

File No PLC/820

April 2009

**NATIONAL INDUSTRIAL CHEMICALS NOTIFICATION AND ASSESSMENT  
SCHEME  
(NICNAS)**

**FULL PUBLIC REPORT**

**Polymer 3033**

This Assessment has been compiled in accordance with the provisions of the *Industrial Chemicals (Notification and Assessment) Act 1989* (Cwlth) (the Act) and Regulations. This legislation is an Act of the Commonwealth of Australia. The National Industrial Chemicals Notification and Assessment Scheme (NICNAS) is administered by the Department of Health and Ageing, and conducts the risk assessment for public health and occupational health and safety. The assessment of environmental risk is conducted by the Department of the Environment, Water, Heritage and the Arts.

For the purposes of subsection 78(1) of the Act, this Full Public Report may be inspected at our NICNAS office by appointment only at 334-336 Illawarra Road, Marrickville NSW 2204.

This Full Public Report is also available for viewing and downloading from the NICNAS website or available on request, free of charge, by contacting NICNAS. For requests and enquiries please contact the NICNAS Administration Coordinator at:

Street Address:	334 - 336 Illawarra Road MARRICKVILLE NSW 2204, AUSTRALIA.
Postal Address:	GPO Box 58, SYDNEY NSW 2001, AUSTRALIA.
TEL:	+ 61 2 8577 8800
FAX	+ 61 2 8577 8888.
Website:	<a href="http://www.nicnas.gov.au">www.nicnas.gov.au</a>

**Director  
NICNAS**

## **TABLE OF CONTENTS**

FULL PUBLIC REPORT .....	3
1. APPLICANT AND NOTIFICATION DETAILS .....	3
2. IDENTITY OF CHEMICAL .....	3
3. PLC CRITERIA JUSTIFICATION .....	3
4. PHYSICAL AND CHEMICAL PROPERTIES .....	4
5. INTRODUCTION AND USE INFORMATION .....	4
6. HUMAN HEALTH IMPLICATIONS .....	4
7. ENVIRONMENTAL IMPLICATIONS .....	4
8. CONCLUSIONS AND RECOMMENDATIONS .....	5
Human health risk assessment .....	5
Environmental risk assessment .....	5
Recommendations .....	5
Regulatory Obligations .....	6

**FULL PUBLIC REPORT****Polymer 3033****1. APPLICANT AND NOTIFICATION DETAILS**

## APPLICANT(S)

Hewlett Packard Australia Pty Ltd (ABN: 74 004 394 763)  
353 Burwood Highway, Forest Hill, VIC 3131

## NOTIFICATION CATEGORY

Polymer of Low Concern

## EXEMPT INFORMATION (SECTION 75 OF THE ACT)

Data items and details claimed exempt from publication:

Chemical Name, Other Names, CAS Number, Molecular and Structural Formulae, Molecular Weight, Polymer Constituents, Residual Monomers/Impurities, Concentration of the notified polymer in the ink

## VARIATION OF DATA REQUIREMENTS (SECTION 24 OF THE ACT)

No variation to the schedule of data requirements is claimed.

## PREVIOUS NOTIFICATION IN AUSTRALIA BY APPLICANT(S)

None

## NOTIFICATION IN OTHER COUNTRIES

None

**2. IDENTITY OF CHEMICAL**

## MARKETING NAME(S)

Polymer 3033

CE034A (product containing the notified polymer)

## MOLECULAR WEIGHT (MW)

Number Average Molecular Weight (Mn) > 10000 Da

## REACTIVE FUNCTIONAL GROUPS

The notified polymer contains only low concern functional groups.

**3. PLC CRITERIA JUSTIFICATION***Criterion*

Molecular Weight Requirements  
Functional Group Equivalent Weight (FGEW) Requirements  
Low Charge Density  
Approved Elements Only  
Stable Under Normal Conditions of Use  
Not Water Absorbing  
Not a Hazard Substance or Dangerous Good

*Criterion met*

Yes  
Yes  
Yes  
Yes  
Yes  
Yes  
Yes

The notified polymer meets the PLC criteria.

#### 4. PHYSICAL AND CHEMICAL PROPERTIES

Appearance at 20°C and 101.3 kPa:	Pale yellow translucent solid
Melting Point/Melting Range	Melts/softens with decomposition over the range 170- 183°C
Density	1080 kg/m <sup>3</sup> at 22.0°C
Water Solubility	379-402 g/L at 20.0°C (OECD 105)
Dissociation Constant	Not tested. The pKa for the dissociation group of the notified polymer is predicted to be approximately 3.4.
Particle Size	The test substance is a single solid gelatinous sheet.
Reactivity	Stable under normal environmental conditions
Degradation Products	None under normal conditions of use. The notified polymer contains some hydrolysable functions. However, hydrolysis is unlikely to occur in the environmental pH range of 4-9.

#### 5. INTRODUCTION AND USE INFORMATION

##### MAXIMUM INTRODUCTION VOLUME OF NOTIFIED CHEMICAL (100%) OVER NEXT 5 YEARS

<i>Year</i>	<i>1</i>	<i>2</i>	<i>3</i>	<i>4</i>	<i>5</i>
Tonnes	1	1.5	2	5	10

##### Use

The ink containing the notified polymer will be used at < 6% for commercial and kiosk inkjet printers.

##### Mode of Introduction and Disposal

The notified polymer will be imported at < 6% in a finished ink formulation in sealed cartridges of capacity up to 775 mL.

