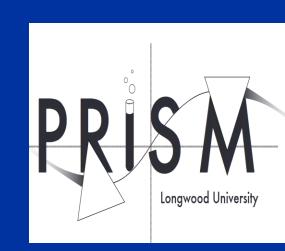
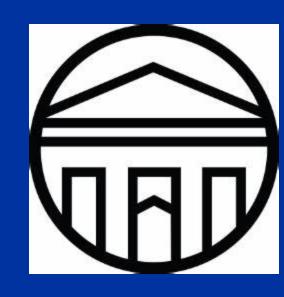
# Temporal-Spatial Occupancy Estimation Using Transformer Encoders



# Somaya Ahmadi Faculty Mentor: Sanish Rai Department of Math and Computer Science, Longwood University

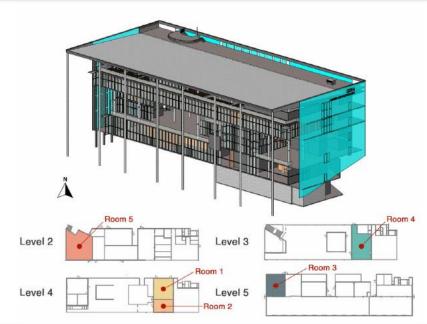


## Background

- Occupancy Estimation [1] uses: energy saving, evacuation planning, crowd management, smart home
- Transformer is a neural network architecture used for performing machine learning tasks. It is used in natural language processing and occupancy estimation.
- For this project, we used an encoder-only Transformer model to estimate the number of occupants in multiple rooms based on environmental sensor data.
- The model captures both temporal patterns from each room and spatial dependencies across rooms and it is highly parallelizable.
- The motivation was to predict occupancy across rooms and understand the temporal context within the data and the spatial context among the rooms.
- We were able to achieve accuracies as high as 92% for 5 rooms.

## **Dataset Information**

- We used ROBOD dataset [2] which contains data from 5 rooms in a university building in Singapore.
- Each room has environmental sensors (HVAC, lighting, plug loads and fans, weather conditions) data collected over a total of 181 days at a resolution of 5 minutes.
- Room 1 and 2 are lecture rooms, Room 3 and 4 are office spaces, and room 5 is a library space.
- Dataset also contains ground truth occupancy count.



# **Experiment Setup**

#### **Transformer Models (Encoder-Only)**

- Transformer models are designed to capture patterns in data using self-attention, which helps the model focus on important parts of the input sequence.
- In our framework, we use temporal encoding to extract time-series context from the room's sensors and spatial encoding to extract spatial context among the rooms.

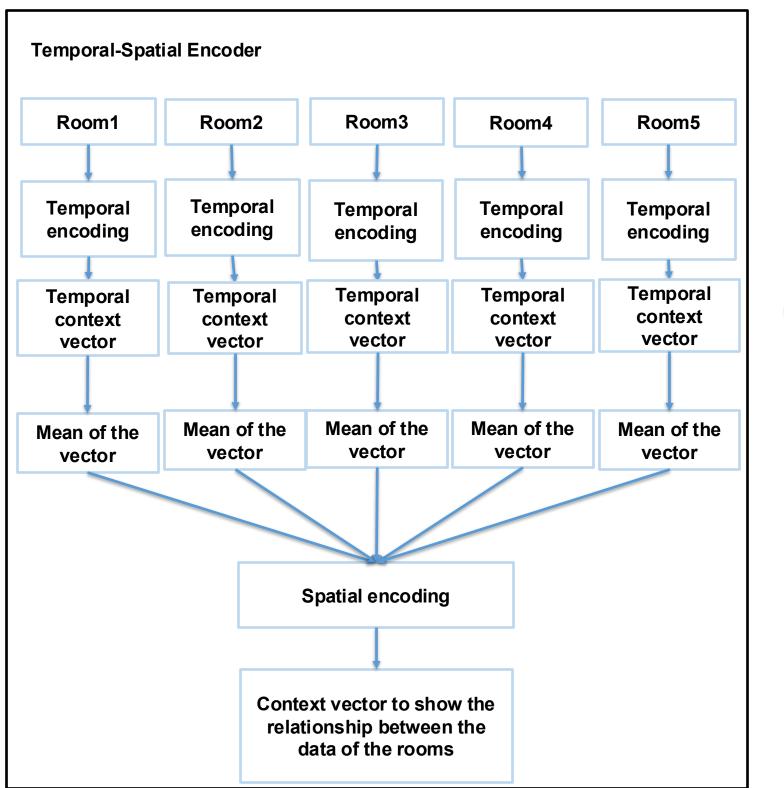


Figure 1. Temporal-Spatial Encoder framework

#### Data Sequencing

- We wanted our data to be compatible with a timeseries based machine to predict occupancy.
- We converted the data into a series of sequences with a fixed size, an overlap, and a specified timestep to predict.

