

# Dynamic Programming

COMP 6651 – Algorithm Design Techniques

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# Last video...

- Dynamic programming paradigm
- Optimal substructure property; proof often based on “cut-and-paste”
- Overlapping subproblems property
- Semantic array
- Computational array
- Correctness argument: semantic array = computational array
- Computing optimal value vs. finding optimal solution
- Examples: Fibonacci sequence, LIS, Weighted Interval Scheduling, Integral Knapsack

# Longest Common Subsequence - LCS (CLRS 15.4)

Input:  $X[1..m], Y[1..n]$  - two sequences of characters

Output: longest subsequence common to both  $X$  and  $Y$

Examples

Diagram illustrating the Longest Common Subsequence (LCS) between the sequences `s p r i n g t i m e` and `p i o n e e r`. The LCS is `pineer`, highlighted by lines connecting the characters: `s` to `p`, `r` to `i`, `n` to `n`, `t` to `e`, `i` to `e`, and `m` to `r`.

Diagram illustrating the Longest Common Subsequence (LCS) between the sequences `h o r s e b a c k` and `s n o w f l a k e`. The LCS is `snake`, highlighted by lines connecting the characters: `h` to `s`, `r` to `n`, `e` to `w`, `b` to `f`, `a` to `a`, and `c` to `k`.

Diagram illustrating the Longest Common Subsequence (LCS) between the sequences `m a e l s t r o m` and `b e c a l m`. The LCS is `bealm`, highlighted by lines connecting the characters: `m` to `b`, `a` to `e`, `e` to `c`, `l` to `a`, `s` to `l`, `t` to `m`, and `r` to `m`.

Diagram illustrating the Longest Common Subsequence (LCS) between the sequences `h e r o i c a l l y` and `s c h o l a r l y`. The LCS is `scholarly`, highlighted by lines connecting the characters: `h` to `s`, `e` to `c`, `r` to `h`, `o` to `o`, `i` to `l`, `c` to `a`, `a` to `r`, `l` to `l`, and `l` to `y`.

# Sub-problems

We can reduce the problem size by reducing length of  $X$

We can reduce the problem size by reducing length of  $Y$

We may have to do both!

Sub-problem: LCS between  $X[1..i]$  and  $Y[1..j]$

# Optimal Substructure Property

Consider  $OPT$  – LCS between  $X[1..i]$  and  $Y[1..j]$

$OPT$  is one of the following:

- $X[i] = Y[j]$  is the last character of  $OPT$  + LCS between  $X[1..i - 1]$  and  $Y[1..j - 1]$
- $OPT$  doesn't contain  $X[i]$  as the last character, so  $OPT = \text{LCS}$  between  $X[1..i - 1]$  and  $Y[1..j]$
- $OPT$  doesn't contain  $Y[j]$  as the last character, so  $OPT = \text{LCS}$  between  $X[1..i]$  and  $Y[1..j - 1]$

**Proof:** “cut and paste” argument.

# Computing optimal value

Semantic array

$$D[i, j] = \text{length of the LCS between } X[1..i] \text{ and } Y[1..j]$$

Solution to the whole problem is  $D[m, n]$

Computational array

$$D[i, j] = \max \begin{cases} D[i - 1, j] \\ D[i, j - 1] \\ 1 + D[i - 1, j - 1], & \text{if } X[i] = Y[j] \end{cases}$$

$$D[0, j] = D[i, 0] = 0 \text{ for } i \in \{0, 1, \dots, m\}, j \in \{0, 1, \dots, n\}$$

# Pseudocode

$LCS(X[1..m], Y[1..n])$

instantiate array  $D[0..m, 0..n]$

**for**  $i = 0$  **to**  $m$   $D[i, 0] \leftarrow 0$

**for**  $j = 0$  **to**  $n$   $D[0, j] \leftarrow 0$

**for**  $i = 1$  **to**  $m$

**for**  $j = 1$  **to**  $n$

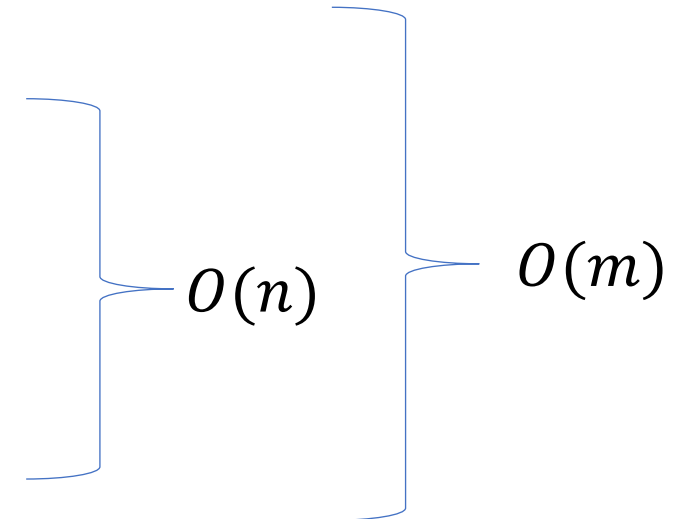
$D[i, j] \leftarrow \max(D[i - 1, j], D[i, j - 1])$

**if**  $X[i] = Y[j]$

$D[i, j] \leftarrow \max(D[i, j], 1 + D[i - 1, j - 1])$

**return**  $D[m, n]$

Overall running time is  $O(n \cdot m)$



Example      $X[1..7] =$      C O U N T E R

$Y[1..8] =$      C O M P U T E R

$$D[i, j] = \max \begin{cases} D[i-1, j] \\ D[i, j-1] \\ 1 + D[i-1, j-1], & \text{if } X[i] = Y[j] \end{cases}$$

$D[0, j] = D[i, 0] = 0$  for  $i \in \{0, 1, \dots, m\}, j \in \{0, 1, \dots, n\}$

	0	1	2	3	4	5	6	7	8
0									
1									
2									
3									
4									
5									
6									
7									



Example      $X[1..7] =$    C O U N T E R

$Y[1..8] =$    C O M P U T E R

$$D[i, j] = \max \begin{cases} D[i-1, j] \\ D[i, j-1] \\ 1 + D[i-1, j-1], \quad \text{if } X[i] = Y[j] \end{cases}$$

$D[0, j] = D[i, 0] = 0$  for  $i \in \{0, 1, \dots, m\}, j \in \{0, 1, \dots, n\}$

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0								
2	0								
3	0								
4	0								
5	0								
6	0								
7	0								

Example      $X[1..7] =$  C O U N T E R

$Y[1..8] =$  C O M P U T E R

$$D[i, j] = \max \begin{cases} D[i-1, j] \\ D[i, j-1] \\ \mathbf{1 + D[i-1, j-1]}, & \text{if } X[i] = Y[j] \end{cases}$$

$D[0, j] = D[i, 0] = 0$  for  $i \in \{0, 1, \dots, m\}, j \in \{0, 1, \dots, n\}$

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	1							
2	0								
3	0								
4	0								
5	0								
6	0								
7	0								

Example      $X[1..7] =$  C O U N T E R

$Y[1..8] =$  C O M P U T E R

$$D[i, j] = \max \begin{cases} D[i-1, j] \\ \textcolor{brown}{D[i, j-1]} \\ 1 + D[i-1, j-1], \quad \text{if } X[i] = Y[j] \end{cases}$$

$D[0, j] = D[i, 0] = 0$  for  $i \in \{0, 1, \dots, m\}, j \in \{0, 1, \dots, n\}$

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	1	1						
2	0								
3	0								
4	0								
5	0								
6	0								
7	0								

Example      $X[1..7] =$  C O U N T E R

$Y[1..8] =$  C O M P U T E R

$$D[i, j] = \max \begin{cases} D[i-1, j] \\ \textcolor{brown}{D[i, j-1]} \\ 1 + D[i-1, j-1], & \text{if } X[i] = Y[j] \end{cases}$$

$D[0, j] = D[i, 0] = 0$  for  $i \in \{0, 1, \dots, m\}, j \in \{0, 1, \dots, n\}$

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	1	1	1	1	1	1	1	1
2	0								
3	0								
4	0								
5	0								
6	0								
7	0								

Example      $X[1..7] =$     C O U N T E R

$Y[1..8] =$     C O M P U T E R

$$D[i, j] = \max \begin{cases} D[i-1, j] \\ D[i, j-1] \\ 1 + D[i-1, j-1], & \text{if } X[i] = Y[j] \end{cases}$$

$D[0, j] = D[i, 0] = 0$  for  $i \in \{0, 1, \dots, m\}, j \in \{0, 1, \dots, n\}$

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	1	1	1	1	1	1	1	1
2	0	1							
3	0								
4	0								
5	0								
6	0								
7	0								

Example      $X[1..7] =$      C   O   U   N   T   E   R

$Y[1..8] =$      C   O   M   P   U   T   E   R

$$D[i, j] = \max \begin{cases} D[i-1, j] \\ D[i, j-1] \\ \mathbf{1 + D[i-1, j-1]}, & \text{if } X[i] = Y[j] \end{cases}$$

