File No: LTD/1339

May 2008

NATIONAL INDUSTRIAL CHEMICALS NOTIFICATION AND ASSESSMENT SCHEME (NICNAS)

FULL PUBLIC REPORT

NEJI-18 polymer in Epson Ink Cartridge

This Assessment has been compiled in accordance with the provisions of the *Industrial Chemicals (Notification and Assessment) Act 1989* (Cwlth) (the Act) and Regulations. This legislation is an Act of the Commonwealth of Australia. The National Industrial Chemicals Notification and Assessment Scheme (NICNAS) is administered by the Department of Health and Ageing, and conducts the risk assessment for public health and occupational health and safety. The assessment of environmental risk is conducted by the Department of the Environment, Water, Heritage and the Arts.

For the purposes of subsection 78(1) of the Act, this Full Public Report may be inspected at our NICNAS office by appointment only at 334-336 Illawarra Road, Marrickville NSW 2204.

This Full Public Report is also available for viewing and downloading from the NICNAS website or available on request, free of charge, by contacting NICNAS. For requests and enquiries please contact the NICNAS Administration Coordinator at:

Street Address: 334 - 336 Illawarra Road MARRICKVILLE NSW 2204, AUSTRALIA.

Postal Address: GPO Box 58, SYDNEY NSW 2001, AUSTRALIA.

TEL: + 61 2 8577 8800 FAX + 61 2 8577 8888 Website: www.nicnas.gov.au

Director NICNAS

TABLE OF CONTENTS

<u>Full</u>	PUBLIC REPORT	3
1.	APPLICANT AND NOTIFICATION DETAILS	3
2.	IDENTITY OF CHEMICAL	3
3.	COMPOSITION	3
4.	PHYSICAL AND CHEMICAL PROPERTIES	3
5.	INTRODUCTION AND USE INFORMATION	4
6.		
	6.1 Exposure assessment	5
	6.1.1 Occupational exposure	
	6.1.2. Public exposure	
	6.2. Human health effects assessment	5
	6.3. Human health risk characterisation.	6
	6.3.1. Occupational health and safety	
	6.3.2. Public health	
7.		
	7.1. Environmental Exposure & Fate Assessment	7
	7.1. Environmental Exposure & Fate Assessment	
	7.1.1 Environmental Exposure	
	7.1.2 Environmental fate	7
	7.1.3 Predicted Environmental Concentration (PEC)	8
	7.2. Environmental effects assessment	8
	7.2.1 Predicted No-Effect Concentration	8
	7.3. Environmental risk assessment	8
8.	CONCLUSIONS AND REGULATORY OBLIGATIONS	
	Hazard classification	
	Human health risk assessment	9
	Environmental risk assessment	
	Recommendations	
	Regulatory Obligations	
A PPE	NDIX A: PHYSICAL AND CHEMICAL PROPERTIES	11
A PPE	NDIX B: TOXICOLOGICAL INVESTIGATIONS	
	B.1. Acute toxicity – oral	
	B.2. Acute toxicity – dermal	
	B.3. Irritation – skin	
	B.4. Irritation – eye	
	B.5. Skin sensitisation – mouse local lymph node assay (LLNA)	
	B.6. Repeat dose toxicity	
	B.7. Genotoxicity – bacteria	
	B.8. Genotoxicity – in vitro	19
APPE	NDIX C: ENVIRONMENTAL FATE AND ECOTOXICOLOGICAL INVESTIGATIONS	
	C.1. Environmental Fate	
	C.1.1. Ready biodegradability	
	C.1.2. Bioaccumulation	
	C.2. Ecotoxicological Investigations	
	C.2.1. Acute toxicity to fish	
	C.2.2. Acute toxicity to aquatic invertebrates	
	C.2.3. Algal growth inhibition test	
_	C.2.4. Inhibition of microbial activity	
BIBLI	OGRAPHY	26

FULL PUBLIC REPORT

NEJI-18 polymer in Epson Ink Cartridge

1. APPLICANT AND NOTIFICATION DETAILS

APPLICANT(S)

EPSON Australia Pty. Ltd. (ABN 91 002 625 783) 3 Talavera Road, North Ryde, NSW 2113

NOTIFICATION CATEGORY

Limited: Synthetic polymer with Mn \geq 1000 Da.

EXEMPT INFORMATION (SECTION 75 OF THE ACT)

Data items and details claimed exempt from publication:

Chemical names, CAS number, Molecular formula, Structural formula, Molecular weight, Spectral data, Purity, % Weight of impurities, Identity of manufacturer, Import volume

VARIATION OF DATA REQUIREMENTS (SECTION 24 OF THE ACT) No variation to the schedule of data requirements is claimed.

PREVIOUS NOTIFICATION IN AUSTRALIA BY APPLICANT(S) LVC/749

NOTIFICATION IN OTHER COUNTRIES EU (2007) Switzerland (2007)

2. IDENTITY OF CHEMICAL

Marketing Name(s) NEJI-18

MOLECULAR WEIGHT > 1000 Da

ANALYTICAL DATA

Reference IR, GPC, ¹H NMR and UV spectra were provided.

3. COMPOSITION

DEGREE OF PURITY 93%

DEGRADATION PRODUCTS

The notified polymer is not expected to easily degrade.

LOSS OF MONOMERS, OTHER REACTANTS, ADDITIVES, IMPURITIES None known

4. PHYSICAL AND CHEMICAL PROPERTIES

APPEARANCE AT 20°C AND 101.3 kPa: White crystalline

Property	Value	Data Source/Justification
Melting Point/Boiling Point	No melting/boiling temperature	Measured
Density	$1170 \text{ kg/m}^3 \text{ at } 20^{\circ}\text{C}$	Measured

Vapour Pressure $< 1.10 \times 10^{-8} \, \text{kPa}$ at 20°C Measured $< 5x10^{-4}$ g/L at 20°C and pH 7.9 Water Solubility Measured < 5 mg/L at 20°C and pH 7.8 Extractivity Measured Hydrolysis as a Function of pH Not determined Poorly soluble in water. Partition Coefficient Not determined Poorly soluble in water and n-octanol. (n-octanol/water) Adsorption/Desorption Not determined Polymeric compound Dissociation Constant Not determined Poorly soluble in water, no method available. Particle Size Inhalable fraction (< 100 µm): Measured 1.79% Respirable fraction (< 10 μm): 0 % $MMAD* = 668.516 \mu m$ Flash Point Not determined NAMW > 1000Considered not highly flammable Flammability (solids) Measured Flammability (contact with Not determined Not expected to be highly flammable water) in contact with water or damp air Surface Tensions Not determined Poorly soluble in water. Pyrophoric Properties Not determined Not expected to be pyrophoric Autoignition Temperature Not self ignitable Measured **Explosive Properties** Not determined Not expected to be explosive

DISCUSSION OF PROPERTIES

For full details of tests on physical and chemical properties, please refer to Appendix A.

Reactivity

There are no chemical groups that would imply oxidising properties, therefore the result has been predicted negative.

5. INTRODUCTION AND USE INFORMATION

Mode of Introduction of Notified Chemical (100%) Over Next 5 Years

The notified polymer will be imported as a component of inkjet printer inks (approximately 2.5%), contained within individually packaged inkjet printer cartridges.

MAXIMUM INTRODUCTION VOLUME OF NOTIFIED CHEMICAL (100%) OVER NEXT 5 YEARS

Year	1	2	3	4	5
Tonnes	< 1	< 1	< 1	< 1	< 1

PORT OF ENTRY

Sydney

IDENTITY OF RECIPIENTS

None known at this time. Potentially, the inkjet printer cartridges containing the notified polymer will be supplied to offices and retailers nationwide.

