## GENERATIVE MODEL-ENHANCED HUMAN MOTION PREDICTION

Anthony Bourached, Ryan-Rhys Griffiths, Robert Gray, Ashwani Jha, Parashkev Nachev The task of predicting human motion is complicated by the natural heterogeneity and compositionality of actions, necessitating robustness to distributional shifts as far as out-of-distribution (OoD). Here we formulate a new OoD benchmark based on the Human3.6M and CMU motion capture datasets, and introduce a hy-brid framework for hardening discriminative architectures to OoD failure by aug-menting them with a generative model. When applied to current state-of-the-art discriminative models, we show that the proposed approach improves OoD ro-bustness without sacrificing in-distribution performance, and can theoretically facilitate model interpretability. We suggest human motion predictors ought to be constructed with OoD challenges in mind, and provide an extensible general framework for hard-ening diverse discriminative architectures to extreme distributional shift

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Net-DNF: Effective Deep Modeling of Tabular Data Liran Katzir, Gal Elidan, Ran El-Yaniv

A challenging open question in deep learning is how to handle tabular data. Unlike domains such as image and natural language processing, where deep architectures prevail, there is still no widely accepted neural architecture that dominates tabular data. As a step toward bridging this gap, we present Net-DNF a novel generic architecture whose inductive bias elicits models whose structure corresponds to logical Boolean formulas in disjunctive normal form (DNF) over affine soft-threshold decision terms. Net-DNFs also promote localized decisions that are taken over small subsets of the features. We present an extensive experiments showing that Net-DNFs significantly and consistently outperform fully connected networks over tabular data. With relatively few hyperparameters, Net-DNFs open the door to practical end-to-end handling of tabular data using neural networks. We present ablation studies, which justify the design choices of Net-DNF including the inductive bias elements, namely, Boolean formulation, locality, and feature selection.

Predicting Inductive Biases of Pre-Trained Models Charles Lovering, Rohan Jha, Tal Linzen, Ellie Pavlick

Most current NLP systems are based on a pre-train-then-fine-tune paradigm, in wh ich a large neural network is first trained in a self-supervised way designed to encourage the network to extract broadly-useful linguistic features, and then f ine-tuned for a specific task of interest. Recent work attempts to understand wh y this recipe works and explain when it fails. Currently, such analyses have pro duced two sets of apparently-contradictory results. Work that analyzes the repre sentations that result from pre-training (via "probing classifiers") finds evide nce that rich features of linguistic structure can be decoded with high accuracy , but work that analyzes model behavior after fine-tuning (via "challenge sets") indicates that decisions are often not based on such structure but rather on sp urious heuristics specific to the training set. In this work, we test the hypoth esis that the extent to which a feature influences a model's decisions can be pr edicted using a combination of two factors: The feature's "extractability" after pre-training (measured using information-theoretic probing techniques), and the "evidence" available during fine-tuning (defined as the feature's co-occurrence rate with the label). In experiments with both synthetic and natural language d ata, we find strong evidence (statistically significant correlations) supporting this hypothesis.

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FMix: Enhancing Mixed Sample Data Augmentation

Ethan Harris, Antonia Marcu, Matthew Painter, Mahesan Niranjan, Adam Prugel-Bennett, Jonathon Hare

Mixed Sample Data Augmentation (MSDA) has received increasing attention in recent years, with many successful variants such as MixUp and CutMix. We analyse MSDA from an information theoretic perspective, characterising learned models in ter

ms of how they impact the models' perception of the data. Ultimately, our analy ses allow us to decouple two complementary properties of augmentations that are useful for reasoning about MSDA. From insight on the efficacy of CutMix in particular, we subsequently propose FMix, an MSDA that uses binary masks obtained by applying a threshold to low frequency images sampled from Fourier space. FMix i mproves performance over MixUp and CutMix for a number of models across a range of data sets and problem settings, obtaining new state-of-the-art results on CI FAR-10 and Fashion-MNIST.

A Theory of Self-Supervised Framework for Few-Shot Learning

Zhong Cao, Jiang Lu, Jian Liang, Changshui Zhang

Recently, self-supervised learning (SSL) algorithms have been applied to Few-sho t learning(FSL). FSL aims at distilling transferable knowledge on existing class es with large-scale labeled data to cope with novel classes for which only a few labeled data are available. Due to the limited number of novel classes, the initial embedding network becomes an essential component and can largely affect the performance in practice. But almost no one analyzes why a pre-trained embedding network with self-supervised training can provide representation for downstream FSL tasks in theory. In this paper, we first summarized the supervised FSL methods and explained why SSL is suitable for FSL. Then we further analyzed the main difference between supervised training and self-supervised training on FSL and obtained the bound for the gap between self-supervised loss and supervised loss. Finally, we proposed potential ways to improve the test accuracy under the setting of self-supervised FSL.

Optimism in Reinforcement Learning with Generalized Linear Function Approximation

Yining Wang, Ruosong Wang, Simon Shaolei Du, Akshay Krishnamurthy

We design a new provably efficient algorithm for episodic reinforcement learning with generalized linear function approximation. We analyze the algorithm under a new expressivity assumption that we call `optimistic closure,'' which is strictly weaker than assumptions from prior analyses for the linear setting. With optimistic closure, we prove that our algorithm enjoys a regret bound of  $\$  widetil  $de\{0\}\left(H\left(H\left(d^3 T\right)\right)\$  where \$H\$ is the horizon, \$d\$ is the dimensionality of the state-action features and \$T\$ is the number of episodes. This is the first statistically and computationally efficient algorithm for reinforcement learning with generalized linear functions.

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Empirical Frequentist Coverage of Deep Learning Uncertainty Quantification Procedures

Benjamin Kompa, Jasper Snoek, Andrew Beam

Uncertainty quantification for complex deep learning models is increasingly important as these techniques see growing use in high-stakes, real-world settings. Currently, the quality of a model's uncertainty is evaluated using point-prediction metrics such as negative log-likelihood or the Brier score on heldout data. In this study, we provide the first large scale evaluation of the empirical frequentist coverage properties of well known uncertainty quantification techniques on a suite of regression and classification tasks. We find that, in general, some methods do achieve desirable coverage properties on \emph{in distribution} samples, but that coverage is not maintained on out-of-distribution data. Our result seemonstrate the failings of current uncertainty quantification techniques as dataset shift increases and establish coverage as an important metric in developing models for real-world applications.

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Deep Reinforcement Learning For Wireless Scheduling with Multiclass Services Apostolos Avranas, Marios Kountouris, Philippe Ciblat

In this paper, we investigate the problem of scheduling and resource allocation over a time varying set of clients with heterogeneous demands. This problem appears when service providers need to serve traffic generated by users with different classes of requirements. We thus have to allocate bandwidth resources over ti

me to efficiently satisfy these demands within a limited time horizon. This is a highly intricate problem and solutions may involve tools stemming from diverse fields like combinatorics and optimization. Recent work has successfully propose d Deep Reinforcement Learning (DRL) solutions, although not yet for heterogeneous user traffic. We propose a deep deterministic policy gradient algorithm combin ing state of the art techniques, namely Distributional RL and Deep Sets, to train a model for heterogeneous traffic scheduling. We test on diverse number scenar ios with different time dependence dynamics, users' requirements, and resources available, demonstrating consistent results. We evaluate the algorithm on a wire less communication setting and show significant gains against state-of-the-art conventional algorithms from combinatorics and optimization (e.g. Knapsack, Integer Linear Programming, Frank-Wolfe).

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Neural Lyapunov Model Predictive Control

Mayank Mittal, Marco Gallieri, Alessio Quaglino, Seyed Sina Mirrazavi Salehian, Jan Koutnik

With a growing interest in data-driven control techniques, Model Predictive Cont rol (MPC) provides a significant opportunity to exploit the surplus of data reli ably, particularly while taking safety and stability into account. In this paper , we aim to infer the terminal cost of an MPC controller from transitions genera ted by an initial  $\{emph\{unknown\}\}\$  demonstrator. We propose an algorithm to altern atively learn the terminal cost and update the MPC parameters according to a sta bility metric. We design the terminal cost as a Lyapunov function neural network and theoretically show that, under limited approximation error, our proposed ap proach guarantees that the size of the stability region (region of attraction) i s greater than or equal to the one from the initial demonstrator. We also presen t theorems that characterize the stability and performance of the learned MPC in the presence of model uncertainties and sub-optimality due to function approxim ation. Empirically, we demonstrate the efficacy of the proposed algorithm on non -linear continuous control tasks with soft constraints. Our results show that th e proposed approach can improve upon the initial demonstrator also in practice a nd achieve better task performance than other learning-based baselines.

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Connecting Sphere Manifolds Hierarchically for Regularization Damien Scieur, Youngsung Kim

This paper considers classification problems with hierarchically organized class es. We force the classifier (hyperplane) of each class to belong to a sphere man ifold, whose center is the classifier of its super-class. Then, individual spher e manifolds are connected based on their hierarchical relations. Our technique r eplaces the last layer of a neural network by combining a spherical fully-connec ted layer with a hierarchical layer. This regularization is shown to improve the performance of widely used deep neural network architectures (ResNet and DenseN et) on publicly available datasets (CIFAR100, CUB200, Stanford dogs, Stanford cars, and Tiny-ImageNet).

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Model-Free Counterfactual Credit Assignment

Thomas Mesnard, Theophane Weber, Fabio Viola, Shantanu Thakoor, Alaa Saade, Anna Haru tyunyan, Will Dabney, Tom Stepleton, Nicolas Heess, Marcus Hutter, Lars Holger Buesin g, Remi Munos

Credit assignment in reinforcement learning is the problem of measuring an actio n's influence on future rewards.

In particular, this requires separating  $\boldsymbol{\beta}$  from  $\boldsymbol{\beta}$ , i.e. dise ntangling the effect of an action on rewards from that of external factors and s ubsequent actions. To achieve this, we adapt the notion of counterfactuals from causality theory to a model-free RL setup.

The key idea is to condition value functions on \emph{future} events, by learnin g to extract relevant information from a trajectory. We then propose to use thes e as future-conditional baselines and critics in policy gradient algorithms and we develop a valid, practical variant with provably lower variance, while achiev ing unbiasedness by constraining the hindsight information not to contain inform

ation about the agent's actions. We demonstrate the efficacy and validity of our algorithm on a number of illustrative problems.

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FSV: Learning to Factorize Soft Value Function for Cooperative Multi-Agent Reinf orcement Learning

Yueheng Li, Tianhao Zhang, Chen Wang, Jinan Sun, Shikun Zhang, Guangming Xie

We explore energy-based solutions for cooperative multi-agent reinforcement lear ning (MARL) using the idea of function factorization in centralized training with decentralized execution (CTDE). Existing CTDE based factorization methods are susceptible to the relative overgeneralization, where finding a suboptimal Nash Equilibrium, which is a well-known game-theoretic pathology. To resolve this issue, we propose a novel factorization method for cooperative MARL, named FSV, which learns to factorize the joint soft value function into individual ones for decentralized execution. Theoretical analysis shows that FSV solves a rich class of factorization tasks. Our experiment for the well-known task of the Max of Two Quadratics game shows that FSV fully converges to global optima in the joint action space in the continuous tasks by local searching in the joint action space. We evaluate FSV on a challenging set of StarCraft II micromanagement tasks, and show that FSV significantly outperforms existing factorization multi-agent reinforcement learning methods.

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Hellinger Distance Constrained Regression

Egor Rotinov

This paper introduces an off-policy reinforcement learning method that uses Hell inger distance between sampling policy (from what samples were collected) and cu rrent policy (policy being optimized) as a constraint.

Hellinger distance squared multiplied by two is greater than or equal to total v ariation distance squared and less than or equal to Kullback-Leibler divergence, therefore a lower bound for expected discounted return for the new policy is im proved compared to the lower bound for training with KL.

Also, Hellinger distance is less than or equal to 1, so there is a policy-independent lower bound for expected discounted return.

HDCR is capable of training with Experience Replay, a common setting for distrib uted RL when collecting trajectories using different policies and learning from this data centralized.

HDCR shows results comparable to or better than Advantage-weighted Behavior Mode l and Advantage-Weighted Regression on MuJoCo tasks using tiny offline datasets collected by random agents. On bigger datasets (100k timesteps) obtained by pret rained behavioral policy, HDCR outperforms ABM and AWR methods on 3 out of 4 tasks.

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Collaborative Filtering with Smooth Reconstruction of the Preference Function Ali Shirali, Reza Kazemi, Arash Amini

The problem of predicting the rating of a set of users to a set of items in a re commender system based on partial knowledge of the ratings is widely known as co llaborative filtering. In this paper, we consider a mapping of the items into a vector space and study the prediction problem by assuming an underlying smooth p reference function for each user, the quantization at each given vector yields the associated rating. To estimate the preference functions, we implicitly cluster the users with similar ratings to form dominant types. Next, we associate each dominant type with a smooth preference function; i.e., the function values for items with nearby vectors shall be close to each other.

The latter is accomplished by a rich representation learning in a so-called freq uency domain. In this framework, we propose two approaches for learning user and item representations. First, we use an alternating optimization method in the s pirit of \$k\$-means to cluster users and map items. We further make this approach less prone to overfitting by a boosting technique.

Second, we present a feedforward neural network architecture consisting of inter pretable layers which implicitely clusters the users. The performance of the met hod is evaluated on two benchmark datasets (ML-100k and ML-1M). Albeit the metho

d benefits from simplicity, it shows a remarkable performance and opens a venue for future research. All codes are publicly available on the GitLab.

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A Real-time Contribution Measurement Method for Participants in Federated Learni

Bingjie Yan, Yize Zhou, Boyi Liu, Jun Wang, Yuhan Zhang, Li Liu, Xiaolan Nie, Zhiwei Fan, Zhixuan Liang

Federated learning is a framework for protecting distributed data privacy and ha s participated in commercial activities. However, there is a lack of a sufficien tly reasonable contribution measurement mechanism to distribute the reward for e ach agent. In the commercial union, if there is no mechanism like this, every ag ent will get the same reward. This is unfair to agents that provide better data, so such a mechanism is needed. To address this issue, this work proposes a real -time contribution measurement method. Firstly, the method defines the impact of each agent. Furthermore, we comprehensively consider the current round and the previous round to obtain the contribution rate of each agent. To verify effective eness of the proposed method, the work conducts pseudo-distributed training and an experiment on the Penn Treebank dataset. Comparing the Shapley Value in game theory, the comparative experiment result shows that the proposed method is more sensitive to both data quantity and data quality under the premise of maintaining real-time.

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Learned Threshold Pruning

Kambiz Azarian, Yash Sanjay Bhalgat, Jinwon Lee, Tijmen Blankevoort

This paper presents a novel differentiable method for unstructured weight prunin g of deep neural networks. Our learned-threshold pruning (LTP) method learns per -layer thresholds via gradient descent, unlike conventional methods where they a re set as input. Making thresholds trainable also makes LTP computationally effi cient, hence scalable to deeper networks. For example, it takes \$30\$ epochs for LTP to prune ResNet50 on ImageNet by a factor of \$9.1\$. This is in contrast to o ther methods that search for per-layer thresholds via a computationally intensiv e iterative pruning and fine-tuning process. Additionally, with a novel differen tiable \$L\_0\$ regularization, LTP is able to operate effectively on architectures with batch-normalization. This is important since \$L\_1\$ and \$L\_2\$ penalties los e their regularizing effect in networks with batch-normalization. Finally, LTP g enerates a trail of progressively sparser networks from which the desired pruned network can be picked based on sparsity and performance requirements. These fea tures allow LTP to achieve competitive compression rates on ImageNet networks su ch as AlexNet (\$26.4\times\$ compression with \$79.1\%\$ Top-5 accuracy) and ResNet 50 (\$9.1\times\$ compression with \$92.0\%\$ Top-5 accuracy). We also show that LTP effectively prunes modern \textit{compact} architectures, such as EfficientNet, MobileNetV2 and MixNet.

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SCoRe: Pre-Training for Context Representation in Conversational Semantic Parsin

Tao Yu,Rui Zhang,Alex Polozov,Christopher Meek,Ahmed Hassan Awadallah Conversational Semantic Parsing (CSP) is the task of converting a sequence of na tural language queries to formal language (e.g., SQL, SPARQL) that can be execut ed against a structured ontology (e.g. databases, knowledge bases). To accompl ish this task, a CSP system needs to model the relation between the unstructured language utterance and the structured ontology while representing the multi-turn dynamics of the dialog. Pre-trained language models (LMs) are the state-of-the-art for various natural language processing tasks. However, existing pre-trained LMs that use language modeling training objectives over free-form text have limited ability to represent natural language references to contextual structural data. In this work, we present SCORE, a new pre-training approach for CSP tasks designed to induce representations that capture the alignment between the dialogue flow and the structural context. We demonstrate the broad applica bility of SCORE to CSP tasks by combining SCORE with strong base systems on four different tasks (SPARC, COSQL, MWOZ, and SQA). We show that SCORE can improve to

he performance over all these base systems by a significant margin and achieves state-of-the-art results on three of them.

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Expressive yet Tractable Bayesian Deep Learning via Subnetwork Inference Erik Daxberger, Eric Nalisnick, James Allingham, Javier Antoran, José Miguel Hernánd ez-Lobato

The Bayesian paradigm has the potential to solve some of the core issues in mode rn deep learning, such as poor calibration, data inefficiency, and catastrophic forgetting. However, scaling Bayesian inference to the high-dimensional paramete r spaces of deep neural networks requires restrictive approximations. In this paper, we propose performing inference over only a small subset of the model parameters while keeping all others as point estimates. This enables us to use expressive posterior approximations that would otherwise be intractable for the full model. In particular, we develop a practical and scalable Bayesian deep learning method that first trains a point estimate, and then infers a full covariance Gaussian posterior approximation over a subnetwork. We propose a subnetwork selection procedure which aims to maximally preserve posterior uncertainty. We empirically demonstrate the effectiveness of our approach compared to point-estimated networks and methods that use less expressive posterior approximations over the full network

High-Likelihood Area Matters --- Rewarding Correct, Rare Predictions Under Imbala nced Distributions

quangxiang zhao, Lei Li, Xuancheng Ren, Xu Sun, Bin He

Learning from natural datasets poses significant challenges for traditional clas sification methods based on the cross-entropy objective due to imbalanced class distributions. It is intuitive to assume that the examples from rare classes are harder to learn so that the classifier is uncertain of the prediction, which es tablishes the low-likelihood area. Based on this, existing approaches drive the classifier actively to correctly predict those incorrect, rare examples. However, this assumption is one-sided and could be misleading. We find in practice that the high-likelihood area contains correct predictions for rare class examples and it plays a vital role in learning imbalanced class distributions. In light of this finding, we propose the Eureka Loss, which rewards the classifier when examples belong to rare classes in the high-likelihood area are correctly predicted. Experiments on the large-scale long-tailed iNaturalist 2018 classification dat aset and the ImageNet-LT benchmark both validate the proposed approach. We furth er analyze the influence of the Eureka Loss in detail on diverse data distributions.

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Composite Adversarial Training for Multiple Adversarial Perturbations and Beyond Xinyang Zhang, Zheng Zhang, Ting Wang

One intriguing property of deep neural networks (DNNs) is their vulnerability to adversarial perturbations. Despite the plethora of work on defending against in dividual perturbation models, improving DNN robustness against the combinations of multiple perturbations is still fairly under-studied. In this paper, we propo se \underline{c}omposite \underline{a}dversarial \underline{t}raining (CAT), a n ovel training method that flexibly integrates and optimizes multiple adversarial losses, leading to significant robustness improvement with respect to individual perturbations as well as their `compositions''. Through empirical evaluation on benchmark datasets and models, we show that CAT outperforms existing adversarial training methods by large margins in defending against the compositions of p ixel perturbations and spatial transformations, two major classes of adversarial perturbation models, while incurring limited impact on clean inputs.

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Learning Representation in Colour Conversion

Arash Akbarinia, Raquel Gil-Rodriguez, Alban Flachot, Matteo Toscani

Colours can be represented in an infinite set of spaces highlighting distinct fe atures. Here, we investigated the impact of colour spaces on the encoding capacity of a visual system that is subject to information compression, specifically v

ariational autoencoders (VAEs) where bottlenecks are imposed. To this end, we pr opose a novel unsupervised task: colour space conversion (ColourConvNets). We tr ained several instances of VAEs whose input and output are in different colour s paces, e.g. from RGB to CIE L\*a\*b\* (in total five colour spaces were examined). This allowed us to systematically study the influence of input-output colour spa ces on the encoding efficiency and learnt representation. Our evaluations demons trate that ColourConvNets with decorrelated output colour spaces produce higher quality images, also evident in pixel-wise low-level metrics such as colour diff erence (\$\Delta E\$), peak signal-to-noise ratio (PSNR) and structural similarity index measure (SSIM). We also assessed the ColourConvNets' capacity to reconstr uct the global content in two downstream tasks: image classification (ImageNet) and scene segmentation (COCO). Our results show a 5-10% performance boost for de correlating ColourConvNets with respect to the baseline network (whose input and output are RGB). Furthermore, we thoroughly analysed the finite embedding space of Vector Quantised VAEs with three different methods (single feature, hue shif t and linear transformation). The interpretations reached with these techniques are in agreement suggesting that (i) luminance and chromatic information are enc oded in separate embedding vectors, and (ii) the structure of the network's embe dding space is determined by the output colour space.

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Gradient-based tuning of Hamiltonian Monte Carlo hyperparameters

Andrew Campbell, Wenlong Chen, Vincent Stimper, José Miguel Hernández-Lobato, Yichua
n Zhang

Hamiltonian Monte Carlo (HMC) is one of the most successful sampling methods in machine learning. However, its performance is significantly affected by the choi ce of hyperparameter values, which require careful tuning. Existing approaches f or automating this task either optimise a proxy for mixing speed or consider the HMC chain as an implicit variational distribution and optimize a tractable lowe r bound that is too loose to be useful in practice. Instead, we propose to optim ize an objective that quantifies directly the speed of convergence to the target distribution. Our objective can be easily optimized using stochastic gradient d escent. We evaluate our proposed method and compare to baselines on a variety of problems including synthetic 2D distributions, the posteriors of variational a utoencoders and the Boltzmann distribution for molecular configurations of a 22 atom molecule. We find our method is competitive with or improves upon alternative baselines on all problems we consider.

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Representation and Bias in Multilingual NLP: Insights from Controlled Experiment s on Conditional Language Modeling

Inspired by the phenomenon of performance disparity between languages in machine translation, we investigate whether and to what extent languages are equally ha rd to "conditional-language-model". Our goal is to improve our understanding and expectation of the relationship between language, data representation, size, an d performance. We study one-to-one, bilingual conditional language modeling thro ugh a series of systematically controlled experiments with the Transformer and t he 6 languages from the United Nations Parallel Corpus. We examine character, by te, and word models in 30 language directions and 5 data sizes, and observe indi cations suggesting a script bias on the character level, a length bias on the by te level, and a word bias that gives rise to a hierarchy in performance across l anguages. We also identify two types of sample-wise non-monotonicity --- while w ord-based representations are prone to exhibit Double Descent, length can induce unstable performance across the size range studied in a novel meta phenomenon w hich we term "erraticity". By eliminating statistically significant performance disparity on the character and byte levels by normalizing length and vocabulary in the data, we show that, in the context of computing with the Transformer, the re is no complexity intrinsic to languages other than that related to their stat istical attributes and that performance disparity is not a necessary condition b ut a byproduct of word segmentation. Our application of statistical comparisons as a fairness measure also serves as a novel rigorous method for the intrinsic e

valuation of languages, resolving a decades-long debate on language complexity. While these quantitative biases leading to disparity are mitigable through a sha llower network, we find room for a human bias to be reflected upon. We hope our work helps open up new directions in the area of language and computing that wou ld be fairer and more flexible and foster a new transdisciplinary perspective for DL-inspired scientific progress.

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A teacher-student framework to distill future trajectories Alexander Neitz, Giambattista Parascandolo, Bernhard Schölkopf

By learning to predict trajectories of dynamical systems, model-based methods can make extensive use of all observations from past experience. However, due to partial observability, stochasticity, compounding errors, and irrelevant dynamics, training to predict observations explicitly often results in poor models. Mode l-free techniques try to side-step the problem by learning to predict values directly. While breaking the explicit dependency on future observations can result in strong performance, this usually comes at the cost of low sample efficiency, as the abundant information about the dynamics contained in future observations goes unused. Here we take a step back from both approaches: Instead of hand-designing how trajectories should be incorporated, a teacher network learns to interpret the trajectories and to provide target activations which guide a student model that can only observe the present. The teacher is trained with meta-gradient s to maximize the student's performance on a validation set. We show that our approach performs well on tasks that are difficult for model-free and model-based methods, and we study the role of every component through ablation studies.

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Episodic Memory for Learning Subjective-Timescale Models

Alexey Zakharov, Matthew Crosby, Zafeirios Fountas

In model-based learning, an agent's model is commonly defined over transitions b etween consecutive states of an environment even though planning often requires reasoning over multi-step timescales, with intermediate states either unnecessar y, or worse, accumulating prediction error. In contrast, intelligent behaviour i n biological organisms is characterised by the ability to plan over varying temp oral scales depending on the context. Inspired by the recent works on human time perception, we devise a novel approach to learning a transition dynamics model, based on the sequences of episodic memories that define the agent's subjective timescale - over which it learns world dynamics and over which future planning i s performed. We implement this in the framework of active inference and demonstr ate that the resulting subjective-timescale model (STM) can systematically vary the temporal extent of its predictions while preserving the same computational e fficiency. Additionally, we show that STM predictions are more likely to introdu ce future salient events (for example new objects coming into view), incentivisi ng exploration of new areas of the environment. As a result, STM produces more i nformative action-conditioned roll-outs that assist the agent in making better d ecisions. We validate significant improvement in our STM agent's performance in the Animal-AI environment against a baseline system, trained using the environme nt's objective-timescale dynamics.

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Certify or Predict: Boosting Certified Robustness with Compositional Architectur es

Mark Niklas Mueller, Mislav Balunovic, Martin Vechev

A core challenge with existing certified defense mechanisms is that while they i mprove certified robustness, they also tend to drastically decrease natural accuracy, making it difficult to use these methods in practice. In this work, we propose a new architecture which addresses this challenge and enables one to boost the certified robustness of any state-of-the-art deep network, while controlling the overall accuracy loss, without requiring retraining. The key idea is to combine this model with a (smaller) certified network where at inference time, an a daptive selection mechanism decides on the network to process the input sample. The approach is compositional: one can combine any pair of state-of-the-art (e.g., EfficientNet or ResNet) and certified networks, without restriction. The resu

lting architecture enables much higher natural accuracy than previously possible with certified defenses alone, while substantially boosting the certified robus tness of deep networks. We demonstrate the effectiveness of this adaptive approach on a variety of datasets and architectures. For instance, on CIFAR-10 with a n \$\ell\_\infty\$ perturbation of 2/255, we are the first to obtain a high natural accuracy (90.1%) with non-trivial certified robustness (27.5%). Notably, prior state-of-the-art methods incur a substantial drop in accuracy for a similar certified robustness.

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Network-Agnostic Knowledge Transfer for Medical Image Segmentation

Shuhang Wang, Eugene Cheah, Elham Yousef Kalafi, Mercy Asiedu, Alex Benjamin, Vivek Kumar Singh, Ge Zhang, Viksit Kumar, Anthony Edward Samir

Conventional transfer learning leverages weights of pre-trained networks, but ma ndates the need for similar neural architectures. Alternatively, knowledge disti llation can transfer knowledge between heterogeneous networks but often requires access to the original training data or additional generative networks. Knowled ge transfer between networks can be improved by being agnostic to the choice of network architecture and reducing the dependence on original training data. We p ropose a knowledge transfer approach from a teacher to a student network wherein we train the student on an independent transferal dataset, whose annotations ar e generated by the teacher. Experiments were conducted on five state-of-the-art networks for semantic segmentation and seven datasets across three imaging modal ities. We studied knowledge transfer from a single teacher, combination of knowl edge transfer and fine-tuning, and knowledge transfer from multiple teachers. Th e student model with a single teacher achieved similar performance as the teache r; and the student model with multiple teachers achieved better performance tha n the teachers. The salient features of our algorithm include: 1) no need for or iginal training data or generative networks, 2) knowledge transfer between diffe rent architectures, 3) ease of implementation for downstream tasks by using the downstream task dataset as the transferal dataset, 4) knowledge transfer of an e nsemble of models, trained independently, into one student model. Extensive expe riments demonstrate that the proposed algorithm is effective for knowledge trans fer and easily tunable.

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On the Transfer of Disentangled Representations in Realistic Settings

Andrea Dittadi, Frederik Träuble, Francesco Locatello, Manuel Wuthrich, Vaibhav Agra wal, Ole Winther, Stefan Bauer, Bernhard Schölkopf

Learning meaningful representations that disentangle the underlying structure of the data generating process is considered to be of key importance in machine le arning. While disentangled representations were found to be useful for diverse t asks such as abstract reasoning and fair classification, their scalability and real-world impact remain questionable.

We introduce a new high-resolution dataset with 1M simulated images and over 1,8 00 annotated real-world images of the same setup. In contrast to previous work, this new dataset exhibits correlations, a complex underlying structure, and allo ws to evaluate transfer to unseen simulated and real-world settings where the en coder i) remains in distribution or ii) is out of distribution.

We propose new architectures in order to scale disentangled representation learn ing to realistic high-resolution settings and conduct a large-scale empirical st udy of disentangled representations on this dataset. We observe that disentangle ment is a good predictor for out-of-distribution (OOD) task performance.

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Robust Reinforcement Learning on State Observations with Learned Optimal Adversa

Huan Zhang, Hongge Chen, Duane S Boning, Cho-Jui Hsieh

We study the robustness of reinforcement learning (RL) with adversarially pertur bed state observations, which aligns with the setting of many adversarial attack s to deep reinforcement learning (DRL) and is also important for rolling out rea l-world RL agent under unpredictable sensing noise. With a fixed agent policy, we demonstrate that an optimal adversary to perturb state observations can be found

nd, which is guaranteed to obtain the worst case agent reward. For DRL settings, this leads to a novel empirical adversarial attack to RL agents via a learned a dversary that is much stronger than previous ones. To enhance the robustness of an agent, we propose a framework of alternating training with learned adversaries (ATLA), which trains an adversary online together with the agent using policy gradient following the optimal adversarial attack framework. Additionally, inspired by the analysis of state-adversarial Markov decision process (SA-MDP), we show that past states and actions (history) can be useful for learning a robust agent, and we empirically find a LSTM based policy can be more robust under advers aries. Empirical evaluations on a few continuous control environments show that ATLA achieves state-of-the-art performance under strong adversaries. Our code is available at https://github.com/huanzhang12/ATLA\_robust\_RL.

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Interpretability Through Invertibility: A Deep Convolutional Network With Ideal Counterfactuals And Isosurfaces

Leon Sixt, Martin Schuessler, Philipp Weiß, Tim Landgraf

Current state of the art computer vision applications rely on highly complex mod els. Their interpretability is mostly limited to post-hoc methods which are not guaranteed to be faithful to the model. To elucidate a model's decision, we pres ent a novel interpretable model based on an invertible deep convolutional networ k. Our model generates meaningful, faithful, and ideal counterfactuals. Using PC A on the classifier's input, we can also create "isofactuals"— image interpolati ons with the same outcome but visually meaningful different features. Counter— a nd isofactuals can be used to identify positive and negative evidence in an imag e. This can also be visualized with heatmaps. We evaluate our approach against g radient—based attribution methods, which we find to produce meaningless adversar ial perturbations. Using our method, we reveal biases in three different datase ts. In a human subject experiment, we test whether non-experts find our method u seful to spot spurious correlations learned by a model. Our work is a step towar ds more trustworthy explanations for computer vision.

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## Active Tuning

Sebastian Otte, Matthias Karlbauer, Martin V. Butz

We introduce Active Tuning, a novel paradigm for optimizing the internal dynamic s of recurrent neural networks (RNNs) on the fly. In contrast to the conventiona 1 sequence-to-sequence mapping scheme, Active Tuning decouples the RNN's recurre nt neural activities from the input stream, using the unfolding temporal gradien t signal to tune the internal dynamics into the data stream. As a consequence, t he model output depends only on its internal hidden dynamics and the closed-loop feedback of its own predictions; its hidden state is continuously adapted by me ans of the temporal gradient resulting from backpropagating the discrepancy betw een the signal observations and the model outputs through time. In this way, Act ive Tuning infers the signal actively but indirectly based on the originally lea rned temporal patterns, fitting the most plausible hidden state sequence into th e observations. We demonstrate the effectiveness of Active Tuning on several tim e series prediction benchmarks, including multiple super-imposed sine waves, a c haotic double pendulum, and spatiotemporal wave dynamics. Active Tuning consiste ntly improves the robustness, accuracy, and generalization abilities of all eval uated models. Moreover, networks trained for signal prediction and denoising can be successfully applied to a much larger range of noise conditions with the hel p of Active Tuning. Thus, given a capable time series predictor, Active Tuning e nhances its online signal filtering, denoising, and reconstruction abilities wit hout the need for additional training.

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PERIL: Probabilistic Embeddings for hybrid Meta-Reinforcement and Imitation Lear ning

Alvaro Prat, Edward Johns

Imitation learning is a natural way for a human to describe a task to an agent, and it can be combined with reinforcement learning to enable the agent to solve that task through exploration. However, traditional methods which combine imitat

ion learning and reinforcement learning require a very large amount of interacti on data to learn each new task, even when bootstrapping from a demonstration. On e solution to this is to use meta reinforcement learning (meta-RL) to enable an agent to quickly adapt to new tasks at test time. In this work, we introduce a new method to combine imitation learning with meta reinforcement learning, Probabilistic Embeddings for hybrid meta-Reinforcement and Imitation Learning (PERIL). Dual inference strategies allow PERIL to precondition exploration policies on demonstrations, which greatly improves adaptation rates in unseen tasks. In contrast to pure imitation learning, our approach is capable of exploring beyond the demonstration, making it robust to task alterations and uncertainties. By exploiting the flexibility of meta-RL, we show how PERIL is capable of interpolating from within previously learnt dynamics to adapt to unseen tasks, as well as unseen task families, within a set of meta-RL benchmarks under sparse rewards.

Practical Real Time Recurrent Learning with a Sparse Approximation Jacob Menick, Erich Elsen, Utku Evci, Simon Osindero, Karen Simonyan, Alex Graves Recurrent neural networks are usually trained with backpropagation through time, which requires storing a complete history of network states, and prohibits updating the weights "online" (after every timestep). Real Time Recurrent Learning (RTRL) eliminates the need for history storage and allows for online weight updates, but does so at the expense of computational costs that are quartic in the state size. This renders RTRL training intractable for all but the smallest networks, even ones that are made highly sparse.

We introduce the Sparse n-step Approximation (SnAp) to the RTRL influence matrix . SnAp only tracks the influence of a parameter on hidden units that are reached by the computation graph within \$n\$ timesteps of the recurrent core. SnAp with \$n=1\$ is no more expensive than backpropagation but allows training on arbitrarily long sequences. We find that it substantially outperforms other RTRL approximations with comparable costs such as Unbiased Online Recurrent Optimization. For highly sparse networks, SnAp with \$n=2\$ remains tractable and can outperform backpropagation through time in terms of learning speed when updates are done online

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Exchanging Lessons Between Algorithmic Fairness and Domain Generalization Elliot Creager, Joern-Henrik Jacobsen, Richard Zemel

Standard learning approaches are designed to perform well on average for the dat a distribution available at training time. Developing learning approaches that a re not overly sensitive to the training distribution is central to research on d omain- or out-of-distribution generalization, robust optimization and fairness. In this work we focus on links between research on domain generalization and alg orithmic fairness---where performance under a distinct but related test distribu tions is studied---and show how the two fields can be mutually beneficial. While domain generalization methods typically rely on knowledge of disjoint "domains" or "environments", "sensitive" label information indicating which demographic g roups are at risk of discrimination is often used in the fairness literature. Dr awing inspiration from recent fairness approaches that improve worst-case perfor mance without knowledge of sensitive groups, we propose a novel domain generaliz ation method that handles the more realistic scenario where environment partitio ns are not provided. We then show theoretically and empirically how different pa rtitioning schemes can lead to increased or decreased generalization performance , enabling us to outperform Invariant Risk Minimization with handcrafted environ ments in multiple cases. We also show how a re-interpretation of IRMv1 allows us for the first time to directly optimize a common fairness criterion, group-suff iciency, and thereby improve performance on a fair prediction task.

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Monotonic Robust Policy Optimization with Model Discrepancy Yuankun Jiang, Chenglin Li, Junni Zou, Wenrui Dai, Hongkai Xiong State-of-the-art deep reinforcement learning (DRL) algorithms tend to overfit in some specific environments due to the lack of data diversity in training. To mi

tigate the model discrepancy between training and target (testing) environments, domain randomization (DR) can generate plenty of environments with a sufficient diversity by randomly sampling environment parameters in simulator. Though stan dard DR using a uniform distribution improves the average performance on the who le range of environments, the worst-case environment is usually neglected withou t any performance guarantee. Since the average and worst-case performance are eq ually important for the generalization in RL, in this paper, we propose a policy optimization approach for concurrently improving the policy's performance in th e average case (i.e., over all possible environments) and the worst-case environ ment. We theoretically derive a lower bound for the worst-case performance of a given policy over all environments. Guided by this lower bound, we formulate an optimization problem which aims to optimize the policy and sampling distribution together, such that the constrained expected performance of all environments is maximized. We prove that the worst-case performance is monotonically improved by iteratively solving this optimization problem. Based on the proposed lower bou nd, we develop a practical algorithm, named monotonic robust policy optimization (MRPO), and validate MRPO on several robot control tasks. By modifying the envi ronment parameters in simulation, we obtain environments for the same task but with different transition dynamics for training and testing. We demonstrate that MRPO can improve both the average and worst-case performance in the training env ironments, and facilitate the learned policy with a better generalization capabi lity in unseen testing environments.

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Brain-like approaches to unsupervised learning of hidden representations - a comparative study

Naresh Balaji, Anders Lansner, Pawel Herman

Unsupervised learning of hidden representations has been one of the most vibrant research directions in machine learning in recent years. In this work we study the brain-like Bayesian Confidence Propagating Neural Network (BCPNN) model, recently extended to extract sparse distributed high-dimensional representations. The saliency and separability of the hidden representations when trained on MNIST dataset is studied using an external linear classifier and compared with other unsupervised learning methods that include restricted Boltzmann machines and aut oencoders.

A new framework for tensor PCA based on trace invariants Mohamed Ouerfelli, mohamed Tamaazousti, Vincent Rivasseau

We consider the Principal Component Analysis (PCA) problem for tensors \$T \in (\  $mathbb{R}^n)^{\infty}$  of large dimension  $n\$  and of arbitrary order  $k\$ \$. It consists in recovering a spike  $v_0^{\infty}$  otimes k (related to a signal vect or  $v_0 \in \mathbb{R}^n$  corrupted by a Gaussian noise tensor  $Z \in \mathbb{R}^n$  $R^n)^{\cot k}$  such that  $T=\beta v_0^{\cot k} + Z$  where  $\beta is$  the signal-to-noise ratio. In this paper, we propose a new framework based on tools developed by the theoretical physics community to address this important problem . They consist in trace invariants of tensors built by judicious contractions (e xtension of matrix product) of the indices of the tensor \$T\$. Inspired by these tools, we introduce a new process that builds for each invariant a matrix whose top eigenvector is correlated to the signal for \$\beta\$ sufficiently large. Then , we give examples of classes of invariants for which we demonstrate that this c orrelation happens above the best algorithmic threshold ( $\frac{\pi}{\pi}$ ) kn own so far. This method has many algorithmic advantages: (i) it provides a detec tion algorithm linear in time and that has only \$0(1)\$ memory requirements (ii) the algorithms are very suitable for parallel architectures and have a lot of po tential of optimization given the simplicity of the mathematical tools involved (iii) experimental results show an improvement of the state of the art for the s ymmetric tensor PCA. Furthermore, this framework allows more general application s by being able to theoretically study the recovery of a spike in the form of v\_1 \otimes \dots \otimes  $v_k$ \$ with different dimensions (\$T \in \mathbb{R}^{n\_1\} times  $n_2\times n_k$  with  $n_1,\ldots,n_k \in \mathbb{N}$  as well as the recovery of a sum of different orthogonal spikes. We provide experimenta l results to these different cases that match well with our theoretical findings

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On the Effect of Consensus in Decentralized Deep Learning
Tao Lin, Lingjing Kong, Anastasia Koloskova, Martin Jaggi, Sebastian U Stich
Decentralized training of deep learning models enables on-device learning over n
etworks, as well as efficient scaling to large compute clusters. Experiments in
earlier works revealed that decentralized training often suffers from generaliza
tion issues: the performance of models trained in a decentralized fashion is in

general worse than the performance of models trained in a centralized fashion, a nd this generalization gap is impacted by parameters such as network size, commu nication topology, and data partitioning.

We identify the changing consensus distance between devices as a key parameter to explain the gap between centralized and decentralized training. We show that we hen the consensus distance does not grow too large, the performance of centralized training can be reached and sometimes surpassed. We highlight the intimate in terplay between network topology and learning rate at the different training phases and discuss the implications for communication efficient training schemes. Our insights into the generalization gap in decentralized deep learning allow the principled design of better training schemes that mitigate these effects.

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Structure and randomness in planning and reinforcement learning
Piotr Kozakowski, Piotr Januszewski, Konrad Czechowski, Mukasz Kuci ski, Piotr Mi o
Planning in large state spaces inevitably needs to balance depth and breadth of
the search. It has a crucial impact on planners performance and most manage this
interplay implicitly. We present a novel method \$\textit{Shoot Tree Search (STS
)}\$, which makes it possible to control this trade-off more explicitly. Our algo
rithm can be understood as an interpolation between two celebrated search mechan
isms: MCTS and random shooting. It also lets the user control the bias-variance
trade-off, akin to \$TD(n)\$, but in the tree search context.

In experiments on challenging domains, we show that STS can get the best of both worlds consistently achieving higher scores.

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Retrieval-Augmented Generation for Code Summarization via Hybrid GNN Shangqing Liu, Yu Chen, Xiaofei Xie, Jing Kai Siow, Yang Liu

Source code summarization aims to generate natural language summaries from struc tured code snippets for better understanding code functionalities. However, auto matic code summarization is challenging due to the complexity of the source code and the language gap between the source code and natural language summaries. Mo st previous approaches either rely on retrieval-based (which can take advantage of similar examples seen from the retrieval database, but have low generalization performance) or generation-based methods (which have better generalization per formance, but cannot take advantage of similar examples).

This paper proposes a novel retrieval-augmented mechanism to combine the benefit s of both worlds.

Furthermore, to mitigate the limitation of Graph Neural Networks (GNNs) on capturing global graph structure information of source code, we propose a novel attention-based dynamic graph to complement the static graph representation of the source code, and design a hybrid message passing GNN for capturing both the local and global structural information. To evaluate the proposed approach, we release a new challenging benchmark, crawled from diversified large-scale open-source C projects (total 95k+ unique functions in the dataset). Our method achieves the state-of-the-art performance, improving existing methods by 1.42, 2.44 and 1.29 in terms of BLEU-4, ROUGE-L and METEOR.

Learning from others' mistakes: Avoiding dataset biases without modeling them Victor Sanh, Thomas Wolf, Yonatan Belinkov, Alexander M Rush

State-of-the-art natural language processing (NLP) models often learn to model d ataset biases and surface form correlations instead of features that target the intended underlying task. Previous work has demonstrated effective methods to ci rcumvent these issues when knowledge of the bias is available. We consider cases where the bias issues may not be explicitly identified, and show a method for t raining models that learn to ignore these problematic correlations. Our approach relies on the observation that models with limited capacity primarily learn to exploit biases in the dataset. We can leverage the errors of such limited capacity models to train a more robust model in a product of experts, thus bypassing the need to hand-craft a biased model. We show the effectiveness of this method to retain improvements in out-of-distribution settings even if no particular bias is targeted by the biased model.

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Fast and Complete: Enabling Complete Neural Network Verification with Rapid and Massively Parallel Incomplete Verifiers

Kaidi Xu, Huan Zhang, Shiqi Wang, Yihan Wang, Suman Jana, Xue Lin, Cho-Jui Hsieh Formal verification of neural networks (NNs) is a challenging and important prob lem. Existing efficient complete solvers typically require the branch-and-bound (BaB) process, which splits the problem domain into sub-domains and solves each sub-domain using faster but weaker incomplete verifiers, such as Linear Programm ing (LP) on linearly relaxed sub-domains. In this paper, we propose to use the backward mode linear relaxation based perturbation analysis (LiRPA) to replace L P during the BaB process, which can be efficiently implemented on the typical ma chine learning accelerators such as GPUs and TPUs. However, unlike LP, LiRPA wh en applied naively can produce much weaker bounds and even cannot check certain conflicts of sub-domains during splitting, making the entire procedure incomplet e after BaB. To address these challenges, we apply a fast gradient based bound t ightening procedure combined with batch splits and the design of minimal usage o f LP bound procedure, enabling us to effectively use LiRPA on the accelerator ha rdware for the challenging complete NN verification problem and significantly ou tperform LP-based approaches. On a single GPU, we demonstrate an order of magnit ude speedup compared to existing LP-based approaches.

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Self-supervised Adversarial Robustness for the Low-label, High-data Regime Sven Gowal, Po-Sen Huang, Aaron van den Oord, Timothy Mann, Pushmeet Kohli Recent work discovered that training models to be invariant to adversarial pertu rbations requires substantially larger datasets than those required for standard classification. Perhaps more surprisingly, these larger datasets can be "mostly " unlabeled. Pseudo-labeling, a technique simultaneously pioneered by four separ ate and simultaneous works in 2019, has been proposed as a competitive alternati ve to labeled data for training adversarially robust models. However, when the a mount of labeled data decreases, the performance of pseudo-labeling catastrophic ally drops, thus questioning the theoretical insights put forward by Uesato et a 1. (2019), which suggest that the sample complexity for learning an adversariall y robust model from unlabeled data should match the fully supervised case. We in troduce Bootstrap Your Own Robust Latents (BYORL), a self-supervised learning te chnique based on BYOL for training adversarially robust models. Our method enabl es us to train robust representations without any labels (reconciling practice w ith theory). Most notably, this robust representation can be leveraged by a line ar classifier to train adversarially robust models, even when the linear classif ier is not trained adversarially. We evaluate BYORL and pseudo-labeling on CIFAR -10 and ImageNet and demonstrate that BYORL achieves significantly higher robust ness (i.e., models resulting from BYORL are up to two times more accurate). Expe riments on CIFAR-10 against \$\ell\_2\$ and \$\ell\_\infty\$ norm-bounded perturbation s demonstrate that BYORL achieves near state-of-the-art robustness with as littl e as 500 labeled examples. We also note that against \$\ell\_2\$ norm-bounded pertu rbations of size \$\epsilon = 128/255\$, BYORL surpasses the known state-of-the-ar t with an accuracy under attack of 77.61% (against 72.91% for the prior art). \*\*\*\*\*\*\*\*\*\*\*\*

Hippocampal representations emerge when training recurrent neural networks on a

memory dependent maze navigation task Justin Jude, Matthias Hennig

Can neural networks learn goal-directed behaviour using similar strategies to th e brain, by combining the relationships between the current state of the organis m and the consequences of future actions? Recent work has shown that recurrent n eural networks trained on goal based tasks can develop representations resemblin g those found in the brain, entorhinal cortex grid cells, for instance. Here we explore the evolution of the dynamics of their internal representations and comp are this with experimental data. We observe that once a recurrent network is tra ined to learn the structure of its environment solely based on sensory predictio n, an attractor based landscape forms in the network's representation, which par allels hippocampal place cells in structure and function. Next, we extend the pr edictive objective to include Q-learning for a reward task, where rewarding acti ons are dependent on delayed cue modulation. Mirroring experimental findings in hippocampus recordings in rodents performing the same task, this training paradi gm causes nonlocal neural activity to sweep forward in space at decision points, anticipating the future path to a rewarded location. Moreover, prevalent choice and cue-selective neurons form in this network, again recapitulating experiment al findings. Together, these results indicate that combining predictive, unsuper vised learning of the structure of an environment with reinforcement learning ca n help understand the formation of hippocampus-like representations containing b oth spatial and task-relevant information.

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Learning to Generate Noise for Multi-Attack Robustness

Divyam Madaan, Jinwoo Shin, Sung Ju Hwang

Adversarial learning has emerged as one of the successful techniques to circumve nt the susceptibility of existing methods against adversarial perturbations. How ever, the majority of existing defense methods are tailored to defend against a single category of adversarial perturbation (e.g. \$\ell\_\infty\$-attack). In safe ty-critical applications, this makes these methods extraneous as the attacker ca n adopt diverse adversaries to deceive the system. Moreover, training on multipl e perturbations simultaneously significantly increases the computational overhea d during training. To address these challenges, we propose a novel meta-learning framework that explicitly learns to generate noise to improve the model's robus tness against multiple types of attacks. Its key component is Meta Noise Generat or (MNG) that outputs optimal noise to stochastically perturb a given sample, su ch that it helps lower the error on diverse adversarial perturbations. By utiliz ing samples generated by MNG, we train a model by enforcing the label consistence y across multiple perturbations. We validate the robustness of models trained by our scheme on various datasets and against a wide variety of perturbations, dem onstrating that it significantly outperforms the baselines across multiple pertu rbations with a marginal computational cost.

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Approximate Probabilistic Inference with Composed Flows Jay Whang, Erik Lindgren, Alex Dimakis

We study the problem of probabilistic inference on the joint distribution define d by a normalizing flow model. Given a pre-trained flow model  $p(\boldsymbol\{x\})$ , we wish to estimate  $p(\boldsymbol\{x\}_2 \in \boldsymbol\{x\}_1)$  for some arbitrary partitioning of the variables  $\boldsymbol\{x\} = (\boldsymbol\{x\}_1, \boldsymbol\{x\}_2)$ . We first show that this task is computationally hard for a large class of flow models. Motivated by this hardness result, we propose a framework for  $\blue{tas}$  probabilistic inference. Specifically, our method trains a new generative model with the property that its composition with the given model approximates the target conditional distribution. By parametrizing this new distribution as another flow model, we can efficiently train it using variational inference and also handle conditioning under arbitrary differentiable transformations. Since the resulting approximate posterior remains a flow, it offers exact likelihood evaluation, inversion, and efficient sampling. We provide an extensive empirical evidence showcasing the flexibility of our method on a variety of inference tasks with applications to inverse problems. We also experimentally

demonstrate that our approach is comparable to simple MCMC baselines in terms of sample quality. Further, we explain the failure of naively applying variational inference and show that our method does not suffer from the same issue.

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Syntactic representations in the human brain: beyond effort-based metrics Aniketh Janardhan Reddy, Leila Wehbe

We are far from having a complete mechanistic understanding of the brain computa tions involved in language processing and of the role that syntax plays in those computations. Most language studies do not computationally model syntactic str ucture, and most studies that do model syntactic processing use effort-based met rics. These metrics capture the effort needed to process the syntactic informati on given by every word (Brennan et al., 2012; Hale et al., 2018; Brennan et al., 2016). They can reveal where in the brain syntactic processing occurs, but not w hat features of syntax are processed by different brain regions. Here, we move b eyond effort-based metrics and propose explicit features capturing the syntactic structure that is incrementally built while a sentence is being read. Using th ese features and functional Magnetic Resonance Imaging (fMRI) recordings of part icipants reading a natural text, we study the brain representation of syntax. We find that our syntactic structure-based features are better than effort-based m etrics at predicting brain activity in various parts of the language system. We show evidence of the brain representation of complex syntactic information such as phrase and clause structures. We see that regions well-predicted by syntactic features are distributed in the language system and are not distinguishable fro m those processing semantics. Our results call for a shift in the approach used for studying syntactic processing.

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Identifying the Sources of Uncertainty in Object Classification
Luis Armando Pérez Rey, Berk ■■ler, Mike Holenderski, Dmitri Jarnikov

In image-based object classification, the visual appearance of objects determine s which class they are assigned to. External variables that are independent of t he object, such as the perspective or the lighting conditions, can modify the object's appearance resulting in ambiguous images that lead to misclassifications. Previous work has proposed methods for estimating the uncertainty of prediction s and measure their confidence. However, such methods do not indicate which variables are the potential sources that cause uncertainty. In this paper, we propose a method for image-based object classification that uses disentangled representations to indicate which are the external variables that contribute the most to the uncertainty of the predictions. This information can be used to identify the external variables that should be modified to decrease the uncertainty and improve the classification.

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Reducing the number of neurons of Deep ReLU Networks based on the current theory of Regularization

Jakob Heiss, Alexis Stockinger, Josef Teichmann

We introduce a new Reduction Algorithm which makes use of the properties of ReLU neurons to reduce significantly the number of neurons in a trained Deep Neural Network. This algorithm is based on the recent theory of implicit and explicit regularization in Deep ReLU Networks from (Maennel et al, 2018) and the authors.

We discuss two experiments which illustrate the efficiency of the algorithm to r educe the number of neurons significantly with provably almost no change of the learned function within the training data (and therefore almost no loss in accuracy).

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MISSO: Minimization by Incremental Stochastic Surrogate Optimization for Large S cale Nonconvex and Nonsmooth Problems

Belhal Karimi, Hoi To Wai, Eric Moulines, Ping Li

Many constrained, nonconvex and nonsmooth optimization problems can be tackled u sing the majorization-minimization (MM) method which alternates between constructing a surrogate function which upper bounds the objective function, and then mi

nimizing this surrogate. For problems which minimize a finite sum of functions, a stochastic version of the MM method selects a batch of functions at random at each iteration and optimizes the accumulated surrogate.

However, in many cases of interest such as variational inference for latent variable models, the surrogate functions are expressed as an expectation. In this contribution, we propose a doubly stochastic MM method based on Monte Carlo approximation of these stochastic surrogates.

We establish asymptotic and non-asymptotic convergence of our scheme in a constrained, nonconvex, nonsmooth optimization setting. We apply our new framework for inference of logistic regression model with missing data and for variational in ference of Bayesian variants of LeNet-5 and Resnet-18 on respectively the MNIST and CIFAR-10 datasets.

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Modeling the Second Player in Distributionally Robust Optimization

Paul Michel, Tatsunori Hashimoto, Graham Neubig

Distributionally robust optimization (DRO) provides a framework for training mac hine learning models that are able to perform well on a collection of related da ta distributions (the "uncertainty set"). This is done by solving a min-max game : the model is trained to minimize its maximum expected loss among all distribut ions in the uncertainty set. While careful design of the uncertainty set is crit ical to the success of the DRO procedure, previous work has been limited to rela tively simple alternatives that keep the min-max optimization problem exactly tr actable, such as \$f\$-divergence balls. In this paper, we argue instead for the u se of neural generative models to characterize the worst-case distribution, allo wing for more flexible and problem-specific selection of the uncertainty set. Ho wever, while simple conceptually, this approach poses a number of implementation and optimization challenges. To circumvent these issues, we propose a relaxatio n of the KL-constrained inner maximization objective that makes the DRO problem more amenable to gradient-based optimization of large scale generative models, a nd develop model selection heuristics to guide hyper-parameter search. On both t oy settings and realistic NLP tasks, we find that the proposed approach yields  ${\tt m}$ odels that are more robust than comparable baselines.

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Neural Jump Ordinary Differential Equations: Consistent Continuous-Time Predicti on and Filtering

Calypso Herrera, Florian Krach, Josef Teichmann

Combinations of neural ODEs with recurrent neural networks (RNN), like GRU-ODE-B ayes or ODE-RNN are well suited to model irregularly observed time series. While those models outperform existing discrete-time approaches, no theoretical guara ntees for their predictive capabilities are available. Assuming that the irregul arly-sampled time series data originates from a continuous stochastic process, t he \$L^2\$-optimal online prediction is the conditional expectation given the curr ently available information. We introduce the Neural Jump ODE (NJ-ODE) that prov ides a data-driven approach to learn, continuously in time, the conditional expe ctation of a stochastic process. Our approach models the conditional expectation between two observations with a neural ODE and jumps whenever a new observation is made. We define a novel training framework, which allows us to prove theoret ical guarantees for the first time. In particular, we show that the output of ou r model converges to the \$L^2\$-optimal prediction. This can be interpreted as so lution to a special filtering problem. We provide experiments showing that the t heoretical results also hold empirically. Moreover, we experimentally show that our model outperforms the baselines in more complex learning tasks and give comp arisons on real-world datasets.

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Gradient Origin Networks

Sam Bond-Taylor, Chris G. Willcocks

This paper proposes a new type of generative model that is able to quickly learn a latent representation without an encoder. This is achieved using empirical Ba yes to calculate the expectation of the posterior, which is implemented by initi alising a latent vector with zeros, then using the gradient of the log-likelihoo

d of the data with respect to this zero vector as new latent points. The approach has similar characteristics to autoencoders, but with a simpler architecture, and is demonstrated in a variational autoencoder equivalent that permits sampling. This also allows implicit representation networks to learn a space of implicit functions without requiring a hypernetwork, retaining their representation advantages across datasets. The experiments show that the proposed method converges faster, with significantly lower reconstruction error than autoencoders, while requiring half the parameters.

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On the mapping between Hopfield networks and Restricted Boltzmann Machines Matthew Smart, Anton Zilman

Hopfield networks (HNs) and Restricted Boltzmann Machines (RBMs) are two importa nt models at the interface of statistical physics, machine learning, and neurosc ience. Recently, there has been interest in the relationship between HNs and RBMs, due to their similarity under the statistical mechanics formalism. An exact mapping between HNs and RBMs has been previously noted for the special case of or thogonal ("uncorrelated") encoded patterns. We present here an exact mapping in the case of correlated pattern HNs, which are more broadly applicable to existing datasets. Specifically, we show that any HN with \$N\$ binary variables and \$p<N\$ potentially correlated binary patterns can be transformed into an RBM with \$N\$ binary visible variables and \$p\$ gaussian hidden variables. We outline the conditions under which the reverse mapping exists, and conduct experiments on the MN IST dataset which suggest the mapping provides a useful initialization to the RB M weights. We discuss extensions, the potential importance of this correspondence for the training of RBMs, and for understanding the performance of feature ext raction methods which utilize RBMs.

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Efficient Generalized Spherical CNNs

Oliver Cobb, Christopher G. R. Wallis, Augustine N. Mavor-Parker, Augustin Marignie r, Matthew A. Price, Mayeul d'Avezac, Jason McEwen

Many problems across computer vision and the natural sciences require the analys is of spherical data, for which representations may be learned efficiently by en coding equivariance to rotational symmetries. We present a generalized spherica 1 CNN framework that encompasses various existing approaches and allows them to be leveraged alongside each other. The only existing non-linear spherical CNN 1 ayer that is strictly equivariant has complexity  $\pi = 0$  (C^2L^5), where  $\pi = 0$  is a measure of representational capacity and  $\pi = 0$  the spherical harmonic bandle imit. Such a high computational cost often prohibits the use of strictly equivariant spherical CNNs. We develop two new strictly equivariant layers with reduced complexity  $\pi = 0$  (CL^4) and  $\pi = 0$  (CL^3 \log L), making larger, more expressive models computationally feasible. Moreover, we adopt efficient sampling theory to achieve further computational savings. We show that these developments allow the construction of more expressive hybrid models that achieve state-of-the-art accuracy and parameter efficiency on spherical benchmark problem

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DEBERTA: DECODING-ENHANCED BERT WITH DISENTANGLED ATTENTION

Pengcheng He, Xiaodong Liu, Jianfeng Gao, Weizhu Chen

Recent progress in pre-trained neural language models has significantly improved the performance of many natural language processing (NLP) tasks. In this paper we propose a new model architecture DeBERTa (Decoding-enhanced BERT with disenta ngled attention) that improves the BERT and RoBERTa models using two novel techn iques. The first is the disentangled attention mechanism, where each word is represented using two vectors that encode its content and position, respectively, and the attention weights among words are computed using disentangled matrices on their contents and relative positions, respectively. Second, an enhanced mask decoder is used to incorporate absolute positions in the decoding layer to predict the masked tokens in model pre-training. In addition, a new virtual adversarial training method is used for fine-tuning to improve models'

generalization. We show that these techniques significantly improve the efficie

ncy of model pre-training and the performance of both natural language understan d(NLU) and natural language generation (NLG) downstream tasks. Compared to RoBER Ta-Large, a DeBERTa model trained on half of the training data performs consiste ntly better on a wide range of NLP tasks, achieving improvements on MNLI by +0.9 % (90.2% vs. 91.1%), on SQuAD v2.0 by +2.3% (88.4% vs. 90.7%) and RACE by +3.6% (83.2% vs. 86.8%). Notably, we scale up DeBERTa by training a larger version that consists of 48 Transform layers with 1.5 billion parameters. The significant p erformance boost makes the single DeBERTa model surpass the human performance on the SuperGLUE benchmark (Wang et al., 2019a) for the first time in terms of mac ro-average score (89.9 versus 89.8), and the ensemble DeBERTa model sits atop the SuperGLUE leaderboard as of January 6, 2021, outperforming the human baseline by a decent margin (90.3 versus

89.8). The pre-trained DeBERTa models and the source code were released at: https://github.com/microsoft/DeBERTa.

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Optimizing Memory Placement using Evolutionary Graph Reinforcement Learning Shauharda Khadka, Estelle Aflalo, Mattias Marder, Avrech Ben-David, Santiago Miret, Shie Mannor, Tamir Hazan, Hanlin Tang, Somdeb Majumdar

For deep neural network accelerators, memory movement is both energetically expensive and can bound computation. Therefore, optimal mapping of tensors to memory hierarchies is critical to performance. The growing complexity of neural networks calls for automated memory mapping instead of manual heuristic approaches; yet the search space of neural network computational graphs have previously been prohibitively large. We introduce Evolutionary Graph Reinforcement Learning (EGRL), a method designed for large search spaces, that combines graph neural networks, reinforcement learning, and evolutionary search. A set of fast, stateless policies guide the evolutionary search to improve its sample-efficiency. We train and validate our approach directly on the Intel NNP-I chip for inference. EGRL ou tperforms policy-gradient, evolutionary search and dynamic programming baselines on BERT, ResNet-101 and ResNet-50. We additionally achieve 28-78% speed-up compared to the native NNP-I compiler on all three workloads.

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AutoBayes: Automated Bayesian Graph Exploration for Nuisance-Robust Inference Andac Demir, Toshiaki Koike-Akino, Ye Wang, Deniz Erdogmus

Learning data representations that capture task-related features, but are invariant to nuisance variations remains a key challenge in machine learning. We introduce an automated Bayesian inference framework, called AutoBayes, that explores different graphical models linking classifier, encoder, decoder, estimator and a dversarial network blocks to optimize nuisance-invariant machine learning pipelines. AutoBayes also enables learning disentangled representations, where the latent variable is split into multiple pieces to impose various relationships with the nuisance variation and task labels. We benchmark the framework on several public datasets, and provide analysis of its capability for subject-transfer learning with/without variational modeling and adversarial training. We demonstrate a significant performance improvement with ensemble learning across explored graphical models.

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Box-To-Box Transformation for Modeling Joint Hierarchies
Shib Sankar Dasgupta, Xiang Li, Michael Boratko, Dongxu Zhang, Andrew McCallum
Learning representations of entities and relations in knowledge graphs is an act
ive area of research, with much emphasis placed on choosing the appropriate geom
etry to capture tree-like structures. Box embeddings (Vilnis et al., 2018; Li et
al., 2019; Dasgupta et al., 2020), which represent concepts as n-dimensional hy
perrectangles, are capable of embedding trees by training on a subset of the tra
nsitive closure. In Patel et al. (2020), the authors demonstrate that only the t
ransitive reduction is required, and further extend box embeddings to capture jo
int hierarchies by augmenting the graph with new nodes. While it is possible to
represent joint hierarchies with this method, the parameters for each hierarchy
are decoupled, making generalization between hierarchies infeasible. In this wo

rk, we introduce a learned box-to-box transformation which respects the geometric structure of the box embeddings. We demonstrate that this not only improves the capability of modeling cross-hierarchy compositional edges but is also capable of generalizing from a subset of the transitive reduction.

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QRGAN: Quantile Regression Generative Adversarial Networks Sunyeop Lee, Tuan Anh Nguyen, Dugki Min

Learning high-dimensional probability distributions by competitively training ge nerative and discriminative neural networks is a prominent approach of Generativ e Adversarial Networks (GANs) among generative models to model complex real-worl d data. Nevertheless, training GANs likely suffer from non-convergence problem, mode collapse and gradient explosion or vanishing. Least Squares GAN (LSGANs) an d Wasserstein GANs (WGAN) are of representative variants of GANs in literature t hat diminish the inherent problems of GANs by proposing the modification methodo logy of loss functions. However, LSGANs often fall into local minima and cause m ode collapse. While WGANs unexpectedly encounter with inefficient computation an d slow training due to its constraints in Wasserstein distance approximation. In this paper, we propose Quantile Regression GAN (QRGAN) in which quantile regres sion is adopted to minimize 1-Wasserstein distance between real and generated da ta distribution as a novel approach in modification of loss functions for improv ement of GANs. To study the culprits of mode collapse problem, the output space of discriminator and gradients of fake samples are analyzed to see if the discri minator guides the generator well. And we found that the discriminator should no t be bounded to specific numbers. Our proposed QRGAN exposes high robustness aga inst mode collapse problem. Furthermore, QRGAN obtains an apparent improvement i n the evaluation and comparison of Frechet Inception Distance (FID) for generati on performance assessment compared to existing variants of GANs.

Run Away From your Teacher: a New Self-Supervised Approach Solving the Puzzle of BYOL

Haizhou Shi, Dongliang Luo, Siliang Tang, Jian Wang, Yueting Zhuang

Recently, a newly proposed self-supervised framework Bootstrap Your Own Latent (BYOL) seriously challenges the necessity of negative samples in contrastive-base d learning frameworks. BYOL works like a charm despite the fact that it discards the negative samples completely and there is no measure to prevent collapse in its training objective. In this paper, we suggest understanding BYOL from the view of our newly proposed interpretable self-supervised learning framework, Run A way From your Teacher (RAFT). RAFT optimizes two objectives at the same time: (i) aligning two views of the same data to similar representations and (ii) running away from the model's Mean Teacher (MT, the exponential moving average of the history models) instead of BYOL's running towards it. The second term of RAFT explicitly prevents the representation collapse and thus makes RAFT a more conceptually reliable framework. We provide basic benchmarks of RAFT on CIFAR10 to validate the effectiveness of our method. Furthermore, we prove that BYOL is equivalent to RAFT under certain conditions, providing solid reasoning for BYOL's counter-intuitive success.

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The Advantage Regret-Matching Actor-Critic

Audrunas Gruslys, Marc Lanctot, Remi Munos, Finbarr Timbers, Martin Schmid, Julien Perolet, Dustin Morrill, Vinicius Zambaldi, Jean-Baptiste Lespiau, John Schultz, Mohamm ad Gheshlaghi Azar, Michael Bowling, Karl Tuyls

Regret minimization has played a key role in online learning, equilibrium comput ation in games, and reinforcement learning (RL). In this paper, we describe a ge neral model-free RL method for no-regret learning based on repeated reconsiderat ion of past behavior: Advantage Regret-Matching Actor-Critic (ARMAC). Rather than saving past state-action data, ARMAC saves a buffer of past policies, replaying through them to reconstruct hindsight assessments of past behavior. These retrospective value estimates are used to predict conditional advantages which, combined with regret matching, produces a new policy. In particular, ARMAC learns from sampled trajectories in a centralized training setting, without requiring the

application of importance sampling commonly used in Monte Carlo counterfactual regret (CFR) minimization; hence, it does not suffer from excessive variance in large environments. In the single-agent setting, ARMAC shows an interesting form of exploration by keeping past policies intact. In the multiagent setting, ARMAC in self-play approaches Nash equilibria on some partially-observable zero-sum benchmarks. We provide exploitability estimates in the significantly larger game of betting-abstracted no-limit Texas Hold'em.

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Simple deductive reasoning tests and numerical data sets for exposing limitation of today's deep neural networks

Kalidas Yeturu, Manish Kumar Srivastava

Learning for Deductive Reasoning is an open problem in the machine learning worl d today.

Deductive reasoning involves storing facts in memory and generation of newer facts over time.

The concept of memory, processor and code in deduction systems is fundamentally different from the purpose and formulation of weights in a deep neural network. A majority of the machine learning models are inductive reasoning models including state of the art deep neural networks which are effectively tensor interpolation based models.

A step towards realization of memory is through recurrent neural networks and it s variants, however the formal representation is not sufficient enough to captur e a complex mapping function between input and output patterns.

Deep neural networks are positioned to do away with feature engineering which is essentially deductive reasoning methodology.

There are existing works in deductive reasoning in neural networks that require learning of syntax, unification and deduction and operate on text data as sequence of tokens.

However the performance of deductive reasoning networks is far from perfection w hich may be either due to syntax or deduction aspects.

In this context, we have proposed a suite of completely numeric data sets which do not require parsing as with text data.

The 10 data sets are for - (a) selection (3 data sets) - minimum, maximum and to p 2nd element in an array of numbers; (b) matching (3 data sets) - duplicate det ection, counting and histogram learning; (c) divisibility tests (2 data sets) - divisibility of two numbers and divisibility by 3; (d) representation (2 data sets) - binary representation and parity.

Though extremely simple in terms of feature engineering, in all of these tests, simple deep neural networks, random forest and recurrent neural networks have failed with very low accuracies.

We propose these as numerical test-bed for testing learning models for deductive reasoning.

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Hybrid and Non-Uniform DNN quantization methods using Retro Synthesis data for e fficient inference

TEJPRATAP GVSL, Raja Kumar, Pradeep NS

Existing post-training quantization methods attempt to compensate for the quantization loss by determining the quantized weights and activation ranges with the help of training data. Quantization aware training methods, on the other hand, a chieve accuracy near to FP32 models by training the quantized model which consum e more time. Both these methods are not effective for privacy constraint applica tions as they are tightly coupled with training data. In contrast, this paper pr oposes a data-independent post-training quantization scheme that eliminates the need for training data. This is achieved by generating a faux dataset hereafter called as \$\textit{\textit{\textro-Synthesis Data'}\$ from the FP32 model layer statistics and further using it for quantization. This approach outperformed state-of-theart methods including, but not limited to, ZeroQ and DFQ on models with and with out batch-normalization layers for 8, 6 and 4 bit precisions. We also introduced two futuristic variants of post-training quantization methods namely \$\textit{\textit{\textit}} Hybrid-Quantization'}\$ and \$\textit{\textit{\textit}} Non-Uniform Quantization'}\$. The Hybrid-Qua

ntization scheme determines the sensitivity of each layer for per-tensor and per-channel quantization, and thereby generates hybrid quantized models that are \$1 0 - 20\%\$ efficient in inference time while achieving same or better accuracy as compared to per-channel quantization. Also this method outperformed FP32 accura cy when applied for models such as ResNet-18, and ResNet-50 onImageNet dataset. In the proposed Non-Uniform quantization scheme, the weights are grouped into different clusters and these clusters are assigned with a varied number of quantization steps depending on the number of weights and their ranges in respective cluster. This method resulted in an accuracy improvement of \$1\%\$ against state-of-the-art quantization methods on ImageNet dataset.

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EpidemiOptim: A Toolbox for the Optimization of Control Policies in Epidemiological Models

Cédric Colas, Boris Hejblum, Sébastien Rouillon, Rodolphe Thiebaut, Pierre-Yves Oude yer, Clément Moulin-Frier, Mélanie Prague

Epidemiologists model the dynamics of epidemics in order to propose control stra tegies based on pharmaceutical and non-pharmaceutical interventions (contact lim itation, lock down, vaccination, etc). Hand-designing such strategies is not tri vial because of the number of possible interventions and the difficulty to predict long-term effects. This task can be cast as an optimization problem where state-of-the-art machine learning algorithms such as deep reinforcement learning might bring significant value. However, the specificity of each domain - epidemic modelling or solving optimization problems - requires strong collaborations between researchers from different fields of expertise.

This is why we introduce EpidemiOptim, a Python toolbox that facilitates collabo rations between researchers in epidemiology and optimization. EpidemiOptim turns epidemiological models and cost functions into optimization problems via a stan dard interface commonly used by optimization practitioners (OpenAI Gym). Reinfor cement learning algorithms based on Q-Learning with deep neural networks (DQN) a nd evolutionary algorithms (NSGA-II) are already implemented. We illustrate the use of EpidemiOptim to find optimal policies for dynamical on-off lock-down cont rol under the optimization of death toll and economic recess using a Susceptible -Exposed-Infectious-Removed (SEIR) model for SARS-CoV-2/COVID-19.

Using EpidemiOptim and its interactive visualization platform in Jupyter notebooks, epidemiologists, optimization practitioners and others (e.g. economists) can easily compare epidemiological models, costs functions and optimization algorithms to address important choices to be made by health decision-makers.

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On the geometry of generalization and memorization in deep neural networks Cory Stephenson, suchismita padhy, Abhinav Ganesh, Yue Hui, Hanlin Tang, Sue Yeon Chun

Understanding how large neural networks avoid memorizing training data is key to explaining their high generalization performance. To examine the structure of w hen and where memorization occurs in a deep network, we use a recently developed replica-based mean field theoretic geometric analysis method. We find that all layers preferentially learn from examples which share features, and link this be havior to generalization performance. Memorization predominately occurs in the d eeper layers, due to decreasing object manifolds' radius and dimension, whereas early layers are minimally affected. This predicts that generalization can be re stored by reverting the final few layer weights to earlier epochs before signifi cant memorization occurred, which is confirmed by the experiments. Additionally, by studying generalization under different model sizes, we reveal the connectio n between the double descent phenomenon and the underlying model geometry. Final ly, analytical analysis shows that networks avoid memorization early in training because close to initialization, the gradient contribution from permuted exampl es are small. These findings provide quantitative evidence for the structure of memorization across layers of a deep neural network, the drivers for such struct ure, and its connection to manifold geometric properties.

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Detecting Misclassification Errors in Neural Networks with a Gaussian Process Mo del

Xin Qiu, Risto Miikkulainen

As neural network classifiers are deployed in real-world applications, it is cru cial that their predictions are not just accurate, but trustworthy as well. One practical solution is to assign confidence scores to each prediction, then filte r out low-confidence predictions. However, existing confidence metrics are not y et sufficiently reliable for this role. This paper presents a new framework that produces more reliable confidence scores for detecting misclassification errors. This framework, RED, calibrates the classifier's inherent confidence indicator s and estimates uncertainty of the calibrated confidence scores using Gaussian P rocesses. Empirical comparisons with other confidence estimation methods on 125 UCI datasets demonstrate that this approach is effective. An experiment on a vis ion task with a large deep learning architecture further confirms that the method can scale up, and a case study involving out-of-distribution and adversarial s amples shows potential of the proposed method to improve robustness of neural ne twork classifiers more broadly in the future.

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Continual learning in recurrent neural networks

Benjamin Ehret, Christian Henning, Maria Cervera, Alexander Meulemans, Johannes Von Oswald, Benjamin F Grewe

While a diverse collection of continual learning (CL) methods has been proposed to prevent catastrophic forgetting, a thorough investigation of their effectiven ess for processing sequential data with recurrent neural networks (RNNs) is lack ing. Here, we provide the first comprehensive evaluation of established CL metho ds on a variety of sequential data benchmarks. Specifically, we shed light on th e particularities that arise when applying weight-importance methods, such as el astic weight consolidation, to RNNs. In contrast to feedforward networks, RNNs i teratively reuse a shared set of weights and require working memory to process i nput samples. We show that the performance of weight-importance methods is not d irectly affected by the length of the processed sequences, but rather by high wo rking memory requirements, which lead to an increased need for stability at the cost of decreased plasticity for learning subsequent tasks. We additionally prov ide theoretical arguments supporting this interpretation by studying linear RNNs . Our study shows that established CL methods can be successfully ported to the recurrent case, and that a recent regularization approach based on hypernetworks outperforms weight-importance methods, thus emerging as a promising candidate f or CL in RNNs. Overall, we provide insights on the differences between CL in fee dforward networks and RNNs, while guiding towards effective solutions to tackle CL on sequential data.

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Prediction of Enzyme Specificity using Protein Graph Convolutional Neural Networks

Changpeng Lu, Samuel Z Stentz, Joseph H Lubin, Sijian Wang, Sagar D Khare Specific molecular recognition by proteins, for example, protease enzymes, is cr itical for maintaining the robustness of key life processes. The substrate spec ificity landscape of a protease enzyme comprises the set of all sequence motifs that are recognized/cut, or just as importantly, not recognized/cut by the enzym e. Current methods for predicting protease specificity landscapes rely on learni ng sequence patterns in experimentally derived data with a single enzyme, but ar e not robust to even small mutational changes. A comprehensive evaluation of spe cificity requires consideration of the three-dimensional structure and energetic s of molecular interactions. In this work, we present a protein graph convolutio nal neural network (PGCN), which uses a physically intuitive, structure-based mo lecular interaction graph generated using the Rosetta energy function that descr ibes the topology and energetic features, to determine substrate specificity. We use the PGCN to recapitulate and predict the specificity of the  ${\rm NS3/4}$  protease from the Hepatitic C virus. We compare our PGCN with previously used machine lea rning models and show that its performance in classification tasks is equivalent or better. Because PGCN is based on physical interactions, it is inherently mor

e interpretable; determination of feature importance reveals key sub-graph patte rns responsible for molecular recognition that are biochemically reasonable. The PGCN model also readily lends itself to the design of novel enzymes with tailor ed specificity against disease targets.

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Joint Learning of Full-structure Noise in Hierarchical Bayesian Regression Model

Ali Hashemi, Chang Cai, Klaus Robert Muller, Srikantan Nagarajan, Stefan Haufe We consider hierarchical Bayesian (type-II maximum likelihood) models for observ ations with latent variables for source and noise, where both hyperparameters ne ed to be estimated jointly from data. This problem has application in many domai ns in imaging including biomagnetic inverse problems. Crucial factors influencin g accuracy of source estimation are not only the noise level but also its correl ation structure, but existing approaches have not addressed estimation of noise covariance matrices with full structure. Here, we consider the reconstruction of brain activity from electroencephalography (EEG). This inverse problem can be f ormulated as a linear regression with independent Gaussian scale mixture priors for both the source and noise components. As a departure from classical sparse Bayesan learning (SBL) models where across-sensor observations are assumed to be independent and identically distributed, we consider Gaussian noise with full c ovariance structure. Using Riemannian geometry, we derive an efficient algorith m for updating both source and noise covariance along the manifold of positive d efinite matrices. Using the majorization-maximization framework, we demonstrate that our algorithm has guaranteed and fast convergence. We validate the algorith m both in simulations and with real data. Our results demonstrate that the novel framework significantly improves upon state-of-the-art techniques in the real-w orld scenario where the noise is indeed non-diagonal and fully-structured.

Witches' Brew: Industrial Scale Data Poisoning via Gradient Matching Jonas Geiping, Liam H Fowl, W. Ronny Huang, Wojciech Czaja, Gavin Taylor, Michael Moeller, Tom Goldstein

Data Poisoning attacks modify training data to maliciously control a model train ed on such data.

In this work, we focus on targeted poisoning attacks which cause a reclassificat ion of an unmodified test image and as such breach model integrity. We consider a

particularly malicious poisoning attack that is both ``from scratch" and ``clean label", meaning we analyze an attack that successfully works against new, rando mly initialized models, and is nearly imperceptible to humans, all while perturb ing only a small fraction of the training data.

Previous poisoning attacks against deep neural networks in this setting have been limited in scope and success, working only in simplified settings or being prohibitively expensive for large datasets.

The central mechanism of the new attack is matching the gradient direction of ma licious examples. We analyze why this works, supplement with practical considera tions. and show its threat to real-world practitioners, finding that it is the f irst poisoning method to cause targeted misclassification in modern deep network s trained from scratch on a full-sized, poisoned ImageNet dataset.

Finally we demonstrate the limitations of existing defensive strategies against such an attack, concluding that data poisoning is a credible threat, even for la rge-scale deep learning systems.

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Overfitting for Fun and Profit: Instance-Adaptive Data Compression

Ties van Rozendaal, Iris AM Huijben, Taco Cohen

Neural data compression has been shown to outperform classical methods in terms of \$RD\$ performance, with results still improving rapidly.

At a high level, neural compression is based on an autoencoder that tries to rec onstruct the input instance from a (quantized) latent representation, coupled wi th a prior that is used to losslessly compress these latents.

Due to limitations on model capacity and imperfect optimization and generalizati

on, such models will suboptimally compress test data in general.

However, one of the great strengths of learned compression is that if the test-t ime data distribution is known and relatively low-entropy (e.g. a camera watchin g a static scene, a dash cam in an autonomous car, etc.), the model can easily b e finetuned or adapted to this distribution, leading to improved \$RD\$ performanc e.

In this paper we take this concept to the extreme, adapting the full model to a single video, and sending model updates (quantized and compressed using a parame ter-space prior) along with the latent representation. Unlike previous work, we finetune not only the encoder/latents but the entire model, and - during finetun ing - take into account both the effect of model quantization and the additional costs incurred by sending the model updates. We evaluate an image compression m odel on I-frames (sampled at 2 fps) from videos of the Xiph dataset, and demonst rate that full-model adaptation improves \$RD\$ performance by ~1 dB, with respect to encoder-only finetuning.

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Neural Potts Model

Tom Sercu, Robert Verkuil, Joshua Meier, Brandon Amos, Zeming Lin, Caroline Chen, Jason Liu, Yann LeCun, Alexander Rives

We propose the Neural Potts Model objective as an amortized optimization problem . The objective enables training a single model with shared parameters to explic itly model energy landscapes across multiple protein families. Given a protein s equence as input, the model is trained to predict a pairwise coupling matrix for a Potts model energy function describing the local evolutionary landscape of the sequence. Couplings can be predicted for novel sequences. A controlled ablation experiment assessing unsupervised contact prediction on sets of related protein families finds a gain from amortization for low-depth multiple sequence alignments; the result is then confirmed on a database with broad coverage of protein sequences.

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GG-GAN: A Geometric Graph Generative Adversarial Network Igor Krawczuk, Pedro Abranches, Andreas Loukas, Volkan Cevher

We study the fundamental problem of graph generation. Specifically, we treat graph generation from a geometric perspective by associating each node with a position in space and then connecting the edges based on a similarity function. We then provide new solutions to the key challenges that prevent the widespread application of this classical geometric interpretation: (1) modeling complex relations, (2) modeling isomorphic graphs consistently, and (3) fully exploiting the latent distribution.

Our main contribution is dubbed as the geometric graph (GG) generative adversari al network (GAN), which is a Wasserstein GAN that addresses the above challenges . GG-GAN is permutation equivariant and easily scales to generate graphs of tens of thousands of nodes. GG-GAN also strikes a good trade-off between novelty and modeling the distribution statistics, being competitive or surpassing the state -of-the-art methods that are either slower or that are non-equivariant, or that exploit problem-specific knowledge.

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A Block Minifloat Representation for Training Deep Neural Networks
Sean Fox, Seyedramin Rasoulinezhad, Julian Faraone, david boland, Philip Leong
Training Deep Neural Networks (DNN) with high efficiency can be difficult to ach
ieve with native floating-point representations and commercially available hardw
are. Specialized arithmetic with custom acceleration offers perhaps the most pro
mising alternative. Ongoing research is trending towards narrow floating-point r
epresentations, called minifloats, that pack more operations for a given silicon
area and consume less power. In this paper, we introduce Block Minifloat (BM),
a new spectrum of minifloat formats capable of training DNNs end-to-end with onl
y 4-8 bit weight, activation and gradient tensors. While standard floating-point
representations have two degrees of freedom, via the exponent and mantissa, BM
exposes the exponent bias as an additional field for optimization. Crucially, th
is enables training with fewer exponent bits, yielding dense integer-like hardwa

re for fused multiply-add (FMA) operations. For ResNet trained on ImageNet, 6-bit BM achieves almost no degradation in floating-point accuracy with FMA units that are \$4.1\times(23.9\times)\$ smaller and consume \$2.3\times(16.1\times)\$ less energy than FP8 (FP32). Furthermore, our 8-bit BM format matches floating-point accuracy while delivering a higher computational density and faster expected training times.

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Training Invertible Linear Layers through Rank-One Perturbations Andreas Krämer, Jonas Köhler, Frank Noe

Many types of neural network layers rely on matrix properties such as invertibil ity or orthogonality.

Retaining such properties during optimization with gradient-based stochastic optimizers is a challenging task, which is usually addressed by either reparameterization of the affected parameters or by directly optimizing on the manifold.

This work presents a novel approach for training invertible linear layers. In li eu of directly optimizing

the network parameters, we train rank-one perturbations and add them to the actu all weight matrices infrequently. This  $P$^{4}$ Inv update allows keeping track of inverses and determinants without ever explicitly computing them. We show how such invertible blocks improve the mixing and thus the mode separation of the resulting normalizing flows. Furthermore, we outline how the  $P$^4$ concept can be utilized to retain properties other than invertibility.$ 

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Representation Learning via Invariant Causal Mechanisms

Jovana Mitrovic, Brian McWilliams, Jacob C Walker, Lars Holger Buesing, Charles Blun dell

Self-supervised learning has emerged as a strategy to reduce the reliance on cos tly supervised signal by pretraining representations only using unlabeled data. These methods combine heuristic proxy classification tasks with data augmentatio ns and have achieved significant success, but our theoretical understanding of t his success remains limited. In this paper we analyze self-supervised representa tion learning using a causal framework. We show how data augmentations can be m ore effectively utilized through explicit invariance constraints on the proxy cl assifiers employed during pretraining. Based on this, we propose a novel self-su pervised objective, Representation Learning via Invariant Causal Mechanisms (ReL IC), that enforces invariant prediction of proxy targets across augmentations th rough an invariance regularizer which yields improved generalization guarantees. Further, using causality we generalize contrastive learning, a particular kind of self-supervised method, and provide an alternative theoretical explanation f or the success of these methods. Empirically, ReLIC significantly outperform s competing methods in terms of robustness and out-of-distribution generalizatio n on ImageNet, while also significantly outperforming these methods on Atari ach

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On the Role of Pre-training for Meta Few-Shot Learning Chia-You Chen, Hsuan-Tien Lin, Gang Niu, Masashi Sugiyama

ieving above human-level performance on 51 out of 57 games.

Few-shot learning aims to classify unknown classes of examples with a few new ex amples per class. There are two key routes for few-shot learning. One is to (pre -) train a classifier with examples from known classes, and then transfer the pre -trained classifier to unknown classes using the new examples. The other, called meta few-shot learning, is to couple pre-training with episodic training, which contains episodes of few-shot learning tasks simulated from the known classes. Pre-training is known to play a crucial role for the transfer route, but the rol e of pre-training for the episodic route is less clear. In this work, we study the role of pre-training for the episodic route. We find that pre-training serves a major role of disentangling representations of known classes, which makes the resulting learning tasks easier for episodic training. The finding allows us to shift the huge simulation burden of episodic learning to a simpler pre-training stage. We justify such a benefit of shift by designing a new disentanglement-based pre-training model, which helps episodic learning achieve competitive perfor

mance more efficiently.

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Sparse encoding for more-interpretable feature-selecting representations in probabilistic matrix factorization

Joshua C Chang, Patrick Fletcher, Jungmin Han, Ted L Chang, Shashaank Vattikuti, Bart Desmet, Ayah Zirikly, Carson C Chow

Dimensionality reduction methods for count data are critical to a wide range of applications in medical informatics and other fields where model interpretabilit y is paramount. For such data, hierarchical Poisson matrix factorization (HPF) a nd other sparse probabilistic non-negative matrix factorization (NMF) methods ar e considered to be interpretable generative models. They consist of sparse trans formations for decoding their learned representations into predictions. However, sparsity in representation decoding does not necessarily imply sparsity in the encoding of representations from the original data features. HPF is often incor rectly interpreted in the literature as if it possesses encoder sparsity. The di stinction between decoder sparsity and encoder sparsity is subtle but important. Due to the lack of encoder sparsity, HPF does not possess the column-clustering property of classical NMF -- the factor loading matrix does not sufficiently de fine how each factor is formed from the original features. We address this defic iency by self-consistently enforcing encoder sparsity, using a generalized addit ive model (GAM), thereby allowing one to relate each representation coordinate to a subset of the original data features. In doing so, the method also gains th e ability to perform feature selection. We demonstrate our method on simulated d ata and give an example of how encoder sparsity is of practical use in a concret e application of representing inpatient comorbidities in Medicare patients.

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Data-efficient Hindsight Off-policy Option Learning

Markus Wulfmeier, Dushyant Rao, Roland Hafner, Thomas Lampe, Abbas Abdolmaleki, Tim Hertweck, Michael Neunert, Dhruva Tirumala, Noah Yamamoto Siegel, Nicolas Heess, Martin Riedmiller

Hierarchical approaches for reinforcement learning aim to improve data efficienc y and accelerate learning by incorporating different abstractions. We introduce Hindsight Off-policy Options (HO2), an efficient off-policy option learning algo rithm, and isolate the impact of action and temporal abstraction in the option f ramework by comparing flat policies, mixture policies without temporal abstracti on, and finally option policies; all with comparable policy optimization. When a iming for data efficiency, we demonstrate the importance of off-policy optimizat ion, as even flat policies trained off-policy can outperform on-policy option me thods. In addition, off-policy training and backpropagation through a dynamic pr ogramming inference procedure -- through time and through the policy components for every time-step -- enable us to train all components' parameters independent ly of the data-generating behavior policy. We continue to illustrate challenges in off-policy option learning and the related importance of trust-region constra ints. Experimentally, we demonstrate that HO2 outperforms existing option learni ng methods and that both action and temporal abstraction provide strong benefits in particular in more demanding simulated robot manipulation tasks from raw  $\operatorname{pix}$ el inputs. Finally, we develop an intuitive extension to encourage temporal abst raction and investigate differences in its impact between learning from scratch and using pre-trained options.

Gated Relational Graph Attention Networks

Denis Lukovnikov, Asja Fischer

Relational Graph Neural Networks (GNN) are a class of GNN that are capable of ha ndling multi-relational graphs. Like all GNNs, they suffer from a drop in perfor mance when training deeper networks, which may be caused by vanishing gradients, over-parameterization, and oversmoothing. Previous works have investigated meth ods that improve the training of deeper GNNs, which include normalization techni ques and various types of skip connection within a node. However, learning long-range patterns in multi-relational graphs using GNNs remains an under-explored topic. In this work, we propose a novel GNN architecture based on the Graph Atten

tion Network (GAT) that uses gated skip connections to improve long-range modeling between nodes and uses a more scalable vector-based approach for parameterizing relations. We perform an extensive experimental analysis on synthetic and real data, focusing explicitly on learning long-range patterns. The results indicate that the proposed method significantly outperforms several commonly used relational GNN variants when used in deeper configurations and stays competitive to existing architectures in a shallow setup.

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Efficient estimates of optimal transport via low-dimensional embeddings Patric Fulop, Vincent Danos

Optimal transport distances (OT) have been widely used in recent work in Machine Learning as ways to compare probability distributions. These are costly to compute when the data lives in high dimension.

Recent work aims specifically at reducing this cost by computing OT using low-ra nk projections of the data (seen as discrete measures)~\citep{paty2019subspace}. We extend this approach and show that one can approximate OT distances by using more general families of maps provided they are 1-Lipschitz. The best estimate is obtained by maximising OT over the given family. As OT calculations are done after mapping data to a lower dimensional space, our method scales well with the original data dimension.

We demonstrate the idea with neural networks.

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Mapping the Timescale Organization of Neural Language Models

Hsiang-Yun Sherry Chien, Jinhan Zhang, Christopher Honey

In the human brain, sequences of language input are processed within a distribut ed and hierarchical architecture, in which higher stages of processing encode co ntextual information over longer timescales. In contrast, in recurrent neural ne tworks which perform natural language processing, we know little about how the  $\ensuremath{\mathtt{m}}$ ultiple timescales of contextual information are functionally organized. Therefo re, we applied tools developed in neuroscience to map the "processing timescales " of individual units within a word-level LSTM language model. This timescale-ma pping method assigned long timescales to units previously found to track long-ra nge syntactic dependencies. Additionally, the mapping revealed a small subset of the network (less than 15% of units) with long timescales and whose function ha d not previously been explored. We next probed the functional organization of th e network by examining the relationship between the processing timescale of unit s and their network connectivity. We identified two classes of long-timescale un its: "controller" units composed a densely interconnected subnetwork and strongl y projected to the rest of the network, while "integrator" units showed the long est timescales in the network, and expressed projection profiles closer to the m ean projection profile. Ablating integrator and controller units affected model performance at different positions within a sentence, suggesting distinctive fun ctions of these two sets of units. Finally, we tested the generalization of thes e results to a character-level LSTM model and models with different architecture s. In summary, we demonstrated a model-free technique for mapping the timescale organization in recurrent neural networks, and we applied this method to reveal the timescale and functional organization of neural language models

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Universal Sentence Representations Learning with Conditional Masked Language Mod el

Ziyi Yang, Yinfei Yang, Daniel M Cer, Jax Law, Eric Darve

This paper presents a novel training method, Conditional Masked Language Modelin g (CMLM), to effectively learn sentence representations on large scale unlabeled corpora. CMLM integrates sentence representation learning into MLM training by conditioning on the encoded vectors of adjacent sentences. Our English CMLM mode lachieves state-of-the-art performance on SentEval, even outperforming models learned using (semi-)supervised signals. As a fully unsupervised learning method, CMLM can be conveniently extended to a broad range of languages and domains. We find that a multilingual CMLM model co-trained with bitext retrieval~(BR) and natural language inference~(NLI) tasks outperforms the previous state-of-the-art

multilingual models by a large margin. We explore the same language bias of the learned representations, and propose a principle component based approach to rem ove the language identifying information from the representation while still ret aining sentence semantics.

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GLUECode: A Benchmark for Source Code Machine Learning Models Anjan Karmakar, Julian Aron Prenner, Miltiadis Allamanis, Romain Robbes

A multitude of machine learning models for source code have been proposed in the recent years capturing various aspects of the inherent rich structure and seman tics of code. However, these models are commonly designed to perform well on a single task, failing to capture code's multifaceted nature. To address this, we present GLUECode, Global and Local Understanding Evaluation of Code, a benchmark of diverse tasks to evaluate machine learning models of source code.

Crucially, GLUECode accounts for the distinct characteristics of source code: (1 ) source code is highly structured and (2) source code is often composed of mult iple interacting entities. Existing tasks incentivize researchers to create mode ls and code representations that perform well on a single task - commonly focusi ng on local reasoning. GLUECode aims to allow researchers to experiment with mul tiple local and global source code representations, and evaluate these models on their ability to capture the diverse characteristics of source code, thus driving the community towards building robust source code models incorporating global reasoning.

We present results for several baselines. The GLUECode tasks are challenging for the evaluated baselines; no model achieves convincing performance across all tasks. This indicates that there is ample room for progress on GLUECode.

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Which Model to Transfer? Finding the Needle in the Growing Haystack Cedric Renggli, André Susano Pinto, Luka Rimanic, Joan Puigcerver, Carlos Riquelme R uiz, Ce Zhang, Mario Lucic

Transfer learning has been recently popularized as a data-efficient alternative to training models from scratch, in particular in vision and NLP where it provid es a remarkably solid baseline. The emergence of rich model repositories, such a s TensorFlow Hub, enables the practitioners and researchers to unleash the poten tial of these models across a wide range of downstream tasks. As these repositor ies keep growing exponentially, efficiently selecting a good model for the task at hand becomes paramount. We provide a formalization of this problem through a familiar notion of regret and introduce the predominant strategies, namely task-agnostic (e.g. picking the highest scoring ImageNet model) and task-aware search strategies (such as linear or kNN evaluation). We conduct a large-scale empiric al study and show that both task-agnostic and task-aware methods can yield high regret. We then propose a simple and computationally efficient hybrid search strategy which outperforms the existing approaches. We highlight the practical bene fits of the proposed solution on a set of 19 diverse vision tasks.

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Disentangling Representations of Text by Masking Transformers

Xiongyi Zhang, Jan-Willem van de Meent, Byron C Wallace

Representations in large language models such as BERT encode a range of features into a single vector, which are predictive in the context of a multitude of dow nstream tasks. In this paper, we explore whether it is possible to learn disenta ngled representations by identifying subnetworks in pre-trained models that enc ode distinct, complementary aspects of the representation. Concretely, we learn binary masks over transformer weights or hidden units to uncover the subset of f eatures that correlate with a specific factor of variation. This sidesteps the n eed to train a disentangled model from scratch within a particular domain. We ev aluate the ability of this method to disentangle representations of syntax and s emantics, and sentiment from genre in the context of movie reviews. By combining this method with magnitude pruning we find that we can identify quite sparse su bnetworks. Moreover, we find that this disentanglement-via-masking approach perf

orms as well as or better than previously proposed methods based on variational autoencoders and adversarial training.

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ROMUL: Scale Adaptative Population Based Training

Daniel HAZIZA, Jérémy Rapin, Gabriel Synnaeve

In most pragmatic settings, data augmentation and regularization are essential, and require hyperparameter search.

Population based training (PBT) is an effective tool for efficiently finding the m as well as schedules over hyperparameters.

In this paper, we compare existing PBT algorithms and contribute a new one: ROMU L, for RObust MULtistep search, which adapts its stepsize over the course of training.

We report competitive results with standard models on CIFAR (image classificatio n) as well as Penn Tree Bank (language modeling), which both depend on heavy regularization.

We also open-source hoptim, a PBT library agnostic to the training framework, wh ich is simple to use, reentrant, and provides good defaults with ROMUL.

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Deep Ensembles for Low-Data Transfer Learning

Basil Mustafa, Carlos Riquelme Ruiz, Joan Puigcerver, André Susano Pinto, Daniel Key sers, Neil Houlsby

In the low-data regime, it is difficult to train good supervised models from scr atch.

Instead practitioners turn to pre-trained models, leveraging transfer learning. Ensembling is an empirically and theoretically appealing way to construct powerf ul predictive models, but the predominant approach of training multiple deep net works with different random initialisations collides with the need for transfer via pre-trained weights. In this work, we study different ways of creating ensem bles from pre-trained models. We show that the nature of pre-training itself is a performant source of diversity, and propose a practical algorithm that efficie ntly identifies a subset of pre-trained models for any downstream dataset. The a pproach is simple: Use nearest-neighbour accuracy to rank pre-trained models, fi ne-tune the best ones with a small hyperparameter sweep, and greedily construct an ensemble to minimise validation cross-entropy. When evaluated together with s trong baselines on 19 different downstream tasks (the Visual Task Adaptation Ben chmark), this achieves state-of-the-art performance at a much lower inference bu dget, even when selecting from over 2,000 pre-trained models. We also assess our ensembles on ImageNet variants and show improved robustness to distribution shi ft.

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Neural networks with late-phase weights

Johannes Von Oswald, Seijin Kobayashi, Joao Sacramento, Alexander Meulemans, Christi an Henning, Benjamin F Grewe

The largely successful method of training neural networks is to learn their weights using some variant of stochastic gradient descent (SGD). Here, we show that the solutions found by SGD can be further improved by ensembling a subset of the weights in late stages of learning. At the end of learning, we obtain back a single model by taking a spatial average in weight space. To avoid incurring increased computational costs, we investigate a family of low-dimensional late-phase weight models which interact multiplicatively with the remaining parameters. Our results show that augmenting standard models with late-phase weights improves generalization in established benchmarks such as CIFAR-10/100, ImageNet and enwik 8. These findings are complemented with a theoretical analysis of a noisy quadratic problem which provides a simplified picture of the late phases of neural net work learning.

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Neural Ensemble Search for Uncertainty Estimation and Dataset Shift Sheheryar Zaidi, Arber Zela, Thomas Elsken, Chris Holmes, Frank Hutter, Yee Whye Teh Ensembles of neural networks achieve superior performance compared to stand-alon e networks not only in terms of predictive performance, but also uncertainty cal ibration and robustness to dataset shift. Diversity among networks is believed to be key for building strong ensembles, but typical approaches, such as \emph{de ep ensembles}, only ensemble different weight vectors of a fixed architecture. I nstead, we propose two methods for constructing ensembles to exploit diversity a mong networks with \emph{varying} architectures. We find that the resulting ensembles are indeed more diverse and also exhibit better uncertainty calibration, p redictive performance and robustness to dataset shift in comparison with deep en sembles on a variety of classification tasks.

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Hindsight Curriculum Generation Based Multi-Goal Experience Replay Xiaoyun Feng

In multi-goal tasks with sparse rewards, it is challenging to learn from tons of experiences with zero rewards. Hindsight experience replay (HER), which replays past experiences with additional heuristic goals, has shown it possible for off -policy reinforcement learning (RL) to make use of failed experiences. However, the replayed experiences may not lead to well-explored state-action pairs, especially for a pseudo goal, which instead results in a poor estimate of the value f unction. To tackle the problem, we propose to resample hindsight experiences based on their likelihood under the current policy and the overall distribution. Based on the hindsight strategy, we introduce a novel multi-goal experience replay method that automatically generates a training curriculum, namely Hindsight Curriculum Generation (HCG). As the range of experiences expands, the generated curriculum strikes a dynamic balance between exploiting and exploring. We implement HCG with the vanilla Deep Deterministic Policy Gradient(DDPG), and experiments on several tasks with sparse binary rewards demonstrate that HCG improves sample efficiency of the state of the art.

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Uncertainty-aware Active Learning for Optimal Bayesian Classifier

Guang Zhao, Edward Dougherty, Byung-Jun Yoon, Francis Alexander, Xiaoning Qian

For pool-based active learning, in each iteration a candidate training sample is chosen for labeling by optimizing an acquisition function. In Bayesian classification, expected Loss Reduction~(ELR) methods maximize the expected reduction in the classification error given a new labeled candidate based on a one-step-look-ahead strategy. ELR is the optimal strategy with a single query; however, since such myopic strategies cannot identify the long-term effect of a query on the classification error, ELR may get stuck before reaching the optimal classifier. In this paper, inspired by the mean objective cost of uncertainty (MOCU), a metric quantifying the uncertainty directly affecting the classification error, we propose an acquisition function based on a weighted form of MOCU. Similar to ELR, the proposed method focuses on the reduction of the uncertainty that pertains to the classification error. But unlike any other existing scheme, it provides the critical advantage that the resulting Bayesian active learning algorithm quara

ResNet After All: Neural ODEs and Their Numerical Solution Katharina Ott, Prateek Katiyar, Philipp Hennig, Michael Tiemann

A key appeal of the recently proposed Neural Ordinary Differential Equation (ODE ) framework is that it seems to provide a continuous-time extension of discrete residual neural networks.

ntees convergence to the optimal classifier of the true model. We demonstrate it

As we show herein, though, trained Neural ODE models actually depend on the spec ific numerical method used during training.

If the trained model is supposed to be a flow generated from an ODE, it should be possible to choose another numerical solver with equal or smaller numerical er ror without loss of performance.

We observe that if training relies on a solver with overly coarse discretization , then testing with another solver of equal or smaller numerical error results i n a sharp drop in accuracy.

In such cases, the combination of vector field and numerical method cannot be in terpreted as a flow generated from an ODE, which arguably poses a fatal breakdow

n of the Neural ODE concept.

We observe, however, that there exists a critical step size beyond which the training yields a valid ODE vector field.

We propose a method that monitors the behavior of the ODE solver during training to adapt its step size, aiming to ensure a valid ODE without unnecessarily increasing computational cost.

We verify this adaption algorithm on a common bench mark dataset as well as a synthetic dataset.

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Benefit of deep learning with non-convex noisy gradient descent: Provable excess risk bound and superiority to kernel methods

Taiji Suzuki, Shunta Akiyama

Establishing a theoretical analysis that explains why deep learning can outperform shallow learning such as kernel methods is one of the biggest issues in the deep learning literature. Towards answering this question, we evaluate excess risk of a deep learning estimator trained by a noisy gradient descent with ridge regularization on a mildly overparameterized neural network,

and discuss its superiority to a class of linear estimators that includes neural tangent kernel approach, random feature model, other kernel methods, k-NN est imator and so on. We consider a teacher-student regression model, and eventually show that {\it any} linear estimator can be outperformed by deep learning in a sense of the minimax optimal rate especially for a high dimension setting. The obtained excess bounds are so-called fast learning rate which is faster than  $0(1 /\sqrt{1})$  that is obtained by usual Rademacher complexity analysis. This discrepancy is induced by the non-convex geometry of the model and the noisy gradient descent used for neural network training provably reaches a near global optimal solution even though the loss landscape is highly non-convex. Although the noisy gradient descent does not employ any explicit or implicit sparsity inducing regularization, it shows a preferable generalization performance that dominates linear estimators.

Deep Ecological Inference

Nic Fishman, Colin McAuliffe

We introduce an efficient approximation to the loss function for the ecological inference problem, where individual labels are predicted from aggregates. This a llows us to construct ecological versions of linear models, deep neural networks, and Bayesian neural networks. Using these models we infer probabilities of vote choice for candidates in the Maryland 2018 midterm elections for 2,322,277 voters in 2055 precincts. We show that increased network depth and joint learning of multiple races within an election improves the accuracy of ecological inference when compared to benchmark data from polling. Additionally we leverage data on the joint distribution of ballots (available from ballot images which are public for election administration purposes) to show that joint learning leads to significantly improved recovery of the covariance structure for multi-task ecological inference. Our approach also allows learning latent representations of voters, which we show outperform raw covariates for leave-one-out prediction.

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Grey-box Extraction of Natural Language Models

Santiago Zanella-Beguelin, Shruti Tople, Andrew Paverd, Boris Köpf

Model extraction attacks attempt to replicate a target machine learning model fr om predictions obtained by querying its inference API. Most existing attacks on Deep Neural Networks achieve this by supervised training of the copy using the victim's predictions. An emerging class of attacks exploit algebraic properties of DNNs to obtain high-fidelity copies using orders of magnitude fewer queries than the prior state-of-the-art. So far, such powerful attacks have been limited to networks with few hidden layers and ReLU activations.

In this paper we present algebraic attacks on large-scale natural language model s in a grey-box setting, targeting models with a pre-trained (public) encoder fo

llowed by a single (private) classification layer. Our key observation is that a small set of arbitrary embedding vectors is likely to form a basis of the class ification layer's input space, which a grey-box adversary can compute. We show how to use this information to solve an equation system that determines the class ification layer from the corresponding probability outputs.

We evaluate the effectiveness of our attacks on different sizes of transformer m odels and downstream tasks. Our key findings are that (i) with frozen base layer s, high-fidelity extraction is possible with a number of queries that is as smal l as twice the input dimension of the last layer. This is true even for queries that are entirely in-distribution, making extraction attacks indistinguishable f rom legitimate use; (ii) with fine-tuned base layers, the effectiveness of algeb raic attacks decreases with the learning rate, showing that fine-tuning is not only beneficial for accuracy but also indispensable for model confidentiality.

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Cortico-cerebellar networks as decoupled neural interfaces Joseph Pemberton, Ellen Boven, Richard Apps, Rui Ponte Costa

The brain solves the credit assignment problem remarkably well. For credit to be correctly assigned across multiple cortical areas a given area should, in princ iple, wait for others to finish their computation. How the brain deals with this locking problem has remained unclear. Deep learning methods suffer from similar locking constraints both on the forward and backward phase. Recently, decoupled neural interfaces (DNI) were introduced as a solution to the forward and backward locking problems.

Here we propose that a specialised brain region, the cerebellum, helps the cereb ral cortex solve the locking problem closely matching the computations and archi tecture of DNI. In particular, we propose that classical cerebellar forward and inverse models are equivalent to solving the backward and forward locking proble ms, respectively. To demonstrate the potential of this framework we focus on mod elling a given brain area as a recurrent neural network in which the cerebellum approximates temporal feedback signals as provided by BPTT. We tested the cortic o-cerebellar-DNI (CC-DNI) model in a range of sensorimotor and cognitive tasks t hat have been shown to be cerebellar-dependent. First, we show that the CC-DNI u nlocking mechanisms can facilitate learning in a simple target reaching task. Ne xt, by building on the sequential MNIST task we demonstrate that these results g eneralise to more complex sensorimotor tasks. Our cortico-cerebellar model readi ly applies to a wider range of modalities, to demonstrate this we tested the mod el in a cognitive task, caption generation. Models without the cerebellar-DNI co mponent exhibit deficits similar to those observed in cerebellar patients in bot h motor and cognitive tasks. Moreover, we used CC-DNI to generate a set of speci fic neuroscience predictions. Finally, we introduce a CC-DNI model with highly s parse connectivity as observed in the cerebellum, which substantially reduces th e number of parameters while improving learning through decorrelation.

Overall, our work offers a novel perspective on the cerebellum as a brain-wide d ecoupling machine for efficient credit assignment and opens a new avenue of rese arch between deep learning and neuroscience.

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Iterative convergent computation is not a useful inductive bias for ResNets Samuel Lippl, Benjamin Peters, Nikolaus Kriegeskorte

Recent work has suggested that feedforward residual neural networks (ResNets) ap proximate iterative recurrent computations. Iterative computations are useful in many domains, so they might provide good solutions for neural networks to learn. Here we quantify the degree to which ResNets learn iterative solutions and int roduce a regularization approach that encourages learning of iterative solutions. Iterative methods are characterized by two properties: iteration and convergen ce. To quantify these properties, we define three indices of iterative convergen ce. Consistent with previous work, we show that, even though ResNets can express iterative solutions, they do not learn them when trained conventionally on computer vision tasks. We then introduce regularizations to encourage iterative convergent computation and test whether this provides a useful inductive bias. To ma

ke the networks more iterative, we manipulate the degree of weight sharing acros s layers using soft gradient coupling. This new method provides a form of recurr ence regularization and can interpolate smoothly between an ordinary ResNet and a `recurrent" ResNet (i.e., one that uses identical weights across layers and t hus could be physically implemented with a recurrent network computing the succe ssive stages iteratively across time). To make the networks more convergent we i mpose a Lipschitz constraint on the residual functions using spectral normalizat ion. The three indices of iterative convergence reveal that the gradient couplin g and the Lipschitz constraint succeed at making the networks iterative and convergent, respectively. However, neither recurrence regularization nor spectral normalization improve classification accuracy on standard visual recognition tasks (MNIST, CIFAR-10, CIFAR-100) or on challenging recognition tasks with partial o cclusions (Digitclutter). Iterative convergent computation, in these tasks, does not provide a useful inductive bias for ResNets.

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Uncertainty for deep image classifiers on out of distribution data.

Tiago Salvador, Alexander Iannantuono, Adam M Oberman

In addition to achieving high accuracy, in many applications, it is important to estimate the probability that a model prediction is correct. Predictive uncer tainty is particularly important on out of distribution (OOD) data where accuracy degrades. However, models are typically overconfident, and model calibration on OOD data remains a challenge. In this paper we propose a simple post hoc calibration method that significantly improves on benchmark results [Ovadia et al 2 019] on a wide range of corrupted data. Our method uses outlier exposure to properly calibrate the model probabilities.

Generalized Variational Continual Learning

Noel Loo, Siddharth Swaroop, Richard E Turner

Continual learning deals with training models on new tasks and datasets in an on line fashion. One strand of research has used probabilistic regularization for c ontinual learning, with two of the main approaches in this vein being Online Ela stic Weight Consolidation (Online EWC) and Variational Continual Learning (VCL). VCL employs variational inference, which in other settings has been improved empirically by applying likelihood-tempering. We show that applying this modificat ion to VCL recovers Online EWC as a limiting case, allowing for interpolation be tween the two approaches. We term the general algorithm Generalized VCL (GVCL). In order to mitigate the observed overpruning effect of VI, we take inspiration from a common multi-task architecture, neural networks with task-specific FiLM layers, and find that this addition leads to significant performance gains, specifically for variational methods. In the small-data regime, GVCL strongly outperforms existing baselines. In larger datasets, GVCL with FiLM layers outperforms or is competitive with existing baselines in terms of accuracy, whilst also providing significantly better calibration.

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Succinct Explanations with Cascading Decision Trees

JIALU ZHANG, Mark Santolucito, Ruzica Piskac

Classic decision tree learning is a binary classification algorithm that constructs models with first-class transparency - every classification has a directly derivable explanation. However, learning decision trees on modern datasets generates large trees, which in turn generate decision paths of excessive depth, obscuring the explanation of classifications. To improve the comprehensibility of classifications, we propose a new decision tree model that we call Cascading Decision Trees. Cascading Decision Trees shorten the size of explanations of classifications, without sacrificing model performance overall. Our key insight is to separate the notion of a decision path and an explanation path. Utilizing this insight, instead of having one monolithic decision tree, we build several smaller decision subtrees and cascade them in sequence. Our cascading decision subtrees are designed to specifically target explanations for positive classifications. This way each subtree identifies the smallest set of features that can classify as many positive samples as possible, without misclassifying any negative samples.

Applying cascading decision trees to new samples results in a significantly shor ter and succinct explanation, if one of the subtrees detects a positive classification. In that case, we immediately stop and report the decision path of only the current subtree to the user as an explanation for the classification. We evaluate our algorithm on standard datasets, as well as new real-world applications and find that our model shortens the explanation depth by over 40.8\% for positive classifications compared to the classic decision tree model.

On the Importance of Looking at the Manifold

Nil Adell Mill, Jannis Born, Nathaniel Park, James Hedrick, María Rodríguez Martínez, Matteo Manica

Data rarely lies on uniquely Euclidean spaces. Even data typically represented i n regular domains, such as images, can have a higher level of relational informa tion, either between data samples or even relations within samples, e.g., how th e objects in an image are linked. With this perspective our data points can be e nriched by explicitly accounting for this connectivity and analyzing them as a g raph. Herein, we analyze various approaches for unsupervised representation lear ning and investigate the importance of considering topological information and i ts impact when learning representations. We explore a spectrum of models, rangin g from uniquely learning representations based on the isolated features of the n odes (focusing on Variational Autoencoders), to uniquely learning representation s based on the topology (using node2vec) passing through models that integrate b oth node features and topological information in a hybrid fashion. For the latte r we use Graph Neural Networks, precisely Deep Graph Infomax (DGI), and an exten sion of the typical formulation of the VAE where the topological structure is ac counted for via an explicit regularization of the loss (Graph-Regularized VAEs, introduced in this work). To extensively investigate these methodologies, we con sider a wide variety of data types: synthetic data point clouds, MNIST, citation networks, and chemical reactions. We show that each of the representations lear ned by these models may have critical importance for further downstream tasks, a nd that accounting for the topological features can greatly improve the modeling capabilities for certain problems. We further provide a framework to analyze th ese, and future models under different scenarios and types of data.

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Pretrain-to-Finetune Adversarial Training via Sample-wise Randomized Smoothing Lei Wang, Runtian Zhai, Di He, Liwei Wang, Li Jian

Developing certified models that can provably defense adversarial perturbations is important in machine learning security. Recently, randomized smoothing, combined with other techniques (Cohen et al., 2019; Salman et al., 2019), has been shown to be an effective method to certify models under \$1\_2\$ perturbations. Existing work for certifying \$1\_2\$ perturbations added the same level of Gaussian noise to each sample. The noise level determines the trade-off between the test a ccuracy and the average certified robust radius. We propose to further improve the defense via sample-wise randomized smoothing, which assigns different noise levels to different samples. Specifically, we propose a pretrain-to-finetune framework that first pretrains a model and then adjusts the noise levels for higher performance based on the model's outputs. For certification, we carefully allocate specific robust regions for each test sample. We perform extensive experiments on CIFAR-10 and MNIST datasets and the experimental results demonstrate that our method can achieve better accuracy-robustness trade-off in the transductive setting.

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Evaluating Gender Bias in Natural Language Inference

Shanya Sharma, Manan Dey, Koustuv Sinha

Gender-bias stereotypes have recently raised significant ethical concerns in nat ural language processing. However, progress in the detection and evaluation of g ender-bias in natural language understanding through inference is limited and re quires further investigation. In this work, we propose an evaluation methodology to measure these biases by constructing a probe task that involves pairing a ge

nder-neutral premise against a gender-specific hypothesis. We use our probe task to investigate state-of-the-art NLI models on the presence of gender stereotype s using occupations. Our findings suggest that three models (BERT, RoBERTa, and BART) trained on MNLI and SNLI data-sets are significantly prone to gender-induc ed prediction errors. We also find that debiasing techniques such as augmenting the training dataset to ensure that it is a gender-balanced dataset can help red uce such bias in certain cases.

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Mind the Gap when Conditioning Amortised Inference in Sequential Latent-Variable Models

Justin Bayer, Maximilian Soelch, Atanas Mirchev, Baris Kayalibay, Patrick van der Smagt

Amortised inference enables scalable learning of sequential latent-variable mode ls (LVMs) with the evidence lower bound (ELBO). In this setting, variational pos teriors are often only partially conditioned. While the true posteriors depend, e.g., on the entire sequence of observations, approximate posteriors are only in formed by past observations. This mimics the Bayesian filter---a mixture of smoo thing posteriors. Yet, we show that the ELBO objective forces partially-conditio ned amortised posteriors to approximate products of smoothing posteriors instead. Consequently, the learned generative model is compromised. We demonstrate thes e theoretical findings in three scenarios: traffic flow, handwritten digits, and aerial vehicle dynamics. Using fully-conditioned approximate posteriors, perfor mance improves in terms of generative modelling and multi-step prediction.

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CausalWorld: A Robotic Manipulation Benchmark for Causal Structure and Transfer Learning

Ossama Ahmed, Frederik Träuble, Anirudh Goyal, Alexander Neitz, Manuel Wuthrich, Yosh ua Bengio, Bernhard Schölkopf, Stefan Bauer

Despite recent successes of reinforcement learning (RL), it remains a challenge for agents to transfer learned skills to related environments. To facilitate res earch addressing this problem, we proposeCausalWorld, a benchmark for causal str ucture and transfer learning in a robotic manipulation environment. The environm ent is a simulation of an open-source robotic platform, hence offering the possi bility of sim-to-real transfer. Tasks consist of constructing 3D shapes from a s et of blocks - inspired by how children learn to build complex structures. The k ey strength of CausalWorld is that it provides a combinatorial family of such ta sks with common causal structure and underlying factors (including, e.g., robot and object masses, colors, sizes). The user (or the agent) may intervene on all causal variables, which allows for fine-grained control over how similar different tasks (or task distributions) are. One can thus easily define trai ning and evaluation distributions of a desired difficulty level, targeting a sp ecific form of generalization (e.g., only changes in appearance or object mass) . Further, this common parametrization facilitates defining curricula by interpo lating between an initial and a target task. While users may define their own ta sk distributions, we present eight meaningful distributions as concrete benchmar ks, ranging from simple to very challenging, all of which require long-horizon p lanning as well as precise low-level motor control. Finally, we provide baseline results for a subset of these tasks on distinct training curricula and correspo nding evaluation protocols, verifying the feasibility of the tasks in this bench mark.

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Addressing Extrapolation Error in Deep Offline Reinforcement Learning Caglar Gulcehre, Sergio Gómez Colmenarejo, ziyu wang, Jakub Sygnowski, Thomas Paine, Konrad Zolna, Yutian Chen, Matthew Hoffman, Razvan Pascanu, Nando de Freitas Reinforcement learning (RL) encompasses both online and offline regimes. Unlike its online counterpart, offline RL agents are trained using logged-data only, w ithout interaction with the environment. Therefore, offline RL is a promising direction for real-world applications, such as healthcare, where repeated interaction with environments is prohibitive. However, since offline RL losses often involve evaluating state-action pairs not well-covered by training data, they can

suffer due to the errors introduced when the function approximator attempts to extrapolate those pairs' value. These errors can be compounded by bootstrapping when the function approximator overestimates, leading the value function to \*gro w unbounded\*, thereby crippling learning. In this paper, we introduce a three-pa rt solution to combat extrapolation errors: (i) behavior value estimation, (ii) ranking regularization, and (iii) reparametrization of the value function. We p rovide ample empirical evidence on the effectiveness of our method, showing stat e of the art performance on the RL Unplugged (RLU) ATARI dataset. Furthermore, w e introduce new datasets for bsuite as well as partially observable DeepMind Lab environments, on which our method outperforms state of the art offline RL algor ithms.

Certified robustness against physically-realizable patch attack via randomized c ropping

Wan-Yi Lin, Fatemeh Sheikholeslami, jinghao shi, Leslie Rice, J Zico Kolter
This paper studies a certifiable defense against adversarial patch attacks on im
age classification. Our approach classifies random crops from the original image
independently and the original image is classified as the vote over these crops
. This process minimizes changes to the training process, as only the crop clas
sification model needs to be trained, and can be trained in a standard manner wi
thout explicit adversarial training. Leveraging the fact that a patch attack ca
n only influence some pixels of the image, we derive certified robustness bounds
on the resulting classification. Our method is particularly effective when real
istic physical transformations are applied to the adversarial patch, such as aff
ine transformations. Such transformations occur naturally when an adversarial pa
tch is physically introduced to a scene. Our method improves upon the current st
ate of the art in defending against patch attacks on CIFAR10 and ImageNet, both
in terms of certified accuracy and inference time.

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Leveraging affinity cycle consistency to isolate factors of variation in learned representations

Kieran A Murphy, Varun Jampani, Srikumar Ramalingam, Ameesh Makadia

Identifying the dominant factors of variation across a dataset is a central goal of representation learning. Generative approaches lead to descriptions that are rich enough to recreate the data, but often only a partial description is neede d to complete downstream tasks or to gain insights about the dataset. In this w ork, we operate in the setting where limited information is known about the data in the form of groupings, or set membership, and the task is to learn represent ations which isolate the factors of variation that are common across the groupin Our key insight is the use of affinity cycle consistency (ACC) between the learned embeddings of images belonging to different sets. In contrast to prior  $\boldsymbol{w}$ ork, we demonstrate that ACC can be applied with significantly fewer constraints on the factors of variation, across a remarkably broad range of settings, and w ithout any supervision for half of the data. By curating datasets from Shapes3D, we quantify the effectiveness of ACC through mutual information between the lea rned representations and the known generative factors. In addition, we demonstra te the applicability of ACC to the tasks of digit style isolation and syntheticto-real object pose transfer and compare to generative approaches utilizing the same supervision.

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Transformer protein language models are unsupervised structure learners Roshan Rao, Joshua Meier, Tom Sercu, Sergey Ovchinnikov, Alexander Rives Unsupervised contact prediction is central to uncovering physical, structural, a nd functional constraints for protein structure determination and design. For de cades, the predominant approach has been to infer evolutionary constraints from a set of related sequences. In the past year, protein language models have emerg ed as a potential alternative, but performance has fallen short of state-of-the-art approaches in bioinformatics. In this paper we demonstrate that Transformer attention maps learn contacts from the unsupervised language modeling objective.

We find the highest capacity models that have been trained to date already outp erform a state-of-the-art unsupervised contact prediction pipeline, suggesting t hese pipelines can be replaced with a single forward pass of an end-to-end model

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Neural ODE Processes

Alexander Norcliffe, Cristian Bodnar, Ben Day, Jacob Moss, Pietro Liò

Neural Ordinary Differential Equations (NODEs) use a neural network to model the instantaneous rate of change in the state of a system. However, despite their a pparent suitability for dynamics-governed time-series, NODEs present a few disad vantages. First, they are unable to adapt to incoming data-points, a fundamental requirement for real-time applications imposed by the natural direction of time . Second, time-series are often composed of a sparse set of measurements that co uld be explained by many possible underlying dynamics. NODEs do not capture this uncertainty. In contrast, Neural Processes (NPs) are a new class of stochastic processes providing uncertainty estimation and fast data-adaptation, but lack an explicit treatment of the flow of time. To address these problems, we introduce Neural ODE Processes (NDPs), a new class of stochastic processes determined by a distribution over Neural ODEs. By maintaining an adaptive data-dependent distr ibution over the underlying ODE, we show that our model can successfully capture the dynamics of low-dimensional systems from just a few data-points. At the sam e time, we demonstrate that NDPs scale up to challenging high-dimensional time-s eries with unknown latent dynamics such as rotating MNIST digits.

Quickest change detection for multi-task problems under unknown parameters Firas Jarboui, Vianney Perchet

We consider the quickest change detection problem where both the parameters of p re- and post- change distributions are unknown, which prevent the use of classic al simple hypothesis testing. Without additional assumptions, optimal solutions are not tractable as they rely on some minimax and robust variant of the objective. As a consequence, change points might be detected too late for practical applications (in economics, health care or maintenance for instance).

Other approaches solve a relaxed version of the problem through the use of particular probability distributions or the use of domain knowledge.

We tackle this problem in the more complex Markovian case and we provide a new s calable approximate algorithm with near optimal performance that runs in  $\pi$  al $\{0\}$  (1).

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Fourier Representations for Black-Box Optimization over Categorical Variables Hamid Dadkhahi, Jesus Rios, Karthikeyan Shanmugam, Payel Das

Optimization of real-world black-box functions defined over purely categorical v ariables is an active area of research. In particular, optimization and design o f biological sequences with specific functional or structural properties have a profound impact in medicine, materials science, and biotechnology. Standalone ac quisition methods, such as simulated annealing (SA) and Monte Carlo tree search (MCTS), are typically used for such optimization problems. In order to improve t he performance and sample efficiency of such acquisition methods, we propose to use existing acquisition methods in conjunction with a surrogate model for the b lack-box evaluations over purely categorical variables. To this end, we present two different representations, a group-theoretic Fourier expansion and an abridg ed one-hot encoded Boolean Fourier expansion. To learn such models, characters o f each representation are considered as experts and their respective coefficient s are updated via an exponential weight update rule each time the black box is e valuated. Numerical experiments over synthetic benchmarks as well as real-world RNA sequence optimization and design problems demonstrate the representational p ower of the proposed methods, which achieve competitive or superior performance compared to state-of-the-art counterparts, while improving the computational cos t and/or sample efficiency substantially.

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Implicit Acceleration of Gradient Flow in Overparameterized Linear Models Salma Tarmoun, Guilherme França, Benjamin David Haeffele, Rene Vidal

We study the implicit acceleration of gradient flow in over-parameterized two-la yer linear models. We show that implicit acceleration emerges from a conservation new that constrains the dynamics to follow certain trajectories. More precisely, gradient flow preserves the difference of the Gramian-matrices of the input and output weights and we show that the amount of acceleration depends on both the magnitude of that difference (which is fixed at initialization) and the spectrum of the data. In addition, and generalizing prior work, we prove our results without assuming small, balanced or spectral initialization for the weights, and establish interesting connections between the matrix factorization problem and R iccati type differential equations.

Dual-Tree Wavelet Packet CNNs for Image Classification

Hubert Leterme, Kévin Polisano, Valérie Perrier, Karteek Alahari In this paper, we target an important issue of deep convolutional neural network

s (CNNs) — the lack of a mathematical understanding of their properties. We present an explicit formalism that is motivated by the similarities between trained CNN kernels and oriented Gabor filters for addressing this problem. The core ide a is to constrain the behavior of convolutional layers by splitting them into a succession of wavelet packet decompositions, which are modulated by freely-train ed mixture weights. We evaluate our approach with three variants of wavelet decompositions with the AlexNet architecture for image classification as an example. The first variant relies on the separable wavelet packet transform while the ot

The first variant relies on the separable wavelet packet transform while the ot her two implement the 2D dual-tree real and complex wavelet packet transforms, t aking advantage of their feature extraction properties such as directional selectivity and shift invariance. Our experiments show that we achieve the accuracy r ate of standard AlexNet, but with a significantly lower number of parameters, and an interpretation of the network that is grounded in mathematical theory.

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Hyperparameter Transfer Across Developer Adjustments

Danny Stoll, Jörg K.H. Franke, Diane Wagner, Simon Selg, Frank Hutter

After developer adjustments to a machine learning (ML) algorithm, how can the re sults of an old hyperparameter optimization (HPO) automatically be used to speed up a new HPO? This question poses a challenging problem, as developer adjustment s can change which hyperparameter settings perform well, or even the hyperparameter search space itself. While many approaches exist that leverage knowledge obt ained on previous tasks, so far, knowledge from previous development steps remains entirely untapped. In this work, we remedy this situation and propose a new research framework: hyperparameter transfer across adjustments (HT-AA). To lay a solid foundation for this research framework, we provide four simple HT-AA basel ine algorithms and eight benchmarks

changing various aspects of ML algorithms, their hyperparameter search spaces, a nd the neural architectures used. The best baseline, on average and depending on the budgets for the old and new HPO, reaches a given performance 1.2-3.6x faste r than a prominent HPO algorithm without transfer. As HPO is a crucial step in ML development but requires extensive computational resources, this speedup would lead to faster development cycles, lower costs, and reduced environmental impacts. To make these benefits available to ML developers off-the-shelf and to facilitate future research on HT-AA, we provide python packages for our baselines and benchmarks.

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The role of Disentanglement in Generalisation

Milton Llera Montero, Casimir JH Ludwig, Rui Ponte Costa, Gaurav Malhotra, Jeffrey Bowers

Combinatorial generalisation — the ability to understand and produce novel combinations of familiar elements — is a core capacity of human intelligence that current AI systems struggle with. Recently, it has been suggested that learning discentangled representations may help address this problem. It is claimed that such representations should be able to capture the compositional structure of the wo

rld which can then be combined to support combinatorial generalisation. In this study, we systematically tested how the degree of disentanglement affects variou s forms of generalisation, including two forms of combinatorial generalisation t hat varied in difficulty. We trained three classes of variational autoencoders (VAEs) on two datasets on an unsupervised task by excluding combinations of gener ative factors during training. At test time we ask the models to reconstruct the missing combinations in order to measure generalisation performance. Irrespective of the degree of disentanglement, we found that the models supported only weak combinatorial generalisation. We obtained the same outcome when we directly in put perfectly disentangled representations as the latents, and when we tested a model on a more complex task that explicitly required independent generative factors to be controlled. While learning disentangled representations does improve interpretability and sample efficiency in some downstream tasks, our results suggest that they are not sufficient for supporting more difficult forms of general isation.

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Learning to live with Dale's principle: ANNs with separate excitatory and inhibitory units

Jonathan Cornford, Damjan Kalajdzievski, Marco Leite, Amélie Lamarquette, Dimitri Michael Kullmann, Blake Aaron Richards

The units in artificial neural networks (ANNs) can be thought of as abstraction s of biological neurons, and ANNs are increasingly used in neuroscience research . However, there are many important differences between ANN units and real neuro ns. One of the most notable is the absence of Dale's principle, which ensures th at biological neurons are either exclusively excitatory or inhibitory. Dale's pr inciple is typically left out of ANNs because its inclusion impairs learning. Th is is problematic, because one of the great advantages of ANNs for neuroscience research is their ability to learn complicated, realistic tasks. Here, by taking inspiration from feedforward inhibitory interneurons in the brain we show that we can develop ANNs with separate populations of excitatory and inhibitory units that learn just as well as standard ANNs. We call these networks Dale's ANNs (D ANNs). We present two insights that enable DANNs to learn well: (1) DANNs are re lated to normalization schemes, and can be initialized such that the inhibition centres and standardizes the excitatory activity, (2) updates to inhibitory neur on parameters should be scaled using corrections based on the Fisher Information matrix. These results demonstrate how ANNs that respect Dale's principle can be built without sacrificing learning performance, which is important for future w ork using ANNs as models of the brain. The results may also have interesting imp lications for how inhibitory plasticity in the real brain operates.

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A Simple Approach To Define Curricula For Training Neural Networks Vinu Sankar Sadasivan, Anirban Dasqupta

In practice, sequence of mini-batches generated by uniform sampling of examples from the entire data is used for training neural networks. Curriculum learning i s a training strategy that sorts the training examples by their difficulty and g radually exposes them to the learner. In this work, we propose two novel curricu lum learning algorithms and empirically show their improvements in performance w ith convolutional and fully-connected neural networks on multiple real image dat asets. Our dynamic curriculum learning algorithm tries to reduce the distance be tween the network weight and an optimal weight at any training step by greedily sampling examples with gradients that are directed towards the optimal weight. T he curriculum ordering determined by our dynamic algorithm achieves a training s peedup of \$\sim 45\%\$ in our experiments. We also introduce a new task-specific curriculum learning strategy that uses statistical measures such as standard dev iation and entropy values to score the difficulty of data points in natural imag e datasets. We show that this new approach yields a mean training speedup of \$\s im 43\% in the experiments we perform. Further, we also use our algorithms to 1 earn why curriculum learning works. Based on our study, we argue that curriculum learning removes noisy examples from the initial phases of training, and gradua lly exposes them to the learner acting like a regularizer that helps in improvin g the generalization ability of the learner.

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SALD: Sign Agnostic Learning with Derivatives

Matan Atzmon, Yaron Lipman

Learning 3D geometry directly from raw data, such as point clouds, triangle soup s, or unoriented meshes is still a challenging task that feeds many downstream c omputer vision and graphics applications.

In this paper, we introduce SALD: a method for learning implicit neural represen tations of shapes directly from raw data. We generalize sign agnostic learning (SAL) to include derivatives: given an unsigned distance function to the input raw data, we advocate a novel sign agnostic regression loss, incorporating both pointwise values and gradients of the unsigned distance function. Optimizing this loss leads to a signed implicit function solution, the zero level set of which is a high quality and valid manifold approximation to the input 3D data. The moti vation behind SALD is that incorporating derivatives in a regression loss leads to a lower sample complexity, and consequently better fitting. In addition, we provide empirical evidence, as well as theoretical motivation in 2D that SAL enjoys a minimal surface property, favoring minimal area solutions. More importantly, we are able to show that this property still holds for SALD, i.e., with derivatives included.

We demonstrate the efficacy of SALD for shape space learning on two challenging datasets: ShapeNet that contains inconsistent orientation and non-manifold meshe s, and D-Faust that contains raw 3D scans (triangle soups). On both these datase ts, we present state-of-the-art results.

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Better sampling in explanation methods can prevent dieselgate-like deception Domen Vreš, Marko Robnik Šikonja

Machine learning models are used in many sensitive areas where besides predictive accuracy their comprehensibility is also important. Interpretability of prediction models is necessary to determine their biases and causes of errors, and is a necessary prerequisite for users' confidence. For complex state-of-the-art black-box models post-hoc model-independent explanation techniques are an established solution. Popular and effective techniques, such as IME, LIME, and SHAP, use perturbation of instance features to explain individual predictions. Recently, Slack et al. (2020) put their robustness into question by showing that their out comes can be manipulated due to poor perturbation sampling employed. This weakness would allow dieselgate type cheating of owners of sensitive models who could deceive inspection and hide potentially unethical or illegal biases existing in their predictive models. This could undermine public trust in machine learning models and give rise to legal restrictions on their use.

We show that better sampling in these explanation methods prevents malicious man ipulations. The proposed sampling uses data generators that learn the training s et distribution and generate new perturbation instances much more similar to the training set. We show that the improved sampling increases the robustness of th e LIME and SHAP, while previously untested method IME is already the most robust of all.

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Ringing ReLUs: Harmonic Distortion Analysis of Nonlinear Feedforward Networks Christian H.X. Ali Mehmeti-Göpel, David Hartmann, Michael Wand

In this paper, we apply harmonic distortion analysis to understand the effect of nonlinearities in the spectral domain. Each nonlinear layer creates higher-freq uency harmonics, which we call "blueshift", whose magnitude increases with netwo rk depth, thereby increasing the "roughness" of the output landscape. Unlike dif ferential models (such as vanishing gradients, sharpness), this provides a more global view of how network architectures behave across larger areas of their par ameter domain. For example, the model predicts that residual connections are able to counter the effect by dampening corresponding higher frequency modes. We em

pirically verify the connection between blueshift and architectural choices, and provide evidence for a connection with trainability.

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A generalized probability kernel on discrete distributions and its application in two-sample test

Le Niu

We propose a generalized probability kernel(GPK) on discrete distributions with finite support. This probability kernel, defined as kernel between distributions instead of samples, generalizes the existing discrepancy statistics such as max imum mean discrepancy(MMD) as well as probability product kernels, and extends to more general cases. For both existing and newly proposed statistics, we estimate them through empirical frequency and illustrate the strategy to analyze the resulting bias and convergence bounds. We further propose power-MMD, a natural extension of MMD in the framework of GPK, illustrating its usage for the task of two-sample test. Our work connects the fields of discrete distribution-property estimation and kernel-based hypothesis test, which might shed light on more new possibilities.

End-to-End on-device Federated Learning: A case study

Hongyi Zhang, Jan Bosch, Helena Holmström Olsson

With the development of computation capability in devices, companies are eager t o utilize ML/DL methods to improve their service quality. However, with traditio nal Machine Learning approaches, companies need to build up a powerful data cent er to collect data and perform centralized model training, which turns out to be expensive and inefficient. Federated Learning has been introduced to solve this challenge. Because of its characteristics such as model-only exchange and paral lel training, the technique can not only preserve user data privacy but also acc elerate model training speed. In this paper, we introduce an approach to end-toend on-device Machine Learning by utilizing Federated Learning. We validate our approach with an important industrial use case, the wheel steering angle predict ion in the field of autonomous driving. Our results show that Federated Learning can significantly improve the quality of local edge models and reach the same a ccuracy level as compared to the traditional centralized Machine Learning approa ch without its negative effects. Furthermore, Federated Learning can accelerate model training speed and reduce the communication overhead, which proves that th is approach has great strength when deploying ML/DL components to real-world emb edded systems.

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On the Explicit Role of Initialization on the Convergence and Generalization Properties of Overparametrized Linear Networks

Hancheng Min, Salma Tarmoun, Rene Vidal, Enrique Mallada

Neural networks trained via gradient descent with random initialization and with out any regularization enjoy good generalization performance in practice despite being highly overparametrized. A promising direction to explain this phenomenon is the \emph{Neural Tangent Kernel} (NTK), which characterizes the implicit reg ularization effect of gradient flow/descent on infinitely wide neural networks w ith random initialization. However, a non-asymptotic analysis that connects gene ralization performance, initialization, and optimization for finite width networ ks remains elusive. In this paper, we present a novel analysis of overparametriz ed single-hidden layer linear networks, which formally connects initialization, optimization, and overparametrization with generalization performance. We exploi t the fact that gradient flow preserves a certain matrix that characterizes the \emph{imbalance} of the network weights, to show that the squared loss converges exponentially at a rate that depends on the level of imbalance of the initializ ation. Such guarantees on the convergence rate allow us to show that large hidde n layer width, together with (properly scaled) random initialization, implicitly constrains the dynamics of the network parameters to be close to a low-dimensio nal manifold. In turn, minimizing the loss over this manifold leads to solution s with good generalization, which correspond to the min-norm solution in the lin ear case. Finally, we derive a novel  $\mathcal O(h^{-1/2})$  upper-bound on the

operator norm distance between the trained network and the min-norm solution, w here \$h\$ is the hidden layer width.

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CANVASEMB: Learning Layout Representation with Large-scale Pre-training for Grap hic Design

Yuxi Xie, Danqing Huang, Jinpeng Wang, Chin-Yew Lin

Layout representation, which models visual elements in a canvas and their interrelations, plays a crucial role in graphic design intelligence.

With a large variety of layout designs and the unique characteristic of layouts that visual elements are defined as a list of categorical (e.g. shape type) and numerical (e.g. position and size) properties, it is challenging to learn a gene ral and compact representation with limited data. Inspired by the recent success of self-supervised pre-training techniques in various natural language processi ng tasks, in this paper, we propose CanvasEmb (Canvas Embedding), which pre-trai ns deep representation from unlabeled graphic designs by jointly conditioning on all the context elements in the same canvas, with a multi-dimensional feature e ncoder and a multi-task learning objective. The pre-trained CanvasEmb model can be fine-tuned with just one additional output layer and with a small size of tra ining data to create models for a wide range of downstream tasks. We verify our approach with presentation slides data. We construct a large-scale dataset with more than one million slides, and propose two novel layout understanding tasks w ith human labeling sets, namely element role labeling and image captioning. Eval uation results on these two tasks show that our model with fine-tuning achieves state-of-the-art performances. Furthermore, we conduct a deep analysis aiming to understand the modeling mechanism of CanvasEmb, and demonstrate its great poten tial use on more applications such as layout auto completion and layout retrieva

Federated Learning of a Mixture of Global and Local Models Filip Hanzely, Peter Richtarik

We propose a new optimization formulation for training federated learning models . The standard formulation has the form of an empirical risk minimization proble m constructed to find a single global model trained from the private data stored across all participating devices. In contrast, our formulation seeks an explicit trade-off between this traditional global model and the local models, which can be learned by each device from its own private data without any communication. Further, we develop several efficient variants of SGD (with and without partial participation and with and without variance reduction) for solving the new form ulation and prove communication complexity guarantees. Notably, our methods are similar but not identical to federated averaging / local SGD, thus shedding some light on the essence of the elusive method. In particular, our methods do not perform full averaging steps and instead merely take steps towards averaging. We argue for the benefits of this new paradigm for federated learning.

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Learning to communicate through imagination with model-based deep multi-agent reinforcement learning

Arnu Pretorius, Scott Cameron, Andries Petrus Smit, Elan van Biljon, Lawrence Francis, Femi Azeez, Alexandre Laterre, Karim Beguir

The human imagination is an integral component of our intelligence. Furthermore, the core utility of our imagination is deeply coupled with communication. Langu age, argued to have been developed through complex interaction within growing co llective societies serves as an instruction to the imagination, giving us the ability to share abstract mental representations and perform joint spatiotemporal planning. In this paper, we explore communication through imagination with multi-agent reinforcement learning. Specifically, we develop a model-based approach where agents jointly plan through recurrent communication of their respective predictions of the future. Each agent has access to a learned world model capable of producing model rollouts of future states and predicted rewards, conditioned on the actions sampled from the agent's policy. These rollouts are then encoded i

nto messages and used to learn a communication protocol during training via diff erentiable message passing. We highlight the benefits of our model-based approach, compared to a set of strong baselines, by developing a set of specialised experiments using novel as well as well-known multi-agent environments.

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Unpacking Information Bottlenecks: Surrogate Objectives for Deep Learning Andreas Kirsch, Clare Lyle, Yarin Gal

The Information Bottleneck principle offers both a mechanism to explain how deep neural networks train and generalize, as well as a regularized objective with w hich to train models. However, multiple competing objectives are proposed in the literature, and the information-theoretic quantities used in these objectives a re difficult to compute for large deep neural networks, which in turn limits the ir use as a training objective. In this work, we review these quantities, compar e and unify previously proposed objectives, which allows us to develop surrogate objectives more friendly to optimization without relying on cumbersome tools su ch as density estimation. We find that these surrogate objectives allow us to apply the information bottleneck to modern neural network architectures. We demons trate our insights on MNIST, CIFAR-10 and ImageNette with modern DNN architectures (ResNets).

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Putting Theory to Work: From Learning Bounds to Meta-Learning Algorithms Quentin Bouniot, Ievgen Redko, Romaric Audigier, Angélique Loesch, Amaury Habrard Most of existing deep learning models rely on excessive amounts of labeled train ing data in order to achieve state-of-the-art results, even though these data ca n be hard or costly to get in practice. One attractive alternative is to learn w ith little supervision, commonly referred to as few-shot learning (FSL), and, in particular, meta-learning that learns to learn with few data from related tasks . Despite the practical success of meta-learning, many of its algorithmic soluti ons proposed in the literature are based on sound intuitions, but lack a solid t heoretical analysis of the expected performance on the test task. In this paper, we review the recent advances in meta-learning theory and show how they can be used in practice both to better understand the behavior of popular meta-learning algorithms and to improve their generalization capacity. This latter is achieve d by integrating the theoretical assumptions ensuring efficient meta-learning in the form of regularization terms into several popular meta-learning algorithms for which we provide a large study of their behavior on classic few-shot classif ication benchmarks. To the best of our knowledge, this is the first contribution that puts the most recent learning bounds of meta-learning theory into practice for the popular task of few-shot classification.

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Does injecting linguistic structure into language models lead to better alignmen t with brain recordings?

Mostafa Abdou, Ana Valeria González, Mariya K Toneva, Daniel Hershcovich, Anders Søg aard

Neuroscientists evaluate deep neural networks for natural language processing as possible candidate models for how language is processed in the brain. These mod els are often trained without explicit linguistic supervision, but have been sho wn to learn some linguistic structure in the absence of such supervision (Mannin g et. al, 2020), potentially questioning the relevance of symbolic linguistic th eories in modeling such cognitive processes (Warstadt & Bowman, 2020). We evalua te across two fMRI datasets whether language models align better with brain recordings, if their attention is biased by annotations from syntactic or semantic formalisms. Using structure from dependency or minimal recursion semantic annotations, we find alignments improve significantly for one of the datasets. For another dataset, we see more mixed results. We present an extensive analysis of these results. Our proposed approach enables the evaluation of more targeted hypothe ses about the composition of meaning in the brain, expanding the range of possible scientific inferences a neuroscientist could make, and opens up new opportunities for cross-pollination between computational neuroscience and linguistics.

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CoCon: A Self-Supervised Approach for Controlled Text Generation Alvin Chan, Yew-Soon Ong, Bill Pung, Aston Zhang, Jie Fu

Pretrained Transformer-based language models (LMs) display remarkable natural la nguage generation capabilities. With their immense potential, controlling text g eneration of such LMs is getting attention. While there are studies that seek to control high-level attributes (such as sentiment and topic) of generated text, there is still a lack of more precise control over its content at the word- and phrase-level. Here, we propose Content-Conditioner (CoCon) to control an LM's ou tput text with a content input, at a fine-grained level. In our self-supervised approach, the CoCon block learns to help the LM complete a partially-observed text sequence by conditioning with content inputs that are withheld from the LM. Through experiments, we show that CoCon can naturally incorporate target content into generated texts and control high-level text attributes in a zero-shot manne

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\$\alpha\$VIL: Learning to Leverage Auxiliary Tasks for Multitask Learning Rafael Kourdis, Gabriel Gordon-Hall, Philip John Gorinski

Multitask Learning is a Machine Learning paradigm that aims to train a range of (usually related) tasks with the help of a shared model. While the goal is often to improve the joint performance of all training tasks, another approach is to focus on the performance of a specific target task, while treating the remaining ones as auxiliary data from which to possibly leverage positive transfer toward s the target during training. In such settings, it becomes important to estimate the positive or negative influence auxiliary tasks will have on the target. Whi le many ways have been proposed to estimate task weights before or during traini ng they typically rely on heuristics or extensive search of the weighting space. We propose a novel method called \$\alpha\$-Variable Importance Learning (\$\alpha \$VIL) that is able to adjust task weights dynamically during model training, by making direct use of task-specific updates of the underlying model's parameters between training epochs. Experiments indicate that \$\alpha\$VIL is able to outper form other Multitask Learning approaches in a variety of settings. To our knowle dge, this is the first attempt at making direct use of model updates for task we ight estimation.

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Tracking the progress of Language Models by extracting their underlying Knowledg e Graphs

Carlos Aspillaga, Marcelo Mendoza, Alvaro Soto

The state of the art of language models, previously dominated by pre-trained wor d embeddings, is now being pushed forward by large pre-trained contextual repres entations. This success has driven growing interest to understand what these mod els encode inside their inner workings. Despite this, understanding their semant ic skills has been elusive, often leading to unsuccessful, non-conclusive, or contradictory results among different works. In this work, we define a probing classifier that we use to extract the underlying knowledge graph of nine of the cur rently most influential language models, including word embeddings, context encoders, and text generators. This probe is based on concept relatedness, grounded on WordNet. Our results show that this knowledge is present in all the models, but has several inaccuracies. Furthermore, we show that the different pre-training strategies and architectures lead to different model biases. We conduct a syst ematic evaluation to discover specific factors that explain why some concepts are challenging for the different families of models. We hope our insights will mo tivate the future development of models that capture concepts more precisely.

Bidirectional Variational Inference for Non-Autoregressive Text-to-Speech Yoonhyung Lee, Joongbo Shin, Kyomin Jung

Although early text-to-speech (TTS) models such as Tacotron 2 have succeeded in generating human-like speech, their autoregressive architectures have several li mitations: (1) They require a lot of time to generate a mel-spectrogram consisti

ng of hundreds of steps. (2) The autoregressive speech generation shows a lack of robustness due to its error propagation property. In this paper, we propose a novel non-autoregressive TTS model called BVAE-TTS, which eliminates the archite ctural limitations and generates a mel-spectrogram in parallel. BVAE-TTS adopts a bidirectional-inference variational autoencoder (BVAE) that learns hierarchical latent representations using both bottom-up and top-down paths to increase its expressiveness. To apply BVAE to TTS, we design our model to utilize text infor mation via an attention mechanism. By using attention maps that BVAE-TTS generates, we train a duration predictor so that the model uses the predicted duration of each phoneme at inference. In experiments conducted on LJSpeech dataset, we show that our model generates a mel-spectrogram 27 times faster than Tacotron 2 w ith similar speech quality. Furthermore, our BVAE-TTS outperforms Glow-TTS, which is one of the state-of-the-art non-autoregressive TTS models, in terms of both speech quality and inference speed while having 58% fewer parameters.

Uncertainty Calibration Error: A New Metric for Multi-Class Classification Max-Heinrich Laves, Sontje Ihler, Karl-Philipp Kortmann, Tobias Ortmaier Various metrics have recently been proposed to measure uncertainty calibration of deep models for classification. However, these metrics either fail to capture miscalibration correctly or lack interpretability. We propose to use the normalized entropy as a measure of uncertainty and derive the Uncertainty Calibration Error (UCE), a comprehensible calibration metric for multi-class classification. In our experiments, we focus on uncertainty from variational Bayesian inference methods and compare UCE to established calibration errors on the task of multi-class image classification. UCE avoids several pathologies of other metrics, but does not sacrifice interpretability. It can be used for regularization to improve calibration during training without penalizing predictions with justified high confidence.

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Learning continuous-time PDEs from sparse data with graph neural networks Valerii Iakovlev, Markus Heinonen, Harri Lähdesmäki

The behavior of many dynamical systems follow complex, yet still unknown partial differential equations (PDEs). While several machine learning methods have been proposed to learn PDEs directly from data, previous methods are limited to disc rete-time approximations or make the limiting assumption of the observations arr iving at regular grids. We propose a general continuous-time differential model for dynamical systems whose governing equations are parameterized by message pas sing graph neural networks. The model admits arbitrary space and time discretiza tions, which removes constraints on the locations of observation points and time intervals between the observations. The model is trained with continuous-time a djoint method enabling efficient neural PDE inference. We demonstrate the model's ability to work with unstructured grids, arbitrary time steps, and noisy obser vations. We compare our method with existing approaches on several well-known ph ysical systems that involve first and higher-order PDEs with state-of-the-art pr edictive performance.

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NAS-Bench-ASR: Reproducible Neural Architecture Search for Speech Recognition Abhinav Mehrotra, Alberto Gil C. P. Ramos, Sourav Bhattacharya, ■ukasz Dudziak, Ravi chander Vipperla, Thomas Chau, Mohamed S Abdelfattah, Samin Ishtiaq, Nicholas Donald Lane

Powered by innovations in novel architecture design, noise tolerance techniques and increasing model capacity, Automatic Speech Recognition (ASR) has made giant strides in reducing word-error-rate over the past decade. ASR models are often trained with tens of thousand hours of high quality speech data to produce state -of-the-art (SOTA) results. Industry-scale ASR model training thus remains computationally heavy and time-consuming, and consequently has attracted little attention in adopting automatic techniques. On the other hand, Neural Architecture Se arch (NAS) has gained a lot of interest in the recent years thanks to its successes in discovering efficient architectures, often outperforming handcrafted alternatives. However, by changing the standard training process into a bi-level opt

imisation problem, NAS approaches often require significantly more time and comp utational power compared to single-model training, and at the same time increase complexity of the overall process. As a result, NAS has been predominately appl ied to problems which do not require as extensive training as ASR, and even then reproducibility of NAS algorithms is often problematic. Lately, a number of ben chmark datasets has been introduced to address reproducibility issues by pro- vi ding NAS researchers with information about performance of different models obta ined through exhaustive evaluation. However, these datasets focus mainly on comp uter vision and NLP tasks and thus suffer from limited coverage of application d omains. In order to increase diversity in the existing NAS benchmarks, and at th e same time provide systematic study of the effects of architectural choices for ASR, we release NAS-Bench-ASR - the first NAS benchmark for ASR models. The dat aset consists of 8, 242 unique models trained on the TIMIT audio dataset for thr ee different target epochs, and each starting from three different initializatio ns. The dataset also includes runtime measurements of all the models on a divers e set of hardware platforms. Lastly, we show that identified good cell structure s in our search space for TIMIT transfer well to a much larger LibriSpeech datas

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MQES: Max-Q Entropy Search for Efficient Exploration in Continuous Reinforcement Learning

Jinyi Liu, Zhi Wang, Jianye HAO, YAN ZHENG

The principle of optimism in the face of (aleatoric and epistemic) uncertainty h as been utilized to design efficient exploration strategies for Reinforcement Le arning (RL). Different from most prior work targeting at discrete action space, we propose a generally information-theoretic exploration principle called Max-Q Entropy Search (MQES) for continuous RL algorithms.

MQES formulates the exploration policy to maximize the information about the glo bally optimal distribution of \$Q\$ function, which could explore optimistically a nd avoid over-exploration by recognizing the epistemic and aleatoric uncertainty, respectively. To make MQES practically tractable, we firstly incorporate distributional and ensemble \$Q\$ function approximations to MQES, which could formulat e the epistemic and aleatoric uncertainty accordingly. Then, we introduce a constraint to stabilize the training and solve the constrained MQES problem to derive the exploration policy in closed form. Empirical evaluations show that MQES ou tperforms state-of-the-art algorithms on Mujoco environments.

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Task-similarity Aware Meta-learning through Nonparametric Kernel Regression Arun Venkitaraman, Anders Hansson, Bo Wahlberg

This paper investigates the use of nonparametric kernel-regression to obtain a t ask- similarity aware meta-learning algorithm. Our hypothesis is that the use of task- similarity helps meta-learning when the available tasks are limited and m ay contain outlier/ dissimilar tasks. While existing meta-learning approaches im plicitly assume the tasks as being similar, it is generally unclear how this tas k-similarity could be quantified and used in the learning. As a result, most pop ular meta- learning approaches do not actively use the similarity/dissimilarity between the tasks, but rely on availability of huge number of tasks for their wo rking. Our contribution is a novel framework for meta-learning that explicitly u ses task-similarity in the form of kernels and an associated meta-learning algor ithm. We model the task-specific parameters to belong to a reproducing kernel Hi lbert space where the kernel function captures the similarity across tasks. The proposed algorithm iteratively learns a meta-parameter which is used to assign a task-specific descriptor for every task. The task descriptors are then used to quantify the task-similarity through the kernel function. We show how our approa ch conceptually generalizes the popular meta-learning approaches of model-agnost ic meta-learning (MAML) and Meta-stochastic gradient descent (Meta-SGD) approach es. Numerical experiments with regression and classification tasks show that our algorithm outperforms these approaches when the number of tasks is limited, eve n in the presence of out- lier or dissimilar tasks. This supports our hypothesis that task-similarity helps improve the meta-learning performance in task-limite

d and adverse settings.

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Mutual Calibration between Explicit and Implicit Deep Generative Models Qitian Wu, Rui Gao, Hongyuan Zha

Deep generative models are generally categorized into explicit models and implic it models. The former defines an explicit density form that allows likelihood in ference; while the latter targets a flexible transformation from random noise to generated samples. To take full advantages of both models, we propose Stein Bri dging, a novel joint training framework that connects an explicit (unnormalized) density estimator and an implicit sample generator via Stein discrepancy. We show that the Stein bridge 1) induces novel mutual regularization via kernel Sobol ev norm penalization and Moreau-Yosida regularization, and 2) stabilizes the training dynamics. Empirically, we demonstrate that Stein Bridging can facilitate the density estimator to accurately identify data modes and guide the sample generator to output more high-quality samples especially when the training samples a recontaminated or limited.

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Collective Robustness Certificates: Exploiting Interdependence in Graph Neural N etworks

Jan Schuchardt, Aleksandar Bojchevski, Johannes Gasteiger, Stephan Günnemann In tasks like node classification, image segmentation, and named-entity recognit ion we have a classifier that simultaneously outputs multiple predictions (a vec tor of labels) based on a single input, i.e. a single graph, image, or document respectively. Existing adversarial robustness certificates consider each predict ion independently and are thus overly pessimistic for such tasks. They implicitl y assume that an adversary can use different perturbed inputs to attack differen t predictions, ignoring the fact that we have a single shared input. We propose the first collective robustness certificate which computes the number of predict ions that are simultaneously guaranteed to remain stable under perturbation, i.e . cannot be attacked. We focus on Graph Neural Networks and leverage their local ity property - perturbations only affect the predictions in a close neighborhood - to fuse multiple single-node certificates into a drastically stronger collect ive certificate. For example, on the Citeseer dataset our collective certificate for node classification increases the average number of certifiable feature per turbations from \$7\$ to \$351\$.

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Zero-shot Fairness with Invisible Demographics

Thomas Kehrenberg, Viktoriia Sharmanska, Myles Scott Bartlett, Novi Quadrianto In a statistical notion of algorithmic fairness, we partition individuals into g roups based on some key demographic factors such as race and gender, and require that some statistics of a classifier be approximately equalized across those gr oups. Current approaches require complete annotations for demographic factors, o r focus on an abstract worst-off group rather than demographic groups. In this p aper, we consider the setting where the demographic factors are only partially a vailable. For example, we have training examples for white-skinned and dark-skin ned males, and white-skinned females, but we have zero examples for dark-skinned females. We could also have zero examples for females regardless of their skin colors. Without additional knowledge, it is impossible to directly control the d iscrepancy of the classifier's statistics for those invisible groups. We develop a disentanglement algorithm that splits a representation of data into a compone nt that captures the demographic factors and another component that is invariant to them based on a context dataset. The context dataset is much like the deploy ment dataset, it is unlabeled but it contains individuals from all demographics including the invisible. We cluster the context set, equalize the cluster size t o form a "perfect batch", and use it as a supervision signal for the disentangle ment. We propose a new discriminator loss based on a learnable attention mechani sm to distinguish a perfect batch from a non-perfect one. We evaluate our approa ch on standard classification benchmarks and show that it is indeed possible to protect invisible demographics.

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Rotograd: Dynamic Gradient Homogenization for Multitask Learning Adrián Javaloy, Isabel Valera

GradNorm (Chen et al., 2018) is a broadly used gradient-based approach for train ing multitask networks, where different tasks share, and thus compete during lea rning, for the network parameters. GradNorm eases the fitting of all individual tasks by dynamically equalizing the contribution of each task to the overall gradient magnitude. However, it does not prevent the individual tasks' gradients from conflicting, i.e., pointing towards opposite directions, and thus resulting in a poor multitask performance. In this work we propose Rotograd, an extension to GradNorm that addresses this problem by dynamically homogenizing not only the gradient magnitudes but also their directions across tasks. For this purpose, Rotograd adds a layer of task-specific rotation matrices that aligns all the task gradients. Importantly, we then analyze Rotograd (and its predecessor) through the lens of game theory, providing theoretical guarantees on the algorithm stability and convergence. Finally, our experiments on several real-world datasets and network architectures show that Rotograd outperforms previous approaches for multitask learning.

UNSUPERVISED ANOMALY DETECTION FROM SEMANTIC SIMILARITY SCORES

Nima Rafiee, Rahil Gholamipoor, Markus Kollmann

In this paper we present SemSAD, a simple and generic framework for detecting ex amples that lie out-of-distribution (OOD) for a given training set. The approach is based on learning a semantic similarity measure to find for a given test exa mple the semantically closest example in the training set and then using a discr iminator to classify whether the two examples show sufficient semantic dissimil arity such that the test example can be rejected as OOD. We are able to outperf orm previous approaches for anomaly, novelty, or out-of-distribution detection in the visual domain by a large margin. In particular we obtain AUROC values close to one for the challenging task of detecting examples from CIFAR-10 as out-of-distribution given CIFAR-100 as in-distribution, without making use of label information.

Kourosh Parand, Zeinab Hajimohammadi, Ali Ghodsi

Various phenomena in biology, physics, and engineering are modeled by differential equations. These differential equations including partial differential equations and ordinary differential equations can be converted and represented as integral equations. In particular, Volterra-Fredholm-Hammerstein integral equations are the main type of these integral equations and researchers are interested in investigating and solving these equations. In this paper, we propose Legendre D eep Neural Network (LDNN) for solving nonlinear Volterra-Fredholm-Hammerstein in tegral equations (V-F-H-IEs). LDNN utilizes Legendre orthogonal polynomials as a ctivation functions of the Deep structure. We present how LDNN can be used to so lve nonlinear V-F-H-IEs. We show using the Gaussian quadrature collocation method in combination with LDNN results in a novel numerical solution for nonlinear V-F-H-IEs. Several examples are given to verify the performance and accuracy of L DNN.

Rethinking Parameter Counting: Effective Dimensionality Revisited

Gregory Benton, Wesley Maddox, Andrew Gordon Wilson

Neural networks appear to have mysterious generalization properties when using p arameter counting as a proxy for complexity. Indeed, neural networks often have many more parameters than there are data points, yet still provide good generalization performance. Moreover, when we measure generalization as a function of parameters, we see double descent behaviour, where the test error decreases, increases, and then again decreases. We show that many of these properties become und

erstandable when viewed through the lens of effective dimensionality, which meas ures the dimensionality of the parameter space determined by the data. We relate effective dimensionality to posterior contraction in Bayesian deep learning, mo del selection, width-depth tradeoffs, double descent, and functional diversity in loss surfaces, leading to a richer understanding of the interplay between par ameters and functions in deep models. We also show that effective dimensionality compares favourably to alternative norm- and flatness- based generalization mea sures.

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Decentralized SGD with Asynchronous, Local and Quantized Updates

Giorgi Nadiradze, Amirmojtaba Sabour, Peter Davies, Ilia Markov, Shigang Li, Dan Alis

The ability to scale distributed optimization to large node counts has been one of the main enablers of recent progress in machine learning. To this end, severa l techniques have been explored, such as asynchronous, quantized and decentralized communication—which significantly reduce the impact of communication and synchronization, as well as the ability for nodes to perform several local model updates before communicating—which reduces the frequency of communication.

In this paper, we show that these techniques, which have so far largely been considered independently, can be jointly leveraged to minimize distribution cost for training neural network models via stochastic gradient descent (SGD).

We consider a setting with minimal coordination: we have a large number of nodes on a communication graph, each with a local subset of data, performing independ ent SGD updates onto their local models. After some number of local updates, each node chooses an interaction partner uniformly at random from its neighbors, and averages a (possibly quantized) version of its local model with the neighbor's model.

Our first contribution is in proving that, even under such a relaxed setting, SG D can still be guaranteed to converge under standard assumptions. The proof is b ased on a new connection with parallel load-balancing processes, and improves ex isting techniques by handling decentralization, asynchrony, quantization, and lo cal updates, into a single framework, and bounding their impact.

On the practical side, we implement variants of our algorithm and deploy them on to distributed environments, and show that they can successfully converge and sc ale for large-scale neural network training tasks, matching or even slightly improving the accuracy of previous methods.

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Regression from Upper One-side Labeled Data Takayuki Katsuki

We address a regression problem from weakly labeled data that are correctly labeled only above a regression line, i.e., upper one-side labeled data.

The label values of the data are the results of sensing the magnitude of some ph enomenon.

In this case, the labels often contain missing or incomplete observations whose values are lower than those of correct observations and are also usually lower than the regression line. It follows that data labeled with lower values than the estimations of a regression function (lower-side data) are mixed with data that should originally be labeled above the regression line (upper-side data).

When such missing label observations are observed in a non-negligible amount, we thus should assume our lower-side data to be unlabeled data that are a mix of o riginal upper- and lower-side data.

We formulate a regression problem from these upper-side labeled and lower-side u nlabeled data. We then derive a learning algorithm in an unbiased and consistent manner to ordinary regression that is learned from data labeled correctly in bo th upper- and lower-side cases. Our key idea is that we can derive a gradient th at requires only upper-side data and unlabeled data as the equivalent expression of that for ordinary regression. We additionally found that a specific class of losses enables us to learn unbiased solutions practically. In numerical experim ents on synthetic and real-world datasets, we demonstrate the advantages of our algorithm.

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Adversarially Guided Actor-Critic

Yannis Flet-Berliac, Johan Ferret, Olivier Pietquin, Philippe Preux, Matthieu Geist Despite definite success in deep reinforcement learning problems, actor-critic a lgorithms are still confronted with sample inefficiency in complex environments, particularly in tasks where efficient exploration is a bottleneck. These method s consider a policy (the actor) and a value function (the critic) whose respecti ve losses are built using different motivations and approaches. This paper intro duces a third protagonist: the adversary. While the adversary mimics the actor b y minimizing the KL-divergence between their respective action distributions, th e actor, in addition to learning to solve the task, tries to differentiate itsel f from the adversary predictions. This novel objective stimulates the actor to f ollow strategies that could not have been correctly predicted from previous traj ectories, making its behavior innovative in tasks where the reward is extremely rare. Our experimental analysis shows that the resulting Adversarially Guided Ac tor-Critic (AGAC) algorithm leads to more exhaustive exploration. Notably, AGAC outperforms current state-of-the-art methods on a set of various hard-exploratio n and procedurally-generated tasks.

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On the Effectiveness of Deep Ensembles for Small Data Tasks Lorenzo Brigato, Luca Iocchi

Deep neural networks represent the gold standard for image classification.

However, they usually need large amounts of data to reach superior performance. In this work, we focus on image classification problems with a few labeled examp les per class and improve sample efficiency in the low data regime by using an ensemble of relatively small deep networks.

For the first time, our work broadly studies the existing concept of neural ense mbling in small data domains, through an extensive validation using popular data sets and architectures.

We show that deep ensembling is a simple yet effective technique that outperform s current state-of-the-art approaches for learning from small datasets.

We compare different ensemble configurations to their deeper and wider competito rs given a total fixed computational budget and provide empirical evidence of th eir advantage.

Furthermore, we investigate the effectiveness of different losses and show that their choice should be made considering different factors.

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AT-GAN: An Adversarial Generative Model for Non-constrained Adversarial Examples Xiaosen Wang, Kun He, Chuanbiao Song, Liwei Wang, John E. Hopcroft

With the rapid development of adversarial machine learning, numerous adversarial attack methods have been proposed. Typical attacks are based on a search in the neighborhood of input image to generate a perturbed adversarial example. Since 2017, generative models are adopted for adversarial attacks, and most of them fo cus on generating adversarial perturbations from input noise or input image. Thu s the output is restricted by input for these works. A recent work targets unres tricted adversarial example using generative model but their method is based on a search in the neighborhood of input noise, so actually their output is still c onstrained by input. In this work, we propose AT-GAN (Adversarial Transfer on Ge nerative Adversarial Net) to train an adversarial generative model that can dire ctly produce adversarial examples. Different from previous works, we aim to lea rn the distribution of adversarial examples so as to generate semantically meani ngful adversaries. AT-GAN achieves this goal by first learning a generative mode 1 for real data, followed by transfer learning to obtain the desired generative model. Once trained and transferred, AT-GAN could generate adversarial examples directly and quickly for any input noise, denoted as non-constrained adversarial examples. Extensive experiments and visualizations show that AT-GAN can efficie ntly generate diverse adversarial examples that are realistic to human perceptio n, and yields higher attack success rates against adversarially trained models.

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Training independent subnetworks for robust prediction

Marton Havasi, Rodolphe Jenatton, Stanislav Fort, Jeremiah Zhe Liu, Jasper Snoek, Bal aji Lakshminarayanan, Andrew Mingbo Dai, Dustin Tran

Recent approaches to efficiently ensemble neural networks have shown that strong robustness and uncertainty performance can be achieved with a negligible gain in parameters over the original network. However, these methods still require multiple forward passes for prediction, leading to a significant runtime cost. In this work, we show a surprising result:

the benefits of using multiple predictions can be achieved 'for free' under a single model's forward pass. In particular, we show that, using a multi-input multi-output (MIMO) configuration, one can utilize a single model's capacity to train multiple subnetworks that independently learn the task at hand. By ensembling the predictions made by the subnetworks, we improve model robustness without increasing compute. We observe a significant improvement in negative log-likelihood, accuracy, and calibration error on CIFAR10, CIFAR100, ImageNet, and their out-of-distribution variants compared to previous methods.

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Complex Query Answering with Neural Link Predictors Erik Arakelyan, Daniel Daza, Pasquale Minervini, Michael Cochez

Neural link predictors are immensely useful for identifying missing edges in lar ge scale Knowledge Graphs. However, it is still not clear how to use these model s for answering more complex queries that arise in a number of domains, such as queries using logical conjunctions (\$\land\$), disjunctions (\$\lor\$) and existent ial quantifiers (\$\exists\$), while accounting for missing edges. In this work, w e propose a framework for efficiently answering complex queries on incomplete Kn owledge Graphs. We translate each query into an end-to-end differentiable object ive, where the truth value of each atom is computed by a pre-trained neural link predictor. We then analyse two solutions to the optimisation problem, including gradient-based and combinatorial search. In our experiments, the proposed appro ach produces more accurate results than state-of-the-art methods --- black-box n eural models trained on millions of generated queries --- without the need of tr aining on a large and diverse set of complex queries. Using orders of magnitude less training data, we obtain relative improvements ranging from 8% up to 40% in Hits@3 across different knowledge graphs containing factual information. Finall y, we demonstrate that it is possible to explain the outcome of our model in ter ms of the intermediate solutions identified for each of the complex query atoms. All our source code and datasets are available online, at https://github.com/uc lnlp/cqd.

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Understanding Mental Representations Of Objects Through Verbs Applied To Them Ka Chun Lam, Francisco Pereira, Maryam Vaziri-Pashkam, Kristin Woodard, Emalie McMah on

In order to interact with objects in our environment, we rely on an understanding of the actions that can be performed on them, and the extent to which they rely or have an effect on the properties of the object. This knowledge is called the object "affordance". We propose an approach for creating an embedding of objects in an affordance space, in which each dimension corresponds to an aspect of meaning shared by many actions, using text corpora. This embedding makes it possible to predict which verbs will be applicable to a given object, as captured in human judgments of affordance, better than a variety of alternative approaches. Furthermore, we show that the dimensions learned are interpretable, and that the y correspond to typical patterns of interaction with objects. Finally, we show that the dimensions can be used to predict a state-of-the-art mental representation of objects, derived purely from human judgements of object similarity.

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Grounding Language to Autonomously-Acquired Skills via Goal Generation Ahmed Akakzia, Cédric Colas, Pierre-Yves Oudeyer, Mohamed CHETOUANI, Olivier Sigaud We are interested in the autonomous acquisition of repertoires of skills. Langua ge-conditioned reinforcement learning (LC-RL) approaches are great tools in this

quest, as they allow to express abstract goals as sets of constraints on the st ates. However, most LC-RL agents are not autonomous and cannot learn without ext ernal instructions and feedback. Besides, their direct language condition cannot account for the goal-directed behavior of pre-verbal infants and strongly limit s the expression of behavioral diversity for a given language input. To resolve these issues, we propose a new conceptual approach to language-conditioned RL: t he Language-Goal-Behavior architecture (LGB). LGB decouples skill learning and 1 anguage grounding via an intermediate semantic representation of the world. To s howcase the properties of LGB, we present a specific implementation called DECST R. DECSTR is an intrinsically motivated learning agent endowed with an innate se mantic representation describing spatial relations between physical objects. In a first stage G -> B, it freely explores its environment and targets self-genera ted semantic configurations. In a second stage (L  $\rightarrow$  G), it trains a language-co nditioned goal generator to generate semantic goals that match the constraints expressed in language-based inputs. We showcase the additional properties of LGB w.r.t. both an end-to-end LC-RL approach and a similar approach leveraging nonsemantic, continuous intermediate representations. Intermediate semantic represe ntations help satisfy language commands in a diversity of ways, enable strategy switching after a failure and facilitate language grounding.

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Hopfield Networks is All You Need

Hubert Ramsauer, Bernhard Schäfl, Johannes Lehner, Philipp Seidl, Michael Widrich, Lu kas Gruber, Markus Holzleitner, Thomas Adler, David Kreil, Michael K Kopp, Günter Klambauer, Johannes Brandstetter, Sepp Hochreiter

We introduce a modern Hopfield network with continuous states and a corresponding update rule. The new Hopfield network can store exponentially (with the dimension of the associative space) many patterns, retrieves the pattern with one update, and has exponentially small retrieval errors. It has three types of energy minima (fixed points of the update): (1) global fixed point averaging over all patterns, (2) metastable states averaging over a subset of patterns, and (3) fixed points which store a single pattern. The new update rule is equivalent to the attention mechanism used in transformers. This equivalence enables a characterization of the heads of transformer models. These heads perform in the first layers preferably global averaging and in higher layers partial averaging via metastable states. The new modern Hopfield network can be integrated into deep learning architectures as layers to allow the storage of and access to raw input data, intermediate results, or learned prototypes.

These Hopfield layers enable new ways of deep learning, beyond fully-connected, convolutional, or recurrent networks, and provide pooling, memory, association, and attention mechanisms. We demonstrate the broad applicability of the Hopfield layers

across various domains. Hopfield layers improved state-of-the-art on three out of four considered multiple instance learning problems as well as on immune reper toire classification with several hundreds of thousands of instances. On the UCI benchmark collections of small classification tasks, where deep learning method s typically struggle, Hopfield layers yielded a new state-of-the-art when compar ed to different machine learning methods. Finally, Hopfield layers achieved stat e-of-the-art on two drug design datasets. The implementation is available at: \u rl{https://github.com/ml-jku/hopfield-layers}

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Adapt-and-Adjust: Overcoming the Long-tail Problem of Multilingual Speech Recogn ition

Genta Indra Winata, Guangsen Wang, Caiming Xiong, Steven Hoi

One crucial challenge of real-world multilingual speech recognition is the long-tailed distribution problem, where some resource-rich languages like English hav e abundant training data, but a long tail of low-resource languages have varying amounts of limited training data. To overcome the long-tail problem, in this paper, we propose Adapt-and-Adjust (A2), a transformer-based multi-task learning f ramework for end-to-end multilingual speech recognition. The A2 framework overcomes the long-tail problem via three techniques: (1) exploiting a pretrained mult

ilingual language model (mBERT) to improve the performance of low-resource languages; (2) proposing dual adapters consisting of both language-specific and language-agnostic adaptation with minimal additional parameters; and (3) overcoming the class imbalance, either by imposing class priors in the loss during training or adjusting the logits of the softmax output during inference. Extensive experiments on the CommonVoice corpus show that A2 significantly outperforms conventional approaches.

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Learning to Share in Multi-Agent Reinforcement Learning Yuxuan Yi,Ge Li,Yaowei Wang,Zongqing Lu

In this paper, we study the problem of networked multi-agent reinforcement learn ing (MARL), where a number of agents are deployed as a partially connected netwo rk. Networked MARL requires all agents make decision in a decentralized manner to optimize a global objective with restricted communication between neighbors over the network. We propose a hierarchically decentralized MARL method, \textit{L ToS}, which enables agents to learn to dynamically share reward with neighbors so as to encourage agents to cooperate on the global objective. For each agent, the high-level policy learns how to share reward with neighbors to decompose the global objective, while the low-level policy learns to optimize local objective induced by the high-level policies in the neighborhood. The two policies form a bi-level optimization and learn alternately. We empirically demonstrate that LTo Soutperforms existing methods in both social dilemma and two networked MARL scenarios.

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Neuron Activation Analysis for Multi-Joint Robot Reinforcement Learning Benedikt Feldotto, Heiko Lengenfelder, Alois Knoll

Recent experiments indicate that pre-training of end-to-end Reinforcement Learni ng neural networks on general tasks can speed up the training process for specif ic robotic applications. However, it remains open if these networks form general feature extractors and a hierarchical organization that are reused as apparent e.g. in Convolutional Neural Networks. In this paper we analyze the intrinsic ne uron activation in networks trained for target reaching of robot manipulators wi th increasing joint number in a vertical plane. We analyze the individual neuron activity distribution in the network, introduce a pruning algorithm to reduce n etwork size keeping the performance, and with these dense network representation s we spot correlations of neuron activity patterns among networks trained for ro bot manipulators with different joint number. We show that the input and output network layers have more distinct neuron activation in contrast to inner layers. Our pruning algorithm reduces the network size significantly, increases the dis tance of neuron activation while keeping a high performance in training and eval uation. Our results demonstrate that neuron activity can be mapped among network s trained for robots with different complexity. Hereby, robots with small joint difference show higher layer-wise projection accuracy whereas more different rob ots mostly show projections to the first layer.

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## AlgebraNets

Jordan Hoffmann, Simon Schmitt, Simon Osindero, Karen Simonyan, Erich Elsen Neural networks have historically been built layerwise from the set of functions in  $f: \mathbb{R}^n \to \mathbb{R}^n \$  i.e. with activations and weights/par ameters represented by real numbers,  $\mathbb{R}^n \to \mathbb{R}^n \$ . Our work considers a richer set of objects for activations and weights, and undertakes a comprehensive study of alternative algebras as number representations by studying their performance on two challenging problems: large-scale image classification using the ImageNet dataset and language modeling using the enwiki8 and WikiText-103 datasets. We denote this broader class of models as AlgebraNets. Our findings indicate that the conclusions of prior work, which explored neural networks constructed from  $m \in \mathbb{N}$  athbb $m \in \mathbb{N}$  (complex numbers) and  $m \in \mathbb{N}$  (quaternions) on smaller datasets, do not always transfer to these challenging settings. However, our results demonstrate that there are alternative algebras which deliver better parameter and computational efficiency compared with  $m \in \mathbb{N}$ . We consider  $m \in \mathbb{N}$ 

 $\begin{tabular}{ll} mathbb{H}\$, $M_{2}(\mathbb{R})\$ (the set of $2\times real-valued matrices), $M_{2}(\mathbb{R})\$, $M_{3}(\mathbb{R})\$, $M_{4}(\mathbb{R})\$, dual numbers and the $\mathbb{R}^3\$ cross product. Additionally, we note that multiplication in the ese algebras has higher compute density than real multiplication, a useful property in situations with inherently limited parameter reuse such as auto-regressive inference and sparse neural networks. We therefore investigate how to induce sparsity within AlgebraNets. We hope that our strong results on large-scale, practical benchmarks will spur further exploration of these unconventional architect ures which challenge the default choice of using real numbers for neural network weights and activations.$ 

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Random Network Distillation as a Diversity Metric for Both Image and Text Genera

Liam H Fowl, Micah Goldblum, Arjun Gupta, Amr Sharaf, Tom Goldstein Generative models are increasingly able to produce remarkably high quality image s and text. The community has developed numerous evaluation metrics for comparing generative models. However, these metrics do not effectively quantify data diversity. We develop a new diversity metric that can readily be applied to data, both synthetic and natural, of any type. Our method employs random network distillation, a technique introduced in reinforcement learning. We validate and deploy this metric on both images and text. We further explore diversity in fewshot image generation, a setting which was previously difficult to evaluate.

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Differentiable Trust Region Layers for Deep Reinforcement Learning Fabian Otto, Philipp Becker, Vien Anh Ngo, Hanna Carolin Maria Ziesche, Gerhard Neum ann

Trust region methods are a popular tool in reinforcement learning as they yield robust policy updates in continuous and discrete action spaces. However, enforci ng such trust regions in deep reinforcement learning is difficult. Hence, many a pproaches, such as Trust Region Policy Optimization (TRPO) and Proximal Policy O ptimization (PPO), are based on approximations. Due to those approximations, the y violate the constraints or fail to find the optimal solution within the trust region. Moreover, they are difficult to implement, often lack sufficient explora tion, and have been shown to depend on seemingly unrelated implementation choice s. In this work, we propose differentiable neural network layers to enforce trus t regions for deep Gaussian policies via closed-form projections. Unlike existin g methods, those layers formalize trust regions for each state individually and can complement existing reinforcement learning algorithms. We derive trust regio n projections based on the Kullback-Leibler divergence, the Wasserstein L2 dista nce, and the Frobenius norm for Gaussian distributions. We empirically demonstra te that those projection layers achieve similar or better results than existing methods while being almost agnostic to specific implementation choices. The code is available at https://git.io/Jthb0.

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Self-supervised Visual Reinforcement Learning with Object-centric Representation s

Andrii Zadaianchuk, Maximilian Seitzer, Georg Martius

Autonomous agents need large repertoires of skills to act reasonably on new task s that they have not seen before. However, acquiring these skills using only a s tream of high-dimensional, unstructured, and unlabeled observations is a tricky challenge for any autonomous agent. Previous methods have used variational autoe ncoders to encode a scene into a low-dimensional vector that can be used as a go al for an agent to discover new skills. Nevertheless, in compositional/multi-obj ect environments it is difficult to disentangle all the factors of variation int o such a fixed-length representation of the whole scene. We propose to use objec t-centric representations as a modular and structured observation space, which is learned with a compositional generative world model.

We show that the structure in the representations in combination with goal-conditioned attention policies helps the autonomous agent to discover and learn usefu

l skills. These skills can be further combined to address compositional tasks li ke the manipulation of several different objects.

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Balancing training time vs. performance with Bayesian Early Pruning Mohit Rajpal, Yehong Zhang, Bryan Kian Hsiang Low

Pruning is an approach to alleviate overparameterization of deep neural networks (DNN) by zeroing out or pruning DNN elements with little to no efficacy at a gi ven task. In contrast to related works that do pruning before or after training, this paper presents a novel method to perform early pruning of DNN elements (e.g., neurons or convolutional filters) during the training process while preserving performance upon convergence. To achieve this, we model the future efficacy of DNN elements in a Bayesian manner conditioned upon efficacy data collected during the training and prune DNN elements which are predicted to have low efficacy after training completion. Empirical evaluations show that the proposed Bayesian early pruning improves the computational efficiency of DNN training with small sacrifices in performance. Using our approach we are able to achieve a \$48.6\%\$ faster training time for ResNet-\$50\$ on ImageNet to achieve a validation accuracy of \$72.5\%\$.

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Temporally-Extended  $\varepsilon$ -Greedy Exploration

Will Dabney, Georg Ostrovski, Andre Barreto

Recent work on exploration in reinforcement learning (RL) has led to a series of increasingly complex solutions to the problem. This increase in complexity ofte n comes at the expense of generality. Recent empirical studies suggest that, whe n applied to a broader set of domains, some sophisticated exploration methods ar e outperformed by simpler counterparts, such as  $\epsilon$ -greedy. In this paper we propo se an exploration algorithm that retains the simplicity of  $\epsilon$ -greedy while reducing dithering. We build on a simple hypothesis: the main limitation of  $\epsilon$ -greedy exploration is its lack of temporal persistence, which limits its ability to escape local optima. We propose a temporally extended form of  $\epsilon$ -greedy that simply repeats the sampled action for a random duration. It turns out that, for many duration distributions, this suffices to improve exploration on a large set of domains. Interestingly, a class of distributions inspired by ecological models of an imal foraging behaviour yields particularly strong performance.

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Attention Based Joint Learning for Supervised Electrocardiogram Arrhythmia Diffe rentiation with Unsupervised Abnormal Beat Segmentation

Xinrong Hu, long wen, shushui wang, Dongpo Liang, Jian Zhuang, Yiyu Shi

Deep learning has shown great promise in arrhythmia classification in electrocar - diogram (ECG). Existing works, when classifying an ECG segment with multiple b eats, do not identify the locations of the anomalies, which reduces clinical int er- pretability. On the other hand, segmenting abnormal beats by deep learning u su- ally requires annotation for a large number of regular and irregular beats, which can be laborious, sometimes even challenging, with strong inter-observer v ariabil- ity between experts. In this work, we propose a method capable of not o nly dif- ferentiating arrhythmia but also segmenting the associated abnormal bea ts in the ECG segment. The only annotation used in the training is the type of a bnormal beats and no segmentation labels are needed. Imitating human's perceptio n of an ECG signal, the framework consists of a segmenter and classifier. The se gmenter outputs an attention map, which aims to highlight the abnormal sections in the ECG by element-wise modulation. Afterwards, the signals are sent to a cla ssifier for arrhythmia differentiation. Though the training data is only labeled to super- vise the classifier, the segmenter and the classifier are trained in an end-to-end manner so that optimizing classification performance also adjusts how the abnor- mal beats are segmented. Validation of our method is conducted on two dataset. We observe that involving the unsupervised segmentation in fact bo osts the clas- sification performance. Meanwhile, a grade study performed by exp erts suggests that the segmenter also achieves satisfactory quality in identifyi ng abnormal beats, which significantly enhances the interpretability of the clas sification results.

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Wiring Up Vision: Minimizing Supervised Synaptic Updates Needed to Produce a Pri mate Ventral Stream

Franziska Geiger, Martin Schrimpf, Tiago Marques, James J. DiCarlo

After training on large datasets, certain deep neural networks are surprisingly good models of the neural mechanisms of adult primate visual object recognition. Nevertheless, these models are poor models of the development of the visual sys tem because they posit millions of sequential, precisely coordinated synaptic up dates, each based on a labeled image. While ongoing research is pursuing the use of unsupervised proxies for labels, we here explore a complementary strategy of reducing the required number of supervised synaptic updates to produce an adult -like ventral visual stream (as judged by the match to V1, V2, V4, IT, and behav ior). Such models might require less precise machinery and energy expenditure to coordinate these updates and would thus move us closer to viable neuroscientifi c hypotheses about how the visual system wires itself up. Relative to the curren t leading model of the adult ventral stream, we here demonstrate that the total number of supervised weight updates can be substantially reduced using three com plementary strategies: First, we find that only 2% of supervised updates (epochs and images) are needed to achieve ~80% of the match to adult ventral stream. Se cond, by improving the random distribution of synaptic connectivity, we find tha t 54% of the brain match can already be achieved "at birth" (i.e. no training at all). Third, we find that, by training only ~5% of model synapses, we can still achieve nearly 80% of the match to the ventral stream. When these three strateg ies are applied in combination, we find that these new models achieve ~80% of a fully trained model's match to the brain, while using two orders of magnitude fe wer supervised synaptic updates. These results reflect first steps in modeling n ot just primate adult visual processing during inference, but also how the ventr al visual stream might be "wired up" by evolution (a model's "birth" state) and by developmental learning (a model's updates based on visual experience).

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Learning Associative Inference Using Fast Weight Memory Imanol Schlag, Tsendsuren Munkhdalai, Jürgen Schmidhuber

Humans can quickly associate stimuli to solve problems in novel contexts. Our no vel neural network model learns state representations of facts that can be composed to perform such associative inference. To this end, we augment the LSTM model with an associative memory, dubbed \textit{Fast Weight Memory} (FWM). Through differentiable operations at every step of a given input sequence, the LSTM \textit{updates and maintains} compositional associations stored in the rapidly changing FWM weights. Our model is trained end-to-end by gradient descent and yields excellent performance on compositional language reasoning problems, meta-reinforcement-learning for POMDPs, and small-scale word-level language modelling.

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Deep Learning Is Composite Kernel Learning

CHANDRA SHEKAR LAKSHMINARAYANAN, Amit Vikram Singh

Recent works have connected deep learning and kernel methods. In this paper, we show that architectural choices such as convolutional layers with pooling, skip connections, make deep learning a composite kernel learning method, where the ke rnel is a (architecture dependent) composition of base kernels: even before training, standard deep networks have in-built structural properties that ensure the ir success. In particular, we build on the recently developed `neural path' fram ework that characterises the role of gates/masks in fully connected deep networks with ReLU activations.

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Multiscale Score Matching for Out-of-Distribution Detection

Ahsan Mahmood, Junier Oliva, Martin Andreas Styner

We present a new methodology for detecting out-of-distribution (OOD) images by u tilizing norms of the score estimates at multiple noise scales. A score is defined to be the gradient of the log density with respect to the input data. Our methodology is completely unsupervised and follows a straight forward training scheme. First, we train a deep network to estimate scores for \$L\$ levels of noise. O

nce trained, we calculate the noisy score estimates for \$N\$ in-distribution samp les and take the L2-norms across the input dimensions (resulting in an \$N\$x\$L\$ m atrix). Then we train an auxiliary model (such as a Gaussian Mixture Model) to 1 earn the in-distribution spatial regions in this \$L\$-dimensional space. This aux iliary model can now be used to identify points that reside outside the learned space. Despite its simplicity, our experiments show that this methodology signif icantly outperforms the state-of-the-art in detecting out-of-distribution images . For example, our method can effectively separate CIFAR-10 (inlier) and SVHN (O OD) images, a setting which has been previously shown to be difficult for deep 1 ikelihood models.

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Data Instance Prior for Transfer Learning in GANs

Puneet Mangla, Nupur Kumari, Mayank Singh, Vineeth N. Balasubramanian, Balaji Krishn amurthy

Recent advances in generative adversarial networks (GANs) have shown remarkable progress in generating high-quality images. However, this gain in performance de pends on the availability of a large amount of training data. In limited data re gimes, training typically diverges, and therefore the generated samples are of 1 ow quality and lack diversity. Previous works have addressed training in low dat a setting by leveraging transfer learning and data augmentation techniques. We propose a novel transfer learning method for GANs in the limited data domain by 1 everaging informative data prior derived from self-supervised/supervised pre-trained networks trained on a diverse source domain. We perform experiments on seve ral standard vision datasets using various GAN architectures (BigGAN, SNGAN, StyleGAN2) to demonstrate that the proposed method effectively transfers knowledge to domains with few target images, outperforming existing state-of-the-art techniques in terms of image quality and diversity. We also show the utility of data instance prior in large-scale unconditional image generation and image editing tasks

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WordsWorth Scores for Attacking CNNs and LSTMs for Text Classification Nimrah Shakeel

Black box attacks on traditional deep learning models trained for text classific a- tion target important words in a piece of text, in order to change model pred iction. Current approaches towards highlighting important features are time cons uming and require large number of model queries. We present a simple yet novel m ethod to calculate word importance scores, based on model predictions on single words. These scores, which we call WordsWorth scores, need to be calculated only once for the training vocabulary. They can be used to speed up any attack metho d that requires word importance, with negligible loss of attack performance. We run ex- periments on a number of datasets trained on word-level CNNs and LSTMs, for sentiment analysis and topic classification and compare to state-of-the-art base- lines. Our results show the effectiveness of our method in attacking these models with success rates that are close to the original baselines. We argue th at global importance scores act as a very good proxy for word importance in a lo cal context because words are a highly informative form of data. This aligns wit h the manner in which humans interpret language, with individual words having we 11- defined meaning and powerful connotations. We further show that these scores can be used as a debugging tool to interpret a trained model by highlighting re le- vant words for each class. Additionally, we demonstrate the effect of overtr aining on word importance, compare the robustness of CNNs and LSTMs, and explain the transferability of adversarial examples across a CNN and an LSTM using thes e scores. We highlight the fact that neural networks make highly informative pre - dictions on single words.

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Inductive Collaborative Filtering via Relation Graph Learning Qitian Wu, Hengrui Zhang, Xiaofeng Gao, Hongyuan Zha

Collaborative filtering has shown great power in predicting potential user-item ratings by factorizing an observed user-item rating matrix into products of two sets of latent factors. However, the user-specific latent factors can only be le

arned in transductive setting and a model trained on existing users cannot adapt to new users without retraining the model. In this paper, we propose an inducti ve collaborative filtering framework that learns a hidden relational graph among users from the rating matrix. We first consider a base matrix factorization mod el trained on one group of users' ratings and devise a relation inference model that estimates their underlying relations (as dense weighted graphs) to other us ers with respect to historical rating patterns. The relational graphs enable att entive message passing from users to users in the latent space and are updated in end-to-end manner. The key advantage of our model is the capability for induct ively computing user-specific representations using no feature, with good scalability and superior expressiveness compared to other feature-driven inductive models. Extensive experiments demonstrate that our model achieves state-of-the-art performance for inductive learning on several matrix completion benchmarks, provides very close performance to transductive models when given many training ratings and exceeds them significantly on cold-start users.

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Learning to Noise: Application-Agnostic Data Sharing with Local Differential Privacy

Alex Mansbridge, Gregory Barbour, Davide Piras, Christopher Frye, Ilya Feige, David Barber

In recent years, the collection and sharing of individuals' private data has bec ome commonplace in many industries. Local differential privacy (LDP) is a rigoro us approach which uses a randomized algorithm to preserve privacy even from the database administrator, unlike the more standard central differential privacy. F or LDP, when applying noise directly to high-dimensional data, the level of nois e required all but entirely destroys data utility. In this paper we introduce a novel, application-agnostic privatization mechanism that leverages representatio n learning to overcome the prohibitive noise requirements of direct methods, whi le maintaining the strict guarantees of LDP. We further demonstrate that data pr ivatized with this mechanism can be used to train machine learning algorithms. A pplications of this model include private data collection, private novel-class c lassification, and the augmentation of clean datasets with additional privatized features. We achieve significant gains in performance on downstream classificat ion tasks relative to benchmarks that noise the data directly, which are state-o f-the-art in the context of application-agnostic LDP mechanisms for high-dimensi onal data sharing tasks.

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Learning to Sample with Local and Global Contexts in Experience Replay Buffer Youngmin Oh, Kimin Lee, Jinwoo Shin, Eunho Yang, Sung Ju Hwang

Experience replay, which enables the agents to remember and reuse experience fro m the past, has played a significant role in the success of off-policy reinforce ment learning (RL). To utilize the experience replay efficiently, the existing s ampling methods allow selecting out more meaningful experiences by imposing prio rities on them based on certain metrics (e.g. TD-error). However, they may resul t in sampling highly biased, redundant transitions since they compute the sampli ng rate for each transition independently, without consideration of its importan ce in relation to other transitions. In this paper, we aim to address the issue by proposing a new learning-based sampling method that can compute the relative importance of transition. To this end, we design a novel permutation-equivariant neural architecture that takes contexts from not only features of each transiti on (local) but also those of others (global) as inputs. We validate our framewor k, which we refer to as Neural Experience Replay Sampler (NERS), on multiple ben chmark tasks for both continuous and discrete control tasks and show that it can significantly improve the performance of various off-policy RL methods. Further analysis confirms that the improvements of the sample efficiency indeed are due to sampling diverse and meaningful transitions by NERS that considers both loca l and global contexts.

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Active Deep Probabilistic Subsampling

Hans van Gorp, Iris A.M. Huijben, Bastiaan S. Veeling, Nicola Pezzotti, Ruud Van Slo

Subsampling a signal of interest can reduce costly data transfer, battery drain, radiation exposure and acquisition time in a wide range of problems. The recent ly proposed Deep Probabilistic Subsampling (DPS) method effectively integrates s ubsampling in an end-to-end deep learning model, but learns a static pattern for all datapoints. We generalize DPS to a sequential method that actively picks the next sample based on the information acquired so far; dubbed Active-DPS (A-DPS). We validate that A-DPS improves over DPS for MNIST classification at high su bsampling rates. We observe that A-DPS learns to actively adapt based on the pre viously sampled elements, yielding different sampling sequences across the datas et. Moreover, we demonstrate strong performance in active acquisition Magnetic R esonance Image (MRI) reconstruction, outperforming DPS and other deep learning m ethods.

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Improving Sequence Generative Adversarial Networks with Feature Statistics Align

Yekun Chai, Qiyue Yin, Junge Zhang

Generative Adversarial Networks (GAN) are facing great challenges in synthesizin g sequences of discrete elements, such as mode dropping and unstable training. The binary classifier in the discriminator may limit the capacity of learning signals and thus hinder the advance of adversarial training. To address such issues, apart from the binary classification feedback, we harness a Feature Statistics Alignment (FSA) paradigm to deliver fine-grained signals in the latent high-dimensional representation space. Specifically, FSA forces the mean statistics of the fake data distribution to approach that of real data as close as possible in a finite-dimensional feature space. Experiments on synthetic and real benchmark datasets show the superior performance in quantitative evaluation and demonstrate the effectiveness of our approach to discrete sequence generation. To the best of our knowledge, the proposed architecture is the first that employs feature a lignment regularization in the Gumbel-Softmax based GAN framework for sequence generation.

Using Deep Reinforcement Learning to Train and Evaluate Instructional Sequencing Policies for an Intelligent Tutoring System

Jithendaraa Subramanian, David Mostow

We present STEP, a novel Deep Reinforcement Learning solution to the problem of learning instructional sequencing. STEP has three components: 1. Simulate the s tudent by fitting a knowledge tracing model to data logged by an intelligent tut oring system. 2. Train instructional sequencing policies by using Proximal Polic y Optimization. 3. Evaluate the learned instructional policies by estimating the ir local and global impact on learning gains. STEP leverages the student model by representing the student's knowledge state as a probability vector of knowing each skill and using the student's estimated learning gains as its reward funct ion to evaluate candidate policies. A learned policy represents a mapping from each state to an action that maximizes the reward, i.e. the upward distance to the next state in the multi-dimensional space. We use STEP to discover and evaluate potential improvements to a literacy and numeracy tutor used by hundreds of children in Tanzania.

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Sparsifying Networks via Subdifferential Inclusion

Sagar Verma, Jean-Christophe Pesquet

Sparsifying deep neural networks is of paramount interest in many areas, especia lly when those networks have to be implemented on low-memory devices. In this ar ticle, we propose a new formulation of the problem of generating sparse weights for a neural network. By leveraging the properties of standard nonlinear activat ion functions, we show that the problem is equivalent to an approximate subdiffe rential inclusion problem. The accuracy of the approximation controls the sparsi ty. We show that the proposed approach is valid for a broad class of activation functions (ReLU, sigmoid, softmax). We propose an iterative optimization algorit hm to induce sparsity whose convergence is guaranteed. Because of the algorithm

flexibility, the sparsity can be ensured from partial training data in a minibat ch manner. To demonstrate the effectiveness of our method, we perform experiment s on various networks in different applicative contexts: image classification, s peech recognition, natural language processing, and time-series forecasting.

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Two steps at a time --- taking GAN training in stride with Tseng's method Axel Böhm, Michael Sedlmayer, Ernö Robert Csetnek, Radu Ioan Bot

Motivated by the training of Generative Adversarial Networks (GANs), we study me thods for solving minimax problems with additional nonsmooth regularizers.

We do so by employing  $emph\{monotone operator\}$  theory, in particular the  $emph\{Forward-Backward-Forward (FBF)\}$  method, which avoids the known issue of limit cycling by correcting each update by a second gradient evaluation.

Furthermore, we propose a seemingly new scheme which recycles old gradients to  ${\tt m}$  itigate the additional computational cost.

In doing so we rediscover a known method, related to \emph{Optimistic Gradient D escent Ascent (OGDA)}.

For both schemes we prove novel convergence rates for convex-concave minimax pro blems via a unifying approach. The derived error bounds are in terms of the gap function for the ergodic iterates.

For the deterministic and the stochastic problem we show a convergence rate of \$  $\mathcal{O}(\frac{1}{k})$ \$ and \$\$ \mathcal{0}(\nicefrac{1}{k})\$, respect ively.

We complement our theoretical results with empirical improvements in the training of Wasserstein GANs on the CIFAR10 dataset.

## \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

Invariant Causal Representation Learning

Chaochao Lu, Yuhuai Wu, José Miguel Hernández-Lobato, Bernhard Schölkopf Due to spurious correlations, machine learning systems often fail to generalize

to environments whose distributions differ from the ones used at training time.

Prior work addressing this, either explicitly or implicitly, attempted to find a data representation that has an invariant causal relationship with the outcome. This is done by leveraging a diverse set of training environments to reduce the effect of spurious features, on top of which an invariant classifier is then bu ilt. However, these methods have generalization guarantees only when both data r epresentation and classifiers come from a linear model class. As an alternative, we propose Invariant Causal Representation Learning (ICRL), a learning paradigm that enables out-of-distribution generalization in the nonlinear setting (i.e., nonlinear representations and nonlinear classifiers). It builds upon a practica l and general assumption: data representations factorize when conditioning on the outcome and the environment. Based on this, we show identifiability up to a permutation and pointwise transformation. We also prove that all direct causes of the outcome can be fully discovered, which further enables us to obtain generalization guarantees in the nonlinear setting. Extensive experiments on both synthe

tic and real-world datasets show that our approach significantly outperforms a v

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ariety of baseline methods.

A priori guarantees of finite-time convergence for Deep Neural Networks Anushree Rankawat, Mansi Rankawat, Harshal B. Oza

In this paper, we perform Lyapunov based analysis of the loss function to derive an a priori upper bound on the settling time of deep neural networks. While pre vious studies have attempted to understand deep learning using control theory fr amework, there is limited work on a priori finite time convergence analysis. Dra wing from the advances in analysis of finite-time control of non-linear systems, we provide a priori guarantees of finite-time convergence in a deterministic control theoretic setting. We formulate the supervised learning framework as a control problem where weights of the network are control inputs and learning translates into a tracking problem. An analytical formula for finite-time upper bound on settling time is provided a priori under the assumptions of boundedness of in put. Finally, we prove that our loss function is robust against input perturbations.

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Distributed Associative Memory Network with Association Reinforcing Loss Taewon Park, Inchul Choi, Minho Lee

Despite recent progress in memory augmented neural network research, associative memory networks with a single external memory still show limited performance on complex relational reasoning tasks. The main reason for this problem comes from the lossy representation of a content-based addressing memory and its insuffici ent associating performance for long temporal sequence data. To address these pr oblems, here we introduce a novel Distributed Associative Memory architecture (D AM) with Association Reinforcing Loss (ARL) function which enhances the relation reasoning performance of memory augmented neural network. In this framework, in stead of relying on a single large external memory, we form a set of multiple sm aller associative memory blocks and update these sub-memory blocks simultaneousl y and independently with the content-based addressing mechanism. Based on DAM ar chitecture, we can effectively retrieve complex relational information by integr ating diverse representations distributed across multiple sub-memory blocks with an attention mechanism. Moreover, to further enhance the relation modeling perf ormance of memory network, we propose ARL which assists a task's target objectiv e while learning relational information exist in data. ARL enables the memory au qmented neural network to reinforce an association between input data and task o bjective by reproducing stochastically sampled input data from stored memory con tents. With this content reproducing task, it enriches the representations with relational information. In experiments, we apply our two main approaches to Diff erential Neural Computer (DNC), which is one of the representative content-based addressing memory model and achieves state-of-the-art performance on both memor ization and relational reasoning tasks.

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Unsupervised Discovery of Interpretable Latent Manipulations in Language VAEs Max Ryabinin, Artem Babenko, Elena Voita

Language generation models are attracting more and more attention due to their c onstantly increasing quality and remarkable generation results. State-of-the-art NLG models like BART/T5/GPT-3 do not have latent spaces, therefore there is no natural way to perform controlled generation. In contrast, less popular models w ith explicit latent spaces have the innate ability to manipulate text attributes by moving along latent directions. For images, properties of latent spaces are well-studied: there exist interpretable directions (e.g. zooming, aging, backgro und removal) and they can even be found without supervision. This success is exp ected: latent space image models, especially GANs, achieve state-of-the-art gene ration results and hence have been the focus of the research community. For lang uage, this is not the case: text GANs are hard to train because of non-different iable discrete data generation, and language VAEs suffer from posterior collapse and fill the latent space poorly. This makes finding interpetable text controls challenging. In this work, we make the first step towards unsupervised discover y of interpretable directions in language latent spaces. For this, we turn to me thods shown to work in the image domain. Surprisingly, we find that running PCA on VAE representations of training data consistently outperforms shifts along th e coordinate and random directions. This approach is simple, data-adaptive, does not require training and discovers meaningful directions, e.g. sentence length, subject age, and verb tense. Our work lays foundations for two important areas: first, it allows to compare models in terms of latent space interpretability, a nd second, it provides a baseline for unsupervised latent controls discovery. \*\*\*\*\*\*\*\*\*\*\*\*\*

Variational inference for diffusion modulated Cox processes

Prateek Jaiswal, Harsha Honnappa, Vinayak Rao

This paper proposes a stochastic variational inference (SVI) method for computin g an approximate posterior path measure of a Cox process. These processes are wi dely used in natural and physical sciences, engineering and operations research, and represent a non-trivial model of a wide array of phenomena. In our work, we model the stochastic intensity as the solution of a diffusion stochastic differ ential equation (SDE), and our objective is to infer the posterior, or smoothing

, measure over the paths given Poisson process realizations. We first derive a system of stochastic partial differential equations (SPDE) for the pathwise smoot hing posterior density function, a non-trivial result, since the standard soluti on of SPDEs typically involves an It\^o stochastic integral, which is not define d pathwise. Next, we propose an SVI approach to approximating the solution of the system. We parametrize the class of approximate smoothing posteriors using a neural network, derive a lower bound on the evidence of the observed point process sample-path, and optimize the lower bound using stochastic gradient descent (SGD). We demonstrate the efficacy of our method on both synthetic and real-world problems, and demonstrate the advantage of the neural network solution over standard numerical solvers.

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Discovering a set of policies for the worst case reward

Tom Zahavy, Andre Barreto, Daniel J Mankowitz, Shaobo Hou, Brendan O'Donoghue, Iurii Kemaev, Satinder Singh

We study the problem of how to construct a set of policies that can be composed together to solve a collection of reinforcement learning tasks. Each task is a d ifferent reward function defined as a linear combination of known features. We consider a specific class of policy compositions which we call set improving pol icies (SIPs): given a set of policies and a set of tasks, a SIP is any compositi on of the former whose performance is at least as good as that of its constituen ts across all the tasks. We focus on the most conservative instantiation of SIPs , set-max policies (SMPs), so our analysis extends to any SIP. This includes kno wn policy-composition operators like generalized policy improvement. Our main co ntribution is an algorithm that builds a set of policies in order to maximize th e worst-case performance of the resulting SMP on the set of tasks. The algorithm works by successively adding new policies to the set. We show that the worst-ca se performance of the resulting SMP strictly improves at each iteration, and the algorithm only stops when there does not exist a policy that leads to improved performance. We empirically evaluate our algorithm on a grid world and also on a set of domains from the DeepMind control suite. We confirm our theoretical resu lts regarding the monotonically improving performance of our algorithm. Interest ingly, we also show empirically that the sets of policies computed by the algori thm are diverse, leading to different trajectories in the grid world and very di stinct locomotion skills in the control suite.

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Language Controls More Than Top-Down Attention: Modulating Bottom-Up Visual Processing with Referring Expressions

Ozan Arkan Can, Ilker Kesen, Deniz Yuret

How to best integrate linguistic and perceptual processing in multimodal tasks is an important open problem. In this work we argue that the common technique of using language to direct visual attention over high-level visual features may not be optimal. Using language throughout the bottom-up visual pathway, going from pixels to high-level features, may be necessary. Our experiments on several English referring expression datasets show significant improvements when language is used to control the filters for bottom-up visual processing in addition to top-down attention.

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Automatic Music Production Using Generative Adversarial Networks

Giorgio Barnabò, Giovanni Trappolini, Lorenzo Lastilla, Cesare Campagnano, Angela Fan, Fabio Petroni, Fabrizio Silvestri

When talking about computer-based music generation, two are the main threads of research: the construction of \$\textit{autonomous music-making systems}\$, and the design of \$\textit{computer-based environments to assist musicians}\$. However, even though creating accompaniments for melodies is an essential part of every producer's and songwriter's work, little effort has been done in the field of au tomatic music arrangement in the audio domain. In this contribution, we propose a novel framework for \$\textit{automatic music accompaniment}\$ \$\textit{in the Mel-frequency domain}\$. Using several songs converted into Mel-spectrograms, a two-dimensional time-frequency representation of audio signals, we were able to au

tomatically generate original arrangements for both bass and voice lines. Treating music pieces as images (Mel-spectrograms) allowed us to reformulate our problem as an \$\textit{unpaired image-to-image translation}\$ problem, and to tackle it with CycleGAN, a well-established framework. Moreover, the choice to deploy raw audio and Mel-spectrograms enabled us to more effectively model long-range dependencies, to better represent how humans perceive music, and to potentially draw sounds for new arrangements from the vast collection of music recordings accumulated in the last century. Our approach was tested on two different downstream tasks: given a bass line creating credible and on-time drums, and given an acape lasong arranging it to a full song. In absence of an objective way of evaluating the output of music generative systems, we also defined a possible metric for the proposed task, partially based on human (and expert) judgment.

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Parameter-Based Value Functions

Francesco Faccio, Louis Kirsch, Jürgen Schmidhuber

Traditional off-policy actor-critic Reinforcement Learning (RL) algorithms learn value functions of a single target policy. However, when value functions are up dated to track the learned policy, they forget potentially useful information ab out old policies. We introduce a class of value functions called Parameter-Based Value Functions (PBVFs) whose inputs include the policy parameters. They can ge neralize across different policies. PBVFs can evaluate the performance of any policy given a state, a state-action pair, or a distribution over the RL agent's i nitial states. First we show how PBVFs yield novel off-policy policy gradient the eorems. Then we derive off-policy actor-critic algorithms based on PBVFs trained by Monte Carlo or Temporal Difference methods. We show how learned PBVFs can ze ro-shot learn new policies that outperform any policy seen during training. Finally our algorithms are evaluated on a selection of discrete and continuous control tasks using shallow policies and deep neural networks. Their performance is comparable to state-of-the-art methods.

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Global Node Attentions via Adaptive Spectral Filters

Shouheng Li, Dongwoo Kim, Qing Wang

Graph neural networks (GNNs) have been extensively studied for prediction tasks on graphs. Most GNNs assume local homophily, i.e., strong similarities in local neighborhoods. This assumption limits the generalizability of GNNs, which has be en demonstrated by recent work on disassortative graphs with weak local homophily. In this paper, we argue that GNN's feature aggregation scheme can be made fle xible and adaptive to data without the assumption of local homophily. To demonst rate, we propose a GNN model with a global self-attention mechanism defined using learnable spectral filters, which can attend to any nodes, regardless of distance. We evaluated the proposed model on node classification tasks over six bench mark datasets. The proposed model has been shown to generalize well to both assortative and disassortative graphs. Further, it outperforms all state-of-the-art baselines on disassortative graphs and performs comparably with them on assortative graphs.

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Addressing the Topological Defects of Disentanglement

Diane Bouchacourt, Mark Ibrahim, Stephane Deny

A core challenge in Machine Learning is to disentangle natural factors of variat ion in data (e.g. object shape vs pose). A popular approach to disentanglement consists in learning to map each of these factors to distinct subspaces of a mod el's latent representation. However, this approach has shown limited empirical s uccess to date. Here, we show that this approach to disentanglement introduces t opological defects (i.e. discontinuities in the encoder) for a broad family of t ransformations acting on images ---encompassing simple affine transformations su ch as rotations and translations. Moreover, motivated by classical results from group representation theory, we propose an alternative, more flexible approach to disentanglement which relies on distributed equivariant operators, potentiall y acting on the entire latent space. We theoretically and empirically demonstrat e the effectiveness of our approach to disentangle affine transformations. Our w

ork lays a theoretical foundation for the recent success of a new generation of models using distributed operators for disentanglement (see Discussion).

Machine Reading Comprehension with Enhanced Linguistic Verifiers Xianchao Wu

We propose two linguistic verifiers for span-extraction style machine reading co mprehension to respectively tackle two challenges: how to evaluate the syntactic completeness of predicted answers and how to utilize the rich context of long documents. Our first verifier rewrites a question through replacing its interrogatives by the predicted answer phrases and then builds a cross-attention scorer be etween the rewritten question and the segment, so that the answer candidates are scored in a \emph{position-sensitive} context. Our second verifier builds a hie rarchical attention network to represent segments in a passage where neighbour segments in long passages are \emph{recurrently connected} and can contribute to current segment-question pair's inference for answerablility classification and boundary determination. We then combine these two verifiers together into a pipe line and apply it to SQuAD2.0, NewsQA and TriviaQA benchmark sets. Our pipeline achieves significantly better improvements of both exact matching and F1 scores than state-of-the-art baselines.

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New Bounds For Distributed Mean Estimation and Variance Reduction Peter Davies, Vijaykrishna Gurunanthan, Niusha Moshrefi, Saleh Ashkboos, Dan Alistar

We consider the problem of distributed mean estimation (DME), in which  $n\$  mach ines are each given a local  $d\$ -dimensional vector  $\$  mathbf  $x_v \in \mathbb{R}^d\$ , and must cooperate to estimate the mean of their inputs  $\$  mathbf  $v_v \in \mathbb{R}^d\$ , and must cooperate to estimate the mean of their inputs  $\$  mathbf  $v_v \in \mathbb{R}^d\$ , while minimizing total communication cost. DME is a fundamental construct in distributed machine learning, and there has been considerable work on variants of this problem, especially in the context of distributed variance reduction for stochastic gradients in parallel SGD. Previous work typically assumes an upper bound on the norm of the input vectors, and achieves an error bound in terms of this norm. However, in many real applications, the input vectors are concentrated around the correct output  $v_v \in \mathbb{R}^d\$  mus, but  $v_v \in \mathbb{R}^d\$  mus itself has large norm. In such cases, previous output error bounds perform poorly.

In this paper, we show that output error bounds need not depend on i nput norm. We provide a method of quantization which allows distributed mean est imation to be performed with solution quality dependent only on the distance bet ween inputs, not on input norm, and show an analogous result for distributed var iance reduction. The technique is based on a new connection with lattice theory. We also provide lower bounds showing that the communication to error trade-off of our algorithms is asymptotically optimal. As the lattices achieving optimal b ounds under \$\ell\_2\$-norm can be computationally impractical, we also present an extension which leverages easy-to-use cubic lattices, and is loose only up to a logarithmic factor in \$d\$. We show experimentally that our method yields practical improvements for common applications, relative to prior approaches.

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Class Balancing GAN with a Classifier in the Loop
Harsh Rangwani, Konda Reddy Mopuri, Venkatesh Babu Radhakrishnan
Generative Adversarial Networks (GANs) have swiftly evolved to imitate increasin
gly complex image distributions. However, majority of the developments focus on
performance of GANs on balanced datasets. We find that the existing GANs and the
ir training regimes which work well on balanced datasets fail to be effective in
case of imbalanced (i.e. long-tailed) datasets. In this work we introduce a nov
el and theoretically motivated Class Balancing regularizer for training GANs. Ou
r regularizer makes use of the knowledge from a pre-trained classifier to ensure
balanced learning of all the classes in the dataset. This is achieved via model
ling the effective class frequency based on the exponential forgetting observed
in neural networks and encouraging the GAN to focus on underrepresented classes.
We demonstrate the utility of our contribution in two diverse scenarios: (i) Le

arning representations for long-tailed distributions, where we achieve better performance than existing approaches, and (ii) Generation of Universal Adversarial Perturbations (UAPs) in the data-free scenario for the large scale datasets, where we bridge the gap between data-driven and data-free approaches for crafting UAPs.

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Learning Contextual Perturbation Budgets for Training Robust Neural Networks Jing Xu, Zhouxing Shi, Huan Zhang, Jinfeng Yi, Cho-Jui Hsieh, Liwei Wang Existing methods for training robust neural networks generally aim to make model s uniformly robust on all input dimensions. However, different input dimensions are not uniformly important to the prediction. In this paper, we propose a novel framework to train certifiably robust models and learn non-uniform perturbation budgets on different input dimensions, in contrast to using the popular \$\ell\_\ infty\$ threat model. We incorporate a perturbation budget generator into the exi sting certified defense framework, and perform certified training with generated perturbation budgets. In comparison to the radius of \$\ell\_\infty\$ ball in prev ious works, the robustness intensity is measured by robustness volume which is t he multiplication of perturbation budgets on all input dimensions. We evaluate o ur method on MNIST and CIFAR-10 datasets and show that we can achieve lower clea n and certified errors on relatively larger robustness volumes, compared to meth ods using uniform perturbation budgets. Further with two synthetic datasets cons tructed from MNIST and CIFAR-10, we also demonstrate that the perturbation budge t generator can produce semantically-meaningful budgets, which implies that the generator can capture contextual information and the sensitivity of different fe atures in input images.

Enabling Binary Neural Network Training on the Edge

Erwei Wang, James J. Davis, Daniele Moro, Piotr Zielinski, Claudionor Coelho, Satraji t Chatterjee, Peter Y. K. Cheung, George Anthony Constantinides

The ever-growing computational demands of increasingly complex machine learning models frequently necessitate the use of powerful cloud-based infrastructure for their training. Binary neural networks are known to be promising candidates for on-device inference due to their extreme compute and memory savings over higher -precision alternatives. In this paper, we demonstrate that they are also strong ly robust to gradient quantization, thereby making the training of modern models on the edge a practical reality. We introduce a low-cost binary neural network training strategy exhibiting sizable memory footprint reductions and energy savings vs Courbariaux & Bengio's standard approach. Against the latter, we see coin cident memory requirement and energy consumption drops of 2--6\$\times\$, while reaching similar test accuracy, across a range of small-scale models trained to classify popular datasets. We also showcase ImageNet training of ResNetE-18, achie ving a 3.12\$\times\$ memory reduction over the aforementioned standard. Such savings will allow for unnecessary cloud offloading to be avoided, reducing latency and increasing energy efficiency while also safeguarding user privacy.

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Deep Coherent Exploration For Continuous Control

Yijie Zhang, Herke van Hoof

In policy search methods for reinforcement learning (RL), exploration is often p erformed by injecting noise either in action space at each step independently or in parameter space over each full trajectory. In prior work, it has been shown that with linear policies, a more balanced trade-off between these two explorati on strategies is beneficial. However, that method did not scale to policies usin g deep neural networks. In this paper, we introduce Deep Coherent Exploration, a general and scalable exploration framework for deep RL algorithms on continuous control, that generalizes step-based and trajectory-based exploration. This framework models the last layer parameters of the policy network as latent variable s and uses a recursive inference step within the policy update to handle these latent variables in a scalable manner. We find that Deep Coherent Exploration improves the speed and stability of learning of A2C, PPO, and SAC on several continuous control tasks.

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Median DC for Sign Recovery: Privacy can be Achieved by Deterministic Algorithms Jiyuan Tu, Weidong Liu, Xiaojun Mao

Privacy-preserving data analysis becomes prevailing in recent years. It is a com mon sense in privacy literature that strict differential privacy can only be obt ained by imposing additional randomness in the algorithm. In this paper, we study the problem of private sign recovery for sparse mean estimation and sparse linear regression in a distributed setup. By taking a coordinate-wise median among the reported local sign vectors, which can be referred to as a median divide-and-conquer (Med-DC) approach, we can recover the signs of the true parameter with a provable consistency guarantee. Moreover, without adding any extra randomness to the algorithm, our Med-DC method can protect data privacy with high probability. Simulation studies are conducted to demonstrate the effectiveness of our proposed method.

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Isometric Autoencoders

Amos Gropp, Matan Atzmon, Yaron Lipman

High dimensional data is often assumed to be concentrated on or near a low-dimen sional manifold. Autoencoders (AE) is a popular technique to learn representations of such data by pushing it through a neural network with a low dimension bott leneck while minimizing a reconstruction error. Using high capacity AE often leads to a large collection of minimizers, many of which represent a low dimensional manifold that fits the data well but generalizes poorly.

Two sources of bad generalization are: extrinsic, where the learned manifold pos sesses extraneous parts that are far from the data; and intrinsic, where the enc oder and decoder introduce arbitrary distortion in the low dimensional parameter ization. An approach taken to alleviate these issues is to add a regularizer that t favors a particular solution; common regularizers promote sparsity, small derivatives, or robustness to noise.

In this paper, we advocate an isometry (i.e., local distance preserving) regular izer. Specifically, our regularizer encourages: (i) the decoder to be an isomet ry; and (ii) the encoder to be the decoder's pseudo-inverse, that is, the encode r extends the inverse of the decoder to the ambient space by orthogonal projecti on. In a nutshell, (i) and (ii) fix both intrinsic and extrinsic degrees of fre edom and provide a non-linear generalization to principal component analysis (PC A). Experimenting with the isometry regularizer on dimensionality reduction task s produces useful low-dimensional data representations.

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Pseudo Label-Guided Multi Task Learning for Scene Understanding Sunkyung Kim, Hyesong Choi, Dongbo Min

Multi-task learning (MTL) for scene understanding has been actively studied by exploiting correlation of multiple tasks. This work focuses on improving the performance of the MTL network that infers depth and semantic segmentation maps from a single image. Specifically, we propose a novel MTL architecture, called Pseud o-MTL, that introduces pseudo labels for joint learning of monocular depth estimation and semantic segmentation tasks. The pseudo ground truth depth maps, generated from pretrained stereo matching methods, are leveraged to supervise the monocular depth estimation. More importantly, the pseudo depth labels serve to impose a cross-view consistency on the estimated monocular depth and segmentation maps of two views. This enables for mitigating the mismatch problem incurred by in consistent prediction results across two views. A thorough ablation study validates that the cross-view consistency leads to a substantial performance gain by ensuring inference-view invariance for the two tasks.

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Stochastic Proximal Point Algorithm for Large-scale Nonconvex Optimization: Convergence, Implementation, and Application to Neural Networks

Aysegul Bumin, Kejun Huang

We revisit the stochastic proximal point algorithm (SPPA) for large-scale noncon

vex optimization problems. SPPA has been shown to converge faster and more stable than the celebrated stochastic gradient descent (SGD) algorithm, and its many variations, for convex problems. However, the per-iteration update of SPPA is defined abstractly and has long been considered expensive. In this paper, we show that efficient implementation of SPPA can be achieved. If the problem is a nonlinear least squares, each iteration of SPPA can be efficiently implemented by Gauss-Newton; with some linear algebra trick the resulting complexity is in the same order of SGD. For more generic problems, SPPA can still be implemented with L-BFGS or accelerated gradient with high efficiency. Another contribution of this work is the convergence of SPPA to a stationary point in expectation for nonconvex problems. The result is encouraging that it admits more flexible choices of the step sizes under similar assumptions. The proposed algorithm is elaborated for both regression and classification problems using different neural network structures. Real data experiments showcase its effectiveness in terms of convergence and accuracy compared to SGD and its variants.

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A Chaos Theory Approach to Understand Neural Network Optimization Michele Sasdelli, Thalaiyasingam Ajanthan, Tat-Jun Chin, Gustavo Carneiro Despite the complicated structure of modern deep neural network architectures, t hey are still optimized with algorithms based on Stochastic Gradient Descent (SG D). However, the reason behind the effectiveness of SGD is not well understood, making its study an active research area. In this paper, we formulate deep neura l network optimization as a dynamical system and show that the rigorous theory d eveloped to study chaotic systems can be useful to understand SGD and its varian ts. In particular, we first observe that the inverse of the instability timescal e of SGD optimization, represented by the largest Lyapunov exponent, corresponds to the most negative eigenvalue of the Hessian of the loss. This observation en ables the introduction of an efficient method to estimate the largest eigenvalue of the Hessian. Then, we empirically show that for a large range of learning ra tes, SGD traverses the loss landscape across regions with largest eigenvalue of the Hessian similar to the inverse of the learning rate. This explains why effec tive learning rates can be found to be within a large range of values and shows that SGD implicitly uses the largest eigenvalue of the Hessian while traversing the loss landscape. This sheds some light on the effectiveness of SGD over more sophisticated second-order methods. We also propose a quasi-Newton method that d ynamically estimates an optimal learning rate for the optimization of deep learn ing models. We demonstrate that our observations and methods are robust across d ifferent architectures and loss functions on CIFAR-10 dataset.

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Learning to Set Waypoints for Audio-Visual Navigation

Changan Chen, Sagnik Majumder, Ziad Al-Halah, Ruohan Gao, Santhosh Kumar Ramakrishna n, Kristen Grauman

In audio-visual navigation, an agent intelligently travels through a complex, un mapped 3D environment using both sights and sounds to find a sound source (e.g., a phone ringing in another room). Existing models learn to act at a fixed granu larity of agent motion and rely on simple recurrent aggregations of the audio ob servations. We introduce a reinforcement learning approach to audio-visual navig ation with two key novel elements: 1) waypoints that are dynamically set and lea rned end-to-end within the navigation policy, and 2) an acoustic memory that pro vides a structured, spatially grounded record of what the agent has heard as it moves. Both new ideas capitalize on the synergy of audio and visual data for rev ealing the geometry of an unmapped space. We demonstrate our approach on two challenging datasets of real-world 3D scenes, Replica and Matterport3D. Our model is mproves the state of the art by a substantial margin, and our experiments reveal that learning the links between sights, sounds, and space is essential for audio-visual navigation.

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Log representation as an interface for log processing applications Mohammad Amin Sadeghi, Shameem Parambath, Ji Lucas, Youssef Meguebli, Maguette Toure, Fawaz Al Qahtani, Ting Yu, Sanjay Chawla Log files are files that record events, messages, or transactions. Logs are rich containers of data because they can store a sequence of structured textual and numerical data. Many sequential forms of data including natural languages and te mporal signals can be represented as logs.

We propose to represent logs at a few levels of abstraction including field leve l, log level, and log sequence level. The representation for each level can be c omputed from the previous level. These representations are in vector format and serve as interfaces to downstream applications. We use a version of transformer networks to encode numerical information as well as textual information that is suitable for log embedding. We show how a number of log processing applications can be readily solved with our representation.

Image Modeling with Deep Convolutional Gaussian Mixture Models Alexander Gepperth, Benedikt Pfülb

In this conceptual work, we present DCGMM, a deep hierarchical Gaussian Mixture Model (GMM) that is particularly suited for describing and generating images.

Vanilla (i.e., "flat") GMMs require a very large number of components to well de scribe images, leading to long training times and memory issues.

DCGMMs avoid this by a stacked architecture of multiple GMM layers, linked by convolution and pooling operations.

This allows to exploit the compositionality of images in a similar way as deep C NNs do.

This sets them apart from vanilla GMMs which are trained by EM, requiring a prior k-means initialization which is infeasible in a layered structure.

For generating sharp images with DCGMM, we introduce a new gradient-based technique for sampling through non-invertible operations like convolution and pooling. Based on the MNIST and FashionMNIST datasets, we validate the DCGMM model by dem onstrating its superiority over "flat" GMMs for clustering, sampling and outlier detection.

We additionally demonstrate the applicability of DCGMM to variant generation, in -painting and class-conditional sampling.

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Towards Finding Longer Proofs

Zsolt Zombori, Adrián Csiszárik, Henryk Michalewski, Cezary Kaliszyk, Josef Urban We present a reinforcement learning (RL) based guidance system for automated the orem proving geared towards Finding Longer Proofs (FLoP). FLoP is a step towards learning to reason by analogy, reducing the dependence on large scale search in automated theorem provers. We use several simple, structured datasets with very long proofs to show that FLoP can successfully generalise a single training pro of to a large class of related problems, implementing a simple form of analogica l reasoning. On these benchmarks, FLoP is competitive with strong theorem prover s despite using very limited search.

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GL-Disen: Global-Local disentanglement for unsupervised learning of graph-level representations

Thilini Cooray, Ngai-man Cheung, Wei Lu

Graph-level representation learning plays a crucial role in a variety of tasks s uch as molecular property prediction and community analysis. Currently, several models based on mutual information maximization have shown strong performance on the task of unsupervised graph representation learning. In this paper, instead, we consider a disentanglement approach to learn graph-level representations in the unsupervised setting. Our work is the first to study disentanglement learning for graph-level representations. Our key observation is that the formation of many real-world graphs is a complex process with global and local generative factors. We hypothesize that disentangled representations which capture these glob al and local generative factors into independent latent units can be highly bene ficial. Specifically, for graph-level representation learning, our disentanglement approach can alleviate distraction due to local variations of individual nodes or individual local neighbourhoods. We propose a VAE based learning algorithm

to disentangle the global graph-level information, which is common across the en tire graph, and local patch-level information, which varies across individual patches (the local subgraphs centered around the nodes). Through extensive experiments and analysis, we show that our method achieves the state-of-the-art performance on the task of unsupervised graph representation learning.

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Disambiguating Symbolic Expressions in Informal Documents Dennis Müller, Cezary Kaliszyk

We propose the task of \emph{disambiguating} symbolic expressions in informal ST EM documents in the form of \LaTeX files -- that is, determining their precise s emantics and abstract syntax tree -- as a neural machine translation task. We di scuss the distinct challenges involved and present a dataset with roughly 33,000 entries. We evaluated several baseline models on this dataset, which failed to yield even syntactically valid \LaTeX before overfitting. Consequently, we descr ibe a methodology using a \emph{transformer} language model pre-trained on sourc es obtained from \url{arxiv.org}, which yields promising results despite the small size of the dataset. We evaluate our model using a plurality of dedicated techniques, taking syntax and semantics of symbolic expressions into account.

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Hard Masking for Explaining Graph Neural Networks Thorben Funke, Megha Khosla, Avishek Anand

Graph Neural Networks (GNNs) are a flexible and powerful family of models that build nodes' representations on irregular graph-structured data. This paper focus es on explaining or interpreting the rationale underlying a given prediction of already trained graph neural networks for the node classification task. Existing approaches for interpreting GNNs try to find subsets of important features and nodes by learning a continuous mask. Our objective is to find discrete masks that are arguably more interpretable while minimizing the expected deviation from the underlying model's prediction. We empirically show that our explanations are both more predictive and sparse. Additionally, we find that multiple diverse explanations are possible, which sufficiently explain a prediction. Finally, we analyze the explanations to find the effect of network homophily on the decision-making process of GNNs.

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Single Layers of Attention Suffice to Predict Protein Contacts

Nick Bhattacharya, Neil Thomas, Roshan Rao, Justas Daupras, Peter K Koo, David Baker, Yun S. Song, Sergey Ovchinnikov

The established approach to unsupervised protein contact prediction estimates co evolving positions using undirected graphical models. This approach trains a Pot ts model on a Multiple Sequence Alignment, then predicts that the edges with hig hest weight correspond to contacts in the 3D structure. On the other hand, incre asingly large Transformers are being pretrained on protein sequence databases bu t have demonstrated mixed results for downstream tasks, including contact predic tion. This has sparked discussion about the role of scale and attention-based mo dels in unsupervised protein representation learning. We argue that attention is a principled model of protein interactions, grounded in real properties of prot ein family data. We introduce a simplified attention layer, factored attention, and show that it achieves comparable performance to Potts models, while sharing parameters both within and across families. Further, we extract contacts from th e attention maps of a pretrained Transformer and show they perform competitively with the other two approaches. This provides evidence that large-scale pretrain ing can learn meaningful protein features when presented with unlabeled and unal igned data. We contrast factored attention with the Transformer to indicate that the Transformer leverages hierarchical signal in protein family databases not c aptured by our single-layer models. This raises the exciting possibility for the development of powerful structured models of protein family databases.

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Trust, but verify: model-based exploration in sparse reward environments
Konrad Czechowski, Tomasz Odrzygó ■d■, Micha Izworski, Marek Zbysi ■ski, ■ukasz Kuci ■

## ski, Piotr Mi∎o■

We propose \$\textit{trust-but-verify}\$ (TBV) mechanism, a new method which uses model uncertainty estimates to guide exploration. The mechanism augments graph search planning algorithms by the capacity to deal with learned model's imperfections. We identify certain type of frequent model errors, which we dub \$\textit{false loops}\$, and which are particularly dangerous for graph search algorithms in discrete environments. These errors impose falsely pessimistic expectations a nd thus hinder exploration. We confirm this experimentally and show that TBV can effectively alleviate them. TBV combined with MCTS or Best First Search forms a n effective model-based reinforcement learning solution, which is able to robust ly solve sparse reward problems.

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Visual Question Answering From Another Perspective: CLEVR Mental Rotation Tests Christopher Beckham, Martin Weiss, Florian Golemo, Sina Honari, Derek Nowrouzezahrai, Christopher Pal

Different types of \emph{mental rotation tests} have been used extensively in ps ychology to understand human visual reasoning and perception. Understanding what an object or visual scene would look like from another viewpoint is a challenging problem that is made even harder if it must be performed from a single image. 3D computer vision has a long history of examining related problems. However, of ten what one is most interested in is the answer to a relatively simple question posed in another visual frame of reference -- as opposed to creating a full 3D reconstruction.

Mental rotations tests can also manifest as consequential questions in the real world such as: does the pedestrian that I see, see the car that I am driving? We explore a controlled setting whereby questions are posed about the properties of a scene if the scene were observed from another viewpoint. To do this we hav e created a new version of the CLEVR VQA problem setup and dataset that we call CLEVR Mental Rotation Tests or CLEVR-MRT, where the goal is to answer questions about the original CLEVR viewpoint given a single image obtained from a differen t viewpoint of the same scene. Using CLEVR Mental Rotation Tests we examine stan dard state of the art methods, show how they fall short, then explore novel neur al architectures that involve inferring representations encoded as feature volum es describing a scene. Our new methods use rigid transformations of feature volu mes conditioned on the viewpoint camera. We examine the efficacy of different mo del variants through performing a rigorous ablation study. Furthermore, we exami ne the use of contrastive learning to infer a volumetric encoder in a self-super vised manner and find that this approach yields the best results of our study us ing CLEVR-MRT.

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Deep Gated Canonical Correlation Analysis

Ofir Lindenbaum, Moshe Salhov, Amir Averbuch, Yuval Kluger

Canonical Correlation Analysis (CCA) models can extract informative correlated r epresentations from multimodal unlabelled data. Despite their success, CCA model s may break if the number of variables exceeds the number of samples. We propose Deep Gated-CCA, a method for learning correlated representations based on a spa rse subset of variables from two observed modalities. The proposed procedure learns two non-linear transformations and simultaneously gates the input variables to identify a subset of most correlated variables. The non-linear transformation s are learned by training two neural networks to maximize a shared correlation loss defined based on their outputs. Gating is obtained by adding an approximate \$\ell\_0\$ regularization term applied to the input variables. This approximation relies on a recently proposed continuous Gaussian based relaxation for Bernoulli variables which act as gates. We demonstrate the efficacy of the method using s everal synthetic and real examples. Most notably, the method outperforms other linear and non-linear CCA models.

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Colorization Transformer

Manoj Kumar, Dirk Weissenborn, Nal Kalchbrenner

We present the Colorization Transformer, a novel approach for diverse high fidel

ity image colorization based on self-attention. Given a grayscale image, the colorization proceeds in three steps. We first use a conditional autoregressive transformer to produce a low resolution coarse coloring of the grayscale image. Our architecture adopts conditional transformer layers to effectively condition grayscale input. Two subsequent fully parallel networks upsample the coarse colored low resolution image into a finely colored high resolution image. Sampling from the Colorization Transformer produces diverse colorings whose fidelity outperforms the previous state-of-the-art on colorising ImageNet based on FID results and based on a human evaluation in a Mechanical Turk test. Remarkably, in more than 60\% of cases human evaluators prefer the highest rated among three generated colorings over the ground truth. The code and pre-trained checkpoints for Colorization Transformer are publicly available at https://github.com/google-research/tree/master/coltran

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More Side Information, Better Pruning: Shared-Label Classification as a Case Stu dy

Omer Leibovitch, Nir Ailon

Pruning of neural networks, also known as compression or sparsification, is the task of converting a given network, which may be too expensive to use (in prediction) on low resource platforms, with another 'lean' network which performs almost as well as the original one, while using considerably fewer resources. By turning the compression ratio knob, the practitioner can trade off the information gain versus the necessary computational resources, where information gain is a measure of reduction of uncertainty in the prediction.

In certain cases, however, the practitioner may readily possess some information on the prediction from other sources. The main question we study here is, whet her it is possible to take advantage of the additional side information, in orde r to further reduce the computational resources, in tandem with the pruning process?

Motivated by a real-world application, we distill the following elegantly stated problem. We are given a multi-class prediction problem, combined with a (possi bly pre-trained) network architecture for solving it on a given instance distribution, and also a method for pruning the network to allow trading off prediction speed with accuracy. We assume the network and the pruning methods are state-of-the-art, and it is not our goal here to improve them. However, instead of being asked to predict a single drawn instance x, we are being asked to predict the label of an x, tuple of instances x, we are being asked to predict the label of an x, tuple of instances x, which the additional side information of all tuple instances share the same label. The shared label distribution is identical to the distribution on which the network was trained.

One trivial way to do this is by obtaining individual raw predictions for each of the  $n\$  instances (separately), using our given network, pruned for a desired accuracy, then taking the average to obtain a single more accurate prediction. This is simple to implement but intuitively sub-optimal, because the  $n\$  independent instantiations of the network do not share any information, and would probably waste resources on overlapping computation.

We propose various methods for performing this task, and compare them using extensive experiments on public benchmark data sets for image classification. Our comparison is based on measures of relative information (RI) and \$n\$-accuracy, which we define. Interestingly, we empirically find that I) sharing information between the \$n\$ independently computed hidden representations of \$x\_1,...,x\_n\$, using an LSTM based gadget, performs best, among all methods we experiment with, ii) for all methods studied, we exhibit a sweet spot phenomenon, which sheds light on the compression-information trade-off and may assist a practitioner to choose the desired compression ratio.

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Gradient-based training of Gaussian Mixture Models for High-Dimensional Streamin

q Data

Alexander Gepperth, Benedikt Pfülb

We present an approach for efficiently training Gaussian Mixture Models by SGD on non-stationary, high-dimensional streaming data.

Our training scheme does not require data-driven parameter initialization (e.g., k-means) and has the ability to process high-dimensional samples without numerical problems.

Furthermore, the approach allows mini-batch sizes as low as 1, typical for strea ming-data settings, and it is possible to react and adapt to changes in data sta tistics (concept drift/shift) without catastrophic forgetting.

Major problems in such streaming-data settings are undesirable local optima duri ng early training phases and numerical instabilities due to high data dimensiona lities.%, and catastrophic forgetting when encountering concept drift.

We introduce an adaptive annealing procedure to address the first problem, %, whi ch additionally plays a decisive role in controlling the \acp{GMM}' reaction to concept drift.

whereas numerical instabilities are eliminated by using an exponential-free approximation to the standard  $\ac{GMM}$  log-likelihood.

Experiments on a variety of visual and non-visual benchmarks show that our SGD a pproach can be trained completely without, for instance, k-means based centroid initialization, and compares favorably to sEM, an online variant of EM.

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Succinct Network Channel and Spatial Pruning via Discrete Variable QCQP Yeonwoo Jeong, Deokjae Lee, Gaon An, Changyong Son, Hyun Oh Song

Reducing the heavy computational cost of large convolutional neural networks is crucial when deploying the networks to resource-constrained environments. In this context, recent works propose channel pruning via greedy channel selection to achieve practical acceleration and memory footprint reduction. We first show this channel-wise approach ignores the inherent quadratic coupling between chan nels in the neighboring layers and cannot safely remove inactive weights during the pruning procedure. Furthermore, we show that these pruning methods cannot gu arantee the given resource constraints are satisfied and cause discrepancy with the true objective. To this end, we formulate a principled optimization framework with discrete variable QCQP, which provably prevents any inactive weights and enables the exact guarantee of meeting the resource constraints in terms of FLO Ps and memory. Also, we extend the pruning granularity beyond channels and joint ly prune individual 2D convolution filters spatially for greater efficiency. Our experiments show competitive pruning results under the target resource constraints on CIFAR-10 and ImageNet datasets on various network architectures.

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Theoretical bounds on estimation error for meta-learning

James Lucas, Mengye Ren, Irene Raissa KAMENI KAMENI, Toniann Pitassi, Richard Zemel Machine learning models have traditionally been developed under the assumption that the training and test distributions match exactly. However, recent success in few-shot learning and related problems are encouraging signs that these models can be adapted to more realistic settings where train and test distributions differ. Unfortunately, there is severely limited theoretical support for these algorithms and little is known about the difficulty of these problems. In this work, we provide novel information-theoretic lower-bounds on minimax rates of convergence for algorithms that are trained on data from multiple sources and tested on novel data. Our bounds depend intuitively on the information shared between so urces of data, and characterize the difficulty of learning in this setting for a rbitrary algorithms. We demonstrate these bounds on a hierarchical Bayesian mode of meta-learning, computing both upper and lower bounds on parameter estimation via maximum-a-posteriori inference.

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InstantEmbedding: Efficient Local Node Representations

Stefan Postavaru, Anton Tsitsulin, Filipe Miguel Goncalves de Almeida, Yingtao Tian, Silvio Lattanzi, Bryan Perozzi

In this paper, we introduce InstantEmbedding, an efficient method for generating single-node representations using local PageRank computations. We prove that o ur approach produces globally consistent representations in sublinear time. We demonstrate this empirically by conducting extensive experiments on real-world datasets with over a billion edges. Our experiments confirm that InstantEmbedding requires drastically less computation time (over 9,000 times faster) and less me mory (by over 8,000 times) to produce a single node's embedding than traditional methods including DeepWalk, node2vec, VERSE, and FastRP. We also show that our method produces high quality representations, demonstrating results that meet or exceed the state of the art for unsupervised representation learning on tasks like node classification and link prediction.

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Learning Robust Models using the Principle of Independent Causal Mechanisms Jens Müller, Robert Schmier, Lynton Ardizzone, Carsten Rother, Ullrich Koethe Standard supervised learning breaks down under data distribution shift. However, the principle of independent causal mechanisms (ICM, Peters et al. (2017)) can turn this weakness into an opportunity: one can take advantage of distribution shift between different environments during training in order to obtain more robust models. We propose a new gradient-based learning framework whose objective function is derived from the ICM principle. We show theoretically and experimental ly that neural networks trained in this framework focus on relations remaining in nvariant across environments and ignore unstable ones. Moreover, we prove that the recovered stable relations correspond to the true causal mechanisms under cer tain conditions. In both regression and classification, the resulting models gen eralize well to unseen scenarios where traditionally trained models fail.

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Implicit Normalizing Flows

Cheng Lu, Jianfei Chen, Chongxuan Li, Qiuhao Wang, Jun Zhu

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Ablation Path Saliency

Olivier Verdier, Justus Sagemüller

We consider the saliency problem for black-box classification. In image classification, this means highlighting the part of the image that is most relevant for the current decision.

We cast the saliency problem as finding an optimal ablation path between two ima ges. An ablation path consists of a sequence of ever smaller masks, joining the current image to a reference image in another decision region. The optimal path will stay as long as possible in the current decision region. This approach extends the ablation tests in [Sturmfels et al. (2020)]. The gradient of the corresponding objective function is closely related to the integrated gradient method [Sundararajan et al. (2017)]. In the saturated case (when the classifier outputs a binary value) our method would reduce to the meaningful perturbation approach [Fong & Vedaldi (2017)], since crossing the decision boundary as late as possible would then be equivalent to finding the smallest possible mask lying on the decision boundary.

Our interpretation provides geometric understanding of existing saliency methods

, and suggests a novel approach based on ablation path optimisation.

NETWORK ROBUSTNESS TO PCA PERTURBATIONS

Anan Kabaha, Dana Drachsler Cohen

A key challenge in analyzing neural networks' robustness is identifying input fe atures for which networks are robust to perturbations. Existing work focuses on direct perturbations to the inputs, thereby studies network robustness to the lo west-level features. In this work, we take a new approach and study the robustness of networks to the inputs' semantic features. We show a black-box approach to determine features for which a network is robust or weak. We leverage these features to obtain provably robust neighborhoods defined using robust features and adversarial examples defined by perturbing weak features. We evaluate our approach with PCA features. We show (1) provably robust neighborhoods are larger: on average by 1.8x and up to 4.5x, compared to the standard neighborhoods, and (2) our adversarial examples are generated using at least 8.7x fewer queries and have at least 2.8x lower L2 distortion compared to state-of-the-art. We further show that our attack is effective even against ensemble adversarial training.

ARELU: ATTENTION-BASED RECTIFIED LINEAR UNIT

Chen Dengsheng, Jun Li, Kai Xu

Element-wise activation functions play a critical role in deep neural networks via affecting the expressivity power and the learning dynamics. Learning-based ac tivation functions have recently gained increasing attention and success. We pro pose a new perspective of learnable activation function through formulating them with element-wise attention mechanism. In each network layer, we devise an atte ntion module which learns an element-wise, sign-based attention map for the preactivation feature map. The attention map scales an element based on its sign. A dding the attention module with a rectified linear unit (ReLU) results in an amp lification of positive elements and a suppression of negative ones, both with le arned, data-adaptive parameters. We coin the resulting activation function Atten tion-based Rectified Linear Unit (AReLU). The attention module essentially learn s an element-wise residue of the activated part of the input, as ReLU can be vie wed as an identity transformation. This makes the network training more resis- t ant to gradient vanishing. The learned attentive activation leads to well-focuse d activation of relevant regions of a feature map. Through extensive evaluations , we show that AReLU significantly boosts the performance of most mainstream net work architectures with only two extra learnable parameters per layer introduced . Notably, AReLU facilitates fast network training under small learning rates, w hich makes it especially suited in the case of transfer learning and meta learni

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Fast 3D Acoustic Scattering via Discrete Laplacian Based Implicit Function Encoders

Hsien-Yu Meng, Zhenyu Tang, Dinesh Manocha

Acoustic properties of objects corresponding to scattering characteristics are f requently used for 3D audio content creation, environmental acoustic effects, l ocalization and acoustic scene analysis, etc. The numeric solvers used to comput e these acoustic properties are too slow for interactive applications. We present a novel geometric deep learning algorithm based on discrete-laplacian and implicit encoders to compute these characteristics for rigid or deformable objects at interactive rates. We use a point cloud approximation of each object, and each point is encoded in a high-dimensional latent space. Our multi-layer network can accurately estimate these acoustic properties for arbitrary topologies and takes less than 1ms per object on a NVIDIA GeForce RTX 2080 Ti GPU. We also prove that our learning method is permutation and rotation invariant and demonstrate high accuracy on objects that are quite different from the training data. We highlight its application to generating environmental acoustic effects in dynamic environments.

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XLVIN: eXecuted Latent Value Iteration Nets

Andreea Deac,Petar Veli■kovi■,Ognjen Milinkovic,Pierre-Luc Bacon,Jian Tang,Mlade n Nikolic

Value Iteration Networks (VINs) have emerged as a popular method to perform implicit planning within deep reinforcement learning, enabling performance improvements on tasks requiring long-range reasoning and understanding of environment dynamics. This came with several limitations, however: the model is not explicitly incentivised to perform meaningful planning computations, the underlying states pace is assumed to be discrete, and the Markov decision process (MDP) is assumed fixed and known. We propose eXecuted Latent Value Iteration Networks (XLVINs), which combine recent developments across contrastive self-supervised learning, graph representation learning and neural algorithmic reasoning to alleviate all of the above limitations, successfully deploying VIN-style models on generic environments. XLVINs match the performance of VIN-like models when the underlying MDP is discrete, fixed and known, and provide significant improvements to model-free baselines across three general MDP setups.

