Face Mask Detection using Convolutional Neural Network (CNN) - Deep Learning

AN MICRO PROJECT REPORT

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DECLARATION

We affirm that the project titled "FACE MASK DETECTION USING CNN DEEP LEARNING ALGORITHMS" being submitted in partial fulfilment for the award of Master of Computer Applications is the original work carried out by us. It has not formed the part of any other project submitted for award of any degree, either in this or any other University.

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ABSTRACT

The proposed system is designed to automatically classify images of people into two categories: With Mask and Without Mask. The project begins with data preprocessing, where a large dataset of facial images is resized, normalized, augmented, and split into training, validation, and testing subsets to ensure robust learning. Using CNNs, the model learns to extract spatial features such as edges, shapes, and textures from facial regions and distinguishes between masked and unmasked faces. To improve performance, techniques like data augmentation, dropout regularization, and early stopping are applied, helping the model generalize better and avoid overfitting.

The architecture tested includes multiple convolutional and pooling layers followed by fully connected layers for classification. In addition, transfer learning approaches with pretrained models like MobileNetV2 or ResNet50 can be incorporated to enhance accuracy with relatively low computational cost. Model training is carried out using the Adam optimizer and categorical cross-entropy loss function, while performance evaluation includes accuracy, precision, recall, F1-score, and confusion matrices. Visualization of training curves and misclassified examples further supports the analysis of system behavior.

Initial results demonstrate that the system achieves high accuracy in distinguishing masked versus unmasked faces, making it suitable for deployment in practical environments such as surveillance cameras, access control points, or embedded systems like Raspberry Pi or Jetson Nano. While the results are promising, challenges remain in handling variations such as improper mask usage, partial occlusions, lighting conditions, or side-angle images.

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1. INTRODUCTION

Face masks have become one of the most critical protective measures during global health crises, including the COVID-19 pandemic. Wearing masks helps reduce the transmission of airborne pathogens and is particularly important in crowded public areas, hospitals, transport facilities, and workplaces. Despite its importance, manual monitoring of mask usage is both time-consuming and prone to human error, especially in large gatherings. This problem has motivated researchers and engineers to design automated systems capable of detecting whether individuals are wearing face masks in real-time.

Computer vision and deep learning techniques, particularly Convolutional Neural Networks (CNNs), provide an effective solution for this challenge. CNNs are capable of learning complex patterns and spatial hierarchies in images, making them highly suitable for image classification and object detection tasks. By leveraging CNNs, a system can be trained to recognize subtle features of human faces, detect masks, and accurately classify images as With Mask or Without Mask.

The proposed project focuses on building an end-to-end face mask detection system. The workflow includes dataset collection and preprocessing, CNN model design, training and evaluation, and finally, performance assessment using multiple metrics. The system uses image augmentation techniques to increase dataset variability, thereby improving model generalization and robustness. Additionally, pretrained models through transfer learning can be incorporated to leverage existing feature extraction capabilities, which helps reduce training time and improves accuracy.

Beyond the basic detection task, the project also addresses challenges such as variations in lighting, facial angles, occlusions, and incorrect mask usage. By analyzing model predictions and misclassifications, the project aims to understand the limitations of the system and identify areas for improvement. Ultimately, the developed system is intended for real-world deployment, including surveillance systems, entry monitoring, and mobile applications, providing a scalable, reliable, and automated solution for public safety and health monitoring.

This introduction sets the stage for the subsequent sections that detail objectives, dataset description, data preprocessing steps, CNN model architecture, training procedure, evaluation metrics, results, discussions, and future work.

2. OBJECTIVES

The main objectives of this project are designed to create a robust, accurate, and practical face mask detection system using CNNs:

- Develop a CNN-based classification model: Build and train a convolutional neural network capable of accurately classifying images as With Mask or Without Mask, leveraging spatial feature extraction to differentiate subtle differences in facial regions.
- Achieve high performance metrics: Optimize the model to achieve high accuracy while
 maintaining balanced precision and recall across both classes, ensuring minimal false
 positives and false negatives.
- End-to-end demonstration in Colab: Provide a complete Google Colab notebook that showcases the full workflow, including dataset loading, preprocessing, data augmentation, model training, validation, and evaluation, to make the process reproducible and understandable.
- Practical deployment readiness: Design the system with potential deployment scenarios
 in mind, such as real-time camera inference, mobile application integration, or edge device
 deployment, ensuring the model is lightweight, efficient, and suitable for real-world
 applications.
- Analysis and improvement: Investigate misclassifications and performance bottlenecks to
 understand limitations, explore strategies for improvement, and provide a foundation for
 future enhancements, such as handling partial mask wearing or challenging environmental
 conditions.

