

Database

Database

(1)

- ① Database
- ② Database Management System
- ③ Flat File System
- ④ Relational File System (R. T Codd)

i) Data must store in tabular Form.

- a) Attributes (col. Name)
- b) Records or Tuple (Row of table)
- c) Anitj (No. of column)
- d) Cardinality (No. of rows)
- e) Domain (set of possible values)
(that a attribute can accept)

f) Relational Schema (Table Structure) (Details of table)

g) Relational Instance (Data of table)
(Set of records)

ii) No two records of table should be same. Two records must have at least one different value.

- a) Candidate Key : Minimal, attribute, which can differentiate record uniquely.
[Minimal super key]
- b) * 'X' is candidate key of R if and only if :
 - * No proper subset of 'X' can differentiate record unique.
 - * No two records whose 'X' values are same.

b) Primary key : Any CDK, whose field Must Not "NULL" and Must Distinct.

c) Alternate key : In it field value can be "NULL".

d) Simple Candidate Key

e) Compound candidate key

f) Prime Attribute or Key Attribute

g) Super key (may or may not be minimal) (set of attribute)

h) Foreign key or Referential key

i) Foreign Key Integrity Constraint

- Deletion (Referenced relation)
- Updation (Referenced & Referring relation)
- Insertion (Referring Relation)

⑤ Normalization of DB table. → remove
 update anomaly
 deletion anomaly
 insertion anomaly

⑥ Functional Dependency

(i) Trivial FD

(ii) Non Trivial FD

(iii) Semi - Non Trivial FD

⑥ Armstrong Rule →

a) Reflexive ($X \rightarrow X$)

b) Transitivity ($X \rightarrow Y$
 $Y \rightarrow Z$

c) Augmentation ($X \rightarrow Z$)
 $X \rightarrow Y$

the $YX \rightarrow YZ$

d) Split and Merge Rule.

⑦ Attribute Closure: (main idea is to obtain minimal SK).

⑧ Membership Test of FD's (one FD derive from other)

⑨ Equality of FD set ($F = G$) $\leftrightarrow (F \supseteq G \wedge G \supseteq F)$

$F \subseteq G$	$G \subseteq F$	
Yes	Yes	$F = G$
No	Yes	$F \supsetneq G$
Yes	No	$F \subsetneq G$
No	No	Incomparable

⑩ Canonical cover or Minimal cover

i) Minimal cover is logically equivalent to FD set.

ii) Minimal cover may not be unique. (More than one MC possible because of Extraneous)

iii) Rules to find Minimal cover:

- a) Split the FD's
- b) Find & delete Redundant FD
- c) Find & delete Redundant FD on LHS. (Extraneous Attr.)

ex: $AB \rightarrow C$
if A^+ contain B, then
delete B.
if B^+ contain A, then
delete A.

⑪ Decomposition

i) Lossless Decomposition

$$R_1 \bowtie R_2 \bowtie R_3 \bowtie \dots \bowtie R_n \supseteq R$$

② Lossless

$$R_1 \bowtie R_2 \bowtie \dots \bowtie R_n = R$$

③ Lossy

$$R_1 \bowtie R_2 \bowtie \dots \bowtie R_n \supsetneq R$$

(Due to superfluous record)

④ Rule: ① $R_1 \cup R_2 = R$

$$R_1 \cap R_2 = R_1$$

$$R_1 \cap R_2 = R_2$$

④ Rule: $R_i \cap R_j = R_i \text{ or } R_j$
If all i & j merge to 1 then it is lossless join

Set of attributes → common attribute must be candidate key of either R_1 or R_2

$$R_1 \cap R_2$$

$$R_1$$

(3)

Dependency preserving Decomposition

(a) $F_1 \cup F_2 \cup F_3 \dots \cup F_n \subseteq F$

(b) $F_1 \cup F_2 \cup \dots \cup F_n = F$

Then it is dependency preserving decomposition.

(c) $F_1 \cup F_2 \cup \dots \cup F_n \subset F$

Then it is non dependency preserving.

Note: R_1, R_2, \dots, R_N is for attributes

F_1, F_2, \dots, F_N is for Functional Dependency.

(i) Loss less is for values of database.

(ii) Loss less is for functional dependency.

(iii) Redundancy is for functional dependency.

(12) Normal Form: It is rule to identify degree of redundancy

(13) Types of Normal Form

(i) ~~First Normal Form~~: Each row must be atomic.

(b) NO MVD.

(c) Redundancy Present If

$$X \rightarrow Y$$

* $X \rightarrow Y$ is Non trivial FD and X is not Superkey

(ii) Second Normal Form:

(a) Any Prime key cannot determine any non prime key.
Means NO Partial Dependency.

(Prime Sub set of)
(Candidate key) \rightarrow (Non Prime Attribute) \nrightarrow Partial Dependency.

(iii) Third Normal Form: $X \rightarrow Y$ Non trivial FD then.

(a) X must be a Superkey

or

(b) Y must be a prime key.

(iv) BCNF (Boyce Codd NF): $X \rightarrow Y$ non trivial FD then
 X must be a Superkey.

Note: To check 2NF, First Find all CK, then Check
Subset classes, if it derive non prime then it is
Partial depend.

For 2NF, First check given dependency is in 2NF or
not if given, satisfy the find subset classes to
further verify.

⑭

(13) Decomposition of relation in Higher NF

(a) maintaining closure in other table.

(b) Dependency Not always preserved in case of BCNF.

$$R = \{A, B, C\}$$

$$FD = \{AB \rightarrow C, C \rightarrow A\}$$

$$CR = \{AB, BC\}$$

It is in 3NF but not
in BCNF

Decomposition	
$R(BC)$	$R(CA)$
$BC \rightarrow BC$	$C \rightarrow A$

① Loss less ✓

② Dependency NOT
preserving.

* Inner JOIN (only matching)
outcomes

* Natural JOIN
No common attribute : $n \times m$

R(ABC)		R(CA)	
$BC \rightarrow BC$			
$C \rightarrow A$			
$AB \rightarrow C$			
Not in BCNF			

(14) Procedural Queries (Relational Algebra)

(15) Non Procedural Queries (Relational Calculus)

(16) Relational Algebraic Operations

- ① Projection (π)
- ② Selection (σ)
- ③ Cross Product (\times)
- ④ Rename (ρ)
- ⑤ Union (\cup)
- ⑥ Set Difference (-)
- ⑦ Intersection (\cap) = $\{\cdot \mid \cdot \in \cdot_1 \text{ and } \cdot \notin \cdot_2\}$
- ⑧ Join (\bowtie) = $\{\cdot \mid \cdot \in \cdot_1 \text{ and } \cdot \in \cdot_2 \text{ and } \sigma_{\text{join condition}}(\cdot)\}$
- ⑨ Division (\div) : $\{\cdot \mid \cdot \in \cdot_1 \text{ and } \cdot \in \cdot_2 \text{ and } \cdot \text{ satisfies }\cdot_1 \text{ when }\cdot_2 \text{ is fixed}\}$

⑩ Natural JOIN (\bowtie) : $\textcircled{a} R \bowtie S \Rightarrow \pi_{\text{common attr}}(R \times S)$ (Default common attribute)

⑪ If its result is same as cross product or Cartesian product if condition is not mentioned.

⑫ $R_1 \rightarrow n, R_2 \rightarrow m$ tables:

$$R_1 \times R_2 \rightarrow n \times m$$

$$R_1 \bowtie R_2 \rightarrow \min: 0, \max: n \times m$$

⑬ Conditional JOIN (\bowtie_c) : $\textcircled{a} R \bowtie_c S \Rightarrow \sigma_C(R \times S)$ $\textcircled{b} R \bowtie_c S \Rightarrow \min: 0, \max: n \times m$

⑭ Left outer JOIN (\bowtie_L) : $\textcircled{a} R \bowtie_L S \Rightarrow (R \bowtie S) \cup (\text{Fail records of } R \text{ with NULL})$

$$\textcircled{b} R \bowtie_L S \Rightarrow \min: n, \max: n \times m$$

⑮ Right outer JOIN (\bowtie_R) : $\textcircled{a} R \bowtie_R S \Rightarrow (R \bowtie S) \cup (\text{Fail records of } S \text{ with NULL})$

$$\textcircled{b} R \bowtie_R S \Rightarrow \min: m, \max: m \times n$$

⑯ Full outer JOIN (\bowtie_F) : $\textcircled{a} (R \bowtie S) \cup [\text{Fail records of Both } R \& S \text{ with NULL}]$

$$\textcircled{b} (R \bowtie_c S) \cup [\text{Fail records of Both } R \& S]$$

$$\textcircled{c} R \bowtie_F S \Rightarrow \min: \max(n, m), \max: n \times m$$

Min: 0
Max: $\lfloor \frac{n}{m} \rfloor$

Division Operation (\div)

$$\textcircled{a} \quad R_{A,B} / S_B \Rightarrow R_A \quad (\text{every cases})$$

$$\textcircled{b} \quad \pi_R(R) = \pi_{\overbrace{\pi_{AB}(R_A \times S_B)}^{(1)} - \pi_{A,B}(R_B)}^{(2)} \rightarrow$$

(3)

\textcircled{c}

$$\pi_R(R) = \pi_A$$

$$[\text{Make each and every } A \text{ is associated with } B.] - [\text{Original } A \text{ which is associated with } B. \cdot \pi_{AB}(R)]$$

Those A which are not associated with B .