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On the Importance of Sampling in Training GCNs: Convergence Analysis and Variance Reduction

Weilin Cong, Morteza Ramezani, Mehrdad Mahdavi

Graph Convolutional Networks (GCNs) have achieved impressive empirical advanceme nt across a wide variety of graph-related applications. Despite their great succ ess, training GCNs on large graphs suffers from computational and memory issues. A potential path to circumvent these obstacles is sampling-based methods, where at each layer a subset of nodes is sampled. Although recent studies have empiri cally demonstrated the effectiveness of sampling-based methods, these works lack theoretical convergence guarantees under realistic settings and cannot fully le verage the information of evolving parameters during optimization. In this paper , we describe and analyze a general \textbf{\textit{doubly variance reduction}} schema that can accelerate any sampling method under the memory budget. The moti vating impetus for the proposed schema is a careful analysis for the variance of sampling methods where it is shown that the induced variance can be decomposed into node embedding approximation variance (\emph{zeroth-order variance}) during forward propagation and layerwise-gradient variance (\emph{first-order variance }) during backward propagation. We theoretically analyze the convergence of the proposed schema and show that it enjoys an  $\mathcal{O}(1/T)$  convergence rate. We complement our theoretical results by integrating the proposed schema in dif ferent sampling methods and applying them to different large real-world graphs. 

Quantifying and Learning Disentangled Representations with Limited Supervision Loek Tonnaer, Luis Armando Pérez Rey, Vlado Menkovski, Mike Holenderski, Jacobus W. Portegies

Learning low-dimensional representations that disentangle the underlying factors of variation in data has been posited as an important step towards interpretabl e machine learning with good generalization. To address the fact that there is n o consensus on what disentanglement entails, Higgins et al. (2018) propose a for mal definition for Linear Symmetry-Based Disentanglement, or LSBD, arguing that underlying real-world transformations give exploitable structure to data.

Although several works focus on learning LSBD representations, such methods require supervision on the underlying transformations for the entire dataset, and cannot deal with unlabeled data. Moreover, none of these works provide a metric to quantify LSBD.

We propose a metric to quantify LSBD representations that is easy to compute und er certain well-defined assumptions. Furthermore, we present a method that can l everage unlabeled data, such that LSBD representations can be learned with limit ed supervision on transformations. Using our LSBD metric, our results show that limited supervision is indeed sufficient to learn LSBD representations.

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Variational Information Bottleneck for Effective Low-Resource Fine-Tuning

Rabeeh Karimi mahabadi, Yonatan Belinkov, James Henderson

While large-scale pretrained language models have obtained impressive results wh en fine-tuned on a wide variety of tasks, they still often suffer from overfitting in low-resource scenarios. Since such models are general-purpose feature extractors, many of these features are inevitably irrelevant for a given target task. We propose to use Variational Information Bottleneck (VIB) to suppress irrelevant features when fine-tuning on low-resource target tasks, and show that our method successfully reduces overfitting. Moreover, we show that our VIB model finds sentence representations that are more robust to biases in natural language inference datasets, and thereby obtains better generalization to out-of-domain datasets. Evaluation on seven low-resource datasets in different tasks shows that our method significantly improves transfer learning in low-resource scenarios, surpassing prior work. Moreover, it improves generalization on 13 out of 15 out-of-domain natural language inference benchmarks. Our code is publicly available in https://github.com/rabeehk/vibert.

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TropEx: An Algorithm for Extracting Linear Terms in Deep Neural Networks Martin Trimmel, Henning Petzka, Cristian Sminchisescu

Deep neural networks with rectified linear (ReLU) activations are piecewise line ar functions, where hyperplanes partition the input space into an astronomically high number of linear regions. Previous work focused on counting linear regions to measure the network's expressive power and on analyzing geometric properties of the hyperplane configurations. In contrast, we aim to understand the impact of the linear terms on network performance, by examining the information encoded in their coefficients. To this end, we derive TropEx, a nontrivial tropical alg ebra-inspired algorithm to systematically extract linear terms based on data. Ap plied to convolutional and fully-connected networks, our algorithm uncovers sign ificant differences in how the different networks utilize linear regions for gen eralization. This underlines the importance of systematic linear term exploration, to better understand generalization in neural networks trained with complex data sets.

Seq2Tens: An Efficient Representation of Sequences by Low-Rank Tensor Projection s

Csaba Toth, Patric Bonnier, Harald Oberhauser

Sequential data such as time series, video, or text can be challenging to analys e as the ordered structure gives rise to complex dependencies. At the heart of t his is non-commutativity, in the sense that reordering the elements of a sequenc e can completely change its meaning. We use a classical mathematical object -- t he free algebra -- to capture this non-commutativity. To address the innate comp utational complexity of this algebra, we use compositions of low-rank tensor pro jections. This yields modular and scalable building blocks that give state-of-th e-art performance on standard benchmarks such as multivariate time series classi fication, mortality prediction and generative models for video.

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Self-Organizing Intelligent Matter: A blueprint for an AI generating algorithm Karol Gregor, Frederic Besse

We propose an artificial life framework aimed at facilitating the emergence of i ntelligent organisms. In this framework there is no explicit notion of an agent: instead there is an environment made of atomic elements. These elements contain neural operations and interact through exchanges of information and through phy sics-like rules contained in the environment. We discuss how an evolutionary process can lead to the emergence of different organisms made of many such atomic e lements which can coexist and thrive in the environment. We discuss how this for ms the basis of a general AI generating algorithm. We provide a simplified imple mentation of such system and discuss what advances need to be made to scale it up further.

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Representation learning for improved interpretability and classification accuracy of clinical factors from EEG

Garrett Honke, Irina Higgins, Nina Thigpen, Vladimir Miskovic, Katie Link, Sunny Duan, Pramod Gupta, Julia Klawohn, Greg Hajcak

Despite extensive standardization, diagnostic interviews for mental health disor ders encompass substantial subjective judgment. Previous studies have demonstrat ed that EEG-based neural measures can function as reliable objective correlates of depression, or even predictors of depression and its course. However, their c linical utility has not been fully realized because of 1) the lack of automated ways to deal with the inherent noise associated with EEG data at scale, and 2) t he lack of knowledge of which aspects of the EEG signal may be markers of a clin ical disorder. Here we adapt an unsupervised pipeline from the recent deep repre sentation learning literature to address these problems by 1) learning a disenta ngled representation using \$\beta\$-VAE to denoise the signal, and 2) extracting interpretable features associated with a sparse set of clinical labels using a S ymbol-Concept Association Network (SCAN). We demonstrate that our method is able to outperform the canonical hand-engineered baseline classification method on a number of factors, including participant age and depression diagnosis. Furtherm ore, our method recovers a representation that can be used to automatically extr act denoised Event Related Potentials (ERPs) from novel, single EEG trajectories , and supports fast supervised re-mapping to various clinical labels, allowing c linicians to re-use a single EEG representation regardless of updates to the sta ndardized diagnostic system. Finally, single factors of the learned disentangled representations often correspond to meaningful markers of clinical factors, as automatically detected by SCAN, allowing for human interpretability and post-hoc expert analysis of the recommendations made by the model.

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Shuffle to Learn: Self-supervised learning from permutations via differentiable ranking

Andrew N Carr, Quentin Berthet, Mathieu Blondel, Olivier Teboul, Neil Zeghidour Self-supervised pre-training using so-called "pretext" tasks has recently shown impressive performance across a wide range of tasks. In this work we advance sel f-supervised learning from permutations, that consists in shuffling parts of inp ut and training a model to reorder them, improving downstream performance in cla ssification. To do so, we overcome the main challenges of integrating permutatio n inversions (a discontinuous operation) into an end-to-end training scheme, her etofore sidestepped by casting the reordering task as classification, fundamenta lly reducing the space of permutations that can be exploited. These advances rel y on two main, independent contributions. First, we use recent advances in diffe rentiable ranking to integrate the permutation inversion flawlessly into a neura 1 network, enabling us to use the full set of permutations, at no additional com puting cost. Our experiments validate that learning from all possible permutatio ns (up to  $10^{18}$ ) improves the quality of the pre-trained representations ove r using a limited, fixed set. Second, we successfully demonstrate that inverting permutations is a meaningful pretext task in a diverse range of modalities, bey ond images, which does not require modality-specific design. In particular, we a lso improve music understanding by reordering spectrogram patches in the frequen cy space, as well as video classification by reordering frames along the time ax is. We furthermore analyze the influence of the patches that we use (vertical, h orizontal, 2-dimensional), as well as the benefit of our approach in different d ata regimes.

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Minimum Description Length Recurrent Neural Networks

Nur Lan, Emmanuel Chemla, Roni Katzir

Recurrent neural networks (RNNs) face two well-known challenges: (a) the difficulty of such networks to generalize appropriately as opposed to memorizing, especially from very short input sequences (generalization); and (b) the difficulty for us to understand the knowledge that the network has attained (transparency). We explore the implications to these challenges of employing a general search through neural architectures using a genetic algorithm with Minimum Description Length (MDL) as an objective function. We find that MDL leads the networks to reach adequate levels of generalization from very small corpora, improving over back

propagation-based alternatives. We demonstrate this approach by evolving network s which perform tasks of increasing complexity with absolute correctness. The re sulting networks are small, easily interpretable, and unlike classical RNNs, are provably appropriate for sequences of arbitrary length even when trained on ver y limited corpora. One case study is addition, for which our system grows a netw ork with just four cells, reaching 100% accuracy (and at least .999 certainty) f or arbitrary large numbers.

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AUL is a better optimization metric in PU learning Shangchuan Huang, Songtao Wang, Dan Li, Liwei Jiang

Traditional binary classification models are trained and evaluated with fully la beled data which is not common in real life. In non-ideal dataset, only a small fraction of positive data are labeled. Training a model from such partially labe led data is named as positive-unlabeled (PU) learning. A naive solution of PU le arning is treating unlabeled samples as negative. However, using biased data, th e trained model may converge to non-optimal point and its real performance canno t be well estimated. Recent works try to recover the unbiased result by estimati ng the proportion of positive samples with mixture proportion estimation (MPE) a lgorithms, but the model performance is still limited and heavy computational co st is introduced (particularly for big datasets). In this work, we theoretically prove that Area Under Lift curve (AUL) is an unbiased metric in PU learning sce nario, and the experimental evaluation on 9 datasets shows that the average abso lute error of AUL estimation is only 1/6 of AUC estimation. By experiments we al so find that, compared with state-of-the-art AUC-optimization algorithm, AULopti mization algorithm can not only significantly save the computational cost, but a lso improve the model performance by up to 10%.

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Variational Deterministic Uncertainty Quantification

Joost van Amersfoort, Lewis Smith, Andrew Jesson, Oscar Key, Yarin Gal

Building on recent advances in uncertainty quantification using a single deep de terministic model (DUQ), we introduce variational Deterministic Uncertainty Quan tification (vDUQ). We overcome several shortcomings of DUQ by recasting it as a Gaussian process (GP) approximation. Our principled approximation is based on an inducing point GP in combination with Deep Kernel Learning. This enables vDUQ to use rigorous probabilistic foundations, and work not only on classification but also on regression problems. We avoid uncertainty collapse away from the training data by regularizing the spectral norm of the deep feature extractor. Our me thod matches SotA accuracy, 96.2\% on CIFAR-10, while maintaining the speed of softmax models, and provides uncertainty estimates competitive with Deep Ensembles. We demonstrate our method in regression problems and by estimating uncertainty in causal inference for personalized medicine

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ChemistryQA: A Complex Question Answering Dataset from Chemistry Zhuoyu Wei, Wei Ji, Xiubo Geng, Yining Chen, Baihua Chen, Tao Qin, Daxin Jiang Many Question Answering (QA) tasks have been studied in NLP and employed to eval uate the progress of machine intelligence. One kind of QA tasks, such as Machine Reading Comprehension QA, is well solved by end-to-end neural networks; anothe r kind of QA tasks, such as Knowledge Base QA, needs to be translated to a form atted representations and then solved by a well-designed solver. We notice that some real-world QA tasks are more complex, which cannot be solved by end-to-end neural networks or translated to any kind of formal representations. To further stimulate the research of QA and development of QA techniques, in this work, we create a new and complex QA dataset, ChemistryQA, based on real-world chemical calculation questions. To answer chemical questions, machines need to understand questions, apply chemistry and Math knowledge, and do calculation and reasoning . To help researchers ramp up, we build two baselines: the first one is BERT-bas ed sequence to sequence model, and the second one is an extraction system plus a graph search based solver. These two methods achieved 0.164 and 0.169 accuracy on the development set, respectively, which clearly demonstrate that new techniq ues are needed for complex QA tasks. ChemistryQA dataset will be available for p

ublic download once the paper is published.

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Neural Random Projection: From the Initial Task To the Input Similarity Problem Alan Savushkin, Nikita Benkovich, Dmitry Golubev

The data representation plays an important role in evaluating similarity between objects. In this paper, we propose a novel approach for implicit data represent ation to evaluate similarity of input data using a trained neural network. In contrast to the previous approach, which uses gradients for representation, we utilize only the outputs from the last hidden layer of a neural network and do not use a backward step. The proposed technique explicitly takes into account the initial task and significantly reduces the size of the vector representation, as well as the computation time. Generally, a neural network obtains representations related only to the problem being solved, which makes the last hidden layer representation useless for input similarity task.

In this paper, we consider two reasons for the decline in the quality of represe ntations: correlation between neurons and insufficient size of the last hidden 1 ayer. To reduce the correlation between neurons we use orthogonal weight initial ization for each layer and modify the loss function to ensure orthogonality of t he weights during training. Moreover, we show that activation functions can pote ntially increase correlation. To solve this problem, we apply modified Batch-Nor malization with Dropout. Using orthogonal weight matrices allow us to consider s uch neural networks as an application of the Random Projection method and get a lower bound estimate for the size of the last hidden layer. We perform experimen ts on MNIST and physical examination datasets. In both experiments, initially, w e split a set of labels into two disjoint subsets to train a neural network for binary classification problem, and then use this model to measure similarity bet ween input data and define hidden classes. We also cluster the inputs to evaluat e how well objects from the same hidden class are grouped together. Our experime ntal results show that the proposed approach achieves competitive results on the input similarity task while reducing both computation time and the size of the input representation.

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Generalizing and Tensorizing Subgraph Search in the Supernet Hansi Yang, quanming yao

Recently, a special kind of graph, i.e., supernet, which allows two nodes connec ted by multi-choice edges, has exhibited its power in neural architecture search (NAS) by searching better architectures for computer vision (CV) and natural la nguage processing (NLP) tasks. In this paper, we discover that the design of suc h discrete architectures also appears in many other important learning tasks, e. g., logical chain inference in knowledge graphs (KGs) and meta-path discovery in heterogeneous information networks (HINs). Thus, we are motivated to generalize the supernet search problem on a broader horizon. However, none of the existing works are effective since the supernet's topology is highly task-dependent and diverse. To address this issue, we propose to tensorize the supernet, i.e. unify the subgraph search problems by a tensor formulation and encode the topology in side the supernet by a tensor network. We further propose an efficient algorithm that admits both stochastic and deterministic objectives to solve the search pr oblem. Finally, we perform extensive experiments on diverse learning tasks, i.e. , architecture design for CV, logic inference for KG, and meta-path discovery fo r HIN. Empirical results demonstrate that our method leads to better performance and architectures.

Identifying Treatment Effects under Unobserved Confounding by Causal Representation Learning

Pengzhou Abel Wu, Kenji Fukumizu

As an important problem of causal inference, we discuss the estimation of treatm ent effects under the existence of unobserved confounding. By representing the c onfounder as a latent variable, we propose Counterfactual VAE, a new variant of variational autoencoder, based on recent advances in identifiability of represen

tation learning. Combining the identifiability and classical identification results of causal inference, under mild assumptions on the generative model and with small noise on the outcome, we theoretically show that the confounder is identifiable up to an affine transformation and then the treatment effects can be identified. Experiments on synthetic and semi-synthetic datasets demonstrate that our method matches the state-of-the-art, even under settings violating our formal assumptions.

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Learning disentangled representations with the Wasserstein Autoencoder Benoit Gaujac, Ilya Feige, David Barber

Disentangled representation learning has undoubtedly benefited from objective function surgery. However, a delicate balancing act of tuning is still required in order to trade off reconstruction fidelity versus disentanglement. Building on previous successes of penalizing the total correlation in the latent variables, we propose TCWAE (Total Correlation Wasserstein Autoencoder). Working in the WAE paradigm naturally enables the separation of the total-correlation term, thus providing disentanglement control over the learned representation, while offering more flexibility in the choice of reconstruction cost. We propose two variants using different KL estimators and perform extensive quantitative comparisons on data sets with known generative factors, showing competitive results relative to state-of-the-art techniques. We further study the trade off between disentangle ment and reconstruction on more-difficult data sets with unknown generative fact ors, where we expect improved reconstructions due to the flexibility of the WAE paradigm.

Language-Agnostic Representation Learning of Source Code from Structure and Context

Daniel Zügner, Tobias Kirschstein, Michele Catasta, Jure Leskovec, Stephan Günnemann Source code (Context) and its parsed abstract syntax tree (AST; Structure) are t wo complementary representations of the same computer program. Traditionally, de signers of machine learning models have relied predominantly either on Structure or Context. We propose a new model, which jointly learns on Context and Structure of source code. In contrast to previous approaches, our model uses only language-agnostic features, i.e., source code and features that can be computed directly from the AST. Besides obtaining state-of-the-art on monolingual code summarization on all five programming languages considered in this work, we propose the first multilingual code summarization model. We show that jointly training on n on-parallel data from multiple programming languages improves results on all ind ividual languages, where the strongest gains are on low-resource languages. Remarkably, multilingual training only from Context does not lead to the same improvements, highlighting the benefits of combining Structure and Context for representation learning on code.

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Generalized Multimodal ELBO

Thomas M. Sutter, Imant Daunhawer, Julia E Vogt

Multiple data types naturally co-occur when describing real-world phenomena and learning from them is a long-standing goal in machine learning research. However, existing self-supervised generative models approximating an ELBO are not able to fulfill all desired requirements of multimodal models: their posterior approximation functions lead to a trade-off between the semantic coherence and the ability to learn the joint data distribution. We propose a new, generalized ELBO formulation for multimodal data that overcomes these limitations. The new objective encompasses two previous methods as special cases and combines their benefits without compromises. In extensive experiments, we demonstrate the advantage of the proposed method compared to state-of-the-art models in self-supervised, generative learning tasks.

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Saliency Grafting: Innocuous Attribution-Guided Mixup with Calibrated Label Mixing

Joonhyung Park, June Yong Yang, Jinwoo Shin, Sung Ju Hwang, Eunho Yang

The Mixup scheme of mixing a pair of samples to create an augmented training sam ple has gained much attention recently for better training of neural networks. A straightforward and widely used extension is to combine Mixup and regional drop out methods: removing random patches from a sample and replacing it with the fea tures from another sample. Albeit their simplicity and effectiveness, these meth ods are prone to create harmful samples due to their randomness. In recent studi es, attempts to prevent such a phenomenon by selecting only the most informative features are gradually emerging. However, this maximum saliency strategy acts a gainst their fundamental duty of sample diversification as they always determini stically select regions with maximum saliency, injecting bias into the augmented data. To address this problem, we present Saliency Grafting, a novel Mixup-like data augmentation method that captures the best of both ways. By stochastically sampling the features and 'grafting' them onto another sample, our method effec tively generates diverse yet meaningful samples. The second ingredient of Salien cy Grafting is to produce the label of the grafted sample by mixing the labels i n a saliency-calibrated fashion, which rectifies supervision misguidance introdu ced by the random sampling procedure. Our experiments under CIFAR and ImageNet d atasets show that our scheme outperforms the current state-of-the-art augmentati on strategies not only in terms of classification accuracy, but is also superior in coping under stress conditions such as data corruption and data scarcity. Th e code will be released.

Rethinking Compressed Convolution Neural Network from a Statistical Perspective Feiging Huang, Yuefeng Si, Guodong Li

Many designs have recently been proposed to improve the model efficiency of convolutional neural networks (CNNs) at a fixed resource budget, while there is a lack of theoretical analysis to justify them. This paper first formulates CNNs with high-order inputs into statistical models, which have a special "Tucker-like" formulation. This makes it possible to further conduct the sample complexity analysis to CNNs as well as compressed CNNs via tensor decomposition. Tucker and CP decompositions are commonly adopted to compress CNNs in the literature. The low rank assumption is usually imposed on the output channels, which according to our study, may not be beneficial to obtain a computationally efficient model while a similar accuracy can be maintained. Our finding is further supported by ablation studies on CIFAR10, SVNH and UCF101 datasets.

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DQSGD: DYNAMIC QUANTIZED STOCHASTIC GRADIENT DESCENT FOR COMMUNICATION-EFFICIENT DISTRIBUTED LEARNING

Guangfeng Yan, Shao-Lun Huang, Tian Lan, Linqi Song

Gradient quantization is widely adopted to mitigate communication costs in distr ibuted learning systems. Existing gradient quantization algorithms often rely on design heuristics and/or empirical evidence to tune the quantization strategy f or different learning problems. To the best of our knowledge, there is no theore tical framework characterizing the trade-off between communication cost and mode 1 accuracy under dynamic gradient quantization strategies. This paper addresses this issue by proposing a novel dynamic quantized SGD (DQSGD) framework, which e nables us to optimize the quantization strategy for each gradient descent step b y exploring the trade-off between communication cost and modeling error. In part icular, we derive an upper bound, tight in some cases, of the modeling error for arbitrary dynamic quantization strategy. By minimizing this upper bound, we obt ain an enhanced quantization algorithm with significantly improved modeling erro r under given communication overhead constraints. Besides, we show that our quan tization scheme achieves a strengthened communication cost and model accuracy tr ade-off in a wide range of optimization models. Finally, through extensive exper iments on large-scale computer vision and natural language processing tasks on C IFAR-10, CIFAR-100, and AG-News datasets, respectively. we demonstrate that our quantization scheme significantly outperforms the state-of-the-art gradient quan tization methods in terms of communication costs.

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Secure Federated Learning of User Verification Models

Hossein Hosseini, Hyunsin Park, Sungrack Yun, Christos Louizos, Joseph Soriaga, Max Welling

We consider the problem of training User Verification (UV) models in federated s etup, where the conventional loss functions are not applicable due to the constraints that each user has access to the data of only one class and user embedding s cannot be shared with the server or other users. To address this problem, we propose Federated User Verification (FedUV), a framework for private and secure training of UV models. In FedUV, users jointly learn a set of vectors and maximize the correlation of their instance embeddings with a secret user-defined linear combination of those vectors. We show that choosing the linear combinations from the codewords of an error-correcting code allows users to collaboratively train the model without revealing their embedding vectors. We present the experimental results for user verification with voice, face, and handwriting data and show that FedUV is on par with existing approaches, while not sharing the embeddings with other users or the server.

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Multi-scale Network Architecture Search for Object Detection

Yuxin Yue, Quanquan Li, Yujie Wang

Many commonly-used detection frameworks aim to handle the multi-scale object det ection problem. The input image is always encoded to multi-scale features and ob jects grouped by scale range are assigned to the corresponding features. However, the design of multi-scale feature production is quite hand-crafted or partially automatic. In this paper, we show that more possible architectures of encoder network and different strategies of feature utilization can lead to superior per formance. Specifically, we propose an efficient and effective multi-scale network architecture search method (MSNAS) to improve multi-scale object detection by jointly optimizing network stride search of the encoder and appropriate feature selection for detection heads. We demonstrate the effectiveness of the method on COCO dataset and obtain a remarkable performance gain with respect to the original Feature Pyramid Networks.

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Nonvacuous Loss Bounds with Fast Rates for Neural Networks via Conditional Information Measures

Fredrik Hellström, Giuseppe Durisi

We present a framework to derive bounds on the test loss of randomized learning algorithms for the case of bounded loss functions. This framework leads to bound s that depend on the conditional information density between the the output hypo thesis and the choice of the training set, given a larger set of data samples from which the training set is formed. Furthermore, the bounds pertain to the average test loss as well as to its tail probability, both for the PAC-Bayesian and the single-draw settings. If the conditional information density is bounded uniformly in the size n of the training set, our bounds decay as 1/n, which is referred to as a fast rate. This is in contrast with the tail bounds involving conditional information measures available in the literature, which have a less be nign  $1/\sqrt{n}$  dependence. We demonstrate the usefulness of our tail bounds by showing that they lead to estimates of the test loss achievable with several neural network architectures trained on MNIST and Fashion-MNIST that match the state-of-the-art bounds available in the literature.

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Non-Markovian Predictive Coding For Planning In Latent Space

Tung Nguyen, Rui Shu, Tuan Pham, Hung Bui, Stefano Ermon

High-dimensional observations are a major challenge in the application of model-based reinforcement learning (MBRL) to real-world environments. In order to hand le high-dimensional sensory inputs, existing MBRL approaches use representation learning to map high-dimensional observations into a lower-dimensional latent space that is more amenable to dynamics estimation and planning. Crucially, the task-relevance and predictability of the learned representations play critical roles in the success of planning in latent space. In this work, we present Non-Mark ovian Predictive Coding (NMPC), an information-theoretic approach for planning from high-dimensional observations with two key properties: 1) it formulates a mu

tual information objective that prioritizes the encoding of task-relevant compon ents of the environment; and 2) it employs a recurrent neural network capable of modeling non-Markovian latent dynamics. To demonstrate NMPC's ability to priori tize task-relevant information, we evaluate our new model on a challenging modification of standard DMControl tasks where the DMControl background is replaced with natural videos, containing complex but irrelevant information to the planning task. Our experiments show that NMPC is superior to existing methods in the challenging complex-background setting while remaining competitive with current state-of-the-art MBRL models in the standard setting.

Sparse matrix products for neural network compression
Luc Giffon, hachem kadri, Stephane Ayache, Ronan Sicre, thierry artieres
Over-parameterization of neural networks is a well known issue that comes along
with their great performance. Among the many approaches proposed to tackle this
problem, low-rank tensor decompositions are largely investigated to compress dee
p neural networks. Such techniques rely on a low-rank assumption of the layer we
ight tensors that does not always hold in practice. Following this observation,
this paper studies sparsity inducing techniques to build new sparse matrix produ
ct layer for high-rate neural networks compression. Specifically, we explore rec
ent advances in sparse optimization to replace each layer's weight matrix, eithe
r convolutional or fully connected, by a product of sparse matrices. Our experim
ents validate that our approach provides a better compression-accuracy trade-off
than most popular low-rank-based compression techniques.

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SiamCAN:Simple yet Effective Method to enhance Siamese Short-Term Tracking Yue Zhao,Zhibin Yu

Most traditional Siamese trackers are used to regard the location of the max res ponse map as the center of target. However, it is difficult for these traditiona 1 methods to calculate response value accurately when face the similar object, deformation, background clutters and other challenges. So how to get the reliable response map is the key to improve tracking performance. Accordingly, a simple yet effective short-term tracking framework (called SiamCAN), by which bridging the information flow between search branch and template branch, is proposed to solve the above problem in this paper. Moreover, in order to get more accurate ta rget estimation, an anchor-free mechanism and specialized training strategy are applied to narrow the gap between the predicted bounding box and groundtruth. The proposed method achieves state-of-the-art performance on four visual tracking benchmarks including UAV123, OTB100, VOT2018 and VOT2019, outperforming the strong baseline, SiamBAN, by 0.327 \$\displaystyle \rightarrow\$ 0.331 on VOT2019 and 0.631 \$\displaystyle \rightarrow\$ 0.833 \$\displaystyle \rightarrow\$ 1.850 precision score on UAV123.

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Firas Laakom, Jenni Raitoharju, Alexandros Iosifidis, Moncef Gabbouj
During the last decade, neural networks have been intensively used to tackle var
ious problems and they have often led to state-of-the-art results. These network
s are composed of multiple jointly optimized layers arranged in a hierarchical s
tructure. At each layer, the aim is to learn to extract hidden patterns needed t
o solve the problem at hand and forward it to the next layers. In the standard f
orm, a neural network is trained with gradient-based optimization, where the err
ors are back-propagated from the last layer back to the first one. Thus at each
optimization step, neurons at a given layer receive feedback from neurons belong
ing to higher layers of the hierarchy. In this paper, we propose to complement t
his traditional 'between-layer' feedback with additional 'within-layer' feedback
to encourage diversity of the activations within the same layer. To this end, w
e measure the pairwise similarity between the outputs of the neurons and use it
to model the layer's overall diversity. By penalizing similarities and promoting

diversity, we encourage each neuron to learn a distinctive representation and, thus, to enrich the data representation learned within the layer and to increase

ON NEURAL NETWORK GENERALIZATION VIA PROMOTING WITHIN-LAYER ACTIVATION DIVERSITY

the total capacity of the model. We theoretically study how the within-layer ac tivation diversity affects the generalization performance of a neural network in a supervised context and we prove that increasing the diversity of hidden activations reduces the estimation error. In addition to the theoretical guarantees, we present an empirical study confirming that the proposed approach enhances the performance of neural networks.

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Factored Action Spaces in Deep Reinforcement Learning

Thomas PIERROT, Valentin Macé, Jean-Baptiste Sevestre, Louis Monier, Alexandre Later re, Nicolas Perrin, Karim Beguir, Olivier Sigaud

Very large action spaces constitute a critical challenge for deep Reinforcement Learning (RL) algorithms. An existing approach consists in splitting the action space into smaller components and choosing either independently or sequentially actions in each dimension. This approach led to astonishing results for the Star Craft and Dota 2 games, however it remains underexploited and understudied. In this paper, we name this approach Factored Actions Reinforcement Learning (FARL) and study both its theoretical impact and practical use. Notably, we provide a theoretical analysis of FARL on the Proximal Policy Optimization (PPO) and Soft A ctor Critic (SAC) algorithms and evaluate these agents in different classes of problems. We show that FARL is a very versatile and efficient approach to combina torial and continuous control problems.

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A Near-Optimal Recipe for Debiasing Trained Machine Learning Models Ibrahim Alabdulmohsin, Mario Lucic

We present an efficient and scalable algorithm for debiasing trained models, inc luding deep neural networks (DNNs), which we prove to be near-optimal by boundin g its excess Bayes risk. Unlike previous black-box reduction methods to cost-se nsitive classification rules, the proposed algorithm operates on models that hav e been trained without having to retrain the model. Furthermore, as the algorith m is based on projected stochastic gradient descent (SGD), it is particularly at tractive for deep learning applications. We empirically validate the proposed algorithm on standard benchmark datasets across both classical algorithms and mode rn DNN architectures and demonstrate that it outperforms previous post-processin g approaches for unbiased classification.

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Semi-supervised counterfactual explanations

SURYA SHRAVAN KUMAR SAJJA, Sumanta Mukherjee, Satyam Dwivedi, Vikas C. Raykar Counterfactual explanations for machine learning models are used to find minimal interventions to the feature values such that the model changes the prediction to a different output or a target output. A valid counterfactual explanation sho uld have likely feature values. Here, we address the challenge of generating cou nterfactual explanations that lie in the same data distribution as that of the t raining data and more importantly, they belong to the target class distribution . This requirement has been addressed through the incorporation of auto-encoder reconstruction loss in the counterfactual search process. Connecting the output behavior of the classifier to the latent space of the auto-encoder has further improved the speed of the counterfactual search process and the interpretability of the resulting counterfactual explanations. Continuing this line of research, we show further improvement in the interpretability of counterfactual explanati ons when the auto-encoder is trained in a semi-supervised fashion with class tag ged input data. We empirically evaluate our approach on several datasets and sho w considerable improvement in-terms of several metrics.

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Attacking Few-Shot Classifiers with Adversarial Support Sets Elre Talea Oldewage, John F Bronskill, Richard E Turner

Few-shot learning systems, especially those based on meta-learning, have recently made significant advances, and are now being considered for real world problem s in healthcare, personalization, and science. In this paper, we examine the rob ustness of such deployed few-shot learning systems when they are fed an imperceptibly perturbed few-shot dataset, showing that the resulting predictions on test

inputs can become worse than chance. This is achieved by developing a novel Adv ersarial Support Set Attack which crafts a poisoned set of examples. When even a small subset of malicious data points is inserted into the support set of a met a-learner, accuracy is significantly reduced. For example, the average classific ation accuracy of CNAPs on the Aircraft dataset in the META-DATASET benchmark dr ops from 69.2% to 9.1% when only 20% of the support set is poisoned by impercept ible perturbations. We evaluate the new attack on a variety of few-shot classification algorithms including MAML, prototypical networks, and CNAPs, on both small scale (miniImageNet) and large scale (META-DATASET) few-shot classification problems. Interestingly, adversarial support sets produced by attacking a meta-learning based few-shot classifier can also reduce the accuracy of a fine-tuning based few-shot classifier when both models use similar feature extractors.

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Encoded Prior Sliced Wasserstein AutoEncoder for learning latent manifold repres entations

Sanjukta Krishnagopal, Jacob Bedrossian

While variational autoencoders have been successful in a variety of tasks, the u se of conventional Gaussian or Gaussian mixture priors are limited in their abil ity to encode underlying structure of data in the latent representation.

In this work, we introduce an Encoded Prior Sliced Wasserstein AutoEncoder (EPSW AE) wherein an additional prior-encoder network facilitates learns an embedding of the data manifold which preserves topological and geometric properties of the data, thus improving the structure of latent space.

The autoencoder and prior-encoder networks are iteratively trained using the Sli ced Wasserstein (SW) distance, which efficiently measures the distance between t wo \textit{arbitrary} sampleable distributions without being constrained to a sp ecific form as in the KL divergence, and without requiring expensive adversarial training.

To improve the representation, we use (1) a structural consistency term in the 1 oss that encourages isometry between feature space and latent space and (2) a no nlinear variant of the SW distance which averages over random nonlinear shearing

The effectiveness of the learned manifold encoding is best explored by traversin g the latent space through interpolations along \textit{geodesics} which generat e samples that lie on the manifold and hence are advantageous compared to standard Euclidean interpolation.

To this end, we introduce a graph-based algorithm for interpolating along networ k-geodesics in latent space by maximizing the density of samples along the path while minimizing total energy. We use the 3D-spiral data to show that the prior does indeed encode the geometry underlying the data and to demonstrate the advantages of the network-algorithm for interpolation.

Additionally, we apply our framework to MNIST, and CelebA datasets, and show that outlier generations, latent representations, and geodesic interpolations are comparable to the state of the art.

Model-based micro-data reinforcement learning: what are the crucial model proper ties and which model to choose?

Balázs Kégl, Gabriel Hurtado, Albert Thomas

We contribute to micro-data model-based reinforcement learning (MBRL) by rigorou sly comparing popular generative models using a fixed (random shooting) control agent. We find that on an environment that requires multimodal posterior predict ives, mixture density nets outperform all other models by a large margin. When m ultimodality is not required, our surprising finding is that we do not need prob abilistic posterior predictives: deterministic models are on par, in fact they c onsistently (although non-significantly) outperform their probabilistic counterp arts. We also found that heteroscedasticity at training time, perhaps acting as a regularizer, improves predictions at longer horizons. At the methodological si de, we design metrics and an experimental protocol which can be used to evaluate the various models, predicting their asymptotic performance when using them on the control problem. Using this framework, we improve the state-of-the-art sample

e complexity of MBRL on Acrobot by two to four folds, using an aggressive training schedule which is outside of the hyperparameter interval usually considered.

Set Prediction without Imposing Structure as Conditional Density Estimation David W Zhang, Gertjan J. Burghouts, Cees G. M. Snoek

Set prediction is about learning to predict a collection of unordered variables with unknown interrelations. Training such models with set losses imposes the st ructure of a metric space over sets. We focus on stochastic and underdefined cas es, where an incorrectly chosen loss function leads to implausible predictions. Example tasks include conditional point-cloud reconstruction and predicting futu re states of molecules. In this paper we propose an alternative to training via set losses, by viewing learning as conditional density estimation. Our learning framework fits deep energy-based models and approximates the intractable likelih ood with gradient-guided sampling. Furthermore, we propose a stochastically augm ented prediction algorithm that enables multiple predictions, reflecting the pos sible variations in the target set. We empirically demonstrate on a variety of d atasets the capability to learn multi-modal densities and produce different plau sible predictions. Our approach is competitive with previous set prediction mode ls on standard benchmarks. More importantly, it extends the family of addressable tasks beyond those that have unambiquous predictions.

Learning Value Functions in Deep Policy Gradients using Residual Variance Yannis Flet-Berliac, reda ouhamma, odalric-ambrym maillard, Philippe Preux Policy gradient algorithms have proven to be successful in diverse decision maki ng and control tasks. However, these methods suffer from high sample complexity and instability issues. In this paper, we address these challenges by providing a different approach for training the critic in the actor-critic framework. Our work builds on recent studies indicating that traditional actor-critic algorithm s do not succeed in fitting the true value function, calling for the need to ide ntify a better objective for the critic. In our method, the critic uses a new st ate-value (resp. state-action-value) function approximation that learns the valu e of the states (resp. state-action pairs) relative to their mean value rather t han the absolute value as in conventional actor-critic. We prove the theoretical consistency of the new gradient estimator and observe dramatic empirical improv ement across a variety of continuous control tasks and algorithms. Furthermore, we validate our method in tasks with sparse rewards, where we provide experiment al evidence and theoretical insights.

IDF++: Analyzing and Improving Integer Discrete Flows for Lossless Compression Rianne van den Berg, Alexey A. Gritsenko, Mostafa Dehghani, Casper Kaae Sønderby, Ti m Salimans

In this paper we analyse and improve integer discrete flows for lossless compres sion. Integer discrete flows are a recently proposed class of models that learn invertible transformations for integer-valued random variables. Their discrete n ature makes them particularly suitable for lossless compression with entropy cod ing schemes. We start by investigating a recent theoretical claim that states th at invertible flows for discrete random variables are less flexible than their c ontinuous counterparts. We demonstrate with a proof that this claim does not hol d for integer discrete flows due to the embedding of data with finite support in to the countably infinite integer lattice. Furthermore, we zoom in on the effect of gradient bias due to the straight-through estimator in integer discrete flow s, and demonstrate that its influence is highly dependent on architecture choice s and less prominent than previously thought. Finally, we show how different arc hitecture modifications improve the performance of this model class for lossless compression, and that they also enable more efficient compression: a model with half the number of flow layers performs on par with or better than the original integer discrete flow model.

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Combining Imitation and Reinforcement Learning with Free Energy Principle Ryoya Ogishima, Izumi Karino, Yasuo Kuniyoshi

Imitation Learning (IL) and Reinforcement Learning (RL) from high dimensional se nsory inputs are often introduced as separate problems, but a more realistic problem setting is how to merge the techniques so that the agent can reduce exploration costs by partially imitating experts at the same time it maximizes its return. Even when the experts are suboptimal (e.g. Experts learned halfway with other RL methods or human-crafted experts), it is expected that the agent outperforms the suboptimal experts' performance. In this paper, we propose to address the issue by using and theoretically extending Free Energy Principle, a unified brain theory that explains perception, action and model learning in a Bayesian probabilistic way. We find that both IL and RL can be achieved based on the same free energy objective function. Our results show that our approach is promising in visual control tasks especially with sparse-reward environments.

Addressing Some Limitations of Transformers with Feedback Memory
Angela Fan, Thibaut Lavril, Edouard Grave, Armand Joulin, Sainbayar Sukhbaatar
Transformers have been successfully applied to sequential tasks despite being fe
edforward networks. Unlike recurrent neural networks, Transformers use attention
to capture temporal relations while processing input tokens in parallel. While
this parallelization makes them computationally efficient, it restricts the mod
el from fully exploiting the sequential nature of the input. The representation
at a given layer can only access representations from lower layers, rather than
the higher level representations already available. In this work, we propose the
Feedback Transformer architecture that exposes all previous representations to
all future representations, meaning the lowest representation of the current tim
estep is formed from the highest-level abstract representation of the past. We d
emonstrate on a variety of benchmarks in language modeling, machine translation,
and reinforcement learning that the increased representation capacity can creat
e small, shallow models with much stronger performance than comparable Transform
ers.

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Black-Box Optimization Revisited: Improving Algorithm Selection Wizards through Massive Benchmarking

Laurent Meunier, Herilalaina Rakotoarison, Jeremy Rapin, Paco Wong, Baptiste Roziere, Olivier Teytaud, Antoine Moreau, Carola Doerr

Existing studies in black-box optimization for machine learning suffer from low generalizability, caused by a typically selective choice of problem instances us ed

for training and testing different optimization algorithms. Among other issues, this practice promotes overfitting and poor-performing user guidelines. To address

this shortcoming, we propose in this work a benchmark suite, OptimSuite, which covers a broad range of black-box optimization problems, ranging from academic benchmarks to real-world applications, from discrete over numerical to mixed-integer problems, from small to very large-scale problems, from noisy over dynamic to static problems, etc. We demonstrate the advantages of such a broad collection by deriving from it Automated Black Box Optimizer (ABBO), a general-purpose algorithm selection wizard. Using three different types of algorithm

selection techniques, ABBO achieves competitive performance on all benchmark suites. It significantly outperforms previous state of the art on some of

them, including YABBOB and LSGO. ABBO relies on many high-quality base components. Its excellent performance is obtained without any task-specific parametrization. The benchmark collection, the ABBO wizard, its base solvers, as well as all experimental data are reproducible and open source in OptimSuite.

On the Importance of Distraction-Robust Representations for Robot Learning Andy Wang, Antoine Cully

Representation Learning methods can allow the application of Reinforcement Learn ing algorithms when a high dimensionality in a robot's perceptions would otherwi

se prove prohibitive. Consequently, unsupervised Representation Learning compone nts often feature in robot control algorithms that assume high-dimensional camer a images as the principal source of information.

In their design and performance, these algorithms often benefit from the control led nature of the simulation or laboratory conditions they are evaluated in. How ever, these settings fail to acknowledge the stochasticity of most real-world en vironments.

In this work, we introduce the concept of Distraction-Robust Representation Lear ning. We argue that environment noise and other distractions require learned representations to encode the robot's expected perceptions rather than the observed ones. Our experimental evaluations demonstrate that representations learned with a traditional dimensionality reduction algorithm are strongly susceptible to distractions in a robot's environment.

We propose an Encoder-Decoder architecture that produces representations that al low the learning outcomes of robot control tasks to remain unaffected by these distractions.

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Fully Unsupervised Diversity Denoising with Convolutional Variational Autoencode rs

Mangal Prakash, Alexander Krull, Florian Jug

Deep Learning based methods have emerged as the indisputable leaders for virtual ly all image restoration tasks. Especially in the domain of microscopy images, v arious content-aware image restoration (CARE) approaches are now used to improve the interpretability of acquired data. Naturally, there are limitations to what can be restored in corrupted images, and like for all inverse problems, many po tential solutions exist, and one of them must be chosen. Here, we propose DivNoi sing, a denoising approach based on fully convolutional variational autoencoders (VAEs), overcoming the problem of having to choose a single solution by predict ing a whole distribution of denoised images. First we introduce a principled way of formulating the unsupervised denoising problem within the VAE framework by e xplicitly incorporating imaging noise models into the decoder. Our approach is f ully unsupervised, only requiring noisy images and a suitable description of the imaging noise distribution. We show that such a noise model can either be measu red, bootstrapped from noisy data, or co-learned during training. If desired, co nsensus predictions can be inferred from a set of DivNoising predictions, leadin g to competitive results with other unsupervised methods and, on occasion, even with the supervised state-of-the-art. DivNoising samples from the posterior enab le a plethora of useful applications. We are (i) showing denoising results for 1 3 datasets, (ii) discussing how optical character recognition (OCR) applications can benefit from diverse predictions, and are (iii) demonstrating how instance cell segmentation improves when using diverse DivNoising predictions.

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Zero-shot Transfer Learning for Gray-box Hyper-parameter Optimization Hadi Samer Jomaa, Lars Schmidt-Thieme, Josif Grabocka

Zero-shot hyper-parameter optimization refers to the process of selecting hyperparameter configurations that are expected to perform well for a given dataset  $\frac{1}{2}$ upfront, without access to any observations of the losses of the target response . Existing zero-shot approaches are posed as initialization strategies for Bayes ian Optimization and they often rely on engineered meta-features to measure data set similarity, operating under the assumption that the responses of similar dat asets behaves similarly with respect to the same hyper-parameters. Solutions for zero- shot HPO are embarrassingly parallelizable and thus can reduce vastly the required wallclock time of learning a single model. We propose a very simple HP O model called Gray-box Zero(0)-Shot Initialization (GROSI) as a conditional par ametric surrogate that learns a universal response model by exploiting the relat ionship between the hyper-parameters and the dataset meta-features directly. In contrast to existing HPO solutions, we achieve transfer of knowledge without eng ineered meta- features, but rather through a shared model that is trained simult aneously across all datasets. We design and optimize a novel loss function that allows us to regress from the dataset/hyper-parameter pair unto the response. Ex periments on 120 datasets demonstrate the strong performance of GROSI, compared to conventional initialization strategies. We also show that by fine-tuning GROS I to the target dataset, we can outperform state-of-the-art sequential HPO algor ithms.

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Not All Memories are Created Equal: Learning to Expire

Sainbayar Sukhbaatar, Da JU, Spencer Poff, Stephen Roller, Arthur Szlam, Jason E West on, Angela Fan

Attention mechanisms have shown promising results in sequence modeling tasks that require long-term memory. Recent work has investigated mechanisms to reduce the computational cost of preserving and storing the memories. However, not all content in the past is equally important to remember. We propose Expire-Span, a method that learns to retain the most important information and

expire the irrelevant information. This enables Transformers to scale to attend to tens of thousands of previous timesteps efficiently, as not all hidden states from previous timesteps are preserved. We demonstrate that Expire-Span can help models identify and retain critical information and show it can achieve state of the art results on long-context language modeling, reinforcement learning, and algorithmic tasks. Finally, we show that Expire-Span can scale to memories that are tens of thousands in size, which is helpful on incredibly long context task s such as character-level PG-19 and a frame-by-frame moving objects task.

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Ordering-Based Causal Discovery with Reinforcement Learning

Xiaoqiang Wang, Yali Du, Shengyu Zhu, Liangjun Ke, Zhitang Chen, Jianye HAO, Jun Wang It is a long-standing question to discover causal relations among a set of varia bles in many empirical sciences. Recently, Reinforcement Learning (RL) has achie ved promising results in causal discovery. However, searching the space of direc ted graphs directly and enforcing acyclicity by implicit penalties tend to be in efficient and restrict the method to the small problems. In this work, we altern atively consider searching an ordering by RL from the variable ordering space th at is much smaller than that of directed graphs, which also helps avoid dealing with acyclicity. Specifically, we formulate the ordering search problem as a Mar kov decision process, and then use different reward designs to optimize the orde ring generating model. A generated ordering is then processed using variable sel ection methods to obtain the final directed acyclic graph. In contrast to other causal discovery methods, our method can also utilize a pretrained model to acce lerate training. We conduct experiments on both synthetic and real-world dataset s, and show that the proposed method outperforms other baselines on important me trics even on large graph tasks.

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Is Attention Better Than Matrix Decomposition?

Zhengyang Geng, Meng-Hao Guo, Hongxu Chen, Xia Li, Ke Wei, Zhouchen Lin

As an essential ingredient of modern deep learning, attention mechanism, especia lly self-attention, plays a vital role in the global correlation discovery. Howe ver, is hand-crafted attention irreplaceable when modeling the global context? O ur intriguing finding is that self-attention is not better than the matrix decom position~(MD) model developed 20 years ago regarding the performance and computa tional cost for encoding the long-distance dependencies. We model the global con text issue as a low-rank completion problem and show that its optimization algor ithms can help design global information blocks. This paper then proposes a seri es of Hamburgers, in which we employ the optimization algorithms for solving MDs to factorize the input representations into sub-matrices and reconstruct a lowrank embedding. Hamburgers with different MDs can perform favorably against the popular global context module self-attention when carefully coping with gradient s back-propagated through MDs. Comprehensive experiments are conducted in the vi sion tasks where it is crucial to learn the global context, including semantic s egmentation and image generation, demonstrating significant improvements over se lf-attention and its variants. Code is available at https://github.com/Gsunshine /Enjoy-Hamburger.

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Deep Quotient Manifold Modeling

Jiseob Kim, Seungjae Jung, Hyundo Lee, Byoung-Tak Zhang

One of the difficulties in modeling real-world data is their complex multi-manif old structure due to discrete features. In this paper, we propose quotient manif old modeling (QMM), a new data-modeling scheme that considers generic manifold s tructure independent of discrete features, thereby deriving efficiency in modeling and allowing generalization over untrained manifolds. QMM considers a deep en coder inducing an equivalence between manifolds; but we show it is sufficient to consider it only implicitly via a bias-regularizer we derive. This makes QMM easily applicable to existing models such as GANs and VAEs, and experiments show that these models not only present superior FID scores but also make good general izations across different datasets. In particular, we demonstrate an MNIST model that synthesizes EMNIST alphabets.

Learning Aggregation Functions

Giovanni Pellegrini, Alessandro Tibo, Paolo Frasconi, Andrea Passerini, Manfred Jaeg er

Learning on sets is increasingly gaining attention in the machine learning comm unity, due to its widespread applicability. Typically, representations over sets are computed by using fixed aggregation functions such as sum or maximum. Howev er, recent results showed that universal function representation by sum- (or max -) decomposition requires either highly discontinuous (and thus poorly learnable) mappings, or a latent dimension equal to the maximum number of elements in the set. To mitigate this problem, we introduce LAF (Learning Aggregation Functions), a learnable aggregator for sets of arbitrary cardinality. LAF can approximate several extensively used aggregators (such as average, sum, maximum) as well a s more complex functions (e.g. variance and skewness). We report experiments on semi-synthetic and real data showing that LAF outperforms state-of-the-art sum- (max-) decomposition architectures such as DeepSets and library-based architect ures like Principal Neighborhood Aggregation.

Improving Transformation Invariance in Contrastive Representation Learning Adam Foster, Rattana Pukdee, Tom Rainforth

We propose methods to strengthen the invariance properties of representations ob tained by contrastive learning. While existing approaches implicitly induce a de gree of invariance as representations are learned, we look to more directly enforce invariance in the encoding process. To this end, we first introduce a training objective for contrastive learning that uses a novel regularizer to control how the representation changes under transformation. We show that representations trained with this objective perform better on downstream tasks and are more robust to the introduction of nuisance transformations at test time. Second, we propose a change to how test time representations are generated by introducing a feature averaging approach that combines encodings from multiple transformations of the original input, finding that this leads to across the board performance gains. Finally, we introduce the novel Spirograph dataset to explore our ideas in the context of a differentiable generative process with multiple downstream tasks, showing that our techniques for learning invariance are highly beneficial.

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Don't be picky, all students in the right family can learn from good teachers Roy Henha Eyono, Fabio Maria Carlucci, Pedro M Esperança, Binxin Ru, Philip Torr State-of-the-art results in deep learning have been improving steadily, in good part due to the use of larger models. However, widespread use is constrained by device hardware limitations, resulting in a substantial performance gap between state-of-the-art models and those that can be effectively deployed on small devices.

While Knowledge Distillation (KD) theoretically enables small student models to emulate larger teacher models, in practice selecting a good student architecture requires considerable human expertise. Neural Architecture Search (NAS) appears

as a natural solution to this problem but most approaches can be inefficient, a s most of the computation is spent comparing architectures sampled from the same distribution, with negligible differences in performance.

In this paper, we propose to instead search for a family of student architecture s sharing the property of being good at learning from a given teacher.

Our approach AutoKD, powered by Bayesian Optimization, explores a flexible graph -based search space, enabling us to automatically learn the optimal student arch itecture distribution and KD parameters, while being 20x more sample efficient c ompared to existing state-of-the-art. We evaluate our method on 3 datasets; on 1 arge images specifically, we reach the teacher performance while using 3x less m emory and 10x less parameters. Finally, while AutoKD uses the traditional KD loss, it outperforms more advanced KD variants using hand-designed students.

Sparse Uncertainty Representation in Deep Learning with Inducing Weights Hippolyt Ritter, Martin Kukla, Cheng Zhang, Yingzhen Li

Bayesian neural networks and deep ensembles represent two modern paradigms of un certainty quantification in deep learning. Yet these approaches struggle to scal e mainly due to memory inefficiency issues, since they require parameter storage several times higher than their deterministic counterparts. To address this, we augment the weight matrix of each layer with a small number of inducing weight s, thereby projecting the uncertainty quantification into such low dimensional s paces. We further extend Matheron's conditional Gaussian sampling rule to enable fast weight sampling, whichenable our inference method to maintain reasonable r un-time as compared with ensembles. Importantly, our approach achieves competiti ve performance to the state-of-the-art in prediction and uncertainty estimation tasks with fully connected neural networks and ResNets, while reducing the param eter size to \$\leq 47.9\%\$ of that of a single neural network.

Explicit Pareto Front Optimization for Constrained Reinforcement Learning Sandy Huang, Abbas Abdolmaleki, Philemon Brakel, Steven Bohez, Nicolas Heess, Martin Riedmiller, raia hadsell

Many real-world problems require that reinforcement learning (RL) agents learn p olicies that not only maximize a scalar reward, but do so while meeting constraints, such as remaining below an energy consumption threshold. Typical approaches for solving constrained RL problems rely on Lagrangian relaxation, but these su ffer from several limitations. We draw a connection between multi-objective RL and constrained RL, based on the key insight that the constraint-satisfying optimal policy must be Pareto optimal. This leads to a novel, multi-objective perspective for constrained RL. We propose a framework that uses a multi-objective RL algorithm to find a Pareto front of policies that trades off between the reward and constraint(s), and simultaneously searches along this front for constraint-satisfying policies. We show that in practice, an instantiation of our framework outperforms existing approaches on several challenging continuous control domains, both in terms of solution quality and sample efficiency, and enables flexibility in recovering a portion of the Pareto front rather than a single constraint-satisfying policy.

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On the Origin of Implicit Regularization in Stochastic Gradient Descent Samuel L Smith, Benoit Dherin, David Barrett, Soham De

For infinitesimal learning rates, stochastic gradient descent (SGD) follows the path of gradient flow on the full batch loss function. However moderately large learning rates can achieve higher test accuracies, and this generalization benef it is not explained by convergence bounds, since the learning rate which maximiz es test accuracy is often larger than the learning rate which minimizes training loss. To interpret this phenomenon we prove that for SGD with random shuffling, the mean SGD iterate also stays close to the path of gradient flow if the learn ing rate is small and finite, but on a modified loss. This modified loss is composed of the original loss function and an implicit regularizer, which penalizes the norms of the minibatch gradients. Under mild assumptions, when the batch siz

e is small the scale of the implicit regularization term is proportional to the ratio of the learning rate to the batch size. We verify empirically that explicitly including the implicit regularizer in the loss can enhance the test accuracy when the learning rate is small.

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AC-VAE: Learning Semantic Representation with VAE for Adaptive Clustering Xingyu Xie, Minjuan Zhu, Yan Wang, Lei Zhang

Unsupervised representation learning is essential in the field of machine learni ng, and accurate neighbor clusters of representation show great potential to sup port unsupervised image classification. This paper proposes a VAE (Variational A utoencoder) based network and a clustering method to achieve adaptive neighbor c lustering to support the self-supervised classification. The proposed network en codes the image into the representation with boundary information, and the propo sed cluster method takes advantage of the boundary information to deliver adapti ve neighbor cluster results. Experimental evaluations show that the proposed me thod outperforms state-of-the-art representation learning methods in terms of ne ighbor clustering accuracy. Particularly, AC-VAE achieves 95\% and 82\% accuracy on CIFAR10 dataset when the average neighbor cluster sizes are 10 and 100. Furt hermore, the neighbor cluster results are found converge within the clustering r ange (\$\alpha\leq2\$), and the converged neighbor clusters are used to support th e self-supervised classification. The proposed method delivers classification re sults that are competitive with the state-of-the-art and reduces the super param eter \$k\$ in KNN (K-nearest neighbor), which is often used in self-supervised cla ssification.

On the use of linguistic similarities to improve Neural Machine Translation for African Languages

Tikeng Notsawo Pascal, NANDA ASSOBJIO Brice Yvan, James Assiene

In recent years, there has been a resurgence in research on empirical methods for machine translation. Most of this research has been focused on high-resource, European languages. Despite the fact that around 30% of all languages spoken wor ldwide are African, the latter have been heavily under investigated and this, partly due to the lack of public parallel corpora online. Furthermore, despite the ir large number (more than 2,000) and the similarities between them, there is currently no publicly available study on how to use this multilingualism (and associated similarities) to improve machine translation systems performance on African languages. So as to address these issues:

We propose a new dataset for African languages that provides parallel data for v ernaculars not present in commonly used dataset like JW300 [1]. To exploit multi lingualism, we first use a historical approach based on historical origins of th ese languages, their morphologies, their geographical and cultural distributions as well as migrations of population to identify similar vernaculars.

We also propose a new metric to automatically evaluate similarities between lan guages. This new metric does not require word level parallelism like traditional methods but only paragraph level parallelism.

We then show that performing Masked Language Modelling and Translation Language Modeling in addition to multi-task learning on a cluster of similar languages le ads to a strong boost of performance in translating individual pairs inside this cluster.

In particular, we record an improvement of 29 BLEU on the pair Bafia-Ewondo usin g our approaches compared to previous work methods that did not exploit multilin gualism in any way.

[1] http://opus.nlpl.eu/JW300.php

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BAFFLE: TOWARDS RESOLVING FEDERATED LEARNING'S DILEMMA - THWARTING BACKDOOR AND INFERENCE ATTACKS

Thien Duc Nguyen, Phillip Rieger, Hossein Yalame, Helen Möllering, Hossein Fereidoon i, Samuel Marchal, Markus Miettinen, Azalia Mirhoseini, Ahmad-Reza Sadeghi, Thomas Schneider, Shaza Zeitouni

Recently, federated learning (FL) has been subject to both security and privacy attacks posing a dilemmatic challenge on the underlying algorithmic designs: On the one hand, FL is shown to be vulnerable to backdoor attacks that stealthily m anipulate the global model output using malicious model updates, and on the othe r hand, FL is shown vulnerable to inference attacks by a malicious aggregator in ferring information about clients' data from their model updates. Unfortunately, existing defenses against these attacks are insufficient and mitigating both at tacks at the same time is highly challenging, because while defeating backdoor a ttacks requires the analysis of model updates, protection against inference atta cks prohibits access to the model updates to avoid information leakage. In this work, we introduce BAFFLE, a novel in-depth defense for FL that tackles this cha llenge. To mitigate backdoor attacks, it applies a multilayered defense by using a Model Filtering layer to detect and reject malicious model updates and a Pois on Elimination layer to eliminate any effect of a remaining undetected weak mani pulation. To impede inference attacks, we build private BAFFLE that securely eva luates the BAFFLE algorithm under encryption using sophisticated secure computat ion techniques. We extensively evaluate BAFFLE against state-of-the-art backdoor attacks on several datasets and applications, including image classification, w ord prediction, and IoT intrusion. We show that BAFFLE can entirely remove backd oors with a negligible effect on accuracy and that private BAFFLE is practical.

Share or Not? Learning to Schedule Language-Specific Capacity for Multilingual Translation

Biao Zhang, Ankur Bapna, Rico Sennrich, Orhan Firat

Using a mix of shared and language-specific (LS) parameters has shown promise in multilingual neural machine translation (MNMT), but the question of when and w here LS capacity matters most is still under-studied. We offer such a study by p roposing conditional language-specific routing (CLSR). CLSR employs hard binary gates conditioned on token representations to dynamically select LS or shared pa ths. By manipulating these gates, it can schedule LS capacity across sub-layers in MNMT subject to the quidance of translation signals and budget constraints. M oreover, CLSR can easily scale up to massively multilingual settings. Experiment s with Transformer on OPUS-100 and WMT datasets show that: 1) MNMT is sensitive to both the amount and the position of LS modeling: distributing 10%-30% LS comp utation to the top and/or bottom encoder/decoder layers delivers the best perfor mance; and 2) one-to-many translation benefits more from CLSR compared to many-t o-one translation, particularly with unbalanced training data. Our study further verifies the trade-off between the shared capacity and LS capacity for multilin gual translation. We corroborate our analysis by confirming the soundness of our findings as foundation of our improved multilingual Transformers. Source code a nd models are available at https://github.com/bzhangGo/zero/tree/iclr2021 clsr.

Robust Constrained Reinforcement Learning for Continuous Control with Model Miss pecification

Daniel J Mankowitz, Dan Andrei Calian, Rae Jeong, Cosmin Paduraru, Nicolas Heess, Sum anth Dathathri, Martin Riedmiller, Timothy Mann

Many real-world physical control systems are required to satisfy constraints upon deployment. Furthermore, real-world systems are often subject to effects such as non-stationarity, wear-and-tear, uncalibrated sensors and so on. Such effects effectively perturb the system dynamics and can cause a policy trained successfully in one domain to perform poorly when deployed to a perturbed version of the same domain. This can affect a policy's ability to maximize future rewards as well as the extent to which it satisfies constraints. We refer to this as constrained model misspecification. We present an algorithm with theoretical guarantees that mitigates this form of misspecification, and showcase its performance in multiple Mujoco tasks from the Real World Reinforcement Learning (RWRL) suite.

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Transient Non-stationarity and Generalisation in Deep Reinforcement Learning Maximilian Igl, Gregory Farquhar, Jelena Luketina, Wendelin Boehmer, Shimon Whiteson Non-stationarity can arise in Reinforcement Learning (RL) even in stationary env

ironments. For example, most RL algorithms collect new data throughout training, using a non-stationary behaviour policy. Due to the transience of this non-stationarity, it is often not explicitly addressed in deep RL and a single neural network is continually updated. However, we find evidence that neural networks exhibit a memory effect, where these transient non-stationarities can permanently i mpact the latent representation and adversely affect generalisation performance. Consequently, to improve generalisation of deep RL agents, we propose Iterated Relearning (ITER). ITER augments standard RL training by repeated knowledge transfer of the current policy into a freshly initialised network, which thereby experiences less non-stationarity during training. Experimentally, we show that ITE R improves performance on the challenging generalisation benchmarks ProcGen and

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Gradient descent temporal difference-difference learning Rong Zhu, James Murray

Off-policy algorithms, in which a behavior policy differs from the target policy and is used to gain experience for learning, have proven to be of great practic al value in reinforcement learning. However, even for simple convex problems such as linear value function approximation, these algorithms are not guaranteed to be stable. To address this, alternative algorithms that are provably convergent in such cases have been introduced, the most well known being gradient descent temporal difference (GTD) learning. This algorithm and others like it, however, tend to converge much more slowly than conventional temporal difference learning

In this paper we propose gradient descent temporal difference-difference (Gradie nt-DD) learning in order to accelerate GTD learning by introducing second-order differences in successive parameter updates.

We investigate this algorithm in the framework of linear value function approxim ation and analytically showing its improvement over GTD learning. Studying the m odel empirically on the random walk and Boyan-chain prediction tasks, we find su bstantial improvement over GTD learning and, in several cases, better performance even than conventional TD learning.

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Lossless Compression of Structured Convolutional Models via Lifting Gustav Sourek, Filip Zelezny, Ondrej Kuzelka

Lifting is an efficient technique to scale up graphical models generalized to re lational domains by exploiting the underlying symmetries. Concurrently, neural m odels are continuously expanding from grid-like tensor data into structured repr esentations, such as various attributed graphs and relational databases. To addr ess the irregular structure of the data, the models typically extrapolate on the idea of convolution, effectively introducing parameter sharing in their, dynami cally unfolded, computation graphs. The computation graphs themselves then refle ct the symmetries of the underlying data, similarly to the lifted graphical models. Inspired by lifting, we introduce a simple and efficient technique to detect the symmetries and compress the neural models without loss of any information. We demonstrate through experiments that such compression can lead to significant speedups of structured convolutional models, such as various Graph Neural Netwo rks, across various tasks, such as molecule classification and knowledge-base completion.

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Distribution-Based Invariant Deep Networks for Learning Meta-Features Gwendoline de Bie, Herilalaina Rakotoarison, Gabriel Peyré, Michèle Sebag Recent advances in deep learning from probability distributions successfully ach ieve classification or regression from distribution samples, thus invariant unde r permutation of the samples. The first contribution of the paper is to extend t hese neural architectures to achieve invariance under permutation of the feature s, too. The proposed architecture, called Dida, inherits the NN properties of u niversal approximation, and its robustness with respect to Lipschitz-bounded transformations of the input distribution is established. The second contribution is

s to empirically and comparatively demonstrate the merits of the approach on two tasks defined at the dataset level. On both tasks, Dida learns meta-features su pporting the characterization of a (labelled) dataset. The first task consists of predicting whether two dataset patches are extracted from the same initial dat aset. The second task consists of predicting whether the learning performance achieved by a hyper-parameter configuration under a fixed algorithm (ranging in k-NN, SVM, logistic regression and linear SGD) dominates that of another configuration, for a dataset extracted from the OpenML benchmarking suite. On both tasks, Dida outperforms the state of the art: DSS and Dataset2Vec architectures, as we ll as the models based on the hand-crafted meta-features of the literature.

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HyperSAGE: Generalizing Inductive Representation Learning on Hypergraphs Devanshu Arya, Deepak Gupta, Stevan Rudinac, Marcel Worring

Graphs are the most ubiquitous form of structured data representation used in ma chine learning. They model, however, only pairwise relations between nodes and a re not designed for encoding the higher-order relations found in many real-world datasets. To model such complex relations, hypergraphs have proven to be a natu ral representation. Learning the node representations in a hypergraph is more co mplex than in a graph as it involves information propagation at two levels: with in every hyperedge and across the hyperedges. Most current approaches first tran sform a hypergraph structure to a graph for use in existing geometric deep learn ing algorithms. This transformation leads to information loss, and sub-optimal e xploitation of the hypergraph's expressive power. We present HyperSAGE, a novel hypergraph learning framework that uses a two-level neural message passing strat egy to accurately and efficiently propagate information through hypergraphs. The flexible design of HyperSAGE facilitates different ways of aggregating neighbor hood information. Unlike the majority of related work which is transductive, our approach, inspired by the popular GraphSAGE method, is inductive. Thus, it can also be used on previously unseen nodes, facilitating deployment in problems suc h as evolving or partially observed hypergraphs. Through extensive experimentati on, we show that HyperSAGE outperforms state-of-the-art hypergraph learning meth ods on representative benchmark datasets. We also demonstrate that the higher ex pressive power of HyperSAGE makes it more stable in learning node representation s as compared to the alternatives.

A Unifying Perspective on Neighbor Embeddings along the Attraction-Repulsion Spectrum

Niklas Böhm, Philipp Berens, Dmitry Kobak

Neighbor embeddings are a family of methods for visualizing complex high-dimensi onal datasets using kNN graphs. To find the low-dimensional embedding, these alg orithms combine an attractive force between neighboring pairs of points with a r epulsive force between all points. One of the most popular examples of such algo rithms is t-SNE. Here we empirically show that changing the balance between the attractive and the repulsive forces in t-SNE yields a spectrum of embeddings, wh ich is characterized by a simple trade-off: stronger attraction can better repre sent continuous manifold structures, while stronger repulsion can better represe nt discrete cluster structures. We find that UMAP embeddings correspond to t-SNE with increased attraction; mathematical analysis shows that this is because the negative sampling optimisation strategy employed by UMAP strongly lowers the ef fective repulsion. Likewise, ForceAtlas2, commonly used for visualizing developm ental single-cell transcriptomic data, yields embeddings corresponding to t-SNE with the attraction increased even more. At the extreme of this spectrum lies La placian Eigenmaps, corresponding to zero repulsion. Our results demonstrate that many prominent neighbor embedding algorithms can be placed onto this attraction -repulsion spectrum, and highlight the inherent trade-offs between them.

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Analyzing the Expressive Power of Graph Neural Networks in a Spectral Perspective

Muhammet Balcilar, Guillaume Renton, Pierre Héroux, Benoit Gaüzère, Sébastien Adam, Paul Honeine

In the recent literature of Graph Neural Networks (GNN), the expressive power of models has been studied through their capability to distinguish if two given gr aphs are isomorphic or not. Since the graph isomorphism problem is NP-intermedia te, and Weisfeiler-Lehman (WL) test can give sufficient but not enough evidence in polynomial time, the theoretical power of GNNs is usually evaluated by the eq uivalence of WL-test order, followed by an empirical analysis of the models on s ome reference inductive and transductive datasets. However, such analysis does n ot account the signal processing pipeline, whose capability is generally evaluat ed in the spectral domain. In this paper, we argue that a spectral analysis of G NNs behavior can provide a complementary point of view to go one step further in the understanding of GNNs. By bridging the gap between the spectral and spatial design of graph convolutions, we theoretically demonstrate some equivalence of the graph convolution process regardless it is designed in the spatial or the sp ectral domain. Using this connection, we managed to re-formulate most of the sta te-of-the-art graph neural networks into one common framework. This general fram ework allows to lead a spectral analysis of the most popular GNNs, explaining th eir performance and showing their limits according to spectral point of view. Ou r theoretical spectral analysis is confirmed by experiments on various graph dat abases. Furthermore, we demonstrate the necessity of high and/or band-pass filte rs on a graph dataset, while the majority of GNN is limited to only low-pass and inevitably it fails.

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Universal Value Density Estimation for Imitation Learning and Goal-Conditioned R einforcement Learning

Yannick Schroecker, Charles Lee Isbell

This work considers two distinct settings: imitation learning and goal-condition ed reinforcement learning. In either case, effective solutions require the agent to reliably reach a specified state (a goal), or set of states (a demonstration). Drawing a connection between probabilistic long-term dynamics and the desired value function, this work introduces an approach that utilizes recent advances in density estimation to effectively learn to reach a given state. We develop a unified view on the two settings and show that the approach can be applied to bo th. In goal-conditioned reinforcement learning, we show it to circumvent the problem of sparse rewards while addressing hindsight bias in stochastic domains. In imitation learning, we show that the approach can learn from extremely sparse a mounts of expert data and achieves state-of-the-art results on a common benchmar

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Learning and Generalization in Univariate Overparameterized Normalizing Flows Kulin Shah, Amit Deshpande, Navin Goyal

In supervised learning, it is known that overparameterized neural networks with one hidden layer provably and efficiently learn and generalize, when trained using Stochastic Gradient Descent (SGD). In contrast, the benefit of overparameterization in unsupervised learning is not well understood. Normalizing flows (NFs) learn to map complex real-world distributions into simple base distributions, and constitute an important class of models in unsupervised learning for sampling and density estimation. In this paper, we theoretically and empirically analyze these models when the underlying neural network is one hidden layer overparametrized network. On the one hand we provide evidence that for a class of NFs, over parametrization hurts training. On the other, we prove that another class of NFs, with similar underlying networks can efficiently learn any reasonable data distribution under minimal assumptions. We extend theoretical ideas on learning and generalization from overparameterized neural networks in supervised learning to overparameterized normalizing flows in unsupervised learning. We also provide experimental validation to support our theoretical analysis in practice.

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End-to-end Adversarial Text-to-Speech

Jeff Donahue, Sander Dieleman, Mikolaj Binkowski, Erich Elsen, Karen Simonyan Modern text-to-speech synthesis pipelines typically involve multiple processing stages, each of which is designed or learnt independently from the rest. In this

work, we take on the challenging task of learning to synthesise speech from nor malised text or phonemes in an end-to-end manner, resulting in models which oper ate directly on character or phoneme input sequences and produce raw speech audi o outputs. Our proposed generator is feed-forward and thus efficient for both tr aining and inference, using a differentiable alignment scheme based on token len gth prediction. It learns to produce high fidelity audio through a combination of adversarial feedback and prediction losses constraining the generated audio to roughly match the ground truth in terms of its total duration and mel-spectrogr am. To allow the model to capture temporal variation in the generated audio, we employ soft dynamic time warping in the spectrogram-based prediction loss. The r esulting model achieves a mean opinion score exceeding 4 on a 5 point scale, whi ch is comparable to the state-of-the-art models relying on multi-stage training and additional supervision.

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A unifying view on implicit bias in training linear neural networks Chulhee Yun, Shankar Krishnan, Hossein Mobahi

We study the implicit bias of gradient flow (i.e., gradient descent with infinit esimal step size) on linear neural network training. We propose a tensor formula tion of neural networks that includes fully-connected, diagonal, and convolution al networks as special cases, and investigate the linear version of the formulat ion called linear tensor networks. With this formulation, we can characterize the convergence direction of the network parameters as singular vectors of a tensor defined by the network. For L-layer linear tensor networks that are orthogon ally decomposable, we show that gradient flow on separable classification finds a stationary point of the  $\ell L_{2L}\$  max-margin problem in a "transformed" input space defined by the network. For underdetermined regression, we prove that gradient flow finds a global minimum which minimizes a norm-like function that in terpolates between weighted  $\ell L_1\$  and  $\ell L_2\$  norms in the transformed input space. Our theorems subsume existing results in the literature while removing s tandard convergence assumptions. We also provide experiments that corroborate our analysis.

A Gradient-based Kernel Approach for Efficient Network Architecture Search Jingjing Xu, Liang Zhao, Junyang Lin, Xu Sun, Hongxia Yang

It is widely accepted that vanishing and exploding gradient values are the main reason behind the difficulty of deep network training.

In this work, we take a further step to understand the optimization of deep netw orks and find that both gradient correlations and gradient values have strong im pacts on model training.

Inspired by our new finding, we explore a simple yet effective network architect ure search (NAS) approach that leverages gradient correlation and gradient value s to find well-performing architectures. To be specific, we first formulate the se two terms into a unified gradient-based kernel and then select architectures with the largest kernels at initialization as the final networks.

The new approach replaces the expensive ``train-then-test'' evaluation paradigm with a new lightweight function according to the gradient-based kernel at initialization.

Experiments show that our approach achieves competitive results with orders of m agnitude faster than ``train-then-test'' paradigms on image classification tasks . Furthermore, the extremely low search cost enables its wide applications. It a lso obtains performance improvements on two text classification tasks.

Deep Kernel Processes

Laurence Aitchison, Adam X. Yang, Sebastian W. Ober

We define deep kernel processes in which positive definite Gram matrices are progressively transformed by nonlinear kernel functions and by sampling from (inver se) Wishart distributions. Remarkably, we find that deep Gaussian processes (DGP s), Bayesian neural networks (BNNs), infinite BNNs, and infinite BNNs with bottl enecks can all be written as deep kernel processes. For DGPs the equivalence ari ses because the Gram matrix formed by the inner product of features is Wishart d

istributed, and as we show, standard isotropic kernels can be written entirely in terms of this Gram matrix (we do not need knowledge of the underlying features). We define a tractable deep kernel process, the deep inverse Wishart process and give a doubly-stochastic inducing-point variational inference scheme that operates on the Gram matrices, not on the features (as in DGPs). We show that the deep inverse Wishart process gives superior performance to DGPs and infinite BNN on standard fully-connected baselines.

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Robustness to Pruning Predicts Generalization in Deep Neural Networks Lorenz Kuhn, Clare Lyle, Aidan Gomez, Jonas Rothfuss, Yarin Gal

Why over-parameterized neural networks generalize as well as they do is a centra l concern of theoretical analysis in machine learning today. Following Occam's r azor, it has long been suggested that simpler networks generalize better than mo re complex ones. Successfully quantifying this principle has proved difficult gi ven that many measures of simplicity, such as parameter norms, grow with the siz e of the network and thus fail to capture the observation that larger networks t end to generalize better in practice.

In this paper, we introduce a new, theoretically motivated measure of a network's simplicity: the smallest fraction of the network's parameters that can be kept while pruning without adversely affecting its training loss. We show that this measure is highly predictive of a model's generalization performance across a large set of convolutional networks trained on CIFAR-10. Lastly, we study the mutual information between the predictions of our new measure and strong existing measures based on models' margin, flatness of minima and optimization speed. We show that our new measure is similar to -- but more predictive than -- existing flatness-based measures.

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Adversarial Environment Generation for Learning to Navigate the Web Izzeddin Gur, Natasha Jaques, Kevin Malta, Manoj Tiwari, Honglak Lee, Aleksandra Faus t

Learning to autonomously navigate the web is a difficult sequential decision mak ing task. The state and action spaces are large and combinatorial in nature, and successful navigation may require traversing several partially-observed pages. One of the bottlenecks of training web navigation agents is providing a learnabl e curriculum of training environments that can cover the large variety of real-w orld websites. Therefore, we propose using Adversarial Environment Generation (A EG) to generate challenging web environments in which to train reinforcement lea rning (RL) agents. We introduce a new benchmarking environment, gMiniWoB, which enables an RL adversary to use compositional primitives to learn to generate com plex websites. To train the adversary, we present a new decoder-like architectur e that can directly control the difficulty of the environment, and a new trainin g technique Flexible b-PAIRED. Flexible b-PAIRED jointly trains the adversary an d a population of navigator agents and incentivizes the adversary to generate "j ust-the-right-challenge" environments by simultaneously learning two policies en coded in the adversary's architecture. First, for its environment complexity cho ice (difficulty budget), the adversary is rewarded with the performance of the b est-performing agent in the population. Second, for selecting the design element s the adversary learns to maximize the regret using the difference in capabiliti es of navigator agents in population (flexible regret). The results show that th e navigator agent trained with Flexible b-PAIRED generalizes to new environments , significantly outperforms competitive automatic curriculum generation baseline s-including a state-of-the-art RL web navigation approach and prior methods for minimax regret AEG-on a set of challenging unseen test environments that are ord er of magnitude more complex than the previous benchmarks. The navigator agent a chieves more than 75% success rate on all tasks, yielding 4x higher success rate that the strongest baseline.

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Coverage as a Principle for Discovering Transferable Behavior in Reinforcement L earning

Víctor Campos, Pablo Sprechmann, Steven Stenberg Hansen, Andre Barreto, Charles Blun

dell, Alex Vitvitskyi, Steven Kapturowski, Adria Puigdomenech Badia

Designing agents that acquire knowledge autonomously and use it to solve new tas ks efficiently is an important challenge in reinforcement learning. Unsupervised learning provides a useful paradigm for autonomous acquisition of task-agnostic knowledge. In supervised settings, representations discovered through unsupervised pre-training offer important benefits when transferred to downstream tasks. Given the nature of the reinforcement learning problem, we explore how to transfer knowledge through behavior instead of representations. The behavior of pre-trained policies may be used for solving the task at hand (exploitation), as well as for collecting useful data to solve the problem (exploration). We argue that pre-training policies to maximize coverage will result in behavior that is useful for both strategies. When using these policies for both exploitation and exploration, our agents discover solutions that lead to larger returns. The largest gains are generally observed in domains requiring structured exploration, including settings where the behavior of the pre-trained policies is misaligned with the downstream task.

A straightforward line search approach on the expected empirical loss for stochastic deep learning problems  $\frac{1}{2}$ 

Maximus Mutschler, Andreas Zell

A fundamental challenge in deep learning is that the optimal step sizes for u pdate steps of stochastic gradient descent are unknown. In traditional optimizat ion, line searches are used to determine good step sizes, however, in deep learn ing, it is too costly to search for good step sizes on the expected empirical lo ss due to noisy losses. This empirical work shows that it is possible to approxi mate the expected empirical loss on vertical cross sections for common deep lear ning tasks considerably cheaply. This is achieved by applying traditional one-di mensional function fitting to measured noisy losses of such cross sections. The step to a minimum of the resulting approximation is then used as step size for the optimization. This approach leads to a robust and straightforward optimization method which performs well across datasets and architectures without the need of hyperparameter tuning.

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What to Prune and What Not to Prune at Initialization Maham Haroon

Post-training dropout based approaches achieve high sparsity and are well estable ished means of deciphering problems relating to computational cost and overfitting in Neural Network architectures. Contrastingly, pruning at initialization is still far behind. Initialization pruning is more efficacious when it comes to scaling computation cost of the network. Furthermore, it handles overfitting just as well as post training dropout. It is also averse to retraining losses.

In approbation of the above reasons, the paper presents two approaches to prune at initialization. The goal is to achieve higher sparsity while preserving performance. 1) K-starts, begins with k random p-sparse matrices at initialization. In the first couple of epochs the network then determines the "fittest" of these p-sparse matrices in an attempt to find the "lottery ticket" p-sparse network. The approach is adopted from how evolutionary algorithms find the best individual. Depending on the Neural Network architecture, fitness criteria can be based on magnitude of network weights, magnitude of gradient accumulation over an epoch or a combination of both. 2) Dissipating gradients approach, aims at eliminating weights that remain within a fraction of their initial value during the first couple of epochs. Removing weights in this manner despite their magnitude best preserves performance of the network. Contrarily, the approach also takes the most epochs to achieve higher sparsity. 3) Combination of dissipating gradients and kstarts outperforms either methods and random dropout consistently.

The benefits of using the provided pertaining approaches are: 1) They do not require specific knowledge of the classification task, fixing of dropout threshold

or regularization parameters 2) Retraining of the model is neither necessary nor affects the performance of the p-sparse network.

We evaluate the efficacy of the said methods on Autoencoders and Fully Connected Multilayered Perceptrons. The datasets used are MNIST and Fashion MNIST.

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Balancing Constraints and Rewards with Meta-Gradient D4PG

Dan A. Calian, Daniel J Mankowitz, Tom Zahavy, Zhongwen Xu, Junhyuk Oh, Nir Levine, Ti mothy Mann

Deploying Reinforcement Learning (RL) agents to solve real-world applications of ten requires satisfying complex system constraints. Often the constraint thresholds are incorrectly set due to the complex nature of a system or the inability to verify the thresholds offline (e.g, no simulator or reasonable offline evaluat ion procedure exists). This results in solutions where a task cannot be solved we ithout violating the constraints. However, in many real-world cases, constraint violations are undesirable yet they are not catastrophic, motivating the need for soft-constrained RL approaches. We present two soft-constrained RL approaches that utilize meta-gradients to find a good trade-off between expected return and minimizing constraint violations. We demonstrate the effectiveness of these approaches by showing that they consistently outperform the baselines across four different Mujoco domains.

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Warpspeed Computation of Optimal Transport, Graph Distances, and Embedding Align ment

Johannes Klicpera, Marten Lienen, Stephan Günnemann

Optimal transport (OT) is a cornerstone of many machine learning tasks. The curr ent best practice for computing OT is via entropy regularization and Sinkhorn it erations. This algorithm runs in quadratic time and requires calculating the ful 1 pairwise cost matrix, which is prohibitively expensive for large sets of objec ts. To alleviate this limitation we propose to instead use a sparse approximatio n of the cost matrix based on locality sensitive hashing (LSH). Moreover, we fus e this sparse approximation with the Nyström method, resulting in the locally co rrected Nyström method (LCN). These approximations enable general log-linear tim e algorithms for entropy-regularized OT that perform well even in complex, highdimensional spaces. We thoroughly demonstrate these advantages via a theoretical analysis and by evaluating multiple approximations both directly and as a compo nent of two real-world models. Using approximate Sinkhorn for unsupervised word embedding alignment enables us to train the model full-batch in a fraction of th e time while improving upon the original on average by 3.1 percentage points wit hout any model changes. For graph distance regression we propose the graph trans port network (GTN), which combines graph neural networks (GNNs) with enhanced Si nkhorn and outcompetes previous models by 48%. LCN-Sinkhorn enables GTN to achie ve this while still scaling log-linearly in the number of nodes.

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Disentangling Action Sequences: Discovering Correlated Samples Jiantao Wu, Chunxiuzi Liu, Lin Wang

Disentanglement is a highly desirable property of representation due to its simi larity with human's understanding and reasoning. This improves interpretability, enables the performance of down-stream tasks, and enables controllable generati ve models. However, this domain is challenged by the abstract notion and incomple te theories to support unsupervised disentanglement learning. We demonstrate the data itself, such as the orientation of images, plays a crucial role in disenta nglement and instead of the factors, and the disentangled representations align the latent variables with the action sequences. We further introduce the concept of disentangling action sequences which facilitates the description of the beha viours of the existing disentangling approaches. An analogy for this process is to discover the commonality between the things and categorizing them.

Furthermore, we analyze the inductive biases on the data and find that the laten t information thresholds are correlated with the significance of the actions. Fo

r the supervised and unsupervised settings, we respectively introduce two method s to measure the thresholds. We further propose a novel framework, fractional variational autoencoder (FVAE), to disentangle the action sequences with different significance step-by-step. Experimental results on dSprites and 3D Chairs show that FVAE improves the stability of disentanglement.

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Improving Model Robustness with Latent Distribution Locally and Globally Zhuang QIAN,Shufei Zhang,Kaizhu Huang,Qiufeng Wang,Rui Zhang,Xinping Yi We propose a novel adversarial training method which leverages both the local an d global information to defend adversarial attacks. Existing adversarial traini ng methods usually generate adversarial perturbations locally in a supervised m anner and fail to consider the data manifold information in a global way. Conseq uently, the resulting adversarial examples may corrupt the underlying data stru cture and are typically biased towards the decision boundary. In this work, we e xploit both the local and global information of data manifold to generate advers arial examples in an unsupervised manner. Specifically, we design our novel fram ework via an adversarial game between a discriminator and a classifier: the di scriminator is learned to differentiate the latent distributions of the natura 1 data and the perturbed counterpart, while the classifier is trained to recogni ze accurately the perturbed examples as well as enforcing the invariance between the two latent distributions. We conduct a series of analysis on the model robu stness and also verify the effectiveness of our proposed method empirically. Exp erimental results show that our method substantially outperforms the recent sta te-of-the-art (i.e. Feature Scattering) in defending adversarial attacks by a 1 arge accuracy margin (e.g. 17.0) and 18.1% on SVHN dataset, 9.3% and \$ 17.4\%\$ on CIFAR-10 dataset, \$6.0\%\$ and \$16.2\%\$ on CIFAR-100 dataset for defen ding PGD20 and CW20 attacks respectively).

Decentralized Deterministic Multi-Agent Reinforcement Learning

Antoine Grosnit, Desmond Cai, Laura Wynter

Recent work in multi-agent reinforcement learning (MARL) by [Zhang, ICML12018] p rovided the first decentralized actor-critic algorithm to offer convergence guar antees. In that work, policies are stochastic and are defined on finite action s paces. We extend those results to develop a provably-convergent decentralized ac tor-critic algorithm for learning deterministic policies on continuous action sp aces. Deterministic policies are important in many real-world settings. To handle the lack of exploration inherent in deterministic policies we provide results for the off-policy setting as well as the on-policy setting. We provide the main ingredients needed for this problem: the expression of a local deterministic policy gradient, a decentralized deterministic actor-critic algorithm, and convergence guarantees when the value functions are approximated linearly. This work en ables decentralized MARL in high-dimensional action spaces and paves the way for more widespread application of MARL.

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Robust Curriculum Learning: from clean label detection to noisy label self-correction

Tianyi Zhou, Shengjie Wang, Jeff Bilmes

Neural network training can easily overfit noisy labels resulting in poor genera lization performance. Existing methods address this problem by (1) filtering out the noisy data and only using the clean data for training or (2) relabeling the noisy data by the model during training or by another model trained only on a clean dataset. However, the former does not leverage the features' information of wrongly-labeled data, while the latter may produce wrong pseudo-labels for some data and introduce extra noises. In this paper, we propose a smooth transition and interplay between these two strategies as a curriculum that selects training samples dynamically. In particular, we start with learning from clean data and then gradually move to learn noisy-labeled data with pseudo labels produced by a time-ensemble of the model and data augmentations. Instead of using the instant aneous loss computed at the current step, our data selection is based on the dyn amics of both the loss and output consistency for each sample across historical

steps and different data augmentations, resulting in more precise detection of b oth clean labels and correct pseudo labels. On multiple benchmarks of noisy labe ls, we show that our curriculum learning strategy can significantly improve the test accuracy without any auxiliary model or extra clean data.

SkillBERT: "Skilling" the BERT to classify skills! Amber Nigam, Shikha Tyagi, Kuldeep Tyagi, Arpan Saxena

In the age of digital recruitment, job posts can attract a large number of appli cations, and screening them manually can become a very tedious task. These recru itment records are stored in the form of tables in our recruitment database (Ele ctronic Recruitment Records, referred to as ERRs). We have released a de-identif ied ERR dataset to the public domain. We also propose a BERT-based model, SkillB ERT, the embeddings of which are used as features for classifying skills present in the ERRs into groups referred to as "competency groups". A competency group is a group of similar skills and it is used as matching criteria (instead of mat ching on skills) for finding the overlap of skills between the candidates and th e jobs. This proxy match takes advantage of the BERT's capability of deriving me aning from the structure of competency groups present in the skill dataset. In o ur experiments, the SkillBERT, which is trained from scratch on the skills prese nt in job requisitions, is shown to be better performing than the pre-trained BE RT and the Word2Vec. We have also explored K-means clustering and spectral clust ering on SkillBERT embeddings to generate cluster-based features. Both algorithm s provide similar performance benefits. Last, we have experimented with differen t machine learning algorithms like Random Forest, XGBoost, and a deep learning a lgorithm  $\operatorname{Bi-LSTM}$  . We did not observe a significant performance difference among the algorithms, although XGBoost and Bi-LSTM perform slightly better than Rando m Forest. The features created using SkillBERT are most predictive in the classi fication task, which demonstrates that the SkillBERT is able to capture informat ion about the skills' ontology from the data. We have made the source code and t he trained models of our experiments publicly available.

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Clairvoyance: A Pipeline Toolkit for Medical Time Series

Daniel Jarrett, Jinsung Yoon, Ioana Bica, Zhaozhi Qian, Ari Ercole, Mihaela van der S

Time-series learning is the bread and butter of data-driven \*clinical decision s upport\*, and the recent explosion in ML research has demonstrated great potentia l in various healthcare settings. At the same time, medical time-series problems in the wild are challenging due to their highly \*composite\* nature: They entail design choices and interactions among components that preprocess data, impute m issing values, select features, issue predictions, estimate uncertainty, and int erpret models. Despite exponential growth in electronic patient data, there is a remarkable gap between the potential and realized utilization of ML for clinica 1 research and decision support. In particular, orchestrating a real-world proje ct lifecycle poses challenges in engineering (i.e. hard to build), evaluation (i .e. hard to assess), and efficiency (i.e. hard to optimize). Designed to address these issues simultaneously, Clairvoyance proposes a unified, end-to-end, autoM L-friendly pipeline that serves as a (i) software toolkit, (ii) empirical standa rd, and (iii) interface for optimization. Our ultimate goal lies in facilitating transparent and reproducible experimentation with complex inference workflows, providing integrated pathways for (1) personalized prediction, (2) treatment-eff ect estimation, and (3) information acquisition. Through illustrative examples o n real-world data in outpatient, general wards, and intensive-care settings, we illustrate the applicability of the pipeline paradigm on core tasks in the healt hcare journey. To the best of our knowledge, Clairvoyance is the first to demons trate viability of a comprehensive and automatable pipeline for clinical time-se ries ML.

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Machine Learning Algorithms for Data Labeling: An Empirical Evaluation Teodor Anders Fredriksson, David Issa Mattos, Jan Bosch, Helena Holmström Olsson The lack of labeled data is a major problem in both research and industrial sett

ings since obtaining labels is often an expensive and time-consuming activity. I n the past years, several machine learning algorithms were developed to assist a nd perform automated labeling in partially labeled datasets. While many of these algorithms are available in open-source packages, there is no research that inv estigates how these algorithms compare to each other in different types of datas ets and with different percentages of available labels. To address this problem, this paper empirically evaluates and compares seven algorithms for automated la beling in terms of accuracy. We investigate how these algorithms perform in six different and well-known datasets with three different types of data, images, te xts, and numerical values. We evaluate these algorithms under two different expe rimental conditions, with 10\% and 50\% labels of available labels in the datase t. Each algorithm, in each dataset for each experimental condition, is evaluated independently ten times with different random seeds. The results are analyzed a nd the algorithms are compared utilizing a Bayesian Bradley-Terry model. The res ults indicate that while the algorithms label spreading with K-nearest neighbors perform better in the aggregated results, the active learning algorithms query by instance QBC and query instance uncertainty sample perform better when there is only 10\% of labels available. These results can help machine learning practi tioners in choosing optimal machine learning algorithms to label their data.

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Regularization Cocktails for Tabular Datasets Arlind Kadra, Marius Lindauer, Frank Hutter, Josif Grabocka

The regularization of prediction models is arguably the most crucial ingredient that allows Machine Learning solutions to generalize well on unseen data. Severa 1 types of regularization are popular in the Deep Learning community (e.g., weig ht decay, drop-out, early stopping, etc.), but so far these are selected on an a d-hoc basis, and there is no systematic study as to how different regularizers s hould be combined into the best "cocktail". In this paper, we fill this gap, by considering the cocktails of 13 different regularization methods and framing the question of how to best combine them as a standard hyperparameter optimization problem. We perform a large-scale empirical study on 40 tabular datasets, concluding that, firstly, regularization cocktails substantially outperform individual regularization methods, even if the hyperparameters of the latter are carefully tuned; secondly, the optimal regularization cocktail depends on the dataset; and thirdly, regularization cocktails yield the state-of-the-art in classifying ta bular datasets by outperforming Gradient-Boosted Decision Trees.

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How Important is Importance Sampling for Deep Budgeted Training? Eric Arazo, Diego Ortego, Paul Albert, Noel O'Connor, Kevin McGuinness Long iterative training processes for Deep Neural Networks (DNNs) are commonly r equired to achieve state-of-the-art performance in many computer vision tasks. C ore-set selection and importance sampling approaches might play a key role in bu dgeted training regimes, i.e.~when limiting the number of training iterations. T he former demonstrate that retaining informative samples is important to avoid 1 arge drops in accuracy, and the later aim at dynamically estimating the sample i mportance to speed-up convergence. This work explores this paradigm and how a bu dget constraint interacts with importance sampling approaches and data augmentat ion techniques. We show that under budget restrictions, importance sampling appr oaches do not provide a consistent improvement over uniform sampling. We suggest that, given a specific budget, the best course of action is to disregard the im portance and introduce adequate data augmentation. For example, training in CIFA R-10/100 with 30% of the full training budget, a uniform sampling strategy with certain data augmentation surpasses the performance of 100% budget models traine d with standard data augmentation. We conclude from our work that DNNs under bud get restrictions benefit greatly from variety in the samples and that finding th e right samples to train is not the most effective strategy when balancing high performance with low computational requirements. The code will be released after the review process.

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Generative Adversarial User Privacy in Lossy Single-Server Information Retrieval

Chung-Wei Weng, Yauhen Yakimenka, Hsuan-Yin Lin, Eirik Rosnes, Joerg Kliewer We consider the problem of information retrieval from a dataset of files stored on a single server under both a user distortion and a user privacy constraint. S pecifically, a user requesting a file from the dataset should be able to reconst ruct the requested file with a prescribed distortion, and in addition, the ident ity of the requested file should be kept private from the server with a prescrib ed privacy level. The proposed model can be seen as an extension of the well-kno wn concept of private information retrieval by allowing for distortion in the re trieval process and relaxing the perfect privacy requirement. We initiate the st udy of the tradeoff between download rate, distortion, and user privacy leakage, and show that the optimal rate-distortion-leakage tradeoff is convex and that i t allows for a concise information-theoretical formulation in terms of mutual in formation in the limit of large file sizes. Moreover, we propose a new data-driv en framework by leveraging recent advancements in generative adversarial models which allows a user to learn efficient schemes in terms of download rate from th e data itself. Learning the scheme is formulated as a constrained minimax game b etween a user which desires to keep the identity of the requested file private a nd an adversary that tries to infer which file the user is interested in under a distortion constraint. In general, guaranteeing a certain privacy level leads t o a higher rate-distortion tradeoff curve, and hence a sacrifice in either downl oad rate or distortion. We evaluate the performance of the scheme on a synthetic Gaussian dataset as well as on the MNIST dataset. For the MNIST dataset, the da ta-driven approach significantly outperforms a proposed general achievable schem e combining source coding with the download of multiple files.

Monotonic neural network: combining deep learning with domain knowledge for chil ler plants energy optimization

Fanhe Ma, Faen Zhang, Shenglan Ben, Shuxin Qin, pengcheng Zhou, Changsheng Zhou, Fengy i Xu

In this paper, we are interested in building a domain knowledge based deep learn ing framework to solve the chiller plants energy optimization problems. Compared to the hotspot applications of deep learning (e.g. image classification and NLP), it is difficult to collect enormous data for deep network training in real-wo rld physical systems. Most existing methods reduce the complex systems into line ar model to facilitate the training on small samples. To tackle the small sample size problem, this paper considers domain knowledge in the structure and loss d esign of deep network to build a nonlinear model with lower redundancy function space. Specifically, the energy consumption estimation of most chillers can be p hysically viewed as an input-output monotonic problem. Thus, we can design a Neu ral Network with monotonic constraints to mimic the physical behavior of the sys tem. We verify the proposed method in a cooling system of a data center, experim ental results show the superiority of our framework in energy optimization compared to the existing ones.

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A Probabilistic Model for Discriminative and Neuro-Symbolic Semi-Supervised Lear ning

Carl Allen, Ivana Balazevic, Timothy Hospedales

Strong progress has been achieved in semi-supervised learning (SSL) by combining several methods, some of which relate to properties of the data distribution p(x), others to the model outputs p(y|x), e.g. minimising the entropy of unlabelle d predictions. Focusing on the latter, we fill a gap in the standard text by int roducing a probabilistic model for discriminative semi-supervised learning, mirr oring the classical generative model. Several SSL methods are theoretically expl ained by our model as inducing (approximate) strong priors over parameters of p(y|x). Applying this same probabilistic model to tasks in which labels represent binary attributes, we theoretically justify a family of neuro-symbolic SSL appro aches, taking a step towards bridging the divide between statistical learning and logical reasoning.

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Solving NP-Hard Problems on Graphs with Extended AlphaGo Zero

Kenshin Abe, Zijian Xu, Issei Sato, Masashi Suqiyama

There have been increasing challenges to solve combinatorial optimization proble ms by machine learning.

Khalil et al. (NeurIPS 2017) proposed an end-to-end reinforcement learning frame work, which automatically learns graph embeddings to construct solutions to a wide range of problems.

However, it sometimes performs poorly on graphs having different characteristics than training graphs.

To improve its generalization ability to various graphs, we propose a novel lear ning strategy based on AlphaGo Zero, a Go engine that achieved a superhuman level without the domain knowledge of the game.

We redesign AlphaGo Zero for combinatorial optimization problems, taking into account several differences from two-player games.

In experiments on five NP-hard problems such as {\sc MinimumVertexCover} and {\s c MaxCut}, our method, with only a policy network, shows better generalization t han the previous method to various instances that are not used for training, inc luding random graphs, synthetic graphs, and real-world graphs.

Furthermore, our method is significantly enhanced by a test-time Monte Carlo Tre e Search which makes full use of the policy network and value network.

We also compare recently-developed graph neural network (GNN) models, with an in teresting insight into a suitable choice of GNN models for each task.

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Co-complexity: An Extended Perspective on Generalization Error Rohan Ghosh, Mehul Motani

It is well known that the complexity of a classifier's function space controls i ts generalization gap, with two important examples being VC-dimension and Radema cher complexity (R-Complexity). We note that these traditional generalization er ror bounds consider the ground truth label generating function (LGF) to be fixed . However, if we consider a scenario where the LGF has no constraints at all, th en the true generalization error can be large, irrespective of training performa nce, as the values of the LGF on unseen data points can be largely independent o f the values on the training data. To account for this, in this work, we conside r an extended characterization of the problem, where the ground truth labels are generated by a function within another function space, which we call the \texti t{generator} space. We find that the generalization gap in this scenario depends on the R-Complexity of both the classifier and the generator function spaces. T hus, we find that, even if the R-Complexity of the classifier is low and it has a good training fit, a highly complex generator space could worsen generalizatio n performance, in accordance with the no free lunch theorem. Furthermore, the ch aracterization of a generator space allows us to model constraints, such as inva riances (translation and scale in vision) or local smoothness. Subsequently, we propose a joint entropy-like measure of complexity between function spaces (clas sifier and generator), called co-complexity, which leads to tighter bounds on th e generalization error in this setting. Co-complexity captures the similarities between the classifier and generator spaces. It can be decomposed into an invari ance co-complexity term, which measures the extent to which the classifier respe cts the invariant transformations in the generator, and a dissociation co-comple xity term, which measures the ability of the classifier to differentiate separat e categories in the generator. Our major finding is that reducing the invariance co-complexity of a classifier, while maintaining its dissociation co-complexity , improves the training error and reduces the generalization gap. Furthermore, o ur results, when specialized to the previous setting where the LGF is fixed, lea d to tighter generalization error bounds. Theoretical results are supported by e mpirical validation on the CNN architecture and its transformation-equivariant e xtensions. Co-complexity showcases a new side to the generalization abilities of classifiers and can potentially be used to improve their design.

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Thinking Like Transformers

Gail Weiss, Yoav Goldberg, Eran Yahav

What is the computational model behind a transformer? Where recurrent neural net

works have direct parallels in finite state machines, allowing clear discussion and thought around architecture variants or trained models, transformers have no such familiar parallel. In this paper we aim to change that, proposing a comput ational model for the transformer-encoder in the form of a programming language. We map the basic components of a transformer-encoder - attention and feed-forward computation - into the simple primitives of select, aggregate, and zipmap, ar ound which we form a programming language: the Restricted Access Sequence Processing Language (RASP). We show how RASP can be used to program solutions to task that could conceivably be learned by a transformer, augmenting it with tools we discover in our work. In particular, we provide RASP programs for histograms, sorting, and even logical inference similar to that of Clark et al. (2020). We further use our model to relate their difficulty in terms of the number of required layers and attention heads. Finally, we see how insights gained from our abstraction might be used to explain phenomena seen in recent works.

Q-Value Weighted Regression: Reinforcement Learning with Limited Data
Piotr Kozakowski, Lukasz Kaiser, Henryk Michalewski, Afroz Mohiuddin, Katarzyna Ka∎s
ka

Sample efficiency and performance in the offline setting have emerged as among the main

challenges of deep reinforcement learning. We introduce Q-Value Weighted Regress ion (QWR),

a simple RL algorithm that excels in these aspects.

 ${\tt QWR}$  is an extension of Advantage Weighted Regression (AWR), an off-policy actorcritic algorithm

that performs very well on continuous control tasks, also in the offline setting , but struggles

on tasks with discrete actions and in sample efficiency. We perform a theoretica l analysis

of AWR that explains its shortcomings and use the insights to motivate QWR theoretically.

We show experimentally that QWR matches state-of-the-art algorithms both on task s with

continuous and discrete actions. We study the main hyperparameters of QWR and find that it is stable in a wide range of their choices and on different tas  ${\tt ks}$ 

In particular, QWR yields results on par with SAC on the MuJoCo suite and - with the same set of hyperparameters -- yields results on par with a highly tuned Rai nbow

implementation on a set of Atari games. We also verify that QWR performs well in the

offline RL setting, making it a compelling choice for reinforcement learning in domains

with limited data.

Untangle: Critiquing Disentangled Recommendations Preksha Nema, Alexandros Karatzoglou, Filip Radlinski

The core principle behind most collaborative filtering methods is to embed users and items in latent spaces, where individual dimensions are learned independent ly of any particular item attributes. It is thus difficult for users to control their recommendations based on particular aspects (critiquing). In this work, we propose Untangle: a recommendation model that gives users control over the recommendation list with respect to specific item attributes, (e.g.:less violent, funnier movies) that have a causal relationship in user preferences. Untangle uses a refined training procedure by training (i) a (partially) supervised  $\beta\textsc{-VAE}$  that disentangles the item representations and (ii) a second phase which optimized to generate recommendations for users. Untangle gives control on critiquing recommendations based on users preferences, without sacrificing on recommendation ac

curacy. Moreover only a tiny fraction of labeled items is needed to create disentangled preference representations over attributes.

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A spherical analysis of Adam with Batch Normalization

Simon Roburin, Yann Dubois de Mont-Marin, Andrei Bursuc, Renaud Marlet, Patrick Pere z, Mathieu Aubry

Batch Normalization (BN) is a prominent deep learning technique. In spite of its apparent simplicity, its implications over optimization are yet to be fully understood. While previous studies mostly focus on the interaction between BN and stochastic gradient descent (SGD), we develop a geometric perspective which allows us to precisely characterize the relation between BN and Adam. More precisely, we leverage the radial invariance of groups of parameters, such as filt ers for convolutional neural networks, to translate the optimization steps on the \$L\_2\$ unit hypersphere. This formulation and the associated geometric interpretation shed new light on the training dynamics. Firstly, we use it to derive the first effective learning rate expression of Adam. Then we show that, in the presence of BN layers, performing SGD alone is actually equivalent to a variant of Adam constrained to the unit hypersphere. Finally, our analysis outlines phenome na that previous variants of Adam act on and we experimentally validate their im portance in the optimization process.

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Sparse Gaussian Process Variational Autoencoders

Matthew Ashman, Jonathan So, William Tebbutt, Vincent Fortuin, Michael Arthur Leopol d Pearce, Richard E Turner

Large, multi-dimensional spatio-temporal datasets are omnipresent in modern scie nce and engineering. An effective framework for handling such data are Gaussian process deep generative models (GP-DGMs), which employ GP priors over the latent variables of DGMs. Existing approaches for performing inference in GP-DGMs do n ot support sparse GP approximations based on inducing points, which are essential for the computational efficiency of GPs, nor do they handle missing data -- a natural occurrence in many spatio-temporal datasets -- in a principled manner. We address these shortcomings with the development of the sparse Gaussian process variational autoencoder (SGP-VAE), characterised by the use of partial inference e networks for parameterising sparse GP approximations. Leveraging the benefits of amortised variational inference, the SGP-VAE enables inference in multi-output sparse GPs on previously unobserved data with no additional training. The SGP-VAE is evaluated in a variety of experiments where it outperforms alternative approaches including multi-output GPs and structured VAEs.

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Learning a Transferable Scheduling Policy for Various Vehicle Routing Problems b ased on Graph-centric Representation Learning

Inwook Kim, Jinkyoo Park

Reinforcement learning has been used to learn to solve various routing problems. however, most of the algorithm is restricted to finding an optimal routing stra tegy for only a single vehicle. In addition, the trained policy under a specific target routing problem is not able to solve different types of routing problems with different objectives and constraints. This paper proposes an reinforcement learning approach to solve the min-max capacitated multi vehicle routing proble m (mCVRP), the problem seeks to minimize the total completion time for multiple vehicles whose one-time traveling distance is constrained by their fuel levels t o serve the geographically distributed customer nodes. The method represents the relationships among vehicles, customers, and fuel stations using relationship-s pecific graphs to consider their topological relationships and employ graph neur al network (GNN) to extract the graph's embedding to be used to make a routing a ction. We train the proposed model using the random mCVRP instance with differen t numbers of vehicles, customers, and refueling stations. We then validate that the trained policy solve not only new mCVRP problems with different complexity ( weak transferability but also different routing problems (CVRP, mTSP, TSP) with

different objectives and constraints (storing transferability).

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Plan-Based Relaxed Reward Shaping for Goal-Directed Tasks

Ingmar Schubert, Ozgur S Oguz, Marc Toussaint

In high-dimensional state spaces, the usefulness of Reinforcement Learning (RL) is limited by the problem of exploration. This issue has been addressed using po tential-based reward shaping (PB-RS) previously. In the present work, we introdu ce Final-Volume-Preserving Reward Shaping (FV-RS). FV-RS relaxes the strict opti mality guarantees of PB-RS to a guarantee of preserved long-term behavior. Being less restrictive, FV-RS allows for reward shaping functions that are even bette r suited for improving the sample efficiency of RL algorithms. In particular, we consider settings in which the agent has access to an approximate plan. Here, we use examples of simulated robotic manipulation tasks to demonstrate that planbased FV-RS can indeed significantly improve the sample efficiency of RL over plan-based PB-RS.

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VideoGen: Generative Modeling of Videos using VQ-VAE and Transformers

Yunzhi Zhang, Wilson Yan, Pieter Abbeel, Aravind Srinivas

We present VideoGen: a conceptually simple architecture for scaling likelihood be ased generative modeling to natural videos. VideoGen uses VQ-VAE that learns lead rns downsampled discrete latent representations of a video by employing 3D convolutions and axial self-attention. A simple GPT-like architecture is then used to autoregressively model the discrete latents using spatio-temporal position encodings. Despite the simplicity in formulation, ease of training and a light compute requirement, our architecture is able to generate samples competitive with state-of-the-art GAN models for video generation on the BAIR Robot dataset, and generate coherent action-conditioned samples based on experiences gathered from the VizDoom simulator. We hope our proposed architecture serves as a reproducible reference for a minimalistic implementation of transformer based video generation models without requiring industry scale compute resources. Samples are available at https://sites.google.com/view/videogen

Learning without Forgetting: Task Aware Multitask Learning for Multi-Modality Tasks

Sathish Reddy Indurthi, Mohd Abbas Zaidi, Nikhil Kumar Lakumarapu, Beomseok Lee, Hyo Jung Han, Sangha Kim, Inchul Hwang

Existing joint learning strategies like multi-task or meta-learning focus more o n shared learning and have little to no scope for task-specific learning. This c reates the need for a distinct shared pretraining phase and a task-specific fine tuning phase. The fine-tuning phase creates separate systems for each task, wher e improving the performance of a particular task necessitates forgetting some of the knowledge garnered in other tasks. Humans, on the other hand, perform taskspecific learning in synergy with general domain-based learning. Inspired by the se learning patterns in humans, we suggest a simple yet generic task aware frame work to incorporate into existing joint learning strategies. The proposed framew ork computes task-specific representations to modulate model parameters during j oint learning. Hence, it performs both shared and task-specific learning in a si ngle-phase resulting in a single model for all the tasks. The single model itsel f achieves significant performance gains over the existing joint learning strate gies. For example, we train a model on Speech Translation (ST), Automatic Speec h Recognition (ASR), and Machine Translation (MT) tasks using the proposed task aware joint learning approach. This single model achieves a performance of 28.64 BLEU score on ST MuST-C English-German, WER of 11.61 on ASR TEDLium v3, and BLE U score of 23.35 on MT WMT14 English-German tasks. This sets a new state-of-theart performance (SOTA) on the ST task while outperforming the existing end-to-en d ASR systems with a competitive performance on the MT task.

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Sample efficient Quality Diversity for neural continuous control Thomas PIERROT, Valentin Macé, Geoffrey Cideron, Nicolas Perrin, Karim Beguir, Olivie r Sigaud

We propose a novel Deep Neuroevolution algorithm, QD-RL, that combines the stren gths of off-policy reinforcement learning (RL) algorithms and Quality Diversity (QD) approaches to solve continuous control problems with neural controllers. The QD part contributes structural biases by decoupling the search for diversity from the search for high return, resulting in efficient management of the explora tion-exploitation trade-off. The RL part contributes sample efficiency by relying on off-policy gradient-based updates of the agents. More precisely, we train a population of off-policy deep RL agents to simultaneously maximize diversity within the population and the return of each individual agent. QD-RL selects agent sinterchangeably from a Pareto front or from a Map-Elites grid, resulting in stable and efficient population updates. Our experiments in the Ant-Maze and Ant-T rap environments show that QD-RL can solve challenging exploration and control problems with deceptive rewards while being two orders of magnitude more sample efficient than the evolutionary counterpart.

On the Geometry of Deep Bayesian Active Learning Xiaofeng Cao, Ivor Tsang

We present geometric Bayesian active learning by disagreements (GBALD), a frame work that performs BALD on its geometric interpretation interacting with a deep learning model. There are two main components in GBALD: initial acquisitions bas ed on core-set construction and model uncertainty estimation with those initial acquisitions. Our key innovation is to construct the core-set on an ellipsoid, n ot typical sphere, preventing its updates towards the boundary regions of the distributions. Main improvements over BALD are twofold: relieving sensitivity to uninformative prior and reducing redundant information of model uncertainty. To guarantee the improvements, our generalization analysis proves that, compared to typical Bayesian spherical interpretation, geodesic search with ellipsoid can derive a tighter lower error bound and achieve higher probability to obtain a nearly zero error. Experiments on acquisitions with several scenarios demonstrate that, yielding slight perturbations to noisy and repeated samples, GBALD further achieves significant accuracy improvements than BALD, BatchBALD and other bas elimes.

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Improving VAEs' Robustness to Adversarial Attack

Matthew JF Willetts, Alexander Camuto, Tom Rainforth, S Roberts, Christopher C Holmes

Variational autoencoders (VAEs) have recently been shown to be vulnerable to adv ersarial attacks, wherein they are fooled into reconstructing a chosen target im age. However, how to defend against such attacks remains an open problem. We mak e significant advances in addressing this issue by introducing methods for produ cing adversarially robust VAEs. Namely, we first demonstrate that methods propos ed to obtain disentangled latent representations produce VAEs that are more robu st to these attacks. However, this robustness comes at the cost of reducing the quality of the reconstructions. We ameliorate this by applying disentangling met hods to hierarchical VAEs. The resulting models produce high--fidelity autoencod ers that are also adversarially robust. We confirm their capabilities on several different datasets and with current state-of-the-art VAE adversarial attacks, a nd also show that they increase the robustness of downstream tasks to attack.

Simphiwe Zitha, Arun aniyan, Oleg Smirnov, Risuna Nkolele

Calibration is the most critical data processing step needed for generating imag es of high dynamic range \citep{editioncasa}. With ever-increasing data volumes produced by modern radio telescopes \cite{aniyan2017classifying}, astronomers ar e overwhelmed by the amount of data that needs to be manually processed and anal yzed using limited computational resources \citep{yatawatta2020stochastic}. Ther efore, intelligent and automated systems are required to overcome these challeng es. Traditionally, astronomers use a package such as Common Astronomy Software A pplications (CASA) to compute the gain solutions based on regular observations of a known calibrator source \citep{thompson2017interferometry} \citep{abebe2015s}

tudy} \citep{grobler2016calibration} \citep{editioncasa}. The traditional approa ch to calibration is iterative and time-consuming \citep{jajarmizadeh2017optimal }, thus, the proposal of machine learning techniques. The applications of machin e learning have created an opportunity to deal with complex problems currently e ncountered in radio astronomy data processing \citep{aniyan2017classifying}. In this work, we propose the use of supervised machine learning models to first gen eration calibration (1GC), using the KAT-7 telescope environmental and pointing sensor data recorded during observations. Applying machine learning to 1GC, as o pposed to calculating the gain solutions in CASA, has shown evidence of reducing computation, as well as accurately predicting the 1GC gain solutions and antenn a behaviour. These methods are computationally less expensive, however they have not fully learned to generalise in predicting accurate 1GC solutions by looking at environmental and pointing sensors. We use an ensemble multi-output regressi on models based on random forest, decision trees, extremely randomized trees and K-nearest neighbor algorithms. The average prediction error obtained during the testing of our models on testing data is \$ \approx 0.01 < rmse < 0.09\$ for gain amplitude per antenna, and \$0.2 rad < rmse <0.5 rad\$ for gain phase. This shows that the instrumental parameters used to train our model strongly correlate wit h gain amplitude effects than a phase.

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Reinforcement Learning for Control with Probabilistic Stability Guarantee Minghao Han, Zhipeng Zhou, Lixian Zhang, Jun Wang, Wei Pan

Reinforcement learning is promising to control dynamical systems for which the t raditional control methods are hardly applicable. However, in control theory, the stability of a closed-loop system can be hardly guaranteed using the policy/controller learned solely from samples. In this paper, we will combine Lyapunov's method in control theory and stochastic analysis to analyze the mean square stability of MDP in a model-free manner. Furthermore, the finite sample bounds on the probability of stability are derived as a function of the number M and length T of the sampled trajectories. And we show that there is a lower bound on T and the probability is much more demanding for M than T. Based on the theoretical results, a REINFORCE like algorithm is proposed to learn the controller and the Ly apunov function simultaneously.

Gauge Equivariant Mesh CNNs: Anisotropic convolutions on geometric graphs Pim De Haan, Maurice Weiler, Taco Cohen, Max Welling

A common approach to define convolutions on meshes is to interpret them as a graph and apply graph convolutional networks (GCNs). Such GCNs utilize isotropic k ernels and are therefore insensitive to the relative orientation of vertices and thus to the geometry of the mesh as a whole. We propose Gauge Equivariant Mesh CNNs which generalize GCNs to apply anisotropic gauge equivariant kernels. Since the resulting features carry orientation information, we introduce a geometric message passing scheme defined by parallel transporting features over mesh edges. Our experiments validate the significantly improved expressivity of the propos ed model over conventional GCNs and other methods.

Semi-Relaxed Quantization with DropBits: Training Low-Bit Neural Networks via Bitwise Regularization

Jung Hyun Lee, Jihun Yun, Sung Ju Hwang, Eunho Yang

Network quantization, which aims to reduce the bit-lengths of the network weight s and activations, has emerged as one of the key ingredients to reduce the size of neural networks for their deployments to resource-limited devices. In order to overcome the nature of transforming continuous activations and weights to disc rete ones, recent study called Relaxed Quantization (RQ) [Louizos et al. 2019] s uccessfully employ the popular Gumbel-Softmax that allows this transformation with efficient gradient-based optimization. However, RQ with this Gumbel-Softmax relaxation still suffers from bias-variance trade-off depending on the temperature parameter of Gumbel-Softmax. To resolve the issue, we propose a novel method, Semi-Relaxed Quantization (SRQ) that uses multi-class straight-through estimator

to effectively reduce the bias and variance, along with a new regularization te chnique, DropBits that replaces dropout regularization to randomly drop the bits instead of neurons to further reduce the bias of the multi-class straight-throu gh estimator in SRQ. As a natural extension of DropBits, we further introduce the way of learning heterogeneous quantization levels to find proper bit-length for each layer using DropBits. We experimentally validate our method on various be nchmark datasets and network architectures, and also support the quantized lottery ticket hypothesis: learning heterogeneous quantization levels outperforms the case using the same but fixed quantization levels from scratch.

Generative Fairness Teaching

Rongmei Lin, Hanjun Dai, Li Xiong, Wei Wei

Increasing evidences has shown that data biases towards sensitive features such as gender or race are often inherited or even amplified by machine learning mode ls. Recent advancements in fairness mitigate such biases by adjusting the predictions across sensitive groups during the training. Such a correction, however, c an only take advantage of samples in a fixed dataset, which usually has limited amount of samples for the minority groups. We propose a generative fairness teaching framework that provides a model with not only real samples but also synthes ized samples to compensate the data biases during training. We employ such a teaching strategy by implementing a Generative Fairness Teacher (GFT) that dynamically adjust the proportion of training data for a biased student model. Experimental results indicated that our teacher model is capable of guiding a wide range of biased models by improving the fairness and performance trade-offs significantly.

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Least Probable Disagreement Region for Active Learning Seong Jin Cho, Gwangsu Kim, Chang D. Yoo

Active learning strategy to query unlabeled samples nearer the estimated decisio n boundary at each step has been known to be effective when the distance from th e sample data to the decision boundary can be explicitly evaluated; however, in numerous cases in machine learning, especially when it involves deep learning, c onventional distance such as the \$\ell\_p\$ from sample to decision boundary is no t readily measurable. This paper defines a theoretical distance of unlabeled sam ple to the decision boundary as the least probable disagreement region (LPDR) co ntaining the unlabeled sample, and it discusses how this theoretical distance ca n be empirically evaluated with a lower order of time complexity. Monte Carlo sa mpling of the hypothesis is performed in approximating the theoretically defined distance. Experimental results on various datasets show that the proposed algor ithm consistently outperforms all other high performing uncertainty based active learning algorithms and leads to state-of-the-art active learning performance o n CIFAR10, CIFAR100, Tiny ImageNet and Food101 datasets. Only the proposed algor ithm outperforms random sampling on CIFAR100 dataset using K-CNN while all other algorithms fail to do so.

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Differentiable Segmentation of Sequences

Erik Scharwächter, Jonathan Lennartz, Emmanuel Müller

Segmented models are widely used to describe non-stationary sequential data with discrete change points. Their estimation usually requires solving a mixed discrete-continuous optimization problem, where the segmentation is the discrete part and all other model parameters are continuous. A number of estimation algorithm s have been developed that are highly specialized for their specific model assum ptions. The dependence on non-standard algorithms makes it hard to integrate segmented models in state-of-the-art deep learning architectures that critically depend on gradient-based optimization techniques. In this work, we formulate a relaxed variant of segmented models that enables joint estimation of all model para meters, including the segmentation, with gradient descent. We build on recent ad vances in learning continuous warping functions and propose a novel family of wa rping functions based on the two-sided power (TSP) distribution. TSP-based warping functions are differentiable, have simple closed-form expressions, and can re

present segmentation functions exactly. Our formulation includes the important c lass of segmented generalized linear models as a special case, which makes it hi ghly versatile. We use our approach to model the spread of COVID-19 with Poisson regression, apply it on a change point detection task, and learn classification models with concept drift. The experiments show that our approach effectively l earns all these tasks with standard algorithms for gradient descent.

Symmetry Control Neural Networks

Marc Syvaeri, Sven Krippendorf

This paper continues the quest for designing the optimal physics bias for neural networks predicting the dynamics of systems when the underlying dynamics shall be inferred from the data directly. The description of physical systems is great ly simplified when the underlying symmetries of the system are taken into accoun t. In classical systems described via Hamiltonian dynamics this is achieved by u sing appropriate coordinates, so-called cyclic coordinates, which reveal conserv ed quantities directly. Without changing the Hamiltonian, these coordinates can be obtained via canonical transformations. We show that such coordinates can be searched for automatically with appropriate loss functions which naturally arise from Hamiltonian dynamics. As a proof of principle, we test our method on stand ard classical physics systems using synthetic and experimental data where our ne twork identifies the conserved quantities in an unsupervised way and find improv ed performance on predicting the dynamics of the system compared to networks bia sing just to the Hamiltonian. Effectively, these new coordinates guarantee that motion takes place on symmetry orbits in phase space, i.e.~appropriate lower dim ensional sub-spaces of phase space. By fitting analytic formulae we recover that our networks are utilising conserved quantities such as (angular) momentum.

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Generalization bounds via distillation

Daniel Hsu, Ziwei Ji, Matus Telgarsky, Lan Wang

This paper theoretically investigates the following empirical phenomenon: given a high-complexity network with poor generalization bounds, one can distill it in to a network with nearly identical predictions but low complexity and vastly smaller generalization bounds. The main contribution is an analysis showing that the original network inherits this good generalization bound from its distillation, assuming the use of well-behaved data augmentation. This bound is presented both in an abstract and in a concrete form, the latter complemented by a reduction technique to handle modern computation graphs featuring convolutional layers, fully-connected layers, and skip connections, to name a few. To round out the story, a (looser) classical uniform convergence analysis of compression is also presented, as well as a variety of experiments on cifar and mnist demonstrating similar generalization performance between the original network and its distillation.

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Learning Mesh-Based Simulation with Graph Networks

Tobias Pfaff, Meire Fortunato, Alvaro Sanchez-Gonzalez, Peter Battaglia

Mesh-based simulations are central to modeling complex physical systems in many disciplines across science and engineering. Mesh representations support powerful numerical integration methods and their resolution can be adapted to strike favorable trade-offs between accuracy and efficiency. However, high-dimensional scientific simulations are very expensive to run, and solvers and parameters must often be tuned individually to each system studied.

Here we introduce MeshGraphNets, a framework for learning mesh-based simulations using graph neural networks. Our model can be trained to pass messages on a mesh graph and to adapt the mesh discretization during forward simulation. Our results show it can accurately predict the dynamics of a wide range of physical systems, including aerodynamics, structural mechanics, and cloth. The model's adaptivity supports learning resolution-independent dynamics and can scale to more complex state spaces at test time. Our method is also highly efficient, running 1-2 orders of magnitude faster than the simulation on which it is trained. Our apprent of the state of the stat

oach broadens the range of problems on which neural network simulators can opera te and promises to improve the efficiency of complex, scientific modeling tasks. \*\*\*\*\*\*\*\*\*\*\*\*\*

Wat zei je? Detecting Out-of-Distribution Translations with Variational Transfor

Tim Z. Xiao, Aidan Gomez, Yarin Gal

We detect out-of-training-distribution sentences in Neural Machine Translation u sing the Bayesian Deep Learning equivalent of Transformer models. For this we de velop a new measure of uncertainty designed specifically for long sequences of d iscrete random variables-i.e. words in the output sentence. Our new measure of u ncertainty solves a major intractability in the naive application of existing ap proaches on long sentences. We use our new measure on a Transformer model traine d with dropout approximate inference. On the task of German-English tr anslation using WMT13 and Europarl, we show that with dropout uncertainty our me asure is able to identify when Dutch source sentences, sentences which use the s ame word types as German, are given to the model instead of German.

GraPPa: Grammar-Augmented Pre-Training for Table Semantic Parsing

Tao Yu, Chien-Sheng Wu, Xi Victoria Lin, bailin wang, Yi Chern Tan, Xinyi Yang, Dragom ir Radev, richard socher, Caiming Xiong

We present GraPPa, an effective pre-training approach for table semantic parsing that learns a compositional inductive bias in the joint representations of text ual and tabular data. We construct synthetic question-SQL pairs over high-qualit y tables via a synchronous context-free grammar (SCFG). We pre-train our model o n the synthetic data to inject important structural properties commonly found in semantic parsing into the pre-training language model. To maintain the model's ability to represent real-world data, we also include masked language modeling ( MLM) on several existing table-related datasets to regularize our pre-training p rocess. Our proposed pre-training strategy is much data-efficient. When incorpo rated with strong base semantic parsers, GraPPa achieves new state-of-the-art re sults on four popular fully supervised and weakly supervised table semantic pars ing tasks.

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Sliced Kernelized Stein Discrepancy

Wenbo Gong, Yingzhen Li, José Miguel Hernández-Lobato

Kernelized Stein discrepancy (KSD), though being extensively used in goodness-of -fit tests and model learning, suffers from the curse-of-dimensionality. We addr ess this issue by proposing the sliced Stein discrepancy and its scalable and ke rnelized variants, which employs kernel-based test functions defined on the opti mal one-dimensional projections. When applied to goodness-of-fit tests, extensiv e experiments show the proposed discrepancy significantly outperforms KSD and va rious baselines in high dimensions. For model learning, we show its advantages b y training an independent component analysis when compared with existing Stein d iscrepancy baselines. We further propose a novel particle inference method calle d sliced Stein variational gradient descent (S-SVGD) which alleviates the mode-c ollapse issue of SVGD in training variational autoencoders.

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Variational Intrinsic Control Revisited

Taehwan Kwon

In this paper, we revisit variational intrinsic control (VIC), an unsupervised r einforcement learning method for finding the largest set of intrinsic options av ailable to an agent. In the original work by Gregor et al. (2016), two VIC algor ithms were proposed: one that represents the options explicitly, and the other t hat does it implicitly. We show that the intrinsic reward used in the latter is subject to bias in stochastic environments, causing convergence to suboptimal so lutions. To correct this behavior, we propose two methods respectively based on the transitional probability model and Gaussian Mixture Model. We substantiate o ur claims through rigorous mathematical derivations and experimental analyses.

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Federated Averaging as Expectation Maximization

Christos Louizos, Matthias Reisser, Joseph Soriaga, Max Welling

Federated averaging (FedAvg), despite its simplicity, has been the main approach in training neural networks in the federated learning setting. In this work, we show that the algorithmic choices of the FedAvg algorithm correspond to optimiz ing a single objective function that involves the global and all of the shard sp ecific models using a hard version of the well known Expectation-Maximization (E M) algorithm. As a result, we gain a better understanding of the behavior and de sign choices of federated averaging while being able to provide interesting conn ections to recent literature. Based on this view, we further propose FedSparse, a version of federated averaging that employs prior distributions to promote mod el sparsity. In this way, we obtain a procedure that leads to reductions in both server-client and client-server communication costs as well as more efficient m odels.

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On Statistical Bias In Active Learning: How and When to Fix It Sebastian Farquhar, Yarin Gal, Tom Rainforth

Active learning is a powerful tool when labelling data is expensive, but it introduces a bias because the training data no longer follows the population distribution. We formalize this bias and investigate the situations in which it can be harmful and sometimes even helpful. We further introduce novel corrective weight sto remove bias when doing so is beneficial. Through this, our work not only provides a useful mechanism that can improve the active learning approach, but als an explanation for the empirical successes of various existing approaches which ignore this bias. In particular, we show that this bias can be actively helpful when training overparameterized models——like neural networks——with relatively modest dataset sizes.

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Differentiable Approximations for Multi-resource Spatial Coverage Problems Nitin Kamra, Yan Liu

Resource allocation for coverage of physical spaces is a challenging problem in robotic surveillance, mobile sensor networks and security domains. Recent gradie nt-based optimization approaches to this problem estimate utilities of actions by using neural networks to learn a differentiable approximation to spatial cover age objectives. In this work, we empirically show that spatial coverage objectives with multiple-resources are combinatorially hard to approximate for neural networks and lead to sub-optimal policies. As our major contribution, we propose a tractable framework to approximate a general class of spatial coverage objectives and their gradients using a combination of Newton-Leibniz theorem, spatial discretization and implicit boundary differentiation. We empirically demonstrate the efficacy of our proposed framework on single and multi-agent spatial coverage problems.

Winning the L2RPN Challenge: Power Grid Management via Semi-Markov Afterstate Actor-Critic

Deunsol Yoon, Sunghoon Hong, Byung-Jun Lee, Kee-Eung Kim

Safe and reliable electricity transmission in power grids is crucial for modern society. It is thus quite natural that there has been a growing interest in the automatic management of power grids, exemplimed by the Learning to Run a Power N etwork Challenge (L2RPN), modeling the problem as a reinforcement learning (RL) task. However, it is highly challenging to manage a real-world scale power grid, mostly due to the massive scale of its state and action space. In this paper, we present an off-policy actor-critic approach that effectively tackles the unique challenges in power grid management by RL, adopting the hierarchical policy to gether with the afterstate representation. Our agent ranked material representations while main taining the highest level of operational efmiciency in every test scenarios. This paper provides a formal description of the algorithmic aspect of our approach, as well as further experimental studies on diverse power grids.

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What's in the Box? Exploring the Inner Life of Neural Networks with Robust Rules

Jonas Fischer, Anna Oláh, Jilles Vreeken

We propose a novel method for exploring how neurons within a neural network inte ract. In particular, we consider activation values of a network for given data, and propose to mine noise-robust rules of the form \$X \rightarrow Y\$, where \$X\$ and \$Y\$ are sets of neurons in different layers. To ensure we obtain a small and non-redundant set of high quality rules, we formalize the problem in terms of the Minimum Description Length principle, by which we identify the best set of rules as the one that best compresses the activation data. To discover good rule sets, we propose the unsupervised ExplaiNN algorithm. Extensive evaluation shows that our rules give clear insight in how networks perceive the world: they iden tify shared, resp. class-specific traits, compositionality within the network, a swell as locality in convolutional layers. Our rules are easily interpretable, but also super-charge prototyping as they identify which groups of neurons to consider in unison.

HyperDynamics: Meta-Learning Object and Agent Dynamics with Hypernetworks Zhou Xian, Shamit Lal, Hsiao-Yu Tung, Emmanouil Antonios Platanios, Katerina Fragkia daki

We propose HyperDynamics, a dynamics meta-learning framework that conditions on an agent's interactions with the environment and optionally its visual observati ons, and generates the parameters of neural dynamics models based on inferred pr operties of the dynamical system. Physical and visual properties of the environm ent that are not part of the low-dimensional state yet affect its temporal dynam ics are inferred from the interaction history and visual observations, and are i mplicitly captured in the generated parameters. We test HyperDynamics on a set o f object pushing and locomotion tasks. It outperforms existing dynamics models i n the literature that adapt to environment variations by learning dynamics over high dimensional visual observations, capturing the interactions of the agent in recurrent state representations, or using gradient-based meta-optimization. We also show our method matches the performance of an ensemble of separately traine d experts, while also being able to generalize well to unseen environment variat ions at test time. We attribute its good performance to the multiplicative inter actions between the inferred system properties-captured in the generated paramet ers-and the low-dimensional state representation of the dynamical system.

Towards Resolving the Implicit Bias of Gradient Descent for Matrix Factorization : Greedy Low-Rank Learning

Zhiyuan Li, Yuping Luo, Kaifeng Lyu

Matrix factorization is a simple and natural test-bed to investigate the implicit regularization of gradient descent. Gunasekar et al. (2017) conjectured that gradient flow with infinitesimal initialization converges to the solution that minimizes the nuclear norm, but a series of recent papers argued that the language of norm minimization is not sufficient to give a full characterization for the implicit regularization. In this work, we provide theoretical and empirical evidence that for depth-2 matrix factorization, gradient flow with infinitesimal initialization is mathematically equivalent to a simple heuristic rank minimization algorithm, Greedy Low-Rank Learning, under some reasonable assumptions. This generalizes the rank minimization view from previous works to a much broader setting and enables us to construct counter-examples to refute the conjecture from Gunasekar et al. (2017). We also extend the results to the case where depth >= 3, and we show that the benefit of being deeper is that the above convergence has a much weaker dependence over initialization magnitude so that this rank minimization is more likely to take effect for initialization with practical scale.

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Probabilistic Meta-Learning for Bayesian Optimization

Felix Berkenkamp, Anna Eivazi, Lukas Grossberger, Kathrin Skubch, Jonathan Spitz, Christian Daniel, Stefan Falkner

Transfer and meta-learning algorithms leverage evaluations on related tasks in o rder to significantly speed up learning or optimization on a new problem. For ap plications that depend on uncertainty estimates, e.g., in Bayesian optimization,

recent probabilistic approaches have shown good performance at test time, but e ither scale poorly with the number of data points or under-perform with little d ata on the test task. In this paper, we propose a novel approach to probabilistic transfer learning that uses a generative model for the underlying data distribution and simultaneously learns a latent feature distribution to represent unknown task properties. To enable fast and accurate inference at test-time, we introduce a novel meta-loss that structures the latent space to match the prior used for inference. Together, these contributions ensure that our probabilistic model exhibits high sample-efficiency and provides well-calibrated uncertainty estimates. We evaluate the proposed approach and compare its performance to probabilistic models from the literature on a set of Bayesian optimization transfer-learning tasks.

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Multimodal Variational Autoencoders for Semi-Supervised Learning: In Defense of Product-of-Experts

Svetlana Kutuzova, Oswin Krause, Douglas McCloskey, Mads Nielsen, Christian Igel Multimodal generative models should be able to learn a meaningful latent represe ntation that enables a coherent joint generation of all modalities (e.g., images and text). Many applications also require the ability to accurately sample moda lities conditioned on observations of a subset of the modalities. Often not all modalities may be observed for all training data points, so semi-supervised learning should be possible.

In this study, we evaluate a family of product-of-experts (PoE) based variationa lautoencoders that have these desired properties. We include a novel PoE based architecture and training procedure. An empirical evaluation shows that the PoE based models can outperform an additive mixture-of-experts (MoE) approach. Our experiments support the intuition that PoE models are more suited for a conjunctive combination of modalities while MoEs are more suited for a disjunctive f

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Private Post-GAN Boosting

Marcel Neunhoeffer, Steven Wu, Cynthia Dwork

Differentially private GANs have proven to be a promising approach for generatin g realistic synthetic data without compromising the privacy of individuals. Due to the privacy-protective noise introduced in the training, the convergence of GANs becomes even more elusive, which often leads to poor utility in the output generator at the end of training. We propose Private post-GAN boosting (Private PGB), a differentially private method that combines samples produced by the sequ ence of generators obtained during GAN training to create a high-quality synthet ic dataset. To that end, our method leverages the Private Multiplicative Weights method (Hardt and Rothblum, 2010) to reweight generated samples. We evaluate Private PGB on two dimensional toy data, MNIST images, US Census data and a standard machine learning prediction task. Our experiments show that Private PGB improves upon a standard private GAN approach across a collection of quality measures. We also provide a non-private variant of PGB that improves the data quality of standard GAN training.

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Wide-minima Density Hypothesis and the Explore-Exploit Learning Rate Schedule Nikhil Iyer,V Thejas,Nipun Kwatra,Ramachandran Ramjee,Muthian Sivathanu Several papers argue that wide minima generalize better than narrow minima. In this paper, through detailed experiments that not only corroborate the generalization properties of wide minima, we also provide empirical evidence for a new hypothesis that the density of wide minima is likely lower than the density of narrow minima. Further, motivated by this hypothesis, we design a novel explore-exploit learning rate schedule. On a variety of image and natural language datasets, compared to their original hand-tuned learning rate baselines, we show that our explore-exploit schedule can result in either up to 0.84% higher absolute accuracy using the original training budget or up to 57% reduced training time while achieving the original reported accuracy. For example, we achieve state-of-the-art (SOTA) accuracy for IWSLT'14 (DE-EN) and WMT'14 (DE-EN) datasets by just modi

fying the learning rate schedule of a high performing model.

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Learning from deep model via exploring local targets Wenxian Shi, Yuxuan Song, Hao Zhou, Bohan Li, Lei Li

Deep neural networks often have huge number of parameters, which posts challenge s in deployment in application scenarios with limited memory and computation cap acity. Knowledge distillation is one approach to derive compact models from bigg er ones.

However, it has been observed that a converged heavy teacher model is strongly c onstrained for learning a compact student network and could make the optimization n subject to poor local optima. In this paper, we propose proKT, a new model-ag nostic method by projecting the supervision signals of a teacher model into the student's parameter space. Such projection is implemented by decomposing the training objective into local intermediate targets with approximate mirror descent technique. The proposed method could be less sensitive with the quirks during optimization which could result in a better local optima. Experiments on both im age and text datasets show that our proposed proKT consistently achieves the state-of-the-art performance comparing to all existing knowledge distillation methods.

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Characterizing signal propagation to close the performance gap in unnormalized R esNets

Andrew Brock, Soham De, Samuel L Smith

Batch Normalization is a key component in almost all state-of-the-art image clas sifiers, but it also introduces practical challenges: it breaks the independence between training examples within a batch, can incur compute and memory overhead, and often results in unexpected bugs. Building on recent theoretical analyses of deep ResNets at initialization, we propose a simple set of analysis tools to characterize signal propagation on the forward pass, and leverage these tools to design highly performant ResNets without activation normalization layers. Cruc ial to our success is an adapted version of the recently proposed Weight Standar dization. Our analysis tools show how this technique preserves the signal in Re LU networks by ensuring that the per-channel activation means do not grow with d epth. Across a range of FLOP budgets, our networks attain performance competitive with state-of-the-art EfficientNets on ImageNet.

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Local Clustering Graph Neural Networks

Jiezhong Qiu, Yukuo Cen, Qibin Chen, Chang Zhou, Jingren Zhou, Hongxia Yang, Jie Tang Graph Neural Networks (GNNs), which benefit various real-world problems and appl ications, have emerged as a powerful technique for learning graph representation s. The depth of a GNN model, denoted by \$K\$, restricts the receptive field of a node to its \$K\$-hop neighbors and plays a subtle role in the performance of GNNs . Recent works demonstrate how different choices of \$K\$ produce a trade-off betw een increasing representation capacity and avoiding over-smoothing. We establish a theoretical connection between GNNs and local clustering, showing that short random-walks in GNNs have a high probability to be stuck at a local cluster. Bas ed on the theoretical analysis, we propose Local Clustering Graph Neural Network s (LCGNN), a GNN learning paradigm that utilizes local clustering to efficiently search for small but compact subgraphs for GNN training and inference. Compared to full-batch GNNs, sampling-based GNNs and graph partition-based GNNs, LCGNN p erforms comparably or even better, achieving state-of-the-art results on four Op en Graph Benchmark (OGB) datasets. The locality of LCGNN allows it to scale to g raphs with 100M nodes and 1B edges on a single GPU.

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Prototypical Contrastive Learning of Unsupervised Representations Junnan Li, Pan Zhou, Caiming Xiong, Steven Hoi

This paper presents Prototypical Contrastive Learning (PCL), an unsupervised representation learning method that bridges contrastive learning with clustering. PCL not only learns low-level features for the task of instance discrimination, but more importantly, it implicitly encodes semantic structures of the data into

the learned embedding space. Specifically, we introduce prototypes as latent var iables to help find the maximum-likelihood estimation of the network parameters in an Expectation-Maximization framework. We iteratively perform E-step as finding the distribution of prototypes via clustering and M-step as optimizing the network via contrastive learning. We propose ProtoNCE loss, a generalized version of the InfoNCE loss for contrastive learning, which encourages representations to be closer to their assigned prototypes. PCL outperforms state-of-the-art instance-wise contrastive learning methods on multiple benchmarks with substantial improvement in low-resource transfer learning. Code and pretrained models are available at https://github.com/salesforce/PCL.

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Truly Deterministic Policy Optimization

Ehsan Saleh, Saba Ghaffari, Matthew West, Tim Bretl

In this paper, we present a policy gradient method that avoids exploratory noise injection and performs policy search over the deterministic landscape. By avoid ing noise injection all sources of estimation variance can be eliminated in syst ems with deterministic dynamics (up to the initial state distribution). Since de terministic policy regularization is impossible using traditional non-metric mea sures such as the KL divergence, we derive a Wasserstein-based quadratic model f or our purposes. We state conditions on the system model under which it is possible to establish a monotonic policy improvement guarantee, propose a surrogate f unction for policy gradient estimation, and show that it is possible to compute exact advantage estimates if both the state transition model and the policy are deterministic. Finally, we describe two novel robotic control environments—one with non-local rewards in the frequency domain and the other with a long horizon (8000 time-steps)—for which our policy gradient method (TDPO) significantly outperforms existing methods (PPO, TRPO, DDPG, and TD3).

Hyperbolic Neural Networks++

Ryohei Shimizu, YUSUKE Mukuta, Tatsuya Harada

Hyperbolic spaces, which have the capacity to embed tree structures without dist ortion owing to their exponential volume growth, have recently been applied to m achine learning to better capture the hierarchical nature of data. In this study, we generalize the fundamental components of neural networks in a single hyperb olic geometry model, namely, the Poincaré ball model. This novel methodology con structs a multinomial logistic regression, fully-connected layers, convolutional layers, and attention mechanisms under a unified mathematical interpretation, w ithout increasing the parameters. Experiments show the superior parameter efficiency of our methods compared to conventional hyperbolic components, and stability and outperformance over their Euclidean counterparts.

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Continual Invariant Risk Minimization

Francesco Alesiani, Shujian Yu, Mathias Niepert

Empirical risk minimization can lead to poor generalization behaviour on unseen environments if the learned model does not capture invariant feature represent tations. Invariant risk minimization (IRM) is a recent proposal for discovering e nvironment-invariant representations. It was introduced by Arjovsky et al. (2019) and extended by Ahuja et al. (2020). The assumption of IRM is that all environ ments are available to the learning system at the same time. With this work, we generalize the concept of IRM to scenarios where environments are observed sequentially. We show that existing approaches, including those designed for cont in-ual learning, fail to identify the invariant features and models across sequentially presented environments. We extend IRM under a variational Bayesian and bilevel framework, creating a general approach to continual invariant risk minim ization. We also describe a strategy to solve the optimization problems using a variant of the alternating direction method of multiplier (ADMM). We show empirically using multiple datasets and with multiple sequential environments that the proposed methods outperforms or is competitive with prior approaches.

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Early Stopping by Gradient Disparity

mahsa forouzesh, Patrick Thiran

Validation-based early-stopping methods are one of the most popular techniques u sed to avoid over-training deep neural networks. They require to set aside a rel iable unbiased validation set, which can be expensive in applications offering l imited amounts of data. In this paper, we propose to use \emph{gradient disparit y}, which we define as the \$\ell\_2\$ norm distance between the gradient vectors o f two batches drawn from the training set. It comes from a probabilistic upper b ound on the difference between the classification errors over a given batch, when the network is trained on this batch and when the network is trained on anothe r batch of points sampled from the same dataset. We empirically show that gradie nt disparity is a very promising early-stopping criterion when data is limited, because it uses all the training samples during training. Furthermore, we show in a wide range of experimental settings that gradient disparity is not only strongly related to the usual generalization error between the training and test set s, but that it is also much more informative about the level of label noise.

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Sparse Binary Neural Networks

Riccardo Schiavone, Maria A Zuluaga

Quantized neural networks are gaining popularity thanks to their ability to solv e complex tasks with comparable accuracy as full-precision Deep Neural Networks (DNNs), while also reducing computational power and storage requirements and inc reasing the processing speed. These properties make them an attractive alternati ve for the development and deployment of DNN-based applications in Internet-Of-T hings (IoT) devices. Among quantized networks, Binary Neural Networks (BNNs) hav e reported the largest speed-up. However, they suffer from a fixed and limited c ompression factor that may result insufficient for certain devices with very lim ited resources. In this work, we propose Sparse Binary Neural Networks, a novel model and training scheme that allows to introduce sparsity in BNNs by using pos itive 0/1 binary weights, instead of the -1/+1 weights used by state-of-the-art binary networks. As a result, our method is able to achieve a high compression f actor and reduces the number of operations and parameters at inference time. We study the properties of our method through experiments on linear and convolution al networks over MNIST and CIFAR-10 datasets. Experiments confirm that SBNNs can achieve high compression rates and good generalization, while further reducing the operations of BNNs, making it a viable option for deploying DNNs in very che ap and low-cost IoT devices and sensors.

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Accurate and fast detection of copy number variations from short-read whole-geno me sequencing with deep convolutional neural network Jiajin Li,Stephen Hwang,Luke Zhang,Jae Hoon Sul

A copy number variant (CNV) is a type of genetic mutation where a stretch of DNA is lost or duplicated once or multiple times. CNVs play important roles in the development of diseases and complex traits. CNV detection with short-read DNA se quencing technology is challenging because CNVs significantly vary in size and a re similar to DNA sequencing artifacts. Many methods have been developed but still yield unsatisfactory results with high computational costs. Here, we propose CNV-Net, a novel approach for CNV detection using a six-layer convolutional neural network. We encode DNA sequencing information into RGB images and train the convolutional neural network with these images. The fitted convolutional neural network can then be used to predict CNVs from DNA sequencing data. We benchmark CNV-Net with two high-quality whole-genome sequencing datasets available from the Genome in a Bottle Consortium, considered as gold standard benchmarking datasets for CNV detection. We demonstrate that CNV-Net is more accurate and efficient in CNV detection than current tools.

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Distantly supervised end-to-end medical entity extraction from electronic health records with human-level quality

Alexander Nesterov, Dmitry Umerenkov

Medical entity extraction (EE) is a standard procedure used as a firs t stage inmedical texts processing. Usually Medical EE is a two-step process:

named entityrecognition (NER) and named entity normalization (NEN). We propose a novelmethod of doing medical EE from electronic health records (EHR) as a single-step multi-label classification task by fine-tuning a transformer model pretrained on a large EHR dataset. Our model is trained end-to-end in an distantly supervisedmanner using targets automatically extracted from medical knowledge base.

We show that our model learns to generalize for entities that are present freque ntlyenough, achieving human-level classification quality for most frequent entities. Our work demonstrates that medical entity extraction can be done end-to-endwithout human supervision and with human quality given the availability of alarge enough amount of unlabeled EHR and a medical knowledge base.

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Align-RUDDER: Learning From Few Demonstrations by Reward Redistribution

Vihang Prakash Patil, Markus Hofmarcher, Marius-Constantin Dinu, Matthias Dorfer, Patrick M Blies, Johannes Brandstetter, Jose Arjona-Medina, Sepp Hochreiter

Reinforcement Learning algorithms require a large number of samples to solve complex tasks with sparse and delayed rewards.

Complex tasks are often hierarchically composed of sub-tasks.

A step in the Q-function indicates solving a sub-task, where the expectation of the return increases.

RUDDER identifies these steps and then redistributes reward to them, thus immediately giving reward if sub-tasks are solved.

Since the delay of rewards is reduced, learning is considerably sped up.

However, for complex tasks, current exploration strategies struggle with discove ring episodes with high rewards.

Therefore, we assume that episodes with high rewards are given as demonstrations and do not have to be discovered by exploration.

Typically the number of demonstrations is small and RUDDER's LSTM model does not learn well.

Hence, we introduce Align-RUDDER, which is RUDDER with two major modifications. First, Align-RUDDER assumes that episodes with high rewards are given as demonst rations,

replacing RUDDER's safe exploration and lessons replay buffer.

Second, we substitute RUDDER's LSTM model by a profile model that is obtained fr om multiple sequence alignment of demonstrations.

Profile models can be constructed from as few as two demonstrations.

Align-RUDDER inherits the concept of reward redistribution, which speeds up lear ning by reducing the delay of rewards.

Align-RUDDER outperforms competitors on complex artificial tasks with delayed re ward and few demonstrations.

On the MineCraft ObtainDiamond task, Align-RUDDER is able to mine a diamond, tho ugh not frequently.

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NAS-Bench-301 and the Case for Surrogate Benchmarks for Neural Architecture Sear ch

Julien Niklas Siems, Lucas Zimmer, Arber Zela, Jovita Lukasik, Margret Keuper, Frank Hutter

The most significant barrier to the advancement of Neural Architecture Search (N AS) is its demand for large computational resources, which hinders scientificall y sound empirical evaluations. As a remedy, several tabular NAS benchmarks were proposed to simulate runs of NAS methods in seconds. However, all existing tabular NAS benchmarks are limited to extremely small architectural spaces since the y rely on exhaustive evaluations of the space. This leads to unrealistic results that do not transfer to larger search spaces. To overcome this fundamental limitation, we propose NAS-Bench-301, the first surrogate NAS benchmark, using a search space containing \$10^{18}\$ architectures, many orders of magnitude larger than any previous tabular NAS benchmark. After motivating the benefits of a surrogate benchmark over a tabular one, we fit various regression models on our datase t, which consists of \$\sim\$60k architecture evaluations, and build surrogates via deep ensembles to model uncertainty. We benchmark a wide range of NAS algorith

ms using NAS-Bench-301 and obtain comparable results to the true benchmark at a fraction of the real cost. Finally, we show how NAS-Bench-301 can be used to gen erate new scientific insights.

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Lipschitz Recurrent Neural Networks

N. Benjamin Erichson, Omri Azencot, Alejandro Queiruga, Liam Hodgkinson, Michael W. Mahoney

Viewing recurrent neural networks (RNNs) as continuous-time dynamical systems, we propose a recurrent unit that describes the hidden state's evolution with two parts: a well-understood linear component plus a Lipschitz nonlinearity. This particular functional form facilitates stability analysis of the long-term behavior of the recurrent unit using tools from nonlinear systems theory. In turn, this enables architectural design decisions before experimentation. Sufficient conditions for global stability of the recurrent unit are obtained, motivating a novel scheme for constructing hidden-to-hidden matrices. Our experiments demonstrate that the Lipschitz RNN can outperform existing recurrent units on a range of be nchmark tasks, including computer vision, language modeling and speech prediction tasks. Finally, through Hessian-based analysis we demonstrate that our Lipschitz recurrent unit is more robust with respect to input and parameter perturbations as compared to other continuous-time RNNs.

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Meta Auxiliary Labels with Constituent-based Transformer for Aspect-based Sentim ent Analysis

Ling Min Serena Khoo, Hai Leong Chieu

Aspect based sentiment analysis (ABSA) is a challenging natural language process ing task that could benefit from syntactic information. Previous work exploit de pendency parses to improve performance on the task, but this requires the existe nce of good dependency parsers. In this paper, we build a constituent-based transformer for ABSA that can induce constituents without constituent parsers. We a lso apply meta auxiliary learning to generate labels on edges between tokens, su pervised by the objective of the ABSA task. Without input from dependency parsers, our models outperform previous work on three Twitter data sets and match previous work closely on two review data sets.

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Masked Label Prediction: Unified Message Passing Model for Semi-Supervised Class ification

Yunsheng Shi, Zhengjie Huang, shikun feng, Hui Zhong, Wenjin Wang, Yu Sun Graph neural network (GNN) and label propagation algorithm (LPA) are both messag e passing algorithms, which have achieved superior performance in semi-supervise d classification. GNN performs \emph{feature propagation} by a neural network to make predictions, while LPA uses \emph{label propagation} across graph adjacenc y matrix to get results. However, there is still no good way to combine these tw o kinds of algorithms. In this paper, we proposed a new {\bf Uni}fied {\bf M}ess age {\bf P}assaging Model (UniMP) that can incorporate \emph{feature propagation } and \emph{label propagation} with a shared message passing network, providing a better performance in semi-supervised classification. First, we adopt a Graph Transformer jointly label embedding to propagate both the feature and label info rmation. Second, to train UniMP without overfitting in self-loop label informati on, we propose a masked label prediction strategy, in which some percentage of t raining labels are simply masked at random, and then predicted. UniMP conceptual ly unifies feature propagation and label propagation and be empirically powerful . It obtains new state-of-the-art semi-supervised classification results in Open Graph Benchmark (OGB).

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Adversarial Deep Metric Learning

Thomas Kobber Panum, Zi Wang, Pengyu Kan, Earlence Fernandes, Somesh Jha Learning a distance metric between pairs of examples is widely important for var ious tasks. Deep Metric Learning (DML) utilizes deep neural network architecture s to learn semantic feature embeddings where the distance between similar examples is close and dissimilar examples are far. While the underlying neural network

s produce good accuracy on naturally occurring samples, they are vulnerable to a dversarially-perturbed samples that can reduce their accuracy. To create robust versions of DML models, we introduce a robust training approach. A key challenge is that metric losses are not independent --- they depend on all samples in a m ini-batch. This sensitivity to samples, if not accounted for, can lead to incor rect robust training. To the best of our knowledge, we are the first to systema tically analyze this dependence effect and propose a principled approach for rob ust training of deep metric learning networks that accounts for the nuances of m etric losses. Using experiments on three popular datasets in metric learning, we demonstrate the DML models trained using our techniques display robustness against strong iterative attacks while their performance on unperturbed (natural) samples remains largely unaffected.

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DISE: Dynamic Integrator Selection to Minimize Forward Pass Time in Neural ODEs Soyoung Kang, Ganghyeon Park, Kwang-Sung Jun, Noseong Park

Neural ordinary differential equations (Neural ODEs) are appreciated for their a bility to significantly reduce the number of parameters when constructing a neur al network. On the other hand, they are sometimes blamed for their long forwardpass inference time, which is incurred by solving integral problems. To improve the model accuracy, they rely on advanced solvers, such as the Dormand--Prince ( DOPRI) method. To solve an integral problem, however, it requires at least tens (or sometimes thousands) of steps in many Neural ODE experiments. In this work, we propose to i) directly regularize the step size of DOPRI to make the forwardpass faster and ii) dynamically choose a simpler integrator than DOPRI for a car efully selected subset of input. Because it is not the case that every input req uires the advanced integrator, we design an auxiliary neural network to choose a n appropriate integrator given input to decrease the overall inference time with out significantly sacrificing accuracy. We consider the Euler method, the fourth -order Runge--Kutta (RK4) method, and DOPRI as selection candidates. We found th at 10-30% of cases can be solved with simple integrators in our experiments. The refore, the overall number of functional evaluations (NFE) decreases up to 78% w ith improved accuracy.

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Learning to generate Wasserstein barycenters

Julien Lacombe, Julie Digne, Nicolas Courty, Nicolas Bonneel

Optimal transport is a notoriously difficult problem to solve numerically, with current approaches often remaining intractable for very large scale applications such as those encountered in machine learning. Wasserstein barycenters -- the p roblem of finding measures in-between given input measures in the optimal transp ort sense -- is even more computationally demanding.

By training a deep convolutional neural network, we improve by a factor of 60 th e computational speed of Wasserstein barycenters over the fastest state-of-the-a rt approach on the GPU, resulting in milliseconds computational times on \$512\times512\\$ regular grids.

We show that our network, trained on Wasserstein barycenters of pairs of measure s, generalizes well to the problem of finding Wasserstein barycenters of more th an two measures. We validate our approach on synthetic shapes generated via Constructive Solid Geometry as well as on the ``Quick, Draw'' sketches dataset.

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Calibrated Adversarial Refinement for Stochastic Semantic Segmentation Elias Kassapis, Georgi Dikov, Deepak Gupta, Cedric Nugteren

Ambiguities in images or unsystematic annotation can lead to multiple valid solu tions in semantic segmentation. To learn a distribution over predictions, recent work has explored the use of probabilistic networks. However, these do not nece ssarily capture the empirical distribution accurately. In this work, we aim to learn a calibrated multimodal predictive distribution, where the empirical frequency of the sampled predictions closely reflects that of the corresponding labels in the training set. To this end, we propose a novel two-stage, cascaded strate gy for calibrated adversarial refinement. In the first stage, we explicitly mode the data with a categorical likelihood. In the second, we train an adversarial

network to sample from it an arbitrary number of coherent predictions. The mode l can be used independently or integrated into any black-box segmentation framew ork to facilitate learning of calibrated stochastic mappings. We demonstrate the utility and versatility of the approach by attaining state-of-the-art results on the multigrader LIDC dataset and a modified Cityscapes dataset. In addition, we use a toy regression dataset to show that our framework is not confined to sem antic segmentation, and the core design can be adapted to other tasks requiring learning a calibrated predictive distribution.

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Guided Exploration with Proximal Policy Optimization using a Single Demonstratio  ${\tt n}$ 

Gabriele Libardi, Gianni De Fabritiis

Solving sparse reward tasks through exploration is one of the major challenges in deep reinforcement learning, especially in three-dimensional, partially-obser vable environments. Critically, the algorithm proposed in this article uses a single human demonstration to solve hard-exploration problems. We train an agent on a combination of demonstrations and own experience to solve problems with variable initial conditions. We adapt this idea and integrate it with the proximal policy optimization (PPO). The agent is able to increase its performance and to tackle harder problems by replaying its own past trajectories prioritizing them based on the obtained reward and the maximum value of the trajectory.

We compare variations of this algorithm to different imitation learning algorith ms on a set of hard-exploration tasks in the Animal-AI Olympics environment.

To the best of our knowledge, learning a task in a three-dimensional environment with comparable difficulty has never been considered before using only one human demonstration.

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Efficient Reinforcement Learning in Resource Allocation Problems Through Permuta tion Invariant Multi-task Learning

Desmond Cai, Shiau Hong Lim, Laura Wynter

One of the main challenges in real-world reinforcement learning is to learn succ essfully from limited training samples. We show that in certain settings, the av ailable data can be dramatically increased through a form of multi-task learning, by exploiting an invariance property in the tasks. We provide a theoretical performance bound for the gain in sample efficiency under this setting. This motivates a new approach to multi-task learning, which involves the design of an appropriate neural network architecture and a prioritized task-sampling strategy. We demonstrate empirically the effectiveness of the proposed approach on two real-world sequential resource allocation tasks where this invariance property occurs: financial portfolio optimization and meta federated learning.

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Pea-KD: Parameter-efficient and accurate Knowledge Distillation IKHYUN CHO,U Kang

How can we efficiently compress a model while maintaining its performance? Know ledge Distillation (KD) is one of the widely known methods for model compression . In essence, KD trains a smaller student model based on a larger teacher model and tries to retain the teacher model's level of performance as much as possible . However, the existing KD methods suffer from the following limitations. First, since the student model is small in absolute size, it inherently lacks model complexity. Second, the absence of an initial guide for the student model makes it difficult for the student to imitate the teacher model to its fullest. Conventional KD methods yield low performance due to these limitations.

In this paper, we propose Pea-KD (Parameter-efficient and accurate Knowledge Distillation), a novel approach to KD. Pea-KD consists of two main parts: Shuffled Parameter Sharing (SPS) and Pretraining with Teacher's Predictions (PTP). Using this combination, we are capable of alleviating the KD's limitations. SPS is a new parameter sharing method that allows greater model complexity for the student model. PTP is a KD-specialized initialization method, which can act as a good initial guide for the student. When combined, this method yields a significant in

crease in student model's performance. Experiments conducted on different datase ts and tasks show that the proposed approach improves the student model's perfor mance by 4.4% on average in four GLUE tasks, outperforming existing KD baselines by significant margins.

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A statistical theory of cold posteriors in deep neural networks Laurence Aitchison

To get Bayesian neural networks to perform comparably to standard neural network s it is usually necessary to artificially reduce uncertainty using a tempered or cold posterior. This is extremely concerning: if the prior is accurate, Bayes i nference/decision theory is optimal, and any artificial changes to the posterior should harm performance. While this suggests that the prior may be at fault, he re we argue that in fact, BNNs for image classification use the wrong likelihood. In particular, standard image benchmark datasets such as CIFAR-10 are carefull y curated. We develop a generative model describing curation which gives a princ ipled Bayesian account of cold posteriors, because the likelihood under this new generative model closely matches the tempered likelihoods used in past work.

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An Empirical Study of the Expressiveness of Graph Kernels and Graph Neural Networks

Giannis Nikolentzos, George Panagopoulos, Michalis Vazirgiannis

Graph neural networks and graph kernels have achieved great success in solving machine learning problems on graphs. Recently, there has been considerable interest in determining the expressive power mainly of graph neural networks and of graph kernels, to a lesser extent. Most studies have focused on the ability of these approaches to distinguish non-isomorphic graphs or to identify specific graph properties. However, there is often a need for algorithms whose produced graph representations can accurately capture similarity/distance of graphs. This paper studies the expressive power of graph neural networks and graph kernels from a nempirical perspective. Specifically, we compare the graph representations and similarities produced by these algorithms against those generated by a well-accepted, but intractable graph similarity function. We also investigate the impact of node attributes on the performance of the different models and kernels. Our results reveal interesting findings. For instance, we find that theoretically more powerful models do not necessarily yield higher-quality representations, while graph kernels are shown to be very competitive with graph neural networks.

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Neural Architecture Search without Training

Joseph Mellor, Jack Turner, Amos Storkey, Elliot J. Crowley

The time and effort involved in hand-designing deep neural networks is immense. This has prompted the development of Neural Architecture Search (NAS) techniques to automate this design. However, NAS algorithms tend to be slow and expensive; they need to train vast numbers of candidate networks to inform the search proc ess. This could be remedied if we could infer a network's trained accuracy from its initial state. In this work, we examine the correlation of linear maps induc ed by augmented versions of a single image in untrained networks and motivate ho w this can be used to give a measure which is highly indicative of a network's t rained performance. We incorporate this measure into a simple algorithm that all ows us to search for powerful networks without any training in a matter of secon ds on a single GPU, and verify its effectiveness on NAS-Bench-101 and NAS-Bench-201. Finally, we show that our approach can be readily combined with more expens ive search methods for added value: we modify regularised evolutionary search to produce a novel algorithm that outperforms its predecessor.

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Distributional Reinforcement Learning for Risk-Sensitive Policies Shiau Hong Lim, Ilyas Malik

We address the problem of learning a risk-sensitive policy based on the CVaR risk measure using distributional reinforcement learning. In particular, we show that applying the distributional Bellman optimality operator with respect to a risk

k-based action-selection strategy overestimates the dynamic, Markovian CVaR. The resulting policies can however still be overly conservative and one often prefers to learn an optimal policy based on the static, non-Markovian CVaR. To this end, we propose a modification to the existing algorithm and show that it can indeed learn a proper CVaR-optimized policy. Our proposed approach is a simple extension of standard distributional RL algorithms and can therefore take advantage of many of the recent advances in deep RL. On both synthetic and real data, we empirically show that our proposed algorithm is able to produce a family of riskaverse policies that achieves a better tradeoff between risk and the expected return.

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Generalized Universal Approximation for Certified Networks

Zi Wang, Aws Albarghouthi, Somesh Jha

To certify safety and robustness of neural networks, researchers have successful ly applied abstract interpretation, primarily using interval bound propagation. To understand the power of interval bounds, we present the abstract universal approximation (AUA) theorem, a generalization of the recent result by Baader et al. (2020) for ReLU networks to a large class of neural networks. The AUA theorem states that for any continuous function \$f\$, there exists a neural network that (1) approximates \$f\$ (universal approximation) and (2) whose interval bounds are an arbitrarily close approximation of the set semantics of \$f\$. The network may be constructed using any activation function from a rich class of functions—sigmoid, tanh, ReLU, ELU, etc.—making our result quite general. The key implication of the AUA theorem is that there always exists certifiably robust neural ne

tworks, which can be constructed using a wide range of activation functions.

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Boost then Convolve: Gradient Boosting Meets Graph Neural Networks Sergei Ivanov, Liudmila Prokhorenkova

Graph neural networks (GNNs) are powerful models that have been successful in various graph representation learning tasks. Whereas gradient boosted decision trees (GBDT) often outperform other machine learning methods when faced with heterogeneous tabular data. But what approach should be used for graphs with tabular node features? Previous GNN models have mostly focused on networks with homogeneous sparse features and, as we show, are suboptimal in the heterogeneous setting. In this work, we propose a novel architecture that trains GBDT and GNN jointly to get the best of both worlds: the GBDT model deals with heterogeneous features, while GNN accounts for the graph structure. Our model benefits from end-to-end optimization by allowing new trees to fit the gradient updates of GNN. With an extensive experimental comparison to the leading GBDT and GNN models, we demonst rate a significant increase in performance on a variety of graphs with tabular features. The code is available: https://github.com/nd7141/bgnn.

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Fighting Filterbubbles with Adversarial BERT-Training for News-Recommendation Lukas Pfahler, Katharina Morik

Recommender engines play a role in the emergence and reinforcement of filter bub bles. When these systems learn that a user prefers content from a particular sit e, the user will be less likely to be exposed to different sources or opinions a nd, ultimately, is more likely to develop extremist tendencies.

We trace the roots of this phenomenon to the way the recommender engine represen ts news articles. The vectorial features modern systems extract from the plain t ext of news articles are already highly predictive of the associated news outlet . We propose a new training scheme based on adversarial machine learning to tack le this issue . Our experiments show that the features we can extract this way are significantly less predictive of the news outlet and thus offer the possibil ity to reduce the risk of manifestation of new filter bubbles. We validate our i ntuitions in a news recommendation task using a recent attention-based recommend ation system.

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Learning not to learn: Nature versus nurture in silico

Robert Tjarko Lange, Henning Sprekeler

Animals are equipped with a rich innate repertoire of sensory, behavioral and mo tor skills, which allows them to interact with the world immediately after birth . At the same time, many behaviors are highly adaptive and can be tailored to sp ecific environments by means of learning. In this work, we use mathematical anal ysis and the framework of meta-learning (or 'learning to learn') to answer when it is beneficial to learn such an adaptive strategy and when to hard-code a heur istic behavior. We find that the interplay of ecological uncertainty, task compl exity and the agents' lifetime has crucial effects on the meta-learned amortized Bayesian inference performed by an agent. There exist two regimes: One in which meta-learning yields a learning algorithm that implements task-dependent inform ation-integration and a second regime in which meta-learning imprints a heuristi c or 'hard-coded' behavior. Further analysis reveals that non-adaptive behaviors are not only optimal for aspects of the environment that are stable across indi viduals, but also in situations where an adaptation to the environment would in fact be highly beneficial, but could not be done quickly enough to be exploited within the remaining lifetime. Hard-coded behaviors should hence not only be tho se that always work, but also those that are too complex to be learned within a reasonable time frame.

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Genetic Soft Updates for Policy Evolution in Deep Reinforcement Learning Enrico Marchesini, Davide Corsi, Alessandro Farinelli

The combination of Evolutionary Algorithms (EAs) and Deep Reinforcement Learning (DRL) has been recently proposed to merge the benefits of both solutions. Exist ing mixed approaches, however, have been successfully applied only to actor-crit ic methods and present significant overhead. We address these issues by introduc ing a novel mixed framework that exploits a periodical genetic evaluation to sof t update the weights of a DRL agent. The resulting approach is applicable with a ny DRL method and, in a worst-case scenario, it does not exhibit detrimental beh aviours. Experiments in robotic applications and continuous control benchmarks d emonstrate the versatility of our approach that significantly outperforms prior DRL, EAs, and mixed approaches. Finally, we employ formal verification to confir m the policy improvement, mitigating the inefficient exploration and hyper-param eter sensitivity of DRL.ment, mitigating the inefficient exploration and hyper-parameter sensitivity of DRL.

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AutoCleansing: Unbiased Estimation of Deep Learning with Mislabeled Data Koichi Kuriyama

Mislabeled samples cause prediction errors. This study proposes a solution to the problem of incorrect labels, called AutoCleansing, to automatically capture the effect of incorrect labels and mitigate it without removing the mislabeled same ples. AutoCleansing consists of a base network model and sample-category specific constants. Both parameters of the base model and sample-category constants are estimated simultaneously using the training data. Thereafter, predictions for the est data are made using a base model without the constants capturing the mislabeled effects. A theoretical model for AutoCleansing is developed and showing that the gradient of the loss function of the proposed method can be zero at true parameters with mislabeled data if the model is correctly constructed. Experiment al results show that AutoCleansing has better performance in test accuracy than previous studies for CIFAR-10, CIFAR-100, SVHN, and ImageNet datasets.

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Spatially Structured Recurrent Modules

Nasim Rahaman, Anirudh Goyal, Muhammad Waleed Gondal, Manuel Wuthrich, Stefan Bauer, Yash Sharma, Yoshua Bengio, Bernhard Schölkopf

Capturing the structure of a data-generating process by means of appropriate ind uctive biases can help in learning models that generalise well and are robust to changes in the input distribution. While methods that harness spatial and tempo ral structures find broad application, recent work has demonstrated the potential of models that leverage sparse and modular structure using an ensemble of sparingly interacting modules. In this work, we take a step towards dynamic models t

hat are capable of simultaneously exploiting both modular and spatiotemporal str uctures. To this end, we model the dynamical system as a collection of autonomou s but sparsely interacting sub-systems that interact according to a learned topo logy which is informed by the spatial structure of the underlying system. This g ives rise to a class of models that are well suited for capturing the dynamics of systems that only offer local views into their state, along with corresponding spatial locations of those views. On the tasks of video prediction from cropped frames and multi-agent world modelling from partial observations in the challen ging Starcraft2 domain, we find our models to be more robust to the number of av ailable views and better capable of generalisation to novel tasks without additional training than strong baselines that perform equally well or better on the training distribution.

Generalizing Graph Convolutional Networks via Heat Kernel Jialin Zhao, Yuxiao Dong, Jie Tang, Ming Ding, Kuansan Wang

Graph convolutional networks (GCNs) have emerged as a powerful framework for min ing and learning with graphs. A recent study shows that GCNs can be simplified as a linear model by removing nonlinearities and weight matrices across all consecutive layers, resulting the simple graph convolution (SGC) model. In this paper, we aim to understand GCNs and generalize SGC as a linear model via heat kernel (HKGCN), which acts as a low-pass filter on graphs and enables the aggregation of information from extremely large receptive fields. We theoretically show that HKGCN is in nature a continuous propagation model and GCNs without nonlinearities (i.e., SGC) are the discrete versions of it. Its low-pass filter and continuity properties facilitate the fast and smooth convergence of feature propagation. Experiments on million-scale networks show that the linear HKGCN model not only achieves consistently better results than SGC but also can match or even beat a dvanced GCN models, while maintaining SGC's superiority in efficiency.

iPTR: Learning a representation for interactive program translation retrieval Binger Chen, Ziawasch Abedjan

Program translation contributes to many real world scenarios, such as porting co debases written in an obsolete or deprecated language to a modern one or re-impl ementing existing projects in one's preferred programming language. Existing dat a-driven approaches either require large amounts of training data or neglect sig nificant characteristics of programs. In this paper, we present iPTR for interac tive code translation retrieval from Big Code. iPTR uses a novel code representa tion technique that encodes structural characteristics of a program and a predic tive transformation technique to transform the representation into the target pr ogramming language. The transformed representation is used for code retrieval fr om Big Code. With our succinct representation, the user can easily update and co rrect the returned results to improve the retrieval process. Our experiments sho w that iPTR outperforms supervised baselines in terms of program accuracy.

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Expressive Power of Invariant and Equivariant Graph Neural Networks Waiss Azizian, marc lelarge

Various classes of Graph Neural Networks (GNN) have been proposed and shown to be successful in a wide range of applications with graph structured data. In this paper, we propose a theoretical framework able to compare the expressive power of these GNN architectures. The current universality theorems only apply to intractable classes of GNNs. Here, we prove the first approximation guarantees for practical GNNs, paving the way for a better understanding of their generalization. Our theoretical results are proved for invariant GNNs computing a graph embedding (permutation of the nodes of the input graph does not affect the output) and equivariant GNNs computing an embedding of the nodes (permutation of the input permutes the output). We show that Folklore Graph Neural Networks (FGNN), which are tensor based GNNs augmented with matrix multiplication are the most expressive architectures proposed so far for a given tensor order. We illustrate our results on the Quadratic Assignment Problem (a NP-Hard combinatorial problem) by showing that FGNNs are able to learn how to solve the problem, leading to much bet

ter average performances than existing algorithms (based on spectral, SDP or oth er GNNs architectures). On a practical side, we also implement masked tensors to handle batches of graphs of varying sizes.

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Stochastic Normalized Gradient Descent with Momentum for Large Batch Training Shen-Yi Zhao, Yin-Peng Xie, Wu-Jun Li

Stochastic gradient descent (SGD) and its variants have been the dominating opti mization methods in machine learning. Compared with small batch training, SGD wi th large batch training can better utilize the computational power of current mu lti-core systems like GPUs and can reduce the number of communication rounds in distributed training. Hence, SGD with large batch training has attracted more and more attention. However, existing empirical results show that large batch training typically leads to a drop of generalization accuracy. As a result, large b atch training has also become a challenging topic. In this paper, we propose a n ovel method, called stochastic normalized gradient descent with momentum (SNGM), for large batch training. We theoretically prove that compared to momentum SGD (MSGD) which is one of the most widely used variants of SGD, SNGM can adopt a la rger batch size to converge to the \$\epsilon\$-stationary point with the same com putation complexity (total number of gradient computation). Empirical results on deep learning also show that SNGM can achieve the state-of-the-art accuracy with a large batch size.

On the Stability of Fine-tuning BERT: Misconceptions, Explanations, and Strong B aselines

Marius Mosbach, Maksym Andriushchenko, Dietrich Klakow

Fine-tuning pre-trained transformer-based language models such as BERT has becom e a common practice dominating leaderboards across various NLP benchmarks. Despi te the strong empirical performance of fine-tuned models, fine-tuning is an unst able process: training the same model with multiple random seeds can result in a large variance of the task performance. Previous literature (Devlin et al., 201 9; Lee et al., 2020; Dodge et al., 2020) identified two potential reasons for th e observed instability: catastrophic forgetting and small size of the fine-tunin g datasets. In this paper, we show that both hypotheses fail to explain the fine -tuning instability. We analyze BERT, ROBERTA, and ALBERT, fine-tuned on commonl y used datasets from the GLUE benchmark, and show that the observed instability is caused by optimization difficulties that lead to vanishing gradients. Additio nally, we show that the remaining variance of the downstream task performance ca n be attributed to differences in generalization where fine-tuned models with th e same training loss exhibit noticeably different test performance. Based on our analysis, we present a simple but strong baseline that makes fine-tuning BERT-b ased models significantly more stable than the previously proposed approaches. C ode to reproduce our results is available online: https://github.com/uds-lsv/ber t-stable-fine-tuning.

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Mitigating Mode Collapse by Sidestepping Catastrophic Forgetting Karttikeya Mangalam, Rohin Garg, Jathushan Rajasegaran, Taesung Park

Generative Adversarial Networks (GANs) are a class of generative models used for various applications, but they have been known to suffer from the mode collapse problem, in which some modes of the target distribution are ignored by the gene rator. Investigative study using a new data generation procedure indicates that the mode collapse of the generator is driven by the discriminator's inability to maintain classification accuracy on previously seen samples, a phenomenon call ed Catastrophic Forgetting in continual learning. Motivated by this observation, we introduce a novel training procedure that dynamically spawns additional discriminators to remember previous modes of generation. On several datasets, we show that our training scheme can be plugged-in to existing GAN frameworks to mitigate mode collapse and improve standard metrics for GAN evaluation.

Convergence Analysis of Homotopy-SGD for Non-Convex Optimization Matilde Gargiani, Andrea Zanelli, Moritz Diehl, Quoc Tran-Dinh, Frank Hutter

First-order stochastic methods for solving large-scale non-convex optimization p roblems are widely used in many big-data applications, e.g. training deep neural networks as well as other complex and potentially non-convex machine learning models. Their inexpensive iterations generally come together with slow global co nvergence rate (mostly sublinear), leading to the necessity of carrying out a ve ry high number of iterations before the iterates reach a neighborhood of a minim izer. In this work, we present a first-order stochastic algorithm based on a com bination of homotopy methods and SGD, called Homotopy-Stochastic Gradient Descen t (H-SGD), which finds interesting connections with some proposed heuristics in the literature, e.g. optimization by Gaussian continuation, training by diffusio n, mollifying networks. Under some mild and realistic assumptions on the problem structure, we conduct a theoretical analysis of the proposed algorithm. Our ana lysis shows that, with a specifically designed scheme for the homotopy parameter , H-SGD enjoys a global linear rate of convergence to a neighborhood of a minimi zer while maintaining fast and inexpensive iterations. Experimental evaluations confirm the theoretical results and show that H-SGD can outperform standard SGD. 

Systematic Analysis of Cluster Similarity Indices: How to Validate Validation Me asures

Martijn Gösgens, Liudmila Prokhorenkova, Aleksei Tikhonov

There are many cluster similarity indices used to evaluate clustering algorithms, and choosing the best one for a particular task remains an open problem. We de monstrate that this problem is crucial: there are many disagreements among the indices, these disagreements do affect which algorithms are chosen in applications, and this can lead to degraded performance in real-world systems. We propose a theoretical solution to this problem: we develop a list of desirable properties and theoretically verify which indices satisfy them. This allows for making an informed choice: given a particular application, one can first make a selection of properties that are desirable for a given application and then identify indices satisfying these. We observe that many popular indices have significant drawb acks. Instead, we advocate using other ones that are not so widely adopted but have beneficial properties.

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End-to-End Egospheric Spatial Memory

Daniel James Lenton, Stephen James, Ronald Clark, Andrew Davison

Spatial memory, or the ability to remember and recall specific locations and obj ects, is central to autonomous agents' ability to carry out tasks in real enviro nments. However, most existing artificial memory modules are not very adept at s toring spatial information. We propose a parameter-free module, Egospheric Spati al Memory (ESM), which encodes the memory in an ego-sphere around the agent, ena bling expressive 3D representations. ESM can be trained end-to-end via either im itation or reinforcement learning, and improves both training efficiency and fin al performance against other memory baselines on both drone and manipulator visu omotor control tasks. The explicit egocentric geometry also enables us to seamle ssly combine the learned controller with other non-learned modalities, such as 1 ocal obstacle avoidance. We further show applications to semantic segmentation o n the ScanNet dataset, where ESM naturally combines image-level and map-level in ference modalities. Through our broad set of experiments, we show that ESM provi des a general computation graph for embodied spatial reasoning, and the module f orms a bridge between real-time mapping systems and differentiable memory archit ectures. Implementation at: https://github.com/ivy-dl/memory.

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Toward Trainability of Quantum Neural Networks Kaining Zhang, Min-Hsiu Hsieh, Liu Liu, Dacheng Tao

Quantum Neural Networks (QNNs) have been recently proposed as generalizations of classical neural networks to achieve the quantum speed-up. Despite the potential to outperform classical models, serious bottlenecks exist for training QNNs; namely, QNNs with random structures have poor trainability due to the vanishing gradient with rate exponential to the input qubit number. The vanishing gradient could seriously influence the applications of large-size QNNs. In this work, we

provide the first viable solution with theoretical guarantees. Specifically, we prove that QNNs with tree tensor and step controlled architectures have gradient s that vanish at most polynomially with the qubit number. Moreover, our result h olds irrespective of which encoding methods are employed. We numerically demonst rate QNNs with tree tensor and step controlled structures for the application of binary classification. Simulations show faster convergent rates and better accuracy compared to QNNs with random structures.

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LEAF: A Learnable Frontend for Audio Classification

Neil Zeghidour,Olivier Teboul,Félix de Chaumont Quitry,Marco Tagliasacchi Mel-filterbanks are fixed, engineered audio features which emulate human percept ion and have been used through the history of audio understanding up to today. However, their undeniable qualities are counterbalanced by the fundamental limitations of handmade representations. In this work we show that we can train a sing le learnable frontend that outperforms mel-filterbanks on a wide range of audio signals, including speech, music, audio events and animal sounds, providing a general-purpose learned frontend for audio classification. To do so, we introduce a new principled, lightweight, fully learnable architecture that can be used as a drop-in replacement of mel-filterbanks. Our system learns all operations of audio features extraction, from filtering to pooling, compression and normalization, and can be integrated into any neural network at a negligible parameter cost.

We perform multi-task training on eight diverse audio classification tasks, and show consistent improvements of our model over mel-filterbanks and previous learnable alternatives. Moreover, our system outperforms the current state-of-the-art learnable frontend on Audioset, with orders of magnitude fewer parameters.

The Impact of the Mini-batch Size on the Dynamics of SGD: Variance and Beyond Xin Qian, Diego Klabjan

We study mini-batch stochastic gradient descent (SGD) dynamics under linear regression and deep linear networks by focusing on the variance of the gradients only given the initial weights and mini-batch size, which is the first study of this nature. In the linear regression case, we show that in each iteration the norm of the gradient is a decreasing function of the mini-batch size \$b\$ and thus the variance of the stochastic gradient estimator is a decreasing function of \$b\$. For deep neural networks with \$L\_2\$ loss we show that the variance of the gradient is a polynomial in \$1/b\$. The results theoretically back the important intuition that smaller batch sizes yield larger variance of the stochastic gradients and lower loss function values which is a common believe among the researchers. The proof techniques exhibit a relationship between stochastic gradient estimators and initial weights, which is useful for further research on the dynamics of SGD. We empirically provide insights to our results on various datasets and commonly used deep network structures. We further discuss possible extensions of the approaches we build in studying the generalization ability of the deep learning

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Simple Augmentation Goes a Long Way: ADRL for DNN Quantization

Lin Ning, Guoyang Chen, Weifeng Zhang, Xipeng Shen

Mixed precision quantization improves DNN performance by assigning different lay ers with different bit-width values. Searching for the optimal bit-width for each layer, however, remains a challenge. Deep Reinforcement Learning (DRL) shows some recent promise. It however suffers instability due to function approximation errors, causing large variances in the early training stages, slow convergence, and suboptimal policies in the mixed-precision quantization problem. This paper proposes augmented DRL (ADRL) as a way to alleviate these issues. This new strategy augments the neural networks in DRL with a complementary scheme to boost the performance of learning. The paper examines the effectiveness of ADRL both analytically and empirically, showing that it can produce more accurate quantized models than the state of the art DRL-based quantization while improving the learning speed by 4.5-64 times.

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Design-Bench: Benchmarks for Data-Driven Offline Model-Based Optimization Brandon Trabucco, Aviral Kumar, Xinyang Geng, Sergey Levine

Black-box model-based optimization (MBO) problems, where the goal is to find a d esign input that maximizes an unknown objective function, are ubiquitous in a wi de range of domains, such as the design of drugs, aircraft, and robot morphology . Typically, such problems are solved by actively querying the black-box objecti ve on design proposals and using the resulting feedback to improve the proposed designs. However, when the true objective function is expensive or dangerous to evaluate in the real world, we might instead prefer a method that can optimize t his function using only previously collected data, for example from a set of pre viously conducted experiments. This data-driven offline MBO set- ting presents a number of unique challenges, but a number of recent works have demonstrated tha t viable offline MBO methods can be developed even for high- dimensional problem s, using high-capacity deep neural network function approximators. Unfortunately , the lack of standardized evaluation tasks in this emerg- ing new field has mad e tracking progress and comparing recent methods difficult. To address this prob lem, we present Design-Bench, a benchmark suite of offline MBO tasks with a unif ied evaluation protocol and reference implementations of recent methods. Our ben chmark suite includes diverse and realistic tasks derived from real-world proble ms in biology, material science, and robotics that present distinct challenges f or offline MBO methods. Our benchmarks, together with the reference implementati ons, are available at sites.google.com/view/design-bench. We hope that our bench mark can serve as a meaningful metric for the progress of offline MBO methods an d quide future algorithmic development.

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Multi-View Disentangled Representation

Zongbo Han, Changqing Zhang, Huazhu Fu, Qinghua Hu, Joey Tianyi Zhou

Learning effective representations for data with multiple views is crucial in ma chine learning and pattern recognition. Recently great efforts have focused on l earning unified or latent representations to integrate information from differen t views for specific tasks. These approaches generally assume simple or implicit relationships between different views and as a result are not able to flexibly and explicitly depict the correlations among these views. To address this, we firstly propose the definition and conditions for multi-view disentanglement providing general instructions for disentangling representations between different views. Furthermore, a novel objective function is derived to explicitly disentangle the multi-view data into a shared part across different views and a (private) exclusive part within each view. Experiments on a variety of multi-modal dataset s demonstrate that our objective can effectively disentangle information from different views while satisfying the disentangling conditions.

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Polynomial Graph Convolutional Networks

Luca Pasa, Nicolò Navarin, Alessandro Sperduti

Graph Convolutional Neural Networks (GCNs) exploit convolution operators, based on some neighborhood aggregating scheme, to compute representations of graphs. T he most common convolution operators only exploit local topological information. To consider wider topological receptive fields, the mainstream approach is to n on-linearly stack multiple Graph Convolutional (GC) layers. In this way, however , interactions among GC parameters at different levels pose a bias on the flow o f topological information. In this paper, we propose a different strategy, cons idering a single graph convolution layer that independently exploits neighbourin g nodes at different topological distances, generating decoupled representations for each of them. These representations are then processed by subsequent reado ut layers. We implement this strategy introducing the Polynomial Graph Convoluti on (PGC) layer, that we prove being more expressive than the most common convolu tion operators and their linear stacking. Our contribution is not limited to the definition of a convolution operator with a larger receptive field, but we prov e both theoretically and experimentally that the common way multiple non-linear graph convolutions are stacked limits the neural network expressiveness. Specif ically, we show that a Graph Neural Network architecture with a single PGC layer

achieves state of the art performance on many commonly adopted graph classification benchmarks.

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The inductive bias of ReLU networks on orthogonally separable data Mary Phuong, Christoph H Lampert

We study the inductive bias of two-layer ReLU networks trained by gradient flow. We identify a class of easy-to-learn (`orthogonally separable') datasets, and c haracterise the solution that ReLU networks trained on such datasets converge to . Irrespective of network width, the solution turns out to be a combination of t wo max-margin classifiers: one corresponding to the positive data subset and one corresponding to the negative data subset.

The proof is based on the recently introduced concept of extremal sectors, for w hich we prove a number of properties in the context of orthogonal separability. In particular, we prove stationarity of activation patterns from some time \$T\$ o nwards, which enables a reduction of the ReLU network to an ensemble of linear s ubnetworks.

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Recycling sub-optimial Hyperparameter Optimization models to generate efficient Ensemble Deep Learning

Pierrick Pochelu, Bruno Conche, Serge G. Petiton

Ensemble Deep Learning improves accuracy over a single model by combining predictions from multiple models. It has established itself to be the core strategy for tackling the most difficult problems, like winning Kaggle challenges. Due to the lack of consensus to design a successful deep learning ensemble, we introduce Hyperband-Dijkstra, a new workflow that automatically explores neural network designs with Hyperband and efficiently combines them with Dijkstra's algorithm.

This workflow has the same training cost than standard Hyperband running except sub-optimal solutions are stored and are candidates to be selected in the ensemble selection step (recycling). Next, to predict on new data, the use rigives to Dijkstra the maximum number of models wanted in the ensemble to control the tradeoff between accuracy and inference time.

Hyperband is a very efficient algorithm allocating exponentially more resources to the most promising configurations. It is also capable to propose diverse mode ls due to its pure-exploration nature, which allows Dijkstra algorithm with a sm art combination of diverse models to achieve a strong variance and bias reductio n. The exploding number of possible combinations generated by Hyperband increase s the probability that Dijkstra finds an accurate combination which fits the dat aset and generalizes on new data.

The two experimentation on CIFAR100 and on our unbalanced microfossils dataset s how that our new workflow generates an ensemble far more accurate than any other ensemble of any ResNet models from ResNet18 to ResNet152.

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Monte-Carlo Planning and Learning with Language Action Value Estimates Youngsoo Jang, Seokin Seo, Jongmin Lee, Kee-Eung Kim

Interactive Fiction (IF) games provide a useful testbed for language-based reinf orcement learning agents, posing significant challenges of natural language unde rstanding, commonsense reasoning, and non-myopic planning in the combinatorial s earch space. Agents based on standard planning algorithms struggle to play IF ga mes due to the massive search space of language actions. Thus, language-grounded planning is a key ability of such agents, since inferring the consequence of la nguage action based on semantic understanding can drastically improve search. In this paper, we introduce Monte-Carlo planning with Language Action Value Estima tes (MC-LAVE) that combines a Monte-Carlo tree search with language-driven explo ration. MC-LAVE invests more search effort into semantically promising language actions using locally optimistic language value estimates, yielding a significan t reduction in the effective search space of language actions. We then present a reinforcement learning approach via MC-LAVE, which alternates between MC-LAVE p lanning and supervised learning of the self-generated language actions. In the experiments, we demonstrate that our method achieves new high scores in various I

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Learning Energy-Based Models by Diffusion Recovery Likelihood Ruiqi Gao, Yang Song, Ben Poole, Ying Nian Wu, Diederik P Kingma

While energy-based models (EBMs) exhibit a number of desirable properties, train ing and sampling on high-dimensional datasets remains challenging. Inspired by r ecent progress on diffusion probabilistic models, we present a diffusion recover y likelihood method to tractably learn and sample from a sequence of EBMs traine d on increasingly noisy versions of a dataset. Each EBM is trained with recovery likelihood, which maximizes the conditional probability of the data at a certa in noise level given their noisy versions at a higher noise level. Optimizing re covery likelihood is more tractable than marginal likelihood, as sampling from t he conditional distributions is much easier than sampling from the marginal dist ributions. After training, synthesized images can be generated by the sampling p rocess that initializes from Gaussian white noise distribution and progressively samples the conditional distributions at decreasingly lower noise levels. Our method generates high fidelity samples on various image datasets. On uncondition al CIFAR-10 our method achieves FID 9.58 and inception score 8.30, superior to t he majority of GANs. Moreover, we demonstrate that unlike previous work on EBMs, our long-run MCMC samples from the conditional distributions do not diverge and still represent realistic images, allowing us to accurately estimate the normal ized density of data even for high-dimensional datasets. Our implementation is a vailable at \url{https://github.com/ruiqigao/recovery\_likelihood}.

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Similarity Search for Efficient Active Learning and Search of Rare Concepts Cody Coleman, Edward Chou, Sean Culatana, Peter Bailis, Alexander C. Berg, Roshan Sumbaly, Matei Zaharia, I. Zeki Yalniz

Many active learning and search approaches are intractable for industrial settin gs with billions of unlabeled examples. Existing approaches, such as uncertainty sampling or information density, search globally for the optimal examples to la bel, scaling linearly or even quadratically with the unlabeled data. However, in practice, data is often heavily skewed; only a small fraction of collected data will be relevant for a given learning task. For example, when identifying rare classes, detecting malicious content, or debugging model performance, positive e xamples can appear in less than 1% of the data. In this work, we exploit this sk ew in large training datasets to reduce the number of unlabeled examples conside red in each selection round by only looking at the nearest neighbors to the labe led examples. Empirically, we observe that learned representations can effective ly cluster unseen concepts, making active learning very effective and substantia lly reducing the number of viable unlabeled examples. We evaluate several select ion strategies in this setting on three large-scale computer vision datasets: Im ageNet, OpenImages, and a proprietary dataset of 10 billion images from a large internet company. For rare classes, active learning methods need as little as 0. 31% of the labeled data to match the average precision of full supervision. By 1 imiting the selection strategies to the immediate neighbors of the labeled data as candidates for labeling, we process as little as 0.1% of the unlabeled data w hile achieving similar reductions in labeling costs as the traditional global ap proach. This process of expanding the candidate pool with the nearest neighbors of the labeled set can be done efficiently and reduces the computational complex ity of selection by orders of magnitude.

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On Disentangled Representations Extracted from Pretrained GANs Valentin Khrulkov, Leyla Mirvakhabova, Ivan Oseledets, Artem Babenko Constructing disentangled representations is known to be a difficult task, especially in the unsupervised scenario. The dominating paradigm of unsupervised disentanglement is currently to train a generative model that separates different factors of variation in its latent space. This separation is typically enforced by training with specific regularization terms in the model's objective function. These terms, however, introduce additional hyperparameters responsible for the t

rade-off between disentanglement and generation quality. While tuning these hype rparameters is crucial for proper disentanglement, it is often unclear how to tune them without external supervision.

This paper investigates an alternative route to disentangled representations. Na mely, we propose to extract such representations from the state-of-the-art GANs trained without disentangling terms in their objectives. This paradigm of post h oc disentanglement employs little or no hyperparameters when learning representations, while achieving results on par with existing state-of-the-art, as shown by comparison in terms of established disentanglement metrics, fairness, and the abstract reasoning task.

All our code and models are publicly available.

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Real-time Uncertainty Decomposition for Online Learning Control

Jonas Umlauft, Armin Lederer, Thomas Beckers, Sandra Hirche

Safety-critical decisions based on machine learning models require a clear under standing of the involved uncertainties to avoid hazardous or risky situations. W hile aleatoric uncertainty can be explicitly modeled given a parametric descript ion, epistemic uncertainty rather describes the presents or absence of training data. This paper proposes a novel generic method for modeling

epistemic uncertainty and shows its advantages over existing approaches for neur al networks on various data sets. It can be directly combined with aleatoric uncertainty estimates and

allows for prediction in real-time as the inference is sample-free. We exploit this property in a model-based quadcopter control setting and demonstrate how the controller benefits from a differentiation between aleatoric and epistemic uncertainty in online learning of thermal disturbances.

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Capturing Label Characteristics in VAEs

Tom Joy, Sebastian Schmon, Philip Torr, Siddharth N, Tom Rainforth

We present a principled approach to incorporating labels in variational autoenco ders (VAEs) that captures the rich characteristic information associated with th ose labels. While prior work has typically conflated these by learning latent va riables that directly correspond to label values, we argue this is contrary to t he intended effect of supervision in VAEs-capturing rich label characteristics w ith the latents. For example, we may want to capture the characteristics of a fa ce that make it look young, rather than just the age of the person. To this end, we develop a novel VAE model, the characteristic capturing VAE (CCVAE), which " reparameterizes" supervision through auxiliary variables and a concomitant varia tional objective. Through judicious structuring of mappings between latent and a uxiliary variables, we show that the CCVAE can effectively learn meaningful repr esentations of the characteristics of interest across a variety of supervision s chemes. In particular, we show that the  $\mbox{CCVAE}$  allows for more effective and more general interventions to be performed, such as smooth traversals within the cha racteristics for a given label, diverse conditional generation, and transferring characteristics across datapoints.

Learning the Step-size Policy for the Limited-Memory Broyden-Fletcher-Goldfarb-S hanno Algorithm

Lucas N. Egidio, Anders Hansson, Bo Wahlberg

We consider the problem of how to learn a step-size policy for the Limited-Memory Broyden-Fletcher-Goldfarb-Shanno (L-BFGS) algorithm. This is a limited computa tional memory quasi-Newton method widely used for deterministic unconstrained op timization but currently avoided in large-scale problems for requiring step size s to be provided at each iteration. Existing methodologies for the step size sel ection for L-BFGS use heuristic tuning of design parameters and massive re-evalu ations of the objective function and gradient to find appropriate step-lengths. We propose a neural network architecture with local information of the current i terate as the input. The step-length policy is learned from data of similar optimization problems, avoids additional evaluations of the objective function, and

guarantees that the output step remains inside a pre-defined interval. The corre sponding training procedure is formulated as a stochastic optimization problem u sing the backpropagation through time algorithm. The performance of the propose d method is evaluated on the training of classifiers for the MNIST database for handwritten digits and for CIFAR-10. The results show that the proposed algorith m outperforms heuristically tuned optimizers such as ADAM, RMSprop, L-BFGS with a backtracking line search and L-BFGS with a constant step size. The numerical results also show that a learned policy can be used as a warm-start to train new policies for different problems after a few additional training steps, highligh ting its potential use in multiple large-scale optimization problems.

CalfADS: latent factor analysis of dynamical systems in calcium imaging data Luke Yuri Prince, Shahab Bakhtiari, Colleen J Gillon, Blake Aaron Richards Dynamic latent variable modelling has been a hugely powerful tool in understandi ng how spiking activity in populations of neurons can perform computations neces sary for adaptive behaviour. The success of such approaches has been enabled by the ability to construct models derived with the characterization of spiking act ivity as point-processes since spiking dynamics occur on a much faster time-scal e than the computational dynamics being inferred. Other experimental techniques, such as calcium imaging, pose a problem for latent variable modelling of comput ational dynamics, since the time-scales of calcium dynamics and computational dy namics overlap. As such, the success of dynamic latent variable modelling in cal cium imaging data rests on being able to disentangle the contribution of these t wo sources of variation. Here we extend recent advances using variational autoen coders to analyze neural data, by incorporating a ladder architecture that can i nfer a hierarchy of dynamical systems. Using built-in inductive biases for calci um dynamics, we can capture calcium flux as well as underlying dynamics of neura l computation. First, we demonstrate with synthetic calcium data that we can cor rectly infer an underlying Lorenz attractor at the same time as calcium dynamics . Next, we show that we can infer appropriate rotational dynamics in spiking dat a from macaque motor cortex after it has been converted into calcium fluorescenc e data via a calcium dynamics model. Finally, we show that our method applied to real calcium imaging data from primary visual cortex in mice allows us to infer latent factors that carry salient sensory information about unexpected stimuli. These results demonstrate that variational ladder autoencoders are a promising approach for inferring hierarchical dynamics in experimental settings where the measured variable has its own slow dynamics, such as calcium imaging data, there by providing the neuroscience community with a new analysis tool for a wider arr ay of data modalities.

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Linear Mode Connectivity in Multitask and Continual Learning Seyed Iman Mirzadeh, Mehrdad Farajtabar, Dilan Gorur, Razvan Pascanu, Hassan Ghasemz adeh

Continual (sequential) training and multitask (simultaneous) training are often attempting to solve the same overall objective: to find a solution that performs well on all considered tasks. The main difference is in the training regimes, w here continual learning can only have access to one task at a time, which for ne ural networks typically leads to catastrophic forgetting. That is, the solution found for a subsequent task does not perform well on the previous ones anymore.

However, the relationship between the different minima that the two training regimes arrive at is not well understood. What sets them apart? Is there a local structure that could explain the difference in performance achieved by the two different schemes?

Motivated by recent work showing that different minima of the same task are typically connected by very simple curves of low error, we investigate whether multitask and continual solutions are similarly connected. We empirically find that indeed such connectivity can be reliably achieved and, more interestingly, it can be done by a linear path, conditioned on having the same initialization for both. We thoroughly analyze this observation and discuss its significance for the continual learning process.

Furthermore, we exploit this finding to propose an effective algorithm that constrains the sequentially learned minima to behave as the multitask solution. We show that our method outperforms several state of the art continual learning algorithms on various vision benchmarks.

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Importance and Coherence: Methods for Evaluating Modularity in Neural Networks Shlomi Hod, Stephen Casper, Daniel Filan, Cody Wild, Andrew Critch, Stuart Russell As deep neural networks become more advanced and widely-used, it is important to understand their inner workings. Toward this goal, modular interpretations are appealing because they offer flexible levels of abstraction aside from standard architectural building blocks (e.g., neurons, channels, layers). In this paper, we consider the problem of assessing how functionally interpretable a given part itioning of neurons is. We propose two proxies for this: importance which reflec ts how crucial sets of neurons are to network performance, and coherence which r eflects how consistently their neurons associate with input/output features. To measure these proxies, we develop a set of statistical methods based on techniqu es that have conventionally been used for the interpretation of individual neuro ns. We apply these methods on partitionings generated by a spectral clustering a lgorithm which uses a graph representation of the network's neurons and weights. We show that despite our partitioning algorithm using neither activations nor q radients, it reveals clusters with a surprising amount of importance and coheren ce. Together, these results support the use of modular interpretations, and grap h-based partitionings in particular, for interpretability.

MC-LSTM: Mass-conserving LSTM

Pieter-Jan Hoedt, Frederik Kratzert, Daniel Klotz, Christina Halmich, Markus Holzlei tner, Grey Nearing, Sepp Hochreiter, Günter Klambauer

The success of Convolutional Neural Networks (CNNs) in computer vision is mainly driven by their strong inductive bias, which is strong enough to allow CNNs to solve vision-related tasks with random weights, meaning without learning. Simil arly, Long Short-Term Memory (LSTM) has a strong inductive bias towards storing information over time. However, many real-world systems are governed by conserva tion laws, which lead to the redistribution of particular quantities -e.g. in ph ysical and economical systems. Our novel Mass-Conserving LSTM (MC-LSTM) adhere s to these conservation laws by extending the inductive bias of LSTM to model th e redistribution of those stored quantities. MC-LSTMs set a new state-of-the-art for neural arithmetic units at learning arithmetic operations, such as addition tasks, which have a strong conservation law, as the sum is constant overtime. F urther, MC-LSTM is applied to traffic forecasting, modeling a pendulum, and a la rge benchmark dataset in hydrology, where it sets a new state-of-the-art for pre dicting peak flows. In the hydrology example, we show that MC-LSTM states correl ate with real world processes and are therefore interpretable.

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Length-Adaptive Transformer: Train Once with Length Drop, Use Anytime with Searc h

Gyuwan Kim, Kyunghyun Cho

Although transformers have achieved impressive accuracies in various tasks in na tural language processing, they often come with a prohibitive computational cost, that prevents their use in scenarios with limited computational resources for inference. This need for computational efficiency in inference has been addressed by for instance Power-Bert (Goyal et al., 2020) which gradually decreases the length of a sequence as it is passed through layers. These approaches however of ten assume that the target computational complexity is known in advance at the time of training. This implies that a separate model must be trained for each inference scenario with its distinct computational budget. In this paper, we extend Power-Bert to address this issue of inefficiency and redundancy. The proposed extension enables us to train a large-scale transformer, called Length-Adaptive Transformer, once and uses it for various inference scenarios without re-training it. To do so, we train a transformer with LengthDrop, a structural variant of dropout, which stochastically determines the length of a sequence at each layer.

We then use a multi-objective evolutionary search to find a length configuration that maximizes the accuracy and minimizes the computational complexity under an y given computational budget. Additionally, we significantly extend the applicability of PoWER-BERT beyond sequence-level classification into token-level classification such as span-based question-answering, by introducing the idea of Dropand-Restore. With Drop-and-Restore, word-vectors are dropped temporarily in intermediate layers and restored at the last layer if necessary. We empirically verify the utility of the proposed approach by demonstrating the superior accuracy-efficiency trade-off under various setups, including SQuAD 1.1, MNLI-m, and SST-2. Upon publication, the code to reproduce our work will be open-sourced.

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Computational Separation Between Convolutional and Fully-Connected Networks eran malach, Shai Shalev-Shwartz

Convolutional neural networks (CNN) exhibit unmatched performance in a multitude of computer vision tasks. However, the advantage of using convolutional network s over fully-connected networks is not understood from a theoretical perspective . In this work, we show how convolutional networks can leverage locality in the data, and thus achieve a computational advantage over fully-connected networks. Specifically, we show a class of problems that can be efficiently solved using c onvolutional networks trained with gradient-descent, but at the same time is har d to learn using a polynomial-size fully-connected network.

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Model-based Asynchronous Hyperparameter and Neural Architecture Search Aaron Klein, Louis Chi-Chun Tiao, Thibaut Lienart, Cedric Archambeau, Matthias Seege r

We introduce a model-based asynchronous multi-fidelity method for hyperparameter and neural architecture search that combines the strengths of asynchronous Succ essive Halving and Gaussian process-based Bayesian optimization. At the heart of our method is a probabilistic model that can simultaneously reason across hyper parameters and resource levels, and supports decision-making in the presence of pending evaluations. We demonstrate the effectiveness of our method on a wide range of challenging benchmarks, for tabular data, image classification and language modelling, and report substantial speed-ups over current state-of-the-art methods. Our new methods, along with asynchronous baselines, are implemented in a distributed framework which will be open sourced along with this publication.

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ItNet: iterative neural networks for fast and efficient anytime prediction Thomas Pfeil

Deep neural networks have usually to be compressed and accelerated for their usa ge in low-power, e.g. mobile, devices. Common requirements are high accuracy, high throughput, low latency, and a small memory footprint. A good trade-off between accuracy and latency has been shown by networks comprising multiple intermediate outputs. In this study, we introduce a multi-output network that has a tiny memory footprint in terms of its computational graph, which allows its execution on novel, massively-parallel hardware accelerators designed for extremely high throughput. To this end, the graph is designed to contain loops by iteratively executing a single network building block. These so-called iterative neural networks enable stateof-the-art results for semantic segmentation on the CamVid and C ityscapes datasets that are especially demanding in terms of computational resources. In ablation studies, the improvement of network training by intermediate network outputs as well as the trade-off between weight sharing over iterations a nd the network size are investigated.

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The Negative Pretraining Effect in Sequential Deep Learning and Three Ways to Fi  $\mathbf x$  It

Julian G. Zilly, Franziska Eckert, Bhairav Mehta, Andrea Censi, Emilio Frazzoli Negative pretraining is a prominent sequential learning effect of neural network s where a pretrained model obtains a worse generalization performance than a model that is trained from scratch when either are trained on a target task. We con

ceptualize the ingredients of this problem setting and examine the negative pret raining effect experimentally by providing three interventions to remove and fix it. First, acting on the learning process, altering the learning rate after pre training can yield even better results than training directly on the target task. Second, on the learning task-level, we intervene by increasing the discretizat ion of data distribution changes from start to target task instead of "jumping" to a target task. Finally at the model-level, resetting network biases to larger values likewise removes negative pretraining effects, albeit to a smaller degre e. With these intervention experiments, we aim to provide new evidence to help u nderstand the subtle influences that neural network training and pretraining can have on final generalization performance on a target task in the context of neg ative pretraining.

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Benchmarking Unsupervised Object Representations for Video Sequences Marissa A. Weis, Kashyap Chitta, Yash Sharma, Wieland Brendel, Matthias Bethge, Andre as Geiger, Alexander S Ecker

Perceiving the world in terms of objects and tracking them through time is a cru cial prerequisite for reasoning and scene understanding. Recently, several metho ds have been proposed for unsupervised learning of object-centric representation s. However, since these models have been evaluated with respect to different dow nstream tasks, it remains unclear how they compare in terms of basic perceptual abilities such as detection, figure-ground segmentation and tracking of individu al objects. To close this gap, we design a benchmark with three datasets of vary ing complexity and seven additional test sets which feature challenging tracking scenarios relevant for natural videos. Using this benchmark, we compare the per ceptual abilities of four unsupervised object-centric learning approaches: ViMON , a video-extension of MONet, based on a recurrent spatial attention mechanism, OP3, which exploits clustering via spatial mixture models, as well as TBA and SC ALOR, which use an explicit factorization via spatial transformers. Our results suggest that architectures with unconstrained latent representations and full-im age object masks such as ViMON and OP3 are able to learn more powerful represent ations in terms of object detection, segmentation and tracking than the explicit ly parameterized spatial transformer based architecture of TBA and SCALOR. We al so observe that none of the methods are able to gracefully handle the most chall enging tracking scenarios despite their synthetic nature, suggesting that our be nchmark may provide fruitful guidance towards learning more robust object-centri c video representations.

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Rethinking Embedding Coupling in Pre-trained Language Models

Hyung Won Chung, Thibault Fevry, Henry Tsai, Melvin Johnson, Sebastian Ruder

We re-evaluate the standard practice of sharing weights between input and output embeddings in state-of-the-art pre-trained language models. We show that decoup led embeddings provide increased modeling flexibility, allowing us to significan tly improve the efficiency of parameter allocation in the input embedding of mul tilingual models. By reallocating the input embedding parameters in the Transfor mer layers, we achieve dramatically better performance on standard natural language understanding tasks with the same number of parameters during fine-tuning. We also show that allocating additional capacity to the output embedding provides benefits to the model that persist through the fine-tuning stage even though the output embedding is discarded after pre-training. Our analysis shows that larger output embeddings prevent the model's last layers from overspecializing to the pre-training task and encourage Transformer representations to be more general and more transferable to other tasks and languages. Harnessing these findings, we are able to train models that achieve strong performance on the XTREME benchm ark without increasing the number of parameters at the fine-tuning stage.

Recursive Neighborhood Pooling for Graph Representation Learning Behrooz Tahmasebi, Stefanie Jegelka

While massage passing based Graph Neural Networks (GNNs) have become increasingly popular architectures for learning with graphs, recent works have revealed imp

ortant shortcomings in their expressive power. In response, several higher-order GNNs have been proposed, which substantially increase the expressive power, but at a large computational cost.

Motivated by this gap, we introduce and analyze a new recursive pooling technique of local neighborhoods that allows different tradeoffs of computational cost and expressive power. First, we show that this model can count subgraphs of size \$k\$, and thereby overcomes a known limitation of low-order GNNs. Second, we prove that, in several cases, RNP-GNNs can greatly reduce computational complexity compared to the existing higher-order \$k\$-GNN and Local Relational Pooling (LRP) networks.

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Addressing Distribution Shift in Online Reinforcement Learning with Offline Data sets

Seunghyun Lee, Younggyo Seo, Kimin Lee, Pieter Abbeel, Jinwoo Shin

Recent progress in offline reinforcement learning (RL) has made it possible to t rain strong RL agents from previously-collected, static datasets. However, depen ding on the quality of the trained agents and the application being considered, it is often desirable to improve such offline RL agents with further online inte raction. As it turns out, fine-tuning offline RL agents is a non-trivial challen ge, due to distribution shift - the agent encounters out-of-distribution samples during online interaction, which may cause bootstrapping error in Q-learning an d instability during fine-tuning. In order to address the issue, we present a si mple yet effective framework, which incorporates a balanced replay scheme and an ensemble distillation scheme. First, we propose to keep separate offline and on line replay buffers, and carefully balance the number of samples from each buffe r during updates. By utilizing samples from a wider distribution, i.e., both onl ine and offline samples, we stabilize the Q-learning. Next, we present an ensemb le distillation scheme, where we train an ensemble of independent actor-critic a gents, then distill the policies into a single policy. In turn, we improve the p olicy using the Q-ensemble during fine-tuning, which allows the policy updates t o be more robust to error in each individual Q-function. We demonstrate the supe riority of our method on MuJoCo datasets from the recently proposed D4RL benchma rk suite.

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Stable Weight Decay Regularization

Zeke Xie, Issei Sato, Masashi Sugiyama

Weight decay is a popular regularization technique for training of deep neural n etworks. Modern deep learning libraries mainly use \$L\_{2}\$ regularization as the default implementation of weight decay. \citet{loshchilov2018decoupled} demonst rated that \$L\_{2}\$ regularization is not identical to weight decay for adaptive gradient methods, such as Adaptive Momentum Estimation (Adam), and proposed Adam with Decoupled Weight Decay (AdamW). However, we found that the popular impleme ntations of weight decay, including  $L_{2}$  regularization and decoupled weight decay, in modern deep learning libraries usually damage performance. First, the  $L_{2}$  regularization is unstable weight decay for all optimizers that use Mome ntum, such as stochastic gradient descent (SGD). Second, decoupled weight decay is highly unstable for all adaptive gradient methods. We further propose the Sta ble Weight Decay (SWD) method to fix the unstable weight decay problem from a dy namical perspective. The proposed SWD method makes significant improvements over  $L_{2}\$  regularization and decoupled weight decay in our experiments. Simply fi xing weight decay in Adam by SWD, with no extra hyperparameter, can outperform c omplex Adam variants, which have more hyperparameters.

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Co-Mixup: Saliency Guided Joint Mixup with Supermodular Diversity JangHyun Kim, Wonho Choo, Hosan Jeong, Hyun Oh Song

While deep neural networks show great performance on fitting to the training distribution, improving the networks' generalization performance to the test distribution and robustness to the sensitivity to input perturbations still remain as

a challenge. Although a number of mixup based augmentation strategies have been proposed to partially address them, it remains unclear as to how to best utilize the supervisory signal within each input data for mixup from the optimization p erspective. We propose a new perspective on batch mixup and formulate the optima l construction of a batch of mixup data maximizing the data saliency measure of each individual mixup data and encouraging the supermodular diversity among the constructed mixup data. This leads to a novel discrete optimization problem mini mizing the difference between submodular functions. We also propose an efficient modular approximation based iterative submodular minimization algorithm for efficient mixup computation per each minibatch suitable for minibatch based neural network training. Our experiments show the proposed method achieves the state of the art generalization, calibration, and weakly supervised localization results compared to other mixup methods. The source code is available at https://github.com/snu-mllab/Co-Mixup.

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Physics-aware, probabilistic model order reduction with guaranteed stability Sebastian Kaltenbach, Phaedon Stelios Koutsourelakis

Given (small amounts of) time-series' data from a high-dimensional, fine-graine d, multiscale dynamical system, we propose a generative framework for learning a n effective, lower-dimensional, coarse-grained dynamical model that is predictive of the fine-grained system's long-term evolution but also of its behavior under different initial conditions.

We target fine-grained models as they arise in physical applications (e.g. molec ular dynamics, agent-based models), the dynamics of which are strongly non-stat ionary but their transition to equilibrium is governed by unknown slow processes which are largely inaccessible by brute-force simulations.

Approaches based on domain knowledge heavily rely on physical insight in identifying temporally slow features and fail to enforce the long-term stability of the learned dynamics. On the other hand, purely statistical frameworks lack interpretability and rely on large amounts of expensive simulation data (long and multiple trajectories) as they cannot infuse domain knowledge.

The generative framework proposed achieves the aforementioned desiderata by em ploying a flexible prior on the complex plane for the latent, slow processes, an d an intermediate layer of physics-motivated latent variables that reduces reliance on data and imbues inductive bias. In contrast to existing schemes, it does not require the a priori definition of projection operators from the fine-grained description and addresses simultaneously the tasks of dimensionality reduction and model estimation.

We demonstrate its efficacy and accuracy in multiscale physical systems of particle dynamics where probabilistic, long-term predictions of phenomena not contain ed in the training data are produced.

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Improving the accuracy of neural networks in analog computing-in-memory systems by a generalized quantization method

Lingjun Dai, Qingtian Zhang, Huaqiang Wu

Crossbar-enabled analog computing-in-memory (CACIM) systems can significantly im prove the computation speed and energy efficiency of deep neural networks (DNNs). However, the transition of DNN from the digital systems to CACIM systems usual ly reduces its accuracy. The major issue is that the weights of DNN are stored a nd calculated directly on analog quantities in CACIM systems. The variation and programming overhead of the analog weight limit the precision.

Therefore, a suitable quantization algorithm is important when deploying a DNN i nto CACIM systems to obtain less accuracy loss. The analog weight has its unique advantages when doing quantization. Because there is no encoding and decoding p rocess, the set of quanta will not affect the computing process. Therefore, a ge neralized quantization method that does not constrain the range of quanta and can obtain less quantization error will be effective in CACIM systems. For the first time, we introduced a generalized quantization method into CACIM systems and showed superior performance on a series of computer vision tasks, such as image classification, object detection, and semantic segmentation. Using the generalized

ed quantization method, the DNN with 8-level analog weights can outperform the 3 2-bit networks. With fewer levels, the generalized quantization method can obtain less accuracy loss than other uniform quantization methods.

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Learning Binary Trees via Sparse Relaxation

Valentina Zantedeschi, Matt Kusner, Vlad Niculae

One of the most classical problems in machine learning is how to learn binary tr ees that split data into meaningful partitions. From classification/regression v ia decision trees to hierarchical clustering, binary trees are useful because th ey (a) are often easy to visualize; (b) make computationally-efficient predictio ns; and (c) allow for flexible partitioning. Because of this there has been exte nsive research on how to learn such trees. Optimization generally falls into one of three categories: 1. greedy node-by-node optimization; 2. probabilistic rela xations for differentiability; 3. mixed-integer programming (MIP). Each of these have downsides: greedy can myopically choose poor splits, probabilistic relaxat ions do not have principled ways to prune trees, MIP methods can be slow on larg e problems and may not generalize. In this work we derive a novel sparse relaxat ion for binary tree learning. By sparsely relaxing a new MIP, our approach is ab le to learn tree splits and tree pruning using state-of-the-art gradient-based a pproaches. We demonstrate how our approach is easily visualizable, is efficient, and is competitive with current work in classification/regression and hierarchi cal clustering.

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Disentangling 3D Prototypical Networks for Few-Shot Concept Learning Mihir Prabhudesai, Shamit Lal, Darshan Patil, Hsiao-Yu Tung, Adam W Harley, Katerina Fragkiadaki

We present neural architectures that disentangle RGB-D images into objects' shap es and styles and a map of the background scene, and explore their applications for few-shot 3D object detection and few-shot concept classification. Our networ ks incorporate architectural biases that reflect the image formation process, 3D geometry of the world scene, and shape-style interplay. They are trained end-t o-end self-supervised by predicting views in static scenes, alongside a small nu mber of 3D object boxes. Objects and scenes are represented in terms of 3D featu re grids in the bottleneck of the network. We show the proposed 3D neural repres entations are compositional: they can generate novel 3D scene feature maps by mi xing object shapes and styles, resizing and adding the resulting object 3D featu re maps over background scene feature maps. We show object detectors trained on hallucinated 3D neural scenes generalize better to novel environments. We show c lassifiers for object categories, color, materials, and spatial relationships tr ained over the disentangled 3D feature sub-spaces generalize better with dramat ically fewer exemplars over the current state-of-the-art, and enable a visual qu estion answering system that uses them as its modules to generalize one-shot to novel objects in the scene.

Conditional Generative Modeling for De Novo Hierarchical Multi-Label Functional Protein Design

Tim Kucera, Karsten Michael Borgwardt, Matteo Togninalli, Laetitia Papaxanthos The availability of vast protein sequence information and rich functional annota tions thereof has a large potential for protein design applications in biomedici ne and synthetic biology. To this date, there exists no method for the general-p urpose design of proteins without any prior knowledge about the protein of inter est, such as costly and rare structure information or seed sequence fragments. However, the Gene Ontology (GO) database provides information about the hierarchical organisation of protein functions, and thus could inform generative models a bout the underlying complex sequence-function relationships, replacing the need for structural data. We therefore propose to use conditional generative adversarial networks (cGANs) on the task of fast de novo hierarchical multi-label protein design. We generate protein sequences exhibiting properties of a large set of molecular functions extracted from the GO database, using a single model and without any prior information. We shed light on efficient conditioning mechanisms a

nd adapted network architectures thanks to a thorough hyperparameter selection p rocess and analysis. We further provide statistically- and biologically-driven e valuation measures for generative models in the context of protein design to ass ess the quality of the generated sequences and facilitate progress in the field. We show that our proposed model, ProteoGAN, outperforms several baselines when designing proteins given a functional label and generates well-formed sequences.

Sequence Metric Learning as Synchronization of Recurrent Neural Networks Paul Compagnon, Grégoire Lefebvre, Stefan Duffner, Christophe Garcia

Sequence metric learning is becoming a widely adopted approach for various appli cations dealing with sequential multi-variate data such as activity recognition or natural language processing and is most of the time tackled with sequence ali gnment approaches or representation learning.

In this paper, we propose to study this subject from the point of view of dynamical system theory by drawing the analogy between synchronized trajectories produced by dynamical systems and the distance between similar sequences processed by a siamese recurrent neural network.

Indeed, a siamese recurrent network comprises two identical sub-networks, two identical dynamical systems which can theoretically achieve complete synchronizati on if a coupling is introduced between them.

We therefore propose a new neural network model that implements this coupling wi th a new gate integrated into the classical Gated Recurrent Unit architecture. T his model is thus able to simultaneously learn a similarity metric and the synch ronization of unaligned multi-variate sequences in a weakly supervised way.

Our experiments show that introducing such a coupling improves the performance of the siamese Gated Recurrent Unit architecture on an activity recognition datas et.

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Time Series Counterfactual Inference with Hidden Confounders Guangyu Li, Jiahao Chen, Samuel A Assefa, Yan Liu

We present augmented counterfactual ordinary differential equations (ACODEs), a new approach to counterfactual inference on time series data with a focus on hea lthcare applications. ACODEs model interventions in continuous time with differe ntial equations, augmented by auxiliary confounding variables to reduce inference bias. Experiments on tumor growth simulation and sepsis patient treatment response show that ACODEs outperform other methods like counterfactual Gaussian processes, recurrent marginal structural networks, and time series deconfounders in the accuracy of counterfactual inference. The learned auxiliary variables also reveal new insights into causal interventions and hidden confounders.

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Multi-Head Attention: Collaborate Instead of Concatenate Jean-Baptiste Cordonnier, Andreas Loukas, Martin Jaggi

Attention layers are widely used in natural language processing (NLP) and are be ginning to influence computer vision architectures. However, they suffer from over-parameterization. For instance, it was shown that the majority of attention heads could be pruned without impacting accuracy. This work aims to enhance current understanding on how multiple heads interact. Motivated by the observation that trained attention heads share common key/query projections, we propose a collaborative multi-head attention layer that enables heads to learn shared projections. Our scheme decreases the number of parameters in an attention layer and can be used as a drop-in replacement in any transformer architecture. For instance, by allowing heads to collaborate on a neural machine translation task, we can reduce the key dimension by  $4\times$  without any loss in performance. We also show that it is possible to re-parametrize a pre-trained multi-head attention layer into our collaborative attention layer. Even without retraining, collaborative multi-head attention manages to reduce the size of the key and query projections by hal f without sacrificing accuracy. Our code is public.

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Secure Byzantine-Robust Machine Learning Lie He, Sai Praneeth Karimireddy, Martin Jaggi Increasingly machine learning systems are being deployed to edge servers and devices (e.g. mobile phones) and trained in a collaborative manner. Such distribute d/federated/decentralized training raises a number of concerns about the robustness, privacy, and security of the procedure. While extensive work has been done in tackling with robustness, privacy, or security individually, their combination has rarely been studied. In this paper, we propose a secure multi-server proto col that offers both input privacy and Byzantine-robustness. In addition, this p rotocol is communication-efficient, fault-tolerant, and enjoys local differential privacy.

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LiftPool: Bidirectional ConvNet Pooling

Jiaojiao Zhao, Cees G. M. Snoek

Pooling is a critical operation in convolutional neural networks for increasing receptive fields and improving robustness to input variations. Most existing poo ling operations downsample the feature maps, which is a lossy process. er, they are not invertible: upsampling a downscaled feature map can not recover the lost information in the downsampling. By adopting the philosophy of the cl assical Lifting Scheme from signal processing, we propose LiftPool for bidirecti onal pooling layers, including LiftDownPool and LiftUpPool. LiftDownPool decomp oses a feature map into various downsized sub-bands, each of which contains inf ormation with different frequencies. As the pooling function in LiftDownPool is perfectly invertible, by performing LiftDownPool backward, a corresponding up-po oling layer LiftUpPool is able to generate a refined upsampled feature map using the detail subbands, which is useful for image-to-image translation challenges. Experiments show the proposed methods achieve better results on image classifi cation and semantic segmentation, using various backbones. Moreover, LiftDownPo ol offers better robustness to input corruptions and perturbations.

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Latent Convergent Cross Mapping

Edward De Brouwer, Adam Arany, Jaak Simm, Yves Moreau

Discovering causal structures of temporal processes is a major tool of scientific inquiry because it helps us better understand and explain the mechanisms driving a phenomenon of interest, thereby facilitating analysis, reasoning, and synthesis for such systems.

However, accurately inferring causal structures within a phenomenon based on obs ervational data only is still an open problem. Indeed, this type of data usually consists in short time series with missing or noisy values for which causal inference is increasingly difficult. In this work, we propose a method to uncover causal relations in chaotic dynamical systems from short, noisy and sporadic time series (that is, incomplete observations at infrequent and irregular intervals) where the classical convergent cross mapping (CCM) fails. Our method works by learning a Neural ODE latent process modeling the state-space dynamics of the time e series and by checking the existence of a continuous map between the resulting processes. We provide theoretical analysis and show empirically that Latent-CCM can reliably uncover the true causal pattern, unlike traditional methods.

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You Only Need Adversarial Supervision for Semantic Image Synthesis Edgar Schönfeld, Vadim Sushko, Dan Zhang, Juergen Gall, Bernt Schiele, Anna Khoreva Despite their recent successes, GAN models for semantic image synthesis still su ffer from poor image quality when trained with only adversarial supervision. His torically, additionally employing the VGG-based perceptual loss has helped to ov ercome this issue, significantly improving the synthesis quality, but at the sam e time limiting the progress of GAN models for semantic image synthesis. In this work, we propose a novel, simplified GAN model, which needs only adversarial su pervision to achieve high quality results. We re-design the discriminator as a semantic segmentation network, directly using the given semantic label maps as the ground truth for training. By providing stronger supervision to the discrimina tor as well as to the generator through spatially- and semantically-aware discriminator feedback, we are able to synthesize images of higher fidelity with better alignment to their input label maps, making the use of the perceptual loss sup

erfluous. Moreover, we enable high-quality multi-modal image synthesis through g lobal and local sampling of a 3D noise tensor injected into the generator, which allows complete or partial image change. We show that images synthesized by our model are more diverse and follow the color and texture distributions of real i mages more closely. We achieve an average improvement of \$6\$ FID and \$5\$ mIoU po ints over the state of the art across different datasets using only adversarial supervision.

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MARS: Markov Molecular Sampling for Multi-objective Drug Discovery Yutong Xie, Chence Shi, Hao Zhou, Yuwei Yang, Weinan Zhang, Yong Yu, Lei Li Searching for novel molecules with desired chemical properties is crucial in dru g discovery. Existing work focuses on developing neural models to generate eithe r molecular sequences or chemical graphs. However, it remains a big challenge to find novel and diverse compounds satisfying several properties. In this paper, we propose MARS, a method for multi-objective drug molecule discovery. MARS is b ased on the idea of generating the chemical candidates by iteratively editing fr agments of molecular graphs. To search for high-quality candidates, it employs M arkov chain Monte Carlo sampling (MCMC) on molecules with an annealing scheme an d an adaptive proposal. To further improve sample efficiency, MARS uses a graph neural network (GNN) to represent and select candidate edits, where the GNN is t rained on-the-fly with samples from MCMC. Experiments show that MARS achieves st ate-of-the-art performance in various multi-objective settings where molecular b io-activity, drug-likeness, and synthesizability are considered. Remarkably, in the most challenging setting where all four objectives are simultaneously optimi zed, our approach outperforms previous methods significantly in comprehensive ev aluations. The code is available at https://github.com/yutxie/mars.

Unsupervised Active Pre-Training for Reinforcement Learning Hao Liu, Pieter Abbeel

We introduce a new unsupervised pre-training method for reinforcement learning c alled \$\textbf{APT}\$, which stands for \$\textbf{A}\\text{ctive}\\textbf{P}\\text{re} -}\textbf{T}\text{raining}\$. APT learns a representation and a policy initializa tion by actively searching for novel states in reward-free environments. We use the contrastive learning framework for learning the representation from collecte d transitions. The key novel idea is to collect data during pre-training by maxi mizing a particle based entropy computed in the learned latent representation sp ace. By doing particle based entropy maximization, we alleviate the need for cha llenging density modeling and are thus able to scale our approach to image obser vations. APT successfully learns meaningful representations as well as policy in itializations without using any reward. We empirically evaluate APT on the Atari game suite and DMControl suite by exposing task-specific reward to agent after a long unsupervised pre-training phase. On Atari games, APT achieves human-level performance on \$12\$ games and obtains highly competitive performance compared t o canonical fully supervised RL algorithms. On DMControl suite, APT beats all ba selines in terms of asymptotic performance and data efficiency and dramatically improves performance on tasks that are extremely difficult for training from scr atch. Importantly, the pre-trained models can be fine-tuned to solve different t asks as long as the environment does not change. Finally, we also pre-train mult i-environment encoders on data from multiple environments and show generalizatio n to a broad set of RL tasks.

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The Emergence of Individuality in Multi-Agent Reinforcement Learning Jiechuan Jiang, Zongqing Lu

Individuality is essential in human society, which induces the division of labor and thus improves the efficiency and productivity. Similarly, it should also be a key to multi-agent cooperation. Inspired by that individuality is of being an individual separate from others, we propose a simple yet efficient method for the emergence of individuality (EOI) in multi-agent reinforcement learning (MARL). EOI learns a probabilistic classifier that predicts a probability distribution over agents given their observation and gives each agent an intrinsic reward of

being correctly predicted by the classifier. The intrinsic reward encourages the agents to visit their own familiar observations, and learning the classifier by such observations makes the intrinsic reward signals stronger and in turn makes the agents more identifiable. To further enhance the intrinsic reward and promote the emergence of individuality, two regularizers are proposed to increase the discriminability of the classifier. We implement EOI on top of popular MARL algorithms. Empirically, we show that EOI outperforms existing methods in a variety of multi-agent cooperative scenarios.

Bounded Myopic Adversaries for Deep Reinforcement Learning Agents Ezgi Korkmaz, Henrik Sandberg, Gyorgy Dan

Adversarial attacks against deep neural networks have been widely studied. Adver sarial examples for deep reinforcement learning (DeepRL) have significant securi ty implications, due to the deployment of these algorithms in many application d omains. In this work we formalize an optimal myopic adversary for deep reinforce ment learning agents. Our adversary attempts to find a bounded perturbation of the state which minimizes the value of the action taken by the agent. We show with experiments in various games in the Atari environment that our attack formulation achieves significantly larger impact as compared to the current state-of-the-art. Furthermore, this enables us to lower the bounds by several orders of magnitude on the perturbation needed to efficiently achieve significant impacts on DeepRL agents.

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On Self-Supervised Image Representations for GAN Evaluation Stanislav Morozov, Andrey Voynov, Artem Babenko

The embeddings from CNNs pretrained on Imagenet classification are de-facto stan dard image representations for assessing GANs via FID, Precision and Recall meas ures. Despite broad previous criticism of their usage for non-Imagenet domains, these embeddings are still the top choice in most of the GAN literature.

In this paper, we advocate the usage of the state-of-the-art self-supervised rep resentations to evaluate GANs on the established non-Imagenet benchmarks. These representations, typically obtained via contrastive learning, are shown to provi de better transfer to new tasks and domains, therefore, can serve as more univer sal embeddings of natural images. With extensive comparison of the recent GANs on the common datasets, we show that self-supervised representations produce a more reasonable ranking of models in terms of FID/Precision/Recall, while the ranking with classification-pretrained embeddings often can be misleading.

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A Diffusion Theory For Deep Learning Dynamics: Stochastic Gradient Descent Exponentially Favors Flat Minima

Zeke Xie, Issei Sato, Masashi Sugiyama

Stochastic Gradient Descent (SGD) and its variants are mainstream methods for tr aining deep networks in practice. SGD is known to find a flat minimum that often generalizes well. However, it is mathematically unclear how deep learning can s elect a flat minimum among so many minima. To answer the question quantitatively, we develop a density diffusion theory to reveal how minima selection quantitatively depends on the minima sharpness and the hyperparameters. To the best of our knowledge, we are the first to theoretically and empirically prove that, benefited from the Hessian-dependent covariance of stochastic gradient noise, SGD favors flat minima exponentially more than sharp minima, while Gradient Descent (GD) with injected white noise favors flat minima only polynomially more than sharp minima. We also reveal that either a small learning rate or large-batch training requires exponentially many iterations to escape from minima in terms of the ratio of the batch size and learning rate. Thus, large-batch training cannot sear ch flat minima efficiently in a realistic computational time.

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Robust Learning of Fixed-Structure Bayesian Networks in Nearly-Linear Time Yu Cheng, Honghao Lin

We study the problem of learning Bayesian networks where an \$\epsilon\$-fraction

of the samples are adversarially corrupted. We focus on the fully-observable case where the underlying graph structure is known. In this work, we present the first nearly-linear time algorithm for this problem with a dimension-independent error guarantee. Previous robust algorithms with comparable error guarantees a re slower by at least a factor of  $(d/\epsilon)$ , where d is the number of variables in the Bayesian network and  $\epsilon$  is the fraction of corrupted samples.

Our algorithm and analysis are considerably simpler than those in previous work. We achieve this by establishing a direct connection between robust learning of Bayesian networks and robust mean estimation. As a subroutine in our algorithm, we develop a robust mean estimation algorithm whose runtime is nearly-linear in the number of nonzeros in the input samples, which may be of independent interest.

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Contrastive Explanations for Reinforcement Learning via Embedded Self Prediction s

Zhengxian Lin, Kin-Ho Lam, Alan Fern

We investigate a deep reinforcement learning (RL) architecture that supports exp laining why a learned agent prefers one action over another. The key idea is to learn action-values that are directly represented via human-understandable prope rties of expected futures. This is realized via the embedded self-prediction (ES P) model, which learns said properties in terms of human provided features. Acti on preferences can then be explained by contrasting the future properties predic ted for each action. To address cases where there are a large number of features , we develop a novel method for computing minimal sufficient explanations from a n ESP. Our case studies in three domains, including a complex strategy game, sho w that ESP models can be effectively learned and support insightful explanations

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Explore with Dynamic Map: Graph Structured Reinforcement Learning Jiarui Jin, Sijin Zhou, Weinan Zhang, Rasool Fakoor, David Wipf, Tong He, Yong Yu, Zheng Zhang, Alex Smola

In reinforcement learning, a map with states and transitions built based on hist orical trajectories is often helpful in exploration and exploitation. Even so, I earning and planning on such a map within a sparse environment remains a challen ge. As a step towards this goal, we propose Graph Structured Reinforcement Learn ing (GSRL), which utilizes historical trajectories to slowly adjust exploration directions and learn related experiences while rapidly updating the value functi on estimation. GSRL constructs a dynamic graph on top of state transitions in the replay buffer based on historical trajectories, and develops an attention strategy on the map to select an appropriate goal direction, which decomposes the task of reaching a distant goal state into a sequence of easier tasks. We also leverage graph structure to sample related trajectories for efficient value learning. Results demonstrate that GSRL can outperform the state-of-the-art algorithms in terms of sample efficiency on benchmarks with sparse reward functions.

Hybrid Discriminative-Generative Training via Contrastive Learning Hao Liu, Pieter Abbeel

Contrastive learning and supervised learning have both seen significant progress and success. However, thus far they have largely been treated as two separate o bjectives, brought together only by having a shared neural network. In this pape r we show that through the perspective of hybrid discriminative-generative train ing of energy-based models we can make a direct connection between contrastive l earning and supervised learning. Beyond presenting this unified view, we show ou r specific choice of approximation of the energy-based loss significantly improves energy-based models and contrastive learning based methods in confidence-cali bration, out-of-distribution detection, adversarial robustness, generative modeling, and image classification tasks. In addition to significantly improved performance, our method also gets rid of SGLD training and does not suffer from train

ing instability. Our evaluations also demonstrate that our method performs bette r than or on par with state-of-the-art hand-tailored methods in each task.

Small Input Noise is Enough to Defend Against Query-based Black-box Attacks Junyoung Byun, Hyojun Go, Changick Kim

While deep neural networks show unprecedented performance in various tasks, the vulnerability to adversarial examples hinders their deployment in safety-critica 1 systems. Many studies have shown that attacks are also possible even in a bla ck-box setting where an adversary cannot access the target model's internal info rmation. Most black-box attacks are based on queries, each of which obtains the target model's output for an input, and many recent studies focus on reducing th e number of required queries. In this paper, we pay attention to an implicit ass umption of these attacks that the target model's output exactly corresponds to t he query input. If some randomness is introduced into the model to break this as sumption, query-based attacks may have tremendous difficulty in both gradient es timation and local search, which are the core of their attack process. From this motivation, we observe even a small additive input noise can neutralize most qu ery-based attacks and name this simple yet effective approach Small Noise Defens e (SND). We analyze how SND can defend against query-based black-box attacks and demonstrate its effectiveness against eight different state-of-the-art attacks with CIFAR-10 and ImageNet datasets. Even with strong defense ability, SND almos t maintains the original clean accuracy and computational speed. SND is readily applicable to pre-trained models by adding only one line of code at the inference e stage, so we hope that it will be used as a baseline of defense against querybased black-box attacks in the future.

RetCL: A Selection-based Approach for Retrosynthesis via Contrastive Learning Hankook Lee, Sungsoo Ahn, Seung-Woo Seo, You Young Song, Eunho Yang, Sung Ju Hwang, Ji nwoo Shin

Retrosynthesis, of which the goal is to find a set of reactants for synthesizing a target product, is an emerging research area of deep learning. While the exis ting approaches have shown promising results, they currently lack the ability to consider availability (e.g., stability or purchasability) of the reactants or g eneralize to unseen reaction templates (i.e., chemical reaction rules).

In this paper, we propose a new approach that mitigates the issues by reformulat ing retrosynthesis into a selection problem of reactants from a candidate set of commercially available molecules. To this end, we design an efficient reactant selection framework, named RetCL (retrosynthesis via contrastive learning), for enumerating all of the candidate molecules based on selection scores computed by graph neural networks. For learning the score functions, we also propose a nove 1 contrastive training scheme with hard negative mining. Extensive experiments d emonstrate the benefits of the proposed selection-based approach. For example, when all 671k reactants in the USPTO database are given as candidates, our RetCL achieves top-1 exact match accuracy of 71.3% for the USPTO-50k benchmark, while a recent transformer-based approach achieves 59.6%. We also demonstrate that Ret CL generalizes well to unseen templates in various settings in contrast to template-based approaches. The code will be released.

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Learning a Max-Margin Classifier for Cross-Domain Sentiment Analysis Mohammad Rostami, Aram Galstyan

Sentiment analysis is a costly yet necessary task for enterprises to study the opinions of their costumers to improve their products and services and to determ ine optimal marketing strategies. Due to existence of a wide range of domains across different products and services, cross-domain sentiment analysis methods have received significant attention in recent years. These methods mitigate the domain gap between different applications by training cross-domain generalizable classifiers which help to relax the need for individual data annotation per each domain. Most existing methods focus on learning domain-agnostic representation s that are invariant with respect to both the source and the target domains. As a result, a classifier that is trained using annotated data in a source domain,

would generalize well in a related target domain. In this work, we introduce a new domain adaptation method which induces large margins between different class es in an embedding space based on the notion of prototypical distribution. This embedding space is trained to be domain-agnostic by matching the data distributions across the domains. Large margins in the source domain help to reduce the effect of ``domain shift'' on the performance of a trained classifier in the targe t domain. Theoretical and empirical analysis are provided to demonstrate that the method is effective.

Activation-level uncertainty in deep neural networks

Pablo Morales-Alvarez, Daniel Hernández-Lobato, Rafael Molina, José Miguel Hernández-Lobato

Current approaches for uncertainty estimation in deep learning often produce too confident results. Bayesian Neural Networks (BNNs) model uncertainty in the spa ce of weights, which is usually high-dimensional and limits the quality of varia tional approximations. The more recent functional BNNs (fBNNs) address this only partially because, although the prior is specified in the space of functions, the posterior approximation is still defined in terms of stochastic weights. In this work we propose to move uncertainty from the weights (which are deterministic) to the activation function. Specifically, the activations are modelled with simple 1D Gaussian Processes (GP), for which a triangular kernel inspired by the ReLu non-linearity is explored. Our experiments show that activation-level stoch asticity provides more reliable uncertainty estimates than BNN and fBNN, whereas it performs competitively in standard prediction tasks. We also study the connection with deep GPs, both theoretically and empirically. More precisely, we show that activation-level uncertainty requires fewer inducing points and is better suited for deep architectures.

Consensus Clustering with Unsupervised Representation Learning Jayanth Reddy Regatti, Aniket Anand Deshmukh, Eren Manavoglu, Urun Dogan Recent advances in deep clustering and unsupervised representation learning are based on the idea that different views of an input image (generated through data augmentation techniques) must either be closer in the representation space, or have a similar cluster assignment. In this work, we leverage this idea together with ensemble learning to perform clustering and representation learning. Ensemb le learning is widely used in the supervised learning setting but has not yet be en practical in deep clustering. Previous works on ensemble learning for cluster ing neither work on the feature space nor learn features. We propose a novel en semble learning algorithm dubbed Consensus Clustering with Unsupervised Represen tation Learning (ConCURL) which learns representations by creating a consensus o n multiple clustering outputs. Specifically, we generate a cluster ensemble usin g random transformations on the embedding space, and define a consensus loss fun ction that measures the disagreement among the constituents of the ensemble. Thu s, diverse ensembles minimize this loss function in a synergistic way, which lea ds to better representations that work with all cluster ensemble constituents. O ur proposed method ConCURL is easy to implement and integrate into any represent ation learning or deep clustering block. ConCURL outperforms all state of the ar t methods on various computer vision datasets. Specifically, we beat the closest state of the art method by 5.9 percent on the ImageNet-10 dataset, and by 18 pe rcent on the ImageNet-Dogs dataset in terms of clustering accuracy. We further s hed some light on the under-studied overfitting issue in clustering and show tha t our method does not overfit as much as existing methods, and thereby generaliz es better for new data samples.

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Reconnaissance for reinforcement learning with safety constraints Shin-ichi Maeda, Hayato Watahiki, Yi Ouyang, Shintarou Okada, Masanori Koyama Practical reinforcement learning problems are often formulated as constrained Markov decision process (CMDP) problems, in which the agent has to maximize the expected return while satisfying a set of prescribed safety constraints. In this study, we consider a situation in which the agent has access to the generative mo

del which provides us with a next state sample for any given state-action pair, and propose a model to solve a CMDP problem by decomposing the CMDP into a pair of MDPs; \textit{reconnaissance} MDP (R-MDP) and \textit{planning} MDP (P-MDP). In R-MDP, we train threat function, the Q-function analogue of danger that can d etermine whether a given state-action pair is safe or not. In P-MDP, we train a reward-seeking policy while using a fixed threat function to determine the safen ess of each action. With the help of generative model, we can efficiently train the threat function by preferentially sampling rare dangerous events. Once the t hreat function for a baseline policy is computed, we can solve other CMDP proble ms with different reward and different danger-constraint without the need to retrain the model. We also present an efficient approximation method for the threat function that can greatly reduce the difficulty of solving R-MDP. We will demonstrate the efficacy of our method over classical approaches in benchmark datase t and complex collision-free navigation tasks.

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SkipW: Resource Adaptable RNN with Strict Upper Computational Limit Tsiry Mayet, Anne Lambert, Pascal Leguyadec, Françoise Le Bolzer, François Schnitzle r

We introduce Skip-Window, a method to allow recurrent neural networks (RNNs) to trade off accuracy for computational cost during the analysis of a sequence. Sim ilarly to existing approaches, Skip-Window extends existing RNN cells by adding a mechanism to encourage the model to process fewer inputs. Unlike existing approaches, Skip-Window is able to respect a strict computational budget, making this model more suitable for limited hardware. We evaluate this approach on two dat asets: a human activity recognition task and adding task. Our results show that Skip-Window is able to exceed the accuracy of existing approaches for a lower computational cost while strictly limiting said cost.

Wasserstein-2 Generative Networks

Alexander Korotin, Vage Egiazarian, Arip Asadulaev, Alexander Safin, Evgeny Burnaev We propose a novel end-to-end non-minimax algorithm for training optimal transport mappings for the quadratic cost (Wasserstein-2 distance). The algorithm uses input convex neural networks and a cycle-consistency regularization to approximate Wasserstein-2 distance. In contrast to popular entropic and quadratic regularizers, cycle-consistency does not introduce bias and scales well to high dimensions. From the theoretical side, we estimate the properties of the generative mapping fitted by our algorithm. From the practical side, we evaluate our algorithm on a wide range of tasks: image-to-image color transfer, latent space optimal transport, image-to-image style transfer, and domain adaptation.

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QTRAN++: Improved Value Transformation for Cooperative Multi-Agent Reinforcement Learning

Kyunghwan Son, Sungsoo Ahn, Roben D. Delos Reyes, Jinwoo Shin, Yung Yi QTRAN is a multi-agent reinforcement learning (MARL) algorithm capable of learning the largest class of joint-action value functions up to date. However, despit e its strong theoretical guarantee, it has shown poor empirical performance in complex environments, such as Starcraft Multi-Agent Challenge (SMAC). In this paper, we identify the performance bottleneck of QTRAN and propose a substantially improved version, coined QTRAN++. Our gains come from (i) stabilizing the training objective of QTRAN, (ii) removing the strict role separation between the action-value estimators of QTRAN, and (iii) introducing a multi-head mixing network for value transformation. Through extensive evaluation, we confirm that our diagnosis is correct, and QTRAN++ successfully bridges the gap between empirical performance and theoretical guarantee. In particular, QTRAN++ newly achieves state-of-the-art performance in the SMAC environment. The code will be released.

Non-iterative Parallel Text Generation via Glancing Transformer Lihua Qian, Hao Zhou, Yu Bao, Mingxuan Wang, Lin Qiu, Weinan Zhang, Yong Yu, Lei Li Although non-autoregressive models with one-iteration generation achieve remarka ble inference speed-up, they still fall behind their autoregressive counterparts in prediction accuracy. The non-autoregressive models with the best accuracy currently rely on multiple decoding iterations, which largely sacrifice the inference speed of non-autoregressive models. Inspired by the way of learning word dependencies in autoregressive and iterative-decoding models, we propose Glancing Transformer (GLAT) with a glancing language model (GLM), which learns to capture the word dependency gradually. Experiments on three benchmarks demonstrate that our approach can significantly improve the accuracy of non-autoregressive models without multiple decoding iterations. In particular, GLAT achieves state-of-th e-art results among non-iterative models and even outperforms top iterative counterparts in some specific benchmarks.

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Offline Meta-Reinforcement Learning with Advantage Weighting Eric Mitchell, Rafael Rafailov, Xue Bin Peng, Sergey Levine, Chelsea Finn This paper introduces the offline meta-reinforcement learning (offline meta-RL) problem setting and proposes an algorithm that performs well in this setting. Of fline meta-RL is analogous to the widely successful supervised learning strategy of pre-training a model on a large batch of fixed, pre-collected data (possibly from various tasks) and fine-tuning the model to a new task with relatively lit tle data. That is, in offline meta-RL, we meta-train on fixed, pre-collected dat a from several tasks and adapt to a new task with a very small amount (less than 5 trajectories) of data from the new task. By nature of being offline, algorith ms for offline meta-RL can utilize the largest possible pool of training data av ailable and eliminate potentially unsafe or costly data collection during meta-t raining. This setting inherits the challenges of offline RL, but it differs sign ificantly because offline RL does not generally consider a) transfer to new task s or b) limited data from the test task, both of which we face in offline meta-R L. Targeting the offline meta-RL setting, we propose Meta-Actor Critic with Adva ntage Weighting (MACAW). MACAW is an optimization-based meta-learning algorithm that uses simple, supervised regression objectives for both the inner and outer loop of meta-training. On offline variants of common meta-RL benchmarks, we empi rically find that this approach enables fully offline meta-reinforcement learnin g and achieves notable gains over prior methods.