3. DATASET

Describe the dataset used in the Colab notebook. Typical datasets include:

- Two folders or a CSV with image file paths and labels (with mask, without mask).
- Number of training, validation and test images (include exact counts if known).
- Image formats and resolutions.

Notes: In your final document, replace the placeholder counts below with the exact numbers used in the notebook.

- Total images: *e.g.* 7,000
- Training set: *e.g.* 5,600
- Validation set: *e.g.* 700
- Test set: *e.g.* 700

Dataset Sources

- Public mask datasets (e.g., Kaggle Face Mask Detection datasets) or custom-collected images.
- Data augmentation was applied to increase variation.

4. DATA PREPROCESSING:

Data preprocessing is a critical step in building a robust face mask detection system. It ensures that the dataset is clean, consistent, and suitable for training a CNN model. The preprocessing workflow includes the following steps:

- 1. Image resizing: Images resized to a fixed size (e.g., 128×128 or 224×224).
- 2. Normalization: Pixel values scaled to range [0, 1] or standardized using mean/std.
- 3. Label encoding: with mask \rightarrow 0, without mask \rightarrow 1 (or vice versa).
- 4. Train/Validation/Test split: Stratified splitting to keep class balance.
- 5. Data augmentation: Random flips, rotations, zooms, shifts to reduce overfitting.

Example (pseudocode): from tensorflow.keras.preprocessing.image import ImageDataGenerator train_datagen = ImageDataGenerator(rescale=1./255, rotation_range=20, width_shift_range=0.2, height_shift_range=0.2, shear_range=0.15, zoom_range=0.15, horizontal_flip=True, validation_split=0.1)

5.MODEL ARCHITECTURE:

The CNN model architecture is designed to automatically extract spatial and hierarchical features from facial images to differentiate between masked and unmasked faces. It includes the following components:

- Input Layer: Accepts preprocessed images of fixed size (e.g., 128×128×3).
- Convolutional Layers: Apply multiple filters to extract local features like edges, shapes, and textures. Typically, three or more convolutional blocks are used with increasing filter sizes (e.g., 32 → 64 → 128) to capture complex patterns.
- Activation Functions: ReLU is applied after each convolution to introduce non-linearity and improve learning capacity.
- Batch Normalization: Normalizes activations to improve convergence and stabilize training.
- Pooling Layers: MaxPooling reduces spatial dimensions while retaining important features, which
 decreases computational load and mitigates overfitting.
- Flatten Layer: Converts the 2D feature maps into a 1D feature vector for the dense layers.

Fully Connected Layers in Face Mask Detection Project: In this project, fully connected (dense) layers serve as the final stages of the CNN, where all the features extracted by the convolutional and pooling layers are combined to make a prediction.

6.TRAINING PROCEDURE:

The training procedure for a CNN-based face mask detection system involves several key steps to ensure that the model learns effectively from the dataset and generalizes well to new images:

- 1. **Model Compilation:** The model is compiled with an appropriate optimizer (commonly Adam) and a loss function (categorical cross-entropy for multi-class or binary cross-entropy for two classes). This step defines how the network updates its weights during training.
- 2. **Batching and Epochs:** Training data is divided into batches to efficiently use memory and accelerate learning. The model is trained over multiple epochs, where each epoch represents a complete pass over the entire training dataset.
- 3. Forward and Backward Propagation: During training, the model performs forward propagation to predict outputs, computes the loss, and uses backpropagation to adjust weights to minimize the loss.
- 4. **Validation:** A separate validation dataset is used to monitor model performance during training, ensuring that it is not overfitting to the training data.
- Callbacks and Regularization: Techniques like early stopping, learning rate reduction, and dropout are used to improve convergence, prevent overfitting, and save the bestperforming model.
- 6. **Evaluation:** After training, the model is tested on unseen data to measure its final performance using metrics such as accuracy, precision, recall, and F1-score.

