Address Salesforce AI Research

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## INTERESTS AND PROJECTS THAT I LEAD

• Developing Foundatinal Large Language Models (LLMs)

- Pretraining and instruction tuning:
  - \* xGen (code, paper, blog, media, CEO's endorsement)

Impact: xGen is Salesforce's foundational model series, serving as the basis for other in-house LLMs like CodeGen and xGen-MM (multi-modal). It currently powers numerous production use cases in the Sales and Service Clouds.

- Contextual LLM:
  - \* SFR-RAG (code, paper, blog, media, CEO's endorsement)

*Impact:* SFR-RAG is a 9B LLM that has been instruction-tuned with an emphasis on context-grounded generation and minimizing hallucinations. It empowers Agentforce's RAG-based use cases across various industries, including finance and healthcare.

- \* Inference acceleration for long context: GemFilter (code, paper)
- \* Context utilization & Faithfulness (FaithEval-QA)
- LLM judge & generative reward modeling:
  - \* SFR-Judge (code, paper, blog, media)

*Impact:* It empowers Agentforce's LLMs by providing reward feedback at different stages; it is also used in SF's benchmarking efforts.

- LLM reasoning
  - Factual chain of thought (Chain of Knowledg, Verify & Edit)
  - Learning to reason (PRM); Logical reasoning (FOLIO, P-FOLIO)
  - In-context learning (LDP)
- LLM Benchmarking
  - CRM benchmarks for large, small and agentic LLMs (link, blog, media)
  - Summarization & QA (InstruSum, DiverseSum, DIVKNOWQA, ContextualBench)
  - ChartLLM Benchmarks ChartQA, OpenCQA, Chart2Text
- CodeLLMs (CodeT5, CodeChain, Personalized Distillation, xCodeEval)
- Multi-modal LLMs (ALBEF, X-InstructBLIP, DiffusionDPO, UniChart, ChartInstruct)

# PROFESSIONAL EMPLOYMENTS

Research Director (full time)  Salesforce Research, Palo Alto, USA  Leading efforts on foundation LLMs (xGen, SFR-RAG)	Aug'22 - present
Associate Professor (tenured, on leave since Aug'22)  Nanyang Technological University (NTU), Singapore  Founder of NTU-NLP Group	Mar'22 - present
Senior Manager (part time)  Salesforce AI Research, Singapore  Founding manager of the lab	Feb'19 - Aug'22
Assistant Professor Nanyang Technological University (NTU), Singapore	Aug'17 - Feb'22
Research Scientist Quatar Computing Research Institute, Quatar	Jan'14 - Jul'17
Research & Teaching Assistant University of British Columbia, University of Lethbridge	Aug'06 - Dec'13
Research Intern Microsoft Research	Sep'10 - Nov'10
Lecturer Islamic University of Technology, Bangladesh	Jan'05 - Aug'06
EDUCATION	
Ph.D. (Computer science) University of British Columbia (UBC), Vancouver, Canada "Discourse analysis of asynchronous conversations" Thesis advisors: Giuseppe Carenini & Raymond T. Ng Internal committee members: Nando de Freitas (now at Oxford/DeepMind) & Ra External committee members: Carolyn P. Rosé (CMU) & Amanda Stent (Yahoo!	
M.Sc. (Computer science)  University of Lethbridge (UofL), Alberta, Canada  "Answer Extraction for Simple and Complex Questions"  Thesis advisor: Yllias Chali  Other committee members: Sajjad Zahir, Howard Cheng & Giuseppe Carenini (ex	2006-2008 external)

2001-2005

Islamic University of Technology (IUT), Dhaka, Bangladesh CGPA: 4.97/5.00 (2nd in the class)

B.Sc. (Computer science)

## MAJOR HONORS AND AWARDS

- Nominated for NTU College of Engineering (CoE) Young Faculty Award (Research) 2022 (Nominated by SCSE)
- Nominated for Nanyang Research Award (Young Investigator)
   2021 2022 (Nominated by SCSE)
- NSERC Alexander Graham Bell Canada Graduate Scholarship<sup>1</sup> (CGS-D) 2010 2012 \$35,000 CAD/Year
- Four Year Fellowship (UBC) 2010 - 2013 \$18,000 CAD/Year
- Faculty of Science Graduate Studies Award (UBC) 2010 2013 \$7,200 CAD/Year
- Nominated for MIT Technology Review's Innovators Under 35 (Asia) 2017 2018
- Microsoft Research Excellent Intern, 2010
- Nomination for Governor General Gold Medal for Best MSc. Thesis (UofL), 2008
- David R. Cheriton Scholarship, University of Waterloo, 2008 [Declined]
- FS Chia Ph.D. Scholarship, University of Alberta, 2008 [Declined]
- First class 2nd in BSc, 5th in higher secondary (HSC) & 11th in secondary (SSC) merit lists

# **TEACHING EXPERIENCE**

Instructor, Nanyang Technological University (NTU)

2017 - 2022

- Year 2021 2022:
  - (i) Term 1: CZ4045: Natural Language Processing (Lec, Tut); CZ2002: Object Oriented Design & Programming (Tut. & Lab)
  - (ii) Term 2: CE-7455 (PhD level): Deep Learning for NLP (added meta learning and VAEs)
- Year 2020 2021:
  - (i) Term 1: CZ4045: Natural Language Processing (syllabus revised with deep learning) (Lec, Tut); CZ2002: Object Oriented Design & Programming (Tut. & Lab)
  - (ii) Term 2: CE-7455 (PhD level): Deep Learning for NLP (added multilingual NLP)
- Year 2019 2020:
  - (i) Term 1: CZ3005: Artificial Intelligence (Lec, Lab, Tut); CZ1007:Data Structures & Algorithm (Tut. & Lab)
  - (ii) Term 2: CE-7455 (PhD level): Deep Learning for NLP (new offer)
- Year 2018 2019:

<sup>&</sup>lt;sup>1</sup>This award is given only to the top-ranked PhD students across Canada.

- (i) Term 1: CZ3005: Artificial Intelligence (Lec, Lab, Tut), CZ1007:Data Structures & Algorithm (Tut. & Lab)
- (ii) Term 2: CZ3005: Artificial Intelligence (Lec, Lab, Tut)
- Year 2017 2018:
  - (i) Term 1: CZ1007:Data Structures & Algorithm (Tutorial & Lab)
  - (ii) Term 2: CZ3005: Artificial Intelligence (Lecture, Lab, & Tutorial)

#### Teaching Assistant, University of British Columbia

2008-2013

• Courses TAed: Grad NLP, Grad/Ugrad AI, Object Oriented Programming, Intro. to Comp.

#### Teaching Assistant, University of Lethbridge

2006-2008

• Courses TAed: Introduction to Computers.

#### Lecturer, Islamic University of Technology

2005-2006

• Courses Taught: Theory of computation, UNIX prog., Systems prog., OOP.

# **PATENTS**

## **Issued/Published Patents**

- 1. Xuan-Phi Nguyen, **Shafiq Joty**, and Chu Hong Hoi., "Systems and Methods for a Transformer Network With Tree-based Attention For Natural Language Processing", **US 2021/0049236 A1**, US Patent (2021).
- 2. Yifan Gao, Chu Hong Hoi, **Shafiq Joty** and Chien-Sheng Wu., "Systems and Methods for Explicit Memory Tracker with Coarse-To-Fine Reasoning in Conversational Machine Reading", *Patent Nb:* 16/863,999 **US2021/0174023 A1**, US Patent (2021).
- 3. Weishi Wang, **Shafiq Joty**, and Chu Hong Hoi., "Systems and Methods for Response Selection in Multi-Party Conversations with Dynamic Topic Tracking", *Patent Nb:* 17/014,458 **US Patent**, Filing Date: September 8, 2020 (2020).
- 4. Samson Tan and Shafiq Joty., "Systems and Methods for Generating Natural Language Processing Training Samples With Inflectional Perturbations", US 2021/0173872 A1, US Patent (2021).
- 5. Samson Tan and **Shafiq Joty**., "Systems and Methods for Code-Mixing Adversarial Training", *Patent Nb:US20220164547A1* **US Patent**, Filing Date: January 15, 2021 (2021).
- 6. Yue Wang, Chu Hong Hoi, and **Shafiq Joty**., "Unified Vision and Dialogue Transformer with BERT", *Patent Nb:* 16/929,738 **US2021/0232773 A1**, US Patent (2021).
- 7. Karan Aggarwal, Swaraj Khadanga, **Shafiq Joty**, Louis Kazaglis, and Jaideep Srivastava, "Computer Architecture For Identifying Sleep Stages", **US 2021/0023331 A1**, US Patent (2021).

