

File No: STD/1623

October 2017

**NATIONAL INDUSTRIAL CHEMICALS NOTIFICATION AND ASSESSMENT SCHEME
(NICNAS)**

PUBLIC REPORT

Chemical in EFKA FA 4665 and EFKA FA 4666

This Assessment has been compiled in accordance with the provisions of the *Industrial Chemicals (Notification and Assessment) Act 1989* (the Act) and Regulations. This legislation is an Act of the Commonwealth of Australia. The National Industrial Chemicals Notification and Assessment Scheme (NICNAS) is administered by the Department of Health, and conducts the risk assessment for public health and occupational health and safety. The assessment of environmental risk is conducted by the Department of the Environment and Energy.

This Public Report is available for viewing and downloading from the NICNAS website or available on request, free of charge, by contacting NICNAS. For requests and enquiries please contact the NICNAS Administration Coordinator at:

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SUMMARY

The following details will be published in the NICNAS *Chemical Gazette*:

| ASSESSMENT REFERENCE | APPLICANT(S) | CHEMICAL OR TRADE NAME | HAZARDOUS CHEMICAL | INTRODUCTION VOLUME | USE |
|----------------------|--------------------|---|--------------------|------------------------|--|
| STD/1623 | BASF Australia Ltd | Chemical in EFKA FA 4665 and EFKA FA 4666 | Yes | < 120 tonnes per annum | Component of industrial and automotive paints and coatings |

CONCLUSIONS AND REGULATORY OBLIGATIONS

Hazard classification

Based on the available information, the notified chemical is recommended for hazard classification according to the *Globally Harmonised System of Classification and Labelling of Chemicals (GHS)*, as adopted for industrial chemicals in Australia. The recommended hazard classification is presented in the following table.

| <i>Hazard classification</i> | <i>Hazard statement</i> |
|---|--|
| Serious eye damage/eye irritation (Category 2A) | H319 – Causes serious eye irritation |
| Skin corrosion/irritation (Category 2) | H315 – Causes skin irritation |
| Skin Sensitisation (Category 1) | H317 – May cause an allergic skin reaction |

Human health risk assessment

Provided that the recommended controls are being adhered to, under the conditions of the occupational settings described, the notified chemical is not considered to pose an unreasonable risk to the health of workers.

When used in the proposed manner, the notified chemical is not considered to pose an unreasonable risk to public health.

Environmental risk assessment

On the basis of the PEC/PNEC ratio and the reported use pattern, the notified chemical is not considered to pose an unreasonable risk to the environment.

Recommendations

REGULATORY CONTROLS

Hazard Classification and Labelling

- The notified chemical should be classified as follows:
 - Serious eye damage/eye irritation (Category 2A): H319 – Causes serious eye irritation
 - Skin corrosion/irritation (Category 2): H315 – Causes skin irritation
 - Skin Sensitisation (Category 1): H317 – May cause an allergic skin reaction

Health Surveillance

- As the notified chemical is a skin sensitizer, employers should carry out health surveillance for any worker who has been identified in the workplace risk assessment as having a significant risk of sensitisation.

CONTROL MEASURES

Occupational Health and Safety

- A person conducting a business or undertaking at a workplace should implement the following engineering controls to minimise occupational exposure to the notified chemical during reformulation:
 - Enclosed, automated processes, where possible
 - Adequate ventilation
- A person conducting a business or undertaking at a workplace should implement the following safe work practices to minimise occupational exposure during handling of the notified chemical during reformulation:
 - Avoid contact with skin and eyes
 - Avoid inhalation of aerosol
- A person conducting a business or undertaking at a workplace should ensure that the following personal protective equipment is used by workers to minimise occupational exposure to the notified chemical during reformulation:
 - Protective clothing
 - Impervious gloves
 - Safety glasses
 - Respiratory protection, if inhalation exposure may occur

Guidance in selection of personal protective equipment can be obtained from Australian, Australian/New Zealand or other approved standards.

- Spray applications should be carried out in accordance with the Safe Work Australia Code of Practice for *Spray Painting and Powder Coating* (SWA, 2015) or relevant State or Territory Code of Practice.
- A copy of the SDS should be easily accessible to employees.
- If products and mixtures containing the notified chemical are classified as hazardous to health in accordance with the *Globally Harmonised System of Classification and Labelling of Chemicals (GHS)* as adopted for industrial chemicals in Australia, workplace practices and control procedures consistent with provisions of State and Territory hazardous substances legislation should be in operation.

Disposal

- Where reuse or recycling are not appropriate, dispose of the notified chemical in an environmentally sound manner in accordance with relevant Commonwealth, state, territory and local government legislation.

Storage

- The handling and storage of the notified chemical should be in accordance with the Safe Work Australia Code of Practice for Managing Risks of Hazardous Chemicals in the Workplace (SWA, 2012) or relevant State or Territory Code of Practice.

Emergency procedures

- Spills or accidental release of the notified chemical should be handled by physical containment, collection and subsequent safe disposal.

Regulatory Obligations

Secondary Notification

This risk assessment is based on the information available at the time of notification. The Director may call for the reassessment of the chemical under secondary notification provisions based on changes in certain circumstances. Under Section 64 of the *Industrial Chemicals (Notification and Assessment) Act (1989)* the

notifier, as well as any other importer or manufacturer of the notified chemical, have post-assessment regulatory obligations to notify NICNAS when any of these circumstances change. These obligations apply even when the notified chemical is listed on the Australian Inventory of Chemical Substances (AICS).

Therefore, the Director of NICNAS must be notified in writing within 28 days by the notifier, other importer or manufacturer:

- (1) Under Section 64(2) of the Act; if
- the function or use of the chemical has changed from a component industrial and automotive paints and coatings, or is likely to change significantly;
 - the amount of chemical being introduced has increased, or is likely to increase, significantly;
 - the chemical has begun to be manufactured in Australia;
 - additional information has become available to the person as to an adverse effect of the chemical on occupational health and safety, public health, or the environment.

The Director will then decide whether a reassessment (i.e. a secondary notification and assessment) is required.

No additional secondary notification conditions are stipulated.

Safety Data Sheet

The SDS of products containing the notified chemical provided by the notifier were reviewed by NICNAS. The accuracy of the information on the SDS remains the responsibility of the applicant.

ASSESSMENT DETAILS

1. APPLICANT AND NOTIFICATION DETAILS

APPLICANT(S)

BASF Australia Ltd (ABN: 62 008 437 867)
Level 12, 28 Freshwater Place
SOUTHBANK VIC 3006

NOTIFICATION CATEGORY

Standard: Chemical other than polymer (more than 1 tonne per year).

EXEMPT INFORMATION (SECTION 75 OF THE ACT)

Data items and details claimed exempt from publication: chemical name, other names, CAS number, molecular and structural formulae, molecular weight, analytical data, impurities, additives/adjuvants, use details, import volume, and identity of manufacturer.

VARIATION OF DATA REQUIREMENTS (SECTION 24 OF THE ACT)

Variation to the schedule of data requirements is claimed as follows: melting point, boiling point, hydrolysis as a function of pH, partition coefficient, dissociation constant, and flammability.

PREVIOUS NOTIFICATION IN AUSTRALIA BY APPLICANT(S)

None

NOTIFICATION IN OTHER COUNTRIES

Korea, Japan, Taiwan, New Zealand, Canada and China

2. IDENTITY OF CHEMICAL

MARKETING NAME(S)

EFKA FA 4665 (product containing the notified chemical at <50% concentration)
EFKA FA 4666 (product containing the notified chemical at <50% concentration)

MOLECULAR WEIGHT

> 400 g/mol

ANALYTICAL DATA

Reference NMR, IR, UV spectra were provided.

