File No: STD/1287

21 May 2008

NATIONAL INDUSTRIAL CHEMICALS NOTIFICATION AND ASSESSMENT SCHEME (NICNAS)

FULL PUBLIC REPORT

Z-78

This Assessment has been compiled in accordance with the provisions of the *Industrial Chemicals (Notification and Assessment) Act 1989* (Cwlth) (the Act) and Regulations. This legislation is an Act of the Commonwealth of Australia. The National Industrial Chemicals Notification and Assessment Scheme (NICNAS) is administered by the Department of Health and Ageing, and conducts the risk assessment for public health and occupational health and safety. The assessment of environmental risk is conducted by the Department of the Environment, Water, Heritage and the Arts.

For the purposes of subsection 78(1) of the Act, this Full Public Report may be inspected at our NICNAS office by appointment only at 334-336 Illawarra Road, Marrickville NSW 2204.

This Full Public Report is also available for viewing and downloading from the NICNAS website or available on request, free of charge, by contacting NICNAS. For requests and enquiries please contact the NICNAS Administration Coordinator at:

Street Address: 334 - 336 Illawarra Road MARRICKVILLE NSW 2204, AUSTRALIA.

Postal Address: GPO Box 58, SYDNEY NSW 2001, AUSTRALIA.

TEL: + 61 2 8577 8800 FAX + 61 2 8577 8888 Website: www.nicnas.gov.au

Director NICNAS

TABLE OF CONTENTS

FULL		Report					
1.		LICANT AND NOTIFICATION DETAILS					
2.		IDENTITY OF CHEMICAL					
3.		COMPOSITION3					
4.	PHY	SICAL AND CHEMICAL PROPERTIES	3				
5.	INTF	RODUCTION AND USE INFORMATION	4				
Sa	mpling		5				
6.	HUM	IAN HEALTH IMPLICATIONS					
	6.1	Exposure assessment	5				
Tr	ansport a	ınd Storage					
		f engine oil products					
		C 1					
	6.2.	Human health effects assessment	(
	6.3.	Human health risk characterisation					
7.	ENV	IRONMENTAL IMPLICATIONS					
	7.1.	Environmental Exposure & Fate Assessment					
	7.1.1	Environmental Exposure					
	7.1.2	Environmental fate					
	7.1.3	Predicted Environmental Concentration (PEC)					
	7.2.	Environmental effects assessment					
	7.2.1	Predicted No-Effect Concentration	. 10				
	7.3.	Environmental risk assessment					
8.	CON	CLUSIONS AND REGULATORY OBLIGATIONS					
		classification					
		health risk assessment					
		mental risk assessment					
		nendations					
		ory Obligations					
APPE		PHYSICAL AND CHEMICAL PROPERTIES					
		TOXICOLOGICAL INVESTIGATIONS					
	B.1.	Acute toxicity – oral					
	B.2.	Acute toxicity – dermal					
	B.3.	Irritation – skin					
	B.4.	Irritation – eye					
	B.5.	Skin sensitisation – mouse local lymph node assay (LLNA)	. 17				
	B.6.	Repeat dose toxicity					
	B.7.	Genotoxicity – bacteria					
	B.8.	Genotoxicity – in vitro					
APPE	NDIX C: I	ENVIRONMENTAL FATE AND ECOTOXICOLOGICAL INVESTIGATIONS	. 21				
	C.1.	Environmental Fate					
	C.2.	Ecotoxicological Investigations					
Bibli		Υ					

FULL PUBLIC REPORT

Z-78

1. APPLICANT AND NOTIFICATION DETAILS

APPLICANT(S)

Lubrizol International, Inc. (ABN: 52 073 495 603)

28 River St

Silverwater NSW 2128

NOTIFICATION CATEGORY

Standard: Chemical other than polymer (more than 1 tonne per year).

EXEMPT INFORMATION (SECTION 75 OF THE ACT)

Data items and details claimed exempt from publication:

Chemical Name, Other Names, CAS Number, Molecular and Structural Formulae, Molecular Weight, Residual Impurities, Purity, Use Details, Import Volume.

VARIATION OF DATA REQUIREMENTS (SECTION 24 OF THE ACT)

Variation to the schedule of data requirements is claimed as follows:

Dissociation constant, Flammability limits, Acute inhalation toxicity, Induction of germ cell damage, Bioaccumulation.

NOTIFICATION IN OTHER COUNTRIES

USA

2. IDENTITY OF CHEMICAL

MARKETING NAME(S)

Z-78

OTHER NAME(S)

OS216489, 557- L-1268-F, XOS-183502

MOLECULAR WEIGHT

<500 Da

ANALYTICAL DATA

Reference ¹H and ¹³C NMR, IR, GPC, UV spectra were provided.

3. COMPOSITION

DEGREE OF PURITY >75%

IMPURITIES (HAZARD NOT DETERMINED)

Chemical Name Mono-tartrate monoester

CAS No. Not allocated Weight % 3.1%

Hazardous Properties Hazard likely to be similar to notified chemical based on structural similarity

Chemical Name Tri-tartrate tetraester

CAS No. Not allocated Weight % 0.5%

Hazardous Properties Hazard likely to be similar to notified chemical based on structural similarity

4. PHYSICAL AND CHEMICAL PROPERTIES

APPEARANCE AT 20°C AND 101.3 kPa: Tan coloured slightly viscous liquid

Property	Value	Data Source/Justification
Pour Point	<-20°C	Measured
Boiling Point	355°C at 100.96 kPa	Measured
Density	$1010 \text{ kg/m}^3 \text{ at } 20.0^{\circ}\text{C}$	Measured
Vapour Pressure	3.4 x 10 ⁻⁹ kPa at 25°C	Measured
Water Solubility	$1.44 \times 10^{-3} \text{ g/L at } 20.0 ^{\circ}\text{C}$	Measured
Hydrolysis as a Function of pH	t1/2 = 10.9 days at pH 8 and 109	Estimated
Partition Coefficient (n-octanol/water)	days at pH 7. log Pow =6.58 at 20°C	Measured
Surface Tension	$48.9 \text{ mN/m} \text{ at } 22 \pm 0.5^{\circ}\text{C}$	Measured
Adsorption/Desorption	$\log K_{\rm oc} = 5.05$	Measured
Dissociation Constant	Not determined	No water-dissociable groups
Flash Point	118 ± 2 °C at 101.325 kPa	Measured
Flammability	Not predicted to be flammable	Estimated based on chemical structure
Autoignition Temperature	270 ± 5 °C	Measured
Explosive Properties	Not predicted to be explosive	Estimated based on chemical structure and oxygen balance.
Oxidising Properties	Not predicted to be an oxidising agent.	Estimated based on chemical structure.

DISCUSSION OF PROPERTIES

The notified chemical has low water solubility, is fat soluble and is surface active in aqueous solution. It is soluble in fat. It is non-volatile, and not expected to present a physical hazard based on its flash point and chemical structure.

For full details of tests on physical and chemical properties, please refer to Appendix A.

Reactivity

The notified chemical is expected to be stable under normal environmental conditions. There are no known hazardous decomposition products or incompatibility with other substances.

5. INTRODUCTION AND USE INFORMATION

Mode of Introduction of Notified Chemical (100%) Over Next 5 Years

The notified chemical will be imported into Australia either in the neat form (>75%), or within a lubricant additive package at a concentration of <20% for reformulation into engine oil products.

MAXIMUM INTRODUCTION VOLUME OF NOTIFIED CHEMICAL (100%) OVER NEXT 5 YEARS

Year	1	2	3	4	5
Tonnes	30 - 100	30 - 100	30 - 100	30 - 100	30 - 100

PORT OF ENTRY

Western Australia, Queensland, Victoria

IDENTITY OF MANUFACTURER/RECIPIENTS

Lubrizol International, Inc.

28 River St

Silverwater NSW 2128

TRANSPORTATION AND PACKAGING

The notified chemical will be imported by sea as a neat substance (>75%) or as a component of a product (<20%) in 260 L steel drums or 20 MT iso-containers. It will be transported by road or rail from wharf to customer sites for further formulation.

Use

The notified chemical will be used as a lubricant additive (at <5%) in engine oils for industrial use, and retail sale to the public.

