

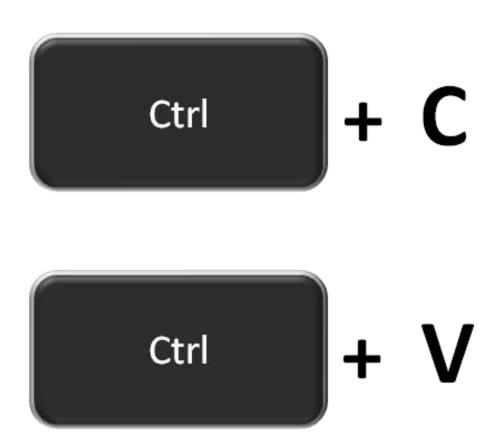
Clones

SOEN 6431

Human Cloning is challenging! Right?

What about cloning in software development?

As easy as:



2

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Code is Copied

Small Example from the Mozilla Distribution (Milestone 9)

Extract from /dom/src/base/nsLocation.cpp

```
NS IMETHODIMP
                                                        NS IMETHODIMP
                                                                                                                NS IMETHODIMP
       LocationImpl::GetPathname(nsString
                                                        LocationImpl::SetPathname(const nsString
                                                                                                                LocationImpl::GetPort(nsString& aPort)
434
                                                                                                         499
                                                 469
435
                                                 470
                                                                                                         [500
[501
        nsAutoString href:
                                                         nsAutoString href:
                                                                                                                 nsAutoString href;
436
                                                 471
                                                         nsIURI *url:
                                                                                                                 nsIURI *url:
        nsIURI *url:
437
        nsresult result = NS OK;
                                                472
                                                         nsresult result = NS OK;
                                                                                                         502
                                                                                                                 nsresult result = NS OK;
[438]
[439]
                                                 473
         result = GetHref(href);
                                                         result = GetHref(href);
                                                                                                                  result = GetHref(href);
440
                                                                                                         505
        if (NS OK == result) {
                                                         if (NS OK == result) {
                                                                                                                  if (NS OK == result) {
                                                                                                         506
441
       #ifindef NECKO
                                                        #ifindef NECKO
                                                                                                                #ifindef NECKO
442
         result = NS NewURL(&url, href);
                                                          result = NS NewURL(&url, href);
                                                                                                         507
                                                                                                                   result = NS NewURL(&url, href);
4431
                                                 478
                                                                                                         508
       \begin{array}{l} result = NS \ \ New URI(\&url, href); \\ \#endif // \ NEC \overline{K}O \end{array}
                                                                                                                result = NS NewURI(&url, href);
#endif// NECKO
if (NS_OK == result) {
444
                                                [479]
                                                          result = NS NewURI(&url, href);
                                                                                                         509
445
                                                 480
                                                        #endif // NECKO
                                                                                                         510
          if (NS OK == result) {
446
                                                481
                                                          if(NS_OK == result) {
  char *buf = aPathname.ToNewCString();
                                                                                                         511
447
       #ifdef NECKO
                                                 482
                                                                                                         512
                                                                                                                    aPort.SetLength(0);
448
           char* file:
                                                483
                                                        #ifdef NECKO
                                                                                                         513
                                                                                                                #ifdef NECKO
[449]
           result = url->GetPath(&file);
                                                484
                                                            url->SetPath(buf);
                                                                                                         514
                                                                                                                    PRInt32 port;
                                                                                                         [515]
[516]
[450]
                                                 [485]
                                                                                                                    (void)url>GetPort(&port);
                                                        #else
451
                                                 486
                                                            url->SetFile(buf);
           const char* file;
452
                                                 487
                                                                                                         517
           result = url->GetFile(&file);
                                                        #endif
                                                                                                                    PRUint32 port;
4531
                                                 [488]
                                                                                                         [518]
                                                                                                                    (void)url>GetHostPort(&port);
                                                            SetURL(url);
           if (result = NS OK) {
454
                                                 489
                                                                                                         519
                                                            delete | buf;
[455]
            aPathname.SetString(file);
                                                 490
                                                            NS RELEASE(url);
                                                                                                         [520]
[521]
[522]
[523]
[524]
[525]
[526]
[527]
[528]
[529]
                                                                                                                    if (-1 != port) {
[456]
       #ifdef NECKO
                                                 Ī491
                                                                                                                     aPort.Append(port, 10);
457
            nsCRT::free(file);
                                                 492
458
       #endif
                                                 493
                                                                                                                    NS RELEASE(url);
459
                                                 494
                                                         return result;
[460]
           NS IF RELEASE(url);
                                                [495]
[461]
[462]
[463]
                                                [496]
                                                                                                                  return result;
464]
        return result;
465
[466]
```

Why Clones are bad?!

