

# Graph Algorithms 1

COMP 6651 – Algorithm Design Techniques

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# Basic graph terminology

Vertices/nodes:

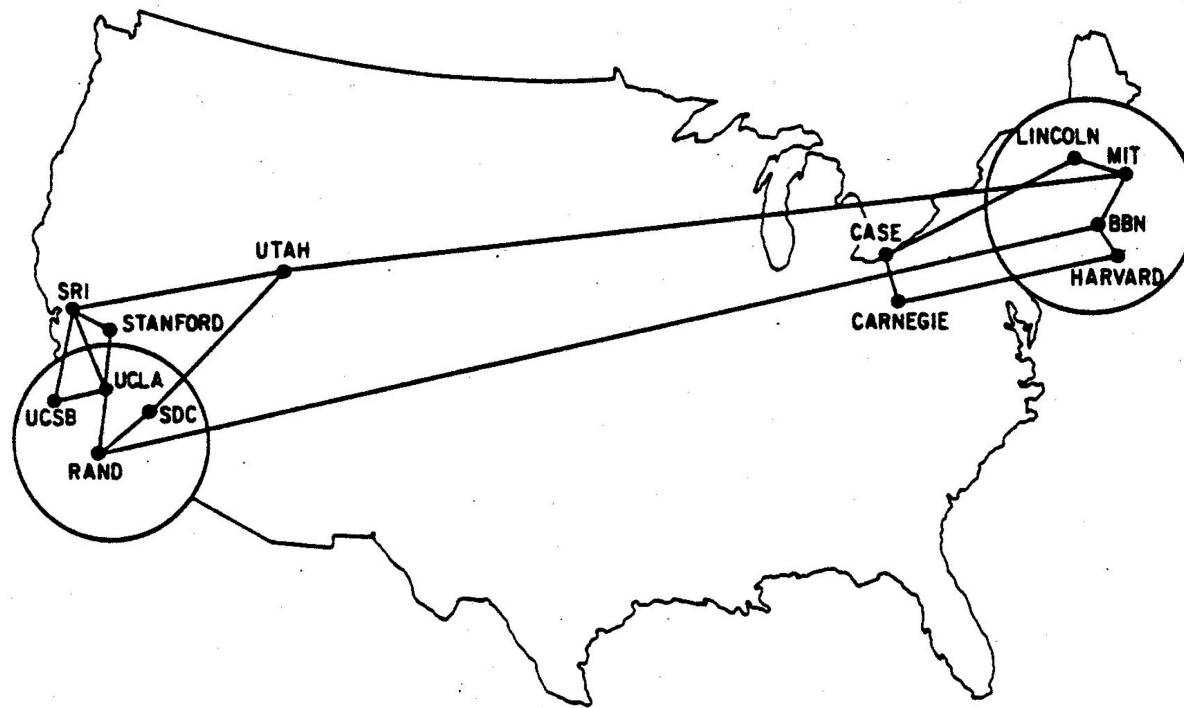
entities (people, countries, organizations, etc.)

Edges/links:

relationship between entities (friendship, classmates, same political party, membership in the same club, etc.)

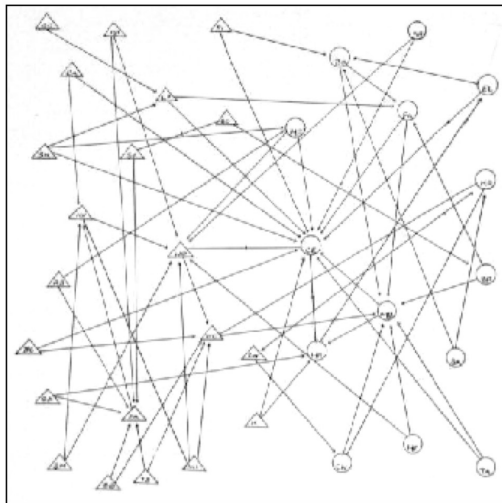
Examples: communication networks, social networks, organization of roads in a country, electrical grid, etc.

Internet as of December 1970 as a graph  
(Heart et al 1978)

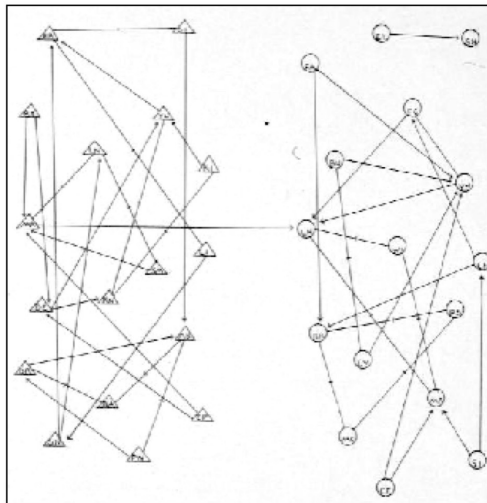


# First social network analysis (Moreno 1934)

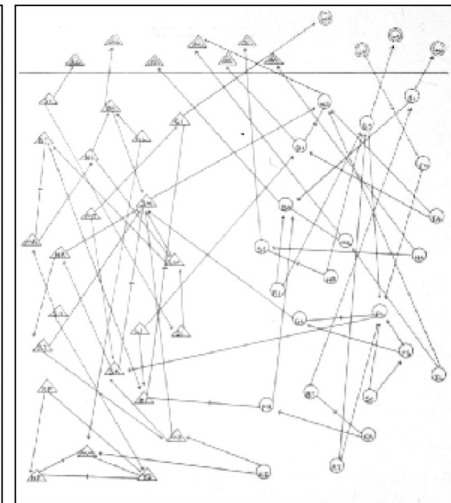
- Sociogram: each child chooses two children to sit next to
- Boys are depicted by triangles
- Girls are depicted by circles



1st grade



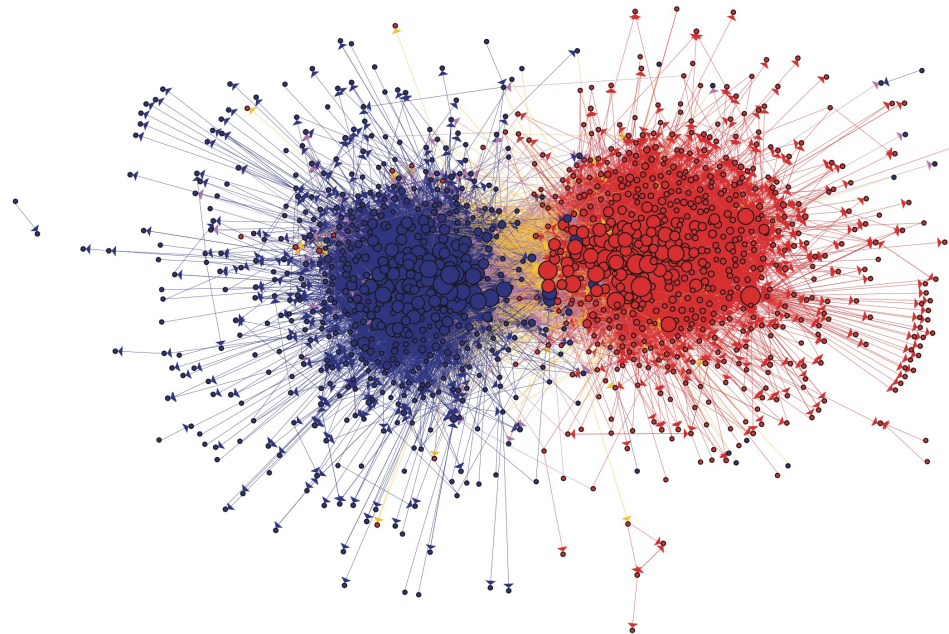
4th grade



8th grade

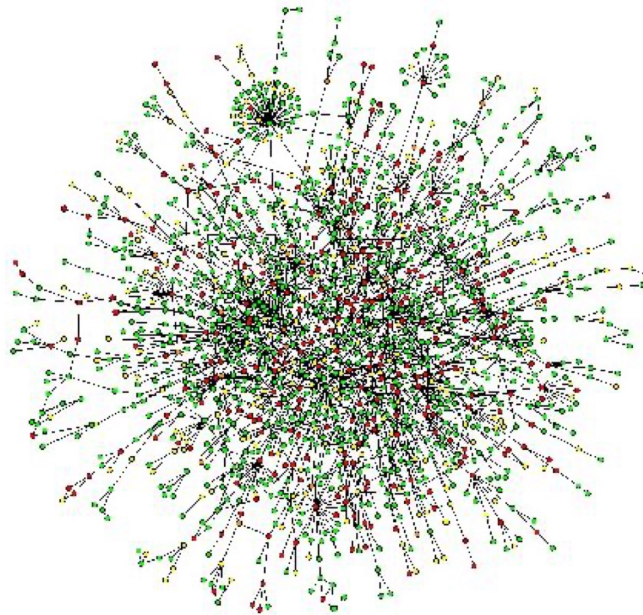
# 2004 blogosphere

- Community structure of political blogs
- Red – conservative, blue – liberal, edge – existence of a hyperlink



# Protein-protein interaction networks

- Nodes – proteins
- Edges – physical interactions



# Why study graphs?

- One of the most useful mathematical abstractions
- Many problems can be expressed precisely and clearly in language of graphs
- We use graphs as a **model** of real systems
- A model typically simplifies things, but makes precise analysis possible

# Example

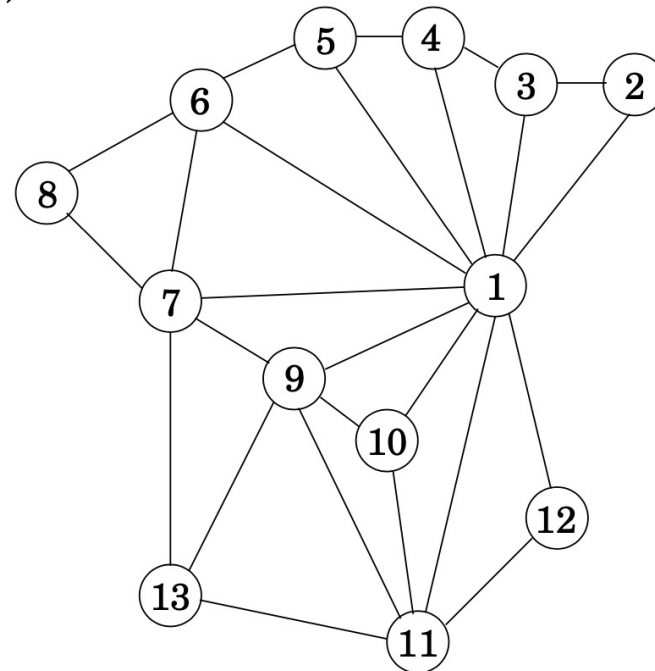
- Task of coloring a political map
- Neighboring countries should receive different colors
- What is the minimum number of colors needed?
- Rephrase as a graph problem:
  - countries = vertices
  - neighborhood relationship = edges



(a)



(b)



# Graphs, formally

A graph  $G$  is a **pair** of sets

$$G = (V, E)$$

$V$  – set of vertices

$E$  – set of edges

**Simple graphs:** self-loops are not allowed, multiple edges between same pair of vertices are not allowed

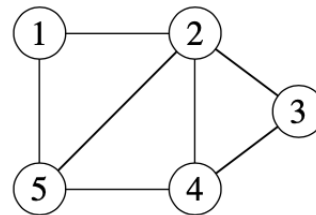
**Undirected:**

edges do not have orientation

each edge is a **subset** of  $V$  of size 2

*Example:*  $\{u, v\}$  - an undirected edge between  $u$  and  $v$ ,  $u, v \in V$

Maximum number of edges is  $\binom{|V|}{2}$  in simple undirected graphs



# Graphs, formally

A graph  $G$  is a **pair** of sets

$$G = (V, E)$$

$V$  – set of vertices

$E$  – set of edges

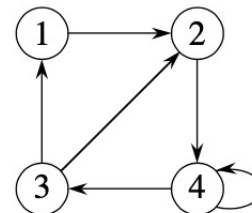
**Directed (aka digraphs):**

edges have orientation

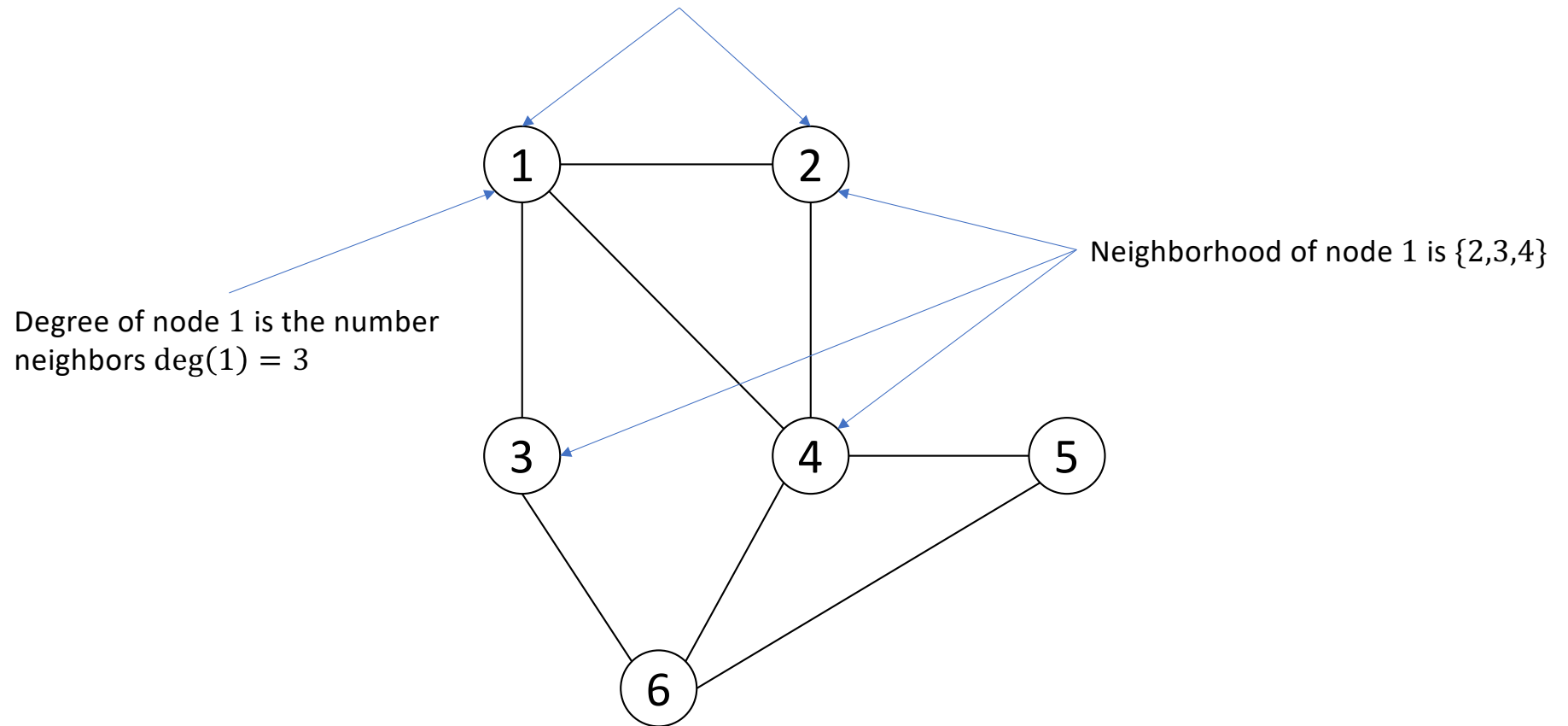
each edge is a pair of elements of  $V$

*Example:*  $(u, v)$  - a directed edge from  $u$  to  $v$ ,  $u, v \in V$

Maximum number of edges is  $|V|^2$  allowing self-loops but no multiple edges



Nodes 1 and 2 are adjacent/neighbors/connected by an edge





# Weighted graphs

Vertices and/or edges can have weights

Weights on edges help to encode strength or importance of connections

Formally given by a function  $w : E \rightarrow \mathbb{R}$

Weights on vertices help to encode importance of entities

Formally given by a function  $w : V \rightarrow \mathbb{R}$

# Representations of graphs (CLRS 22.1)

Two most common representations:

**Adjacency matrix**

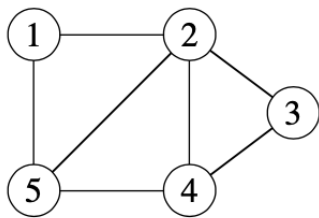
**Adjacency lists**

# Adjacency matrix

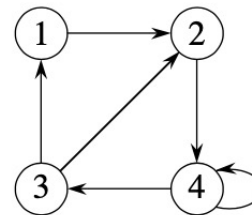
$|V| \times |V|$  matrix  $A = (a_{ij})$  such that

$$a_{ij} = \begin{cases} 1, & (i, j) \in E \\ 0, & (i, j) \notin E \end{cases}$$

Examples:



	1	2	3	4	5
1	0	1	0	0	1
2	1	0	1	1	1
3	0	1	0	1	0
4	0	1	1	0	1
5	1	1	0	1	0



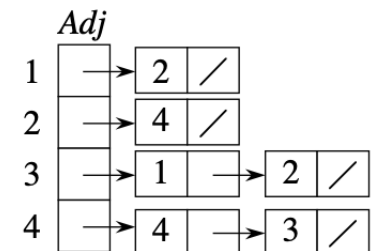
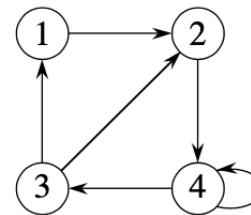
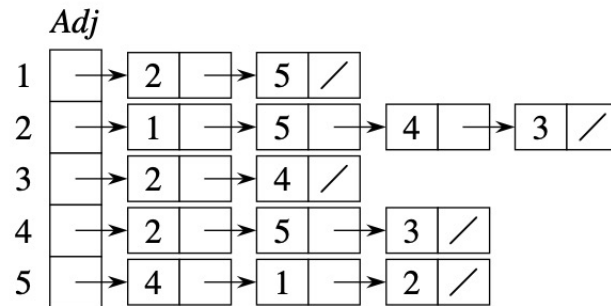
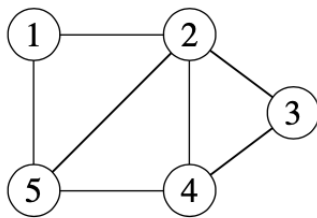
	1	2	3	4
1	0	1	0	0
2	0	0	0	1
3	1	1	0	0
4	0	0	1	1

# Adjacency lists

Array *Adj* of  $|V|$  lists, one per vertex

$Adj[u]$  is a list of all vertices  $v$  such that  $(u, v) \in E$

Examples:







# Comparison of representations

## Adjacency matrix

Works for both directed and undirected graphs

For weighted graphs: can store weight of an edge in the matrix

**Space:**  $\Theta(|V|^2)$

**Time:**

to list all neighbors of  $u$ :  $\Theta(|V|)$

to determine  $(u, v) \in E$ :  $\Theta(1)$

## Adjacency lists

Works for both directed and undirected graphs

For weighted graphs: can store weight of an edge in a corr. list elt.

**Space:**  $\Theta(|V| + |E|)$

**Time:**

to list all neighbors of  $u$ :  $\Theta(\deg(u))$

to determine  $(u, v) \in E$ :  $O(\deg(u))$

# Breadth-First Search BFS (CLRS, 22.2)

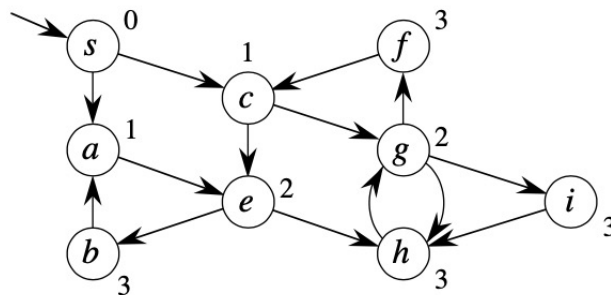
**Input:** Graph  $G = (V, E)$ , either directed or undirected  
 $s \in V$  – the source vertex

**Output:**  $v.d$  = distance (smallest # of edges) from  $s$  to  $v$ , for all  $v \in V$

Also known as unweighted shortest path.

Can be used to solve reachability problem.

Example:



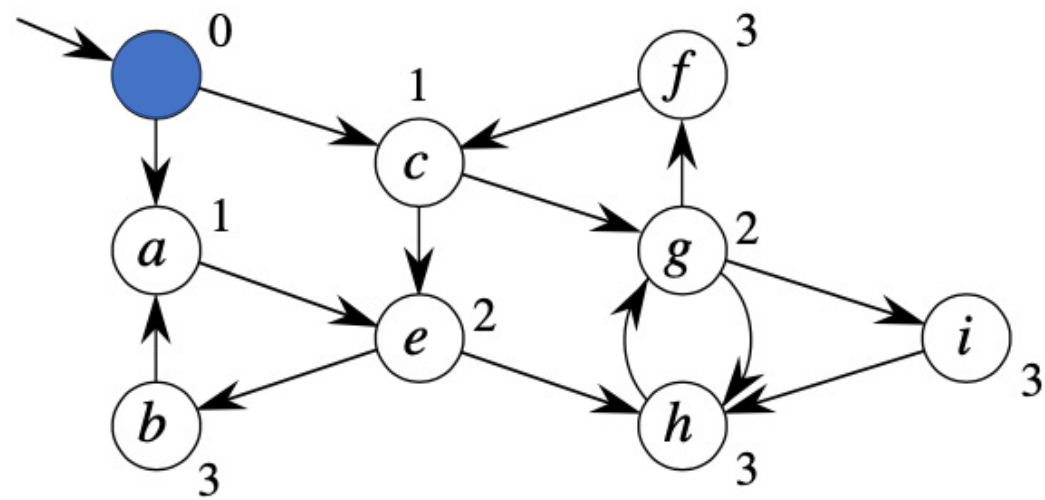
# Idea

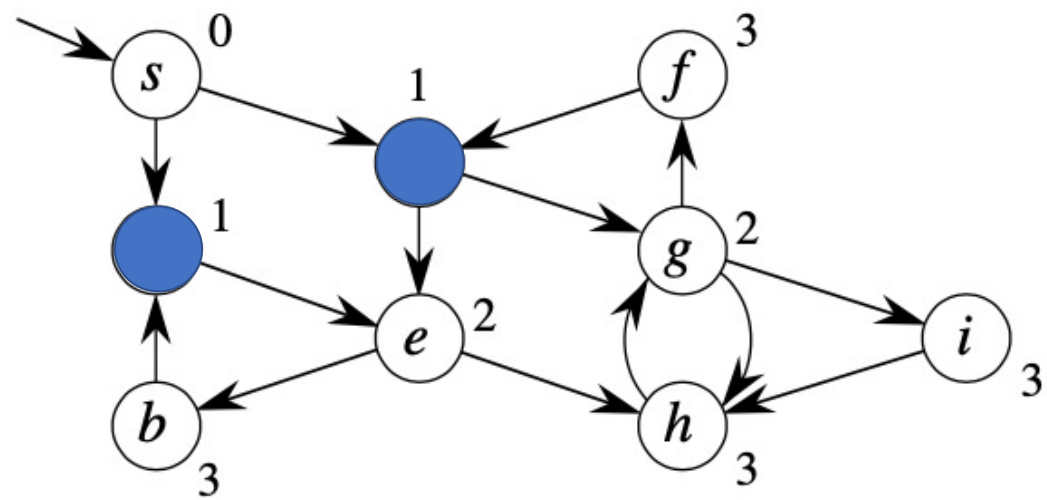
Send a wave out of  $s$

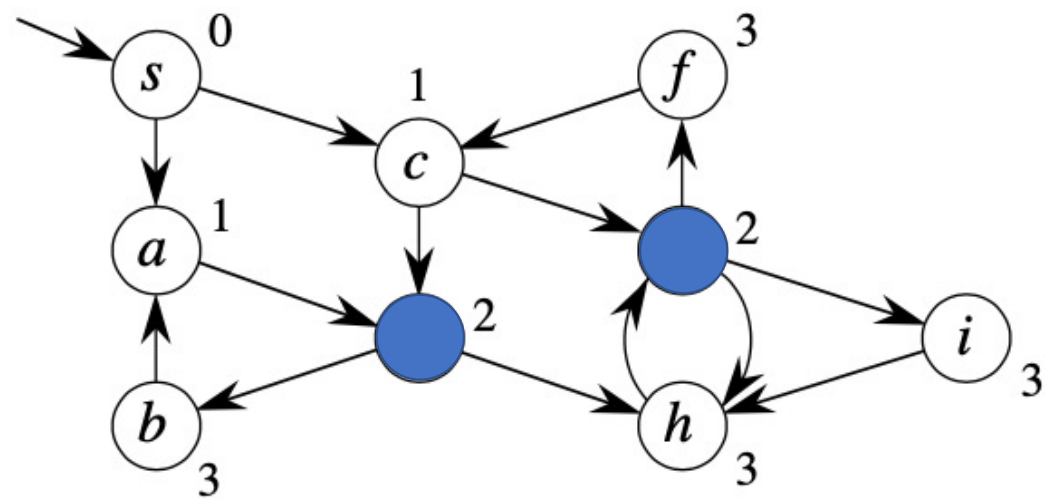
- First hits all vertices 1 edge from  $s$
- Then hits all vertices 2 edges from  $s$
- So on...

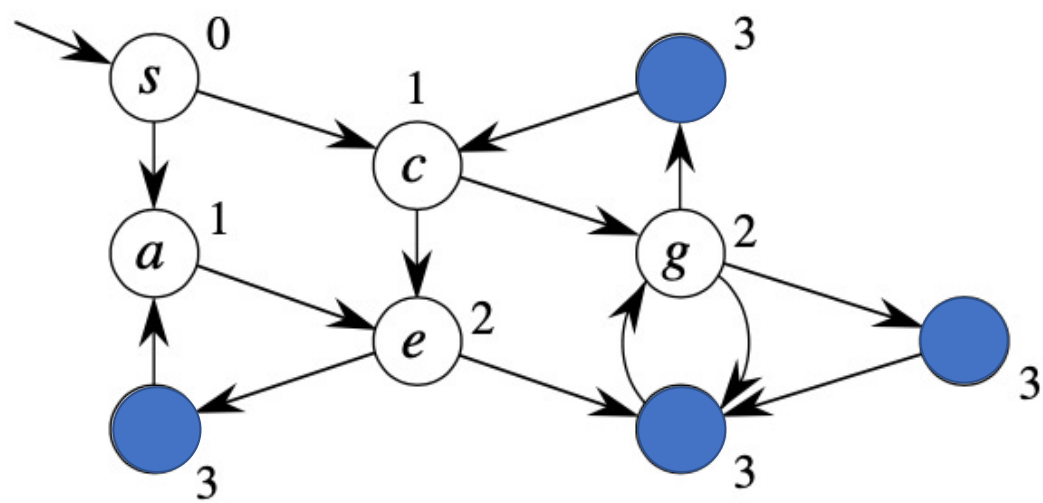
Use FIFO queue  $Q$  to maintain wavefront

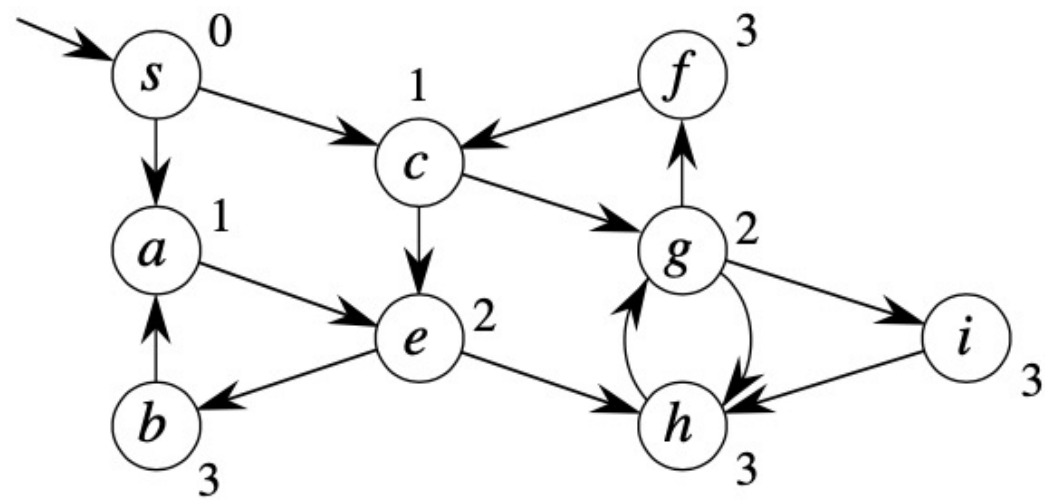
- $v \in Q$  if and only if wave has hit  $v$  but hasn't come out of  $v$  yet













```

BFS( $G = (V, E), s$ )
  for  $u \in V - \{s\}$ 
     $u.d \leftarrow \infty$ 
   $s.d \leftarrow 0$ 
  initialize queue  $Q$ 
   $Q.enqueue(s)$ 
  while  $Q.size() > 0$ 
     $u \leftarrow Q.dequeue()$ 
    for  $v \in G.Adj[u]$ 
      if  $v.d = \infty$ 
         $v.d \leftarrow u.d + 1$ 
         $Q.enqueue(v)$ 

```

BFS may not reach all vertices

Time =  $O(|V| + |E|)$

$O(|V|)$  because every vertex is enqueued at most once

$O(|E|)$  because every vertex is dequeued at most once and we examine  $(u, v)$  only when  $u$  is dequeued.



## Outstanding issues

What if we want to construct actual path from  $s$  to  $v$  realizing  $v.d$ ?

Keep another attribute  $v.\pi$  – predecessor of  $v$ , namely,  $v.\pi$  is the vertex  $u$  responsible for enqueueing  $v$

Set of edges  $\{(v.\pi, v) : v \neq s\}$  forms a tree

See CLRS for more details and a formal proof of correctness

# Depth-First Search DFS (CLRS, 22.3)

**Input:**  $G = (V, E)$ , directed or undirected

**Output:** 2 timestamps on each vertex

- $v.d$  = discovery time
- $v.f$  = finishing time

Can be used to solve reachability, but **NOT** unweighted shortest paths

Goal is to methodically explore every edge

Start over from different vertices as necessary

As soon as we discover a vertex, explore from it

- Unlike BFS, which puts a vertex on a queue to explore from it later

Discovery and finishing times:

- Unique integers from 1 to  $2|V|$
- For all  $v \in V$  we have  $v.d < v.f$

As DFS progresses, every vertex has a color (for analysis and discussion purposes):

- WHITE = undiscovered
- GRAY = discovered, but not finished (not done exploring from it)
- BLACK = finished (have found everything reachable from it)

*DFS(G)*

*for*  $u \in V$

$u.color \leftarrow WHITE$

$time \leftarrow 0$  // global variable

*for*  $u \in V$

*if*  $u.color = WHITE$

*DFS – Visit*( $G, u$ )

*DFS – Visit*( $G, u$ )

$time \leftarrow time + 1$

$u.d \leftarrow time$

$u.color \leftarrow GRAY$  // discover  $u$

*for*  $v \in Adj[u]$  // explore ( $u, v$ )

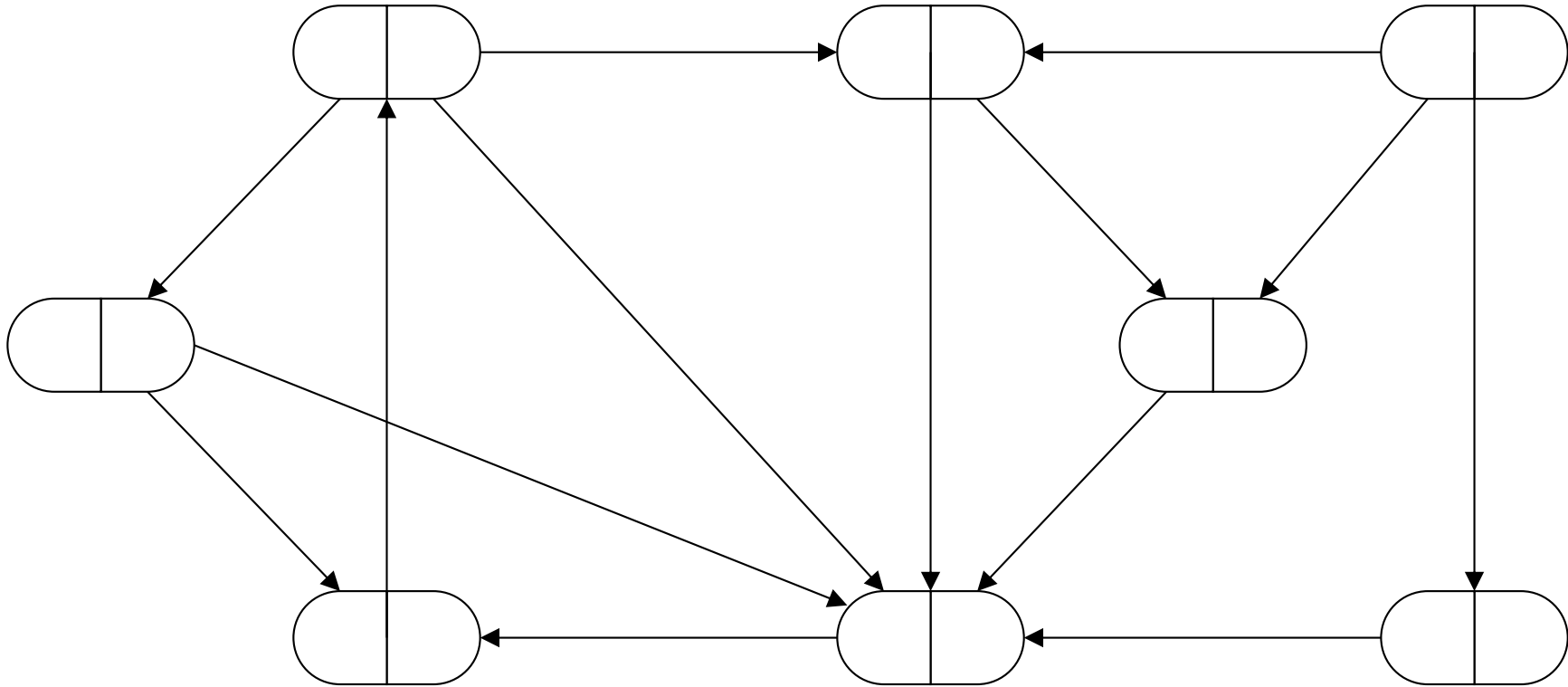
*if*  $v.color = WHITE$

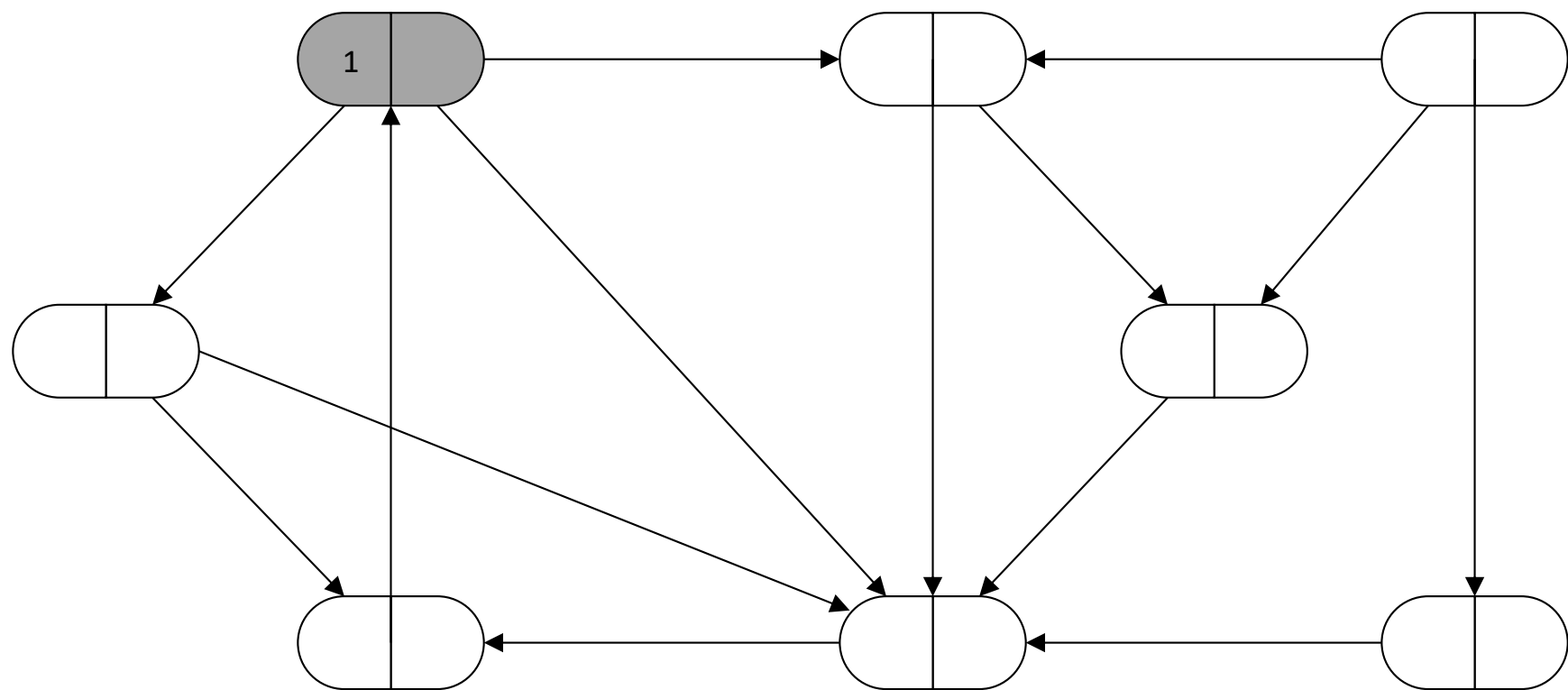
*DFS – Visit*( $G, v$ )

