

# *COMP 6651 / Winter 2022 - B. Jaumard*

## Lecture on Dynamic Programming

February 4<sup>th</sup>, 2022

# Outline

- 1 Dynamic Prog.
- 2 Assembly-Line Scheduling
- 3 Knapsack Problem
- 4 Shortest Paths
- 5 Longest Common Subseq.
- 6 Conclusions

# Dynamic Programming: Dependent Subproblems

- An algorithm design technique (like divide and conquer).
- Divide-and-conquer techniques, e.g., **quick-sort**.
  - Partition the problem into **independent** subproblems.
  - Solve the subproblems recursively.
  - Combine the solution to solve the original problem
- Dynamic programming applicable when subproblems are **not independent**, subproblems share information/subsubproblems.

In the context of dependent subproblems :

- A divide-and-conquer algorithm does **extra** work, **repeatedly** solving common subsubproblems,
- Dynamic programming algorithm solves every subsubproblem **just once** and then saves its answer in a table, avoiding extra work of recomputing when the subsubproblem is encountered.

# Dynamic Programming: Optimization Problems

- Dynamic Programming is applied to **optimization problems**.
- An optimization problem: an objective + a set of constraints.
- Many possible solutions: we wish to find a solution with the optimal value of the objective (max or min).
- **An** optimal solution as opposed to **the** optimal value: Several solutions can achieve the optimal value.

# Dynamic Programming: Four Steps

The development of a dynamic programming algorithm can be broken into a sequence of four steps:

- 1 Characterize the structure of an optimal solution.
- 2 Recursively define the value of an optimal solution.
- 3 Compute the value of an optimal solution in a bottom-up fashion.
- 4 Construct an optimal solution from computed information.

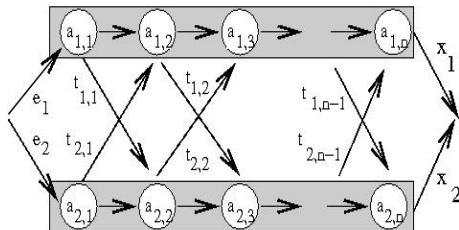
# Four Illustrations of Dynamic Programming

- Assembly-line scheduling
- Knapsack problem
- Shortest path problem
- Longest common subsequence

# Assembly-Line Scheduling Problem

## Definition of the Assembly-Line Scheduling Problem (1/3)

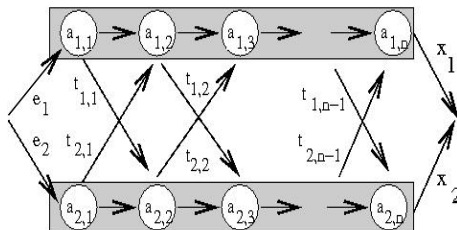
- Automobile factory with two assembly lines
  - Each line has  $n$  stations:  $S_{1,1}, \dots, S_{1,n}$  and  $S_{2,1}, \dots, S_{2,n}$
  - Corresponding stations  $S_{1,j}$  and  $S_{2,j}$  perform the same function but can take different amounts of time  $a_{1,j}$  and  $a_{2,j}$
  - Entry times  $e_1$  and  $e_2$  and exit times  $x_1$  and  $x_2$





## Definition of the Assembly-Line Scheduling Problem (2/3)

- After going through a station, can either:
  - stay on same line at **no cost**, or
  - transfer to other line: cost after  $S_{i,j}$  is  $t_{i,j}$ ,  $j = 1, \dots, n-1$

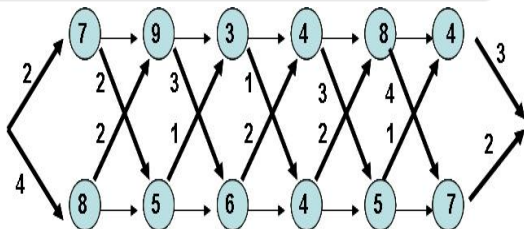


# The Assembly-Line Scheduling Problem

## Assembly-Line Scheduling Problem

Determine which stations to choose from line 1 and which to choose from line 2 in order to **minimize** the total time through the factory for one auto.

- Stations 1, 3 and 6 from line 1
- Stations 2, 4, and 5 from line 2
- Cost: 38

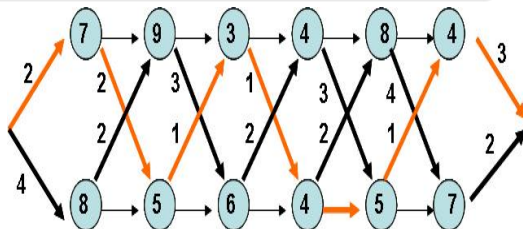


# The Assembly-Line Scheduling Problem

## Assembly-Line Scheduling Problem

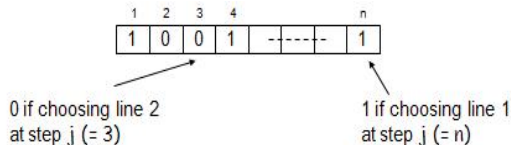
Determine which stations to choose from line 1 and which to choose from line 2 in order to **minimize** the total time through the factory for one auto.

