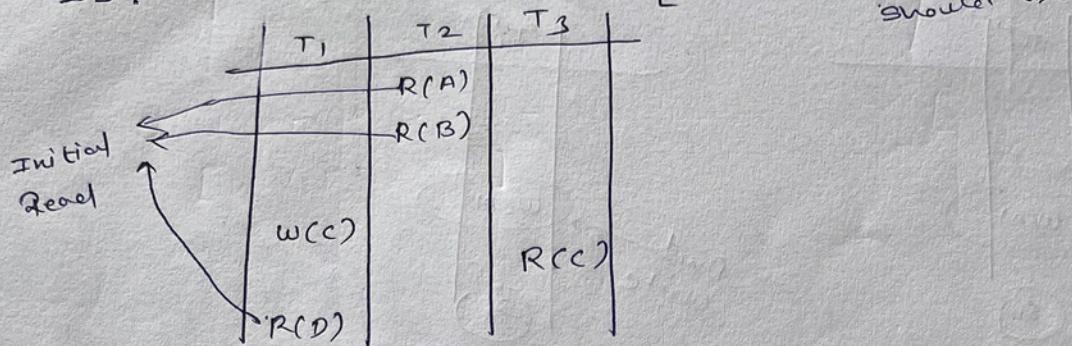


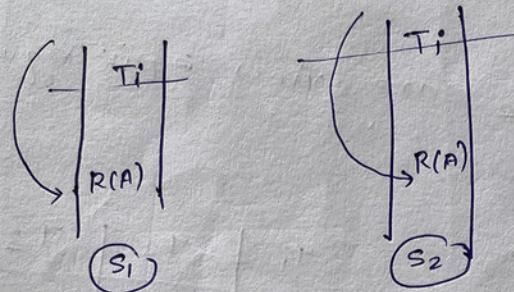
$\Rightarrow S_1, S_2$ (view) equal iff \Rightarrow

③ If some transaction T_i Reading "A" from initial DB in S_1 . Then in S_2 also T_i should read A from initial DB.

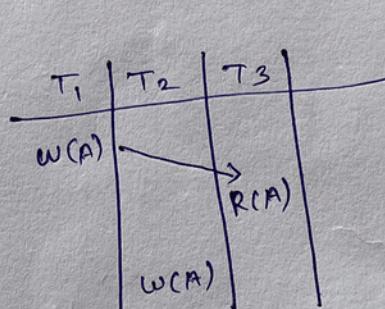
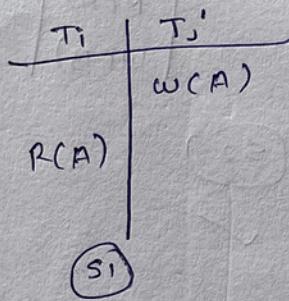
[our initial read up of S_1 & S_2 should be same]



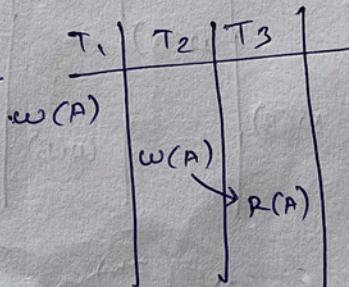
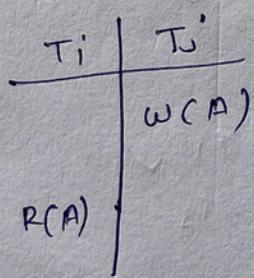
For example:



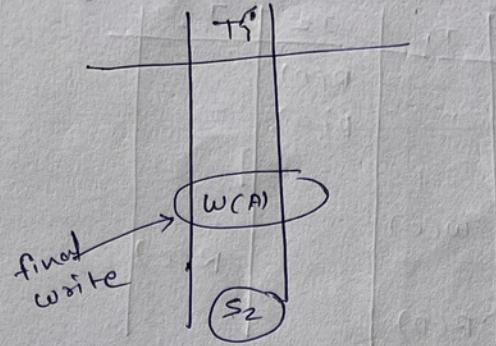
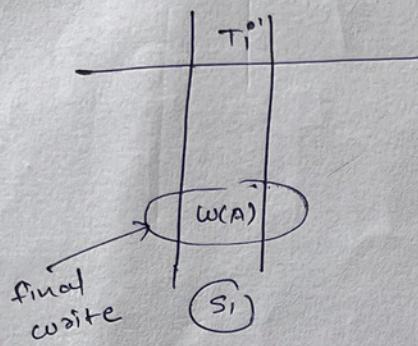
and ② If transaction T_i reads "A" which is updated by T_j in S_1 , then in S_2 also T_i should read "A" updated by T_j only. [write-read sequences should be same in S_1 & S_2]



$$\underline{S_1 \neq S_2}$$



and (3) If transaction T_i updates "A" finally in S_1 , they in S_2 also ("A") should update by T_i finally.