#### Construct dataset and dataloader, select Attention hyperparameters Positional Encoding **Training and Evaluation** Train temporal and spatial encoder Evaluate train & test loss and accuracy Figure 2. Transformer Encoder-Only [3] **Results and Visualization** Plot RMSE, MAE, R<sup>2</sup> Plot context heatmaps

Figure 3. Model training and evaluation

Occupancy Estimation

Data collection and

Preprocessing

Handling missing values, normalizing features, creating

sequences

Data formatting

#### The Experiment

- We took training data and feed it to the model.
- We then made predictions using the testing data and compared the results.
- We can use the trained model for real-world applications.

## **Experiment Results**

#### Experiments for finding the best hyperparameters

- Sequence length
- Overlap
- Hidden dimensions (temporal & spatial)
- Number of layers (temporal & spatial)
- Number of heads (temporal & spatial)
- Epochs
- Batch size (temporal and spatial)
- Dropout rate (temporal & spatial)
- After training the model, we predicted occupancy one step into the future and achieved an average spatial accuracy of 92% across all rooms. We used 75% of the data for training and 25% for testing.

#### Results using the best hyperparameters

#### **Temporal (Single-Room) Transformer Evaluation**

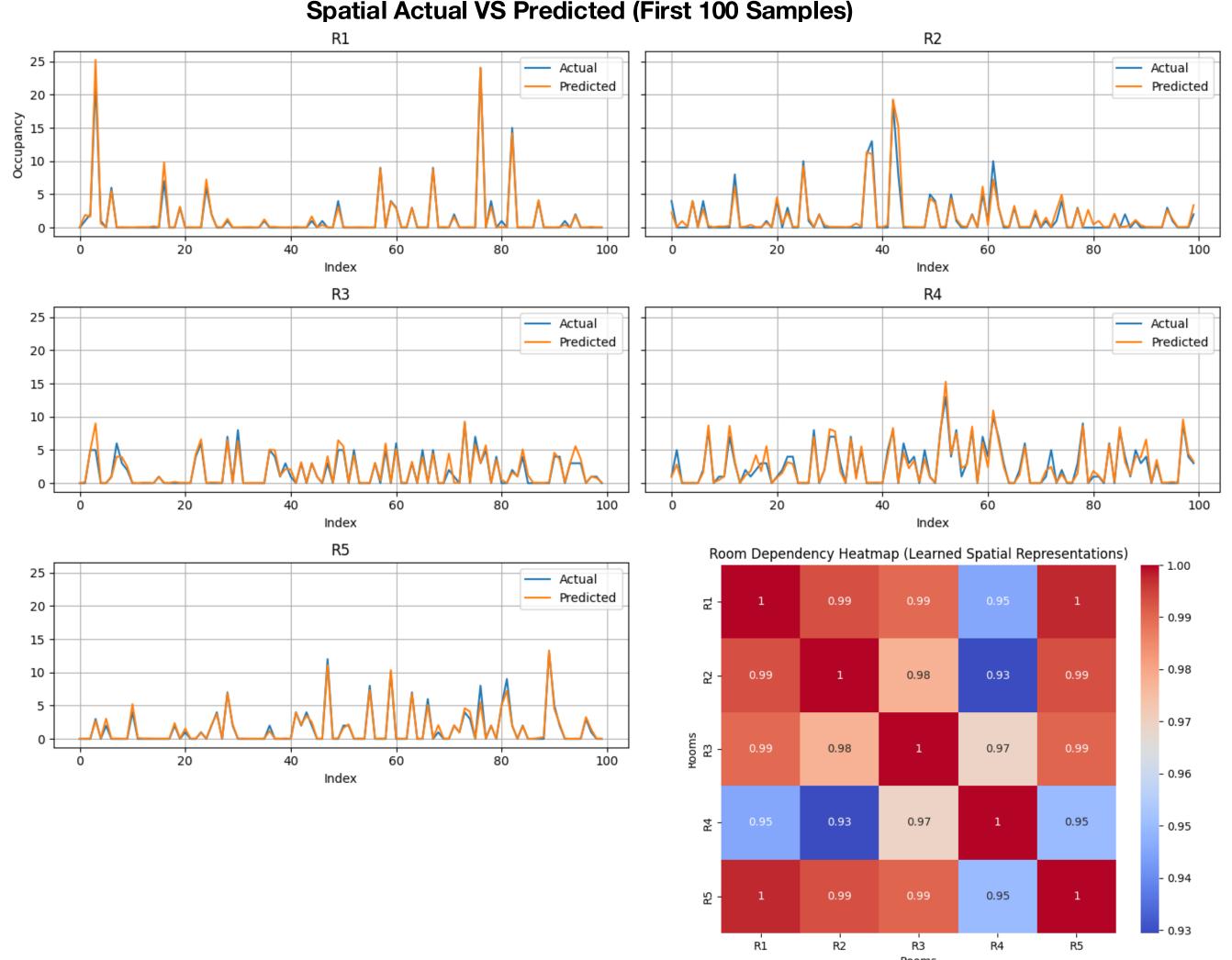
Rooms	RMSE	MAE	R <sup>2</sup>	
Room1 (R1)	0.7645	0.2504	0.9793	
Room2 (R2)	0.8487	0.3060	0.9396	
Room3 (R3)	0.5894	0.2803	0.9439	
Room4 (R4)	0.7828	0.5002	0.9482	
Room5 (R5)	0.6718	0.3177	0.9392	
Spatial (Multi-Room) Transformer Evaluation				