- 8. Karan Aggarwal, Swaraj Khadanga, **Shafiq Joty**, and Jaideep Srivastava, "USING CLINICAL NOTES WITH TIME SERIES DATA FOR ICU MANAGEMENT", NTU REF: 2019-374 US Patent, (2020).
- 9. Amrita Saha, **Shafiq Joty**, and Chu Hong Hoi., "Systems and Methods for Numerical Reasoning by a Partially Supervised Numeric Reasoning Module Network", *Patent Nb: 17/162,289* **US Patent**, Filing Date: 01/29/2021 (2021).
- 10. Yue Wang, Weishi Wang, **Shafiq Joty** and Chu Hong Hoi., "SYSTEMS AND METHODS FOR CODE UNDERSTANDING AND GENERATION", *US20220382527A1* **US patent**, Filing Date: May 18, 2021 (2021).
- 11. Akhilesh Deepak Gotmare, Junnan Li, **Shafiq Joty** and Chu Hong Hoi., "SYSTEMS AND METHODS FOR SEMANTIC CODE SEARCH", *US8301633B2* **US patent**, Filing Date: May 18, 2021 (2021).
- 12. Guangsen Wang, Samson Tan, **Shafiq Joty**, Gang Wu, Steven (Chu Hong) Hoi., "BotSIM: AN END-to-End Automatic Evaluation Framework for Task-Oriented Dialog Systems", 70689.209PV01 **US patent**, Filing Date: Jan 20, 2022 (2022).
- 13. Hailin Chen, Amrita Saha, **Shafiq Joty** and Chu Hong Hoi., "Learning Label Modular Prompts for Text Classification", 70689.220US01 (63/355,476) **US patent**, Filing Date: Jun 17 (2022).
- 14. Hailin Chen, Amrita Saha, **Shafiq Joty** and Chu Hong Hoi., "SYSTEMS AND METH-ODS FOR IN-CONTEXT LEARNING USING SMALL-SCALE LANGUAGE MODELS", *US18316969* **US patent**, Filing Date: May 17 (2023).

#### Filed/Pending Patents

- 1. Ye Liu, Rui Meng, Meghana Bhat, Semih Yavuz, **Shafiq Joty**, Caiming Xiong, Yingbo Zhou, "SYSTEMS AND METHODS FOR INTEGRATING RETRIEVERS AND LARGE LANGUAGE MODELS FOR ANSWER GENERATION", *US18497395* **US patent**, Filing Date: Jun 23 (2023).
- 2. Tong Niu, **Shafiq Joty**, Yingbo Zhou, Semih Yavuz, Wenting Zhao, Ye Liu, "SYSTEMS AND METHODS FOR QUESTION ANSWERING WITH DIVERSE KNOWLEDGE SOURCES", *US18497395* **US patent**, Filing Date: Sep 25 (2023).
- 3. Ye Liu, Rui Meng, Meghana Bhat, Semih Yavuz, **Shafiq Joty**, Caiming Xiong, Yingbo Zhou, "SYSTEMS AND METHODS FOR RETRIEVAL BASED QUESTION ANSWERING USING NEURAL NETWORK MODELS", *US18497395* **US patent**, Filing Date: Oct 30 (2023).
- 4. Le Xue, Ning Yu, Dongxu Li, Silvio Savarese, **Shafiq Joty**, Ran Xu, Caiming Xiong, Artemis Panagopoulou, Juan Carlos Niebles, "SYSTEMS AND METHODS FOR ZERO-SHOT CROSS-MODAL REASONING VIA UNI-MODAL PROJECTIONS TO LARGE LANGUAGE MODELS", *US* 63586073 **US** patent, Filing Date: Sep 28 (2023).
- 5. Kung-Hsiang Huang, Alex Fabbri, **Shafiq Joty**, Jason Wu, Prafulla Kumar Choubey, Philippe Laban, "SYSTEMS AND METHODS FOR EVALUATING SUMMARIZATION MODELS", *US63519201* **US patent**, Filing Date: Aug 23 (2023).

- 6. Wojciech Kryscinski, Alex Fabbri, Caiming Xiong, **Shafiq Joty**, Jason Wu, Divyansh Agarwal, Philippe Laban, "SYSTEMS AND METHODS FOR FACTUAL NATURAL LANGAUGE PROCESSING", *US63503701* **US patent**, Filing Date: Sep 26 (2023).
- 7. Wojciech Kryscinski, Alex Fabbri, Caiming Xiong, **Shafiq Joty**, Jason Wu, Divyansh Agarwal, Philippe Laban, "Measuring LLM Ability at Factual Reasoning Through The Lens of Summarization", *US63503701* **US patent**, Filing Date: May 22 (2023).
- 8. Hailin Chen, Amrita Saha, Akash Gokul, Doyen Sahoo, **Shafiq Joty**, Henry Le, "CodeChain: Towards Modular Code Generation Through Chain of Self-revisions with Representative Sub-modules", *US63585865* **US patent**, Filing Date: Sep 27 (2023).
- 9. Amrita Saha, Hailin Chen, **Shafiq Joty**, Steven Hoi, "SYSTEMS AND METHODS FOR PERSONALIZED DISTILLATION FOR LARGE LANGUAGE MODELS", *SFDC/P/104883/US/UTL/1* **US patent**, Filing Date: Aug 23 (2023).
- 10. Yue Wang, Weishi Wang, **Shafiq Joty** and Chu Hong Hoi., "Retrieval-Augmented Patch Generation with CodeT5 for Automatic Program Repair", 70689.220US01 (63/189,857) **US** patent, Filing Date: Jul 19, 2022 (2022).
- 11. DING Bosheng, LIU Linlin, BING Lidong, NGUYEN Hai Thien, **Joty Shafiq**, MIAO Chunyan, Si LUO., "Method And Apparatus For Training A Text Tagging Model", 202011075457.0. (NTU Ref: 2021-023) Chinese patent, Filing Date: 9 October (2021).
- 12. Yingzhu Zhao, Chongjia Ni, Cheung-Chi Leung, **Shafiq Joty**, Eng Siong, and Bin Ma, "Speech Recognition System, Method, Apparatus And Device.", *NTU Ref: 2021-077-01-CN* **Chinese patent**, Filing Date: 22 February (2021).
- 13. DING Bosheng, LIU Linlin, BING Lidong, **Joty Shafiq**, MIAO Chunyan, Si LUO., "Data Augmentation Method, Named Recognition Method, Equipment and Electronic Device", (NTU Ref: 2021-520) Chinese patent, Filing Date: 15 January (2022).

## PEER-REVIEWED JOURNAL PAPERS

#### • NLP

- 1. Tasnim Mohiuddin and **Shafiq Joty**, "Unsupervised Word Translation with Adversarial Autoencoder", Computational Linguistics **46:2**, (presented at ACL-2020) (Jun, 2020).
- Shafiq Joty and Tasnim Mohiuddin, "Modeling Speech Acts in Asynchronous Conversations: A Neural-CRF Approach.", Computational Linguistics (Special Issue on Language in Social Media) 44:4, 859 - 894 (2018).
- 3. **Shafiq Joty**, Francisco Guzmán, Lluís Màrquez, and Preslav Nakov., "Discourse Structure in Machine Translation Evaluation.", *Computational Linguistics.* **43:4**, 683–722 (Dec, 2017).
- Shafiq Joty, Nadir Durrani, Hassan Sajjad, and Ahmed Abdelali., "Domain Adaptation Using Neural Network Joint Model.", Journal of Computer Speech and Language (Special Issue on Deep Learning for Machine Translation). 45:C; csl.2016.12.006, 161–179 (Sep, 2017).

<sup>\*</sup> Indicates co-lead author:

<sup>\*\*</sup> Most of the codes of my papers can be found in the NTU-NLP group page

- Francisco Guzmán, Shafiq Joty, Lluís Màrquez, and Preslav Nakov., "Machine Translation Evaluation with Neural Networks.", Journal of Computer Speech and Language (Special Issue on Deep Learning for Machine Translation). 45:C; csl.2016.12.005, 180–200 (Sep. 2017).
- 6. **Shafiq Joty**, Giuseppe Carenini and Raymond Ng, "CODRA: A Novel Discriminative Framework for Rhetorical Analysis.", *Computational Linguistics.* **41:3**, 385–435, MIT press (2015).
- 7. **Shafiq Joty**, Giuseppe Carenini and Raymond Ng, "Topic Segmentation and Labeling in Asynchronous Conversations.", *Journal of AI Research.* 47, 521-573 (2013).
- 8. Yllias Chali\*, Sadid Hasan\* and **Shafiq Joty**\*, "Improving graph-based random walks for complex question answering using syntactic, shallow semantic and extended string subsequence kernels.", *Information Processing and Management.* **47:6**, 843–855, Elsevier (2011).
- 9. Yllias Chali\*, **Shafiq Joty**\* and Sadid Hasan, "Complex Question Answering: Unsupervised Learning Approaches and Experiments.", *Journal of AI Research.* **35**, 1-47 (2009). (I was a co-lead author of this paper.)

