ECE 637: Lab 5

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Section 2.1 Report

Scatter plots of W, \tilde{X} and X

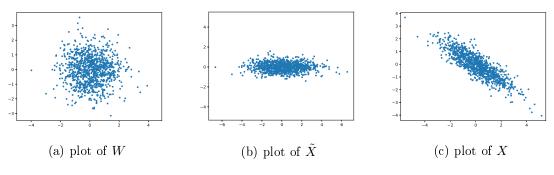


Figure 1: Scatter plots

For python code refer to Listing 7 at page 11.

Section 2.2 Report

- 1. Theoretical value of $R_x = \begin{bmatrix} 2 & -1.2 \\ -1.2 & 1 \end{bmatrix}$
- 2. Numerical listing of \hat{R}_x can be found in lines 5-7 of Listing 1 at page 2
- 3. Scatter plots of \tilde{X}_i and W

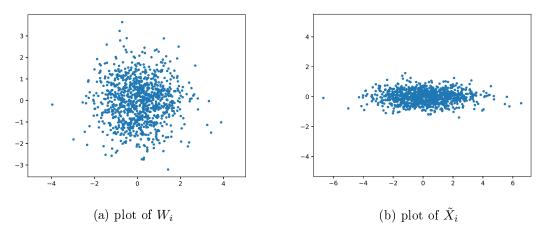


Figure 2: Scatter plots

4. Numerical listing of \hat{R}_W can be found in lines 13-15 of Listing 1 at page 2

Listing 1: output log for section2

```
Input covariance (R):
    [\,[\, 2\,.
              -1.2]
     [-1.2]
              \begin{bmatrix} 1 & \end{bmatrix}
    Estimated covariance (R hat):
    [ 2.0016139
                         -1.23182429
     [-1.23182429
                          1.024182
    \label{eq:covariances} \mbox{Difference in covariances} \left( \mbox{\,R\_hat--\!R} \right) :
10
    [[ 0.0016139
                         -0.03182429
     [-0.03182429
                          0\,.\,0\,2\,4\,1\,8\,2
11
   Estimated covariance of R W hat:
13
    \begin{bmatrix} 1.0000000000e+00 & -1.32739165e-15 \end{bmatrix}
                              1.000000000e+00]
     [-1.32739165e-15]
```

Section 4 Report

1. Eigen images:

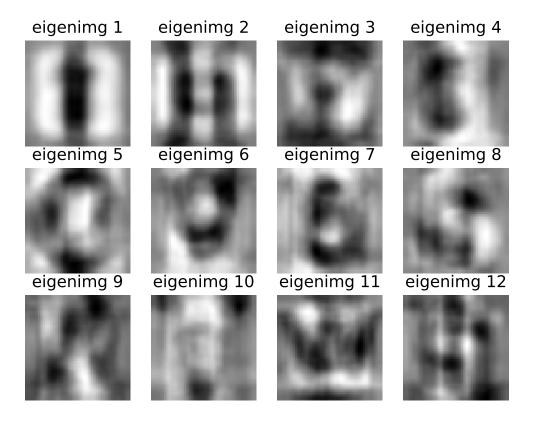
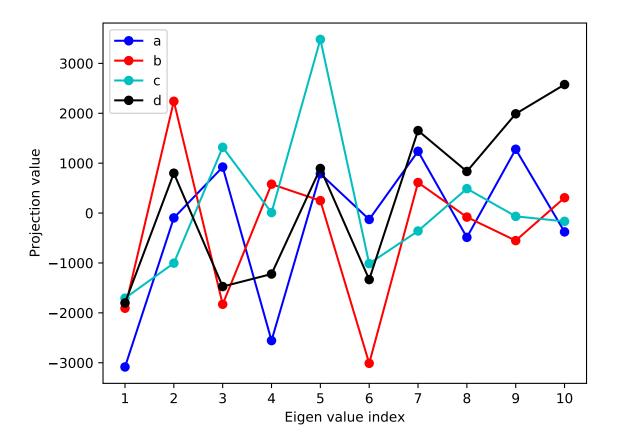
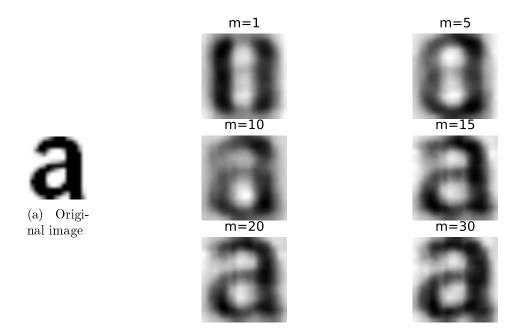