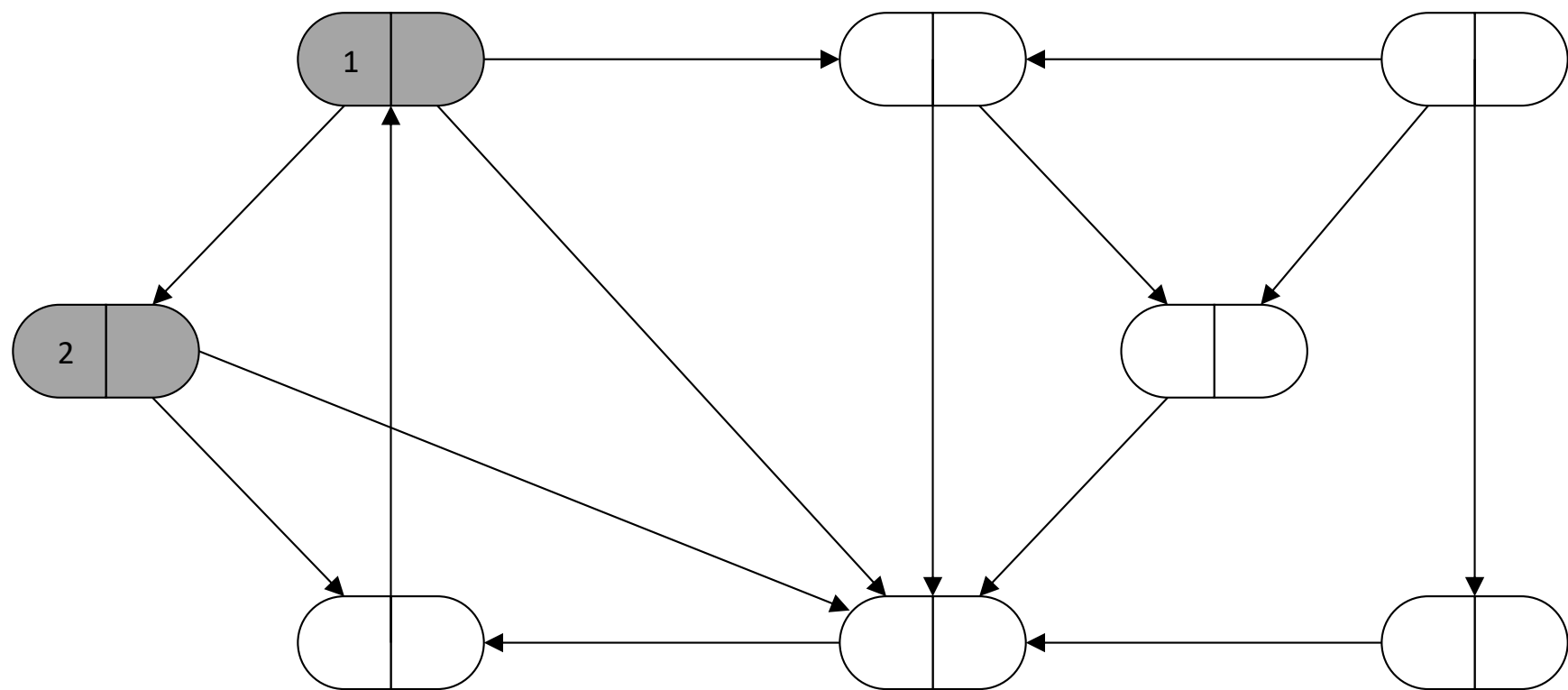
$u.color \leftarrow BLACK$

$time \leftarrow time + 1$

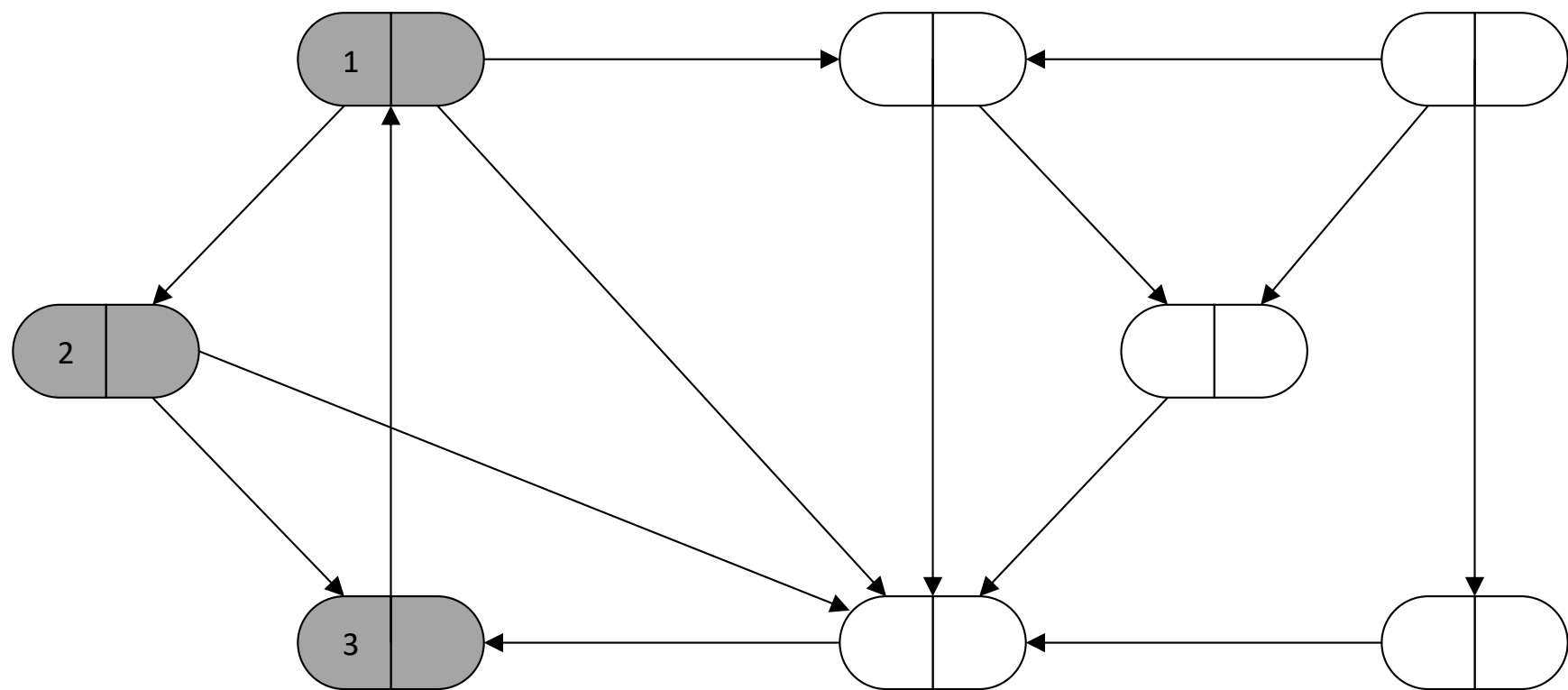
$u.f \leftarrow time$  // finish  $u$

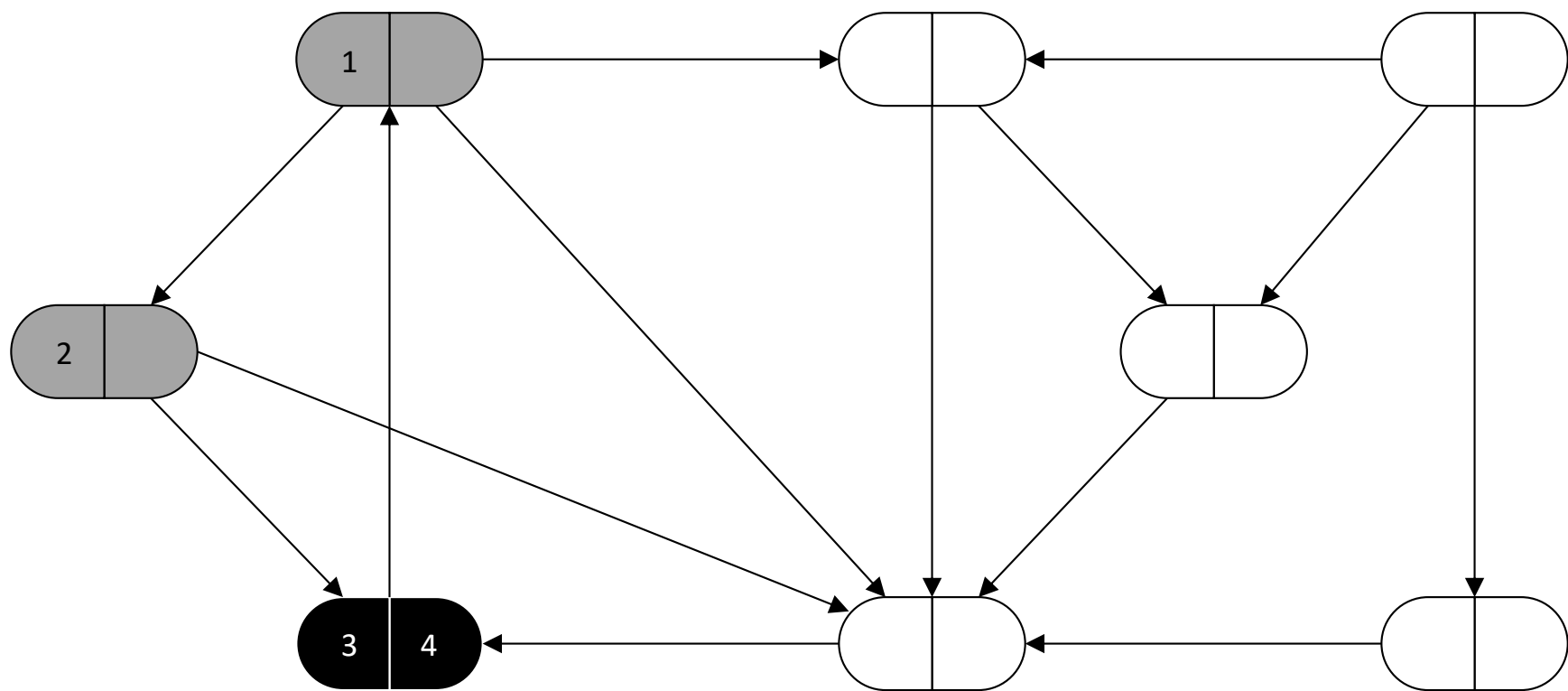


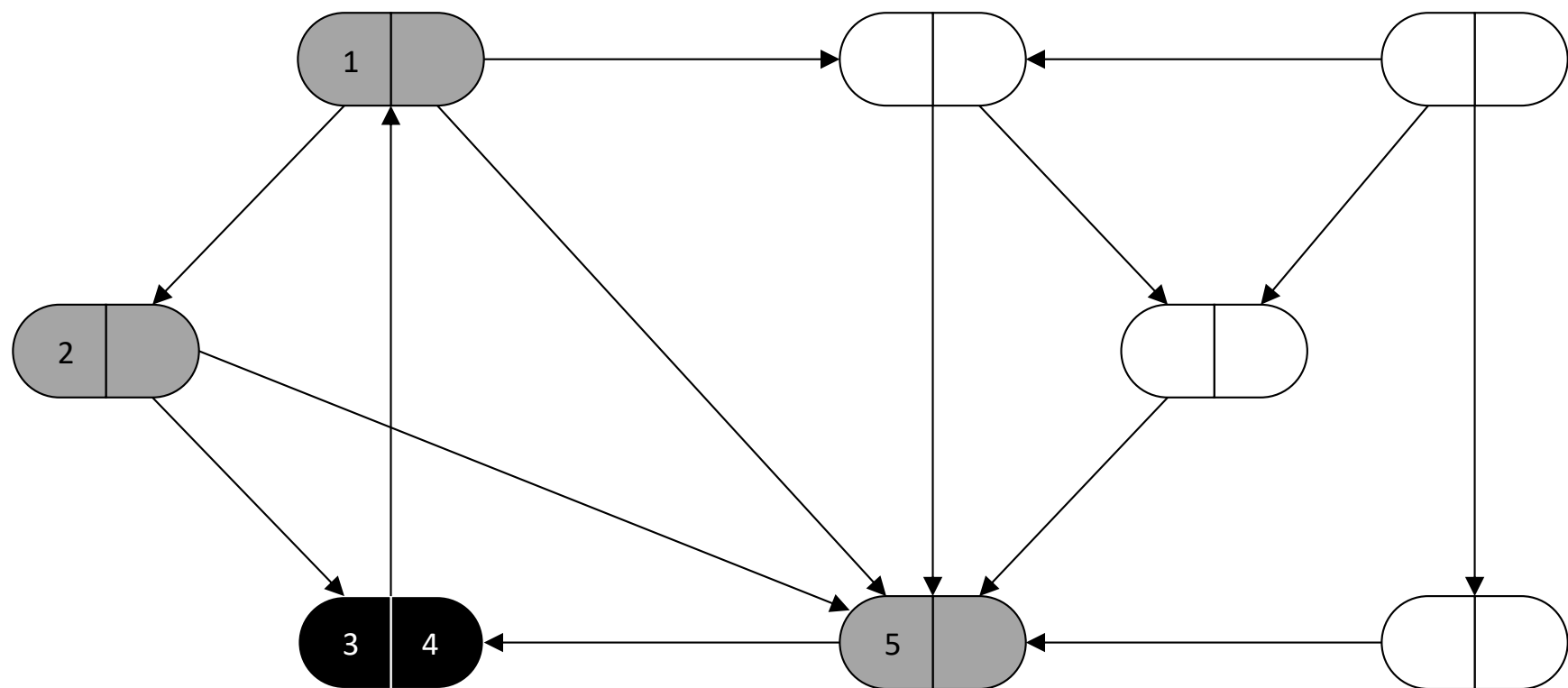


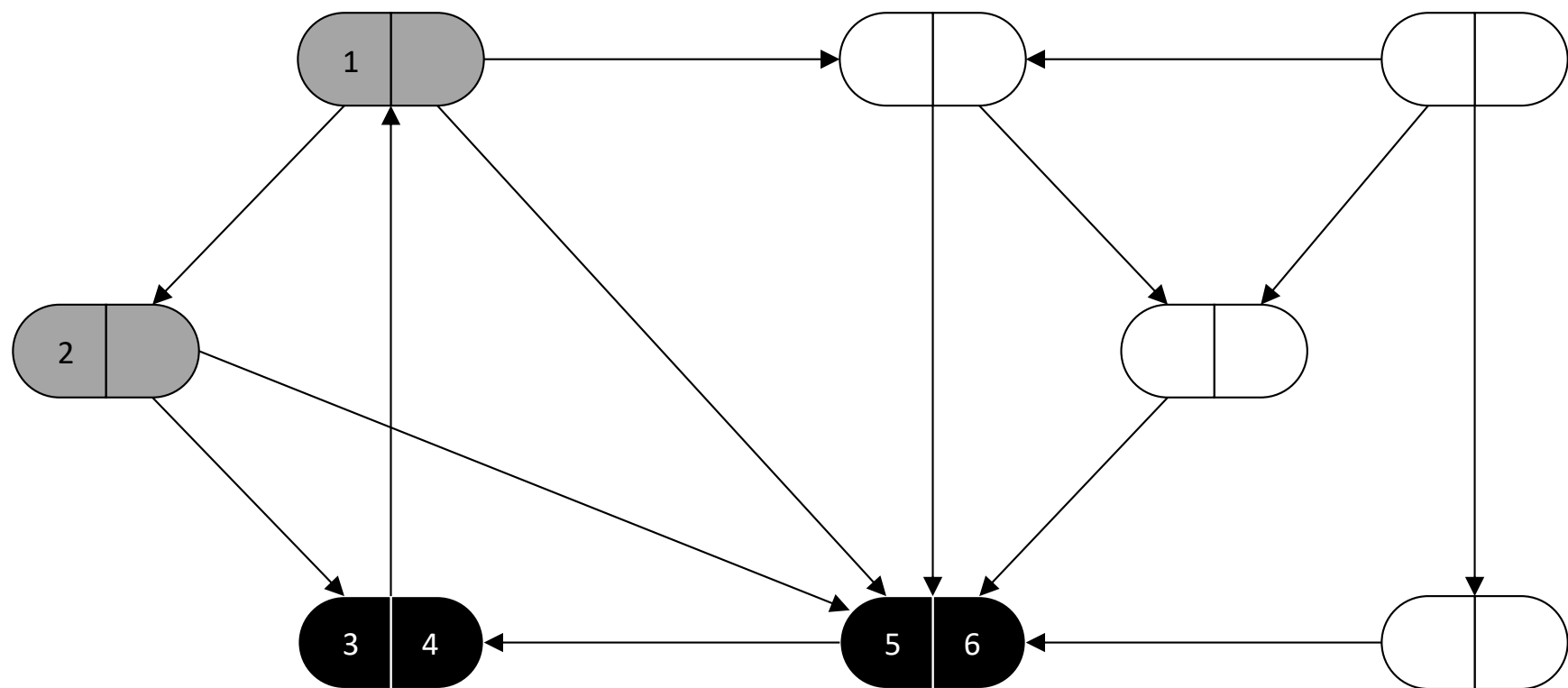


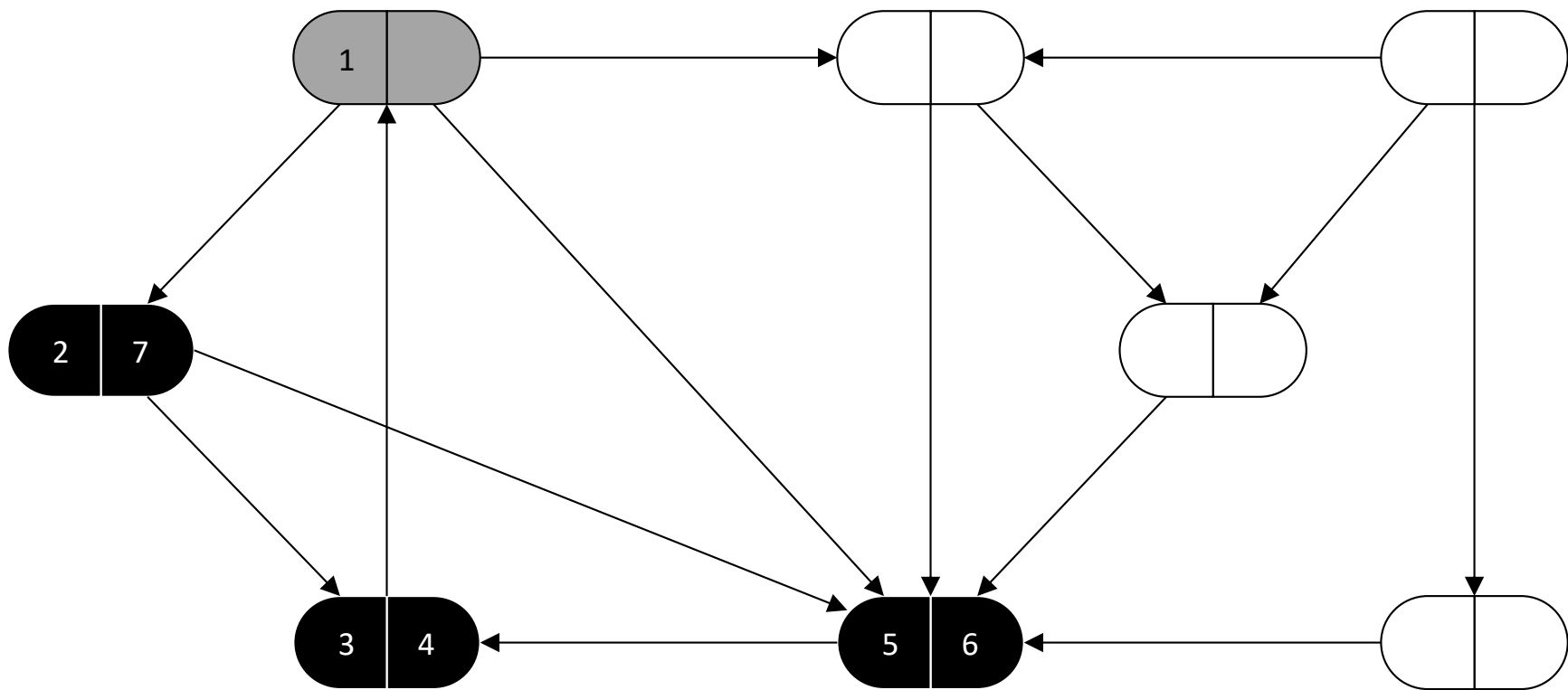


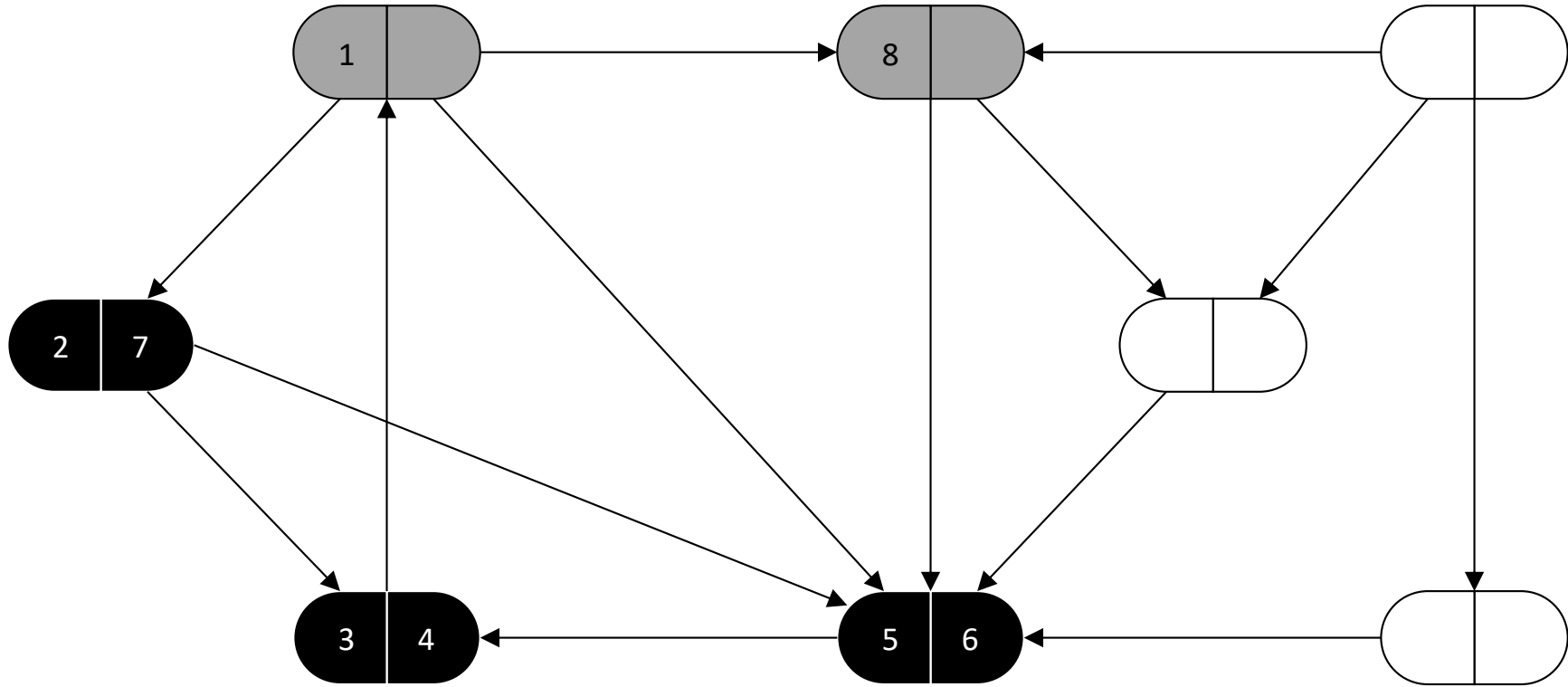


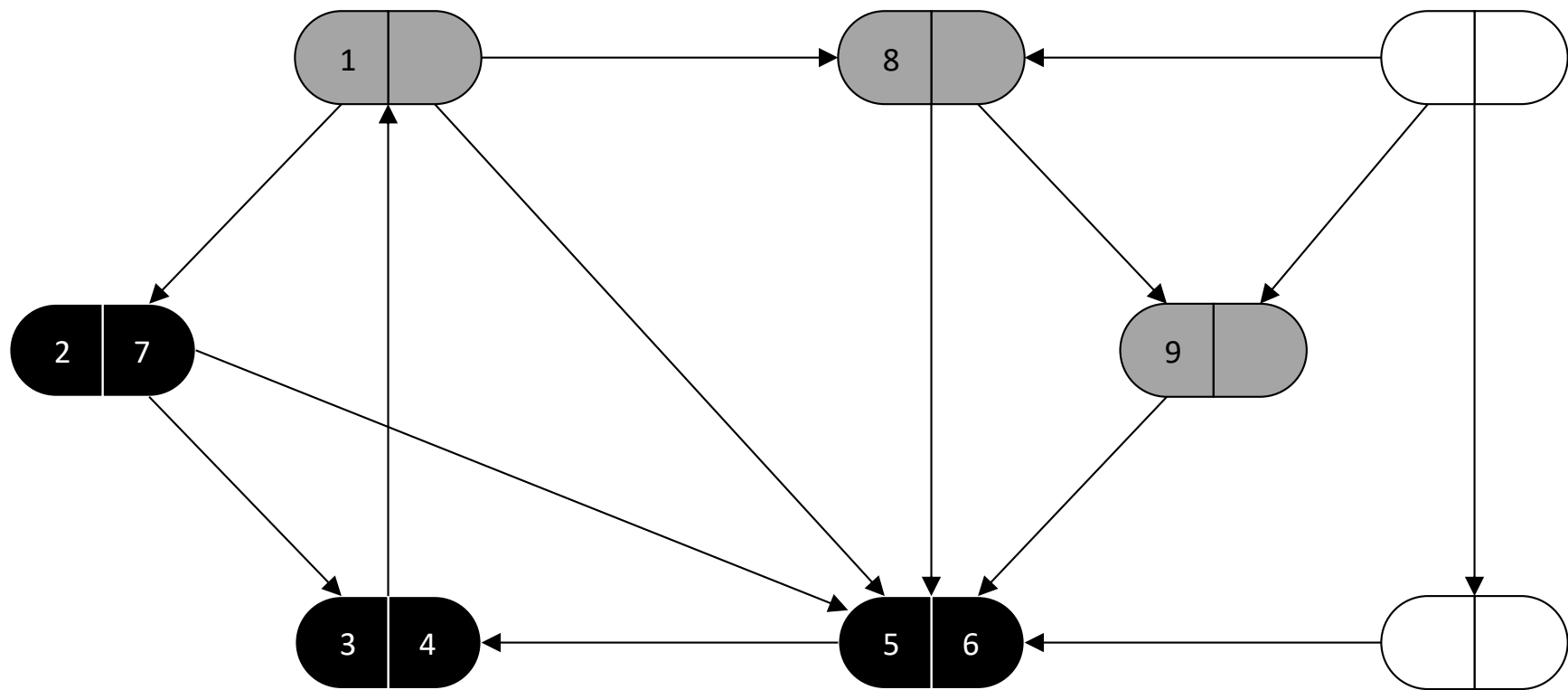


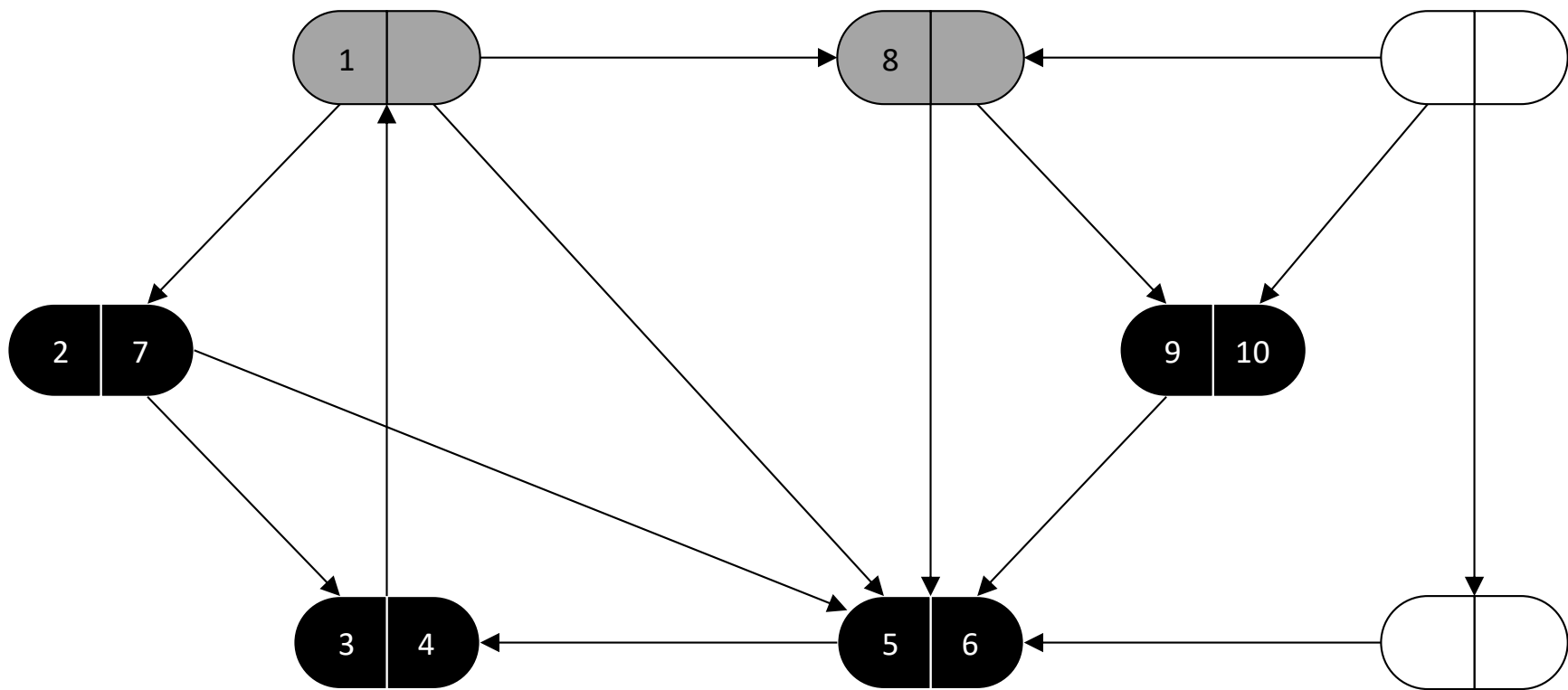




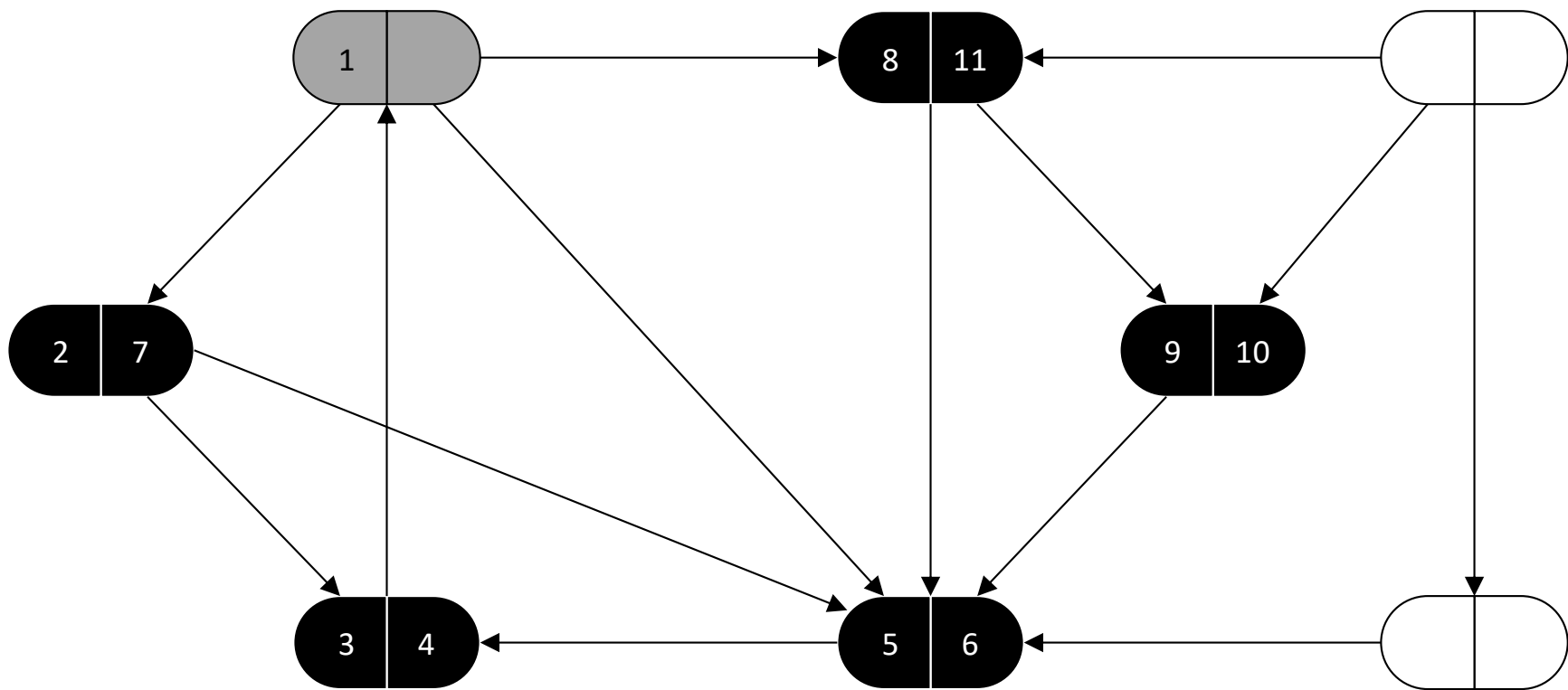


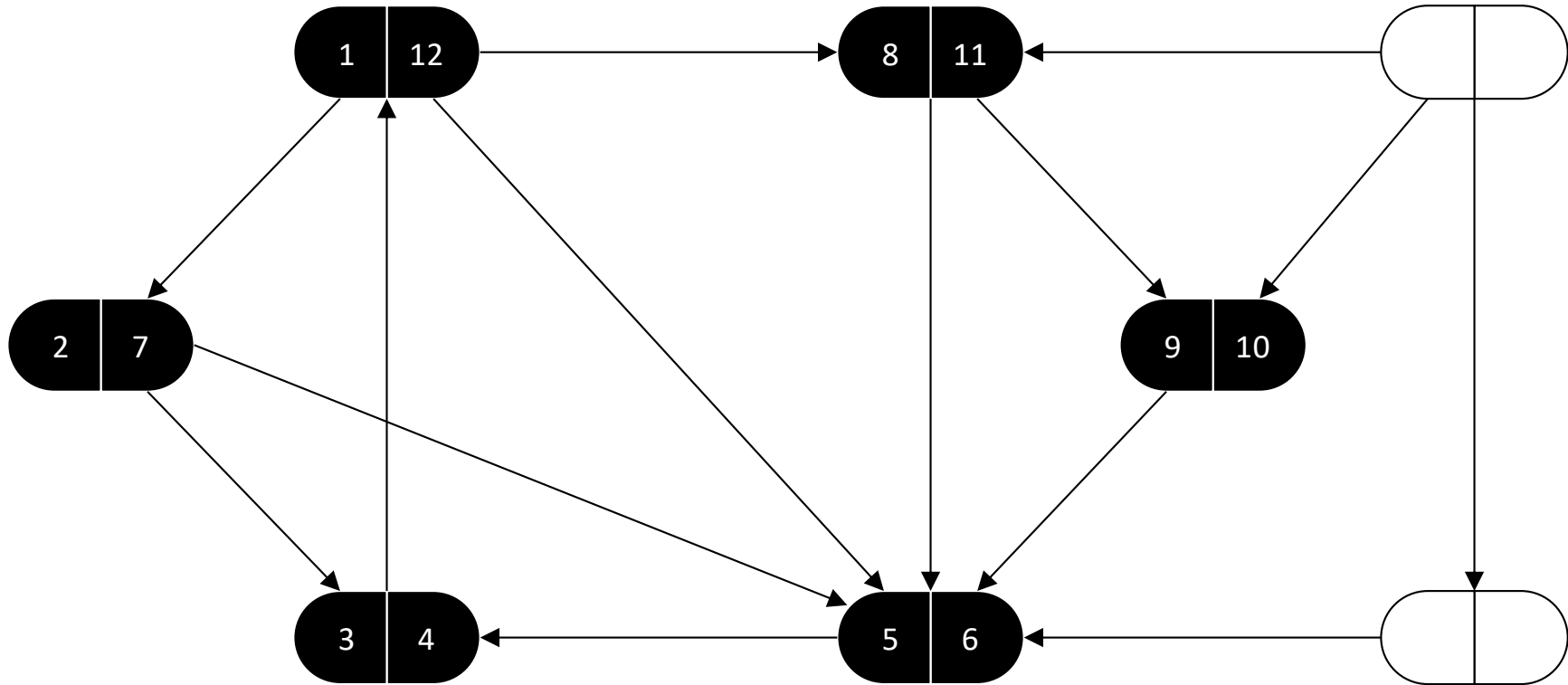


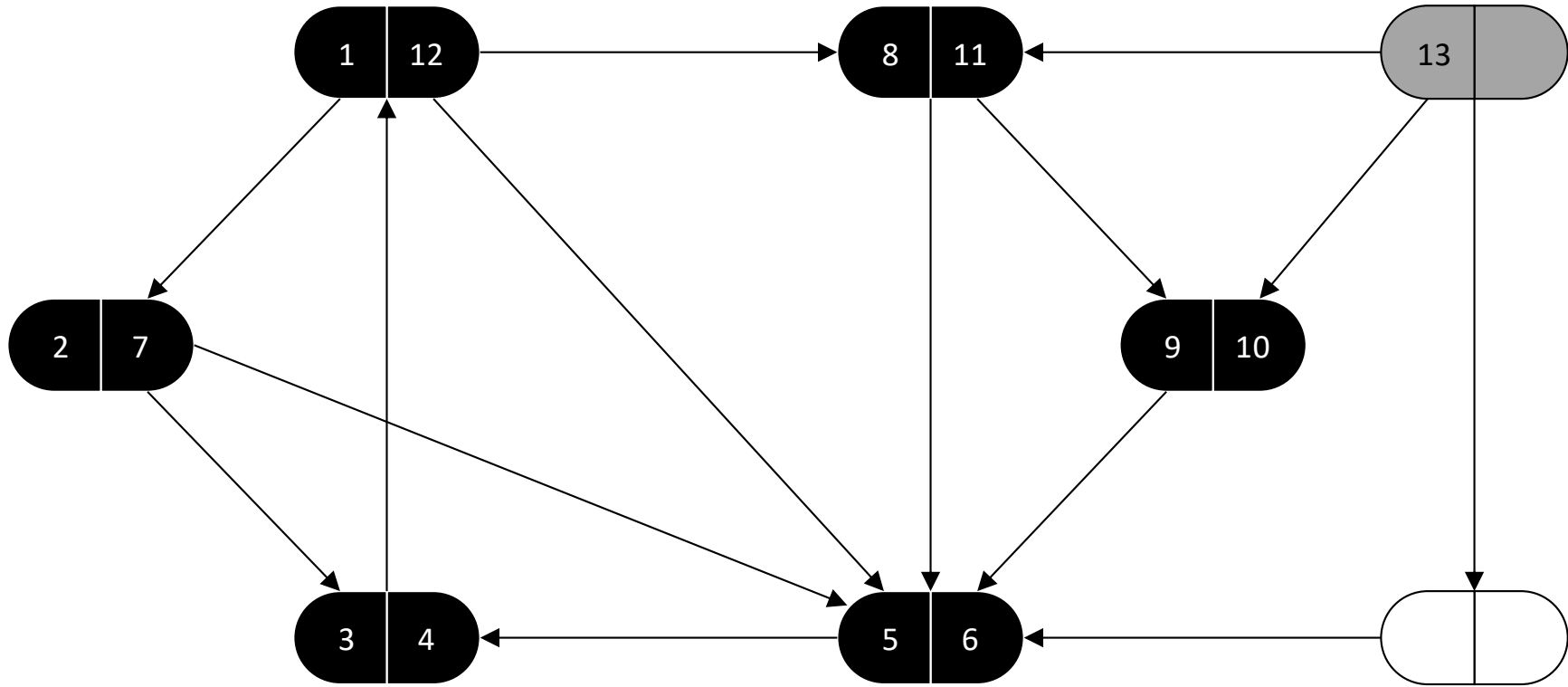


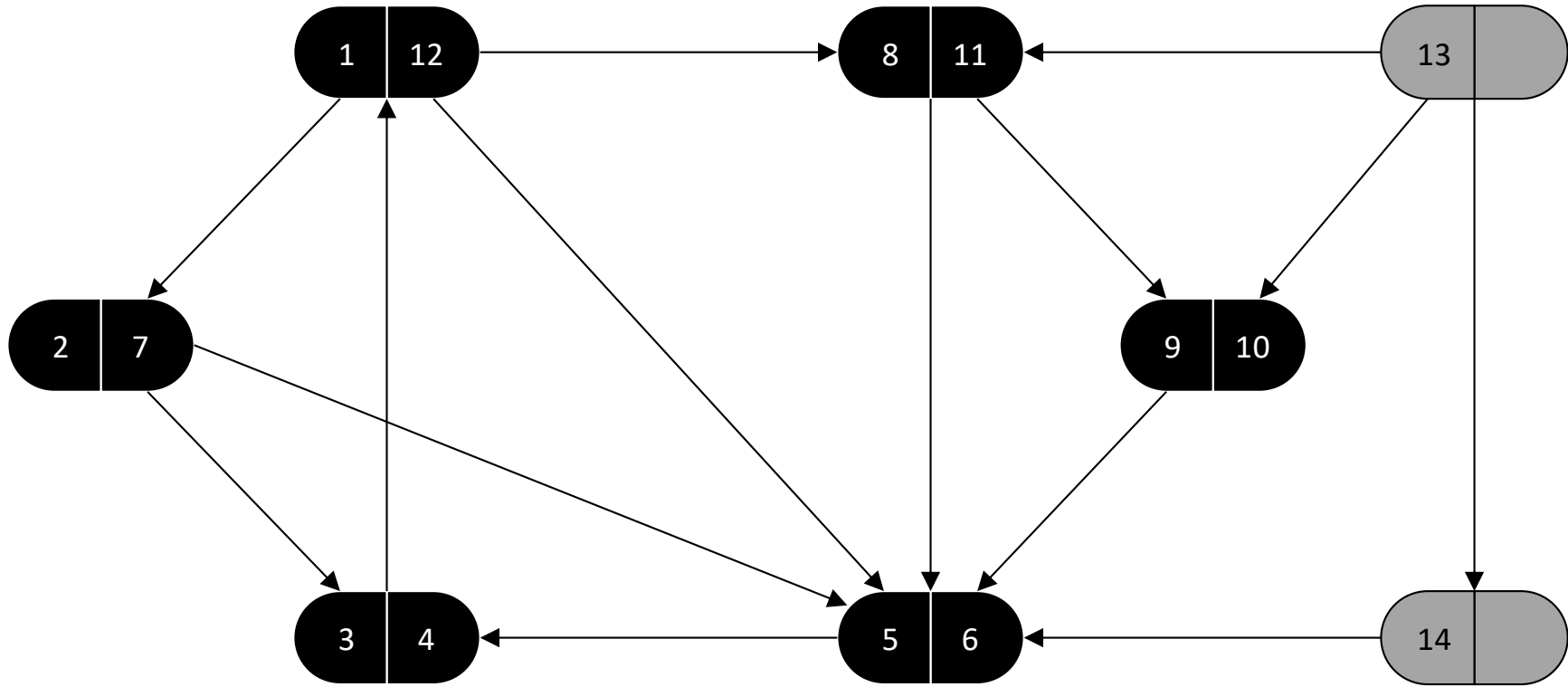


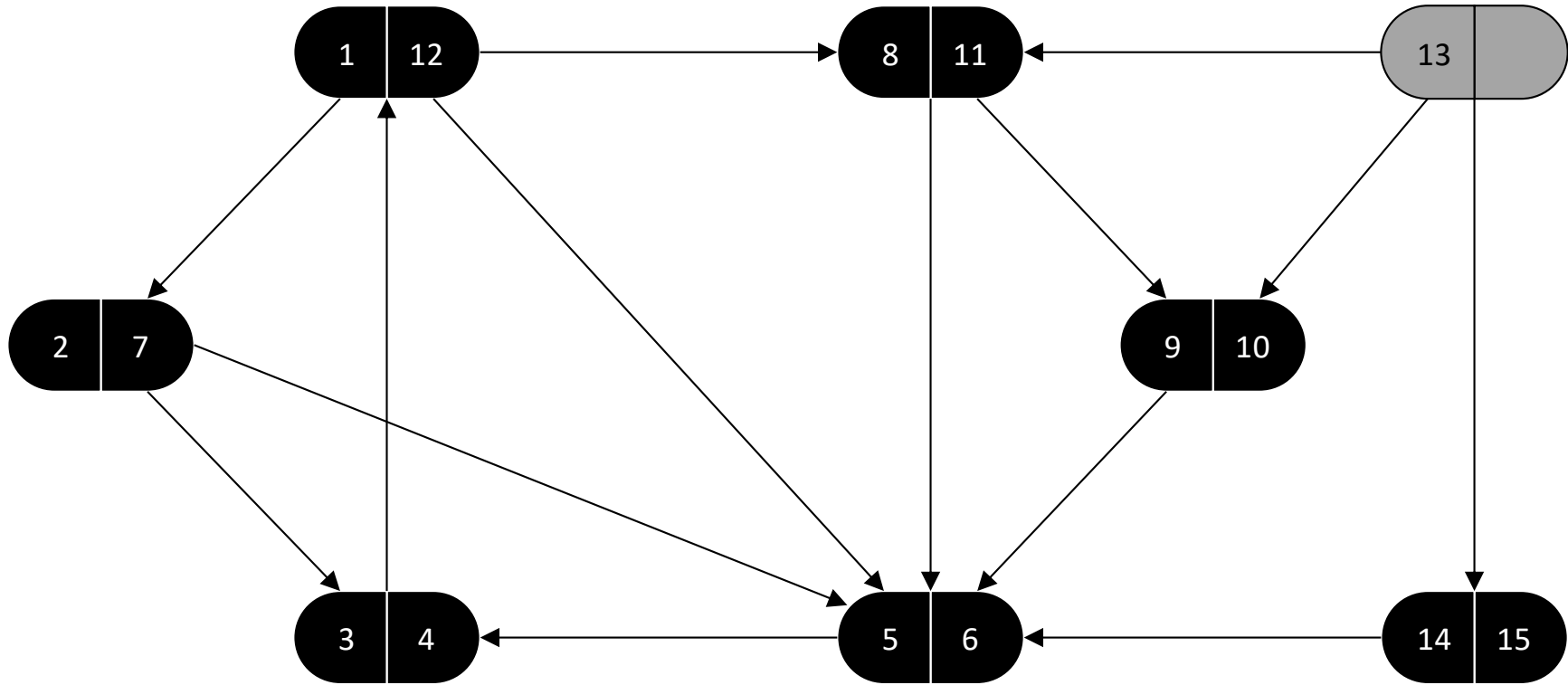


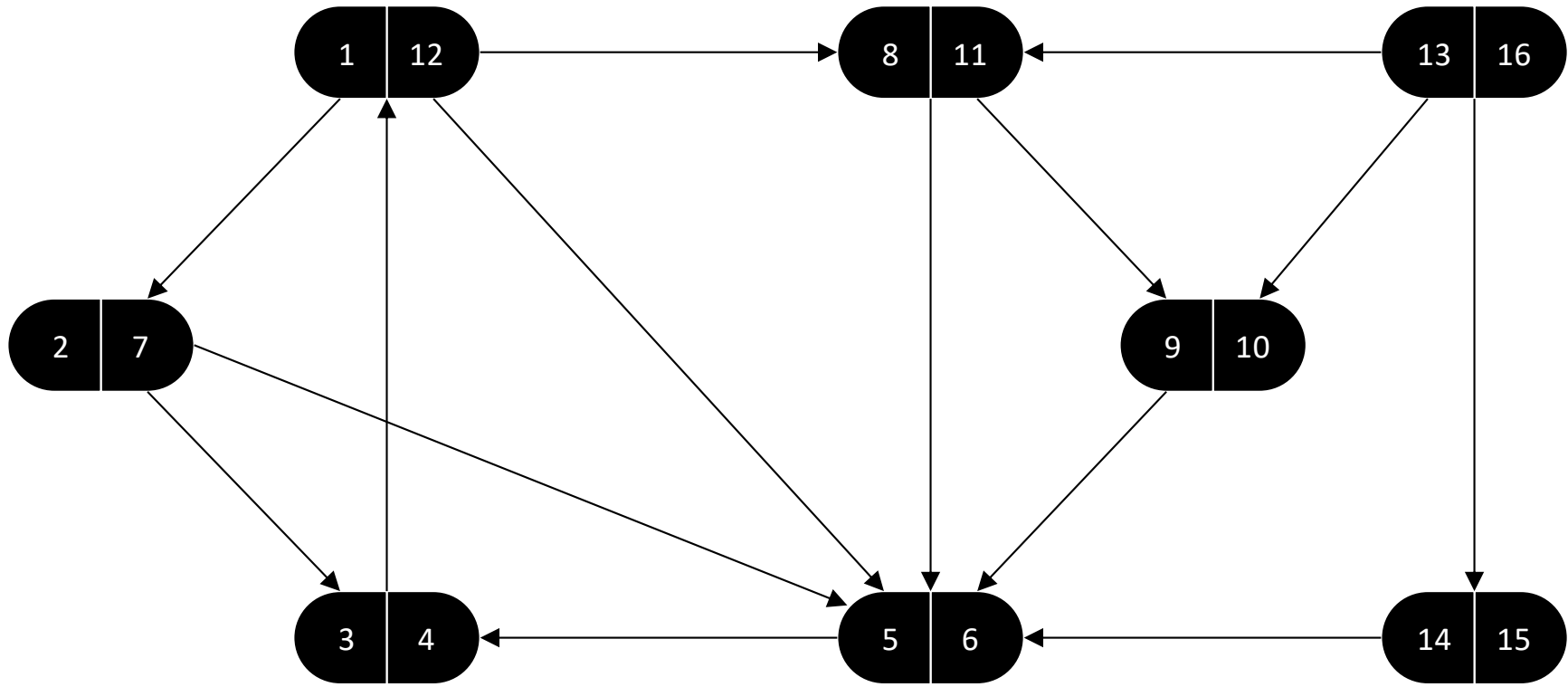












Time =  $\Theta(|V| + |E|)$

- Similar to BFS analysis
- $\Theta(|V| + |E|)$  instead of  $O(|V| + |E|)$ , since we are guaranteed to examine each edge

DFS forms a depth-first forest consisting of at least one depth-first tree

Each tree edge is  $(u, v)$  such that  $u.color = GRAY$  and  $v.color = WHITE$  when  $(u, v)$  is explored

# Parenthesis theorem

For all  $u, v$  exactly one of the following holds:

1. Time intervals  $[u.d, u.f]$  and  $[v.d, v.f]$  are disjoint ( $u$  and  $v$  belong to different depth-first trees or different branches of same tree)
2. Time interval  $[v.d, v.f]$  is a subinterval of  $[u.d, u.f]$  ( $v$  is a descendant of  $u$  in depth-first tree)
3. Time interval  $[u.d, u.f]$  is a subinterval of  $[v.d, v.f]$  ( $u$  is a descendant of  $v$  in depth-first tree)

“Time intervals of vertices behave as parenthesis”

- $()[], ([ ]), [ ( ) ]$  are OK
- $( [ ] ), [ ( ] )$  are NOT OK



## White-path theorem

$v$  is a descendant of  $u$  if and only if at time  $u.d$  there is a path  $u \rightarrow v$  consisting only of WHITE vertices (except for  $u$  which is colored GRAY)

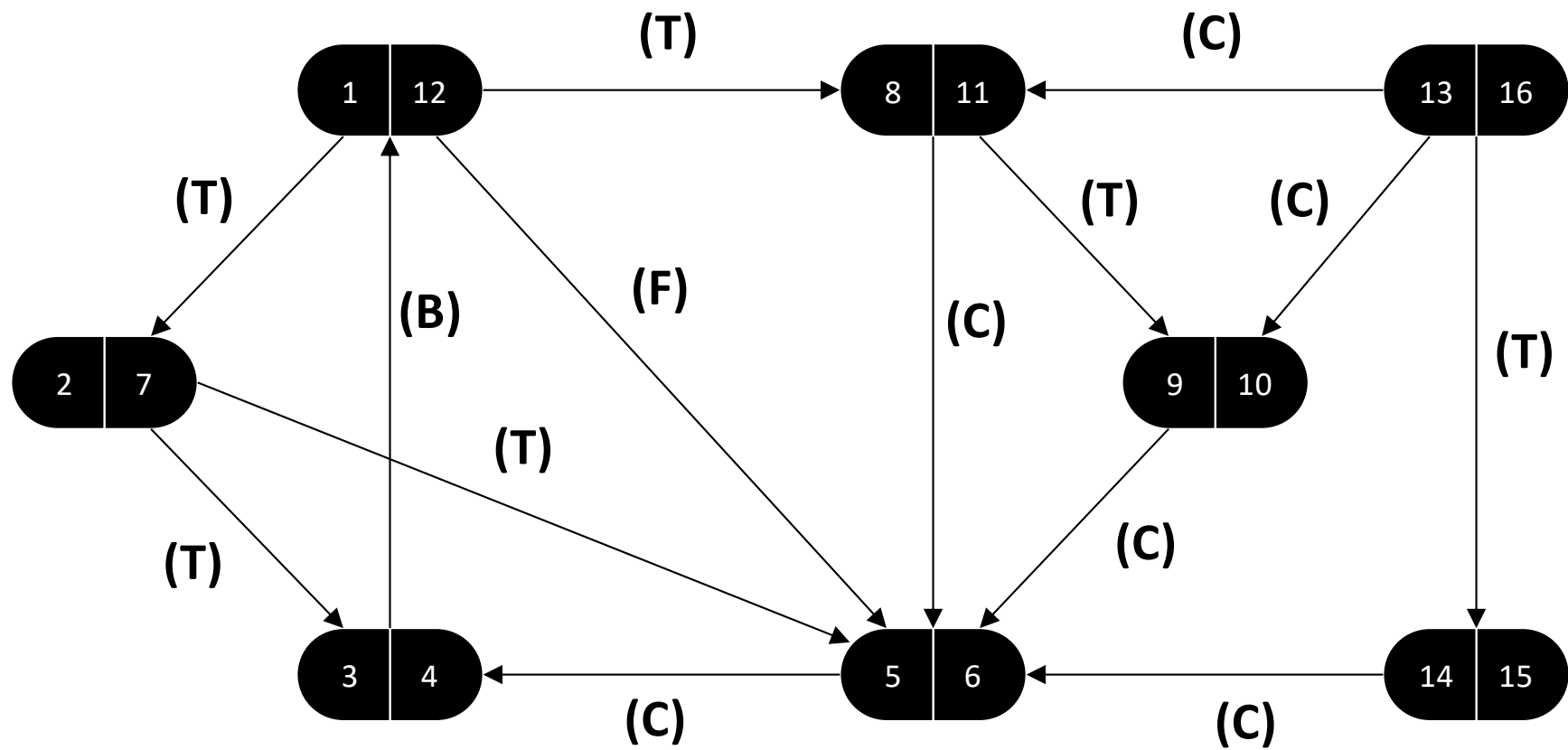
# Classification of edges

**Tree edge (T):** appears in the depth-first forest.

**Back edge (B):**  $(u, v)$ , where  $u$  is a descendant of  $v$

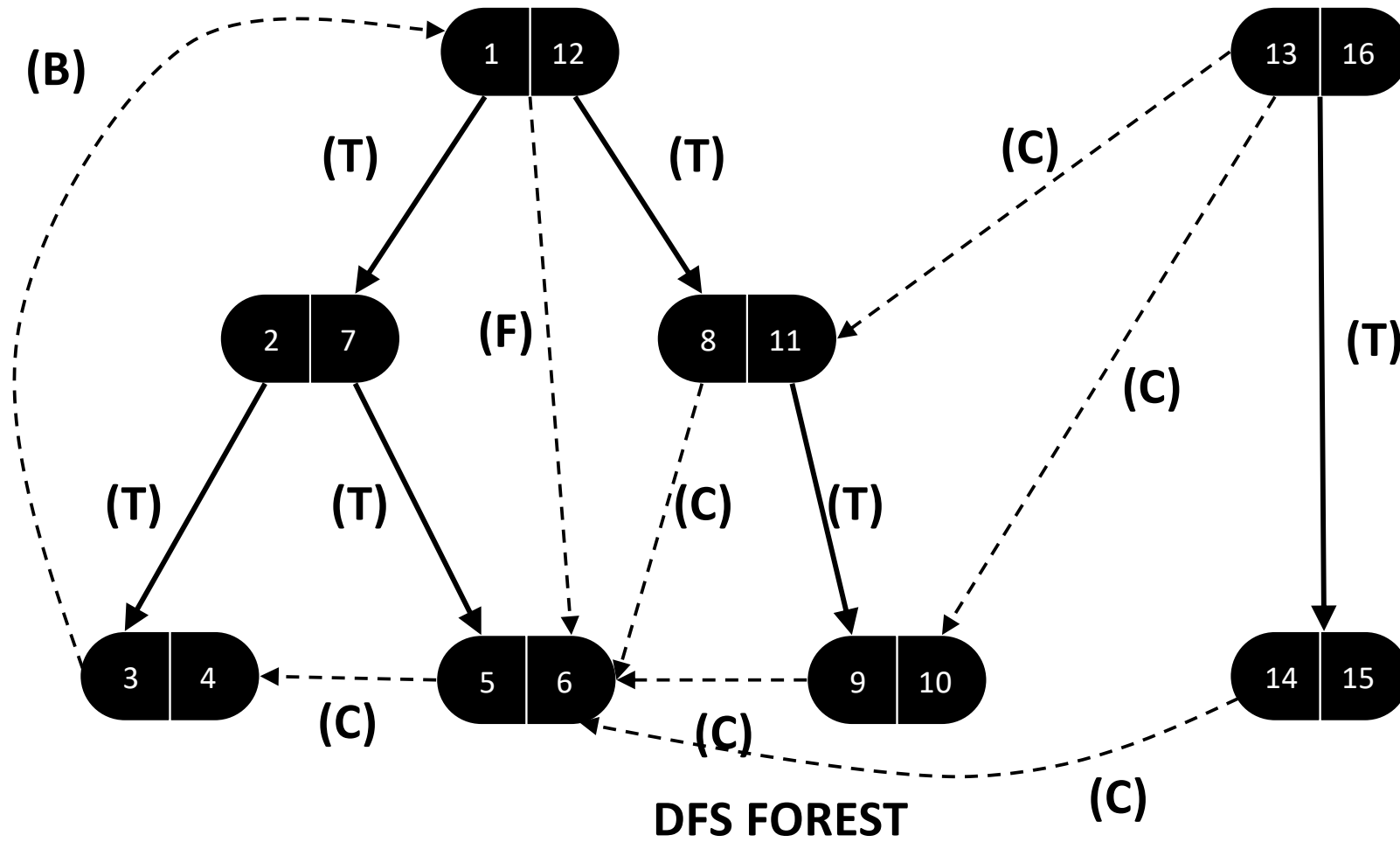
**Forward edge (F):**  $(u, v)$ , where  $v$  is a descendant of  $u$ , but not a tree edge

**Cross edge (C):** any other edge



**DFS TREE 1**

**DFS TREE 2**





Extra properties:

1. A directed graph contains a cycle if and only if DFS reveals a back edge.
2. DFS on undirected graphs reveals only tree and back edges, no forward or cross edges

# Topological sort (CLRS 22.4)

DAG = directed acyclic graph

A directed graph with no cycles (DFS reveals no back edges)

Good for modelling partial order:

1.  $a > b$  and  $b > c$  implies that  $a > c$
2. But may have  $a$  and  $b$  that are incomparable

Can always complete it to a total order

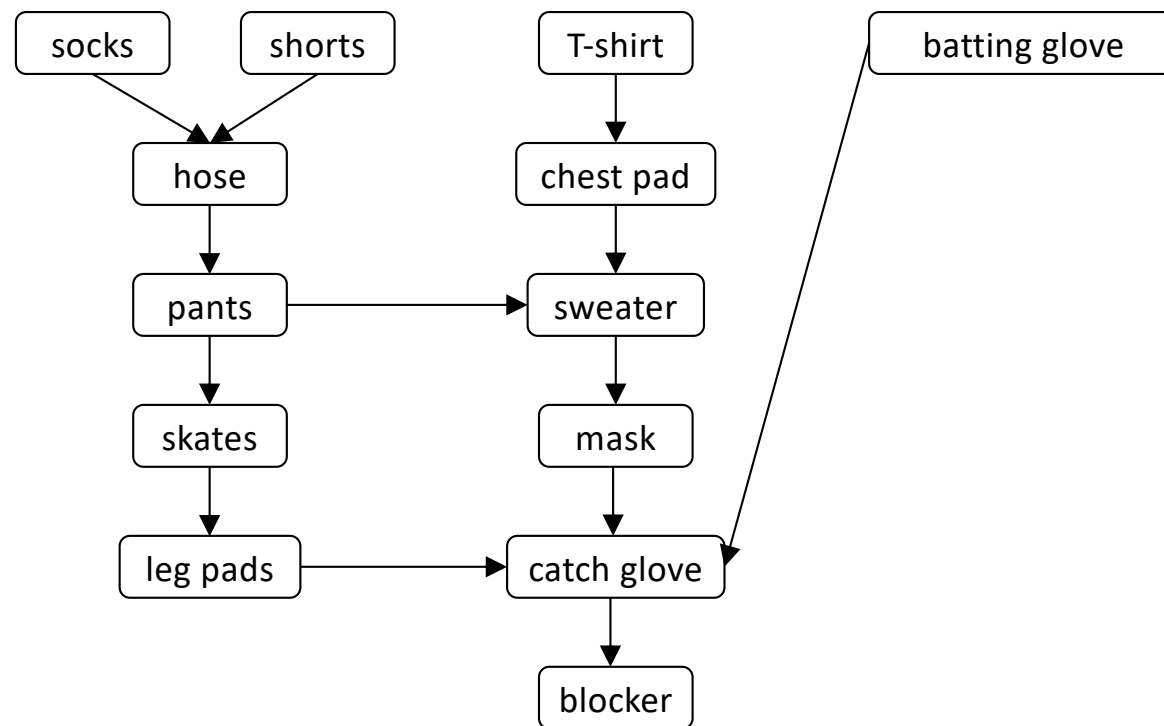
This is what topological sort does

# Topological sort formally

**Input:**  $G = (V, E)$  – a dag

**Output:** a linear ordering of  $V$  such that if  $(u, v) \in E$  then  $u$  appears before  $v$  in the ordering

# Dag of dependencies for putting on goalie equipment





To perform topological sort:

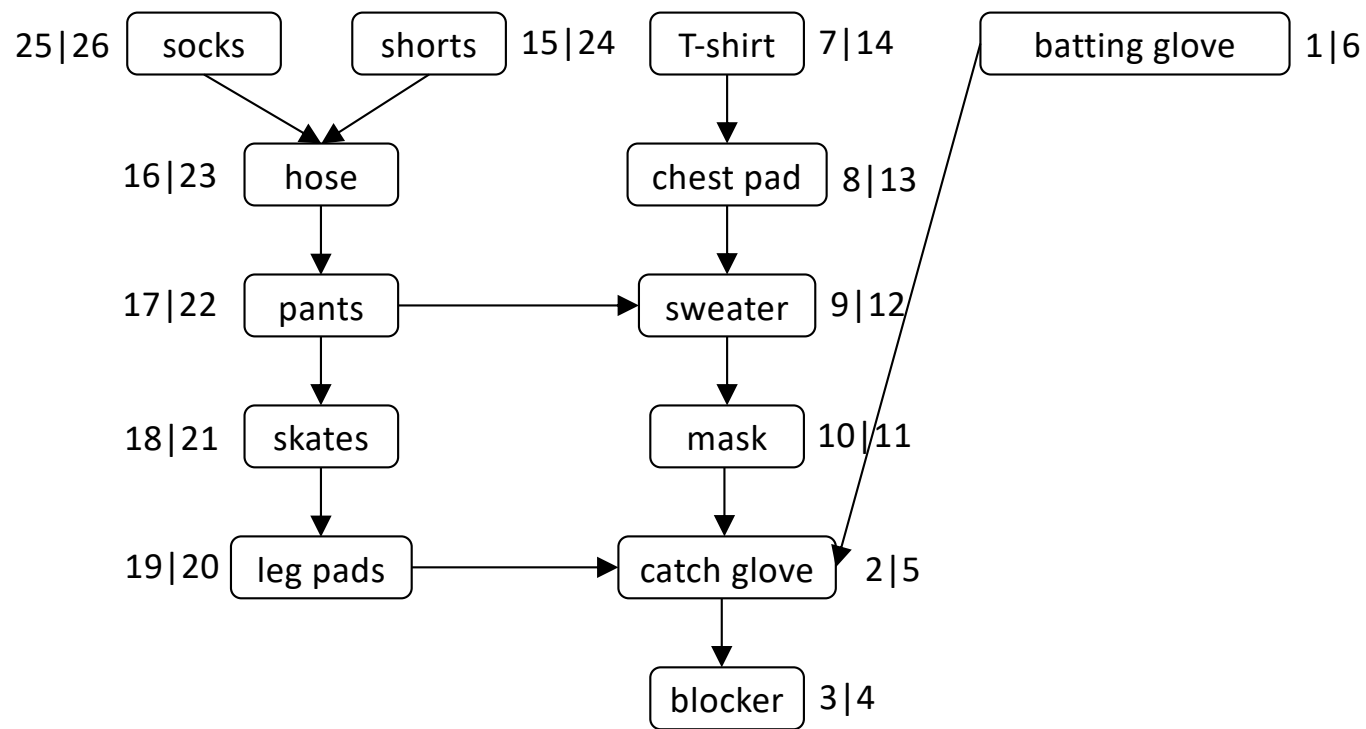
- call DFS to compute finishing times  $v.f$  for all  $v \in V$
- output vertices in order of decreasing finishing times

Do not explicitly sort vertices after DFS (this would blow up running time)

- As a vertex is finished being explored, place it in the front of the output list
- When done, the list contains vertices in topological order

Time complexity:  $\Theta(|V| + |E|)$

**Exercise:** write down pseudocode from scratch



Topological sort:





# Proof of correctness

Only need to show that  $(u, v) \in E$  implies  $v.f < u.f$

When we explore  $(u, v)$  what are colors of  $u$  and  $v$ ?

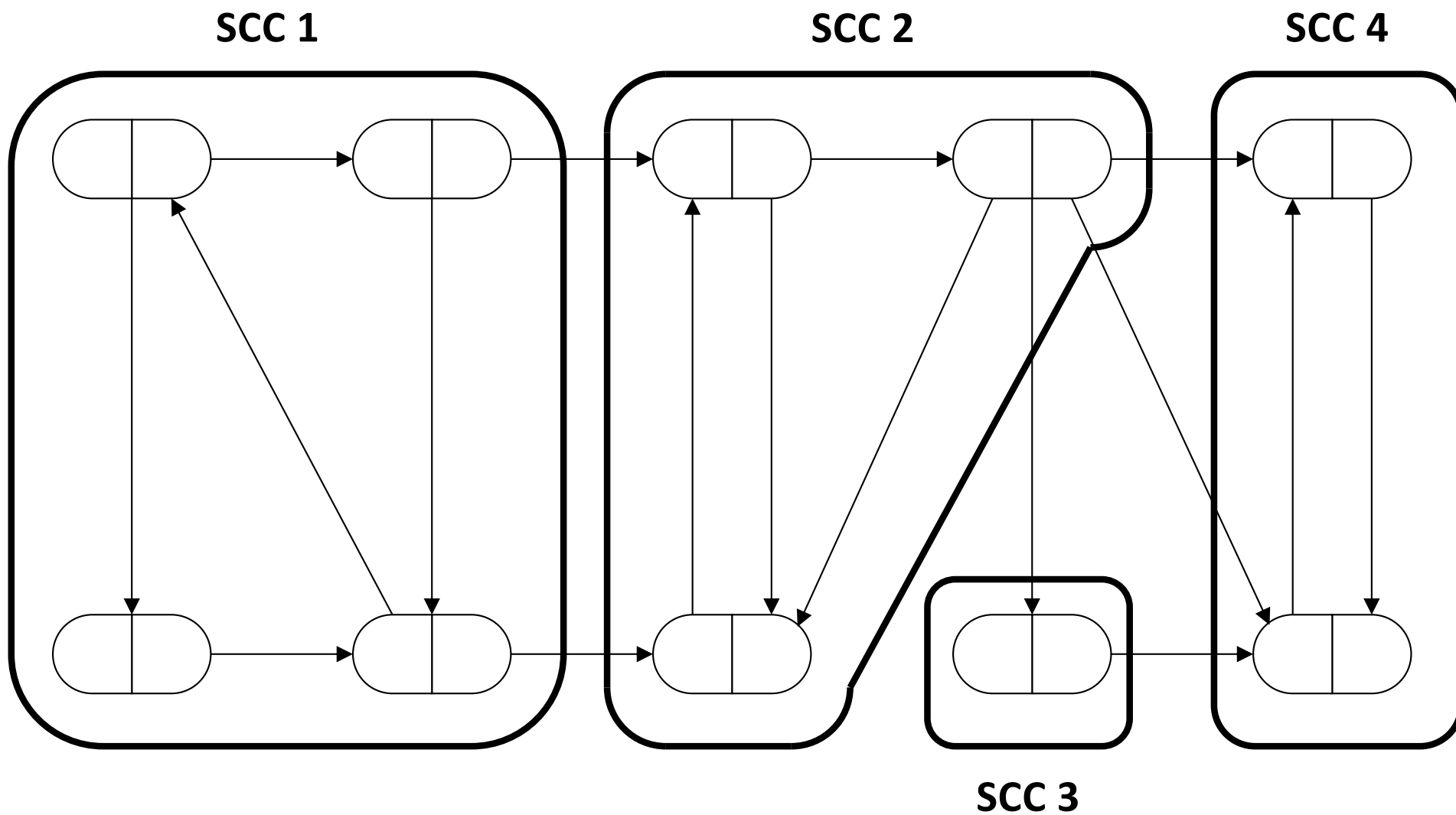
- $u$  is GRAY
- Case  $v$  is WHITE:
  - $v$  becomes descendant of  $u$  (by white-path theorem)
  - $[v.d, v.f]$  is a subinterval of  $[u.d, u.f]$  (by parenthesis theorem)  
therefore  $u.d < v.d < v.f < u.f$ , as desired
- Case  $v$  is BLACK:
  - $v$  is already finished, while we are still exploring  $u$
  - Therefore  $v.f < u.f$
- Case  $v$  is GRAY:
  - $(u, v)$  becomes a back edge
  - contradicts property of dags
  - impossible

## Strongly connected components (CLRS, 22.5)

**A strongly connected component (SCC)** of  $G$  is a maximal set of vertices  $C \subseteq V$  such that for all  $u, v \in C$  there is a path from  $u$  to  $v$  and there is a path from  $v$  to  $u$

“vertices that are mutually reachable from each other”

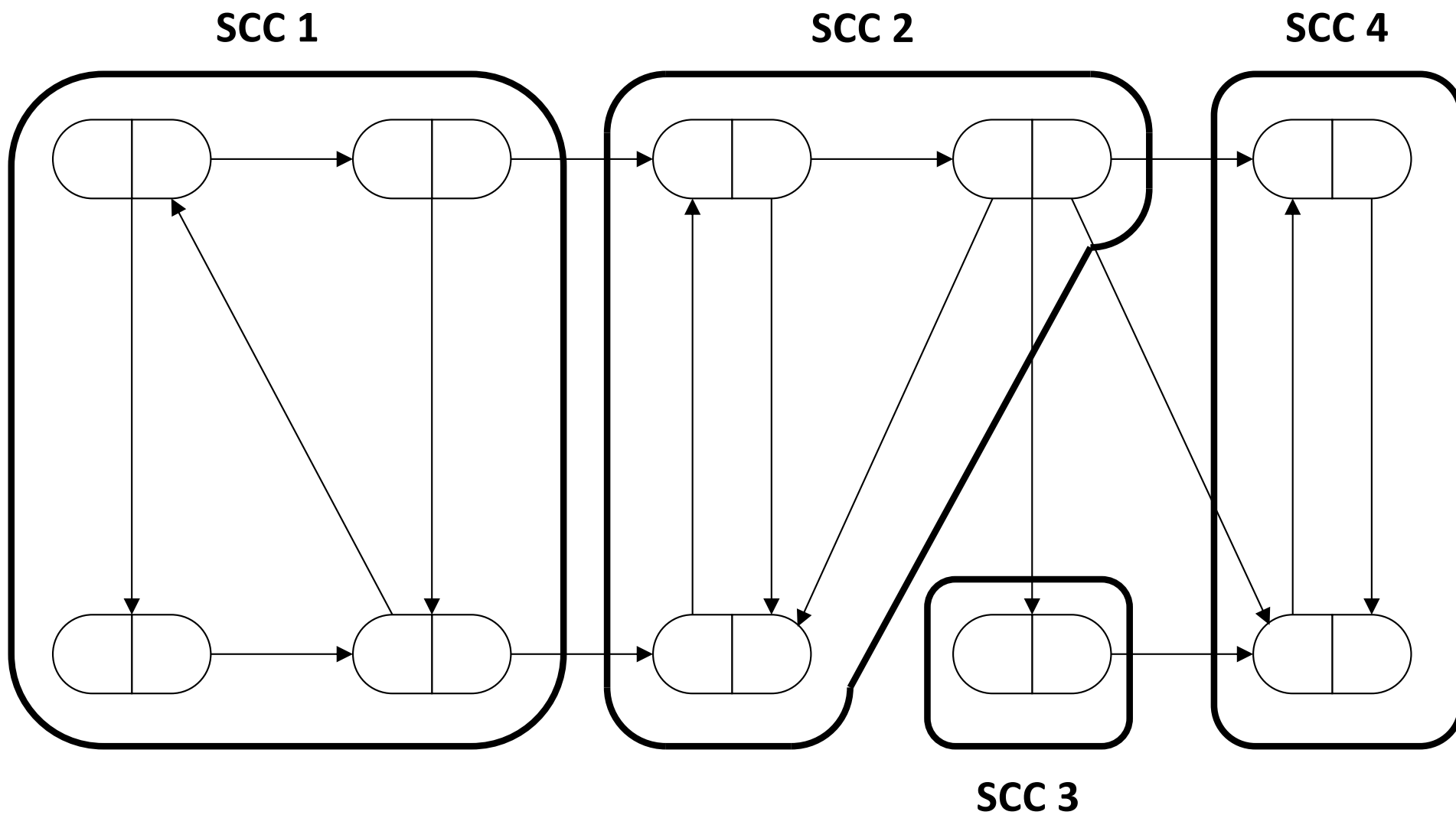
Vertices of a dag are partitioned into disjoint SCCs