#### • Interdisciplinary

- Dhakshenya Ardhithy Dhinagaran, Laura Martinengo, Moon-Ho Ringo Ho, Shafiq Joty, Tobias Kowatsch, Rifat Atun, Lorainne Tudor Car, "Designing, developing, evaluating, and Implementing a Smartphone-delivered, rule-based COnVERsational agent (DISCOVER): a conceptual framework.", JMIR mHealth and uHealth. 35, 1-38 (2022).
- 2. Althaf Marsoof, Andrés Luco, Harry Tan, and **Shafiq Joty**, "Content-filtering AI systems-limitations, challenges and regulatory approaches.", *Information Communications Technology Law.* **35**, 1-38 (2022).
- 3. Hui Li, Mengting Xu, Sourav Bhowmick, **Shafiq Joty**, Changsheng Sun, and Jiangtao Cui, "PIANO: Influence Maximization Meets Deep Reinforcement Learning", *IEEE Transactions on Computational Social Systems*, (IEEE TCSS) (Apr. 2022).
- 4. Xiangxi Shi, Jianfei Cai, Jiuxiang Gu, and **Shafiq Joty**., "Video Captioning with Boundary-Aware Hierarchical Language Decoding and Joint Video Prediction", *Neuro-computing* **417**, 347-356 (Dec. 2020).
- Jing Ma, Wei Gao, Shafiq Joty, and Kam-Fai Wong, "An Attention-based Rumor Detection Model with Tree-structured Recursive Neural Networks", ACM Transactions on Intelligent Systems and Technology (TIST) 11:04, (42) (2020).
- 6. Lorainne Car, Dhakshenya Dhinagaran, Bhone Kyaw, Tobias Kowatsch, **Shafiq Joty**, Yin Theng, and Rifat Atun, "Conversational agents in healthcare: a scoping review and conceptual analysis", *Journal of Medical Internet Research (JMIR)* **22:8**, (Aug, 2020).
- Aarti Sathyanarayana, Shafiq Joty, Luis Fernandez-Luque, Ferda Offi, Jaideep Srivastava, Ahmed Elmagarmid, Shahrad Taheri, and Teresa Arora., "Sleep Quality Prediction From Wearable Data Using Deep Learning", JMIR Mhealth Uhealth (JMU) (4(4):e125), JMIR (2016).

# PEER-REVIEWED CONFERENCE PAPERS [BY YEAR]

- \* Indicates co-lead author:
- \*\* Most of the codes of my papers can be found in the NTU-NLP group page

- 1. Fangkai Jiao, Chengwei Qin, Zhengyuan Liu, Nancy F. Chen, and **Shafiq Joty**., "Learning Planning-based Reasoning by Trajectories Collection and Process Reward Synthesizing", In Proceedings of the 2024 Conference on Empirical Methods in Natural Language Processing (EMNLP-2024), Miami, USA (2023).
- Mohammed Islam, Md Laskar, Md Parvez, Enamul Hoque and Shafiq Joty., "DataNarrative: Automated Data-Driven Storytelling with Visualizations and Texts", In Proceedings of the 2024 Conference on Empirical Methods in Natural Language Processing (EMNLP-2024), Miami, USA (2023).
- 3. Xingxuan Li, Yutong Li, Lin Qiu, **Shafiq Joty** and Lidong Bing., "Evaluating Psychological Safety of Large Language Models", In Proceedings of the 2024 Conference on Empirical Methods in Natural Language Processing (EMNLP-2024), Miami, USA (2023).
- 4. Simeng Han, Hailey Schoelkopf, Yilun Zhao, Zhenting Qi, Martin Riddell, Wenfei Zhou, James Coady, David Peng, Yujie Qiao, Luke Benson, Lucy Sun, Alexander Wardle-Solano, Hannah Szabó, Ekaterina Zubova, Matthew Burtell, Jonathan Fan, Yixin Liu, Brian Wong, Malcolm Sailor, Ansong Ni, Linyong Nan, Jungo Kasai, Tao Yu, Rui Zhang, Alexander Fabbri, Wojciech Maciej Kryscinski, Semih Yavuz, Ye Liu, Victoria Lin, Shafiq Joty, Yingbo Zhou, Caiming Xiong, Rex Ying, Arman Cohan, Dragomir Radev, "FOLIO: Natural Language Reasoning with First-Order Logic", In Proceedings of the 2024 Conference on Empirical Methods in Natural Language Processing (EMNLP-2024), Miami, USA (2023).
- 5. Md Laskar, Sawsan Alqahtani, M Bari, Mizanur Rahman, Mohammad Khan, Haidar Khan, Israt Jahan, Amran Bhuiyan, Chee Tan, Md Parvez, Enamul Hoque, **Shafiq Joty** and Jimmy Huang., "A Systematic Survey and Critical Review on Evaluating Large Language Models: Challenges, Limitations, and Recommendations", In Proceedings of the 2024 Conference on Empirical Methods in Natural Language Processing (EMNLP-2024), Miami, USA (2023).
- 6. Divyansh Agarwal, Alexander R Fabbri, Philippe Laban, Shafiq Joty, Caiming Xiong, Chien-Sheng Wu, "Investigating the prompt leakage effect and black-box defenses for multi-turn LLM interactions", In Findings of the 2024 Conference on Empirical Methods in Natural Language Processing (EMNLP-2024 Industry Track), Miami, USA (2023).
- 7. Shayekh Islam, Md Rahman, K Hossain, Enamul Hoque, Shafiq Joty and Md Parvez, "Open-RAG: Enhanced Retrieval Augmented Reasoning with Open-Source Large Language Models", In Findings of the 2024 Conference on Empirical Methods in Natural Language Processing (EMNLP-2024 Findings), Miami, USA (2023).
- 8. SIMENG HAN, Aaron Yu, Rui Shen, Zhenting Qi, Martin Riddell, Wenfei Zhou, Yujie Qiao, Yilun Zhao, Semih Yavuz, Ye Liu, **Shafiq Joty**, Yingbo Zhou, Caiming Xiong, Rex Ying, Arman Cohan and Dragomir Radev, "P-FOLIO: Evaluating and Improving Logical Reasoning with Abundant Human-Written Reasoning Chains", In Findings of the 2024 Conference on

- Empirical Methods in Natural Language Processing (EMNLP-2024 Findings), Miami, USA (2023).
- 9. Artemis Panagopoulou, Le Xue, Ning Yu, Junnan Li, Dongxu Li, **Shafiq Joty**, Ran Xu, Silvio Savarese, Caiming Xiong, and Juan-Carlos Niebles., "X-InstructBLIP: A Framework for aligning X-Modal instruction-aware representations to LLMs and Emergent Cross-modal Reasoning.", In 2024 European Conference on Computer Vision (ECCV-24), Seattle, USA (2024).
- 10. Mohammad Khan, M Bari, Do Long, Weishi Wang, Md Parvez, and Shafiq Joty, "XCodeEval: An Execution-based Large Scale Multilingual Multitask Benchmark for Code Understanding, Generation, Translation and Retrieval", In Proceedings of the 62nd Annual Meeting of the Association for Computational Linguistics (ACL-24), Bangkok, Thailand (2024).
- 11. Mathieu Ravaut, Aixin Sun, Nancy Chen, and **Shafiq Joty**, "On Context Utilization in Summarization with Large Language Models", In Proceedings of the 62nd Annual Meeting of the Association for Computational Linguistics (ACL-24), Bangkok, Thailand (2024).
- 12. Xuan-Phi Nguyen, Sharifah Mahani Aljunied, **Shafiq Joty**, and Lidong Bing, "Democratizing LLMs for Low-Resource Languages by Leveraging their English Dominant Abilities with Linguistically-Diverse Prompts", In Proceedings of the 62nd Annual Meeting of the Association for Computational Linguistics (ACL-24), Bangkok, Thailand (2024).
- 13. Ahmed Masry, Mehrad Shahmohammadi, Md Parvez, and **Shafiq Joty**, "ChartInstruct: Instruction Tuning for Chart Comprehension and Reasoning", In Findings of the 62nd Annual Meeting of the Association for Computational Linguistics (ACL-24 Findings), Bangkok, Thailand (2024).
- 14. Bosheng Ding, Chengwei Qin, Ruochen Zhao, Tianze Luo, Xinze Li, Guizhen Chen, Wenhan Xia, Junjie Hu, Anh-Tuan Luu, and **Shafiq Joty**, "Data Augmentation using LLMs: Methods, Learning Paradigms and Challenges", In Findings of the 62nd Annual Meeting of the Association for Computational Linguistics (ACL-24 Findings), Bangkok, Thailand (2024).
- 15. Faisal Shohan, Mir Nayeem, Samsul Islam, Abu Akash, and Shafiq Joty, and **Shafiq Joty**, "XL-HeadTags: Leveraging Multimodal Retrieval Augmentation for the Multilingual Generation of News Headlines and Tags", *In Findings of the 62nd Annual Meeting of the Association for Computational Linguistics* (ACL-24 Findings), Bangkok, Thailand (2024).
- 16. Kung-Hsiang Huang, Philippe Laban, Alexander Fabbri, Prafulla Kumar, **Shafiq Joty**, Caiming Xiong, and Chien-Sheng Wu., "Embrace Divergence for Richer Insights: A Multi-document Summarization Benchmark and a Case Study on Summarizing Diverse Information from News Articles.", In 2024 Annual Conference of the North American Chapter of the Association for Computational Linguistics (NAACL-24), New Mexico, Mexico (2024).
- 17. Fangkai Jiao, Zhiyang Teng, Bosheng Ding, Zhengyuan Liu, Nancy Chen, and **Shafiq Joty**., "Exploring Self-supervised Logic-enhanced Training for Large Language Models.", In 2024 Annual Conference of the North American Chapter of the Association for Computational Linguistics (NAACL-24), New Mexico, Mexico (2024).
- 18. Chengwei Qin, Ruirui Chen, Ruochen Zhao, Wenhan Xia, and **Shafiq Joty**., "Lifelong Event Detection with Embedding Space Separation and Compaction.", In 2024 Annual Conference of

