

# 5CS3-01: Information Theory & Coding

## UNIT-1 & 2

### INTRODUCTION

Information:- It is the intelligence/ideas or message in Information theory.

Message:-  
 Electrical (Vol, Cur)  
 Speech/Voice  
 Picture/Image  
 Video/audio

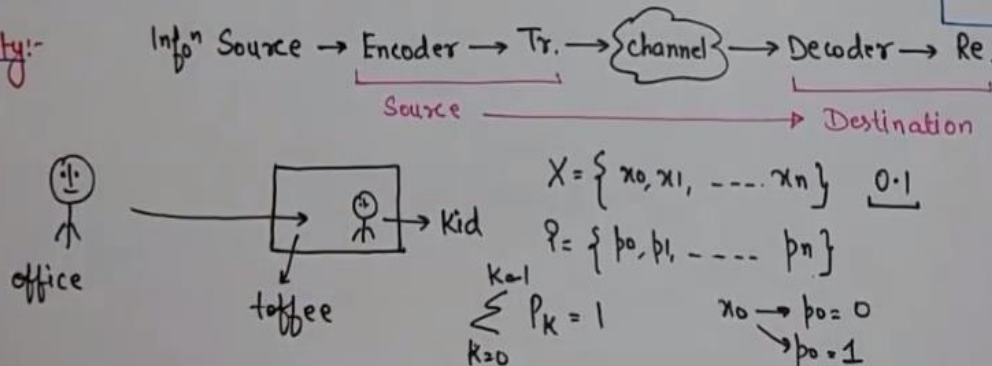
SOURCE of this Messages  $\Rightarrow$  Information Source.

In Communication System, info<sup>n</sup> is transmitted from Source to destination.

Measure of Information:- It is Information Content of a Message. Consider an Info<sup>n</sup> Source emitting independent messages  $M = \{m_1, m_2, m_3, \dots\}$  with probabilities of occurrence  $P = \{p_1, p_2, p_3, \dots\}$  with  $p_1 + p_2 + p_3 + \dots = 1$ . Amount of Information is given by,

$$I_k = \log_2 \left( \frac{1}{P_k} \right)$$

Uncertainty:-



$$\log_2 x = \frac{\log_{10} x}{\log_{10} 2}$$

Activate Windows

### IMP- Properties of Information:-

- More Uncertainty about Message  $\Rightarrow$  Info<sup>n</sup> is more. ] Proved.
- Receiver Knows message being transmitted  $\Rightarrow$  Info<sup>n</sup> is Zero. ] Proved.
- $I_1$  is the Info<sup>n</sup> of Message  $m_1$  and  $I_2$  of  $m_2$ ,  $\Rightarrow (I_1 + I_2)$  Combined Info<sup>n</sup> by  $m_1$  and  $m_2$ .
- If there are  $M = 2^N$  equally likely messages, then amount of Info<sup>n</sup> Carried by each message will be  $\Rightarrow N$  bits.

Proofs:-

Prop. 1:-  $m_1 \rightarrow 1/4$   $m_2 \rightarrow 3/4$   
 $(U_{m_1} > U_{m_2}), (I_{m_1} > I_{m_2})$

$$I_{m_1} = \log_2 \left( \frac{1}{P_k} \right) \quad I_{m_2} = \log_2 \left( \frac{4}{3} \right)$$

$$I_{m_1} = \log_2 \left( \frac{1}{1/4} \right) = \frac{\log_{10} (4/3)}{\log_{10} 2} = 0.415$$

$$= \log_2 (4) = \log_2 (2)^2 = 2 \log_2 (2) = 2$$

Prop. 2:-  $P_k = 1$

$$I_k = \log_2 \left( \frac{1}{P_k} \right)$$

$$I_k = \log_2 (1)$$

$$I_k = \frac{\log_{10} (1)}{\log_{10} 2} \Rightarrow 0 \text{ bits}$$

Activate Win

Prop. 3:-  $I_1$  is Info of  $m_2$   $\rightarrow p_1$   
 $I_2$  " " of  $m_2$   $\rightarrow p_2$

$$I_1 = \log_2 \left( \frac{1}{p_1} \right) \text{ and } I_2 = \log_2 \left( \frac{1}{p_2} \right)$$

Since messages  $m_1$  and  $m_2$  are independent.

So Composite prob =  $p_1 p_2$

$$I_{1,2} = \log_2 \left[ \frac{1}{p_1 p_2} \right]$$

$$\log(xy) = \log x + \log y$$

$$= \log_2 \left[ \left( \frac{1}{p_1} \right) \left( \frac{1}{p_2} \right) \right]$$

$$= \log_2 \left( \frac{1}{p_1} \right) + \log_2 \left( \frac{1}{p_2} \right) \xrightarrow{I_1} \xrightarrow{I_2}$$

$$I_{1,2} = I_1 + I_2$$

Prop. 4:-  $M = 2^N$ ,  $I = N$  bits.

Since we 'M' equal likely messages.

$$'P' \text{ of each mess.} = \frac{1}{M}$$

$$I_k = \log_2 \left( \frac{1}{p_k} \right) = \log_2(M)$$

$$M = 2^N$$

$$I_k = \log_2(2^N)$$

$$I_k = N \log_2(2) \xrightarrow{1}$$

$$I_k = N \text{ bits}$$

### ENTROPY (Average Info" content of Symbols)

Consider that there are  $M = \{m_1, m_2, m_3, \dots\}$  different messages with probabilities  $P = \{p_1, p_2, p_3, \dots\}$ . Suppose that a sequence of  $L$  messages is transmitted.

$L$  messages.

$$\begin{matrix} (m_1 m_2), & (m_1 m_3), & \dots & (m_1 m_4) \dots \\ p_1 & p_1 & \dots & p_1 \\ (p_1 L) \end{matrix}$$

$p_1 L$  messages of  $m_1$  are transmitted,

$p_2 L$  " "  $m_2$  " " " "

$\vdots$  " " " " " "

$p_M L$  " "  $m_M$  " " " "

$$I(m_1) = \log_2 \left( \frac{1}{p_1} \right)$$

if  $(p_1 L)$  messages of  $m_1$  are transmitted.

$$I_1(\text{total}) = p_1 L \log_2 \left( \frac{1}{p_1} \right)$$

$$I(\text{total}) = p_1 L \log_2 \left( \frac{1}{p_1} \right) + p_2 L \log_2 \left( \frac{1}{p_2} \right) + \dots + p_M L \log_2 \left( \frac{1}{p_M} \right)$$

$$\text{Average Info} = \frac{\text{Total Info}}{\text{No. of msg.}} = \frac{I(\text{total})}{L} = p_1 \log_2 \left( \frac{1}{p_1} \right) + p_2 \log_2 \left( \frac{1}{p_2} \right) + \dots + p_M \log_2 \left( \frac{1}{p_M} \right)$$

$$\Rightarrow \text{Entropy} = \sum_{k=1}^M p_k \log_2 \left( \frac{1}{p_k} \right) \text{ Imp}$$



### Properties of Entropy:-

- (i) Entropy is zero if the event is sure,  $\Rightarrow H=0$  if  $\left. \begin{matrix} P_K=1 \\ P_K=0 \end{matrix} \right\}$  Proved.
- (ii) when  $p_k = 1/M$  for all 'M' Symbols, then Symbols are equally likely  $\Rightarrow H = \log_2 M$ . Proved.
- (iii) upper bound on Entropy is given as  $\Rightarrow H_{\max} = \log_2 M$  Next Video