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- Cost: 38



# Brute Force Approach

- Brute force
  - Enumerate all possibilities of selecting stations
  - Compute how long it takes in each case and choose the best one
- Problem



- There are  $2^n$  possible ways to choose stations
- Infeasible when  $n$  is large

# Dynamic Programming: Step 1 (1/2)

## Step 1 : Characterize the structure of an optimal solution

- Fastest possible way for a chassis to get from the starting point through  $S_{i,j}$ , (for  $j = 1$ ) only one way.
- For  $j = 2, \dots, n$  two choices;
  - $S_{1,j-1} \hookrightarrow S_{1,j}$  or
  - $S_{2,j-1} \hookrightarrow S_{1,j}$ , with a transfer time from line 2 to 1 of  $t_{2,j-1}$ .

# Dynamic Programming: Step 1 (2/2)

## Step 1 : Characterize the structure of an optimal solution

### Optimal substructure property:

An optimal solution to a problem (Finding the fastest way through station  $S_{i,j}$ ) contains within it an optimal solution to subproblems (finding the fastest way through either  $S_{1,j-1}$  or  $S_{2,j-1}$ ).

Thus the fastest way through station  $S_{1,j}$  is either:

- the fastest way through station  $S_{1,j-1}$  and then directly through station  $S_{1,j}$ , or
- the fastest way through station  $S_{2,j-1}$ , a transfer from line 2 to line 1, and then through station  $S_{1,j}$ .

Symmetric reasoning for the fastest way through station  $S_{2,j}$

# Dynamic Programming: Step 2 (1/4)

Step 2: Recursively define the value of an optimal solution in terms of the optimal solutions to subproblems.

- $f_i[j]$ : fastest possible time to get a chassis from the starting point through station  $S_{i,j}$
- $f^*$ : optimal value  $\hookrightarrow$  fastest time to get a chassis all the way through the factory.

$$f^* = \min\{f_1[n] + x_1, f_2[n] + x_2\}$$

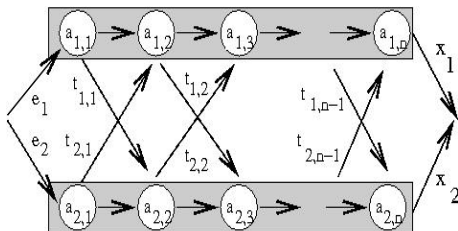
## Dynamic Programming: Step 2 (2/4)

- Chassis has to get all the way through station  $n$  on either line 1 or 2, and then to the factory exit. Since the fastest of these ways is the fastest way throughout the entire factory, we have

$$f^* = \min\{f_1[n] + x_1, f_2[n] + x_2\}$$

- Also easy to reason about  $f_1[1]$  and  $f_2[1]$ : To go through station 1 on either line, a chassis just goes directly to that station:

$$f_1[1] = e_1 + a_{1,1} \quad f_2[1] = e_2 + a_{2,1}$$

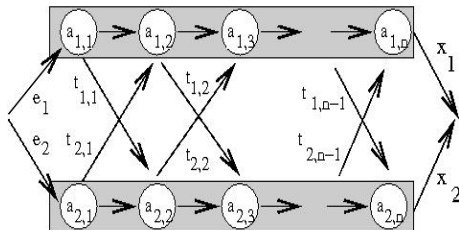




# Dynamic Programming: Step 2 (3/4)

$$f_1[j] = \begin{cases} e_1 + a_{1,1} & \text{if } j = 1, \\ \min\{f_1[j-1], f_2[j-1] + t_{2,j-1}\} + a_{1,j} & \text{if } j \geq 2. \end{cases}$$

$$f_2[j] = \begin{cases} e_2 + a_{2,1} & \text{if } j = 1, \\ \min\{f_2[j-1], f_1[j-1] + t_{1,j-1}\} + a_{2,j} & \text{if } j \geq 2. \end{cases}$$



## Dynamic Programming: Step 2 (4/4)

- $f_i[j]$ : values of the optimal solutions of the subproblems.
- In order to keep track of how the optimal solution is constructed:  $\ell_i[j]$  = line number, 1 or 2, whose **station**  $j - 1$  is used in a fastest way through station  $S_{i,j}$ .
- No need to define  $\ell_i[1]$ : no station precedes station 1 on either line.
- $\ell^*$ : line whose **station**  $n$  is used in a fastest way through the entire factory.

# Dynamic Programming: Step 3 (1/3)

## Step 3. Compute the value of an optimal solution in a bottom-up fashion

- We can compute the fastest way through the factory and the time it takes in  $\Theta(n)$  time, by computing  $f_i[j]$  in order of increasing stations  $j$
- The FASTEST-WAY procedure takes as input the values  $a_{i,j}$ ,  $t_{i,j}$ ,  $e_i$ , and  $x_i$ , as well as  $n$ , the number of stations in each assembly line.