- the North American Chapter of the Association for Computational Linguistics (NAACL-24), New Mexico, Mexico (2024).
- 19. Yixin Liu, Alexander Fabbri, Jiawen Chen, Yilun Zhao, SIMENG HAN, **Shafiq Joty**, Pengfei Liu, Dragomir Radev, Chien-Sheng Wu, and Arman Cohan., "Benchmarking Generation and Evaluation Capabilities of Large Language Models for Instruction Controllable Summarization.", In 2024 Findings of the North American Chapter of the Association for Computational Linguistics (NAACL-24 Findings), New Mexico, Mexico (2024).
- 20. Ramit Sawhney, Megh Thakkar, Vishwa Shah, Shrey Pandit, and Shafiq Joty., "AdaPT: A Set of Guidelines for Hyperbolic Multimodal Multilingual NLP", In 2024 Findings of the North American Chapter of the Association for Computational Linguistics (NAACL-24 Findings), New Mexico, Mexico (2024).
- 21. Wenting Zhao, Ye Liu, Tong Niu, Yao Wan, Philip Yu, **Shafiq Joty**, Yingbo Zhou, and Semih Yavuz., "DIVKNOWQA: Assessing the Reasoning Ability of LLMs via Open-Domain Question Answering over Knowledge Base and Text.", In Findings of the North American Chapter of the Association for Computational Linguistics (NAACL-24 Findings), New Mexico, Mexico (2024).
- 22. Bram Wallace, Meihua Dang, Rafael Rafailov, Linqi Zhou, Aaron Lou, Senthil Purushwalkam, Stefano Ermon, Caiming Xiong, **Shafiq Joty**, and Nikhil Naik., "Diffusion Model Alignment Using Direct Preference Optimization.", In International Conference on Computer Vision and Pattern Recognition (CVPR-24), Seattle, USA (2024).
- 23. Hung Le, Hailin Chen, Amrita Saha, Akash Gokul, Doyen Sahoo, **Shafiq Joty**., "CodeChain: Towards Modular Code Generation Through Chain of Self-revisions with Representative Sub-modules", In International Conference on Learning Representations (ICLR-24), Vienna, Austria (2024).
- 24. Xingxuan Li, Ruochen Zhao, Yew Ken Chia, Bosheng Ding, **Shafiq Joty**, Soujanya Poria, Lidong Bing., "Chain of Knowledge: A Framework for Grounding Large Language Models with Structured Knowledge Bases", In International Conference on Learning Representations (ICLR-24), Vienna, Austria (2024).
- 25. Ansong Ni, Pengcheng Yin, Yilun Zhao, Martin Riddell, Troy Feng, Rui Shen, Stephen Yin, Ye Liu, Semih Yavuz, Caiming Xiong, **Shafiq Joty**, Yingbo Zhou, Dragomir Radev, and Arman Cohan., "L2CEval: Evaluating Language-to-Code Generation Capabilities of Large Language Models", In Transactions of ACL (TACL), (2024).
- 26. Ruochen Zhao, **Shafiq Joty**, Yongjie Wang, Tan Wang., "Explaining Language Models' Predictions with High-Impact Concepts", In Findings of ACL (EACL-24), Malta (2024).
- 27. Lifu Tu, Jin Qu, Semih Yavuz, **Shafiq Joty**, Wenhao Liu, Caiming Xiong, and Yingbo Zhou., "Efficiently Aligned Cross-Lingual Transfer Learning for Conversational Tasks using Prompt-Tuning", In Findings of ACL (EACL-24), Malta (2024).

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- 10. Tasnim Mohiuddin and **Shafiq Joty**, "Revisiting Adversarial Autoencoder for Unsupervised Word Translation with Cycle Consistency and Improved Training", In Proceedings of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies (NAACL-19), Minneapolis, USA (2019).
- 11. Tasnim Mohiuddin, Thanh-Tung Nguyen and **Shafiq Joty** (Equal Contributions), "Adaptation of Hierarchical Structured Models for Speech Act Recognition in Asynchronous Conversation", In Proceedings of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies (NAACL-19), Minneapolis, USA (2019).
- 12. Karan Aggarwal, **Shafiq Joty**, Luis Fernandez-Luque, and Jaideep Srivastava., "Adversarial Unsupervised Representation Learning for Activity Time-Series", *In Thirty-Third AAAI Conference on Artificial Intelligence* (AAAI-2019), Honolulu, Hawaii (2019).
- 13. Sameer Khurana, Shafiq Joty, Ahmed Ali, and James Glass, "A Fatorial Deep Markov Model For Unsupervised Disentangled Representation Learning From Speech", In International Conference on Acoustics, Speech, and Signal Processing (ICASSP-2019), Brighton, UK (2019).
- 14. Siyuan Liu, Sourav S Bhowmick, Wanlu Zhang, Shu Wang, Wanyi Huang, and **Shafiq Joty**, "NEURON: Query Execution Plan Meets Natural Language Processing For Augmenting DB Education", In Proceedings of 45th ACM SIGMOD International Conference on Management of Data (SIGMOD-2019 Demo), Amsterdam, NL (2019).

- 1. **Shafiq Joty**, Giuseppe Carenini, Raymond Ng, and Gabriel Murray., "Discourse Processing and Its Applications in Text Mining", In 18th IEEE International Conference on Data Mining: Tutorial (ICDM-2018 Tutorial), Singapore (2018).
- Gabriel Murray, Shafiq Joty, and Giuseppe Carenini., "NLP for Conversations: Sentiment, Summarization, and Group Dynamics.", In Proceedings of the 27th International Conference on Computational Linguistics: Tutorial Abstracts (COLING-2018 Tutorial), pages 1-4, Santa Fe, New Mexico, USA (2018).
- 3. Shafiq Joty, Lluís Màrquez and Preslav Nakov., "Joint Multitask Learning for Community Question Answering Using Task-Specific Embeddings.", In Proceedings of the Conference on Empirical Methods in Natural Language Processing (EMNLP-2018), pages x-x, Brussels, Belgium (2018).
- 4. Shafiq Joty, Tasnim Mohiuddin, and Dat Nguyen (equal contributions), "Coherence Modeling of Asynchronous Conversations: A Neural Entity Grid Approach", In Proceedings of the 56th Annual Meeting of the Association for Computational Linguistics (ACL'18), Melbourne, Australia (2018).
- 5. Firoj Alam, **Shafiq Joty**, and Muhammad Imran., "Domain Adaptation with Adversarial Training and Graph Embeddings", In Proceedings of the 56th Annual Meeting of the Association for Computational Linguistics (ACL'18), Melbourne, Australia (2018).
- 6. Jiuxiang Gu, **Shafiq Joty**, Jianfei Cai, and Gang Wang, "Unpaired Image Captioning by Language Pivoting", In Proceedings of the European Conference on Computer Vision (ECCV-2018), Munich, Germany (2018).