OPERATION DESCRIPTION

Reformulation of concentrate product

The notified chemical may be imported neat (at >75%) and transported to the reformulation site where it will be pumped into a storage tank or extracted by suction until use. It will then be pumped using sufficient pressure directly into the blend vessel using an automated procedure. The pipes will be cleaned between transfers using a device known as a 'pig' which is magnetic and involves minimal human exposure. After production, concentrated product containing the notified chemical at <20% will typically be loaded into iso-containers or drums directly from the blend vessel. These containers will then be stored unopened in a covered warehouse until they are transported to customers for further blending into the final product.

Blending of engine oil products

At customer blending sites, the concentrate product containing the notified chemical at <20% will be formulated into engine oil products by mixing with oil and other additives. It will be either decanted from drums or iso-containers into a trough from which it will be pumped into a blend tank, or pumped directly into the blend tank. Blend facilities are expected to be fully automated closed systems.

After blending, the engine oil products containing the notified chemical will be packaged into containers ranging from 1 to 205 L. The packaging facility will usually be located near the blending operation area and the transfer of product to the packaging is expected to be fully automated.

Sampling

Sampling from blend vessels may take place during the blending process at the reformulation of concentrate product stage or in the blending of engine oil products. A plant operator would open a valve in the vessel and fill a small container for testing.

End use

Engine oil products (<5% of the notified chemical) will be used in factories where cars are manufactured, and in mechanical repair garages. For the car manufacturers, engine oil products may be delivered in larger containers such as iso-containers (especially to larger operations). Once at the car manufacturers, a container or drum of engine oil product containing the notified polymer will be mounted on a trolley and transferred to the vehicle. A pre-determined amount of the oil product containing the notified chemical will be transferred from supply drums to the vehicle engines using a dip-pipe and pump. After the container or drum of the engine oil product has been finished it will be tipped on its side and residue will be poured into the next container/drum. Alternatively, the engine oil products containing the notified chemical may be packaged in smaller containers (1 L or 5 L) for retail sale. The 1 L containers are intended to be used as oil 'top-up' whereas the 5 L containers are usually used during complete oil changes by mechanics or Do-it-yourself (DIY) users.

6. HUMAN HEALTH IMPLICATIONS

6.1 Exposure assessment

6.1.1 Occupational exposure

NUMBER AND CATEGORY OF WORKERS

Category of Worker	Number per site	Exposure Duration (hours/day)	Exposure Frequency (days/year)
Reformulation of concentrate product	-		
Transport and storage	2 - 3	1 - 3	4 - 6
Plant operator	2 - 3	< 1	50
Maintain/clean	2 - 3	2-4	10 - 20
Plant operator – sampling	1	< 1	100
Blending of engine oil products			
Plant operator	2 - 3	< 1	50
Maintain/clean	2 - 3	2-4	10 - 20
Packaging	2 - 8	2 - 4	50
End user	1 - 3	2 - 4	Typically <20

EXPOSURE DETAILS Transport and Storage

Exposure to the notified chemical (up to >75%) during transport or storage is unlikely except in the case of accidental spillage or breach of packaging.

Reformulation of concentrate product

Dermal exposure to the neat notified chemical (>75%) is possible when plant operators are connecting and disconnecting pump lines to storage tanks or blending vessels, as it is possible that residues may be present on equipment used. Dermal and ocular exposure is also possible from spills and splashes. The opportunity also exists for dermal exposure (<20%) when cleaning up spills or leaks and during maintenance of the blend vessel. It is expected that negligible exposure will occur during the fully automatic and closed blending process. The potential for accidental dermal and ocular exposure to the notified chemical exists during maintenance. However, maintenance is not expected to occur frequently as residue in the blending vessel will be used for the next blend. No exposure is expected during transfer of the concentrate product containing the notified chemical (<20%) to packaging as this will be carried out using automated processes. In all cases where there is potential for exposure, workers are expected to wear gloves, goggles and a long sleeved shirt as minimum personal protective equipment (PPE). Inhalation exposure is expected to be negligible given the very low vapour pressure of the notified chemical (3.4 x 10⁻⁹ kPa). The potential for aerosol generation is not expected to be significant given the viscosity of the engine oil products. In addition, blending and packaging facilities are expected to be well ventilated.

Blending of engine oil products

The potential for dermal and ocular exposure to the notified chemical during blending of the final product is expected to be similar to that described above for reformulation of the concentrate product. However, the concentration of the notified chemical will be <20% prior to blending and <5% in the final product. The notifier states, however, that the concentrate containing the notified chemical (<20%) may be decanted into a trough prior to blending. In these circumstances there is increased potential for dermal and ocular exposure from spills, drips and splashes. In all cases where there is potential for exposure workers are expected to wear gloves, goggles and a long sleeved shirt as minimum PPE to minimise exposure.

Sampling

At blending facilities for the concentrate product and the final product, samples will be taken from blend vessels during the blending process. Dermal exposure may occur when a plant operator will open a valve and fill a small container. To minimise exposure the plant operator will wear gloves, goggles and a long sleeved shirt as minimum PPE.

End use

Filling of engine oil products will occur at car manufacturers and at workshops by mechanics. At car manufacturers, formulated oil containing the notified chemical will be transferred mechanically from drums to vehicle engines using a dip-pipe and pump. There is potential for dermal exposure from drips, spills and splashes during the connection and disconnection of the dip-pipe and pump as well as from handling automotive components contaminated with the engine oil. The notifier states that while workers are not expected to encounter ocular exposure appropriate PPE is expected to be worn to minimise any potential dermal and ocular exposure.

Professional users such as mechanics, may experience dermal and ocular exposure to engine oil products containing the notified chemical (<5%) when adding the engine oil products to automobiles and other machinery. Exposure to the hands may be significant if good hygiene practices are not followed during these procedures. Exposure would be minimised by the use of gloves, goggles and a long sleeved shirt.

6.1.2. Public exposure

DIY users may experience dermal and ocular exposure to engine oil products containing <5% of the notified chemical when adding the products to automobiles and other machinery. Exposure of the hands is the most likely, and thus may be significant during these procedures. Exposure would be minimised if users wear gloves, goggles and a long sleeved shirt. Overall, public exposure is expected to be limited due to its infrequent use, assuming that most consumers do not change their own engine oil.

6.2. Human health effects assessment

The results from toxicological investigations conducted on the notified chemical are summarised in the table below. Details of these studies can be found in Appendix B.

Endpoint	Result and Assessment Conclusion
Rat, acute oral toxicity	LD ₅₀ >2500 mg/kg bw; low toxicity
Rat, acute dermal toxicity	LD ₅₀ >2000 mg/kg bw; low toxicity
Rabbit, skin irritation	slightly irritating
Rabbit, eye irritation	severely irritating
Mouse, skin sensitisation – Local lymph node assay	no evidence of sensitisation
Rat, repeat dose oral toxicity – 28 days.	NOAEL 1000 mg/kg bw/day
Mutagenicity – bacterial reverse mutation	non mutagenic
Genotoxicity – in vitro chromosome aberration	non genotoxic

Toxicokinetics, metabolism and distribution.

Absorption of the notified chemical through the skin is unlikely given its high logPow (6.58). However, the high logPow value and low molecular weight suggest absorption may occur through the gastrointestinal tract. The systemic effects observed in the repeat dose toxicity study indicate that absorption can occur via this route, although its extent is unknown.

Acute toxicity

The notified chemical is of low toxicity via the oral and dermal route. Toxicity via inhalation is likely to be low based on the low vapour pressure and low oral and dermal toxicity of the notified chemical.

Irritation and Sensitisation

A Rabbit Enucleated Eye Test (REET) was performed in place of an *in vivo* acute eye irritation/corrosion test because the notified chemical was suspected to be strongly irritating and/or corrosive. Treatment of enucleated rabbit eyes with the notified chemical for 10 secs yielded the following effects: corneal opacity, sloughing, corneal swelling and fluorescein uptake. Based on these effects the notified chemical was considered to have the potential to cause severe ocular irritation and therefore an *in vivo* study was not performed due to animal welfare concerns.

The notified chemical was found to be slightly irritating to the skin. The notified chemical had pH = 1 indicating the potential for severe irritation or corrosion. Therefore, as a pre-screening test, the Transcutaneous Electrical Resistance (TER) Assay was performed. After treatment with the notified chemical, the electrical conductivity across rat skin did not increase significantly indicating that it was unlikely to be corrosive. Further tests were conducted *in vivo* in rabbits, with a single application of the notified chemical applied using a semi-occluded dressing. No adverse reactions were observed upon application of the notified chemical for 3 minutes and 1 hour. However, after application for 4 hrs, well-defined erythema was observed in two animals persisting in one to 48 hrs. Slight desquamation was also observed in two animals, 7 days after treatment. Therefore, the notified chemical is considered to be slightly irritating to skin, but these effects were not sufficient for it to be classified according to the *Approved Criteria for Classifying Hazardous Substances* [NOHSC: 1008 (2004)].