# Dynamic Programming: Step 3 (2/3)

FastestWay( $a, t, e, x, n$ )

$f[1, 1] = e[1] + a[1, 1];$

$f[2, 1] = e[2] + a[2, 1];$

**for** ( $j = 2; j \leq n, j++$ )

**if** ( $f[1, j-1] + a[1, j] \leq f[2, j-1] + t[2, j-1] + a[1, j]$ )

$\{ f[1, j] \leftarrow f[1, j-1] + a[1, j]; \ell[1, j] \leftarrow 1; \}$

**else**  $\{ f[1, j] \leftarrow f[2, j-1] + t[2, j-1] + a[1, j]; \ell[1, j] \leftarrow 2; \}$  **(end elseif)**

**if** ( $f[2, j-1] + a[2, j] \leq f[1, j-1] + t[1, j-1] + a[2, j]$ )

$\{ f[2, j] \leftarrow f[2, j-1] + a[2, j]; \ell[2, j] \leftarrow 2; \}$

**else**  $\{ f[2, j] \leftarrow f[1, j-1] + t[1, j-1] + a[2, j]; \ell[2, j] \leftarrow 1; \}$  **(end elseif)**

**end for**

**if** ( $f[1, n] + x[1] \leq f[2, n] + x[2]$ )

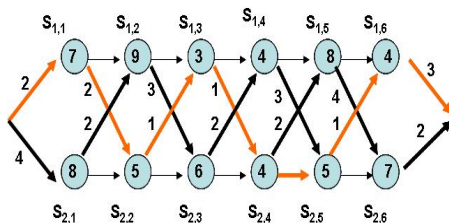
$\{ f^* \leftarrow f[1, n] + x[1]; \ell^* \leftarrow 1; \}$

**else**

$\{ f^* \leftarrow f[2, n] + x[2]; \ell^* \leftarrow 2; \}$

**end elseif**

# Dynamic Programming: Step 3 (3/3)



$j$	1	2	3	4	5	6
$f_1[j]$	9	18	20	24	32	35
$f_2[j]$	12	16	22	25	30	37

$$f^* = 38$$

$j$	2	3	4	5	6
$\ell_1[j]$	1	2	1	1	2
$\ell_2[j]$	1	2	1	2	2

$$\ell^* = 1$$

# Dynamic Programming: Step 4 (1/2)

**Step 4 : Construct an optimal solution from computer solution**, i.e., Constructing the fastest way through the factory. It is done from computed values  $f_i[j]$ ,  $f^*$ ,  $\ell_i[j]$ ,  $\ell^*$ .

PRINT-STATIONS( $l, l^*, n$ )

```

1   $i \leftarrow \ell^*$ 
2  print line " $i$ ", station " $n$ "
3  for  $j \leftarrow n$  downto 2
4      do  $i \leftarrow \ell_i[j]$ 
5      print line " $i$ ", station " $j - 1$ "

```

$j$	2	3	4	5	6
$\ell_1[j]$	1	2	1	1	2
$\ell_2[j]$	1	2	1	2	2

$$\ell^* = 1$$

line 1, station 6

line 2, station 5

line 2, station 4

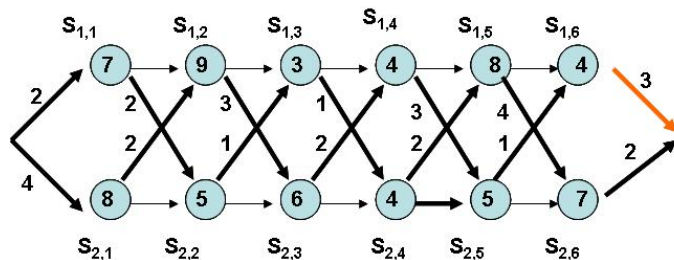
line 1, station 3

line 2, station 2

line 1, station 1

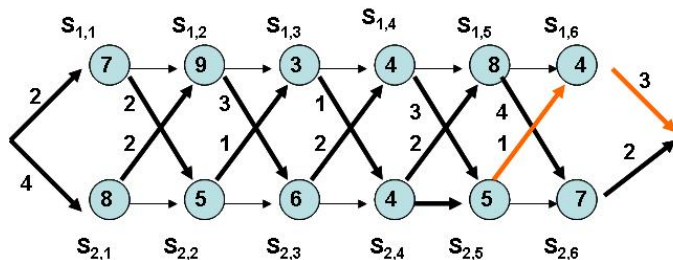
# Dynamic Programming: Step 4 (2/2)

