

# **CSE 202: Design and Analysis of Algorithms**

## **Lecture 7**

**Instructor: Kamalika Chaudhuri**

# **Announcements**

- Pick up graded HW1 after class
- HW2 due on Thu Feb 2

# Last class: Three steps of Dynamic Programming

## Main Steps:

1. Divide the problem into **subtasks**
2. Define the subtasks **recursively** (express larger subtasks in terms of smaller ones)
3. Find the **right order** for solving the subtasks (but do not solve them recursively!)

# DP: Common Subtasks

**Case I:** Input:  $x_1, x_2, \dots, x_n$  Subproblem:  $x_1, \dots, x_i$ .

$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	$x_6$	$x_7$	$x_8$	$x_9$	$x_{10}$
-------	-------	-------	-------	-------	-------	-------	-------	-------	----------

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-------	-------	-------	-------	-------	-------	-------	-------	-------	----------

**Case 2:** Input:  $x_1, x_2, \dots, x_n$  and  $y_1, y_2, \dots, y_m$  Subproblem:  $x_1, \dots, x_i$  and  $y_1, y_2, \dots, y_j$

$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	$x_6$	$x_7$	$x_8$	$x_9$	$x_{10}$
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$y_1$	$y_2$	$y_3$	$y_4$	$y_5$	$y_6$	$y_7$	$y_8$
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$y_1$   $y_2$   $y_3$   $y_4$   $y_5$   $y_6$   $y_7$   $y_8$

**Case 3:** Input:  $x_1, x_2, \dots, x_n$ . Subproblem:  $x_i, \dots, x_j$

$x_1$   $x_2$   $x_3$   $x_4$   $x_5$   $x_6$   $x_7$   $x_8$   $x_9$   $x_{10}$

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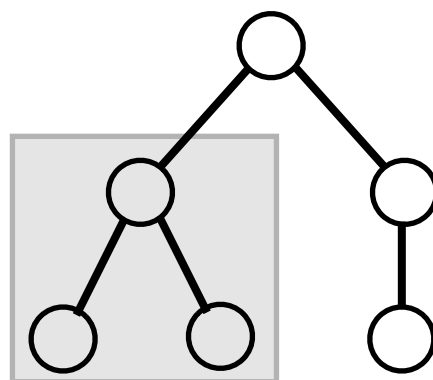
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**Case 4:** Input: a rooted tree. Subproblem: a subtree



# Dynamic Programming

- String Reconstruction
- Longest Common Subsequence
- Edit Distance
- Subset Sum



# Subset Sum

**Problem:** Given a list of positive integers  $a[1..n]$  and an integer  $t$ , is there some subset of  $a$  that sums to exactly  $t$ ?

**Example:**  $a = [12, 1, 3, 8, 20, 50]$

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## STEP 1: Define subtasks

For  $i=1..n, s=1..t,$

$S(i,s)$  = True, if some subset of  $a[1..i]$   
adds to  $s$   
= False, ow

Output =  $S(n, t)$

[illegible]

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## STEP 2: Express recursively

**If  $a[i] < s$ ,**

$$S(i,s) = S(i - l, s - a[i]) \text{ OR } S(i - l, s)$$

Else:  $S(i, s) = S(i - 1, s)$

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## STEP 3: Order of subtasks

## Row by row, increasing column

[illegible]

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If  $a[i] \leq s$ ,

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	0	1	2	3	4	5	6	7	8	9
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**Running Time** =  $O(nt)$

How to reconstruct the subset?

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Else:  $S(i, s) = S(i-1, s)$

## STEP 3: Order of subtasks

Row by row, increasing column

## Reconstructing the subset:

Define an array  $D(i, s)$ .

If  $S(i, s) = \text{True}$ , and  $S(i-1, s-a[i]) = \text{True}$

$D(i, s) = (i-1, s-a[i])$   
          =  $(i-1, s)$  ow.

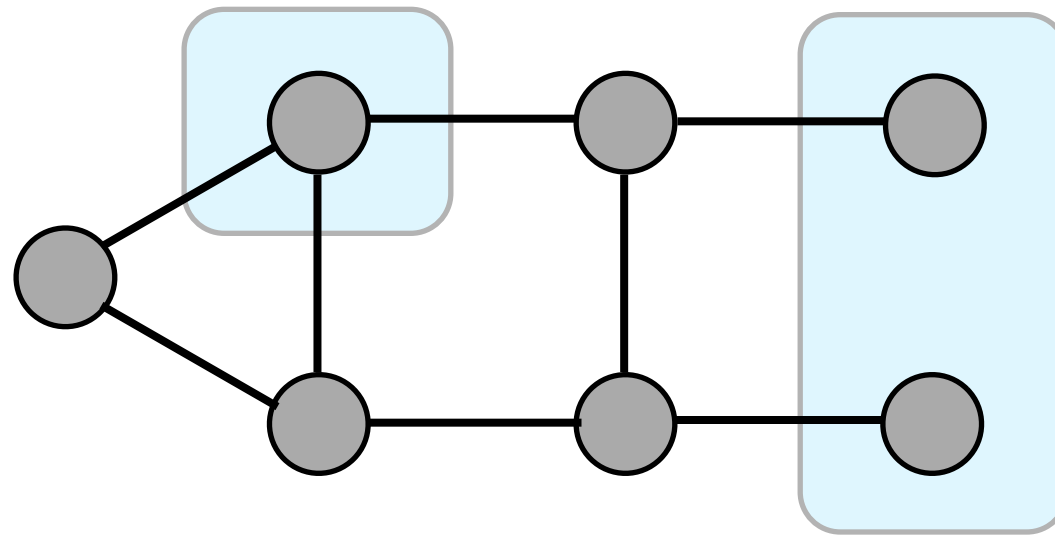
Reconstruct the subset by following the pointers from  $D(n,t)$

**Running Time** =  $O(nt)$

# Dynamic Programming

- String Reconstruction
- Longest Common Subsequence
- Edit Distance
- Subset Sum
- Independent Set in a Tree

# Independent Set



**Independent Set:** Given a graph  $G = (V, E)$ , a subset of vertices  $S$  is an independent set if there are no edges between them