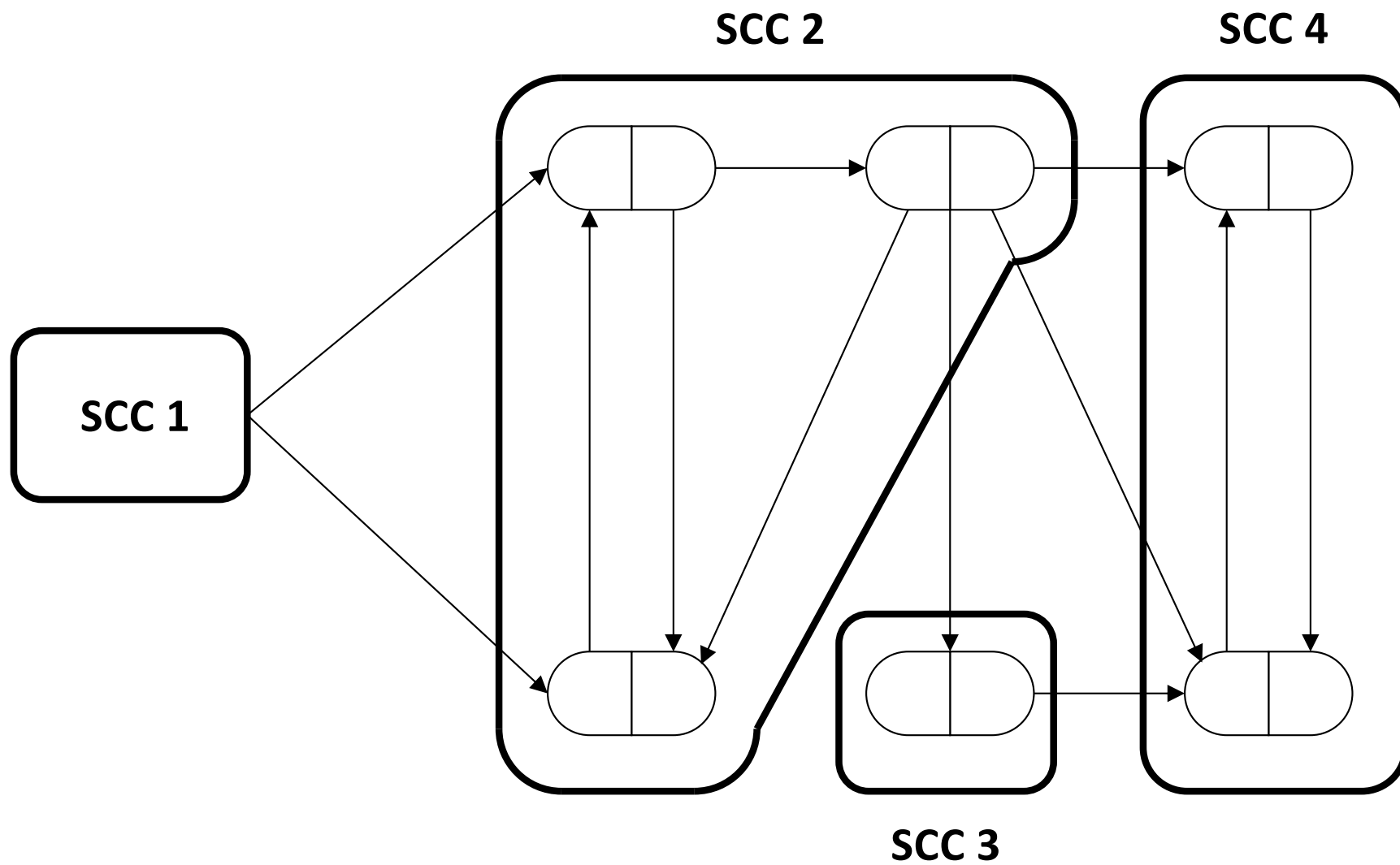


# Component graph

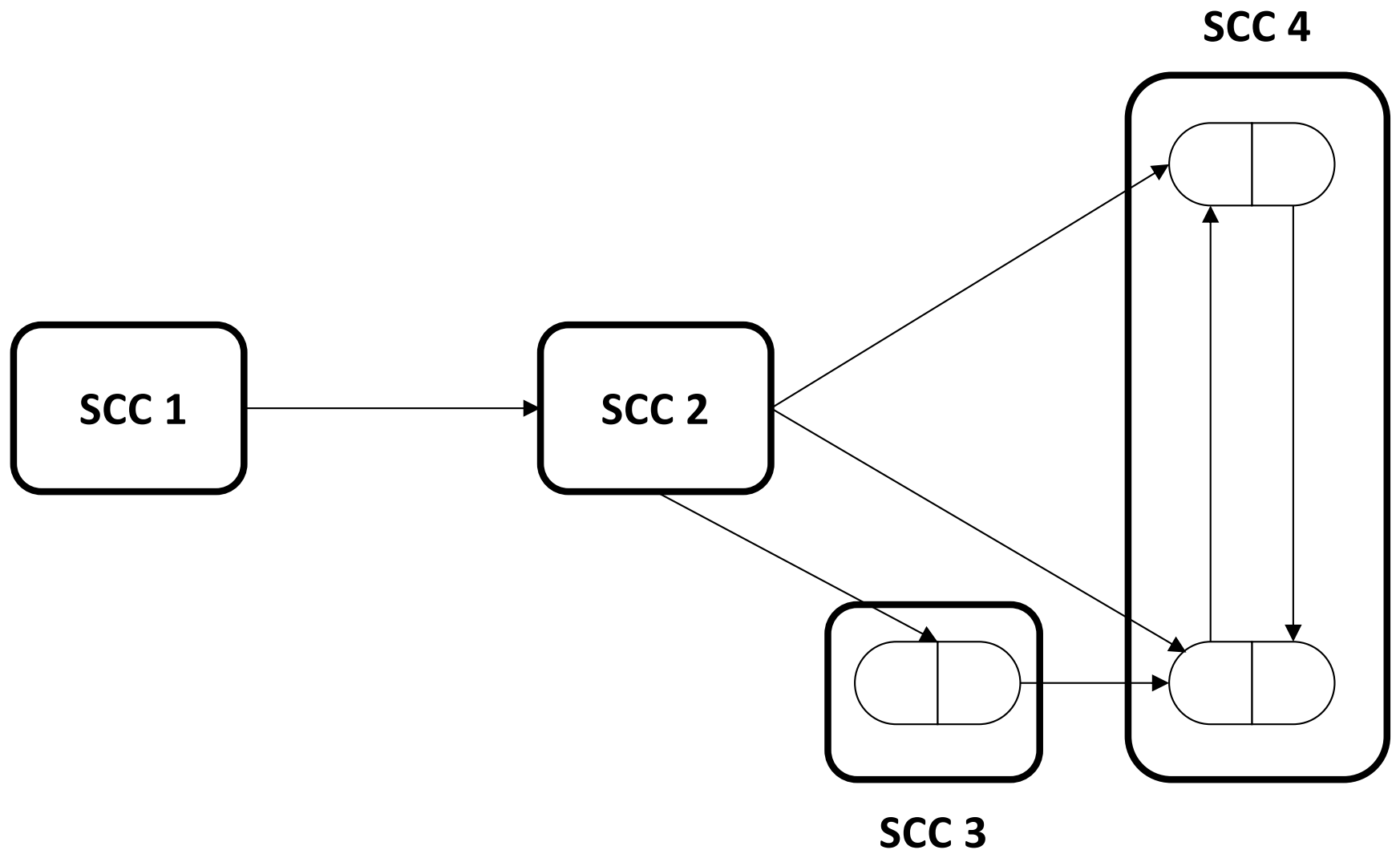
“Shrink each SCC into a single vertex, remove duplicate edges”

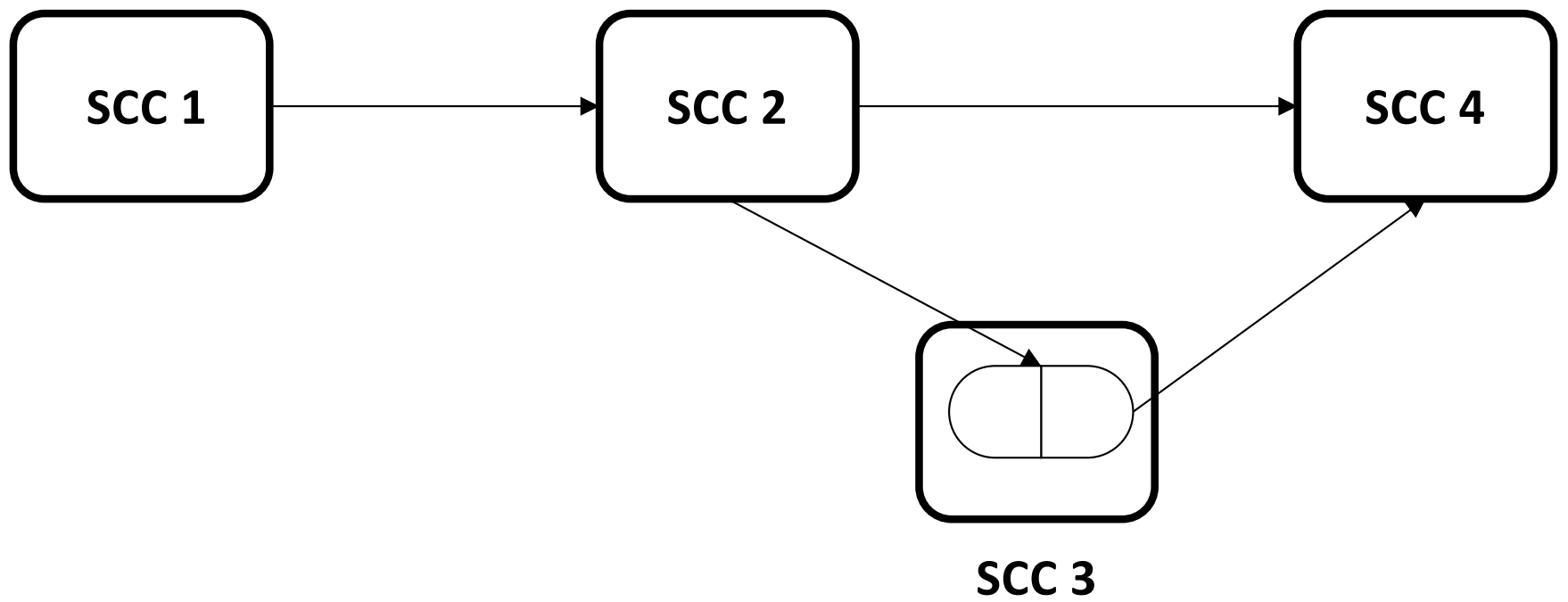
- $G^{scc} = (V^{scc}, E^{scc})$
- $V^{scc}$  has one vertex for each SCC in  $G$
- $E^{scc}$  has an edge if there is an edge between the corresponding SCC's in  $G$



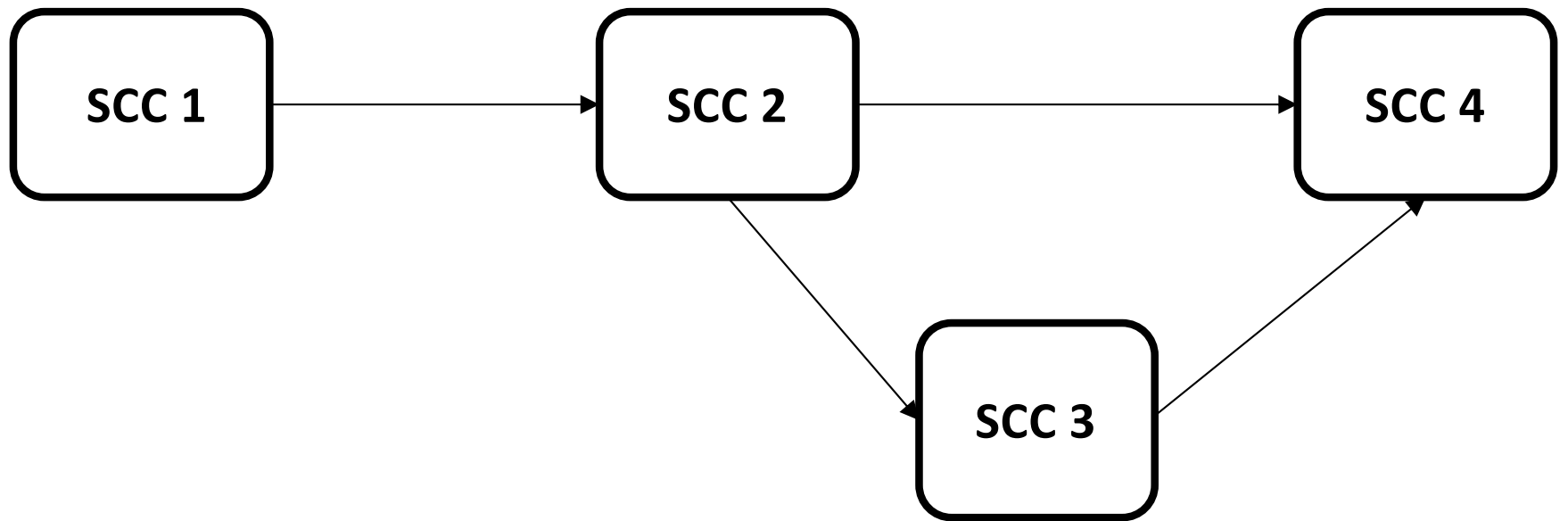








$G^{scc}$



# Transpose of a graph

Algorithm for computing SCCs uses the notion of **transpose** of a graph

$G^T$  is the transpose of  $G = (V, E)$  defined as

- $G^T = (V, E^T)$
- $E^T = \{(v, u) : (u, v) \in E\}$
- $G^T$  is  $G$  with all edges reversed

Can be created in  $\Theta(|V| + |E|)$  running time using  $Adj[ ]$

$SCC(G)$

call  $DFS(G)$  to compute finishing times  $u.f$  for all  $u \in V$

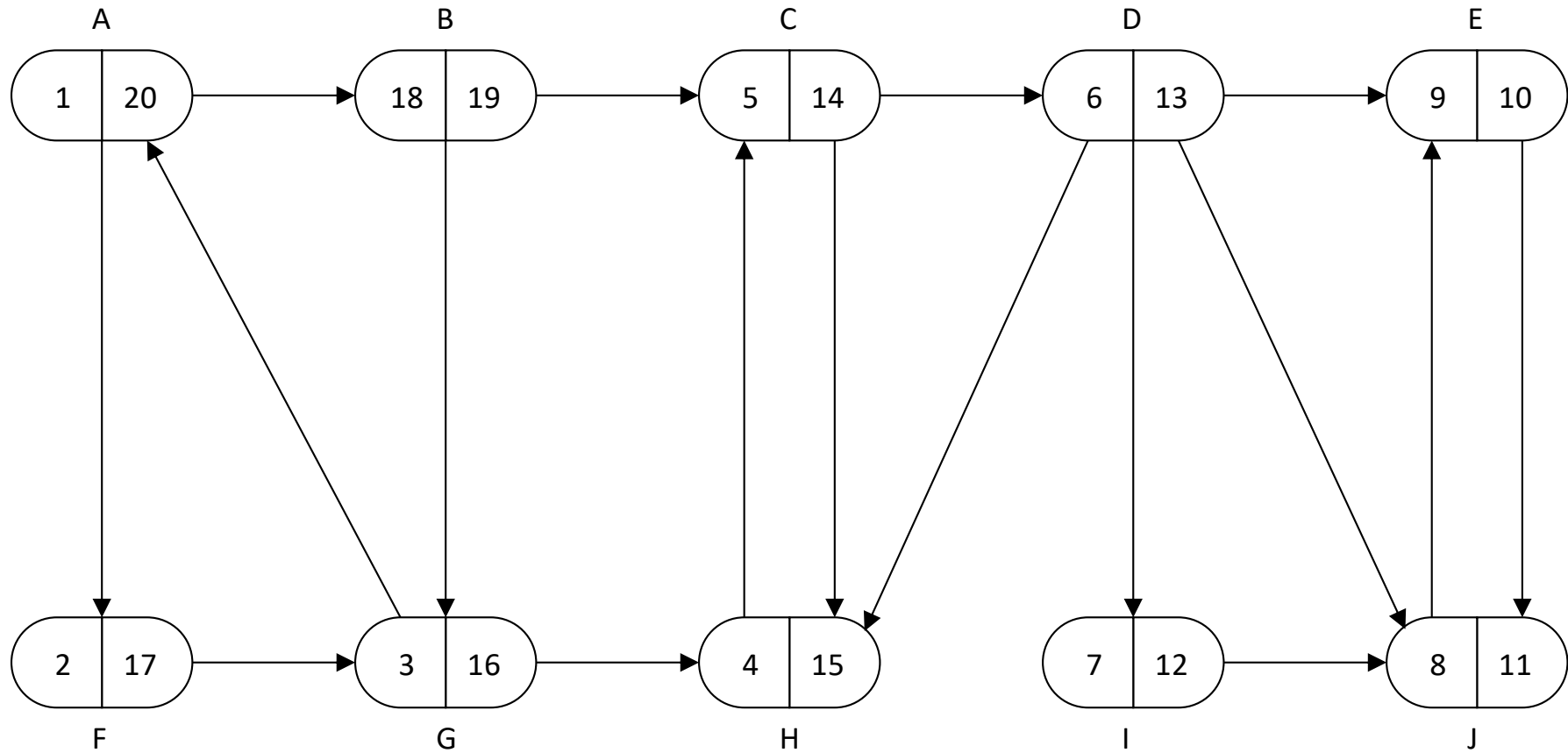
compute  $G^T$

call  $DFS(G^T)$ , but in the main loop consider vertices in order of decreasing  $u.f$  (from first DFS)

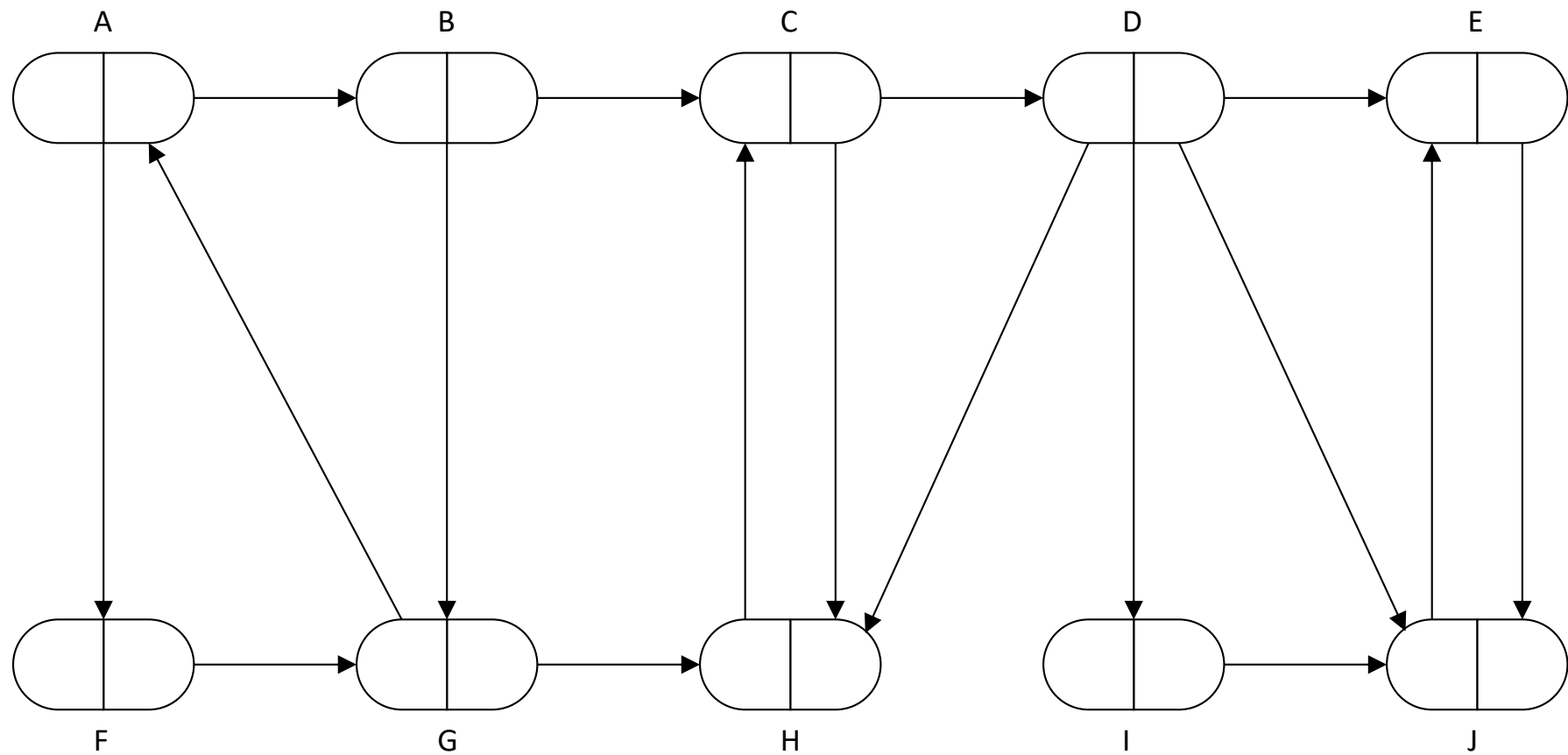
output vertices in each tree of the DFS-forest formed in second DFS as a separate SCC

Time  $\Theta(|V| + |E|)$

## First DFS

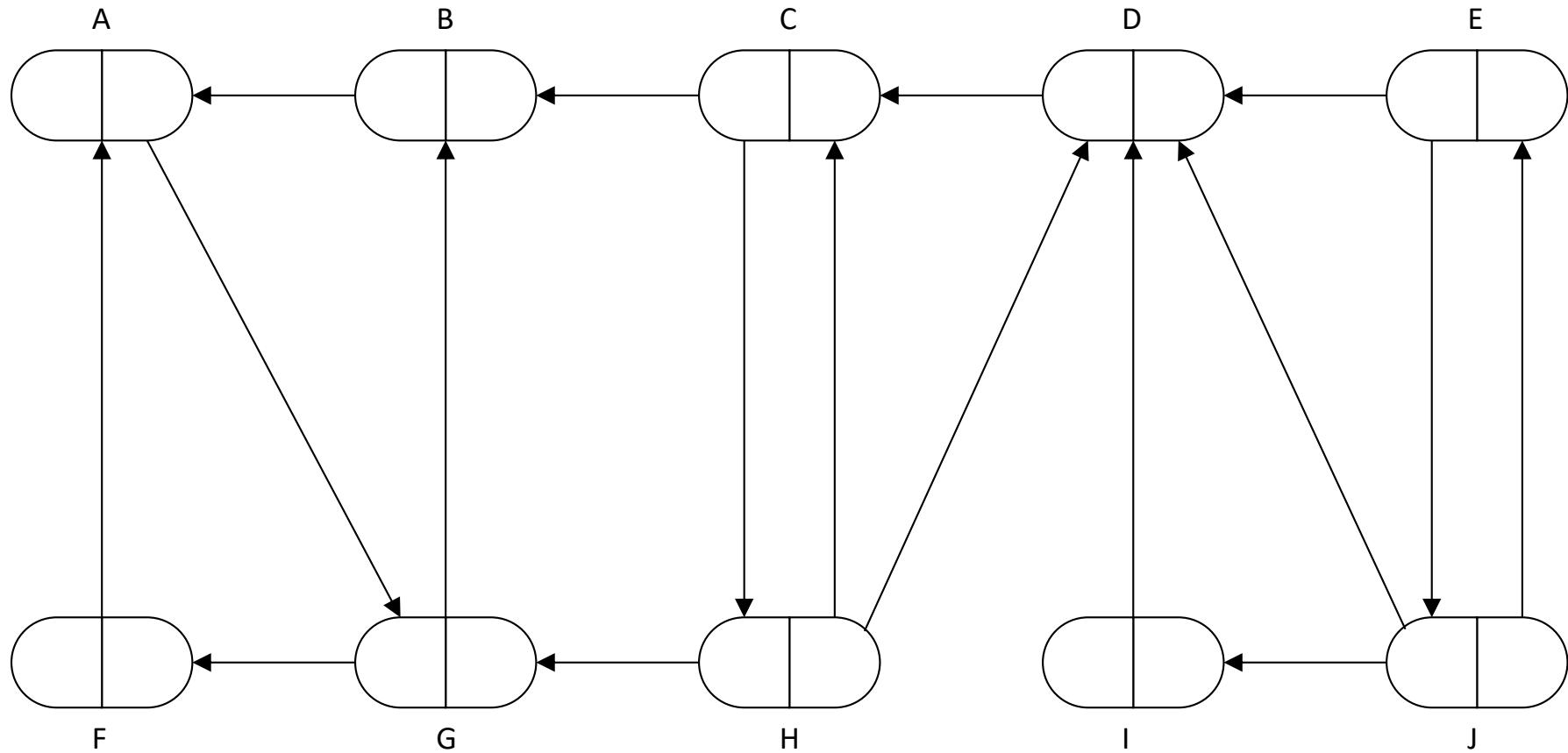


Order of vertices for 2<sup>nd</sup> DFS: A,B,F,G,H,C,D,I,J,E



Order of vertices for 2<sup>nd</sup> DFS: A,B,F,G,H,C,D,I,J,E

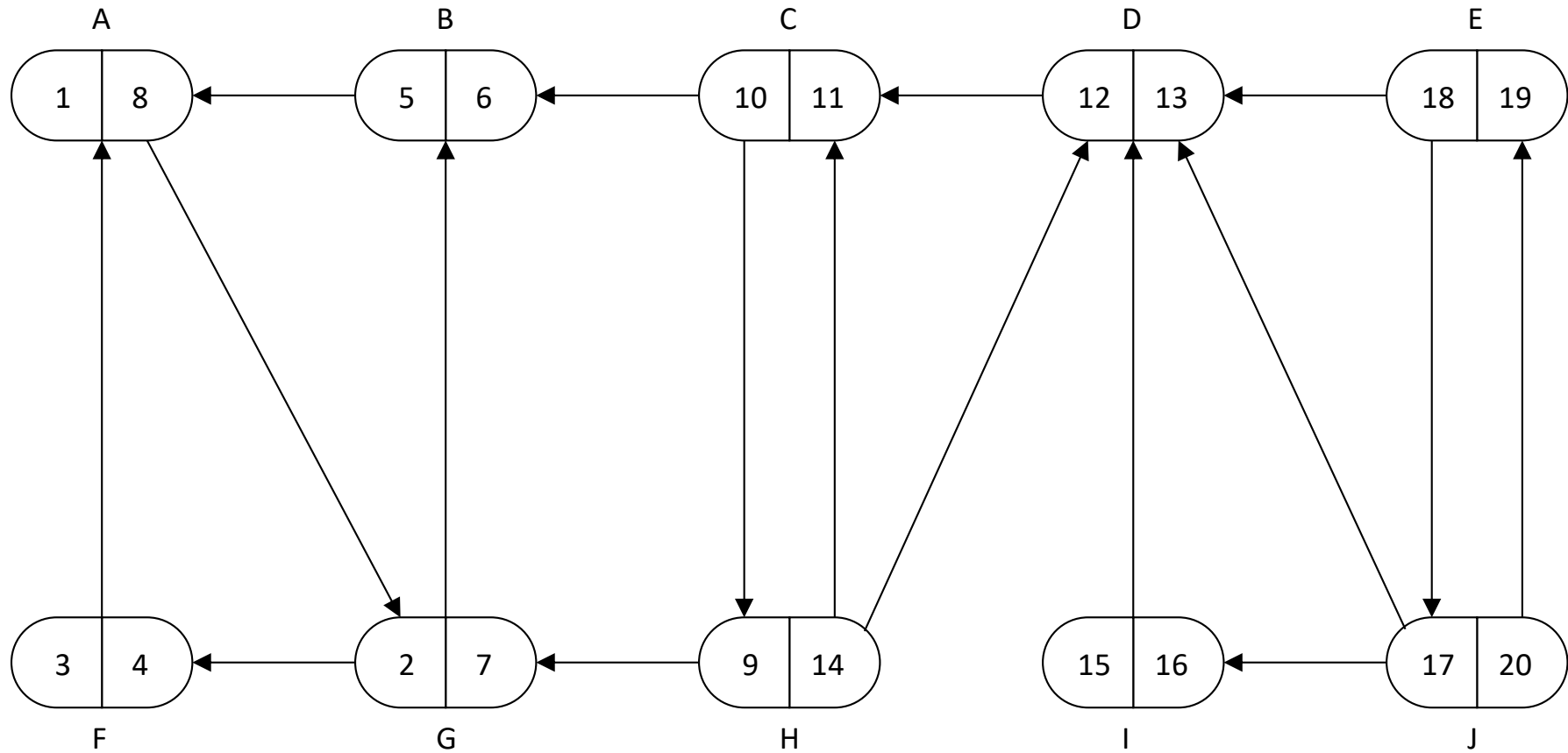
## Compute transpose



Order of vertices for 2<sup>nd</sup> DFS: A,B,F,G,H,C,D,I,J,E

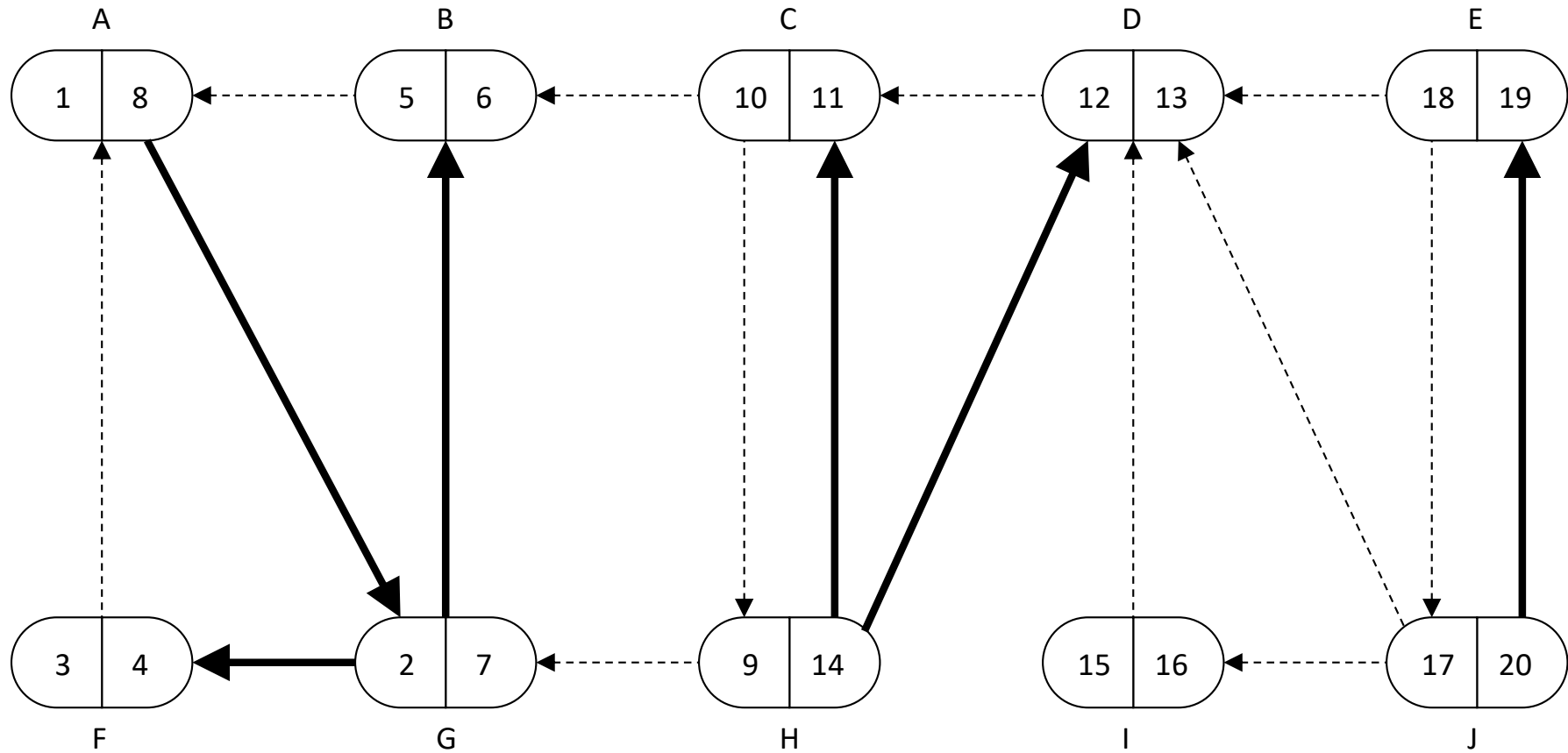


## 2<sup>nd</sup> DFS (on transpose)



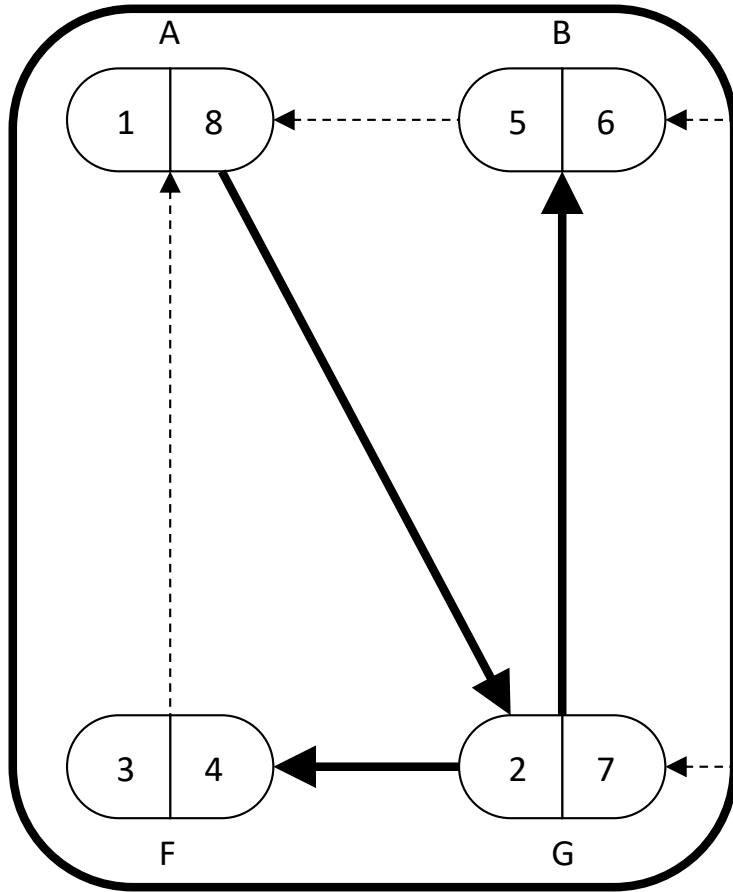
Order of vertices for 2<sup>nd</sup> DFS: A,B,F,G,H,C,D,I,J,E

## Tree edges

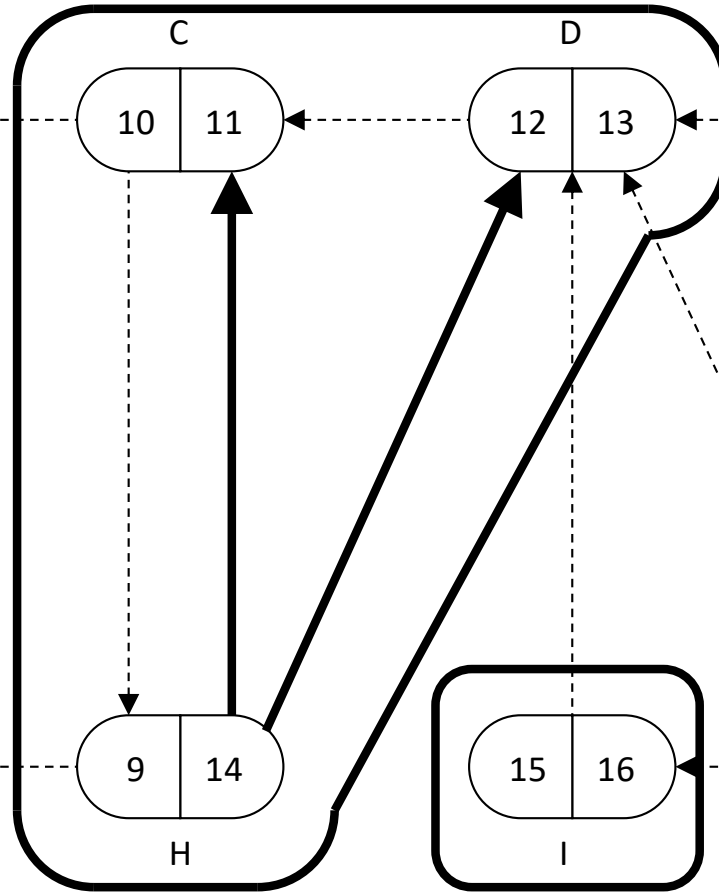


Order of vertices for 2<sup>nd</sup> DFS: A,B,F,G,H,C,D,I,J,E

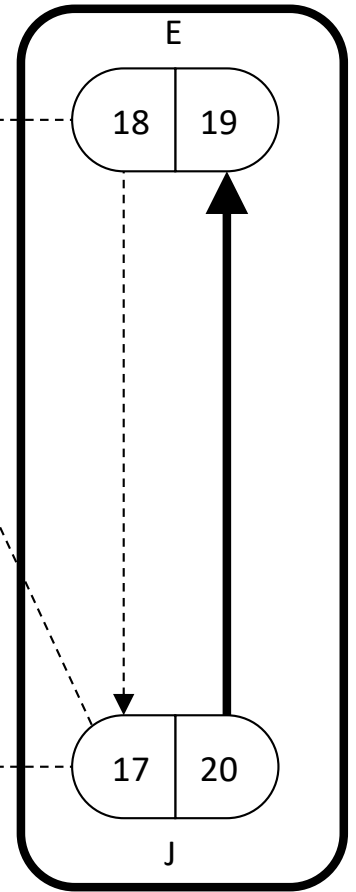
**DFS tree 1 = SCC 1**



**DFS tree 2 = SCC 2**



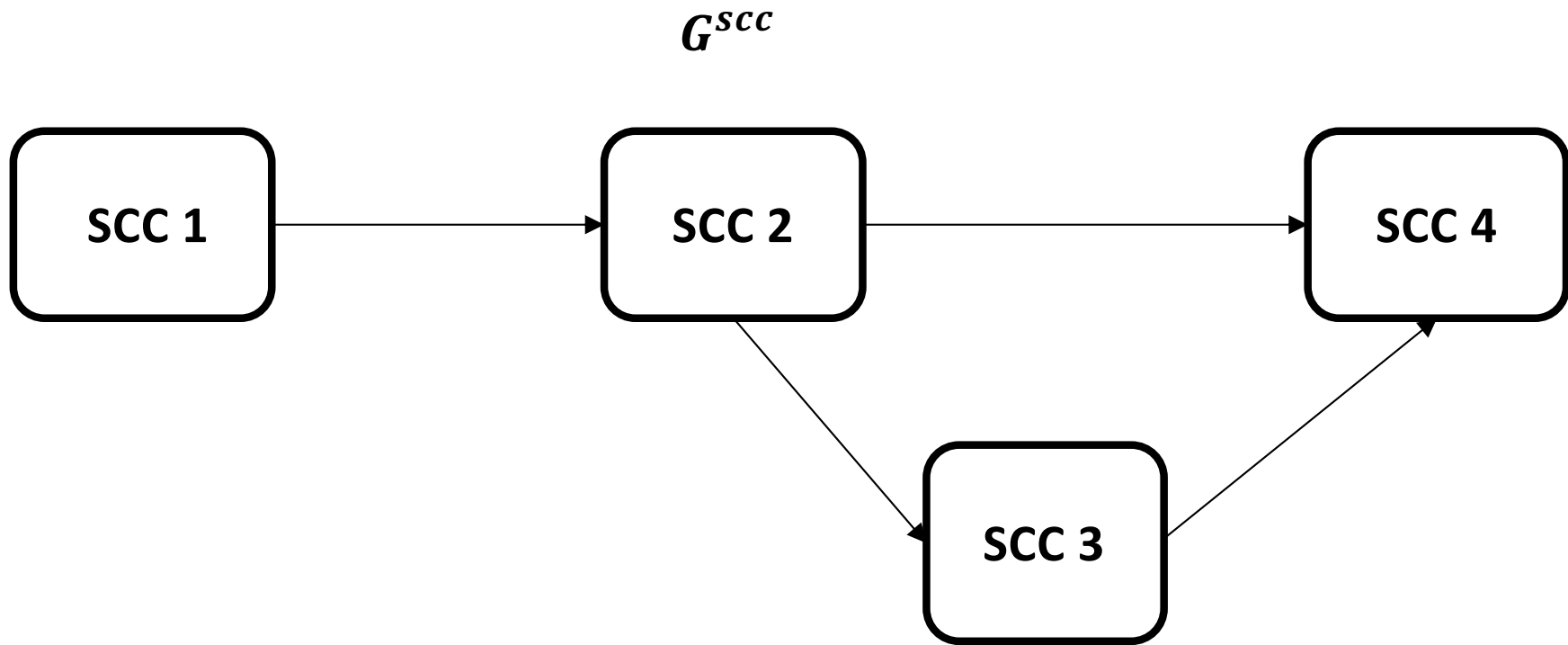
**DFS tree 4 = SCC 4**



**DFS tree 3 = SCC 3**

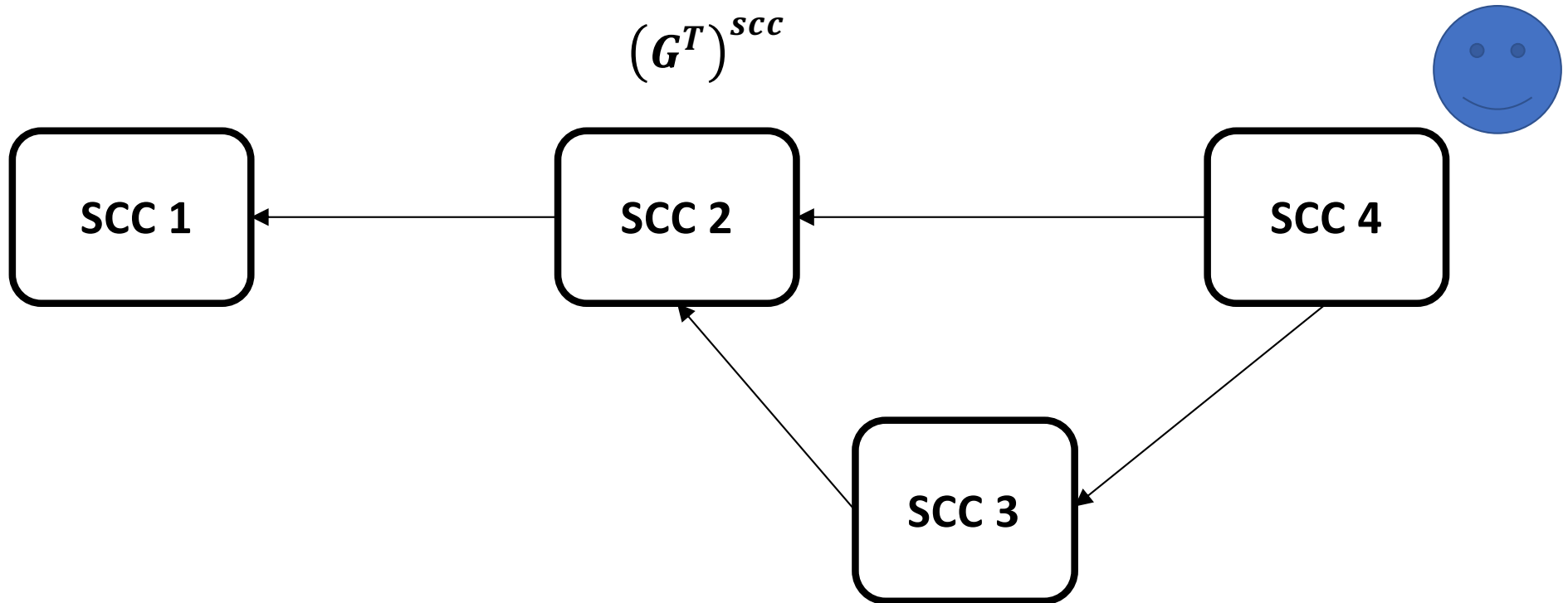
# Why does this work?