$$\ell^* = 1$$



# Dynamic Programming: Step 4 (2/2)

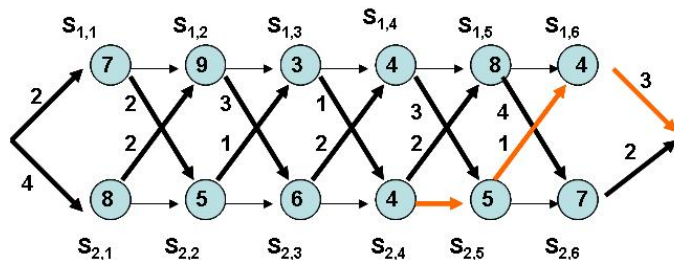
$$\ell_1[6] = 2$$





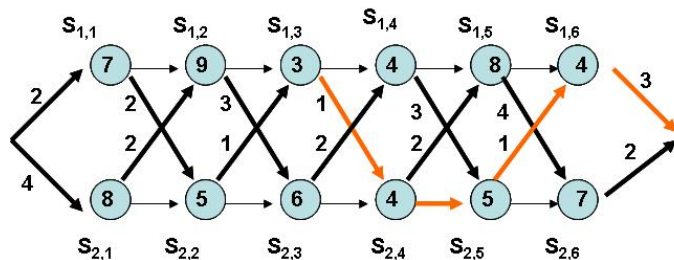
# Dynamic Programming: Step 4 (2/2)

$$\ell_2[5] = 2$$



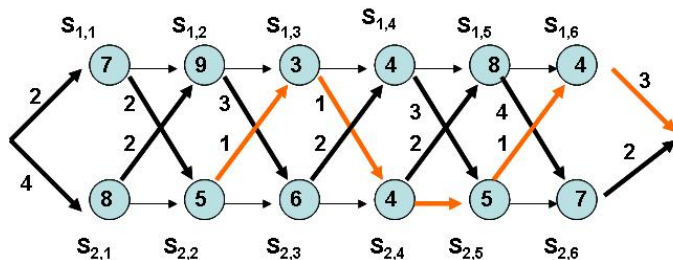
# Dynamic Programming: Step 4 (2/2)

$$\ell_2[4] = 1$$



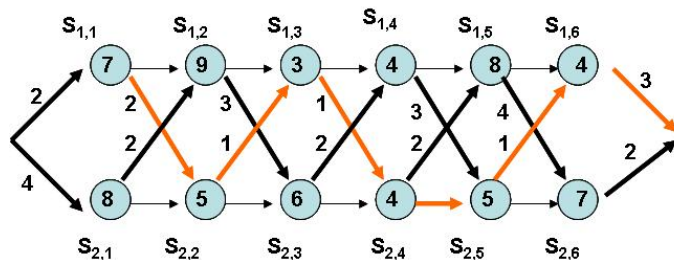
# Dynamic Programming: Step 4 (2/2)

$$\ell_1[3] = 2$$



# Dynamic Programming: Step 4 (2/2)

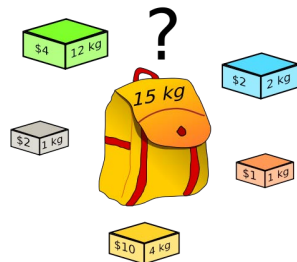
$$\ell_2[2] = 1$$



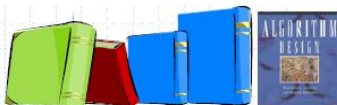
# Knapsack Problem

# The 0/1 Knapsack Problem (1/2)

- **Given:** A set  $S$  of  $n$  items, with each item  $i$  having
  - $w_i$  a positive weight
  - $b_i$  a positive benefit
- **Goal:** Choose items with maximum total benefit but with weight at most  $W$ .



Items:



	1	2	3	4	5
Weight:	4 in	2 in	2 in	6 in	2 in
Benefit:	\$20	\$3	\$6	\$25	\$80

"knapsack"



box of width 9 in

Solution:

- item 5 (\$80, 2 in)
- item 3 (\$6, 2 in)
- item 1 (\$20, 4 in)

# The 0/1 Knapsack Problem (2/2)

- **Given:** A set  $S$  of  $n$  items, with each item  $i$  having
  - $w_i$  a positive weight
  - $b_i$  a positive benefit
- **Goal:** Choose items with maximum total benefit but with weight at most  $W$ .
- Well known problem, usually expressed as a mathematical program
  - **Objective:** maximize  $\sum_{i \in T} b_i x_i$
  - **Constraint:**  $\sum_{i \in T} w_i x_i \leq W$ 
    - $T$ : index set of the selected items
    - $x_i \in \{0, 1\}, i \in T$  (decision variables)
- If we are **not** allowed to take fractional amounts, then this is the **0/1 knapsack problem**.