General negative effect

Code bloat

Negative effects on Software Maintenance

- Copied Defects
- Changes take double, triple, quadruple, ...
 Work
- Dead code
- Add to the cognitive load of future maintainers

Copying as additional source of defects

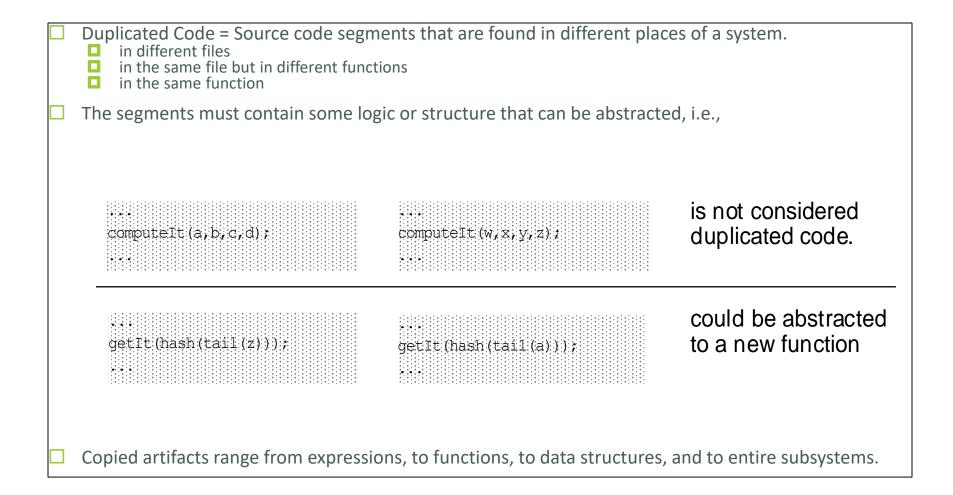
 Errors in the systematic renaming produce unintended aliasing

How Much Code is Duplicated?

Usual estimates: 8 to 12% in normal industrial code 15 to 25 % is already a lot!

Case Study	LOC	Duplication without comments	with comments
gcc	460'000	8.7%	5.6%
Database Server	245'000	36.4%	23.3%
Payroll	40'000	59.3%	25.4%
Message Board	6'500	29.4%	17.4%

What is Duplicated Code?



Why Cloning Occurs

Development Time

 Cloning a procedure rather than extracting a common part may save on time

Communication

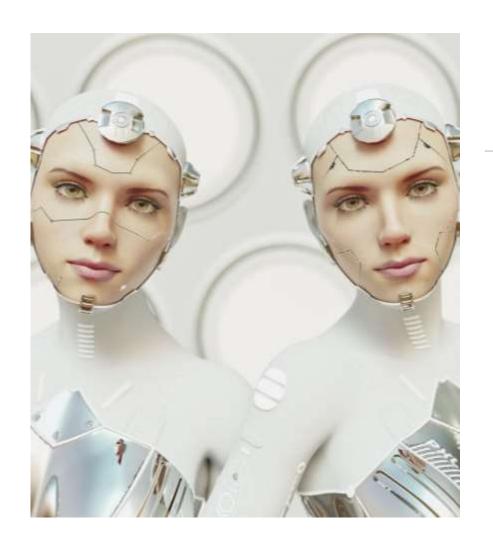
 A code set may be borrowed but its working might not be clear

Structural

Code borrowed from an un-modifiable subsystem.

Coincidence

• Look Alikes and clones are difficult to differentiate.



Definitions

Clone Class/Set: Set of equivalent Clones

Clone-pair

Precision: Percent of reported clones that are genuine

Recall: Percent of genuine clones that are reported

```
1586 {
1587
       if( GlobalConfig.DEBUG_LEVEL & DEBUG_WARNINGS ) {
1588
               printf( STR WARNING MEM ALLOC FAILED,
1589
              acModuleName, pMsg->ServerName);
1590
       if( rcv_id != 0 )
1591
1592
              pMsg->type = TYPE MSGUNKNOWN;
1593
              MsgReply ( rcv_id, 0, pMsg, MSG_LENGTH_ACK );
1594
1595
       return( MIRPA_ERROR_MEM_ALLOC_FAILED );
1596 }
        1173 {
        1174
                if( GlobalConfig.DEBUG_LEVEL & DEBUG_WARNINGS ) {
                       printf(__STR_WARNING__MEM_ALLOC_FAILED,
        1175
        1176
                       acModuleName, pMsg->ServerName);
        1177
        1178
                if( rcv_id != 0 )
        1179
                       pMsg->type = TYPE MSGUNKNOWN:
        1180
                       MsgReply ( rcv_id, 0, pMsg, MSG_LENGTH_ACK );
        1181
        1182
                return( MIRPA ERROR MEM_ALLOC_FAILED );
```

Clones?

Type 1 Clones

```
1586 {
       if( GlobalConfig.DEBUG_LEVE & DEBUG_WARNINGS ) {
1587
1588
              printf( STR WARNING MEM_ALLOC_FAILED,
              acModuleName pMsg->ServerName );
1589
1590
       if( rcv id != 0 )
1591
1592
              pMsg->vpe TYPE MSGUNKNOWN;
1593
              Ms. Reply ( rcv_id, 0, pMsg, MSG_LENGTH_ACK );
1594
1595
       return( MIRPA RROR MEM ALLOC FAILED );
1596 }
        1173 {
                if( GlobalConfig.DEBUG LEVEL & DEBUG WARNINGS ) {
```

