

CS471: Operating System Concepts

Fall 2006

(Lecture: TR 11:25-12:40 PM)

Homework #3

Points: 20

Due: September 19, 2006

Question 1 [Points 10] Exercise 6.1: Provide an argument to show that the algorithm satisfies all three requirements for the critical section problem.

Answer: To prove property (a) we note that each p_i enters the critical section only if the $flag[j] = false$. Since only p_i can update $flag[j]$, and since p_i inspects $flag[j]$ only while $flag[j] = true$, the results follows

- a. To prove property (b), we first note that the value of variable *turn* is changed only at the end of the critical section. Suppose that only process p_i wants to enter the critical section. In this case, it will find $flag[j] = false$ and immediately enter the critical section, independent of the value of the *turn*. Suppose that both processes want to enter the critical section and the value of $turn = 0$. Two cases are now possible. If p_i finds $flag[0] = false$ then it will enter the critical section. If p_i finds the $flag[0] = true$ then it will enter the critical section. If p_i finds $flag[0] = true$ then we claim that p_0 will enter critical section before p_1 . Moreover, it will do so within a finite amount of time.
- b. To demonstrate this fact, first consider process p_0 . Since $turn = 0$, it will only be waiting for $flag[1]$ to be set to false; more precisely, it will not be executing in the *begin* block associated with the *if* statement. Furthermore, it will not change the value of $flag[0]$. Meanwhile, process p_1 will find $flag[0] = true$ and $turn = 0$. It will set $flag[1] = false$ and wait until $turn = 1$. At this point, p_0 will enter the critical section. A symmetric argument can be made if $turn = 1$.

Question 2 [Points 5] Exercise 6.9: Show that, if the wait and signal operations are not executed atomically, then mutual exclusion may be violated.

Answer: Consider the wait(S) semaphore operation defined in page 202 of the textbook. Suppose wait(S) is not executed atomically. Then there will be several problems. As an example consider the statement $S \rightarrow \text{value}--$. This statement actually consists of 3 CPU instructions.
LOAD S \rightarrow value
SUB 1
STO S \rightarrow value

If two processes P_i and P_j execute the wait statement and if the $S \rightarrow \text{value}$ is 1 before P_i and P_j execute the wait statement. We know how the final value of

$S \rightarrow \text{value}$ may be either -1 or 0 depending on how the CPU instructions of P_i and P_j are interleaved. Only -1 value is correct. If the value was 0, then there would be 1 process in the list but the value would show that there are now. This creates a problem.

Similarly, if $\text{signal}(S)$ is not implemented atomically, due to similar problems (e.g., $S \rightarrow \text{value}++$), mutual exclusion condition could be violated due to incorrect value.

Question 3 [Points 5] Exercise 6.13: Write a bounded-buffer monitor in which the buffers (portions) are embedded within the monitor itself.

Answer:

Monitor boundedbuffer

```
{
    Const bufsize = 100;
    item buf[100];
    int in, out, count;
    condition Producer, Consumer;

    void produce (item x) {

        if (count == bufsize) Producer.wait();
        buf[in] = x;
        count++;
        in = (in + 1) % n;
        Consumer.signal();
    }

    void consume (item& x) {
        if (count == 0) Consumer.wait();
        x = buf[out];
        count--;
        out = (out + 1) % n;
        Producer.signal();
    }

    initialization_code() {
        in:=0;
        out:=0;
        count:=0;
    }
}
```