

# **REWARD DRIVEN EMOTION DETECTION IN AUTISM SPECTRUM DISORDER WITH ATTENTION MECHANISM**

**IT5712 Project-1 Report**

*Submitted by*

Rahul Prasanth D    2020506070

Bala Natesh R M    2020506018

Sanjay G                2020506080

*Under the supervision of*

Dr. J. Dhalia Sweetlin

*In partial fulfillment for the award of the degree  
of*

**BACHELOR OF TECHNOLOGY**

*in*

**INFORMATION TECHNOLOGY**



**DEPARTMENT OF INFORMATION TECHNOLOGY  
MADRAS INSTITUTE OF TECHNOLOGY CAMPUS  
ANNA UNIVERSITY, CHENNAI – 600044**

NOVEMBER 2023

# **ANNA UNIVERSITY: CHENNAI 600 025**

## **BONAFIDE CERTIFICATE**

Certified that this project report titled “**REWARD DRIVEN EMOTION DETECTION IN AUTISM SPECTRUM DISORDER WITH ATTENTION MECHANISM**” is the bonafide work of Rahul Prasanth D (2020506070), Bala Natesh R M (2020506018) and Sanjay G (2020506080) who carried out the project work under my supervision.

**Signature**

**Dr. M. R. Sumalatha**

**HEAD OF THE DEPARTMENT**

Professor

Department of Information Technology

MIT Campus, Anna University

Chennai – 600044

**Signature**

**Dr. J. Dhalia Sweetlin**

**SUPERVISOR**

Associate Professor

Department of Information Technology

MIT Campus, Anna University

Chennai – 600044

## ACKNOWLEDGEMENT

It is essential to mention the names of the people, whose guidance and encouragement made us accomplish this project.

We thank our project supervisor **Dr. J. Dhalia Sweetlin**, Associate Professor of the Department, Department of Information Technology, MIT Campus, for providing invaluable support and assistance with encouragement which aided to complete this project.

We are very thankful to the panel members **Dr. P. Anandha Kumar** and **Dr. M. Hemalatha**, Department of Information Technology, MIT Campus for their invaluable feedback in reviews.

Our sincere thanks to **Dr. M. R. Sumalatha**, Head of the Department of Information Technology, MIT Campus for catering all our needs giving out limitless support throughout the project phase.

We express our gratitude and sincere thanks to our respected Dean of MIT Campus, **Dr. J. Prakash**, for providing excellent computing facilities throughout the project.

<b>RAHUL PRASANTH D</b>	<b>2020506070</b>
<b>BALA NATESH R M</b>	<b>2020506018</b>
<b>SANJAY G</b>	<b>2020506080</b>

## **ABSTRACT**

Autism Spectrum Disorder (ASD) poses unique challenges in emotional expression and understanding, significantly impacting communication and social interactions in affected children. Emotion recognition in ASD individuals is particularly challenging due to variations in expressive behaviours. This project aims to address these challenges by developing a specialized system for comprehensive emotion recognition in autistic children. The proposed system utilizes a multimodal approach, incorporating facial expressions and their body movements to provide an elaborate view of emotional states. Landmark detection techniques and the attention mechanism along with the reward function enhance emotion prediction accuracy. The system aims to provide valuable insights into the emotional experiences of autistic children, aiding educators, therapists, and caregivers in offering tailored support and interventions. The proposed work improves communication and emotional well-being in autistic children.

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## **LIST OF ABBREVIATIONS**

ASD	Autism Spectrum Disorder
GAN	Generative Adversarial Network
LSTM	Long Short Term Memory

# **CHAPTER 1**

## **INTRODUCTION**

### **1.1 OVERVIEW**

Understanding and expressing emotions can be particularly challenging for children with Autism Spectrum Disorder (ASD). Traditional methods of recognizing emotions often miss the complex cues that are essential for effective communication in this population. This project addresses this gap by introducing a specialized system designed to decode the emotions of autistic children in a comprehensive way. This work goes beyond just facial expressions, encompassing body movements to provide a elaborate view of emotional states. Autistic children often communicate emotions differently, and by considering these diverse modalities, this system aims to create a more accurate and sensitive understanding. The proposed work acknowledges the everyday scenarios where faces may be partially hidden, it also be taken into account. The system is designed to be a bridge, helping educators, therapists, and caregivers connect more effectively with these children. By tapping into where and when emotions happen on their faces, coupled with specific facial expressions and body language, tools can be created that offers practical and meaningful support.

### **1.2 RESEARCH CHALLENGES**

Krishnappa et al., 2023 suggested that developing the emotion recognition system in autistic children have intricate challenges that demand innovative solutions. The main challenge is the issue of facial occlusion, where traditional methods often struggle to precisely capture facial expressions when faces are partially hidden. Another critical challenge lies in the diverse and complex nature of emotional expression among autistic children. Conventional emotion recognition models, trained on datasets predominantly composed of neurotypical individuals, may not adequately capture the unique ways in which autistic children convey emotions.

Autistic individuals often exhibit a broad spectrum of expressive behaviours, and the lack of diversity in training data can lead to models that struggle to generalize across this spectrum. Moreover, the scarcity of labelled data specific to autistic children poses a significant hurdle. The complex nature of emotions requires a substantial amount of diverse and accurately labelled data for effective model training. Acquiring such data is challenging due to privacy concerns, ethical considerations, and the need for expertise in labelling the complex emotional expressions.

### **1.3 OBJECTIVE**

The primary objective of this system is to develop a specialized emotion recognition system tuned to the complex expressive behaviours of autistic children. By exploring the facial expressions and body movements, the goal is to construct a comprehensive tool that works beyond the traditional recognition methods. In addition to this, the system aims to address the challenge of occluded faces for the emotion prediction. It aspires to construct a bridge connecting educators, therapists, and caregivers to the emotional experiences of autistic children

### **1.4 SCOPE OF THE PROJECT**

The scope of this project encompasses the development of a specialized emotion recognition system tailored to the complex expressive behaviours of autistic children. The project will focus on exploring facial expressions and body movements as key modalities for emotion recognition. A significant aspect of the project's scope involves addressing the challenge of occluded faces by incorporating methods for face reconstruction when parts of the face are hidden. However, it's important to note that the project concentrates specifically on enhancing emotion recognition to improve communication and support.

## **1.5 CONTRIBUTION**

The project contributes by developing a specialized emotion recognition system for autistic children, incorporating a reward mechanism to enhance performance of the model. Addressing occluded faces and focusing on facial expressions and body movements, the system provides valuable insights to educators, therapists, and caregivers, ultimately improving understanding and support for the emotional experiences of autistic children.

## **1.6 ATTENTION MECHANISM**

The attention mechanism this system to focus on specific facial expressions and body movements which is crucial for emotion recognition. This targeted focus is particularly vital in scenarios where certain features are obscured or when dealing with the diverse ways in which autistic children express emotions. By incorporating an attention mechanism, the system's sensitivity and precision will be increased, providing a elaborate understanding of the unique emotional experiences of autistic children. This attention mechanism significantly elevates the effectiveness of emotion recognition systems in the context of autism (Jacob et al., 2021).