TRANSPORTATION AND PACKAGING

The notified polymer will be imported as a component of ready-to-use sealed plastic inkjet cartridges of 5-100 mL volumes. The cartridges are individually wrapped in plastic and cardboard packaging, and these will be imported in bulk in cardboard cartons. The cartridges will be transported by road.

HSE

The notified polymer will be used in inkjet printer inks as a fixing agent at approximately 2.5%. The inks will be imported within inkjet printer cartridges, which will be used for office and general printing work by the public. Sealed ink cartridges containing the notified polymer will be used as necessary to replace spent cartridges in inkjet printers.

OPERATION DESCRIPTION

^{*} MMAD = Mass Median Aerodynamic Diameter

No reformulation or repackaging of the notified polymer will occur in Australia. The products containing the notified polymer will be delivered to the end-user in the same form that they will be imported. The cartridges will be distributed to a number of outlets, where the cardboard cartons will be opened and boxes containing individual cartridges will be stacked on shelves.

The cartridges will be transported and stored prior to national distribution where they will be used in office or home printing equipment. The cartridges will be installed or replaced into the inkjet printer by office workers, service technicians or consumers. Replacement of printer cartridges involves removal of the old printer cartridge from the printing machine and directly loading the new cartridge.

6. HUMAN HEALTH IMPLICATIONS

6.1 Exposure assessment

6.1.1 Occupational exposure

NUMBER AND CATEGORY OF WORKERS

Category of Worker	Number	Exposure Duration	Exposure Frequency
Importation/Waterside workers	10	4 hrs per day	70 days per year
Storage and transport	100	6 hrs per day	240 days per year
Office workers, service technicians, consumers	10,000	< 0.1 hrs per day	20 days per day

EXPOSURE DETAILS

Worker exposure to the notified polymer during the importation, transport and storage of the printer cartridges is not expected, except in the unlikely event of an accident where the cartridge and its packaging may be breached.

Both office workers and service technicians may be exposed to the notified polymer in ink (approximately 2.5% concentration) while replacing spent printer cartridges. Dermal exposure to small quantities of the notified polymer may occur if the print heads are touched while replacing the cartridges, but workers are expected to avoid direct contact with inks to avoid staining of their skin and/or clothing. In addition, dermal and possibly ocular exposure could occur when handling faulty or ruptured cartridges. However, the design of the cartridges is expected to be such that they can be easily replaced without dermal exposure to ink. Accidental contact is expected to be minimal.

Service technicians may also experience skin contact with the notified polymer (approximately 2.5% in the ink) during maintenance of inkjet printers. The exposure of these workers is likely to be limited to contact of inks with their fingertips during the handling and cleaning of printer components.

Dermal exposure of office workers to the notified polymer from dried inks on printed paper is expected to be minimal, as the dye will be largely bound to the paper within the matrix of the dried ink.

6.1.2. Public exposure

The exposure of the public to the notified polymer through the use of inkjet printer inks is expected to be identical to that experienced by office workers during the changing of cartridges, printing onto paper and other media, and handling dried, printed pages. Members of the public may be expected to change inkjet printer cartridges less frequently than would office workers, as domestic applications are often smaller.

Public exposure through importation, transportation or storage is expected to be negligible. Such exposure could only occur in the extremely unlikely event of an accident where crates, boxes, packaging and cartridges were ruptured, liberating inks containing the notified polymer.

6.2. Human health effects assessment

The results from toxicological investigations conducted on the notified polymer are summarised in the table below. Details of these studies can be found in Appendix B.

Endpoint	Result and Assessment Conclusion
Rat, acute oral toxicity	LD50 > 2000 mg/kg bw, low toxicity
Rat, acute dermal toxicity	LD50 > 2000 mg/kg bw, low toxicity
Rabbit, skin irritation	non-irritating
Rabbit, eye irritation	slightly irritating

Mouse, skin sensitisation – Local lymph node assay Rat, repeat dose oral toxicity – 28 days.

Mutagenicity – bacterial reverse mutation

Genotoxicity – *in vitro* chromosome aberration

no evidence of sensitisation NOAEL = 1000 mg/kg bw/day non mutagenic non genotoxic

Toxicokinetics, metabolism and distribution

The notified polymer is not expected to be absorbed through the skin, gastrointestinal wall and lungs due to its high molecular weight (>1000 Da). However, the notified polymer contains < 5% low molecular weight species < 1000 Da and some impurities which would have greater potential for absorption.

Acute toxicity

The notified polymer was of low acute oral toxicity in a study on rats. According to the OECD test guideline the LD50 cut-off value was considered to exceed 5000 mg/kg bw. The notified polymer was of low acute dermal toxicity in a study on rats, where LD50 was estimated to be > 2000 mg/kg.

Irritation

Based on the studies provided, the notified polymer was considered to be non-irritating to skin and slightly irritating to eyes.

Sensitisation

There was no evidence of a lymphocyte proliferative response indicative of skin sensitisation to the notified polymer in a mouse LLNA, with concentrations tested up to 50%.

Repeated Dose Toxicity

The No Observed Adverse Effect Level (NOAEL) was established as 1000 mg/kg bw/day on the basis that no toxicologically significant changes were noted in any of the parameters examined/determined in the study presented.

Mutagenicity

The notified polymer was not mutagenic in bacteria reverse mutation and not genotoxic in *in vitro* chromosomal aberrations in human lymphocytes.

Based on the available data the notified polymer is not classified as hazardous under the *Approved Criteria for Classifying Hazardous Substances* (NOHSC, 2004).

6.3. Human health risk characterisation

6.3.1. Occupational health and safety

Based on the available toxicological data, the notified polymer was of low oral and dermal toxicity, was non-irritating to skin and slightly irritating to eyes, was not sensitising, and was negative in two in vitro genotoxicity studies. The NOAEL was established as 1000 mg/kg bw/day on the basis that no toxicologically significant changes were noted in any of the parameters examined/determined in the repeat dose toxicity study presented.

Due to the enclosed nature of the packaging containing the notified polymer, both in transport and during use, oral and inhalation exposure to workers is expected to be low. Some dermal exposure to technicians and office workers may occur during changing of cartridge containing approximately 2.5% of the notified polymer. As the polymer has molecular weight > 1000 Da, dermal absorption would be reduced.

The exposure of workers to the notified polymer on dried, printed paper is expected to be very low, as the dye containing the notified polymer should remain bound to the paper or the cured print matrix.

Overall the risk to workers is expected to be low, based on the low exposure and the low toxicity in available studies.

6.3.2. Public health

The exposure and hazard of the notified polymer to the members of the public during the use of inkjet printers are expected to be identical or similar to that experienced by office workers. Therefore, the risk of the notified polymer to the health of the public is assessed to be low. The unlikely but potential public exposure through accidents during importation, transportation or storage is assessed as negligible.

7. ENVIRONMENTAL IMPLICATIONS

7.1. Environmental Exposure & Fate Assessment

7.1. Environmental Exposure & Fate Assessment

7.1.1 Environmental Exposure

RELEASE OF CHEMICAL AT SITE

Printer ink will be imported in ready-to-use cartridges (containing < 5% notified polymer). No release is expected as manufacturing and reformulation of the ink containing the notified polymer will not take place in Australia. Environmental release of the notified polymer is unlikely during importation, storage and transportation, and spillage during a transport accident is the most likely reason for environmental release. Individual container capacity, container and packaging specifications would limit the extent of release.

RELEASE OF CHEMICAL FROM USE

The ink cartridges are designed to prevent leakage and will not open during transport, use, installation or replacement. Therefore, release of ink containing the notified polymer to the environment is not expected under normal conditions of use. If ink is released from the cartridges during installation and replacement it will be contained with absorbent material and disposed of in landfill. Cartridges are contained within the printer until the contents are used then they are removed and sent to a recycling and disposal centre.