Type 1: They are identical up to whitespace/comments

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Clones?

```
4278 case TYPE_SHMEM:
4279 if( GlobalConfig.DEBUG_LEVEL & DEBUG_WARNINGS ) {
4280 printf( "%s: WARNING : SHMEM msg received after
4281 sending ANSWER \"%s\"\n",
4282 acModuleName,
4283 sMsgList.asTxMsg[ uiMsgHandle ].name );
4284 }
4285 return( MIRPA_ERROR_RX_UNEXPECTED_TYPE );
```

```
4270 case TYPE_MSGOK:

4271 if( GlobalConfig.DEBUG_LEVEL & DEBUG_INFO ) {

4272 printf("%s: INFO: MSG_OK received after

4273 sending ANSWER \"%s\"\n",

4279 acModuleName,

4280 sMsgList.asTxMsg[ uiMsgHandle ].name );

4281 }

4282 return( MIRPA_OK );
```

```
4278 case TYPE_SHMEM:
       if( GlobalConfig.DEBUG_LEVEL & DEBUG_WARNINGS ) {
4279
4280
               printf( "%s: WARNING : SHMEM msg received after
4281
                       sending ANSWER \"%s\"\n".
4282
               acModuleName.
               sMsgList.asTxMsg[ ulMsg landle ].name );
4283
4284
4285 return( MIRPA_ERROR_RX_UNEXPECTED_TYPE );
       4270 case TYPE MSc
               if( GlobalC Infig. BEBUG LEVEL & DEBUG_INFO ) {
       4271
                       prin 6 %s: INFO : MSG_OK received after
       4272
       4273
                              sending ANSWER \"%s\"\n",
       4279
                       acModuleName,
       4280
                       sMsgList.asTxMsg[ uiMsgHandle ].name );
       4281
       4282 return( MIRPA OK );
```

Type 2 clones

 Type 2: They are structurally identical (rename variables, types or method calls)

```
Original Code HashMap myVar=new HashMap (10);
myVar.printAll();

Different variable name
HashMap list1=new HashMap ();
myVar.printAll();
```

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Clones?

```
if (! parse()) {
          print_error(stdout, 0);
          return FALSE;
}

fclose(fp);

if (debug_flag) {
          printf(" result of parser ");
          if (! print_tree(FALSE)) {
                print_error(stdout, 0);
                return FALSE;
        }
}
```

```
if (! type_check()) {
          print_error(stdout, 0);
          return FALSE;
}

if ( debug_flag ) {
          printf(" result of type check");
          if (! print_tree( TRUE ) ) {
                print_error(stdout, 0);
                return FALSE;
          }
}
```

Type 3 Clones

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Original Code	HashMap myVar=new HashMar myVar.printAll();		
Type-1 Cloned Code	Additional Whitespace HashMap myVar = new H myVar.printAll();		
Type-2 Cloned Code	Different variable name HashMap list1=new HashMar myVar.printAll();		
Type-3 Cloned Code	HashMap list1=new HashMa myVar.printAll();		
Semantic Clone	Any imaginary code block that implements the same functionality using Queue Data Structure instead of HashMap		

Clone Definition (Source Code Clone)

Similar code fragments

- Type 1: Identical except whitespaces ...
- Type 2: Identical except variable names ...
- Type 3: Identical except a few missing...
- Type 4: Similar functionality

- Alternative based on the locations of the clones.
- Intra-file or inter-file cloning
- Type of location:
 - function, declaration, macro, hybrid, other (typedef)
- Type of the code sequence
 - initialization, finalization, loop, switch

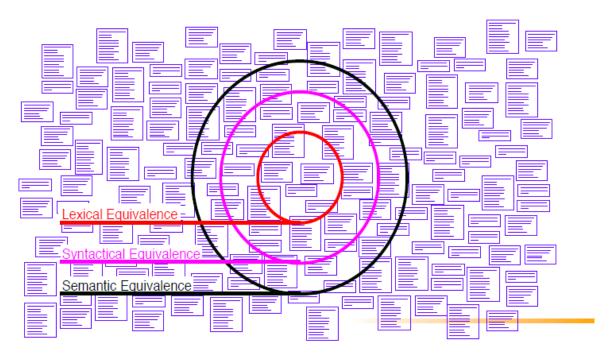
Alternative Classification

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Code Duplication Detection

Nontrivial problem:

- No a priori knowledge about which code has been copied
- How to find all clone pairs among all possible pairs of segments?



Code Detection

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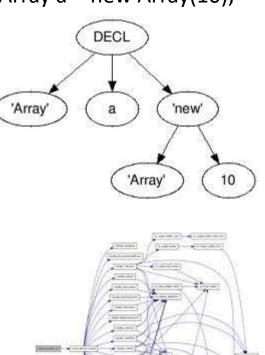
Detection Techniques

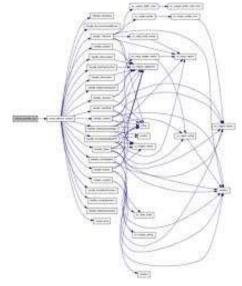
String Matching – Represents and evaluates code using string comparisons.

Token Parsing – Code transformation into tokens for comparison.

Graph Matching – Pattern matching on graph representations of code.