## **1.7 REWARD FUNCTION**

The proposed work introduces a reward function during which acts as a guide for the model. Unlike traditional approaches, the reward function plays a transformative role by encouraging the model's ability to correctly identify and interpret emotions, helps the model to prioritize precision. By positively reinforcing correct identifications, the system not only refines its understanding of the diverse emotional expressions inherent to ASD but also adapts dynamically to complex cues. This reward mechanism essentially guides the model towards more reliable and complex emotion detection, contributing to an enhanced and refined system tailored to the complexities of Autism Spectrum Disorder.

## **1.8 ORGANIZATION OF THESIS**

The rest of the thesis is organized as follows. Chapter 2 presents the literature survey on emotion detection methodologies. Chapter 3 models the architecture and system design, outlining the architecture and design of the proposed system. Chapter 4 explains about the implementation details, explaining the specifications and environment. The results achieved are presented in Chapter 5. Chapter 6 presents the conclusion and some possible avenues for future research on the topic.

## **CHAPTER 2**

### **LITERATURE SURVEY**

A Survey conducted on the emotions of the autistic children and their emotion dynamics. The dynamic nature of their emotions, problems in determining the emotions, techniques to determine the emotions are given below.

#### **2.1 FACIAL DYNAMICS OF AUTISTIC CHILDREN**

Toddlers were shown developmentally-appropriate and engaging movies presented on a smart tablet whose, frontal camera was used to record their faces, providing the opportunity for the automatic analysis via CV (Krishnappa et al., 2023). The facial landmarks' dynamics of the toddlers were studied specifically. The children's facial dynamics were exploited from the eyebrows and mouth regions using multiscale entropy (MSE) analysis to study the complexity of such facial landmarks dynamics.

Distinctive landmarks dynamics were captured in children with ASD, characterized by a significantly higher level of complexity in both the eyebrows and mouth regions when compared to typically-developing children. In Cross-Validation using Decision Tree model the accuracy for each video shown is found to be Video1=77.5%, Video2=74.3%, Video3=73.8%, Video4=67.2%. The study sample has a limited number of ASD participants and did not have sufficient power to determine the impact of demographic characteristics on the results.

#### **2.2 FACIAL EMOTION DETECTION:**

The proposed Face Detection Convolutional Neural Network (FDCNN) model exhibits a structured architecture tailored for emotion recognition in images derived from video frames (Santoshkumar et al., 2019). With an input size of 150x150x3, representing the RGB channels, the model incorporates three convolutional layers, each applying 3x3 filters. The initial layer employs 32 filters, leading to 32 stacked feature maps, followed by max pooling to reduce spatial dimensions. Subsequent

layers involve 64 and 128 filters, with corresponding max pooling operations, resulting in feature maps of dimensions (75x75x32), (37x37x64), and (18x18x128), respectively. The model culminates in fully connected layers, featuring 512 hidden units and an output layer with 15 neurons, aligning with the number of emotion classes. Training and validation sets are generated by converting input videos into frames, facilitating the model's training and evaluation. This architecture conforms to the standard convolutional neural network paradigm for image classification tasks, utilizing convolutional layers to capture hierarchical features and max pooling for spatial downsampling. The fully connected layers contribute to the extraction of high-level representations before producing emotion predictions. The model's design, combining convolutional and fully connected layers, underscores its effectiveness in discerning complex patterns within facial expressions, making it well-suited for applications in emotion recognition from video frames.

Another approach called Discriminative Few Shot Learning which is proposed by Zhang et al., 2023. The FSL system, when combined with the fusion of feature levels from each scene, achieves an impressive accuracy of 91.72% on the Caltech ADOS video data. The scene-level fusion, reveals insights into the unequal distribution of diagnostic information across different scenes and asserting that ASD is a complex condition requiring nuanced phenotyping beyond conventional classification categories. The model begins by extracting spatio-temporal features from the video, employing a combination of K-SVD with Marginal Fisher Analysis (MFA) to derive more discriminative representations. The scene-level feature fusion strategy, requires manually splitting entire hour-long videos into 15 separate scenes by time markers and extracting facial-dynamics features of each scene.

## **2.3 FACIAL OCCLUSION:**

Most of the facial emotion detection models face the issue of occlusion in face which affects the models performance in predicting the emotions. So it can be solved by facial reconstruction and complete face recovery techniques. The Deep Cascade

Guidance Learning method involves a three-stage guidance learning scheme-occlusion detection, face parsing, and face reconstruction. The first two stages are trained on both synthesized and real data domains, enabling domain-agnostic guidance for the subsequent reconstruction stage and effectively mitigating the prevalent domain gap issue. By disentangling input information into domain-agnostic and appearance inputs, the cascade guidance learning model significantly reduces reliance on domain-sensitive appearance details, resulting in a substantial enhancement in the performance of face reconstruction on real-world images. Two more reference modules based on masked attention models are used that demonstrate both effectiveness and efficiency in inpainting occluded facial parts. This work’s performance is compared with the standard model like RCPR, HRNet on different standard datasets like COFW and 300W, the proposed model gives the better reconstructed image with low normalized mean error between the occluded face and the reconstructed face (Ni Zhang et al., 2023)

## **2.4 ATTENTION MECHANISM**

The adaptive attention regression network, integrated with local attention predefinition and global attention learning, captures both predefined dependencies by landmarks in strongly correlated regions (regions which going to make a greater impact) and facial globally distributed dependencies in weakly correlated regions (Shao et al., 2023). An adaptive spatio-temporal graph convolutional network simultaneously reasons the specific pattern of each AU, the inter-dependencies among AUs, as well as the temporal correlations. Extensive experiments on benchmark datasets show that the approach achieves comparable performance in both constrained scenarios and unconstrained scenarios, and can accurately learn the regional correlation distribution of each AU. The Adaptive Attention Regression (AAR) method achieved an average F1-frame score of approximately 63.8 on the BP4D benchmark. The AAR network was tested on input images with misalignment errors and occlusions. If input images are severely misaligned AAR fails to precisely



capture AU Region of Interests (ROIs). The AAR does not explicitly process misalignment errors, such as explicitly learning rotation-invariant and scale-invariant features.

## **2.5 SUMMARY OF THE LITERATURE SURVEY**

The survey explores a diverse array of methodologies for facial emotion recognition in individuals with Autism Spectrum Disorder (ASD). The studies highlight the importance of complex phenotyping, acknowledging the complexity of ASD and emphasizing the need for adaptive and comprehensive models. Various models, such as the Face Detection Convolutional Neural Network (FDCNN), Few-Shot Learning (FSL) systems, and discriminative Facial Action Unit (FAU) detection, showcase promising results in capturing and understanding facial expressions in normal individuals. The integration of attention mechanisms, multi-task learning, and innovative loss functions underscores the continuous effort to improve model performance and address challenges like class imbalance and variations in datasets. Moreover, cascade guidance learning, and adaptive attention regression networks aim to enhance robustness in real-world scenarios, addressing issues related to occlusions and misalignment errors. Challenges include limited sample sizes, domain gaps, misalignment errors, insufficient labelled datasets, variability in emotion expression, ethical considerations, and the need for real-time processing