Those A which are associated with each and every B

(23) Union, intersection, Difference

Union (comparable)

\textcircled{a} Anity of both selection must be same.

\textcircled{b} Domain of each attribute of R must be same as domain of each attribute of S .

Rules of Union (U) \equiv Rules for Intersection (\cap) \equiv Rules of Set Diff (-)

$$\textcircled{c} \quad R \cup S = \{ X \mid X \in R \vee X \in S \} \quad (\text{OR})$$

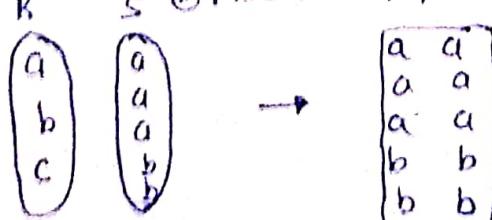
$$\text{Explain: } R-S = \{ X \mid X \in R \wedge X \notin S \} \quad (\text{But NOT})$$

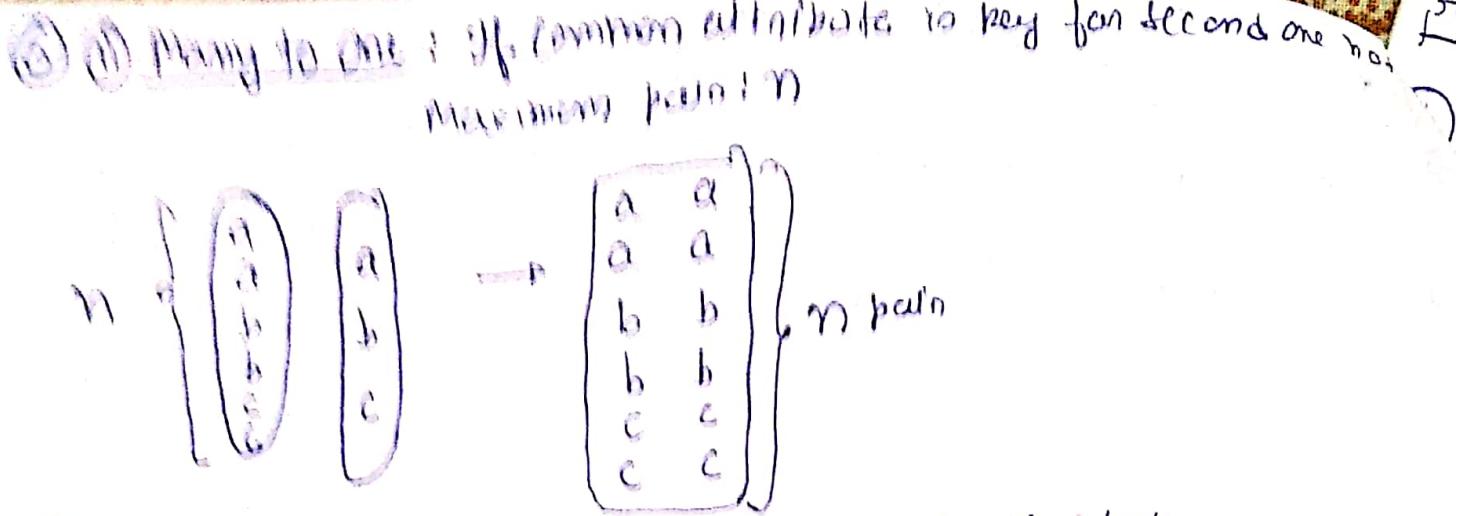
$$R \cap S = \{ X \mid X \in R \wedge X \in S \} \quad (\text{AND})$$

$$R \setminus S = R - (R-S) \quad \begin{array}{l} \text{on} \\ \textcircled{a} \quad T_1 \cap T_2 \equiv T_1 \Delta T_2 \\ \textcircled{b} \quad T_1 \cup T_2 \equiv T_1 \Delta T_2 \end{array} \quad \begin{array}{l} \text{Difference} \\ \text{between} \\ \text{condition for} \\ \text{Union & Intersect} \end{array}$$

(24) Types of Mapping $R \rightarrow n, S \rightarrow m$

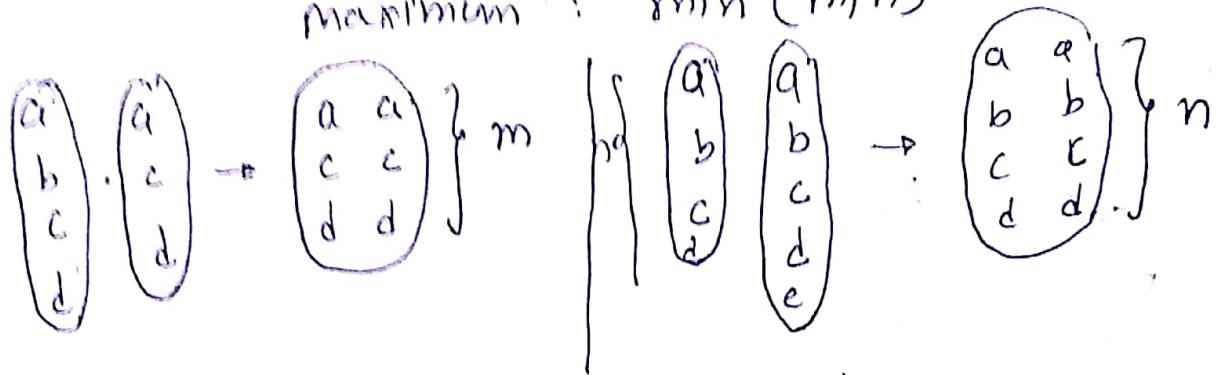
\textcircled{a} One to Many: If common attribute is key, for 1st Relation not for 2nd.
Maximum possible pair: m



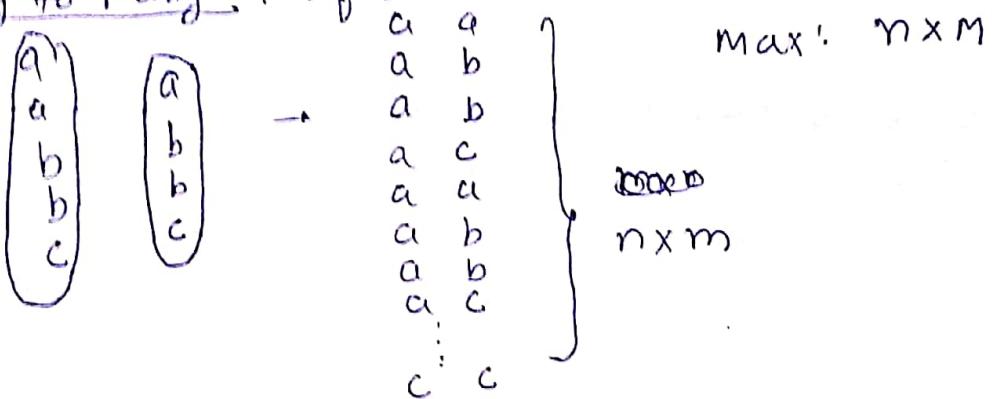


(iii) One to One : If common attribute key for both.

maximum : min (m, n)



(iv) Many to Many : If common attribute is key for no one.



(25) Structured Query Language (SQL) : It is a programming lang to deal with data.

(i) Types of SQL lang

(i) Data Definition Lang (DDL) : It is used to define or modify schema database table.

- (a) CREATE (b) ALTER (c) DROP (d) TRUNC

(ii) Data Manipulation Lang (DML) : It is use to modify, update and access data records.

- (a) INSERT (b) SELECT (c) UPDATE (d) DELETE

(iii) Data Control Lang (DCL) : It is use to control user access to data.

- (a) GRANT (b) REVOKE

(iv) Transaction Control Lang (TCL) : It is use to manage changes affecting data.

- (a) COMMIT (b) ROLLBACK (c) SQL Data type

Types of Clause:

SELECT DISTINCT A₁, A₂, A₃, ..., A_N

FROM T₁, T₂, T₃, T₄, ..., T_N } Cartesian Product

WHERE (CONDITION)

GROUP BY (Attribute)

HAVING (CONDITION) // If it is only used with GROUP BY

ORDER BY (Attribute) [ASC/DESC];

Precendence:

FROM → WHERE → GROUP BY → HAVING → SELECT → DISTINCT → ORDER BY

(18) Aggregate Function: It calculate aggregation of non null value.

(i) COUNT (ii) SUM() (iii) AVG() (iv) MIN() (v) MAX()

+ excluding null not included in average total also

Exception: COUNT(*), count NULL because of ignoring (Row id)

GROUP BY CLAUSE

(1) Every attribute of group by clause must be in select clause.

(2) Not allow any other attribute in select clause other than group by.

(3) Aggregate function in select and compute for each group

(4) GROUP BY (A₁, A₂, ..., A_N)

(5) It also work on NULL.

~~Aggregate function~~

(6) Aggregate function not works in where clause.

(20) EXCEPT or MINUS: It is equivalent to Relation Algebra Minus.

e.g. Select eid from EMP,

~~EXCEPT~~

Select eid from EMP T₁, EMP T₂
where T₁.sal < T₂.sal

} Minimum
saling of all

(21) Sub Query in From clause treated as table, sub query in where clause treated as scalar value.