Figure 3: First 12 eigen images with title

2. Plot of projection coefficients vs eigen vector number:



3. Original image vs 6 resynthesized versions:



(b) Resynthesized image

For python code refer to Listing 8 at page 12

Section 5.1 Report

The model has an accuracy of 69.231%. The mis-classified images are indicated with 'x' in the third column of Listing 2 at page 6

Listing 2: output log for classification

```
option-0
 \begin{array}{c} 2 \\ 3 \\ 4 \end{array}
      True
                       {\tt Predicted}
      a
            a
      b
             b
 5
      \mathbf{c}
             \mathbf{c}
 6
7
      \mathbf{d}
             \mathbf{a}
                    х
      e
             \mathbf{e}
 8
      f
              f
 9
      g
             g
10
      h
             h
              i
      j
             y
                    \mathbf{x}
13
      k
             k
14
      l
15
      \mathbf{m}
            \mathbf{m}
16
      n
             \mathbf{v}
17
      o
             o
18
      p
             \mathbf{e}
                    Х
19
             \mathbf{a}
      \mathbf{q}
20
      r
             r
21
      \mathbf{s}
              \mathbf{S}
22
      t
              t
23
      \mathbf{u}
             \mathbf{a}
                    х
^{24}
      \mathbf{v}
25
      w
             w
26
      \mathbf{x}
             \mathbf{x}
27 y
             \mathbf{v}
                     х
28 z
29 Accuracy: 69.231
30
```

Section 5.2 Report

The classification results for all modifications can be found at Listings 3-6.

- 1. From listings 3-6 we can observe that modifications #1,#2,#3 perform the best with same accuracy (92.308%) on testing data.
- 2. When we constrain the covariance (R_k) we essentially are trying to obtain well-conditioned B_k which helps in computing the inverse (B_k) for computing class scores. This solution is particularly helpful when we have limited training data which can lead to singular ML estimates of R_k . Here is a discussion on the effect of constraining for each modification:
 - (a) Modification #1: Accuracy = 92.308%

$$B_k = \Lambda_k$$

We make the assumption that we have a pure quadratic classifier (ie only y_i^2 terms). Also since we chose only the diagonal terms there are more chances that B_k is non-singular (ie $[\Lambda_k]_{ii} \neq 0 \quad \forall \quad i \in [1, 2, ..., n]$). This explains the improvement in accuracy compared to using R_k .

(b) Modification #2: Accuracy = 92.308%

$$B_k = R_{wc} = \frac{1}{K} \sum_{k=1}^{K} R_k$$

We make the assumption that we have the same well-conditioned quadratic classifier $B_k = R_{wc}$ for all K classes. Since we compute B_k using a sum, the estimate has higher chances of being non-singular. This explains the improvement in accuracy compared to using R_k .

(c) Modification #3: Accuracy = 92.308%

$$B_k = \Lambda$$

We make the assumption that we have the same well-conditioned pure-quadratic classifier B_k for all K classes using only the diagonal terms of R_{wc} to construct Λ . This explains the improvement in accuracy compared to using R_k .

(d) Modification #4: Accuracy = 88.462%

$$B_k = I$$

We make the assumption that we have a linear classifier as $B_k = I$. Even though B_k is non-singular in this case but we have reduced the complexity of our model. This explains the improvement in accuracy compared to using R_k . However the model does not have enough expressivity to get accuracy close to a quadratic classifier (Modification #1-#3).

Listing 3: output log for modification #1

```
\mathrm{option}\,{-}1
2
3
4
5
6
7
8
9
10
       True
                             Predicted
       \mathbf{a}
                \mathbf{a}
       b
                b
       \mathbf{c}
                \mathbf{c}
       \mathbf{d}
                \mathrm{d}
       \mathbf{e}
                e
       f
                f
       g
                g
                \mathbf{h}
\begin{array}{c} 11 \\ 12 \end{array}
       i
                l
                         x
       j
                 j
13
       k
                k
14
       l
                l
15
       m
16
       \mathbf{n}
                \mathbf{n}
17
       О
                o
18
       p
19
       \mathbf{q}
                \mathbf{q}
\begin{array}{c} 20 \\ 21 \end{array}
       r
       \mathbf{s}
22
       t
23
       \mathbf{u}
                \mathbf{u}
24
       v
25
       w
                \mathbf{w}
26
       x
                \mathbf{X}
^{27}
       \mathbf{y}
                \mathbf{v}
28
       \mathbf{z}
                \mathbf{z}
29
       Accuracy: 92.308
```