#### 6. HUMAN HEALTH IMPLICATIONS

##### Hazard Characterisation

The notified polymer meets the PLC criteria and can therefore be considered to be of low hazard. This is supported by toxicological endpoints observed in testing conducted on the notified polymer.

<i>Endpoint</i>	<i>Result</i>	<i>Effects Observed?</i>	<i>Test Guideline</i>
1. Rat, acute oral	LD50 > 2000 mg/kg bw	no	OECD TG 420
2. Genotoxicity - bacterial reverse mutation	non mutagenic	no	OECD TG 471

All results were indicative of low hazard.

##### Occupational Health and Safety Risk Assessment

The primary route of exposure of workers to the notified polymer is likely to be dermal, during the use or maintenance of inkjet printers and/or handling of inkjet cartridges. Skin contact is likely to be avoided by workers to avoid staining of skin. Exposure would be reduced by the low level (<6%) of the polymer in ink.

The notified polymer is therefore considered to present a low risk to the health of workers, based on its low toxicity, low concentration in inkjet inks and low potential for exposure.

##### Public Health Risk Assessment

The public's potential for exposure to the notified polymer during the handling of inkjet ink cartridges in kiosks is similar to that of workers, but likely to be lower as they would not carry out maintenance tasks. Therefore, the notified polymer is likely to present a low risk to public health, based on its low toxicity, low concentration in inkjet inks and low potential for exposure.

#### 7. ENVIRONMENTAL IMPLICATIONS

##### Hazard Characterisation

No ecotoxicological data were submitted. Anionic polymers are known to be moderately toxic to algae. The mode of toxic action is over-chelation of nutrient elements needed by algae for growth. The highest toxicity is when the acid is on alternating carbons of the polymer backbone, which may not apply to the notified polymer. However, the toxicity to algae is likely to be further reduced due to the presence of calcium ions, which will bind to the functional groups.

#### **Environmental Risk Assessment**

No release will be from manufacturing or reformulation of the notified polymer as these processes do not occur in Australia. No significant release is expected from the use of the ink containing the notified polymer. Empty cartridges will be recycled with the residues being separated and incinerated or reused.

For the notified polymer that is associated with printed paper, some release to water environment is expected following the paper recycling process. However, calculation shows that the predicted environmental concentration will be less than 3.24 µg/L. In case the STP effluent is re-used for irrigation, the predicted concentration of the notified polymer in soil in 5 and 10 years may be up to 0.1245 mg/kg and 0.249 mg/kg, respectively.

Due to its high molecular weight, the notified polymer in water will not readily cross biological membranes, and a low potential for bioaccumulation is predicted. With time, the notified polymer, either in landfill (soil) or in water, will be slowly degraded forming simple molecules of salts, water and oxides of carbon.

The notified polymer is not considered to pose an unacceptable risk to the aquatic environment based on its proposed use pattern and properties.

## **8. CONCLUSIONS AND RECOMMENDATIONS**

#### **Human health risk assessment**

Under the conditions of the occupational settings described, the notified polymer is not considered to pose an unacceptable risk to the health of workers.

When used in the proposed manner, the notified polymer is not considered to pose an unacceptable risk to public health.

#### **Environmental risk assessment**

Based on the reported use pattern, the notified polymer is not considered to pose a risk to the environment.

#### **Recommendations**

##### **CONTROL MEASURES**

##### **Occupational Health and Safety**

- Specific engineering controls, work practices or personal protective equipment should be selected on the basis of all ingredients in the formulation.

Guidance in selection of personal protective equipment can be obtained from Australian, Australian/New Zealand or other approved standards.

- A copy of the MSDS should be easily accessible to employees.
- If products and mixtures containing the notified polymer are classified as hazardous to health in accordance with the *Approved Criteria for Classifying Hazardous Substances* [NOHSC:1008(2004)], workplace practices and control procedures consistent with provisions of State and Territory hazardous substances legislation must be in operation.

##### **Disposal**

- The notified polymer should be disposed of by incineration or to landfill.

##### **Emergency procedures**

- Spills and/or accidental release of the notified polymer should be handled by containment, collection and subsequent safe disposal.

### Regulatory Obligations

#### *Secondary Notification*

This risk assessment is based on the information available at the time of notification. The Director may call for the reassessment of the polymer under secondary notification provisions based on changes in certain circumstances. Under Section 64 of the *Industrial Chemicals (Notification and Assessment) Act (1989)* the notifier, as well as any other importer or manufacturer of the notified polymer, have post-assessment regulatory obligations to notify NICNAS when any of these circumstances change. These obligations apply even when the notified polymer is listed on the Australian Inventory of Chemical Substances (AICS).

Therefore, the Director of NICNAS must be notified in writing within 28 days by the notifier, other importer or manufacturer:

- (1) Under Section 64(1) of the Act; if
  - the notified polymer is introduced in a chemical form that does not meet the PLC criteria.

or

- (2) Under Section 64(2) of the Act; if
  - the function or use of the notified polymer has changed from component of inkjet printer ink, or is likely to change significantly;
  - the amount of notified polymer being introduced has increased, or is likely to increase, significantly;
  - the notified polymer has begun to be manufactured in Australia;
  - additional information has become available to the person as to an adverse effect of the chemical on occupational health and safety, public health, or the environment.

The Director will then decide whether a reassessment (i.e. a secondary notification and assessment) is required.

#### *Material Safety Data Sheet*

The MSDS of the product containing the notified polymer provided by the notifier was reviewed by NICNAS. The accuracy of the information on the MSDS remains the responsibility of the applicant.