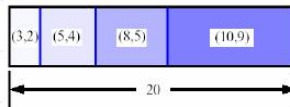
# Solving the 0/1 Knapsack Problem: First Attempt

- $S_k$ : Set of items numbered 1 to  $k$ .
- Define  $B[k]$  = best selection from  $S_k$ .
- **Difficulty**: does not have subproblem optimality:
  - Consider set  $S = \{(3, 2), (5, 4), (8, 5), (4, 3), (10, 9)\}$  of (benefit, weight) pairs and total weight  $W = 20$

Best for  $S_4$ :



Best for  $S_5$ :





# Solving the 0/1 Knapsack Problem: **Second Attempt**

- $S_k$ : Set of items numbered 1 to  $k$ .
- Define  $B[k, w]$  to be the value of the best selection from  $S_k$  with weight at most  $w$
- **Good news**:  $B[k, w]$  has the subproblem optimality.

$$B[k, w] = \begin{cases} B[k-1, w] & \text{if } w_k > w \\ \max\{B[k-1, w], B[k-1, w - w_k] + b_k\} & \text{otherwise.} \end{cases}$$

i.e., the best subset of  $S_k$  with weight at most  $w$  is either

- the best subset of  $S_{k-1}$  with weight at most  $w$  or
- the best subset of  $S_{k-1}$  with weight at most  $w - w_k$  plus item  $k$

## Solving the 0/1 Knapsack Problem using Dynamic Programming (1/2)

$$B[k, w] = \begin{cases} B[k-1, w] & \text{if } w_k > w \\ \max\{B[k-1, w], B[k-1, w - w_k] + b_k\} & \text{otherwise.} \end{cases}$$

- Running time:  $O(nW)$ .
- Not a polynomial-time algorithm since  $W$  may be large
- This is a **pseudo-polynomial** (running time is polynomial in the numerical value of the input, but exponential in the length of the input) time algorithm:

## Solving the 0/1 Knapsack Problem using Dynamic Programming (2/2)

$$B[k, w] = \begin{cases} B[k-1, w] & \text{if } w_k > w \\ \max\{B[k-1, w], B[k-1, w - w_k] + b_k\} & \text{otherwise.} \end{cases}$$

$B[k, w]$  is defined in terms of  $B[k-1, *]$

↪ use of **only two one-dimensional arrays** of length  $W + 1$ .

For each  $k$ ,  $A$  stores  $B[k-1, *]$  values and  $A+$  the  $B[k, *]$  ones.

- **Input:** Set  $S$  of  $n$  items with benefit  $b_i$  and weight  $w_i$ ; maximum weight  $W$
- **Output:** Benefit of best subset of  $S$  with weight at most  $W$

**Space requirement:**  $O(W)$

### Knapsack Problem

```

for  $w \leftarrow 0$  to  $W$  do
     $A^+[w] \leftarrow 0$ 
for  $k \leftarrow 1$  to  $n$  do
    copy array  $A^+$  into array  $A$ 
    for  $w \leftarrow w_k$  to  $W$  do
        if  $A[w - w_k] + b_k > A[w]$ 
        then
             $A^+[w] \leftarrow A[w - w_k] + b_k$ 
return  $A^+[w]$ 
  
```

# 0-1 Knapsack: An Example (1/7)

- 4 items
- Benefit values
  - $b_1 = 3$  ;  $b_2 = 4$  ;  $b_3 = 5$  ;  $b_4 = 6$
- Weight values
  - $w_1 = 2$  ;  $w_2 = 3$  ;  $w_3 = 4$  ;  $w_4 = 5$
- Objective: maximize the benefit while not exceeding the knapsack capacity ( $W = 5$ )

# 0-1 Knapsack: An Example (2/7)

k/w	0	1	2	3	4	5
0	0	0	0	0	0	0
1						
2						
3						
4						

**Base case:** for  $w = 0$  to  $W$ :  $B[0, w] = 0$

# 0-1 Knapsack: An Example (3/7)

k/w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0					
2	0					
3	0					
4	0					

**Base case:** for  $w = 0$  to  $W$ :  $B[0, w] = 0$   
 for  $i = 1$  to  $n$ :  $B[i, 0] = 0$

# 0-1 Knapsack: An Example (4/7)

k/w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
Pointers		(0,1)	(0,0)	(0,1)	(0,2)	(0,3)
2	0					
3	0					
4	0					

$$B[1, w] = \begin{cases} B[0, w] = 0 & \text{if } w_1 = 2 > w \rightsquigarrow w \leq 1 \\ \max \{ B[0, w], B[0, w - w_1] + c_1 \} & \\ = B[0, w - 2] + 3 & \text{if } w \geq 2 \end{cases}$$

# 0-1 Knapsack: An Example (5/7)

k/w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
Pointers		(0,1)	(0,0)	(0,1)	(0,2)	(0,3)
2	0	0	3	4	4	7
Pointers		(1,1)	(1,2)	(1,0)	(1,1)	(1,2)
3	0					
4	0					

$B[2, w] =$

$$\begin{cases}
 B[1, w] & \text{if } w_2 = 3 > w \rightsquigarrow w \leq 2 \\
 \max\{B[1, w - w_2] + c_2, B[1, w]\} \\
 \quad = \max\{B[1, w - 3] + 4, B[1, w]\} \\
 \quad = 4 & \text{if } w = 3 \text{ or } 4 \\
 \quad = 7 & \text{if } w \geq 5
 \end{cases}$$



# 0-1 Knapsack: An Example (6/7)

k/w	0	1	2	3	4	5
0	0	← 0	0	0	0	0
1	0	0	↖ 3	← 3	← 3	3
2	0	0	3	4	4	↖ 7
3	0	0	3	4	5	7
4	0	0	3	4	5	7

Optimal Value: 7

How to get the optimal solution: Use the pointers ...