Array a = new Array(10);





Detection Strategies

Text Matching

Matching

Colder, Studied Extensively

Less Complex

Syntactic Understanding

Token String

Graph Matching

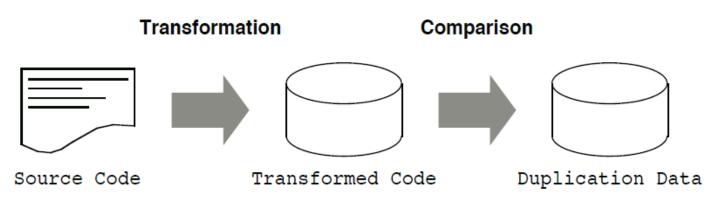
Matching

Newer, Bleeding Edge

More Complex

Semantic Understanding

General Schema of Detection Process



Author	Level	Transformed Code	Comparison Technique	
[John94a]	Lexical	Substrings	String-Matching	
[Duca99a]	Lexical	Normalized Strings	String-Matching	
[Bake95a]	Syntactical	Parameterized Strings	String-Matching	
[Mayr96a]	Syntactical	Metric Tuples	Discrete comparison	
[Kont97a]	Syntactical	Metric Tuples	Euclidean distance	
[Baxt98a]	Syntactical	AST	Tree-Matching	

Text Matching Token String Graph Matching

Matching

← →

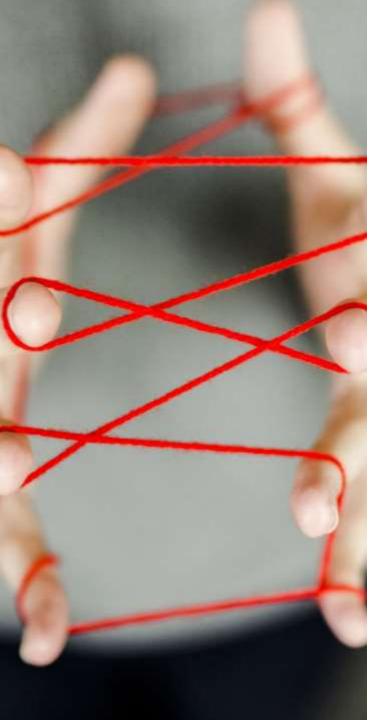
Older, Studied Extensively Newer, Bleeding Edge

Syntactic Understanding Semantic Understanding

Detection Strategies

Less Complex

More Complex



Exact String Matching

Definition

 Two sections of code are said to be a maximal exact match if their lines match exactly character by character but the preceding lines do not match and the following lines do not match.

Parameterized String Example

```
Was found in the X-Window C code
                                             Fragment 1:
                                 copy-number (&pmin, &pmax,
                                  pfi->min-bounds.lbearing,
                                  pfi->max-bounds.lbeaing);
                                 *pmin++ = *pmax++ = J , J ;
                                  copy-number(&pmin, kpmax,
                                  pfi->min-bounds.rbearing,
                                pf i->max-bounds .rbearing) ;
                                 *pmin++ = *pmax++ = J , J ;
                                             Fragment 2:
                                  copy-number(&pmin, &pmax,
                                    pfh->min-bounds.left,
                                   pfh->max-bounds.left);
                                 *pmin++ = *pmax++ = J , J ;
                                  copy-number(&pmin, &pmax,
                                   pfh->min-bounds.right,
                                   pfh->max-bounds.right);
                                 *pmin++ = *pmax++ = J , J ;
```

Substring Matching

Substring Matching provides a faster search algorithm.

Phases

- 1. Normalization
- 2. Substring Generation
- 3. Matching
- 4.Consolidation
- 5.Reporting



Token Parsing Techniques

Transforms code into tokens by using language specific constructs

Find similarities within this token string

Transform token clones back into code clones for presentation

```
int \ main() \{ \\ int \ i = 0; \\ static \ int \ j=5; \\ while(i<20) \{ \\ i=i+j; \\ \} \\ std::cout<<''Hello \ World''<<i<std::endl; \\ return 0; \\ \} \\ \hline \text{Remove white spaces}
```

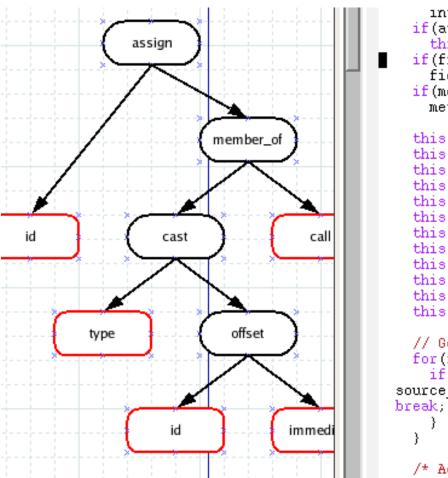
```
\begin{array}{l} \text{int main()} \{\\ \text{int } i=0; \\ \text{Shorten Names} \\ \\ \text{static int } j=5; \\ \text{while(i<20)} \{\\ \\ i=i+j; \\ \\ \\ \text{std::cout}<<"\text{Hello World"}<< \text{i<<} \text{std::endl;} \\ \\ \text{return 0;} \\ \\ \\ \\ \\ \end{array}
```

```
Tokenize everything,
int main (){
                              except language
int i = 0;
                              constructs
int j = 5;
while (i \le 20)
i = i + j;
cout << "Hello World" << i << endl;
return 0;
```