Proofs:-

Prop 1:-  $P_K = 1$

$$H = \sum_{K=1}^m p_K \log_2 \left( \frac{1}{p_K} \right) = \sum_{K=1}^m \log_2 \left( \frac{1}{1} \right) = \sum_{K=1}^m \frac{\log_{10}(1)}{\log_{10} 2} \Rightarrow 0.$$

$$H = 0$$

$$P_K = 0 \quad H = \sum_{K=1}^m \lim_{P_K \rightarrow 0} p_K \log_2 \left( \frac{1}{p_K} \right) = 0$$

Prop 2:-  $p_K = \frac{1}{M}$

$$H = \sum_{K=1}^m p_K \log_2 \left( \frac{1}{p_K} \right)$$

$$= \sum_{K=1}^m \frac{1}{M} \log_2 \left( \frac{1}{1/M} \right)$$

$$= \sum_{K=1}^m \frac{1}{M} \log_2 (M) \quad \boxed{H = \log_2 M}$$

Activate Window

### Source Efficiency and Redundancy.

Efficiency of the Source ( $\eta$ )

$$\eta_{\text{source}} = \frac{H}{H_{\max}} \quad \begin{matrix} \rightarrow \text{Entropy of Source} \\ \rightarrow \text{Max. Entropy.} \end{matrix}$$

Redundancy of the Source ( $\gamma$ )

$$\gamma_{\text{source}} = 1 - \eta_{\text{source}} \quad \rightarrow \text{efficiency.}$$

Information Rate:  $R = \alpha H$

$\rightarrow$  Entropy.  
 $\rightarrow$  rate at which msg. are generated.

$$R = \left( \alpha \text{ in } \frac{\text{msg}}{\text{sec}} \right) \times \left( H \text{ in } \frac{\text{Info}^n \text{ bits}}{\text{mess.}} \right) \Rightarrow \text{Info}^n \text{ bits/sec.}$$

### Solved Problems:-

Q.1) For a discrete memoryless source there are three Symbols with  $p_1 = \alpha$  and  $p_2 = p_3$ . Find the entropy of the source.

$$p_1 = \alpha, \quad p_1 + p_2 + p_3 = 1$$

$$p_1 + p_2 + p_2 = 1, \quad \alpha + 2p_2 = 1, \quad p_2 = \left( \frac{1-\alpha}{2} \right) = p_3$$

$$p_2 = p_3 = \left( \frac{1-\alpha}{2} \right)$$

$$H = \sum_{K=1}^3 p_K \log_2 \left( \frac{1}{p_K} \right) \quad \rightarrow \alpha \log_2 \left( \frac{1}{\alpha} \right) + \left( \frac{1-\alpha}{2} \right) \log_2 \left( \frac{2}{1-\alpha} \right)$$

$$= \alpha \log_2 \left( \frac{1}{\alpha} \right) + \left( \frac{1-\alpha}{2} \right) \log_2 \left( \frac{2}{1-\alpha} \right) +$$

$$\left( \frac{1-\alpha}{2} \right) \log_2 \left( \frac{2}{1-\alpha} \right) \Rightarrow \alpha \log_2 \left( \frac{1}{\alpha} \right) + (1-\alpha) \log_2 \left( \frac{2}{1-\alpha} \right)$$

ANS.

Ques 2) Show that the Entropy of the Source with following Probability distribution is  $\left[ 2 - \frac{n+2}{2^n} \right]$ .

$S_i$	$S_1$	$S_2$	$S_3$	...	$S_j$	...	$S_{n-1}$	$S_n$
$P_i$	$1/2$	$1/4$	$1/8$	...	$1/2^j$	...	$1/2^{n-1}$	$1/2^n$

$$H = \sum_{k=1}^n P_k \log_2 \left( \frac{1}{P_k} \right)$$

$$= P_1 \log_2 \left( \frac{1}{P_1} \right) + P_2 \log_2 \left( \frac{1}{P_2} \right) + \dots + P_n \log_2 \left( \frac{1}{P_n} \right)$$

$$= \frac{1}{2} \log_2 (2) + \frac{1}{4} \log_2 (4) + \frac{1}{8} \log_2 (8) + \dots + \frac{1}{2^n} \log_2 (2^n)$$

$$= \frac{1}{2} + \frac{2}{4} + \frac{3}{8} + \dots + \frac{n}{2^n}$$

$$= \boxed{2 - \frac{n+2}{2^n}} \quad \underline{\underline{\text{ANS}_{\infty}}}$$

Ques 3.) The Source emits three messages with probabilities,  $p_1 = 0.7$ ,  $p_2 = 0.2$  and  $p_3 = 0.1$ .

Calculate (i) SOURCE ENTROPY (ii) Maximum Entropy

(iii) Source Efficiency and (iv) Redundancy.

$$(i) \text{ Source Entropy } (H) = \sum_{k=1}^3 P_k \log_2 \left( \frac{1}{P_k} \right)$$

$$H = 0.7 \log_2 \left( \frac{1}{0.7} \right) + 0.2 \log_2 \left( \frac{1}{0.2} \right) + 0.1 \log_2 \left( \frac{1}{0.1} \right)$$

$$H = \boxed{1.568 \text{ bits/messages}} \quad \underline{\underline{\text{ANS.}}}$$

$$(ii) \text{ Max. Entropy } (H_{\max}) = \log_2 M \Rightarrow \log_2 (3) = \frac{\log_{10} 3}{\log_{10} 2} = \boxed{1.585 \text{ bits/messages.}} \quad \underline{\underline{\text{ANS.}}}$$

$$(iii) \eta_{\text{source}} = \frac{H}{H_{\max}} = \frac{1.568}{1.585} = \boxed{0.73} \quad \underline{\underline{\text{ANS}_{\infty}}}$$

$$(iv) \gamma_{\text{source}} = 1 - \eta_{\text{source}} = 1 - 0.73 = \boxed{0.27} \quad \underline{\underline{\text{ANS}_{\infty}}}$$

Ques 4) A discrete source emits one of six symbols once every m-sec. The symbol probabilities are  $\frac{1}{2}, \frac{1}{4}, \frac{1}{8}, \frac{1}{16}, \frac{1}{32}$  and  $\frac{1}{32}$  resp. find the source entropy and info rate.

$$H(s) = \sum_{k=1}^6 p_k \log_2 \left( \frac{1}{p_k} \right)$$

$$H(s) = \frac{1}{2} \log_2(2) + \frac{1}{4} \log_2(2^2) + \frac{1}{8} \log_2(2^3) + \frac{1}{16} \log_2(2^4) + \frac{2 \times 1}{32} \log_2(2^5)$$

$$= \frac{1}{2} + \frac{1}{2} + \frac{3}{8} + \frac{1}{4} + \frac{5}{16} \Rightarrow \frac{16+16+6+4+5}{16} = \frac{31}{16} = 1.9375 \text{ bits/mess.} \quad \underline{\underline{\text{ANS}}}$$

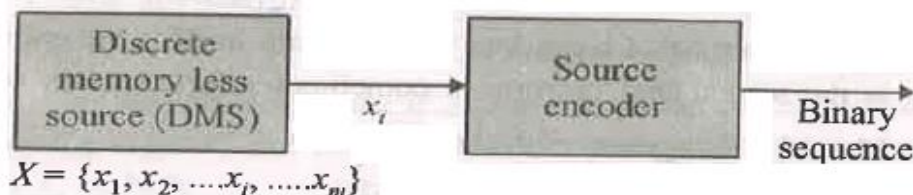
$$R_s = r H$$

$$r = 1 \text{ mess per m/sec.} = 10^3 \text{ mess./sec.}$$

$$R_s = (10^3) \times 1.9375 \Rightarrow 1937.5 \text{ bits/sec.} \quad \underline{\underline{\text{ANS}}}$$

## The Source Coding

A conversion of the output of a discrete memory less source (DMS) into a sequence of binary symbols (i.e., binary code word) is called source coding. The device that performs this conversion is called the source encoder. Figure 1.3 shows a source encoder.