- 7. Qing Li, Qingyi Tao, **Shafiq Joty**, Jianfei Cai and Jiebo Luo, "VQA-E: Explaining, Elaborating, and Enhancing Your Answers for Visual Questions", In Proceedings of the VQA-E: Explaining, Elaborating, and Enhancing Your Answers for Visual Questions (ECCV-2018), Munich, Germany (2018).
- 8. Jiuxiang Gu, Jianfei Cai, **Shafiq Joty**, Li Niu, and Gang Wang, "Look, Imagine and Match: Improving Textual-Visual Cross-Modal Retrieval with Generative Models", In Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition (CVPR-2018), Salt Lake City, Utah, United States (2018).
- 9. Chin Yao, Kaiqi Zhao, **Shafiq Joty**, and Gao Cong, "Aspect-based Neural Recommender", In Proceedings of the 27th ACM International Conference on Information and Knowledge Management (CIKM-2018), Turin, Italy (2018).
- 10. Jing Li, Aixin Sun, and Shafiq Joty, "SegBot: A Generic Neural Text Segmentation Model with Pointer Network", In Proceedings of the 27th International Joint Conference on Artificial Intelligence and the 23rd European Conference on Artificial Intelligence (IJCAI-ECAI-2018), Stockholm, Sweden (2018).
- 11. Karan Aggarwal, Swaraj Khadanga, **Shafiq Joty**, Louis Kazaglis, and Jaideep Srivastava, "A Structured Learning Approach with Neural Conditional Random Fields for Sleep Staging", In Proceedings of the IEEE Big Data Conference 2018 (IEEE Big Data-2018), Seattle, USA (2018).
- 12. Muhammad Ebraheem, Saravanan Thirumuruganathan, **Shafiq Joty**, Mourad Ouzzani and Nan Tang, "Distributed Representations of Tuples for Entity Resolution", *In Proceedings of the Forty-fourth International Conference on Very Large Data Bases* (VLDB-2018), Rio de Janeiro, Brazil (2018).
- 13. Firoj Alam, **Shafiq Joty**, and Muhammad Imran, "Graph Based Semi-supervised Learning with Convolutional Neural Networks to Classify Crisis Related Tweets", In Proceedings of the Twelfth International Conference on Web and Social Media (ICWSM-2018), Stanford, United States (2018).

- Tanay Kumar Saha, Shafiq Joty and Mohammad Al Hasan., "CON-S2V: A Generic Framework for Incorporating Extra-Sentential Context into Sen2Vec.", In Proceedings of the European Conference on Machine Learning and practice of knowledge discovery in databases (ECML-PKDD-2017), Macedonia, Skopje (2017).
- 2. Tanay Kumar Saha, **Shafiq Joty**, Naeemul Hassan, and Mohammad Al Hasan., "Regularized and Retrofitted models for Learning Sentence Representation with Context.", In Proceedings of the 26th ACM International Conference on Information and Knowledge Management (CIKM-2017), Singapore (2017).
- 3. Dat Tien Nguyen and **Shafiq Joty** (co-lead author), "A Neural Local Coherence Model.", In Proceedings of the 55th Annual Meeting of the Association for Computational Linguistics (ACL-2017), pages 1320-1330, Vancouver, Canada (2017).

- 4. Shafiq Joty, Preslav Nakov and Lluís Màrquez, "Cross-language Learning with Adversarial Neural Networks.", In Proceedings of The SIGNLL Conference on Computational Natural Language Learning (CoNLL-2017), pages 226-237, Vancouver, Canada (2017).
- 5. Enamul Hoque, **Shafiq Joty**, Lluís Màrquez, and Giuseppe Carenini., "CQAVis: Visual Text Analytics for Community Question Answering.", In Proceedings of the 22nd annual meeting of the intelligent user interfaces (IUI-2017), pages 161-172, Limassol, Cyprus (2017).
- 6. Giovanni Da San Martino, Salvatore Romeo, Alberto Barron-Cedeno, Shafiq Joty, Lluís Màrquez, Alessandro Moschitti and Preslav Nakov., "Cross-Language Question Re-ranking.", In Proceedings of the 40th International ACM SIGIR Conference on Research and Development in Information Retrieval (SIGIR-2017), pages 1145-1148, Tokyo, Japan (2017).
- 7. Dat Tien Nguyen, Kamla Al-Mannai, **Shafiq Joty**, Hassan Sajjad, Muhammad Imran and Prasenjit Mitra., "Robust Classification of Crisis-Related Data on Social Networks using Convolutional Neural Networks.", In Proceedings of the 11-th International AAAI Conference on Weblogs and Social Media (ICWSM-2017), pages 632-635, Montreal, Canada (2017).

- 1. Shafiq Joty and Enamul Hoque., "Speech Act Modeling of Written Asynchronous Conversations with Task-Specific Embeddings and Conditional Structured Models.", In Proceedings of the 54th Annual Meeting of the Association for Computational Linguistics (ACL-2016), pages 1746-1756, Berlin, Germany (2016).
- 2. Shafiq Joty, Lluís Màrquez and Preslav Nakov., "Joint Learning with Global Inference for Comment Classification in Community Question Answering.", In Proceedings of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies (NAACL-2016), pages 703-713, San Diego, USA (2016).
- 3. Nadir Durrani, Hassan Sajjad, **Shafiq Joty**, and Ahmed Abdelali., "A Deep Fusion Model for Domain Adaptation in Phrase-based MT.", In Proceedings of the 26th International Conference on Computational Linguistics (COLING-2016), pages 3177–3187, Osaka, Japan (2016).
- 4. Enamul Hoque, Shafiq Joty, Lluís Màrquez, Alberto Barrón-Cedeño, Alessandro Moschitti, Giovanni Da San Martino, Preslav Nakov, Salvatore Romeo and Giuseppe Carenini., "An Interactive System for Exploring Community Question Answering Forums.", In Proceedings of the 26th International Conference on Computational Linguistics (COLING-2016), pages 1-5, Osaka, Japan (2016).

- Shafiq Joty, Hassan Sajjad, Nadir Durrani, Kamla Al-Mannai, Ahmed Abdelali and Stephan Vogel., "How to Avoid Unwanted Pregnancies: Domain Adaptation using Neural Network Models.", In Proceedings of the Conference on Empirical Methods in Natural Language Processing (EMNLP-2015), pages 1259-1270, Lisbon, Portugal (2015).
- Shafiq Joty, Alberto Barrón-Cedeño, Giovanni Da San Martino, Alessandro Moschitti, Lluís Màrquez and Preslav Nakov., "Global Thread-level Inference for Comment Classification in Community Question Answering.", In Proceedings of the Conference on Empirical Methods in Natural Language Processing (EMNLP-2015), pages 573-578, Lisbon, Portugal (2015).

- 3. Pengfei Liu, Shafiq Joty, and Helen Meng., "Fine-grained Opinion Mining with Recurrent Neural Networks and Word Embeddings.", In Proceedings of the Conference on Empirical Methods in Natural Language Processing (EMNLP-2015), pages 1433-1443, Lisbon, Portugal (2015).
- Francisco Guzmán, Shafiq Joty, Lluís Màrquez, and Preslav Nakov., "Pairwise Neural Machine Translation Evaluation.", In Proceedings of the 53rd annual meeting of the Association for Computational Linguistics (ACL-2015), pages 805-814, Beijing, China (2015).
- 5. Alberto Barrón-Cedeño, Simone Filice, Giovanni Da San Martino, Shafiq Joty, Lluis Marquez, Preslav Nakov and Alessandro Moschitti., "Thread-level Information for Comment Classification in Community Question Answering.", In Proceedings of the 53rd annual meeting of the Association for Computational Linguistics (ACL-2015), pages 687-693, Beijing, China (2015).
- Nadir Durrani, Hassan Sajjad, Shafiq Joty, Ahmed Abdelali and Stephan Vogel., "Using Joint Models for Domain Adaptation in Statistical Machine Translation.", In Proceedings of the Association for Machine Translation in the Americas (AMTA-2015), Miami, USA (2015).

- 1. Shafiq Joty, Alessandro Moschitti., "Discriminative Reranking of Discourse Parses Using Tree Kernels.", In Proceedings of the Conference on Empirical Methods in Natural Language Processing (EMNLP-2014), pages 2049-2060, Doha, Qatar (2014).
- Francisco Guzmán, Shafiq Joty, Lluís Màrquez, Alessandro Moschitti, Preslav Nakov and Massimo Nicosia., "Learning to Differentiate Better from Worse Translations.", In Proceedings of the Conference on Empirical Methods in Natural Language Processing (EMNLP-2014), pages 214-220, Doha, Qatar (2014).
- 3. Iman Saleh, Alessandro Moschitti, Preslav Nakov, Lluís Màrquez and **Shafiq Joty**., "Semantic Kernels for Semantic Parsing.", In Proceedings of the Conference on Empirical Methods in Natural Language Processing (EMNLP-2014), pages 436-442, Doha, Qatar (2014).
- Francisco Guzmán, Shafiq Joty, Lluís Màrquez, and Preslav Nakov., "Using Discourse Structure Improves Machine Translation Evaluation.", In Proceedings of the 52nd annual meeting of the Association for Computational Linguistics (ACL-2014), pages 687-698, Baltimore, USA (2014).
- 5. Iman Saleh, **Shafiq Joty**, Lluís Màrquez, Alessandro Moschitti, Preslav Nakov, Scott Cyphers and Jim Glass., "A Study of Using Syntactic and Semantic Structures for Concept Segmentation and Labeling.", *In Proceedings of the 25th International Conference on Computational Linguistics* (COLING-2014), pages 193-202, Dublin, Ireland (2014).