Spatial (Multi-Room) Transformer Evaluation				
Room1 (R1)	1.0163	0.3649	0.9635	
Room2 (R2)	1.4141	0.5537	0.8323	
Room3 (R3)	0.5975	0.3229	0.9423	
Room4 (R4)	0.8898	0.5867	0.9331	
Room5 (R5)	0.6577	0.3417	0.9417	
Total Runtime: 587.49 seconds (9.79 minutes)				

#### Best hyperparameters:

- Sequence Length:
  - Each input sequence, contains 60 time samples of sensor data.
- Overlap:
  - Each subsequent pair of sequences overlaps by 59 time samples.
- Hidden Dimensions:
  - The temporal encoder uses a hidden size of 64 and the spatial encoder uses a hidden size of 32.
- Number of Layers:
  - The temporal encoder has 3 layers, and the spatial encoder has 1 layer.
- Number of Heads:
  - The temporal encoder uses 4 attention heads, and the spatial encoder uses 2 attention heads.
- o Epochs: 30
- The training loop was run 30 times.
- Batch Size: 64
  - For each training loop, data is fed into the machine at batches of 64 data inputs at a
- Dropout:
- Both temporal and spatial encoders use 10% dropout

# **Spatial Actual VS Predicted (First 100 Samples)**



# Conclusions

- Using temporal and spatial encoders, we achieved up to 92% accuracy for the model we created.
- We used a temporal transformer encoder to help each room learn patterns over time.
- We added Spatial encoder to understand relationship and dependency between rooms.
- Even though the accuracy drops compared to non-transformer models, this model retains the time and space context, which can be useful to estimate occupancy when sensor data is missing for certain timesteps.
- The estimation will also use the spatial context for better occupancy in future.
- The training time is comparable to other models since transformers are highly parallelizable with GPU.

### **Future Directions**

- For the future, here are some things we can do to improve:
  - Use better hyperparameters to achieve higher accuracy.
  - Test the model on a raspberry pi.
  - Attempt to forecast multiple timesteps per prediction.

## Acknowledgements

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## References

[1] Aliero, Muhammad S., et al. "Non-Intrusive Room Occupancy Prediction Performance Analysis Using Different Machine Learning Techniques." MDPI, Multidisciplinary Digital Publishing Institute, 6 Dec. 2022, doi.org/10.3390/en15239231.

[2] Tekler, Zeynep Duygu, et al. "ROBOD, room-level occupancy and building operation dataset." Building Simulation. Vol. 15. No. 12. Beijing: Tsinghua University Press, 2022.

[3] Delovski, B. "Intro to Transformers: The Encoder Block," Edlitera, May 3, 2023. [Online]. Available: https://www.edlitera.com/blog/posts/transformers-encoder-

## **Contact Information**

- somaya.ahmadi@live.longwood.edu
- rais@longwood.edu