**Max Independent Set Problem:** Given a graph  $G = (V, E)$ , find the largest independent set in  $G$

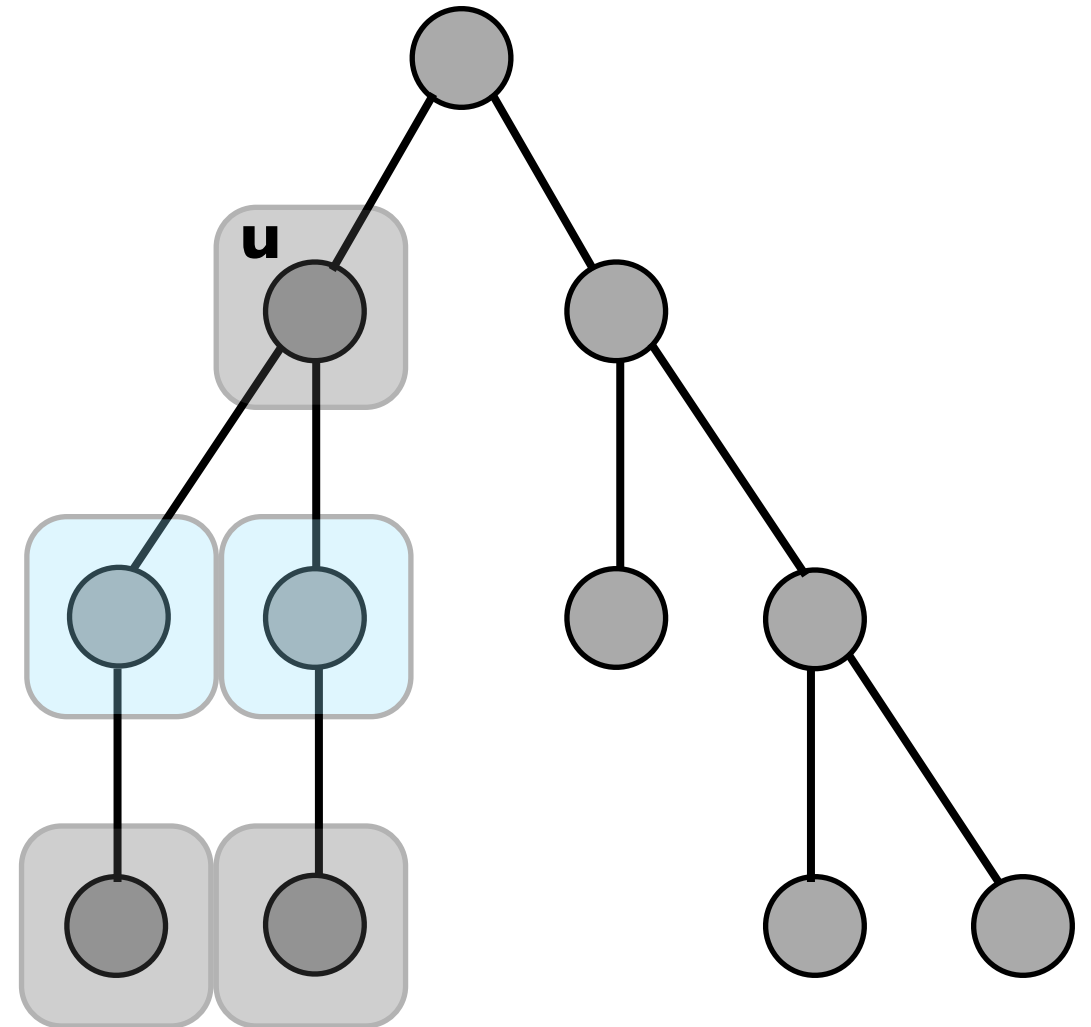
**Max Independent Set** is a notoriously hard problem!  
We will look at a restricted case, when  $G$  is a **tree**