#### 2013

 Shafiq Joty, Giuseppe Carenini, Raymond Ng and Yashar Mehdad., "Combining Intra- and Multi-sentential Rhetorical Parsing for Document-level Discourse Analysis.", In Proceedings of the 51st Annual Meeting of the Association for Computational Linguistics. (ACL-2013), pages 486-496, Sofia, Bulgaria (2013).

- 2. Yashar Mehdad, Giuseppe Carenini, Raymond Ng and **Shafiq Joty**., "Towards Topic Labeling with Phrase Entailment and Aggregation.", In Proceedings of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies. (NAACL-2013), pages 179-189, Atlanta, USA (2013).
- 3. Maryam Tavafi, Yashar Mehdad, **Shafiq Joty**, Giuseppe Carenini, Raymond Ng., "Dialogue Act Recognition in Synchronous and Asynchronous Conversations.", In Proceedings of the 14th Annual Meeting of the Special Interest Group on Discourse and Dialogue. (SIGDIAL-2013), pages 117-121, Metz, France. (2013).

1. Shafiq Joty, Giuseppe Carenini and Raymond Ng., "A Novel Discriminative Framework for Sentence-Level Discourse Analysis.", In Proceedings of the Conference on Empirical Methods in Natural Language Processing and the Conference on Natural Language Learning. (EMNLP-2012), pages 904-915, Jeju, Korea (2012).

### 2011

- 1. Shafiq Joty, Giuseppe Carenini and Chin-Yew Lin., "Unsupervised Modeling of Dialog Acts in Asynchronous Conversations.", In Proceedings of the twenty second International Joint Conference on Artificial Intelligence. (IJCAI-2011), pages 1807-1813, Barcelona, Spain (2011).
- 2. Shafiq Joty, Giuseppe Carenini, Gabriel Murray and Raymond Ng., "Supervised Topic Segmentation of Email Conversations.", In Fifth International AAAI Conference on Weblogs and Social Media. (ICWSM-2011), pages 530-533, Barcelona, Spain (2011).
- 3. Nicholas FitzGerald, Giuseppe Carenini, Gabriel Murray and **Shafiq Joty**., "Exploiting Conversational Features to Detect High-Quality Blog Comments.", In Proceedings of the Canadian Conference on Artificial Intelligence (CAI-2011), pages 122-127, St. Johns, Newfoundland (2011).

#### 2010

1. Shafiq Joty, Giuseppe Carenini, Gabriel Murray and Raymond Ng., "Exploiting Conversation Structure in Unsupervised Topic Segmentation for Emails.", In Proceedings of the Conference on Empirical Methods in Natural Language Processing and the Conference on Natural Language Learning. (EMNLP-2010), pages 388-398, Massachusetts, USA. (2010).

- 1. Yllias Chali, Sadid Hasan and **Shafiq Joty**., "Do Automatic Annotation Techniques Have Any Impact on Supervised Complex Question Answering?", In Proceedings of the ACL-IJCNLP 2009 Conference. (ACL-2009), pages 329-332, Suntec, Singapore. (2009).
- 2. Yllias Chali, Sadid Hasan and **Shafiq Joty**., "A SVM-Based Ensemble Approach to Multi-Document Summarization.", In Proceedings of the 22nd Canadian Conference on Artificial Intelligence (CAI-2009), pages 329-332, Kelowna, Canada. (2009).
- 3. Yllias Chali, Sadid Hasan and **Shafiq Joty**., "Supervised Approaches to Complex Question Answering.", In Proceedings of Conference of the Pacific Association for Computational Linguistics (PACLING-2009), pages 121-126, Sapporo, Japan. (2009).

- 1. Yllias Chali and **Shafiq Joty**., "Selecting Sentences for Answering Complex Questions.", In Proceedings of the Conference on Empirical Methods in Natural Language Processing (EMNLP-2008), pages 304-313, Hawaii, USA. (2008). (I was a co-lead author.)
- Yllias Chali and Shafiq Joty., "Improving the Performance of the Random Walk Model for Answering Complex Questions.", In Proceedings of the 46th Annual Meeting of the Association for Computational Linguistics: Human Language Technologies (ACL-2008), pages 9-12, OH, USA. (2008). (I was a co-lead author.)
- 3. Yllias Chali and Shafiq Joty., "Exploiting Syntactic and Shallow Semantic Kernels to Improve Random Walks for Complex Question Answering.", In Proceedings of the 20th IEEE Int'l Conference on Tools with Artificial Intelligence (ICTAI-2008), pages 123-130, OH, USA. (2008). (I was a co-lead author.)
- 4. Yllias Chali and **Shafiq Joty**., "Answering Complex Questions Using Query-focused Summarization Technique.", In Proceedings of the 20th IEEE Int'l Conference on Tools with Artificial Intelligence (ICTAI-2008), pages 131-134, OH, USA. (2008). (I was a co-lead author.)
- 5. Yllias Chali and **Shafiq Joty.**, "Unsupervised Approach for Selecting Sentences in Querybased Summarization.", In Proceedings of the 21st FLAIRS conference (FLAIRS-2007), pages 47-52, Florida, USA. (2008). (I was a co-lead author.)
- Gabriel Murray, Shafiq Joty, Giuseppe Carenini and Raymond Ng., "The University of British Columbia at TAC 2008.", n Proceedings of the Text Analysis Conference (TAC-2008), Rochester, USA. (2008).
- Yllias Chali and Shafiq Joty and Sadid-Al-Hasan., "UofL at TAC 2008 Update Summarization and Question Answering.", In Proceedings of the Text Analysis Conference (TAC-2008), Rochester, USA. (2008).

### 2007

- Yllias Chali and Shafiq Joty., "University of Lethbridge's Participation in DUC-2007 Main Task.", In Proceedings of the Document Understanding Conference (DUC-2007), Rochester, USA. (2007). (I was a co-lead author.)
- 2. Yllias Chali and **Shafiq Joty**., "University of Lethbridge's Participation in TREC-2007 Main Task.", In Proceedings of the Sixteenth Text REtrieval Conference (TREC-2007), Gaithersburg, USA. (2007). (I was a co-lead author.)
- 3. Shafiq Joty and Sadid Hasan., "Advances in Focused Retrieval: A General Review.", In Proceedings of the 10th IEEE International Conference on Computer and Information Technology (ICCIT-2007), pages 1-5, Dhaka, Bangladesh. (2007).

## PEER-REVIEWED WORKSHOP PAPERS

1. Nafise Sadat Moosavi, Angela Fan, Vered Shwartz, Goran Glavas, **Shafiq Joty**, Alex Wang, and Thomas Wolf., "Proceedings of SustaiNLP: Workshop on Simple and Efficient Natural Language Processing", In Proceedings of SustaiNLP Workshop (EMNLP'20 SustaiNLP Workshop), Online (2020).