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Group Equivariant Stand-Alone Self-Attention For Vision

David W. Romero, Jean-Baptiste Cordonnier

We provide a general self-attention formulation to impose group equivariance to arbitrary symmetry groups. This is achieved by defining positional encodings that t are invariant to the action of the group considered. Since the group acts on the positional encoding directly, group equivariant self-attention networks (GSA-Nets) are steerable by nature. Our experiments on vision benchmarks demonstrate consistent improvements of GSA-Nets over non-equivariant self-attention networks

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A Simple and Effective Baseline for Out-of-Distribution Detection using Abstent ion

Sunil Thulasidasan, Sushil Thapa, Sayera Dhaubhadel, Gopinath Chennupati, Tanmoy Bhattacharya, Jeff Bilmes

Refraining from confidently predicting when faced with categories of inputs different from those seen during training is an important requirement for the safe deployment of deep learning systems. While simple to state, this has been a particularly challenging problem in deep learning, where models often end up making overconfident predictions in such situations. In this work we present a simple, but highly effective approach to deal with out-of-distribution detection that uses the principle of abstention: when encountering a sample from an unseen class, the desired behavior is to abstain from predicting. Our approach uses a network with an extra abstention class and is trained on a dataset that is augmented with an uncurated set that consists of a large number of out-of-distribution (OoD) samples that are assigned the label of the abstention class; the model is the trained to learn an effective discriminator between in and out-of-distribution samples.

We compare this relatively simple approach against a wide variety of more comp lex methods that have been proposed both for out-of-distribution detection as we ll as uncertainty modeling in deep learning, and empirically demonstrate its eff ectiveness on a wide variety of of benchmarks and deep architectures for image r ecognition and text classification, often outperforming existing approaches by s ignificant margins. Given the simplicity and effectiveness of this method, we pr opose that this approach be used as a new additional baseline for future work in this domain.

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LIME: Learning Inductive Bias for Primitives of Mathematical Reasoning Yuhuai Wu, Markus Norman Rabe, Wenda Li, Jimmy Ba, Roger Baker Grosse, Christian Szeg edy

While designing inductive bias in neural architectures has been widely studied, we hypothesize that transformer networks are flexible enough to learn inductive bias from suitable generic tasks. Here, we replace architecture engineering by e ncoding inductive bias in the form of datasets. Inspired by Peirce's view that d eduction, induction, and abduction form an irreducible set of reasoning primitiv es, we design three synthetic tasks that are intended to require the model to ha ve these three abilities. We specifically design these synthetic tasks in a way that they are devoid of mathematical knowledge to ensure that only the fundament al reasoning biases can be learned from these tasks. This defines a new pre-training methodology called ``"LIME" (Learning Inductive bias for Mathematical reasoning). Models trained with LIME significantly outperform vanilla transformers on three very different large mathematical reasoning benchmarks. Unlike dominating the computation cost as traditional pre-training approaches, LIME requires only a small fraction of the computation cost of the typical downstream task.

Lipschitz-Bounded Equilibrium Networks

Max Revay, Ruigang Wang, Ian Manchester

This paper introduces new parameterizations of equilibrium neural networks, i.e. networks defined by implicit equations. This model class includes standard multilayer

and residual networks as special cases. The new parameterization admits a Lipschitz bound during training via unconstrained optimization, i.e. no projections

or barrier functions are required. Lipschitz bounds are a common proxy for robustness and appear in many generalization bounds. Furthermore, compared to previous works we show well-posedness (existence of solutions) under less restrictive

conditions on the network weights and more natural assumptions on the activation functions: that they are monotone and slope restricted. These results are proved by establishing novel connections with convex optimization, operator splitting on non-Euclidean spaces, and contracting neural ODEs. In image classification

experiments we show that the Lipschitz bounds are very accurate and improve robustness to adversarial attacks.

Continuous Wasserstein-2 Barycenter Estimation without Minimax Optimization Alexander Korotin, Lingxiao Li, Justin Solomon, Evgeny Burnaev

Wasserstein barycenters provide a geometric notion of the weighted average of probability measures based on optimal transport. In this paper, we present a scala ble algorithm to compute Wasserstein-2 barycenters given sample access to the in put measures, which are not restricted to being discrete. While past approaches rely on entropic or quadratic regularization, we employ input convex neural networks and cycle-consistency regularization to avoid introducing bias. As a result, our approach does not resort to minimax optimization. We provide theoretical a nalysis on error bounds as well as empirical evidence of the effectiveness of the proposed approach in low-dimensional qualitative scenarios and high-dimensional quantitative experiments.

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PODS: Policy Optimization via Differentiable Simulation

Miguel Angel Zamora Mora, Momchil Peychev, Sehoon Ha, Martin Vechev, Stelian Coros Current reinforcement learning (RL) methods use simulation models as simple blac k-box oracles. In this paper, with the goal of improving the performance exhibit ed by RL algorithms, we explore a systematic way of leveraging the additional in formation provided by an emerging class of differentiable simulators. Building o n concepts established by Deterministic Policy Gradients (DPG) methods, the neur al network policies learned with our approach represent deterministic actions. I n a departure from standard methodologies, however, learning these policy does n ot hinge on approximations of the value function that must be learned concurrent ly in an actor-critic fashion. Instead, we exploit differentiable simulators to directly compute the analytic gradient of a policy's value function with respect to the actions it outputs. This, in turn, allows us to efficiently perform loca lly optimal policy improvement iterations. Compared against other state-of-the-a rt RL methods, we show that with minimal hyper-parameter tuning our approach con sistently leads to better asymptotic behavior across a set of payload manipulati on tasks that demand high precision.

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Regioned Episodic Reinforcement Learning

Jiarui Jin, Cong Chen, Ming Zhou, Weinan Zhang, Rasool Fakoor, David Wipf, Yong Yu, Jun Wang, Alex Smola

Goal-oriented reinforcement learning algorithms are often good at exploration, not exploitation, while episodic algorithms excel at exploitation, not exploration. As a result, neither of these approaches alone can lead to a sample efficient algorithm in complex environments with high dimensional state space and delayed rewards. Motivated by these observations and shortcomings, in this paper, we in troduce Regioned Episodic Reinforcement Learning (RERL) that combines the episodic and goal-oriented learning strengths and leads to a more sample efficient and effective algorithm. RERL achieves this by decomposing the space into several sub-space regions and constructing regions that lead to more effective exploration and high values trajectories. Extensive experiments on various benchmark tasks show that RERL outperforms existing methods in terms of sample efficiency and final rewards.

Identifying nonlinear dynamical systems with multiple time scales and long-range dependencies

Dominik Schmidt, Georgia Koppe, Zahra Monfared, Max Beutelspacher, Daniel Durstewitz A main theoretical interest in biology and physics is to identify the nonlinear dynamical system (DS) that generated observed time series. Recurrent Neural Netw orks (RNN) are, in principle, powerful enough to approximate any underlying DS, but in their vanilla form suffer from the exploding vs. vanishing gradients prob lem. Previous attempts to alleviate this problem resulted either in more complic ated, mathematically less tractable RNN architectures, or strongly limited the d ynamical expressiveness of the RNN.

Here we address this issue by suggesting a simple regularization scheme for vanilla RNN with ReLU activation which enables them to solve long-range dependency problems and express slow time scales, while retaining a simple mathematical structure which makes their DS properties partly analytically accessible. We prove two theorems that establish a tight connection between the regularized RNN dynamics and their gradients, illustrate on DS benchmarks that our regularization approach strongly eases the reconstruction of DS which harbor widely differing time scales, and show that our method is also en par with other long-range architectures like LSTMs on several tasks.

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Semantic-Guided Representation Enhancement for Self-supervised Monocular Trained Depth Estimation

Rui Li,Qing Mao,Pei Wang,Xiantuo He,Yu Zhu,Jinqiu Sun,Yanning Zhang Self-supervised depth estimation has shown its great effectiveness in producing high quality depth maps given only image sequences as input. However, its perfor mance usually drops when estimating on border areas or objects with thin structu res due to the limited depth representation ability. In this paper, we address t his problem by proposing a semantic-guided depth representation enhancement meth od, which promotes both local and global depth feature representations by levera ging rich contextual information. In stead of a single depth network as used in conventional paradigms, we propose an extra semantic segmentation branch to offe r extra contextual features for depth estimation. Based on this framework, we en hance the local feature representation by sampling and feeding the point-based f eatures that locate on the semantic edges to an individual Semantic-quided Edge Enhancement module (SEEM), which is specifically designed for promoting depth es timation on the challenging semantic borders. Then, we improve the global featur e representation by proposing a semantic-guided multi-level attention mechanism, which enhances the semantic and depth features by exploring pixel-wise correlat ions in the multi-level depth decoding scheme. Extensive experiments validate th e distinct superiority of our method in capturing highly accurate depth on the c hallenging image areas such as semantic category borders and thin objects. Both quantitative and qualitative experiments on KITTI show that our method outperfor ms the state-of-the-art methods.

Memory Augmented Design of Graph Neural Networks Tao Xiong, Liang Zhu, Ruofan Wu, Yuan Qi

The expressive power of graph neural networks (GNN) has drawn much interest rece ntly. Most existent work focused on measuring the expressiveness of GNN through the task of distinguishing between graphs. In this paper, we inspect the represe ntation limits of locally unordered messaging passing (LUMP) GNN architecture th rough the lens of  $\ensuremath{\verb{classification}}$ . For GNNs based on permutation inva riant local aggregators, we characterize graph-theoretic conditions under which such GNNs fail to discriminate simple instances, regardless of underlying archit ecture or network depth. To overcome this limitation, we propose a novel framewo rk to augment GNNs with global graph information called \emph{memory augmentatio n). Specifically, we allow every node in the original graph to interact with a q roup of memory nodes. For each node, information from all the other nodes in the graph can be gleaned through the relay of the memory nodes. For proper backbone architectures like GAT and GCN, memory augmented GNNs are theoretically shown t o be more expressive than LUMP GNNs. Empirical evaluations demonstrate the signi ficant improvement of memory augmentation. In particular, memory augmented GAT a nd GCN are shown to either outperform or closely match state-of-the-art performa nce across various benchmark datasets.

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RNNLogic: Learning Logic Rules for Reasoning on Knowledge Graphs Meng Qu,Junkun Chen,Louis-Pascal Xhonneux,Yoshua Bengio,Jian Tang

This paper studies learning logic rules for reasoning on knowledge graphs. Logic rules provide interpretable explanations when used for prediction as well as be ing able to generalize to other tasks, and hence are critical to learn. Existing methods either suffer from the problem of searching in a large search space (e.g., neural logic programming) or ineffective optimization due to sparse rewards (e.g., techniques based on reinforcement learning). To address these limitations, this paper proposes a probabilistic model called RNNLogic. RNNLogic treats logic rules as a latent variable, and simultaneously trains a rule generator as well as a reasoning predictor with logic rules. We develop an EM-based algorithm for optimization. In each iteration, the reasoning predictor is updated to explore some generated logic rules for reasoning. Then in the E-step, we select a set of high-quality rules from all generated rules with both the rule generator and reasoning predictor via posterior inference; and in the M-step, the rule generator is updated with the rules selected in the E-step. Experiments on four datasets prove the effectiveness of RNNLogic.

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ARMCMC: Online Model Parameters full probability Estimation in Bayesian Paradigm Pedram Agand, Mo Chen, Hamid D. Taghirad

Although the Bayesian paradigm provides a rigorous framework to estimate the ful

l probability distribution over unknown parameters, its online implementation can be challenging due to heavy computational costs. This paper proposes Adaptiv e Recursive Markov Chain Monte Carlo (ARMCMC) which estimates full probability d ensity of model parameters while alleviating shortcomings of conventional onlin e approaches. These shortcomings include: being solely able to account for Gauss ian noise, being applicable to systems with linear in the parameters (LIP) const raint, or having requirements on persistence excitation (PE). In ARMCMC, we propose a variable jump distribution, which depends on a temporal forgetting factor.

This allows one to adjust the trade-off between exploitation and exploration, depending on whether there is an abrupt change to the parameter being estimated. We prove that ARMCMC requires fewer samples to achieve the same precision and r eliability compared to conventional MCMC approaches. We demonstrate our approach on two challenging benchmark: the estimation of parameters in a soft bending actuator and the Hunt-Crossley dynamic model. Our method shows at-least 70% improvement in parameter point estimation accuracy and approximately 55% reduction in tracking error of the value of interest compared to recursive least squares and conventional MCMC.

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Learning to Solve Multi-Robot Task Allocation with a Covariant-Attention based N eural Architecture

Steve Paul, Payam Ghassemi, Souma Chowdhury

This paper presents a new graph neural network architecture over which reinforce ment learning can be performed to yield online policies for an important class o f multi-robot task allocation (MRTA) problems, one that involves tasks with dead lines, and robots with ferry range and payload constraints and multi-tour capabi lity. While drawing motivation from recent graph learning methods that learn to solve combinatorial optimization problems of the mTSP/VRP type, this paper seeks to provide better convergence and generalizability specifically for MRTA proble ms. The proposed neural architecture, called Covariant Attention-based Model or CAM, includes three main components: 1) an encoder: a covariant compositional no de-based embedding is used to represent each task as a learnable feature vector in manner that preserves the local structure of the task graph while being invar iant to the ordering of graph nodes; 2) context: a vector representation of the mission time and state of the concerned robot and its peers; and 2) a decoder: b uilds upon the attention mechanism to facilitate a sequential output. In order t o train the CAM model, a policy-gradient method based on REINFORCE is used. Whil e the new architecture can solve the broad class of MRTA problems stated above, to demonstrate real-world applicability we use a multi-unmanned aerial vehicle o r multi-UAV-based flood response problem for evaluation purposes. For comparison , the well-known attention-based approach (designed to solve mTSP/VRP problems) is extended and applied to the MRTA problem, as a baseline. The results show tha t the proposed CAM method is not only superior to the baseline AM method in term s of the cost function (over training and unseen test scenarios), but also provi de significantly faster convergence and yields learnt policies that can be execu ted within 2.4ms/robot, thereby allowing real-time application.

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Redesigning the Classification Layer by Randomizing the Class Representation Vectors

Gabi Shalev, Gal Lev Shalev, Yossi Keshet

Neural image classification models typically consist of two components. The firs t is an image encoder, which is responsible for encoding a given raw image into a representative vector. The second is the classification component, which is of ten implemented by projecting the representative vector onto target class vector s. The target class vectors, along with the rest of the model parameters, are es timated so as to minimize the loss function.

In this paper, we analyze how simple design choices for the classification layer affect the learning dynamics. We show that the standard cross-entropy training implicitly captures visual similarities between different classes, which might d eteriorate accuracy or even prevents some models from converging. We propose to

draw the class vectors randomly and set them as fixed during training, thus inva lidating the visual similarities encoded in these vectors. We analyze the effect s of keeping the class vectors fixed and show that it can increase the inter-class separability, intra-class compactness, and the overall model accuracy, while maintaining the robustness to image corruptions and the generalization of the le arned concepts.

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A Multi-Modal and Multitask Benchmark in the Clinical Domain

Yong Huang, Edgar Mariano Marroquin, Volodymyr Kuleshov

Healthcare represents one of the most promising application areas for machine le arning algorithms, including modern methods based on deep learning. ep learning algorithms perform best on large datasets and on unstructured modali ties such as text or image data; advances in deep learning have often been drive n by the availability of such large datasets. Here, we introduce Multi-Modal Mul titask MIMIC-III (M3) - a dataset and benchmark for evaluating machine learning algorithms in the healthcare domain. This dataset contains multi-modal patient data collected from intensive care units - including physiological time series, clinical notes, ECG waveforms, and tabular inputs — and defines six clinical tas ks - including predicting mortality, decompensation, readmission, and other outc omes - which serve as benchmarks for comparing algorithms. We introduce new mult i-modal and multitask models for this dataset, and show that they outperform pre vious state-of-the-art results that only rely on a subset of all tasks and modal ities. This highlights the potential of multitask and multi-modal learning to im prove the performance of algorithms in the healthcare domain. More generally, we envision M3 as a general resource that will help accelerate research in applyin g machine learning to healthcare.

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Selective Classification Can Magnify Disparities Across Groups Erik Jones, Shiori Sagawa, Pang Wei Koh, Ananya Kumar, Percy Liang

Selective classification, in which models can abstain on uncertain predictions, is a natural approach to improving accuracy in settings where errors are costly but abstentions are manageable. In this paper, we find that while selective clas sification can improve average accuracies, it can simultaneously magnify existin g accuracy disparities between various groups within a population, especially in the presence of spurious correlations. We observe this behavior consistently ac ross five vision and NLP datasets. Surprisingly, increasing abstentions can even decrease accuracies on some groups. To better understand this phenomenon, we st udy the margin distribution, which captures the model's confidences over all pre dictions. For symmetric margin distributions, we prove that whether selective cl assification monotonically improves or worsens accuracy is fully determined by t he accuracy at full coverage (i.e., without any abstentions) and whether the dis tribution satisfies a property we call left-log-concavity. Our analysis also sho ws that selective classification tends to magnify full-coverage accuracy dispari ties. Motivated by our analysis, we train distributionally-robust models that ac hieve similar full-coverage accuracies across groups and show that selective cla ssification uniformly improves each group on these models. Altogether, our resul ts suggest that selective classification should be used with care and underscore the importance of training models to perform equally well across groups at full coverage.

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A Provably Convergent and Practical Algorithm for Min-Max Optimization with Applications to GANs

Oren Mangoubi, Sushant Sachdeva, Nisheeth K Vishnoi

We present a first-order algorithm for nonconvex-nonconcave min-max optimization problems such as those that arise in training GANs. Our algorithm provably con verges in  $\infty \{0, L, b\}$  steps for any loss function  $\{0, L, b\}$  which is 0, c which is  $\{0, L\}$  which is  $\{0, L\}$  which is  $\{0, L\}$  which itz gradient. To achieve convergence, we 1) give a novel approximation to the global strategy of the max-player based on first-order algorithms such as gradient ascent, and 2) empower the min-player to look ahead and simulate the max-player

's response for arbitrarily many steps, but restrict the min-player to move according to updates sampled from a stochastic gradient oracle. Our algorithm, when used to train GANs on synthetic and real-world datasets, does not cycle, results in GANs that seem to avoid mode collapse, and achieves a training time per iter ation and memory requirement similar to gradient descent-ascent.

What are effective labels for augmented data? Improving robustness with AutoLabe 1

Yao Qin, Xuezhi Wang, Balaji Lakshminarayanan, Ed Chi, Alex Beutel

A wide breadth of research has devised data augmentation approaches that can imp rove both accuracy and generalization performance for neural networks. However, augmented data can end up being far from the clean data and what is the appropri ate label is less clear. Despite this, most existing work simply reuses the orig inal label from the clean data, and the choice of label accompanying the augment ed data is relatively less explored. In this paper, we propose AutoLabel to auto matically learn the labels for augmented data, based on the distance between the clean distribution and augmented distribution. AutoLabel is built on label smoo thing and is guided by the calibration-performance over a hold-out validation se t. We show that AutoLabel is a generic framework that can be easily applied to existing data augmentation methods, including AugMix, mixup, and adversarial training. Experiments on CIFAR-10, CIFAR-100 and ImageNet show that AutoLabel can improve models' accuracy and calibration performance, especially under distributional shift. Additionally, we demonstrate that AutoLabel can help adversarial training by bridging the gap between clean accuracy and adversarial robustness.

Individuality in the hive - Learning to embed lifetime social behaviour of honey bees

Benjamin Wild, David Dormagen, Michael L Smith, Tim Landgraf

Honey bees are a popular model for complex social systems, in which global behav ior emerges from the actions and interactions of thousands of individuals. While the average life of a bee is organized as a sequence of tasks roughly determine d by age, there is substantial variation at the individual level. For example, y oung bees can become foragers early in life, depending on the colony's needs. Us ing a unique dataset containing lifetime trajectories of all individuals over multiple generations in two honey bee colonies, we propose a new temporal matrix f actorization model that jointly learns the average developmental path and struct ured variations of individuals in the social network over their entire lives. Our method yields inherently interpretable embeddings that are biologically plausi ble and consistent over time, which allow one to compare individuals regardless of when, or in which colony, they lived. Our method provides a quantitative fram ework for understanding behavioral heterogeneity in complex social systems applicable in fields such as behavioral biology, social sciences, neuroscience, and information science.

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Matrix Shuffle-Exchange Networks for Hard 2D Tasks Em∎ls Ozoli∎š, Karlis Freivalds, Agris Šostaks

Convolutional neural networks have become the main tools for processing two-dime nsional data. They work well for images, yet convolutions have a limited recepti ve field that prevents its applications to more complex 2D tasks. We propose a new neural model, called Matrix Shuffle-Exchange network, that can efficiently exploit long-range dependencies in 2D data and has comparable speed to a convoluti onal neural network. It is derived from Neural Shuffle-Exchange network and has  $\mathcal{O}(\nos\{n\})$  layers and  $\mathcal{O}(\nos\{n\})$  total time and space complexity for processing a  $n \times \nos n$  data matrix. We show that the Matrix Shuffle-Exchange network is well-suited for algorithmic and logical reasoning tasks on matrices and dense graphs, exceeding convolutional and graph neural network baselines. Its distinct advantage is the capability of retaining full long-range dependency modelling when generalizing to larger instances — much larger than could be processed with models equipped with a dense attention mechanism.

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FedMix: Approximation of Mixup under Mean Augmented Federated Learning Tehrim Yoon, Sumin Shin, Sung Ju Hwang, Eunho Yang

Federated learning (FL) allows edge devices to collectively learn a model withou t directly sharing data within each device, thus preserving privacy and eliminat ing the need to store data globally. While there are promising results under the assumption of independent and identically distributed (iid) local data, current state-of-the-art algorithms suffer a performance degradation as the heterogenei ty of local data across clients increases. To resolve this issue, we propose a simple framework, \emph{Mean Augmented Federated Learning (MAFL)}, where clients send and receive \emph{averaged} local data, subject to the privacy requirements of target applications. Under our framework, we propose a new augmentation algorithm, named \emph{FedMix}, which is inspired by a phenomenal yet simple data augmentation method, Mixup, but does not require local raw data to be directly shared among devices. Our method shows greatly improved performance in the standard benchmark datasets of FL, under highly non-iid federated settings, compared to conventional algorithms.

Improving Calibration through the Relationship with Adversarial Robustness Yao Qin, Xuezhi Wang, Alex Beutel, Ed Chi

Neural networks lack adversarial robustness -- they are vulnerable to adversaria 1 examples that through small perturbations to inputs cause incorrect prediction s. Further, trust is undermined when models give miscalibrated uncertainty estim ates, i.e. the predicted probability is not a good indicator of how much we sho uld trust our model. In this paper, we study the connection between adversarial robustness and calibration on four classification networks and datasets. We fin d that the inputs for which the model is sensitive to small perturbations (are e asily attacked) are more likely to have poorly calibrated predictions. Based on this insight, we examine if calibration can be improved by addressing those adve rsarially unrobust inputs. To this end, we propose Adversarial Robustness based Adaptive Label Smoothing (AR-AdaLS) that integrates the correlations of adversar ial robustness and uncertainty into training by adaptively softening labels for an example based on how easily it can be attacked by an adversary. We find that our method, taking the adversarial robustness of the in-distribution data into c onsideration, leads to better calibration over the model even under distribution al shifts. In addition, AR-AdaLS can also be applied to an ensemble model to fur ther improve model's calibration.

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Memformer: The Memory-Augmented Transformer Qingyang Wu, Zhenzhong Lan, Jing Gu, Zhou Yu

Transformer models have obtained remarkable accomplishments in various NLP tasks . However, these models have efficiency issues on long sequences, as the complex ity of their self-attention module scales quadratically with the sequence length . To remedy the limitation, we present Memformer, a novel language model that ut ilizes a single unified memory to encode and retrieve past information. It inclu des a new optimization scheme, Memory Replay Back-Propagation, which promotes lo ng-range back-propagation through time with a significantly reduced memory requi rement. Memformer achieves  $\infty$  mathcal $\{0\}$  (n) time complexity and  $\infty$  mathcal $\{0\}$  (1) space complexity in processing long sequences, meaning that the model can handle an infinite length sequence during inference. Our model is also compatible with other self-supervised tasks to further improve the performance on language modeling. Experimental results show that Memformer outperforms the previous long-range sequence models on WikiText-103, including Transformer-XL and Compressive Transformer.

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In-N-Out: Pre-Training and Self-Training using Auxiliary Information for Out-of-Distribution Robustness

Sang Michael Xie, Ananya Kumar, Robbie Jones, Fereshte Khani, Tengyu Ma, Percy Liang Consider a prediction setting with few in-distribution labeled examples and many unlabeled examples both in- and out-of-distribution (OOD). The goal is to learn

a model which performs well both in-distribution and OOD. In these settings, au xiliary information is often cheaply available for every input. How should we be st leverage this auxiliary information for the prediction task? Empirically acro so three image and time-series datasets, and theoretically in a multi-task linear regression setting, we show that (i) using auxiliary information as input feat ures improves in-distribution error but can hurt OOD error; but (ii) using auxiliary information as outputs of auxiliary pre-training tasks improves OOD error. To get the best of both worlds, we introduce In-N-Out, which first trains a model with auxiliary inputs and uses it to pseudolabel all the in-distribution input s, then pre-trains a model on OOD auxiliary outputs and fine-tunes this model with the pseudolabels (self-training). We show both theoretically and empirically that In-N-Out outperforms auxiliary inputs or outputs alone on both in-distribution and OOD error.

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On Relating "Why?" and "Why Not?" Explanations

Alexey Ignatiev, Nina Narodytska, Nicholas Asher, Joao Marques-Silva

Explanations of Machine Learning (ML) models often address a 'Why?' question. Su ch explanations can be related with selecting feature-value pairs which are suff icient for the prediction. Recent work has investigated explanations that address

a 'Why Not?' question, i.e. finding a change of feature values that guarantee a change of prediction. Given their goals, these two forms of explaining predictions of ML models appear to be mostly unrelated. However, this paper demonstrates otherwise, and establishes a rigorous formal relationship between 'Why?' and 'Why Not?' explanations. Concretely, the paper proves that, for any given instance, 'Why?' explanations are minimal hitting sets of 'Why Not?' explanations and vice-versa. Furthermore, the paper devises novel algorithms for extracting and enum erating both forms of explanations.

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Learning Contextualized Knowledge Structures for Commonsense Reasoning Jun Yan, Mrigank Raman, Tianyu Zhang, Ryan Rossi, Handong Zhao, Sungchul Kim, Nedim Lipka, Xiang Ren

Recently, neural-symbolic architectures have achieved success on commonsense rea soning through effectively encoding relational structures retrieved from externa 1 knowledge graphs (KGs) and obtained state-of-the-art results in tasks such as (commonsense) question answering and natural language inference. However, curren t neural-symbolic reasoning methods rely on quality and contextualized knowledge structures (i.e., fact triples) that can be retrieved at the pre-processing stage and overlook challenges such as dealing with incompleteness of a KG (low cove rage), limited expressiveness of its relations, and irrelevant retrieved facts in the reasoning context.

In this paper, we present a novel neural-symbolic approach, named Hybrid Graph N etwork (HGN), which jointly generates feature representations for new triples (a s complement to the existing edges in the KG), determines relevance of the tripl es to the reasoning context, and learns graph model parameters for encoding the relational information. Our method learns a compact graph structure (comprising both retrieved and generated edges) through filtering edges that are unhelpful t o the reasoning process. We show marked improvements on three commonsense reason ing benchmarks and demonstrate the superiority of the learned graph structures w ith user studies.

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Sample-Efficient Automated Deep Reinforcement Learning

Jörg K.H. Franke, Gregor Koehler, André Biedenkapp, Frank Hutter

Despite significant progress in challenging problems across various domains, app lying state-of-the-art deep reinforcement learning (RL) algorithms remains chall enging due to their sensitivity to the choice of hyperparameters. This sensitivity can partly be attributed to the non-stationarity of the RL problem, potential ly requiring different hyperparameter settings at various stages of the learning process. Additionally, in the RL setting, hyperparameter optimization (HPO) req

uires a large number of environment interactions, hindering the transfer of the successes in RL to real-world applications. In this work, we tackle the issues of sample-efficient and dynamic HPO in RL. We propose a population-based automate d RL (AutoRL) framework to meta-optimize arbitrary off-policy RL algorithms. In this framework, we optimize the hyperparameters and also the neural architecture while simultaneously training the agent. By sharing the collected experience ac ross the population, we substantially increase the sample efficiency of the meta -optimization. We demonstrate the capabilities of our sample-efficient AutoRL ap proach in a case study with the popular TD3 algorithm in the MuJoCo benchmark su ite, where we reduce the number of environment interactions needed for meta-optimization by up to an order of magnitude compared to population-based training.

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Quantum Deformed Neural Networks Roberto Bondesan, Max Welling

We develop a new quantum neural network layer designed to run efficiently on a quantum computer but that can be simulated on a classical computer when restricted in the way it entangles input states. We first ask how a classical neural network architecture, both fully connected or convolutional, can be executed on a quantum computer using quantum phase estimation. We then deform the classical layer into a quantum design which entangles activations and weights into quantum superpositions. While the full model would need the exponential speedups delivered by a quantum computer, a restricted class of designs represent interesting new classical network layers that still use quantum features. We show that these quantum deformed neural networks can be trained and executed on normal data such as images, and even classically deliver modest improvements over standard architect ures.

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A Temporal Kernel Approach for Deep Learning with Continuous-time Information Da Xu, Chuanwei Ruan, Evren Korpeoglu, Sushant Kumar, Kannan Achan Sequential deep learning models such as RNN, causal CNN and attention mechanism do not readily consume continuous-time information. Discretizing the temporal da ta, as we show, causes inconsistency even for simple continuous-time processes. Current approaches often handle time in a heuristic manner to be consistent with the existing deep learning architectures and implementations. In this paper, we provide a principled way to characterize continuous-time systems using deep lea rning tools. Notably, the proposed approach applies to all the major deep learni ng architectures and requires little modifications to the implementation. The cr itical insight is to represent the continuous-time system by composing neural ne tworks with a temporal kernel, where we gain our intuition from the recent advan cements in understanding deep learning with Gaussian process and neural tangent kernel. To represent the temporal kernel, we introduce the random feature approa ch and convert the kernel learning problem to spectral density estimation under reparameterization. We further prove the convergence and consistency results eve n when the temporal kernel is non-stationary, and the spectral density is misspe cified. The simulations and real-data experiments demonstrate the empirical effe ctiveness of our temporal kernel approach in a broad range of settings.

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Explainability for fair machine learning

Tom Begley, Tobias Schwedes, Christopher Frye, Ilya Feige

As the decisions made or influenced by machine learning models increasingly impact our lives, it is crucial to detect, understand, and mitigate unfairness. But even simply determining what ``unfairness'' should mean in a given context is no n-trivial: there are many competing definitions, and choosing between them often requires a deep understanding of the underlying task. It is thus tempting to us e model explainability to gain insights into model fairness, however existing ex plainability tools do not reliably indicate whether a model is indeed fair. In this work we present a new approach to explaining fairness in machine learning, be ased on the Shapley value paradigm. Our fairness explanations attribute a model's overall unfairness to individual input features, even in cases where the model does not operate on sensitive attributes directly. Moreover, motivated by the l

inearity of Shapley explainability, we propose a meta algorithm for applying exi sting training-time fairness interventions, wherein one trains a perturbation to the original model, rather than a new model entirely. By explaining the original model, the perturbation, and the fair-corrected model, we gain insight into the accuracy-fairness trade-off that is being made by the intervention. We further show that this meta algorithm enjoys both flexibility and stability benefits with no loss in performance.

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Convex Regularization behind Neural Reconstruction

Arda Sahiner, Morteza Mardani, Batu Ozturkler, Mert Pilanci, John M. Pauly

Neural networks have shown tremendous potential for reconstructing high-resoluti on images in inverse problems. The non-convex and opaque nature of neural networ ks, however, hinders their utility in sensitive applications such as medical imaging. To cope with this challenge, this paper advocates a convex duality framework that makes a two-layer fully-convolutional ReLU denoising network amenable to convex optimization. The convex dual network not only offers the optimum training with convex solvers, but also facilitates interpreting training and prediction. In particular, it implies training neural networks with weight decay regularization induces path sparsity while the prediction is piecewise linear filtering. A range of experiments with MNIST and fastMRI datasets confirm the efficacy of the dual network optimization problem.

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A Representational Model of Grid Cells' Path Integration Based on Matrix Lie Algebras

Ruiqi Gao, Jianwen Xie, Xue-Xin Wei, Song-Chun Zhu, Ying Nian Wu

The grid cells in the mammalian medial entorhinal cortex exhibit striking hexago n firing patterns when the agent navigates in the open field. It is hypothesized that the grid cells are involved in path integration so that the agent is aware of its self-position by accumulating its self-motion. Assuming the grid cells f orm a vector representation of self-position, we elucidate a minimally simple re current model for grid cells' path integration based on two coupled matrix Lie a lgebras that underlie two coupled rotation systems that mirror the agent's self-motion: (1) When the agent moves along a certain direction, the vector is rotate d by a generator matrix. (2) When the agent changes direction, the generator matrix is rotated by another generator matrix. Our experiments show that our model learns hexagonal grid response patterns that resemble the firing patterns observed from the grid cells in the brain. Furthermore, the learned model is capable of near exact path integration, and it is also capable of error correction. Our model is novel and simple, with explicit geometric and algebraic structures.

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Vector-output ReLU Neural Network Problems are Copositive Programs: Convex Analy sis of Two Layer Networks and Polynomial-time Algorithms
Arda Sahiner, Tolga Ergen, John M. Pauly, Mert Pilanci

We describe the convex semi-infinite dual of the two-layer vector-output ReLU ne ural network training problem. This semi-infinite dual admits a finite dimension al representation, but its support is over a convex set which is difficult to ch aracterize. In particular, we demonstrate that the non-convex neural network tra ining problem is equivalent to a finite-dimensional convex copositive program. O ur work is the first to identify this strong connection between the global optim a of neural networks and those of copositive programs. We thus demonstrate how n eural networks implicitly attempt to solve copositive programs via semi-nonnegat ive matrix factorization, and draw key insights from this formulation. We descri be the first algorithms for provably finding the global minimum of the vector ou tput neural network training problem, which are polynomial in the number of samp les for a fixed data rank, yet exponential in the dimension. However, in the cas e of convolutional architectures, the computational complexity is exponential in only the filter size and polynomial in all other parameters. We describe the ci rcumstances in which we can find the global optimum of this neural network train ing problem exactly with soft-thresholded SVD, and provide a copositive relaxati on which is guaranteed to be exact for certain classes of problems, and which co

rresponds with the solution of Stochastic Gradient Descent in practice.

Multi-Level Generative Models for Partial Label Learning with Non-random Label N oise

Yan Yan, Yuhong Guo

Partial label (PL) learning tackles the problem where each training instance is associated with a set of candidate labels that include both the true label and i rrelevant noise labels. In this paper, we propose a novel multi-level generative model for partial label learning (MGPLL), which tackles the PL problem by learn ing both a label level adversarial generator and a feature level adversarial generator under a bi-directional mapping framework between the label vectors and the data samples. MGPLL uses a conditional noise label generation network to model the non-random noise labels and perform label denoising, and uses a multi-class predictor to map the training instances to the denoised label vectors, while a conditional data feature generator is used to form an inverse mapping from the denoised label vectors to data samples. Both the noise label generator and the data feature generator are learned in an adversarial manner to match the observed candidate labels and data features respectively. We conduct extensive experiments on both synthesized and real-world partial label datasets. The proposed approach demonstrates the state-of-the- art performance for partial label learning.

Beyond COVID-19 Diagnosis: Prognosis with Hierarchical Graph Representation Lear ning

CHEN LIU, Jinze Cui, Dailin Gan, Guosheng Yin

Coronavirus disease 2019 (COVID-19), the pandemic that is spreading fast globall y, has caused over 34 million confirmed cases. Apart from the reverse transcript ion polymerase chain reaction (RT-PCR), the chest computed tomography (CT) is vi ewed as a standard and effective tool for disease diagnosis and progression moni toring. We propose a diagnosis and prognosis model based on graph convolutional networks (GCNs). The chest CT scan of a patient, typically involving hundreds of sectional images in sequential order, is formulated as a densely connected weig hted graph. A novel distance aware pooling is proposed to abstract the node info rmation hierarchically, which is robust and efficient for such densely connected graphs. Our method, combining GCNs and distance aware pooling, can integrate th e information from all slices in the chest CT scans for optimal decision making, which leads to the state-of-the-art accuracy in the COVID-19 diagnosis and prog nosis. With less than 1% number of total parameters in the baseline 3D ResNet mo del, our method achieves 94.8% accuracy for diagnosis. It has a 2.4% improvement compared with the baseline model on the same dataset. In addition, we can local ize the most informative slices with disease lesions for COVID-19 within a large sequence of chest CT images. The proposed model can produce visual explanations for the diagnosis and prognosis, making the decision more transparent and expla inable, while RT-PCR only leads to the test result with no prognosis information . The prognosis analysis can help hospitals or clinical centers designate medica l resources more efficiently and better support clinicians to determine the prop er clinical treatment.

H-divergence: A Decision-Theoretic Probability Discrepancy Measure Shengjia Zhao, Abhishek Sinha, Yutong He, Aidan Perreault, Jiaming Song, Stefano Ermon

Measuring the discrepancy between two probability distributions is a fundamental problem in machine learning and statistics. Based on ideas from decision theory , we investigate a new class of discrepancies that are based on the optimal decision loss. Two probability distributions are different if the optimal decision loss is higher on the mixture distribution than on each individual distribution. We show that this generalizes popular notions of discrepancy measurements such a sthe Jensen Shannon divergence and the maximum mean discrepancy. We apply our a pproach to two-sample tests, which evaluates whether two sets of samples come from the same distribution. On various benchmark and real datasets, we demonstrate that tests based on our generalized notion of discrepancy is able to achieve su

perior test power. We also apply our approach to sample quality evaluation as an alternative to the FID score, and to understanding the effects of climate change on different social and economic activities.

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AttackDist: Characterizing Zero-day Adversarial Samples by Counter Attack Simin Chen, Zihe Song, Lei Ma, Cong Liu, Wei Yang

Deep Neural Networks (DNNs) have been shown vulnerable to adversarial attacks, w hich could produce adversarial samples that easily fool the state-of-the-art DNN s. The harmfulness of adversarial attacks calls for the defense mechanisms under fire. However, the relationship between adversarial attacks and defenses is lik e spear and shield. Whenever a defense method is proposed, a new attack would be followed to bypass the defense immediately. Devising a definitive defense again st new attacks~(zero-day attacks) is proven to be challenging. We tackle this ch allenge by characterizing the intrinsic properties of adversarial samples, via m easuring the norm of the perturbation after a counterattack. Our method is based on the idea that, from an optimization perspective, adversarial samples would b e closer to the decision boundary; thus the perturbation to counterattack advers arial samples would be significantly smaller than normal cases. Motivated by thi s, we propose AttackDist, an attack-agnostic property to characterize adversaria 1 samples. We first theoretically clarify under which condition AttackDist can p rovide a certified detecting performance, then show that a potential application of AttackDist is distinguishing zero-day adversarial examples without knowing t he mechanisms of new attacks. As a proof-of-concept, we evaluate AttackDist on t wo widely used benchmarks. The evaluation results show that AttackDist can outpe rform the state-of-the-art detection measures by large margins in detecting zero -day adversarial attacks.

Learning Better Structured Representations Using Low-rank Adaptive Label Smoothing

Asish Ghoshal, Xilun Chen, Sonal Gupta, Luke Zettlemoyer, Yashar Mehdad

Training with soft targets instead of hard targets has been shown to improve per formance and calibration of deep neural networks. Label smoothing is a popular w ay of computing soft targets, where one-hot encoding of a class is smoothed with a uniform distribution. Owing to its simplicity, label smoothing has found wide -spread use for training deep neural networks on a wide variety of tasks, rangin g from image and text classification to machine translation and semantic parsing . Complementing recent empirical justification for label smoothing, we obtain PA C-Bayesian generalization bounds for label smoothing and show that the generaliz ation error depends on the choice of the noise (smoothing) distribution. Then we propose low-rank adaptive label smoothing (LORAS): a simple yet novel method fo r training with learned soft targets that generalizes label smoothing and adapts to the latent structure of the label space in structured prediction tasks. Spec ifically, we evaluate our method on semantic parsing tasks and show that trainin g with appropriately smoothed soft targets can significantly improve accuracy an d model calibration, especially in low-resource settings. Used in conjunction wi th pre-trained sequence-to-sequence models, our method achieves state of the art performance on four semantic parsing data sets. LORAS can be used with any mode 1, improves performance and implicit model calibration without increasing the n umber of model parameters, and can be scaled to problems with large label spaces containing tens of thousands of labels.

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Adaptive Gradient Methods Can Be Provably Faster than SGD with Random Shuffling Xunpeng Huang, Vicky Jiaqi Zhang, Hao Zhou, Lei Li

Adaptive gradient methods have been shown to outperform SGD in many tasks of training neural networks. However, the acceleration effect is yet to be explained in the non-convex setting since the best convergence rate of adaptive gradient methods is worse than that of SGD in literature. In this paper, we prove that adaptive gradient methods exhibit an  $\frac{1}{2} \left( \frac{1}{2} \right)$ -convergence rate for finding first-order stationary points under the strong growth condition, which improves previous best convergence results of adaptive gradient methods and ran

dom shuffling SGD by factors of  $\$  and  $\$  a

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Towards certifying  $\left| \right|$  robustness using Neural networks with  $\left| \right|$  fty- dist Neurons

Bohang Zhang, Zhou Lu, Tianle Cai, Di He, Liwei Wang

It is well-known that standard neural networks, even with a high classification accuracy, are vulnerable to small \$\ell\_\infty\$ perturbations. Many attempts hav e been tried to learn a network that can resist such adversarial attacks. Howeve r, most previous works either can only provide empirical verification of the def ense to a particular attack method or can only develop a theoretical guarantee o f the model robustness in limited scenarios. In this paper, we develop a theoret ically principled neural network that inherently resists \$\ell\_\infty\$ perturbat ions. In particular, we design a novel neuron that uses \$\ell\_\infty\$ distance a s its basic operation, which we call \$\ell\_\infty\$-dist neuron. We show that the \$\ell\_\infty\$-dist neuron is naturally a 1-Lipschitz function with respect to t he \$\ell\_\infty\$ norm, and the neural networks constructed with \$\ell\_\infty\$-di st neuron (\$\ell\_{\infty}\$-dist Nets) enjoy the same property. This directly pro vides a theoretical guarantee of the certified robustness based on the margin of the prediction outputs. We further prove that the \$\ell\_{\infty}\$-dist Nets hav e enough expressiveness power to approximate any 1-Lipschitz function, and can g eneralize well as the robust test error can be upper-bounded by the performance of a large margin classifier on the training data. Preliminary experiments show that even without the help of adversarial training, the learned networks with hi gh classification accuracy are already provably robust.

Understanding the role of importance weighting for deep learning Da Xu, Yuting Ye, Chuanwei Ruan

The recent paper by Byrd & Lipton (2019), based on empirical observations, raise s a major concern on the impact of importance weighting for the over-parameteriz ed deep learning models. They observe that as long as the model can separate the training data, the impact of importance weighting diminishes as the training proceeds. Nevertheless, there lacks a rigorous characterization of this phenomenon. In this paper, we provide formal characterizations and theoretical justifications on the role of importance weighting with respect to the implicit bias of gradient descent and margin-based learning theory. We reveal both the optimization dynamics and generalization performance under deep learning models. Our work not only explains the various novel phenomenons observed for importance weighting in deep learning, but also extends to the studies where the weights are being optimized as part of the model, which applies to a number of topics under active research.

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Powers of layers for image-to-image translation

Hugo Touvron, Matthijs Douze, Matthieu Cord, Herve Jegou

We propose a simple architecture to address unpaired image-to-image translation tasks: style or class transfer, denoising, deblurring, deblocking, etc.

We start from an image autoencoder architecture with fixed weights.

For each task we learn a residual block operating in the latent space, which is iteratively called until the target domain is reached.

A specific training schedule is required to alleviate the exponentiation effect of the iterations.

At test time, it offers several advantages: the number of weight parameters is limited and the compositional design allows one to modulate the strength of the transformation with the number of iterations.

This is useful, for instance, when the type or amount of noise to suppress is no t known in advance.

Experimentally, we show that the performance of our model is comparable or bette r than CycleGAN and Nice-GAN with fewer parameters.

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Training GANs with Stronger Augmentations via Contrastive Discriminator Jongheon Jeong, Jinwoo Shin

Recent works in Generative Adversarial Networks (GANs) are actively revisiting v arious data augmentation techniques as an effective way to prevent discriminator overfitting. It is still unclear, however, that which augmentations could actua lly improve GANs, and in particular, how to apply a wider range of augmentations in training. In this paper, we propose a novel way to address these questions b y incorporating a recent contrastive representation learning scheme into the GAN discriminator, coined ContraD. This "fusion" enables the discriminators to work with much stronger augmentations without increasing their training instability, thereby preventing the discriminator overfitting issue in GANs more effectively . Even better, we observe that the contrastive learning itself also benefits fro m our GAN training, i.e., by maintaining discriminative features between real an d fake samples, suggesting a strong coherence between the two worlds: good contr astive representations are also good for GAN discriminators, and vice versa. Our experimental results show that GANs with ContraD consistently improve FID and I S compared to other recent techniques incorporating data augmentations, still ma intaining highly discriminative features in the discriminator in terms of the li near evaluation. Finally, as a byproduct, we also show that our GANs trained in an unsupervised manner (without labels) can induce many conditional generative m odels via a simple latent sampling, leveraging the learned features of ContraD. Code is available at https://github.com/jh-jeong/ContraD.

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A Unified Bayesian Framework for Discriminative and Generative Continual Learnin

Abhishek Kumar, Sunabha Chatterjee, Piyush Rai

Continual Learning is a learning paradigm where learning systems are trained on a sequence of tasks. The goal here is to perform well on the current task withou t suffering from a performance drop on the previous tasks. Two notable direction s among the recent advances in continual learning with neural networks are (1) v ariational Bayes based regularization by learning priors from previous tasks, an d, (2) learning the structure of deep networks to adapt to new tasks. So far, th ese two approaches have been orthogonal. We present a novel Bayesian framework f or continual learning based on learning the structure of deep neural networks, a ddressing the shortcomings of both these approaches. The proposed framework lear ns the deep structure for each task by learning which weights to be used, and su pports inter-task transfer through the overlapping of different sparse subsets o f weights learned by different tasks. An appealing aspect of our proposed contin ual learning framework is that it is applicable to both discriminative (supervis ed) and generative (unsupervised) settings. Experimental results on supervised a nd unsupervised benchmarks shows that our model performs comparably or better th an recent advances in continual learning.

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Neural Partial Differential Equations with Functional Convolution Ziqian Wu, Xingzhe He, Michael Zhang, Yijun Li, Cheng Yang, Rui Liu, Shiying Xiong, Bo Zhu

We present a lightweighted neural PDE representation to discover the hidden structure and predict the solution of different nonlinear PDEs. Our key idea is to leverage the prior of ``"translational similarity" of numerical PDE differential operators to drastically reduce the scale of learning model and training data. We implemented three central network components, including a neural functional convolution operator, a Picard forward iterative procedure, and an adjoint backward gradient calculator. Our novel paradigm fully leverages the multifaceted priors that stem from the sparse and smooth nature of the physical PDE solution manified and the various mature numerical techniques such as adjoint solver, linearization, and iterative procedure to accelerate the computation. We demonstrate the efficacy of our method by robustly discovering the model and accurately predicting the solutions of various types of PDEs with small-scale networks and training sets. We highlight that all the PDE examples we showed were trained with up to

8 data samples and within 325 network parameters.

Transformers are Deep Infinite-Dimensional Non-Mercer Binary Kernel Machines Matthew A Wright, Joseph E. Gonzalez

Despite their ubiquity in core AI fields like natural language processing, the m echanics of deep attention-based neural networks like the ``Transformer'' model are not fully understood. In this article, we present a new perspective towards understanding how Transformers work. In particular, we show that the ``"dot-product attention" that is the core of the Transformer's operation can be characteri zed as a kernel learning method on a pair of Banach spaces. In particular, the T ransformer's kernel is characterized as having an infinite feature dimension. Al ong the way we generalize the standard kernel learning problem to what we term a "binary" kernel learning problem, where data come from two input domains and a response is defined for every cross-domain pair. We prove a new representer theo rem for these binary kernel machines with non-Mercer (indefinite, asymmetric) ke rnels (implying that the functions learned are elements of reproducing kernel Ba nach spaces rather than Hilbert spaces), and also prove a new universal approxim ation theorem showing that the Transformer calculation can learn any binary non-Mercer reproducing kernel Banach space pair. We experiment with new kernels in T ransformers, and obtain results that suggest the infinite dimensionality of the standard Transformer kernel is partially responsible for its performance. This p aper's results provide a new theoretical understanding of a very important but p oorly understood model in modern machine learning.

Dynamic Feature Selection for Efficient and Interpretable Human Activity Recognition

Randy Ardywibowo, Shahin Boluki, Zhangyang Wang, Bobak J Mortazavi, Shuai Huang, Xiao ning Qian

In many machine learning tasks, input features with varying degrees of predictive capability are usually acquired at some cost. For example, in human activity recognition (HAR) and mobile health (mHealth) applications, monitoring performance should be achieved with a low cost to gather different sensory features, as maintaining sensors incur monetary, computation, and energy cost. We propose an adaptive feature selection method that dynamically selects features for prediction at any given time point. We formulate this problem as an \$\ell\_0\$ minimization problem across time, and cast the combinatorial optimization problem into a stoc hastic optimization formulation. We then utilize a differentiable relaxation to make the problem amenable to gradient-based optimization. Our evaluations on four activity recognition datasets show that our method achieves a favorable trade-off between performance and the number of features used. Moreover, the dynamical ly selected features of our approach are shown to be interpretable and associate d with the actual activity types.

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Deep \$k\$-NN Label Smoothing Improves Reproducibility of Neural Network Predictions

Dara Bahri, Heinrich Jiang

Training modern neural networks is an inherently noisy process that can lead to high \emph{prediction churn}-- disagreements between re-trainings of the same mo del due to factors such as randomization in the parameter initialization and min i-batches-- even when the trained models all attain high accuracies. Such prediction churn can be very undesirable in practice. In this paper, we present several baselines for reducing churn and show that utilizing the \$k\$-NN predictions to smooth the labels results in a new and principled method that often outperforms the baselines on churn while improving accuracy on a variety of benchmark classification tasks and model architectures.

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Variational Structured Attention Networks for Dense Pixel-Wise Prediction Guanglei Yang, Paolo Rota, Xavier Alameda-Pineda, Dan Xu, Mingli Ding, Elisa Ricci State-of-the-art performances in dense pixel-wise prediction tasks are obtained with specifically designed convolutional networks. These models often benefit fr om attention mechanisms that allow better learning of deep representations. Rece nt works showed the importance of estimating both spatial—and channel—wise atte ntion tensors. In this paper, we propose a unified approach to jointly estimate spatial attention maps and channel attention vectors so as to structure the resu lting attention tensor. Moreover, we integrate the estimation of the attention w ithin a probabilistic framework, leading to VarIational STructured Attention net works(VISTA). We implement the inference rules within the neural network, thus a llowing for joint learning of the probabilistic and the CNN front—end parameters . Importantly, as demonstrated by our extensive empirical evaluation on six larg e—scale datasets VISTA outperforms the state—of—the—art in multiple continuous a nd discrete pixel—level prediction tasks, thus confirming the benefit of structuring the attention tensor and of inferring it within a probabilistic formulation

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Private Image Reconstruction from System Side Channels Using Generative Models Yuanyuan Yuan, Shuai Wang, Junping Zhang

System side channels denote effects imposed on the underlying system and hardwar e when running a program, such as its accessed CPU cache lines. Side channel ana lysis (SCA) allows attackers to infer program secrets based on observed side channel signals. Given the ever-growing adoption of machine learning as a service (MLaaS), image analysis software on cloud platforms has been exploited by reconst ructing private user images from system side channels. Nevertheless, to date, SC A is still highly challenging, requiring technical knowledge of victim software's internal operations. For existing SCA attacks, comprehending such internal operations requires heavyweight program analysis or manual efforts.

This research proposes an attack framework to reconstruct private user images processed by media software via system side channels. The framework forms an effective workflow by incorporating convolutional networks, variational autoencoders, and generative adversarial networks. Our evaluation of two popular side channels shows that the reconstructed images consistently match user inputs, making privacy leakage attacks more practical. We also show surprising results that even one-bit data read/write pattern side channels, which are deemed minimally informative, can be used to reconstruct quality images using our framework.

RMSprop converges with proper hyper-parameter

Naichen Shi, Dawei Li, Mingyi Hong, Ruoyu Sun

Despite the existence of divergence examples, RMSprop remains

one of the most popular algorithms in machine learning. Towards closing the gap between theory and practice, we prove that RMSprop converges with proper choice of hyper-parameters under certain conditions. More specifically, we prove that w hen the hyper-parameter \$\beta\_2\$ is close enough to \$1\$, RMSprop and its random shuffling version converge to a bounded region in general, and to critical poin ts in the interpolation regime. It is worth mentioning that our results do not d epend on ``bounded gradient" assumption, which is often the key assumption uti lized by existing theoretical work for Adam-type adaptive gradient method. Removing this assumption allows us to establish a phase transition from divergence to non-divergence for RMSprop.

Finally, based on our theory, we conjecture that in practice there is a critical threshold  $f(\frac{2^*}{\beta})$ , such that RMSprop generates reasonably good results only if  $1>\beta_2 \ f(\frac{2^*}{\beta})$ . We provide empirical evidence for such a phase transition in our numerical experiments.

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Estimating Treatment Effects via Orthogonal Regularization

Tobias Hatt, Stefan Feuerriegel

Decision-making often requires accurate estimation of causal effects from observ ational data. This is challenging as outcomes of alternative decisions are not o bserved and have to be estimated. Previous methods estimate outcomes based on un confoundedness but neglect any constraints that unconfoundedness imposes on the outcomes. In this paper, we propose a novel regularization framework in which we formalize unconfoundedness as an orthogonality constraint. We provide theoretic al guarantees that this yields an asymptotically normal estimator for the average causal effect. Compared to other estimators, its asymptotic variance is strictly smaller. Based on our regularization framework, we develop deep orthogonal networks for unconfounded treatments (DONUT) which learn outcomes that are orthogonal to the treatment assignment. Using a variety of benchmark datasets for causal inference, we demonstrate that DONUT outperforms the state-of-the-art substantially.

On Nondeterminism and Instability in Neural Network Optimization Cecilia Summers, Michael J. Dinneen

Optimization nondeterminism causes uncertainty when improving neural networks, w ith small changes in performance difficult to discern from run-to-run variabilit y. While uncertainty can be reduced by training multiple copies of a model with different random seeds, doing so is time-consuming, costly, and makes reproducib ility challenging. Despite this, little attention has been paid towards establis hing an understanding of this problem. In this work, we establish an experimenta 1 protocol for understanding the effect of optimization nondeterminism on model diversity, which allows us to study the independent effects of a variety of sour ces of nondeterminism. Surprisingly, we find that each source of nondeterminism all have similar effects on multiple measures of model diversity. To explain thi s intriguing fact, we examine and identify the instability of model training, wh en taken as an end-to-end procedure, as the key determinant. We show that even o ne-bit changes in initial model parameters result in models that converge to vas tly different values. Last, we demonstrate that recent methods in accelerated mo del ensembling hold promise for reducing the effects of instability on run-to-ru n variability.

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Novelty Detection via Robust Variational Autoencoding Chieh-Hsin Lai, Dongmian Zou, Gilad Lerman

We propose a new method for novelty detection that can tolerate high corruption of the training points, whereas previous works assumed either no or very low cor ruption. Our method trains a robust variational autoencoder (VAE), which aims to generate a model for the uncorrupted training points. To gain robustness to high corruption, we incorporate the following four changes to the common VAE: 1. Extracting crucial features of the latent code by a carefully designed dimension reduction component for distributions; 2. Modeling the latent distribution as a mixture of Gaussian low-rank inliers and full-rank outliers, where the testing on ly uses the inlier model; 3. Applying the Wasserstein-1 metric for regularization, instead of the Kullback-Leibler (KL) divergence; and 4. Using a least absolute deviation error for reconstruction. We establish both robustness to outliers a nd suitability to low-rank modeling of the Wasserstein metric as opposed to the KL divergence. We illustrate state-of-the-art results on standard benchmarks for novelty detection.

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A Unified View on Graph Neural Networks as Graph Signal Denoising Yao Ma, Xiaorui Liu, Tong Zhao, Yozen Liu, Jiliang Tang, Neil Shah Graph Neural Networks (GNNs) have risen to prominence in learning representation s for graph structured data. A single GNN layer typically consists of a feature transformation and a feature aggregation operation. The former normally uses fee d-forward networks to transform features, while the latter aggregates the transformed features over the graph. Numerous recent works have proposed GNN models with different designs in the aggregation operation. In this work, we establish mathematically that the aggregation processes in a group of representative GNN models including GCN, GAT, PPNP, and APPNP can be regarded as (approximately) solving a graph denoising problem with a smoothness assumption. Such a unified view a cross GNNs not only provides a new perspective to understand a variety of aggregation operations but also enables us to develop a unified graph neural network framework UGNN. To demonstrate its promising potential, we instantiate a novel GN

Physics-aware Spatiotemporal Modules with Auxiliary Tasks for Meta-Learning Sungyong Seo, Chuizheng Meng, Sirisha Rambhatla, Yan Liu

Modeling the dynamics of real-world physical systems is critical for spatiotempo ral prediction tasks, but challenging when data is limited. The scarcity of real -world data and the difficulty in reproducing the data distribution hinder direc tly applying meta-learning techniques. Although the knowledge of governing parti al differential equations (PDE) of the data can be helpful for the fast adaptati on to few observations, it is mostly infeasible to exactly find the equation for observations in real-world physical systems. In this work, we propose a framewo rk, physics-aware meta-learning with auxiliary tasks whose spatial modules incor porate PDE-independent knowledge and temporal modules utilize the generalized fe atures from the spatial modules to be adapted to the limited data, respectively. The framework is inspired by a local conservation law expressed mathematically as a continuity equation and does not require the exact form of governing equati on to model the spatiotemporal observations. The proposed method mitigates the  $\boldsymbol{n}$ eed for a large number of real-world tasks for meta-learning by leveraging spati al information in simulated data to meta-initialize the spatial modules. We appl y the proposed framework to both synthetic and real-world spatiotemporal predict ion tasks and demonstrate its superior performance with limited observations.

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Deep Q-Learning with Low Switching Cost Shusheng Xu, Simon Shaolei Du, Yi Wu

We initiate the study on deep reinforcement learning problems that require low s witching cost, i.e., small number of policy switches during training. Such a re quirement is ubiquitous in many applications, such as medical domains, recommend ation systems, education, robotics, dialogue agents, etc, where the deployed policy that actually interacts with the environment cannot change frequently. Our paper investigates different policy switching criteria based on deep Q-networks and further proposes an adaptive approach based on the feature distance between the deployed Q-network and the underlying learning Q-network. Through extensive experiments on a medical treatment environment and a collection of the Atari games, we find our feature-switching criterion substantially decreases the switching cost while maintains a similar sample efficiency to the case without the low-switching-cost constraint. We also complement this empirical finding with a theoretical justification from a representation learning perspective.

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Constraint-Driven Explanations of Black-Box ML Models

Aditya Aniruddha Shrotri, Nina Narodytska, Alexey Ignatiev, Joao Marques-Silva, Kuld eep S. Meel, Moshe Vardi

Modern machine learning techniques have enjoyed widespread success, but are plag ued by lack of transparency in their decision making, which has led to the emerg ence of the field of explainable AI. One popular approach called LIME, seeks to explain an opaque model's behavior, by training a surrogate interpretable model to be locally faithful on perturbed instances.

Despite being model-agnostic and easy-to-use, it is known that LIME's explanations can be unstable and are susceptible to adversarial attacks as a result of Out-Of-Distribution (OOD) sampling. Quality of explanations is also calculated heur istically, and lacks a strong theoretical foundation. In spite of numerous attempts to remedy some of these issues, making the LIME framework more trustworthy and reliable remains an open problem.

In this work, we demonstrate that the OOD sampling problem stems from rigidity of the perturbation procedure. To resolve this issue, we propose a theoreticall y sound framework based on uniform sampling of user-defined subspaces. Through 1 ogical constraints, we afford the end-user the flexibility to delineate the prec ise subspace of the input domain to be explained. This not only helps mitigate the problem of OOD sampling, but also allow experts to drill down and uncover bug

s deep inside the model. For testing the quality of generated explanations, we develop an efficient estimation algorithm that is able to certifiably measure the true value of metrics such as fidelity up to any desired degree of accuracy, which can help in building trust in the generated explanations. Our framework call ed CLIME can be applied to any ML model, and extensive experiments demonstrate its versatility on real-world problems.

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Learning to Make Decisions via Submodular Regularization

Ayya Alieva, Aiden Aceves, Jialin Song, Stephen Mayo, Yisong Yue, Yuxin Chen

Many sequential decision making tasks can be viewed as combinatorial optimizatio n problems over a large number of actions. When the cost of evaluating an action is high, even a greedy algorithm, which iteratively picks the best action given the history, is prohibitive to run. In this paper, we aim to learn a greedy heu ristic for sequentially selecting actions as a surrogate for invoking the expens ive oracle when evaluating an action. In particular, we focus on a class of comb inatorial problems that can be solved via submodular maximization (either direct ly on the objective function or via submodular surrogates). We introduce a datadriven optimization framework based on the submodular-norm loss, a novel loss fu nction that encourages the resulting objective to exhibit diminishing returns. O ur framework outputs a surrogate objective that is efficient to train, approxima tely submodular, and can be made permutation-invariant. The latter two propertie s allow us to prove strong approximation guarantees for the learned greedy heuri stic. Furthermore, we show that our model can be easily integrated with modern d eep imitation learning pipelines for sequential prediction tasks. We demonstrate the performance of our algorithm on a variety of batched and sequential optimiz ation tasks, including set cover, active learning, and Bayesian optimization for protein engineering.

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Intelligent Matrix Exponentiation

Thomas Fischbacher, Iulia Maria Comsa, Krzysztof Potempa, Moritz Firsching, Luca Versari, Jyrki Alakuijala

We present a novel machine learning architecture that uses a single high-dimensi onal nonlinearity consisting of the exponential of a single input-dependent matr ix. The mathematical simplicity of this architecture allows a detailed analysis of its behaviour, providing robustness guarantees via Lipschitz bounds. Despite its simplicity, a single matrix exponential layer already provides universal approximation properties and can learn and extrapolate fundamental functions of the input, such as periodic structure or geometric invariants. This architecture ou tperforms other general-purpose architectures on benchmark problems, including C IFAR-10, using fewer parameters.

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The large learning rate phase of deep learning

Aitor Lewkowycz, Yasaman Bahri, Ethan Dyer, Jascha Sohl-Dickstein, Guy Gur-Ari The choice of initial learning rate can have a profound effect on the performanc e of deep networks. We present empirical evidence that networks exhibit sharply distinct behaviors at small and large learning rates. In the small learning rate phase, training can be understood using the existing theory of infinitely wide neural networks. At large learning rates, we find that networks exhibit qualitatively distinct phenomena that cannot be explained by existing theory: The loss grows during the early part of training, and optimization eventually converges to a flatter minimum. Furthermore, we find that the optimal performance is often found in the large learning rate phase. To better understand this behavior we analyze the dynamics of a two-layer linear network and prove that it exhibits these different phases. We find good agreement between our analysis and the training dynamics observed in realistic deep learning settings.

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Xiaobing Sun, Wei Lu

Modern recurrent neural networks (RNN) such as Gated Recurrent Units (GRU) and L ong Short-term Memory (LSTM) have demonstrated impressive results on tasks invol ving sequential data in practice. Despite continuous efforts on interpreting the ir behaviors, the exact mechanism underlying their successes in capturing sequen ce-level information have not been thoroughly understood. In this work, we prese nt a study on understanding the essential features captured by GRU/LSTM cells by mathematically expanding and unrolling the hidden states. Based on the expanded and unrolled hidden states, we find there was a type of sequence-level representations brought in by the gating mechanism, which enables the cells to encode sequence-level features along with token-level features. Specifically, we show that the cells would consist of such sequence-level features similar to those of N-grams. Based on such a finding, we also found that replacing the hidden states of the standard cells with N-gram representations does not necessarily degrade performance on the sentiment analysis and language modeling tasks, indicating such features may play a significant role for GRU/LSTM cells.

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UserBERT: Self-supervised User Representation Learning

Tianyu Li, Ali Cevahir, Derek Cho, Hao Gong, DuyKhuong Nguyen, Bjorn Stenger

This paper extends the BERT model to user data for pretraining user representati ons in a self-supervised way. By viewing actions (e.g., purchases and clicks) in behavior sequences (i.e., usage history) in an analogous way to words in senten ces, we propose methods for the tokenization, the generation of input representa tion vectors and a novel pretext task to enable the pretraining model to learn f rom its own input, omitting the burden of collecting additional data. Further, o ur model adopts a unified structure to simultaneously learn from long-term and s hort-term user behavior as well as user profiles. Extensive experiments demonstr ate that the learned representations result in significant improvements when tr ansferred to three different real-world tasks, particularly in comparison with t ask-specific modeling and representations obtained from multi-task learning.

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The Recurrent Neural Tangent Kernel

Sina Alemohammad, Zichao Wang, Randall Balestriero, Richard Baraniuk

The study of deep neural networks (DNNs) in the infinite-width limit, via the so-called neural tangent kernel (NTK) approach, has provided new insights into the dynamics of learning, generalization, and the impact of initialization. One key DNN architecture remains to be kernelized, namely, the recurrent neural network (RNN). In this paper we introduce and study the Recurrent Neural Tangent Kerne 1 (RNTK), which provides new insights into the behavior of overparametrized RNN s. A key property of the RNTK should greatly benefit practitioners is its abilit y to compare inputs of different length. To this end, we characterize how the RN TK weights different time steps to form its output under different initialization parameters and nonlinearity choices. A synthetic and 56 real-world data experiments demonstrate that the RNTK offers significant performance gains over other kernels, including standard NTKs, across a wide array of data sets.

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Learning to Use Future Information in Simultaneous Translation

Xueqing Wu, Yingce Xia, Lijun Wu, Shufang Xie, Weiqing Liu, Tao Qin, Tie-Yan Liu

Simultaneous neural machine translation (briefly, NMT) has attracted much attent
ion recently. In contrast to standard NMT, where the NMT system can access the f
ull input sentence, simultaneous NMT is a prefix-to-prefix problem, where the sy
stem can only utilize the prefix of the input sentence and thus more uncertainty
and difficulty are introduced to decoding. Wait-k inference is a simple yet eff
ective strategy for simultaneous NMT, where the decoder generates the output seq
uence \$k\$ words behind the input words. For wait-k inference, we observe that wa
it-m training with \$m>k\$ in simultaneous NMT (i.e., using more future informatio
n for training than inference) generally outperforms wait-k training. Based on t
his observation, we propose a method that automatically learns how much future i
nformation to use in training for simultaneous NMT. Specifically, we introduce a
controller to adaptively select wait-m training strategies according to the net

work status of the translation model and current training sentence pairs, and the controller is jointly trained with the translation model through bi-level optimization. Experiments on four datasets show that our method brings 1 to 3 BLEU point improvement over baselines under the same latency. Our code is available at https://github.com/P2F-research/simulNMT.

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Understanding the Effect of Bias in Deep Anomaly Detection Ziyu Ye, Yuxin Chen, Haitao Zheng

Anomaly detection presents a unique challenge in machine learning, due to the sc arcity of labeled anomaly data. Recent work attempts to mitigate such problems b y augmenting training of deep anomaly detection models with additional labeled a nomaly samples. However, the labeled data often does not align with the target d istribution and introduces harmful bias to the trained model. In this paper, we aim to understand the effect of a biased anomaly set on anomaly detection. We fo rmally state the anomaly detection problem as a supervised learning task, and fo cus on the anomaly detector's recall at a given false positive rate as the main performance metric. Given two different anomaly score functions, we formally def ine their difference in performance as the relative scoring bias of the anomaly detectors. Along this line, our work provides two key contributions. We establis h the first finite sample rates for estimating the relative scoring bias for dee p anomaly detection, and empirically validate our theoretical results on both sy nthetic and real-world datasets. We also provide extensive empirical study on ho w a biased training anomaly set affects the anomaly score function and therefore the detection performance on different anomaly classes. Our study demonstrates scenarios in which the biased anomaly set can be useful or problematic, and prov ides a solid benchmark for future research.

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Jumpy Recurrent Neural Networks

Samuel James Greydanus, Stefan Lee, Alan Fern

Recurrent neural networks (RNNs) can learn complex, long-range structure in time series data simply by predicting one point at a time. Because of this ability, they have enjoyed widespread adoption in commercial and academic contexts. Yet R NNs have a fundamental limitation: they represent time as a series of discrete, uniform time steps. As a result, they force a tradeoff between temporal resoluti on and the computational expense of predicting far into the future. To resolve t his tension, we propose a Jumpy RNN model which does not predict state transitio ns over uniform intervals of time. Instead, it predicts a sequence of linear dyn amics functions in latent space and intervals of time over which their predictio ns can be expected to be accurate. This structure enables our model to jump over long time intervals while retaining the ability to produce fine-grained or cont inuous-time predictions when necessary. In simple physics simulations, our model can skip over long spans of predictable motion and focus on key events such as collisions between two balls. On a set of physics tasks including coordinate and pixel observations of a small-scale billiards environment, our model matches th e performance of a baseline RNN while using a fifth of the compute. On a real-wo rld weather forecasting dataset, it makes more accurate predictions while using fewer sampling steps. When used for model-based planning, our method matches a b aseline RNN while using half the compute.

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Architecture Agnostic Neural Networks

Sabera J Talukder, Guruprasad Raghavan, Yisong Yue

In this paper, we explore an alternate method for synthesizing neural network ar chitectures, inspired by the brain's stochastic synaptic pruning. During a perso n's lifetime, numerous distinct neuronal architectures are responsible for perfo rming the same tasks. This indicates that biological neural networks are, to som e degree, architecture agnostic. However, artificial networks rely on their fine -tuned weights and hand-crafted architectures for their remarkable performance. This contrast begs the question: Can we build artificial architecture agnostic n eural networks? To ground this study we utilize sparse, binary neural networks t hat parallel the brain's circuits. Within this sparse, binary paradigm we sample

many binary architectures to create families of architecture agnostic neural ne tworks not trained via backpropagation. These high-performing network families s hare the same sparsity, distribution of binary weights, and succeed in both stat ic and dynamic tasks. In summation, we create an architecture manifold search procedure to discover families of architecture agnostic neural networks.

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Low Complexity Approximate Bayesian Logistic Regression for Sparse Online Learni

Gil I. Shamir, Wojciech Szpankowski

Theoretical results show that Bayesian methods can achieve lower bounds on regre t for online logistic regression. In practice, however, such techniques may not be feasible especially for very large feature sets. Various approximations that, for huge sparse feature sets, diminish the theoretical advantages, must be us ed. Often, they apply stochastic gradient methods with hyper-parameters that must be tuned on some surrogate loss, defeating theoretical advantages of Bayesian methods. The surrogate loss, defined to approximate the mixture, requires techniques as Monte Carlo sampling, increasing computations per example. We propose low complexity analytical approximations for sparse online logistic and probit regressions. Unlike variational inference and other methods, our methods use an alytical closed forms, substantially lowering computations. Unlike dense solutions.

as Gaussian Mixtures, our methods allow for sparse problems with huge feature se ts without increasing complexity. With the analytical closed forms, there is al so no need for applying stochastic gradient methods on surrogate losses, and for tuning and balancing learning and regularization hyper-parameters. Empirical r esults top the performance of the more computationally involved methods. Like s uch methods, our methods still reveal per feature and per example uncertainty me asures.

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Predicting the impact of dataset composition on model performance Tatsunori Hashimoto

Real-world machine learning systems are often are trained using a mix of data sources with varying cost and quality. Understanding how the size and composition of a training dataset affect model performance is critical for advancing our understanding of generalization, as well as designing more effective data collection policies. We show that there is a simple, accurate way to predict the loss incurred by a model based on data size and composition. Our work expands recent observations of log-linear generalization error and uses this to cast model performance prediction as a learning problem. Using the theory of optimal experimental design, we derive a simple rational function approximation to generalization error that can be fitted using a few model training runs. Our approach achieves nearly exact ( $r^2>.93$ ) predictions of model performance under substantial extra polation in two different standard supervised learning tasks and is accurate ( $r^2>.83$ ) on more challenging machine translation and question answering tasks where baselines achieve worse-than-random performance.

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SBEVNet: End-to-End Deep Stereo Layout Estimation Divam Gupta, Wei Pu, Trenton Tabor, Jeff Schneider

Accurate layout estimation is crucial for planning and navigation, for robotics applications such as self driving. In this paper, we introduce stereo bird's eye view network SBEVNet, a novel supervised end-to-end framework for estimation of bird's eye view layout from a pair of stereo images. Although our network reuse s the building blocks from the state-of-the-art deep learning networks for disparity estimation, we show that accurate depth estimation is neither sufficient nor necessary. Instead, the learning of a good internal bird's eye view feature representation is essential for layout estimation. Specifically, we first generate a disparity feature volume using the features of the stereo images and then project it to the bird's eye view coordinates. This gives us coarse grained scene structural information. We also apply inverse perspective mapping (IPM) to map th

e input images and their features to the bird's eye view. This gives us fine gra ined texture information. The concatenated IPM features with the projected feature volume creates a rich bird's eye view representation which is capable of spatial reasoning. We use this representation to estimate the BEV semantic map. Additionally, we show that using the IPM features as a supervisory signal for stereo features can give an improvement in performance. We demonstrate our approach on two datasets: KITTI dataset and synthetically generated dataset using the CARLA simulator. For both of the datasets, we establish state-of-the-art performance beyond other baselines.

Why Are Convolutional Nets More Sample-Efficient than Fully-Connected Nets? Zhiyuan Li, Yi Zhang, Sanjeev Arora

Convolutional neural networks often dominate fully-connected counterparts in gen eralization performance, especially on image classification tasks. This is often explained in terms of \textquotedblleft better inductive bias.\textquotedblrigh t\ However, this has not been made mathematically rigorous, and the hurdle is t hat the sufficiently wide fully-connected net can always simulate the convolutio nal net. Thus the training algorithm plays a role. The current work describes a natural task on which a provable sample complexity gap can be shown, for standar d training algorithms. We construct a single natural distribution on \$\mathbb{R}\  $d\times 1$ cted networks trained with most gradient-based methods from gaussian initializat ion) requires \$\Omega(d^2)\$ samples to generalize while \$O(1)\$ samples suffice f or convolutional architectures. Furthermore, we demonstrate a single target func tion, learning which on all possible distributions leads to an \$0(1)\$ vs \$\Omega (d^2/\varepsilon)\$ gap. The proof relies on the fact that SGD on fully-connected network is orthogonal equivariant. Similar results are achieved for \$\ell\_2\$ re gression and adaptive training algorithms, e.g. Adam and AdaGrad, which are only permutation equivariant.

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Deep Q Learning from Dynamic Demonstration with Behavioral Cloning Xiaoshuang Li, Junchen Jin, Xiao Wang, Fei-Yue Wang

Although Deep Reinforcement Learning (DRL) has proven its capability to lear n optimal policies by directly interacting with simulation environments, how to combine DRL with supervised learning and leverage additional knowledge to assist the DRL agent effectively still remains difficult. This study proposes a novel approach integrating deep Q learning from dynamic demonstrations with a behavior al cloning model (DQfDD-BC), which includes a supervised learning technique of i nstructing a DRL model to enhance its performance. Specifically, the DQfDD-BC mo del leverages historical demonstrations to pre-train a supervised BC model and c onsistently update it by learning the dynamically updated demonstrations. Then t he DQfDD-BC model manages the sample complexity by exploiting both the historica l and generated demonstrations. An expert loss function is designed to compare a ctions generated by the DRL model with those obtained from the BC model to provi de advantageous guidance for policy improvements. Experimental results in severa 1 OpenAI Gym environments show that the proposed approach adapts to different pe rformance levels of demonstrations, and meanwhile, accelerates the learning proc esses. As illustrated in an ablation study, the dynamic demonstration and expert loss mechanisms with the utilization of a BC model contribute to improving the learning convergence performance compared with the origin DQfD model.

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Linear Representation Meta-Reinforcement Learning for Instant Adaptation Matt Peng, Banghua Zhu, Jiantao Jiao

This paper introduces Fast Linearized Adaptive Policy (FLAP), a new meta-reinfor cement learning (meta-RL) method that is able to extrapolate well to out-of-dist ribution tasks without the need to reuse data from training, and adapt almost in stantaneously with the need of only a few samples during testing. FLAP builds up on the idea of learning a shared linear representation of the policy so that whe n adapting to a new task, it suffices to predict a set of linear weights. A sepa rate adapter network is trained simultaneously with the policy such that during

adaptation, we can directly use the adapter network to predict these linear weights instead of updating a meta-policy via gradient descent such as in prior Meta-RL algorithms like MAML to obtain the new policy. The application of the separate feed-forward network not only speeds up the adaptation run-time significantly, but also generalizes extremely well to very different tasks that prior Meta-RL methods fail to generalize to. Experiments on standard continuous-control meta-RL benchmarks show FLAP presenting significantly stronger performance on out-of-distribution tasks with up to double the average return and up to 8X faster adaptation run-time speeds when compared to prior methods.

Evaluation of Similarity-based Explanations

Kazuaki Hanawa, Sho Yokoi, Satoshi Hara, Kentaro Inui

Explaining the predictions made by complex machine learning models helps users to understand and accept the predicted outputs with confidence. One promising way is to use similarity-based explanation that provides similar instances as evide nce to support model predictions. Several relevance metrics are used for this purpose. In this study, we investigated relevance metrics that can provide reasonable explanations to users. Specifically, we adopted three tests to evaluate whet her the relevance metrics satisfy the minimal requirements for similarity-based explanation. Our experiments revealed that the cosine similarity of the gradient sof the loss performs best, which would be a recommended choice in practice. In addition, we showed that some metrics perform poorly in our tests and analyzed the reasons of their failure. We expect our insights to help practitioners in se lecting appropriate relevance metrics and also aid further researches for designing better relevance metrics for explanations.

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Adaptive Procedural Task Generation for Hard-Exploration Problems Kuan Fang, Yuke Zhu, Silvio Savarese, L. Fei-Fei

We introduce Adaptive Procedural Task Generation (APT-Gen), an approach to progressively generate a sequence of tasks as curricula to facilitate reinforcement learning in hard-exploration problems. At the heart of our approach, a task generator learns to create tasks from a parameterized task space via a black-box procedural generation module. To enable curriculum learning in the absence of a direct indicator of learning progress, we propose to train the task generator by balancing the agent's performance in the generated tasks and the similarity to the target tasks. Through adversarial training, the task similarity is adaptively estimated by a task discriminator defined on the agent's experiences, allowing the generated tasks to approximate target tasks of unknown parameterization or outs ide of the predefined task space. Our experiments on the grid world and robotic manipulation task domains show that APT-Gen achieves substantially better perfor mance than various existing baselines by generating suitable tasks of rich variations.

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The impacts of known and unknown demonstrator irrationality on reward inference Lawrence Chan, Andrew Critch, Anca Dragan

Algorithms inferring rewards from human behavior typically assume that people ar e (approximately) rational. In reality, people exhibit a wide array of irrationa lities. Motivated by understanding the benefits of modeling these irrationalities, we analyze the effects that demonstrator irrationality has on reward inference. We propose operationalizing several forms of irrationality in the language of MDPs, by altering the Bellman optimality equation, and use this framework to study how these alterations affect inference.

We find that incorrectly assuming noisy-rationality for an irrational demonstrat or can lead to remarkably poor reward inference accuracy, even in situations whe re inference with the correct model leads to good inference. This suggests a nee d to either model irrationalities or find reward inference algorithms that are m ore robust to misspecification of the demonstrator model. Surprisingly, we find that if we give the learner access to the correct model of the demonstrator's ir rationality, these irrationalities can actually help reward inference. In other

words, if we could choose between a world where humans were perfectly rational a nd the current world where humans have systematic biases, the current world migh t counter-intuitively be preferable for reward inference. We reproduce this effect in several domains. While this finding is mainly conceptual, it is perhaps actionable as well: we might ask human demonstrators for myopic demonstrations instead of optimal ones, as they are more informative for the learner and might be easier for a human to generate.

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Implicit bias of gradient descent for mean squared error regression with wide ne ural networks

Hui Jin, Guido Montufar

We investigate gradient descent training of wide neural networks and the corresp onding implicit bias in function space. For 1D regression, we show that the solu tion of training a width-n shallow ReLU network is within  $n^{-1/2}$  of the f unction which fits the training data and whose difference from initialization ha s smallest 2-norm of the second derivative weighted by \$1/\zeta\$. The curvature penalty function \$1/\zeta\$ is expressed in terms of the probability distribution that is utilized to initialize the network parameters, and we compute it explic itly for various common initialization procedures. For instance, asymmetric init ialization with a uniform distribution yields a constant curvature penalty, and thence the solution function is the natural cubic spline interpolation of the tr aining data. While similar results have been obtained in previous works, our ana lysis clarifies important details and allows us to obtain significant generaliza tions. In particular, the result generalizes to multivariate regression and diff erent activation functions. Moreover, we show that the training trajectories are captured by trajectories of spatially adaptive smoothing splines with decreasin g regularization strength.

Linear Last-iterate Convergence in Constrained Saddle-point Optimization Chen-Yu Wei, Chung-Wei Lee, Mengxiao Zhang, Haipeng Luo

Optimistic Gradient Descent Ascent (OGDA) and Optimistic Multiplicative Weights Update (OMWU) for saddle-point optimization have received growing attention due to their favorable last-iterate convergence. However, their behaviors for simple bilinear games over the probability simplex are still not fully understood ---previous analysis lacks explicit convergence rates, only applies to an exponentially small learning rate, or requires additional assumptions such as the uniqueness of the optimal solution.

In this work, we significantly expand the understanding of last-iterate converge nce for OGDA and OMWU in the constrained setting. Specifically, for OMWU in bili near games over the simplex, we show that when the equilibrium is unique, linear last-iterate convergence is achievable with a constant learning rate, which imp roves the result of (Daskalakis & Panageas, 2019) under the same assumption. We then significantly extend the results to more general objectives and feasible se ts for the projected OGDA algorithm, by introducing a sufficient condition under which OGDA exhibits concrete last-iterate convergence rates with a constant lea rning rate. We show that bilinear games over any polytope satisfy this condition and OGDA converges exponentially fast even without the unique equilibrium assum ption. Our condition also holds for strongly-convex-strongly-concave functions, recovering the result of (Hsieh et al., 2019). Finally, we provide experimental results to further support our theory.

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Memory Representation in Transformer

Mikhail Burtsev, Yurii Kuratov, Anton Peganov, Grigory V. Sapunov

Transformer-based models have achieved state-of-the-art results in many natural language processing tasks. The self-attention architecture allows transformer to combine information from all elements of a sequence into context-aware representations. However, information about the context is stored mostly in the same element-wise representations. This might limit the processing of properties related to the sequence as a whole more difficult. Adding trainable memory to selective

ly store local as well as global representations of a sequence is a promising di rection to improve the Transformer model. Memory-augmented neural networks (MANN s) extend traditional neural architectures with general-purpose memory for repre sentations. MANNs have demonstrated the capability to learn simple algorithms like Copy or Reverse and can be successfully trained via backpropagation on diverse tasks from question answering to language modeling outperforming RNNs and LSTM sof comparable complexity. In this work, we propose and study few extensions of the Transformer baseline (1) by adding memory tokens to store non-local representations, (2) creating memory bottleneck for the global information, (3) control ling memory update with dedicated layer. We evaluate these memory augmented Transformers and demonstrate that presence of memory positively correlates with the model performance for machine translation and language modelling tasks. Augmentation of pre-trained masked language model with memory tokens shows mixed results for tasks from GLUE benchmark. Visualization of attention patterns over the memory suggest that it improves the model's ability to process a global context.

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On Graph Neural Networks versus Graph-Augmented MLPs

Lei Chen, Zhengdao Chen, Joan Bruna

From the perspectives of expressive power and learning, this work compares multi-layer Graph Neural Networks (GNNs) with a simplified alternative that we call G raph-Augmented Multi-Layer Perceptrons (GA-MLPs), which first augments node feat ures with certain multi-hop operators on the graph and then applies learnable no de-wise functions. From the perspective of graph isomorphism testing, we show bo th theoretically and numerically that GA-MLPs with suitable operators can distin guish almost all non-isomorphic graphs, just like the Weisfeiler-Lehman (WL) test and GNNs. However, by viewing them as node-level functions and examining the equivalence classes they induce on rooted graphs, we prove a separation in expressive power between GA-MLPs and GNNs that grows exponentially in depth. In particular, unlike GNNs, GA-MLPs are unable to count the number of attributed walks. We also demonstrate via community detection experiments that GA-MLPs can be limited by their choice of operator family, whereas GNNs have higher flexibility in learning.

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Improving Hierarchical Adversarial Robustness of Deep Neural Networks Avery Ma, Aladin Virmaux, Kevin Scaman, Juwei Lu

Do all adversarial examples have the same consequences? An autonomous driving sy stem misclassifying a pedestrian as a car may induce a far more dangerous --and even potentially lethal-- behavior than, for instance, a car as a bus. In order to better tackle this important problematic, we introduce the concept of hierarc hical adversarial robustness. Given a dataset whose classes can be grouped into coarse-level labels, we define hierarchical adversarial examples as the ones leading to a misclassification at the coarse level. To improve the resistance of ne ural networks to hierarchical attacks, we introduce a hierarchical adversarially robust (HAR) network design that decomposes a single classification task into o ne coarse and multiple fine classification tasks, before being specifically trained by adversarial defense techniques. As an alternative to an end-to-end learning approach, we show that HAR significantly improves the robustness of the network against \$\ell\_{\infty}\$ and \$\ell\_{2}\$bounded hierarchical attacks on CIFAR-

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CorDial: Coarse-to-fine Abstractive Dialogue Summarization with Controllable Granularity

Chien-Sheng Wu, Linqing Liu, Wenhao Liu, Pontus Stenetorp, Caiming Xiong Dialogue summarization is challenging due to its multi-speaker standpoints, casu al spoken language, and limited labeled data. In this paper, we propose CorDial, aiming to improve the abstractive dialogue summarization quality and at the same time enable granularity controllability. We propose 1) a coarse-to-fine generation strategy that generates a summary draft followed by a final summary in an a utoregressive way. The summary draft, which provides weakly-supervised signals, is composed of pseudo-labeled interrogative pronoun categories and noisy key phr

ases extracted with a constituency parser. 2) A simple strategy to control the granularity of the final summary. CorDial can predict and control the number of summary sentences for a given dialogue by predicting and highlighting different text spans from the source text. Our model achieves state-of-the-art performance on the largest dialogue summarization corpus SAMSum. We conduct comprehensive er ror analysis and show competitive human evaluation results to annotated summarie

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Meta-Reinforcement Learning Robust to Distributional Shift via Model Identificat ion and Experience Relabeling

Russell Mendonca, Xinyang Geng, Chelsea Finn, Sergey Levine

Reinforcement learning algorithms can acquire policies for complex tasks autonom ously. However, the number of samples required to learn a diverse set of skills can be prohibitively large. While meta-reinforcement learning methods have enabl ed agents to leverage prior experience to adapt quickly to new tasks, their perf ormance depends crucially on how close the new task is to the previously experie need tasks. Current approaches are either not able to extrapolate well, or can do so at the expense of requiring extremely large amounts of data for on-policy meta-training. In this work, we present model identification and experience relabeling (MIER), a meta-reinforcement learning algorithm that is both efficient and extrapolates well when faced with out-of-distribution tasks at test time. Our method is based on a simple insight: we recognize that dynamics models can be adapted efficiently and consistently with off-policy data, more easily than policies and value functions. These dynamics models can then be used to continue training policies and value functions for out-of-distribution tasks without using meta-reinforcement learning at all, by generating synthetic experience for the new task.

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Deep Positive Unlabeled Learning with a Sequential Bias

Walter Gerych, Thomas Hartvigsen, Luke Buquicchio, Kavin Chandrasekaran, Hamid Manso or, Abdulaziz alajaji

For many domains, from video stream analytics to human activity recognition, only weakly-labeled datasets are available.

Worse yet, the given labels are often assigned sequentially, resulting in sequen tial bias. Current Positive Unlabeled (PU) classifiers, a state-of-the-art famil y of robust semi-supervised methods, are ineffective under sequential bias. In this work, we propose DeepSPU, the first method to address this sequential bias problem. DeepSPU tackles the two interdependent subproblems of learning both the latent labeling process and the true class likelihoods within one architecture. We achieve this by developing a novel iterative learning strategy aided by theo retically-justified cost terms to avoid collapsing into a naive classifier. Our experimental studies demonstrate that DeepSPU outperforms state-of-the-art methods by over 10% on diverse real-world datasets.

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An Efficient Protocol for Distributed Column Subset Selection in the Entrywise \$ \ell\_p\$ Norm

Shuli Jiang, Dongyu Li, Irene Mengze Li, Arvind V. Mahankali, David Woodruff We give a distributed protocol with nearly-optimal communication and number of rounds for Column Subset Selection with respect to the entrywise  $\{\$\ell_1\$\}$  norm  $(\$k\$-CSS\$_1\$)$ , and more generally, for the  $\{\ellll_p\$-norm\}$  with  $\{\ellll_p\$-norm\}$  with  $\{\ellll_p\$-norm\}$  with  $\{\ellll_p\$-norm\}$  with  $\{\ellll_p\$-norm\}$  with  $\{\ellll_p\$-norm\}$  for the  $\{\ellll_p\$-norm\}$  with  $\{\ellll_p\$-norm\}$ 

In the distributed setting, we consider \$s\$ servers in the standard coordinator model of communication, where the columns of the input matrix \$A \in \mathbb{R}^ {d \times n}\$ (\$n \times gd\$) are distributed across the \$s\$ servers. We give a prot ocol in this model with \$\widetilde{0}(sdk)\$ communication, \$1\$ round, and polyn omial running time, and which achieves a multiplicative  $\frac{1}{p} - \frac{1}{2}$  \poly(\log nd)\$-approximation to the best possible column subset. A key in

gredient in our proof is the reduction to the  $\ensuremath{\mbox{$\setminus$ell_{p,2}$-norm}}$ , which correspon ds to the \$p\$-norm of the vector of Euclidean norms of each of the columns of \$A \$. This enables us to use strong coreset constructions for Euclidean norms, which previously had not been used in this context. This naturally also allows us to implement our algorithm in the popular streaming model of computation. We furth er propose a greedy algorithm for selecting columns, which can be used by the coordinator, and show the first provable guarantees for a greedy algorithm for the \$\ext{\$\setminus\$ell\_{1,2}\$ norm. Finally, we implement our protocol and give significant practical advantages on real-world data analysis tasks.

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Policy Optimization in Zero-Sum Markov Games: Fictitious Self-Play Provably Atta ins Nash Equilibria

Boyi Liu, Zhuoran Yang, Zhaoran Wang

Fictitious Self-Play (FSP) has achieved significant empirical success in solving extensive-form games.

However, from a theoretical perspective, it remains unknown whether FSP is guara nteed to converge to Nash equilibria in Markov games.

As an initial attempt, we propose an FSP algorithm for two-player zero-sum Marko v games, dubbed as smooth FSP, where both agents adopt an entropy-regularized policy optimization method against each other.

Smooth FSP builds upon a connection between smooth fictitious play and the polic y optimization framework. Specifically, in each iteration, each player infers the policy of the opponent implicitly via policy evaluation and improves its curre nt policy by taking the smoothed best-response via a proximal policy optimization (PPO) step.

Moreover, to tame the non-stationarity caused by the opponent, we propose to inc orporate entropy regularization in PPO for algorithmic stability.

When both players adopt smooth FSP simultaneously, i.e., with self-play, we prove that the sequence of joint policies converges to a neighborhood of a Nash equilibrium at a sublinear  $\hat{0}(1/T)$  rate, where T is the number of iterations. To our best knowledge, we establish the first finite-time convergence guarantee for FSP-type algorithms in zero-sum Markov games.

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Localized Meta-Learning: A PAC-Bayes Analysis for Meta-Learning Beyond Global Prior

Chenghao Liu, Tao Lu, Doyen Sahoo, Yuan Fang, Kun Zhang, Steven Hoi

Meta-learning methods learn the meta-knowledge among various training tasks and aim to promote the learning of new tasks under the task similarity assumption. Such meta-knowledge is often represented as a fixed distribution; this, however, may be too restrictive to capture various specific task information because the discriminative patterns in the data may change dramatically across tasks. In this work, we aim to equip the meta learner with the ability to model and produce task-specific meta-knowledge and, accordingly, present a localized meta-learning framework based on the PAC-Bayes theory. In particular, we propose a Local Coord inate Coding (LCC) based prior predictor that allows the meta learner to generate local meta-knowledge for specific tasks adaptively. We further develop a practical algorithm with deep neural network based on the bound. Empirical results on real-world datasets demonstrate the efficacy of the proposed method.

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Reinforcement Learning with Bayesian Classifiers: Efficient Skill Learning from Outcome Examples

Kevin Li, Abhishek Gupta, Vitchyr H. Pong, Ashwin Reddy, Aurick Zhou, Justin Yu, Serge y Levine

Exploration in reinforcement learning is, in general, a challenging problem. In this work, we study a more tractable class of reinforcement learning problems de fined by data that provides examples of successful outcome states. In this case, the reward function can be obtained automatically by training a classifier to c lassify states as successful or not. We argue that, with appropriate representat ion and regularization, such a classifier can guide a reinforcement learning alg orithm to an effective solution. However, as we will show, this requires the cla

ssifier to make uncertainty-aware predictions that are very difficult with stand ard deep networks. To address this, we propose a novel mechanism for obtaining c alibrated uncertainty based on an amortized technique for computing the normaliz ed maximum likelihood distribution. We show that the resulting algorithm has a n umber of intriguing connections to both count-based exploration methods and prio r algorithms for learning reward functions from data, while being able to guide algorithms towards the specified goal more effectively. We show how using amortized normalized maximum likelihood for reward inference is able to provide effect ive reward guidance for solving a number of challenging navigation and robotic m anipulation tasks which prove difficult for other algorithms.

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Acoustic Neighbor Embeddings

Woojay Jeon

This paper proposes a novel acoustic word embedding called Acoustic Neighbor Emb eddings where speech or text of arbitrary length are mapped to a vector space of fixed, reduced dimensions by adapting stochastic neighbor embedding (SNE) to se quential inputs. The Euclidean distance between coordinates in the embedding spa ce reflects the phonetic confusability between their corresponding sequences. Tw o encoder neural networks are trained: an acoustic encoder that accepts speech s ignals in the form of frame-wise subword posterior probabilities obtained from a n acoustic model and a text encoder that accepts text in the form of subword tra nscriptions. Compared to a triplet loss criterion, the proposed method is shown to have more effective gradients for neural network training. Experimentally, it also gives more accurate results with low-dimensional embeddings when the two e ncoder networks are used in tandem in a word (name) recognition task, and when t he text encoder network is used standalone in an approximate phonetic matching t ask. In particular, in an isolated name recognition task depending solely on Euc lidean nearest-neighbor search between the proposed embedding vectors, the recog nition accuracy is identical to that of conventional finite state transducer(FST )-based decoding using test data with up to 1 million names in the vocabulary an d 40 dimensions in the embeddings.

Solving Compositional Reinforcement Learning Problems via Task Reduction Yunfei Li, Yilin Wu, Huazhe Xu, Xiaolong Wang, Yi Wu

We propose a novel learning paradigm, Self-Imitation via Reduction (SIR), for so lving compositional reinforcement learning problems. SIR is based on two core id eas: task reduction and self-imitation. Task reduction tackles a hard-to-solve t ask by actively reducing it to an easier task whose solution is known by the RL agent. Once the original hard task is successfully solved by task reduction, the agent naturally obtains a self-generated solution trajectory to imitate. By con tinuously collecting and imitating such demonstrations, the agent is able to pro gressively expand the solved subspace in the entire task space. Experiment results show that SIR can significantly accelerate and improve learning on a variety of challenging sparse-reward continuous-control problems with compositional structures. Code and videos are available at https://sites.google.com/view/sir-compositional.

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SOAR: Second-Order Adversarial Regularization

Avery Ma, Fartash Faghri, Nicolas Papernot, Amir-massoud Farahmand

Adversarial training is a common approach to improving the robustness of deep ne ural networks against adversarial examples. In this work, we propose a novel regularization approach as an alternative. To derive the regularizer, we formulate the adversarial robustness problem under the robust optimization framework and a pproximate the loss function using a second-order Taylor series expansion. Our proposed second-order adversarial regularizer (SOAR) is an upper bound based on the Taylor approximation of the inner-max in the robust optimization objective. We empirically show that the proposed method improves the robustness of networks against the \$\ell\_\infty\$ and \$\ell\_2\$ bounded perturbations on CIFAR-10 and SVH

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Learning Chess Blindfolded

Shubham Toshniwal, Sam Wiseman, Karen Livescu, Kevin Gimpel

Transformer language models have made tremendous strides in natural language und erstanding. However, the complexity of natural language makes it challenging to ascertain how accurately these models are tracking the world state underlying the text. Motivated by this issue, we consider the task of language modeling for the game of chess. Unlike natural language, chess notations describe a simple, constrained, and deterministic domain. Moreover, we observe that chess notation itself allows for directly probing the world state, without requiring any additional probing-related machinery. Additionally, we have access to a vast number of chess games coupled with the exact state at every move, allowing us to measure the impact of various ways of including grounding during language model training. Overall, we find that with enough training data, transformer language models can learn to track pieces and predict legal moves when trained solely from move sequences. However, in adverse circumstances (small training sets or prediction following long move histories), providing access to board state information during training can yield consistent improvements.

The Intrinsic Dimension of Images and Its Impact on Learning Phil Pope, Chen Zhu, Ahmed Abdelkader, Micah Goldblum, Tom Goldstein

It is widely believed that natural image data exhibits low-dimensional structure despite the high dimensionality of conventional pixel representations. This id ea underlies a common intuition for the remarkable success of deep learning in c omputer vision. In this work, we apply dimension estimation tools to popular dat asets and investigate the role of low-dimensional structure in deep learning. We e find that common natural image datasets indeed have very low intrinsic dimension relative to the high number of pixels in the images. Additionally, we find that low dimensional datasets are easier for neural networks to learn, and models solving these tasks generalize better from training to test data. Along the way, we develop a technique for validating our dimension estimation tools on synthetic data generated by GANs allowing us to actively manipulate the intrinsic dimension by controlling the image generation process. Code for our experiments may be found href{https://github.com/ppope/dimensions}{here}.

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PriorityCut: Occlusion-aware Regularization for Image Animation Wai Ting Cheung, Gyeongsu Chae

Image animation generates a video of a source image following the motion of a dr iving video. Self-supervised image animation approaches do not require explicit pose references as inputs, thus offering large flexibility in learning. State-of -the-art self-supervised image animation approaches mostly warp the source image according to the motion of the driving video, and recover the warping artifacts by inpainting. When the source and the driving images have large pose differenc es, heavy inpainting is necessary. Without guidance, heavily inpainted regions u sually suffer from loss of details. While previous data augmentation techniques such as CutMix are effective in regularizing non-warp-based image generation, di rectly applying them to image animation ignores the difficulty of inpainting on the warped image. We propose PriorityCut, a novel augmentation approach that use s the top-\$k\$ percent occluded pixels of the foreground to regularize image anim ation. By taking into account the difficulty of inpainting, PriorityCut preserve s better identity than vanilla CutMix and outperforms state-of-the-art image ani mation models in terms of the pixel-wise difference, low-level similarity, keypo int distance, and feature embedding distance.

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Decoupling Exploration and Exploitation for Meta-Reinforcement Learning without Sacrifices

Evan Zheran Liu, Aditi Raghunathan, Percy Liang, Chelsea Finn

The goal of meta-reinforcement learning (meta-RL) is to build agents that can quickly learn new tasks by leveraging prior experience on related tasks. Learning a new task often requires both exploring to gather task-relevant information and exploiting this information to solve the task. In principle, optimal exploration

n and exploitation can be learned end-to-end by simply maximizing task performan ce. However, such meta-RL approaches struggle with local optima due to a chicken -and-egg problem: learning to explore requires good exploitation to gauge the ex ploration's utility, but learning to exploit requires information gathered via e xploration. Optimizing separate objectives for exploration and exploitation can avoid this problem, but prior meta-RL exploration objectives yield suboptimal po licies that gather information irrelevant to the task. We alleviate both concern s by constructing an exploitation objective that automatically identifies task-r elevant information and an exploration objective to recover only this information. This avoids local optima in end-to-end training, without sacrificing optimal exploration. Empirically, DREAM substantially outperforms existing approaches on complex meta-RL problems, such as sparse-reward 3D visual navigation.

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Hard Attention Control By Mutual Information Maximization Himanshu Sahni, Charles Lee Isbell

Biological agents have adopted the principle of attention to limit the rate of i ncoming information from the environment. One question that arises is if an artificial agent has access to only a limited view of its surroundings, how can it control its attention to effectively solve tasks? We propose an approach for lear ning how to control a hard attention window by maximizing the mutual information between the environment state and the attention location at each step. The agent employs an internal world model to make predictions about its state and focuses attention towards where the predictions may be wrong. Attention is trained jointly with a dynamic memory architecture that stores partial observations and keeps track of the unobserved state. We demonstrate that our approach is effective in predicting the full state from a sequence of partial observations. We also show that the agent's internal representation of the surroundings, a live mental map, can be used for control in two partially observable reinforcement learning tasks. Videos of the trained agent can be found at ~\url{https://sites.google.com/view/hard-attention-control}.

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Conditional Generative Modeling via Learning the Latent Space Sameera Ramasinghe, Kanchana Nisal Ranasinghe, Salman Khan, Nick Barnes, Stephen Gould

Although deep learning has achieved appealing results on several machine learning tasks, most of the models are deterministic at inference, limiting their application to single-modal settings. We propose a novel general-purpose framework for conditional generation in multimodal spaces, that uses latent variables to model generalizable learning patterns while minimizing a family of regression cost functions. At inference, the latent variables are optimized to find solutions corresponding to multiple output modes. Compared to existing generative solutions, our approach demonstrates faster and more stable convergence, and can learn be tter representations for downstream tasks. Importantly, it provides a simple generic model that can perform better than highly engineered pipelines tailored using domain expertise on a variety of tasks, while generating diverse outputs. Code available at https://github.com/samgregoost/cGML.

Robust Imitation via Decision-Time Planning Carl Qi, Pieter Abbeel, Aditya Grover

The goal of imitation learning is to mimic expert behavior from demonstrations, without access to an explicit reward signal. A popular class of approaches infer s the (unknown) reward function via inverse reinforcement learning (IRL) followed by maximizing this reward function via reinforcement learning (RL). The polici es learned via these approaches are however very brittle in practice and deteriorate quickly even with small test-time perturbations due to compounding errors. We propose Imitation with Planning at Test-time (IMPLANT), a new algorithm for imitation learning that utilizes decision-time planning to correct for compounding errors of any base imitation policy. In contrast to existing approaches, we retain both the imitation policy and the rewards model at decision-time, thereby be enefiting from the learning signal of the two components. Empirically, we demons

trate that IMPLANT significantly outperforms benchmark imitation learning approaches on standard control environments and excels at zero-shot generalization when subject to challenging perturbations in test-time dynamics.

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Distributionally Robust Learning for Unsupervised Domain Adaptation

Haoxuan Wang, Anqi Liu, Zhiding Yu, Yisong Yue, Anima Anandkumar

We propose a distributionally robust learning (DRL) method for unsupervised dom ain adaptation (UDA) that scales to modern computer-vision benchmarks. DRL can be naturally formulated as a competitive two-player game between a predictor an d an adversary that is allowed to corrupt the labels, subject to certain const raints, and reduces to incorporating a density ratio between the source and tar get domains (under the standard log loss). This formulation motivates the use o f two neural networks that are jointly trained --- a discriminative network betw een the source and target domains for density-ratio estimation, in addition to the standard classification network. The use of a density ratio in DRL prevents the model from being overconfident on target inputs far away from the source dom ain. Thus, DRL provides conservative confidence estimation in the target doma in, even when the target labels are not available. This conservatism motivates t he use of DRL in self-training for sample selection, and we term the approach d istributionally robust self-training (DRST). In our experiments, DRST generates more calibrated probabilities and achieves state-of-the-art self-training accur acy on benchmark datasets. We demonstrate that DRST captures shape features mor e effectively, and reduces the extent of distributional shift during self-traini na.

Learning with Feature-Dependent Label Noise: A Progressive Approach

Yikai Zhang, Songzhu Zheng, Pengxiang Wu, Mayank Goswami, Chao Chen

Label noise is frequently observed in real-world large-scale datasets. The noise is introduced due to a variety of reasons; it is heterogeneous and feature-dependent. Most existing approaches to handling noisy labels fall into two categories: they either assume an ideal feature-independent noise, or remain heuristic without theoretical guarantees. In this paper, we propose to target a new family of feature-dependent label noise, which is much more general than commonly used i.i.d. label noise and encompasses a broad spectrum of noise patterns. Focusing on this general noise family, we propose a progressive label correction algorithm that iteratively corrects labels and refines the model. We provide theoretical guarantees showing that for a wide variety of (unknown) noise patterns, a classifier trained with this strategy converges to be consistent with the Bayes classifier. In experiments, our method outperforms SOTA baselines and is robust to various noise types and levels.

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Privacy-preserving Learning via Deep Net Pruning

YANGSIBO HUANG, Xiaoxiao Li, Yushan Su, Sachin Ravi, Zhao Song, Sanjeev Arora, Kai Li Neural network pruning has demonstrated its success in significantly improving the computational efficiency of deep models while only introducing a small reduction on final accuracy. In this paper, we explore an extra bonus of neural network pruning in terms of enhancing privacy. Specifically, we show a novel connection between magnitude-based pruning and adding differentially private noise to intermediate layers under the over-parameterized regime. To the best of our knowledge, this is the first work that bridges pruning with the theory of differential privacy. The paper also presents experimental results by running the model inversion attack on two benchmark datasets, which supports the theoretical finding.

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ScheduleNet: Learn to Solve MinMax mTSP Using Reinforcement Learning with Delaye d Reward

Junyoung Park, Sanzhar Bakhtiyarov, Jinkyoo Park

Combinatorial Optimization (CO) problems are theoretically challenging yet cruci al in practice. Numerous works used Reinforcement Learning (RL) to tackle these CO problems. As current approaches mainly focus on single-worker CO problems such as the famous Travelling Salesman Problem (TSP), we focus on more practical ex

tension of TSP to multi-worker (salesmen) setting, specifically MinMax mTSP. Fro m the RL perspective, Minmax mTSP raises several significant challenges, such as the cooperation of multiple workers and the need for a well-engineered reward f unction. In this paper, we present the RL framework with (1) worker-task heterog raph and type-aware Graph Neural Network, and (2) the RL training method that is stable, has fast convergence speed, and directly optimizes the objective of Min Max mTSP in a delayed reward setting. We achieve comparable performance to a hig hly optimized meta-heuristic baseline, OR-Tools, and outperforms it in 10% of the cases, both on in-training and out-of-training problem distributions. Moreover, our problem formulation enables us to solve problems with any number of salesm en (workers) and cities.

DialoGraph: Incorporating Interpretable Strategy-Graph Networks into Negotiation Dialogues

Rishabh Joshi, Vidhisha Balachandran, Shikhar Vashishth, Alan Black, Yulia Tsvetkov To successfully negotiate a deal, it is not enough to communicate fluently: prag matic planning of persuasive negotiation strategies is essential. While modern d ialogue agents excel at generating fluent sentences, they still lack pragmatic g rounding and cannot reason strategically. We present DialoGraph, a negotiation s ystem that incorporates pragmatic strategies in a negotiation dialogue using graph neural networks. DialoGraph explicitly incorporates dependencies between sequences of strategies to enable improved and interpretable prediction of next optimal strategies, given the dialogue context. Our graph-based method outperforms prior state-of-the-art negotiation models both in the accuracy of strategy/dialogue act prediction and in the quality of downstream dialogue response generation. We qualitatively show further benefits of learned strategy-graphs in providing explicit associations between effective negotiation strategies over the course of the dialogue, leading to interpretable and strategic dialogues.

WaNet - Imperceptible Warping-based Backdoor Attack Tuan Anh Nguyen, Anh Tuan Tran

With the thriving of deep learning and the widespread practice of using pre-trained networks, backdoor attacks have become an increasing security threat drawing many research interests in recent years. A third-party model can be poisoned in training to work well in normal conditions but behave maliciously when a trigger pattern appears. However, the existing backdoor attacks are all built on noise perturbation triggers, making them noticeable to humans. In this paper, we instead propose using warping-based triggers. The proposed backdoor outperforms the previous methods in a human inspection test by a wide margin, proving its stealt hiness. To make such models undetectable by machine defenders, we propose a nove 1 training mode, called the ``noise mode. The trained networks successfully attack and bypass the state-ofthe art defense methods on standard classification dat asets, including MNIST, CIFAR-10, GTSRB, and CelebA. Behavior analyses show that our backdoors are transparent to network inspection, further proving this novel attack mechanism's efficiency.

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Nonseparable Symplectic Neural Networks

Shiying Xiong, Yunjin Tong, Xingzhe He, Shuqi Yang, Cheng Yang, Bo Zhu

Predicting the behaviors of Hamiltonian systems has been drawing increasing atte ntion in scientific machine learning. However, the vast majority of the literatu re was focused on predicting separable Hamiltonian systems with their kinematic and potential energy terms being explicitly decoupled, while building data-drive n paradigms to predict nonseparable Hamiltonian systems that are ubiquitous in f luid dynamics and quantum mechanics were rarely explored. The main computational challenge lies in the effective embedding of symplectic priors to describe the inherently coupled evolution of position and momentum, which typically exhibits intricate dynamics. To solve the problem, we propose a novel neural network arch itecture, Nonseparable Symplectic Neural Networks (NSSNNs), to uncover and embed the symplectic structure of a nonseparable Hamiltonian system from limited observation data. The enabling mechanics of our approach is an augmented symplectic

time integrator to decouple the position and momentum energy terms and facilitat e their evolution. We demonstrated the efficacy and versatility of our method by predicting a wide range of Hamiltonian systems, both separable and nonseparable, including chaotic vortical flows. We showed the unique computational merits of our approach to yield long-term, accurate, and robust predictions for large-scale Hamiltonian systems by rigorously enforcing symplectomorphism.

Language Models are Open Knowledge Graphs

Chenguang Wang, Xiao Liu, Dawn Song

This paper shows how to construct knowledge graphs (KGs) from pre-trained langua ge models (e.g., BERT, GPT-2/3), without human supervision. Popular KGs (e.g, Wi kidata, NELL) are built in either a supervised or semi-supervised manner, requir ing humans to create knowledge. Recent deep language models automatically acquir e knowledge from large-scale corpora via pre-training. The stored knowledge has enabled the language models to improve downstream NLP tasks, e.g., answering que stions, and writing code and articles. In this paper, we propose an unsupervised method to cast the knowledge contained within language models into KGs. We show that KGs are constructed with a single forward pass of the pre-trained language models (without fine-tuning) over the corpora. We demonstrate the quality of the constructed KGs by comparing to two KGs (Wikidata, TAC KBP) created by humans. Our KGs also provide open factual knowledge that is new in the existing KGs. Our code and KGs will be made publicly available.

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Discovering Diverse Multi-Agent Strategic Behavior via Reward Randomization Zhenggang Tang, Chao Yu, Boyuan Chen, Huazhe Xu, Xiaolong Wang, Fei Fang, Simon Shaole i Du, Yu Wang, Yi Wu

We propose a simple, general and effective technique, Reward Randomization for d iscovering diverse strategic policies in complex multi-agent games. Combining re ward randomization and policy gradient, we derive a new algorithm, Reward-Random ized Policy Gradient (RPG). RPG is able to discover a set of multiple distinctive human-interpretable strategies in challenging temporal trust dilemmas, including grid-world games and a real-world game Agar.io, where multiple equilibria exist but standard multi-agent policy gradient algorithms always converge to a fixed one with a sub-optimal payoff for every player even using state-of-the-art exploration techniques. Furthermore, with the set of diverse strategies from RPG, we can (1) achieve higher payoffs by fine-tuning the best policy from the set; and (2) obtain an adaptive agent by using this set of strategies as its training opponents.

Partial Rejection Control for Robust Variational Inference in Sequential Latent Variable Models

Rahul Sharma, Soumya Banerjee, Dootika Vats, Piyush Rai

Effective variational inference crucially depends on a flexible variational fami ly of distributions. Recent work has explored sequential Monte-Carlo (SMC) metho ds to construct variational distributions, which can, in principle, approximate the target posterior arbitrarily well, which is especially appealing for models with inherent sequential structure. However, SMC, which represents the posterior using a weighted set of particles, often suffers from particle weight degenerac y, leading to a large variance of the resulting estimators. To address this issu e, we present a novel approach that leverages the idea of \emph{partial} rejecti on control (PRC) for developing a robust variational inference (VI) framework. I n addition to developing a superior VI bound, we propose a novel marginal likeli hood estimator constructed via a dice-enterprise: a generalization of the Bernou lli factory to construct unbiased estimators for SMC-PRC. The resulting variatio nal lower bound can be optimized efficiently with respect to the variational par ameters and generalizes several existing approaches in the VI literature into a single framework. We show theoretical properties of the lower bound and report e xperiments on various sequential models, such as the Gaussian state-space model and variational RNN, on which our approach outperforms existing methods.

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Improving Self-supervised Pre-training via a Fully-Explored Masked Language Mode

Mingzhi Zheng, Dinghan Shen, yelong shen, Weizhu Chen, Lin Xiao

Masked Language Model (MLM) framework has been widely adopted for self-supervise d language pre-training. In this paper, we argue that randomly sampled masks in MLM would lead to undesirably large gradient variance. Thus, we theoretically q uantify the gradient variance via correlating the gradient covariance with the H amming distance between two different masks (given a certain text sequence). To reduce the variance due to the sampling of masks, we propose a fully-explored masking strategy, where a text sequence is divided into a certain number of non-overlapping segments. Thereafter, the tokens within one segment are masked for training. We prove, from a theoretical perspective, that the gradients derived from this new masking schema have a smaller variance and can lead to more efficient self-supervised training. We conduct extensive experiments on both continual pretraining and general pre-training from scratch. Empirical results confirm that this new masking strategy can consistently outperform standard random masking. Detailed efficiency analysis and ablation studies further validate the advantages of our fully-explored masking strategy under the MLM framework.

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Multi-timescale Representation Learning in LSTM Language Models Shivangi Mahto, Vy Ai Vo, Javier S. Turek, Alexander Huth

Language models must capture statistical dependencies between words at timescale s ranging from very short to very long. Earlier work has demonstrated that depen dencies in natural language tend to decay with distance between words according to a power law. However, it is unclear how this knowledge can be used for analyz ing or designing neural network language models. In this work, we derived a theo ry for how the memory gating mechanism in long short-term memory (LSTM) language models can capture power law decay. We found that unit timescales within an LST M, which are determined by the forget gate bias, should follow an Inverse Gamma distribution. Experiments then showed that LSTM language models trained on natur al English text learn to approximate this theoretical distribution. Further, we found that explicitly imposing the theoretical distribution upon the model durin g training yielded better language model perplexity overall, with particular imp rovements for predicting low-frequency (rare) words. Moreover, the explicit mult i-timescale model selectively routes information about different types of words through units with different timescales, potentially improving model interpretab ility. These results demonstrate the importance of careful, theoretically-motiva ted analysis of memory and timescale in language models.

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Explaining the Efficacy of Counterfactually Augmented Data Divyansh Kaushik, Amrith Setlur, Eduard H Hovy, Zachary Chase Lipton

In attempts to produce machine learning models less reliant on spurious patterns in NLP datasets, researchers have recently proposed curating counterfactually a ugmented data (CAD) via a human-in-the-loop process in which given some document s and their (initial) labels, humans must revise the text to make a counterfactu al label applicable. Importantly, edits that are not necessary to flip the appli cable label are prohibited. Models trained on the augmented (original and revise d) data appear, empirically, to rely less on semantically irrelevant words and t o generalize better out of domain. While this work draws loosely on causal think ing, the underlying causal model (even at an abstract level) and the principles underlying the observed out-of-domain improvements remain unclear. In this paper , we introduce a toy analog based on linear Gaussian models, observing interesti ng relationships between causal models, measurement noise, out-of-domain general ization, and reliance on spurious signals. Our analysis provides some insights t hat help to explain the efficacy of CAD. Moreover, we develop the hypothesis tha t while adding noise to causal features should degrade both in-domain and out-of -domain performance, adding noise to non-causal features should lead to relative improvements in out-of-domain performance. This idea inspires a speculative tes t for determining whether a feature attribution technique has identified the cau sal spans. If adding noise (e.g., by random word flips) to the highlighted spans

degrades both in-domain and out-of-domain performance on a battery of challenge datasets, but adding noise to the complement gives improvements out-of-domain, this suggests we have identified causal spans. Thus, we present a large scale empirical study comparing spans edited to create CAD to those selected by attention and saliency maps. Across numerous challenge domains and models, we find that the hypothesized phenomenon is pronounced for CAD.

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GraphCGAN: Convolutional Graph Neural Network with Generative Adversarial Networks

Sheng Zhang, Rui Song, Wenbin Lu

Graph convolutional networks (GCN) achieved superior performances in graph-based semi-supervised learning (SSL) tasks.

Generative adversarial networks (GAN) also show the ability to increase the performance in SSL.

However, there is still no good way to combine the GAN and GCN in graph-based SS L tasks.

In this work, we present GraphCGAN, a novel framework to incorporate adversarial learning with convolution-based graph neural networks, to operate on graph-structured data.

In GraphCGAN, we show that generator can generate topology structure and feature s of fake nodes jointly and boost the performance of convolution-based graph neu ral networks classifier.

In a number of experiments on benchmark datasets, we show that the proposed Grap hCGAN outperforms the baseline methods by a significant margin.

ACT: Asymptotic Conditional Transport

Huangjie Zheng, Mingyuan Zhou

We propose conditional transport (CT) as a new divergence to measure the differe nce between two probability distributions. The CT divergence consists of the exp ected cost of a forward CT, which constructs a navigator to stochastically trans port a data point of one distribution to the other distribution, and that of a b ackward CT which reverses the transport direction. To apply it to the distributi ons whose probability density functions are unknown but random samples are acces sible, we further introduce asymptotic CT (ACT), whose estimation only requires access to mini-batch based discrete empirical distributions. Equipped with two n avigators that amortize the computation of conditional transport plans, the ACT divergence comes with unbiased sample gradients that are straightforward to comp ute, making it amenable to mini-batch stochastic gradient descent based optimiza tion. When applied to train a generative model, the ACT divergence is shown to s trike a good balance between mode covering and seeking behaviors and strongly re sist mode collapse. To model high-dimensional data, we show that it is sufficien t to modify the adversarial game of an existing generative adversarial network ( GAN) to a game played by a generator, a forward navigator, and a backward naviga tor, which try to minimize a distribution-to-distribution transport cost by opti mizing both the distribution of the generator and conditional transport plans sp ecified by the navigators, versus a critic that does the opposite by inflating t he point-to-point transport cost. On a wide variety of benchmark datasets for ge nerative modeling, substituting the default statistical distance of an existing GAN with the ACT divergence is shown to consistently improve the performance.

Outlier Preserving Distribution Mapping Autoencoders

Walter Gerych, Elke Rundensteiner, Emmanuel Agu

State-of-the-art deep outlier detection methods map data into a latent space with the aim of having outliers far away from inliers in this space. Unfortunately, this often fails as the divergence penalty they adopt pushes outliers into the same high-probability regions as inliers. We propose a novel method, OP-DMA, that successfully addresses the above problem. OP-DMA succeeds in mapping outliers to low probability regions in the latent space by leveraging a novel Prior-Weighted Loss (PWL) that utilizes the insight that outliers are likely to have a higher reconstruction error than inliers. Building on this insight, OP-DMA weig

hts the reconstruction error of individual points by a multivariate Gaussian pro bability density function evaluated at each point's latent representation. We de monstrate and provide theoretical proof that this succeeds to map outliers to lo w-probability regions. Our experimental study shows that OP-DMA consistently ou tperforms state-of-art methods on a rich variety of outlier detection benchmar k datasets.

Motif-Driven Contrastive Learning of Graph Representations Shichang Zhang, Ziniu Hu, Arjun Subramonian, Yizhou Sun

Graph motifs are significant subgraph patterns occurring frequently in graphs, a nd they play important roles in representing the whole graph characteristics. Fo r example, in the chemical domain, functional groups are motifs that can determi ne molecule properties. Mining and utilizing motifs, however, is a non-trivial t ask for large graph datasets. Traditional motif discovery approaches mostly rely on exact counting or statistical estimation, which are hard to scale for a larg e number of graphs with continuous and high-dimension features. In light of the significance and challenges of motif mining, we propose : MICRO-Graph: a framewo  $\label{lem:line} $$rk for \underline{M}ot\underline{I}f-driven \underline{C}ontrastive lea\underline{I}$$ e{R}ning \underline{O}f \underline{G}raph representations to: 1) pre-train Graph Neural Networks (GNNs) in a self-supervised manner to automatically extract gra ph motifs from large graph datasets; 2) leverage learned motifs to guide the con trastive learning of graph representations, which further benefit various graph downstream tasks. Specifically, given a graph dataset, a motif learner cluster s imilar and significant subgraphs into corresponding motif slots. Based on the le arned motifs, a motif-guided subgraph segmenter is trained to generate more info rmative subgraphs, which are used to conduct graph-to-subgraph contrastive learn ing of GNNs. Our discovering strategy is to simutaneously do clustering and cont rastive learning on dynamically sampled subgraphs. The clustering part pull toge ther similar subgraphs across different whole graphs, as the contrastive part pu sh away dissimilar ones. Meanwhile, our learnable sampler will generate subgraph samples better aligned with the discoverying procedure. By pre-training on oqbn -molhiv molecule dataset with our proposed MICRO-Graph, the pre-trained GNN mode 1 can enhance various chemical property prediction downstream tasks with scarce label by 2.0%, and significantly higher than other state-of-the-art self-supervi sed learning baselines.

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Revisiting Locally Supervised Learning: an Alternative to End-to-end Training Yulin Wang, Zanlin Ni, Shiji Song, Le Yang, Gao Huang

Due to the need to store the intermediate activations for back-propagation, endto-end (E2E) training of deep networks usually suffers from high GPUs memory foo tprint. This paper aims to address this problem by revisiting the locally superv ised learning, where a network is split into gradient-isolated modules and train ed with local supervision. We experimentally show that simply training local mod ules with E2E loss tends to collapse task-relevant information at early layers, and hence hurts the performance of the full model. To avoid this issue, we propo se an information propagation (InfoPro) loss, which encourages local modules to preserve as much useful information as possible, while progressively discard tas k-irrelevant information. As InfoPro loss is difficult to compute in its origina 1 form, we derive a feasible upper bound as a surrogate optimization objective, yielding a simple but effective algorithm. In fact, we show that the proposed me thod boils down to minimizing the combination of a reconstruction loss and a nor mal cross-entropy/contrastive term. Extensive empirical results on five datasets (i.e., CIFAR, SVHN, STL-10, ImageNet and Cityscapes) validate that InfoPro is c apable of achieving competitive performance with less than 40% memory footprint compared to E2E training, while allowing using training data with higher-resolut ion or larger batch sizes under the same GPU memory constraint. Our method also enables training local modules asynchronously for potential training acceleratio

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Improving the Reconstruction of Disentangled Representation Learners via Multi-S tage Modelling

Akash Srivastava, Yamini Bansal, Yukun Ding, Cole Lincoln Hurwitz, Kai Xu, Bernhard E gger, Prasanna Sattigeri, Joshua B. Tenenbaum, Dan Gutfreund

Current autoencoder-based disentangled representation learning methods achieve d isentanglement by penalizing the (aggregate) posterior to encourage statistical independence of the latent factors. This approach introduces a trade-off between disentangled representation learning and reconstruction quality since the model does not have enough capacity to learn correlated latent variables that capture detail information present in most image data. To overcome this trade-off, we p resent a novel multi-stage modelling approach where the disentangled factors are first learned using a preexisting disentangled representation learning method ( such as \$\beta\$-TCVAE); then, the low-quality reconstruction is improved with an other deep generative model that is trained to model the missing correlated late nt variables, adding detail information while maintaining conditioning on the pr eviously learned disentangled factors. Taken together, our multi-stage modelling approach results in single, coherent probabilistic model that is theoretically justified by the principal of D-separation and can be realized with a variety of model classes including likelihood-based models such as variational autoencoder s, implicit models such as generative adversarial networks, and tractable models like normalizing flows or mixtures of Gaussians. We demonstrate that our multistage model has much higher reconstruction quality than current state-of-the-art methods with equivalent disentanglement performance across multiple standard be nchmarks.

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How Much Over-parameterization Is Sufficient to Learn Deep ReLU Networks? Zixiang Chen, Yuan Cao, Difan Zou, Quanquan Gu

A recent line of research on deep learning focuses on the extremely over-paramet erized setting, and shows that when the network width is larger than a high degr ee polynomial of the training sample size \$n\$ and the inverse of the target erro r \$\epsilon^{-1}\$, deep neural networks learned by (stochastic) gradient descent enjoy nice optimization and generalization guarantees. Very recently, it is sho wn that under certain margin assumptions on the training data, a polylogarithmic width condition suffices for two-layer ReLU networks to converge and generalize (Ji and Telgarsky, 2020). However, whether deep neural networks can be learned with such a mild over-parameterization is still an open question. In this work, we answer this question affirmatively and establish sharper learning guarantees for deep ReLU networks trained by (stochastic) gradient descent. In specific, un der certain assumptions made in previous work, our optimization and generalizati on guarantees hold with network width polylogarithmic in \$n\$ and \$\epsilon^{-1}\$\$. Our results push the study of over-parameterized deep neural networks towards more practical settings.

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SGD on Neural Networks learns Robust Features before Non-Robust Vikram Nitin

Neural networks are known to be vulnerable to adversarial attacks - small, imper ceptible perturbations that cause the network to misclassify an input. A recent line of work attempts to explain this behavior by positing the existence of nonrobust features - well-generalizing but brittle features present in the data distribution that are learned by the network and can be perturbed to cause misclass ification.

In this paper, we look at the dynamics of neural network training through the perspective of robust and non-robust features. We find that there are two very distinct pathways that neural network training can follow, depending on the hyperparameters used. In the first pathway, the network initially learns only predictive, robust features and weakly predictive non-robust features, and subsequently learns predictive, non-robust features. On the other hand, a network trained via the second pathway eschews predictive non-robust features altogether, and rapidly overfits the training data. We provide strong empirical evidence to corroborat

e this hypothesis, as well as theoretical analysis in a simplified setting. Key to our analysis is a better understanding of the relationship between predictive non-robust features and adversarial transferability. We present our findings in light of other recent results on the evolution of inductive biases learned by n eural networks over the course of training.

Finally, we digress to show that rather than being quirks of the data distributi on, predictive non-robust features might actually occur across datasets with dif ferent distributions drawn from independent sources, indicating that they perhap s possess some meaning in terms of human semantics.

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Data augmentation for deep learning based accelerated MRI reconstruction Zalan Fabian, Reinhard Heckel, Mahdi Soltanolkotabi

Deep neural networks have emerged as very successful tools for image restoration and reconstruction tasks. These networks are often trained end-to-end to direct ly reconstruct an image from a noisy or corrupted measurement of that image. To achieve state-of-the-art performance, training on large and diverse sets of imag es is considered critical. However, it is often difficult and/or expensive to co llect large amounts of training images. Inspired by the success of Data Augmenta tion (DA) for classification problems, in this paper, we propose a pipeline for data augmentation for image reconstruction tasks arising in medical imaging and explore its effectiveness at reducing the required training data in a variety of settings. We focus on accelerated magnetic resonance imaging, where the goal is to reconstruct an image from a few under-sampled linear measurements. Our DA pi peline is specifically designed to utilize the invariances present in medical im aging measurements as naive DA strategies that neglect the physics of the proble m fail. We demonstrate the effectiveness of our data augmentation pipeline by sh owing that for some problem regimes, DA can achieve comparable performance to th e state of the art on the FastMRI dataset while using significantly fewer traini ng data. Specifically, for 8-fold acceleration we achieve performance comparable to the state of the art with only \$10\%\$ of the training data for multi-coil re construction and with only \$33\%\$ of the training data for single-coil reconstru ction. Our findings show that in the low-data regime DA is beneficial, whereas i n the high-data regime it has diminishing returns.

Play to Grade: Grading Interactive Coding Games as Classifying Markov Decision Process

Allen Nie, Emma Brunskill, Chris Piech

Contemporary coding education often present students with the task of developing programs that have user interaction and complex dynamic systems, such as mouse based games. While pedagogically compelling, grading such student programs requi res dynamic user inputs, therefore they are difficult to grade by unit tests. In this paper we formalize the challenge of grading interactive programs as a task of classifying Markov Decision Processes (MDPs). Each student's program fully s pecifies an MDP where the agent needs to operate and decide, under reasonable ge neralization, if the dynamics and reward model of the input MDP conforms to a se t of latent MDPs. We demonstrate that by experiencing a handful of latent MDPs  $\mathfrak m$ illions of times, we can use the agent to sample trajectories from the input MDP and use a classifier to determine membership. Our method drastically reduces th e amount of data needed to train an automatic grading system for interactive cod e assignments and present a challenge to state-of-the-art reinforcement learning generalization methods. Together with Code.org, we curated a dataset of 700k st udent submissions, one of the largest dataset of anonymized student submissions to a single assignment. This Code.org assignment had no previous solution for au tomatically providing correctness feedback to students and as such this contribu tion could lead to meaningful improvement in educational experience.

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Provably More Efficient Q-Learning in the One-Sided-Feedback/Full-Feedback Settings

Xiao-Yue Gong, David Simchi-Levi

Motivated by the episodic version of the classical inventory control problem, we propose a new Q-learning-based algorithm, Elimination-Based Half-Q-Learning (HQL), that enjoys improved efficiency over existing algorithms for a wide variety of problems in the one-sided-feedback setting. We also provide a simpler variant of the algorithm, Full-Q-Learning (FQL), for the full-feedback setting. We establish that HQL incurs \$ \tilde{\mathcal{0}}(H^2\sqrt{T})\$ regret and FQL incurs \$\tilde{\mathcal{0}}(H^2\sqrt{T})\$ regret, where \$H\$ is the length of each epi sode and \$T\$ is the total length of the horizon. The regret bounds are not affected by the possibly huge state and action space. Our numerical experiments demon strate the superior efficiency of HQL and FQL, and the potential to combine rein forcement learning with richer feedback models.

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Blending MPC & Value Function Approximation for Efficient Reinforcement Learning Mohak Bhardwaj, Sanjiban Choudhury, Byron Boots

Model-Predictive Control (MPC) is a powerful tool for controlling complex, realworld systems that uses a model to make predictions about future behavior. For e ach state encountered, MPC solves an online optimization problem to choose a con trol action that will minimize future cost. This is a surprisingly effective str ategy, but real-time performance requirements warrant the use of simple models. If the model is not sufficiently accurate, then the resulting controller can be biased, limiting performance. We present a framework for improving on MPC with  ${\tt m}$ odel-free reinforcement learning (RL). The key insight is to view MPC as constru cting a series of local Q-function approximations. We show that by using a param eter \$\lambda\$, similar to the trace decay parameter in TD(\$\lambda\$), we can sy stematically trade-off learned value estimates against the local Q-function appr oximations. We present a theoretical analysis that shows how error from inaccura te models in MPC and value function estimation in RL can be balanced. We further propose an algorithm that changes \$\lambda\$ over time to reduce the dependence on MPC as our estimates of the value function improve, and test the efficacy our approach on challenging high-dimensional manipulation tasks with biased models in simulation. We demonstrate that our approach can obtain performance comparabl e with MPC with access to true dynamics even under severe model bias and is more sample efficient as compared to model-free RL.

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A framework for learned CountSketch

Simin Liu, Tianrui Liu, Ali Vakilian, Yulin Wan, David Woodruff

Sketching is a compression technique that can be applied to many problems to sol ve them quickly and approximately. The matrices used to project data to smaller dimensions are called "sketches". In this work, we consider the problem of optim izing sketches to obtain low approximation error over a data distribution.

We introduce a general framework for "learning" and applying CountSketch, a type of sparse sketch. The sketch optimization procedure has two stages: one for optimizing the placements of the sketch's non-zero entries and another for optimizing their values. Next, we provide a way to apply learned sketches that has worst-case guarantees for approximation error.

We instantiate this framework with three sketching applications: least-squares r egression, low-rank approximation (LRA), and k-means clustering. Our experiments demonstrate that our approach substantially decreases approximation error compared to classical and naively learned sketches.

Finally, we investigate the theoretical aspects of our approach. For regression and LRA, we show that our method obtains state-of-the art accuracy for fixed time complexity. For LRA, we prove that it is strictly better to include the first optimization stage for two standard input distributions. For k-means, we derive a more straightforward means of retaining approximation guarantees.

Environment Predictive Coding for Embodied Agents Santhosh Kumar Ramakrishnan, Tushar Nagarajan, Ziad Al-Halah, Kristen Grauman We introduce environment predictive coding, a self-supervised approach to learn environment-level representations for embodied agents. In contrast to prior wor k on self-supervised learning for images, we aim to jointly encode a series of i mages gathered by an agent as it moves about in 3D environments. We learn these representations via a zone prediction task, where we intelligently mask out port ions of an agent's trajectory and predict them from the unmasked portions, conditioned on the agent's camera poses. By learning such representations on a collection of videos, we demonstrate successful transfer to multiple downstream navigation-oriented tasks. Our experiments on the photorealistic 3D environments of Gibson and Matterport3D show that our method outperforms the state-of-the-art on challenging tasks with only a limited budget of experience.

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Probabilistic Numeric Convolutional Neural Networks

Marc Anton Finzi, Roberto Bondesan, Max Welling

Continuous input signals like images and time series that are irregularly sample d or have missing values are challenging for existing deep learning methods. Coh erently defined feature representations must depend on the values in unobserved regions of the input. Drawing from the work in probabilistic numerics, we propose Probabilistic Numeric Convolutional Neural Networks which represent features as Gaussian processes, providing a probabilistic description of discretization er ror. We then define a convolutional layer as the evolution of a PDE defined on this GP, followed by a nonlinearity. This approach also naturally admits steerable equivariant convolutions under e.g. the rotation group. In experiments we show that our approach yields a \$3\times\$ reduction of error from the previous state of the art on the SuperPixel-MNIST dataset and competitive performance on the medical time series dataset PhysioNet2012.

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Three Dimensional Reconstruction of Botanical Trees with Simulatable Geometry Ed Quigley, Winnie Lin, Yilin Zhu, Ronald Fedkiw

We tackle the challenging problem of creating full and accurate three dimensional reconstructions of botanical trees with the topological and geometric accuracy required for subsequent physical simulation, e.g. in response to wind forces. A lthough certain aspects of our approach would benefit from various improvements, our results exceed the state of the art especially in geometric and topological complexity and accuracy. Starting with two dimensional RGB image data acquired from cameras attached to drones, we create point clouds, textured triangle meshes, and a simulatable and skinned cylindrical articulated rigid body model. We discuss the pros and cons of each step of our pipeline, and in order to stimulate future research we make the raw and processed data from every step of the pipeline as well as the final geometric reconstructions publicly available.

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Systematic Evaluation of Causal Discovery in Visual Model Based Reinforcement Le arning

Nan Rosemary Ke, Aniket Rajiv Didolkar, Sarthak Mittal, Anirudh Goyal, Guillaume Lajoie, Stefan Bauer, Danilo Jimenez Rezende, Michael Curtis Mozer, Yoshua Bengio, Christopher Pal

Inducing causal relationships from observations is a classic problem in machine learning. Most work in causality starts from the premise that the causal variable es themselves have known semantics or are observed. However, for AI agents such as robots trying to make sense of their environment, the only observables are low-level variables like pixels in images. To generalize well, an agent must induce high-level variables, particularly those which are causal or are affected by causal variables. A central goal for AI and causality is thus the joint discovery of abstract representations and causal structure. In this work, we systematically evaluate the agent's ability to learn underlying causal structure. We note that existing environments for studying causal induction are poorly suited for this objective because they have complicated task-specific causal graphs with many confounding factors. Hence, to facilitate research in learning the representation of high-level variables as well as causal structure among these variables, we present a suite of RL environments created to systematically probe the ability

of methods to identify variables as well as causal structure among those variables. We evaluate various representation learning algorithms from literature and found that explicitly incorporating structure and modularity in the model can help causal induction in model-based reinforcement learning.

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Dependency Structure Discovery from Interventions

Nan Rosemary Ke,Olexa Bilaniuk,Anirudh Goyal,Stefan Bauer,Bernhard Schölkopf,Mic hael Curtis Mozer,Hugo Larochelle,Christopher Pal,Yoshua Bengio

Promising results have driven a recent surge of interest in continuous optimizat ion methods for Bayesian network structure learning from observational data. How ever, there are theoretical limitations on the identifiability of underlying structures obtained from observational data alone. Interventional data provides much richer information about the underlying data-generating process. However, the extension and application of methods designed for observational data to include interventions is not straightforward and remains an open problem. In this paper we provide a general framework based on continuous optimization and neural networks to create models for the combination of observational and interventional data. The proposed method is applicable even in the challenging and realistic case that the identity of the intervened upon variable is unknown. We examine the proposed method in the setting of graph recovery both de novo and from a partially-known edge set. We establish strong benchmark results on several structure learn ing tasks, including structure recovery of both synthetic graphs as well as stan dard graphs from the Bayesian Network Repository.

HeteroFL: Computation and Communication Efficient Federated Learning for Heterog eneous Clients

Enmao Diao, Jie Ding, Vahid Tarokh

Federated Learning (FL) is a method of training machine learning models on priva te data distributed over a large number of possibly heterogeneous clients such a s mobile phones and IoT devices. In this work, we propose a new federated learning framework named HeteroFL to address heterogeneous clients equipped with very different computation and communication capabilities. Our solution can enable the training of heterogeneous local models with varying computation complexities and still produce a single global inference model. For the first time, our method challenges the underlying assumption of existing work that local models have to share the same architecture as the global model. We demonstrate several strategies to enhance FL training and conduct extensive empirical evaluations, including five computation complexity levels of three model architecture on three datasets. We show that adaptively distributing subnetworks according to clients' capabilities is both computation and communication efficient.

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A Policy Gradient Algorithm for Learning to Learn in Multiagent Reinforcement Le arning

Dong-Ki Kim, Miao Liu, Matthew D Riemer, Chuangchuang Sun, Marwa Abdulhai, Golnaz Habibi, Sebastian Lopez-Cot, Gerald Tesauro, JONATHAN P HOW

A fundamental challenge in multiagent reinforcement learning is to learn benefic ial behaviors in a shared environment with other agents that are also simultaneo usly learning. In particular, each agent perceives the environment as effectivel y non-stationary due to the changing policies of other agents. Moreover, each agent is itself constantly learning, leading to natural nonstationarity in the distribution of experiences encountered. In this paper, we propose a novel meta-multiagent policy gradient theorem that directly accommodates for the non-stationar y policy dynamics inherent to these multiagent settings. This is achieved by modeling our gradient updates to directly consider both an agent's own non-stationar y policy dynamics and the non-stationary policy dynamics of other agents interacting with it in the environment. We find that our theoretically grounded approach provides a general solution to the multiagent learning problem, which inherently combines key aspects of previous state of the art approaches on this topic. We test our method on several multiagent benchmarks and demonstrate a more efficient ability to adapt to new agents as they learn than previous related approach

es across the spectrum of mixed incentive, competitive, and cooperative environm

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Learning Blood Oxygen from Respiration Signals

Hao He, Ying-Cong Chen, Yuan Yuan, Dina Katabi

Monitoring blood oxygen is critical in a variety of medical conditions. For almo st a century, pulse oximetry has been the only non-invasive method for measuring blood oxygen. While highly useful, pulse oximetry has important limitations. It requires wearable sensors, which can be cumbersome for older patients. It is al so known to be biased when used for dark-skinned subjects. In this paper, we dem onstrate, for the first time, the feasibility of predicting oxygen saturation fr om breathing. By eliminating the dependency on oximetry, we eliminate bias again st skin color. Further, since breathing can be monitored without body contact by analyzing the radio signal in the environment, we show that oxygen too can be m onitored without any wearable devices. We introduce a new approach for leveragin g auxiliary variables via a switcher-based multi-headed neural network model. Em pirical results show that our model achieves good accuracy on multiple medical d atasets.

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MSFM: Multi-Scale Fusion Module for Object Detection

Xuesong Wang, Caisheng Wang

Feature fusion is beneficial to object detection tasks in two folds. On one hand , detail and position information can be combined with semantic information when high and low-resolution features from shallow and deep layers are fused. On the other hand, objects can be detected in different scales, which improves the rob ustness of the framework. In this work, we present a Multi-Scale Fusion Module ( MSFM) that extracts both detail and semantical information from a single input b ut at different scales within the same layer. Specifically, the input of the mod ule will be resized into different scales on which position and semantic informa tion will be processed, and then they will be rescaled back and combined with th e module input. The MSFM is lightweight and can be used as a drop-in layer to ma ny existing object detection frameworks. Experiments show that MSFM can bring +2 .5% mAP improvement with only 2.4M extra parameters on Faster R-CNN with ResNet-50 FPN backbone on COCO Object Detection minival set, outperforming that with Re sNet-101 FPN backbone without the module which obtains +2.0% mAP with 19.0M extr a parameters. The best resulting model achieves a 45.7% mAP on test-dev set. Cod e will be available.

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Semantic Re-tuning with Contrastive Tension

Fredrik Carlsson, Amaru Cuba Gyllensten, Evangelia Gogoulou, Erik Ylipää Hellqvist, Magnus Sahlgren

Extracting semantically useful natural language sentence representations from pre-trained deep neural networks such as Transformers remains a challenge. We first demonstrate that pre-training objectives impose a significant task bias onto the final layers of models with a layer-wise survey of the Semantic Textual Similarity (STS) correlations for multiple common Transformer language models. We then propose a new self-supervised method called Contrastive Tension (CT) to counter such biases. CT frames the training objective as a noise-contrastive task between the final layer representations of two independent models, in turn making the final layer representations suitable for feature extraction. Results from multiple common unsupervised and supervised STS tasks indicate that CT outperforms previous State Of The Art (SOTA), and when combining CT with supervised data we improve upon previous SOTA results with large margins.

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Hard-label Manifolds: Unexpected advantages of query efficiency for finding on-m anifold adversarial examples

Washington Garcia, Pin-Yu Chen, Somesh Jha, Hamilton Scott Clouse, Kevin Butler Designing deep networks robust to adversarial examples remains an open problem. Likewise, recent zeroth order hard-label attacks on image classification tasks h ave shown comparable performance to their first-order alternatives. It is well k

nown that in this setting, the adversary must search for the nearest decision bo undary in a query-efficient manner. State-of-the-art (SotA) attacks rely on the concept of pixel grouping, or super-pixels, to perform efficient boundary search. It was recently shown in the first-order setting, that regular adversarial examples leave the data manifold, and on-manifold examples are generalization error s. In this paper, we argue that query efficiency in the zeroth-order setting is connected to the adversary's traversal through the data manifold. In particular, query-efficient hard-label attacks have the unexpected advantage of finding adversarial examples close to the data manifold. We empirically demonstrate that against both natural and robustly trained models, an efficient zeroth-order attack produces samples with a progressively smaller manifold distance measure. Further, when a normal zeroth-order attack is made query-efficient through the use of pixel grouping, it can make up to a two-fold increase in query efficiency, and in some cases, reduce a sample's distance to the manifold by an order of magnitude.

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Topic-aware Contextualized Transformers

Ruiying Lu, Bo Chen, Dan dan Guo, Dongsheng Wang, Mingyuan Zhou

Training on disjoint fixed-length segments, Transformers successfully transform static word embeddings into contextualized word representations. However, they o ften restrict the context of a token to the segment it resides in and hence negl ect the flow of contextual information across segments, failing to capture longe r-term dependencies beyond the predefined segment length. This paper uses a prob abilistic deep topic model to provide contextualized embeddings at both the toke n and segment levels. It also introduces topic self-attention and a contextual n ext-word embedding guided topic select-attention, injecting contextualized topic information into Transformer-based architectures. Moving beyond conventional Tr ansformers that ignore longer-range word dependencies and contextualize their wo rd representations at the segment level, the proposed method not only captures g lobal semantic coherence of all segments and global word concurrence patterns, b ut also enriches the representation of each token by adapting it to its local co ntext, which is not limited to the segment it resides in and can be flexibly def ined according to the task. Experiments on various corpora show that adding only a few extra parameters, the proposed topic-aware contextualized transformers co nsistently outperform their conventional counterparts, and can be used to genera te coherent sentences and paragraphs.

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Cluster-Former: Clustering-based Sparse Transformer for Question Answering Shuohang Wang, Luowei Zhou, Zhe Gan, Yen-Chun Chen, Yuwei Fang, Siqi Sun, Yu Cheng, Jin gjing Liu

Transformer has become ubiquitous in the deep learning field. One of the key ing redients that destined its success is the self-attention mechanism, which allows fully-connected contextual encoding over input tokens.

However, despite its effectiveness in modeling short sequences, self-attention s uffers when handling inputs with extreme long-range dependencies, as its complex ity grows quadratically with respect to the sequence length.

Therefore, long sequences are often encoded by Transformer in chunks using a sli ding window.

In this paper, we propose Cluster-Former, a novel clustering-based sparse Transf ormer to perform attention across chunked sequences. The proposed framework is p ivoted on two unique types of Transformer layer: Sliding-Window Layer and Cluste r-Former Layer, which encode local sequence information and global context joint ly and iteratively.

This new design allows information integration beyond local windows, which is es pecially beneficial for question answering (QA) tasks that rely on long-range de pendencies. Experiments show that Cluster-Former achieves state-of-the-art performance on several major QA benchmarks.

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Dataset Meta-Learning from Kernel Ridge-Regression Timothy Nguyen, Zhourong Chen, Jaehoon Lee

One of the most fundamental aspects of any machine learning algorithm is the training data used by the algorithm.

We introduce the novel concept of \$\epsilon\$-approximation of datasets, obtaining datasets which are much smaller than or are significant corruptions of the original training data while maintaining similar performance. We introduce a metalearning algorithm Kernel Inducing Points (KIP) for obtaining such remarkable datasets, drawing inspiration from recent developments in the correspondence between infinitely-wide neural networks and kernel ridge-regression (KRR). For KRR tasks, we demonstrate that KIP can compress datasets by one or two orders of magnitude, significantly improving previous dataset distillation and subset selection methods while obtaining state of the art results for MNIST and CIFAR10 classification. Furthermore, our KIP-learned datasets are transferable to the training of finite-width neural networks even beyond the lazy-training regime. Consequently, we obtain state of the art results for neural network dataset distillation with potential applications to privacy-preservation.

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AUXILIARY TASK UPDATE DECOMPOSITION: THE GOOD, THE BAD AND THE NEUTRAL

Lucio M. Dery, Yann Dauphin, David Grangier

While deep learning has been very beneficial in data-rich settings, tasks with s maller training set

often resort to pre-training or multitask learning to leverage data from other t asks. In this case,

careful consideration is needed to select tasks and model parameterizations such that updates from

the auxiliary tasks actually help the primary task. We seek to alleviate this bu rden by formulating a model-agnostic framework that performs fine-grained manipu lation of the auxiliary task gradients. We propose to decompose auxiliary update s into directions which help, damage or leave the primary task loss unchanged. T his allows weighting the update directions

differently depending on their impact on the problem of interest. We present a n ovel and efficient algorithm for that

purpose and show its advantage in practice. Our method leverages efficient autom atic differentiation

procedures and randomized singular value decomposition for scalability. We show that our framework is

generic and encompasses some prior work as particular cases. Our approach consistently outperforms strong and widely used baselines when leveraging out-of-distribution data for Text and Image classification tasks.

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A Large-scale Study on Training Sample Memorization in Generative Modeling Ching-Yuan Bai, Hsuan-Tien Lin, Colin Raffel, Wendy Kan

Many recent developments on generative models for natural images have relied on heuristically-motivated metrics that can be easily gamed by memorizing a small s ample from the true distribution or training a model directly to improve the met ric.

In this work, we critically evaluate the gameability of the benchmarking procedure by running a competition which ultimately resulted in participants attempting to cheat. Our competition received over 11000 submitted models which allowed us to investigate memorization-aware metrics for measuring generative model performance. Specifically, we propose the Memorization-Informed Frechet Inception Distance (MiFID) and discuss ways to ensure that winning submissions were based on genuine improvements in perceptual quality. We evaluate the effectiveness of our benchmark by manually inspecting the code for the 1000 top-performing models and labeling different forms of memorization that were intentionally or unintention ally used. To facilitate future work on benchmarking generative models, we release generated images and our labels for these models as well as code to compute the MiFID metric.

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AWAC: Accelerating Online Reinforcement Learning with Offline Datasets Ashvin Nair, Murtaza Dalal, Abhishek Gupta, Sergey Levine

Reinforcement learning provides an appealing formalism for learning control poli cies from experience. However, the classic active formulation of reinforcement 1 earning necessitates a lengthy active exploration process for each behavior, mak ing it difficult to apply in real-world settings. If we can instead allow reinfo rcement learning to effectively use previously collected data to aid the online learning process, where the data could be expert demonstrations or more generall y any prior experience, we could make reinforcement learning a substantially mor e practical tool. While a number of recent methods have sought to learn offline from previously collected data, it remains exceptionally difficult to train a po licy with offline data and improve it further with online reinforcement learning . In this paper we systematically analyze why this problem is so challenging, an d propose an algorithm that combines sample-efficient dynamic programming with m aximum likelihood policy updates, providing a simple and effective framework tha t is able to leverage large amounts of offline data and then quickly perform onl ine fine-tuning of reinforcement learning policies. We show that our method enab les rapid learning of skills with a combination of prior demonstration data and online experience across a suite of difficult dexterous manipulation and benchma rk tasks.

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Fast And Slow Learning Of Recurrent Independent Mechanisms Kanika Madan, Nan Rosemary Ke, Anirudh Goyal, Bernhard Schölkopf, Yoshua Bengio Decomposing knowledge into interchangeable pieces promises a generalization adva ntage when there are changes in distribution. A learning agent interacting with its environment is likely to be faced with situations requiring novel combinatio ns of existing pieces of knowledge. We hypothesize that such a decomposition of knowledge is particularly relevant for being able to generalize in a systematic way to out-of-distribution changes. To study these ideas, we propose a particula r training framework in which we assume that the pieces of knowledge an agent ne eds and its reward function are stationary and can be re-used across tasks. An a ttention mechanism dynamically selects which modules can be adapted to the curre nt task, and the parameters of the \textit{selected} modules are allowed to chan ge quickly as the learner is confronted with variations in what it experiences, while the parameters of the attention mechanisms act as stable, slowly changing, meta-parameters. We focus on pieces of knowledge captured by an ensemble of mo dules sparsely communicating with each other via a bottleneck of attention. We f ind that meta-learning the modular aspects of the proposed system greatly helps in achieving faster adaptation in a reinforcement learning setup involving navi gation in a partially observed grid world with image-level input. We also find that reversing the role of parameters and meta-parameters does not work nearly a s well, suggesting a particular role for fast adaptation of the dynamically sele cted modules.

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Detecting Hallucinated Content in Conditional Neural Sequence Generation Chunting Zhou, Jiatao Gu, Mona T. Diab, Paco Guzmán, Luke Zettlemoyer, Marjan Ghazvin inejad

Neural sequence models can generate highly fluent sentences but recent studies h ave also shown that they are also prone to hallucinate additional content not su prorted by the input, which can cause a lack of trust in the model.

To better assess the faithfulness of the machine outputs, we propose a new task to predict whether each token in the output sequence is hallucinated conditioned on the source input, and collect new manually annotated evaluation sets for this task.

We also introduce a novel method for learning to model hallucination detection, based on pretrained language models fine tuned on synthetic data that includes a utomatically inserted hallucinations.

Experiments on machine translation and abstract text summarization demonstrate the effectiveness of our proposed approach -- we obtain an average F1 of around 0.6 across all the benchmark datasets.

Furthermore, we demonstrate how to use the token-level hallucination labels to d efine a fine-grained loss over the target sequence in the low-resource machine t

ranslation and achieve significant improvements over strong baseline methods. We will also release our annotated data and code for future research.

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Auction Learning as a Two-Player Game

Jad Rahme, Samy Jelassi, S. Matthew Weinberg

Designing an incentive compatible auction that maximizes expected revenue is a c entral problem in Auction Design. While theoretical approaches to the problem ha ve hit some limits, a recent research direction initiated by Duetting et al. (20 19) consists in building neural network architectures to find optimal auctions.

We propose two conceptual deviations from their approach which result in enhanc ed performance. First, we use recent results in theoretical auction design to in troduce a time-independent Lagrangian. This not only circumvents the need for a n expensive hyper-parameter search (as in prior work), but also provides a single metric to compare the performance of two auctions (absent from prior work). Se cond, the optimization procedure in previous work uses an inner maximization loo p to compute optimal misreports. We amortize this process through the introduction of an additional neural network. We demonstrate the effectiveness of our approach by learning competitive or strictly improved auctions compared to prior work. Both results together further imply a novel formulation of Auction Design as a two-player game with stationary utility functions.

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Client Selection in Federated Learning: Convergence Analysis and Power-of-Choice Selection Strategies

Yae Jee Cho, Jianyu Wang, Gauri Joshi

Federated learning is a distributed optimization paradigm that enables a large n umber of resource-limited client nodes to cooperatively train a model without da ta sharing. Several works have analyzed the convergence of federated learning by accounting of data heterogeneity, communication and computation limitations, an d partial client participation. However, they assume unbiased client participati on, where clients are selected at random or in proportion of their data sizes. I n this paper, we present the first convergence analysis of federated optimizatio n for biased client selection strategies, and quantify how the selection bias af fects convergence speed. We reveal that biasing client selection towards clients with higher local loss achieves faster error convergence. Using this insight, w e propose Power-of-Choice, a communication- and computation-efficient client sel ection framework that can flexibly span the trade-off between convergence speed and solution bias. We also propose an extension of Power-of-Choice that is able to maintain convergence speed improvement while diminishing the selection skew. Our experiments demonstrate that Power-of-Choice strategies converge up to 3 \$\t imes\$ faster and give \$10\$% higher test accuracy than the baseline random select ion.

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Provable Robustness by Geometric Regularization of ReLU Networks Chester Holtz, Changhao Shi, Gal Mishne

Recent work has demonstrated that neural networks are vulnerable to small, adver sarial perturbations of their input. In this paper, we propose an efficient regu larization scheme inspired by convex geometry and barrier methods to improve the robustness of feedforward ReLU networks. Since such networks are piecewise line ar, they partition the input space into polyhedral regions (polytopes). Our regu larizer is designed to minimize the distance between training samples and the \t extit{analytical centers} of their respective polytopes so as to push points awa y from the boundaries. Our regularizer \textit{provably} improves a lower bound on the necessary adversarial perturbation required to switch an example's label. The addition of a second regularizer that encourages linear decision boundaries improves robustness while avoiding over-regularization of the classifier. We de monstrate the robustness of our approach with respect to \$\ell\_\infty\$ and \$\ell \_2\$ adversarial perturbations on multiple datasets. Our method is competitive wi th state-of-the-art algorithms for learning robust networks. Moreover, applying our algorithm in conjunction with adversarial training boosts the robustness of classifiers even further.

A PAC-Bayesian Approach to Generalization Bounds for Graph Neural Networks Renjie Liao, Raquel Urtasun, Richard Zemel

In this paper, we derive generalization bounds for two primary classes of graph neural networks (GNNs), namely graph convolutional networks (GCNs) and message p assing GNNs (MPGNNs), via a PAC-Bayesian approach. Our result reveals that the m aximum node degree and the spectral norm of the weights govern the generalization n bounds of both models. We also show that our bound for GCNs is a natural generalization of the results developed in \citep{neyshabur2017pac} for fully-connected and convolutional neural networks. For MPGNNs, our PAC-Bayes bound improves over the Rademacher complexity based bound \citep{garg2020generalization}, showing a tighter dependency on the maximum node degree and the maximum hidden dimension. The key ingredients of our proofs are a perturbation analysis of GNNs and the generalization of PAC-Bayes analysis to non-homogeneous GNNs. We perform an empirical study on several synthetic and real-world graph datasets and verify that our PAC-Bayes bound is tighter than others.

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Online Continual Learning Under Domain Shift

Quang Pham, Chenghao Liu, Steven HOI

Existing continual learning benchmarks often assume each task's training and test data are from the same distribution, which may not hold in practice. Towards making continual learning practical, in this paper, we introduce a novel setting of online continual learning under conditional domain shift, in which domain shift exists between training and test data of all tasks:  $P^{tr}(X, Y) \neq P^{te}(X, Y)$ , and the model is required to generalize to unseen domains at test time. To address this problem, we propose  $\mathbf{CIER} \in \mathbb{R}$  that can simultaneously retain old knowledge, acquire new information, and generalize to unseen domains. CIER employs an adversarial training to correct the shift in P(X,Y) by matching P(X|Y), which results in an invariant representation that can generalize to unseen domains during inference. Our extensive experiments show that CIER can bridge the domain gap in continual learning and significantly outperforms state-of-the-art methods. We will release our benchmarks and implementation upon acceptance.

You Only Sample (Almost) Once: Linear Cost Self-Attention Via Bernoulli Sampling Zhanpeng Zeng, Yunyang Xiong, Sathya N. Ravi, Shailesh Acharya, Glenn Fung, Vikas Singh

Transformer-based models have come to dominate the landscape in a wide range of natural language processing (NLP) applications. The heart of the transformer mod el is the self-attention mechanism, which captures the interactions of token pai rs in the input sequences and consequently, depends quadratically on the input s equence length. It is known that training such models on longer sequences is qui te expensive, and often, prohibitively so. We show that a Bernoulli sampling att ention mechanism based on Locality Sensitive Hashing (LSH), decreases the quadra tic complexity to linear. We bypass the quadratic cost by considering self-atten tion as a sum of individual tokens associated with Bernoulli random variables th at can, in principle, be sampled at once by a single hash (although in practice, this number may be a small constant). This leads to an efficient sampling schem e to estimate self-attention which relies on specific modifications of LSH (base d on feasibility of deployment on GPU architectures). We evaluate our proposed a lgorithm on the GLUE benchmark with standard 512 sequence length and our method achieves comparable or even slightly better performance than a standard pretrain ed Transformer. To evaluate whether our method can indeed handle longer sequence s, we conduct experiments on long sequence (4096) language model pretraining and achieve consistent results as standard self-attention, while observing sizable inference speed-ups and memory savings.

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DEMI: Discriminative Estimator of Mutual Information Ruizhi Liao, Daniel Moyer, Polina Golland, William M Wells

Estimating mutual information between continuous random variables is often intra ctable and extremely challenging for high-dimensional data. Recent progress has leveraged neural networks to optimize variational lower bounds on mutual informa tion. Although showing promise for this difficult problem, the variational metho ds have been theoretically and empirically proven to have serious statistical li mitations: 1) many methods struggle to produce accurate estimates when the under lying mutual information is either low or high; 2) the resulting estimators may suffer from high variance. Our approach is based on training a classifier that p rovides the probability that a data sample pair is drawn from the joint distribu tion rather than from the product of its marginal distributions. Moreover, we es tablish a direct connection between mutual information and the average log odds estimate produced by the classifier on a test set, leading to a simple and accur ate estimator of mutual information. We show theoretically that our method and o ther variational approaches are equivalent when they achieve their optimum, whil e our method sidesteps the variational bound. Empirical results demonstrate high accuracy of our approach and the advantages of our estimator in the context of representation learning.

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Contextual Transformation Networks for Online Continual Learning Quang Pham, Chenghao Liu, Doyen Sahoo, Steven HOI

Continual learning methods with fixed architectures rely on a single network to learn models that can perform well on all tasks.

As a result, they often only accommodate common features of those tasks but negl ect each task's specific features. On the other hand, dynamic architecture metho ds can have a separate network for each task, but they are too expensive to train and not scalable in practice, especially in online settings.

To address this problem, we propose a novel online continual learning method nam ed ``Contextual Transformation Networks" (CTN) to efficiently model the \emph{ta sk-specific features} while enjoying neglectable complexity overhead compared to other fixed architecture methods.

Moreover, inspired by the Complementary Learning Systems (CLS) theory, we propose a novel dual memory design and an objective to train CTN that can address both catastrophic forgetting and knowledge transfer simultaneously.

Our extensive experiments show that CTN is competitive with a large scale dynamic architecture network and consistently outperforms other fixed architecture methods under the same standard backbone. Our implementation can be found at \url{https://github.com/phquang/Contextual-Transformation-Network}.

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Imitation with Neural Density Models

Kuno Kim, Akshat Jindal, Yang Song, Jiaming Song, Yanan Sui, Stefano Ermon

We propose a new framework for Imitation Learning (IL) via density estimation of the expert's occupancy measure followed by Maximum Occupancy Entropy Reinforcem ent Learning (RL) using the density as a reward. Our approach maximizes a non-ad versarial model-free RL objective that provably lower bounds reverse Kullback-Le ibler divergence between occupancy measures of the expert and imitator. We prese nt a practical IL algorithm, Neural Density Imitation (NDI), which obtains state -of-the-art demonstration efficiency on benchmark control tasks.

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Continual Lifelong Causal Effect Inference with Real World Evidence Zhixuan Chu, Stephen Rathbun, Sheng Li

The era of real world evidence has witnessed an increasing availability of obser vational data, which much facilitates the development of causal effect inference. Although significant advances have been made to overcome the challenges in cau sal effect estimation, such as missing counterfactual outcomes and selection bias, they only focus on source-specific and stationary observational data. In this paper, we investigate a new research problem of causal effect inference from in crementally available observational data, and present three new evaluation criteria accordingly, including extensibility, adaptability, and accessibility. We propose a Continual Causal Effect Representation Learning method for estimating ca

usal effect with observational data, which are incrementally available from non-stationary data distributions. Instead of having access to all seen observational data, our method only stores a limited subset of feature representations learned from previous data. Combining the selective and balanced representation learning, feature representation distillation, and feature transformation, our method achieves the continual causal effect estimation for new data without compromising the estimation capability for original data. Extensive experiments demonstrate the significance of continual causal effect inference and the effectiveness of our method.

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Improving Learning to Branch via Reinforcement Learning

Haoran Sun, Wenbo Chen, Hui Li, Le Song

Branch-and-Bound- $(B\B)$  is a general and widely used algorithm paradigm for solving Mixed Integer Programming-(MIP).

Recently there is a surge of interest in designing learning-based branching policies as a fast approximation of strong branching, a human-designed heuristic. In this work, we argue strong branching is not a good expert to imitate for its po or decision quality when turning off its side effects in solving linear programm ing. To obtain more effective and non-myopic policies than a local heuristic, we formulate the branching process in MIP as reinforcement learning~(RL) and design a policy characterization for the B\&B process to improve our agent by novelty search evolutionary strategy. Across a range of NP-hard problems, our trained R L agent significantly outperforms expert-designed branching rules and the state-of-the-art learning-based branching methods in terms of both speed and effective ness. Our results suggest that with carefully designed policy networks and learning algorithms, reinforcement learning has the potential to advance algorithms for solving MIPs.

Offline Policy Optimization with Variance Regularization

Riashat Islam, Samarth Sinha, Homanga Bharadhwaj, Samin Yeasar Arnob, Zhuoran Yang, Zhaoran Wang, Animesh Garg, Lihong Li, Doina Precup

Learning policies from fixed offline datasets is a key challenge to scale up rei nforcement learning (RL) algorithms towards practical applications. This is ofte n because off-policy RL algorithms suffer from distributional shift, due to mism atch between dataset and the target policy, leading to high variance and over-es timation of value functions. In this work, we propose variance regularization fo r offline RL algorithms, using stationary distribution corrections. We show that by using Fenchel duality, we can avoid double sampling issues for computing the gradient of the variance regularizer. The proposed algorithm for offline varian ce regularization can be used to augment any existing offline policy optimizati on algorithms. We show that the regularizer leads to a lower bound to the offline policy optimization objective, which can help avoid over-estimation errors, a nd explains the benefits of our approach across a range of continuous control domains when compared to existing algorithms.

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Synthetic Petri Dish: A Novel Surrogate Model for Rapid Architecture Search Aditya Rawal, Joel Lehman, Felipe Petroski Such, Jeff Clune, Kenneth Stanley Neural Architecture Search (NAS) explores a large space of architectural motifs

a compute-intensive process that often involves ground-truth evaluation of each motif by instantiating it within a large network, and training and evaluating the network with thousands or more data samples. Inspired by how biological motifs such as cells are sometimes extracted from their natural environment and studied in an artificial Petri dish setting, this paper proposes the Synthetic Petri D ish model for evaluating architectural motifs. In the Synthetic Petri Dish, architectural motifs are instantiated in very small networks and evaluated using very few learned synthetic data samples (to effectively approximate performance in the full problem). The relative performance of motifs in the Synthetic Petri Dish can substitute for their ground-truth performance, thus accelerating the most expensive step of NAS. Unlike other neural network-based prediction models that

parse the structure of the motif to estimate its performance, the Synthetic Petr i Dish predicts motif performance by training the actual motif in an artificial setting, thus deriving predictions from its true intrinsic properties. Experimen ts in this paper demonstrate that the Synthetic Petri Dish can therefore predict the performance of new motifs with significantly higher accuracy, especially wh en insufficient ground truth data is available.

Our hope is that this work can inspire a new research direction in studying the performance of extracted components of models in a synthetic diagnostic setting optimized to provide informative evaluations.

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Causal Inference Q-Network: Toward Resilient Reinforcement Learning Chao-Han Huck Yang, Danny I-Te Hung, Yi Ouyang, Pin-Yu Chen

Deep reinforcement learning (DRL) has demonstrated impressive performance in var ious gaming simulators and real-world applications. In practice, however, a DRL agent may receive faulty observation by abrupt interferences such as black-out, frozen-screen, and adversarial perturbation. How to design a resilient DRL algor ithm against these rare but mission-critical and safety-crucial scenarios is an important yet challenging task. In this paper, we consider a resilient DRL frame work with observational interferences. Under this framework, we discuss the importance of the causal relation and propose a causal inference based DRL algorithm called causal inference Q-network (CIQ). We evaluate the performance of CIQ in several benchmark DRL environments with different types of interferences. Our experimental results show that the proposed CIQ method could achieve higher performance and more resilience against observational interferences.

Learning-Augmented Sketches for Hessians

Yi Li, Honghao Lin, David Woodruff

We study learning-based sketching for Hessians, which is known to provide considerable speedups to second order optimization. A number of works have shown how to sketch or subsample the Hessian to speed up each iteration, but such sketches are usually specific to the matrix at hand, rather than being learned from a distribution. We extend such schemes to learned sketches, where we learn different potentially different sketches for the different iterations, and show empirically that learned sketches, compared with their "non-learned" counterparts, improve the approximation accuracy for a large number of important problems, including LASSO, SVM, and matrix estimation with nuclear norm constraints.

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A self-explanatory method for the black box problem on discrimination part of CN  $\ensuremath{\text{N}}$ 

Jinwei Zhao, Qizhou Wang, Wanli Qiu, Guo Xie, Wei Wang, Xinhong Hei, Deyu Meng Recently, for finding inherent causality implied in CNN, the black box problem o f its discrimination part, which is composed of all fully connected layers of th e CNN, has been studied by different scientific communities. Many methods were p roposed, which can extract various interpretable models from the optimal discrim ination part based on inputs and outputs of the part for finding the inherent ca usality implied in the part. However, the inherent causality cannot readily be f ound. We think that the problem could be solved by shrinking an interpretable di stance which can evaluate the degree for the discrimination part to be easily ex plained by an interpretable model. This paper proposes a lightweight interpretab le model, Deep Cognitive Learning Model(DCLM). And then, a game method between t he DCLM and the discrimination part is implemented for shrinking the interpretat ion distance. Finally, the proposed self-explanatory method was evaluated by som e contrastive experiments with certain baseline methods on some standard image p rocessing benchmarks. These experiments indicate that the proposed method can ef fectively find the inherent causality implied in the discrimination part of the CNN without largely reducing its generalization performance. Moreover, the gener alization performance of the DCLM also can be improved.

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Counterfactual Thinking for Long-tailed Information Extraction Guoshun Nan, Jiaqi Zeng, Rui Qiao, Wei Lu

Information Extraction (IE) aims to extract structured information from unstruct ured texts. However, in practice, the long-tailed and imbalanced data may lead t o severe bias issues for deep learning models, due to very few training instance s available for the tail classes. Existing works are mainly from computer vision society, leveraging re-balancing, decoupling, transfer learning and causal inf erence to address this problem on image classification and scene graph generatio n. However, these approaches may not achieve good performance on textual data, w hich involves complex language structures that have been proven crucial for the IE tasks. To this end, we propose a novel framework (named CFIE) based on langua ge structure and causal reasoning with three key ingredients. First, by fusing t he syntax information to various structured causal models for mainstream IE task s including relation extraction (RE), named entity recognition (NER), and event detection (ED), our approach is able to learn the direct effect for classificati on from an imbalanced dataset. Second, counterfactuals are generated based on an explicit language structure to better calculate the direct effect during the in ference stage. Third, we propose a flexible debiasing approach for more robust p rediction during the inference stage. Experimental results on three IE tasks acr oss five public datasets show that our model significantly outperforms the state -of-the-art models by a large margin in terms of Mean Recall and Macro F1, achie ving a relative 30% improvement in Mean Recall for 7 tail classes on the ACE200 5 dataset. We also discuss some interesting findings based on our observations.

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Disentangled Recurrent Wasserstein Autoencoder

Jun Han, Martin Renqiang Min, Ligong Han, Li Erran Li, Xuan Zhang

Learning disentangled representations leads to interpretable models and facilita tes data generation with style transfer, which has been extensively studied on s tatic data such as images in an unsupervised learning framework. However, only a few works have explored unsupervised disentangled sequential representation lea rning due to challenges of generating sequential data. In this paper, we propose recurrent Wasserstein Autoencoder (R-WAE), a new framework for generative model ing of sequential data. R-WAE disentangles the representation of an input sequen ce into static and dynamic factors (i.e., time-invariant and time-varying parts) . Our theoretical analysis shows that, R-WAE minimizes an upper bound of a penal ized form of the Wasserstein distance between model distribution and sequential data distribution, and simultaneously maximizes the mutual information between i nput data and different disentangled latent factors, respectively. This is super ior to (recurrent) VAE which does not explicitly enforce mutual information maxi mization between input data and disentangled latent representations. When the nu mber of actions in sequential data is available as weak supervision information, R-WAE is extended to learn a categorical latent representation of actions to im prove its disentanglement. Experiments on a variety of datasets show that our mo dels outperform other baselines with the same settings in terms of disentangleme nt and unconditional video generation both quantitatively and qualitatively.

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Adaptive and Generative Zero-Shot Learning

Yu-Ying Chou, Hsuan-Tien Lin, Tyng-Luh Liu

We address the problem of generalized zero-shot learning (GZSL) where the task is to predict the class label of a target image whether its label belongs to the seen or unseen category. Similar to ZSL, the learning setting assumes that all class-level semantic features are given, while only the images of seen classes are available for training. By exploring the correlation between image features and the corresponding semantic features, the main idea of the proposed approach is to enrich the semantic-to-visual (S2V) embeddings via a seamless fusion of adaptive and generative learning. To this end, we extend the semantic features of each class by supplementing image-adaptive attention so that the learned S2V embedding can account for not only inter-class but also intra-class variations. In addition, to break the limit of training with images only from seen classes, we design a generative scheme to simultaneously generate virtual class labels and the ir visual features by sampling and interpolating over seen counterparts. In inference, a testing image will give rise to two different S2V embeddings, seen and

virtual. The former is used to decide whether the underlying label is of the unseen category or otherwise a specific seen class; the latter is to predict an unseen class label. To demonstrate the effectiveness of our method, we report state-of-the-art results on four standard GZSL datasets, including an ablation study of the proposed modules.

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Prior Knowledge Representation for Self-Attention Networks

Kehai Chen, Rui Wang, Masao Utiyama, Eiichiro Sumita

Self-attention networks (SANs) have shown promising empirical results in various natural language processing tasks. Typically, it gradually learning language kn owledge on the whole training dataset in parallel and stacked ways, thereby mode ling language representation. In this paper, we propose a simple and general rep resentation method to consider prior knowledge related to language representation from the beginning of training. Also, the proposed method allows SANs to lever age prior knowledge in a universal way compatible with neural networks. Furtherm ore, we apply it to one prior word frequency knowledge for the monolingual data and other prior translation lexicon knowledge for the bilingual data, respective ly, thereby enhancing the language representation. Experimental results on WMT14 English-to-German and WMT17 Chinese-to-English translation tasks demonstrate the effectiveness and universality of the proposed method over a strong Transforme r-based baseline.

Impact-driven Exploration with Contrastive Unsupervised Representations Min Jae Song, Dan Kushnir

Procedurally-generated sparse reward environments pose significant challenges fo r many RL algorithms. The recently proposed impact-driven exploration method (RI DE) by Raileanu & Rocktäschel (2020), which rewards actions that lead to large c hanges (measured by \$\ell\_2\$-distance) in the observation embedding, achieves st ate-of-the-art performance on such procedurally-generated MiniGrid tasks. Yet, t he definition of "impact" in RIDE is not conceptually clear because its learned embedding space is not inherently equipped with any similarity measure, let alon e \$\ell\_2\$-distance. We resolve this issue in RIDE via contrastive learning. Tha t is, we train the embedding with respect to cosine similarity, where we define two observations to be similar if the agent can reach one observation from the o ther within a few steps, and define impact in terms of this similarity measure. Experimental results show that our method performs similarly to RIDE on the Mini Grid benchmarks while learning a conceptually clear embedding space equipped wit h the cosine similarity measure. Our modification of RIDE also provides a new pe rspective which connects RIDE and episodic curiosity (Savinov et al., 2019), a d ifferent exploration method which rewards the agent for visiting states that are unfamiliar to the agent's episodic memory. By incorporating episodic memory int o our method, we outperform RIDE on the MiniGrid benchmarks.

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Contrastive Self-Supervised Learning of Global-Local Audio-Visual Representation s

Shuang Ma, Zhaoyang Zeng, Daniel McDuff, Yale Song

Contrastive self-supervised learning has delivered impressive results in many au dio-visual recognition tasks. However, existing approaches optimize for learning either global representations useful for high-level understanding tasks such as classification, or local representations useful for tasks such as audio-visual source localization and separation. While they produce satisfactory results in their intended downstream scenarios, they often fail to generalize to tasks that they were not originally designed for. In this work, we propose a versatile self-supervised approach to learn audio-visual representations that can generalize to both the tasks which require global semantic information (e.g., classification) and the tasks that require fine-grained spatio-temporal information (e.g. loca lization). We achieve this by optimizing two cross-modal contrastive objectives that together encourage our model to learn discriminative global-local visual in formation given audio signals. To show that our approach learns generalizable video representations, we evaluate it on various downstream scenarios including ac

tion/sound classification, lip reading, deepfake detection, and sound source localization.

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Safe Reinforcement Learning with Natural Language Constraints
Tsung-Yen Yang, Michael Hu, Yinlam Chow, Peter Ramadge, Karthik R Narasimhan

In this paper, we tackle the problem of learning control policies for tasks when provided with constraints in natural language. In contrast to instruction foll owing, language here is used not to specify goals, but rather to describe situat ions that an agent must avoid during its exploration of the environment. Specify ing constraints in natural language also differs from the predominant paradigm i n safe reinforcement learning, where safety criteria are enforced by hand-define d cost functions. While natural language allows for easy and flexible specificat ion of safety constraints and budget limitations, its ambiguous nature presents a challenge when mapping these specifications into representations that can be u sed by techniques for safe reinforcement learning. To address this, we develop a model that contains two components: (1) a constraint interpreter to encode natu ral language constraints into vector representations capturing spatial and tempo ral information on forbidden states, and (2) a policy network that uses these re presentations to output a policy with minimal constraint violations. Our model i s end-to-end differentiable and we train it using a recently proposed algorithm for constrained policy optimization. To empirically demonstrate the effectivenes s of our approach, we create a new benchmark task for autonomous navigation with crowd-sourced free-form text specifying three different types of constraints. O ur method outperforms several baselines by achieving 6-7 times higher returns an d 76% fewer constraint violations on average. Dataset and code to reproduce our experiments are available at https://sites.google.com/view/polco-hazard-world/.

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Iterated learning for emergent systematicity in VQA

Ankit Vani, Max Schwarzer, Yuchen Lu, Eeshan Dhekane, Aaron Courville

Although neural module networks have an architectural bias towards compositional ity, they require gold standard layouts to generalize systematically in practice . When instead learning layouts and modules jointly, compositionality does not a rise automatically and an explicit pressure is necessary for the emergence of la youts exhibiting the right structure. We propose to address this problem using i terated learning, a cognitive science theory of the emergence of compositional l anguages in nature that has primarily been applied to simple referential games i n machine learning. Considering the layouts of module networks as samples from a n emergent language, we use iterated learning to encourage the development of st ructure within this language. We show that the resulting layouts support systema tic generalization in neural agents solving the more complex task of visual ques tion-answering. Our regularized iterated learning method can outperform baseline s without iterated learning on SHAPES-SyGeT (SHAPES Systematic Generalization Te st), a new split of the SHAPES dataset we introduce to evaluate systematic gener alization, and on CLOSURE, an extension of CLEVR also designed to test systemati c generalization. We demonstrate superior performance in recovering ground-truth compositional program structure with limited supervision on both SHAPES-SyGeT a nd CLEVR.

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Online Adversarial Purification based on Self-supervised Learning Changhao Shi, Chester Holtz, Gal Mishne

Deep neural networks are known to be vulnerable to adversarial examples, where a perturbation in the input space leads to an amplified shift in the latent netwo rk representation. In this paper, we combine canonical supervised learning with self-supervised representation learning, and present Self-supervised Online Adve rsarial Purification (SOAP), a novel defense strategy that uses a self-supervise d loss to purify adversarial examples at test-time. Our approach leverages the l abel-independent nature of self-supervised signals and counters the adversarial perturbation with respect to the self-supervised tasks. SOAP yields competitive robust accuracy against state-of-the-art adversarial training and purification m ethods, with considerably less training complexity. In addition, our approach is

robust even when adversaries are given the knowledge of the purification defens e strategy. To the best of our knowledge, our paper is the first that generalize s the idea of using self-supervised signals to perform online test-time purifica tion.

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Optimal Neural Program Synthesis from Multimodal Specifications Xi Ye,Qiaochu Chen,Isil Dillig,Greg Durrett

Multimodal program synthesis, which leverages different types of user input to s ynthesize a desired program, is an attractive way to scale program synthesis to challenging settings; however, it requires integrating noisy signals from the us er (like natural language) with hard constraints on the program's behavior. This paper proposes an optimal neural synthesis approach where the goal is to find a program that satisfies user-provided constraints while also maximizing the prog ram's score with respect to a neural model. Specifically, we focus on multimodal synthesis tasks in which the user intent is expressed using combination of natu ral language (NL) and input-output examples. At the core of our method is a topdown recurrent neural model that places distributions over abstract syntax trees conditioned on the NL input. This model not only allows for efficient search ov er the space of syntactically valid programs, but it allows us to leverage autom ated program analysis techniques for pruning the search space based on infeasibi lity of partial programs with respect to the user's constraints. The experimenta 1 results on a multimodal synthesis dataset (StructuredRegex) show that our meth od substantially outperforms prior state-of-the-art techniques in terms of accur acy and explores fewer states during search.

FairFil: Contrastive Neural Debiasing Method for Pretrained Text Encoders Pengyu Cheng, Weituo Hao, Siyang Yuan, Shijing Si, Lawrence Carin Pretrained text encoders, such as BERT, have been applied increasingly in variou s natural language processing (NLP) tasks, and have recently demonstrated signif icant performance gains. However, recent studies have demonstrated the existence of social bias in these pretrained NLP models. Although prior works have made p rogress on word-level debiasing, improved sentence-level fairness of pretrained encoders still lacks exploration. In this paper, we proposed the first neural de biasing method for a pretrained sentence encoder, which transforms the pretraine d encoder outputs into debiased representations via a fair filter (FairFil) netw ork. To learn the FairFil, we introduce a contrastive learning framework that no t only minimizes the correlation between filtered embeddings and bias words but also preserves rich semantic information of the original sentences. On real-worl d datasets, our FairFil effectively reduces the bias degree of pretrained text e ncoders, while continuously showing desirable performance on downstream tasks. M oreover, our post hoc method does not require any retraining of the text encoder s, further enlarging FairFil's application space.

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Demystifying Learning of Unsupervised Neural Machine Translation
Guanlin Li,lemao liu,Taro Watanabe,Conghui Zhu,Tiejun Zhao
Unsupervised Neural Machine Translation or UNMT has received great attention
in recent years. Though tremendous empirical improvements have been achieved,
there still lacks theory-oriented investigation and thus some fundamental
questions like \textit{why} certain training protocol can work or not under
\textit{what} circumstances have not yet been well understood. This paper
attempts to provide theoretical insights for the above questions. Specifically,
following the methodology of comparative study, we leverage two perspectives,
i) \textit{marginal likelihood maximization} and ii) \textit{mutual information}
from information theory, to understand the different learning effects from the
standard training protocol and its variants. Our detailed analyses reveal
several critical conditions for the successful training of UNMT.

Searching for Convolutions and a More Ambitious NAS Nicholas Carl Roberts, Mikhail Khodak, Tri Dao, Liam Li, Nina Balcan, Christopher Re, Ameet Talwalkar

An important goal of neural architecture search (NAS) is to automate-away the de sign of neural networks on new tasks in under-explored domains, thus helping to democratize machine learning. However, current NAS research largely focuses on s earch spaces consisting of existing operations --- such as different types of conv olution --- that are already known to work well on well-studied problems --- often i n computer vision. Our work is motivated by the following question: can we enabl e users to build their own search spaces and discover the right neural operation s given data from their specific domain? We make progress towards this broader  $\boldsymbol{v}$ ision for NAS by introducing a space of operations generalizing the convolution that enables search over a large family of parameterizable linear-time matrix-ve ctor functions. Our flexible construction allows users to design their own searc h spaces adapted to the nature and shape of their data, to warm-start search met hods using convolutions when they are known to perform well, or to discover new operations from scratch when they do not. We evaluate our approach on several no vel search spaces over vision and text data, on all of which simple NAS search a lgorithms can find operations that perform better than baseline layers.

not-so-big-GAN: Generating High-Fidelity Images on Small Compute with Wavelet-ba sed Super-Resolution

Seungwook Han, Akash Srivastava, Cole Lincoln Hurwitz, Prasanna Sattigeri, David Dan iel Cox

State-of-the-art models for high-resolution image generation, such as BigGAN and VQVAE-2, require an incredible amount of compute resources and/or time (512 TPU -v3 cores) to train, putting them out of reach for the larger research community . On the other hand, GAN-based image super-resolution models, such as ESRGAN, ca n not only upscale images to high dimensions, but also are efficient to train. I n this paper, we present not-so-big-GAN (nsb-GAN), a simple yet cost-effective t wo-step training framework for deep generative models (DGMs) of high-dimensional natural images. First, we generate images in low-frequency bands by training a sampler in the wavelet domain. Then, we super-resolve these images from the wave let domain back to the pixel-space with our novel wavelet super-resolution decod er network. Wavelet-based down-sampling method preserves more structural informa tion than pixel-based methods, leading to significantly better generative qualit y of the low-resolution sampler (e.g., 64×64). Since the sampler and decoder can be trained in parallel and operate on much lower dimensional spaces than end-to -end models, the training cost is substantially reduced. On ImageNet 512×512, ou r model achieves a Fréchet Inception Distance (FID) of 10.59 - beating the basel ine BigGAN model - at half the compute (256 TPU-v3 cores).

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Uncertainty in Neural Processes

Saeid Naderiparizi, Kenny Chiu, Benjamin Bloem-Reddy, Frank Wood

We explore the effects of architecture and training objective choice on amortize d posterior predictive inference in probabilistic conditional generative models.

We aim this work to be a counterpoint to a recent trend in the literature that stresses achieving good samples when the amount of conditioning data is large.

We instead focus our attention on the case where the amount of conditioning dat a is small. We highlight specific architecture and objective choices that we find lead to qualitative and quantitative improvement to posterior inference in the is low data regime. Specifically we explore the effects of choices of pooling operator and variational family on posterior quality in neural processes. Superior posterior predictive samples drawn from our novel neural process architectures are demonstrated via image completion/in-painting experiments.

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Reset-Free Lifelong Learning with Skill-Space Planning Kevin Lu, Aditya Grover, Pieter Abbeel, Igor Mordatch

The objective of \textit{lifelong} reinforcement learning (RL) is to optimize ag ents which can continuously adapt and interact in changing environments. However, current RL approaches fail drastically when environments are non-stationary and interactions are non-episodic. We propose \textit{Lifelong Skill Planning} (Li SP), an algorithmic framework for lifelong RL based on planning in an abstract s

pace of higher-order skills. We learn the skills in an unsupervised manner using intrinsic rewards and plan over the learned skills using a learned dynamics mod el. Moreover, our framework permits skill discovery even from offline data, ther eby reducing the need for excessive real-world interactions. We demonstrate empi rically that LiSP successfully enables long-horizon planning and learns agents t hat can avoid catastrophic failures even in challenging non-stationary and non-e pisodic environments derived from gridworld and MuJoCo benchmarks.

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Outlier Robust Optimal Transport

Debarghya Mukherjee, Aritra Guha, Justin Solomon, Yuekai Sun, Mikhail Yurochkin Optimal transport (OT) provides a way of measuring distances between distributio ns that depends on the geometry of the sample space. In light of recent advances in solving the OT problem, OT distances are widely used as loss functions in mi nimum distance estimation. Despite its prevalence and advantages, however, OT is extremely sensitive to outliers. A single adversarially-picked outlier can increase OT distance arbitrarily. To address this issue, in this work we propose an outlier-robust OT formulation. Our formulation is convex but challenging to scale at a first glance. We proceed by deriving an equivalent formulation based on cost truncation that is easy to incorporate into modern stochastic algorithms for regularized OT. We demonstrate our model applied to mean estimation under the H uber contamination model in simulation as well as outlier detection on real data

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An Attention Free Transformer

Shuangfei Zhai, Walter Talbott, Nitish Srivastava, Chen Huang, Hanlin Goh, Joshua M. Susskind

We introduce Attention Free Transformer (AFT), an efficient variant of Transform ers \citep{transformer} that eliminates the need for dot product attention. AFT offers great simplicity and efficiency compared with standard Transformers, where the multi-head attention operation is replaced with the composition of element -wise multiplications/divisions and global/local pooling. During training time, AFT has linear time and space complexity w.r.t. both the sequence length and feature dimension; in the autoregressive decoding mode, AFT has constant memory and time complexity per step. We show that, surprisingly, we are able to train AFT effectively on challenging benchmarks, and also to match or surpass the standard Transformer counterparts and other efficient variants. In particular, AFT achie ves the state-of-the-art result on CIFAR10 autoregressive modeling with much reduced complexity, and also outperforms several efficient Transformer variants on Enwik8.

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Private Split Inference of Deep Networks

Mohammad Samragh, Hossein Hosseini, Kambiz Azarian, Joseph Soriaga

Splitting network computations between the edge device and the cloud server is a promising approach for enabling low edge-compute and private inference of neura l networks. Current methods for providing the privacy train the model to minimiz e information leakage for a given set of private attributes. In practice, howeve r, the test queries might contain private attributes that are not foreseen durin g training.

We propose an alternative solution, in which, instead of obfuscating the information corresponding to a set of attributes, the edge device discards the information irrelevant to the main task. To this end, the edge device runs the model up to a split layer determined based on its computational capacity and then removes the activation content that is in the null space of the next layer of the model before sending it to the server. It can further remove the low-energy components of the remaining signal to improve the privacy at the cost of reducing the accuracy. The experimental results show that our methods provide privacy while main taining the accuracy and introducing only a small computational overhead.

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Uniform-Precision Neural Network Quantization via Neural Channel Expansion Seongmin Park, Beomseok Kwon, Kyuyoung Sim, Jieun Lim, Tae-Ho Kim, Jungwook Choi

Uniform-precision neural network quantization has gained popularity thanks to it s simple arithmetic unit densely packed for high computing capability. However, it ignores heterogeneous sensitivity to the impact of quantization across the la yers, resulting in sub-optimal inference accuracy. This work proposes a novel ap proach to adjust the network structure to alleviate the impact of uniform-precis ion quantization. The proposed neural architecture search selectively expands ch annels for the quantization sensitive layers while satisfying hardware constrain ts (e.g., FLOPs). We provide substantial insights and empirical evidence that the proposed search method called neural channel expansion can adapt several popul ar networks' channels to achieve superior 2-bit quantization accuracy on CIFAR10 and ImageNet. In particular, we demonstrate the best-to-date Top-1/Top-5 accuracy for 2-bit ResNet50 with smaller FLOPs and the parameter size.

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Efficient Empowerment Estimation for Unsupervised Stabilization

Ruihan Zhao, Kevin Lu, Pieter Abbeel, Stas Tiomkin

Intrinsically motivated artificial agents learn advantageous behavior without ex ternally-provided rewards. Previously, it was shown that maximizing mutual infor mation between agent actuators and future states, known as the empowerment princ iple, enables unsupervised stabilization of dynamical systems at upright positio ns, which is a prototypical intrinsically motivated behavior for upright standin g and walking. This follows from the coincidence between the objective of stabil ization and the objective of empowerment. Unfortunately, sample-based estimation of this kind of mutual information is challenging. Recently, various variationa 1 lower bounds (VLBs) on empowerment have been proposed as solutions; however, t hey are often biased, unstable in training, and have high sample complexity. In this work, we propose an alternative solution based on a trainable representation n of a dynamical system as a Gaussian channel, which allows us to efficiently ca lculate an unbiased estimator of empowerment by convex optimization. We demonstr ate our solution for sample-based unsupervised stabilization on different dynami cal control systems and show the advantages of our method by comparing it to the existing VLB approaches. Specifically, we show that our method has a lower samp le complexity, is more stable in training, possesses the essential properties of the empowerment function, and allows estimation of empowerment from images. Con sequently, our method opens a path to wider and easier adoption of empowerment f or various applications.

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Efficient Graph Neural Architecture Search

Huan Zhao, Lanning Wei, quanming yao, Zhiqiang He

Recently, graph neural networks (GNN) have been demonstrated effective in various graph-based tasks.

To obtain state-of-the-art (SOTA) data-specific GNN architectures, researchers t urn to the neural architecture search (NAS) methods.

However, it remains to be a challenging problem to conduct efficient architectur e search for GNN.

In this work, we present a novel framework for Efficient GrAph Neural architecture search (EGAN).

By designing a novel and expressive search space, an efficient one-shot NAS meth od based on stochastic relaxation and natural gradient is proposed.

Further, to enable architecture search in large graphs, a transfer learning para digm is designed.

Extensive experiments, including node-level and graph-level tasks, are conducted . The results show that the proposed EGAN can obtain SOTA data-specific architec tures, and reduce the search cost by two orders of magnitude compared to existin g NAS baselines.

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Self-supervised and Supervised Joint Training for Resource-rich Machine Translation

Yong Cheng, Wei Wang., Lu Jiang, Wolfgang Macherey

Self-supervised pre-training of text representations has been successfully appli ed to low-resource Neural Machine Translation (NMT). However, it usually fails t o achieve notable gains on resource-rich NMT. In this paper, we propose a joint training approach, \$F\_2\$-XEnDec, to combine self-supervised and supervised learn ing to optimize NMT models. To exploit complementary self-supervised signals for supervised learning, NMT models are trained on examples that are interbred from monolingual and parallel sentences through a new process called crossover encoder-decoder. Experiments on two resource-rich translation bench-marks, WMT'14 English-German and WMT'14 English-French, demonstrate that our approach achieves substantial improvements over a vanilla Transformer and obtains a new state of the art of 46 BLEU on English-French. Results also show that our approach is capa ble of improving model robustness against input perturbations which is known as a key weakness in contemporary NMT systems.

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Speeding up Deep Learning Training by Sharing Weights and Then Unsharing Shuo Yang, Le Hou, Xiaodan Song, qiang liu, Denny Zhou

It has been widely observed that increasing deep learning model sizes often lead s to significant performance improvements on a variety of natural language proce ssing and computer vision tasks. In the meantime, however, computational costs a nd training time would dramatically increase when models get larger. In this pap er, we propose a simple approach to speed up training for a particular kind of d eep networks which contain repeated structures, such as the transformer module. In our method, we first train such a deep network with the weights shared acros s all the repeated layers till some point. We then stop weight sharing and continue training until convergence. The untying point is automatically determined by monitoring gradient statistics. Our adaptive untying criterion is obtained from a theoretic analysis over deep linear networks. Empirical results show that our method is able to reduce the training time of BERT by 50%.

Robust Multi-Agent Reinforcement Learning Driven by Correlated Equilibrium Yizheng Hu, Kun Shao, Dong Li, Jianye HAO, Wulong Liu, Yaodong Yang, Jun Wang, Zhanxing Zhu

In this paper we deal with robust cooperative multi-agent reinforcement learning (CMARL). While CMARL has many potential applications, only a trained policy tha t is robust enough can be confidently deployed in real world. Existing works on robust MARL mainly apply vanilla adversarial training in centralized training an d decentralized execution paradigm. We, however, find that if a CMARL environmen t contains an adversarial agent, the performance of decentralized equilibrium mi ght perform significantly poor for achieving such adversarial robustness. To tac kle this issue, we suggest that when execution the non-adversarial agents must j ointly make the decision to improve the robustness, therefore solving correlated equilibrium instead. We theoretically demonstrate the superiority of correlated equilibrium over the decentralized one in adversarial MARL settings. Therefore, to achieve robust CMARL, we introduce novel strategies to encourage agents to 1 earn correlated equilibrium while maximally preserving the convenience of the de centralized execution. The global variables with mutual information are proposed to help agents learn robust policies with MARL algorithms. The experimental res ults show that our method can dramatically boost performance on the SMAC environ ments.

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Driving through the Lens: Improving Generalization of Learning-based Steering us ing Simulated Adversarial Examples

Yu Shen, Laura Yu Zheng, Manli Shu, Weizi Li, Tom Goldstein, Ming Lin

To ensure the wide adoption and safety of autonomous driving, the vehicles need to be able to drive under various lighting, weather, and visibility conditions in different environments. These external and environmental factors, along with internal factors associated with sensors, can pose significant challenges to perceptual data processing, hence affecting the decision-making of the vehicle. In this work, we address this critical issue by analyzing the sensitivity of the learning algorithm with respect to varying quality in the image input for autonomous driving. Using the results of sensitivity analysis, we further propose an algorithm to improve the overall performance of the task of ``learning to steer''.

The results show that our approach is able to enhance the learning outcomes up t o 48%. A comparative study drawn between our approach and other related techniques, such as data augmentation and adversarial training, confirms the effectiveness of our algorithm as a way to improve the robustness and generalization of neural network training for self-driving cars.

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An Open Review of OpenReview: A Critical Analysis of the Machine Learning Conference Review Process

David Tran, Alexander V Valtchanov, Keshav R Ganapathy, Raymond Feng, Eric Victor Slud, Micah Goldblum, Tom Goldstein

Mainstream machine learning conferences have seen a dramatic increase in the num ber of participants, along with a growing range of perspectives, in recent years . Members of the machine learning community are likely to overhear allegations ranging from randomness of acceptance decisions to institutional bias. In this work, we critically analyze the review process through a comprehensive study of papers submitted to ICLR between 2017 and 2020. We quantify reproducibility/ran domness in review scores and acceptance decisions, and examine whether scores co rrelate with paper impact. Our findings suggest strong institutional bias in ac cept/reject decisions, even after controlling for paper quality. Furthermore, we find evidence for a gender gap, with female authors receiving lower scores, low er acceptance rates, and fewer citations per paper than their male counterparts.

We conclude our work with recommendations for future conference organizers.

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MixKD: Towards Efficient Distillation of Large-scale Language Models Kevin J Liang, Weituo Hao, Dinghan Shen, Yufan Zhou, Weizhu Chen, Changyou Chen, Lawre nce Carin

Large-scale language models have recently demonstrated impressive empirical perf ormance. Nevertheless, the improved results are attained at the price of bigger models, more power consumption, and slower inference, which hinder their applica bility to low-resource (both memory and computation) platforms. Knowledge distil lation (KD) has been demonstrated as an effective framework for compressing such big models. However, large-scale neural network systems are prone to memorize t raining instances, and thus tend to make inconsistent predictions when the data distribution is altered slightly. Moreover, the student model has few opportunit ies to request useful information from the teacher model when there is limited t ask-specific data available. To address these issues, we propose MixKD, a data-a gnostic distillation framework that leverages mixup, a simple yet efficient data augmentation approach, to endow the resulting model with stronger generalizatio n ability. Concretely, in addition to the original training examples, the studen t model is encouraged to mimic the teacher's behavior on the linear interpolatio n of example pairs as well. We prove from a theoretical perspective that under r easonable conditions MixKD gives rise to a smaller gap between the generalizatio n error and the empirical error. To verify its effectiveness, we conduct experim ents on the GLUE benchmark, where MixKD consistently leads to significant gains over the standard KD training, and outperforms several competitive baselines. Ex periments under a limited-data setting and ablation studies further demonstrate the advantages of the proposed approach.

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AR-ELBO: Preventing Posterior Collapse Induced by Oversmoothing in Gaussian VAE Yuhta Takida, Wei-Hsiang Liao, Toshimitsu Uesaka, Shusuke Takahashi, Yuki Mitsufuji Variational autoencoders (VAEs) often suffer from posterior collapse, which is a phenomenon that the learned latent space becomes uninformative. This is related to local optima introduced by a fixed hyperparameter resembling the data varian ce in the objective function. We suggest that this variance parameter regularize s the VAE and affects its smoothness, which is the magnitude of its gradient. An inappropriate choice of this parameter causes oversmoothness and leads to poste rior collapse. This is shown theoretically by analysis on the linear approximate d objective function and empirically in general cases. We propose AR-ELBO, which stands for adaptively regularized ELBO~(Evidence Lower BOund). It controls the strength of regularization by adapting the variance parameter, and thus avoids o

versmoothing the model. Generation models trained by proposed objectives show im proved Fréchet inception distance~(FID) of images generated from the MNIST and C elebA datasets.

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Consistency and Monotonicity Regularization for Neural Knowledge Tracing Seewoo Lee, Youngduck Choi, Juneyoung Park, Byungsoo Kim, Jinwoo Shin Knowledge Tracing (KT), tracking a human's knowledge acquisition, is a central c omponent in online learning and AI in Education. In this paper, we present a sim ple, yet effective strategy to improve the generalization ability of KT models: we propose three types of novel data augmentation, coined replacement, insertion, and deletion, along with corresponding regularization losses that impose certa in consistency or monotonicity bias on model's predictions for the original and augmented sequence. Extensive experiments on various KT benchmarks show that our regularization scheme significantly improve the prediction performances, under 3 widely-used neural networks and 4 public benchmarks for KT, e.g., it yields 6. 3% improvement in AUC under the DKT model and the ASSISTmentsChall dataset.

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DCT-SNN: Using DCT to Distribute Spatial Information over Time for Learning Low-Latency Spiking Neural Networks

Isha Garq, Sayeed Shafayet Chowdhury, Kaushik Roy

Spiking Neural Networks (SNNs) offer a promising alternative to traditional deep learning frameworks, since they provide higher

computational efficiency due to event-driven information processing. SNNs distribute the analog values of pixel intensities into binary spikes over time. However, the most widely used input coding schemes, such as Poisson based rate-coding, do not leverage the additional temporal learning capability of SNNs effectively. Moreover, these SNNs suffer from high inference latency which is a major bottleneck to their deployment. To overcome this, we propose a scalable time-based encoding scheme that utilizes the Discrete Cosine Transform (DCT) to reduce the number of timesteps required for inference. DCT decomposes an image into a weighted sum of sinusoidal basis images. At each time step, a single frequency base, taken in order and modulated

by its corresponding DCT coefficient, is input to an accumulator that generates spikes upon crossing a threshold. We use the proposed scheme to learn DCT-SNN, a low-latency deep SNN with leaky-integrate-and-fire neurons, trained using surro gate gradient descent based backpropagation. We achieve top-1 accuracy of 89.94%, 68.3% and 52.43% on CIFAR-10, CIFAR-100 and TinyImageNet, respectively using V GG architectures. Notably, DCT-SNN performs inference with 2-14X reduced latency compared to other state-of-the-art SNNs, while achieving comparable accuracy to their standard deep learning counterparts. The dimension of the transform allow s us to control the number of timesteps required for inference. Additionally, we can trade-off accuracy with latency in a principled manner by dropping the high est frequency components during inference.

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What's new? Summarizing Contributions in Scientific Literature Hiroaki Hayashi, Wojciech Maciej Kryscinski, Bryan McCann, Nazneen Rajani, Caiming X iong

With thousands of academic articles shared on a daily basis, it has become incre asingly difficult to keep up with the latest scientific findings. To overcome th is problem, we introduce a new task of \$\textit{disentangled paper summarization} \$\$, which seeks to generate separate summaries for the paper contributions and the context of the work, making it easier to identify the key findings shared in articles. For this purpose, we extend the S2ORC corpus of academic articles, which spans a diverse set of domains ranging from economics to psychology, by adding disentangled "contribution" and "context" reference labels. Together with the dataset, we introduce and analyze three baseline approaches: 1) a unified model controlled by input code prefixes, 2) a model with separate generation heads specialized in generating the disentangled outputs, and 3) a training strategy that guides the model using additional supervision coming from inbound and outbound citations. We also propose a comprehensive automatic evaluation protocol which r

eports the \$\textit{relevance}\$, \$\textit{novelty}\$, and \$\textit{disentanglemen t}\$ of generated outputs. Through a human study involving expert annotators, we show that in 79%, of cases our new task is considered more helpful than traditio nal scientific paper summarization.

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CaPC Learning: Confidential and Private Collaborative Learning

Christopher A. Choquette-Choo, Natalie Dullerud, Adam Dziedzic, Yunxiang Zhang, Some sh Jha, Nicolas Papernot, Xiao Wang

Machine learning benefits from large training datasets, which may not always be possible to collect by any single entity, especially when using privacy-sensitiv e data. In many contexts, such as healthcare and finance, separate parties may w ish to collaborate and learn from each other's data but are prevented from doing so due to privacy regulations. Some regulations prevent explicit sharing of dat a between parties by joining datasets in a central location (confidentiality). O thers also limit implicit sharing of data, e.g., through model predictions (priv acy). There is currently no method that enables machine learning in such a setti ng, where both confidentiality and privacy need to be preserved, to prevent both explicit and implicit sharing of data. Federated learning only provides confide ntiality, not privacy, since gradients shared still contain private information. Differentially private learning assumes unreasonably large datasets. Furthermor e, both of these learning paradigms produce a central model whose architecture w as previously agreed upon by all parties rather than enabling collaborative lear ning where each party learns and improves their own local model. We introduce Co nfidential and Private Collaborative (CaPC) learning, the first method provably achieving both confidentiality and privacy in a collaborative setting. We levera ge secure multi-party computation (MPC), homomorphic encryption (HE), and other techniques in combination with privately aggregated teacher models. We demonstra te how CaPC allows participants to collaborate without having to explicitly join their training sets or train a central model. Each party is able to improve the accuracy and fairness of their model, even in settings where each party has a m odel that performs well on their own dataset or when datasets are not IID and mo del architectures are heterogeneous across parties.

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Multiplicative Filter Networks

Rizal Fathony, Anit Kumar Sahu, Devin Willmott, J Zico Kolter

Although deep networks are typically used to approximate functions over high dim ensional inputs, recent work has increased interest in neural networks as functi on approximators for low-dimensional-but-complex functions, such as representing images as a function of pixel coordinates, solving differential equations, or r epresenting signed distance fields or neural radiance fields. Key to these rece nt successes has been the use of new elements such as sinusoidal nonlinearities, or Fourier features in positional encodings, which vastly outperform simple ReL U networks. In this paper, we propose and empirically demonstrate that an argua bly simpler class of function approximators can work just as well for such probl ems: multiplicative filter networks. In these networks, we avoid traditional co mpositional depth altogether, and simply multiply together (linear functions of) sinusoidal or Gabor wavelet functions applied to the input. This representatio n has the notable advantage that the entire function can simply be viewed as a l inear function approximator over an exponential number of Fourier or Gabor basis functions, respectively. Despite this simplicity, when compared to recent appr oaches that use Fourier features with ReLU networks or sinusoidal activation net works, we show that these multiplicative filter networks largely outperform or m atch the performance of these recent approaches on the domains highlighted in th ese past works.

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On the Robustness of Sentiment Analysis for Stock Price Forecasting Gabriel Deza, Colin Rowat, Nicolas Papernot

Machine learning (ML) models are known to be vulnerable to attacks both at train ing and test time. Despite the extensive literature on adversarial ML, prior eff

orts focus primarily on applications of computer vision to object recognition or sentiment analysis to movie reviews. In these settings, the incentives for adversaries to manipulate the model's prediction are often unclear and attacks require extensive control of direct inputs to the model. This makes it difficult to evaluate how severe the impact of vulnerabilities exposed is on systems deploying ML with little provenance guarantees for the input data. In this paper, we study adversarial ML with stock price forecasting. Adversarial incentives are clear and may be quantified experimentally through a simulated portfolio. We replicate an industry standard pipeline, which performs a sentiment analysis of Twitter data to forecast trends in stock prices. We show that an adversary can exploit the lack of provenance to indirectly use tweets to manipulate the model's perceived sentiment about a target company and in turn force the model to forecast price erroneously. Our attack is mounted at test time and does not modify the training data. Given past market anomalies, we conclude with a series of recommendations for the use of machine learning as input signal to trading algorithms.

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Signal Coding and Reconstruction using Spike Trains Anik Chattopadhyay, Arunava Banerjee

In many animal sensory pathways, the transformation from external stimuli to spi ke trains is essentially deterministic. In this context, a new mathematical fram ework for coding and reconstruction, based on a biologically plausible model of the spiking neuron, is presented. The framework considers encoding of a signal t hrough spike trains generated by an ensemble of neurons via a standard convolve-then-threshold mechanism, albeit with a wide variety of convolution kernels. Neu rons are distinguished by their convolution kernels and threshold values. Recons truction is posited as a convex optimization minimizing energy. Formal condition s under which perfect reconstruction of the signal from the spike trains is poss

ted to demonstrate the strength of the framework.

XMixup: Efficient Transfer Learning with Auxiliary Samples by Cross-Domain Mixup Xingjian Li, Haoyi Xiong, Haozhe An, Cheng-zhong Xu, Dejing Dou

ible are then identified. Coding experiments on a large audio dataset are presen

Transferring knowledge from large source datasets is an effective way to fine-tu ne the deep neural networks of the target task with a small sample size. A great number of algorithms have been proposed to facilitate deep transfer learning, a nd these techniques could be generally categorized into two groups - Regularized Learning of the target task using models that have been pre-trained from source datasets, and Multitask Learning with both source and target datasets to train a shared backbone neural network. In this work, we aim to improve the multitask paradigm for deep transfer learning via Cross-domain Mixup (XMixup). While the e xisting multitask learning algorithms need to run backpropagation over both the source and target datasets and usually consume a higher gradient complexity, XMi xup transfers the knowledge from source to target tasks more efficiently: for ev ery class of the target task, XMixup selects the auxiliary samples from the sour ce dataset and augments training samples via the simple mixup strategy. We evalu ate XMixup over six real world transfer learning datasets. Experiment results sh ow that XMixup improves the accuracy by 1.9% on average. Compared with other sta te-of-the-art transfer learning approaches, XMixup costs much less training time while still obtains higher accuracy.

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Generating Plannable Lifted Action Models for Visually Generated Logical Predica

Masataro Asai

We propose FOSAE++, an unsupervised end-to-end neural system that generates a compact discrete state transition model (dynamics / action model) from raw visual observations. Our representation can be exported to Planning Domain Description Language (PDDL), allowing symbolic state-of-the-art classical planners to perform high-level task planning on raw observations. FOSAE++ expresses states and actions in First Order Logic (FOL), a superset of so-called object-centric representation. It is the first unsupervised neural system that fully supports FOL in PD

DL action modeling, while existing systems are limited to continuous, propositio nal, or property-based representations, and/or require manually labeled input for actions/predicates/propositions.

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Planning from Pixels using Inverse Dynamics Models

Keiran Paster, Sheila A. McIlraith, Jimmy Ba

Learning dynamics models in high-dimensional observation spaces can be challenging for model-based RL agents. We propose a novel way to learn models in a latent space by learning to predict sequences of future actions conditioned on task completion. These models track task-relevant environment dynamics over a distribution of tasks, while simultaneously serving as an effective heuristic for planning with sparse rewards. We evaluate our method on challenging visual goal completion tasks and show a substantial increase in performance compared to prior model-free approaches.

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Semi-supervised Keypoint Localization

Olga Moskvyak, Frederic Maire, Feras Dayoub, Mahsa Baktashmotlagh

Knowledge about the locations of keypoints of an object in an image can assist in fine-grained classification and identification tasks, particularly for the case of objects that exhibit large variations in poses that greatly influence their visual appearance, such as wild animals. However, supervised training of a keypoint detection network requires annotating a large image dataset for each animal species, which is a labor-intensive task. To reduce the need for labeled data, we propose to learn simultaneously keypoint heatmaps and pose invariant keypoint representations in a semi-supervised manner using a small set of labeled images along with a larger set of unlabeled images. Keypoint representations are learn the with a semantic keypoint consistency constraint that forces the keypoint detection network to learn similar features for the same keypoint across the dataset. Pose invariance is achieved by making keypoint representations for the image and its augmented copies closer together in feature space. Our semi-supervised approach significantly outperforms previous methods on several benchmarks for human and animal body landmark localization.

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Influence Estimation for Generative Adversarial Networks

Naoyuki Terashita, Hiroki Ohashi, Yuichi Nonaka, Takashi Kanemaru

Identifying harmful instances, whose absence in a training dataset improves mode l performance, is important for building better machine learning models.

Although previous studies have succeeded in estimating harmful instances under s upervised settings, they cannot be trivially extended to generative adversarial networks (GANs).

This is because previous approaches require that (i) the absence of a training i nstance directly affects the loss value and that (ii) the change in the loss directly measures the harmfulness of the instance for the performance of a model.

In GAN training, however, neither of the requirements is satisfied.

This is because, (i) the generator's loss is not directly affected by the training instances as they are not part of the generator's training steps, and (ii) the values of GAN's losses normally do not capture the generative performance of a model.

To this end, (i) we propose an influence estimation method that uses the Jacobia n of the gradient of the generator's loss with respect to the discriminator's parameters (and vice versa) to trace how the absence of an instance in the discriminator's training affects the generator's parameters, and (ii) we propose a nove levaluation scheme, in which we assess harmfulness of each training instance on the basis of how GAN evaluation metric (e.g., inception score) is expected to change due to the removal of the instance.

We experimentally verified that our influence estimation method correctly inferr ed the changes in GAN evaluation metrics.

We also demonstrated that the removal of the identified harmful instances effect ively improved the model's generative performance with respect to various GAN ev aluation metrics.

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On the Neural Tangent Kernel of Equilibrium Models Zhili Feng, J Zico Kolter

Existing analyses of the neural tangent kernel (NTK) for infinite-depth networks show that the kernel typically becomes degenerate as the number of layers grows. This raises the question of how to apply such methods to practical "infinite depth" architectures such as the recently-proposed deep equilibrium (DEQ) model, which directly computes the infinite-depth limit of a weight-tied network via r oot-finding. In this work, we show that because of the input injection componen t of these networks, DEQ models have non-degenerate NTKs even in the infinite de pth limit. Furthermore, we show that these kernels themselves can be computed by an analogous root-finding problem as in traditional DEQs, and highlight method s for computing the NTK for both fully-connected and convolutional variants. We evaluate these models empirically, showing they match or improve upon the performance of existing regularized NTK methods.

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A Coach-Player Framework for Dynamic Team Composition

Bo Liu, qiang liu, Peter Stone, Animesh Garg, Yuke Zhu, Anima Anandkumar

In real-world multi-agent teams, agents with different capabilities may join or leave "on the fly" without altering the team's overarching goals. Coordinating t eams with such dynamic composition remains a challenging problem: the optimal te am strategy may vary with its composition. Inspired by real-world team sports, w e propose a coach-player framework to tackle this problem. We assume that the pl ayers only have a partial view of the environment, while the coach has a complet e view. The coach coordinates the players by distributing individual strategies. Specifically, we 1) propose an attention mechanism for both the players and the coach; 2) incorporate a variational objective to regularize learning; and 3) d esign an adaptive communication method to let the coach decide when to communica te with different players. Our attention mechanism on the players and the coach allows for a varying number of heterogeneous agents, and can thus tackle the dyn amic team composition. We validate our methods on resource collection tasks in multi-agent particle environment. We demonstrate zero-shot generalization to new team compositions with varying numbers of heterogeneous agents. The performanc e of our method is comparable or even better than the setting where all players have a full view of the environment, but no coach. Moreover, we see that the pe rformance stays nearly the same even when the coach communicates as little as 13 % of the time using our adaptive communication strategy. These results demonstr the significance of a coach to coordinate players in dynamic teams.

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Emergent Road Rules In Multi-Agent Driving Environments Avik Pal, Jonah Philion, Yuan-Hong Liao, Sanja Fidler

For autonomous vehicles to safely share the road with human drivers, autonomous vehicles must abide by specific "road rules" that human drivers have agreed to f ollow. "Road rules" include rules that drivers are required to follow by law - s uch as the requirement that vehicles stop at red lights - as well as more subtle social rules - such as the implicit designation of fast lanes on the highway. I n this paper, we provide empirical evidence that suggests that - instead of hard -coding road rules into self-driving algorithms - a scalable alternative may be to design multi-agent environments in which road rules emerge as optimal solutio ns to the problem of maximizing traffic flow. We analyze what ingredients in dr iving environments cause the emergence of these road rules and find that two cru cial factors are noisy perception and agents' spatial density. We provide quali tative and quantitative evidence of the emergence of seven social driving behavi ors, ranging from obeying traffic signals to following lanes, all of which emerg e from training agents to drive quickly to destinations without colliding. Our r esults add empirical support for the social road rules that countries worldwide have agreed on for safe, efficient driving.

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Prior Preference Learning From Experts: Designing A Reward with Active Inference Jin Young Shin, Cheolhyeong Kim, Hyung Ju Hwang Active inference may be defined as Bayesian modeling of a brain with a biologica lly plausible model of the agent. Its primary idea relies on the free energy pri nciple and the prior preference of the agent. An agent will choose an action that t leads to its prior preference for a future observation. In this paper, we claim that active inference can be interpreted using reinforcement learning (RL) algorithms and find a theoretical connection between them. We extend the concept of expected free energy (EFE), which is a core quantity in active inference, and c laim that EFE can be treated as a negative value function. Motivated by the concept of prior preference and a theoretical connection, we propose a simple but no vel method for learning a prior preference from experts. This illustrates that the problem with RL can be approached with a new perspective of active inference. Experimental results of prior preference learning show the possibility of active inference with EFE-based rewards and its application to an inverse RL problem.

SSD: A Unified Framework for Self-Supervised Outlier Detection Vikash Sehwag, Mung Chiang, Prateek Mittal

We ask the following question: what training information is required to design a n effective outlier/out-of-distribution (OOD) detector, i.e., detecting samples that lie far away from training distribution? Since unlabeled data is easily acc essible for many applications, the most compelling approach is to develop detect ors based on only unlabeled in-distribution data. However, we observe that most existing detectors based on unlabeled data perform poorly, often equivalent to a random prediction. In contrast, existing state-of-the-art OOD detectors achieve impressive performance but require access to fine-grained data labels for super vised training. We propose SSD, an outlier detector based on only unlabeled in-d istribution data. We use self-supervised representation learning followed by a M ahalanobis distance based detection in the feature space. We demonstrate that SS D outperforms most existing detectors based on unlabeled data by a large margin. Additionally, SSD even achieves performance on par, and sometimes even better, with supervised training based detectors. Finally, we expand our detection fram ework with two key extensions. First, we formulate few-shot OOD detection, in wh ich the detector has access to only one to five samples from each class of the t argeted OOD dataset. Second, we extend our framework to incorporate training dat a labels, if available. We find that our novel detection framework based on SSD displays enhanced performance with these extensions, and achieves state-of-the-a rt performance. Our code is publicly available at https://github.com/inspire-gro up/SSD.

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ECONOMIC HYPERPARAMETER OPTIMIZATION WITH BLENDED SEARCH STRATEGY

Chi Wang, Qingyun Wu, Silu Huang, Amin Saied

We study the problem of using low cost to search for hyperparameter configurations in a large search space with heterogeneous evaluation cost and model quality. We propose a blended search strategy to combine the strengths of global and local search, and prioritize them on the fly with the goal of minimizing the total cost spent in finding good configurations. Our approach demonstrates robust performance for tuning both tree-based models and deep neural networks on a large AutomL benchmark, as well as superior performance in model quality, time, and resource consumption for a production transformer-based NLP model fine-tuning task.

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Precondition Layer and Its Use for GANs

Tiantian Fang, Alex Schwing, Ruoyu Sun

One of the major challenges when training generative adversarial nets (GANs) is instability. To address this instability spectral normalization (SN) is remarka bly successful. However, SN-GAN still suffers from training instabilities, espe cially when working with higher-dimensional data. We find that those instabilities are accompanied by large condition numbers of the discriminator weight matrices. To improve training stability we study common linear-algebra practice and employ preconditioning. Specifically, we introduce a preconditioning layer (PC-layer)that performs a low-degree polynomial preconditioning. We use this PC-layer in two ways: 1) fixed preconditioning (FPC) adds a fixed PC-layer

to all layers, and 2) adaptive preconditioning (APC) adaptively controls the strength of preconditioning. Empirically, we show that FPC and APC stabilize the training of un-conditional GANs using classical architectures. On LSUN256×256 data, APC improves FID scores by around 5 points over baselines.

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When Do Curricula Work?

Xiaoxia Wu, Ethan Dyer, Behnam Neyshabur

Inspired by human learning, researchers have proposed ordering examples during t raining based on their difficulty. Both curriculum learning, exposing a network to easier examples early in training, and anti-curriculum learning, showing the most difficult examples first, have been suggested as improvements to the standa rd i.i.d. training. In this work, we set out to investigate the relative benefit s of ordered learning. We first investigate the implicit curricula resulting fro m architectural and optimization bias and find that samples are learned in a hig hly consistent order. Next, to quantify the benefit of explicit curricula, we co nduct extensive experiments over thousands of orderings spanning three kinds of learning: curriculum, anti-curriculum, and random-curriculum -- in which the siz e of the training dataset is dynamically increased over time, but the examples a re randomly ordered. We find that for standard benchmark datasets, curricula hav e only marginal benefits, and that randomly ordered samples perform as well or b etter than curricula and anti-curricula, suggesting that any benefit is entirely due to the dynamic training set size. Inspired by common use cases of curriculu m learning in practice, we investigate the role of limited training time budget and noisy data in the success of curriculum learning. Our experiments demonstrat e that curriculum, but not anti-curriculum or random ordering can indeed improve the performance either with limited training time budget or in the existence of

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Orthogonalizing Convolutional Layers with the Cayley Transform

Asher Trockman, J Zico Kolter

Recent work has highlighted several advantages of enforcing orthogonality in the weight layers of deep networks, such as maintaining the stability of activation s, preserving gradient norms, and enhancing adversarial robustness by enforcing low Lipschitz constants. Although numerous methods exist for enforcing the ortho gonality of fully-connected layers, those for convolutional layers are more heur istic in nature, often focusing on penalty methods or limited classes of convolu tions. In this work, we propose and evaluate an alternative approach to directly parameterize convolutional layers that are constrained to be orthogonal. Specif ically, we propose to apply the Cayley transform to a skew-symmetric convolution in the Fourier domain, so that the inverse convolution needed by the Cayley tra nsform can be computed efficiently. We compare our method to previous Lipschitzconstrained and orthogonal convolutional layers and show that it indeed preserve s orthogonality to a high degree even for large convolutions. Applied to the pro blem of certified adversarial robustness, we show that networks incorporating th e layer outperform existing deterministic methods for certified defense against \$\ell\_2\$-norm-bounded adversaries, while scaling to larger architectures than pr eviously investigated. Code is available at https://github.com/locuslab/orthogon al-convolutions.

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Inductive Representation Learning in Temporal Networks via Causal Anonymous Walk  $\overline{a}$ 

Yanbang Wang, Yen-Yu Chang, Yunyu Liu, Jure Leskovec, Pan Li

Temporal networks serve as abstractions of many real-world dynamic systems. Thes e networks typically evolve according to certain laws, such as the law of triadic closure, which is universal in social networks. Inductive representation learning of temporal networks should be able to capture such laws and further be applied to systems that follow the same laws but have not been unseen during the training stage. Previous works in this area depend on either network node identities or rich edge attributes and typically fail to extract these laws. Here, we propose {\emptype means the causal Anonymous Walks (CAWs)} to inductively represent a temporal net

work. CAWs are extracted by temporal random walks and work as automatic retrieva l of temporal network motifs to represent network dynamics while avoiding the time-consuming selection and counting of those motifs. CAWs adopt a novel anonymiz ation strategy that replaces node identities with the hitting counts of the node s based on a set of sampled walks to keep the method inductive, and simultaneous ly establish the correlation between motifs. We further propose a neural-network model CAW-N to encode CAWs, and pair it with a CAW sampling strategy with const ant memory and time cost to support online training and inference. CAW-N is evaluated to predict links over 6 real temporal networks and uniformly outperforms previous SOTA methods by averaged 15\% AUC gain in the inductive setting. CAW-N a lso outperforms previous methods in 5 out of the 6 networks in the transductive setting.

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ALT-MAS: A Data-Efficient Framework for Active Testing of Machine Learning Algor ithms

Huong Ha, Sunil Gupta, Santu Rana, Svetha Venkatesh

Machine learning models are being used extensively in many important areas, but there is no guarantee that a model will always perform well or as its developers intended. Understanding the correctness of a model is crucial to prevent potent ial failures that may have significant detrimental impact in critical applicatio n areas. In this paper, we propose a novel framework to efficiently test a machi ne learning model using only a small amount of labelled test data. The core idea is to efficiently estimate the metrics of interest for a model-under-test using Bayesian neural network. We develop a methodology to efficiently train the Baye sian neural network from the limited number of labelled data. We also devise an entropy-based sampling strategy to sample the data point such that the proposed framework can give accurate estimations for the metrics of interest. Finally, we conduct an extensive set of experiments to test various machine learning models for different types of metrics. Our experiments with multiple datasets show that given a testing budget, the estimation of the metrics by our method is significantly better compared to existing state-of-the-art approaches.

Inferring Principal Components in the Simplex with Multinomial Variational Autoe ncoders

James Morton, Justin Silverman, Gleb Tikhonov, Harri Lähdesmäki, Rich Bonneau Covariance estimation on high-dimensional data is a central challenge across mul tiple scientific disciplines. Sparse high-dimensional count data, frequently enc ountered in biological applications such as DNA sequencing and proteomics, are o ften well modeled using multinomial logistic normal models. In many cases, thes e datasets are also compositional, presented item-wise as fractions of a normali zed total, due to measurement and instrument constraints. In compositional setti ngs, three key factors limit the ability of these models to estimate covariance: (1) the computational complexity of inverting high-dimensional covariance matri ces, (2) the non-exchangeability introduced from the summation constraint on mul tinomial parameters, and (3) the irreducibility of the component multinomial log istic normal distribution that necessitates the use of parameter augmentation, o r similar techniques, during inference. We show that a variational autoencoder a ugmented with a fast isometric log-ratio (ILR) transform can address these issue s and accurately estimate principal components from multinomially logistic norma l distributed data.

This model can be optimized on GPUs and modified to handle mini-batching, with the ability to scale across thousands of dimensions and thousands of samples.

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Accelerating DNN Training through Selective Localized Learning Sarada Krithivasan, Sanchari Sen, Swagath Venkataramani, Anand Raghunathan Training Deep Neural Networks (DNNs) places immense compute requirements on the underlying hardware platforms, expending large amounts of time and energy. We proposeLoCal+SGD, a new algorithmic approach to accelerate DNN train-ing by select ively combining localized or Hebbian learning within a StochasticGradient Descent (SGD) based training framework. Back-propagation is a computationally expensiv

e process that requires 2 Generalized Matrix Multiply (GEMM)operations to comput e the error and weight gradients for each layer. We alleviate this by selectivel y updating some layers' weights using localized learning rules that require only 1 GEMM operation per layer. Further, since the weight update is performed durin g the forward pass itself, the layer activations for the mini-batch do not need to be stored until the backward pass, resulting in a reduced memory footprint. L ocalized updates can substantially boost training speed, but need to be used sel ectively and judiciously in order to preserve accuracy and convergence. We addre ss this challenge through the design of a Learning Mode Selection Algorithm, whe re all layers start with SGD, and as epochs progress, layers gradually transitio n to localized learning. Specifically, for each epoch, the algorithm identifies a Localized -> SGDtransition layer, which delineates the network into two regions. Layers before the transition layer use localized updates, while the transition 1 ayer and later layers use gradient-based updates. The trend in the weight update s made to the transition layer across epochs is used to determine how the bounda ry between SGD and localized updates is shifted in future epochs. We also propose a low-cost weak supervision mechanism by controlling the learning rate of local ized updates based on the overall training loss. We applied LoCal+SGDto 8 image recognition CNNs (including ResNet50 and MobileNetV2) across 3 datasets (Cifar10 , Cifar100and ImageNet). Our measurements on a Nvidia GTX 1080Ti GPU demonstrate upto 1.5ximprovement in end-to-end training time with~0.5% loss in Top-1classif ication accuracy.

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Nonconvex Continual Learning with Episodic Memory

Sungyeob Han, Yeongmo Kim, Jungwoo Lee

Continual learning aims to prevent catastrophic forgetting while learning a new task without accessing data of previously learned tasks.

The memory for such learning scenarios build a small subset of the data for previous tasks and is used in various ways such as quadratic programming and sample selection.

Current memory-based continual learning algorithms are formulated as a constrain ed optimization problem and rephrase constraints as a gradient-based approach. However, previous works have not provided the theoretical proof on convergence to previously learned tasks.

In this paper, we propose a theoretical convergence analysis of continual learning based on stochastic gradient descent method.

Our method, nonconvex continual learning (NCCL), can achieve the same convergenc e rate when the proposed catastrophic forgetting term is suppressed at each iter ation.

We also show that memory-based approaches have an inherent problem of overfittin g to memory, which degrades the performance on previously learned tasks, namely catastrophic forgetting.

We empirically demonstrate that NCCL successfully performs continual learning wi th episodic memory by scaling learning rates adaptive to mini-batches on several image classification tasks.

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Robust Overfitting may be mitigated by properly learned smoothening Tianlong Chen, Zhenyu Zhang, Sijia Liu, Shiyu Chang, Zhangyang Wang

A recent study (Rice et al., 2020) revealed overfitting to be a dominant phenom enon in adversarially robust training of deep networks, and that appropriate ear ly-stopping of adversarial training (AT) could match the performance gains of mo st recent algorithmic improvements. This intriguing problem of robust overfittin g motivates us to seek more remedies. As a pilot study, this paper investigates two empirical means to inject more learned smoothening during AT: one leveraging knowledge distillation and self-training to smooth the logits, the other perfor ming stochastic weight averaging (Izmailov et al., 2018) to smooth the weights. Despite the embarrassing simplicity, the two approaches are surprisingly effective and hassle-free in mitigating robust overfitting. Experiments demonstrate that by plugging in them to AT, we can simultaneously boost the standard accuracy by \$3.72\%\sim6.68\%\$ and robust accuracy by \$0.22\%\sim2.03\%\$, across multiple

datasets (STL-10, SVHN, CIFAR-10, CIFAR-100, and Tiny ImageNet), perturbation types (\$\ell\_{\infty}\$ and \$\ell\_2\$), and robustified methods (PGD, TRADES, and FSGM), establishing the new state-of-the-art bar in AT. We present systematic visualizations and analyses to dive into their possible working mechanisms. We also carefully exclude the possibility of gradient masking by evaluating our models' robustness against transfer attacks. Codes are available at https://github.com/VITA-Group/Alleviate-Robust-Overfitting.

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Predicting Infectiousness for Proactive Contact Tracing

Yoshua Bengio, Prateek Gupta, Tegan Maharaj, Nasim Rahaman, Martin Weiss, Tristan Del eu, Eilif Benjamin Muller, Meng Qu, victor schmidt, Pierre-Luc St-Charles, hannah als durf, Olexa Bilaniuk, david buckeridge, gaetan caron, pierre luc carrier, Joumana Gho sn, satya ortiz gagne, Christopher Pal, Irina Rish, Bernhard Schölkopf, abhinav sharm a, Jian Tang, andrew williams

The COVID-19 pandemic has spread rapidly worldwide, overwhelming manual contact tracing in many countries and resulting in widespread lockdowns for emergency co ntainment. Large-scale digital contact tracing (DCT) has emerged as a potential solution to resume economic and social activity while minimizing spread of the  $\boldsymbol{v}$ irus. Various DCT methods have been proposed, each making trade-offs be-tween pr ivacy, mobility restrictions, and public health. The most common approach, binar y contact tracing (BCT), models infection as a binary event, informed only by an individual's test results, with corresponding binary recommendations that eithe r all or none of the individual's contacts quarantine. BCT ignores the inherent uncertainty in contacts and the infection process, which could be used to tailor messaging to high-risk individuals, and prompt proactive testing or earlier war nings. It also does not make use of observations such as symptoms or pre-existin g medical conditions, which could be used to make more accurate infectiousness p redictions. In this paper, we use a recently-proposed COVID-19 epidemiological s imulator to develop and test methods that can be deployed to a smartphone to loc ally and proactively predict an individual's infectiousness (risk of infecting o thers) based on their contact history and other information, while respecting st rong privacy constraints. Predictions are used to provide personalized recommend ations to the individual via an app, as well as to send anonymized messages to t he individual's contacts, who use this information to better predict their own i nfectiousness, an approach we call proactive contact tracing (PCT). Similarly to other works, we find that compared to no tracing, all DCT methods tested are ab le to reduce spread of the disease and thus save lives, even at low adoption rat es, strongly supporting a role for DCT methods in managing the pandemic. Further , we find a deep-learning based PCT method which improves over BCT for equivalen t average mobility, suggesting PCT could help in safe re-opening and second-wave prevention.

Local Search Algorithms for Rank-Constrained Convex Optimization Kyriakos Axiotis, Maxim Sviridenko

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Learning Task Decomposition with Ordered Memory Policy Network

Yuchen Lu, Yikang Shen, Siyuan Zhou, Aaron Courville, Joshua B. Tenenbaum, Chuang Gan Many complex real-world tasks are composed of several levels of subtasks. Humans leverage these hierarchical structures to accelerate the learning process and a chieve better generalization. In this work, we study the inductive bias and prop ose Ordered Memory Policy Network (OMPN) to discover subtask hierarchy by learning from demonstration. The discovered subtask hierarchy could be used to perform task decomposition, recovering the subtask boundaries in an unstructured demons tration. Experiments on Craft and Dial demonstrate that our model can achieve higher task decomposition performance under both unsupervised and weakly supervised settings, comparing with strong baselines. OMPN can also be directly applied to partially observable environments and still achieve higher task decomposition performance. Our visualization further confirms that the subtask hierarchy can emerge in our model 1.

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Variational saliency maps for explaining model's behavior Jae Myung Kim, Eunji Kim, Seokhyeon Ha, Sungroh Yoon, Jungwoo Lee

Saliency maps have been widely used to explain the behavior of an image classifi er. We introduce a new interpretability method which considers a saliency map as a random variable and aims to calculate the posterior distribution over the saliency map. The likelihood function is designed to measure the distance between the classifier's predictive probability of an image and that of locally perturbed image. For the prior distribution, we make attributions of adjacent pixels have a positive correlation. We use a variational approximation, and show that the approximate posterior is effective in explaining the classifier's behavior. It also has benefits of providing uncertainty over the explanation, giving auxiliary information to experts on how much the explanation is trustworthy.

Federated Learning's Blessing: FedAvg has Linear Speedup Zhaonan Qu, Kaixiang Lin, Zhaojian Li, Jiayu Zhou, Zhengyuan Zhou

Federated learning (FL) learns a model jointly from a set of participating devic es without sharing each other's privately held data. The characteristics of non-\textit{i.i.d.} data across the network, low device participation, high communic ation costs, and the mandate that data remain private bring challenges in unders tanding the convergence of FL algorithms, particularly in regards to how converg ence scales with the number of participating devices. In this paper, we focus on Federated Averaging (FedAvg) -- arguably the most popular and effective FL algori thm class in use today--and provide a unified and comprehensive study of its con vergence rate. Although FedAvg has recently been studied by an emerging line of literature, it remains open as to how FedAvg's convergence scales with the numbe r of participating devices in the fully heterogeneous FL setting -- a crucial ques tion whose answer would shed light on the performance of FedAvg in large FL syst ems. We fill this gap by providing a unified analysis that establishes convergen ce guarantees for FedAvg under three classes of problems: strongly convex smooth , convex smooth, and overparameterized strongly convex smooth problems. We show that FedAvg enjoys linear speedup in each case, although with different converge nce rates and communication efficiencies. While there have been linear speedup r esults from distributed optimization that assumes full participation, ours are t he first to establish linear speedup for FedAvg under both statistical and syste m heterogeneity. For strongly convex and convex problems, we also characterize the corresponding convergence rates for the Nesterov accelerated FedAvg algorith m, which are the first linear speedup guarantees for momentum variants of FedAvg in the convex setting. To provably accelerate FedAvg, we design a new momentumbased FL algorithm that further improves the convergence rate in overparameteriz ed linear regression problems. Empirical studies of the algorithms in various se ttings have supported our theoretical results.

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Sandwich Batch Normalization

Xinyu Gong, Wuyang Chen, Tianlong Chen, Zhangyang Wang

We present Sandwich Batch Normalization (\$\textbf{SaBN}\$), a frustratingly easy improvement of Batch Normalization (BN) with only a few lines of code changes. S aBN is motivated by addressing the inherent \$\textit{feature distribution hetero} geneity}\$ that one can be identified in many tasks, which can arise from model h eterogeneity (dynamic architectures, model conditioning, etc.), or data heteroge neity (multiple input domains). A SaBN factorizes the BN affine layer into one s hared \$\textit{sandwich affine}\$ layer, cascaded by several parallel \$\textit{in dependent affine}\$ layers. Its variants include further decomposing the normaliz ation layer into multiple parallel ones, and extending similar ideas to instance normalization. We demonstrate the prevailing effectiveness of SaBN (as well as its variants) as a \$\textbf{drop-in replacement in four tasks}\$: neural architec ture search (NAS), image generation, adversarial training, and style transfer. L everaging SaBN immediately boosts two state-of-the-art weight-sharing NAS algori thms significantly on NAS-Bench-201; achieves better Inception Score and FID on CIFAR-10 and ImageNet conditional image generation with three state-of-the art G ANs; substantially improves the robust and standard accuracy for adversarial def ense; and produces superior arbitrary stylized results. We also provide visualiz ations and analysis to help understand why SaBN works. All our codes and pre-tra ined models will be released upon acceptance.

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Conditional Networks

Anthony Ortiz, Kris Sankaran, Olac Fuentes, Christopher Kiekintveld, Pascal Vincent, Yoshua Bengio, Doina Precup

In this work we tackle the problem of out-of-distribution generalization through conditional computation. Real-world applications often exhibit a larger distributional shift between training and test data than most datasets used in research. On the other hand, training data in such applications often comes with additional annotation. We propose a method for leveraging this extra information by using an auxiliary network that modulates activations of the main network. We show that this approach improves performance over a strong baseline on the Inria Aerial Image Labeling and the Tumor-Infiltrating Lymphocytes (TIL) Datasets, which by design evaluate out-of-distribution generalization in both semantic segmentation and image classification.

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Noisy Agents: Self-supervised Exploration by Predicting Auditory Events Chuang Gan, Xiaoyu Chen, Phillip Isola, Antonio Torralba, Joshua B. Tenenbaum Humans integrate multiple sensory modalities (e.g., visual and audio) to build a causal understanding of the physical world. In this work, we propose a novel ty pe of intrinsic motivation for Reinforcement Learning (RL) that encourages the a gent to understand the causal effect of its actions through auditory event prediction. First, we allow the agent to collect a small amount of acoustic data and use K-means to discover underlying auditory event clusters. We then train a neur al network to predict the auditory events and use the prediction errors as intrinsic rewards to guide RL exploration. We first conduct an in-depth analysis of our module using a set of Atari games. We then apply our model to audio-visual exploration using the Habitat simulator and active learning using the TDW simulat or. Experimental results demonstrate the advantages of using audio signals over vision-based models as intrinsic rewards to guide RL explorations.

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Exploiting Verified Neural Networks via Floating Point Numerical Error Kai Jia, Martin Rinard

Motivated by the need to reliably characterize the robustness of deep neural net works, researchers have developed verification algorithms for deep neural networks. Given a neural network, the verifiers aim to answer whether certain properties are guaranteed with respect to all inputs in a space. However, little attention has been paid to floating point numerical error in neural network verification.

We exploit floating point errors in the inference and verification implementation ns to construct adversarial examples for neural networks that a verifier claims

to be robust with respect to certain inputs. We argue that, to produce sound ver ification results, any verification system must accurately (or conservatively) m odel the effects of any float point computations in the network inference or ver ification system.

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Approximation Algorithms for Sparse Principal Component Analysis Agniva Chowdhury, Petros Drineas, David Woodruff, Samson Zhou

Principal component analysis (PCA) is a widely used dimension reduction technique in machine learning and multivariate statistics. To improve the interpretability of PCA, various approaches to obtain sparse principal direction loadings have been proposed, which are termed Sparse Principal Component Analysis (SPCA). In this paper, we present three provably accurate, polynomial time, approximation a lgorithms for the SPCA problem, without imposing any restrictive assumptions on the input covariance matrix. The first algorithm is based on randomized matrix multiplication; the second algorithm is based on a novel deterministic thresholding scheme; and the third algorithm is based on a semidefinite programming relaxation of SPCA. All algorithms come with provable guarantees and run in low-degree polynomial time. Our empirical evaluations confirm our theoretical findings.

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VilNMN: A Neural Module Network approach to Video-Grounded Language Tasks Hung Le, Nancy F. Chen, Steven Hoi

Neural module networks (NMN) have achieved success in image-grounded tasks such as question answering (QA) on synthetic images. However, very limited work on NM N has been studied in the video-grounded language tasks. These tasks extend the complexity of traditional visual tasks with the additional visual temporal varia nce. Motivated by recent NMN approaches on image-grounded tasks, we introduce V isio-Linguistic Neural Module Network (VilNMN) to model the information retrieva l process in video-grounded language tasks as a pipeline of neural modules. VilN MN first decomposes all language components to explicitly resolves entity refere nces and detect corresponding action-based inputs from the question. Detected en tities and actions are used as parameters to instantiate neural module networks and extract visual cues from the video. Our experiments show that VilNMN can ach ieve promising performance on two video-grounded language tasks: video QA and vi deo-grounded dialogues.

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On Linear Identifiability of Learned Representations Geoffrey Roeder, Luke Metz, Diederik P Kingma

Identifiability is a desirable property of a statistical model: it implies that the true model parameters may be estimated to any desired precision, given sufficient computational resources and data. We study identifiability in the context of representation learning: discovering nonlinear data representations that are optimal with respect to some downstream task. When parameterized as deep neural networks, such representation functions lack identifiability in parameter space, because they are overparameterized by design. In this paper, building on recent advances in nonlinear Independent Components Analysis, we aim to rehabilitate i dentifiability by showing that a large family of discriminative models are in fact identifiable in function space, up to a linear indeterminacy. Many models for representation learning in a wide variety of domains have been identifiable in this sense, including text, images and audio, state-of-the-art at time of public ation. We derive sufficient conditions for linear identifiability and provide empirical support for the result on both simulated and real-world data.

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Recall Loss for Imbalanced Image Classification and Semantic Segmentation Junjiao Tian, Niluthpol Chowdhury Mithun, Zachary Seymour, Han-pang Chiu, Zsolt Kira Class imbalance is a fundamental problem in computer vision applications such as semantic segmentation and image classification. Specifically, uneven class dist ributions in a training dataset often result in unsatisfactory performance on un der-represented classes. Many works have proposed to weigh the standard cross en tropy loss function with pre-computed weights based on class statistics such as the number of samples and class margins. There are two major drawbacks to these

methods: 1) constantly up-weighing minority classes can introduce excessive fals e positives especially in semantic segmentation; 2) many recent works discovered that pre-computed weights have adversarial effects on representation learning. In this regard, we propose a hard-class mining loss by reshaping the vanilla cross entropy loss such that it weights the loss for each class dynamically based on changing recall performance. We show mathematically that the novel recall loss changes gradually between the standard cross entropy loss and the well-known in verse frequency cross entropy loss and balances precision and accuracy. We first demonstrate that the proposed loss effectively balances precision and accuracy on semantic segmentation datasets, and leads to significant performance improvem ent over other state-of-the-art loss functions used in semantic segmentation, es pecially on shallow networks. On image classification, we design a simple two-he ad training strategy to show that the novel loss function improves representation learning on imbalanced datasets. We outperform the previously best performing method by \$5.7\%\$ on Place365-LT and by \$1.1\%\$ on iNaturalist.

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TwinDNN: A Tale of Two Deep Neural Networks

Hyunmin Jeong, Deming Chen

Compression technologies for deep neural networks (DNNs), such as weight quantiz ation, have been widely investigated to reduce the DNN model size so that they c an be implemented on hardware with strict resource restrictions. However, one ma jor downside of model compression is accuracy degradation. To deal with this pro blem effectively, we propose a new compressed network inference scheme, with a h igh accuracy but slower DNN coupled with its highly compressed DNN version that typically delivers much faster inference speed but with a lower accuracy. During inference, we determine the confidence of the prediction of the compressed DNN, and infer the original DNN for the inputs that are considered not confident by the compressed DNN. The proposed design can deliver overall accuracy close to th e high accuracy model, but with the latency closer to the compressed DNN. We dem onstrate our design on two image classification tasks: CIFAR-10 and ImageNet. Ou r experiments show that our design can recover up to 94% of accuracy drop caused by extreme network compression, with more than 90% increase in throughput compa red to just using the original DNN. This is the first work that considers using a highly compressed DNN along with the original DNN in parallel to improve laten cy significantly while effectively maintaining the original model accuracy.

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Hidden Markov models are recurrent neural networks: A disease progression modeling application

Matthew Baucum, Anahita Khojandi, Theodore Papamarkou

Hidden Markov models (HMMs) are commonly used for disease progression modeling when the true state of a patient is not fully known. Since HMMs may have multiple local optima, performance can be improved by incorporating additional patient covariates to inform parameter estimation. To allow for this, we formulate a special case of recurrent neural networks (RNNs), which we name hidden Markov recurrent neural networks (HMRNNs), and prove that each HMRNN has the same likelihood function as a corresponding discrete-observation HMM. As a neural network, the HMRNN can also be combined with any other predictive neural networks that take patient covariate information as input. We first show that parameter estimates from HMRNNs are numerically close to those obtained from HMMs via the Baum-Welch algorithm, thus empirically validating their theoretical equivalence. We then demonstrate how the HMRNN can be combined with other neural networks to improve parameter estimation and prediction, using an Alzheimer's disease dataset. The HMRNN yields parameter estimates that improve disease forecasting performance and off er a novel clinical interpretation compared with a standard HMM.

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VideoFlow: A Framework for Building Visual Analysis Pipelines

Yue Wu, Jianqiang Huang, Jiangjie Zhen, Guokun Wang, Chen Shen, Chang Zhou, Xian-Sheng Hua

The past years have witnessed an explosion of deep learning frameworks like PyTo rch and TensorFlow since the success of deep neural networks. These frameworks h

ave significantly facilitated algorithm development in multimedia research and p roduction. However, how to easily and efficiently build an end-to-end visual ana lysis pipeline with these algorithms is still an open issue. In most cases, deve lopers have to spend a huge amount of time tackling data input and output, optim izing computation efficiency, or even debugging exhausting memory leaks together with algorithm development. VideoFlow aims to overcome these challenges by providing a flexible, efficient, extensible, and secure visual analysis framework for both the academia and industry. With VideoFlow, developers can focus on the improvement of algorithms themselves, as well as the construction of a complete visual analysis workflow. VideoFlow has been incubated in the practices of smart city innovation for more than three years. It has been widely used in tens of int elligent visual analysis systems. VideoFlow will be open-sourced at \url{https:/github.com/xxx/videoflow}.

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Property Controllable Variational Autoencoder via Invertible Mutual Dependence Xiaojie Guo, Yuanqi Du, Liang Zhao

Deep generative models have made important progress towards modeling complex, hi gh dimensional data via learning latent representations. Their usefulness is nev ertheless often limited by a lack of control over the generative process or a po or understanding of the latent representation. To overcome these issues, attenti on is now focused on discovering latent variables correlated to the data propert ies and ways to manipulate these properties. This paper presents the new Propert y controllable VAE (PCVAE), where a new Bayesian model is proposed to inductivel y bias the latent representation using explicit data properties via novel groupwise and property-wise disentanglement. Each data property corresponds seamlessly to a latent variable, by innovatively enforcing invertible mutual dependence between them. This allows us to move along the learned latent dimensions to control specific properties of the generated data with great precision. Quantitative and qualitative evaluations confirm that the PCVAE outperforms the existing mode ls by up to 28% in capturing and 65% in manipulating the desired properties.