Most of the notified polymer (> 98%) will be bound to the printed paper, which will be disposed of to landfill, recycled or incinerated. Recycling of treated paper may result in the release of a proportion of the notified polymer to the aquatic compartment. Waste paper is repulped using a variety of chemical treatments, which result in fibre separation and ink detachment from the fibres. The waste is expected to go to trade waste sewers. Approximately 50% of the ink printed on paper will enter paper recycling of which a proportion of the ink is expected to be recovered during recycling. Most will partition to sludge and due to the low percentage of the notified polymer in these inks and the widespread use, release to the aquatic compartment from any given recycling plant will still be low based on worst case assumptions. Any chemical absorbed to sludge during recycling process will be disposed of to landfill.

RELEASE OF CHEMICAL FROM DISPOSAL

The total import volume of the notified polymer will ultimately be disposed as normal office/domestic waste that will end up in either landfill or be incinerated. Some waste paper printed with the ink may be disposed of directly to landfill with the notified polymer bound to the paper. Some will enter the paper recycling process. Used cartridges may be sent to recycling and disposal centres. The cartridges will be broken down into component parts for recycling. Residual ink (< 2% of the notified polymer) left in the empty cartridges will be separated from the cartridges and incinerated during the recycling of the cartridges.

Notified polymer that is incinerated is expected to thermally decompose to form predominantly simple organic compounds and various salts. Similarly, notified polymer that is disposed of to landfill should eventually degrade.

7.1.2 Environmental fate

A single biodegradability test report was submitted which indicates that the notified polymer is not ready biodegradable. For the details of the environmental fate study please refer to Appendix C.

7.1.3 Predicted Environmental Concentration (PEC)

Manufacture, reformulation and packaging into end-use containers occurs overseas, and release is not expected. After use, printed-paper may be disposed of by incineration, to landfill or be recycled. Notified polymer disposed of to landfill, may be mobile, however, the low proposed annual import volume, and diffuse release throughout Australia will mitigate any potential exposure while the notified polymer slowly degrades.

In Australia, approximately 50% of printed-paper is recycled. The following Predicted Environmental Concentration calculation assumes this 50% recycling, and given the limited solubility in water, 10% release to sewer and as a worst case scenario assumes no recovery within STPs.

Predicted Environmental Concentration (PEC) for the Aquatic Compartment			
Total Annual Import/Manufactured Volume	1,000	kg/year	
Proportion expected to be released to sewer	5%		
Annual quantity of chemical released to sewer	50	kg/year	
Days per year where release occurs	365	days/year	
Daily chemical release:	0.14	kg/day	
Water use	200.0	L/person/day	
Population of Australia (Millions)	21.161	million	
Removal within STP	0%		
Daily effluent production:	4,232	ML	
Dilution Factor - River	1.0		
Dilution Factor - Ocean	10.0		
PEC - River:	0.03	μg/L	
PEC - Ocean:	0.00	μg/L	

STP effluent reuse for irrigation occurs throughout Australia. The agricultural irrigation application rate is assumed to be $1000~L/m^2/year$ (10~ML/ha/year). The notified polymer in this volume is assumed to infiltrate and accumulate in the top 10~cm of soil (density $1300~kg/m^3$). Using these assumptions, irrigation with a concentration of 0.032~mg/L may potentially result in a soil concentration of approximately $3.240~X~10^{-4}~mg/kg$. Assuming accumulation of the notified polymer in soil for 5 and 10~years under repeated irrigation, the concentration of notified polymer in the applied soil in 5 and 10~years may be approximately $1.620~X~10^{-3}~mg/kg$ and $3.240~X~10^{-3}~mg/kg$, respectively.

7.2. Environmental effects assessment

The results from ecotoxicological investigations conducted on the notified polymer are summarised in the table below. Details of these studies can be found in Appendix C.

<u>Endpoint</u>	Result	Assessment Conclusion
Fish Toxicity	$LC50 > 100 \text{ mg/L}^* \text{ (WAF)}$	Not toxic to limit of water solubility.
Daphnia Toxicity	$E_iC50 > 100 \text{ mg/L}^* \text{ (WAF)}$	Not toxic to limit of water solubility.
Algal Toxicity	$E_iC50 > 100 \text{ mg/L}^* \text{ (WAF)}$	Not toxic to limit of water solubility.
Inhibition of Bacterial Respiration	$E_iC50 > 100 \text{ mg/L}^* \text{ (WAF)}$	Not Harmful

^{*}Water solubility < 0.5 mg/L

7.2.1 Predicted No-Effect Concentration

Aquatic ecotoxicity data were provided for three trophic levels. The following Predicted No-Effect Concentration has been calculated using an assessment factor of 100 and the water solubility endpoint.

Predicted No-Effect Concentration (PNEC) for the Aquatic Com	partment	
EC50 (Invertebrates).	0.50	mg/L
Assessment Factor	100.00	
PNEC:	5.00	μg/L

7.3. Environmental risk assessment

Based on the above PEC and PNEC values, the following Risk Quotient (Q) has been calculated.

Risk Assessment	PEC μg/L	PNEC μg/L	Q
Q - River:	0.03	5	0.006
Q - Ocean:	0.00	5	0.001

This indicates that the current import volume and use pattern is not expected to pose an unacceptable risk to the aquatic environment.

8. CONCLUSIONS AND REGULATORY OBLIGATIONS

Hazard classification

Based on the available data the notified polymer is not classified as hazardous under the *Approved Criteria for Classifying Hazardous Substances* [NOHSC:1008(2004)].

and

As a comparison only, the classification of notified polymer using the Globally Harmonised System for the Classification and Labelling of Chemicals (GHS) (United Nations 2003) is presented below. This system is not mandated in Australia and carries no legal status but is presented for information purposes.

	Hazard category	Hazard statement
Acute toxicity	Category 5	May be harmful in contact with skin (dermal)

Human health risk assessment

Under the conditions of the occupational settings described, the notified polymer is not considered to pose an unacceptable risk to the health of workers.

When used in the proposed manner, the notified polymer is not considered to pose an unacceptable risk to public health.

Environmental risk assessment

On the basis of the PEC/PNEC ratio:

The notified polymer is not considered to pose a risk to the environment based on its reported use pattern.

Recommendations

CONTROL MEASURES

Occupational Health and Safety

- Specific engineering controls, work practices or personal protective equipment required for safe use should be selected on the basis of all ingredients in the formulation.
 - Guidance in selection of personal protective equipment can be obtained from Australian, Australian/New Zealand or other approved standards.
- Service personnel should wear cotton or disposable gloves and ensure adequate ventilation is present
 when removing spent printer cartridges containing the notified polymer and during routine maintenance
 and repairs.
- A copy of the MSDS should be easily accessible to employees.
- If products and mixtures containing the notified polymer are classified as hazardous to health in accordance with the *Approved Criteria for Classifying Hazardous Substances* [NOHSC:1008(2004)] workplace practices and control procedures consistent with provisions of State and Territory hazardous substances legislation must be in operation.

Disposal

• The notified polymer should be disposed of to landfill.

Emergency procedures

• Spills or accidental release of the notified polymer should be handled by physical containment, collection and subsequent safe disposal.

Regulatory Obligations

Secondary Notification

This risk assessment is based on the information available at the time of notification. The Director may call for the reassessment of the chemical under secondary notification provisions based on changes in certain circumstances. Under Section 64 of the *Industrial Chemicals (Notification and Assessment) Act (1989)* the notifier, as well as any other importer or manufacturer of the notified chemical, have post-assessment regulatory obligations to notify NICNAS when any of these circumstances change. These obligations apply even when the notified chemical is listed on the Australian Inventory of Chemical Substances (AICS).