$D[0, j] = D[i, 0] = 0$  for  $i \in \{0, 1, \dots, m\}, j \in \{0, 1, \dots, n\}$

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	1	1	1	1	1	1	1	1
2	0	1	2						
3	0								
4	0								
5	0								
6	0								
7	0								

Example      $X[1..7] =$      C   O   U   N   T   E   R

$Y[1..8] =$      C   O   M   P   U   T   E   R

$$D[i, j] = \max \begin{cases} D[i-1, j] \\ \textcolor{brown}{D[i, j-1]} \\ 1 + D[i-1, j-1], \quad \text{if } X[i] = Y[j] \end{cases}$$

$D[0, j] = D[i, 0] = 0$  for  $i \in \{0, 1, \dots, m\}, j \in \{0, 1, \dots, n\}$

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	1	1	1	1	1	1	1	1
2	0	1	2	<b>2</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>2</b>
3	0								
4	0								
5	0								
6	0								
7	0								

Example      $X[1..7] =$     C O U N T E R

$Y[1..8] =$     C O M P U T E R

$$D[i, j] = \max \begin{cases} D[i-1, j] \\ D[i, j-1] \\ 1 + D[i-1, j-1], \quad \text{if } X[i] = Y[j] \end{cases}$$

$D[0, j] = D[i, 0] = 0$  for  $i \in \{0, 1, \dots, m\}, j \in \{0, 1, \dots, n\}$

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	1	1	1	1	1	1	1	1
2	0	1	2	2	2	2	2	2	2
3	0	1	2	2	2	3	3	3	3
4	0	1	2	2	2	3	3	3	3
5	0	1	2	2	2	3	4	4	4
6	0	1	2	2	2	3	4	5	5
7	0	1	2	2	2	3	4	5	6



# Constructing an actual subsequence

As usual, record which choice in max results in value of  $D[i, j]$

Store the result in  $Prev[i, j]$ :

Modify the innermost for loop as follows:

$D[i, j] \leftarrow 0$

**if**  $D[i, j - 1] > D[i - 1, j]$

$D[i, j] \leftarrow D[i, j - 1]$

$Prev[i, j] \leftarrow (i, j - 1)$

**else**

$D[i, j] \leftarrow D[i - 1, j]$

$Prev[i, j] \leftarrow (i - 1, j)$

...

...

**if**  $X[i] = Y[j]$  **and**  $1 + D[i - 1, j - 1] > D[i, j]$

$D[i, j] \leftarrow 1 + D[i - 1, j - 1]$

$Prev[i, j] \leftarrow (i - 1, j - 1)$



# Constructing an actual subsequence

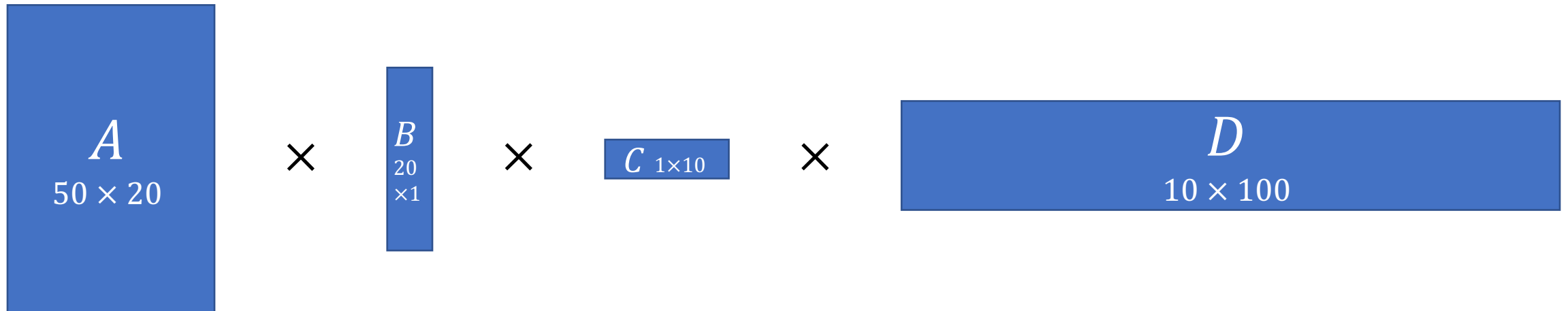
```
result  $\leftarrow \emptyset$   
i  $\leftarrow m$   
j  $\leftarrow n$   
while i > 0 and j > 0  
    (newi, newj)  $\leftarrow Prev[i, j]$   
    if newi = i - 1 and newj = j - 1  
        result.insert_to_front(X[i])  
    i  $\leftarrow new_i$   
    j  $\leftarrow new_j$ 
```

# Chain Matrix Multiplication (CLRS 15.2)

Consider multiplying 4 matrices

$$A \times B \times C \times D$$

$$\begin{aligned} \text{size}(A) &= 50 \times 20 & \text{size}(B) &= 20 \times 1 \\ \text{size}(C) &= 1 \times 10 & \text{size}(D) &= 10 \times 100 \end{aligned}$$



Matrix multiplication is ***not commutative***

in general  $A \times B \neq B \times A$

Matrix multiplication is ***associative***

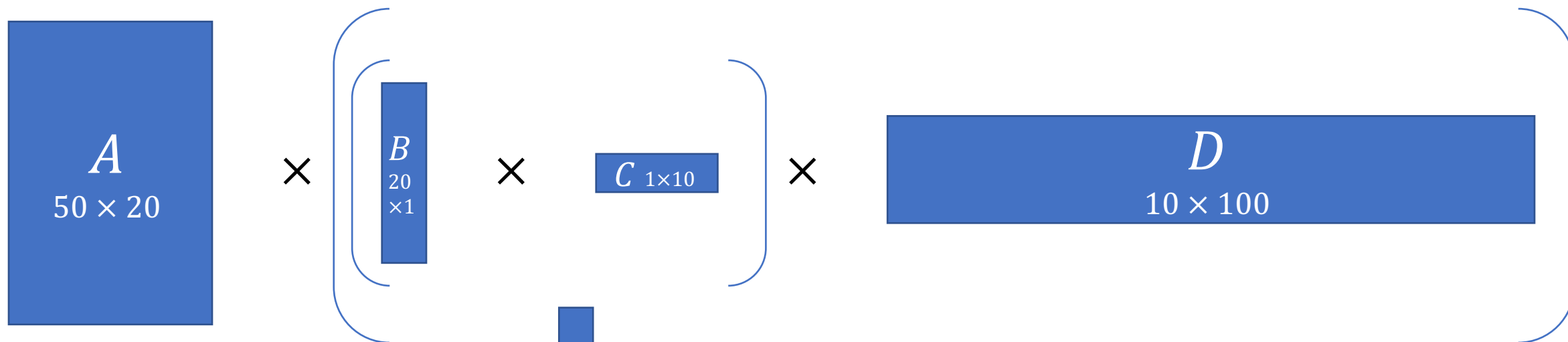
$$(A \times B) \times C = A \times (B \times C)$$

Parenthesis affect the order in which matrices are multiplied, but doesn't affect the result

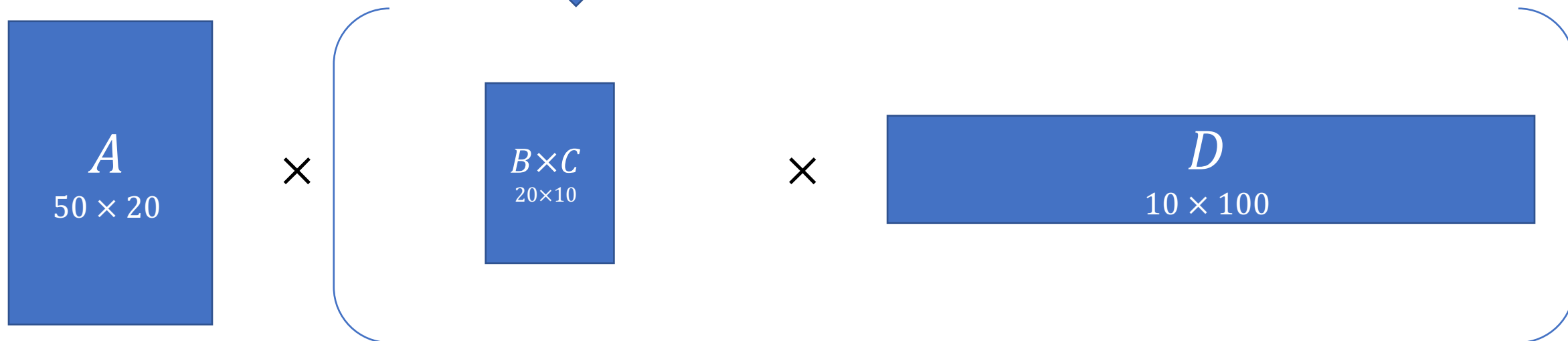
Different orders can have vastly different costs in terms of multiplications of individual elements

Assume that  $m \times n$  matrix with  $n \times p$  matrix takes  $mnp$  multiplications