# Max. Independent Set in a Tree

A set of nodes is an **independent set** if there are no edges between the nodes

## Two Cases at node $u$ :

1. Don't include  $u$
2. Include  $u$ , and don't include its children



# Max. Independent Set in a Tree

A set of nodes is an **independent set** if there are no edges between the nodes

## STEP 1: Define subtask

$I(u)$  = size of largest independent set in subtree rooted at  $u$

We want  $I(r)$ , where  $r$  = root

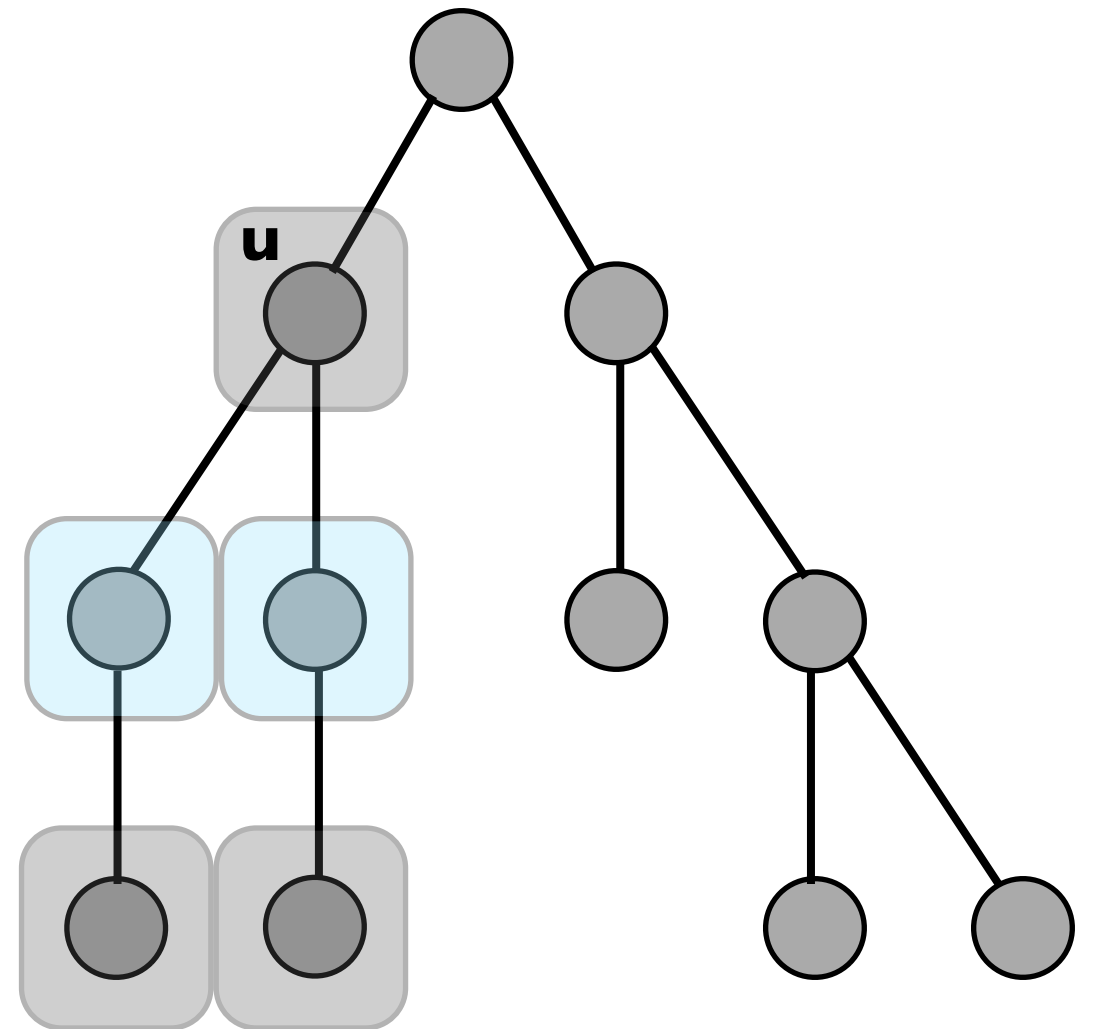
## STEP 2: Express recursively

$$I(u) = \max \left\{ \begin{array}{l} \sum_{\substack{\text{children} \\ w \text{ of } u}} I(w) \\ 1 + \sum_{\substack{\text{grandchildren} \\ w \text{ of } u}} I(w) \end{array} \right.$$

Base case: for leaf nodes,  $I(u) = 1$

## STEP 3: Order of subtasks

Reverse order of distance from root;  
use BFS!



## Two Cases at node $u$ :

1. Don't include  $u$
2. Include  $u$ , and don't include its children

# Max. Independent Set in a Tree

A set of nodes is an **independent set** if there are no edges between the nodes

## STEP 1: Define subtask

$I(u)$  = size of largest independent set in subtree rooted at  $u$

We want  $I(r)$ , where  $r$  = root

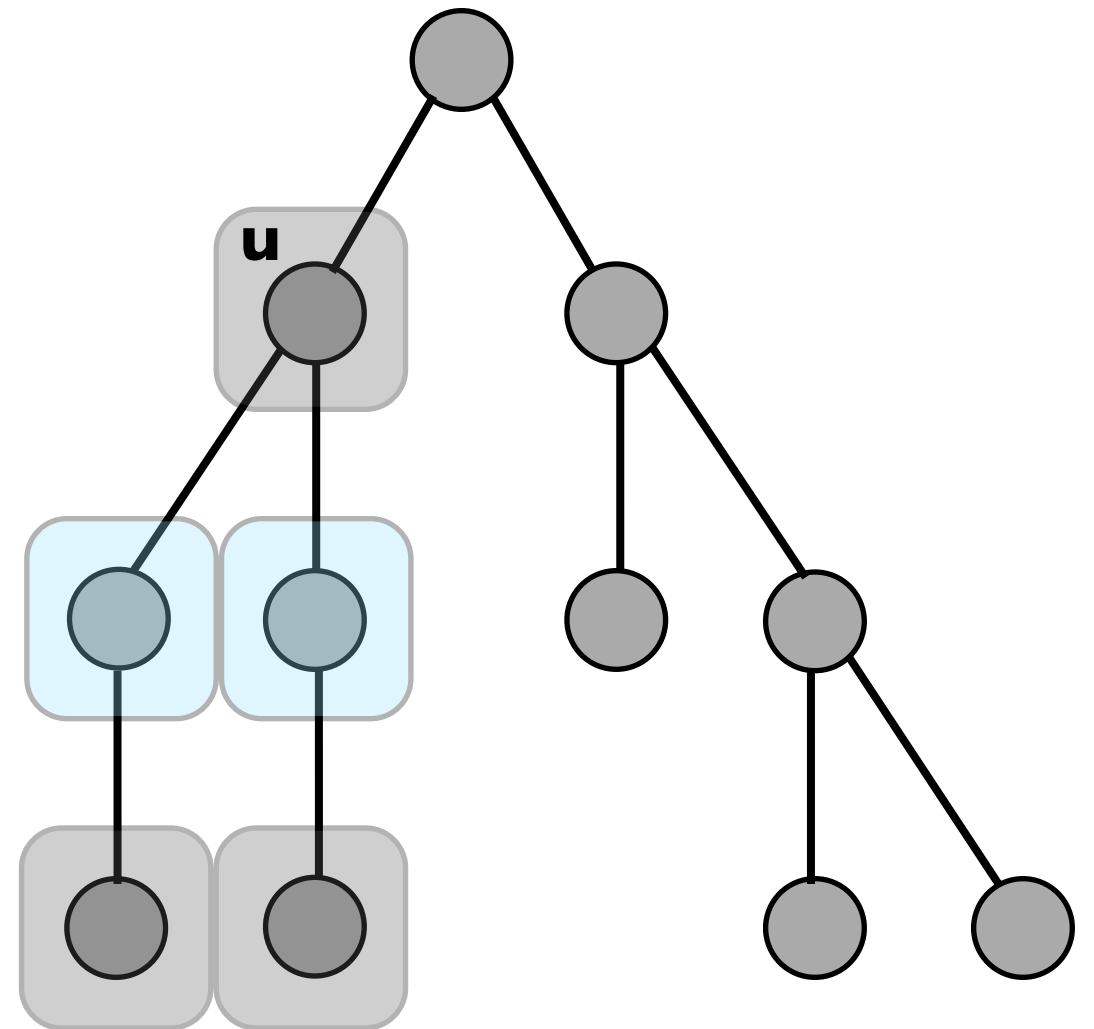
## STEP 2: Express recursively

$$I(u) = \max \left\{ \begin{array}{l} \sum_{\substack{\text{children} \\ w \text{ of } u}} I(w) \\ 1 + \sum_{\substack{\text{grandchildren} \\ w \text{ of } u}} I(w) \end{array} \right.$$

Base case: for leaf nodes,  $I(u) = 1$

## STEP 3: Order of subtasks

Reverse order of distance from root;  
use BFS!