- $G$  and  $G^T$  have the same SCCs
- Component graph  $G^{scc}$  is a dag
- Considering vertices in second DFS in decreasing order of finishing times from first DFS, we are visiting vertices of component graph in topological sort order
- But in the second DFS the edges have been reversed!
- Therefore the second DFS explores vertices in a single component first, then it has to start a new DFS tree to process the next component, and so on
- See CLRS for a formal proof



According to the order of vertices in after the first DFS:

- Vertices in SCC 1 appear first in that ordering
- Vertices in SCC 2 appear after that
- Vertices in SCC 3 appear after that
- Vertices in SCC 4 appear after that



Second DFS starts by exploring SCC 1 vertices  
Note that it cannot reach SCC 2 vertices from SCC 1  
Therefore, DFS is forced to start a new tree  
This is repeated for SCC 3 vertices and SCC 4 vertices

# Minimum spanning trees MSTs (CLRS 23)

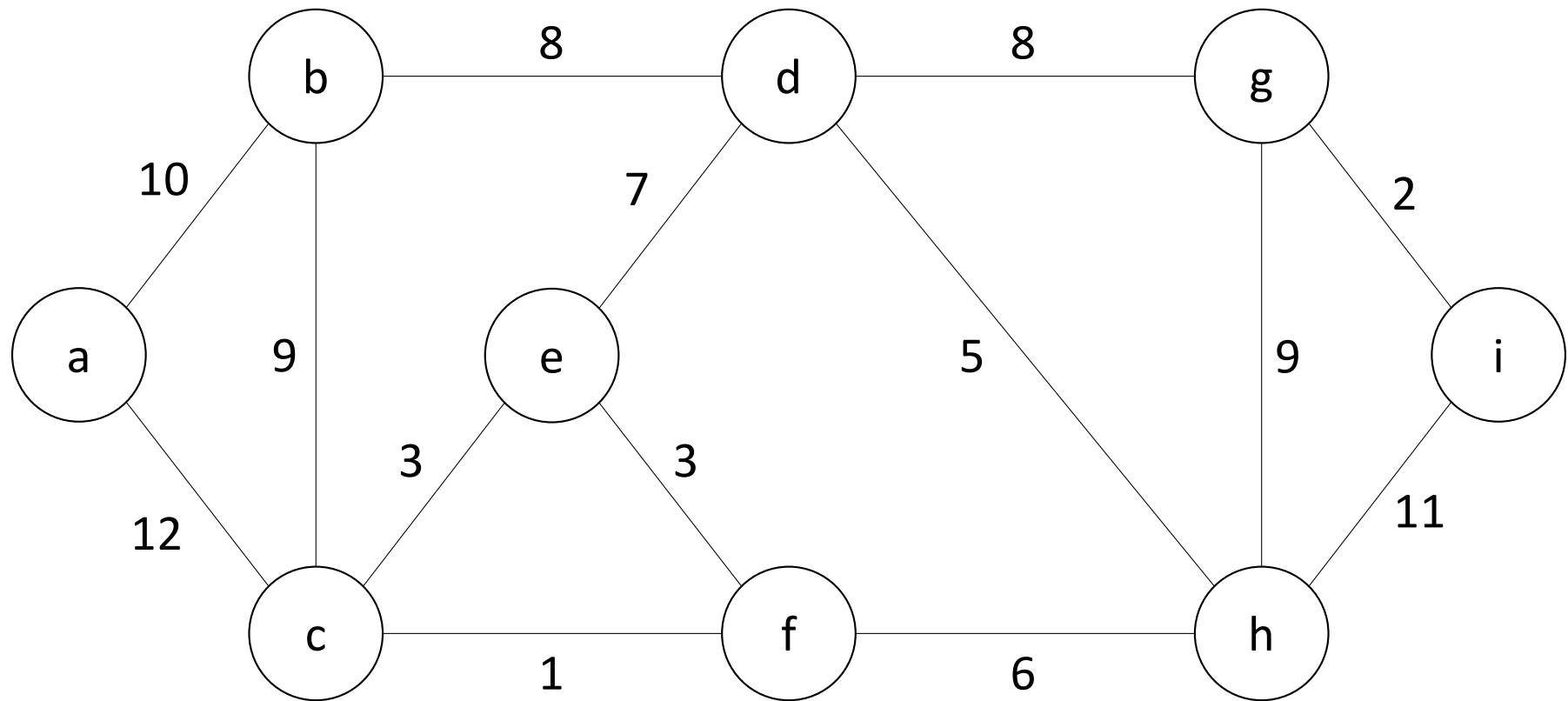
**Input:**  $G = (V, E)$  undirected graph

$w : E \rightarrow \mathbb{R}$  - edge weights

**Output:** Find  $T \subseteq E$  such that

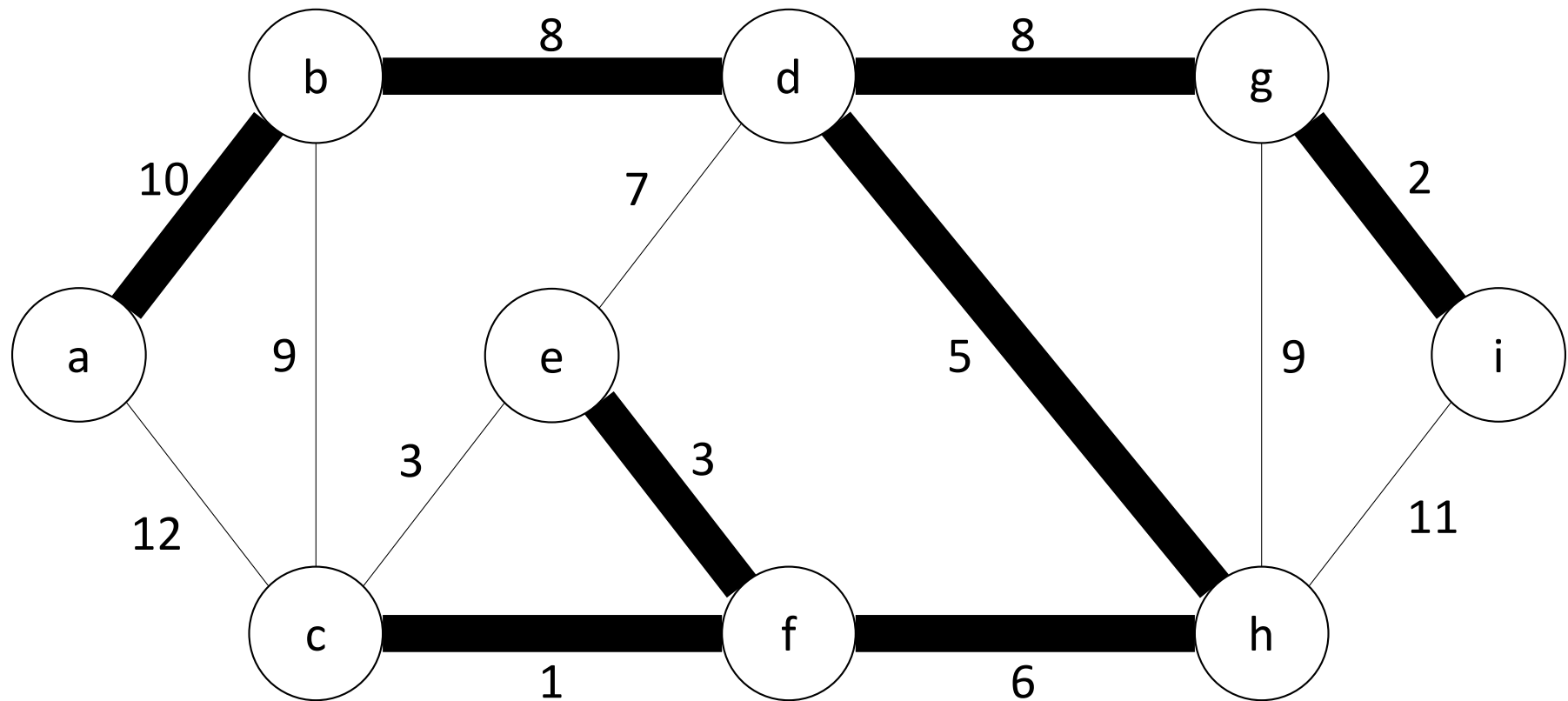
- $T$  connects all vertices ( $T$  is a spanning tree), and
- $w(T) = \sum_{\{u,v\} \in T} w(\{u, v\})$  is minimized

A spanning tree whose weight is minimum over all spanning trees is called a **minimum spanning tree (MST)**

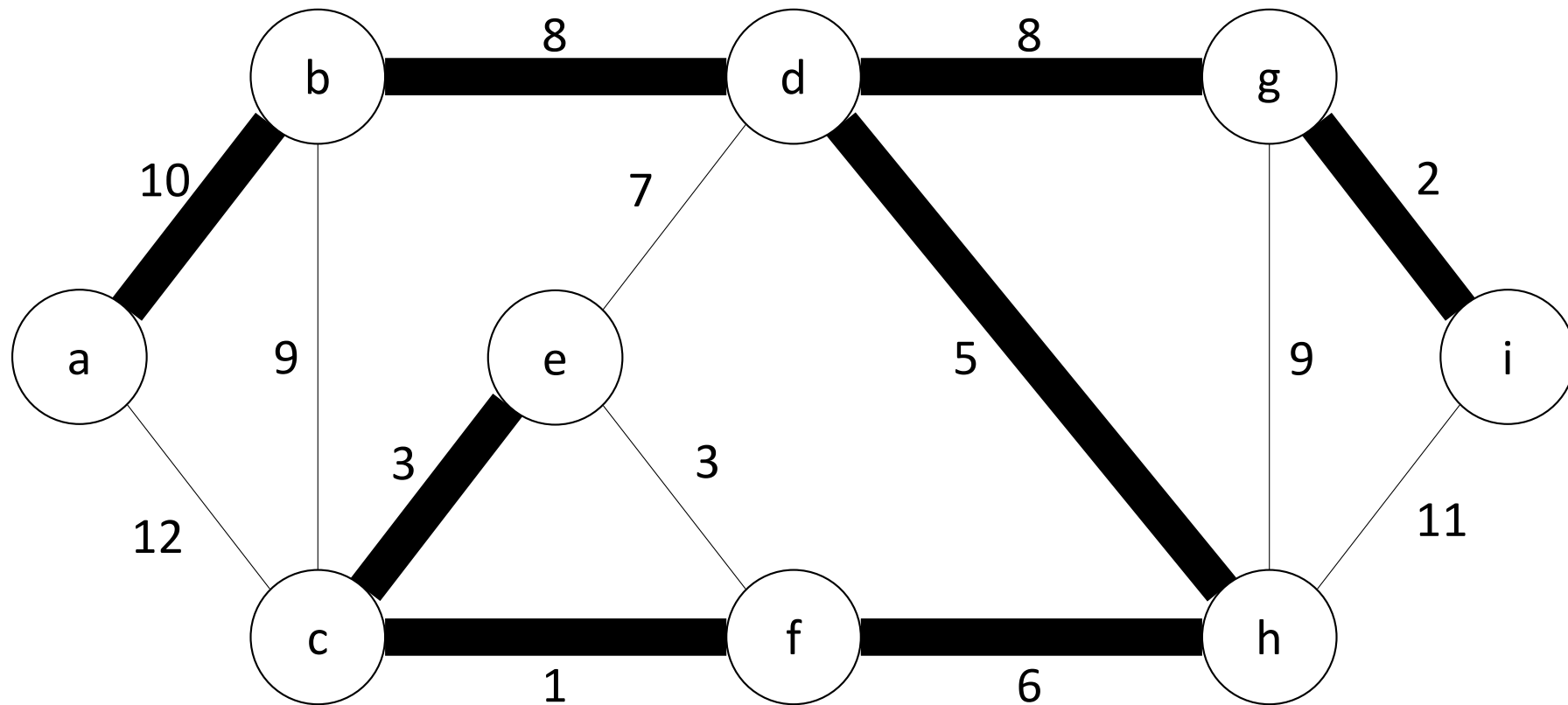




# MST



## Another MST



- MST has  $|V| - 1$  edges
- MST is a tree – connected acyclic graph
- MST might not be unique

Building a solution:

- Build a set  $A$  of edges
- Initially,  $A$  is empty
- Add edges to  $A$  to maintain the **invariant**:

$A$  is a subset of some MST

- Add only **safe** edges to maintain the invariant:

$\{u, v\}$  is safe if  $A \cup \{\{u, v\}\}$  is a subset of some MST

# Generic MST algorithm

*Generic – MST*( $G = (V, E), w$ )

$A \leftarrow \emptyset$

**while**  $A$  is not a spanning tree

    find an edge  $\{u, v\}$  that is safe for  $A$

$A \leftarrow A \cup \{\{u, v\}\}$

**return**  $A$

## How to find safe edges?

Let  $S \subseteq V$  and  $A \subseteq E$

A **cut**  $(S, V - S)$  is a partition of vertices into disjoint sets  $S$  and  $V - S$

Edge  $\{u, v\} \in E$  **crosses** cut  $(S, V - S)$  if one endpoint is in  $S$  and another endpoint is in  $V - S$

A cut **respects**  $A$  if no edge in  $A$  crosses the cut

An edge is a **light edge** crossing a cut if and only if its weight is minimum over all edges crossing the cut

# Main theorem

Suppose

$A$  is a subset of some MST

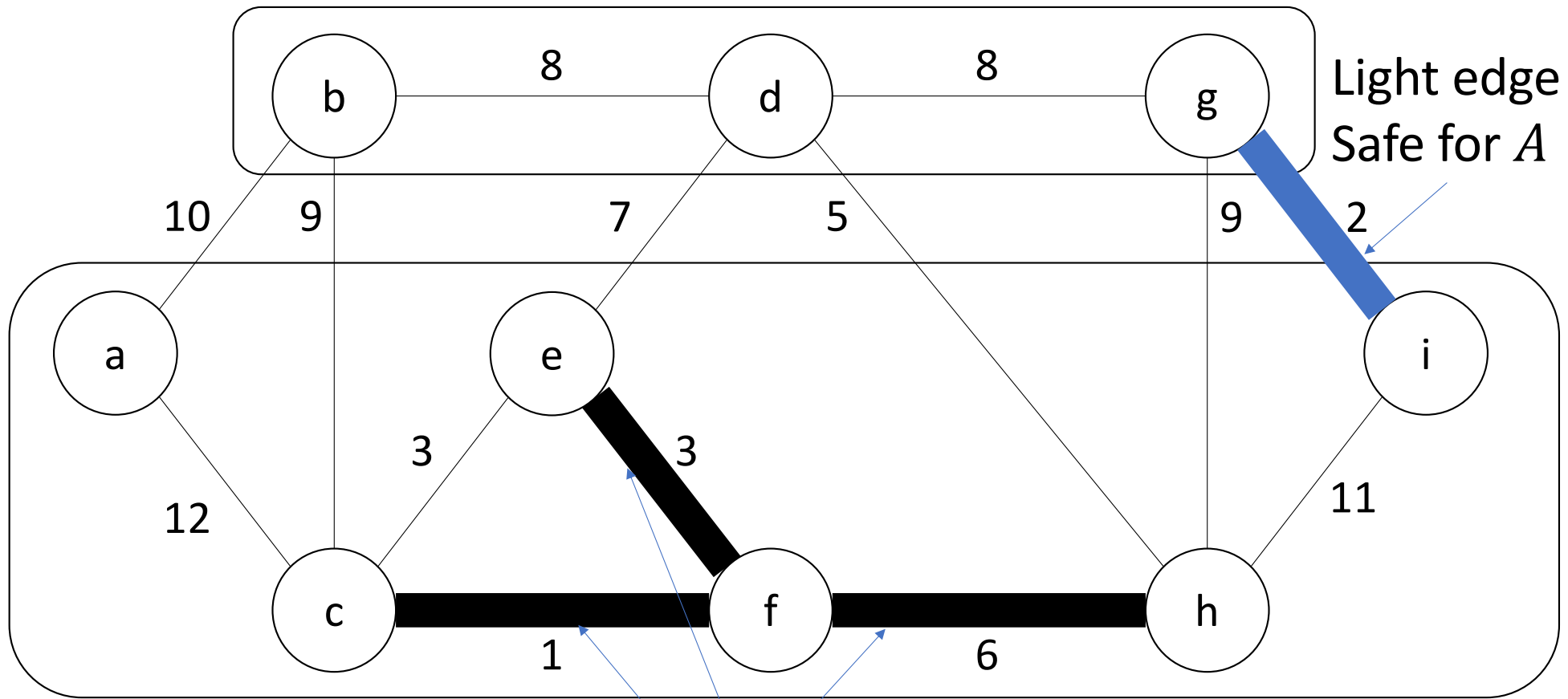
$(S, V - S)$  is a cut that respects  $A$

$\{u, v\}$  is a light edge crossing  $(S, V - S)$

Then

$\{u, v\}$  is safe for  $A$

$V - S$



Light edge  
Safe for  $A$

Cut  $(S, V - S)$  respects  $A$

## In *Generic* – *MST*

- $A$  is a forest containing connected components. Initially each component is a single vertex.
- Any safe edge merges two of these components into one. Each component is a tree.
- Since an MST has exactly  $|V| - 1$  edges, the ***for*** loop iterates  $|V| - 1$  times.



# Kruskal's algorithm

- Start with each vertex being in its own component
- Repeatedly merge two components by choosing a light edge between them
- Scan the set of edges in monotonically non-decreasing order by weight
- Use disjoint-set data structure to determine whether an edge connects vertices in different components (see CLRS 21)

*Kruskal*( $G = (V, E), w$ )