Therefore, the Director of NICNAS must be notified in writing within 28 days by the notifier, other importer or manufacturer:

- (1) Under Section 64(2) of the Act; if
 - the function or use of the chemical has changed from used in inkjet printer inks as a fixing agent at approximately 2.5%, or is likely to change significantly;
 - the amount of chemical being introduced has increased from 1 tonne per annum, or is likely to increase, significantly;
 - if the chemical has begun to be manufactured in Australia;
 - additional information has become available to the person as to an adverse effect of the chemical
 on occupational health and safety, public health, or the environment.

The Director will then decide whether a reassessment (i.e. a secondary notification and assessment) is required.

No additional secondary notification conditions are stipulated.

Material Safety Data Sheet

The MSDS of the product containing the notified polymer provided by the notifier was reviewed by NICNAS. The accuracy of the information on the MSDS remains the responsibility of the applicant.

APPENDIX A: PHYSICAL AND CHEMICAL PROPERTIES

Melting Point/Boiling point

No melting/boiling temperature

Method OECD TG 102 Melting Point/Melting Range.

OECD TG 103 Boiling Point.

EC Directive 92/69/EEC A.1 Melting/Freezing Temperature.

EC Directive 92/69/EEC A.2 Boiling Temperature.

Remarks Evaporation of a part of the test substance combined with a glass transition was observed

at 25-150 °C. Reaction and/or decomposition of the test substance were observed above

approximately 175 °C.

Test Facility NOTOX B.V. (2007b)

Density 1170 kg/m³ at 20°C

Method OECD TG 109 Density of Liquids and Solids.

EC Directive 92/69/EEC A.3 Relative Density.

Remarks An air comparison pycnometer was used.

Test Facility NOTOX B.V. (2007b)

Vapour Pressure $< 1.10 \times 10^{-8} \text{ kPa at } 20^{\circ}\text{C}$

Method OECD TG 104 Vapour Pressure.

EC Directive 92/69/EEC A.4 Vapour Pressure.

Remarks Isothermal thermogravimetric diffusion method was used from 100 – 160°C.

Test Facility NOTOX B.V. (2007b)

Water Solubility $< 5x10^{-4}$ g/L at 20°C and pH 7.9

Method OECD TG 105 Water Solubility.

EC Directive 92/69/EEC A.6 Water Solubility.

Remarks Column Elution Method was used. The water solubility was below the limit of

quantification of the applied SEC-UV analytical method.

Test Facility NOTOX B.V. (2007b)

Hydrolysis as a Function of pH Not determined.

Remarks According to the guideline, the concentration of test samples should not exceed half the

water solubility of the test substance or 0.01M, whichever is lower. The water solubility of the test substance is < 0.5 mg/L. No analytical method was available to support the hydrolysis test at this low concentration level. The test on the hydrolysis of the test substance was therefore not performed. While the notified polymer contains hydrolysable functionality, this is not expected to occur within the environmental pH range of 4-9.

Test Facility NOTOX B.V. (2007b)

Partition Coefficient Not determined (n-octanol/water)

Remarks With the information on the water solubility (< 5x10⁻⁴ g/L) and n-octanol solubility

 $(<2x10^{-3}$ g/L) it was not possible to determine an accurate value on the partition

coefficient of the test substance due to its limited solubility in water and n-octanol.

Test Facility NOTOX B.V. (2007b)

Adsorption/Desorption Not determined

Remarks The test substance is a polymeric compound. Therefore, it is not possible to apply the

HPLC method for the determination of the adsorption coefficient (K_{OC}) of the test substance. The K_{OC} value could also not be calculated using a Quantitative Structure Activity Relationship (QSAR) since no accurate value on the partition coefficient (P_{OW}) of the test substance could be obtained. According to this, no value on the K_{OC} for the test

substance was obtained.

Test Facility NOTOX B.V. (2007b)

Dissociation Constant

Not determined, expected to have typical carboxylic acidity

Remarks According to the guideline, OECD TG 112 Dissociation Constants in Water, the

concentration of test samples should not exceed half the water solubility of the test substance or 0.01M, whichever is lower. The water solubility of the test substance is < 0.5 mg/L. The titration method or spectrophotometric method cannot be performed at this low concentration level. The test on the determination of the dissociation constants of the test substance was therefore not performed. In addition, the calculation method could also not be applied since the test substance is a polymeric compound with a relatively complex

molecular structure.

Test Facility NOTOX B.V. (2007b)

Particle Size Inhalable fraction (< 100 μm): 1.79%

Respirable fraction (< 10 µm): 0 %

MMAD= 668.516 μm

Method Internal method

Remarks This analysis is conducted initially using a visual microscope (100× and 400×

magnification) and is then undertaken more formally using a Laser Diffraction Particle

Size Analyser.

Test Facility Chilworth Technology (2007)

Flammability Considered not highly flammable

Method EC Directive 92/69/EEC A.10 Flammability (Solids).

Remarks No burning with flame or smouldering over 200 mm within 4 minutes was observed.

Test Facility NOTOX B.V. (2007a)

Flammability Not highly flammable in contact with water or damp air

Method EC Directive 92/69/EEC A.12 Flammability (Contact with Water).

Remarks The structure of the notified polymer does not contain groups that might lead to the

evolution of a dangerous amount of flammable gas when coming into contact with water or damp air. No metals, transition metals, boron or silicon are present. The impurities, present for $\leq 2\%$ in the test substance, were not taken into account but it is not to be

expected that these have any influence.

Test Facility NOTOX B.V. (2007a)

Pyrophoric Properties Not pyrophoric

Method EC Directive 92/69/EEC A.13 Pyrophoric Properties of Solids and Liquids.

Remarks The structure of the notified polymer does not contain any chemical groups that might lead

to spontaneous ignition a short time after coming into contact with air.

Test Facility NOTOX B.V. (2007a)

Autoignition Temperature Not ignitable

Method EC Directive 92/69/EEC A.16 Relative Self-Ignition Temperature for Solids.

Remarks The test substance is not self-ignitable from 20 °C to 400 °C.

Test Facility NOTOX B.V. (2007b)

Explosive Properties Not explosive

Method EC Directive 92/69/EEC A.14 Explosive Properties.

Remarks The molecular structure of the test substance does not contain any chemically unstable or

highly energetic groups that might lead to an explosion.

Calculation of the oxygen balance of the test substance was not possible since the

compound is a polymeric product with a molecular weight distribution.

Test Facility NOTOX B.V. (2007b)

Surface Tension Not performed

Method OECD TG 115 Surface Tension of Aqueous Solutions.

EC Directive 92/69/EEC A.5 Surface Tension.

Remarks The water solubility of the test substance is < 0.5 mg/L. According to the guidelines,

substances with a water solubility < 1 mg/L need not to be tested.

Test Facility NOTOX B.V. (2007b)

Oxidizing Properties Not oxidising

Method EC Directive 92/69/EEC A.17 Oxidizing Properties (Solids).

Remarks The test substance does not contain any group that might act as an oxidising agent. The

oxygen atoms that are present in the test substance are all bonded to carbon, which

indicates that the molecule is oxygen-deficient.

Extractivity < 5 mg/L at 20°C and pH 7.8

Method OECD 120: Solution/extraction behaviour of polymers in water.

Test Facility NOTOX B.V. (2007b)

APPENDIX B: TOXICOLOGICAL INVESTIGATIONS

B.1. Acute toxicity – oral

Notified polymer TEST SUBSTANCE

METHOD OECD TG 423 Acute Oral Toxicity – Acute Toxic Class Method.

EC Directive 92/69/EEC B.1tris Acute Oral Toxicity – Acute Toxic Class

Method.

Species/Strain Rat/Wistar strain Crl:WI

Vehicle Propylene glycol

Remarks - Method There were no deviations from the protocol.

RESULTS

Group	Number and Sex	Dose	Mortality
	of Animals	mg/kg bw	
1	3 F	2000	0/3
2	3 F	2000	0/3

Hunched posture was noted in all animals on day 1 and/or 2. Signs of Toxicity

Effects in Organs No abnormalities were found at macroscopic post mortem examination of

the animals.