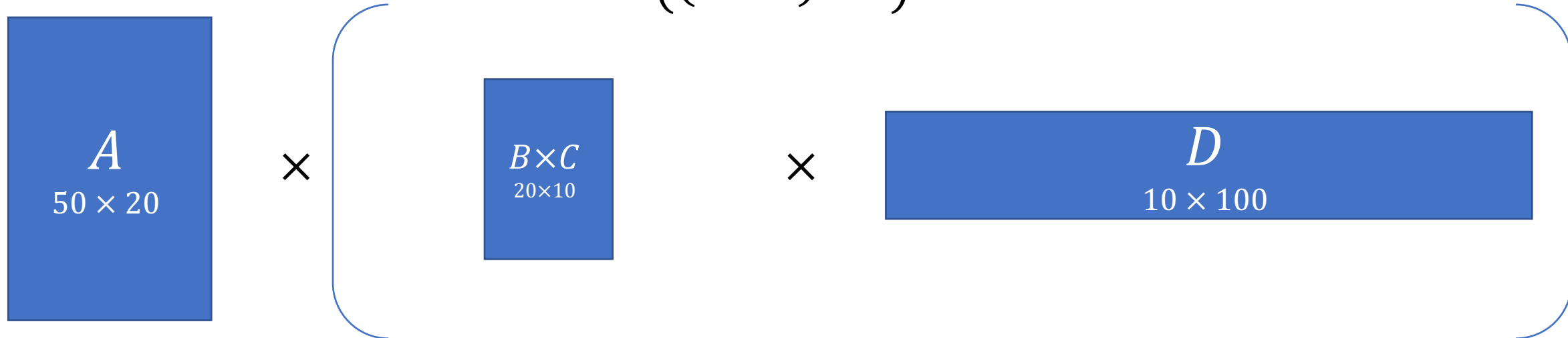
$$A \times ((B \times C) \times D)$$



$$\text{Cost} = 20 \cdot 1 \cdot 10 = 200$$



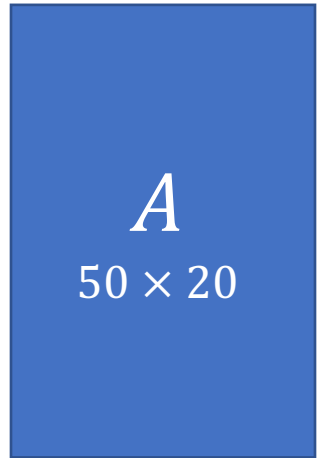
$$A \times ((B \times C) \times D)$$



$$\text{Cost} = 20 \cdot 10 \cdot 100 = 20000$$



$$A \times ((B \times C) \times D)$$



$\times$



$$\text{Cost} = 50 \cdot 20 \cdot 100 = 100000$$



$$\text{Total cost is } 200 + 20000 + 100000 = 120200$$

$$A \times B \times C \times D$$

$$\begin{aligned} \text{size}(A) &= 50 \times 20 & \text{size}(B) &= 20 \times 1 \\ \text{size}(C) &= 1 \times 10 & \text{size}(D) &= 10 \times 100 \end{aligned}$$

Consider different orders:

Parenthesization	Cost Computation	Cost
$A \times ((B \times C) \times D)$	$20 \cdot 1 \cdot 10 + 20 \cdot 10 \cdot 100 + 50 \cdot 20 \cdot 100$	120,200
$(A \times (B \times C)) \times D$	$20 \cdot 1 \cdot 10 + 50 \cdot 20 \cdot 10 + 50 \cdot 10 \cdot 100$	60,200
$(A \times B) \times (C \times D)$	$50 \cdot 20 \cdot 1 + 1 \cdot 10 \cdot 100 + 50 \cdot 1 \cdot 100$	7,000



# Chain Matrix Multiplication

More generally, determine an optimal order to multiply matrices

$$A_1 \times A_2 \times \cdots \times A_n$$

with respective dimensions

$$p_0 \times p_1, p_1 \times p_2, \dots, p_{n-1} \times p_n$$

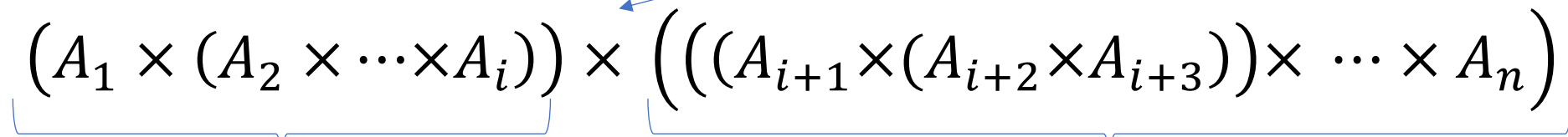
Formally:

**Input:**  $P[0..n]$  - array of  $n$  positive integers, representing dimensions of matrices as above

**Output:** optimal parenthesization to minimize the total cost of multiplying

# Sub-problems

Consider an optimal parenthesization

$$\underbrace{(A_1 \times (A_2 \times \cdots \times A_i))}_{\text{sub-problem}} \times \underbrace{\left( ((A_{i+1} \times (A_{i+2} \times A_{i+3})) \times \cdots \times A_n) \right)}_{\text{sub-problem}}$$


Some multiplication is going to be performed last according to this parenthesization

This naturally partitions the original problem into two sub-problems

sub-problems

last multiplication

# Sub-problems

More generally, sub-problems are defined by two indices  $i$  and  $j$

Find minimum cost parenthesization of

$$A_i \times A_{i+1} \times \cdots \times A_j$$

where  $1 \leq i \leq j \leq n$

# Optimal substructure property

Let  $OPT[i, j]$  denote the minimum cost of parenthesization of  
$$A_i \times A_{i+1} \times \cdots \times A_j$$

Then  $OPT[i, j]$  consists of performing  $k$ th multiplication last for some  $k \in \{i, i + 1, \dots, j - 1\}$  (assuming  $j > i$ ) and optimally parenthesizing

$$A_i \times A_{i+1} \times \cdots \times A_k \text{ and } A_{k+1} \times \cdots \times A_j$$

Therefore:  $OPT[i, j] = OPT[i, k] + OPT[k + 1, j] + p_{i-1} \cdot p_k \cdot p_j$

**Proof:** “cut and paste” argument

# Computing optimal value

Semantic array:

$$D[i, j] = \text{minimum cost of multiplication of } A_i \times \cdots \times A_j$$

Solution to the whole problem is  $D[1, n]$

Computational array:

$$D[i, j] = \begin{cases} 0 & i = j \\ \min_{i \leq k < j} D[i, k] + D[k + 1, j] + p_{i-1} \cdot p_k \cdot p_j & i < j \end{cases}$$

Sub-problem  $A_i \times \cdots \times A_j$  has **size**  $s = j - i$  number of matrix multiplications

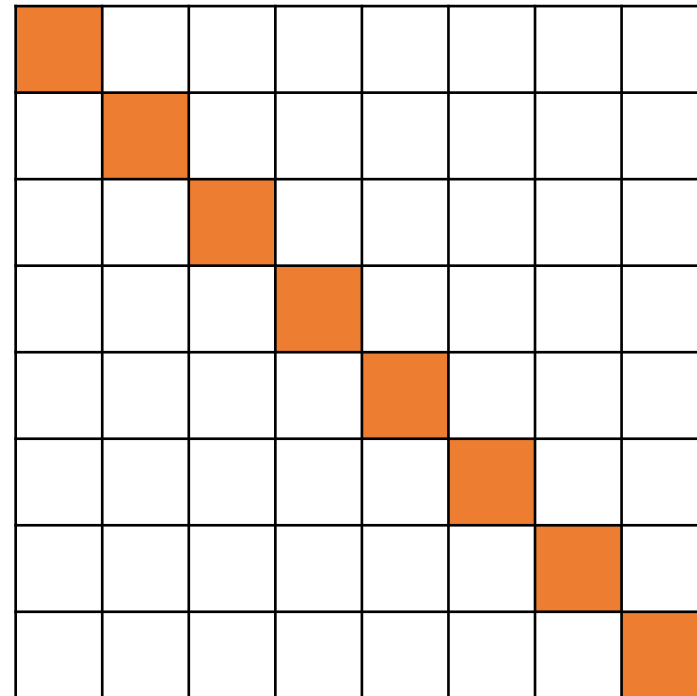
Smallest sub-problem size  $s = 0$ , i.e.,  $j = i$ , in which case there is nothing to multiply

$$D[i, i] = 0$$

Working on a sub-problem of size  $s$  we rely on having solved sub-problems of size  $< s$

## Order of filling in the array

$$S = 0$$



Sub-problem  $A_i \times \cdots \times A_j$  has **size**  $s = j - i$  number of matrix multiplications