**Figure 1.3 Block diagram for source coding**

### Objective of source coding

An objective of source coding is to minimize the average bit rate required for representation of the source by reducing the redundancy of the information source.



## Few Terms Related to Source Coding Process

In this sub section, let us study the following terms which are related to source coding process:

1. Codeword length
2. Average codeword length
3. Code efficiency
4. Code redundancy

### 1. Codeword Length

Let  $X$  be a DMS with finite entropy  $H(X)$  and an alphabet  $\{x_1, \dots, x_m\}$  with corresponding probabilities of occurrence  $p(x_i)$  ( $i = 1, \dots, m$ ). Let the binary codeword assigned to symbol  $x_i$  by the encoder have length  $n_i$ , measured in bits. The length of a codeword is the number of binary digits in the codeword.

### 2. Average Codeword Length

The average codeword length  $L$ , per source symbol is given by

$$L = \sum_{i=1}^m p(x_i) n_i$$

The parameter  $L$  represents the average number of bits per source symbol used in the source coding process.

### 3. Code Efficiency

The code efficiency  $\eta$  is defined as under:

$$\eta = \frac{L_{\min}}{L}$$

where  $L_{\min}$  is the minimum possible value of  $L$ . When  $\eta$  approaches unity, the code is said to be efficient.

### 4. Code Redundancy

The code redundancy  $\gamma$  is defined as

$$\gamma = 1 - \eta$$

## Classification of codes

Classification of codes is best illustrated by an example. Let us consider table 1.1. Where a source of size 4 has encoded in binary codes with symbols 0 and 1.

→ Here symbols are equally probable.

\* Fixed Length Code: Suppose a DMS outputs a symbol selected from a finite set of symbols  $K_i$ ,  $i = 1, 2, \dots, L$ . The no. of binary digits (bits)  $R$  required for unique coding

$$R = \begin{cases} \lceil \log_2 L \rceil & : \text{when } L \text{ is a power of } 2 \\ \lfloor \log_2 L \rfloor + 1 & : \text{when } L \text{ is not power of } 2 \end{cases}$$

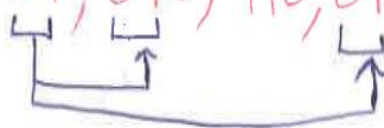
eg: To encode 26 alphabets of English. we need

$$R = \lfloor \log_2 26 \rfloor + 1$$
$$= \lfloor 4.6 \rfloor + 1 \Rightarrow 4 + 1 \Rightarrow 5 \text{ bits.}$$

\* Variable Length Code: A variable length code is one whose codeword length is not fixed. Here in general we do not have equally probable symbols.

\* Prefix Code: It is a variable length code. Set of binary sequence such that no sequence in set  $P'$  is a prefix of any other binary sequence in  $P$ .

eg. 1.  $P = \{01, 010, 110, 0100\}$



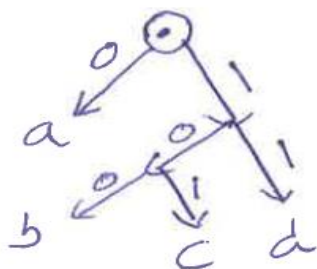
Here '01' comes in 010 & 0100

so it is not prefix code

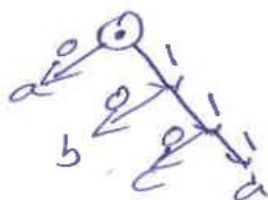
2.  $\{011, 101, 0011\}$

→ This is prefix code.

eg  $a=0$   
 $b=100$   
 $c=101$   
 $d=11$



eg 0, 10, 110, 111



\* Uniquely Decodable Code: A distance code is uniquely decodable if the original source sequence can be constructed from the binary sequence.

eg  $a_1 \rightarrow 0$   
 $a_2 \rightarrow 1$   
 $a_3 \rightarrow 10$   
 $a_4 \rightarrow 11$

$\Rightarrow 10 \rightarrow a_2 a_1$   
 $\rightarrow a_3$

Here two way of decoding is there so it is not U.D.C.

Note: [ All prefix code is U.D.C. but all U.D.C. is not prefix code ]

eg.  $a_1 \rightarrow 00$   
 $a_2 \rightarrow 01$   
 $a_3 \rightarrow 10$   
 $a_4 \rightarrow 11$

$00100111 \rightarrow a_1 a_3 a_2 a_4$   
 $a_1 a_3 a_2 a_4 \rightarrow$

It is Uniquely dec. code

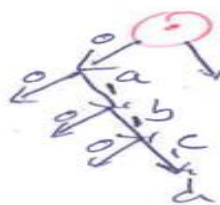
\* Instantaneous Code: A uniquely decodable code is called I.C. if the end of any codeword is recognizable without examining subsequent code symbols.  
 Every prefix code is I.C.

$\{0, 10, 110, 111\}$   
 $a, b, c, d$



I.C.

$\{0, 01, 011, 0111\}$



NOT I.C.



### The Kraft Inequality

Let  $X$  be a DMS with alphabet  $\{x_i\}$  ( $i = 1, 2, \dots, m$ ). Assume that the length of the assigned binary codeword corresponding to  $x_i$  is  $n_i$ .