3. COMPOSITION

DEGREE OF PURITY

The notified chemical is a UVCB substance.

4. PHYSICAL AND CHEMICAL PROPERTIES

APPEARANCE AT 20 °C AND 101.3 kPa: Highly viscous, dull yellow liquid

| Property | Value | Data Source/Justification |
|--------------------------------|----------------------------------|--|
| Melting Point/Freezing Point | Not determined | The notified chemical is imported only in solution at < 50% concentration |
| Boiling Point | Not determined | A normal boiling point temperature could not be determined due to the limited stability of the test substance |
| Relative Density | 1051.5 kg/m ³ at 20°C | Measured |
| Vapour Pressure | 0.02 kPa at 20 °C | Measured |
| Water Solubility | < 10 mg/L at 20°C | Measured |
| Hydrolysis as a Function of pH | Not determined | The notified chemical contains hydrolysable functionalities, however, due to its limited water solubility, it is expected to hydrolyse slowly in the |

| | | |
|---|------------------------------|--|
| Partition Coefficient (n-octanol/water) | $\log P_{ow} > 5$ at 20°C | environmental pH range (4-9) at ambient temperature Estimated from single solubility in n-octanol and in water |
| Surface Tension | 63 mN/m | Measured |
| Adsorption/Desorption | $\log K_{oc} = 5.23$ at 25°C | Measured |
| Dissociation Constant | Not determined | The notified chemical contains potential anionic functionalities with a typical $pK_a \sim 4$. It is expected to be ionised in the environmental pH range (4 - 9) |
| Flash Point | 138.5 °C at 101.3 kPa | Measured |
| Flammability | Not determined | Not highly flammable based on measured flash point |
| Autoignition Temperature | 374 °C | Measured |
| Explosive Properties | Not determined | Could not be determined due to the exothermic decomposition energy determined by the DSC test (< 500 J/g). However, the notified chemical is not expected to have explosive properties based on the chemical structure |
| Oxidising Properties | Not determined | Not expected to have oxidising properties based on the chemical structure |

DISCUSSION OF PROPERTIES

For full details of tests on physical and chemical properties, refer to Appendix A.

Reactivity

The notified chemical is expected to be stable under normal conditions of use.

Physical hazard classification

Based on the submitted physico-chemical data depicted in the above table, the notified chemical is not recommended for hazard classification according to the *Globally Harmonised System of Classification and Labelling of Chemicals (GHS)*, as adopted for industrial chemicals in Australia.

5. INTRODUCTION AND USE INFORMATION

MODE OF INTRODUCTION OF NOTIFIED CHEMICAL (100%) OVER NEXT 5 YEARS

The notified chemical will be introduced into Australia at < 50% concentration in 18 kg plastic Jerri cans and 180 kg lined steel tight head drum containers. Paint products containing the notified chemical at < 5% concentration will be imported in 1L, 4L, 10L lined steel cans and 210 kg drums.

MAXIMUM INTRODUCTION VOLUME OF NOTIFIED CHEMICAL (100%) OVER NEXT 5 YEARS

| Year | 1 | 2 | 3 | 4 | 5 |
|--------|------|------|-------|-------|-------|
| Tonnes | < 20 | < 60 | < 120 | < 120 | < 120 |

PORT OF ENTRY

Melbourne

TRANSPORTATION AND PACKAGING

The imported products containing the notified chemical at < 50% concentration will be transported from the port wharf to the notifier contracted warehouse, then to the paint manufacturers' sites by road.

USE

The notified chemical will be used as a component of industrial and automotive paints and coatings at < 5% concentration. The paints will be applied by spray (50%), brush and roller.

OPERATION DESCRIPTION

The notified chemical will not be manufactured in Australia. The notified chemical will be imported at < 50% concentration.

Reformulation of paints

At the reformulation site, the imported products containing the notified chemical at < 50% concentration will be transferred to the mixing tank by gravity feed or by low pressure pumps. Solvent, resin and pigments will be added to the mixing tank. Mixing process is expected to be equipped with local exhaust ventilation. Once mixing is complete sampling for quality control purposes will take place and the finished industrial and automotive paints and coatings will be pumped to filling machines where it will be transferred to a variety of containers (1 L, 4 L and 10 L cans and 210 kg lined steel drums) through gravity feed or low pressure pumps.

End-use

Industrial and automotive paints and coatings containing the notified chemical at < 5% concentration will be used by professional workers in industrial settings and are expected to be applied by spray, roller or brush. It is not anticipated that finished paints containing the notified chemical will be sold in general retail paint outlets and hardware stores.

6. HUMAN HEALTH IMPLICATIONS**6.1. Exposure Assessment****6.1.1. Occupational Exposure**

CATEGORY OF WORKERS

| <i>Category of Worker</i> | <i>Exposure Duration (hours/day)</i> | <i>Exposure Frequency (days/year)</i> |
|---------------------------|--------------------------------------|---------------------------------------|
| Transport and storage | 1 | 4 |
| Warehouse | 1 | 4 |
| Process operator | 2.5 | 40 |
| Quality control | 0.5 | 40 |
| Packaging | 2 | 40 |
| End use | 1 | 60 |

EXPOSURE DETAILS

Transport and storage

Transport and storage workers are expected to only come into contact with the notified chemical (at < 50% concentration) in the unlikely event of an accident.

Reformulation

Dermal and ocular exposure may occur when workers manually weigh and pour the imported products containing the notified chemical (at < 50% concentration) into the mixing equipment, or when connecting and disconnecting transfer hoses, and during quality control, and cleaning and maintenance operations. Inhalation exposure is not expected based on the measured low vapour pressure of the notified chemical and the largely enclosed automated processes used during reformulation and packaging.

End-use

Dermal and ocular exposure of workers to the notified chemical (at < 5% concentration) may occur when workers apply industrial and automotive paints and coatings. There is also some potential for inhalation exposure when applying products containing the notified chemical by low pressure spraying methods. Personal protective equipment (PPE) is expected to be worn, including protective clothing, gloves, safety glasses and air fed respirators when aerosols may be present.

6.1.2. Public Exposure

Products containing the notified chemical will not be sold to the general public. Therefore, direct public exposure to the notified chemical is not expected. The general public may come into contact with the cured paint or coating on automotive bodies, where the notified chemical will be trapped within the matrix and will not be available for exposure.

6.2. Human Health Effects Assessment

The results from toxicological investigations conducted on the notified chemical are summarised in the following table. For full details of the studies, refer to Appendix B.

| <i>Endpoint</i> | <i>Result and Assessment Conclusion</i> |
|---|--|
| Rat, acute oral toxicity | LD50 > 2000 mg/kg bw, low toxicity |
| Rat, acute dermal toxicity | LD50 > 2000 mg/kg bw; low toxicity |
| Rabbit, skin irritation | irritating |
| Rabbit, eye irritation | irritating |
| Guinea pig, skin sensitisation –non-adjuvant test. | evidence of sensitisation |
| Rat, combined oral repeated dose toxicity study with the reproductive/developmental screening toxicity test | NOAEL > 1000 mg/kg bw/day (systemic and reproduction/developmental toxicity) |
| Mutagenicity – bacterial reverse mutation | non mutagenic |
| Genotoxicity – <i>in vitro</i> chromosome aberration test in Chinese hamster V79 cells | non genotoxic |
| Genotoxicity – <i>in vivo</i> mammalian erythrocyte micronucleus test | non genotoxic |

Toxicokinetics

Based on the molecular weight (> 400 Da), low water solubility (< 10 mg/L at 20 °C) and high lipophilicity (Log P_{ow} > 5) of the notified chemical, dermal absorption is expected to be limited.