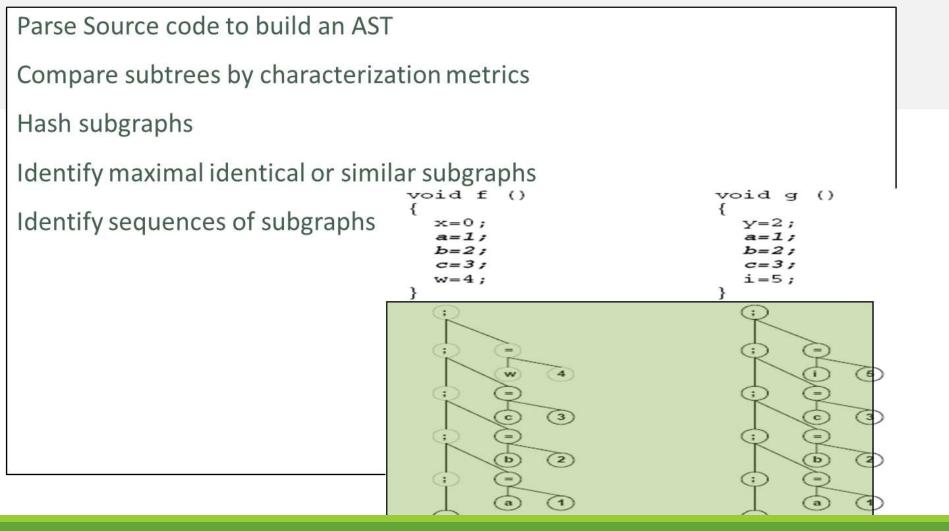
Graph Matching Techniques

Form machine representation of code

Identify clones as identical subgraphs



```
interfaces =
  if(attributes =
    this.attribut
  if (fields == nu
    fields = new
  if (methods == r
    methods = new
  this.class name
  this.superclass
  this file name
  this.major
  this.minor
  this.access fla
  this. constant p
  this.interfaces
  this fields
  this methods
  this. attributes
  this, source
  // Get source f
  for(int i=0; i
    if (attributes
source file name
  /* According to
```



Abstract Syntax Tree Matching

A

Vertices are lines of code





Edges are attributed with different types of dependences (control flow, data, etc)



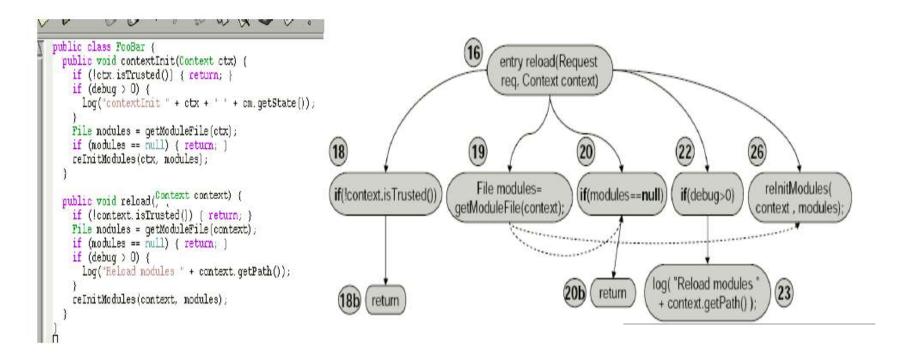
NP complete in general, k-cutoff in maximal graph size used to limit runtime

Experiments determine k=20 best

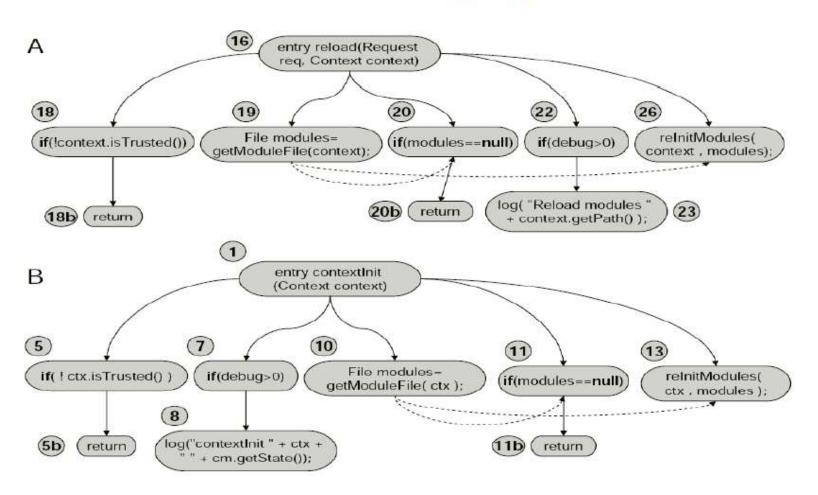


 $O(|V|^2)$ possible graph starting points, reduced via heuristic

Program Dependency Graph Matching



Two Clones Found by fg-PDG



Need to evaluate different clone detection techniques

Hard to know the real number of clones in a non-trivial application

How to compare different types of clones?