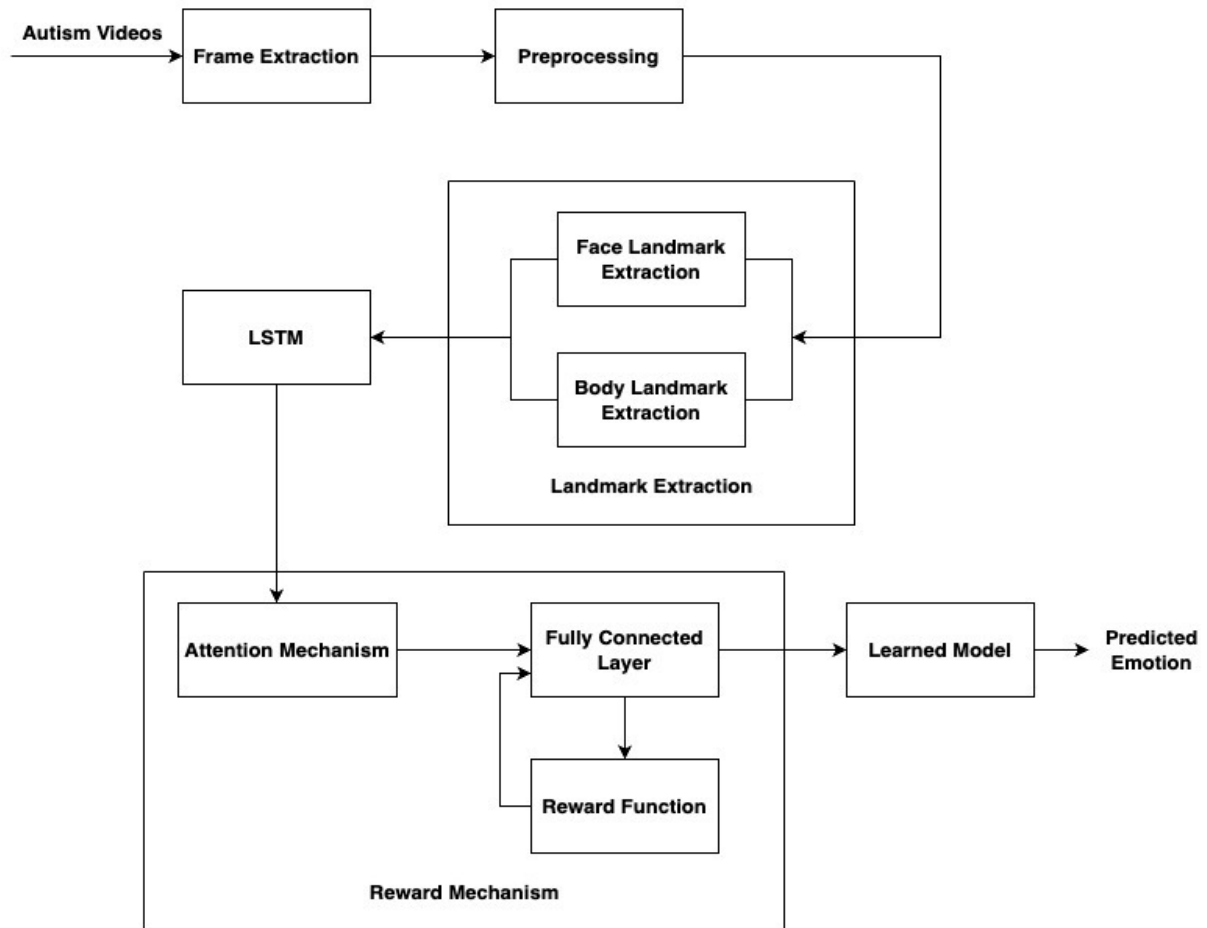
## **CHAPTER 3**

### **SYSTEM ARCHITECTURE AND DESIGN**

#### **3.1 SYSTEM ARCHITECTURE**

The proposed project architecture is designed as a robust pipeline for the prediction of emotions of Autism Spectrum Disorder (ASD) children. The process initiates with Autism Videos serving as the primary dataset, and subsequent Frame Extraction facilitates the breakdown of video content into individual frames to enable granular analysis. Preprocessing steps ensure the frames are suitably prepared for subsequent analysis, including resizing and normalization procedures. Face Landmark Extraction captures crucial facial features, while Body Landmark Extraction concurrently gathers key body landmark points which includes the hands, legs and so on. The architecture further integrates an LSTM (Long Short-Term Memory) layer, a recurrent neural network designed to comprehend temporal dependencies and patterns in the sequence of frames, enhancing the system's ability to understand dynamic facial and body expressions over time. Attention Mapping and the Reward Function are seamlessly incorporated into the LSTM layer, providing dual functionality to enhance focus on critical features and instill positive reinforcement during training. The Fully Connected Layer is connected to the Attention Layer, suggesting that the fully connected layer is incorporating information that has been selectively attended to by the attention mechanism. The reward during loss implies that, during training, the model is rewarded for making correct predictions or recognizing important patterns, enhancing its ability to learn and improve over successive iterations. In the final layer of the network architecture, the model outputs probabilities for six distinct emotion classes: anger, happy, surprise, neutral, fear, and sad. This output layer represents a comprehensive classification scenario for emotion recognition, assigning a probability distribution across these six classes for each input. Practical interpretation involves considering the class with the highest probability as the predicted emotion for a given input. This

probabilistic approach provides a better understanding of the model's confidence in its predictions, allowing for a more detailed assessment of uncertainty or ambiguity in emotion recognition. The above proposed work is shown in the Figure 3.1



**Figure 3.1 – System Architecture**

## 3.2 LSTM

Long Short-Term Memory (LSTM) networks, a subtype of recurrent neural networks (RNNs), play a major role in emotion detection for the individuals with Autism Spectrum Disorder (ASD). LSTMs are good at capturing the temporal dynamics inherent in facial expressions along with their body movements, making them an efficient one for analysing evolving emotional states over time. The

distinctive feature of LSTMs lies in their ability to overcome the vanishing gradient problem, ensuring effective learning and retention of long-term dependencies in sequential data. Within the framework of emotion detection, LSTMs process sequential input data, enabling them to consider the temporal evolution of facial expressions. The memory cells inherent in LSTMs facilitate the retention of information over extended periods, providing the model with the capacity to discern nuanced changes in emotional states. The incorporation of gating mechanisms, including input, output, and forget gates, allows LSTMs to selectively retain relevant information, enhancing their capacity to understand the complexities of evolving emotions. In the integration with emotion detection, LSTMs contribute a crucial temporal context. They consider not only the current frame but also the sequence of expressions leading up to it, offering a more comprehensive understanding of emotional dynamics. The benefits of LSTMs in emotion detection lie in their temporal sensitivity, capturing subtle changes in facial expressions, and their ability to understand long-term dependencies, providing insights into the progression of emotions. Integrating attention mechanism along with the reward function enhances the overall accuracy and depth of emotion detection in individuals with ASD.

### **3.2.1 ATTENTION MECHANISM**

The attention mechanism is in emotion detection for individuals with Autism Spectrum Disorder (ASD) which enables selective focus on crucial facial regions, emphasizing expressive features and promoting contextual understanding. Its dynamic adaptation allocates attention weights based on evolving emotional contexts. Integrated with facial landmarks analysis, it prioritizes regions essential for expressing emotions. When combined with Long Short-Term Memory (LSTM) networks, attention mechanisms contribute context-aware temporal analysis of facial expressions.