# 0-1 Knapsack: An Example (7/7)

k/w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	7

Take item #1

Take item #2

Do not take item #3

Do not take item #4

Optimal Value: 7

Optimal solution: (1,1,0,0)

How to retrieve the optimal vector? Use the pointers (not represented here). Start with  $B(4, 5)$ . It was obtained using value  $B(3, 5)$ :

$$B(4, 5) = \max \left\{ \underbrace{B(3, 5)}_{\text{Do not take item \#4}}, \underbrace{B(2, 5 - w_4) + c_4}_{\text{Take item \$4}} \right\} = B(3, 5),$$

which is turn was obtained using value  $B(2, 5)$ . And so on.

# Shortest Path(s)

# Dijkstra's Algorithm

- Dijkstra's algorithm finds shortest paths along certain types of graphs (acyclic directed graphs).
- It also belongs to the Dynamic Programming family, and, as such, its logic rests within the optimality criterion;
- Solve problems efficiently by overlapping subproblems and optimal substructure.

# Dijkstra's Algorithm (from Lecture on Graph Algorithms)

- $S$ : set of vertices whose final shortest-path weights from the source  $s$  have already been determined
- Algorithm selects the vertex  $u \in V \setminus S$  with the shortest-path estimate, adds  $u$  to  $S$ , and relaxes all edges leaving  $u$ .
- Use a min-priority queue  $Q$  of vertices in  $V \setminus S$ , keyed by their  $d$  values.

## Dijkstra, p.595 Cormen

```

1  Initialize-Single-Source( $G, s$ )
2   $S \leftarrow \emptyset$ 
3   $Q \leftarrow V$ 
4  while  $Q \neq \emptyset$ 
5      do  $u \leftarrow \text{Extract-Min}(Q)$ 
6           $S \leftarrow S \cup \{u\}$ 
7          for each vertex  $v \in \text{Adj}[u]$ 
8              do Relax( $u, v, w$ )
  
```

## Dijkstra's Algorithm: A Dynamic Programming Approach

- The algorithm works from a source ( $s$ ) by computing for each vertex  $v$  pertaining to  $V$  the cost  $d[v]$  of the shortest path found so far between  $s$  and  $v$ .
- Initially,
  - $d[s] = 0$  for the source,
  - $d[v] = +\infty$  for every  $v$  in  $V \setminus \{s\}$ .
- When the algorithm finishes,  $d[v]$  is the cost of the shortest path from  $s$  to  $v$  (or infinity, if no path exists).

## Dijkstra's Algorithm: A Dynamic Programming Approach - Cont'd

### Initialization:

$d[s] = 0$  for the source node

$d[v] = +\infty$  for every  $v$  in  $V \setminus \{s\}$

$Q$  (priority queue)  $\leftarrow V$

$u \leftarrow s$

### Iteration:

While ( $|Q| > 1$  and  $d[u] < +\infty$ ) Do:

Update  $Q$ :  $Q \leftarrow Q \setminus \{u\}$

Update  $d[v]$  for  $v \in \omega^+(u) \cap Q$ :

$d[v] \leftarrow \min\{d[v], d[u] + \text{DIST}(u, v)\}$

Update  $u$ :  $u \leftarrow \arg \min_{v \in Q} d[v]$

# Longest Common Subsequence

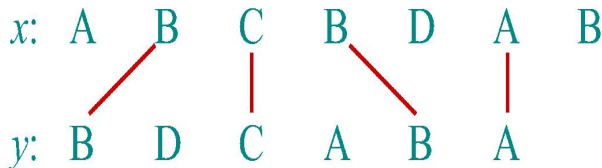


# Dynamic Programming

*Design technique, like divide-and-conquer.*

## Example: Longest Common Subsequence (LCS)

- Given two sequences  $x[1, 2, \dots, m]$  and  $y[1, 2, \dots, n]$ , find a longest subsequence common to both of them.
- “a” LCS, not “the” LCS
- $LCS(x, y) = BCBA$





# Brute-force LCS algorithm

Check every subsequence of  $x[1, 2, \dots, m]$  to see if it is also a subsequence of  $y[1, 2, \dots, n]$ .

## Analysis

- Checking =  $O(n)$  time per subsequence.
- $2^m$  subsequences of  $x$  (each bit-vector of length  $m$  determines a distinct subsequence of  $x$ ).

Worst-case running time =  $O(n2^m)$  = exponential time.

# Towards a better algorithm

## Simplification:

1. Look at the **length** of a longest-common subsequence.
2. Extend the algorithm to find the LCS itself.

**Notation:** Denote the length of a sequence  $\mathbf{s}$  by  $|\mathbf{s}|$ .

**Strategy:** Consider prefixes of  $\mathbf{x}$  and  $\mathbf{y}$ .

- Define  $\mathbf{c}[i, j] = |\text{LCS}(\mathbf{x}[1, 2, \dots, i], \mathbf{y}[1, 2, \dots, j])|$ .
- Then,  $\mathbf{c}[m, n] = |\text{LCS}(\mathbf{x}, \mathbf{y})|$ .