Listing 4: output log for modification #2

```
\mathrm{option}\,{-}2
 2
3
                       {\tt Predicted}
      True
      \mathbf{a}
             a
      b
             b
 5
6
7
      \mathbf{c}
             \mathbf{c}
      \mathrm{d}
             \mathrm{d}
      e
 8
      f
             f
 9
      g
             \mathbf{q}
                    х
10
      h
             h
11
      i
             i
12
      j
             j
13
      k
             k
14
      l
             1
15
     \mathbf{m}
            \mathbf{m}
16
      \mathbf{n}
             n
17
      o
18
      p
             p
19
      \mathbf{q}
             {\bf q}
20
      r
21
22
      \mathbf{t}
23 | u
24 | v
25 | w
             \mathbf{u}
```

```
26 | x | x | x | 27 | y | v | x | 28 | z | z | 29 | Accuracy : 92.308 | 30 |
```

Listing 5: output log for modification #3

```
\mathrm{option}\,{-}3
 \begin{array}{c} 2 \\ 3 \\ 4 \end{array}
      True
                          Predicted
      \mathbf{a}
               \mathbf{a}
      b
               b
 5
6
      \mathbf{c}
               \mathbf{c}
      \mathrm{d}
               \mathrm{d}
      \mathbf{e}
               e
      f
               t
                      x
      g
               g
10
               h
11
12
13
      k
               k
14
               l
15
      \mathbf{m}
              \mathbf{m}
16
      \mathbf{n}
               n
17
18
               p
19
               {\bf q}
21
22
23
^{24}
25
      w
               \mathbf{w}
26
      \mathbf{x}
               \mathbf{x}
^{27}
      \mathbf{y}
               \mathbf{v}
                      \mathbf{x}
28
      \mathbf{z}
29
      Accuracy: 92.308
```

Listing 6: output log for modification #4

```
option-4
     True
                   Predicted
 3
 4
     b
           b
 5
     \mathbf{c}
           \mathbf{c}
     \mathrm{d}
           \mathrm{d}
     e
           e
     f
                \mathbf{x}
 9
     g
           \mathbf{q}
10
     h
           h
11
     i
           i
12
13 k
           k
14
     l
15 m
          \mathbf{m}
16 n
          \mathbf{n}
17 o
18 p
           o
           p
```

```
19 | q | q

20 | r | r

21 | s | s

22 | t | t

23 | u | u

24 | v | v

25 | w | w

26 | x | x

27 | y | v | x

28 | z | z

29 | Accuracy : 88.462
```

Appendix

Got to git repo for complete code.

Listing 7: Python code for section 2

```
#!/usr/bin/env python3
1
   \# -*- coding: utf-8 -*-
   Created on Mon Mar 1 17:46:46 2021
   @author: rahul
 6
   course: ece637 DIP-1
7
   lab5: 2.1 and 2.2
8
9
10
   import sys
11
12
   import numpy as np
13
   import matplotlib.pyplot as plt
14
15
   np.random.seed (seed = 637)
   Rx = np. array([[2.0, -1.2], [-1.2, 1.0]])
16
17
   def plot pts(W, name):
18
19
        plt.figure()
20
        plt . plot (W[0,:],W[1,:], '.')
21
        plt.axis('equal')
        plt savefig (name+'.eps',format='eps')
22
23
        plt.close()
^{24}
25
    def estimate mean cov(X):
26
        "unbiased estimate of mean and cov (MLE)"
27
        dim, num pts= X. shape
28
        mu hat = X.sum(axis=1)/num pts
29
        R hat= np.zeros((dim,dim))
         for \ i \ in \ range(num\_pts): \ R\_hat += np.outer(X[:,i] - mu\_hat, X[:,i] - mu \ hat) 
30
31
        R_hat /= num_pts - 1.0
32
        return mu hat, R hat
33
34
35
    def main(num pts):
36
        #Section 2.1
37
        size, =Rx.shape
        I = np.eye(size)
38
39
        mu = np.zeros(size)
40
        Lam, E = np. linalg.eig(Rx)
       W = np.random.multivariate normal(mu, I, num pts).T
41
42
        X scaled = np.dot(np.diag(np.sqrt(Lam)),W)
43
        X = np.dot(E, X scaled)
        plot_pts(W, 'W')
44
45
        plot pts(X scaled, 'X scaled')
46
        plot pts(X, 'X')
47
        #Section 2.2
48
49
        mu hat, R hat = estimate mean cov(X)
50
        print('Input covariance(R): ')
51
        print(Rx); print()
52
        print('Estimated covariance(R hat): ')
        print(R hat); print()
```

```
54
        print('Difference in covariances(R hat-R): ')
55
        print(R hat-Rx); print()
56
57
       Lam hat, E hat = np.linalg.eig(R hat)
58
       X scaled hat = np.dot(E hat.T,X)
59
       W hat = np.dot(np.diag(np.sqrt(1/Lam hat)), X scaled hat)
60
       mu\_W\_hat, \;\; R\_W\_hat = \; estimate\_mean\_cov(W\_hat)
        print ('Estimated covariance of R W hat:
61
62
        print (R W hat); print ()
63
        plot pts(X scaled hat, 'X scaled hat')
64
        plot _pts(W_hat, 'W_hat')
65
66
67
   i f name ==" main ":
       68
69
       main (num pts)
```