There was no evidence of skin sensitisation in a mouse local lymph node assay (LLNA) at concentrations up to 25%.

Repeated Dose Toxicity

In the 28-day repeat dose oral toxicity study, increased liver weights and centrilobular hepatocyte enlargement were observed in males and females treated with 1000 mg/kg bw/day. As no necrotic or inflammatory changes were observed, these effects were considered to be adaptive changes.

Treatment-related increases in kidney weights were also observed in males treated with 1000 mg/kg bw/day (p<0.05). Globular eosinophilic depositions were found in the tubular epithelium of males dosed at 150 and 1000 mg/kg bw/day. These effects were considered to be male-rat specific changes, typical of a hydrocarbon nephropathy that does not occur in female rats and other species. These effects were not considered to be relevant to human health evaluation.

The No Observed Adverse Effect Level (NOAEL) was established as 1000 mg/kg bw/day in this study, based on the absence of observed adverse effects at this dose level.

Mutagenicity

The notified chemical was found to be non-mutagenic in a bacterial reverse mutation test. There was no evidence of clastogenicity to human lymphocytes *in vitro*, either with or without metabolic activation. The results of these tests did not indicate the potential for genotoxicity or mutagenicity.

Classification

As the REET is not a recognised test method under the *Approved Criteria for Classifying Hazardous Substances* [NOHSC: 1008 (2004)], the observed severe eye irritation is not sufficient for classification.

However, the REET was conducted according to Good Laboratory Practices (GLP) and the notified chemical can be reasonably expected to produce severe eye irritation *in vivo*. In its most recent *Manual of Decisions*, the European Chemicals Bureau (ECB) states that a positive result in the REET is sufficient for classification with *R41 Risk of serious damage to eyes* (ECB, 2006).

Therefore, the notified chemical should be considered as though classified as:

R41 - Risk of serious damage to eyes

6.3. Human health risk characterisation

6.3.1. Occupational health and safety

Eye irritation

Based on the available data, the notified chemical may cause severe eye damage and therefore scenarios involving ocular exposure are of greatest concern. The concentration cut-off levels for mixtures containing severe eye irritants are $\geq 10\%$ for classification as R41 and $\geq 5\%$ to <10% for classification as R36 (NOHSC, 2004). These cut-off levels would apply to the notified chemical as imported (>75%) and in the reformulated concentrate product (<20%) but not in the final engine oil product (<5%).

Transport and warehouse workers and plant operators involved in reformulation of the concentrate product would be at a high risk of eye damage, if ocular exposure to the notified chemical occurred during handling of the imported neat form (>75%). Maintenance workers and plant operators would also be at risk of eye damage if ocular exposure to the notified chemical (<20%) occurred during cleaning of reformulation equipment or during handling of the concentrate product prior to blending into the final product.

Workers involved in blending of the final product and maintenance of the blending equipment may also be at risk of eye irritation. However, the risk posed to these workers is considered to be lower given the lower concentration of the notified chemical in these products (<5%).

In all worker activities, the risk of eye damage or irritation would be minimised by the use of recommended eye/face protection at all times.

Skin irritation

While the slight skin irritant nature of the notified chemical was not sufficient for classification under the *Approved Criteria for Classifying Hazardous Substances* [NOHSC:1008 (2004)], the potential for skin irritation cannot be ruled out completely. However, given the low severity of observed effects in the rabbit test, and given the anticipated use of gloves and a long sleeved shirt to minimise exposure to the skin, the risk of skin irritation to dermally exposed workers is considered to be low.

6.3.2. Public health

The risk to the public is low assuming that most consumers do not change their own engine oil. For DIY users changing their own engine oil containing the notified chemical, there is a risk of adverse eye effects resulting from ocular exposure during the draining of used engine oil. However, the risk is not considered unacceptable, given that draining of engine oil is an infrequent event and the concentration of the notified chemical in the engine oil is <5%.

There is also potential for skin irritation in DIY users exposed to splashes, drips and spills of engine oils containing the notified chemical when changing their own engine oil. The risk is thought to be low given the limited adverse effects observed in the skin irritation study, the infrequent handling of engine oil containing the notified chemical and the low concentration of the notified chemical in engine oils products (<5%).

7. ENVIRONMENTAL IMPLICATIONS

7.1. Environmental Exposure & Fate Assessment

7.1.1 Environmental Exposure

RELEASE OF CHEMICAL AT SITE

At the blending facilities, release during the highly automated blending process is not expected. The equipment used will be cleaned with oil and these washings will be used in the formulation of the next batch or another oil blend. In these situations, release would occur through accidental spills which would be recycled or collected for incineration. Any of the notified chemical remaining in the import containers, expected to be less than 1% of the contents, which is equivalent to up to 1000 kg of the notified chemical, would be washed out and recycled or collected for incineration.

RELEASE OF CHEMICAL FROM USE

Some minor, diffuse exposure will result from spills during addition to and removal of oil from vehicles. Around 86% of oil changes take place in specialised automotive service centres, where release of the notified chemical from professional activities should be disposed of appropriately. The remaining 14% will be removed by DIY enthusiasts. The DIY proportion of oil changes could potentially lead to improper disposal of used oil (55%) to soils or sediments and stormwater drains.

RELEASE OF CHEMICAL FROM DISPOSAL

Iso-containers and drums should be sent for cleaning and reconditioning by a licensed company. The resultant washings from such companies are typically passed to an on-site waste treatment facility and any waste sludge is typically incinerated.

Used oil, drained from crankcases at specialised automotive service centres is expected to be disposed of either to oil recycling centres or by incineration.

A survey tracing the fate of used lubricating oil in Australia (Snow, 1997) found that only around 20% of used oil removed by DIY enthusiasts is collected for recycling, approximately 25% is buried or disposed of in landfill, 5% (700 kg of the notified chemical) is disposed of into stormwater drains and the remaining 50% (up to 7 tonnes of the notified chemical) is used in treating fence posts, killing grass and weeds or disposed of in other ways.

7.1.2 Environmental fate

The notified chemical is expected to float and spread following accidental introduction into aquatic environments, with adsorption to sediment the major fate process.

For the details of the environmental fate studies please refer to Appendix C.

7.1.3 Predicted Environmental Concentration (PEC)

A worst case estimated PEC might be calculated if it is assumed that 0.7% of the notified chemical (maximum 700 kg) is released into stormwater drains in a single metropolitan area with a geographical footprint of 500 km^2 and an average annual rainfall of 500 mm, all of which drains to stormwater. With a maximum annual release into this localised stormwater system of 700 kg and the annual volume of water drained from this region estimated to be approximately $250 \times 10^6 \text{ m}^3$, the resultant PEC is approximately 2.8 µg/L. It should be stressed that this result is a worst case scenario, as in reality releases of the chemical would be more diffuse and at lower levels.

7.2. Environmental effects assessment

The results from ecotoxicological investigations conducted on the notified chemical are summarised in the table below. Details of these studies can be found in Appendix C.

Endpoint	Result	Assessment Conclusion	
Fish Toxicity	96-hr LC50 > 0.78 mg/L	Not toxic to Onchorhynchus mykiss up t	
		the limit of water solubility (time-	
		weighted mean concentration.)	
Daphnia Toxicity	48 -hr $E_IC50 > 1$ mg/L (Acute)	At worst toxic to Daphnia magna, tested	
		at the limit of solubility.	
	21 -day $E_IC50 > 0.1 \text{ mg/L (Chronic)}$	Toxic to Daphnia magna.	
Algal Toxicity	$E_r C50 > 0.55 \text{ mg/L}$	Not toxic to algae to the limit of its water	
		solubility.	
Inhibition of Bacterial	IC50 > 1000 mg/L	Not considered harmful to bacterial	
Respiration		respiration.	

The notified chemical is at worst toxic to aquatic compartment.