### **3.2.2 REWARD FUNCTION**

The incorporation of a reward function in the emotion detection system transforms the training process by providing dynamic feedback during each iteration based on the model's predictions. Unlike conventional methods, this approach adapts in real-time, offering rewards contingent on precise emotion recognition. Tailored for the complexity of emotions, the reward function ensures individualized and adaptive learning, allowing the model to refine its predictions iteratively. During training, this mechanism evaluates the model's predictions, rewards for accurate emotion recognition and guiding the model towards improved performance. Its iterative nature enables continual refinement, facilitating the model's adaptation to diverse emotional expressions over successive training cycles. Integrated into the training process, the reward function augments traditional methodologies, optimizing the model's parameters and promoting accurate emotion recognition. The real-time feedback enhances the model's ability to capture complex emotional states, making it an important component in the adaptive landscape of emotion detection.

## CHAPTER 4

### ALGORITHM DEVELOPMENT AND IMPLEMENTATION

#### 4.1 LSTM IMPLEMENTATION

The proposed work's network architecture comprises multiple layers designed for sequence processing and attention-based feature extraction. The model begins with an LSTM layer, configured for 30 time steps and 1662 features in each sequence, followed by an attention layer to emphasize relevant features. Two additional LSTM layers capture temporal dependencies, leading to a final Dense layer stack for classification. The architecture is structured to leverage the strengths of LSTM units in sequence learning, with attention mechanisms enhancing the network's focus on crucial information. The model aims to discern patterns within input sequences, crucial for tasks like emotion detection, where temporal features are vital. Optimization is achieved through the use of rectified linear unit (ReLU) activations, and the final softmax layer facilitates multi-class classification. This comprehensive design seeks to extract meaningful representations from sequential data, leveraging attention mechanisms for enhanced discrimination, making it particularly suitable for tasks requiring understanding of temporal dynamics, such as emotion recognition in diverse datasets

#### 4.2 ATTENTION MECHANISM

The attention mechanism algorithm involves several key steps, and a commonly used version is the scaled dot-product attention. The algorithm is given below. Mathematical representation is given in the Equation 1

$$\text{Attention}(q,k,v)=\text{Softmax}\frac{q.(k^T)}{\sqrt{d}} \cdot v \quad (1)$$

## 4.3 REWARD FUNCTION

In this proposed work, four distinct reward functions are integrated into the training process. These reward mechanisms are strategically employed during loss propagation, contributing to the model's learning process. By incorporating diverse reward signals, it aims to reinforce precise emotion recognition and enhance the overall performance of the system. The reward functions are given below,

### 4.3.1 FUNCTION 1:

The Reward function 1 is used to calculate the reward and update the loss value based on the equation 2.

$$\text{Reward} = (\text{pred\_correct}) - (0.1 \times \text{div\_penalty}) - (0.2 \times \text{weighted\_penalty}) + (0.3 \times \text{bonus}) \quad (2)$$

The parameters of the equation 2 is mentioned below

#### **Correct Predictions:**

The summation of the difference between the true values and the predicted values are calculate which is mentioned in the equation 3.

$$\text{Pred\_correct} = \sum_{i=1}^n y_{\text{true},i} - y_{\text{pred},i} \quad (3)$$

Where n is the number of classes.

#### **Diversity Penalty:**

To increase the diversity in the prediction of emotions to prevent the overfitting problem, diversity penalty term is introduced which is given in the equation 4. When the same emotion is predicted, it will be penalized.

$$\text{Div\_penalty} = \|\text{mean\_pred\_axis\_0} - \text{mean\_pred\_axis\_1}\| \quad (4)$$

Where mean\_pred\_axis\_0 is the mean of y\_pred along axis 0, and mean\_pred\_axis\_1 is the mean along axis 1.

**Weighted Penalty:**

The weighted penalty accounts for the mistakes made by the model, the difference between the predicted emotion and true emotion represents the errors for each class. The penalties are weighted by the corresponding class weights which is given by the equation 5.

$$\text{Weighted\_penalty} = \sum_{i=1}^n (y_{true,i} - y_{pred,i})^2 \times \text{weight}[i] \quad (5)$$

Where  $\text{weight}[i]$  is a weight assigned to each class

**Bonus parameter:**

$\text{bonus}=0.3$

**4.3.2 FUNCTION 2:**

The Reward function 2 is the modified version of the equation 2, it is also used to update the loss values for increasing the efficiency of the model. Equation 6 represents the reward function 2.

$$\text{Reward} = (\text{pred\_correct}) - (0.1 \times \text{div\_penalty}) - (0.3 \times \text{weighted\_penalty}) + (0.4 \times \text{bonus}) + (0.2 \times \text{avg\_attention\_score}) \quad (6)$$

The parameters in the equation 6 is given below

**Correct Predictions:**

It gives the positive contribution to the model by representing the correctness of the predicted values. The mathematical representation is given in the equation 7.

$$\text{Pred\_correct} = \sum_{i=1}^n y_{true,i} - y_{pred,i} \quad (7)$$

Where  $n$  is the number of classes

**Diversit Penalty:**

It is used to increase the diversity in the prediction of emotions to prevent the overfitting problem and the mathematical formula is given in the equation 8.

$$\text{Diverse\_penalty} = \|\text{mean\_pred\_axis\_0} - \text{mean\_pred\_axis\_1}\| \quad (8)$$



**Weighted Penalty:**

This parameter accounts for the mistakes made by the model, the difference between the predicted emotion and true emotion represents the errors for each class and the penalties are weighted by the corresponding class weights which is given by the equation 9.

$$\text{Weight\_penalty} = \sum_{i=1}^n (y_{\text{true},i} - y_{\text{pred},i})^2 \times \text{weight}[i] \quad (9)$$

Where  $\text{weight}[i]$  is a weight assigned to each class

**External Metric Bonus:**

$\text{bonus}=0.4$

**4.3.3 FUNCTION 3:**

The reward function 3 is the modified version of equation 6 where additional parameters are included to increase the performance of the model. The modified reward function is given in the equation 10.

$$\text{Reward} = (\text{correct\_pred}) - (0.1 \times \text{diversity\_penalty}) + \text{bonus} + (0.2 \times \text{attention\_avg}) - (0.3 \times \text{weighted\_penalty}) + n\_term \quad (10)$$

The parameters in the equation 10 are given below.

**Correct Predictions:**

In this parameter, the cross entropy between the true value and the predicted value along with a logarithmic transformation which is given in equation 11.

$$\text{Pred\_correct} = \sum_{i=1}^n y_{\text{true},i} * y_{\text{pred},i} * (1 + \log(\text{external})) \quad (11)$$

Where  $n$  is the number of classes.