Acute toxicity

The notified chemical was found to have low acute oral and dermal toxicity in rats. Inhalation acute toxicity was not determined due to the high viscosity of the notified chemical (refer to appendix B).

Irritation and sensitisation

Based on studies conducted in rabbits, the notified chemical was considered to be irritating to the skin and eyes.

The notified chemical was a skin sensitizer in guinea pigs using the Buehler test.

Repeated dose toxicity

In a repeated dose oral (gavage) toxicity study combined with the reproduction/developmental toxicity screening test, the notified chemical was administered to rats at 0, 100, 300 and 1000 mg/kg bw/day.

No mortality was noted during the treatment period of the study. At dose level of 1,000 mg/kg bw/day, adverse effects observed included clinical signs, chemistry and microscopic finding but with no toxicological relevance. No abnormal findings of pups or fertility and implantation effects were noted. The No Observed Adverse Effect Level (NOAEL) for systemic and reproduction/developmental toxicity was considered to be 1000 mg/kg bw/day.

Mutagenicity/Genotoxicity

The notified chemical tested negative in a bacterial reverse mutation assay and an *in vitro* chromosome aberration test using Chinese Hamster V79 cells. The notified chemical also tested negative in an *in vivo* mouse bone marrow micronucleus test via the oral route.

Health hazard classification

Based on the available information, the notified chemical is recommended for hazard classification according to the *Globally Harmonised System of Classification and Labelling of Chemicals (GHS)*, as adopted for industrial chemicals in Australia. The recommended hazard classification is presented in the following table.

| <i>Hazard classification</i> | <i>Hazard statement</i> |
|---|--|
| Serious eye damage/eye irritation (Category 2A) | H319 – Causes serious eye irritation |
| Skin corrosion/irritation (Category 2) | H315 – Causes skin irritation |
| Skin Sensitisation (Category 1) | H317 – May cause an allergic skin reaction |

6.3. Human Health Risk Characterisation

6.3.1. Occupational Health and Safety

The notified chemical is irritating to the skin and eye, and is a skin sensitizer.

Reformulation

Reformulation workers may be at risk of irritating and skin sensitising effects when handling the notified chemical as introduced at < 50% concentration. However, the risk is expected to be minimised by the use of appropriate PPE including coveralls, impervious gloves, eye protection, and respiratory protection. In addition, the risk will be further minimised in cases where enclosed and automated processes are used during reformulation.

Provided control measures are in place to limit exposure, the risk to the health of reformulation workers is not considered to be unreasonable.

End-use

Professional painters may be exposed to the notified chemical at < 5% concentration during application of paints by brush, roller and spray. However, given the relatively low concentration of the notified chemical in paint products and the expected use of PPE including respiratory protection during spray operations, the risk to the health of professional painters from use of the notified chemical is not considered to be unreasonable.

6.3.2. Public Health

Although the public will come into contact with articles or surfaces which have been treated with paints or coatings containing the notified chemical, the notified chemical will be bound within an inert matrix and as such direct public exposure to the chemical is expected to be negligible.

When used in the proposed manner, the notified chemical is not considered to pose an unreasonable risk to public health.

7. ENVIRONMENTAL IMPLICATIONS

7.1. Environmental Exposure & Fate Assessment

7.1.1. Environmental Exposure

RELEASE OF CHEMICAL AT SITE

The notified chemical will be imported into Australia as a pigment dispersant in automotive and industrial paints and coatings. The reformulation process will involve adding the imported products containing the notified chemical to the paint mixing tank by gravity feed or low pressure pumps, where it will be blended with other ingredients, and then filled into end use containers. Blending equipment will be cleaned with solvents and the waste liquids containing the notified chemical will be disposed of in accordance with local government regulations. Release of the products containing the notified chemical to the environment in the event of accidental spills or leaks during reformulation, storage and transport is expected to be absorbed on suitable materials and disposed of to landfill in accordance with local government regulations. Empty containers will be collected by a licensed waste contractor for safe disposal.

RELEASE OF CHEMICAL FROM USE

The finished paints containing the notified chemical will be for industrial and commercial use. During use, the paints will be applied primarily by spray, and by brush and roller.

The main release of the notified chemical is likely from overspray during use. The overspray is expected to be collected using standard engineering controls such as spray booths before disposal to landfill. The solvent waste from cleaning of the application equipment is expected to be collected by a licensed waste contractor, and be disposed of in accordance with local government regulations.

During use, the notified chemical may also be released to the environment as accidental spills. These releases are expected to be collected and disposed of to landfill in accordance with local government regulations.

RELEASE OF CHEMICAL FROM DISPOSAL

Most of the notified chemical is expected to share the fate of the substrate to which it has been applied, to be either disposed of to landfill or recycled for metals reclamation. Residual notified chemical in empty end-use containers is expected to be cured into an inert solid matrix and be disposed of to landfill.

7.1.2. Environmental Fate

Results of biodegradation tests conducted on the notified chemical shows that it is not readily biodegradable (15.0% degradation over 28 days in OECD 301B test) but inherently biodegradable in aquatic environment (60.8% degradation over 28 days in OECD 302C test). The notified chemical is not considered to bioaccumulate (BMF = 0.0106) as demonstrated in a bioaccumulation test conducted on fish. For details of the biodegradation and bioaccumulative studies, please refer to Appendix C.

As a result of its use pattern, most of the notified chemical is expected to share the fate of the substrate to which it has been applied, to be either disposed of to landfill or recycled for metals reclamation. In landfill, the notified chemical will be present as cured solids and will be neither bioavailable nor mobile. During metal reclamation, the notified chemical will thermally decompose to form water vapour and oxides of carbon. In landfill, soil, sludge and water, the notified chemical is expected to eventually degrade via biotic and abiotic processes to form water and oxides of carbon.

7.1.3. Predicted Environmental Concentration (PEC)

The notified polymer is not expected to be present at significant concentrations in the aquatic environment because of the very low potential for direct release to surface waters when used in coatings. Therefore, the predicted environmental concentration (PEC) has not been calculated for the notified polymer.

7.2. Environmental Effects Assessment

The results from ecotoxicological investigations conducted on the notified chemical are summarised in the table below. Details of these studies can be found in Appendix C.

| <i>Endpoint</i> | <i>Result</i> | <i>Assessment Conclusion</i> |
|-------------------------------------|-----------------------|---|
| Fish Toxicity | 96h EC50 > 100 mg/L | Not harmful to fish up to its water solubility limit |
| Daphnia Toxicity | 48h EC50 > 100 mg/L | Not harmful to aquatic invertebrates up to its water solubility limit |
| Algal Toxicity | 72h EC50 > 525 mg/L | Not harmful to alga up to its water solubility limit |
| Inhibition of Bacterial Respiration | 3h EC50 > 1000 mg/L | Not expected to inhibit bacterial respiration |
| Earthworm | 14d EC50 > 1000 mg/kg | Not harmful to Earthworm |

Based on the above ecotoxicological endpoints for the notified chemical, it is not expected to be harmful to aquatic life up to the limit of its water solubility. Therefore, the notified chemical is not formally classified under the Globally Harmonised System of Classification and Labelling of Chemicals (GHS) for acute and chronic toxicities (United Nations, 2009).