## Running Time: $O(n)$

Edge  $(u, v)$  is examined in Step 2 at most twice:

- (1)  $v$  is a child of  $u$
- (2)  $v$  is a grandchild of  $u$ 's parent

There are  $n-1$  edges in a tree on  $n$  nodes

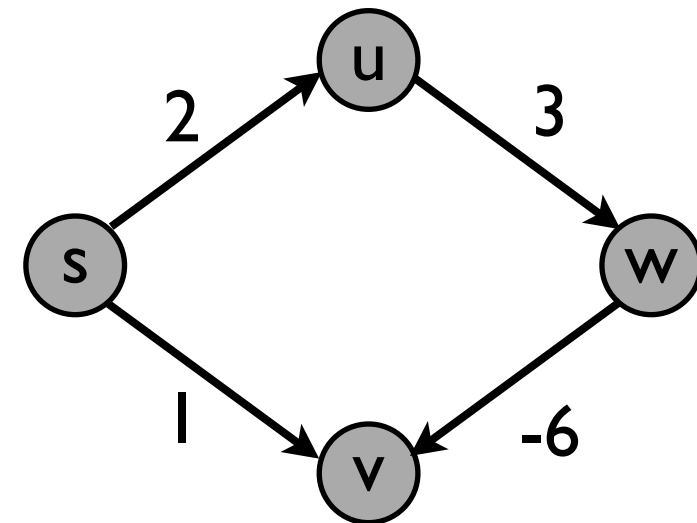
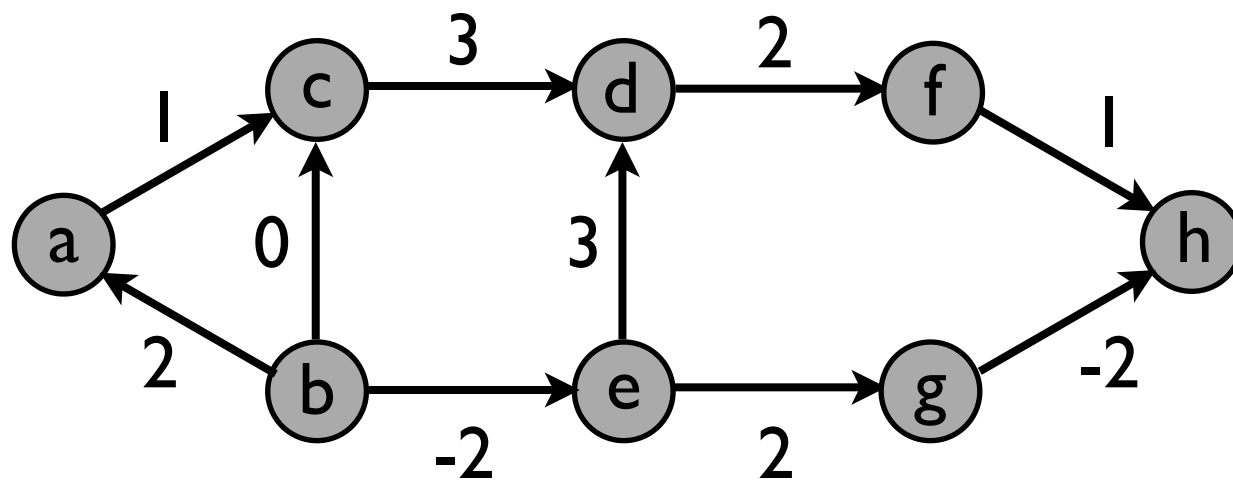
# Dynamic Programming

- String Reconstruction
- Longest Common Subsequence
- Edit Distance
- Subset Sum
- Independent Set in a Tree
- All Pairs Shortest Paths



# All Pairs Shortest Paths

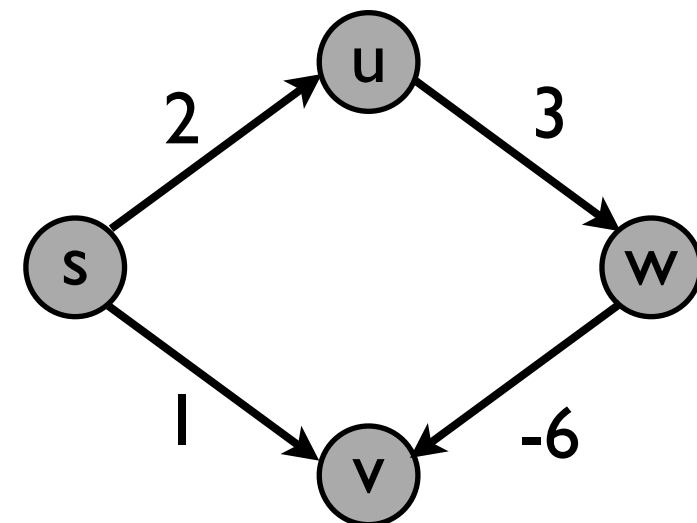
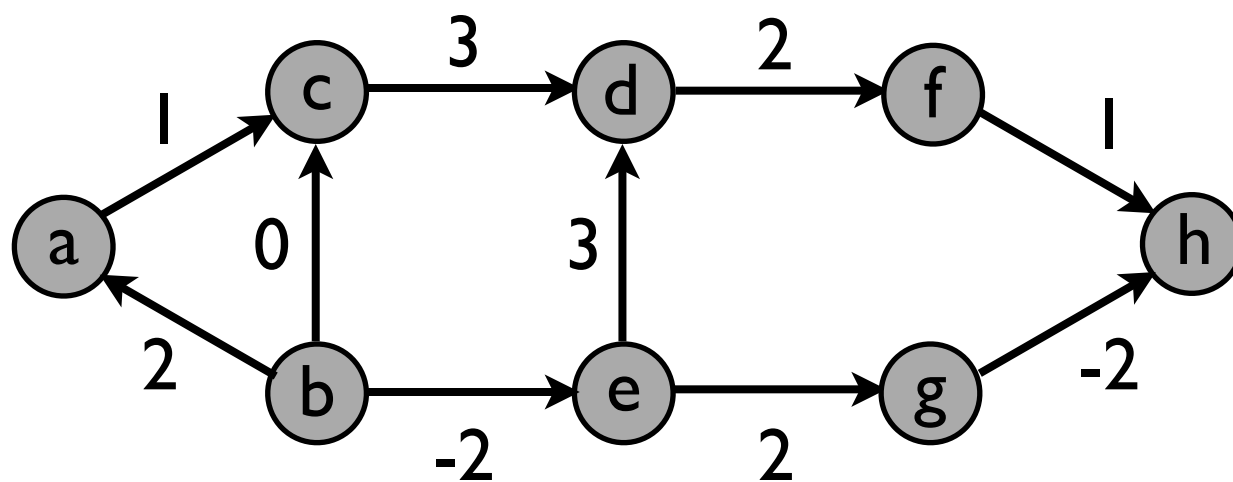
**Problem:** Given  $n$  nodes and distances  $d_{ij}$  (which could be negative, or 0, or positive) on all edges, find shortest path distances between all pairs of nodes.



Does Dijkstra's algorithm work?

# All Pairs Shortest Paths

**Problem:** Given  $n$  nodes and distances  $d_{ij}$  (which could be negative, or 0, or positive) on all edges, find shortest path distances between all pairs of nodes.



Does Dijkstra's algorithm work?