Listing 8: Python code for PCA

```
#!/usr/bin/env python3
 1
 2
     \# -*- coding: utf-8 -*-
 3
     Created on Mon Mar 1 20:19:53 2021
 4
 5
     @author: rahul
 6
 7
     course: ece637 DIP-1
 8
     lab: 5 section 4
 9
10
11
     import numpy as np
12
     import matplotlib.pyplot as plt
13
     from read data import read data
14
     Ht=64
15
    Wd=64
16
17
    \mathbf{m} = [1,5,10,15,20,30]
18
19
     def display_eigen_images(U,Lam):
          fig , axs = plt .subplots(3, 4)
20
21
           for k in range (12):
22
               img=np.reshape(U[:,k],(Wd,Ht))
^{23}
                axs[k/4, k\%4].imshow(img, cmap=plt.cm.gray, interpolation='none')
                axs[k//4,k\%4].set\_title('eigenimg'+str(k+1))
^{24}
                axs[k//4,k\%4].axis('off')
25
26
           plt.savefig('eigen images.pdf')
27
          plt.close()
28
^{29}
     def plot projections(Y):
30
          c=10
31
          x = np.arange(1, c+1, 1)
32
          plt.figure()
33
          plt.xticks(x)
           plt.\; plot\; (x\,,Y\,[\,:\,c\;,0\,]\;,\; '-ob\;'\;,\, la\,b\,e\,l\,=\,'\,a\;'\,)
34
          \begin{array}{l} \text{plt. plot} \ (x,Y[:c,1], \ '-\text{or}', \ label='b') \\ \text{plt. plot} \ (x,Y[:c,2], \ '-\text{oc}', \ label='c') \\ \text{plt. plot} \ (x,Y[:c,3], \ '-\text{ok}', \ label='d') \end{array}
35
36
37
38
           plt.xlabel('Eigen value index')
          plt.ylabel('Projection value')
39
40
          plt.legend()
```

```
41
        plt.savefig('projections.pdf')
42
43
44
    def main():
45
        X = read data()
46
        p, n = X. shape
47
        mu hat = np.sum(X, axis=1)/n
        X = (X.T - mu hat).T
48
        Z = X/np.sqrt(n)
49
        U, S, Vt = np.linalg.svd(Z, full matrices=False)
50
        Lam = S**2
51
        display eigen images (U, Lam)
52
53
        #projection of images
54
55
        Y = np.dot(U.T,X[:,:4])
56
        plot projections (Y)
57
58
        #reconstruction
59
        recons = np.zeros((p, len(m)))
60
        for i, im in enumerate(m):
             recons[:, i] = np.dot(U[:,:im],Y[:im,0])
61
        recons = (recons.T + mu\_hat).T
62
63
        fig, axs = plt.subplots(3,2)
64
        for k in range(6):
            img=np.reshape(recons[:,k],(Wd, Ht))
65
66
             axs[k//2,k\%2].imshow(img,cmap=plt.cm.gray, interpolation='none')
67
             axs[k//2,k\%2].set\_title('m='+str(m[k]))
68
             axs[k//2,k\%2].axis('off')
69
        plt.savefig('reconstruction.pdf')
70
        plt.close()
71
               _{=}="_{main}_{}":
72
        _{\mathrm{name}}
        main()
73
```