7.2.1. Predicted No-Effect Concentration

A predicted no-effect concentration (PNEC) has not been calculated for the notified polymer as, based on its reported use pattern, ecotoxicologically significant quantities are not expected to be released to the aquatic environment.

7.3. Environmental Risk Assessment

The risk quotients ($Q = \text{PEC}/\text{PNEC}$) for the notified polymer have not been calculated as PNEC was not calculated and release to the aquatic environment in ecotoxicologically significant concentrations is not expected based on its reported use pattern as a component in industrial and automotive paints. Moreover, after curing, the majority of the imported quantity of the notified polymer will be irreversibly incorporated into an inert matrix and it is not expected to be mobile, bioavailable or bioaccumulative. On the basis of the assessed use pattern, the notified polymer is not expected to pose an unreasonable risk to the environment.

APPENDIX A: PHYSICAL AND CHEMICAL PROPERTIES

| | |
|---------------------------------|--|
| Boiling Point | Not determined |
| Method | OECD TG 103 Boiling Point |
| Remarks | The upper temperature of the static vapour pressure measurement is limited by the equipment to 180 °C. At a temperature of 159.5 °C a continuously increasing pressure was observed caused by a limited stability and thermal change of the liquid test item. A normal boiling temperature could not be obtained. |
| Test Facility | BASF (2012a) |
| Density | 1.0516 at 20 °C |
| Method | OECD TG 109 Density of Liquids and Solids |
| Remarks | The density was measured by an oscillating densitometer. |
| Test Facility | BASF (2012a) |
| Vapour Pressure | 0.02 kPa at 20 °C |
| Method | OECD TG 104 Vapour Pressure |
| Remarks | The vapour pressure at 20, 25 and 50 °C was calculated from the regression equation 0.02 kPa at 20 °C, 0.03 kPa at 25 °C and 0.1 kPa at 50 °C (these values were extrapolated as the upper temperature of the static vapour pressure measurement was limited by the equipment to 180 °C). The regression of the results leads with a mean deviation of 0.12% to the regression equation. |
| Test Facility | BASF (2012a) |
| Water Solubility | < 10 mg/L at 20 °C |
| Method | OECD TG 105 Water Solubility |
| Remarks | EC Council Regulation No 440/2008 A.6 Water Solubility Flask Method |
| Test Facility | BMG Engineering Ltd (2013a) |
| Surface Tension | 63 mN/m at 20 °C |
| Method | OECD TG 115 Surface Tension of Aqueous Solutions |
| Remarks | The test solution was 90% saturation concentration |
| Test Facility | BASF (2012b) |
| Adsorption/Desorption | log K _{oc} = 5.23 at 25 °C |
| Method | OECD TG 121 Adsorption - Desorption. |
| Remarks | HPLC Method |
| Test Facility | BASF (2012c) |
| Flash Point | 138.5 °C at 101.3 kPa |
| Method | EC Council Regulation No 440/2008 A.9 Flash Point |
| Remarks | Closed cup method |
| Test Facility | BASF (2012d) |
| Autoignition Temperature | 374 °C |
| Method | EC Council Regulation No 440/2008 A.15 Auto-Ignition Temperature (Liquids and Gases) |
| Remarks | Open vessel (isobaric conditions) method - EN 14522 |
| Test Facility | BASF (2012d) |

APPENDIX B: TOXICOLOGICAL INVESTIGATIONS**B.1. Acute toxicity – oral**

| | |
|------------------|---|
| TEST SUBSTANCE | Notified chemical |
| METHOD | OECD TG 423 Acute Oral Toxicity – Acute Toxic Class Method EC Council Regulation No 440/2008 B.1 tris Acute Oral Toxicity – Acute Toxic Class Method |
| Species/Strain | Rat/Wistar/Crl:WI (Han) |
| Vehicle | 1, 2 Propanediol |
| Remarks - Method | No deviations from protocol. |

RESULTS

| <i>Group</i> | <i>Number and Sex of Animals</i> | <i>Dose (mg/kg bw)</i> | <i>Mortality</i> |
|--------------|----------------------------------|------------------------|------------------|
| 1 | 3F | 2000 | 0/3 |
| 2 | 3F | 2000 | 0/3 |

| | |
|-------------------|---|
| LD50 | > 2000 mg/kg bw |
| Signs of Toxicity | No clinical signs were observed |
| Effects in Organs | The mean body weight of all animals increased within the normal range throughout the study period. |
| Remarks - Results | There were no macroscopic pathological findings in the animals sacrificed at the end of the observation period. No mortalities occurred. |

CONCLUSION The notified chemical is of low toxicity via the oral route.

TEST FACILITY Bioassay (2012a)

B.2. Acute toxicity – dermal

| | |
|------------------|--|
| TEST SUBSTANCE | Notified chemical |
| METHOD | OECD TG 402 Acute Dermal Toxicity EC Council Regulation No 440/2008 B.3 Acute Toxicity (Dermal) |
| Species/Strain | Rat/ Wistar / Crl:WI (Han) SPF |
| Vehicle | None |
| Type of dressing | Semi-occlusive. |
| Remarks - Method | No deviations from protocol. |

RESULTS

| <i>Group</i> | <i>Number and Sex of Animals</i> | <i>Dose (mg/kg bw)</i> | <i>Mortality</i> |
|--------------|----------------------------------|------------------------|------------------|
| 1 | 5M | 2000 | 0/5 |
| 2 | 5F | 2000 | 0/5 |

| | |
|------------------------------|--|
| LD50 | > 2000 mg/kg bw |
| Signs of Toxicity - Local | Very slight to moderate erythema and very slight to slight oedema were observed in addition to scaling and incrustations. |
| Signs of Toxicity - Systemic | No signs of systemic toxicity were observed |
| Effects in Organs | The female animal gained weight during the second week within the normal range. Another female showed stagnation of body weight during the whole observation period. |
| | No macroscopic pathologic abnormalities were noted in the animals examined at the end of the study. |

| | |
|-------------------|--|
| Remarks - Results | The mean body weight of the male and female animals increased within the normal range throughout the study period, with the exception of one male and female animal which showed stagnation of body weight during the second post-exposure week. |
| CONCLUSION | The notified chemical is of low toxicity via the dermal route. |
| TEST FACILITY | Bioassay (2012b) |

B.3. Acute toxicity – inhalation

| | |
|--------------------|--|
| TEST SUBSTANCE | Notified chemical |
| METHOD | OECD TG 403 Acute Inhalation Toxicity |
| Species/Strain | Not stated |
| Vehicle | Ethanol |
| Method of Exposure | Whole-body exposure. |
| Exposure Period | 4 hours |
| Physical Form | Liquid aerosol. |
| Particle Size | Mass Median Aerodynamic Diameters (MMAD) = 1.67 µm, Geometric Standard Deviations (GSD) = 1.95 |
| Remarks - Method | The test item remained highly viscous even after heating at 80-100 °C. A Hudson nebulizer was used to attempt to generate aerosols, but blocked at concentrations down to 12.5%. A sprayer apparatus was then used but blocked at 50%, with only small percentage of the test item arriving in the inhalation tower at concentration 40% and 30%. Using the sprayer the highest technically achievable aerosol concentration after dilution with ethanol to 20% was 0.24 ± 0.06 mg/L, at higher concentrations the ethanol concentration in the respirable aerosol is in a range where toxic effects due to the ethanol exposure are likely. |
| LC50 | Not determined |
| Signs of Toxicity | Not mentioned in the test study |
| Effects in Organs | No information on effects were stated in the test study |
| Remarks - Results | The study author stated that the aerosol generation properties of Fatty Acids, sunflower oil, conjugated, maleated were shown to be low, it was therefore considered not to be possible to generate a suitable test atmosphere from the test item in its original form for use in an inhalation study. |
| CONCLUSION | The test could not be performed due to the high viscosity of the notified substance |
| TEST FACILITY | Harlan (2013a) |

