers

### 6. Question Answering

### 7. Unsupervised Training for Sentence Transformers

### 8. Making the Most of Data: Augmentation with BERT

Share:



# What will you build?

Upgrade your search or recommendation systems with just a few lines of code, or [contact us](#) for help.

[Create Account](#)

Pricing

Docs

Learn

Company

Contact

Careers

© Pinecone Systems, Inc. | San Mateo, CA | [Terms](#) | [Privacy](#) | [Product Privacy](#) | [Cookies](#) | [Trust & Security](#)

Get product and article updates

Email address

[Get Updates](#)