Listing 9: Python code for image classification using PCA

```
#!/usr/bin/env python3
1
 2
    \# -*- coding: utf-8 -*-
 3
 4
    Created on Tue Mar 2 00:48:48 2021
 5
 6
    @author: rahul
    \mathtt{course:} \ \mathtt{ece637} \ \mathtt{DIP-1}
 7
    lab-5 section 5
 8
 9
    import glob, os, sys
10
    import numpy as np
11
12
    from PIL import Image
13
    from read data import read data
14
15
    Ht = 64; Wd = 64;
16
    eig size=10
17
    test_dir='../../test_data/'
18
19
    def option014(Y, option):
20
         params = []
21
         for k in range (26):
22
             samples = Y[:,k::26]
23
             \_, num\_samples = samples.shape
```

```
^{24}
              mu = samples.sum(axis=1)/(1.0*num samples)
25
              if option !=4:
26
                    cov = np.zeros((eig\_size, eig\_size))
27
                    for i in range(num_samples):
28
                         cov += np.outer(samples[:,i]-mu, samples[:,i]-mu)
29
                   cov /= num samples-1.0
30
                    if option ==1:
31
                        cov = np.diag(np.diagonal(cov)) #only diagonal elements
32
               else:
33
                   cov = np.eye(eig size)
              \mathtt{dic} \ = \ \{ \ ^{\shortmid}\mathtt{mean} \ ^{\shortmid} : \mathtt{mu}, \ ^{\shortmid}\mathtt{cov} \ ^{\shortmid} : \mathtt{cov} \ \}
34
35
              params.append(dic)
36
         return params
37
38
    def option 23 (Y, option):
39
         params = []
         for k in range (26):
40
41
              samples = Y[:,k::26]
42
               , num samples = samples.shape
43
              mu = samples.sum(axis=1)/(1.0*num samples)
44
              cov = np.zeros((eig\_size, eig\_size))
              for i in range(num_samples):
45
                   cov += np.outer(samples[:,i]-mu, samples[:,i]-mu)
46
              cov /= num\_samples\!-\!1.0
47
48
              dic = \{ 'mean': mu, 'cov': cov \}
49
              params.append(dic)
50
         R_wc = np.zeros((eig_size,eig_size))
51
         for k in range (26): R wc += params [k]['cov']
52
         R \ wc /= 26.0
         if option == 2:
53
              for k in range (26): params [k]['cov'] = R wc
54
55
          elif option ==3:
              Lam = np.diag(np.diagonal(R wc))
56
              for k in range (26): params [k]['cov'] = Lam
57
58
         return params
59
60
    def training (option):
         X = read data()
61
62
         p, n = X. shape
63
         mu x = np.sum(X, axis=1)/n
64
         X = (X.T - mu x).T
65
         Z = X/np.sqrt(n)
         U, S, Vt = np.linalg.svd(Z, full matrices=False)
66
67
         A = U[:,:eig\_size]
68
         Y = np.dot(A.T,X)
69
70
           if \quad (np.any(np.array([0,1,4]) = option)): \quad params = option014(Y, option) \\
          elif\left( \, np.\, any\left( \, np.\, array\left( \, \left[ \, 2\,\, ,3 \, \right] \right) = -option \, \right) \, \right) : \;\; params \; = \; option \, 2\, 3 \, \left( \, Y,\, option \, \right)
71
72
73
         return params, A, mu x
74
    def testing(params, A, mu_x):
75
76
         #read images
77
         filenames = glob.glob(test_dir+'*/*.tif')
78
         true\ labels = []
         for \overline{f} in filenames:
79
80
              class label = os.path.basename(f).split('.')[0]
81
              true labels.append(class label)
         #classify data
82
```

```
predicted labels = []
83
84
         for f in filenames:
             im = Image.open(f)
85
86
             img = np.array(im)
             x \ = \ np \,.\, reshape (\,img\,,\, Ht\,*\!W\!d) \ - \ mu \ x
87
88
             y = np.dot(A.T, x)
             class\_scores = np.zeros(26)
89
90
              for k in range (26):
                  mu_k = params[k]['mean']
91
                  cov k = params[k]['cov']
92
                  class scores[k] = (np.dot((y-mu k),np.dot(np.linalg.inv(cov k),(y-mu k))
93
94
                                       np.log(np.abs(np.linalg.det(cov k)))
95
              class idx = np.argmin(class scores)
96
              class label = chr(ord('a') + class idx)
97
             predicted labels.append(class label)
         return true_labels, predicted_labels
98
99
     if _{mane} = "_{main} ":
100
         option = int(sys.argv[1])
101
102
         params, A, mu x = training (option)
103
         true_labels, predicted_labels = testing(params, A, mu_x)
104
         # print results
105
         print('True\t Predicted\t')
106
         \mathtt{count} \ = \ 0.0
107
         for i in range(len(true_labels)):
             flag_correct = (true_labels[i]==predicted labels[i])
108
              if \quad flag\_correct: \ count+=1
109
110
              print("%s\t %s"%(true labels[i], predicted labels[i]), end='\t')
111
              if not flag_correct:
                  print ( 'x ', end='')
112
             print(''')
113
         acc = (count/len(true\_labels))*100
114
         print('Accuracy: %0.3f'%(acc))
115
```