\Rightarrow Test given S_1 and S_2 are view equal or not?

	T_1	T_2	T_3
$w(A)$			
$R(A)$			
$R(B)$			
$w(C)$			
$w(B)$		$R(A)$	
$w(B)$			
$w(B)$			
(S_1)			

	T_1	T_2	T_3
$R(A)$			
$R(B)$			
$w(B)$			
$w(A)$			
$w(B)$			
$R(A)$			
$w(B)$			
(S_2)			

Initial record
 $A : T_{21}$
 $B = T_2$

S_1
 $A : T_2$
 $B = T_2$

$T_1 \rightarrow T_3$ ✓

W-R sequence. $T_1 \rightarrow T_3$

$A : T_1$ ✓
 $B = T_3$

last update?
or
final write $B = T_3$

So S_1 and S_2 are view equal schedule.

Ex Name: U.

	T ₁	T ₂	T ₃
w(A)			
	w(A)	R(A)	
w(B)	w(B)	w(B)	
S ₁			

Initial read:- A: T₃

: w-A : T₂ → T₃

final write:- A: T₂

B: T₃

	T ₁	T ₂	T ₃
w(A)			
	w(A)	R(A)	
w(B)		w(B)	
S ₂			w(B)

A: T₃

T₂ → T₃

A: T₁ X

B: T₃ ✓

so S₁ and S₂ are not view equal schedule

→ Serializable Schedule! - Schedule (S) is serializable if and only if there exist some serial schedule (S') which is view equal to given schedule.

$$S \stackrel{=} {S'} \begin{cases} \text{view equal} \\ \text{given schedule} \end{cases} \quad \begin{cases} \text{only one serial} \\ \text{schedule} \end{cases}$$

→ Then S is serializable schedule.

Ex:-

	T ₁	T ₂
R ₁ (A)		
	R ₂ (A)	
w ₁ (A)	w ₂ (A)	
(S)		

Test schedule (S) is serializable or not?

S': T₁ → T₂

	T ₁	T ₂
R ₁ (A)		
w ₁ (A)		R ₂ (A)
		w ₂ (A)

so (S) non-serializable schedule.

S is not view equal to
S': T₁ → T₂
S is not view equal to
T₂ → T₁

S': T₂ → T₁

	T ₁	T ₂
R ₂ (A)		
w ₂ (A)		
R ₁ (A)		w ₁ (A)

Example:-

	T ₁	T ₂
w ₁ (A)		
	w ₂ (A)	
w ₁ (B)		
(S)		

s is not view equal to
 $s': T_1 \rightarrow T_2$

s is not view equal to
 $s': T_2 \rightarrow T_1$
(So it is non-serializable schedule.)

Example! -

	T ₁	T ₂
R ₁ (A)		
	R ₂ (A)	
R ₂ (B)		
w ₁ (B)		
w ₁ (A)		

this s is equal to $s': T_2 \rightarrow T_1$

s is serializable schedule.

→ Problem because of { WR problem, RW problem, WW problem } concurrent execution.
I am recoverable problem
cascading rollback problem
Lost update problem

⇒ Classification of schedule :-
MIMP → Serializable schedule
→ Conflict Serializable
@ Conflict Serializable
① View Serializable
② View Serializable

- ⇒ Recoverability schedules
① Recoverable schedule
② Cascadable RB Recov. schedule
③ Strict Recoverable schedule

control :-

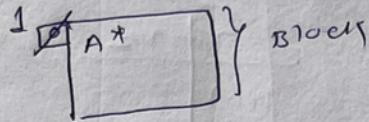
⇒ Protocols for concurrency
+ Locking protocol
+ Time stamp ordering :-

* Problems because of concurrent execution \Rightarrow (3)
 \rightarrow WR-problem (write - Read) or (Read after write) problem \Rightarrow