- 2. Dat Nguyen, **Shafiq Joty**, Basma Boussaha, and Maarten de Rijke., "Thread Reconstruction in Conversational Data using Neural Coherence Models.", *In Proceedings of the Neu-IR 2017 SIGIR Workshop on Neural Information Retrieval* (NeuIR'17), Tokyo, Japan (2017).
- 3. Alberto Barrón-Cedeño, Giovanni Da San Martino, **Shafiq Joty**, Alessandro Moschitti, Fahad Al-Obaidli, Salvatore Romeo, Kateryna Tymoshenko and Antonio Uva., "ConvKN at SemEval-2016 Task 3: Answer and Question Selection for Question Answering on Arabic and English Fora.", In Proceedings of the 10th International Workshop on Semantic Evaluation. (**SemEval 2016**), San Diego, USA (2016). (2<sup>nd</sup> place in both English & Arabic subtasks of Task 3)
- 4. Giovanni Da San Martino, Alberto Barrón-Cedeño, Salvatore Romeo, Alessandro Moschitti, Shafiq Joty, Fahad Al-Obaidli, Kateryna Tymoshenko, Antonio Uva and Daniele Bonadiman., "Addressing Community Question Answering in English and Arabic.", In Second WebQA workshop. (SIGIR-WebQA 2016), Pisa, Italy (2016).
- 5. Dat Tien Nguyen, **Shafiq Joty**, Muhammad Imran, Hassan Sajjad, and Prasenjit Mitra., "Applications of Online Deep Learning for Crisis Response Using Social Media Information.", In the 4th International Workshop on Social Web for Disaster Management (co-located with CIKM 2016), (**SWDM 2016**), Indianapolis, USA (2016).
- 6. Massimo Nicosia, Simone Filice, Alberto Barrón-Cedeño, Iman Saleh, Hamdy Mubarak, Wei Gao, Preslav Nakov, Giovanni Da San Martino, Alessandro Moschitti, Kareem Darwish, Lluís Màrquez, Shafiq Joty and Walid Magdy., "QCRI: Answer Selection for Community Question Answering Experiments for Arabic and English.", In Proceedings of the 9th International Workshop on Semantic Evaluation. (SemEval-2015), pages 203-209, Denver, USA (2015). (Winner in Arabic and 3<sup>rd</sup> place in English subtask of Task 3)
- 7. Shafiq Joty, Francisco Guzman, Lluis Marquez and Preslav Nakov., "DiscoTK: Using Discourse Structure for Machine Translation Evaluation.", In Proceedings of the Ninth Workshop on Statistical Machine Translation (WMT-2014), pages 402-408, Baltimore, USA (2014). (Winner of the metric task.)
- 8. Enamul Hoque, Giuseppe Carenini and Shafiq Joty., "Interactive Exploration of Asynchronous Conversations: Applying a User-centered Approach to Design a Visual Text Analytic System.", In Proceedings of the Workshop on Interactive Language Learning, Visualization, and Interfaces (ILLVI-2014), pages 45-52, Baltimore, USA. (2014).
- 9. Wei Jin, Shafiq Joty, Giuseppe Carenini and Raymond Ng., "Detecting Informative Blog Comments using Tree Structured Conditional Random Fields.", The Pacific Northwest Regional NLP Workshop (NWNLP-2012), Microsoft Research, Redmond, USA. (2012).
- 10. **Shafiq Joty**, Giuseppe Carenini, Gabriel Murray and Raymond Ng., "Finding Topics in Emails: Is LDA enough?", NIPS-2009 workshop on applications for topic models: text and beyond. (NIPS-2009), Whistler, Canada (2009).
- 11. Yllias Chali and **Shafiq Joty**., "Word Sense Disambiguation Using Lexical Cohesion.", In Proceedings of the 4th International Conference on Semantic Evaluations (SemEval-2007), pages 476-479, Prague, Czech Republic. (2007).

## RESEARCH GRANTS

- 1. Language Model Adaptation for Low-Resource Languages and Dialects., "Ministry of Education (MOE) Tier-2", 713,000 SGD (Feb, 2023 Feb, 2026).
- 2. NLP for WHO's Workforce Intelligence., "WHO International", 375,000 USD (Dec, 2021 Oct, 2022).
- 3. Trustworthy and Explainable AI: Text Data Augmentation, Multi-Lingual NLP Generation., "AISG2-PhD-2021-01-001", 480,000 SGD (Jan, 2021 Sep, 2025).
- 4. Inference Capable Natural Language Generation for Conversational AI., "AI.SG 100ER", 400,000 SGD (Sep. 2021 Aug. 2023).
- 5. Zero-shot and few-shot learning for NER with/without a small In-domain KB., "Alibaba-NTU Singapore Joint Research Institute", 136,104 SGD (Nov, 2020 Nov, 2021).
- 6. AI-supported collaborative activity design., "AI.SG 100 Experiments", 180,665.76 SGD (Apr, 2020 Sep, 2021).
- 7. Customised Tamil-English Translation Engine., "Joint Project with A-star", 149,400 SGD (Sep, 2019 Apr, 2021).
- 8. Knowledge Graph Mining for Educational Domain., "Delta-NTU-NRF", 199,964 SGD (Jan, 2020 Dec, 2021).
- 9. Language Understanding and Generation with Deep Neural Networks., "Start Up-Grant (NTU, Singapore)", 200,000 SGD (Jul, 2017 Jul, 2020).
- 10. Multilingual Language Processing Using Generative Adversarial Autoencoders., "Tier-1 program (NTU, Singapore)", 100,000 SGD (Mar, 2018 Aug, 2019).
- 11. A Malay-English Translation System., "SCSE demo fund (NTU, Singapore)", (Jan, 2018 Jun, 2018).

## **TUTORIALS AT INTERNATIONAL CONFERENCES**

- NLP+Vis: NLP Meets Visualization, Shafiq Joty, Enamul Haque and Jesse Vig, EMNLP-2023, Oklahoma City, USA. (Slides)
- 2. NLP4Vis: Natural Language Processing for Information Visualization, Enamul Haque and Shafiq Joty, IEEEVis-2022, Oklahoma City, USA. (Slides)
- 3. Discourse Analysis and Its Applications, **Shafiq Joty**, Giuseppe Carenini, Raymond Ng, and Gabriel Murray, **ACL-2019**, Florence, Italy. (Slides)
- 4. Discourse Processing and Its Applications in Text Mining, **Shafiq Joty**, Giuseppe Carenini, Raymond Ng, and Gabriel Murray, **ICDM-2018**, Singapore. (Slides)
- 5. NLP for Conversations: Sentiment, Summarization, and Group Dynamics, Gabriel Murray, Shafiq Joty, and Giuseppe Carenini., COLING-2018, Santa Fe, New Mexico. (Slides)

## **INVITED TALKS**

#### Keynotes at International Conferences/Workshops

- "Unleashing the Potential of LLMs through Task and Data Engineering", KAUST Research Conference, Saudi Arabia, Aug. 2023
- 2. "Unleashing the Potential of LLMs through Task and Data Engineering", QCRI LLM Seminer, Doha, Qatar, Sep. 2023
- 3. "Unleashing the Potential of LLMs through Task and Data Engineering", Taming LLMs workshop @SIGDIAL-23, Prague, Czechia, Sep. 2023
- 4. "Unleashing the Potential of LLMs through Task and Data Engineering", Foundations and Applications of Large-scale AI Models (LLMAI workshop at KDD), Aug. 2023
- 5. "Model, Task and Data Engineering for NLP", International Conference on Natural Language Processing and Information Retrieval (NLPIR), Dec. 2022

#### Other Invited Talks

- 1. "Unleashing the Potential of LLMs through Task and Data Engineering", VISA Research, Foster City, CA, USA, Nov. 2023
- 2. "Novel Data and Task Engineering Methods for NLP", Baidu Research Speaker Series, Baidu Research, May. 2022
- 3. "Model, Data and Task Engineering for NLP", University of California Santa Cruz , UCSC seminar, Apr. 2022
- 4. "Model, Task and Data Engineering for NLP", Open Data Science Conference (ODSC), APAC Region, Sept. 2021
- 5. "Model, Task and Data Engineering for NLP", Tech Talk (Topic AI and NLP) at IIT Roorkee, Hyderabad, BHU, KGP, Delhi, Guwahati, Kanpur, ISM Dhanbad, Salesforce, Aug, 2021
- 6. "Panel Discussion Get Started with AI Startups", International Student Conference On Artificial Intelligence (STCAI, NTU), Aug, 2021,
- 7. "Model, Task and Data Engineering for NLP", UBC, Canada, June. 2021
- 8. "Model, Task and Data Engineering for NLP", York University, Canada, June. 2021
- 9. "Model, Task and Data Engineering for NLP", SEA AI Lab, Singapore, June. 2021
- 10. "Model, Task and Data Engineering for NLP", NUS, Singapore, June. 2021
- 11. "Towards Robust Multi-lingual Multi-dialectal NLP Models", Alibaba Damo Academy, Singapore, Mar. 2021
- 12. "A Rights-Based Approach to the Design and Use of AI", Algorithmic Justice Knowledge Sharing Session,, NBS Communications, Webinar, Nov 2020
- 13. "NLP Research at NTU-NLP", Alibaba NTU JRI, Online, Nov. 2020

- 14. "Panel Discussions: Ethics in AI", The 2019 Singapore Symposium on Natural Language Processing, SSNLP-2019, Nov. 2019
- 15. "Discourse Analysis with Deep Learning", TU-Darmstadt, Germany, July. 2019
- 16. "Deep Structured Models for Natural Language Processing", NTU-WeBank Training Program, NTU, Singapore, Jun. 2019
- 17. "Deep Structured Models for Natural Language Processing", IET x MLDA@EEE NLP Workshop, NTU,, NTU, Singapore,, Apr. 2019
- 18. "Structured Models for Discourse Analysis and NLP Applications", Alibaba, Singapore, Jan. 2019
- 19. "Deep Structured Models for Natural Language Processing", NTU-SenseTime Research Seminar, NTU, Singapore, Nov. 2018
- "Deep Structured Models for Natural Language Processing", Salesforce AI Research, Palo Alto, USA, Oct. 2018
- 21. "Deep Learning for Multilingual and Multimodal Processing", DSO National Laboratories, Singapore, Oct. 2018
- 22. "Deep Learning for Multilingual and Multimodal Processing", Industry-NTU Dialogue: AI Research, Innovation and Talent, NTU, Singapore, Sep. 2018
- 23. "Discourse Analysis and Its Applications", Gwangju Institute of Science and Technology (GIST), South Korea, Dec. 2017
- 24. "How Computational Linguistics can help Computational History", Johannesburg Institute for Advanced Study (JIAS), Johannesburg, South Africa, Nov. 2017
- "Discourse Analysis and Its Applications", National University of Singapore (NUS), Singapore, Oct. 2017
- 26. "Discourse Analysis and Its Applications", Institute for Infocomm Research A\*Star, Singapore, Sep. 2017
- 27. "Structured Predictions for Discourse Analysis and Applications in Natural Language Processing", Singapore University of Technology and Design (SUTD), Singapore, Sep. 2017
- 28. "Structured Predictions for Discourse Analysis and Applications in Natural Language Processing", University of Calgary, Calgary, Canada, Mar. 2017
- 29. "Structured Predictions for Discourse Analysis and Applications in Natural Language Processing", University of Waterloo, Waterloo, Canada, Feb. 2017
- 30. "Structured Predictions for Discourse Analysis and Applications in Natural Language Processing", Nanyang Technological University (NTU), Singapore, Nov. 2016
- 31. "Introduction to Machine Learning", Global Entrepreneurship Week, Doha, Nov. 2015
- 32. "Discourse Analysis & its Applications", Chinese University of Hong Kong., Oct. 2015