B.4. Irritation – skin

| | |
|--------------------|---|
| TEST SUBSTANCE | Notified chemical |
| METHOD | OECD TG 404 Acute Dermal Irritation/Corrosion EC Council Regulation No 440/2008 B.4 Acute Toxicity (Skin Irritation) |
| Species/Strain | Rabbit/New Zealand White |
| Number of Animals | 3 |
| Vehicle | None |
| Observation Period | 14 days |
| Type of Dressing | Semi-occlusive. |
| Remarks - Method | No deviations from protocol. |

RESULTS

| <i>Lesion</i> | <i>Mean Score*</i> <i>Animal No.</i> | | | <i>Maximum Value</i> | <i>Maximum Duration of Any Effect</i> | <i>Maximum Value at End of Observation Period</i> |
|------------------------|---|-----|-----|----------------------|---------------------------------------|---|
| | 1 | 2 | 3 | | | |
| <i>Erythema/Eschar</i> | 3 | 3 | 3 | 3 | 14 days | 2 (14 days) |
| <i>Oedema</i> | 3.3 | 2.3 | 2.3 | 4 | 14 days | 3 (14 days) |

* Calculated on the basis of the scores at 24, 48, and 72 hours for EACH animal

Remarks – Results Very slight to moderate to severe erythema and very slight to moderate oedema were observed in all animals, with effects lasting to the end of the 14 day observation period. Scaling was observed in all animals at the end of the observation period and yellowish discolouration at the application site occurred in two animals.

CONCLUSION The notified chemical is irritating to the skin.

TEST FACILITY Bioassay (2012c)

B.5. Irritation – eye

TEST SUBSTANCE Notified chemical

METHOD OECD TG 405 Acute Eye Irritation/Corrosion
EC Council Regulation No 440/2008 B.5 Acute Toxicity (Eye Irritation)

Species/Strain Rabbit/New Zealand White

Number of Animals 3

Observation Period 21 days

Remarks - Method No deviations from protocol.

RESULTS

| <i>Lesion</i> | <i>Mean Score*</i> <i>Animal No.</i> | | | <i>Maximum Value</i> | <i>Maximum Duration of Any Effect</i> | <i>Maximum Value at End of Observation Period</i> |
|-------------------------------|---|-----|-----|----------------------|---------------------------------------|---|
| | 1 | 2 | 3 | | | |
| <i>Conjunctiva: redness</i> | 1.7 | 2 | 2 | 2 | < 21 days | 0 |
| <i>Conjunctiva: chemosis</i> | 2 | 2.3 | 2.7 | 4 | < 21 days | 0 |
| <i>Conjunctiva: discharge</i> | 1 | 2 | 2.3 | 3 | < 7 days | 0 |
| <i>Corneal opacity</i> | 2 | 1 | 1.3 | 2 | < 7 days | 0 |
| <i>Iridial inflammation</i> | 1 | 0.7 | 1 | 1 | < 7 days | 0 |

* Calculated on the basis of the scores at 24, 48, and 72 hours for EACH animal.

Remarks - Results The following effects were noted during the observation period: slight to moderate corneal opacity, moderate iritis, slight to severe conjunctival chemosis, slight to severe discharge, slight to obvious conjunctival redness, small retraction in the eyelid, a small retraction in the eyelid, contracted pupil, and injected scleral vessels in a circumscribed or circular area were also noted in the animals during observation period.

In two animals the ocular reactions were reversible within 14 days, and in one animal reversible within 21 days after application.

CONCLUSION The notified chemical is irritating to the eye.

TEST FACILITY Bioassay (2012d)

B.6. Skin sensitisation

TEST SUBSTANCE Notified chemical

| | | |
|---------------------|--|-------------------|
| METHOD | OECD TG 406 Skin Sensitisation - Buehler test/non adjuvant test | |
| Species/Strain | Guinea pig/SPF albino | |
| PRELIMINARY STUDY | Maximum Non-irritating Concentration: 10% | |
| | topical (induction): 50% | |
| | topical (challenge): 10% | |
| MAIN STUDY | | |
| Number of Animals | Test Group: 20 | Control Group: 10 |
| Vehicle | PEG E 400 | |
| Positive control | Not conducted in parallel with the test substance, but conducted previously in the test laboratory using α -Hexylcinnamaldehyde | |
| INDUCTION PHASE | Induction Concentration: | |
| | topical: 50% (three inductions) | |
| Signs of Irritation | Discrete or patchy erythema to moderate to confluent erythema was observed in 16/20 animals in the test group. | |
| CHALLENGE PHASE | | |
| Challenge | topical: 10% | |
| Remarks - Method | No significant protocol deviations. | |

RESULTS

| <i>Animal</i> | <i>Challenge Concentration</i> | <i>Number of Animals Showing Skin Reactions after challenge</i> | |
|----------------------|--------------------------------|---|-------------|
| | | <i>24 h</i> | <i>48 h</i> |
| <i>Test Group</i> | 0% | 0/10 | 0/10 |
| | 10% | 0/10 | 0/10 |
| <i>Control Group</i> | 0% | 0/20 | 0/20 |
| | 10% | 0/20 | 0/20 |

Remarks - Results All control group animals did not show any signs of skin irritation during the induction phase.

The validity of the test method was confirmed by the satisfactory result with the positive control conducted prior to the test.

Due to the frequency of positive skin reactions in the test group (55 %) compared to the control group (0%), the sensitisation potential of the test item has been sufficiently shown in the first challenge and a second challenge was waived.

CONCLUSION There was evidence of reactions indicative of skin sensitisation to the notified chemical under the conditions of the test.

TEST FACILITY Frey-tox (2012)

B.7. Repeat dose toxicity

TEST SUBSTANCE Notified chemical

METHOD OECD TG 422 Combined Repeated Dose Toxicity Study with the Reproduction/Developmental Toxicity Screening Test

Species/Strain Rat / HanRcc:WIST

Route of Administration Oral – gavage

Exposure Information Total exposure days: 28 days to Male rats; 14 days to female rats prior to pairing and then until the F1 generation reached day 4 post partum.

Dose regimen: 7 days per week

Vehicle PEG 300

Remarks - Method No deviations from protocol.

RESULTS

| <i>Group</i> | <i>Number and Sex of Animals</i> | <i>Dose (mg/kg bw/day)</i> | <i>Mortality</i> |
|--------------|----------------------------------|----------------------------|------------------|
| control | 11 per sex | 0 | 0/22 |
| low dose | 11 per sex | 100 | 0/22 |
| mid dose | 11 per sex | 300 | 0/22 |
| high dose | 11 per sex | 1000 | 0/22 |

Mortality and Time to Death

All animals survived until scheduled necropsy.

Clinical Observations

Bedding in the mouth was noted at the 300 and 1000 mg/kg bw/day. This finding was considered by the study authors to be a sign of discomfort and without toxicological relevance.

One male rat at 300 mg/kg bw/day showed slight breathing noises towards the end of the pre-pairing period. Similar findings were noted at functional observational battery in 5 male rats, treated at 1000 mg/kg bw/day. In the absence of correlated findings in the respiratory system at histopathology, the study authors considered this finding to be not adverse. No findings at detailed weekly clinical observation were noted in female rats at any dose level and in male rats treated at 0, 100 and 300 mg/kg bw/day.