$A \leftarrow \emptyset$

**for**  $v \in V$

$MakeSet(v)$

sort  $E$  in non-decreasing order by weight

**for**  $\{u, v\}$  taken from the sorted list

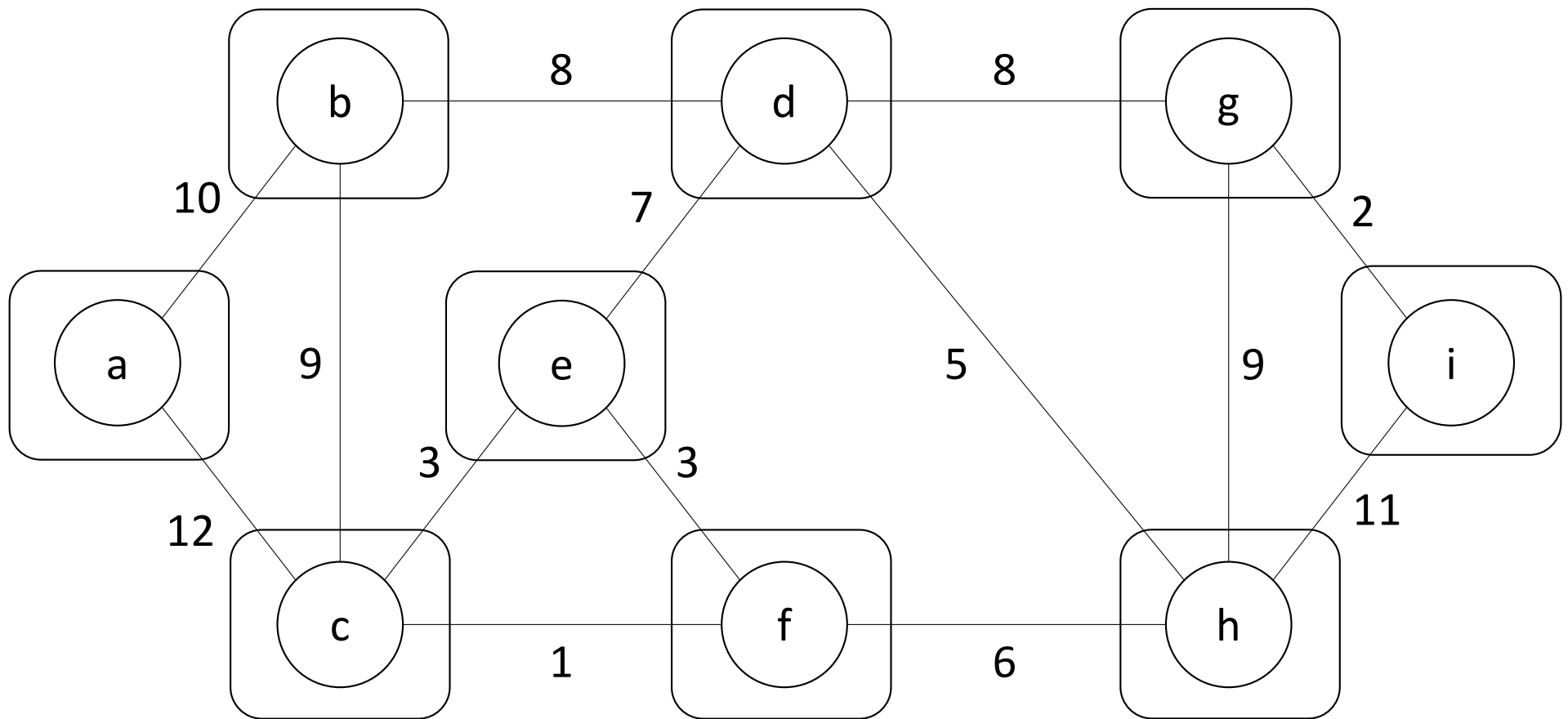
**if**  $FindSet(u) \neq FindSet(v)$

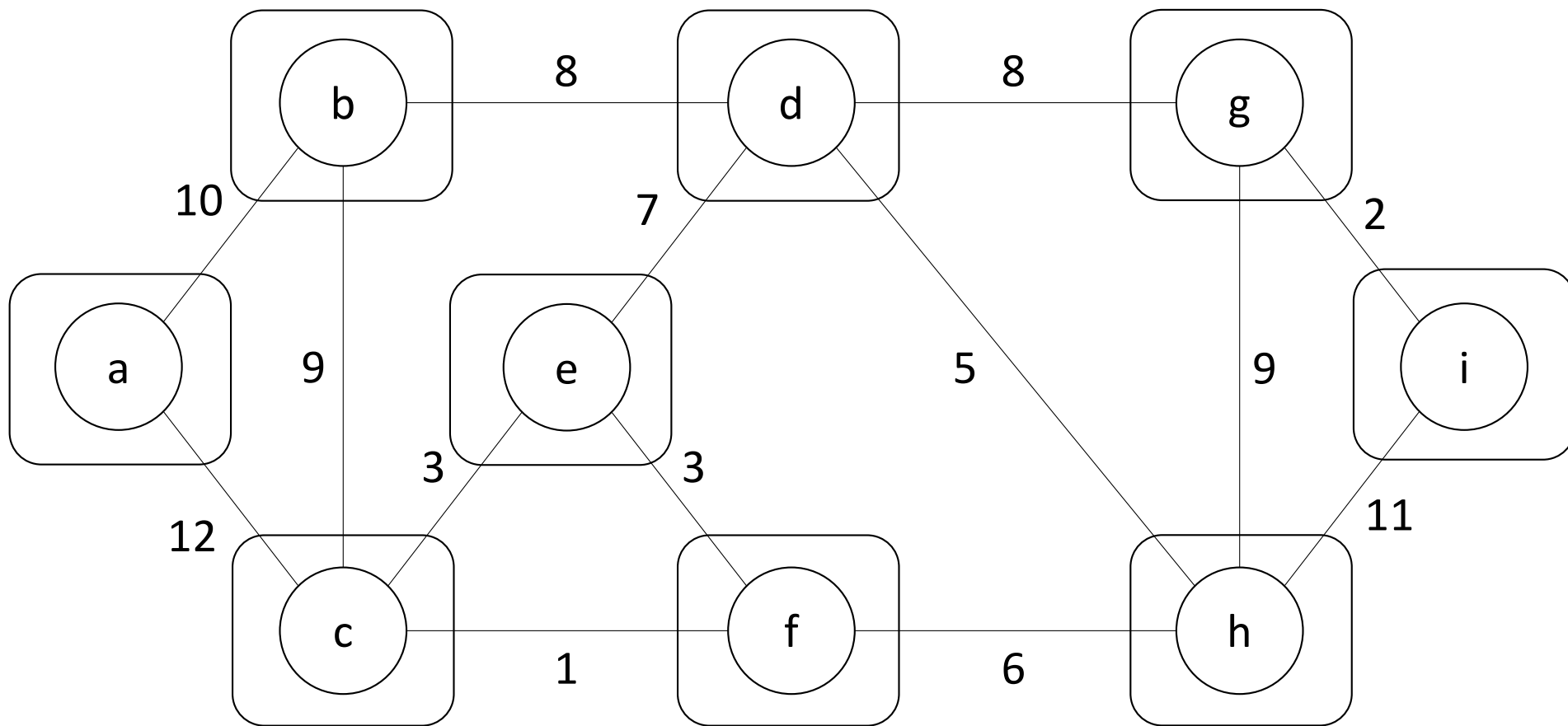
$A \leftarrow A \cup \{\{u, v\}\}$

$Union(u, v)$

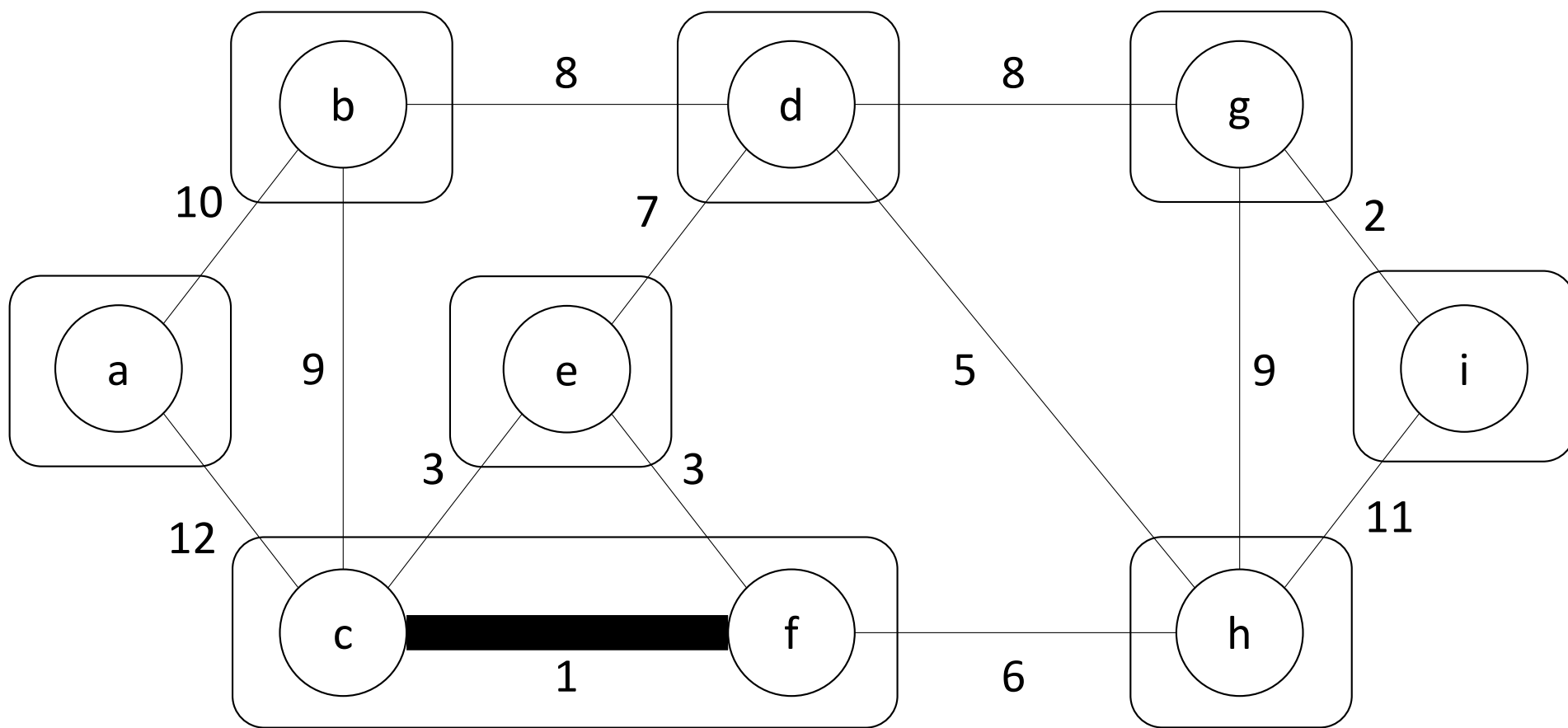
**return**  $A$

*MakeSet*( $v$ ) for each  $v \in V$



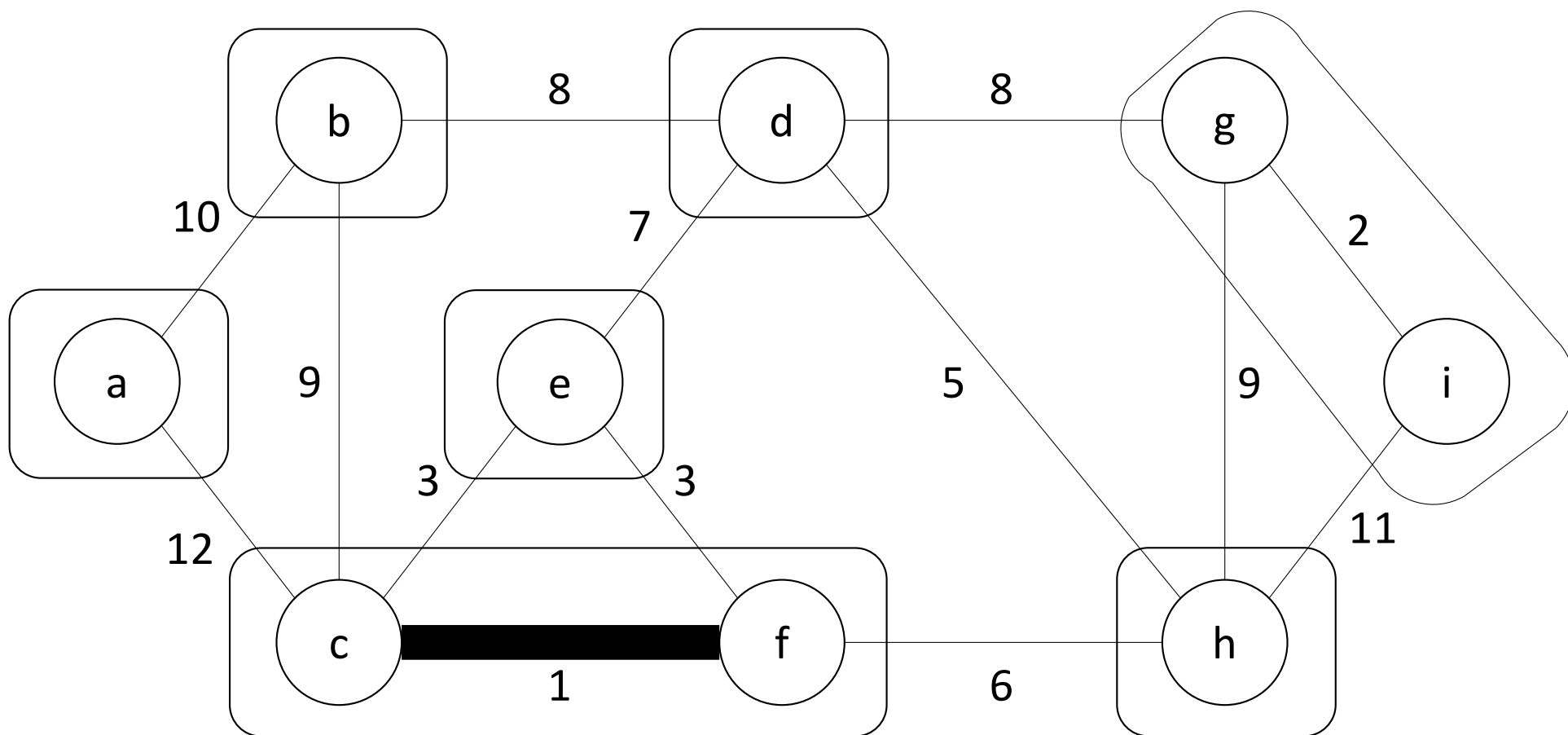


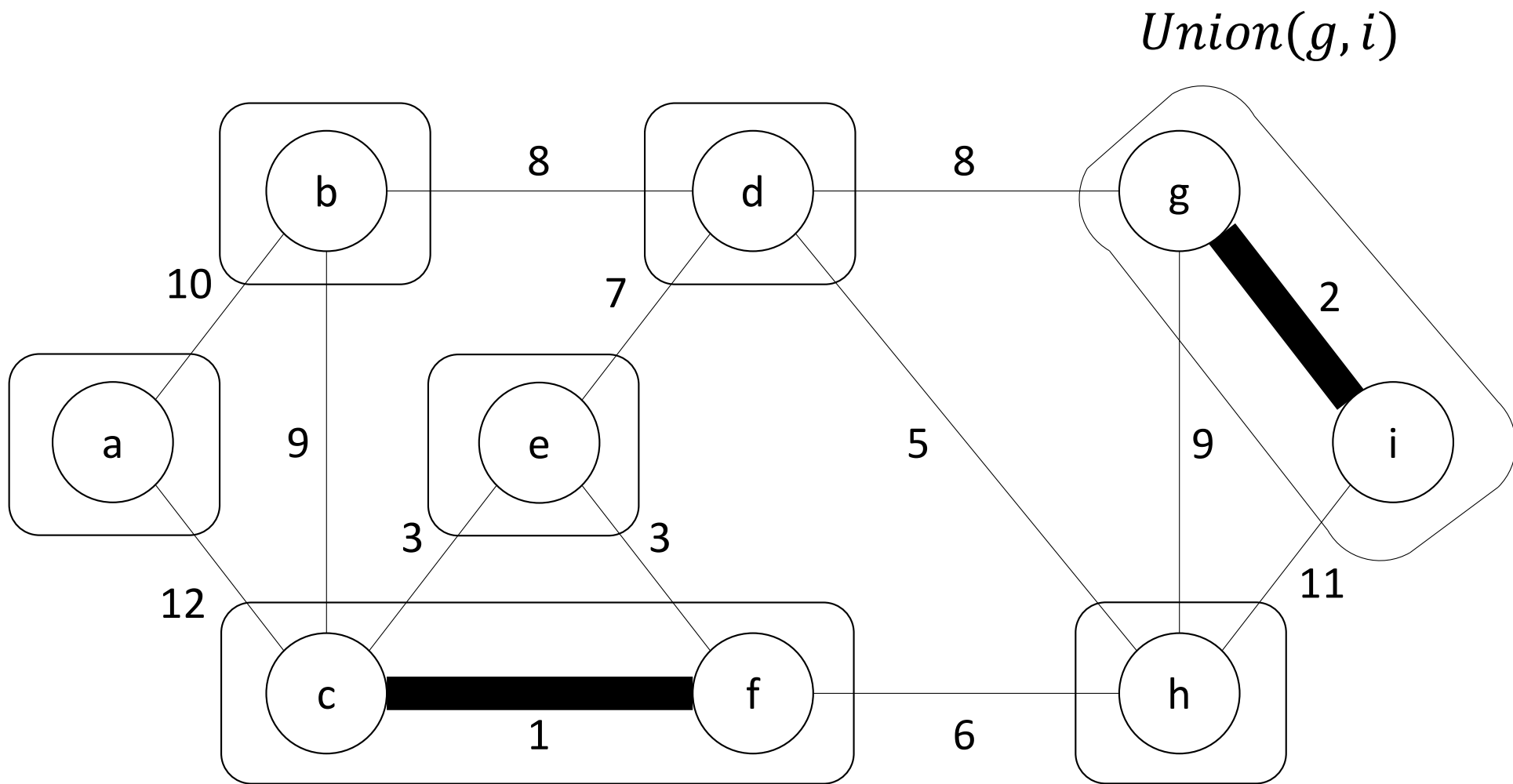
$FindSet(c) \neq FindSet(f)$

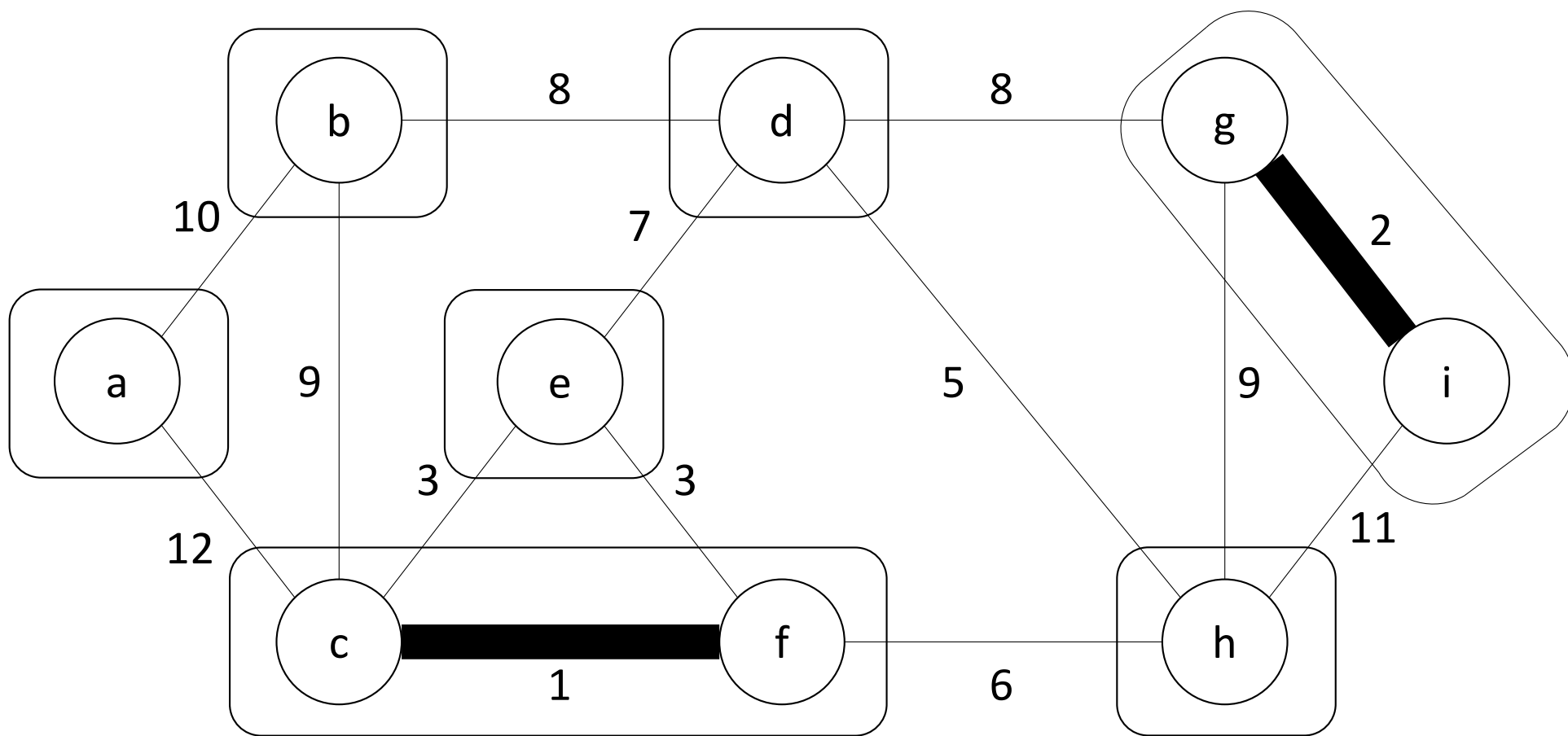


*Union(c, f)*

*FindSet(g) ≠ FindSet(i)*

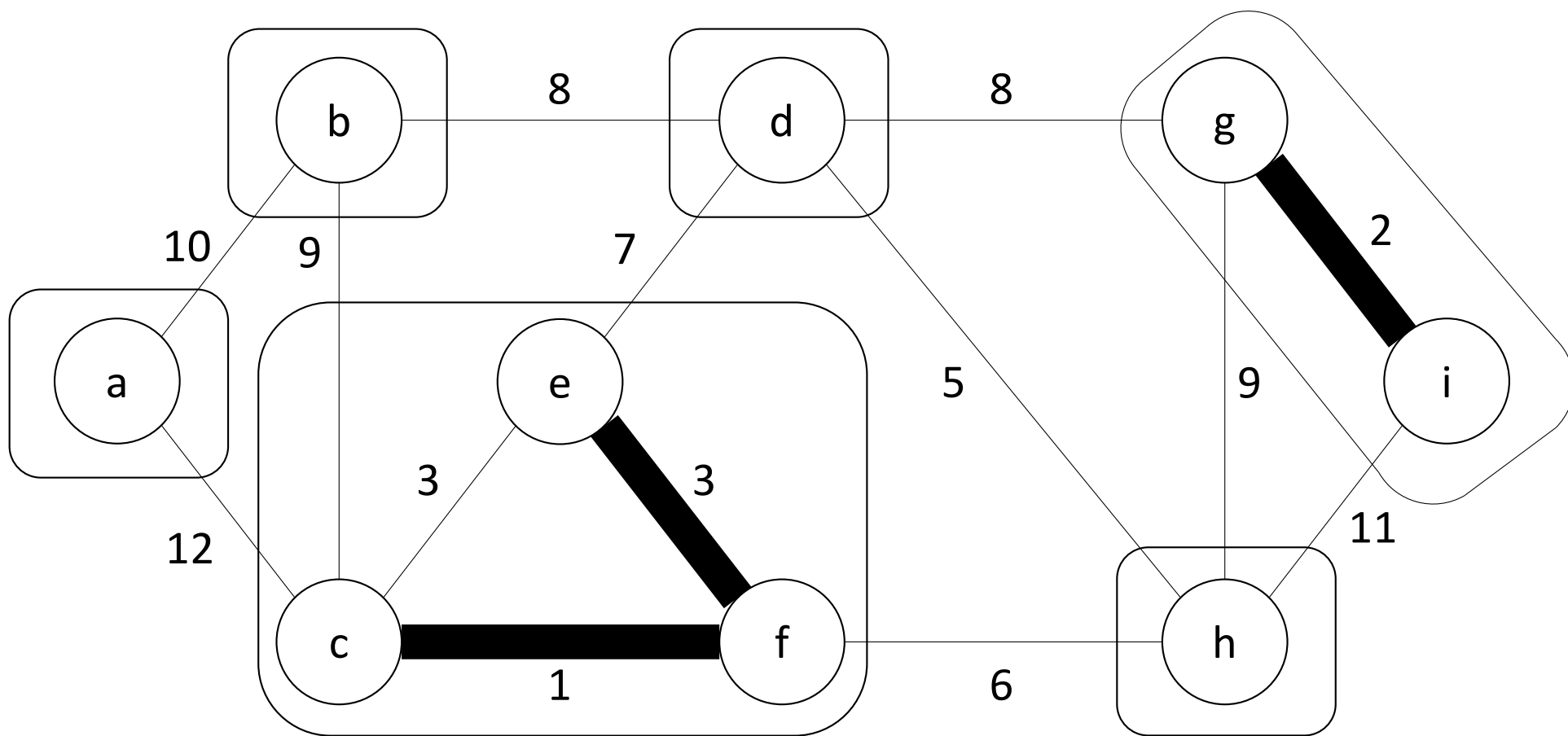




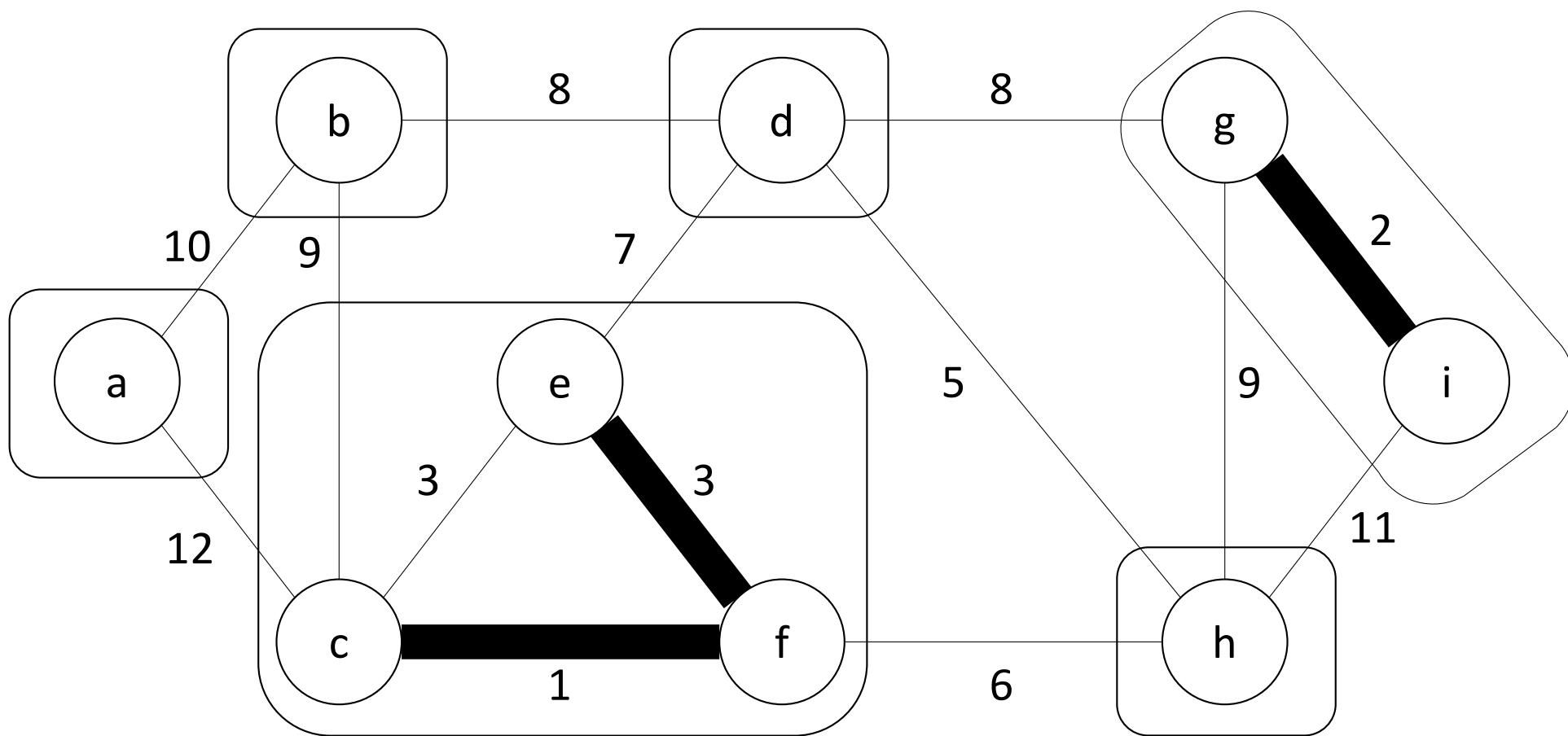


*FindSet(e) ≠ FindSet(f)*

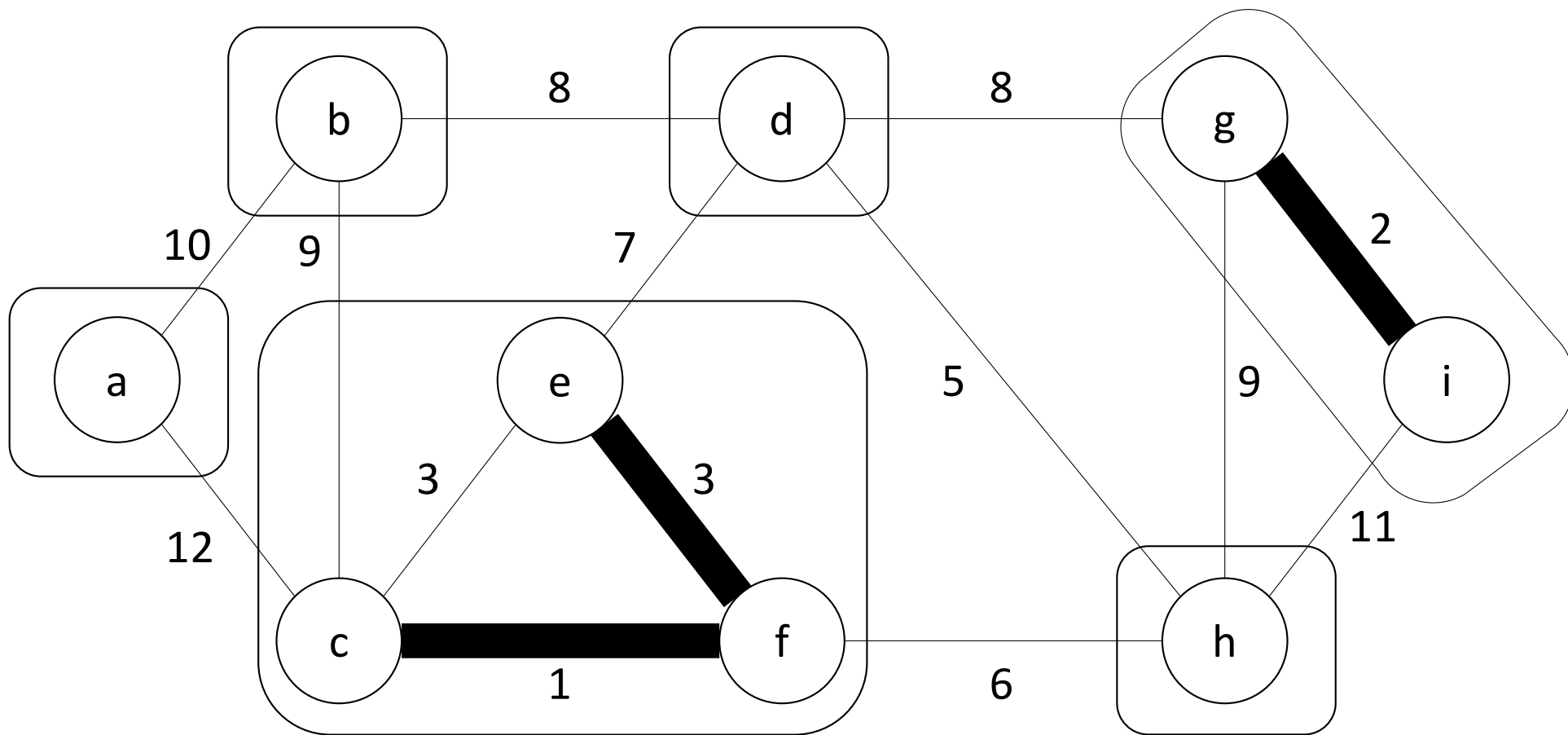




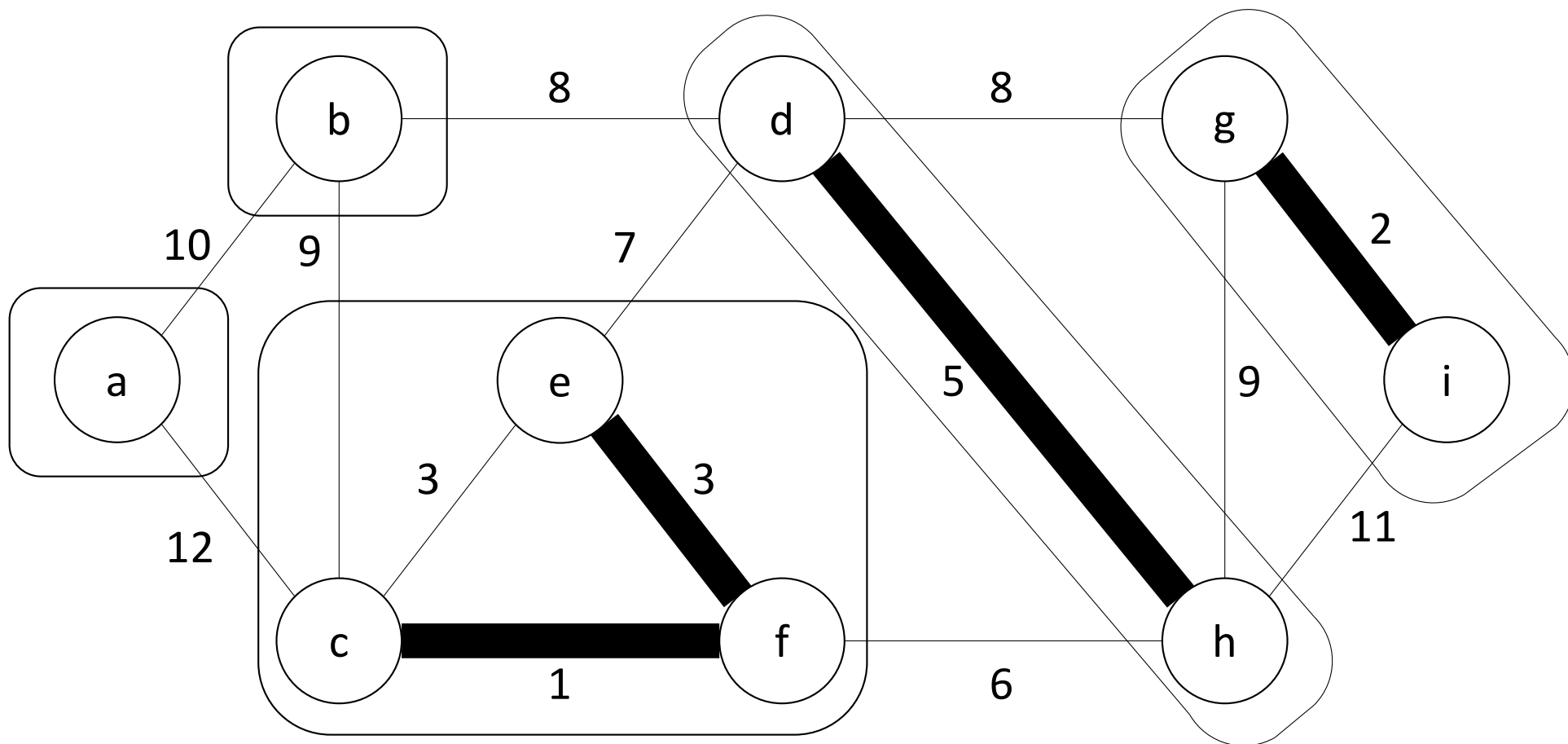
*Union(f, e)*



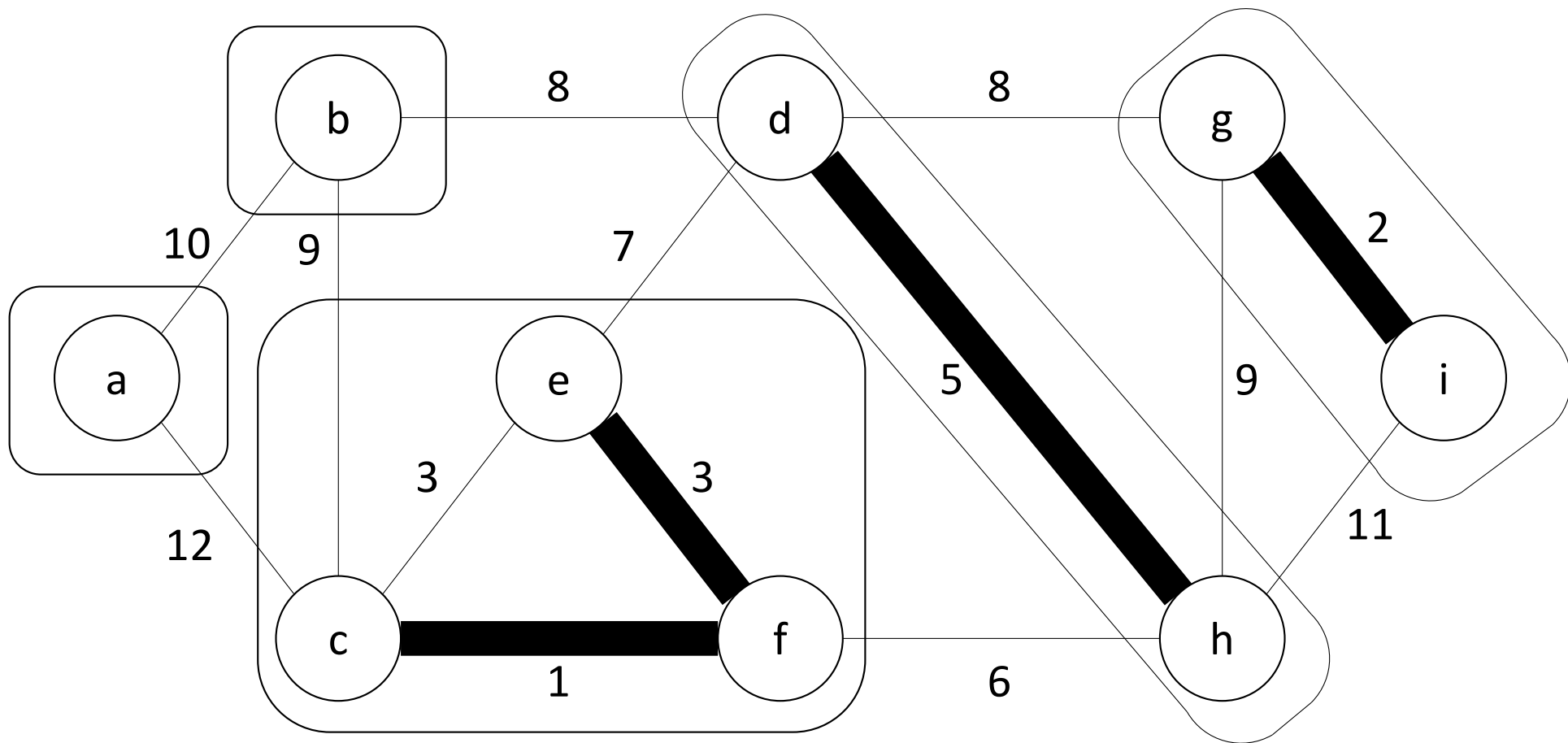
$\textit{FindSet}(e) = \textit{FindSet}(c)$



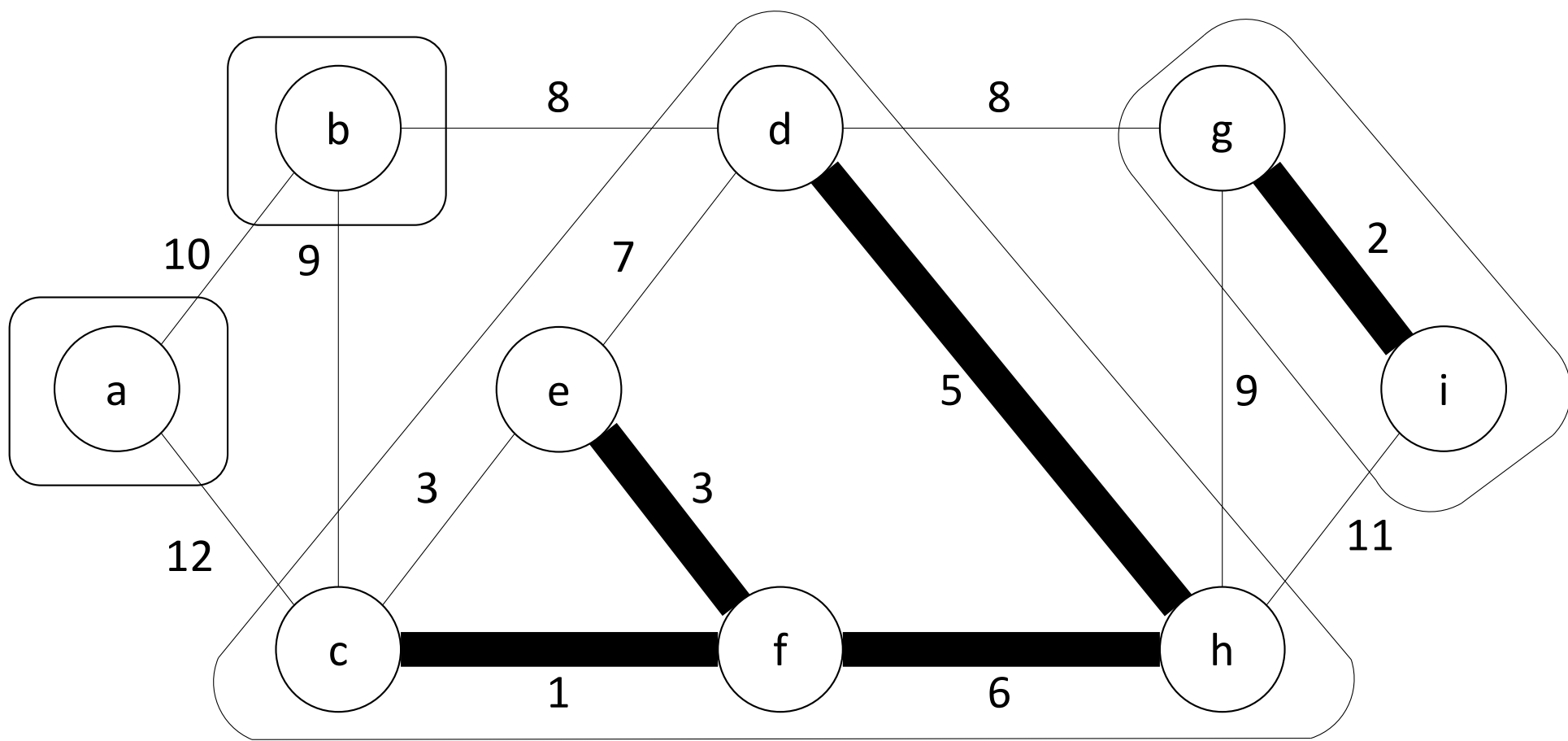
$\text{FindSet}(d) \neq \text{FindSet}(h)$



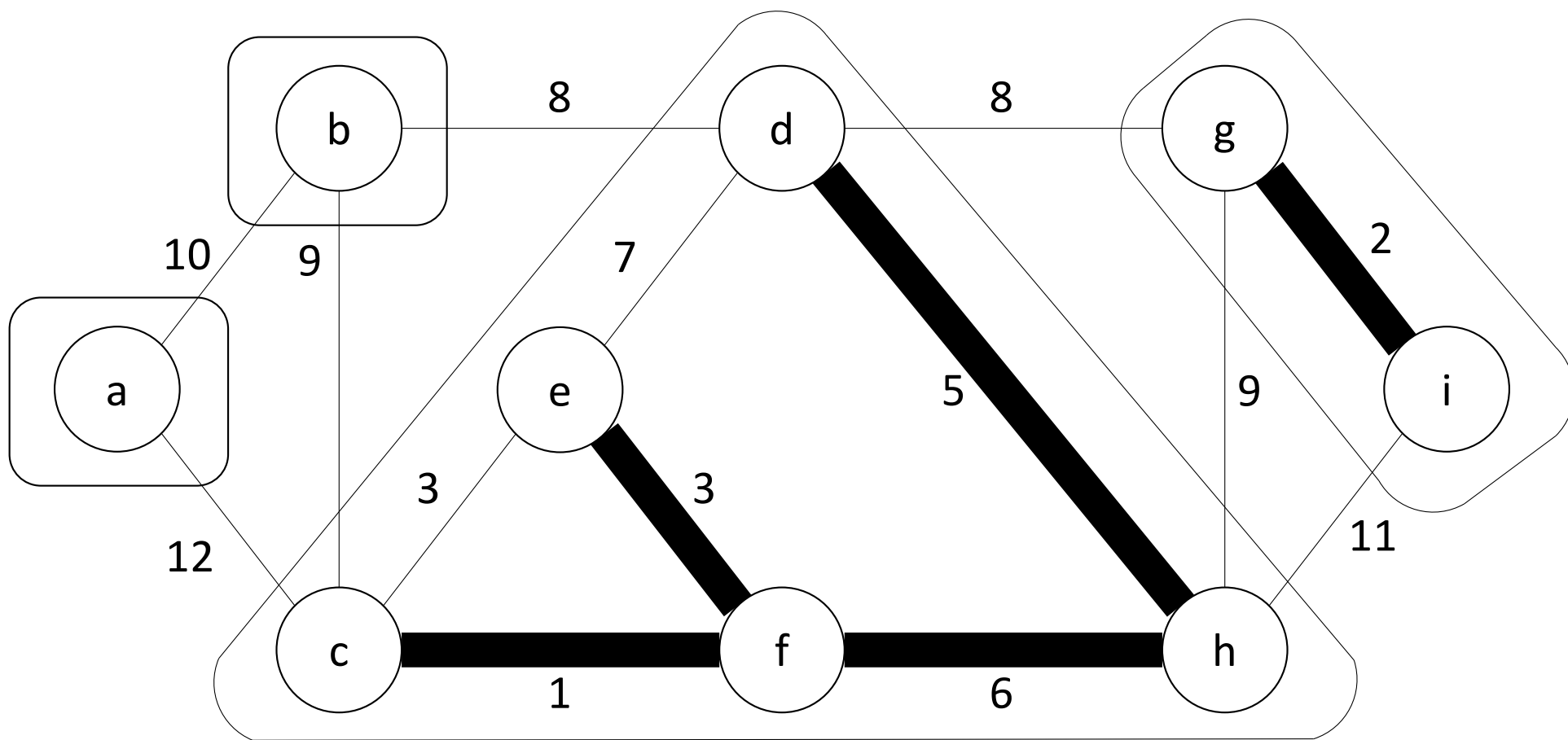
*Union(d, h)*



*FindSet(f) ≠ FindSet(h)*

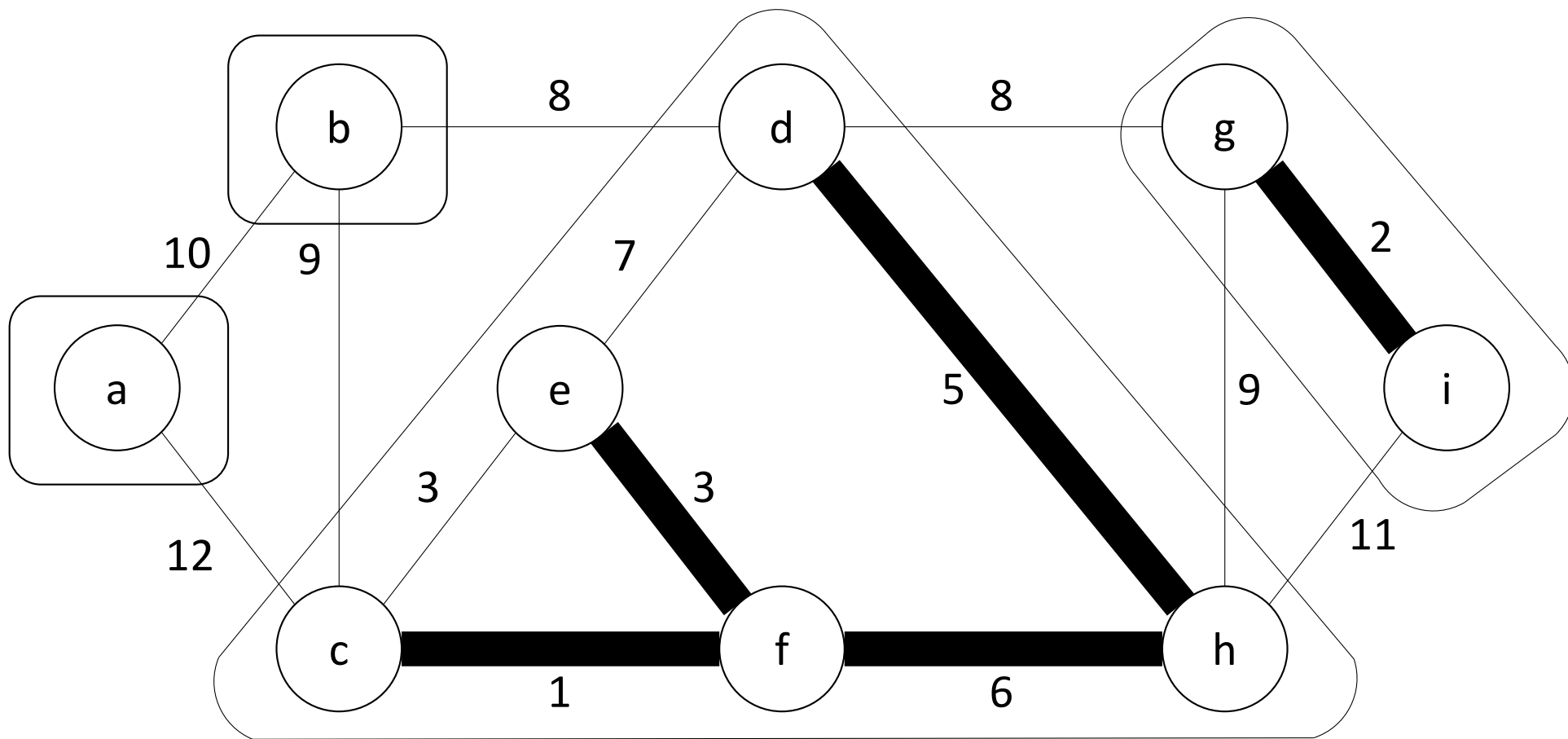


*Union(f, h)*



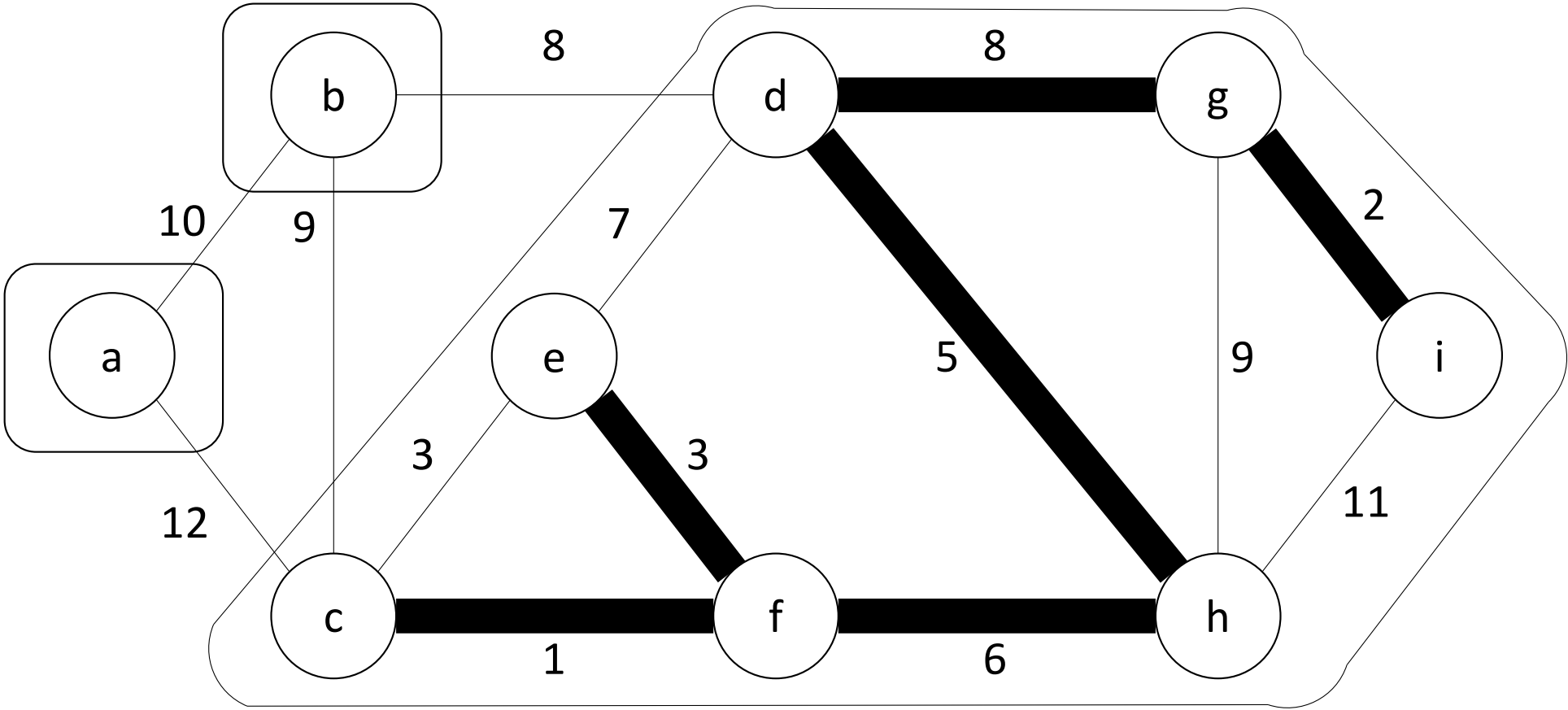
$$\textit{FindSet}(e) = \textit{FindSet}(d)$$