7.2.1 Predicted No-Effect Concentration

Predicted No-Effect Concentration (PNEC) for the Aquatic Compartment					
21d EC50 (Daphnia Chronic)	> 0.10	mg/L			
Assessment Factor	50.00				
Mitigation Factor	1.00				
PNEC:	> 2.0	μg/L			

7.3. Environmental risk assessment

Risk Assessment	PEC µg/L	PNEC µg/L	Q
Q - River:	2.8	> 2.0	< 1.4
Q - Ocean:	0.28	> 2.0	< 0.14

The calculated Q - River is just above the acceptable threshold (1.0), which could indicate a potential risk to the river compartment. However, the calculated result would be very much a worst-case scenario, and in reality most of the notified chemical would be readily absorbed by the sludge deposit as the result of its high absorption/desorption coefficient (log Koc 5.05). The practical Q-River would thus be predicted to be lower than 1.0, which means the actual risk of the notified chemical to river compartment would be acceptable.

The predicted risk of the notified chemical to ocean environment is considered acceptable based on the Q - ocean.

8. CONCLUSIONS AND REGULATORY OBLIGATIONS

Hazard classification

The REET is not a recognised test method under the *Approved Criteria for Classifying Hazardous Substances* [NOHSC: 1008 (2004)], therefore the severe eye irritation effects observed in the REET are not sufficient for classification. However, the REET is conducted according to Good Laboratory Practices (GLP) and the test results can be reasonably expected to produce severe eye irritation *in vivo*. In addition, the European Chemicals Bureau (ECB) believes that a positive result in the REET is sufficient for classification with R41 Risk of serious damage to eyes (ECB, 2006). Therefore, the notified chemical should be considered as though it is classified as:

R41 - Risk of serious damage to eyes

and

As a comparison only, the classification of the notified chemical using the Globally Harmonised System for the Classification and Labelling of Chemicals (GHS) (United Nations 2003) is presented below. This system is not mandated in Australia and carries no legal status but is presented for information purposes.

	Hazard category	Hazard statement
Environment	Acute & chronic Category 2	Toxic to aquatic life
Human Health	Category 1	Danger: Causes serious eye damage

Human health risk assessment

Under the conditions of the occupational settings described, the notified chemical may pose an unacceptable risk to the health of workers. Appropriate protective equipment and appropriate engineering controls, minimising the potential for exposure, are required for the risk to workers to not be considered unacceptable.

When used in the proposed manner, the notified chemical is not considered to pose an unacceptable risk to public health.

Environmental risk assessment

The chemical is not considered to pose a risk to the environment based on its reported use pattern.

Recommendations

REGULATORY CONTROLS Hazard Classification and Labelling

- The Office of the ASCC, Department of Employment and Workplace Relations (DEWR), should consider the following health hazard classification for the notified chemical:
 - R41 May cause serious eye damage
- Use the following risk phrases for products/mixtures containing the notified chemical:
 - ≥ 10%: R41 May cause serious damage to eyes
 - 5% ≤ conc ≤ 10%: R36 Irritating to eyes

CONTROL MEASURES

Occupational Health and Safety

- Employers should ensure that the following personal protective equipment is used by workers to minimise occupational risk to the notified chemical as introduced and in the reformulated concentrate containing the notified chemical (<20%):
 - Eye/face protection.

Guidance in selection of personal protective equipment can be obtained from Australian, Australian/New Zealand or other approved standards.

- A copy of the MSDS should be easily accessible to employees.
- If products and mixtures containing the notified chemical are classified as hazardous to health in accordance with the *Approved Criteria for Classifying Hazardous Substances* [NOHSC:1008(2004)] workplace practices and control procedures consistent with provisions of State and Territory hazardous substances legislation must be in operation.

Disposal

• The notified chemical should be disposed of by incineration or to landfill.

Emergency procedures

• Spills or accidental release of the notified chemical should be handled by physical containment, collection and subsequent safe disposal

Regulatory Obligations

Secondary Notification

This risk assessment is based on the information available at the time of notification. The Director may call for the reassessment of the chemical under secondary notification provisions based on changes in certain circumstances. Under Section 64 of the *Industrial Chemicals (Notification and Assessment) Act (1989)* the notifier, as well as any other importer or manufacturer of the notified chemical, have post-assessment regulatory obligations to notify NICNAS when any of these circumstances change. These obligations apply even when the notified chemical is listed on the Australian Inventory of Chemical Substances (AICS).

Therefore, the Director of NICNAS must be notified in writing within 28 days by the notifier, other importer or manufacturer:

- (1) Under Section 64(1) of the Act; if
 - the notified chemical is used in products for sale to the public at concentrations $\geq 5\%$.
- (2) Under Section 64(2) of the Act; if
 - the function or use of the chemical has changed from lubricant additive for use in engine oils, or is likely to change significantly;
 - the amount of chemical being introduced has increased from 100 tonnes per annum, or is likely to increase, significantly;
 - if the chemical has begun to be manufactured in Australia;
 - additional information has become available to the person as to an adverse effect of the chemical on occupational health and safety, public health, or the environment.

The Director will then decide whether a reassessment (i.e. a secondary notification and assessment) is required.

No additional secondary notification conditions are stipulated.

Material Safety Data Sheet

The MSDS of the notified chemical provided by the notifier was reviewed by NICNAS. The accuracy of the information on the MSDS remains the responsibility of the applicant.

APPENDIX A: PHYSICAL AND CHEMICAL PROPERTIES

Pour Point < -20°C

Method OECD TG 102 Melting Point/Melting Range.

Remarks Pour point method. Test Facility Safepharm (2007a)

Boiling Point 355 ± 0.5 °C at 100.96 kPa

Method OECD TG 103 Boiling Point.

Remarks Differential scanning calirometry method.

Test Facility Safepharm (2007a)

Density $1010 \text{ kg/m}^3 \text{ at } 20 \pm 0.5^{\circ}\text{C}$

Method OECD TG 109 Density of Liquids and Solids.

Remarks Pycnometer method. Test Facility Safepharm (2007a)

Vapour Pressure 3.4 x 10⁻⁹ kPa at 25°C

Method OECD TG 104 Vapour Pressure.

Remarks Determined by vapour pressure balance between 105-115°C.

Test Facility Safepharm (2007a)

Water Solubility 1.44 x 10⁻³ g/L at 20°C

Method OECD TG 105 Water Solubility.

Remarks Flask Method used at pH 5.9. HPLC was used for concentration analysis. Concentrations

have been corrected for recovery of analysis at the 54.0% level.

Test Facility Safepharm (2007a)

Hydrolysis as a Function of pH t1/2 = 10.9 days at pH 8 and 109 days at pH 7.

Method Estimated by using computer-based estimation software, Episuite version 3.12, copyright

US EPA 2000, August 17th 2004.

Remarks Hydrolysis testing was not undertaken as it would have been conducted at approximately

 7.2×10^{-4} g/L. The water solubility of the notified chemical was determined to be 1.44×10^{-3} g/L. However, the variation (at least 10%) in recovery percentage in water solubility test was too significant to attempt a hydrolysis test since it would have been very difficult to be sure whether the test material was hydrolysing or whether it was merely analytical

variation.

Hydrolysis of the notified chemical was estimated using computer-based estimation

software, Episuite version 3.12, indicating hydrolysis could be an important factor under

alkaline conditions (as expected for an ester).

Test Facility Safepharm (2007a)

Partition Coefficient (n- $\log Pow = 6.58$

octanol/water)

Method OECD TG 117 Partition Coefficient (n-octanol/water).

Remarks HPLC Method used. The dead time was determined by using thiourea. The column

temperature was set as 40° C. The partition coefficient has been estimated at Log Pow = 6.58. High Pow is expected given the structure of the notified chemical and its low water

solubility.

Test Facility Safepharm (2007a)

Adsorption/Desorption

 $\log K_{oc} = 5.05$

- screening test

Method OECD TG 121 Estimation of the Adsorption Coefficient (Koc) on Soil and on Sewage

Sludge using High Performance Liquid Chromatography (HPLC).

Remarks HPLC screening method was used. The dead time was determined by using formamide.

Column temperature was set as 40°C. Testing was carried out at neutral pH due to the absence of any possible dissociating functional groups in the notified chemical. High Koc is appropriately believe and the law years as lability of the postford sharping.

is expected from the high Pow and the low water solubility of the notified chemical.

Test Facility Safepharm (2007a)

Flash Point $118 \pm 2^{\circ}\text{C}$ at 101.325 kPa

Method EC Directive 92/69/EEC A.9 Flash Point.

Remarks Closed cup method. Test Facility Safepharm (2007b)

Autoignition Temperature $270 \pm 5^{\circ}\text{C}$

Method EC Directive 92/69/EEC A.15 Auto-Ignition Temperature (Liquids and Gases).

Test Facility Safepharm (2007b)

Surface Tension $48.9 \text{ mN/m at } 22.0 \pm 0.5^{\circ}\text{C}$

Method OECD TG 115 Surface Tension of Aqueous Solutions.