# Recursive Algorithm for LCS

$\text{LCS}(x, y, i, j)$

**if**  $x[i] = y[j]$

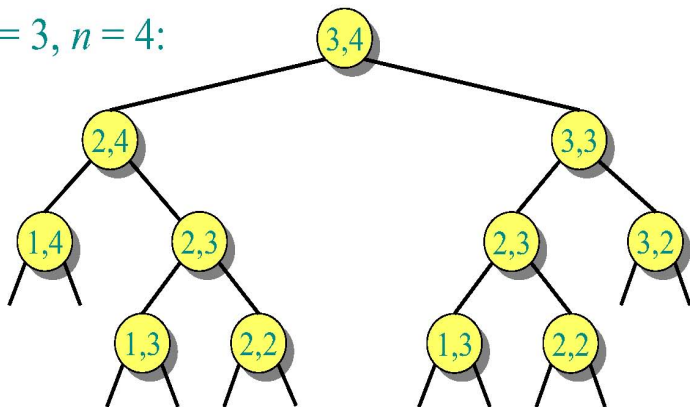
**then**  $c[i, j] \leftarrow \text{LCS}(x, y, i - 1, j - 1) + 1$

**else**  $c[i, j] \leftarrow \max \{ \text{LCS}(x, y, i - 1, j), \text{LCS}(x, y, i, j - 1) \}$

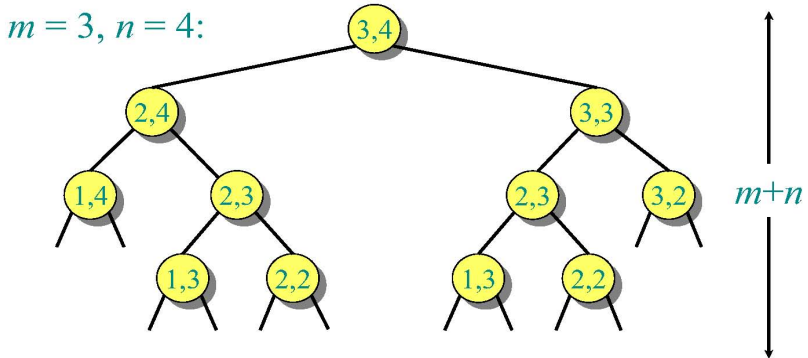
**Worst Case:**  $x[i] \neq y[j]$ , in which case, the algorithm evaluates two subproblems, each with only one parameter decremented.

# Recursion Tree

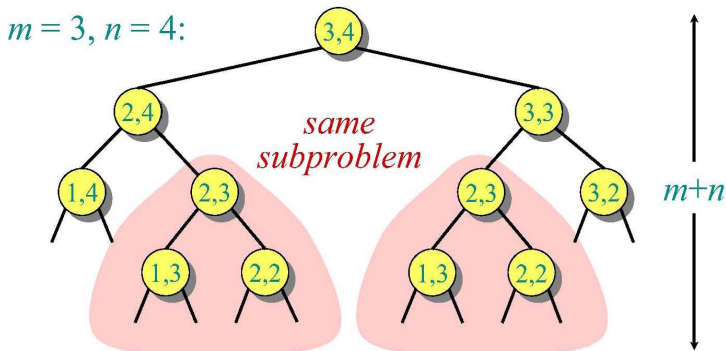
$m = 3, n = 4$ :



# Recursion Tree



# Recursion Tree



Height =  $m + n \Rightarrow$  work potentially exponential,  
but we're solving subproblems already solved!



## Dynamic Programming Hallmark #2

### ***Overlapping subproblems***

*A recursive solution contains a “small” number of distinct subproblems repeated many times.*

The number of distinct LCS subproblems for two strings of lengths  $m$  and  $n$  is only  $mn$ .

# Memoization

- The term **memoization** was coined by Donald Michie in 1968 and is derived from the Latin word memorandum (to be remembered), and thus carries the meaning of turning [the results of] a function into something to be remembered.
- A memoized function "remembers" the results corresponding to some set of specific inputs. **Subsequent calls with remembered inputs return the remembered result rather than recalculating it**, thus eliminating the primary cost of a call with given parameters from all but the first call made to the function with those parameters.

# Memoization Algorithm

***Memoization:*** After computing a solution to a subproblem, store it in a table. Subsequent calls check the table to avoid redoing work.

$\text{LCS}(x, y, i, j)$

if  $c[i, j] = \text{NIL}$

then if  $x[i] = y[j]$

then  $c[i, j] \leftarrow \text{LCS}(x, y, i-1, j-1) + 1$

else  $c[i, j] \leftarrow \max \{ \text{LCS}(x, y, i-1, j), \text{LCS}(x, y, i, j-1) \}$

*same  
as  
before*

Time =  $\Theta(mn)$  = constant work per table entry.

Space =  $\Theta(mn)$ .