**Diversity Penalty:**

The diversity penalty term encourages the model to make diverse predictions by penalizing similarity between the mean predictions along different axes with the help of the attention scores is given in the equation 12.

$$\text{Diverse\_penalty} = \|\text{mean\_pred\_axis\_0} - \text{mean\_pred\_axis\_1}\| \quad (12)$$

**Weighted Penalty:**

The purpose of this parameter is to penalize prediction errors in a weighted manner, taking into account both the class weights and the attention score. The mathematical form of this parameter is given in the equation 13.

$$\text{Weight\_penalty} = \sum_{i=1}^n (y_{true,i} - y_{pred,i})^2 * \text{weight}[i] * \text{attention\_Score}[i] \quad (13)$$

**Bonus:**

$$\text{bonus} = 0.4 * \exp(\text{external\_metric}) \quad (14)$$

In the equation 14, the use of the exponential function is used to amplify the effect of the external metric.

**Term:**

To balance the reward new term is used by influencing the attention score and the external metric. The mathematical representation is given in the equation 15

$$\text{Term} = (0.2 * \text{external\_metric}) + (0.3 * \text{mean}(\sqrt{\text{attention\_scores}})) \quad (15)$$

**4.3.4 FUNCTION 4**

The reward function 4 includes the temporal and spatial regularization components to focus on the temporal dynamic. This is mathematically represented in equation 16.

$$\begin{aligned} \text{Reward} = & (\text{pred\_correct}) - (0.1 \times \text{diversity\_penalty}) - (0.3 \times \text{weight\_penalty}) + (\text{bonus}) \\ & + (0.2 \times \text{attention\_avg}) + \text{n\_term} + \text{temporal\_reg} \end{aligned} \quad (16)$$

**Correct Predictions:**

The purpose of this parameter is to calculate the sum of correct predictions, with each term being influenced by both the external metric and the mean predicted values.

$$\text{Pred\_correct} = \sum_{i=1}^n y_{true,i} * y_{pred,i} * (\log(1 + \text{external\_metric})) \quad (17)$$

**Diversity Penalty:**

The equation 18 gives the penalty based on the mean attention score and the mean predicted, it penalizes if there is a lack of diversity.

$$\text{Diverse\_penalty} = \|\text{mean\_pred\_axis\_0} - \text{mean\_pred\_axis\_1}\| * (1 - \text{mean}(\text{attention\_score})) \quad (18)$$

### **Weighted Mistakes Penalty:**

The purpose of this term is to penalize prediction errors in a weighted manner, taking into account both the squared errors, class weights, attention scores, based on the sum of true labels across all classes.

$$\text{Weight\_penalty} = \sum_{i=1}^n (y_{\text{true},i} - y_{\text{pred},i})^2 * \text{weight}[i] * \text{attention\_Score}[i] \quad (19)$$

Where M is number of samples

### **Bonus:**

$$\text{bonus} = 0.4 * \exp(\text{external\_metric}) \quad (20)$$

In the equation 20, the use of the exponential function is used to amplify the effect of the external metric with non linearity.

### **Average Attention Score:**

The use of the square root and logarithmic transformation introduces non-linearities and scaling effects that can influence the contribution of each component to the overall term which is mathematically given in equation 21.

$$\text{Avg\_attention} = \sqrt{\text{mean}(\text{attention\_score})} \quad (21)$$

### **New Term:**

The new term acts as bonus to the reward with the help of the attention scores which is mathematically given as equation 22.

$$\text{N\_term} = 0.2 * \text{external\_metric} + 0.3 \times \text{mean}(\text{square}(\text{attention\_scores})) \quad (22)$$

### **Temporal Regularization Term:**

$$\text{Temporal\_reg} = \exp(-\text{mean}(y_{\text{pred}})) \quad (23)$$

The purpose of the equation 23 is to introduce regularization related to the temporal aspect, influencing the model to have lower temporal influence when the mean predicted values are high. This can help prevent the model from being overly influenced by strong temporal patterns, potentially reducing overfitting.

## **CHAPTER 5**

### **RESULTS AND DISCUSSIONS**

#### **5.1 PREPROCESSED DATA**

The frames are extracted from the videos and then they undergo transformation in shape, sharpness of the image to maintain the consistency of the dataset. Colour normalization will be applied to mitigate variations in lighting conditions, promoting robustness in feature extraction. The above mentioned processing technique is applied to the Figure 5.1 and get the processed image in Figure 5.2.



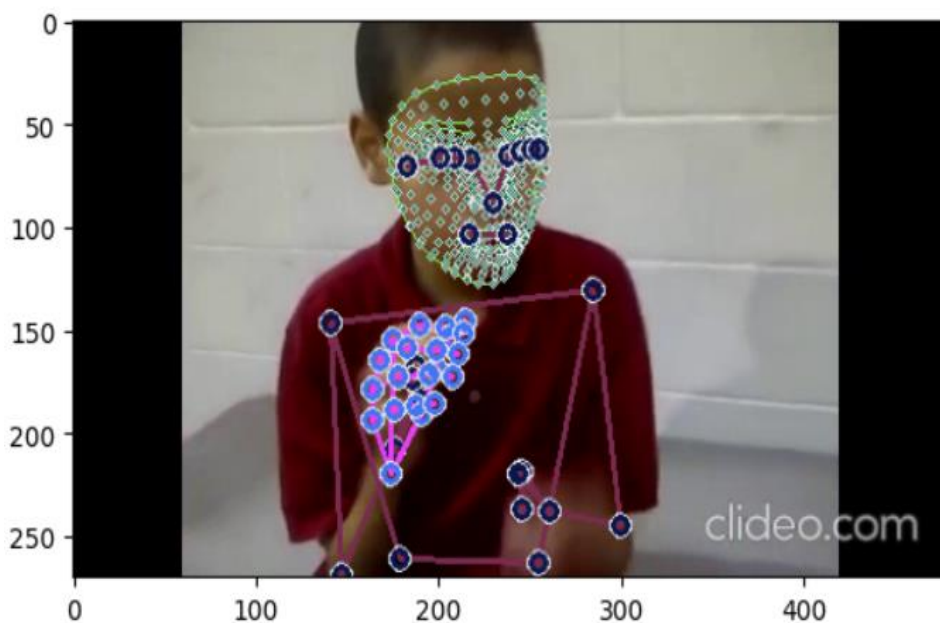
**Figure 5.1** – Frame without preprocessing



**Figure 5.2** – Frame after preprocessing

## 5.2 LANDARK POINTS EXTRACTION:

Landmark point extraction from children involves capturing detailed information about facial, hand, and body features. This comprehensive set of 468 face landmarks, along with 21 hand and 33 body landmarks, enables a thorough representation of expressive behaviours. Facial landmarks, intricately mapping facial features, contribute to nuanced emotion analysis. Simultaneously, hand and body landmarks provide insights into gestural and postural aspects, enhancing the model's understanding of expressive cues. If the hands of the children is not visible in the frame, then they won't be considered for the emotion detection. Figure 5.3 represents the visualization of the landmark points in the face and body and the Figure 5.4 represents the facial coordinates of the Figure 5.3 and the Figure 5.5 represents the right hand coordinate points of the Figure 5.3.