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Stability analysis of SGD through the normalized loss function Alexandre Lemire Paquin, Brahim Chaib-draa, Philippe Giguère

We prove new generalization bounds for stochastic gradient descent for both the convex and non-convex case. Our analysis is based on the stability framework. We analyze stability with respect to the normalized version of the loss function used for training. This leads to investigating a form of angle-wise stability in stead of euclidean stability in weights. For neural networks, the measure of distance we consider is invariant to rescaling the weights of each layer. Furtherm ore, we exploit the notion of on-average stability in order to obtain a data-dependent quantity in the bound. This data dependent quantity is seen to be more favorable when training with larger learning rates in our numerical experiments. This might help to shed some light on why larger learning rates can lead to better generalization in some practical scenarios.

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Test-Time Adaptation and Adversarial Robustness

Xi Wu, Yang Guo, Tianqi Li, Jiefeng Chen, Qicheng Lao, Yingyu Liang, Somesh Jha This paper studies test-time adaptation in the context of adversarial robustness. We formulate an adversarial threat model for test-time adaptation, where the defender may have a unique advantage as the adversarial game becomes a maximin game, instead of a minimax game as in the classic adversarial robustness threat model. We then study whether the maximin threat model admits more ``good solutions'' than the minimax threat model, and is thus \emph{strictly weaker}. For this purpose, we first present a provable separation between the two threat models in a natural Gaussian data model. For deep learning, while we do not have a proof, we propose a candidate, Domain Adversarial Neural Networks (\${\sf DANN}\$), an algorithm designed for unsupervised domain adaptation, by showing that it provides nontrivial robustness in the test-time maximin threat model against strong tran sfer attacks and adaptive attacks. This is somewhat surprising since \${\sf DANN}\$ is not designed specifically for adversarial robustness (e.g., against norm-ba

sed attacks), and provides no robustness in the minimax model. Complementing the se results, we show that recent data-oblivious test-time adaptations can be easi ly attacked even with simple transfer attacks. We conclude the paper with various future directions of studying adversarially robust test-time adaptation.

Deep symbolic regression: Recovering mathematical expressions from data via risk -seeking policy gradients

Brenden K Petersen, Mikel Landajuela Larma, Terrell N. Mundhenk, Claudio Prata Santiago, Soo Kyung Kim, Joanne Taery Kim

Discovering the underlying mathematical expressions describing a dataset is a co re challenge for artificial intelligence. This is the problem of \$\textit{symbol ic regression \\$. Despite recent advances in training neural networks to solve co mplex tasks, deep learning approaches to symbolic regression are underexplored. We propose a framework that leverages deep learning for symbolic regression via a simple idea: use a large model to search the space of small models. Specifical ly, we use a recurrent neural network to emit a distribution over tractable math ematical expressions and employ a novel risk-seeking policy gradient to train th e network to generate better-fitting expressions. Our algorithm outperforms seve ral baseline methods (including Eureqa, the gold standard for symbolic regressio n) in its ability to exactly recover symbolic expressions on a series of benchma rk problems, both with and without added noise. More broadly, our contributions include a framework that can be applied to optimize hierarchical, variable-lengt h objects under a black-box performance metric, with the ability to incorporate constraints in situ, and a risk-seeking policy gradient formulation that optimiz es for best-case performance instead of expected performance.

Modifying Memories in Transformer Models

Chen Zhu, Ankit Singh Rawat, Manzil Zaheer, Srinadh Bhojanapalli, Daliang Li, Felix Yu, Sanjiv Kumar

Large Transformer models have achieved impressive performance in many natural la nguage tasks. In particular, Transformer based language models have been shown to have great capabilities in encoding factual knowledge in their vast amount of parameters. While the tasks of improving the memorization and generalization of Transformers have been widely studied, it is not well known how to make transfor mers forget specific old facts and memorize new ones. In this paper, we propose a new task of explicitly modifying specific factual knowledge in Transformer models while ensuring the model performance does not degrade on the unmodified facts. This task is useful in many scenarios, such as updating stale knowledge, protecting privacy, and eliminating unintended biases stored in the models. We bench marked several approaches that provide natural baseline performances on this task. This leads to the discovery of key components of a Transformer model that are especially effective for knowledge modifications. The work also provides insights into the role that different training phases (such as pretraining and fine-tuning) play towards memorization and knowledge modification.

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Grounding Physical Concepts of Objects and Events Through Dynamic Visual Reasoning

Zhenfang Chen, Jiayuan Mao, Jiajun Wu, Kwan-Yee Kenneth Wong, Joshua B. Tenenbaum, Chuang Gan

We study the problem of dynamic visual reasoning on raw videos. This is a challe nging problem; currently, state-of-the-art models often require dense supervision on physical object properties and events from simulation, which are impractical to obtain in real life. In this paper, we present the Dynamic Concept Learner (DCL), a unified framework that grounds physical objects and events from video and language. DCL first adopts a trajectory extractor to track each object over time and to represent it as a latent, object-centric feature vector. Building upon this object-centric representation, DCL learns to approximate the dynamic interaction among objects using graph networks. DCL further incorporates a semantic parser to parse question into semantic programs and, finally, a program executor to run the program to answer the question, levering the learned dynamics model.

After training, DCL can detect and associate objects across the frames, ground visual properties and physical events, understand the causal relationship betwee n events, make future and counterfactual predictions, and leverage these extract ed presentations for answering queries. DCL achieves state-of-the-art performanc e on CLEVRER, a challenging causal video reasoning dataset, even without using g round-truth attributes and collision labels from simulations for training. We further test DCL on a newly proposed video-retrieval and event localization datase t derived from CLEVRER, showing its strong generalization capacity.

Bridging the Gap: Providing Post-Hoc Symbolic Explanations for Sequential Decisi on-Making Problems with Inscrutable Representations

Sarath Sreedharan, Utkarsh Soni, Mudit Verma, Siddharth Srivastava, Subbarao Kambham pati

As increasingly complex AI systems are introduced into our daily lives, it becom es important for such systems to be capable of explaining the rationale for their decisions and allowing users to contest these decisions. A significant hurdle to allowing for such explanatory dialogue could be the vocabulary mismatch between the user and the AI system. This paper introduces methods for providing contrastive explanations in terms of user-specified concepts for sequential decision-making settings where the system's model of the task may be best represented as a blackbox simulator. We do this by building partial symbolic models of a local approximation of the task that can be leveraged to answer the user queries. We empirically test these methods on a popular Atari game (Montezuma's Revenge) and modified versions of Sokoban (a well-known planning benchmark) and report the results of user studies to evaluate whether people find explanations generated in this form useful.

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On Single-environment Extrapolations in Graph Classification and Regression Task  ${\bf s}$ 

Beatrice Bevilacqua, Yangze Zhou, Ryan L Murphy, Bruno Ribeiro

Extrapolation in graph classification/regression remains an underexplored area of an otherwise rapidly developing field. Our work contributes to a growing liter ature by providing the first systematic counterfactual modeling framework for extrapolations in graph classification/regression tasks. To show that extrapolation from a single training environment is possible, we develop a connection between certain extrapolation tasks on graph sizes and Lovasz's characterization of graph limits. For these extrapolations, standard graph neural networks (GNNs) will fail, while classifiers using induced homomorphism densities succeed, but mostly on unattributed graphs. Generalizing these density features through a GNN subgraph decomposition allows them to also succeed in more complex attributed graph extrapolation tasks. Finally, our experiments validate our theoretical results and showcase some shortcomings of common (interpolation) methods in the literature.

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Learning a Latent Simplex in Input Sparsity Time

Ainesh Bakshi, Chiranjib Bhattacharyya, Ravi Kannan, David Woodruff, Samson Zhou We consider the problem of learning a latent k-vertex simplex  $K \in \mathbb{R}^n$  d\$, given  $\mbox{mathbf}_A \in \mathbb{R}^n$  d\times n\\$, which can be viewed as n data points that are formed by randomly perturbing some latent points in K, possib ly beyond K. A large class of latent variable models, such as adversarial clus tering, mixed membership stochastic block models, and topic models can be cast in this view of learning a latent simplex. Bhattacharyya and Kannan (SODA 2020) give an algorithm for learning such a K-vertex latent simplex in time roughly  $O(K \cdot \mathbb{R}^n)$ , where  $O(K \cdot \mathbb{R}^n)$ , we show that the dependence on  $O(K \cdot \mathbb{R}^n)$  is the number of non-zeros in  $O(K \cdot \mathbb{R}^n)$ , we show that the dependence on  $O(K \cdot \mathbb{R}^n)$ , which holds in many of these applications. Further, we show this assumption is necessary, as otherwise an algorithm for learning a latent simplex would imply a better low rank approximation algorithm than what is known.

We obtain a spectral low-rank approximation to  $\hat{A}\$  in input-sparsity time and show that the column space thus obtained has small  $\hat{A}\$  in input-sparsity time and show that the column space thus obtained has small  $\hat{A}\$ . Our algorithm the distance to the right top- $\hat{A}\$  singular space of  $\hat{A}\$ . Our algorithm the number selects  $\hat{A}\$  points in the low-rank subspace with the largest inner product (in absolute value) with  $\hat{A}\$  carefully chosen random vectors. By working in the low-rank subspace, we avoid reading the entire matrix in each iteration and thus continuously incompared the  $\hat{A}\$  running time.

Arturo Deza, Talia Konkle

We introduce foveated perceptual systems -- a hybrid architecture inspired by hu man vision, to explore the role of a \textit{texture-based} foveation stage on t he nature and robustness of subsequently learned visual representation in machin es. Specifically, these two-stage perceptual systems first foveate an image, ind ucing a texture-like encoding of peripheral information -- mimicking the effects of \textit{visual crowding} -- which is then relayed through a convolutional n eural network (CNN) trained to perform scene categorization. We find that these foveated perceptual systems learn a visual representation that is \textit{distin ct} from their non-foveated counterpart through experiments that probe: 1) i.i.d and o.o.d generalization; 2) robustness to occlusion; 3) a center image bias; a nd 4) high spatial frequency sensitivity. In addition, we examined the impact of this foveation transform with respect to two additional models derived with a r ate-distortion optimization procedure to compute matched-resource systems: a low er resolution non-foveated system, and a foveated system with adaptive Gaussian blurring. The properties of greater i.i.d generalization, high spatial frequency sensitivity, and robustness to occlusion emerged exclusively in our foveated te xture-based models, independent of network architecture and learning dynamics. A ltogether, these results demonstrate that foveation -- via peripheral texture-ba sed computations -- yields a distinct and robust representational format of scen e information relative to standard machine vision approaches, and also provides symbiotic computational support that texture-based peripheral encoding has impor tant representational consequences for processing in the human visual system.

SACoD: Sensor Algorithm Co-Design Towards Efficient CNN-powered Intelligent Phla

Yonggan Fu, Yang Zhang, Yue Wang, Zhihan Lu, Vivek Boominathan, Ashok Veeraraghavan, Yingyan Lin

There has been a booming demand for integrating Convolutional Neural Networks ( CNNs) powered functionalities into Internet-of-Thing (IoT) devices to enable ubi quitous intelligent "IoT cameras". However, more extensive applications of such IoT systems are still limited by two challenges. First, some applications, espec ially medicine- and wearable-related ones, impose stringent requirements on the camera form factor. Second, powerful CNNs often require considerable storage and energy cost, whereas IoT devices often suffer from limited resources. PhlatCam, with its form factor potentially reduced by orders of magnitude, has emerged as a promising solution to the first aforementioned challenge, while the second on e remains a bottleneck. Existing compression techniques, which can potentially t ackle the second challenge, are far from realizing the full potential in storage and energy reduction, because they mostly focus on the CNN algorithm itself. To this end, this work proposes SACoD, a Sensor Algorithm Co-Design framework to d evelop more efficient CNN-powered PhlatCam. In particular, the mask coded in the PhlatCam sensor and the backend CNN model are jointly optimized in terms of bo th model parameters and architectures via differential neural architecture searc h. Extensive experiments including both simulation and physical measurement on m anufactured masks show that the proposed SACoD framework achieves aggressive mod el compression and energy savings while maintaining or even boosting the task ac curacy, when benchmarking over two state-of-the-art (SOTA) designs with six data sets on four different tasks. We also perform visualization for better understan

ding the superiority of SACoD generated designs. All the codes will be released publicly upon acceptance.

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gradSim: Differentiable simulation for system identification and visuomotor cont
rol

J. Krishna Murthy, Miles Macklin, Florian Golemo, Vikram Voleti, Linda Petrini, Marti n Weiss, Breandan Considine, Jérôme Parent-Lévesque, Kevin Xie, Kenny Erleben, Liam P aull, Florian Shkurti, Derek Nowrouzezahrai, Sanja Fidler

In this paper, we tackle the problem of estimating object physical properties su ch as mass, friction, and elasticity directly from video sequences. Such a syste m identification problem is fundamentally ill-posed due to the loss of informati on during image formation. Current best solutions to the problem require precise 3D labels which are labor intensive to gather, and infeasible to create for man y systems such as deformable solids or cloth. In this work we present gradSim, a framework that overcomes the dependence on 3D supervision by combining differentiable multiphysics simulation and differentiable rendering to jointly model the evolution of scene dynamics and image formation. This unique combination enables backpropagation from pixels in a video sequence through to the underlying physical attributes that generated them. Furthermore, our unified computation graph across dynamics and rendering engines enables the learning of challenging visuom otor control tasks, without relying on state-based (3D) supervision, while obtaining performance competitive to/better than techniques that require precise 3D labels

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A Strong On-Policy Competitor To PPO Xiangxiang Chu

As a recognized variant and improvement for Trust Region Policy Optimization (TR PO), proximal policy optimization (PPO) has been widely used with several advant ages: efficient data utilization, easy implementation and good parallelism. In this paper, a first-order gradient on-policy learning algorithm called Policy Optimization with Penalized Point Probability Distance (POP3D), which is a lower bound to the square of total variance divergence is proposed as another powerful variant. The penalty item has dual effects, prohibiting policy updates from overs hooting and encouraging more explorations. Carefully controlled experiments on both discrete and continuous benchmarks verify our approach is highly competitive to PPO.

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Batch Inverse-Variance Weighting: Deep Heteroscedastic Regression Vincent Mai, Waleed Khamies, Liam Paull

In model learning, when the training dataset on which the parameters are optimiz ed and the testing dataset on which the model is evaluated are not sampled from identical distributions, we say that the datasets are misaligned. It is well-kno wn that this misalignment can negatively impact model performance. A common sour ce of misalignment is that the inputs are sampled from different distributions. Another source for this misalignment is that the label generating process used t o create the training dataset is imperfect. In this work, we consider this setti ng and additionally assume that the label generating process is able to provide us with a quantity for the role of each label in the misalignment between the da tasets, which we consider to be privileged information. Specifically, we conside r the task of regression with labels corrupted by heteroscedastic noise and we a ssume that we have access to an estimate of the variance over each sample. We pr opose a general approach to include this privileged information in the loss func tion together with dataset statistics inferred from the mini-batch to mitigate t he impact of the dataset misalignment. Subsequently, we propose a specific algor ithm for the heteroscedastic regression case, called Batch Inverse-Variance weig hting, which adapts inverse-variance weighting for linear regression to the case of neural network function approximation. We demonstrate that this approach ac hieves a significant improvement in network training performances compared to ba selines when confronted with high, input-independent noise.

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Solving Min-Max Optimization with Hidden Structure via Gradient Descent Ascent Emmanouil-Vasileios Vlatakis-Gkaragkounis, Lampros Flokas, Georgios Piliouras Many recent AI architectures are inspired by zero-sum games, however, the behavi or of their dynamics is still not well understood. Inspired by this, we study st andard gradient descent ascent (GDA) dynamics in a specific class of non-convex non-concave zero-sum games, that we call hidden zero-sum games. In this class, p layers control the inputs of smooth but possibly non-linear functions whose outp uts are being applied as inputs to a convex-concave game. Unlike general min-max games, these games have a well-defined notion of solution; outcomes that implem ent the von-Neumann equilibrium of the ``hidden convex-concave game. We prove th at if the hidden game is strictly convex-concave then vanilla GDA converges not merely to local Nash, but typically to the von-Neumann solution. If the game lac ks strict convexity properties, GDA may fail to converge to any equilibrium, how ever, by applying standard regularization techniques we can prove convergence to a von-Neumann solution of a slightly perturbed min-max game. Our convergence gu arantees are non-local, which as far as we know is a first-of-its-kind type of r esult in non-convex non-concave games. Finally, we discuss connections of our fr amework with generative adversarial networks.

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Generative Scene Graph Networks

Fei Deng, Zhuo Zhi, Donghun Lee, Sungjin Ahn

Human perception excels at building compositional hierarchies of parts and objec ts from unlabeled scenes that help systematic generalization. Yet most work on g enerative scene modeling either ignores the part-whole relationship or assumes a ccess to predefined part labels. In this paper, we propose Generative Scene Grap h Networks (GSGNs), the first deep generative model that learns to discover the primitive parts and infer the part-whole relationship jointly from multi-object scenes without supervision and in an end-to-end trainable way. We formulate GSGN as a variational autoencoder in which the latent representation is a tree-struc tured probabilistic scene graph. The leaf nodes in the latent tree correspond to primitive parts, and the edges represent the symbolic pose variables required f or recursively composing the parts into whole objects and then the full scene. T his allows novel objects and scenes to be generated both by sampling from the pr ior and by manual configuration of the pose variables, as we do with graphics en gines. We evaluate GSGN on datasets of scenes containing multiple compositional objects, including a challenging Compositional CLEVR dataset that we have develo ped. We show that GSGN is able to infer the latent scene graph, generalize out o f the training regime, and improve data efficiency in downstream tasks.

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Adaptive Single-Pass Stochastic Gradient Descent in Input Sparsity Time Sepideh Mahabadi, David Woodruff, Samson Zhou

We study sampling algorithms for variance reduction methods for stochastic optim ization. Although stochastic gradient descent (SGD) is widely used for large scale machine learning, it sometimes experiences slow convergence rates due to the high variance from uniform sampling. In this paper, we introduce an algorithm that approximately samples a gradient from the optimal distribution for a common finite-sum form with  $n\$  terms, while just making a single pass over the data, using input sparsity time, and  $\alpha\$  space. Our algorithm can be implemented in big data models such as the streaming and distributed models. Moreover, we show that our algorithm can be generalized to approximately sample Hessians and thus provides variance reduction for second-order methods as well. We demonstrate the efficiency of our algorithm on large-scale datasets.

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Weights Having Stable Signs Are Important: Finding Primary Subnetworks and Kerne ls to Compress Binary Weight Networks

Zhaole Sun, Anbang Yao

Binary Weight Networks (BWNs) have significantly lower computational and memory costs compared to their full-precision counterparts. To address the non-differentiable issue of BWNs, existing methods usually use the Straight-Through-Estimato

r (STE). In the optimization, they learn optimal binary weight outputs represent ed as a combination of scaling factors and weight signs to approximate 32-bit fl oating-point weight values, usually with a layer-wise quantization scheme. In th is paper, we begin with an empirical study of training BWNs with STE under the s ettings of using common techniques and tricks. We show that in the context of us ing batch normalization after convolutional layers, adapting scaling factors wit h either hand-crafted or learnable methods brings marginal or no accuracy gain t o final model, while the change of weight signs is crucial in the training of BW Ns. Furthermore, we observe two astonishing training phenomena. Firstly, the tra ining of BWNs demonstrates the process of seeking primary binary sub-networks wh ose weight signs are determined and fixed at the early training stage, which is akin to recent findings on the lottery ticket hypothesis for efficient learning of sparse neural networks. Secondly, we find binary kernels in the convolutional layers of final models tend to be centered on a limited number of the most freq uent binary kernels, showing binary weight networks may has the potential to be further compressed, which breaks the common wisdom that representing each weight with a single bit puts the quantization to the extreme compression. To testify this hypothesis, we additionally propose a binary kernel quantization method, an d we call resulting models Quantized Binary-Kernel Networks (QBNs). We hope thes e new experimental observations would shed new design insights to improve the tr aining and broaden the usages of BWNs.

Model Selection for Cross-Lingual Transfer using a Learned Scoring Function Yang Chen, Alan Ritter

Transformers that are pre-trained on multilingual text corpora, such as, mBERT a nd XLM-RoBERTa, have achieved impressive cross-lingual transfer learning results In the zero-shot cross-lingual transfer setting, only English training data i s assumed, and the fine-tuned model is evaluated on another target language. No target-language validation data is assumed in this setting, however substantial variance has been observed in target language performance between different fin e-tuning runs. Prior work has relied on English validation/development data to select among models that are fine-tuned with different learning rates, number of steps and other hyperparameters, often resulting in suboptimal choices. s paper, we show that it is possible to select consistently better models when s mall amounts of annotated data are available in an auxiliary pivot language. We propose a machine learning approach to model selection that uses the fine-tun ed model's own internal representations to predict its cross-lingual capabilitie s. In extensive experiments we find that our approach consistently selects bett er models than English validation data across five languages and five well-studi ed NLP tasks, achieving results that are comparable to small amounts of target 1 anguage development data.

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Triple-Search: Differentiable Joint-Search of Networks, Precision, and Accelerators

Yonggan Fu, Yongan Zhang, Haoran You, Yingyan Lin

The record-breaking performance and prohibitive complexity of deep neural networks (DNNs) have ignited a substantial need for customized DNN accelerators which have the potential to boost DNN acceleration efficiency by orders-of-magnitude. While it has been recognized that maximizing DNNs' acceleration efficiency requires a joint design/search for three different yet highly coupled aspects, including the networks, adopted precision, and their accelerators, the challenges associated with such a joint search have not yet been fully discussed and addressed. First, to jointly search for a network and its precision via differentiable search, there exists a dilemma of whether to explode the memory consumption or achieve sub-optimal designs. Second, a generic and differentiable joint search of the networks and their accelerators is non-trivial due to (1) the discrete nature of the accelerator space and (2) the difficulty of obtaining operation-wise hard ware cost penalties because some accelerator parameters are determined by the whole network. To this end, we propose a Triple-Search (TRIPS) framework to address the aforementioned challenges towards jointly searching for the network struct

ure, precision, and accelerator in a differentiable manner, to efficiently and e ffectively explore the huge joint search space. Our TRIPS addresses the first ch allenge above via a heterogeneous sampling strategy to achieve unbiased search w ith constant memory consumption, and tackles the latter one using a novel co-sea rch pipeline that integrates a generic differentiable accelerator search engine.

Extensive experiments and ablation studies validate that both TRIPS generated n etworks and accelerators consistently outperform state-of-the-art (SOTA) designs (including co-search/exploration techniques, hardware-aware NAS methods, and DN N accelerators), in terms of search time, task accuracy, and accelerator efficie ncy. All codes will be released upon acceptance.

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Decentralized Attribution of Generative Models

Changhoon Kim, Yi Ren, Yezhou Yang

Growing applications of generative models have led to new threats such as malicious personation and digital copyright infringement.

One solution to these threats is model attribution, i.e., the identification of user-end models where the contents under question are generated.

Existing studies showed empirical feasibility of attribution through a centraliz ed classifier trained on all existing user-end models.

However, this approach is not scalable in a reality where the number of models e ver grows. Neither does it provide an attributability guarantee.

To this end, this paper studies decentralized attribution, which relies on binar y classifiers associated with each user-end model.

Each binary classifier is parameterized by a user-specific key and distinguishes its associated model distribution from the authentic data distribution.

We develop sufficient conditions of the keys that guarantee an attributability lower bound.

Our method is validated on MNIST, CelebA, and FFHQ datasets. We also examine the trade-off between generation quality and robustness of attribution against adversarial post-processes.

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Learn Goal-Conditioned Policy with Intrinsic Motivation for Deep Reinforcement L earning

Jinxin Liu, Donglin Wang, Qiangxing Tian, Zhengyu Chen

It is of significance for an agent to learn a widely applicable and general-purp ose policy that can achieve diverse goals including images and text descriptions . Considering such perceptually-specific goals, the frontier of deep reinforcem ent learning research is to learn a goal-conditioned policy without hand-crafted rewards. To learn this kind of policy, recent works usually take as the reward the non-parametric distance to a given goal in an explicit embedding space. From a different viewpoint, we propose a novel unsupervised learning approach named goal-conditioned policy with intrinsic motivation (GPIM), which jointly learns b oth an abstract-level policy and a goal-conditioned policy. The abstract-level p olicy is conditioned on a latent variable to optimize a discriminator and discov ers diverse states that are further rendered into perceptually-specific goals fo r the goal-conditioned policy. The learned discriminator serves as an intrinsic reward function for the goal-conditioned policy to imitate the trajectory induce d by the abstract-level policy. Experiments on various robotic tasks demonstrat e the effectiveness and efficiency of our proposed GPIM method which substantial ly outperforms prior techniques.

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Flow Neural Network for Traffic Flow Modelling in IP Networks Xiangle Cheng, Yuchen He, Feifei Long, Shihan Xiao, Fenglin Li

This paper presents and investigates a novel and timely application domain for d eep learning: sub-second traffic flow modelling in IP networks. Traffic flows ar e the most fundamental components in an IP based networking system. The accurate modelling of the generative patterns of these flows is crucial for many practic al network applications. However, the high nonlinearity and dynamics of both the traffic and network conditions make this task challenging, particularly at the time granularity of sub-second. In this paper, we cast this problem as a represe

Improved Autoregressive Modeling with Distribution Smoothing
Chenlin Meng, Jiaming Song, Yang Song, Shengjia Zhao, Stefano Ermon
While autoregressive models excel at image compression, their sample quality is
often lacking. Although not realistic, generated images often have high likeliho
od according to the model, resembling the case of adversarial examples. Inspired
by a successful adversarial defense method, we incorporate randomized smoothing
into autoregressive generative modeling. We first model a smoothed version of t
he data distribution, and then reverse the smoothing process to recover the orig
inal data distribution. This procedure drastically improves the sample quality o
f existing autoregressive models on several synthetic and real-world image datas

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ets while obtaining competitive likelihoods on synthetic datasets.

Pointwise Binary Classification with Pairwise Confidence Comparisons Lei Feng, Senlin Shu, Nan Lu, Bo Han, Miao Xu, Gang Niu, Bo An, Masashi Sugiyama Ordinary (pointwise) binary classification aims to learn a binary classifier fro m pointwise labeled data. However, such pointwise labels may not be directly acc essible due to privacy, confidentiality, or security considerations. In this cas e, can we still learn an accurate binary classifier? This paper proposes a novel setting, namely pairwise comparison (Pcomp) classification, where we are given only pairs of unlabeled data that we know one is more likely to be positive than the other, instead of pointwise labeled data. Compared with pointwise labels, p airwise comparisons are easier to collect, and Pcomp classification is useful fo r subjective classification tasks. To solve this problem, we present a mathemati cal formulation for the generation process of pairwise comparison data, based on which we exploit an unbiased risk estimator (URE) to train a binary classifier by empirical risk minimization and establish an estimation error bound. We first prove that a URE can be derived and improve it using correction functions. Then , we start from the noisy-label learning perspective to introduce a progressive URE and improve it by imposing consistency regularization. Finally, experiments validate the effectiveness of our proposed solutions for Pcomp classification. 

Optimizing Transformers with Approximate Computing for Faster, Smaller and more Accurate NLP Models

Amrit Nagarajan, Sanchari Sen, Jacob R. Stevens, Anand Raghunathan

Transformer models have garnered a lot of interest in recent years by delivering state-of-the-art performance in a range of Natural Language Processing (NLP) ta sks. However, these models can have over a hundred billion parameters, presentin g very high computational and memory requirements. We address this challenge thr ough Approximate Computing, specifically targeting the use of Transformers in NL P tasks. Transformers are typically pre-trained and subsequently specialized for specific tasks through transfer learning. We observe that pre-trained Transform ers are often over-parameterized for several downstream NLP tasks and propose a framework to create smaller and faster models with comparable accuracy. The key cornerstones of the framework are a Significance Analysis (SA) method to identif y important components in a pre-trained Transformer for a given task, and techni ques to approximate the less significant components. Our framework can be adapte d to produce models that are faster, smaller and/or more accurate, depending on the user's constraints. We apply our framework to multiple Transformer models an d different downstream tasks, including previously proposed optimized models lik e DistilBERT and Q8BERT. We demonstrate that our framework produces models that are up to 4\$\times\$ faster and up to 14\$\times\$ smaller (with less than 0.5% rel ative accuracy degradation), or up to 5.5% more accurate with simultaneous model size and speed improvements of up to 9.8\$\times\$ and 2.9\$\times\$, respectively.

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Analysis of Alignment Phenomenon in Simple Teacher-student Networks with Finite Width

Hanlin Zhu, Chengyang Ying, Song Zuo

Recent theoretical analysis suggests that ultra-wide neural networks always converge to global minima near the initialization under first order methods. However, the convergence property of neural networks with finite width could be very different. The simplest experiment with two-layer teacher-student networks shows that the input weights of student neurons eventually align with one of the teacher neurons. This suggests a distinct convergence nature for ``not-too-wide'' neural networks that there might not be any local minima near the initialization. As the theoretical justification, we prove that under the most basic settings, all student neurons must align with the teacher neuron at any local minima. The met hodology is extendable to more general cases, where the proof can be reduced to analyzing the properties of a special class of functions that we call {\emptyre manylar and a properties of a special class of functions that we call a properties can be easily verified numerically.

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Adaptive Automotive Radar data Acquisition Madhumitha Sakthi, Ahmed Tewfik

In an autonomous driving scenario, it is vital to acquire and efficiently proces s data from various sensors to obtain a complete and robust perspective of the s urroundings. Many studies have shown the importance of having radar data in addi tion to images since radar improves object detection performance. We develop a n ovel algorithm motivated by the hypothesis that with a limited sampling budget, allocating more sampling budget to areas with the object as opposed to a uniform sampling budget ultimately improves relevant object detection and classificatio n. In order to identify the areas with objects, we develop an algorithm to proce ss the object detection results from the Faster R-CNN object detection algorithm and the previous radar frame and use these as prior information to adaptively a llocate more bits to areas in the scene that may contain relevant objects. We us e previous radar frame information to mitigate the potential information loss of an object missed by the image or the object detection network. Also, in our alg orithm, the error of missing relevant information in the current frame due to th e limited budget sampling of the previous radar frame did not propagate across f rames. We also develop an end-to-end transformer-based 2D object detection netwo rk using the NuScenes radar and image data. Finally, we compare the performance of our algorithm against that of standard CS and adaptive CS using radar on the Oxford Radar RobotCar dataset.

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CPT: Efficient Deep Neural Network Training via Cyclic Precision Yonggan Fu, Han Guo, Meng Li, Xin Yang, Yining Ding, Vikas Chandra, Yingyan Lin Low-precision deep neural network (DNN) training has gained tremendous attention as reducing precision is one of the most effective knobs for boosting DNNs' tra ining time/energy efficiency. In this paper, we attempt to explore low-precision training from a new perspective as inspired by recent findings in understanding DNN training: we conjecture that DNNs' precision might have a similar effect as the learning rate during DNN training, and advocate dynamic precision along the training trajectory for further boosting the time/energy efficiency of DNN trai ning. Specifically, we propose Cyclic Precision Training (CPT) to cyclically var y the precision between two boundary values which can be identified using a simp le precision range test within the first few training epochs. Extensive simulati ons and ablation studies on five datasets and eleven models demonstrate that CPT 's effectiveness is consistent across various models/tasks (including classifica tion and language modeling). Furthermore, through experiments and visualization we show that CPT helps to (1) converge to a wider minima with a lower generaliza tion error and (2) reduce training variance which we believe opens up a new desi gn knob for simultaneously improving the optimization and efficiency of DNN trai

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Individually Fair Rankings

Amanda Bower, Hamid Eftekhari, Mikhail Yurochkin, Yuekai Sun

We develop an algorithm to train individually fair learning-to-rank (LTR) models . The proposed approach ensures items from minority groups appear alongside simi lar items from majority groups. This notion of fair ranking is based on the definition of individual fairness from supervised learning and is more nuanced than prior fair LTR approaches that simply ensure the ranking model provides underrep resented items with a basic level of exposure. The crux of our method is an optimal transport-based regularizer that enforces individual fairness and an efficient algorithm for optimizing the regularizer. We show that our approach leads to certifiably individually fair LTR models and demonstrate the efficacy of our method on ranking tasks subject to demographic biases.

Watch-And-Help: A Challenge for Social Perception and Human-AI Collaboration Xavier Puig, Tianmin Shu, Shuang Li, Zilin Wang, Yuan-Hong Liao, Joshua B. Tenenbaum, Sanja Fidler, Antonio Torralba

In this paper, we introduce Watch-And-Help (WAH), a challenge for testing social intelligence in agents. In WAH, an AI agent needs to help a human-like agent perform a complex household task efficiently. To succeed, the AI agent needs to i) understand the underlying goal of the task by watching a single demonstration of the human-like agent performing the same task (social perception), and ii) coordinate with the human-like agent to solve the task in an unseen environment as fast as possible (human-AI collaboration). For this challenge, we build VirtualH ome-Social, a multi-agent household environment, and provide a benchmark including both planning and learning based baselines. We evaluate the performance of AI agents with the human-like agent as well as and with real humans using objective metrics and subjective user ratings. Experimental results demonstrate that our challenge and virtual environment enable a systematic evaluation on the important aspects of machine social intelligence at scale.

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Interpretable Meta-Reinforcement Learning with Actor-Critic Method Xingyuan Liang, Xu-Ying Liu

Meta-reinforcement learning (meta-RL) algorithms have successfully trained agent systems to perform well on different tasks within only few updates. However, in gradient-based meta-RL algorithms, the Q-function at adaptation step is mainly estimated by the return of few trajectories, which can lead to high variance in Q-value and biased meta-gradient estimation, and the adaptation uses a large num ber of batched trajectories. To address these challenges, we propose a new meta-RL algorithm that can reduce the variance and bias of the meta-gradient estimati on and perform few-shot task data sampling, which makes the meta-policy more int erpretable. We reformulate the meta-RL objective, and introduce contextual Q-fun ction as a meta-policy critic during task adaptation step and learn the Q-functi on under a soft actor-critic (SAC) framework. The experimental results on 2D navigation task and meta-RL benchmarks show that our approach can learn an more int erpretable meta-policy to explore unknown environment and the performance are comparable to previous gradient-based algorithms.

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Adaptive Federated Optimization

Sashank J. Reddi,Zachary Charles,Manzil Zaheer,Zachary Garrett,Keith Rush,Jakub Kone∎ný,Sanjiv Kumar,Hugh Brendan McMahan

Federated learning is a distributed machine learning paradigm in which a large n umber of clients coordinate with a central server to learn a model without sharing their own training data. Standard federated optimization methods such as Federated Averaging (FedAvg) are often difficult to tune and exhibit unfavorable convergence behavior. In non-federated settings, adaptive optimization methods have had notable success in combating such issues. In this work, we propose federated versions of adaptive optimizers, including Adagrad, Adam, and Yogi, and analy ze their convergence in the presence of heterogeneous data for general non-convex settings. Our results highlight the interplay between client heterogeneity and communication efficiency. We also perform extensive experiments on these method

s and show that the use of adaptive optimizers can significantly improve the per formance of federated learning.

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Unconditional Synthesis of Complex Scenes Using a Semantic Bottleneck Samaneh Azadi, Michael Tschannen, Eric Tzeng, Sylvain Gelly, Trevor Darrell, Mario Lucic

Coupling the high-fidelity generation capabilities of label-conditional image sy nthesis methods with the flexibility of unconditional generative models, we prop ose a semantic bottleneck GAN model for unconditional synthesis of complex scene s. We assume pixel-wise segmentation labels are available during training and us e them to learn the scene structure through an unconditional progressive segment ation generation network. During inference, our model first synthesizes a realistic segmentation layout from scratch, then synthesizes a realistic scene conditi oned on that layout through a conditional segmentation-to-image synthesis networ k. When trained end-to-end, the resulting model outperforms state-of-the-art gen erative models in unsupervised image synthesis on two challenging domains in ter ms of the Frechet Inception Distance and perceptual evaluations. Moreover, we de monstrate that the end-to-end training significantly improves the segmentation-to-image synthesis sub-network, which results in superior performance over the st ate-of-the-art when conditioning on real segmentation layouts.

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Near-Optimal Glimpse Sequences for Training Hard Attention Neural Networks William Harvey, Michael Teng, Frank Wood

Hard visual attention is a promising approach to reduce the computational burden of modern computer vision methodologies. Hard attention mechanisms are typicall y non-differentiable. They can be trained with reinforcement learning but the hi gh-variance training this entails hinders more widespread application. We show how hard attention for image classification can be framed as a Bayesian optimal experimental design (BOED) problem. From this perspective, the optimal locations to attend to are those which provide the greatest expected reduction in the entropy of the classification distribution. We introduce methodology from the BOED literature to approximate this optimal behaviour, and use it to generate `near-optimal' sequences of attention locations. We then show how to use such sequences to partially supervise, and therefore speed up, the training of a hard attention mechanism. Although generating these sequences is computationally expensive, they can be reused by any other networks later trained on the same task.

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GANs Can Play Lottery Tickets Too

Xuxi Chen, Zhenyu Zhang, Yongduo Sui, Tianlong Chen

Deep generative adversarial networks (GANs) have gained growing popularity in nu merous scenarios, while usually suffer from high parameter complexities for reso urce-constrained real-world applications. However, the compression of GANs has l ess been explored. A few works show that heuristically applying compression tech niques normally leads to unsatisfactory results, due to the notorious training i nstability of GANs. In parallel, the lottery ticket hypothesis shows prevailing success on discriminative models, in locating sparse matching subnetworks capabl e of training in isolation to full model performance. In this work, we for the f irst time study the existence of such trainable matching subnetworks in deep GAN s. For a range of GANs, we certainly find matching subnetworks at  $67\$ s- $74\$ s sparsity. We observe that with or without pruning discriminator has a minor effe ct on the existence and quality of matching subnetworks, while the initializatio n weights used in the discriminator plays a significant role. We then show the p owerful transferability of these subnetworks to unseen tasks. Furthermore, exten sive experimental results demonstrate that our found subnetworks substantially o utperform previous state-of-the-art GAN compression approaches in both image gen eration (e.g. SNGAN) and image-to-image translation GANs (e.g. CycleGAN). Codes available at https://github.com/VITA-Group/GAN-LTH.

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Does Adversarial Transferability Indicate Knowledge Transferability? Kaizhao Liang, Jacky Y. Zhang, Oluwasanmi O Koyejo, Bo Li

Despite the immense success that deep neural networks (DNNs) have achieved, \emp  $h\{adversarial\ examples\}$ , which are perturbed inputs that aim to mislead DNNs to make mistakes, have recently led to great concerns. On the other hand, adversari al examples exhibit interesting phenomena, such as \emph{adversarial transferabi lity \}. DNNs also exhibit knowledge transfer, which is critical to improving lear ning efficiency and learning in domains that lack high-quality training data. To uncover the fundamental connections between these phenomena, we investigate and give an affirmative answer to the question: \emph{does adversarial transferabil ity indicate knowledge transferability?} We theoretically analyze the relationsh ip between adversarial transferability and knowledge transferability, and outlin e easily checkable sufficient conditions that identify when adversarial transfer ability indicates knowledge transferability. In particular, we show that composi tion with an affine function is sufficient to reduce the difference between two models when they possess high adversarial transferability. Furthermore, we provi de empirical evaluation for different transfer learning scenarios on diverse dat asets, showing a strong positive correlation between the adversarial transferabi lity and knowledge transferability, thus illustrating that our theoretical insig hts are predictive of practice.

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Score-Based Generative Modeling through Stochastic Differential Equations Yang Song, Jascha Sohl-Dickstein, Diederik P Kingma, Abhishek Kumar, Stefano Ermon, B en Poole

Creating noise from data is easy; creating data from noise is generative modelin g. We present a stochastic differential equation (SDE) that smoothly transforms a complex data distribution to a known prior distribution by slowly injecting no ise, and a corresponding reverse-time SDE that transforms the prior distribution back into the data distribution by slowly removing the noise.

Crucially, the reverse-time SDE depends only on the time-dependent gradient fiel d (a.k.a., score) of the perturbed data distribution. By leveraging advances in score-based generative modeling, we can accurately estimate these scores with ne ural networks, and use numerical SDE solvers to generate samples. We show that t his framework encapsulates previous approaches in score-based generative modelin g and diffusion probabilistic modeling, allowing for new sampling procedures and new modeling capabilities. In particular, we introduce a predictor-corrector fr amework to correct errors in the evolution of the discretized reverse-time SDE. We also derive an equivalent neural ODE that samples from the same distribution as the SDE, but additionally enables exact likelihood computation, and improved sampling efficiency. In addition, we provide a new way to solve inverse problems with score-based models, as demonstrated with experiments on class-conditional generation, image inpainting, and colorization. Combined with multiple architect ural improvements, we achieve record-breaking performance for unconditional imag e generation on CIFAR-10 with an Inception score of 9.89 and FID of 2.20, a comp etitive likelihood of 2.99 bits/dim, and demonstrate high fidelity generation of \$1024\times 1024\$ images for the first time from a score-based generative model

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Improving Relational Regularized Autoencoders with Spherical Sliced Fused Gromov Wasserstein

Khai Nguyen, Son Nguyen, Nhat Ho, Tung Pham, Hung Bui

Relational regularized autoencoder (RAE) is a framework to learn the distribution of data by minimizing a reconstruction loss together with a relational regular ization on the prior of latent space. A recent attempt to reduce the inner discrepancy between the prior and aggregated posterior distributions is to incorporate sliced fused Gromov-Wasserstein (SFG) between these distributions. That approach has a weakness since it treats every slicing direction similarly, meanwhile several directions are not useful for the discriminative task. To improve the discrepancy and consequently the relational regularization, we propose a new relational discrepancy, named spherical sliced fused Gromov Wasserstein (SSFG), that can find an important area of projections characterized by a von Mises-Fisher distribution. Then, we introduce two variants of SSFG to improve its performance. T

he first variant, named mixture spherical sliced fused Gromov Wasserstein (MSSFG), replaces the vMF distribution by a mixture of von Mises-Fisher distributions to capture multiple important areas of directions that are far from each other. The second variant, named power spherical sliced fused Gromov Wasserstein (PSSFG), replaces the vMF distribution by a power spherical distribution to improve the sampling time of the vMF distribution in high dimension settings. We then apply the new discrepancies to the RAE framework to achieve its new variants. Finally, we conduct extensive experiments to show that the new autoencoders have favor able performance in learning latent manifold structure, image generation, and reconstruction.

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Learning Reasoning Paths over Semantic Graphs for Video-grounded Dialogues Hung Le, Nancy F. Chen, Steven Hoi

Compared to traditional visual question answering, video-grounded dialogues require additional reasoning over dialogue context to answer questions in a multi-turn setting. Previous approaches to video-grounded dialogues mostly use dialogue context as a simple text input without modelling the inherent information flows at the turn level. In this paper, we propose a novel framework of Reasoning Paths in Dialogue Context (PDC). PDC model discovers information flows among dialogue turns through a semantic graph constructed based on lexical components in each question and answer. PDC model then learns to predict reasoning paths over this semantic graph. Our path prediction model predicts a path from the current turn through past dialogue turns that contain additional visual cues to answer the current question. Our reasoning model sequentially processes both visual and text ual information through this reasoning path and the propagated features are used to generate the answer. Our experimental results demonstrate the effectiveness of our method and provide additional insights on how models use semantic dependencies in a dialogue context to retrieve visual cues.

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Semi-supervised learning by selective training with pseudo labels via confidence estimation

Masato Ishii

We propose a novel semi-supervised learning (SSL) method that adopts selective t raining with pseudo labels. In our method, we generate hard pseudo-labels and al so estimate their confidence, which represents how likely each pseudo-label is t o be correct. Then, we explicitly select which pseudo-labeled data should be use d to update the model. Specifically, assuming that loss on incorrectly pseudo-labeled data sensitively increase against data augmentation, we select the data co rresponding to relatively small loss after applying data augmentation. The confidence is used not only for screening candidates of pseudo-labeled data to be selected but also for automatically deciding how many pseudo-labeled data should be selected within a mini-batch. Since accurate estimation of the confidence is cr ucial in our method, we also propose a new data augmentation method, called MixC onf, that enables us to obtain confidence-calibrated models even when the number of training data is small. Experimental results with several benchmark datasets validate the advantage of our SSL method as well as MixConf.

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Fine-Tuning Offline Reinforcement Learning with Model-Based Policy Optimization Adam Villaflor, John Dolan, Jeff Schneider

In offline reinforcement learning (RL), we attempt to learn a control policy from a fixed dataset of environment interactions. This setting has the potential be nefit of allowing us to learn effective policies without needing to collect additional interactive data, which can be expensive or dangerous in real-world systems. However, traditional off-policy RL methods tend to perform poorly in this setting due to the distributional shift between the fixed data set and the learned policy. In particular, they tend to extrapolate optimistically and overestimate the action-values outside of the dataset distribution. Recently, two major avenues have been explored to address this issue. First, behavior-regularized method s that penalize actions that deviate from the demonstrated action distribution. Second, uncertainty-aware model-based (MB) methods that discourage state-actions

where the dynamics are uncertain. In this work, we propose an algorithmic frame work that consists of two stages. In the first stage, we train a policy using be havior-regularized model-free RL on the offline dataset. Then, a second stage wh ere we fine-tune the policy using our novel Model-Based Behavior-Regularized Policy Optimization (MB2PO) algorithm. We demonstrate that for certain tasks and dataset distributions our conservative model-based fine-tuning can greatly increase performance and allow the agent to generalize and outperform the demonstrated behavior. We evaluate our method on a variety of the Gym-MuJoCo tasks in the D4R L benchmark and demonstrate that our method is competitive and in some cases superior to the state of the art for most of the evaluated tasks.

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Representational correlates of hierarchical phrase structure in deep language mo dels

 ${\tt Matteo~Alleman, Jonathan~Mamou, Miguel~A~Del~Rio, Hanlin~Tang, Yoon~Kim, Sue Yeon~Chun~\sigma}$ 

While contextual representations from Transformer-based architectures have set a new standard for many NLP tasks, there is not yet a complete accounting of thei r inner workings. In particular, it is not entirely clear what aspects of senten ce-level syntax are captured by these representations, nor how (if at all) they are built along the stacked layers of the network. In this paper, we aim to addr ess such questions with a general class of input perturbation-based analyses of representations from Transformer networks pretrained on self-supervised objectiv es. Importing from computational and cognitive neuroscience the notion of repres entational invariance, we perform a series of probes designed to test the sensit ivity of Transformer representations to several kinds of structure in sentences. Each probe involves swapping words in a sentence and comparing the representati ons from perturbed sentences against the original. We experiment with three diff erent perturbations: (1) random permutations of n-grams of varying width, to tes t the scale at which a representation is sensitive to word position; (2) swappin g of two spans which do or do not form a syntactic phrase, to test sensitivity t o global phrase structure; and (3) swapping of two adjacent words which do or do not break apart a syntactic phrase, to test sensitivity to local phrase structu re. We also connect our probe results to the Transformer architecture by relatin g the attention mechanism to syntactic distance between two words. Results from the three probes collectively suggest that Transformers build sensitivity to lar ger parts of the sentence along their layers, and that hierarchical phrase struc ture plays a role in this process. In particular, sensitivity to local phrase st ructure increases along deeper layers. Based on our analysis of attention, we sh ow that this is at least partly explained by generally larger attention weights between syntactically distant words.

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Extreme Memorization via Scale of Initialization Harsh Mehta, Ashok Cutkosky, Behnam Neyshabur

We construct an experimental setup in which changing the scale of initialization strongly impacts the implicit regularization induced by SGD, interpolating from good generalization performance to completely memorizing the training set while making little progress on the test set. Moreover, we find that the extent and m anner in which generalization ability is affected depends on the activation and loss function used, with sin activation being the most extreme. In the case of the homogeneous ReLU activation, we show that this behavior can be attributed to the loss function. Our empirical investigation reveals that increasing the scale of initialization correlates with misalignment of representations and gradients across examples in the same class. This insight allows us to device an alignment measure over gradients and representations which can capture this phenomenon. We demonstrate that our alignment measure correlates with generalization of deep models trained on image classification tasks.

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Effective Regularization Through Loss-Function Metalearning Santiago Gonzalez, Risto Miikkulainen

Loss-function metalearning can be used to discover novel, customized loss functi

ons for deep neural networks, resulting in improved performance, faster training, and improved data utilization. A likely explanation is that such functions di scourage overfitting, leading to effective regularization. This paper theoretica lly demonstrates that this is indeed the case: decomposition of learning rules m akes it possible to characterize the training dynamics and show that loss functi ons evolved through TaylorGLO regularize both in the beginning and end of learning, and maintain an invariant in between. The invariant can be utilized to make the metalearning process more efficient in practice, and the regularization can train networks that are robust against adversarial attacks. Loss-function optimization can thus be seen as a well-founded new aspect of metalearning in neural networks.

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Energy-based View of Retrosynthesis

Ruoxi Sun, Hanjun Dai, Li Li, Steven Kearnes, Bo Dai

Retrosynthesis—the process of identifying a set of reactants to synthesize a tar get molecule—is of vital importance to material design and drug discovery. Exist ing machine learning approaches based on language models and graph neural networ ks have achieved encouraging results. However, the inner connections of these models are rarely discussed, and rigorous evaluations of these models are largely in need. In this paper, we propose a framework that unifies sequence—and graph-based methods as energy-based models (EBMs) with different energy functions. This unified point of view establishes connections between different models and identifies the differences between them, thereby promoting the understanding of model design. We also provide a comprehensive assessment of performance to the community. Moreover, we present a novel "dual" variant within the framework that performs consistent training over Bayesian forward—and backward—prediction by constraining the agreement between the two directions. This model improves the state of the art for template—free approaches where the reaction type is unknown and known.

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ProGAE: A Geometric Autoencoder-based Generative Model for Disentangling Protein Conformational Space

Norman Joseph Tatro, Payel Das, Pin-Yu Chen, Vijil Chenthamarakshan, Rongjie Lai Understanding the protein conformational landscape is critical, as protein funct ion, as well as modulations thereof due to ligand binding or changes in environm ent, are intimately connected with structural variations. This work focuses on 1 earning a generative neural network on a simulated ensemble of protein structure s obtained using molecular simulation to characterize the distinct structural fl uctuations of a protein bound to various drug molecules. Specifically, we use a geometric autoencoder framework to learn separate latent space encodings of th e intrinsic and extrinsic geometries of the system. For this purpose, the propos ed Protein Geometric AutoEncoder (ProGAE) model is trained on the length of the alpha-carbon pseudobonds and the orientation of the backbone bonds of the prot ein. Using ProGAE latent embeddings, we reconstruct and generate the conformatio nal ensemble of a protein at or near the experimental resolution. Empowered by t he disentangled latent space learning, the intrinsic latent embedding help in ge ometric error correction, whereas the extrinsic latent embedding is successfully used for classification or property prediction of different drugs bound to a s pecific protein. Additionally, ProGAE is able to be transferred to the structure s of a different state of the same protein or to a completely different protein of different size, where only the dense layer decoding from the latent represent ation needs to be retrained. Results show that our geometric learning-based meth od enjoys both accuracy and efficiency for generating complex structural variati ons, charting the path toward scalable and improved approaches for analyzing and enhancing molecular simulations.

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Searching towards Class-Aware Generators for Conditional Generative Adversarial Networks

Peng Zhou, Lingxi Xie, XIAOPENG ZHANG, Bingbing Ni, Qi Tian

Conditional Generative Adversarial Networks (cGAN) were designed to generate ima ges based on the provided conditions, e.g., class-level distributions. However, existing methods have used the same generating architecture for all classes. This spaper presents a novel idea that adopts NAS to find a distinct architecture for each class. The search space contains regular and class-modulated convolutions, where the latter is designed to introduce class-specific information while avoiding the reduction of training data for each class generator. The search algorithm follows a weight-sharing pipeline with mixed-architecture optimization so that the search cost does not grow with the number of classes. To learn the sampling policy, a Markov decision process is embedded into the search algorithm and a moving average is applied for better stability. We evaluate our approach on CIF AR10 and CIFAR100. Besides achieving better image generation quality in terms of FID scores, we discover several insights that are helpful in designing cGAN models.

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Teaching with Commentaries

Aniruddh Raghu, Maithra Raghu, Simon Kornblith, David Duvenaud, Geoffrey Hinton Effective training of deep neural networks can be challenging, and there remain many open questions on how to best learn these models. Recently developed method s to improve neural network training examine teaching: providing learned informa tion during the training process to improve downstream model performance. In thi s paper, we take steps towards extending the scope of teaching. We propose a fle xible teaching framework using commentaries, learned meta-information helpful f or training on a particular task. We present gradient-based methods to learn com mentaries, leveraging recent work on implicit differentiation for scalability. W e explore diverse applications of commentaries, from weighting training examples , to parameterising label-dependent data augmentation policies, to representing attention masks that highlight salient image regions. We find that commentaries can improve training speed and/or performance, and provide insights about the da taset and training process. We also observe that commentaries generalise: they c an be reused when training new models to obtain performance benefits, suggesting a use-case where commentaries are stored with a dataset and leveraged in future for improved model training.

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Gradient Vaccine: Investigating and Improving Multi-task Optimization in Massive ly Multilingual Models

Zirui Wang, Yulia Tsvetkov, Orhan Firat, Yuan Cao

Massively multilingual models subsuming tens or even hundreds of languages pose great challenges to multi-task optimization. While it is a common practice to ap ply a language-agnostic procedure optimizing a joint multilingual task objective , how to properly characterize and take advantage of its underlying problem stru cture for improving optimization efficiency remains under-explored. In this pape  ${\tt r}$ , we attempt to peek into the black-box of multilingual optimization through th e lens of loss function geometry. We find that gradient similarity measured alon g the optimization trajectory is an important signal, which correlates well with not only language proximity but also the overall model performance. Such observ ation helps us to identify a critical limitation of existing gradient-based mult i-task learning methods, and thus we derive a simple and scalable optimization p rocedure, named Gradient Vaccine, which encourages more geometrically aligned pa rameter updates for close tasks. Empirically, our method obtains significant mod el performance gains on multilingual machine translation and XTREME benchmark ta sks for multilingual language models. Our work reveals the importance of properl y measuring and utilizing language proximity in multilingual optimization, and h as broader implications for multi-task learning beyond multilingual modeling.

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Feature Integration and Group Transformers for Action Proposal Generation He-Yen Hsieh, Ding-Jie Chen, Tung-Ying Lee, Tyng-Luh Liu

The task of temporal action proposal generation (TAPG) aims to provide high-qual ity video segments, i.e., proposals that potentially contain action events. The performance of tackling the TAPG task heavily depends on two key issues, feature

representation and scoring mechanism. To simultaneously take account of both as pects, we introduce an attention-based model, termed as FITS, to address the iss ues for retrieving high-quality proposals. We first propose a novel Feature-Inte gration (FI) module to seamlessly fuse two-stream features concerning their inte raction to yield a robust video segment representation. We then design a group of Transformer-driven Scorers (TS) to gain the temporal contextual supports over the representations for estimating the starting or ending boundary of an action event. Unlike most previous work to estimate action boundaries without considering the long-range temporal neighborhood, the proposed action-boundary co-estimation mechanism in TS leverages the bi-directional contextual supports for such boundary estimation, which shows the advantage of removing several false-positive boundary predictions. We conduct experiments on two challenging datasets, ActivityNet-1.3 and THUMOS-14. The experimental results demonstrate that the proposed FITS model consistently outperforms state-of-the-art TAPG methods.

PlasticineLab: A Soft-Body Manipulation Benchmark with Differentiable Physics Zhiao Huang, Yuanming Hu, Tao Du, Siyuan Zhou, Hao Su, Joshua B. Tenenbaum, Chuang Gan Simulated virtual environments serve as one of the main driving forces behind de veloping and evaluating skill learning algorithms. However, existing environment s typically only simulate rigid body physics. Additionally, the simulation proce ss usually does not provide gradients that might be useful for planning and cont rol optimizations. We introduce a new differentiable physics benchmark called Pa sticineLab, which includes a diverse collection of soft body manipulation tasks. In each task, the agent uses manipulators to deform the plasticine into a desir ed configuration. The underlying physics engine supports differentiable elastic and plastic deformation using the DiffTaichi system, posing many under-explored challenges to robotic agents. We evaluate several existing reinforcement learnin g (RL) methods and gradient-based methods on this benchmark. Experimental result s suggest that 1) RL-based approaches struggle to solve most of the tasks effici ently; 2) gradient-based approaches, by optimizing open-loop control sequences with the built-in differentiable physics engine, can rapidly find a solution wit hin tens of iterations, but still fall short on multi-stage tasks that require l ong-term planning. We expect that PlasticineLab will encourage the development o f novel algorithms that combine differentiable physics and RL for more complex p hysics-based skill learning tasks. PlasticineLab will be made publicly available

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In Defense of Pseudo-Labeling: An Uncertainty-Aware Pseudo-label Selection Frame work for Semi-Supervised Learning

Mamshad Nayeem Rizve, Kevin Duarte, Yogesh S Rawat, Mubarak Shah

The recent research in semi-supervised learning (SSL) is mostly dominated by con sistency regularization based methods which achieve strong performance. However, they heavily rely on domain-specific data augmentations, which are not easy to generate for all data modalities. Pseudo-labeling (PL) is a general SSL approach that does not have this constraint but performs relatively poorly in its origin al formulation. We argue that PL underperforms due to the erroneous high confide nce predictions from poorly calibrated models; these predictions generate many i ncorrect pseudo-labels, leading to noisy training. We propose an uncertainty-awa re pseudo-label selection (UPS) framework which improves pseudo labeling accurac y by drastically reducing the amount of noise encountered in the training proces s. Furthermore, UPS generalizes the pseudo-labeling process, allowing for the cr eation of negative pseudo-labels; these negative pseudo-labels can be used for m ulti-label classification as well as negative learning to improve the single-lab el classification. We achieve strong performance when compared to recent SSL met hods on the CIFAR-10 and CIFAR-100 datasets. Also, we demonstrate the versatilit y of our method on the video dataset UCF-101 and the multi-label dataset Pascal

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OpenCoS: Contrastive Semi-supervised Learning for Handling Open-set Unlabeled Da ta

Jongjin Park, Sukmin Yun, Jongheon Jeong, Jinwoo Shin

Modern semi-supervised learning methods conventionally assume both labeled and u nlabeled data have the same class distribution. However, unlabeled data may incl ude out-of-class samples in practice; those that cannot have one-hot encoded lab els from a closed-set of classes in label data, i.e., unlabeled data is an openset. In this paper, we introduce OpenCoS, a method for handling this realistic s emi-supervised learning scenario based on a recent framework of contrastive lear ning. One of our key findings is that out-of-class samples in the unlabeled data set can be identified effectively via (unsupervised) contrastive learning. OpenC oS utilizes this information to overcome the failure modes in the existing state -of-the-art semi-supervised methods, e.g., ReMixMatch or FixMatch. In particular, we propose to assign soft-labels for out-of-class samples using the representa tion learned from contrastive learning. Our extensive experimental results show the effectiveness of OpenCoS, fixing the state-of-the-art semi-supervised method s to be suitable for diverse scenarios involving open-set unlabeled data.

Tailoring: encoding inductive biases by optimizing unsupervised objectives at prediction time

Ferran Alet, Kenji Kawaguchi, Maria Bauza Villalonga, Nurullah Giray Kuru, Tomas Perez, Leslie Pack Kaelbling

From CNNs to attention mechanisms, encoding inductive biases into neural network s has been a fruitful source of improvement in machine learning. Auxiliary losse s are a general way of encoding biases in order to help networks learn better re presentations by adding extra terms to the loss function. However, since they ar e minimized on the training data, they suffer from the same generalization gap a s regular task losses. Moreover, by changing the loss function, the network is o ptimizing a different objective than the one we care about. In this work we solv e both problems: first, we take inspiration from transductive learning and note that, after receiving an input but before making a prediction, we can fine-tune our models on any unsupervised objective. We call this process tailoring, becaus e we customize the model to each input. Second, we formulate a nested optimizati on (similar to those in meta-learning) and train our models to perform well on t he task loss after adapting to the tailoring loss. The advantages of tailoring a nd meta-tailoring are discussed theoretically and demonstrated empirically on se veral diverse examples: encoding inductive conservation laws from physics to imp rove predictions, improving local smoothness to increase robustness to adversari al examples, and using contrastive losses on the query image to improve generali

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Learned Belief Search: Efficiently Improving Policies in Partially Observable Settings

Hengyuan Hu, Adam Lerer, Noam Brown, Jakob Nicolaus Foerster

Search is an important tool for computing effective policies in single- and mult i-agent environments, and has been crucial for achieving superhuman performance in several benchmark fully and partially observable games. However, one major li mitation of prior search approaches for partially observable environments is that the computational cost scales poorly with the amount of hidden information. In this paper we present Learned Belief Search (LBS), a computationally efficient search procedure for partially observable environments. Rather than maintaining an exact belief distribution, LBS uses an approximate auto-regressive counterfactual belief that is learned as a supervised task. In multi-agent settings, LBS uses a novel public-private model architecture for underlying policies in order to efficiently evaluate these policies during rollouts. In the benchmark domain of Hanabi, LBS obtains more than 60% of the benefit of exact search while reducing compute requirements by 35×, allowing it to scale to larger settings that were inaccessible to previous search methods.

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GeDi: Generative Discriminator Guided Sequence Generation

Ben Krause, Akhilesh Deepak Gotmare, Bryan McCann, Nitish Shirish Keskar, Shafiq Jot y, richard socher, Nazneen Rajani

While large-scale language models (LMs) are able to imitate the distribution of natural language well enough to generate realistic text, it is difficult to cont rol which regions of the distribution they generate. This is especially problema tic because datasets used for training large LMs usually contain significant tox icity, hate, bias, and negativity. We propose GeDi as an efficient method for us ing smaller LMs as generative discriminators to guide generation from large LMs to make them safer and more controllable. GeDi guides generation at each step by computing classification probabilities for all possible next tokens via Bayes r ule by normalizing over two class-conditional distributions; one conditioned on the desired attribute, or control code, and another conditioned on the undesired attribute, or anti control code. We find that GeDi gives controllability on par with or better than the state of the art method in a variety of settings, while also achieving generation speeds more than \$30\$ times faster. Additionally, tra ining GeDi on only three topics allows us to controllably generate new topics ze ro-shot from just a keyword. Lastly, we show that GeDi can make GPT-2 and GPT-3 significantly less toxic without sacrificing on linguistic fluency, making it by far the most practical existing method for detoxifying large language models wh ile maintaining a fast generation speed.

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Uniform Manifold Approximation with Two-phase Optimization

Hyung-Kwon Ko, Jaemin Jo, Yung-Kyun Noh, Jinwook Seo

We present a dimensionality reduction algorithm called Uniform Manifold Approxim ation with Two-phase Optimization (UMATO) which produces less biased global structures in the embedding results and is robust over diverse initialization method s than previous methods such as \$t\$-SNE and UMAP. We divide the optimization into two phases to alleviate the bias by establishing the global structure early us ing the representatives of the high-dimensional structures. The phases are 1) global optimization to obtain the overall skeleton of data and 2) local optimization to identify the regional characteristics of local areas. In our experiments w ith one synthetic and three real-world datasets, UMATO outperformed widely-used baseline algorithms, such as PCA, red, \$t\$-SNE, UMAP, topological autoencoders a nd Anchor \$t\$-SNE, in terms of quality metrics and 2D projection results.

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Into the Wild with AudioScope: Unsupervised Audio-Visual Separation of On-Screen Sounds

Efthymios Tzinis, Scott Wisdom, Aren Jansen, Shawn Hershey, Tal Remez, Dan Ellis, John R. Hershey

Recent progress in deep learning has enabled many advances in sound separation a nd visual scene understanding. However, extracting sound sources which are appar ent in natural videos remains an open problem. In this work, we present AudioSco pe, a novel audio-visual sound separation framework that can be trained without supervision to isolate on-screen sound sources from real in-the-wild videos. Pri or audio-visual separation work assumed artificial limitations on the domain of sound classes (e.g., to speech or music), constrained the number of sources, and required strong sound separation or visual segmentation labels. AudioScope over comes these limitations, operating on an open domain of sounds, with variable nu mbers of sources, and without labels or prior visual segmentation. The training procedure for AudioScope uses mixture invariant training (MixIT) to separate sy nthetic mixtures of mixtures (MoMs) into individual sources, where noisy labels for mixtures are provided by an unsupervised audio-visual coincidence model. Usi ng the noisy labels, along with attention between video and audio features, Audi oScope learns to identify audio-visual similarity and to suppress off-screen sou nds. We demonstrate the effectiveness of our approach using a dataset of video c lips extracted from open-domain YFCC100m video data. This dataset contains a wid e diversity of sound classes recorded in unconstrained conditions, making the ap plication of previous methods unsuitable. For evaluation and semi-supervised exp eriments, we collected human labels for presence of on-screen and off-screen sou nds on a small subset of clips.

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Smooth Activations and Reproducibility in Deep Networks

## Gil I Shamir, Dong Lin, Lorenzo Coviello

Deep networks are gradually penetrating almost every domain in our lives due to their amazing success. However, with substantive performance accuracy improveme nts comes the price of irreproducibility. Two identical models, trained on the exact same training dataset may exhibit large differences in predictions on ind ividual examples even when average accuracy is similar, especially when trained on highly distributed parallel systems. The popular Rectified Linear Unit (ReLU ) activation has been key to recent success of deep networks. We demonstrate, h owever, that ReLU is also a catalyzer to irreproducibility in deep networks. We show that not only can activations smoother than ReLU provide better accuracy, but they can also provide better accuracy-reproducibility tradeoffs. We propose a new family of activations; Smooth ReLU (SmeLU), designed to give such better tradeoffs, while also keeping the mathematical expression simple, and thus imple mentation cheap. SmeLU is monotonic, mimics ReLU, while providing continuous gr adients, yielding better reproducibility. We generalize SmeLU to give even more flexibility and then demonstrate that SmeLU and its generalized form are specia 1 cases of a more general methodology of REctified Smooth Continuous Unit (RESC U) activations.

Empirical results demonstrate the superior accuracy-reproducibility tradeoffs with smooth activations, SmeLU in particular.

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DiP Benchmark Tests: Evaluation Benchmarks for Discourse Phenomena in MT Prathyusha Jwalapuram, Barbara Rychalska, Shafiq Joty, Dominika Basaj

Despite increasing instances of machine translation (MT) systems including extra sentential context information, the evidence for translation quality improvement is sparse, especially for discourse phenomena. Popular metrics like BLEU are not expressive or sensitive enough to capture quality improvements or drops that a re minor in size but significant in perception. We introduce the first of their kind MT benchmark testsets that aim to track and hail improvements across four main discourse phenomena: anaphora, lexical consistency, coherence and readability, and discourse connective translation. We also introduce evaluation methods for these tasks, and evaluate several competitive baseline MT systems on the curated datasets. Surprisingly, we find that the complex context-aware models that we test do not improve discourse-related translations consistently across languages and phenomena. Our evaluation benchmark is available as a leaderboard at <dipb enchmark1.github.io>.

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Cut out the annotator, keep the cutout: better segmentation with weak supervisio  $\boldsymbol{n}$ 

Sarah Hooper, Michael Wornow, Ying Hang Seah, Peter Kellman, Hui Xue, Frederic Sala, Curtis Langlotz, Christopher Re

Constructing large, labeled training datasets for segmentation models is an expe nsive and labor-intensive process. This is a common challenge in machine learnin g, addressed by methods that require few or no labeled data points such as few-s hot learning (FSL) and weakly-supervised learning (WS). Such techniques, however , have limitations when applied to image segmentation --- FSL methods often produc e noisy results and are strongly dependent on which few datapoints are labeled, while WS models struggle to fully exploit rich image information. We propose a f ramework that fuses FSL and WS for segmentation tasks, enabling users to train h igh-performing segmentation networks with very few hand-labeled training points. We use FSL models as weak sources in a WS framework, requiring a very small set of reference labeled images, and introduce a new WS model that focuses on key a reas---areas with contention among noisy labels---of the image to fuse these wea k sources. Empirically, we evaluate our proposed approach over seven well-motiva ted segmentation tasks. We show that our methods can achieve within 1.4 Dice poi nts compared to fully supervised networks while only requiring five hand-labeled training points. Compared to existing FSL methods, our approach improves perfor mance by a mean 3.6 Dice points over the next-best method.

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Globetrotter: Unsupervised Multilingual Translation from Visual Alignment

Didac Suris Coll-Vinent, Dave Epstein, Carl Vondrick

Machine translation in a multi-language scenario requires large-scale parallel c orpora for every language pair. Unsupervised translation is challenging because there is no explicit connection between languages, and the existing me thods have to rely on topological properties of the language representations. We introduce a framework that leverages visual similarity to align multiple languages, using images as the bridge between them. We estimate the cross-modal align ment between language and images, and use this estimate to guide the learning of cross-lingual representations. Our language representations are trained jointly in one model with a single stage. Experiments with fifty-two languages show that our method outperforms prior work on unsupervised word-level and se ntence-level translation using retrieval.

Measuring Visual Generalization in Continuous Control from Pixels Jake Grigsby, Yanjun Jane Qi

Self-supervised learning and data augmentation have significantly reduced the performance gap between state and image-based reinforcement learning agents in continuous control tasks. However, it is still unclear whether current techniques can face the variety of visual conditions required by real-world environments. We propose a challenging benchmark that tests agents' visual generalization by adding graphical variety to existing continuous control domains. Our empirical analysis shows that current methods struggle to generalize across a diverse set of visual changes, and we examine the specific factors of variation that make these tasks difficult. We find that data augmentation techniques outperform self-super vised learning approaches and that more significant image transformations provide better visual generalization.

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CoDA: Contrast-enhanced and Diversity-promoting Data Augmentation for Natural La nguage Understanding

Yanru Qu, Dinghan Shen, Yelong Shen, Sandra Sajeev, Weizhu Chen, Jiawei Han Data augmentation has been demonstrated as an effective strategy for improving m odel generalization and data efficiency. However, due to the discrete nature of natural language, designing label-preserving transformations for text data tend s to be more challenging. In this paper, we propose a novel data augmentation fr ame-work dubbed CoDA, which synthesizes diverse and informative augmented exampl es by integrating multiple transformations organically. Moreover, a contrastive regularization is introduced to capture the global relationship among all the d ata samples. A momentum encoder along with a memory bank is further leveraged t o better estimate the contrastive loss. To verify the effectiveness of the propo sed framework, we apply CoDA to Transformer-based models on a wide range of natu ral language understanding tasks. On the GLUE benchmark, CoDA gives rise to an a verage improvement of 2.2%while applied to the Roberta-large model. More importa ntly, it consistently exhibits stronger results relative to several competitive data augmentation and adversarial training baselines (including the low-resource settings). Extensive experiments show that the proposed contrastive objective c an be flexibly combined with various data augmentation approaches to further boo st their performance, highlighting the wide applicability of the CoDA framework. 

Global Convergence of Three-layer Neural Networks in the Mean Field Regime Huy Tuan Pham, Phan-Minh Nguyen

In the mean field regime, neural networks are appropriately scaled so that as the width tends to infinity, the learning dynamics tends to a nonlinear and nontrivial dynamical limit, known as the mean field limit. This lends a way to study large-width neural networks via analyzing the mean field limit. Recent works have successfully applied such analysis to two-layer networks and provided global convergence guarantees. The extension to multilayer ones however has been a highly challenging puzzle, and little is known about the optimization efficiency in the mean field regime when there are more than two layers.

In this work, we prove a global convergence result for unregularized feedforward

three-layer networks in the mean field regime. We first develop a rigorous fram ework to establish the mean field limit of three-layer networks under stochastic gradient descent training. To that end, we propose the idea of a neuronal embed ding, which comprises of a fixed probability space that encapsulates neural netw orks of arbitrary sizes. The identified mean field limit is then used to prove a global convergence guarantee under suitable regularity and convergence mode ass umptions, which - unlike previous works on two-layer networks - does not rely cr itically on convexity. Underlying the result is a universal approximation proper ty, natural of neural networks, which importantly is shown to hold at any finite training time (not necessarily at convergence) via an algebraic topology argume nt.

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Provable Memorization via Deep Neural Networks using Sub-linear Parameters Sejun Park, Jaeho Lee, Chulhee Yun, Jinwoo Shin

It is known that  $\pi(N)$  parameters are sufficient for neural networks to me morize arbitrary  $\pi(N)$  input-label pairs. By exploiting depth, we show that  $\pi(N^{2/3})$  parameters suffice to memorize  $\pi(N)$  pairs, under a mild condition on the separation of input points. In particular, deeper networks (even with width  $\pi(N)$  are shown to memorize more pairs than shallow networks, which also agrees we ith the recent line of works on the benefits of depth for function approximation . We also provide empirical results that support our theoretical findings.

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TOMA: Topological Map Abstraction for Reinforcement Learning Zhao Heng Yin, Wu-Jun Li

Animals are able to discover the topological map (graph) of surrounding environm ent, which will be used for navigation. Inspired by this biological phenomenon, researchers have recently proposed to learn a graph representation for Markov de cision process (MDP) and use such graphs for planning in reinforcement learning (RL). However, existing learning-based graph generation methods suffer from many drawbacks. One drawback is that existing methods do not learn an abstraction fo r graphs, which results in high memory and computation cost. This drawback also makes generated graph non-robust, which degrades the planning performance. Anoth er drawback is that existing methods cannot be used for facilitating exploration which is important in RL. In this paper, we propose a new method, called topolo gical map abstraction (TOMA), for graph generation. TOMA can learn an abstract g raph representation for MDP, which costs much less memory and computation cost t han existing methods. Furthermore, TOMA can be used for facilitating exploration . In particular, we propose planning to explore, in which TOMA is used to accele rate exploration by guiding the agent towards unexplored states. A novel experie nce replay module called vertex memory is also proposed to improve exploration p erformance. Experimental results show that TOMA can outperform existing methods to achieve the state-of-the-art performance.

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Ensembles of Generative Adversarial Networks for Disconnected Data Lorenzo Luzi, Randall Balestriero, Richard Baraniuk

Most computer vision datasets are composed of disconnected sets, such as images of different objects. We prove that distributions of this type of data cannot be represented with a continuous generative network without error, independent of the learning algorithm used. Disconnected datasets can be represented in two ways: with an ensemble of networks or with a single network using a truncated late nt space. We show that ensembles are more desirable than truncated distributions for several theoretical and computational reasons. We construct a regularized optimization problem that rigorously establishes the relationships between a sing le continuous GAN, an ensemble of GANs, conditional GANs, and Gaussian Mixture GANs. The regularization can be computed efficiently, and we show empirically that our framework has a performance sweet spot that can be found via hyperparamete r tuning. The ensemble framework provides better performance than a single continuous GAN or cGAN while maintaining fewer total parameters.

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Deep Learning meets Projective Clustering

Alaa Maalouf, Harry Lang, Daniela Rus, Dan Feldman

A common approach for compressing Natural Language Processing (NLP) networks is to encode the embedding layer as a matrix  $A\in \mathbb{R}^{n\times \mathbb{R}}$  notimes d, compute its rank-\$j\$ approximation \$A\_j\$ via SVD (Singular Value Decomposition), and the n factor \$A\_j\$ into a pair of matrices that correspond to smaller fully-connected layers to replace the original embedding layer. Geometrically, the rows of \$A\$ represent points in  $\alpha \in \mathbb{R}^{d}$ , and the rows of \$A\_j\$ represent their projections onto the \$j\$-dimensional subspace that minimizes the sum of squared distances (``errors'') to the points.

In practice, these rows of \$A\$ may be spread around \$k>1\$ subspaces, so factorin g \$A\$ based on a single subspace may lead to large errors that turn into large d rops in accuracy.

Inspired by \emph{projective clustering} from computational geometry, we sugges t replacing this subspace by a set of k subspaces, each of dimension j, that minimizes the sum of squared distances over every point (row in A) to its \emph{closest} subspace. Based on this approach, we provide a novel architecture th at replaces the original embedding layer by a set of k small layers that opera te in parallel and are then recombined with a single fully-connected layer.

Extensive experimental results on the GLUE benchmark yield networks that are bot h more accurate and smaller compared to the standard matrix factorization (SVD). For example, we further compress DistilBERT by reducing the size of the embedding layer by \$40\%\$ while incurring only a \$0.5\%\$ average drop in accuracy over all nine GLUE tasks, compared to a \$2.8\%\$ drop using the existing SVD approach. On Roberta we achieve \$43\%\$ compression of the embedding layer with less than a \$0.8\%\$ average drop in accuracy as compared to a \$3\%\$ drop previously.

Learning to Deceive Knowledge Graph Augmented Models via Targeted Perturbation Mrigank Raman, Aaron Chan, Siddhant Agarwal, PeiFeng Wang, Hansen Wang, Sungchul Kim, Ryan Rossi, Handong Zhao, Nedim Lipka, Xiang Ren

Knowledge graphs (KGs) have helped neural models improve performance on various knowledge-intensive tasks, like question answering and item recommendation. By u sing attention over the KG, such KG-augmented models can also "explain" which KG information was most relevant for making a given prediction. In this paper, we question whether these models are really behaving as we expect. We show that, th rough a reinforcement learning policy (or even simple heuristics), one can produce deceptively perturbed KGs, which maintain the downstream performance of the original KG while significantly deviating from the original KG's semantics and st ructure. Our findings raise doubts about KG-augmented models' ability to reason about KG information and give sensible explanations.

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Knowledge Distillation as Semiparametric Inference

Tri Dao, Govinda M Kamath, Vasilis Syrgkanis, Lester Mackey

A popular approach to model compression is to train an inexpensive student model to mimic the class probabilities of a highly accurate but cumbersome teacher mo del. Surprisingly, this two-step knowledge distillation process often leads to h igher accuracy than training the student directly on labeled data. To explain an d enhance this phenomenon, we cast knowledge distillation as a semiparametric in ference problem with the optimal student model as the target, the unknown Bayes class probabilities as nuisance, and the teacher probabilities as a plug-in nuis ance estimate. By adapting modern semiparametric tools, we derive new guarantee s for the prediction error of standard distillation and develop two enhancements—cross-fitting and loss correction—to mitigate the impact of teacher overfitting and underfitting on student performance. We validate our findings empirically on both tabular and image data and observe consistent improvements from our knowledge distillation enhancements.

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It's Hard for Neural Networks to Learn the Game of Life Jacob M. Springer, Garrett T. Kenyon

Efforts to improve the learning abilities of neural networks have focused mostly on the role of optimization methods rather than on weight initializations. Recent findings, however, suggest that neural networks rely on lucky random initial weights of subnetworks called "lottery tickets" that converge quickly to a solution. To investigate how weight initializations affect performance, we examine small convolutional networks that are trained to predict \$n\$ steps of the two-dimensional cellular automaton Conway's Game of Life, the update rules of which can be implemented efficiently in a small CNN. We find that networks of this archite cture trained on this task rarely converge. Rather, networks require substantial ly more parameters to consistently converge. Furthermore, we find that the initialization parameters that gradient descent converges to a solution are sensitive to small perturbations, such as a single sign change. Finally, we observe a critical value \$d\_0\$ such that training minimal networks with examples in which cells are alive with probability \$d\_0\$ dramatically increases the chance of convergence to a solution. Our results are consistent with the lottery ticket hypothesis.

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Meta-Learning with Neural Tangent Kernels

Yufan Zhou, Zhenyi Wang, Jiayi Xian, Changyou Chen, Jinhui Xu

Model Agnostic Meta-Learning (MAML) has emerged as a standard framework for meta -learning, where a meta-model is learned with the ability of fast adapting to ne w tasks. However, as a double-looped optimization problem, MAML needs to differe ntiate through the whole inner-loop optimization path for every outer-loop train ing step, which may lead to both computational inefficiency and sub-optimal solu tions. In this paper, we generalize MAML to allow meta-learning to be defined in function spaces, and propose the first meta-learning paradigm in the Reproducin g Kernel Hilbert Space (RKHS) induced by the meta-model's Neural Tangent Kernel (NTK). Within this paradigm, we introduce two meta-learning algorithms in the RK HS, which no longer need a sub-optimal iterative inner-loop adaptation as in the MAML framework. We achieve this goal by 1) replacing the adaptation with a fast -adaptive regularizer in the RKHS; and 2) solving the adaptation analytically ba sed on the NTK theory. Extensive experimental studies demonstrate advantages of our paradigm in both efficiency and quality of solutions compared to related met a-learning algorithms. Another interesting feature of our proposed methods is th at they are demonstrated to be more robust to adversarial attacks and out-of-dis tribution adaptation than popular baselines, as demonstrated in our experiments. \*\*\*\*\*\*\*\*\*\*\*\*

Vulnerability-Aware Poisoning Mechanism for Online RL with Unknown Dynamics Yanchao Sun, Da Huo, Furong Huang

Poisoning attacks on Reinforcement Learning (RL) systems could take advantage of RL algorithm's vulnerabilities and cause failure of the learning. However, prio r works on poisoning RL usually either unrealistically assume the attacker knows the underlying Markov Decision Process (MDP), or directly apply the poisoning methods in supervised learning to RL. In this work, we build a generic poisoning framework for online RL via a comprehensive investigation of heterogeneous poisoning models in RL. Without any prior knowledge of the MDP, we propose a strategic poisoning algorithm called Vulnerability-Aware Adversarial Critic Poison (VA2C-P), which works for on-policy deep RL agents, closing the gap that no poisoning method exists for policy-based RL agents. VA2C-P uses a novel metric, stability radius in RL, that measures the vulnerability of RL algorithms. Experiments on multiple deep RL agents and multiple environments show that our poisoning algorithm successfully prevents agents from learning a good policy or teaches the agents to converge to a target policy, with a limited attacking budget.

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Understanding and Improving Lexical Choice in Non-Autoregressive Translation Liang Ding, Longyue Wang, Xuebo Liu, Derek F. Wong, Dacheng Tao, Zhaopeng Tu Knowledge distillation (KD) is essential for training non-autoregressive translation (NAT) models by reducing the complexity of the raw data with an autoregressive teacher model. In this study, we empirically show that as a side effect of this training, the lexical choice errors on low-frequency words are propagated to

the NAT model from the teacher model. To alleviate this problem, we propose to expose the raw data to NAT models to restore the useful information of low-frequency words, which are missed in the distilled data. To this end, we introduce an extra Kullback-Leibler divergence term derived by comparing the lexical choice of NAT model and that embedded in the raw data. Experimental results across language pairs and model architectures demonstrate the effectiveness and universality of the proposed approach. Extensive analyses confirm our claim that our approach improves performance by reducing the lexical choice errors on low-frequency words. Encouragingly, our approach pushes the SOTA NAT performance on the WMT14 English-German and WMT16 Romanian-English datasets up to 27.8 and 33.8 BLEU points, respectively.

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One Vertex Attack on Graph Neural Networks-based Spatiotemporal Forecasting Fuqiang Liu, Luis Miranda Moreno, Lijun Sun

Spatiotemporal forecasting plays an essential role in intelligent transportation systems (ITS) and numerous applications, such as route planning, navigation, an d automatic driving. Deep Spatiotemporal Graph Neural Networks, which capture bo th spatial and temporal patterns, have achieved great success in traffic forecas ting applications. Though Deep Neural Networks (DNNs) have been proven to be vul nerable to carefully designed perturbations in multiple domains like objection c lassification and graph classification, these adversarial works cannot be direct ly applied to spatiotemporal GNNs because of their causality and spatiotemporal mechanism. There is still a lack of studies on the vulnerability and robustness of spatiotemporal GNNs. Particularly, if spatiotemporal GNNs are vulnerable in r eal-world traffic applications, a hacker can easily cause serious traffic conges tion and even a city-scale breakdown. To fill this gap, we design One Vertex Att ack to break deep spatiotemporal GNNs by attacking a single one vertex. To achie ve this, we apply the genetic algorithm with a universal attack method as the ev aluation function to locate the weakest vertex; then perturbations are generated by solving an optimization problem with the inverse estimation. Empirical studi es prove that perturbations in one vertex can be diffused into most of the graph when spatiotemporal GNNs are under One Vertex Attack.

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Rethinking Architecture Selection in Differentiable NAS

Ruochen Wang, Minhao Cheng, Xiangning Chen, Xiaocheng Tang, Cho-Jui Hsieh

Differentiable Neural Architecture Search is one of the most popular Neural Arch itecture Search (NAS) methods for its search efficiency and simplicity, accompli shed by jointly optimizing the model weight and architecture parameters in a wei ght-sharing supernet via gradient-based algorithms. At the end of the search pha se, the operations with the largest architecture parameters will be selected to form the final architecture, with the implicit assumption that the values of arc hitecture parameters reflect the operation strength. While much has been discuss ed about the supernet's optimization, the architecture selection process has rec eived little attention. We provide empirical and theoretical analysis to show th at the magnitude of architecture parameters does not necessarily indicate how mu ch the operation contributes to the supernet's performance. We propose an altern ative perturbation-based architecture selection that directly measures each oper ation's influence on the supernet. We re-evaluate several differentiable NAS met hods with the proposed architecture selection and find that it is able to extrac t significantly improved architectures from the underlying supernets consistentl y. Furthermore, we find that several failure modes of DARTS can be greatly allev iated with the proposed selection method, indicating that much of the poor gener alization observed in DARTS can be attributed to the failure of magnitude-based architecture selection rather than entirely the optimization of its supernet.

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Anti-Distillation: Improving Reproducibility of Deep Networks Gil I Shamir, Lorenzo Coviello

Deep networks have been revolutionary in improving performance of machine learning and artificial intelligence systems.

Their high prediction accuracy, however, comes at a price of model irreproducibi

lity in very high levels that do not occur with classical linear models. Two mod els, even if they are supposedly identical, with identical architecture and iden tical trained parameter sets, and that are trained on the same set of training e xamples, while possibly providing identical average prediction accuracies, may p redict very differently on individual, previously unseen, examples. Prediction d ifferences may be as large as the order of magnitude of the predictions themselv es. Ensembles have been shown to somewhat mitigate this behavior, but without an extra push, may not be utilizing their full potential. In this work, a novel ap proach, Anti-Distillation, is proposed to address irreproducibility in deep netw orks, where ensemble models are used to generate predictions. Anti-Distillation forces ensemble components away from one another by techniques like de-correlating their outputs over mini-batches of examples, forcing them to become even more different and more diverse. Doing so enhances the benefit of ensembles, making the final predictions more reproducible. Empirical results demonstrate substantial prediction difference reductions achieved by Anti-Distillation on benchmark and real datasets.

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Distributional Sliced-Wasserstein and Applications to Generative Modeling Khai Nguyen, Nhat Ho, Tung Pham, Hung Bui

Sliced-Wasserstein distance (SW) and its variant, Max Sliced-Wasserstein distance e (Max-SW), have been used widely in the recent years due to their fast computat ion and scalability even when the probability measures lie in a very high dimens ional space. However, SW requires many unnecessary projection samples to approxi mate its value while Max-SW only uses the most important projection, which ignor es the information of other useful directions. In order to account for these wea knesses, we propose a novel distance, named Distributional Sliced-Wasserstein di stance (DSW), that finds an optimal distribution over projections that can balan ce between exploring distinctive projecting directions and the informativeness o f projections themselves. We show that the DSW is a generalization of Max-SW, an d it can be computed efficiently by searching for the optimal push-forward measu re over a set of probability measures over the unit sphere satisfying certain re gularizing constraints that favor distinct directions. Finally, we conduct exten sive experiments with large-scale datasets to demonstrate the favorable performa nces of the proposed distances over the previous sliced-based distances in gener ative modeling applications.

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Maximum Categorical Cross Entropy (MCCE): A noise-robust alternative loss functi on to mitigate racial bias in Convolutional Neural Networks (CNNs) by reducing o verfitting

Nidhi Gowdra, Roopak Sinha, Stephen MacDonell, WeiQi Yan

Categorical Cross Entropy (CCE) is the most commonly used loss function in deep neural networks such as Convolutional Neural Networks (CNNs) for multi-class cla ssification problems. In spite of the fact that CCE is highly susceptible to noi se; CNN models trained without accounting for the unique noise characteristics of the input data, or noise introduced during model training, invariably suffer from overfitting affecting model generalizability. The lack of generalizability becomes especially apparent in the context of ethnicity/racial image classificati on problems encountered in the domain of computer vision. One such problem is the unintended discriminatory racial bias that CNN models trained using CCE fail to adequately address. In other words, CNN models trained using CCE offer a skewed representation of classification performance favoring lighter skin tones.

In this paper, we propose and empirically validate a novel noise-robust extension to the existing CCE loss function called Maximum Categorical Cross-Entropy (MC CE), which utilizes CCE loss and a novel reconstruction loss, calculated using the Maximum Entropy (ME) measures of the convolutional kernel weights and input training dataset. We compare the use of MCCE with CCE-trained models on two bench marking datasets, colorFERET and UTKFace, using a Residual Network (ResNet) CNN architecture. MCCE-trained models reduce overfitting by 5.85% and 4.3% on colorFERET and UTKFace datasets respectively. In cross-validation testing, MCCE-traine

d models outperform CCE-trained models by 8.8% and 25.16% on the colorFERET and UTKFace datasets respectively. MCCE addresses and mitigates the persistent problem of inadvertent racial bias for facial recognition problems in the domain of computer vision.

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The act of remembering: A study in partially observable reinforcement learning Rodrigo Toro Icarte, Richard Valenzano, Toryn Q. Klassen, Phillip Christoffersen, Am ir-massoud Farahmand, Sheila A. McIlraith

Partial observability remains a major challenge for reinforcement learning (RL). In fully observable environments it is sufficient for RL agents to learn memory less policies. However, some form of memory is necessary when RL agents are face d with partial observability. In this paper we study a lightweight approach: we augment the environment with an external memory and additional actions to contro 1 what, if anything, is written to the memory. At every step, the current memory state is part of the agent's observation, and the agent selects a tuple of acti ons: one action that modifies the environment and another that modifies the memo ry. When the external memory is sufficiently expressive, optimal memoryless poli cies yield globally optimal solutions. We develop the theory for memory-augmente  $\ensuremath{\mathtt{d}}$  environments and formalize the RL problem. Previous attempts to use external  $\ensuremath{\mathtt{m}}$ emory in the form of binary memory have produced poor results in practice. We pr opose and experimentally evaluate alternative forms of k-size buffer memory wher e the agent can decide to remember observations by pushing (or not) them into th e buffer. Our memories are simple to implement and outperform binary and LSTM-ba sed memories in well-established partially observable domains.

Evolving Reinforcement Learning Algorithms

John D Co-Reyes, Yingjie Miao, Daiyi Peng, Esteban Real, Quoc V Le, Sergey Levine, Honglak Lee, Aleksandra Faust

We propose a method for meta-learning reinforcement learning algorithms by searc hing over the space of computational graphs which compute the loss function for a value-based model-free RL agent to optimize. The learned algorithms are domain -agnostic and can generalize to new environments not seen during training. Our m ethod can both learn from scratch and bootstrap off known existing algorithms, like DQN, enabling interpretable modifications which improve performance. Learning from scratch on simple classical control and gridworld tasks, our method rediscovers the temporal-difference (TD) algorithm. Bootstrapped from DQN, we highlight two learned algorithms which obtain good generalization performance over othe classical control tasks, gridworld type tasks, and Atari games. The analysis of the learned algorithm behavior shows resemblance to recently proposed RL algorithms that address overestimation in value-based methods.

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Benchmarking Multi-Agent Deep Reinforcement Learning Algorithms Chao Yu, Akash Velu, Eugene Vinitsky, Yu Wang, Alexandre Bayen, Yi Wu

We benchmark commonly used multi-agent deep reinforcement learning (MARL) algori thms on a variety of cooperative multi-agent games. While there has been signifi cant innovation in MARL algorithms, algorithms tend to be tested and tuned on a single domain and their average performance across multiple domains is less char acterized. Furthermore, since the hyperparameters of the algorithms are carefull y tuned to the task of interest, it is unclear whether hyperparameters can easil y be found that allow the algorithm to be repurposed for other cooperative tasks with different reward structure and environment dynamics. To investigate the co nsistency of the performance of MARL algorithms, we build an open-source library of multi-agent algorithms including DDPG/TD3/SAC with centralized Q functions, PPO with centralized value functions, and QMix and test them across a range of t asks that vary in coordination difficulty and agent number. The domains include the particle-world environments, starcraft micromanagement challenges, the Hanab i challenge, and the hide-and-seek environments. Finally, we investigate the eas e of hyper-parameter tuning for each of the algorithms by tuning hyper-parameter s in one environment per domain and re-using them in the other environments with in the domain.

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Systematic generalisation with group invariant predictions Faruk Ahmed, Yoshua Bengio, Harm van Seijen, Aaron Courville

We consider situations where the presence of dominant simpler correlations with the target variable in a training set can cause an SGD-trained neural network to be less reliant on more persistently correlating complex features. When the non-persistent, simpler correlations correspond to non-semantic background factors, a neural network trained on this data can exhibit dramatic failure upon encount ering systematic distributional shift, where the correlating background features are recombined with different objects. We perform an empirical study on three s ynthetic datasets, showing that group invariance methods across inferred partiti onings of the training set can lead to significant improvements at such test-time situations. We also suggest a simple invariance penalty, showing with experime nts on our setups that it can perform better than alternatives. We find that even without assuming access to any systematically shifted validation sets, one can still find improvements over an ERM-trained reference model.

Counterfactual Fairness through Data Preprocessing

Haoyu Chen, Wenbin Lu, Rui Song, Pulak Ghosh

Machine learning has become more important in real-life decision-making but peop le are concerned about the ethical problems it may bring when used improperly. R ecent work brings the discussion of machine learning fairness into the causal fr amework and elaborates on the concept of Counterfactual Fairness. In this paper, we develop the Fair Learning through dAta Preprocessing (FLAP) algorithm to lea rn counterfactually fair decisions from biased training data and formalize the c onditions where different data preprocessing procedures should be used to guaran tee counterfactual fairness. We also show that Counterfactual Fairness is equiva lent to the conditional independence of the decisions and the sensitive attribut es given the processed non-sensitive attributes, which enables us to detect disc rimination in the original decision using the processed data. The performance of our algorithm is illustrated using simulated data and real-world applications.

Achieving Explainability in a Visual Hard Attention Model through Content Prediction

Samrudhdhi B. Rangrej, James J. Clark

A visual hard attention model actively selects and observes a sequence of subreg ions in an image to make a prediction. Unlike in the deep convolution network, i n hard attention it is explainable which regions of the image contributed to the prediction. However, the attention policy used by the model to select these reg ions is not explainable. The majority of hard attention models determine the att ention-worthy regions by first analyzing a complete image. However, it may be th e case that the entire image is not available in the beginning but instead sense d gradually through a series of partial observations. In this paper, we design a n efficient hard attention model for classifying partially observable scenes. Th e attention policy used by our model is explainable and non-parametric. The mode l estimates expected information gain (EIG) obtained from attending various regi ons by predicting their content ahead of time. It compares EIG using Bayesian Op timal Experiment Design and attends to the region with maximum EIG. We train our model with a differentiable objective, optimized using gradient descent, and te st it on several datasets. The performance of our model is comparable to or bett er than the baseline models.

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Layer-adaptive Sparsity for the Magnitude-based Pruning Jaeho Lee, Sejun Park, Sangwoo Mo, Sungsoo Ahn, Jinwoo Shin

Recent discoveries on neural network pruning reveal that, with a carefully chose n layerwise sparsity, a simple magnitude-based pruning achieves state-of-the-art tradeoff between sparsity and performance. However, without a clear consensus on `how to choose,'' the layerwise sparsities are mostly selected algorithm-by-a lgorithm, often resorting to handcrafted heuristics or an extensive hyperparamet er search. To fill this gap, we propose a novel importance score for global prun

ing, coined layer-adaptive magnitude-based pruning (LAMP) score; the score is a rescaled version of weight magnitude that incorporates the model-level \$\ell\_2\$ distortion incurred by pruning, and does not require any hyperparameter tuning o r heavy computation.

Under various image classification setups, LAMP consistently outperforms popular existing schemes for layerwise sparsity selection.

Furthermore, we observe that LAMP continues to outperform baselines even in weig ht-rewinding setups, while the connectivity-oriented layerwise sparsity (the str ongest baseline overall) performs worse than a simple global magnitude-based pru ning in this case. Code: https://github.com/jaeho-lee/layer-adaptive-sparsity

TimeAutoML: Autonomous Representation Learning for Multivariate Irregularly Sam pled Time Series

Yang Jiao, Kai Yang, shaoyu dou, pan luo, Sijia Liu, Dongjin Song

Multivariate time series (MTS) data are becoming increasingly ubiquitous in dive rse domains, e.g., IoT systems, health informatics, and 5G networks. To obtain a n effective representation of MTS data, it is not only essential to consider unp redictable dynamics and highly variable lengths of these data but also important to address the irregularities in the sampling rates of MTS. Existing parametric approaches rely on manual hyperparameter tuning and may cost a huge amount of 1 abor effort. Therefore, it is desirable to learn the representation automaticall y and efficiently. To this end, we propose an autonomous representation learning approach for multivariate time series (TimeAutoML) with irregular sampling rate s and variable lengths. As opposed to previous works, we first present a representation learning pipeline in which the configuration and hyperparameter optimization

are fully automatic and can be tailored for various tasks, e.g., anomaly detecti on, clustering, etc. Next, a negative sample generation approach and an auxiliar y classification task are developed and integrated within TimeAutoML to enhance its representation capability. Extensive empirical studies on real-world dataset s demonstrate that the proposed TimeAutoML outperforms competing approaches on v arious tasks by a large margin. In fact, it achieves the best anomaly detection performance among all comparison algorithms on 78 out of all 85 UCR datasets, ac quiring up to 20% performance improvement in terms of AUC score.

Evaluating representations by the complexity of learning low-loss predictors William F Whitney, Min Jae Song, David Brandfonbrener, Jaan Altosaar, Kyunghyun Cho We consider the problem of evaluating representations of data for use in solving a downstream task. We propose to measure the quality of a representation by the complexity of learning a predictor on top of the representation that achieves 1 ow loss on a task of interest. To this end, we introduce two measures: surplus d escription length (SDL) and \$\varepsilon\$ sample complexity (\$\varepsilon\$SC). T o compare our methods to prior work, we also present a framework based on plotti ng the validation loss versus dataset size (the "loss-data" curve). Existing mea sures, such as mutual information and minimum description length, correspond to slices and integrals along the data-axis of the loss-data curve, while ours corr espond to slices and integrals along the loss-axis. This analysis shows that pri or methods measure properties of an evaluation dataset of a specified size, wher eas our methods measure properties of a predictor with a specified loss. We conc lude with experiments on real data to compare the behavior of these methods over datasets of varying size.

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Contrastive Code Representation Learning

Paras Jain, Ajay Jain, Tianjun Zhang, Pieter Abbeel, Joseph E. Gonzalez, Ion Stoica Machine-aided programming tools such as automated type predictors and autocomple te are increasingly learning-based. However, current approaches predominantly re ly on supervised learning with task-specific datasets. We propose Contrastive Co de Representation Learning (ContraCode), a self-supervised algorithm for learning task-agnostic semantic representations of programs via contrastive learning. O ur approach uses no human-provided labels, only the raw text of programs. Contra

Code optimizes for a representation that is invariant to semantic-preserving code transformations. We develop an automated source-to-source compiler that general test textually divergent variants of source programs. We then train a neural network to identify variants of anchor programs within a large batch of non-equivale nt negatives. To solve this task, the network must extract features representing the functionality, not form, of the program. In experiments, we pre-train ContraCode with 1.8M unannotated JavaScript methods mined from GitHub, then transfer to downstream tasks by fine-tuning. Pre-training with ContraCode consistently im proves the F1 score of code summarization baselines and top-1 accuracy of type inference baselines by 2% to 13%. ContraCode achieves 9% higher top-1 accuracy than the current state-of-the-art static type analyzer for TypeScript. Finally, representations learned through a hybrid contrastive and reconstruction objective transfer in zero-shot to code clone detection with +10% AUROC over a static text similarity measure and +5% over reconstruction alone.

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Understanding and Improving Encoder Layer Fusion in Sequence-to-Sequence Learnin

Xuebo Liu,Longyue Wang,Derek F. Wong,Liang Ding,Lidia S. Chao,Zhaopeng Tu Encoder layer fusion (EncoderFusion) is a technique to fuse all the encoder laye rs (instead of the uppermost layer) for sequence-to-sequence (Seq2Seq) models, w hich has proven effective on various NLP tasks. However, it is still not entirel y clear why and when EncoderFusion should work. In this paper, our main contribu tion is to take a step further in understanding EncoderFusion. Many of previous studies believe that the success of EncoderFusion comes from exploiting surface and syntactic information embedded in lower encoder layers. Unlike them, we find that the encoder embedding layer is more important than other intermediate enco der layers. In addition, the uppermost decoder layer consistently pays more atte ntion to the encoder embedding layer across NLP tasks. Based on this observation , we propose a simple fusion method, SurfaceFusion, by fusing only the encoder e mbedding layer for the softmax layer. Experimental results show that SurfaceFusi on outperforms EncoderFusion on several NLP benchmarks, including machine transl ation, text summarization, and grammatical error correction. It obtains the stat e-of-the-art performance on WMT16 Romanian-English and WMT14 English-French tran slation tasks. Extensive analyses reveal that SurfaceFusion learns more expressi ve bilingual word embeddings by building a closer relationship between relevant source and target embeddings. Source code is freely available at https://github. com/SunbowLiu/SurfaceFusion.

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Dynamic Graph Representation Learning with Fourier Temporal State Embedding Yihan He, Wei Cao, Shun Zheng, Zhifeng Gao, Jiang Bian

Static graph representation learning has been applied in many tasks over the years thanks to the invention of unsupervised graph embedding methods and more recently, graph neural networks (GNNs). However, in many cases, we are to handle dynamic graphs where the structures of graphs and labels of the nodes are evolving steadily with time. This has posed a great challenge to existing methods in time and memory efficiency. In this work, we present a new method named Fourier Temporal State Embedding (FTSE) to address the temporal information in dynamic graph representation learning. FTSE offered time and memory-efficient solution through applying signal processing techniques to the temporal graph signals. We paired the Fourier Transform with an efficient edge network and provided a new prototy pe of modeling dynamic graph evolution with high precision. FTSE can also prevent the 'history explosion' that exists in sequential models. The empirical study shows that our proposed approach achieves significantly better performance than previous approaches on public datasets across multiple tasks.

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SaliencyMix: A Saliency Guided Data Augmentation Strategy for Better Regularization

A F M Shahab Uddin, Mst. Sirazam Monira, Wheemyung Shin, TaeChoong Chung, Sung-Ho Ba

Advanced data augmentation strategies have widely been studied to improve the ge

neralization ability of deep learning models. Regional dropout is one of the pop ular solutions that quides the model to focus on less discriminative parts by ra ndomly removing image regions, resulting in improved regularization. However, su ch information removal is undesirable. On the other hand, recent strategies sugg est to randomly cut and mix patches and their labels among training images, to e njoy the advantages of regional dropout without having any pointless pixel in th e augmented images. We argue that such random selection strategies of the patche s may not necessarily represent sufficient information about the corresponding o bject and thereby mixing the labels according to that uninformative patch enable s the model to learn unexpected feature representation. Therefore, we propose Sa liencyMix that carefully selects a representative image patch with the help of a saliency map and mixes this indicative patch with the target image, thus leadin q the model to learn more appropriate feature representation. SaliencyMix achiev es the best known top-1 error of \$21.26\%\$ and \$20.09\%\$ for ResNet-50 and ResNe t-101 architectures on ImageNet classification, respectively, and also improves the model robustness against adversarial perturbations. Furthermore, models that are trained with SaliencyMix, help to improve the object detection performance. Source code is available at \url{https://github.com/SaliencyMix/SaliencyMix}.

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ReaPER: Improving Sample Efficiency in Model-Based Latent Imagination Martin A Bertran, Guillermo Sapiro, mariano phielipp

Deep Reinforcement Learning (DRL) can distill behavioural policies from sensory input that solve complex tasks, however, the policies tend to be task-specific a nd sample inefficient, requiring a large number of interactions with the environ ment that may be costly or impractical for many real world applications. Model-b ased DRL (MBRL) can allow learned behaviours and dynamics from one task to be tr anslated to a new task in a related environment, but still suffer from low sampl e efficiency. In this work we introduce ReaPER, an algorithm that addresses the sample efficiency challenge in model-based DRL, we illustrate the power of the p roposed solution on the DeepMind Control benchmark. Our improvements are driven by sparse, self-supervised, contrastive model representations and efficient use of past experience. We empirically analyze each novel component of ReaPER and analyze how they contribute to sample efficiency. We also illustrate how other s tandard alternatives fail to improve upon previous methods. Code will be made available.

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Active Learning in CNNs via Expected Improvement Maximization Udai G. Nagpal, David A. Knowles

Deep learning models such as Convolutional Neural Networks (CNNs) have demonstra ted high levels of effectiveness in a variety of domains, including computer vis ion and more recently, computational biology. However, training effective models often requires assembling and/or labeling large datasets, which may be prohibit ively time-consuming or costly. Pool-based active learning techniques have the p otential to mitigate these issues, leveraging models trained on limited data to selectively query unlabeled data points from a pool in an attempt to expedite th e learning process. Here we present "Dropout-based Expected IMprOvementS" (DEIMO S), a flexible and computationally-efficient approach to active learning that qu eries points that are expected to maximize the model's improvement across a repr esentative sample of points. The proposed framework enables us to maintain a pre diction covariance matrix capturing model uncertainty, and to dynamically update this matrix in order to generate diverse batches of points in the batch-mode se tting. Our active learning results demonstrate that DEIMOS outperforms several e xisting baselines across multiple regression and classification tasks taken from computer vision and genomics.

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Efficient Estimators for Heavy-Tailed Machine Learning

Vishwak Srinivasan, Adarsh Prasad, Sivaraman Balakrishnan, Pradeep Kumar Ravikumar A dramatic improvement in data collection technologies has aided in procuring massive amounts of unstructured and heterogeneous datasets. This has consequently led to a prevalence of heavy-tailed distributions across a broad range of tasks

in machine learning. In this work, we perform thorough empirical studies to show that modern machine learning models such as generative adversarial networks and invertible flow models are plagued with such ill-behaved distributions during the phase of training them. To alleviate this problem, we develop a computational ly-efficient estimator for mean estimation with provable guarantees which can handle such ill-behaved distributions. We provide specific consequences of our theory for supervised learning tasks such as linear regression and generalized line ar models. Furthermore, we study the performance of our algorithm on synthetic tasks and real-world experiments and show that our methods convincingly outperform a variety of practical baselines.

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Image GANs meet Differentiable Rendering for Inverse Graphics and Interpretable 3D Neural Rendering

Yuxuan Zhang, Wenzheng Chen, Huan Ling, Jun Gao, Yinan Zhang, Antonio Torralba, Sanja Fidler

Differentiable rendering has paved the way to training neural networks to perfor m "inverse graphics" tasks such as predicting 3D geometry from monocular photogr aphs. To train high performing models, most of the current approaches rely on mu lti-view imagery which are not readily available in practice. Recent Generative Adversarial Networks (GANs) that synthesize images, in contrast, seem to acquir e 3D knowledge implicitly during training: object viewpoints can be manipulated by simply manipulating the latent codes. However, these latent codes often lack further physical interpretation and thus GANs cannot easily be inverted to perfo rm explicit 3D reasoning. In this paper, we aim to extract and disentangle 3D kn owledge learned by generative models by utilizing differentiable renderers. Key to our approach is to exploit GANs as a multi-view data generator to train an in verse graphics network using an off-the-shelf differentiable renderer, and the t rained inverse graphics network as a teacher to disentangle the GAN's latent cod e into interpretable 3D properties. The entire architecture is trained iterative ly using cycle consistency losses. We show that our approach significantly outpe rforms state-of-the-art inverse graphics networks trained on existing datasets, both quantitatively and via user studies. We further showcase the disentangled G AN as a controllable 3D "neural renderer", complementing traditional graphics re nderers.

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Meta-Learning with Implicit Processes

YIZHOU CHEN, DONG LI, NA LI, TONG LIANG, SHIZHUO ZHANG, Bryan Kian Hsiang Low This paper presents a novel implicit process-based meta-learning (IPML) algorith m that, in contrast to existing works, explicitly represents each task as a cont inuous latent vector and models its probabilistic belief within the highly expre ssive IP framework. Unfortunately, meta-training in IPML is computationally chal lenging due to its need to perform intractable exact IP inference in task adapta tion. To resolve this, we propose a novel expectation-maximization algorithm bas ed on the stochastic gradient Hamiltonian Monte Carlo sampling method to perform meta-training. Our delicate design of the neural network architecture for metatraining in IPML allows competitive meta-learning performance to be achieved. Un like existing works, IPML offers the benefits of being amenable to the character ization of a principled distance measure between tasks using the maximum mean di screpancy, active task selection without needing the assumption of known task co ntexts, and synthetic task generation by modeling task-dependent input distribut ions. Empirical evaluation on benchmark datasets shows that IPML outperforms exi sting Bayesian meta-learning algorithms. We have also empirically demonstrated o n an e-commerce company's real-world dataset that IPML outperforms the baselines and identifies outliers tasks which can potentially degrade meta-testing perfor

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EMaQ: Expected-Max Q-Learning Operator for Simple Yet Effective Offline and Onli ne RT.

Seyed Kamyar Seyed Ghasemipour, Dale Schuurmans, Shixiang Gu

Off-policy reinforcement learning (RL) holds the promise of sample-efficient lea

rning of decision-making policies by leveraging past experience. However, in the offline RL setting -- where a fixed collection of interactions are provided and no further interactions are allowed -- it has been shown that standard off-poli cy RL methods can significantly underperform. Recently proposed methods often ai m to address this shortcoming by constraining learned policies to remain close t o the given dataset of interactions. In this work, we closely investigate an imp ortant simplification of BCQ~\citep{fujimoto2018off} -- a prior approach for off line RL -- which removes a heuristic design choice and naturally restrict extrac ted policies to remain \emph{exactly} within the support of a given behavior pol icy. Importantly, in contrast to their original theoretical considerations, we d erive this simplified algorithm through the introduction of a novel backup opera tor, Expected-Max Q-Learning (EMaQ), which is more closely related to the result ing practical algorithm. Specifically, in addition to the distribution support, EMaQ explicitly considers the number of samples and the proposal distribution, a llowing us to derive new sub-optimality bounds which can serve as a novel measur e of complexity for offline RL problems. In the offline RL setting -- the main f ocus of this work -- EMaQ matches and outperforms prior state-of-the-art in the D4RL benchmarks~\citep{fu2020d4rl}. In the online RL setting, we demonstrate tha t EMaQ is competitive with Soft Actor Critic (SAC). The key contributions of our empirical findings are demonstrating the importance of careful generative model design for estimating behavior policies, and an intuitive notion of complexity for offline RL problems. With its simple interpretation and fewer moving parts, such as no explicit function approximator representing the policy, EMaQ serves a s a strong yet easy to implement baseline for future work.

Geometry of Program Synthesis

James Clift, Daniel Murfet, James Wallbridge

We present a new perspective on program synthesis in which programs may be ident ified with singularities of analytic functions. As an example, Turing machines a re synthesised from input-output examples by propagating uncertainty through a s mooth relaxation of a universal Turing machine. The posterior distribution over weights is approximated using Markov chain Monte Carlo and bounds on the genera lisation error of these models is estimated using the real log canonical threshold, a geometric invariant from singular learning theory.

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Federated Learning With Quantized Global Model Updates Mohammadi Amiri, Deniz Gunduz, Sanjeev Kulkarni, H. Vincent Poor We study federated learning (FL), which enables mobile devices to utilize their local datasets to collaboratively train a global model with the help of a centra 1 server, while keeping data localized. At each iteration, the server broadcasts the current global model to the devices for local training, and aggregates the local model updates from the devices to update the global model. Previous work o n the communication efficiency of FL has mainly focused on the aggregation of mo del updates from the devices, assuming perfect broadcasting of the global model. In this paper, we instead consider broadcasting a compressed version of the glo bal model. This is to further reduce the communication cost of FL, which can be particularly limited when the global model is to be transmitted over a wireless medium. We introduce a lossy FL (LFL) algorithm, in which both the global model and the local model updates are quantized before being transmitted. We analyze t he convergence behavior of the proposed LFL algorithm assuming the availability of accurate local model updates at the server. Numerical experiments show that t he proposed LFL scheme, which quantizes the global model update (with respect to the global model estimate at the devices) rather than the global model itself, significantly outperforms other existing schemes studying quantization of the gl obal model at the PS-to-device direction. Also, the performance loss of the prop osed scheme is marginal compared to the fully lossless approach, where the PS an d the devices transmit their messages entirely without any quantization. \*\*\*\*\*\*\*\*\*\*\*\*\*

A Half-Space Stochastic Projected Gradient Method for Group Sparsity Regularizat

ion

Tianyi Chen, Guanyi Wang, Tianyu DING, Bo Ji, Sheng Yi, Zhihui Zhu

Optimizing with group sparsity is significant in enhancing model interpretabilit y in machining learning applications, e.g., feature selection, compressed sensin g and model compression. However, for large-scale stochastic training problems, effective group-sparsity exploration are typically hard to achieve. Particularl y, the state-of-the-art stochastic optimization algorithms usually generate mere ly dense solutions. To overcome this shortage, we propose a stochastic method—Ha lf-space Stochastic Projected Gradient method (HSPG) to search solutions of high group sparsity while maintain the convergence. Initialized by a simple Prox-SG Step, the HSPG method relies on a novel Half-Space Step to substantially boosts the sparsity level. Numerically, HSPG demonstrates its superiority in deep neur al networks, e.g., VGG16, ResNet18 and MobileNetV1, by computing solutions of higher group sparsity, competitive objective values and generalization accuracy.

Augmentation-Interpolative AutoEncoders for Unsupervised Few-Shot Image Generation

Davis Wertheimer, Omid Poursaeed, Bharath Hariharan

We aim to build image generation models that generalize to new domains from few examples. To this end, we first investigate the generalization properties of cla ssic image generators, and discover that autoencoders generalize extremely well to new domains, even when trained on highly constrained data. We leverage this i nsight to produce a robust, unsupervised few-shot image generation algorithm, and introduce a novel training procedure based on recovering an image from data au gmentations. Our Augmentation-Interpolative AutoEncoders synthesize realistic images of novel objects from only a few reference images, and outperform both prior interpolative models and supervised few-shot image generators. Our procedure is simple and lightweight, generalizes broadly, and requires no category labels or other supervision during training.

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Are wider nets better given the same number of parameters? Anna Golubeva, Guy Gur-Ari, Behnam Neyshabur

Empirical studies demonstrate that the performance of neural networks improves w ith increasing number of parameters. In most of these studies, the number of parameters is increased by increasing the network width. This begs the question: Is the observed improvement due to the larger number of parameters, or is it due to the larger width itself? We compare different ways of increasing model width w hile keeping the number of parameters constant. We show that for models initialized with a random, static sparsity pattern in the weight tensors, network width is the determining factor for good performance, while the number of weights is secondary, as long as the model achieves high training accuarcy. As a step toward sunderstanding this effect, we analyze these models in the framework of Gaussian Process kernels. We find that the distance between the sparse finite-width model kernel and the infinite-width kernel at initialization is indicative of model performance.

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TextTN: Probabilistic Encoding of Language on Tensor Network
Peng Zhang, Jing Zhang, Xindian Ma, Siwei Rao, Guangjian Tian, Jun Wang
As a novel model that bridges machine learning and quantum theory, tensor networ

k (TN) has recently gained increasing attention and successful applications for processing natural images. However, for natural languages, it is unclear how to design a probabilistic encoding architecture to efficiently and accurately lear n and classify texts based on TN. This paper proposes a general two-step scheme of text classification based on Tensor Network, which is named as TextTN. TextTN first encodes the word vectors in a probabilistic space by a generative TN (wor d-GTN), and then classifies a text sentence using a discriminative TN (sentence-DTN). Moreover, in sentence-DTN, its hyper-parameter (i.e., bond-dimension) can be analyzed and selected by the theoretical property of TextTN's expressive pow er. In experiments, our TextTN also obtains the state-of-the-art result on SST-5 sentiment classification task.

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A Truly Constant-time Distribution-aware Negative Sampling Shabnam Daghaghi, Tharun Medini, Beidi Chen, Mengnan Zhao, Anshumali Shrivastava Softmax classifiers with a very large number of classes naturally occur in many applications such as natural language processing and information retrieval. The calculation of full-softmax is very expensive from the computational and energy perspective. There have been a variety of sampling approaches to overcome this c hallenge, popularly known as negative sampling (NS). Ideally, NS should sample n egative classes from a distribution that is dependent on the input data, the cur rent parameters, and the correct positive class. Unfortunately, due to the dynam ically updated parameters and data samples, there does not exist any sampling sc heme that is truly adaptive and also samples the negative classes in constant ti me every iteration. Therefore, alternative heuristics like random sampling, tic frequency-based sampling, or learning-based biased sampling; which primarily trade either the sampling cost or the adaptivity of samples per iteration, are adopted. In this paper, we show a class of distribution where the sampling schem e is truly adaptive and provably generates negative samples in constant time. We demonstrate a negative sampling implementation that is significantly faster, in terms of wall clock time, compared to the most optimized TensorFlow implementat ions of standard softmax or other sampling approaches on the best available GPUs (V100s).

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Adaptive Learning Rates for Multi-Agent Reinforcement Learning Jiechuan Jiang, Zongqing Lu

In multi-agent reinforcement learning (MARL), the learning rates of actors and  $\boldsymbol{c}$ ritic are mostly hand-tuned and fixed. This not only requires heavy tuning but m ore importantly limits the learning. With adaptive learning rates according to g radient patterns, some optimizers have been proposed for general optimizations, which however do not take into consideration the characteristics of MARL. In thi s paper, we propose AdaMa to bring adaptive learning rates to cooperative MARL. AdaMa evaluates the contribution of actors' updates to the improvement of Q-valu e and adaptively updates the learning rates of actors to the direction of maxima lly improving the Q-value. AdaMa could also dynamically balance the learning rat es between the critic and actors according to their varying effects on the learn ing. Moreover, AdaMa can incorporate the second-order approximation to capture t he contribution of pairwise actors' updates and thus more accurately updates the learning rates of actors. Empirically, we show that AdaMa could accelerate the learning and improve the performance in a variety of multi-agent scenarios, and the visualizations of learning rates during training clearly explain how and why AdaMa works.

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Discovering Non-monotonic Autoregressive Orderings with Variational Inference Xuanlin Li, Brandon Trabucco, Dong Huk Park, Michael Luo, Sheng Shen, Trevor Darrell, Yang Gao

The predominant approach for language modeling is to encode a sequence of tokens from left to right, but this eliminates a source of information: the order by w hich the sequence was naturally generated. One strategy to recover this informat ion is to decode both the content and ordering of tokens. Some prior work superv ises content and ordering with hand-designed loss functions to encourage specifi c orders or bootstraps from a predefined ordering. These approaches require doma in-specific insight. Other prior work searches over valid insertion operations t hat lead to ground truth sequences during training, which has high time complexi ty and cannot be efficiently parallelized. We address these limitations with an unsupervised learner that can be trained in a fully-parallelizable manner to dis cover high-quality autoregressive orders in a data driven way without a domain-s pecific prior. The learner is a neural network that performs variational inferen ce with the autoregressive ordering as a latent variable. Since the correspondin g variational lower bound is not differentiable, we develop a practical algorith m for end-to-end optimization using policy gradients. Strong empirical results w ith our solution on sequence modeling tasks suggest that our algorithm is capabl

e of discovering various autoregressive orders for different sequences that are competitive with or even better than fixed orders.

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Adaptive Spatial-Temporal Inception Graph Convolutional Networks for Multi-step Spatial-Temporal Network Data Forecasting

Xing Wang, Lin Zhu, Juan Zhao, Zhou Xu, Zhao Li, Junlan Feng, Chao Deng

Spatial-temporal data forecasting is of great importance for industries such as telecom network operation and transportation management. However, spatial-tempor al data is inherent with complex spatial-temporal correlations and behaves heter ogeneities among the spatial and temporal aspects, which makes the forecasting remain as a very challenging task though recently great work has been done. In this paper, we propose a novel model, Adaptive Spatial-Temporal Inception Graph Convolution Networks (ASTI-GCN), to solve the multi-step spatial-temporal data for ecasting problem. The model proposes multi-scale spatial-temporal joint graph convolution block to directly model the spatial-temporal joint correlations without introducing elaborately constructed mechanisms. Moreover inception mechanism combined with the graph node-level attention is introduced to make the model capt ure the heterogeneous nature of the graph adaptively. Our experiments on three real-world datasets from two different fields consistently show ASTI-GCN outperforms the state-of-the-art performance. In addition, ASTI-GCN is proved to general ize well.

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Efficient Robust Training via Backward Smoothing

Jinghui Chen, Yu Cheng, Zhe Gan, Quanquan Gu, Jingjing Liu

Adversarial training is so far the most effective strategy in defending against adversarial examples. However, it suffers from high computational cost due to th e iterative adversarial attacks in each training step. Recent studies show that it is possible to achieve Fast Adversarial Training by performing a single-step attack with random initialization. Yet, it remains a mystery why random initiali zation helps. Besides, such an approach still lags behind state-of-the-art adver sarial training algorithms on both stability and model robustness. In this work, we develop a new understanding towards Fast Adversarial Training, by viewing ra ndom initialization as performing randomized smoothing for better optimization o f the inner maximization problem. From this perspective, we show that the smooth ing effect by random initialization is not sufficient under the adversarial pert urbation constraint. A new initialization strategy, \emph{backward smoothing}, i s proposed to address this issue and significantly improves both stability and m odel robustness over single-step robust training methods. Experiments on multipl e benchmarks demonstrate that our method achieves similar model robustness as th e original TRADES method, while using much less training time (~3x improvement w ith the same training schedule).

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Deep Graph Neural Networks with Shallow Subgraph Samplers

Hanqing Zeng, Muhan Zhang, Yinglong Xia, Ajitesh Srivastava, Rajgopal Kannan, Viktor Prasanna, Long Jin, Andrey Malevich, Ren Chen

While Graph Neural Networks (GNNs) are powerful models for learning representations on graphs, most state-of-the-art models do not have significant accuracy gain beyond two to three layers. Deep GNNs fundamentally need to address: 1). expressivity challenge due to oversmoothing, and 2). computation challenge due to neighborhood explosion. We propose a simple "deep GNN, shallow sampler" design principle to improve both the GNN accuracy and efficiency --- to generate representation of a target node, we use a deep GNN to pass messages only within a shallow, localized subgraph. A properly sampled subgraph may exclude irrelevant or even noisy nodes, and still preserve the critical neighbor features and graph structures. The deep GNN then smooths the informative local signals to enhance feature learning, rather than oversmoothing the global graph signals into just "white no ise". We theoretically justify why the combination of deep GNNs with shallow sam plers yields the best learning performance. We then propose various sampling algorithms and neural architecture extensions to achieve good empirical results. Experiments on five large graphs show that our models achieve significantly higher

accuracy and efficiency, compared with state-of-the-art.

CompOFA - Compound Once-For-All Networks for Faster Multi-Platform Deployment Manas Sahni, Shreya Varshini, Alind Khare, Alexey Tumanov

The emergence of CNNs in mainstream deployment has necessitated methods to desig n and train efficient architectures tailored to maximize the accuracy under dive rse hardware and latency constraints. To scale these resource-intensive tasks wi th an increasing number of deployment targets, Once-For-All (OFA) proposed an ap proach to jointly train several models at once with a constant training cost. Ho wever, this cost remains as high as 40-50 GPU days and also suffers from a combi natorial explosion of sub-optimal model configurations. We seek to reduce this s earch space -- and hence the training budget -- by constraining search to models close to the accuracy-latency Pareto frontier. We incorporate insights of compo und relationships between model dimensions to build CompOFA, a design space smal ler by several orders of magnitude. Through experiments on ImageNet, we demonst rate that even with simple heuristics we can achieve a 2x reduction in training time and 216x speedup in model search/extraction time compared to the state of t he art, without loss of Pareto optimality! We also show that this smaller design space is dense enough to support equally accurate models for a similar diversit y of hardware and latency targets, while also reducing the complexity of the tra ining and subsequent extraction algorithms. Our source code is available at http s://github.com/gatech-sysml/CompOFA

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Implicit Regularization of SGD via Thermophoresis Mingwei Wei, David J. Schwab

A central ingredient in the impressive predictive performance of deep neural net works is optimization via stochastic gradient descent (SGD). While some theoretical progress has been made, the effect of SGD in neural networks is still unclear, especially during the early phase of training. Here we generalize the theory of thermophoresis from statistical mechanics and show that there exists an effective entropic force from SGD that pushes to reduce the gradient variance. We study this effect in detail in a simple two-layer model, where the thermophoretic force functions to decreases the weight norm and activation rate of the units. The strength of this effect is proportional to squared learning rate and inverse batch size, and is more effective during the early phase of training when the model's predictions are poor. Lastly we test our quantitative predictions with experiments on various models and datasets.

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Max-sliced Bures Distance for Interpreting Discrepancies

Austin J. Brockmeier, Claudio Cesar Claros, Carlos H. Mendoza-Cardenas, Yüksel Kara han, Matthew S. Emigh, Luis Gonzalo Sanchez Giraldo

We propose the max-sliced Bures distance, a lower bound on the max-sliced Wasser stein-2 distance, to identify the instances associated with the maximum discrepa ncy between two samples. The max-slicing can be decomposed into two asymmetric d ivergences each expressed in terms of an optimal slice or equivalently a witness function that has large magnitude evaluations on a localized subset of instance s in one distribution versus the other. We show how witness functions can be use d to detect and correct for covariate shift through reweighting and to evaluate generative adversarial networks. Unlike heuristic algorithms for the max-sliced Wasserstein-2 distance that may fail to find the optimal slice, we detail a tra ctable algorithm that finds the global optimal slice and scales to large sample sizes. As the Bures distance quantifies differences in covariance, we generaliz e the max-sliced Bures distance by using non-linear mappings, enabling it to cap ture changes in higher-order statistics. We explore two types of non-linear mapp ings: positive semidefinite kernels where the witness functions belong to a repr oducing kernel Hilbert space, and task-relevant mappings corresponding to a neur al network. In the context of samples of natural images, our approach provides a n interpretation of the Fréchet Inception distance by identifying the synthetic and natural instances that are either over-represented or under-represented with respect to the other sample. We apply the proposed measure to detect imbalances in class distributions in various data sets and to critique generative models.

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Blind Pareto Fairness and Subgroup Robustness

Natalia Martinez, Martin Bertran, Afroditi Papadaki, Miguel R. D. Rodrigues, Guiller mo Sapiro

With the wide adoption of machine learning algorithms across various application domains, there is a growing interest in the fairness properties of such algorit hms. The vast majority of the activity in the field of group fairness addresses disparities between prede ned groups based on protected features such as gender, age, and race, which need to be available at train, and often also at test, tim e. These approaches are static and retrospective, since algorithms designed to p rotect groups identified a priori cannot anticipate and protect the needs of di fferent at-risk groups in the future. In this work we analyze the space of solut ions for worst-case fairness beyond demographics, and propose Blind Pareto Fairn ess (BPF), a method that leverages no-regret dynamics to recover a fair minimax classi∎er that reduces worst-case risk of any potential subgroup of suf∎cient s ize, and guarantees that the remaining population receives the best possible lev el of service. BPF addresses fairness beyond demographics, that is, it does no t rely on prede notions of at-risk groups, neither at train nor at test time . Our experimental results show that the proposed framework improves worst-case risk in multiple standard datasets, while simultaneously providing better levels of service for the remaining population, in comparison to competing methods.

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Meta Gradient Boosting Neural Networks

Manqing Dong, Lina Yao, Xianzhi Wang, Xiwei Xu, Liming Zhu

Meta-optimization is an effective approach that learns a shared set of parameter s across tasks for parameter initialization in meta-learning.

A key challenge for meta-optimization based approaches is to determine whether a n initialization condition can be generalized to tasks with diverse distribution s to accelerate learning.

To address this issue, we design a meta-gradient boosting framework that uses a base learner to learn shared information across tasks and a series of gradient-b oosted modules to capture task-specific information to fit diverse distributions

We evaluate the proposed model on both regression and classification tasks with multi-mode distributions.

The results demonstrate both the effectiveness of our model in modulating task-s pecific meta-learned priors and its advantages on multi-mode distributions.

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Representing Partial Programs with Blended Abstract Semantics

Maxwell Nye, Yewen Pu, Matthew Bowers, Jacob Andreas, Joshua B. Tenenbaum, Armando Solar-Lezama

Synthesizing programs from examples requires searching over a vast, combinatoria 1 space of possible programs. In this search process, a key challenge is represe nting the behavior of a partially written program before it can be executed, to judge if it is on the right track and predict where to search next. We introduce a general technique for representing partially written programs in a program sy nthesis engine. We take inspiration from the technique of abstract interpretatio n, in which an approximate execution model is used to determine if an unfinished program will eventually satisfy a goal specification. Here we learn an approxim ate execution model implemented as a modular neural network. By constructing com positional program representations that implicitly encode the interpretation sem antics of the underlying programming language, we can represent partial programs using a flexible combination of concrete execution state and learned neural rep resentations, using the learned approximate semantics when concrete semantics ar e not known (in unfinished parts of the program). We show that these hybrid neur o-symbolic representations enable execution-guided synthesizers to use more powe rful language constructs, such as loops and higher-order functions, and can be u sed to synthesize programs more accurately for a given search budget than pure n

eural approaches in several domains.

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When Are Neural Pruning Approximation Bounds Useful? Mitchell A Gordon

Approximation bounds for neural network pruning attempt to predict the tradeoff between sparsity and fidelity while shrinking neural networks. In the first
half of this paper, we empirically evaluate the predictive power of two recently
proposed methods based on coreset algorithms. We identify several circumstances
in which the bounds are loose or impractical to use and provide a brief analysi
s of the components of the bounds that contribute to those short-comings. In the
second half, we examine the role of fine-tuning in prunability and observe that
even tight approximation bounds would be poor predictors of accuracy after fine
-tuning. This is because fine-tuning can recover large amounts of accuracy while
simultaneously maintaining or increasing approximation error. We discuss the im
plications of these finding on the application of coreset-based pruning methods
in practice and the role of approximation in the pruning community. Our code is
available in the attached supplementary material.

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MONGOOSE: A Learnable LSH Framework for Efficient Neural Network Training Beidi Chen, Zichang Liu, Binghui Peng, Zhaozhuo Xu, Jonathan Lingjie Li, Tri Dao, Zhao Song, Anshumali Shrivastava, Christopher Re

Recent advances by practitioners in the deep learning community have breathed ne w life into Locality Sensitive Hashing (LSH), using it to reduce memory and time bottlenecks in neural network (NN) training. However, while LSH has sub-linear guarantees for approximate near-neighbor search in theory, it is known to have i nefficient query time in practice due to its use of random hash functions. Moreo ver, when model parameters are changing, LSH suffers from update overhead. This work is motivated by an observation that model parameters evolve slowly, such th at the changes do not always require an LSH update to maintain performance. This phenomenon points to the potential for a reduction in update time and allows fo r a modified learnable version of data-dependent LSH to improve query time at a low cost. We use the above insights to build MONGOOSE, an end-to-end LSH framewo rk for efficient NN training. In particular, MONGOOSE is equipped with a schedul ing algorithm to adaptively perform LSH updates with provable guarantees and lea rnable hash functions to improve query efficiency. Empirically, we validate MONG OOSE on large-scale deep learning models for recommendation systems and language modeling. We find that it achieves up to 8% better accuracy compared to previou s LSH approaches, with \$6.5 \times\$ speed-up and \$6\times\$ reduction in memory u sage.

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GenQu: A Hybrid System for Learning Classical Data in Quantum States Samuel A. Stein, Ray Marie Tischio, Betis Baheri, Yiwen Chen, Ying Mao, Qiang Guan, Ang Li, Bo Fang

Deep neural network-powered artificial intelligence has rapidly changed our dail y life with various applications. However, as one of the essential steps of deep neural networks, training a heavily-weighted network requires a tremendous amou nt of computing resources. Especially in the post Moore's Law era, the limit of semiconductor fabrication technology has restricted the development of learning algorithms to cope with the increasing high-intensity training data. Meanwhile, quantum computing has exhibited its significant potential in terms of speeding u p the traditionally compute-intensive workloads. For example, Google illustrates quantum supremacy by completing a sampling calculation task in 200 seconds, whi ch is otherwise impracticable on the world's largest supercomputers. To this end , quantum-based learning becomes an area of interest, with the promising of a qu antum speedup. In this paper, we propose GenQu, a hybrid and general-purpose qua ntum framework for learning classical data through quantum states. We evaluate G enQu with real datasets and conduct experiments on both simulations and real qua ntum computer IBM-Q. Our evaluation demonstrates that, comparing with classical solutions, the proposed models running on GenQu framework achieve similar accura cy with a much smaller number of qubits, while significantly reducing the parame

ter size by up to 95.8\% and converging speedup by 66.67% faster.

PolarNet: Learning to Optimize Polar Keypoints for Keypoint Based Object Detecti

Wu Xiongwei, Doyen Sahoo, Steven HOI

A variety of anchor-free object detectors have been actively proposed as possibl e alternatives to the mainstream anchor-based detectors that often rely on compl icated design of anchor boxes. Despite achieving promising performance on par wi th anchor-based detectors, the existing anchor-free detectors such as FCOS or Ce nterNet predict objects based on standard Cartesian coordinates, which often yie ld poor quality keypoints. Further, the feature representation is also scale-sen sitive. In this paper, we propose a new anchor-free keypoint based detector ``Po larNet", where keypoints are represented as a set of Polar coordinates instead o f Cartesian coordinates. The ``PolarNet" detector learns offsets pointing to the corners of objects in order to learn high quality keypoints. Additionally, Pola rNet uses features of corner points to localize objects, making the localization scale-insensitive. Finally in our experiments, we show that PolarNet, an anchor -free detector, outperforms the existing anchor-free detectors, and it is able t o achieve highly competitive result on COCO test-dev benchmark (\$47.8\%\$ and \$50 .3\%\$ AP under the single-model single-scale and multi-scale testing) which is o n par with the state-of-the-art two-stage anchor-based object detectors. The cod e and the models are available at https://github.com/XiongweiWu/PolarNetV1 \*\*\*\*\*\*\*\*\*\*\*\*

 $\hbox{Multi-agent Policy Optimization with Approximatively Synchronous Advantage Estim} \\$ 

Lipeng Wan, Xuwei Song, Xuguang Lan, Nanning Zheng

Cooperative multi-agent tasks require agents to deduce their own contributions w ith shared global rewards, known as the challenge of credit assignment. General methods for policy based multi-agent reinforcement learning to solve the challen ge introduce differentiate value functions or advantage functions for individual agents. In multi-agent system, polices of different agents need to be evaluated jointly. In order to update polices synchronously, such value functions or adva ntage functions also need synchronous evaluation. However, in current methods, v alue functions or advantage functions use counter-factual joint actions which ar e evaluated asynchronously, thus suffer from natural estimation bias. In this wo rk, we propose the approximatively synchronous advantage estimation. We first de rive the marginal advantage function, an expansion from single-agent advantage f unction to multi-agent system. Further more, we introduce a policy approximation for synchronous advantage estimation, and break down the multi-agent policy opt imization problem into multiple sub-problems of single-agent policy optimization . Our method is compared with baseline algorithms on StarCraft multi-agent chall enges, and shows the best performance on most of the tasks.

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Random Coordinate Langevin Monte Carlo

Zhiyan Ding, Qin Li, Jianfeng Lu, Stephen Wright

Langevin Monte Carlo (LMC) is a popular Markov chain Monte Carlo sampling method . One drawback is that it requires the computation of the full gradient at each iteration, an expensive operation if the dimension of the problem is high. We pr opose a new sampling method: Random Coordinate LMC (RC-LMC). At each iteration, a single coordinate is randomly selected to be updated by a multiple of the part ial derivative along this direction plus noise, and all other coordinates remain untouched. We investigate the total complexity of RC-LMC and compare it with the classical LMC for log-concave probability distributions. When the gradient of the log-density is Lipschitz, RC-LMC is less expensive than the classical LMC if the log-density is highly skewed for high dimensional problems, and when both the gradient and the Hessian of the log-density are Lipschitz, RC-LMC is always cheaper than the classical LMC, by a factor proportional to the square root of the problem dimension. In the latter case, our estimate of complexity is sharp with respect to the dimension.

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 $\hbox{Multiscale Invertible Generative Networks for High-Dimensional Bayesian Inference} \\$ 

Shumao Zhang, Thomas Hou, Pengchuan Zhang

High-dimensional Bayesian inference problems cast a long-standing challenge in g enerating samples, especially when the posterior has multiple modes. For a wide class of Bayesian inference problems equipped with the multiscale structure that low-dimensional (coarse-scale) surrogate can approximate the original high-dimensional (fine-scale) problem well, we propose to train a Multiscale Invertible G enerative Network (MsIGN) for sample generation. A novel prior conditioning layer is designed to bridge networks at different resolutions, enabling coarse-to-fine multi-stage training. Jeffreys divergence is adopted as the training objective to avoid mode dropping. On two high-dimensional Bayesian inverse problems, MsIGN approximates the posterior accurately and clearly captures multiple modes, showing superior performance compared with previous deep generative network approaches. On the natural image synthesis task, MsIGN achieves the superior performance in bits-per-dimension compared with our baseline models and yields great interpret-ability of its neurons in intermediate layers.

Mitigating Deep Double Descent by Concatenating Inputs

John Chen, Qihan Wang, Anastasios Kyrillidis

The double descent curve is one of the most intriguing properties of deep neural networks. It contrasts the classical bias-variance curve with the behavior of m odern neural networks, occurring where the number of samples nears the number of parameters. In this work, we explore the connection between the double descent phenomena and the number of samples in the deep neural network setting. In particular, we propose a construction which augments the existing dataset by artificially increasing the number of samples. This construction empirically mitigates the double descent curve in this setting. We reproduce existing work on deep double descent, and observe a smooth descent into the overparameterized region for our construction. This occurs both with respect to the model size, and with respect to the number epochs.

Neural Architecture Search on ImageNet in Four GPU Hours: A Theoretically Inspir ed Perspective

Wuyang Chen, Xinyu Gong, Zhangyang Wang

Neural Architecture Search (NAS) has been explosively studied to automate the di scovery of top-performer neural networks. Current works require heavy training of supernet or intensive architecture evaluations, thus suffering from heavy resource consumption and often incurring search bias due to truncated training or approximations. Can we select the best neural architectures without involving any training and eliminate a drastic portion of the search cost?

We provide an affirmative answer, by proposing a novel framework called \textit{ training-free neural architecture search} (\$\textbf{TE-NAS}\$). TE-NAS ranks arch itectures by analyzing the spectrum of the neural tangent kernel (NTK), and the number of linear regions in the input space. Both are motivated by recent theory advances in deep networks, and can be computed without any training. We show th at: (1) these two measurements imply the \$\textit{trainability}\$ and \$\textit{ex pressivity}\$ of a neural network; and (2) they strongly correlate with the network's actual test accuracy. Further on, we design a pruning-based NAS mechanism to achieve a more flexible and superior trade-off between the trainability and ex pressivity during the search. In NAS-Bench-201 and DARTS search spaces, TE-NAS completes high-quality search but only costs \$\textbf{0.5}\$ and \$\textbf{4}\$\$ GPU hours with one 1080Ti on CIFAR-10 and ImageNet, respectively. We hope our work to inspire more attempts in bridging between the theoretic findings of deep networks and practical impacts in real NAS applications.

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Unsupervised Representation Learning for Time Series with Temporal Neighborhood Coding

Sana Tonekaboni, Danny Eytan, Anna Goldenberg

Time series are often complex and rich in information but sparsely labeled and therefore challenging to model. In this paper, we propose a self-supervised frame work for learning robust and generalizable representations for time series. Our approach, called Temporal Neighborhood Coding (TNC), takes advantage of the local smoothness of a signal's generative process to define neighborhoods in time with stationary properties. Using a debiased contrastive objective, our framework learns time series representations by ensuring that in the encoding space, the distribution of signals from within a neighborhood is distinguishable from the distribution of non-neighboring signals. Our motivation stems from the medical field, where the ability to model the dynamic nature of time series data is especially valuable for identifying, tracking, and predicting the underlying patients' latent states in settings where labeling data is practically impossible. We compare our method to recently developed unsupervised representation learning approaches and demonstrate superior performance on clustering and classification tasks for multiple datasets.

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Certified Robustness of Nearest Neighbors against Data Poisoning Attacks Jinyuan Jia, Xiaoyu Cao, Neil Zhenqiang Gong

Data poisoning attacks aim to corrupt a machine learning model via modifying, ad ding, and/or removing some carefully selected training examples, such that the c orrupted model predicts any or attacker-chosen incorrect labels for testing exam ples. The key idea of state-of-the-art certified defenses against data poisoning attacks is to create a \emph{majority vote} mechanism to predict the label of a testing example. Moreover, each voter is a base classifier trained on a subset of the training dataset. Nearest neighbor algorithms such as \$k\$ nearest neighbors (kNN) and radius nearest neighbors (rNN) have intrinsic majority vote mechan isms. In this work, we show that the intrinsic majority vote mechanisms in kNN a nd rNN already provide certified robustness guarantees against general data pois oning attacks. Moreover, our empirical evaluation results on MNIST and CIFAR10 s how that the intrinsic certified robustness guarantees of kNN and rNN outperform those provided by state-of-the-art certified defenses.

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Representational aspects of depth and conditioning in normalizing flows Frederic Koehler, Viraj Mehta, Andrej Risteski

Normalizing flows are among the most popular paradigms in generative modeling, e specially for images, primarily because we can efficiently evaluate the likeliho od of a data point. This is desirable both for evaluating the fit of a model, an d for ease of training, as maximizing the likelihood can be done by gradient des cent. However, training normalizing flows comes with difficulties as well: model s which produce good samples typically need to be extremely deep -- which comes with accompanying vanishing/exploding gradient problems. A very related problem is that they are often poorly \emph{conditioned}: since they are parametrized as invertible maps from  $\mbox{mathbb{R}}\mbox{d}$  \to \mathbb{R}\d\$, and typical training data like images intuitively is lower-dimensional, the learned maps often have Jacob ians that are close to being singular.

In our paper, we tackle representational aspects around depth and conditioning of normalizing flows---both for general invertible architectures, and for a particular common architecture---affine couplings.

For general invertible architectures, we prove that invertibility comes at a cost in terms of depth: we show examples where a much deeper normalizing flow model may need to be used to match the performance of a non-invertible generator.

For affine couplings, we first show that the choice of partitions isn't a likely bottleneck for depth: we show that any invertible linear map (and hence a permu tation) can be simulated by a constant number of affine coupling layers, using a fixed partition. This shows that the extra flexibility conferred by 1x1 convolution layers, as in GLOW, can in principle be simulated by increasing the size by a constant factor. Next, in terms of conditioning, we show that affine coupling

s are universal approximators -- provided the Jacobian of the model is allowed to be close to singular. We furthermore empirically explore the benefit of differ ent kinds of padding -- a common strategy for improving conditioning.

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Do Wide and Deep Networks Learn the Same Things? Uncovering How Neural Network R epresentations Vary with Width and Depth

Thao Nguyen, Maithra Raghu, Simon Kornblith

A key factor in the success of deep neural networks is the ability to scale mode ls to improve performance by varying the architecture depth and width. This simp le property of neural network design has resulted in highly effective architectu res for a variety of tasks. Nevertheless, there is limited understanding of effe cts of depth and width on the learned representations. In this paper, we study t his fundamental question. We begin by investigating how varying depth and width affects model hidden representations, finding a characteristic block structure i n the hidden representations of larger capacity (wider or deeper) models. We dem onstrate that this block structure arises when model capacity is large relative to the size of the training set, and is indicative of the underlying layers pres erving and propagating the dominant principal component of their representations . This discovery has important ramifications for features learned by different m odels, namely, representations outside the block structure are often similar acr oss architectures with varying widths and depths, but the block structure is uni que to each model. We analyze the output predictions of different model architec tures, finding that even when the overall accuracy is similar, wide and deep mod els exhibit distinctive error patterns and variations across classes.

Supervised Contrastive Learning for Pre-trained Language Model Fine-tuning Beliz Gunel, Jingfei Du, Alexis Conneau, Veselin Stoyanov

State-of-the-art natural language understanding classification models follow two -stages: pre-training a large language model on an auxiliary task, and then fine -tuning the model on a task-specific labeled dataset using cross-entropy loss. H owever, the cross-entropy loss has several shortcomings that can lead to sub-opt imal generalization and instability. Driven by the intuition that good generaliz ation requires capturing the similarity between examples in one class and contra sting them with examples in other classes, we propose a supervised contrastive l earning (SCL) objective for the fine-tuning stage. Combined with cross-entropy, our proposed SCL loss obtains significant improvements over a strong RoBERTa-Lar ge baseline on multiple datasets of the GLUE benchmark in few-shot learning sett ings, without requiring specialized architecture, data augmentations, memory ban ks, or additional unsupervised data. Our proposed fine-tuning objective leads to models that are more robust to different levels of noise in the fine-tuning tra ining data, and can generalize better to related tasks with limited labeled data

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Differentially Private Generative Models Through Optimal Transport Tianshi Cao, Alex Bie, Karsten Kreis, Sanja Fidler

Although machine learning models trained on massive data have led to breakthroughs in several areas, their deployment in privacy-sensitive domains remains limited due to restricted access to data. Generative models trained with privacy constraints on private data can sidestep this challenge and provide indirect access to the private data instead. We propose DP-Sinkhorn, a novel optimal transport-based generative method for learning data distributions from private data with differential privacy. DP-Sinkhorn relies on minimizing the Sinkhorn divergence---acomputationally efficient approximation to the exact optimal transport distance---between the model and the data in a differentially private manner and also uses a novel technique for conditional generation in the Sinkhorn framework. Unlike existing approaches for training differentially private generative models, which are mostly based on generative adversarial networks, we do not rely on adversarial objectives, which are notoriously difficult to optimize, especially in the presence of noise imposed by the privacy constraints. Hence, DP-Sinkhorn is easy to train and deploy. Experimentally, despite our method's simplicity we improve

e upon the state-of-the-art on multiple image modeling benchmarks. We also show differentially private synthesis of informative RGB images, which has not been d emonstrated before by differentially private generative models without the use of auxiliary public data.

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R-LAtte: Attention Module for Visual Control via Reinforcement Learning Mandi Zhao,Qiyang Li,Aravind Srinivas,Ignasi Clavera,Kimin Lee,Pieter Abbeel Attention mechanisms are generic inductive biases that have played a critical ro le in improving the state-of-the-art in supervised learning, unsupervised pre-tr aining and generative modeling for multiple domains including vision, language a nd speech. However, they remain relatively under-explored for neural network arc hitectures typically used in reinforcement learning (RL) from high dimensional i nputs such as pixels. In this paper, we propose and study the effectiveness of a ugmenting a simple attention module in the convolutional encoder of an RL agent. Through experiments on the widely benchmarked DeepMind Control Suite environments, we demonstrate that our proposed module can (i) extract interpretable task-relevant information such as agent locations and movements without the need for data augmentations or contrastive losses; (ii) significantly improve the sample-efficiency and final performance of the agents. We hope our simple and effective approach will serve as a strong baseline for future research incorporating atten

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tion mechanisms in reinforcement learning and control.

Zero-Shot Recognition through Image-Guided Semantic Classification Mei-Chen Yeh, Fang Li, Bo-Heng Li

We present a new visual-semantic embedding method for generalized zero-shot lear ning. Existing embedding-based methods aim to learn the correspondence between a n image classifier (visual representation) and its class prototype (semantic representation) for each class. Inspired by the binary relevance method for multi-label classification, we learn the mapping between an image and its semantic classifier. Given an input image, the proposed Image-Guided Semantic Classification (IGSC) method creates a label classifier, being applied to all label embeddings to determine whether a label belongs to the input image. Therefore, a semantic classifier is image conditioned and is generated during inference. We also show that IGSC is a unifying framework for two state-of-the-art deep-embedding methods. We validate our approach with four standard benchmark datasets.

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A Unified Spectral Sparsification Framework for Directed Graphs ying zhang, Zhiqiang Zhao, Zhuo Feng

Recent spectral graph sparsification research allows constructing nearly-linear-sized subgraphs that can well preserve the spectral (structural) properties of the original graph, such as the first few eigenvalues and eigenvectors of the graph Laplacian, leading to the development of a variety of nearly-linear time nume rical and graph algorithms. However, there is not a unified approach that allows for truly scalable spectral sparsification of both directed and undirected graphs. For the first time, we prove the existence of linear-sized spectral sparsifiers for general directed

graphs and introduce a practically-efficient and unified spectral graph sparsification approach that allows sparsifying real-world, large-scale directed and und irected graphs with guaranteed preservation of the original graph spectra. By exploiting a highly-scalable (nearly-linear complexity) spectral matrix perturbation analysis framework for constructing nearly-linear sized (directed) subgraphs, it enables us to well preserve the key eigenvalues and eigenvectors of the original (directed) graph

Laplacians. The proposed method has been validated using various kinds of direct ed graphs obtained from public domain sparse matrix collections, showing promisi ng results for solving directed graph Laplacians, spectral embedding, and partit ioning of general directed graphs, as well as approximately computing (personali zed) PageRank vectors.

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Joint Perception and Control as Inference with an Object-based Implementation Minne Li, Zheng Tian, Pranav Nashikkar, Ian Davies, Ying Wen, Jun Wang Existing model-based reinforcement learning methods often study perception model ing and decision making separately. We introduce joint Perception and Control as Inference (PCI), a general framework to combine perception and control for part ially observable environments through Bayesian inference. Based on the fact that object-level inductive biases are critical in human perceptual learning and rea soning, we propose Object-based Perception Control (OPC), an instantiation of PC I which manages to facilitate control using automatic discovered object-based re presentations. We develop an unsupervised end-to-end solution and analyze the convergence of the perception model update. Experiments in a high-dimensional pixe lenvironment demonstrate the learning effectiveness of our object-based perception control approach. Specifically, we show that OPC achieves good perceptual grouping quality and outperforms several strong baselines in accumulated rewards.

Memory Optimization for Deep Networks

Aashaka Shah, Chao-Yuan Wu, Jayashree Mohan, Vijay Chidambaram, Philipp Kraehenbuehl Deep learning is slowly, but steadily, hitting a memory bottleneck. While the te nsor computation in top-of-the-line GPUs increased by \$32\times\$ over the last f ive years, the total available memory only grew by \$2.5\times\$. This prevents re searchers from exploring larger architectures, as training large networks requir es more memory for storing intermediate outputs. In this paper, we present MONeT, an automatic framework that minimizes both the memory footprint and computational overhead of deep networks. MONeT jointly optimizes the checkpointing schedule and the implementation of various operators. MONeT is able to outperform all prior hand-tuned operations as well as automated checkpointing. MONeT reduces the overall memory requirement by \$3\times\$ for various PyTorch models, with a 9-16 \$\%\$ overhead in computation. For the same computation cost, MONeT requires 1.2-1.8\$\times\$ less memory than current state-of-the-art automated checkpointing frameworks. Our code will be made publicly available upon acceptance.

Parrot: Data-Driven Behavioral Priors for Reinforcement Learning Avi Singh, Huihan Liu, Gaoyue Zhou, Albert Yu, Nicholas Rhinehart, Sergey Levine Reinforcement learning provides a general framework for flexible decision making and control, but requires extensive data collection for each new task that an a gent needs to learn. In other machine learning fields, such as natural language processing or computer vision, pre-training on large, previously collected datas ets to bootstrap learning for new tasks has emerged as a powerful paradigm to re duce data requirements when learning a new task. In this paper, we ask the follo wing question: how can we enable similarly useful pre-training for RL agents? We propose a method for pre-training behavioral priors that can capture complex in put-output relationships observed in successful trials from a wide range of prev iously seen tasks, and we show how this learned prior can be used for rapidly le arning new tasks without impeding the RL agent's ability to try out novel behavi ors. We demonstrate the effectiveness of our approach in challenging robotic man ipulation domains involving image observations and sparse reward functions, wher e our method outperforms prior works by a substantial margin. Additional materia ls can be found on our project website: https://sites.google.com/view/parrot-rl \*\*\*\*\*\*\*\*\*\*\*\*\*

Early Stopping in Deep Networks: Double Descent and How to Eliminate it Reinhard Heckel, Fatih Furkan Yilmaz

Over-parameterized models, such as large deep networks, often exhibit a double d escent phenomenon, whereas a function of model size, error first decreases, incr eases, and decreases at last. This intriguing double descent behavior also occur s as a function of training epochs and has been conjectured to arise because tra ining epochs control the model complexity. In this paper, we show that such epoc h-wise double descent occurs for a different reason: It is caused by a superposi tion of two or more bias-variance tradeoffs that arise because different parts of the network are learned at different epochs, and mitigating this by proper sca

ling of stepsizes can significantly improve the early stopping performance. We s how this analytically for i) linear regression, where differently scaled feature s give rise to a superposition of bias-variance tradeoffs, and for ii) a wide tw o-layer neural network, where the first and second layers govern bias-variance t radeoffs. Inspired by this theory, we study two standard convolutional networks empirically and show that eliminating epoch-wise double descent through adjusting stepsizes of different layers improves the early stopping performance.

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TOWARDS NATURAL ROBUSTNESS AGAINST ADVERSARIAL EXAMPLES Haovu Chu, Shikui Wei, Yao Zhao

Recent studies have shown that deep neural networks are vulnerable to adversaria 1 examples, but most of the methods proposed to defense adversarial examples can not solve this problem fundamentally. In this paper, we theoretically prove that there is an upper bound for neural networks with identity mappings to constrain the error caused by adversarial noises. However, in actual computations, this  $\boldsymbol{k}$ ind of neural network no longer holds any upper bound and is therefore susceptib le to adversarial examples. Following similar procedures, we explain why adversa rial examples can fool other deep neural networks with skip connections. Further more, we demonstrate that a new family of deep neural networks called Neural ODE s (Chen et al., 2018) holds a weaker upper bound. This weaker upper bound preven ts the amount of change in the result from being too large. Thus, Neural ODEs ha ve natural robustness against adversarial examples. We evaluate the performance of Neural ODEs compared with ResNet under three white-box adversarial attacks (F GSM, PGD, DI2-FGSM) and one black-box adversarial attack (Boundary Attack). Fina lly, we show that the natural robustness of Neural ODEs is even better than the robustness of neural networks that are trained with adversarial training methods , such as TRADES and YOPO.

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 $\mbox{R-MONet:}$  Region-Based Unsupervised Scene Decomposition and Representation via Consistency of Object Representations

Shengxin Qian

Decomposing a complex scene into multiple objects is a natural instinct of an in telligent vision system. Recently, the interest in unsupervised scene representa tion learning emerged and many previous works tackle this by decomposing scenes into object representations either in the form of segmentation masks or position and scale latent variables (i.e. bounding boxes). We observe that these two types of representation both contain object geometric information and should be consistent with each other. Inspired by this observation, we provide an unsupervise dependently framework called R-MONet that can generate objects geometric representation in the form of bounding boxes and segmentation masks simultaneously. While bounding boxes can represent the region of interest (ROI) for generating for eground segmentation masks, the foreground segmentation masks can also be used to supervise bounding boxes learning with the Multi-Otsu Thresholding method. Through the experiments on CLEVR and Multi-dSprites datasets, we show that ensuring the consistency of two types of representation can help the model to decompose the scene and learn better object geometric representations.

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Contrastive Syn-to-Real Generalization

Wuyang Chen, Zhiding Yu, Shalini De Mello, Sifei Liu, Jose M. Alvarez, Zhangyang Wang, Anima Anandkumar

Training on synthetic data can be beneficial for label or data-scarce scenarios. However, synthetically trained models often suffer from poor generalization in real domains due to domain gaps. In this work, we make a key observation that the diversity of the learned feature embeddings plays an important role in the generalization performance. To this end, we propose contrastive synthetic-to-real generalization (CSG), a novel framework that leverage the pre-trained ImageNet knowledge to prevent overfitting to the synthetic domain, while promoting the diversity of feature embeddings as an inductive bias to improve generalization. In a ddition, we enhance the proposed CSG framework with attentional pooling (A-pool)

to let the model focus on semantically important regions and further improve it s generalization. We demonstrate the effectiveness of CSG on various synthetic t raining tasks, exhibiting state-of-the-art performance on zero-shot domain generalization.

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Benchmarking Bias Mitigation Algorithms in Representation Learning through Fairn ess Metrics

Charan Reddy, Soroush Mehri, Deepak Sharma, Samira Shabanian, Sina Honari

With the recent expanding attention of machine learning researchers and practiti oners to fairness, there is a void of a common framework to analyze and compare the capabilities of proposed models in deep representation learning. In this paper, we evaluate different fairness methods trained with deep neural networks on a common synthetic dataset to obtain a better insight into the working of these methods. In particular, we train about 2000 different models in various setups, including unbalanced and correlated data configurations, to verify the limits of the current models and better understand in which setups they are subject to failure. In doing so we present a dataset, a large subset of proposed fairness met rics in the literature, and rigorously evaluate recent promising debiasing algor ithms in a common framework hoping the research community would take this benchm ark as a common entry point for fair deep learning.

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Benchmarks for Deep Off-Policy Evaluation

Justin Fu, Mohammad Norouzi, Ofir Nachum, George Tucker, ziyu wang, Alexander Novikov, Mengjiao Yang, Michael R Zhang, Yutian Chen, Aviral Kumar, Cosmin Paduraru, Sergey Levine, Thomas Paine

Off-policy evaluation (OPE) holds the promise of being able to leverage large, o ffline datasets for both evaluating and selecting complex policies for decision making. The ability to learn offline is particularly important in many real-worl d domains, such as in healthcare, recommender systems, or robotics, where online data collection is an expensive and potentially dangerous process. Being able t o accurately evaluate and select high-performing policies without requiring onli ne interaction could yield significant benefits in safety, time, and cost for th ese applications. While many OPE methods have been proposed in recent years, com paring results between papers is difficult because currently there is a lack of a comprehensive and unified benchmark, and measuring algorithmic progress has be en challenging due to the lack of difficult evaluation tasks. In order to addres s this gap, we present a collection of policies that in conjunction with existin g offline datasets can be used for benchmarking off-policy evaluation. Our tasks include a range of challenging high-dimensional continuous control problems, wi th wide selections of datasets and policies for performing policy selection. The goal of our benchmark is to provide a standardized measure of progress that is motivated from a set of principles designed to challenge and test the limits of existing OPE methods. We perform an evaluation of state-of-the-art algorithms an d provide open-source access to our data and code to foster future research in t his area.

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Multi-agent Deep FBSDE Representation For Large Scale Stochastic Differential G ames

Tianrong Chen, Ziyi Wang, Ioannis Exarchos, Evangelos Theodorou

In this paper we present a deep learning framework for solving large-scale mu lti-agent non-cooperative stochastic games using fictitious play. The Hamilton-J acobi-Bellman (HJB) PDE associated with each agent is reformulated into a set o f Forward-Backward Stochastic Differential Equations (FBSDEs) and solved via for ward sampling on a suitably defined neural network architecture. Decision-making in multi-agent systems suffers from the curse of dimensionality and strategy de generation as the number of agents and time horizon increase. We propose a novel Deep FBSDE controller framework which is shown to outperform the current state-of-the-art deep fictitious play algorithm on a high dimensional inter-bank lending/borrowing problem. More importantly, our approach mitigates the curse of many agents and reduces computational and memory complexity, allowing us to scale up

to 1,000 agents in simulation, a scale which, to the best of our knowledge, represents a new state of the art. Finally, we showcase the framework's applicability in robotics on a belief-space autonomous racing problem.

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Improving Sampling Accuracy of Stochastic Gradient MCMC Methods via Non-uniform Subsampling of Gradients

Ruilin Li, Xin Wang, Hongyuan Zha, Molei Tao

Common Stochastic Gradient MCMC methods approximate gradients by stochastic ones via uniformly subsampled data points. A non-uniform subsampling scheme, however , can reduce the variance introduced by the stochastic approximation and make th e sampling of a target distribution more accurate. For this purpose, an exponent ially weighted stochastic gradient approach (EWSG) is developed to match the tra nsition kernel of a non-uniform-SG-MCMC method with that of a batch-gradient-MCM C method. If needed to be put in the importance sampling (IS) category, EWSG can be viewed as a way to extend the IS+SG approach successful for optimization to the sampling setup. EWSG works for a range of MCMC methods, and a demonstration on Stochastic-Gradient 2nd-order Langevin is provided. In our practical implemen tation of EWSG, the non-uniform subsampling is performed efficiently via a Metro polis-Hasting chain on the data index, which is coupled to the sampling algorith m. The fact that our method has reduced local variance with high probability is theoretically analyzed. A non-asymptotic global error analysis is also presented . As a practical implementation contains hyperparameters, numerical experiments based on both synthetic and real world data sets are provided, to both demonstra te the empirical performances and recommend hyperparameter choices. Notably, whi le statistical accuracy has improved, the speed of convergence, with appropriate ly chosen hyper-parameters, was empirically observed to be at least comparable t o the uniform version, which renders EWSG a practically useful alternative to co mmon variance reduction treatments.

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Pre-training Text-to-Text Transformers for Concept-centric Common Sense Wangchunshu Zhou, Dong-Ho Lee, Ravi Kiran Selvam, Seyeon Lee, Xiang Ren Pretrained language models (PTLM) have achieved impressive results in a range of natural language understanding (NLU) and generation (NLG) tasks that require a syntactic and semantic understanding of the text. However, current pre-training objectives such as masked token prediction (for BERT-style PTLMs) and masked spa n infilling (for T5-style PTLMs) do not explicitly model the relational and comp ositional commonsense knowledge about everyday concepts, which is crucial to man y downstream tasks requiring commonsense reasoning. To augment PTLMs with common sense, we propose generative and contrastive objectives as intermediate self-su pervised pre-training tasks between general pre-training and downstream task-spe cific fine-tuning. We also propose a joint training framework to unify generativ e and contrastive objectives so that these objectives can be more effective. Our proposed objectives can pack more commonsense knowledge into the parameters of a pre-trained text-to-text transformer without relying on external knowledge bases, yielding better performance on both NLU and NLG tasks. We apply our metho d on a pre-trained T5 model in an intermediate task transfer learning fashion to train a concept-aware language model (CALM) and experiment with five commonsens e benchmarks (four NLU tasks and one NLG task). Experimental results show that C ALM outperforms baseline methods by a consistent margin.

Combining Label Propagation and Simple Models out-performs Graph Neural Networks Qian Huang, Horace He, Abhay Singh, Ser-Nam Lim, Austin Benson

Graph Neural Networks (GNNs) are a predominant technique for learning over graph s. However, there is relatively little understanding of why GNNs are successful in practice and whether they are necessary for good performance. Here, we show t hat for many standard transductive node classification benchmarks, we can exceed or match the performance of state-of-the-art GNNs by combining shallow models t hat ignore the graph structure with two simple post-processing steps that exploit correlation in the label structure: (i) an "error correlation" that spreads re sidual errors in training data to correct errors in test data and (ii) a "predic

tion correlation" that smooths the predictions on the test data. We call this overall procedure Correct and Smooth (C&S), and the post-processing steps are implemented via simple modifications to standard label propagation techniques that he ave long been used in graph-based semi-supervised learning. Our approach exceeds or nearly matches the performance of state-of-the-art GNNs on a wide variety of benchmarks, with just a small fraction of the parameters and orders of magnitude faster runtime. For instance, we exceed the best-known GNN performance on the OGB-Products dataset with 137 times fewer parameters and greater than 100 times less training time. The performance of our methods highlights how directly incorporating label information into the learning algorithm (as is common in traditional methods) yields easy and substantial performance gains. We can also incorporate our techniques into big GNN models, providing modest gains in some cases.

Success-Rate Targeted Reinforcement Learning by Disorientation Penalty Haichuan Gao, Zhile Yang, Tian Tan, Feng Chen

Current reinforcement learning generally uses discounted return as its learning objective. However, real-world tasks may often demand a high success rate, which can be quite different from optimizing rewards. In this paper, we explicitly fo rmulate the success rate as an undiscounted form of return with {0, 1}-binary re ward function. Unfortunately, applying traditional Bellman updates to value func tion learning can be problematic for learning undiscounted return, and thus not suitable for optimizing success rate. From our theoretical analysis, we discover that values across different states tend to converge to the same value, resulti ng in the agent wandering around those states without making any actual progress . This further leads to reduced learning efficiency and inability to complete a task in time. To combat the aforementioned issue, we propose a new method, which introduces Loop Penalty (LP) into value function learning, to penalize disorien ted cycling behaviors in the agent's decision-making. We demonstrate the effecti veness of our proposed LP on three environments, including grid-world cliff-walk ing, Doom first-person navigation and robot arm control, and compare our method with Q-learning, Monte-Carlo and Proximal Policy Optimization (PPO). Empirically , LP improves the convergence of training and achieves a higher success rate.

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Decorrelated Double Q-learning GANG CHEN

Q-learning with value function approximation may have the poor performance becau se of overestimation bias and imprecise estimate. Specifically, overestimation bias is from the maximum operator over noise estimate, which is exaggerated using the estimate of a subsequent state. Inspired by the recent advance of deep rein forcement learning and Double Q-learning, we introduce the decorrelated double Q-learning (D2Q). Specifically, we introduce the decorrelated regularization item to reduce the correlation between value function approximators, which can lead to less biased estimation and low variance. The experimental results on a suite of MuJoCo continuous control tasks demonstrate that our decorrelated double Q-learning can effectively improve the performance.

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Graph Learning via Spectral Densification

Zhuo Feng, Yongyu Wang, Zhiqiang Zhao

Graph learning plays important role in many data mining and machine learning tas ks, such as manifold learning, data representation and analysis, dimensionality reduction, data clustering, and visualization, etc. For the first time, we prese nt a highly-scalable spectral graph densification approach (GRASPEL) for graph learning from data. By limiting the precision matrix to be a graph-Laplacian-like matrix in graphical Lasso, our approach aims to learn ultra-sparse undirected graphs from potentially high-dimensional input data. A very unique property of the graphs learned by GRASPEL is that the spectral embedding (or approximate effective-resistance) distances on the graph will encode the similarities between the original input data points. By interleaving the latest high-performance nearly-linear

time spectral methods, ultrasparse yet spectrally-robust graphs can be learned b

y identifying and including the most spectrally-critical edges into the graph. C ompared with prior state-of-the-art graph learning approaches, GRASPEL is more s calable and allows substantially improving computing efficiency and solution quality of a variety of data mining and

machine learning applications, such as manifold learning, spectral clustering (S C), and dimensionality reduction.

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Learning Long-term Visual Dynamics with Region Proposal Interaction Networks Haozhi Qi, Xiaolong Wang, Deepak Pathak, Yi Ma, Jitendra Malik

Learning long-term dynamics models is the key to understanding physical common s ense. Most existing approaches on learning dynamics from visual input sidestep 1 ong-term predictions by resorting to rapid re-planning with short-term models. T his not only requires such models to be super accurate but also limits them only to tasks where an agent can continuously obtain feedback and take action at each step until completion. In this paper, we aim to leverage the ideas from succes s stories in visual recognition tasks to build object representations that can c apture inter-object and object-environment interactions over a long range. To the is end, we propose Region Proposal Interaction Networks (RPIN), which reason about each object's trajectory in a latent region-proposal feature space. Thanks to the simple yet effective object representation, our approach outperforms prior methods by a significant margin both in terms of prediction quality and their ability to plan for downstream tasks, and also generalize well to novel environments. Code, pre-trained models, and more visualization results are available at ht tps://haozhi.io/RPIN.

Chaos of Learning Beyond Zero-sum and Coordination via Game Decompositions Yun Kuen Cheung, Yixin Tao

It is of primary interest for ML to understand how agents learn and interact dyn amically in competitive environments and games (e.g. GANs). But this has been a difficult task, as irregular behaviors are commonly observed in such systems. Th is can be explained theoretically, for instance, by the works of Cheung and Pili ouras (COLT 2019; NeurIPS 2020), which showed that in two-person zero-sum games, if agents employ one of the most well-known learning algorithms, Multiplicative Weights Update (MWU), then Lyapunov chaos occurs everywhere in the payoff space. In this paper, we study how persistent chaos can occur in the more general nor mal game settings, where the agents might have the motivation to coordinate (whi ch is not true for zero-sum games) and the number of agents can be arbitrary.

We characterize bimatrix games where MWU, its optimistic variant (OMWU) or Follo w-the-Regularized-Leader (FTRL) algorithms are Lyapunov chaotic almost everywher e in the payoff space. Technically, our characterization is derived by extending the volume-expansion argument of Cheung and Piliouras via the canonical game de composition into zero-sum and coordination components. Interestingly, the two components induce opposite volume-changing behaviors, so the overall behavior can be analyzed by comparing the strengths of the components against each other. The comparison is done via our new notion of "matrix domination" or via a linear program. For multi-player games, we present a local equivalence of volume change between general games and graphical games, which is used to perform volume and chaos analyses of MWU and OMWU in potential games.

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DIET-SNN: A Low-Latency Spiking Neural Network with Direct Input Encoding & Leak age and Threshold Optimization

Nitin Rathi, Kaushik Roy

Bio-inspired spiking neural networks (SNNs), operating with asynchronous binary signals (or spikes) distributed over time, can potentially lead to greater computational efficiency on event-driven hardware. The state-of-the-art SNNs suffer from high inference latency, resulting from inefficient input encoding, and sub-optimal settings of the neuron parameters (firing threshold, and membrane leak). We propose DIET-SNN, a low latency deep spiking network that is trained with gradient descent to optimize the membrane leak and the firing threshold along with

other network parameters (weights). The membrane leak and threshold for each lay er of the SNN are optimized with end-to-end backpropagation to achieve competiti ve accuracy at reduced latency. The analog pixel values of an image are directly applied to the input layer of DIET-SNN without the need to convert to spike-tra in. The first convolutional layer is trained to convert inputs into spikes where leaky-integrate-and-fire (LIF) neurons integrate the weighted inputs and genera te an output spike when the membrane potential crosses the trained firing thresh old. The trained membrane leak controls the flow of input information and attenu ates irrelevant inputs to increase the activation sparsity in the convolutional and linear layers of the network. The reduced latency combined with high activat ion sparsity provides large improvements in computational efficiency. We evaluat e DIET-SNN on image classification tasks from CIFAR and ImageNet datasets on VGG and ResNet architectures. We achieve top-1 accuracy of 69% with 5 timesteps (in ference latency) on the ImageNet dataset with 12x less compute energy than an eq uivalent standard ANN. Additionally, DIET-SNN performs 20-500x faster inference compared to other state-of-the-art SNN models.

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Transformers with Competitive Ensembles of Independent Mechanisms
Alex Lamb, Di He, Anirudh Goyal, Guolin Ke, Chien-Feng Liao, Mirco Ravanelli, Yoshua Bengio

An important development in deep learning from the earliest MLPs has been a move towards architectures with structural inductive biases which enable the model t o keep distinct sources of information and routes of processing well-separated. This structure is linked to the notion of independent mechanisms from the causa lity literature, in which a mechanism is able to retain the same processing as i rrelevant aspects of the world are changed. For example, convnets enable separa tion over positions, while attention-based architectures (especially Transformer s) learn which combination of positions to process dynamically. In this work we explore a way in which the Transformer architecture is deficient: it represents each position with a large monolithic hidden representation and a single set of parameters which are applied over the entire hidden representation. This poten tially throws unrelated sources of information together, and limits the Transfor mer's ability to capture independent mechanisms. To address this, we propose Tr ansformers with Independent Mechanisms (TIM), a new Transformer layer which divi des the hidden representation and parameters into multiple mechanisms, which onl y exchange information through attention. Additionally, we propose a competitio n mechanism which encourages these mechanisms to specialize over time steps, and thus be more independent. We study TIM on a large scale BERT model, on the Ima ge Transformer, and on speech enhancement and find evidence for semantically mea ningful specialization as well as improved performance.

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The Quenching-Activation Behavior of the Gradient Descent Dynamics for Two-layer Neural Network Models

Chao Ma, Lei Wu, Weinan E

A numerical and phenomenological study of the gradient descent (GD) algorithm f or training two-layer neural network models is carried out for different paramet er regimes. It is found that there are two distinctive phases in the GD dynamics in the under-parameterized regime: An early phase in which the GD dynamics foll ows closely that of the corresponding random feature model, followed by a late p hase in which the neurons are divided into two groups: a group of a few (maybe n one) "activated" neurons that dominate the dynamics and a group of ``quenched" n eurons that support the continued activation and deactivation process. In parti cular, when the target function can be accurately approximated by a relatively s mall number of neurons, this quenching-activation process biases GD to picking s parse solutions. This neural network-like behavior is continued into the mildly over-parameterized regime, in which it undergoes a transition to a random featu re-like behavior where the inner-layer parameters are effectively frozen during the training process. The quenching process seems to provide a clear mechanism ``implicit regularization''. This is qualitatively different from the GD dy namics associated with the ``mean-field'' scaling where all neurons participate

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Differentially Private Synthetic Data: Applied Evaluations and Enhancements Lucas Rosenblatt, Xiaoyan Liu, Samira Pouyanfar, Eduardo de Leon, Anuj Desai, Joshua Allen

Machine learning practitioners frequently seek to leverage the most informative available data, without violating the data owner's privacy, when building predic tive models. Differentially private data synthesis protects personal details fro m exposure, and allows for the training of differentially private machine learni ng models on privately generated datasets. But how can we effectively assess the efficacy of differentially private synthetic data? In this paper, we survey fou r differentially private generative adversarial networks for data synthesis. We evaluate each of them at scale on five standard tabular datasets, and in two app lied industry scenarios. We benchmark with novel metrics from recent literature and other standard machine learning tools. Our results suggest some synthesizers are more applicable for different privacy budgets, and we further demonstrate c omplicating domain-based tradeoffs in selecting an approach. We offer experiment al learning on applied machine learning scenarios with private internal data to researchers and practitioners alike. In addition, we propose QUAIL, a two model hybrid approach to generating synthetic data. We examine QUAIL's tradeoffs, and note circumstances in which it outperforms baseline differentially private super vised learning models under the same budget constraint.

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Control-Aware Representations for Model-based Reinforcement Learning Brandon Cui, Yinlam Chow, Mohammad Ghavamzadeh

A major challenge in modern reinforcement learning (RL) is efficient control of dynamical systems from high-dimensional sensory observations. Learning control lable embedding (LCE) is a promising approach that addresses this challenge by e mbedding the observations into a lower-dimensional latent space, estimating the latent dynamics, and utilizing it to perform control in the latent space. Two i mportant questions in this area are how to learn a representation that is amenab le to the control problem at hand, and how to achieve an end-to-end framework fo r representation learning and control. In this paper, we take a few steps towar ds addressing these questions. We first formulate a LCE model to learn represent ations that are suitable to be used by a policy iteration style algorithm in the latent space. We call this model control-aware representation learning (CARL). We derive a loss function and three implementations for CARL. In the offline imple mentation, we replace the locally-linear control algorithm (e.g., iLQR) used by the existing LCE methods with a RL algorithm, namely model-based soft actor-crit ic, and show that it results in significant improvement. In online CARL, we inte rleave representation learning and control, and demonstrate further gain in perf ormance. Finally, we propose value-guided CARL, a variation in which we optimiz e a weighted version of the CARL loss function, where the weights depend on the TD-error of the current policy. We evaluate the proposed algorithms by extensive experiments on benchmark tasks and compare them with several LCE baselines.

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Provably robust classification of adversarial examples with detection Fatemeh Sheikholeslami, Ali Lotfi, J Zico Kolter

Adversarial attacks against deep networks can be defended against either by buil ding robust classifiers or, by creating classifiers that can \emph{detect} the p resence of adversarial perturbations. Although it may intuitively seem easier to simply detect attacks rather than build a robust classifier, this has not bour ne out in practice even empirically, as most detection methods have subsequently been broken by adaptive attacks, thus necessitating \emph{verifiable} performan ce for detection mechanisms. In this paper, we propose a new method for jointly training a provably robust classifier and detector. Specifically, we show that by introducing an additional "abstain/detection" into a classifier, we can modify existing certified defense mechanisms to allow the classifier to either robus tly classify \emph{or} detect adversarial attacks. We extend the common interval bound propagation (IBP) method for certified robustness under \$\ell\_\infty\$ pe

rturbations to account for our new robust objective, and show that the method ou tperforms traditional IBP used in isolation, especially for large perturbation s izes. Specifically, tests on MNIST and CIFAR-10 datasets exhibit promising results, for example with provable robust error less than  $63.63\$  and  $67.92\$ , for  $55.6\$  and  $66.37\$  natural error, for  $\$  and  $66.37\$  natural error, for  $255.6\$  and  $16/255\$  on the CIFAR-10 dataset, respectively.

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Deep Ensemble Kernel Learning

Devanshu Agrawal, Jacob D Hinkle

Gaussian processes (GPs) are nonparametric Bayesian models that are both flexibl e and robust to overfitting. One of the main challenges of GP methods is selecti ng the kernel. In the deep kernel learning (DKL) paradigm, a deep neural network or ``feature network'' is used to map inputs into a latent feature space, where a GP with a ``base kernel'' acts; the resulting model is then trained in an end -to-end fashion. In this work, we introduce the ``deep ensemble kernel learning' ' (DEKL) model, which is a special case of DKL. In DEKL, a linear base kernel is used, enabling exact optimization of the base kernel hyperparameters and a scal able inference method that does not require approximation by inducing points. We also represent the feature network as a concatenation of an ensemble of learner networks with a common architecture, allowing for easy model parallelism. We sh ow that DEKL is able to approximate any kernel if the number of learners in the ensemble is arbitrarily large. Comparing the DEKL model to DKL and deep ensemble (DE) baselines on both synthetic and real-world regression tasks, we find that DEKL often outperforms both baselines in terms of predictive performance and tha t the DEKL learners tend to be more diverse (i.e., less correlated with one anot her) compared to the DE learners.

Return-Based Contrastive Representation Learning for Reinforcement Learning Guoqing Liu, Chuheng Zhang, Li Zhao, Tao Qin, Jinhua Zhu, Li Jian, Nenghai Yu, Tie-Yan Liu

Recently, various auxiliary tasks have been proposed to accelerate representation learning and improve sample efficiency in deep reinforcement learning (RL). Ho wever, existing auxiliary tasks do not take the characteristics of RL problems in nto consideration and are unsupervised. By leveraging returns, the most important feedback signals in RL, we propose a novel auxiliary task that forces the lear nt representations to discriminate state-action pairs with different returns. Our auxiliary loss is theoretically justified to learn representations that capture the structure of a new form of state-action abstraction, under which state-action pairs with similar return distributions are aggregated together. Empirically, our algorithm outperforms strong baselines on complex tasks in Atari games and DeepMind Control suite, and achieves even better performance when combined with existing auxiliary tasks.

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Meta-learning Transferable Representations with a Single Target Domain Hong Liu, Jeff Z. HaoChen, Colin Wei, Tengyu Ma

Recent works found that fine-tuning and joint training---two popular approaches for transfer learning---do not always improve accuracy on downstream tasks. Firs t, we aim to understand more about when and why fine-tuning and joint training c an be suboptimal or even harmful for transfer learning. We design semi-synthetic datasets where the source task can be solved by either source-specific features or transferable features. We observe that (1) pre-training may not have incenti ve to learn transferable features and (2) joint training may simultaneously lear n source-specific features and overfit to the target. Second, to improve over f ine-tuning and joint training, we propose Meta Representation Learning MeRLin to learn transferable features. MeRLin meta-learns representations by ensuring that a head fit on top of the representations with target training data also perfor ms well on target validation data. We also prove that MeRLin recovers the target ground-truth model with a quadratic neural net parameterization and a source di stribution that contains both transferable and source-specific features. On the

same distribution, pre-training and joint training provably fail to learn trans ferable features. MeRLin empirically outperforms previous state-of-the-art trans fer learning algorithms on various real-world vision and NLP transfer learning benchmarks.

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Double Q-learning: New Analysis and Sharper Finite-time Bound Lin Zhao, Huaqing Xiong, Yingbin Liang, Wei Zhang

Double Q-learning \citep{hasselt2010double} has gained significant success in pr actice due to its effectiveness in overcoming the overestimation issue of Q-lear ning. However, theoretical understanding of double Q-learning is rather limited and the only existing finite-time analysis was recently established in \citet{xi ong2020double} under a polynomial learning rate. This paper analyzes the more ch allenging case with a rescaled linear/constant learning rate for which the previ ous method does not appear to be applicable. We develop new analytical tools tha t achieve an order-level better finite-time convergence rate than the previously established result. Specifically, we show that synchronous double Q-learning at tains an \$\epsilon\$-accurate global optimum with a time complexity of \$\Omega\le  $ft(\frac{\ln D}{(1-\gamma)^7\epsilon})^7$ , and the asynchronous algorith m attains a time complexity of  $\tilde{\Omega}_{\Omega} \left(\frac{L}{(1-\gamma)^7}\right)^7\$ n^2} \right)\$, where \$D\$ is the cardinality of the state-action space, \$\gamma\$ is the discount factor, and \$L\$ is a parameter related to the sampling strategy for asynchronous double Q-learning. These results improve the order-level depend ence of the convergence rate on all major parameters \$(\epsilon,1-\gamma, D, L)\$ provided in \citet{xiong2020double}. The new analysis in this paper presents a more direct and succinct approach for characterizing the finite-time convergence rate of double Q-learning.

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Information Theoretic Meta Learning with Gaussian Processes Michalis Titsias, Sotirios Nikoloutsopoulos, Alexandre Galashov

We formulate meta learning using information theoretic concepts such as mutual information and the information bottleneck. The idea is to learn a stochastic representation or encoding of the task description, given by a training or support set, that is highly informative about predicting the validation set. By making use of variational approximations to the mutual information, we derive a general and tractable framework for meta learning. We particularly develop new memory-based meta learning algorithms based on Gaussian processes and derive extensions that combine memory and gradient-based meta learning. We demonstrate our method on few-shot regression and classification by using standard benchmarks such as 0 mniglot, mini-Imagenet and Augmented Omniglot.

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Contrastive Learning of Medical Visual Representations from Paired Images and Te  ${\tt xt}$ 

Yuhao Zhang, Hang Jiang, Yasuhide Miura, Christopher D Manning, Curtis Langlotz Learning visual representations of medical images is core to medical image under standing but its progress has been held back by the small size of hand-labeled d atasets. Existing work commonly relies on transferring weights from ImageNet pre training, which is suboptimal due to drastically different image characteristics , or rule-based label extraction from the textual report data paired with medica l images, which is inaccurate and hard to generalize. We propose an alternative unsupervised strategy to learn medical visual representations directly from the naturally occurring pairing of images and textual data. Our method of pretrainin g medical image encoders with the paired text data via a bidirectional contrasti ve objective between the two modalities is domain-agnostic, and requires no addi tional expert input. We test our method by transferring our pretrained weights t o 4 medical image classification tasks and 2 zero-shot retrieval tasks, and show that our method leads to image representations that considerably outperform str ong baselines in most settings. Notably, in all 4 classification tasks, our meth od requires only 10% as much labeled training data as an ImageNet initialized co unterpart to achieve better or comparable performance, demonstrating superior da

ta efficiency.

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Self-Supervised Time Series Representation Learning by Inter-Intra Relational Re asoning

Haoyi Fan, Fengbin Zhang, Yue Gao

Self-supervised learning achieves superior performance in many domains by extrac ting useful representations from the unlabeled data. However, most of traditiona 1 self-supervised methods mainly focus on exploring the inter-sample structure w hile less efforts have been concentrated on the underlying intra-temporal struct ure, which is important for time series data. In this paper, we present SelfTime : a general self-supervised time series representation learning framework, by ex ploring the inter-sample relation and intra-temporal relation of time series to learn the underlying structure feature on the unlabeled time series. Specificall y, we first generate the inter-sample relation by sampling positive and negative samples of a given anchor sample, and intra-temporal relation by sampling time pieces from this anchor. Then, based on the sampled relation, a shared feature e xtraction backbone combined with two separate relation reasoning heads are emplo yed to quantify the relationships of the sample pairs for inter-sample relation reasoning, and the relationships of the time piece pairs for intra-temporal rela tion reasoning, respectively. Finally, the useful representations of time series are extracted from the backbone under the supervision of relation reasoning hea ds. Experimental results on multiple real-world time series datasets for time se ries classification task demonstrate the effectiveness of the proposed method. C ode and data are publicly available.

Unified Principles For Multi-Source Transfer Learning Under Label Shifts changjian shui, Zijian Li, jiaqi li, Christian Gagné, Charles Ling, Boyu Wang We study the label shift problem in multi-source transfer learning and derive ne w generic principles. Our proposed framework unifies the principles of condition al feature alignment, label distribution ratio estimation, and domain relation w eights estimation. Based on inspired practical principles, we provide a unified practical framework for three multi-source label shift transfer scenarios: learn ing with limited target data, unsupervised domain adaptation, and label partial unsupervised domain adaptation. We evaluate the proposed method on these scenarios by extensive experiments and show that our proposed algorithm can significant ly outperform the baselines.

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Generalisation Guarantees For Continual Learning With Orthogonal Gradient Descent

Mehdi Abbana Bennani, Thang Doan, Masashi Sugiyama

In Continual Learning settings, deep neural networks are prone to Catastrophic F orgetting. Orthogonal Gradient Descent (Farajtabar et al., 2019) was proposed to tackle the challenge. However, no theoretical guarantees have been proven yet.

We present a theoretical framework to study Continual Learning algorithms in the NTK regime. This framework comprises closed form expression of the model through tasks and proxies for transfer learning, generalisation and tasks similarity. In this framework, we prove that OGD is robust to Catastrophic Forgetting then derive the first generalisation bound for SGD and OGD for Continual Learning. Fin ally, we study the limits of this framework in practice for OGD and highlight the importance of the NTK variation for Continual Learning.

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Adversarially-Trained Deep Nets Transfer Better: Illustration on Image Classific ation

Francisco Utrera, Evan Kravitz, N. Benjamin Erichson, Rajiv Khanna, Michael W. Mahon ev

Transfer learning has emerged as a powerful methodology for adapting pre-trained deep neural networks on image recognition tasks to new domains. This process co nsists of taking a neural network pre-trained on a large feature-rich source dat aset, freezing the early layers that encode essential generic image properties, and then fine-tuning the last few layers in order to capture specific informatio

n related to the target situation. This approach is particularly useful when only limited or weakly labeled data are available for the new task. In this work, we demonstrate that adversarially-trained models transfer better than non-adversa rially-trained models, especially if only limited data are available for the new domain task. Further, we observe that adversarial training biases the learnt representations to retaining shapes, as opposed to textures, which impacts the transferability of the source models. Finally, through the lens of influence functions, we discover that transferred adversarially-trained models contain more human-identifiable semantic information, which explains -- at least partly -- why adversarially-trained models transfer better.

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Multi-Representation Ensemble in Few-Shot Learning Qing Chen, Jian Zhang

Deep neural networks (DNNs) compute representations in a layer by layer fashion, producing a final representation at the top layer of the pipeline, and classifi cation or regression is made using the final representation. A number of DNNs (e .g., ResNet, DenseNet) have shown that representations from the earlier layers c an be beneficial. They improved performance by aggregating representations from different layers. In this work, we asked the question, besides forming an aggreg ation, whether these representations can be utilized directly with the classific ation layer(s) to obtain better performance. We started our quest to the answer by investigating the classifiers based on the representations from different lay ers and observed that these classifiers were diverse and many of their decisions were complementary to each other, hence having the potential to generate a bett er overall decision when combined. Following this observation, we propose an ens emble method that creates an ensemble of classifiers, each taking a representati on from a different depth of a base DNN as the input. We tested this ensemble me thod in the setting of few-shot learning. Experiments were conducted on the mini -ImageNet and tieredImageNet datasets which are commonly used in the evaluation of few-shot learning methods. Our ensemble achieves the new state-of-the-art res ults for both datasets, comparing to previous regular and ensemble approaches. 

Learning Structural Edits via Incremental Tree Transformations Ziyu Yao, Frank F. Xu, Pengcheng Yin, Huan Sun, Graham Neubig

While most neural generative models generate outputs in a single pass, the human creative process is usually one of iterative building and refinement. Recent wo rk has proposed models of editing processes, but these mostly focus on editing s equential data and/or only model a single editing pass. In this paper, we presen t a generic model for incremental editing of structured data (i.e. ''structural edits''). Particularly, we focus on tree-structured data, taking abstract syntax trees of computer programs as our canonical example. Our editor learns to itera tively generate tree edits (e.g. deleting or adding a subtree) and applies them to the partially edited data, thereby the entire editing process can be formulat ed as consecutive, incremental tree transformations. To show the unique benefits of modeling tree edits directly, we further propose a novel edit encoder for le arning to represent edits, as well as an imitation learning method that allows t he editor to be more robust. We evaluate our proposed editor on two source code edit datasets, where results show that, with the proposed edit encoder, our edit or significantly improves accuracy over previous approaches that generate the ed ited program directly in one pass. Finally, we demonstrate that training our edi tor to imitate experts and correct its mistakes dynamically can further improve its performance.

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Cross-Attentional Audio-Visual Fusion for Weakly-Supervised Action Localization Jun-Tae Lee, Mihir Jain, Hyoungwoo Park, Sungrack Yun

Temporally localizing actions in videos is one of the key components for video u nderstanding. Learning from weakly-labeled data is seen as a potential solution towards avoiding expensive frame-level annotations. Different from other works w hich only depend on visual-modality, we propose to learn richer audiovisual representation for weakly-supervised action localization. First, we propose a multi-

stage cross-attention mechanism to collaboratively fuse audio and visual feature s, which preserves the intra-modal characteristics. Second, to model both foregr ound and background frames, we construct an open-max classifier that treats the background class as an open-set. Third, for precise action localization, we desi gn consistency losses to enforce temporal continuity for the action class prediction, and also help with foreground-prediction reliability. Extensive experiment s on two publicly available video-datasets (AVE and ActivityNet1.2) show that the proposed method effectively fuses audio and visual modalities, and achieves the state-of-the-art results for weakly-supervised action localization.

Active Feature Acquisition with Generative Surrogate Models Yang Li, Junier Oliva

Many real-world situations allow for the acquisition of additional relevant info rmation when making an assessment with limited or uncertain data. However, tradi tional ML approaches either require all features to be acquired beforehand or re gard part of them as missing data that cannot be acquired. In this work, we prop ose models that perform active feature acquisition (AFA) to improve the predicti on assessments at evaluation time. We formulate the AFA problem as a Markov deci sion process (MDP) and resolve it using reinforcement learning (RL). The AFA pro blem yields sparse rewards and contains a high-dimensional complicated action sp ace. Thus, we propose learning a generative surrogate model that captures the co mplicated dependencies among input features to assess potential information gain from acquisitions. We also leverage the generative surrogate model to provide i ntermediate rewards and auxiliary information to the agent. Furthermore, we exte nd AFA in a task we coin active instance recognition (AIR) for the unsupervised case where the target variables are the unobserved features themselves and the g oal is to collect information for a particular instance in a cost-efficient way. Empirical results demonstrate that our approach achieves considerably better pe rformance than previous state of the art methods on both supervised and unsuperv ised tasks.

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Decoy-enhanced Saliency Maps

Yang Young Lu, Wenbo Guo, Xinyu Xing, William Noble

Saliency methods can make deep neural network predictions more interpretable by identifying a set of critical features in an input sample, such as pixels that c ontribute most strongly to a prediction made by an image classifier. Unfortunate ly, recent evidence suggests that many saliency methods poorly perform, especial ly in situations where gradients are saturated, inputs contain adversarial pertu rbations, or predictions rely upon inter-feature dependence. To address these is sues, we propose a framework that improves the robustness of saliency methods by following a two-step procedure. First, we introduce a perturbation mechanism th at subtly varies the input sample without changing its intermediate representati ons. Using this approach, we can gather a corpus of perturbed data samples while ensuring that the perturbed and original input samples follow the same distribu tion. Second, we compute saliency maps for the perturbed samples and propose a n ew method to aggregate saliency maps. With this design, we offset the gradient s aturation influence upon interpretation. From a theoretical perspective, we show that the aggregated saliency map not only captures inter-feature dependence but , more importantly, is robust against previously described adversarial perturbat ion methods. Following our theoretical analysis, we present experimental results suggesting that, both qualitatively and quantitatively, our saliency method out performs existing methods, in a variety of applications.

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Exploiting structured data for learning contagious diseases under incomplete tes

Maggie Makar, Lauren West, David Hooper, Eric Horvitz, Erica Shenoy, John Guttag One of the ways that machine learning algorithms can help control the spread of an infectious disease is by building models that predict who is likely to get in fected whether or not they display any symptoms, making them good candidates for preemptive isolation. In this work we ask: can we build reliable infection pred

iction models when the observed data is collected under limited, and biased test ing that prioritizes testing symptomatic individuals? Our analysis suggests that under favorable conditions, incomplete testing might be sufficient to achieve r elatively good out-of-sample prediction error. Favorable conditions occur when u ntested-infected individuals have sufficiently different characteristics from un tested-healthy, and when the infected individuals are "potent", meaning they infect a large majority of their neighbors. We develop an algorithm that predicts i nfections, and show that it outperforms benchmarks on simulated data. We apply o ur model to data from a large hospital to predict Clostridioides difficile infections; a communicable disease that is characterized by asymptomatic (i.e., untested) carriers. Using a proxy instead of the unobserved untested-infected state, we show that our model outperforms benchmarks in predicting infections.

Are all outliers alike? On Understanding the Diversity of Outliers for Detectin g OODs

Ramneet Kaur, Susmit Jha, Anirban Roy

Deep neural networks (DNNs) are known to produce incorrect predictions with very high confidence on out-of-distribution (OOD) inputs. This limitation is one of the key challenges in the adoption of deep learning models in high-assurance sys tems such as autonomous driving, air traffic management, and medical diagnosis. This challenge has received significant attention recently, and several technique as have been developed to detect inputs where the model's prediction cannot be trusted. These techniques use different statistical, geometric, or topological signatures. This paper presents a taxonomy of OOD outlier inputs based on their so urce and nature of uncertainty. We demonstrate how different existing detection approaches fail to detect certain types of outliers. We utilize these insights to develop a novel integrated detection approach that uses multiple attributes corresponding to different types of outliers. Our results include experiments on C IFAR10, SVHN and MNIST as in-distribution data and Imagenet, LSUN, SVHN (for CIF AR10), CIFAR10 (for SVHN), KMNIST, and F-MNIST as OOD data across different DNN architectures such as ResNet34, WideResNet, DenseNet, and LeNet5.

Improved Estimation of Concentration Under \$\ell\_p\$-Norm Distance Metrics Using Half Spaces

Jack Prescott, Xiao Zhang, David Evans

Concentration of measure has been argued to be the fundamental cause of adversar ial vulnerability. Mahloujifar et al. (2019) presented an empirical way to measu re the concentration of a data distribution using samples, and employed it to fi nd lower bounds on intrinsic robustness for several benchmark datasets. However, it remains unclear whether these lower bounds are tight enough to provide a use ful approximation for the intrinsic robustness of a dataset. To gain a deeper un derstanding of the concentration of measure phenomenon, we first extend the Gaus sian Isoperimetric Inequality to non-spherical Gaussian measures and arbitrary \$  $\left( p\right) - m \ (p \geq 2).$  We leverage these theoretical insights to design a m ethod that uses half-spaces to estimate the concentration of any empirical datas et under \$\ell\_p\$-norm distance metrics. Our proposed algorithm is more efficien t than Mahloujifar et al. (2019)'s, and experiments on synthetic datasets and im age benchmarks demonstrate that it is able to find much tighter intrinsic robust ness bounds. These tighter estimates provide further evidence that rules out int rinsic dataset concentration as a possible explanation for the adversarial vulne rability of state-of-the-art classifiers.

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Compositional Models: Multi-Task Learning and Knowledge Transfer with Modular Networks

Andrey Zhmoginov, Dina Bashkirova, Mark Sandler

Conditional computation and modular networks have been recently proposed for mul titask learning and other problems as a way to decompose problem solving into multiple reusable computational blocks. We propose a novel fully-differentiable approach for learning modular networks. In our method, the modules can be invoked repeatedly and allow knowledge transfer to novel tasks by adjusting the order of

computation. This allows soft weight sharing between tasks with only a small inc rease in the number of parameters. We show that our method leads to interpretabl e self-organization of modules in case of multi-task learning, transfer learning and domain adaptation while achieving competitive results on those tasks. From practical perspective, our approach allows to: (a) reuse existing modules for le arning new task by adjusting the computation order, (b) use it for unsupervised multi-source domain adaptation to illustrate that adaptation to unseen data can be achieved by only manipulating the order of pretrained modules, (c) show how o ur approach can be used to increase accuracy of existing architectures for image classification tasks such as ImageNet, without any parameter increase, by reusing the same block multiple times.

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Concentric Spherical GNN for 3D Representation Learning

James S Fox, Bo Zhao, Sivasankaran Rajamanickam, Rampi Ramprasad, Le Song

Learning 3D representations that generalize well to arbitrarily oriented inputs is a challenge of practical importance in applications varying from computer vision to physics and chemistry.

We propose a novel multi-resolution convolutional architecture for learning over concentric spherical feature maps, of which the single sphere representation is a special case.

Our hierarchical architecture is based on alternatively learning to incorporate both intra-sphere and inter-sphere information.

We show the applicability of our method for two different types of 3D inputs, me sh objects, which can be regularly sampled, and point clouds, which are irregularly distributed.

We also propose an efficient mapping of point clouds to concentric spherical images using radial basis functions, thereby bridging spherical convolutions on grids with general point clouds.

We demonstrate the effectiveness of our approach in achieving state-of-the-art p erformance on 3D classification tasks with rotated data.

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Adversarial Meta-Learning

Chengxiang Yin, Jian Tang, Zhiyuan Xu, Yanzhi Wang

Meta-learning enables a model to learn from very limited data to undertake a new task. In this paper, we study the general meta-learning with adversarial sample s. We present a meta-learning algorithm, ADML (ADversarial Meta-Learner), which leverages clean and adversarial samples to optimize the initialization of a lear ning model in an adversarial manner. ADML leads to the following desirable prope rties: 1) it turns out to be very effective even in the cases with only clean samples; 2) it is robust to adversarial samples, i.e., unlike other meta-learning algorithms, it only leads to a minor performance degradation when there are adversarial samples; 3) it sheds light on tackling the cases with limited and even contaminated samples. It has been shown by extensive experimental results that ADML outperforms several representative meta-learning algorithms in the cases involving adversarial samples generated by different attack mechanisms, on two widely-used image datasets, MiniImageNet and CIFAR100, in terms of both accuracy and robustness.

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Understanding Self-supervised Learning with Dual Deep Networks Yuandong Tian, Lantao Yu, Xinlei Chen, Surya Ganguli

We propose a novel theoretical framework to understand self-supervised learning methods that employ dual pairs of deep ReLU networks (e.g., SimCLR, BYOL). First, we prove that in each SGD update of SimCLR, the weights at each layer are updated by a \emph{covariance operator} that specifically amplifies initial random selectivities that vary across data samples but survive averages over data augmentations. We show this leads to the emergence of hierarchical features, if the input data are generated from a hierarchical latent tree model. With the same framework, we also show analytically that in BYOL, the combination of BatchNorm and a predictor network creates an implicit contrastive term, acting as an approximate covariance operator. Additionally, for linear architectures we derive exact s

olutions for BYOL that provide conceptual insights into how BYOL can learn useful non-collapsed representations without any contrastive terms that separate negative pairs. Extensive ablation studies justify our theoretical findings.

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PDE-regularized Neural Networks for Image Classification

Jungeun Kim, Seunghyun Hwang, Jihyun Hwang, Kookjin Lee, Dongeun Lee, Noseong Park Neural ordinary differential equations (neural ODEs) introduced an approach to a pproximate a neural network as a system of ODEs after considering its layer as a continuous variable and discretizing its hidden dimension. While having several good characteristics, neural ODEs are known to be numerically unstable and slow in solving their integral problems, resulting in errors and/or much computation of the forward-pass inference. In this work, we present a novel partial differe ntial equation (PDE)-based approach that removes the necessity of solving integral problems and considers both the layer and the hidden dimension as continuous variables. Owing to the recent advancement of learning PDEs, the presented novel concept, called PR-Net, can be implemented. Our method shows comparable (or bet ter) accuracy and robustness in much shorter forward-pass inference time for various datasets and tasks in comparison with neural ODEs and Isometric MobileNet V 3. For the efficient nature of PR-Net, it is suitable to be deployed in resource -scarce environments, e.g., deploying instead of MobileNet.

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Towards a Reliable and Robust Dialogue System for Medical Automatic Diagnosis Junfan Lin, Lin Xu, Ziliang Chen, Liang Lin

Dialogue system for medical automatic diagnosis (DSMAD) aims to learn an agent t hat mimics the behavior of a human doctor, i.e. inquiring symptoms and informing diseases. Since DSMAD has been formulated as a Markov decision-making process, many studies apply reinforcement learning methods to solve it. Unfortunately, ex isting works solely rely on simple diagnostic accuracy to justify the effectiven ess of their DSMAD agents while ignoring the medical rationality of the inquirin g process. From the perspective of medical application, it's critical to develop an agent that is able to produce reliable and convincing diagnosing processes a nd also is robust in making diagnosis facing noisy interaction with patients. To this end, we propose a novel DSMAD agent, INS-DS (Introspective Diagnosis Syste m) comprising of two separate yet cooperative modules, i.e., an inquiry module f or proposing symptom-inquiries and an introspective module for deciding when to inform a disease. INS-DS is inspired by the introspective decision-making proces s of human, where the inquiry module first proposes the most valuable symptom in quiry, and then the introspective module intervenes the potential responses of t his inquiry and decides to inquire only if the diagnoses of these interventions

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EarlyBERT: Efficient BERT Training via Early-bird Lottery Tickets Xiaohan Chen, Yu Cheng, Shuohang Wang, Zhe Gan, Zhangyang Wang, Jingjing Liu Deep, heavily overparameterized language models such as BERT, XLNet and T5 have achieved impressive success in many NLP tasks. However, their high model complex ity requires enormous computation resources and extremely long training time for both pre-training and fine-tuning. Many works have studied model compression on large NLP models, but only focus on reducing inference cost/time, while still r equiring expensive training process. Other works use extremely large batch sizes to shorten the pre-training time at the expense of high demand for computation resources. In this paper, inspired by the Early-Bird Lottery Tickets studied for computer vision tasks, we propose EarlyBERT, a general computationally-efficien t training algorithm applicable to both pre-training and fine-tuning of large-sc ale language models. We are the first to identify structured winning tickets in the early stage of BERT training, and use them for efficient training. Comprehen sive pre-training and fine-tuning experiments on GLUE and SQuAD downstream tasks show that EarlyBERT easily achieves comparable performance to standard BERT wit h 35~45% less training time.

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Catastrophic Fisher Explosion: Early Phase Fisher Matrix Impacts Generalization

Stanislaw Kamil Jastrzebski, Devansh Arpit, Oliver Åstrand, Giancarlo Kerg, Huan Wang, Caiming Xiong, richard socher, Kyunghyun Cho, Krzysztof J. Geras

The early phase of training has been shown to be important in two ways for deep neural networks. First, the degree of regularization in this phase significantly impacts the final generalization. Second, it is accompanied by a rapid change i n the local loss curvature influenced by regularization choices. Connecting thes e two findings, we show that stochastic gradient descent (SGD) implicitly penali zes the trace of the Fisher Information Matrix (FIM) from the beginning of train ing. We argue it is an implicit regularizer in SGD by showing that explicitly pe nalizing the trace of the FIM can significantly improve generalization. We furth er show that the early value of the trace of the FIM correlates strongly with th e final generalization. We highlight that in the absence of implicit or explicit regularization, the trace of the FIM can increase to a large value early in tra ining, to which we refer as catastrophic Fisher explosion. Finally, to gain insi ght into the regularization effect of penalizing the trace of the FIM, we show t hat it limits memorization by reducing the learning speed of examples with noisy labels more than that of the clean examples, and 2) trajectories with a low ini tial trace of the FIM end in flat minima, which are commonly associated with goo d generalization.

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LATENT OPTIMIZATION VARIATIONAL AUTOENCODER FOR CONDITIONAL MOLECULAR GENERATION Kisoo Kwon, Jung-Hyun Park, Kuhwan Jeong, Sunjae Lee, Hoshik Lee

Variational autoencoder (VAE) is a generation algorithm, consisting of an encode r and a decoder, and the latent variable from the encoder is used as the input of the decoder.

VAE is widely used for image, audio and text generation tasks. In general, the training of VAE is at risk of posterior collapsing especially for long sequential data. To alleviate this, modified evidence lower bounds (ELBOs) were proposed. However, these approaches heuristically control training loss using a hyper-para

meter, and it is not way to solve the fundamental problem of vanilla VAE. In this paper, we propose a method to insert an optimization step of the latent variable and alternately update the encoder and decoder of conditional VAE for m aximizing ELBOs.

In experiments, we applied the latent optimization VAE (LOVAE) on ZINC database, consisting of string representation of molecules, for the inverse molecular design.

We showed that the proposed LOVAE achieves better performance than vanilla VAE in terms of ELBOs and molecular generation performance. In addition, the proposed method showed better performance in property satisfaction and property maximization tasks compared to existing works.

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Unsupervised Progressive Learning and the STAM Architecture James Smith, Cameron Ethan Taylor, Seth Baer, Constantine Dovrolis

We first pose the Unsupervised Progressive Learning (UPL) problem: an online re presentation learning problem in which the learner observes a non-stationary and unlabeled data stream, and identifies a growing number of features that persist over time even though the data is not stored or replayed. To solve the UPL prob lem we propose the Self-Taught Associative Memory (STAM) architecture. Layered h ierarchies of STAM modules learn based on a combination of online clustering, no velty detection, forgetting outliers, and storing only prototypical features rat her than specific examples. We evaluate STAM representations using classification and clustering tasks. While there are no existing learning scenarios which are directly comparable to UPL, we compare the STAM architecture with two recent continual learning works; Memory Aware Synapses (MAS), and Gradient Episodic Memories (GEM), which have been modified to be suitable for the UPL setting.

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Beyond Categorical Label Representations for Image Classification Boyuan Chen, Yu Li, Sunand Raghupathi, Hod Lipson

We find that the way we choose to represent data labels can have a profound effect on the quality of trained models. For example, training an image classifier t

o regress audio labels rather than traditional categorical probabilities produce s a more reliable classification. This result is surprising, considering that au dio labels are more complex than simpler numerical probabilities or text. We hyp othesize that high dimensional, high entropy label representations are generally more useful because they provide a stronger error signal. We support this hypot hesis with evidence from various label representations including constant matric es, spectrograms, shuffled spectrograms, Gaussian mixtures, and uniform random m atrices of various dimensionalities. Our experiments reveal that high dimensional, high entropy labels achieve comparable accuracy to text (categorical) labels on standard image classification tasks, but features learned through our label r epresentations exhibit more robustness under various adversarial attacks and bet ter effectiveness with a limited amount of training data. These results suggest that label representation may play a more important role than previously thought

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Fantastic Four: Differentiable and Efficient Bounds on Singular Values of Convolution Layers

Sahil Singla, Soheil Feizi

In deep neural networks, the spectral norm of the Jacobian of a layer bounds the factor by which the norm of a signal changes during forward/backward propagatio n. Spectral norm regularizations have been shown to improve generalization, robu stness and optimization of deep learning methods. Existing methods to compute th e spectral norm of convolution layers either rely on heuristics that are efficie nt in computation but lack guarantees or are theoretically-sound but computation ally expensive. In this work, we obtain the best of both worlds by deriving {\it four provable upper bounds on the spectral norm of a standard 2D multi-channel convolution layer. These bounds are differentiable and can be computed efficien tly during training with negligible overhead. One of these bounds is in fact the popular heuristic method of Miyato et al. (multiplied by a constant factor depe nding on filter sizes). Each of these four bounds can achieve the tightest gap d epending on convolution filters. Thus, we propose to use the minimum of these fo ur bounds as a tight, differentiable and efficient upper bound on the spectral n orm of convolution layers. Moreover, our spectral bound is an effective regulari zer and can be used to bound either the lipschitz constant or curvature values ( eigenvalues of the Hessian) of neural networks. Through experiments on MNIST and CIFAR-10, we demonstrate the effectiveness of our spectral bound in improving g eneralization and robustness of deep networks.

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Pretrain Knowledge-Aware Language Models

Corbin L Rosset, Chenyan Xiong, Minh Phan, Xia Song, Paul N. Bennett, saurabh tiwary How much knowledge do pretrained language models hold? Recent research observed that pretrained transformers are adept at modeling semantics but it is unclear t o what degree they grasp human knowledge, or how to ensure they do so. In this p aper we incorporate knowledge-awareness in language model pretraining without ch anging the transformer architecture, inserting explicit knowledge layers, or add ing external storage of semantic information. Rather, we simply signal the exist ence of entities to the input of the transformer in pretraining, with an entityextended tokenizer; and at the output, with an additional entity prediction task . Our experiments show that solely by adding these entity signals in pretraining , significantly more knowledge is packed into the transformer parameters: we obs erve improved language modeling accuracy, factual correctness in LAMA knowledge probing tasks, and semantics in the hidden representations through edge probing. We also show that our knowledge-aware language model (\kalm{}) can serve as a d rop-in replacement for GPT-2 models, significantly improving downstream tasks li ke zero-shot question-answering with no task-related training.

Accelerating Convergence of Replica Exchange Stochastic Gradient MCMC via Varian ce Reduction

Wei Deng, Qi Feng, Georgios P. Karagiannis, Guang Lin, Faming Liang Replica exchange stochastic gradient Langevin dynamics (reSGLD) has shown promis e in accelerating the convergence in non-convex learning; however, an excessivel y large correction for avoiding biases from noisy energy estimators has limited the potential of the acceleration. To address this issue, we study the variance reduction for noisy energy estimators, which promotes much more effective swaps. Theoretically, we provide a non-asymptotic analysis on the exponential converge nce for the underlying continuous-time Markov jump process; moreover, we conside r a generalized Girsanov theorem which includes the change of Poisson measure to overcome the crude discretization based on the Gr\"{o}wall's inequality and yie lds a much tighter error in the 2-Wasserstein (\$\mathref{mathcal}{W}\_2\$) distance. Numeri cally, we conduct extensive experiments and obtain state-of-the-art results in o ptimization and uncertainty estimates for synthetic experiments and image data.

A Technical and Normative Investigation of Social Bias Amplification Angelina Wang,Olga Russakovsky

The conversation around the fairness of machine learning models is growing and e volving. In this work, we focus on the issue of bias amplification: the tendency of models trained from data containing social biases to further amplify these b iases. This problem is brought about by the algorithm, on top of the level of bi as already present in the data. We make two main contributions regarding its mea surement. First, building off of Zhao et al. (2017), we introduce and analyze a new, decoupled metric for measuring bias amplification, \$\text{BiasAmp}\_{\rightarrow} rrow}, which possesses a number of attractive properties, including the ability to pinpoint the cause of bias amplification. Second, we thoroughly analyze and d iscuss the normative implications of this metric. We provide suggestions about i ts measurement by cautioning against predicting sensitive attributes, encouragin g the use of confidence intervals due to fluctuations in the fairness of models across runs, and discussing what bias amplification means in the context of doma ins where labels either don't exist at test time or correspond to uncertain futu re events. Throughout this paper, we work to provide a deeply interrogative look at the technical measurement of bias amplification, quided by our normative ide as of what we want it to encompass.

Normalizing Flows for Calibration and Recalibration Achintya Gopal, Aaron Key

In machine learning, due to model misspecification and overfitting, estimates of the aleatoric uncertainty are often inaccurate.

One approach to fix this is isotonic regression, in which a monotonic function is fit on a validation set to map the model's CDF to an optimally calibrated CDF. However, this makes it infeasible to compute additional statistics of interest on the model distribution (such as the mean). In this paper, through a reframing of recalibration as MLE, we replace isotonic regression with normalizing flows. This allows us to retain the ability to compute the statistical properties of the model (such as closed-form likelihoods, mean, correlation, etc.) and provides an opportunity for additional capacity at the cost of possible overfitting. Most importantly, the fundamental properties of normalizing flows allow us to gene ralize recalibration to conditional and multivariate distributions. To aid in detecting miscalibration and measuring our success at fixing it, we use a simple extension of the calibration Q-Q plot.

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Testing Robustness Against Unforeseen Adversaries

Daniel Kang, Yi Sun, Dan Hendrycks, Tom B Brown, Jacob Steinhardt

Most existing adversarial defenses only measure robustness to \$L\_p\$ adversarial attacks. Not only are adversaries unlikely to exclusively create small \$L\_p\$ per turbations, adversaries are unlikely to remain fixed. Adversaries adapt and evol ve their attacks; hence adversarial defenses must be robust to a broad range of unforeseen attacks. We address this discrepancy between research and reality by proposing a new evaluation framework called ImageNet-UA. Our framework enables the research community to test ImageNet model robustness against attacks not encountered during training. To create ImageNet-UA's diverse attack suite, we introduce a total of four novel adversarial attacks. We also demonstrate that, in comp

arison to ImageNet-UA, prevailing \$L\_\infty\$ robustness assessments give a narro w account of adversarial robustness. By evaluating current defenses with ImageNe t-UA, we find they provide little robustness to unforeseen attacks. We hope the greater variety and realism of ImageNet-UA enables development of more robust de fenses which can generalize beyond attacks seen during training.

A Bayesian-Symbolic Approach to Learning and Reasoning for Intuitive Physics Kai Xu, Akash Srivastava, Dan Gutfreund, Felix Sosa, Tomer Ullman, Joshua B. Tenenbau m. Charles Sutton

Humans are capable of reasoning about physical phenomena by inferring laws of ph ysics from a very limited set of observations. The inferred laws can potentially depend on unobserved properties, such as mass, texture, charge, etc. This sampl e-efficient physical reasoning is considered a core domain of human common-sense knowledge and hints at the existence of a physics engine in the head. In this p aper, we propose a Bayesian symbolic framework for learning sample-efficient mod els of physical reasoning and prediction, which are of special interests in the field of intuitive physics. In our framework, the environment is represented by a top-down generative model with a collection of entities with some known and un known properties as latent variables to capture uncertainty. The physics engine depends on physical laws which are modeled as interpretable symbolic expressions and are assumed to be functions of the latent properties of the entities intera cting under simple Newtonian physics. As such, learning the laws is then reduced to symbolic regression and Bayesian inference methods are used to obtain the di stribution of unobserved properties. These inference and regression steps are pe rformed in an iterative manner following the expectation-maximization algorithm to infer the unknown properties and use them to learn the laws from a very small set of observations. We demonstrate that on three physics learning tasks that c ompared to the existing methods of learning physics, our proposed framework is more data-efficient, accurate and makes joint reasoning and learning possible.

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Sharing Less is More: Lifelong Learning in Deep Networks with Selective Layer Tr

Seungwon Lee, Sima Behpour, ERIC EATON

Effective lifelong learning across diverse tasks requires diverse knowledge, yet transferring irrelevant knowledge may lead to interference and catastrophic for getting. In deep networks, transferring the appropriate granularity of knowledge is as important as the transfer mechanism, and must be driven by the relationsh ips among tasks. We first show that the lifelong learning performance of several current deep learning architectures can be significantly improved by transfer a t the appropriate layers. We then develop an expectation-maximization (EM) method to automatically select the appropriate transfer configuration and optimize the task network weights. This EM-based selective transfer is highly effective, as demonstrated on three algorithms in several lifelong object classification scenarios.

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Improved Contrastive Divergence Training of Energy Based Models

Yilun Du, Shuang Li, Joshua B. Tenenbaum, Igor Mordatch

We propose several different techniques to improve contrastive divergence training of energy-based models (EBMs). We first show that a gradient term neglected in the popular contrastive divergence formulation is both tractable to estimate and is important to avoid training instabilities in previous models. We further highlight how data augmentation, multi-scale processing, and reservoir sampling can be used to improve model robustness and generation quality. Thirdly, we empirically evaluate stability of model architectures and show improved performance on a host of benchmarks and use cases, such as image generation, OOD detection, and compositional generation.

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Learning Online Data Association

Yilun Du, Joshua B. Tenenbaum, Tomas Perez, Leslie Pack Kaelbling

When an agent interacts with a complex environment, it receives a stream of perc

epts in which it may detect entities, such as objects or people. To build up a c oherent, low-variance estimate of the underlying state, it is necessary to fuse information from multiple detections over time. To do this fusion, the agent mus t decide which detections to associate with one another. We address this data-as sociation problem in the setting of an online filter, in which each observation is processed by aggregating into an existing object hypothesis. Classic methods with strong probabilistic foundations exist, but they are computationally expens ive and require models that can be difficult to acquire. In this work, we use the deep-learning tools of sparse attention and representation learning to learn a machine that processes a stream of detections and outputs a set of hypotheses a bout objects in the world. We evaluate this approach on simple clustering problems, problems with dynamics, and a complex image-based domain. We find that it generalizes well from short to long observation sequences and from a few to many hypotheses, outperforming other learning approaches and classical non-learning me thods.

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ATOM3D: Tasks On Molecules in Three Dimensions

Raphael John Lamarre Townshend, Martin Vogele, Patricia Suriana, Alex Derry, Alex Powers, Yianni Laloudakis, Sidhika Balachandar, Brandon M Anderson, Stephan Eismann, Risi Kondor, Russ Altman, Ron O. Dror

While a variety of methods have been developed for predicting molecular properties, deep learning networks that operate directly on three-dimensional molecular structure have recently demonstrated particular promise. In this work we present ATOM3D, a collection of both novel and existing datasets spanning several key c lasses of biomolecules, to systematically assess such learning methods. We devel op three-dimensional molecular learning networks for each of these tasks, finding that they consistently improve performance relative to one- and two-dimensional methods. The specific choice of architecture proves to be critical for perform ance, with three-dimensional convolutional networks excelling at tasks involving complex geometries, while graph networks perform well on systems requiring detailed positional information. Furthermore, equivariant networks show significant promise but are currently unable to scale. Our results indicate many molecular problems stand to gain from three-dimensional molecular learning. All code and datasets are available at github.com/xxxxxxx/xxxxxx.

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Frequency Regularized Deep Convolutional Dictionary Learning and Application to Blind Denoising

Nikola Pavle Janjusevic, Amirhossein Khalilian-Gourtani, Yao Wang

Sparse representation via a learned dictionary is a powerful prior for natural i mages. In recent years, unrolled sparse coding algorithms (e.g. LISTA) have prov en to be useful for constructing interpretable deep-learning networks that perfo rm on par with state-of-the-art models on image-restoration tasks. In this study we are concerned with extending the work of such convolutional dictionary learn ing (CDL) models. We propose to construct strided convolutional dictionaries wit h a single analytic low-pass filter and a set of learned filters regularized to occupy the complementary frequency space. By doing so, we address the necessary modeling assumptions of natural images with respect to convolutional sparse codi ng and reduce the mutual coherence and redundancy of the learned filters. We sho w improved denoising performance at reduced computational complexity when compar ed to other CDL methods, and competitive results when compared to popular deep-l earning models. We further propose to parameterize the thresholds in the soft-th resholding operator of LISTA to be proportional to the estimated noise-variance from an input image. We demonstrate that this parameterization enhances robustne ss to noise-level mismatch between training and inference.

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On the Power of Abstention and Data-Driven Decision Making for Adversarial Robus tness

Nina Balcan, Avrim Blum, Dravyansh Sharma, Hongyang Zhang

We formally define a feature-space attack where the adversary can perturb datapo ints by arbitrary amounts but in restricted directions. By restricting the attac

k to a small random subspace, our model provides a clean abstraction for non-Lip schitz networks which map small input movements to large feature movements. We p rove that classifiers with the ability to abstain are provably more powerful tha n those that cannot in this setting. Specifically, we show that no matter how we 11-behaved the natural data is, any classifier that cannot abstain will be defea ted by such an adversary. However, by allowing abstention, we give a parameteri zed algorithm with provably good performance against such an adversary when clas ses are reasonably well-separated in feature space and the dimension of the feat ure space is high. We further use a data-driven method to set our algorithm para meters to optimize over the accuracy vs. abstention trade-off with strong theore tical guarantees. Our theory has direct applications to the technique of contras tive learning, where we empirically demonstrate the ability of our algorithms to obtain high robust accuracy with only small amounts of abstention in both super vised and self-supervised settings. Our results provide a first formal abstentio n-based gap, and a first provable optimization for the induced trade-off in an a dversarial defense setting.

IsarStep: a Benchmark for High-level Mathematical Reasoning Wenda Li, Lei Yu, Yuhuai Wu, Lawrence C. Paulson

A well-defined benchmark is essential for measuring and accelerating research pr ogress of machine learning models. In this paper, we present a benchmark for hig h-level mathematical reasoning and study the reasoning capabilities of neural se quence-to-sequence models. We build a non-synthetic dataset from the largest rep ository of proofs written by human experts in a theorem prover. The dataset has a broad coverage of undergraduate and research-level mathematical and computer s cience theorems. In our defined task, a model is required to fill in a missing i ntermediate proposition given surrounding proofs. This task provides a starting point for the long-term goal of having machines generate human-readable proofs a utomatically. Our experiments and analysis reveal that while the task is challen ging, neural models can capture non-trivial mathematical reasoning. We further d esign a hierarchical transformer that outperforms the transformer baseline.

HW-NAS-Bench: Hardware-Aware Neural Architecture Search Benchmark Chaojian Li, Zhongzhi Yu, Yonggan Fu, Yongan Zhang, Yang Zhao, Haoran You, Qixuan Yu, Yue Wang, Cong Hao, Yingyan Lin

 ${\tt HardWare-aware\ Neural\ Architecture\ Search\ (HW-NAS)\ has\ recently\ gained\ tremendou}$ s attention by automating the design of deep neural networks deployed in more re source-constrained daily life devices. Despite its promising performance, develo ping optimal HW-NAS solutions can be prohibitively challenging as it requires cr oss-disciplinary knowledge in the algorithm, micro-architecture, and device-spec ific compilation. First, to determine the hardware-cost to be incorporated into the NAS process, existing works mostly adopt either pre-collected hardware-cost look-up tables or device-specific hardware-cost models. The former can be time-c onsuming due to the required knowledge of the device's compilation method and ho w to set up the measurement pipeline, while building the latter is often a barri er for non-hardware experts like NAS researchers. Both of them limit the develop ment of HW-NAS innovations and impose a barrier-to-entry to non-hardware experts . Second, similar to generic NAS, it can be notoriously difficult to benchmark H W-NAS algorithms due to their significant required computational resources and t he differences in adopted search spaces, hyperparameters, and hardware devices. To this end, we develop HW-NAS-Bench, the first public dataset for HW-NAS resear ch which aims to democratize HW-NAS research to non-hardware experts and make HW -NAS research more reproducible and accessible. To design HW-NAS-Bench, we caref ully collected the measured/estimated hardware performance (e.g., energy cost an d latency) of all the networks in the search spaces of both NAS-Bench-201 and FB Net, on six hardware devices that fall into three categories (i.e., commercial e dge devices, FPGA, and ASIC). Furthermore, we provide a comprehensive analysis o f the collected measurements in HW-NAS-Bench to provide insights for HW-NAS rese arch. Finally, we demonstrate exemplary user cases to (1) show that HW-NAS-Bench allows non-hardware experts to perform HW-NAS by simply querying our pre-measur

ed dataset and (2) verify that dedicated device-specific HW-NAS can indeed lead to optimal accuracy-cost trade-offs. The codes and all collected data are availa ble at https://github.com/RICE-EIC/HW-NAS-Bench.

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Factorizing Declarative and Procedural Knowledge in Structured, Dynamical Environments

Anirudh Goyal, Alex Lamb, Phanideep Gampa, Philippe Beaudoin, Charles Blundell, Serge y Levine, Yoshua Bengio, Michael Curtis Mozer

Modeling a structured, dynamic environment like a video game requires keeping tr ack of the objects and their states (declarative knowledge) as well as predictin g how objects behave (procedural knowledge). Black-box models with a monolithic hidden state often fail to apply procedural knowledge consistently and uniformly , i.e., they lack systematicity. For example, in a video game, correct predictio n of one enemy's trajectory does not ensure correct prediction of another's. We address this issue via an architecture that factorizes declarative and procedura 1 knowledge and that imposes modularity within each form of knowledge. The archi tecture consists of active modules called object files that maintain the state o f a single object and invoke passive external knowledge sources called schemata that prescribe state updates. To use a video game as an illustration, two enemie s of the same type will share schemata but will have separate object files to en code their distinct state (e.g., health, position). We propose to use attention to determine which object files to update, the selection of schemata, and the pr opagation of information between object files. The resulting architecture is a d rop-in replacement conforming to the same input-output interface as normal recur rent networks (e.g., LSTM, GRU) yet achieves substantially better generalization on environments that have multiple object tokens of the same type, including a challenging intuitive physics benchmark.

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Function Contrastive Learning of Transferable Representations

Muhammad Waleed Gondal, Shruti Joshi, Nasim Rahaman, Stefan Bauer, Manuel Wuthrich, Bernhard Schölkopf

Few-shot-learning seeks to find models that are capable of fast-adaptation to no vel tasks which are not encountered during training. Unlike typical few-shot lea rning algorithms, we propose a contrastive learning method which is not trained to solve a set of tasks, but rather attempts to find a good representation of the underlying data-generating processes (\emph{functions}). This allows for finding representations which are useful for an entire series of tasks sharing the same function. In particular, our training scheme is driven by the self-supervision signal indicating whether two sets of samples stem from the same underlying function. Our experiments on a number of synthetic and real-world datasets show that the representations we obtain can outperform strong baselines in terms of downstream performance and noise robustness, even when these baselines are trained in an end-to-end manner.

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Optimal Transport Graph Neural Networks

Gary Bécigneul,Octavian-Eugen Ganea,Benson Chen,Regina Barzilay,Tommi S. Jaakkol a

Current graph neural network (GNN) architectures naively average or sum node emb eddings into an aggregated graph representation—potentially losing structural or semantic information. We here introduce OT-GNN, a model that computes graph e mbeddings using parametric prototypes that highlight key facets of different graph aspects. Towards this goal, we are (to our knowledge) the first to successful ly combine optimal transport with parametric graph models. Graph representations are obtained from Wasserstein distances between the set of GNN node embeddings and "prototype" point clouds as free parameters. We theoretically prove that, un like traditional sum aggregation, our function class on point clouds satisfies a fundamental universal approximation theorem. Empirically, we address an inherent collapse optimization issue by proposing a noise contrastive regularizer to st eer the model towards truly exploiting the optimal transport geometry. Finally,

we consistently report better generalization performance on several molecular property prediction tasks, while exhibiting smoother graph representations.

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Provable Rich Observation Reinforcement Learning with Combinatorial Latent State s

Dipendra Misra, Qinghua Liu, Chi Jin, John Langford

We propose a novel setting for reinforcement learning that combines two common r eal-world difficulties: presence of observations (such as camera images) and fac tored states (such as location of objects). In our setting, the agent receives o bservations generated stochastically from a "latent" factored state. These obser vations are "rich enough" to enable decoding of the latent state and remove part ial observability concerns. Since the latent state is combinatorial, the size of state space is exponential in the number of latent factors. We create a learning algorithm FactoRL (Fact-o-Rel) for this setting, which uses noise-contrastive learning to identify latent structures in emission processes and discover a factorized state space. We derive polynomial sample complexity guarantees for Facto RL which polynomially depend upon the number factors, and very weakly depend on the size of the observation space. We also provide a guarantee of polynomial time complexity when given access to an efficient planning algorithm.

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LowKey: Leveraging Adversarial Attacks to Protect Social Media Users from Facial Recognition

Valeriia Cherepanova, Micah Goldblum, Harrison Foley, Shiyuan Duan, John P Dickerson, Gavin Taylor, Tom Goldstein

Facial recognition systems are increasingly deployed by private corporations, go vernment agencies, and contractors for consumer services and mass surveillance p rograms alike. These systems are typically built by scraping social media profiles for user images. Adversarial perturbations have been proposed for bypassing facial recognition systems. However, existing methods fail on full-scale systems and commercial APIs. We develop our own adversarial filter that accounts for the entire image processing pipeline and is demonstrably effective against industrial-grade pipelines that include face detection and large scale databases. A dditionally, we release an easy-to-use webtool that significantly degrades the a ccuracy of Amazon Rekognition and the Microsoft Azure Face Recognition API, reducing the accuracy of each to below 1%.

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Neural Networks for Learning Counterfactual G-Invariances from Single Environments

S Chandra Mouli, Bruno Ribeiro

Despite —or maybe because of— their astonishing capacity to fit data, neural net works are believed to have difficulties extrapolating beyond training data distr ibution. This work shows that, for extrapolations based on finite transformation groups, a model's inability to extrapolate is unrelated to its capacity. Rather, the shortcoming is inherited from a learning hypothesis: Examples not explicit ly observed with infinitely many training examples have underspecified outcomes in the learner's model. In order to endow neural networks with the ability to ex trapolate over group transformations, we introduce a learning framework counterf actually—guided by the learning hypothesis that any group invariance to (known) transformation groups is mandatory even without evidence, unless the learner dee ms it inconsistent with the training data. Unlike existing invariance—driven met hods for (counterfactual) extrapolations, this framework allows extrapolations f rom a single environment. Finally, we introduce sequence and image extrapolation tasks that validate our framework and showcase the shortcomings of traditional approaches.

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Online Limited Memory Neural-Linear Bandits

Tom Zahavy, Ofir Nabati, Leor Cohen, Shie Mannor

We study neural-linear bandits for solving problems where both exploration and r epresentation learning play an important role. Neural-linear bandits leverage th e representation power of deep neural networks and combine it with efficient exp

loration mechanisms, designed for linear contextual bandits, on top of the last hidden layer. Since the representation is optimized during learning, information regarding exploration with "old" features is lost. We propose the first limited memory neural—linear bandit that is resilient to this catastrophic forgetting phenomenon by solving a semi-definite program. We then approximate the semi-definite program using stochastic gradient descent to make the algorithm practical and adjusted for online usage. We perform simulations on a variety of data sets, including regression, classification, and sentiment analysis. In addition, we evaluate our algorithm in a challenging uplink rate-control application. The bandit controls the transmission rates of data segments over cellular links to achieve optimal throughput. We observe that our algorithm achieves superior performance and shows resilience to catastrophic forgetting.

Constructing Multiple High-Quality Deep Neural Networks: A TRUST-TECH Based Approach

Zhiyong Hao, Hsiao-Dong Chiang, Bin Wang

The success of deep neural networks relied heavily on efficient stochastic gradi ent descent-like training methods. However, these methods are sensitive to initi alization and hyper-parameters.

In this paper, a systematical method for finding multiple high-quality local opt imal deep neural networks from a single training session, using the TRUST-TECH (TRansformation Under Stability-reTaining Equilibria Characterization) method, is introduced.

To realize effective TRUST-TECH searches to train deep neural networks on large datasets, a dynamic search paths (DSP) method is proposed to provide an improved search guidance in TRUST-TECH method.

The proposed DSP-TT method is implemented such that the computation graph remain s constant during the search process, with only minor GPU memory overhead and re quires just one training session to obtain multiple local optimal solutions (LOS). To take advantage of these LOSs, we also propose an improved ensemble method. Experiments on image classification datasets show that our method improves the testing performance by a substantial margin. Specifically, our fully-trained DSP-TT ResNet ensmeble improves the SGD baseline by 20\% (CIFAR10) and 15\%(CIFAR100). Furthermore, our method shows several advantages over other ensembling methods.

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Simple Spectral Graph Convolution

Hao Zhu, Piotr Koniusz

Graph Convolutional Networks (GCNs) are leading methods for learning graph repre sentations. However, without specially designed architectures, the performance o f GCNs degrades quickly with increased depth. As the aggregated neighborhood siz e and neural network depth are two completely orthogonal aspects of graph repres entation, several methods focus on summarizing the neighborhood by aggregating K -hop neighborhoods of nodes while using shallow neural networks. However, these methods still encounter oversmoothing, and suffer from high computation and stor age costs. In this paper, we use a modified Markov Diffusion Kernel to derive a variant of GCN called Simple Spectral Graph Convolution (SSGC). Our spectral ana lysis shows that our simple spectral graph convolution used in SSGC is a trade-o ff of low- and high-pass filter bands which capture the global and local context s of each node. We provide two theoretical claims which demonstrate that we can aggregate over a sequence of increasingly larger neighborhoods compared to compe titors while limiting severe oversmoothing. Our experimental evaluations show t hat SSGC with a linear learner is competitive in text and node classification ta sks. Moreover, SSGC is comparable to other state-of-the-art methods for node clu stering and community prediction tasks.

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Regularized Inverse Reinforcement Learning

Wonseok Jeon, Chen-Yang Su, Paul Barde, Thang Doan, Derek Nowrouzezahrai, Joelle Pine au

Inverse Reinforcement Learning (IRL) aims to facilitate a learner's ability to i

mitate expert behavior by acquiring reward functions that explain the expert's d ecisions. Regularized IRLapplies strongly convex regularizers to the learner's p olicy in order to avoid the expert's behavior being rationalized by arbitrary co nstant rewards, also known as degenerate solutions. We propose tractable solutions, and practical methods to obtain them, for regularized IRL. Current methods a re restricted to the maximum-entropy IRL framework, limiting them to Shannon-ent ropy regularizers, as well as proposing solutions that are intractable in practice. We present theoretical backing for our proposed IRL method's applicability to both discrete and continuous controls, empirically validating our performance on a variety of tasks.

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Response Modeling of Hyper-Parameters for Deep Convolutional Neural Networks Mathieu Tuli, Mahdi S. Hosseini, Konstantinos N Plataniotis

Hyper-parameter optimization (HPO) is critical in training high performing Deep Neural Networks (DNN). Current methodologies fail to define an analytical respon se surface and remain a training bottleneck due to their use of additional inter nal hyper-parameters and lengthy evaluation cycles. We demonstrate that the low-rank factorization of the convolution weights of intermediate layers of a CNN can define an analytical response surface. We quantify how this surface acts as an auxiliary to optimizing training metrics. We introduce a dynamic tracking algor ithm -- autoHyper -- that performs HPO on the order of hours for various dataset sincluding ImageNet and requires no manual tuning. Our method -- using a single RTX2080Ti -- is able to select a learning rate within 59 hours for AdaM on ResN et34 applied to ImageNet and improves in testing accuracy by 4.93% over the defa ult learning rate. In contrast to previous methods, we empirically prove that our algorithm and response surface generalize well across model, optimizer, and da taset selection removing the need for extensive domain knowledge to achieve high levels of performance.

Why Convolutional Networks Learn Oriented Bandpass Filters: Theory and Empirical Support

Isma Hadji, Richard Wildes

It has been repeatedly observed that convolutional architectures when applied to image understanding tasks learn oriented bandpass filters. A standard explanati on of this result is that these filters reflect the structure of the images that they have been exposed to during training: Natural images typically are locally composed of oriented contours at various scales and oriented bandpass filters a re matched to such structure. We offer an alternative explanation based not on t he structure of images, but rather on the structure of convolutional architectur es. In particular, complex exponentials are the eigenfunctions of convolution. T hese eigenfunctions are defined globally; however, convolutional architectures o perate locally. To enforce locality, one can apply a windowing function to the eigenfunctions, which leads to oriented bandpass filters as the natural operator s to be learned with convolutional architectures. From a representational point of view, these filters allow for a local systematic way to characterize and oper ate on an image or other signal. We offer empirical support for the hypothesis t hat convolutional networks learn such filters at all of their convolutional laye rs. While previous research has shown evidence of filters having oriented bandpa ss characteristics at early layers, ours appears to be the first study to docume nt the predominance of such filter characteristics at all layers. Previous studi es have missed this observation because they have concentrated on the cumulative compositional effects of filtering across layers, while we examine the filter c haracteristics that are present at each layer.

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Towards Learning to Remember in Meta Learning of Sequential Domains Zhenyi Wang, Tiehang Duan, Donglin Zhan, Changyou Chen

Meta-learning has made rapid progress in past years, with recent extensions made to avoid catastrophic forgetting in the learning process, namely continual meta learning. It is desirable to generalize the meta learner's ability to continuou sly learn in sequential domains, which is largely unexplored to-date. We found to

hrough extensive empirical verification that significant improvement is needed for current continual learning techniques to be applied in the sequent ial domain meta learning setting. To tackle the problem, we adapt existing dynam ic learning rate adaptation techniques to meta learn both model parameters and learning rates. Adaptation on parameters ensures good generalization performance, while adaptation on learning rates is made to avoid

catastrophic forgetting of past domains. Extensive experiments on a sequence of commonly used real-domain data demonstrate the effectiveness of our proposed met hod, outperforming current strong baselines in continual learning. Our code is m ade publicly available online (anonymous)

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Regret Bounds and Reinforcement Learning Exploration of EXP-based Algorithms Mengfan Xu, Diego Klabjan

EXP-based algorithms are often used for exploration in multi-armed bandit. We re visit the EXP3.P algorithm and establish both the lower and upper bounds of regret in the Gaussian multi-armed bandit setting, as well as a more general distribution option. The analyses do not require bounded rewards compared to classical regret assumptions. We also extend EXP4 from multi-armed bandit to reinforcement learning to incentivize exploration by multiple agents. The resulting algorithm has been tested on hard-to-explore games and it shows an improvement on exploration compared to state-of-the-art.

Anatomy of Catastrophic Forgetting: Hidden Representations and Task Semantics Vinay Venkatesh Ramasesh, Ethan Dyer, Maithra Raghu

Catastrophic forgetting is a recurring challenge to developing versatile deep le arning models. Despite its ubiquity, there is limited understanding of its conne ctions to neural network (hidden) representations and task semantics. In this pa per, we address this important knowledge gap. Through quantitative analysis of n eural representations, we find that deeper layers are disproportionately respons ible for forgetting, with sequential training resulting in an erasure of earlier task representational subspaces. Methods to mitigate forgetting stabilize these deeper layers, but show diversity on precise effects, with some increasing feat ure reuse while others store task representations orthogonally, preventing inter ference. These insights also enable the development of an analytic argument and empirical picture relating forgetting to task semantic similarity, where we find that maximal forgetting occurs for task sequences with intermediate similarity.

TaskSet: A Dataset of Optimization Tasks

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Luke Metz, Niru Maheswaranathan, Ruoxi Sun, C. Daniel Freeman, Ben Poole, Jascha Sohl

We present TaskSet, a dataset of tasks for use in training and evaluating optimi zers. TaskSet is unique in its size and diversity, containing over a thousand ta sks ranging from image classification with fully connected or convolutional neur al networks, to variational autoencoders, to non-volume preserving flows on a va riety of datasets. As an example application of such a dataset we explore meta-l earning an ordered list of hyperparameters to try sequentially. By learning this hyperparameter list from data generated using TaskSet we achieve large speedups in sample efficiency over random search. Next we use the diversity of the TaskS et and our method for learning hyperparameter lists to empirically explore the g eneralization of these lists to new optimization tasks in a variety of settings including ImageNet classification with Resnet50 and LM1B language modeling with transformers. As part of this work we have opensourced code for all tasks, as we 11 as ~29 million training curves for these problems and the corresponding hyper parameters.

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On Fast Adversarial Robustness Adaptation in Model-Agnostic Meta-Learning Ren Wang, Kaidi Xu, Sijia Liu, Pin-Yu Chen, Tsui-Wei Weng, Chuang Gan, Meng Wang Model-agnostic meta-learning (MAML) has emerged as one of the most successful me ta-learning techniques in few-shot learning. It enables us to learn a \$\textit{m eta-initialization}\$ of model parameters (that we call \$\textit{meta-model}\$) to

rapidly adapt to new tasks using a small amount of labeled training data. Despi te the generalization power of the meta-model, it remains elusive that how \$\text{tex} tit{adversarial robustness}\$ can be maintained by MAML in few-shot learning. In addition to generalization, robustness is also desired for a meta-model to defen d adversarial examples (attacks). Toward promoting adversarial robustness in MAM L, we first study \$\textit{when}\$ a robustness-promoting regularization should b e incorporated, given the fact that MAML adopts a bi-level (fine-tuning vs. meta -update) learning procedure. We show that robustifying the meta-update stage is sufficient to make robustness adapted to the task-specific fine-tuning stage eve n if the latter uses a standard training protocol. We also make additional justi fication on the acquired robustness adaptation by peering into the interpretabil ity of neurons' activation maps. Furthermore, we investigate \$\textit{how}\$ robu st regularization can \$\textit{efficiently}\$ be designed in MAML. We propose a g eneral but easily-optimized robustness-regularized meta-learning framework, whic h allows the use of unlabeled data augmentation, fast adversarial attack generat ion, and computationally-light fine-tuning. In particular, we for the first time show that the auxiliary contrastive learning task can enhance the adversarial r obustness of MAML. Finally, extensive experiments are conducted to demonstrate t he effectiveness of our proposed methods in robust few-shot learning.

Safety Verification of Model Based Reinforcement Learning Controllers akshita gupta, Inseok Hwang

Model-based reinforcement learning (RL) has emerged as a promising tool for deve loping controllers for real world systems (e.g., robotics, autonomous driving, e tc.). However, real systems often have constraints imposed on their state space which must be satisfied to ensure the safety of the system and its environment. Developing a verification tool for RL algorithms is challenging because the non-linear structure of neural networks impedes analytical verification of such mod els or controllers. To this end, we present a novel safety verification framework for model-based RL controllers using reachable set analysis. The proposed framework can efficiently handle models and controllers which are represented using neural networks. Additionally, if a controller fails to satisfy the safety con straints in general, the proposed framework can also be used to identify the sub set of initial states from which the controller can be safely executed.

Automatic Data Augmentation for Generalization in Reinforcement Learning Roberta Raileanu, Maxwell Goldstein, Denis Yarats, Ilya Kostrikov, Rob Fergus Deep reinforcement learning (RL) agents often fail to generalize beyond their tr aining environments. To alleviate this problem, recent work has proposed the use of data augmentation. However, different tasks tend to benefit from different t ypes of augmentations and selecting the right one typically requires expert know ledge. In this paper, we introduce three approaches for automatically finding an effective augmentation for any RL task. These are combined with two novel regul arization terms for the policy and value function, required to make the use of d ata augmentation theoretically sound for actor-critic algorithms. We evaluate ou r method on the Procgen benchmark which consists of 16 procedurally generated en vironments and show that it improves test performance by 40% relative to standar d RL algorithms. Our approach also outperforms methods specifically designed to improve generalization in RL, thus setting a new state-of-the-art on Procgen. In addition, our agent learns policies and representations which are more robust t o changes in the environment that are irrelevant for solving the task, such as t he background.

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What are the Statistical Limits of Offline RL with Linear Function Approximation  $^{2}$ 

Ruosong Wang, Dean Foster, Sham M. Kakade

Offline reinforcement learning seeks to utilize offline (observational) data to guide the learning of (causal) sequential decision making strategies. The hope is that offline reinforcement learning coupled with function approximation methods (to deal with the curse of dimensionality) can provide a means to help allevia

te the excessive sample complexity burden in modern sequential decision making p roblems. However, the extent to which this broader approach can be effective is not well understood, where the literature largely consists of sufficient conditions.

This work focuses on the basic question of what are necessary representational a nd distributional conditions that permit provable sample-efficient offline reinf orcement learning. Perhaps surprisingly, our main result shows that even if: i) we have realizability in that the true value function of \emph{every} policy is linear in a given set of features and 2) our off-policy data has good coverage over all features (under a strong spectral condition), any algorithm still (info rmation-theoretically) requires a number of offline samples that is exponential in the problem horizon to non-trivially estimate the value of \emph{any} given p olicy. Our results highlight that sample-efficient offline policy evaluation is not possible unless significantly stronger conditions hold; such conditions include either having low distribution shift (where the offline data distribution is close to the distribution of the policy to be evaluated) or significantly stronger representational conditions (beyond realizability).

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WAVEQ: GRADIENT-BASED DEEP QUANTIZATION OF NEURAL NETWORKS THROUGH SINUSOIDAL REGULARIZATION

Ahmed T. Elthakeb, Prannoy Pilligundla, Tarek Elgindi, Fatemehsadat Mireshghallah, Charles-Alban Deledalle, Hadi Esmaeilzadeh

Deep quantization of neural networks below eight bits can lead to superlinear be nefits in storage and compute efficiency. However, homogeneously quantizing all the layers to the same level does not account for the distinction of the layers and their individual properties. Heterogenous assignment of bitwidths to individ ual layers is attractive but opens an exponentially large non-contiguous hyperpa rameter space (\${Available Bitwidths}^{\# Layers}\$). As such finding the bitwidt h while also quantizing the network to those levels becomes a major challenge. T his paper addresses this challenge through a sinusoidal regularization mechanism , dubbed WaveQ. Adding our parametrized sinusoidal regularizer enables us to not only find the quantized weights but also learn the bitwidth of the layers by ma king the period of the sinusoidal regularizer a trainable parameter. In addition , the sinusoidal regularizer itself is designed to align its minima on the quant ization levels. With these two innovations, during training, stochastic gradient descent uses the form of the sinusoidal regularizer and its minima to push the weights to the quantization levels while it is also learning the period which wi ll determine the bitwidth of each layer separately. As such WaveQ is a gradientbased mechanism that jointly learns the quantized weights as well as the heterog eneous bitwidths. We show how WaveQ balance compute efficiency and accuracy, and provide a heterogeneous bitwidth assignment for quantization of a large variety of deep networks (AlexNet, CIFAR-10, MobileNet, ResNet-18, ResNet-20, SVHN, and VGG-11) that virtually preserves the accuracy. WaveQ is versatile and can also be used with predetermined bitwidths by fixing the period of the sinusoidal regu larizer. In this case. WaveQ enhances quantized training algorithms (DoReFa and WRPN) with about 4.8% accuracy improvements on average, and outperforms multiple state-of-the-art techniques. Finally, WaveQ applied to quantizing transformers

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Revisiting BFfloat16 Training

Pedram Zamirai, Jian Zhang, Christopher R Aberger, Christopher De Sa

State-of-the-art generic low-precision training algorithms use a mix of 16-bit a nd 32-bit precision, creating the folklore that 16-bit precision alone is not en ough to maximize model accuracy. As a result, deep learning accelerators are for ced to support both 16-bit and 32-bit compute units which is more costly than on ly using 16-bit units for hardware design. We ask can we do pure 16-bit training which requires only 16-bit compute units, while still matching the model accura cy attained by 32-bit training. Towards this end, we study pure 16-bit training algorithms on the widely adopted BFloat16 compute unit. While these units conven

tionally use nearest rounding to cast output to 16-bit precision, we show that n earest rounding for model weight updates can often cancel small updates, which d egrades the convergence and model accuracy. Motivated by this, we identify two s imple existing techniques, stochastic rounding and the Kahan accumulation, to re medy the model accuracy degradation in pure 16-bit training. We empirically show that these two techniques can enable up to 7% absolute validation accuracy gain in pure 16-bit training. This leads to 0.1% lower to 0.2% higher matching valid ation accuracy compared to 32-bit precision training across seven deep learning applications.