Listing 10: Python code for data reading utility

```
#!/usr/bin/env python3
1
2
   \# -*- coding: utf-8 -*-
3
   Created on Mon Feb 15 19:32:39 2021
5
   ECE637
   Prof. Charles A. Bouman
6
   Image Processing Laboratory: Eigenimages and Principal Component Analysis
7
8
   Description:
9
10
11
   This is a Matlab script that reads in a set of training images into
   the Matlab workspace. The images are sets of English letters written
12
   in various fonts. Each image is reshaped and placed into a column
13
   of a data matrix, "X".
14
15
   @author: Wenrui Li
16
17
18
   import sys
19
   import numpy as np
   from PIL import Image
20
21
   import matplotlib.pyplot as plt
22
```

```
23
   # The following are strings used to assemble the data file names
    {\tt datadir='.../.../training\_data/'} \qquad \# \ directory \ where \ the \ data \ files \ reside
24
    dataset = ['arial', 'bookman_old_style', 'century', 'comic_sans_ms', 'courier_new',
    'fixed_sys', 'georgia', 'microsoft_sans_serif', 'palatino_linotype',
25
26
27
       'shruti', 'tahoma', 'times_new_roman']
28
    datachar='abcdefghijklmnopgrstuvwxyz
29
30
    def read_data():
31
             Read in all these training images into columns of a single matrix X.
32
33
34
             Returns:
35
                  X: Image column matrix.
36
         0.00
37
38
        Rows = 64
                     # all images are 64x64
39
         Cols=64
40
         n=len(dataset)*len(datachar) # total number of images
41
         p=Rows*Cols
                       # number of pixels
42
        X=np.zeros((p,n)) # images arranged in columns of X
43
        k=0
44
         for dset in dataset:
45
             for ch in datachar:
46
47
                  fname='/'.join([datadir,dset,ch])+'.tif'
48
                  im=Image.open(fname)
49
                  img = np.array(im)
50
                  X[:,k] = np.reshape(img,(1,p))
51
                  k+=1
52
         return X
53
    # display samples of the training data
54
    def display \_ samples(X, ch):
55
         0.00
56
         Display samples.
57
58
59
        X (ndarray) : Image column matrix.
60
61
         ch (char) : A char 'a'~'z'.
62
63
         Returns:
64
         0.00
65
66
         ind = ord(ch) - ord('a')
         fig , axs = plt.subplots(3, 4)
67
         for k in range(len(dataset)):
68
             img \!\!=\!\! np.\ reshape(X[:,26*(k\!-\!1)\!\!+\!\!ind],(64,64))
69
70
71
             axs[k//4,k\%4].imshow(img,cmap=plt.cm.gray, interpolation='none')
72
             axs[k//4,k\%4].set title (dataset [k])
73
         plt.show()
74
75
    i\,f\quad \_\_name\_\_ \ == \ "\_\_main\_ \ ":
76
         ch = sys.argv[1]
77
        X = read_data()
78
         display samples (X, ch)
79
```

Listing 11: Bash code for running python code

```
#!/bin/bash
1
2
3 #Section 2.1 and 2.2
 4 python3 ex2.py 1000 | tee sec2.log
 5 mv ./*.eps output/section_2/
 6 |\text{mv}| . / \sec 2 \cdot \log |\text{output}| / \sec \overline{\sin 2} /
   echo 'section 2 done'
7
8
9 #section 4
10 python3 ex4.py
11
   mv ./*.pdf output/section4/
   echo 'section 4 done'
12
13
14
   #section 5
   for opt in {0..4}
15
16
   do
17
    {
    echo option-"$opt";
18
   python3 ex5.py $opt;
19
    echo "-
20
21
   } | tee ex5_opt_"$opt".log
22 done
23 mv ./*.log output/section5/
24 echo 'section 5 done'
```