**Figure 5.3** – Visualization of Landmark points of the body

[50]:

	x	y	z
0	0.485183	0.373146	-0.014683
1	0.486839	0.325866	-0.040460
2	0.482950	0.338218	-0.017612
3	0.475040	0.282518	-0.038534
4	0.486828	0.312836	-0.044822
...	...	...	...
463	0.491132	0.233048	-0.002279
464	0.488311	0.238226	-0.007927
465	0.487360	0.242183	-0.013174
466	0.525187	0.212117	0.010914
467	0.528617	0.204004	0.011885

468 rows × 3 columns

**Figure 5.4** – Coordinates of Facial landmark points

[48]:

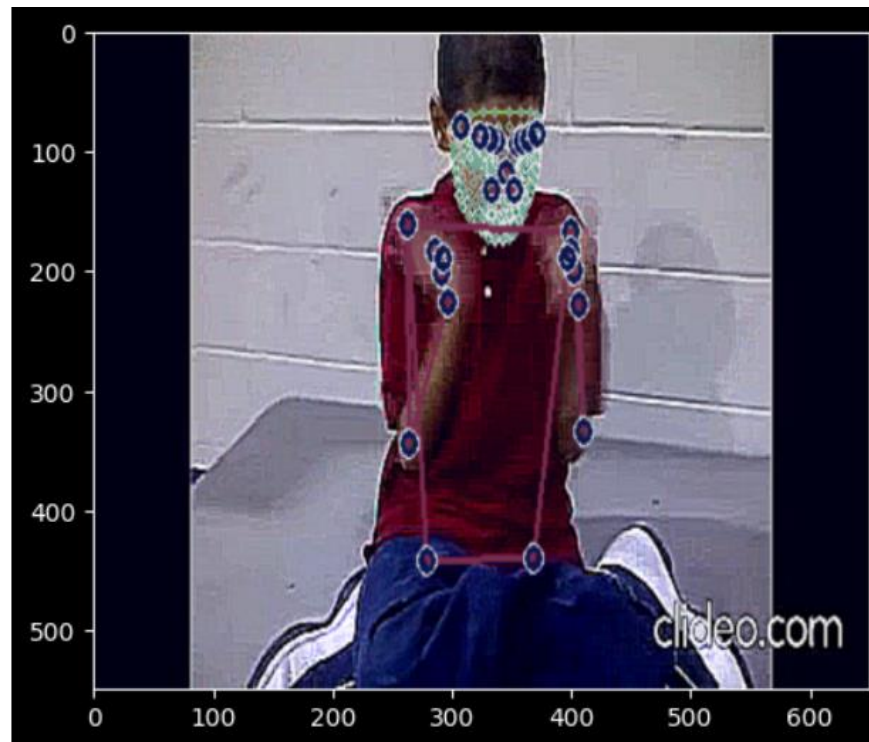
	x	y	z
0	0.362987	0.817125	1.574293e-07
1	0.399303	0.708579	9.842556e-04
2	0.416496	0.629553	-4.282036e-03
3	0.431118	0.573952	-1.292917e-02
4	0.449992	0.543840	-2.129436e-02
5	0.366381	0.575365	-5.570281e-03
6	0.397552	0.548853	-2.477447e-02
7	0.425556	0.553188	-4.092061e-02
8	0.446926	0.563511	-5.141919e-02
9	0.351219	0.613658	-1.678469e-02
10	0.381686	0.590764	-3.525076e-02
11	0.415211	0.593547	-4.602389e-02

**Figure 5.5** – Coordinates of right hand landmark points

### 5.3 EMOTION PREDICTION:

At the time of predicting the emotion, the model gives the probabilities of each emotion in each frame. As the children are autistic in nature, it will be beneficial to

consider the top two emotions with highest probabilities. Figure 5.6 represents the intermediate frame of the predicted video with labelled landmark points.



**Figure 5.6** – Intermediate frame of the predicted video

The subsequent image is structured as a tabular representation which is shown in the Figure 5.7, with each row corresponds to a distinct frame. The 'frame' column serves as the index of the alternate frames in the predicted video, while the subsequent columns, such as 'anger,' 'fear,' 'happy,' 'neutral,' 'sad,' and 'surprise,' contain predicted probabilities for the respective emotion categories. Additionally, the 'MAX1' and 'MAX2' columns identify the two emotion categories with the highest scores for each frame.

[143]:

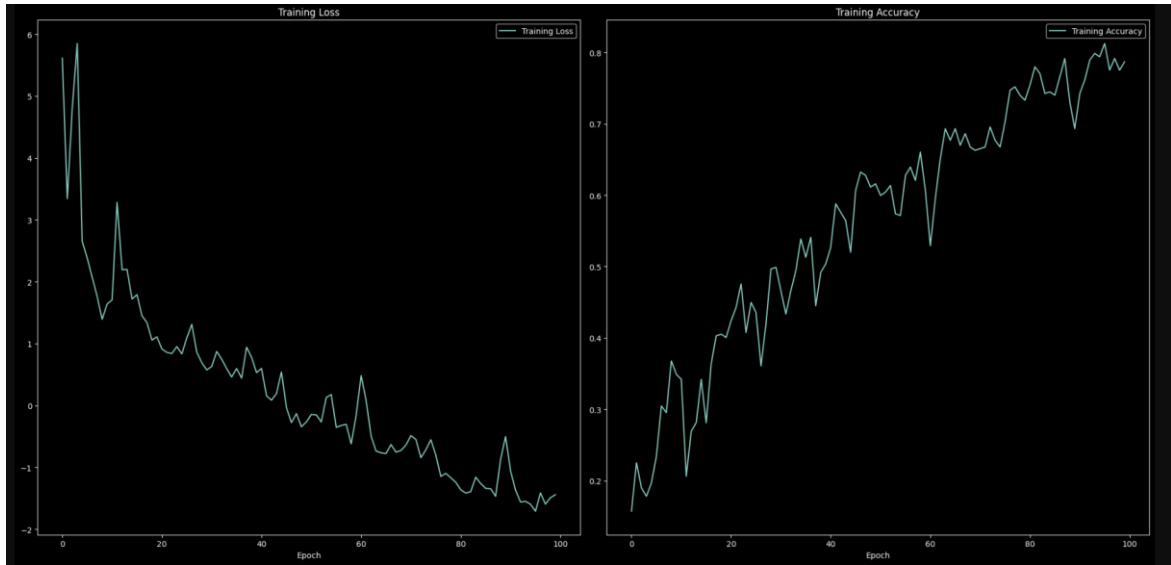
	frame	anger	fear	happy	neutral	sad	surprise	MAX1	MAX2
0	1	0.041474	0.008115	0.560596	0.378209	0.006541	0.005065	happy	neutral
1	3	0.042845	0.007582	0.709878	0.228023	0.006488	0.005186	happy	neutral
2	5	0.044415	0.006955	0.775919	0.161300	0.006388	0.005022	happy	neutral
3	7	0.035339	0.004838	0.841645	0.109401	0.004687	0.004090	happy	neutral
4	9	0.024637	0.002761	0.897648	0.069230	0.002939	0.002786	happy	neutral
5	11	0.017624	0.001512	0.937569	0.039794	0.001752	0.001751	happy	neutral
6	13	0.013091	0.001008	0.960224	0.022941	0.001334	0.001402	happy	neutral
7	15	0.009323	0.000429	0.972836	0.015998	0.000687	0.000727	happy	neutral
8	17	0.005105	0.000247	0.985514	0.008156	0.000449	0.000529	happy	neutral
9	19	0.003677	0.000153	0.989486	0.005964	0.000327	0.000394	happy	neutral
10	21	0.002734	0.000064	0.992323	0.004396	0.000233	0.000251	happy	neutral
11	23	0.001703	0.000022	0.995287	0.002728	0.000143	0.000117	happy	neutral
12	25	0.001374	0.000012	0.996244	0.002190	0.000100	0.000081	happy	neutral
13	27	0.000889	0.000004	0.997746	0.001273	0.000050	0.000038	happy	neutral
14	29	0.000637	0.000001	0.998568	0.000747	0.000028	0.000018	happy	neutral
15	31	0.001852	0.000012	0.991608	0.006118	0.000226	0.000185	happy	neutral
16	33	0.004384	0.000094	0.988859	0.005852	0.000543	0.000269	happy	neutral

