# CSE556: Natural Language Processing Assignment-3

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### 1 Task 1

## 1.1 Preprocessing Steps

Preprocessing is done by the tokenizer when it tokenizes the input for the model. We are removing the speaker names present in the dataset, for example, "First Citizen:" or "All:" as it doesn't contribute to the meaning of a sentence, and we don't want to generate this in our intended task. We are converting all the text to lowercase and removing extra whitespace. We are also removing punctuations - [: , . ? ! ;] - as they don't contribute to the meaning of the sentence except for apostrophes, for example, "We know't , we know't .", here 't means it.

## 1.2 Model Architecture and Hyperparameters

The model is a causal (autoregressive) Transformer-based Language Model and follows the decoderonly paradigm, where causal masking is used to prevent attention to future tokens. It learns to predict the next token in a sequence based on previous ones.

The **MultiHeadAttention** block has linear projection layers for query, key and value. It splits Q, K and V across multiple heads, performs self-attention across heads, merges them back and passes through a final projection layer.

The **TransformerBlock** has a multi-head self-attention block and a feed-forward neural network of two linear layers with GELU activation over the first layer. It has two Add & Norm layers for each multi-head attention block and feed-forward network. It implements skip connections as well. The **TransformerLM** uses an embedding layer to generate token embeddings of dimension 'd\_model'. It adds positional encoding to each embedding. It has a stack of 6 transformer blocks and then a final linear layer to project hidden states to vocabulary logits.

The model parameters are MAX\_LEN = 256, d\_model = 512, num\_heads = 8, dropout\_probability = 0.1, d\_query = d\_key = d\_value = 64 (d\_model/num\_heads).

The hyperparameters (chosen empirically after trying various configurations) are learning\_rate = 1e-4 and  $num\_epochs = 20$ .

#### 1.3 Training and Validation Loss

Figure 7 shows the plot for training and validation loss over the epochs.



Figure 1: Loss plot for TransfomerLM (task1)

# 2 Task 2

## 2.1 Preprocessing Steps

The following steps were applied:

- Lowercase Conversion: All input text is converted to lowercase to eliminate case-based discrepancies.
- Contraction Expansion: Contractions (e.g., "can't") are expanded to their full forms (e.g., "cannot") using the contractions library.
- **Abbreviation Expansion:** Common abbreviations (e.g., "gov.") are replaced with their full forms (e.g., "governor") using a predefined dictionary.
- URL Removal: URLs are removed using regular expressions to avoid non-informative tokens.
- **Special Character Removal:** Unnecessary special characters are removed while preserving essential punctuation for readability.
- Whitespace Normalization: Extra whitespace is removed to standardize the input text.

### 2.2 Model Architecture and Hyperparameters

The primary model used in this task is BART.

#### Model Architecture

- BART: An encoder-decoder architecture that efficiently handles tasks like summarization and, in this case, claim normalization.
- Tokenizer: The model utilizes the associated BART tokenizer which handles tokenization, padding, and truncation.

### Hyperparameters

Key hyperparameters include:

• Learning Rate:  $3 \times 10^{-5}$ 

Weight Decay: 0.01Warmup Steps: 500

 $\bullet\,$  Maximum Input Length: 512 tokens

• Maximum Target Length: 128 tokens

• Batch Size: 8 during training (with a larger batch size for inference when memory permits)

• Number of Training Epochs: 3

• Beam Search: Beam size of 4 is used during generation to improve prediction quality.