* Uncommitted Read \Rightarrow

T ₁	T ₂
W(A)	
	R(A)
CIR	

uncommitted
read
or
dirty read



1 dirty block

invoke below write operation of A

" Transaction T₂ reading A which is modified by uncommitted transaction T₁ "

Definition (WR-Prob.) \Rightarrow 1) S is non-serializable (and)
 2) Uncommitted Read exist in S

\Rightarrow Test for following schedule:-

Ex:- ①

T ₁	T ₂
R ₁ (A)	
W ₁ (A)	dirty read.
	R ₂ (A)
	R ₂ (B)

S : Non serializable schedule and Dirty Read exist

Ex:- ②

T ₁	T ₂
R ₁ (A)	
W ₁ (A)	\nearrow dirty Read
	R ₂ (A)
R ₁ (B)	
W ₁ (B)	
	R ₂ (B)

S : serializable schedule
 $[T_1 \rightarrow T_2 \text{ serial}]$
 but
 dirty read exist.

→ RW - Problem! -

- (a) Schedule S is non serializable
and
(b) R-W Conflict exists.

	T ₁	T ₂
P(A)		
commit/R		

Ex -

	T ₁	T ₂
R ₁ (A)		
R ₂ (A)		
w ₂ (A)	X	
w ₁ (A)		
committed here		

	T ₁	T ₂
R ₁ (A)		
w ₁ (A)		
R ₂ (A)		
w ₂ (A)		

	T ₁	T ₂
R ₂ (A)		
w ₂ (A)		
R ₁ (A)		
w ₁ (A)		

S: Non serializable schedule

Read-write conflict is here

So RW - problem exist

→ WW - Problem ⇒

- (a) Schedule S is non-serializable
and
(b) W-W conflict exists in schedule S.

	T ₁	T ₂
w ₁ (A)		
w ₂ (A)		

C/R.

Ex:-	T ₁	T ₂
w ₁ (A)		
w ₁ (B)		w ₂ (A) w ₂ (B)

S: Non-Serializable
[WW Problem]

Ex:-	T ₁	T ₂
w ₁ (A)		
w ₁ (B)		w ₂ (A) w ₂ (B)

X [WW Problem]
S: Serializable.

To avoid WR, RW, WW problems concurrency control
Component should restrict, Non-Serializable schedule.

⇒ IRRECOVERABLE Problems:- [Rollback of ~~commi~~
Committed Trans.]

	T ₁	T ₂
w ₁ (A)		
possible e: failed		R(A) = 10 commit rollback Not Possible

A [] 10

[IRRECOVERABLE Schedule]

Ex:- A: Joint Account

A: 10000
9000

	T ₁	T ₂
R(A)		
A=A-1000		R(A) — 9000 Commit Not Possible [IRRECOVERABLE]

w(A)

R(A) — 9000

Commit

Not Possible [IRRECOVERABLE]