Ans: No! Example: s-v Shortest Paths

# All Pairs Shortest Paths (APSP)

**Problem:** Given  $n$  nodes and distances  $d_{ij}$  (which could be negative, or 0, or positive) on all edges, find shortest path distances between all pairs of nodes.

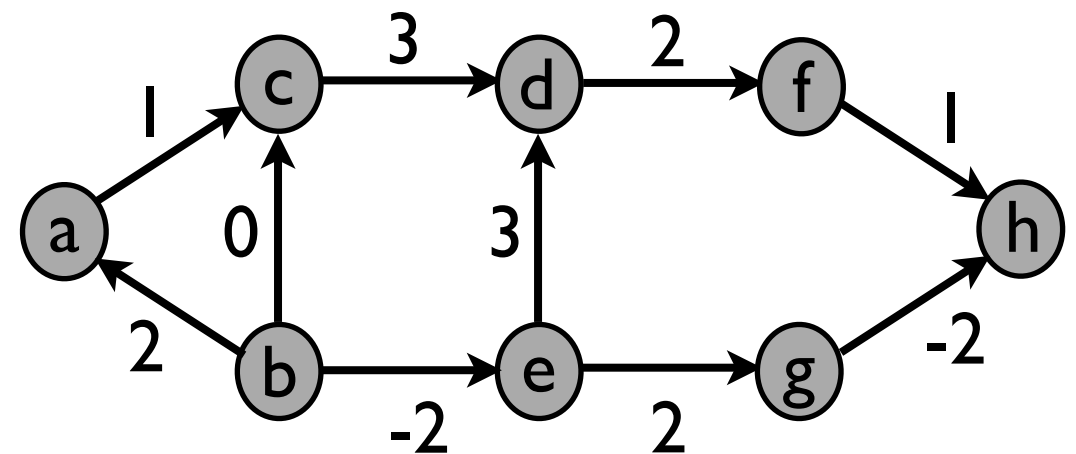
## Structure:

For all  $x, y$ :

either  $SP(x, y) = d_{xy}$

Or there exists some  $z$  s.t

$$SP(x, y) = SP(x, z) + SP(y, z)$$



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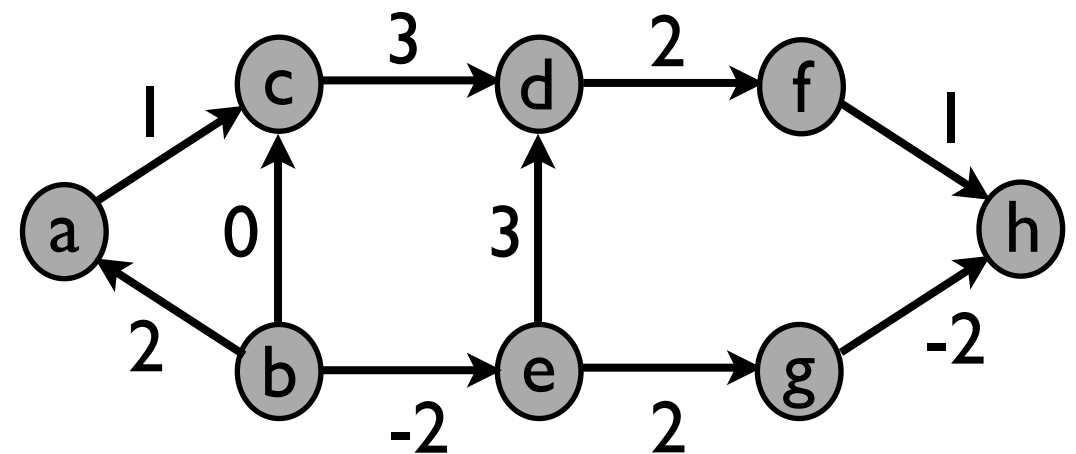
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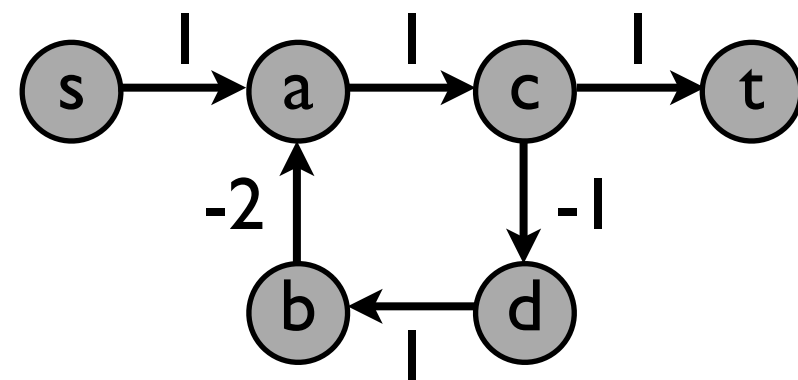
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Or there exists some  $z$  s.t

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**Property:** If there is no negative weight cycle, then for all  $x, y$ ,  $SP(x, y)$  is simple (that is, includes no cycles)

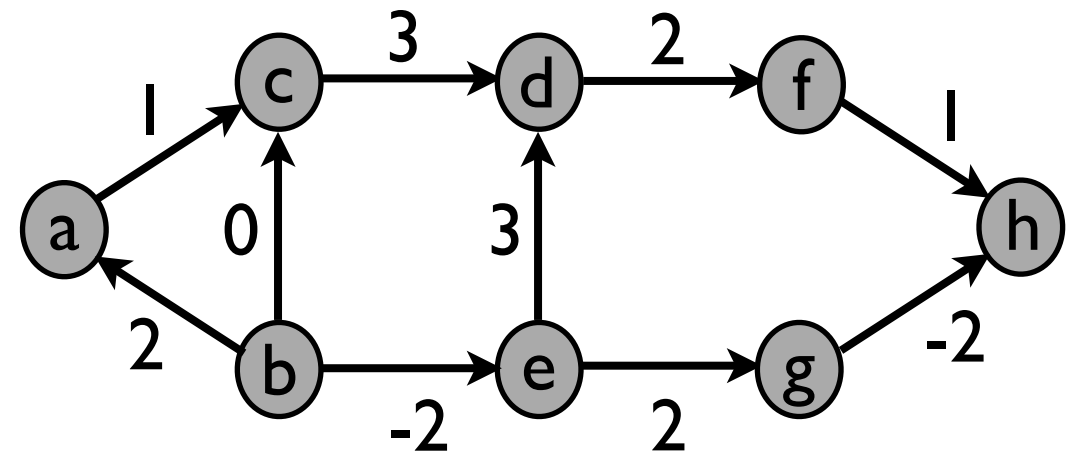


# All Pairs Shortest Paths

**Problem:** Given  $n$  nodes and distances  $d_{ij}$  (which could be negative, or 0, or positive) on all edges, find shortest path distances between all pairs of nodes.