⑧ With Clause: It is used ~~to~~ to secure result of
Many times.

Ex: Employee having second highest salary.

$$\text{Temp} = \text{Teid}_{\text{Sal}} (\text{EMP} \bowtie S(T, \text{EMP})) \quad // \text{not include } 1^{\text{st}} \text{ Max}$$

$$\qquad \qquad \qquad \text{EMP.Sal} < T.\text{Sal}$$

$$= \text{Teid} (\text{Teid}(\overset{\text{Temp}}{\text{Temp}}) - \text{Teid} (\text{Temp} \bowtie S(T, \text{TEMP}) \quad // \text{Temp.Sal} < T.\text{Sal}))$$

NOW,
with Temp(eid, sal) as
(Select distinct eid from EMP T₁, EMP T₂
Where T₁.sal < T₂.sal)
Select eid From Temp
EXCEPT
Select eid from Temp T₁, Temp T₂ where

③ Expressive power of Safe TRC \equiv Expressive power of Relational Algebra.

③ Functions for nested query: ① IN / NOT IN ② ANY ③ ALL
④ EXISTS ⑤ UNIQUER / NOTUNIQUER

③ File Organization

Database $\xrightarrow[\text{of}]{\text{Collection}}$ Files $\xrightarrow[\text{of}]{\text{Collection}}$ Blocks $\xrightarrow[\text{of}]{\text{Collection}}$ Records

(34) File Organization : (a) Spanned Org. (b) Unspanned
 (suffer from external frag. only) (suffer from both internal & External frag.)
 * Prefer for variable rec. * Prefer for fixed length rec.

(35) Block Factor : (Maximum record accommodated in one Block)

⑥ Block size: B bytes, Record size: R bytes, Header size: H

$$\text{Block Factor} = \left\lfloor \frac{B - H}{R} \right\rfloor$$

(unspanned) Record Header

⑥ I/O Cost or Access Cost : No. of secondary memory block required to transfer from ~~one~~ disk to main memory, in order to access some record.

⑦ Based on control field: [cont. N]

⑥ Based on ordered field: $\lceil \log_2 N \rceil$ from ~~main~~ disk same record.

⑥ Based on ordered field : N

Indexing: It is used to reduce access cost.

g

(b) Index records are always in order.

(C) Structure of index file: [Search Key] | Record Pointer

⑥ Block factor of index file :

Block Size : B

Size of search: K

pointer size : P

Header Size : H

$$\text{Maximum index per block} = \left\lfloor \frac{B - H}{K + P} \right\rfloor \text{ entries/block}$$

① In multi-level indexing, we go upto level only one block is left.

(3) Categories theirs at Index

① Dense Index (Use by both Onderd & Unonder Record)

(ii) Sparse Index (Only use by ordered @ records)

* For dense ~~index~~ index , no. of records in dataset = no. of index record.

(34) Notes on Index :

Number of records in database : N

Record size of database : R bytes

Block size = B bytes

Search key size = K bytes

~~Address~~ Record Pointer Size = P bytes

$$\text{Block factor of DB} = \left\lfloor \frac{B}{R} \right\rfloor \text{sec/block}$$

$$\text{Total no. of block in DB} = \left\lceil \frac{N}{BF_{DB}} \right\rceil \text{ blocks}$$

④ Using index :

① Dense Index

Block Factor of Index : $\left[\frac{B}{K+P} \right]_{\text{Rec}}$ index/Block

$$\text{Total No. of Block : } \left\lceil \frac{N}{BF_{\text{index}}} \right\rceil \text{ Blocks (Dense)}$$

⑪ Sparse Index

Block Factor of Index: $\left[\frac{B}{K+P} \right]_{\text{Rec}}^{\text{Index}} / \text{Block}$

Total No. of Block : $\lceil \text{Total No. of Block in } D_B \rceil$

(10) (40) Types of index: (1) Primary Index (2) Cluster Index (3) Secondary

(1) Primary Index: If database is ordered based on a key and same key is used for index then it is known as Primary Index. (Key is unique not repeated)

* Because of this we use Sparse index.

* Only one Primary index is possible in DB.

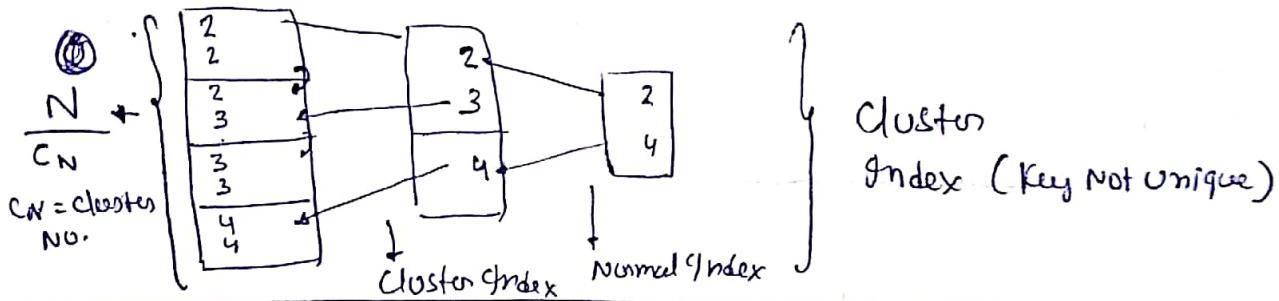
(2) Secondary Cluster Index: If database is ordered based on key but that key is not unique and have repeated data. That same key we use for index it form cluster index.

* Only one cluster index is possible. * It is also sparse index

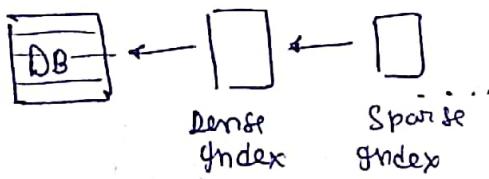
* In DB either cluster or primary index is possible not both.

$$I/O \text{ cost for Multilevel PI} = (K+1) \text{ Block}$$

$$I/O \text{ cost for Multilevel SI} = (k + \text{no. of more clusters}) \text{ Block}$$

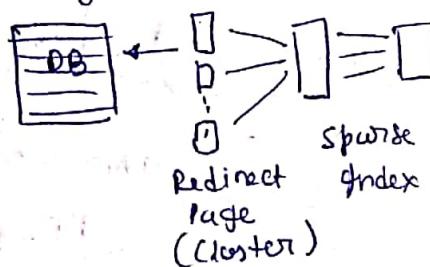


(3) Secondary Index: If database is unsorted, but the key chosen for indexing is unique. This type of index is secondary index with key.



$$I/O \text{ cost: } \frac{K+1}{\text{level}}$$

(4) Secondary Index without key: If database is unsorted, but the key chosen for indexing is not unique. This type of indexing is secondary index without key.



$$I/O \text{ cost: } K + \frac{\text{No. of links in one redirect page}}{\text{one redirect page}}$$

① Static Multilevel Index: Index not allow to modify if database records are inserted or deleted.

(b) Overflow Page: It increases the access cost.

② Dynamic Multilevel Index: Index can modify if there is insertion or deletion in data base.

(b) Rules: Index block must be 50% utilise, otherwise merge it to other index block if less than 50%.

③ Balanced Search Tree (Rules makes tree balance) (Dynamic Index)

- (i) AVL
- (ii) B-Tree
- (iii) B⁺-Tree

Search time: $O(\log n)$ Search (Block Factor)

Note: Degree for B & B⁺ tree is no. of keys present in one block.

④ Why B or B⁺ Tree Prefer over AVL?

a) DB file store in Disk i.e. in secondary memory, so Index to DB file store also in SM.

b) In case of AVL, one node store one key so to store, high number of index, high no. of nodes require, so it increases the level of index and I/O cost.

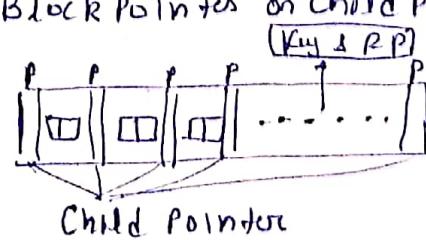
c) In case of B & B⁺ tree, one node store many keys so more index data store in one node of B & B⁺ tree. So less no. of level & I/O cost.

⑤ B Tree:

(i) Order P: It is maximum possible Block Pointers on Child pointers, in one Block.

Block Pointers: P

No. of keys and Record Ptn: $(P-1)$



(ii) Rules for Balancing:

a) All leaf node must at same level.

b) Root Node → Minimum → 1 Block
→ Maximum → 2 Block Pointers & 1 Key

c) Internal Node → Minimum → P Block Pointers & $(P-1)$ keys
→ Maximum → P Block



P Block Pointers & $(P-1)$ keys

Block Size : B bytes

Block Points : BP bytes

Key Size : K bytes

Record Points : R bytes

$$BP * P + (P-1)(K+R) \leq B$$

Order : P (Maximum Child Points)

Bal. & Q.