Remarks Ring tensiometer method. Concentration: 6.56x10⁻⁴ g/l. Potentially surface active without

being an emulsifier.

Test Facility Safepharm (2007a)

APPENDIX B: TOXICOLOGICAL INVESTIGATIONS

B.1. Acute toxicity – oral

TEST SUBSTANCE Notified chemical

METHOD OECD TG 423 Acute Oral Toxicity – Acute Toxic Class Method.

Species/Strain Rat/Sprague-Dawley

Vehicle Test substance administered as supplied Remarks - Method No significant protocol deviations

RESULTS

Number and Sex	Dose	Mortality
of Animals	mg/kg bw	
3 F	2000	0/3
3 F	2000	0/3

LD50 >2500 mg/kg bw

Signs of Toxicity Hunched posture, ataxia and pilo-erection were observed during the

study. Animals appeared normal one and two days after dosing.

Effects in Organs There were no remarkable necropsy findings.

Remarks - Results None

CONCLUSION The notified chemical is of low toxicity via the oral route.

TEST FACILITY Safepharm (2006a)

B.2. Acute toxicity – dermal

TEST SUBSTANCE Notified chemical

METHOD OECD TG 402 Acute Dermal Toxicity – Limit Test.

Species/Strain Rat/Sprague-Dawley

Vehicle Test substance administered as supplied.

Type of dressing Semi-occlusive.

Remarks - Method No significant protocol deviations.

RESULTS

Number and Sex	Dose	Mortality
of Animals	mg/kg bw	
5 M	2000	0/5
5 F	2000	0/5

LD50 >2000 mg/kg bw

Signs of Toxicity - Local None

Signs of Toxicity - Systemic There were no treatment related clinical signs observed.

There were no treatment related effects observed in organs.

Remarks - Results None

CONCLUSION The notified chemical is of low toxicity via the dermal route.

TEST FACILITY Safepharm (2006b)

B.3. Irritation – skin

TEST SUBSTANCE Notified chemical

METHOD

Species/Strain Rabbit/New Zealand White

Number of Animals 3 (1 M, 2 F)

Vehicle Test substance administered as supplied

Observation Period 7 days

Type of Dressing Semi-occlusive.

Remarks - Method The notified chemical was thought to be corrosive given a pH =1.

Therefore before testing *in vivo*, a pre-test (Transcutaneous Electrical Resistance Assay) was conducted on rat skin. This predicted the notified chemical was not corrosive. A stepwise procedure involving 3-min and 1-hr semi-occluded applications of the notified chemical to one rabbit did not produce corrosive effects. Upon seeing no corrosive results after the 1-hr application, a main study involving a 4-hr application was conducted. The three rabbits (1 M, 2 F) used in the main study originated from two different suppliers. This was not considered to have a

significant effect on the outcome of the study.

RESULTS

Lesion Mean Score* Animal No.		Maximum Value	Maximum Duration of Any Effect	Maximum Value at End of Observation Period	
	1 2	3			
Erythema/Eschar	0.33 1.67	7 1.33	2	72 hrs	0 D
Oedema	0 - 0.67	0.33	1	48 hrs	0

^{*}Calculated on the basis of the scores at 24, 48, and 72 hours for EACH animal.

Remarks - Results After semi-occluded application for 4 hrs, very slight erythema was

observed in the male at 24 hrs but was not observed at 48 hrs. Well-defined erythema was observed in the two female rabbits at 24 hrs and persisted in

one to 48 hrs.

Very slight oedema was observed in two female rabbits at 24 hrs and

persisted in one to 48 hrs.

7 days after treatment with the notified chemical, slight desquamation was

observed in the two female animals.

CONCLUSION The notified chemical is slightly irritating to the skin.

TEST FACILITY Safepharm (2007c)

B.4. Irritation – eye

TEST SUBSTANCE Notified chemical

METHOD Rabbit Enucleation Eye Test (REET), conducted according to GLP. The

Rabbit Enucleation Eye Test was conducted in place of the OECD TG 405

Acute Eye Irritation/Corrosion test.

Species/Strain Rabbit
Number of Animals 3

Observation Period 240 minutes

Remarks - Method Five enucleated rabbit eyes were excised and allowed to equilibrate for 30

mins in a Perspex clamp placed within a superfusion chamber. Saline solution was allowed to irrigate the surface of the cornea via a saline drip in the rear of the chamber. The eyes were re-examined after 30 mins of equilibration to ensure that no signs of irritation resulted from the excision.

D = Slight desquamation

Corneal thickness was measured using an ultrasonic pachymeter. Any eyes with corneal swelling greater than 10% of the pre-enucleation measurement or stained with fluorescein were discarded.

After inspection proceeding equilibration, three eyes held by Perspex clamps were removed from the superfusion chamber and placed horizontally into a petri dish. 0.1 ml of the notified chemical (undiluted) was applied evenly to the surface of the cornea of three eyes. After ten seconds the notified chemical was washed off using a minimum 20 ml of saline solution.

After treatment, the eyes were returned to the superfusion chamber as per pre-treatment.

The remaining two eyes remained untreated and served as controls.

The thickness of the cornea was measured using an ultrasonic pachymeter pre-enucleation, post-equilibration and 60, 120, 180 and 240 mins following treatment. For each enucleated eye a measurement was made at the optical centre, and at four other locations at the apex of the cornea. A mean value for corneal thickness was calculated based on these four measurements. The corneal thickness for each eye 60, 120, 180 and 240 mins following treatment was used to calculate the percentage change compared with the corneal thickness pre-treatment.

Corneal cloudiness was assessed pre-enucleation, post-equilibration and approximately 60, 120, 180 and 240 mins following treatment. Examination of the eye was assessed using a slit-lamp biomicroscope.

The uptake of fluorescein by the corneal epithelium was assessed preenucleation, post-equilibration and approximately 240 mins following treatment using a cobalt blue filter of the split-lamp biomicroscope after application of Fluorescein Sodium drops.

RESULTS

Remarks - Results

Corneal cloudiness was observed in all test eyes during the study. Cloudiness persisted at the same level at all observation periods.

Sloughing was observed in all test eyes from 120 mins to 240 mins following treatment.

Fluorescein uptake was observed in all test eyes at 240 mins following treatment

Corneal swelling was observed in all test eyes with a maximum value of 113.9% of the thickness of the cornea post-equilibration.

The results of the REET indicated the potential for severe eye irritation. Accordingly, the *in vivo* eye irritation test was considered unnecessary

and was not performed in the interests of animal welfare.

CONCLUSION The notified chemical is severely irritating to the eye.

TEST FACILITY Safepharm (2006d)

B.5. Skin sensitisation – mouse local lymph node assay (LLNA)

TEST SUBSTANCE Notified chemical in acetone/olive oil (4:1)

METHOD OECD TG 429 Skin sensitisation: Local Lymph Node Assay.

Species/Strain Mouse/CBA/Ca CruBR Vehicle Acetone/olive oil (4:1)

Remarks - Method No significant protocol deviations.

Test concentrations were chosen on the basis of a preliminary screening test. A-Hexylcinnamaldehyde (Tech. 85%) was used as a positive control to test the sensitivity of the strain of mouse.

NICNAS 21 May 2008

RESULTS

Concentration	Proliferative response	Stimulation Index
(% w/w)	(DPM/lymph node)	(Test/Control Ratio)
Test Substance	· · · · · · · · · · · · · · · · · · ·	
0 (vehicle control)	2241.76	
5	2236.38	1.00
10	2872.57	1.28
25	5919.70	2.64
Positive Control		
5		3.53
10		5.39
25		8.23

Remarks - Results No signs of systemic toxicity were noted.

> At all concentrations the mean DPM was not significantly different $(p\ge0.05)$ to the vehicle control group. A stimulation index of <3 was

recorded for all concentrations tested.

There was no evidence of induction of a lymphocyte proliferative CONCLUSION

response indicative of skin sensitisation to the notified chemical.

TEST FACILITY Safepharm (2006e)

B.6. Repeat dose toxicity

TEST SUBSTANCE Notified chemical

МЕТНО OECD TG 407 Repeated Dose 28-day Oral Toxicity Study in Rodents.

EC Directive 96/54/EC B.7 Repeated Dose (28 Days) Toxicity (Oral).