- 33. "CODRA: A Complete Discriminative Framework for Rhetorical Analysis", Universite Paul Sabatier, Toulouse, France., Sep. 2014
- 34. "Discourse Analysis of Asynchronous Conversations", University of British Columbia, Vancouver, Canada., Dec. 2013
- 35. "Intra- and Multi-sentential Rhetorical Parsing for Document-level Discourse Analysis", NSERC Business Intelligence Network AGM, IBM Software Labs, Canada., Nov. 2012
- 36. "A Novel Discriminative Framework for Sentence-Level Discourse Analysis", NW-NLP workshop, Microsoft Research Redmond., May, 2012
- 37. "Discourse Analysis of Asynchronous Conversations (e.g., emails, blogs)", Business Intelligence (BI) Workshop-NSERC BIN Watson, IBM Watson center, Feb, 2012
- 38. "Discourse Analysis of Asynchronous Conversations (e.g., emails, blogs)", UBC Social Network Group, Feb, 2012
- 39. "Unsupervised Modeling of Dialog Acts in Asynchronous Conversations", UBC Laboratory for Computational Intelligence (LCI) Forum Talk, Apr., 2011
- 40. "Topic and Dialog Act Modeling of Asynchronous Conversations", Microsoft Research Asia, Nov, 2010
- 41. "What are they talking about?" Finding Topics in Email Conversations", UBC Laboratory for Computational Intelligence Forum (LCI) Talk, Feb, 2010
- 42. "Answering Simple and Complex Questions", University of Alberta NLP Group, Jan, 2008

#### SERVICE

- 1. PC co-chair: SIGDIAL-2023
- 2. Best paper award committee: NAACL-22, ICLR-23
- 3. Senior area chair: EMNLP-2023 (Discourse) ACL-2022 (ML), EMNLP-2021 (Applications)
- Area chair: ICLR-2024 (NLP), NeurIPS-2023 (NLP), ICLR-2023 (NLP), ACL-2023 EMNLP-2022 (Discourse), COLING-2022 (Discourse), ACL-2021 (ML), ACL-2020 (Discourse), NAACL-2021 (Discourse), ACL-2019 (QA), EMNLP-2019 (Discourse)
- 5. Action editor: ACL rolling review [2021 present]
- 6. Associate editor: ACM Transactions on Asian and Low Resource Language Resource language Processing (TALLIP) [Jan 2020, Feb 2021]
- 7. Senior Program Committee member: IJCAI-2019, AAAI-2020
- 8. **Journal/Conference Reviewing:** TACL [2015-2019], ACL/EMNLP/SigDial/\*SEM/NAACL/IJCAI/IUI/K [2008 present]
- 9. Grant Proposal Reviewing: NSERC Canada Discovery Grant [2018], Israel Science Foundation (ISF) [2021].

- 10. Organizing committee member of EMNLP-2014, ICCIT-2005.
- 11. Associate VP position in the Bangladesh Students' Association, UBC (2009-2010).

## PROFESSIONAL MEMBERSHIP

• ACL, SIGDAT, SIGGEN, SIGSEM, ACM, IEEE.

# **STUDENTS**

#### Current PhD Students

- 1. Li Xingxuan [Aug, 2021 Present, EDB IPP]
- 2. Esther (Ruochen) Zhao [Jan, 2021 Present, AISG Fellowship]
- 3. Mathieu Ravaut [Jan, 2021 Present, A\*Star RSS-SINGA fellowship]
- 4. Chen Hailin [Jan, 2021 Present, Salesforce IPP NTU]
- 5. Chengwei Qin [Jan, 2021 Present, NTU Graduate Scholarship]
- 6. Bosheng Ding (co-supervision) [Jan, 2020 Present]

#### Graduated Students (PhD)

- 1. Nguyen Thanh Tung [Aug, 2017 Jul, 2021]
  - First Employment: Research Scientist, I2R A-star, Singapore
  - PhD Thesis: "Pointing Network for Natural Language Understanding"
- 2. Tasnim Mohiuddin [Jan, 2018 Dec, 2021]
  - First Employment: NLP Researcher, Huawei Research Center, Singapore
  - PhD Thesis: "Neural Machine Translation with Limited Resources" (1st runner up for best thesis award 2022 2023)
- 3. Samson Tan (industry advisor) [Aug, 2019 Mar, 2022, Salesforce IPP NUS] NUS Dean's Research Excellence Award 2021, Research Achievement Award, 2020
  - First Employment: Applied Scientist at AWS AI Research Education, Santa Clara, USA
  - PhD Thesis: "Linguistically-inclusive Natural Language Processing"
- 4. Prathyusha Jwalapuram [Aug, 2018 Jul, 2022] A\*STAR International Fellowship (AIF)
  - First Employment: Research Scientist, Rakuten, Singapore
  - **PhD Thesis:** "Building Generalizable Models for Discourse Phenomena Evaluation and Machine Translation"
- 5. Saiful Bari [Jan, 2019 Dec, 2022] NTU Graduate Scholarship
  - First Employment: Senior Research Scientist, Saudi Data & AI Authority (SDAIA), KSA
  - PhD Thesis: "Transfer Learning for Language Model Adaptation"

6. Lin Xiang [Jan, 2019 - Dec, 2022]

First Employment: Quantitative/NLP Researcher, GIC, Singapore

PhD Thesis: "Natural Language Processing as Autoregressive Generation"

7. Han-Cheol Moon [PhD, Aug, 2019 - Jul, 2023] SINGA-SINGA fellowship

First Employment: ML engineer, Samsung Research, South Korea

PhD Thesis: "Toward Robust Natural Language Systems"

8. Linlin Liu (co-supervision) [PhD, Jan, 2019 - Dec, 2022] Alibaba-NTU IGS fellowship

First Employment: Data Scientist, Cubist Systematic Strategies, Singapore

**PhD Thesis:** "Towards Robust Natural Language and Image Processing in Low-Resource Scenarios"

9. Xuan Phi Nguyen [PhD, Aug, 2019 - Mar, 2023] SDSC dissertation fellowship, A\*STAR ACIS fellowship

First Employment: Research Scientist (Ali Star), DAMO Academy, Alibaba, Singapore

PhD Thesis: "Improving Neural Machine Translation: Data Centric Approaches"

#### • Undergraduate FYP Students

- 1. Do Xuan Long (URECA FYP) [Aug, 2021 Jul, 2023] Outstanding Undergraduate Award [Outstanding Undergraduate Award; Current Position: PhD student @NUS]
- 2. Han Simeng [Mar, 2019 Jul, 2021] [Best Final Year Thesis Gold Medal; Current Position: PhD student in CS @ Yale University, USA]
- 3. Shen Youlin [Mar, 2020 Dec, 2020]
- 4. Rixie Tiffany Ko Leong [Jan, 2021 Dec, 2021]
- 5. Angshuk Dutta [Aug, 2021 Mar, 2022]

#### Visiting Students

- 1. Sameer Khurana [May, 2018 Aug, 2018]. (Now PhD student at MIT-CSAIL, USA)
- 2. Jing MA [Dec, 2018 Aug, 2019]. (Now Asst Prof at Hong Kong Baptist University)
- 3. Lingzhi Wang [Aug 2021 Nov 2021] (Now a PhD student at HKUST, Hong Kong)
- 4. Enamul Hoque [Feb, 2016 May, 2016] (Now Asst. Prof. at York Univ, Canada)
- 5. Aarti Sathyanarayana [July, 2016 Dec, 2016] (Now Asst Prof at Northeastern University, USA)

# **REFERENCES**

## Dr. Giuseppe Carenini

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