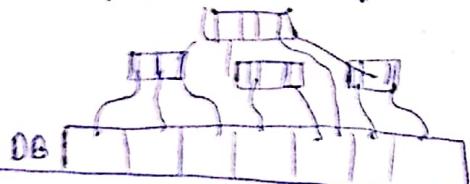
(4b) I/O Cost for B Tree

Normal Query : $(K+1)$ Blocks, where K is level of B Tree

Range Query : $(X \cdot (K+1))$, where X is range, K is level of B Tree

Note : Unordered data : Dense B Tree Index

Ordered data : Sparse B Tree Index

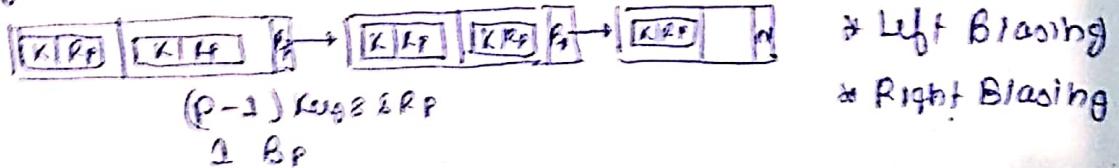


(4c) B+ Tree :

i) Order P : Maximum possible pointers in One Block.

(ii) Types of Node:

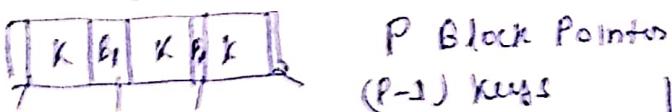
iii) Leaf Node : All keys along with Record pointers resides here. and only one Block pointer present which points to next block



* Left Blasting

* Right Blasting

iv) Internal Node : It only stores keys and Block Pointers as child pointers



v) Balancing Condition is same as B Tree.

(vi) Order for Leaf

$$B_p + (P-1)(K+R) \leq B$$

Order for Internal Node

$$P * B_p + (P-1) K \leq B$$

I/O Cost

N : $K+1$

RBs : $K+X$

(4d) B+ Tree Prefer over B Tree

i) I/O cost of B+ Tree is less than B Tree in both Normal & Range Query.

ii) If Block size allocated for B Tree & B+ Tree is same:

a) Order P of B Tree \leq Order P of B+ Tree.

b) No. of Nodes in B Tree \geq No. of Nodes in B+ Tree

c) No. of levels in B Tree \geq No. of levels in B+ Tree

(4e) Structure of table for finding max/min values in key

Level	Max/Min Keys	Max/Min Block Pointers	Max/Min Keys

For B+ Tree = Last Level Key only

For B Tree = Summation of all level keys

Root	Other

Bulk Loading: (B^+ Tree)

- Bulk Loading: (B⁺ Tree) (13)

 - (a) To bottom top approach of designing B⁺ Tree.
 - (b) It is used when we have to design tree with given keys only. We have to find min & max level along with node.
 - (c) If to find Minimum Level with Order P & K Keys

c) If to find Minimum level with Order P & K keys

$$\text{Leaf} : \text{Minimum Node} : \left\lceil \frac{f+K}{(P-1)} \right\rceil \text{ node}$$

Internal Level : $\lceil \frac{\text{Leaf}}{P} \rceil$ node

$$\text{Internal Level} := \left\lceil \frac{\text{Internal Level}}{P} \right\rceil \text{ node}$$

2nd Level :

1st Level : 1 node

(d) To find Maximum Level with Order P

$$\textcircled{b} \text{ Minimum F.I.U Factor} = \left[\frac{P}{2} \right] \text{ point for}$$

$$\text{Leaf : } \left\lfloor \frac{\# K}{(\lceil p_{12} \rceil - j)} \right\rfloor$$

$$\text{Internal Nod} : \left[\frac{\text{Bar Leaf}}{P_2} \right]$$

(51) Join Algorithms

(i) Nested Loop Join

X Block's have \rightarrow record

4 Blocks have \rightarrow m record

I/O cost+ : $x + ny$
 $y + mx$

(ii) Block Nested Loop Join

$$\text{S/Cost} = \frac{X + X * Y}{Y + X * Y}$$

(14) (52) Transaction: (Collection of operation forms single logical un.

(53) ACID Property: (A: Atomicity), (C: consistency), (T: Isolation), (D: Durability)

(54) Problem with Concurrent Transaction:

(i) Lost Update Problem (W-W conflict) {write just after write?}

(ii) Dirty Read Problem (W-R conflict) {read data from uncommitted write?}

(iii) Unrepeatable Read Problem (R-W conflict) {Data not same before write & after write on Non-repeatable " "}

<u>R(A)</u>		<u>w(A)</u>		<u>R'(A)</u>		<u>R''(A)</u>
data: a				data: b		data: c

(iv) Phantom tuple {Value of a row is not same at time of read & after sometime} (R-W) (if we read again)

(v) Incorrect Summarizing Problem

(vi) Schedule: Order in which operations of transaction are executed.

(vii) Total schedule possible (For two transaction as example):

$$T_1 : n \text{ operation} \quad T_{\text{Total}} = \frac{(n+m)!}{n! m!}$$
$$T_2 : m \text{ operation}$$

(viii) Total serial schedule for n transaction = $N!$

(ix) Total non-serial schedule = $\frac{(n+m)!}{n! m!} - N!$

(55) Types of Schedule:

(a) Serial Schedule: (no interleaving of operation)

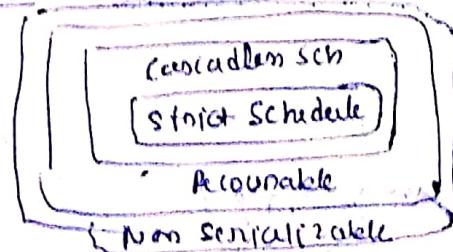
(b) Complete Schedule: (either commit or abort in last)

(c) Recoverable Schedule: (no commit before T_i commit from which T_j reads value. Uncommitted read problem)

(56) Cascading Aborts on Roll back: (Don't read from uncommitted write)

(57) Cascading Schedule: (Read from committed write)

(58) Strict Schedule: (No read or write on data that is written by uncommitted transaction)



(59) Serializable Schedule: (If outcome is equal to its serial schedule)

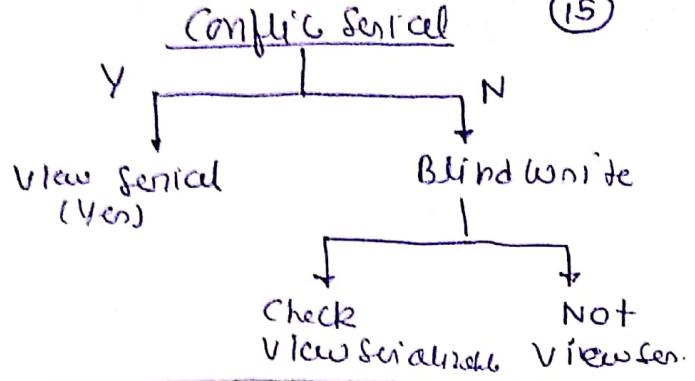
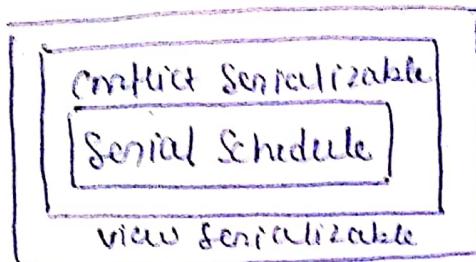
(i) Result equivalent Schedule: (Final results are same)

(ii) Conflict equivalent Schedule or Conflict Serializable (At least one write operation)
(Conflict Operation)

(iii) View equivalent or View Serializable.

No. of conflict schedule = No. of topological orders

(Order)
(Same)



(61) Conditions for View Serializable :

- (i) Final Update
- (ii) Initial Read, Final Update
- (iii) Read just after write sequence

(62) LOCK : (A variable associated with data item to show its status).
 (b) It is used for synchronization.

(63) Problems with Locks : (a) Deadlock (b) Starvation

(64) Purpose of Locks : (a) To resolve conflicts.
 (b) To preserve DB consistency.
 (c) To enforce isolation among conflicting trans.

(65) Types of Lock : (a) Binary Lock (b) Shared Lock (Read lock) (c) Exclusive lock (Write lock)

(66) 2 Phase Locking : (a) Growing on Expanding Phase
 (b) Shrinking Phase

- | | |
|---|---|
| Properties of
Serializable
Schedule | <ul style="list-style-type: none"> (c) <u>Basic 2PL</u> : Problem: Deadlock, not recoverable free (d) <u>Conservative 2PL</u> : Problem: Deadlock, not recoverable free (acquire all locks before commit or Abort. step) (e) <u>Strict 2PL</u> : Exclusive locks held until (commit or Abort. step)
Problem: Deadlock, but recoverable. (f) <u>Rigorous 2PL</u> : All locks release after commit or Abort.
It is Deadlock Free & recoverable. |
|---|---|

(67) Time Stamped Lock (Thomas Write Rule) {Serializability & Deadlock free}

- (i) Read Time Stamp (RTS)
- (ii) Write Time Stamp (WTS)

(c) Rule:

- (i) If transaction wants to read, if WTS of that transaction is greater than current one then roll back. Otherwise set RTS of that transaction as $\text{Max}(\text{RTSA}, \text{CTS})$.