Formal Language Constrained Markov Decision Processes

Eleanor Quint, Dong Xu, Samuel W Flint, Stephen D Scott, Matthew Dwyer

In order to satisfy safety conditions, an agent may be constrained from acting f reely. A safe controller can be designed a priori if an environment is well unde rstood, but not when learning is employed. In particular, reinforcement learned (RL) controllers require exploration, which can be hazardous in safety critical situations. We study the benefits of giving structure to the constraints of a constrained Markov decision process by specifying them in formal languages as a step towards using safety methods from software engineering and controller synthes is. We instantiate these constraints as finite automata to efficiently recognise constraint violations. Constraint states are then used to augment the underlying MDP state and to learn a dense cost function, easing the problem of quickly learning joint MDP/constraint dynamics. We empirically evaluate the effect of these methods on training a variety of RL algorithms over several constraints specified in Safety Gym, MuJoCo, and Atari environments.

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Latent Space Semi-Supervised Time Series Data Clustering Andrew Hill, Katerina Kechris, Russell Bowler, Farnoush Kashani

Time series data is abundantly available in the real world, but there is a distinct lack of large, labeled datasets available for many types of learning tasks. Semi-supervised models, which can leverage small amounts of expert-labeled data along with a larger unlabeled dataset, have been shown to improve performance over unsupervised learning models. Existing semi-supervised time series clustering algorithms suffer from lack of scalability as they are limited to perform learn ing operations within the original data space. We propose an autoencoder-based semi-supervised learning model along with multiple semi-supervised objective functions which can be used to improve the quality of the autoencoder's learned late nt space via the addition of a small number of labeled examples. Experiments on a variety of datasets show that our methods can usually improve k-Means clustering performance. Our methods achieve a maximum average ARI of 0.897, a 140% increase over an unsupervised CAE model. Our methods also achieve a maximum improvement of 44% over a semi-supervised model.

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Bayesian Learning to Optimize: Quantifying the Optimizer Uncertainty Yue Cao, Tianlong Chen, Zhangyang Wang, Yang Shen

Optimizing an objective function with uncertainty awareness is well-known to imp rove the accuracy and confidence of optimization solutions. Meanwhile, another r elevant but very different question remains yet open: how to model and quantify the uncertainty of an optimization algorithm itself? To close such a gap, the pr erequisite is to consider the optimizers as sampled from a distribution, rather than a few pre-defined and fixed update rules. We first take the novel angle to consider the algorithmic space of optimizers, each being parameterized by a neu ral network. We then propose a Boltzmann-shaped posterior over this optimizer sp ace, and approximate the posterior locally as Gaussian distributions through var iational inference. Our novel model, Bayesian learning to optimize (BL2O) is the first study to recognize and quantify the uncertainty of the optimization algorithm. Our experiments on optimizing test functions, energy functions in protein -protein interactions and loss functions in image classification and data privacy attack demonstrate that, compared to state-of-the-art methods, BL2O improves o

ptimization and uncertainty quantification (UQ) in aforementioned problems as we ell as calibration and out-of-domain detection in image classification.

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Overcoming barriers to the training of effective learned optimizers
Luke Metz,Niru Maheswaranathan,C. Daniel Freeman,Ben Poole,Jascha Sohl-Dickstein
In this work we focus on general-purpose learned optimizers capable of training
a wide variety of problems with no user-specified hyperparameters. We introduce
a new, neural network parameterized, hierarchical optimizer with access to addit
ional features such as validation loss to enable automatic regularization. Most
learned optimizers have been trained on only a single task, or a small number of
tasks. We train our optimizers on thousands of tasks, making use of orders of m
agnitude more compute, resulting in optimizers that generalize better to unseen
tasks. The learned optimizers not only perform well, but learn behaviors that ar
e distinct from existing first order optimizers. For instance, they generate upd
ate steps that have implicit regularization and adapt as the problem hyperparame
ters (e.g. batch size) or architecture (e.g. neural network width) change. Final
ly, these learned optimizers show evidence of being useful for out of distributi
on tasks such as training themselves from scratch.

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Multi-Agent Imitation Learning with Copulas

Hongwei Wang, Lantao Yu, Zhangjie Cao, Stefano Ermon

Multi-agent imitation learning aims to train multiple agents to perform tasks fr om demonstrations by learning a mapping between observations and actions, which is essential for understanding physical, social, and team-play systems. However, most existing works on modeling multi-agent interactions typically assume that agents make independent decisions based on their observations, ignoring the comp lex dependence among agents. In this paper, we propose to use copula, a powerful statistical tool for capturing dependence among random variables, to explicitly model the correlation and coordination in multi-agent systems. Our proposed mod el is able to separately learn marginals that capture the local behavioral patterns of each individual agent, as well as a copula function that solely and fully captures the dependence structure among agents. Extensive experiments on synthe tic and real-world datasets show that our model outperforms state-of-the-art bas elines across various scenarios in the action prediction task, and is able to ge nerate new trajectories close to expert demonstrations.

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The geometry of integration in text classification RNNs

Kyle Aitken, Vinay Venkatesh Ramasesh, Ankush Garg, Yuan Cao, David Sussillo, Niru Ma heswaranathan

Despite the widespread application of recurrent neural networks (RNNs), a unifie d understanding of how RNNs solve particular tasks remains elusive. In particul ar, it is unclear what dynamical patterns arise in trained RNNs, and how those p at-terns depend on the training dataset or task. This work addresses these ques tions in the context of text classification, building on earlier work studying t he dynamics of binary sentiment-classification networks (Maheswaranathan et al., 2019). We study text-classification tasks beyond the binary case, exploring th e dynamics of RNNs trained on both natural and synthetic datasets. These dynamic s, which we find to be both interpretable and low-dimensional, share a common me chanism across architectures and datasets: specifically, these text-classificat ion networks use low-dimensional attractor manifolds to accumulate evidence for each class as they process the text. The dimensionality and geometry of the att ractor manifold are determined by the structure of the training dataset, with th e dimensionality reflecting the number of scalar quantities the network remember s in order to classify. In categorical classification, for example, we show that this dimensionality is one less than the number of classes. Correlations in the dataset, such as those induced by ordering, can further reduce the dimensionalit  ${\bf y}$  of the attractor manifold; we show how to predict this reduction using simple word-count statistics computed on the training dataset. To the degree that integ ration of evidence towards a decision is a common computational primitive, this work continues to lay the foundation for using dynamical systems techniques to s

tudy the inner workings of RNNs.

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Decomposing Mutual Information for Representation Learning

Alessandro Sordoni, Nouha Dziri, Hannes Schulz, Geoff Gordon, Remi Tachet des Combes, Philip Bachman

Many self-supervised representation learning methods maximize mutual information (MI) across views. In this paper, we transform each view into a set of subviews and then decompose the original MI bound into a sum of bounds involving conditi onal MI between the subviews. E.g., ~given two views \$x\$ and \$y\$ of the same inpu t example, we can split x into two subviews,  $x^{\rm prime}$  and  $x^{\rm prime}$ }\$, which depend only on \$x\$ but are otherwise unconstrained. The following hold s:  $I(x; y) \neq I(x^{\phi}; y) + I(x^{\phi}; y) + x^{\phi}; y$ due to the chain rule and information processing inequality. By maximizing both terms in the decomposition, our approach explicitly rewards the encoder for any information about \$y\$ which it extracts from \$x^{\prime\prime}\$, and for informa tion about \$y\$ extracted from \$x^{\prime}\$ in excess of the information from \$x^ {\prime\prime}\$. We provide a novel contrastive lower-bound on conditional MI, t hat relies on sampling contrast sets from  $p(y|x^{\min})$ . By decomposin g the original MI into a sum of increasingly challenging MI bounds between sets of increasingly informed views, our representations can capture more of the tota l information shared between the original views. We empirically test the method in a vision domain and for dialogue generation.

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Behavioral Cloning from Noisy Demonstrations

Fumihiro Sasaki, Ryota Yamashina

We consider the problem of learning an optimal expert behavior policy given nois y demonstrations that contain observations from both optimal and non-optimal exp ert behaviors. Popular imitation learning algorithms, such as generative adversa rial imitation learning, assume that (clear) demonstrations are given from optim al expert policies but not the non-optimal ones, and thus often fail to imitate the optimal expert behaviors given the noisy demonstrations. Prior works that ad dress the problem require (1) learning policies through environment interactions in the same fashion as reinforcement learning, and (2) annotating each demonstr ation with confidence scores or rankings. However, such environment interactions and annotations in real-world settings take impractically long training time an d a significant human effort. In this paper, we propose an imitation learning al gorithm to address the problem without any environment interactions and annotati ons associated with the non-optimal demonstrations. The proposed algorithm learn s ensemble policies with a generalized behavioral cloning (BC) objective functio n where we exploit another policy already learned by BC. Experimental results sh ow that the proposed algorithm can learn behavior policies that are much closer to the optimal policies than ones learned by BC.

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Human-interpretable model explainability on high-dimensional data Damien de Mijolla, Christopher Frye, Markus Kunesch, John Mansir, Ilya Feige The importance of explainability in machine learning continues to grow, as both neural-network architectures and the data they model become increasingly complex . Unique challenges arise when a model's input features become high-dimensional: on one hand, principled model-agnostic approaches to explainability become too computationally expensive; on the other, more efficient explainability algorithm s lack natural interpretations for general users. In this work, we introduce a f ramework for human-interpretable explainability on high-dimensional data, consis ting of two modules. First, we apply a semantically-meaningful latent representa tion, both to reduce the raw dimensionality of the data, and to ensure its human interpretability. These latent features can be learnt, e.g. explicitly as disen tangled representations or implicitly through image-to-image translation, or the y can be based on any computable quantities the user chooses. Second, we adapt t he Shapley paradigm for model-agnostic explainability to operate on these latent features. This leads to interpretable model explanations that are both theoreti cally controlled and computationally tractable. We benchmark our approach on syn

thetic data and demonstrate its effectiveness on several image-classification ta

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SSW-GAN: Scalable Stage-wise Training of Video GANs

Lluis Castrejon, Nicolas Ballas, Aaron Courville

Current state-of-the-art generative models for videos have high computational re quirements that impede high resolution generations beyond a few frames. In this work we propose a stage-wise strategy to train Generative Adversarial Networks (GANs) for videos. We decompose the generative process to first produce a downsa mpled video that is then spatially upscaled and temporally interpolated by subse quent stages. Upsampling stages are applied locally on temporal chunks of previo us outputs to manage the computational complexity. Stages are defined as Generat ive Adversarial Networks, which are trained sequentially and independently. We validate our approach on Kinetics-600 and BDD100K, for which we train a three stage model capable of generating 128x128 videos with 100 frames.

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Towards Robust Neural Networks via Close-loop Control

Zhuotong Chen, Qianxiao Li, Zheng Zhang

Despite their success in massive engineering applications, deep neural networks are vulnerable to various perturbations due to their black-box nature. Recent st udy has shown that a deep neural network can misclassify the data even if the in put data is perturbed by an imperceptible amount. In this paper, we address the robustness issue of neural networks by a novel close-loop control method from th e perspective of dynamic systems. Instead of modifying the parameters in a fixed neural network architecture, a close-loop control process is added to generate control signals adaptively for the perturbed or corrupted data. We connect the r obustness of neural networks with optimal control using the geometrical informat ion of underlying data to design the control objective. The detailed analysis sh ows how the embedding manifolds of state trajectory affect error estimation of t he proposed method. Our approach can simultaneously maintain the performance on clean data and improve the robustness against many types of data perturbations. It can also further improve the performance of robustly trained neural networks against different perturbations. To the best of our knowledge, this is the first work that improves the robustness of neural networks with close-loop control.

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Projected Latent Markov Chain Monte Carlo: Conditional Sampling of Normalizing F lows

Chris Cannella, Mohammadreza Soltani, Vahid Tarokh

We introduce Projected Latent Markov Chain Monte Carlo (PL-MCMC), a technique for sampling from the exact conditional distributions learned by normalizing flows. As a conditional sampling method, PL-MCMC enables Monte Carlo Expectation Maximization (MC-EM) training of normalizing flows from incomplete data. Through experimental tests applying normalizing flows to missing data tasks for a variety of data sets, we demonstrate the efficacy of PL-MCMC for conditional sampling from normalizing flows.

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Network Architecture Search for Domain Adaptation

Yichen Li, Xingchao Peng

Deep networks have been used to learn transferable representations for domain ad aptation. Existing deep domain adaptation methods systematically employ popular hand-crafted networks designed specifically for image-classification tasks, lead ing to sub-optimal domain adaptation performance. In this paper, we present Neur al Architecture Search for Domain Adaptation (NASDA), a principle framework that leverages differentiable neural architecture search to derive the optimal network architecture for domain adaptation task. NASDA is designed with two novel training strategies: neural architecture search with multi-kernel Maximum Mean Disc repancy to derive the optimal architecture, and adversarial training between a feature generator and a batch of classifiers to consolidate the feature generator. We demonstrate experimentally that NASDA leads to state-of-the-art performance on several domain adaptation benchmarks.

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How Does Mixup Help With Robustness and Generalization? Linjun Zhang, Zhun Deng, Kenji Kawaguchi, Amirata Ghorbani, James Zou

Mixup is a popular data augmentation technique based on on convex combinations of pairs of examples and their labels. This simple technique has shown to substantially improve both the model's robustness as well as the generalization of the trained model. However, it is not well-understood why such improvement occurs. In this paper, we provide theoretical analysis to demonstrate how using Mixup in training helps model robustness and generalization. For robustness, we show that t minimizing the Mixup loss corresponds to approximately minimizing an upper bound of the adversarial loss. This explains why models obtained by Mixup training exhibits robustness to several kinds of adversarial attacks such as Fast Gradient Sign Method (FGSM). For generalization, we prove that Mixup augmentation corresponds to a specific type of data-adaptive regularization which reduces overfitting. Our analysis provides new insights and a framework to understand Mixup.

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Understanding the failure modes of out-of-distribution generalization Vaishnavh Nagarajan, Anders Andreassen, Behnam Neyshabur

Empirical studies suggest that machine learning models often rely on features, s uch as the background, that may be spuriously correlated with the label only dur ing training time, resulting in poor accuracy during test-time. In this work, we identify the fundamental factors that give rise to this behavior, by explaining why models fail this way even in easy-to-learn tasks where one would expect the se models to succeed. In particular, through a theoretical study of gradient-des cent-trained linear classifiers on some easy-to-learn tasks, we uncover two comp lementary failure modes. These modes arise from how spurious correlations induce two kinds of skews in the data: one geometric in nature and another, statistica l. Finally, we construct natural modifications of image classification datasets to understand when these failure modes can arise in practice. We also design exp eriments to isolate the two failure modes when training modern neural networks on these datasets.

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CTRLsum: Towards Generic Controllable Text Summarization

Junxian He, Wojciech Maciej Kryscinski, Bryan McCann, Nazneen Rajani, Caiming Xiong Current summarization systems yield generic summaries that are disconnected from users' preferences and expectations. To address this limitation, we present CTR Lsum, a novel framework for controllable summarization. Our approach enables use rs to control multiple aspects of generated summaries by interacting with the su mmarization system through textual input in the form of a set of keywords or des criptive prompts. Using a single unified model, CTRLsum is able to achieve a bro ad scope of summary manipulation at inference time without requiring additional human annotations or pre-defining a set of control aspects during training. We quantitatively demonstrate the effectiveness of our approach on three domains of summarization datasets and five control aspects: 1) entity-centric and 2) length -controllable summarization, 3) contribution summarization on scientific papers, 4) invention purpose summarization on patent filings, and 5) question-guided summarization on news articles in a reading comprehension setting. Moreover, when used in a standard, uncontrolled summarization setting, CTRLsum achieves state-o

Search Data Structure Learning

Mathieu Duchesneau, Hansenclever Bassani, Alain Tapp

In our modern world, an enormous amount of data surrounds us, and we are rarely interested in more than a handful of data points at once. It is like searching f or needles in a haystack, and in many cases, there is no better algorithm than a random search, which might not be viable. Previously proposed algorithms for efficient database access are made for particular applications such as finding the min/max, finding all points within a range or finding the k-nearest neighbours. Consequently, there is a lack of versatility concerning what we can search when

it comes to a gigantic database. In this work, we propose Search Data Structure Learning (SDSL), a generalization of the standard Search Data Structure (SDS) in which the machine has to learn how to search in the database. To evaluate approaches in this field, we propose a novel metric called Sequential Search Work Ratio (SSWR), a natural way of measuring a search's efficiency and quality. Finally, we inaugurate the field with the Efficient Learnable Binary Access (ELBA), a family of models for Search Data Structure Learning. It requires a means to train two parametric functions and a search data structure for binary codes. For the training, we developed a novel loss function, the F-beta Loss. For the SDS, we describe the Multi-Bernoulli Search (MBS), a novel approach for probabilistic binary codes. Finally, we exhibit the F-beta Loss and the MBS synergy by experimentally showing that it is at least twice as better than using the alternative loss functions of MIHash and HashNet and twenty times better than with another SDS based on the Hamming radius.

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Usable Information and Evolution of Optimal Representations During Training Michael Kleinman, Alessandro Achille, Daksh Idnani, Jonathan Kao

We introduce a notion of usable information contained in the representation lear ned by a deep network, and use it to study how optimal representations for the t ask emerge during training. We show that the implicit regularization coming from training with Stochastic Gradient Descent with a high learning-rate and small b atch size plays an important role in learning minimal sufficient representations for the task. In the process of arriving at a minimal sufficient representation, we find that the content of the representation changes dynamically during training. In particular, we find that semantically meaningful but ultimately irrelev ant information is encoded in the early transient dynamics of training, before being later discarded. In addition, we evaluate how perturbing the initial part of training impacts the learning dynamics and the resulting representations. We show these effects on both perceptual decision-making tasks inspired by neuroscie nce literature, as well as on standard image classification tasks.

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NNGeometry: Easy and Fast Fisher Information Matrices and Neural Tangent Kernels in PyTorch

Thomas George

Fisher Information Matrices (FIM) and Neural Tangent Kernels (NTK) are useful to ols in a number of diverse applications related to neural networks. Yet these th eoretical tools are often difficult to implement using current libraries for practical size networks, given that they require per-example gradients, and a large amount of memory since they scale as the number of parameters (for the FIM) or the number of examples x cardinality of the output space (for the NTK). NNGeomet ry is a PyTorch library that offers a simple interface for computing various lin ear algebra operations such as matrix-vector products, trace, frobenius norm, and so on, where the matrix is either the FIM or the NTK, leveraging recent advances in approximating these matrices. We here present the library and motivate our design choices, then we demonstrate it on actual deep neural networks.

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Adaptive Extra-Gradient Methods for Min-Max Optimization and Games Kimon Antonakopoulos, Veronica Belmega, Panayotis Mertikopoulos

We present a new family of min-max optimization algorithms that automatically ex ploit the geometry of the gradient data observed at earlier iterations to perfor m more informative extra-gradient steps in later ones.

Thanks to this adaptation mechanism, the proposed method automatically detects w hether the problem is smooth or not, without requiring any prior tuning by the o ptimizer.

As a result, the algorithm simultaneously achieves order-optimal convergence rat es, \ie it converges to an  $\alpha = \infty$  solution within  $\alpha = 0$  (1 /\varepsilon)\$ iterations in smooth problems, and within  $\alpha = 0$  (1/\varepsilon^2)\$ iterations in non-smooth ones. Importantly, these guarantees do not require any of the standard boundedness or Lipschitz continuity conditions that are typically assumed in the literature; in particular, they apply even to problems

with singularities (such as resource allocation problems and the like). This ada ptation is achieved through the use of a geometric apparatus based on Finsler me trics and a suitably chosen mirror-prox template that allows us to derive sharp convergence rates for the methods at hand.

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Emergent Symbols through Binding in External Memory Taylor Whittington Webb, Ishan Sinha, Jonathan Cohen

A key aspect of human intelligence is the ability to infer abstract rules direct ly from high-dimensional sensory data, and to do so given only a limited amount of training experience. Deep neural network algorithms have proven to be a power ful tool for learning directly from high-dimensional data, but currently lack th is capacity for data-efficient induction of abstract rules, leading some to argu e that symbol-processing mechanisms will be necessary to account for this capaci ty. In this work, we take a step toward bridging this gap by introducing the Eme rgent Symbol Binding Network (ESBN), a recurrent network augmented with an exter nal memory that enables a form of variable-binding and indirection. This binding mechanism allows symbol-like representations to emerge through the learning pro cess without the need to explicitly incorporate symbol-processing machinery, ena bling the ESBN to learn rules in a manner that is abstracted away from the parti cular entities to which those rules apply. Across a series of tasks, we show that t this architecture displays nearly perfect generalization of learned rules to n ovel entities given only a limited number of training examples, and outperforms a number of other competitive neural network architectures.

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Online Learning of Graph Neural Networks: When Can Data Be Permanently Deleted Lukas Paul Achatius Galke, Benedikt Franke, Tobias Zielke, Ansgar Scherp Online learning of graph neural networks (GNNs) faces the challenges of distribution shift and ever growing and changing training data, when temporal graphs evolve over time. This makes it inefficient to train over the complete graph whenever new data arrives. Deleting old data at some point in time may be preferable to maintain a good performance and to account for distribution shift. We systematically analyze these issues by incrementally training and evaluating GNNs in a sliding window over temporal graphs. We experiment with three representative GNN architectures and two scalable GNN techniques, on three new datasets. In our experiments, the GNNs face the challenge that new vertices, edges, and even classes appear and disappear over time. Our results show that no more than 50% of the GNN's receptive field is necessary to retain at least 95% accuracy compared to training over a full graph. In most cases, i.e., 14 out 18 experiments, we even observe that a temporal window of size 1 is sufficient to retain at least 90%.

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Optimistic Policy Optimization with General Function Approximations Qi Cai, Zhuoran Yang, Csaba Szepesvari, Zhaoran Wang

Although policy optimization with neural networks has a track record of achievin g state-of-the-art results in reinforcement learning on various domains, the the oretical understanding of the computational and sample efficiency of policy optimization remains restricted to linear function approximations with finite-dimens ional feature representations, which hinders the design of principled, effective, and efficient algorithms. To this end, we propose an optimistic model-based policy optimization algorithm, which allows general function approximations while incorporating~exploration. In the episodic setting, we establish a  $\$  qret that scales polynomially in the eluder dimension of the general model class. Here \$T\$ is the number of steps taken by the agent. In particular, we specialize such a regret to handle two nonparametric model classes; one based on reproducing kernel Hilbert spaces and another based on overparameterized neural network

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Shapley explainability on the data manifold

Christopher Frye, Damien de Mijolla, Tom Begley, Laurence Cowton, Megan Stanley, Ilya Feige

Explainability in AI is crucial for model development, compliance with regulatio

n, and providing operational nuance to predictions. The Shapley framework for explainability attributes a model's predictions to its input features in a mathema tically principled and model-agnostic way. However, general implementations of Shapley explainability make an untenable assumption: that the model's features are uncorrelated. In this work, we demonstrate unambiguous drawbacks of this assum ption and develop two solutions to Shapley explainability that respect the data manifold. One solution, based on generative modelling, provides flexible access to data imputations; the other directly learns the Shapley value-function, providing performance and stability at the cost of flexibility. While "off-manifold" Shapley values can (i) give rise to incorrect explanations, (ii) hide implicit model dependence on sensitive attributes, and (iii) lead to unintelligible explanations in higher-dimensional data, on-manifold explainability overcomes these problems.

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Learning Lagrangian Fluid Dynamics with Graph Neural Networks Zijie Li, Amir Barati Farimani

We present a data-driven model for fluid simulation under Lagrangian representat ion. Our model uses graphs to describe the fluid field, where physical quantities are encoded as node and edge features. Instead of directly predicting the acceleration or position correction given the current state, we decompose the simulation scheme into separate parts - advection, collision, and pressure projection. For these different reasoning tasks, we propose two kinds of graph neural network structures, node-focused networks, and edge-focused networks. By introducing physics prior knowledge, our model can be efficient in terms of training and inference. Our tests show that the learned model can produce accurate results and remain stable in scenarios with a large amount of particles and different geometries. Unlike many previous works, further tests demonstrate that our model is able to retain many important physical properties of incompressible fluids, such as minor divergence and reasonable pressure distribution. Additionally, our model can adopt a range of time step sizes different from ones using in the training set, which indicates its robust generalization capability.

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Reinforcement Learning with Random Delays

Yann Bouteiller, Simon Ramstedt, Giovanni Beltrame, Christopher Pal, Jonathan Binas Action and observation delays commonly occur in many Reinforcement Learning applications, such as remote control scenarios. We study the anatomy of randomly delayed environments, and show that partially resampling trajectory fragments in hindsight allows for off-policy multi-step value estimation. We apply this principale to derive Delay-Correcting Actor-Critic (DCAC), an algorithm based on Soft Actor-Critic with significantly better performance in environments with delays. The is is shown theoretically and also demonstrated practically on a delay-augmented version of the MuJoCo continuous control benchmark.

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On Proximal Policy Optimization's Heavy-Tailed Gradients

Saurabh Garg, Joshua Zhanson, Emilio Parisotto, Adarsh Prasad, J Zico Kolter, Zachary Chase Lipton, Sivaraman Balakrishnan, Ruslan Salakhutdinov, Pradeep Kumar Ravikuma r

Modern policy gradient algorithms, notably Proximal Policy Optimization (PPO), r ely on an arsenal of heuristics, including loss clipping and gradient clipping, to ensure successful learning. These heuristics are reminiscent of techniques fr om robust statistics, commonly used for estimation in outlier-rich ("heavy-taile d") regimes. In this paper, we present a detailed empirical study to characteriz e the heavy-tailed nature of the gradients of the PPO surrogate reward function.

We demonstrate pronounced heavy-tailedness of the gradients, specifically for the actor network, which increases as the current policy diverges from the behavioral one (i.e., as the agent goes further off policy). Further examination implicates the likelihood ratios and advantages in the surrogate reward as the main sources to the observed heavy-tailedness. Subsequently, we study the effects of

the standard PPO clipping heuristics, demonstrating how these tricks primarily s erve to offset heavy-tailedness in gradients. Motivated by these connections, we propose incorporating GMOM (a high-dimensional robust estimator) into PPO as a substitute for three clipping tricks, achieving performance close to PPO (with a ll heuristics enabled) on a battery of MuJoCo continuous control tasks.

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Individually Fair Gradient Boosting

Alexander Vargo, Fan Zhang, Mikhail Yurochkin, Yuekai Sun

We consider the task of enforcing individual fairness in gradient boosting. Grad ient boosting is a popular method for machine learning from tabular data, which arise often in applications where algorithmic fairness is a concern. At a high l evel, our approach is a functional gradient descent on a (distributionally) robu st loss function that encodes our intuition of algorithmic fairness for the ML t ask at hand. Unlike prior approaches to individual fairness that only work with smooth ML models, our approach also works with non-smooth models such as decision trees. We show that our algorithm converges globally and generalizes. We also demonstrate the efficacy of our algorithm on three ML problems susceptible to algorithmic bias.

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Shape or Texture: Understanding Discriminative Features in CNNs Md Amirul Islam, Matthew Kowal, Patrick Esser, Sen Jia, Björn Ommer, Konstantinos G. Derpanis, Neil Bruce

Contrasting the previous evidence that neurons in the later layers of a Convolut ional Neural Network (CNN) respond to complex object shapes, recent studies have shown that CNNs actually exhibit a 'texture bias': given an image with both tex ture and shape cues (e.g., a stylized image), a CNN is biased towards predicting the category corresponding to the texture. However, these previous studies cond uct experiments on the final classification output of the network, and fail to r obustly evaluate the bias contained (i) in the latent representations, and (ii) on a per-pixel level. In this paper, we design a series of experiments that over come these issues. We do this with the goal of better understanding what type of shape information contained in the network is discriminative, where shape infor mation is encoded, as well as when the network learns about object shape during training. We show that a network learns the majority of overall shape informatio n at the first few epochs of training and that this information is largely encod ed in the last few layers of a CNN. Finally, we show that the encoding of shape does not imply the encoding of localized per-pixel semantic information. The exp erimental results and findings provide a more accurate understanding of the beha viour of current CNNs, thus helping to inform future design choices.

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Learning to Reason in Large Theories without Imitation

Kshitij Bansal, Christian Szegedy, Markus Norman Rabe, Sarah M. Loos, Viktor Toman In this paper, we demonstrate how to do automated higher-order logic theorem pro ving in the presence of a large knowledge base of potential premises without lea rning from human proofs. We augment the exploration of premises based on a simple tf-idf (term frequency-inverse document frequency) based lookup in a deep rein forcement learning scenario. Our experiments show that our theorem prover trained with this exploration mechanism but no human proofs, dubbed DeepHOL Zero, outperforms provers that are trained only on human proofs. It approaches the perform ance of a prover trained by a combination of imitation and reinforcement learning. We perform multiple experiments to understand the importance of the underlying assumptions that make our exploration approach work, thus explaining our design shoized.

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NOVAS: Non-convex Optimization via Adaptive Stochastic Search for End-to-end Learning and Control

Ioannis Exarchos, Marcus Aloysius Pereira, Ziyi Wang, Evangelos Theodorou

In this work we propose the use of adaptive stochastic search as a building block for general, non-convex optimization operations within deep neural network architectures. Specifically, for an objective function located at some layer in the

network and parameterized by some network parameters, we employ adaptive stocha stic search to perform optimization over its output. This operation is different iable and does not obstruct the passing of gradients during backpropagation, thus enabling us to incorporate it as a component in end-to-end learning. We study the proposed optimization module's properties and benchmark it against two existing alternatives on a synthetic energy-based structured prediction task, and fur ther showcase its use in stochastic optimal control applications.

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PolyRetro: Few-shot Polymer Retrosynthesis via Domain Adaptation Binghong Chen, Chengtao Li, Hanjun Dai, Rampi Ramprasad, Le Song

Polymers appear everywhere in our daily lives -- fabrics, plastics, rubbers, etc . -- and we could hardly live without them. To make polymers, chemists develop processes that combine smaller building blocks~(monomers) to form long chains or  $\verb|complex| networks-(polymers)|. These processes are called polymerizations and will$ 1 usually take lots of human efforts to develop. Although machine learning model s for small molecules have generated lots of promising results, the prediction p roblem for polymerization is new and suffers from the scarcity of polymerization datasets available in the field. Furthermore, the problem is made even more cha llenging by the large size of the polymers and the additional recursive constrai nts, which are not present in the small molecule problem. In this paper, we make an initial step towards this challenge and propose a learning-based search fram ework that can automatically identify a sequence of reactions that lead to the p olymerization of a target polymer with minimal polymerization data involved. Our method transfers models trained on small molecule datasets for retrosynthesis t o check the validity of polymerization reaction. Furthermore, our method also in corporates a template prior learned on a limited amount of polymer data into the framework to adapt the model from small molecule to the polymer domain. We demo nstrate that our method is able to propose high-quality polymerization plans for a dataset of 52 real-world polymers, of which a significant portion successfull y recovers the currently-in-used polymerization processes in the real world.

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SenSeI: Sensitive Set Invariance for Enforcing Individual Fairness Mikhail Yurochkin, Yuekai Sun

In this paper, we cast fair machine learning as invariant machine learning. We first formulate a version of individual fairness that enforces invariance on cert ain sensitive sets. We then design a transport-based regularizer that enforces this version of individual fairness and develop an algorithm to minimize the regularizer efficiently. Our theoretical results guarantee the proposed approach trains certifiably fair ML models. Finally, in the experimental studies we demonstrate improved fairness metrics in comparison to several recent fair training procedures on three ML tasks that are susceptible to algorithmic bias.

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Theoretical Analysis of Self-Training with Deep Networks on Unlabeled Data Colin Wei, Kendrick Shen, Yining Chen, Tengyu Ma

Self-training algorithms, which train a model to fit pseudolabels predicted by a nother previously-learned model, have been very successful for learning with unl abeled data using neural networks. However, the current theoretical understandin g of self-training only applies to linear models. This work provides a unified t heoretical analysis of self-training with deep networks for semi-supervised lear ning, unsupervised domain adaptation, and unsupervised learning. At the core of our analysis is a simple but realistic "expansion" assumption, which states that a low-probability subset of the data must expand to a neighborhood with large p robability relative to the subset. We also assume that neighborhoods of examples in different classes have minimal overlap. We prove that under these assumption s, the minimizers of population objectives based on self-training and input-cons istency regularization will achieve high accuracy with respect to ground-truth 1 abels. By using off-the-shelf generalization bounds, we immediately convert this result to sample complexity guarantees for neural nets that are polynomial in t he margin and Lipschitzness. Our results help explain the empirical successes of recently proposed self-training algorithms which use input consistency regulari

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Offline policy selection under Uncertainty

Mengjiao Yang, Bo Dai, Ofir Nachum, George Tucker, Dale Schuurmans

The presence of uncertainty in policy evaluation significantly complicates the process of policy ranking and selection in real-world settings. We formally cons ider offline policy selection as learning preferences over a set of policy prosp ects given a fixed experience dataset. While one can select or rank policies bas ed on point estimates of their policy values or high-confidence intervals, acces s to the full distribution over one's belief of the policy value enables more fl exible selection algorithms under a wider range of downstream evaluation metrics . We propose BayesDICE for estimating this belief distribution in terms of poste riors of distribution correction ratios derived from stochastic constraints (as opposed to explicit likelihood, which is not available). Empirically, BayesDICE is highly competitive to existing state-of-the-art approaches in confidence inte rval estimation. More importantly, we show how the belief distribution estimated by BayesDICE may be used to rank policies with respect to any arbitrary downstr eam policy selection metric, and we empirically demonstrate that this selection procedure significantly outperforms existing approaches, such as ranking policie s according to mean or high-confidence lower bound value estimates.

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## Negative Data Augmentation

Abhishek Sinha, Kumar Ayush, Jiaming Song, Burak Uzkent, Hongxia Jin, Stefano Ermon Data augmentation is often used to enlarge datasets with synthetic samples gener ated in accordance with the underlying data distribution. To enable a wider rang e of augmentations, we explore negative data augmentation strategies (NDA) that intentionally create out-of-distribution samples. We show that such negative out -of-distribution samples provide information on the support of the data distribu and can be leveraged for generative modeling and representation learning. We introduce a new GAN training objective where we use NDA as an additional sou rce of synthetic data for the discriminator. We prove that under suitable condit ions, optimizing the resulting objective still recovers the true data distributi on but can directly bias the generator towards avoiding samples that lack the de sired structure. Empirically, models trained with our method achieve improved co nditional/unconditional image generation along with improved anomaly detection c apabilities. Further, we incorporate the same negative data augmentation strateg y in a contrastive learning framework for self-supervised representation learnin g on images and videos, achieving improved performance on downstream image class ification, object detection, and action recognition tasks. These results suggest that prior knowledge on what does not constitute valid data is an effective for m of weak supervision across a range of unsupervised learning tasks.

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Boundary Effects in CNNs: Feature or Bug?

Md Amirul Islam, Matthew Kowal, Sen Jia, Konstantinos G. Derpanis, Neil Bruce Recent studies have shown that the addition of zero padding drives convolutional neural networks (CNNs) to encode a significant amount of absolute position info rmation in their internal representations, while a lack of padding precludes pos ition encoding. Additionally, various studies have used image patches on backgro und canvases (e.g., to accommodate that inputs to CNNs must be rectangular) with out consideration that different backgrounds may contain varying levels of posit ion information according to their color. These studies give rise to deeper ques tions about the role of boundary information in CNNs, that are explored in this paper: (i) What boundary heuristics (e.g., padding type, canvas color) enable op timal encoding of absolute position information for a particular downstream task ?; (ii) Where in the latent representations do boundary effects destroy semantic and location information?; (iii) Does encoding position information affect the learning of semantic representations?; (iv) Does encoding position information a lways improve performance? To provide answers to these questions, we perform the largest case study to date on the role that padding and border heuristics play in CNNs. We first show that zero padding injects optimal position information in to CNNs relative to other common padding types. We then design a series of novel tasks which allow us to accurately quantify boundary effects as a function of the distance to the border. A number of semantic objectives reveal the destructive effect of dealing with the border on semantic representations. Further, we demonstrate that the encoding of position information improves separability of lear ned semantic features. Finally, we demonstrate the implications of these findings on a number of real-world tasks to show that position information can act as a feature or a buq.

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Molecule Optimization by Explainable Evolution

Binghong Chen, Tianzhe Wang, Chengtao Li, Hanjun Dai, Le Song

Optimizing molecules for desired properties is a fundamental yet challenging tas k in chemistry, material science, and drug discovery. This paper develops a nove l algorithm for optimizing molecular properties via an Expectation-Maximization (EM) like explainable evolutionary process. The algorithm is designed to mimic h uman experts in the process of searching for desirable molecules and alternate b etween two stages: the first stage on explainable local search which identifies rationales, i.e., critical subgraph patterns accounting for desired molecular pr operties, and the second stage on molecule completion which explores the larger space of molecules containing good rationales. We test our approach against various baselines on a real-world multi-property optimization task where each method is given the same number of queries to the property oracle. We show that our evolution-by-explanation algorithm is 79% better than the best baseline in terms of a generic metric combining aspects such as success rate, novelty, and diversity. Human expert evaluation on optimized molecules shows that 60% of top molecules obtained from our methods are deemed successful.

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Learning to Plan Optimistically: Uncertainty-Guided Deep Exploration via Latent Model Ensembles

Tim Seyde, Wilko Schwarting, Sertac Karaman, Daniela Rus

Learning complex behaviors through interaction requires coordinated long-term pl anning. Random exploration and novelty search lack task-centric guidance and was te effort on non-informative interactions. Instead, decision making should targe t samples with the potential to optimize performance far into the future, while only reducing uncertainty where conducive to this objective. This paper presents latent optimistic value exploration (LOVE), a strategy that enables deep explor ation through optimism in the face of uncertain long-term rewards. We combine fi nite-horizon rollouts from a latent model with value function estimates to predict infinite-horizon returns and recover associated uncertainty through ensembling. Policy training then proceeds on an upper confidence bound (UCB) objective to identify and select the interactions most promising to improve long-term performance. We apply LOVE to continuous visual control tasks and demonstrate improved sample complexity on a selection of benchmarking tasks.

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Consistent Instance Classification for Unsupervised Representation Learning Depu Meng, Zigang Geng, Zhirong Wu, Bin Xiao, Houqiang Li, Jingdong Wang In this paper, we address the problem of learning the representations from image s without human annotations. We study the instance classification solution, whic h regards each instance as a category, and improve the optimization and feature quality. The proposed consistent instance classification (ConIC) approach simult aneously optimizes the classification loss and an additional consistency loss ex plicitly penalizing the feature dissimilarity between the augmented views from t he same instance. The benefit of optimizing the consistency loss is that the lea rned features for augmented views from the same instance are more compact and ac cordingly the classification loss optimization becomes easier, thus boosting the quality of the learned representations. This differs from InstDisc and MoCo tha t use an estimated prototype as the classifier weight to ease the optimization. Different from SimCLR that directly compares different instances, our approach d oes not require large batch size. Experimental results demonstrate competitive p erformance for linear evaluation and better performance than InstDisc, MoCo and

SimCLR at downstream tasks, such as detection and segmentation, as well as competitive or superior performance compared to other methods with stronger training setting.

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GraphLog: A Benchmark for Measuring Logical Generalization in Graph Neural Networks

Koustuv Sinha, Shagun Sodhani, Joelle Pineau, William L. Hamilton

Relational inductive biases have a key role in building learning agents that can generalize and reason in a compositional manner. While relational learning algo rithms such as graph neural networks (GNNs) show promise, we do not understand their effectiveness to adapt to new tasks. In this work, we study the logical generalization capabilities of GNNs by designing a benchmark suite grounded in firstorder logic. Our benchmark suite, GraphLog, requires that learning algorithms perform rule induction in different synthetic logics, represented as knowledge graphs.

GraphLog consists of relation prediction tasks on 57 distinct procedurally gener ated logical worlds. We use GraphLog to evaluate GNNs in three different setups: single-task supervised learning, multi-task (with pretraining), and continual learning. Unlike previous benchmarks, GraphLog enables us to precisely control the logical relationship between the different worlds by controlling the underlying first-order logic rules. We find that models' ability to generalize and adapt strongly correlates to the availability of diverse sets of logical rules during multi-task training. We also find the severe catastrophic forgetting effect in continual learning scenarios, and GraphLog provides a precise mechanism to control the distribution shift. Overall, our results highlight new challenges for the design of GNN models, opening up an exciting area of research in generalization using graph-structured data.

Enhanced First and Zeroth Order Variance Reduced Algorithms for Min-Max Optimiza tion

Tengyu Xu, Zhe Wang, Yingbin Liang, H. Vincent Poor

Min-max optimization captures many important machine learning problems such as r obust adversarial learning and inverse reinforcement learning, and nonconvex-str ongly-concave min-max optimization has been an active line of research. Specific ally, a novel variance reduction algorithm SREDA was proposed recently by (Luo e t al. 2020) to solve such a problem, and was shown to achieve the optimal comple xity dependence on the required accuracy level \$\epsilon\$. Despite the superior theoretical performance, the convergence guarantee of SREDA requires stringent i nitialization accuracy and an \$\epsilon\$-dependent stepsize for controlling the per-iteration progress, so that SREDA can run very slowly in practice. This pape r develops a novel analytical framework that guarantees the SREDA's optimal comp lexity performance for a much enhanced algorithm SREDA-Boost, which has less res trictive initialization requirement and an accuracy-independent (and much bigger ) stepsize. Hence, SREDA-Boost runs substantially faster in experiments than SRE DA. We further apply SREDA-Boost to propose a zeroth-order variance reduction al gorithm named ZO-SREDA-Boost for the scenario that has access only to the inform ation about function values not gradients, and show that ZO-SREDA-Boost outperfo rms the best known complexity dependence on \$\epsilon\$. This is the first study that applies the variance reduction technique to zeroth-order algorithm for minmax optimization problems.

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Estimating Lipschitz constants of monotone deep equilibrium models Chirag Pabbaraju, Ezra Winston, J Zico Kolter

Several methods have been proposed in recent years to provide bounds on the Lips chitz constants of deep networks, which can be used to provide robustness guaran tees, generalization bounds, and characterize the smoothness of decision boundar ies. However, existing bounds get substantially weaker with increasing depth of the network, which makes it unclear how to apply such bounds to recently propose d models such as the deep equilibrium (DEQ) model, which can be viewed as representing an infinitely-deep network. In this paper, we show that monotone DEQs, a

recently-proposed subclass of DEQs, have Lipschitz constants that can be bounded as a simple function of the strong monotonicity parameter of the network. We de rive simple-yet-tight bounds on both the input-output mapping and the weight-out put mapping defined by these networks, and demonstrate that they are small relat ive to those for comparable standard DNNs. We show that one can use these bounds to design monotone DEQ models, even with e.g. multi-scale convolutional structure, that still have constraints on the Lipschitz constant. We also highlight how to use these bounds to develop PAC-Bayes generalization bounds that do not depend on any depth of the network, and which avoid the exponential depth-dependence of comparable DNN bounds.

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A Transformer-based Framework for Multivariate Time Series Representation Learni

George Zerveas, Srideepika Jayaraman, Dhaval Patel, Anuradha Bhamidipaty, Carsten Eickhoff

In this work we propose for the first time a transformer-based framework for uns upervised representation learning of multivariate time series. Pre-trained model s can be potentially used for downstream tasks such as regression and classification, forecasting and missing value imputation. We evaluate our models on severa l benchmark datasets for multivariate time series regression and classification and show that they exceed current state-of-the-art performance, even when the number of training samples is very limited, while at the same time offering computational efficiency. We show that unsupervised pre-training of our transformer models offers a substantial performance benefit over fully supervised learning, even without leveraging additional unlabeled data, i.e., by reusing the same data samples through the unsupervised objective.

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Learning from multiscale wavelet superpixels using GNN with spatially heterogene ous pooling

Maxime Bassenne, Varun Vasudevan, Lei Xing

Neural networks have become the standard for image classification tasks. On one hand, convolutional neural networks (CNNs) achieve state-of-the-art performance by learning from a regular grid representation of images. On the other hand, gra ph neural networks (GNNs) have shown promise in learning image classification fr om an embedded superpixel graph. However, in the latter, studies have been restr icted to SLIC superpixels, where 1) a single target number of superpixels is arb itrarily defined for an entire dataset irrespective of differences across images and 2) the superpixels in a given image are of similar size despite intrinsic m ultiscale structure. In this study, we investigate learning from a new principle d representation in which individual images are represented by an image-specific number of multiscale superpixels. We propose WaveMesh, a wavelet-based superpix eling algorithm, where the number and sizes of superpixels in an image are syste matically computed based on the image content. We also present WavePool, a spati ally heterogeneous pooling scheme tailored to WaveMesh superpixels. We study the feasibility of learning from the WaveMesh superpixel representation using Splin eCNN, a state-of-the-art network for image graph classification. We show that un der the same network architecture and training settings, SplineCNN with original Graclus-based pooling learns from WaveMesh superpixels on-par with SLIC superpi xels. Additionally, we observe that the best performance is achieved when replac ing Graclus-based pooling with WavePool while using WaveMesh superpixels. \*\*\*\*\*\*\*\*\*\*\*\*\*

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Implicit Gradient Regularization

David Barrett, Benoit Dherin

Gradient descent can be surprisingly good at optimizing deep neural networks wit hout overfitting and without explicit regularization. We find that the discrete steps of gradient descent implicitly regularize models by penalizing gradient de scent trajectories that have large loss gradients. We call this Implicit Gradien t Regularization (IGR) and we use backward error analysis to calculate the size of this regularization. We confirm empirically that implicit gradient regularization biases gradient descent toward flat minima, where test errors are small and

solutions are robust to noisy parameter perturbations. Furthermore, we demonstr ate that the implicit gradient regularization term can be used as an explicit re gularizer, allowing us to control this gradient regularization directly. More br oadly, our work indicates that backward error analysis is a useful theoretical a pproach to the perennial question of how learning rate, model size, and paramete r regularization interact to determine the properties of overparameterized model s optimized with gradient descent.

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Structured Prediction as Translation between Augmented Natural Languages Giovanni Paolini, Ben Athiwaratkun, Jason Krone, Jie Ma, Alessandro Achille, RISHITA ANUBHAI, Cicero Nogueira dos Santos, Bing Xiang, Stefano Soatto

We propose a new framework, Translation between Augmented Natural Languages (TAN L), to solve many structured prediction language tasks including joint entity an d relation extraction, nested named entity recognition, relation classification, semantic role labeling, event extraction, coreference resolution, and dialogue state tracking. Instead of tackling the problem by training task-specific discri minative classifiers, we frame it as a translation task between augmented natura 1 languages, from which the task-relevant information can be easily extracted. O ur approach can match or outperform task-specific models on all tasks, and in particular achieves new state-of-the-art results on joint entity and relation extraction (Conllo4, ADE, NYT, and ACE2005 datasets), relation classification (FewRe 1 and TACRED), and semantic role labeling (Conll-2005 and Conll-2012). We accomplish this while using the same architecture and hyperparameters for all tasks, and even when training a single model to solve all tasks at the same time (multitask learning). Finally, we show that our framework can also significantly improve the performance in a low-resource regime, thanks to better use of label semantics.

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Variable-Shot Adaptation for Online Meta-Learning Tianhe Yu, Xinyang Geng, Chelsea Finn, Sergey Levine

Few-shot meta-learning methods consider the problem of learning new tasks from a small, fixed number of examples, by meta-learning across static data from a set of previous tasks. However, in many real world settings, it is more natural to view the problem as one of minimizing the total amount of supervision --- both t he number of examples needed to learn a new task and the amount of data needed f or meta-learning. Such a formulation can be studied in a sequential learning set ting, where tasks are presented in sequence. When studying meta-learning in this online setting, a critical question arises: can meta-learning improve over the sample complexity and regret of standard empirical risk minimization methods, wh en considering both meta-training and adaptation together? The answer is particu larly non-obvious for meta-learning algorithms with complex bi-level optimizatio ns that may demand large amounts of meta-training data. To answer this question, we extend previous meta-learning algorithms to handle the variable-shot setting s that naturally arise in sequential learning: from many-shot learning at the st art, to zero-shot learning towards the end. On sequential learning problems, we find that meta-learning solves the full task set with fewer overall labels and a chieves greater cumulative performance, compared to standard supervised methods. These results suggest that meta-learning is an important ingredient for buildin g learning systems that continuously learn and improve over a sequence of proble

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ms.

Faster Binary Embeddings for Preserving Euclidean Distances Jinjie Zhang, Rayan Saab

We propose a fast, distance-preserving, binary embedding algorithm to transform a high-dimensional dataset  $\mathcal{T}\simeq \mathbb{R}^n$  into binary sequen ces in the cube  $\mathcal{T}\simeq \mathbb{R}^n$ . When  $\mathcal{T}\simeq \mathbb{R}^n$  consists of well-spread (i.e., non-sparse) vectors, our embedding method applies a stable noise-shaping quantization scheme to  $A \times \mathbb{R}^n$  where  $A \in \mathbb{R}^n$  mines  $A \in \mathbb{R}^n$  and matrix. This contrasts with most binary embedding methods, which usually use  $A \in \mathbb{R}^n$  maps to  $A \in \mathbb{R}^n$  for the embedding. Moreover, we show that Euclid

ean distances among the elements of  $\mbox{mathcal}{T}\$  are approximated by the  $\mbox{ell}_1\$  norm on the images of  $\mbox{mod}_1\$  under a fast linear transformation. This again contrasts with standard methods, where the Hamming distance is used instea d. Our method is both fast and memory efficient, with time complexity  $\mbox{omplex}(m)\$  and space complexity  $\mbox{omplex}(m)\$  on well-spread data. When the data is not well-spread, we show that the approach still works provided that data is transformed via a Walsh-Hadamard matrix, but now the cost is  $\mbox{omplex}(m)\$  per data point. Further, we prove that the method is accurate and its associated error is comparable to that of a continuous valued Johnson-Lindenstrauss embedding plus a quantization error that admits a polynomial decay as the embedding dimension  $\mbox{omplex}$  increases.

■Thus the length of the binary codes required to achieve a desired accuracy is q uite small, and we show it can even be compressed further without compromising t he accuracy. To illustrate our results, we test the proposed method on natural i mages and show that it achieves strong performance.

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Scalable Transfer Learning with Expert Models

Joan Puigcerver, Carlos Riquelme Ruiz, Basil Mustafa, Cedric Renggli, André Susano Pinto, Sylvain Gelly, Daniel Keysers, Neil Houlsby

Transfer of pre-trained representations can improve sample efficiency and reduce computational requirements for new tasks. However, representations used for transfer are usually generic, and are not tailored to a particular distribution of downstream tasks. We explore the use of expert representations for transfer with a simple, yet effective, strategy. We train a diverse set of experts by exploit ing existing label structures, and use cheap-to-compute performance proxies to select the relevant expert for each target task. This strategy scales the process of transferring to new tasks, since it does not revisit the pre-training data during transfer. Accordingly, it requires little extra compute per target task, and results in a speed-up of 2-3 orders of magnitude compared to competing approaches. Further, we provide an adapter-based architecture able to compress many experts into a single model. We evaluate our approach on two different data sources and demonstrate that it outperforms baselines on over 20 diverse vision tasks in both cases.

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A Closer Look at Codistillation for Distributed Training

Shagun Sodhani,Olivier Delalleau,Mido Assran,Koustuv Sinha,Nicolas Ballas,Michae l Rabbat

Codistillation has been proposed as a mechanism to share knowledge among concurr ently trained models by encouraging them to represent the same function through an auxiliary loss. This contrasts with the more commonly used fully-synchronous data-parallel stochastic gradient descent methods, where different model replica s average their gradients (or parameters) at every iteration and thus maintain i dentical parameters. We investigate codistillation in a distributed training set up, complementing previous work which focused on extremely large batch sizes. Su rprisingly, we find that even at moderate batch sizes, models trained with codis tillation can perform as well as models trained with synchronous data-parallel m ethods, despite using a much weaker synchronization mechanism. These findings ho ld across a range of batch sizes and learning rate schedules, as well as differe nt kinds of models and datasets. Obtaining this level of accuracy, however, requ ires properly accounting for the regularization effect of codistillation, which we highlight through several empirical observations. Overall, this work contribu tes to a better understanding of codistillation and how to best take advantage o f it in a distributed computing environment.

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A Primal Approach to Constrained Policy Optimization: Global Optimality and Finite-Time Analysis

Tengyu Xu, Yingbin Liang, Guanghui Lan

Safe reinforcement learning (SRL) problems are typically modeled as constrained Markov Decision Process (CMDP), in which an agent explores the environment to ma ximize the expected total reward and meanwhile avoids violating certain constraints on a number of expected total costs. In general, such SRL problems have nonce

onvex objective functions subject to multiple nonconvex constraints, and hence a re very challenging to solve, particularly to provide a globally optimal policy. Many popular SRL algorithms adopt a primal-dual structure which utilizes the up dating of dual variables for satisfying the constraints. In contrast, we propose a primal approach, called constraint-rectified policy optimization (CRPO), which updates the policy alternatingly between objective improvement and constraint satisfaction. CRPO provides a primal-type algorithmic framework to solve SRL problems, where each policy update can take any variant of policy optimization step. To demonstrate the theoretical performance of CRPO, we adopt natural policy gradient (NPG) for each policy update step and show that CRPO achieves an  $\alpha \in \mathbb{R} \setminus \mathbb{R} \cup \mathbb{R} \cup$ 

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Signatory: differentiable computations of the signature and logsignature transforms, on both CPU and GPU

Patrick Kidger, Terry Lyons

Signatory is a library for calculating and performing functionality related to the signature and logsignature transforms. The focus is on machine learning, and as such includes features such as CPU parallelism, GPU support, and backpropagation. To our knowledge it is the first GPU-capable library for these operations. Signatory implements new features not available in previous libraries, such as efficient precomputation strategies. Furthermore, several novel algorithmic improvements are introduced, producing substantial real-world speedups even on the CPU without parallelism. The library operates as a Python wrapper around C++, and is compatible with the PyTorch ecosystem. It may be installed directly via \text tt{pip}. Source code, documentation, examples, benchmarks and tests may be found at \texttt{\url{https://github.com/patrick-kidger/signatory}}. The license is A pache-2.0.

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Efficient Competitive Self-Play Policy Optimization

Yuanyi Zhong, Yuan Zhou, Jian Peng

Reinforcement learning from self-play has recently reported many successes. Self -play, where the agents compete with themselves, is often used to generate train ing data for iterative policy improvement. In previous work, heuristic rules are designed to choose an opponent for the current learner. Typical rules include c hoosing the latest agent, the best agent, or a random historical agent. However, these rules may be inefficient in practice and sometimes do not guarantee conve rgence even in the simplest matrix games. This paper proposes a new algorithmic framework for competitive self-play reinforcement learning in two-player zero-su m games. We recognize the fact that the Nash equilibrium coincides with the sadd le point of the stochastic payoff function, which motivates us to borrow ideas f rom classical saddle point optimization literature. Our method simultaneously tr ains several agents and intelligently takes each other as opponents based on a s imple adversarial rule derived from a principled perturbation-based saddle optim ization method. We prove theoretically that our algorithm converges to an approx imate equilibrium with high probability in convex-concave games under standard a ssumptions. Beyond the theory, we further show the empirical superiority of our method over baseline methods relying on the aforementioned opponent-selection he uristics in matrix games, grid-world soccer, Gomoku, and simulated robot sumo, w ith neural net policy function approximators.

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Tight Second-Order Certificates for Randomized Smoothing Alexander Levine, Aounon Kumar, Tom Goldstein, Soheil Feizi

Randomized smoothing is a popular way of providing robustness guarantees against adversarial attacks: randomly-smoothed functions have a universal Lipschitz-lik e bound, allowing for robustness certificates to be easily computed. In this work, we show that there also exists a universal curvature-like bound for Gaussian

random smoothing: given the exact value and gradient of a smoothed function, we compute a lower bound on the distance of a point to its closest adversarial exam ple, called the Second-order Smoothing (SoS) robustness certificate. In addition to proving the correctness of this novel certificate, we show that SoS certificates are realizable and therefore tight. Interestingly, we show that the maximu machievable benefits, in terms of certified robustness, from using the addition al information of the gradient norm are relatively small: because our bounds are tight, this is a fundamental negative result. The gain of SoS certificates furt her diminishes if we consider the estimation error of the gradient norms, for which we have developed an estimator. We therefore additionally develop a variant of Gaussian smoothing, called Gaussian dipole smoothing, which provides similar bounds to randomized smoothing with gradient information, but with much-improved sample efficiency. This allows us to achieve (marginally) improved robustness certificates on high-dimensional datasets such as CIFAR-10 and ImageNet.

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Distance-Based Regularisation of Deep Networks for Fine-Tuning Henry Gouk, Timothy Hospedales, massimiliano pontil

We investigate approaches to regularisation during fine-tuning of deep neural ne tworks. First we provide a neural network generalisation bound based on Rademach er complexity that uses the distance the weights have moved from their initial v alues. This bound has no direct dependence on the number of weights and compares favourably to other bounds when applied to convolutional networks. Our bound is highly relevant for fine-tuning, because providing a network with a good initial isation based on transfer learning means that learning can modify the weights 1 ess, and hence achieve tighter generalisation. Inspired by this, we develop a simple yet effective fine-tuning algorithm that constrains the hypothesis class to a small sphere centred on the initial pre-trained weights, thus obtaining provably better generalisation performance than conventional transfer learning. Empirical evaluation shows that our algorithm works well, corroborating our theoretical results. It outperforms both state of the art fine-tuning competitors, and penalty-based alternatives that we show do not directly constrain the radius of the search space.

Neural SDEs Made Easy: SDEs are Infinite-Dimensional GANs Patrick Kidger, James Foster, Xuechen Li, Harald Oberhauser, Terry Lyons Several authors have introduced \emph{Neural Stochastic Differential Equations} (Neural SDEs), often involving complex theory with various limitations. Here, we aim to introduce a generic, user friendly approach to neural SDEs. Our central contribution is the observation that an SDE is a map from Wiener measure (Browni an motion) to a solution distribution, which may be sampled from, but which does not admit a straightforward notion of probability density -- and that this is j ust the familiar formulation of a GAN. This produces a continuous-time generative model, arbitrary drift and diffusions are admissible, and in the infinite data limit any SDE may be learnt. After that, we construct a new scheme for sampling \emph{and reconstructing} Brownian motion, with constant average-case time and memory costs, adapted to the access patterns of an SDE solver. Finally, we demon strate that the adjoint SDE (used for backpropagation) may be constructed via rough path theory, without the previous theoretical complexity of two-sided filtra

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Decoupling Global and Local Representations via Invertible Generative Flows Xuezhe Ma, Xiang Kong, Shanghang Zhang, Eduard H Hovy

In this work, we propose a new generative model that is capable of automatically decoupling global and local representations of images in an entirely unsupervis ed setting, by embedding a generative flow in the VAE framework to model the decoder.

Specifically, the proposed model utilizes the variational auto-encoding framewor k to learn a (low-dimensional) vector of latent variables to capture the global information of an image, which is fed as a conditional input to a flow-based invertible decoder with architecture borrowed from style transfer literature.

Experimental results on standard image benchmarks demonstrate the effectiveness of our model in terms of density estimation, image generation and unsupervised representation learning.

Importantly, this work demonstrates that with only architectural inductive biase s, a generative model with a likelihood-based objective is capable of learning d ecoupled representations, requiring no explicit supervision.

Understanding Over-parameterization in Generative Adversarial Networks Yogesh Balaji, Mohammadmahdi Sajedi, Neha Mukund Kalibhat, Mucong Ding, Dominik Stöger, Mahdi Soltanolkotabi, Soheil Feizi

A broad class of unsupervised deep learning methods such as Generative Adversari al Networks (GANs) involve training of overparameterized models where the number of parameters of the model exceeds a certain threshold. Indeed, most successful GANs used in practice are trained using overparameterized generator and discrim inator networks, both in terms of depth and width. A large body of work in super vised learning have shown the importance of model overparameterization in the convergence of the gradient descent (GD) to globally optimal solutions. In contrast, the unsupervised setting and GANs in particular involve non-convex concave minimax optimization problems that are often trained using Gradient Descent/Ascent (GDA).

The role and benefits of model overparameterization in the convergence of GDA to a global saddle point in non-convex concave problems is far less understood. In this work, we present a comprehensive analysis of the importance of model overp arameterization in GANs both theoretically and empirically. We theoretically sho w that in an overparameterized GAN model with a \$1\$-layer neural network generat or and a linear discriminator, GDA converges to a global saddle point of the und erlying non-convex concave min-max problem. To the best of our knowledge, this i s the first result for global convergence of GDA in such settings. Our theory is based on a more general result that holds for a broader class of nonlinear gene rators and discriminators that obey certain assumptions (including deeper genera tors and random feature discriminators). Our theory utilizes and builds upon a n ovel connection with the convergence analysis of linear time-varying dynamical s ystems which may have broader implications for understanding the convergence beh avior of GDA for non-convex concave problems involving overparameterized models. We also empirically study the role of model overparameterization in GANs using several large-scale experiments on CIFAR-10 and Celeb-A datasets. Our experiment s show that overparameterization improves the quality of generated samples acros s various model architectures and datasets. Remarkably, we observe that overpara meterization leads to faster and more stable convergence behavior of GDA across

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the board.

Filtered Inner Product Projection for Crosslingual Embedding Alignment Vin Sachidananda, Ziyi Yang, Chenguang Zhu

Due to widespread interest in machine translation and transfer learning, there a  $\ensuremath{\text{re}}$  numerous algorithms for mapping multiple embeddings to a shared representatio n space. Recently, these algorithms have been studied in the setting of bilingua 1 lexicon induction where one seeks to align the embeddings of a source and a ta rget language such that translated word pairs lie close to one another in a comm on representation space. In this paper, we propose a method, Filtered Inner Prod uct Projection (FIPP), for mapping embeddings to a common representation space. As semantic shifts are pervasive across languages and domains, FIPP first identi fies the common geometric structure in both embeddings and then, only on the com mon structure, aligns the Gram matrices of these embeddings. FIPP is applicable even when the source and target embeddings are of differing dimensionalities. Ad ditionally, FIPP provides computational benefits in ease of implementation and i s faster to compute than current approaches. Following the baselines in Glavas e t al. 2019, we evaluate FIPP both in the context of bilingual lexicon induction and downstream language tasks. We show that FIPP outperforms existing methods on the XLING BLI dataset for most language pairs while also providing robust perfo

rmance across downstream tasks.

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Deep Partition Aggregation: Provable Defenses against General Poisoning Attacks Alexander Levine, Soheil Feizi

Adversarial poisoning attacks distort training data in order to corrupt the test -time behavior of a classifier. A provable defense provides a certificate for ea ch test sample, which is a lower bound on the magnitude of any adversarial disto rtion of the training set that can corrupt the test sample's classification. We propose two novel provable defenses against poisoning attacks: (i) Deep Parti tion Aggregation (DPA), a certified defense against a general poisoning threat m odel, defined as the insertion or deletion of a bounded number of samples to the training set --- by implication, this threat model also includes arbitrary dist ortions to a bounded number of images and/or labels; and (ii) Semi-Supervised DP A (SS-DPA), a certified defense against label-flipping poisoning attacks. DPA is an ensemble method where base models are trained on partitions of the training set determined by a hash function. DPA is related to both subset aggregation, a well-studied ensemble method in classical machine learning, as well as to random ized smoothing, a popular provable defense against evasion (inference) attacks. Our defense against label-flipping poison attacks, SS-DPA, uses a semi-supervise d learning algorithm as its base classifier model: each base classifier is train ed using the entire unlabeled training set in addition to the labels for a parti tion. SS-DPA significantly outperforms the existing certified defense for labelflipping attacks (Rosenfeld et al., 2020) on both MNIST and CIFAR-10: provably t olerating, for at least half of test images, over 600 label flips (vs. < 200 lab el flips) on MNIST and over 300 label flips (vs. 175 label flips) on CIFAR-10. A gainst general poisoning attacks where no prior certified defenses exists, DPA c an certify \$\geq\$ 50% of test images against over 500 poison image insertions on MNIST, and nine insertions on CIFAR-10. These results establish new state-of-th e-art provable defenses against general and label-flipping poison attacks. Code is available at https://github.com/alevine0/DPA

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Growing Efficient Deep Networks by Structured Continuous Sparsification Xin Yuan, Pedro Henrique Pamplona Savarese, Michael Maire

We develop an approach to growing deep network architectures over the course of training, driven by a principled combination of accuracy and sparsity objectives. Unlike existing pruning or architecture search techniques that operate on ful 1-sized models or supernet architectures, our method can start from a small, sim ple seed architecture and dynamically grow and prune both layers and filters. By combining a continuous relaxation of discrete network structure optimization with a scheme for sampling sparse subnetworks, we produce compact, pruned networks, while also drastically reducing the computational expense of training. For example, we achieve \$49.7\%\$ inference FLOPs and \$47.4\%\$ training FLOPs savings compared to a baseline ResNet-50 on ImageNet, while maintaining \$75.2\%\$ top-1 validation accuracy --- all without any dedicated fine-tuning stage. Experiments across CIFAR, ImageNet, PASCAL VOC, and Penn Treebank, with convolutional networks for image classification and semantic segmentation, and recurrent networks for language modeling, demonstrate that we both train faster and produce more efficient networks than competing architecture pruning or search methods.

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Learning representations from temporally smooth data Shima Rahimi Moghaddam, Fanjun Bu, Christopher Honey

Events in the real world are correlated across nearby points in time, and we mus t learn from this temporally "smooth" data. However, when neural networks are tr ained to categorize or reconstruct single items, the common practice is to rando mize the order of training items. What are the effects of temporally smooth training data on the efficiency of learning? We first tested the effects of smoothness in training data on incremental learning in feedforward nets and found that s moother data slowed learning. Moreover, sampling so as to minimize temporal smoothness produced more efficient learning than sampling randomly. If smoothness g enerally impairs incremental learning, then how can networks be modified to bene

fit from smoothness in the training data? We hypothesized that two simple brain -inspired mechanisms -- leaky memory in activation units and memory-gating -- co uld enable networks to exploit the redundancies in smooth data. Across all level s of data smoothness, these brain-inspired architectures achieved more efficient category learning than feedforward networks. Finally, we investigated how these brain-inspired mechanisms altered the internal representations learned by the networks. We found that networks with multi-scale leaky memory and memory-gating could learn internal representations that "un-mixed" data sources which vary on fast and slow timescales across training samples. Altogether, we identified sim ple mechanisms enabling neural networks to learn more quickly from temporally sm ooth data, and to generate internal representations that separate timescales in the training signal.

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Beyond GNNs: A Sample Efficient Architecture for Graph Problems Pranjal Awasthi, Abhimanyu Das, Sreenivas Gollapudi

Despite their popularity in learning problems over graph structured data, existi ng Graph Neural Networks (GNNs) have inherent limitations for fundamental graph problems such as shortest paths, \$k\$-connectivity, minimum spanning tree and min imum cuts. In all these instances, it is known that one needs GNNs of high depth , scaling at a polynomial rate with the number of nodes \$n\$, to provably encode the solution space. This in turn affects their statistical efficiency thus requi ring a significant amount of training data in order to obtain networks with good performance. In this work we propose a new hybrid architecture to overcome this limitation. Our proposed architecture that we call as GNNplus networks involve a combination of multiple parallel low depth GNNs along with simple pooling laye rs involving low depth fully connected networks. We provably demonstrate that fo r many graph problems, the solution space can be encoded by GNNplus networks usi ng depth that scales only poly-logarithmically in the number of nodes. This sign ificantly improves the amount of training data needed that we establish via impr oved generalization bounds. Finally, we empirically demonstrate the effectivenes s of our proposed architecture for a variety of graph problems.

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Neural Nonnegative CP Decomposition for Hierarchical Tensor Analysis Joshua Vendrow, Jamie Haddock, Deanna Needell

There is a significant demand for topic modeling on large-scale data with comple x multi-modal structure in applications such as multi-layer network analysis, te mporal document classification, and video data analysis; frequently this multi-m odal data has latent hierarchical structure. We propose a new hierarchical nonne gative CANDECOMP/PARAFAC (CP) decomposition (hierarchical NCPD) model and a trai ning method, Neural NCPD, for performing hierarchical topic modeling on multi-mo dal tensor data. Neural NCPD utilizes a neural network architecture and backprop agation to mitigate error propagation through hierarchical NCPD.

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Mitigating bias in calibration error estimation

Rebecca Roelofs, Nicholas Cain, Jonathon Shlens, Michael Curtis Mozer

Building reliable machine learning systems requires that we correctly understand their level of confidence. Calibration focuses on measuring the degree of accur acy in a model's confidence and most research in calibration focuses on techniqu es to improve an empirical estimate of calibration error, \$\mathrm{ECE}\_\mathrm{ECE}\_\mathrm{ECE}\_\mathrm{ECE}\_\mathrm{ECE}\_\mathrm{EIN}\$ can systematic ally underestimate or overestimate the true calibration error depending on the n ature of model miscalibration, the size of the evaluation data set, and the numb er of bins. Critically, \$\mathrm{ECE}\_\mathrm{BIN}\$ is more strongly biased for perfectly calibrated models. We propose a simple alternative calibration error m etric, \$\mathrm{ECE}\_\mathrm{SWEEP}\$, in which the number of bins is chosen to be as large as possible while preserving monotonicity in the calibration function. Evaluating our measure on distributions fit to neural network confidence score s on CIFAR-10, CIFAR-100, and ImageNet, we show that \$\mathrm{ECE}\_\mathrm{SWEEP}\$ \$ produces a less biased estimator of calibration error and therefore should be

used by any researcher wishing to evaluate the calibration of models trained on similar datasets.

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Coordinated Multi-Agent Exploration Using Shared Goals

Iou-Jen Liu, Unnat Jain, Alex Schwing

Exploration is critical for good results of deep reinforcement learning algorith ms and has drawn much attention. However, existing multi-agent deep reinforcemen t learning algorithms still use mostly noise-based techniques. It was recognized recently that noise-based exploration is suboptimal in multi-agent settings, an d exploration methods that consider agents' cooperation have been developed. How ever, existing methods suffer from a common challenge: agents struggle to identify states that are worth exploring, and don't coordinate their exploration effor ts toward those states. To address this shortcoming, in this paper, we proposed coordinated multi-agent exploration (CMAE): agents share a common goal while exploring. The goal is selected by a normalized entropy-based technique from multip le projected state spaces. Then, agents are trained to reach the goal in a coord inated manner. We demonstrated that our approach needs only \$1\%-5\%\$ of the env ironment steps to achieve similar or better returns than state-of-the-art baselines on various sparse-reward tasks, including a sparse-reward version of the St arcraft multi-agent challenge (SMAC).

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Cross-Node Federated Graph Neural Network for Spatio-Temporal Data Modeling Chuizheng Meng, Sirisha Rambhatla, Yan Liu

Vast amount of data generated from networks of sensors, wearables, and the Inter net of Things (IoT) devices underscores the need for advanced modeling technique s that leverage the spatio-temporal structure of decentralized data due to the n eed for edge computation and licensing (data access) issues. While federated lea rning (FL) has emerged as a framework for model training without requiring direc t data sharing and exchange, effectively modeling the complex spatio-temporal de pendencies to improve forecasting capabilities still remains an open problem. On the other hand, state-of-the-art spatio-temporal forecasting models assume unfe ttered access to the data, neglecting constraints on data sharing. To bridge thi s gap, we propose a federated spatio-temporal model -- Cross-Node Federated Grap h Neural Network (CNFGNN) -- which explicitly encodes the underlying graph struc ture using graph neural network (GNN)-based architecture under the constraint of cross-node federated learning, which requires that data in a network of nodes i s generated locally on each node and remains decentralized. CNFGNN operates by d isentangling the temporal dynamics modeling on devices and spatial dynamics on t he server, utilizing alternating optimization to reduce the communication cost, facilitating computations on the edge devices. Experiments on the traffic flow f orecasting task show that CNFGNN achieves the best forecasting performance in bo th transductive and inductive learning settings with no extra computation cost o n edge devices, while incurring modest communication cost.

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HalentNet: Multimodal Trajectory Forecasting with Hallucinative Intents Deyao Zhu, Mohamed Zahran, Li Erran Li, Mohamed Elhoseiny

Motion forecasting is essential for making intelligent decisions in robotic navi gation. As a result, the multi-agent behavioral prediction has become a core com ponent of modern human-robot interaction applications such as autonomous driving. Due to various intentions and interactions among agents, agent trajectories can have multiple possible futures. Hence, the motion forecasting model's ability to cover possible modes becomes essential to enable accurate prediction. Towards this goal, we introduce HalentNet to better model the future motion distribution in addition to a traditional trajectory regression learning objective by incorporating generative augmentation losses. We model intents with unsupervised discrete random variables whose training is guided by a collaboration between two key signals: A discriminative loss that encourages intents' diversity and a halluc inative loss that explores intent transitions (i.e., mixed intents) and encourages their smoothness. This regulates the neural network behavior to be more accurately predictive on uncertain scenarios due to the active yet careful exploration

n of possible future agent behavior. Our model's learned representation leads to better and more semantically meaningful coverage of the trajectory distribution. Our experiments show that our method can improve over the state-of-the-art trajectory forecasting benchmarks, including vehicles and pedestrians, for about 20% on average FDE and 50% on road boundary violation rate when predicting 6 seconds future. We also conducted human experiments to show that our predicted trajectories received 39.6% more votes than the runner-up approach and 32.2% more votes than our variant without hallucinative mixed intent loss. The code will be released soon

Self-supervised Contrastive Zero to Few-shot Learning from Small, Long-tailed Text data

Nils Rethmeier, Isabelle Augenstein

For natural language processing (NLP) 'text-to-text' tasks, prevailing approache s heavily rely on pretraining large self-supervised models on massive external d atasources. However, this methodology is being critiqued for: exceptional compu te and pretraining data requirements; diminishing returns on both large and smal 1 datasets; and importantly, favourable evaluation settings that overestimate pe rformance differences. The core belief behind current methodology, coined 'the bitter lesson' by R. Sutton, is that 'compute scale-up beats data and compute-ef ficient algorithms', neglecting that progress in compute hardware scale-up is ba sed almost entirely on the miniaturisation of resource consumption. We thus app roach pretraining from a miniaturisation perspective, such as not to require mas sive external data sources and models, or learned translations from continuous i nput embeddings to discrete labels. To minimise overly favourable evaluation, w e examine learning on a long-tailed, low-resource, multi-label text classificati on dataset with noisy, highly sparse labels and many rare concepts. To this end , we propose a novel 'dataset-internal' contrastive autoencoding approach to sel f-supervised pretraining and demonstrate marked improvements in zero-shot, few-s hot and solely supervised learning performance; even under an unfavorable low-re source scenario, and without defaulting to large-scale external datasets for sel f-supervision. We also find empirical evidence that zero and few-shot learning markedly benefit from adding more 'dataset-internal', self-supervised training s ignals, which is of practical importance when retrieving or computing on large e xternal sources of such signals is infeasible.

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Reverse engineering learned optimizers reveals known and novel mechanisms Niru Maheswaranathan, David Sussillo, Luke Metz, Ruoxi Sun, Jascha Sohl-Dickstein Learned optimizers are algorithms that can themselves be trained to solve optimi zation problems. In contrast to baseline optimizers (such as momentum or Adam) t hat use simple update rules derived from intuitive principles, learned optimizer s use flexible, high-dimensional, nonlinear parameterizations. Although this can lead to optimizers with better performance in certain settings, their inner wor kings remain a mystery. How is it that a learned optimizer is able to outperform a well tuned baseline? Has it learned a sophisticated method for combining exis ting optimization techniques, or is it implementing completely new behavior? In this work, we address these questions by visualizing and understanding learned o ptimizers. We study learned optimizers trained from scratch on three disparate t asks, and discovered that they have learned interpretable mechanisms, including: momentum, gradient clipping, schedules, and a new form of learning rate adaptat ion. Moreover, we show how the dynamics of trained learned optimizers enables th ese behaviors. Our results elucidate the previously murky understanding of what learned optimizers learn, and establishes tools for interpreting future learned optimizers.

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Motion Forecasting with Unlikelihood Training

Deyao Zhu, Mohamed Zahran, Li Erran Li, Mohamed Elhoseiny

Motion forecasting is essential for making safe and intelligent decisions in rob otic applications such as autonomous driving. State-of-the-art methods formulate it as a sequence-to-sequence prediction problem, which is solved in an encoder-

decoder framework with a maximum likelihood estimation objective. In this paper, we show that the likelihood objective itself results in a model assigning too m uch probability to trajectories that are unlikely given the contextual informati on such as maps and states of surrounding agents. This is despite the fact that many state-of-the-art models do take contextual information as part of their inp ut. We propose a new objective, unlikelihood training, which forces generated trajectories that conflicts with contextual information to be assigned a lower probability by our model. We demonstrate that our method can significantly improve state-of-art models' performance on challenging real-world trajectory forecasting datasets (nuScenes and Argoverse) by 8% and reduce the standard deviation by up to 50%. The code will be made available.

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Adversarial Masking: Towards Understanding Robustness Trade-off for Generalizati

Minhao Cheng, Zhe Gan, Yu Cheng, Shuohang Wang, Cho-Jui Hsieh, Jingjing Liu Adversarial training is a commonly used technique to improve model robustness ag ainst adversarial examples. Despite its success as a defense mechanism, adversar ial training often fails to generalize well to unperturbed test data. While prev ious work assumes it is caused by the discrepancy between robust and non-robust features, in this paper, we introduce \emph{Adversarial Masking}, a new hypothes is that this trade-off is caused by different feature maskings applied. Specific ally, the rescaling operation in the batch normalization layer, when combined to gether with ReLU activation, serves as a feature masking layer to select differe nt features for model training. By carefully manipulating different maskings, a well-balanced trade-off can be achieved between model performance on unperturbed and perturbed data. Built upon this hypothesis, we further propose Robust Maski ng (RobMask), which constructs unique masking for every specific attack perturb ation by learning a set of primary adversarial feature maskings. By incorporatin g different feature maps after the masking, we can distill better features to he lp model generalization. Sufficiently, adversarial training can be treated as an effective regularizer to achieve better generalization. Experiments on multiple benchmarks demonstrate that RobMask achieves significant improvement on clean t est accuracy compared to strong state-of-the-art baselines.

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Generating unseen complex scenes: are we there yet? Arantxa Casanova, Michal Drozdzal, Adriana Romero

Although recent complex scene conditional generation models generate increasingl y appealing scenes, it is very hard to assess which models perform better and wh y. This is often due to models being trained to fit different data splits, and d efining their own experimental setups. In this paper, we propose a methodology t o compare complex scene conditional generation models, and provide an in-depth a nalysis that assesses the ability of each model to (1) fit the training distribu tion and hence perform well on seen conditionings, (2) to generalize to unseen  $\ensuremath{\text{c}}$ onditionings composed of seen object combinations, and (3) generalize to unseen conditionings composed of unseen object combinations. As a result, we observe th at recent methods are able to generate recognizable scenes given seen conditioni ngs, and exploit compositionality to generalize to unseen conditionings with see n object combinations. However, all methods suffer from noticeable image quality degradation when asked to generate images from conditionings composed of unseen object combinations. Moreover, through our analysis, we identify the advantages of different pipeline components, and find that (1) encouraging compositionalit y through instance-wise spatial conditioning normalizations increases robustness to both types of unseen conditionings, (2) using semantically aware losses such as the scene-graph perceptual similarity helps improve some dimensions of the g eneration process, and (3) enhancing the quality of generated masks and the qual ity of the individual objects are crucial steps to improve robustness to both ty pes of unseen conditionings.

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INT: An Inequality Benchmark for Evaluating Generalization in Theorem Proving Yuhuai Wu, Albert Jiang, Jimmy Ba, Roger Baker Grosse

In learning-assisted theorem proving, one of the most critical challenges is to generalize to theorems unlike those seen at training time. In this paper, we int roduce INT, an INequality Theorem proving benchmark designed to test agents' gen eralization ability. INT is based on a theorem generator, which provides theoret ically infinite data and allows us to measure 6 different types of generalization, each reflecting a distinct challenge, characteristic of automated theorem proving. In addition, provides a fast theorem proving environment with sequence-based and graph-based interfaces, conducive to performing learning-based research. We introduce base-lines with architectures including transformers and graph neur al networks (GNNs) for INT. Using INT, we find that transformer-based agents achieve stronger test performance for most of the generalization tasks, despite having much larger out-of-distribution generalization gaps than GNNs. We further find that the addition of Monte Carlo Tree Search (MCTS) at test time helps to prove new theorems.

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Bayesian Few-Shot Classification with One-vs-Each Pólya-Gamma Augmented Gaussian Processes

Jake Snell, Richard Zemel

Few-shot classification (FSC), the task of adapting a classifier to unseen class es given a small labeled dataset, is an important step on the path toward human-like machine learning. Bayesian methods are well-suited to tackling the fundamen tal issue of overfitting in the few-shot scenario because they allow practitione rs to specify prior beliefs and update those beliefs in light of observed data. Contemporary approaches to Bayesian few-shot classification maintain a posterior distribution over model parameters, which is slow and requires storage that sca les with model size. Instead, we propose a Gaussian process classifier based on a novel combination of Pólya-Gamma augmentation and the one-vs-each softmax appr oximation that allows us to efficiently marginalize over functions rather than m odel parameters. We demonstrate improved accuracy and uncertainty quantification on both standard few-shot classification benchmarks and few-shot domain transfer tasks

Learn what you can't learn: Regularized Ensembles for Transductive out-of-distribution detection

Alexandru ■ifrea, Eric Petru Stavarache, Fanny Yang

Machine learning models are often used in practice once they achieve good genera lization results on in-distribution (ID) holdout data. To predict test sets in t he wild, they should detect samples they cannot predict well. We show that curre nt out-of-distribution (OOD) detection algorithms for neural networks produce un satisfactory results in a variety of OOD detection scenarios, e.g.\ when OOD dat a consists of unseen classes or corrupted measurements. This paper studies how s uch ``hard'' OOD scenarios can benefit from tuning the detection method after ob serving a batch of the test data. This \emph{transductive} setting is relevant w hen the advantage of even a slightly delayed OOD detection outweighs the financi al cost for additional tuning. We propose a novel method that uses an artificial labeling scheme for the test data and early stopping regularization to obtain e nsembles of models that produce contradictory predictions only on the OOD sample s in a test batch. We show via comprehensive experiments that our approach is in deed able to significantly outperform both inductive and transductive baselines on difficult OOD detection scenarios, such as unseen classes on CIFAR-10/CIFAR-1 00, severe corruptions (CIFAR-C), and strong covariate shift ImageNet vs ObjectN

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World Model as a Graph: Learning Latent Landmarks for Planning Lunjun Zhang, Ge Yang, Bradly C Stadie

Planning, the ability to analyze the structure of a problem in the large and dec ompose it into interrelated subproblems, is a hallmark of human intelligence. Wh ile deep reinforcement learning (RL) has shown great promise for solving relatively straightforward control tasks, it remains an open problem how to best incorporate planning into existing deep RL paradigms to handle increasingly complex en

vironments. One prominent framework, Model-Based RL, learns a world model and pl ans using step-by-step virtual rollouts. This type of world model quickly diverg es from reality when the planning horizon increases, thus struggling at long-hor izon planning. How can we learn world models that endow agents with the ability to do temporally extended reasoning? In this work, we propose to learn graph-structured world models composed of sparse, multi-step transitions. We devise a nov el algorithm to learn latent landmarks that are scattered (in terms of reachability) across the goal space as the nodes on the graph. In this same graph, the ed ges are the reachability estimates distilled from Q-functions. On a variety of high-dimensional continuous control tasks ranging from robotic manipulation to na vigation, we demonstrate that our method, named L^{3}P, significantly outperform s prior work, and is oftentimes the only method capable of leveraging both the robustness of model-free RL and generalization of graph-search algorithms. We believe our work is an important step towards scalable planning in reinforcement le arning.

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Out-of-distribution Prediction with Invariant Risk Minimization: The Limitation and An Effective Fix

Ruocheng Guo, Pengchuan Zhang, Hao Liu, Emre Kiciman

This work considers the out-of-distribution (OOD) prediction problem where (1)~t he training data are from multiple domains and (2)~the test domain is unseen in the training. DNNs fail in OOD prediction because they are prone to pick up spur ious correlations. Recently, Invariant Risk Minimization (IRM) is proposed to ad dress this issue. Its effectiveness has been demonstrated in the colored MNIST e xperiment. Nevertheless, we find that the performance of IRM can be dramatically degraded under \emph{strong \$\Lambda\$ spuriousness} -- when the spurious correl ation between the spurious features and the class label is strong due to the str ong causal influence of their common cause, the domain label, on both of them (s ee Fig. 1). In this work, we try to answer the questions: why does IRM fail in t he aforementioned setting? Why does IRM work for the original colored MNIST data set? Then, we propose a simple and effective approach to fix the problem of IRM. We combine IRM with conditional distribution matching to avoid a specific type of spurious correlation under strong \$\Lambda\$ spuriousness. Empirically, we des ign a series of semi synthetic datasets -- the colored MNIST plus, which exposes the problems of IRM and demonstrates the efficacy of the proposed method.

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Learning with Plasticity Rules: Generalization and Robustness Rares C Cristian, Max Dabagia, Christos Papadimitriou, Santosh Vempala

Brains learn robustly, and generalize effortlessly between different learning t asks; in contrast, robustness and generalization across tasks are well known wea knesses of artificial neural nets (ANNs). How can we use our accelerating under standing of the brain to improve these and other aspects of ANNs? Here we hypoth esize that (a) Brains employ synaptic plasticity rules that serve as proxies for GD; (b) These rules themselves can be learned by GD on the rule parameters; and (c) This process may be a missing ingredient for the development of ANNs that g eneralize well and are robust to adversarial perturbations. We provide both empi rical and theoretical evidence for this hypothesis. In our experiments, plastici ty rules for the synaptic weights of recurrent neural nets (RNNs) are learned th rough GD and are found to perform reasonably well (with no backpropagation). We find that plasticity rules learned by this process generalize from one type of data/classifier to others (e.g., rules learned on synthetic data work well on MN IST/Fashion MNIST) and converge with fewer updates. Moreover, the classifiers le arned using plasticity rules exhibit surprising levels of tolerance to adversari al perturbations. In the special case of the last layer of a classification netw ork, we show analytically that GD on the plasticity rule recovers (and improves upon) the perceptron algorithm and the multiplicative weights method. Finally, w e argue that applying GD to learning rules is biologically plausible, in the sen se that it can be learned over evolutionary time: we describe a genetic setting where natural selection of a numerical parameter over a sequence of generations provably simulates a simple variant of GD.

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Later Span Adaptation for Language Understanding Rongzhou Bao, Zhuosheng Zhang, hai zhao

Pre-trained contextualized language models (PrLMs) broadly use fine-grained toke ns (words or sub-words) as minimal linguistic unit in pre-training phase. Introd ucing span-level information in pre-training has shown capable of further enhanc ing PrLMs. However, such methods require enormous resources and are lack of adap tivity due to huge computational requirement from pre-training. Instead of too e arly fixing the linguistic unit input as nearly all previous work did, we propo se a novel method that combines span-level information into the representations generated by PrLMs during fine-tuning phase for better flexibility. In this way, the modeling procedure of span-level texts can be more adaptive to different do wnstream tasks. In detail, we divide the sentence into several spans according t o the segmentation generated by a pre-sampled dictionary. Based on the sub-token -level representation provided by PrLMs, we enhance the connection between the t okens in each span and gain a representation with enhanced span-level informatio n. Experiments are conducted on GLUE benchmark and prove that our approach could remarkably enhance the performance of PrLMs in various natural language underst anding tasks.

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A Hypergradient Approach to Robust Regression without Correspondence Yujia Xie, Yixiu Mao, Simiao Zuo, Hongteng Xu, Xiaojing Ye, Tuo Zhao, Hongyuan Zha We consider a regression problem, where the correspondence between the input and output data is not available. Such shuffled data are commonly observed in many real world problems. Take flow cytometry as an example: the measuring instrument s are unable to preserve the correspondence between the samples and the measurem ents. Due to the combinatorial nature of the problem, most of the existing metho ds are only applicable when the sample size is small, and are limited to linear regression models. To overcome such bottlenecks, we propose a new computational framework --- ROBOT --- for the shuffled regression problem, which is applicable to large data and complex models. Specifically, we propose to formulate regress ion without correspondence as a continuous optimization problem. Then by exploit ing the interaction between the regression model and the data correspondence, we propose to develop a hypergradient approach based on differentiable programming techniques. Such a hypergradient approach essentially views the data correspond ence as an operator of the regression model, and therefore it allows us to find a better descent direction for the model parameters by differentiating through t he data correspondence. ROBOT is quite general, and can be further extended to a n inexact correspondence setting, where the input and output data are not necess arily exactly aligned. Thorough numerical experiments show that ROBOT achieves b etter performance than existing methods in both linear and nonlinear regression tasks, including real-world applications such as flow cytometry and multi-object tracking.

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Neural Dynamical Systems: Balancing Structure and Flexibility in Physical Prediction

Viraj Mehta, Ian Char, Willie Neiswanger, Youngseog Chung, Andrew Oakleigh Nelson, Mark D Boyer, Egemen Kolemen, Jeff Schneider

We introduce Neural Dynamical Systems (NDS), a method of learning dynamical mode ls in various gray-box settings which incorporates prior knowledge in the form of systems of ordinary differential equations. NDS uses neural networks to estima te free parameters of the system, predicts residual terms, and numerically int egrates over time to predict future states. A key insight is that many real dyn amical systems of interest are hard to model because the dynamics may vary across rollouts. We mitigate this problem by taking a trajectory of prior states as the input to NDS and train it to dynamically estimate system parameters using the preceding trajectory. We find that NDS learns dynamics with higher accuracy and fewer samples than a variety of deep learning methods that do not incorporate the prior knowledge and methods from the system identification literature which do. We demonstrate these advantages first on synthetic dynamical systems and the

en on real data captured from deuterium shots from a nuclear fusion reactor. Fin ally, we demonstrate that these benefits can be utilized for control in small-sc ale experiments.

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Parametric Density Estimation with Uncertainty using Deep Ensembles Abel Peirson, Taylor Howell, Marius Aurel Tirlea

In parametric density estimation, the parameters of a known probability density are typically recovered from measurements by maximizing the log-likelihood. Prior knowledge of measurement uncertainties is not included in this method -- potentially producing degraded or even biased parameter estimates.

We propose an efficient two-step, general-purpose approach for parametric densit y estimation using deep ensembles.

Feature predictions and their uncertainties are returned by a deep ensemble and then combined in an importance weighted maximum likelihood estimation to recover parameters representing a known density along with their respective errors. To compare the bias-variance tradeoff of different approaches, we define an appropriate figure of merit.

We illustrate a number of use cases for our method in the physical sciences and demonstrate state-of-the-art results for X-ray polarimetry that outperform curre nt classical and deep learning methods.

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Learning to Infer Run-Time Invariants from Source code

Vincent Josua Hellendoorn, Premkumar Devanbu, Alex Polozov, Mark Marron

Source code is notably different from natural language in that it is meant to be executed. Experienced developers infer complex "invariants" about run-time stat e while reading code, which helps them to constrain and predict program behavior. Knowing these invariants can be helpful; yet developers rarely encode these ex plicitly, so machine-learning methods don't have much aligned data to learn from . We propose an approach that adapts cues within existing if-statements regarding explicit run-time expectations to generate aligned datasets of code and implicit invariants. We also propose a contrastive loss to inhibit generation of illogical invariants. Our model learns to infer a wide vocabulary of invariants for arbitrary code, which can be used to detect and repair real bugs. This is entire ly complementary to established approaches, which either use logical engines that scale poorly, or run-time traces that are expensive to obtain; when present, that data can complement our tool, as we demonstrate in conjunction with Daikon, an existing tool. Our results show that neural models can derive useful representations of run-time behavior directly from source code.

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C-Learning: Horizon-Aware Cumulative Accessibility Estimation

Panteha Naderian, Gabriel Loaiza-Ganem, Harry J. Braviner, Anthony L. Caterini, Jess e C. Cresswell, Tong Li, Animesh Garq

Multi-goal reaching is an important problem in reinforcement learning needed to achieve algorithmic generalization. Despite recent advances in this field, curre nt algorithms suffer from three major challenges: high sample complexity, learni ng only a single way of reaching the goals, and difficulties in solving complex motion planning tasks. In order to address these limitations, we introduce the concept of cumulative accessibility functions, which measure the reachability of a goal from a given state within a specified horizon. We show that these functi ons obey a recurrence relation, which enables learning from offline interactions . We also prove that optimal cumulative accessibility functions are monotonic in the planning horizon. Additionally, our method can trade off speed and reliabil ity in goal-reaching by suggesting multiple paths to a single goal depending on the provided horizon. We evaluate our approach on a set of multi-goal discrete a nd continuous control tasks. We show that our method outperforms state-of-the-ar t goal-reaching algorithms in success rate, sample complexity, and path optimali ty. Our code is available at https://github.com/layer6ai-labs/CAE, and additiona l visualizations can be found at https://sites.google.com/view/learning-cae/.

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Minimum Width for Universal Approximation

Sejun Park, Chulhee Yun, Jaeho Lee, Jinwoo Shin

The universal approximation property of width-bounded networks has been studied as a dual of classical universal approximation results on depth-bounded networks . However, the critical width enabling the universal approximation has not been exactly characterized in terms of the input dimension  $d_x$  and the output dimension  $d_y$ . In this work, we provide the first definitive result in this direction for networks using the ReLU activation functions: The minimum width required for the universal approximation of the  $L^p$  functions is exactly  $\max \{d_x+1, d_y\}$ . We also prove that the same conclusion does not hold for the uniform approximation with ReLU, but does hold with an additional threshold activation function. Our proof technique can be also used to derive a tighter upper bound on the minimum width required for the universal approximation using networks with gene ral activation functions.

MIROSTAT: A NEURAL TEXT DECODING ALGORITHM THAT DIRECTLY CONTROLS PERPLEXITY Sourya Basu, Govardana Sachitanandam Ramachandran, Nitish Shirish Keskar, Lav R. Varshney

Neural text decoding algorithms strongly influence the quality of texts generate d using language models, but popular algorithms like top-k, top-p (nucleus), and temperature-based sampling may yield texts that have objectionable repetition o r incoherence. Although these methods generate high-quality text after ad hoc pa rameter tuning that depends on the language model and the length of generated te xt, not much is known about the control they provide over the statistics of the output. This is important, however, since recent reports show that humans prefer when perplexity is neither too much nor too little and since we experimentally show that cross-entropy (log of perplexity) has a near-linear relation with repe tition. First, we provide a theoretical analysis of perplexity in top-k, top-p, and temperature sampling, under Zipfian statistics. Then, we use this analysis t o design a feedback-based adaptive top-k text decoding algorithm called mirostat that generates text (of any length) with a predetermined target value of perple xity without any tuning. Experiments show that for low values of k and p, perple xity drops significantly with generated text length and leads to excessive repet itions (the boredom trap). Contrarily, for large values of k and p, perplexity i ncreases with generated text length and leads to incoherence (confusion trap). M irostat avoids both traps. Specifically, we show that setting target perplexity value beyond a threshold yields negligible sentence-level repetitions. Experimen ts with

human raters for fluency, coherence, and quality further verify our findings.

Global optimality of softmax policy gradient with single hidden layer neural net works in the mean-field regime

Andrea Agazzi, Jianfeng Lu

We study the problem of policy optimization for infinite-horizon discounted Mark ov Decision Processes with softmax policy and nonlinear function approximation t rained with policy gradient algorithms. We concentrate on the training dynamics in the mean-field regime, modeling e.g. the behavior of wide single hidden layer neural networks, when exploration is encouraged through entropy regularization. The dynamics of these models is established as a Wasserstein gradient flow of d istributions in parameter space. We further prove global optimality of the fixe d points of this dynamics under mild conditions on their initialization.

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Natural Compression for Distributed Deep Learning

Samuel Horváth, Chen-Yu Ho, Ludovit Horváth, Atal Narayan Sahu, Marco Canini, Peter R ichtarik

Modern deep learning models are often trained in parallel over a collection of d istributed machines to reduce training time. In such settings, communication of model updates among machines becomes a significant performance bottleneck and various lossy update compression techniques have been proposed to alleviate this problem. In this work, we introduce a new, simple yet theoretically and practically effective compression technique:  $\{\mbox{\em compression ($C_{nat}$)}\}$ . Our t

echnique is applied individually to all entries of the to-be-compressed update v ector and works by randomized rounding to the nearest (negative or positive) pow er of two, which can be computed in a `natural'' way by ignoring the mantissa. We show that compared to no compression,  $C_{nat}$  increases the second moment of the compressed vector by not more than the tiny factor  $nat{0}$  incefrac $nat{0}$  which means that the effect of  $C_{nat}$  on the convergence speed of popular training algorithms, such as distributed SGD, is negligible. However, the communications savings enabled by  $C_{nat}$  are substantial,

leading to {\em \$3\$-\$4\times\$ improvement in overall theoretical running time}. For applications requiring more aggressive compression, we generalize \$C\_{nat}\$ to {\em natural dithering}, which we prove is {\em exponentially better} than the common random dithering technique. Our compression operators can be used on the eir own or in combination with existing operators for a more aggressive combined effect, and offer new state-of-the-art both in theory and practice.

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The Deep Bootstrap Framework: Good Online Learners are Good Offline Generalizers Preetum Nakkiran, Behnam Neyshabur, Hanie Sedghi

We propose a new framework for reasoning about generalization in deep learning. The core idea is to couple the Real World, where optimizers take stochastic grad ient steps on the empirical loss, to an Ideal World, where optimizers take steps on the population loss. This leads to an alternate decomposition of test error into: (1) the Ideal World test error plus (2) the gap between the two worlds. If the gap (2) is universally small, this reduces the problem of generalization in offline learning to the problem of optimization in online learning.

We then give empirical evidence that this gap between worlds can be small in rea listic deep learning settings, in particular supervised image classification. Fo r example, CNNs generalize better than MLPs on image distributions in the Real W orld, but this is "because" they optimize faster on the population loss in the I deal World. This suggests our framework is a useful tool for understanding gener alization in deep learning, and lays the foundation for future research in this direction

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AdaLead: A simple and robust adaptive greedy search algorithm for sequence desig n

Sam Sinai, Richard Wang, Alexander Whatley, Stewart Slocum, Elina Locane, Eric Kelsic Efficient design of biological sequences will have a great impact across many in dustrial and healthcare domains. However, discovering improved sequences require s solving a difficult optimization problem. Traditionally, this challenge was ap proached by biologists through a model-free method known as "directed evolution" , the iterative process of random mutation and selection. As the ability to buil d models that capture the sequence-to-function map improves, such models can be used as oracles to screen sequences before running experiments. In recent years, interest in better algorithms that effectively use such oracles to outperform  ${\tt m}$ odel-free approaches has intensified. These span from approaches based on Bayesi an Optimization, to regularized generative models and adaptations of reinforceme nt learning. In this work, we implement an open-source Fitness Landscape EXplora tion Sandbox (FLEXS) environment to test and evaluate these algorithms based on their optimality, consistency, and robustness. Using FLEXS, we develop an easy-t o-implement, scalable, and robust evolutionary greedy algorithm (AdaLead). Despi te its simplicity, we show that AdaLead is a remarkably strong benchmark that ou t-competes more complex state of the art approaches in a variety of biologically motivated sequence design challenges.

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Grounding Language to Entities for Generalization in Reinforcement Learning H. J. Austin Wang, Karthik R Narasimhan

In this paper, we consider the problem of leveraging textual descriptions to imp rove generalization of control policies to new scenarios. Unlike prior work in t his space, we do not assume access to any form of prior knowledge connecting tex t and state observations, and learn both symbol grounding and control policy sim ultaneously. This is challenging due to a lack of concrete supervision, and inco

rrect groundings can result in worse performance than policies that do not use the text at all.

We develop a new model, EMMA (Entity Mapper with Multi-modal Attention) which us es a multi-modal entity-conditioned attention module that allows for selective f ocus over relevant sentences in the manual for each entity in the environment. E MMA is end-to-end differentiable and can learn a latent grounding of entities and dynamics from text to observations using environment rewards as the only source of supervision.

To empirically test our model, we design a new framework of 1320 games and colle ct text manuals with free-form natural language via crowd-sourcing. We demonstra te that EMMA achieves successful zero-shot generalization to unseen games with n ew dynamics, obtaining significantly higher rewards compared to multiple baselin es. The grounding acquired by EMMA is also robust to noisy descriptions and ling uistic variation.

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Efficient Differentiable Neural Architecture Search with Model Parallelism Yi-Wei Chen, Qingquan Song, Xia Hu

Neural architecture search (NAS) automatically designs effective network archite ctures. Differentiable NAS with supernets that encompass all potential architect ures in a large graph cuts down search overhead to few GPU days or less. However , these algorithms consume massive GPU memory, which will restrain NAS from larg e batch sizes and large search spaces (e.g., more candidate operations, diverse cell structures, and large depth of supernets). In this paper, we present binary neural architecture search (NASB) with consecutive model parallel (CMP) to tack le the problem of insufficient GPU memory. CMP aggregates memory from multiple G PUs for supernets. It divides forward/backward phases into several sub-tasks and executes the same type of sub-tasks together to reduce waiting cycles. This app roach improves the hardware utilization of model parallel, but it utilizes large GPU memory. NASB is proposed to reduce memory footprint, which excludes inactiv e operations from computation graphs and computes those operations on the fly fo r inactive architectural gradients in backward phases. Experiments show that NAS B-CMP runs 1.2× faster than other model parallel approaches and outperforms stat e-of-the-art differentiable NAS. NASB can also save twice GPU memory more than P C-DARTS. Finally, we apply NASB-CMP to complicated supernet architectures. Altho ugh deep supernets with diverse cell structures do not improve NAS performance, NASB-CMP shows its potential to explore supernet architecture design in large se arch space.

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On the Certified Robustness for Ensemble Models and Beyond Zhuolin Yang, Linyi Li, Xiaojun Xu, Bhavya Kailkhura, Bo Li

Recent studies show that deep neural networks (DNN) are vulnerable to adversaria 1 examples, which aim to mislead DNNs to make arbitrarily incorrect predictions. To defend against such attacks, both empirical and theoretical defense approach es have been proposed for a single ML model. In this work, we aim to explore and characterize the robustness conditions for ensemble ML models. We prove that th e diversified gradient and large confidence margin are sufficient and necessary conditions for certifiably robust ensemble models under the model-smoothness ass umption. We also show that an ensemble model can achieve higher certified robust ness than a single base model based on these conditions. To our best knowledge, this is the first work providing tight conditions for the ensemble robustness. I nspired by our analysis, we propose the lightweight Diversity Regularized Traini ng (DRT) for ensemble models. We derive the certified robustness of DRT based en sembles such as standard Weighted Ensemble and Max-Margin Ensemble following the sufficient and necessary conditions. Besides, to efficiently calculate the mode 1-smoothness, we leverage adapted randomized model smoothing to obtain the certi fied robustness for different ensembles in practice. We show that the certified robustness of ensembles, on the other hand, verifies the necessity of DRT. To co mpare different ensembles, we prove that when the adversarial transferability am ong base models is high, Max-Margin Ensemble can achieve higher certified robust ness than Weighted Ensemble; vice versa. Extensive experiments show that ensembl

e models trained with DRT can achieve the state-of-the-art certified robustness under various settings. Our work will shed light on future analysis for robust e nsemble models.

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Analyzing Attention Mechanisms through Lens of Sample Complexity and Loss Landsc ape

Bingyuan Liu, Yogesh Balaji, Lingzhou Xue, Martin Renqiang Min

Attention mechanisms have advanced state-of-the-art deep learning models in many machine learning tasks. Despite significant empirical gains, there is a lack of theoretical analyses on their effectiveness. In this paper, we address this problem by studying the sample complexity and loss landscape of attention-based neu ral networks. Our results show that, under mild assumptions, every local minimum of the attention model has low prediction error, and attention models require lower sample complexity than models without attention. Besides revealing why popular self-attention works, our theoretical results also provide guidelines for designing future attention models. Experiments on various datasets validate our theoretical findings.

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Investigating and Simplifying Masking-based Saliency Methods for Model Interpret ability

Jason Phang, Jungkyu Park, Krzysztof J. Geras

Saliency maps that identify the most informative regions of an image for a class ifier are valuable for model interpretability. A common approach to creating sal iency maps involves generating input masks that mask out portions of an image to maximally deteriorate classification performance, or mask in an image to preser ve classification performance. Many variants of this approach have been proposed in the literature, such as counterfactual generation and optimizing over a Gumb el-Softmax distribution. Using a general formulation of masking-based saliency m ethods, we conduct an extensive evaluation study of a number of recently propose d variants to understand which elements of these methods meaningfully improve pe rformance. Surprisingly, we find that a well-tuned, relatively simple formulatio n of a masking-based saliency model outperforms many more complex approaches. We find that the most important ingredients for high quality saliency map generati on are (1) using both masked-in and masked-out objectives and (2) training the c lassifier alongside the masking model. Strikingly, we show that a masking model can be trained with as few as 10 examples per class and still generate saliency maps with only a 0.7-point increase in localization error.

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A Better Alternative to Error Feedback for Communication-Efficient Distributed L

Samuel Horváth, Peter Richtarik

Modern large-scale machine learning applications require stochastic optimization algorithms to be implemented on distributed computing systems. A key bottleneck of such systems is the communication overhead for exchanging information across the workers, such as stochastic gradients. Among the many techniques proposed t o remedy this issue, one of the most successful is the framework of compressed c ommunication with error feedback (EF). EF remains the only known technique that can deal with the error induced by contractive compressors which are not unbiase d, such as Top-\$K\$ or PowerSGD. In this paper, we propose a new and theoretical ly and practically better alternative to EF for dealing with contractive compres sors. In particular, we propose a construction which can transform any contracti ve compressor into an induced unbiased compressor. Following this transformation , existing methods able to work with unbiased compressors can be applied. We sho w that our approach leads to vast improvements over EF, including reduced memory requirements, better communication complexity guarantees and fewer assumptions. We further extend our results to federated learning with partial participation following an arbitrary distribution over the nodes and demonstrate the benefits thereof. We perform several numerical experiments which validate our theoretical

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Boosting One-Point Derivative-Free Online Optimization via Residual Feedback Yan Zhang, Yi Zhou, Kaiyi Ji, Michael Zavlanos

Zeroth-order optimization (ZO) typically relies on two-point feedback to estimat e the unknown gradient of the objective function, which queries the objective fu nction value twice at each time instant. However, if the objective function is t ime-varying, as in online optimization, two-point feedback can not be used. In t his case, the gradient can be estimated using one-point feedback that queries a single function value at each time instant, although at the expense of producing gradient estimates with large variance. In this work, we propose a new one-poin t feedback method for online optimization that estimates the objective function gradient using the residual between two feedback points at consecutive time inst ants. We study the regret bound of ZO with residual feedback for both convex and nonconvex online optimization problems. Specifically, for both Lipschitz and  ${\rm sm}$ ooth functions, we show that using residual feedback produces gradient estimates with much smaller variance compared to conventional one-point feedback methods, which improves the learning rate. Our regret bound for ZO with residual feedbac k is tighter than the existing regret bound for ZO with conventional one-point f eedback and relies on weaker assumptions, which suggests that ZO with our propos ed residual feedback can better track the optimizer of online optimization probl ems. We provide numerical experiments that demonstrate that ZO with residual fee dback significantly outperforms existing one-point feedback methods in practice. \*\*\*\*\*\*\*\*\*\*\*\*\*

StructFormer: Joint Unsupervised Induction of Dependency and Constituency Struct ure from Masked Language Modeling

Yikang Shen, Yi Tay, Che Zheng, Dara Bahri, Donald Metzler, Aaron Courville
There are two major classes of natural language grammars --- the dependency gram mar that models one-to-one correspondences between words and the constituency grammar that models the assembly of one or several corresponded words. While previous unsupervised parsing methods mostly focus on only inducing one class of grammars, we introduce a novel model, StructFormer, that can induce dependency and constituency structure at the same time. To achieve this, we propose a new parsing framework that can jointly generates constituency tree and dependency graph. Then we integrate the induced dependency relations into transformer, in a differentiable manner, through a novel dependency-constrained self-attention mechanism. Experimental results show that our model can achieve strong results on unsupervised constituency parsing, unsupervised dependency parsing and masked language modeling at the same time.

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DrNAS: Dirichlet Neural Architecture Search

Xiangning Chen,Ruochen Wang,Minhao Cheng,Xiaocheng Tang,Cho-Jui Hsieh
This paper proposes a novel differentiable architecture search method by formula
ting it into a distribution learning problem. We treat the continuously relaxed
architecture mixing weight as random variables, modeled by Dirichlet distributio
n. With recently developed pathwise derivatives, the Dirichlet parameters can be
easily optimized with gradient-based optimizer in an end-to-end manner. This fo
rmulation improves the generalization ability and induces stochasticity that nat
urally encourages exploration in the search space. Furthermore, to alleviate the
large memory consumption of differentiable NAS, we propose a simple yet effecti
ve progressive learning scheme that enables searching directly on large-scale ta
sks, eliminating the gap between search and evaluation phases. Extensive experim
ents demonstrate the effectiveness of our method. Specifically, we obtain a test
error of 2.46\% for CIFAR-10, 23.7\% for ImageNet under the mobile setting. On
NAS-Bench-201, we also achieve state-of-the-art results on all three datasets an
d provide insights for the effective design of neural architecture search algori

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Improving Few-Shot Visual Classification with Unlabelled Examples
Peyman Bateni, Jarred Barber, Jan-Willem van de Meent, Frank Wood
We propose a transductive meta-learning method that uses unlabelled instances to
improve few-shot image classification performance. Our approach combines a regu

larized Mahalanobis-distance-based soft k-means clustering procedure with a modi fied state of the art neural adaptive feature extractor to achieve improved test -time classification accuracy using unlabelled data. We evaluate our method on t ransductive few-shot learning tasks, in which the goal is to jointly predict lab els for query (test) examples given a set of support (training) examples. We ach ieve new state of the art performance on the Meta-Dataset and the mini-ImageNet and tiered-ImageNet benchmarks.

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Offline Model-Based Optimization via Normalized Maximum Likelihood Estimation Justin Fu, Sergey Levine

In this work we consider data-driven optimization problems where one must maximi ze a function given only queries at a fixed set of points. This problem setting emerges in many domains where function evaluation is a complex and expensive pro cess, such as in the design of materials, vehicles, or neural network architectu res. Because the available data typically only covers a small manifold of the po ssible space of inputs, a principal challenge is to be able to construct algorit hms that can reason about uncertainty and out-of-distribution values, since a na ive optimizer can easily exploit an estimated model to return adversarial inputs. We propose to tackle the MBO problem by leveraging the normalized maximum-like lihood (NML) estimator, which provides a principled approach to handling uncerta inty and out-of-distribution inputs. While in the standard formulation NML is in tractable, we propose a tractable approximation that allows us to scale our meth od to high-capacity neural network models. We demonstrate that our method can effectively optimize high-dimensional design problems in a variety of disciplines such as chemistry, biology, and materials engineering.

Viewmaker Networks: Learning Views for Unsupervised Representation Learning Alex Tamkin, Mike Wu, Noah Goodman

Many recent methods for unsupervised representation learning train models to be invariant to different "views," or distorted versions of an input. However, desi gning these views requires considerable trial and error by human experts, hinder ing widespread adoption of unsupervised representation learning methods across d omains and modalities. To address this, we propose viewmaker networks: generativ e models that learn to produce useful views from a given input. Viewmakers are s tochastic bounded adversaries: they produce views by generating and then adding an \$\ell\_p\$-bounded perturbation to the input, and are trained adversarially wit h respect to the main encoder network. Remarkably, when pretraining on CIFAR-10, our learned views enable comparable transfer accuracy to the well-tuned SimCLR augmentations --- despite not including transformations like cropping or color jit ter. Furthermore, our learned views significantly outperform baseline augmentati ons on speech recordings (+9 points on average) and wearable sensor data (+17 po ints on average). Viewmaker views can also be combined with handcrafted views: t hey improve robustness to common image corruptions and can increase transfer per formance in cases where handcrafted views are less explored. These results sugge st that viewmakers may provide a path towards more general representation learni  $\mbox{ng}$  algorithms---reducing the domain expertise and effort needed to pretrain on a much wider set of domains. Code is available at https://github.com/alextamkin/v iewmaker.

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Robust Pruning at Initialization

Soufiane Hayou, Jean-Francois Ton, Arnaud Doucet, Yee Whye Teh

Overparameterized Neural Networks (NN) display state-of-the-art performance. How ever, there is a growing need for smaller, energy-efficient, neural networks to be able to use machine learning applications on devices with limited computation al resources. A popular approach consists of using pruning techniques. While the se techniques have traditionally focused on pruning pre-trained NN (LeCun et al., 1990; Hassibi et al., 1993), recent work by Lee et al. (2018) has shown promising results when pruning at initialization. However, for Deep NNs, such procedures remain unsatisfactory as the resulting pruned networks can be difficult to train and, for instance, they do not prevent one layer from being fully pruned. In

this paper, we provide a comprehensive theoretical analysis of Magnitude and Gra dient based pruning at initialization and training of sparse architectures. This allows us to propose novel principled approaches which we validate experimentally on a variety of NN architectures.

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Improving Graph Neural Network Expressivity via Subgraph Isomorphism Counting Giorgos Bouritsas, Fabrizio Frasca, Stefanos Zafeiriou, Michael M. Bronstein While Graph Neural Networks (GNNs) have achieved remarkable results in a variety of applications, recent studies exposed important shortcomings in their ability to capture the structure of the underlying graph. It has been shown that the ex pressive power of standard GNNs is bounded by the Weisfeiler-Lehman (WL) graph i somorphism test, from which they inherit proven limitations such as the inabilit y to detect and count graph substructures. On the other hand, there is significa nt empirical evidence, e.g. in network science and bioinformatics, that substruc tures are often informative for downstream tasks, suggesting that it is desirabl e to design GNNs capable of leveraging this important source of information. To this end, we propose a novel topologically-aware message passing scheme based on substructure encoding. We show that our architecture allows incorporating domai n-specific inductive biases and that it is strictly more expressive than the WL test. Importantly, in contrast to recent works on the expressivity of GNNs, we d o not attempt to adhere to the WL hierarchy; this allows us to retain multiple a ttractive properties of standard GNNs such as locality and linear network comple xity, while being able to disambiguate even hard instances of graph isomorphism. We extensively evaluate our method on graph classification and regression tasks and show state-of-the-art results on multiple datasets including molecular grap hs and social networks.

Non-robust Features through the Lens of Universal Perturbations
Sung Min Park, Kuo-An Wei, Kai Yuanqing Xiao, Jerry Li, Aleksander Madry
Recent work ties adversarial examples to existence of non-robust features: features which are susceptible to small perturbations and believed to be unintelligible to humans, but still useful for prediction. We study universal adversarial perturbations and demonstrate that the above picture is more nuanced. Specifically, even though universal perturbations——similarly to standard adversarial perturbations——do leverage non-robust features, these features tend to be fundamentally different from the `standard'' ones and, in particular, non-trivially humanaligned. Namely, universal perturbations have more human-aligned locality and spatial invariance properties. However, we also show that these human-aligned non-robust features have much less predictive signal than general non-robust features. Our findings thus take a step towards improving our understanding of these previously unintelligible features.

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Single-Photon Image Classification

Thomas Fischbacher, Luciano Sbaiz

Quantum Computing based Machine Learning mainly focuses on quantum computing har dware that is experimentally challenging to realize due to requiring quantum gat es that operate at very low temperature. We demonstrate the existence of a "quan tum computing toy model" that illustrates key aspects of quantum information pro cessing while being experimentally accessible with room temperature optics. Pond ering the question of the theoretical classification accuracy performance limit for MNIST (respectively "Fashion-MNIST") classifiers, subject to the constraint that a decision has to be made after detection of the very first photon that pas sed through an image-filter, we show that a machine learning system that is perm itted to use quantum interference on the photon's state can substantially outper form any machine learning system that can not. Specifically, we prove that a "c lassical" MNIST (respectively "Fashion-MNIST") classifier cannot achieve an accu racy of better than 21.28 (respectively 18.28 for "Fashion-MNIST") if it must make a decision after seeing a single photon falling on one of the \$28\tim es 28\$ image pixels of a detector array. We further demonstrate that a classifi er that is permitted to employ quantum interference by optically transforming th

e photon state prior to detection can achieve a classification accuracy of at le ast \$41.27\%\$ for MNIST (respectively \$36.14\%\$ for "Fashion-MNIST"). We show in detail how to train the corresponding quantum state transformation with TensorF low and also explain how this example can serve as a teaching tool for the measu rement process in quantum mechanics.

On Learning Read-once DNFs With Neural Networks

Ido Bronstein, Alon Brutzkus, Amir Globerson

Learning functions over Boolean variables is a fundamental problem in machine le arning. But not much is known about learning such functions by neural networks. Because learning these functions in the distribution free setting is NP-Hard, th ey are unlikely to be efficiently learnable by networks in this case. However, a ssuming the inputs are sampled from the uniform distribution, an important subset of functions that are known to be efficiently learnable is read-once DNFs. Here we focus on this setting where the functions are learned by a convex neural network and gradient descent.

We first observe empirically that the learned neurons are aligned with the terms of the DNF, despite the fact that there are many zero-error networks that do no thave this property. Thus, the learning process has a clear inductive bias towards such logical formulas. To gain a better theoretical understanding of this phenomenon we focus on minimizing the population risk. We show that this risk can be minimized by multiple networks: from ones that memorize data to ones that compactly represent the DNF. We then set out to understand why gradient descent ``" chooses" the compact representation.

We use a computer assisted proof to prove the inductive bias for relatively smal l DNFs, and use it to design a process for reconstructing the DNF from the learn ed network. We then continue to provide theoretical insights on the learning process and the loss surface to better understand the resulting inductive bias. For example, we show that the neurons in solutions with minimum \$1\_2\$-norm of the weights are also aligned with the terms of the DNF. Finally, we empirically show that our results are validated in the empirical case for high dimensional DNFs, more general network architectures and tabular datasets.

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Generative Auto-Encoder: Controllable Synthesis with Disentangled Exploration Yunhao Ge, Gan Xin, Zhi Xu, Yao Xiao, Yunkui Pang, Yining HE, Laurent Itti

Autoencoders perform a powerful information compression framework with are const ruction loss and can be a regularization module in different tasks, which has no generative ability itself. We wondering if an autoencoder gains generative ability without using GAN and VAE based modification, which are two mature methods.

Here we propose a new method: Disentanglement and ExplorationAutoencoder (DEA E), DEAE using a disentangle and exploration positive iteration achieve semantic controllable synthesis especially controllable mining the novel semantic value.

For instance, given only red, green, and blue object color while mining new object color expressions in the image domain. The encoder of DEAE first turn the input sample into a disentangled latent code, then explore the latent codespace by attribute oriented interpolation. To encourage interpolated latent code succe safully output a semantically meaningful sample by the decoder, we propose a regularization procedure by 'reuse' encoder and constrain the output latent value which implicitly improves the quality of the interpolated sample. DEAE can become a generative model and synthesis semantic controllable samples by interpolating latent code, which can even synthesis novel attribute value never is shown in the original dataset. Experiments demonstrate how disentanglement and exploration can boost each other which empowers autoencoder generative ability. We also demonstrate that DEAE can improve the performance of downstream tasks compared with GANand VAE based generative model, especially in controllable data augmentation, dataset bias elimination (Fairness)

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Can a Fruit Fly Learn Word Embeddings?

Yuchen Liang, Chaitanya Ryali, Benjamin Hoover, Leopold Grinberg, Saket Navlakha, Moh

ammed J Zaki, Dmitry Krotov

The mushroom body of the fruit fly brain is one of the best studied systems in n euroscience. At its core it consists of a population of Kenyon cells, which rece ive inputs from multiple sensory modalities. These cells are inhibited by the an terior paired lateral neuron, thus creating a sparse high dimensional representa tion of the inputs. In this work we study a mathematical formalization of this n etwork motif and apply it to learning the correlational structure between words and their context in a corpus of unstructured text, a common natural language pr ocessing (NLP) task. We show that this network can learn semantic representation s of words and can generate both static and context-dependent word embeddings. U nlike conventional methods (e.g., BERT, GloVe) that use dense representations fo r word embedding, our algorithm encodes semantic meaning of words and their cont ext in the form of sparse binary hash codes. The quality of the learned represen tations is evaluated on word similarity analysis, word-sense disambiguation, and document classification. It is shown that not only can the fruit fly network mo tif achieve performance comparable to existing methods in NLP, but, additionally , it uses only a fraction of the computational resources (shorter training time and smaller memory footprint).

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Demystifying Loss Functions for Classification

Simon Kornblith, Honglak Lee, Ting Chen, Mohammad Norouzi

It is common to use the softmax cross-entropy loss to train neural networks on c lassification datasets where a single class label is assigned to each example. H owever, it has been shown that modifying softmax cross-entropy with label smooth ing or regularizers such as dropout can lead to higher performance. In this pape r, we compare a variety of loss functions and output layer regularization strate gies that improve performance on image classification tasks. We find differences in the outputs of networks trained with these different objectives, in terms of accuracy, calibration, out-of-distribution robustness, and predictions. However, differences in hidden representations of networks trained with different objectives are restricted to the last few layers; representational similarity reveals no differences among network layers that are not close to the output. We show that all objectives that improve over vanilla softmax loss produce greater class separation in the penultimate layer of the network, which potentially accounts for improved performance on the original task, but results in features that transfer worse to other tasks.

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VCNet and Functional Targeted Regularization For Learning Causal Effects of Continuous Treatments

Lizhen Nie, Mao Ye, qiang liu, Dan Nicolae

Motivated by the rising abundance of observational data with continuous treatmen ts, we investigate the problem of estimating the average dose-response curve (AD RF). Available parametric methods are limited in their model space, and previous attempts in leveraging neural network to enhance model expressiveness relied on partitioning continuous treatment into blocks and using separate heads for each block; this however produces in practice discontinuous ADRFs. Therefore, the qu estion of how to adapt the structure and training of neural network to estimate ADRFs remains open. This paper makes two important contributions. First, we prop ose a novel varying coefficient neural network (VCNet) that improves model expre ssiveness while preserving continuity of the estimated ADRF. Second, to improve finite sample performance, we generalize targeted regularization to obtain a dou bly robust estimator of the whole ADRF curve.

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Distributed Adversarial Training to Robustify Deep Neural Networks at Scale Gaoyuan Zhang, Songtao Lu, Sijia Liu, Xiangyi Chen, Pin-Yu Chen, Lee Martie, Lior Hore sh, Mingyi Hong

Current deep neural networks are vulnerable to adversarial attacks, where advers arial perturbations to the inputs can change or manipulate classification. To de fend against such attacks, an effective and popular approach, known as adversarial training, has been shown to mitigate the negative impact of adversarial attac

ks by virtue of a min-max robust training method. While effective, this approach is difficult to scale well to large models on large datasets (e.g., ImageNet) in general. To address this challenge, we propose distributed adversarial training (DAT), a large-batch adversarial training framework implemented over multiple machines. DAT supports one-shot and iterative attack generation methods, gradien to quantization, and training over labeled and unlabeled data. Theoretically, we provide, under standard conditions in the optimization theory, the convergence reate of DAT to the first-order stationary points in general non-convex settings. Empirically, on ResNet-18 and -50 under CIFAR-10 and ImageNet, we demonstrate that DAT either matches or outperforms state-of-the-art robust accuracies and ach ieves a graceful training speedup.

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Topology-Aware Segmentation Using Discrete Morse Theory Xiaoling Hu, Yusu Wang, Li Fuxin, Dimitris Samaras, Chao Chen

In the segmentation of fine-scale structures from natural and biomedical images, per-pixel accuracy is not the only metric of concern. Topological correctness, such as vessel connectivity and membrane closure, is crucial for downstream anal ysis tasks. In this paper, we propose a new approach to train deep image segment ation networks for better topological accuracy. In particular, leveraging the power of discrete Morse theory (DMT), we identify global structures, including 1D skeletons and 2D patches, which are important for topological accuracy. Trained with a novel loss based on these global structures, the network performance is significantly improved especially near topologically challenging locations (such as weak spots of connections and membranes). On diverse datasets, our method ach ieves superior performance on both the DICE score and topological metrics.

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A Wigner-Eckart Theorem for Group Equivariant Convolution Kernels Leon Lang, Maurice Weiler

Group equivariant convolutional networks (GCNNs) endow classical convolutional n etworks with additional symmetry priors, which can lead to a considerably improv ed performance. Recent advances in the theoretical description of GCNNs revealed that such models can generally be understood as performing convolutions with \$G \$-steerable kernels, that is, kernels that satisfy an equivariance constraint th emselves. While the \$G\$-steerability constraint has been derived, it has to date only been solved for specific use cases - a general characterization of \$G\$-steerable kernel spaces is still missing. This work provides such a characterization for the practically relevant case of \$G\$ being any compact group. Our investig ation is motivated by a striking analogy between the constraints underlying stee rable kernels on the one hand and spherical tensor operators from quantum mechan ics on the other hand. By generalizing the famous Wigner-Eckart theorem for sphe rical tensor operators, we prove that steerable kernel spaces are fully understo od and parameterized in terms of 1) generalized reduced matrix elements, 2) Cleb sch-Gordan coefficients, and 3) harmonic basis functions on homogeneous spaces.

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Model-Targeted Poisoning Attacks with Provable Convergence Fnu Suya, Saeed Mahloujifar, David Evans, Yuan Tian

In a poisoning attack, an adversary with control over a small fraction of the tr aining data attempts to select that data in a way that induces a model that misb ehaves in a particular way desired by the adversary, such as misclassifying cert ain inputs. We propose an efficient poisoning attack that can target a desired m odel based on online convex optimization. Unlike previous model-targeted poisoning attacks, our attack comes with provable convergence to any achievable target classifier. The distance from the induced classifier to the target classifier is inversely proportional to the square root of the number of poisoning points. We also provide a lower bound on the minimum number of poisoning points needed to achieve a given target classifier. Our attack is the first model-targeted poison ing attack that provides provable convergence, and in our experiments it either exceeds or matches the best state-of-the-art attacks in terms of attack success rate and distance to the target model. In addition, as an online attack our attack can incrementally determine nearly optimal poisoning points.

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Unifying Graph Convolutional Neural Networks and Label Propagation Hongwei Wang, Jure Leskovec

Label Propagation (LPA) and Graph Convolutional Neural Networks (GCN) are both m essage passing algorithms on graphs. Both solve the task of node classification but LPA propagates node label information across the edges of the graph, while G CN propagates and transforms node feature information. However, while conceptual ly similar, it is unclear how LPA and GCN can be combined under a unified framew ork to improve node classification. Here we study the relationship between LPA a nd GCN in terms of feature/label influence, in which we characterize how much th e initial feature/label of one node influences the final feature/label of anothe r node in GCN/LPA. Based on our theoretical analysis, we propose an end-to-end m odel that combines GCN and LPA. In our unified model, edge weights are learnable , and the LPA serves as regularization to assist the GCN in learning proper edge weights that lead to improved classification performance. Our model can also be seen as learning the weights for edges based on node labels, which is more task -oriented than existing feature-based attention models and topology-based diffus ion models. In a number of experiments on real-world graphs, our model shows sup eriority over state-of-the-art graph neural networks in terms of node classifica tion accuracy.

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D4RL: Datasets for Deep Data-Driven Reinforcement Learning Justin Fu, Aviral Kumar, Ofir Nachum, George Tucker, Sergey Levine

The offline reinforcement learning (RL) problem, also known as batch RL, refers to the setting where a policy must be learned from a static dataset, without add itional online data collection. This setting is compelling as it potentially all ows RL methods to take advantage of large, pre-collected datasets, much like how the rise of large datasets has fueled results in supervised learning in recent years. However, existing online RL benchmarks are not tailored towards the offli ne setting, making progress in offline RL difficult to measure. In this work, we introduce benchmarks specifically designed for the offline setting, guided by k ey properties of datasets relevant to real-world applications of offline RL. Exa mples of such properties include: datasets generated via hand-designed controlle rs and human demonstrators, multi-objective datasets where an agent can perform different tasks in the same environment, and datasets consisting of a mixtures o f policies. To facilitate research, we release our benchmark tasks and datasets with a comprehensive evaluation of existing algorithms and an evaluation protoco 1 together with an open-source codebase. We hope that our benchmark will focus r esearch effort on methods that drive improvements not just on simulated tasks, b ut ultimately on the kinds of real-world problems where offline RL will have the largest impact.

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Non-asymptotic Confidence Intervals of Off-policy Evaluation: Primal and Dual Bounds

Yihao Feng, Ziyang Tang, na zhang, qiang liu

Off-policy evaluation (OPE) is the task of estimating the expected reward of a g iven policy based on offline data previously collected under different policies. Therefore, OPE is a key step in applying reinforcement learning to real-world d omains such as medical treatment, where interactive data collection is expensive or even unsafe. As the observed data tends to be noisy and limited, it is essen tial to provide rigorous uncertainty quantification, not just a point estimation, when applying OPE to make high stakes decisions. This work considers the problem of constructing non-asymptotic confidence intervals in infinite-horizon off-policy evaluation, which remains a challenging open question. We develop a practical algorithm through a primal-dual optimization-based approach, which leverages the kernel Bellman loss (KBL) of Feng et al. 2019 and a new martingale concentration inequality of KBL applicable to time-dependent data with unknown mixing conditions. Our algorithm makes minimum assumptions on the data and the function class of the Q-function, and works for the behavior-agnostic settings where the data is collected under a mix of arbitrary unknown behavior policies. We pr

esent empirical results that clearly demonstrate the advantages of our approach over existing methods.

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Multi-Agent Collaboration via Reward Attribution Decomposition

Tianjun Zhang, Huazhe Xu, Xiaolong Wang, Yi Wu, Kurt Keutzer, Joseph E. Gonzalez, Yuan dong Tian

Recent advances in multi-agent reinforcement learning (MARL) have achieved super -human performance in games like Quake 3 and Dota 2. Unfortunately, these techni ques require orders-of-magnitude more training rounds than humans and don't gene ralize to new agent configurations even on the same game. In this work, we propo se Collaborative Q-learning (CollaQ) that achieves state-of-the-art performance in the StarCraft multi-agent challenge and supports ad hoc team play. We first f ormulate multi-agent collaboration as a joint optimization on reward assignment and show that each agent has an approximately optimal policy that decomposes int o two parts: one part that only relies on the agent's own state, and the other p art that is related to states of nearby agents. Following this novel finding, Co llaQ decomposes the Q-function of each agent into a self term and an interactive term, with a Multi-Agent Reward Attribution (MARA) loss that regularizes the tr aining. CollaQ is evaluated on various StarCraft maps and shows that it outperfo rms existing state-of-the-art techniques (i.e., QMIX, QTRAN, and VDN) by improv ing the win rate by 40% with the same number of samples. In the more challenging ad hoc team play setting (i.e., reweight/add/remove units without re-training o r finetuning), CollaQ outperforms previous SoTA by over 30%.

Model Patching: Closing the Subgroup Performance Gap with Data Augmentation Karan Goel, Albert Gu, Yixuan Li, Christopher Re

Classifiers in machine learning are often brittle when deployed. Particularly co ncerning are models with inconsistent performance on specific subgroups of a cla ss, e.g., exhibiting disparities in skin cancer classification in the presence o r absence of a spurious bandage. To mitigate these performance differences, we i ntroduce model patching, a two-stage framework for improving robustness that enc ourages the model to be invariant to subgroup differences, and focus on class in formation shared by subgroups. Model patching first models subgroup features wit hin a class and learns semantic transformations between them, and then trains a classifier with data augmentations that deliberately manipulate subgroup feature s. We instantiate model patching with CAMEL, which (1) uses a CycleGAN to learn the intra-class, inter-subgroup augmentations, and (2) balances subgroup perform ance using a theoretically-motivated subgroup consistency regularizer, accompani ed by a new robust objective. We demonstrate CAMEL's effectiveness on 3 benchmar k datasets, with reductions in robust error of up to 33% relative to the best ba seline. Lastly, CAMEL successfully patches a model that fails due to spurious fe atures on a real-world skin cancer dataset.

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Measuring and mitigating interference in reinforcement learning Vincent Liu, Adam M White, Hengshuai Yao, Martha White

Catastrophic interference is common in many network-based learning systems, and many proposals exist for mitigating it. But, before we overcome interference we must understand it better. In this work, we first provide a definition and novel measure of interference for value-based control methods such as Fitted Q Iterat ion and DQN. We systematically evaluate our measure of interference, showing that it correlates with forgetting, across a variety of network architectures. Our new interference measure allows us to ask novel scientific questions about commo nly used deep learning architectures and develop new learning algorithms. In par ticular we show that updates on the last layer result in significantly higher in terference than updates internal to the network. Lastly, we introduce a novel on line-aware representation learning algorithm to minimize interference, and we empirically demonstrate that it improves stability and has lower interference.

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Conditional Coverage Estimation for High-quality Prediction Intervals

Ziyi Huang, Henry Lam, Haofeng Zhang

Deep learning has achieved state-of-the-art performance to generate high-quality prediction intervals (PIs) for uncertainty quantification in regression tasks. The high-quality criterion requires PIs to be as narrow as possible, whilst main taining a pre-specified level of data (marginal) coverage. However, most existin g works for high-quality PIs lack accurate information on conditional coverage, which may cause unreliable predictions if it is significantly smaller than the m arginal coverage. To address this problem, we propose a novel end-to-end framewo rk which could output high-quality PIs and simultaneously provide their conditio nal coverage estimation. In doing so, we design a new loss function that is both easy-to-implement and theoretically justified via an exponential concentration bound. Our evaluation on real-world benchmark datasets and synthetic examples sh ows that our approach not only outperforms the state-of-the-arts on high-quality PIs in terms of average PI width, but also accurately estimates conditional coverage information that is useful in assessing model uncertainty.

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SOLAR: Sparse Orthogonal Learned and Random Embeddings

Tharun Medini, Beidi Chen, Anshumali Shrivastava

Dense embedding models are commonly deployed in commercial search engines, where in all the document vectors are pre-computed, and near-neighbor search (NNS) is performed with the query vector to find relevant documents. However, the bottlen eck of indexing a large number of dense vectors and performing an NNS hurts the query time and accuracy of these models. In this paper, we argue that high-dimen sional and ultra-sparse embedding is a significantly superior alternative to den se low-dimensional embedding for both query efficiency and accuracy. Extreme spa rsity eliminates the need for NNS by replacing them with simple lookups, while i ts high dimensionality ensures that the embeddings are informative even when spa rse. However, learning extremely high dimensional embeddings leads to blow up in the model size. To make the training feasible, we propose a partitioning algori thm that learns such high dimensional embeddings across multiple GPUs without an y communication. This is facilitated by our novel asymmetric mixture of Sparse, Orthogonal, Learned and Random (SOLAR) Embeddings. The label vectors are random, sparse, and near-orthogonal by design, while the query vectors are learned and sparse. We theoretically prove that our way of one-sided learning is equivalent to learning both query and label embeddings. With these unique properties, we ca n successfully train  $500\mbox{K}$  dimensional SOLAR embeddings for the tasks of searchin g through 1.6M books and multi-label classification on the three largest public datasets. We achieve superior precision and recall compared to the respective st ate-of-the-art baselines for each task with up to 10 times faster speed.

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Neural representation and generation for RNA secondary structures Zichao Yan, William L. Hamilton, Mathieu Blanchette

Our work is concerned with the generation and targeted design of RNA, a type of genetic macromolecule that can adopt complex structures which influence their ce llular activities and functions. The design of large scale and complex biologica 1 structures spurs dedicated graph-based deep generative modeling techniques, wh ich represents a key but underappreciated aspect of computational drug discovery . In this work, we investigate the principles behind representing and generating different RNA structural modalities, and propose a flexible framework to jointl y embed and generate these molecular structures along with their sequence in a m eaningful latent space. Equipped with a deep understanding of RNA molecular stru ctures, our most sophisticated encoding and decoding methods operate on the mole cular graph as well as the junction tree hierarchy, integrating strong inductive bias about RNA structural regularity and folding mechanism such that high struc tural validity, stability and diversity of generated RNAs are achieved. Also, we seek to adequately organize the latent space of RNA molecular embeddings with r egard to the interaction with proteins, and targeted optimization is used to nav igate in this latent space to search for desired novel RNA molecules.

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Shortest-Path Constrained Reinforcement Learning for Sparse Reward Tasks

Sungryull Sohn, Sungtae Lee, Jongwook Choi, Harm van Seijen, Honglak Lee, Mehdi Fatem :

We propose the k-Shortest-Path (k-SP) constraint: a novel constraint on the agen t's trajectory that improves the sample-efficiency in sparse-reward MDPs. We sho w that any optimal policy necessarily satisfies the k-SP constraint. Notably, th e k-SP constraint prevents the policy from exploring state-action pairs along th e non-k-SP trajectories (e.g., going back and forth). However, in practice, exc luding state-action pairs may hinder convergence of many RL algorithms. To overc ome this, we propose a novel cost function that penalizes the policy violating S P constraint, instead of completely excluding it. Our numerical experiment in a tabular RL setting demonstrate that the SP constraint can significantly reduce the trajectory space of policy. As a result, our constraint enables more sample efficient learning by suppressing redundant exploration and exploitation. Our em pirical experiment results on MiniGrid and DeepMind Lab show that the proposed m ethod significantly improves proximal policy optimization (PPO) and outperforms existing novelty-seeking exploration methods including count-based exploration, indicating that it improves the sample efficiency by preventing the agent from t aking redundant actions.

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BeBold: Exploration Beyond the Boundary of Explored Regions

Tianjun Zhang, Huazhe Xu, Xiaolong Wang, Yi Wu, Kurt Keutzer, Joseph E. Gonzalez, Yuan dong Tian

Efficient exploration under sparse rewards remains a key challenge in deep reinf orcement learning. To guide exploration, previous work makes extensive use of in trinsic reward (IR). There are many heuristics for IR, including visitation counts, curiosity, and state-difference. In this paper, we analyze the pros and cons of each method and propose the regulated difference of inverse visitation counts as a simple but effective criterion for IR. The criterion helps the agent explore Beyond the Boundary of explored regions and mitigates common issues in count-based methods, such as short-sightedness and detachment. The resulting method, BeBold, solves the 12 most challenging procedurally-generated tasks in MiniGridwith just 120M environment steps, without any curriculum learning. In comparison, previous SoTA only solves 50% of the tasks. BeBold also achieves SoTA on multiple tasks in NetHack, a popular rogue-like game that contains more challenging procedurally-generated environments.

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N-Bref: A High-fidelity Decompiler Exploiting Programming Structures Cheng Fu, Kunlin Yang, Xinyun Chen, Yuandong Tian, Jishen Zhao

Binary decompilation is a powerful technique for analyzing and understanding sof tware, when source code is unavailable. It is a critical problem in the computer security domain. With the success of neural machine translation (NMT), recent e fforts on neural-based decompiler show promising results compared to traditional approaches. However, several key challenges remain: (i) Prior neural-based deco mpilers focus on simplified programs without considering sophisticated yet widel y-used data types such as pointers; furthermore, many high-level expressions map to the same low-level code (expression collision), which incurs critical decomp iling performance degradation; (ii) State-of-the-art NMT models(e.g., transforme r and its variants) mainly deal with sequential data; this is inefficient for de compilation, where the input and output data are highly structured. In this pape r, we propose N-Bref, a new framework for neural decompilers that addresses the two aforementioned challenges with two key design principles: (i)N-Bref designs a structural transformer with three key design components for better comprehensi on of structural data - an assembly encoder, an abstract syntax tree encoder, an d a tree decoder, extending transformer models in the context of decompilation. (ii) N-Bref introduces a program generation tool that can control the complexity of code generation and removes expression collisions. Extensive experiments dem onstrate that N-Bref outperforms previous neural-based decompilers by a margin o f 6.1%/8.8% accuracy in datatype recovery and source code generation. In particu lar, N-Bref decompiled human-written Leetcode programs with complex library call s and data types in high accuracy.

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A Mathematical Exploration of Why Language Models Help Solve Downstream Tasks Nikunj Saunshi, Sadhika Malladi, Sanjeev Arora

Autoregressive language models, pretrained using large text corpora to do well o n next word prediction, have been successful at solving many downstream tasks, e ven with zero-shot usage. However, there is little theoretical understanding of this success. This paper initiates a mathematical study of this phenomenon for t he downstream task of text classification by considering the following questions : (1) What is the intuitive connection between the pretraining task of next word prediction and text classification? (2) How can we mathematically formalize thi s connection and quantify the benefit of language modeling? For (1), we hypothes ize, and verify empirically, that classification tasks of interest can be reform ulated as sentence completion tasks, thus making language modeling a meaningful pretraining task. With a mathematical formalization of this hypothesis, we make progress towards (2) and show that language models that are \$\epsilon\$-optimal i n cross-entropy (log-perplexity) learn features that can linearly solve such cla ssification tasks with  $\mathcal{O}(\sqrt{\phi})$  error, thus demonstrating that doing well on language modeling can be beneficial for downstream tasks. We experimentally verify various assumptions and theoretical findings, and also use insights from the analysis to design a new objective function that performs wel l on some classification tasks.

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Adding Recurrence to Pretrained Transformers Davis Yoshida, Allyson Ettinger, Kevin Gimpel

Fine-tuning a pretrained transformer for a downstream task has become a standard method in NLP in the last few years. While the results from these models are im pressive, applying them can be extremely computationally expensive, as is pretra ining new models with the latest architectures. We present a novel method for applying pretrained transformer language models which lowers their memory requirem ent both at training and inference time. An additional benefit is that our method removes the fixed context size constraint that most transformer models have, a llowing for more flexible use. When applied to the GPT-2 language model, we find that our method attains better perplexity than an unmodified GPT-2 model on the PG-19 and WikiText-103 corpora, for a given amount of computation or memory.

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Adversarial Problems for Generative Networks Kalliopi Basioti, George V. Moustakides

We are interested in the design of generative networks. The training of these ma thematical structures is mostly performed with the help of adversarial (min-max) optimization problems. We propose a simple methodology for constructing such pr oblems assuring, at the same time, consistency of the corresponding solution. We give characteristic examples developed by our method, some of which can be recognized from other applications and some are introduced here for the first time. We compare various possibilities by applying them to well known datasets using n eural networks of different configurations and sizes.

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Temporal and Object Quantification Nets

Jiayuan Mao, Zhezheng Luo, Chuang Gan, Joshua B. Tenenbaum, Jiajun Wu, Leslie Pack Ka elbling, Tomer Ullman

We aim to learn generalizable representations for complex activities by quantify ing over both entities and time, as in "the kicker is behind all the other playe rs," or "the player controls the ball until it moves toward the goal." Such a s tructural inductive bias of object relations, object quantification, and tempora l orders will enable the learned representation to generalize to situations with varying numbers of agents, objects, and time courses. In this paper, we present Temporal and Object Quantification Nets (TOQ-Nets), which provide such structur al inductive bias for learning composable action concepts from time sequences th at describe the properties and relations of multiple entities. We evaluate TOQ-Nets on two benchmarks: trajectory-based soccer event detection, and 6D pose-base d manipulation concept learning. We demonstrate that TOQ-Nets can generalize fro

m small amounts of data to scenarios where there are more agents and objects than were present during training. The learned concepts are also robust with respect to temporally warped sequences and easily transfer to other prediction tasks in a similar domain.

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D3C: Reducing the Price of Anarchy in Multi-Agent Learning

Ian Gemp, Kevin McKee, Richard Everett, Edgar Alfredo Duenez-Guzman, Yoram Bachrach, David Balduzzi, Andrea Tacchetti

Even in simple multi-agent systems, fixed incentives can lead to outcomes that a re poor for the group and each individual agent. We propose a method, D3C, for o nline adjustment of agent incentives that reduces the loss incurred at a Nash equilibrium. Agents adjust their incentives by learning to mix their incentive with that of other agents, until a compromise is reached in a distributed fashion. We show that D3C improves outcomes for each agent and the group as a whole in several social dilemmas including a traffic network with Braess's paradox, a priso ner's dilemma, and several reinforcement learning domains.

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On Alignment in Deep Linear Neural Networks

Adityanarayanan Radhakrishnan, Eshaan Nichani, Daniel Bernstein, Caroline Uhler

We study the properties of alignment, a form of implicit regularization, in linear neural networks under gradient descent. We define alignment for fully connected networks with multidimensional outputs and show that it is a natural extension of alignment in networks with 1-dimensional outputs as defined by Ji and Telgarsky, 2018. While in fully connected networks, there always exists a global minimum corresponding to an aligned solution, we analyze alignment as it relates to the training process. Namely, we characterize when alignment is an invariant of training under gradient descent by providing necessary and sufficient conditions for this invariant to hold. In such settings, the dynamics of gradient descent simplify, thereby allowing us to provide an explicit learning rate under which the network converges linearly to a global minimum. We then analyze networks with layer constraints such as convolutional networks. In this setting, we prove that gradient descent is equivalent to projected gradient descent, and that alignment is impossible with sufficiently large datasets.

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EigenGame: PCA as a Nash Equilibrium

Ian Gemp, Brian McWilliams, Claire Vernade, Thore Graepel

We present a novel view on principal components analysis as a competitive game in which each approximate eigenvector is controlled by a player whose goal is to maximize their own utility function. We analyze the properties of this PCA game and the behavior of its gradient based updates. The resulting algorithm——which combines elements from Oja's rule with a generalized Gram—Schmidt orthogonalization——is naturally decentralized and hence parallelizable through message passing. We demonstrate the scalability of the algorithm with experiments on large im age datasets and neural network activations. We discuss how this new view of PCA as a differentiable game can lead to further algorithmic developments and insights.

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Noise or Signal: The Role of Image Backgrounds in Object Recognition Kai Yuanqing Xiao, Logan Engstrom, Andrew Ilyas, Aleksander Madry

We assess the tendency of state-of-the-art object recognition models to depend on signals from image backgrounds. We create a toolkit for disentangling foreground and background signal on ImageNet images, and find that (a) models can achieve non-trivial accuracy by relying on the background alone, (b) models often misc lassify images even in the presence of correctly classified foregrounds--up to 8% of the time with adversarially chosen backgrounds, and (c) more accurate mode ls tend to depend on backgrounds less. Our analysis of backgrounds brings us closer to understanding which correlations machine learning models use, and how the y determine models' out of distribution performance.

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Does enhanced shape bias improve neural network robustness to common corruptions  $^{\circ}$ 

Chaithanya Kumar Mummadi, Ranjitha Subramaniam, Robin Hutmacher, Julien Vitay, Volker Fischer, Jan Hendrik Metzen

Convolutional neural networks (CNNs) learn to extract representations of complex features, such as object shapes and textures to solve image recognition tasks. Recent work indicates that CNNs trained on ImageNet are biased towards features that encode textures and that these alone are sufficient to generalize to unseen test data from the same distribution as the training data but often fail to gen eralize to out-of-distribution data. It has been shown that augmenting the train ing data with different image styles decreases this texture bias in favor of inc reased shape bias while at the same time improving robustness to common corrupti ons, such as noise and blur. Commonly, this is interpreted as shape bias increas ing corruption robustness. However, this relationship is only hypothesized. We p erform a systematic study of different ways of composing inputs based on natural images, explicit edge information, and stylization. While stylization is essent ial for achieving high corruption robustness, we do not find a clear correlation between shape bias and robustness. We conclude that the data augmentation cause d by style-variation accounts for the improved corruption robustness and increa sed shape bias is only a byproduct.

Long Live the Lottery: The Existence of Winning Tickets in Lifelong Learning Tianlong Chen, Zhenyu Zhang, Sijia Liu, Shiyu Chang, Zhangyang Wang

The lottery ticket hypothesis states that a highly sparsified sub-network can be trained in isolation, given the appropriate weight initialization. This paper e xtends that hypothesis from one-shot task learning, and demonstrates for the fir st time that such extremely compact and independently trainable sub-networks can be also identified in the lifelong learning scenario, which we call lifelong ti ckets. We show that the resulting lifelong ticket can further be leveraged to im prove the performance of learning over continual tasks. However, it is highly no n-trivial to conduct network pruning in the lifelong setting. Two critical roadb locks arise: i) As many tasks now arrive sequentially, finding tickets in a gree dy weight pruning fashion will inevitably suffer from the intrinsic bias, that t he earlier emerging tasks impact more; ii) As lifelong learning is consistently challenged by catastrophic forgetting, the compact network capacity of tickets m ight amplify the risk of forgetting. In view of those, we introduce two pruning options, e.g., top-down and bottom-up, for finding lifelong tickets. Compared to the top-down pruning that extends vanilla (iterative) pruning over sequential t asks, we show that the bottom-up one, which can dynamically shrink and (re-)expa nd model capacity, effectively avoids the undesirable excessive pruning in the e arly stage. We additionally introduce lottery teaching that further overcomes fo rgetting via knowledge distillation aided by external unlabeled data. Unifying t hose ingredients, we demonstrate the existence of very competitive lifelong tick ets, e.g., achieving 3-8% of the dense model size with even higher accuracy, com pared to strong class-incremental learning baselines on CIFAR-10/CIFAR-100/Tiny-ImageNet datasets. Codes available at https://github.com/VITA-Group/Lifelong-Lea rning-LTH.

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Do Deeper Convolutional Networks Perform Better? Eshaan Nichani, Adityanarayanan Radhakrishnan, Caroline Uhler

Over-parameterization is a recent topic of much interest in the machine lear ning community. While over-parameterized neural networks are capable of perfect ly fitting (interpolating) training data, these networks often perform well on t est data, thereby contradicting classical learning theory. Recent work provided an explanation for this phenomenon by introducing the double descent curve, sho wing that increasing model capacity past the interpolation threshold leads to a decrease in test error. In line with this, it was recently shown empirically and theoretically that increasing neural network capacity through width leads to double descent. In this work, we analyze the effect of increasing depth on test

performance. In contrast to what is observed for increasing width, we demonstra te through a variety of classification experiments on CIFAR10 and ImageNet-32 us ing ResNets and fully-convolutional networks that test performance worsens beyon d a critical depth. We posit an explanation for this phenomenon by drawing intuition from the principle of minimum norm solutions in linear networks.

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Compressing gradients in distributed SGD by exploiting their temporal correlation

Tharindu Adikari, Stark Draper

We propose SignXOR, a novel compression scheme that exploits temporal correlatio n of gradients for the purpose of gradient compression. Sign-based schemes such as Scaled-sign and SignSGD (Bernstein et al., 2018; Karimireddy et al., 2019) co mpress gradients by storing only the sign of gradient entries. These methods, ho wever, ignore temporal correlations between gradients. The equality or non-equal ity of signs of gradients in two consecutive iterations can be represented by a binary vector, which can be further compressed depending on its entropy. By impl ementing a rate-distortion encoder we increase the temporal correlation of gradi ents, lowering entropy and improving compression. We achieve theoretical converg ence of SignXOR by employing the two-way error-feedback approach introduced by Z heng et al. (2019). Zheng et al. (2019) show that two-way compression with error -feedback achieves the same asymptotic convergence rate as SGD, although converg ence is slower by a constant factor. We strengthen their analysis to show that t he rate of convergence of two-way compression with errorfeedback asymptotically is the same as that of SGD. As a corollary we prove that two-way SignXOR compres sion with error-feedback achieves the same asymptotic rate of convergence as SGD . We numerically evaluate our proposed method on the CIFAR-100 and ImageNet data sets and show that SignXOR requires less than 50% of communication traffic compa red to sending sign of gradients. To the best of our knowledge we are the first to present a gradient compression scheme that exploits temporal correlation of g

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Learning a Non-Redundant Collection of Classifiers

Daniel Pace, Alessandra Russo, Murray Shanahan

Supervised learning models constructed under the i.i.d. assumption have often be en shown to exploit spurious or brittle predictive signals instead of more robus t ones present in the training data. Inspired by Quality-Diversity algorithms, i n this work we train a collection of classifiers to learn distinct solutions to a classification problem, with the goal of learning to exploit a variety of pred ictive signals present in the training data. We propose an information-theoretic measure of model diversity based on minimizing an estimate of conditional total correlation of final layer representations across models given the label. We co nsider datasets with synthetically injected spurious correlations and evaluate o ur framework's ability to rapidly adapt to a change in distribution that destroy s the spurious correlation. We compare our method to a variety of baselines unde r this evaluation protocol, showing that it is competitive with other approaches while being more successful at isolating distinct signals. We also show that ou r model is competitive with Invariant Risk Minimization under this evaluation pr otocol without requiring access to the environment information required by IRM t o discriminate between spurious and robust signals.

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Exploring the Uncertainty Properties of Neural Networks' Implicit Priors in the Infinite-Width Limit

Ben Adlam, Jaehoon Lee, Lechao Xiao, Jeffrey Pennington, Jasper Snoek

Modern deep learning models have achieved great success in predictive accuracy f or many data modalities. However, their application to many real-world tasks is restricted by poor uncertainty estimates, such as overconfidence on out-of-distr ibution (OOD) data and ungraceful failing under distributional shift. Previous b enchmarks have found that ensembles of neural networks (NNs) are typically the b est calibrated models on OOD data. Inspired by this, we leverage recent theoreti

cal advances that characterize the function-space prior of an infinitely-wide NN as a Gaussian process, termed the neural network Gaussian process (NNGP). We us e the NNGP with a softmax link function to build a probabilistic model for multi -class classification and marginalize over the latent Gaussian outputs to sample from the posterior. This gives us a better understanding of the implicit prior NNs place on function space and allows a direct comparison of the calibration of the NNGP and its finite-width analogue. We also examine the calibration of prev ious approaches to classification with the NNGP, which treat classification prob lems as regression to the one-hot labels. In this case the Bayesian posterior is exact, and we compare several heuristics to generate a categorical distribution over classes. We find these methods are well calibrated under distributional sh ift. Finally, we consider an infinite-width final layer in conjunction with a pr e-trained embedding. This replicates the important practical use case of transfe r learning and allows scaling to significantly larger datasets. As well as achie ving competitive predictive accuracy, this approach is better calibrated than it s finite width analogue.

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Hierarchical Autoregressive Modeling for Neural Video Compression Ruihan Yang, Yibo Yang, Joseph Marino, Stephan Mandt

Recent work by Marino et al. (2020) showed improved performance in sequential de nsity estimation by combining masked autoregressive flows with hierarchical late nt variable models. We draw a connection between such autoregressive generative models and the task of lossy video compression. Specifically, we view recent neu ral video compression methods (Lu et al., 2019; Yang et al., 2020b; Agustssonet al., 2020) as instances of a generalized stochastic temporal autoregressive tran sform, and propose avenues for enhancement based on this insight. Comprehensive evaluations on large-scale video data show improved rate-distortion performance over both state-of-the-art neural and conventional video compression methods.

LLBoost: Last Layer Perturbation to Boost Pre-trained Neural Networks Adityanarayanan Radhakrishnan, Neha Prasad, Caroline Uhler

While deep networks have produced state-of-the-art results in several domain s from image classification to machine translation, hyper-parameter selection re mains a significant computational bottleneck. In order to produce the best poss ible model, practitioners often search across random seeds or use ensemble metho ds. As models get larger, any method to improve neural network performance that involves re-training becomes intractable. For example, computing the training accuracy of FixResNext-101 (829 million parameters) on ImageNet takes roughly 1~ day when using 1~GPU.

In this work, we present LLBoost, a theoretically-grounded, computationally-efficient method to boost the validation accuracy of pre-trained over-parameterized models without impacting the original training accuracy. LLBoost adjusts the last layer of a neural network by adding a term that is orthogonal to the training feature matrix, which is constructed by applying all layers but the last to the training data. We provide an efficient implementation of LLBoost on the GPU and demonstrate that LLBoost, run using only 1 GPU, improves the test/validati on accuracy of pre-trained models on CIFAR10, ImageNet32, and ImageNet. In the over-parameterized linear regression setting, we prove that LLBoost reduces the generalization error of any interpolating solution with high probability without affecting training error.

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On the Dynamic Regret of Online Multiple Mirror Descent Nima Eshraghi, and Ben Liang

We study the problem of online convex optimization, where a learner makes sequen tial decisions to minimize an accumulation of strongly convex costs over time. The quality of decisions is given in terms of the dynamic regret, which measures the performance of the learner relative to a sequence of dynamic minimizers. Prior works on gradient descent and mirror descent have shown that the dynamic regret can be upper bounded using the path length, which depend on the differences between successive minimizers, and an upper bound using the squared path length h

as also been shown when multiple gradient queries are allowed per round. However, they all require the cost functions to be Lipschitz continuous, which imposes a strong requirement especially when the cost functions are also strongly convex. In this work, we consider Online Multiple Mirror Descent (OMMD), which is base d on mirror descent but uses multiple mirror descent steps per online round. Wit hout requiring the cost functions to be Lipschitz continuous, we derive two upper bounds on the dynamic regret based on the path length and squared path length. We further derive a third upper bound that relies on the gradient of cost functions, which can be much smaller than the path length or squared path length, especially when the cost functions are smooth but fluctuate over time. Thus, we show that the dynamic regret of OMMD scales linearly with the minimum among the path length, squared path length, and sum squared gradients. Our experimental results further show substantial improvement on the dynamic regret compared with existing alternatives.

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DeLighT: Deep and Light-weight Transformer

Sachin Mehta, Marjan Ghazvininejad, Srinivasan Iyer, Luke Zettlemoyer, Hannaneh Haji shirzi

We introduce a deep and light-weight transformer, DeLighT, that delivers similar or better performance than standard transformer-based models with significantly fewer parameters. DeLighT more efficiently allocates parameters both (1) within each Transformer block using the DeLighT transformation, a deep and light-weigh t transformation and (2) across blocks using block-wise scaling, that allows for shallower and narrower DeLighT blocks near the input and wider and deeper DeLighT blocks near the output. Overall, DeLighT networks are 2.5 to 4 times deeper t han standard transformer models and yet have fewer parameters and operations. Experiments on benchmark machine translation and language modeling tasks show that DeLighT matches or improves the performance of baseline Transformers with 2 to 3 times fewer parameters on average.

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Learning A Minimax Optimizer: A Pilot Study

Jiayi Shen, Xiaohan Chen, Howard Heaton, Tianlong Chen, Jialin Liu, Wotao Yin, Zhangya ng Wang

Solving continuous minimax optimization is of extensive practical interest, yet notoriously unstable and difficult. This paper introduces the learning to optimi ze(L2O) methodology to the minimax problems for the first time and addresses its accompanying unique challenges. We first present Twin-L2O, the first dedicated minimax L20 method consisting of two LSTMs for updating min and max variables se parately. The decoupled design is found to facilitate learning, particularly whe n the min and max variables are highly asymmetric. Empirical experiments on a va riety of minimax problems corroborate the effectiveness of Twin-L2O. We then dis cuss a crucial concern of Twin-L2O, i.e., its inevitably limited generalizabilit y to unseen optimizees. To address this issue, we present two complementary stra tegies. Our first solution, Enhanced Twin-L2O, is empirically applicable for gen eral minimax problems, by improving L2O training via leveraging curriculum learn ing. Our second alternative, called Safeguarded Twin-L2O, is a preliminary theor etical exploration stating that under some strong assumptions, it is possible to theoretically establish the convergence of Twin-L2O. We benchmark our algorithm s on several testbed problems and compare against state-of-the-art minimax solve rs. The code is available at: https://github.com/VITA-Group/L2O-Minimax.

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First-Order Optimization Algorithms via Discretization of Finite-Time Convergent Flows

Mouhacine Benosman, Orlando Romero, Anoop Cherian

In this paper, we investigate the performance of several discretization algorith ms for two first-order finite-time optimization flows. These flows are, namely, the rescaled-gradient flow (RGF) and the signed-gradient flow (SGF), and consist of non-Lipschiz or discontinuous dynamical systems that converge locally in finite time to the minima of gradient-dominated functions. We introduce three discretization methods for these first-order finite-time flows, and provide converge

nce guarantees. We then apply the proposed algorithms in training neural network s and empirically test their performances on three standard datasets, namely, CI FAR10, SVHN, and MNIST. Our results show that our schemes demonstrate faster con vergences against standard optimization alternatives, while achieving equivalent or better accuracy.

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Beyond Prioritized Replay: Sampling States in Model-Based RL via Simulated Priorities

Jincheng Mei, Yangchen Pan, Martha White, Amir-massoud Farahmand, Hengshuai Yao The prioritized Experience Replay (ER) method has attracted great attention; how ever, there is little theoretical understanding of such prioritization strategy and why they help. In this work, we revisit prioritized ER and, in an ideal sett ing, show equivalence to minimizing cubic loss, providing theoretical insight in to why it improves upon uniform sampling. This theoretical equivalence highlight s two limitations of current prioritized experience replay methods: insufficient coverage of the sample space and outdated priorities of training samples. This motivates our model-based approach, which does not suffer from these limitations . Our key idea is to actively search for high priority states using gradient asc ent. Under certain conditions, we prove that the hypothetical experiences genera ted from these states are sampled proportionally to approximately true prioritie s. We also characterize the distance between the sampling distribution of our me thod and the true prioritized sampling distribution. Our experiments on both ben chmark and application-oriented domains show that our approach achieves superior performance over baselines.

ALFA: Adversarial Feature Augmentation for Enhanced Image Recognition Tianlong Chen, Yu Cheng, Zhe Gan, Yu Hu, Zhangyang Wang, Jingjing Liu Adversarial training is an effective method to combat adversarial attacks in ord er to create robust neural networks. By using an auxiliary batch normalization o n adversarial examples, it has been shown recently to possess great potential in improving the generalization ability of neural networks for image recognition a s well. However, crafting pixel-level adversarial perturbations is computational ly expensive. To address this issue, we propose AdversariaL Feature Augmentation (ALFA), which advocates adversarial training on the intermediate layers of feat ure embeddings. ALFA utilizes both clean and adversarial augmented features join tly to enhance standard trained networks. To eliminate laborious tuning of key p arameters such as locations and strength of feature augmentations, we further de sign a learnable adversarial feature augmentation (L-ALFA) framework to automati cally adjust the perturbation magnitude of each perturbed feature. Extensive exp eriments demonstrate that our proposed ALFA and L-ALFA methods achieve significa nt and consistent generalization improvement over strong baselines on CIFAR-10, CIFAR-100, and ImageNet benchmarks across different backbone networks for image recognition.

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A Neural Network MCMC sampler that maximizes Proposal Entropy ZENGYI LI, Yubei Chen, Friedrich Sommer

Markov Chain Monte Carlo (MCMC) methods sample from unnormalized probability dis tributions and offer guarantees of exact sampling. However, in the continuous ca se, unfavorable geometry of the target distribution can greatly limit the effici ency of MCMC methods. Augmenting samplers with neural networks can potentially i mprove their efficiency. Previous neural network based samplers were trained with objectives that either did not explicitly encourage exploration, or used a L2 jump objective which could only be applied to well structured distributions. Thus it seems promising to instead maximize the proposal entropy for adapting the proposal to distributions of any shape. To allow direct optimization of the proposal entropy, we propose a neural network MCMC sampler that has a flexible and tractable proposal distribution. Specifically, our network architecture utilizes the gradient of the target distribution for generating proposals. Our model achieves significantly higher efficiency than previous neural network MCMC techniques in a variety of sampling tasks. Further, the sampler is applied on training of

a convergent energy-based model of natural images. The learned sampler achieves significantly higher proposal entropy and sample quality compared to Langevin dy namics sampler.

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Online Learning under Adversarial Corruptions

Pranjal Awasthi, Sreenivas Gollapudi, Kostas Kollias, Apaar Sadhwani

We study the design of efficient online learning algorithms tolerant to adversar ially corrupted rewards. In particular, we study settings where an online algorithm makes a prediction at each time step, and receives a stochastic reward from the environment that can be arbitrarily corrupted with probability \$\epsilon \in [0,\frac 1 2)\$. Here \$\epsilon\$ is the noise rate the characterizes the strengt h of the adversary. As is standard in online learning, we study the design of al gorithms with small regret over a period of time steps. However, while the algor ithm observes corrupted rewards, we require its regret to be small with respect to the true uncorrupted reward distribution. We build upon recent advances in ro bust estimation for unsupervised learning problems to design robust online algor ithms with near optimal regret in three different scenarios: stochastic multi-a rmed bandits, linear contextual bandits, and Markov Decision Processes~(MDPs) wi th stochastic rewards and transitions. Finally, we provide empirical evidence re garding the robustness of our proposed algorithms on synthetic and real datasets

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On Disentangled Representations Learned From Correlated Data

Frederik Träuble, Elliot Creager, Niki Kilbertus, Anirudh Goyal, Francesco Locatello, Bernhard Schölkopf, Stefan Bauer

Despite impressive progress in the last decade, it still remains an open challen ge to build models that generalize well across multiple tasks and datasets. One path to achieve this is to learn meaningful and compact representations, in whic h different semantic aspects of data are structurally disentangled. The focus of disentanglement approaches has been on separating independent factors of variat ion despite the fact that real-world observations are often not structured into meaningful independent causal variables. In this work, we bridge the gap to real -world scenarios by analyzing the behavior of most prominent methods and disenta nglement scores on correlated data in a large scale empirical study (including 4 260 models). We show that systematically induced correlations in the dataset are being learned and reflected in the latent representations, while widely used di sentanglement scores fall short of capturing these latent correlations. Finally, we demonstrate how to disentangle these latent correlations using weak supervis ion, even if we constrain this supervision to be causally plausible. Our results thus support the argument to learn independent mechanisms rather than independe nt factors of variations.

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Playing Nondeterministic Games through Planning with a Learned Model Thomas Willkens, Jordan Pollack

The MuZero algorithm is known for achieving high-level performance on traditiona l zero-sum two-player games of perfect information such as chess, Go, and shogi, as well as visual, non-zero sum, single-player environments such as the Atari s uite. Despite lacking a perfect simulator and employing a learned model of envir onmental dynamics, MuZero produces game-playing agents comparable to its predec essor AlphaZero. However, the current implementation of MuZero is restricted only to deterministic environments. This paper presents Nondeterministic MuZero (ND MZ), an extension of MuZero for nondeterministic, two-player, zero-sum games of perfect information. Borrowing from Nondeterministic Monte Carlo Tree Search and the theory of extensive-form games, NDMZ formalizes chance as a player in the game and incorporates it into the MuZero network architecture and tree search. Experiments show that NDMZ is capable of learning effective strategies and an accurate model of the game.

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Adaptive Risk Minimization: A Meta-Learning Approach for Tackling Group Shift Marvin Mengxin Zhang, Henrik Marklund, Nikita Dhawan, Abhishek Gupta, Sergey Levine,

## Chelsea Finn

A fundamental assumption of most machine learning algorithms is that the trainin g and test data are drawn from the same underlying distribution. However, this a ssumption is violated in almost all practical applications: machine learning sys tems are regularly tested under distribution shift, due to temporal correlations , particular end users, or other factors. In this work, we consider the setting where the training data are structured into groups and test time shifts correspo nd to changes in the group distribution. Prior work has approached this problem by attempting to be robust to all possible test time distributions, which may de grade average performance. In contrast, we propose to use ideas from meta-learni ng to learn models that are adaptable, such that they can adapt to shift at test time using a batch of unlabeled test points. We acquire such models by learning to adapt to training batches sampled according to different distributions, whic h simulate structural shifts that may occur at test time. Our primary contributi on is to introduce the framework of adaptive risk minimization (ARM), a formaliz ation of this setting that lends itself to meta-learning. We develop meta-learni ng methods for solving the ARM problem, and compared to a variety of prior metho ds, these methods provide substantial gains on image classification problems in the presence of shift.

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GRF: Learning a General Radiance Field for 3D Scene Representation and Rendering Alex Trevithick, Bo Yang

We present a simple yet powerful implicit neural function that can represent and render arbitrarily complex 3D scenes in a single network only from 2D observations. The function models 3D scenes as a general radiance field, which takes a set of 2D images with camera poses and intrinsics as input, constructs an internal representation for each 3D point of the scene, and renders the corresponding appearance and geometry of any 3D point viewing from an arbitrary angle. The key to our approach is to explicitly integrate the principle of multi-view geometry to obtain the internal representations from observed 2D views, such that the lear ned implicit representations empirically remain multi-view consistent. In addition, we introduce an effective neural module to learn general features for each pixel in 2D images, allowing the constructed internal 3D representations to be general as well. Extensive experiments demonstrate the superiority of our approach

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Prepare for the Worst: Generalizing across Domain Shifts with Adversarial Batch Normalization

Manli Shu, Zuxuan Wu, Micah Goldblum, Tom Goldstein

Adversarial training is the industry standard for producing models that are robu st to small adversarial perturbations. However, machine learning practitioners need models that are robust to other kinds of changes that occur naturally, such as changes in the style or illumination of input images. Such changes in input distribution have been effectively modeled as shifts in the mean and variance of deep image features. We adapt adversarial training by adversarially perturbin g these feature statistics, rather than image pixels, to produce models that are robust to distributional shifts. We also visualize images from adversarially cr afted distributions. Our method, Adversarial Batch Normalization (AdvBN), signif icantly improves the performance of ResNet-50 on ImageNet-C (+8.1%), Stylized-Im ageNet (+6.7%), and ImageNet-Instagram (+3.9%) over standard training practices.

In addition, we demonstrate that AdvBN can also improve generalization on sema ntic segmentation.

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Language-Mediated, Object-Centric Representation Learning Ruocheng Wang, Jiayuan Mao, Samuel Gershman, Jiajun Wu

We present Language-mediated, Object-centric Representation Learning (LORL), lea rning disentangled, object-centric scene representations from vision and languag e. LORL builds upon recent advances in unsupervised object segmentation, notably MONet and Slot Attention. Just like these algorithms, LORL also learns an object-centric representation by reconstructing the input image. But LORL further lea

rns to associate the learned representations to concepts, i.e., words for object categories, properties, and spatial relationships, from language input. These o bject-centric concepts derived from language facilitate the learning of object-centric representations. LORL can be integrated with various unsupervised segment ation algorithms that are language-agnostic. Experiments show that LORL consistently improves the performance of MONet and Slot Attention on two datasets via the help of language. We also show that concepts learned by LORL aid downstream tasks such as referential expression interpretation.

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Weighted Bellman Backups for Improved Signal-to-Noise in Q-Updates Kimin Lee, Michael Laskin, Aravind Srinivas, Pieter Abbeel

Off-policy deep reinforcement learning (RL) has been successful in a range of ch allenging domains. However, standard off-policy RL algorithms can suffer from lo w signal and even instability in Q-learning because target values are derived fr om current Q-estimates, which are often noisy. To mitigate the issue, we propose ensemble-based weighted Bellman backups, which re-weight target Q-values based on uncertainty estimates from a Q-ensemble. We empirically observe that the prop osed method stabilizes and improves learning on both continuous and discrete con trol benchmarks. We also specifically investigate the signal-to-noise aspect by studying environments with noisy rewards, and find that weighted Bellman backups significantly outperform standard Bellman backups. Furthermore, since our weigh ted Bellman backups rely on maintaining an ensemble, we investigate how weighted Bellman backups interact with UCB Exploration. By enforcing the diversity betwe en agents using Bootstrap, we show that these different ideas are largely orthog onal and can be fruitfully integrated, together further improving the performanc e of existing off-policy RL algorithms, such as Soft Actor-Critic and Rainbow DQ N, for both continuous and discrete control tasks on both low-dimensional and hi gh-dimensional environments.

Multimodal Attention for Layout Synthesis in Diverse Domains

Kamal Gupta, Vijay Mahadevan, Alessandro Achille, Justin Lazarow, Larry S. Davis, Abhinav Shrivastava

We address the problem of scene layout generation for diverse domains such as im ages, mobile applications, documents and 3D objects. Most complex scenes, natura 1 or human-designed, can be expressed as a meaningful arrangement of simpler com positional graphical primitives. Generating a new layout or extending an existin g layout requires understanding the relationships between these primitives. To d o this, we propose a multimodal attention framework, MMA, that leverages self-at tention to learn contextual relationships between layout elements and generate n ovel layouts in a given domain. Our framework allows us to generate a new layout either from an empty set or from an initial seed set of primitives, and can eas ily scale to support an arbitrary of primitives per layout. Further, our analyse s show that the model is able to automatically capture the semantic properties o f the primitives. We propose simple improvements in both representation of layou t primitives, as well as training methods to demonstrate competitive performance in very diverse data domains such as object bounding boxes in natural images (C OCO bounding boxes), documents (PubLayNet), mobile applications (RICO dataset) a s well as 3D shapes (PartNet).

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Fuzzy Tiling Activations: A Simple Approach to Learning Sparse Representations O nline

Yangchen Pan, Kirby Banman, Martha White

Recent work has shown that sparse representations——where only a small percentage of units are active——can significantly reduce interference. Those works, howe ver, relied on relatively complex regularization or meta—learning approaches, the at have only been used offline in a pre—training phase. In this work, we pursue a direction that achieves sparsity by design, rather than by learning. Specifically, we design an activation function that produces sparse representations deterministically by construction, and so is more amenable to online training. The idea relies on the simple approach of binning, but overcomes the two key limitations.

ns of binning: zero gradients for the flat regions almost everywhere, and lost precision---reduced discrimination---due to coarse aggregation. We introduce a F uzzy Tiling Activation (FTA) that provides non-negligible gradients and produces overlap between bins that improves discrimination. We first show that FTA is ro bust under covariate shift in a synthetic online supervised learning problem, wh ere we can vary the level of correlation and drift. Then we move to the deep rei nforcement learning setting and investigate both value-based and policy gradient algorithms that use neural networks with FTAs, in classic discrete control and Mujoco continuous control environments. We show that algorithms equipped with FT As are able to learn a stable policy faster without needing target networks on m ost domains.

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f-Domain-Adversarial Learning: Theory and Algorithms for Unsupervised Domain Ada ptation with Neural Networks

David Acuna, Guojun Zhang, Marc T Law, Sanja Fidler

The problem of unsupervised domain adaptation arises in a variety of practical a pplications where the distribution of the training samples differs from those us ed at test time. The existing theory of domain adaptation derived generalization bounds based on divergence measures that are hard to optimize in practice. This has led to a large disconnect between theory and state-of-the-art methods. In t his paper, we propose a novel domain-adversarial framework that introduces new t heory for domain adaptation and leads to practical learning algorithms with neur al networks. In particular, we derive a novel generalization bound that utilizes a new measure of discrepancy between distributions based on a variational chara cterization of f-divergences. We show that our bound recovers the theoretical re sults from Ben-David et al. (2010a) as a special case with a particular choice o f divergence, and also supports divergences typically used in practice. We deriv e a general algorithm for domain-adversarial learning for the complete family of f-divergences. We provide empirical results for several f-divergences and show that some, not considered previously in domain-adversarial learning, achieve sta te-of-the-art results in practice. We provide empirical insights into how choosi ng a particular divergence affects the transfer performance on real-world datase ts. By further recognizing the optimization problem as a Stackelberg game, we ut ilize the latest optimizers from the game optimization literature, achieving add itional performance boosts in our training algorithm. We show that our f-domain adversarial framework achieves state-of-the-art results on the challenging Offic e-31 and Office-Home datasets without extra hyperparameters.

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Expectigrad: Fast Stochastic Optimization with Robust Convergence Properties Brett Daley, Christopher Amato

Many popular adaptive gradient methods such as Adam and RMSProp rely on an expon ential moving average (EMA) to normalize their stepsizes. While the EMA makes th ese methods highly responsive to new gradient information, recent research has s hown that it also causes divergence on at least one convex optimization problem. We propose a novel method called Expectigrad, which adjusts stepsizes according to a per-component unweighted mean of all historical gradients and computes a b ias-corrected momentum term jointly between the numerator and denominator. We prove that Expectigrad cannot diverge on every instance of the optimization problem known to cause Adam to diverge. We also establish a regret bound in the general stochastic nonconvex setting that suggests Expectigrad is less susceptible to gradient variance than existing methods are. Testing Expectigrad on several high -dimensional machine learning tasks, we find it often performs favorably to state-of-the-art methods with little hyperparameter tuning.

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FedBE: Making Bayesian Model Ensemble Applicable to Federated Learning Hong-You Chen, Wei-Lun Chao

Federated learning aims to collaboratively train a strong global model by access ing users' locally trained models but not their own data. A crucial step is ther efore to aggregate local models into a global model, which has been shown challe nging when users have non-i.i.d. data. In this paper, we propose a novel aggrega

tion algorithm named FedBE, which takes a Bayesian inference perspective by samp ling higher-quality global models and combining them via Bayesian model Ensemble, leading to much robust aggregation. We show that an effective model distribution can be constructed by simply fitting a Gaussian or Dirichlet distribution to the local models. Our empirical studies validate FedBE's superior performance, e specially when users' data are not i.i.d. and when the neural networks go deeper. Moreover, FedBE is compatible with recent efforts in regularizing users' model training, making it an easily applicable module: you only need to replace the a ggregation method but leave other parts of your federated learning algorithm intact.

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Should Ensemble Members Be Calibrated?

Xixin Wu, Mark Gales

Underlying the use of statistical approaches for a wide range of applications is the assumption that the probabilities obtained from a statistical model are rep resentative of the "true" probability that event, or outcome, will occur. Unfort unately, for modern deep neural networks this is not the case, they are often ob served to be poorly calibrated. Additionally, these deep learning approaches mak e use of large numbers of model parameters, motivating the use of Bayesian, or e nsemble approximation, approaches to handle issues with parameter estimation. Th is paper explores the application of calibration schemes to deep ensembles from both a theoretical perspective and empirically on a standard image classificatio n task, CIFAR-100. The underlying theoretical requirements for calibration, and associated calibration criteria, are first described. It is shown that well cali brated ensemble members will not necessarily yield a well calibrated ensemble pr ediction, and if the ensemble prediction is well calibrated its performance cann ot exceed that of the average performance of the calibrated ensemble members. On CIFAR-100 the impact of calibration for ensemble prediction, and associated cal ibration is evaluated. Additionally the situation where multiple different topol ogies are combined together is discussed.

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Randomized Automatic Differentiation

Deniz Oktay, Nick McGreivy, Joshua Aduol, Alex Beatson, Ryan P Adams

The successes of deep learning, variational inference, and many other fields hav e been aided by specialized implementations of reverse-mode automatic differenti ation (AD) to compute gradients of mega-dimensional objectives. The AD technique s underlying these tools were designed to compute exact gradients to numerical p recision, but modern machine learning models are almost always trained with stoc hastic gradient descent. Why spend computation and memory on exact (minibatch) g radients only to use them for stochastic optimization? We develop a general fram ework and approach for randomized automatic differentiation (RAD), which can all ow unbiased gradient estimates to be computed with reduced memory in return for variance. We examine limitations of the general approach, and argue that we must leverage problem specific structure to realize benefits. We develop RAD techniq ues for a variety of simple neural network architectures, and show that for a fi xed memory budget, RAD converges in fewer iterations than using a small batch si ze for feedforward networks, and in a similar number for recurrent networks. We also show that RAD can be applied to scientific computing, and use it to develop a low-memory stochastic gradient method for optimizing the control parameters o f a linear reaction-diffusion PDE representing a fission reactor.

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Unsupervised Anomaly Detection by Robust Collaborative Autoencoders Boyang Liu, Ding Wang, Kaixiang Lin, Pang-Ning Tan, Jiayu Zhou

Unsupervised anomaly detection plays a crucial role in many critical application s. Driven by the success of deep learning, recent years have witnessed growing i nterests in applying deep neural networks (DNNs) to anomaly detection problems. A common approach is to use autoencoders to learn a feature representation for t he normal (non-anomalous) observations in the data. The reconstruction error of the autoencoder is then used as outlier scores to detect anomalies. However, due to the high complexity brought upon by over-parameterization of DNNs, the recon

struction error of the anomalies could also be small, which hampers the effectiveness of these methods. To alleviate this problem, we propose a robust framework using collaborative autoencoders to jointly identify normal observations from the data while learning its feature representation. We investigate the theoretical properties of the framework and empirically show its outstanding performance as compared to other DNN-based methods. Our experimental results also show the resiliency of the framework to missing values compared to other baseline methods.

JAKET: Joint Pre-training of Knowledge Graph and Language Understanding Donghan Yu, Chenguang Zhu, Yiming Yang, Michael Zeng

Knowledge graphs (KGs) contain rich information about world knowledge, entities, and relations. Thus, they can be great supplements to existing pre-trained lang uage models. However, it remains a challenge to efficiently integrate information from KG into language modeling. And the understanding of a knowledge graph requires related context. We propose a novel joint pre-training framework, JAKET, to model both the knowledge graph and language. The knowledge module and language module provide essential information to mutually assist each other: the knowledge module produces embeddings for entities in text while the language module generates context-aware initial embeddings for entities and relations in the graph. Our design enables the pre-trained model to easily adapt to unseen knowledge graphs in new domains. Experimental results on several knowledge-aware NLP tasks show that our proposed framework achieves superior performance by effectively leveraging knowledge in language understanding.

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Memory-Efficient Semi-Supervised Continual Learning: The World is its Own Replay Buffer

James Smith, Jonathan C Balloch, Yen-Chang Hsu, Zsolt Kira

Rehearsal is a critical component for class-incremental continual learning, yet it requires a substantial memory budget. Our work investigates whether we can si gnificantly reduce this memory budget by leveraging unlabeled data from an agent 's environment in a realistic and challenging continual learning paradigm. Speci fically, we explore and formalize a novel semi-supervised continual learning (SS CL) setting, where labeled data is scarce yet non-i.i.d. unlabeled data from the agent's environment is plentiful. Importantly, data distributions in the SSCL s etting are realistic and therefore reflect object class correlations between, an d among, the labeled and unlabeled data distributions. We show that a strategy b uilt on pseudo-labeling, consistency regularization, Out-of-Distribution (OoD) d etection, and knowledge distillation reduces forgetting in this setting. Our app roach, DistillMatch, increases performance over the state-of-the-art by no less than 8.7% average task accuracy and up to a 54.5% increase in average task accur acy in SSCL CIFAR-100 experiments. Moreover, we demonstrate that DistillMatch ca n save up to 0.23 stored images per processed unlabeled image compared to the ne xt best method which only saves 0.08. Our results suggest that focusing on reali stic correlated distributions is a significantly new perspective, which accentua tes the importance of leveraging the world's structure as a continual learning s trategy.

Provable Robust Learning for Deep Neural Networks under Agnostic Corrupted Super vision

Boyang Liu, Mengying Sun, Ding Wang, Pang-Ning Tan, Jiayu Zhou

Training deep neural models in the presence of corrupted supervisions is challen ging as the corrupted data points may significantly impact the generalization performance. To alleviate this problem, we present an efficient robust algorithm that achieves strong guarantees without any assumption on the type of corruption and provides a unified framework for both classification and regression problems. Different from many existing approaches that quantify the quality of individual data points (e.g., loss values) and filter out data points accordingly, the proposed algorithm focuses on controlling the collective impact of data points on the averaged gradient. Even when a corrupted data point failed to be excluded by the proposed algorithm, the data point will have very limited impacts on the

overall loss, as compared with state-of-the-art filtering data points based on l oss values. Extensive empirical results on multiple benchmark datasets have demo nstrated the robustness of the proposed method under different types of corrupti on.

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Context-Agnostic Learning Using Synthetic Data Charles Jin, Martin Rinard

We propose a novel setting for learning, where the input domain is the image of a map defined on the product of two sets, one of which completely determines the labels. Given the ability to sample from each set independently, we present an algorithm that learns a classifier over the input domain more efficiently than s ampling from the input domain directly. We apply this setting to visual classification tasks, where our approach enables us to train classifiers on datasets that consist entirely of a single example of each class. On several standard benchm arks for real-world image classification, our approach achieves performance competitive with state-of-the-art results from the few-shot learning and domain tran sfer literature, while using significantly less data.

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Neural Bayes: A Generic Parameterization Method for Unsupervised Learning Devansh Arpit, Huan Wang, Caiming Xiong, richard socher, Yoshua Bengio We introduce a parameterization method called Neural Bayes which allows computing statistical quantities that are in general difficult to compute and opens aven ues for formulating new objectives for unsupervised representation learning. Specifically, given an observed random variable  $\pi$ 0 mathbf $\pi$ 1 and a latent discrete variable  $\pi$ 2, we can express  $\pi$ 1 (mathbf $\pi$ 2),  $\pi$ 2 mathbf $\pi$ 3 and  $\pi$ 3 and  $\pi$ 4 closed form in terms of a sufficiently expressive function (Eg. neural network) using our parameterization without restricting the class of these distributions. To demonstrate its usefulness, we develop two independent use cases for this parameterization:

- 1. Disjoint Manifold Separation: Neural Bayes allows us to formulate an objective which can optimally label samples from disjoint manifolds present in the support of a continuous distribution. This can be seen as a specific form of clustering where each disjoint manifold in the support is a separate cluster. We design clustering tasks that obey this formulation and empirically show that the model optimally labels the disjoint manifolds.
- 2. Mutual Information Maximization (MIM): MIM has become a popular means for sel f-supervised representation learning. Neural Bayes allows us to compute mutual i nformation between observed random variables  $\mbox{mathbf}\{x\}\$  and latent discrete random variables  $\mbox{s}\$  in closed form. We use this for learning image representation s and show its usefulness on downstream classification tasks.

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Are Neural Nets Modular? Inspecting Functional Modularity Through Differentiable Weight Masks

Róbert Csordás, Sjoerd van Steenkiste, Jürgen Schmidhuber

Neural networks (NNs) whose subnetworks implement reusable functions are expecte d to offer numerous advantages, including compositionality through efficient rec ombination of functional building blocks, interpretability, preventing catastrop hic interference, etc. Understanding if and how NNs are modular could provide in sights into how to improve them. Current inspection methods, however, fail to link modules to their functionality. In this paper, we present a novel method base d on learning binary weight masks to identify individual weights and subnets responsible for specific functions. Using this powerful tool, we contribute an extensive study of emerging modularity in NNs that covers several standard architect ures and datasets. We demonstrate how common NNs fail to reuse submodules and of fer new insights into the related issue of systematic generalization on language tasks.

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Class Imbalance in Few-Shot Learning

Mateusz Ochal, Massimiliano Patacchiola, Jose Vazquez, Amos Storkey, Sen Wang Few-shot learning aims to train models on a limited number of labeled samples fr om a support set in order to generalize to unseen samples from a query set. In t he standard setup, the support set contains an equal amount of data points for e ach class. This assumption overlooks many practical considerations arising from the dynamic nature of the real world, such as class imbalance. In this paper, we present a detailed study of few-shot class imbalance along three axes: dataset vs. support set imbalance, effect of different imbalance distributions (linear, step, random), and effect of rebalancing techniques. We extensively compare over 10 state-of-the-art few-shot learning methods using backbones of different dept hs on multiple datasets. Our analysis reveals that 1) compared to the balanced t ask, the performances of their class-imbalance counterparts always drop, by up t o \$18.0\%\$ for optimization-based methods, although feature-transfer and metricbased methods generally suffer less, 2) strategies used to mitigate imbalance in supervised learning can be adapted to the few-shot case resulting in better per formances, 3) the effects of imbalance at the dataset level are less significant than the effects at the support set level. The code to reproduce the experiment s is released under an open-source license.

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Calibration tests beyond classification

David Widmann, Fredrik Lindsten, Dave Zachariah

Most supervised machine learning tasks are subject to irreducible prediction err ors. Probabilistic predictive models address this limitation by providing probab ility distributions that represent a belief over plausible targets, rather than point estimates. Such models can be a valuable tool in decision-making under unc ertainty, provided that the model output is meaningful and interpretable. Calibr ated models guarantee that the probabilistic predictions are neither over- nor u nder-confident. In the machine learning literature, different measures and stati stical tests have been proposed and studied for evaluating the calibration of cl assification models. For regression problems, however, research has been focused on a weaker condition of calibration based on predicted quantiles for real-valu ed targets. In this paper, we propose the first framework that unifies calibrati on evaluation and tests for general probabilistic predictive models. It applies to any such model, including classification and regression models of arbitrary d imension. Furthermore, the framework generalizes existing measures and provides a more intuitive reformulation of a recently proposed framework for calibration in multi-class classification. In particular, we reformulate and generalize the kernel calibration error, its estimators, and hypothesis tests using scalar-valu ed kernels, and evaluate the calibration of real-valued regression

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Supervision Accelerates Pre-training in Contrastive Semi-Supervised Learning of Visual Representations

Mido Assran, Nicolas Ballas, Lluis Castrejon, Michael Rabbat

We investigate a strategy for improving the efficiency of contrastive learning of visual representations by leveraging a small amount of supervised information during pre-training. We propose a semi-supervised loss, SuNCEt, based on noise-c ontrastive estimation and neighbourhood component analysis, that aims to disting uish examples of different classes in addition to the self-supervised instance-w ise pretext tasks. On ImageNet, we find that SuNCEt can be used to match the sem i-supervised learning accuracy of previous contrastive approaches while using le ss than half the amount of pre-training and compute. Our main insight is that le veraging even a small amount of labeled data during pre-training, and not only during fine-tuning, provides an important signal that can significantly accelerate contrastive learning of visual representations.

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Meta Back-Translation

Hieu Pham, Xinyi Wang, Yiming Yang, Graham Neubig

Back-translation is an effective strategy to improve the performance of Neural M achine Translation~(NMT) by generating pseudo-parallel data. However, several re

cent works have found that better translation quality in the pseudo-parallel dat a does not necessarily lead to a better final translation model, while lower-quality but diverse data often yields stronger results instead.

In this paper we propose a new way to generate pseudo-parallel data for back-tra nslation that directly optimizes the final model performance. Specifically, we propose a meta-learning framework where the back-translation model learns to mat ch the forward-translation model's gradients on the development data with those on the pseudo-parallel data. In our evaluations in both the standard datasets WM T En-De'14 and WMT En-Fr'14, as well as a multilingual translation setting, our method leads to significant improvements over strong baselines.

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Amortized Conditional Normalized Maximum Likelihood Aurick Zhou, Sergey Levine

While deep neural networks provide good performance for a range of challenging t asks, calibration and uncertainty estimation remain major challenges. In this pa per, we propose the amortized conditional normalized maximum likelihood (ACNML) method as a scalable general-purpose approach for uncertainty estimation, calibr ation, and out-of-distribution robustness with deep networks. Our algorithm buil ds on the conditional normalized maximum likelihood (CNML) coding scheme, which has minimax optimal properties according to the minimum description length princ iple, but is computationally intractable to evaluate exactly for all but the sim plest of model classes. We propose to use approximate Bayesian inference technqi ues to produce a tractable approximation to the CNML distribution. Our approach can be combined with any approximate inference algorithm that provides tractable posterior densities over model parameters. We demonstrate that ACNML compares f avorably to a number of prior techniques for uncertainty estimation in terms of and calibration on out-of-distribution inputs.

One Size Doesn't Fit All: Adaptive Label Smoothing Ujwal Krothapalli, Lynn Abbott

This paper concerns the use of objectness measures to improve the calibration pe rformance of Convolutional Neural Networks (CNNs). CNNs have proven to be very g ood classifiers and generally localize objects well; however, the loss functions typically used to train classification CNNs do not penalize inability to locali ze an object, nor do they take into account an object's relative size in the giv en image. During training on ImageNet-1K almost all approaches use random crops on the images and this transformation sometimes provides the CNN with background only samples. This causes the classifiers to depend on context. Context depende nce is harmful for safety-critical applications. We present a novel approach to classification that combines the ideas of objectness and label smoothing during training. Unlike previous methods, we compute a smoothing factor that is \emph{a daptive} based on relative object size within an image. This causes our approach to produce confidences that are grounded in the size of the object being classi fied instead of relying on context to make the correct predictions. We present e xtensive results using ImageNet to demonstrate that CNNs trained using adaptive label smoothing are much less likely to be overconfident in their predictions. W e show qualitative results using class activation maps and quantitative results using classification and transfer learning tasks. Our approach is able to produc e an order of magnitude reduction in confidence when predicting on context only images when compared to baselines. Using transfer learning, we gain \$0.021\$AP on MS COCO compared to the hard label approach.

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ABSTRACTING INFLUENCE PATHS FOR EXPLAINING (CONTEXTUALIZATION OF) BERT MODELS Kaiji Lu,Zifan Wang,Piotr Mardziel,Anupam Datta

While "attention is all you need" may be proving true, we do not yet know why: a ttention-based transformer models such as BERT are superior but how they context ualize information even for simple grammatical rules such as subject-verb number agreement(SVA) is uncertain. We introduce multi-partite patterns, abstractions of sets of paths through a neural network model. Patterns quantify and localize the effect of an input concept (e.g., a subject's number) on an output concept (

e.g. corresponding verb's number) to paths passing through a sequence of model c omponents, thus surfacing how BERT contextualizes information. We describe guide d pattern refinement, an efficient search procedure for finding sufficient and s parse patterns representative of concept-critical paths. We discover that patter ns generate succinct and meaningful explanations for BERT, highlighted by "copy" and "transfer" operations implemented by skip connections and attention heads, respectively. We also show how pattern visualizations help us understand how BERT contextualizes various grammatical concepts, such as SVA across clauses, and w hy it makes errors in some cases while succeeding in others.

Attentional Constellation Nets for Few-Shot Learning

Weijian Xu, yifan xu, Huaijin Wang, Zhuowen Tu

The success of deep convolutional neural networks builds on top of the learning of effective convolution operations, capturing a hierarchy of structured feature s via filtering, activation, and pooling. However, the explicit structured features, e.g. object parts, are not expressive in the existing CNN frameworks. In the is paper, we tackle the few-shot learning problem and make an effort to enhance structured features by expanding CNNs with a constellation model, which performs cell feature clustering and encoding with a dense part representation; the relationships among the cell features are further modeled by an attention mechanism. With the additional constellation branch to increase the awareness of object parts, our method is able to attain the advantages of the CNNs while making the overall internal representations more robust in the few-shot learning setting. Our approach attains a significant improvement over the existing methods in few-shot learning on the CIFAR-FS, FC100, and mini-ImageNet benchmarks.

Unsupervised Learning of Global Factors in Deep Generative Models Ignacio Peis, Pablo M. Olmos, Antonio Artés

We present a novel deep generative model based on non i.i.d. variational autoenc oders that captures global dependencies among observations in a fully unsupervis ed fashion. In contrast to the recent semi-supervised alternatives for global mo deling in deep generative models, our approach combines a mixture model in the l ocal or data-dependent space and a global Gaussian latent variable, which lead u s to obtain three particular insights. First, the induced latent global space captures interpretable disentangled representations with no user-defined regularization in the evidence lower bound (as in beta-VAE and its generalizations). Second, we show that the model performs domain alignment to find correlations and in terpolate between different databases. Finally, we study the ability of the global space to discriminate between groups of observations with non-trivial underlying structures, such as face images with shared attributes or defined sequences of digits images.

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On Dynamic Noise Influence in Differential Private Learning Junyuan Hong, Zhangyang Wang, Jiayu Zhou

Protecting privacy in learning while maintaining the model performance has becom e increasingly critical in many applications that involve sensitive data. Privat e Gradient Descent (PGD) is a commonly used private learning framework, which ad ds noise according to the Differential Privacy protocol. Recent studies show that dynamic privacy schedules of decreasing noise magnitudes can improve loss at the final iteration, and yet theoretical understandings of the effectiveness of su ch schedules and their connections to optimization algorithms remain limited. In this paper, we provide comprehensive analysis of noise influence in dynamic privacy schedules to answer these critical questions. We first present a dynamic no ise schedule minimizing the utility upper bound of PGD, and show how the noise influence from each optimization step collectively impacts utility of the final model. Our study also reveals how impacts from dynamic noise influence change when momentum is used. We empirically show the connection exists for general non-convex losses, and the influence is greatly impacted by the loss curvature.

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Momentum Contrastive Autoencoder

Devansh Arpit, Aadyot Bhatnagar, Huan Wang, Caiming Xiong

Wasserstein autoencoder (WAE) shows that matching two distributions is equivalen t to minimizing a simple autoencoder (AE) loss under the constraint that the lat ent space of this AE matches a pre-specified prior distribution. This latent spa ce distribution matching is a core component in WAE, and is in itself a challeng ing task. In this paper, we propose to use the contrastive learning framework th at has been shown to be effective for self-supervised representation learning, a s a means to resolve this problem. We do so by exploiting the fact that contrast ive learning objectives optimize the latent space distribution to be uniform ove r the unit hyper-sphere, which can be easily sampled from. This results in a sim ple and scalable algorithm that avoids many of the optimization challenges of ex isting generative models, while retaining the advantage of efficient sampling. Q uantitatively, we show that our algorithm achieves a new state-of-the-art FID of 54.36 on CIFAR-10, and performs competitively with existing models on CelebA in terms of FID score. We also show qualitative results on CelebA-HQ in addition t o these datasets, confirming that our algorithm can generate realistic images at multiple resolutions.

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Uncertainty Estimation in Autoregressive Structured Prediction Andrey Malinin, Mark Gales

Uncertainty estimation is important for ensuring safety and robustness of AI sys tems. While most research in the area has focused on un-structured prediction t asks, limited work has investigated general uncertainty estimation approaches for structured prediction. Thus, this work aims to investigate uncertainty estimation for structured prediction tasks within a single unified and interpretable probabilistic ensemble-based framework. We consider: uncertainty estimation for sequence data at the token-level and complete sequence-level; interpretations for, and applications of, various measures of uncertainty; and discuss both the the oretical and practical challenges associated with obtaining them. This work also provides baselines for token-level and sequence-level error detection, and sequence-level out-of-domain input detection on the WMT'14 English-French and WMT'17 English-German translation and LibriSpeech speech recognition datasets.

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Regression Prior Networks

Andrey Malinin, Sergey Chervontsev, Ivan Provilkov, Mark Gales

Prior Networks are a recently developed class of models which yield interpretabl e measures of uncertainty and have been shown to outperform state-of-the-art ens emble approaches on a range of tasks. They can also be used to distill an ensemb le of models via \emph{Ensemble Distribution Distillation} (EnD\$^2\$), such that its accuracy, calibration and uncertainty estimates are retained within a single model. However, Prior Networks have so far been developed only for classificati on tasks. This work extends Prior Networks and EnD\$^2\$ to regression tasks by considering the Normal-Wishart distribution. The properties of Regression Prior Networks are demonstrated on synthetic data, selected UCI datasets and a monocular depth estimation task, where they yield performance competitive with ensemble a pproaches.

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Benefits of Assistance over Reward Learning

Rohin Shah, Pedro Freire, Neel Alex, Rachel Freedman, Dmitrii Krasheninnikov, Lawrenc e Chan, Michael D Dennis, Pieter Abbeel, Anca Dragan, Stuart Russell

Much recent work has focused on how an agent can learn what to do from human fee dback, leading to two major paradigms. The first paradigm is reward learning, in which the agent learns a reward model through human feedback that is provided e xternally from the environment. The second is assistance, in which the human is modeled as a part of the environment, and the true reward function is modeled as a latent variable in the environment that the agent may make inferences about. The key difference between the two paradigms is that in the reward learning para digm, by construction there is a separation between reward learning and control using the learned reward. In contrast, in assistance these functions are perform ed as needed by a single policy. By merging reward learning and control, assisti

ve agents can reason about the impact of control actions on reward learning, lea ding to several advantages over agents based on reward learning. We illustrate t hese advantages in simple environments by showing desirable qualitative behavior s of assistive agents that cannot be found by agents based on reward learning.

Measuring Massive Multitask Language Understanding

Dan Hendrycks, Collin Burns, Steven Basart, Andy Zou, Mantas Mazeika, Dawn Song, Jacob Steinhardt

We propose a new test to measure a text model's multitask accuracy. The test covers 57 tasks including elementary mathematics, US history, computer science, law, and more. To attain high accuracy on this test, models must possess extensive world knowledge and problem solving ability. We find that while most recent mode ls have near random-chance accuracy, the very largest GPT-3 model improves over random chance by almost 20 percentage points on average. However, on every one of the 57 tasks, the best models still need substantial improvements before they can reach expert-level accuracy. Models also have lopsided performance and frequently do not know when they are wrong. Worse, they still have near-random accuracy on some socially important subjects such as morality and law. By comprehensively evaluating the breadth and depth of a model's academic and professional understanding, our test can be used to analyze models across many tasks and to ident ify important shortcomings.

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No MCMC for me: Amortized sampling for fast and stable training of energy-based models

Will Sussman Grathwohl, Jacob Jin Kelly, Milad Hashemi, Mohammad Norouzi, Kevin Swersky, David Duvenaud

Energy-Based Models (EBMs) present a flexible and appealing way to represent uncertainty. Despite recent advances, training EBMs on high-dimensional data remains a challenging problem as the state-of-the-art approaches are costly, unstable, and require considerable tuning and domain expertise to apply successfully. In this work, we present a simple method for training EBMs at scale which uses an entropy-regularized generator to amortize the MCMC sampling typically used in EBM training. We improve upon prior MCMC-based entropy regularization methods with a fast variational approximation. We demonstrate the effectiveness of our approach by using it to train tractable likelihood models. Next, we apply our estimator to the recently proposed Joint Energy Model (JEM), where we match the original performance with faster and stable training. This allows us to extend JEM models to semi-supervised classification on tabular data from a variety of continuous domains.

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Communication-Efficient Sampling for Distributed Training of Graph Convolutional Networks

Peng Jiang, Masuma Akter Rumi

Training Graph Convolutional Networks (GCNs) is expensive as it needs to aggrega te data recursively from neighboring nodes. To reduce the computation overhead, previous works have proposed various neighbor sampling methods that estimate the aggregation result based on a small number of sampled neighbors. Although these methods have successfully accelerated the training, they mainly focus on the si ngle-machine setting. As real-world graphs are large, training GCNs in distribut ed systems is desirable. However, we found that the existing neighbor sampling m ethods do not work well in a distributed setting. Specifically, a naive implemen tation may incur a huge amount of communication of feature vectors among differe nt machines. To address this problem, we propose a communication-efficient neigh bor sampling method in this work. Our main idea is to assign higher sampling pro babilities to the local nodes so that remote nodes are accessed less frequently. We present an algorithm that determines the local sampling probabilities and ma kes sure our skewed neighbor sampling does not affect much to the convergence of the training. Our experiments with node classification benchmarks show that our method significantly reduces the communication overhead for distributed GCN tra ining with little accuracy loss.

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Poisoned classifiers are not only backdoored, they are fundamentally broken Mingjie Sun, Siddhant Agarwal, J Zico Kolter

Under a commonly-studied "backdoor" poisoning attack against classification mode ls, an attacker adds a small "trigger" to a subset of the training data, such th at the presence of this trigger at test time causes the classifier to always pre dict some target class. It is often implicitly assumed that the poisoned classif ier is vulnerable exclusively to the adversary who possesses the trigger. In thi s paper, we show empirically that this view of backdoored classifiers is fundame ntally incorrect. We demonstrate that anyone with access to the classifier, even without access to any original training data or trigger, can construct several alternative triggers that are as effective or more so at eliciting the target cl ass at test time. We construct these alternative triggers by first generating ad versarial examples for a smoothed version of the classifier, created with a rece nt process called Denoised Smoothing, and then extracting colors or cropped port ions of adversarial images. We demonstrate the effectiveness of our attack throu gh extensive experiments on ImageNet and TrojAI datasets, including a user study which demonstrates that our method allows users to easily determine the existen ce of such backdoors in existing poisoned classifiers. Furthermore, we demonstra te that our alternative triggers can in fact look entirely different from the or iginal trigger, highlighting that the backdoor actually learned by the classifie r differs substantially from the trigger image itself. Thus, we argue that there is no such thing as a "secret" backdoor in poisoned classifiers: poisoning a cl assifier invites attacks not just by the party that possesses the trigger, but f rom anyone with access to the classifier.

Bayesian Meta-Learning for Few-Shot 3D Shape Completion

Masanori Koyama, Toshiki Nakanishi, Shin-ichi Maeda, Vitor Campagnolo Guizilini, Adrien Gaidon

Estimating the 3D shape of real-world objects is a key perceptual challenge. It requires going from partial observations, which are often too sparse and incomprehensible for the human eye, to detailed shape representations that vary significantly across categories and instances. We propose to cast shape completion as a Bayesian meta-learning problem to facilitate the transfer of knowledge learned from observing one object into estimating the shape of another object. To combine the Bayesian framework with an approach that uses implicit 3D object representation, we introduce an encoder that describes the posterior distribution of a latent representation conditioned on sparse point clouds. With its ability to isolate object-specific properties from object-agnostic properties, our meta-learning algorithm enables accurate shape completion of newly-encountered objects from sparse observations. We demonstrate the efficacy of our proposed method with experimental results on the standard ShapeNet and ICL-NUIM benchmarks.

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A Distributional Approach to Controlled Text Generation Muhammad Khalifa, Hady Elsahar, Marc Dymetman

We propose a Distributional Approach for addressing Controlled Text Generat ion from pre-trained Language Models (LM). This approach permits to specify, in a single formal framework, both "pointwise'" and "distributional" constraints over the target LM — to our knowledge, the first model with such generality —while minimizing KL divergence from the initial LM distribution. The optimal target distribution is then uniquely determined as an explicit EBM (Energy-BasedModel) representation. From that optimal representation, we then train a target control led Autoregressive LM through an adaptive distributional variant of PolicyGradie nt. We conduct a first set of experiments over pointwise constraints showing the advantages of our approach over a set of baselines, in terms of obtaining a controlled LM balancing constraint satisfaction with divergence from the pretrained LM. We then perform experiments over distributional constraints, a unique feature of our approach, demonstrating its potential as a remedy to the problem of Bias in Language Models. Through an ablation study, we show the effectiveness o

f our adaptive technique for obtaining faster convergence.

Code available at https://github.com/naver/gdc

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Aligning AI With Shared Human Values

Dan Hendrycks, Collin Burns, Steven Basart, Andrew Critch, Jerry Li, Dawn Song, Jacob Steinhardt

We show how to assess a language model's knowledge of basic concepts of morality . We introduce the ETHICS dataset, a new benchmark that spans concepts in justic e, well-being, duties, virtues, and commonsense morality. Models predict widespr ead moral judgments about diverse text scenarios. This requires connecting physical and social world knowledge to value judgements, a capability that may enable us to steer chatbot outputs or eventually regularize open-ended reinforcement learning agents. With the ETHICS dataset, we find that current language models have a promising but incomplete ability to predict basic human ethical judgements. Our work shows that progress can be made on machine ethics today, and it provides a steppingstone toward AI that is aligned with human values.

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Convolutional Neural Networks are not invariant to translation, but they can learn to be

Valerio Biscione, Jeffrey Bowers

When seeing a new object, humans can immediately recognize it across different r etinal locations: we say that the internal object representation is invariant to translation. It is commonly believed that Convolutional Neural Networks (CNNs) are architecturally invariant to translation thanks to the convolution and/or po oling operations they are endowed with. In fact, several works have found that these networks systematically fail to recognise new objects on untrained location s. In this work we show how, even though CNNs are not 'architecturally invariant' to translation, they can indeed 'learn' to be invariant to translation. We ver ified that this can be achieved by pretraining on ImageNet, and we found that it is also possible with much simpler datasets in which the items are fully translated across the input canvas. Significantly, simply training everywhere on the c anvas was not enough. We investigated how this pretraining affected the internal network representations, finding that the invariance was almost always acquired, even though it was some times disrupted by further training due to catastrophic forgetting/interference.

These experiments show how pretraining a network on an environment with the rig ht 'latent' characteristics (a more naturalistic environment) can result in the network learning deep perceptual rules which would dramatically improve subseque nt generalization.

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Self-Activating Neural Ensembles for Continual Reinforcement Learning Sam Powers, Abhinav Gupta

The ability for an agent to continuously learn new skills without catastrophical ly forgetting existing knowledge is of critical importance for the development of generally intelligent agents. Most methods devised to address this problem depend heavily on well-defined task boundaries which simplify the problem considerably. Our task-agnostic method, Self-Activating Neural Ensembles (SANE), uses a hierarchical modular architecture designed to avoid catastrophic forgetting without making any such assumptions. At each timestep a path through the SANE tree is activated; during training only activated nodes are updated, ensuring that unused nodes do not undergo catastrophic forgetting. Additionally, new nodes are created as needed, allowing the system to leverage and retain old skills while growing and learning new ones. We demonstrate our approach on MNIST and a set of grid world environments, demonstrating that SANE does not undergo catastrophic forgetting where existing methods do.

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Learning Axioms to Compute Verifiable Symbolic Expression Equivalence Proofs Usi ng Graph-to-Sequence Networks

Steven James Kommrusch, Louis-Noel Pouchet, Theo Barolett

We target the problem of proving the semantic equivalence between two complex ex

pressions represented as typed trees, and demonstrate our system on expressions from a rich multi-type symbolic language for linear algebra. We propose the firs t graph-to-sequence deep learning system to generate axiomatic proofs of equival ence between program pairs. We generate expressions which include scalars, vecto rs and matrices and 16 distinct operators combining them, with 147 distinct axio ms of equivalence. We study the robustness of the system to generate proofs of i ncreasing length, demonstrating how incremental graph-to-sequence networks can l earn to represent complex and verifiable symbolic reasoning. It achieves 93% ave rage true positive coverage on 10,000 test cases while ensuring zero false positives by design.

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Higher-order Structure Prediction in Evolving Graph Simplicial Complexes Manohar Kaul, Masaaki Imaizumi

Dynamic graphs are rife with higher-order interactions, such as co-authorship re lationships and protein-protein interactions in biological networks, that natura lly arise between more than two nodes at once. In spite of the ubiquitous presen ce of such higher-order interactions, limited attention has been paid to the hig her-order counterpart of the popular pairwise link prediction problem. Existing higher-order structure prediction methods are mostly based on heuristic feature extraction procedures, which work well in practice but lack theoretical guarante es. Such heuristics are primarily focused on predicting links in a static snapsh ot of the graph. Moreover, these heuristic-based methods fail to effectively uti lize and benefit from the knowledge of latent substructures already present with in the higher-order structures. In this paper, we overcome these obstacles by ca pturing higher-order interactions succinctly as simplices, model their neighborh ood by face-vectors, and develop a nonparametric kernel estimator for simplices that views the evolving graph from the perspective of a time process (i.e., a se quence of graph snapshots). Our method substantially outperforms several baselin e higher-order prediction methods. As a theoretical achievement, we prove the co nsistency and asymptotic normality in terms of Wasserstein distance of our estim ator using Stein's method.

Interpreting Graph Neural Networks for NLP With Differentiable Edge Masking Michael Sejr Schlichtkrull, Nicola De Cao, Ivan Titov

Graph neural networks (GNNs) have become a popular approach to integrating struc tural inductive biases into NLP models. However, there has been little work on i nterpreting them, and specifically on understanding which parts of the graphs (e.g. syntactic trees or co-reference structures) contribute to a prediction. In this work, we introduce a post-hoc method for interpreting the predictions of GNN s which identifies unnecessary edges. Given a trained GNN model, we learn a simple classifier that, for every edge in every layer, predicts if that edge can be dropped. We demonstrate that such a classifier can be trained in a fully differentiable fashion, employing stochastic gates and encouraging sparsity through the expected  $L_0$  norm. We use our technique as an attribution method to analyze GNN models for two tasks -- question answering and semantic role labeling -- providing insights into the information flow in these models. We show that we can drop a large proportion of edges without deteriorating the performance of the mode l, while we can analyse the remaining edges for interpreting model predictions.

Multi-hop Attention Graph Neural Network

Guangtao Wang, Zhitao Ying, Jing Huang, Jure Leskovec

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Self-attention mechanism in graph neural networks (GNNs) led to state-of-the-art performance on many graph representation learning task. Currently, at every lay er, attention is computed between connected pairs of nodes and depends solely on the representation of the two nodes. However, such attention mechanism does not account for nodes that are not directly connected but provide important network context, which could lead to improved predictive performance. Here we propose M ulti-hop Attention Graph Neural Network (MAGNA), a principled way to incorporate multi-hop context information into attention computation, enabling long-range i nteractions at every layer of the GNN. To compute attention between nodes that

are not directly connected,

MAGNA diffuses the attention scores across the network, which increases the ''re ceptive field'' for every layer of the GNN.

Unlike previous approaches, MAGNA uses a diffusion prior on attention values, to efficiently account for all paths between the pair of disconnected nodes. This helps MAGNA capture large-scale structural information in every layer, and learn more informative attention. Experimental results on node classification as well as the knowledge graph completion benchmarks show that MAGNA achieves state-of-the-art results: MAGNA achieves up to 5.7% relative error reduction over the pre vious state-of-the-art on Cora, Citeseer, and Pubmed. MAGNA also obtains the best performance on a large-scale Open Graph Benchmark dataset. On knowledge graph completion MAGNA advances state-of-the-art on WN18RR and FB15k-237 across four different performance metrics.

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Deep Ensembles with Hierarchical Diversity Pruning Yanzhao Wu, Ling Liu

Diverse deep ensembles hold the potential for improving accuracy and robustness of deep learning models. Both pairwise and non-pairwise ensemble diversity metri cs have been proposed over the past two decades. However, it is also challenging to find the right metrics that can effectively prune those deep ensembles with insufficient ensemble diversity, thus failing to deliver effective ensemble accu racy. In this paper, we first compare six popular diversity metrics in the liter ature, coined as Q metrics, including both pairwise and non-pairwise representat ives. We analyze their inherent limitations in capturing the negative correlation n of ensemble member models, and thus inefficient in identifying and pruning low quality ensembles. We next present six HQ ensemble diversity metrics by extendi ng the existing Q-metrics with three novel optimizations: (1) We introduce the c oncept of focal model and separately measure the ensemble diversity among the de ep ensembles of the same team size with the concept of focal model, aiming to be tter capture the negative correlations of member models of an ensemble. (2) We i ntroduce six HQ-diversity metrics to optimize the corresponding Q-metrics respec tively in terms of measuring negative correlation among member models of an ense mble using its ensemble diversity score. (3) We introduce a two phase hierarchic al pruning method to effectively identify and prune those deep ensembles with hi gh HQ diversity scores, aiming to increase the lower and upper bounds on ensembl e accuracy for the selected ensembles. By combining these three optimizations, d eep ensembles selected based on our hierarchical diversity pruning approach sign ificantly outperforms those selected by the corresponding Q-metrics. Comprehensi ve experimental evaluation over several benchmark datasets shows that our HQ-met rics can effectively select high diversity deep ensembles by pruning out those e nsembles with insufficient diversity, and successfully increase the lower bound (worst case) accuracy of the selected deep ensembles, compared to those selected using the state-of-the-art Q-metrics.

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Near-Optimal Linear Regression under Distribution Shift

Qi Lei, Wei Hu, Jason D. Lee

Transfer learning is an essential technique when sufficient data comes from the source domain, while no or scarce labeled data is from the target domain. We develop estimators that achieve minimax linear risk for linear regression problems under the distribution shift. Our algorithms cover different kinds of settings with covariate shift or model shift. We also consider when data are generating from either linear or general nonlinear models. We show that affine minimax rules are within an absolute constant of the minimax risk even among nonlinear rules, for a variety of source/target distributions.

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Semantic Hashing with Locality Sensitive Embeddings

Levi Boyles, Aniket Anand Deshmukh, Urun Dogan, Rajesh Koduru, Charles Denis, Eren Manavoglu

Semantic hashing methods have been explored for learning transformations into bi nary vector spaces. These learned binary representations may then be used in has

hing based retrieval methods, typically by retrieving all neighboring elements in the Hamming ball with radius 1 or 2. Prior studies focus on tasks with a few dozen to a few hundred semantic categories at most, and it is not currently well known how these methods scale to domains with richer semantic structure. In the is study, we focus on learning embeddings for the use in exact hashing retrieval, where Approximate Nearest Neighbor search comprises of a simple table lookup. We propose similarity learning methods in which the optimized similarity is the angular similarity (the probability of collision under SimHash.) We demonstrate the benefits of these embeddings on a variety of domains, including a coocurrence modelling task on a large scale text corpus; a rich structure of which cannot be handled by a few hundred semantic groups.

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Class Normalization for (Continual)? Generalized Zero-Shot Learning Ivan Skorokhodov, Mohamed Elhoseiny

Normalization techniques have proved to be a crucial ingredient of successful tr aining in a traditional supervised learning regime. However, in the zero-shot le arning (ZSL) world, these ideas have received only marginal attention. This work studies normalization in ZSL scenario from both theoretical and practical persp ectives. First, we give a theoretical explanation to two popular tricks used in zero-shot learning: normalize+scale and attributes normalization and show that t hey help training by preserving variance during a forward pass. Next, we demonst rate that they are insufficient to normalize a deep ZSL model and propose Class Normalization (CN): a normalization scheme, which alleviates this issue both pro vably and in practice. Third, we show that ZSL models typically have more irregu lar loss surface compared to traditional classifiers and that the proposed metho d partially remedies this problem. Then, we test our approach on 4 standard ZSL datasets and outperform sophisticated modern SotA with a simple MLP optimized wi thout any bells and whistles and having ~50 times faster training speed. Finally , we generalize ZSL to a broader problem - continual ZSL, and introduce some pri ncipled metrics and rigorous baselines for this new setup. The source code is av ailable at https://github.com/universome/class-norm.

Aspect-based Sentiment Classification via Reinforcement Learning Lichen Wang, Bo Zong, Yunyu Liu, Can Qin, Wei Cheng, Wenchao Yu, Xuchao Zhang, Haifeng Chen, Yun Fu

Aspect-based sentiment classification aims to predict sentimental polarities of one or multiple aspects in texts. As texts always contain a large proportion of task-irrelevant words, accurate alignment between aspects and their sentimental descriptions is the most crucial and challenging step. State-of-the-art approach es are mainly based on word-level attention learned from recurrent neural networ k variants (e.g., LSTM) or graph neural networks. From another view, these metho ds essentially weight and aggregate all possible alignments. However, this mecha nism heavily relies on large-scale supervision training: without enough labels, it could easily overfit with difficulty in generalization. To address this chall enge, we propose SentRL, a reinforcement learning-based framework for aspect-bas ed sentiment classification. In this framework, input texts are transformed into their dependency graphs. Then, an agent is deployed to walk on the graphs, expl ores paths from target aspect nodes to their potential sentimental regions, and differentiates the effectiveness of different paths. By limiting the agent's exp loration budget, our method encourages the agent to skip task-irrelevant informa tion and focus on the most effective paths for alignment purpose. Our method con siderably reduces the impact of task-irrelevant words and improves generalizatio n performance. Compared with competitive baseline methods, our approach achieves the highest performance on public benchmark datasets with up to \$3.7\%\$ improve

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Improved Communication Lower Bounds for Distributed Optimisation Janne H. Korhonen, Dan Alistarh

Motivated by the interest in communication-efficient methods for distributed mac hine learning, we consider the communication complexity of minimising a sum of \$

d\$-dimensional functions  $\sum_{i=1}^n M_{i}(x)$ , where each function  $f_{i}$  is held by one of the  $M_{i}$  different machines. Such tasks arise naturally in large-scale optimisation, where a standard solution is to apply variants of (stochast ic) gradient descent. As our main result, we show that  $\Omega_{i} = 1$  in total need to be communicated between the machines to find an additive  $\alpha_{i} = 1$  in total need to the minimum of  $\alpha_{i} = 1$  in  $\alpha_{i} = 1$  in  $\alpha_{i} = 1$  in the results holds for deterministic algorithms, and randomised algorithms under some restrictions on the parameter values. Importantly, our lower bounds require no assumptions on the structure of the algorithm, and are matched within constant factors for strongly convex objectives by a new variant of quantised gradient descent. The lower bounds are obtained by bringing over tools from communication complexity to distributed optimisation, an approach we hope will find further u se in future.

Adaptive Self-training for Neural Sequence Labeling with Few Labels

Yaqing Wang, Subhabrata Mukherjee, Haoda Chu, Yuancheng Tu, Ming Wu, Jing Gao, Ahmed Hassan Awadallah

Neural sequence labeling is an important technique employed for many Natural Lan guage Processing (NLP) tasks, such as Named Entity Recognition (NER), slot taggi ng for dialog systems and semantic parsing. Large-scale pre-trained language mod els obtain very good performance on these tasks when fine-tuned on large amounts of task-specific labeled data. However, such large-scale labeled datasets are d ifficult to obtain for several tasks and domains due to the high cost of human a nnotation as well as privacy and data access constraints for sensitive user appl ications. This is exacerbated for sequence labeling tasks requiring such annotat ions at token-level. In this work, we develop techniques to address the label sc arcity challenge for neural sequence labeling models. Specifically, we develop s elf-training and meta-learning techniques for training neural sequence taggers w ith few labels. While self-training serves as an effective mechanism to learn fr om large amounts of unlabeled data -- meta-learning helps in adaptive sample reweighting to mitigate error propagation from noisy pseudo-labels. Extensive expe riments on six benchmark datasets including two for massive multilingual NER and four slot tagging datasets for task-oriented dialog systems demonstrate the eff ectiveness of our method. With only 10 labeled examples for each class for each task, our method obtains 10% improvement over state-of-the-art systems demonstra ting its effectiveness for the low-resource setting.

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Learning explanations that are hard to vary

Giambattista Parascandolo, Alexander Neitz, ANTONIO ORVIETO, Luigi Gresele, Bernhard Schölkopf

In this paper, we investigate the principle that good explanations are hard to v ary in the context of deep learning.

We show that averaging gradients across examples -- akin to a logical OR of patt erns -- can favor memorization and `patchwork' solutions that sew together diffe rent strategies, instead of identifying invariances.

To inspect this, we first formalize a notion of consistency for minima of the lo ss surface, which measures to what extent a minimum appears only when examples a re pooled.

We then propose and experimentally validate a simple alternative algorithm based on a logical AND, that focuses on invariances and prevents memorization in a set of real-world tasks.

Finally, using a synthetic dataset with a clear distinction between invariant an d spurious mechanisms, we dissect learning signals and compare this approach to well-established regularizers.

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Transformers for Modeling Physical Systems

Nicholas Geneva, Nicholas Zabaras

Transformers are widely used in neural language processing due to their ability to model longer-term dependencies in text. Although these models achieve state-o

f-the-art performance for many language related tasks, their applicability outsi de of the neural language processing field has been minimal. In this work, we pr opose the use of transformer models for the prediction of dynamical systems repr esentative of physical phenomena. The use of Koopman based embeddings provide a unique and powerful method for projecting any dynamical system into a vector representation which can then be predicted by a transformer model. The proposed model is able to accurately predict various dynamical systems and outperform classical methods that are commonly used in the scientific machine learning literature

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Degree-Quant: Quantization-Aware Training for Graph Neural Networks Shyam Anil Tailor, Javier Fernandez-Marques, Nicholas Donald Lane

Graph neural networks (GNNs) have demonstrated strong performance on a wide variety of tasks due to their ability to model non-uniform structured data. Despite their promise, there exists little research exploring methods to make them more efficient at inference time. In this work, we explore the viability of training quantized GNNs, enabling the usage of low precision integer arithmetic during in ference. For GNNs seemingly unimportant choices in quantization implementation c ause dramatic changes in performance. We identify the sources of error that uniquely arise when attempting to quantize GNNs, and propose an architecturally-agno stic and stable method, Degree-Quant, to improve performance over existing quantization-aware training baselines commonly used on other architectures, such as C NNs. We validate our method on six datasets and show, unlike previous quantization attempts, that models generalize to unseen graphs. Models trained with Degree -Quant for INT8 quantization perform as well as FP32 models in most cases; for I NT4 models, we obtain up to 26% gains over the baselines. Our work enables up to 4.7x speedups on CPU when using INT8 arithmetic.

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Computing Preimages of Deep Neural Networks with Applications to Safety Kyle Matoba, François Fleuret

To apply an algorithm in a sensitive domain it is important to understand the se t of input values that result in specific decisions. Deep neural networks suffer from an inherent instability that makes this difficult: different outputs can a rise from very similar inputs.

We present a method to check that the decisions of a deep neural network are as intended by constructing the exact, analytical preimage of its predictions. Prei mages generalize verification in the sense that they can be used to verify a wid e class of properties, and answer much richer questions besides. We examine the functioning and failures of neural networks used in robotics, including an aircr aft collision avoidance system, related to sequential decision making and extrap olation.

Our method iterates backwards through the layers of piecewise linear deep neural networks. Uniquely, we compute \emph{all} intermediate values that correspond to a prediction, propagating this calculation through layers using analytical for mulae for layer preimages.

Regularization Matters in Policy Optimization - An Empirical Study on Continuous Control

Zhuang Liu, Xuanlin Li, Bingyi Kang, Trevor Darrell

Deep Reinforcement Learning (Deep RL) has been receiving increasingly more atten tion thanks to its encouraging performance on a variety of control tasks. Yet, conventional regularization techniques in training neural networks (e.g., \$L\_2\$ regularization, dropout) have been largely ignored in RL methods, possibly becau se agents are typically trained and evaluated in the same environment, and becau se the deep RL community focuses more on high-level algorithm designs. In this w ork, we present the first comprehensive study of regularization techniques with

multiple policy optimization algorithms on continuous control tasks. Interesting ly, we find conventional regularization techniques on the policy networks can of ten bring large improvement, especially on harder tasks. Our findings are shown to be robust against training hyperparameter variations. We also compare these t echniques with the more widely used entropy regularization. In addition, we study regularizing different components and find that only regularizing the policy n etwork is typically the best. We further analyze why regularization may help gen eralization in RL from four perspectives - sample complexity, reward distribution, weight norm, and noise robustness. We hope our study provides guidance for fu ture practices in regularizing policy optimization algorithms. Our code is available at https://github.com/xuanlinli17/iclr2021\_rlreg.

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Recurrent Independent Mechanisms

Anirudh Goyal, Alex Lamb, Jordan Hoffmann, Shagun Sodhani, Sergey Levine, Yoshua Bengio, Bernhard Schölkopf

We explore the hypothesis that learning modular structures which reflect the dyn amics of the environment can lead to better generalization and robustness to cha nges that only affect a few of the underlying causes. We propose Recurrent Indep endent Mechanisms (RIMs), a new recurrent architecture in which multiple groups of recurrent cells operate with nearly independent transition dynamics, communic ate only sparingly through the bottleneck of attention, and compete with each ot her so they are updated only at time steps where they are most relevant. We show that this leads to specialization amongst the RIMs, which in turn allows for remarkably improved generalization on tasks where some factors of variation differ systematically between training and evaluation.

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Linear Convergence and Implicit Regularization of Generalized Mirror Descent wit h Time-Dependent Mirrors

Adityanarayanan Radhakrishnan, Mikhail Belkin, Caroline Uhler

The following questions are fundamental to understanding the properties of over -parameterization in modern machine learning: (1) Under what conditions and at w hat rate does training converge to a global minimum? (2) What form of implicit r egularization occurs through training? While significant progress has been made in answering both of these questions for gradient descent, they have yet to be a nswered more completely for general optimization methods. In this work, we estab lish sufficient conditions for linear convergence and obtain approximate implici t regularization results for generalized mirror descent (GMD), a generalization of mirror descent with a possibly time-dependent mirror. GMD subsumes popular fi rst order optimization methods including gradient descent, mirror descent, and p reconditioned gradient descent methods such as Adagrad. By using the Polyak-Loja siewicz inequality, we first present a simple analysis under which non-stochasti c GMD converges linearly to a global minimum. We then present a novel, Taylor-se ries based analysis to establish sufficient conditions for linear convergence of stochastic GMD. As a corollary, our result establishes sufficient conditions an d provides learning rates for linear convergence of stochastic mirror descent an d Adagrad. Lastly, we obtain approximate implicit regularization results for GMD by proving that GMD converges to an interpolating solution that is approximatel y the closest interpolating solution to the initialization in 12-norm in the dua 1 space, thereby generalizing the result of Azizan, Lale, and Hassibi (2019) in the full batch setting.

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Byzantine-Resilient Non-Convex Stochastic Gradient Descent

Zeyuan Allen-Zhu, Faeze Ebrahimianghazani, Jerry Li, Dan Alistarh

We study adversary-resilient stochastic distributed optimization, in which \$m\$ achines can independently compute stochastic gradients, and cooperate to jointly optimize over their local objective functions. However, an \$\alpha\$-fraction of the machines are Byzantine, in that they may behave in arbitrary, adversarial w ays. We consider a variant of this procedure in the challenging non-convex case. Our main result is a new algorithm SafeguardSGD, which can provably escape sadd

le points and find approximate local minima of the non-convex objective. The alg orithm is based on a new concentration filtering technique, and its sample and t ime complexity bounds match the best known theoretical bounds in the stochastic, distributed setting when no Byzantine machines are present.

Our algorithm is very practical: it improves upon the performance of all prior m ethods when training deep neural networks, it is relatively lightweight, and it is the first method to withstand two recently-proposed Byzantine attacks.

Learning Active Learning in the Batch-Mode Setup with Ensembles of Active Learning Agents

Malte Ebner, Bernhard Kratzwald, Stefan Feuerriegel

Supervised learning models perform best when trained on a lot of data, but annot ating training data is very costly in some domains. Active learning aims to chos e only the most informative subset of unlabelled samples for annotation, thus sa ving annotation cost. Several heuristics for choosing this subset have been deve loped, which use fix policies for this choice. They are easily understandable and applied. However, there is no heuristic performing optimal in all settings. The is lead to the development of agents learning the best selection policy from data. They formulate active learning as a Markov decision process and applying rein forcement learning (RL) methods to it. Their advantage is that they are able to use many features and to adapt to the specific task.

Our paper proposes a new approach combining these advantages of learning active learning and heuristics: We propose to learn active learning using a parametrise d ensemble of agents, where the parameters are learned using Monte Carlo policy search. As this approach can incorporate any active learning agent into its ensemble, it allows to increase the performance of every active learning agent by learning how to combine it with others.

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Divide-and-Conquer Monte Carlo Tree Search

Giambattista Parascandolo, Lars Holger Buesing, Josh Merel, Leonard Hasenclever, John Aslanides, Jessica B Hamrick, Nicolas Heess, Alexander Neitz, Theophane Weber

Standard planners for sequential decision making (including Monte Carlo planning , tree search, dynamic programming, etc.) are constrained by an implicit sequent ial planning assumption: The order in which a plan is constructed is the same in which it is executed.

We consider alternatives to this assumption for the class of goal-directed Reinf orcement Learning (RL) problems.

Instead of an environment transition model, we assume an imperfect, goal-directe d policy.

This low-level policy can be improved by a plan, consisting of an appropriate se quence of sub-goals that guide it from the start to the goal state. We propose a planning algorithm, Divide-and-Conquer Monte Carlo Tree Search (DC-MCTS), for a pproximating the optimal plan by means of proposing intermediate sub-goals which hierarchically partition the initial tasks into simpler ones that are then solv ed independently and recursively. The algorithm critically makes use of a learne d sub-goal proposal for finding appropriate partitions trees of new tasks based on prior experience.

Different strategies for learning sub-goal proposals give rise to different plan ning strategies that strictly generalize sequential planning.

We show that this algorithmic flexibility over planning order leads to improved results in navigation tasks in grid-worlds as well as in challenging continuous control environments.

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PettingZoo: Gym for Multi-Agent Reinforcement Learning

J K Terry, Benjamin Black, Mario Jayakumar, Ananth Hari, Luis Santos, Clemens Dieffen dahl, Niall L Williams, Yashas Lokesh, Ryan Sullivan, Caroline Horsch, Praveen Ravi OpenAI's Gym library contains a large, diverse set of environments that are use ful benchmarks in reinforcement learning, under a single elegant Python API (wit

h tools to develop new compliant environments). The introduction of this librar y has proven a watershed moment for the reinforcement learning community, becaus e it created an accessible set of benchmark environments that everyone could use (including wrapper important existing libraries), and because a standardized AP I let RL learning methods and environments from anywhere be trivially exchanged. This paper similarly introduces PettingZoo, a library of diverse set of multi-a gent environments under a single elegant Python API, with tools to easily make n ew compliant environments.

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Trans-Caps: Transformer Capsule Networks with Self-attention Routing Aryan Mobiny, Pietro Antonio Cicalese, Hien Van Nguyen

Capsule Networks (CapsNets) have shown to be a promising alternative to Convolut ional Neural Networks (CNNs) in many computer vision tasks, due to their ability to encode object viewpoint variations. The high computational complexity and nu merical instability of iterative routing mechanisms stem from the challenging na ture of the part-object encoding process. This hinders CapsNets from being utili zed effectively in large-scale image tasks. In this paper, we propose a novel no n-iterative routing strategy named self-attention routing (SAR) that computes th e agreement between the capsules in one forward pass. SAR accomplishes this by u tilizing a learnable inducing mixture of Gaussians (IMoG) to reduce the cost of computing pairwise attention values from quadratic to linear time complexity. Ou r observations show that our Transformer Capsule Network (Trans-Caps) is better suited for complex image tasks including CIFAR-10/100, Tiny-ImageNet, and ImageN et when compared to other prominent CapsNet architectures. We also show that Tra ns-Caps yields a dramatic improvement over its competitors when presented with n ovel viewpoints on the SmallNORB dataset, outperforming EM-Caps by 5.77% and 3.2 5% on the novel azimuth and elevation experiments, respectively. Our observation s suggest that our routing mechanism is able to capture complex part-whole relat ionships which allow Trans-Caps to construct reliable geometrical representation s of the objects.

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End-to-end Quantized Training via Log-Barrier Extensions
Juncheng B Li, Shuhui Qu, Xinjian Li, Emma Strubell, Florian Metze

Quantization of neural network parameters and activations has emerged as a succe ssful approach to reducing the model size and inference time on hardware that su p-ports native low-precision arithmetic. Fully quantized training would facili tate further computational speed-ups as well as enable model training on embedde d devices, a feature that would alleviate privacy concerns resulting from the transfer of sensitive data and models that is necessitated by off-device training.

Existing approaches to quantization-aware training (QAT) perform "fake" quantization in the forward pass in order to learn model parameters that will perform well when quantized, but rely on higher precision variables to avoid overflow in large matrix multiplications, which is unsuitable for training on fully low-precision (e.g. 8-bit)hardware. To enable fully end-to-end quantized training, we propose Log BarrierTail-bounded Quantization (LogBTQ). LogBTQ introduces a loss term, inspired by the log-barrier for constrained optimization, that enforces sof training and sparsifying model parameters, activations and inputs, our approach eliminates over-flow in practice, allowing for fully quantized 8-bit training of deep neural network models. We show that models trained using our approach achie ve results competitive with state-of-the-art full-precision networks on the MNIS T, CIFAR-10 andImageNet classification benchmarks.

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Ballroom Dance Movement Recognition Using a Smart Watch and Representation Learn ing

Varun Badrinath Krishna

Smart watches are being increasingly used to detect human gestures and movements . Using a single smart watch, whole body movement recognition remains a hard pro blem because movements may not be adequately captured by the sensors in the watch. In this paper, we present a whole body movement detection study using a single

e smart watch in the context of ballroom dancing. Deep learning representations are used to classify well-defined sequences of movements, called \emph{figures}. Those representations are found to outperform ensembles of random forests and h idden Markov models. The classification accuracy of 85.95\% was improved to 92.3 1\% by modeling a dance as a first-order Markov chain of figures.

Manifold Regularization for Locally Stable Deep Neural Networks Charles Jin, Martin Rinard

We apply concepts from manifold regularization to develop new regularization tec hniques for training locally stable deep neural networks. Our regularizers encou rage functions which are smooth not only in their predictions but also their dec ision boundaries. Empirically, our networks exhibit stability in a diverse set of perturbation models, including \$\ell\_2\$, \$\ell\_\infty\$, and Wasserstein-based perturbations; in particular, against a state-of-the-art PGD adversary, a single model achieves both \$\ell\_\infty\$ robustness of 40% at \$\epsilon = 8/255\$ and \$\ell\_2\$ robustness of 48% at \$\epsilon = 1.0\$ on CIFAR-10. We also obtain state-of-the-art verified accuracy of 21% in the same \$\ell\_\infty\$ setting. Furthermo re, our techniques are efficient, incurring overhead on par with two additional parallel forward passes through the network; in the case of CIFAR-10, we achieve our results after training for only 3 hours, compared to more than 70 hours for standard adversarial training.

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Spectral Synthesis for Satellite-to-Satellite Translation

Thomas Vandal, Daniel McDuff, Weile Wang, Andrew Michaelis, Ramakrishna Nemani Earth observing satellites carrying multi-spectral sensors are widely used to mo nitor the physical and biological states of the atmosphere, land, and oceans. Th ese satellites have different vantage points above the earth and different spect ral imaging bands resulting in inconsistent imagery from one to another. This pr esents challenges in building downstream applications. What if we could generate synthetic bands for existing satellites from the union of all domains? We tackl e the problem of generating synthetic spectral imagery for multispectral sensors as an unsupervised image-to-image translation problem with partial labels and i ntroduce a novel shared spectral reconstruction loss. Simulated experiments perf ormed by dropping one or more spectral bands show that cross-domain reconstructi on outperforms measurements obtained from a second vantage point. On a downstrea m cloud detection task, we show that generating synthetic bands with our model i mproves segmentation performance beyond our baseline. Our proposed approach enab les synchronization of multispectral data and provides a basis for more homogene ous remote sensing datasets.

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Teaching Temporal Logics to Neural Networks

Christopher Hahn, Frederik Schmitt, Jens U. Kreber, Markus Norman Rabe, Bernd Finkbe iner

We study two fundamental questions in neuro-symbolic computing: can deep learning tackle challenging problems in logics end-to-end, and can neural networks lear nother semantics of logics. In this work we focus on linear-time temporal logic (LTL), as it is widely used in verification. We train a Transformer on the problem to directly predict a solution, i.e. a trace, to a given LTL formula. The training data is generated with classical solvers, which, however, only provide one of many possible solutions to each formula. We demonstrate that it is sufficient to train on those particular solutions to formulas, and that Transformers can predict solutions even to formulas from benchmarks from the literature on which the classical solver timed out. Transformers also generalize to the semantics of the logics: while they often deviate from the solutions found by the classical solvers, they still predict correct solutions to most formulas.

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Neuro-algorithmic Policies for Discrete Planning

Marin Vlastelica Pogan∎i∎, Michal Rolinek, Georg Martius

Although model-based and model-free approaches to learning the control of system s have achieved impressive results on standard benchmarks, generalization to var

iations in the task are still unsatisfactory. Recent results suggest that genera lization of standard architectures improves only after obtaining exhaustive amounts of data. We give evidence that the generalization capabilities are in many cases bottlenecked by the inability to generalize on the combinatorial aspects. Further, we show that for a certain subclass of the MDP framework, this can be all eviated by neuro-algorithmic architectures.

Many control problems require long-term planning that is hard to solve generical ly with neural networks alone. We introduce a neuro-algorithmic policy architec ture consisting of a neural network and an embedded time-depended shortest path solver. These policies can be trained end-to-end by blackbox differentiation. We show that this type of architecture generalizes well to unseen variations in the environment already after seeing a few examples.

https://sites.google.com/view/neuro-algorithmic

A Critical Analysis of Distribution Shift

Dan Hendrycks, Steven Basart, Norman Mu, Saurav Kadavath, Frank Wang, Evan Dorundo, Ra hul Desai, Tyler Zhu, Samyak Parajuli, Mike Guo, Dawn Song, Jacob Steinhardt, Justin Gilmer

We introduce three new robustness benchmarks consisting of naturally occurring d istribution changes in image style, geographic location, camera operation, and m ore. Using our benchmarks, we take stock of previously proposed hypotheses for o ut-of-distribution robustness and put them to the test. We find that using large r models and synthetic data augmentation can improve robustness on real-world di stribution shifts, contrary to claims in prior work. Motivated by this, we intro duce a new data augmentation method which advances the state-of-the-art and outp erforms models pretrained with 1000x more labeled data. We find that some method s consistently help with distribution shifts in texture and local image statistics, but these methods do not help with some other distribution shifts like geographic changes. Hence no evaluated method consistently improves robustness. We conclude that future research should study multiple distribution shifts simultaneously

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Attainability and Optimality: The Equalized-Odds Fairness Revisited Zeyu Tang, Kun Zhang

Fairness of machine learning algorithms has been of increasing interest. In orde r to suppress or eliminate discrimination in prediction, various notions as well as approaches to impose fairness have been proposed. However, in different scen arios, whether or not the chosen notion of fairness can always be attained, even if with unlimited amount of data, is not well addressed. In this paper, focusin g on the Equalized Odds notion of fairness, we consider the attainability of thi s criterion, and furthermore, if attainable, the optimality of the prediction pe rformance under various settings. In particular, for classification with a deter ministic prediction function of the input, we give the condition under which Equ alized Odds can hold true; if randomized prediction is acceptable, we show that under mild assumptions, fair classifiers can always be derived. Moreover, we pro ve that compared to enforcing fairness by post-processing, one can always benefi t from exploiting all available features during training and get better predicti on performance while remaining fair. However, for regression tasks, Equalized Od ds is not always attainable if certain conditions on the joint distribution of t he features and the target variable are not met. This indicates the inherent dif ficulty in achieving fairness in certain cases and suggests a broader class of p rediction methods might be needed for fairness.

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Bypassing the Ambient Dimension: Private SGD with Gradient Subspace Identificati

Yingxue Zhou, Steven Wu, Arindam Banerjee

Differentially private SGD (DP-SGD) is one of the most popular methods for solvi

ng differentially private empirical risk minimization (ERM). Due to its noisy pe rturbation on each gradient update, the error rate of DP-SGD scales with the amb ient dimension \$p\$, the number of parameters in the model. Such dependence can b e problematic for over-parameterized models where \$p \gg n\$, the number of train ing samples. Existing lower bounds on private ERM show that such dependence on \$ p\$ is inevitable in the worst case. In this paper, we circumvent the dependence on the ambient dimension by leveraging a low-dimensional structure of gradient s pace in deep networks---that is, the stochastic gradients for deep nets usually stay in a low dimensional subspace in the training process. We propose Projected DP-SGD that performs noise reduction by projecting the noisy gradients to a low -dimensional subspace, which is given by the top gradient eigenspace on a small public dataset. We provide a general sample complexity analysis on the public da taset for the gradient subspace identification problem and demonstrate that unde r certain low-dimensional assumptions the public sample complexity only grows lo garithmically in \$p\$. Finally, we provide a theoretical analysis and empirical e valuations to show that our method can substantially improve the accuracy of DP-SGD in the high privacy regime (corresponding to low privacy loss \$\epsilon\$).

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Enforcing Predictive Invariance across Structured Biomedical Domains Wengong Jin, Regina Barzilay, Tommi S. Jaakkola

Many biochemical applications such as molecular property prediction require mode ls to generalize beyond their training domains (environments). Moreover, natural environments in these tasks are structured, defined by complex descriptors such as molecular scaffolds or protein families. Therefore, most environments are either never seen during training, or contain only a single training example. To a ddress these challenges, we propose a new regret minimization (RGM) algorithm and its extension for structured environments. RGM builds from invariant risk mini mization (IRM) by recasting simultaneous optimality condition in terms of predictive regret, finding a representation that enables the predictor to compete against an oracle with hindsight access to held-out environments. The structured extension adaptively highlights variation due to complex environments via specialized domain perturbations. We evaluate our method on multiple applications: molecular property prediction, protein homology and stability prediction and show that RGM significantly outperforms previous state-of-the-art baselines.

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Hamiltonian Q-Learning: Leveraging Importance-sampling for Data Efficient RL Udari Madhushani, Biswadip Dey, Naomi Leonard, Amit Chakraborty

Model-free reinforcement learning (RL), in particular \$Q\$-learning is widely use d to learn optimal policies for a variety of planning and control problems. Howe ver, when the underlying state-transition dynamics are stochastic and high-dimen sional, \$Q\$-learning requires a large amount of data and incurs a prohibitively high computational cost. In this paper, we introduce Hamiltonian \$Q\$-Learning, a data efficient modification of the \$Q\$-learning approach, which adopts an impor tance-sampling based technique for computing the \$Q\$ function. To exploit stocha stic structure of the state-transition dynamics, we employ Hamiltonian Monte Car lo to update \$Q\$ function estimates by approximating the expected future rewards using \$Q\$ values associated with a subset of next states. Further, to exploit t he latent low-rank structure of the dynamic system, Hamiltonian \$Q\$-Learning use s a matrix completion algorithm to reconstruct the updated \$Q\$ function from \$Q\$ value updates over a much smaller subset of state-action pairs. By providing an efficient way to apply \$Q\$-learning in stochastic, high-dimensional problems, t he proposed approach broadens the scope of RL algorithms for real-world applicat ions, including classical control tasks and environmental monitoring.

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Adversarial and Natural Perturbations for General Robustness Sadaf Gulshad, Jan Hendrik Metzen, Arnold W.M. Smeulders

In this paper we aim to explore the general robustness of neural network classifiers by utilizing adversarial as well as natural perturbations. Different from p

revious works which mainly focus on studying the robustness of neural networks a gainst adversarial perturbations, we also evaluate their robustness on natural p erturbations before and after robustification. After standardizing the compariso n between adversarial and natural perturbations, we demonstrate that although ad versarial training improves the performance of the networks against adversarial perturbations, it leads to drop in the performance for naturally perturbed sampl es besides clean samples. In contrast, natural perturbations like elastic deform ations, occlusions and wave does not only improve the performance against natura 1 perturbations, but also lead to improvement in the performance for the adversa rial perturbations. Additionally they do not drop the accuracy on the clean imag es.

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Exploring Zero-Shot Emergent Communication in Embodied Multi-Agent Populations Kalesha Bullard, Franziska Meier, Douwe Kiela, Joelle Pineau, Jakob Nicolaus Foerste r

Effective communication is an important skill for enabling information exchange and cooperation in multi-agent settings. Indeed, emergent communication is now a vibrant field of research, with common settings involving discrete cheap-talk c hannels. One limitation of this setting is that it does not allow for the emerge nt protocols to generalize beyond the training partners.