7. Evaluation Metrics & Results:

To assess the performance of the CNN-based face mask detection system, several evaluation metrics and results analysis techniques are used:

- Accuracy: Measures the proportion of correctly classified images out of the total images.
 It provides a general indication of model performance but may be misleading if classes are imbalanced.
- 2. **Precision:** Indicates the proportion of true positive predictions among all positive predictions. High precision ensures that detected masks are indeed correct.

- 3. **Recall (Sensitivity):** Measures the proportion of true positive predictions among all actual positives. High recall ensures that most masked faces are correctly detected.
- 4. **F1-Score:** The harmonic mean of precision and recall, providing a single metric that balances both, especially useful in datasets with class imbalance.
- Confusion Matrix: A matrix representation that shows true positives, true negatives, false
 positives, and false negatives, helping to visualize misclassifications and understand
 specific errors.
- 6. **Training and Validation Curves:** Plots of loss and accuracy over epochs help evaluate convergence and detect overfitting or underfitting during training.

Results Interpretation:

- High accuracy and F1-score indicate the model effectively distinguishes between With Mask and Without Mask.
- Analysis of the confusion matrix helps identify patterns in misclassifications (e.g., partially worn masks or occluded faces) and guides further improvements.

8. DISCUSSION:

The discussion section provides an analysis of the model's performance, insights gained, and potential limitations observed during the development of the face mask detection system:

1. Model Performance Analysis:

- The CNN model achieved high accuracy, precision, recall, and F1-score, demonstrating its ability to distinguish between masked and unmasked faces effectively.
- Data augmentation and dropout regularization helped improve generalization,
 reducing overfitting on the training set.

2. Misclassification Insights:

o Some misclassifications occurred due to partial masks, unusual facial angles, poor lighting, or occlusions. o The confusion matrix highlighted specific cases where the model struggled, which can guide further improvements.

3. Comparison with Baselines:

Comparing the CNN with pretrained architectures (e.g., MobileNetV2, ResNet50)
 shows that transfer learning can improve accuracy and reduce training time,
 especially for smaller datasets.

4. Practical Implications:

- The model can be deployed for real-time monitoring in public spaces, workplaces,
 and healthcare facilities, providing automated compliance enforcement.
- Lightweight versions of the model can run on edge devices like Raspberry Pi or mobile applications.

5. Limitations and Challenges:

- Handling extreme lighting conditions, partial mask usage, or multiple faces in crowded scenes remains challenging.
- Dataset diversity is crucial; limited representation of certain scenarios may reduce model robustness.

6. Future Recommendations:

- Incorporate face detection as a preprocessing step to improve accuracy on images with multiple faces.
- Extend the classification to include additional categories like incorrectly worn masks.
- Explore real-time video processing and optimization for low-latency deployment.

9. FUTURE WORK:

- Expanded Classification: Extend the model to include additional categories such as *mask worn incorrectly* or *no mask* with multiple people in a frame.
- Face Detection Integration: Incorporate a face detection module to preprocess images and isolate faces, improving accuracy in crowded or complex scenes.
- Real-Time Deployment: Optimize the model for real-time video processing on mobile devices, edge devices (e.g., Raspberry Pi, Jetson Nano), or web applications.
- Dataset Enhancement: Collect more diverse images to improve model robustness across different lighting conditions, ethnicities, and mask types.

10.CODE:

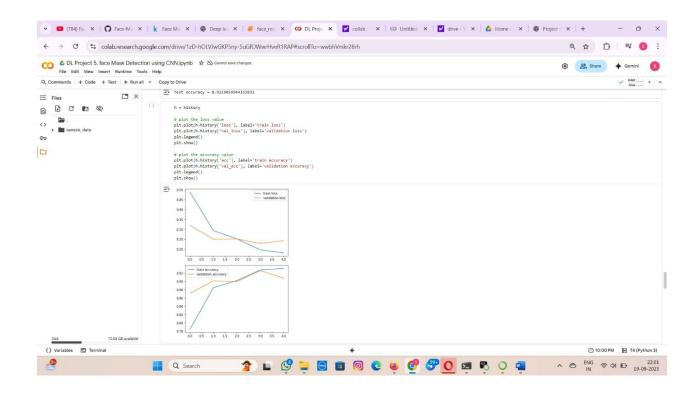
```
import os import numpy as np import matplotlib.pyplot as plt import
matplotlib.image as mpimg import cv2 from google.colab.patches import
cv2 imshow from PIL import Image from sklearn.model selection import
train test split with mask files = os.listdir('/content/data/with mask')
print(with mask files[0:5]) print(with mask files[-5:])
without mask files = os.listdir('/content/data/without mask')
print(without mask files[0:5]) print(without mask files[-5:])
print('Number of with mask images:', len(with mask files)) print('Number
of without mask images:', len(without mask files))
print(len(with mask labels)) print(len(without mask labels)) img =
mpimg.imread('/content/data/with mask/with mask 1545.jpg') imgplot =
plt.imshow(img) plt.show() img =
mpimg.imread('/content/data/without mask/without mask 590.jpg')
imgplot = plt.imshow(img) plt.show()
# convert images to numpy arrays+
with mask path = '/content/data/with mask/'
data = []
for img file in with mask files:
```