- (ii) If transaction wants to write, if RTS of that transaction is greater than current then roll back if not then check if WTS of that transaction is greater than current then roll back otherwise write allow and $\text{WTS} = \text{Current TS}$.



16 (6) Entity: Real world object.

17 Entity set: A group of similar entity.

(i) Strong entity set: An entity set that has a primary key.

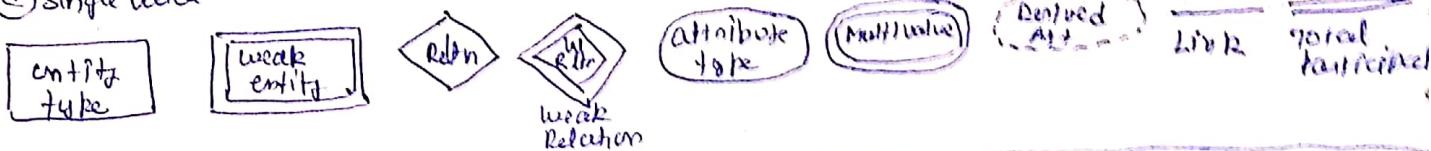
(ii) Weak entity set: An entity set that does not have sufficient attributes for primary key.

(iii) Occurrence entity set: It is used ^{as} identity of weak entity set.

18 Attribute: Properties that describe entity.

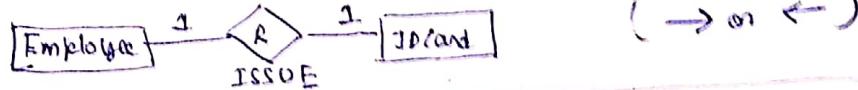
(i) Composite Attribute (ii) Derived Attribute (iii) Descriptive Att (Associate with a relationship)

(iv) Single valued Att (v) Multivalued Att (vi) Prime Att



19 Mapping Cardinalities / Cardinality Ratio / Types of Relationship

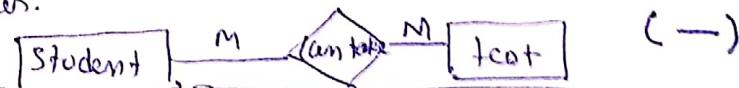
(i) One to One: An entity in A is associated with at most one entity in B & vice versa.



(ii) One to Many: An entity in A is associated with at least one entity in B.



(iii) Many to Many: An entity in set A & B is associated with one or more entities with each other.



20 Candidate key & Relation Merge

(i) One to Many: Candidate key to from M side. and Relation merge at M on Many to one side. (2 tables)

(ii) Many to Many: Candidate key to from both table & it not merge on any side. (3 tables)

(iii) One to One: Candidate key of any side & merge to any side. (2 tables)

(iv) If one of relations is totally participated then merge all three table to one. (1 table)

21 For weak entity set total participation must be there.

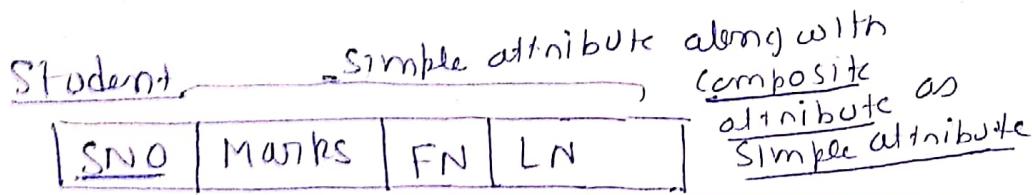
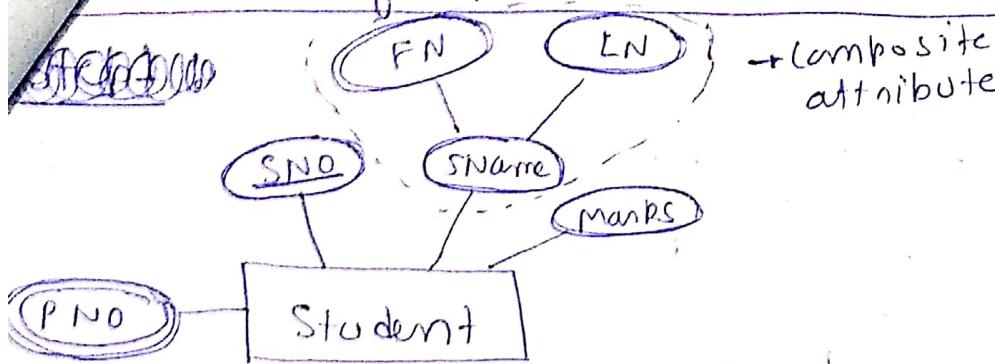
Note:

(i) If Conflict serializable, then 2PL allows, if not then definitely not allowed.

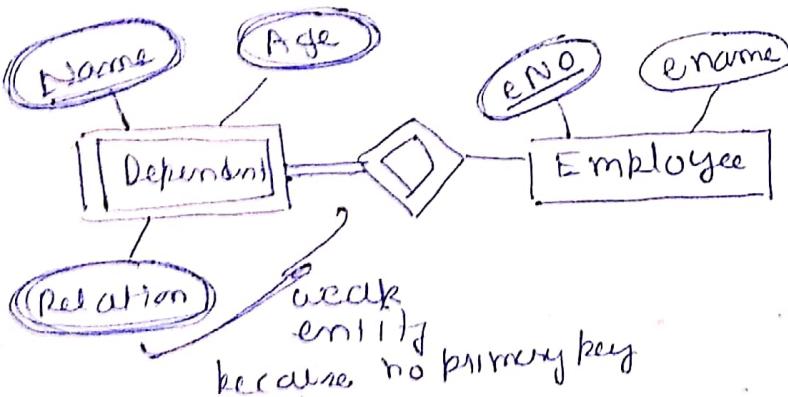
(ii) Multilevel Granularity: It is tree protocol, while locking take from top to bottom while in locking unlock from bottom to top.

Inversion of ER Model to Relational Database

(1)



Step 2 : Convert weak entity to relation



(a) Here there is no primary key. So we use Partial Key, it is the key using which we can identify uniquely for particular entity.

(b) Let name as partial key.

Dependent

Name	Age	Relation

Employee

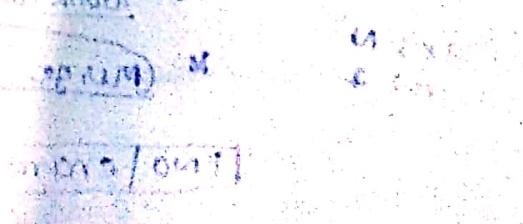
ENo	EName

↓ add all primary key of owner entity into weak.

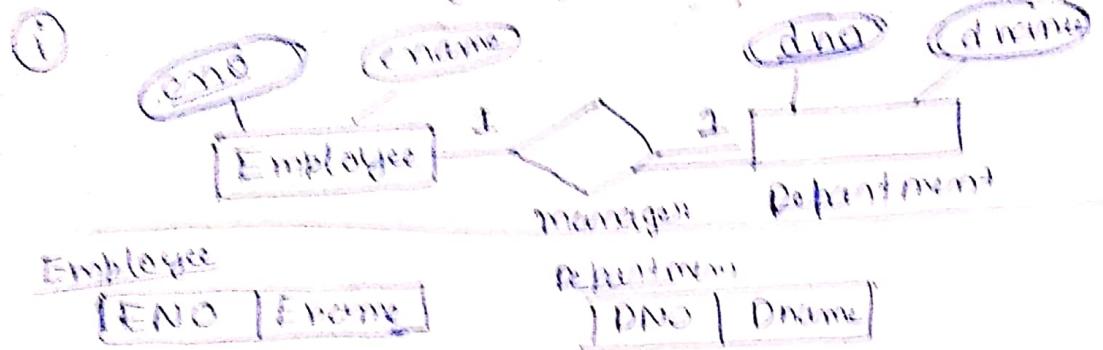
ENo	Name	Age	Relation

ENo	EName

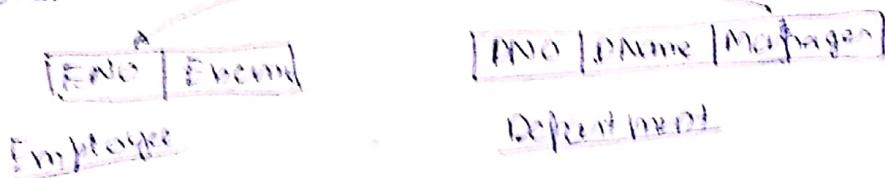
* When we delete owner entity, we suppose to delete all entity of weak entity.



Step 3 : Convert Relationship to Relation (ER)



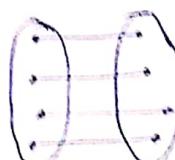
- ④ Include primary key of one entity to total participation side.



- ⑤ We added total participation side because it doesn't have any cardinality input as N:M.