Remarks - Results The body weight gain shown by the animals over the study period was

considered to be normal.

CONCLUSION The notified polymer is of low toxicity via the oral route.

TEST FACILITY NOTOX B.V. (2007c)

B.2. Acute toxicity – dermal

Notified polymer TEST SUBSTANCE

METHOD OECD TG 402 Acute Dermal Toxicity.

EC Directive 92/69/EEC B.3 Acute Toxicity (Dermal).

Species/Strain Rat/Wistar strain Crl:WI Vehicle Propylene glycol

Type of dressing Occlusive.

Remarks - Method There were no deviations from the protocol.

RESULTS

Group	Number and Sex of Animals	Dose mg/kg bw	Mortality
1	5 M	2000	2
2	5 F	2000	0

LD50 > 2000 mg/kg bw

Lethargy, flat and/or hunched posture, laboured and/or shallow respiration, Signs of Toxicity - Local

piloerection, ptosis, hypothermia, clonic spasms, uncoordinated

movements and/or moribund appearance were noted in the males on Days 1 and 2. The females showed restless behavior and/or flat posture on Day

1.

Scales were seen in the treated skin-area of the majority of females during

the observation period.

Signs of Toxicity - Systemic The changes noted in body weight gain in surviving males and females were within the range expected for rats used in this type of study and

were therefore considered not indicative of toxicity.

Effects in Organs No abnormalities were found at macroscopic post mortem examination of

the animals.

CONCLUSION The notified chemical is of low toxicity via the dermal route.

TEST FACILITY NOTOX B.V. (2007d)

B.3. Irritation – skin

TEST SUBSTANCE Notified polymer

METHOD OECD TG 404 Acute Dermal Irritation/Corrosion.

EC Directive 92/69/EEC B.4 Acute Toxicity (Skin Irritation).

Species/Strain Albino Rabbit/New Zealand White

Number of Animals 3 M

Vehicle Moistened with water ethanol (50% v/v)

Observation Period 72 hours Type of Dressing Semi-occlusive.

Remarks - Method There were no deviations from standard operating procedures that

affected the integrity of the study.

RESULTS

Lesion		Mean Score* Animal No.		Maximum Value	Maximum Duration of Any Effect	Maximum Value at End of Observation Period
	1	2	3			•
Erythema/Eschar	0	0	0	0	-	0
Oedema	0	0	0	0	-	0

^{*}Calculated on the basis of the scores at 24, 48, and 72 hours for EACH animal.

Remarks - Results No skin irritation or corrosion was caused by 4 hour exposure.

No staining of the treated skin by the test substance was observed and no

test substance remnants were seen.

No symptoms of systemic toxicity were observed in the animals during the

test period and no mortality occurred.

CONCLUSION The notified chemical is non-irritating to the skin.

TEST FACILITY NOTOX B.V. (2007e)

B.4. Irritation – eye

TEST SUBSTANCE Notified polymer

METHOD OECD TG 405 Acute Eye Irritation/Corrosion.

EC Directive 92/69/EEC B.5 Acute Toxicity (Eye Irritation).

Species/Strain Albino Rabbit/New Zealand White

Number of Animals 3 M Observation Period 72 hours

Remarks - Method There were no deviations from the protocol.

RESULTS

Lesion	Mean Score* Animal No.		Maximum Value	Maximum Duration of Any Effect	Maximum Value at End of Observation Period	
	1	2	3		•	-
Conjunctiva: redness	0.3	1	0.3	2	< 72 hours	0
Conjunctiva: chemosis	0	0	0	2	< 24 hours	0

Conjunctiva: discharge	0.3	0	0	1	< 48 hours	0
Corneal opacity	0	0	0	0	-	0
Iridial inflammation	0	0	0	1	< 24 hours	0

^{*}Calculated on the basis of the scores at 24, 48, and 72 hours for EACH animal.

Remarks - Results

Instillation of approximately 46 mg of notified polymer (a volume of approximately 0.1 ml) into one eye of each of three rabbits resulted in effects on the iris and conjunctivae.

Iridial irritation grade 1 was observed in two animals and had resolved within 24 hours. The other animals did not show iridial irritation.

The irritation of the conjunctivae had completely resolved within 48 hours in two animals and within 72 hours in the other animal.

No corneal opacity was observed, and the treatment of the eyes with 2% fluorescein, 24 hours after test substance instillation revealed no corneal epithelial damage.

There was no evidence of ocular corrosion.

Remnants of test substance were present in the eye on Day 1.

No staining of (peri) ocular tissues by the test substance was observed. No symptoms of systemic toxicity were observed in the animals during the test period and no mortality occurred.

CONCLUSION

The notified chemical is slightly irritating to the eye.

TEST FACILITY

NOTOX B.V. (2007f)

B.5. Skin sensitisation – mouse local lymph node assay (LLNA)

TEST SUBSTANCE Notified polymer

METHOD OECD TG 429 Local Lymph Node Assay.

EC Directive 67/548/EEC B.42 Skin sensitisation – mouse local lymph

node assav.

Species/Strain Mouse/CBA strain, inbred, SPF-Quality

Vehicle

Propylene glycol

Remarks - Method

In the preliminary irritation study (25% and 50%), no irritation was observed in any of the animals examined. Based on the results, the highest test substance concentration selected for the main study was a

50% concentration.

There were no deviations from standard operating procedures that

affected the integrity of the study.

RESULTS

Concentration	Proliferative response	Stimulation Index
(% w/w)	(DPM/lymph node)	(Test/Control Ratio)
Test Substance		
0 (vehicle control)	264 ± 49	1.0
10%	350 ± 69	1.3 ± 0.4
25%	352 ± 89	1.3 ± 0.4
50%	243 ± 32	0.9 ± 0.2
Positive Control (Alpha-		
Hexylcinnamaldehyde)		
0	357 ± 61	1.0 ± 0.2
5%	474 ± 85	1.3 ± 0.3
10%	547 ± 48	1.5 ± 0.3
25%	1980 ± 315	5.5 ± 1.3

Remarks - Results

No skin reactions were observed in any of the animals examined.

The majority of nodes were considered normal in size, except for one

extremely enlarged node of one animal in the 25% group.

No macroscopic abnormalities of the surrounding area were noted.

Body weights and body weight gain of experimental animals remained in the same range as controls over the study period.

The DPM value of one animal in the 25% group was rejected and not used for interpretation, since it was considered to be outside the range of this study.

No mortality occurred and no symptoms of systemic toxicity were observed in the animals of the main study.

The stimulation index was significantly < 3 and no dose response was seen.

The six monthly reliability check with Hexylcinnamaldehyde confirmed the validity of the test system.

CONCLUSION There was no evidence of reactions indicative of skin sensitisation to the

notified polymer under the conditions of the test.

TEST FACILITY NOTOX B.V. (2007g)

B.6. Repeat dose toxicity

TEST SUBSTANCE Notified polymer

METHOD OECD TG 407 Repeated Dose 28-day Oral Toxicity Study in Rodents.

EC Directive 96/54/EC B.7 Repeated Dose (28 Days) Toxicity (Oral).

Species/Strain Rat: Wistar Crl:(WI) BR

Route of Administration Oral – gavage

Exposure Information Total exposure days: 28 days

Dose regimen: 7 days per week

Post-exposure observation period: none

Vehicle Propylene glycol

Remarks - Method There were no deviations from standard operating procedures that

affected the integrity of the study.

Preliminary dose range finding study was performed with 3 female animals and 5 day regime dosing with 500 and 1000 mg/kg bw/day. No significant toxicity was observed and doses for the main test were

determined as outlined below.

RESULTS

Dose	Number and Sex	Mortality
mg/kg bw/day	of Animals	
0	5/sex	None
50	5/sex	None
150	5/sex	1 M
1000	5/sex	None

Mortality and Time to Death

No mortality occurred during the study period that was considered to be related to treatment with the test substance.