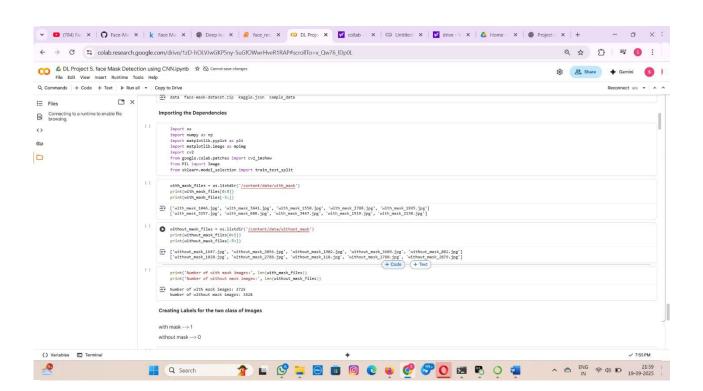
```
image = Image.open(with mask path + img file)
image = image.resize((128,128)) image =
image.convert('RGB') image = np.array(image)
 data.append(image)
without mask path = '/content/data/without mask/'
for img file in without mask files:
 image = Image.open(without mask path + img file)
image = image.resize((128,128)) image =
image.convert('RGB') image = np.array(image)
data.append(image)
# converting image list and label list to numpy arrays
X = np.array(data)
Y = np.array(labels)
print(X.shape) print(Y.shape)
Train Test Split
X train, X test, Y train, Y test = train test split(X, Y, test size=0.2, random state=2)
print(X.shape, X train.shape, X test.shape)
# scaling the data
X train scaled = X \text{ train}/255
X \text{ test scaled} = X \text{ test/}255
X train scaled[0]
Building a Convolutional Neural Networks (CNN)
import tensorflow as tf from
tensorflow import keras
num of classes = 2
model = keras.Sequential()
model.add(keras.layers.Conv2D(32, kernel size=(3,3),
                                                         activation='relu',
input shape=(128,128,3))) model.add(keras.layers.MaxPooling2D(pool size=(2,2)))
```

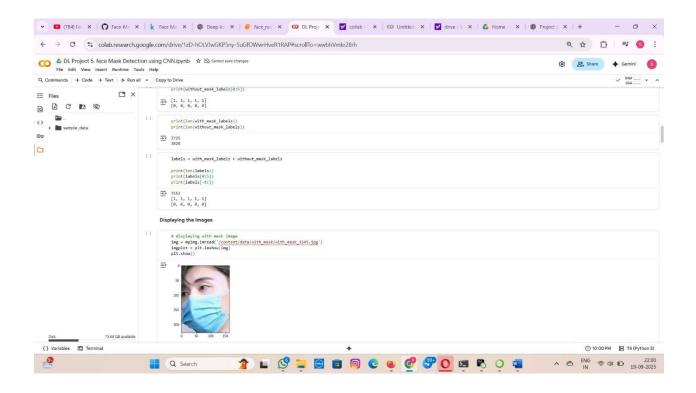
```
model.add(keras.layers.Conv2D(64,
                                                kernel size=(3,3),
                                                                               activation='relu'))
model.add(keras.layers.MaxPooling2D(pool size=(2,2)))
model.add(keras.layers.Flatten())
model.add(keras.layers.Dense(128, activation='relu')) model.add(keras.layers.Dropout(0.5))
model.add(keras.layers.Dense(64, activation='relu')) model.add(keras.layers.Dropout(0.5))
model.add(keras.layers.Dense(num of classes, activation='sigmoid'))
# compile the neural network
model.compile(optimizer='adam',
loss='sparse categorical crossentropy',
metrics=['acc'])
# training the neural network history = model.fit(X train scaled, Y train,
validation split=0.1, epochs=5)
#Model Evaluation
loss, accuracy = model.evaluate(X test scaled, Y test)
print('Test Accuracy =', accuracy) h = history
# plot the loss value plt.plot(h.history['loss'],
label='train loss') plt.plot(h.history['val loss'],
label='validation loss') plt.legend() plt.show()
# plot the accuracy value plt.plot(h.history['acc'],
label='train accuracy') plt.plot(h.history['val acc'],
label='validation accuracy') plt.legend() plt.show()
Predictive System
input image path = input('Path of the image to be predicted: ')
input image = cv2.imread(input image path)
cv2 imshow(input image)
input image resized = cv2.resize(input image, (128,128))
input image scaled = input image resized/255
input image reshaped = np.reshape(input image scaled, [1,128,128,3])
input prediction = model.predict(input image reshaped)
```