Max : 1 participation
Min : 2 participation

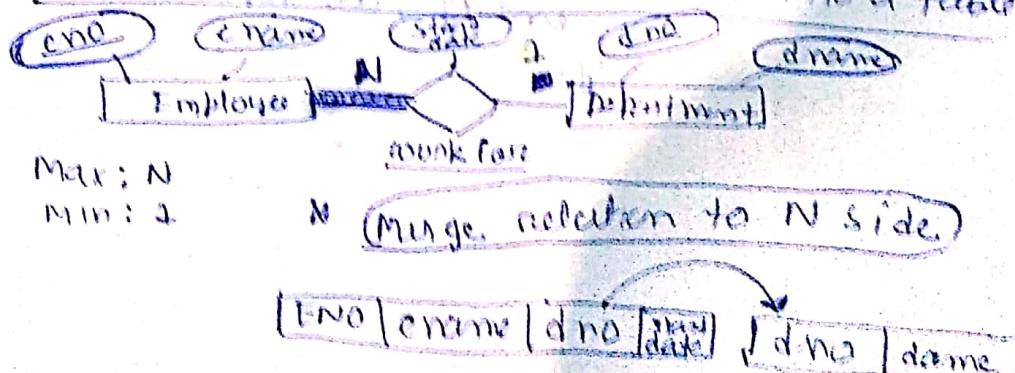


[Pno | Pname], [Lno | Lscore]

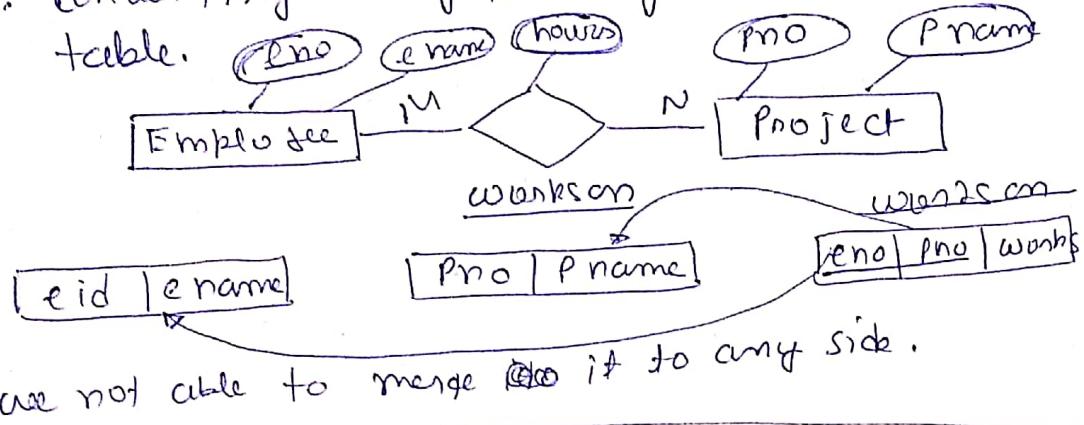
for combine both two

new [Pno | Pname | Lno | Lscore]

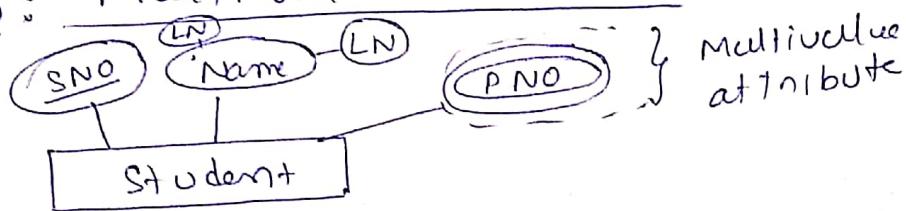
Step 4 : Convert 1 to N relationship into a table.



3: Converting Many to Many relationship into table. (3)



Step 6: Multi value attribute



(a) For Multi value attribute create one new table.

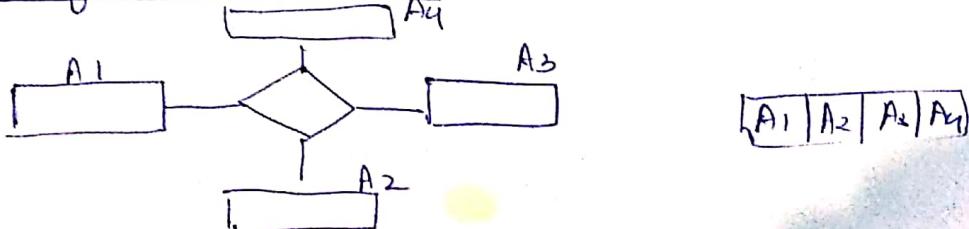
PNO	StudentNo
1	P ₁
2	P ₂
3	P ₃
:	:

PK = {PNO, student No}?

(b) If multi value itself a composite attribute, Then break it into simple.

SNO	Std	PNO

Step 7: N-ary relationship



(a) Create a table represent a relationship.

(b) Add Foreign key to all table.

(c) Combination of all attribute act as primary keys for relation

Summary ER Model

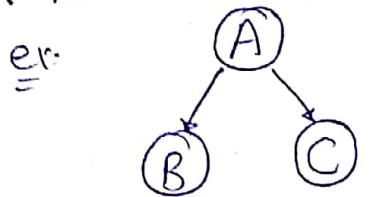
Relational Model

- ① Entity type → "Entity" Relation
- ② 1 : 1 or 1 : N relationship type → "Foreign key"
- ③ M : N relationship type → "selection ship" relation + 2 FK's
(+ new table)
- ④ n - any relationship → relationship "relation" + n FK's type
- ⑤ simple attribute → Attribute
- ⑥ composite attribute → set of simple component attr
- ⑦ multivalue attribute → Relation and FK
- ⑧ value set → Domain
- ⑨ Key attribute → Primary key

Database Transaction

Multi Granularity Locking

- (i) It is tree based protocol
- (ii) It apply locks from top to bottom and apply unlock from bottom to top.



Intensive Shared Lock (A)
 GS (B)
 U (B)
 U (A)

Round Wait and Wait Die Algorithm

- (i) There are pessimistic deadlock avoidance algorithm.

- (ii) There algorithm cause more transaction abort than needed.

Wait Dies: $T \& S$ Shared resource held by T, then S abort
old new Shared resource held by S, then T wait

Conflict equivalent and Conflict Serializable

- (i) Conflict equivalent and View Serializable

View equivalent and View Serializable

Round wait
 * T held resource held by S, then S will abort.

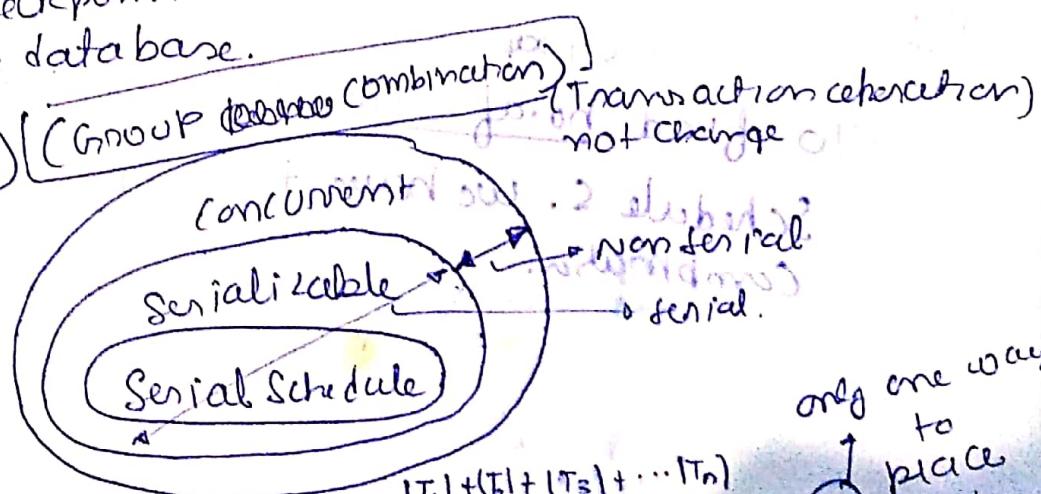
Log Recovery

- (i) All transaction which are committed after checkpoint must Redo and All uncommitted transaction after checkpoint must be Undo.

- (ii) When checkpoint occur all data is permanently save into database.

Schedule (IMP)

$T_1: \pi_1, \pi_2, \dots$
 $T_2: op_1, op_2, \dots$
 $T_3: \dots$
 $T_n: \dots$



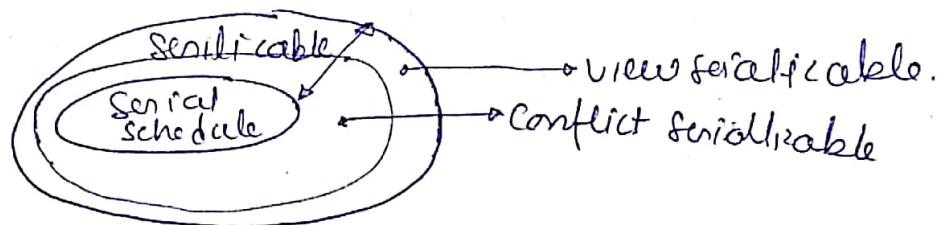
Total concurrent schedule : $n!$
 Total serial schedule : $n!$

$$\text{Non serial} = [\text{Total conc} - \text{Total serial}]$$

only one way
 to
 place
 in order
 as precondition
 (1)
 * $\sum_{i=1}^n i! = 1 + 2 + 3 + \dots + n!$
 different ways we can
 select a schedule
 from T ,

⑥ Schedules are conflict equal if their ~~graph~~ precede graphs are same. vice versa not true.