Species/Strain Rat/Sprague-Dawley Crl:CD (SD) IGS BR

Route of Administration Oral - gavage

Exposure Information Total exposure days: 28 days Dose regimen: 7 days per week

Post-exposure observation period: None

Vehicle Polyethylene glycol 400

Remarks - Method No significant protocol deviations.

Dosages were determined by a preliminary 14-day range finding study, in which no mortality or serious toxicity were observed up to 1000 mg/kg

bw/day. No urinalysis was performed.

RESULTS

Group	Number and Sex	Dose	Mortality
	of Animals	mg/kg bw/day	
control	5 per sex	0	0
low dose	5 per sex	15	0
mid dose	5 per sex	150	0
high dose	5 per sex	1000	0

Mortality and Time to Death

No mortalities were observed during the study.

Clinical Observations

An increase in salivation was observed up to 10 mins after dosing in all animals dosed at 1000 mg/kg/day and occasionally persisted for up to 1 hour. Occasional staining around the eyes, mouth and fur were also observed at this dose level.

Salivation was also observed in males treated with 150 mg/kg/day up to 10 mins after dosing.

No other significant, treatment-related clinical signs were observed.

Laboratory Findings - Clinical Chemistry, Haematology

There were no treatment-related changes in the haematological parameters assessed.

Females treated at all dose levels showed a statistically significant increase in mean cell haemoglobin (p<0.05). However, the significance was minimal in each case (p<0.05) and was considered one lower than the expected control value and therefore the increases were considered unrelated to treatment.

Effects in Organs

All animals dosed at 1000 mg/kg bw/day showed increases in liver weights and males in that group also showed increases in kidney weight (p<0.05). No treatment-related effects were detected in animals treated in the mid and low dose groups. No macroscopic abnormalities were observed at necropsy.

Histopathology

Centrilobular hepatocyte enlargement was observed in all animals dosed at 1000 mg/kg/day.

Globular accumulations of eosinophilic material were observed in the tubular epithelium of three males dosed at 1000 mg/kg/day and in three males dosed at 150 mg/kg/day.

Remarks - Results

The centrilobular hepatocyte enlargement and increased liver and kidney weights observed in animals dose at 1000 mg/kg bw/day may be considered to be adaptive metabolic responses to treatment with a xenobiotic. The eosinophilic globular accumulations observed in three males at 1000 and 150 mg/kg bw/day were considered to be characteristic of a typical hydrocarbon nephropathy peculiar to the male rat and absent in female rats and other species. Therefore these effects would not be considered relevant to human health.

CONCLUSION

The No Observed Adverse Effect Level (NOAEL) was established as 1000 mg/kg bw/day in this study, based on the absence of any treatment-related adverse health effects.

The No Observed Effect Level (NOEL) was considered to be 150 mg/kg/day for females and 15 mg/kg/day for males.

TEST FACILITY Safepharm (2007a)

B.7. Genotoxicity – bacteria

Notified chemical TEST SUBSTANCE

METHOD OECD TG 471 Bacterial Reverse Mutation Test.

Species/Strain S. typhimurium: TA1535, TA1537, TA98, TA100

E. coli: WP2uvrA-

Phenobarbitone/β-napthoflavone Metabolic Activation System induced liver microsome

preparations (S9 mix)

 $50-5000 \mu g/plate$ a) With metabolic activation: Concentration Range in Main Test b) Without metabolic activation: 50-5000 μg/plate

Vehicle **DMSO**

Remarks - Method No significant protocol deviations

RESULTS

Metabolic	Test Substance Concentration (µg/plate) Resulting in:				
Activation	Cytotoxicity in	Cytotoxicity in	Precipitation	Genotoxic Effect	
	Preliminary Test	Main Test			
Absent					
Test 1	>5000	>5000	5000	negative	
Test 2	>5000	>5000	5000	negative	
Present					
Test 1	>5000	>5000	5000	negative	
Test 2	>5000	>5000	5000	negative	

Remarks - Results

The notified chemical was not mutagenic to bacteria under the conditions of the test. **CONCLUSION**

TEST FACILITY Safepharm (2006f)

B.8. Genotoxicity – in vitro

TEST SUBSTANCE Notified chemical

METHOD OECD TG 473 In vitro Mammalian Chromosome Aberration Test.

Species/Strain Human Cell Type/Cell Line Lymphocyte

Metabolic Activation System S9 fraction from phenobarbitone/β-naphthoflavone-induced rat liver.

Vehicle **DMSO**

Remarks - Method No significant protocol deviations.

Metabolic Activation	Test Substance Concentration (μg/mL)	Exposure Period	Harvest Time
Absent			
Test 1	0*, 14.61, 29.22*, 58.44*, 87.66*, 116.88, 175.32	4 hrs	20 hrs
Test 2	0*, 7.31, 14.61*, 29.22*, 58.44*, 87.66, 116.88	24 hrs	24 hrs
Present			
Test 1	0*, 58.44, 116.88, 233.75*, 350.63*, 467.5*, 701.25	4 hrs	20 hrs
Test 2	0*, 14.61, 29.22*, 58.44*, 116.88*, 233.75, 467.5	4 hrs	20 hrs

^{*}Cultures selected for metaphase analysis.

RESULTS

Metabolic	Test Substance Concentration (µg/mL) Resulting in:				
Activation	Cytotoxicity in Preliminary Test	Cytotoxicity in Main Test	Precipitation	Genotoxic Effect	
Absent					
Test 1	>58.44	>87.66	29.22	Negative	
Test 2	>58.44	>58.44	233.75	Negative	
Present				-	
Test 1	>233.75	>467.5	58.44	Negative	
Test 2		>116.88	58.44	Negative	

Remarks - Results

In Test 2 with metabolic activation the notified chemical induced small increases in the number of cells with chromosome aberrations. The increases were not considered dose-related. No statistically significant increases in aberrations were noted in the other three test groups.

The notified chemical did not induce a statistically significant increase in the numbers of polyploid cells at any dose level in either of the exposure

All vehicle (solvent) controls had frequencies of cells with aberrations within the range expected for normal human lymphocytes.

All the positive control materials induced statistically significant increases in the frequency of cells with aberrations, indicating the satisfactory performance of the test and of the activity of the metabolising system.

CONCLUSION The notified chemical was not clastogenic to human lymphocytes treated

in vitro under the conditions of the test.

TEST FACILITY Safepharm (2007b)

APPENDIX C: ENVIRONMENTAL FATE AND ECOTOXICOLOGICAL INVESTIGATIONS

C.1. Environmental Fate

C.1.1. Ready biodegradability

TEST SUBSTANCE Notified Chemical

METHOD OECD TG 301 B Ready Biodegradability: CO₂ Evolution Test.

Inoculum Activated Sewage Sludge Micro-organisms

Exposure Period 28 days Auxiliary Solvent None

Analytical Monitoring DOC was detected using Inorganic Carbon analysis using a Shimadzu

TOC-5050A TOC analyser.

Remarks - Method Activated Sewage Sludge was exposed to 15.8 mg/L (10 mg carbon/L)

test material dispersion for 28 days at 21°C in darkness for ready

biodegradability test.

Control tests, standard tests using standard material sodium benzoate, and toxicity test were conducted in duplicate except that the toxicity test was

one vessel only.

Results

Test	substance	Sodiu	ım Benzoate
Day	% Degradation	Day	% Degradation
6	23	6	60
14	35	14	67
22	40	22	64
28	52	28	68

Remarks - Results

The total CO_2 evolution in the control on Day 28 was 28.86 mg/L, within the limitation of 40 mg/L; the IC/TC ratio of the test material suspension in the mineral medium at the start of the test was 0 according to the test, within the limitation of 5%; the difference between the values for CO_2 production at the end of the test for the replicate test vessels was <20%. All validation criteria given in OECD Test Guideline were satisfied and the study is considered valid.

The notified chemical attained 52% degradation after 28 days, which is just below the 60% threshold and therefore cannot be considered to be readily biodegradable under the strict terms and conditions of the Test Guideline.

Guidel

The notified chemical cannot be considered readily biodegradable.

Test Facility Safepharm (2007c)

C.1.2. Bioaccumulation

Remarks

Conclusion

The bioaccumulation potential of the notified chemical was not tested.

The notified chemical is expected to have a high octanol/water coefficient of 6.58 and was shown to be not readily biodegradable. Significant decline of concentration in the test medium was observed during ecotoxicity investigations and was considered the result of absorption of the notified chemical in the organisms. Based on these data, it can be reasonably assumed that it would have potential to accumulate in biological tissues in case of environmental exposure.

C.1.3. Inherent biodegradability

Remarks The inherent biodegradability of the notified chemical was not tested.