One male at 150 mg/kg/day was found dead after dosing on day 4. Prior to death, this animal showed lethargy, flat posture and laboured respiration. Necropsy revealed perforation of the oesophagus and reddish fluid in the thoracic cavity. Histopathological examination showed evidence of oesophageal rupture which along which the macroscopic abnormalities indicated gavage trauma as the cause of demise. No further mortality occurred during the study period.

Clinical Observations

There were no clinical signs of toxicity noted over the 28-day observation period.

Incidental findings that were noted included salivation, alopecia and rales. These findings are commonly noted in rats of this age and strain which are housed and treated under the conditions in this study. At the incidence observed, these were considered signs of no toxicological significance. No clinical signs were noted among control males, and animals at 50 mg/kg/day.

Hearing ability, papillary reflex, static righting reflex and grip strength were normal in all animals.

The variation in motor activity did not indicate a relation with treatment.

Body weights and body weight gain of treated animals remained in the same range as controls over the 4-week study period.

Food consumption before or after allowance for body weight was similar between treated and control animals.

Laboratory Findings - Clinical Chemistry, Haematology, Urinalysis

No toxicologically relevant changes occurred in haematological parameters of treated rats. Higher neutrophil counts with concurrently reduced lymphocyte counts were noted in males at 100 mg/kg/day and in females at 150 mg/kg/day. This shift in type of white blood cells was considered to be secondary non-specific response to stress and to be of no toxicological significance.

No toxicologically relevant changes occurred in clinical biochemistry parameters of treated rats.

Effects in Organs

Necropsy did not reveal any toxicologically relevant alterations.

Statistically significant higher thymus weight and thymus to body weight ratio were noted in females at 150 and 1000 mg/kg/day. As they were of a very minor nature and not associated with dose related macroscopic or microscopic changes, these were considered not to be a sign of toxicity. In the macroscopic and microscopic examination, agonal haemorrhage was seen in one female control animal and one female in the 1000 mg/kg bw/day group but this was also not considered substance related.

There were no microscopic findings recorded which could be attributed to treatment with the test substance.

Remarks - Results

No toxicologically significant changes were noted in any of the parameters examined/determined in this study (i.e. clinical appearance, functional observations, body weight, food consumption, clinical laboratory investigations, macroscopic examination, organ weights, and microscopic examination).

CONCLUSION

The No Observed Adverse Effect Level (NOAEL) was established as 1000 mg/kg bw/day in this study, based on the results presented.

TEST FACILITY NOTOX B.V. (2007h)

Genotoxicity - bacteria

TEST SUBSTANCE Notified polymer

METHOD OECD TG 471 Bacterial Reverse Mutation Test.

EC Directive 2000/32/EC B.13/14 Mutagenicity - Reverse Mutation Test

using Bacteria.

Plate incorporation procedure

Species/Strain S. typhimurium: TA1535, TA1537, TA98, TA100

E. coli: WP2uvrA)

Metabolic Activation System Rat liver S9-mix induced by a combination of phenobarbital and $\beta-$

naphthoflavone (used 5% in Test 1 and 10% in Test 2).

Concentration Range in a) With metabolic activation: 100, 333, 1000, 3330, 5000 µg/plate Main Test b) Without metabolic activation: 100, 333, 1000, 3330, 5000 µg/plate

Vehicle Dimethyl sulfoxide

Remarks - Method There were no deviations from standard operating procedures that

affected the integrity of the study.

RESULTS

Activation	Cytotoxicity in Preliminary Test	Cytotoxicity in Main Test	Precipitation	Genotoxic Effect
Absent				
Test 1	> 5000 μg/plate	> 5000 μg/plate	> 5000 µg/plate	negative
Test 2	> 5000 µg/plate	> 5000 µg/plate	> 5000 μg/plate	negative
Present		· • •	· • •	
Test 1	> 5000 μg/plate	> 5000 μg/plate	> 5000 μg/plate	negative
Test 2	> 5000 μg/plate	> 5000 μg/plate	> 5000 μg/plate	negative

Remarks - Results All bacterial strains showed negative responses over the entire dose

range, i.e. no significant dose-related increase in the number of revertants

in two independently repeated experiments.

The negative and strain-specific positive control values were within the laboratory historical control data ranges indicating that the test conditions were adequate and that the metabolic activation system functioned

properly.

CONCLUSION The notified polymer was not mutagenic to bacteria under the conditions

of the test.

TEST FACILITY NOTOX B.V. (2007i)

B.8. Genotoxicity – in vitro

TEST SUBSTANCE Notified polymer

METHOD OECD TG 473 In vitro Mammalian Chromosome Aberration Test.

EC Directive 2000/32/EC B.10 Mutagenicity - In vitro Mammalian

Chromosome Aberration Test.

Cell Type/Cell Line Cultured peripheral human lymphocytes

Metabolic Activation System Rat liver S9-mix induced by a combination of phenobarbital and β-

naphthoflavone.

Vehicle Dimethyl sulfoxide (soluble at $\leq 333 \mu g/mL$)

Remarks - Method There were no deviations from standard operating procedures that

affected the integrity of the study. Dosages were determined in a range

finding test.

Metabolic Activation	Test Substance Concentration (μg/mL)	Exposure Period	Harvest Time
Absent			
Test 1	0*, 33*, 100*, 333*	3 h	24 h
Test 2a	0*, 33*, 100*, 333, 1000*	24 h	24 h
Test 2b	0*, 33*, 100*, 333, 1000*	48 h	48 h
Present			
Test 1	0*, 33*, 100*, 333*	3 h	24 h
Test 2	0*, 33*, 100*, 333*	3 h	48 h

^{*}Cultures selected for metaphase analysis.

RESULTS

Metabolic	Tes	Test Substance Concentration (μg/mL) Resulting in:					
Activation	Cytotoxicity in	Cytotoxicity in	Precipitation	Genotoxic Effect			
	Preliminary Test	Main Test					
Absent	> 1000						
Test 1		> 333	≥ 333	negative			
Test 2a		> 1000	≥ 333	negative			
Test 2b		> 1000	≥ 333	negative			
Present	> 333						
Test 1		> 333	≥ 333	negative			
Test 2		> 333	≥ 333	negative			

Remarks - Results

Positive control chemicals, mitomycin C and cyclophosphamide, both produced a statistically significant increase in the incidence of cells with chromosome aberrations, indicating that the test conditions were adequate and the metabolic activation system (S9-mix) functioned properly.

Both in the absence and presence of S9-mix the notified polymer did not induce a statistically significant or biologically relevant increase in the number of cells with chromosome aberrations in two independent experiments. Slight increase of aberrations was noted in all doses compared to controls in Test 2 48 h exposure without metabolic activation but this is not statistically significant.

No effects of the notified polymer on the polyploid cells and cells with endoreduplicated chromosomes were observed both in the absence and presence of S9-mix. Therefore it can be concluded that the notified polymer does not disturb mitotic processes and cell cycle progression and does not induce numerical chromosome aberrations under the conditions of the test.

CONCLUSION

The notified polymer was not clastogenic to human lymphocytes treated *in vitro* under the conditions of the test.

TEST FACILITY

NOTOX B.V. (2007j)

APPENDIX C: ENVIRONMENTAL FATE AND ECOTOXICOLOGICAL INVESTIGATIONS

C.1. **Environmental Fate**

C.1.1. Ready biodegradability

Notified polymer TEST SUBSTANCE

METHOD OECD TG 301 B Ready Biodegradability: CO₂ Evolution Test.