# 2.3 Training and Validation Loss Plots

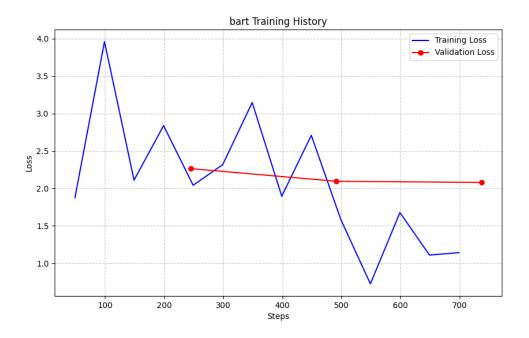


Figure 2: Loss plot for Bart

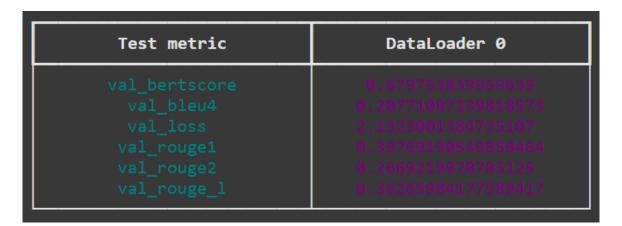


Figure 3: Bart Metric



Figure 4: Loss plot for T5

Test metric	DataLoader 0
val_bertscore val_bleu4 val_loss val_rouge1 val_rouge2 val_rouge_l	0.6522139310836792 0.19351518154144287 2.40468168258667 0.3612382411956787 0.23798666894435883 0.32908788323402405

Figure 5: T5 Metric

### 2.4 Evaluation Metrics on the Test Set

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Sample predictions:
Example 1:
Post: i declare covid 19 over world health organisation boss says coronavirus is no longer a global emerge...
True claim: the world health organisation has declared the covid 19 pandemic over.
Predicted: the coronavirus is no longer a global emergency.

Example 2:
Post: ajike media update, donald trump love for biafra...
True claim: false donald trump did not call kenya a very corrupt country
Predicted: ajike media update, donald trump love for biafra

Example 3:
Post: nobody making under 400,000 will have their taxes raised. period, says joebiden ....
True claim: biden s tax rate on a family making 75,000 dollars would go from 12 to 25.
Predicted: under 400,000 people will have their taxes raised. period, says joe biden.

Example 4:
Post: winner of 1.28 billion lottery gets 433.7 million after tax. congratulations to the irs on winning t...
True claim: irs would collect 846 million from the winner of a 1.28 billion lottery
Predicted: winner of 1.28 billion lottery gets 433.7 million after tax

Example 5:
Post: it is horrible, look at the fire of today s blast. peshawarblast peshawar peshawarunderattack peshaw...
True claim: it is horrible, look at the fire of today s blast. peshawarblast peshawar peshawarunderattack peshawarattack
Predicted: it is horrible, look at the fire of today s blast.

Evaluation metrics on sample predictions:
ROUGE-L: 0.3380
BLEU-4: 0.2390
BERTScore: 0.6626
```

Figure 6: Predictions for Samples in Test Dataset using BART

```
Sample predictions:
Example 1:
Post: i declare covid 19 over world health organisation boss says coronavirus is no longer a global emerge...
  True claim: the world health organisation has declared the covid 19 pandemic over.

Predicted: covid 19 over world health organisation boss says coronavirus is no longer a global emergency.
  Ost: ajike media update, donald trump love for biafra...
True claim: false donald trump did not call kenya a very corrupt country
  Predicted: donald trump love for biafra
Example 3:
  Post: nobody making under 400,000 will have their taxes raised. period, says joebiden ....
  True claim: biden s tax rate on a family making 75,000 dollars would go from 12 to 25 .
  Predicted: nobody making under 400,000 will have their taxes raised.
  Post: winner of 1.28 billion lottery gets 433.7 million after tax. congratulations to the irs on winning t...
  Predicted: winner of 1.28 billion lottery gets 433.7 million after tax.
  Post: it is horrible, look at the fire of today s blast. peshawarblast peshawar peshawarunderattack peshaw...