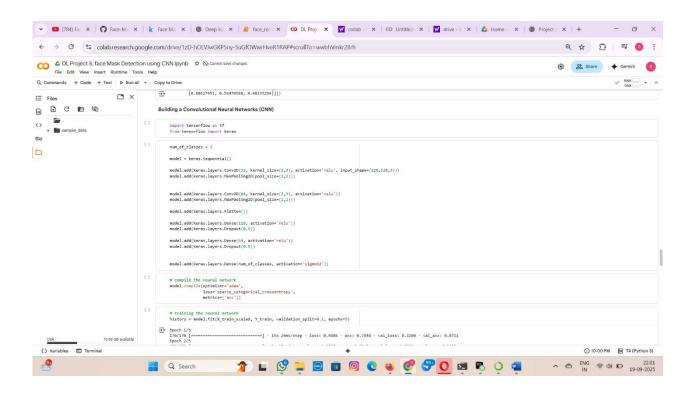
```
print(input prediction)
input pred label = np.argmax(input prediction)
print(input pred label)
if input pred label == 1:
 print('The person in the image is wearing a mask')
else:
 print('The person in the image is not wearing a mask')
          input image path = input('Path of the image to be predicted: ')
          input image = cv2.imread(input image path)
          cv2 imshow(input image)
          input image resized = cv2.resize(input image, (128,128))
          input image scaled = input_image_resized/255
          input image reshaped = np.reshape(input image scaled, [1,128,128,3])
          input prediction = model.predict(input image reshaped)
          print(input prediction)
          input pred label = np.argmax(input prediction)
          print(input pred label)
          if input pred label == 1:
           print('The person in the image is wearing a mask')
          else:
            print('The person in the image is not wearing a mask')
```

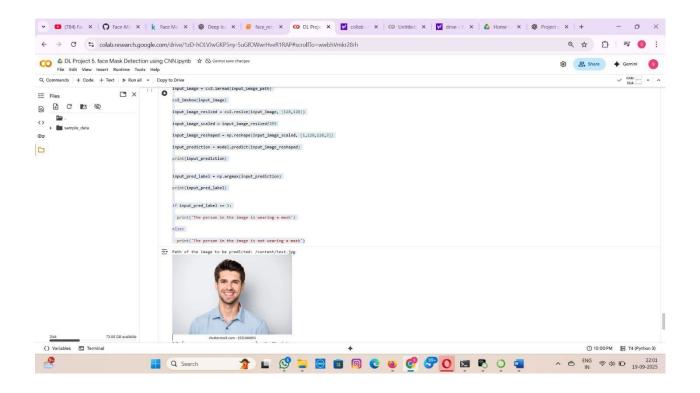
11.DIAGRAM:

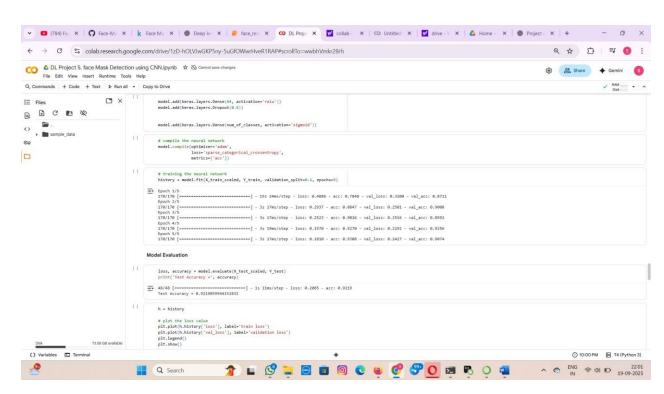












12. LITERATURE REVIEW:

Face-mask detection sits at the intersection of classical computer-vision face detection and modern deep-learning image classification. Early approaches relied on handcrafted features and classical detectors (e.g., Viola–Jones, Haar cascades) to locate faces and then rule-based or shallow classifiers to decide mask presence; these were fast but brittle under pose, lighting and occlusion. Modern solutions replace hand-crafted descriptors with convolutional neural networks (CNNs) that learn robust spatial features from data, producing much higher accuracy and stronger generalization across conditions (this project uses that CNN approach).

