

**NATIONAL INDUSTRIAL CHEMICALS NOTIFICATION AND ASSESSMENT SCHEME
(NICNAS)**

FULL PUBLIC REPORT

Polymer HPA98

This Assessment has been compiled in accordance with the provisions of the *Industrial Chemicals (Notification and Assessment) Act 1989* (the Act) and Regulations. The National Industrial Chemicals Notification and Assessment Scheme (NICNAS) is administered by the Australian Government Department of Health, and conducts the risk assessment for public health and occupational health and safety. The assessment of environmental risk is conducted by the Australian Government Department of the Environment.

For the purposes of subsection 78(1) of the Act, this Public Report may be inspected at our NICNAS office by appointment only at Level 7, 260 Elizabeth Street, Surry Hills NSW 2010.

This Public Report is also available for viewing and downloading from the NICNAS website or available on request, free of charge, by contacting NICNAS. For requests and enquiries please contact the NICNAS Administration Coordinator at:

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**Director
NICNAS**

June 2014

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FULL PUBLIC REPORT

This assessment report is for an extension of original assessment certificate for Polymer HPA98. Based on the submission of new information by the extension notifier, some sections of the original assessment report for Lubrizol International, Inc. have been modified. These modifications have been made under the heading '*Extension Application*' in the respective sections.

SUMMARY

The following details will be published in the NICNAS *Chemical Gazette*:

ASSESSMENT REFERENCE	APPLICANT(S)	CHEMICAL OR TRADE NAME	HAZARDOUS SUBSTANCE	INTRODUCTION VOLUME	USE
EX/188 (PLC/980)	The Trustee for Impression Technology Unit Trust	Polymer HPA98	No	≤ 60 tonnes per annum	Component of ink formulations

CONCLUSIONS AND REGULATORY OBLIGATIONS

Human Health Risk Assessment

Based on the assumed low hazard and the assessed use pattern, the notified polymer is not considered to pose an unreasonable risk to the health of workers and the public.

Environmental Risk Assessment

Based on the assumed low hazard and the assessed use pattern, the notified polymer is not considered to pose an unreasonable risk to the environment.

Risk Assessment Relating To Extension Applicant

The use and environmental fate described in the extension application are not expected to impact the outcomes of the original human health and environment risk assessment and recommendations.

Health and Safety Recommendations

- No specific engineering controls, work practices or personal protective equipment are required for the safe use of the notified polymer itself. However, these should be selected on the basis of all ingredients in the formulation.

Guidance in selection of personal protective equipment can be obtained from Australian, Australian/New Zealand or other approved standards.

- A copy of the (M)SDS should be easily accessible to employees.
- If products and mixtures containing the notified polymer are classified as hazardous to health in accordance with the *Globally Harmonised System for the Classification and Labelling of Chemicals (GHS)*, as adopted for industrial chemicals in Australia, workplace practices and control procedures consistent with provisions of State and Territory hazardous substances legislation should be in operation.

Environmental Recommendations

No specific control measures are required to minimise release of the notified polymer to the environment.

Disposal

- The notified polymer should be disposed to landfill.

Storage

- The following precautions should be taken by workers regarding storage of the notified polymer:
 - Store in a segregated and approved area.
 - Store in original container protected from direct sunlight in a dry, cool and well-ventilated area, away from incompatible materials (oxidising substances, strong acids, strong bases).

Emergency Procedures

- Prevent from entering into soil, ditches, sewers, waterways and/or groundwater.
- Spills and/or accidental release of the notified polymer should be handled by physical containment, collection and subsequent safe disposal.

Secondary Notification

This risk assessment is based on the information available at the time of notification. The Director may call for the reassessment of the polymer under secondary notification provisions based on changes in certain circumstances. Under Section 64 of the *Industrial Chemicals (Notification and Assessment) Act (1989)* the notifier, as well as any other importer or manufacturer of the notified polymer, have post-assessment regulatory obligations to notify NICNAS when any of these circumstances change. These obligations apply even when the notified polymer is listed on the Australian Inventory of Chemical Substances (AICS).

Therefore, the Director of NICNAS must be notified in writing within 28 days by the notifier, other importer or manufacturer:

- (1) Under Section 64(1) of the Act; if
 - the notified polymer is introduced in a chemical form that does not meet the PLC criteria.or
- (2) Under Section 64(2) of the Act; if
 - the function or use of the notified polymer has changed from a component of ink formulations, or is likely to change significantly;
 - the amount of notified polymer being introduced has increased, or is likely to increase, significantly;
 - the notified polymer has begun to be manufactured in Australia;
 - additional information has become available to the person as to an adverse effect of the notified polymer on occupational health and safety, public health, or the environment.

The Director will then decide whether a reassessment (i.e. a secondary notification and assessment) is required.