**Figure 5.7** – Probabilities of emotions in each frame

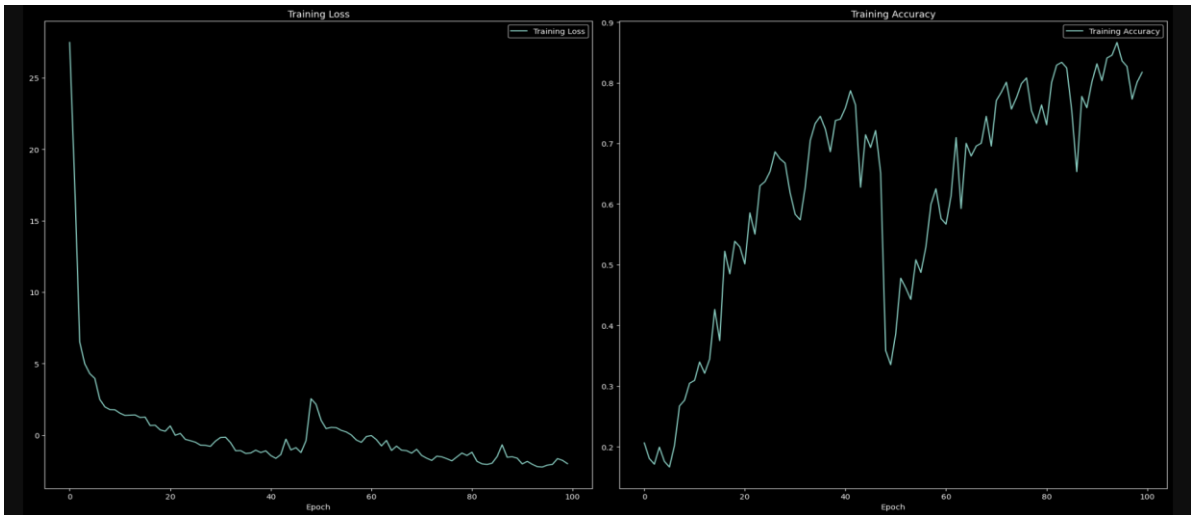
## 5.4 PERFORMANCE COMPARISON BETWEEN REWARD FUNCTION

Now, the performance of the prediction without reward function and with different reward functions. The graphical representation of the training loss and training accuracy for all the functions are shown in the Figure 5.8, Figure 5.9, Figure 5.10, Figure 5.11. They show how the training loss and training accuracy changes in each epochs

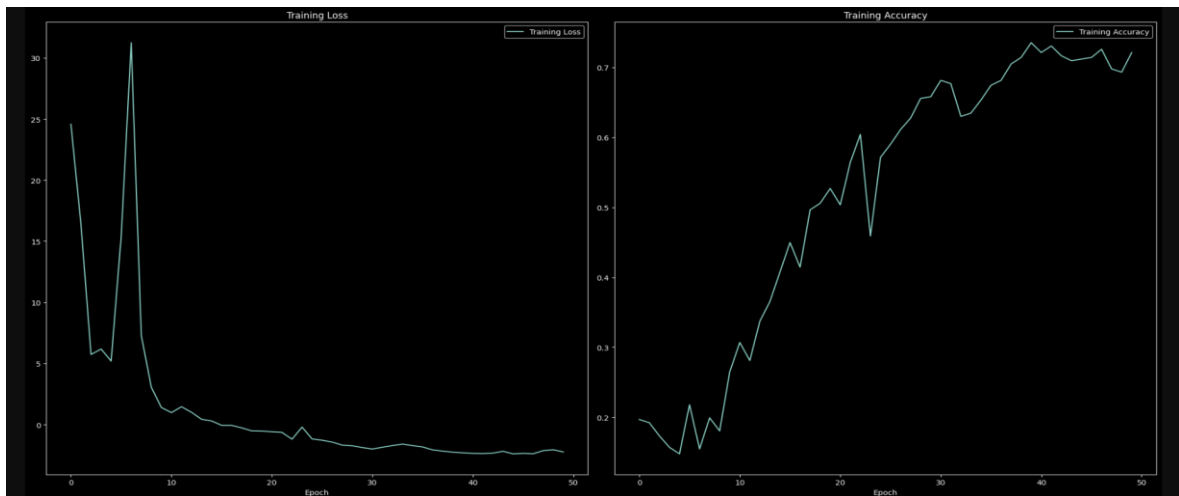




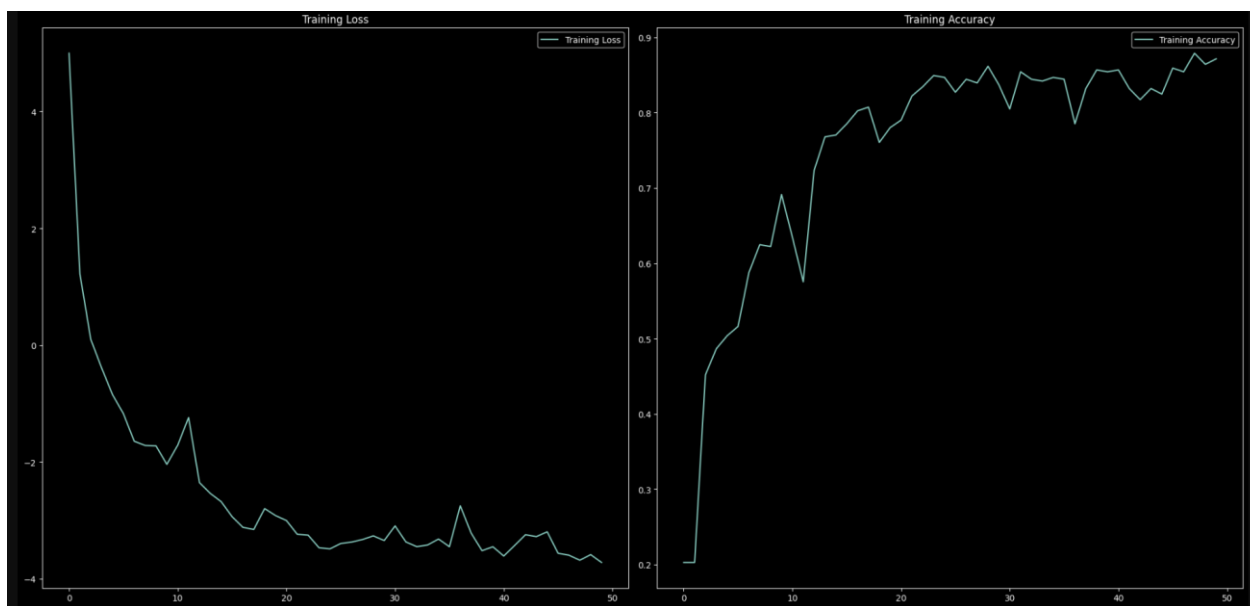
**Figure 5.8** – Training loss and training accuracy graph with reward function 1