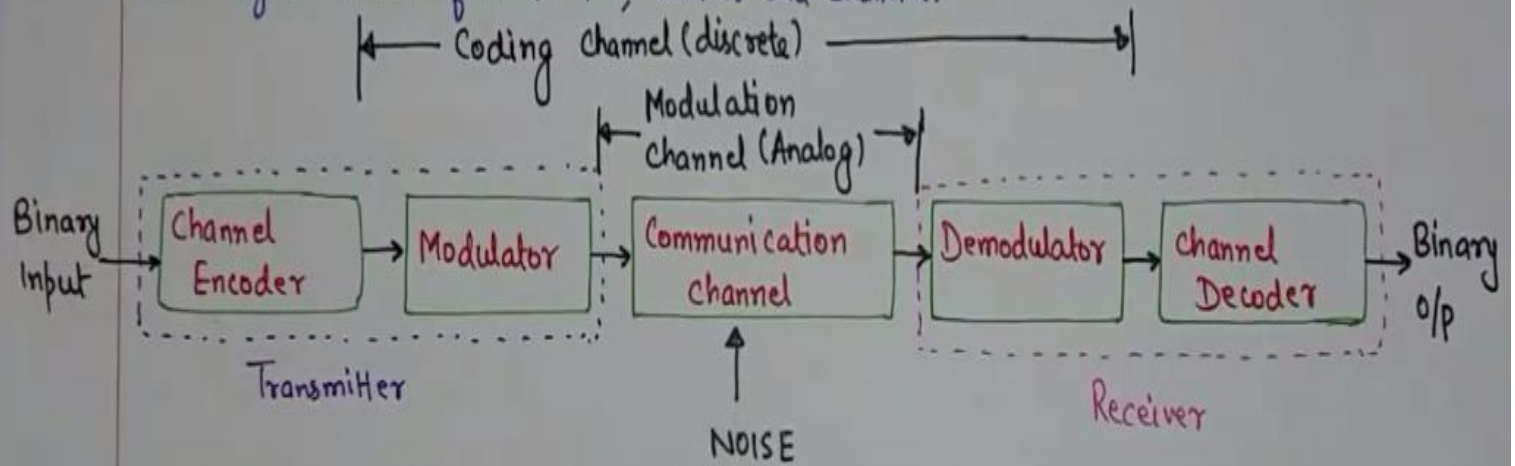
A necessary and sufficient condition for the existence of an instantaneous binary code is

$$K = \sum_{i=1}^m 2^{-n_i} \leq 1$$

Which is known as the Kraft inequality.

## Communication channels.

Comm<sup>n</sup> System consists of Transmitter, Receiver and channel.



Source '00' '01' Rec.  
=            ↑            =

Fig. Binary Comm<sup>n</sup> channel.

←———— Data Communication channel (discrete) —————→

## Channel Models

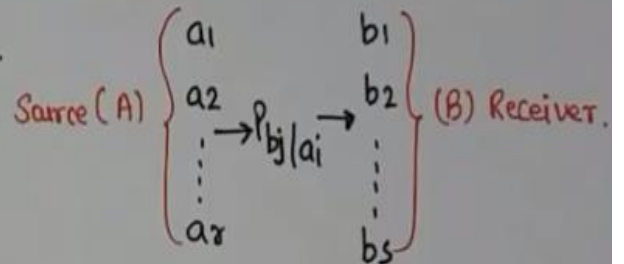
- Memoryless channel  $\Rightarrow$  o/p depends only on current i/p.
- Channel is described in terms of i/p, o/p alphabet and a set of transition probabilities.

$P(y_j/x_i)$   $\xrightarrow{\text{i/p}}$  Conditional Probability  
 $\swarrow$  o/p  
     → No Error [ $i=j$ ]  
     → Error [ $i \neq j$ ]

$$A = \{a_1, a_2, \dots, a_r\}$$

$$B = \{b_1, b_2, \dots, b_s\}$$

$$P(b_j/a_i)$$



The transition probabilities of the channel can be represented by a Matrix:-

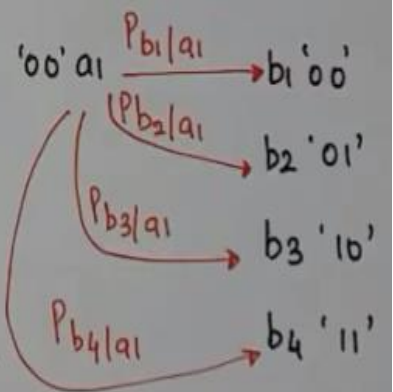
Generalized

$$P(b_1/a_1) + P(b_2/a_1) + P(b_3/a_1) + P(b_4/a_1) = 1$$

$$P(b_1/a_1) + P(b_2/a_1) + \dots + P(b_s/a_1) = 1$$

Must Hold true for all 'r' i/p.

$$\sum_{j=1}^s P(b_j/a_i) = 1 \quad \left\{ \begin{matrix} i=1, 2, \dots, r \end{matrix} \right\}$$



Channel Matrix:-

$$P = \begin{bmatrix} p(y_1|x_1) & p(y_2|x_1) & \dots & p(y_m|x_1) \\ p(y_1|x_2) & p(y_2|x_2) & \dots & p(y_m|x_2) \\ \vdots & \vdots & \ddots & \vdots \\ p(y_1|x_n) & p(y_2|x_n) & \dots & p(y_m|x_n) \end{bmatrix} \rightarrow O/p$$

i/p as rows and o/p as Col  
with  $(n \times m)$  Cond. Prob.

$$\sum_{j=1}^S P(b_j | a_i) = 1$$
  

$$\quad \quad \quad \searrow \sum_{j=1}^M P(y_j | x_i) = 1$$

$$\sum_{j=1}^m P(y_j | x_i) = 1$$

$y_j$   $x_i$

$$P(B|A) = \frac{P(AB)}{P(A)}$$

$$(y_i) \propto_n p(y_i|x_1) + p(y_i|x_2) \dots$$

$$P(y_j) \Rightarrow \sum_{i=1}^n P(y_j | x_i) \cdot P(x_i)$$

$$\hookrightarrow P(x_i y_j) = P(y_j | x_i) \cdot P(x_i)$$

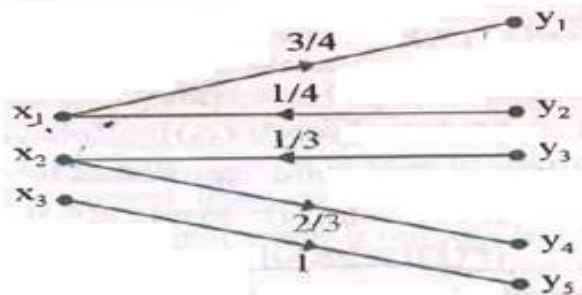
$$\sum_{i=1}^n P(x_i | y_j) = P(y_j) \quad \text{for fixed } j$$

$$(y_1) = P(y_1|x_1) \cdot P(x_1) + P(y_1|x_2) \cdot P(x_2).$$

### Lossless Channel

A channel described by a channel matrix with only one non-zero element in each column is called lossless channel. An example of a lossless channel has been shown in figure 1.6, and the corresponding channel matrix is given in equation (1.61) as under.

$$(P(Y|X)) = \begin{bmatrix} \frac{3}{4} & \frac{1}{4} & 0 & 0 & 0 \\ 0 & 0 & \frac{1}{3} & \frac{2}{3} & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix} \quad \dots(1.61)$$



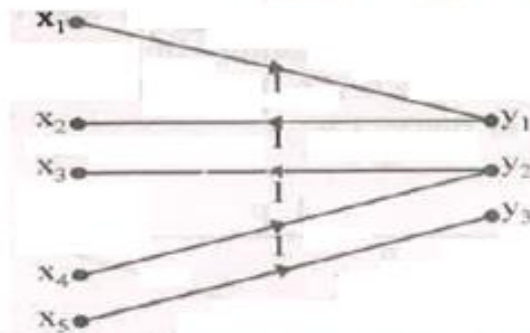
**Figure 1.6 Lossless channel**

It can be shown that in the lossless channel, no source information is lost in transmission.