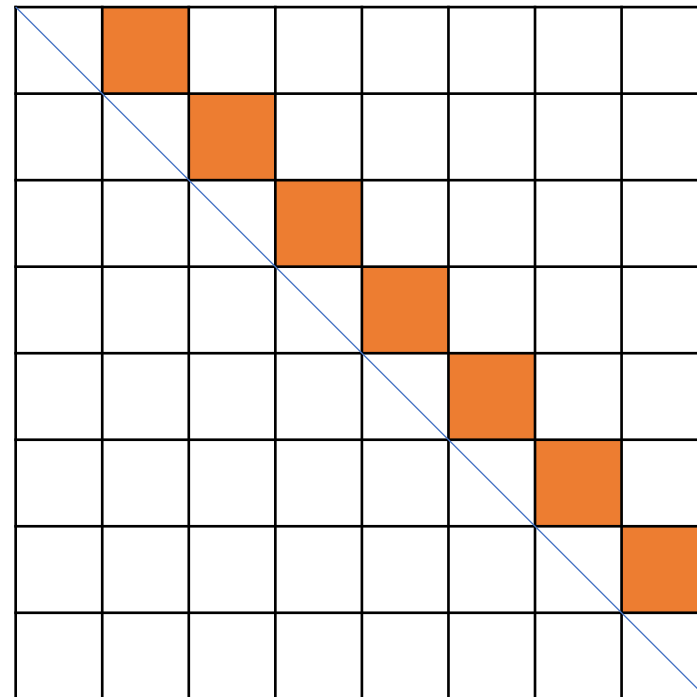
Smallest sub-problem size  $s = 0$ , i.e.,  $j = i$ , in which case there is nothing to multiply

$$D[i, i] = 0$$

Working on a sub-problem of size  $s$  we rely on having solved sub-problems of size  $< s$

Order of filling in the array

$$s = 1$$



Sub-problem  $A_i \times \cdots \times A_j$  has **size**  $s = j - i$  number of matrix multiplications

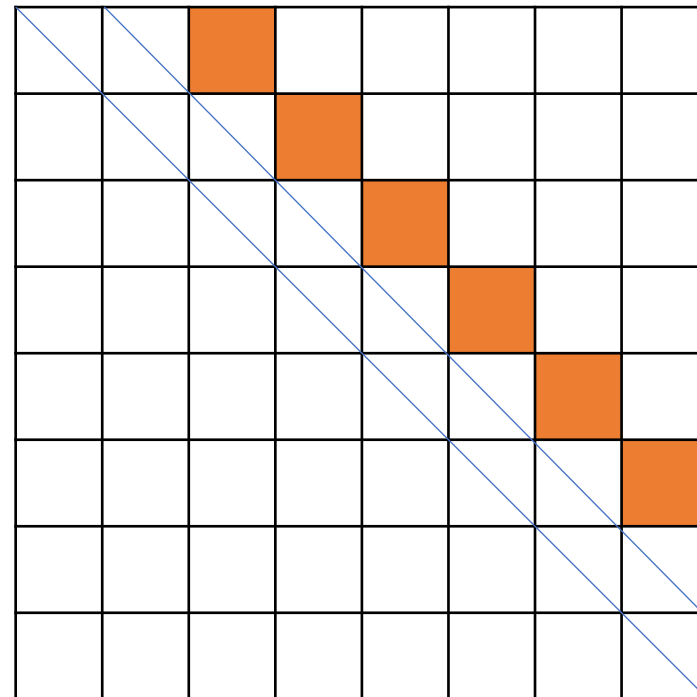
Smallest sub-problem size  $s = 0$ , i.e.,  $j = i$ , in which case there is nothing to multiply

$$D[i, i] = 0$$

Working on a sub-problem of size  $s$  we rely on having solved sub-problems of size  $< s$

Order of filling in the array

$$s = 2$$





Sub-problem  $A_i \times \cdots \times A_j$  has **size**  $s = j - i$  number of matrix multiplications

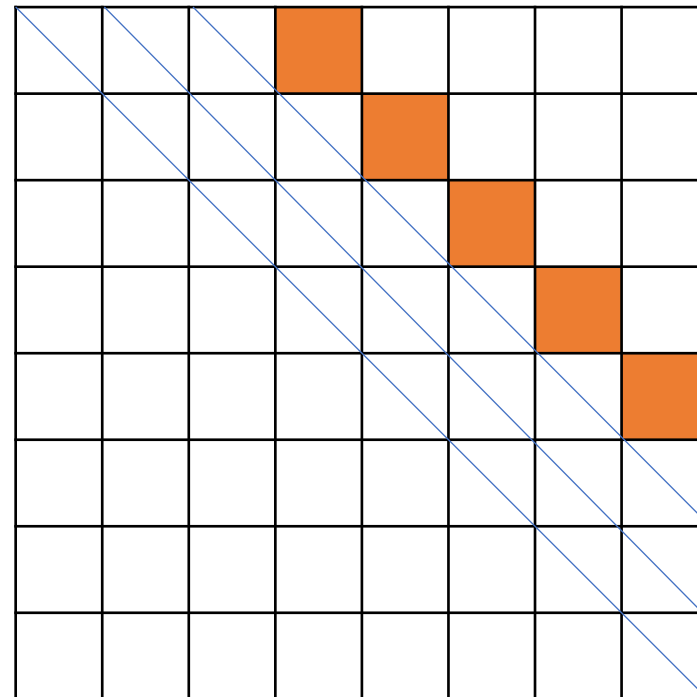
Smallest sub-problem size  $s = 0$ , i.e.,  $j = i$ , in which case there is nothing to multiply

$$D[i, i] = 0$$

Working on a sub-problem of size  $s$  we rely on having solved sub-problems of size  $< s$

Order of filling in the array

$$s = 3$$



Sub-problem  $A_i \times \cdots \times A_j$  has **size**  $s = j - i$  number of matrix multiplications

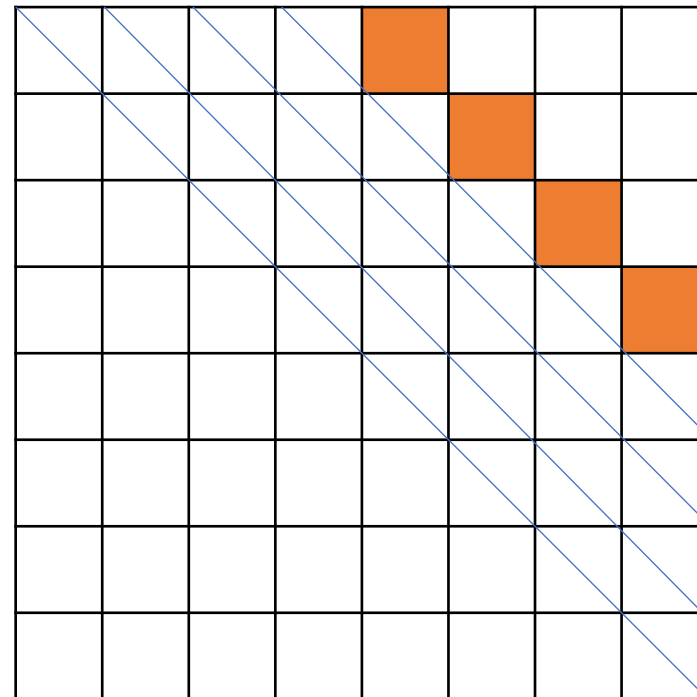
Smallest sub-problem size  $s = 0$ , i.e.,  $j = i$ , in which case there is nothing to multiply

$$D[i, i] = 0$$

Working on a sub-problem of size  $s$  we rely on having solved sub-problems of size  $< s$

Order of filling in the array

$$s = 4$$



Sub-problem  $A_i \times \cdots \times A_j$  has **size**  $s = j - i$  number of matrix multiplications

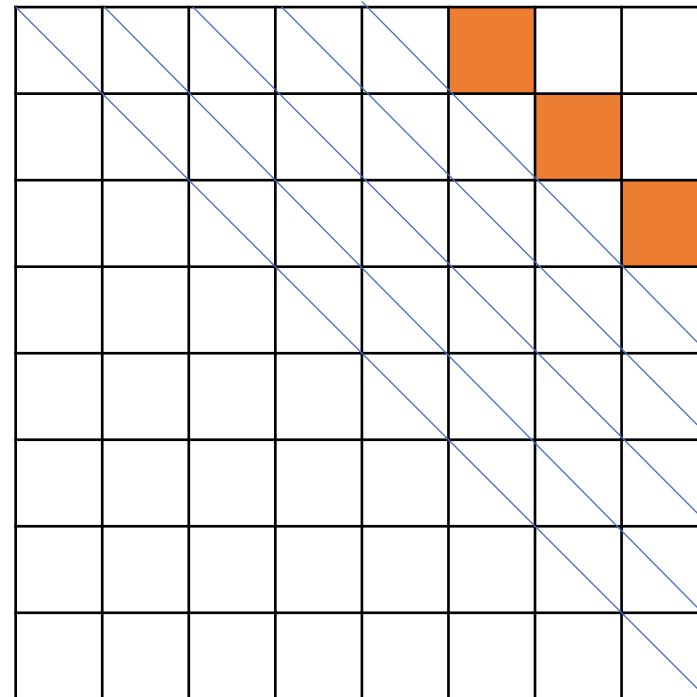
Smallest sub-problem size  $s = 0$ , i.e.,  $j = i$ , in which case there is nothing to multiply

$$D[i, i] = 0$$

Working on a sub-problem of size  $s$  we rely on having solved sub-problems of size  $< s$