EC Directive 92/69/EEC C.4-C Biodegradation: Determination of the "

Ready" Biodegradability: Carbon Dioxide Evolution Test

Inoculum Activated sludge

Exposure Period 29 days **Auxiliary Solvent** None Analytical Monitoring CO_2

Remarks - Method There were no deviations from the protocol. Since the test substance was

> poorly soluble in water, weighed amounts were added to the test bottles containing medium with microbial organisms and mineral components. The test solutions were continuously stirred during the test to ensure

optimal contact between the test substance and the test organisms.

RESULTS

Test	substance	<sodium acetate=""></sodium>		
Day	% degradation	Day	% degradation	
2	0	2	11	
5	2	5	37	
7	2	7	51	
9	2	9	58	
14	2	14	64	
19	2	19	67	
23	3	23	68	
27	3	27	69	
29	3	29	69	

Remarks - Results 10% degradation was not achieved after 12 days. In addition, 60%

> degradation was not reached after 29 days incubation. In the toxicity control, the test substance was found not to inhibit microbial activity. Since all criteria for acceptability of the test were met, this study was

considered to be valid.

The notified polymer cannot be classified as ready biodegradable. CONCLUSION

TEST FACILITY NOTOX B.V. (2007k)

C.1.2. Bioaccumulation

REMARKS Based on its very low solubility in water and n-octanol, the notified

polymer is not expected to bioaccumulate.

C.2. **Ecotoxicological Investigations**

C.2.1. Acute toxicity to fish

TEST SUBSTANCE Notified polymer METHOD OECD TG 203 Fish, Acute Toxicity Test – limit test/static.

EC Directive 92/69/EEC C.1 Acute Toxicity for Fish – limit test/static.

Species *Cyprinus carpio* (Carp)

Exposure Period 96 hours Auxiliary Solvent None

Water Hardness 180 mg CaCO₃/L

Analytical Monitoring Water sample was taken at the beginning of the test.

Because of the low water solubility of the notified polymer, it was decided to analyse the residue of the filter that was used during preparation of the nominal 100 mg/L test solution. An aliquot of the residue was transferred into a 5 mL volumetric flask. The flask was filled up to the mark with

dichloromethane and subsequently diluted by a factor of 500.

Remarks – Method There were no deviations from the protocol. A limit test was performed

exposing seven fish to a blank-control and a 0.45 μm filtered test substance solution prepared at a loading rate of 100 mg/L by ultrasonification for 5

minutes followed by stirring for 24 hours subsequent to filtration.

RESULTS

Concent	tration mg/L	Number of Fish		1	Mortalit	v	-
Nominal	Actual		1 h	24 h	48 h	72 h	96 h
Control	< detection limit	7	0	0	0	0	0
100	< detection limit	7	0	0	0	0	0

LC50 > water solubility at 96 hours. NOEC water solubility at 96 hours.

Remarks – Results

Analysis of the samples taken from the filtrate at the start of the test showed that measured concentrations were below the limit of quantification (1 mg/L). Analyses of the filter material indicated that this

was test substance. No further analysis was performed.

The notified polymer induced no visible or lethal effects in carps when exposed to the maximum soluble concentration in water (< 0.5 mg/L) generated by exposure of test media to a loading rate of 100 mg/L (NOEC). Hence, the 96-LC₅₀ of the notified polymer for *Cyprinus carpio*

(carp) was above the level of solubility in water.

The study met the acceptability criteria prescribed by the protocol and

was considered valid.

CONCLUSION The notified polymer is not toxic to *Cyprinus carpio* up to the level of its

solubility in water.

TEST FACILITY NOTOX B.V. (20071)

C.2.2. Acute toxicity to aquatic invertebrates

TEST SUBSTANCE Notified polymer

METHOD OECD TG 202 Daphnia sp. Acute Immobilisation Test and Reproduction

Test – static.

EC Directive 92/69/EEC C.2 Acute Toxicity for Daphnia – static.

Species Daphnia magna

Exposure Period 48 hours Auxiliary Solvent None

Water Hardness 180 mg CaCO₃/L

Analytical Monitoring Water sample was taken at the beginning of the test.

Because of the low water solubility of the notified polymer, it was decided to analyse the residue of the filter that was used during

preparation of the nominal 100 mg/L test solution. An aliquot of the residue was transferred into a 5 mL volumetric flask. The flask was filled op to the mark with dichloromethane and subsequently diluted by a factor of 500.

Remarks - Method

A combined limit/range-finding test was performed. Twenty Daphnia were exposed to a blank-control and a 0.45 µm filtered solution prepared as above at a loading rate of 100 mg/L. In the combined range-finding test ten Daphnia were exposed to 0.45 µm filtrates prepared at loading rates of 1.0 and 10 mg/L. In addition a tend-fold dilution of the filtrate prepared at 1.0 mg/L was tested. Samples for confirmation of actual exposure concentrations were taken at the start of the test.

There were no deviations from the protocol.

RESULTS

Concent	ration mg/L	Number of D. magna	Number Immobilised		
Nominal	Actual		24 h	48 h	
Control	< detection limit	20	0	2 (10%)	
0.1	< detection limit	20	0	0	
1	< detection limit	20	0	0	
10	< detection limit	20	0	0	
100	< detection limit	20	0	0	

LC50 **NOEC** > water solubility at 48 hours water solubility at 48 hours

Remarks - Results

Analysis of the samples taken from the filtrates at the start of the test showed that measured concentrations were below the limit of quantification (1 mg/L). Analyses of the filter residue indicated that this was test substance. No further analysis was performed.

The study met the acceptability criteria prescribed by the protocol and was considered valid.

CONCLUSION

The notified polymer is not toxic to *Daphnia magna* up to the level of its

solubility in water.

TEST FACILITY

NOTOX B.V. (2007m)

C.2.3. Algal growth inhibition test

TEST SUBSTANCE Notified polymer

METHOD OECD TG 201 Alga, Growth Inhibition Test.

> EC Directive 92/69/EEC C.3 Algal Inhibition Test. Pseudokirchneriella subcapitata, strain: NIVA CHL 1

Exposure Period 72 hours

Concentration Range 1.0, 10.0 and 100 mg/L

Nominal

Species

Concentration Range

All prepared solutions were below the detection limit.

Actual

Auxiliary Solvent None

Water Hardness 24 mg CaCO₃/L

Because of the low water solubility of the notified polymer, it was Analytical Monitoring

decided to analyse the residue of the filter that was used during preparation of the nominal 100 mg/L test solution. An aliquot of the residue was transferred into a 5 mL volumetric flask. The flask was filled op to the mark with dichloromethane and subsequently diluted by a

factor of 500.

Remarks - Method

A combined limit/range-finding test was performed with exponentially growing algal cultures exposed to a blank-control and 0.45 μ m filtered solutions prepared as above at loading rates of 1.0, 10, and 100 mg/L. The total test period was 72 hours and the initial algal cell density was 10^4 cells/mL. Samples for analytical confirmation of actual exposure concentrations were taken at the start of the test.

Analyses of the samples taken from the filtrated prepared at 100 mg/L at the start of the test showed that the measured concentration was below the limit of quantification (1 mg/L). Analyses of the filter residue indicated that this was the test substance. No further analysis was performed.

There were no deviations from the protocol.

RESULTS

Bion	nass	Gro	wth	
E_bC50	NOE_bC	E_rC50	NOE_rC	
mg/L at 72 h	mg/L	mg/L at 72 h	mg/L	
> water solubility	water solubility	> water solubility	water solubility	
Remarks – Results	exposing algae to mg/L) generated (NOEC). Instead treated solutions loading rate.	to the maximum soluble con by exposure of test media to d, algal growth was stimulate. This stimulation increased the acceptability criteria prese	of yield was recorded when oncentration in water (< 0.5 to a loading rate of 100 mg/L ated in the notified polymer at with the logarithm of the escribed by the protocol and	
Conclusion		ymer is not toxic to <i>Pseudoki</i> solubility in water.	irchneriella subcapitata up	

C.2.4. Inhibition of microbial activity

T	37
TEST SUBSTANCE	Notified polymer

METHOD OECD TG 209 Activated Sludge, Respiration Inhibition Test.