## Deterministic Channel

$$[P(Y|X)] = \begin{bmatrix} 1 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad (1.62)$$

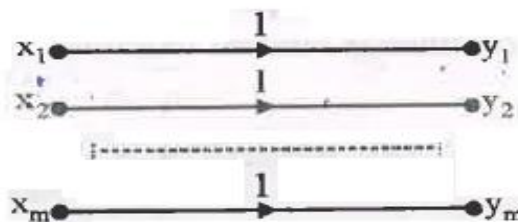


**Figure 1.7 Deterministic Channel**

**Note:** It may be noted that since each row has only one non zero element, therefore, this element must be unity. Thus, when a given source symbol is sent in the deterministic channel, it is clear which output symbol will be received.

## Noiseless Channel

A channel is called noiseless if it is both lossless and deterministic. A noise less channel has been shown in figure 1.8. The channel matrix has any one element in each row and in each column, and this element is unity. Note that the input and output alphabets are of the same size, that is  $m = n$  for the noiseless channel.



**Figure 1.8 Noiseless channel**

The matrix for a noiseless channel is given by

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

## Binary Symmetric Channel (BSC)

The binary symmetric channel (BSC) is defined by the channel diagram shown in figure 1.9, and its channel matrix is given by

$$[P(Y | X)] = \begin{bmatrix} 1-p & p \\ p & 1-p \end{bmatrix} \quad (1.63)$$

A BSC channel has two inputs ( $x_1 = 0, x_2 = 1$ ) and two outputs ( $y_1 = 0, y_2 = 1$ ). This channel is symmetric because the probability of receiving a 1 if a 0 is sent is the same as the probability of receiving a 0 if a 1 is sent. This common transition probability is denoted by  $p$  as shown in figure 1.9.

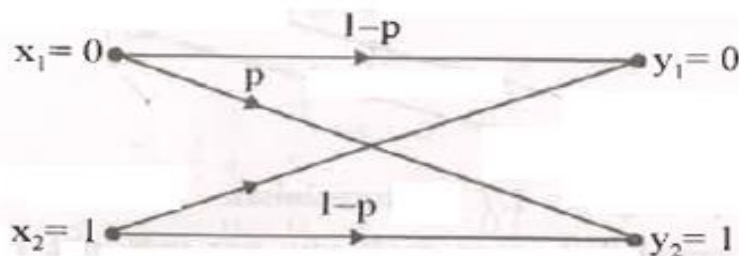


Figure 1.9 Binary symmetrical channel

System Entropies: Equivocation (conditional Entropy)

$$H(X/Y) = \sum_{i=1}^M \sum_{j=1}^M P(x_i, y_j) \log_2 \left( \frac{1}{P(x_i/y_j)} \right) \quad \text{EQUIVOCATION.}$$

$$H(X, Y) = \sum_{i=1}^M \sum_{j=1}^M P(x_i, y_j) \log_2 \frac{1}{P(x_i, y_j)} \quad \text{JOINT Entropy}$$

$H(X|Y) \rightarrow$  uncertainty of  $x$ , on average when  $Y$  is known.

$$H(Y|X) = \sum_{i=1}^M \sum_{j=1}^M P(x_i, y_j) \log_2 \left( \frac{1}{P(y_j/x_i)} \right)$$

$$P(x_i, y_j) = P(x_i/y_j) \cdot P(y_j)$$

$$H(X, Y) = - \sum_{i=1}^M \sum_{j=1}^M P(x_i, y_j) \log_2 (P(x_i/y_j) \cdot P(y_j))$$

$$\log_2 [P(x_i/y_j) \cdot P(y_j)] = \log_2 P(x_i/y_j) + \log_2 P(y_j)$$

$$H(X, Y) = - \sum_{i=1}^M \sum_{j=1}^M P(x_i, y_j) \log_2 P(x_i/y_j) - \sum_{i=1}^M \sum_{j=1}^M P(x_i, y_j) \log_2 P(y_j)$$

$$\Rightarrow \sum_{i=1}^M \sum_{j=1}^M P(x_i, y_j) \log_2 \frac{1}{P(x_i/y_j)} - \sum_{i=1}^M \sum_{j=1}^M P(x_i, y_j) \log_2 P(y_j)$$

$$P(AB) = P(A/B) \cdot P(B) \quad \text{[From Prob. Theory]}$$

Ques) Prove that  $H(X, Y) = H(X/Y) + H(Y)$   
 $= H(Y/X) + H(X)$  Assignment.

$$H(X, Y) = \sum_{i=1}^M \sum_{j=1}^M P(x_i, y_j) \log_2 \left( \frac{1}{P(x_i, y_j)} \right)$$

$$= - \sum_{i=1}^M \sum_{j=1}^M P(x_i, y_j) \log_2 P(x_i, y_j)$$



$H(X|Y) \rightarrow$  uncertainty of  $x$ , on average when  $Y$  is known.

$$H(Y|X) = \sum_{i=1}^m \sum_{j=1}^m P(x_i, y_j) \log_2 \left( \frac{1}{P(y_j|x_i)} \right)$$

$$P(x_i, y_j) = P(x_i|y_j) \cdot P(y_j)$$

$$H(X,Y) = - \sum_{i=1}^m \sum_{j=1}^m P(x_i, y_j) \log_2 (P(x_i|y_j) \cdot P(y_j))$$

$$\log_2 [P(x_i|y_j) \cdot P(y_j)] = \log_2 P(x_i|y_j) + \log_2 P(y_j)$$

$$H(X,Y) = - \sum_{i=1}^m \sum_{j=1}^m P(x_i, y_j) \log_2 P(x_i|y_j) - \sum_{i=1}^m \sum_{j=1}^m P(x_i, y_j) \log_2 P(y_j)$$

$$\Rightarrow \sum_{i=1}^m \sum_{j=1}^m P(x_i, y_j) \log_2 \frac{1}{P(x_i|y_j)} - \sum_{i=1}^m \sum_{j=1}^m P(x_i, y_j) \log_2 P(y_j)$$

$$H(X,Y) = H(X|Y) - \sum_{j=1}^m \sum_{i=1}^m P(x_i, y_j) \log_2 P(y_j)$$

$$= H(X|Y) - \sum_{j=1}^m \left\{ \sum_{i=1}^m P(x_i, y_j) \right\} \log_2 P(y_j)$$

$$\sum_{i=1}^m P(x_i, y_j) = P(y_j)$$

$$H(X,Y) = H(X|Y) + \sum_{j=1}^m P(y_j) \log_2 \left( \frac{1}{P(y_j)} \right)$$

$$H(X,Y) = H(X|Y) + H(Y)$$

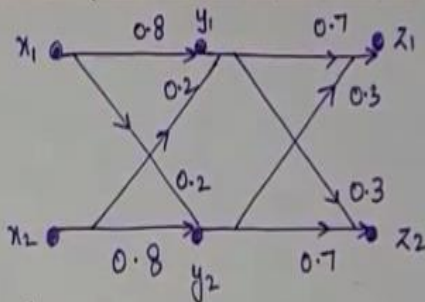
Hence Proved

Activate Windows

Ques.1 Two BSC's are connected in cascade as shown in fig. below.

(i) Find channel Matrix of resultant channel.