## Order of filling in the array

$$s = 5$$



Sub-problem  $A_i \times \cdots \times A_j$  has **size**  $s = j - i$  number of matrix multiplications

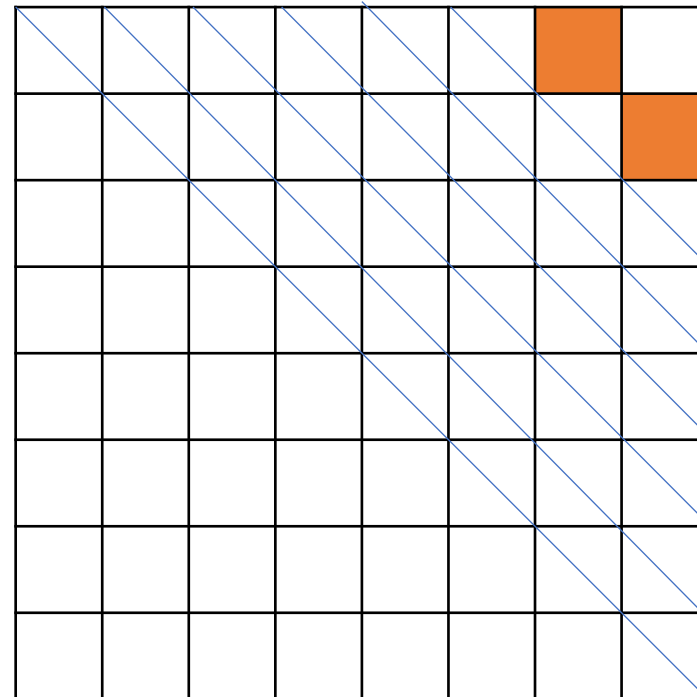
Smallest sub-problem size  $s = 0$ , i.e.,  $j = i$ , in which case there is nothing to multiply

$$D[i, i] = 0$$

Working on a sub-problem of size  $s$  we rely on having solved sub-problems of size  $< s$

Order of filling in the array

$$s = 6$$



Sub-problem  $A_i \times \cdots \times A_j$  has **size**  $s = j - i$  number of matrix multiplications

Smallest sub-problem size  $s = 0$ , i.e.,  $j = i$ , in which case there is nothing to multiply

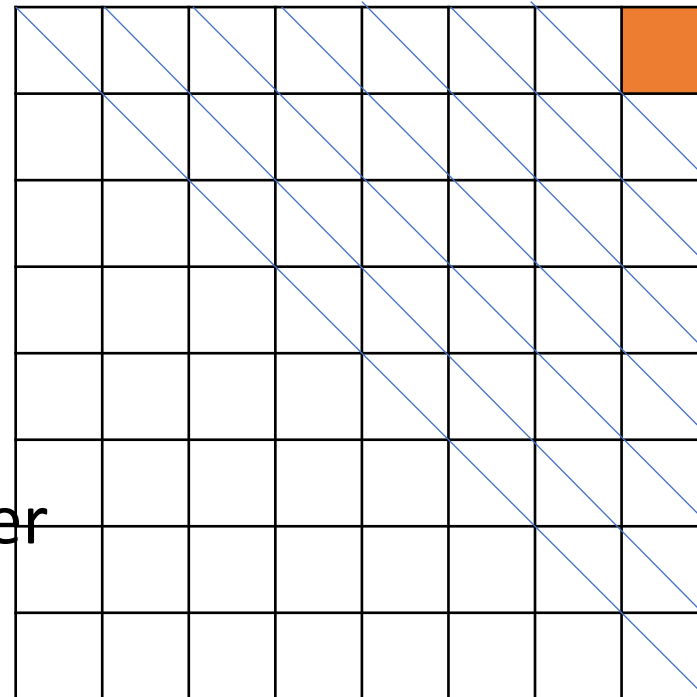
$$D[i, i] = 0$$

Working on a sub-problem of size  $s$  we rely on having solved sub-problems of size  $< s$

Order of filling in the array

$$s = 7$$

In this example this is the final answer



# Pseudocode

Overall running time is  $O(n^3)$

Can show that it is also  $\Omega(n^3)$

*MatrixChainMult*( $P[0..n]$ )

initialize array  $D[1..n, 1..n]$

**for**  $i = 1$  **to**  $n$       $D[i, i] \leftarrow 0$

**for**  $s = 1$  **to**  $n - 1$

**for**  $i = 1$  **to**  $n - s$

$j \leftarrow i + s$

$D[i, j] \leftarrow \infty$

**for**  $k = i$  **to**  $j - 1$

$D[i, j] \leftarrow \min(D[i, j], D[i, k] + D[k + 1, j] + P[i]P[k]P[j])$

$O(n)$

$O(n)$

$O(n)$

**return**  $D[1, n]$

# Computing actual parenthesization

As usual, to compute an actual parenthesization remember the choice of  $k$  resulting in the min value of  $D[i, j]$

Record these values in array  $Prev[i, j]$ , change the inner-most for-loop as follows:

```
for  $k = i$  to  $j - 1$   
    if  $D[i, k] + D[k + 1, j] + P[i]P[k]P[j] < D[i, j]$   
         $D[i, j] \leftarrow D[i, k] + D[k + 1, j] + P[i]P[k]P[j]$   
         $Prev[i, j] \leftarrow k$ 
```



# Using *Prev* to print actual parenthesization

The following recursive function prints the actual parenthesization

*PrintParenthesization*(*Prev*[1..*n*, 1..*n*], *i*, *j*)

*if* *i* = *j*

*print* "*A<sub>i</sub>*"

*else*

*print* "("

*PrintParenthesization*(*Prev*, *i*, *Prev*[*i*, *j*])

*PrintParenthesization*(*Prev*, *Prev*[*i*, *j*] + 1, *j*)

*print* ")"

Initial call is to *PrintParenthesization*(*Prev*, 1, *n*)



# Edit distance

Notion of closeness between strings

Extent to which two strings can be aligned

Example: SNOWY vs. SUNNY

S	—	N	O	W	Y
S	U	N	N	—	Y

Cost: 3

—	S	N	O	W	—	Y
S	U	N	—	—	N	Y

Cost: 5

# Edit distance

Minimum number of edits needed to transform one string into another

Edits:

- Character insertions
- Character deletions
- Character substitutions

S	—	N	O	W	Y
S	U	N	N	—	Y

Cost: 3

SNOWY → SUNNY:

Insert U

Substitute O → N

Delete W

# Edit distance

**Input:**  $x[1..m], y[1..n]$  - two strings

**Output:** edit distance between  $x$  and  $y$

## Dynamic programming approach

Sub-problems defined by decreasing length of  $x$  and  $y$ , i.e. *semantic array* is:

$E[i, j]$  = edit distance between  $x[1..i]$  and  $y[1..j]$

# Example of a sub-problem

$E[7,5]$

E	X	P	O	N	E	N
---	---	---	---	---	---	---

T I A L

P	O	L	Y	N
---	---	---	---	---

O M I A L

# Optimal substructure

Consider right-most column in subproblem  $E[i, j]$

It can be one of three things:

$$\begin{array}{c} x[i] \\ - \end{array} \quad \text{or} \quad \begin{array}{c} - \\ y[j] \end{array} \quad \text{or} \quad \begin{array}{c} x[i] \\ y[j] \end{array}$$

1<sup>st</sup> case: delete  $x[i]$  at cost 1 and align  $x[1..i-1]$  with  $y[1..j]$

2<sup>nd</sup> case: insert  $y[j]$  at cost 1 and align  $x[1..i]$  with  $y[1..j-1]$

3<sup>rd</sup> case: if  $x[i] = y[j]$  then align  $x[1..i-1]$  with  $y[1..j-1]$

otherwise substitute  $x[i] \rightarrow y[j]$  then align  $x[1..i-1]$  with  $y[1..j-1]$