Furthermore, so far emergent communication has primarily focused on the use of symbolic channels. In this work, we extend this line of work to a new modality, by studying agents that learn to communicate via actuating their joints in a 3D environment. We show that under realistic assumptions, a non-uniform distribution of intents and a common-knowledge energy cost, these agents can find protocols that generalize to novel partners. We also explore and analyze specific difficulaties associated with finding these solutions in practice. Finally, we propose a not evaluate initial training improvements to address these challenges, involving both specific training curricula and providing the latent feature that can be coordinated on during training.

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Generative Time-series Modeling with Fourier Flows Ahmed Alaa, Alex James Chan, Mihaela van der Schaar

Generating synthetic time-series data is crucial in various application domains, such as medical prognosis, wherein research is hamstrung by the lack of access to data due to concerns over privacy. Most of the recently proposed methods for generating synthetic time-series rely on implicit likelihood modeling using gene rative adversarial networks (GANs)-but such models can be difficult to train, an d may jeopardize privacy by "memorizing" temporal patterns in training data. In this paper, we propose an explicit likelihood model based on a novel class of no rmalizing flows that view time-series data in the frequency-domain rather than t he time-domain. The proposed flow, dubbed a Fourier flow, uses a discrete Fourie  ${\tt r}$  transform (DFT) to convert variable-length time-series with arbitrary sampling periods into fixed-length spectral representations, then applies a (data-depend ent) spectral filter to the frequency-transformed time-series. We show that, by virtue of the DFT analytic properties, the Jacobian determinants and inverse map ping for the Fourier flow can be computed efficiently in linearithmic time, with out imposing explicit structural constraints as in existing flows such as NICE ( Dinh et al. (2014)), RealNVP (Dinh et al. (2016)) and GLOW (Kingma & Dhariwal (2 018)). Experiments show that Fourier flows perform competitively compared to sta te-of-the-art baselines.

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Optimal allocation of data across training tasks in meta-learning Georgios Batzolis, Alberto Bernacchia, Da-shan Shiu, Michael Bromberg, Alexandru Cio

Meta-learning models transfer the knowledge acquired from previous tasks to quic kly learn new ones. They are tested on benchmarks with a fixed number of data-po ints for each training task, and this number is usually arbitrary, for example, 5 instances per class in few-shot classification. It is unknown how the performa nce of meta-learning is affected by the distribution of data across training tas

ks. Since labelling of data is expensive, finding the optimal allocation of labe ls across training tasks may reduce costs.

Given a fixed budget b of labels to distribute across tasks, should we use a small number of highly labelled tasks, or many tasks with few labels each? In MAML applied to mixed linear regression, we prove that the optimal number of tasks for llows the scaling law sqrt{b}. We develop an online algorithm for data allocation across tasks, and show that the same scaling law applies to nonlinear regression. We also show preliminary experiments on few-shot image classification. Our work provides a theoretical guide for allocating labels across tasks in meta-lear ning, which we believe will prove useful in a large number of applications.

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Training Federated GANs with Theoretical Guarantees: A Universal Aggregation Approach

Yikai Zhang, Hui Qu, Huidong Liu, Qi Chang, Dimitris N. Metaxas, Chao Chen Recently, Generative Adversarial Networks (GANs) have demonstrated their potenti al in federated learning, i.e., learning a centralized model from data privately hosted by multiple sites. A federated GAN jointly trains a centralized generato r and multiple private discriminators hosted at different sites. A major theoret ical challenge for the federated GAN is the heterogeneity of the local data dist ributions. Traditional approaches cannot guarantee to learn the target distribut ion, which is a mixture of the highly different local distributions. This paper tackles this theoretical challenge, and for the first time, provides a provably correct framework for federated GAN. We propose a new approach called Universal Aggregation, which simulates a centralized discriminator via carefully aggregati ng the mixture of all private discriminators. We prove that a generator trained with this simulated centralized discriminator can learn the desired target distr ibution. Through synthetic and real datasets, we show that our method can learn the mixture of largely different distributions, when existing federated GAN meth ods fail to.

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Overparameterisation and worst-case generalisation: friend or foe? Aditya Krishna Menon, Ankit Singh Rawat, Sanjiv Kumar

Overparameterised neural networks have demonstrated the remarkable ability to pe rfectly fit training samples, while still generalising to unseen test samples. However, several recent works have revealed that such models' good average performance does not always translate to good worst-case performance: in particular, they may perform poorly on subgroups that are under-represented in the training set. In this paper, we show that in certain settings, overparameterised models' performance on under-represented subgroups may be improved via post-hoc processing. Specifically, such models' bias can be restricted to their classification layers, and manifest as structured prediction shifts for rare subgroups. We detail two post-hoc correction techniques to mitigate this bias, which operate purely on the outputs of standard model training. We empirically verify that with such post-hoc correction, overparameterisation can improve average and worst-case performance.

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Model agnostic meta-learning on trees

Jezabel Garcia, Federica Freddi, Jamie McGowan, Tim Nieradzik, Da-shan Shiu, Ye Tian, Alberto Bernacchia

In meta-learning, the knowledge learned from previous tasks is transferred to ne w ones, but this transfer only works if tasks are related, and sharing informati on between unrelated tasks might hurt performance. A fruitful approach is to sha re gradients across similar tasks during training, and recent work suggests that the gradients themselves can be used as a measure of task similarity.

We study the case in which datasets associated to different tasks have a hierarc hical, tree structure. While a few methods have been proposed for hierarchical m eta-learning in the past, we propose the first algorithm that is model-agnostic, a simple extension of MAML. As in MAML, our algorithm adapts the model to each task with a few gradient steps, but the adaptation follows the tree structure: i

n each step, gradients are pooled across task clusters, and subsequent steps fol low down the tree. We test the algorithm on linear and non-linear regression on synthetic data, and show that the algorithm significantly improves over MAML. In terestingly, the algorithm performs best when it does not know in advance the tree structure of the data.

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AdaDGS: An adaptive black-box optimization method with a nonlocal directional Ga ussian smoothing gradient

Hoang A Tran, Guannan Zhang

The local gradient points to the direction of the steepest slope in an infinites imal neighborhood. An optimizer guided by the local gradient is often trapped in local optima when the loss landscape is multi-modal. A directional Gaussian smo othing (DGS) approach was recently proposed in (Zhang et al., 2020) and used to define a truly nonlocal gradient, referred to as the DGS gradient, for high-dime nsional black-box optimization. Promising results show that replacing the traditional local gradient with the DGS gradient can significantly improve the performance of gradient-based methods in optimizing highly multi-modal loss functions.

However, the optimal performance of the DGS gradient may rely on fine tuning of two important hyper-parameters, i.e., the smoothing radius and the learning rate. In this paper, we present a simple, yet ingenious and efficient adaptive approach for optimization with the DGS gradient, which removes the need of hyper-parameter fine tuning. Since the DGS gradient generally points to a good search direction, we perform a line search along the DGS direction to determine the step size at each iteration. The learned step size in turn will inform us of the scale of function landscape in the surrounding area, based on which we adjust the smoothing radius accordingly for the next iteration. We present experimental results on high-dimensional benchmark functions, an airfoil design problem and a game content generation problem. The AdaDGS method has shown superior performance over several the state-of-the-art black-box optimization methods.

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Near-Optimal Regret Bounds for Model-Free RL in Non-Stationary Episodic MDPs Weichao Mao, Kaiqing Zhang, Ruihao Zhu, David Simchi-Levi, Tamer Basar We consider model-free reinforcement learning (RL) in non-stationary Markov deci sion processes (MDPs). Both the reward functions and the state transition distri butions are allowed to vary over time, either gradually or abruptly, as long as their cumulative variation magnitude does not exceed certain budgets. We propose an algorithm, named Restarted Q-Learning with Upper Confidence Bounds (RestartQ -UCB), for this setting, which adopts a simple restarting strategy and an extra optimism term. Our algorithm outperforms the state-of-the-art (model-based) solu tion in terms of dynamic regret. Specifically, RestartQ-UCB with Freedman-type b onus terms achieves a dynamic regret of \$\widetilde{0}(S^{\frac{1}{3}} A^{\frac{}} 1{3}} \Delta^{\frac{1}{3}} H T^{\frac{2}{3}})\$, where \$S\$ and \$A\$ are the numbe rs of states and actions, respectively, \$\Delta>0\$ is the variation budget, \$H\$ is the number of steps per episode, and \$T\$ is the total number of steps. We fur ther show that our algorithm is near-optimal by establishing an information-theo  $retical lower bound of $\Omega(S^{\frac{1}{3}} A^{\frac{1}{3}} \Lambda^{\frac{1}{3}} \Delta^{\frac{1}{3}})$ 3}}  $H^{\frac{2}{3}} T^{\frac{2}{3}})$ , which to the best of our knowledge is the first impossibility result in non-stationary RL in general.

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VECODER - Variational Embeddings for Community Detection and Node Representation Rayyan Ahmad Khan, Muhammad Umer Anwaar, Omran Kaddah, Martin Kleinsteuber In this paper, we study how to simultaneously learn two highly correlated tasks of graph analysis, i.e., community detection and node representation learning. We propose an efficient generative model called VECoDeR for jointly learning Variational Embeddings for COmmunity Detection and node Representation. VECoDeR assumes that every node can be a member of one or more communities. The node embeddings are learned in such a way that connected nodes are not only `closer" to each other but also share similar community assignments. A joint learning framework leverages community-aware node embeddings for better community detection. We demonstrate on several graph datasets that VECoDeR effectively outperforms many co

mpetitive baselines on all three tasks i.e. node classification, overlapping community detection and non-overlapping community detection. We also show that VECo DeR is computationally efficient and has quite robust performance with varying h yperparameters.

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Improving Zero-Shot Voice Style Transfer via Disentangled Representation Learnin

Siyang Yuan, Pengyu Cheng, Ruiyi Zhang, Weituo Hao, Zhe Gan, Lawrence Carin Voice style transfer, also called voice conversion, seeks to modify one speaker's voice to generate speech as if it came from another (target) speaker. Previous works have made progress on voice conversion with parallel training data and pre-known speakers. However, zero-shot voice style transfer, which learns from non-parallel data and generates voices for previously unseen speakers, remains a challenging problem. In this paper we propose a novel zero-shot voice transfer met hod via disentangled representation learning. The proposed method first encodes speaker-related style and voice content of each input voice into separate low-dimensional embedding spaces, and then transfers to a new voice by combining the source content embedding and target style embedding through a decoder. With infor mation-theoretic guidance, the style and content embedding spaces are representative and (ideally) independent of each other. On real-world datasets, our method outperforms other baselines and obtains state-of-the-art results in terms of transfer accuracy and voice naturalness.

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Meta-learning with negative learning rates

Alberto Bernacchia

Deep learning models require a large amount of data to perform well. When data i s scarce for a target task, we can transfer the knowledge gained by training on similar tasks to quickly learn the target. A successful approach is meta-learnin g, or "learning to learn" a distribution of tasks, where "learning" is represent ed by an outer loop, and "to learn" by an inner loop of gradient descent. Howeve r, a number of recent empirical studies argue that the inner loop is unnecessary and more simple models work equally well or even better. We study the performan ce of MAML as a function of the learning rate of the inner loop, where zero lear ning rate implies that there is no inner loop. Using random matrix theory and ex act solutions of linear models, we calculate an algebraic expression for the tes t loss of MAML applied to mixed linear regression and nonlinear regression with overparameterized models. Surprisingly, while the optimal learning rate for adap tation is positive, we find that the optimal learning rate for training is alway s negative, a setting that has never been considered before. Therefore, not only does the performance increase by decreasing the learning rate to zero, as sugge sted by recent work, but it can be increased even further by decreasing the lear ning rate to negative

values. These results help clarify under what circumstances meta-learning performs best.

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Learning to Recombine and Resample Data For Compositional Generalization Ekin Akyürek, Afra Feyza Akyürek, Jacob Andreas

Flexible neural sequence models outperform grammar- and automaton-based counterp arts on a variety of tasks. However, neural models perform poorly in settings re quiring compositional generalization beyond the training data-particularly to ra re or unseen subsequences. Past work has found symbolic scaffolding (e.g. gramma rs or automata) essential in these settings. We describe R&R, a learned data aug mentation scheme that enables a large category of compositional generalizations without appeal to latent symbolic structure. R&R has two components: recombinati on of original training examples via a prototype-based generative model and resampling of generated examples to encourage extrapolation. Training an ordinary ne ural sequence model on a dataset augmented with recombined and resampled example s significantly improves generalization in two language processing problems—inst ruction following (SCAN) and morphological analysis (SIGMORPHON 2018)—where R&R enables learning of new constructions and tenses from as few as eight initial ex

amples.

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Revisiting the Stability of Stochastic Gradient Descent: A Tightness Analysis Yikai Zhang, Samuel Bald, wenjia Zhang, Vamsi Pritham Pingali, Chao Chen, Mayank Goswami

The technique of algorithmic stability has been used to capture the generalizati on power of several learning models, especially those trained with stochastic gr adient descent (SGD). This paper investigates the tightness of the algorithmic s tability bounds for SGD given by~\cite{hardt2016train}. We show that the analysis of~\cite{hardt2016train} is tight for convex objective functions, but loose for non-convex objective functions. In the non-convex case we provide a tighter up per bound on the stability (and hence generalization error), and provide evidence that it is asymptotically tight up to a constant factor.

However, deep neural networks trained with SGD exhibit much better stability and generalization in practice than what is suggested by these (tight) bounds, namely linear or exponential degradation with time for SGD with constant step s

namely, linear or exponential degradation with time for SGD with constant step s ize. We aim towards characterizing deep learning loss functions with good genera lization guarantees, despite being trained using SGD with constant step size.

We propose the Hessian Contractive (HC) condition, which specifies the contract ivity of regions containing local minima in the neural network loss landscape. We provide empirical evidence that this condition holds for several loss function s, and provide theoretical evidence that the known tight SGD stability bounds for convex and non-convex loss functions can be circumvented by HC loss functions, thus partially explaining the generalization of deep neural networks.

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Dataset Inference: Ownership Resolution in Machine Learning Pratyush Maini, Mohammad Yaghini, Nicolas Papernot

With increasingly more data and computation involved in their training, machine learning models constitute valuable intellectual property. This has spurred int erest in model stealing, which is made more practical by advances in learning wi th partial, little, or no supervision. Existing defenses focus on inserting uniq ue watermarks in a model's decision surface, but this is insufficient: the wate rmarks are not sampled from the training distribution and thus are not always pr eserved during model stealing. In this paper, we make the key observation that k nowledge contained in the stolen model's training set is what is common to all s tolen copies. The adversary's goal, irrespective of the attack employed, is alwa ys to extract this knowledge or its by-products. This gives the original model's owner a strong advantage over the adversary: model owners have access to the or iginal training data. We thus introduce \$\textit{dataset inference}\$, the proces s of identifying whether a suspected model copy has private knowledge from the o riginal model's dataset, as a defense against model stealing. We develop an appr oach for dataset inference that combines statistical testing with the ability to estimate the distance of multiple data points to the decision boundary. Our exp eriments on CIFAR10, SVHN, CIFAR100 and ImageNet show that model owners can clai m with confidence greater than 99% that their model (or dataset as a matter of f act) was stolen, despite only exposing 50 of the stolen model's training points. Dataset inference defends against state-of-the-art attacks even when the advers ary is adaptive. Unlike prior work, it does not require retraining or overfittin g the defended model.

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Fooling a Complete Neural Network Verifier

Dániel Zombori, Balázs Bánhelyi, Tibor Csendes, István Megyeri, Márk Jelasity

The efficient and accurate characterization of the robustness of neural networks to input perturbation is an important open problem. Many approaches exist inclu ding heuristic and exact (or complete) methods. Complete methods are expensive b ut their mathematical formulation guarantees that they provide exact robustness metrics. However, this guarantee is valid only if we assume that the verified ne twork applies arbitrary-precision arithmetic and the verifier is reliable. In practice, however, both the networks and the verifiers apply limited-precision flo ating point arithmetic. In this paper, we show that numerical roundoff errors ca

n be exploited to craft adversarial networks, in which the actual robustness and the robustness computed by a state-of-the-art complete verifier radically diffe r. We also show that such adversarial networks can be used to insert a backdoor into any network in such a way that the backdoor is completely missed by the ver ifier. The attack is easy to detect in its naive form but, as we show, the adver sarial network can be transformed to make its detection less trivial. We offer a simple defense against our particular attack based on adding a very small perturbation to the network weights. However, our conjecture is that other numerical attacks are possible, and exact verification has to take into account all the details of the computation executed by the verified networks, which makes the problem significantly harder.

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UMEC: Unified model and embedding compression for efficient recommendation systems

Jiayi Shen, Haotao Wang, Shupeng Gui, Jianchao Tan, Zhangyang Wang, Ji Liu The recommendation system (RS) plays an important role in the content recommendation and retrieval scenarios. The core part of the system is the Ranking neural network, which is usually a bottleneck of whole system performance during online inference. In this work, we propose a unified model and embedding compression (UMEC) framework to hammer an efficient neural network-based recommendation system. Our framework jointly learns input feature selection and neural network com pression together, and solve them as an end-to-end resource-constrained optimization problem using ADMM. Our method outperforms other baselines in terms of neural network Flops, sparse embedding feature size and the number of sparse embedding features. We evaluate our method on the public benchmark of DLRM, trained over the Kaggle Criteo dataset. The codes can be found at https://github.com/VITA-Group/UMEC.

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Evaluations and Methods for Explanation through Robustness Analysis Cheng-Yu Hsieh, Chih-Kuan Yeh, Xuanqing Liu, Pradeep Kumar Ravikumar, Seungyeon Kim, Sanjiv Kumar, Cho-Jui Hsieh

Feature based explanations, that provide importance of each feature towards the model prediction, is arguably one of the most intuitive ways to explain a model. In this paper, we establish a novel set of evaluation criteria for such feature based explanations by robustness analysis. In contrast to existing evaluations which require us to specify some way to "remove" features that could inevitably introduces biases and artifacts, we make use of the subtler notion of smaller ad versarial perturbations. By optimizing towards our proposed evaluation criteria, we obtain new explanations that are loosely necessary and sufficient for a pred iction. We further extend the explanation to extract the set of features that wo uld move the current prediction to a target class by adopting targeted adversari al attack for the robustness analysis. Through experiments across multiple domains and a user study, we validate the usefulness of our evaluation criteria and our derived explanations.

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Learning One-hidden-layer Neural Networks on Gaussian Mixture Models with Guaran teed Generalizability

Hongkang Li, Shuai Zhang, Meng Wang

We analyze the learning problem of fully connected neural networks with the sigm oid activation function for binary classification in the teacher-student setup, where the outputs are assumed to be generated by a ground-truth teacher neural n etwork with unknown parameters, and the learning objective is to estimate the teacher network model by minimizing a non-convex cross-entropy risk function of the training data over a student neural network. This paper analyzes a general and practical scenario that the input features follow a Gaussian mixture model of a finite number of Gaussian distributions of various mean and variance. We propose a gradient descent algorithm with a tensor initialization approach and show that our algorithm converges linearly to a critical point that has a diminishing

distance to the ground-truth model with guaranteed generalizability. We charact erize the required number of samples for successful convergence, referred to as the sample complexity, as a function of the parameters of the Gaussian mixture model. We prove analytically that when any mean or variance in the mixture model is large, or when all variances are close to zero, the sample complexity increa ses, and the convergence slows down, indicating a more challenging learning prob lem. Although focusing on one-hidden-layer neural networks, to the best of our k nowledge, this paper provides the first explicit characterization of the impact of the parameters of the input distributions on the sample complexity and learning rate.

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Provable Fictitious Play for General Mean-Field Games Qiaomin Xie, Zhuoran Yang, Zhaoran Wang, Andreea Minca

We propose a reinforcement learning algorithm for stationary mean-field games, w here the goal is to learn a pair of mean-field state and stationary policy that constitutes the Nash equilibrium. When viewing the mean-field state and the policy as two players, we propose a fictitious play algorithm which alternatively up dates the mean-field state and the policy via gradient-descent and proximal policy optimization, respectively. Our algorithm is in stark contrast with previous literature which solves each single-agent reinforcement learning problem induced by the iterates mean-field states to the optimum. Furthermore, we prove that our fictitious play algorithm converges to the Nash equilibrium at a sublinear rate. To the best of our knowledge, this seems the first provably convergent reinforcement learning algorithm for mean-field games based on iterative updates of both mean-field state and policy.

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Learning and Evaluating Representations for Deep One-Class Classification Kihyuk Sohn, Chun-Liang Li, Jinsung Yoon, Minho Jin, Tomas Pfister

We present a two-stage framework for deep one-class classification. We first lea rn self-supervised representations from one-class data, and then build one-clas s classifiers on learned representations. The framework not only allows to learn better representations, but also permits building one-class classifiers that ar e faithful to the target task. We argue that classifiers inspired by the statist ical perspective in generative or discriminative models are more effective than existing approaches, such as a normality score from a surrogate classifier. We t horoughly evaluate different self-supervised representation learning algorithms under the proposed framework for one-class classification. Moreover, we present a novel distribution-augmented contrastive learning that extends training distri butions via data augmentation to obstruct the uniformity of contrastive represen tations. In experiments, we demonstrate state-of-the-art performance on visual d omain one-class classification benchmarks, including novelty and anomaly detecti on. Finally, we present visual explanations, confirming that the decision-making process of deep one-class classifiers is intuitive to humans. The code is avail able at https://github.com/google-research/deep\_representation\_one\_class.

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Learning from Protein Structure with Geometric Vector Perceptrons Bowen Jing, Stephan Eismann, Patricia Suriana, Raphael John Lamarre Townshend, Ron D

Learning on 3D structures of large biomolecules is emerging as a distinct area in machine learning, but there has yet to emerge a unifying network architecture that simultaneously leverages the geometric and relational aspects of the problem domain. To address this gap, we introduce geometric vector perceptrons, which extend standard dense layers to operate on collections of Euclidean vectors. Graph neural networks equipped with such layers are able to perform both geometric and relational reasoning on efficient representations of macromolecules. We demonstrate our approach on two important problems in learning from protein structure: model quality assessment and computational protein design. Our approach improves over existing classes of architectures on both problems, including state-of-the-art convolutional neural networks and graph neural networks. We release our

code at https://github.com/drorlab/gvp.

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Unsupervised Object Keypoint Learning using Local Spatial Predictability Anand Gopalakrishnan, Sjoerd van Steenkiste, Jürgen Schmidhuber

We propose PermaKey, a novel approach to representation learning based on object keypoints. It leverages the predictability of local image regions from spatial neighborhoods to identify salient regions that correspond to object parts, which are then converted to keypoints. Unlike prior approaches, it utilizes predictability to discover object keypoints, an intrinsic property of objects. This ensures that it does not overly bias keypoints to focus on characteristics that are not unique to objects, such as movement, shape, colour etc. We demonstrate the efficacy of PermaKey on Atari where it learns keypoints corresponding to the most salient object parts and is robust to certain visual distractors. Further, on downstream RL tasks in the Atari domain we demonstrate how agents equipped with our keypoints outperform those using competing alternatives, even on challenging environments with moving backgrounds or distractor objects.

On Representing (Anti)Symmetric Functions Marcus Hutter

Permutation-invariant, -equivariant, and -covariant functions and anti-symmetric functions are important in quantum physics, computer vision, and other discipli nes. Applications often require most or all of the following properties: (a) a l arge class of such functions can be approximated, e.g. all continuous function (b) only the (anti)symmetric functions can be represented (c) a fast algorithm for computing the approximation (d) the representation itself is continuous or differentiable (e) the architecture is suitable for learning the function from data (Anti)symmetric neural networks have recently been developed and applied with g reat success. A few theoretical approximation results have been proven, but many questions are still open, especially for particles in more than one dimension a nd the anti-symmetric case, which this work focuses on. More concretely, we derive natural polynomial approximations in the symmetric case, and approximations b ased on a single generalized Slater determinant in the anti-symmetric case. Unlike some previous super-exponential and discontinuous approximations, these seem a more promising basis for future tighter bounds.

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Towards Understanding Label Smoothing

Yi Xu, Yuanhong Xu, Qi Qian, Li Hao, Rong Jin

Label smoothing regularization (LSR) has a great success in training deep neura 1 networks by stochastic algorithms such as stochastic gradient descent and its variants. However, the theoretical understanding of its power from the view of o ptimization is still rare. This study opens the door to a deep understanding of LSR by initiating the analysis. In this paper, we analyze the convergence behavi ors of stochastic gradient descent with label smoothing regularization for solvi ng non-convex problems and show that an appropriate LSR can help to speed up the convergence by reducing the variance. More interestingly, we proposed a simple yet effective strategy, namely Two-Stage LAbel smoothing algorithm (TSLA), that uses LSR in the early training epochs and drops it off in the later training epo chs. We observe from the improved convergence result of TSLA that it benefits fr om LSR in the first stage and essentially converges faster in the second stage. To the best of our knowledge, this is the first work for understanding the power of LSR via establishing convergence complexity of stochastic methods with LSR i n non-convex optimization. We empirically demonstrate the effectiveness of the proposed method in comparison with baselines on training ResNet models over benc hmark data sets.

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Importance-based Multimodal Autoencoder

Sayan Ghosh, Eugene Laksana, Louis-Philippe Morency, Stefan Scherer

Integrating information from multiple modalities (e.g., verbal, acoustic and v isual data) into meaningful representations has seen great progress in recent ye

ars. However, two challenges are not sufficiently addressed by current approache s: (1) computationally efficient training of multimodal autoencoder networks whi ch are robust in the absence of modalities, and (2) unsupervised learning of important subspaces in each modality which are correlated with other modalities.

In this paper we propose the IMA (Importance-based Multimodal Autoencoder) model , a scalable model that learns modality importances and robust multimodal repres entations through a novel cross-covariance based loss function. We conduct experiments on MNIST-TIDIGITS a multimodal dataset of spoken and image digits, and on IEMOCAP, a multimodal emotion corpus. The IMA model is able to distinguish digits from uncorrelated noise, and word-level importances learnt that correspond to the separation between function and emotional words. The multimodal representations learnt by IMA are also competitive with state-of-the-art baseline approaches on downstream tasks.

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Conditional Negative Sampling for Contrastive Learning of Visual Representations Mike Wu, Milan Mosse, Chengxu Zhuang, Daniel Yamins, Noah Goodman

Recent methods for learning unsupervised visual representations, dubbed contrast ive learning, optimize the noise-contrastive estimation (NCE) bound on mutual in formation between two transformations of an image. NCE typically uses randomly s ampled negative examples to normalize the objective, but this may often include many uninformative examples either because they are too easy or too hard to disc riminate. Taking inspiration from metric learning, we show that choosing semi-h ard negatives can yield stronger contrastive representations. To do this, we int roduce a family of mutual information estimators that sample negatives condition ally -- in a "ring" around each positive. We prove that these estimators remain lower-bounds of mutual information, with higher bias but lower variance than NCE . Experimentally, we find our approach, applied on top of existing models (IR, C MC, and MoCo) improves accuracy by 2-5% absolute points in each case, measured b y linear evaluation on four standard image benchmarks. Moreover, we find continu ed benefits when transferring features to a variety of new image distributions f rom the Meta-Dataset collection and to a variety of downstream tasks such as obj ect detection, instance segmentation, and key-point detection.

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Communication in Multi-Agent Reinforcement Learning: Intention Sharing Woojun Kim, Jongeui Park, Youngchul Sung

Communication is one of the core components for learning coordinated behavior in multi-agent systems.

In this paper, we propose a new communication scheme named Intention Sharing (I S) for multi-agent reinforcement learning in order to enhance the coordination a mong agents. In the proposed IS scheme, each agent generates an imagined traject ory by modeling the environment dynamics and other agents' actions. The imagined trajectory is the simulated future trajectory of each agent based on the learne d model of the environment dynamics and other agents and represents each agent's future action plan. Each agent compresses this imagined trajectory capturing it s future action plan to generate its intention message for communication by applying an attention mechanism to learn the relative importance of the components in the imagined trajectory based on the received message from other agents. Numer all results show that the proposed IS scheme outperforms other communication schemes in multi-agent reinforcement learning.

Neural Thompson Sampling

Weitong ZHANG, Dongruo Zhou, Lihong Li, Quanquan Gu

Thompson Sampling (TS) is one of the most effective algorithms for solving contextual multi-armed bandit problems. In this paper, we propose a new algorithm, called Neural Thompson Sampling, which adapts deep neural networks for both exploration and exploitation. At the core of our algorithm is a novel posterior distribution of the reward, where its mean is the neural network approximator, and its variance is built upon the neural tangent features of the corresponding neural network. We prove that, provided the underlying reward function is bounded, the proposed algorithm is guaranteed to achieve a cumulative regret of  $O(T^{1/2})$ ,

which matches the regret of other contextual bandit algorithms in terms of tota 1 round number \$T\$. Experimental comparisons with other benchmark bandit algorithms on various data sets corroborate our theory.

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A Maximum Mutual Information Framework for Multi-Agent Reinforcement Learning Woojun Kim, Whiyoung Jung, Myungsik Cho, Youngchul Sung

In this paper, we propose a maximum mutual information (MMI) framework for multi-agent reinforcement learning (MARL) to enable multiple agents to learn coordina ted behaviors by regularizing the accumulated return with the mutual information between actions. By introducing a latent variable to induce nonzero mutual information between actions and applying a variational bound, we derive a tractable lower bound on the considered MMI-regularized objective function. Applying polic y iteration to maximize the derived lower bound, we propose a practical algorith m named variational maximum mutual information multi-agent actor-critic (VM3-AC), which follows centralized learning with decentralized execution (CTDE). We evaluated VM3-AC for several games requiring coordination, and numerical results show that VM3-AC outperforms MADDPG and other MARL algorithms in multi-agent tasks requiring coordination.

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WeMix: How to Better Utilize Data Augmentation Yi Xu, Asaf Noy, Ming Lin, Qi Qian, Li Hao, Rong Jin

Data augmentation is a widely used training trick in deep learning to improve the network generalization ability. Despite many encouraging results, several recent studies did point out limitations of the conventional data augmentation scheme in certain scenarios, calling for a better theoretical understanding of data augmentation. In this work, we develop a comprehensive analysis that reveals prosand cons of data augmentation. The main limitation of data augmentation arises from the data bias, i.e. the augmented data distribution can be quite different from the original one. This data bias leads to a suboptimal performance of existing data augmentation methods. To this end, we develop two novel algorithms, termed "AugDrop" and "MixLoss", to correct the data bias in the data augmentation. Our theoretical analysis shows that both algorithms are guaranteed to improve the effect of data augmentation through the bias correction, which is further validated by our empirical studies. Finally, we propose a generic algorithm "WeMix" by combining AugDrop and MixLoss, whose effectiveness is observed from extensive empirical evaluations.

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Anomaly detection in dynamical systems from measured time series Andrei Ivanov, Anna Golovkina

The paper addresses a problem of abnormalities detection in nonlinear processes represented by measured time series. Anomaly detection problem is usually formul ated as finding outlier data points relative to some usual signals such as unexpected spikes, drops, or trend changes. In nonlinear dynamical systems, there are cases where a time series does not contain statistical outliers while the process corresponds to an abnormal configuration of the dynamical system. Since the polynomial neural architecture has a strong connection with the theory of differential equations, we use it for the feature extraction that describes the dynamical system itself. The paper discusses in both simulations and a practical example with real measurements the applicability of the proposed approach and it's ben chmarking with existing methods.

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Improving Generalizability of Protein Sequence Models via Data Augmentations Hongyu Shen, Layne C. Price, Mohammad Taha Bahadori, Franziska Seeger While protein sequence data is an emerging application domain for machine learning methods, small modifications to protein sequences can result in difficult-to-predict changes to the protein's function. Consequently, protein machine learning models typically do not use randomized data augmentation procedures analogous to those used in computer vision or natural language, e.g., cropping or synonym substitution. In this paper, we empirically explore a set of simple string manipulations, which we use to augment protein sequence data when fine-tuning semi-su

pervised protein models. We provide 276 different comparisons to the Tasks Asses sing Protein Embeddings (TAPE) baseline models, with Transformer-based models and training datasets that vary from the baseline methods only in the data augment ations and representation learning procedure. For each TAPE validation task, we demonstrate improvements to the baseline scores when the learned protein represe ntation is fixed between tasks. We also show that contrastive learning fine-tuning methods typically outperform masked-token prediction in these models, with increasing amounts of data augmentation generally improving performance for contrastive learning protein methods. We find the most consistent results across TAPE tasks when using domain-motivated transformations, such as amino acid replacement, as well as restricting the Transformer attention to randomly sampled sub-regions of the protein sequence. In rarer cases, we even find that information-destroying augmentations, such as randomly shuffling entire protein sequences, can improve downstream performance.

Randomized Entity-wise Factorization for Multi-Agent Reinforcement Learning Shariq Iqbal, Christian Schroeder de Witt, Bei Peng, Wendelin Boehmer, Shimon Whites on, Fei Sha

Real world multi-agent tasks often involve varying types and quantities of agent s and non-agent entities; however, agents within these tasks rarely need to cons ider all others at all times in order to act effectively. Factored value function approaches have historically leveraged such independences to improve learning efficiency, but these approaches typically rely on domain knowledge to select fixed subsets of state features to include in each factor. We propose to utilize value function factoring with random subsets of entities in each factor as an auxiliary objective in order to disentangle value predictions from irrelevant entities. This factoring approach is instantiated through a simple attention mechanism masking procedure. We hypothesize that such an approach helps agents learn more effectively in multi-agent settings by discovering common trajectories acrossepisodes within sub-groups of agents/entities. Our approach, Randomized Entity-wise Factorization for Imagined Learning (REFIL), outperforms all strong baselines by a significant margin in challenging StarCraft micromanagement tasks.

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Optimal Regularization can Mitigate Double Descent

Preetum Nakkiran, Prayaag Venkat, Sham M. Kakade, Tengyu Ma

Recent empirical and theoretical studies have shown that many learning algorithm s -- from linear regression to neural networks -- can have test performance that is non-monotonic in quantities such the sample size and model size. This striking phenomenon, often referred to as "double descent", has raised questions of if we need to re-think our current understanding of generalization. In this work, we study whether the double-descent phenomenon can be avoided by using optimal regularization. Theoretically, we prove that for certain linear regression models with isotropic data distribution, optimally-tuned \$\ell\_2\$ regularization achieves monotonic test performance as we grow either the sample size or the model size

Separation and Concentration in Deep Networks

John Zarka, Florentin Guth, Stéphane Mallat

Numerical experiments demonstrate that deep neural network classifiers progressively separate class distributions around their mean, achieving linear separability on the training set, and increasing the Fisher discriminant ratio. We explain this mechanism with two types of operators. We prove that a rectifier without biases applied to sign-invariant tight frames can separate class means and increase Fisher ratios. On the opposite, a soft-thresholding on tight frames can reduce within-class variabilities while preserving class means. Variance reduction bounds are proved for Gaussian mixture models. For image classification, we show t

hat separation of class means can be achieved with rectified wavelet tight frame s that are not learned. It defines a scattering transform. Learning \$1 \times 1 \$ convolutional tight frames along scattering channels and applying a soft-thres holding reduces within-class variabilities. The resulting scattering network rea ches the classification accuracy of ResNet-18 on CIFAR-10 and ImageNet, with few er layers and no learned biases.

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Variance Reduction in Hierarchical Variational Autoencoders Adeel Pervez, Efstratios Gavves

Variational autoencoders with deep hierarchies of stochastic layers have been kn own to suffer from the problem of posterior collapse, where the top layers fall back to the prior and become independent of input.

We suggest that the hierarchical VAE objective explicitly includes the variance of the function parameterizing the mean and variance of the latent Gaussian distribution which itself is often a high variance function.

Building on this we generalize VAE neural networks by incorporating a smoothing parameter motivated by Gaussian analysis to reduce variance in parameterizing functions and show that this can help to solve the problem of posterior collapse. We further show that under such smoothing the VAE loss exhibits a phase transition, where the top layer KL divergence sharply drops to zero at a critical value of the smoothing parameter.

We validate the phenomenon across model configurations and datasets.

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GANMEX: Class-Targeted One-vs-One Attributions using GAN-based Model Explainabil ity

Sheng-Min Shih, Pin-Ju Tien, Zohar Karnin

Attribution methods have been shown as promising approaches for identifying key features that led to learned model predictions. While most existing attribution methods rely on a baseline input for performing feature perturbations, limited r esearch has been conducted to address the baseline selection issues. Poor choice s of baselines can lead to unfair attributions as well as limited ability of one -vs-one explanations for multi-class classifiers, which means explaining why the input belongs to its original class but not the other specified target class. A chieving one-vs-one explanation is crucial when certain classes are more similar than others, e.g. two bird types among multiple animals. One-vs-one explanation s focus on key differentiating features rather than features shared across the o riginal and the target classes. In this paper, we present GANMEX, a novel algori thm applying Generative Adversarial Networks (GAN) by incorporating the to-be-ex plained classifier as part of the adversarial networks. Our approach effectively selects the baseline as the closest realistic sample belong to the target class , which allows attribution methods to provide true one-vs-one explanations. We s howed that GANMEX baselines improved the saliency maps visually and led to stron ger performance on perturbation-based evaluation metrics over the existing basel ines. Attribution results with the existing baselines are known to be insensitiv e to model randomization, and we demonstrated that GANMEX baselines led to bette r outcome under the randomization sanity checks.

Fast Geometric Projections for Local Robustness Certification

Aymeric Fromherz, Klas Leino, Matt Fredrikson, Bryan Parno, Corina Pasareanu

Local robustness ensures that a model classifies all inputs within an \$\ell\_p\$-b

all consistently, which precludes various forms of adversarial inputs.

In this paper, we present a fast procedure for shocking local rebustness in food

In this paper, we present a fast procedure for checking local robustness in feed -forward neural networks with piecewise-linear activation functions.

Such networks partition the input space into a set of convex polyhedral regions in which the network's behavior is linear;

hence, a systematic search for decision boundaries within the regions around a g iven input is sufficient for assessing robustness.

Crucially, we show how the regions around a point can be analyzed using simple g eometric projections, thus admitting an efficient, highly-parallel GPU implement ation that excels particularly for the \$\ell\_2\$ norm, where previous work has be

en less effective.

Empirically we find this approach to be far more precise than many approximate v erification approaches, while at the same time performing multiple orders of mag nitude faster than complete verifiers, and scaling to much deeper networks.

Transferable Unsupervised Robust Representation Learning

De-An Huang, Zhiding Yu, Anima Anandkumar

Robustness is an important, and yet, under-explored aspect of unsupervised repre sentation learning, which has seen a lot of recent developments. In this work, we address this gap by developing a novel framework: Unsupervised Robust Representation Learning (URRL), which combines unsupervised representation learning's pretext task and robust supervised learning (e.g., AugMix). Moreover, it is commonly assumed that there needs to be a trade-off between natural accuracy (on clean data) and robust accuracy (on corrupted data). We upend this view and show that URRL improves both the natural accuracy of unsupervised representation learning and its robustness to corruptions and adversarial noise. A further challenge is that the robustness of a representation might not be preserved in the transfer learning process after fine-tuning on downstream tasks. We develop transferable robustness by proposing a task-agnostic similarity regularization during the fine-tuning process. We show that this improves the robustness of the resulting model without the need for any adversarial training or further data augmentation during fine-tuning.

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Distributional Generalization: A New Kind of Generalization Preetum Nakkiran, Yamini Bansal

We introduce a new notion of generalization--- Distributional Generalization---which roughly states that outputs of a classifier at train and test time are clo se as distributions, as opposed to close in just their average error. For example, if we mislabel 30% of dogs as cats in the train set of CIFAR-10, then a ResNet trained to interpolation will in fact mislabel roughly 30% of dogs as cats on the test set as well, while leaving other classes unaffected. This behavior is not captured by classical generalization, which would only consider the average error and not the distribution of errors over the input domain. Our formal conjectures, which are much more general than this example, characterize the form of distributional generalization that can be expected in terms of problem parameters: model architecture, training procedure, number of samples, and data distribution. We give empirical evidence for these conjectures across a variety of domains in machine learning, including neural networks, kernel machines, and decision trees. Our results thus advance our understanding of interpolating classifiers.

Differentiable Learning of Graph-like Logical Rules from Knowledge Graphs Hongzhi Shi, quanming yao, Yong Li

Logical rules inside a knowledge graph (KG) are essential for reasoning, logical inference, and rule mining. However, existing works can only handle simple, i.e., chain-like and tree-like, rules and cannot capture KG's complex semantics, wh ich can be better captured by graph-like rules. Besides, learning graph-like rules is very difficult because the graph structure exhibits a huge discrete search space. To address these issues, observing that the plausibility of logical rules can be explained by how frequently it appears in a KG, we propose a score function that represents graph-like rules with learnable parameters. The score also helps relax the discrete space into a continuous one and can be uniformly transformed into matrix form by the Einstein summation convention. Thus, it allows us to learn graph-like rules in an efficient, differentiable, and end-to-end training manner by optimizing the normalized score. We conduct extensive experiments on real-world datasets to show that our method outperforms previous works due to logical rules' better expressive ability. Furthermore, we demonstrate that our method can learn high-quality and interpretable graph-like logical rules.

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GINN: Fast GPU-TEE Based Integrity for Neural Network Training Aref Asvadishirehjini, Murat Kantarcioglu, Bradley A. Malin

Machine learning models based on Deep Neural Networks (DNNs) are increasingly be ing deployed in a wide range of applications ranging from self-driving cars to C ovid-19 diagnostics. The computational power necessary to learn a DNN is non-tri vial. So, as a result, cloud environments with dedicated hardware support emerge d as important infrastructure. However, outsourcing computation to the cloud rai ses security, privacy, and integrity challenges. To address these challenges, pr evious works tried to leverage homomorphic encryption, secure multi-party comput ation, and trusted execution environments (TEE). Yet, none of these approaches c an scale up to support realistic DNN model training workloads with deep architec tures and millions of training examples without sustaining a significant perform ance hit. In this work, we focus on the setting where the integrity of the outso urced Deep Learning (DL) model training is ensured by TEE. We choose the TEE bas ed approach because it has been shown to be more efficient compared to the pure cryptographic solutions, and the availability of TEEs on cloud environments. To mitigate the loss in performance, we combine random verification of selected com putation steps with careful adjustments of DNN used for training. Our experimen tal results show that the proposed approach may achieve 2X to 20X performance im provement compared to the pure TEE based solution while guaranteeing the integri ty of the computation with high probability (e.g., 0.999) against the state-of-t he-art DNN backdoor attacks.

Group Equivariant Generative Adversarial Networks

Neel Dey, Antong Chen, Soheil Ghafurian

Recent improvements in generative adversarial visual synthesis incorporate real and fake image transformation in a self-supervised setting, leading to increased stability and perceptual fidelity. However, these approaches typically involve image augmentations via additional regularizers in the GAN objective and thus spend valuable network capacity towards approximating transformation equivariance instead of their desired task. In this work, we explicitly incorporate inductive symmetry priors into the network architectures via group-equivariant convolutional networks. Group-convolutions have higher expressive power with fewer samples and lead to better gradient feedback between generator and discriminator. We show that group-equivariance integrates seamlessly with recent techniques for GAN training across regularizers, architectures, and loss functions. We demonstrate the utility of our methods for conditional synthesis by improving generation in the limited data regime across symmetric imaging datasets and even find benefits for natural images with preferred orientation.

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InfoBERT: Improving Robustness of Language Models from An Information Theoretic Perspective

Boxin Wang, Shuohang Wang, Yu Cheng, Zhe Gan, Ruoxi Jia, Bo Li, Jingjing Liu Large-scale language models such as BERT have achieved state-of-the-art performa nce across a wide range of NLP tasks. Recent studies, however, show that such BE RT-based models are vulnerable facing the threats of textual adversarial attacks . We aim to address this problem from an information-theoretic perspective, and propose InfoBERT, a novel learning framework for robust ■ne-tuning of pre-traine d language models. InfoBERT contains two mutual-information-based regularizers f or model training: (i) an Information Bottleneck regularizer, which suppresses n oisy mutual information between the input and the feature representation; and (i i) a Robust Feature regularizer, which increases the mutual information between local robust features and global features. We provide a principled way to theore tically analyze and improve the robustness of representation learning for langua ge models in both standard and adversarial training. Extensive experiments demon strate that InfoBERT achieves state-of-the-art robust accuracy over several adve rsarial datasets on Natural Language Inference (NLI) and Question Answering (QA) tasks.

Our code is available at https://github.com/AI-secure/InfoBERT.

Sparse Linear Networks with a Fixed Butterfly Structure: Theory and Practice Nir Ailon, Omer Leibovitch, Vineet Sreedharan Nair

A butterfly network consists of logarithmically many layers, each with a linear number of non-zero weights (pre-specified). The fast Johnson-Lindenstrauss trans form (FJLT) can be represented as a butterfly network followed by a random proje ction to a subset of the coordinates. Moreover, a random matrix based on FJLT wi th high probability approximates the action of any matrix on a vector. Motivated by these facts, we propose to replace a dense linear layer in any neural networ k by an architecture based on the butterfly network. The proposed architecture s ignificantly improves upon the quadratic number of weights required in a standar d dense layer to nearly linear with little compromise in expressibility of the r esulting operator. In a collection of wide variety of experiments, including sup ervised prediction on both the NLP and vision data, we show that this not only p roduces results that match and often outperform existing well-known architecture s, but it also offers faster training and prediction in deployment. To understan  ${\tt d}$  the optimization problems posed by neural networks with a butterfly network,  ${\tt w}$ e study the optimization landscape of the encoder-decoder network, where the enc oder is replaced by a butterfly network followed by a dense linear layer in smal ler dimension. Theoretical result presented in the paper explain why the trainin g speed and outcome are not compromised by our proposed approach. Empirically we demonstrate that the network performs as well as the encoder-decoder network.

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## Random Feature Attention

Hao Peng, Nikolaos Pappas, Dani Yogatama, Roy Schwartz, Noah Smith, Lingpeng Kong Transformers are state-of-the-art models for a variety of sequence modeling task s. At their core is an attention function which models pairwise interactions bet ween the inputs at every timestep. While attention is powerful, it does not scal e efficiently to long sequences due to its quadratic time and space complexity i n the sequence length. We propose RFA, a linear time and space attention that us es random feature methods to approximate the softmax function, and explore its a pplication in transformers. RFA can be used as a drop-in replacement for convent ional softmax attention and offers a straightforward way of learning with recenc y bias through an optional gating mechanism. Experiments on language modeling an d machine translation demonstrate that RFA achieves similar or better performanc e compared to strong transformer baselines. In the machine translation experimen t, RFA decodes twice as fast as a vanilla transformer. Compared to existing effi cient transformer variants, RFA is competitive in terms of both accuracy and eff iciency on three long text classification datasets. Our analysis shows that RFA' s efficiency gains are especially notable on long sequences, suggesting that RFA will be particularly useful in tasks that require working with large inputs, fa st decoding speed, or low memory footprints.

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A Spectral Perspective on Deep Supervised Community Detection Nathan Grinsztajn, Philippe Preux, Edouard Oyallon

In this work, we study the behavior of standard models for community detection u nder spectral manipulations. Through various ablation experiments, we evaluate the impact of bandpass filtering on the numerical performances of a GCN: we empirically show that most of the necessary and used information for nodes classification is contained in the low-frequency domain, and thus contrary to Euclidean graph (e.g., images), high-frequencies are less crucial to community detection. In particular, it is possible to obtain accuracies at a state-of-the-art level with simple classifiers that rely only on a few low frequencies: this is surprising because contrary to GCNs, no cascade of filtering along the graph structure is involved and it indicates that the important spectral components for the supervised community detection task are essentially in the low-frequency domain.

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Dropout's Dream Land: Generalization from Learned Simulators to Reality Zac Wellmer, James Kwok

A World Model is a generative model used to simulate an environment. World Model s have proven capable of learning spatial and temporal representations of Reinfo reement Learning environments. In some cases, a World Model offers an agent the opportunity to learn entirely inside of its own dream environment. In this work

we explore improving the generalization capabilities from dream environments to reality (Dream2Real). We present a general approach to improve a controller's ab ility to transfer from a neural network dream environment to reality at little a dditional cost. These improvements are gained by drawing on inspiration from dom ain randomization, where the basic idea is to randomize as much of a simulator as possible without fundamentally changing the task at hand. Generally, domain randomization assumes access to a pre-built simulator with configurable parameters but oftentimes this is not available. By training the World Model using dropout, the dream environment is capable of creating a nearly infinite number of \textit{different} dream environments. Our experimental results show that Dropout's D ream Land is an effective technique to bridge the reality gap between dream environments and reality. Furthermore, we additionally perform an extensive set of a blation studies.

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Attention-driven Robotic Manipulation

Stephen James, Andrew Davison

Despite the success of reinforcement learning methods, they have yet to have the ir breakthrough moment when applied to a broad range of robotic manipulation tas ks. This is partly due to the fact that reinforcement learning algorithms are no toriously difficult and time consuming to train, which is exacerbated when train ing from images rather than full-state inputs. As humans perform manipulation ta sks, our eyes closely monitor every step of the process with our gaze focusing s equentially on the objects being manipulated. With this in mind, we present our Attention-driven Robotic Manipulation (ARM) algorithm, which is a general manipu lation algorithm that can be applied to a range of real-world sparse-rewarded ta sks without any prior task knowledge. ARM splits the complex task of manipulatio n into a 3 stage pipeline: (1) a Q-attention agent extracts interesting pixel lo cations from RGB and point cloud inputs, (2) a next-best pose agent that accepts crops from the Q-attention agent and outputs poses, and (3) a control agent tha t takes the goal pose and outputs joint actions. We show that current state-of-t he-art reinforcement learning algorithms catastrophically fail on a range of RLB ench tasks, whilst ARM is successful within a few hours.

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Perfect density models cannot guarantee anomaly detection Charline Le Lan, Laurent Dinh

Thanks to the tractability of their likelihood, some deep generative models show promise for seemingly straightforward but important applications like anomaly d etection, uncertainty estimation, and active learning. However, the likelihood v alues empirically attributed to anomalies conflict with the expectations these p roposed applications suggest. In this paper, we take a closer look at the behavi or of distribution densities and show that these quantities carry less meaningfu l information than previously thought, beyond estimation issues or the curse of dimensionality. We conclude that the use of these likelihoods for out-of-distrib ution detection relies on strong and implicit hypotheses and highlight the neces sity of explicitly formulating these assumptions for reliable anomaly detection.

Using latent space regression to analyze and leverage compositionality in GANs Lucy Chai, Jonas Wulff, Phillip Isola

In recent years, Generative Adversarial Networks have become ubiquitous in both research and public perception, but how GANs convert an unstructured latent code to a high quality output is still an open question. In this work, we investigat e regression into the latent space as a probe to understand the compositional pr operties of GANs. We find that combining the regressor and a pretrained generat or provides a strong image prior, allowing us to create composite images from a collage of random image parts at inference time while maintaining global consist ency. To compare compositional properties across different generators, we measur e the trade-offs between reconstruction of the unrealistic input and image quality of the regenerated samples. We find that the regression approach enables more localized editing of individual image parts compared to direct editing in the latent space, and we conduct experiments to quantify this independence effect. Ou

r method is agnostic to the semantics of edits, and does not require labels or p redefined concepts during training. Beyond image composition, our method extends to a number of related applications, such as image inpainting or example-based image editing, which we demonstrate on several GANs and datasets, and because it uses only a single forward pass, it can operate in real-time. Code is available on our project page: https://chail.github.io/latent-composition/.

Learning to Dynamically Select Between Reward Shaping Signals Alexander Politowicz, Bing Liu

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Reinforcement learning (RL) algorithms often have the limitation of sample compl exity. Previous research has shown that the reliance on large amounts of experie nce can be mitigated through the presence of additional feedback. Automatic rewa rd shaping is one approach to solving this problem, using automatic identificati on and modulation of shaping reward signals that are more informative about how agents should behave in any given scenario to learn and adapt faster. However, a utomatic reward shaping is still very challenging. To better study it, we break it down into two separate sub-problems: learning shaping reward signals in an ap plication and learning how the signals can be adaptively used to provide a singl e reward feedback in the RL learning process. This paper focuses on the latter s ub-problem. Unlike existing research, which tries to learn one shaping reward fu nction from shaping signals, the proposed method learns to dynamically select th e right reward signal to apply at each state, which is considerably more flexibl e. We further show that using an online strategy that seeks to match the learned shaping feedback with optimal value differences can lead to effective reward sh aping and accelerated learning. The proposed ideas are verified through experime nts in a variety of environments using different shaping reward paradigms.

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Go with the flow: Adaptive control for Neural ODEs

Mathieu Chalvidal, Matthew Ricci, Rufin VanRullen, Thomas Serre

Despite their elegant formulation and lightweight memory cost, neural ordinary d ifferential equations (NODEs) suffer from known representational limitations. In particular, the single flow learned by NODEs cannot express all homeomorphisms from a given data space to itself, and their static weight parameterization rest ricts the type of functions they can learn compared to discrete architectures wi th layer-dependent weights. Here, we describe a new module called neurally-contr olled ODE (N-CODE) designed to improve the expressivity of NODEs. The parameters of N-CODE modules are dynamic variables governed by a trainable map from initia l or current activation state, resulting in forms of open-loop and closed-loop c ontrol, respectively. A single module is sufficient for learning a distribution on non-autonomous flows that adaptively drive neural representations. We provide theoretical and empirical evidence that N-CODE circumvents limitations of previ ous NODEs models and show how increased model expressivity manifests in several supervised and unsupervised learning problems. These favorable empirical results indicate the potential of using data- and activity-dependent plasticity in neur al networks across numerous domains.

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A Learning Theoretic Perspective on Local Explainability Jeffrey Li, Vaishnavh Nagarajan, Gregory Plumb, Ameet Talwalkar

In this paper, we explore connections between interpretable machine learning and learning theory through the lens of local approximation explanations. First, we tackle the traditional problem of performance generalization and bound the test—time predictive accuracy of a model using a notion of how locally explainable i tis. Second, we explore the novel problem of explanation generalization which is an important concern for a growing class of finite sample-based local approximation explanations. Finally, we validate our theoretical results empirically and show that they reflect what can be seen in practice.

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The Bures Metric for Taming Mode Collapse in Generative Adversarial Networks Hannes De Meulemeester, Joachim Schreurs, Michaël Fanuel, Bart De Moor, Johan Suyken Generative Adversarial Networks (GANs) are performant generative methods yieldin g high-quality samples. However, under certain circumstances, the training of GA Ns can lead to mode collapse or mode dropping, i.e. the generative models not be ing able to sample from the entire probability distribution. To address this pro blem, we use the last layer of the discriminator as a feature map to study the d istribution of the real and the fake data. During training, we propose to match the real batch diversity to the fake batch diversity by using the Bures distance between covariance matrices in feature space. The computation of the Bures distance can be conveniently done in either feature space or kernel space in terms of the covariance and kernel matrix respectively. We observe that diversity match ing reduces mode collapse substantially and has a positive effect on the sample quality. On the practical side, a very simple training procedure, that does not require additional hyperparameter tuning, is proposed and assessed on several datasets.

FERMI: Fair Empirical Risk Minimization via Exponential Rényi Mutual Information Rakesh Pavan, Andrew Lowy, Sina Baharlouei, Meisam Razaviyayn, Ahmad Beirami Several notions of fairness, such as demographic parity and equal opportunity, a re defined based on statistical independence between a predicted target and a se nsitive attribute. In machine learning applications, however, the data distribut ion is unknown to the learner and statistical independence is not verifiable. He nce, the learner could only resort to empirical evaluation of the degree of fair ness violation. Many fairness violation notions are defined as a divergence/dist ance between the joint distribution of the target and sensitive attributes and the Kronecker product of their marginals, such as \Renyi correlation, mutual i nformation, \$L\_\infty\$ distance, to name a few.

In this paper, we propose another notion of fairness violation, called Exponen tial R\'enyi Mutual Information (ERMI) between sensitive attributes and the pred icted target. We show that ERMI is a strong fairness violation notion in the sen se that it provides an upper bound guarantee on all of the aforementioned notion s of fairness violation. We also propose the Fair Empirical Risk Minimization vi a ERMI regularization framework, called FERMI. Whereas existing in-processing fa irness algorithms are deterministic, we provide a stochastic optimization method for solving FERMI that is amenable to large-scale problems. In addition, we provide a batch (deterministic) method to solve FERMI. Both of our proposed algorit hms come with theoretical convergence guarantees. Our experiments show that FERM I achieves the most favorable tradeoffs between fairness violation and accuracy on test data across different problem setups, even when fairness violation is me asured in notions other than ERMI.

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An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale Alexey Dosovitskiy, Lucas Beyer, Alexander Kolesnikov, Dirk Weissenborn, Xiaohua Zhai, Thomas Unterthiner, Mostafa Dehghani, Matthias Minderer, Georg Heigold, Sylvain Gelly, Jakob Uszkoreit, Neil Houlsby

While the Transformer architecture has become the de-facto standard for natural language processing tasks, its applications to computer vision remain limited. In vision, attention is either applied in conjunction with convolutional networks, or used to replace certain components of convolutional networks while keeping their overall structure in place. We show that this reliance on CNNs is not nece ssary and a pure transformer applied directly to sequences of image patches can perform very well on image classification tasks. When pre-trained on large amounts of data and transferred to multiple mid-sized or small image recognition benchmarks (ImageNet, CIFAR-100, VTAB, etc.), Vision Transformer (ViT) attains excellent results compared to state-of-the-art convolutional networks while requiring substantially fewer computational resources to train.

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Jointly-Trained State-Action Embedding for Efficient Reinforcement Learning Paul Julian Pritz, Liang Ma, Kin Leung

While reinforcement learning has achieved considerable successes in recent years , state-of-the-art models are often still limited by the size of state and actio

n spaces. Model-free reinforcement learning approaches use some form of state re presentations and the latest work has explored embedding techniques for actions, both with the aim of achieving better generalization and applicability. However , these approaches consider only states or actions, ignoring the interaction bet ween them when generating embedded representations. In this work, we propose a n ew approach for jointly learning embeddings for states and actions that combines aspects of model-free and model-based reinforcement learning, which can be appl ied in both discrete and continuous domains. Specifically, we use a model of the environment to obtain embeddings for states and actions and present a generic a rchitecture that uses these to learn a policy. In this way, the embedded represe ntations obtained via our approach enable better generalization over both states and actions by capturing similarities in the embedding spaces. Evaluations of o ur approach on several gaming, robotic control, and recommender systems show it significantly outperforms state-of-the-art models in both discrete/continuous do mains with large state/action spaces, thus confirming its efficacy and the overa 11 superior performance.

A Robust Fuel Optimization Strategy For Hybrid Electric Vehicles: A Deep Reinfor cement Learning Based Continuous Time Design Approach

Nilanjan Mukherjee, Sudeshna Sarkar This paper deals with the fuel opt:

This paper deals with the fuel optimization problem for hybrid electric vehicles in reinforcement learning framework. Firstly, considering the hybrid electric v ehicle as a completely observable non-linear system with uncertain dynamics, we solve an open-loop deterministic optimization problem. This is followed by the design of a deep reinforcement learning based optimal controller for the non-li near system using concurrent learning based system identifier such that the actu al states and the control policy are able to track the optimal trajectory and op timal policy, autonomously even in the presence of external disturbances, modeli ng errors, uncertainties and noise and signigicantly reducing the computational complexity at the same time, which is in sharp contrast to the conventional meth ods like PID and Model Predictive Control (MPC) as well as traditional RL approa ches like ADP, DDP and DQN that mostly depend on a set of pre-defined rules and provide sub-optimal solutions under similar conditions. The low value of the H-i nfinity (\$H\_{\infty})\$ performance index of the proposed optimization algorithm addresses the robustness issue. The optimization technique thus proposed is comp ared with the traditional fuel optimization strategies for hybrid electric vehic les to illustate the efficacy of the proposed method.

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CopulaGNN: Towards Integrating Representational and Correlational Roles of Graph s in Graph Neural Networks

Jiaqi Ma, Bo Chang, Xuefei Zhang, Qiaozhu Mei

Graph-structured data are ubiquitous. However, graphs encode diverse types of in formation and thus play different roles in data representation. In this paper, w e distinguish the \textit{representational} and the \textit{correlational} roles played by the graphs in node-level prediction tasks, and we investigate how Gra ph Neural Network (GNN) models can effectively leverage both types of informatio n. Conceptually, the representational information provides guidance for the mode 1 to construct better node features; while the correlational information indicat es the correlation between node outcomes conditional on node features. Through a simulation study, we find that many popular GNN models are incapable of effecti vely utilizing the correlational information. By leveraging the idea of the copu la, a principled way to describe the dependence among multivariate random variab les, we offer a general solution. The proposed Copula Graph Neural Network (Copu laGNN) can take a wide range of GNN models as base models and utilize both repre sentational and correlational information stored in the graphs. Experimental res ults on two types of regression tasks verify the effectiveness of the proposed m ethod.

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Gradient Descent on Neural Networks Typically Occurs at the Edge of Stability Jeremy Cohen, Simran Kaur, Yuanzhi Li, J Zico Kolter, Ameet Talwalkar

We empirically demonstrate that full-batch gradient descent on neural network training objectives typically operates in a regime we call the Edge of Stability. In this regime, the maximum eigenvalue of the training loss Hessian hovers just above the value \$2 / \text{(step size)}\$, and the training loss behaves non-mono tonically over short timescales, yet consistently decreases over long timescales. Since this behavior is inconsistent with several widespread presumptions in the field of optimization, our findings raise questions as to whether these presum ptions are relevant to neural network training. We hope that our findings will inspire future efforts aimed at rigorously understanding optimization at the Edge of Stability.

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AutoLRS: Automatic Learning-Rate Schedule by Bayesian Optimization on the Fly Yuchen Jin, Tianyi Zhou, Liangyu Zhao, Yibo Zhu, Chuanxiong Guo, Marco Canini, Arvind Krishnamurthy

The learning rate (LR) schedule is one of the most important hyper-parameters ne eding careful tuning in training DNNs. However, it is also one of the least auto mated parts of machine learning systems and usually costs significant manual eff ort and computing. Though there are pre-defined LR schedules and optimizers with adaptive LR, they introduce new hyperparameters that need to be tuned separatel y for different tasks/datasets. In this paper, we consider the question: Can we automatically tune the LR over the course of training without human involvement? We propose an efficient method, AutoLRS, which automatically optimizes the LR f or each training stage by modeling training dynamics. AutoLRS aims to find an LR that minimizes the validation loss, every \$\tau\$ steps. We formulate it as blac k-box optimization and solve it by Bayesian optimization (BO). However, collecti ng training instances for BO requires a system to evaluate each LR queried by BO 's acquisition function for \$\tau\$ steps, which is prohibitively expensive in pr actice. Instead, we apply each candidate LR for only \$\tau'\ll\tau\$ steps and tr ain an exponential model to predict the validation loss after \$\tau\$ steps. This mutual-training process between BO and the exponential model allows us to bound the number of training steps invested in the BO search. We demonstrate the adva ntages and the generality of AutoLRS through extensive experiments of training D NNs from diverse domains and using different optimizers. The LR schedules auto-g enerated by AutoLRS leads to a speedup of \$1.22\times\$, \$1.43\times\$, and \$1.5\t imes\$ when training ResNet-50, Transformer, and BERT, respectively, compared to the LR schedules in their original papers, and an average speedup of \$1.31\times \$ over state-of-the-art highly tuned LR schedules.

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Synthesizer: Rethinking Self-Attention for Transformer Models

Yi Tay, Dara Bahri, Donald Metzler, Da-Cheng Juan, Zhe Zhao, Che Zheng The dot product self-attention is known to be central and indispensable to state -of-the-art Transformer models. But is it really required? This paper investigat es the true importance and contribution of the dot product-based self-attention mechanism on the performance of Transformer models. Via extensive experiments, w e find that (1) random alignment matrices surprisingly perform quite competitive ly and (2) learning attention weights from token-token (query-key) interactions is useful but not that important after all. To this end, we propose \textsc{Synt hesizer}, a model that learns synthetic attention weights without token-token in teractions. In our experiments, we first show that simple Synthesizers achieve h ighly competitive performance when compared against vanilla Transformer models a cross a range of tasks, including machine translation, language modeling, text g eneration and GLUE/SuperGLUE benchmarks. When composed with dot product attentio n, we find that Synthesizers consistently outperform Transformers. Moreover, we conduct additional comparisons of Synthesizers against Dynamic Convolutions, sho wing that simple Random Synthesizer is not only \$60\%\$ faster but also improves perplexity by a relative \$3.5\%\$. Finally, we show that simple factorized Synthe sizers can outperform Linformers on encoding only tasks.

Episodic learning is a popular practice among researchers and practitioners inte rested in few-shot learning. It consists of organising training in a series of l earning problems, each relying on small "support" and "query" sets to mimic the few-shot circumstances encountered during evaluation.

In this paper, we investigate the usefulness of episodic learning in Prototypica l Networks, one of the most popular algorithms making use of this practice.

Surprisingly, in our experiments we found that, for Prototypical Networks, it is detrimental to use the episodic learning strategy of separating training sample s between support and query set, as it is

a data-inefficient way to exploit training batches. This "non-episodic" version of Prototypical Networks, which corresponds to the classic Neighbourhood Compone nt Analysis, reliably improves over its episodic counterpart in multiple dataset s, achieving an accuracy that is competitive with the state-of-the-art, despite being extremely simple.

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Autoregressive Dynamics Models for Offline Policy Evaluation and Optimization Michael R Zhang, Thomas Paine, Ofir Nachum, Cosmin Paduraru, George Tucker, ziyu wang , Mohammad Norouzi

Standard dynamics models for continuous control make use of feedforward computat ion to predict the conditional distribution of next state and reward given curre nt state and action using a multivariate Gaussian with a diagonal covariance str ucture. This modeling choice assumes that different dimensions of the next state and reward are conditionally independent given the current state and action and may be driven by the fact that fully observable physics-based simulation enviro nments entail deterministic transition dynamics. In this paper, we challenge thi s conditional independence assumption and propose a family of expressive autoreg ressive dynamics models that generate different dimensions of the next state and reward sequentially conditioned on previous dimensions. We demonstrate that aut oregressive dynamics models indeed outperform standard feedforward models in log -likelihood on heldout transitions. Furthermore, we compare different model-base d and model-free off-policy evaluation (OPE) methods on RL Unplugged, a suite of offline MuJoCo datasets, and find that autoregressive dynamics models consisten tly outperform all baselines, achieving a new state-of-the-art. Finally, we show that autoregressive dynamics models are useful for offline policy optimization by serving as a way to enrich the replay buffer through data augmentation and im proving performance using model-based planning.

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On the role of planning in model-based deep reinforcement learning Jessica B Hamrick, Abram L. Friesen, Feryal Behbahani, Arthur Guez, Fabio Viola, Sims Witherspoon, Thomas Anthony, Lars Holger Buesing, Petar Veli■kovi■, Theophane Weber Model-based planning is often thought to be necessary for deep, careful reasonin g and generalization in artificial agents. While recent successes of model-based reinforcement learning (MBRL) with deep function approximation have strengthene d this hypothesis, the resulting diversity of model-based methods has also made it difficult to track which components drive success and why. In this paper, we seek to disentangle the contributions of recent methods by focusing on three que stions: (1) How does planning benefit MBRL agents? (2) Within planning, what cho ices drive performance? (3) To what extent does planning improve generalization? To answer these questions, we study the performance of MuZero (Schrittwieser et al., 2019), a state-of-the-art MBRL algorithm with strong connections and overl apping components with many other MBRL algorithms. We perform a number of interv entions and ablations of MuZero across a wide range of environments, including c ontrol tasks, Atari, and 9x9 Go. Our results suggest the following: (1) Planning is most useful in the learning process, both for policy updates and for providi ng a more useful data distribution. (2) Using shallow trees with simple Monte-Ca rlo rollouts is as performant as more complex methods, except in the most diffic ult reasoning tasks. (3) Planning alone is insufficient to drive strong generali zation. These results indicate where and how to utilize planning in reinforcemen t learning settings, and highlight a number of open questions for future MBRL re

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A Flexible Framework for Discovering Novel Categories with Contrastive Learning Xuhui Jia, Kai Han, Yukun Zhu, Bradley Green

This paper studies the problem of novel category discovery on single- and multimodal data with labels from different but relevant categories. We present a gene ric, end-to-end framework to jointly learn a reliable representation and assign clusters to unlabelled data. To avoid over-fitting the learnt embedding to label led data, we take inspiration from self-supervised representation learning by no ise-contrastive estimation and extend it to jointly handle labelled and unlabell ed data. In particular, we proposed using category discrimination on labelled data and cross-modal discrimination on multi-modal data to augment instance discrimination used in conventional contrastive learning approaches. We further introduce Winner-Take-All (WTA) hashing algorithm on the shared representation space to generate pairwise pseudo labels for unlabelled data to better predict cluster assignments. We thoroughly evaluate our framework on large-scale multi-modal video benchmarks Kinetics-400 and VGG-Sound, and image benchmarks CIFAR10, CIFAR100 and ImageNet, obtaining state-of-the-art results.

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K-PLUG: KNOWLEDGE-INJECTED PRE-TRAINED LANGUAGE MODEL FOR NATURAL LANGUAGE UNDER STANDING AND GENERATION

Song Xu, Haoran Li, Peng Yuan, Yujia Wang, Youzheng Wu, Xiaodong He, Ying Liu, Bowen Zh ou

Existing pre-trained language models (PLMs) have demonstrated the effectiveness of self-supervised learning for a broad range of natural language processing (NL P) tasks. However, most of them are not explicitly aware of domain-specific know ledge, which is essential for downstream tasks in many domains, such as tasks in e-commerce scenarios. In this paper, we propose K-PLUG, a knowledge-injected pr e-trained language model based on the encoder-decoder transformer that can be tr ansferred to both natural language understanding and generation tasks. We verify our method in a diverse range of e-commerce scenarios that require domain-speci fic knowledge. Specifically, we propose five knowledge-aware self-supervised pre -training objectives to formulate the learning of domain-specific knowledge, inc luding e-commerce domain-specific knowledge-bases, aspects of product entities, categories of product entities, and unique selling propositions of product enti ties. K-PLUG achieves new state-of-the-art results on a suite of domain-specific NLP tasks, including product knowledge base completion, abstractive product sum marization, and multi-turn dialogue, significantly outperforms baselines across the board, which demonstrates that the proposed method effectively learns a dive rse set of domain-specific knowledge for both language understanding and generat ion tasks. The code, data, and models will be publicly available.

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Reinforcement Learning Based Asymmetrical DNN Modularization for Optimal Loading Brijraj Singh, Yash Jain, Mayukh Das, Praveen Doreswamy Naidu

Latency of DNN (Deep Neural Network) based prediction is the summation of model loading latency and inference latency. Model loading latency affects the first r esponse from the applications, whereas inference latency affects the subsequent responses. As model loading latency is directly proportional to the model size, this work aims at improving the response time of an intelligent app by reducing the loading latency. The speedup is gained by asymmetrically modularizing the gi ven DNN model among several small child models and loading them in parallel. The decision about number of feasible child models and their corresponding split po sitions are taken care by reinforcement learning unit (RLU). RLU takes into account the available hardware resources on-device and provides the best splitting i ndex k and their positions  $\alpha e$  specific to the DNN model and device, where  $\alpha e$  specific to the DNN model and device, where  $\alpha e$  specific. The proposed method has shown significant loading improvement (up to 7X) on popular DNNs, used for camera use-case. The proposed method can be used to speed up the app response. Along with that RLU driven approach facilitates

for On-device personalization by separating one module only with trainable layer s and loading that particular module while training on-device.

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Adversarial Privacy Preservation in MRI Scans of the Brain Lennart Alexander Van der Goten, Tobias Hepp, Zeynep Akata, Kevin Smith

De-identification of magnetic resonance imagery (MRI) is intrinsically difficult since, even with all metadata removed, a person's face can easily be rendered a nd matched against a database. Existing de-identification methods tackle this ta sk by obfuscating or removing parts of the face, but they either fail to reliably hide the patient's identity or they remove so much information that they adver sely affect further analyses in the 3D space surrounding the face. In this work, we describe a new class of MRI de-identification techniques that remodel privacy-sensitive facial features as opposed to removing them. To accomplish this, we propose a conditional, multi-scale, 3D GAN architecture that takes a patient's MRI scan as input and generates a 3D volume in which the brain is not modified but the face has been de-identified. Compared to the classical removal-based techniques, our deep learning framework preserves privacy more reliably without adversely affecting downstream medical analyses on the brain, including segmentation and age prediction.

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Model-centric data manifold: the data through the eyes of the model Luca Grementieri, Rita Fioresi

We discover that deep ReLU neural network classifiers can see a low-dimensional Riemannian manifold structure on data. Such structure comes via the local data m atrix, a variation of the Fisher information matrix, where the role of the model parameters is taken by the data variables. We obtain a foliation of the data do main and we show that the dataset on which the model is trained lies on a leaf, the data leaf, whose dimension is bounded by the number of classification labels. We validate our results with some experiments with the MNIST dataset: paths on the data leaf connect valid images, while other leaves cover noisy images.

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How to Avoid Being Eaten by a Grue: Structured Exploration Strategies for Textua 1 Worlds

Prithviraj Ammanabrolu, Ethan Tien, Matthew Hausknecht, Mark Riedl

Text-based games are long puzzles or quests, characterized by a sequence of spar se and potentially deceptive rewards. They provide an ideal platform to develop agents that perceive and act upon the world using a combinatorially sized natura 1 language state-action space. Standard Reinforcement Learning agents are poorly equipped to effectively explore such spaces and often struggle to overcome bott lenecks---states that agents are unable to pass through simply because they do n ot see the right action sequence enough times to be sufficiently reinforced. We introduce Q\*BERT, an agent that learns to build a knowledge graph of the world b y answering questions, which leads to greater sample efficiency. To overcome bot tlenecks, we further introduce MC!Q\*BERT an agent that uses an knowledge-graph-b ased intrinsic motivation to detect bottlenecks and a novel exploration strategy to efficiently learn a chain of policy modules to overcome them. We present an ablation study and results demonstrating how our method outperforms the current state-of-the-art on nine text games, including the popular game, Zork, where, fo r the first time, a learning agent gets past the bottleneck where the player is eaten by a Grue.

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Zero-Cost Proxies for Lightweight NAS

Mohamed S Abdelfattah, Abhinav Mehrotra, Lukasz Dudziak, Nicholas Donald Lane Neural Architecture Search (NAS) is quickly becoming the standard methodology to design neural network models. However, NAS is typically compute-intensive becau se multiple models need to be evaluated before choosing the best one. To reduce the computational power and time needed, a proxy task is often used for evaluating each model instead of full training. In this paper, we evaluate conventional reduced-training proxies and quantify how well they preserve ranking between neu

ral network models during search when compared with the rankings produced by fin al trained accuracy. We propose a series of zero-cost proxies, based on recent p runing literature, that use just a single minibatch of training data to compute a model's score. Our zero-cost proxies use 3 orders of magnitude less computatio n but can match and even outperform conventional proxies. For example, Spearman's rank correlation coefficient between final validation accuracy and our best ze ro-cost proxy on NAS-Bench-201 is 0.82, compared to 0.61 for EcoNAS (a recently proposed reduced-training proxy). Finally, we use these zero-cost proxies to enhance existing NAS search algorithms such as random search, reinforcement learning, evolutionary search and predictor-based search. For all search methodologies and across three different NAS datasets, we are able to significantly improve sa mple efficiency, and thereby decrease computation, by using our zero-cost proxies. For example on NAS-Bench-101, we achieved the same accuracy 4\$\times\$ quicker than the best previous result. Our code is made public at: https://github.com/mohsaied/zero-cost-nas.

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Revisiting Graph Neural Networks for Link Prediction Muhan Zhang, Pan Li, Yinglong Xia, Kai Wang, Long Jin

Graph neural networks (GNNs) have achieved great success in recent years. Three most common applications include node classification, link prediction, and graph classification. While there is rich literature on node classification and graph classification, GNNs for link prediction is relatively less studied and less un derstood. Two representative classes of methods exist: GAE and SEAL. GAE (Graph Autoencoder) first uses a GNN to learn node embeddings for all nodes, and then a ggregates the embeddings of the source and target nodes as their link representa tion. SEAL extracts a subgraph around the source and target nodes, labels the no des in the subgraph, and then uses a GNN to learn a link representation from the labeled subgraph. In this paper, we thoroughly discuss the differences between these two classes of methods, and conclude that simply aggregating \textit{node} embeddings does not lead to effective \textit{link} representations, while lear ning from \textit{properly labeled subgraphs} around links provides highly expre ssive and generalizable link representations. Experiments on the recent large-sc ale OGB link prediction datasets show that SEAL has up to 195\% performance gain s over GAE methods, achieving new state-of-the-art results on 3 out of 4 dataset s.

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Simplifying Models with Unlabeled Output Data Sang Michael Xie, Tengyu Ma, Percy Liang

We focus on prediction problems with high-dimensional outputs that are subject t o output validity constraints, e.g. a pseudocode-to-code translation task where the code must compile. For these problems, labeled input-output pairs are expens ive to obtain, but "unlabeled" outputs, i.e. outputs without corresponding input s, are freely available and provide information about output validity (e.g. code on GitHub). In this paper, we present predict-and-denoise, a framework that can leverage unlabeled outputs. Specifically, we first train a denoiser to map poss ibly invalid outputs to valid outputs using synthetic perturbations of the unlab eled outputs. Second, we train a predictor composed with this fixed denoiser. We show theoretically that for a family of functions with a high-dimensional discr ete valid output space, composing with a denoiser reduces the complexity of a 2layer ReLU network needed to represent the function and that this complexity gap can be arbitrarily large. We evaluate the framework empirically on several data sets, including image generation from attributes and pseudocode-to-code translat ion. On the SPoC pseudocode-to-code dataset, our framework improves the proporti on of code outputs that pass all test cases by 3-5% over a baseline Transformer. 

How to Design Sample and Computationally Efficient VQA Models Karan Samel, Zelin Zhao, Kuan Wang, Robin Luo, Binghong Chen, Le Song In multi-modal reasoning tasks, such as visual question answering (VQA), there have been many modeling and training paradigms tested. Previous models propose different methods for the vision and language tasks, but which ones perform the be

st while being sample and computationally efficient? Based on our experiments, we find that representing the text as probabilistic programs and images as object—level scene graphs best satisfy these desiderata. We extend existing models to leverage these soft programs and scene graphs to train on question answer pairs in an end-to-end manner. Empirical results demonstrate that this differentiable end-to-end program executor is able to maintain state-of-the-art accuracy while being sample and computationally efficient.

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Contextual HyperNetworks for Novel Feature Adaptation

Angus Lamb, Evgeny Saveliev, Yingzhen Li, Sebastian Tschiatschek, Camilla Longden, Si mon Woodhead, José Miguel Hernández-Lobato, Richard E Turner, Pashmina Cameron, Chen q Zhang

While deep learning has obtained state-of-the-art results in many applications, the adaptation of neural network architectures to incorporate new features remai ns a research challenge. This issue is particularly severe in online learning se ttings, where new features are added continually with few or no associated obser vations. As such, methods for adapting neural networks to novel features which a re both time and data-efficient are desired. To address this, we propose the Con textual HyperNetwork (CHN), which predicts the network weights associated with n ew features by incorporating information from both existing data as well as the few observations for the new feature and any associated feature metadata. At pre diction time, the CHN requires only a single forward pass through a small neural network, yielding a significant speed-up when compared to re-training and finetuning approaches. In order to showcase the performance of CHNs, in this work we use a CHN to augment a partial variational autoencoder (P-VAE), a flexible deep generative model which can impute the values of missing features in sparsely-ob served data. We show that this system obtains significantly improved performance for novel feature adaptation over existing imputation and meta-learning baselin es across recommender systems, e-learning, and healthcare tasks.

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Personalized Federated Learning with First Order Model Optimization Michael Zhang, Karan Sapra, Sanja Fidler, Serena Yeung, Jose M. Alvarez

While federated learning traditionally aims to train a single global model acros s decentralized local datasets, one model may not always be ideal for all partic ipating clients. Here we propose an alternative, where each client only federate s with other relevant clients to obtain a stronger model per client-specific objectives. To achieve this personalization, rather than computing a single model a verage with constant weights for the entire federation as in traditional FL, we efficiently calculate optimal weighted model combinations for each client, based on figuring out how much a client can benefit from another's model. We do not a ssume knowledge of any underlying data distributions or client similarities, and allow each client to optimize for arbitrary target distributions of interest, e nabling greater flexibility for personalization. We evaluate and characterize our method on a variety of federated settings, datasets, and degrees of local data heterogeneity. Our method outperforms existing alternatives, while also enabling new features for personalized FL such as transfer outside of local data distributions.

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Revisiting Explicit Regularization in Neural Networks for Reliable Predictive Probability

Taejong Joo, Uijung Chung

From the statistical learning perspective, complexity control via explicit regul arization is a necessity for improving the generalization of over-parameterized models, which deters the memorization of intricate patterns existing only in the training data. However, the impressive generalization performance of over-parameterized neural networks with only implicit regularization challenges the import ance of explicit regularization. Furthermore, explicit regularization does not prevent neural networks from memorizing unnatural patterns, such as random labels. In this work, we revisit the role and importance of explicit regularization methods for generalization of the predictive probability, not just the generalization

ion of the 0-1 loss. Specifically, we analyze the possible cause of the poor pre dictive probability and identify that regularization of predictive confidence is required during training. We then empirically show that explicit regularization significantly improves the reliability of the predictive probability, which ena bles better predictive uncertainty representation and prevents the overconfidence problem. Our findings present a new direction to improve the predictive probability quality of deterministic neural networks, which can be an efficient and scalable alternative to Bayesian neural networks and ensemble methods.

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Deconstructing the Regularization of BatchNorm

Yann Dauphin, Ekin Dogus Cubuk

Batch normalization (BatchNorm) has become a standard technique in deep learning . Its popularity is in no small part due to its often positive effect on general ization. Despite this success, the regularization effect of the technique is still poorly understood. This study aims to decompose BatchNorm into separate mechanisms that are much simpler. We identify three effects of BatchNorm and assess their impact directly with ablations and interventions. Our experiments show that preventing explosive growth at the final layer at initialization and during training can recover a large part of BatchNorm's generalization boost. This regular ization mechanism can lift accuracy by \$2.9\%\$ for Resnet-50 on Imagenet without BatchNorm. We show it is linked to other methods like Dropout and recent initial lizations like Fixup. Surprisingly, this simple mechanism matches the improvement of \$0.9\%\$ of the more complex Dropout regularization for the state-of-the-art Efficientnet-B8 model on Imagenet. This demonstrates the underrated effectiveness of simple regularizations and sheds light on directions to further improve generalization for deep nets.

DarKnight: A Data Privacy Scheme for Training and Inference of Deep Neural Networks

Hanieh Hashemi, Yongqin Wang, Murali Annavaram

Protecting the privacy of input data is of growing importance as machine learnin g methods reach new application domains.

In this paper, we provide a unified training and inference framework for large D NNs while protecting input privacy and computation integrity. Our approach calle d DarKnight uses a novel data blinding strategy using matrix masking to create i nput obfuscation within a trusted execution environment (TEE). Our rigorous math ematical proof demonstrates that our blinding process provides an information—th eoretic privacy guarantee by bounding information leakage. The obfuscated data c an then be offloaded to any GPU for accelerating linear operations on blinded data. The results from linear operations on blinded data are decoded before perfor ming non—linear operations within the TEE. This cooperative execution allows Dar Knight to exploit the computational power of GPUs to perform linear operations w hile exploiting TEEs to protect input privacy. We implement DarKnight on an Int el SGX TEE augmented with a GPU to evaluate its performance.

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No Cost Likelihood Manipulation at Test Time for Making Better Mistakes in Deep Networks

Shyamgopal Karthik, Ameya Prabhu, Puneet K. Dokania, Vineet Gandhi

There has been increasing interest in building deep hierarchy-aware classifiers that aim to quantify and reduce the severity of mistakes, and not just reduce the number of errors. The idea is to exploit the label hierarchy (e.g., the WordNe tontology) and consider graph distances as a proxy for mistake severity. Surprisingly, on examining mistake-severity distributions of the top-1 prediction, we find that current state-of-the-art hierarchy-aware deep classifiers do not always show practical improvement over the standard cross-entropy baseline in making better mistakes. The reason for the reduction in average mistake-severity can be attributed to the increase in low-severity mistakes, which may also explain the noticeable drop in their accuracy. To this end, we use the classical Conditional Risk Minimization (CRM) framework for hierarchy-aware classification. Given a

cost matrix and a reliable estimate of likelihoods (obtained from a trained netw ork), CRM simply amends mistakes at inference time; it needs no extra hyperparam eters and requires adding just a few lines of code to the standard cross-entropy baseline. It significantly outperforms the state-of-the-art and consistently obtains large reductions in the average hierarchical distance of top-\$k\$ predictions across datasets, with very little loss in accuracy. CRM, because of its simplicity, can be used with any off-the-shelf trained model that provides reliable likelihood estimates.

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Fold2Seq: A Joint Sequence(1D)-Fold(3D) Embedding-based Generative Model for Protein Design

Yue Cao, Payel Das, Pin-Yu Chen, Vijil Chenthamarakshan, Igor Melnyk, Yang Shen Designing novel protein sequences consistent with a desired 3D structure or fol d, often referred to as the inverse protein folding problem, is a central, but n on-trivial, task in protein engineering. It has a wide range of applications i n energy, biomedicine, and materials science. However, challenges exist due to t he complex sequence-fold relationship and difficulties associated with modeling 3D folds. To overcome these challenges, we propose Fold2Seq, a novel transforme r-based generative framework for designing protein sequences conditioned on a sp ecific fold. Our model learns a fold embedding from the density of the secondar y structural elements in 3D voxels, and then models the complex sequence-struct ure relationship by learning a joint sequence-fold embedding. Experiments on hi gh-resolution, complete, and single-structure test set demonstrate improved per formance of Fold2Seq in terms of speed and reliability for sequence design, comp ared to existing baselines including the state-of-the-art RosettaDesign and othe r neural net-based approaches. The unique advantages of fold-based Fold2Seq beco mes more evident on diverse real-world test sets comprised of low-resolution, in complete, or ensemble structures, in comparison to a structure-based model.

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Intrinsic-Extrinsic Convolution and Pooling for Learning on 3D Protein Structure  ${\bf s}$ 

Pedro Hermosilla, Marco Schäfer, Matej Lang, Gloria Fackelmann, Pere-Pau Vázquez, Barbora Kozlikova, Michael Krone, Tobias Ritschel, Timo Ropinski

Proteins perform a large variety of functions in living organisms and thus play a key role in biology. However, commonly used algorithms in protein representati on learning were not specifically designed for protein data, and are therefore n ot able to capture all relevant structural levels of a protein during learning. To fill this gap, we propose two new learning operators, specifically designed t o process protein structures. First, we introduce a novel convolution operator t hat considers the primary, secondary, and tertiary structure of a protein by usi ng \$n\$-D convolutions defined on both the Euclidean distance, as well as multiple e geodesic distances between the atoms in a multi-graph. Second, we introduce a set of hierarchical pooling operators that enable multi-scale protein analysis. We further evaluate the accuracy of our algorithms on common downstream tasks, where we outperform state-of-the-art protein learning algorithms.

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Action Guidance: Getting the Best of Sparse Rewards and Shaped Rewards for Realtime Strategy Games

Shengyi Huang, Santiago Ontanon

Training agents using Reinforcement Learning in games with sparse rewards is a c hallenging problem, since large amounts of exploration are required to retrieve even the first reward. To tackle this problem, a common approach is to use reward shaping to help exploration. However, an important drawback of reward shaping is that agents sometimes learn to optimize the shaped reward instead of the true objective. In this paper, we present a novel technique that we call action guid ance that successfully trains agents to eventually optimize the true objective in games with sparse rewards while maintaining most of the sample efficiency that comes with reward shaping. We evaluate our approach in a simplified real-time strategy (RTS) game simulator called \$\mu\$RTS.

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Generative Language-Grounded Policy in Vision-and-Language Navigation with Bayes 'Rule

Shuhei Kurita, Kyunghyun Cho

Vision-and-language navigation (VLN) is a task in which an agent is embodied in a realistic 3D environment and follows an instruction to reach the goal node. Wh ile most of the previous studies have built and investigated a discriminative approach, we notice that there are in fact two possible approaches to building such a VLN agent: discriminative and generative. In this paper, we design and investigate a generative language-grounded policy which uses a language model to compute the distribution over all possible instructions i.e. all possible sequences of vocabulary tokens given action and the transition history. In experiments, we show that the proposed generative approach outperforms the discriminative approach in the Room-2-Room (R2R) and Room-4-Room (R4R) datasets, especially in the unseen environments. We further show that the combination of the generative and discriminative policies achieves close to the state-of-the art results in the R2R dataset, demonstrating that the generative and discriminative policies capture the different aspects of VLN.

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Evaluating Agents Without Rewards

Brendon Matusch, Jimmy Ba, Danijar Hafner

Reinforcement learning has enabled agents to solve challenging control tasks from raw image inputs. However, manually crafting reward functions can be time consuming, expensive, and prone to human error. Competing objectives have been proposed for agents to learn without external supervision, such as artificial input entropy, information gain, and empowerment. Estimating these objectives can be challenging and it remains unclear how well they reflect task rewards or human behavior. We study these objectives across seven agents and three Atari games. Retrospectively computing the objectives from the agent's lifetime of experience simplifies accurate estimation. We find that all three objectives correlate more strongly with a human behavior similarity metric than with task reward. Moreover, input entropy and information gain both correlate more strongly with human simil arity than task reward does.

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Learning a Latent Search Space for Routing Problems using Variational Autoencode

André Hottung, Bhanu Bhandari, Kevin Tierney

Methods for automatically learning to solve routing problems are rapidly improving in performance. While most of these methods excel at generating solutions quickly, they are unable to effectively utilize longer run times because they lack a sophisticated search component. We present a learning-based optimization approach that allows a guided search in the distribution of high-quality solutions for a problem instance. More precisely, our method uses a conditional variational autoencoder that learns to map points in a continuous (latent) search space to high-quality, instance-specific routing problem solutions. The learned space can then be searched by any unconstrained continuous optimization method. We show that even using a standard differential evolution search strategy our approach is able to outperform existing purely machine learning based approaches.

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Parallel Training of Deep Networks with Local Updates

Michael Laskin, Luke Metz, Seth Nabarro, Mark Saroufim, Badreddine Noune, Carlo Lusch i, Jascha Sohl-Dickstein, Pieter Abbeel

Deep learning models trained on large data sets have been widely successful in b oth vision and language domains. As state-of-the-art deep learning architectures have continued to grow in parameter count so have the compute budgets and times required to train them, increasing the need for compute-efficient methods that parallelize training. Two common approaches to parallelize the training of deep networks have been data and model parallelism. While useful, data and model parallelism suffer from diminishing returns in terms of compute efficiency for large batch sizes. In this paper, we investigate how to continue scaling compute efficiently beyond the point of diminishing returns for large batches through local

parallelism, a framework which parallelizes training of individual layers in dee p networks by replacing global backpropagation with truncated layer-wise backpro pagation. Local parallelism enables fully asynchronous layer-wise parallelism wi th a low memory footprint, and requires little communication overhead compared w ith model parallelism. We show results in both vision and language domains acros s a diverse set of architectures, and find that local parallelism is particularly effective in the high-compute regime.

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Efficient Long-Range Convolutions for Point Clouds Yifan Peng, Lin Lin, Lexing Ying, Leonardo Zepeda-Nunez

The efficient treatment of long-range interactions for point clouds is a challen ging problem in many scientific machine learning applications. To extract global information, one usually needs a large window size, a large number of layers, a nd/or a large number of channels. This can often significantly increase the comp utational cost. In this work, we present a novel neural network layer that direc tly incorporates long-range information for a point cloud. This layer, dubbed th e long-range convolutional (LRC)-layer, leverages the convolutional theorem coup led with the non-uniform Fourier transform. In a nutshell, the LRC-layer mollifi es the point cloud to an adequately sized regular grid, computes its Fourier tra nsform, multiplies the result by a set of trainable Fourier multipliers, compute s the inverse Fourier transform, and finally interpolates the result back to the point cloud. The resulting global all-to-all convolution operation can be perfo rmed in nearly-linear time asymptotically with respect to the number of input po ints. The LRC-layer is a particularly powerful tool when combined with local con volution as together they offer efficient and seamless treatment of both short a nd long range interactions. We showcase this framework by introducing a neural n etwork architecture that combines LRC-layers with short-range convolutional laye rs to accurately learn the energy and force associated with a \$N\$-body potential We also exploit the induced two-level decomposition and propose an efficient strategy to train the combined architecture with a reduced number of samples.

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Continual Prototype Evolution: Learning Online from Non-Stationary Data Streams Matthias De Lange, Tinne Tuytelaars

Attaining prototypical features to represent class distributions is well establi shed in representation learning. However, learning prototypes online from stream s of data proves a challenging endeavor as they rapidly become outdated, caused by an ever-changing parameter space in the learning process. Additionally, conti nual learning assumes a non-stationary nature of the data stream, typically resu lting in catastrophic forgetting of previous knowledge. As a first, we introduce a system addressing both problems, where prototypes evolve continually in a sha red latent space, enabling learning and prediction at any point in time. In cont rast to the major body of work in continual learning, data streams are processed in an online fashion, without additional task-information, and an efficient mem ory scheme provides robustness to imbalanced data streams. Besides nearest neigh bor based prediction, learning is facilitated by a novel objective function, enc ouraging cluster density about the class prototype and increased inter-class var iance. Furthermore, the latent space quality is elevated by pseudo-prototypes in each batch, constituted by replay of exemplars from memory. We generalize the e xisting paradigms in continual learning to incorporate data incremental learning from data streams by formalizing a two-agent learner-evaluator framework, and o btain state-of-the-art performance by a significant margin on eight benchmarks, including three highly imbalanced data streams.

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Energy-based Out-of-distribution Detection for Multi-label Classification Haoran Wang, Weitang Liu, Alex Bocchieri, Yixuan Li

Out-of-distribution (OOD) detection is essential to prevent anomalous inputs fro m causing a model to fail during deployment. Improved methods for OOD detection in multi-class classification have emerged, while OOD detection methods for multi-label classification remain underexplored and use rudimentary techniques. We propose SumEnergy, a simple and effective method, which estimates the OOD indicat

or scores by aggregating energy scores from multiple labels. We show that SumEne rgy can be mathematically interpreted from a joint likelihood perspective. Our r esults show consistent improvement over previous methods that are based on the m aximum-valued scores, which fail to capture joint information from multiple labels. We demonstrate the effectiveness of our method on three common multi-label c lassification benchmarks, including MS-COCO, PASCAL-VOC, and NUS-WIDE. We show that SumEnergy reduces the FPR95 by up to 10.05% compared to the previous best baseline, establishing state-of-the-art performance.

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Action and Perception as Divergence Minimization

Danijar Hafner, Pedro A Ortega, Jimmy Ba, Thomas Parr, Karl Friston, Nicolas Heess We introduce a unified objective for action and perception of intelligent agents . Extending representation learning and control, we minimize the joint divergenc e between the combined system of agent and environment and a target distribution . Intuitively, such agents use perception to align their beliefs with the world, and use actions to align the world with their beliefs. Minimizing the joint div ergence to an expressive target maximizes the mutual information between the age nt's representations and inputs, thus inferring representations that are informa tive of past inputs and exploring future inputs that are informative of the repr esentations. This lets us explain intrinsic objectives, such as representation 1 earning, information gain, empowerment, and skill discovery from minimal assumpt ions. Moreover, interpreting the target distribution as a latent variable model suggests powerful world models as a path toward highly adaptive agents that seek large niches in their environments, rendering task rewards optional. The framew ork provides a common language for comparing a wide range of objectives, advance s the understanding of latent variables for decision making, and offers a recipe for designing novel objectives. We recommend deriving future agent objectives t he joint divergence to facilitate comparison, to point out the agent's target di stribution, and to identify the intrinsic objective terms needed to reach that d istribution.

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Mastering Atari with Discrete World Models

Danijar Hafner, Timothy P Lillicrap, Mohammad Norouzi, Jimmy Ba

Intelligent agents need to generalize from past experience to achieve goals in c omplex environments. World models facilitate such generalization and allow learn ing behaviors from imagined outcomes to increase sample-efficiency. While learni ng world models from image inputs has recently become feasible for some tasks, m odeling Atari games accurately enough to derive successful behaviors has remaine d an open challenge for many years. We introduce DreamerV2, a reinforcement lear ning agent that learns behaviors purely from predictions in the compact latent s pace of a powerful world model. The world model uses discrete representations an d is trained separately from the policy. DreamerV2 constitutes the first agent t hat achieves human-level performance on the Atari benchmark of 55 tasks by learn ing behaviors inside a separately trained world model. With the same computation al budget and wall-clock time, Dreamer V2 reaches 200M frames and surpasses the final performance of the top single-GPU agents IQN and Rainbow. DreamerV2 is als o applicable to tasks with continuous actions, where it learns an accurate world model of a complex humanoid robot and solves stand-up and walking from only pix el inputs.

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Spatial Dependency Networks: Neural Layers for Improved Generative Image Modelin

■or■e Miladinovi■,Aleksandar Stani■,Stefan Bauer,Jürgen Schmidhuber,Joachim M. Buhmann

How to improve generative modeling by better exploiting spatial regularities and coherence in images? We introduce a novel neural network for building image gen erators (decoders) and apply it to variational autoencoders (VAEs). In our spatial dependency networks (SDNs), feature maps at each level of a deep neural net a re computed in a spatially coherent way, using a sequential gating-based mechanism that distributes contextual information across 2-D space. We show that augmen

ting the decoder of a hierarchical VAE by spatial dependency layers considerably improves density estimation over baseline convolutional architectures and the state-of-the-art among the models within the same class. Furthermore, we demonstrate that SDN can be applied to large images by synthesizing samples of high quality and coherence. In a vanilla VAE setting, we find that a powerful SDN decoder also improves learning disentangled representations, indicating that neural architectures play an important role in this task. Our results suggest favoring spatial dependency over convolutional layers in various VAE settings. The accompany ing source code is given at https://github.com/djordjemila/sdn.

Approximating Pareto Frontier through Bayesian-optimization-directed Robust Multi-objective Reinforcement Learning

Xiangkun He, Jianye HAO, Dong Li, Bin Wang, Wulong Liu

Many real-word decision or control problems involve multiple conflicting objecti ves and uncertainties, which requires learned policies are not only Pareto optim al but also robust. In this paper, we proposed a novel algorithm to approximate a representation for robust Pareto frontier through Bayesian-optimization-direct ed robust multi-objective reinforcement learning (BRMORL). Firstly, environmenta l uncertainty is modeled as an adversarial agent over the entire space of prefer ences by incorporating zero-sum game into multi-objective reinforcement learning (MORL). Secondly, a comprehensive metric based on hypervolume and information e ntropy is presented to evaluate convergence, diversity and evenness of the distr ibution for Pareto solutions. Thirdly, the agent's learning process is regarded as a black-box, and the comprehensive metric we proposed is computed after each episode of training, then a Bayesian optimization (BO) algorithm is adopted to g uide the agent to evolve towards improving the quality of the approximated Paret o frontier. Finally, we demonstrate the effectiveness of proposed approach on ch allenging multi-objective tasks across four environments, and show our scheme ca n produce robust policies under environmental uncertainty.

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For interpolating kernel machines, minimizing the norm of the ERM solution minim izes stability

Akshay Rangamani, Lorenzo Rosasco, Tomaso Poggio

We study the average CV Leave One Out stability of kernel ridge-less regression and derive corresponding risk bounds. We show that the interpolating solution wi th minimum norm minimizes a bound on CV Leave One Out stability, which in turn is controlled by the condition number of the empirical kernel matrix. The latter can be characterized in the asymptotic regime where both the dimension and cardinality of the data go to infinity. Under the assumption of random kernel matrices, the corresponding test error should be expected to follow a double descent curve.

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Reinforcement Learning with Latent Flow

Wenling Shang, Xiaofei Wang, Aravind Rajeswaran, Aravind Srinivas, Yang Gao, Pieter Abbeel, Michael Laskin

Temporal information is essential to learning effective policies with Reinforcem ent Learning (RL). However, current state-of-the-art RL algorithms either assume that such information is given as part of the state space or, when learning from pixels, use the simple heuristic of frame-stacking to implicitly capture temporal information present in the image observations. This heuristic is in contrast to the current paradigm in video classification architectures, which utilize explicit encodings of temporal information through methods such as optical flow and two-stream architectures to achieve state-of-the-art performance. Inspired by leading video classification architectures, we introduce the Flow of Latents for Reinforcement Learning Flare, a network architecture for RL that explicitly encodes temporal information through latent vector differences. We show that Flare (i) recovers optimal performance in state-based RL without explicit access to the state velocity, solely with positional state information, (ii) achieves state-of-the-art performance on pixel-based continuous control tasks within the DeepMind control benchmark suite, (iii) is the most sample efficient model-free pixel-

based RL algorithm on challenging environments in the DeepMind control suite such as quadruped walk, hopper hop, finger turn hard, pendulum swing, and walker run, outperforming the prior model-free state-of-the-art by 1.9 and 1.5 on the 500 k and 1M step benchmarks, respectively, and (iv), when augmented over rainbow DQ N, outperforms or matches the baseline on a diversity of challenging Atari games at 50M time step benchmark.

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Efficient Transformers in Reinforcement Learning using Actor-Learner Distillatio

Emilio Parisotto, Russ Salakhutdinov

Many real-world applications such as robotics provide hard constraints on power and compute that limit the viable model complexity of Reinforcement Learning (RL ) agents. Similarly, in many distributed RL settings, acting is done on un-accel erated hardware such as CPUs, which likewise restricts model size to prevent int ractable experiment run times. These "actor-latency" constrained settings presen t a major obstruction to the scaling up of model complexity that has recently be en extremely successful in supervised learning. To be able to utilize large mode 1 capacity while still operating within the limits imposed by the system during acting, we develop an "Actor-Learner Distillation" (ALD) procedure that leverage s a continual form of distillation that transfers learning progress from a large capacity learner model to a small capacity actor model. As a case study, we dev elop this procedure in the context of partially-observable environments, where t ransformer models have had large improvements over LSTMs recently, at the cost o f significantly higher computational complexity. With transformer models as the learner and LSTMs as the actor, we demonstrate in several challenging memory env ironments that using Actor-Learner Distillation largely recovers the clear sampl e-efficiency gains of the transformer learner model while maintaining the fast i nference and reduced total training time of the LSTM actor model.

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IOT: Instance-wise Layer Reordering for Transformer Structures
Jinhua Zhu, Lijun Wu, Yingce Xia, Shufang Xie, Tao Qin, Wengang Zhou, Houqiang Li, TieYan Liu

With sequentially stacked self-attention, (optional) encoder-decoder attention, and feed-forward layers, Transformer achieves big success in natural language pr ocessing (NLP), and many variants have been proposed. Currently, almost all thes e models assume that the \emph{layer order} is fixed and kept the same across da ta samples. We observe that different data samples actually favor different orde rs of the layers. Based on this observation, in this work, we break the assumpti on of the fixed layer order in Transformer and introduce instance-wise layer reo rdering into model structure. Our Instance-wise Ordered Transformer (IOT) can mo del variant functions by reordered layers, which enables each sample to select t he better one to improve the model performance under the constraint of almost sa me number of parameters. To achieve this, we introduce a light predictor with ne gligible parameter and inference cost to decide the most capable and favorable 1 ayer order for any input sequence. Experiments on \$3\$ tasks (neural machine tran slation, abstractive summarization, and code generation) and \$9\$ datasets demons trate consistent improvements of our method. We further show that our method can also be applied to other architectures beyond Transformer. Our code is released at Github\footnote{\url{https://github.com/instance-wise-ordered-transformer/IO T } } .

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Federated Learning via Posterior Averaging: A New Perspective and Practical Algorithms

Maruan Al-Shedivat, Jennifer Gillenwater, Eric Xing, Afshin Rostamizadeh Federated learning is typically approached as an optimization problem, where the goal is to minimize a global loss function by distributing computation across c lient devices that possess local data and specify different parts of the global objective. We present an alternative perspective and formulate federated learning as a posterior inference problem, where the goal is to infer a global posterior distribution by having client devices each infer the posterior of their local

data. While exact inference is often intractable, this perspective provides a principled way to search for global optima in federated settings. Further, star ting with the analysis of federated quadratic objectives, we develop a computati on- and communication-efficient approximate posterior inference algorithm—federa ted posterior averaging (FedPA). Our algorithm uses MCMC for approximate infere nce of local posteriors on the clients and efficiently communicates their statis tics to the server, where the latter uses them to refine a global estimate of the posterior mode. Finally, we show that FedPA generalizes federated averaging (FedAvg), can similarly benefit from adaptive optimizers, and yields state-of-the-art results on four realistic and challenging benchmarks, converging faster, to better optima.

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Adam\$^+\$: A Stochastic Method with Adaptive Variance Reduction Mingrui Liu,Wei Zhang,Francesco Orabona,Tianbao Yang

Adam is a widely used stochastic optimization method for deep learning applicati ons. While practitioners prefer Adam because it requires less parameter tuning, its use is problematic from a theoretical point of view since it may not converg Variants of Adam have been proposed with provable convergence guarantee, but they tend not be competitive with Adam on the practical performance. In this pa per, we propose a new method named Adam\$^+\$ (pronounced as Adam-plus). Adam\$^+\$ retains some of the key components of Adam but it also has several noticeable di fferences: (i) it does not maintain the moving average of second moment estimate but instead computes the moving average of first moment estimate at extrapolate d points; (ii) its adaptive step size is formed not by dividing the square root of second moment estimate but instead by dividing the root of the norm of first moment estimate. As a result, Adam\$^+\$ requires few parameter tuning, as Adam, but it enjoys a provable convergence guarantee. Our analysis further shows that Adam\$^+\$ enjoys adaptive variance reduction, i.e., the variance of the stochasti c gradient estimator reduces as the algorithm converges, hence enjoying an adapt ive convergence. We also propose a more general variant of Adam\$^+\$ with differe nt adaptive step sizes and establish their fast convergence rate. Our empirical studies on various deep learning tasks, including image classification, language modeling, and automatic speech recognition, demonstrate that Adam\$^+\$ significa ntly outperforms Adam and achieves comparable performance with best-tuned SGD an d momentum SGD.

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Getting a CLUE: A Method for Explaining Uncertainty Estimates
Javier Antoran, Umang Bhatt, Tameem Adel, Adrian Weller, José Miguel Hernández-Lobat
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Both uncertainty estimation and interpretability are important factors for trust worthy machine learning systems. However, there is little work at the intersecti on of these two areas. We address this gap by proposing a novel method for inter preting uncertainty estimates from differentiable probabilistic models, like Bay esian Neural Networks (BNNs). Our method, Counterfactual Latent Uncertainty Expl anations (CLUE), indicates how to change an input, while keeping it on the data manifold, such that a BNN becomes more confident about the input's prediction. We validate CLUE through 1) a novel framework for evaluating counterfactual expla nations of uncertainty, 2) a series of ablation experiments, and 3) a user study. Our experiments show that CLUE outperforms baselines and enables practitioners to better understand which input patterns are responsible for predictive uncertainty.

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The Logical Options Framework

Brandon Araki, Xiao Li, Kiran Vodrahalli, Jonathan DeCastro, J Micah Fry, Daniela Rus Learning composable policies for environments with complex rules and tasks is a challenging problem. We introduce a hierarchical reinforcement learning framework called the Logical Options Framework (LOF) that learns policies that are satisfying, optimal, and composable. LOF efficiently learns policies that satisfy tasks by representing the task as an automaton and integrating it into learning and planning. We provide and prove conditions under which LOF will learn satisfying

, optimal policies. And lastly, we show how LOF's learned policies can be composed to satisfy unseen tasks with only 10-50 retraining steps. We evaluate LOF on four tasks in discrete and continuous domains.

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Learning Visual Representations for Transfer Learning by Suppressing Texture Shlok Kumar Mishra, Anshul Shah, Ankan Bansal, Jonghyun Choi, Abhinav Shrivastava, Abhishek Sharma, David Jacobs

Recent works have shown that features obtained from supervised training of CNNs may over-emphasize texture rather than encoding high-level information. In self -supervised learning, in particular, texture as a low-level cue may provide shor tcuts that prevent the network from learning higher-level representations. To a ddress these problems we propose to use classic methods based on anisotropic dif fusion to augment training using images with suppressed texture. This simple met hod helps retain important edge information and suppress texture at the same tim e.

We report our observations for fully supervised and self-supervised learning tas ks like MoCoV2 and Jigsaw and achieve state-of-the-art results on object detecti on and image classification with eight diverse datasets.

Our method is particularly effective for transfer learning tasks and we observed improved performance on five standard transfer learning datasets.

The large improvements on the Sketch-ImageNet dataset, DTD dataset and additional visual analyses of saliency maps suggest that our approach helps in 1 earning better representations that transfer well.

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Extracting Strong Policies for Robotics Tasks from Zero-Order Trajectory Optimiz ers

Cristina Pinneri, Shambhuraj Sawant, Sebastian Blaes, Georg Martius

Solving high-dimensional, continuous robotic tasks is a challenging optimization problem. Model-based methods that rely on zero-order optimizers like the cross-entropy method (CEM) have so far shown strong performance and are considered sta te-of-the-art in the model-based reinforcement learning community. However, this success comes at the cost of high computational complexity, being therefore not suitable for real-time control. In this paper, we propose a technique to jointly optimize the trajectory and distill a policy, which is essential for fast execution in real robotic systems. Our method builds upon standard approaches, like guidance cost and dataset aggregation, and introduces a novel adaptive factor which prevents the optimizer from collapsing to the learner's behavior at the beginning of the training. The extracted policies reach unprecedented performance on challenging tasks as making a humanoid stand up and opening a door without reward shaping

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Deep Convolution for Irregularly Sampled Temporal Point Clouds Erich Merrill III, Stefan Lee, Li Fuxin, Thomas G Dietterich, Alan Fern We consider the problem of modeling the dynamics of continuous spatial-temporal processes represented by irregular samples through both space and time. Such pro cesses occur in sensor networks, citizen science, multi-robot systems, and many

others.

We propose a new deep model that is able to directly learn and predict over this irregularly sampled data, without voxelization, by leveraging a recent convolut ional architecture for static point clouds. The model also easily incorporates the notion of multiple entities in the process. In particular, the model can flex ibly answer prediction queries about arbitrary space-time points for different entities regardless of the distribution of the training or test-time data. We present experiments on real-world weather station data and battles between large armies in StarCraft II. The results demonstrate the model's flexibility in answering a variety of query types and demonstrate improved performance and efficiency compared to state-of-the-art baselines.

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Parameter-Efficient Transfer Learning with Diff Pruning Demi Guo, Alexander M Rush, Yoon Kim

While task-specific finetuning of deep networks pretrained with self-supervision has led to significant empirical advances in NLP, their large size makes the st andard finetuning approach difficult to apply to multi-task, memory-constrained settings, as storing the full model parameters for each task become prohibitivel y expensive. We propose \$\textit{diff pruning}\$ as a simple approach to enable p arameter-efficient transfer learning within the pretrain-finetune framework. Thi s approach views finetuning as learning a task-specific diff vector that is appl ied on top of the pretrained parameter vector, which remains fixed and is shared across different tasks. The diff vector is adaptively pruned during training wi th a differentiable approximation to the \$L\_0\$-norm penalty to encourage sparsit y. Diff pruning becomes parameter-efficient as the number of tasks increases, as it requires storing only the nonzero positions and weights of the diff vector f or each task, while the cost of storing the shared pretrained model remains cons tant. We find that models finetuned with diff pruning can match the performance of fully finetuned baselines on the GLUE benchmark while only modifying 0.5\$\%\$ of the pretrained model's parameters per task.

Global Attention Improves Graph Networks Generalization

Omri Puny, Heli Ben-Hamu, Yaron Lipman

This paper advocates incorporating a Low-Rank Global Attention (LRGA) module, a Computation and memory efficient variant of the dot-product attention (Vaswani e t al., 2017), to Graph Neural Networks (GNNs) for improving their generalization power.

To theoretically quantify the generalization properties granted by adding the LR GA module to GNNs, we focus on a specific family of expressive GNNs and show that augmenting it with LRGA provides algorithmic alignment to a powerful graph iso morphism test, namely the 2-Folklore Weisfeiler-Lehman (2-FWL) algorithm. In more detail we: (i) consider the recent Random Graph Neural Network (RGNN) (Sato et al., 2020) framework and prove that it is universal in probability; (ii) show that RGNN augmented with LRGA aligns with 2-FWL update step via polynomial kernels; and (iii) bound the sample complexity of the kernel's feature map when learned with a randomly initialized two-layer MLP.

From a practical point of view, augmenting existing GNN layers with LRGA produce s state of the art results in current GNN benchmarks. Lastly, we observe that augmenting various GNN architectures with LRGA often closes the performance gap across different models.

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FAST GRAPH ATTENTION NETWORKS USING EFFECTIVE RESISTANCE BASED GRAPH SPARSIFICAT ION

Rakshith Sharma Srinivasa, Cao Xiao, Lucas Glass, Justin Romberg, Jimeng Sun The attention mechanism has demonstrated superior performance for inference over nodes in graph neural networks (GNNs), however, they result in a high computati onal burden during both training and inference. We propose FastGAT, a method to make attention based GNNs lightweight by using spectral sparsification to genera te an optimal pruning of the input graph. This results in a per-epoch time that is almost linear in the number of graph nodes as opposed to quadratic. Further, we provide a re-formulation of a specific attention based GNN, Graph Attention N etwork (GAT) that interprets it as a graph convolution method using the random w alk normalized graph Laplacian. Using this framework, we theoretically prove that spectral sparsification preserves the features computed by the GAT model, ther eby justifying our FastGAT algorithm. We experimentally evaluate FastGAT on seve ral large real world graph datasets for node classification tasks, FastGAT can d ramatically reduce (up to 10x) the computational time and memory requirements, a llowing the usage of attention based GNNs on large graphs.

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Sharpness-aware Minimization for Efficiently Improving Generalization Pierre Foret, Ariel Kleiner, Hossein Mobahi, Behnam Neyshabur

In today's heavily overparameterized models, the value of the training loss provides few guarantees on model generalization ability. Indeed, optimizing only the training loss value, as is commonly done, can easily lead to suboptimal model q

uality. Motivated by the connection between geometry of the loss landscape and g eneralization——including a generalization bound that we prove here——we introdu ce a novel, effective procedure for instead simultaneously minimizing loss value and loss sharpness. In particular, our procedure, Sharpness—Aware Minimization (SAM), seeks parameters that lie in neighborhoods having uniformly low loss; th is formulation results in a min—max optimization problem on which gradient desce nt can be performed efficiently. We present empirical results showing that SAM i mproves model generalization across a variety of benchmark datasets (e.g., CIFAR—{10, 100}, ImageNet, finetuning tasks) and models, yielding novel state—of—the—art performance for several. Additionally, we find that SAM natively provides r obustness to label noise on par with that provided by state—of—the—art procedure s that specifically target learning with noisy labels.

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FTSO: Effective NAS via First Topology Second Operator Likang Wang, Lei Chen

Existing one-shot neural architecture search (NAS) methods generally contain a g iant supernet, which leads to heavy computational cost. Our method, named FTSO, separates the whole architecture search into two sub-steps. In the first step, w e only search for the topology, and in the second step, we only search for the o perators. FTSO not only reduces NAS's search time from days to 0.68 seconds, but also significantly improves the accuracy. Specifically, our experiments on Imag eNet show that within merely 18 seconds, FTSO can achieve 76.4% testing accuracy, 1.5% higher than the baseline, PC-DARTS. In addition, FTSO can reach 97.77% te sting accuracy, 0.27% higher than the baseline, with 99.8% of search time saved on CTFAR10.

Provably Faster Algorithms for Bilevel Optimization and Applications to Meta-Lea rning

Kaiyi Ji, Junjie Yang, Yingbin Liang

Bilevel optimization has arisen as a powerful tool for many machine learning pro blems such as meta-learning, hyperparameter optimization, and reinforcement lear ning. In this paper, we investigate the nonconvex-strongly-convex bilevel optimi zation problem. For deterministic bilevel optimization, we provide a comprehensi ve finite-time convergence analysis for two popular algorithms respectively base d on approximate implicit differentiation (AID) and iterative differentiation (I TD). For the AID-based method, we orderwisely improve the previous finite-time c onvergence analysis due to a more practical parameter selection as well as a war m start strategy, and for the ITD-based method we establish the first theoretica l convergence rate. Our analysis also provides a quantitative comparison between ITD and AID based approaches. For stochastic bilevel optimization, we propose a novel algorithm named stocBiO, which features a sample-efficient hypergradient estimator using efficient Jacobian- and Hessian-vector product computations. We provide the finite-time convergence guarantee for stocBiO, and show that stocBiO outperforms the best known computational complexities orderwisely with respect to the condition number \$\kappa\$ and the target accuracy \$\epsilon\$. We further validate our theoretical results and demonstrate the efficiency of bilevel optim ization algorithms by the experiments on meta-learning and hyperparameter optim ization.

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BREEDS: Benchmarks for Subpopulation Shift

Shibani Santurkar, Dimitris Tsipras, Aleksander Madry

We develop a methodology for assessing the robustness of models to subpopulation shift---specifically, their ability to generalize to novel data subpopulations that were not observed during training. Our approach leverages the class structure underlying existing datasets to control the data subpopulations that comprise the training and test distributions. This enables us to synthesize realistic distribution shifts whose sources can be precisely controlled and characterized, within existing

large-scale datasets. Applying this methodology to the ImageNet dataset, we crea te a suite of subpopulation shift benchmarks of varying granularity. We then val idate that the corresponding shifts are tractable by obtaining human baselines. Finally, we utilize these benchmarks to measure the sensitivity of standard mode l architectures as well as the effectiveness of existing train-time robustness i nterventions.

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Quantifying Task Complexity Through Generalized Information Measures Aditya Chattopadhyay, Benjamin David Haeffele, Donald Geman, Rene Vidal How can we measure the "complexity" of a learning task so that we can compare on e task to another? From classical information theory, we know that entropy is a useful measure of the complexity of a random variable and provides a lower bound on the minimum expected number of bits needed for transmitting its state. In th is paper, we propose to measure the complexity of a learning task by the minimum expected number of questions that need to be answered to solve the task. For ex ample, the minimum expected number of patches that need to be observed to classi fy FashionMNIST images. We prove several properties of the proposed complexity m easure, including connections with classical entropy and sub-additivity for mult iple tasks. As the computation of the minimum expected number of questions is ge nerally intractable, we propose a greedy procedure called "information pursuit" (IP), which selects one question at a time depending on previous questions and t heir answers. This requires learning a probabilistic generative model relating d ata and questions to the task, for which we employ variational autoencoders and normalizing flows. We illustrate the usefulness of the proposed measure on vario us binary image classification tasks using image patches as the query set. Our r esults indicate that the complexity of a classification task increases as signal

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ing which pixels in an image are most informative for a task.

Status-Quo Policy Gradient in Multi-agent Reinforcement Learning Pinkesh Badjatiya, Mausoom Sarkar, Abhishek Sinha, Nikaash Puri, Jayakumar Subramani an, Siddharth Singh, Balaji Krishnamurthy

-to-noise ratio decreases, and that classification of the KMNIST dataset is more complex than classification of the FashionMNIST dataset. As a byproduct of choo sing patches as queries, our approach also provides a principled way of determin

Individual rationality, which involves maximizing expected individual return, do es not always lead to optimal individual or group outcomes in multi-agent proble ms. For instance, in social dilemma situations, Reinforcement Learning (RL) agen ts trained to maximize individual rewards converge to mutual defection that is i ndividually and socially sub-optimal. In contrast, humans evolve individual and socially optimal strategies in such social dilemmas. Inspired by ideas from huma n psychology that attribute this behavior in humans to the status-quo bias, we p resent a status-quo loss (SQLoss) and the corresponding policy gradient algorith m that incorporates this bias in an RL agent. We demonstrate that agents trained with SQLoss evolve individually as well as socially optimal behavior in several social dilemma matrix games. To apply SQLoss to games where cooperation and defection are determined by a sequence of non-trivial actions, we present GameDistill, an algorithm that reduces a multi-step game with visual input to a matrix game. We empirically show how agents trained with SQLoss on a GameDistill reduced version of the Coin Game evolve optimal policies.

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On the Impossibility of Global Convergence in Multi-Loss Optimization Alistair Letcher

Under mild regularity conditions, gradient-based methods converge globally to a critical point in the single-loss setting. This is known to break down for vanil la gradient descent when moving to multi-loss optimization, but can we hope to b uild some algorithm with global guarantees? We negatively resolve this open prob lem by proving that desirable convergence properties cannot simultaneously hold for any algorithm. Our result has more to do with the existence of games with no satisfactory outcomes, than with algorithms per se. More explicitly we construct a two-player game with zero-sum interactions whose losses are both coercive and analytic, but whose only simultaneous critical point is a strict maximum. Any 'reasonable' algorithm, defined to avoid strict maxima, will therefore fail to c

onverge. This is fundamentally different from single losses, where coercivity im plies existence of a global minimum. Moreover, we prove that a wide range of existing gradient-based methods almost surely have bounded but non-convergent iter ates in a constructed zero-sum game for suitably small learning rates. It noneth eless remains an open question whether such behavior can arise in high-dimension al games of interest to ML practitioners, such as GANs or multi-agent RL.

Efficient Wasserstein Natural Gradients for Reinforcement Learning Ted Moskovitz, Michael Arbel, Ferenc Huszar, Arthur Gretton

A novel optimization approach is proposed for application to policy gradient met hods and evolution strategies for reinforcement learning (RL). The procedure use s a computationally efficient \emph{Wasserstein natural gradient} (WNG) descent that takes advantage of the geometry induced by a Wasserstein penalty to speed o ptimization. This method follows the recent theme in RL of including divergence penalties in the objective to establish trust regions. Experiments on challengin g tasks demonstrate improvements in both computational cost and performance over advanced baselines.

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Neural networks behave as hash encoders: An empirical study Fengxiang He, Shiye Lei, Jianmin Ji, Dacheng Tao

The input space of a neural network with ReLU-like activations is partitioned in to multiple linear regions, each corresponding to a specific activation pattern of the included ReLU-like activations. We demonstrate that this partition exhibi ts the following encoding properties across a variety of deep learning models: ( 1) {\it determinism}: almost every linear region contains at most one training e xample. We can therefore represent almost every training example by a unique act ivation pattern, which is parameterized by a {\it neural code}; and (2) {\it cat egorization }: according to the neural code, simple algorithms, such as \$K\$-Means , \$K\$-NN, and logistic regression, can achieve fairly good performance on both t raining and test data. These encoding properties surprisingly suggest that {\it normal neural networks well-trained for classification behave as hash encoders w ithout any extra efforts. } In addition, the encoding properties exhibit variabil ity in different scenarios. {Further experiments demonstrate that {\it model siz e}, {\it training time}, {\it training sample size}, {\it regularization}, and { \it label noise} contribute in shaping the encoding properties, while the impact s of the first three are dominant.} We then define an {\it activation hash phase chart } to represent the space expanded by {model size}, training time, training sample size, and the encoding properties, which is divided into three canonical regions: {\it under-expressive regime}, {\it critically-expressive regime}, and {\it sufficiently-expressive regime}.

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Visualizing High-Dimensional Trajectories on the Loss-Landscape of ANNs Stefan Horoi, Jessie Huang, Guy Wolf, Smita Krishnaswamy

Training artificial neural networks requires the optimization of highly non-conv ex loss functions. Throughout the years, the scientific community has developed an extensive set of tools and architectures that render this optimization task t ractable and a general intuition has been developed for choosing hyper parameter s that help the models reach minima that generalize well to unseen data. However , for the most part, the difference in trainability in between architectures, ta sks and even the gap in network generalization abilities still remain unexplaine d. Visualization tools have played a key role in uncovering key geometric charac teristics of the loss-landscape of ANNs and how they impact trainability and gen eralization capabilities. However, most visualizations methods proposed so far h ave been relatively limited in their capabilities since they are of linear natur e and only capture features in a limited number of dimensions. We propose the us e of the modern dimensionality reduction method PHATE which represents the SOTA in terms of capturing both global and local structures of high-dimensional data. We apply this method to visualize the loss landscape during and after training. Our visualizations reveal differences in training trajectories and generalizati

on capabilities when used to make comparisons between optimization methods, init ializations, architectures, and datasets. Given this success we anticipate this method to be used in making informed choices about these aspects of neural netwo rks.

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Fundamental Limits and Tradeoffs in Invariant Representation Learning Han Zhao, Chen Dan, Bryon Aragam, Tommi S. Jaakkola, Geoff Gordon, Pradeep Kumar Ravikumar

Many machine learning applications involve learning representations that achieve two competing goals: To maximize information or accuracy with respect to a targ et while simultaneously maximizing invariance or independence with respect to a subset of features. Typical examples include privacy-preserving learning, domain adaptation, and algorithmic fairness, just to name a few. In fact, all of the a bove problems admit a common minimax game-theoretic formulation, whose equilibri um represents a fundamental tradeoff between accuracy and invariance. In this pa per, we provide an information-theoretic analysis of this general and important problem under both classification and regression settings. In both cases, we ana lyze the inherent tradeoffs between accuracy and invariance by providing a geome tric characterization of the feasible region in the information plane, where we connect the geometric properties of this feasible region to the fundamental limi tations of the tradeoff problem. In the regression setting, we also derive a tig ht lower bound on the Lagrangian objective that quantifies the tradeoff between accuracy and invariance. Our results shed new light on this fundamental problem by providing insights on the interplay between accuracy and invariance. These re sults deepen our understanding of this fundamental problem and may be useful in guiding the design of adversarial representation learning algorithms.

Block Skim Transformer for Efficient Question Answering Yue Guan, Jingwen Leng, Yuhao Zhu, Minyi Guo

Transformer-based encoder models have achieved promising results on natural la nguage processing (NLP) tasks including question answering (QA). Different from sequence classification or language modeling tasks, hidden states at all positi ons are used for the final classification in QA. However, we do not always need all the context to answer the raised question. Following this idea, we propose d Block Skim Transformer (BST) to improve and accelerate the processing of trans former QA models. The key idea of BST is to identify the context that must be f urther processed and the blocks that could be safely discarded early on during i nference. Critically, we learn such information from self-attention weights. As a result, the model hidden states are pruned at the sequence dimension, achieving significant inference speedup. We also show that such extra training optimiz ation objection also improves model accuracy. As a plugin to the transformer-based QA models, BST is compatible with other model compression methods without changing existing network architectures. BST improves QA models' accuracies on different datasets and achieves \$1.6\times\$ speedup on \$BERT\_{large}\$ model.

Learning Self-Similarity in Space and Time as a Generalized Motion for Action Re cognition

Heeseung Kwon, Manjin Kim, Suha Kwak, Minsu Cho

Spatio-temporal convolution often fails to learn motion dynamics in videos and thus an effective motion representation is required for video understanding in the wild. In this paper, we propose a rich and robust motion representation method based on spatio-temporal self-similarity (STSS). Given a sequence of frames, ST SS represents each local region as similarities to its neighbors in space and time. By converting appearance features into relational values, it enables the learner to better recognize structural patterns in space and time. We leverage the whole volume of STSS and let our model learn to extract an effective motion representation from it.

The proposed method is implemented as a neural block, dubbed SELFY, that can be

easily inserted into neural architectures and learned end-to-end without additio nal supervision. With a sufficient volume of the neighborhood in space and time, it effectively captures long-term interaction and fast motion in the video, leading to robust action recognition.

Our experimental analysis demonstrates its superiority over previous methods for motion modeling as well as its complementarity to spatio-temporal features from direct convolution. On the standard action recognition benchmarks, Something-Something-V1 & V2, Diving-48, and FineGym, the proposed method achieves the state-of-the-art results.

Optimal Rates for Averaged Stochastic Gradient Descent under Neural Tangent Kern el Regime

Atsushi Nitanda, Taiji Suzuki

We analyze the convergence of the averaged stochastic gradient descent for overp arameterized two-layer neural networks for regression problems. It was recently found that a neural tangent kernel (NTK) plays an important role in showing the global convergence of gradient-based methods under the NTK regime, where the lea rning dynamics for overparameterized neural networks can be almost characterized by that for the associated reproducing kernel Hilbert space (RKHS). However, there is still room for a convergence rate analysis in the NTK regime. In this study, we show that the averaged stochastic gradient descent can achieve the minima at optimal convergence rate, with the global convergence guarantee, by exploiting the complexities of the target function and the RKHS associated with the NTK. Moreover, we show that the target function specified by the NTK of a ReLU network can be learned at the optimal convergence rate through a smooth approximation of a ReLU network under certain conditions.

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BasisNet: Two-stage Model Synthesis for Efficient Inference

Mingda Zhang, Andrey Zhmoginov, Andrew G. Howard, Brendan Jou, Yukun Zhu, Li Zhang, Rebecca Hwa, Adriana Kovashka

We present BasisNet which combines recent advancements in efficient neural netwo rk architectures, conditional computation, and early termination in a simple new form. Our approach uses a lightweight model to preview an image and generate in put-dependent combination coefficients, which are later used to control the synt hesis of a specialist model for making more accurate final prediction. The two-s tage model synthesis strategy can be used with any network architectures and bot h stages can be jointly trained end to end. We validated BasisNet on ImageNet cl assification with MobileNets as backbone, and demonstrated clear advantage on ac curacy-efficiency trade-off over strong baselines such as EfficientNet (Tan & Le , 2019), FBNetV3 (Dai et al., 2020) and OFA (Cai et al., 2019). Specifically, Ba sisNet-MobileNetV3 obtained 80.3% top-1 accuracy with only 290M Multiply-Add ope rations (MAdds), halving the computational cost of previous state-of-the-art wit hout sacrificing accuracy. Besides, since the first-stage lightweight model can independently make predictions, inference can be terminated early if the predict ion is sufficiently confident. With early termination, the average cost can be f urther reduced to 198M MAdds while maintaining accuracy of 80.0%.

Extrapolatable Relational Reasoning With Comparators in Low-Dimensional Manifold  ${\bf s}$ 

Duo Wang, Mateja Jamnik, Pietro Liò

While modern deep neural architectures generalise well when test data is sampled from the same distribution as training data, they fail badly for cases when the test data distribution differs from the training distribution even along a few dimensions. This lack of out-of-distribution generalisation is increasingly mani fested when the tasks become more abstract and complex, such as in relational re asoning. In this paper we propose a neuroscience-inspired inductive-biased modul e that can be readily amalgamated with current neural network architectures to i mprove out-of-distribution (o.o.d) generalisation performance on relational reas oning tasks. This module learns to project high-dimensional object representations to low-dimensional manifolds for more efficient and generalisable relational

comparisons. We show that neural nets with this inductive bias achieve considera bly better o.o.d generalisation performance for a range of relational reasoning tasks. We finally analyse the proposed inductive bias module to understand the i mportance of lower dimension projection, and propose an augmentation to the algorithmic alignment theory to better measure algorithmic alignment with generalisa tion.

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A Probabilistic Approach to Constrained Deep Clustering

Laura Manduchi, Kieran Chin-Cheong, Holger Michel, Sven Wellmann, Julia E Vogt Clustering with constraints has gained significant attention in the field of sem i-supervised machine learning as it can leverage partial prior information on a growing amount of unlabelled data. Following recent advances in deep generative models, we derive a novel probabilistic approach to constrained clustering that can be trained efficiently in the framework of stochastic gradient variational B ayes. In contrast to existing approaches, our model (CVaDE) uncovers the underly ing distribution of the data conditioned on prior clustering preferences, expres sed as pairwise constraints. The inclusion of such constraints allows the user t o guide the clustering process towards a desirable partition of the data by indi cating which samples should or should not belong to the same class. We provide e xtensive experiments to demonstrate that CVaDE shows superior clustering perform ances and robustness compared to state-of-the-art deep constrained clustering me thods in a variety of data sets. We further demonstrate the usefulness of our ap proach on challenging real-world medical applications and face image generation. 

Bayesian Metric Learning for Robust Training of Deep Models under Noisy Labels Toan Tran, Hieu Vu, Gustavo Carneiro, Hung Bui

Label noise is a natural event of data collection and annotation and has been sh own to have significant impact on the performance of deep learning models regard ing accuracy reduction and sample complexity increase. This paper aims to develop a novel theoretically sound Bayesian deep metric learning that is robust again st noisy labels.

Our proposed approach is inspired by a linear Bayesian large margin nearest neighbor classification, and is a combination of Bayesian learning, triplet loss-based deep metric learning and variational inference frameworks. We theoretically show the robustness under label noise of our proposed method. The experimental results on benchmark data sets that contain both synthetic and realistic label noise show a considerable improvement in the classification accuracy of our method compared to the linear Bayesian metric learning and the point estimate deep metric learning.

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PMI-Masking: Principled masking of correlated spans

Yoav Levine, Barak Lenz, Opher Lieber, Omri Abend, Kevin Leyton-Brown, Moshe Tennenholtz, Yoav Shoham

Masking tokens uniformly at random constitutes a common flaw in the pretraining of Masked Language Models (MLMs) such as BERT. We show that such uniform masking allows an MLM to minimize its training objective by latching onto shallow local signals, leading to pretraining inefficiency and suboptimal downstream performa nce. To address this flaw, we propose PMI-Masking, a principled masking strategy based on the concept of Pointwise Mutual Information (PMI), which jointly masks a token n-gram if it exhibits high collocation over the corpus. PMI-Masking mot ivates, unifies, and improves upon prior more heuristic approaches that attempt to address the drawback of random uniform token masking, such as whole-word masking, entity/phrase masking, and random-span masking. Specifically, we show exper imentally that PMI-Masking reaches the performance of prior masking approaches in half the training time, and consistently improves performance at the end of pretraining.

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The Surprising Power of Graph Neural Networks with Random Node Initialization Ralph Abboud, Ismail Ilkan Ceylan, Martin Grohe, Thomas Lukasiewicz Graph neural networks (GNNs) are effective models for representation learning on

graph-structured data. However, standard GNNs are limited in their expressive p ower, as they cannot distinguish graphs beyond the capability of the Weisfeiler-Leman (1-WL) graph isomorphism heuristic. This limitation motivated a large body of work, including higher-order GNNs, which are provably more powerful models. To date, higher-order invariant and equivariant networks are the only models wi th known universality results, but these results are practically hindered by pro hibitive computational complexity. Thus, despite their limitations, standard GNN s are commonly used, due to their strong practical performance. In practice, GNN s have shown a promising performance when enhanced with random node initializati on (RNI), where the idea is to train and run the models with randomized initial node features. In this paper, we analyze the expressive power of GNNs with RNI, and pose the following question: are GNNs with RNI more expressive than GNNs? We prove that this is indeed the case, by showing that GNNs with RNI are universal , a first such result for  ${\tt GNNs}$  not relying on computationally demanding higher-o rder properties. We then empirically analyze the effect of RNI on GNNs, based on carefully constructed datasets. Our empirical findings support the superior per formance of GNNs with RNI over standard GNNs. In fact, we demonstrate that the performance of GNNs with RNI is often comparable with or better than that of hig her-order GNNs, while keeping the much lower memory requirements of standard GNN s. However, this improvement typically comes at the cost of slower model converg ence. Somewhat surprisingly, we found that the convergence rate and the accuracy of the models can be improved by using only a partial random initialization reg

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Ask Question with Double Hints: Visual Question Generation with Answer-awarenes s and Region-reference

Shen Kai, Lingfei Wu, Siliang Tang, Fangli Xu, Zhu Zhang, Yu Qiang, Yueting Zhuang The task of visual question generation~(VQG) aims to generate human-like questio ns from an image and potentially other side information (e.g. answer type or the answer itself). Despite promising results have been achieved, previous works on VQG either i) suffer from one image to many questions mapping problem rendering the failure of generating referential and meaningful questions from an image, o r ii) ignore rich correlations among the visual objects in an image and potentia l interactions between the side information and image. To address these limitati ons, we first propose a novel learning paradigm to generate visual questions wit h answer-awareness and region-reference. In particular, we aim to ask the right visual questions with \emph{Double Hints - textual answers and visual regions of interests}, effectively mitigating the existing one-to-many mapping issue. To t his end, we develop a simple methodology to self-learn the visual hints without introducing any additional human annotations. Furthermore, to capture these soph isticated relationships, we propose a new double-hints guided Graph-to-Sequence learning framework that first models them as a dynamic graph and learns the impl icit topology end-to-end, and then utilize a graph-to-sequence model to generate the questions with double hints. Our experiments on VQA2.0 and COCO-QA datasets demonstrate that our proposed model on this new setting can significantly outpe rform existing state-of-the-art baselines by a large margin.

Faster Training of Word Embeddings

Eliza Wszola, Martin Jaggi, Markus Püschel

Word embeddings have gained increasing popularity in the recent years due to the Word2vec library and its extension fastText that uses subword information. In this paper, we aim at improving the execution speed of fastText training on homogeneous multi- and manycore CPUs while maintaining accuracy. We present a novel open-source implementation that flexibly incorporates various algorithmic variants including negative sample sharing, batched updates, and a byte-pair encoding-based alternative for subword units. We build these novel variants over a fastText implementation that we carefully optimized for the architecture, memory hierarchy, and parallelism of current manycore CPUs. Our experiments on three languages demonstrate 3-20x speed-up in training time at competitive semantic and syntactic accuracy.

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Multi-Level Local SGD: Distributed SGD for Heterogeneous Hierarchical Networks Timothy Castiglia, Anirban Das, Stacy Patterson

We propose Multi-Level Local SGD, a distributed stochastic gradient method for l earning a smooth, non-convex objective in a multi-level communication network wi th heterogeneous workers. Our network model consists of a set of disjoint sub-ne tworks, with a single hub and multiple workers; further, workers may have differ ent operating rates. The hubs exchange information with one another via a connec ted, but not necessarily complete communication network. In our algorithm, sub-n etworks execute a distributed SGD algorithm, using a hub-and-spoke paradigm, and the hubs periodically average their models with neighboring hubs. We first prov ide a unified mathematical framework that describes the Multi-Level Local SGD algorithm. We then present a theoretical analysis of the algorithm; our analysis s hows the dependence of the convergence error on the worker node heterogeneity, h ub network topology, and the number of local, sub-network, and global iterations. We illustrate the effectiveness of our algorithm in a multi-level network with slow workers via simulation-based experiments.

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Kanerva++: Extending the Kanerva Machine With Differentiable, Locally Block Allo cated Latent Memory

Jason Ramapuram, Yan Wu, Alexandros Kalousis

Episodic and semantic memory are critical components of the human memory model. The theory of complementary learning systems (McClelland et al., 1995) suggests that the compressed representation produced by a serial event (episodic memory) is later restructured to build a more generalized form of reusable knowledge (se mantic memory). In this work, we develop a new principled Bayesian memory alloca tion scheme that bridges the gap between episodic and semantic memory via a hier archical latent variable model. We take inspiration from traditional heap alloca tion and extend the idea of locally contiguous memory to the Kanerva Machine, en abling a novel differentiable block allocated latent memory. In contrast to the Kanerva Machine, we simplify the process of memory writing by treating it as a f ully feed forward deterministic process, relying on the stochasticity of the rea d key distribution to disperse information within the memory. We demonstrate tha t this allocation scheme improves performance in memory conditional image genera tion, resulting in new state-of-the-art conditional likelihood values on binariz ed MNIST ( $\leq$ 41.58 nats/image) , binarized Omniglot ( $\leq$ 66.24 nats/image), as well a s presenting competitive performance on CIFAR10, DMLab Mazes, Celeb-A and ImageN

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EVALUATION OF NEURAL ARCHITECTURES TRAINED WITH SQUARE LOSS VS CROSS-ENTROPY IN CLASSIFICATION TASKS

Like Hui, Mikhail Belkin

Modern neural architectures for classification tasks are trained using the cross -entropy loss, which is widely believed to be empirically superior to the square loss. In this work we provide evidence indicating that this belief may not be w ell-founded.

We explore several major neural architectures and a range of standard benchmark datasets for NLP, automatic speech recognition (ASR) and computer vision tasks to show that these architectures, with the same hyper-parameter settings as reported in the literature, perform comparably or better when trained with the square loss, even after equalizing computational resources.

Indeed, we observe that the square loss produces better results in the dominant majority of NLP and ASR experiments. Cross-entropy appears to have a slight edge on computer vision tasks.

We argue that there is little compelling empirical or theoretical evidence indic ating a clear-cut advantage to the cross-entropy loss. Indeed, in our experiment s, performance on nearly all non-vision tasks can be improved, sometimes signif icantly, by switching to the square loss. Furthermore, training with square loss appears to be less sensitive to the randomness in initialization. We posit that

training using the square loss for classification needs to be a part of best practices of modern deep learning on equal footing with cross-entropy.

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A Communication Efficient Federated Kernel \$k\$-Means

Xiaochen Zhou, Xudong Wang

A federated kernel \$k\$-means algorithm is developed in this paper. This algorith m resolves two challenging issues: 1) how to distributedly solve the optimizatio n problem of kernel \$k\$-means under federated settings; 2) how to maintain commu nication efficiency in the algorithm. To tackle the first challenge, a distribut ed stochastic proximal gradient descent (DSPGD) algorithm is developed to determ ine an approximated solution to the optimization problem of kernel \$k\$-means. To tackle the second challenge, a communication efficient mechanism (CEM) is desig ned to reduce the communication cost. Besides, the federated kernel \$k\$-means pr ovides two levels of privacy preservation: 1) users' local data are not exposed to the cloud server; 2) the cloud server cannot recover users' local data from t he local computational results via matrix operations. Theoretical analysis shows : 1) DSPGD with CEM converges with an O(1/T) rate, where T is the number of iterations; 2) the communication cost of DSPGD with CEM is unrelated to the numb er of data samples; 3) the clustering quality of the federated kernel \$k\$-means approaches that of the standard kernel \$k\$-means, with a \$(1+\epsilon)\$ approxim ate ratio. The experimental results show that the federated kernel \$k\$-means ach ieves the highest clustering quality with the communication cost reduced by more than  $60\$  in most cases.

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Global inducing point variational posteriors for Bayesian neural networks and de ep Gaussian processes

Sebastian W. Ober, Laurence Aitchison

We derive the optimal approximate posterior over the top-layer weights in a Baye sian neural network for regression, and show that it exhibits strong dependencie s on the lower-layer weights. We adapt this result to develop a correlated appro ximate posterior over the weights at all layers in a Bayesian neural network. We extend this approach to deep Gaussian processes, unifying inference in the two model classes. Our approximate posterior uses learned "global" inducing points, which are defined only at the input layer and propagated through the network to obtain inducing inputs at subsequent layers. By contrast, standard, "local", ind ucing point methods from the deep Gaussian process literature optimise a separat e set of inducing inputs at every layer, and thus do not model correlations acro ss layers. Our method gives state-of-the-art performance for a variational Bayes ian method, without data augmentation or tempering, on CIFAR-10 of \$86.7\%\$.

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Asynchronous Modeling: A Dual-phase Perspective for Long-Tailed Recognition Hu Zhang, Linchao Zhu, Yi Yang

This work explores deep learning based classification model on real-world datase ts with a long-tailed distribution. Most of previous works deal with the long-tailed classification problem by re-balancing the overall distribution within the whole dataset or directly transferring knowledge from data-rich classes to data-poor ones. In this work, we consider the gradient distortion in long-tailed clas sification when the gradient on data-rich classes and data-poor ones are incorporated simultaneously, i.e., shifted gradient direction towards data-rich classes as well as the enlarged variance by the gradient fluctuation on data-poor class es. Motivated by such phenomenon, we propose to disentangle the distinctive effects of data-rich and data-poor gradient and asynchronously train a model via a dual-phase learning process. The first phase only concerns the data-rich classes.

In the second phase, besides the standard classification upon data-poor classes, we propose an exemplar memory bank to reserve representative examples and a me mory-retentive loss via graph matching to retain the relation between two phases. The extensive experimental results on four commonly used long-tailed benchmark s including CIFAR100-LT, Places-LT, ImageNet-LT and iNaturalist 2018 highlight the excellent performance of our proposed method.

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Maximum Entropy competes with Maximum Likelihood Armen Allahverdyan

Maximum entropy (MAXENT) method has a large number of applications in theoretical and applied machine learning, since it provides a convenient non-parametric tool for estimating unknown probabilities. The method is a major contribution of statistical physics to probabilistic inference. However, a systematic approach towards its validity limits is currently missing. Here we study MAXENT in a Bayesian decision theory set-up, i.e. assuming that there exists a well-defined prior Dirichlet density for unknown probabilities, and that the average Kullback-Leibler (KL) distance can be employed for deciding on the quality and applicability of various estimators. These allow to evaluate the relevance of various MAXENT constraints, check its general applicability, and compare MAXENT with estimators having various degrees of dependence on the prior, {\it viz.} the regularized maximum likelihood (ML) and the Bayesian estimators. We show that MAXENT applies in sparse data regimes, but needs specific types of prior information. In particular, MAXENT can outperform the optimally regularized ML provided that there are prior rank correlations between the estimated random quantity and its probabilities.

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Autoencoder Image Interpolation by Shaping the Latent Space Alon Oring, Zohar Yakhini, Yacov Hel-Or

LINGUINE: LearnIng to pruNe on subGraph convolUtIon NEtworks Yihan He, Wei Cao, Shun Zheng, Zhifeng Gao, Jiang Bian

Graph Convolutional Network (GCN) has become one of the most successful methods for graph representation learning. Training and evaluating GCNs on large graphs is challenging since full-batch GCNs have high overhead in memory and computatio n. In recent years, research communities have been developing stochastic samplin g methods to handle large graphs when it is unreal to put the whole graph into a single batch. The performance of the model depends largely on the quality and s ize of subgraphs in the batch-training. Existing sampling approaches mostly focu s on approximating the full-graph structure but care less about redundancy and r andomness in sampling subgraphs. To address these issues and explore a better me chanism of producing high-quality subgraphs to train GCNs, we proposed the \text tt{Linguine} framework where we designed a meta-model to prune the subgraph smar tly. To efficiently obtain the meta-model, we designed a joint training scenario with the idea of hardness based learning. The empirical study shows that our me thod could augment the accuracy of the current state-of-art and reduce the error incurred by the redundancies in the subgraph structure. We also explored the re asoning behind smart pruning via its visualization.

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Exploring Transferability of Perturbations in Deep Reinforcement Learning Ezgi Korkmaz

The use of Deep Neural Networks (DNNs) as function approximators has led to stri

king progress for reinforcement learning algorithms and applications. At the sam e time, deep reinforcement learning agents have inherited the vulnerability of D NNs to imperceptible adversarial perturbations to their inputs. Prior work on ad versarial perturbations for deep reinforcement learning has generally relied on calculating an adversarial perturbation customized to each state visited by the agent. In this paper we propose a more realistic threat model in which the adver sary computes the perturbation only once based on a single state. Furthermore, we show that to cause a deep reinforcement learning agent to fail it is enough to have only one adversarial offset vector in a black-box setting. We conduct experiments in various games from the Atari environment, and use our single-state ad versaries to demonstrate the transferability of perturbations both between states of one MDP, and between entirely different MDPs. We believe our adversary fram ework reveals fundamental properties of the environments used in deep reinforcement learning training, and is a tangible step towards building robust and reliable deep reinforcement learning agents.

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Local SGD Meets Asynchrony

Bapi Chatterjee, Vyacheslav Kungurtsev, Dan Alistarh

Distributed variants of stochastic gradient descent (SGD) are central to trainin g deep neural networks on massive datasets.

Several scalable versions of data-parallel SGD have been developed, leveraging asynchrony, communication-compression, and local gradient steps. Current research seeks a balance between distributed scalability--seeking to minimize the amount of synchronization needed--and generalization performance--seeking to achieve the same or better accuracy relative to the sequential baseline. However, a key issue in this regime is largely unaddressed: if ``local" data-parallelism is aggressively applied to better utilize the computing resources available with workers, generalization performance of the trained model degrades.

In this paper, we present a method to improve the "local scalability" of decentr alized SGD. In particular, we propose two key techniques: (a) shared-memory base d asynchronous gradient updates at decentralized workers keeping the local minib atch size small, and (b) an asynchronous non-blocking in-place averaging overlap ping the local updates, thus essentially utilizing all compute resources at all times without the need for large minibatches. Empirically, the additional noise introduced in the procedure proves to be a boon for better generalization. On the theoretical side, we show that this method guarantees ergodic convergence for non-convex objectives, and achieves the classic sublinear rate under standard as sumptions.

On the practical side, we show that it improves upon the performance of local SG D and related schemes, without compromising accuracy.

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Differentiable Combinatorial Losses through Generalized Gradients of Linear Programs

Xi Gao, Han Zhang, Aliakbar Panahi, Tom Arodz

Combinatorial problems with linear objective function play a central role in man y computer science applications, and efficient algorithms for solving them are w ell known. However, the solutions to these problems are not differentiable with respect to the parameters specifying the problem instance – for example, shortes t distance between two nodes in a graph is not a differentiable function of grap h edge weights. Recently, attempts to integrate combinatorial and, more broadly, convex optimization solvers into gradient-trained models resulted in several ap proaches for differentiating over the solution vector to the optimization proble m. However, in many cases, the interest is in differentiating over only the objective value, not the solution vector, and using existing approaches introduces u nnecessary overhead. Here, we show how to perform gradient descent directly over the objective value of the solution to combinatorial problems. We demonstrate a dvantage of the approach in examples involving sequence-to-sequence modeling using differentiable encoder-decoder architecture with softmax or Gumbel-softmax, and in weakly supervised learning involving a convolutional, residual feed-forwar

Model-Based Reinforcement Learning via Latent-Space Collocation Oleh Rybkin, Chuning Zhu, Anusha Nagabandi, Kostas Daniilidis, Igor Mordatch, Sergey Levine

The ability to construct and execute long-term plans enables intelligent agents to solve complex multi-step tasks and prevents myopic behavior only seeking the short-term reward. Recent work has achieved significant progress on building age nts that can predict and plan from raw visual observations. However, existing vi sual planning methods still require a densely shaped reward that provides the al gorithm with a short-term signal that is always easy to optimize. These algorith ms fail when the shaped reward is not available as they use simplistic planning methods such as sampling-based random shooting and are unable to plan for a dist ant goal. Instead, to achieve long-horizon visual control, we propose to use col location-based planning, a powerful optimal control technique that plans forward a sequence of states while constraining the transitions to be physical. We prop ose a planning algorithm that adapts collocation to visual planning by leveragin g probabilistic latent variable models. A model-based reinforcement learning age nt equipped with our planning algorithm significantly outperforms prior model-ba sed agents on challenging visual control tasks with sparse rewards and long-term goals.

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Simple and Effective VAE Training with Calibrated Decoders Oleh Rybkin, Kostas Daniilidis, Sergey Levine

Variational autoencoders (VAEs) provide an effective and simple method for model ing complex distributions. However, training VAEs often requires considerable hy perparameter tuning to determine the optimal amount of information retained by the latent variable. We study the impact of calibrated decoders, which learn the uncertainty of the decoding distribution and can determine this amount of information automatically, on the VAE performance. While many methods for learning calibrated decoders have been proposed, many of the recent papers that employ VAEs rely on heuristic hyperparameters and ad-hoc modifications instead. We perform the first comprehensive comparative analysis of calibrated decoder and provide recommendations for simple and effective VAE training. Our analysis covers a range of datasets and several single-image and sequential VAE models. We further propose a simple but novel modification to the commonly used Gaussian decoder, which computes the prediction variance analytically. We observe empirically that using heuristic modifications is not necessary with our method.

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Disentangling Adversarial Robustness in Directions of the Data Manifold Jiancong Xiao, Liusha Yang, Zhi-Quan Luo

Using generative models (GAN or VAE) to craft adversarial examples, i.e. generat ive adversarial examples, has received increasing attention in recent years. Pre vious studies showed that the generative adversarial examples work differently c ompared to that of the regular adversarial examples in many aspects, such as att ack rates, perceptibility, and generalization. But the reasons causing the diffe rences between regular and generative adversarial examples are unclear. In this work, we study the theoretical properties of the attacking mechanisms of the two kinds of adversarial examples in the Gaussian mixture data model case. We prove that adversarial robustness can be disentangled in directions of the data manif old. Specifically, we find that: 1. Regular adversarial examples attack in direc tions of small variance of the data manifold, while generative adversarial examp les attack in directions of large variance. 2. Standard adversarial training inc reases model robustness by extending the data manifold boundary in directions of small variance, while on the contrary, adversarial training with generative adv ersarial examples increases model robustness by extending the data manifold boun dary directions of large variance. In experiments, we demonstrate that these phe nomena also exist on real datasets. Finally, we study the robustness trade-off b etween generative and regular adversarial examples. We show that the conflict be

tween regular and generative adversarial examples is much smaller than the conflict between regular adversarial examples of different norms.

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Self-supervised Learning from a Multi-view Perspective

Yao-Hung Hubert Tsai, Yue Wu, Ruslan Salakhutdinov, Louis-Philippe Morency

As a subset of unsupervised representation learning, self-supervised representat ion learning adopts self-defined signals as supervision and uses the learned rep resentation for downstream tasks, such as object detection and image captioning. Many proposed approaches for self-supervised learning follow naturally a multiview perspective, where the input (e.g., original images) and the self-supervise d signals (e.g., augmented images) can be seen as two redundant views of the dat a. Building from this multi-view perspective, this paper provides an information -theoretical framework to better understand the properties that encourage succes sful self-supervised learning. Specifically, we demonstrate that self-supervised learned representations can extract task-relevant information and discard taskirrelevant information. Our theoretical framework paves the way to a larger spac e of self-supervised learning objective design. In particular, we propose a comp osite objective that bridges the gap between prior contrastive and predictive le arning objectives, and introduce an additional objective term to discard task-ir relevant information. To verify our analysis, we conduct controlled experiments to evaluate the impact of the composite objectives. We also explore our framewor k's empirical generalization beyond the multi-view perspective, where the crossview redundancy may not be clearly observed.

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Interpretable Neural Architecture Search via Bayesian Optimisation with Weisfeil er-Lehman Kernels

Binxin Ru, Xingchen Wan, Xiaowen Dong, Michael Osborne

Current neural architecture search (NAS) strategies focus only on finding a sing le, good, architecture. They offer little insight into why a specific network is performing well, or how we should modify the architecture if we want further im provements. We propose a Bayesian optimisation (BO) approach for NAS that combin es the Weisfeiler-Lehman graph kernel with a Gaussian process surrogate. Our met hod not only optimises the architecture in a highly data-efficient manner, but a lso affords interpretability by discovering useful network features and their co rresponding impact on the network performance. Moreover, our method is capable of capturing the topological structures of the architectures and is scalable to l arge graphs, thus making the high-dimensional and graph-like search spaces amena ble to BO. We demonstrate empirically that our surrogate model is capable of ide ntifying useful motifs which can guide the generation of new architectures. We f inally show that our method outperforms existing NAS approaches to achieve the state of the art on both closed- and open-domain search spaces.

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Learning Causal Semantic Representation for Out-of-Distribution Prediction Chang Liu, Xinwei Sun, Jindong Wang, Tao Li, Tao Qin, Wei Chen, Tie-Yan Liu Conventional supervised learning methods, especially deep ones, are found to be sensitive to out-of-distribution (OOD) examples, largely because the learned rep resentation mixes the semantic factor with the variation factor due to their dom ain-specific correlation, while only the semantic factor causes the output. To a ddress the problem, we propose a Causal Semantic Generative model (CSG) based on causality to model the two factors separately, and learn it on a single trainin g domain for prediction without (OOD generalization) or with unsupervised data ( domain adaptation) in a test domain. We prove that CSG identifies the semantic f actor on the training domain, and the invariance principle of causality subseque ntly guarantees the boundedness of OOD generalization error and the success of a daptation. We also design novel and delicate learning methods for both effective learning and easy prediction, following the first principle of variational Baye s and the graphical structure of CSG. Empirical study demonstrates the effect of our methods to improve test accuracy for OOD generalization and domain adaptati

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Practical Locally Private Federated Learning with Communication Efficiency Yan Feng, Tao Xiong, Ruofan Wu, Yuan Qi

Federated learning (FL) is a technique that trains machine learning models from decentralized data sources. We study FL under local differential privacy constra ints, which provides strong protection against sensitive data disclosures via ob fuscating the data before leaving the client. We identify two major concerns in designing practical privacy-preserving FL algorithms: communication efficiency a nd high-dimensional compatibility. We then develop a gradient-based learning alg orithm called \emph{sqSGD} (selective quantized stochastic gradient descent) tha t addresses both concerns. The proposed algorithm is based on a novel privacy-pr eserving quantization scheme that uses a constant number of bits per dimension p er client. Then we improve the base algorithm in two ways: first, we apply a gra dient subsampling strategy that offers simultaneously better training performanc e and smaller communication costs under a fixed privacy budget. Secondly, we uti lize randomized rotation as a preprocessing step to reduce quantization error. W e also initialize a discussion about the role of quantization and perturbation i n FL algorithm design with privacy and communication constraints. Finally, the p racticality of the proposed framework is demonstrated on benchmark datasets. Exp eriment results show that sqSGD successfully learns large models like LeNet and ResNet with local privacy constraints. In addition, with fixed privacy and commu nication level, the performance of sqSGD significantly dominates that of baselin e algorithms.

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Activation Relaxation: A Local Dynamical Approximation to Backpropagation in the Brain

Beren Millidge, Alexander Tschantz, Anil K Seth, Christopher Buckley

The backpropagation of error algorithm (backprop) has been instrumental in the r ecent success of deep learning. However, a key question remains as to whether ba ckprop can be formulated in a manner suitable for implementation in neural circu itry. The primary challenge is to ensure that any candidate formulation uses onl y local information, rather than relying on global signals as in standard backpr op. Recently several algorithms for approximating backprop using only local sign als have been proposed. However, these algorithms typically impose other require ments which challenge biological plausibility: for example, requiring complex an d precise connectivity schemes, or multiple sequential backwards phases with inf ormation being stored across phases. Here, we propose a novel algorithm, Activat ion Relaxation (AR), which is motivated by constructing the backpropagation grad ient as the equilibrium point of a dynamical system. Our algorithm converges rap idly and robustly to the correct backpropagation gradients, requires only a sing le type of computational unit, utilises only a single parallel backwards relaxat ion phase, and can operate on arbitrary computation graphs. We illustrate these properties by training deep neural networks on visual classification tasks, and describe simplifications to the algorithm which remove further obstacles to neur obiological implementation (for example, the weight-transport problem, and the u se of nonlinear derivatives), while preserving performance.

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CT-Net: Channel Tensorization Network for Video Classification Kunchang Li, Xianhang Li, Yali Wang, Jun Wang, Yu Qiao

3D convolution is powerful for video classification but often computationally ex pensive, recent studies mainly focus on decomposing it on spatial-temporal and/o r channel dimensions. Unfortunately, most approaches fail to achieve a prefera ble balance between convolutional efficiency and feature-interaction sufficiency. For this reason, we propose a concise and novel Channel Tensorization Networ k (CT-Net), by treating the channel dimension of input feature as a multiplicat ion of K sub-dimensions. On one hand, it naturally factorizes convolution in a multiple dimension way, leading to a light computation burden. On the other hand, it can effectively enhance feature interaction from different channels, and progressively enlarge the 3D receptive field of such interaction to boost class ification accuracy. Furthermore, we equip our CT-Module with a Tensor Excitation (TE) mechanism. It can learn to exploit spatial, temporal and channel attention

n in a high-dimensional manner, to improve the cooperative power of all the feat ure dimensions in our CT-Module. Finally, we flexibly adapt ResNet as our CT-Net . Extensive experiments are conducted on several challenging video benchmarks, e .g., Kinetics-400, Something-Something V1 and V2. Our CT-Net outperforms a number of recent SOTA approaches, in terms of accuracy and/or efficiency.

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Learning Invariant Representations for Reinforcement Learning without Reconstruction

Amy Zhang, Rowan Thomas McAllister, Roberto Calandra, Yarin Gal, Sergey Levine We study how representation learning can accelerate reinforcement learning from rich observations, such as images, without relying either on domain knowledge or pixel-reconstruction. Our goal is to learn representations that provide for eff ective downstream control and invariance to task-irrelevant details. Bisimulation metrics quantify behavioral similarity between states in continuous MDPs, which we propose using to learn robust latent representations which encode only the task-relevant information from observations. Our method trains encoders such that distances in latent space equal bisimulation distances in state space. We demonstrate the effectiveness of our method at disregarding task-irrelevant information using modified visual MuJoCo tasks, where the background is replaced with moving distractors and natural videos, while achieving SOTA performance. We also test a first-person highway driving task where our method learns invariance to clouds, weather, and time of day. Finally, we provide generalization results drawn from properties of bisimulation metrics, and links to causal inference.

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Intraclass clustering: an implicit learning ability that regularizes DNNs Simon Carbonnelle, Christophe De Vleeschouwer

Several works have shown that the regularization mechanisms underlying deep neur al networks' generalization performances are still poorly understood. In this pa per, we hypothesize that deep neural networks are regularized through their abil ity to extract meaningful clusters among the samples of a class. This constitute s an implicit form of regularization, as no explicit training mechanisms or supe rvision target such behaviour. To support our hypothesis, we design four differe nt measures of intraclass clustering, based on the neuron- and layer-level repre sentations of the training data. We then show that these measures constitute acc urate predictors of generalization performance across variations of a large set of hyperparameters (learning rate, batch size, optimizer, weight decay, dropout rate, data augmentation, network depth and width).

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Daylight: Assessing Generalization Skills of Deep Reinforcement Learning Agents Ezgi Korkmaz

Deep reinforcement learning algorithms have recently achieved significant succes s in learning high-performing policies from purely visual observations. The abil ity to perform end-to-end learning from raw high dimensional input alone has led to deep reinforcement learning algorithms being deployed in a variety of fields . Thus, understanding and improving the ability of deep reinforcement learning a gents to generalize to unseen data distributions is of critical importance. Much recent work has focused on assessing the generalization of deep reinforcement 1 earning agents by introducing specifically crafted adversarial perturbations to their inputs. In this paper, we propose another approach that we call daylight: a framework to assess the generalization skills of trained deep reinforcement le arning agents. Rather than focusing on worst-case analysis of distribution shift , our approach is based on black-box perturbations that correspond to semantical ly meaningful changes to the environment or the agent's visual observation syste m ranging from brightness to compression artifacts. We demonstrate that even the smallest changes in the environment cause the performance of the agents to degr ade significantly in various games from the Atari environment despite having ord ers of magnitude lower perceptual similarity distance compared to state-of-the-a rt adversarial attacks. We show that our framework captures a diverse set of ban ds in the Fourier spectrum, giving a better overall understanding of the agent's generalization capabilities. We believe our work can be crucial towards buildin

g resilient and generalizable deep reinforcement learning agents.

Learning Robust State Abstractions for Hidden-Parameter Block MDPs Amy Zhang, Shagun Sodhani, Khimya Khetarpal, Joelle Pineau

Many control tasks exhibit similar dynamics that can be modeled as having common latent structure. Hidden-Parameter Markov Decision Processes (HiP-MDPs) explicitly model this structure to improve sample efficiency in multi-task settings. However, this setting makes strong assumptions on the observability of the state that limit its application in real-world scenarios with rich observation spaces. In this work, we leverage ideas of common structure from the HiP-MDP setting, and extend it to enable robust state abstractions inspired by Block MDPs. We derive instantiations of this new framework for both multi-task reinforcement learning (MTRL) and meta-reinforcement learning (Meta-RL) settings. Further, we provide transfer and generalization bounds based on task and state similarity, along with sample complexity bounds that depend on the aggregate number of samples across tasks, rather than the number of tasks, a significant improvement over p

rior work. To further demonstrate efficacy of the proposed method, we empiricall y compare and show improvement over multi-task and meta-reinforcement learning b

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Few-Round Learning for Federated Learning

aselines.

Younghyun Park, Dong-Jun Han, Do-Yeon Kim, Jun Seo, Jaekyun Moon

Federated learning (FL) presents an appealing opportunity for individuals who ar e willing to make their private data available for building a communal model wit hout revealing their data contents to anyone else. Of central issues that may li mit a widespread adoption of FL is the significant communication resources requi red in the exchange of updated model parameters between the server and individua 1 clients over many communication rounds. In this work, we focus on limiting the number of model exchange rounds in FL to some small fixed number \$R\$, to contro 1 the communication burden. Following the spirit of meta-learning for few-shot 1 earning, we take a meta-learning strategy to train the model so that once the me ta-training phase is over, only \$R\$ rounds of FL would produce a model that will satisfy the needs of all participating clients. A key advantage of employing me ta-training is that the main labeled dataset used in training could differ signi ficantly (e.g., different classes of images) from the actual data sample present ed at inference time. Compared to the meta-training approaches to optimize perso nalized local models at distributed devices, our method better handles the poten tial lack of data variability at individual nodes. Extensive experimental result s indicate that meta-training geared to few-round learning provide large perform ance improvements compared to various baselines.

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Predictive Coding Approximates Backprop along Arbitrary Computation Graphs Beren Millidge, Alexander Tschantz, Christopher Buckley

The backpropagation of error (backprop) is a powerful algorithm for training mac hine learning architectures through end-to-end differentiation. Recently it has been shown that backprop in multilayer-perceptrons (MLPs) can be approximated us ing predictive coding, a biologically-plausible process theory of cortical compu tation which relies solely on local and Hebbian updates. The power of backprop, however, lies not in its instantiation in MLPs, but rather in the concept of aut omatic differentiation which allows for the optimisation of any differentiable p rogram expressed as a computation graph. Here, we demonstrate that predictive co ding converges asymptotically (and in practice rapidly) to exact backprop gradie nts on arbitrary computation graphs using only local learning rules. We apply th is result to develop a straightforward strategy to translate core machine learni ng architectures into their predictive coding equivalents. We construct predicti ve coding CNNs, RNNs, and the more complex LSTMs, which include a non-layer-like branching internal graph structure and multiplicative interactions. Our models perform equivalently to backprop on challenging machine learning benchmarks, whi le utilising only local and (mostly) Hebbian plasticity. Our method raises the p otential that standard machine learning algorithms could in principle be directl

y implemented in neural circuitry, and may also contribute to the development of completely distributed neuromorphic architectures.

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CAFENet: Class-Agnostic Few-Shot Edge Detection Network

Younghyun Park, Jun Seo, Jaekyun Moon

We tackle a novel few-shot learning challenge, few-shot semantic edge detection, aiming to localize boundaries of novel categories using only a few labeled samp les. Reliable boundary information has been shown to boost the performance of se mantic segmentation and localization, while also playing a key role in its own r ight in object reconstruction, image generation and medical imaging. Few-shot se mantic edge detection allows recovery of accurate boundaries with just a few exa mples. In this work, we present a Class-Agnostic Few-shot Edge detection Network (CAFENet) based on meta-learning strategy. CAFENet employs a semantic segmentat ion module in small-scale to compensate for lack of semantic information in edge labels. The predicted segmentation mask is used to generate an attention map to highlight the target object region, and make the decoder module concentrate on that region. We also propose a new regularization method based on multi-split ma tching. In meta-training, the metric-learning problem with high-dimensional vect ors are divided into smaller subproblems with low-dimensional sub-vectors. Since there are no existing datasets for few-shot semantic edge detection, we constru ct two new datasets, FSE-1000 and SBD-5i, and evaluate the performance of the pr oposed CAFENet on them. Extensive simulation results confirm that the proposed C AFENet achieves better performance compared to the baseline methods using fine-t uning or few-shot segmentation.

Isometric Transformation Invariant and Equivariant Graph Convolutional Networks Masanobu Horie, Naoki Morita, Toshiaki Hishinuma, Yu Ihara, Naoto Mitsume Graphs are one of the most important data structures for representing pairwise r elations between objects. Specifically, a graph embedded in a Euclidean space is essential to solving real problems, such as physical simulations. A crucial req uirement for applying graphs in Euclidean spaces to physical simulations is lear ning and inferring the isometric transformation invariant and equivariant featur es in a computationally efficient manner. In this paper, we propose a set of transformation invariant and equivariant models based on graph convolutional networ ks, called IsoGCNs. We demonstrate that the proposed model has a competitive per formance compared to state-of-the-art methods on tasks related to geometrical and physical simulation data. Moreover, the proposed model can scale up to graphs with 1M vertices and conduct an inference faster than a conventional finite element analysis, which the existing equivariant models cannot achieve.

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Learning Safe Multi-agent Control with Decentralized Neural Barrier Certificates Zengyi Qin, Kaiqing Zhang, Yuxiao Chen, Jingkai Chen, Chuchu Fan

We study the multi-agent safe control problem where agents should avoid collisio ns to static obstacles and collisions with each other while reaching their goals . Our core idea is to learn the multi-agent control policy jointly with learnin g the control barrier functions as safety certificates. We propose a new joint-l earning framework that can be implemented in a decentralized fashion, which can adapt to an arbitrarily large number of agents. Building upon this framework, we further improve the scalability by incorporating neural network architectures that are invariant to the quantity and permutation of neighboring agents. In ad dition, we propose a new spontaneous policy refinement method to further enforce the certificate condition during testing. We provide extensive experiments to d emonstrate that our method significantly outperforms other leading multi-agent c ontrol approaches in terms of maintaining safety and completing original tasks. Our approach also shows substantial generalization capability in that the contro 1 policy can be trained with 8 agents in one scenario, while being used on other scenarios with up to 1024 agents in complex multi-agent environments and dynami cs. Videos and source code can be found at https://realm.mit.edu/blog/learning-s afe-multi-agent-control-decentralized-neural-barrier-certificates.

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Improving Mutual Information based Feature Selection by Boosting Unique Relevance

Shiyu Liu, Mehul Motani

Mutual Information (MI) based feature selection makes use of MI to evaluate each feature and eventually shortlist a relevant feature subset, in order to address issues associated with high-dimensional datasets.

Despite the effectiveness of MI in feature selection, we have noticed that many state-of-the-art algorithms disregard the so-called unique relevance (UR) of fea tures, which is a necessary condition for the optimal feature subset. In fact, i n our study of seven state-of-the-art and classical MIBFS algorithms, we find th at all of them underperform as they ignore UR of features and arrive at a subopt imal selected feature subset which contains a non-negligible number of redundant features. We point out that the heart of the problem is that all these MIBFS al gorithms follow the criterion of Maximize Relevance with Minimum Redundancy (MRw MR), which does not explicitly target UR. This motivates us to augment the exist ing criterion with the objective of boosting unique relevance (BUR), leading to a new criterion called MRwMR-BUR. We conduct extensive experiments with several MIBFS algorithms with and without incorporating UR. The results indicate that th e algorithms that boost UR consistently outperform their unboosted counterparts in terms of peak accuracy and number of features required. Furthermore, we propo se a classifier based approach to estimate UR that further improves the performa nce of MRwMR-BUR based algorithms.

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Learning Incompressible Fluid Dynamics from Scratch - Towards Fast, Differentiab le Fluid Models that Generalize

Nils Wandel, Michael Weinmann, Reinhard Klein

Fast and stable fluid simulations are an essential prerequisite for applications ranging from computer-generated imagery to computer-aided design in research and development. However, solving the partial differential equations of incompressible fluids is a challenging task and traditional numerical approximation schemes come at high computational costs. Recent deep learning based approaches promise vast speed-ups but do not generalize to new fluid domains, require fluid simulation data for training, or rely on complex pipelines that outsource major parts of the fluid simulation to traditional methods.

In this work, we propose a novel physics-constrained training approach that gene ralizes to new fluid domains, requires no fluid simulation data, and allows convolutional neural networks to map a fluid state from time-point t to a subsequent state at time t+dt in a single forward pass. This simplifies the pipeline to train and evaluate neural fluid models. After training, the framework yields models that are capable of fast fluid simulations and can handle various fluid phenom ena including the Magnus effect and Kármán vortex streets. We present an interactive real-time demo to show the speed and generalization capabilities of our trained models. Moreover, the trained neural networks are efficient differentiable fluid solvers as they offer a differentiable update step to advance the fluid simulation in time. We exploit this fact in a proof-of-concept optimal control experiment. Our models significantly outperform a recent differentiable fluid solver in terms of computational speed and accuracy.

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ChipNet: Budget-Aware Pruning with Heaviside Continuous Approximations Rishabh Tiwari, Udbhav Bamba, Arnav Chavan, Deepak Gupta

Structured pruning methods are among the effective strategies for extracting sma ll resource-efficient convolutional neural networks from their dense counterpart s with minimal loss in accuracy. However, most existing methods still suffer from one or more limitations, that include 1) the need for training the dense model from scratch with pruning-related parameters embedded in the architecture, 2) r equiring model-specific hyperparameter settings, 3) inability to include budget-related constraint in the training process, and 4) instability under scenarios of extreme pruning. In this paper, we present ChipNet, a deterministic pruning st rategy that employs continuous Heaviside function and a novel crispness loss to

identify a highly sparse network out of an existing dense network. Our choice of continuous Heaviside function is inspired by the field of design optimization, where the material distribution task is posed as a continuous optimization problem, but only discrete values (0 or 1) are practically feasible and expected as final outcomes. Our approach's flexible design facilitates its use with different choices of budget constraints while maintaining stability for very low target budgets. Experimental results show that ChipNet outperforms state-of-the-art structured pruning methods by remarkable margins of up to 16.1% in terms of accuracy. Further, we show that the masks obtained with ChipNet are transferable across datasets. For certain cases, it was observed that masks transferred from a model trained on feature-rich teacher dataset provide better performance on the student dataset than those obtained by directly pruning on the student data itself.

Mingjian Chen, Xu Tan, Bohan Li, Yanqing Liu, Tao Qin, sheng zhao, Tie-Yan Liu Custom voice, a specific text to speech (TTS) service in commercial speech platf orms, aims to adapt a source TTS model to synthesize personal voice for a target speaker using few speech from her/him. Custom voice presents two unique challen ges for TTS adaptation: 1) to support diverse customers, the adaptation model ne eds to handle diverse acoustic conditions which could be very different from sou rce speech data, and 2) to support a large number of customers, the adaptation p arameters need to be small enough for each target speaker to reduce memory usage while maintaining high voice quality. In this work, we propose AdaSpeech, an ad aptive TTS system for high-quality and efficient customization of new voices. We design several techniques in AdaSpeech to address the two challenges in custom voice: 1) To handle different acoustic conditions, we model the acoustic informa tion in both utterance and phoneme level. Specifically, we use one acoustic enco der to extract an utterance-level vector and another one to extract a sequence o f phoneme-level vectors from the target speech during pre-training and fine-tuni ng; in inference, we extract the utterance-level vector from a reference speech and use an acoustic predictor to predict the phoneme-level vectors. 2) To better trade off the adaptation parameters and voice quality, we introduce conditional layer normalization in the mel-spectrogram decoder of AdaSpeech, and fine-tune this part in addition to speaker embedding for adaptation. We pre-train the sour ce TTS model on LibriTTS datasets and fine-tune it on VCTK and LJSpeech datasets (with different acoustic conditions from LibriTTS) with few adaptation data, e. g., 20 sentences, about 1 minute speech. Experiment results show that AdaSpeech achieves much better adaptation quality than baseline methods, with only about 5 K specific parameters for each speaker, which demonstrates its effectiveness for custom voice. The audio samples are available at https://speechresearch.github. io/adaspeech/.

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Density Constrained Reinforcement Learning

Zengyi Qin, Yuxiao Chen, Chuchu Fan

Constrained reinforcement learning (CRL) plays an important role in solving safe ty-critical and resource-limited tasks. However, existing methods typically rely on tuning reward or cost parameters to encode the constraints, which can be ted ious and tend to not generalize well. Instead of building sophisticated cost fun ctions for constraints, we present a pioneering study of imposing constraints di rectly on the state density function of the system. Density functions have clear physical meanings and can express a variety of constraints in a straightforward fashion. We prove the duality between the density function and Q function in CR L and use it to develop an effective primal-dual algorithm to solve density cons trained reinforcement learning problems. We provide theoretical guarantees of the optimality of our approach and use a comprehensive set of case studies including standard benchmarks to show that our method outperforms other leading CRL methods in terms of achieving higher reward while respecting the constraints.

Convergent Adaptive Gradient Methods in Decentralized Optimization Xiangyi Chen, Belhal Karimi, Weijie Zhao, Ping Li

Adaptive gradient methods including Adam, AdaGrad, and their variants have been very successful for training deep learning models, such as neural networks, in t he past few years. Meanwhile, given the need for distributed training procedures , distributed optimization algorithms are at the center of attention. With the g rowth of computing power and the need for using machine learning models on mobil e devices, the communication cost of distributed training algorithms needs caref ul consideration. In that regard, more and more attention is shifted from the tr aditional parameter server training paradigm to the decentralized one, which usu ally requires lower communication costs. In this paper, we rigorously incorporat e adaptive gradient methods into decentralized training procedures and introduce novel convergent decentralized adaptive gradient methods. Specifically, we prop ose a general algorithmic framework that can convert existing adaptive gradient methods to their decentralized counterparts. In addition, we thoroughly analyze the convergence behavior of the proposed algorithmic framework and show that if a given adaptive gradient method converges, under some specific conditions, then its decentralized counterpart is also convergent.

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Cross-model Back-translated Distillation for Unsupervised Machine Translation Phi Xuan Nguyen, Shafiq Joty, Kui Wu, AiTi Aw

Recent unsupervised machine translation (UMT) systems usually employ three main principles: initialization, language modeling and iterative back-translation, th ough they may apply them differently. Crucially, iterative back-translation and denoising auto-encoding for language modeling provide data diversity to train the UMT systems. However, these diversification processes may have reached their limit. We introduce a novel component to the standard UMT framework called Cross-model Back-translated Distillation (CBD), that is aimed to induce another level of data diversification that existing principles lack. CBD is applicable to all previous UMT approaches. In our experiments, it boosts the performance of the standard UMT methods by 1.5-2.0 BLEU. In particular, in WMT'14 English-French, WMT'16 German-English and English-Romanian, CBD outperforms cross-lingual masked language model (XLM) by 2.3, 2.2 and 1.6 BLEU, respectively. It also yields 1.5-3.

3 BLEU improvements in IWSLT English-French and English-German tasks. Through extensive experimental analyses, we show that CBD is effective because it embraces data diversity while other similar variants do not.

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Efficient randomized smoothing by denoising with learned score function Kyungmin Lee, Seyoon Oh

The randomized smoothing with various noise distributions is a promising approach to protect classifiers from \$\ell\_p\$ adversarial attacks. However, it requires an ensemble of classifiers trained with different noise types and magnitudes, which is computationally expensive. In this work, we present an efficient method for randomized smoothing that does not require any re-training of classifiers. We built upon denoised smoothing, which prepends denoiser to the pre-trained classifier. We investigate two approaches to the image denoising problem for randomized smoothing and show that using the score function suits for both. Moreover, we present an efficient algorithm that can scale to randomized smoothing and can be applied regardless of noise types or levels. To validate, we demonstrate the effectiveness of our methods through extensive experiments on CIFAR-10 and Image Net, under various \$\ell\_p\$ adversaries.

Using Synthetic Data to Improve the Long-range Forecasting of Time Series Data Shiyu Liu, Mehul Motani

Effective long-range forecasting of time series data remains an unsolved and ope n problem. One possible approach is to use generative models to improve long-ran ge forecasting, but the challenge then is how to generate high-quality synthetic data. In this paper, we propose a conditional Wasserstein GAN with Gradient and Error Penalty (cWGAN-GEP), aiming to generate accurate synthetic data that pres erves the temporal dynamics between the conditioning input and generated data. By using such synthetic data, we develop a long-range forecasting method called Generative Forecasting (GenF). GenF consists of three key components: (i) a cWGAN

-GEP based generator, to generate synthetic data for next few time steps. (ii) a predictor which makes long-range predictions based on generated and observed data. (iii) an information theoretic clustering (ITC) algorithm to better train the cWGAN-GEP based generator and the predictor. Our experimental results on three public datasets demonstrate that GenF significantly outperforms a diverse range of state-of-the-art benchmarks and classical approaches. In most cases, we find an improvement of at least 10% over all studied methods. Lastly, we conduct an ablation study to demonstrate the effectiveness of the cWGAN-GEP and the ITC algorithm

Adversarial Feature Desensitization

Pouya Bashivan, Mojtaba Faramarzi, Touraj Laleh, Blake Aaron Richards, Irina Rish Deep neural networks can now perform many tasks that were once thought to be onl y feasible for humans. While reaching impressive performance under standard sett ings, such networks are known to be susceptible to adversarial attacks -- slig ht but carefully constructed perturbations of the inputs which drastically decre ase the network performance. Here we propose a new way to improve the network ro bustness against adversarial attacks by focusing on robust representation lear ning based on adversarial training procedure, called here Adversarial Feature D esensitization (AFD). AFD desensitizes the representation via an adversarial gam e between the embedding network and an adversarial discriminator introduced on t op of the standard predictive model, which is trained to distinguish between th e clean and perturbed inputs from their high-level representations. Our method s ubstantially improves the state-of-the-art in robust classification on MNIST, CI FAR10, and CIFAR100 datasets. More importantly, we demonstrate that AFD has bett er generalization ability than previous methods, as the learned features maintai n their robustness across a wide range of perturbations, including perturbations not seen during training. These results indicate that reducing feature sensitiv ity is a promising approach for ameliorating the problem of adversarial attacks in deep neural networks.

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Task-Agnostic and Adaptive-Size BERT Compression

Jin Xu, Xu Tan, Renqian Luo, Kaitao Song, Li Jian, Tao Qin, Tie-Yan Liu

While pre-trained language models such as BERT and RoBERTa have achieved impress ive results on various natural language processing tasks, they have huge numbers of parameters and suffer from huge computational and memory costs, which make t hem difficult for real-world deployment. Hence, model compression should be perf ormed in order to reduce the computation and memory cost of pre-trained models. In this work, we aim to compress BERT and address the following two challenging practical issues: (1) The compression algorithm should be able to output multipl e compressed models with different sizes and latencies, so as to support devices with different kinds of memory and latency limitations; (2) the algorithm shoul d be downstream task agnostic, so that the compressed models are generally appli cable for different downstream tasks. We leverage techniques in neural architec ture search (NAS) and propose NAS-BERT, an efficient method for BERT compression . NAS-BERT trains a big supernet on a carefully designed search space containing various architectures and outputs multiple compressed models with adaptive size s and latency. Furthermore, the training of NAS-BERT is conducted on standard se lf-supervised pre-training tasks (e.g., masked language model) and does not depe nd on specific downstream tasks. Thus, the models it produces can be used across various downstream tasks. The technical challenge of NAS-BERT is that training a big supernet on the pre-training task is extremely costly. We employ several t echniques including block-wise search, search space pruning, and performance app roximation to improve search efficiency and accuracy. Extensive experiments on G LUE benchmark datasets demonstrate that NAS-BERT can find lightweight models wit h better accuracy than previous approaches, and can be directly applied to diffe rent downstream tasks with adaptive model sizes for different requirements of me mory or latency.

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Estimating and Evaluating Regression Predictive Uncertainty in Deep Object Detec

tors

Ali Harakeh, Steven L. Waslander

Predictive uncertainty estimation is an essential next step for the reliable dep loyment of deep object detectors in safety-critical tasks. In this work, we focu s on estimating predictive distributions for bounding box regression output with variance networks. We show that in the context of object detection, training va riance networks with negative log likelihood (NLL) can lead to high entropy pred ictive distributions regardless of the correctness of the output mean. We propos e to use the energy score as a non-local proper scoring rule and find that when used for training, the energy score leads to better calibrated and lower entropy predictive distributions than NLL. We also address the widespread use of non-pr oper scoring metrics for evaluating predictive distributions from deep object de tectors by proposing an alternate evaluation approach founded on proper scoring rules. Using the proposed evaluation tools, we show that although variance netwo rks can be used to produce high quality predictive distributions, ad-hoc approac hes used by seminal object detectors for choosing regression targets during trai ning do not provide wide enough data support for reliable variance learning. We hope that our work helps shift evaluation in probabilistic object detection to b etter align with predictive uncertainty evaluation in other machine learning dom ains. Code for all models, evaluation, and datasets is available at: https://git hub.com/asharakeh/probdet.git.

Geometry matters: Exploring language examples at the decision boundary Debajyoti Datta, Shashwat Kumar, Laura Barnes, Tom Fletcher

A growing body of recent evidence has highlighted the limitations of natural language processing (NLP) datasets and classifiers. These include the presence of annotation artifacts in datasets, classifiers relying on shallow features like a single word (e.g., if a movie review has the word "romantic", the review tends to be positive), or unnecessary words (e.g., learning a proper noun to classify a movie as positive or negative). The presence of such artifacts has subsequent ly led to the development of challenging datasets to force the model to generali ze better. While a variety of heuristic strategies, such as counterfactual examp les and contrast sets, have been proposed, the theoretical justification about w hat makes these examples difficult for the classifier is often lacking or unclea r. In this paper, using tools from information geometry, we propose a theoretica l way to quantify the difficulty of an example in NLP. Using our approach, we ex plore difficult examples for several deep learning architectures. We discover th at BERT, CNN and fasttext are susceptible to word substitutions in high difficul ty examples. These classifiers tend to perform poorly on the FIM test set. (gene rated by sampling and perturbing difficult examples, with accuracy dropping belo w 50%). We replicate our experiments on 5 NLP datasets (YelpReviewPolarity, AGNE WS, SogouNews, YelpReviewFull and Yahoo Answers). On YelpReviewPolarity we obser ve a correlation coefficient of -0.4 between resilience to perturbations and the difficulty score. Similarly we observe a correlation of 0.35 between the diffic ulty score and the empirical success probability of random substitutions. Our ap proach is simple, architecture agnostic and can be used to study the fragilities of text classification models. All the code used will be made publicly availabl e, including a tool to explore the difficult examples for other datasets.

Self-Labeling of Fully Mediating Representations by Graph Alignment Martijn Oldenhof, Adam Arany, Yves Moreau, Jaak Simm

To be able to predict a molecular graph structure (\$W\$) given a 2D image of a chemical compound (\$U\$) is a challenging problem in machine learning. We are interested to learn \$f: U \rightarrow W\$ where we have a fully mediating representation \$V\$ such that \$f\$ factors into \$U \rightarrow V \rightarrow W\$. However, observing V requires detailed and expensive labels. We propose \textbf{graph aligning} approach that generates rich or detailed labels given normal labels \$W\$. In this paper we investigate the scenario of domain adaptation from the source domain where we have access to the expensive labels \$V\$ to the target domain where o

nly normal labels W are available. Focusing on the problem of predicting chemica l compound graphs from 2D images the fully mediating layer is represented using the planar embedding of the chemical graph structure we are predicting. The use of a fully mediating layer implies some assumptions on the mechanism of the underlying process. However if the assumptions are correct it should allow the machine learning model to be more interpretable, generalize better and be more data efficient at training time.

The empirical results show that, using only 4000 data points, we obtain up to 4x improvement of performance after domain adaptation to target domain compared to pretrained model only on the source domain. After domain adaptation, the model is even able to detect atom types that were never seen in the original source do main. Finally, on the Maybridge data set the proposed self-labeling approach rea ched higher performance than the current state of the art.

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Defining Benchmarks for Continual Few-Shot Learning

Antreas Antoniou, Massimiliano Patacchiola, Mateusz Ochal, Amos Storkey

In recent years there has been substantial progress in few-shot learning, where a model is trained on a small labeled dataset related to a specific task, and in continual learning, where a model has to retain knowledge acquired on a sequenc e of datasets. Both of these fields are different abstractions of the same real world scenario, where a learner has to adapt to limited information from differe nt changing sources and be able to generalize in and from each of them. Combinin g these two paradigms, where a model is trained on several sequential few-shot t asks, and then tested on a validation set stemming from all those tasks, helps b y explicitly defining the competing requirements for both efficient integration and continuity. In this paper we propose such a setting, naming it Continual Few -Shot Learning (CFSL). We first define a theoretical framework for CFSL, then we propose a range of flexible benchmarks to unify the evaluation criteria. As par t of the benchmark, we introduce a compact variant of ImageNet, called SlimageNe t64, which retains all original 1000 classes but only contains 200 instances of each one (a total of 200K data-points) downscaled to 64 by 64 pixels. We provide baselines for the proposed benchmarks using a number of popular few-shot and co ntinual learning methods, exposing previously unknown strengths and weaknesses o f those algorithms. The dataloader and dataset will be released with an open-sou rce license.

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Learning Efficient Planning-based Rewards for Imitation Learning Xingrui Yu, Yueming Lyu, Ivor Tsang

Imitation learning from limited demonstrations is challenging. Most inverse rein forcement learning (IRL) methods are unable to perform as good as the demonstrat or, especially in a high-dimensional environment, e.g, the Atari domain. To addr ess this challenge, we propose a novel reward learning method, which streamlines a differential planning module with dynamics modeling. Our method learns useful planning computations with a meaningful reward function that focuses on the resulting region of an agent executing an action. Such a planning-based reward function leads to policies with better generalization ability. Empirical results with multiple network architectures and reward instances show that our method can outperform state-of-the-art IRL methods on multiple Atari games and continuous control tasks. Our method achieves performance that is averagely 1,139.1% of the demonstration.

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Domain-Robust Visual Imitation Learning with Mutual Information Constraints Edoardo Cetin, Oya Celiktutan

Human beings are able to understand objectives and learn by simply observing oth ers perform a task. Imitation learning methods aim to replicate such capabilitie s, however, they generally depend on access to a full set of optimal states and actions taken with the agent's actuators and from the agent's point of view. In this paper, we introduce a new algorithm - called Disentangling Generative Adver sarial Imitation Learning (DisentanGAIL) - with the purpose of bypassing such constraints. Our algorithm enables autonomous agents to learn directly from high d

imensional observations of an expert performing a task, by making use of adversa rial learning with a latent representation inside the discriminator network. Such latent representation is regularized through mutual information constraints to incentivize learning only features that encode information about the completion levels of the task being demonstrated. This allows to obtain a shared feature space to successfully perform imitation while disregarding the differences between the expert's and the agent's domains. Empirically, our algorithm is able to efficiently imitate in a diverse range of control problems including balancing, man injulation and locomotive tasks, while being robust to various domain difference in terms of both environment appearance and agent embodiment.

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Clustering-friendly Representation Learning via Instance Discrimination and Feat ure Decorrelation

Yaling Tao, Kentaro Takagi, Kouta Nakata

Clustering is one of the most fundamental tasks in machine learning. Recently, d eep clustering has become a major trend in clustering techniques. Representation learning often plays an important role in the effectiveness of deep clustering, and thus can be a principal cause of performance degradation. In this paper, we propose a clustering-friendly representation learning method using instance dis crimination and feature decorrelation. Our deep-learning-based representation le arning method is motivated by the properties of classical spectral clustering. I nstance discrimination learns similarities among data and feature decorrelation removes redundant correlation among features. We utilize an instance discriminat ion method in which learning individual instance classes leads to learning simil arity among instances. Through detailed experiments and examination, we show tha t the approach can be adapted to learning a latent space for clustering. We desi gn novel softmax-formulated decorrelation constraints for learning. In evaluatio ns of image clustering using CIFAR-10 and ImageNet-10, our method achieves accur acy of 81.5% and 95.4%, respectively. We also show that the softmax-formulated c onstraints are compatible with various neural networks.

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CDT: Cascading Decision Trees for Explainable Reinforcement Learning Zihan Ding, Pablo Hernandez-Leal, Gavin Weiguang Ding, Changjian Li, Ruitong Huang Deep Reinforcement Learning (DRL) has recently achieved significant advances in various domains. However, explaining the policy of RL agents still remains an op en problem due to several factors, one being the complexity of explaining neural networks decisions. Recently, a group of works have used decision-tree-based mo dels to learn explainable policies. Soft decision trees (SDTs) and discretized d ifferentiable decision trees (DDTs) have been demonstrated to achieve both good performance and share the benefit of having explainable policies. In this work, we further improve the results for tree-based explainable RL in both performance and explainability. Our proposal, Cascading Decision Trees (CDTs) apply represe ntation learning on the decision path to allow richer expressivity. Empirical re sults show that in both situations, where CDTs are used as policy function appro ximators or as imitation learners to explain black-box policies, CDTs can achiev e better performances with more succinct and explainable models than SDTs. As a second contribution our study reveals limitations of explaining black-box polici es via imitation learning with tree-based explainable models, due to its inheren t instability.

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A Gradient Flow Framework For Analyzing Network Pruning Ekdeep Singh Lubana, Robert Dick

Recent network pruning methods focus on pruning models early-on in training. To estimate the impact of removing a parameter, these methods use importance measur es that were originally designed to prune trained models. Despite lacking justif ication for their use early-on in training, such measures result in surprisingly low accuracy loss. To better explain this behavior, we develop a general framew ork that uses gradient flow to unify state-of-the-art importance measures through the norm of model parameters. We use this framework to determine the relations hip between pruning measures and evolution of model parameters, establishing sev

eral results related to pruning models early-on in training: (i) magnitude-based pruning removes parameters that contribute least to reduction in loss, resultin g in models that converge faster than magnitude-agnostic methods; (ii) loss-pres ervation based pruning preserves first-order model evolution dynamics and its us e is therefore justified for pruning minimally trained models; and (iii) gradien t-norm based pruning affects second-order model evolution dynamics, such that in creasing gradient norm via pruning can produce poorly performing models. We validate our claims on several VGG-13, MobileNet-V1, and ResNet-56 models trained on CIFAR-10/CIFAR-100.

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Progressive Skeletonization: Trimming more fat from a network at initialization Pau de Jorge, Amartya Sanyal, Harkirat Behl, Philip Torr, Grégory Rogez, Puneet K. Do kanja

Recent studies have shown that skeletonization (pruning parameters) of networks at initialization provides all the practical benefits of sparsity both at infere nce and training time, while only marginally degrading their performance. Howeve r, we observe that beyond a certain level of sparsity (approx 95%), these approa ches fail to preserve the network performance, and to our surprise, in many case s perform even worse than trivial random pruning. To this end, we propose an obj ective to find a skeletonized network with maximum foresight connection sensitiv ity (FORCE) whereby the trainability, in terms of connection sensitivity, of a p runed network is taken into consideration. We then propose two approximate proce dures to maximize our objective (1) Iterative SNIP: allows parameters that were unimportant at earlier stages of skeletonization to become important at later st ages; and (2) FORCE: iterative process that allows exploration by allowing alrea dy pruned parameters to resurrect at later stages of skeletonization. Empirical analysis on a large suite of experiments show that our approach, while providing at least as good performance as other recent approaches on moderate pruning lev els, provide remarkably improved performance on high pruning levels (could remov e up to 99.5% parameters while keeping the networks trainable).

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An Adversarial Attack via Feature Contributive Regions

Yaguan Qian, Jiamin Wang, Xiang Ling, Zhaoquan Gu, Bin Wang, Chunming Wu

Recently, to deal with the vulnerability to generate examples of CNNs, there are many advanced algorithms that have been proposed. These algorithms focus on mod ifying global pixels directly with small perturbations, and some work involves m odifying local pixels. However, the global attacks have the problem of perturbations' redundancy and the local attacks are not effective. To overcome this chall enge, we achieve a trade-off between the perturbation power and the number of perturbed pixels in this paper. The key idea is to find the feature contributive regions (FCRs) of the images. Furthermore, in order to create an adversarial examingle similar to the corresponding clean image as much as possible, we redefine a loss function as the objective function of the optimization in this paper and the enusing gradient descent optimization algorithm to find the efficient perturbations. Various experiments have been carried out on CIFAR-10 and ILSVRC2012 datasets, which show the excellence of this method, and in addition, the FCRs attack shows strong attack ability in both white-box and black-box settings.

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On the Curse of Memory in Recurrent Neural Networks: Approximation and Optimization Analysis

Zhong Li, Jiequn Han, Weinan E, Qianxiao Li

We study the approximation properties and optimization dynamics of recurrent neu ral networks (RNNs) when applied to learn input-output relationships in temporal data. We consider the simple but representative setting of using continuous-time elinear RNNs to learn from data generated by linear relationships. Mathematical ly, the latter can be understood as a sequence of linear functionals. We prove a universal approximation theorem of such linear functionals and characterize the approximation rate. Moreover, we perform a fine-grained dynamical analysis of training linear RNNs by gradient methods. A unifying theme uncovered is the non-trivial effect of memory, a notion that can be made precise in our framework,

on both approximation and optimization: when there is long-term memory in the ta rget, it takes a large number of neurons to approximate it. Moreover, the training process will suffer from slow downs. In particular, both of these effects be come exponentially more pronounced with increasing memory - a phenomenon we call the "curse of memory". These analyses represent a basic step towards a concret e mathematical understanding of new phenomenons that may arise in learning temporal relationships using recurrent architectures.

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On the Stability of Multi-branch Network Huishuai Zhang, Da Yu, Wei Chen, Tie-Yan Liu

Multi-branch architectures are widely used in state-of-the-art neural networks. Their empirical success relies on some design wisdom, like adding normalization layers or/and scaling down the initialization. In this paper, we investigate t he multi-branch architecture from the stability perspective. Specifically, we es tablish the forward/backward stability of multi-branch network, which leads to s everal new findings. Our analysis shows that only scaling down the initializatio n may not be enough for training multi-branch network successfully because of th e uncontrollable backward process. We also unveil a new role of the normalizatio n layer in terms of stabilizing the multi-branch architectures. More importantly , we propose a new design ``STAM aggregation" that can guarantee to STAbilize th e forward/backward process of Multi-branch networks irrespective of the number o f branches. We demonstrate that with STAM aggregation, the same training strateg y is applicable to models with different numbers of branches, which can reduce t he hyper-parameter tuning burden. Our experiments verify our theoretical finding s and also demonstrate that the STAM aggregation can improve the performance of multi-branch networks considerably.

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Deepening Hidden Representations from Pre-trained Language Models Junjie Yang, hai zhao

Transformer-based pre-trained language models have proven to be effective for le arning contextualized language representation. However, current approaches only take advantage of the output of the encoder's final layer when fine-tuning the downstream tasks. We argue that only taking single layer's output restricts the power of pre-trained representation. Thus we deepen the representation learned by the model by fusing the hidden representation in terms of an explicit HIdden Representation Extractor (HIRE), which automatically absorbs the complementary representation with respect to the output from the final layer. Utilizing RoBERTa as the backbone encoder, our proposed improvement over the pre-trained models is shown effective on multiple natural language understanding tasks and help our model rival with the state-of-the-art models on the GLUE benchmark.

Do not Let Privacy Overbill Utility: Gradient Embedding Perturbation for Privat e Learning

Da Yu, Huishuai Zhang, Wei Chen, Tie-Yan Liu

The privacy leakage of the model about the training data can be bounded in the d ifferential privacy mechanism. However, for meaningful privacy parameters, a differentially private model degrades the utility drastically when the model comprises a large number of trainable parameters. In this paper, we propose an algorithm \emph{Gradient Embedding Perturbation (GEP)} towards training differentially private deep models with decent accuracy. Specifically, in each gradient descent step, GEP first projects individual private gradient into a non-sensitive and hor subspace, producing a low-dimensional gradient embedding and a small-norm residual gradient. Then, GEP perturbs the low-dimensional embedding and the residual gradient separately according to the privacy budget. Such a decomposition per mits a small perturbation variance, which greatly helps to break the dimensional barrier of private learning. With GEP, we achieve decent accuracy with low computational cost and modest privacy guarantee for deep models. Especially, with privacy bound \$\epsilon=8\$, we achieve \$74.9\%\$ test accuracy on CIFAR10 and \$95. 1\%\$ test accuracy on SVHN, significantly improving over existing results.

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What Do Deep Nets Learn? Class-wise Patterns Revealed in the Input Space Shihao Zhao, Xingjun Ma, Yisen Wang, James Bailey, Bo Li, Yu-Gang Jiang Deep neural networks (DNNs) have been widely adopted in different applications t o achieve state-of-the-art performance. However, they are often applied as a bla ck box with limited understanding of what the model has learned from the data. I n this paper, we focus on image classification and propose a method to visualize and understand the class-wise patterns learned by DNNs trained under three diff erent settings including natural, backdoored and adversarial. Different from exi sting class-wise deep representation visualizations, our method searches for a s ingle predictive pattern in the input (i.e. pixel) space for each class. Based o n the proposed method, we show that DNNs trained on natural (clean) data learn a bstract shapes along with some texture, and backdoored models learn a small but highly predictive pattern for the backdoor target class. Interestingly, the exis tence of class-wise predictive patterns in the input space indicates that even D NNs trained on clean data can have backdoors, and the class-wise patterns identi fied by our method can be readily applied to "backdoor" attack the model. In the adversarial setting, we show that adversarially trained models learn more simpl ified shape patterns. Our method can serve as a useful tool to better understand DNNs trained on different datasets under different settings.

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More or Less: When and How to Build Convolutional Neural Network Ensembles Abdul Wasay, Stratos Idreos

Convolutional neural networks are utilized to solve increasingly more complex pr oblems and with more data. As a result, researchers and practitioners seek to s cale the representational power of such models by adding more parameters. Howeve r, increasing parameters requires additional critical resources in terms of memo ry and compute, leading to increased training and inference cost. Thus a consis tent challenge is to obtain as high as possible accuracy within a parameter budg et. As neural network designers navigate this complex landscape, they are guided by conventional wisdom that is informed from past empirical studies. We identif y a critical part of this design space that is not well-understood: How to decid e between the alternatives of expanding a single convolutional network model or increasing the number of networks in the form of an ensemble. We study this ques tion in detail across various network architectures and data sets. We build an e xtensive experimental framework that captures numerous angles of the possible de sign space in terms of how a new set of parameters can be used in a model. We c onsider a holistic set of metrics such as training time, inference time, and mem ory usage. The framework provides a robust assessment by making sure it controls for the number of parameters. Contrary to conventional wisdom, we show that whe n we perform a holistic and robust assessment, we uncover a wide design space, w here ensembles provide better accuracy, train faster, and deploy at speed compar able to single convolutional networks with the same total number of parameters.

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A Simple Unified Information Regularization Framework for Multi-Source Domain Ad aptation

Geon Yeong Park, Sang wan Lee

Adversarial learning strategy has demonstrated remarkable performance in dealing with single-source unsupervised Domain Adaptation (DA) problems, and it has recently been applied to multi-source DA problems. While most of the existing DA me thods use multiple domain discriminators, the effect of using multiple discriminators on the quality of latent space representations has been poorly understood. Here we provide theoretical insights into potential pitfalls of using multiple domain discriminators: First, domain-discriminative information is inevitably distributed across multiple discriminators. Second, it is not scalable in terms of computational resources. Third, the variance of stochastic gradients from multiple discriminators may increase, which significantly undermines training stability. To fully address these issues, we situate adversarial DA in the context of information regularization. First, we present a unified information regularization for using a single and unified domain discriminator to encourage the synergistic integr

ation of the information gleaned from each domain. Second, this motivates us to implement a novel neural architecture called a Multi-source Information-regulari zed Adaptation Networks (MIAN). The proposed model significantly reduces the var iance of stochastic gradients and increases computational-efficiency. Large-scal e simulations on various multi-source DA scenarios demonstrate that MIAN, despit e its structural simplicity, reliably outperforms other state-of-the-art methods by a large margin especially for difficult target domains.

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Towards Robustness Against Natural Language Word Substitutions Xinshuai Dong, Anh Tuan Luu, Rongrong Ji, Hong Liu

Robustness against word substitutions has a well-defined and widely acceptable f orm, i.e., using semantically similar words as substitutions, and thus it is con sidered as a fundamental stepping-stone towards broader robustness in natural la nguage processing. Previous defense methods capture word substitutions in vector space by using either 1\_2-ball or hyper-rectangle, which results in perturbatio n sets that are not inclusive enough or unnecessarily large, and thus impedes mi micry of worst cases for robust training. In this paper, we introduce a novel Ad versarial Sparse Convex Combination (ASCC) method. We model the word substitutio n attack space as a convex hull and leverages a regularization term to enforce p erturbation towards an actual substitution, thus aligning our modeling better wi th the discrete textual space. Based on ASCC method, we further propose ASCC-de fense, which leverages ASCC to generate worst-case perturbations and incorporate s adversarial training towards robustness. Experiments show that ASCC-defense ou tperforms the current state-of-the-arts in terms of robustness on two prevailing NLP tasks, i.e., sentiment analysis and natural language inference, concerning several attacks across multiple model architectures. Besides, we also envision a new class of defense towards robustness in NLP, where our robustly trained word vectors can be plugged into a normally trained model and enforce its robustness without applying any other defense techniques.

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On Low Rank Directed Acyclic Graphs and Causal Structure Learning Zhuangyan Fang, Shengyu Zhu, Jiji Zhang, Yue Liu, Zhitang Chen, Yangbo He Despite several important advances in recent years, learning causal structures r epresented by directed acyclic graphs (DAGs) remains a challenging task in high dimensional settings when the graphs to be learned are not sparse. In this pape r, we propose to exploit a low rank assumption regarding the (weighted) adjacenc y matrix of a DAG causal model to mitigate this problem. We demonstrate how to a dapt existing methods for causal structure learning to take advantage of this as sumption and establish several useful results relating interpretable graphical c onditions to the low rank assumption. In particular, we show that the maximum ra nk is highly related to hubs, suggesting that scale-free networks which are freq uently encountered in real applications tend to be low rank. We also provide emp irical evidence for the utility of our low rank adaptations, especially on relat ively large and dense graphs. Not only do they outperform existing algorithms wh en the low rank condition is satisfied, the performance is also competitive eve n though the rank of the underlying DAG may not be as low as is assumed.

Unsupervised Hierarchical Concept Learning

Sumegh Roychowdhury, Sumedh Anand Sontakke, Mausoom Sarkar, Nikaash Puri, Milan Aggarwal, Pinkesh Badjatiya, Balaji Krishnamurthy, Laurent Itti

Discovering concepts (or temporal abstractions) in an unsupervised manner from d emonstration data in the absence of an environment is an important problem. Orga nizing these discovered concepts hierarchically at different levels of abstracti on is useful in discovering patterns, building ontologies, and generating tutori als from demonstration data. However, recent work to discover such concepts with out access to any environment does not discover relationships (or a hierarchy) b etween these discovered concepts. In this paper, we present a Transformer-based concept abstraction architecture UNHCLE (pronounced uncle) that extracts a hier archy of concepts in an unsupervised way from demonstration data. We empirically demonstrate how UNHCLE discovers meaningful hierarchies using datasets from Che

ss and Cooking domains. Finally, we show how UNHCLE learns meaningful language l abels for concepts by using demonstration data augmented with natural language f or cooking and chess. All of our code is available at https://github.com/UNHCLE/UNHCLE

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On Dropout, Overfitting, and Interaction Effects in Deep Neural Networks Ben Lengerich, Eric Xing, Rich Caruana

We examine Dropout through the perspective of interactions. Given  $N\$  variables, there are  $\$  mathcal $\{0\}$  ( $N^2$ ) $\$  possible pairwise interactions,  $\$  mathcal $\{0\}$  ( $N^3$ ) $\$  possible 3-way interactions, i.e.  $\$  mathcal $\{0\}$  ( $N^k$ ) $\$  possible interactions of  $\$  k $\$  variables. Conversely, the probability of an interaction of  $\$  variables sur viving Dropout at rate  $\$  is  $\$  mathcal $\{0\}$  ( $(1-p)^k$ ) $\$ . In this paper, we show that these rates cancel, and as a result, Dropout selectively regularizes against 1 earning higher-order interactions. We prove this new perspective analytically for 1 Input Dropout and empirically for Activation Dropout. This perspective on Dropout has several practical implications: (1) higher Dropout rates should be used when we need stronger regularization against spurious high-order interactions, (2) caution must be used when interpreting Dropout-based feature saliency measure s, and (3) networks trained with Input Dropout are biased estimators, even with infinite data. We also compare Dropout to regularization via weight decay and early stopping and find that it is difficult to obtain the same regularization against high-order interactions with these methods.

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Revisiting Hierarchical Approach for Persistent Long-Term Video Prediction Wonkwang Lee, Whie Jung, Han Zhang, Ting Chen, Jing Yu Koh, Thomas Huang, Hyungsuk Yoo n, Honglak Lee, Seunghoon Hong

Learning to predict the long-term future of video frames is notoriously challeng ing due to the inherent ambiguities in a distant future and dramatic amplificati on of prediction error over time. Despite the recent advances in the literature, existing approaches are limited to moderately short-term prediction (less than a few seconds), while extrapolating it to a longer future quickly leads to destr uction in structure and content. In this work, we revisit the hierarchical model s in video prediction. Our method generates future frames by first estimating a sequence of dense semantic structures and subsequently translating the estimated structures to pixels by video-to-video translation model. Despite the simplicit y, we show that modeling structures and their dynamics in categorical structure space with stochastic sequential estimator leads to surprisingly successful long -term prediction. We evaluate our method on two challenging video prediction sce narios, \emph{car driving} and \emph{human dancing}, and demonstrate that it can generate complicated scene structures and motions over a very long time horizon (\ie~thousands frames), setting a new standard of video prediction with orders of magnitude longer prediction time than existing approaches. Video results are available at https://lkonny.github.io/HVP/.

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Self-Reflective Variational Autoencoder

Ifigeneia Apostolopoulou, Elan Rosenfeld, Artur Dubrawski

The Variational Autoencoder (VAE) is a powerful framework for learning probabili stic latent variable generative models. However, typical assumptions on the appr oximate posterior distributions can substantially restrict its capacity for infe rence and generative modeling. Variational inference based on neural autoregress ive models respects the conditional dependencies of the exact posterior, but this flexibility comes at a cost: the resulting models are expensive to train in his gh-dimensional regimes and can be slow to produce samples. In this work, we introduce an orthogonal solution, which we call self-reflective inference. By redesing gning the hierarchical structure of existing VAE architectures, self-reflection ensures that the stochastic flow preserves the factorization of the exact poster ior, sequentially updating the latent codes in a manner consistent with the gene rative model. We empirically demonstrate the advantages of matching the variational posterior to the exact posterior—on binarized MNIST self-reflective infere

nce achieves state-of-the-art performance without resorting to complex, computat ionally expensive components such as autoregressive layers. Moreover, we design a variational normalizing flow that employs the proposed architecture, yielding predictive benefits compared to its purely generative counterpart. Our proposed modification is quite general and it complements the existing literature; self-r eflective inference can naturally leverage advances in distribution estimation a nd generative modeling to improve the capacity of each layer in the hierarchy.

Gregor N. C. Simm, Robert Pinsler, Gábor Csányi, José Miguel Hernández-Lobato Automating molecular design using deep reinforcement learning (RL) has the poten tial to greatly accelerate the search for novel materials. Despite recent progre ss on leveraging graph representations to design molecules, such methods are fun damentally limited by the lack of three-dimensional (3D) information. In light of this, we propose a novel actor-critic architecture for 3D molecular design that can generate molecular structures unattainable with previous approaches. This is achieved by exploiting the symmetries of the design process through a rotationally covariant state-action representation based on a spherical harmonics series expansion. We demonstrate the benefits of our approach on several 3D molecular design tasks, where we find that building in such symmetries significantly improves generalization and the quality of generated molecules.

Generalizing Tree Models for Improving Prediction Accuracy Jaemin Yoo, Lee Sael

Can we generalize and improve the representation power of tree models? Tree mode ls are often favored over deep neural networks due to their interpretable struct ures in problems where the interpretability is required, such as in the classification of feature-based data where each feature is meaningful. However, most tree models have low accuracies and easily overfit to training data. In this work, we propose Decision Transformer Network (DTN), our highly accurate and interpretable tree model based on our generalized framework of tree models, decision transformers. Decision transformers allow us to describe tree models in the context of deep learning. Our DTN is proposed based on improving the generalizable components of the decision transformer, which increases the representation power of tree models while preserving the inherent interpretability of the tree structure. Our extensive experiments on 121 feature-based datasets show that DTN outperforms the state-of-the-art tree models and even deep neural networks.

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Learnable Embedding sizes for Recommender Systems Siyi Liu, Chen Gao, Yihong Chen, Depeng Jin, Yong Li

The embedding-based representation learning is commonly used in deep learning re commendation models to map the raw sparse features to dense vectors. The traditi onal embedding manner that assigns a uniform size to all features has two issues . First, the numerous features inevitably lead to a gigantic embedding table tha t causes a high memory usage cost. Second, it is likely to cause the over-fittin g problem for those features that do not require too large representation capaci ty. Existing works that try to address the problem always cause a significant dr op in recommendation performance or suffers from the limitation of unaffordable training time cost. In this paper, we proposed a novel approach, named PEP (shor t for Plug-in Embedding Pruning), to reduce the size of the embedding table whil e avoiding the drop of recommendation accuracy. PEP prunes embedding parameter w here the pruning threshold(s) can be adaptively learned from data. Therefore we can automatically obtain a mixed-dimension embedding-scheme by pruning redundant parameters for each feature. PEP is a general framework that can plug in variou s base recommendation models. Extensive experiments demonstrate it can efficient ly cut down embedding parameters and boost the base model's performance. Specifi cally, it achieves strong recommendation performance while reducing 97-99% param eters. As for the computation cost, PEP only brings an additional 20-30% time co st compare with base models.

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Goal-Driven Imitation Learning from Observation by Inferring Goal Proximity Andrew Szot, Youngwoon Lee, Shao-Hua Sun, Joseph J Lim

Humans can effectively learn to estimate how close they are to completing a desi red task simply by watching others fulfill the task. To solve the task, they can then take actions towards states with higher estimated proximity to the goal. F rom this intuition, we propose a simple yet effective method for imitation learn ing that learns a goal proximity function from expert demonstrations and online agent experience, and then uses the learned proximity to provide a dense reward signal for training a policy to solve the task. By predicting task progress as t he temporal distance to the goal, the goal proximity function improves generaliz ation to unseen states over methods that aim to directly imitate expert behavior s. We demonstrate that our proposed method efficiently learns a set of goal-driv en tasks from state-only demonstrations in navigation, robotic arm manipulation, and locomotion tasks.

Bypassing the Random Input Mixing in Mixup Hongyu Guo

Mixup and its variants have promoted a surge of interest due to their capability of boosting the accuracy of deep models. For a random sample pair, such approa ches generate a set of synthetic samples through interpolating both the inputs and their corresponding one-hot labels. Current methods either interpolate rando m features from an input pair or learn to mix salient features from the pair. Nevertheless, the former methods can create misleading synthetic samples or r emove important features from the given inputs, and the latter strategies incur significant computation cost for selecting descriptive input regions. In this pa per, we show that the effort needed for the input mixing can be bypassed. For a given sample pair, averaging the features from the two inputs and then assigning it with a set of soft labels can effectively regularize the training. We empi rically show that the proposed approach performs on par with state-of-the-art s trategies in terms of predictive accuracy.

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Data-aware Low-Rank Compression for Large NLP Models Patrick CHen, Hsiang-Fu Yu, Inderjit S Dhillon, Cho-Jui Hsieh

The representations learned by large-scale NLP models such as BERT have been wid ely used in various tasks. However, the increasing model size of the pre-trained models also brings the efficiency challenges, including the inference speed and the model size when deploying the model on devices. Specifically, most operatio ns in BERT consist of matrix multiplications. These matrices are not low-rank an d thus canonical matrix decomposition could not find an efficient approximation. In this paper, we observe that the learned representation of each layer lies in a low-dimensional space. Based on this observation, we propose DRONE (data-awar e low-rank compression), a provably optimal low-rank decomposition of weight mat rices, which has a simple closed form solution that can be efficiently computed. DRONE is generic, could be applied to both fully-connected and self-attention 1 ayers, and does not require any fine-tuning or distillation steps. Experimental results show that DRONE could improve both model size and inference speed with limited loss of accuracy. Specifically, DRONE alone achieves 1.92x faster on MRP C task with only 1.5%loss of accuracy, and when combined with distillation, DRON E achieves over 12.3x faster on various natural language inference tasks.

Generative Learning With Euler Particle Transport Yuan Gao, Jian Huang, Yuling Jiao, Jin Liu

We propose an Euler particle transport (EPT) approach for generative learning. The proposed approach is motivated by the problem of finding the optimal transport map from a reference distribution to a target distribution characterized by the Monge-Ampere equation. Interpreting the infinitesimal linearization of the Monge-Ampere equation from the perspective of gradient flows in measure spaces leads to a stochastic McKean-Vlasov equation. We use the forward Euler method to solve this equation. The resulting forward Euler map pushes forward a reference distribution to the target. This map is the composition of a sequence of simple resulting forward and the sequence of sequence of simple resulting forward and the sequence of sequence

esidual maps, which are computationally stable and easy to train. The key task in training is the estimation of the density ratios or differences that determine the residual maps. We estimate the density ratios (differences) based on the Br egman divergence with a gradient penalty using deep density-ratio (difference) fitting. We show that the proposed density-ratio (difference) estimators do not suffer from the `curse of dimensionality" if data is supported on a lower-dimensional manifold. Numerical experiments with multi-mode synthetic datasets and com parisons with the existing methods on real benchmark datasets support our theore tical results and demonstrate the effectiveness of the proposed method.

Learning Flexible Classifiers with Shot-CONditional Episodic (SCONE) Training Eleni Triantafillou, Vincent Dumoulin, Hugo Larochelle, Richard Zemel

Early few-shot classification work advocates for episodic training, i.e. trainin g over learning episodes each posing a few-shot classification task. However, th e role of this training regime remains poorly understood, and its usefulness is still debated. Standard classification training methods (``pre-training'') follo wed by episodic fine-tuning have recently achieved strong results. This work aim s to understand the role of this episodic fine-tuning phase through an explorati on of the effect of the ``shot'' setting (number of examples per class) that is used during fine-tuning. We discover that fine-tuning on episodes of a particula r shot can specialize the pre-trained model to solving episodes of that shot at the expense of performance on other shots, in agreement with a trade-off recentl y observed in the context of end-to-end episodic training. To amend this, we pro pose a shot-conditional form of episodic fine-tuning, inspired from recent work that trains a single model on a distribution of losses. Our investigation shows that this improves overall performance, without suffering disproportionately on any shot. We also examine the usefulness of this approach on the large-scale Met a-Dataset benchmark where test episodes exhibit varying shots and imbalanced cla sses. We find that our flexible model improves performance in that challenging e nvironment.

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Breaking the Expressive Bottlenecks of Graph Neural Networks Mingqi Yang, Yanming Shen, Heng Qi, Baocai Yin

Recently, the Weisfeiler-Lehman (WL) graph isomorphism test was used to measure the expressiveness of graph neural networks (GNNs), showing that the neighborhoo d aggregation GNNs were at most as powerful as 1-WL test in distinguishing graph structures. There were also improvements proposed in analogy to \$k\$-WL test (\$k >1\$). However, the aggregators in these GNNs are far from injective as required by the WL test, and suffer from weak distinguishing strength, making it become e xpressive bottlenecks. In this paper, we improve the expressiveness by exploring powerful aggregators. We reformulate aggregation with the corresponding aggrega tion coefficient matrix, and then systematically analyze the requirements of the aggregation coefficient matrix for building more powerful aggregators and even injective aggregators. It can also be viewed as the strategy for preserving the rank of hidden features, and implies that basic aggregators correspond to a spec ial case of low-rank transformations. We also show the necessity of applying non linear units ahead of aggregation, which is different from most aggregation-base d GNNs. Based on our theoretical analysis, we develop two GNN layers, ExpandingC onv and CombConv. Experimental results show that our models significantly boost performance, especially for large and densely connected graphs.

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Iterative Empirical Game Solving via Single Policy Best Response

Max Smith, Thomas Anthony, Michael Wellman

Policy-Space Response Oracles (PSRO) is a general algorithmic framework for lear ning policies in multiagent systems by interleaving empirical game analysis with deep reinforcement learning (DRL).

At each iteration, DRL is invoked to train a best response to a mixture of oppon ent policies.

The repeated application of DRL poses an expensive computational burden as we lo ok to apply this algorithm to more complex domains.

We introduce two variations of PSRO designed to reduce the amount of simulation required during DRL training.

Both algorithms modify how PSRO adds new policies to the empirical game, based on learned responses to a single opponent policy.

The first, Mixed-Oracles, transfers knowledge from previous iterations of DRL, r equiring training only against the opponent's newest policy.

The second, Mixed-Opponents, constructs a pure-strategy opponent by mixing exist ing strategy's action-value estimates, instead of their policies.

Learning against a single policy mitigates conflicting experiences on behalf of a learner facing an unobserved distribution of opponents.

We empirically demonstrate that these algorithms substantially reduce the amount of simulation during training required by PSRO, while producing equivalent or b etter solutions to the game.

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Differential-Critic GAN: Generating What You Want by a Cue of Preferences Yinghua Yao, Yuangang Pan, Ivor Tsang, Xin Yao

This paper proposes Differential-Critic Generative Adversarial Network (DiCGAN) to learn the distribution of user-desired data when only partial instead of the entire dataset possesses the desired properties. Existing approaches select the desired samples first and train regular GANs on the selected samples to derive the user-desired data distribution. DiCGAN introduces a differential critic that can learn the preference direction from the pairwise preferences over the entire dataset. The resultant critic would guide the generation of the desired data in stead of the whole data. Specifically, apart from the Wasserstein GAN loss, a ranking loss of the pairwise preferences is defined over the critic. It endows the difference of critic values between each pair of samples with the pairwise preference relation. The higher critic value indicates that the sample is preferred by the user. Thus training the generative model for higher critic values would encourage generating the user-preferred samples. Extensive experiments show that our DiCGAN can learn the user-desired data distributions.

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Learning Neural Generative Dynamics for Molecular Conformation Generation Minkai Xu, Shitong Luo, Yoshua Bengio, Jian Peng, Jian Tang

We study how to generate molecule conformations (i.e., 3D structures) from a mol ecular graph. Traditional methods, such as molecular dynamics, sample conformati ons via computationally expensive simulations. Recently, machine learning method s have shown great potential by training on a large collection of conformation d ata. Challenges arise from the limited model capacity for capturing complex dist ributions of conformations and the difficulty in modeling long-range dependencie s between atoms. Inspired by the recent progress in deep generative models, in t his paper, we propose a novel probabilistic framework to generate valid and dive rse conformations given a molecular graph. We propose a method combining the adv antages of both flow-based and energy-based models, enjoying: (1) a high model c apacity to estimate the multimodal conformation distribution; (2) explicitly cap turing the complex long-range dependencies between atoms in the observation space e. Extensive experiments demonstrate the superior performance of the proposed me thod on several benchmarks, including conformation generation and distance model ing tasks, with a significant improvement over existing generative models for mo lecular conformation sampling.

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Learning the Pareto Front with Hypernetworks Aviv Navon, Aviv Shamsian, Ethan Fetaya, Gal Chechik

Multi-objective optimization (MOO) problems are prevalent in machine learning. These problems have a set of optimal solutions, called the Pareto front, where each point on the front represents a different trade-off between possibly conflicting objectives. Recent MOO methods can target a specific desired ray in loss space however, most approaches still face two grave limitations: (i) A separate model has to be trained for each point on the front; and (ii) The exact trade-off must be known before the optimization process. Here, we tackle the problem of learning the entire Pareto front, with the capability of selecting a desired operat

ing point on the front after training. We call this new setup Pareto-Front Learn ing (PFL).

We describe an approach to PFL implemented using HyperNetworks, which we term Pa reto HyperNetworks (PHNs). PHN learns the entire Pareto front simultaneously using a single hypernetwork, which receives as input a desired preference vector and returns a Pareto-optimal model whose loss vector is in the desired ray. The unified model is runtime efficient compared to training multiple models and genera lizes to new operating points not used during training. We evaluate our method on a wide set of problems, from multi-task regression and classification to fairn ess. PHNs learn the entire Pareto front at roughly the same time as learning a single point on the front and at the same time reach a better solution set. PFL opens the door to new applications where models are selected based on preferences that are only available at run time.

Characterizing Lookahead Dynamics of Smooth Games Junsoo Ha, Gunhee Kim

As multi-agent systems proliferate in machine learning research, games have attr acted much attention as a framework to understand optimization of multiple inter acting objectives. However, a key challenge in game optimization is that, in gen eral, there is no guarantee for usual gradient-based methods to converge to a lo cal solution of the game. The latest work by Chavdarova et al. (2020) report tha t Lookahead optimizer (Zhang et al. (2019)) significantly improves the performan ce of Generative Adversarial Networks (GANs) and reduces the rotational force of bilinear games. While promising, their observations were purely empirical, and Lookahead optimization of smooth games still lacks theoretical understanding. In this paper, we fill this gap by theoretically characterizing Lookahead dynamics of smooth games. We provide an intuitive geometric explanation on how and when Lookahead can improve game dynamics in terms of stability and convergence. Furth ermore, we present sufficient conditions under which Lookahead optimization of b ilinear games provably stabilizes or accelerates convergence to a Nash equilibri um of the game. Finally, we show that Lookahead optimizer preserves locally asym ptotically stable equilibria of base dynamics and can either stabilize or accele rate the local convergence to a given equilibrium with proper assumptions. We ve rify each of our theoretical predictions by conducting numerical experiments on two-player zero-sum (non-linear) games.

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Recurrent Neural Network Architecture based on Dynamic Systems Theory for Data D riven Modelling of Complex Physical Systems
Deniz Neufeld

While dynamic systems can be modelled as sequence-to-sequence tasks by deep le arning using different network architectures like DNN, CNN, RNNs or neural ODEs, the resulting models often provide poor understanding of the underlying system properties. We propose a new recurrent network architecture, the Dynamic Recurre nt Network, where the computation function is based on the discrete difference e quations of basic linear system transfer functions known from dynamic system ide ntification. This results in a more explainable model, since the learnt weights can provide insight on a system's time dependent behaviour. It also introduces the sequences' sampling rate as an additional model parameter, which can be lev eraged, for example, for time series data augmentation and model robustness checks. The network is trained using traditional gradient descent optimization and c an be used in combination with other state of the art neural network layers. We show that our new layer type yields results comparable to or better than other r ecurrent layer types on several system identification tasks.

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A new accelerated gradient method inspired by continuous-time perspective Yasong Feng, Weiguo Gao

Nesterov's accelerated method are widely used in problems with machine learning background including deep learning. To give more insight about the acceleration phenomenon, an ordinary differential equation was obtained from Nesterov's accel

erated method by taking step sizes approaching zero, and the relationship betwee n Nesterov's method and the differential equation is still of research interest. In this work, we give the precise order of the iterations of Nesterov's acceler ated method converging to the solution of derived differential equation as step sizes go to zero. We then present a new accelerated method with higher order. The new method is more stable than ordinary method for large step size and converges faster. We further apply the new method to matrix completion problem and show its better performance through numerical experiments.

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Intervention Generative Adversarial Nets

Jiadong Liang, Liangyu Zhang, Cheng Zhang, Zhihua Zhang

In this paper we propose a novel approach for stabilizing the training process of Generative Adversarial Networks as well as alleviating the mode collapse problem. The main idea is to incorporate a regularization term that we call intervent ion into the objective. We refer to the resulting generative model as Intervention Generative Adversarial Networks (IVGAN). By perturbing the latent representations of real images obtained from an auxiliary encoder network with Gaussian invariant interventions and penalizing the dissimilarity of the distributions of the resulting generated images, the intervention term provides more informative gradient for the generator, significantly improving training stability and encouraging modecovering behaviour. We demonstrate the performance of our approach via solid theoretical analysis and thorough evaluation on standard real-world datase ts as well as the stacked MNIST dataset.

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Ask Your Humans: Using Human Instructions to Improve Generalization in Reinforce ment Learning

Valerie Chen, Abhinav Gupta, Kenneth Marino

Complex, multi-task problems have proven to be difficult to solve efficiently in a sparse-reward reinforcement learning setting. In order to be sample efficient, multi-task learning requires reuse and sharing of low-level policies. To facil itate the automatic decomposition of hierarchical tasks, we propose the use of s tep-by-step human demonstrations in the form of natural language instructions and action trajectories. We introduce a dataset of such demonstrations in a crafting-based grid world. Our model consists of a high-level language generator and low-level policy, conditioned on language. We find that human demonstrations help solve the most complex tasks. We also find that incorporating natural language allows the model to generalize to unseen tasks in a zero-shot setting and to learn quickly from a few demonstrations. Generalization is not only reflected in the actions of the agent, but also in the generated natural language instructions in unseen tasks. Our approach also gives our trained agent interpretable behaviors because it is able to generate a sequence of high-level descriptions of its a ctions.

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Density estimation on low-dimensional manifolds: an inflation-deflation approach Christian Horvat

Normalizing Flows (NFs) are universal density estimators based on Neuronal Netwo rks. However, this universality is limited: the density's support needs to be di ffeomorphic to a Euclidean space. In this paper, we propose a novel method to ov ercome this limitation without sacrificing the universality. The proposed method inflates the data manifold by adding noise in the normal space, trains an NF on this inflated manifold and, finally, deflates the learned density. Our main res ult provides sufficient conditions on the manifold and the specific choice of no ise under which the corresponding estimator is exact. Our method has the same co mputational complexity as NFs, and does not require to compute an inverse flow. We also show that, if the embedding dimension is much larger than the manifold dimension, noise in the normal space can be well approximated by some Gaussian no ise. This allows using our method for approximating arbitrary densities on non-f lat manifolds provided that the manifold dimension is known.

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Correcting Momentum in Temporal Difference Learning Emmanuel Bengio, Joelle Pineau, Doina Precup

A common optimization tool used in deep reinforcement learning is momentum, which consists in accumulating and discounting past gradients, reapplying them at each iteration. We argue that, unlike in supervised learning, momentum in Temporal Difference (TD) learning accumulates gradients that become doubly stale: not on ly does the gradient of the loss change due to parameter updates, the loss itself changes due to bootstrapping. We first show that this phenomenon exists, and then propose a first-order correction term to momentum. We show that this correct ion term improves sample efficiency in policy evaluation by correcting target value drift. An important insight of this work is that deep RL methods are not always best served by directly importing techniques from the supervised setting.

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TRIP: Refining Image-to-Image Translation via Rival Preferences Yinghua Yao, Yuangang Pan, Ivor Tsang, Xin Yao

We propose a new model to refine image-to-image translation via an adversarial r anking process. In particular, we simultaneously train two modules: a generator that translates an input image to the desired image with smooth subtle changes w ith respect to some specific attributes; and a ranker that ranks rival preferences consisting of the input image and the desired image. Rival preferences refer to the adversarial ranking process: (1) the ranker thinks no difference between the desired image and the input image in terms of the desired attributes; (2) the generator fools the ranker to believe that the desired image changes the attributes over the input image as desired. Real image preferences are introduced to guide the ranker to rank image pairs regarding the interested attributes only. W ith an effective ranker, the generator would "win" the adversarial game by producing high-quality images that present desired changes over the attributes compared to the input image. The experiments demonstrate that our TRIP can generate high-fidelity images which exhibit smooth changes with the strength of the attributes.

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Efficient Neural Machine Translation with Prior Word Alignment Jeonghyeok Park, hai zhao

Prior word alignment has been shown indeed helpful for a better translation if s uch prior is good enough and can be acquired in a convenient way at the same tim e. Traditionally, word alignment can be learned through statistical machine tran slation (SMT) models. In this paper, we propose a novel method that infuses prio r word alignment information into neural machine translation (NMT) to provide hi nts or guidelines for the target sentence at running time. To this end, previous works of similar approaches should build dictionaries for specific domains, or constraint the decoding process, or both. While being effective to some extent, these methods may greatly affect decoding speed and hurt translation flexibility and efficiency. Instead, this paper introduces an enhancement learning model, which can learn how to directly replace specific source words with their target counterparts according to prior alignment information. The proposed model is the n inserted into a neural MT model and augments MT input with the additional targ et information from the learning model in an effective and more efficient way. O ur novel method achieves BLEU improvements (up to 1.1) over a strong baseline mo del on English-Korean and English-Romanian translation tasks.

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Globally Injective ReLU networks

Michael Puthawala, Konik Kothari, Matti Lassas, Ivan Dokmani, Maarten V. de Hoop Injectivity plays an important role in generative models where it enables infere nce; in inverse problems and compressed sensing with generative priors it is a p recursor to well posedness. We establish sharp characterizations of injectivity of fully-connected and convolutional ReLU layers and networks. First, through a layerwise analysis, we show that an expansivity factor of two is necessary and s ufficient for injectivity by constructing appropriate weight matrices. We show that global injectivity with iid Gaussian matrices, a commonly used tractable model, requires larger expansivity between 3.4 and 10.5. We also characterize the s

tability of inverting an injective network via worst-case Lipschitz constants of the inverse. We then use arguments from differential topology to study injectiv ity of deep networks and prove that any Lipschitz map can be approximated by an injective ReLU network. Finally, using an argument based on random projections, we show that an end-to-end---rather than layerwise---doubling of the dimension s uffices for injectivity. Our results establish a theoretical basis for the study of nonlinear inverse and inference problems using neural networks.

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Taming GANs with Lookahead-Minmax

Tatjana Chavdarova, Matteo Pagliardini, Sebastian U Stich, François Fleuret, Martin Jaggi

Generative Adversarial Networks are notoriously challenging to train. The underl ying minmax optimization is highly susceptible to the variance of the stochastic gradient and the rotational component of the associated game vector field. To t ackle these challenges, we propose the Lookahead algorithm for minmax optimizati on, originally developed for single objective minimization only. The backtrackin g step of our Lookahead-minmax naturally handles the rotational game dynamics, a property which was identified to be key for enabling gradient ascent descent me thods to converge on challenging examples often analyzed in the literature. More over, it implicitly handles high variance without using large mini-batches, know n to be essential for reaching state of the art performance. Experimental result s on MNIST, SVHN, CIFAR-10, and ImageNet demonstrate a clear advantage of combin ing Lookahead-minmax with Adam or extragradient, in terms of performance and imp roved stability, for negligible memory and computational cost. Using 30-fold few er parameters and 16-fold smaller minibatches we outperform the reported perform ance of the class-dependent BigGAN on CIFAR-10 by obtaining FID of 12.19 without using the class labels, bringing state-of-the-art GAN training within reach of common computational resources.

Uniform Priors for Data-Efficient Transfer

Samarth Sinha, Karsten Roth, Anirudh Goyal, Marzyeh Ghassemi, Hugo Larochelle, Animes h Garg

Deep Neural Networks have shown great promise on a variety of downstream applica tions; but their ability to adapt and generalize to new data and tasks remains a challenging problem. However, the ability to perform few or zero-shot adaptatio n to novel tasks is important for the scalability and deployment of machine lear ning models. It is therefore crucial to understand what makes for good, transfe rable features in deep networks that best allow for such adaptation. In this pa per, we shed light on this by showing that features that are most transferable h ave high uniformity in the embedding space and propose a uniformity regularizati on scheme that encourages better transfer and feature reuse. We evaluate the re qularization on its ability to facilitate adaptation to unseen tasks and data, f or which we conduct a thorough experimental study covering four relevant, and di stinct domains: few-shot Meta-Learning, Deep Metric Learning, Zero-Shot Domain Adaptation, as well as Out-of-Distribution classification. Across all experime nts, we show that uniformity regularization consistently offers benefits over b aseline methods and is able to achieve state-of-the-art performance in Deep Metr ic Learning and Meta-Learning.

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Why Does Decentralized Training Outperform Synchronous Training In The Large Bat ch Setting?

Wei Zhang, Mingrui Liu, Yu Feng, Brian Kingsbury, Yuhai Tu

Distributed Deep Learning (DDL) is essential for large-scale Deep Learning (DL) training. Using a sufficiently large batch size is critical to achieving DDL run time speedup. In a large batch setting, the learning rate must be increased to c ompensate for the reduced number of parameter updates. However, a large batch si ze may converge to sharp minima with poor generalization, and a large learning r ate may harm convergence. Synchronous Stochastic Gradient Descent (SSGD) is the de facto DDL optimization method. Recently, Decentralized Parallel SGD (DPSGD) h as been proven to achieve a similar convergence rate as SGD and to guarantee lin

ear speedup for non-convex optimization problems. While there was anecdotal evid ence that DPSGD outperforms SSGD in the large-batch setting, no systematic study has been conducted to explain why this is the case. Based on a detailed analysi s of the DPSGD learning dynamics, we find that DPSGD introduces additional lands cape-dependent noise, which has two benefits in the large-batch setting: 1) it a utomatically adjusts the learning rate to improve convergence; 2) it enhances we ight space search by escaping local traps (e.g., saddle points) to find flat min ima with better generalization. We conduct extensive studies over 12 state-of-th e-art DL models/tasks and demonstrate that DPSGD consistently outperforms SSGD in the large batch setting;

and DPSGD converges in cases where SSGD diverges for large learning rates. Our findings are consistent across different application domains, Computer Vision a nd Automatic Speech Recognition, and different neural network models, Convolutio nal Neural Networks and Long Short-Term Memory Recurrent Neural Networks.

Uncertainty in Gradient Boosting via Ensembles

Andrey Malinin, Liudmila Prokhorenkova, Aleksei Ustimenko

For many practical, high-risk applications, it is essential to quantify uncertainty in a model's predictions to avoid costly mistakes. While predictive uncertainty is widely studied for neural networks, the topic seems to be under-explored for models based on gradient boosting. However, gradient boosting often achieves state-of-the-art results on tabular data. This work examines a probabilistic ensemble-based framework for deriving uncertainty estimates in the predictions of gradient boosting classification and regression models. We conducted experiments on a range of synthetic and real datasets and investigated the applicability of ensemble approaches to gradient boosting models that are themselves ensembles of decision trees. Our analysis shows that ensembles of gradient boosting models successfully detect anomalous inputs while having limited ability to improve the predicted total uncertainty. Importantly, we also propose a concept of a virtual ensemble to get the benefits of an ensemble via only one gradient boosting model, which significantly reduces complexity.

Experience Replay with Likelihood-free Importance Weights Samarth Sinha, Jiaming Song, Animesh Garg, Stefano Ermon

The use of past experiences to accelerate temporal difference (TD) learning of v alue functions, or experience replay, is a key component in deep reinforcement 1 earning. In this work, we propose to reweight experiences based on their likelih ood under the stationary distribution of the current policy, and justify this wi th a contraction argument over the Bellman evaluation operator. The resulting TD objective encourages small approximation errors on the value function over freq uently encountered states. To balance bias and variance in practice, we use a likelihood-free density ratio estimator between on-policy and off-policy experiences, and use the ratios as the prioritization weights. We apply the proposed approach empirically on three competitive methods, Soft Actor Critic (SAC), Twin Delayed Deep Deterministic policy gradient (TD3) and Data-regularized Q (DrQ), over 11 tasks from OpenAI gym and DeepMind control suite. We achieve superior sample complexity on 35 out of 45 method-task combinations compared to the best baseline and similar sample complexity on the remaining 10.

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Cluster & Tune: Enhance BERT Performance in Low Resource Text Classification Eyal Shnarch, Ariel Gera, Alon Halfon, Lena Dankin, Leshem Choshen, Ranit Aharonov, No am Slonim

In data-constrained cases, the common practice of fine-tuning BERT for a target text classification task is prone to producing poor performance. In such low res ources scenarios, we suggest performing an unsupervised classification task prio r to fine-tuning on the target task.

Specifically, as such an intermediate task, we perform unsupervised clustering, training BERT on predicting the cluster labels. We test this hypothesis on vario us data sets, and show that this additional classification step can reduce the demand for labeled examples.

We further discuss under which conditions this task is helpful and why.

Adversarial score matching and improved sampling for image generation Alexia Jolicoeur-Martineau, Rémi Piché-Taillefer, Ioannis Mitliagkas, Remi Tachet des Combes

Denoising Score Matching with Annealed Langevin Sampling (DSM-ALS) has recently found success in generative modeling. The approach works by first training a neu ral network to estimate the score of a distribution, and then using Langevin dyn amics to sample from the data distribution assumed by the score network. Despite the convincing visual quality of samples, this method appears to perform worse than Generative Adversarial Networks (GANs) under the Fréchet Inception Distance, a standard metric for generative models. We show that this apparent gap vanish es when denoising the final Langevin samples using the score network.

In addition, we propose two improvements to DSM-ALS: 1) Consistent Annealed Sam pling as a more stable alternative to Annealed Langevin Sampling, and 2) a hybri d training formulation, composed of both Denoising Score Matching and adversaria l objectives. By combining these two techniques and exploring different network architectures, we elevate score matching methods and obtain results competitive with state-of-the-art image generation on CIFAR-10.

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Straight to the Gradient: Learning to Use Novel Tokens for Neural Text Generation

Xiang Lin, SIMENG HAN, Shafiq Joty

Advanced large-scale neural language models have led to significant success in m any natural language generation tasks. However, the most commonly used training objective, Maximum Likelihood Estimation (MLE), has been shown to be problematic, where the trained model prefers using dull and repetitive phrases. In this work, we introduce ScaleGrad, a modification straight to the gradient of the loss function, to remedy the degeneration issues of the standard MLE objective. By directly maneuvering the gradient information, ScaleGrad makes the model learn to use novel tokens during training. Empirical results show the effectiveness of our method not only in open-ended generation, but also in directed generation. With the simplicity in architecture, our method can serve as a general training objective that is applicable to most of the neural text generation tasks.

FastSpeech 2: Fast and High-Quality End-to-End Text to Speech Yi Ren, Chenxu Hu, Xu Tan, Tao Qin, Sheng Zhao, Zhou Zhao, Tie-Yan Liu

Non-autoregressive text to speech (TTS) models such as FastSpeech can synthesize speech significantly faster than previous autoregressive models with comparable quality. The training of FastSpeech model relies on an autoregressive teacher m odel for duration prediction (to provide more information as input) and knowledg e distillation (to simplify the data distribution in output), which can ease the one-to-many mapping problem (i.e., multiple speech variations correspond to the same text) in TTS. However, FastSpeech has several disadvantages: 1) the teache r-student distillation pipeline is complicated and time-consuming, 2) the durati on extracted from the teacher model is not accurate enough, and the target mel-s pectrograms distilled from teacher model suffer from information loss due to dat a simplification, both of which limit the voice quality. In this paper, we propo se FastSpeech 2, which addresses the issues in FastSpeech and better solves the one-to-many mapping problem in TTS by 1) directly training the model with ground -truth target instead of the simplified output from teacher, and 2) introducing more variation information of speech (e.g., pitch, energy and more accurate dura tion) as conditional inputs. Specifically, we extract duration, pitch and energy from speech waveform and directly take them as conditional inputs in training a nd use predicted values in inference. We further design FastSpeech 2s, which is the first attempt to directly generate speech waveform from text in parallel, en joying the benefit of fully end-to-end inference. Experimental results show that 1) FastSpeech 2 achieves a 3x training speed-up over FastSpeech, and FastSpeech 2s enjoys even faster inference speed; 2) FastSpeech 2 and 2s outperform FastSp eech in voice quality, and FastSpeech 2 can even surpass autoregressive models.

Audio samples are available at https://speechresearch.github.io/fastspeech2/.

Interpretable Models for Granger Causality Using Self-explaining Neural Networks Ri■ards Marcinkevi■s,Julia E Vogt

Exploratory analysis of time series data can yield a better understanding of com plex dynamical systems. Granger causality is a practical framework for analysing interactions in sequential data, applied in a wide range of domains. In this pa per, we propose a novel framework for inferring multivariate Granger causality u nder nonlinear dynamics based on an extension of self-explaining neural networks. This framework is more interpretable than other neural-network-based technique s for inferring Granger causality, since in addition to relational inference, it also allows detecting signs of Granger-causal effects and inspecting their vari ability over time. In comprehensive experiments on simulated data, we show that our framework performs on par with several powerful baseline methods at inferring Granger causality and that it achieves better performance at inferring interaction signs. The results suggest that our framework is a viable and more interpretable alternative to sparse-input neural networks for inferring Granger causality.

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Reducing Implicit Bias in Latent Domain Learning Lucas Deecke, Timothy Hospedales, Hakan Bilen

A fundamental shortcoming of deep neural networks is their specialization to a single task and domain. While recent techniques in multi-domain learning enable the learning of more domain-agnostic features, their success relies firmly on the presence of domain labels, typically requiring manual annotation and careful curation of datasets. Here we focus on latent domain learning, a highly realistic, yet less explored scenario: learning from data from different domains, without access to domain annotations. This is a particularly challenging problem, since standard models exhibit an implicit bias toward learning only the large domains in data, while disregarding smaller ones. To address this issue, we propose dynamic residual adapters that adaptively account for latent domains, and weighted domain transfer - a novel augmentation strategy designed specifically for this se tting. Our techniques are evaluated on image classification tasks containing mul tiple unannotated domains, and we demonstrate they enhance performance, in particular, on the smallest of these.

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Learning Deep Features in Instrumental Variable Regression

Liyuan Xu,Yutian Chen,Siddarth Srinivasan,Nando de Freitas,Arnaud Doucet,Arthur Gretton

Instrumental variable (IV) regression is a standard strategy for learning causal relationships between confounded treatment and outcome variables from observati onal data by using an instrumental variable, which affects the outcome only thro ugh the treatment. In classical IV regression, learning proceeds in two stages: stage 1 performs linear regression from the instrument to the treatment; and stage 2 performs linear regression from the treatment to the outcome, conditioned on the instrument. We propose a novel method, deep feature instrumental variable regression (DFIV), to address the case where relations between instruments, treatments, and outcomes may be nonlinear. In this case, deep neural nets are trained to define informative nonlinear features on the instruments and treatments. We propose an alternating training regime for these features to ensure good end-to-end performance when composing stages 1 and 2, thus obtaining highly flexible feature maps in a computationally efficient manner.

DFIV outperforms recent state-of-the-art methods on challenging IV benchmarks, i ncluding settings involving high dimensional image data. DFIV also exhibits comp etitive performance in off-policy policy evaluation for reinforcement learning, which can be understood as an IV regression task.

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Causal Screening to Interpret Graph Neural Networks
Xiang Wang, Yingxin Wu, An Zhang, Xiangnan He, Tat-seng Chua
With the growing success of graph neural networks (GNNs), the explainability of

GNN is attracting considerable attention. However, current works on feature attribution, which frame explanation generation as attributing a prediction to the graph features, mostly focus on the statistical interpretability. They may strugg le to distinguish causal and noncausal effects of features, and quantify redunda ncy among features, thus resulting in unsatisfactory explanations. In this work, we focus on the causal interpretability in GNNs and propose a method, Causal Sc reening, from the perspective of cause-effect. It incrementally selects a graph feature (i.e., edge) with large causal attribution, which is formulated as the individual causal effect on the model outcome. As a model-agnostic tool, Causal Sc creening can be used to generate faithful and concise explanations for any GNN model. Further, by conducting extensive experiments on three graph classi■cation datasets, we observe that Causal Screening achieves signi■cant improvements over state-of-the-art approaches w.r.t. two quantitative metrics: predictive accuracy, contrastivity, and safely passes sanity checks.