## STEP I: Define Subtasks

$D(i,j,k)$  = length of shortest path from  $i$  to  $j$  with intermediate nodes in  $\{1,2,\dots,k\}$



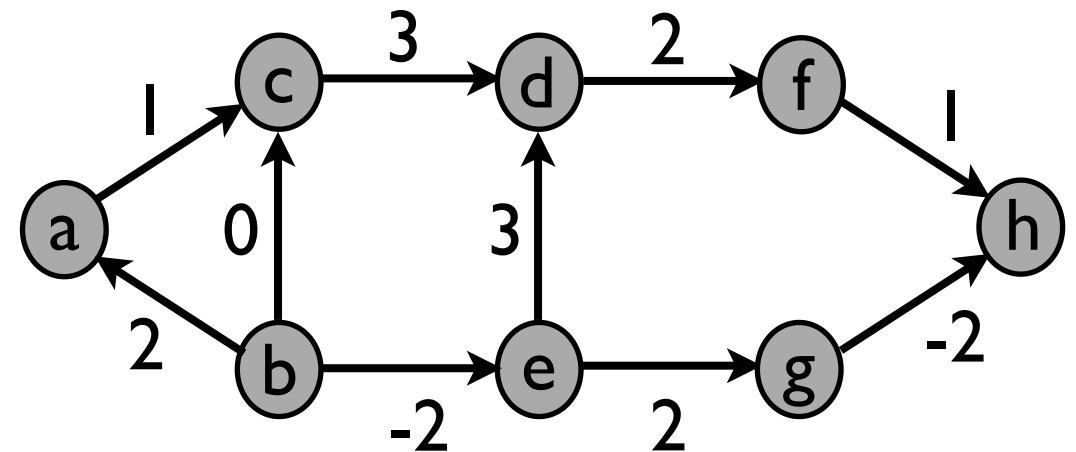
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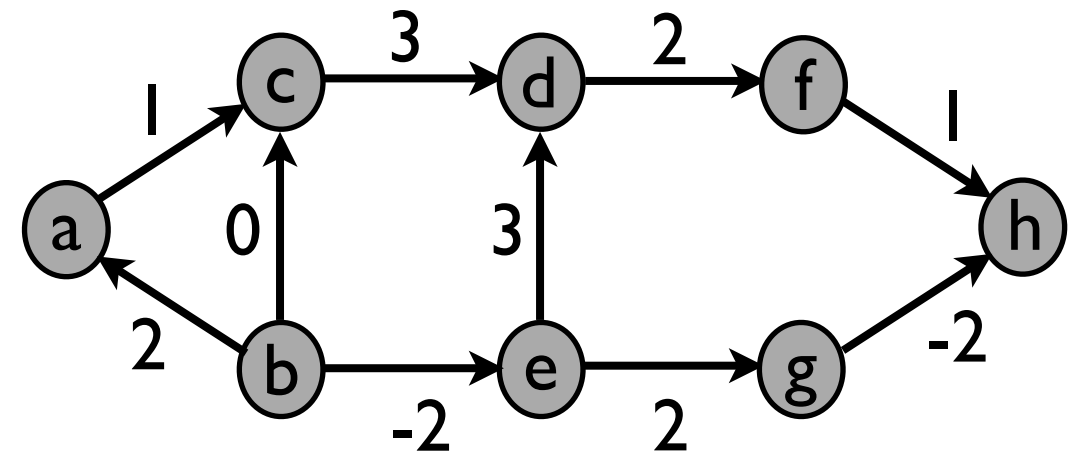
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## STEP 2: Express Recursively

$D(i,j,k) = \min\{D(i,j,k-1), D(i,k,k-1) + D(k,j,k-1)\}$

Base case:  $D(i,j,0) = d_{ij}$

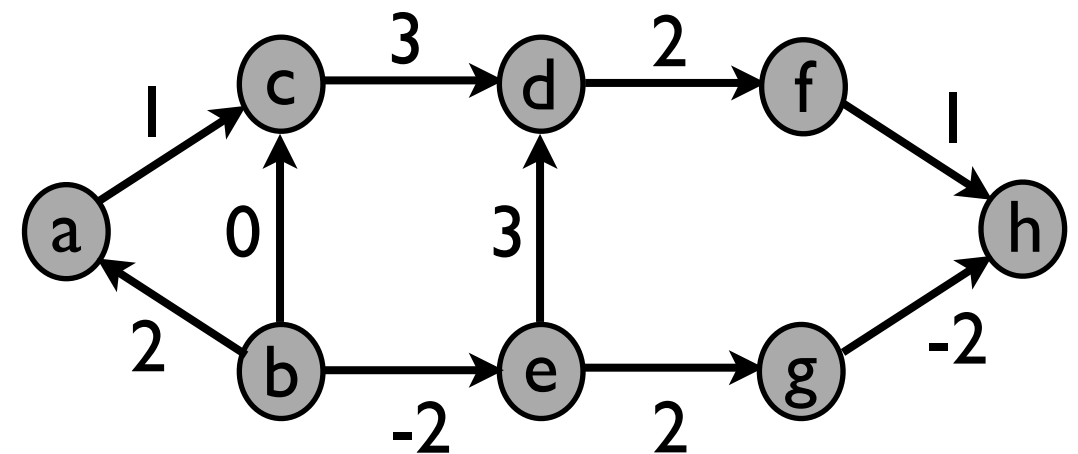
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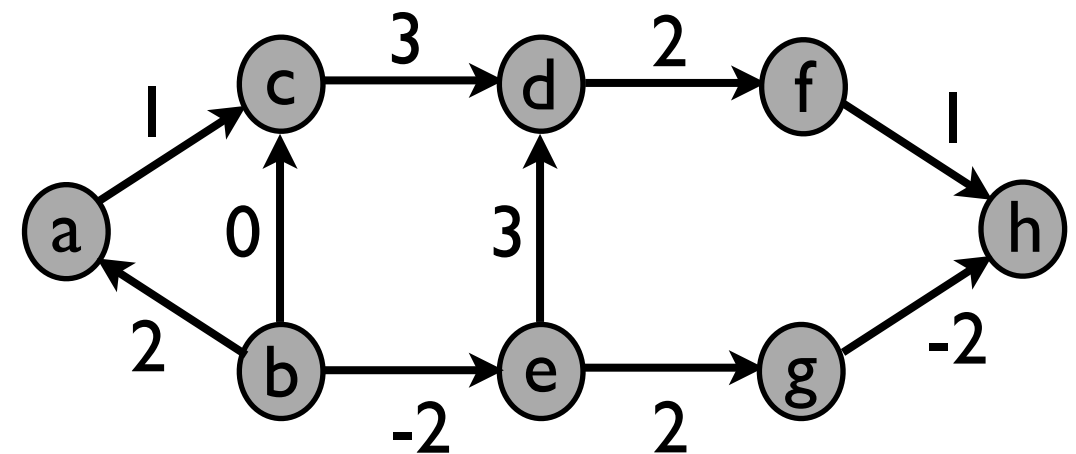
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**Running Time** =  $O(n^3)$

**Exercise:**

Reconstruct the shortest paths

# Summary: Dynamic Programming

## Main Steps:

1. Divide the problem into **subtasks**
2. Define the subtasks **recursively** (express larger subtasks in terms of smaller ones)
3. Find the **right order** for solving the subtasks (but do not solve them recursively!)