failed *

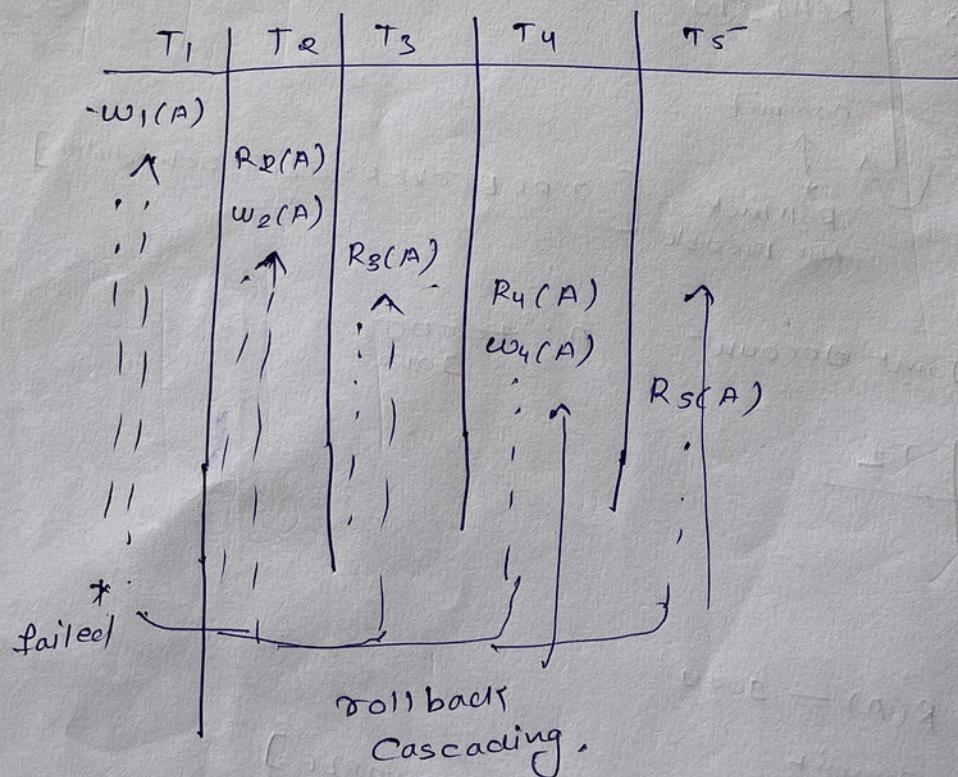
→ IRRECOVERABLE schedule condition :-

	T ₁	T ₂
W ₁ (A)		
R(A)		
C/R	C ₂	

⇒ If transaction T₂ reads "A" which is modified by uncommitted transaction T₁ and commit of T₂ before C/R by Trans. T₂.

They this type of schedules known as IRRECOVERABLE schedule (which also causes causes inconsistency).

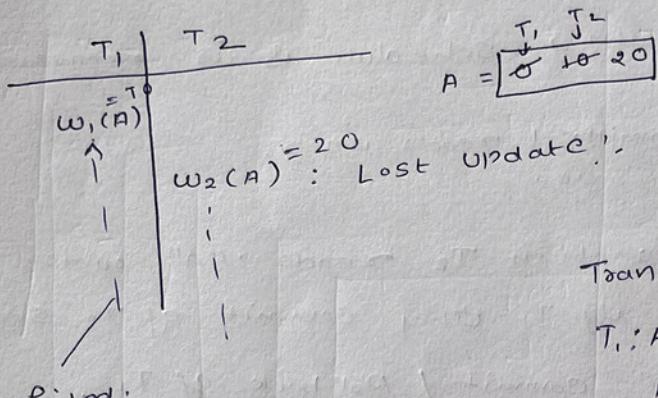
→ cascading Rollback problem:- Because of failure of one transaction required to rollback some set of other transaction also, is called cascading rollback.



→ Cascading rollback happened! -
→ There is no inconsistency.

Disadvantages:- ① wastage of CPU execution time
② and I/O access cost.

* Lost update problem \Rightarrow W(A) operation by some transaction is lost.



$$A = \boxed{\begin{matrix} T_1 & T_2 \\ 10 & 20 \end{matrix}}$$

Translog :-

$$T_1 : A_{old} = 0$$

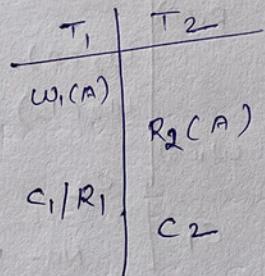
$$A_{new} = 10$$

roll back and
give $A = 0$

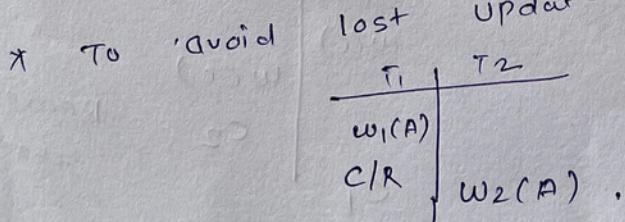
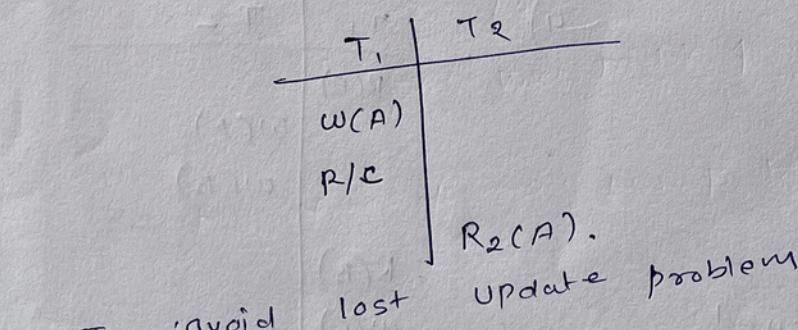
$$\left. \begin{array}{l} T_2 : A_{old} = 10 \\ A_{new} = 20 \end{array} \right\}$$

[The final 'A' value must be "20" but bcz of
 T_1 Rollback final A value is "0"] [Inconsistent
 result]

* To avoid IRRECOVERABLE :-



* To avoid cascading rollback \Rightarrow (restrict uncommitted reads).