⑦



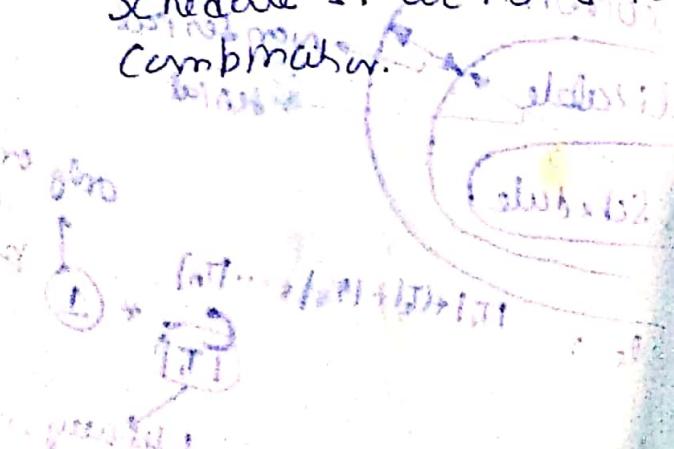
- * If S_1 and S_2 are conflict equal schedules then both are also view equal.
- * If S_1 and S_2 are not conflict equal schedule then both may or may not view equal.
- * If S is conflict serializable schedule then S is also view serializable schedule.
- * If S is not conflict serializable then S may or may not view serializable.

⑧ All conflict serializable schedules are ~~conflict serial~~ ~~view serial~~ serial serializable. If schedule is conflict serializable then 2 phase locking allows.

⑨

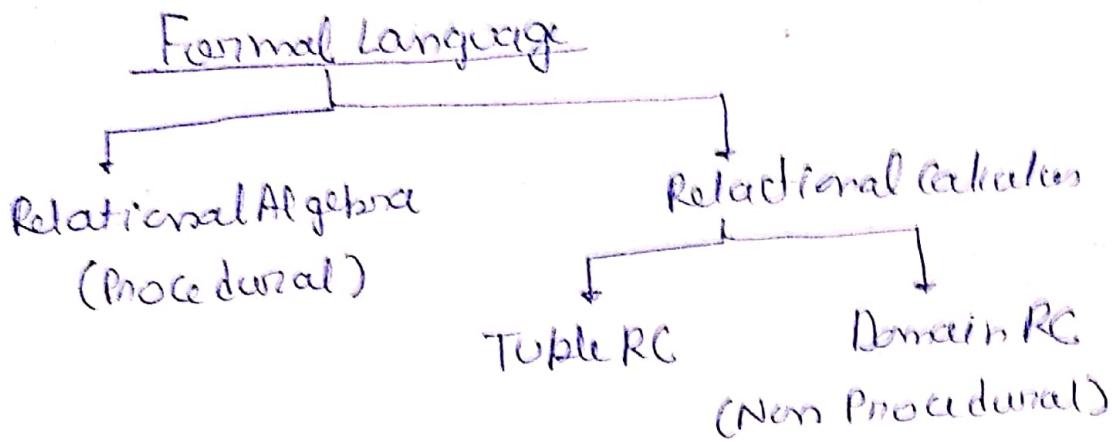
⑩ **FMP** Topological order gives only no. af ~~serial~~ schedule conflict equal to schedule S .

To find no. af schedule conflict equal to given schedule S . we have to use permutations and combinations.

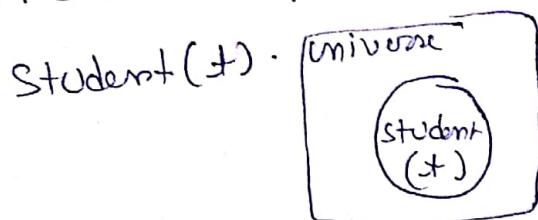


Relational Calculus

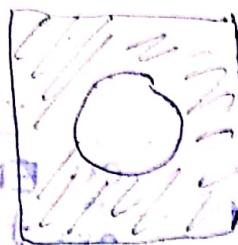
①



- ① All are equivalent in power.
- ② Relationally Complete: If language is able to express every thing that relational algebra express, then it is relationally complete.
- ③ Relational Algebra act as measuring stick because it develop first, so all language measure on the basis of it.
- ④ In TRC, we examine whole table at a time, if we define a variable, then that variable take one complete table as input.
- In DRC, we examine over column mean variable define over columns one complete.
- ⑤ TRC is unsafe because:



; not



complete universe except student, which is infinite.

• up front student is not in the result because it is not in the query

② Syntax in tuple relation Calc (vdu)

Student

FN	LN	Mark

(a) declare a tuple variable.

{ t }

(b) Specify range over that variable

{ t | table_name(t) }

Range is complete table

(c) Specify condition:

{ t | table_name(t) AND t.attribute }

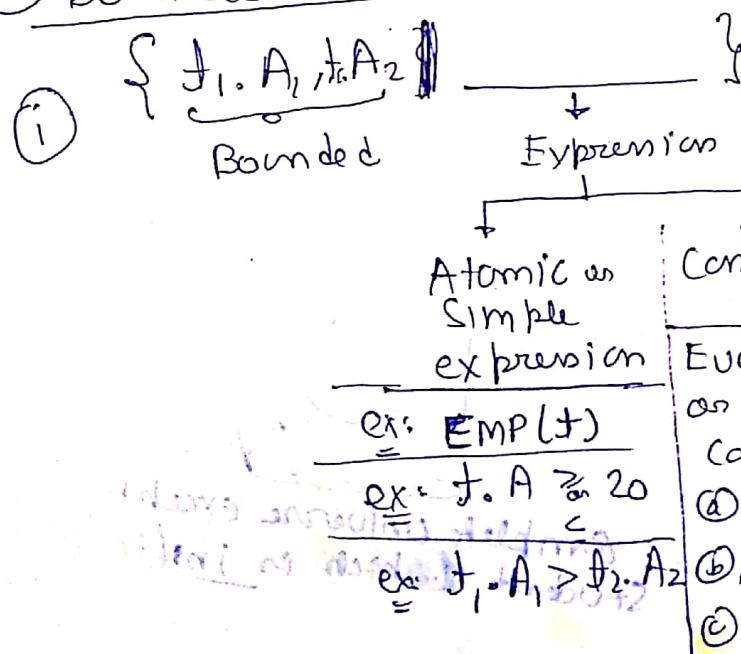
(d) TO get only specific attribute as output

{ t₁.A₁, t₂.A₂, ... | T₁(t₁) AND T₂(t₂) ... AND conditions }

Var
(variable)

Value
or
=

③ Bounded and Free Variable



Every atomic exp. are composite exp.
or combination of atomic exp is also
composite exp.

① A₁, ∨ A₂ ② ∃ t (-)

③ A₁, ∧ A₂ ④ ∀ t (-)

⑤ t ∼ A₂

(i) If variable have any quantifier than that is
bounded variable.

$\exists t (t(A) > 50)$ {Here t range over table}

- (a) It means there exist at least one tuple such that A value for that tuple is greater than 50.

- (b) we get final result after processing all tuple of table and in final we get ~~one tuple satisfies query~~ if such tuple exist and (false) if ~~one tuple satisfies query~~ if such tuple exist and (true) if there is no such tuple. {There exist?}

(iv) $\forall t (t(B) < 10)$ {For all?}

- (a) It means for all tuple the value of attribute B is less than 10.

- (b) After processing each tuple one by one if we get true for all then final result is (TRUE) and if one tuple fails then it stops there and return (False).

(v) $\sim \exists t (t(A) > 50)$ any tuple

- (a) It means there does not exist a tuple whose A value is greater than 50.

- (b) This one too processes each tuple one by one same as \forall (for all case)

Social Security No.

Example Table

Employee (Fname, Minitial, Lname, SSN, Bdate, Address,
sex, salary, super-ssn, Dno,)
↑
Manager-ssn, Mgr_start_date

Department (Dname, Dnumber, Manager-ssn, Mgr_start_date)
↑
no address + name + address from manager

Dept_location (Dnumber, Dlocation)

Project (Pname, Pnumber, Plocation, Dnum)

Worker (ESSN, Pno, Hours)

Dependent (ESSN, Dependent-name, Sex, Bdate, Relationship)

Q List Fname and address of all employee who work for Dno = 10.

Sol: $\{ f.FN, f.Add \mid \text{EMP}(f) \wedge f.dno = 10 \}$

Q List all the dependent names of an employee whose SSN is 10.

Sol: $\{ f.dname \mid \text{Depend}(f) \wedge f.ESSN = 10 \}$

Q List all the dependent names of an employee where first name is "Ram".

Sol: $\{ f.dname \mid \text{depem}(f) \wedge (\exists e)(\text{Emp}(e) \wedge e.SSN = f.ESSN \wedge e.FName = "Ram") \}$

Free variable

(return TRUE as False)

① It keeps one searching employee table till it found one tuple which satisfies this relation, it stop there and return true if found.

② Work as NESTED LOOP JOIN.

Q List the name and address of all employee who work for the "Research Department".

Sol: $\{ f.name, f.add \mid \text{emp}(f) \wedge \exists e(\text{dept}(e) \wedge e.dname = "Research" \wedge f.dno = e.dno) \}$

Free variable

bounded variable

Q For every project located in "Stafford", list the project name, the corresponding department number and the department manager's SSN.