# Dynamic Programming Algorithm

## IDEA:

Compute the  
table bottom-up.

		A	B	C	B	D	A	B
B	0	0	0	0	0	0	0	0
D	0	0	1	1	1	1	1	1
C	0	0	1	2	2	2	2	2
A	0	1	1	2	2	2	3	3
B	0	1	2	2	3	3	3	4
A	0	1	2	2	3	3	4	4

# Dynamic Programming Algorithm

## IDEA:

Compute the  
table bottom-up.

Time =  $\Theta(mn)$ .

		A	B	C	B	D	A	B
B	0	0	0	0	0	0	0	0
D	0	0	1	1	1	1	1	1
C	0	0	1	2	2	2	2	2
A	0	1	1	2	2	2	3	3
B	0	1	2	2	3	3	3	4
A	0	1	2	2	3	3	4	4

# Dynamic Programming Algorithm

## IDEA:

Compute the table bottom-up.

Time =  $\Theta(mn)$ .

Reconstruct LCS by tracing backwards.

		A	B	C	B	D	A	B
B	0	0	0	0	0	0	0	0
D	0	0	1	1	1	2	2	2
C	0	0	1	2	2	2	2	2
A	0	1	1	2	2	2	3	3
B	0	1	2	2	3	3	3	4
A	0	1	2	2	3	3	4	4

# Dynamic Programming Algorithm

## IDEA:

Compute the table bottom-up.

Time =  $\Theta(mn)$ .

Reconstruct LCS by tracing backwards.

Space =  $\Theta(mn)$ .

## Exercise:

$O(\min\{m, n\})$ .

	A	B	C	B	D	A	B
B	0	0	0	0	0	0	0
D	0	0	1	1	1	2	2
C	0	0	1	2	2	2	2
A	0	1	1	2	2	3	3
B	0	1	2	2	3	3	4
A	0	1	2	2	3	4	4

# Another Example (with all the pointers)

Output: priden

$j/i$		0	1	2	3	4	5	6	7	8	9	10
			p	r	o	v	i	d	e	n	c	e
0		0	0	0	0	0	0	0	0	0	0	0
1	p	0	↖ 1	← 1	← 1	← 1	← 1	← 1	← 1	← 1	← 1	← 1
2	r	0	↑ 1	↖ 2	← 2	← 2	← 2	← 2	← 2	← 2	← 2	← 2
3	e	0	↑ 1	↑ 2	↑ 2	↑ 2	↑ 2	↑ 2	↘ 3	← 3	← 3	↘ 3
4	s	0	↑ 1	↑ 2	↑ 2	↑ 2	↑ 2	↑ 2	↑ 3	↑ 3	↑ 3	↑ 3
5	i	0	↑ 1	↑ 2	↑ 2	↑ 2	↖ 3	← 3	↑ 3	↑ 3	↑ 3	↑ 3
6	d	0	↑ 1	↑ 2	↑ 2	↑ 2	↑ 3	↑ 4	← 4	← 4	← 4	← 4
7	e	0	↑ 1	↑ 2	↑ 2	↑ 2	↑ 3	↑ 4	↖ 5	← 5	← 5	↘ 5
8	n	0	↑ 1	↑ 2	↑ 2	↑ 2	↑ 3	↑ 4	↑ 5	↖ 6	← 6	← 6
9	t	0	↑ 1	↑ 2	↑ 2	↑ 2	↑ 3	↑ 4	↑ 5	↑ 6	↑ 6	↑ 6



# Other sequence questions

**Edit distance:** Given 2 sequences,  $X = \langle x_1, \dots, x_m \rangle$  and  $Y = \langle y_1, \dots, y_n \rangle$ , what is the minimum number of deletions, insertions, and changes that you must do to change one to another?

**Protein sequence alignment:** Given a score matrix on amino acid pairs, and 2 amino acid sequences,  $X = \langle x_1, \dots, x_m \rangle$  and  $Y = \langle y_1, \dots, y_n \rangle$ , find the alignment with lowest score

# Conclusions on the Dynamic Programming Technique

Applies to a problem that at first seems to require a lot of time (possibly exponential), provided we have:

- **Simple subproblems**: the subproblems can be defined in terms of a few variables, such as  $j$ ,  $k$ ,  $l$ ,  $m$ , and so on.
- **Subproblem optimality**: the global optimum value can be defined in terms of optimal subproblems
- **Subproblem overlap**: the subproblems are not independent, but instead they overlap (hence, should be constructed bottom-up).

# References

- **Assembly-line scheduling & Longest common subsequence**
  - T.H. Cormen, C.E. Leiserson, R.L. Rivest, and C. Stein, *Introduction to Algorithms*, Second Edition, 2001, Chapter 15, Sections 15.1 and 15.4.
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  - U. Manber, *Introduction to Algorithms - A Creative Approach*, Addison-Wesley, 1989, Chapter 5, Section 5.10.
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  - M.T. Goodrich and R. Tamassia, *Algorithm Design and Applications*, Wiley, 2015, Chapter 12, Sections 12.5 and 12.6.