(ii) Find  $P(z_1)$  and  $P(z_2)$  if  $P(x_1) = 0.6$  and  $P(x_2) = 0.4$



$$P(Y|X) = \begin{bmatrix} P(y_1|x_1) & P(y_2|x_1) \\ P(y_1|x_2) & P(y_2|x_2) \end{bmatrix} = \begin{bmatrix} 0.8 & 0.2 \\ 0.2 & 0.8 \end{bmatrix} \quad \text{--- (i)}$$

$$P(Z) = P(X) \cdot P(Z|X)$$

$$P(Z|Y) = \begin{bmatrix} P(z_1|y_1) & P(z_2|y_1) \\ P(z_1|y_2) & P(z_2|y_2) \end{bmatrix} = \begin{bmatrix} 0.7 & 0.3 \\ 0.3 & 0.7 \end{bmatrix} \quad \text{--- (ii)}$$

$$[P(z_1) \ P(z_2)] = P(x_1) P(x_2) \begin{bmatrix} 0.62 & 0.38 \\ 0.38 & 0.62 \end{bmatrix}$$

From (i) and (ii)

$$P(Z|X) = P(Y|X) \cdot P(Z|Y) = \begin{bmatrix} 0.62 & 0.38 \\ 0.38 & 0.62 \end{bmatrix} \text{ channel matrix.}$$

$$= \begin{bmatrix} 0.6 & 0.4 \end{bmatrix} \begin{bmatrix} 0.62 & 0.38 \\ 0.38 & 0.62 \end{bmatrix}$$

$$= \begin{bmatrix} 0.524 & 0.476 \end{bmatrix}$$

$$\downarrow \quad \downarrow$$

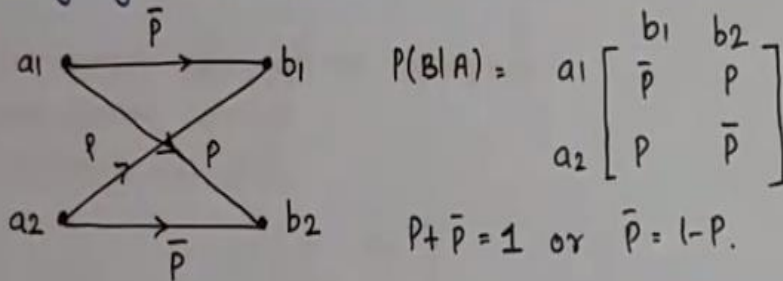
$$P(z_1) \quad P(z_2)$$

Ans..



## SPECIAL CHANNELS

- (iv) BINARY SYMMETRIC CHANNEL (BSC):- A Symmetric channel which has two i/p and two o/p, is called as Binary Symmetric channel.



As in Symmetric channel,

$$C = \log_2 S - h, \quad h = \sum_{j=1}^S P_j \log_2 \left( \frac{1}{P_j} \right)$$

$$S = 2, \quad h = \bar{P} \log_2 \frac{1}{\bar{P}} + P \log_2 \frac{1}{P}$$

$$\therefore C = \log_2 2 - \bar{P} \log_2 \frac{1}{\bar{P}} + P \log_2 \frac{1}{P}$$

$$C = 1 - \bar{P} \log_2 \left( \frac{1}{\bar{P}} \right) + P \log_2 \left( \frac{1}{P} \right)$$

$$C = 1 - h$$

Imp.

$$C = \log_2 S - h$$

$$C = \log_2 2 - h$$

$$C = 1 - h$$

## SPECIAL CHANNELS

- Ques: i) A BSC has the following noise matrix with Source probabilities of  $P(X_1) = 2/3$  &  $P(X_2) = 1/3$ .

$$P(Y/X) = \begin{matrix} & Y_1 & Y_2 \\ X_1 & \begin{bmatrix} 3/4 & 1/4 \end{bmatrix} \\ X_2 & \begin{bmatrix} 1/4 & 3/4 \end{bmatrix} \end{matrix} = \begin{matrix} & Y_1 & Y_2 \\ X_1 & \begin{bmatrix} \bar{P} & P \end{bmatrix} \\ X_2 & \begin{bmatrix} P & \bar{P} \end{bmatrix} \end{matrix}$$

$$\bar{P} = 3/4, \quad P = 1/4$$

$$(i) H(X) = \sum_{i=1}^2 P(X_i) \log_2 \left( \frac{1}{P(X_i)} \right)$$

$$\frac{1}{2} + \frac{1}{12} = \frac{7}{12}$$

$$H(X) = \frac{2}{3} \log_2 \left( \frac{3}{2} \right) + \frac{1}{3} \log_2 (3)$$

$$\frac{1}{6} + \frac{1}{4} = \frac{5}{12}$$

$$H(X) = 0.9183$$

$$(ii) H(Y), \quad P(X,Y) = \begin{matrix} & Y_1 & Y_2 \\ X_1 & \begin{bmatrix} 1/2 & 1/6 \end{bmatrix} \\ X_2 & \begin{bmatrix} 1/12 & 1/4 \end{bmatrix} \end{matrix}$$

$$P(Y) \quad \frac{7/12 \quad 5/12}{}$$

$$H(Y) = \sum_{j=1}^2 P(Y_j) \log_2 \left( \frac{1}{P(Y_j)} \right)$$

$$H(Y) = \frac{7}{12} \log_2 \left( \frac{12}{7} \right) + \frac{5}{12} \log_2 \left( \frac{12}{5} \right)$$

$$H(Y) = 0.9799 \text{ bits/sy.}$$

→ ASSIGNMENT

$$H(X|Y) = H(X,Y) - H(Y)$$

$$\rightarrow H(X) + H(Y|X)$$

- ii) Determine  $H(X), H(Y)$   
iii) Find channel Capacity,  $C$

- iii) Find channel Efficiency and redundancy.  $\frac{2}{3} \times \frac{3}{4} = \frac{1}{2}$

$$(ii) C = 1 - h \rightarrow \bar{P} \log_2 \left( \frac{1}{\bar{P}} \right) + P \log_2 \left( \frac{1}{P} \right)$$

$$C = 1 - \left[ \frac{3}{4} \log_2 \left( \frac{4}{3} \right) + \frac{1}{4} \log_2 (4) \right]$$

$$C = 1 - 0.8113$$

$$C = 0.1887 \text{ bits/Symbol}$$

$$I(X,Y) = H(X) - H(X|Y)$$

$$I(X,Y) = 0.1686$$

$$(iii) \eta_{ch} = I(X,Y) / C$$

$$= 0.1686 / 0.1887$$

$$= 89.35\% \text{ ANS.}$$

$$\gamma = \frac{1 - \eta_{ch}}{1} = 10.65\% \text{ ANS.}$$

## Joint Probability Matrix (JPM)

The joint probability b/w any i/p symbol " $a_i$ " and any o/p symbol " $b_j$ " is given by:

$$\text{JPM} \rightarrow P(a_i, b_j) = P(b_j | a_i) P(a_i) = P(a_i | b_j) P(b_j) \quad \text{--- (i)}$$

i/p  $\rightarrow x_i$  and o/p  $\rightarrow y_j$

$$P(y_j | x_i) = \begin{matrix} y_1 & y_2 & \dots & y_m \\ \begin{matrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{matrix} \begin{bmatrix} P(y_1 | x_1) & P(y_2 | x_1) & \dots & P(y_m | x_1) \\ P(y_1 | x_2) & P(y_2 | x_2) & \dots & P(y_m | x_2) \\ \vdots & \vdots & \ddots & \vdots \\ P(y_1 | x_n) & P(y_2 | x_n) & \dots & P(y_m | x_n) \end{bmatrix} \end{matrix}$$

$$\frac{P(y_j | x_i) \cdot P(x_i)}{P(x_i, y_j)} = \begin{bmatrix} P(y_1 | x_1) \cdot P(x_1) & \dots & P(y_m | x_1) \cdot P(x_1) \\ \vdots & \ddots & \vdots \\ P(y_1 | x_n) \cdot P(x_n) & \dots & P(y_m | x_n) \cdot P(x_n) \end{bmatrix}$$