# Summary: Dynamic Programming vs Divide and Conquer

## Divide-and-conquer

A problem of size  $n$  is decomposed into a few subproblems which are significantly smaller (e.g.  $n/2$ ,  $3n/4$ ,...)

Therefore, size of subproblems decreases geometrically.

eg.  $n$ ,  $n/2$ ,  $n/4$ ,  $n/8$ , etc

Use a recursive algorithm.

## Dynamic programming

A problem of size  $n$  is expressed in terms of subproblems that are not much smaller (e.g.  $n-1$ ,  $n-2$ ,...)

A recursive algorithm would take exp. time.

Saving grace: in total, there are only polynomially many subproblems.

Avoid recursion and instead solve the subproblems one-by-one, saving the answers in a table, in a clever explicit order.

# Summary: Common Subtasks in DP

**Case 1:** Input:  $x_1, x_2, \dots, x_n$  Subproblem:  $x_1, \dots, x_i$ .

$x_1$   $x_2$   $x_3$   $x_4$   $x_5$   $x_6$   $x_7$   $x_8$   $x_9$   $x_{10}$

**Case 2:** Input:  $x_1, x_2, \dots, x_n$  and  $y_1, y_2, \dots, y_m$  Subproblem:  $x_1, \dots, x_i$  and  $y_1, y_2, \dots, y_j$

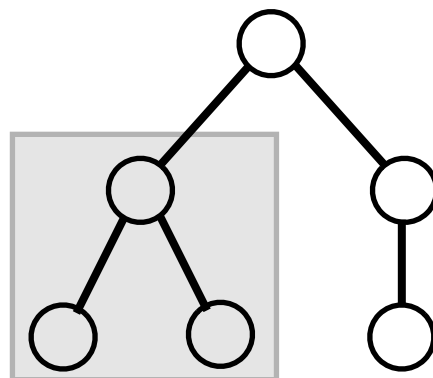
$x_1$   $x_2$   $x_3$   $x_4$   $x_5$   $x_6$   $x_7$   $x_8$   $x_9$   $x_{10}$

$y_1$   $y_2$   $y_3$   $y_4$   $y_5$   $y_6$   $y_7$   $y_8$

**Case 3:** Input:  $x_1, x_2, \dots, x_n$ . Subproblem:  $x_i, \dots, x_j$

$x_1$   $x_2$   $x_3$   $x_4$   $x_5$   $x_6$   $x_7$   $x_8$   $x_9$   $x_{10}$

**Case 4:** Input: a rooted tree. Subproblem: a subtree



**Next: Network Flow**

# Oil Through Pipelines

**Problem:** Given directed graph  $G=(V,E)$ , source  $s$ , sink  $t$ , edge capacities  $c(e)$ , how much oil can we ship from  $s$  to  $t$ ?

# Oil Through Pipelines

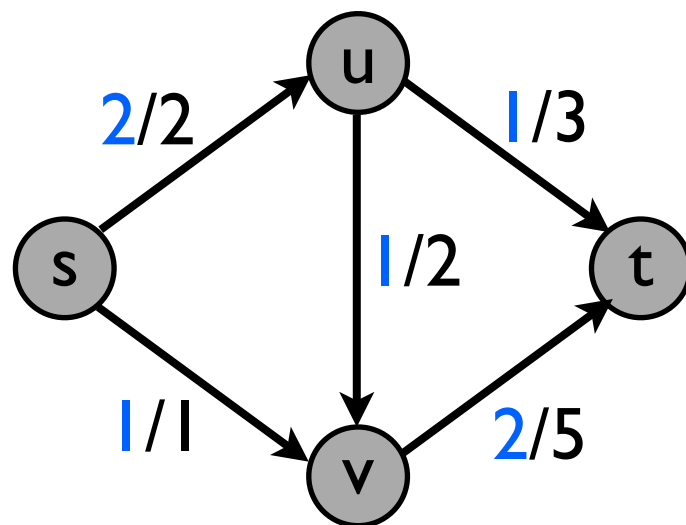
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An  $s$ - $t$  flow is a function:  $E \rightarrow \mathbb{R}$  such that:

- $0 \leq f(e) \leq c(e)$ , for all edges  $e$
- flow into node  $v$  = flow out of node  $v$ , for all nodes  $v$  except  $s$  and  $t$ ,