There were no effects on mean food consumption, mean body weight gain or mean body weights at any dose level and in any period.

Locomotor activity was not affected by the treatment with the test item at any dose level.

Laboratory Findings – Clinical Chemistry, Haematology, Urinalysis

There were no treatment related adverse effects on clinical chemistry, haematology or urinalysis noted.

Effects in Organs

No effects on organ weights were noted in any group.

There were no test item-related macroscopic findings in any group.

Microscopically, minimal hepatocellular hypertrophy in the liver at ≥ 300 mg/kg bw/day and minimal diffuse follicular cell hypertrophy in the thyroid gland at 1000 mg/kg bw/day were noted in individual male rats. These changes were considered to reflect an adaptive response of the liver to increased metabolic load and subsequent activation of the hypothalamic-pituitary-thyroid axis due to increased thyroid hormone turnover, the latter being rat-specific with no relevance to humans. Some male and female rats at 1000 mg/kg bw/day showed hypertrophy and/or vacuolation in the adrenal glands, likely related to stress and therefore considered not to be toxicologically relevant.

Reproductive and developmental effects

There were no treatment related adverse effects on any reproductive or developmental parameters at any dose level.

Remarks – Results

There were no treatment related adverse effects observed during the study.

CONCLUSION

The No Observed Adverse Effect Level (NOAEL) was established by the study author as 1000 mg/kg bw/day in this study, based on the absence of treatment related adverse effects.

TEST FACILITY Harlan (2013b)

B.8. Genotoxicity – bacteria

TEST SUBSTANCE Notified chemical

METHOD OECD TG 471 Bacterial Reverse Mutation Test
EC Directive 2000/32/EC B.13/14 Mutagenicity – Reverse Mutation Test using Bacteria

| | | | |
|----------------------------------|---|--|--|
| Species/Strain | Standard plate test (SPT) procedure and Pre incubation procedure (PIT) <i>S. typhimurium</i> : TA1535, TA1537, TA98, TA100 <i>E. coli</i> : WP2uvrA, | | |
| Metabolic Activation System | S9 fraction from phenobarbital/beta-naphthoflavone induced (Aroclor 1254) rat liver | | |
| Concentration Range in Main Test | Standard plate test a) With metabolic activation: 33 to 5500 µg/plate b) Without metabolic activation: 33 to 5500 µg/plate | | |
| Vehicle | Pre incubation procedure a) With metabolic activation: 10 to 2750 µg/plate b) Without metabolic activation: 10 to 2750 µg/plate | | |
| Remarks - Method | Dimethylsulfoxide (DMSO) No significant protocol deviations Due to limited solubility of the test substance in ultrapure water, DMSO was used as a vehicle. | | |

RESULTS

| Metabolic Activation | Test Substance Concentration (µg/plate) Resulting in: | | | |
|----------------------|---|---------------------------|---------------|------------------|
| | Cytotoxicity in Preliminary Test | Cytotoxicity in Main Test | Precipitation | Genotoxic Effect |
| Absent | > 5500 | | | |
| Test 1 | | > 5500 | > 5500 | Negative |
| Test 2 | | > 2750 | > 2750 | Negative |
| Present | > 5500 | | | |
| Test 1 | | > 5500 | > 5500 | Negative |
| Test 2 | | > 2750 | > 2750 | Negative |

Remarks - Results

No relevant increase in the number of revertant colonies of any of the tested strains were observed following treatment with the notified chemical at any dose level, with and without metabolic activation, in either mutation test.

A bacteriotoxic effect was observed in the PIT from 333 µg/plate onwards.

CONCLUSION

The notified chemical not mutagenic to bacteria under the conditions of the test.

TEST FACILITY

BASF (2012e)

B.9. Genotoxicity – *in vitro*

| | |
|-----------------------------|---|
| TEST SUBSTANCE | Notified chemical |
| METHOD | OECD TG 473 <i>In vitro</i> Mammalian Chromosome Aberration Test |
| Species/Strain | Chinese hamster |
| Cell Type/Cell Line | V79 |
| Metabolic Activation System | S9 Microsomal fraction from male rats induced with Phenobarbital/β-Naphthoflavone |
| Vehicle | DMSO |
| Remarks - Method | No significant deviations from protocol |

| Metabolic Activation | Test Substance Concentration (µg/mL) | Exposure Period | Selection Time |
|----------------------|---|-----------------|----------------|
| Absent | | | |
| Test 1 | 0, 0.5, 0.9, 1.9, 3.8, 7.6, 15.1, 30.2*, 60.5*, 120.9*, 241.9, 483.8, 967.5 | 4 hours | 18 hours |
| Test 2B | 0, 2.0, 3.9, 7.8, 15.6, 31.3*, 62.5*, 125.0*, 250.0*, 500.0 | 18 hours | 18 hours |

| | | | |
|----------------|--|----------|----------|
| Test 2B | 0, 2.0, 3.9, 7.8, 15.6*, 31.3*, 62.5*, 125.0, 250.0, 500.0 | 28 hours | 28 hours |
| Test 2D | 0, 12.5, 25.0, 50.0, 75.0, 100.0*, 125.0*, 150.0*, 175.0*, 300.0 | 28 hours | 28 hours |
| <i>Present</i> | | | |
| Test 1 | 0, 1.9, 3.8, 7.6, 15.1, 30.2, 60.5, 120.9*, 241.9*, 483.8*, 967.5, 1935.0*, 3870.0 | 4 hours | 18 hours |
| Test 2A | 15.1, 30.2*, 60.5*, 120.9*, 241.9*, 483.8, 967.5, 1935.0, 3870.0 | 4 hours | 28 hours |
| Test 2C | 0, 25.0, 50.0, 100.0*, 150.0*, 200.0*, 250.0*, 300.0*, 400.0, 500.0 | 4 hours | 28 hours |

*Cultures selected for metaphase analysis.

RESULTS

| Metabolic Activation | Test Substance Concentration (µg/mL) Resulting in: | | | |
|----------------------|--|---------------------------|---------------|------------------|
| | Cytotoxicity in Preliminary Test | Cytotoxicity in Main Test | Precipitation | Genotoxic Effect |
| <i>Absent</i> | | | | |
| Test 1 | 120.9 | ≥ 120.9 | ≥ 120.9 | Negative |
| Test 2B | | ≥ 500.0 | ≥ 62.5 | Negative |
| Test 2B | | - | ≥ 62.5 | Negative |
| Test 2D | | ≥ 300.0 | ≥ 125.0 | Negative |
| <i>Present</i> | | | | |
| Test 1 | 1935.0 | ≥ 1935.0 | ≥ 241.9 | Negative |
| Test 2A | | ≥ 241.9 | ≥ 60.5 | Positive |
| Test 2C | | ≥ 200.0 | ≥ 150 | Positive |

Remarks - Results

Cytotoxicity was observed in all of the tests with the exception of 2B with a 28 hour exposure period, although this may be due to the higher concentrations in this test not being evaluable.

No biologically relevant increases in the rate of polyploid or endomitotic metaphases. An increase to 1.5% endomitotic cells in test 2C at 200.0 µg/mL was considered by the study authors to be due to the cytotoxicity at this dose.

There were statistically significant increases in the number of chromosomal aberrations observed in experiment 2A at 60.5, 120.9, and 241.9 µg/mL and also in experiment 2C at 250.0 µg/mL. The study authors regarded the increases as biologically irrelevant due to cytotoxicity at 241.9 and 250.0 µg/mL in tests 2A and 2C respectively, the precipitation and the lack of a dose response in test 2C.