$\rightarrow P(a_i, b_j)$

## Properties of JPM:-

(i) Sum of all elements of  $j$ th Column of JPM gives probability of  $j$ th o/p.

$$\sum_{i=1}^n P(x_i, y_j) = P(y_j)$$

$$(ii) P(x_i) = \sum_{j=1}^m P(x_i, y_j) P(y_j)$$

$$\text{or} \\ P(x_i) = \sum_{j=1}^m P(x_i, y_j)$$

(iii) Sum of all  $P(x_i)$  will be Unity

$$\text{i.e., } \sum_{i=1}^n P(x_i) = 1.$$

## MUTUAL INFORMATION

It is defined as the amount of information transferred where  $x_i$  is transmitted and  $y_j$  is received.

$$I(x_i, y_j) = \log \left[ \frac{P(x_i | y_j)}{P(x_i)} \right] \quad \begin{matrix} \text{Conditional Prob.} \\ [x_i \text{ is transmitted} \Delta \\ y_j \text{ is received}] \end{matrix}$$

$\rightarrow \text{M.I.}$

## Properties of Mutual Information

IMP. (i) Mutual Information is Symmetric.

$$I(X; Y) = I(Y; X)$$

(ii) Mutual Information is always non-negative (positive).  $I(X; Y) \geq 0$ .

AVERAGE MUTUAL INFORMATION: Represented by  $I(X; Y)$  and (iii) Mutual Info. may be expressed as Entropies.

(is Calculated in bits/Symbol.

It is defined as amount of Source Information gained per received Symbol.

$$I(X; Y) = \sum_{i=1}^n \sum_{j=1}^m P(x_i, y_j) I(x_i, y_j)$$

$$I(X; Y) = \sum_{i=1}^n \sum_{j=1}^m P(x_i, y_j) \log_2 \frac{P(x_i | y_j)}{P(x_i)}$$

(iv) Mutual Info. is related to Joint Entropy  $H(X, Y)$ .

$$I(X; Y) = H(X) + H(Y) - H(X, Y)$$

# Huffman coding: Example

Symbols	Probability	1 <sup>st</sup> Reduction	2 <sup>nd</sup> Reduction	3 <sup>rd</sup> Reduction
$S_1$	0.4	0.4	0.4	0.6 0
$S_2$	0.2	0.2	0.4	0.4 1
$S_3$	0.2	0.2	0.2	0.2
$S_4$	0.1	0.2	0.2	0.2
$S_5$	0.1	0.2	0.2	0.2

Symbols	Code words
$S_1$	1
$S_2$	01
$S_3$	000
$S_4$	0010
$S_5$	0011

Now, calculate entropy  $\rightarrow H = \sum_{i=1}^5 P_i \log_2 \left( \frac{1}{P_i} \right)$

Avg. Code word length  $= L_{avg} = \sum_{i=1}^5 P_i n_i$

Efficiency  $= \eta = \frac{H}{L_{avg} \log_2 2}$  (base 2)

$= \frac{H}{L_{avg}}$

$$H = 2.1216 \text{ bits/symbol}$$

$$L_{avg} = 2.2 \text{ bits/symbol}$$

$$\eta = 96.4\%$$



# Topic:- Shannon-Fano Encoding Algorithm (MP)

## Steps:- Source Encoder.

- i) The messages are first written in the order of decreasing/non-increasing Prob.
- ii) Then divide the Message set into two most equiprobable subsets  $\{x_1\}$  and  $\{x_2\}$ .
- iii) The message in the 1st set is given bit '0' and the message in the 2nd set is given bit '1'.
- iv) The procedure is now applied for each set separately and continued until no further division is possible.

v) Finally we get the code word for respective Symbol.

$$\text{Entropy} = -\sum_{i=1}^n p_i \log_2 \left( \frac{1}{p_i} \right)$$

$$\text{Efficiency}(\eta) = \frac{H}{\bar{L}}$$

$$\eta = 100\%$$

$$\bar{L} = \sum_{i=1}^n p_i n_i \rightarrow \text{Code Length}$$

Solved Example: Find the code words occurring in the probability  $\{\frac{1}{2}, \frac{1}{4}, \frac{1}{8}, \frac{1}{8}\}$  for symbols  $S_1, S_2, S_3$  and  $S_4$ . Find efficiency also. (n)

Symbol	(P)	$x_1$	$x_2$	Code(w)	Length
$S_1$	$\frac{1}{2}$	0		0	1
$S_2$	$\frac{1}{4}$		0	10	2
$S_3$	$\frac{1}{8}$	1	0	110	3
$S_4$	$\frac{1}{8}$		1	111	3

$$H = \frac{1}{2} \log_2 2 + \frac{1}{4} \log_2 4 + \frac{1}{8} \log_2 8 + \frac{1}{8} \log_2 8$$

$$= \frac{1}{2} + \frac{1}{2} + \frac{3}{8} + \frac{3}{8} = 1.75 \text{ bits/sy.}$$

$$\hat{H} = \frac{1}{2} \times 1 + \frac{1}{4} \times 2 + 2 \left( \frac{1}{8} \times 3 \right) = 1.75$$

m	p	stage1	stage2	stage3	codeword	Length
$m_1$	0.30	0	0		00	2
$m_2$	0.25	0	1		01	2
$m_3$	0.15	1	0	0	100	3
$m_4$	0.12	1	0	1	101	3
$m_6$	0.10	1	1	0	110	3
$m_5$	0.08	1	1	1	111	3

$$H = \sum_{k=1}^6 p_k \log_2 \frac{1}{p_k}$$

$$= (0.30) \log_2 \frac{1}{0.30} + (0.25) \log_2 \frac{1}{0.25}$$

$$+ (0.15) \log_2 \frac{1}{0.15} + (0.12) \log_2 \frac{1}{0.12}$$

$$+ (0.10) \log_2 \frac{1}{0.10} + (0.08) \log_2 \frac{1}{0.08}$$

$$= 2.418 \text{ bits}$$

$$L = \sum_{k=1}^6 p_k n_k$$

$$= (0.30 \times 2) + (0.25 \times 2) + (0.15 \times 3)$$

$$+ (0.12 \times 3) + (0.10 \times 3) + (0.08 \times 3)$$

$$= 2.45 \text{ bits}$$

**Topic:- Shannon-Fanno Encoding Algorithm [Problem on Ambiguity]**

**Ques:-** Apply the Shannon-Fanno coding procedure for the message ensemble:  $X = [x_1, x_2, x_3, x_4, x_5, x_6, x_7]$

$P = [0.4, 0.2, 0.12, 0.08, 0.08, 0.08, 0.04]$

$\hat{H} = 0.4 + 0.6 + 0.36 + 3 \times 0.08 \times 4 + 0.16 = 2.48$  bits/Sym.  $\rightarrow$  Better Approach.