**Figure 5.9** – Training loss and training accuracy graph with reward function 2



**Figure 5.10** – Training loss and training accuracy graph with reward function 3



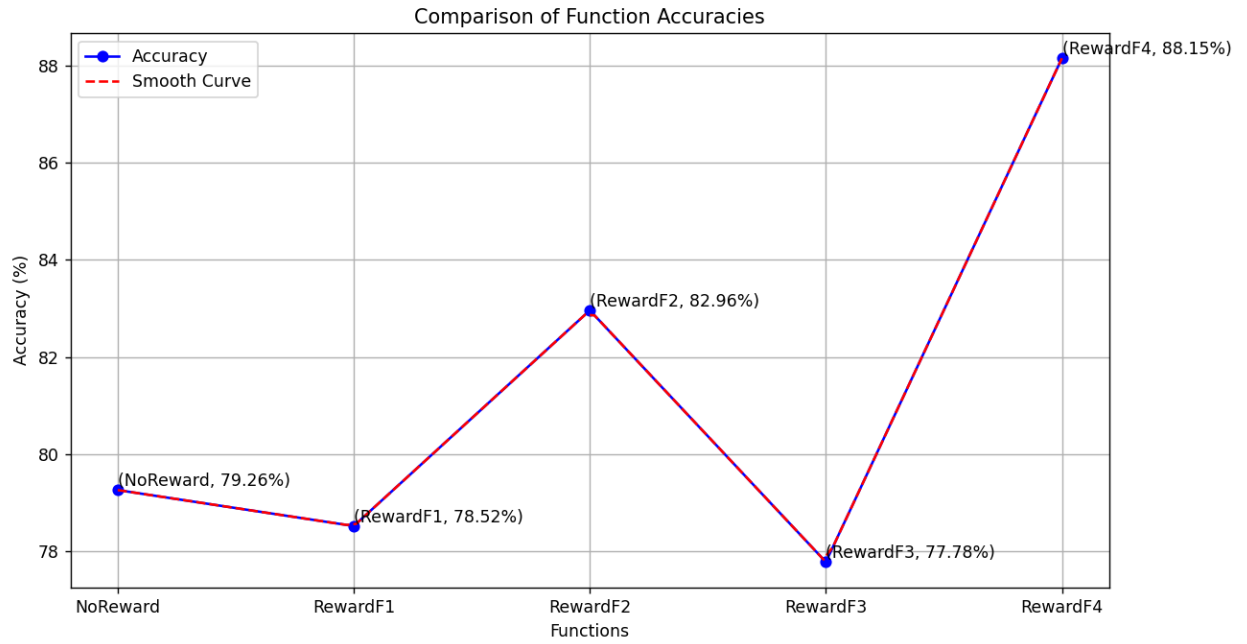
**Figure 5.11** – Training loss and training accuracy graph with reward function 4

Then these models with different reward functions are tested with the test data which give the different accuracies for each function, some function gives good accuracy and some functions yields lesser accuracy than the model without any reward function.

**Table 5.1** – Performance comparison based on the accuracy score

FUNCTION	ACCURACY
No Reward function	79.26
Reward Function 1	78.52
Reward Function 2	82.96
Reward Function 3	77.78
Reward Function 4	88.15

Table 5.1 contains the function along with their respective accuracy for the test data prediction and the accuracies of each functions is shown in a graphical representation in Figure 5.12.



**Figure 5.12** – Graphical representation of performance of the different reward functions

The performance evaluation of different reward functions shows different impacts on the accuracy of the model. In the absence of a specific reward function that only attention mechanism is implemented which achieves an accuracy of 79.26%. After introducing the first reward function, leads to a slightly lower accuracy of 78.52%, suggesting that this particular reward function might not significantly enhance the model's performance. However, second reward function shows a notable improvement with an accuracy of 82.96%, which indicates its positive impact on predictive capabilities on the test data. But the third reward function yields an accuracy of 77.78%, slightly below the baseline. The most promising result comes from Reward Function 4, which achieves the highest accuracy of 88.15%, making it the most effective in enhancing the model's overall performance. The selection of a proper reward function is important for optimizing the model's performance, and Reward Function 4 shows better performance in this comparative analysis.

## CHAPTER 6

### CONCLUSION AND FUTURE WORK

#### 6.1 CONCLUSION

The project involves in the development of a specialized emotion recognition system tailored to the complex expressive behaviours exhibited by autistic children. The project will focus on exploring facial expressions and body movements as key modalities for emotion recognition. A significant aspect of the project's scope involves addressing the challenge of occluded faces by incorporating methods for face reconstruction when parts of the face are hidden and other challenges like lack of diverse labelled dataset, developing the proper reward function for the diverse emotion. An LSTM layer captures temporal patterns in frame sequences, supports dynamic expression comprehension. Attention Mapping and Reward Function enhance focus and offer positive reinforcement during training.

The reward function in the proposed architecture is an important element designed to guide the learning process effectively. It is composed with several key factors that collectively contribute to enhancing the model's performance. Mainly, the reward function majorly relies on the model's ability to make correct predictions. Accurate emotion recognition is encouraged and reinforced through positive reward. Additionally, the function incorporates a diversity penalty to discourage the model from consistently predicting the same emotion. The average attention scores from the attention mechanism play a pivotal role, influencing the reward and emphasizing the significance of selectively attending to relevant features. Collectively, these components form a comprehensive reward framework, steering the model towards improved accuracy, robustness, and adaptability in the intricate task of emotion recognition. The Fully Connected Layer integrates attention information. The reward during loss helps learning through positive reinforcement. The output layer predicts six emotions with probability distributions. The highest probability class is the predicted emotion.

## **6.2 FUTURE WORK**

The proposed work's performance can be improved by optimization of the model, a process that can be achieved through the exploration of advanced optimization techniques and fine-tuning of hyperparameters. Collaborative efforts with clinicians are crucial for the validation of the model's predictions against clinical assessments, ensuring the accuracy and reliability of the emotion recognition system. Addressing privacy concerns is necessary for the implementation of privacy measures to protect sensitive data. Challenges in the project, such as irregular face alignment in frames and limited visibility of body parts, can be mitigated. Facial derotation techniques can be implemented to address irregular face alignment, ensuring that the capture of facial expressions even in challenging scenarios are precise. Additionally, advanced face recovery techniques can be employed to enhance the reconstruction of occluded or partially visible facial features, further improving the system's overall performance. These techniques contribute to a adaptable emotion recognition system for Autism Spectrum Disorder (ASD) children.

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