Material Safety Data Sheet

The MSDS of the product containing the notified polymer was provided by the applicant. The accuracy of the information on the MSDS remains the responsibility of the applicant.

Extension Application

The extension applicant has provided an MSDS of a product containing the notified polymer which was reviewed by NICNAS. The accuracy of the information on the MSDS remains the responsibility of the extension applicant.

ASSESSMENT DETAILS**1. APPLICANT AND NOTIFICATION DETAILS****Applicants****Holder of Original Assessment Certificate (PLC/980)**

Lubrizol International, Inc. (ABN: 52 073 495 603)
28 River Street
SILVERWATER NSW 2128

Applicant for Extension of the Original Assessment Certificate

The Trustee for Impression Technology Unit Trust (ABN: 75 976 697 336)
23/9 Powells Road
BROOKVALE NSW 2100

Notification Category

Polymer of Low concern

Exempt Information (Section 75 of the Act)

Data items and details claimed exempt from publication: chemical name, CAS number, molecular and structural formulae, molecular weight, polymer constituents, residual monomers/impurities, use details and manufacture/import volume.

2. IDENTITY OF POLYMER**Marketing Name(s)**

HPA98

Other Name(s)**Molecular Weight**

Number Average Molecular Weight (Mn) is > 1,000 Da

Reactive Functional Groups

The notified polymer contains only low concern functional groups.

3. PLC CRITERIA JUSTIFICATION*Criterion*

Molecular Weight Requirements
Functional Group Equivalent Weight (FGEW) Requirements
Low Charge Density
Approved Elements Only
Stable Under Normal Conditions of Use
Not Water Absorbing
Not a Hazard Substance or Dangerous Good

Criterion met

Yes
Yes
Yes
Yes
Yes
Yes
Yes

The notified polymer meets the PLC criteria.

4. PHYSICAL AND CHEMICAL PROPERTIES

Appearance at 20 °C and 101.3 kPa	Viscous, clear yellow substance
Melting Point/Glass Transition Temp	>250 °C
Density	1000 kg/m ³ at 20°C
Water Solubility	The lower limit of aqueous solubility is established as ≥ 670 g/L and was measured by loss on drying of a 40% w/w solution.
Dissociation Constant	Not determined. The notified polymer is a salt and is expected to be ionised in the environmental pH range (4-9).
Particle Size	Waterborne polyurethane
Reactivity	Stable under normal environmental conditions. The notified polymer contains hydrolysable functionality. However, it is expected to be hydrolytically stable under environmental conditions.
Degradation Products	None under normal conditions of use

5. INTRODUCTION AND USE INFORMATION

Maximum Introduction Volume of Notified Chemical (100%) Over First 5 Years of Introduction

Original Notifier

Year	1	2	3	4	5
Tonnes	< 2000	< 2000	< 2000	< 2000	< 2000

Extension Applicant

Year	1	2	3	4	5
Tonnes	10-15	20-25	25-30	35-40	50-60

Use

The notified polymer will not be manufactured in Australia. The notified polymer will most likely be imported into Australia at a concentration of 2.5-5% in ink products. Products containing the notified polymer may also be reformulated at a concentration of 2.5-5% in Australia. The applications are primarily commercial but consumer applications are possible.

6. HUMAN HEALTH RISK ASSESSMENT

No toxicological data were submitted. The notified polymer meets the PLC criteria and is therefore assumed to be of low hazard. The risk of the notified polymer to occupational and public health is not considered to be unreasonable given the assumed low hazard and the assessed use pattern.

7. ENVIRONMENTAL RISK ASSESSMENT

No ecotoxicological data were submitted. Anionic polymers are known to be moderately toxic to algae. The mode of toxic action is over-chelation of nutrient elements needed by algae for growth. The highest toxicity is when the acid is on alternating carbons of the polymer backbone, which does not apply to the notified polymer. In addition, the toxicity to algae is likely to be further reduced due to the presence of calcium ions in environmental waters, which will bind to the functional groups.

Some of the imported notified polymer may be reformulated in Australia. During reformulation, it is estimated that up to 2% of the annual import volume of the notified polymer may be lost to spills and the cleaning of reformulation equipment. Any spills are likely to be contained by bunding, and notified polymer collected in aqueous wastes is expected to be flocculated and disposed of to landfill. Empty

import containers are estimated to contain up to 1% notified polymer residue and will be disposed to landfill. Most of the notified polymer will be bound within the cured printed ink matrix adhering to articles which are expected to ultimately be disposed of to landfill. The notified polymer is expected to be hydrolytically stable and is not expected to be readily biodegradable but due to its high molecular weight and high water solubility it is not expected to bioaccumulate. When disposed of to landfill, the notified polymer is expected to eventually degrade by biotic and abiotic processes to form water and oxides of carbon and nitrogen. Therefore, based on its assumed low hazard and reported use pattern, the notified polymer is not considered to pose an unreasonable risk to the environment.