$OPT$  picks the best of these three options

# Computational array

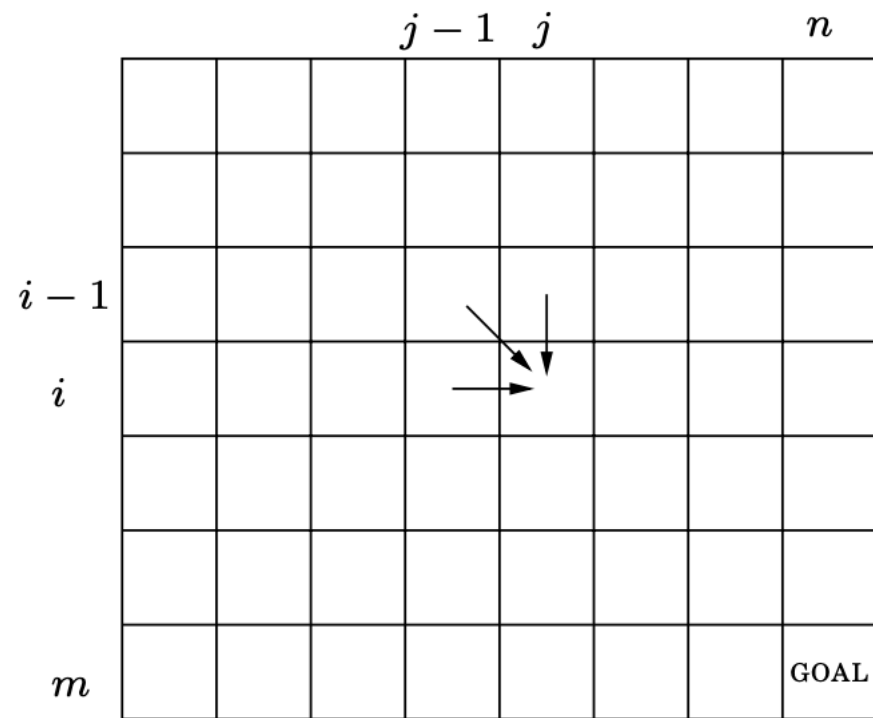
$$E[i, j] = \min(1 + E[i - 1, j], 1 + E[i, j - 1], \mathbb{I}(x[i] \neq y[j]) + E[i - 1, j - 1])$$

$\mathbb{I}(x[i] \neq y[j])$  is the indicator function which is 1 if  $x[i] \neq y[j]$  and 0 otherwise

Base cases:

$$E[0, j] = j \text{ and } E[i, 0] = i$$

Solution to the whole problem is  $E[m, n]$



		P	O	L	Y	N	O	M	I	A	L
E X P O N E N T I A L	0	1	2	3	4	5	6	7	8	9	10
	1	1	2	3	4	5	6	7	8	9	10
	2	2	2	3	4	5	6	7	8	9	10
	3	2	3	3	4	5	6	7	8	9	10
	4	3	2	3	4	5	5	6	7	8	9
	5	4	3	3	4	4	5	6	7	8	9
	6	5	4	4	4	5	5	6	7	8	9
	7	6	5	5	5	4	5	6	7	8	9
	8	7	6	6	6	5	5	6	7	8	9
	9	8	7	7	7	6	6	6	6	7	8
	10	9	8	8	8	7	7	7	7	6	7
	11	10	9	8	9	8	8	8	8	7	6

E X P O N E N — T I A L  
 — — P O L Y N O M I A L

# Pseudocode

*EditDistance*( $x[1..m], y[1..n]$ )

initialize array  $E[0..m, 0..n]$

**for**  $i = 0$  **to**  $m$      $E[i, 0] \leftarrow i$

**for**  $j = 0$  **to**  $n$      $E[0, j] \leftarrow j$

**for**  $i = 1$  **to**  $m$

**for**  $j = 1$  **to**  $n$

$E[i, j] \leftarrow \min \left( \begin{array}{l} 1 + E[i - 1, j], 1 + E[i, j - 1], \\ \mathbb{I}(x[i] \neq y[j]) + E[i - 1, j - 1] \end{array} \right)$

**return**  $E[m, n]$

Overall running time  $O(mn)$

$O(n)$

$O(m)$

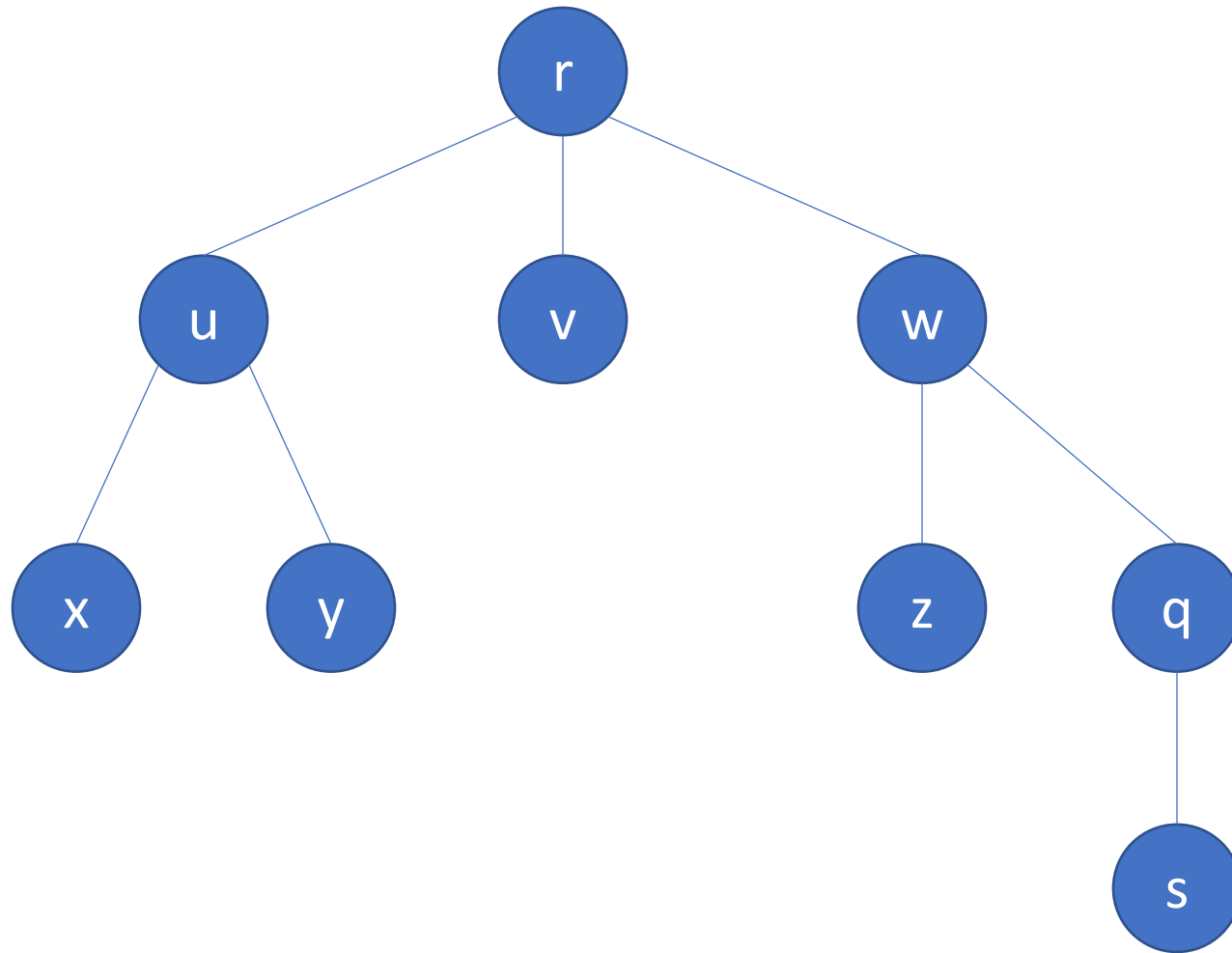




Exercise:

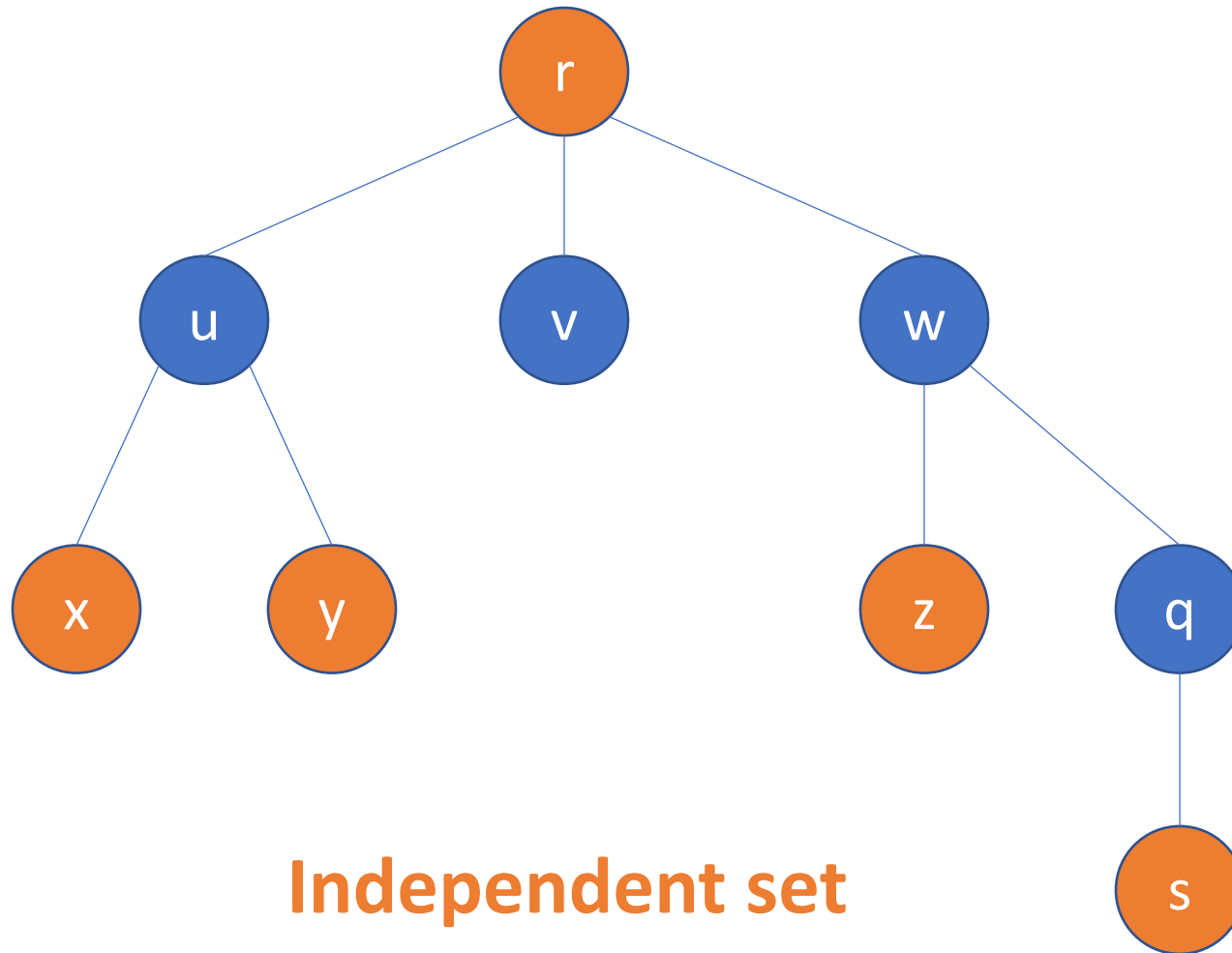
- Extend the algorithm to return a sequence of edit operations that result in minimum edit distance