LOC: Line number count

SLOC: Line number count after the removal of blanks

%LOC: Percent of lines with clones in them

%FILE: Percent of files with clones in them

Metrics?

	CCFinder Token	CloneDr AST	Cave		Jplag Token	Moss Unknown
Frequenc y	CCFinde	r CloneE	Or Ca	vet	JPlag	Moss
1	569	66	4	0	95	104
2	98	6	3	4	10	8
3	33	2	1.	3	4	0
4	14	0	6	5	1	0
5	16	0	5	5	0	0
6	19	0	5	5	0	0
7	2	0	1	1	0	0

Comparison of Clone Detectors

In addition Cavet found clones with frequencies: 8,12, and 13

	CCFinder	CloneDr	Cavet	JPlag	Moss
Recall	72	9	19	12	10
Precision	72	100	63	82	73

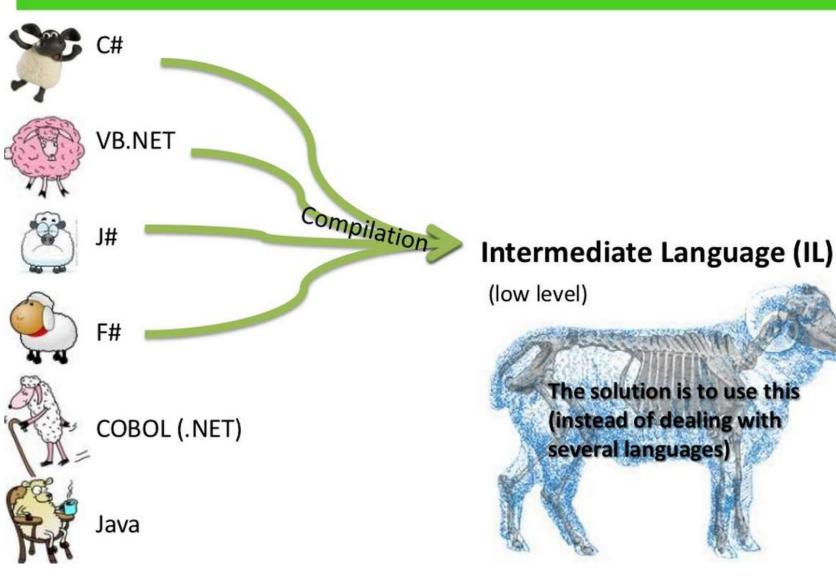
Different code clone detectors find different clones

String based find direct clones **Token** based find polymorphism issues and may be difficult to fix

Graph based find clones that can be automatically refactored

Comparison of Clone Detectors

Clone Detection across Languages General Solution

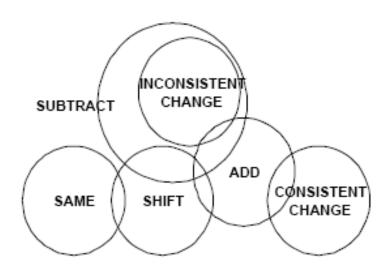




Clone Management

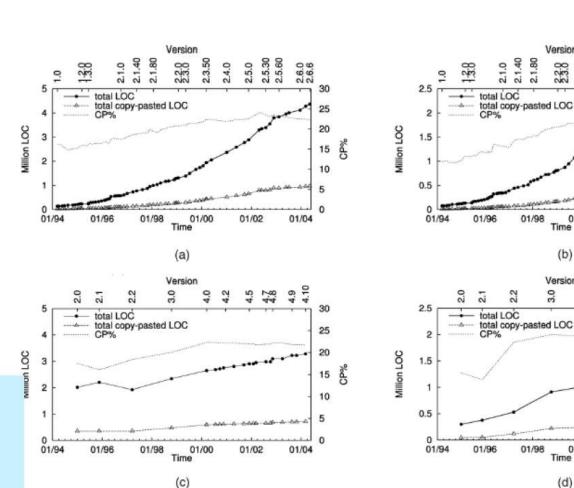
Clone Genealogies

Refers to the life cycle of clones through various versions of a program. Evolution Patterns of a code clone and their relationship are seen.



- a) Linux
- b) Linux "drivers"
- c) Free BSD
- d) Free BSD "sys"

Increase followed by stabilization



Li et al.

15

10

25

20

15

10

01/04

01/04

2006

01/02

01/02

01/00 Time

(b)

Version

01/00

Time

(d)

Clone genealogy

Consistently changing clones - all lineages in the clone genealogy include at least one "consistent change pattern"

Volatile clones – measured based on presence across various versions. "K-volatile"

Locally Non-refactorable clones – Programmer cannot refactor using pull-up or extract methods.

Long Lived Clones – Clones that lived across various versions of the program. Ideal for refactoring

- Ignore: the simplest way
- Correct (eliminate):
 - Manual: design patterns
 - Automated:
 - Type 1 or 2 (variable names): function abstraction
 - Type 2 (types) or 3: macros, conditional compilation
 - The programming language should support it
 - Can make the code more complex
 - Develop code generators
 - Challenges:
 - how to invent meaningful names?
 - how to determine the appropriate level of abstraction?

What can we do about clones?

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Software Restructuring: General Idea

Developers continuously modify, enhance and adapt software.

As software evolves and strays away from its original design, three things happen.