True claim: it is horrible, look at the fire of today s blast. peshawarblast peshawar peshawarunderattack peshawarattack
  Predicted: peshawar peshawar attack peshawarattack peshawarattack
Evaluation metrics on sample predictions:
  ROUGE-L: 0.2562
  BLEU-4: 0.0000
  BERTScore: 0.6686
```

Figure 7: Predictions for Samples in Test Dataset using T5

#### 2.5 Comparative Analysis of Model Performance

Two models were considered during experimentation:

- BART: Achieved strong performance with consistent loss reduction and high evaluation scores on the test set. Its encoder-decoder architecture proved effective for the normalization task.
- **T5:** Preliminary comparisons suggest that while T5 is efficient, the BART model yielded better results under the specific experimental conditions.

The comparison is illustrated in the metrics bar chart (plots/model\_comparison.png), which visually contrasts the performance based on ROUGE-L, BLEU-4, and BERTScore.

#### 2.6 Discussion on Resource Constraints and Model Selection

Resource constraints played a significant role in the model selection and training process:

- Hardware Availability: The experiments were optimized to utilize available GPU resources. When GPUs were not available, the code automatically fell back to CPU execution.
- Memory Management: Batch sizes and maximum sequence lengths were carefully chosen to avoid memory overload, ensuring that the training process was both stable and efficient.
- Computational Efficiency: BART was preferred due to its balance between performance and computational demands. Although T5 is a robust alternative, its larger model size and higher computational requirements made it less favorable given the available resources.
- Scalability: The training and inference pipelines were designed to process data in batches. This approach not only enhanced scalability but also minimized the risk of exhausting computational resources during experimentation.

### 3 Task 3

# 3.1 Preprocessing

- Dataset Loading: The dataset is loaded from a TSV file containing columns for pid, text, explanation, and target\_of\_sarcasm. Additional image descriptions and detected objects are loaded from pickle files.
- Text Preprocessing: For each sample, the text components (sarcasm\_target, text, image description, and detected objects) are concatenated into a single input string.
- Image Preprocessing: Images are loaded from a specified directory (with .jpg extension) and transformed using resizing to  $224 \times 224$ , conversion to tensor, and normalization (mean = [0.5, 0.5, 0.5] and std = [0.5, 0.5, 0.5]).
- **Tokenization:** The concatenated text inputs and target explanations are tokenized using the BartTokenizer, with padding and truncation applied (maximum length of 256 for inputs and 64 for targets).
- Custom Collation: A custom collate function is used to batch the tokenized inputs, targets, and images.

## 3.2 Model Architecture & Hyperparameters

### • Model Components:

- Text Encoder-Decoder: BartForConditionalGeneration (using the facebook/bart-base checkpoint) is used for generating the explanation text.
- Image Encoder: ViTModel (using the google/vit-base-patch16-224 checkpoint) extracts image features.
- Fusion: A linear fusion layer projects the ViT global image feature to the dimensionality
  of BART's hidden states. The projected image feature is added (broadcast) to each token
  embedding from BART's encoder.

#### • Hyperparameters:

- Input and Target Tokenization: Maximum token length of 256 for input text and 64 for target explanation.
- Training:
  - \* Optimizer: AdamW with a learning rate of  $5 \times 10^{-5}$ .
  - \* Batch size: 8.
  - \* Number of epochs: 3.

#### - Generation Settings (Validation):

- \* Decoding: Beam search with sampling.
- \* Number of beams: 4.
- \* Maximum generation length: 64 tokens.
- $\ast$  Temperature: 1.5.
- \* Top-K: 50.
- \* Repetition penalty: 2.0.
- \* No-repeat n-gram size: 3.
- \* Early stopping enabled.
- Post-processing: A custom function is applied to remove repeated sentences and collapse repeated tokens.

#### 3.3 Evaluation

#### Training Loss and Validation Loss:

Epoch	Training Loss	Validation Loss
Epoch 1	3.1209	0.1868
Epoch 2	0.1065	0.0101
Epoch 3	0.0321	0.0029

#### **Evaluation Metrics on Validation Set:**

Metric	Epoch 1	Epoch 2	Epoch 3
ROUGE-1	0.0665	0.0379	0.0375
ROUGE-2	0.0003	0.0000	0.0005
ROUGE-L	0.0627	0.0369	0.0359
BLEU	0.0078	0.0046	0.0046
METEOR	0.0411	0.0223	0.0229
BERTScore F1	0.7759	0.7685	0.7611

#### Sample Generated Explanations (Validation)

- GT: the author is pissed at <user>for not getting network in malad.
- GT: nothing worst than waiting for an hour on the tarmac for a gate to come open in snowy, windy chicago.
- GT: nobody likes getting one hour of their life sucked away.
  - **Pred:** springspringspringspringspring spring spring spring spring spring spring spring rise rises rise rose rise rise rise rise rise rise rise is above below above over above above lower bottom

# 4 Individual Contribution

- Himanshu Raj: Task 1 implementation and report
- Ishita: Task 2 implementation and report
- Ritika Thakur: Task 3 implementation and report

### 5 References

• Target-Augmented Shared Fusion-based Multimodal Sarcasm Explanation Generation