Classification based on Recoverability:-

(1) Recoverable schedule \Rightarrow Schedule is recoverable, if

(a) No uncommitted reads in S.
or.

(b) If Transaction T_2 reads "A" which is modified by T_1 , then commit of T_2 should delay until commit / Rollback of T_1 .

T_1	T_2
$w_1(A)$	
	$R_2(A)$
C_1 / R_1	C_2

Q. Which of the following schedule are recoverable?

T_1	T_2
$w_1(A)$	
	$R_2(A)$
R_1	

\Rightarrow Recoverable.

T_1	T_2
$R_1(A)$	
	$w_2(A)$
	$w_2(B)$
$w_1(B)$	
	C_2

No uncommitted reads
so it is
recoverable
schedule.

T_1	T_2
$R_1(A)$	
	$R_2(A)$
	$w_2(A)$
$w_1(A)$	
	C_2
	No uncommitted read
	\Downarrow
	Recoverable schedule

T_1	T_2
$R(A)$	
	$w(A)$
	$w(B)$
$R(B)$	
	$w(C)$
	$w(C)$
C_1	C_2

Recoverable
schedule

(3)

Recoverable \rightarrow Condition \rightarrow True from \rightarrow
 \uparrow Irrecoverable schedule.

Not True from \Rightarrow
 * Cascading RB

* Lost update

* RW/ WR/ WW problems.

2) Cascadeless Rollback Recoverable schedule \rightarrow
 [avoid cascading rollback in Recoverable schedule]
 [Restrict the ~~one~~ uncommitted reads]

Schedule is cascadeless RB recoverable
 schedules if and only if:-

Transaction T_1 writes data item "A" some
 other trans. $T_2, R(A)$ should delay until CIR of T_1 .

T_1	T_2
$w_1(A)$	
CIR.	$R_2(A)$

Q. find which of the schedules is cascadeless RB
 Recoverable! -

T_1	T_2
$P(A)$	
$R(A)$	
$w(A)$	
C_1	
	C_2

Cas. RB Recove.
 \rightarrow No uncomm. read.

T_1	T_2
$w_1(A)$	
	$w_{2(A)}$
	$w_2(B)$
$w_1(B)$	
	C_2

Cas. RB Recove.
 \rightarrow No uncommitted read.

T_1	T_2
$w_1(A)$	
	$w_2(A)$
	$R(A)$
	C_2

This is not a
 uncommitted read
 also Cas. RB
 Recoverable

→ Cascadedens RB Rec. schedule -

Free from! → irrecoverable problem

→ cascading rollback problem

→ write read problem

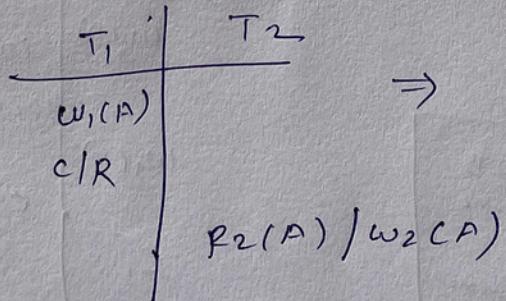
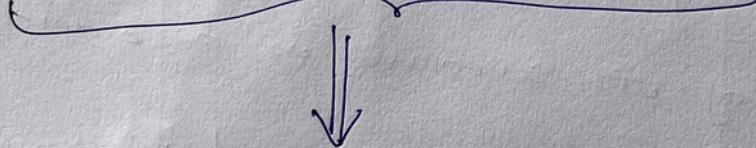
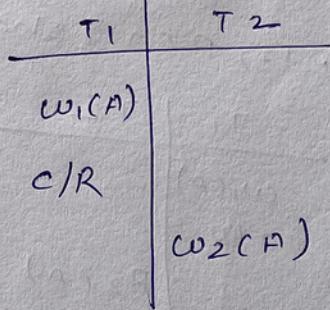
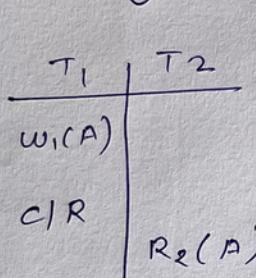
Not free from! — Lost update problem is possible