CONCLUSION

The notified chemical was not clastogenic to Chinese hamster V79 cells treated *in vitro* under the conditions of the test.

TEST FACILITY

Harlan CCR (2013a)

B.10. Genotoxicity – in vivo

TEST SUBSTANCE

Notified chemical

METHOD

Species/Strain

OECD TG 474 Mammalian Erythrocyte Micronucleus Test

Route of Administration

NMRI Mouse

Vehicle

Oral

Remarks - Method

DMSO 30 %/ 70 % PEG 400

Slight deviation in the study plan of the relative humidity in the animal rooms ranged between 45 – 78 % instead of 45 – 65 %.

The high dose group and control group were repeated as the formulation

had not reached 2000 mg/kg bw.
Positive control: Cyclophosphamide

| <i>Group</i> | <i>Number and Sex of Animals</i> | <i>Dose (mg/kg bw)</i> | <i>Sacrifice Time (hours)</i> |
|---------------------------|----------------------------------|------------------------|-------------------------------|
| I (vehicle control) | 5M | 0 | 24 & 48 |
| II (low dose) | 7M | 397 | 24 |
| III (mid dose) | 7M | 889 | 24 |
| IV (high dose I) | 7M | 1662 | 24 & 48 |
| V (high dose II) | 7M | 2000 | 24 & 48 |
| VI (positive control, CP) | 5M | 40 | 24 |

CP = cyclophosphamide.

RESULTS

Doses Producing Toxicity

The high dose (2000 mg/kg bw) reached the limit dose for a non-toxic test substance. There were no deaths or test substance-related clinical findings or remarkable bodyweight changes during the study.

Genotoxic Effects

The test substance is considered negative in this micronucleus assay. There was no statistically significant decrease in the PCE/NCE ratio, demonstrating that the test substance was not cytotoxic to the bone marrow. The test substance did not induce a statistically significant increase in the frequency of micronucleated PCE over the levels observed in the vehicle control.

Remarks - Results

The frequency of micronucleated PCE in the positive control was significantly higher than the vehicle control, 1.41% and 0.06%, respectively.

CONCLUSION

The notified chemical was not clastogenic under the conditions of this *in vivo* mammalian erythrocyte micronucleus test.

TEST FACILITY

Harlan CCR (2013b)

APPENDIX C: ENVIRONMENTAL FATE AND ECOTOXICOLOGICAL INVESTIGATIONS

C.1. Environmental Fate

C.1.1. Ready biodegradability

| | |
|-----------------------|---|
| TEST SUBSTANCE | Notified chemical |
| METHOD | OECD TG 301 B Ready Biodegradability: CO ₂ Evolution Test |
| Inoculum | Activated sludge |
| Exposure Period | 28 days |
| Auxiliary Solvent | None |
| Analytical Monitoring | Carbon dioxide |
| Remarks - Method | No significant deviations from the test guidelines were reported. The test substance was weighed onto small glass plates to reach 20 mg/L Total Organic Carbon (TOC) corresponding to approximately 31 mg/L test substance. Because of the poor water solubility of the test substance, these glass plates were treated for few minutes in an ultrasonic bath to ensure an evenly distribution of the test substance in the test medium. The vessel for reference substance aniline was also prepared at 20 mg/L TOC. |

RESULTS

| <i>Test substance</i> | | <i>Aniline</i> | |
|-----------------------|----------------------------------|----------------|----------------------|
| <i>Day</i> | <i>% Degradation^a</i> | <i>Day</i> | <i>% Degradation</i> |
| 4 | 2 | 4 | 33 |
| 7 | 4 | 7 | 61 |
| 11 | 7 | 11 | 76 |
| 14 | 10 | 14 | 76 |
| 21 | 13 | 21 | 73 |
| 28 | 15 | 28 | 78 |

^aMean value of two replicates

| | |
|-------------------|---|
| Remarks - Results | All validity criteria for the test were satisfied. The percentage degradation of the reference compound, aniline surpassed the threshold level of 60 % within 14 days indicating the suitability of the inoculums. The toxicity control exceeded 25% biodegradation after 14 days showing that toxicity was not a factor inhibiting the biodegradability of the test substance. The degree of degradation of the notified chemical after 28 days was 15%. |
| CONCLUSION | The notified chemical is not readily biodegradable. |
| TEST FACILITY | BASF, 2012f |

C.1.2. Bioaccumulation

| | |
|-----------------------|--|
| TEST SUBSTANCE | Notified chemical |
| METHOD | OECD TG 305 Bioconcentration: Flow-through Fish Test. |
| Species | <i>Oncorhynchus mykiss</i> |
| Exposure Period | Exposure: 14 days Depuration: 8 days |
| Auxiliary Solvent | Acetone |
| Concentration Range | Nominal: mixture of 1 part [¹⁴ C] notified chemical and 24 parts unlabelled notified chemical at 500 mg /kg food Actual: 485 mg/kg food |
| Analytical Monitoring | Liquid Scintillation Counter (LSC) |
| Remarks - Method | No significant deviations from the test guidelines were reported. Due to the limited water solubility of the test substance, the dietary exposure test was conducted as recommended by the OECD guidelines. The [¹⁴ C] radiolabelled test substance was dissolved in tert-butyl acetate. 2.7 mg of |

the [^{14}C] test substance in 0.0432 g tert-butyl acetate was weighed into a glass vial. The tert-butyl acetate was completely evaporated. The [^{14}C] test substance was re-dissolved in 10 mL acetone. A 24-fold amount of unlabelled test substance was weighed in a beaker and dissolved in 10 mL acetone. The unlabeled stock solution was added to the labeled stock solution. The acetone stock solution was then pipetted onto 135 g fish diet and mixed completely. The acetone was completely evaporated from the diet.

RESULTS

Biomagnification Factor (BMF)

The lipid- and growth-corrected BMF = 0.0106

Remarks - Results

All validity criteria for the test were satisfied.

CONCLUSION

The test substance is not considered to be bioaccumulative.

TEST FACILITY

BASF, 2014

C.1.3. Inherent biodegradability

TEST SUBSTANCE

Notified chemical

METHOD

OECD TG 302 C Inherent Biodegradability: Modified MITI Test (II)
The Guidelines for Testing of Chemicals HJ/T 153-2004
Chemical Inherent Biodegradation: Modified MITI Test (II) GB/T 21818-2008

Inoculum

A mixture of microorganisms from activated sludge, surface soil and surface water samples collected from ten local sites

Exposure Period

28 days

Auxiliary Solvent

None

Analytical Monitoring

Biological Oxygen Demand (BOD)

Remarks – Method

No significant deviations from the test guidelines were reported. Appropriate amount of the test substance was directly added into each bottle to reach a concentration of 35 mg/L in the bottles.

RESULTS

| <i>Test substance</i> | | <i>Sodium benzoate</i> | |
|-----------------------|----------------------------------|------------------------|----------------------|
| <i>Day</i> | <i>% Degradation^b</i> | <i>Day</i> | <i>% Degradation</i> |
| 4 | 16 | 4 | 85 |
| 7 | 22 | 7 | 86 |
| 11 | 29 | 11 | 88 |
| 14 | 38 | 14 | 90 |
| 21 | 50 | 21 | 92 |
| 28 | 61 | 28 | 93 |

^bMean value of three replicates

Remarks – Results

All validity criteria for the test were satisfied. The percentage degradation of the reference compound, sodium benzoate surpassed the threshold level of 40 % within 7 days and 65% within 14 days, indicating the suitability of the inoculums. The recovery rate of residual amount of the test compound in the “abiotic” test was found to be more than 10% after 28 days. The degree of degradation of the notified chemical after 28 days was 60.8%.