Sol: $\{ f.pname, f.dno, e.mgr-ssn \mid \underbrace{\text{project}(f) \wedge \text{dept}(e)}_{\text{cartesian product}} \wedge \underbrace{(f.location = "Stafford" \wedge f.dno = e.dno)}_{\text{product}} \}$

If there are more than one free variables then we have

to do cartesian product b/w

free base table P.

51 Every project located in "Stafford", list the project number, the controlling department number and the department manager last name, birth date and address.

52. { P.PN, P.DN, E.LN, D.BD, D.ADD } Project(P) \cap Department(D) \cap

$\exists d \text{ (Department}(d) \wedge$

$d.DN = P.DN \wedge$

$d.MGR = E.LN) \wedge$

Q Make a list of project numbers for projects that involve an employee whose last name is "Smith", either work as a worker or as a manager of the controlling department for the project.

53. { P.PN } Project(P) \cap (($\forall e$)($\exists w$)(Employee(e) \wedge WorkFor(w) \wedge w.PN = P.PN \wedge e.LASTN = "Smith" \wedge e.SSN = w.SSN)) \vee (($\exists m$)($\exists d$)(Employee(m) \wedge department(d) \wedge P.DN = d.DN \wedge d.MGR.SSN = m.SSN \wedge m.LASTNAME = "Smith")))

Q. Conversion b/w quantifiers

- ① $\exists A > 0 \rightarrow$ $\exists A > 0$ $\exists A > 0$ $\exists A > 0$ $\exists A > 0$
- ② $(\forall t)(\exists A > 0)$ $\exists A > 0$ $\exists A > 0$ $\exists A > 0$ $\exists A > 0$
- ③ $\exists A \leq 0$ $\exists A \leq 0$ $\exists A \leq 0$ $\exists A \leq 0$ $\exists A \leq 0$
- ④ $\sim(\exists A \leq 0)$ $\forall A (\sim(A \leq 0))$ $\forall A (\sim(A \leq 0))$ $\forall A (\sim(A \leq 0))$ $\forall A (\sim(A \leq 0))$
- ⑤ $\sim(\forall t(\forall A \geq 0))$ $\sim(\forall t(\forall A \geq 0))$
- ⑥ $\sim(\exists t(\forall A \geq 0))$ $\forall A (\exists t(\forall A \geq 0))$
- ⑦ $\forall x(P(x)) \equiv \sim(\exists x(\sim P(x)))$

- ⑧ $\forall A \neq 3$ $\forall A \neq 3$ $\forall A \neq 3$
- ⑨ $\forall x(\forall A \neq 3) \neq 0$ $\forall x(\forall A \neq 3) \neq 0$ $\forall x(\forall A \neq 3) \neq 0$
- ⑩ $\forall x(\forall A \neq 3) \neq 0$ $\forall x(\forall A \neq 3) \neq 0$ $\forall x(\forall A \neq 3) \neq 0$
- ⑪ $\forall A \neq 3$ $\forall A \neq 3$ $\forall A \neq 3$
- ⑫ $\forall x(\forall A \neq 3) \neq 0$ $\forall x(\forall A \neq 3) \neq 0$ $\forall x(\forall A \neq 3) \neq 0$
- ⑬ $\forall x(\forall A \neq 3) \neq 0$ $\forall x(\forall A \neq 3) \neq 0$ $\forall x(\forall A \neq 3) \neq 0$
- ⑭ $\forall x(P(x)) \equiv \sim(\forall x(\sim P(x)))$

(11b)

A	B	
1	1	F
2	2	F
3	3	F
4	4	T
5	5	T
6	6	T

Check: Whenever A is greater than 3 check if B is greater than 3 or not.

(a) $\neg(x.A > 3 \wedge x.B > 3)$

(b) $\forall x (\underline{x.A > 3 \wedge x.B > 3}) = F$

Here A stop checking,
but our focus is on B.

(c) $\sim(\underline{x.A > 3}) \vee (\underline{x.B > 3})$

Here we make A not important
now whole result depends on B.

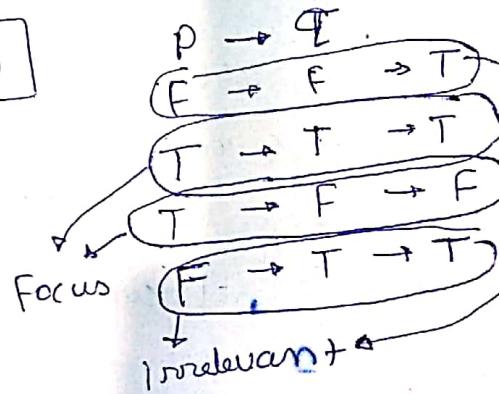
(d) $\forall x (\sim(\underline{x.A > 3}) \vee (\underline{x.B > 3}))$

Check all table if A value is greater than 3 then check B is greater than 3 or not.

(e) Shortcut

$\forall x (\underline{x.A > 3} \rightarrow \underline{x.B > 3})$

whenever this one is false
then don't worry about
because our focus
is not it.



(f) $\forall x (P \otimes Q) \equiv \sim \exists x (\bar{P} \vee \bar{Q})$

$\exists x (P \wedge Q) \equiv \sim \forall x (\bar{P} \vee \bar{Q})$

(g) $\forall x (P(x) \rightarrow Q(x)) \equiv \forall x (\sim P(x) \vee Q(x))$

$(C \Rightarrow A \wedge B) \Leftrightarrow$
if C is true then A and B are true
if C is false then A and B can be either true or false

g) e. Frame | Employee(e) \wedge (($\forall d$) (Dependent(d) \wedge d.ssn = e.ssn \wedge (d.sex = "M")) \rightarrow d.Age > 30) } (7)

Employee		
FN	SSN	Sex
Ram	1	M
Shyam	2	M
Ravi	3	M
Sita	4	F

SSN	Sex	Age
1	M	23
2	F	25
1	M	15
2	M	50
2	F	13
3	F	20
4	F	21

Sol: ① Query is checking ~~that~~ For each employee, all
the department table.

② For each employee d is initialised to first row always.
This is why it is written inside.

③ Condition of Query is, if dependent of employee
is male then check its age is greater than 30 or
not. mind for all dependent table for each emp.
And it ^{will} ignore

(d) output

(i) Ram - M

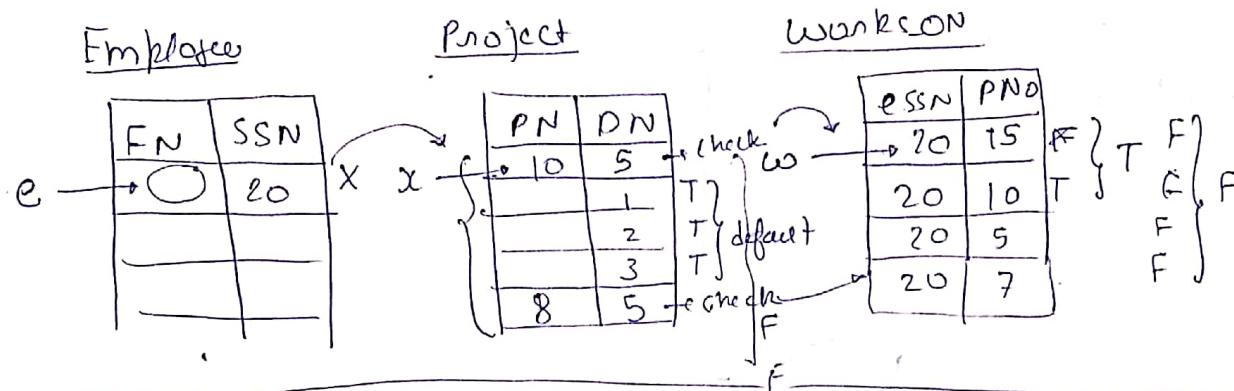
(ii) Shyam 2 M ✓

(M) Rawi 3 m ✓

(v) Sita 4 F ✓

Ex: List the Fname of employee who work on all project controlled by department number 5. (Assume at least one project is controlled by dbno=5).

Sol: $\{ e.Fname \mid Employee(e) \text{ AND } ((\forall x)(Project(x) \text{ AND } D.n=5) \rightarrow (\exists w)(works_on(w) \wedge w.dsn=e.ssn \wedge x.pno=w.pno)) \}$



⑤ Unsafe relational calculus

Ex: Which of the following relational calculus expression is not safe?

- Ⓐ $\{ t \mid \exists u \in R_1 (t[A] = u[A] \wedge \exists s \in R_2 (t[A] = s[A])) \}$
- Ⓑ $\{ t \mid \forall u \in R_1 (u[A] = x \Rightarrow \exists s \in R_2 (t[A] = s[A] \wedge s[A] = u[A])) \}$

Ⓒ $\{ t \mid t \in R_1 \}$

- Ⓓ $\{ t \mid \exists u \in R_1 (t[A] = u[A]) \wedge \exists s \in R_2 (t[A] = s[A]) \}$

* If tuples are infinite then it become unsafe.

range of

* Relation calculus with unsafe operation is not powerful.

* Whenever there is negation, then there is chance of unsafe.