However, it is expected to be inherently biodegradable based on the 52%

degradation it attained in the readily biodegradability test.

C.2. Ecotoxicological Investigations

C.2.1. Acute toxicity to fish

Species

Test Substance Notified Chemical

Method OECD TG 203 Fish, Acute Toxicity Test -in juvenile rainbow trout

(Onchorhynchus mykiss) with exposure period of 96 hours.

EC Directive 92/69/EEC C.1 Acute Toxicity for Fish - in juvenile rainbow

trout (Onchorhynchus mykiss) with exposure period of 96 hours.

juvenile rainbow trout (Onchorhynchus mykiss)

Exposure Period 96 hours Auxiliary Solvent None

Water Hardness 140 mg CaCO₃/L (pH 8)

Analytical Monitoring GC/MS was used for determination of the concentration of the notified

chemical.

Remarks – Method Two separate pilot tests were realized with rainbow trout (10 for each

test) at the saturated concentration 1.5 mg/L of the notified chemical. A semi-static test regime was employed in the test involving a daily renewal

of the test medium.

A marked decline in concentration of the notified chemical was noted during the toxicity test, which was considered predominantly the result of bioaccumulation due to the test material's high Log Pow (6.58), or the result of adherence to vessel walls. Slight hydrolysis is unlikely, as the

notified chemical is considered stable at pH 8.

Results

Concentra	ition mg/L	Number of Fish	Mortality				
Nominal	Actual		1 h	24 h	48 h	72 h	96 h
1.5	0.78*	10	0	0	0	0	0

^{*} Time-weighted mean test concentration calculated considering the decline of concentration during the test.

LC50 > 0.78 mg/L at 96 hours. NOEC 0.78 mg/L at 96 hours.

Remarks – Results The decline in concentration may indicate potential for bioaccumulation

of the test material.

No sub-lethal effects were observed at 1.5 mg/L, the solubility of the

notified chemical in water.

Based on the time-weighted mean concentration the toxicity test of the notified chemical to rainbow trout gave a 96-Hour LC50 value of greater

than 0.78 mg/L, and a NOEC concentration of 0.78 mg/L.

Conclusion The notified chemical is not toxic to Onchorhynchus mykiss up to the

level of its water solubility.

Test Facility Safepharm (2007d)

C.2.2. Acute toxicity to aquatic invertebrates

Test Substance Notified Chemical

Method OECD TG 202 Daphnia sp. Acute Immobilisation Test and Reproduction

Test. Semi-static - every 24 hours.

EC Directive 92/69/EEC C.2 Acute Toxicity for Daphnia.

Species Daphnia magna
Exposure Period 48 hours
Auxiliary Solvent None

Water Hardness 250 mg CaCO₃/L (pH 8)

Analytical Monitoring GC/MS used for analysis for the concentration of notified chemical.

Remarks - Method Four replicate tests were conducted in a saturated solution of the notified

chemical.

Decline in concentration was less significant than detected in Toxicity

Test to Rainbow Trout.

Results

Concentrat	ion mg/L	Number of D. magna Number Imn		nmobilised
Nominal	Actual	y G	24 h	48 h
1.0	1.0	20 (4×5)	0	3
LC50		> 1.0 mg/L at 48 hours		
NOEC (or LOF	EC)	< 1.0 mg/L at 48 hours		
Remarks - Resi	ults	3 out of 20 <i>Daphnia magna</i> was recof tests, when 250 mL test medium <i>magna</i> was observed in an addition volume of test medium employed was a bit dubious.	was used. No imnonal confirmative t	nobilized <i>Daphnia</i> test, however, the
Conclusion		The notified chemical is at worst tox	ic to Daphnia mag	na.
Test Facility		Safepharm (2007e)		

C.2.3. Chronic toxicity to aquatic invertebrates

Test Substance Notified Chemical

Method OECD TG 211 Daphnia sp. Daphnia Magna, Reproduction test.

Species Daphnia magna

Exposure Period 21 d Auxiliary Solvent None

Water Hardness Total hardness 140 mg/L CaCO₃

Analytical Monitoring GC/MS

Remarks - Method Female young *Daphnia magna* aged less than 24 hours (10 replicates of a

single Daphnid per group) were exposed to test substances for 21 days at the following concentrations: 0.0032, 0.010, 0.032, 0.10 and 0.32 mg/L, with the test medium renewed daily. The test solution prepared from a saturation solution of 1.0 mg/L notified chemical. Solutions were

renewed daily.

As observed in the acute studies (above), a marked decline in concentration of the notified chemical was noted during the toxicity test. Thus the time-weighted mean test concentration was calculated from the initial test concentration and used in the toxicity characterization.

Nominal loading tested, cumulative mean number of offspring released, number of offspring released per female daphnid (*Daphnia magna*), survival of parental daphnids.

Test day	Control	0.0032 mg/L	0.010 mg/L	0.032 mg/L	0.10 mg/L	0.32 mg/L
		Total Numb	er of Offspring Ro	eleased by Survivo	ed Daphnia	
21	834	763	630	574	687	600
	Total Number of Offspring Released per Survived Daphnid					
21	83	85	79	72	69	67
	Number of Adult Daphnids Immobilized					
21	0	1	2	2	0	1
Percent Survival						
21	100	90	80	80	100	90

21-Day EC50	> 0.10 mg/L based on the time-weighted mean measured test concentration (Nominal
(Immobilization)	concentration $> 0.32 \text{ mg/L}$).
21-Day EC50	> 0.10 mg/L based on the time-weighted mean measured test concentration (Nominal
(Reproduction)	concentration $> 0.32 \text{ mg/L}$).
Lowest-Observed -	0.018 mg/L based on the time-weighted mean measured test concentration (Nominal
Effect loading Rate	concentration 0.032 mg/L).
(LOELR) (mg/L)	
No-Observed -	0.007 mg/L based on the time-weighted mean measured test concentration (Nominal
Effect loading Rate	concentration 0.01 mg/L), determined based on the number offspring produced by
(NOELR) (mg/L)	survived daphnias.

Remarks - Results

The mortalities of the adult *Daphnia* at the end of the test with the worst case was 2 out of 10 at concentrations of 0.010 and 0.032 mg/L, which did not exceed 20% and the test could be considered valid.

The mean number of live offspring produced per female *Daphnia* surviving was above 60 and could be considered valid.

The report indicates after 21 days there were no statistically significant difference between the control and the 0.0032, 0.010 and 0.032 mg/L test group in terms of the numbers of live young produced per adult. The 0.10 and 0.32 mg/L test groups showed a statistically significant difference from the control and the remaining test groups after 21 days in terms of producing fewer numbers of live young per adult.

The EC50 (reproduction) value based on nominal test concentration was estimated to be greater than 0.32 mg/L. The data was considered unsuitable for statistical analysis even though a 20% reduction on the number of live young was observed at the test concentrations of 0.10 and 0.32mg/L.

Corrected chi-squared statistical tests were performed to show whether the observed parental mortalities in the 0.0032, 0.010, 0.032 and 0.32 mg/L test groups were statistically different when compared to the control group. The results showed that the observed mortalities were not significant for all test groups.

After 21 days the length of each surviving adult was determined. The results showed that there were no statistically significant differences ($P \ge 0.05$) between the control and all the test groups in terms of length of the daphnids after 21 days exposure to the test material.

As the report did calculation including the number of offspring released from the dead adult and unhatched eggs, DEWHA repeated the statistical analysis using TOXCAL5.XLS, and found as shown in the table above that the LOEC and NOEC based on offspring released by survived daphnia are lower than those reported from the notifier.

Conclusion The notifie

FULL PUBLIC REPORT: STD/1287

The notified chemical is toxic to *Daphnia magna* in water.

Test Facility Safepharm (2007f)

C.2.4. Algal growth inhibition test

Test Substance Notified Chemical

Method OECD TG 201, Freshwater Alga and Cyanobacteria, Growth Inhibition

Test.

Species Desmodesmus subspicatus

Exposure Period 72 hours

Concentration Range Nominal: 0.84 mg/L

Actual: 0.361-0.84 mg/L

Auxiliary Solvent None

Water Hardness 15 mg CaCO₃/L

Analytical Monitoring GC/MS for analysis of the notified chemical concentration.

Remarks - Method Desmodesmus subspicatus with density of 4×10³ cells per ml was

exposed for 72 hours to the test material of an initial concentration of 0.84 mg/L at pH 7.2 at beginning and 7.7 at the end of the test. The test solution was a saturation solution of 0.84 mg/L notified chemical. The

test was taken in six replicates.