CONCLUSION

The notified chemical is inherently biodegradable.

TEST FACILITY

PEAPC, 2014a

C.2. Ecotoxicological Investigations

C.2.1. Acute toxicity to fish

| | |
|-----------------------|---|
| TEST SUBSTANCE | Notified chemical |
| METHOD | OECD TG 203 Fish, Acute Toxicity Test – Static |
| Species | <i>Danio rerio</i> |
| Exposure Period | 96 hours |
| Auxiliary Solvent | Acetone |
| Water Hardness | 146-188 mg CaCO ₃ /L |
| Analytical Monitoring | High Performance Liquid Chromatography (HPLC) |
| Remarks – Method | No significant deviations from the test guidelines were reported. The test loading was prepared by adding the respective amount of an acetic stock solution to an empty glass vessel. After complete evaporation of the solvent, the natural water was added, moderately stirred for 72 h, followed by filtration. The resulting water soluble fraction (WSF) was used in the test. |

RESULTS

| Concentration mg/L | | Number of Fish | Mortality | | | | |
|--------------------|-------------------|----------------|-----------|------|------|------|------|
| Nominal | Actual | | 2 h | 24 h | 48 h | 72 h | 96 h |
| Control | Control | 7 | 0 | 0 | 1 | 1 | 1 |
| 100 | <LOQ ^c | 7 | 0 | 0 | 0 | 0 | 0 |

^c LOQ: Limit of quantitation is 10 mg/L

LC50 >100 mg/L at 96 hours

Remarks – Results All validity criteria for the test were satisfied.

CONCLUSION The notified chemical is not harmful to fish up to its water solubility limit

TEST FACILITY BMG Engineering Ltd, 2013b

C.2.2. Acute toxicity to aquatic invertebrates

| | |
|-----------------------|--|
| TEST SUBSTANCE | Notified chemical |
| METHOD | OECD TG 202 Daphnia sp. Acute Immobilisation Test and Reproduction Test - Static |
| Species | <i>Daphnia magna</i> |
| Exposure Period | 48 hours |
| Auxiliary Solvent | Acetone |
| Water Hardness | 32 mg CaCO ₃ /L |
| Analytical Monitoring | High Performance Liquid Chromatography (HPLC) |
| Remarks - Method | No significant deviations from the test guidelines were reported. The test loading was prepared by adding the respective amount of stock solution in acetone to an empty glass vessel. After complete evaporation of the solvent, the aerated <i>Daphnia</i> medium was added, moderately stirred for 96 h, followed by filtration. The resulting water soluble fraction (WSF) was used in the test. |

RESULTS

| Concentration mg/L | | Number of <i>D. magna</i> | Number Immobilised | |
|--------------------|-------------------|---------------------------|--------------------|------|
| Nominal | Actual | | 24 h | 48 h |
| Control | Control | 20 | 3 | 2 |
| 100 | <LOQ ^c | 20 | 0 | 0 |

^c LOQ: Limit of quantitation is 10 mg/L

| | |
|-------------------|--|
| LC50 | >100 mg/L at 48 hours |
| Remarks - Results | All validity criteria for the test were satisfied. |
| CONCLUSION | The notified chemical is not harmful to aquatic invertebrates up to its water solubility limit |
| TEST FACILITY | BMG Engineering Ltd, 2013c |

C.2.3. Algal growth inhibition test

| | |
|-----------------------|---|
| TEST SUBSTANCE | Notified chemical |
| METHOD | OECD TG 201 Alga, Growth Inhibition Test. EC Council Regulation No 440/2008 C.3 Algal Inhibition Test. |
| Species | <i>Desmodesmus subspicatus</i> |
| Exposure Period | 72 hours |
| Concentration Range | Nominal: 50, 90, 162, 292, 525 mg/L Actual: < LOQ of 10 mg/L |
| Auxiliary Solvent | Acetone |
| Water Hardness | Not determined |
| Analytical Monitoring | High Performance Liquid Chromatography (HPLC) |
| Remarks - Method | No significant deviations from the test guidelines were reported. The test loading was prepared by adding the respective amount of stock solution in acetone to an empty glass vessel. After complete evaporation of the solvent, the aerated algal medium was added, moderately stirred for 96 h, followed by filtration. The resulting water soluble fraction (WSF) was used in the test. |

RESULTS

| <i>Biomass</i> | | <i>Growth</i> | |
|------------------------------------|----------------------------|------------------------------------|----------------------------|
| <i>EC50</i> <i>mg/L at 72 h</i> | <i>NOEC</i> <i>mg/L</i> | <i>EC50</i> <i>mg/L at 72 h</i> | <i>NOEC</i> <i>mg/L</i> |
| > 525 | 525 | > 525 | 525 |

| | |
|-------------------|---|
| Remarks - Results | All validity criteria for the test were satisfied. |
| CONCLUSION | The notified chemical is not harmful to alga up to its water solubility limit |
| TEST FACILITY | BMG Engineering Ltd, 2013d |

C.2.4. Inhibition of microbial activity

| | |
|---------------------|---|
| TEST SUBSTANCE | Notified chemical |
| METHOD | OECD TG 209 Activated Sludge, Respiration Inhibition Test. EC Directive 88/302/EEC C.11 Biodegradation: Activated Sludge Respiration Inhibition Test |
| Inoculum | Activated sludge from a local municipal STP |
| Exposure Period | 3 hours |
| Concentration Range | Nominal: 62.5, 125, 250, 500, 1000 mg/L Actual: Not determined |
| Remarks – Method | No significant deviations from the test guidelines were reported. The test substance was directly weighted into the test flasks. |
| RESULTS | |
| IC50 | >1000 mg/L |
| NOEC | Not determined |

| | |
|-------------------|---|
| Remarks – Results | All validity criteria for the test were satisfied |
| CONCLUSION | The notified chemical is not expected to inhibit bacterial respiration. |
| TEST FACILITY | BASF, 2012g |

C.2.5. Acute toxicity in earthworm

| | |
|-------------------|--|
| TEST SUBSTANCE | Notified chemical |
| METHOD | OECD TG 207 Earthworms, Acute Toxicity Tests |
| Species | <i>Eisenia foetida</i> |
| Auxiliary solvent | Acetone |
| Exposure Period | 14 days |
| Remarks – Method | No significant deviations from the test guidelines were reported. The test substance dissolved in 5 ml acetone was added into quartz sand and mixed completely. The solvent was removed by volatilisation before the earthworms were introduced. |

RESULTS

| Nominal(mg/kg) | Concentration mg/kg | | Number of Earthworms | Mortality (%) 14 days |
|----------------|---------------------|----------------|----------------------|--------------------------|
| | | Actual | | |
| Control | | Control | 10 | 0 |
| 11.1 | | Not determined | 10 | 0 |
| 101 | | Not determined | 10 | 0 |
| 1001 | | Not determined | 10 | 0 |

| | |
|-------------------|---|
| LC50 | > 1000 mg/kg at 14 days |
| NOEC | 1000 mg/kg at 14 days |
| Remarks – Results | All validity criteria for the test are satisfied. |
| CONCLUSION | The notified chemical is not harmful to earthworm |
| TEST FACILITY | PEAPC, 2014b |

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