NOTOX B.V. (2007n)

EC Directive 88/302/EEC C.11 Biodegradation: Activated Sludge

Respiration Inhibition Test.

Inoculum Aerated activated sludge

Exposure Period 3 hours Concentration Range 0, 100 mg/L

Nominal

Remarks – Method There were no deviations from the protocol.

RESULTS

TEST FACILITY

 $\begin{array}{ll} IC50 & > 100 \text{ mg/L} \\ NOEC & \geq 100 \text{ mg/L} \\ Remarks - Results & No \text{ signific.} \end{array}$

No significant inhibition of the respiration rate of the sludge was recorded at 100 mg/L notified polymer. The duplicate measurement confirmed the result of the first measurement (values 2 and 7% inhibition only was recorded). Therefore, no further testing was needed. Hence, the EC₅₀ of notified polymer exceeded 100 mg/L (based on nominal

concentration).

Under the circumstances of the present test, the notified polymer was not toxic to waste water (activated sludge) bacteria at a loading rate of $100\,$ mg/L, the regulatory limit concentration. CONCLUSION

NOTOX B.V. (2007k) TEST FACILITY

BIBLIOGRAPHY

- Chilworth Technology (2007) Notified polymer: Particle size analysis. Final Report April 2007, Study No. 300187 for NOTOX B.V. 5203 DD 's-Hertogenbosch, The Netherlands. Chilworth Technology Limited, Process Safety Laboratories, Southampton, UK (Unpublished report provided by notifier).
- FORS (Federal Office of Road Safety) (1998) Australian Code for the Transport of Dangerous Goods by Road and Rail (ADG code), 6th Edition, Canberra, Australian Government Publishing Service
- NOHSC (1994) National Code of Practice for the Labelling of Workplace Substances [NOHSC:2012(1994)]. National Occupational Health and Safety Commission, Canberra, Australian Government Publishing Service.
- NOHSC (2004) Approved Criteria for Classifying Hazardous Substances, 3rd edition [NOHSC:1008(2004)]. National Occupational Health and Safety Commission, Canberra, AusInfo.
- NOHSC (2003) National Code of Practice for the Preparation of Material Safety Data Sheets, 2nd edition [NOHSC:2011(2003)]. National Occupational Health and Safety Commission, Canberra, Australian Government Publishing Service.
- NOTOX B.V. (2007a) Notified polymer: Determination of Physico-chemical Properties. Final Report June 2007, Project 484722 for SEIKO EPSON CORPORATION, NAGANO-KEN, Japan. NOTOX B.V. 5231 DD 's-Hertogenbosch, The Netherlands (Unpublished report provided by notifier).
- NOTOX B.V. (2007b) Notified polymer: Determination of Physico-chemical Properties. Final Report June 2007, Project 484517 for SEIKO EPSON CORPORATION, NAGANO-KEN, Japan. NOTOX B.V. 5231 DD 's-Hertogenbosch, The Netherlands (Unpublished report provided by notifier).
- NOTOX B.V. (2007c) Notified polymer: Assessment of Acute Oral Toxicity in the Rat (Acute Toxic Class Method). Final Report May 2007, Project 484537 for SEIKO EPSON CORPORATION, NAGANO-KEN, Japan. NOTOX B.V. 5231 DD 's-Hertogenbosch, The Netherlands (Unpublished report provided by notifier).
- NOTOX B.V. (2007d) Notified polymer: Assessment of Acute Dermal Toxicity in the Rat. Final Report October 2007, Project 484538 for SEIKO EPSON CORPORATION, NAGANO-KEN, Japan. NOTOX B.V. 5231 DD 's-Hertogenbosch, The Netherlands (Unpublished report provided by notifier).
- NOTOX B.V. (2007e) Notified polymer: Primary Skin Irritation/Corrosion Study in The Rabbit (4-hour Semi-occlusive Application). Final Report September 2007, Project 484539 for SEIKO EPSON CORPORATION, NAGANO-KEN, Japan. NOTOX B.V. 5231 DD 's-Hertogenbosch, The Netherlands (Unpublished report provided by notifier).
- NOTOX B.V. (2007f) Notified polymer: Acute Eye Irritation/Corrosion Study in The Rabbit. Final Report September 2007, Project 484540 for SEIKO EPSON CORPORATION, NAGANO-KEN, Japan. NOTOX B.V. 5231 DD 's-Hertogenbosch, The Netherlands (Unpublished report provided by notifier).
- NOTOX B.V. (2007g) Notified polymer: Assessment of Contact Hypersensitivity in the Mouse (Local Lymph Node Assay). Final Report September 2007, Project 484541 for SEIKO EPSON CORPORATION, NAGANO-KEN, Japan. NOTOX B.V. 5231 DD 's-Hertogenbosch, The Netherlands (Unpublished report provided by notifier).
- NOTOX B.V. (2007h) Notified polymer: Repeated Dose 28-day Oral Toxicity Study by Daily Gavage in the Rat. Final Report October 2007, Project 484542 for SEIKO EPSON CORPORATION, NAGANO-KEN, Japan. NOTOX B.V. 5231 DD 's-Hertogenbosch, The Netherlands (Unpublished report provided by notifier).
- NOTOX B.V. (2007i) Notified polymer: Evaluation of the Mutagenic Activity in the *Salmonella Typhimurium* Reverse Mutation Assay and the *Escherichia Coli* Reverse Mutation Assay (with Independent Repeat). Final Report March 2007, Project 484545 for SEIKO EPSON CORPORATION, NAGANO-KEN, Japan. NOTOX B.V. 5231 DD 's-Hertogenbosch, The Netherlands (Unpublished report provided by notifier).
- NOTOX B.V. (2007j) Notified polymer: Evaluation of the Ability to Induce Chromosome Aberrations in Cultured Peripheral Human Lymphocytes (with Repeat Experiment). Final Report March 2007, Project 484546 for SEIKO EPSON CORPORATION, NAGANO-KEN, Japan. NOTOX B.V. 5231 DD 's-Hertogenbosch, The Netherlands (Unpublished report provided by notifier).

- NOTOX B.V. (2007k). Determination Of 'Ready' Biodegradability: Carbon Dioxide (CO₂) Evolution Test (Modified Sturm Test) Of Notified Polymer. 14 May 2007, Project 484547 for SEIKO EPSON CORPORATION, NAGANO-KEN, Japan. NOTOX B.V. 5231 DD 's-Hertogenbosch, The Netherlands (Unpublished report provided by notifier).
- NOTOX B.V. (2007l). 96-Hour Acute Toxicity Study In Carp With Notified Polymer (Static) 12 June 2007, Project 484548 for SEIKO EPSON CORPORATION, NAGANO-KEN, Japan. NOTOX B.V. 5231 DD 's-Hertogenbosch, The Netherlands (Unpublished report provided by notifier).
- NOTOX B.V. (2007m). Acute Toxicity Study in *Daphnia magna* With Notified Polymer (Static) 12 June 2007, Project 484549 for SEIKO EPSON CORPORATION, NAGANO-KEN, Japan. NOTOX B.V. 5231 DD 's-Hertogenbosch, The Netherlands (Unpublished report provided by notifier).
- NOTOX B.V. (2007n). Fresh Water Algal Growth Inhibition Test With Notified Polymer 12 June 2007, Project 484550 for SEIKO EPSON CORPORATION, NAGANO-KEN, Japan. NOTOX B.V. 5231 DD 's-Hertogenbosch, The Netherlands (Unpublished report provided by notifier).
- United Nations (2003) Globally Harmonised System of Classification and Labelling of Chemicals (GHS). United Nations Economic Commission for Europe (UN/ECE), New York and Geneva.