$\eta = \frac{H}{\hat{H}} = \frac{2.42}{2.48} = 97.6\%$  **ANS.**

Symbols (P)	$(x_1), (x_2, \dots, x_7)$	$(x_1), (x_2, \dots, x_7)$	$(x_1, x_2), (x_3, \dots, x_7)$
$x_1$ 0.4	(0.4)	(0.4)	(0.6)
$x_2$ 0.2	(0.6)	(0.6)	(0.4)
$x_3$ 0.12			
$x_4$ 0.08			
$x_5$ 0.08			
$x_6$ 0.08			
$x_7$ 0.04			

$\hat{H} = (2.52)$

Symbols	0	1	2	3	4	5	6	7
$x_1$ 0.4	0	0	00	01	100	101	1100	1101
$x_2$ 0.2	0	1	00	01	100	101	110	1110
$x_3$ 0.12	0	0	0	1	0	1	0	1
$x_4$ 0.08	1	0	0	1	0	1	0	1
$x_5$ 0.08	1	0	0	1	0	1	0	1
$x_6$ 0.08	1	0	0	1	0	1	0	1
$x_7$ 0.04	1	0	0	1	0	1	0	1

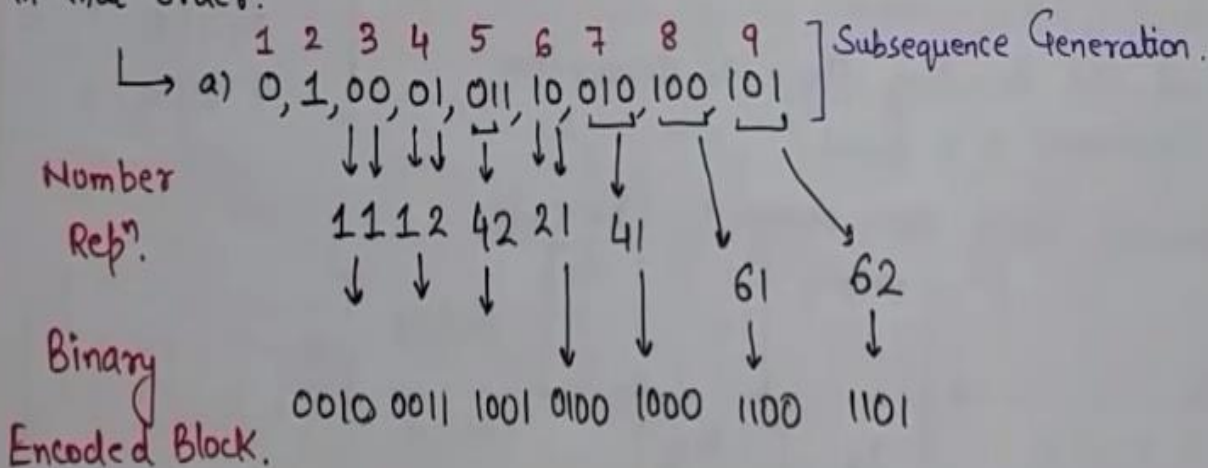
## LEMPER-ZIP CODING

It is accomplished by passing the source data stream into segments that are the Shortest Subsequences not encountered previously.

**Example:-** 000101110010100101.....

i) It is assumed that 0 and 1 are already stored in that order.

Numerical Pos<sup>n</sup> 1 2 3 4 .....  
 $\rightarrow$  0 1





## LEMPER-ZIP CODING

Example:- A | A B | A B B | B | A B A | A B A B | B B | A B B A | B B

A → 0

B → 1

Position	1	2	3	4	5	6	7	8	9
Sequence	A	AB	ABB	B	ABA	ABAB	BB	ABBA	BB

We Code the phrase by writing the location of the prefix and Value of the last bit.

Numerical    0A 1B 2B 0B 2A 5B 4B 3A 7  
Rep<sup>n</sup>

Code            0 001, 010, 1 0100 101, 100, 1011, 0 011

## Channel Capacity by Shannon - Hartley and it's proof

$$C = B \log_2 \left[ 1 + \frac{S}{N} \right]$$

where, B = Bandwidth of channel  
S = signal power  
N = Noise power.

Proof :- Received Signal = Signal Power (S) + Noise power (N)  
and it's mean square value is  $\sqrt{S+N}$

- Noise power is N and it's mean square value is  $\sqrt{N}$
- So Number of Levels can be separated without error is

$$m = \frac{\sqrt{N+S}}{\sqrt{N}} = \sqrt{1 + \frac{S}{N}}$$

- So digital Information is

$$\begin{aligned} I &= \log_2 m \\ &= \log_2 \sqrt{1 + \frac{S}{N}} \\ &= \frac{1}{2} \log_2 \left( 1 + \frac{S}{N} \right) \end{aligned}$$

- IF channel transmits K pulses per second then channel capacity is

$$C = IK = \frac{K}{2} \log_2 \left( 1 + \frac{S}{N} \right)$$

- Nyquist Bandwidth is  $K = 2B$

$$\boxed{C = B \log_2 \left( 1 + \frac{S}{N} \right)}$$



If  $\eta/2$  is power density then

$$C = B \log_2 \left( 1 + \frac{S}{\eta_B} \right)$$

$$= \frac{s}{\eta} \left[ \frac{\log_2 \left( 1 + \frac{s}{\eta_B} \right)}{\left( \frac{s}{\eta_B} \right)} \right]$$

$$C = \frac{S}{n} \log_2 e = 1.44 \frac{S}{n}$$

if  $R \leq C$  then there exists a coding technique such that the o/p of source may be transmitted over the channel with probability of error in the received message which may be made arbitrarily small.

W/o error in the presence of NOISE.

Stmnt:- Complexity of Coding  $\uparrow \rightarrow \uparrow$  in Error.

$$R = B \log_2 \left[ 1 + \frac{S}{N} \right] \text{ bits/sec.}$$
$$B = 3100 \text{ Hz}, \frac{S}{N} = 20 \text{ dB}, \left[ \left( \frac{S}{N} \right)_{\text{dB}} = 10 \log_{10} \left( \frac{S}{N} \right) \right] \rightarrow 20 \text{ dB} = 10 \log_{10} \left( \frac{S}{N} \right)$$

$$R_{\max} = 3100 \log_2 [1 + 100] = \frac{3100 \log_{10}(101)}{\log_{10} 2} \quad \frac{S}{N} = 100 \rightarrow 20,640 \text{ bits/sec. Ans.}$$

## Examples on Channel Capacity by Shannon-Hartley

① For a typical telephone line with a signal to noise ratio of 30 dB and an audio bandwidth 3 kHz, max. data rate of .....

$$\rightarrow \text{SNR} = 30 \text{ dB} = 10^3$$

$$B = 3 \text{ kHz}$$

$$C = ?$$

dB

$$10 \rightarrow 10$$

$$20 \rightarrow 10^2$$

$$30 \rightarrow 10^3$$

$$40 \rightarrow 10^4$$

$$\rightarrow C = B \log_2 (1 + \text{SNR})$$

$$= 3 \times 10^3 \log_2 (1 + 10^3)$$

$$= 3 \times 10^3 \frac{\log_{10} 1001}{\log_{10} 2} = 3 \times 10^6 \text{ bps} = \boxed{3 \text{ Mbps}}$$