- Decreased understandability
- Decreased reliability
- Increased maintenance cost

Decreased understandability is due to

- Increased complexity of code
- Out-of-date documentation
- Code not conforming to standards

SOFTWARE RESTRUCTURI NG: GENERAL IDEA

Decrease the complexity of software by improving its internal quality by restructuring the software.

Restructuring applied on object-oriented software is called refactoring.

Restructuring means reorganizing software (source code + documentation) to give it a different look, or structure.

Source code is restructured to improve some of its non-functional requirements:

- Readability
- Extensibility
- Maintainability
- Modularity

Restructuring <u>does not modify</u> the software's functionalities.

Restructuring can be performed while adding new features.

SOFTWARE RESTRUCTURI NG: CORE IDEA

Software restructuring is informally stated as the modifications of software to make it

- easier to understand;
- easier to change;
- easier to change its documentation;
- less susceptible to faults when changes are made to it.

A higher level goal of restructuring is to increase the software value

- external software value: fewer faults in software is seen to be better by customers
- internal software value: a well-structured system is less expensive to maintain

Simple examples of restructuring

- Pretty printing
- Meaningful names for variables
- One statement per line of source code

SOFTWARE RESTRUCTURI NG: CORE IDEA

Developers and managers need to be aware of restructuring for the following reasons

- better understandability
- keep pace with new structures
- better reliability
- longer lifetime
- automated analysis

Characteristics of restructuring and refactoring

- The objective of restructuring and refactoring is to improve the internal and external values of software.
- Restructuring preserves the external behavior of the original program.
- Restructuring can be performed without adding new requirements.
- Restructuring generally produces a program in the same language.
 - Example: a C program is restructured into another C program.

Activities in a Refactoring Process

To restructure a software system, one follows a process with well defined activities.

- Identify what to refactor.
- Determine which refactorings to apply.
- Ensure that refactoring preserves the software's behavior.
- Apply the refactorings to the chosen entities.
- Evaluate the impacts of the refactorings.
- Maintain consistency.

The programmer identifies what to refactor from a set of high-level software artifacts.

- source code;
- · design documents; and
- requirements documents.

Next, focus on specific portions of the chosen artifact for refactoring.

• Specific modules, functions, classes, methods, and data can be identified for refactoring.

Identify what to refactor

The concept of code smell is applied to source code to detect what should be refactored. (Fowler)

A code smell is any symptom in source code that possibly indicates a deeper problem.

Examples of code smell are:

- duplicate code;
- long parameter list;
- long methods;
- large classes;
- message chain.

Determine which refactorings to apply

Tool support is needed to identify a feasible subset of refactorings.

The following two techniques can be used to analyze a set of refactorings to select a feasible subset.

- Critical pair analysis
 - Given a set of refactorings, analyze each pair for conflicts.
 A apir is said to be conflicting if both of them cannot be applied together.
 - Example: R4 and R6 constitute a conflicting pair.
- Sequential dependency analysis
 - In order to apply a refactoring, one or more refactorings must be applied before.
 - If one refactoring has already been applied, a mutually exclusive refactoring cannot be applied anymore.
 - Example: after applying R1, R2, and R3, R4 becomes applicable. Now, if R4 is applied, then R6 is not applicable anymore.

Ensure that refactoring preserves the software's behavior.

- Ideally, the input/output behavior of a program after refactoring is the same as the behavior before refactoring.
- In many applications, preservation of nonfunctional requirements is necessary.
- A non-exclusive list of such non-functional requirements is as follows:
 - Temporal constraints: A temporal constraint over a sequence of operations is that the operations occur in a certain order.
 - For real-time systems, refactorings should preserve temporal constraints.
 - Resource constraints: The software after refactoring does not demand more resources: memory, energy, communication bandwidth, and so on.
 - Safety constraints: It is important that the software does not lose its safety properties after refactoring.

Ensure that refactoring preserves the software's behavior.

Two pragmatic ways of showing that refactoring preserves the software's behavior.

Testing

• Exhaustively test the software *before* and *after* applying refactorings, and compare the observed behavior on a test-by-test basis.

Verification of preservation of call sequence

• Ensure that the sequence(s) of method calls are preserved in the refactored program.

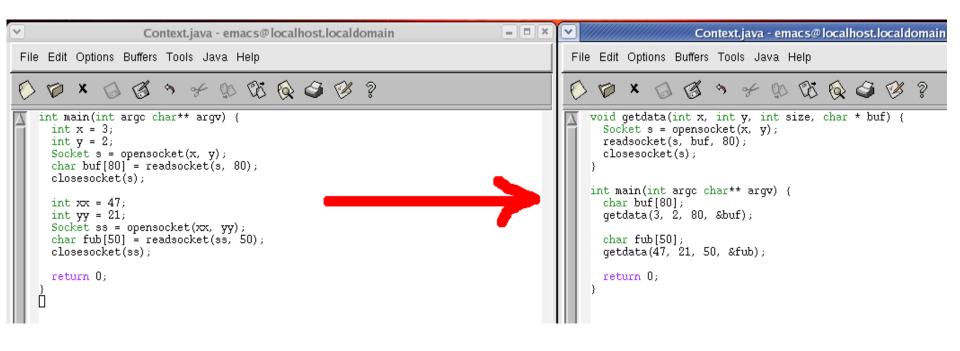


Use standard Refactoring methods "Extract" -Make a procedure "Pull Up" -Make an superclass