$$\sum_{e \text{ into } v} f(e) = \sum_{e \text{ out of } v} f(e)$$

Size of flow  $f$  = Total flow out of  $s$  = total flow into  $t$



Size of  $f$  = 3

# Oil Through Pipelines

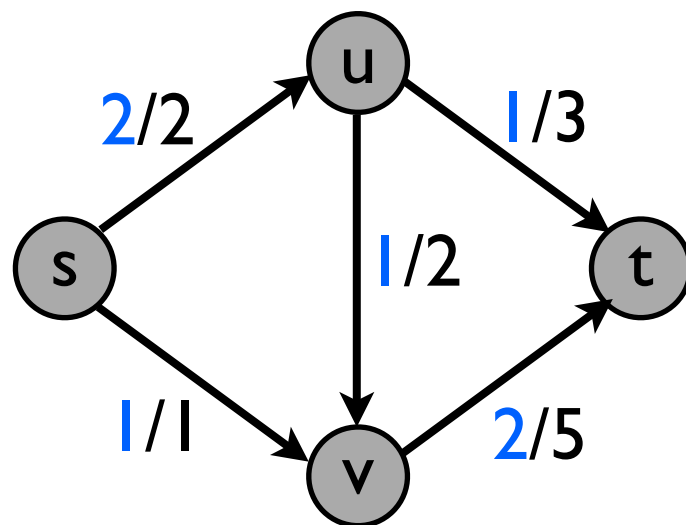
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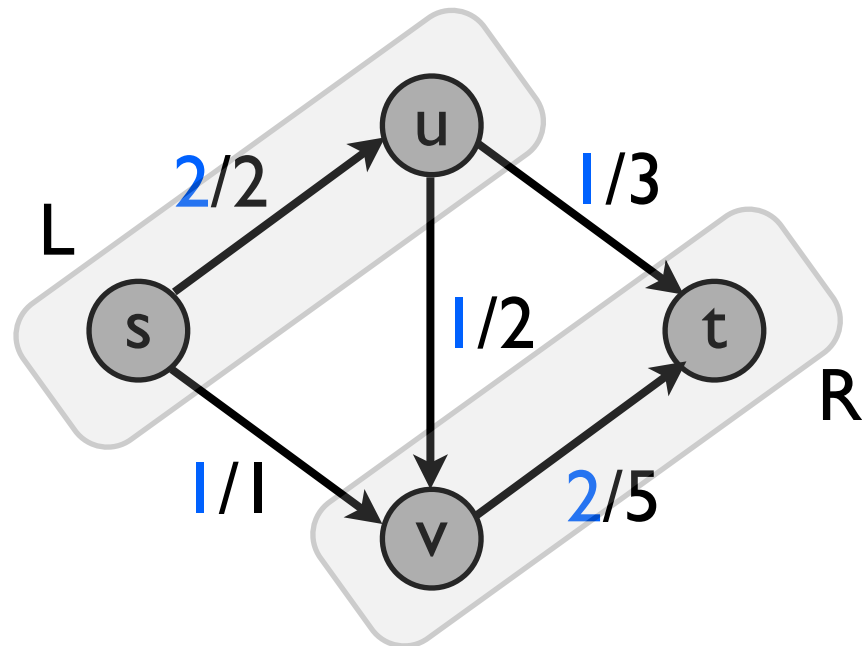
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**The Max Flow Problem:** Given directed graph  $G=(V,E)$ , source  $s$ , sink  $t$ , edge capacities  $c(e)$ , find an  $s$ - $t$  flow of maximum size



# Flows and Cuts

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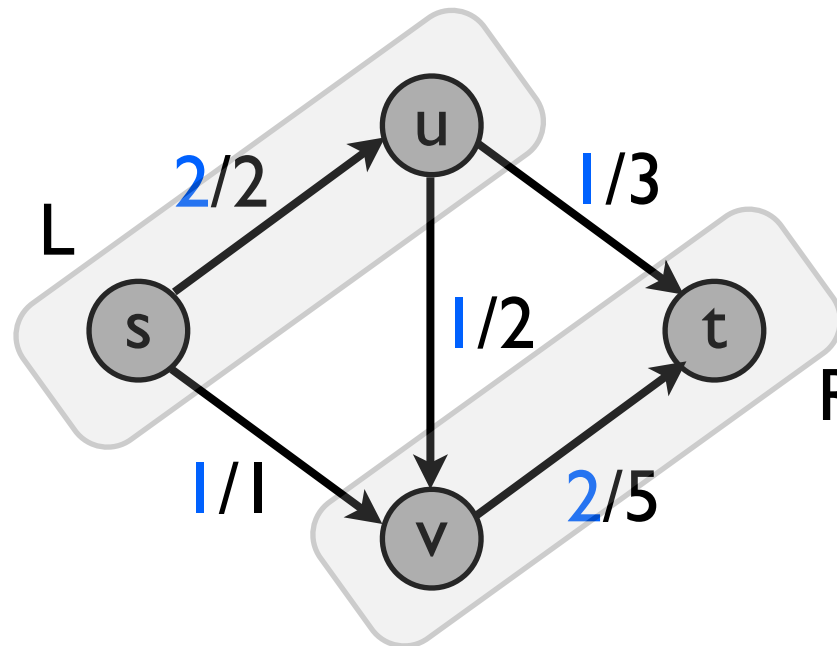


Size of  $f = 3$

An  **$s$ - $t$  Cut** partitions nodes into groups  $= (L, R)$   
s.t.  $s$  in  $L$ ,  $t$  in  $R$

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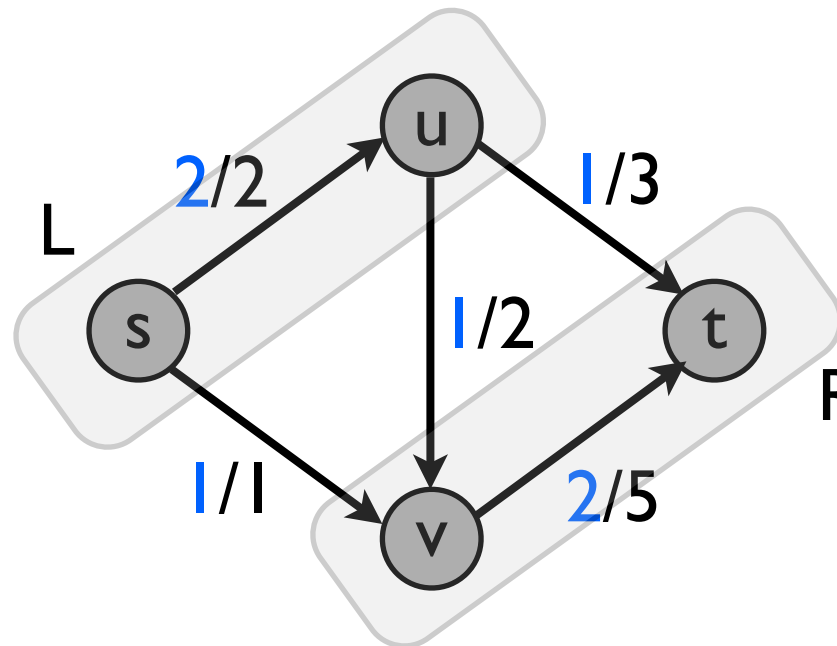
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Capacity of a cut  $(L, R) = \sum_{(u,v) \in E, u \in L, v \in R} c(u, v)$

Flow across  $(L, R) = \sum_{(u,v) \in E, u \in L, v \in R} f(u, v) - \sum_{(v,u) \in E, u \in L, v \in R} f(v, u)$

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**The Max Flow Problem:** Given directed graph  $G=(V,E)$ , source  $s$ , sink  $t$ , edge capacities  $c(e)$ , find an  $s$ - $t$  flow of maximum size



Size of  $f = 3$

An **s-t Cut** partitions nodes into groups  $= (L, R)$   
s.t.  $s$  in  $L$ ,  $t$  in  $R$

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**Property:** For any flow  $f$ , any  $s$ - $t$  cut  $(L, R)$ ,  $\text{size}(f) \leq \text{capacity}(L, R)$