# Independent Sets in Trees



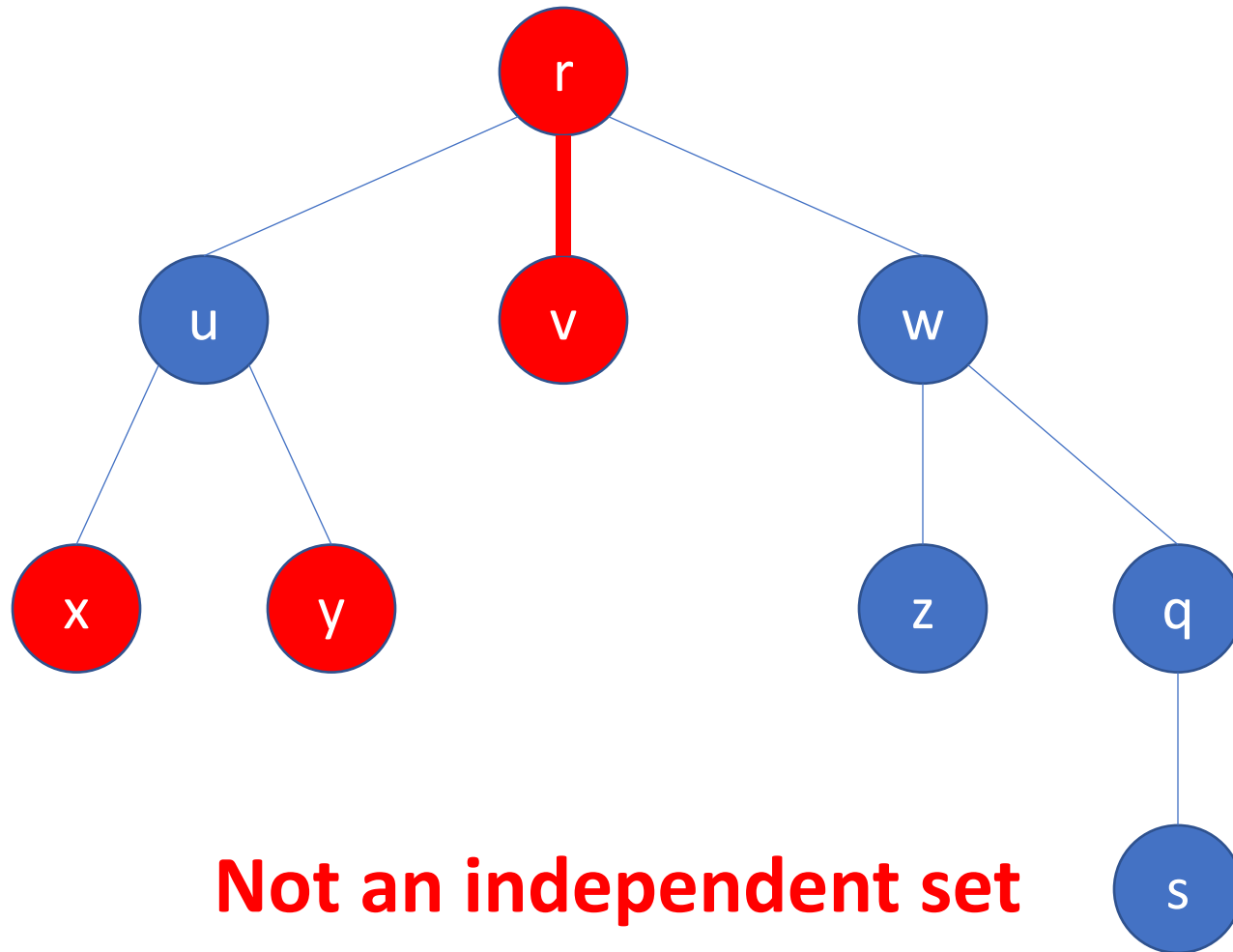
*Independent set:* set of nodes that are not connected by edges

# Independent Sets in Trees



*Independent set:* set of nodes that are not connected by edges

# Independent Sets in Trees



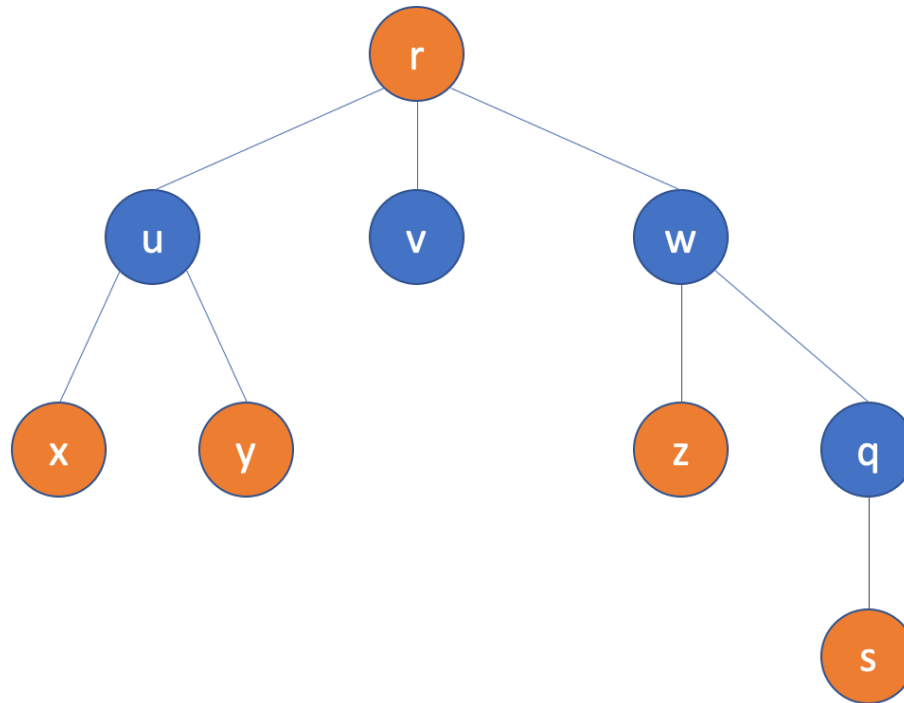
*Independent set:* set of nodes that are not connected by edges