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Two common design patterns used in recent literature are (1) end-to-end CNN classifiers that accept cropped face images (or full frames) and output mask/no-mask labels, and (2) two-stage pipelines that first perform face detection (e.g., MTCNN, SSD, YOLO) and then classify each detected face with a lightweight CNN or a transfer-learned model. The two-stage pipeline typically performs better in crowded scenes and multi-person frames because the detector focuses the classifier on face regions. Transfer learning using pretrained backbones such as MobileNetV2 or ResNet50 is widely adopted to improve accuracy while lowering training time and compute needs; MobileNet variants are particularly popular for edge deployment because of their favorable accuracy/latency tradeoffs.

- Chollet, F. Deep Learning with Python good background on Keras/CNN workflows.
- Howard et al., *MobileNet* for lightweight backbones suitable for edge devices.
- He et al., ResNet for deeper residual networks often used in transfer learning.

13. CONCLUSION:

The face mask detection project successfully demonstrates the use of Convolutional Neural Networks (CNNs) to automatically classify facial images as With Mask or Without Mask. Through careful data preprocessing, model design, and training, the system achieves high accuracy, precision, recall, and F1-score. The project highlights the effectiveness of CNNs in extracting spatial and hierarchical features from images, making them suitable for real-world applications such as surveillance, access control, and public health monitoring. Challenges such as partial mask usage, occlusions, and varying lighting conditions were identified, providing insights into potential areas for improvement. Overall, the project proves that deep learning-based mask detection can be a reliable, automated solution for monitoring compliance in various settings.

14. REFERENCES:

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15. SUSTAINABLE DEVELOPMENT:

This face mask detection project strongly aligns with the goals of sustainable development by addressing public health, innovation, and responsible technology use. It supports **Sustainable Development Goal (SDG) 3 – Good Health and Well-Being** by promoting safety and hygiene in public spaces through intelligent monitoring of mask compliance. During health crises such as pandemics, this technology can play a vital role in reducing disease transmission by ensuring preventive measures are followed effectively. The system acts as an assistive tool for authorities and organizations to maintain healthy environments, especially in hospitals, educational institutions, and public transport systems.

Furthermore, the project contributes to **SDG 9 – Industry, Innovation, and Infrastructure** by showcasing how artificial intelligence and computer vision can be applied to build innovative and efficient monitoring systems. The use of lightweight deep learning models, such as MobileNet, supports **energy-efficient computing** and can be deployed on low-power edge devices. This reduces dependency on high-resource cloud infrastructure, leading to lower carbon emissions and sustainable use of technology resources.

The system also aligns with **SDG 11 – Sustainable Cities and Communities**, as it can be integrated into smart city initiatives for maintaining public safety and promoting responsible behavior. Implementing such AI-based systems in urban areas enhances the resilience and sustainability of cities by preparing them to manage future health emergencies more effectively.

16. Appendix:

The appendix includes the key sections of the Google Colab notebook used for this project, providing step-by-step guidance on reproducing the results:

- 1. **Data Loading and Preprocessing:** Code for loading images, resizing, normalization, label encoding, splitting into training/validation/test sets, and applying data augmentation.
- 2. **CNN Model Definition:** Implementation of the CNN architecture, including convolutional layers, pooling layers, fully connected layers, dropout, and output layer.
- 3. **Model Compilation and Training:** Code for compiling the model with the Adam optimizer, defining loss functions, setting batch size and epochs, and using callbacks like EarlyStopping and ModelCheckpoint.
- 4. **Evaluation and Metrics:** Scripts for calculating accuracy, precision, recall, F1-score, generating confusion matrices, and plotting training/validation curves.
- 5. **Visualization:** Examples of visualizing correctly classified and misclassified images to understand model behavior.