*FindSet(d) ≠ FindSet(g)*

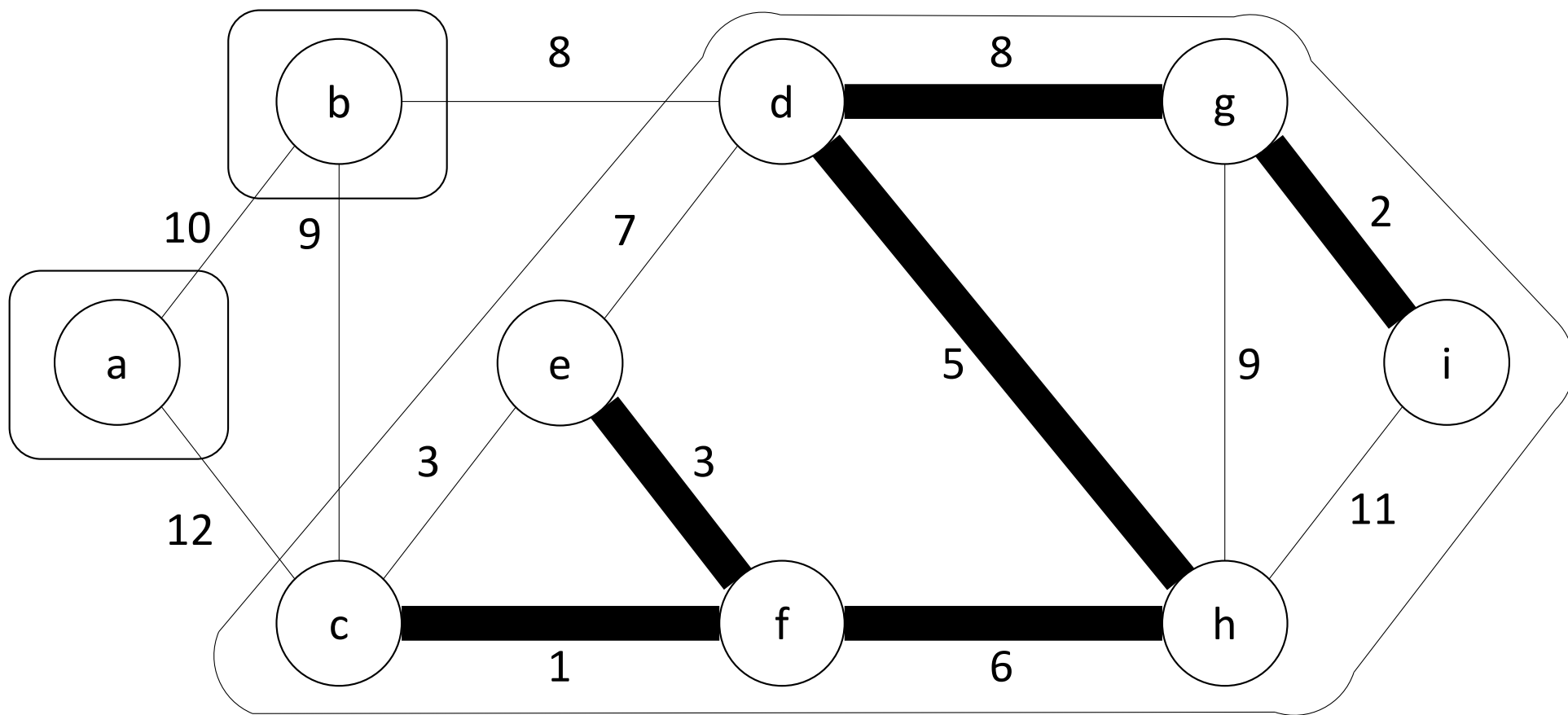




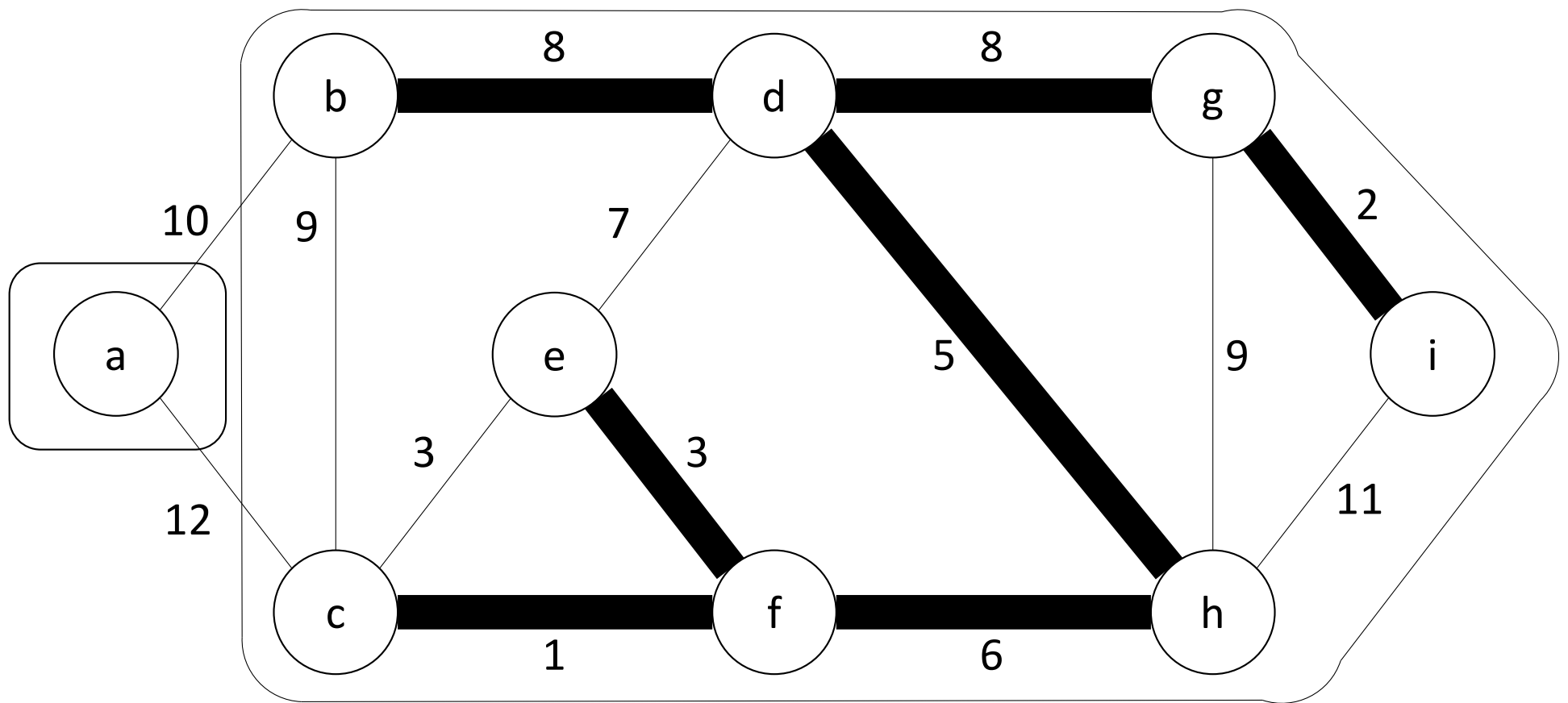
*Union(d, g)*



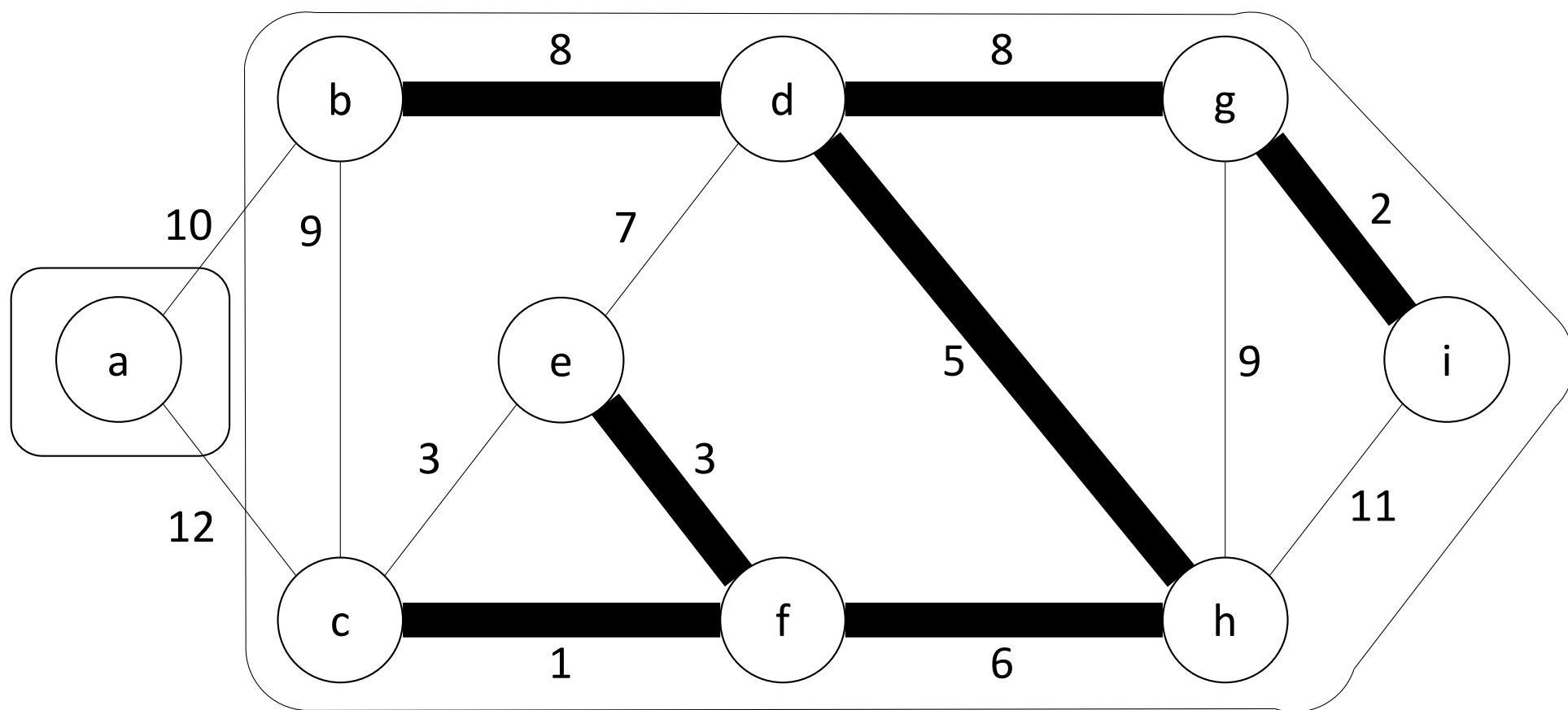
*FindSet(b) ≠ FindSet(d)*



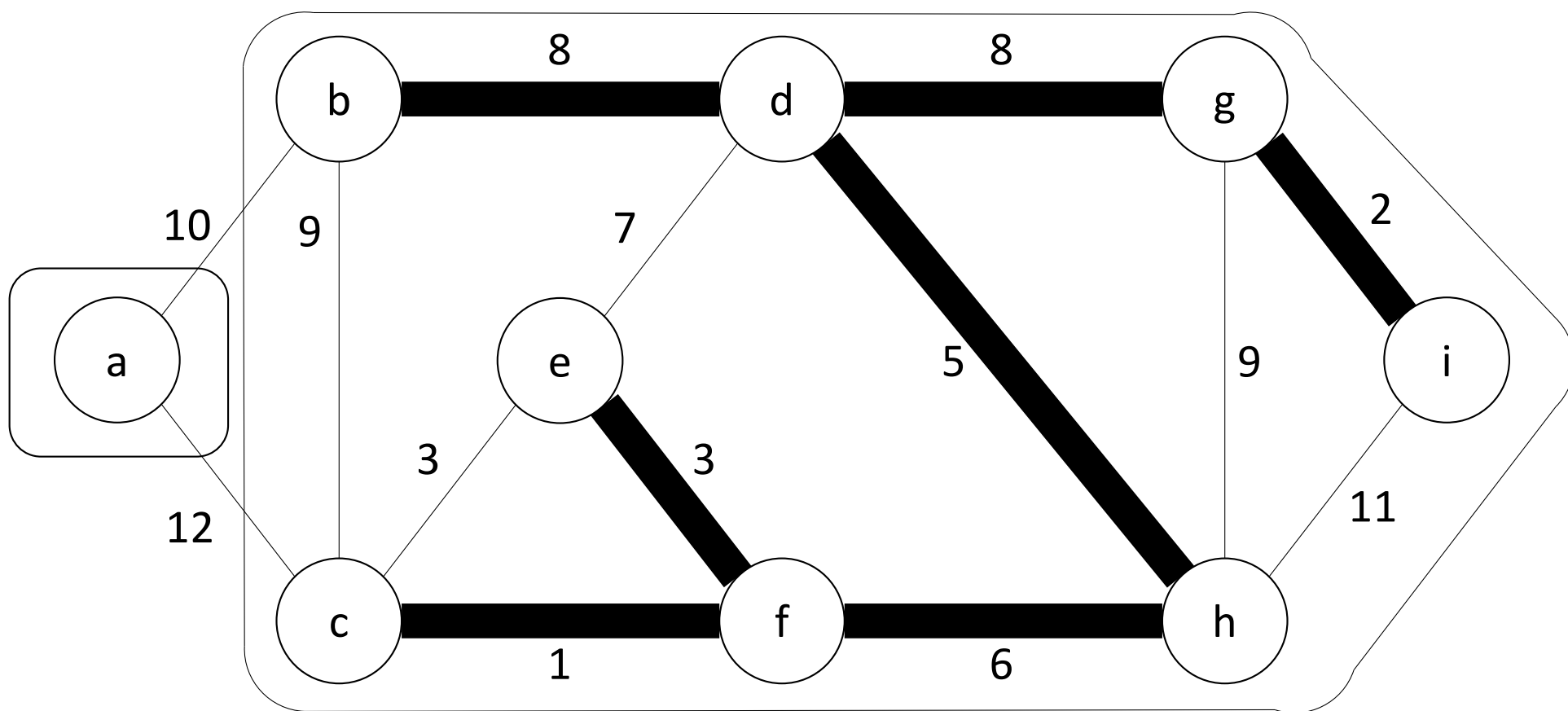
*Union(b, d)*



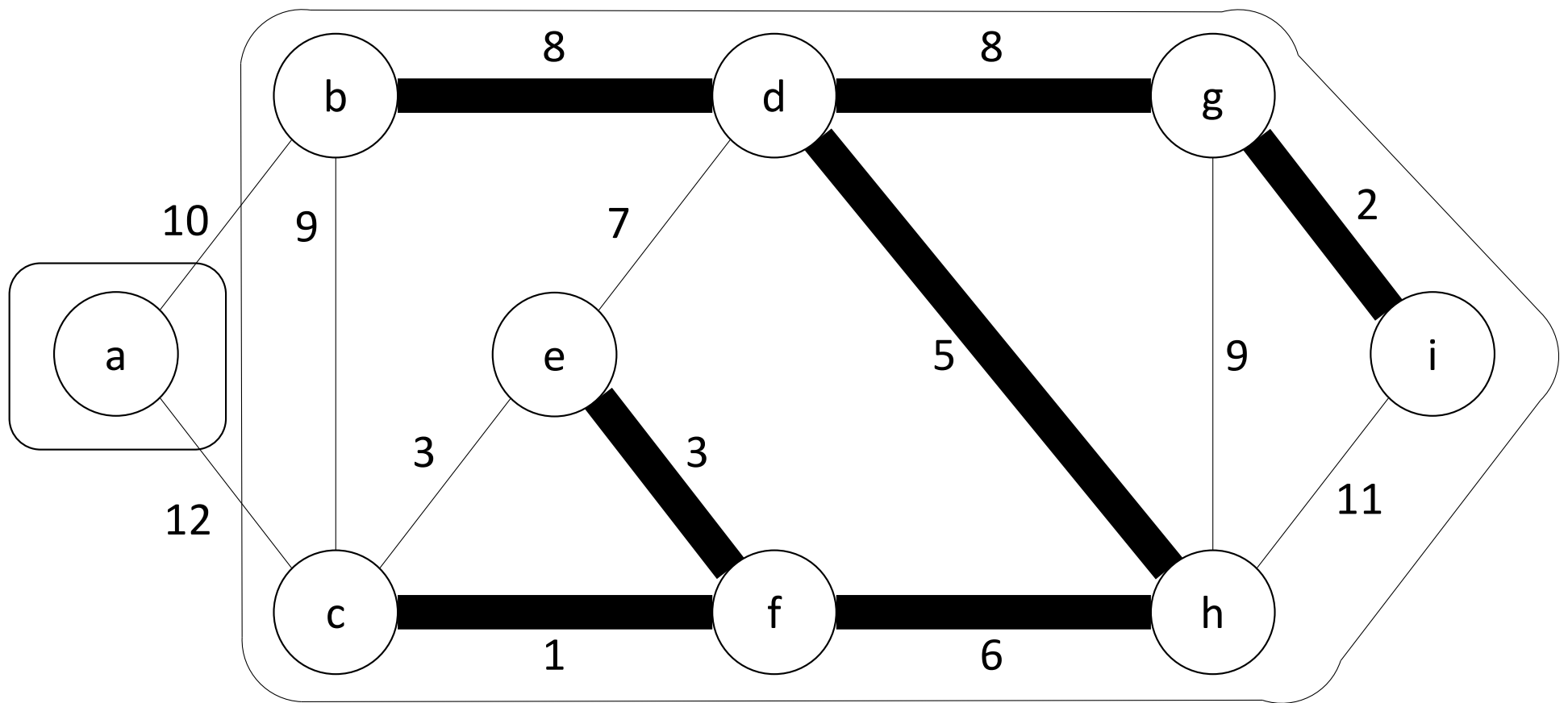
$\text{FindSet}(b) = \text{FindSet}(c)$



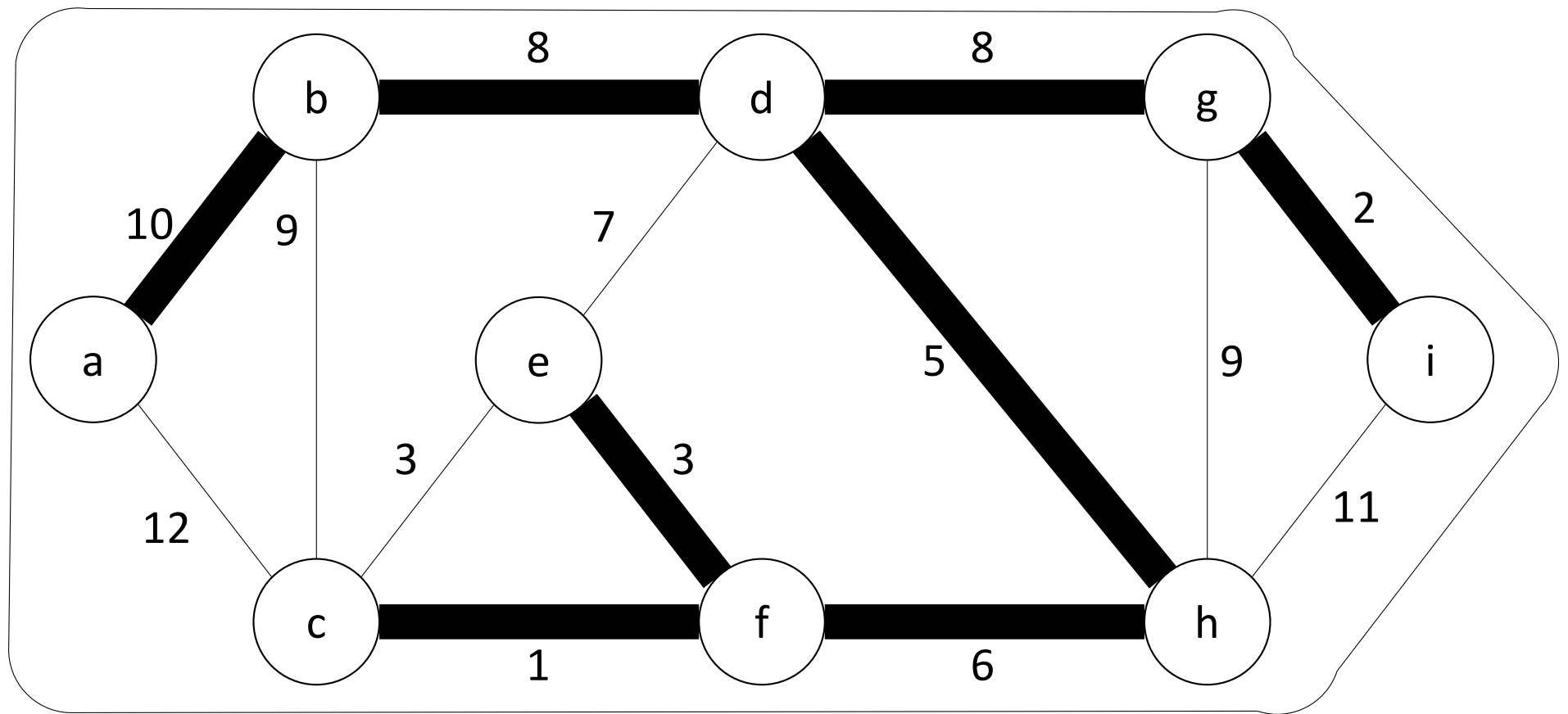
$FindSet(g) = FindSet(h)$



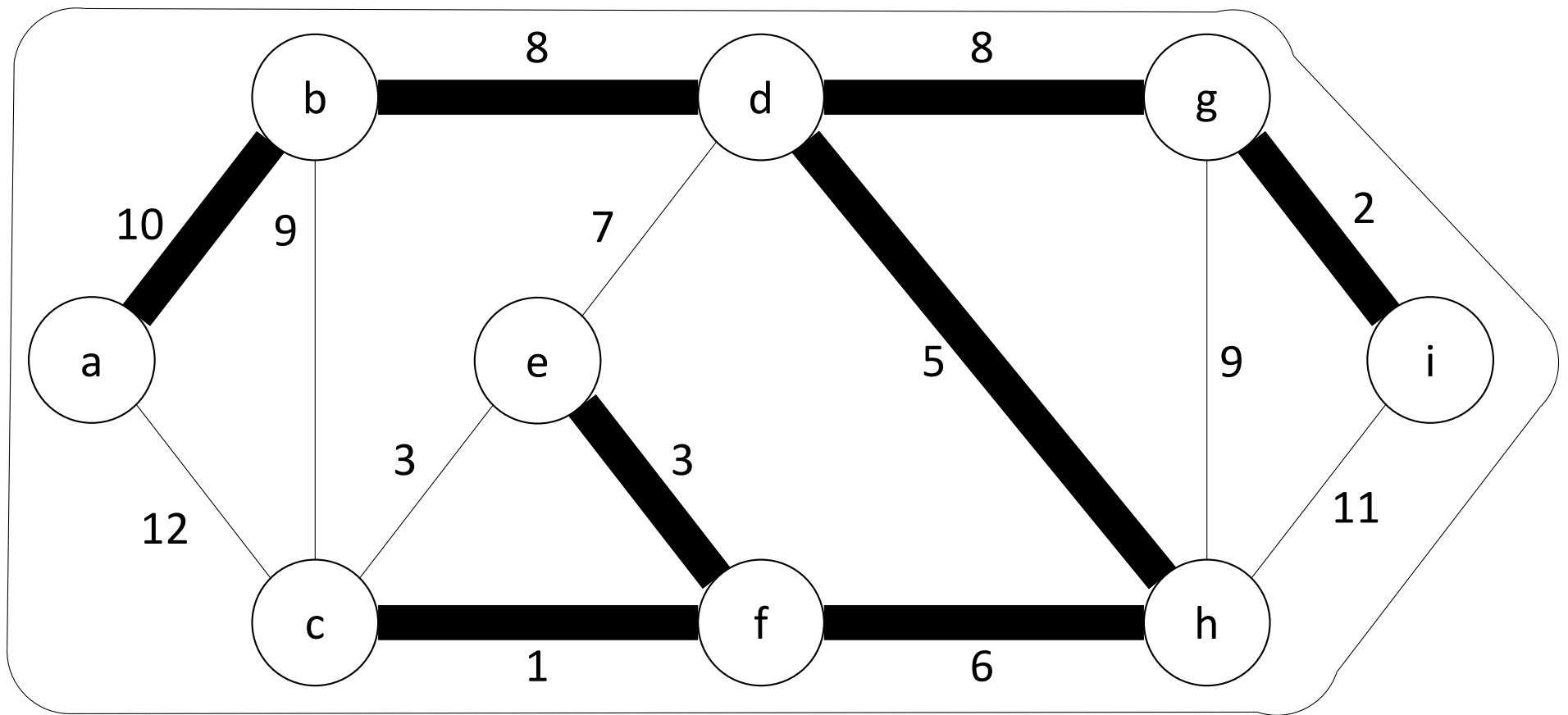
*FindSet(a)  $\neq$  FindSet(b)*



*Union(a, b)*

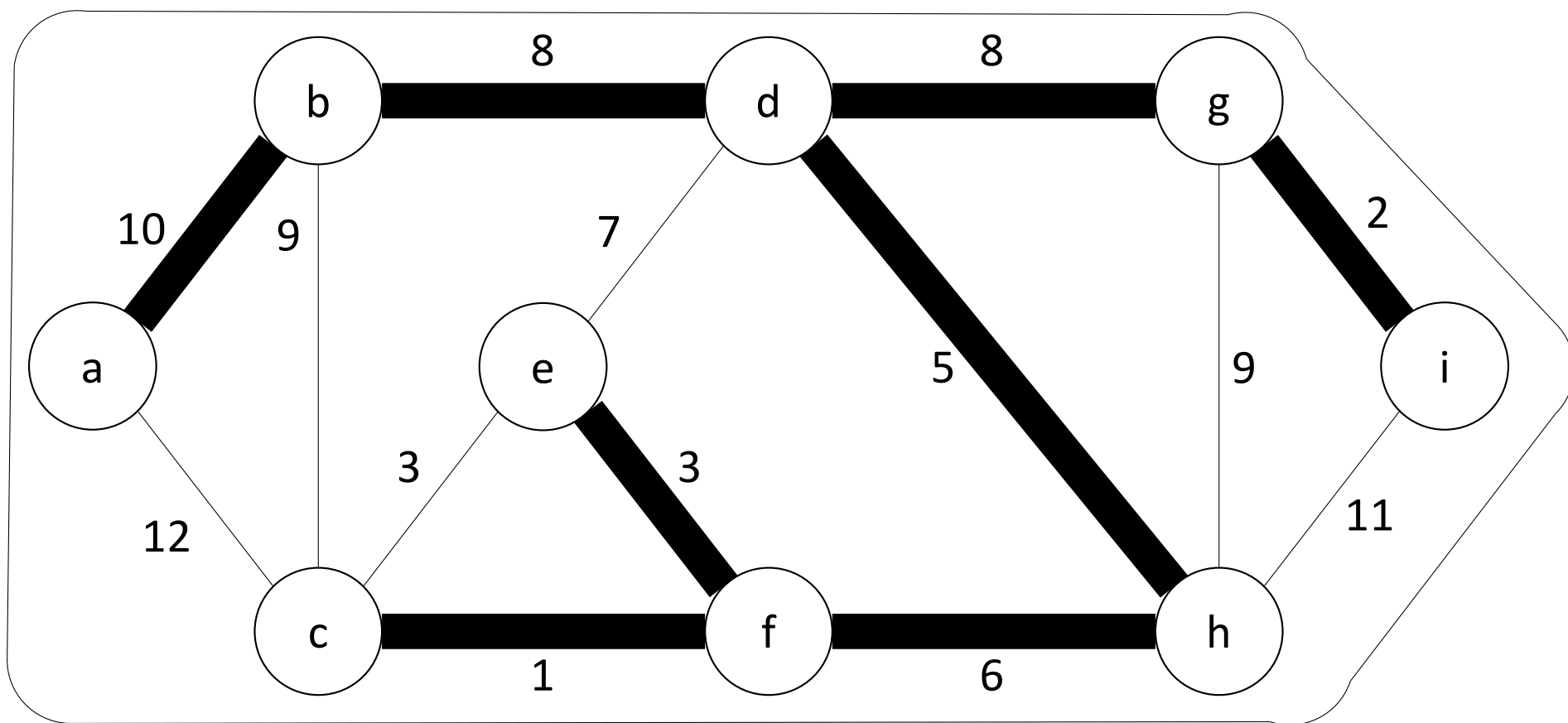


$\text{FindSet}(h) = \text{FindSet}(i)$





*FindSet(a) = FindSet(c)*



*Kruskal*( $G = (V, E), w$ )

$A \leftarrow \emptyset$

**for**  $v \in V$

$\text{MakeSet}(v)$

    sort  $E$  in non-decreasing order by weight

**for**  $\{u, v\}$  taken from the sorted list

**if**  $\text{FindSet}(u) \neq \text{FindSet}(v)$

$A \leftarrow A \cup \{u, v\}$

$\text{Union}(u, v)$

**return**  $A$

Running time analysis

Initialize  $A$ :  $O(1)$

First **for** loop:  $|V|$  MakeSets

Sort  $|E|$ :  $O(|E| \log |E|)$

Second **for** loop:  $O(|E|)$  FindSets and Unions

Using disjoint-sets datastructure:

$O((|V| + |E|) \log |V|) + O(|E| \log |E|)$

Since  $G$  is connected  $|E| \geq |V| - 1$

Since  $|E| \leq |V|^2$  we have

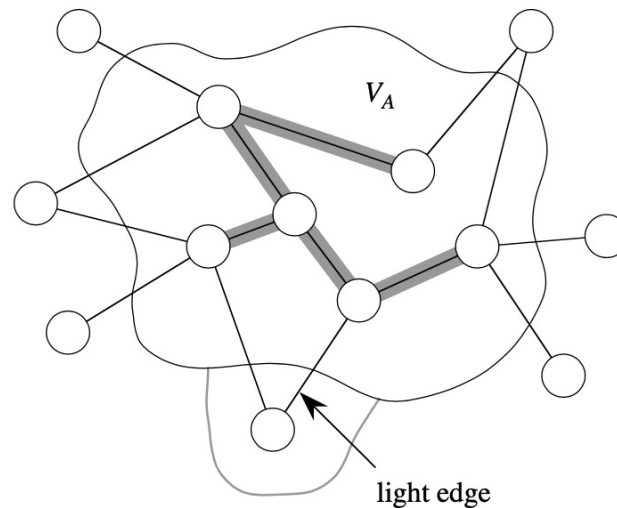
$\log |E| = O(\log |V|)$

Therefore, overall running time is

$O(|E| \log |V|)$

# Prim's algorithm

- Build one tree, so  $A$  is always a tree
- Starts from an arbitrary “root”  $r$
- At each step, find a light edge crossing  $(V_A, V - V_A)$ , where  $V_A =$  vertices that  $A$  is incident on. Add this edge to  $A$ .



## To find a light edge quickly

- Use priority queue  $Q$
- Each element of  $Q$  is a vertex in  $V - V_A$  with key of  $v$  being minimum weight of an edge  $(u, v)$  where  $u \in V_A$
- Key is  $\infty$  if  $v$  is not adjacent to any vertex in  $V_A$
- ExtractMin returns  $v$  such that there exists  $u \in V_A$  and  $(u, v)$  is a light edge
- Edges of  $A$  form a rooted tree with root  $r$
- Each vertex knows its parent stored in attribute  $v.\pi$

**EXERCISE:** run this algorithm on the previous example

$Prim(G = (V, E), w, r)$

$Q \leftarrow \emptyset$

**for**  $u \in V$

$u.key \leftarrow \infty$

$u.\pi \leftarrow NIL$

$Q.insert(u)$

$Q.decreaseKey(r, 0) // r.key \leftarrow 0$

**while**  $Q.size() > 0$

$u \leftarrow Q.extractMin()$

**for**  $v \in Adj[u]$

**if**  $v \in Q$  **and**  $w(u, v) < v.key$

$v.\pi \leftarrow u$

$Q.decreaseKey(v, w(u, v))$

Depends on priority queue implementation

Using binary heap:

Initialize  $Q$  and first **for** loop  
 $O(|V| \log |V|)$

Decrease key of  $r$   
 $O(\log |V|)$

**while** loop

$|V|$  extractMin calls  
 $O(|V| \log |V|)$

$\leq |E|$  decreaseKey calls  
 $O(|E| \log |V|)$

Overall  $O(|E| \log |V|)$

Possible to improve to  
 $O(|V| \log |V| + |E|)$



# Shortest paths

- Edge-weighted graph  $G = (V, E), w : E \rightarrow \mathbb{R}$
- **Weight of path**  $p = \langle v_0, v_1, \dots, v_k \rangle$  is

$$w(p) = \sum_{i=1}^k w(v_{i-1}, v_i) = \text{sum of edge weights on } p$$

- **Shortest-path weight**  $u$  to  $v$ :

$$\delta(u, v) = \begin{cases} \min \left( w(p) : u \xrightarrow{p} v \right) & \text{if there is a path from } u \text{ to } v \\ \infty & \text{otherwise} \end{cases}$$

- Can think of weights as representing any measure that accumulates linearly along a path and we wish to minimize it

# Variants of shortest paths problems

- **Single-source**
  - Find shortest paths from a given source vertex  $s \in V$  to every vertex  $v \in V$
- **Single-destination**
  - Find shortest paths to a given destination vertex
- **Single-pair**
  - Find shortest path from  $u$  to  $v$ . Not known how to do it faster than single-source.
- **All-pairs**
  - Find shortest path from  $u$  to  $v$  for all  $u, v \in V$ .