# Independent Sets in Trees

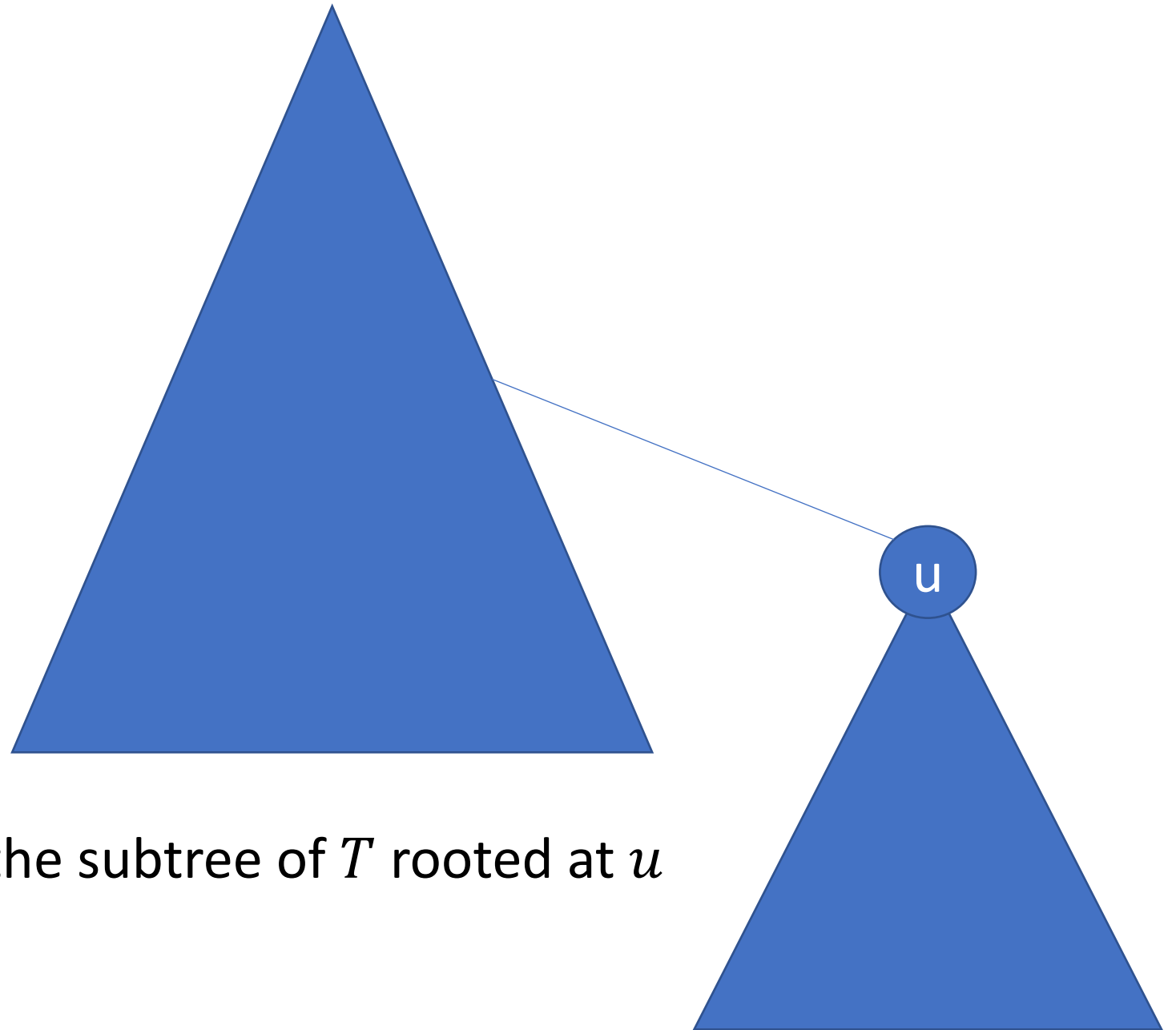
**Input:** tree  $T$  with nodes  $V$

**Output:**  $S \subseteq V$  such that  $S$  is independent and  $|S|$  is as large as possible

**Example:**



# Sub-problems



For a node  $u$  define  $T_u$  as the subtree of  $T$  rooted at  $u$

# Optimal substructure property

Maximum in independent set in  $T_u$

either

contains  $u$ , doesn't contain its children, and contains optimal solutions over grandchildren

or

doesn't contain  $u$ , contains optimal solutions over its children

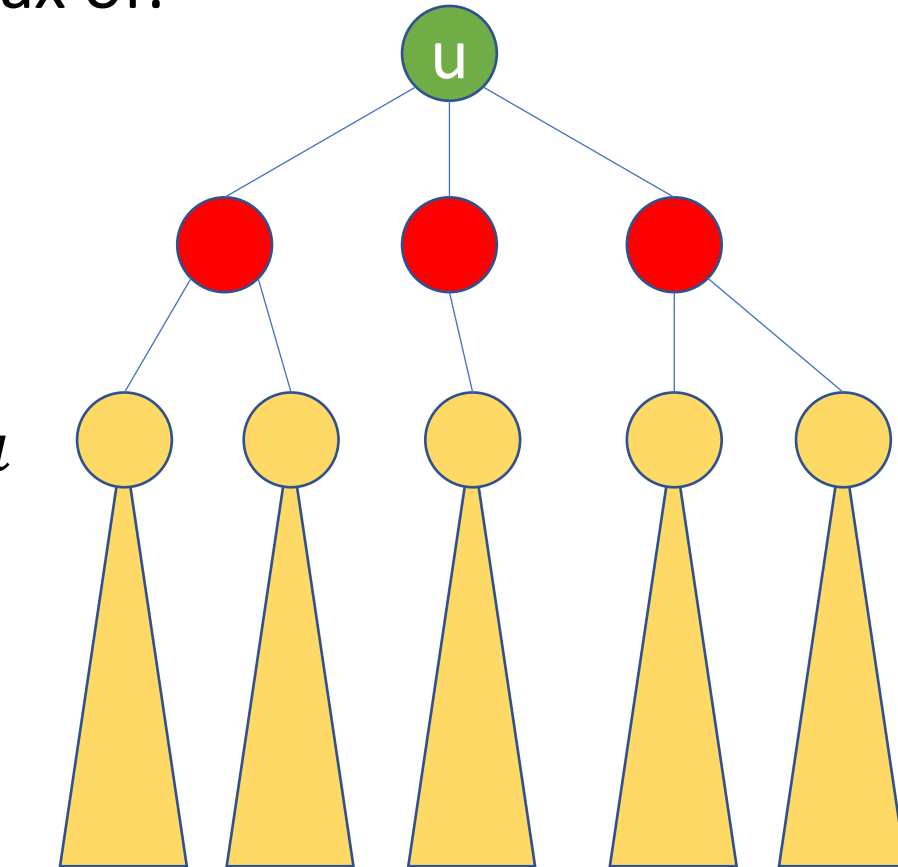
**Proof:** “cut-and-paste” argument

# Optimal substructure property

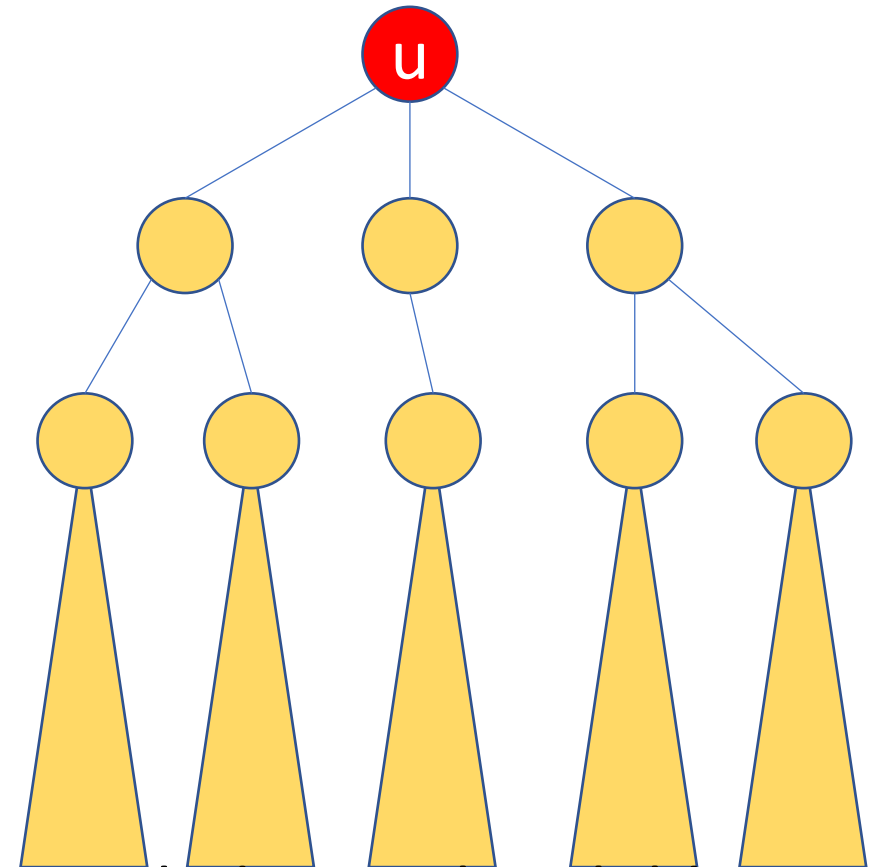
$OPT$  for  $T_u$  is max of:

Children of  $u$

Grandchildren of  $u$



Include  $u$ , exclude children,  
Solve grandchildren optimally



Exclude  $u$ , solve children  
optimally



# Computing optimal value

*Semantic array:*

$D[u]$  = size of a maximum independent set in  $T_u$

Optimal value for the whole problem is  $D[r]$ , where  $r$  is the root of  $T$

*Computational array:*

$$D[u] = \max \left( 1 + \sum_{\text{grandchildren } w \text{ of } u} D[w], \sum_{\text{children } w \text{ of } u} D[w] \right)$$



# Exercises:

- Write down pseudocode for this DP algorithm.
- Analyze its runtime. Your algorithm should run in  $O(|V|)$  time.
- Extend the algorithm to return a maximum independent set itself and not just its size