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Statistical inference for individual fairness

Subha Maity, Songkai Xue, Mikhail Yurochkin, Yuekai Sun

As we rely on machine learning (ML) models to make more consequential decisions, the issue of ML models perpetuating unwanted social biases has come to the fore of the public's and the research community's attention. In this paper, we focus on the problem of detecting violations of individual fairness in ML models. We formalize the problem as measuring the susceptibility of ML models against a for m of adversarial attack and develop a suite of inference tools for the adversarial loss. The tools allow practitioners to assess the individual fairness of ML m odels in a statistically-principled way: form confidence intervals for the adversarial loss and test hypotheses of model fairness with (asymptotic) non-coverage /Type I error rate control. We demonstrate the utility of our tools in a real-wo rld case study.

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Self-Supervised Policy Adaptation during Deployment

Nicklas Hansen, Rishabh Jangir, Yu Sun, Guillem Alenyà, Pieter Abbeel, Alexei A Efros, Lerrel Pinto, Xiaolong Wang

In most real world scenarios, a policy trained by reinforcement learning in one environment needs to be deployed in another, potentially quite different environment. However, generalization across different environments is known to be hard.

A natural solution would be to keep training after deployment in the new environment, but this cannot be done if the new environment offers no reward signal. Our work explores the use of self-supervision to allow the policy to continue training after deployment without using any rewards. While previous methods explicitly anticipate changes in the new environment, we assume no prior knowledge of those changes yet still obtain significant improvements. Empirical evaluations are performed on diverse simulation environments from DeepMind Control suite and ViZDoom, as well as real robotic manipulation tasks in continuously changing environments, taking observations from an uncalibrated camera. Our method improves generalization in 31 out of 36 environments across various tasks and outperforms domain randomization on a majority of environments. Webpage and implementation: https://nicklashansen.github.io/PAD/.

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Disentangled Generative Causal Representation Learning

Xinwei Shen, Furui Liu, Hanze Dong, Qing LIAN, Zhitang Chen, Tong Zhang

This paper proposes a Disentangled gEnerative cAusal Representation (DEAR) learn ing method. Unlike existing disentanglement methods that enforce independence of the latent variables, we consider the general case where the underlying factors of interests can be causally correlated. We show that previous methods with ind ependent priors fail to disentangle causally related factors. Motivated by this finding, we propose a new disentangled learning method called DEAR that enables causal controllable generation and causal representation learning. The key ingre dient of this new formulation is to use a structural causal model (SCM) as the prior for a bidirectional generative model. A generator is then trained jointly with an encoder using a suitable GAN loss. Theoretical justification on the propo

sed formulation is provided, which guarantees disentangled causal representation learning under appropriate conditions. We conduct extensive experiments on both synthesized and real datasets to demonstrate the effectiveness of DEAR in causal controllable generation, and the benefits of the learned representations for downstream tasks in terms of sample efficiency and distributional robustness.

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Randomized Ensembled Double Q-Learning: Learning Fast Without a Model Xinyue Chen, Che Wang, Zijian Zhou, Keith W. Ross

Using a high Update-To-Data (UTD) ratio, model-based methods have recently achie ved much higher sample efficiency than previous model-free methods for continuou s-action DRL benchmarks. In this paper, we introduce a simple model-free algorit hm, Randomized Ensembled Double Q-Learning (REDQ), and show that its performance is just as good as, if not better than, a state-of-the-art model-based algorith m for the MuJoCo benchmark. Moreover, REDQ can achieve this performance using fe wer parameters than the model-based method, and with less wall-clock run time. R EDQ has three carefully integrated ingredients which allow it to achieve its high performance: (i) a UTD ratio \$\gg 1\\$; (ii) an ensemble of Q functions; (iii) in-target minimization across a random subset of Q functions from the ensemble. Through carefully designed experiments, we provide a detailed analysis of REDQ and related model-free algorithms. To our knowledge, REDQ is the first successful model-free DRL algorithm for continuous-action spaces using a UTD ratio \$\gg 1\\$.

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Graph Deformer Network

Wenting Zhao, Yuan Fang, Zhen Cui, Tong Zhang, Jian Yang, Wei Liu

Convolution learning on graphs draws increasing attention recently due to its po tential applications to a large amount of irregular data. Most graph convolution methods leverage the plain summation/average aggregation to avoid the discrepan cy of responses from isomorphic graphs. However, such an extreme collapsing way would result in a structural loss and signal entanglement of nodes, which furthe r cause the degradation of the learning ability. In this paper, we propose a sim ple yet effective graph deformer network (GDN) to fulfill anisotropic convolutio n filtering on graphs, analogous to the standard convolution operation on image s. Local neighborhood subgraphs (acting like receptive fields) with different st ructures are deformed into a unified virtual space, coordinated by several ancho r nodes. In space deformation, we transfer components of nodes therein into anch ors by learning their correlation, and build a pseudo multi-granularity plane ca librated with anchors. Anisotropic convolutional kernels can be further performe d over the anchor-coordinated space to well encode local variations of receptive fields. By parameterizing anchors and stacking coarsening layers, we build a gr aph deformer network in an end-to-end fashion. Theoretical analysis indicates it s connection to previous work and shows the promising property of isomorphism te sting. Extensive experiments on widely-used datasets validate the effectiveness of the proposed GDN in node and graph classifications.

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There is no trade-off: enforcing fairness can improve accuracy Subha Maity, Debarghya Mukherjee, Mikhail Yurochkin, Yuekai Sun

One of the main barriers to the broader adoption of algorithmic fairness in mach ine learning is the trade-off between fairness and performance of ML models: man y practitioners are unwilling to sacrifice the performance of their ML model for fairness. In this paper, we show that this trade-off may not be necessary. If the algorithmic biases in an ML model are due to sampling biases in the training data, then enforcing algorithmic fairness may improve the performance of the ML model on unbiased test data. We study conditions under which enforcing algorithmic fairness helps practitioners learn the Bayes decision rule for (unbiased) test data from biased training data. We also demonstrate the practical implications of our theoretical results in real-world ML tasks.

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DROPS: Deep Retrieval of Physiological Signals via Attribute-specific Clinical P rototypes

Dani Kiyasseh, Tingting Zhu, David A. Clifton

The ongoing digitization of health records within the healthcare industry result s in large-scale datasets. Manually extracting clinically-useful insight from su ch datasets is non-trivial. However, doing so at scale while simultaneously leve raging patient-specific attributes such as sex and age can assist with clinical-trial enrollment, medical school educational endeavours, and the evaluation of t he fairness of neural networks. To facilitate the reliable extraction of clinical information, we propose to learn embeddings, known as clinical prototypes (CPs), via supervised contrastive learning. We show that CPs can be efficiently used for large-scale retrieval and clustering of physiological signals based on mult iple patient attributes. We also show that CPs capture attribute-specific semant ic relationships.

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Can one hear the shape of a neural network?: Snooping the GPU via Magnetic Side Channel

Henrique Teles Maia, Chang Xiao, Dingzeyu Li, Eitan Grinspun, Changxi Zheng We examine the magnetic flux emanating from a graphics processing unit 's (GPU's) power cable, as acquired by a cheap \$3 induction sensor, and find tha t this signal betrays the detailed topology and hyperparameters of a black-box n eural network model. The attack acquires the magnetic signal for one query with unknown input values, but known input dimension and batch size. The reconstruct ion is possible due to the modular layer sequence in which deep neural networks are evaluated. We find that each layer component's evaluation produces an identi fiable magnetic signal signature, from which layer topology, width, function typ e, and sequence order can be inferred using a suitably trained classifier and an optimization based on integer programming. We study the extent to which networ k specifications can be recovered, and consider metrics for comparing network si milarity. We demonstrate the potential accuracy of this side channel attack in r ecovering the details for a broad range of network architectures including also random designs. We consider applications that may exploit this novel side chann el exposure, such as adversarial transfer attacks. In response, we discuss count ermeasures to protect against our method and other similar snooping techniques. \*\*\*\*\*\*\*\*\*\*\*\*

PGPS: Coupling Policy Gradient with Population-based Search Namyong Kim, Hyunsuk Baek, Hayong Shin

Gradient-based policy search algorithms (such as PPO, SAC or TD3) in deep reinfo rcement learning (DRL) have shown successful results on a range of challenging c ontrol tasks. However, they often suffer from flat or deceptive gradient problem s. As an alternative to policy gradient methods, population-based evolutionary a pproaches have been applied to DRL. While population-based search algorithms sho w more robust learning in a broader range of tasks, they are usually inefficient in the use of samples. Recently, reported are a few attempts (such as CEMRL) to combine gradient with a population in searching optimal policy. This kind of hy brid algorithm takes advantage of both camps. In this paper, we propose yet anot her hybrid algorithm, which more tightly couples policy gradient with the popula tion-based search. More specifically, we use the Cross-Entropy Method (CEM) for population-based search and Twin Delayed Deep Deterministic Policy Gradient (TD3 ) for policy gradient. In the proposed algorithm called Coupling Policy Gradient with Population-based Search (PGPS), a single TD3 agent, which learns by a grad ient from all experiences generated by population, leads a population by providi ng its critic function Q as a surrogate to select better performing next-generat ion population from candidates. On the other hand, if the TD3 agent falls behind the CEM population, then the TD3 agent is updated toward the elite member of th e CEM population using loss function augmented with the distance between the TD3 and the CEM elite. Experiments in a MuJoCo environment show that PGPS is robust to deceptive gradient and also outperforms the state-of-the-art algorithms.

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A hallmark of human intelligence is the ability to construct self-contained chun ks of knowledge and adequately reuse them in novel combinations for solving diff erent yet structurally related problems. Learning such compositional structures has been a significant challenge for artificial systems, due to the combinatorial nature of the underlying search problem. To date, research into compositional learning has largely proceeded separately from work on lifelong or continual learning. We integrate these two lines of work to present a general-purpose framework for lifelong learning of compositional structures that can be used for solving a stream of related tasks. Our framework separates the learning process into two broad stages: learning how to best combine existing components in order to as similate a novel problem, and learning how to adapt the set of existing components to accommodate the new problem. This separation explicitly handles the trade-off between the stability required to remember how to solve earlier tasks and the flexibility required to solve new tasks, as we show empirically in an extensive evaluation.

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Dynamically Stable Infinite-Width Limits of Neural Classifiers Eugene Golikov

Recent research has been focused on two different approaches to studying neural networks training in the limit of infinite width (1) a mean-field (MF) and (2) a constant neural tangent kernel (NTK) approximations. These two approaches have different scaling of hyperparameters with the width of a network layer and as a result, different infinite-width limit models. Restricting ourselves to single h idden layer nets with zero-mean initialization trained for binary classification with SGD, we propose a general framework to study how the limit behavior of neu ral models depends on the scaling of hyperparameters with network width. Our fra mework allows us to derive scaling for existing MF and NTK limits, as well as an uncountable number of other scalings that lead to a dynamically stable limit be havior of corresponding models. However, only a finite number of distinct limit models are induced by these scalings. Each distinct limit model corresponds to a unique combination of such properties as boundedness of logits and tangent kern els at initialization or stationarity of tangent kernels. Existing MF and NTK li mit models, as well as one novel limit model, satisfy most of the properties dem onstrated by finite-width models. We also propose a novel initialization-correct ed mean-field limit that satisfies all properties noted above, and its correspon ding model is a simple modification for a finite-width model.

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QPLEX: Duplex Dueling Multi-Agent Q-Learning

Jianhao Wang, Zhizhou Ren, Terry Liu, Yang Yu, Chongjie Zhang

We explore value-based multi-agent reinforcement learning (MARL) in the popular paradigm of centralized training with decentralized execution (CTDE). CTDE has a n important concept, Individual-Global-Max (IGM) principle, which requires the c onsistency between joint and local action selections to support efficient local decision-making. However, in order to achieve scalability, existing MARL methods either limit representation expressiveness of their value function classes or r elax the IGM consistency, which may suffer from instability risk or may not perf orm well in complex domains. This paper presents a novel MARL approach, called d uPLEX dueling multi-agent Q-learning (QPLEX), which takes a duplex dueling netwo rk architecture to factorize the joint value function. This duplex dueling struc ture encodes the IGM principle into the neural network architecture and thus ena bles efficient value function learning. Theoretical analysis shows that QPLEX ac hieves a complete IGM function class. Empirical experiments on StarCraft II micr omanagement tasks demonstrate that QPLEX significantly outperforms state-of-theart baselines in both online and offline data collection settings, and also reve al that QPLEX achieves high sample efficiency and can benefit from offline datas ets without additional online exploration.

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Meta-Learning of Structured Task Distributions in Humans and Machines Sreejan Kumar, Ishita Dasgupta, Jonathan Cohen, Nathaniel Daw, Thomas Griffiths In recent years, meta-learning, in which a model is trained on a family of tasks

(i.e. a task distribution), has emerged as an approach to training neural netwo rks to perform tasks that were previously assumed to require structured represen tations, making strides toward closing the gap between humans and machines. Howe ver, we argue that evaluating meta-learning remains a challenge, and can miss wh ether meta-learning actually uses the structure embedded within the tasks. These meta-learners might therefore still be significantly different from humans lear ners. To demonstrate this difference, we first define a new meta-reinforcement 1 earning task in which a structured task distribution is generated using a compos itional grammar. We then introduce a novel approach to constructing a "null task distribution" with the same statistical complexity as this structured task dist ribution but without the explicit rule-based structure used to generate the stru ctured task. We train a standard meta-learning agent, a recurrent network traine d with model-free reinforcement learning, and compare it with human performance across the two task distributions. We find a double dissociation in which humans do better in the structured task distribution whereas agents do better in the n ull task distribution -- despite comparable statistical complexity. This work hi ghlights that multiple strategies can achieve reasonable meta-test performance, and that careful construction of control task distributions is a valuable way to understand which strategies meta-learners acquire, and how they might differ fr om humans.

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All-You-Can-Fit 8-Bit Flexible Floating-Point Format for Accurate and Memory-Eff icient Inference of Deep Neural Networks

Juinn-Dar Huang, Cheng-Wei Huang, Tim-Wei Chen

Modern deep neural network (DNN) models generally require a huge amount of weigh t and activation values to achieve good inference outcomes. Those data inevitabl y demand a massive off-chip memory capacity/bandwidth, and the situation gets ev en worse if they are represented in high-precision floating-point formats. Effor t has been made for representing those data in different 8-bit floating-point fo rmats, nevertheless, a notable accuracy loss is still unavoidable. In this paper we introduce an extremely flexible 8-bit floating-point (FFP8) format whose def ining factors - the bit width of exponent/fraction field, the exponent bias, and even the presence of the sign bit - are all configurable. We also present a met hodology to properly determine those factors so that the accuracy of model infer ence can be maximized. The foundation of this methodology is based on a key obse rvation - both the maximum magnitude and the value distribution are quite dissim ilar between weights and activations in most DNN models. Experimental results de monstrate that the proposed FFP8 format achieves an extremely low accuracy loss of \$0.1\%\sim 0.3\%\$ for several representative image classification models even without the need of model retraining. Besides, it is easy to turn a classical f loating-point processing unit into an FFP8-compliant one, and the extra hardware cost is minor.

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PCPs: Patient Cardiac Prototypes

Dani Kiyasseh, Tingting Zhu, David A. Clifton

Existing deep learning methodologies within the medical domain are typically population-based and difficult to interpret. This limits their clinical utility as population-based findings may not generalize to the individual patient. To overcome these obstacles, we propose to learn patient-specific representations, entit led patient cardiac prototypes (PCPs), that efficiently summarize the cardiac state of a patient. We show that PCPs, learned in an end-to-end manner via contrastive learning, allow for the discovery of similar patients both within and across datasets, and can be exploited for dataset distillation as a compact substitute for the original dataset.

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Revisiting the Train Loss: an Efficient Performance Estimator for Neural Archite cture Search

Binxin Ru, Clare Lyle, Lisa Schut, Mark van der Wilk, Yarin Gal

Reliable yet efficient evaluation of generalisation performance of a proposed ar chitecture is crucial to the success of neural architecture search (NAS). Tradit

ional approaches face a variety of limitations: training each architecture to completion is prohibitively expensive, early stopping estimates may correlate poor ly with fully trained performance, and model-based estimators require large training sets. Instead, motivated by recent results linking training speed and gener alisation with stochastic gradient descent, we propose to estimate the final test performance based on the sum of training losses. Our estimator is inspired by the marginal likelihood, which is used for Bayesian model selection. Our model-free estimator is simple, efficient, and cheap to implement, and does not require hyperparameter-tuning or surrogate training before deployment. We demonstrate empirically that our estimator consistently outperforms other baselines under various settings and can achieve a rank correlation of 0.95 with final test accuracy on the NAS-Bench201 dataset within 50 epochs.

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CLOPS: Continual Learning of Physiological Signals

Dani Kiyasseh, Tingting Zhu, David A. Clifton

Deep learning algorithms are known to experience destructive interference when i nstances violate the assumption of being independent and identically distributed (i.i.d). This violation, however, is ubiquitous in clinical settings where data are streamed temporally and from a multitude of physiological sensors. To overc ome this obstacle, we propose CLOPS, a replay-based continual learning strategy. In three continual learning scenarios based on three publically-available datas ets, we show that CLOPS can outperform the state-of-the-art methods, GEM and MIR. Moreover, we propose end-to-end trainable parameters, which we term task-instance parameters, that can be used to quantify task difficulty and similarity. This quantification yields insights into both network interpretability and clinical applications, where task difficulty is poorly quantified.

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Differentially Private Learning Needs Better Features (or Much More Data) Florian Tramer, Dan Boneh

We demonstrate that differentially private machine learning has not yet reached its ''AlexNet moment'' on many canonical vision tasks: linear models trained on handcrafted features significantly outperform end-to-end deep neural networks for moderate privacy budgets.

To exceed the performance of handcrafted features, we show that private learning requires either much more private data, or access to features learned on public data from a similar domain.

Our work introduces simple yet strong baselines for differentially private learn ing that can inform the evaluation of future progress in this area.

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What About Taking Policy as Input of Value Function: Policy-extended Value Function Approximator

Hongyao Tang, Zhaopeng Meng, Jianye HAO, Chen Chen, Daniel Graves, Dong Li, Wulong Liu, Yaodong Yang

The value function lies in the heart of Reinforcement Learning (RL), which defin es the long-term evaluation of a policy in a given state. In this paper, we prop ose Policy-extended Value Function Approximator (PeVFA) which extends the conven tional value to be not only a function of state but also an explicit policy repr esentation. Such an extension enables PeVFA to preserve values of multiple polic ies in contrast to a conventional one with limited capacity for only one policy, inducing the new characteristic of \emph{value generalization among policies}. From both the theoretical and empirical lens, we study value generalization alon g the policy improvement path (called local generalization), from which we deriv e a new form of Generalized Policy Iteration with PeVFA to improve the conventio nal learning process. Besides, we propose a framework to learn the representatio n of an RL policy, studying several different approaches to learn an effective policy representation from policy network parameters and state-action pairs thro ugh contrastive learning and action prediction. In our experiments, Proximal Pol icy Optimization (PPO) with PeVFA significantly outperforms its vanilla counterp art in MuJoCo continuous control tasks, demonstrating the effectiveness of value generalization offered by PeVFA and policy representation learning.

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CLOCS: Contrastive Learning of Cardiac Signals Across Space, Time, and Patients Dani Kiyasseh, Tingting Zhu, David A. Clifton

The healthcare industry generates troves of unlabelled physiological data. This data can be exploited via contrastive learning, a self-supervised pre-training m ethod that encourages representations of instances to be similar to one another. We propose a family of contrastive learning methods, CLOCS, that encourages representations across space, time, \textit{and} patients to be similar to one another. We show that CLOCS consistently outperforms the state-of-the-art methods, B YOL and SimCLR, when performing a linear evaluation of, and fine-tuning on, down stream tasks. We also show that CLOCS achieves strong generalization performance with only 25\% of labelled training data. Furthermore, our training procedure n aturally generates patient-specific representations that can be used to quantify patient-similarity.

Improving robustness of softmax corss-entropy loss via inference information Bingbing Song, Wei He, Renyang Liu, Shui Yu, Ruxin Wang, Mingming Gong, Tongliang Liu, Wei Zhou

Adversarial examples easily mislead the vision systems based on deep neural netw orks (DNNs) trained with the softmax cross entropy (SCE) loss. Such a vulnerabil ity of DNN comes from the fact that SCE drives DNNs to fit on the training sampl es, whereas the resultant feature distributions between the training and adversa rial examples are unfortunately misaligned. Several state-of-the-arts start from improving the inter-class separability of training samples by modifying loss fu nctions, where we argue that the adversarial examples are ignored and thus limit ed robustness to adversarial attacks is resulted. In this paper, we exploit infe rence region which inspires us to involve a margin-like inference information to SCE, resulting in a novel inference-softmax cross entropy (I-SCE) loss, which i s intuitively appealing and interpretable. The inference information is a guaran tee to both the inter-class separability and the improved generalization to adve rsarial examples, which is furthermore demonstrated under the min-max framework. Extensive experiments show that under strong adaptive attacks, the DNN models t rained with the proposed I-SCE loss achieve superior performance and robustness over the state-of-the-arts.

SoCal: Selective Oracle Questioning for Consistency-based Active Learning of Car diac Signals

Dani Kiyasseh, Tingting Zhu, David A. Clifton

The ubiquity and rate of collection of cardiac signals produce large, unlabelled datasets. Active learning (AL) can exploit such datasets by incorporating human annotators (oracles) to improve generalization performance. However, the over-reliance of existing algorithms on oracles continues to burden physicians. To min imize this burden, we propose SoCal, a consistency-based AL framework that dynam ically determines whether to request a label from an oracle or to generate a pseudo-label instead. We show that our framework decreases the labelling burden while maintaining strong performance, even in the presence of a noisy oracle.

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PC2WF: 3D Wireframe Reconstruction from Raw Point Clouds Yujia Liu, Stefano D'Aronco, Konrad Schindler, Jan Dirk Wegner

We introduce PC2WF, the first end-to-end trainable deep network architecture to convert a 3D point cloud into a wireframe model. The network takes as input an u nordered set of 3D points sampled from the surface of some object, and outputs a wireframe of that object, i.e., a sparse set of corner points linked by line se gments. Recovering the wireframe is a challenging task, where the numbers of bot h vertices and edges are different for every instance, and a-priori unknown. Our architecture gradually builds up the model: It starts by encoding the points in to feature vectors. Based on those features, it identifies a pool of candidate v ertices, then prunes those candidates to a final set of corner vertices and refines their locations. Next, the corners are linked with an exhaustive set of candidate edges, which is again pruned to obtain the final wireframe. All steps are

trainable, and errors can be backpropagated through the entire sequence. We validate the proposed model on a publicly available synthetic dataset, for which the ground truth wireframes are accessible, as well as on a new real-world dataset. Our model produces wireframe abstractions of good quality and outperforms sever al baselines.

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Improving Abstractive Dialogue Summarization with Conversational Structure and F actual Knowledge

Lulu Zhao, Zeyuan Yang, Weiran Xu, Sheng Gao, Jun Guo

Recently, people have been paying more attention to the abstractive dialogue sum marization task. Compared with news text, the information flows of the dialogue exchange between at least two interlocutors, which leads to the necessity of cap turing long-distance cross-sentence relations. In addition, the generated summar ies commonly suffer from fake facts because the key elements of dialogues often scatter in multiple utterances. However, the existing sequence-to-sequence model s are difficult to address these issues. Therefore, it is necessary for research ers to explore the implicit conversational structure to ensure the richness and faithfulness of generated contents. In this paper, we present a Knowledge Graph Enhanced Dual-Copy network (KGEDC), a novel framework for abstractive dialogue s ummarization with conversational structure and factual knowledge. We use a seque nce encoder to draw local features and a graph encoder to integrate global featu res via the sparse relational graph self-attention network, complementing each o ther. Besides, a dual-copy mechanism is also designed in decoding process to for ce the generation conditioned on both the source text and extracted factual know ledge. The experimental results show that our method produces significantly high er ROUGE scores than most of the baselines on both SAMSum corpus and Automobile Master corpus. Human judges further evaluate that outputs of our model contain m ore richer and faithful information.

Rethinking the Role of Gradient-based Attribution Methods for Model Interpretability

Suraj Srinivas, Francois Fleuret

Current methods for the interpretability of discriminative deep neural networks commonly rely on the model's input-gradients, i.e., the gradients of the output logits w.r.t. the inputs. The common assumption is that these input-gradients co ntain information regarding  $p_{\star}$  (ymid \mathbf{x}), the model's discriminative capabilities, thus justifying their use for interpretability. However, in this work, we show that these input-gradients can be arbitrarily manipulated as a consequence of the shift-invariance of softmax without changing the discriminative function. This leaves an open question: given that input-gradients can be arbitrary, why are they highly structured and explanatory in standard models?

In this work, we re-interpret the logits of standard softmax-based classifiers a sunnormalized log-densities of the data distribution and show that input-gradie nts can be viewed as gradients of a class-conditional generative model  $p_{\star}$  thet a (\mathbf{x}\mid y)\$ implicit in the discriminative model. This leads us to hy pothesize that the highly structured and explanatory nature of input-gradients m ay be due to the alignment of this class-conditional model  $p_{\star}$  (\mathbf{x}\mid y)\$ with that of the ground truth data distribution  $p_{\star}$  (\mathbf{x}\mid y)\$. We test this hypothesis by studying the effect of density alignment on gradient explanations. To achieve this density alignment, we use an algorithm called score-matching, and propose novel approximations to this algorithm to enable training large-scale models.

Our experiments show that improving the alignment of the implicit density model with the data distribution enhances gradient structure and explanatory power whi le reducing this alignment has the opposite effect. This also leads us to conjec ture that unintended density alignment in standard neural network training may explain the highly structured nature of input-gradients observed in practice. Ove rall, our finding that input-gradients capture information regarding an implicit

generative model implies that we need to re-think their use for interpreting discriminative models.

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Neural Attention Distillation: Erasing Backdoor Triggers from Deep Neural Networks

Yige Li, Xixiang Lyu, Nodens Koren, Lingjuan Lyu, Bo Li, Xingjun Ma

Deep neural networks (DNNs) are known vulnerable to backdoor attacks, a training time attack that injects a trigger pattern into a small proportion of training data so as to control the model's prediction at the test time. Backdoor attacks are notably dangerous since they do not affect the model's performance on clean examples, yet can fool the model to make the incorrect prediction whenever the trigger pattern appears during testing. In this paper, we propose a novel defense framework Neural Attention Distillation (NAD) to erase backdoor triggers from backdoored DNNs. NAD utilizes a teacher network to guide the finetuning of the backdoored student network on a small clean subset of data such that the intermediate-layer attention of the student network aligns with that of the teacher network. The teacher network can be obtained by an independent finetuning process on the same clean subset. We empirically show, against 6 state-of-the-art backdoor attacks, NAD can effectively erase the backdoor triggers using only 5\% clean t raining data without causing obvious performance degradation on clean examples. Our code is available at https://github.com/bboylyg/NAD.

Adaptive Optimizers with Sparse Group Lasso

Yun Yue, Suo Tong, Zhen Zhang, Yongchao Liu, Chunyang Wen, Huanjun Bao, Jinjie Gu, Yixi ang Mu

We develop a novel framework that adds the regularizers to a family of adaptive optimizers in deep learning, such as MOMENTUM, ADAGRAD, ADAM, AMSGRAD, ADAHESSIA N, and create a new class of optimizers, which are named GROUP MOMENTUM, GROUP A DAGRAD, GROUP ADAM, GROUP AMSGRAD and GROUP ADAHESSIAN, etc., accordingly. We es tablish theoretically proven convergence guarantees in the stochastic convex set tings, based on primal-dual methods. We evaluate the regularized effect of our n ew optimizers on three large-scale real-world ad click datasets with state-of-th e-art deep learning models. The experimental results reveal that compared with t he original optimizers with the post-processing procedure which use the magnitud e pruning method, the performance of the models can be significantly improved on the same sparsity level. Furthermore, in comparison to the cases without magnit ude pruning, our methods can achieve extremely high sparsity with significantly better or highly competitive performance.

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Data-Efficient Reinforcement Learning with Self-Predictive Representations
Max Schwarzer, Ankesh Anand, Rishab Goel, R Devon Hjelm, Aaron Courville, Philip Bach
man

While deep reinforcement learning excels at solving tasks where large amounts of data can be collected through virtually unlimited interaction with the environm ent, learning from limited interaction remains a key challenge. We posit that an agent can learn more efficiently if we augment reward maximization with self-su pervised objectives based on structure in its visual input and sequential intera ction with the environment. Our method, Self-Predictive Representations (SPR), trains an agent to predict its own latent state representations multiple steps i nto the future. We compute target representations for future states using an enc oder which is an exponential moving average of the agent's parameters and we mak e predictions using a learned transition model. On its own, this future predic tion objective outperforms prior methods for sample-efficient deep RL from pixel s. We further improve performance by adding data augmentation to the future pred iction loss, which forces the agent's representations to be consistent across mu ltiple views of an observation. Our full self-supervised objective, which combi nes future prediction and data augmentation, achieves a median human-normalized score of 0.415 on Atari in a setting limited to 100k steps of environment intera ction, which represents a 55% relative improvement over the previous state-of-th e-art. Notably, even in this limited data regime, SPR exceeds expert human score

s on 7 out of 26 games. We've made the code associated with this work available at https://github.com/mila-igia/spr.

Imagine That! Leveraging Emergent Affordances for 3D Tool Synthesis Yizhe Wu, Sudhanshu Kasewa, Oliver Groth, Sasha Salter, Kevin Li Sun, Oiwi Parker Jones, Ingmar Posner

In this paper we explore the richness of information captured by the latent space of a vision-based generative model. The model combines unsupervised generative learning with a task-based performance predictor to learn and to exploit task-relevant object affordances given visual observations from a reaching task, involving a scenario and a stick-like tool. While the learned embedding of the gene rative model captures factors of variation in 3D tool geometry (e.g. length, width, and shape), the performance predictor identifies sub-manifolds of the embedding that correlate with task success. Within a variety of scenarios, we demonstrate that traversing the latent space via backpropagation from the performance predictor allows us to imagine tools appropriate for the task at hand. Our result sindicate that affordances - like the utility for reaching - are encoded along smooth trajectories in latent space. Accessing these emergent affordances by considering only high-level performance criteria (such as task success) enables an agent to manipulate tool geometries in a targeted and deliberate way.

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Experimental Design for Overparameterized Learning with Application to Single Sh ot Deep Active Learning

Neta Shoham, Haim Avron

Abstract The impressive performance exhibited by modern machine learning models hinges on the ability to train such models on a very large amounts of labeled da ta. However, since access to large volumes of labeled data is often limited or e xpensive, it is desirable to alleviate this bottleneck by carefully curating the training set. Optimal experimental design is a well-established paradigm for se lecting data point to be labeled so to maximally inform the learning process. Un fortunately, classical theory on optimal experimental design focuses on selectin g examples in order to learn underparameterized (and thus, non-interpolative) mo dels, while modern machine learning models such as deep neural networks are over parameterized, and oftentimes are trained to be interpolative. As such, classica l experimental design methods are not applicable in many modern learning setups. Indeed, the predictive performance of underparameterized models tends to be var iance dominated, so classical experimental design focuses on variance reduction, while the predictive performance of overparameterized models can also be, as is shown in this paper, bias dominated or of mixed nature. In this paper we propos e a design strategy that is well suited for overparameterized regression and int erpolation, and we demonstrate the applicability of our method in the context of deep learning by proposing a new algorithm for single shot deep active learning

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CAT-SAC: Soft Actor-Critic with Curiosity-Aware Entropy Temperature Junfan Lin, Changxin Huang, Xiaodan Liang, Liang Lin

The trade-off between exploration and exploitation has long been a crucial issue in reinforcement learning~(RL). Most of the existing RL methods handle this pro blem by adding action noise to the policies, such as the Soft Actor-Critic (SAC) that introduces an entropy temperature for maximizing both the external value a nd the entropy of the policy. However, this temperature is applied indiscriminat ely to all different environment states, undermining the potential of exploratio n. In this paper, we argue that the agent should explore more in an unfamiliar s tate, while less in a familiar state, so as to understand the environment more e fficiently. To this purpose, we propose \textbf{C}uriosity-\textbf{A}ware entrop y \textbf{T}emperature for SAC (CAT-SAC), which utilizes the curiosity mechanism in developing an instance-level entropy temperature. CAT-SAC uses the state pre diction error to model curiosity because an unfamiliar state generally has a lar ge prediction error. The curiosity is added to the target entropy to increase the entropy temperature for unfamiliar states and decrease the target entropy for

familiar states. By tuning the entropy specifically and adaptively, CAT-SAC is e ncouraged to explore when its curiosity is large, otherwise, it is encouraged to exploit. Experimental results on the difficult MuJoCo benchmark testify that the proposed CAT-SAC significantly improves the sample efficiency, outperforming the advanced model-based / model-free RL baselines.

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Learning to Observe with Reinforcement Learning Mehmet Koseoglu, Ece Kunduracioglu, Ayca Ozcelikkale

We consider a decision making problem where an autonomous agent decides on which actions to take based on the observations it collects from the environment. We are interested in revealing the information structure of the observation space i llustrating which type of observations are the most important (such as position versus velocity) and the dependence of this on the state of agent (such as at th e bottom versus top of a hill). We approach this problem by associating a cost w ith collecting observations which increases with the accuracy. We adopt a reinfo rcement learning (RL) framework where the RL agent learns to adjust the accuracy of the observations alongside learning to perform the original task. We conside r both the scenario where the accuracy can be adjusted continuously and also the scenario where the agent has to choose between given preset levels, such as tak ing a sample perfectly or not taking a sample at all. In contrast to the exist ing work that mostly focuses on sample efficiency during training, our focus is on the behaviour during the actual task. Our results illustrate that the RL agen t can learn to use the observation space efficiently and obtain satisfactory pe rformance in the original task while collecting effectively smaller amount of da ta. By uncovering the relative usefulness of different types of observations and trade-offs within, these results also provide insights for further design of ac tive data acquisition schemes.

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Revisiting Prioritized Experience Replay: A Value Perspective

Ang A. Li, Zongqing Lu, Chenglin Miao

Reinforcement learning (RL) agents need to learn from past experiences. Prioriti zed experience replay that weighs experiences by their surprise (the magnitude o f the temporal-difference error) significantly improves the learning efficiency for RL algorithms. Intuitively, surprise quantifies the unexpectedness of an exp erience to the learning agent. But how surprise is related to the importance of experience is not well understood. To address this problem, we derive three valu e metrics to quantify the importance of experience, which consider the extra rew ard would be earned by accessing the experience. We theoretically show these val ue metrics are upper-bounded by surprise for Q-learning. Furthermore, we success fully extend our theoretical framework to maximum-entropy RL by deriving the low er and upper bounds of these value metrics for soft Q-learning, which is also re lated to surprise. Our framework links two important quantities in RL, i.e., sur prise and value of experience, and provides a theoretical basis to estimate the value of experience by surprise. We empirically show that the upper bounds hold in practice, and experience replay using the upper bound as priority improves ma ximum-entropy RL in Atari games.

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HyperGrid Transformers: Towards A Single Model for Multiple Tasks Yi Tay, Zhe Zhao, Dara Bahri, Donald Metzler, Da-Cheng Juan

Achieving state-of-the-art performance on natural language understanding tasks t ypically relies on fine-tuning a fresh model for every task. Consequently, this approach leads to a higher overall parameter cost, along with higher technical m aintenance for serving multiple models. Learning a single multi-task model that is able to do well for all the tasks has been a challenging and yet attractive p roposition. In this paper, we propose HyperGrid Transformers, a new Transformer architecture that leverages task-conditioned hyper networks for controlling its feed-forward layers. Specifically, we propose a decomposable hypernetwork that I earns grid-wise projections that help to specialize regions in weight matrices f or different tasks. In order to construct the proposed hypernetwork, our method learns the interactions and composition between a global (task-agnostic) state a

nd a local task-specific state. We conduct an extensive set of experiments on GL UE/SuperGLUE. On the SuperGLUE test set, we match the performance of the state-o f-the-art while being \$16\$ times more parameter efficient. Our method helps brid ge the gap between fine-tuning and multi-task learning approaches.

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Efficient Sampling for Generative Adversarial Networks with Reparameterized Mark ov Chains

Yifei Wang, Yisen Wang, Jiansheng Yang, Zhouchen Lin

Recently, sampling methods have been successfully applied to enhance the sample quality of Generative Adversarial Networks (GANs). However, in practice, they ty pically have poor sample efficiency because of the independent proposal sampling from the generator. In this work, we propose REP-GAN, a novel sampling method t hat allows general dependent proposals by REParameterizing the Markov chains int o the latent space of the generator. Theoretically, we show that our reparameter ized proposal admits a closed-form Metropolis-Hastings acceptance ratio. Empiric ally, extensive experiments on synthetic and real datasets demonstrate that our REP-GAN largely improves the sample efficiency and obtains better sample quality simultaneously.

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One-class Classification Robust to Geometric Transformation

Hyunjun Ju, Dongha Lee, SeongKu Kang, Hwanjo Yu

Recent studies on one-class classification have achieved a remarkable performanc e, by employing the self-supervised classifier that predicts the geometric trans formation applied to in-class images. However, they cannot identify in-class images at all when the input images are geometrically-transformed (e.g., rotated images), because their classification-based in-class scores assume that input images always have a fixed viewpoint, as similar to the images used for training. Po inting out that humans can easily recognize such transformed images as the same class, in this work, we aim to propose a one-class classifier robust to geometrically-transformed inputs, named as GROC. To this end, we introduce a conformity score which indicates how strongly an input image agrees with one of the predefice ned in-class transformations, then utilize the conformity score with our propose degreement measures for one-class classification. Our extensive experiments demonstrate that GROC is able to accurately distinguish in-class images from out-of-class images regardless of whether the inputs are geometrically-transformed or not, whereas the existing methods fail.

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Predicting the Outputs of Finite Networks Trained with Noisy Gradients Gadi Naveh, Oded Ben-David, Haim Sompolinsky, Zohar Ringel

A recent line of works studied wide deep neural networks (DNNs) by approximating them as Gaussian Processes (GPs). A DNN trained with gradient flow was shown to map to a GP governed by the Neural Tangent Kernel (NTK), whereas earlier works showed that a DNN with an i.i.d. prior over its parameters maps to the so-called Neural Network Gaussian Process (NNGP). Here we consider a DNN training protoco l, involving noise, weight decay and finite width, whose outcome corresponds to a certain non-Gaussian stochastic process. An analytical framework is then introduced to analyze this non-Gaussian process, whose deviation from a GP is control led by the finite width. Our contribution is three-fold:

- (i) In the infinite width limit, we establish a correspondence between DNNs trained with noisy gradients and the NNGP, not the NTK.
- (ii) We provide a general analytical form for the finite width correction (FWC) for DNNs with arbitrary activation functions and depth and use it to predict the outputs of empirical finite networks with high accuracy.

Analyzing the FWC behavior as a function of  $n\$ , the training set size, we find that it is negligible for both the very small  $n\$  regime, and, surprisingly, for the large  $n\$  regime (where the GP error scales as 0(1/n)).

(iii) We flesh-out algebraically how these FWCs can improve the performance of finite convolutional neural networks (CNNs) relative to their GP counterparts on image classification tasks.

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Distributed Training of Graph Convolutional Networks using Subgraph Approximation

Alexandra Angerd, Keshav Balasubramanian, Murali Annavaram

Modern machine learning techniques are successfully being adapted to data modele d as graphs. However, many real-world graphs are typically very large and do not fit in memory, often making the problem of training machine learning models on them intractable. Distributed training has been successfully employed to allevia te memory problems and speed up training in machine learning domains in which the input data is assumed to be independently identical distributed (i.i.d). However, distributing the training of non i.i.d data such as graphs that are used as training inputs in Graph Convolutional Networks (GCNs) causes accuracy problems since information is lost at the graph partitioning boundaries.

In this paper, we propose a training strategy that mitigates the lost information across multiple partitions of a graph through a subgraph approximation scheme. Our proposed approach augments each sub-graph with a small amount of edge and vertex information that is approximated from all other sub-graphs. The subgraph approximation approach helps the distributed training system converge at single-machine accuracy, while keeping the memory footprint low and minimizing synchron ization overhead between the machines.

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Long Range Arena : A Benchmark for Efficient Transformers

Yi Tay, Mostafa Dehghani, Samira Abnar, Yikang Shen, Dara Bahri, Philip Pham, Jinfeng Rao, Liu Yang, Sebastian Ruder, Donald Metzler

Transformers do not scale very well to long sequence lengths largely because of quadratic self-attention complexity. In the recent months, a wide spectrum of ef ficient, fast Transformers have been proposed to tackle this problem, more often than not claiming superior or comparable model quality to vanilla Transformer  ${\tt m}$ odels. To this date, there is no well-established consensus on how to evaluate t his class of models. Moreover, inconsistent benchmarking on a wide spectrum of t asks and datasets makes it difficult to assess relative model quality amongst ma ny models. This paper proposes a systematic and unified benchmark, Long Range Ar ena, specifically focused on evaluating model quality under long-context scenari os. Our benchmark is a suite of tasks consisting of sequences ranging from \$1K\$ to \$16K\$ tokens, encompassing a wide range of data types and modalities such as text, natural, synthetic images, and mathematical expressions requiring similari ty, structural, and visual-spatial reasoning. We systematically evaluate ten wel l-established long-range Transformer models (Reformers, Linformers, Linear Trans formers, Sinkhorn Transformers, Performers, Synthesizers, Sparse Transformers, a nd Longformers) on our newly proposed benchmark suite. Long Range Arena paves th e way towards better understanding this class of efficient Transformer models, f acilitates more research in this direction, and presents new challenging tasks t o tackle.

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Acting in Delayed Environments with Non-Stationary Markov Policies Esther Derman, Gal Dalal, Shie Mannor

The standard Markov Decision Process (MDP) formulation hinges on the assumption that an action is executed immediately after it was chosen. However, assuming it is often unrealistic and can lead to catastrophic failures in applications such as robotic manipulation, cloud computing, and finance. We introduce a framework for learning and planning in MDPs where the decision-maker commits actions that are executed with a delay of \$m\$ steps. The brute-force state augmentation base line where the state is concatenated to the last \$m\$ committed actions suffers f rom an exponential complexity in \$m\$, as we show for policy iteration. We then p rove that with execution delay, deterministic Markov policies in the original st ate-space are sufficient for attaining maximal reward, but need to be non-statio nary. As for stationary Markov policies, we show they are sub-optimal in general . Consequently, we devise a non-stationary Q-learning style model-based algorith m that solves delayed execution tasks without resorting to state-augmentation. E xperiments on tabular, physical, and Atari domains reveal that it converges quic

kly to high performance even for substantial delays, while standard approaches t hat either ignore the delay or rely on state-augmentation struggle or fail due t o divergence. The code is available at \url{https://github.com/galdl/rl\_delay\_basic.git}.

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Neurally Augmented ALISTA

Freya Behrens, Jonathan Sauder, Peter Jung

It is well-established that many iterative sparse reconstruction algorithms can be unrolled to yield a learnable neural network for improved empirical performa nce. A prime example is learned ISTA (LISTA) where weights, step sizes and thres holds are learned from training data. Recently, Analytic LISTA (ALISTA) has been introduced, combining the strong empirical performance of a fully learned appro ach like LISTA, while retaining theoretical guarantees of classical compressed s ensing algorithms and significantly reducing the number of parameters to learn. However, these parameters are trained to work in expectation, often leading to s uboptimal reconstruction of individual targets. In this work we therefore introduce Neurally Augmented ALISTA, in which an LSTM network is used to compute step sizes and thresholds individually for each target vector during reconstruction. This adaptive approach is theoretically motivated by revisiting the recovery guarantees of ALISTA. We show that our approach further improves empirical perform ance in sparse reconstruction, in particular outperforming existing algorithms by an increasing margin as the compression ratio becomes more challenging.

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Selfish Sparse RNN Training

SHiwei Liu, Decebal Constantin Mocanu, Yulong Pei, Mykola Pechenizkiy

Sparse neural networks have been widely applied to reduce the necessary resource requirements to train and deploy over-parameterized deep neural networks. For i nference acceleration, methods that induce sparsity from a pre-trained dense net work (dense-to-sparse) work effectively. Recently, dynamic sparse training (DST) has been proposed to train sparse neural networks without pre-training a large and dense network (sparse-to-sparse), so that the training process can also be a ccelerated. However, previous sparse-to-sparse methods mainly focus on Multilaye r Perceptron Networks (MLPs) and Convolutional Neural Networks (CNNs), failing t o match the performance of dense-to-sparse methods in Recurrent Neural Networks (RNNs) setting. In this paper, we propose an approach to train sparse RNNs with a fixed parameter count in one single run, without compromising performance. Dur ing training, we allow RNN layers to have a non-uniform redistribution across ce ll weights for a better regularization. Further, we introduce SNT-ASGD, a varian t of the averaged stochastic gradient optimizer, which significantly improves th e performance of all sparse training methods for RNNs. Using these strategies, w e achieve state-of-the-art sparse training results, even better than dense model results, with various types of RNNs on Penn TreeBank and Wikitext-2 datasets.

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Translation Memory Guided Neural Machine Translation

Shaohui Kuang, Heng Yu, Weihua Luo, Qiang Wang

Many studies have proven that Translation Memory (TM) can help improve the trans lation quality of neural machine translation (NMT). Existing ways either employ extra encoder to encode information from TM or concatenate source sentence and T M sentences as encoder's input. These previous methods don't model the semantic relationship between the source sentence and TM sentences. Meanwhile, the training corpus related to TM is limited, and the sentence level retrieval approach further limits its scale.

In this paper, we propose a novel method to combine the strengths of both TM and NMT. We treat the matched sentence pair of TM as the additional signal and appl y one encoder enhanced by the pre-trained language model (PLM) to encode the TM information and source sentence together. Additionally, we extend the sentence l evel retrieval method to the n-gram retrieval method that we don't need to calculate the similarity score. Further, we explore new methods to manipulate the information flow from TM to the NMT decoder. We validate our proposed methods on a mixed test set of multiple domains. Experiment results demonstrate that the prop

osed methods can significantly improve the translation quality and show strong a daptation for an unknown or new domain.

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Domain-slot Relationship Modeling using a Pre-trained Language Encoder for Mult i-Domain Dialogue State Tracking

Jinwon An, Misuk Kim, Sungzoon Cho, Junseong Bang

Dialogue state tracking for multi-domain dialogues is challenging because the mo del should be able to track dialogue states across multiple domains and slots. P ast studies had its limitations in that they did not factor in the relationship among different domain-slot pairs. Although recent approaches did support relati onship modeling among the domain-slot pairs, they did not leverage a pre-trained language model, which has improved the performance of numerous natural language tasks, in the encoding process. Our approach fills the gap between these previo us studies. We propose a model for multi-domain dialogue state tracking that eff ectively models the relationship among domain-slot pairs using a pre-trained lan guage encoder. Inspired by the way the special \$[CLS]\$ token in BERT is used to aggregate the information of the whole sequence, we use multiple special tokens for each domain-slot pair that encodes information corresponding to its domain a nd slot. The special tokens are run together with the dialogue context through t he pre-trained language encoder, which effectively models the relationship among different domain-slot pairs. Our experimental results show that our model achie ves state-of-the-art performance on the MultiWOZ-2.1 and MultiWOZ-2.2 dataset. \*\*\*\*\*\*\*\*\*\*\*\*\*

Waste not, Want not: All-Alive Pruning for Extremely Sparse Networks Daejin Kim, Hyunjung Shim, Jongwuk Lee

Network pruning has been widely adopted for reducing computational cost and memo ry consumption in low-resource devices. Recent studies show that saliency-based pruning can achieve high compression ratios (e.g., 80-90% of the parameters in o riginal networks are removed) without sacrificing much accuracy loss. Neverthele ss, finding the well-trainable networks with sparse parameters (e.g., < 10% of the parameters remaining) is still challenging to network pruning, commonly belied ved to lack model capacity. In this work, we revisit the procedure of existing pruning methods and observe that dead connections, which do not contribute to model capacity, appear regardless of pruning methods. To this end, we propose a novel pruning method, called all-alive pruning (AAP), producing the pruned networks with only trainable weights. Notably, AAP is broadly applicable to various saliency-based pruning methods and model architectures. We demonstrate that AAP equipped with existing pruning methods (i.e., iterative pruning, one-shot pruning, and dynamic pruning) consistently improves the accuracy of original methods at 12 8× - 4096× compression ratios on three benchmark datasets.

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DO-GAN: A Double Oracle Framework for Generative Adversarial Networks Aye Phyu Phyu Aung, Xinrun Wang, Runsheng Yu, Bo An, Senthilnath Jayavelu, Xiaoli Li In this paper, we propose a new approach to train Generative Adversarial Network s (GAN) where we deploy a double-oracle framework using the generator and discri minator oracles. GAN is essentially a two-player zero-sum game between the gener ator and the discriminator. Training GANs is challenging as a pure Nash equilibr ium may not exist and even finding the mixed Nash equilibrium is difficult as GA Ns have a large-scale strategy space. In DO-GAN, we extend the double oracle fra mework to GANs. We first generalize the player strategies as the trained models of generator and discriminator from the best response oracles. We then compute t he meta-strategies using a linear program. Next, we prune the weakly-dominated p layer strategies to keep the oracles from becoming intractable. We apply our fra mework to established architectures such as vanilla GAN, Deep Convolutional GAN, Spectral Normalization GAN and Stacked GAN. Finally, we conduct evaluations on MNIST, CIFAR-10 and CelebA datasets and show that DO-GAN variants have significa nt improvements in both subjective qualitative evaluation and quantitative metri cs, compared with their respective GAN architectures.

Relating by Contrasting: A Data-efficient Framework for Multimodal Generative Mo

dels

Yuge Shi, Brooks Paige, Philip Torr, Siddharth N

Multimodal learning for generative models often refers to the learning of abstra ct concepts from the commonality of information in multiple modalities, such as vision and language. While it has proven effective for learning generalisable re presentations, the training of such models often requires a large amount of rela ted multimodal data that shares commonality, which can be expensive to come by. To mitigate this, we develop a novel contrastive framework for generative model learning, allowing us to train the model not just by the commonality between mod alities, but by the distinction between "related" and "unrelated" multimodal dat a. We show in experiments that our method enables data-efficient multimodal lear ning on challenging datasets for various multimodal VAE models. We also show that under our proposed framework, the generative model can accurately identify rel ated samples from unrelated ones, making it possible to make use of the plentiful unlabeled, unpaired multimodal data.

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Learning Private Representations with Focal Entropy

Tassilo Klein, Moin Nabi

How can we learn a representation with good predictive power while preserving us er privacy?

We present an adversarial representation learning method to sanitize sensitive c ontent from the representation in an adversarial fashion.

Specifically, we propose focal entropy - a variant of entropy embedded in an adversarial representation learning setting to leverage privacy sanitization. Focal entropy enforces maximum uncertainty in terms of confusion on the subset of privacy-related similar classes, separated from the dissimilar ones. As such, our proposed sanitization method yields deep sanitization of private features yet is conceptually simple and empirically powerful. We showcase feasibility in terms of classification of facial attributes and identity on the CelebA dataset as well as CIFAR-100. The results suggest that private components can be removed reliably.

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Deep Continuous Networks

Nergis Tomen, Silvia Laura Pintea, Jan van Gemert

CNNs and computational models of biological vision share some fundamental princi ples, which, combined with recent developments in deep learning, have opened up new avenues of research in neuroscience. However, in contrast to biological mode ls, conventional CNN architectures are based on spatio-temporally discrete repre sentations, and thus cannot accommodate certain aspects of biological complexity such as continuously varying receptive field sizes and temporal dynamics of neu ronal responses. Here we propose deep continuous networks (DCNs), which combine spatially continuous convolutional filter representations, with the continuous t ime framework of neural ODEs. This allows us to learn the spatial support of the filters during training, as well as model the temporal evolution of feature map s, linking DCNs closely to biological models. We show that DCNs are versatile. E xperimentally, we demonstrate their applicability to a standard classification p roblem, where they allow for parameter reductions and meta-parametrization. We i llustrate the biological plausibility of the scale distributions learned by DCNs and explore their performance in a pattern completion task, which is inspired b y models from computational neuroscience. Finally, we suggest that the continuou s representations learned by DCNs may enable computationally efficient implement ations.

The Lipschitz Constant of Self-Attention

Hyunjik Kim, George Papamakarios, Andriy Mnih

Lipschitz constants of neural networks have been explored in various contexts in deep learning, such as provable adversarial robustness, estimating Wasserstein distance, stabilising training of GANs, and formulating invertible neural networks. Such works have focused on bounding the Lipschitz constant of fully connected or convolutional networks, composed of linear maps and pointwise non-lineariti

es. In this paper, we investigate the Lipschitz constant of self-attention, a no n-linear neural network module widely used in sequence modelling. We prove that the standard dot-product self-attention is \*not\* Lipschitz, and propose an alter native L2 self-attention that \*is\* Lipschitz. We derive an upper bound on the Lipschitz constant of L2 self-attention and provide empirical evidence for its asy mptotic tightness. To demonstrate the practical relevance of our theoretical work, we formulate invertible self-attention and use it in a Transformer-based architecture for a character-level language modelling task.

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Identifying Informative Latent Variables Learned by GIN via Mutual Information Chen Zhang, Yitong Sun, Mingtian Zhang

How to learn a good representation of data is one of the most important topics of machine learning. Disentanglement of representations, though believed to be the core feature of good representations, has caused a lot of debates and discussions in recent. Sorrenson et al. (2020), using the techniques developed in nonlinear independent analysis theory, show that general incompressible-flow networks (GIN) can recover the underlying latent variables that generate the data, and thus can provide a compact and disentangled representation. However, in this paper, we point out that the method taken by GIN for informative latent variables identification is not theoretically supported and can be disproved by experiments.

We propose to use the mutual information between latent variables and the auxiliary variable to correctly identify informative latent variables. We directly verify the improvement brought by our method in experiments on synthetic data. We further show the advantage of our method on various downstream tasks including classification, outlier detection and adversarial attack defence.

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A Reduction Approach to Constrained Reinforcement Learning Tianchi Cai, Wenjie Shi, Lihong Gu, Xiaodong Zeng, Jinjie Gu

Many applications of reinforcement learning (RL) optimize a long-term reward sub ject to risk, safety, budget, diversity or other constraints. Though constrained RL problem has been studied to incorporate various constraints, existing method s either tie to specific families of RL algorithms or require storing infinitely many individual policies found by an RL oracle to approach a feasible solution. In this paper, we present a novel reduction approach for constrained RL problem that ensures convergence when using any off-the-shelf RL algorithm to construct an RL oracle yet requires storing at most constantly many policies. The key ide a is to reduce the constrained RL problem to a distance minimization problem, and a novel variant of Frank-Wolfe algorithm is proposed for this task. Throughout the learning process, our method maintains at most constantly many individual p olicies, where the constant is shown to be worst-case optimal to ensure converge nce of any RL oracle. Our method comes with rigorous convergence and complexity analysis, and does not introduce any extra hyper-parameter. Experiments on a gri d-world navigation task demonstrate the efficiency of our method.

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Mime: Mimicking Centralized Stochastic Algorithms in Federated Learning Sai Praneeth Karimireddy, Martin Jaggi, Satyen Kale, Mehryar Mohri, Sashank J. Reddi, Sebastian U Stich, Ananda Theertha Suresh

Federated learning is a challenging optimization problem due to the heterogeneit y of the data across different clients. Such heterogeneity has been observed to induce \emph{client drift} and significantly degrade the performance of algorith ms designed for this setting.

In contrast, centralized learning with centrally collected data does not experie nce such drift, and has seen great empirical and theoretical progress with innov ations such as momentum, adaptivity, etc.

In this work, we propose a general framework {\sc Mime} which mitigates client-d rift and adapts arbitrary centralized optimization algorithms (e.g. SGD, Adam, e tc.) to federated learning.

 ${\sc Mime}$  uses a combination of  $\emph{\control-variates}$  and  $\emph{\server-level}$  statistics} (e.g. momentum) at every client-update step to ensure that each loca

l update mimics that of the centralized method. Our thorough theoretical and empirical analyses strongly establish  $\min$ 's superiority over other baselines.

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Categorical Normalizing Flows via Continuous Transformations Phillip Lippe, Efstratios Gavves

Despite their popularity, to date, the application of normalizing flows on categ orical data stays limited. The current practice of using dequantization to map d iscrete data to a continuous space is inapplicable as categorical data has no in trinsic order. Instead, categorical data have complex and latent relations that must be inferred, like the synonymy between words. In this paper, we investigate Categorical Normalizing Flows, that is normalizing flows for categorical data. By casting the encoding of categorical data in continuous space as a variational inference problem, we jointly optimize the continuous representation and the mo del likelihood. Using a factorized decoder, we introduce an inductive bias to mo del any interactions in the normalizing flow. As a consequence, we do not only s implify the optimization compared to having a joint decoder, but also make it po ssible to scale up to a large number of categories that is currently impossible with discrete normalizing flows. Based on Categorical Normalizing Flows, we prop ose GraphCNF a permutation-invariant generative model on graphs. GraphCNF implem ents a three step approach modeling the nodes, edges, and adjacency matrix stepw ise to increase efficiency. On molecule generation, GraphCNF outperforms both on e-shot and autoregressive flow-based state-of-the-art.

Offline Meta Learning of Exploration

Ron Dorfman, Aviv Tamar

Consider the following problem: given the complete training histories of \$N\$ con ventional RL agents, trained on \$N\$ different tasks, design a meta-agent that ca n quickly maximize reward in a new, unseen task from the same task distribution. In particular, while each conventional RL agent explored and exploited its own different task, the meta-agent must identify regularities in the data that lead to effective exploration/exploitation in the unseen task. This meta-learning pro blem is an instance of a setting we term Offline Meta Reinforcement Learning (OM RL). To solve our challenge, we take a Bayesian RL (BRL) view, and seek to learn a Bayes-optimal policy from the offline data. We extend the recently proposed V ariBAD BRL algorithm to the off-policy setting, and demonstrate learning of appr oximately Bayes-optimal exploration strategies from offline data using deep neur al networks. For the particular problem described above, our method learns effec tive exploration behavior that is qualitatively different from the exploration u sed by any RL agent in the data. Furthermore, we find that when applied to the o nline meta-RL setting (agent simultaneously collects data and improves its meta-RL policy), our method is significantly more sample efficient than the state-ofthe-art VariBAD.

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Loss Landscape Matters: Training Certifiably Robust Models with Favorable Loss Landscape

Sungyoon Lee, Woojin Lee, Jinseong Park, Jaewook Lee

In this paper, we study the problem of training certifiably robust models. Certifiable training minimizes an upper bound on the worst-case loss over the allowed perturbation, and thus the tightness of the upper bound is an important factor in building certifiably robust models. However, many studies have shown that Int erval Bound Propagation (IBP) training uses much looser bounds but outperforms o ther models that use tighter bounds. We identify another key factor that influen ces the performance of certifiable training: \textit{smoothness of the loss land scape}. We consider linear relaxation based methods and find significant differences in the loss landscape across these methods. Based on this analysis, we propose a certifiable training method that utilizes a tighter upper bound and has a landscape with favorable properties. The proposed method achieves performance comparable to state-of-the-art methods under a wide range of perturbations.

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Prototypical Representation Learning for Relation Extraction

Ning Ding, Xiaobin Wang, Yao Fu, Guangwei Xu, Rui Wang, Pengjun Xie, Ying Shen, Fei Huang, Hai-Tao Zheng, Rui Zhang

Recognizing relations between entities is a pivotal task of relational learning.

Learning relation representations from distantly-labeled datasets is difficult because of the abundant label noise and complicated expressions in human language

This paper aims to learn predictive, interpretable, and robust relation represen tations from distantly-labeled data that are effective in different settings, in cluding supervised, distantly supervised, and few-shot learning.

Instead of solely relying on the supervision from noisy labels, we propose to le arn prototypes for each relation from contextual information to best explore the intrinsic semantics of relations.

Prototypes are representations in the feature space abstracting the essential se mantics of relations between entities in sentences.

We learn prototypes based on objectives with clear geometric interpretation, whe re the prototypes are unit vectors uniformly dispersed in a unit ball, and state ment embeddings are centered at the end of their corresponding prototype vectors on the surface of the ball.

This approach allows us to learn meaningful, interpretable prototypes for the final classification.

Results on several relation learning tasks show that our model significantly out performs the previous state-of-the-art models.

We further demonstrate the robustness of the encoder and the interpretability of prototypes with extensive experiments.

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On the Decision Boundaries of Neural Networks. A Tropical Geometry Perspective Motasem Alfarra, Adel Bibi, Hasan Abed Al Kader Hammoud, Mohamed Gaafar, Bernard Ghanem

This work tackles the problem of characterizing and understanding the decision be oundaries of neural networks with piecewise linear non-linearity activations. We use tropical geometry, a new development in the area of algebraic geometry, to characterize the decision boundaries of a simple network of the form (Affine, Re LU, Affine). Our main finding is that the decision boundaries are a subset of a tropical hypersurface, which is intimately related to a polytope formed by the convex hull of two zonotopes. The generators of these zonotopes are functions of the network parameters. This geometric characterization provides new perspectives to three tasks. Specifically, we propose a new tropical perspective to the lot tery ticket hypothesis, where we view the effect of different initializations on the tropical geometric representation of a network's decision boundaries. Moreo ver, we propose new tropical based optimization problems that directly influence the decision boundaries of the network for the tasks of network pruning (removing network parameters not contributing to the tropical geometric representation of the decision boundaries) and the generation of adversarial attacks.

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Generalized Energy Based Models

Michael Arbel, Liang Zhou, Arthur Gretton

We introduce the Generalized Energy Based Model (GEBM) for generative modelling. These models combine two trained components: a base distribution (generally an implicit model), which can learn the support of data with low intrinsic dimensi on in a high dimensional space; and an energy function, to refine the probability mass on the learned support.

Both the energy function and base jointly constitute the final model, unlike GAN s, which retain only the base distribution (the "generator").

GEBMs are trained by alternating between learning the energy and the base.

We show that both training stages are well-defined: the energy is learned by max imising a generalized likelihood, and the resulting energy-based loss provides i nformative gradients for learning the base.

Samples from the posterior on the latent space of the trained model can be obtai

ned via MCMC, thus finding regions in this space that produce better quality sam ples.

Empirically, the GEBM samples on image-generation tasks are of much better quality than those from the learned generator alone, indicating that all else being equal, the GEBM will outperform a GAN of the same complexity. When using normalizing flows as base measures, GEBMs succeed on density modelling tasks returning comparable performance to direct maximum likelihood of the same networks.

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AriEL: Volume Coding for Sentence Generation Comparisons Luca Celotti, Simon Brodeur, Jean Rouat

Mapping sequences of discrete data to a point in a continuous space makes it dif ficult to retrieve those sequences via random sampling. Mapping the input to a v olume would make it easier to retrieve at test time, and that is the strategy fo llowed by the family of approaches based on Variational Autoencoder. However the fact that they are at the same time optimizing for prediction and for smoothnes s of representation, forces them to trade-off between the two. We benchmark the performance of some of the standard methods in deep learning to generate sentence es by uniformly sampling a continuous space. We do it by proposing AriEL, that c onstructs volumes in a continuous space, without the need of encouraging the cre ation of volumes through the loss function. We first benchmark on a toy grammar, that allows to automatically evaluate the language learned and generated by the models. Then, we benchmark on a real dataset of human dialogues. Our results in dicate that the random access to the stored information can be significantly imp roved, since our method AriEL is able to generate a wider variety of correct lan guage by randomly sampling the latent space. VAE follows in performance for the toy dataset while, AE and Transformer follow for the real dataset. This partiall y supports the hypothesis that encoding information into volumes instead of into points, leads to improved retrieval of learned information with random sampling . We hope this analysis can clarify directions to lead to better generators.

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Predicting Classification Accuracy When Adding New Unobserved Classes Yuli Slavutsky, Yuval Benjamini

Multiclass classifiers are often designed and evaluated only on a sample from th e classes on which they will eventually be applied. Hence, their final accuracy remains unknown. In this work we study how a classifier's performance over the i nitial class sample can be used to extrapolate its expected accuracy on a larger , unobserved set of classes. For this, we define a measure of separation between correct and incorrect classes that is independent of the number of classes: the "reversed ROC" (rROC), which is obtained by replacing the roles of classes and data-points in the common ROC. We show that the classification accuracy is a fun ction of the rROC in multiclass classifiers, for which the learned representatio n of data from the initial class sample remains unchanged when new classes are a dded. Using these results we formulate a robust neural-network-based algorithm, "CleaneX", which learns to estimate the accuracy of such classifiers on arbitrar ily large sets of classes. Unlike previous methods, our method uses both the obs erved accuracies of the classifier and densities of classification scores, and t herefore achieves remarkably better predictions than current state-of-the-art me thods on both simulations and real datasets of object detection, face recognitio n, and brain decoding.

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In Search of Lost Domain Generalization

Ishaan Gulrajani, David Lopez-Paz

The goal of domain generalization algorithms is to predict well on distributions different from those seen during training.

While a myriad of domain generalization algorithms exist, inconsistencies in exp erimental conditions---datasets, network architectures, and model selection crit eria---render fair comparisons difficult.

The goal of this paper is to understand how useful domain generalization algorit hms are in realistic settings.

As a first step, we realize that model selection is non-trivial for domain gener

alization tasks, and we argue that algorithms without a model selection criterio n remain incomplete.

Next we implement DomainBed, a testbed for domain generalization including seven benchmarks, fourteen algorithms, and three model selection criteria.

When conducting extensive experiments using DomainBed we find that when carefull y implemented and tuned, ERM outperforms the state-of-the-art in terms of average performance.

Furthermore, no algorithm included in DomainBed outperforms ERM by more than one point when evaluated under the same experimental conditions.

We hope that the release of DomainBed, alongside contributions from fellow researchers, will streamline reproducible and rigorous advances in domain generalization.

Estimating informativeness of samples with Smooth Unique Information

Hrayr Harutyunyan, Alessandro Achille, Giovanni Paolini, Orchid Majumder, Avinash Ravichandran, Rahul Bhotika, Stefano Soatto

We define a notion of information that an individual sample provides to the training of a neural network, and we specialize it to measure both how much a sample informs the final weights and how much it informs the function computed by the weights. Though related, we show that these quantities have a qualitatively different behavior. We give efficient approximations of these quantities using a linearized network and demonstrate empirically that the approximation is accurate for real-world architectures, such as pre-trained ResNets. We apply these measures to several problems, such as dataset summarization, analysis of under-sampled classes, comparison of informativeness of different data sources, and detection of adversarial and corrupted examples. Our work generalizes existing frameworks, but enjoys better computational properties for heavily over-parametrized models, which makes it possible to apply it to real-world networks.

Causal Probabilistic Spatio-temporal Fusion Transformers in Two-sided Ride-Hailing Markets

Shixiang Wan, Shikai Luo, Hongtu Zhu

Achieving accurate spatio-temporal predictions in large-scale systems is extreme ly valuable in many real-world applications, such as weather forecasts, retail f orecasting, and urban traffic forecasting. So far, most existing methods for mul ti-horizon, multi-task and multi-target predictions select important predicting variables via their correlations with responses, and thus it is highly possible that many forecasting models generated from those methods are not causal, leadin g to poor interpretability. The aim of this paper is to develop a collaborative causal spatio-temporal fusion transformer, named CausalTrans, to establish the c ollaborative causal effects of predictors on multiple forecasting targets, such as supply and demand in ride-sharing platforms. Specifically, we integrate the c ausal attention with the Conditional Average Treatment Effect (CATE) estimation method for causal inference. Moreover, we propose a novel and fast multi-head at tention evolved from Taylor expansion instead of softmax, reducing time complexi ty from  $O(\mathbb{V}^2)$  to  $O(\mathbb{V}^2)$ , where  $\mathcal{V}$  is the numbe r of nodes in a graph. We further design a spatial graph fusion mechanism to sig nificantly reduce the parameters' scale. We conduct a wide range of experiments to demonstrate the interpretability of causal attention, the effectiveness of va rious model components, and the time efficiency of our CausalTrans. As shown in these experiments, our CausalTrans framework can achieve up to 15\$\%\$ error redu ction compared with various baseline methods.

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Contextual Knowledge Distillation for Transformer Compression Geondo Park, Gyeongman Kim, Eunho Yang

A computationally expensive and memory intensive neural network lies behind the recent success of language representation learning. Knowledge distillation, a ma jor technique for deploying such a vast language model in resource-scarce environments, transfers the knowledge on individual word representations learned without restrictions. In this paper, inspired by the recent observations that language

e representations are relatively positioned and have more semantic knowledge as a whole, we present a new knowledge distillation strategy for language represent ation learning that transfers the contextual knowledge via two types of relation ships across representations: Word Relation and Layer Transforming Relation. We validate the effectiveness of our method on challenging benchmarks of language u nderstanding tasks. The code will be released.

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3D Scene Compression through Entropy Penalized Neural Representation Functions Thomas Bird, Johannes Ballé, Saurabh Singh, Philip Chou

Some forms of novel visual media enable the viewer to explore a 3D scene from es sentially arbitrary viewpoints, by interpolating between a discrete set of origi nal views. Compared to 2D imagery, these types of applications require much larg er amounts of storage space, which we seek to reduce. Existing approaches for co mpressing 3D scenes are based on a separation of compression and rendering: each of the original views is compressed using traditional 2D image formats; the rec eiver decompresses the views and then performs the rendering. We unify these ste ps by directly compressing an implicit representation of the scene, a function t hat maps spatial coordinates to a radiance vector field, which can then be queri ed to render arbitrary viewpoints. The function is implemented as a neural netwo rk and jointly trained for reconstruction as well as compressibility, in an endto-end manner, with the use of an entropy penalty on the parameters. Our method significantly outperforms a state-of-the-art conventional approach for scene com pression, achieving simultaneously higher quality reconstructions and lower bitr ates. Furthermore, we show that the performance at lower bitrates can be improve d by jointly representing multiple scenes using a soft form of parameter sharing

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FILTRA: Rethinking Steerable CNN by Filter Transform

Bo Li, Qili Wang, Gim Hee Lee

Steerable CNN imposes the prior knowledge of transformation invariance or equiva riance in the network architecture to enhance the the network robustness on geom etry transformation of data and reduce overfitting. Filter transform has been an intuitive and widely used technique to construct steerable CNN in the past deca des. Recently, group representation theory is used to analyze steerable CNN and reveals the function space structure of a steerable kernel function. However, it is not yet clear on how this theory is related to the filter transform technique. In this paper, we show that kernel constructed by filter transform can also be interpreted in the group representation theory. Meanwhile, we show that filter transformed kernels can be used to convolve input/output features in different group representation. This interpretation help complete the puzzle of steerable CNN theory and provides a novel and simple approach to implement steerable convolution operators. Experiments are executed on multiple datasets to verify the fe asibilty of the proposed approach.

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Transferring Inductive Biases through Knowledge Distillation

Samira Abnar, Mostafa Dehghani, Willem H. Zuidema

Having the right inductive biases can be crucial in many tasks or scenarios wher e data or computing resources are a limiting factor, or where training data is n ot perfectly representative of the conditions at test time. However, defining, d esigning, and efficiently adapting inductive biases is not necessarily straightf orward. Inductive biases of a model affect its generalisation behaviour and infl uence the solution it converges to from different aspects. In this paper, we inv estigate the power of knowledge distillation in transferring the effects of inductive biases of a teacher model to a student model, when they have different arc hitectures.

We consider different families of models: LSTMs vs. Transformers and CNNs vs. ML Ps, in the context of tasks and scenarios with linguistics and vision applications, where having the right inductive biases is critical. We train our models in different setups: no knowledge distillation, self-distillation, and distillation

using a teacher with a better inductive bias for the task at hand. We show that in the later setup, compared to no distillation and self-distillation, we can n ot only improve the performance of the students, but also the solutions they con verge become similar to their teachers with respect to a wide range of propertie s, including different task-specific performance metrics, per sample behaviour o f the models, representational similarity and how the representational space of the models evolve during training, performance on out-of-distribution datasets, confidence calibration, and finally whether the converged solutions fall within the same basins of attractions.

Identifying Physical Law of Hamiltonian Systems via Meta-Learning Seungjun Lee, Haesang Yang, Woojae Seong

Hamiltonian mechanics is an effective tool to represent many physical processes with concise yet well-generalized mathematical expressions. A well-modeled Hamil tonian makes it easy for researchers to analyze and forecast many related phenom ena that are governed by the same physical law. However, in general, identifying a functional or shared expression of the Hamiltonian is very difficult. It requ ires carefully designed experiments and the researcher's insight that comes from years of experience. We propose that meta-learning algorithms can be potentiall y powerful data-driven tools for identifying the physical law governing Hamilton ian systems without any mathematical assumptions on the representation, but with observations from a set of systems governed by the same physical law. We show t hat a well meta-trained learner can identify the shared representation of the Ha miltonian by evaluating our method on several types of physical systems with var ious experimental settings.

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Adapting to Reward Progressivity via Spectral Reinforcement Learning Michael Dann, John Thangarajah

In this paper we consider reinforcement learning tasks with progressive rewards; that is, tasks where the rewards tend to increase in magnitude over time. We hy pothesise that this property may be problematic for value-based deep reinforceme nt learning agents, particularly if the agent must first succeed in relatively u nrewarding regions of the task in order to reach more rewarding regions. To addr ess this issue, we propose Spectral DQN, which decomposes the reward into freque ncies such that the high frequencies only activate when large rewards are found. This allows the training loss to be balanced so that it gives more even weighti ng across small and large reward regions. In two domains with extreme reward pro gressivity, where standard value-based methods struggle significantly, Spectral DQN is able to make much farther progress. Moreover, when evaluated on a set of six standard Atari games that do not overtly favour the approach, Spectral DQN r emains more than competitive: While it underperforms one of the benchmarks in a single game, it comfortably surpasses the benchmarks in three games. These resul ts demonstrate that the approach is not overfit to its target problem, and sugge st that Spectral DQN may have advantages beyond addressing reward progressivity.

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Understanding the effects of data parallelism and sparsity on neural network tra ining

Namhoon Lee, Thalaiyasingam Ajanthan, Philip Torr, Martin Jaggi

We study two factors in neural network training: data parallelism and sparsity; here, data parallelism means processing training data in parallel using distribu ted systems (or equivalently increasing batch size), so that training can be acc elerated; for sparsity, we refer to pruning parameters in a neural network model , so as to reduce computational and memory cost. Despite their promising benefit s, however, understanding of their effects on neural network training remains el usive. In this work, we first measure these effects rigorously by conducting ext ensive experiments while tuning all metaparameters involved in the optimization. As a result, we find across various workloads of data set, network model, and o ptimization algorithm that there exists a general scaling trend between batch si ze and number of training steps to convergence for the effect of data parallelis m, and further, difficulty of training under sparsity. Then, we develop a theore

tical analysis based on the convergence properties of stochastic gradient method s and smoothness of the optimization landscape, which illustrates the observed p henomena precisely and generally, establishing a better account of the effects of data parallelism and sparsity on neural network training.

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Rethinking Uncertainty in Deep Learning: Whether and How it Improves Robustness Yilun Jin, Lixin Fan, Kam Woh Ng, Ce Ju, Qiang Yang

Deep neural networks (DNNs) are known to be prone to adversarial attacks, for wh ich many remedies are proposed. While adversarial training (AT) is regarded as t he most robust defense, it suffers from poor performance both on clean examples and under other types of attacks, e.g. attacks with larger perturbations. Meanwh ile, regularizers that encourage uncertain outputs, such as entropy maximization (EntM) and label smoothing (LS) can maintain accuracy on clean examples and imp rove performance under weak attacks, yet their ability to defend against strong attacks is still in doubt. In this paper, we revisit uncertainty promotion regul arizers, including EntM and LS, in the field of adversarial learning. We show th at EntM and LS alone provide robustness only under small perturbations. Contrari ly, we show that uncertainty promotion regularizers complement AT in a principle d manner, consistently improving performance on both clean examples and under va rious attacks, especially attacks with large perturbations. We further analyze h ow uncertainty promotion regularizers enhance the performance of AT from the per spective of Jacobian matrices  $\alpha_X f(X;\theta)$ , and find out that EntM eff ectively shrinks the norm of Jacobian matrices and hence promotes robustness.

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Primal Wasserstein Imitation Learning

Robert Dadashi, Leonard Hussenot, Matthieu Geist, Olivier Pietquin

Imitation Learning (IL) methods seek to match the behavior of an agent with that of an expert. In the present work, we propose a new IL method based on a concep tually simple algorithm: Primal Wasserstein Imitation Learning (PWIL), which tie s to the primal form of the Wasserstein distance between the expert and the agen t state-action distributions. We present a reward function which is derived offl ine, as opposed to recent adversarial IL algorithms that learn a reward function through interactions with the environment, and which requires little fine-tunin g. We show that we can recover expert behavior on a variety of continuous control tasks of the MuJoCo domain in a sample efficient manner in terms of agent interactions and of expert interactions with the environment. Finally, we show that the behavior of the agent we train matches the behavior of the expert with the Wasserstein distance, rather than the commonly used proxy of performance.

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Matrix Data Deep Decoder - Geometric Learning for Structured Data Completion Maria Schmidt, Alexander Bronstein

In this work, we present a fully convolutional end to end method to reconstruct corrupted sparse matrices of Non-Euclidean data. The classic example for such ma trices is recommender systems matrices where the rows/columns represent items/us ers and the entries are ratings. The method we present is inspired by the surpri sing and spectacular success of methods like\$"\$ deep image prior\$"\$ and \$``\$de ep decoder\$"\$ for corrupted image completion. In sharp contrast to previous Mat rix Completion methods wherein the latent matrix or its factors directly serve a s the optimization variable, in the method we present, the matrix is parameteriz ed as the weights of a graph neural network acting on a random noisy input. Then we are tuning the network parameters to get a result as close as possible to th e initial sparse matrix (using its factors) getting that way state of the art ma trix completion result. In addition to the conceptual simplicity of our method, which is just Non-Euclidean generalization of deep image priors, it holds fewer parameters than previously presented methods which makes the parameters more tra ckable and the method more computationally efficient and more applicable for the real-world tasks. The method also achieves state-of-the-art results for the mat rix completion task on the classical benchmarks in the field. The method also su rprisingly shows that untrained convolutional neural network can use a good prio r not only for image completion but also for Matrix Completion when redefined fo

r graphs.

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Improving Post Training Neural Quantization: Layer-wise Calibration and Integer Programming

Itay Hubara, Yury Nahshan, Yair Hanani, Ron Banner, Daniel Soudry

Lately, post-training quantization methods have gained considerable attention, a s they are simple to use, and require only a small unlabeled calibration set. Th is small dataset cannot be used to fine-tune the model without significant overfitting. Instead, these methods only use the calibration set to set the activati ons' dynamic ranges. However, such methods always resulted in significant accura cy degradation, when used below 8-bits (except on small datasets). Here we aim t o break the 8-bit barrier. To this end, we minimize the quantization errors of e ach layer separately by optimizing its parameters over the calibration set. empirically demonstrate that this approach is: (1) much less susceptible to over -fitting than the standard fine-tuning approaches, and can be used even on a ver y small calibration set; and (2) more powerful than previous methods, which only set the activations' dynamic ranges. Furthermore, we demonstrate how to optimal ly allocate the bit-widths for each layer, while constraining accuracy degradati on or model compression by proposing a novel integer programming formulation. Fi nally, we suggest model global statistics tuning, to correct biases introduced d uring quantization. Together, these methods yield state-of-the-art results for b oth vision and text models. For instance, on ResNet50, we obtain less than 1\% a ccuracy degradation --- with 4-bit weights and activations in all layers, but th e smallest two. Our code is available at, https://github.com/papers-submission/C

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A frequency domain analysis of gradient-based adversarial examples Bochen Lv,Pu Yang,Zehao Wang,Zhanxing Zhu

It is well known that deep neural networks are vulnerable to adversarial example s. We attempt to understand adversarial examples from the perspective of frequen cy analysis. Several works have empirically shown that the gradient-based advers arial attacks perform differently in the low-frequency and high-frequency part of the input data. But there is still a lack of theoretical justification of these phenomena. In this work, we both theoretically and empirically show that the adversarial perturbations gradually increase the concentration in the low-frequency domain of the spectrum during the training process of the model parameters. And the log-spectrum difference of the adversarial examples and clean image is more concentrated in the high-frequency part than the low-frequency part. We also find out that the ratio of the high-frequency and the low-frequency part in the adversarial perturbation is much larger than that in the corresponding natural image. Inspired by these important theoretical findings, we apply low-pass filter to potential adversarial examples before feeding them to the model. The results show that this preprocessing can significantly improve the robustness of the model.

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On Noise Injection in Generative Adversarial Networks

Ruili Feng, Deli Zhao, Zheng-Jun Zha

Noise injection is an effective way of circumventing overfitting and enhancing generalization in machine learning, the rationale of which has been validated in deep learning as well. Recently, noise injection exhibits surprising performance when

generating high-fidelity images in Generative Adversarial Networks (GANs). Despite its successful applications in GANs, the mechanism of its validity is still unclear. In this paper, we propose a geometric framework to theoretically analy ze the role of noise injection in GANs. Based on Riemannian geometry, we success fully model the noise injection framework as fuzzy equivalence on geodesic normal coordinates. Guided by our theories, we find that existing methods are incomplete and a new strategy for noise injection is devised. Experiments on image gene ration and GAN inversion demonstrate the superiority of our method.

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Optimizing Large-Scale Hyperparameters via Automated Learning Algorithm Bin Gu, Guodong Liu, Yanfu Zhang, Xiang Geng, Heng Huang

Modern machine learning algorithms usually involve tuning multiple (from one to thousands) hyperparameters which play a pivotal role in terms of model genera lizability. Globally choosing appropriate values of hyperparameters is extremely computationally challenging. Black-box optimization and gradient-based algorit hms are two dominant approaches to hyperparameter optimization while they have totally distinct advantages. How to design a new hyperparameter optimization t echnique inheriting all benefits from both approaches is still an open problem. To address this challenging problem, in this paper, we propose a new hyperpar ameter optimization method with zeroth-order hyper-gradients (HOZOG). Specifica lly, we first exactly formulate hyperparameter optimization as an \$\mathcal{A} \$-based constrained optimization problem, where  $\mathbb{A}$  is a black-box o ptimization algorithm (such as deep neural network). Then, we use the average ze roth-order hyper-gradients to update hyperparameters. We provide the feasibili ty analysis of using HOZOG to achieve hyperparameter optimization. The experim ental results on three representative hyperparameter (the size is from 1 to 12 50) optimization tasks demonstrate the benefits of HOZOG in terms of simplicity, scalability, flexibility, effectiveness and efficiency compared wit h the state-of-the-art hyperparameter optimization methods.

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Prediction and generalisation over directed actions by grid cells Changmin Yu, Timothy Behrens, Neil Burgess

Knowing how the effects of directed actions generalise to new situations (e.g. m oving North, South, East and West, or turning left, right, etc.) is key to rapid generalisation across new situations. Markovian tasks can be characterised by a state space and a transition matrix and recent work has proposed that neural gr id codes provide an efficient representation of the state space, as eigenvectors of a transition matrix reflecting diffusion across states, that allows efficien t prediction of future state distributions. Here we extend the eigenbasis predic tion model, utilising tools from Fourier analysis, to prediction over arbitrary translation-invariant directed transition structures (i.e. displacement and diff usion), showing that a single set of eigenvectors can support predictions over a rbitrary directed actions via action-specific eigenvalues. We show how to define a "sense of direction" to combine actions to reach a target state (ignoring tas k-specific deviations from translation-invariance), and demonstrate that adding the Fourier representations to a deep Q network aids policy learning in continuo us control tasks. We show the equivalence between the generalised prediction fra mework and traditional models of grid cell firing driven by self-motion to perfo rm path integration, either using oscillatory interference (via Fourier componen ts as velocity-controlled oscillators) or continuous attractor networks (via ana lysis of the update dynamics). We thus provide a unifying framework for the role of the grid system in predictive planning, sense of direction and path integrat ion: supporting generalisable inference over directed actions across different t

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Drop-Bottleneck: Learning Discrete Compressed Representation for Noise-Robust Exploration

Jaekyeom Kim, Minjung Kim, Dongyeon Woo, Gunhee Kim

We propose a novel information bottleneck (IB) method named Drop-Bottleneck, which discretely drops features that are irrelevant to the target variable. Drop-Bottleneck not only enjoys a simple and tractable compression objective but also a dditionally provides a deterministic compressed representation of the input variable, which is useful for inference tasks that require consistent representation. Moreover, it can jointly learn a feature extractor and select features considering each feature dimension's relevance to the target task, which is unattainable by most neural network-based IB methods. We propose an exploration method based on Drop-Bottleneck for reinforcement learning tasks. In a multitude of noisy and reward sparse maze navigation tasks in VizDoom (Kempka et al., 2016) and DMLa be (Beattie et al., 2016), our exploration method achieves state-of-the-art performance in the state of the state

rmance. As a new IB framework, we demonstrate that Drop-Bottleneck outperforms V ariational Information Bottleneck (VIB) (Alemi et al., 2017) in multiple aspects including adversarial robustness and dimensionality reduction.

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PIVEN: A Deep Neural Network for Prediction Intervals with Specific Value Prediction

Eli Simhayev, Gilad Katz, Lior Rokach

Improving the robustness of neural nets in regression tasks is key to their application in multiple domains. Deep learning-based approaches aim to achieve this goal either by improving their prediction of specific values (i.e., point prediction), or by producing prediction intervals (PIs) that quantify uncertainty. We present PIVEN, a deep neural network for producing both a PI and a prediction of specific values. Unlike previous studies, PIVEN makes no assumptions regarding data distribution inside the PI, making its point prediction more effective for various real-world problems. Benchmark experiments show that our approach produces tighter uncertainty bounds than the current state-of-the-art approach for producing PIs, while maintaining comparable performance to the state-of-the-art approach for specific value-prediction. Additional evaluation on large image datas ets further support our conclusions.

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Variational Invariant Learning for Bayesian Domain Generalization Zehao Xiao, Jiayi Shen, Xiantong Zhen, Ling Shao, Cees G. M. Snoek

Domain generalization addresses the out-of-distribution problem, which is challe nging due to the domain shift and the uncertainty caused by the inaccessibility to data from the target domains. In this paper, we propose variational invariant learning, a probabilistic inference framework that jointly models domain invariance and uncertainty. We introduce variational Bayesian approximation into both the feature representation and classifier layers to facilitate invariant learning for better generalization across domains. In the probabilistic modeling framew ork, we introduce a domain-invariant principle to explore invariance across domains in a unified way. We incorporate the principle into the variational Bayesian layers in neural networks, achieving domain-invariant representations and classifier. We empirically demonstrate the effectiveness of our proposal on four wide ly used cross-domain visual recognition benchmarks. Ablation studies demonstrate the benefits of our proposal and on all benchmarks our variational invariant le arning consistently delivers state-of-the-art performance.

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BOIL: Towards Representation Change for Few-shot Learning Jaehoon Oh, Hyungjun Yoo, ChangHwan Kim, Se-Young Yun

Model Agnostic Meta-Learning (MAML) is one of the most representative of gradien t-based meta-learning algorithms. MAML learns new tasks with a few data samples using inner updates from a meta-initialization point and learns the meta-initial ization parameters with outer updates. It has recently been hypothesized that re presentation reuse, which makes little change in efficient representations, is t he dominant factor in the performance of the meta-initialized model through MAML in contrast to representation change, which causes a significant change in repr esentations. In this study, we investigate the necessity of representation chang e for the ultimate goal of few-shot learning, which is solving domain-agnostic t asks. To this aim, we propose a novel meta-learning algorithm, called BOIL (Body Only update in Inner Loop), which updates only the body (extractor) of the mode l and freezes the head (classifier) during inner loop updates. BOIL leverages re presentation change rather than representation reuse. A frozen head cannot achie ve better results than even a random guessing classifier at the initial point of new tasks, and feature vectors (representations) have to move quickly to their corresponding frozen head vectors. We visualize this property using cosine simil arity, CKA, and empirical results without the head. Although the inner loop upda tes purely hinge on representation change, BOIL empirically shows significant pe rformance improvement over MAML, particularly on cross-domain tasks. The results imply that representation change in gradient-based meta-learning approaches is a critical component.

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Exploring the Potential of Low-Bit Training of Convolutional Neural Networks Kai Zhong, Xuefei Ning, Tianchen Zhao, Zhenhua Zhu, Shulin Zeng, Guohao Dai, Yu Wang, Huazhong Yang

In this paper, we propose a low-bit training framework for convolutional neural networks. Our framework focuses on reducing the energy and time consumption of c onvolution kernels, by quantizing all the convolutional operands (activation, we ight, and error) to low bit-width. Specifically, we propose a multi-level scaling (MLS) tensor format, in which the element-wise bit-width can be largely reduced to simplify floating-point computations to nearly fixed-point. Then, we describe the dynamic quantization and the low-bit tensor convolution arithmetic to efficiently leverage the MLS tensor format. Experiments show that our framework a chieves a superior trade-off between the accuracy and the bit-width than previous methods. When training ResNet-20 on CIFAR-10,

all convolution operands can be quantized to 1-bit mantissa and 2-bit exponent, while retaining the same accuracy as the full-precision training. When training ResNet-18 on ImageNet, with 4-bit mantissa and 2-bit exponent, our framework can achieve an accuracy loss of less than \$1\%\$. Energy consumption analysis shows that our design can achieve over \$6.8\times\$ higher energy efficiency than training with floating-point arithmetic.

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MultiModalQA: complex question answering over text, tables and images
Alon Talmor,Ori Yoran,Amnon Catav,Dan Lahav,Yizhong Wang,Akari Asai,Gabriel Ilha
rco,Hannaneh Hajishirzi,Jonathan Berant

When answering complex questions, people can seamlessly combine information from visual, textual and tabular sources.

While interest in models that reason over multiple pieces of evidence has surged in recent years, there has been relatively little work on question answering models that reason across multiple modalities.

In this paper, we present MultiModalQA (MMQA): a challenging question answering dataset that requires joint reasoning over text, tables and images.

We create MMQA using a new framework for generating complex multi-modal question s at scale, harvesting tables from Wikipedia, and attaching images and text para graphs using entities that appear in each table. We then define a formal language that allows us to take questions that can be answered from a single modality, and combine them to generate cross-modal questions. Last, crowdsourcing workers take these automatically generated questions and rephrase them into more fluent language.

We create 29,918 questions through this procedure, and empirically demonstrate the necessity of a multi-modal multi-hop approach to solve our task: our multi-hop model, ImplicitDecomp, achieves an average F1 of 51.7 over cross-modal questions, substantially outperforming a strong baseline that achieves 38.2 F1, but still lags significantly behind human performance, which is at 90.1 F1.

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Interpretable Relational Representations for Food Ingredient Recommendation Systems

Kana Maruyama, Michael Spranger

Supporting chefs with ingredient recommender systems to create new recipes is ch allenging, as good ingredient combinations depend on many factors like taste, sm ell, cuisine style, texture among others. There have been few attempts to addres s these issues using machine learning. Importantly, useful models do obvious ly need to be accurate but importantly -- especially for food professionals -- i nterpretable. In order to address these issues, we propose the Interpretable Rel ational Representation Model (IRRM). The main component of the model is a key-va lue memory network to represent relationships of ingredients. We propose and tes t two variants of the model.

One can learn latent relational representations over a trainable memory network (Implicit model), and the other can learn explainable relational representations over a pre-trained memory network that integrates an external knowledge base (Explicit model).

The relational representations resulting from the model are interpretable -- the y allow to inspect why certain ingredient pairings have been suggested. The Explicit model additionally allows to integrate any number of manually specified con straints.

We conduct experiments on two recipe datasets, including CulinaryDB with 45,772 recipes and Flavornet with 55,001 recipes, respectively. The experimental result s show that our models are both predictive and informative.

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Regularization Shortcomings for Continual Learning Timothee LESORT, Andrei Stoian

In most machine learning algorithms, training data is assumed to be independent and identically distributed (iid).

When it is not the case, the performances of the algorithms are challenged, lead ing to the famous phenomenon of \textit{catastrophic forgetting}. Algorithms dea ling with it are gathered in the \textit{Continual Learning} research field. In this paper, we study the \textit{regularization} based approaches to continual 1 earning and show that those approaches can not learn to discriminate classes from different tasks in an elemental continual benchmark, the class-incremental set ting.

We make theoretical reasoning to prove this shortcoming and illustrate it with experiments.

Moreover, we show that it can have some important consequences on multi-tasks re inforcement learning or in pre-trained models used for continual learning.

We believe this paper to be the first to propose a theoretical description of regularization shortcomings for continual learning.

Central Server Free Federated Learning over Single-sided Trust Social Networks Chaoyang He, Conghui Tan, Hanlin Tang, Shuang Qiu, Ji Liu

Federated learning has become increasingly important for modern machine learning, especially for data privacy-sensitive scenarios. Existing federated learning mostly adopts the central server-based architecture or centralized architecture. However, in many social network scenarios, centralized federated learning is not applicable (e.g., a central agent or server connecting all users may not exist, or the communication cost to the central server is not affordable). In this paper, we consider a generic setting: 1) the central server may not exist, and 2) the social network is unidirectional or of single-sided trust (i.e., user A trust suser B but user B may not trust user A). We propose a central server free federated learning algorithm, named Online Push-Sum (OPS) method, to handle this challenging but generic scenario. A rigorous regret analysis is also provided, which shows interesting results on how users can benefit from communication with trusted users in the federated learning scenario. This work builds upon the fundame ntal algorithm framework and theoretical guarantees for federated learning in the generic social network scenario.

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On the Inductive Bias of a CNN for Distributions with Orthogonal Patterns Alon Brutzkus,  $\mbox{\tt Amir}$  Globerson

Training overparameterized convolutional neural networks with gradient based opt imization is the most successful learning method for image classification. However, their generalization properties are far from understood. In this work, we consider a simplified image classification task where images contain orthogonal patches and are learned with a 3-layer overparameterized convolutional network and stochastic gradient descent (SGD). We empirically identify a novel phenomenon of SGD in our setting, where the dot-product between the learned pattern detectors and their detected patterns are governed by the pattern statistics in the training set. We call this phenomenon Pattern Statistics Inductive Bias (PSI) and empirically verify it in a large number of instances. We prove that in our setting, if a learning algorithm satisfies PSI then its sample complexity is \$O(d^2\log(d))\$ where \$d\$ is the filter dimension. In contrast, we show a VC dimension low er bound which is exponential in \$d\$. We perform experiments with overparameterized CNNs on a variant of MNIST with non-orthogonal patches, and show that the em

pirical observations are in line with our analysis.

Neural gradients are near-lognormal: improved quantized and sparse training Brian Chmiel, Liad Ben-Uri, Moran Shkolnik, Elad Hoffer, Ron Banner, Daniel Soudry While training can mostly be accelerated by reducing the time needed to propagat e neural gradients (loss gradients with respect to the intermediate neural layer outputs) back throughout the model, most previous works focus on the quantizati on/pruning of weights and activations. These methods are often not applicable to neural gradients, which have very different statistical properties. Distinguish ed from weights and activations, we find that the distribution of neural gradien ts is approximately lognormal. Considering this, we suggest two closed-form anal ytical methods to reduce the computational and memory burdens of neural gradient s. The first method optimizes the floating-point format and scale of the gradien ts. The second method accurately sets sparsity thresholds for gradient pruning. Each method achieves state-of-the-art results on ImageNet. To the best of our k nowledge, this paper is the first to (1) quantize the gradients to 6-bit floatin g-point formats, or (2) achieve up to 85% gradient sparsity --- in each case wit hout accuracy degradation.

Reference implementation accompanies the paper in the supplementary material.

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Knowledge Distillation By Sparse Representation Matching

Dat Thanh Tran, Moncef Gabbouj, Alexandros Iosifidis

Knowledge Distillation refers to a class of methods that transfers the knowledge from a teacher network to a student network. In this paper, we propose Sparse R epresentation Matching (SRM), a method to transfer intermediate knowledge obtain ed from one Convolutional Neural Network (CNN) to another by utilizing sparse re presentation learning. SRM first extracts sparse representations of the hidden f eatures of the teacher CNN, which are then used to generate both pixel-level and image-level labels for training intermediate feature maps of the student network. We formulate SRM as a neural processing block, which can be efficiently optim ized using stochastic gradient descent and integrated into any CNN in a plug-and -play manner. Our experiments demonstrate that SRM is robust to architectural differences between the teacher and student networks, and outperforms other KD techniques across several datasets.

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Group Equivariant Conditional Neural Processes

Makoto Kawano, Wataru Kumagai, Akiyoshi Sannai, Yusuke Iwasawa, Yutaka Matsuo We present the group equivariant conditional neural process (EquivCNP), a meta-l earning method with permutation invariance in a data set as in conventional cond itional neural processes (CNPs), and it also has transformation equivariance in data space. Incorporating group equivariance, such as rotation and scaling equivariance, provides a way to consider the symmetry of real-world data. We give a decomposition theorem for permutation-invariant and group-equivariant maps, which leads us to construct EquivCNPs with an infinite-dimensional latent space to handle group symmetries. In this paper, we build architecture using Lie group convolutional layers for practical implementation. We show that EquivCNP with translation equivariance achieves comparable performance to conventional CNPs in a 1D regression task. Moreover, we demonstrate that incorporating an appropriate Lie group equivariance, EquivCNP is capable of zero-shot generalization for an image -completion task by selecting an appropriate Lie group equivariance.

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K-Adapter: Infusing Knowledge into Pre-Trained Models with Adapters Ruize Wang, Duyu Tang, Nan Duan, zhongyu wei, Xuanjing Huang, Jianshu Ji, Guihong Cao, Daxin Jiang, Ming Zhou

We study the problem of injecting knowledge into large pre-trained models like B ERT and RoBERTa. Existing methods typically update the original parameters of pre-trained models when injecting knowledge. However, when multiple kinds of knowledge are injected, they may suffer from catastrophic forgetting. To address this, we propose K-Adapter, which remains the original parameters of the pre-trained model fixed and supports continual knowledge infusion. Taking RoBERTa as the pre-trained models where the pre-trained models are injected.

re-trained model, K-Adapter has a neural adapter for each kind of infused knowle dge, like a plug-in connected to RoBERTa. There is no information flow between d ifferent adapters, thus different adapters are efficiently trained in a distributed way. We inject two kinds of knowledge, including factual knowledge obtained from automatically aligned text-triplets on Wikipedia and Wikidata, and linguistic knowledge obtained from dependency parsing. Results on three knowledge-driven tasks (total six datasets) including relation classification, entity typing and question answering demonstrate that each adapter improves the performance, and the combination of both adapters brings further improvements. Probing experiments further indicate that K-Adapter captures richer factual and commonsense knowledge than RoBERTa.

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Deployment-Efficient Reinforcement Learning via Model-Based Offline Optimization Tatsuya Matsushima, Hiroki Furuta, Yutaka Matsuo, Ofir Nachum, Shixiang Gu Most reinforcement learning (RL) algorithms assume online access to the environm ent, in which one may readily interleave updates to the policy with experience c ollection using that policy. However, in many real-world applications such as he alth, education, dialogue agents, and robotics, the cost or potential risk of de ploying a new data-collection policy is high, to the point that it can become pr ohibitive to update the data-collection policy more than a few times during lear ning. With this view, we propose a novel concept of deployment efficiency, measu ring the number of distinct data-collection policies that are used during policy learning. We observe that naïvely applying existing model-free offline RL algor ithms recursively does not lead to a practical deployment-efficient and sample-e fficient algorithm. We propose a novel model-based algorithm, Behavior-Regulariz ed Model-ENsemble (BREMEN), that not only performs better than or comparably as the state-of-the-art dynamic-programming-based and concurrently-proposed model-b ased offline approaches on existing benchmarks, but can also effectively optimiz e a policy offline using 10-20 times fewer data than prior works. Furthermore, t he recursive application of BREMEN achieves impressive deployment efficiency whi le maintaining the same or better sample efficiency, learning successful policie s from scratch on simulated robotic environments with only 5-10 deployments, com pared to typical values of hundreds to millions in standard RL baselines.

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Fair Differential Privacy Can Mitigate the Disparate Impact on Model Accuracy Wenyan Liu, Xiangfeng Wang, Xingjian Lu, Junhong Cheng, Bo Jin, Xiaoling Wang, Hongyua n Zha

The techniques based on the theory of differential privacy (DP) has become a sta ndard building block in the machine learning community. DP training mechanisms o ffer strong guarantees that an adversary cannot determine with high confidence a bout the training data based on analyzing the released model, let alone any deta ils of the instances. However, DP may disproportionately affect the underreprese nted and relatively complicated classes. That is, the reduction in utility is un equal for each class. This paper proposes a fair differential privacy algorithm (FairDP) to mitigate the disparate impact on model accuracy for each class. We c ast the learning procedure as a two-stage optimization problem, which integrates differential privacy with fairness. FairDP establishes a self-adaptive DP mechanism and dynamically adjusts instance influence in each class depending on the theoretical bias-variance bound. Our experimental evaluation shows the effectiven ess of FairDP in mitigating the disparate impact on model accuracy among the classes on several benchmark datasets and scenarios ranging from text to vision.

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Efficient Conformal Prediction via Cascaded Inference with Expanded Admission Adam Fisch, Tal Schuster, Tommi S. Jaakkola, Regina Barzilay

In this paper, we present a novel approach for conformal prediction (CP), in whi ch we aim to identify a set of promising prediction candidates——in place of a s ingle prediction. This set is guaranteed to contain a correct answer with high p robability, and is well—suited for many open—ended classification tasks. In the standard CP paradigm, the predicted set can often be unusably large and also cos tly to obtain. This is particularly pervasive in settings where the correct answ

er is not unique, and the number of total possible answers is high. We first exp and the CP correctness criterion to allow for additional, inferred "admissible" answers, which can substantially reduce the size of the predicted set while stil 1 providing valid performance guarantees. Second, we amortize costs by conformal izing prediction cascades, in which we aggressively prune implausible labels ear 1y on by using progressively stronger classifiers——again, while still providing valid performance guarantees. We demonstrate the empirical effectiveness of our approach for multiple applications in natural language processing and computational chemistry for drug discovery.

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Learned ISTA with Error-based Thresholding for Adaptive Sparse Coding Li Ziang, Wu Kailun, Yiwen Guo, Changshui Zhang

The learned iterative shrinkage thresholding algorithm (LISTA) introduces deep u nfolding models with learnable thresholds in the shrinkage function for sparse c oding. Drawing on some theoretical insights, we advocate an error-based thresholding (EBT) mechanism for LISTA, which leverages a function of the layer-wise reconstruction error to suggest an appropriate threshold value for each observation on each layer. We show that the EBT mechanism well-disentangles the learnable parameters in the shrinkage functions from the reconstruction errors, making them more adaptive to the various observations. With rigorous theoretical analyses, we show that the proposed EBT can lead to faster convergence on the basis of LISTA and its variants, in addition to its higher adaptivity. Extensive experimental results confirm our theoretical analyses and verify the effectiveness of our methods.

Closing the Generalization Gap in One-Shot Object Detection

Claudio Michaelis, Matthias Bethge, Alexander S Ecker

Despite substantial progress in object detection and few-shot learning, detecting objects based on a single example - one-shot object detection - remains a challenge. A central problem is the generalization gap: Object categories used during training are detected much more reliably than novel ones. We here show that the is generalization gap can be nearly closed by increasing the number of object categories used during training. Doing so allows us to beat the state-of-the-art on COCO by 5.4 %AP50 (from 22.0 to 27.5) and improve generalization from seen to unseen classes from 45% to 89%. We verify that the effect is caused by the number of categories and not the amount of data and that it holds for different models, backbones and datasets. This result suggests that the key to strong few-shot detection models may not lie in sophisticated metric learning approaches, but in stead simply in scaling the number of categories. We hope that our findings will help to better understand the challenges of few-shot learning and encourage fut ure data annotation efforts to focus on wider datasets with a broader set of categories rather than gathering more samples per category.

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Reducing the Computational Cost of Deep Generative Models with Binary Neural Net works

Thomas Bird, Friso Kingma, David Barber

Deep generative models provide a powerful set of tools to understand real-world data. But as these models improve, they increase in size and complexity, so their computational cost in memory and execution time grows. Using binary weights in neural networks is one method which has shown promise in reducing this cost. Ho wever, whether binary neural networks can be used in generative models is an open problem. In this work we show, for the first time, that we can successfully train generative models which utilize binary neural networks. This reduces the computational cost of the models massively. We develop a new class of binary weight normalization, and provide insights for architecture designs of these binarized generative models. We demonstrate that two state-of-the-art deep generative models, the ResNet VAE and Flow++ models, can be binarized effectively using these techniques. We train binary models that achieve loss values close to those of the regular models but are 90%-94% smaller in size, and also allow significant speed-ups in execution time.

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MixSize: Training Convnets With Mixed Image Sizes for Improved Accuracy, Speed a nd Scale Resiliency

Elad Hoffer, Berry Weinstein, Itay Hubara, Tal Ben-Nun, Torsten Hoefler, Daniel Soudry

Convolutional neural networks (CNNs) are commonly trained using a fixed spatial image size predetermined for a given model. Although trained on images of a spec ific size, it is well established that CNNs can be used to evaluate a wide range of image sizes at test time, by adjusting the size of intermediate feature maps . \\

In this work, we describe and evaluate a novel mixed-size training regime that m ixes several image sizes at training time. We demonstrate that models trained us ing our method are more resilient to image size changes and generalize well even on small images. This allows faster inference by using smaller images at test t ime. For instance, we receive a 76.43% top-1 accuracy using ResNet50 with an image size of 160, which matches the accuracy of the baseline model with  $2 \times 160$ , times fewer computations.

Furthermore, for a given image size used at test time, we show this method can be exploited either to accelerate training or the final test accuracy. For example, we are able to reach a 79.27% accuracy with a model evaluated at a 288\$ spatial size for a relative improvement of 14% over the baseline.

Our PyTorch implementation and pre-trained models are publicly available\footnot e{\url{https://github.com/paper-submissions/mix-match}

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Towards Data Distillation for End-to-end Spoken Conversational Question Answerin

Chenyu You, Nuo Chen, Fenglin Liu, Dongchao Yang, Zhiyang Xu, Yuexian Zou In spoken question answering, QA systems are designed to answer questions from c ontiguous text spans within the related speech transcripts. However, the most na tural way that human seek or test their knowledge is via human conversations. Th erefore, we propose a new Spoken Conversational Question Answering task (SCQA), aiming at enabling QA systems to model complex dialogues flow given the speech u tterances and text corpora. In this task, our main objective is to build a QA sy stem to deal with conversational questions both in spoken and text forms, and to explore the plausibility of providing more cues in spoken documents with system s in information gathering. To this end, instead of adopting automatically gener ated speech transcripts with highly noisy data, we propose a novel unified data distillation approach, DDNet, which directly fuse audio-text features to reduce the misalignment between automatic speech recognition hypotheses and the referen ce transcriptions. In addition, to evaluate the capacity of QA systems in a dial ogue-style interaction, we assemble a Spoken Conversational Question Answering ( Spoken-CoQA) dataset with more than 120k question-answer pairs. Experiments demo nstrate that our proposed method achieves superior performance in spoken convers ational question answering.

## \*\*\*\*\*\*\*\*\*\*\*

Structure Controllable Text Generation

Liming DENG, Long WANG, Binzhu WANG, Jiang Qian, Bojin Zhuang, Shaojun Wang, Jing Xiao Controlling the presented forms (or structures) of generated text are as importa nt as controlling the generated contents during neural text generation. It helps to reduce the uncertainty and improve the interpretability of generated text. However, the structures and contents are entangled together and realized simultan eously during text generation, which is challenging for the structure controlling. In this paper, we propose an efficient, straightforward generation framework to control the structure of generated text. A structure-aware transformer (SAT) is proposed to explicitly incorporate multiple types of multi-granularity struct ure information to guide the text generation with corresponding structure. The structure information is extracted from given sequence template by auxiliary mode 1, and the type of structure for the given template can be learned, represented and imitated. Extensive experiments have been conducted on both Chinese lyrics c

orpus and English Penn Treebank dataset. Both automatic evaluation metrics and h uman judgement demonstrate the superior capability of our model in controlling t he structure of generated text, and the quality ( like Fluency and Meaningfulnes s) of the generated text is even better than the state-of-the-arts model.

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Inductive Bias of Gradient Descent for Exponentially Weight Normalized Smooth Ho mogeneous Neural Nets

Depen Morwani, Harish Guruprasad Ramaswamy

We analyze the inductive bias of gradient descent for weight normalized smooth h omogeneous neural nets, when trained on exponential or cross-entropy loss. Our a nalysis focuses on exponential weight normalization (EWN), which encourages weight updates along the radial direction. This paper shows that the gradient flow path with EWN is equivalent to gradient flow on standard networks with an adaptive learning rate, and hence causes the weights to be updated in a way that prefers asymptotic relative sparsity. These results can be extended to hold for gradient descent via an appropriate adaptive learning rate. The asymptotic convergence rate of the loss in this setting is given by  $\frac{1}{t} (\frac{1}{t} (\log t)^2)$ , and is independent of the depth of the network. We contrast these results with the inductive bias of standard weight normalization (SWN) and unnormalized architectures, and demonstrate their implications on synthetic data sets. Experimental results on simple data sets and architectures support our claim on sparse EWN solutions, even with SGD. This demonstrates its potential applications in learning prunable neural networks.

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On the Universal Approximability and Complexity Bounds of Deep Learning in Hybri d Quantum-Classical Computing

Weiwen Jiang, Yukun Ding, Yiyu Shi

With the continuously increasing number of quantum bits in quantum computers, th ere are growing interests in exploring applications that can harvest the power of them. Recently, several attempts were made to implement neural networks, known to be computationally intensive, in hybrid quantum-classical scheme computing. While encouraging results are shown, two fundamental questions need to be answer ed: (1) whether neural networks in hybrid quantum-classical computing can levera ge quantum power and meanwhile approximate any function within a given error bound, i.e., universal approximability; (2) how do these neural networks compare with ones on a classical computer in terms of representation power? This work shed s light on these two questions from a theoretical perspective.

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Minimal Geometry-Distortion Constraint for Unsupervised Image-to-Image Translati

Jiaxian Guo, Jiachen Li, Mingming Gong, Huan Fu, Kun Zhang, Dacheng Tao Unsupervised image-to-image (I2I) translation, which aims to learn a domain mapp ing function without paired data, is very challenging because the function is hi ghly under-constrained. Despite the significant progress in constraining the map ping function, current methods suffer from the  $\text{textit}\{\text{geometry distortion}\}\ \text{prob}$ lem: the geometry structure of the translated image is inconsistent with the inp ut source image, which may cause the undesired distortions in the translated ima ges. To remedy this issue, we propose a novel I2I translation constraint, call ed \textit{Minimal Geometry-Distortion Constraint} (MGC), which promotes the con sistency of geometry structures and reduce the unwanted distortions in translati on by reducing the randomness of color transformation in the translation process . To facilitate estimation and maximization of MGC, we propose an approximate re presentation of mutual information called relative Squared-loss Mutual Informati on (rSMI) that can be efficiently estimated analytically. We demonstrate the eff ectiveness of our MGC by providing quantitative and qualitative comparisons with the state-of-the-art methods on several benchmark datasets.

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Jiaping Zhong, Weiying Xie, Jie Lei, Yunsong Li, Zan Li

Hyperspectral anomaly detection under high-dimensional data and interference of deteriorated bands without any prior information has been challenging and attrac ted close attention in the exploration of the unknown in real scenarios. However , some emerging methods based on generative adversarial network (GAN) suffer fro m the problems of gradient vanishing and training instability with struggling to strike a balance between performance and training sample limitations. In this w ork, aiming to remedy the drawbacks of existing methods, we present a novel mult i-scale covariance map (MCM)-aware twin-least-square GAN (MTGAN). Instead of the widely used single-scale Gaussian hypothesis background estimation, in MTGAN, w e introduce the MCM-aware strategy to construct multi-scale priors with precise second-order statistics, thereby implicitly bridging the spatial and spectral in formation. Thus, we reliably and adaptively represent the prior of HSI to change the priors-lack situation. Moreover, we impose the twin-least-square loss on GA N, which helps improve the generative ability and training stability in feature and image domains, overcoming the gradient vanishing problem. Finally, the netwo rk enforced with a new anomaly rejection loss establishes a pure and discriminat ive background estimation. Experiments demonstrate that the average detection ac curacy of MTGAN reaches 0.99809, which is superior to the state-of-the-art algor ithms.

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Switching-Aligned-Words Data Augmentation for Neural Machine Translation Fengshun Xiao, Zuchao Li, hai zhao

In neural machine translation (NMT), data augmentation methods such as back-tran slation make it possible to use extra monolingual data to help improve translati on performance, while it needs extra training data and the in-domain monolingual data is not always available. In this paper, we present a novel data augmentati on method for neural machine translation by using only the original training dat a without extra data. More accurately, we randomly replace words or mixup with their aligned alternatives in another language when training neural machine translation models. Since aligned word pairs appear in the same position of each other during training, it is helpful to form bilingual embeddings which are proved u seful to provide a performance boost \citep{liu2019shared}. Experiments on both small and large scale datasets show that our method significantly outperforms the baseline models.

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To Understand Representation of Layer-aware Sequence Encoders as Multi-order-graph

Sufeng Duan, hai zhao, Rui Wang

In this paper, we propose a unified explanation of representation for layer-awar e neural sequence encoders, which regards the representation as a revisited mult igraph called multi-order-graph (MoG), so that model encoding can be viewed as a processing to capture all subgraphs in MoG. The relationship reflected by Multi-order-graph, called \$n\$-order dependency, can present what existing simple dire cted graph explanation cannot present. Our proposed MoG explanation allows to precisely observe every step of the generation of representation, put diverse relationship such as syntax into a unifiedly depicted framework. Based on the proposed MoG explanation, we further propose a graph-based self-attention network empowered Graph-Transformer by enhancing the ability of capturing subgraph information over the current models. Graph-Transformer accommodates different subgraphs into different groups, which allows model to focus on salient subgraphs. Result of experiments on neural machine translation tasks show that the MoG-inspired model can yield effective performance improvement.

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A Text GAN for Language Generation with Non-Autoregressive Generator Fei Huang, Jian Guan, Pei Ke, Qihan Guo, Xiaoyan Zhu, Minlie Huang Despite the great success of Generative Adversarial Networks (GANs) in generating high-quality images, GANs for text generation still face two major challenges: first, most text GANs are unstable in training mainly due to ineffective optimization of the generator, and they heavily rely on maximum likelihood pretraining

; second, most text GANs adopt autoregressive generators without latent variable s, which largely limits the ability to learn latent representations for natural language text. In this paper, we propose a novel text GAN, named NAGAN, which in corporates a non-autoregressive generator with latent variables. The non-autoregressive generator can be effectively trained with gradient-based methods and free of pretraining. The latent variables facilitate representation learning for text generation applications. Experiments show that our model is competitive comparing with existing text GANs in unconditional text generation, and it outperforms existing methods on sentence manipulation in latent space and unsupervised text decipherment.

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Large-width functional asymptotics for deep Gaussian neural networks Daniele Bracale, Stefano Favaro, Sandra Fortini, Stefano Peluchetti

In this paper, we consider fully connected feed-forward deep neural networks whe re weights and biases are independent and identically distributed according to G aussian distributions. Extending previous results (Matthews et al., 2018a;b;Yang , 2019) we adopt a function-space perspective, i.e. we look at neural networks as infinite-dimensional random elements on the input space  $\$  mathbb{R}^1\$. Under suitable assumptions on the activation function we show that: i) a network defines a continuous Gaussian process on the input space  $\$  mathbb{R}^1\$; ii) a network with re-scaled weights converges weakly to a continuous Gaussian process in the large-width limit; iii) the limiting Gaussian process has almost surely local ly  $\$  amma\$-Hölder continuous paths, for \$0 < \ amma <1\$. Our results contribute to recent theoretical studies on the interplay between infinitely wide deep neu ral networks and Gaussian processes by establishing weak convergence in function -space with respect to a stronger metric.

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Unbiased Learning with State-Conditioned Rewards in Adversarial Imitation Learning

Dong-Sig Han, Hyunseo Kim, Hyundo Lee, Je-Hwan Ryu, Byoung-Tak Zhang

Adversarial imitation learning has emerged as a general and scalable framework f or automatic reward acquisition. However, we point out that previous methods com monly exploited occupancy-dependent reward learning formulation—which hinders the reconstruction of optimal decision as an energy-based model. Despite the theor etical justification, the occupancy measures tend to cause issues in practice be cause of high variance and low vulnerability to domain shifts. Another reported problem is termination biases induced by provided rewarding and regularization s chemes around terminal states. In order to deal with these issues, this work pre sents a novel algorithm called causal adversarial inverse reinforcement learning. Our formulation draws a strong connection between adversarial learning and energy-based reinforcement learning; thus, the architecture is capable of recovering a reward function that induces a multi-modal policy. In experiments, we demons trate that our approach outperforms prior methods in challenging continuous control tasks, even under significant variation in the environments.

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MetaNorm: Learning to Normalize Few-Shot Batches Across Domains Yingjun Du, Xiantong Zhen, Ling Shao, Cees G. M. Snoek

Batch normalization plays a crucial role when training deep neural networks. How ever, batch statistics become unstable with small batch sizes and are unreliable in the presence of distribution shifts. We propose MetaNorm, a simple yet effective meta-learning normalization. It tackles the aforementioned issues in a unified way by leveraging the meta-learning setting and learns to infer adaptive statistics for batch normalization. MetaNorm is generic, flexible and model-agnostic, making it a simple plug-and-play module that is seamlessly embedded into existing meta-learning approaches. It can be efficiently implemented by lightweight hypernetworks with low computational cost. We verify its effectiveness by extensive evaluation on representative tasks suffering from the small batch and domain shift problems: few-shot learning and domain generalization. We further introduce an even more challenging setting: few-shot domain generalization. Results demonstrate that MetaNorm consistently achieves better, or at least competitive, ac

curacy compared to existing batch normalization methods.

Deep Networks from the Principle of Rate Reduction

Kwan Ho Ryan Chan, Yaodong Yu, Chong You, Haozhi Qi, John Wright, Yi Ma

This work attempts to interpret modern deep (convolutional) networks from the principles of rate reduction and (shift) invariant classification. We show that the basic iterative gradient ascent scheme for maximizing the rate reduction of learned features naturally leads to a deep network, one iteration per layer. The a rchitectures, operators (linear or nonlinear), and parameters of the network are all explicitly constructed layer-by-layer in a forward propagation fashion. All components of this ``white box'' network have precise optimization, statistical, and geometric interpretation. Our preliminary experiments indicate that such a network can already learn a good discriminative deep representation without any back propagation training. Moreover, all linear operators of the so-derived net work naturally become multi-channel convolutions when we enforce classification to be rigorously shift-invariant. The derivation also indicates that such a convolutional network is significantly more efficient to learn and construct in the spectral domain.

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Non-decreasing Quantile Function Network with Efficient Exploration for Distributional Reinforcement Learning

Fan Zhou, Zhoufan Zhu, Qi Kuang, Liwen Zhang

Although distributional reinforcement learning (DRL) has been widely examined in the past few years, there are two open questions people are still trying to add ress. One is how to ensure the validity of the learned quantile function, the ot her is how to efficiently utilize the distribution information. This paper attem pts to provide some new perspectives to encourage the future in-depth studies in these two fields. We first propose a non-decreasing quantile function network (NDQFN) to guarantee the monotonicity of the obtained quantile estimates and then design a general exploration framework called distributional prediction error (DPE) for DRL which utilizes the entire distribution of the quantile function. In this paper, we not only discuss the theoretical necessity of our method but als o show the performance gain it achieves in practice by comparing with some competitors on Atari 2600 Games especially in some hard-explored games.

Explicit homography estimation improves contrastive self-supervised learning David Torpey, Richard Klein

The typical contrastive self-supervised algorithm uses a similarity measure in 1 atent space as the supervision signal by contrasting positive and negative image s directly or indirectly. Although the utility of self-supervised algorithms has improved recently, there are still bottlenecks hindering their widespread use, such as the compute needed. In this paper, we propose a module that serves as an additional objective in the self-supervised contrastive learning paradigm. We s how how the inclusion of this module to regress the parameters of an affine tran sformation or homography, in addition to the original contrastive objective, imp roves both performance and rate of learning. Importantly, we ensure that this mo dule does not enforce invariance to the various components of the affine transfo rm, as this is not always ideal. We demonstrate the effectiveness of the additio nal objective on two recent, popular self-supervised algorithms. We perform an e xtensive experimental analysis of the proposed method and show an improvement in performance for all considered datasets. Further, we find that although both th e general homography and affine transformation are sufficient to improve perform ance and convergence, the affine transformation performs better in all cases.

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Variational Multi-Task Learning

Jiayi Shen, Xiantong Zhen, Marcel Worring, Ling Shao

Multi-task learning aims to improve the overall performance of a set of tasks by leveraging their relatedness. When training data is limited using priors is piv otal, but currently this is done in ad-hoc ways. In this paper, we develop varia tional multi-task learning - VMTL, a general probabilistic inference framework f

or simultaneously learning multiple related tasks. We cast multi-task learning a s a variational Bayesian inference problem, which enables task relatedness to be explored in a principled way by specifying priors. We introduce Gumbel-softmax priors to condition the prior of each task on related tasks. Each prior is repre sented as a mixture of variational posteriors of other related tasks and the mix ing weights are learned in a data-driven manner for each individual task. The po steriors over representations and classifiers are inferred jointly for all tasks and individual tasks are able to improve their performance by using the shared inductive bias. Experimental results demonstrate that VMTL is able to tackle challenging multi-task learning with limited training data well, and it achieves state-of-the-art performance on four benchmarks, consistently surpassing previous methods

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Probabilistic Mixture-of-Experts for Efficient Deep Reinforcement Learning Jie Ren, Yewen Li, Zihan Ding, Wei Pan, Hao Dong

Deep reinforcement learning (DRL) has successfully solved various problems recently, typically with a unimodal policy representation. However, grasping the decomposable and hierarchical structures within a complex task can be essential for further improving its learning efficiency and performance, which may lead to a multimodal policy or a mixture-of-experts (MOE). To our best knowledge, present DRL algorithms for general utility do not deploy MOE methods as policy function a pproximators due to the lack of differentiability, or without explicit probabilistic representation. In this work, we propose a differentiable probabilistic mix ture-of-experts (PMOE) embedded in the end-to-end training scheme for generic of f-policy and on-policy algorithms using stochastic policies, e.g., Soft Actor-Critic (SAC) and Proximal Policy Optimisation (PPO). Experimental results testify the advantageous performance of our method over unimodal polices and three different MOE methods, as well as a method of option frameworks, based on two types of DRL algorithms. We also demonstrate the distinguishable primitives learned with PMOE in different environments.

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Representation Balancing Offline Model-based Reinforcement Learning Byung-Jun Lee, Jongmin Lee, Kee-Eung Kim

One of the main challenges in offline and off-policy reinforcement learning is to cope with the distribution shift that arises from the mismatch between the tar get policy and the data collection policy. In this paper, we focus on a model-ba sed approach, particularly on learning the representation for a robust model of the environment under the distribution shift, which has been first studied by Re presentation Balancing MDP (RepBM). Although this prior work has shown promising results, there are a number of shortcomings that still hinder its applicability to practical tasks. In particular, we address the curse of horizon exhibited by RepBM, rejecting most of the pre-collected data in long-term tasks. We present a new objective for model learning motivated by recent advances in the estimation of stationary distribution corrections. This effectively overcomes the aforeme ntioned limitation of RepBM, as well as naturally extending to continuous action spaces and stochastic policies. We also present an offline model-based policy o ptimization using this new objective, yielding the state-of-the-art performance in a representative set of benchmark offline RL tasks.

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Local Convergence Analysis of Gradient Descent Ascent with Finite Timescale Sepa ration

Tanner Fiez, Lillian J Ratliff

We study the role that a finite timescale separation parameter  $\alpha$  has on gradient descent-ascent in non-convex, non-concave zero-sum games where the learning rate of player 1 is denoted by  $\alpha_1$  and the learning rate of player 2 is defined to be  $\alpha_2=\lambda_1$ . We provide a non-asymptotic construction of the finite timescale separation parameter  $\alpha_1$  such that gradient d escent-ascent locally converges to  $\alpha_1$  for all  $\alpha_1$  in  $\alpha_1$  horeover, we provide explicit local convergence rates given the finite timescale separation. The

convergence results we present are complemented by a non-convergence result: given a critical point  $x^{\a}$  that is not a strict local minmax equilibrium, we present a non-asymptotic construction of a finite timescale separation  $\alpha_{0}$  such that gradient descent-ascent with timescale separation  $\alpha_{0}$  (\tau\_0, \infty)\$ does not converge to  $x^{\a}$  Finally, we extend the results to gradient penalty regularization methods for generative adversarial networks and empirically demonstrate on CIFAR-10 and CelebA the significant impact timescale separation has on training performance.

Visual Explanation using Attention Mechanism in Actor-Critic-based Deep Reinforc ement Learning

Hidenori Itaya, Tsubasa Hirakawa, Takayoshi Yamashita, Hironobu Fujiyoshi, Komei Sugiura

Deep reinforcement learning (DRL) has great potential for acquiring the optimal action in complex environments such as games and robot control. However, it is d ifficult to analyze the decision-making of the agent, i.e., the reasons it selec ts the action acquired by learning. In this work, we propose Mask-Attention A3C (Mask A3C) that introduced an attention mechanism into Asynchronous Advantage Ac tor-Critic (A3C) which is an actor-critic-based DRL method, and can analyze deci sion making of agent in DRL. A3C consists of a feature extractor that extracts f eatures from an image, a policy branch that outputs the policy, value branch tha t outputs the state value. In our method, we focus on the policy branch and valu e branch and introduce an attention mechanism to each. In the attention mechanis m, mask processing is performed on the feature maps of each branch using mask-at tention that expresses the judgment reason for the policy and state value with a heat map. We visualized mask-attention maps for games on the Atari 2600 and fou nd we could easily analyze the reasons behind an agent's decision-making in vari ous game tasks. Furthermore, experimental results showed that higher performance of the agent could be achieved by introducing the attention mechanism.

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FedMes: Speeding Up Federated Learning with Multiple Edge Servers Dong-Jun Han, Minseok Choi, Jungwuk Park, Jaekyun Moon

We consider federated learning with multiple wireless edge servers having their own local coverages. We focus on speeding up training in this increasingly pract ical setup. Our key idea is to utilize the devices located in the overlapping ar eas between the coverage of edge servers; in the model-downloading stage, the de vices in the overlapping areas receive multiple models from different edge serve rs, take the average of the received models, and then update the model with thei r local data. These devices send their updated model to multiple edge servers by broadcasting, which acts as bridges for sharing the trained models between serv ers. Even when some edge servers are given biased datasets within their coverage s, their training processes can be assisted by coverages of adjacent servers, th rough the devices in the overlapping regions. As a result, the proposed scheme d oes not require costly communications with the central cloud server (located at the higher tier of edge servers) for model synchronization, significantly reduci ng the overall training time compared to the conventional cloud-based federated learning systems. Extensive experimental results show remarkable performance gai ns of our scheme compared to existing methods.

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Sself: Robust Federated Learning against Stragglers and Adversaries Jungwuk Park, Dong-Jun Han, Minseok Choi, Jaekyun Moon

While federated learning allows efficient model training with local data at edge devices, two major issues that need to be resolved are: slow devices known as s tragglers and malicious attacks launched by adversaries. While the presence of b oth stragglers and adversaries raises serious concerns for the deployment of pra ctical federated learning systems, no known schemes or known combinations of sch emes, to our best knowledge, effectively address these two issues at the same ti me. In this work, we propose Sself, a semi-synchronous entropy and loss based fi ltering/averaging, to tackle both stragglers and adversaries simultaneously. The

stragglers are handled by exploiting different staleness (arrival delay) inform ation when combining locally updated models during periodic global aggregation. Various adversarial attacks are tackled by utilizing a small amount of public da ta collected at the server in each aggregation step, to first filter out the mod el-poisoned devices using computed entropies, and then perform weighted averagin g based on the estimated losses to combat data poisoning and backdoor attacks. A theoretical convergence bound is established to provide insights on the convergence of Sself. Extensive experimental results show that Sself outperforms various combinations of existing methods aiming to handle stragglers/adversaries.

Meta-GMVAE: Mixture of Gaussian VAE for Unsupervised Meta-Learning Dong Bok Lee, Dongchan Min, Seanie Lee, Sung Ju Hwang

Unsupervised learning aims to learn meaningful representations from unlabeled da ta which can captures its intrinsic structure, that can be transferred to downst ream tasks. Meta-learning, whose objective is to learn to generalize across task s such that the learned model can rapidly adapt to a novel task, shares the spir it of unsupervised learning in that the both seek to learn more effective and ef ficient learning procedure than learning from scratch. The fundamental differenc e of the two is that the most meta-learning approaches are supervised, assuming full access to the labels. However, acquiring labeled dataset for meta-training not only is costly as it requires human efforts in labeling but also limits its applications to pre-defined task distributions. In this paper, we propose a prin cipled unsupervised meta-learning model, namely Meta-GMVAE, based on Variational Autoencoder (VAE) and set-level variational inference. Moreover, we introduce a mixture of Gaussian (GMM) prior, assuming that each modality represents each cl ass-concept in a randomly sampled episode, which we optimize with Expectation-Ma ximization (EM). Then, the learned model can be used for downstream few-shot cla ssification tasks, where we obtain task-specific parameters by performing semi-s upervised EM on the latent representations of the support and query set, and pre dict labels of the query set by computing aggregated posteriors. We validate our model on Omniglot and Mini-ImageNet datasets by evaluating its performance on d ownstream few-shot classification tasks. The results show that our model obtain impressive performance gains over existing unsupervised meta-learning baselines, even outperforming supervised MAML on a certain setting.

Defuse: Debugging Classifiers Through Distilling Unrestricted Adversarial Examples

Dylan Z Slack, Nathalie Rauschmayr, Krishnaram Kenthapadi

With the greater proliferation of machine learning models, the imperative of dia gnosing and correcting bugs in models has become increasingly clear. As a route to better discover and fix model bugs, we propose failure scenarios: regions on the data manifold that are incorrectly classified by a model. We propose an end-to-end debugging framework called Defuse to use these regions for fixing faulty classifier predictions. The Defuse framework works in three steps. First, Defuse identifies many unrestricted adversarial examples—naturally occurring instance s that are misclassified—using a generative model. Next, the procedure distills the misclassified data using clustering into failure scenarios. Last, the method corrects model behavior on the distilled scenarios through an optimization bas ed approach. We illustrate the utility of our framework on a variety of image data sets. We find that Defuse identifies and resolves concerning predictions while maintaining model generalization.

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XLA: A Robust Unsupervised Data Augmentation Framework for Cross-Lingual NLP M Saiful Bari, Tasnim Mohiuddin, Shafiq Joty

Transfer learning has yielded state-of-the-art (SoTA) results in many supervised NLP tasks. However, annotated data for every target task in every target langu age is rare, especially for low-resource languages. We propose XLA, a novel data augmentation framework for self-supervised learning in zero-resource transfer learning scenarios. In particular, XLA aims to solve cross-lingual adaptation problems from a source language task distribution to an unknown target language task

k distribution, assuming no training label in the target language task. At its c ore, XLA performs simultaneous self-training with data augmentation and unsuperv ised sample selection. To show its effectiveness, we conduct extensive experimen ts on zero-resource cross-lingual transfer tasks for Named Entity Recognition (N ER), Natural Language Inference (NLI) and paraphrase identification on Paraphrase Adversaries from Word Scrambling (PAWS). XLA achieves SoTA results in all the tasks, outperforming the baselines by a good margin. With an in-depth framework dissection, we demonstrate the cumulative contributions of different components to XLA's success.

Once Quantized for All: Progressively Searching for Quantized Compact Models Mingzhu Shen, Feng Liang, Chuming Li, Chen Lin, Ming Sun, Junjie Yan, Wanli Ouyang Automatic search of Quantized Neural Networks (QNN) has attracted a lot of atten tion. However, the existing quantization-aware Neural Architecture Search (NAS) approaches inherit a two-stage search-retrain schema, which is not only time-con suming but also adversely affected by the unreliable ranking of architectures du ring the search. To avoid the undesirable effect of the search-retrain schema, w e present Once Quantized for All (OQA), a novel framework that searches for quan tized compact models and deploys their quantized weights at the same time withou t additional post-process. While supporting a huge architecture search space, ou r OQA can produce a series of quantized compact models under ultra-low bit-width s(e.g. 4/3/2 bit). A progressive bit inheritance procedure is introduced to supp ort ultra-low bit-width. Our searched model family, OQANets, achieves a new stat e-of-the-art (SOTA) on quantized compact models compared with various quantizati on methods and bit-widths. In particular, OQA2bit-L achieves 64.0% ImageNet Top -1 accuracy, outperforming its 2 bit counterpart EfficientNet-B0@QKD by a large margin of 14\% using 30\% less computation cost.

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Rewriting by Generating: Learn Heuristics for Large-scale Vehicle Routing Proble ms

Hansen Wang, Zefang Zong, Tong Xia, Shuyu Luo, Meng Zheng, Depeng Jin, Yong Li The large-scale vehicle routing problems are defined based on the classical VRP s with thousands of customers. It is of great importance to find an efficient and high-quality solution for real-world applications. However, existing algorithm s for VRPs including non-learning heuristics and RL-based methods, only perform well on small-scale instances with usually no more than a hundred customers. The y are unable to solve large-scale VRPs due to either high computation cost or explosive solution space that results in model divergence.

Inspired by the classical idea of Divide-and-Conquer, we present a novel Rewriti ng-by-Generating(RBG) framework with hierarchical RL agents to solve large-scale VRPs. RBG consists of a rewriter agent that refines the customer division globa lly and an elementary generator to infer regional solutions locally. Extensive e xperiments demonstrate the effectiveness and efficiency of our proposed RBG fram ework. It outperforms LKH3, the state-of-the-art method for CVRPs, by \$2.43\%\$ when customer number \$N=2000\$ and shortens the inference time by about 100 times.

The Importance of Pessimism in Fixed-Dataset Policy Optimization Jacob Buckman, Carles Gelada, Marc G Bellemare

We study worst-case guarantees on the expected return of fixed-dataset policy op timization algorithms. Our core contribution is a unified conceptual and mathema tical framework for the study of algorithms in this regime. This analysis reveal s that for naive approaches, the possibility of erroneous value overestimation l eads to a difficult-to-satisfy requirement: in order to guarantee that we select a policy which is near-optimal, we may need the dataset to be informative of the value of every policy. To avoid this, algorithms can follow the pessimism prin ciple, which states that we should choose the policy which acts optimally in the worst possible world. We show why pessimistic algorithms can achieve good performance even when the dataset is not informative of every policy, and derive families of algorithms which follow this principle. These theoretical findings are validated by experiments on a tabular gridworld, and deep learning experiments on

four MinAtar environments.

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Uncertainty Estimation and Calibration with Finite-State Probabilistic RNNs Cheng Wang, Carolin Lawrence, Mathias Niepert

Uncertainty quantification is crucial for building reliable and trustable machin e learning systems. We propose to estimate uncertainty in recurrent neural netwo rks (RNNs) via stochastic discrete state transitions over recurrent timesteps. The uncertainty of the model can be quantified by running a prediction several times, each time sampling from the recurrent state transition distribution, leading to potentially different results if the model is uncertain. Alongside uncertainty quantification, our proposed method offers several advantages in different settings. The proposed method can (1) learn deterministic and probabilistic automata from data, (2) learn well-calibrated models on real-world classification tasks, (3) improve the performance of out-of-distribution detection, and (4) control the exploration-exploitation trade-off in reinforcement learning. An implement ation is available.

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Hybrid-Regressive Neural Machine Translation

Qiang Wang, Heng Yu, Shaohui Kuang, Weihua Luo

Although the non-autoregressive translation model based on iterative refinement has achieved comparable performance to the autoregressive counterparts with fast er decoding, we empirically found that such aggressive iterations make the accel eration rely heavily on small batch size (e.g., 1) and computing device (e.g., GPU).

By designing synthetic experiments, we highlight that iteration times can be significantly reduced when providing a good (partial) target context.

Inspired by this, we propose a two-stage translation prototype -- Hybrid-Regress ive Translation (HRT). HRT first jumpily generates a discontinuous sequence by a utoregression (e.g., make a prediction every k tokens, k>1). Then, with the help of the partially deterministic target context, HRT fills all the previously ski pped tokens with one iteration in a non-autoregressive way.

The experimental results on WMT'16 En-Ro and WMT'14 En-De show that our model ou tperforms the state-of-the-art non-autoregressive models with multiple iteration s, even autoregressive models. Moreover, compared with autoregressive models, HR T can be steadily accelerated 1.5 times regardless of batch size and device.

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Empirical or Invariant Risk Minimization? A Sample Complexity Perspective Kartik Ahuja, Jun Wang, Amit Dhurandhar, Karthikeyan Shanmugam, Kush R. Varshney Recently, invariant risk minimization (IRM) was proposed as a promising solution to address out-of-distribution (OOD) generalization. However, it is unclear whe n IRM should be preferred over the widely-employed empirical risk minimization ( ERM) framework. In this work, we analyze both these frameworks from the perspect ive of sample complexity, thus taking a firm step towards answering this importa nt question. We find that depending on the type of data generation mechanism, th e two approaches might have very different finite sample and asymptotic behavior . For example, in the covariate shift setting we see that the two approaches not only arrive at the same asymptotic solution, but also have similar finite sampl e behavior with no clear winner. For other distribution shifts such as those inv olving confounders or anti-causal variables, however, the two approaches arrive at different asymptotic solutions where IRM is guaranteed to be close to the des ired OOD solutions in the finite sample regime, while ERM is biased even asympto tically. We further investigate how different factors --- the number of environ ments, complexity of the model, and IRM penalty weight --- impact the sample co mplexity of IRM in relation to its distance from the OOD solutions.

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Efficiently Troubleshooting Image Segmentation Models with Human-In-The-Loop Haotao Wang, Tianlong Chen, Zhangyang Wang, Kede Ma

Image segmentation lays the foundation for many high-stakes vision applications such as autonomous driving and medical image analysis. It is, therefore, of great importance to not only improve the accuracy of segmentation models on well-est

ablished benchmarks, but also enhance their robustness in the real world so as t o avoid sparse but fatal failures. In this paper, instead of chasing state-of-th e-art performance on existing benchmarks, we turn our attention to a new challen ging problem: how to efficiently expose failures of ``top-performing'' segmentat ion models in the real world and how to leverage such counterexamples to rectify the models. To achieve this with minimal human labelling effort, we first autom atically sample a small set of images that are likely to falsify the target mode 1 from a large corpus of web images via the maximum discrepancy competition prin ciple. We then propose a weakly labelling strategy to further reduce the number of false positives, before time-consuming pixel-level labelling by humans. Final ly, we fine-tune the model to harness the identified failures, and repeat the wh ole process, resulting in an efficient and progressive framework for troubleshoo ting segmentation models. We demonstrate the feasibility of our framework using the semantic segmentation task in PASCAL VOC, and find that the fine-tuned model exhibits significantly improved generalization when applied to real-world image s with greater content diversity. All experimental codes will be publicly releas ed upon acceptance.

Weakly Supervised Scene Graph Grounding

Yizhou Zhang, Zhaoheng Zheng, Yan Liu

Recent researches have achieved substantial advances in learning structured rep resentations from images. However, current methods rely heavily on the annotated mapping between the nodes of scene graphs and object bounding boxes inside imag es. Here, we explore the problem of learning the mapping between scene graph nod es and visual objects under weak supervision. Our proposed method learns a metric among visual objects and scene graph nodes by incorporating information from both object features and relational features. Extensive experiments on Visual Genome (VG) and Visual Relation Detection (VRD) datasets verify that our model post an improvement on scene graph grounding task over current state-of-the-art approaches. Further experiments on scene graph parsing task verify the grounding found by our model can reinforce the performance of the existing method.

Approximate Nearest Neighbor Negative Contrastive Learning for Dense Text Retrie val

Lee Xiong, Chenyan Xiong, Ye Li, Kwok-Fung Tang, Jialin Liu, Paul N. Bennett, Junaid Ahmed, Arnold Overwijk

Conducting text retrieval in a learned dense representation space has many intri guing advantages. Yet dense retrieval (DR) often underperforms word-based sparse retrieval. In this paper, we first theoretically show the bottleneck of dense r etrieval is the domination of uninformative negatives sampled in mini-batch trai ning, which yield diminishing gradient norms, large gradient variances, and slow convergence. We then propose Approximate nearest neighbor Negative Contrastive Learning (ANCE), which selects hard training negatives globally from the entire corpus. Our experiments demonstrate the effectiveness of ANCE on web search, que stion answering, and in a commercial search engine, showing ANCE dot-product ret rieval nearly matches the accuracy of BERT-based cascade IR pipeline. We also empirically validate our theory that negative sampling with ANCE better approximates the oracle importance sampling procedure and improves learning convergence.

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Deep Learning Solution of the Eigenvalue Problem for Differential Operators Ido Ben-Shaul, Leah Bar, Nir Sochen

Solving the eigenvalue problem for differential operators is a common problem in many scientific fields. Classical numerical methods rely on intricate domain di scretization, and yield non-analytic or non-smooth approximations. We introduce a novel Neural Network (NN)-based solver for the eigenvalue problem of different ial self-adjoint operators where the eigenpairs are learned in an unsupervised e nd-to-end fashion. We propose three different training procedures, for solving i ncreasingly challenging tasks towards the general eigenvalue problem.

The proposed solver is able to find the M smallest eigenpairs for a general diff

erential operator. We demonstrate the method on the Laplacian operator which is of particular interest in image processing, computer vision, shape analysis amon g many other applications.

Unlike other numerical methods such as finite differences, the partial derivatives of the network approximation of the eigenfunction can be analytically calculated to any order. Therefore, the proposed framework enables the solution of higher order operators and on free shape domain or even on a manifold. Non-linear operators can be investigated by this approach as well.

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Enhancing Certified Robustness of Smoothed Classifiers via Weighted Model Ensembling

Chizhou Liu, Yunzhen Feng, Ranran Wang, Bin Dong

Randomized smoothing has achieved state-of-the-art certified robustness against \$1\_2\$-norm adversarial attacks. However, it is not wholly resolved on how to fin d the optimal base classifier for randomized smoothing. In this work, we employ a Smoothed WEighted ENsembling (SWEEN) scheme to improve the performance of rand omized smoothed classifiers. We show the ensembling generality that SWEEN can he lp achieve optimal certified robustness. Furthermore, theoretical analysis prove s that the optimal SWEEN model can be obtained from training under mild assumpti ons. We also develop an adaptive prediction algorithm to reduce

the prediction and certification cost of SWEEN models. Extensive experiments sho w that SWEEN models outperform the upper envelope of their corresponding candida te models by a large margin. Moreover, SWEEN models constructed using a few smal l models can achieve comparable performance to a single large model with a notab le reduction in training time.

Contextual Image Parsing via Panoptic Segment Sorting

Jyh-Jing Hwang, Tsung-Wei Ke, Stella Yu

Visual context is versatile and hard to describe or label precisely. We aim to leverage the densely labeled task, image parsing, a.k.a panoptic segmentation, t o learn a model that encodes and discovers object-centric context. Most existin g approaches based on deep learning tackle image parsing via fusion of pixel-wis e classification and instance masks from two sub-networks. Such approaches isol ate things from stuff and fuse the semantic and instance masks in the later stag To encode object-centric context inherently, we propose a metric learning f ramework, Panoptic Segment Sorting, that is directly trained with stuff and thin gs jointly. Our key insight is to make the panoptic embeddings separate every i nstance so that the model automatically learns to leverage visual context as man y instances across different images appear similar. We show that the context of our model's retrieved instances is more consistent relatively by 13.7%, further demonstrating its ability to discover novel context unsupervisedly. Our overal l framework also achieves competitive performance across standard panoptic segme ntation metrics amongst the state-of-the-art methods on two large datasets, City scapes and PASCAL VOC. These promising results suggest that pixel-wise embeddin gs can not only inject new understanding into panoptic segmentation but potentia lly serve for other tasks such as modeling instance relationships.

Cross-Domain Few-Shot Learning by Representation Fusion

Thomas Adler, Johannes Brandstetter, Michael Widrich, Andreas Mayr, David Kreil, Michael K Kopp, Günter Klambauer, Sepp Hochreiter

In order to quickly adapt to new data, few-shot learning aims at learning from f ew examples, often by using already acquired knowledge. The new data often diffe rs from the previously seen data due to a domain shift, that is, a change of the input-target distribution. While several methods perform well on small domain s hifts like new target classes with similar inputs, larger domain shifts are stil l challenging. Large domain shifts may result in abstract concepts that are not shared between the original and the new domain. However, low-level concepts like edges in images might still be shared and useful. For cross-domain few-shot learning, we suggest representation fusion to unify different abstraction levels of a deep neural network into one representation. We propose Cross-domain Hebbian

Ensemble Few-shot learning (CHEF), which consists of representation fusion by an ensemble of Hebbian learners acting on different layers of a deep neural networ k that was trained on the original domain. On the few-shot datasets miniImagenet and tieredImagenet, where the domain shift is small, CHEF is competitive with s tate-of-the-art methods. On cross-domain few-shot benchmark challenges with larg er domain shifts, CHEF obtains state-of-the-art results in all categories. We further apply CHEF on a real-world cross-domain application in drug discovery. We consider a domain shift from bioactive molecules to environmental chemicals and drugs with twelve associated toxicity prediction tasks. On these tasks that are highly relevant for computational drug discovery, CHEF significantly outperforms all its competitors.

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Implicit Convex Regularizers of CNN Architectures: Convex Optimization of Two- a nd Three-Layer Networks in Polynomial Time

Tolga Ergen, Mert Pilanci

We study training of Convolutional Neural Networks (CNNs) with ReLU activations and introduce exact convex optimization formulations with a polynomial complexit y with respect to the number of data samples, the number of neurons, and data di mension. More specifically, we develop a convex analytic framework utilizing sem i-infinite duality to obtain equivalent convex optimization problems for several two- and three-layer CNN architectures. We first prove that two-layer CNNs can be globally optimized via an \$\ell\_2\$ norm regularized convex program. We then s how that multi-layer circular CNN training problems with a single ReLU layer are equivalent to an \$\ell\_1\$ regularized convex program that encourages sparsity in the spectral domain. We also extend these results to three-layer CNNs with two ReLU layers. Furthermore, we present extensions of our approach to different pooling methods, which elucidates the implicit architectural bias as convex regula rizers.

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Counterfactual Self-Training

Ruijiang Gao, Max Biggs, Wei Sun, Ligong Han

Unlike traditional supervised learning, in many settings only partial feedback is available. We may only observe outcomes for the chosen actions, but not the counterfactual outcomes associated with other alternatives. Such settings encompass a wide variety of applications including pricing, online marketing and precision medicine. A key challenge is that observational data are influenced by historical policies deployed in the system, yielding a biased data distribution. We approach this task as a domain adaptation problem and propose a self-training algorithm which imputes outcomes for the unseen actions in the observational data to simulate a randomized trial. We offer a theoretical motivation for this approach by providing an upper bound on the generalization error defined on a randomized trial under the self-training objective. We empirically demonstrate the effectiveness of the proposed algorithms on both synthetic and real datasets.

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EXPLORING VULNERABILITIES OF BERT-BASED APIS

Xuanli He, Lingjuan Lyu, Lichao Sun, Xiaojun Chang, Jun Zhao

Natural language processing (NLP) tasks, ranging from text classification to tex  $\mathsf{t}$ 

generation, have been revolutionised by pretrained BERT models. This allows corporations to easily build powerful APIs by encapsulating fine-tuned BERT models. These BERT-based APIs are often designed to not only provide reliable service but also protect intellectual properties or privacy-sensitive information of

the training data. However, a series of privacy and robustness issues may still

when a fine-tuned BERT model is deployed as a service. In this work, we first present an effective model extraction attack, where the adversary can practicall  $\mathbf{v}$ 

steal a BERT-based API (the target/victim model). We then demonstrate: (1) how the extracted model can be further exploited to develop effective attribute

inference attack to expose sensitive information of the training data of the vic tim

model; (2) how the extracted model can lead to highly transferable adversarial attacks against the victim model. Extensive experiments on multiple benchmark datasets under various realistic settings validate the potential privacy and adversarial

vulnerabilities of BERT-based APIs.

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Revealing the Structure of Deep Neural Networks via Convex Duality Tolga Ergen, Mert Pilanci

We study regularized deep neural networks (DNNs) and introduce a convex analytic framework to characterize the structure of the hidden layers. We show that a se t of optimal hidden layer weights for a norm regularized DNN training problem can be explicitly found as the extreme points of a convex set. For the special case of deep linear networks with \$K\$ outputs, we prove that each optimal weight matrix is rank-\$K\$ and aligns with the previous layers via duality. More important ly, we apply the same characterization to deep ReLU networks with whitened data and prove the same weight alignment holds. As a corollary, we prove that norm regularized deep ReLU networks yield spline interpolation for one-dimensional data sets which was previously known only for two-layer networks. Furthermore, we provide closed-form solutions for the optimal layer weights when data is rank-one or whitened. We then verify our theory via numerical experiments.

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Learning Robust Models by Countering Spurious Correlations Haohan Wang, Zeyi Huang, Eric Xing

Machine learning has demonstrated remarkable prediction accuracy over i.i.d data , but the accuracy often drops when tested with data from another distribution. One reason behind this accuracy drop is the reliance of models on the features t hat are only associated with the label in the training distribution, but not the test distribution. This problem is usually known as spurious correlation, confo unding factors, or dataset bias. In this paper, we formally study the generaliza tion error bound for this setup with the knowledge of how the spurious features are associated with the label. We also compare our analysis to the widely-accept ed domain adaptation error bound and show that our bound can be tighter, with mo re assumptions that we consider realistic. Further, our analysis naturally offer s a set of solutions for this problem, linked to established solutions in variou s topics about robustness in general, and these solutions all require some under standings of how the spurious features are associated with the label. Finally, we also briefly discuss a method that does not require such an understanding.

FairBatch: Batch Selection for Model Fairness

Yuji Roh, Kangwook Lee, Steven Euijong Whang, Changho Suh

Training a fair machine learning model is essential to prevent demographic dispa rity. Existing techniques for improving model fairness require broad changes in either data preprocessing or model training, rendering themselves difficult-to-a dopt for potentially already complex machine learning systems. We address this p roblem via the lens of bilevel optimization. While keeping the standard training algorithm as an inner optimizer, we incorporate an outer optimizer so as to equ ip the inner problem with an additional functionality: Adaptively selecting mini batch sizes for the purpose of improving model fairness. Our batch selection alg orithm, which we call FairBatch, implements this optimization and supports promi nent fairness measures: equal opportunity, equalized odds, and demographic parit y. FairBatch comes with a significant implementation benefit -- it does not requ ire any modification to data preprocessing or model training. For instance, a si ngle-line change of PyTorch code for replacing batch selection part of model tra ining suffices to employ FairBatch. Our experiments conducted both on synthetic and benchmark real data demonstrate that FairBatch can provide such functionalit ies while achieving comparable (or even greater) performances against the state of the arts. Furthermore, FairBatch can readily improve fairness of any pre-tra ined model simply via fine-tuning. It is also compatible with existing batch sel

ection techniques intended for different purposes, such as faster convergence, thus gracefully achieving multiple purposes.

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On the Consistency Loss for Leveraging Augmented Data to Learn Robust and Invariant Representations

Haohan Wang, Zeyi Huang, Xindi Wu, Eric Xing

Data augmentation is one of the most popular techniques for improving the robust ness of neural networks. In addition to directly training the model with origina 1 samples and augmented samples, a torrent of methods regularizing the distance between embeddings/representations of the original samples and their augmented c ounterparts have been introduced. In this paper, we explore these various regula rization choices, seeking to provide a general understanding of how we should re gularize the embeddings. Our analysis suggests how the ideal choices of regulari zation correspond to various assumptions. With an invariance test, we show that regularization is important if the model is to be used in a broader context than the in-lab setting because non-regularized approaches are limited in learning the concept of invariance, despite equally high accuracy. Finally, we also show that the generic approach we identified (squared \$\ell\_2\$ norm regularized augmentation) performs better than several recent methods, which are each specially designed for one task and significantly more complicated than ours, over three different tasks.

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Privacy Preserving Recalibration under Domain Shift

Rachel Luo, Shengjia Zhao, Jiaming Song, Jonathan Kuck, Stefano Ermon, Silvio Savares

Classifiers deployed in high-stakes applications must output calibrated confiden ce scores, i.e. their predicted probabilities should reflect empirical frequenci es. Typically this is achieved with recalibration algorithms that adjust probabi lity estimates based on the real-world data; however, existing algorithms are no t applicable in real-world situations where the test data follows a different di stribution from the training data, and privacy preservation is paramount (e.g. p rotecting patient records). We introduce a framework that provides abstractions for performing recalibration under differential privacy constraints. This framew ork allows us to adapt existing recalibration algorithms to satisfy differential privacy while remaining effective for domain-shift situations. Guided by our fr amework, we also design a novel recalibration algorithm, accuracy temperature sc aling, that is tailored to the requirements of differential privacy. In an exten sive empirical study, we find that our algorithm improves calibration on domainshift benchmarks under the constraints of differential privacy. On the 15 highes t severity perturbations of the ImageNet-C dataset, our method achieves a median ECE of 0.029, over 2x better than the next best recalibration method and almost 5x better than without recalibration.

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Identifying Coarse-grained Independent Causal Mechanisms with Self-supervision Xiaoyang Wang, Klara Nahrstedt, Oluwasanmi O Koyejo

Current approaches for learning disentangled representations assume that indepen dent latent variables generate the data through a single data generation process. In contrast, this manuscript considers independent causal mechanisms (ICM), wh ich, unlike disentangled representations, directly model multiple data generation processes (mechanisms) in a coarse granularity. In this work, we aim to learn a model that disentangles each mechanism and approximates the ground-truth mechanisms from observational data. We outline sufficient conditions under which the mechanisms can be learned using a single self-supervised generative model with a n unconventional mixture prior, simplifying previous methods. Moreover, we prove the identifiability of our model w.r.t. the mechanisms in the self-supervised s cenario. We compare our approach to disentangled representations on various down stream tasks, showing that our approach is more robust to intervention, covarian t shift, and noise due to the disentanglement between the data generation proces

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BDS-GCN: Efficient Full-Graph Training of Graph Convolutional Nets with Partitio n-Parallelism and Boundary Sampling

Cheng Wan, Youjie Li, Nam Sung Kim, Yingyan Lin

Graph Convolutional Networks (GCNs) have emerged as the state-of-the-art model f or graph-based learning tasks. However, it is still challenging to train GCNs at scale, limiting their applications to real-world large graphs and hindering the exploration of deeper and more sophisticated GCN architectures. While it can be natural to leverage graph partition and distributed training for tackling this challenge, this direction has only been slightly touched on previously due to th e unique challenge posed by the GCN structures, especially the excessive amount of boundary nodes in each partitioned subgraph, which can easily explode the req uired memory and communications for distributed training of GCNs. To this end, w e propose BDS-GCN, a method that adopts unbiased boundary sampling strategy to e nable efficient and scalable distributed GCN training while maintaining the full -graph accuracy. Empirical evaluations and ablation studies validate the effecti veness of the proposed BDS-GCN, e.g., boosting the throughput by up-to 500% and reducing the memory usage by up-to 58% for distributed GCN training, while achie ving the same accuracy, as compared with the state-of-the-art methods. We believ e our BDS-GCN would open up a new paradigm for enabling GCN training at scale. A ll code will be released publicly upon acceptance.

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An Unsupervised Deep Learning Approach for Real-World Image Denoising Dihan Zheng, Sia Huat Tan, Xiaowen Zhang, Zuoqiang Shi, Kaisheng Ma, Chenglong Bao Designing an unsupervised image denoising approach in practical applications is a challenging task due to the complicated data acquisition process. In the realworld case, the noise distribution is so complex that the simplified additive wh ite Gaussian (AWGN) assumption rarely holds, which significantly deteriorates th e Gaussian denoisers' performance. To address this problem, we apply a deep neur al network that maps the noisy image into a latent space in which the AWGN assum ption holds, and thus any existing Gaussian denoiser is applicable. More specifi cally, the proposed neural network consists of the encoder-decoder structure and approximates the likelihood term in the Bayesian framework. Together with a Gau ssian denoiser, the neural network can be trained with the input image itself an d does not require any pre-training in other datasets. Extensive experiments on real-world noisy image datasets have shown that the combination of neural networ ks and Gaussian denoisers improves the performance of the original Gaussian deno isers by a large margin. In particular, the neural network+BM3D method significa ntly outperforms other unsupervised denoising approaches and is competitive with supervised networks such as DnCNN, FFDNet, and CBDNet.

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When Optimizing \$f\$-Divergence is Robust with Label Noise Jiaheng Wei, Yang Liu

We show when maximizing a properly defined \$f\$-divergence measure with respect to a classifier's predictions and the supervised labels is robust with label noise. Leveraging its variational form, we derive a nice decoupling property for a family of \$f\$-divergence measures when label noise presents, where the divergence is shown to be a linear combination of the variational difference defined on the clean distribution and a bias term introduced due to the noise. The above derivation helps us analyze the robustness of different \$f\$-divergence functions. With established robustness, this family of \$f\$-divergence functions arises as use ful metrics for the problem of learning with noisy labels, which do not require the specification of the labels' noise rate. When they are possibly not robust, we propose fixes to make them so. In addition to the analytical results, we present thorough experimental evidence. Our code is available at https://github.com/UCSC-REAL/Robust-f-divergence-measures.

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Communication-Computation Efficient Secure Aggregation for Federated Learning Beongjun Choi, Jy-yong Sohn, Dong-Jun Han, Jaekyun Moon

Federated learning has been spotlighted as a way to train neural network models using data distributed over multiple clients without a need to share private dat

a. Unfortunately, however, it has been shown that data privacy could not be full y quaranteed as adversaries may be able to extract certain information on local data from the model parameters transmitted during federated learning. A recent s olution based on the secure aggregation primitive enables privacy-preserving fed erated learning, but at the expense of significant extra communication/computati onal resources. In this paper, we propose communication-computation efficient se cure aggregation which reduces the amount of communication/computational resourc es at least by a factor of  $\sqrt{n} \le n$  relative to the existing secure so lution without sacrificing data privacy, where \$n\$ is the number of clients. The key idea behind the suggested scheme is to design the topology of the secret-sh aring nodes (denoted by the assignment graph \$G\$) as sparse random graphs instea d of the complete graph corresponding to the existing solution. We first obtain a sufficient condition on \$G\$ to guarantee reliable and private federated learni ng. Afterwards, we suggest using the  $Erd\H\{o\}s-Rényi$  graph as \$G\$, and provide t heoretical guarantees on the reliability/privacy of the proposed scheme. Through extensive real-world experiments, we demonstrate that our scheme, using only 50 % of the resources required in the conventional scheme, maintains virtually the same levels of reliability and data privacy in practical federated learning syst

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Energy-Based Models for Continual Learning

Shuang Li, Yilun Du, Gido Martijn van de Ven, Antonio Torralba, Igor Mordatch We motivate Energy-Based Models (EBMs) as a promising model class for continual learning problems. Instead of tackling continual learning via the use of externa l memory, growing models, or regularization, EBMs have a natural way to support a dynamically-growing number of tasks and classes and less interference with old tasks. We show that EBMs are adaptable to a more general continual learning set ting where the data distribution changes without the notion of explicitly deline ated tasks. We also find that EBMs outperform the baseline methods by a large ma rgin on several continual learning benchmarks. These observations point towards EBMs as a class of models naturally inclined towards the continual learning regi

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PhraseTransformer: Self-Attention using Local Context for Semantic Parsing Phuong Minh Nguyen, Vu Tran, Minh Le Nguyen

Semantic parsing is a challenging task whose purpose is to convert a natural lan guage utterance to machine-understandable information representation. Recently, solutions using Neural Machine Translation have achieved many promising results, especially Transformer because of the ability to learn long-range word dependen cies. However, the one drawback of adapting the original Transformer to the sema ntic parsing is the lack of detail in expressing the information of sentences. Therefore, this work proposes a PhraseTransformer architecture that is capable of a more detailed meaning representation by learning the phrase dependencies in the sentence. The main idea is to incorporate Long Short-Term Memory (LSTM) into the Self-Attention mechanism of the original Transformer to capture more local context of phrases. Experimental results show that the proposed model captures the detailed meaning better than Transformer, raises local context awareness and a chieves strong competitive performance on Geo, MSParS datasets, and leads to SOT A performance on Atis dataset in methods using Neural Network.

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Meta-learning Symmetries by Reparameterization

Allan Zhou, Tom Knowles, Chelsea Finn

Many successful deep learning architectures are equivariant to certain transform ations in order to conserve parameters and improve generalization: most famously , convolution layers are equivariant to shifts of the input. This approach only works when practitioners know the symmetries of the task and can manually construct an architecture with the corresponding equivariances. Our goal is an approach for learning equivariances from data, without needing to design custom task-specific architectures. We present a method for learning and encoding equivariances into networks by learning corresponding parameter sharing patterns from data.

Our method can provably represent equivariance-inducing parameter sharing for an y finite group of symmetry transformations. Our experiments suggest that it can automatically learn to encode equivariances to common transformations used in im age processing tasks.

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A Geometric Analysis of Deep Generative Image Models and Its Applications Binxu Wang, Carlos R Ponce

Generative adversarial networks (GANs) have emerged as a powerful unsupervised m ethod to model the statistical patterns of real-world data sets, such as natural images. These networks are trained to map random inputs in their latent space t o new samples representative of the learned data. However, the structure of the latent space is hard to intuit due to its high dimensionality and the non-linear ity of the generator, which limits the usefulness of the models. Understanding t he latent space requires a way to identify input codes for existing real-world i mages (inversion), and a way to identify directions with known image transformat ions (interpretability). Here, we use a geometric framework to address both issu es simultaneously. We develop an architecture-agnostic method to compute the Rie mannian metric of the image manifold created by GANs. The eigen-decomposition of the metric isolates axes that account for different levels of image variability . An empirical analysis of several pretrained GANs shows that image variation ar ound each position is concentrated along surprisingly few major axes (the space is highly anisotropic) and the directions that create this large variation are s imilar at different positions in the space (the space is homogeneous). We show t hat many of the top eigenvectors correspond to interpretable transforms in the i mage space, with a substantial part of eigenspace corresponding to minor transfo rms which could be compressed out. This geometric understanding unifies key prev ious results related to GAN interpretability. We show that the use of this metri c allows for more efficient optimization in the latent space (e.g. GAN inversion ) and facilitates unsupervised discovery of interpretable axes. Our results illu strate that defining the geometry of the GAN image manifold can serve as a gener al framework for understanding GANs.

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SoGCN: Second-Order Graph Convolutional Networks

Peihao Wang, Yuehao Wang, Hua Lin, Jianbo Shi

We introduce a second-order graph convolution (SoGC), a maximally localized kern el, that can express a polynomial spectral filter with arbitrary coefficients. We contrast our SoGC with vanilla GCN, first-order (one-hop) aggregation, and hi gher-order (multi-hop) aggregation by analyzing graph convolutional layers via g eneralized filter space. We argue that SoGC is a simple design capable of forming the basic building block of graph convolution, playing the same role as \$3 \times 3\$ kernels in CNNs. We build purely topological Second-Order Graph Convolutional Networks (SoGCN) and demonstrate that SoGCN consistently achieves state-of-the-art performance on the latest benchmark. Moreover, we introduce the Gated Recurrent Unit (GRU) to spectral GCNs. This explorative attempt further improves our experimental results.

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Unsupervised Class-Incremental Learning through Confusion

Shivam Khare, Kun Cao, James Matthew Rehg

While many works on Continual Learning have shown promising results for mitigating catastrophic forgetting, they have relied on supervised training. To successfully learn in a label-agnostic incremental setting, a model must distinguish between learned and novel classes to properly include samples for training. We introduce a novelty detection method that leverages network confusion caused by training incoming data as a new class. We found that incorporating a class-imbalance during this detection method substantially enhances performance. The effectiven ess of our approach is demonstrated across a set of common image classification benchmarks: MNIST, SVHN, CIFAR-10, and CIFAR-100.

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What Makes Instance Discrimination Good for Transfer Learning? Nanxuan Zhao, Zhirong Wu, Rynson W. H. Lau, Stephen Lin

Contrastive visual pretraining based on the instance discrimination pretext task has made significant progress. Notably, recent work on unsupervised pretraining has shown to surpass the supervised counterpart for finetuning downstream appli cations such as object detection and segmentation. It comes as a surprise that image annotations would be better left unused for transfer learning. ork, we investigate the following problems: What makes instance discrimination p retraining good for transfer learning? What knowledge is actually learned and tr ansferred from these models? From this understanding of instance discrimination , how can we better exploit human annotation labels for pretraining? Our finding s are threefold. First, what truly matters for the transfer is low-level and mid -level representations, not high-level representations. Second, the intra-categ ory invariance enforced by the traditional supervised model weakens transferabil ity by increasing task misalignment. Finally, supervised pretraining can be stre ngthened by following an exemplar-based approach without explicit constraints am ong the instances within the same category.

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Natural World Distribution via Adaptive Confusion Energy Regularization Yen-Chi Hsu, Cheng-Yao Hong, Wan-Cyuan Fan, Ding-Jie Chen, Ming-Sui Lee, davi geiger, Tyng-Luh Liu

We introduce a novel and adaptive batch-wise regularization based on the propose d Batch Confusion Norm (BCN) to flexibly address the natural world distribution which usually involves fine-grained and long-tailed properties at the same time. The Fine-Grained Visual Classification (FGVC) problem is notably characterized by two intriquing properties, significant inter-class similarity and intra-class variations, which cause learning an effective FGVC classifier a challenging tas k. Existing techniques attempt to capture the discriminative parts by their modi fied attention mechanism. The long-tailed distribution of visual classification poses a great challenge for handling the class imbalance problem. Most of existi ng solutions usually focus on the class-balancing strategies, classifier normali zation, or alleviating the negative gradient of tailed categories. Depart from t he conventional approaches, we propose to tackle both problems simultaneously wi th the adaptive confusion concept. When inter-class similarity prevails in a bat ch, the BCN term can alleviate possible overfitting due to exploring image featu res of fine details. On the other hand, when inter-class similarity is not an is sue, the class predictions from different samples would unavoidably yield a subs tantial BCN loss, and prompt the network learning to further reduce the cross-en tropy loss. More importantly, extending the existing confusion energy-based fram ework to account for long-tailed scenario, BCN can learn to exert proper distrib ution of confusion strength over tailed and head categories to improve classific ation performance. While the resulting FGVC model by the BCN technique is effect ive, the performance can be consistently boosted by incorporating extra attentio n mechanism. In our experiments, we have obtained state-of-the-art results on se veral benchmark FGVC datasets, and also demonstrated that our approach is compet itive on the popular natural world distribution dataset, iNaturalist2018.

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Answering Complex Open-Domain Questions with Multi-Hop Dense Retrieval Wenhan Xiong, Xiang Li, Srini Iyer, Jingfei Du, Patrick Lewis, William Yang Wang, Yash ar Mehdad, Scott Yih, Sebastian Riedel, Douwe Kiela, Barlas Oguz

We propose a simple and efficient multi-hop dense retrieval approach for answering complex open-domain questions, which achieves state-of-the-art performance on two multi-hop datasets, HotpotQA and multi-evidence FEVER. Contrary to previous work, our method does not require access to any corpus-specific information, such as inter-document hyperlinks or human-annotated entity markers, and can be applied to any unstructured text corpus. Our system also yields a much better efficiency-accuracy trade-off, matching the best published accuracy on HotpotQA while being 10 times faster at inference time.

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Weakly Supervised Neuro-Symbolic Module Networks for Numerical Reasoning Amrita Saha, Shafiq Joty, Steven Hoi

Neural Module Networks (NMNs) have been quite successful in incorporating explic

it reasoning as learnable modules in various question answering tasks, including the most generic form of numerical reasoning over text in Machine Reading Comp rehension (MRC). However, to achieve this, contemporary NMNs need strong supervi sion in executing the query as a specialized program over the reasoning modules and fail to generalize to more open-ended settings where such supervision is not readily available. In this work, we propose Weakly Supervised Neuro-Symbolic Mo dule Network (WNSMN) trained with answers as the sole supervision for numerical reasoning based MRC. It learns to execute a noisy heuristic program obtained fro m dependency parsing of the query as discrete actions over both neural and symbo lic reasoning modules and trains it end-to-end in a reinforcement learning frame work with discrete reward from answer matching. On the numerical-answer subset o f the DROP dataset, WNSMN outperforms NMN by 32% and the reasoning-free language model GenBERT by 8% in exact match accuracy when trained under comparable weak supervised settings. This showcases the effectiveness and generalizability of mo dular networks that can handle explicit discrete reasoning over noisy programs i n an end-to-end manner.

Oblivious Sketching-based Central Path Method for Solving Linear Programming Problems

Zhao Song, Zheng Yu

In this work, we propose a sketching-based central path method for solving linear programmings, whose running time matches the state of art results [Cohen, Lee, Song STOC 19; Lee, Song, Zhang COLT 19]. Our method opens up the iterations of the central path method and deploys an "iterate and sketch" approach towards the problem by introducing a new coordinate-wise embedding technique, which may be of independent interest. Compare to previous methods, the work [Cohen, Lee, Song STOC 19] enjoys feasibility while being non-oblivious, and [Lee, Song, Zhang CO LT 19] is oblivious but infeasible, and relies on \$\mathit{\dense}\$\$ sketching matrices such as subsampled randomized Hadamard/Fourier transform matrices. Our method enjoys the benefits of being both oblivious and feasible, and can use \$\mathit{\sparse}\$\$ sketching matrix [Nelson, Nguyen FOCS 13] to speed up the online matrix-vector multiplication. Our framework for solving LP naturally generalizes to a broader class of convex optimization problems including empirical risk minimization.

Intriguing class-wise properties of adversarial training Qi Tian, Kun Kuang, Fei Wu, Yisen Wang

Adversarial training is one of the most effective approaches to improve model ro bustness against adversarial examples. However, previous works mainly focus on t he overall robustness of the model, and the in-depth analysis on the role of eac h class involved in adversarial training is still missing. In this paper, we pro vide the first detailed class-wise diagnosis of adversarial training on six wide ly used datasets, \$\textit{i.e.}\$, MNIST, CIFAR-10, CIFAR-100, SVHN, STL-10 and ImageNet. Surprisingly, we find that there are \$\textit{remarkable robustness di screpancies among classes}\$, demonstrating the following intriguing properties: 1) Many examples from a certain class could only be maliciously attacked to some specific semantic-similar classes, and these examples will not exist adversaria 1 counterparts in bounded \$\epsilon\$-ball if we re-train the model without those specific classes; 2) The robustness of each class is positively correlated with its norm of classifier weight in deep neural networks; 3) Stronger attacks are usually more powerful for vulnerable classes. Finally, we propose an attack to b etter understand the defense mechanism of some state-of-the-art models from the class-wise perspective. We believe these findings can contribute to a more compr ehensive understanding of adversarial training as well as further improvement of adversarial robustness.

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Empirical Sufficiency Featuring Reward Delay Calibration Yixuan Liu, Hu Wang, Xiaowei Wang, Xiaoyue Sun, Liuyue Jiang, Minhui Xue Appropriate credit assignment for delay rewards is a fundamental challenge in various deep reinforcement learning tasks. To tackle this problem, we introduce a delay reward calibration paradigm inspired from a classification perspective. We hypothesize that when an agent's behavior satisfies an equivalent sufficient co ndition to be awarded, well-represented state vectors should share similarities. To this end, we define an empirical sufficient distribution, where the state vectors within the distribution will lead agents to environmental reward signals in consequent steps. Therefore, an overfitting classifier is established to handle the distribution and generate calibrated rewards. We examine the correctness of sufficient state extraction by tracking the real-time extraction and building hybrid different reward functions in environments with different levels of award ing latency. The results demonstrate that the classifier could generate timely and accurate calibrated rewards, and the rewards could make the training more efficient. Finally, we find that the sufficient states extracted by our model reson ate with observations of human cognition.

On Dyadic Fairness: Exploring and Mitigating Bias in Graph Connections Peizhao Li, Yifei Wang, Han Zhao, Pengyu Hong, Hongfu Liu

Disparate impact has raised serious concerns in machine learning applications an d its societal impacts. In response to the need of mitigating discrimination, fa irness has been regarded as a crucial property in algorithmic design. In this wo rk, we study the problem of disparate impact on graph-structured data. Specifica lly, we focus on dyadic fairness, which articulates a fairness concept that a predictive relationship between two instances should be independent of the sensitive attributes. Based on this, we theoretically relate the graph connections to dyadic fairness on link predictive scores in learning graph neural networks, and reveal that regulating weights on existing edges in a graph contributes to dyadic fairness conditionally. Subsequently, we propose our algorithm, \textbf{FairAdj}, to empirically learn a fair adjacency matrix with proper graph structural constraints for fair link prediction, and in the meanwhile preserve predictive accuracy as much as possible. Empirical validation demonstrates that our method delivers effective dyadic fairness in terms of various statistics, and at the same time enjoys a favorable fairness-utility tradeoff.

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A Framework For Differentiable Discovery Of Graph Algorithms Hanjun Dai, Xinshi Chen, Yu Li, Xin Gao, Le Song

Recently there is a surge of interests in using graph neural networks (GNNs) to learn algorithms. However, these works focus more on imitating existing algorith ms, and are limited in two important aspects: the search space for algorithms is too small and the learned GNN models are not interpretable. To address these is sues, we propose a novel framework which enlarge the search space using cheap gl obal information from tree decomposition of the graphs, and can explain the structures of the graph leading to the decision of learned algorithms. We apply our framework to three NP-complete problems on graphs and show that the framework is able to discover effective and explainable algorithms.

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Spatio-Temporal Graph Scattering Transform

Chao Pan, Siheng Chen, Antonio Ortega

Although spatio-temporal graph neural networks have achieved great empirical suc cess in handling multiple correlated time series, they may be impractical in som e real-world scenarios due to a lack of sufficient high-quality training data. F urthermore, spatio-temporal graph neural networks lack theoretical interpretation. To address these issues, we put forth a novel mathematically designed framework to analyze spatio-temporal data. Our proposed spatio-temporal graph scattering transform (ST-GST) extends traditional scattering transform to the spatio-temporal domain. It performs iterative applications of spatio-temporal graph wavelet and nonlinear activation functions, which can be viewed as a forward pass of spatio-temporal graph convolutional networks without training. Since all the fil ter coefficients in ST-GST are mathematically designed, it is promising for the real-world scenarios with limited training data, and also allows for a theoretic all analysis, which shows that the proposed ST-GST is stable to small perturbations of input signals and structures. Finally, our experiments show that i) ST-GS

T outperforms spatio-temporal graph convolutional networks by an increase of 35% in accuracy for MSR Action3D dataset; ii) it is better and computationally mor e efficient to design the transform based on separable spatio-temporal graphs t han the joint ones; and iii) nonlinearity in ST-GST is critical to empirical per formance.

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Class2Simi: A New Perspective on Learning with Label Noise

Songhua Wu, Xiaobo Xia, Tongliang Liu, Bo Han, Mingming Gong, Nannan Wang, Haifeng Liu, Gang Niu

Label noise is ubiquitous in the era of big data. Deep learning algorithms can e asily fit the noise and thus cannot generalize well without properly modeling the noise. In this paper, we propose a new perspective on dealing with label noise called ``\textit{Class2Simi}''. Specifically, we transform the training examples with noisy class labels into pairs of examples with noisy similarity labels, and propose a deep learning framework to learn robust classifiers with the noisy similarity labels. Note that a class label shows the class that an instance belongs to; while a similarity label indicates whether or not two instances belong to the same class. It is worthwhile to perform the transformation: We prove that the noise rate for the noisy similarity labels is lower than that of the noisy class labels, because similarity labels themselves are robust to noise. For exam ple, given two instances, even if both of their class labels are incorrect, their similarity label could be correct. Due to the lower noise rate, Class2Simi ach ieves remarkably better classification accuracy than its baselines that directly deals with the noisy class labels.

Optimization Variance: Exploring Generalization Properties of DNNs Xiao Zhang, Dongrui Wu, Haoyi Xiong, Bo Dai

Unlike the conventional wisdom in statistical learning theory, the test error of a deep neural network (DNN) often demonstrates double descent: as the model com plexity increases, it first follows a classical U-shaped curve and then shows a second descent. Through bias-variance decomposition, recent studies revealed tha t the bell-shaped variance is the major cause of model-wise double descent (when the DNN is widened gradually). This paper investigates epoch-wise double descen t, i.e., the test error of a DNN also shows double descent as the number of trai ning epochs increases. Specifically, we extend the bias-variance analysis to epo ch-wise double descent, and reveal that the variance also contributes the most t o the zero-one loss, as in model-wise double descent. Inspired by this result, w e propose a novel metric called optimization variance to measure the diversity o f model updates caused by the stochastic gradients of random training batches dr awn in the same iteration. This metric can be estimated using samples from the t raining set only but correlates well with the test error. The proposed optimizat ion variance can be used to predict the generalization ability of a DNN, and hen ce early stopping can be achieved without using any validation set.

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Image Augmentation Is All You Need: Regularizing Deep Reinforcement Learning from Pixels

Denis Yarats, Ilya Kostrikov, Rob Fergus

We propose a simple data augmentation technique that can be applied to standard model-free reinforcement learning algorithms, enabling robust learning directly from pixels without the need for auxiliary losses or pre-training. The approach leverages input perturbations commonly used in computer vision tasks to transform input examples, as well as regularizing the value function and policy. Exist ing model-free approaches, such as Soft Actor-Critic (SAC), are not able to train deep networks effectively from image pixels. However, the addition of our augmentation method dramatically improves SAC's performance, enabling it to reach state-of-the-art performance on the DeepMind control suite, surpassing model-based (Hafner et al., 2019; Lee et al., 2019; Hafner et al., 2018) methods and recent ly proposed contrastive learning (Srinivas et al., 2020). Our approach, which we dub DrQ: Data-regularized Q, can be combined with any model-free reinforcement learning algorithm. We further demonstrate this by applying it to DQN and signi

ficantly improve its data-efficiency on the Atari 100k benchmark.

KETG: A Knowledge Enhanced Text Generation Framework

Yan Cui, Xi Chen, Jiang Qian, Bojin Zhuang, Shaojun Wang, Jing Xiao

Embedding logical knowledge information into text generation is a challenging NL P task. In this paper, we propose a knowledge enhanced text generation (KETG) fr amework, which incorporates both the knowledge and associated text corpus to add ress logicality and diversity in text generation. Specifically, we validate our framework on rhetorical text generation from our newly built rhetoric knowledge graph. Experiments show that our framework outperforms baseline models such as T ransformer and GPT-2, on rhetorical type control, semantic comprehensibility and diversity

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MODALS: Modality-agnostic Automated Data Augmentation in the Latent Space Tsz-Him Cheung, Dit-Yan Yeung

Data augmentation is an efficient way to expand a training dataset by creating a dditional artificial data. While data augmentation is found to be effective in i mproving the generalization capabilities of models for various machine learning tasks, the underlying augmentation methods are usually manually designed and car efully evaluated for each data modality separately, like image processing functi ons for image data and word-replacing rules for text data. In this work, we prop ose an automated data augmentation approach called MODALS (Modality-agnostic Aut omated Data Augmentation in the Latent Space) to augment data for any modality in a generic way. MODALS exploits automated data augmentation to fine-tune four universal data transformation operations in the latent space to adapt the transform to data of different modalities. Through comprehensive experiments, we demons trate the effectiveness of MODALS on multiple datasets for text, tabular, time-s eries and image modalities.

ALFWorld: Aligning Text and Embodied Environments for Interactive Learning Mohit Shridhar, Xingdi Yuan, Marc-Alexandre Cote, Yonatan Bisk, Adam Trischler, Matth ew Hausknecht

Given a simple request like Put a washed apple in the kitchen fridge, humans can reason in purely abstract terms by imagining action sequences and scoring their likelihood of success, prototypicality, and efficiency, all without moving a mu scle. Once we see the kitchen in question, we can update our abstract plans to f it the scene. Embodied agents require the same abilities, but existing work does not yet provide the infrastructure necessary for both reasoning abstractly and executing concretely. We address this limitation by introducing ALFWorld, a simu lator that enables agents to learn abstract, text-based policies in TextWorld (C ôté et al., 2018) and then execute goals from the ALFRED benchmark (Shridhar et al., 2020) in a rich visual environment. ALFWorld enables the creation of a new BUTLER agent whose abstract knowledge, learned in TextWorld, corresponds directl y to concrete, visually grounded actions. In turn, as we demonstrate empirically , this fosters better agent generalization than training only in the visually gr ounded environment. BUTLER's simple, modular design factors the problem to allow researchers to focus on models for improving every piece of the pipeline (langu age understanding, planning, navigation, and visual scene understanding).

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Latent Skill Planning for Exploration and Transfer
Kevin Xie, Homanga Bharadhwaj, Danijar Hafner, Animesh Garg, Florian Shkurti
To quickly solve new tasks in complex environments, intelligent agents need to b
uild up reusable knowledge. For example, a learned world model captures knowledg
e about the environment that applies to new tasks. Similarly, skills capture gen
eral behaviors that can apply to new tasks. In this paper, we investigate how th
ese two approaches can be integrated into a single reinforcement learning agent.
Specifically, we leverage the idea of partial amortization for fast adaptation
at test time. For this, actions are produced by a policy that is learned over ti
me while the skills it conditions on are chosen using online planning. We demons
trate the benefits of our design decisions across a suite of challenging locomot

ion tasks and demonstrate improved sample efficiency in single tasks as well as in transfer from one task to another, as compared to competitive baselines. Vide os are available at: https://sites.google.com/view/latent-skill-planning/

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Multi-Source Unsupervised Hyperparameter Optimization Masahiro Nomura, Yuta Saito

How can we conduct efficient hyperparameter optimization for a completely new ta sk? In this work, we consider a novel setting, where we search for the optimal h yperparameters for a target task of interest using only unlabeled target task an d 'somewhat relevant' source task datasets. In this setting, it is essential to estimate the ground-truth target task objective using only the available informa tion. We propose estimators to unbiasedly approximate the ground-truth with a de sirable variance property. Building on these estimators, we provide a general an d tractable hyperparameter optimization procedure for our setting. The experimen tal evaluations demonstrate that the proposed framework broadens the application s of automated hyperparameter optimization.

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Deep Retrieval: An End-to-End Structure Model for Large-Scale Recommendations Weihao Gao, Xiangjun Fan, Jiankai Sun, Kai Jia, Wenzhi Xiao, Chong Wang, Xiaobing Liu One of the core problems in large-scale recommendations is to retrieve top relev ant candidates accurately and efficiently, preferably in sub-linear time. Previo us approaches are mostly based on a two-step procedure: first learn an inner-pro duct model and then use maximum inner product search (MIPS) algorithms to search top candidates, leading to potential loss of retrieval accuracy. In this paper, we present Deep Retrieval (DR), an end-to-end learnable structure model for lar ge-scale recommendations. DR encodes all candidates into a discrete latent space. Those latent codes for the candidates are model parameters and to be learnt to gether with other neural network parameters to maximize the same objective funct ion. With the model learnt, a beam search over the latent codes is performed to retrieve the top candidates. Empirically, we showed that DR, with sub-linear com putational complexity, can achieve almost the same accuracy as the brute-force b aseline.

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Evaluating the Disentanglement of Deep Generative Models through Manifold Topolo gy

Sharon Zhou, Eric Zelikman, Fred Lu, Andrew Y. Ng, Gunnar E. Carlsson, Stefano Ermon Learning disentangled representations is regarded as a fundamental task for improving the generalization, robustness, and interpretability of generative models. However, measuring disentanglement has been challenging and inconsistent, often dependent on an ad-hoc external model or specific to a certain dataset. To address this, we present a method for quantifying disentanglement that only uses the generative model, by measuring the topological similarity of conditional subman ifolds in the learned representation. This method showcases both unsupervised and supervised variants. To illustrate the effectiveness and applicability of our method, we empirically evaluate several state-of-the-art models across multiple datasets. We find that our method ranks models similarly to existing methods. We make our code publicly available at https://github.com/stanfordmlgroup/disentanglement.

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Cooperating RPN's Improve Few-Shot Object Detection Weilin Zhang, Yu-Xiong Wang, David Forsyth

Learning to detect an object in an image from very few training examples - few-s hot object detection - is challenging, because the classifier that sees proposal boxes has very little training data. A particularly challenging training regim e occurs when there are one or two training examples. In this case, if the regio n proposal network (RPN) misses even one high intersection-over-union (IOU) training box, the classifier's model of how object appearance varies can be severely impacted. We use multiple distinct yet cooperating RPN's. Our RPN's are trained to be different, but not too different; doing so yields significant performance improvements over state of the art for COCO and PASCAL VOC in the very few-sho

t setting. This effect appears to be independent of the choice of classifier or dataset.

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Combining Ensembles and Data Augmentation Can Harm Your Calibration

Yeming Wen, Ghassen Jerfel, Rafael Muller, Michael W Dusenberry, Jasper Snoek, Balaji Lakshminarayanan, Dustin Tran

Ensemble methods which average over multiple neural network predictions are a simple approach to improve a model's calibration and robustness. Similarly, data a ugmentation techniques, which encode prior information in the form of invariant feature transformations, are effective for improving calibration and robustness. In this paper, we show a surprising pathology: combining ensembles and data augmentation can harm model calibration. This leads to a trade-off in practice, whe reby improved accuracy by combining the two techniques comes at the expense of calibration. On the other hand, selecting only one of the techniques ensures good uncertainty estimates at the expense of accuracy. We investigate this pathology and identify a compounding under-confidence among methods which marginalize over sets of weights and data augmentation techniques which soften labels. Finally, we propose a simple correction, achieving the best of both worlds with significant accuracy and calibration gains over using only ensembles or data augmentation individually. Applying the correction produces new state-of-the art in uncertainty calibration and robustness across CIFAR-10, CIFAR-100, and ImageNet.

Compute- and Memory-Efficient Reinforcement Learning with Latent Experience Replay

Lili Chen, Kimin Lee, Aravind Srinivas, Pieter Abbeel

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Recent advances in off-policy deep reinforcement learning (RL) have led to impre ssive success in complex tasks from visual observations. Experience replay impro ves sample-efficiency by reusing experiences from the past, and convolutional ne ural networks (CNNs) process high-dimensional inputs effectively. However, such techniques demand high memory and computational bandwidth. In this paper, we pre sent Latent Vector Experience Replay (LeVER), a simple modification of existing off-policy RL methods, to address these computational and memory requirements wi thout sacrificing the performance of RL agents. To reduce the computational over head of gradient updates in CNNs, we freeze the lower layers of CNN encoders ear ly in training due to early convergence of their parameters. Additionally, we re duce memory requirements by storing the low-dimensional latent vectors for exper ience replay instead of high-dimensional images, enabling an adaptive increase i n the replay buffer capacity, a useful technique in constrained-memory settings. In our experiments, we show that LeVER does not degrade the performance of RL a gents while significantly saving computation and memory across a diverse set of DeepMind Control environments and Atari games. Finally, we show that LeVER is us eful for computation-efficient transfer learning in RL because lower layers of C NNs extract generalizable features, which can be used for different tasks and do

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Dynamic of Stochastic Gradient Descent with State-dependent Noise

Qi Meng, Shiqi Gong, Wei Chen, Zhi-Ming Ma, Tie-Yan Liu

Stochastic gradient descent (SGD) and its variants are mainstream methods to tra in deep neural networks. Since neural networks are non-convex, more and more works study the dynamic behavior of SGD and its impact to generalization, especially the escaping efficiency from local minima. However, these works make the oversimplified assumption that the distribution of gradient noise is state-independent, although it is state-dependent. In this work, we propose a novel power-law dynamic with state-dependent diffusion to approximate the dynamic of SGD. Then, we prove that the stationary distribution of power-law dynamic is heavy-tailed, which matches the existing empirical observations. Next, we study the escaping efficiency from local minimum of power-law dynamic and prove that the mean escaping time is in polynomial order of the barrier height of the basin, much faster than exponential order of previous dynamics. It indicates that SGD can escape deep

sharp minima efficiently and tends to stop at flat minima that have lower gener alization error. Finally, we conduct experiments to compare SGD and power-law dy namic, and the results verify our theoretical findings.

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Dynamic Tensor Rematerialization

Marisa Kirisame, Steven Lyubomirsky, Altan Haan, Jennifer Brennan, Mike He, Jared Roesch, Tianqi Chen, Zachary Tatlock

Checkpointing enables the training of deep learning models under restricted memo ry budgets by freeing intermediate activations from memory and recomputing them on demand. Current checkpointing techniques statically plan these recomputations offline and assume static computation graphs. We demonstrate that a simple onli ne algorithm can achieve comparable performance by introducing Dynamic Tensor Re materialization (DTR), a greedy online algorithm for checkpointing that is exten sible and general, is parameterized by eviction policy, and supports dynamic mod els. We prove that DTR can train an  $N^-$ -layer linear feedforward network on an  $\Omega^-$ -layer linear feedforward network on an Comega(\sqrt{N})\$ memory budget with only  $\Delta^-$ -layer linear feedforward network on the closely matches the performance of optimal static checkpointing in simulated experiments. We incorporate a DTR prototype into PyTorch merely by interposing on tensor allocations and operator calls and collecting lightweight metadata on tensors.

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CoCo: Controllable Counterfactuals for Evaluating Dialogue State Trackers SHIYANG LI, Semih Yavuz, Kazuma Hashimoto, Jia Li, Tong Niu, Nazneen Rajani, Xifeng Yan, Yingbo Zhou, Caiming Xiong

Dialogue state trackers have made significant progress on benchmark datasets, bu t their generalization capability to novel and realistic scenarios beyond the he ld- out conversations is less understood. We propose controllable counterfactual s (COCO) to bridge this gap and evaluate dialogue state tracking (DST) models on novel scenarios, i.e., would the system successfully tackle the request if the user responded differently but still consistently with the dialogue flow? COCO 1 everages turn-level belief states as counterfactual conditionals to produce nove l conversation scenarios in two steps: (i) counterfactual goal generation at tur n- level by dropping and adding slots followed by replacing slot values, (ii) co unterfactual conversation generation that is conditioned on (i) and consistent w ith the dialogue flow. Evaluating state-of-the-art DST models on MultiWOZ datase t with COCO-generated counterfactuals results in a significant performance drop of up to 30.8% (from 49.4% to 18.6%) in absolute joint goal accuracy. In compari son, widely used techniques like paraphrasing only affect the accuracy by at mos t 2%. Human evaluations show that COCO-generated conversations perfectly reflect the underlying user goal with more than 95% accuracy and are as human-like as t he original conversations, further strengthening its reliability and promise to be adopted as part of the robustness evaluation of DST models.

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Deep Neural Network Fingerprinting by Conferrable Adversarial Examples Nils Lukas, Yuxuan Zhang, Florian Kerschbaum

In Machine Learning as a Service, a provider trains a deep neural network and gives many users access. The hosted (source) model is susceptible to model stealing attacks, where an adversary derives a surrogate model from API access to the source model. For post hoc detection of such attacks, the provider needs a robust method to determine whether a suspect model is a surrogate of their model. We propose a fingerprinting method for deep neural network classifiers that extracts a set of inputs from the source model so that only surrogates agree with the source model on the classification of such inputs. These inputs are a subclass of transferable adversarial examples which we call conferrable adversarial examples that exclusively transfer with a target label from a source model to its surrogates. We propose a new method to generate these conferrable adversarial examples. We present an extensive study on the irremovability of our fingerprint against fine-tuning, weight pruning, retraining, retraining with different architectures, three model extraction attacks from related work, transfer learning, adversarial training, and two new adaptive attacks. Our fingerprint is robust against di

stillation, related model extraction attacks, and even transfer learning when the attacker has no access to the model provider's dataset. Our fingerprint is the first method that reaches a ROC AUC of 1.0 in verifying surrogates, compared to a ROC AUC of 0.63 by previous fingerprints.

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ROGA: Random Over-sampling Based on Genetic Algorithm ZONGDA HAN, XIUQUAN QIAO, SHUBO ZHAN

When using machine learning to solve practical tasks, we often face the problem of class imbalance. Unbalanced classes will cause the model to generate preferen ces during the learning process, thereby ignoring classes with fewer samples. Th e oversampling algorithm achieves the purpose of balancing the difference in qua ntity by generating a minority of samples. The quality of the artificial samples determines the impact of the oversampling algorithm on model training. Therefor e, a challenge of the oversampling algorithm is how to find a suitable sample ge neration space. However, too strong conditional constraints can make the generat ed samples as non-noise points as possible, but at the same time they also limit the search space of the generated samples, which is not conducive to the discov ery of better-quality new samples. Therefore, based on this problem, we propose an oversampling algorithm ROGA based on genetic algorithm. Based on random sampl ing, new samples are gradually generated and the samples that may become noise a re filtered out. ROGA can ensure that the sample generation space is as wide as possible, and it can also reduce the noise samples generated. By verifying on mu ltiple datasets, ROGA can achieve a good result.

Controllable Pareto Multi-Task Learning

Xi Lin, Zhiyuan YANG, Qingfu Zhang, Sam Kwong

A multi-task learning (MTL) system aims at solving multiple related tasks at the same time. With a fixed model capacity, the tasks would be conflicted with eac h other, and the system usually has to make a trade-off among learning all of th em together. Multiple models with different preferences over tasks have to be tr ained and stored for many real-world applications where the trade-off has to be made online. This work proposes a novel controllable Pareto multi-task learning framework, to enable the system to make real-time trade-off switch among differe nt tasks with a single model. To be specific, we formulate the MTL as a preferen ce-conditioned multiobjective optimization problem, for which there is a paramet ric mapping from the preferences to the Pareto stationary solutions. A single hy pernetwork-based multi-task neural network is built to learn all tasks with diff erent trade-off preferences among them, where the hypernetwork generates the mod el parameters conditioned on the preference. At the inference time, MTL practiti oners can easily control the model performance based on different trade-off pref erences in real-time. Experiments on different applications demonstrate that the proposed model is efficient for solving various multi-task learning problems.

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On the Estimation Bias in Double Q-Learning

Zhizhou Ren, Guangxiang Zhu, Beining Han, Jianglun Chen, Chongjie Zhang

Double Q-learning is a classical method for reducing overestimation bias, which is caused by taking maximum estimated values in the Bellman operator. Its varian ts in the deep Q-learning paradigm have shown great promise in producing reliable value prediction and improving learning performance. However, as shown by prior work, double Q-learning is not fully unbiased and still suffers from underestimation bias. In this paper, we show that such underestimation bias may lead to multiple non-optimal fixed points under an approximated Bellman operation. To address the concerns of converging to non-optimal stationary solutions, we propose a simple and effective approach as a partial fix for underestimation bias in double Q-learning. This approach leverages real returns to bound the target value. We extensively evaluate the proposed method in the Atari benchmark tasks and demonstrate its significant improvement over baseline algorithms.

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Practical Marginalized Importance Sampling with the Successor Representation Scott Fujimoto, David Meger, Doina Precup

Marginalized importance sampling (MIS), which measures the density ratio between the state-action occupancy of a target policy and that of a sampling distributi on, is a promising approach for off-policy evaluation. However, current state-of -the-art MIS methods rely on complex optimization tricks and succeed mostly on s imple toy problems. We bridge the gap between MIS and deep reinforcement learning by observing that the density ratio can be computed from the successor representation of the target policy. The successor representation can be trained through deep reinforcement learning methodology and decouples the reward optimization from the dynamics of the environment, making the resulting algorithm stable and applicable to high-dimensional domains. We evaluate the empirical performance of our approach on a variety of challenging Atari and MuJoCo environments.

Streaming Probabilistic Deep Tensor Factorization

shikai fang, Zheng Wang, Zhimeng pan, Ji Liu, Shandian Zhe

Despite the success of existing tensor factorization methods, most of them condu ct a multilinear decomposition, and rarely exploit powerful modeling frameworks, like deep neural networks, to capture a variety of complicated interactions in data. More important, for highly expressive, deep factorization, we lack an effe ctive approach to handle streaming data, which are ubiquitous in real-world appl ications. To address these issues, we propose SPIDER, a Streaming ProbabilistIc Deep tEnsoR factorization method. We first use Bayesian neural networks (NNs) to construct a deep tensor factorization model. We assign a spike-and-slab prior o ver the NN weights to encourage sparsity and prevent overfitting. We then use Ta ylor expansions and moment matching to approximate the posterior of the NN outpu t and calculate the running model evidence, based on which we develop an efficie nt streaming posterior inference algorithm in the assumed-density-filtering and expectation propagation framework. Our algorithm provides responsive incremental updates for the posterior of the latent factors and NN weights upon receiving n ew tensor entries, and meanwhile select and inhibit redundant/useless weights. W e show the advantages of our approach in four real-world applications.

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Pareto Adversarial Robustness: Balancing Spatial Robustness and Sensitivity-base d Robustness

Ke Sun, Mingjie Li, Zhouchen Lin

Adversarial robustness, mainly including sensitivity-based robustness and spatia l robustness, plays an integral part in the robust generalization. In this paper , we endeavor to design strategies to achieve comprehensive adversarial robustne ss. To hit this target, firstly we investigate the less-studied spatial robustne ss and then integrate existing spatial robustness methods by incorporating both local and global spatial vulnerability into one spatial attack design. Based on this exploration, we further present a comprehensive relationship between natura l accuracy, sensitivity-based and different spatial robustness, supported by the strong evidence from the perspective of representation. More importantly, in or der to balance these mutual impact within different robustness into one unified framework, we incorporate the Pareto criterion into the adversarial robustness a nalysis, yielding a novel strategy towards comprehensive robustness called \text it{Pareto Adversarial Training}. The resulting Pareto front, the set of optimal solutions, provides the set of optimal balance among natural accuracy and differ ent adversarial robustness, shedding light on solutions towards comprehensive ro bustness in the future. To the best of our knowledge, we are the first to consid er comprehensive robustness via the multi-objective optimization.

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Cut-and-Paste Neural Rendering

Anand Bhattad, David Forsyth

Cut-and-paste methods take an object from one image and insert it into another. Doing so often results in unrealistic looking images because the inserted object's shading is inconsistent with the target scene's shading. Existing reshading methods require a geometric and physical model of the inserted object, which is then rendered using environment parameters. Accurately constructing such a model only from a single image is beyond the current understanding of computer visio

We describe an alternative procedure -- cut-and-paste neural rendering, to rende r the inserted fragment's shading field consistent with the target scene. We us e a Deep Image Prior (DIP) as a neural renderer trained to render an image with consistent image decomposition inferences. The resulting rendering from DIP sho uld have an albedo consistent with composite albedo; it should have a shading fi eld that, outside the inserted fragment, is the same as the target scene's shading field;

and composite surface normals are consistent with the final rendering's shading field

The result is a simple procedure that produces convincing and realistic shading. Moreover, our procedure does not require rendered images or image-decomposition from real images in the training or labeled annotations. In fact, our only use of simulated ground truth is our use of a pre-trained normal estimator. Qualitat ive results are strong, supported by a user study comparing against state-of-the -art image harmonization baseline.

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Dissecting Hessian: Understanding Common Structure of Hessian in Neural Networks Yikai Wu, Xingyu Zhu, Chenwei Wu, Annie N. Wang, Rong Ge

Hessian captures important properties of the deep neural network loss landscape. We observe that eigenvectors and eigenspaces of the layer-wise Hessian for neur al network objective have several interesting structures -- top eigenspaces for different models have high overlap, and top eigenvectors form low rank matrices when they are reshaped into the same shape as the weight matrix of the correspon ding layer. These structures, as well as the low rank structure of the Hessian o bserved in previous studies, can be explained by approximating the Hessian using Kronecker factorization. Our new understanding can also explain why some of the se structures become weaker when the network is trained with batch normalization . Finally, we show that the Kronecker factorization can be combined with PAC-Bay es techniques to get better generalization bounds.

BiGCN: A Bi-directional Low-Pass Filtering Graph Neural Network Zhixian Chen, Tengfei Ma, Zhihua Jin, Yangqiu Song, Yang Wang

Graph convolutional networks have achieved great success on graph-structured dat a. Many graph convolutional networks can be regarded as low-pass filters for graph signals. In this paper, we propose a new model, BiGCN, which represents a graph neural network as a bi-directional low-pass filter. Specifically, we not only consider the original graph structure information but also the latent correlation between features, thus BiGCN can filter the signals along with both the original graph and a latent feature-connection graph. Our model outperforms previous graph neural networks in the tasks of node classification and link prediction on benchmark datasets, especially when we add noise to the node features.

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AdaGCN: Adaboosting Graph Convolutional Networks into Deep Models Ke Sun, Zhanxing Zhu, Zhouchen Lin

The design of deep graph models still remains to be investigated and the crucial part is how to explore and exploit the knowledge from different hops of neighbors in an efficient way. In this paper, we propose a novel RNN-like deep graph ne ural network architecture by incorporating AdaBoost into the computation of network; and the proposed graph convolutional network called AdaGCN~(Adaboosting Graph Convolutional Network) has the ability to efficiently extract knowledge from high-order neighbors of current nodes and then integrates knowledge from different hops of neighbors into the network in an Adaboost way. Different from other graph neural networks that directly stack many graph convolution layers, AdaGCN shares the same base neural network architecture among all `layers' and is recursively optimized, which is similar to an RNN. Besides, We also theoretically established the connection between AdaGCN and existing graph convolutional methods, presenting the benefits of our proposal. Finally, extensive experiments demons trate the consistent state-of-the-art prediction performance on graphs across di

fferent label rates and the computational advantage of our approach AdaGCN~\foot note{Code is available at \url{https://github.com/datake/AdaGCN}.}.

Wasserstein diffusion on graphs with missing attributes

Zhixian Chen, Tengfei Ma, Yangqiu Song, Yang Wang

Many real-world graphs are attributed graphs where nodes are associated with non-topological features. While attributes can be missing anywhere in an attributed graph, most of existing node representation learning approaches do not consider such incomplete information.

In this paper, we propose a general non-parametric framework to mitigate this problem. Starting from a decomposition of the attribute matrix, we transform node features into discrete distributions in a lower-dimensional space equipped with the Wasserstein metric. On this Wasserstein space, we propose Wasserstein graph diffusion to smooth the distributional representations of nodes with information from their local neighborhoods. This allows us to reduce the distortion caused by missing attributes and obtain integrated representations expressing information of both topology structures and attributes. We then pull the nodes back to the original space and produce corresponding point representations to facilitate various downstream tasks. To show the power of our representation method, we designed two algorithms based on it for node classification (with missing attributes) and matrix completion respectively, and demonstrate their effectiveness in experiments.

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Learning What To Do by Simulating the Past

David Lindner, Rohin Shah, Pieter Abbeel, Anca Dragan

Since reward functions are hard to specify, recent work has focused on learning policies from human feedback. However, such approaches are impeded by the expens e of acquiring such feedback. Recent work proposed that agents have access to a source of information that is effectively free: in any environment that humans h ave acted in, the state will already be optimized for human preferences, and thu s an agent can extract information about what humans want from the state. Such l earning is possible in principle, but requires simulating all possible past traj ectories that could have led to the observed state. This is feasible in gridworl ds, but how do we scale it to complex tasks? In this work, we show that by combi ning a learned feature encoder with learned inverse models, we can enable agents to simulate human actions backwards in time to infer what they must have done. The resulting algorithm is able to reproduce a specific skill in MuJoCo environm ents given a single state sampled from the optimal policy for that skill.

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F^2ed-Learning: Good Fences Make Good Neighbors

Lun Wang, Qi Pang, Shuai Wang, Dawn Song

In this paper, we present F^2ed-Learning, the first federated learning protocol simultaneously defending against both semi-honest server and Byzantine malicious clients. Using a robust mean estimator called FilterL2, F^2ed-Learning is the first FL protocol with dimension-free estimation error against Byzantine malicious clients. Besides, F^2ed-Learning leverages secure aggregation to protect the clients from a semi-honest server who wants to infer the clients' information from the legitimate updates. The main challenge stems from the incompatibility between FilterL2 and secure aggregation. Specifically, to run FilterL2, the server needs to access individual updates from clients while secure aggregation hides the ose updates from it. We propose to split the clients into shards, securely aggregate each shard's updates and run FilterL2 on the updates from different shards.

The evaluation shows that F^2ed-Learning consistently achieves optimal or sub-optimal performance under three attacks among five robust FL protocols. The code for evaluation is available in the supplementary material.

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Classify and Generate Reciprocally: Simultaneous Positive-Unlabelled Learning an d Conditional Generation with Extra Data

Bing Yu, Ke Sun, He Wang, Zhouchen Lin, Zhanxing Zhu

The scarcity of class-labeled data is a ubiquitous bottleneck in a wide range of

machine learning problems. While abundant unlabeled data normally exist and pro vide a potential solution, it is extremely challenging to exploit them. In this paper, we address this problem by leveraging Positive-Unlabeled~(PU) classificat ion and conditional generation with extra unlabeled data \emph{simultaneously}, both of which aim to make full use of agnostic unlabeled data to improve classif ication and generation performances. In particular, we present a novel training framework to jointly target both PU classification and conditional generation wh en exposing to extra data, especially out-of-distribution unlabeled data, by exp loring the interplay between them: 1) enhancing the performance of PU classifier s with the assistance of a novel Conditional Generative Adversarial Network~(CGA N) that is robust to noisy labels, 2) leveraging extra data with predicted label s from a PU classifier to help the generation. Our key contribution is a Classif  $\hbox{ier-Noise-Invariant Conditional $GAN$$$^{$}(CNI-CGAN)$ that can learn the clean data dis}$ tribution from noisy labels predicted by a PU classifier. Theoretically, we prov ed the optimal condition of CNI-CGAN and experimentally, we conducted extensive evaluations on diverse datasets, verifying the simultaneous improvements on both classification and generation.

CcGAN: Continuous Conditional Generative Adversarial Networks for Image Generation

Xin Ding, Yongwei Wang, Zuheng Xu, William J Welch, Z. Jane Wang

This work proposes the continuous conditional generative adversarial network (Cc GAN), the first generative model for image generation conditional on continuous, scalar conditions (termed regression labels). Existing conditional GANs (cGANs) are mainly designed for categorical conditions (e.g., class labels); conditioni ng on a continuous label is mathematically distinct and raises two fundamental p roblems: (P1) Since there may be very few (even zero) real images for some regre ssion labels, minimizing existing empirical versions of cGAN losses (a.k.a. empi rical cGAN losses) often fails in practice; (P2) Since regression labels are sca lar and infinitely many, conventional label input methods (e.g., combining a hid den map of the generator/discriminator with a one-hot encoded label) are not app licable. The proposed CcGAN solves the above problems, respectively, by (S1) ref ormulating existing empirical cGAN losses to be appropriate for the continuous s cenario; and (S2) proposing a novel method to incorporate regression labels into the generator and the discriminator. The reformulation in (S1) leads to two nov el empirical discriminator losses, termed the hard vicinal discriminator loss (H  $\mbox{\sc VDL})$  and the soft vicinal discriminator loss (SVDL) respectively, and a novel em pirical generator loss. The error bounds of a discriminator trained with HVDL an d SVDL are derived under mild assumptions in this work. A new benchmark dataset, RC-49, is also proposed for generative image modeling conditional on regression labels. Our experiments on the Circular 2-D Gaussians, RC-49, and UTKFace datas ets show that CcGAN is able to generate diverse, high-quality samples from the i mage distribution conditional on a given regression label. Moreover, in these ex periments, CcGAN substantially outperforms cGAN both visually and quantitatively

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ANOCE: Analysis of Causal Effects with Multiple Mediators via Constrained Struct ural Learning

Hengrui Cai, Rui Song, Wenbin Lu

In the era of causal revolution, identifying the causal effect of an exposure on the outcome of interest is an important problem in many areas, such as epidemic s, medicine, genetics, and economics. Under a general causal graph, the exposure may have a direct effect on the outcome and also an indirect effect regulated by a set of mediators. An analysis of causal effects that interprets the causal mechanism contributed through mediators is hence challenging but on demand. To the best of our knowledge, there are no feasible algorithms that give an exact decomposition of the indirect effect on the level of individual mediators, due to common interaction among mediators in the complex graph. In this paper, we establish a new statistical framework to comprehensively characterize causal effects with multiple mediators, namely, ANalysis Of Causal Effects (ANOCE), with a newly

introduced definition of the mediator effect, under the linear structure equati on model. We further propose a constrained causal structure learning method by i ncorporating a novel identification constraint that specifies the temporal causal relationship of variables. The proposed algorithm is applied to investigate the causal effects of 2020 Hubei lockdowns on reducing the spread of the coronavir us in Chinese major cities out of Hubei.

Direction Matters: On the Implicit Bias of Stochastic Gradient Descent with Mode rate Learning Rate

Jingfeng Wu, Difan Zou, Vladimir Braverman, Quanquan Gu

Understanding the algorithmic bias of stochastic gradient descent (SGD) is one o f the key challenges in modern machine learning and deep learning theory. Most o f the existing works, however, focus on very small or even infinitesimal learnin g rate regime, and fail to cover practical scenarios where the learning rate is moderate and annealing. In this paper, we make an initial attempt to characteriz e the particular regularization effect of SGD in the moderate learning rate regi me by studying its behavior for optimizing an overparameterized linear regressio n problem. In this case, SGD and GD are known to converge to the unique minimumnorm solution; however, with the moderate and annealing learning rate, we show t hat they exhibit different directional bias: SGD converges along the large eigen value directions of the data matrix, while GD goes after the small eigenvalue di rections. Furthermore, we show that such directional bias does matter when early stopping is adopted, where the SGD output is nearly optimal but the GD output i s suboptimal. Finally, our theory explains several folk arts in practice used fo r SGD hyperparameter tuning, such as (1) linearly scaling the initial learning r ate with batch size; and (2) overrunning SGD with high learning rate even when t he loss stops decreasing.

D2p-fed:Differentially Private Federated Learning with Efficient Communication Lun Wang, Ruoxi Jia, Dawn Song

In this paper, we propose the discrete Gaussian based differentially private fed erated learning (D2p-fed), a unified scheme to achieve both differential privacy (DP) and communication efficiency in federated learning (FL). In particular, co mpared with the only prior work taking care of both aspects, D2p-fed provides st ronger privacy guarantee, better composability and smaller communication cost. The key idea is to apply the discrete Gaussian noise to the private data transmis sion. We provide complete analysis of the privacy guarantee, communication cost and convergence rate of D2p-fed. We evaluated D2p-fed on INFIMNIST and CIFAR10. The results show that D2p-fed outperforms the-state-of-the-art by 4.7% to 13.0% in terms of model accuracy while saving one third of the communication cost. The code for evaluation is available in the supplementary material.

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Visual Imitation with Reinforcement Learning using Recurrent Siamese Networks Glen Berseth, Florian Golemo, Christopher Pal

It would be desirable for a reinforcement learning (RL) based agent to learn beh aviour by merely watching a demonstration. However, defining rewards that facili tate this goal within the RL paradigm remains a challenge. Here we address this problem with Siamese networks, trained to compute distances between observed beh aviours and an agent's behaviours. We use an RNN-based comparator model to learn such distances in space and time between motion clips while training an RL poli cy to minimize this distance. Through experimentation, we have also found that t he inclusion of multi-task data and an additional image encoding loss helps enfo rce temporal consistency and improve policy learning. These two components appea r to balance reward for matching a specific instance of a behaviour versus that behaviour in general. Furthermore, we focus here on a particularly challenging f orm of this problem where only a single demonstration is provided for a given ta sk -- the one-shot learning setting. We demonstrate our approach on humanoid, do g and raptor agents in 2D and a 3D quadruped and humanoid. In these environments , we show that our method outperforms the state-of-the-art, GAIFO (i.e. GAIL wit hout access to actions) and TCNs.

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NOSE Augment: Fast and Effective Data Augmentation Without Searching Qingrui Li,Song Xie,An■l Oymagil,Mustafa Furkan Eseoglu,Ziyin Zhang,CM Lee Data augmentation has been widely used for enhancing the diversity of training d ata and model generalization. Different from traditional handcrafted methods, re cent research introduced automated search for optimal data augmentation policies and achieved state-of-the-art results on image classification tasks. However, t hese search-based implementations typically incur high computation cost and long search time because of large search spaces and complex searching algorithms. We revisited automated augmentation from alternate perspectives, such as increasin g diversity and manipulating the overall usage of augmented data. In this paper, we present an augmentation method without policy searching called NOSE Augment (NO SEarch Augment). Our method completely skips policy searching; instead, it j ointly applies multi-stage augmentation strategy and introduces more augmentatio n operations on top of a simple stochastic augmentation mechanism. With more aug mentation operations, we boost the data diversity of stochastic augmentation; an d with the phased complexity driven strategy, we ensure the whole training proce ss converged smoothly to a good quality model. We conducted extensive experiment s and showed that our method could match or surpass state-of-the-art results pro vided by search-based methods in terms of accuracies. Without the need for polic y search, our method is much more efficient than the existing AutoAugment series of methods. Besides image classification, we also examine the general validity of our proposed method by applying our method to Face Recognition and Text Detec tion of the Optical Character Recognition (OCR) problems. The results establish our proposed method as a fast and competitive data augmentation strategy that ca n be used across various CV tasks.

Neural Pooling for Graph Neural Networks Sai Sree Harsha, Deepak Mishra

Tasks such as graph classification, require graph pooling to learn graph-level r epresentations from constituent node representations. In this work, we propose t wo novel methods using fully connected neural network layers for graph pooling, namely Neural Pooling Method 1 and 2. Our proposed methods have the ability to h andle variable number of nodes in different graphs, and are also invariant to th e isomorphic structures of graphs. In addition, compared to existing graph pooli ng methods, our proposed methods are able to capture information from all nodes, collect second-order statistics, and leverage the ability of neural networks to learn relationships among node representations, making them more powerful. We p erform experiments on graph classification tasks in the bio-informatics and soci al network domains to determine the effectiveness of our proposed methods. Exper imental results show that our methods lead to an absolute increase of upto 1.2% in classification accuracy over previous works and a general decrease in standar d deviation across multiple runs indicating greater reliability. Experimental re sults also indicate that this improvement in performance is consistent across se veral datasets.

Robust early-learning: Hindering the memorization of noisy labels
Xiaobo Xia, Tongliang Liu, Bo Han, Chen Gong, Nannan Wang, Zongyuan Ge, Yi Chang
The \textit{memorization effects} of deep networks show that they will first mem
orize training data with clean labels and then those with noisy labels. The \tex
tit{early stopping} method therefore can be exploited for learning with noisy la
bels. However, the side effect brought by noisy labels will influence the memori
zation of clean labels before early stopping. In this paper, motivated by the \t
extit{lottery ticket hypothesis} which shows that only partial parameters are im
portant for generalization, we find that only partial parameters are important f
or fitting clean labels and generalize well, which we term as \textit{critical p
arameters}; while the other parameters tend to fit noisy labels and cannot gener
alize well, which we term as \textit{non-critical parameters}. Based on this, we
propose \textit{robust early-learning} to reduce the side effect of noisy label

s before early stopping and thus enhance the memorization of clean labels. Speci fically, in each iteration, we divide all parameters into the critical and non-c ritical ones, and then perform different update rules for different types of par ameters. Extensive experiments on benchmark-simulated and real-world label-noise datasets demonstrate the superiority of the proposed method over the state-of-t he-art label-noise learning methods.

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SMiRL: Surprise Minimizing Reinforcement Learning in Unstable Environments Glen Berseth, Daniel Geng, Coline Manon Devin, Nicholas Rhinehart, Chelsea Finn, Dine sh Jayaraman, Sergey Levine

Every living organism struggles against disruptive environmental forces to carve out and maintain an orderly niche. We propose that such a struggle to achieve a nd preserve order might offer a principle for the emergence of useful behaviors in artificial agents. We formalize this idea into an unsupervised reinforcement learning method called surprise minimizing reinforcement learning (SMiRL). SMiRL alternates between learning a density model to evaluate the surprise of a stimu lus, and improving the policy to seek more predictable stimuli. The policy seeks out stable and repeatable situations that counteract the environment's prevailing sources of entropy. This might include avoiding other hostile agents, or finding a stable, balanced pose for a bipedal robot in the face of disturbance forces. We demonstrate that our surprise minimizing agents can successfully play Tetris, Doom, control a humanoid to avoid falls, and navigate to escape enemies in a maze without any task-specific reward supervision. We further show that SMiRL can be used together with standard task rewards to accelerate reward-driven learning.

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A Design Space Study for LISTA and Beyond Tianjian Meng, Xiaohan Chen, Yifan Jiang, Zhangyang Wang

In recent years, great success has been witnessed in building problem-specific d eep networks from unrolling iterative algorithms, for solving inverse problems a nd beyond. Unrolling is believed to incorporate the model-based prior with the 1 earning capacity of deep learning. This paper revisits \textit{the role of unrol ling as a design approach for deep networks}: to what extent its resulting speci al architecture is superior, and can we find better? Using LISTA for sparse reco very as a representative example, we conduct the first thorough \textit{design s pace study} for the unrolled models. Among all possible variations, we focus on extensively varying the connectivity patterns and neuron types, leading to a gi gantic design space arising from LISTA. To efficiently explore this space and id entify top performers, we leverage the emerging tool of neural architecture sear ch (NAS). We carefully examine the searched top architectures in a number of set tings, and are able to discover networks that consistently better than LISTA. We further present more visualization and analysis to ``open the black box", and f ind that the searched top architectures demonstrate highly consistent and potent ially transferable patterns. We hope our study to spark more reflections and exp lorations on how to better mingle model-based optimization prior and data-driven learning.

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PAC Confidence Predictions for Deep Neural Network Classifiers Sangdon Park, Shuo Li, Insup Lee, Osbert Bastani

A key challenge for deploying deep neural networks (DNNs) in safety critical set tings is the need to provide rigorous ways to quantify their uncertainty. In this paper, we propose a novel algorithm for constructing predicted classification confidences for DNNs that comes with provable correctness guarantees. Our approach uses Clopper-Pearson confidence intervals for the Binomial distribution in conjunction with the histogram binning approach to calibrated prediction. In addition, we demonstrate how our predicted confidences can be used to enable downstre am guarantees in two settings: (i) fast DNN inference, where we demonstrate how to compose a fast but inaccurate DNN with an accurate but slow DNN in a rigorous way to improve performance without sacrificing accuracy, and (ii) safe planning, where we guarantee safety when using a DNN to predict whether a given action i

s safe based on visual observations. In our experiments, we demonstrate that our approach can be used to provide guarantees for state-of-the-art DNNs.

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On Trade-offs of Image Prediction in Visual Model-Based Reinforcement Learning Mohammad Babaeizadeh, Mohammad Taghi Saffar, Danijar Hafner, Dumitru Erhan, Harini Kannan, Chelsea Finn, Sergey Levine

Model-based reinforcement learning (MBRL) methods have shown strong sample effic iency and performance across a variety of tasks, including when faced with highdimensional visual observations. These methods learn to predict the environment dynamics and expected reward from interaction and use this predictive model to p lan and perform the task. However, MBRL methods vary in their fundamental design choices, and it there is no strong consensus in the literature on how these des ign decisions affect performance. In this paper, we study a number of design dec isions for the predictive model in visual MBRL algorithms, focusing specifically on methods that use a predictive model for planning. We find that a range of de sign decisions that are often considered crucial, such as the use of latent spac es, have little effect on task performance. A big exception to this finding is t hat predicting future observations (i.e., images) leads to significant task perf ormance improvement compared to only predicting rewards. We also empirically fin d that image prediction accuracy, somewhat surprisingly, correlates more strongl y with downstream task performance than reward prediction accuracy. We show how this phenomenon is related to exploration and how some of the lower-scoring mode ls on standard benchmarks (that require exploration) will perform the same as th e best-performing models when trained on the same training data. Simultaneously, in the absence of exploration, models that fit the data better usually perform better on the down-stream task as well, but surprisingly, these are often not th e same models that perform the best when learning and exploring from scratch. Th ese findings suggest that performance and exploration place important and potent ially contradictory requirements on the model.

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Accelerating Safe Reinforcement Learning with Constraint-mismatched Policies Tsung-Yen Yang, Justinian Rosca, Karthik R Narasimhan, Peter Ramadge We consider the problem of reinforcement learning when provided with (1) a basel ine control policy and (2) a set of constraints that the controlled system must satisfy. The baseline policy can arise from a teacher agent, demonstration data or even a heuristic while the constraints might encode safety, fairness or other application-specific requirements. Importantly, the baseline policy may be suboptimal for the task at hand, and is not guaranteed to satisfy the specified con straints. The key challenge therefore lies in effectively leveraging the baselin e policy for faster learning, while still ensuring that the constraints are mini mally violated. To reconcile these potentially competing aspects, we propose an iterative policy optimization algorithm that alternates between maximizing expec ted return on the task, minimizing distance to the baseline policy, and projecti ng the policy onto the constraint-satisfying set. We analyze the convergence of our algorithm theoretically and provide a finite-sample guarantee. In our empiri cal experiments on five different control tasks, our algorithm consistently outp erforms several state-of-the-art methods, achieving 10 times fewer constraint vi olations and 40% higher reward on average.

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Invertible Manifold Learning for Dimension Reduction Siyuan Li, Haitao Lin, Zelin Zang, Lirong Wu, Jun Xia, Stan Z. Li

It is widely believed that a dimension reduction (DR) process drops information inevitably in most practical scenarios. Thus, most methods try to preserve some essential information of data after DR, as well as manifold based DR methods. Ho wever, they usually fail to yield satisfying results, especially in high-dimensi onal cases. In the context of manifold learning, we think that a good low-dimens ional representation should preserve the topological and geometric properties of data manifolds, which involve exactly the entire information of the data manifolds. In this paper, we define the problem of information-lossless NLDR with the manifold assumption and propose a novel two-stage NLDR method, called invertible

manifold learning (\$\textit{inv-ML}\$), to tackle this problem. A \$\textit{local isometry}\$ constraint of preserving local geometry is applied under this assump tion in \$\textit{inv-ML}\$. Firstly, a homeomorphic \$\textit{sparse coordinate tr ansformation}\$ is learned to find the low-dimensional representation without los ing topological information. Secondly, a \$\textit{linear compression}\$ is perfor med on the learned sparse coding, with the trade-off between the target dimension and the incurred information loss. Experiments are conducted on seven datasets with a neural network implementation of \$\textit{inv-ML}\$, called \$\textit{i-ML-Enc}\$, which demonstrate that the proposed \$\textit{inv-ML}\$ not only achieves invertible NLDR in comparison with typical existing methods but also reveals the characteristics of the learned manifolds through linear interpolation in latent space. Moreover, we find that the reliability of tangent space approximated by the local neighborhood on real-world datasets is key to the success of manifold based DR algorithms. The code will be made available soon.

Entropic Risk-Sensitive Reinforcement Learning: A Meta Regret Framework with Function Approximation

Yingjie Fei, Zhuoran Yang, Zhaoran Wang

We study risk-sensitive reinforcement learning with the entropic risk measure an d function approximation. We consider the finite-horizon episodic MDP setting, a nd propose a meta algorithm based on value iteration. We then derive two algorithms for linear and general function approximation, namely RSVI.L and RSVI.G, respectively, as special instances of the meta algorithm. We illustrate that the success of RSVI.L depends crucially on carefully designed feature mapping and regularization that adapt to risk sensitivity. In addition, both RSVI.L and RSVI.G maintain risk-sensitive optimism that facilitates efficient exploration. On the analytic side, we provide regret analysis for the algorithms by developing a meta analytic framework, at the core of which is a risk-sensitive optimism condition. We show that any instance of the meta algorithm that satisfies the condition yields a meta regret bound. We further verify the condition for RSVI.L and RSVI.G under respective function approximation settings to obtain concrete regret bounds that scale sublinearly in the number of episodes.

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Learning to Actively Learn: A Robust Approach Jifan Zhang, Kevin Jamieson

This work proposes a procedure for designing algorithms for specific adaptive da ta collection tasks like active learning and pure-exploration multi-armed bandit s. Unlike the design of traditional adaptive algorithms that rely on concentrati on of measure and careful analysis to justify the correctness and sample complex ity of the procedure, our adaptive algorithm is learned via adversarial training over equivalence classes of problems derived from information theoretic lower b ounds. In particular, a single adaptive learning algorithm is learned that compe tes with the best adaptive algorithm learned for each equivalence class. Our pro cedure takes as input just the available queries, set of hypotheses, loss functi on, and total query budget. This is in contrast to existing meta-learning work t hat learns an adaptive algorithm relative to an explicit, user-defined subset or prior distribution over problems which can be challenging to define and be mism atched to the instance encountered at test time. This work is particularly focus ed on the regime when the total query budget is very small, such as a few dozen, which is much smaller than those budgets typically considered by theoretically derived algorithms. We perform synthetic experiments to justify the stability an d effectiveness of the training procedure, and then evaluate the method on tasks derived from real data including a noisy 20 Questions game and a joke recommend

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Beyond Trivial Counterfactual Generations with Diverse Valuable Explanations Pau Rodriguez, Massimo Caccia, Alexandre Lacoste, Lee Zamparo, Issam H. Laradji, Laur ent Charlin, David Vazquez

Explainability of machine learning models has gained considerable attention with

in our research community given the importance of deploying more reliable machin e-learning systems. Explanability can also be helpful for model debugging. In co mputer vision applications, most methods explain models by displaying the region s in the input image that they focus on for their prediction, but it is difficul t to improve models based on these explanations since they do not indicate why t he model fail. Counterfactual methods, on the other hand, indicate how to pertur b the input to change the model prediction, providing details about the model's decision-making. Unfortunately, current counterfactual methods make ambiguous in terpretations as they combine multiple biases of the model and the data in a sin gle counterfactual interpretation of the model's decision. Moreover, these metho ds tend to generate trivial counterfactuals about the model's decision, as they often suggest to exaggerate or remove the presence of the attribute being classi fied. Trivial counterfactuals are usually not valuable, since the information th ey provide is often already known to the system's designer. In this work, we pro pose a counterfactual method that learns a perturbation in a disentangled latent space that is constrained using a diversity-enforcing loss to uncover multiple valuable explanations about the model's prediction. Further, we introduce a mech anism to prevent the model from producing trivial explanations. Experiments on C elebA and Synbols demonstrate that our model improves the success rate of produc ing high-quality valuable explanations when compared to previous state-of-the-ar t methods. We will make the code public.

X2T: Training an X-to-Text Typing Interface with Online Learning from User Feedb ack

Jensen Gao, Siddharth Reddy, Glen Berseth, Nicholas Hardy, Nikhilesh Natraj, Karunesh Ganguly, Anca Dragan, Sergey Levine

We aim to help users communicate their intent to machines using flexible, adapti ve interfaces that translate arbitrary user input into desired actions. In this work, we focus on assistive typing applications in which a user cannot operate a keyboard, but can instead supply other inputs, such as webcam images that captu re eye gaze or neural activity measured by a brain implant. Standard methods tra in a model on a fixed dataset of user inputs, then deploy a static interface tha t does not learn from its mistakes; in part, because extracting an error signal from user behavior can be challenging. We investigate a simple idea that would e nable such interfaces to improve over time, with minimal additional effort from the user: online learning from user feedback on the accuracy of the interface's actions. In the typing domain, we leverage backspaces as feedback that the inter face did not perform the desired action. We propose an algorithm called x-to-tex t (X2T) that trains a predictive model of this feedback signal, and uses this mo del to fine-tune any existing, default interface for translating user input into actions that select words or characters. We evaluate X2T through a small-scale online user study with 12 participants who type sentences by gazing at their des ired words, a large-scale observational study on handwriting samples from 60 use rs, and a pilot study with one participant using an electrocorticography-based b rain-computer interface. The results show that X2T learns to outperform a non-ad aptive default interface, stimulates user co-adaptation to the interface, person alizes the interface to individual users, and can leverage offline data collecte d from the default interface to improve its initial performance and accelerate o nline learning.

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Discrete Graph Structure Learning for Forecasting Multiple Time Series Chao Shang, Jie Chen, Jinbo Bi

Time series forecasting is an extensively studied subject in statistics, economics, and computer science. Exploration of the correlation and causation among the variables in a multivariate time series shows promise in enhancing the performance of a time series model. When using deep neural networks as forecasting models, we hypothesize that exploiting the pairwise information among multiple (multivariate) time series also improves their forecast. If an explicit graph structure is known, graph neural networks (GNNs) have been demonstrated as powerful tools to exploit the structure. In this work, we propose learning the structure simu

Itaneously with the GNN if the graph is unknown. We cast the problem as learning a probabilistic graph model through optimizing the mean performance over the graph distribution. The distribution is parameterized by a neural network so that discrete graphs can be sampled differentiably through reparameterization. Empirical evaluations show that our method is simpler, more efficient, and better performing than a recently proposed bilevel learning approach for graph structure learning, as well as a broad array of forecasting models, either deep or non-deep learning based, and graph or non-graph based.

SEMANTIC APPROACH TO AGENT ROUTING USING A HYBRID ATTRIBUTE-BASED RECOMMENDER SY STEM

Anwitha Paruchuri

Traditionally contact centers route an issue to an agent based on ticket load or skill of the agent. When a ticket comes into the system, it is either manually analyzed and pushed to an agent or automatically routed to an agent based on som e business rules. A Customer Relationship Management (CRM) system often has pred efined categories that an issue could belong to. The agents are generally profic ient in handling multiple categories, the categories in the CRM system are often related to each other, and a ticket typically contains content across multiple categories. This makes the traditional approach sub-optimal. We propose a Hybrid Recommendation based approach that recommends top N agents for a ticket by join tly modelling on the interactions between the agents and categories as well as on the semantic features of the categories and the agents.

Optimistic Exploration with Backward Bootstrapped Bonus for Deep Reinforcement L earning

Chenjia Bai, Lingxiao Wang, Peng Liu, Zhaoran Wang, Jianye HAO, Yingnan Zhao Optimism in the face of uncertainty is a principled approach for provably effici ent exploration for reinforcement learning in tabular and linear settings. Howev er, such an approach is challenging in developing practical exploration algorith ms for Deep Reinforcement Learning (DRL). To address this problem, we propose an Optimistic Exploration algorithm with Backward Bootstrapped Bonus (OEB3) for DR L by following these two principles. OEB3 is built on bootstrapped deep \$Q\$-lear ning, a non-parametric posterior sampling method for temporally-extended explora tion. Based on such a temporally-extended exploration, we construct an UCB-bonus indicating the uncertainty of \$Q\$-functions. The UCB-bonus is further utilized to estimate an optimistic \$Q\$-value, which encourages the agent to explore the s carcely visited states and actions to reduce uncertainty. In the estimation of \$ Q\$-function, we adopt an episodic backward update strategy to propagate the futu re uncertainty to the estimated \$Q\$-function consistently. Extensive evaluations show that OEB3 outperforms several state-of-the-art exploration approaches in M nist maze and 49 Atari games.

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Task-Agnostic Morphology Evolution

Donald Joseph Hejna III, Pieter Abbeel, Lerrel Pinto

Deep reinforcement learning primarily focuses on learning behavior, usually over looking the fact that an agent's function is largely determined by form. So, how should one go about finding a morphology fit for solving tasks in a given envir onment? Current approaches that co-adapt morphology and behavior use a specific task's reward as a signal for morphology optimization. However, this often requires expensive policy optimization and results in task-dependent morphologies that are not built to generalize. In this work, we propose a new approach, Task-Agnostic Morphology Evolution (TAME), to alleviate both of these issues. Without any task or reward specification, TAME evolves morphologies by only applying randomly sampled action primitives on a population of agents. This is accomplished using an information-theoretic objective that efficiently ranks agents by their ability to reach diverse states in the environment and the causality of their actions. Finally, we empirically demonstrate that across 2D, 3D, and manipulation environments TAME can evolve morphologies that match the multi-task performance of those learned with task supervised algorithms. Our code and videos can be found

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Policy Learning Using Weak Supervision

Jingkang Wang, Hongyi Guo, Zhaowei Zhu, Yang Liu

Deep Equals Shallow for ReLU Networks in Kernel Regimes Alberto Bietti, Francis Bach

Deep networks are often considered to be more expressive than shallow ones in te rms of approximation. Indeed, certain functions can be approximated by deep netw orks provably more efficiently than by shallow ones, however, no tractable algor ithms are known for learning such deep models. Separately, a recent line of work has shown that deep networks trained with gradient descent may behave like (tractable) kernel methods in a certain over-parameterized regime, where the kernel is determined by the architecture and initialization, and this paper focuses on approximation for such kernels. We show that for ReLU activations, the kernels derived from deep fully-connected networks have essentially the same approximation properties as their shallow two-layer counterpart, namely the same eigenvalue decay for the corresponding integral operator. This highlights the limitations of the kernel framework for understanding the benefits of such deep architectures. Our main theoretical result relies on characterizing such eigenvalue decays the rough differentiability properties of the kernel function, which also easily applies to the study of other kernels defined on the sphere.

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Loss Function Discovery for Object Detection via Convergence-Simulation Driven S earch

Peidong Liu, Gengwei Zhang, Bochao Wang, Hang Xu, Xiaodan Liang, Yong Jiang, Zhenguo Li

Designing proper loss functions for vision tasks has been a long-standing resear ch direction to advance the capability of existing models. For object detection, the well-established classification and regression loss functions have been car efully designed by considering diverse learning challenges (e.g. class imbalance , hard negative samples, and scale variances). Inspired by the recent progress i n network architecture search, it is interesting to explore the possibility of d iscovering new loss function formulations via directly searching the primitive o peration combinations. So that the learned losses not only fit for diverse objec t detection challenges to alleviate huge human efforts, but also have better ali gnment with evaluation metric and good mathematical convergence property. Beyond the previous auto-loss works on face recognition and image classification, our work makes the first attempt to discover new loss functions for the challenging object detection from primitive operation levels and finds the searched losses a re insightful. We propose an effective convergence-simulation driven evolutionar y search algorithm, called CSE-Autoloss, for speeding up the search progress by regularizing the mathematical rationality of loss candidates via two progressive convergence simulation modules: convergence property verification and model opt imization simulation. CSE-Autoloss involves the search space (i.e. 21 mathematic

al operators, 3 constant-type inputs, and 3 variable-type inputs) that cover a w ide range of the possible variants of existing losses and discovers best-searche d loss function combination within a short time (around 1.5 wall-clock days with 20x speedup in comparison to the vanilla evolutionary algorithm). We conduct ex tensive evaluations of loss function search on popular detectors and validate the good generalization capability of searched losses across diverse architectures and various datasets. Our experiments show that the best-discovered loss function combinations outperform default combinations (Cross-entropy/Focal loss for classification and L1 loss for regression) by 1.1% and 0.8% in terms of mAP for two-stage and one-stage detectors on COCO respectively. Our searched losses are available at https://github.com/PerdonLiu/CSE-Autoloss.

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A Simple Sparse Denoising Layer for Robust Deep Learning Yueming Lyu, Xingrui Yu, Ivor Tsang

Deep models have achieved great success in many applications. However, vanilla d eep models are not well-designed against the input perturbation. In this work, we take an initial step to designing a simple robust layer as a lightweight plu g-in for vanilla deep models. To achieve this goal, we first propose a fast spa rse coding and dictionary learning algorithm for sparse coding problem with an e xact \$k\$-sparse constraint or \$1\_0\$ norm regularization. Our method comes with a closed-form approximation for the sparse coding phase by taking advantage of a novel structured dictionary. With this handy approximation, we propose a sim ple sparse denoising layer (SDL) as a lightweight robust plug-in. Extensive ex periments on both classification and reinforcement learning tasks manifest the e ffectiveness of our methods.

Effective Distributed Learning with Random Features: Improved Bounds and Algorit hms

Yong Liu, Jiankun Liu, Shuqiang Wang

In this paper, we study the statistical properties of distributed kernel ridge r egression together with random features (DKRR-RF), and obtain optimal generaliza tion bounds under the basic setting, which can substantially relax the restricti on on the number of local machines in the existing state-of-art bounds. Specific ally, we first show that the simple combination of divide-and-conquer technique and random features can achieve the same statistical accuracy as the exact KRR in expectation requiring only  $\mathcal{L}^{0}(\mathcal{D})\$  memory and  $\mathcal{D}(\mathcal{D})\$  time. Then, beyond the generalization bounds in expectation that demonstrate the average information for multiple trails, we derive gene ralization bounds in probability to capture the learning performance for a single trail. Finally, we propose an effective communication strategy to further improve the performance of DKRR-RF, and validate the theoretical bounds via numerical experiments.

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Deep Learning is Singular, and That's Good

Daniel Murfet, Susan Wei, Mingming Gong, Hui Li, Jesse Gell-Redman, Thomas Quella In singular models, the optimal set of parameters forms an analytic set with sin gularities and classical statistical inference cannot be applied to such models. This is significant for deep learning as neural networks are singular and thus `dividing" by the determinant of the Hessian or employing the Laplace approxima tion are not appropriate. Despite its potential for addressing fundamental issue s in deep learning, singular learning theory appears to have made little inroads into the developing canon of deep learning theory. Via a mix of theory and experiment, we present an invitation to singular learning theory as a vehicle for un derstanding deep learning and suggest important future work to make singular learning theory directly applicable to how deep learning is performed in practice.

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Better Together: Resnet-50 accuracy with \$13  $\times$  \$ fewer parameters and at \$3  $\times$  \$ speed

Utkarsh Nath, Shrinu Kushagra

Recent research on compressing deep neural networks has focused on reducing the

number of parameters. Smaller networks are easier to export and deploy on edge-d evices. We introduce Adjoined networks as a training approach that can regulariz e and compress any CNN-based neural architecture. Our one-shot learning paradigm trains both the original and the smaller networks together. The parameters of the smaller network are shared across both the architectures. We prove strong the oretical guarantees on the regularization behavior of the adjoint training paradigm. We complement our theoretical analysis by an extensive empirical evaluation of both the compression and regularization behavior of adjoint networks. For resnet-50 trained adjointly on Imagenet, we are able to achieve a \$13.7 \times\$ reduction in the number of parameters and a \$3 \times\$ improvement in inference time without any significant drop in accuracy. For the same architecture on CIFAR-100, we are able to achieve a \$99.7 \times\$ reduction in the number of parameters and a \$5 \times\$ improvement in inference time. On both these datasets, the or iginal network trained in the adjoint fashion gains about \$3\%\$ in top-1 accuracy as compared to the same network trained in the standard fashion.

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On InstaHide, Phase Retrieval, and Sparse Matrix Factorization

Sitan Chen, Xiaoxiao Li, Zhao Song, Danyang Zhuo

In this work, we examine the security of InstaHide, a scheme recently proposed by \cite{hsla20} for preserving the security of private datasets in the context of distributed learning. To generate a synthetic training example to be shared among the distributed learners, InstaHide takes a convex combination of private feature vectors and randomly flips the sign of each entry of the resulting vector with probability 1/2. A salient question is whether this scheme is secure in any provable sense, perhaps under a plausible complexity-theoretic assumption.

The answer to this turns out to be quite subtle and closely related to the avera ge-case complexity of a multi-task, missing-data version of the classic problem of phase retrieval that is interesting in its own right. Motivated by this conne ction, under the standard distributional assumption that the public/private feat ure vectors are isotropic Gaussian, we design an algorithm that can actually rec over a private vector using only the public vectors and a sequence of synthetic vectors generated by InstaHide.

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Optimization Planning for 3D ConvNets

Zhaofan Qiu, Ting Yao, Chong-wah Ngo, Tao Mei

3D Convolutional Neural Networks (3D ConvNets) have been regarded as a powerful class of models for video recognition. Nevertheless, it is not trivial to optima lly learn a 3D ConvNets due to high complexity and various options of the traini ng scheme. The most common hand-tuning process starts from learning 3D ConvNets using short video clips and then is followed by learning long-term temporal depe ndency using lengthy clips, while gradually decaying the learning rate from high to low as training progresses. The fact that such process comes along with seve ral heuristic settings motivates the study to seek an optimal ``path'' to automa te the entire training. In this paper, we decompose the path into a series of tr aining ``states'' and specify the hyper-parameters, e.g., learning rate and the length of input clips, in each state. The estimation of the knee point on the pe rformance-epoch curve triggers the transition from one state to another. We perf orm dynamic programming over all the candidate states to plan the optimal permut ation of states, i.e., optimization path. Furthermore, we devise a new 3D ConvNe ts with a unique design of dual-head classifier to improve the spatial and tempo ral discrimination. Extensive experiments conducted on seven public video recogn ition benchmarks demonstrate the advantages of our proposal. With the optimizati on planning, our 3D ConvNets achieves superior results when comparing to the sta te-of-the-art video recognition approaches. More remarkably, we obtain the top-1 accuracy of 82.5% and 84.3% on the large-scale Kinetics-400 and Kinetics-600 da tasets, respectively.

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Graph Neural Network Acceleration via Matrix Dimension Reduction Shunhua Jiang, Yunze Man, Zhao Song, Danyang Zhuo

Graph Neural Networks (GNNs) have become the de facto method for machine learnin q on graph data (e.g., social networks, protein structures, code ASTs), but they require significant time and resource to train. One alternative method is Graph Neural Tangent Kernel (GNTK), a kernel method that corresponds to infinitely wi de multi-layer GNNs. GNTK's parameters can be solved directly in a single step, avoiding time-consuming gradient descent. Today, GNTK is the state-of-the-art me thod to achieve high training speed without compromising accuracy. Unfortunately , solving for the kernel and searching for parameters can still take hours to da ys on real-world graphs. The current computation of GNTK has running time \$0(N^4 )\$, where \$N\$ is the number of nodes in the graph. This prevents GNTK from scali ng to datasets that contain large graphs. Theoretically, we present two techniqu es to speed up GNTK training while preserving the generalization error: (1) We u se a novel matrix decoupling method to reduce matrix dimensions during the kerne l solving. This allows us to reduce the dominated computation bottleneck term fr om  $0(N^4)$  to  $0(N^3)$ . (2) We apply sketching to further reduce the bottlenec k term to  $o(N^{\infty})$ , where  $o(N^{\infty})$  is the exponent of curre nt matrix multiplication. Experimentally, we demonstrate that our approaches spe ed up kernel learning by up to \$19\times\$ on real-world benchmark datasets.

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Ricci-GNN: Defending Against Structural Attacks Through a Geometric Approach Ze Ye, Tengfei Ma, Chien-Chun Ni, Kin Sum Liu, Jie Gao, Chao Chen

Graph neural networks (GNNs) rely heavily on the underlying graph topology and thus can be vulnerable to malicious attacks targeting at graph structures. We propose a novel GNN defense algorithm against structural attacks that maliciously modify graph topology. In particular, we discover a robust representation of the input graph based on the advanced theory of graph Ricci flow, which captures the intrinsic geometry of graphs and is robust to structural perturbation. We propose an algorithm to train GNNs using re-sampled graphs based on such geometric representation. We show that this method can be effective to protect against adversarial structural attacks. Our method achieves state-of-the-art performance on synthetic and real datasets against different types of graph poisoning attacks.

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Anchor & Transform: Learning Sparse Embeddings for Large Vocabularies Paul Pu Liang, Manzil Zaheer, Yuan Wang, Amr Ahmed

Learning continuous representations of discrete objects such as text, users, mov ies, and URLs lies at the heart of many applications including language and user modeling. When using discrete objects as input to neural networks, we often ign ore the underlying structures (e.g., natural groupings and similarities) and emb ed the objects independently into individual vectors. As a result, existing meth ods do not scale to large vocabulary sizes. In this paper, we design a simple an d efficient embedding algorithm that learns a small set of anchor embeddings and a sparse transformation matrix. We call our method Anchor & Transform (ANT) as the embeddings of discrete objects are a sparse linear combination of the anchor s, weighted according to the transformation matrix. ANT is scalable, flexible, a nd end-to-end trainable. We further provide a statistical interpretation of our algorithm as a Bayesian nonparametric prior for embeddings that encourages spars ity and leverages natural groupings among objects. By deriving an approximate in ference algorithm based on Small Variance Asymptotics, we obtain a natural exten sion that automatically learns the optimal number of anchors instead of having t o tune it as a hyperparameter. On text classification, language modeling, and mo vie recommendation benchmarks, we show that ANT is particularly suitable for lar ge vocabulary sizes and demonstrates stronger performance with fewer parameters (up to 40x compression) as compared to existing compression baselines.

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Semi-Supervised Audio Representation Learning for Modeling Beehive Strengths Tony Zhang, Szymon Zmyslony, Sergei Nozdrenkov, Matthew Smith, Brandon Kingsley Hopkins

Honey bees are critical to our ecosystem and food security as a pollinator, cont ributing 35% of our global agriculture yield. In spite of their importance, beek eeping is exclusively dependent on human labor and experience-derived heuristics

, while requiring frequent human checkups to ensure the colony is healthy, which can disrupt the colony. Increasingly, pollinator populations are declining due to threats from climate change, pests, environmental toxicity, making their mana gement even more critical than ever before in order to ensure sustained global f cod security. To start addressing this pressing challenge, we developed an integ rated hardware sensing system for beehive monitoring through audio and environme nt measurements, and a hierarchical semi-supervised deep learning model, composed of an audio modeling module and a predictor, to model the strength of beehives. The model is trained jointly on audio reconstruction and prediction losses based on human inspections, in order to model both low-level audio features and cir cadian temporal dynamics. We show that this model performs well despite limited labels, and can learn an audio embedding that is useful for characterizing different sound profiles of beehives. This is the first instance to our knowledge of applying audio-based deep learning to model beehives and population size in an observational setting across a large number of hives.