# Negative-weight edges

Some algorithms will not work when negative-weight edges are present

Other algorithms will work with negative-weight edges so long as there are no negative-weight cycles reachable from the source

If we have a negative-weight cycle, we can just keep going around it, and get  $\delta(s, v) = -\infty$  for all  $v$  on the cycle

Some algorithms allow one to detect presence of negative-weight cycles



# Some properties of shortest paths

- **Optimal substructure property**

Any subpath of a shortest path is a shortest path itself

- **No cycles property**

Shortest paths do not contain cycles without loss of generality

- **Triangle inequality**

For all  $(u, v) \in E$  we have  $\delta(s, v) \leq \delta(s, u) + w(u, v)$

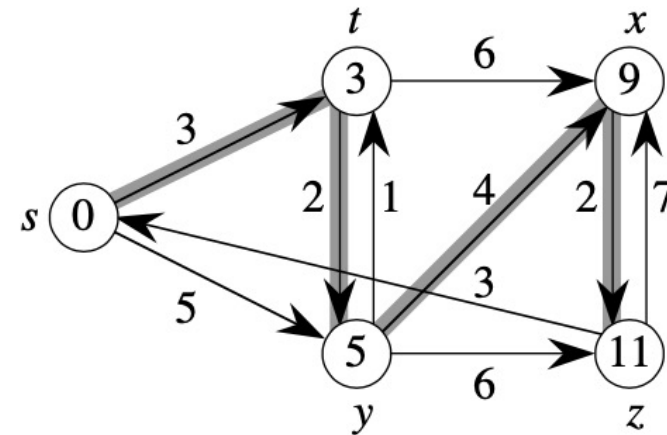
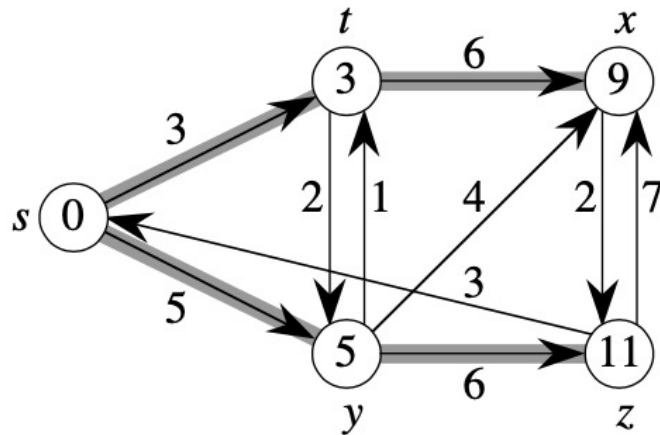
# Single-source shortest paths (CLRS 24)

**Input:**  $G = (V, E), w : E \rightarrow \mathbb{R}$

source vertex  $s \in V$

**Output:** for each vertex  $v$  populate attribute  $v.d = \delta(s, v)$

for each vertex  $v$  populate attribute  $v.\pi = \text{predecessor of } v \text{ on shortest path from } s$



# Generic algorithm

- Initially set  $v.d \leftarrow \infty$
- As an algorithm progresses,  $v.d$  reduces but satisfies  $v.d \geq \delta(s, v)$
- Call  $v.d$  a **shortest path estimate**
- Initially set  $v.\pi \leftarrow NIL$
- The predecessor graph  $\{(v.\pi, v)\}$  forms a tree called **shortest-path tree**
- Shortest path estimate is improved by **relaxing an edge**

# Generic algorithm

*InitSingleSource*( $G = (V, E), s$ )

**for**  $v \in V$

$v.d \leftarrow \infty$

$v.\pi \leftarrow NIL$

$s.d \leftarrow 0$

*Relax*( $u, v, w$ )

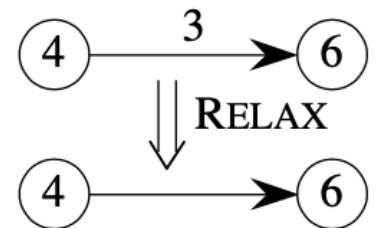
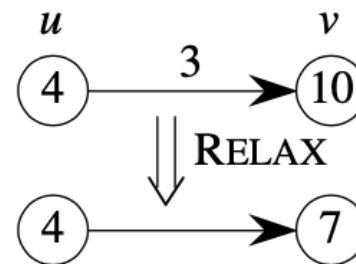
//  $(u, v)$  is an edge

//  $w$  is the weight function

if  $v.d > u.d + w(u, v)$

$v.d \leftarrow u.d + w(u, v)$

$v.\pi \leftarrow u$



- All single-source shortest paths algorithms we consider
  - Start by calling *InitSingleSource*
  - Then relax edges
- Algorithms differ in the order and number of times edges are relaxed
- Upper bound property
  - Always have  $v.d \geq \delta(s, v)$  for all  $v \in V$
- Path relaxation property
  - If  $p = \langle v_0, v_1, \dots, v_k \rangle$  is a shortest path from  $v_0 = s$  to  $v = v_k$ . If we relax edges in order  $(v_0, v_1), (v_1, v_2), \dots, (v_{k-1}, v_k)$  even intermixed with other relaxations then we get  $v.d = \delta(s, v)$

# Dijkstra's algorithm

- Solves single-source shortest-paths problem
- Assume input graph **has no negative-weight edges**
- Essentially a weighted version of BFS
  - Instead of regular queue, use a priority queue
  - Keys are shortest-path weights  $v.d$
- Have two sets of vertices
  - $S$  = vertices whose final shortest-path weights have been determined
  - $Q$  = priority queue =  $V - S$

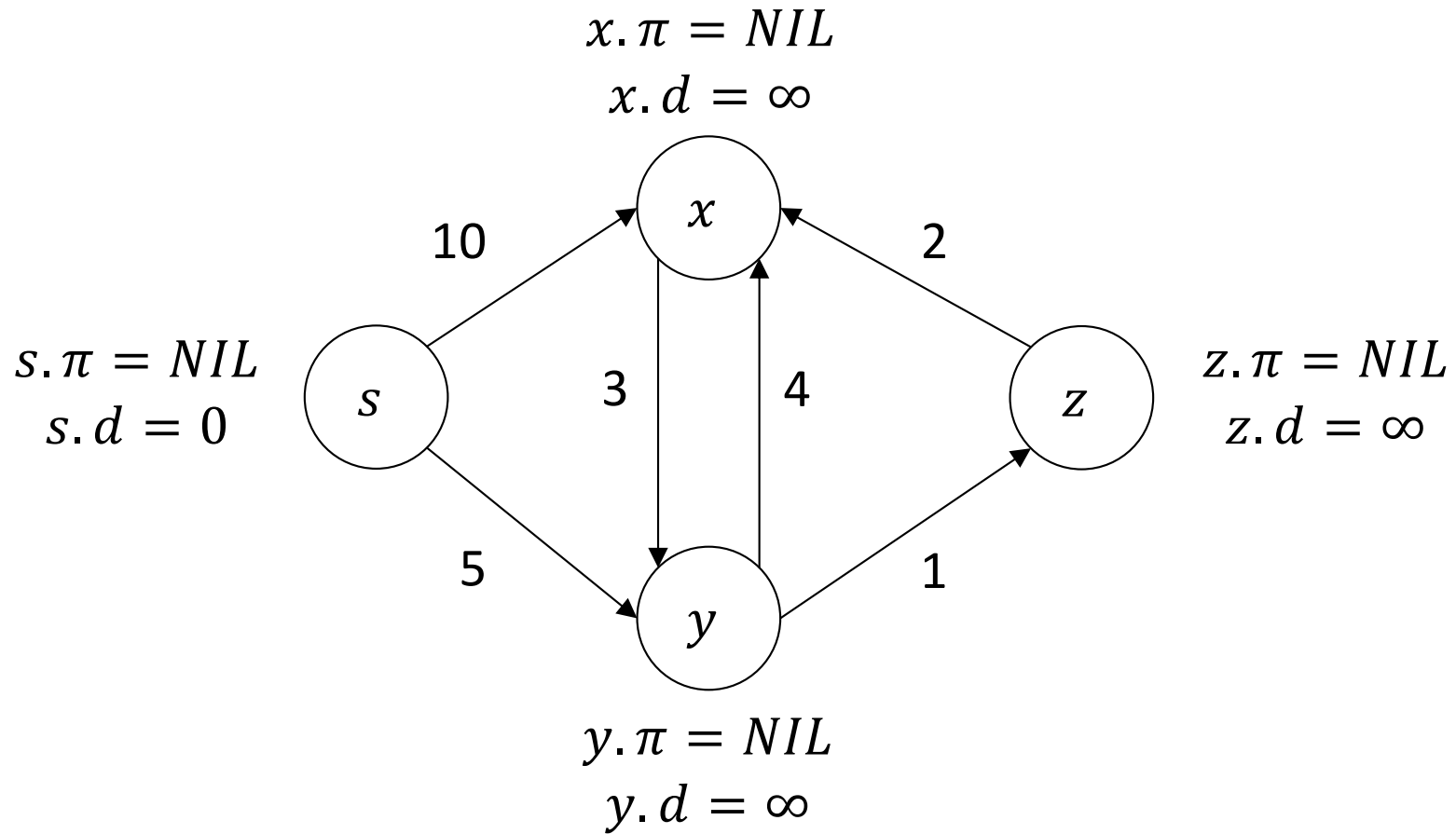
```

Dijkstra( $G = (V, E), w, s$ )
  InitSingleSource( $G, s$ )
   $S \leftarrow \emptyset$ 
  for  $u \in V$ 
     $Q.insert(u)$ 
  while  $Q.size() > 0$ 
     $u \leftarrow Q.extractMin()$ 
     $S \leftarrow S \cup \{u\}$ 
    for  $v \in Adj[u]$ 
      Relax( $u, v, w$ )
      if  $v.d$  changed
         $Q.decreaseKey(v, v.d)$ 

```

$S = \emptyset$   
 $Q = \langle s, x, y, z \rangle$

*InitSingleSource*

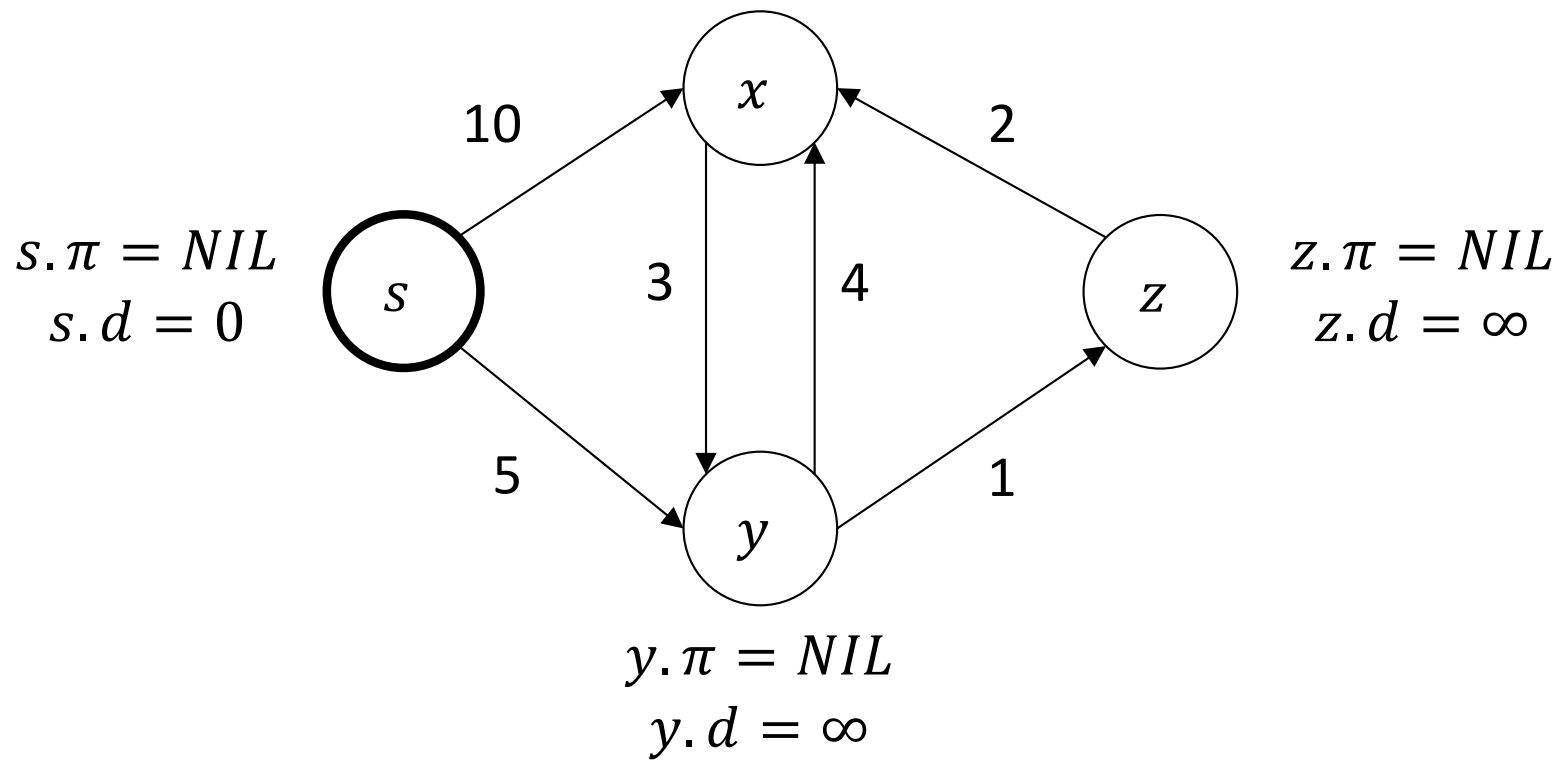




$S = \{s\}$   
 $Q = \langle x, y, z \rangle$

Process  $s$

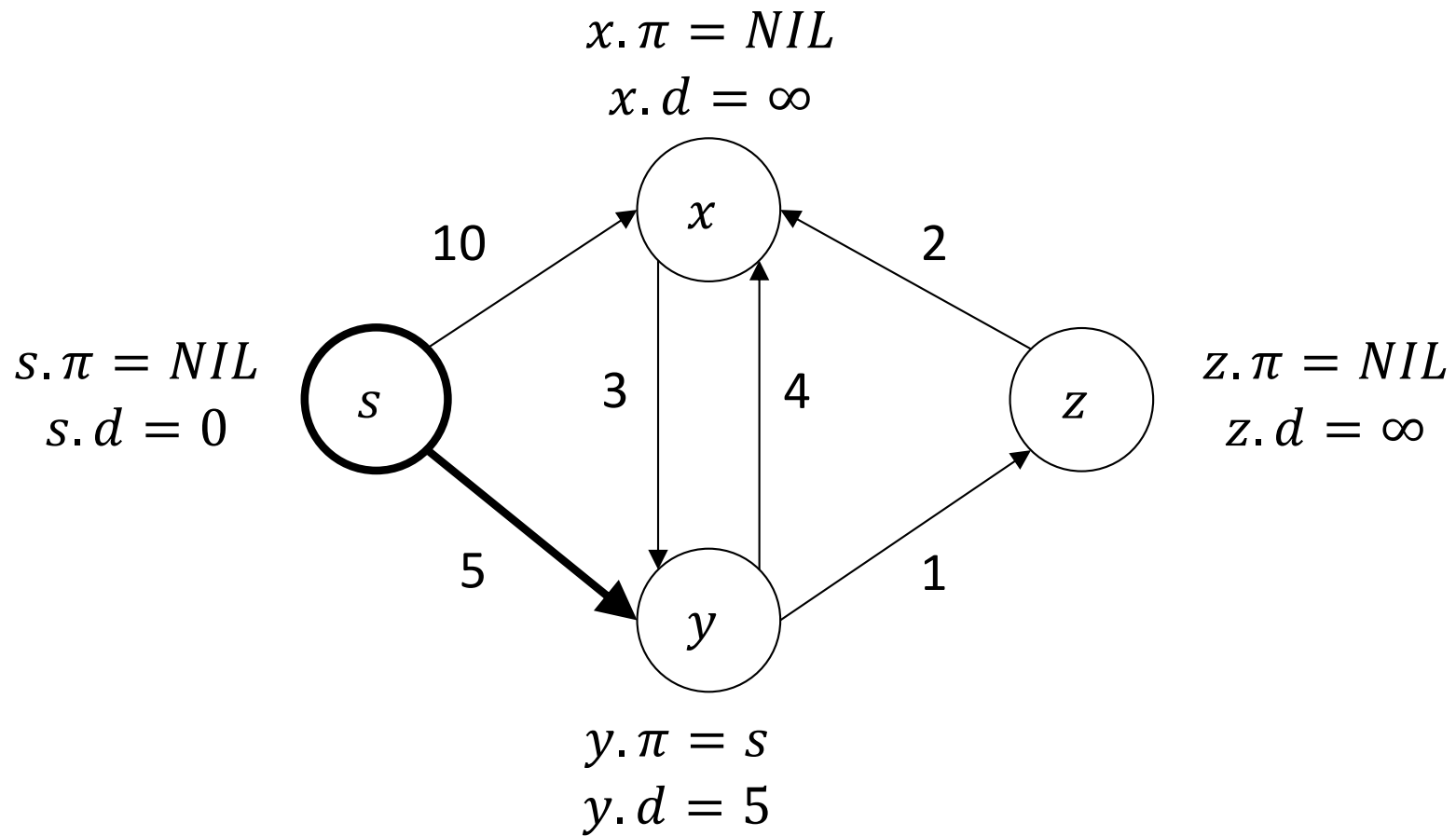
$x.\pi = NIL$   
 $x.d = \infty$



$S = \{s\}$   
 $Q = \langle x, y, z \rangle$

Process  $s$

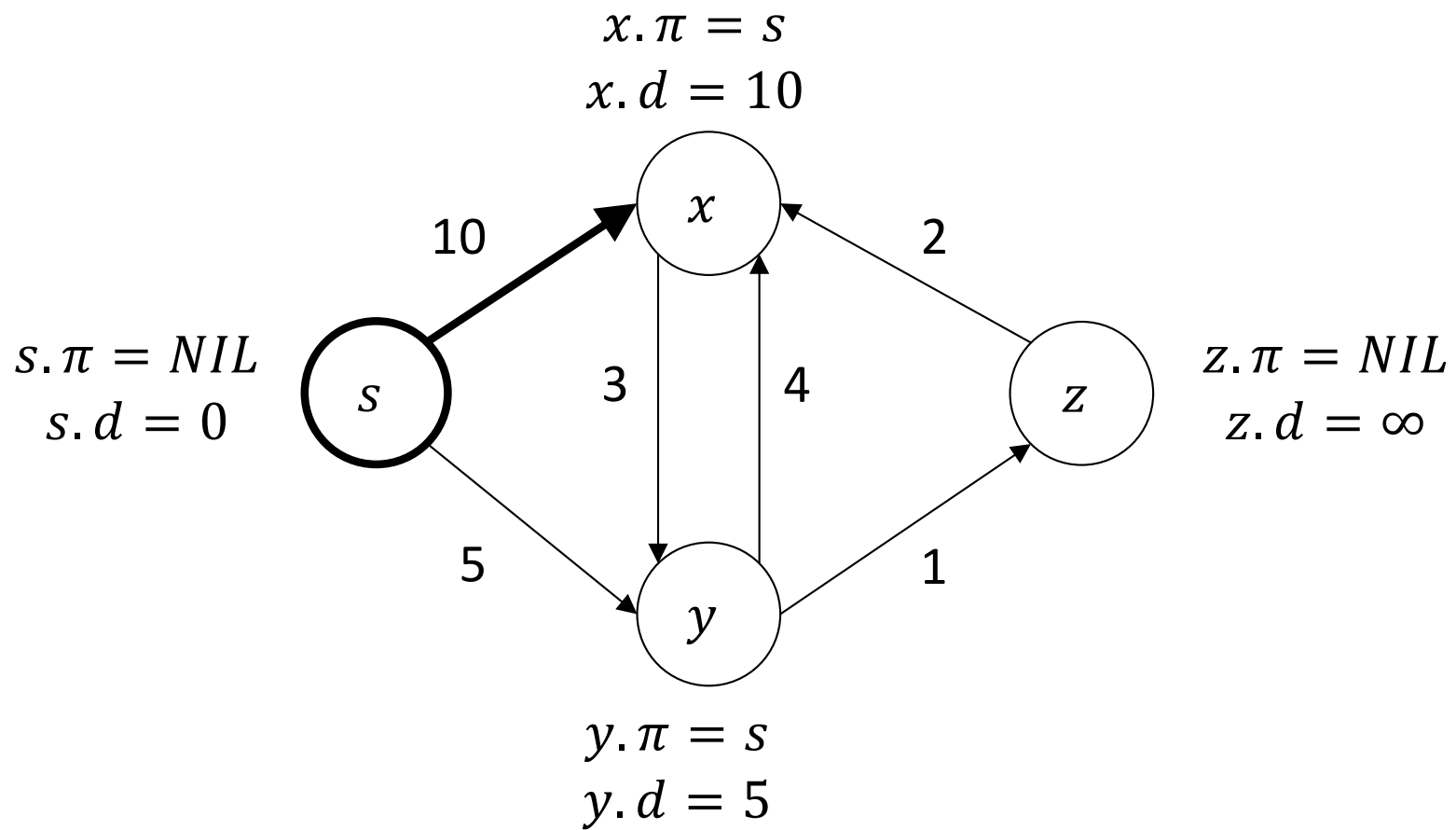
$Relax(s, y, w)$



$S = \{s\}$   
 $Q = \langle y, x, z \rangle$

Process  $s$

$Relax(s, x, w)$



$$S = \{s, y\}$$

$$Q = \langle x, z \rangle$$

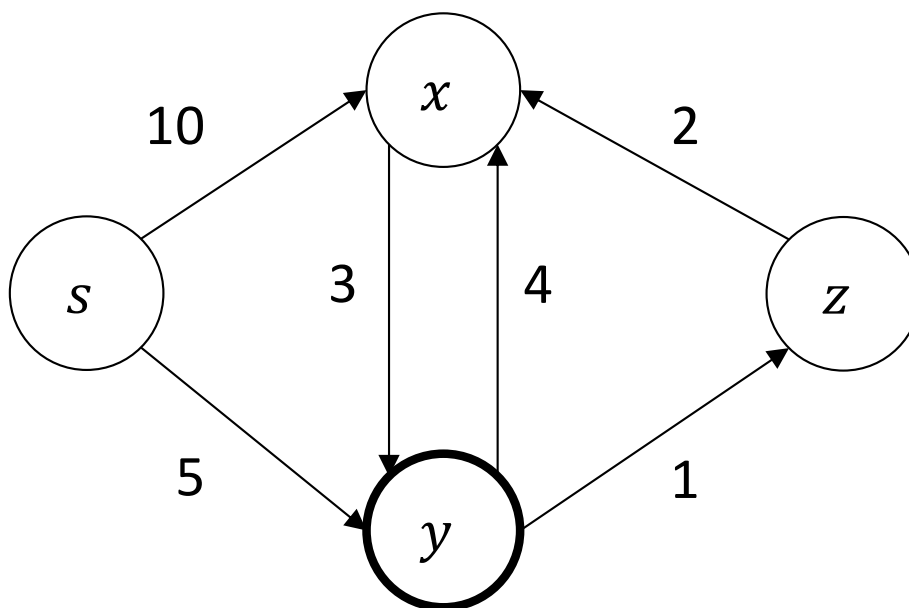
Process  $y$

$$x.\pi = s$$

$$x.d = 10$$

$$s.\pi = NIL$$

$$s.d = 0$$



$$z.\pi = NIL$$

$$z.d = \infty$$

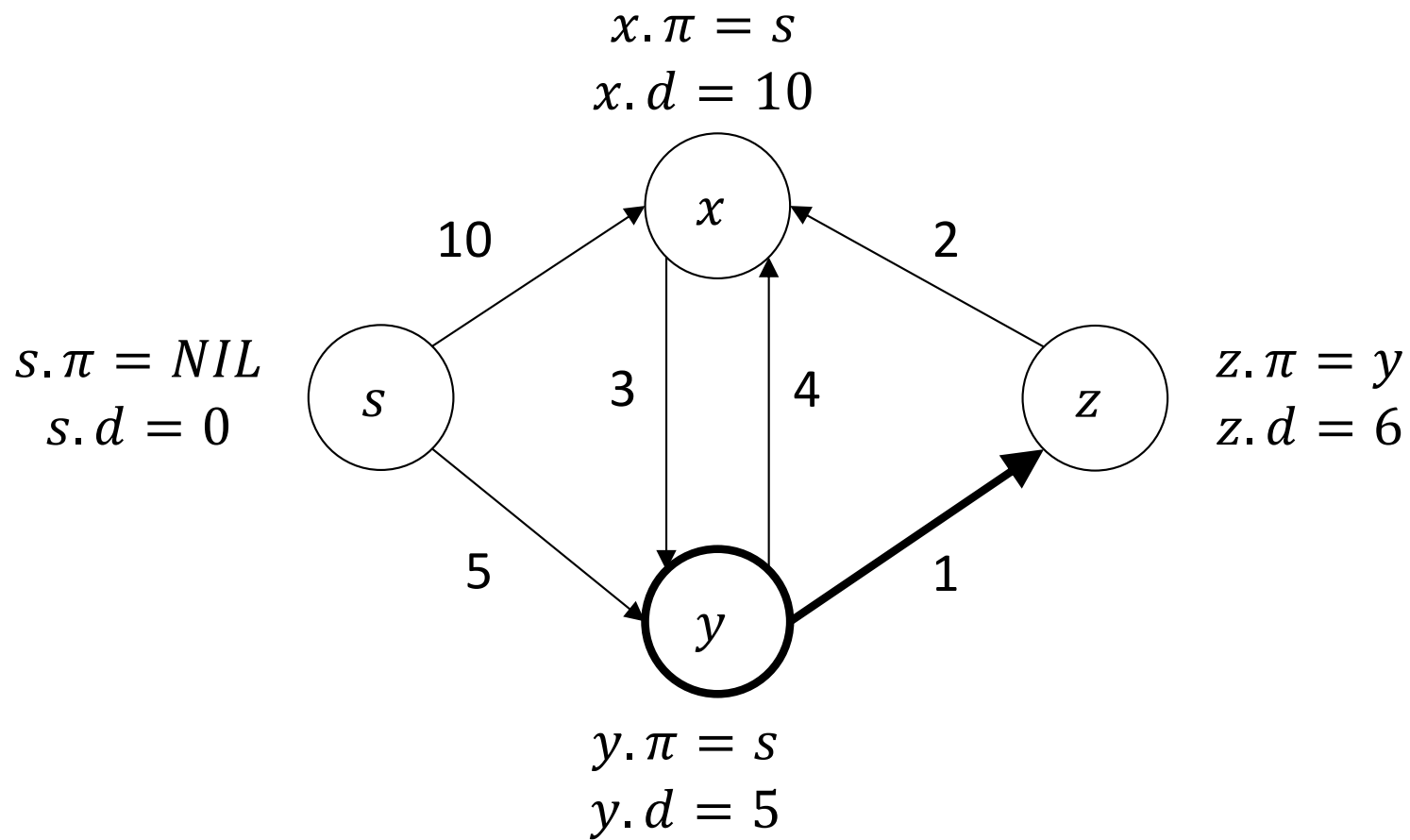
$$y.\pi = s$$

$$y.d = 5$$

$S = \{s, y\}$   
 $Q = \langle z, x \rangle$

Process  $y$

$Relax(y, z, w)$



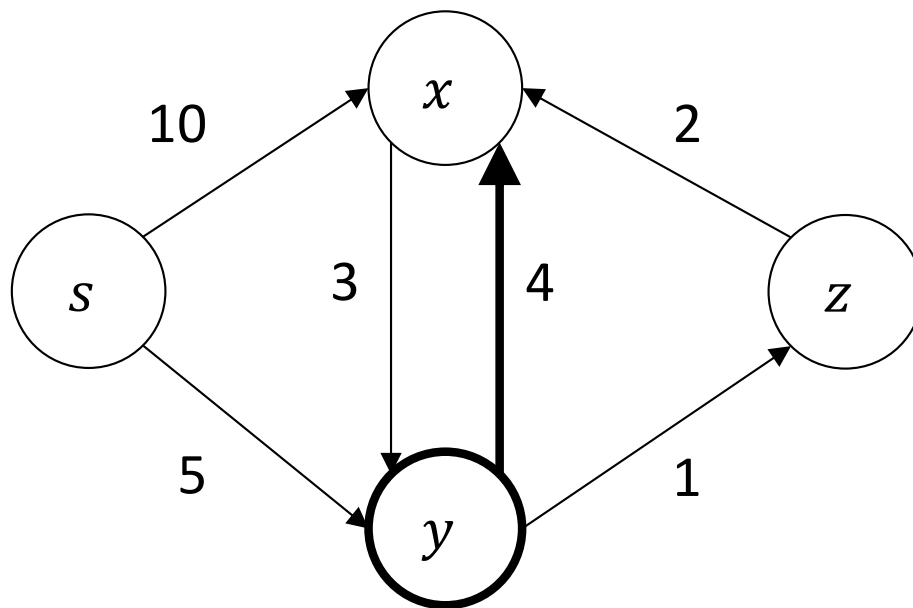
$S = \{s, y\}$   
 $Q = \langle z, x \rangle$

Process  $y$

$Relax(y, x, w)$

$x.\pi = y$   
 $x.d = 9$

$s.\pi = NIL$   
 $s.d = 0$



$z.\pi = y$   
 $z.d = 6$

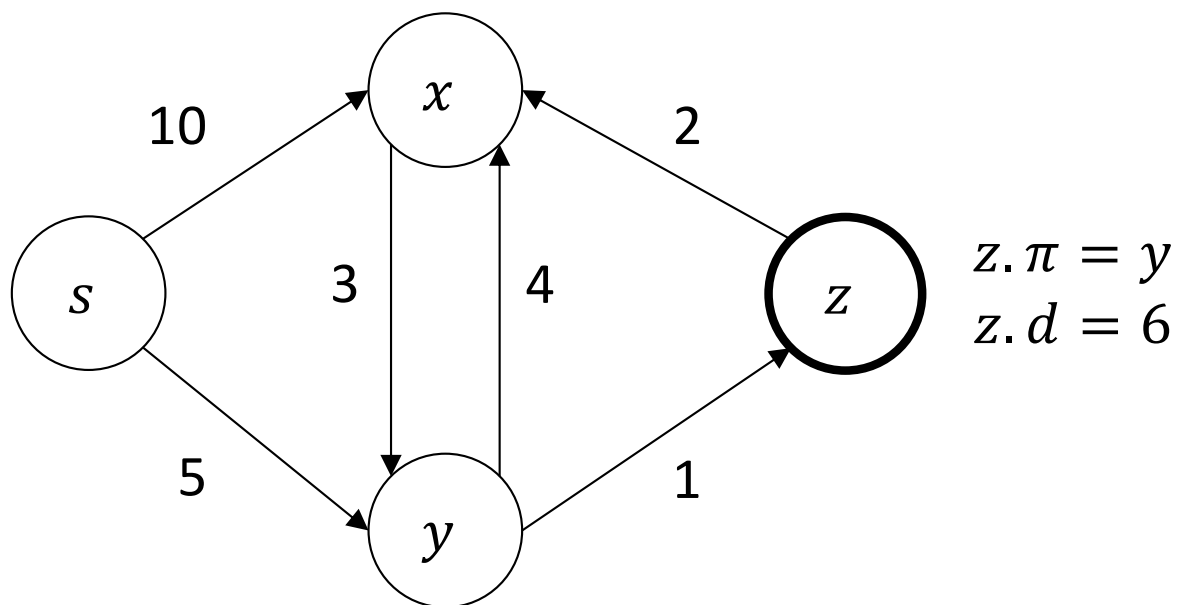
$y.\pi = s$   
 $y.d = 5$

$S = \{s, y, z\}$   
 $Q = \langle x \rangle$

Process  $z$

$x.\pi = y$   
 $x.d = 9$

$s.\pi = NIL$   
 $s.d = 0$



$z.\pi = y$   
 $z.d = 6$

$y.\pi = s$   
 $y.d = 5$

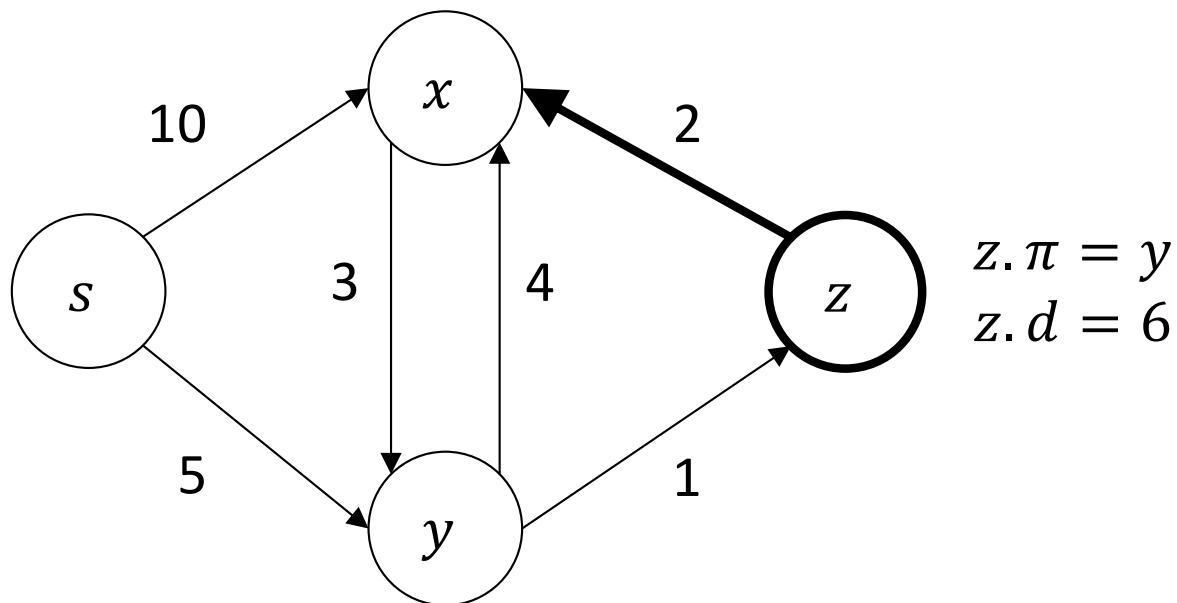
$S = \{s, y, z\}$   
 $Q = \langle x \rangle$

Process  $z$

$Relax(z, x, w)$

$x.\pi = z$   
 $x.d = 8$

$s.\pi = NIL$   
 $s.d = 0$



$z.\pi = y$   
 $z.d = 6$

$y.\pi = s$   
 $y.d = 5$

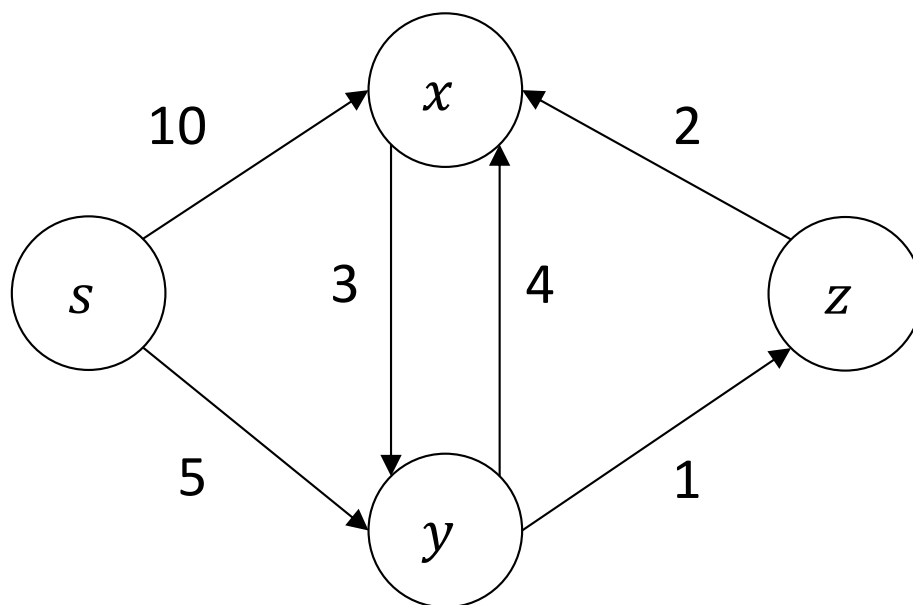


$S = \{s, y, z, x\}$   
 $Q = \emptyset$

Process  $x$

$x.\pi = z$   
 $x.d = 8$

$s.\pi = NIL$   
 $s.d = 0$



$z.\pi = y$   
 $z.d = 6$

$y.\pi = s$   
 $y.d = 5$

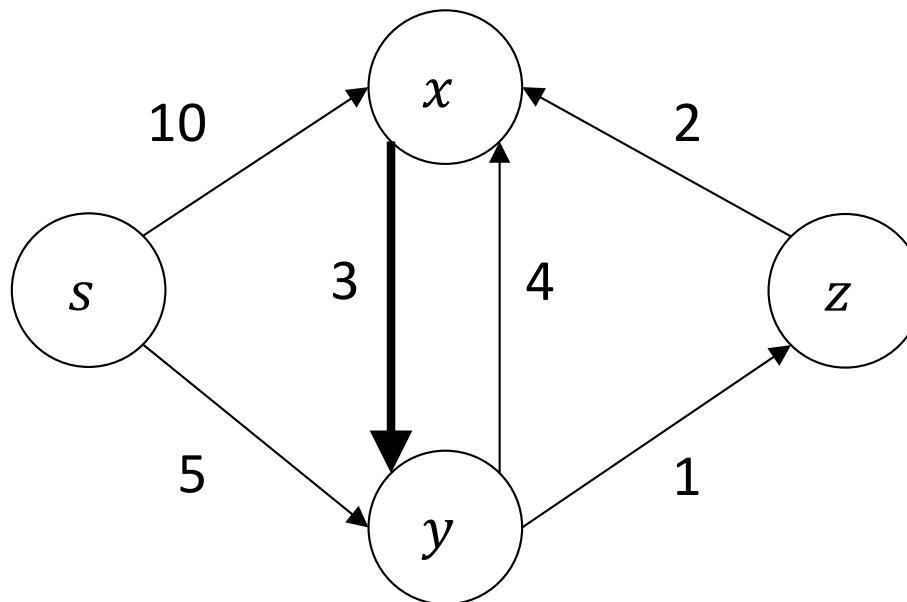
$S = \{s, y, z, x\}$   
 $Q = \emptyset$

Process  $x$

$Relax(x, y, w)$

$x.\pi = z$   
 $x.d = 8$

$s.\pi = NIL$   
 $s.d = 0$

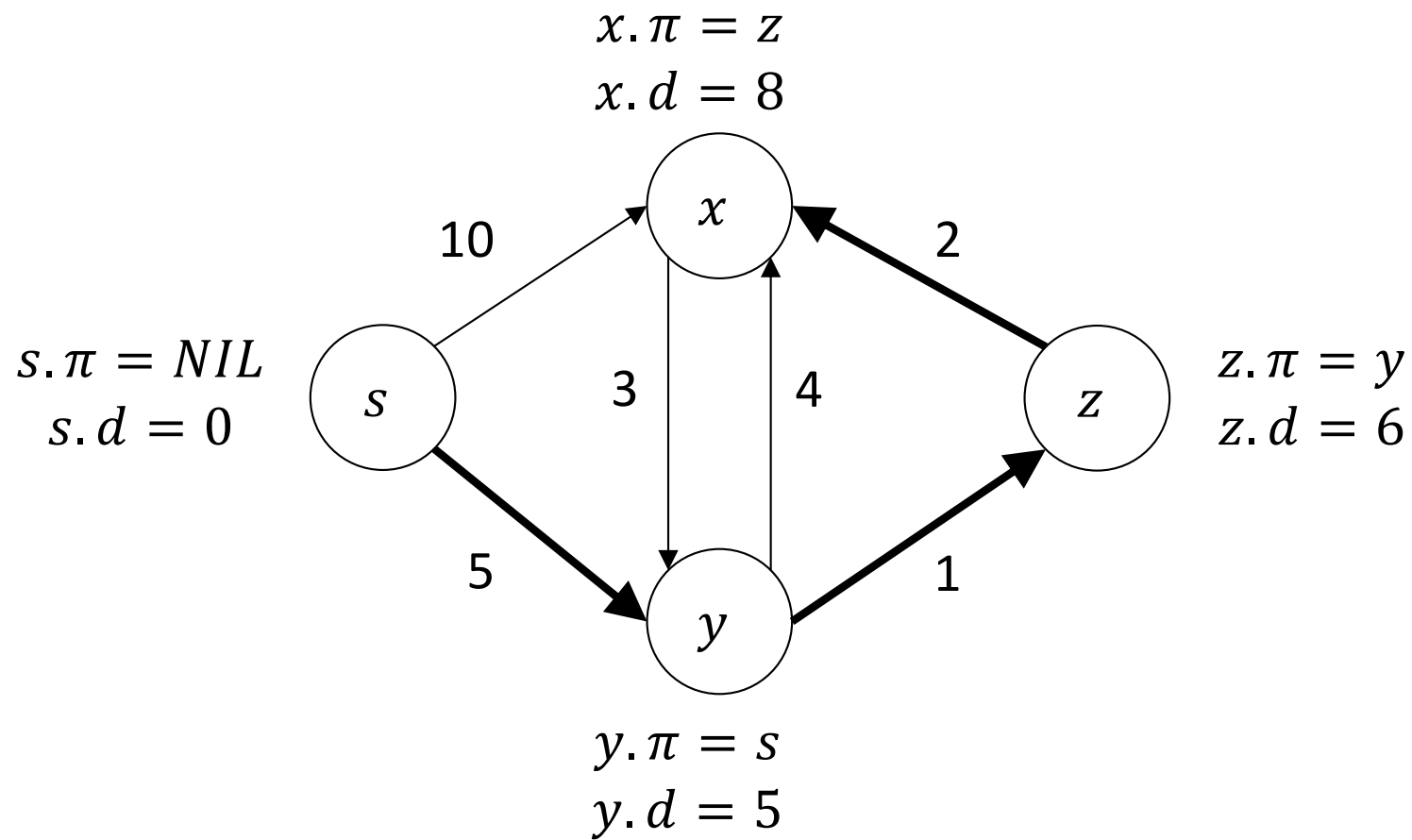


$z.\pi = y$   
 $z.d = 6$

$y.\pi = s$   
 $y.d = 5$

$S = \{s, y, z, x\}$   
 $Q = \emptyset$

Final result with shortest-path tree



# Now you should be able to...

- Use the basic graph terminology effectively
- Represent graphs using adjacency matrix and adjacency lists
- Describe BFS/DFS in plain English, pseudocode, explain their properties and running time
- Use BFS/DFS as a subroutine to solve various graph problems
- Take transpose of a graph
- Compute topological sort of a dag
- Compute SCCs of a digraph
- Solve single-source shortest paths problem in weighted directed graphs without negative-weight edges

# Review questions

- Write down pseudocode for BFS, DFS, topological sort, SCCs, and Dijkstra without using any external resources
- Analyze correctness and running time of each of the above algorithms
- For each of the above algorithms, decide what algorithmic paradigm it belongs to? Greedy? Divide and conquer? Dynamic programming? Why?