Results

Biomass		Growth		
E_bC_{50}	NOE_bC	E_rC_{50}	$NOE_{r}C$	
mg/L at 72 h	mg/L	mg/L at 72 h	mg/L	
> 0.55	0.55	> 0.55	0.55	

Remarks - Results

The cell concentration of the control cultures increased by a factor of 45 after 72 hours, which was in line with the OECD Guideline that states the enhancement must be at least by a factor of 16 after 72 hours.

The mean variation coefficient for the control section-section daily growth rates was 61% and hence exceeded the recommended maximum of 35% given in the OECD Guideline. This was considered as the result of the abnormally high concentration of the algal suspension added to the test medium. Given that the validation criteria relating to increase in control cell density and coefficient of variation of the control average growth rates for the test period were satisfied the study is considered valid

A marked decline in the concentration of the notified chemical in the test period was detected and was considered the result of hydrolysis and absorption of the notified chemical to the organism during the test. Geometric mean measured concentrations of the samples were thus calculated and used for toxicity characterization of the notified chemical to alga in water.

No statistically significant inhibition to alga in terms of growth rate, yield or biomass integral was observed up to the limit of its water solubility.

The notified chemical is considered not toxic to algae to the limit of its

water solubility.

Test Facility Safepharm (2007g)

Conclusion

C.2.5. Inhibition of microbial activity

Test Substance Notified Chemical

Method OECD TG 209 Activated Sludge, Respiration Inhibition Test,

EC Directive 87/302/EEC C.11 Biodegradation: Activated Sludge Respiration Inhibition Test, US EPA Draft Ecological Effects Test

Guidelines OPPTS 850.6800.

Inoculum Activated Sewage Sludge

Exposure Period 3 hours

Concentration Range Nominal: 1000 mg/L

Actual: 1000 mg/L

Remarks – Method Three replicate tests were conducted by exposing activated sewage sludge

to 1000 mg/L dispersion of the notified chemical. The test water had a

total hardness of 100 mg/L as $CaCO_3$.

Variation in respiration rates of control tests was 3% after both 30 minutes and 3 hours contact. EC_{50} (3- hour contact time) for reference substance 3,5-dichlorophenol was 5.4-9.0 mg/L. The study is thus

considered valid according to Test Guideline.

Results No significant effect was observed at the dispersion of notified chemical

at concentration of 1000 mg/L highly in excess of the solubility of the

chemical.

 $\begin{array}{cc} {\rm IC50} & > 1000 \; {\rm mg/L} \\ {\rm NOEC} & 1000 \; {\rm mg/L} \end{array}$

Remarks - Results

Conclusion The notified chemical is not considered harmful to microbial respiration.

Test Facility Safepharm (2007h)

BIBLIOGRAPHY

- ECB (European Chemicals Bureau) (2006) Manual of Decisions for Implementation of the Sixth and Seventh Amendments to Directive 67/548/EEC on Dangerous Substances (Directives 79/831/EEC and 92/32/EEC) (Updated Version July 2006), Institute for Health and Consumer Protection.
- FORS (Federal Office of Road Safety) (1998) Australian Code for the Transport of Dangerous Goods by Road and Rail (ADG code), 6th Edition, Canberra, Australian Government Publishing Service
- NOHSC (1994) National Code of Practice for the Labelling of Workplace Substances [NOHSC:2012(1994)]. National Occupational Health and Safety Commission, Canberra, Australian Government Publishing Service.
- NOHSC (2003) National Code of Practice for the Preparation of Material Safety Data Sheets, 2nd edition [NOHSC:2011(2003)]. National Occupational Health and Safety Commission, Canberra, Australian Government Publishing Service.
- NOHSC (2004) Approved Criteria for Classifying Hazardous Substances, 3rd edition [NOHSC:1008(2004)]. National Occupational Health and Safety Commission, Canberra, AusInfo.
- Safepharm (2006a). OS216489: Acute Oral Toxicity in the Rat Acute Toxic Class Method (SPL Project Number: 0525/0726), Safepharm Laboratories Limited, Derbyshire, UK. Sponsor: The Lubrizol Corporation, Wickliffe, USA (Unpublished report provided by notifier).
- Safepharm (2006b). OS216489: Acute Dermal Toxicity (Limit Test) in the Rat (SPL Project Number: 0525/0727), Safepharm Laboratories Limited, Derbyshire, UK. Sponsor: The Lubrizol Corporation, Wickliffe, USA (Unpublished report by notifier).
- Safepharm (2006c). OS216489: Acute Dermal Irritation in the Rabbit (SPL Project Number: 0525/0728), Safepharm Laboratories Limited, Derbyshire, UK. Sponsor: The Lubrizol Corporation, Wickliffe, USA (Unpublished report by notifier).
- Safepharm (2006d). OS216489: Evaluation of Ocular Irritancy Potential (SPL Project Number: 0525/0729), Safepharm Laboratories Limited, Derbyshire, UK. Sponsor: The Lubrizol Corporation, Wickliffe, USA (Unpublished report by notifier).
- Safepharm (2006e). OS216489: Local Lymph Node Assay in the Mouse (SPL Project Number: 0525/0730), Safepharm Laboratories Limited, Derbyshire, UK. Sponsor: The Lubrizol Corporation, Wickliffe, USA (Unpublished report by notifier).
- Safepharm (2006f). OS216489: Reverse Mutation Assay "Ames test" (SPL Project Number: 0525/0733), Safepharm Laboratories Limited, Derbyshire, UK. Sponsor: The Lubrizol Corporation, Wickliffe, USA (Unpublished report by notifier).
- Safepharm (2007a). OS216489: Twenty-eight Day Repeated Dose Oral (Gavage) Toxicity in Rats (SPL Project Number: 0525/0731), Safepharm Laboratories Limited, Derby, UK. Sponsor: The Lubrizol Corporation, Wickliffe, USA (Unpublished report by notifier).
- Safepharm (2007b). OS216489: Chromosome Aberration Test in Human Lymphocytes In Vitro (SPL Project Number: 0525/0732), Safepharm Laboratories Limited, Derbyshire, UK. Sponsor: The Lubrizol Corporation, Wickliffe, USA (Unpublished report by notifier).
- Safepharm (2007c). OS216489: Assessment of Ready Biodegradability; CO₂ Evolution Test, SPL Project Number: 0525/0738), Safepharm Laboratories Limited, Derbyshire, UK. Sponsor: The Lubrizol Corporation, Wickliffe, USA (Unpublished report by notifier).
- Safepharm (2007d). OS216489: Acute Toxicity to Rainbow Trout (*Oncorhynchus mykiss*), SPL Project Number: 0525/0734), Safepharm Laboratories Limited, Derbyshire, UK. Sponsor: The Lubrizol Corporation, Wickliffe, USA (Unpublished report by notifier).
- Safepharm (2007e). OS216489: Acute Toxicity to *Daphnia Magna*, SPL Project Number: 0525/0735), Safepharm Laboratories Limited, Derbyshire, UK. Sponsor: The Lubrizol Corporation, Wickliffe, USA (Unpublished report by notifier).
- Safepharm (2007f). OS216489: *Daphnia Magna* Reproduction Test, SPL Project Number: 0525/0737), Safepharm Laboratories Limited, Derbyshire, UK. Sponsor: The Lubrizol Corporation, Wickliffe, USA (Unpublished report by notifier).

Safepharm (2007g). OS216489: Algal Growth Inhibition Test, SPL Project Number: 0525/0736), Safepharm Laboratories Limited, Derbyshire, UK. Sponsor: The Lubrizol Corporation, Wickliffe, USA (Unpublished report by notifier).

- Safepharm (2007h). OS216489: Assessment of the Inhibitory Effect on the Respiration of Active Sewage Sludge, SPL Project Number: 0525/0739), Safepharm Laboratories Limited, Derbyshire, UK. Sponsor: The Lubrizol Corporation, Wickliffe, USA (Unpublished report by notifier).
- SAS/STAT Proprietary Software Release 8.02 (1999 -2001), SAS Institute Inc, Cary, NC, USA.
- Snow R (1997) Used Oil Management. Paper presented at the Used Oil Management Conference, Brisbane, August 1997, Queensland Dept. Environment.
- United Nations (2003) Globally Harmonised System of Classification and Labelling of Chemicals (GHS). United Nations Economic Commission for Europe (UN/ECE), New York and Geneva.