→ RW problem

→ WW problem

(3) Strict Recoverable scheduler -

{ Cascadedens } + { No lost update problem }

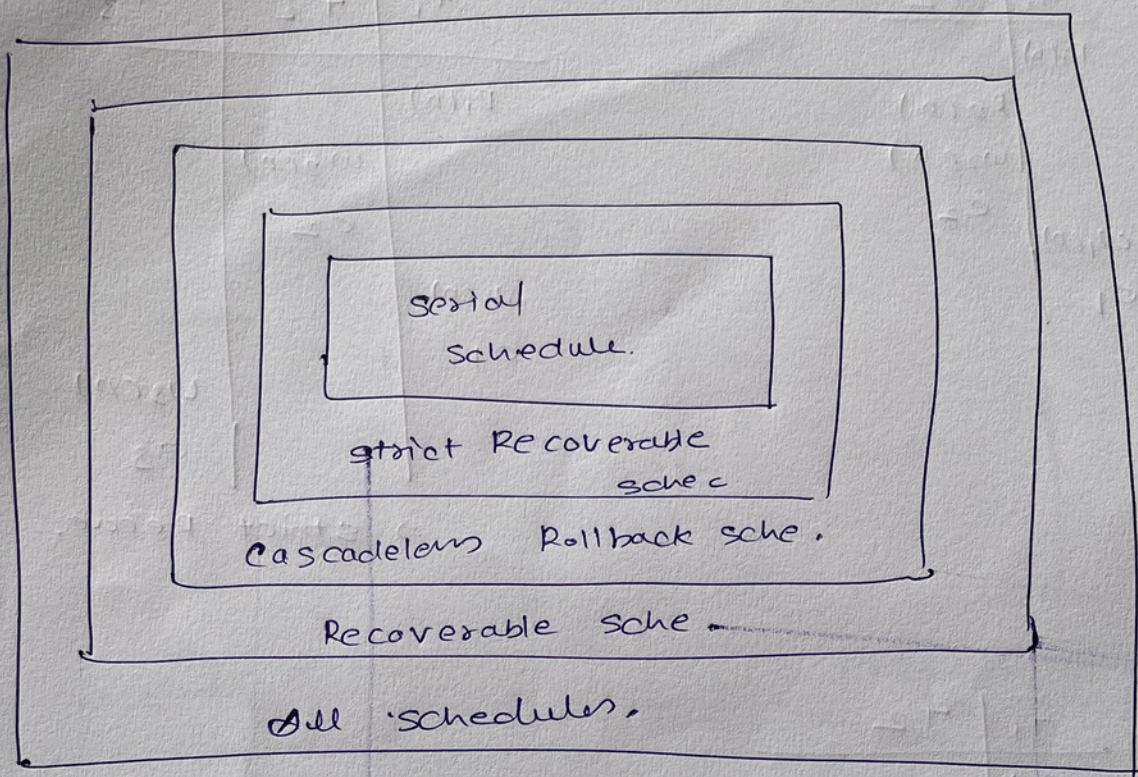


{ strict recoverable schedule }



⇒ If transaction T_1 writes "A" They
 $R(A) / w(A)$ by Transaction T_2
 Should delay until C/R of T_1 .

(5)



Q. Which of the schedules are strict recoverable?

	T_1	T_2
$R_1(A)$		
$R_2(A)$		
$w_2(A)$		
c_2		
$w_1(A)$		
c_1		

e) $T_1 \quad T_2 \quad T_3$

	T_1	T_2	T_3
$R_1(A)$			
		$w_2(A)$	
		c_2	
	$w_1(A)$		
	c_1		
			$w_3(A)$
			c_3

\Rightarrow Strict Recov.

	T_1	T_2
$w_1(A)$		
	$w_2(A)$	
	$R_2(A)$	\Rightarrow Not strict Recov.
	c_2	
c_1		

\rightarrow Strict Recoverable Schedule —

Free from:- \Rightarrow Irrecoverable

\rightarrow cascading RB

\rightarrow WR problem

\rightarrow Lost update

\rightarrow WW problem

Not free from \Rightarrow RW problem.