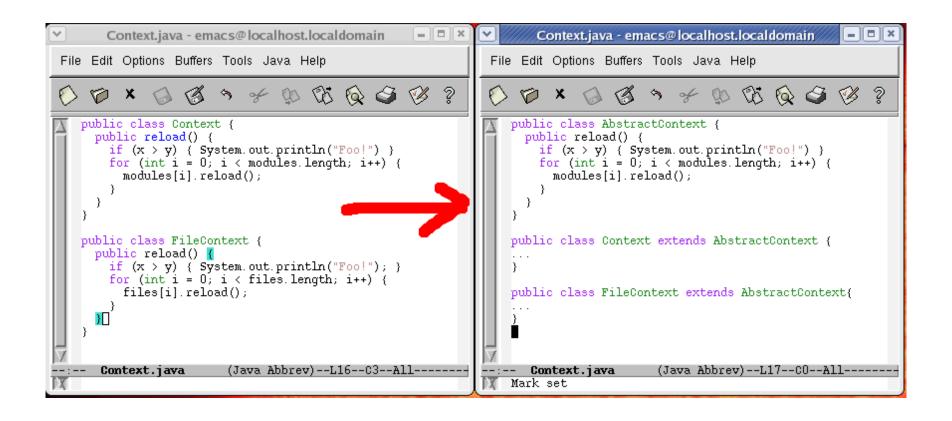


Refactoring of code clones advocated strongly by many practitioners including Fowler.

Code Clone Refactoring



Extract



Pull Up

```
public void exportObject(Remote obj)
throws RemoteException{
 if (TraceCarol.isDebugRmiCarol()) {
   TraceCarol.debugRmiCarol(
      "MultiPRODelegate.exportObject(" ... .
                                                          try {
 try {
   if (init) {
                                                            if (init) {
    for (Enumeration e = activePtcls.elements(); ...
      ((ObjDlgt)e.nextElement()).exportObject(obj);
                                                            } else {
   } else {
    initProtocols();
     //iterate protocol elements and export obj
 }catch (Exception e) {
   String msg = "exportObject(Remote obj) fail";
   TraceCarol error(msg.o):
   throw new RemoteException(msg):
```

Unfactorable Code Clone

Prevent:

- Check on-the-fly while the code is being edited
- Check during the check-in

Manage

- Link the clones (automatically or manually)
- Once one of the clones is being modified the user is notified that other clones might require modification as well.

What Else can we do about Clones (cont.)

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Clones should **be avoided**, no matter
what !!



Clones **are good!** We should not remove all clones!!





Clones/code quality/maintenance is there any relationship?

- Improves reliability
 - n-version programming, IEC 61508
- Reduces development time
 - "Copy and modify" is faster than "generalize"
- Avoids breaking the existing code
 - Re-testing effort might be prohibitive
- Clarifies structure
 - E.g., disentangles dependencies (but do not overdo!)
- By lack of choice
 - Programming language does not provide appropriate flexibility mechanisms

Why Clones can be good

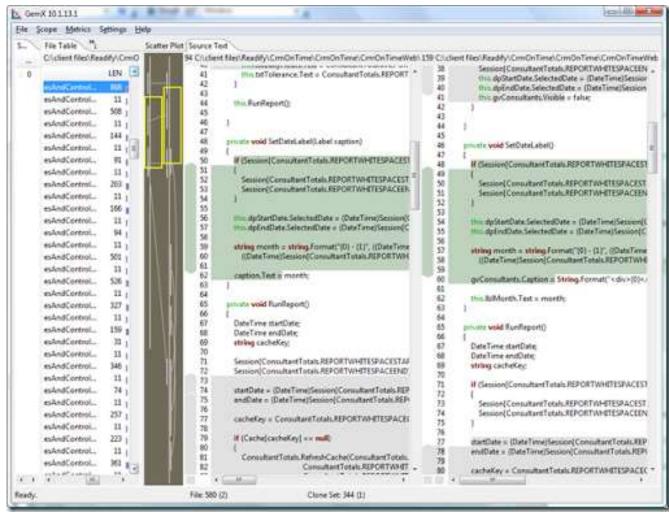
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- More code
 - More effort required to comprehend, test and modify
 - Higher resource usage
- Interrelated code
 - Bug duplication
 - Incomplete or inconsistent updates
- Indicative of
 - Poor or decaying architecture
 - Lack of appropriate knowledge sharing between the developers

Bad News

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CCFinder

Found 6 duplicate lines in the following files:

Between lines 201 and 207 in simian/build/dist/src/java/awt/image/WritableRaster.j ava

Between lines 1305 and 1311 in simian/build/dist/src/java/awt/image/Raster.java

Simian

Found 6 duplicate lines in the following files:

Between lines 920 and 926 in simian/build/dist/src/com/sun/imageio/plugins/jpeg/J FIFMarkerSegment.java

Between lines 908 and 914 in simian/build/dist/src/com/sun/imageio/plugins/jpeg/J FIFMarkerSegment.java

. . .