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Decoupled Greedy Learning of Graph Neural Networks

YEWEN WANG, Jian Tang, Yizhou Sun, Guy Wolf

Graph Neural Networks (GNNs) become very popular for graph-related applications due to their superior performance. However, they have been shown to be computati onally expensive in large scale settings, because their produced node embeddings have to be computed recursively, which scales exponentially with the number of layers. To address this issue, several sampling-based methods have recently been proposed to perform training on a subset of nodes while maintaining the fidelit  $\ensuremath{\mathsf{y}}$  of the trained model. In this work, we introduce a decoupled greedy learning  $\ensuremath{\mathsf{m}}$ ethod for GNNs (DGL-GNN) that, instead of sampling the input graph, decouples th e GNN into smaller modules and associates each module with greedy auxiliary obje ctives. Our approach allows GNN layers to be updated during the training process without waiting for feedback from successor layers, thus making parallel GNN tr aining possible. Our method achieves improved efficiency without significantly c ompromising model performances, which would be important for time or memory limi ted applications. Further, we propose a lazy-update scheme during training to fu rther improve its efficiency. We empirically analyse our proposed DGL-GNN model, and demonstrate its effectiveness and superior efficiency through a range of ex periments. Compared to the sampling-based acceleration, our model is more stable , and we do not have to trade-off between efficiency and accuracy. Finally, we n ote that while here we focus on comparing the decoupled approach as an alternati ve to other methods, it can also be regarded as complementary, for example, to s ampling and other scalability-enhancing improvements of GNN training.

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Achieving Linear Speedup with Partial Worker Participation in Non-IID Federated Learning

Haibo Yang, Minghong Fang, Jia Liu

Federated learning (FL) is a distributed machine learning architecture that leve rages a large number of workers to jointly learn a model with decentralized data FL has received increasing attention in recent years thanks to its data priva cy protection, communication efficiency and a linear speedup for convergence in training (i.e., convergence performance increases linearly with respect to the n umber of workers). However, existing studies on linear speedup for convergence a re only limited to the assumptions of i.i.d. datasets across workers and/or full worker participation, both of which rarely hold in practice. So far, it remains an open question whether or not the linear speedup for convergence is achievabl e under non-i.i.d. datasets with partial worker participation in FL. In this pa per, we show that the answer is affirmative. Specifically, we show that the fed erated averaging (FedAvg) algorithm (with two-sided learning rates) on non-i.i.d . datasets in non-convex settings achieves a convergence rate  $\mbox{\mbox{$\mbox{$\mbox{$}}(\mbox{$\mbox{$}$}(\mbox{\mbox{$}$})$}$  $\{1\}$  \sqrt{mKT}} + \frac{1}{T})\\$ for full worker participation and a convergence participation, where \$K\$ is the number of local steps, \$T\$ is the number of tota l communication rounds, \$m\$ is the total worker number and \$n\$ is the worker num

ber in one communication round if for partial worker participation. Our results also reveal that the local steps in FL could help the convergence and show that the maximum number of local steps can be improved to T/m in full worker participation. We conduct extensive experiments on MNIST and CIFAR-10 to verify our theoretical results.

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Exploiting Playbacks in Unsupervised Domain Adaptation for 3D Object Detection Yurong You, Carlos Andres Diaz-Ruiz, Yan Wang, Wei-Lun Chao, Bharath Hariharan, Mark Campbell, Kilian Q Weinberger

Self-driving cars must detect other vehicles and pedestrians in 3D to plan safe routes and avoid collisions.

State-of-the-art 3D object detectors, based on deep learning, have shown promisi ng accuracy but are prone to over-fit to domain idiosyncrasies, causing them to fail in new environments---a serious problem if autonomous vehicles are meant to operate freely. In this paper, we propose a novel learning approach that drastically reduces this gap by fine-tuning the detector on pseudo-labels in the target domain, which our method generates while the vehicle is parked, based on replays of previously recorded driving sequences. In these replays, objects are tracked over time, and detections are interpolated and extrapolated---crucially, leveraging future information to catch hard cases. We show, on five autonomous driving datasets, that fine-tuning the detector on these pseudo-labels substantially reduces the domain-gap to new driving environments, yielding drastic improvements in accuracy and detection reliability.

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Interactive Visualization for Debugging RL

Shuby Deshpande, Benjamin Eysenbach, Jeff Schneider

Visualization tools for supervised learning (SL) allow users to interpret, intro spect, and gain an intuition for the successes and failures of their models. Whi le reinforcement learning (RL) practitioners ask many of the same questions whil e debugging agent policies, existing tools aren't a great fit for the RL setting as these tools address challenges typically found in the SL regime. Whereas SL involves a static dataset, RL often entails collecting new data in challenging e nvironments with partial observability, stochasticity, and non-stationary data d istributions. This necessitates the creation of alternate visual interfaces to h elp us better understand agent policies trained using RL. In this work, we desig n and implement an interactive visualization tool for debugging and interpreting RL. Our system identifies and addresses important aspects missing from existing tools such as (1) visualizing alternate state representations (different from t hose seen by the agent) that researchers could use while debugging RL policies; (2) interactive interfaces tailored to metadata stored while training RL agents (3) a conducive workflow designed around RL policy debugging. We provide an exam ple workflow of how this system could be used, along with ideas for future exten

sions.

PABI: A Unified PAC-Bayesian Informativeness Measure for Incidental Supervision Signals

Hangfeng He, Mingyuan Zhang, Qiang Ning, Dan Roth

Real-world applications often require making use of {\em a range of incidental s upervision signals}. However, we currently lack a principled way to measure the benefit an incidental training dataset can bring, and the common practice of usi ng indirect, weaker signals is through exhaustive experiments with various model s and hyper-parameters. This paper studies whether we can, {\em in a single fram ework, quantify the benefit of various types of incidental signals for one's tar get task without going through combinatorial experiments}. We propose PABI, a un ified informativeness measure motivated by PAC-Bayesian theory, characterizing t he reduction in uncertainty that indirect, weak signals provide. We demonstrate PABI's use in quantifying various types of incidental signals including partial labels, noisy labels, constraints, cross-domain signals, and combinations of the se. Experiments with various setups on two natural language processing (NLP) tas ks, named entity recognition (NER) and question answering (QA), show that PABI c

orrelates well with learning performance, providing a promising way to determine , ahead of learning, which supervision signals would be beneficial.

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Real-Time AutoML

Iddo Drori, Brandon Kates, Anant Kharkar, Lu Liu, Qiang Ma, Jonah Deykin, Nihar Sidhu, Madeleine Udell

We present a new zero-shot approach to automated machine learning (AutoML) that predicts a high-quality model for a supervised learning task and dataset in real -time without fitting a single model. In contrast, most AutoML systems require t ens or hundreds of model evaluations. Hence our approach accelerates AutoML by o rders of magnitude. Our method uses a transformer-based language embedding to re present datasets and algorithms using their free-text descriptions and a meta-fe ature extractor to represent the data. We train a graph neural network in which each node represents a dataset to predict the best machine learning pipeline for a new test dataset. The graph neural network generalizes to new datasets and ne w sets of datasets. Our approach leverages the progress of unsupervised representation learning in natural language processing to provide a significant boost to AutoML. Performance is competitive with state-of-the-art AutoML systems while r educing running time from minutes to seconds and prediction time from minutes to milliseconds, providing AutoML in real-time.

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Data-driven Learning of Geometric Scattering Networks

Alexander Tong, Frederik Wenkel, Kincaid Macdonald, Smita Krishnaswamy, Guy Wolf Many popular graph neural network (GNN) architectures, which are often considere d as the current state of the art, rely on encoding graph structure via smoothne ss or similarity between neighbors. While this approach performs well on a surpr ising number of standard benchmarks, the efficacy of such models does not transl ate consistently to more complex domains, such as graph data in the biochemistry domain. We argue that these more complex domains require priors that encourage learning of longer range features rather than oversmoothed signals of standard G NN architectures. Here, we propose an alternative GNN architecture, based on a r elaxation of recently proposed geometric scattering transforms, which consists o f a cascade of graph wavelet filters. Our learned geometric scattering (LEGS) ar chitecture adaptively tunes these wavelets and their scales to encourage band-pa ss features to emerge in learned representations. This results in a simplified G NN with significantly fewer learned parameters compared to competing methods. We demonstrate the predictive performance of our method on several biochemistry gr aph classification benchmarks, as well as the descriptive quality of its learned features in biochemical graph data exploration tasks. Our results show that the proposed LEGS network matches or outperforms popular GNNs, as well as the origi nal geometric scattering construction, while retaining certain mathematical prop erties of its handcrafted (nonlearned) design.

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Goal-Auxiliary Actor-Critic for 6D Robotic Grasping with Point Clouds Lirui Wang, Yu Xiang, Dieter Fox

6D robotic grasping beyond top-down bin-picking scenarios is a challenging task. Previous solutions based on 6D grasp synthesis with robot motion planning usual ly operate in an open-loop setting without considering perception feedback and d ynamics and contacts of objects, which makes them sensitive to grasp synthesis e rrors. In this work, we propose a novel method for learning closed-loop control policies for 6D robotic grasping using point clouds from an egocentric camera. We combine imitation learning and reinforcement learning in order to grasp unseen objects and handle the continuous 6D action space, where expert demonstrations are obtained from a joint motion and grasp planner. We introduce a goal-auxiliar y actor-critic algorithm, which uses grasping goal prediction as an auxiliary ta sk to facilitate policy learning. The supervision on grasping goals can be obtained from the expert planner for known objects or from hindsight goals for unknown objects. Overall, our learned closed-loop policy achieves over \$90\%\$ success rates on grasping various ShapeNet objects and YCB objects in simulation. The policy also transfers well to the real world with only one failure among grasping

of ten different unseen objects in the presence of perception noises.

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Multiple Descent: Design Your Own Generalization Curve

Lin Chen, Yifei Min, Mikhail Belkin, amin karbasi

This paper explores the generalization loss of linear regression in variably par ameterized families of models, both under-parameterized and over-parameterized. We show that the generalization curve can have an arbitrary number of peaks, and moreover, locations of those peaks can be explicitly controlled. Our results hi ghlight the fact that both classical U-shaped generalization curve and the recently observed double descent curve are not intrinsic properties of the model family. Instead, their emergence is due to the interaction between the properties of the data and the inductive biases of learning algorithms.

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Deep Neural Tangent Kernel and Laplace Kernel Have the Same RKHS Lin Chen, Sheng Xu

We prove that the reproducing kernel Hilbert spaces (RKHS) of a deep neural tang ent kernel and the Laplace kernel include the same set of functions, when both k ernels are restricted to the sphere  $\infty \mathbb{S}^{d-1}$ . Additionally, we prove t hat the exponential power kernel with a smaller power (making the kernel less sm ooth) leads to a larger RKHS, when it is restricted to the sphere  $\infty \mathbb{S}^{d-1}$  and when it is defined on the entire  $\infty \mathbb{R}^{d}$ .

Fast convergence of stochastic subgradient method under interpolation Huang Fang, Zhenan Fan, Michael Friedlander

This paper studies the behaviour of the stochastic subgradient descent (SSGD) me thod applied to over-parameterized nonsmooth optimization problems that satisfy an interpolation condition. By leveraging the composite structure of the empiric al risk minimization problems, we prove that SSGD converges, respectively, with rates  $0(1/\epsilon)$  and  $0(\log(1/\epsilon)$  for convex and strongly-convex objectives when interpolation holds. These rates coincide with established rates for the stochastic gradient descent (SGD) method applied to smooth problems that also satisfy an interpolation condition. Our analysis provides a partial explanation for the empirical observation that sometimes SGD and SSGD behave similarly for training smooth and nonsmooth machine learning models. We also prove that the rate  $0(1/\epsilon)$  is optimal for the subgradient method in the convex and interpolation setting.

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Generating Adversarial Computer Programs using Optimized Obfuscations Shashank Srikant, Sijia Liu, Tamara Mitrovska, Shiyu Chang, Quanfu Fan, Gaoyuan Zhang, Una-May O'Reilly

Machine learning (ML) models that learn and predict properties of computer programs are increasingly being adopted and deployed.

These models have demonstrated success in applications such as auto-completing c ode, summarizing large programs, and detecting bugs and malware in programs.

In this work, we investigate principled ways to adversarially perturb a computer program to fool such learned models, and thus determine their adversarial robus tness. We use program obfuscations, which have conventionally been used to avoid attempts at reverse engineering programs, as adversarial perturbations. These p erturbations modify programs in ways that do not alter their functionality but c an be crafted to deceive an ML model when making a decision. We provide a general formulation for an adversarial program that allows applying multiple obfuscation transformations to a program in any language. We develop first-order optimization algorithms to efficiently determine two key aspects -- which parts of the program to transform, and what transformations to use. We show that it is import ant to optimize both these aspects to generate the best adversarially perturbed program. Due to the discrete nature of this problem, we also propose using rando mized smoothing to improve the attack loss landscape to ease optimization.

We evaluate our work on Python and Java programs on the problem of program summa rization.

We show that our best attack proposal achieves a \$52\%\$ improvement over a state

-of-the-art attack generation approach for programs trained on a \textsc{seq2seq} model.

We further show that our formulation is better at training models that are robus t to adversarial attacks.

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Lie Algebra Convolutional Neural Networks with Automatic Symmetry Extraction Nima Dehmamy, Yanchen Liu, Robin Walters, Rose Yu

Existing methods for incorporating symmetries into neural network architectures require prior knowledge of the symmetry group. We propose to learn the symmetrie s during the training of the group equivariant architectures. Our model, the Li e algebra convolutional network (L-conv), is based on infinitesimal generators of continuous groups and does not require discretization or integration over the group. We show that L-conv can approximate any group convolutional layer by composition of layers. We demonstrate how CNNs, Graph Convolutional Networks and fully-connected networks can all be expressed as an L-conv with appropriate groups. By allowing the infinitesimal generators to be learnable, L-conv can learn pote ntial symmetries. We also show how the symmetries are related to the statistics of the dataset in linear settings. We find an analytical relationship between the symmetry group and a subgroup of an orthogonal group preserving the covariance of the input. Our experiments show that L-conv with trainable generators performs well on problems with hidden symmetries. Due to parameter sharing, L-conv also uses far fewer parameters than fully-connected layers.

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C-Learning: Learning to Achieve Goals via Recursive Classification Benjamin Eysenbach, Ruslan Salakhutdinov, Sergey Levine

We study the problem of predicting and controlling the future state distribution of an autonomous agent. This problem, which can be viewed as a reframing of goa 1-conditioned reinforcement learning (RL), is centered around learning a conditi onal probability density function over future states. Instead of directly estima ting this density function, we indirectly estimate this density function by trai ning a classifier to predict whether an observation comes from the future. Via B ayes' rule, predictions from our classifier can be transformed into predictions over future states. Importantly, an off-policy variant of our algorithm allows u s to predict the future state distribution of a new policy, without collecting n ew experience. This variant allows us to optimize functionals of a policy's futu re state distribution, such as the density of reaching a particular goal state. While conceptually similar to Q-learning, our work lays a principled foundation for goal-conditioned RL as density estimation, providing justification for goalconditioned methods used in prior work. This foundation makes hypotheses about Q -learning, including the optimal goal-sampling ratio, which we confirm experimen tally. Moreover, our proposed method is competitive with prior goal-conditioned RL methods.

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Off-Dynamics Reinforcement Learning: Training for Transfer with Domain Classifiers

Benjamin Eysenbach, Shreyas Chaudhari, Swapnil Asawa, Sergey Levine, Ruslan Salakhut dinov

We propose a simple, practical, and intuitive approach for domain adaptation in reinforcement learning. Our approach stems from the idea that the agent's experience in the source domain should look similar to its experience in the target domain. Building off of a probabilistic view of RL, we achieve this goal by compen sating for the difference in dynamics by modifying the reward function. This mod ified reward function is simple to estimate by learning auxiliary classifiers that distinguish source-domain transitions from target-domain transitions. Intuitively, the agent is penalized for transitions that would indicate that the agent is interacting with the source domain, rather than the target domain. Formally, we prove that applying our method in the source domain is guaranteed to obtain a near-optimal policy for the target domain, provided that the source and target domains satisfy a lightweight assumption. Our approach is applicable to domains with continuous states and actions and does not require learning an explicit mod

el of the dynamics. On discrete and continuous control tasks, we illustrate the mechanics of our approach and demonstrate its scalability to high-dimensional~tasks.

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Voting-based Approaches For Differentially Private Federated Learning Yuqing Zhu, Xiang Yu, Yi-Hsuan Tsai, Francesco Pittaluga, Masoud Faraki, Manmohan Chandraker, Yu-Xiang Wang

While federated learning (FL) enables distributed agents to collaboratively trai n a centralized model without sharing data with each other, it fails to protect users against inference attacks that mine private information from the centraliz ed model. Thus, facilitating federated learning methods with differential privac y (DPFL) becomes attractive. Existing algorithms based on privately aggregating clipped gradients require many rounds of communication, which may not converge, and cannot scale up to large-capacity models due to explicit dimension-dependenc e in its added noise. In this paper, we adopt the knowledge transfer model of pr ivate learning pioneered by Papernot et al. (2017; 2018) and extend their algori thm PATE, as well as the recent alternative PrivateKNN (Zhu et al., 2020) to the federated learning setting. The key difference is that our method privately agg regates the labels from the agents in a voting scheme, instead of aggregating th e gradients, hence avoiding the dimension dependence and achieving signi acant sa vings in communication cost. Theoretically, we show that when the margins of the voting scores are large, the agents enjoy exponentially higher accuracy and str onger (data-dependent) differential privacy guarantees on both agent-level and i nstance-level. Extensive experiments show that our approach signi acantly improve s the privacy-utility trade-off over the current state-of-the-art in DPFL.

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Learning to Reach Goals via Iterated Supervised Learning
Dibya Ghosh Abhishek Gupta Ashwin Reddy Justin Fu Coline Manon Devin Reni

Dibya Ghosh, Abhishek Gupta, Ashwin Reddy, Justin Fu, Coline Manon Devin, Benjamin Ey senbach, Sergey Levine

Current reinforcement learning (RL) algorithms can be brittle and difficult to u se, especially when learning goal-reaching behaviors from sparse rewards. Althou gh supervised imitation learning provides a simple and stable alternative, it re quires access to demonstrations from a human supervisor. In this paper, we study RL algorithms that use imitation learning to acquire goal reaching policies fro m scratch, without the need for expert demonstrations or a value function. In li eu of demonstrations, we leverage the property that any trajectory is a successf ul demonstration for reaching the final state in that same trajectory. We propos e a simple algorithm in which an agent continually relabels and imitates the tra jectories it generates to progressively learn goal-reaching behaviors from scrat ch. Each iteration, the agent collects new trajectories using the latest policy, and maximizes the likelihood of the actions along these trajectories under the goal that was actually reached, so as to improve the policy. We formally show th at this iterated supervised learning procedure optimizes a bound on the RL objec tive, derive performance bounds of the learned policy, and empirically demonstra te improved goal-reaching performance and robustness over current RL algorithms in several benchmark tasks.

Model-Based Visual Planning with Self-Supervised Functional Distances Stephen Tian, Suraj Nair, Frederik Ebert, Sudeep Dasari, Benjamin Eysenbach, Chelsea Finn, Sergey Levine

A generalist robot must be able to complete a variety of tasks in its environmen t. One appealing way to specify each task is in terms of a goal observation. How ever, learning goal-reaching policies with reinforcement learning remains a chal lenging problem, particularly when hand-engineered reward functions are not available. Learned dynamics models are a promising approach for learning about the environment without rewards or task-directed data, but planning to reach goals with such a model requires a notion of functional similarity between observations and goal states. We present a self-supervised method for model-based visual goal reaching, which uses both a visual dynamics model as well as a dynamical distance function learned using model-free reinforcement learning. Our approach learns

entirely using offline, unlabeled data, making it practical to scale to large a nd diverse datasets. In our experiments, we find that our method can successfull y learn models that perform a variety of tasks at test-time, moving objects amid distractors with a simulated robotic arm and even learning to open and close a drawer using a real-world robot. In comparisons, we find that this approach substantially outperforms both model-free and model-based prior methods.

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Iterative Amortized Policy Optimization

Joseph Marino, Alexandre Piché, Alessandro Davide Ialongo, Yisong Yue

Policy networks are a central feature of deep reinforcement learning (RL) algori thms for continuous control, enabling the estimation and sampling of high-value actions. From the variational inference perspective on RL, policy networks, when employed with entropy or KL regularization, are a form of amortized optimizatio n, optimizing network parameters rather than the policy distributions directly. However, this direct amortized mapping can empirically yield suboptimal policy e stimates and limited exploration. Given this perspective, we consider the more f lexible class of iterative amortized optimizers. We demonstrate that the resulting technique, iterative amortized policy optimization, yields performance improvements over direct amortization methods on benchmark continuous control tasks.

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Mathematical Reasoning via Self-supervised Skip-tree Training Markus Norman Rabe, Dennis Lee, Kshitij Bansal, Christian Szegedy

We demonstrate that self-supervised language modeling applied to mathematical fo rmulas enables logical reasoning. To measure the logical reasoning abilities of language models, we formulate several evaluation (downstream) tasks, such as inf erring types, suggesting missing assumptions and completing equalities. For training language models for formal mathematics, we propose a novel skip-tree task. We find that models trained on the skip-tree task show surprisingly strong mathematical reasoning abilities, and outperform models trained on standard skip-sequence tasks. We also analyze the models' ability to formulate new conjectures by measuring how often the predictions are provable and useful in other proofs.

Fast Training of Contrastive Learning with Intermediate Contrastive Loss Chengyue Gong, Xingchao Liu, qiang liu

Recently, representations learned by self-supervised approaches have significant ly reduced the gap with their supervised counterparts in many different computer vision tasks. However, these self-supervised methods are computationally challe nging. In this work, we focus on accelerating contrastive learning algorithms wi th little or even no loss of accuracy. Our insight is that, contrastive learning concentrates on optimizing similarity (dissimilarity) between pairs of inputs, and the similarity on the intermediate layers is a good surrogate of the final s imilarity. We exploit our observation by introducing additional intermediate con trastive losses. In this way, we can truncate the back-propagation and updates o nly a part of the parameters for each gradient descent update. Additionally, we do selection based on the intermediate losses to filter easy regions for each im age, which further reduces the computational cost. We apply our method to recent ly-proposed MOCO, SimCLR, SwAV and notice that we can reduce the computational c ost with little loss on the performance of ImageNet linear classification and ot her downstream tasks.

DeepAveragers: Offline Reinforcement Learning By Solving Derived Non-Parametric MDPs

Aayam Kumar Shrestha, Stefan Lee, Prasad Tadepalli, Alan Fern

We study an approach to offline reinforcement learning (RL) based on optimally solving finitely-represented MDPs derived from a static dataset of experience. This approach can be applied on top of any learned representation and has the potential to easily support multiple solution objectives as well as zero-shot adjustment to changing environments and goals. Our main contribution is to introduce the Deep Averagers with Costs MDP (DAC-MDP) and to investigate its solutions for offline RL. DAC-MDPs are a non-parametric model that can leverage deeparts of the study of the st

p representations and account for limited data by introducing costs for exploiting under-represented parts of the model. In theory, we show conditions that all ow for lower-bounding the performance of DAC-MDP solutions. We also investigate the empirical behavior in a number of environments, including those with image-b ased observations. Overall, the experiments demonstrate that the framework can work in practice and scale to large complex offline RL problems.

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Multi-resolution modeling of a discrete stochastic process identifies causes of cancer

Adam Uri Yaari, Maxwell Sherman, Oliver Clarke Priebe, Po-Ru Loh, Boris Katz, Andrei Barbu, Bonnie Berger

Detection of cancer-causing mutations within the vast and mostly unexplored huma n genome is a major challenge. Doing so requires modeling the background mutatio n rate, a highly non-stationary stochastic process, across regions of interest v arying in size from one to millions of positions. Here, we present the split-Poi sson-Gamma (SPG) distribution, an extension of the classical Poisson-Gamma formu lation, to model a discrete stochastic process at multiple resolutions. We demon strate that the probability model has a closed-form posterior, enabling efficien t and accurate linear-time prediction over any length scale after the parameters of the model have been inferred a single time. We apply our framework to model mutation rates in tumors and show that model parameters can be accurately inferred from high-dimensional epigenetic data using a convolutional neural network, G aussian process, and maximum-likelihood estimation. Our method is both more accurate and more efficient than existing models over a large range of length scales. We demonstrate the usefulness of multi-resolution modeling by detecting genomic elements that drive tumor emergence and are of vastly differing sizes.

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A Siamese Neural Network for Behavioral Biometrics Authentication Jesús Solano, Esteban Rivera, Alejandra Castelblanco, Lizzy Tengana, Christian Lopez, Martin Ochoa

The raise in popularity of personalized web and mobile applications brings about a need of robust authentication systems. Although password authentication is the most popular authentication mechanism, it has also several drawbacks. Behavior al Biometrics Authentication has emerged as a complementary risk-based authentic ation approach which aims at profiling users based on their behavior while inter acting with computers/smartphones. In this work we propose a novel Siamese Neura 1 Network to perform a few-shot verification of user's behavior. We develop our approach to identify behavior from either human-computer or human-smartphone interaction. For computer interaction our approach learns from mouse and keyboard dynamics, while for smartphone interaction it learns from holding patterns and to uch patterns. We show that our approach has a few-shot classification accuracy of up to 99.8% and 90.8% for mobile and web interactions, respectively. We also t est our approach on a database that contains over 100K different web interactions collected in the wild.

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Assisting the Adversary to Improve GAN Training Andreas Munk, William Harvey, Frank Wood

Some of the most popular methods for improving the stability and performance of GANs involve constraining or regularizing the discriminator. In this paper we consider a largely overlooked regularization technique which we refer to as the Adversary's Assistant (AdvAs). We motivate this using a different perspective to that of prior work. Specifically, we consider a common mismatch between theoretical analysis and practice: analysis often assumes that the discriminator reaches its optimum on each iteration. In practice, this is essentially never true, often leading to poor gradient estimates for the generator. To address this, AdvAs is a theoretically motivated penalty imposed on the generator based on the norm of the gradients used to train the discriminator. This encourages the generator to move towards points where the discriminator is optimal. We demonstrate the effect of applying AdvAs to several GAN objectives, datasets and network architectures. The results indicate a reduction in the mismatch between theory and practic

e and that AdvAs can lead to improvement of GAN training, as measured by FID scores.

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Estimating Example Difficulty using Variance of Gradients Chirag Agarwal, Sara Hooker

In machine learning, a question of great interest is understanding what examples are challenging for a model to classify. Identifying atypical examples helps in form safe deployment of models, isolates examples that require further human ins pection, and provides interpretability into model behavior. In this work, we pro pose the Variance of Gradients (VOG) as a valuable and efficient proxy metric for detecting outliers in the data distribution. We provide quantitative and qualitative support that VOG is a meaningful way to rank data by difficulty and to surface a tractable subset of the most challenging examples for human-in-the-loop auditing. Data points with high VOG scores are more difficult for the model to learn and over-index on examples that require memorization.

Parametric Copula-GP model for analyzing multidimensional neuronal and behaviora l relationships

Nina Kudryashova, Theoklitos Amvrosiadis, Nathalie Dupuy, Nathalie Rochefort, Arno Onken

One of the main challenges in current systems neuroscience is the analysis of hi gh-dimensional neuronal and behavioral data that are characterized by different statistics and timescales of the recorded variables. We propose a parametric cop ula model which separates the statistics of the individual variables from their dependence structure, and escapes the curse of dimensionality by using vine copu la constructions. We use a Bayesian framework with Gaussian Process (GP) priors over copula parameters, conditioned on a continuous task-related variable. We im prove the flexibility of this method by 1) using non-parametric conditional (rat her than unconditional) marginals; 2) linearly mixing copula elements with quali tatively different tail dependencies. We validate the model on synthetic data an d compare its performance in estimating mutual information against the commonly used non-parametric algorithms. Our model provides accurate information estimate s when the dependencies in the data match the parametric copulas used in our fra mework. Moreover, even when the exact density estimation with a parametric model is not possible, our Copula-GP model is still able to provide reasonable inform ation estimates, close to the ground truth and comparable to those obtained with a neural network estimator. Finally, we apply our framework to real neuronal an d behavioral recordings obtained in awake mice. We demonstrate the ability of ou r framework to 1) produce accurate and interpretable bivariate models for the an alysis of inter-neuronal noise correlations or behavioral modulations; 2) expand to more than 100 dimensions and measure information content in the whole-popula tion statistics. These results demonstrate that the Copula-GP framework is parti cularly useful for the analysis of complex multidimensional relationships betwee n neuronal, sensory and behavioral data.

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Channel-Directed Gradients for Optimization of Convolutional Neural Networks Dong Lao, Peihao Zhu, Peter Wonka, Ganesh Sundaramoorthi

We introduce optimization methods for convolutional neural networks that can be used to improve existing gradient-based optimization in terms of generalization error. The method requires only simple processing of existing stochastic gradien ts, can be used in conjunction with any optimizer, and has only a linear overhead (in the number of parameters) compared to computation of the stochastic gradient. The method works by computing the gradient of the loss function with respect to output-channel directed re-weighted L2 or Sobolev metrics, which has the effect of smoothing components of the gradient across a certain direction of the parameter tensor. We show that defining the gradients along the output channel direction leads to a performance boost, while other directions can be detrimental. We present the continuum theory of such gradients, its discretization, and application to deep networks. Experiments on benchmark datasets, several networks, an

d baseline optimizers show that optimizers can be improved in generalization err or by simply computing the stochastic gradient with respect to output-channel directed metrics.

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On the Theory of Implicit Deep Learning: Global Convergence with Implicit Layers Kenji Kawaguchi

A deep equilibrium model uses implicit layers, which are implicitly defined thro ugh an equilibrium point of an infinite sequence of computation. It avoids any e xplicit computation of the infinite sequence by finding an equilibrium point dir ectly via root-finding and by computing gradients via implicit differentiation. In this paper, we analyze the gradient dynamics of deep equilibrium models with nonlinearity only on weight matrices and non-convex objective functions of weigh ts for regression and classification. Despite non-convexity, convergence to glob al optimum at a linear rate is guaranteed without any assumption on the width of the models, allowing the width to be smaller than the output dimension and the number of data points. Moreover, we prove a relation between the gradient dynami cs of the deep implicit layer and the dynamics of trust region Newton method of a shallow explicit layer. This mathematically proven relation along with our num erical observation suggests the importance of understanding implicit bias of imp licit layers and an open problem on the topic. Our proofs deal with implicit lay ers, weight tying and nonlinearity on weights, and differ from those in the rela ted literature.

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Uncertainty Weighted Offline Reinforcement Learning

Yue Wu, Shuangfei Zhai, Nitish Srivastava, Joshua M. Susskind, Jian Zhang, Ruslan Salakhutdinov, Hanlin Goh

Offline Reinforcement Learning promises to learn effective policies from previou sly-collected, static datasets without the need for exploration. However, existing Q-learning and actor-critic based off-policy RL algorithms fail when bootstra pping from out-of-distribution (OOD) actions or states. We hypothesize that a key missing ingredient from the existing methods is a proper treatment of uncertainty in the offline setting. We propose Uncertainty Weighted Actor-Critic (UWAC), an algorithm that models the epistemic uncertainty to detect OOD state-action pairs and down-weights their contribution in the training objectives accordingly. Implementation-wise, we adopt a practical and effective dropout-based uncertainty estimation method that introduces very little overhead over existing RL algorithms. Empirically, we observe that UWAC substantially improves model stability during training. In addition, UWAC out-performs existing offline RL methods on a variety of competitive tasks, and achieves significant performance gains over the state-of-the-art baseline on datasets with sparse demonstrations collected from human experts.

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On the Critical Role of Conventions in Adaptive Human-AI Collaboration Andy Shih, Arjun Sawhney, Jovana Kondic, Stefano Ermon, Dorsa Sadigh

Humans can quickly adapt to new partners in collaborative tasks (e.g. playing ba sketball), because they understand which fundamental skills of the task (e.g. ho w to dribble, how to shoot) carry over across new partners. Humans can also quickly adapt to similar tasks with the same partners by carrying over conventions that they have developed (e.g. raising hand signals pass the ball), without learning to coordinate from scratch. To collaborate seamlessly with humans, AI agents should adapt quickly to new partners and new tasks as well. However, current approaches have not attempted to distinguish between the complexities intrinsic to a task and the conventions used by a partner, and more generally there has been little focus on leveraging conventions for adapting to new settings. In this work, we propose a learning framework that teases apart rule-dependent representation from convention-dependent representation in a principled way. We show that, under some assumptions, our rule-dependent representation is a sufficient statistic of the distribution over best-response strategies across partners. Using this

s separation of representations, our agents are able to adapt quickly to new par tners, and to coordinate with old partners on new tasks in a zero-shot manner. We experimentally validate our approach on three collaborative tasks varying in complexity: a contextual multi-armed bandit, a block placing task, and the card game Hanabi.

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On the Predictability of Pruning Across Scales

Jonathan S Rosenfeld, Jonathan Frankle, Michael Carbin, Nir Shavit

We show that the error of iteratively-pruned networks empirically follows a scal ing law with interpretable coefficients that depend on the architecture and task. We functionally approximate the error of the pruned networks, showing that it is predictable in terms of an invariant tying width, depth, and pruning level, s uch that networks of vastly different sparsities are freely interchangeable. We demonstrate the accuracy of this functional approximation over scales spanning o rders of magnitude in depth, width, dataset size, and sparsity. We show that the scaling law functional form holds (generalizes) for large scale data (CIFAR-10, ImageNet), architectures (ResNets, VGGs) and iterative pruning algorithms (IMP, SynFlow). As neural networks become ever larger and more expensive to train, our findings suggest a framework for reasoning conceptually and analytically about pruning.

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A Lazy Approach to Long-Horizon Gradient-Based Meta-Learning Muhammad Abdullah Jamal, Liqiang Wang, Boqing Gong

Gradient-based meta-learning relates task-specific models to a meta-model by gradients. By this design, an algorithm first optimizes the task-specific models by an inner loop and then backpropagates meta-gradients through the loop to update the meta-model. The number of inner-loop optimization steps has to be small (e.g., one step) to avoid high-order derivatives, big memory footprints, and the risk of vanishing or exploding meta-gradients. We propose an intuitive teacher-student scheme to enable the gradient-based meta-learning algorithms to explore long horizons by the inner loop. The key idea is to employ a student network to explore the search space of task-specific models adequately (e.g., by more than tensteps), and a teacher then takes a ``leap'' toward the regions probed by the student. The teacher not only arrives at a high-quality model but also defines a lightweight computation graph for meta-gradients. Our approach is generic, as we verify its effectiveness with four meta-learning algorithms over three tasks: few-shot learning, long-tailed classification, and meta-attack.

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WaveGrad: Estimating Gradients for Waveform Generation

Nanxin Chen, Yu Zhang, Heiga Zen, Ron J Weiss, Mohammad Norouzi, William Chan

This paper introduces WaveGrad, a conditional model for waveform generation which estimates gradients of the data density. The model is built on prior work on socre matching and diffusion probabilistic models. It starts from a Gaussian white noise signal and iteratively refines the signal via a gradient-based sampler conditioned on the mel-spectrogram.

WaveGrad offers a natural way to trade inference speed for sample quality by adjusting the number of refinement steps, and bridges the gap between non-autoregre ssive and autoregressive models in terms of audio quality.

We find that it can generate high fidelity audio samples using as few as six ite rations.

Experiments reveal WaveGrad to generate high fidelity audio, outperforming adver sarial non-autoregressive baselines and matching a strong likelihood-based autor egressive baseline using fewer sequential operations. Audio samples are available at https://wavegrad.github.io/.

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BUSTLE: Bottom-Up Program Synthesis Through Learning-Guided Exploration Augustus Odena, Kensen Shi, David Bieber, Rishabh Singh, Charles Sutton, Hanjun Dai Program synthesis is challenging largely because of the difficulty of search in a large space of programs. Human programmers routinely tackle the task of writing complex programs by writing sub-programs and then analyzing their intermediate

results to compose them in appropriate ways. Motivated by this intuition, we present a new synthesis approach that leverages learning to guide a bottom-up sear chover programs. In particular, we train a model to prioritize compositions of intermediate values during search conditioned on a given set of input-output examples. This is a powerful combination because of several emergent properties. First, in bottom-up search, intermediate programs can be executed, providing semantic information to the neural network. Second, given the concrete values from those executions, we can exploit rich features based on recent work on property signatures. Finally, bottom-up search allows the system substantial flexibility in what order to generate the solution, allowing the synthesizer to build up a program from multiple smaller sub-programs. Overall, our empirical evaluation finds that the combination of learning and bottom-up search is remarkably effective, even with simple supervised learning approaches. We demonstrate the effectiveness of our technique on two datasets, one from the SyGuS competition and one of our own creation.

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Increasing-Margin Adversarial (IMA) training to Improve Adversarial Robustness of Neural Networks

Linhai Ma, Liang Liang

Deep neural networks (DNNs), including convolutional neural networks, are known to be vulnerable to adversarial attacks, which may lead to disastrous consequenc es in life-critical applications. Adversarial samples are usually generated by a ttack algorithms and can also be induced by white noises, and therefore the thre ats are real. In this study, we propose a novel training method, named Increasin g Margin Adversarial (IMA) Training, to improve DNN robustness against adversari al noises. During training, the IMA method increases the margins of training sam ples by moving the decision boundaries of the DNN model far away from the training samples to improve robustness. The IMA method is evaluated on six publicly available datasets (including a COVID-19 CT image dataset) under strong 100-PGD white-box adversarial attacks, and the results show that the proposed method significantly improved classification accuracy on noisy data while keeping a relative ly high accuracy on clean data. We hope our approach may facilitate the development of robust DNN applications, especially for COVID-19 diagnosis using CT image

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A Critique of Self-Expressive Deep Subspace Clustering Benjamin David Haeffele, Chong You, Rene Vidal

Subspace clustering is an unsupervised clustering technique designed to cluster data that is supported on a union of linear subspaces, with each subspace defini ng a cluster with dimension lower than the ambient space. Many existing formulat ions for this problem are based on exploiting the self-expressive property of li near subspaces, where any point within a subspace can be represented as linear c ombination of other points within the subspace. To extend this approach to data supported on a union of non-linear manifolds, numerous studies have proposed lea rning an embedding of the original data using a neural network which is regular ized by a self-expressive loss function on the data in the embedded space to enc ourage a union of linear subspaces prior on the data in the embedded space. Here we show that there are a number of potential flaws with this approach which hav e not been adequately addressed in prior work. In particular, we show the model formulation is often ill-posed in that it can lead to a degenerate embedding of the data, which need not correspond to a union of subspaces at all and is poorly suited for clustering. We validate our theoretical results experimentally and a lso repeat prior experiments reported in the literature, where we conclude that a significant portion of the previously claimed performance benefits can be attr ibuted to an ad-hoc post processing step rather than the deep subspace clusterin

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An Algorithm for Out-Of-Distribution Attack to Neural Network Encoder Liang Liang, Linhai Ma, Linchen Qian, Jiasong Chen Deep neural networks (DNNs), especially convolutional neural networks, have achi eved superior performance on image classification tasks. However, such performan ce is only guaranteed if the input to a trained model is similar to the training samples, i.e., the input follows the probability distribution of the training s et. Out-Of-Distribution (OOD) samples do not follow the distribution of training set, and therefore the predicted class labels on OOD samples become meaningless. Classiscation-based methods have been proposed for OOD detection; however, in this study we show that this type of method has no theoretical guarantee and is practically breakable by our OOD Attack algorithm because of dimensionality reduction in the DNN models. We also show that Glow likelihood-based OOD detection is breakable as well.

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Explaining by Imitating: Understanding Decisions by Interpretable Policy Learnin

Alihan Hüyük,Daniel Jarrett,Cem Tekin,Mihaela van der Schaar

Understanding human behavior from observed data is critical for transparency and accountability in decision-making. Consider real-world settings such as healthc are, in which modeling a decision-maker's policy is challenging-with no access to underlying states, no knowledge of environment dynamics, and no allowance for live experimentation. We desire learning a data-driven representation of decision-making behavior that (1) inheres transparency by design, (2) accommodates par tial observability, and (3) operates completely offline. To satisfy these key criteria, we propose a novel model-based Bayesian method for interpretable policy learning ("Interpole") that jointly estimates an agent's (possibly biased) belief-update process together with their (possibly suboptimal) belief-action mapping. Through experiments on both simulated and real-world data for the problem of A lzheimer's disease diagnosis, we illustrate the potential of our approach as an investigative device for auditing, quantifying, and understanding human decision-making behavior.

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Predicting Video with VQVAE

Jacob C Walker, Ali Razavi, Aaron van den Oord

In recent years, the task of video prediction---forecasting future video given p ast video frames---has attracted attention in the research community. In this pa per we propose a novel approach to this problem with Vector Quantized Variationa l AutoEncoders (VQ-VAE). With VQ-VAE we compress high-resolution videos into a h ierarchical set of multi-scale discrete latent variables. Compared to pixels, th is compressed latent space has dramatically reduced dimensionality, allowing us to apply scalable autoregressive generative models to predict video. In contrast to previous work that has largely emphasized highly constrained datasets, we fo cus

on very diverse, large-scale datasets such as Kinetics-600. We predict video at a higher resolution, 256\$\times\$256, than any other previous method to our knowl edge. We further validate our approach against prior work via a crowdsourced hum an evaluation.

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For self-supervised learning, Rationality implies generalization, provably Yamini Bansal, Gal Kaplun, Boaz Barak

We prove a new upper bound on the generalization gap of classifiers that are obt ained by first using self-supervision to learn a representation \$r\$ of the train ing~data, and then fitting a simple (e.g., linear) classifier \$g\$ to the labels. Specifically, we show that (under the assumptions described below) the generalization gap of such classifiers tends to zero if  $\frac{C}{g} \le 1$  n\$, where \$\mathref{C}(g)\$ is an appropriately-defined measure of the simple classifier \$g\$'s complexity, and \$n\$ is the number of training samples. We stress that our bound is independent of the complexity of the representation \$r\$.

We do not make any structural or conditional-independence assumptions on the re presentation-learning task, which can use the same training dataset that is late r used for classification. Rather, we assume that the training procedure satisfi es certain natural noise-robustness (adding small amount of label noise causes s mall degradation in performance) and rationality (getting the wrong label is no

t better than getting no label at all) conditions that widely hold across many s tandard architectures.

We also conduct an extensive empirical study of the generalization gap and the quantities used in our assumptions for a variety of self-supervision based algorithms, including SimCLR, AMDIM and BigBiGAN, on the CIFAR-10 and ImageNet datasets. We show that, unlike standard supervised classifiers, these algorithms display small generalization gap, and the bounds we prove on this gap are often non vacuous.

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Shape-Tailored Deep Neural Networks Using PDEs for Segmentation Naeemullah Khan, Angira Sharma, Philip Torr, Ganesh Sundaramoorthi

We present Shape-Tailored Deep Neural Networks (ST-DNN). ST-DNN extend convolutional networks, which aggregate data from fixed shape (square) neighbor-hoods to compute descriptors, to be defined on arbitrarily shaped regions. This is useful for segmentation applications, where it is desired to have descriptors that aggregate data only within regions of segmentation to avoid mixing data from different regions, otherwise, the descriptors are difficult to group to a unique region. We formulate these descriptors through partial differential equations (PDE) that naturally generalize convolution to arbitrary regions, and derive the metho dology to jointly estimate the segmentation and ST-DNN descriptor. We also show that ST-DNN inherit covariance to translations and rotations from the PDE, a nat ural property of a segmentation method, which existing CNN based methods lack. ST-DNN are 3-4 order of magnitude smaller than typical CNN. We empirically show that they exceed segmentation performance compared to state-of-the-art CNN-based descriptors using 2-3 orders smaller training sets on the texture segmentation problem.

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Fine-grained Synthesis of Unrestricted Adversarial Examples
Omid Poursaeed, Tianxing Jiang, Yordanos Abraham Goshu, Harry Yang, Serge Belongie, S

Omid Poursaeed, Tianxing Jiang, Yordanos Abraham Goshu, Harry Yang, Serge Belongie, Ser-Nam Lim

We propose a novel approach for generating unrestricted adversarial examples by manipulating fine-grained aspects of image generation. Unlike existing unrestric ted attacks that typically hand-craft geometric transformations, we learn stylis tic and stochastic modifications leveraging state-of-the-art generative models. This allows us to manipulate an image in a controlled, fine-grained manner witho ut being bounded by a norm threshold. Our approach can be used for targeted and non-targeted unrestricted attacks on classification, semantic segmentation and o bject detection models. Our attacks can bypass certified defenses, yet our adver sarial images look indistinguishable from natural images as verified by human ev aluation. Moreover, we demonstrate that adversarial training with our examples i mproves performance of the model on clean images without requiring any modificat ions to the architecture. We perform experiments on LSUN, CelebA-HQ and COCO-Stu ff as high resolution datasets to validate efficacy of our proposed approach.

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Directed Acyclic Graph Neural Networks

Veronika Thost, Jie Chen

Graph-structured data ubiquitously appears in science and engineering. Graph neu ral networks (GNNs) are designed to exploit the relational inductive bias exhibited in graphs; they have been shown to outperform other forms of neural networks in scenarios where structure information supplements node features. The most common GNN architecture aggregates information from neighborhoods based on message passing. Its generality has made it broadly applicable. In this paper, we focus on a special, yet widely used, type of graphs---DAGs---and inject a stronger in ductive bias---partial ordering---into the neural network design. We propose the directed acyclic graph neural network, DAGNN, an architecture that processes in formation according to the flow defined by the partial order. DAGNN can be considered a framework that entails earlier works as special cases (e.g., models for trees and models updating node representations recurrently), but we identify several crucial components that prior architectures lack. We perform comprehensive experiments, including ablation studies, on representative DAG datasets (i.e., s

ource code, neural architectures, and probabilistic graphical models) and demons trate the superiority of DAGNN over simpler DAG architectures as well as general graph architectures.

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The Traveling Observer Model: Multi-task Learning Through Spatial Variable Embed dings

Elliot Meyerson, Risto Miikkulainen

This paper frames a general prediction system as an observer traveling around a continuous space, measuring values at some locations, and predicting them at oth ers. The observer is completely agnostic about any particular task being solved; it cares only about measurement locations and their values. This perspective le ads to a machine learning framework in which seemingly unrelated tasks can be so lved by a single model, by embedding their input and output variables into a sha red space. An implementation of the framework is developed in which these variab le embeddings are learned jointly with internal model parameters. In experiments, the approach is shown to (1) recover intuitive locations of variables in space and time, (2) exploit regularities across related datasets with completely disjoint input and output spaces, and (3) exploit regularities across seemingly unrelated tasks, outperforming task-specific single-task models and multi-task learn ing alternatives. The results suggest that even seemingly unrelated tasks may or iginate from similar underlying processes, a fact that the traveling observer model can use to make better predictions.

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Learning Hyperbolic Representations for Unsupervised 3D Segmentation Joy Hsu, Jeffrey Gu, Gong Her Wu, Wah Chiu, Serena Yeung

There exists a need for unsupervised 3D segmentation on complex volumetric data, particularly when annotation ability is limited or discovery of new categories is desired. Using the observation that much of 3D volumetric data is innately hi erarchical, we propose learning effective representations of 3D patches for unsu pervised segmentation through a variational autoencoder (VAE) with a hyperbolic latent space and a proposed gyroplane convolutional layer, which better models the underlying hierarchical structure within a 3D image. We also introduce a hier archical triplet loss and multi-scale patch sampling scheme to embed relationships across varying levels of granularity. We demonstrate the effectiveness of our hyperbolic representations for unsupervised 3D segmentation on a hierarchical toy dataset, BraTS whole tumor dataset, and cryogenic electron microscopy data.

Enhancing Visual Representations for Efficient Object Recognition during Online Distillation

Shashanka Venkataramanan, Bruce W McIntosh, Abhijit Mahalanobis

We propose ENVISE, an online distillation framework that ENhances VISual repres entations for Efficient object recognition. We are motivated by the observation that in many real-world scenarios, the probability of occurrence of all classes is not the same and only a subset of classes occur frequently. Exploiting this f act, we aim to reduce the computations of our framework by employing a binary st udent network (BSN) to learn the frequently occurring classes using the pseudo-1 abels generated by the teacher network (TN) on an unlabeled image stream. To mai ntain overall accuracy, the BSN must also accurately determine when a rare (or u nknown) class is present in the image stream so that the TN can be used in such cases. To achieve this, we propose an attention triplet loss which ensures that the BSN emphasizes the same semantically meaningful regions of the image as the TN. When the prior class probabilities in the image stream vary, we demonstrate that the BSN adapts to the TN faster than the real-valued student network. We al so introduce Gain in Efficiency (GiE), a new metric which estimates the relative reduction in FLOPS based on the number of times the BSN and TN are used to proc ess the image stream. We benchmark CIFAR-100 and tiny-imagenet datasets by creat ing meaningful inlier (frequent) and outlier (rare) class pairs that mimic realworld scenarios. We show that ENVISE outperforms state-of-the-art (SOTA) outlier detection methods in terms of GiE, and also achieves greater separation between inlier and outlier classes in the feature space.

How Important is the Train-Validation Split in Meta-Learning?

Yu Bai, Minshuo Chen, Pan Zhou, Tuo Zhao, Jason D. Lee, Sham M. Kakade, Huan Wang, Caiming Xiong

Meta-learning aims to perform fast adaptation on a new task through learning a "prior" from multiple existing tasks. A common practice in meta-learning is to pe rform a train-validation split where the prior adapts to the task on one split of the data, and the resulting predictor is evaluated on another split. Despite its prevalence, the importance of the train-validation split is not well understood either in theory or in practice, particularly in comparison to the more direct non-splitting method, which uses all the per-task data for both training and evaluation.

We provide a detailed theoretical study on the whether and when the train-valida tion split is helpful on the linear centroid meta-learning problem, in the asymp totic setting where the number of tasks goes to infinity. We show that the split ting method converges to the optimal prior as expected, whereas the non-splittin g method does not in general without structural assumptions on the data. In cont rast, if the data are generated from linear models (the realizable regime), we s how that both the splitting and non-splitting methods converge to the optimal prior. Further, perhaps surprisingly, our main result shows that the non-splitting method achieves a strictly better asymptotic excess risk under this data distribution, even when the regularization parameter and split ratio are optimally tuned for both methods. Our results highlight that data splitting may not always be preferable, especially when the data is realizable by the model. We validate our theories by experimentally showing that the non-splitting method can indeed out perform the splitting method, on both simulations and real meta-learning tasks.

My Body is a Cage: the Role of Morphology in Graph-Based Incompatible Control Vitaly Kurin, Maximilian Igl, Tim Rocktäschel, Wendelin Boehmer, Shimon Whiteson Multitask Reinforcement Learning is a promising way to obtain models with better performance, generalisation, data efficiency, and robustness. Most existing work is limited to compatible settings, where the state and action space dimensions are the same across tasks. Graph Neural Networks (GNN) are one way to address incompatible environments, because they can process graphs of arbitrary size. The y also allow practitioners to inject biases encoded in the structure of the input graph. Existing work in graph-based continuous control uses the physical morph ology of the agent to construct the input graph, i.e., encoding limb features as node labels and using edges to connect the nodes if their corresponded limbs are physically connected.

In this work, we present a series of ablations on existing methods that show that tomorphological information encoded in the graph does not improve their performance. Motivated by the hypothesis that any benefits GNNs extract from the graph structure are outweighed by difficulties they create for message passing, we also propose Amorpheus, a transformer-based approach. Further results show that, while Amorpheus ignores the morphological information that GNNs encode, it nonetheless substantially outperforms GNN-based methods.

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Video Prediction with Variational Temporal Hierarchies Vaibhav Saxena, Jimmy Ba, Danijar Hafner

Deep learning has shown promise for accurately predicting high-dimensional video sequences. Existing video prediction models succeeded in generating sharp but o ften short video sequences. Toward improving long-term video prediction, we study hierarchical latent variable models with levels that process at different time scales. To gain insights into the representations of such models, we study the information stored at each level of the hierarchy via the KL divergence, predict ive entropy, datasets of varying speed, and generative distributions. Our analys is confirms that faster changing details are generally captured by lower levels, while slower changing facts are remembered by higher levels. On synthetic datas ets where common methods fail after 25 frames, we show that temporally abstract

latent variable models can make accurate predictions for up to 200 frames.

Incremental few-shot learning via vector quantization in deep embedded space Kuilin Chen, Chi-Guhn Lee

The capability of incrementally learning new tasks without forgetting old ones i s a challenging problem due to catastrophic forgetting. This challenge becomes g reater when novel tasks contain very few labelled training samples. Currently,  $\mathfrak m$ ost methods are dedicated to class-incremental learning and rely on sufficient t raining data to learn additional weights for newly added classes. Those methods cannot be easily extended to incremental regression tasks and could suffer from severe overfitting when learning few-shot novel tasks. In this study, we propose a nonparametric method in deep embedded space to tackle incremental few-shot le arning problems. The knowledge about the learned tasks are compressed into a sma ll number of quantized reference vectors. The proposed method learns new tasks s equentially by adding more reference vectors to the model using few-shot samples in each novel task. For classification problems, we employ the nearest neighbor scheme to make classification on sparsely available data and incorporate intraclass variation, less forgetting regularization and calibration of reference vec tors to mitigate catastrophic forgetting. In addition, the proposed learning vec tor quantization (LVQ) in deep embedded space can be customized as a kernel smoo ther to handle incremental few-shot regression tasks. Experimental results demon strate that the proposed method outperforms other state-of-the-art methods in in cremental learning.

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Variational Auto-Encoder Architectures that Excel at Causal Inference Negar Hassanpour, Russell Greiner

This paper provides a generative approach for causal inference using data from o bservational studies. Inspired by the work of Kingma et al. (2014), we propose a sequence of three architectures (namely Series, Parallel, and Hybrid) that each incorporate their M1 and M2 models as building blocks. Each architecture is an improvement over the previous one in terms of estimating causal effect, culminat ing in the Hybrid model. The Hybrid model is designed to encourage decomposing the underlying factors of any observational dataset; this in turn, helps to accurately estimate all treatment outcomes. Our empirical results demonstrate the superiority of all three proposed architectures compared to both state-of-the-art discriminative as well as other generative approaches in the literature.

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Delay-Tolerant Local SGD for Efficient Distributed Training An Xu, Xiao Yan, Hongchang Gao, Heng Huang

The heavy communication for model synchronization is a major bottleneck for scal ing up the distributed deep neural network training to many workers. Moreover, model synchronization can suffer from long delays in scenarios such as federated learning and geo-distributed training. Thus, it is crucial that the distributed training methods are both \textit{delay-tolerant} AND \textit{communication-efficient}. However, existing works cannot simultaneously address the communication delay and bandwidth constraint. To address this important and challenging proble m, we propose a novel training framework OLCO\textsubscript{3} to achieve delay tolerance with a low communication budget by using stale information. OLCO\textsubscript{3} introduces novel staleness compensation and compression compensation to combat the influence of staleness and compression error. Theoretical analysis shows that OLCO\textsubscript{3} achieves the same sub-linear convergence rate as the vanilla synchronous stochastic gradient descent (SGD) method. Extensive experiments on deep learning tasks verify the effectiveness of OLCO\textsubscript{3} and its advantages over existing works.

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Revisiting Few-sample BERT Fine-tuning

Tianyi Zhang, Felix Wu, Arzoo Katiyar, Kilian Q Weinberger, Yoav Artzi

This paper is a study of fine-tuning of BERT contextual representations, with fo cus on commonly observed instabilities in few-sample scenarios. We identify seve ral factors that cause this instability: the common use of a non-standard optimi

zation method with biased gradient estimation; the limited applicability of sign ificant parts of the BERT network for down-stream tasks; and the prevalent pract ice of using a pre-determined, and small number of training iterations. We empir ically test the impact of these factors, and identify alternative practices that resolve the commonly observed instability of the process. In light of these observations, we re-visit recently proposed methods to improve few-sample fine-tuning with BERT and re-evaluate their effectiveness. Generally, we observe the impact of these methods diminishes significantly with our modified process.

WAFFLe: Weight Anonymized Factorization for Federated Learning Weituo Hao, Nikhil Mehta, Kevin J Liang, Pengyu Cheng, Mostafa El-Khamy, Lawrence Carin

In domains where data are sensitive or private, there is great value in methods that can learn in a distributed manner without the data ever leaving the local d evices. In light of this need, federated learning has emerged as a popular train ing paradigm. However, many federated learning approaches trade transmitting dat a for communicating updated weight parameters for each local device. Therefore, a successful breach that would have otherwise directly compromised the data inst ead grants whitebox access to the local model, which opens the door to a number of attacks, including exposing the very data federated learning seeks to protect . Additionally, in distributed scenarios, individual client devices commonly exh ibit high statistical heterogeneity. Many common federated approaches learn a si ngle global model; while this may do well on average, performance degrades when the i.i.d. assumption is violated, underfitting individuals further from the mea n and raising questions of fairness. To address these issues, we propose Weight Anonymized Factorization for Federated Learning (WAFFLe), an approach that combi nes the Indian Buffet Process with a shared dictionary of weight factors for neu ral networks. Experiments on MNIST, FashionMNIST, and CIFAR-10 demonstrate WAFFL e's significant improvement to local test performance and fairness while simulta neously providing an extra layer of security.

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Alpha Net: Adaptation with Composition in Classifier Space Nadine Chang, Jayanth Koushik, Michael Tarr, Martial Hebert, Yu-Xiong Wang

Deep learning classification models typically train poorly on classes with small numbers of examples. Motivated by the human ability to solve this task, models have been developed that transfer knowledge from classes with many examples to learn classes with few examples. Critically, the majority of these models transfer knowledge within model feature space. In this work, we demonstrate that transfering knowledge within classifier space is more effective and efficient. Specifically, by linearly combining strong nearest neighbor classifiers along with a weak classifier, we are able to compose a stronger classifier. Uniquely, our model can be implemented on top of any existing classification model that includes a classifier layer. We showcase the success of our approach in the task of long-tailed recognition, whereby the classes with few examples, otherwise known as the tail classes, suffer the most in performance and are the most challenging classes to learn. Using classifier-level knowledge transfer, we are able to drastical ly improve - by a margin as high as 10.5% - the state-of-the-art performance on the tail categories.

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MDP Playground: Controlling Orthogonal Dimensions of Hardness in Toy Environment s

Raghu Rajan, Jessica Lizeth Borja Diaz, Suresh Guttikonda, Fabio Ferreira, André Bie denkapp, Frank Hutter

We present MDP Playground, an efficient benchmark for Reinforcement Learning (RL) algorithms with various dimensions of hardness that can be controlled independ ently to challenge algorithms in different ways and to obtain varying degrees of hardness in generated environments. We consider and allow control over a wide variety of key hardness dimensions, including delayed rewards, rewardable sequences, sparsity of rewards, stochasticity, image representations, irrelevant features, time unit, and action max. While it is very time consuming to run RL algorit

hms on standard benchmarks, we define a parameterised collection of fast-to-run toy benchmarks in OpenAI Gym by varying these dimensions. Despite their toy natu re and low compute requirements, we show that these benchmarks present substanti al challenges to current RL algorithms. Furthermore, since we can generate envir onments with a desired value for each of the dimensions, in addition to having f ine-grained control over the environments' hardness, we also have the ground tru th available for evaluating algorithms. Finally, we evaluate the kinds of transf er for these dimensions that may be expected from our benchmarks to more complex benchmarks. We believe that MDP Playground is a valuable testbed for researcher s designing new, adaptive and intelligent RL algorithms and those wanting to unit test their algorithms.

Linear Convergent Decentralized Optimization with Compression

Xiaorui Liu, Yao Li, Rongrong Wang, Jiliang Tang, Ming Yan

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Learning from Demonstration with Weakly Supervised Disentanglement Yordan Hristov, Subramanian Ramamoorthy

Robotic manipulation tasks, such as wiping with a soft sponge, require control f rom multiple rich sensory modalities. Human-robot interaction, aimed at teach- i ng robots, is difficult in this setting as there is potential for mismatch betwe en human and machine comprehension of the rich data streams. We treat the task o f interpretable learning from demonstration as an optimisation problem over a pr obabilistic generative model. To account for the high-dimensionality of the data , a high-capacity neural network is chosen to represent the model. The latent va riables in this model are explicitly aligned with high-level notions and concept s that are manifested in a set of demonstrations. We show that such alignment is best achieved through the use of labels from the end user, in an appropriately restricted vocabulary, in contrast to the conventional approach of the designer picking a prior over the latent variables. Our approach is evaluated in the cont ext of two table-top robot manipulation tasks performed by a PR2 robot - that of dabbing liquids with a sponge (forcefully pressing a sponge and moving it along a surface) and pouring between different containers. The robot provides visual information, arm joint positions and arm joint efforts. We have made videos of t he tasks and data available - see supplementary materials at: https://sites.goog le.com/view/weak-label-lfd.

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Incorporating Symmetry into Deep Dynamics Models for Improved Generalization Rui Wang, Robin Walters, Rose Yu

Recent work has shown deep learning can accelerate the prediction of physical dy namics relative to numerical solvers. However, limited physical accuracy and an inability to generalize under distributional shift limit its applicability to the real world. We propose to improve accuracy and generalization by incorporating symmetries into convolutional neural networks. Specifically, we employ a variet y of methods each tailored to enforce a different symmetry. Our models are both theoretically and experimentally robust to distributional shift by symmetry group transformations and enjoy favorable sample complexity. We demonstrate the advantage of our approach on a variety of physical dynamics including Rayleigh-Bénar d convection and real-world ocean currents and temperatures. Compare with image

or text applications, our work is a significant step towards applying equivarian t neural networks to high-dimensional systems with complex dynamics.

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Ensemble-based Adversarial Defense Using Diversified Distance Mapping Ehsan Kazemi, Mohamed E. Hussein, Wael AbdAlmgaeed

We propose an ensemble-based defense against adversarial examples using distance map layers (DMLs). Similar to fully connected layers, DMLs can be used to output logits for a multi-class classification model. We show in this paper how DMLs can be deployed to prevent transferability of attacks across ensemble members by adapting pairwise (almost) orthogonal covariance matrices. We also illustrate how DMLs provide an efficient way to regularize the Lipschitz constant of the ensemble's member models, which further boosts the resulting robustness. Through empirical evaluations across multiple datasets and attack models, we demonstrate that the ensembles based on DMLs can achieve high benign accuracy while exhibiting robustness against adversarial attacks using multiple white-box techniques along with AutoAttack.

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Contrastive Learning with Stronger Augmentations Xiao Wang, Guo-Jun Qi

Representation learning has been greatly improved with the advance of contrastiv e learning methods with the performance being closer to their supervised learnin g counterparts. Those methods have greatly benefited from various data augmentat ions that are carefully designated to maintain their identities so that the imag es transformed from the same instance can still be retrieved. Although stronger augmentations could expose novel patterns of representations to improve their ge neralizability, directly using stronger augmentations in instance discrimination -based contrastive learning may even deteriorate the performance, because the di stortions induced from the stronger augmentations could ridiculously change the image structures and thus the transformed images cannot be viewed as the same as the original ones any more. Additional efforts are needed for us to explore the role of the stronger augmentations in further pushing the performance of unsupe rvised learning to the fully supervised upper bound. Instead of applying the str onger augmentations directly to minimize the contrastive loss, we propose to min imize the distribution divergence between the weakly and strongly augmented imag es over the representation bank to supervise the retrieval of strongly augmented queries from a pool of candidates. This avoids an overoptimistic assumption tha t could overfit the strongly augmented queries containing distorted visual struc tures into the positive targets in the representation bank, while still being ab le to distinguish them from the negative samples by leveraging the distributions of weakly augmented counterparts. The proposed method achieves top-1 accuracy o f 76.2% on ImageNet with a standard ResNet-50 architecture with a single-layer c lassifier fine-tuned. This is almost the same as 76.5% of top-1 accuracy with a fully supervised ResNet-50. Moreover, it outperforms the previous self-supervise d and supervised methods on both the transfer learning and object detection task

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The Risks of Invariant Risk Minimization

Elan Rosenfeld, Pradeep Kumar Ravikumar, Andrej Risteski

Invariant Causal Prediction (Peters et al., 2016) is a technique for out-of-dist ribution generalization which assumes that some aspects of the data distribution vary across the training set but that the underlying causal mechanisms remain c onstant. Recently, Arjovsky et al. (2019) proposed Invariant Risk Minimization (IRM), an objective based on this idea for learning deep, invariant features of d ata which are a complex function of latent variables; many alternatives have sub sequently been suggested. However, formal guarantees for all of these works are severely lacking. In this paper, we present the first analysis of classificat ion under the IRM objective—as well as these recently proposed alternatives—under a fairly natural and general model. In the linear case, we show simple conditions under which the optimal solution succeeds or, more often, fails to recover t

he optimal invariant predictor. We furthermore present the very first results in the non-linear regime: we demonstrate that IRM can fail catastrophically unless the test data is sufficiently similar to the training distribution—this is precisely the issue that it was intended to solve. Thus, in this setting we find that IRM and its alternatives fundamentally do not improve over standard Empirical Risk Minimization.

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Scaling Symbolic Methods using Gradients for Neural Model Explanation Subham Sekhar Sahoo, Subhashini Venuqopalan, Li Li, Rishabh Singh, Patrick Riley Symbolic techniques based on Satisfiability Modulo Theory (SMT) solvers have bee n proposed for analyzing and verifying neural network properties, but their usag e has been fairly limited owing to their poor scalability with larger networks. In this work, we propose a technique for combining gradient-based methods with s ymbolic techniques to scale such analyses and demonstrate its application for mo del explanation. In particular, we apply this technique to identify minimal regi ons in an input that are most relevant for a neural network's prediction. Our ap proach uses gradient information (based on Integrated Gradients) to focus on a s ubset of neurons in the first layer, which allows our technique to scale to larg e networks. The corresponding SMT constraints encode the minimal input mask disc overy problem such that after masking the input, the activations of the selected neurons are still above a threshold. After solving for the minimal masks, our a pproach scores the mask regions to generate a relative ordering of the features within the mask. This produces a saliency map which explains where a model is l ooking" when making a prediction. We evaluate our technique on three datasets-MN IST, ImageNet, and Beer Reviews, and demonstrate both quantitatively and qualita tively that the regions generated by our approach are sparser and achieve higher saliency scores compared to the gradient-based methods alone. Code and examples are at - https://github.com/google-research/google-research/tree/master/smug\_sa

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Contextual Dropout: An Efficient Sample-Dependent Dropout Module XINJIE FAN, Shujian Zhang, Korawat Tanwisuth, Xiaoning Qian, Mingyuan Zhou Dropout has been demonstrated as a simple and effective module to not only regul arize the training process of deep neural networks, but also provide the uncerta inty estimation for prediction. However, the quality of uncertainty estimation i s highly dependent on the dropout probabilities. Most current models use the sam e dropout distributions across all data samples due to its simplicity. Despite the potential gains in the flexibility of modeling uncertainty, sample-dependent dropout, on the other hand, is less explored as it often encounters scalability issues or involves non-trivial model changes. In this paper, we propose contex tual dropout with an efficient structural design as a simple and scalable sample -dependent dropout module, which can be applied to a wide range of models at the expense of only slightly increased memory and computational cost. We learn the dropout probabilities with a variational objective, compatible with both Bernoul li dropout and Gaussian dropout. We apply the contextual dropout module to vario us models with applications to image classification and visual question answerin g and demonstrate the scalability of the method with large-scale datasets, such as ImageNet and VQA 2.0. Our experimental results show that the proposed method outperforms baseline methods in terms of both accuracy and quality of uncertaint y estimation.

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Empirically Verifying Hypotheses Using Reinforcement Learning Kenneth Marino, Rob Fergus, Arthur Szlam, Abhinav Gupta

This paper formulates hypothesis verification as an RL problem. Specifically, we aim to build an agent that, given a hypothesis about the dynamics of the world, can take actions to generate observations which can help predict whether the hy pothesis is true or false. Existing RL algorithms fail to solve this task, even for simple environments.

In order to train the agents, we exploit the underlying structure of many hypoth eses, factorizing them as {pre-condition, action sequence, post-condition} tripl

ets. By leveraging this structure we show that RL agents are able to succeed at the task. Furthermore, subsequent fine-tuning of the policies allows the agent to correctly verify hypotheses not amenable to the above factorization.

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TraDE: A Simple Self-Attention-Based Density Estimator

Rasool Fakoor, Pratik Anil Chaudhari, Jonas Mueller, Alex Smola

We present TraDE, a self-attention-based architecture for auto-regressive densit y estimation with continuous and discrete valued data. Our model is trained usi ng a penalized maximum likelihood objective, which ensures that samples from the edensity estimate resemble the training data distribution. The use of self-attention means that the model need not retain conditional sufficient statistics during the auto-regressive process beyond what is needed for each covariate. On standard tabular and image data benchmarks, TraDE produces significantly better density estimates than existing approaches such as normalizing flow estimators and recurrent auto-regressive models. However log-likelihood on held-out data only partially reflects how useful these estimates are in real-world applications. In order to systematically evaluate density estimators, we present a suite of task such as regression using generated samples, out-of-distribution detection, and robustness to noise in the training data and demonstrate that TraDE works well in these scenarios.

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Disentangling style and content for low resource video domain adaptation: a case study on keystroke inference attacks

John Lim, Fabian Monrose, Jan-Michael Frahm

Keystroke inference attacks are a form of side-channels attacks in which an atta cker leverages various techniques to recover a user's keystrokes as she inputs i nformation into some display (for example, while sending a text message or enter ing her pin). Typically, these attacks leverage machine learning approaches, but assessing the realism of the threat space has lagged behind the pace of machine learning advancements, due in-part, to the challenges in curating large real-li fe datasets. This paper aims to overcome the challenge of having limited number of real data by introducing a video domain adaptation technique that is able to leverage synthetic data through supervised disentangled learning. Specifically, for a given domain, we decompose the observed data into two factors of variation : Style and Content. Doing so provides four learned representations: real-life s tyle, synthetic style, real-life content and synthetic content. Then, we combine them into feature representations from all combinations of style-content pairin gs across domains, and train a model on these combined representations to classi fy the content (i.e., labels) of a given datapoint in the style of another domai n. We evaluate our method on real-life data using a variety of metrics to quanti fy the amount of information an attacker is able to recover. We show that our me thod prevents our model from overfitting to a small real-life training set, indi cating that our method is an effective form of data augmentation. Code and data will be released after reviewal.

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RG-Flow: A hierarchical and explainable flow model based on renormalization group and sparse prior

Hong-Ye Hu, Dian Wu, Yi-Zhuang You, Bruno Olshausen, Yubei Chen

Flow-based generative models have become an important class of unsupervised lear ning approaches. In this work, we incorporate the key idea of renormalization gr oup (RG) and sparse prior distribution to design a hierarchical flow-based gener ative model, called RG-Flow, which can separate different scale information of i mages with disentangle representations at each scale. We demonstrate our method mainly on the CelebA dataset and show that the disentangled representation at different scales enables semantic manipulation and style mixing of the images. To visualize the latent representation, we introduce the receptive fields for flow-based models and find receptive fields learned by RG-Flow are similar to convolutional neural networks. In addition, we replace the widely adopted Gaussian prior distribution by sparse prior distributions to further enhance the disentanglement of representations. From a theoretical perspective, the proposed method has

 $0(\log L)$  complexity for image inpainting compared to previous flow-based mode ls with  $0(L^2)$  complexity.

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Contrastive Learning with Hard Negative Samples

Joshua David Robinson, Ching-Yao Chuang, Suvrit Sra, Stefanie Jegelka

We consider the question: how can you sample good negative examples for contrast ive learning? We argue that, as with metric learning, learning contrastive repre sentations benefits from hard negative samples (i.e., points that are difficult to distinguish from an anchor point). The key challenge toward using hard negatives is that contrastive methods must remain unsupervised, making it infeasible to adopt existing negative sampling strategies that use label information. In response, we develop a new class of unsupervised methods for selecting hard negative samples where the user can control the amount of hardness. A limiting case of this sampling results in a representation that tightly clusters each class, and pushes different classes as far apart as possible. The proposed method improves downstream performance across multiple modalities, requires only few additional lines of code to implement, and introduces no computational overhead.

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Debiasing Concept-based Explanations with Causal Analysis Mohammad Taha Bahadori, David Heckerman

Concept-based explanation approach is a popular model interpertability tool beca use it expresses the reasons for a model's predictions in terms of concepts that are meaningful for the domain experts. In this work, we study the problem of the concepts being correlated with confounding information in the features. We propose a new causal prior graph for modeling the impacts of unobserved variables and a method to remove the impact of confounding information and noise using a two-stage regression technique borrowed from the instrumental variable literature. We also model the completeness of the concepts set and show that our debiasing method works when the concepts are not complete. Our synthetic and real-world experiments demonstrate the success of our method in removing biases and improving the ranking of the concepts in terms of their contribution to the explanation of the predictions.

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Reintroducing Straight-Through Estimators as Principled Methods for Stochastic B inary Networks

Alexander Shekhovtsov, Viktor Yanush

Training neural networks with binary weights and activations is a challenging problem due to the lack of gradients and difficulty of optimization over discrete weights.

Many successful experimental results have been achieved with empirical straight-through (ST) approaches, proposing a variety of ad-hoc rules for propagating gra dients through non-differentiable activations and updating discrete weights. At the same time, ST methods can be truly derived as estimators in the stochastic b inary network (SBN) model with Bernoulli weights. We advance these derivations to a more complete and systematic study. We analyze properties, estimation accura cy, obtain different forms of correct ST estimators for activations and weights, explain existing empirical approaches and their shortcomings, explain how laten t weights arise from the mirror descent method when optimizing over probabilities. This allows to reintroduce, once empirical, ST methods as sound approximation s, apply them with clarity and develop further improvements.

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Selecting Treatment Effects Models for Domain Adaptation Using Causal Knowledge Trent Kyono, Ioana Bica, Zhaozhi Qian, Mihaela van der Schaar

Selecting causal inference models for estimating individualized treatment effect s (ITE) from observational data presents a unique challenge since the counterfactual outcomes are never observed. The problem is challenged further in the unsupervised domain adaptation (UDA) setting where we only have access to labeled samples in the source domain, but desire selecting a model that achieves good performance on a target domain for which only unlabeled samples are available. Existi

ng techniques for UDA model selection are designed for the predictive setting. These methods examine discriminative density ratios between the input covariates in the source and target domain and do not factor in the model's predictions in the target domain. Because of this, two models with identical performance on the source domain would receive the same risk score by existing methods, but in reality, have significantly different performance in the test domain. We leverage the invariance of causal structures across domains to propose a novel model selection metric specifically designed for ITE methods under the UDA setting. In particular, we propose selecting models whose predictions of interventions' effects satisfy known causal structures in the target domain. Experimentally, our method selects ITE models that are more robust to covariate shifts on several healthca re datasets, including estimating the effect of ventilation in COVID-19 patients from different geographic locations.

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Self-training For Few-shot Transfer Across Extreme Task Differences Cheng Perng Phoo, Bharath Hariharan

Most few-shot learning techniques are pre-trained on a large, labeled "base data set". In problem domains where such large labeled datasets are not available for pre-training (e.g., X-ray, satellite images), one must resort to pre-training in a different "source" problem domain (e.g., ImageNet), which can be very differ ent from the desired target task. Traditional few-shot and transfer learning tec hniques fail in the presence of such extreme differences between the source and target tasks. In this paper, we present a simple and effective solution to tackle this extreme domain gap: self-training a source domain representation on unlabeled data from the target domain. We show that this improves one-shot performance on the target domain by 2.9 points on average on the challenging BSCD-FSL benchmark consisting of datasets from multiple domains.

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An Examination of Preference-based Reinforcement Learning for Treatment Recommen dation

Nan Xu, Nitin Kamra, Yan Liu

Treatment recommendation is a complex multi-faceted problem with many conflictin g objectives, e.g., optimizing the survival rate (or expected lifetime), mitigat ing negative impacts, reducing financial expenses and time costs, avoiding overtreatment, etc. While this complicates the hand-engineering of a reward function for learning treatment policies, fortunately, qualitative feedback from human e xperts is readily available and can be easily exploited. Since direct estimatio n of rewards via inverse reinforcement learning is a challenging task and requir es the existence of an optimal human policy, the field of treatment recommendati on has recently witnessed the development of the preference-based ReinforcementL earning (PRL) framework, which infers a reward function from only qualitative an d imperfect human feedback to ensure that a human expert's preferred policy has a higher expected return over a less preferred policy. In this paper, we first p resent an open simulation platform to model the progression of two diseases, nam ely Cancer and Sepsis, and the reactions of the affected individuals to the rece ived treatment. Secondly, we investigate important problems in adopting preferen ce-basedRL approaches for treatment recommendation, such as advantages of learni ng from preference over hand-engineered reward, addressing incomparable policies , reward interpretability, and agent design via simulated experiments. The desig ned simulation platform and insights obtained for preference-based RL approaches are beneficial for achieving the right trade-off between various human objectiv es during treatment recommendation.

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Risk-Averse Offline Reinforcement Learning

Núria Armengol Urpí, Sebastian Curi, Andreas Krause

Training Reinforcement Learning (RL) agents in high-stakes applications might be too prohibitive due to the risk associated to exploration. Thus, the agent can only use data previously collected by safe policies. While previous work conside rs optimizing the average performance using offline data, we focus on optimizing a risk-averse criteria, namely the CVaR. In particular, we present the Offline

Risk-Averse Actor-Critic (O-RAAC), a model-free RL algorithm that is able to lea rn risk-averse policies in a fully offline setting. We show that O-RAAC learns p olicies with higher CVaR than risk-neutral approaches in different robot control tasks. Furthermore, considering risk-averse criteria guarantees distributional robustness of the average performance with respect to particular distribution sh ifts. We demonstrate empirically that in the presence of natural distribution-sh ifts, O-RAAC learns policies with good average performance.

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The shape and simplicity biases of adversarially robust ImageNet-trained CNNs Peijie Chen, Chirag Agarwal, Anh Nguyen

Adversarial training has been the topic of dozens of studies and a leading metho d for defending against adversarial attacks.

Yet, it remains largely unknown (a) how adversarially-robust ImageNet classifier s (R classifiers) generalize to out-of-distribution examples; and (b) how their generalization capability relates to their hidden representations. In this paper, we perform a thorough, systematic study to answer these two questions across A lexNet, GoogLeNet, and ResNet-50 architectures. We found that while standard ImageNet classifiers have a strong texture bias, their R counterparts rely heavily on shapes. Remarkably, adversarial training induces three simplicity biases into hidden neurons in the process of ``"robustifying" networks. That is, each convolutional neuron in R networks often changes to detecting (1) pixel-wise smoother patterns i.e. a mechanism that blocks high-frequency noise from passing through the network; (2) more lower-level features i.e. textures and colors (instead of objects); and (3) fewer types of inputs. Our findings reveal the interesting me chanisms that made networks more adversarially robust and also explain some recent findings e.g. why R networks benefit from much larger capacity and can act as a strong image prior in image synthesis.

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Trojans and Adversarial Examples: A Lethal Combination Guanxiong Liu, Issa Khalil, Abdallah Khreishah, Hai Phan

In this work, we naturally unify adversarial examples and Trojan backdoors into a new stealthy attack, that is activated only when 1) adversarial perturbation is injected into the input examples and 2) a Trojan backdoor is used to poison the training process simultaneously. Different from traditional attacks, we levera ge adversarial noise in the input space to move Trojan-infected examples across the model decision boundary, thus making it difficult to be detected. Our attack can fool the user into accidentally trusting the infected model as a robust classifier against adversarial examples. We perform a thorough analysis and conduct an extensive set of experiments on several benchmark datasets to show that our attack can bypass existing defenses with a success rate close to 100%.

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Parameter Efficient Multimodal Transformers for Video Representation Learning Sangho Lee, Youngjae Yu, Gunhee Kim, Thomas Breuel, Jan Kautz, Yale Song

The recent success of Transformers in the language domain has motivated adapting it to a multimodal setting, where a new visual model is trained in tandem with an already pretrained language model. However, due to the excessive memory requi rements from Transformers, existing work typically fixes the language model and train only the vision module, which limits its ability to learn cross-modal info rmation in an end-to-end manner. In this work, we focus on reducing the paramete rs of multimodal Transformers in the context of audio-visual video representatio n learning. We alleviate the high memory requirement by sharing the parameters o f Transformers across layers and modalities; we decompose the Transformer into m odality-specific and modality-shared parts so that the model learns the dynamics of each modality both individually and together, and propose a novel parameter sharing scheme based on low-rank approximation. We show that our approach reduce s parameters of the Transformers up to 97%, allowing us to train our model end-t o-end from scratch. We also propose a negative sampling approach based on an ins tance similarity measured on the CNN embedding space that our model learns toget her with the Transformers. To demonstrate our approach, we pretrain our model on 30-second clips (480 frames) from Kinetics-700 and transfer it to audio-visual classification tasks.

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Characterizing Structural Regularities of Labeled Data in Overparameterized Mode

Ziheng Jiang, Chiyuan Zhang, Kunal Talwar, Michael Curtis Mozer

Humans are accustomed to environments that contain both regularities and excepti ons. For example, at most gas stations, one pays prior to pumping, but the occas ional rural station does not accept payment in advance.

Likewise, deep neural networks can generalize across instances that share common patterns or structures yet have the capacity to memorize rare or irregular form s. We analyze how individual instances are treated by a model via a consistency score. The score characterizes the expected accuracy for a held-out instance gi ven training sets of varying size sampled from the data distribution. We obtain empirical estimates of this score for individual instances in multiple data-sets, and we show that the score identifies out-of-distribution and mislabeled examp les at one end of the continuum and strongly regular examples at the other end. We identify computationally inexpensive proxies to the consistency score using s tatistics collected during training. We apply the score toward understanding the dynamics of representation learning and to filter outliers during training, and we discuss other potential applications including curriculum learning and active data collection.

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Universal Approximation Theorem for Equivariant Maps by Group CNNs Wataru Kumagai, Akiyoshi Sannai

Group symmetry is inherent in a wide variety of data distributions. Data process ing that preserves symmetry is described as an equivariant map and often effecti ve in achieving high performance. Convolutional neural networks (CNNs) have been known as models with equivariance and shown to approximate equivariant maps for some specific groups. However, universal approximation theorems for CNNs have been separately derived with individual techniques according to each group and setting. This paper provides a unified method to obtain universal approximation theorems for equivariant maps by CNNs in various settings. As its significant advantage, we can handle non-linear equivariant maps between infinite-dimensional spaces for non-compact groups.

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Defending against black-box adversarial attacks with gradient-free trained sign activation neural networks

Yunzhe Xue, Meiyan Xie, Zhibo Yang, Usman Roshan

While machine learning models today can achieve high accuracies on classificatio n tasks, they can be deceived by minor imperceptible distortions to the data. Th ese are known as adversarial attacks and can be lethal in the black-box setting which does not require knowledge of the target model type or its parameters. Bin ary neural networks that have sign activation and are trained with gradient desc ent have been shown to be harder to attack than conventional sigmoid activation networks but their improvements are marginal. We instead train sign activation n etworks with a novel gradient-free stochastic coordinate descent algorithm and p ropose an ensemble of such networks as a defense model. We evaluate the robustne ss of our model (a hard problem in itself) on image, text, and medical ECG data and find it to be more robust than ensembles of binary, full precision, and conv olutional neural networks, and than random forests while attaining comparable cl ean test accuracy. In order to explain our model's robustness we show that an ad versary targeting a single network in our ensemble fails to attack (and thus non -transferable to) other networks in the ensemble. Thus a datapoint requires a la rge distortion to fool the majority of networks in our ensemble and is likely to be detected in advance. This property of non-transferability arises naturally f rom the non-convexity of sign activation networks and randomization in our gradi ent-free training algorithm without any adversarial defense effort.

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Tradeoffs in Data Augmentation: An Empirical Study

Raphael Gontijo-Lopes, Sylvia Smullin, Ekin Dogus Cubuk, Ethan Dyer Though data augmentation has become a standard component of deep neural network training, the underlying mechanism behind the effectiveness of these techniques remains poorly understood. In practice, augmentation policies are often chosen u sing heuristics of distribution shift or augmentation diversity. Inspired by the se, we conduct an empirical study to quantify how data augmentation improves mod el generalization. We introduce two interpretable and easy-to-compute measures: Affinity and Diversity. We find that augmentation performance is predicted not by either of these alone but by jointly optimizing the two.

Concept Learners for Few-Shot Learning Kaidi Cao, Maria Brbic, Jure Leskovec

Developing algorithms that are able to generalize to a novel task given only a f ew labeled examples represents a fundamental challenge in closing the gap betwee n machine- and human-level performance. The core of human cognition lies in the structured, reusable concepts that help us to rapidly adapt to new tasks and pro vide reasoning behind our decisions. However, existing meta-learning methods lea rn complex representations across prior labeled tasks without imposing any struc ture on the learned representations. Here we propose COMET, a meta-learning meth od that improves generalization ability by learning to learn along human-interpr etable concept dimensions. Instead of learning a joint unstructured metric space , COMET learns mappings of high-level concepts into semi-structured metric space s, and effectively combines the outputs of independent concept learners. We eval uate our model on few-shot tasks from diverse domains, including fine-grained im age classification, document categorization and cell type annotation on a novel dataset from a biological domain developed in our work. COMET significantly out performs strong meta-learning baselines, achieving 6-15% relative improvement on the most challenging 1-shot learning tasks, while unlike existing methods provi ding interpretations behind the model's predictions.

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Analogical Reasoning for Visually Grounded Compositional Generalization Bo Wu, Haoyu Qin, Alireza Zareian, Carl Vondrick, Shih-Fu Chang

Children acquire language subconsciously by observing the surrounding world and listening to descriptions. They can discover the meaning of words even without e xplicit language knowledge, and generalize to novel compositions effortlessly. I n this paper, we bring this ability to AI, by studying the task of multimodal co mpositional generalization within the context of visually grounded language acqu isition. We propose a multimodal transformer model augmented with a novel mechan ism for analogical reasoning, which approximates novel compositions by learning semantic mapping and reasoning operations from previously seen compositions. Ou r proposed method, Analogical Reasoning Transformer Networks (ARTNet), is traine d on raw multimedia data (video frames and transcripts), and after observing a s et of compositions such as "washing apple" or "cutting carrot", it can generaliz e and recognize new compositions in new video frames, such as "washing carrot" o r "cutting apple". To this end, ARTNet refers to relevant instances in the train ing data and uses their visual features and captions to establish analogies with the query image. Then it chooses a suitable verb and noun to create a new compo sition that describes the new image best. Extensive experiments on an instructio nal video dataset demonstrate that the proposed method achieves significantly be tter generalization capability and recognition accuracy compared to state-of-the -art transformer models.

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SALR: Sharpness-aware Learning Rates for Improved Generalization Xubo Yue, Maher Nouiehed, Raed Al Kontar

In an effort to improve generalization in deep learning, we propose SALR: a shar pness-aware learning rate update technique designed to recover flat minimizers. Our method dynamically updates the learning rate of gradient-based optimizers based on the local sharpness of the loss function. This allows optimizers to autom atically increase learning rates at sharp valleys to increase the chance of escaping them. We demonstrate the effectiveness of SALR when adopted by various algo

rithms over a broad range of networks. Our experiments indicate that SALR improves generalization, converges faster, and drives solutions to significantly flatter regions.

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Neural Mechanics: Symmetry and Broken Conservation Laws in Deep Learning Dynamic s

Daniel Kunin, Javier Sagastuy-Brena, Surya Ganguli, Daniel LK Yamins, Hidenori Tanak

Understanding the dynamics of neural network parameters during training is one o f the key challenges in building a theoretical foundation for deep learning. A c entral obstacle is that the motion of a network in high-dimensional parameter sp ace undergoes discrete finite steps along complex stochastic gradients derived f rom real-world datasets. We circumvent this obstacle through a unifying theoreti cal framework based on intrinsic symmetries embedded in a network's architecture that are present for any dataset. We show that any such symmetry imposes string ent geometric constraints on gradients and Hessians, leading to an associated co nservation law in the continuous-time limit of stochastic gradient descent (SGD) , akin to Noether's theorem in physics. We further show that finite learning rat es used in practice can actually break these symmetry induced conservation laws. We apply tools from finite difference methods to derive modified gradient flow, a differential equation that better approximates the numerical trajectory taken by SGD at finite learning rates. We combine modified gradient flow with our fra mework of symmetries to derive exact integral expressions for the dynamics of ce rtain parameter combinations. We empirically validate our analytic expressions f or learning dynamics on VGG-16 trained on Tiny ImageNet. Overall, by exploiting symmetry, our work demonstrates that we can analytically describe the learning d ynamics of various parameter combinations at finite learning rates and batch siz es for state of the art architectures trained on any dataset.

Federated Learning Based on Dynamic Regularization

Durmus Alp Emre Acar, Yue Zhao, Ramon Matas, Matthew Mattina, Paul Whatmough, Venkate sh Saligrama

We propose a novel federated learning method for distributively training neural network models, where the server orchestrates cooperation between a subset of ra ndomly chosen devices in each round. We view Federated Learning problem primarily from a communication perspective and allow more device level computations to save transmission costs. We point out a fundamental dilemma, in that the minima of the local-device level empirical loss are inconsistent with those of the global empirical loss. Different from recent prior works, that either attempt inexact minimization or utilize devices for parallelizing gradient computation, we propose a dynamic regularizer for each device at each round, so that in the limit the global and device solutions are aligned. We demonstrate both through empirical results on real and synthetic data as well as analytical results that our scheme leads to efficient training, in both convex and non-convex settings, while being fully agnostic to device heterogeneity and robust to large number of devices, partial participation and unbalanced data.

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Fair Mixup: Fairness via Interpolation

Ching-Yao Chuang, Youssef Mroueh

Training classifiers under fairness constraints such as group fairness, regulari zes the disparities of predictions between the groups. Nevertheless, even though the constraints are satisfied during training, they might not generalize at eva luation time. To improve the generalizability of fair classifiers, we propose fair mixup, a new data augmentation strategy for imposing the fairness constraint. In particular, we show that fairness can be achieved by regularizing the models on paths of interpolated samples between the groups. We use mixup, a powerful data augmentation strategy to generate these interpolates. We analyze fair mixup and empirically show that it ensures a better generalization for both accuracy and fairness measurement in tabular, vision, and language benchmarks.

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Fidelity-based Deep Adiabatic Scheduling Eli Ovits, Lior Wolf

Adiabatic quantum computation is a form of computation that acts by slowly inter polating a quantum system between an easy to prepare initial state and a final s tate that represents a solution to a given computational problem. The choice of the interpolation schedule is critical to the performance: if at a certain time point, the evolution is too rapid, the system has a high probability to transfer to a higher energy state, which does not represent a solution to the problem. O n the other hand, an evolution that is too slow leads to a loss of computation t ime and increases the probability of failure due to decoherence. In this work, w e train deep neural models to produce optimal schedules that are conditioned on the problem at hand. We consider two types of problem representation: the Hamil tonian form, and the Quadratic Unconstrained Binary Optimization (QUBO) form. A novel loss function that scores schedules according to their approximated succes s probability is introduced. We benchmark our approach on random QUBO problems, Grover search, 3-SAT, and MAX-CUT problems and show that our approach outperform s, by a sizable margin, the linear schedules as well as alternative approaches t hat were very recently proposed.

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Guiding Representation Learning in Deep Generative Models with Policy Gradients Luca Lach, Timo Korthals, Malte Schilling, Helge Ritter

Variational Auto Encoder (VAE) provide an efficient latent space representation of complex data distributions which is learned in an unsupervised fashion.

Using such a representation as input to Reinforcement Learning (RL) approaches m ay reduce learning time, enable domain transfer or improve interpretability of the model.

However, current state-of-the-art approaches that combine VAE with RL fail at le arning good performing policies on certain RL domains.

Typically, the VAE is pre-trained in isolation and may omit the embedding of tas k-relevant features due to insufficiencies of its loss.

As a result, the RL approach can not successfully maximize the reward on these d omains.

Therefore, this paper investigates the issues of joint training approaches and e xplores incorporation of policy gradients from RL into the VAE's latent space to find a task-specific latent space representation.

We show that using pre-trained representations can lead to policies being unable to learn any rewarding behaviour in these environments.

Subsequently, we introduce two types of models which overcome this deficiency by using policy gradients to learn the representation.

Thereby the models are able to embed features into its representation that are c rucial for performance on the RL task but would not have been learned with previous methods.

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Heating up decision boundaries: isocapacitory saturation, adversarial scenarios and generalization bounds

Bogdan Georgiev, Lukas Franken, Mayukh Mukherjee

In the present work we study classifiers' decision boundaries via Brownian motion processes in ambient data space and associated probabilistic techniques. Intuitively, our ideas correspond to placing a heat source at the decision boundary and observing how effectively the sample points warm up. We are largely motivated by the search for a soft measure that sheds further light on the decision bound ary's geometry. En route, we bridge aspects of potential theory and geometric analysis (Maz'ya 2011, Grigor'Yan and Saloff-Coste 2002) with active fields of ML research such as adversarial examples and generalization bounds. First, we focus on the geometric behavior of decision boundaries in the light of adversarial attack/defense mechanisms. Experimentally, we observe a certain capacitory trend over different adversarial defense strategies: decision boundaries locally become flatter as measured by isoperimetric inequalities (Ford et al 2019); however, our more sensitive heat-diffusion metrics extend this analysis and further reveal that some non-trivial geometry invisible to plain distance-based methods is s

till preserved. Intuitively, we provide evidence that the decision boundaries ne vertheless retain many persistent "wiggly and fuzzy" regions on a finer scale. Second, we show how Brownian hitting probabilities translate to soft generalizat ion bounds which are in turn connected to compression and noise stability (Arora et al 2018), and these bounds are significantly stronger if the decision boundary has controlled geometric features.

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Information Lattice Learning

Haizi Yu, James Evans, Lav R. Varshney

Information Lattice Learning (ILL) is a general framework to learn decomposed re presentations, called rules, of a signal such as an image or a probability distr ibution. Each rule is a coarsened signal used to gain some human-interpretable i nsight into what might govern the nature of the original signal. To summarize th e signal, we need several disentangled rules arranged in a hierarchy, formalized by a lattice structure. ILL focuses on explainability and generalizability from "small data", and aims for rules akin to those humans distill from experience ( rather than a representation optimized for a specific task like classification). This paper focuses on a mathematical and algorithmic presentation of ILL, then demonstrates how ILL addresses the core question "what makes X an X" or "what ma kes X different from Y" to create effective, rule-based explanations designed to help human learners understand. The key part here is \emph{what} rather than ta sks like generating X or predicting labels X,Y. Typical applications of ILL are presented for artistic and scientific knowledge discovery. These use ILL to lear n music theory from scores and chemical laws from molecule data, revealing relat ionships between domains. We include initial benchmarks and assessments for ILL to demonstrate efficacy.

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Watching the World Go By: Representation Learning from Unlabeled Videos Daniel Gordon, Kiana Ehsani, Dieter Fox, Ali Farhadi

Recent unsupervised representation learning techniques show remarkable success on many single image tasks by using instance discrimination: learning to differe ntiate between two augmented versions of the same image and a large batch of unrelated images. Prior work uses artificial data augmentation techniques such as cropping, and color jitter which can only affect the image in superficial waysand are not aligned with how objects actually change e.g. occlusion, deformation, viewpoint change. We argue that videos offer this natural augmentation for free. Videos can provide entirely new views of objects, show deformation, and even connect semantically similar but visually distinct concepts. We propose Video Nois e Contrastive Estimation, a method for using unlabeled video to learnstrong, transferable, single image representations. We demonstrate improvements over recent unsupervised single image techniques, as well as over fully supervised ImageNet pretraining, across temporal and non-temporal tasks.

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Deciphering and Optimizing Multi-Task Learning: a Random Matrix Approach Malik Tiomoko, Hafiz Tiomoko Ali, Romain Couillet

This article provides theoretical insights into the inner workings of multi-task and transfer learning methods, by studying the tractable least-square support v ector machine multi-task learning (LS-SVM MTL) method, in the limit of large (\$p \$) and numerous (\$n\$) data. By a random matrix analysis applied to a Gaussian mi xture data model, the performance of MTL LS-SVM is shown to converge, as \$n,p\to \infty\$, to a deterministic limit involving simple (small-dimensional) statistic s of the data.

We prove (i) that the standard MTL LS-SVM algorithm is in general strongly biase d and may dramatically fail (to the point that individual single-task LS-SVMs may outperform the MTL approach, even for quite resembling tasks): our analysis provides a simple method to correct these biases, and that we reveal (ii) the sufficient statistics at play in the method, which can be efficiently estimated, even for quite small datasets. The latter result is exploited to automatically optimize the hyperparameters without resorting to any cross-validation procedure.

Experiments on popular datasets demonstrate that our improved MTL LS-SVM method is computationally-efficient and outperforms sometimes much more elaborate state -of-the-art multi-task and transfer learning techniques.

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Predicting What You Already Know Helps: Provable Self-Supervised Learning Jason D. Lee, Qi Lei, Nikunj Saunshi, Jiacheng Zhuo

Self-supervised representation learning solves auxiliary prediction tasks (known as pretext tasks), that do not require labeled data, to learn semantic represen tations. These pretext tasks are created solely using the input features, such a s predicting a missing image patch, recovering the color channels of an image fr om context, or predicting missing words, yet predicting this \textit{known} info rmation helps in learning representations effective for downstream prediction ta sks. This paper posits a mechanism based on approximate conditional independence to formalize how solving certain pretext tasks can learn representations that p rovably decrease the sample complexity of downstream supervised tasks. Formally, we quantify how the approximate independence between the components of the pret ext task (conditional on the label and latent variables) allows us to learn representations that can solve the downstream task with drastically reduced sample c omplexity by just training a linear layer on top of the learned representation.

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On Flat Minima, Large Margins and Generalizability

Daniel Lengyel, Nicholas Jennings, Panos Parpas, Nicholas Kantas

The intuitive connection to robustness and convincing empirical evidence have ma de the flatness of the loss surface an attractive measure of generalizability for neural networks.

Yet it suffers from various problems such as computational difficulties, re parametrization issues, and a growing concern that it may only be an epiphenomen on of optimization methods.

We provide empirical evidence that under the cross-entropy loss once a neur al network reaches a non-trivial training error, the flatness correlates (via Pe arson Correlation Coefficient) well to the classification margins, which allows us to better reason about the concerns surrounding flatness.

Our results lead to the practical recommendation that when assessing genera lizability one should consider a margin-based measure instead, as it is computat ionally more efficient, provides further insight, and is highly correlated to fl atness.

We also use our insight to replace the misleading folklore that small-batch methods generalize better because they are able to escape sharp minima. Instead, we argue that large-batch methods did not have enough time to maximize margins and hence generalize worse.

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Overinterpretation reveals image classification model pathologies

Brandon Carter, Siddhartha Jain, Jonas Mueller, David Gifford

Image classifiers are typically scored on their test set accuracy, but high accuracy can mask a subtle type of model failure. We find that high scoring convolutional neural networks (CNNs) on popular benchmarks exhibit troubling pathologies that allow them to display high accuracy even in the absence of semantically salient features. When a model provides a high-confidence decision without salient supporting input features, we say the classifier has overinterpreted its input, finding too much class-evidence in patterns that appear nonsensical to humans. Here, we demonstrate that neural networks trained on CIFAR-10 and ImageNet suffer from overinterpretation, and we find models on CIFAR-10 make confident predict ions even when 95% of input images are masked and humans cannot discern salient features in the remaining pixel-subsets. Although these patterns portend potential model fragility in real-world deployment, they are in fact valid statistical patterns of the benchmark that alone suffice to attain high test accuracy. Unlike adversarial examples, overinterpretation relies upon unmodified image pixels.

We find ensembling and input dropout can each help mitigate overinterpretation.

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Improving the Unsupervised Disentangled Representation Learning with VAE Ensemble

Nanxiang Li, Shabnam Ghaffarzadegan, Liu Ren

Variational Autoencoder (VAE) based frameworks have achieved the state-of-the-ar t performance on the unsupervised disentangled representation learning. A recent theoretical analysis shows that such success is mainly due to the VAE implement ation choices that encourage a PCA-like behavior locally on data samples. Despit e this implied model identifiability, the VAE based disentanglement frameworks s till face the trade-off between the local orthogonality and data reconstruction. As a result, models with the same architecture and hyperparameter setting can s ometime learn entangled representations. To address this challenge, we propose a simple yet effective VAE ensemble framework consisting of multiple VAEs. It is based on the assumption that entangled representations are unique in their own w ays, and the disentangled representations are "alike" (similar up to a signed pe rmutation transformation). In the proposed VAE ensemble, each model not only mai ntains its original objective, but also encodes to and decodes from other models through pair-wise linear transformations between the latent representations. We show both theoretically and experimentally, the VAE ensemble objective encourag es the linear transformations connecting the VAEs to be trivial transformations, aligning the latent representations of different models to be "alike". We compa re our approach with the state-of-the-art unsupervised disentangled representati on learning approaches and show the improved performance.

Influence Functions in Deep Learning Are Fragile

Samyadeep Basu, Phil Pope, Soheil Feizi

Influence functions approximate the effect of training samples in test-time pred ictions and have a wide variety of applications in machine learning interpretabi lity and uncertainty estimation. A commonly-used (first-order) influence functio n can be implemented efficiently as a post-hoc method requiring access only to t he gradients and Hessian of the model. For linear models, influence functions ar e well-defined due to the convexity of the underlying loss function and are gene rally accurate even across difficult settings where model changes are fairly lar ge such as estimating group influences. Influence functions, however, are not we ll-understood in the context of deep learning with non-convex loss functions. n this paper, we provide a comprehensive and large-scale empirical study of succ esses and failures of influence functions in neural network models trained on da tasets such as Iris, MNIST, CIFAR-10 and ImageNet. Through our extensive experim ents, we show that the network architecture, its depth and width, as well as the extent of model parameterization and regularization techniques have strong effe cts in the accuracy of influence functions. In particular, we find that (i) infl uence estimates are fairly accurate for shallow networks, while for deeper netwo rks the estimates are often erroneous; (ii) for certain network architectures an d datasets, training with weight-decay regularization is important to get high-q uality influence estimates; and (iii) the accuracy of influence estimates can va ry significantly depending on the examined test points. These results suggest th at in general influence functions in deep learning are fragile and call for deve loping improved influence estimation methods to mitigate these issues in non-con vex setups.

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Few-Shot Learning via Learning the Representation, Provably Simon Shaolei Du, Wei Hu, Sham M. Kakade, Jason D. Lee, Qi Lei

This paper studies few-shot learning via representation learning, where one uses \$T\$ source tasks with  $n_1$ \$ data per task to learn a representation in order to reduce the sample complexity of a target task for which there is only  $n_2$  (\ll n\_1)\$ data. Specifically, we focus on the setting where there exists a good com mon representation between source and target, and our goal is to understand how much a sample size reduction is possible. First, we study the setting where this common representation is low-dimensional and provide a risk bound of \$\tilde{0}\$ (\frac{dk}{n\_1T} + \frac{k}{n\_2})\$ on the target task for the linear representation class; here \$d\$ is the ambient input dimension and \$k\$ (\ll d)\$ is the dimens

ion of the representation. This result bypasses the  $\Omega(\frac{1}{T})$  barrie r under the i.i.d. task assumption, and can capture the desired property that al  $1 \le 1$  samples from source tasks can be  $\emptyset$  together for representation learning. We further extend this result to handle a general representation f unction class and obtain a similar result. Next, we consider the setting where the common representation may be high-dimensional but is capacity-constrained (say in norm); here, we again demonstrate the advantage of representation learning in both high-dimensional linear regression and neural networks, and show that representation learning can fully utilize all n=1 samples from source tasks.

Self-Supervised Learning of Compressed Video Representations Youngjae Yu, Sangho Lee, Gunhee Kim, Yale Song

Self-supervised learning of video representations has received great attention. Existing methods typically require frames to be decoded before being processed, which increases compute and storage requirements and ultimately hinders large-sc ale training. In this work, we propose an efficient self-supervised approach to learn video representations by eliminating the expensive decoding step. We use a three-stream video architecture that encodes I-frames and P-frames of a compres sed video. Unlike existing approaches that encode I-frames and P-frames individu ally, we propose to jointly encode them by establishing bidirectional dynamic co nnections across streams. To enable self-supervised learning, we propose two pre text tasks that leverage the multimodal nature (RGB, motion vector, residuals) a nd the internal GOP structure of compressed videos. The first task asks our netw ork to predict zeroth-order motion statistics in a spatio-temporal pyramid; the second task asks correspondence types between I-frames and P-frames after applyi ng temporal transformations. We show that our approach achieves competitive perf ormance on compressed video recognition both in supervised and self-supervised r egimes.

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Uncertainty Prediction for Deep Sequential Regression Using Meta Models Jiri Navratil, Matthew Arnold, Benjamin Elder

Generating high quality uncertainty estimates for sequential regression, particularly deep recurrent networks, remains a challenging and open problem.

Existing approaches often make restrictive assumptions (such as stationarity) ye t still perform poorly in practice, particularly in presence of real world non-s tationary signals and drift.

This paper describes a flexible method that can generate symmetric and asymmetric uncertainty estimates, makes no assumptions about stationarity, and outperforms competitive baselines on both drift and non drift scenarios.

This work helps make sequential regression more effective and practical for use in real-world applications, and is a powerful new addition to the modeling toolb ox for sequential uncertainty quantification in general.

 ${\tt FLAGNet: Feature\ Label\ based\ Automatic\ Generation\ Network\ for\ symbolic\ music\ SeongHyeon\ Go}$ 

The technology for automatic music generation has been very actively studied in recent years. However, almost in these studies, handling domain knowledge of mus ic was omitted or considered a difficult task. In particular, research that anal yzes and utilizes the characteristics of each bar of music is very rare, even th ough it is essential in the human composition. We propose a model that generate music with musical characteristics of bars by conditional generative adversarial network, and analyze the good combination of the sequence of which characterize d bars for symbolic-domain music generation by Recurrent Neural Network with Lo ng short term memory layer. Also, by analyzing symbolic music data as image-like based on relational pitch approach, it increases the utilization of the data se t with arbitrary chord scales and enables the use of generational results extens ively. The resulting model FLAGNet generates music with the understanding of musical domain knowledge while handling inputs like minimum unit of note, length of music, chart scales, and chord condition.

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Flowtron: an Autoregressive Flow-based Generative Network for Text-to-Speech Synthesis

Rafael Valle, Kevin J. Shih, Ryan Prenger, Bryan Catanzaro

In this paper we propose Flowtron: an autoregressive flow-based generative netwo rk for text-to-speech synthesis with style transfer and speech variation. Flowtr on borrows insights from Autoregressive Flows and revamps Tacotron 2 in order to provide high-quality and expressive mel-spectrogram synthesis. Flowtron is opti mized by maximizing the likelihood of the training data, which makes training si mple and stable. Flowtron learns an invertible mapping of data to a latent space that can be used to modulate many aspects of speech synthesis (timbre, expressi vity, accent). Our mean opinion scores (MOS) show that Flowtron matches state-of -the-art TTS models in terms of speech quality. We provide results on speech var iation, interpolation over time between samples and style transfer between seen and unseen speakers. Code and pre-trained models are publicly available at \href {https://github.com/NVIDIA/flowtron} {https://github.com/NVIDIA/flowtron}.

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Adaptive Personalized Federated Learning

Yuyang Deng, Mohammad Mahdi Kamani, Mehrdad Mahdavi

Investigation of the degree of personalization in federated learning algorithms has shown that only maximizing the performance of the global model will confine the capacity of the local models to personalize. In this paper, we advocate an a daptive personalized federated learning (APFL) algorithm, where each client will train their local models while contributing to the global model. We derive the generalization bound of mixture of local and global models, and find the optima l mixing parameter. We also propose a communication-efficient optimization me thod to collaboratively learn the personalized models and analyze its convergence in both smooth strongly convex and nonconvex settings. The extensive experime nts demonstrate the effectiveness of our personalization schema, as well as the correctness of established generalization theories.

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Novel Policy Seeking with Constrained Optimization Hao Sun, Zhenghao Peng, Bo Dai, Jian Guo, Dahua Lin, Bolei Zhou

We address the problem of seeking novel policies in reinforcement learning tasks . Instead of following the multi-objective framework commonly used in existing m ethods, we propose to rethink the problem under a novel perspective of constrain ed optimization. We at first introduce a new metric to evaluate the difference b etween policies, and then design two practical novel policy seeking methods foll owing the new perspective, namely the Constrained Task Novel Bisector (CTNB), and the Interior Policy Differentiation (IPD), corresponding to the feasible direction method and the interior point method commonly known in the constrained optimization literature. Experimental comparisons on the MuJuCo control suite show our methods can achieve substantial improvements over previous novelty-seeking methods in terms of both the novelty of policies and their performances in the primal task.

Discrete Predictive Representation for Long-horizon Planning Thanard Kurutach, Julia Peng, Yang Gao, Stuart Russell, Pieter Abbeel

Discrete representations have been key in enabling robots to plan at more abstra ct levels and solve temporally-extended tasks more efficiently for decades. Howe ver, they typically require expert specifications. On the other hand, deep reinf orcement learning aims to learn to solve tasks end-to-end, but struggles with lo ng-horizon tasks. In this work, we propose Discrete Object-factorized Representation Planning (DORP), which learns temporally-abstracted discrete representation s from exploratory video data in an unsupervised fashion via a mutual information maximization objective. DORP plans a sequence of abstract states for a low-level model-predictive controller to follow. In our experiments, we show that DORP robustly solves unseen long-horizon tasks. Interestingly, it discovers independent representations per object and binary properties such as a key-and-door.

R-GAP: Recursive Gradient Attack on Privacy

Junyi Zhu, Matthew B. Blaschko

Federated learning frameworks have been regarded as a promising approach to brea k the dilemma between demands on privacy and the promise of learning from large collections of distributed data. Many such frameworks only ask collaborators to share their local update of a common model, i.e. gradients with respect to local ly stored data, instead of exposing their raw data to other collaborators. Howev er, recent optimization-based gradient attacks show that raw data can often be a ccurately recovered from gradients. It has been shown that minimizing the Euclid ean distance between true gradients and those calculated from estimated data is often effective in fully recovering private data. However, there is a fundamenta 1 lack of theoretical understanding of how and when gradients can lead to unique recovery of original data. Our research fills this gap by providing a closed-fo rm recursive procedure to recover data from gradients in deep neural networks. W e name it Recursive Gradient Attack on Privacy (R-GAP). Experimental results dem onstrate that R-GAP works as well as or even better than optimization-based app roaches at a fraction of the computation under certain conditions. Additionally, we propose a Rank Analysis method, which can be used to estimate the risk of gr adient attacks inherent in certain network architectures, regardless of whether an optimization-based or closed-form-recursive attack is used. Experimental resu lts demonstrate the utility of the rank analysis towards improving the network's security. Source code is available for download from https://github.com/JunyiZh

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Towards Understanding Linear Value Decomposition in Cooperative Multi-Agent Q-Le arning

Jianhao Wang, Zhizhou Ren, Beining Han, Jianing Ye, Chongjie Zhang

Value decomposition is a popular and promising approach to scaling up multi-agen t reinforcement learning in cooperative settings. However, the theoretical under standing of such methods is limited. In this paper, we introduce a variant of the fitted Q-iteration framework for analyzing multi-agent Q-learning with value decomposition. Based on this framework, we derive a closed-form solution to the empirical Bellman error minimization with linear value decomposition. With this novel solution, we further reveal two interesting insights: 1) linear value decomposition implicitly implements a classical multi-agent credit assignment called counterfactual difference rewards; and 2) On-policy data distribution or richer Q function classes can improve the training stability of multi-agent Q-learning. In the empirical study, our experiments demonstrate the realizability of our the eoretical closed-form formulation and implications in the didactic examples and a broad set of StarCraft II unit micromanagement tasks, respectively.

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Quickly Finding a Benign Region via Heavy Ball Momentum in Non-Convex Optimization

Jun-Kun Wang, Jacob Abernethy

The Heavy Ball Method, proposed by Polyak over five decades ago, is a first-orde r method for optimizing continuous functions. While its stochastic counterpart h as proven extremely popular in training deep networks, there are almost no known functions where deterministic Heavy Ball is provably faster than the simple and classical gradient descent algorithm in non-convex optimization. The success of Heavy Ball has thus far eluded theoretical understanding. Our goal is to addre ss this gap, and in the present work we identify two non-convex problems where we provably show that the Heavy Ball momentum helps the iterate to enter a benigh region that contains a global optimal point faster. We show that Heavy Ball exhibits simple dynamics that clearly reveal the benefit of using a larger value of momentum parameter for the problems. The first of these optimization problems is the phase retrieval problem, which has useful applications in physical science. The second of these optimization problems is the cubic-regularized minimization, a critical subroutine required by Nesterov-Polyak cubic-regularized method to

find second-order stationary points in general smooth non-convex problems.

ME-MOMENTUM: EXTRACTING HARD CONFIDENT EXAMPLES FROM NOISILY LABELED DATA Yingbin Bai, Tongliang Liu

Examples that are close to the decision boundary-that we term hard examples, are essential to shaping accurate classifiers. Extracting confident examples has been widely studied in the community of learning with noisy labels. However, it remains elusive how to extract hard confident examples from the noisy training data. In this paper, we propose a deep learning paradigm to solve this problem, which is built on the memorization effect of deep neural networks that they wou ld first learn simple patterns, i.e., which are defined by these shared by mul tiple training examples. To extract hard confident examples that contain non-sim ple patterns and are entangled with the inaccurately labeled examples, we borrow the idea of momentum from physics. Specifically, we alternately update the conf ident examples and refine the classifier. Note that the extracted confident exa mples in the previous round can be exploited to learn a better classifier and th at the better classifier will help identify better (and hard) confident examples We call the approach the "Momentum of Memorization" (Me-Momentum). Empirical results on benchmark-simulated and real-world label-noise data illustrate the ef fectiveness of Me-Momentum for extracting hard confident examples, leading to be tter classification performance.

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Robust Temporal Ensembling

Abel Brown, Benedikt Schifferer, Robert DiPietro

Successful training of deep neural networks with noisy labels is an essential ca pability as most real-world datasets contain some amount of mislabeled data. Le ft unmitigated, label noise can sharply degrade typical supervised learning appr oaches. In this paper, we present robust temporal ensembling (RTE), a simple su pervised learning approach which combines robust task loss, temporal pseudo-labe ling, and a new ensemble consistency regularization term to achieve noise-robust learning. We demonstrate that RTE achieves state-of-the-art performance across the CIFAR-10, CIFAR-100, and ImageNet datasets, while forgoing the recent trend of label filtering/fixing. In particular, RTE achieves 93.64% accuracy on CIFAR -10 and 66.43% accuracy on CIFAR-100 under 80% label corruption, and achieves 74 .79% accuracy on ImageNet under 40% corruption. These are substantial gains over previous state-of-the-art accuracies of 86.6%, 60.2%, and 71.31%, respectively, achieved using three distinct methods. Finally, we show that RTE retains compet itive corruption robustness to unforeseen input noise using CIFAR-10-C, obtainin g a mean corruption error (mCE) of 13.50% even in the presence of an 80% noise r atio, versus 26.9% mCE with standard methods on clean data.

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Non-Local Graph Neural Networks

Meng Liu, Zhengyang Wang, Shuiwang Ji

Modern graph neural networks (GNNs) learn node embeddings through multilayer loc al aggregation and achieve great success in applications on assortative graphs. However, tasks on disassortative graphs usually require non-local aggregation. In addition, we find that local aggregation is even harmful for some disassortative graphs. In this work, we propose a simple yet effective non-local aggregation framework with an efficient attention-guided sorting for GNNs. Based on it, we develop various non-local GNNs. We perform thorough experiments to analyze disassortative graph datasets and evaluate our non-local GNNs. Experimental results demonstrate that our non-local GNNs significantly outperform previous state-of-the-art methods on six benchmark datasets of disassortative graphs, in terms of both model performance and efficiency.

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Why resampling outperforms reweighting for correcting sampling bias with stochas tic gradients

Jing An, Lexing Ying, Yuhua Zhu

A data set sampled from a certain population is biased if the subgroups of the p opulation are sampled at proportions that are significantly different from their

underlying proportions. Training machine learning models on biased data sets re quires correction techniques to compensate for the bias. We consider two commonl y-used techniques, resampling and reweighting, that rebalance the proportions of the subgroups to maintain the desired objective function. Though statistically equivalent, it has been observed that resampling outperforms reweighting when co mbined with stochastic gradient algorithms. By analyzing illustrative examples, we explain the reason behind this phenomenon using tools from dynamical stabilit y and stochastic asymptotics. We also present experiments from regression, class ification, and off-policy prediction to demonstrate that this is a general pheno menon. We argue that it is imperative to consider the objective function design and the optimization algorithm together while addressing the sampling bias.

Unsupervised Video Decomposition using Spatio-temporal Iterative Inference Polina Zablotskaia, Edoardo Alberto Dominici, Leonid Sigal, Andreas Lehrmann Unsupervised multi-object scene decomposition is a fast-emerging problem in repr esentation learning. Despite significant progress in static scenes, such models are unable to leverage important dynamic cues present in video. We propose a nov el spatio-temporal iterative inference framework that is powerful enough to join tly model complex multi-object representations and explicit temporal dependencie s between latent variables across frames. This is achieved by leveraging 2D-LSTM , temporally conditioned inference and generation within the iterative amortized inference for posterior refinement. Our method improves the overall quality of decompositions, encodes information about the objects' dynamics, and can be used to predict trajectories of each object separately. Additionally, we show that o ur model has a high accuracy even without color information. We demonstrate the decomposition, segmentation, and prediction capabilities of our model and show t hat it outperforms the state-of-the-art on several benchmark datasets, one of wh ich was curated for this work and will be made publicly available.

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Whitening for Self-Supervised Representation Learning Aleksandr Ermolov, Aliaksandr Siarohin, Enver Sangineto, Nicu Sebe

Most of the self-supervised representation learning methods are based on the con trastive loss and the instance-discrimination task, where augmented versions of the same image instance ("positives") are contrasted with instances extracted f rom other images ("negatives"). For the learning to be effective, a lot of negat ives should be compared with a positive pair, which is computationally demanding . In this paper, we propose a different direction and a new loss function for se lf-supervised representation learning which is based on the whitening of the lat ent-space features. The whitening operation has a "scattering" effect on the bat ch samples, which compensates the use of negatives, avoiding degenerate solution s where all the sample representations collapse to a single point. Our Whitening MSE (W-MSE) loss does not require special heuristics (e.g. additional networks) and it is conceptually simple. Since negatives are not needed, we can extract m ultiple positive pairs from the same image instance. We empirically show that W -MSE is competitive with respect to popular, more complex self-supervised method s. The source code of the method and all the experiments is included in the Supp lementary Material.

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Adaptive Gradient Methods Converge Faster with Over-Parameterization (and you can do a line-search)

Sharan Vaswani, Issam H. Laradji, Frederik Kunstner, Si Yi Meng, Mark Schmidt, Simon Lacoste-Julien

Adaptive gradient methods are typically used for training over-parameterized mod els capable of exactly fitting the data; we thus study their convergence in this interpolation setting. Under an interpolation assumption, we prove that AMSGrad with a constant step-size and momentum can converge to the minimizer at the fas ter 0(1/T) rate for smooth, convex functions. Furthermore, in this setting, we show that AdaGrad can achieve an 0(1) regret in the online convex optimization framework. When interpolation is only approximately satisfied, we show that co

nstant step-size AMSGrad converges to a neighbourhood of the solution. On the ot her hand, we prove that AdaGrad is robust to the violation of interpolation and converges to the minimizer at the optimal rate. However, we demonstrate that eve n for simple, convex problems satisfying interpolation, the empirical performanc e of these methods heavily depends on the step-size and requires tuning. We alle viate this problem by using stochastic line-search (SLS) and Polyak's step-sizes (SPS) to help these methods adapt to the function's local smoothness. By using these techniques, we prove that AdaGrad and AMSGrad do not require knowledge of problem-dependent constants and retain the convergence guarantees of their const ant step-size counterparts. Experimentally, we show that these techniques help i mprove the convergence and generalization performance across tasks, from binary classification with kernel mappings to classification with deep neural networks.

Learning with Instance-Dependent Label Noise: A Sample Sieve Approach Hao Cheng, Zhaowei Zhu, Xingyu Li, Yifei Gong, Xing Sun, Yang Liu

Human-annotated labels are often prone to noise, and the presence of such noise will degrade the performance of the resulting deep neural network (DNN) models. Much of the literature (with several recent exceptions) of learning with noisy 1 abels focuses on the case when the label noise is independent of features. Pract ically, annotations errors tend to be instance-dependent and often depend on the difficulty levels of recognizing a certain task. Applying existing results from instance-independent settings would require a significant amount of estimation of noise rates. Therefore, providing theoretically rigorous solutions for learni ng with instance-dependent label noise remains a challenge. In this paper, we pr opose CORES\$^{2}\$ (COnfidence REgularized Sample Sieve), which progressively sie ves out corrupted examples. The implementation of CORES\$^{2}\$ does not require specifying noise rates and yet we are able to provide theoretical guarantees of CORES $^{2}$  in filtering out the corrupted examples. This high-quality sample si eve allows us to treat clean examples and the corrupted ones separately in train ing a DNN solution, and such a separation is shown to be advantageous in the ins tance-dependent noise setting. We demonstrate the performance of CORES\$^{2}\$ on CIFAR10 and CIFAR100 datasets with synthetic instance-dependent label noise and Clothing1M with real-world human noise. As of independent interests, our sample sieve provides a generic machinery for anatomizing noisy datasets and provides a flexible interface for various robust training techniques to further improve th e performance. Code is available at https://github.com/UCSC-REAL/cores.

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EMTL: A Generative Domain Adaptation Approach
Jianfeng Zhang, Illyyne Saffar, Aladin Virmaux, Balázs Kégl

We propose an unsupervised domain adaptation approach based on generative models . We show that when the source probability density function can be learned, one-step Expectation-Maximization iteration plus an additional marginal density function constraint will produce a proper mediator probability density function to b ridge the gap between the source and target domains. The breakthrough is based on modern generative models (autoregressive mixture density nets) that are compet itive to discriminative models on moderate-dimensional classification problems. By decoupling the source density estimation from the adaption steps, we can design a domain adaptation approach where the source data is locked away after being processed only once, opening the door to transfer when data security or privacy concerns impede the use of traditional domain adaptation. We demonstrate that our approach can achieve state-of-the-art performance on synthetic and real data sets, without accessing the source data at the adaptation phase.

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Sim2SG: Sim-to-Real Scene Graph Generation for Transfer Learning Aayush Prakash, Shoubhik Debnath, Jean Francois Lafleche, Eric Cameracci, Gavriel State, Marc T Law

Scene graph (SG) generation has been gaining a lot of traction recently. Current SG generation techniques, however, rely on the availability of expensive and li mited number of labeled datasets. Synthetic data offers a viable alternative as labels are essentially free. However, neural network models trained on synthetic

data, do not perform well on real data because of the domain gap.

To overcome this challenge, we propose Sim2SG, a scalable technique for sim-to-r eal transfer for scene graph generation. Sim2SG addresses the domain gap by deco mposing it into appearance, label and prediction discrepancies between the two d omains. We handle these discrepancies by introducing pseudo statistic based self -learning and adversarial techniques. Sim2SG does not require costly supervision from the real-world dataset.

Our experiments demonstrate significant improvements over baselines in reducing the domain gap both qualitatively and quantitatively.

We validate our approach on toy simulators, as well as realistic simulators eval uated on real-world data.

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DynamicVAE: Decoupling Reconstruction Error and Disentangled Representation Lear

Huajie Shao, Haohong Lin, Qinmin Yang, Shuochao Yao, Han Zhao, Tarek Abdelzaher This paper challenges the common assumption that the weight \$\beta\$, in \$\beta\$-VAE, should be larger than \$1\$ in order to effectively disentangle latent factor s. We demonstrate that \$\beta\$-VAE, with \$\beta < 1\$, can not only attain good d isentanglement but also significantly improve reconstruction accuracy via dynami c control. The paper \textit{removes the inherent trade-off} between reconstruct ion accuracy and disentanglement for \$\beta\$-VAE. Existing methods, such as \$\be ta\$-VAE and FactorVAE, assign a large weight to the KL-divergence term in the ob jective function, leading to high reconstruction errors for the sake of better d isentanglement. To mitigate this problem, a ControlVAE has recently been develop ed that dynamically tunes the KL-divergence weight in an attempt to \textit{cont rol the trade-off} to more a favorable point. However, ControlVAE fails to elimi nate the conflict between the need for a large \$\beta\$ (for disentanglement) and the need for a small \$\beta\$ (for smaller reconstruction error). Instead, we pr opose DynamicVAE that maintains a different \$\beta\$ at different stages of train ing, thereby \textit{decoupling disentanglement and reconstruction accuracy}. In order to evolve the weight, \$\beta\$, along a trajectory that enables such decou pling, DynamicVAE leverages a modified incremental PI (proportional-integral) co ntroller, a variant of proportional-integral-derivative controller (PID) algorit hm, and employs a moving average as well as a hybrid annealing method to evolve the value of KL-divergence smoothly in a tightly controlled fashion. We theoreti cally prove the stability of the proposed approach. Evaluation results on three benchmark datasets demonstrate that DynamicVAE significantly improves the recons truction accuracy while achieving disentanglement comparable to the best of exis ting methods. The results verify that our method can separate disentangled repre sentation learning and reconstruction, removing the inherent tension between the

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Unsupervised Audiovisual Synthesis via Exemplar Autoencoders

Kangle Deng, Aayush Bansal, Deva Ramanan

We present an unsupervised approach that converts the input speech of any indivi dual into audiovisual streams of potentially-infinitely many output speakers. Ou r approach builds on simple autoencoders that project out-of-sample data onto th e distribution of the training set. We use exemplar autoencoders to learn the vo ice, stylistic prosody, and visual appearance of a specific target exemplar spee ch. In contrast to existing methods, the proposed approach can be easily extende d to an arbitrarily large number of speakers and styles using only 3 minutes of target audio-video data, without requiring any training data for the input speak er. To do so, we learn audiovisual bottleneck representations that capture the s tructured linguistic content of speech. We outperform prior approaches on both a udio and video synthesis.

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Quantifying Exposure Bias for Open-ended Language Generation Tianxing He, Jingzhao Zhang, Zhiming Zhou, James R. Glass The exposure bias problem refers to the incrementally distorted generation induc ed by the training-generation discrepancy, in teacher-forcing training for autoregressive neural network language models (LM). It has been regarded as a centra 1 problem for LMs trained for open-ended language generation. Although a lot of algorithms have been proposed to avoid teacher forcing and therefore alleviate exposure bias, there is little work showing how serious the exposure bias problem actually is. In this work, we propose novel metrics to quantify the impact of exposure bias in the generation of MLE-trained LMs. Our key intuition is that if we feed ground-truth data prefixes (instead of prefixes generated by the model itself) into the model and ask it to continue the generation, the performance should become much better because the training-generation discrepancy in the prefix is removed. We conduct both automatic and human evaluation in our experiments, and our observations are two-fold: (1) We confirm that the prefix discrepancy in deed induces some level of performance loss. (2) However, the induced distortion seems to be limited, and is not incremental during the generation, which contradicts the claim of exposure bias.

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Single-Timescale Actor-Critic Provably Finds Globally Optimal Policy Zuyue Fu, Zhuoran Yang, Zhaoran Wang

We study the global convergence and global optimality of actor-critic, one of th e most popular families of reinforcement learning algorithms. While most existin g works on actor-critic employ bi-level or two-timescale updates, we focus on th e more practical single-timescale setting, where the actor and critic are update d simultaneously. Specifically, in each iteration, the critic update is obtained by applying the Bellman evaluation operator only once while the actor is update d in the policy gradient direction computed using the critic. Moreover, we consi der two function approximation settings where both the actor and critic are repr esented by linear or deep neural networks. For both cases, we prove that the act or sequence converges to a globally optimal policy at a sublinear  $O(K^{-1/2})$ rate, where \$K\$ is the number of iterations. To the best of our knowledge, we es tablish the rate of convergence and global optimality of single-timescale actorcritic with linear function approximation for the first time. Moreover, under th e broader scope of policy optimization with nonlinear function approximation, we prove that actor-critic with deep neural network finds the globally optimal pol icy at a sublinear rate for the first time.

MULTI-SPAN QUESTION ANSWERING USING SPAN-IMAGE NETWORK

Tarik Arici, Hayreddin Ceker, Ismail Baha Tutar

Question-answering (QA) models aim to find an answer given a question and context. Language models like BERT are used to associate question and context to fin d an answer span. Prior art on QA focuses on finding the best answer. There is a need for multi-span QA models to output the top-K likely answers to questions s uch as "Which companies Elon Musk started?" or "What factors cause global warmin g?" In this work, we introduce Span-Image architecture that can learn to identify multiple answers in a context for a given question. This architecture can incomporate prior information about the span length distribution or valid span patterns (e.g., end index has to be larger than start index), thus eliminating the need for post-processing. Span-Image architecture outperforms the state-of-the-art in top-K answer accuracy on SQuAD dataset and in multi-span answer accuracy on an Amazon internal dataset.

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Wandering within a world: Online contextualized few-shot learning Mengye Ren, Michael Louis Iuzzolino, Michael Curtis Mozer, Richard Zemel We aim to bridge the gap between typical human and machine-learning environments by extending the standard framework of few-shot learning to an online, continua

l setting. In this setting, episodes do not have separate training and testing p hases, and instead models are evaluated online while learning novel classes. As in the real world, where the presence of spatiotemporal context helps us retriev e learned skills in the past, our online few-shot learning setting also features an underlying context that changes throughout time. Object classes are correlat

ed within a context and inferring the correct context can lead to better perform ance. Building upon this setting, we propose a new few-shot learning dataset bas ed on large scale indoor imagery that mimics the visual

experience of an agent wandering within a world. Furthermore, we convert popular few-shot learning approaches into online versions and we also propose a new mod el that can make use of spatiotemporal contextual information from the recent past.

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Learning Discrete Adaptive Receptive Fields for Graph Convolutional Networks Xiaojun Ma, Ziyao Li, Lingjun Xu, Guojie Song, Yi Li, Chuan Shi

Different nodes in a graph neighborhood generally yield different importance. In previous work of Graph Convolutional Networks (GCNs), such differences are typically modeled with attention mechanisms. However, as we prove in our paper, soft attention weights suffer from over-smoothness in large neighborhoods. To address this weakness, we introduce a novel framework of conducting graph convolutions, where nodes are discretely selected among multi-hop neighborhoods to construct adaptive receptive fields (ARFs). ARFs enable GCNs to get rid of the over-smoothness of soft attention weights, as well as to efficiently explore long-distance dependencies in graphs. We further propose GRARF (GCN with Reinforced Adaptive Receptive Fields) as an instance, where an optimal policy of constructing ARFs is learned with reinforcement learning. GRARF achieves or matches state-of-the-art performances on public datasets from different domains. Our further analysis corroborates that GRARF is more robust than attention models against neighborhood noises.

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PanRep: Universal node embeddings for heterogeneous graphs

Vassilis N. Ioannidis, Da Zheng, George Karypis

Learning unsupervised node embeddings facilitates several downstream tasks such as node classification and link prediction. A node embedding is universal if it is designed to be used by and benefit various downstream tasks. This work introd uces PanRep, a graph neural network (GNN) model, for unsupervised learning of un iversal node representations for heterogenous graphs. PanRep consists of a GNN e ncoder that obtains node embeddings and four decoders, each capturing different topological and node feature properties. Abiding to these properties the novel u nsupervised framework learns universal embeddings applicable to different downst ream tasks. PanRep can be furthered fine-tuned to account for possible limited 1 abels. In this operational setting PanRep is considered as a pretrained model fo r extracting node embeddings of heterogenous graph data. PanRep outperforms all unsupervised and certain supervised methods in node classification and link pred iction, especially when the labeled data for the supervised methods is small. Pa nRep-FT (with fine-tuning) outperforms all other supervised approaches, which co rroborates the merits of pretraining models. Finally, we apply PanRep-FT for dis covering novel drugs for Covid-19. We showcase the advantage of universal embedd ings in drug repurposing and identify several drugs used in clinical trials as p ossible drug candidates.

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Learning-based Support Estimation in Sublinear Time

Talya Eden, Piotr Indyk, Shyam Narayanan, Ronitt Rubinfeld, Sandeep Silwal, Tal Wagner

We consider the problem of estimating the number of distinct elements in a larg e data set (or, equivalently, the support size of the distribution induced by th e data set) from a random sample of its elements. The problem occurs in many app lications, including biology, genomics, computer systems and linguistics. A line of research spanning the last decade resulted in algorithms that estimate the s upport up to  $\$  \pm \varepsilon n\\$ from a sample of size  $0(\log^2(1/\text{varepsilon}) \cdot (1/\log n)\$ , where  $n\$  is the data set size. Unfortunately, this bound is known to be tight, limiting further improvements to the complexity of this prob lem. In this paper we consider estimation algorithms augmented with a machine-le arning-based predictor that, given any element, returns an estimation of its frequency. We show that if the predictor is correct up to a constant approximation

n factor, then the sample complexity can be reduced significantly, to  $\$  \ \log (1/\varepsilon) \cdot n^{1-\text{Theta}(1/\log(1/\varepsilon))}. \$\$ We evaluate the proposed algorithms on a collection of data sets, using the neur al-network based estimators from {Hsu et al, ICLR'19} as predictors. Our experiments demonstrate substantial (up to 3x) improvements in the estimation accuracy compared to the state of the art algorithm.

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Neural Delay Differential Equations Qunxi Zhu, Yao Guo, Wei Lin

Neural Ordinary Differential Equations (NODEs), a framework of continuous-de pth neural networks, have been widely applied, showing exceptional efficacy in c oping with some representative datasets. Recently, an augmented framework has b een successfully developed for conquering some limitations emergent in applicati on of the original framework. Here we propose a new class of continuous-depth  $\boldsymbol{n}$ eural networks with delay, named as Neural Delay Differential Equations (NDDEs), and, for computing the corresponding gradients, we use the adjoint sensitivity method to obtain the delayed dynamics of the adjoint. Since the differential eq uations with delays are usually seen as dynamical systems of infinite dimension possessing more fruitful dynamics, the NDDEs, compared to the NODEs, own a stron ger capacity of nonlinear representations. Indeed, we analytically validate tha t the NDDEs are of universal approximators, and further articulate an extension of the NDDEs, where the initial function of the NDDEs is supposed to satisfy ODE More importantly, we use several illustrative examples to demonstrate the o utstanding capacities of the NDDEs and the NDDEs with ODEs' initial value. More precisely, (1) we successfully model the delayed dynamics where the trajectorie s in the lower-dimensional phase space could be mutually intersected, while the traditional NODEs without any argumentation are not directly applicable for such modeling, and (2) we achieve lower loss and higher accuracy not only for the d ata produced synthetically by complex models but also for the real-world image d atasets, i.e., CIFAR10, MNIST and SVHN. Our results on the NDDEs reveal that a ppropriately articulating the elements of dynamical systems into the network des ign is truly beneficial to promoting the network performance.

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Discriminative Cross-Modal Data Augmentation for Medical Imaging Applications Yue Yang, Pengtao Xie

While deep learning methods have shown great success in medical image analysis, they require a number of medical images to train. Due to data privacy concerns a nd unavailability of medical annotators, it is oftentimes very difficult to obta in a lot of labeled medical images for model training. In this paper, we study c ross-modality data augmentation to mitigate the data deficiency issue in medical imaging domain. We propose a discriminative unpaired image-to-image translation model which translate images in source modality into images in target modality where the translation task is conducted jointly with the downstream prediction t ask and the translation is guided by the prediction. Experiments on two applicat ions demonstrate the effectiveness of our method.

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Dance Revolution: Long-Term Dance Generation with Music via Curriculum Learning Ruozi Huang, Huang Hu, Wei Wu, Kei Sawada, Mi Zhang, Daxin Jiang

Dancing to music is one of human's innate abilities since ancient times. In mach ine learning research, however, synthesizing dance movements from music is a cha llenging problem. Recently, researchers synthesize human motion sequences through autoregressive models like recurrent neural network (RNN). Such an approach of ten generates short sequences due to an accumulation of prediction errors that a refed back into the neural network. This problem becomes even more severe in the long motion sequence generation. Besides, the consistency between dance and music in terms of style, rhythm and beat is yet to be taken into account during modeling. In this paper, we formalize the music-driven dance generation as a sequence-to-sequence learning problem and devise a novel seq2seq architecture to efficiently process long sequences of music features and capture the fine-grained correspondence between music and dance. Furthermore, we propose a novel curriculum

learning strategy to alleviate error accumulation of autoregressive models in 1 ong motion sequence generation, which gently changes the training process from a fully guided teacher-forcing scheme using the previous ground-truth movements, towards a less guided autoregressive scheme mostly using the generated movements instead. Extensive experiments show that our approach significantly outperforms the existing state-of-the-arts on automatic metrics and human evaluation. We also make a demo video to demonstrate the superior performance of our proposed approach at https://www.youtube.com/watch?v=lmE20MEheZ8.

Diversity Actor-Critic: Sample-Aware Entropy Regularization for Sample-Efficient Exploration

Seungyul Han, Youngchul Sung

Policy entropy regularization is commonly used for better exploration in deep re inforcement learning (RL). However, policy entropy regularization is sample-inef ficient in off-policy learning since it does not take the distribution of previo us samples stored in the replay buffer into account. In order to take advantage of the previous sample distribution from the replay buffer for sample-efficient exploration, we propose sample-aware entropy regularization which maximizes the entropy of weighted sum of the policy action distribution and the sample action distribution from the replay buffer. We formulate the problem of sample-aware entropy regularized policy iteration, prove its convergence, and provide a practical algorithm named diversity actor-critic (DAC) which is a generalization of so ft actor-critic (SAC). Numerical results show that DAC significantly outperforms SAC baselines and other state-of-the-art RL algorithms.

Adversarial Attacks on Binary Image Recognition Systems

Eric Balkanski, Harrison Chase, Kojin Oshiba, Alexander Rilee, Yaron Singer, Richard Wang

We initiate the study of adversarial attacks on models for binary (i.e. black an d white) image classification. Although there has been a great deal of work on a ttacking models for colored and grayscale images, little is known about attacks on models for binary images. Models trained to classify binary images are used in text recognition applications such as check processing, license plate recognition, invoice processing, and many others. In contrast to colored and grayscale is mages, the search space of attacks on binary images is extremely restricted and noise cannot be hidden with minor perturbations in each pixel. Thus, the optimiz ation landscape of attacks on binary images introduces new fundamental challenges.

In this paper we introduce a new attack algorithm called Scar, designed to fool classifiers of binary images. We show that Scar significantly outperforms existi ng LO attacks applied to the binary setting and use it to demonstrate the vulner ability of real-world text recognition systems. Scar's strong performance in pra ctice contrasts with hardness results that show the existence of worst-case clas sifiers for binary images that are robust to large perturbations. In many cases, altering a single pixel is sufficient to trick Tesseract, a popular open-source text recognition system, to misclassify a word as a different word in the Engli sh dictionary. We also demonstrate the vulnerability of check recognition by foo ling commercial check processing systems used by major US banks for mobile depos its. These systems are substantially harder to fool since they classify both the handwritten amounts in digits and letters, independently. Nevertheless, we gene ralize Scar to design attacks that fool state-of-the-art check processing system s using unnoticeable perturbations that lead to misclassification of deposit amo unts. Consequently, this is a powerful method to perform financial fraud. 

Dissecting graph measures performance for node clustering in LFR parameter space Vladimir Ivashkin, Pavel Chebotarev

Graph measures can be used for graph node clustering using metric clustering alg orithms. There are multiple measures applicable to this task, and which one performs better is an open question. We study the performance of 25 graph measures o

n generated graphs with different parameters. While usually measure comparisons are limited to general measure ranking on a particular dataset, we aim to explor e the performance of various measures depending on graph features. Using an LFR generator, we create a dataset of ~7500 graphs covering the whole LFR parameter space. For each graph, we assess the quality of clustering with k-means algorith m for every considered measure. We determine the best measure for every area of the parameter space. We find that the parameter space consists of distinct zones where one particular measure is the best. We analyze the geometry of the result ing zones and describe it with simple criteria. Given particular graph parameter s, this allows us to choose the best measure to use for clustering.

Learning Parametrised Graph Shift Operators

George Dasoulas, Johannes F. Lutzeyer, Michalis Vazirgiannis

In many domains data is currently represented as graphs and therefore, the graph representation of this data becomes increasingly important in machine learning. Network data is, implicitly or explicitly, always represented using a graph shi ft operator (GSO) with the most common choices being the adjacency, Laplacian ma trices and their normalisations. In this paper, a novel parametrised GSO (PGSO) is proposed, where specific parameter values result in the most commonly used GS Os and message-passing operators in graph neural network (GNN) frameworks. The P GSO is suggested as a replacement of the standard GSOs that are used in state-of -the-art GNN architectures and the optimisation of the PGSO parameters is seamle ssly included in the model training. It is proved that the PGSO has real eigenva lues and a set of real eigenvectors independent of the parameter values and spec tral bounds on the PGSO are derived. PGSO parameters are shown to adapt to the s parsity of the graph structure in a study on stochastic blockmodel networks, whe re they are found to automatically replicate the GSO regularisation found in the literature. On several real-world datasets the accuracy of state-of-the-art GNN architectures is improved by the inclusion of the PGSO in both node- and graphclassification tasks.

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An empirical study of a pruning mechanism

Minju Jung, Hyounguk Shon, Eojindl Yi, SungHyun Baek, Junmo Kim

Many methods aim to prune neural network to the maximum extent. However, there a re few studies that investigate the pruning mechanism. In this work, we empirica lly investigate a standard framework for network pruning: pretraining large netw ork and then pruning and retraining it. The framework has been commonly used bas ed on heuristics, i.e., finding a good minima with a large network (pretraining phase) and retaining it with careful pruning and retraining (pruning and retrain ing phase). For the pretraining phase, the reason for which the large network is required to achieve good performance is examined. We hypothesize that this migh t come from the network relying on only a portion of its weights when trained fr om scratch. This way of weight utilization is referred to as imbalanced utility. The measures for weight utility and utility imbalance are proposed. We investig ate the cause of the utility imbalance and the characteristics of the weight uti lity. For the pruning and retraining phase, whether the pruned-and-retrained net work benefits from the pretrained network indded is examined. We visualize the a ccuracy surface of the pretrained, pruned and retrained networks and investigate the relation between them. The validation accuracy is also interpreted in assoc iation with the surface.

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Augmented Sliced Wasserstein Distances

Xiongjie Chen, Yongxin Yang, Yunpeng Li

While theoretically appealing, the application of the Wasserstein distance to la

rge-scale machine learning problems has been hampered by its prohibitive computa tional cost. The sliced Wasserstein distance and its variants improve the comput ational efficiency through random projection, yet they suffer from low projectio n efficiency because the majority of projections result in trivially small value s. In this work, we propose a new family of distance metrics, called augmented s liced Wasserstein distances (ASWDs), constructed by first mapping samples to hig

her-dimensional hypersurfaces parameterized by neural networks. It is derived fr om a key observation that (random) linear projections of samples residing on the se hypersurfaces would translate to much more flexible nonlinear projections in the original sample space, so they can capture complex structures of the data di stribution. We show that the hypersurfaces can be optimized by gradient ascent e fficiently. We provide the condition under which the ASWD is a valid metric and show that this can be obtained by an injective neural network architecture. Nume rical results demonstrate that the ASWD significantly outperforms other Wasserst ein variants for both synthetic and real-world problems.

News-Driven Stock Prediction Using Noisy Equity State Representation

Xiao Liu, Heyan Huang, Yue Zhang

News-driven stock prediction investigates the correlation between news events an d stock price movements.

Previous work has considered effective ways for representing news events and the ir sequences, but rarely exploited the representation of underlying equity state s.

We address this issue by making use of a recurrent neural network to represent a n equity state transition sequence, integrating news representation using contex tualized embeddings as inputs to the state transition mechanism.

Thanks to the separation of news and equity representations, our model can accom modate additional input factors.

We design a novel random noise factor for modeling influencing factors beyond news events, and a future event factor to address the delay of news information (e.g., insider trading).

Results show that the proposed model outperforms strong baselines in the literature.

Equivariant Normalizing Flows for Point Processes and Sets Marin Biloš, Stephan Günnemann

A point process describes how random sets of exchangeable points are generated. The points usually influence the positions of each other via attractive and repulsive forces. To model this behavior, it is enough to transform the samples from the uniform process with a sufficiently complex equivariant function. However, learning the parameters of the resulting process is challenging since the likeli hood is hard to estimate and often intractable. This leads us to our proposed model - CONFET. Based on continuous normalizing flows, it allows arbitrary interactions between points while having tractable likelihood. Experiments on various real and synthetic datasets show the improved performance of our new scalable approach.

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Inverse Constrained Reinforcement Learning

Shehryar Malik, Usman Anwar, Alireza Aghasi, Ali Ahmed

Standard reinforcement learning (RL) algorithms train agents to maximize given r eward functions. However, many real-world applications of RL require agents to a lso satisfy certain constraints which may, for example, be motivated by safety c oncerns. Constrained RL algorithms approach this problem by training agents to m aximize given reward functions while respecting \textit{explicitly} defined cons traints. However, in many cases, manually designing accurate constraints is a ch allenging task. In this work, given a reward function and a set of demonstration s from an expert that maximizes this reward function while respecting \textit{un known} constraints, we propose a framework to learn the most likely constraints that the expert respects. We then train agents to maximize the given reward function subject to the learned constraints. Previous works in this regard have eith er mainly been restricted to tabular settings or specific types of constraints or assume knowledge of transition dynamics of the environment. In contrast, we empirically show that our framework is able to learn arbitrary \textit{Markovian} constraints in high-dimensions in a model-free setting.

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Evaluating Robustness of Predictive Uncertainty Estimation: Are Dirichlet-based

Models Reliable?

Anna-Kathrin Kopetzki, Bertrand Charpentier, Daniel Zügner, Sandhya Giri, Stephan Gü

Robustness to adversarial perturbations and accurate uncertainty estimation are crucial for reliable application of deep learning in real world settings. Dirich let-based uncertainty (DBU) models are a family of models that predict the param eters of a Dirichlet distribution (instead of a categorical one) and promise to signal when not to trust their predictions. Untrustworthy predictions are obtain ed on unknown or ambiguous samples and marked with a high uncertainty by the mod els.

In this work, we show that DBU models with standard training are not robust w.r. t. three important tasks in the field of uncertainty estimation. First, we evalu ate how useful the uncertainty estimates are to (1) indicate correctly classified samples. Our results show that while they are a good indicator on unperturbed data, performance on perturbed data decreases dramatically. (2) We evaluate if uncertainty estimates are able to detect adversarial examples that try to fool classification. It turns out that uncertainty estimates are able to detect FGSM at tacks but not able to detect PGD attacks. We further evaluate the reliability of DBU models on the task of (3) distinguishing between in-distribution (ID) and out-of-distribution (OOD) data. To this end, we present the first study of certifiable robustness for DBU models. Furthermore, we propose novel uncertainty attacks that fool models into assigning high confidence to OOD data and low confidence to ID data, respectively.

Both approaches show that detecting OOD samples and distinguishing between ID-da ta and OOD-data is not robust.

Based on our results, we explore the first approaches to make DBU models more ro bust. We use adversarial training procedures based on label attacks, uncertainty attacks, or random noise and demonstrate how they affect robustness of DBU mode ls on ID data and OOD data.

CoLES: Contrastive learning for event sequences with self-supervision Dmitrii Babaev, Nikita Ovsov, Ivan A Kireev, Gleb Gusev, Maria Ivanova, Alexander Tuz hilin

We address the problem of self-supervised learning on discrete event sequences g enerated by real-world users. Self-supervised learning incorporates complex info rmation from the raw data in low-dimensional fixed-length vector representations that could be easily applied in various downstream machine learning tasks. In t his paper, we propose a new method CoLES, which adopts contrastive learning, pre viously used for audio and computer vision domains, to the discrete event sequen ces domain in a self-supervised setting. Unlike most previous studies, we theore tically justify under mild conditions that the augmentation method underlying Co LES provides representative samples of discrete event sequences. We evaluated Co LES on several public datasets and showed that CoLES representations consistently outperform other methods on different downstream tasks.

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Unlearnable Examples: Making Personal Data Unexploitable Hanxun Huang, Xingjun Ma, Sarah Monazam Erfani, James Bailey, Yisen Wang

The volume of "free" data on the internet has been key to the current success of deep learning. However, it also raises privacy concerns about the unauthorized exploitation of personal data for training commercial models. It is thus crucial to develop methods to prevent unauthorized data exploitation. This paper raises the question: can data be made unlearnable for deep learning models? We present a type of error-minimizing noise that can indeed make training examples unlearn able. Error-minimizing noise is intentionally generated to reduce the error of o ne or more of the training example(s) close to zero, which can trick the model i nto believing there is "nothing" to learn from these example(s). The noise is re stricted to be imperceptible to human eyes, and thus does not affect normal data

utility. We empirically verify the effectiveness of error-minimizing noise in b oth sample-wise and class-wise forms. We also demonstrate its flexibility under extensive experimental settings and practicability in a case study of face recognition. Our work establishes an important **T**rst step towards making personal data unexploitable to deep learning models.

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ChePAN: Constrained Black-Box Uncertainty Modelling with Quantile Regression Axel Brando, Joan Gimeno, Jose Antonio Rodriguez-Serrano, Jordi Vitria Most predictive systems currently in use do not report any useful information fo r auditing their associated uncertainty and evaluating the corresponding risk. T aking it for granted that their replacement may not be advisable in the short te rm, in this paper we propose a novel approach to modelling confidence in such sy stems while preserving their predictions. The method is based on the Chebyshev P olynomial Approximation Network (the ChePAN), a new way of modelling aleatoric u ncertainty in a regression scenario. In the case addressed here, uncertainty is modelled by building conditional quantiles on top of the original pointwise fore casting system considered as a black box, i.e. without making assumptions about its internal structure. Furthermore, the ChePAN allows users to consistently cho ose how to constrain any predicted quantile with respect to the original forecas ter. Experiments show that the proposed method scales to large size data sets an d transfers the advantages of quantile regression to estimating black-box uncert ainty.

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Practical Evaluation of Out-of-Distribution Detection Methods for Image Classification

Engkarat Techapanurak, Takayuki Okatani

We reconsider the evaluation of OOD detection methods for image recognition. Alt hough many studies have been conducted so far to build better OOD detection meth ods, most of them follow Hendrycks and Gimpel's work for the method of experimen tal evaluation. While the unified evaluation method is necessary for a fair comp arison, there is a question of if its choice of tasks and datasets reflect real-world applications and if the evaluation results can generalize to other OOD det ection application scenarios. In this paper, we experimentally evaluate the perf ormance of representative OOD detection methods for three scenarios, i.e., irrel evant input detection, novel class detection, and domain shift detection, on var ious datasets and classification tasks. The results show that differences in scenarios and datasets alter the relative performance among the methods. Our result scan also be used as a guide for practitioners for the selection of OOD detection methods.

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Rao-Blackwellizing the Straight-Through Gumbel-Softmax Gradient Estimator Max B Paulus, Chris J. Maddison, Andreas Krause

Gradient estimation in models with discrete latent variables is a challenging problem, because the simplest unbiased estimators tend to have high variance. To counteract this, modern estimators either introduce bias, rely on multiple function evaluations, or use learned, input-dependent baselines. Thus, there is a need for estimators that require minimal tuning, are computationally cheap, and have low mean squared error. In this paper, we show that the variance of the straigh t-through variant of the popular Gumbel-Softmax estimator can be reduced through Rao-Blackwellization without increasing the number of function evaluations. This provably reduces the mean squared error. We empirically demonstrate that this leads to variance reduction, faster convergence, and generally improved performance in two unsupervised latent variable models.

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Unsupervised Task Clustering for Multi-Task Reinforcement Learning Johannes Ackermann, Oliver Paul Richter, Roger Wattenhofer

Meta-learning, transfer learning and multi-task learning have recently laid a pa th towards more generally applicable reinforcement learning agents that are not limited to a single task. However, most existing approaches implicitly assume a uniform similarity between tasks. We argue that this assumption is limiting in s ettings where the relationship between tasks is unknown a-priori. In his work, we propose a general approach to automatically cluster together similar tasks during training. Our method, inspired by the expectation-maximization algorithm, su complexity. We achieve this by designing an agent with multiple policies. In the expectation step, we evaluate the performance of the policies on all tasks and assign each task to the best performing policy. In the maximization step, each policy trains by sampling tasks from its assigned set. This method is intuitive, simple to implement and orthogonal to other multi-task learning algorithms. We show the generality of our approach by evaluating on simple discrete and continuous control tasks, as well as complex bipedal walker tasks and Atari games. Results show improvements in sample complexity as well as a more general applicability when compared to other approaches.

Symbol-Shift Equivariant Neural Networks

David Salinas, Hady Elsahar

Neural networks have been shown to have poor compositionality abilities: while they can produce sophisticated output given sufficient data, they perform patchy generalization and fail to generalize to new symbols (e.g. switching a name in a sentence by a less frequent one or one not seen yet). In this paper, we define a class of models whose outputs are equivariant to entity permutations (an analog being convolution networks whose outputs are invariant through translation) without requiring to specify or detect entities in a pre-processing step. We then show how two question-answering models can be made robust to entity permutation using a novel differentiable hybrid semantic-symbolic representation. The benefits of this approach are demonstrated on a set of synthetic NLP tasks where sample complexity and generalization are significantly improved even allowing models to generalize to words that are never seen in the training set. When using only 1K training examples for bAbi, we obtain a test error of 1.8% and fail only one task while the best results reported so far obtained an error of 9.9% and failed 7 tasks.

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Drift Detection in Episodic Data: Detect When Your Agent Starts Faltering Ido Greenberg, Shie Mannor

Detection of deterioration of agent performance in dynamic environments is chall enging due to the non-i.i.d nature of the observed performance. We consider an e pisodic framework, where the objective is to detect when an agent begins to falt er. We devise a hypothesis testing procedure for non-i.i.d rewards, which is opt imal under certain conditions. To apply the procedure sequentially in an online manner, we also suggest a novel Bootstrap mechanism for False Alarm Rate control (BFAR). We demonstrate our procedure in problems where the rewards are not inde pendent, nor identically-distributed, nor normally-distributed. The statistical power of the new testing procedure is shown to outperform alternative tests - of ten by orders of magnitude - for a variety of environment modifications (which c ause deterioration in agent performance). Our detection method is entirely exter nal to the agent, and in particular does not require model-based learning. Furth ermore, it can be applied to detect changes or drifts in any episodic signal.

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Structural Landmarking and Interaction Modelling: on Resolution Dilemmas in Graph Classification

h Classification
Kai Zhang, Yaokang Zhu, Jun Wang, Haibin Ling, Jie Zhang, Hongyuan Zha
Graph neural networks are promising architecture for learning and inference wit

Graph neural networks are promising architecture for learning and inference with graph-structured data. However, generating informative graph level features has long been a challenge. Current practice of graph-pooling typically summarizes a graph by squeezing it into a single vector. This may lead to significant loss of predictive, iterpretable structural information, because properties of a com plex system are believed to arise largely from the interaction among its compone nts. In this paper, we analyze the intrinsic difficulty in graph classification under the unified concept of ``"resolution dilemmas" and propose `SLIM, an induc

tive neural network model for Structural Landmarking and Interaction Modelling, to remedy the information loss in graph pooling. We show that, by projecting graphs onto end-to-end optimizable, and well-aligned substructure landmarks (representatives), the resolution dilemmas can be resolved effectively, so that explicit interacting relation between component parts of a graph can be leveraged directly in explaining its complexity and predicting its property. Empirical evaluations, in comparison with state-of-the-art, demonstrate promising results of our approach on a number of benchmark datasets for graph classification.

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Ruminating Word Representations with Random Noise Masking Hwiyeol Jo, Byoung-Tak Zhang

We introduce a training method for better word representation and performance, which we call  $\text{textbf}\{GraVeR\}$  ( $\text{textbf}\{Gra\}$  dual  $\text{textbf}\{Ve\}$  ctor  $\text{textbf}\{R\}$  umination). The method is to gradually and iteratively add random noises and bias to word embeddings after training a model, and re-train the model from scratch but in itialize with the noised word embeddings. Through the re-training process, some noises can be compensated and other noises can be utilized to learn better representations. As a result, we can get word representations further fine-tuned and specialized in the task. On six text classification tasks, our method improves model performances with a large gap. When GraVeR is combined with other regularization techniques, it shows further improvements. Lastly, we investigate the usefulness of GraVeR.

DOP: Off-Policy Multi-Agent Decomposed Policy Gradients

Yihan Wang, Beining Han, Tonghan Wang, Heng Dong, Chongjie Zhang

Multi-agent policy gradient (MAPG) methods recently witness vigorous progress. However, there is a significant performance discrepancy between MAPG methods and state-of-the-art multi-agent value-based approaches. In this paper, we investigate causes that hinder the performance of MAPG algorithms and present a multi-agent decomposed policy gradient method (DOP). This method introduces the idea of value function decomposition into the multi-agent actor-critic framework. Based on this idea, DOP supports efficient off-policy learning and addresses the issue of centralized-decentralized mismatch and credit assignment in both discrete and continuous action spaces. We formally show that DOP critics have sufficient representational capability to guarantee convergence. In addition, empirical evaluations on the StarCraft II micromanagement benchmark and multi-agent particle environments demonstrate that DOP outperforms both state-of-the-art value-based and policy-based multi-agent reinforcement learning algorithms. Demonstrative videos are available at https://sites.google.com/view/dop-mapg/.

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Time-varying Graph Representation Learning via Higher-Order Skip-Gram with Negative Sampling

Simone Piaggesi, André Panisson

Representation learning models for graphs are a successful family of techniques that project nodes into feature spaces that can be exploited by other machine le arning algorithms. Since many real-world networks are inherently dynamic, with i nteractions among nodes changing over time, these techniques can be defined both for static and for time-varying graphs. Here, we show how the skip-gram embedding approach can be used to perform implicit tensor factorization on different tensor representations of time-varying graphs. We show that higher-order skip-gram with negative sampling (HOSGNS) is able to disentangle the role of nodes and time, with a small fraction of the number of parameters needed by other approaches. We empirically evaluate our approach using time-resolved face-to-face proximity data, showing that the learned representations outperform state-of-the-art methods when used to solve downstream tasks such as network reconstruction. Good performance on predicting the outcome of dynamical processes such as disease spreading shows the potential of this new method to estimate contagion risk, providing early risk awareness based on contact tracing data.

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Unsupervised Discovery of 3D Physical Objects from Video Yilun Du, Kevin A. Smith, Tomer Ullman, Joshua B. Tenenbaum, Jiajun Wu

We study the problem of unsupervised physical object discovery. While existing f rameworks aim to decompose scenes into 2D segments based off each object's appea rance, we explore how physics, especially object interactions, facilitates disen tangling of 3D geometry and position of objects from video, in an unsupervised m anner. Drawing inspiration from developmental psychology, our Physical Object Di scovery Network (POD-Net) uses both multi-scale pixel cues and physical motion c ues to accurately segment observable and partially occluded objects of varying s izes, and infer properties of those objects. Our model reliably segments objects on both synthetic and real scenes. The discovered object properties can also be used to reason about physical events.

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NASOA: Towards Faster Task-oriented Online Fine-tuning Hang Xu, Ning Kang, Gengwei Zhang, Xiaodan Liang, Zhenguo Li

Fine-tuning from pre-trained ImageNet models has been a simple, effective, and p opular approach for various computer vision tasks. The common practice of fine-t uning is to adopt a default hyperparameter setting with a fixed pre-trained mode 1, while both of them are not optimized for specific tasks and time constraints. Moreover, in cloud computing or GPU clusters where the tasks arrive sequentiall y in a stream, faster online fine-tuning is a more desired and realistic strateg y for saving money, energy consumption, and CO2 emission. In this paper, we prop ose a joint Neural Architecture Search and Online Adaption framework named NASOA towards a faster task-oriented fine-tuning upon the request of users. Specifica lly, NASOA first adopts an offline NAS to identify a group of training-efficient networks to form a pretrained model zoo. We propose a novel joint block and mac ro-level search space to enable a flexible and efficient search. Then, by estima ting fine-tuning performance via an adaptive model by accumulating experience fr om the past tasks, an online schedule generator is proposed to pick up the most suitable model and generate a personalized training regime with respect to each desired task in a one-shot fashion. The resulting model zoo is more training eff icient than SOTA NAS models, e.g. 6x faster than RegNetY-16GF, and 1.7x faster t han EfficientNetB3. Experiments on multiple datasets also show that NASOA achiev es much better fine-tuning results, i.e. improving around 2.1% accuracy than the best performance in RegNet series under various time constraints and tasks; 40x faster compared to the BOHB method.

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Exploring Balanced Feature Spaces for Representation Learning Bingyi Kang, Yu Li, Sa Xie, Zehuan Yuan, Jiashi Feng

Existing self-supervised learning (SSL) methods are mostly applied for training representation models from artificially balanced datasets (e.g., ImageNet). It i s unclear how well they will perform in the practical scenarios where datasets a re often imbalanced w.r.t. the classes. Motivated by this question, we conduct a series of studies on the performance of self-supervised contrastive learning an d supervised learning methods over multiple datasets where training instance dis tributions vary from a balanced one to a long-tailed one. Our findings are quite intriguing. Different from supervised methods with large performance drop, the self-supervised contrastive learning methods perform stably well even when the d atasets are heavily imbalanced. This motivates us to explore the balanced featur e spaces learned by contrastive learning, where the feature representations pres ent similar linear separability w.r.t. all the classes. Our further experiments reveal that a representation model generating a balanced feature space can gener alize better than that yielding an imbalanced one across multiple settings. Insp ired by these insights, we develop a novel representation learning method, calle d \$k\$-positive contrastive learning. It effectively combines strengths of the su pervised method and the contrastive learning method to learn representations tha t are both discriminative and balanced. Extensive experiments demonstrate its su periority on multiple recognition tasks. Remarkably, it achieves new state-of-th e-art on challenging long-tailed recognition benchmarks. Code and models will be released.

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Non-Linear Rewards For Successor Features

Norman L Tasfi, Miriam Capretz

Reinforcement Learning algorithms have reached new heights in performance, often overtaking humans on several challenging tasks such as Atari and Go. However, t he resulting models learn fragile policies that are unable to transfer between t asks without full retraining. Successor features aim to improve this situation b y decomposing the policy into two components: one capturing environmental dynami cs and the other modelling reward. Under this framework, transfer between relate d tasks requires only training the reward component. However, successor features builds upon the limiting assumption that the current reward can be predicted fr om a linear combination of state features. This paper proposes a novel improveme nt to the successor feature framework, where we instead assume that the reward f unction is a non-linear function of the state features, thereby increasing its r epresentational power. After derivation of the new state-action value function, the decomposition includes a second term that learns the auto-correlation matrix between state features. Experimentally, we show this term explicitly models the environment's stochasticity and can also be used in place of \$\epsilon\$-greedy exploration methods during transfer. The performance of the proposed improvement s to the successor feature framework is validated empirically on navigation task s and control of a simulated robotic arm.

Auxiliary Learning by Implicit Differentiation

Aviv Navon, Idan Achituve, Haggai Maron, Gal Chechik, Ethan Fetaya

Training neural networks with auxiliary tasks is a common practice for improving the performance on a main task of interest.

Two main challenges arise in this multi-task learning setting: (i) designing use ful auxiliary tasks; and (ii) combining auxiliary tasks into a single coherent l oss. Here, we propose a novel framework, AuxiLearn, that targets both challenges based on implicit differentiation. First, when useful auxiliaries are known, we propose learning a network that combines all losses into a single coherent objective function. This network can learn non-linear interactions between tasks. Se cond, when no useful auxiliary task is known, we describe how to learn a network that generates a meaningful, novel auxiliary task. We evaluate AuxiLearn in a series of tasks and domains, including image segmentation and learning with attributes in the low data regime, and find that it consistently outperforms competing methods.

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Exploiting Safe Spots in Neural Networks for Preemptive Robustness and Out-of-Distribution Detection

Seungyong Moon, Gaon An, Hyun Oh Song

Recent advances on adversarial defense mainly focus on improving the classifier's robustness against adversarially perturbed inputs. In this paper, we turn our attention from classifiers to inputs and explore if there exist safe spots in the vicinity of natural images that are robust to adversarial attacks. In this regard, we introduce a novel bi-level optimization algorithm that can find safe spots on over 90% of the correctly classified images for adversarially trained classifiers on CIFAR-10 and ImageNet datasets. Our experiments also show that they can be used to improve both the empirical and certified robustness on smoothed classifiers. Furthermore, by exploiting a novel safe spot inducing model training scheme and our safe spot generation method, we propose a new out-of-distribution detection algorithm which achieves the state of the art results on near-distribution outliers.

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Gradient Descent Ascent for Min-Max Problems on Riemannian Manifolds Feihu Huang, Shangqian Gao, Heng Huang

In the paper, we study a class of useful non-convex minimax optimization problem s on Riemanian manifolds and propose a class of Riemanian gradient descent ascent algorithms to solve these minimax problems. Specifically, we propose a new Riemannian gradient descent ascent (RGDA) algorithm for the deterministic minima

x optimization.

Moreover, we prove that the RGDA has a sample complexity of  $O(\kappa^2)$  for finding an  $\gamma$  point of the nonconvex strongly-conc ave minimax problems, where  $\alpha$  denotes the condition number.

At the same time, we introduce a Riemannian stochastic gradient descent ascent (RSGDA) algorithm for the stochastic minimax optimization. In the theoretical analysis, we prove that the RSGDA can achieve a sample complexity of  $O(\kappa^4 \exp^4 \sec^4 \sin^4 \sec^4 \sec^4)$ .

To further reduce the sample complexity, we propose a novel momentum variance-re duced Riemannian stochastic gradient descent ascent (MVR-RSGDA) algorithm based on a new momentum variance-reduced technique of STORM. We prove that the MVR-RSG DA algorithm achieves a lower sample complexity of  $\frac{0}{\sqrt{9}}$  without large batches, which reaches near the best known sample complexity for its Euclidean counterparts. Extensive experimental results on the robust deep neural networks training over Stiefel manifold demonstrate the efficiency of our proposed algorithms.

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ProxylessKD: Direct Knowledge Distillation with Inherited Classifier for Face Re cognition

Weidong Shi, Guanghui Ren, Yunpeng Chen, Shuicheng Yan

Knowledge Distillation (KD) refers to transferring knowledge from a large model to a smaller one, which is widely used to enhance model performance in machine 1 earning. It tries to align embedding spaces generated from the teacher and the s tudent model (i.e. to make images corresponding to the same semantics share the same embedding across different models). In this work, we focus on its applicati on in face recognition. We observe that existing knowledge distillation models o ptimize the proxy tasks that force the student to mimic the teacher's behavior, instead of directly optimizing the face recognition accuracy. Consequently, the obtained student models are not guaranteed to be optimal on the target task or a ble to benefit from advanced constraints, such as the large margin constraint (e .q. margin-based softmax). We then propose a novel method named ProxylessKD tha t directly optimizes face recognition accuracy by inheriting the teacher's class ifier as the student's classifier to guide the student to learn discriminative e mbeddings in the teacher's embedding space. The proposed ProxylessKD is very eas y to implement and sufficiently generic to be extended to other tasks beyond fac e recognition. We conduct extensive experiments on standard face recognition be nchmarks,

and the results demonstrate that ProxylessKD achieves superior performance over existing knowledge distillation methods.

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On the Bottleneck of Graph Neural Networks and its Practical Implications Uri Alon, Eran Yahav

Since the proposal of the graph neural network (GNN) by Gori et al. (2005) and S carselli et al. (2008), one of the major problems in training GNNs was their struggle to propagate information between distant nodes in the graph.

We propose a new explanation for this problem: GNNs are susceptible to a bottlen eck when aggregating messages across a long path. This bottleneck causes the ove r-squashing of exponentially growing information into fixed-size vectors.

As a result, GNNs fail to propagate messages originating from distant nodes and perform poorly when the prediction task depends on long-range interaction.

In this paper, we highlight the inherent problem of over-squashing in GNNs:

we demonstrate that the bottleneck hinders popular GNNs from fitting long-range signals in the training data;

we further show that GNNs that absorb incoming edges equally, such as GCN and GI N, are more susceptible to over-squashing than GAT and GGNN;

finally, we show that prior work, which extensively tuned GNN models of long-ran ge problems, suffers from over-squashing, and that breaking the bottleneck improves their state-of-the-art results without any tuning or additional weights.

Our code is available at https://github.com/tech-srl/bottleneck/ .

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Progressively Stacking 2.0: A Multi-stage Layerwise Training Method for BERT Training Speedup

Cheng Yang, Shengnan Wang, Chao Yang, Yuechuan Li, Ru He, Jingqiao Zhang

Pre-trained language models, such as BERT, have achieved significant accuracy ga in in many natural language processing tasks. Despite its effectiveness, the hug e number of parameters makes training a BERT model computationally very challen In this paper, we propose an efficient multi-stage layerwise training (MS LT) approach to reduce the training time of BERT. We decompose the whole traini ng process into several stages. The training is started from a small model with only a few encoder layers and we gradually increase the depth of the model by ad ding new encoder layers. At each stage, we only train the top (near the output layer) few encoder layers which are newly added. The parameters of the other la yers which have been trained in the previous stages will not be updated in the c urrent stage. In BERT training, the backward calculation is much more time-cons uming than the forward calculation, especially in the distributed training setti ng in which the backward calculation time further includes the communication tim e for gradient synchronization. In the proposed training strategy, only top fe w layers participate backward calculation, while most layers only participat e forward calculation. Hence both the computation and communication efficienci es are greatly improved. Experimental results show that the proposed method can greatly reduce the training time without significant performance degradation.

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Bayesian Neural Networks with Variance Propagation for Uncertainty Evaluation Yuki Mae, Wataru Kumagai, Takafumi Kanamori

Uncertainty evaluation is a core technique when deep neural networks (DNNs) are used in real-world problems. In practical applications, we often encounter unexp ected samples that have not seen in the training process. Not only achieving the high-prediction accuracy but also detecting uncertain data is significant for s afety-critical systems. In statistics and machine learning, Bayesian inference h as been exploited for uncertainty evaluation. The Bayesian neural networks (BNNs ) have recently attracted considerable attention in this context, as the DNN tra ined using dropout is interpreted as a Bayesian method. Based on this interpreta tion, several methods to calculate the Bayes predictive distribution for DNNs ha ve been developed. Though the Monte-Carlo method called MC dropout is a popular method for uncertainty evaluation, it requires a number of repeated feed-forward calculations of DNNs with randomly sampled weight parameters. To overcome the c omputational issue, we propose a sampling-free method to evaluate uncertainty. O ur method converts a neural network trained using the dropout to the correspondi ng Bayesian neural network with variance propagation. Our method is available no t only to feed-forward NNs but also to recurrent NNs including LSTM. We report t he computational efficiency and statistical reliability of our method in numeric al experiments of the language modeling using RNNs, and the out-of-distribution detection with DNNs.

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Adaptive Multi-model Fusion Learning for Sparse-Reward Reinforcement Learning Giseung Park, Whiyoung Jung, Sungho Choi, Youngchul Sung

In this paper, we consider intrinsic reward generation for sparse-reward reinfor cement learning based on model prediction errors. In typical model-prediction-er ror-based intrinsic reward generation, an agent has a learning model for the und erlying environment. Then intrinsic reward is designed as the error between the model prediction and the actual outcome of the environment, based on the fact th at for less-visited or non-visited states, the learned model yields larger prediction errors, promoting exploration helpful for reinforcement learning. This paper generalizes this model-prediction-error-based intrinsic reward generation met hod to multiple prediction models. We propose a new adaptive fusion method relevant to the multiple-model case, which learns optimal prediction-error fusion across the learning phase to enhance the overall learning performance. Numerical results show that for representative locomotion tasks, the proposed intrinsic reward generation method outperforms most of the previous methods, and the gain is significant in some tasks.

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The Role of Momentum Parameters in the Optimal Convergence of Adaptive Polyak's Heavy-ball Methods

Wei Tao, Sheng Long, Gaowei Wu, Qing Tao

The adaptive stochastic gradient descent (SGD) with momentum has been widely ado pted in deep learning as well as convex optimization. In practice, the last iter ate is commonly used as the final solution. However, the available regret analys is and the setting of constant momentum parameters only guarantee the optimal co nvergence of the averaged solution. In this paper, we fill this theory-practice gap by investigating the convergence of the last iterate (referred to as {\it in dividual convergence )), which is a more difficult task than convergence analysis of the averaged solution. Specifically, in the constrained convex cases, we pro ve that the adaptive Polyak's Heavy-ball (HB) method, in which the step size is only updated using the exponential moving average strategy, attains an individua 1 convergence rate of  $0(\frac{1}{\sqrt{t}})$ , as opposed to that of  $0(\frac{1}{t})$ og  $t_{\sqrt{t}}$  of SGD, where  $t_{\sqrt{t}}$  is the number of iterations. Our new analys is not only shows how the HB momentum and its time-varying weight help us to ach ieve the acceleration in convex optimization but also gives valuable hints how t he momentum parameters should be scheduled in deep learning. Empirical results v alidate the correctness of our convergence analysis in optimizing convex functio ns and demonstrate the improved performance of the adaptive HB methods in traini ng deep networks.

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How Benign is Benign Overfitting ?

Amartya Sanyal, Puneet K. Dokania, Varun Kanade, Philip Torr

We investigate two causes for adversarial vulnerability in deep neural networks: bad data and (poorly) trained models. When trained with SGD, deep neural networks essentially achieve zero training error, even in the presence of label noise, while also exhibiting good generalization on natural test data, something refer red to as benign overfitting (Bartlett et al., 2020; Chatterji & Long, 2020). However, these models are vulnerable to adversarial attacks. We identify label no ise as one of the causes for adversarial vulnerability, and provide theoretical and empirical evidence in support of this. Surprisingly, we find several instances of label noise in datasets such as MNIST and CIFAR, and that robustly trained models incur training error on some of these, i.e. they don't fit the noise. However, removing noisy labels alone does not suffice to achieve adversarial robus tness. We conjecture that in part sub-optimal representation learning is also responsible for adversarial vulnerability. By means of simple theoretical setups, we show how the choice of representation can drastically affect adversarial robustness.

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Entropic gradient descent algorithms and wide flat minima

Fabrizio Pittorino, Carlo Lucibello, Christoph Feinauer, Gabriele Perugini, Carlo Baldassi, Elizaveta Demyanenko, Riccardo Zecchina

The properties of flat minima in the empirical risk landscape of neural networks have been debated for some time. Increasing evidence suggests they possess bett er generalization capabilities with respect to sharp ones. In this work we first discuss the relationship between alternative measures of flatness: The local en tropy, which is useful for analysis and algorithm development, and the local energy, which is easier to compute and was shown empirically in extensive tests on state-of-the-art networks to be the best predictor of generalization capabilities. We show semi-analytically in simple controlled scenarios that these two measures correlate strongly with each other and with generalization. Then, we extend the analysis to the deep learning scenario by extensive numerical validations. We study two algorithms, Entropy-SGD and Replicated-SGD, that explicitly include the local entropy in the optimization objective. We devise a training schedule by which we consistently find flatter minima (using both flatness measures), and improve the generalization error for common architectures (e.g. ResNet, Efficien tNet).

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Variational Dynamic Mixtures

Chen Qiu, Stephan Mandt, Maja Rudolph

Deep probabilistic time series forecasting models have become an integral part of machine learning. While several powerful generative models have been proposed, we provide evidence that their associated inference models are oftentimes too limited and cause the generative model to predict mode-averaged dynamics. Mode-averaging is problematic since many real-world sequences are highly multi-modal, and their averaged dynamics are unphysical (e.g., predicted taxi trajectories might run through buildings on the street map). To better capture multi-modality, we develop variational dynamic mixtures (VDM): a new variational family to infer sequential latent variables. The VDM approximate posterior at each time step is a mixture density network, whose parameters come from propagating multiple samples through a recurrent architecture. This results in an expressive multi-modal posterior approximation. In an empirical study, we show that VDM outperforms competing approaches on highly multi-modal datasets from different domains.

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Abductive Knowledge Induction from Raw Data

Wang-Zhou Dai, Stephen Muggleton

For many reasoning-heavy tasks, it is challenging to find an appropriate end-toend differentiable approximation to domain-specific inference mechanisms. Neural -Symbolic (NeSy) AI divides the end-to-end pipeline into neural perception and s ymbolic reasoning, which can directly exploit general domain knowledge such as a lgorithms and logic rules. However, it suffers from the exponential computationa 1 complexity caused by the interface between the two components, where the neura 1 model lacks direct supervision, and the symbolic model lacks accurate input fa cts. As a result, they usually focus on learning the neural model with a sound a nd complete symbolic knowledge base while avoiding a crucial problem: where does the knowledge come from? In this paper, we present Abductive Meta-Interpretive Learning (\$Meta\_{Abd}\$), which unites abduction and induction to learn perceptua l neural network and first-order logic theories simultaneously from raw data. Gi ven the same amount of domain knowledge, we demonstrate that \$Meta {Abd}\$ not on ly outperforms the compared end-to-end models in predictive accuracy and data ef ficiency but also induces logic programs that can be re-used as background knowl edge in subsequent learning tasks. To the best of our knowledge, \$Meta\_{Abd}\$ is the first system that can jointly learn neural networks and recursive first-ord er logic theories with predicate invention.

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Learning Predictive Communication by Imagination in Networked System Control Yali Du, Yifan Zhao, Meng Fang, Jun Wang, Gangyan Xu, Haifeng Zhang

Dealing with multi-agent control in networked systems is one of the biggest chal lenges in Reinforcement Learning (RL) and limited success has been presented com pared to recent deep reinforcement learning in single-agent domain. However, obstacles remain in addressing the delayed global information where each agent lear ns a decentralized control policy based on local observations and messages from connected neighbors. This paper first considers delayed global information sharing by combining the delayed global information and latent imagination of farsigh ted states in differentiable communication. Our model allows an agent to imagine its future states and communicate that with its neighbors. The predictive message sent to the connected neighbors reduces the delay in global information. On the tasks of networked multi-agent traffic control, experimental results show that our model helps stabilize the training of each local agent and outperforms existing algorithms for networked system control.

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Signed Graph Diffusion Network

Jinhong Jung, Jaemin Yoo, U Kang

Given a signed social graph, how can we learn appropriate node representations to infer the signs of missing edges?

Signed social graphs have received considerable attention to model trust relationships.

Learning node representations is crucial to effectively analyze graph data, and

various techniques such as network embedding and graph convolutional network (GC N) have been proposed for learning signed graphs.

However, traditional network embedding methods are not end-to-end for a specific task such as link sign prediction, and GCN-based methods suffer from a performa nce degradation problem when their depth increases.

In this paper, we propose Signed Graph Diffusion Network (SGDNet), a novel graph neural network that achieves end-to-end node representation learning for link s ign prediction in signed social graphs.

We propose a random walk technique specially designed for signed graphs so that SGDNet effectively diffuses hidden node features.

Through extensive experiments, we demonstrate that SGDNet outperforms state-of-t he-art models in terms of link sign prediction accuracy.

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Explicit Connection Distillation

Lujun Li, Yikai Wang, Anbang Yao, Yi Qian, Xiao Zhou, Ke He

One effective way to ease the deployment of deep neural networks on resource con strained devices is Knowledge Distillation (KD), which boosts the accuracy of a low-capacity student model by mimicking the learnt information of a high-capacit y teacher (either a single model or a multi-model ensemble). Although great prog ress has been attained on KD research, existing efforts are primarily invested t o design better distillation losses by using soft logits or intermediate feature representations of the teacher as the extra supervision. In this paper, we pres ent Explicit Connection Distillation (ECD), a new KD framework, which addresses the knowledge distillation problem in a novel perspective of bridging dense inte rmediate feature connections between a student network and its corresponding tea cher generated automatically in the training, achieving knowledge transfer goal via direct cross-network layer-to-layer gradients propagation. ECD has two inter dependent modules. In the first module, given a student network, an auxiliary te acher architecture is temporarily generated conditioned on strengthening feature representations of basic convolutions of the student network via replacing them with dynamic additive convolutions and keeping the other layers unchanged in st ructure. The teacher generated in this way guarantees its superior capacity and makes a perfect feature alignment (both in input and output dimensions) to the s tudent at every convolutional layer. In the second module, dense feature connect ions between the aligned convolutional layers from the student to its auxiliary teacher are introduced, which allows explicit layer-to-layer gradients propagati on from the teacher to the student via the merged model training from scratch. I ntriguingly, as feature connection direction is one-way, all feature connections together with the auxiliary teacher merely exist during training phase. Experim ents on popular image classification tasks validate the effectiveness of our met hod. Code will be made publicly available.

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ADIS-GAN: Affine Disentangled GAN

Letao Liu, Martin Saerbeck, Justin Dauwels

This paper proposes Affine Disentangled GAN (ADIS-GAN), which is a Generative Ad versarial Network that can explicitly disentangle affine transformations in a se lf-supervised and rigorous manner. The objective is inspired by InfoGAN, where an additional affine regularizer acts as the inductive bias. The affine regular izer is rooted in the affine transformation properties of images, changing some properties of the underlying images, while leaving all other properties invarian t. We derive the affine regularizer by decomposing the affine matrix into separa te transformation matrices and inferring the transformation parameters by maximu m-likelihood estimation. Unlike the disentangled representations learned by exi sting approaches, the features learned by ADIS-GAN are axis-aligned and scalable, where transformations such as rotation, horizontal and vertical zoom, horizont all and vertical skew, horizontal and vertical translation can be explicitly selected and learned. ADIS-GAN successfully disentangles these features on the MNIS T, CelebA, and dSprites datasets.

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SEDONA: Search for Decoupled Neural Networks toward Greedy Block-wise Learning

Myeongjang Pyeon, Jihwan Moon, Taeyoung Hahn, Gunhee Kim

Backward locking and update locking are well-known sources of inefficiency in ba ckpropagation that prevent from concurrently updating layers. Several works have recently suggested using local error signals to train network blocks asynchrono usly to overcome these limitations. However, they often require numerous iterations of trial-and-error to find the best configuration for local training, including how to decouple network blocks and which auxiliary networks to use for each block. In this work, we propose a differentiable search algorithm named SEDONA to automate this process. Experimental results show that our algorithm can consistently discover transferable decoupled architectures for VGG and ResNet variants, and significantly outperforms the ones trained with end-to-end backpropagation and other state-of-the-art greedy-leaning methods in CIFAR-10, Tiny-ImageNet and ImageNet.

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Coupled Oscillatory Recurrent Neural Network (coRNN): An accurate and (gradient) stable architecture for learning long time dependencies

T. Konstantin Rusch, Siddhartha Mishra

Circuits of biological neurons, such as in the functional parts of the brain can be modeled as networks of coupled oscillators. Inspired by the ability of these systems to express a rich set of outputs while keeping (gradients of) state var iables bounded, we propose a novel architecture for recurrent neural networks. O ur proposed RNN is based on a time-discretization of a system of second-order or dinary differential equations, modeling networks of controlled nonlinear oscilla tors. We prove precise bounds on the gradients of the hidden states, leading to the mitigation of the exploding and vanishing gradient problem for this RNN. Exp eriments show that the proposed RNN is comparable in performance to the state of the art on a variety of benchmarks, demonstrating the potential of this archite cture to provide stable and accurate RNNs for processing complex sequential data

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MVP: Multivariate polynomials for conditional generation Grigorios Chrysos, Yannis Panagakis

Conditional Generative Adversarial Nets (cGANs) have been widely adopted for ima ge generation. cGANs take i) a noise vector and ii) a conditional variable as i nput. The conditional variable can be discrete (e.g., a class label) or continuo us (e.g., an input image) resulting into class-conditional (image) generation an d image-to-image translation models, respectively. However, depending on whether the conditional variable is discrete or continuous, various cGANs employ substa ntially different deep architectures and loss functions for their training. In t his paper, we propose a novel framework, called MVP, for conditional data genera tion. MVP resorts to multivariate polynomials of higher-order and treats in a un ified way both discrete and continuous conditional variables. MVP is highly expr essive, capturing higher-order auto- and cross-correlations of input variables ( noise vector and conditional variable). Tailored sharing schemes are designed be tween the polynomial's parameter tensors, which result in simple recursive formu las. MVP can synthesize realistic images in both class-conditional and image-toimage translation tasks even in the absence of activation functions between the layers.

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not-MIWAE: Deep Generative Modelling with Missing not at Random Data Niels Bruun Ipsen, Pierre-Alexandre Mattei, Jes Frellsen

When a missing process depends on the missing values themselves, it needs to be explicitly modelled and taken into account while doing likelihood-based inference. We present an approach for building and fitting deep latent variable models (DLVMs) in cases where the missing process is dependent on the missing data. Specifically, a deep neural network enables us to flexibly model the conditional distribution of the missingness pattern given the data. This allows for incorporating prior information about the type of missingness (e.g.~self-censoring) into the model. Our inference technique, based on importance-weighted variational inference, involves maximising a lower bound of the joint likelihood. Stochastic grad

ients of the bound are obtained by using the reparameterisation trick both in la tent space and data space. We show on various kinds of data sets and missingness patterns that explicitly modelling the missing process can be invaluable.

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Reweighting Augmented Samples by Minimizing the Maximal Expected Loss Mingyang Yi, Lu Hou, Lifeng Shang, Xin Jiang, Qun Liu, Zhi-Ming Ma

Data augmentation is an effective technique to improve the generalization of dee p neural networks. However, previous data augmentation methods usually treat the augmented samples equally without considering their individual impacts on the m odel. To address this, for the augmented samples from the same training example, we propose to assign different weights to them. We construct the maximal expect ed loss which is the supremum over any reweighted loss on augmented samples. Ins pired by adversarial training, we minimize this maximal expected loss (MMEL) and obtain a simple and interpretable closed-form solution: more attention should b e paid to augmented samples with large loss values (i.e., harder examples). Mini mizing this maximal expected loss enables the model to perform well under any re weighting strategy. The proposed method can generally be applied on top of any d ata augmentation methods. Experiments are conducted on both natural language und erstanding tasks with token-level data augmentation, and image classification ta sks with commonly-used image augmentation techniques like random crop and horizo ntal flip. Empirical results show that the proposed method improves the generali zation performance of the model.

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Learning to Represent Action Values as a Hypergraph on the Action Vertices Arash Tavakoli, Mehdi Fatemi, Petar Kormushev

Action-value estimation is a critical component of many reinforcement learning ( RL) methods whereby sample complexity relies heavily on how fast a good estimato r for action value can be learned. By viewing this problem through the lens of r epresentation learning, good representations of both state and action can facili tate action-value estimation. While advances in deep learning have seamlessly dr iven progress in learning state representations, given the specificity of the no tion of agency to RL, little attention has been paid to learning action represen tations. We conjecture that leveraging the combinatorial structure of multi-dime nsional action spaces is a key ingredient for learning good representations of a ction. To test this, we set forth the action hypergraph networks framework---a c lass of functions for learning action representations in multi-dimensional discr ete action spaces with a structural inductive bias. Using this framework we real ise an agent class based on a combination with deep Q-networks, which we dub hyp ergraph Q-networks. We show the effectiveness of our approach on a myriad of dom ains: illustrative prediction problems under minimal confounding effects, Atari 2600 games, and discretised physical control benchmarks.

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Local Information Opponent Modelling Using Variational Autoencoders Georgios Papoudakis, Filippos Christianos, Stefano V Albrecht

Modelling the behaviours of other agents (opponents) is essential for understand ing how agents interact and making effective decisions. Existing methods for opponent modelling commonly assume knowledge of the local observations and chosen a ctions of the modelled opponents, which can significantly limit their applicability. We propose a new modelling technique based on variational autoencoders, which are trained to reconstruct the local actions and observations of the opponent based on embeddings which depend only on the local observations of the modelling agent (its observed world state, chosen actions, and received rewards). The embeddings are used to augment the modelling agent's decision policy which is trained via deep reinforcement learning; thus the policy does not require access to opponent observations. We provide a comprehensive evaluation and ablation study in diverse multi-agent tasks, showing that our method achieves comparable performance to an ideal baseline which has full access to opponent's information, and significantly higher returns than a baseline method which does not use the lear ned embeddings.

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Robust Learning Rate Selection for Stochastic Optimization via Splitting Diagnos

Matteo Sordello, Hangfeng He, Weijie J Su

This paper proposes SplitSGD, a new dynamic learning rate schedule for stochastic optimization. This method decreases the learning rate for better adaptation to the local geometry of the objective function whenever a stationary phase is det ected, that is, the iterates are likely to bounce at around a vicinity of a local minimum. The detection is performed by splitting the single thread into two and using the inner product of the gradients from the two threads as a measure of stationarity. Owing to this simple yet provably valid stationarity detection, SplitSGD is easy-to-implement and essentially does not incur additional computational cost than standard SGD. Through a series of extensive experiments, we show that this method is appropriate for both convex problems and training (non-convex) neural networks, with performance compared favorably to other stochastic optimization methods. Importantly, this method is observed to be very robust with a set of default parameters for a wide range of problems and, moreover, yields bet ter generalization performance than other adaptive gradient methods such as Adam

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Quantitative Understanding of VAE as a Non-linearly Scaled Isometric Embedding Akira Nakagawa, Keizo Kato

Variational autoencoder (VAE) estimates the posterior parameters (mean and variance) of latent variables corresponding to each input data. While it is used for many tasks, the transparency of the model is still an underlying issue. This paper provides a quantitative understanding of VAE property by interpreting VAE as a non-linearly scaled isometric embedding. According to the Rate-distortion the ory, the optimal transform coding is achieved by using a PCA-like orthonormal transform where the transform space is isometric to the input. From this analogy, we show theoretically and experimentally that VAE can be mapped to an implicit i sometric embedding with a scale factor derived from the posterior parameter. As a result, we can estimate the data probabilities in the input space from the prior, loss metrics, and corresponding posterior parameters. In addition, the quant itative importance of each latent variable can be evaluated like the eigenvalue of PCA.

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Learning Deeply Shared Filter Bases for Efficient ConvNets Woochul Kang, Daeyeon Kim

Recently, inspired by repetitive block structure of modern ConvNets, such as R esNets, parameter-sharing among repetitive convolution layers has been proposed to reduce the size of parameters. However, naive sharing of convolution filters poses many challenges such as overfitting and vanishing/exploding gradients, res ulting in worse performance than non-shared counterpart models. Furthermore, sha ring parameters often increases computational complexity due to additional opera tions for re-parameterization. In this work, we propose an efficient parameter-s haring structure and an effective training mechanism of deeply shared parameters . In the proposed ConvNet architecture, convolution layers are decomposed into a filter basis, that can be shared recursively, and layer-specific parts. We conj ecture that a shared filter basis combined with a small amount of layer-specific parameters can retain, or further enhance, the representation power of individu al layers, if a proper training method is applied. We show both theoretically an d empirically that potential vanishing/exploding gradients problems can be mitig ated by enforcing orthogonality to the shared filter bases. Experimental results demonstrate that our scheme effectively reduces redundancy by saving up to 63.8 % of parameters while consistently outperforming non-shared counterpart networks even when a filter basis is deeply shared by up to 10 repetitive convolution la

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Towards Practical Second Order Optimization for Deep Learning Rohan Anil, Vineet Gupta, Tomer Koren, Kevin Regan, Yoram Singer

Optimization in machine learning, both theoretical and applied, is presently dom inated by first-order gradient methods such as stochastic gradient descent. Second-order optimization methods, that involve second derivatives and/or second ord er statistics of the data, are far less prevalent despite strong theoretical properties, due to their prohibitive computation, memory and communication costs.

In an attempt to bridge this gap between theoretical and practical optimization , we present a scalable implementation of a second-order preconditioned method ( concretely, a variant of full-matrix Adagrad), that along with several critical algorithmic and numerical improvements, provides significant convergence and wal l-clock time improvements compared to conventional first-order methods on state-of-the-art deep models. Our novel design effectively utilizes the prevalent hete rogeneous hardware architecture for training deep models, consisting of a multic ore CPU coupled with multiple accelerator units. We demonstrate superior perform ance compared to state-of-the-art on very large learning tasks such as machine t ranslation with Transformers, language modeling with BERT, click-through rate prediction on Criteo, and image classification on ImageNet with ResNet-50.

Autoregressive Entity Retrieval

Nicola De Cao, Gautier Izacard, Sebastian Riedel, Fabio Petroni

Entities are at the center of how we represent and aggregate knowledge. For inst ance, Encyclopedias such as Wikipedia are structured by entities (e.g., one per Wikipedia article). The ability to retrieve such entities given a query is funda mental for knowledge-intensive tasks such as entity linking and open-domain ques tion answering. One way to understand current approaches is as classifiers among atomic labels, one for each entity. Their weight vectors are dense entity repre sentations produced by encoding entity meta information such as their description ns. This approach leads to several shortcomings: (i) context and entity affinity is mainly captured through a vector dot product, potentially missing fine-grain ed interactions between the two; (ii) a large memory footprint is needed to stor e dense representations when considering large entity sets; (iii) an appropriate ly hard set of negative data has to be subsampled at training time. In this work , we propose GENRE, the first system that retrieves entities by generating their unique names, left to right, token-by-token in an autoregressive fashion and co nditioned on the context. This enables us to mitigate the aforementioned technic al issues since: (i) the autoregressive formulation allows us to directly captur e relations between context and entity name, effectively cross encoding both; (i i) the memory footprint is greatly reduced because the parameters of our encoder -decoder architecture scale with vocabulary size, not entity count; (iii) the ex act softmax loss can be efficiently computed without the need to subsample negat ive data. We show the efficacy of the approach, experimenting with more than 20 datasets on entity disambiguation, end-to-end entity linking and document retrie val tasks, achieving new state-of-the-art or very competitive results while usin g a tiny fraction of the memory footprint of competing systems. Finally, we demo nstrate that new entities can be added by simply specifying their unambiguous na me. Code and pre-trained models at https://github.com/facebookresearch/GENRE.

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Shapley Explanation Networks

Rui Wang, Xiaoqian Wang, David I. Inouye

Shapley values have become one of the most popular feature attribution explanati on methods. However, most prior work has focused on post-hoc Shapley explanation s, which can be computationally demanding due to its exponential time complexity and preclude model regularization based on Shapley explanations during training . Thus, we propose to incorporate Shapley values themselves as latent representa tions in deep models thereby making Shapley explanations first-class citizens in the modeling paradigm. This intrinsic explanation approach enables layer-wise explanations, explanation regularization of the model during training, and fast explanation computation at test time. We define the Shapley transform that trans forms the input into a Shapley representation given a specific function. We oper ationalize the Shapley transform as a neural network module and construct both s hallow and deep networks, called ShapNets, by composing Shapley modules. We prov

e that our Shallow ShapNets compute the exact Shapley values and our Deep ShapNe ts maintain the missingness and accuracy properties of Shapley values. We demons trate on synthetic and real-world datasets that our ShapNets enable layer-wise S hapley explanations, novel Shapley regularizations during training, and fast com putation while maintaining reasonable performance. Code is available at https://github.com/inouye-lab/ShapleyExplanationNetworks.

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Can We Use Gradient Norm as a Measure of Generalization Error for Model Selection in Practice?

Haozhe An, Haoyi Xiong, Xuhong Li, Xingjian Li, Dejing Dou, Zhanxing Zhu The recent theoretical investigation (Li et al., 2020) on the upper bound of gen eralization error of deep neural networks (DNNs) demonstrates the potential of u sing the gradient norm as a measure that complements validation accuracy for mod el selection in practice. In this work, we carry out empirical studies using se veral commonly-used neural network architectures and benchmark datasets to under stand the effectiveness and efficiency of using gradient norm as the model selec tion criterion, especially in the settings of hyper-parameter optimization. Whil e strong correlations between the generalization error and the gradient norm mea sures have been observed, we find the computation of gradient norm is time consu ming due to the high gradient complexity. To balance the trade-off between effic iency and effectiveness, we propose to use an accelerated approximation (Goodfel low, 2015) of gradient norm that only computes the loss gradient in the Fully-C onnected Layer (FC Layer) of DNNs with significantly reduced computation cost (2 00~20,000 times faster). Our empirical studies clearly find that the use of appr oximated gradient norm, as one of the hyper-parameter search objectives, can sel ect the models with lower generalization error, but the efficiency is still low (marginal accuracy improvement but with high computation overhead). Our results also show that the bandit-based or population-based algorithms, such as BOHB, pe rform poorer with gradient norm objectives, since the correlation between gradie nt norm and generalization error is not always consistent across phases of the t raining process. Finally, gradient norm also fails to predict the generalization performance of models based on different architectures, in comparison with stat e of the art algorithms and metrics.

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Empirical Studies on the Convergence of Feature Spaces in Deep Learning Haoran Liu, Haoyi Xiong, Yaqing Wang, Haozhe An, Dongrui Wu, Dejing Dou While deep learning is effective to learn features/representations from data, th e distributions of samples in feature spaces learned by various architectures fo r different training tasks (e.g., latent layers of AEs and feature vectors in CN N classifiers) have not been well-studied or compared. We hypothesize that the f eature spaces of networks trained by various architectures (AEs or CNNs) and tas ks (supervised, unsupervised, or self-supervised learning) share some common sub spaces, no matter what types of DNN architectures or whether the labels have bee n used in feature learning. To test our hypothesis, through Singular Value Decom position (SVD) of feature vectors, we demonstrate that one could linearly projec t the feature vectors of the same group of samples to a similar distribution, wh ere the distribution is represented as the top left singular vector (i.e., princ ipal subspace of feature vectors), namely  $\mathbb{P}^{-1}$ -vectors. We further asse ss the convergence of feature space learning using angles between \$\mathcal{P}\$vectors obtained from the well-trained model and its checkpoint per epoch during the learning procedure, where a quasi-monotonic trend of convergence to small a ngles has been observed. Finally, we carry out case studies to connect \$\mathcal  $\{P\}$ \$-vectors to the data distribution, and generalization performance. Extensive experiments with practically-used MLP, AE and CNN architectures for classificat ion, image reconstruction, and self-supervised learning tasks on MNIST, CIFAR-10 and CIFAR-100 datasets have been done to support our claims with solid evidence

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Iterated graph neural network system Hanju Li

We present Iterated Graph Neural Network System (IGNNS), a new framework of Grap h Neural Networks (GNNs), which can deal with undirected graph and directed grap h in a unified way. The core component of IGNNS is the Iterated Function System (IFS), which is an important research field in fractal geometry. The key idea of IGNNS is to use a pair of affine transformations to characterize the process of message passing between graph nodes and assign an adjoint probability vector to them to form an IFS layer with probability. After embedding in the latent space , the node features are sent to IFS layer for iterating, and then obtain the hig h-level representation of graph nodes. We also analyze the geometric properties of IGNNS from the perspective of dynamical system. We prove that if the IFS indu ced by IGNNS is contractive, then the fractal representation of graph nodes conv erges to the fractal set of IFS in Hausdorff distance and the ergodic representa tion of that converges to a constant matrix in Frobenius norm. We have carried o ut a series of semi supervised node classification experiments on citation netwo rk datasets such as citeser, Cora and PubMed. The experimental results show that the performance of our method is obviously better than the related methods.

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Factoring out Prior Knowledge from Low-Dimensional Embeddings Edith Heiter, Jonas Fischer, Jilles Vreeken

Low-dimensional embedding techniques such as tSNE and UMAP allow visualizing hig h-dimensional data and therewith facilitate the discovery of interesting structure. Although they are widely used, they visualize data as is, rather than in light of the background knowledge we have about the data. What we already know, how ever, strongly determines what is novel and hence interesting. In this paper we propose two methods for factoring out prior knowledge in the form of distance matrices from low-dimensional embeddings. To factor out prior knowledge from tSNE embeddings, we propose JEDI that adapts the tSNE objective in a principled way using Jensen-Shannon divergence. To factor out prior knowledge from any downstream embedding approach, we propose CONFETTI, in which we directly operate on the input distance matrices. Extensive experiments on both synthetic and real world data show that both methods work well, providing embeddings that exhibit meaning ful structure that would otherwise remain hidden.

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Neural Approximate Sufficient Statistics for Implicit Models
Yanzhi Chen, Dinghuai Zhang, Michael U. Gutmann, Aaron Courville, Zhanxing Zhu
We consider the fundamental problem of how to automatically construct summary st
atistics for implicit generative models where the evaluation of the likelihood f
unction is intractable but sampling data from the model is possible. The idea is
to frame the task of constructing sufficient statistics as learning mutual info
rmation maximizing representations of the data with the help of deep neural netw
orks. The infomax learning procedure does not need to estimate any density or de
nsity ratio. We apply our approach to both traditional approximate Bayesian comp
utation and recent neural likelihood methods, boosting their performance on a ra
nge of tasks.

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Introducing Sample Robustness

Monty Maximilian Zühlke

Choosing the right data and model for a pre-defined task is one of the critical competencies in machine learning. Investigating what features of a dataset and i ts underlying distribution a model decodes may enlighten the mysterious "black b ox" and guide us to a deeper and more profound understanding of the ongoing proc esses. Furthermore, it will help to improve the quality of models which directly depend on data or learn from it through training. In this work, we introduce the dataset-dependent concept of sample robustness, which is based on a point-wise Lipschitz constant of the label map. For a particular sample, it measures how s mall of a perturbation is required to cause a label-change relative to the magnitude of the label map. We introduce theory to motivate the concept and to analyse the effects of having similar robustness distributions for the training- and t est data. Afterwards, we conduct various experiments using different datasets and (non-)deterministic models. In some cases, we can boost performance by choosin

g specifically tailored training(sub)sets and hyperparameters depending on the r obustness distribution of the test(sub)sets.

General and Integrated Stein Variational Inference in NumPyro

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Einstein VI:

Ahmad Salim Al-Sibahi,Ola Rønning,Christophe Ley,Thomas Wim Hamelryck Stein Variational Inference is a technique for approximate Bayesian inferencetha t is recently gaining popularity since it combines the scalability of traditiona lVariational Inference (VI) with the flexibility of non-parametric particle base dinference methods. While there has been considerable progress in development of algorithms, integration in existing probabilistic programming languages (PPLs)with an easy-to-use interface is currently lacking. EinStein VI is a lightweight composable library that integrates the latest Stein Variational Inference method swith the NumPyro PPL. Inference with EinStein VI relies on ELBO-within-Stein to support use of custom inference programs (guides), non-linear scaling of repulsi onforce, second-order gradient updates using matrix-valued kernels and parameter transforms. We demonstrate the achieved synergy of the different Stein technique sand the versatility of EinStein VI library by applying it on examples. Compared to traditional Stochastic VI, EinStein VI is better at capturing uncertainty and representing richer posteriors. We use several applications to show how one canu

alization to do inference over models with discrete latent variables. Finally,we introduce an example with a novel extension to Deep Markov Models, calledthe St ein Mixture Deep Markov Model (SM-DMM), which shows that EinStein VIcan be scale d to reasonably large models with over 500.000 parameters

se Neural Transforms (NeuTra) and second-order optimization to provide betterinf erence using EinStein VI. We show how EinStein VI can be used to infer theparame ters of a Latent Dirichlet Allocation model with a neural guide. The resultsindicate that Einstein VI can be combined with NumPyro's support for automaticmargin

A Chain Graph Interpretation of Real-World Neural Networks Yuesong Shen, Daniel Cremers

The last decade has witnessed a boom of deep learning research and applications achieving state-of-the-art results in various domains. However, most advances ha ve been established empirically, and their theoretical analysis remains lacking. One major issue is that our current interpretation of neural networks (NNs) as function approximators is too generic to support in-depth analysis. In this pape r, we remedy this by proposing an alternative interpretation that identifies NNs as chain graphs (CGs) and feed-forward as an approximate inference procedure. T he CG interpretation specifies the nature of each NN component within the rich t heoretical framework of probabilistic graphical models, while at the same time r emains general enough to cover real-world NNs with arbitrary depth, multi-branch ing and varied activations, as well as common structures including convolution / recurrent layers, residual block and dropout. We demonstrate with concrete exam ples that the CG interpretation can provide novel theoretical support and insigh ts for various NN techniques, as well as derive new deep learning approaches suc h as the concept of partially collapsed feed-forward inference. It is thus a pro mising framework that deepens our understanding of neural networks and provides a coherent theoretical formulation for future deep learning research.

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Decentralized Knowledge Graph Representation Learning Lingbing Guo, Weiqing Wang, Zequn Sun, Chenghao Liu, Wei Hu

Knowledge graph (KG) representation learning methods have achieved competitive p erformance in many KG-oriented tasks, among which the best ones are usually base d on graph neural networks (GNNs), a powerful family of networks that learns the representation of an entity by aggregating the features of its neighbors and it self. However, many KG representation learning scenarios only provide the struct ure information that describes the relationships among entities, causing that en tities have no input features. In this case, existing aggregation mechanisms are incapable of inducing embeddings of unseen entities as these entities have no p re-defined features for aggregation. In this paper, we present a decentralized KG representation learning approach, decentRL, which encodes each entity from and

only from the embeddings of its neighbors. For optimization, we design an algor ithm to distill knowledge from the model itself such that the output embeddings can continuously gain knowledge from the corresponding original embeddings. Exte nsive experiments show that the proposed approach performed better than many cut ting-edge models on the entity alignment task, and achieved competitive performa nce on the entity prediction task. Furthermore, under the inductive setting, it significantly outperformed all baselines on both tasks.

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Integrating linguistic knowledge into DNNs: Application to online grooming detection

Jay Morgan, Adeline Paiement, Nuria Lorenzo-Dus, Anina Kinzel, Matteo Di Cristofaro Online grooming (OG) of children is a pervasive issue in an increasingly interco nnected world. We explore various complementary methods to incorporate Corpus Li nguistics (CL) knowledge into accurate and interpretable Deep Learning (DL) mode ls. They provide an implicit text normalisation that adapts embedding spaces to the groomers' usage of language, and they focus the DNN's attention onto the exp ressions of OG strategies. We apply these integration to two architecture types and improve on the state-of-the-art on a new OG corpus.

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Increasing the Coverage and Balance of Robustness Benchmarks by Using Non-Overla pping Corruptions

Alfred LAUGROS, Alice Caplier, Matthieu Ospici

Neural Networks are sensitive to various corruptions that usually occur in real-world applications such as low-lighting conditions, blurs, noises, etc. To estim ate the robustness of neural networks to these common corruptions, we generally use a group of modeled corruptions gathered into a benchmark. We argue that corruption benchmarks often have a poor coverage: being robust to them only implies being robust to a narrow range of corruptions. They are also often unbalanced: they give too much importance to some corruptions compared to others. In this paper, we propose to build corruption benchmarks with only non-overlapping corruptions, to improve their coverage and their balance. Two corruptions overlap when the robustnesses of neural networks to these corruptions are correlated. We propose the first metric to measure the overlapping between two corruptions. We provide an algorithm that uses this metric to build benchmarks of Non-Overlapping Corruptions. Using this algorithm, we build from ImageNet a new corruption benchmark called ImageNet-NOC. We show that ImageNet-NOC is balanced and covers several kinds of corruptions that are not covered by ImageNet-C.

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Implicit Regularization Effects of Unbiased Random Label Noises with SGD Haoyi Xiong, Xuhong Li, Boyang Yu, Dejing Dou, Dongrui Wu, Zhanxing Zhu Random label noises (or observational noises) widely exist in practical machinel earning settings. we analyze the learning dynamics of stochastic gradient descen  $\mathsf{t}(\mathsf{SGD})$  over the quadratic loss with unbiased label noises, and investigate a new noise term of dynamics, which is dynamized and influenced by mini-batch sam-plin g and random label noises, as an implicit regularizer. Our theoretical analysisf inds such implicit regularizer would favor some convergence points that could st abilize model outputs against perturbation of parameters. To validate our analysis, we use our theorems to estimate the closed-form solution of the implicit re g-ularizer over continuous-time SGD dynamics for Ordinary Least-Square (OLS), wh ere the numerical simulation backups our estimates. We further extend our propos als to interpret the newly-fashioned noisy self-distillation tricks for deep lea rning, where the implicit regularizer demonstrates a unique capacity of selecti ng models with improved output stability through learning from well-trained teac h-ers with additive unbiased random label noises

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Improving Random-Sampling Neural Architecture Search by Evolving the Proxy Search Space

Yuhong Li, Cong Hao, Xiaofan Zhang, Jinjun Xiong, Wen-mei Hwu, Deming Chen Random-sampling Neural Architecture Search (RandomNAS) has recently become a prevailing NAS approach because of its search efficiency and simplicity. There are

two main steps in RandomNAS: the training step that randomly samples the weightsharing architectures from a supernet and iteratively updates their weights, and the search step that ranks architectures by their respective validation perform ance. Key to both steps is the assumption of a high correlation between estimate d performance(i.e., accuracy) for weight-sharing architectures and their respect ive achievable accuracy (i.e., ground truth) when trained from scratch. We exami ne such a phenomenon via NASBench-201, whose ground truth is known for its entir e NAS search space. We observe that existing RandomNAS can rank a set of archite ctures uniformly sampled from the entire global search space(GS), that correlate s well with its ground-truth ranking. However, if we only focus on the top-perfo rming architectures (such as top 20\% according to the ground truth) in the GS, such a correlation drops dramatically. This raises the question of whether we c an find an effective proxy search space (PS) that is only a small subset of GS t o dramatically improve RandomNAS's search efficiency while at the same time keep ing a good correlation for the top-performing architectures. This paper proposes a new RandomNAS-based approach called EPS (Evolving the Proxy Search Space) to address this problem. We show that, when applied to NASBench-201, EPS can achiev e near-optimal NAS performance and beat all existing state-of-the-art. When appl ied to different-variants of DARTS-like search spaces for tasks such as image cl assification and natural language processing, EPS is able to robustly achieve su perior performance with shorter or similar search time compared to some leading NAS works. The code is available at https://github.com/IcLr2020SuBmIsSiOn/EPS \*\*\*\*\*\*\*\*\*\*\*\*

Is deeper better? It depends on locality of relevant features Takashi Mori, Masahito Ueda

It has been recognized that a heavily overparameterized artificial neural networ k exhibits surprisingly good generalization performance in various machine-learn ing tasks. Recent theoretical studies have made attempts to unveil the mystery o f the overparameterization. In most of those previous works, the overparameteriz ation is achieved by increasing the width of the network, while the effect of in creasing the depth has been less well understood. In this work, we investigate t he effect of increasing the depth within an overparameterized regime. To gain an insight into the advantage of depth, we introduce local and global labels as ab stract but simple classification rules. It turns out that the locality of the re levant feature for a given classification rule plays an important role; our expe rimental results suggest that deeper is better for local labels, whereas shallow er is better for global labels. We also compare the results of finite networks w ith those of the neural tangent kernel (NTK), which is equivalent to an infinite ly wide network with a proper initialization and an infinitesimal learning rate. It is shown that the NTK does not correctly capture the depth dependence of the generalization performance, which indicates the importance of the feature learn ing, rather than the lazy learning.

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Automated Concatenation of Embeddings for Structured Prediction

Xinyu Wang, Yong Jiang, Nguyen Bach, Tao Wang, Zhongqiang Huang, Fei Huang, Kewei Tu Pretrained contextualized embeddings are powerful word representations for struc tured prediction tasks. Recent work found that better word representations can b e obtained by concatenating different types of embeddings. However, the selectio n of embeddings to form the best concatenated representation usually varies depe nding on the task and the collection of candidate embeddings, and the ever-incre asing number of embedding types makes it a more difficult problem. In this paper , we propose Automated Concatenation of Embeddings (ACE) to automate the process of finding better concatenations of embeddings for structured prediction tasks, based on a formulation inspired by recent progress on neural architecture searc h. Specifically, a controller alternately samples a concatenation of embeddings, according to its current belief of the effectiveness of individual embedding ty pes in consideration for a task, and updates the belief based on a reward. We fo llow strategies in reinforcement learning to optimize the parameters of the cont roller and compute the reward based on the accuracy of a task model, which is fe d with the sampled concatenation as input and trained on a task dataset. Empiric

al results on 6 tasks and 21 datasets show that our approach outperforms strong baselines and achieves state-of-the-art performance with fine-tuned embeddings in the vast majority of evaluations.

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Quantifying Statistical Significance of Neural Network Representation-Driven Hyp otheses by Selective Inference

Vo Nguyen Le Duy, Shogo Iwazaki, Ichiro Takeuchi

In the past few years, various approaches have been developed to explain and int erpret deep neural network (DNN) representations, but it has been pointed out th at these representations are sometimes unstable and not reproducible. In this pa per, we interpret these representations as hypotheses driven by DNN (called DNNdriven hypotheses) and propose a method to quantify the reliability of these hyp otheses in statistical hypothesis testing framework. To this end, we introduce S elective Inference (SI) framework, which has received much attention in the past few years as a new statistical inference framework for data-driven hypotheses. The basic idea of SI is to make conditional inferences on the selected hypothese s under the condition that they are selected. In order to use SI framework for D NN representations, we develop a new SI algorithm based on homotopy method which enables us to derive the exact (non-asymptotic) conditional sampling distributi on of the DNN-driven hypotheses. We conduct experiments on both synthetic and re al-world datasets, through which we offer evidence that our proposed method can successfully control the false positive rate, has decent performance in terms of computational efficiency, and provides good results in practical applications.

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Multi-EPL: Accurate Multi-source Domain Adaptation

Seongmin Lee, Hyunsik Jeon, U Kang

Given multiple source datasets with labels, how can we train a target model with no labeled data? Multi-source domain adaptation (MSDA) aims to train a model us ing multiple source datasets different from a target dataset in the absence of t arget data labels. MSDA is a crucial problem applicable to many practical cases where labels for the target data are unavailable due to privacy issues. Existing MSDA frameworks are limited since they align data without considering condition al distributions p(x|y) of each domain. They also do not fully utilize the targe t data without labels, and rely on limited feature extraction with a single extractor. In this paper, we propose Multi-EPL, a novel method for multi-source doma in adaptation. Multi-EPL exploits label-wise moment matching to align conditional distributions p(x|y), uses pseudolabels for the unavailable target labels, and introduces an ensemble of multiple feature extractors for accurate domain adapt ation. Extensive experiments show that Multi-EPL provides the state-of-the-art p erformance for multi-source domain adaptation tasks in both of image domains and text domains.

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Federated Mixture of Experts

Matthias Reisser, Christos Louizos, Efstratios Gavves, Max Welling

Federated learning (FL) has emerged as the predominant approach for collaborative training of neural network models across multiple users, without the need to gather the data at a central location. One of the important challenges in this setting is data heterogeneity; different users have different data characteristics. For this reason, training and using a single global model might be suboptimal when considering the performance of each of the individual user's data. In this work, we tackle this problem via Federated Mixture of Experts, FedMix, a framework that allows us to train an ensemble of specialized models. FedMix adaptively selects and trains a user-specific selection of the ensemble members. We show that users with similar data characteristics select the same members and therefore share statistical strength while mitigating the effect of non-i.i.d data. Empirically, we show through an extensive experimental evaluation that FedMix improves performance compared to using a single global model while requiring similar or less communication costs.

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AUBER: Automated BERT Regularization

Hyun Dong Lee, Seongmin Lee, U Kang

How can we effectively regularize BERT? Although BERT proves its effectiveness in various downstream natural language processing tasks, it often over■ts when the ere are only a small number of training instances. A promising direction to regularize BERT is based on pruning its attention heads based on a proxy score for head importance. However, heuristic-based methods are usually suboptimal since they predetermine the order by which attention heads are pruned. In order to overcome such a limitation, we propose AUBER, an effective regularization method that leverages reinforcement learning to automatically prune attention heads from BERT. Instead of depending on heuristics or rule-based policies, AUBER learns a pruning policy that determines which attention heads should or should not be pruned for regularization. Experimental results show that AUBER outperforms existing pruning methods by achieving up to 10% better accuracy. In addition, our ablation study empirically demonstrates the effectiveness of our design choices for AUBER.

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NBDT: Neural-Backed Decision Tree

Alvin Wan, Lisa Dunlap, Daniel Ho, Jihan Yin, Scott Lee, Suzanne Petryk, Sarah Adel Bargal, Joseph E. Gonzalez

Machine learning applications such as finance and medicine demand accurate and justifiable predictions, barring most deep learning methods from use. In response, previous work combines decision trees with deep learning, yielding models that (1) sacrifice interpretability for accuracy or (2) sacrifice accuracy for interpretability. We forgo this dilemma by jointly improving accuracy and interpretability using Neural-Backed Decision Trees (NBDTs). NBDTs replace a neural network is final linear layer with a differentiable sequence of decisions and a surrogate loss. This forces the model to learn high-level concepts and lessens reliance on highly-uncertain decisions, yielding (1) accuracy: NBDTs match or outperform modern neural networks on CIFAR, ImageNet and better generalize to unseen classes by up to 16%. Furthermore, our surrogate loss improves the original model's accuracy by up to 2%. NBDTs also afford (2) interpretability: improving human trus to the clearly identifying model mistakes and assisting in dataset debugging. Code and pretrained NBDTs are at https://github.com/alvinwan/neural-backed-decision-trees

DiffWave: A Versatile Diffusion Model for Audio Synthesis Zhifeng Kong, Wei Ping, Jiaji Huang, Kexin Zhao, Bryan Catanzaro

In this work, we propose DiffWave, a versatile diffusion probabilistic model for conditional and unconditional waveform generation. The model is non-autoregress ive, and converts the white noise signal into structured waveform through a Mark ov chain with a constant number of steps at synthesis. It is efficiently trained by optimizing a variant of variational bound on the data likelihood. DiffWave p roduces high-fidelity audios in different waveform generation tasks, including n eural vocoding conditioned on mel spectrogram, class-conditional generation, and unconditional generation. We demonstrate that DiffWave matches a strong WaveNet vocoder in terms of speech quality (MOS: 4.44 versus 4.43), while synthesizing orders of magnitude faster. In particular, it significantly outperforms autoregr essive and GAN-based waveform models in the challenging unconditional generation task in terms of audio quality and sample diversity from various automatic and human evaluations.

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Action Concept Grounding Network for Semantically-Consistent Video Generation Wei Yu, Wenxin Chen, Animesh Garg

Recent works in self-supervised video prediction have mainly focused on passive forecasting and low-level action-conditional prediction, which sidesteps the problem of semantic learning. We introduce the task of semantic action-conditional video prediction, which can be regarded as an inverse problem of action recognit ion. The challenge of this new task primarily lies in how to effectively inform the model of semantic action information. To bridge vision and language, we util ize the idea of capsule and propose a novel video prediction model Action Concep

t Grounding Network (AGCN). Our method is evaluated on two newly designed synthe tic datasets, CLEVR-Building-Blocks and Sapien-Kitchen, and experiments show that given different action labels, our ACGN can correctly condition on instruction s and generate corresponding future frames without need of bounding boxes. We further demonstrate our trained model can make out-of-distribution predictions for concurrent actions, be quickly adapted to new object categories and exploit its learnt features for object detection. Additional visualizations can be found at https://iclr-acgn.github.io/ACGN/.

RSO: A Gradient Free Sampling Based Approach For Training Deep Neural Networks Rohun Tripathi, Bharat Singh

We propose RSO (random search optimization), a gradient free, sampling based app roach for training deep neural networks. To this end, RSO adds a perturbation to a weight in a deep neural network and tests if it reduces the loss on a mini-ba tch. If this reduces the loss, the weight is updated, otherwise the existing weight is retained. Surprisingly, we find that repeating this process a few times for each weight is sufficient to train a deep neural network. The number of weight updates for RSO is an order of magnitude lesser when compared to backpropagati on with SGD. RSO can make aggressive weight updates in each step as there is no concept of learning rate. The weight update step for individual layers is also not coupled with the magnitude of the loss. RSO is evaluated on classification ta sks on MNIST and CIFAR-10 datasets with deep neural networks of 6 to 10 layers we here it achieves an accuracy of 99.1% and 81.8% respectively. We also find that after updating the weights just 5 times, the algorithm obtains a classification accuracy of 98% on MNIST.

What Preserves the Emergence of Language?

Ziluo Ding, Tiejun Huang, Zongqing Lu

The emergence of language is a mystery. One dominant theory is that cooperation boosts language to emerge. However, as a means of giving out information, langua ge seems not to be an evolutionarily stable strategy. To ensure the survival adv antage of many competitors, animals are selfish in nature. From the perspective of Darwinian, if an individual can obtain a higher benefit by deceiving the othe r party, why not deceive? For those who are cheated, once bitten and twice shy, cooperation will no longer be a good option. As a result, motivation for communi cation, as well as the emergence of language would perish. Then, what preserves the emergence of language? We aim to answer this question in a brand new framework of agent community, reinforcement learning, and natural selection. Empirically, we reveal that lying indeed dispels cooperation. Even with individual resistance to lying behaviors, liars can easily defeat truth tellers and survive during natural selection. However, social resistance eventually constrains lying and makes the emergence of language possible.

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Denoising Diffusion Implicit Models

Jiaming Song, Chenlin Meng, Stefano Ermon

Denoising diffusion probabilistic models (DDPMs) have achieved high quality imag e generation without adversarial training, yet they require simulating a Markov chain for many steps in order to produce a sample. To accelerate sampling, we present denoising diffusion implicit models (DDIMs), a more efficient class of ite rative implicit probabilistic models with the same training procedure as DDPMs. In DDPMs, the generative process is defined as the reverse of a particular Markovian diffusion process. We generalize DDPMs via a class of non-Markovian diffusion processes that lead to the same training objective. These non-Markovian processes can correspond to generative processes that are deterministic, giving rise to implicit models that produce high quality samples much faster. We empirically demonstrate that DDIMs can produce high quality samples \$10 \times\$ to \$50 \times\$ faster in terms of wall-clock time compared to DDPMs, allow us to trade off computation for sample quality, perform semantically meaningful image interpolation directly in the latent space, and reconstruct observations with very low error.

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A Unified Framework for Convolution-based Graph Neural Networks Xuran Pan, Shiji Song, Gao Huang

Graph Convolutional Networks (GCNs) have attracted a lot of research interest in the machine learning community in recent years. Although many variants have been proposed, we still lack a systematic view of different GCN models and deep und erstanding of the relations among them. In this paper, we take a step forward to establish a unified framework for convolution-based graph neural networks, by formulating the basic graph convolution operation as an optimization problem in the graph Fourier space. Under this framework, a variety of popular GCN models, including the vanilla-GCNs, attention-based GCNs and topology-based GCNs, can be interpreted as a same optimization problem but with different carefully designed regularizers. This novel perspective enables a better understanding of the similarities and differences among many widely used GCNs, and may inspire new approathes for designing better models. As a showcase, we also present a novel regularization technique under the proposed framework to tackle the oversmoothing problem in graph convolution. The effectiveness of the newly designed model is validated empirically.

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Learning Deep Latent Variable Models via Amortized Langevin Dynamics Shohei Taniguchi, Yusuke Iwasawa, Yutaka Matsuo

How can we perform posterior inference for deep latent variable models in an eff icient and flexible manner? Markov chain Monte Carlo (MCMC) methods, such as Lan gevin dynamics, provide sample approximations of such posteriors with an asympto tic convergence guarantee. However, it is difficult to apply these methods to la rge-scale datasets owing to their slow convergence and datapoint-wise iterations . In this study, we propose amortized Langevin dynamics, wherein datapoint-wise MCMC iterations are replaced with updates of an inference model that maps observ ations into latent variables. The amortization enables scalable inference from 1 arge-scale datasets. Developing a latent variable model and an inference model w ith neural networks, yields Langevin autoencoders (LAEs), a novel Langevin-based framework for deep generative models. Moreover, if we define a latent prior dis tribution with an unnormalized energy function for more flexible generative mode ling, LAEs are extended to a more general framework, which we refer to as contra stive Langevin autoencoders (CLAEs). We experimentally show that LAEs and CLAEs can generate sharp image samples. Moreover, we report their performance of unsup ervised anomaly detection.

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Suppressing Outlier Reconstruction in Autoencoders for Out-of-Distribution Detection

Sangwoong Yoon, Yung-Kyun Noh, Frank C. Park

While only trained to reconstruct training data, autoencoders may produce high-quality reconstructions of inputs that are well outside the training data distribution. This phenomenon, which we refer to as outlier reconstruction, has a detrimental effect on the use of autoencoders for outlier detection, as an autoencoder will misclassify a clear outlier as being in-distribution. In this paper, we introduce the Energy-Based Autoencoder (EBAE), an autoencoder that is considerably less susceptible to outlier reconstruction.

The core idea of EBAE is to treat the reconstruction error as an energy function of a normalized density and to strictly enforce the normalization constraint. We show that the reconstruction of non-training inputs can be suppressed, and the reconstruction error made highly discriminative to outliers, by enforcing this constraint. We empirically show that EBAE significantly outperforms both existing autoencoders and other generative models for several out-of-distribution detection tasks.

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Fast Predictive Uncertainty for Classification with Bayesian Deep Networks Marius Hobbhahn, Agustinus Kristiadi, Philipp Hennig

In Bayesian Deep Learning, distributions over the output of classification neura l networks are approximated by first constructing a Gaussian distribution over t

he weights, then sampling from it to receive a distribution over the categorical output distribution. This is costly. We reconsider old work to construct a Diri chlet approximation of this output distribution, which yields an analytic map be tween Gaussian distributions in logit space and Dirichlet distributions (the con jugate prior to the categorical) in the output space. We argue that the resultin g Dirichlet distribution has theoretical and practical advantages, in particular, more efficient computation of the uncertainty estimate, scaling to large datas ets and networks like ImageNet and DenseNet. We demonstrate the use of this Diri chlet approximation by using it to construct a lightweight uncertainty-aware out put ranking for the ImageNet setup.

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Discriminative Representation Loss (DRL): A More Efficient Approach than Gradien t Re-Projection in Continual Learning

Yu Chen, Tom Diethe, Peter Flach

The use of episodic memories in continual learning has been shown to be effective in terms of alleviating catastrophic forgetting. In recent studies, several gradient-based approaches have been developed to make more efficient use of compact episodic memories, which constrain the gradients resulting from new samples with those from memorized samples, aiming to reduce the diversity of gradients from different tasks. In this paper, we reveal the relation between diversity of gradients and discriminativeness of representations, demonstrating connections between Deep Metric Learning and continual learning. Based on these findings, we propose a simple yet efficient method -- Discriminative Representation Loss (DRL) -- for continual learning. In comparison with several state-of-the-art methods, this method shows effectiveness with low computational cost on multiple benchmark experiments in the setting of online continual learning.

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Deep Reinforcement Learning with Causality-based Intrinsic Reward

Peng Zhang, Furui Liu, Zhitang Chen, Jianye HAO, Jun Wang

Reinforcement Learning (RL) has shown great potential to deal with sequential de cision-making problems. However, most RL algorithms do not explicitly consider the relations between entities in the environment. This makes the policy learning suffer from the problems of efficiency, effectivity and interpretability. In this paper, we propose a novel deep reinforcement learning algorithm, which first ly learns the causal structure of the environment and then leverages the learned causal information to assist policy learning. The proposed algorithm learns a graph to encode the environmental structure by calculating Average Causal Effect (ACE) between different categories of entities, and an intrinsic reward is given to encourage the agent to interact more with entities belonging to top-ranked categories, which significantly boosts policy learning. Several experiments are conducted on a number of simulation environments to demonstrate the effectivenes and better interpretability of our proposed method.

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Multi-Task Learning by a Top-Down Control Network Hila Levi, Shimon Ullman

As the range of tasks performed by a general vision system expands, executing multiple tasks accurately and efficiently in a single network has become an import ant and still open problem. Recent computer vision approaches address this problem by branching networks, or by a channel-wise modulation of the network feature—maps with task specific vectors. We present a novel architecture that uses a dedicated top-down control network to modify the activation of all the units in the main recognition network in a manner that depends on the selected task, image content, and spatial location. We show the effectiveness of our scheme by achieving significantly better results than alternative state-of-the-art approaches on four datasets. We further demonstrate our advantages in terms of task selectivity, scaling the number of tasks and interpretability.

Code is supplied in the supplementary materials and will be publicly available.

Bridging the Imitation Gap by Adaptive Insubordination

Luca Weihs, Unnat Jain, Jordi Salvador, Svetlana Lazebnik, Aniruddha Kembhavi, Alex S

chwing

When expert supervision is available, practitioners often use imitation learning with varying degrees of success. We show that when an expert has access to privileged information that is unavailable to the student, this information is marginalized in the student policy during imitation learning resulting in an ''imitation gap'' and, potentially, poor results. Prior work bridges this gap via a progression from imitation learning to reinforcement learning. While often successful, gradual progression fails for tasks that require frequent switches between exploration and memorization skills. To better address these tasks and alleviate the imitation gap we propose 'Adaptive Insubordination' (ADVISOR), which dynamically weights imitation and reward-based reinforcement learning losses during training, enabling switching between imitation and exploration. On a suite of challenging didactic and MiniGrid tasks, we show that ADVISOR outperforms pure imitation, pure reinforcement learning, as well as their sequential and parallel combinations.

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Beyond the Pixels: Exploring the Effects of Bit-Level Network and File Corruptions on Video Model Robustness

Trenton Chang, Daniel Yang Fu, Yixuan Li

We investigate the robustness of video machine learning models to bit-level netw ork and file corruptions, which can arise from network transmission failures or hardware errors, and explore defenses against such corruptions. We simulate netw ork and file corruptions at multiple corruption levels, and find that bit-level corruptions can cause substantial performance drops on common action recognition and multi-object tracking tasks. We explore two types of defenses against bit-level corruptions: corruption-agnostic and corruption-aware defenses. We find that corruption-agnostic defenses such as adversarial training have limited effectiveness, performing up to 11.3 accuracy points worse than a no-defense baseline. In response, we propose Bit-corruption Augmented Training (BAT), a corruption-aware baseline that exploits knowledge of bit-level corruptions to enforce model invariance to such corruptions. BAT outperforms corruption-agnostic defenses, recovering up to 7.1 accuracy points over a no-defense baseline on highly-corrupted videos while maintaining competitive performance on clean/near-clean data.

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Extract Local Inference Chains of Deep Neural Nets

Haiyan Zhao, Tianyi Zhou, Guodong Long, Jing Jiang, Chengqi Zhang

We study how to explain the main steps/chains of inference that a deep neural ne t (DNN) relies on to produce predictions in a local region of data space. This p roblem is related to network pruning and interpretable machine learning but the highlighted differences are: (1) fine-tuning of neurons/filters is forbidden: on ly exact copies are allowed; (2) we target an extremely high pruning rate, e.g., \$\qeq 95\%\$; (3) the interpretation is for the whole inference process in a loc al region rather than for individual neurons/filters or on a single sample. In t his paper, we introduce an efficient method, \name, to extract the local inferen ce chains by optimizing a differentiable sparse scoring for the filters and laye rs to preserve the outputs on given data from a local region. Thereby, \name~can extract an extremely small sub-network composed of filters exactly copied from the original DNN by removing the filters/layers with small scores. We then visua lize the sub-network by applying existing interpretation technique to the retain ed layer/filter/neurons and on any sample from the local region. Its architectur e reveals how the inference process stitches and integrates the information laye r by layer and filter by filter. We provide detailed and insightful case studies together with three quantitative analyses over thousands of trials to demonstra te the quality, sparsity, fidelity and accuracy of the interpretation within the assigned local regions and over unseen data. In our empirical study, \name~sign ificantly enriches the interpretation and makes the inner mechanism of DNNs more transparent than before.

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Policy Gradient with Expected Quadratic Utility Maximization: A New Mean-Varianc e Approach in Reinforcement Learning

Masahiro Kato, Kei Nakagawa

In real-world decision-making problems, risk management is critical. Among vario us risk management approaches, the mean-variance criterion is one of the most wi dely used in practice. In this paper, we suggest expected quadratic utility maxi mization (EQUM) as a new framework for policy gradient style reinforcement learn ing (RL) algorithms with mean-variance control. The quadratic utility function is a common objective of risk management in finance and economics. The proposed E QUM framework has several interpretations, such as reward-constrained variance minimization and regularization, as well as agent utility maximization. In addition, the computation of the EQUM framework is easier than that of existing mean-variance RL methods, which require double sampling. In experiments, we demonstrate the effectiveness of the proposed framework in benchmark setting of RL and fin ancial data.

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Exploring Routing Strategies for Multilingual Mixture-of-Experts Models Sneha Kudugunta, Yanping Huang, Ankur Bapna, Maxim Krikun, Dmitry Lepikhin, Thang Luong, Orhan Firat

Sparsely-Gated Mixture-of-Experts (MoE) has been a successful approach for scaling multilingual translation models to billions of parameters without a proportional increase in training computation. These models, however, are prohibitively large for serving deployment and there is no easy way to extract a sub-network to decode for a particular language pair. This work proposes improved strategies to route MoE models by tasks instead of tokens, thus enabling separation of network structures at decoding time while enjoying the benefits of scale and task sharing at training time.

We compare routing strategies at multiple levels (token, sentence, task) in both , the encoder and the decoder, and conduct extensive experiments on two benchmar ks: the public WMT dataset of 30 language pairs and an in-house web-scale datase t of 200 language pairs. On WMT, with a Transformer base model with 32 experts, our task-level MoE outperforms the best performing token-level MoE model by +1.0 BLEU on average over all language pairs. When scaling up to Transformer big mod el with 128 experts on the large-scale massively multilingual benchmark, our task-level MoE is competitive with token-level MoE while being able to reduce the d ecoder model size by a factor of \$32.34\$ and increase peak throughput by 2.6 times at inference.

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Learning What Not to Model: Gaussian Process Regression with Negative Constraints

Gaurav Shrivastava, Harsh Shrivastava, Abhinav Shrivastava

Gaussian Process (GP) regression fits a curve on a set of datapairs, with each p air consisting of an input point  $\$  \mathbf{x}\\$' and its corresponding target reg ression value  $\$y(\mathbb{x})$  (a positive datapair). But, what if for an input point  $\$  \mathbf{x}\\$', we want to constrain the GP to avoid a target regre ssion value  $\space{y}(\bar{x})$  (\bar{\mathbf{x}})\$' (a negative datapair)? This requiremen t can often appear in real-world navigation tasks, where an agent would want to avoid obstacles, like furniture items in a room when planning a trajectory to na vigate. In this work, we propose to incorporate such negative constraints in a G P regression framework. Our approach, 'GP-NC' or Gaussian Process with Negative Constraints, fits over the positive datapairs while avoiding the negative datapa irs. Specifically, our key idea is to model the negative datapairs using small b lobs of Gaussian distribution and maximize its KL divergence from the GP. We joi ntly optimize the GP-NC for both the positive and negative datapairs. We empiric ally demonstrate that our GP-NC framework performs better than the traditional G P learning and that our framework does not affect the scalability of Gaussian Pr ocess regression and helps the model converge faster as the size of the data inc reases.

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Efficient Exploration for Model-based Reinforcement Learning with Continuous States and Actions

Ying Fan, Yifei Ming

Balancing exploration and exploitation is crucial in reinforcement learning (RL) . In this paper, we study the model-based posterior sampling algorithm in contin uous state-action spaces theoretically and empirically. First, we improve the re gret bound: with the assumption that reward and transition functions can be mode led as Gaussian Processes with linear kernels, we develop a Bayesian regret boun d of  $\hat{0}(H^{3/2}d\sqrt{T})$ , where \$H\$ is the episode length, \$d\$ is the dimension of the state-action space, and \$T\$ indicates the total time steps. Our bound can be extended to nonlinear cases as well: using linear kernels on the f eature representation \$\phi\$, the Bayesian regret bound becomes \$\tilde{0}(H^{3/  $2\d_{\phi}$ e. Moreover, we present MPC-PSRL, a model-based posterior sampling algorithm wit h model predictive control for action selection. To capture the uncertainty in m odels and realize posterior sampling, we use Bayesian linear regression on the p enultimate layer (the feature representation layer \$\phi\$) of neural networks. E mpirical results show that our algorithm achieves the best sample efficiency in benchmark control tasks compared to prior model-based algorithms, and matches th e asymptotic performance of model-free algorithms.

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Shape Matters: Understanding the Implicit Bias of the Noise Covariance Jeff Z. HaoChen, Colin Wei, Jason D. Lee, Tengyu Ma

The noise in stochastic gradient descent (SGD) provides a crucial implicit regul arization effect for training overparameterized models. Prior theoretical work 1 argely focuses on spherical Gaussian noise, whereas empirical studies demonstrat e the phenomenon that parameter-dependent noise --- induced by mini-batches or 1 abel perturbation --- is far more effective than Gaussian noise.

This paper theoretically characterizes this phenomenon on a quadratically-parame terized model introduced by Vaskevicius et al. and Woodworth et al. We show that in an over-parameterized setting, SGD with label noise recovers the sparse ground-truth with an arbitrary initialization, whereas SGD with Gaussian noise or gradient descent overfits to dense solutions with large norms. Our analysis reveals that parameter-dependent noise introduces a bias towards local minima with smaller noise variance, whereas spherical Gaussian noise does not.

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Large Scale Image Completion via Co-Modulated Generative Adversarial Networks Shengyu Zhao, Jonathan Cui, Yilun Sheng, Yue Dong, Xiao Liang, Eric I-Chao Chang, Yan Xu

Numerous task-specific variants of conditional generative adversarial networks h ave been developed for image completion. Yet, a serious limitation remains that all existing algorithms tend to fail when handling large-scale missing regions. To overcome this challenge, we propose a generic new approach that bridges the g ap between image-conditional and recent modulated unconditional generative architectures via co-modulation of both conditional and stochastic style representations. Also, due to the lack of good quantitative metrics for image completion, we propose the new Paired/Unpaired Inception Discriminative Score (P-IDS/U-IDS), which robustly measures the perceptual fidelity of inpainted images compared to real images via linear separability in a feature space. Experiments demonstrate superior performance in terms of both quality and diversity over state-of-the-art methods in free-form image completion and easy generalization to image-to-image translation. Code is available at https://github.com/zsyzzsoft/co-mod-gan.

GShard: Scaling Giant Models with Conditional Computation and Automatic Sharding Dmitry Lepikhin, HyoukJoong Lee, Yuanzhong Xu, Dehao Chen, Orhan Firat, Yanping Huang, Maxim Krikun, Noam Shazeer, Zhifeng Chen

Neural network scaling has been critical for improving the model quality in many real-world machine learning applications with vast amounts of training data and compute. Although this trend of scaling is affirmed to be a sure-fire approach for better model quality, there are challenges on the path such as the computati on cost, ease of programming, and efficient implementation on parallel devices. In this paper we demonstrate conditional computation as a remedy to the above me ntioned impediments, and demonstrate its efficacy and utility. We make extensiv

e use of GShard, a module composed of a set of lightweight annotation APIs and a n extension to the XLA compiler to enable large scale models with up to trillion s of parameters. GShard and conditional computation enable us to scale up multil ingual neural machine translation Transformer model with Sparsely-Gated Mixture-of-Experts. We demonstrate that such a giant model with 600 billion parameters c an efficiently be trained on 2048 TPU v3 cores in 4 days to achieve far superior quality for translation from 100 languages to English compared to the prior art

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Non-Negative Bregman Divergence Minimization for Deep Direct Density Ratio Estim ation

Masahiro Kato, Takeshi Teshima

The estimation of the ratio of two probability densities has garnered attention as the density ratio is useful in various machine learning tasks, such as anomal y detection and domain adaptation. To estimate the density ratio, methods collectively known as direct density ratio estimation (DRE) have been explored. These methods are based on the minimization of the Bregman (BR) divergence between a density ratio model and the true density ratio. However, existing direct DRE suffers from serious overfitting when using flexible models such as neural networks. In this paper, we introduce a non-negative correction for empirical risk using only the prior knowledge of the upper bound of the density ratio. This correction makes a DRE method more robust against overfitting and enables the use of flex ible models. In the theoretical analysis, we discuss the consistency of the empirical risk. In our experiments, the proposed estimators show favorable performance in inlier-based outlier detection and covariate shift adaptation.

What Should Not Be Contrastive in Contrastive Learning Tete Xiao, Xiaolong Wang, Alexei A Efros, Trevor Darrell

Recent self-supervised contrastive methods have been able to produce impressive transferable visual representations by learning to be invariant to different dat a augmentations. However, these methods implicitly assume a particular set of re presentational invariances (e.g., invariance to color), and can perform poorly when a downstream task violates this assumption (e.g., distinguishing red vs. yellow cars). We introduce a contrastive learning framework which does not require prior knowledge of specific, task-dependent invariances. Our model learns to cap ture varying and invariant factors for visual representations by constructing separate embedding spaces, each of which is invariant to all but one augmentation. We use a multi-head network with a shared backbone which captures information a cross each augmentation and alone outperforms all baselines on downstream tasks. We further find that the concatenation of the invariant and varying spaces performs best across all tasks we investigate, including coarse-grained, fine-grained, and few-shot downstream classification tasks, and various data corruptions.

Learning Cross-Domain Correspondence for Control with Dynamics Cycle-Consistency Qiang Zhang, Tete Xiao, Alexei A Efros, Lerrel Pinto, Xiaolong Wang

At the heart of many robotics problems is the challenge of learning corresponden ces across domains. For instance, imitation learning requires obtaining correspondence between humans and robots; sim-to-real requires correspondence between physics simulators and real hardware; transfer learning requires correspondences between different robot environments. In this paper, we propose to learn correspondence across such domains emphasizing on differing modalities (vision and internal state), physics parameters (mass and friction), and morphologies (number of limbs). Importantly, correspondences are learned using unpaired and randomly collected data from the two domains. We propose dynamics cycles that align dynamic robotic behavior across two domains using a cycle consistency constraint. Once this correspondence is found, we can directly transfer the policy trained on one domain to the other, without needing any additional fine-tuning on the second domain. We perform experiments across a variety of problem domains, both in simulation and on real robots. Our framework is able to align uncalibrated monocular video of a real robot arm to dynamic state-action trajectories of a simulated arm

without paired data. Video demonstrations of our results are available at: https://sites.google.com/view/cycledynamics.

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Mixture Representation Learning with Coupled Autoencoding Agents Yeganeh Marghi, Rohan Gala, Uygar Sümbül

Jointly identifying a mixture of discrete and continuous factors of variability can help unravel complex phenomena. We study this problem by proposing an unsupe rvised framework called coupled mixture VAE (cpl-mixVAE), which utilizes multiple interacting autoencoding agents. The individual agents operate on augmented copies of training samples to learn mixture representations, while being encourage doto reach consensus on the categorical assignments. We provide theoretical just ification to motivate the use of a multi-agent framework, and formulate it as a variational inference problem. We benchmark our approach on MNIST and dSprites, achieving state-of-the-art categorical assignments while preserving interpretability of the continuous factors. We then demonstrate the utility of this approach in jointly identifying cell types and type-specific, activity-regulated genes for a single-cell gene expression dataset profiling over 100 cortical neuron type

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On the Latent Space of Flow-based Models

Mingtian Zhang, Yitong Sun, Steven McDonagh, Chen Zhang

Flow-based generative models typically define a latent space with dimensionality identical to the observational space. In many problems, however, the data does not populate the full ambient data-space that they natively reside in, but rath er inhabit a lower-dimensional manifold. In such scenarios, flow-based models are unable to represent data structures exactly as their density will always have support off the data manifold, potentially resulting in degradation of model per formance. In addition, the requirement for equal latent and data space dimension ality can unnecessarily increase model complexity for contemporary flow models. Towards addressing these problems, we propose to learn a manifold prior that aff ords benefits to both the tasks of sample generation and representation quality. An auxiliary product of our approach is that we are able to identify the intrin sic dimension of the data distribution.

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On Data-Augmentation and Consistency-Based Semi-Supervised Learning Atin Ghosh, Alexandre H. Thiery

Recently proposed consistency-based Semi-Supervised Learning (SSL) methods such as the Pi-model, temporal ensembling, the mean teacher, or the virtual adversari al training, achieve the state of the art results in several SSL tasks. These me thods can typically reach performances that are comparable to their fully superv ised counterparts while using only a fraction of labelled examples. Despite thes e methodological advances, the understanding of these methods is still relativel y limited. To make progress, we analyse (variations of) the Pi-model in settings where analytically tractable results can be obtained. We establish links with M anifold Tangent Classifiers and demonstrate that the quality of the perturbation s is key to obtaining reasonable SSL performances. Furthermore, we propose a sim ple extension of the Hidden Manifold Model that naturally incorporates data-augm entation schemes and offers a tractable framework for understanding SSL methods.

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SpreadsheetCoder: Formula Prediction from Semi-structured Context

Xinyun Chen, Petros Maniatis, Rishabh Singh, Charles Sutton, Hanjun Dai, Max Lin, Denn y Zhou

Spreadsheet formula prediction has been an important program synthesis problem w ith many real-world applications. Previous works typically utilize input-output examples as the specification for spreadsheet formula synthesis, where each input-output pair simulates a separate row in the spreadsheet. However, such a formulation does not fully capture the rich context in real-world spreadsheets. First, spreadsheet data entries are organized as tables, thus rows and columns are not necessarily independent from each other. In addition, many spreadsheet tables include headers, which provide high-level descriptions of the cell data. However

, previous synthesis approaches do not consider headers as part of the specifica tion. In this work, we present the first approach for synthesizing spreadsheet f ormulas from tabular context, which includes both headers and semi-structured ta bular data. In particular, we propose SpreadsheetCoder, a BERT-based model architecture to represent the tabular context in both row-based and column-based form ats. We train our model on a large dataset of spreadsheets, and demonstrate that SpreadsheetCoder achieves top-1 prediction accuracy of \$42.51\%\$, which is a considerable improvement over baselines that do not employ rich tabular context.

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Informative Outlier Matters: Robustifying Out-of-distribution Detection Using Outlier Mining

Jiefeng Chen, Yixuan Li, Xi Wu, Yingyu Liang, Somesh Jha

Detecting out-of-distribution (OOD) inputs is critical for safely deploying deep learning models in an open-world setting. However, existing OOD detection solut ions can be brittle in the open world, facing various types of adversarial OOD i nputs. While methods leveraging auxiliary OOD data have emerged, our analysis re veals a key insight that the majority of auxiliary OOD examples may not meaningf ully improve the decision boundary of the OOD detector. In this paper, we provid e a theoretically motivated method, Adversarial Training with informative Outlie r Mining (ATOM), which improves the robustness of OOD detection. We show that, by mining informative auxiliary OOD data, one can significantly improve OOD detection performance, and somewhat surprisingly, generalize to unseen adversarial at tacks. ATOM achieves state-of-the-art performance under a broad family of classic and adversarial OOD evaluation tasks. For example, on the CIFAR-10 in-distribution dataset, ATOM reduces the FPR95 by up to 57.99\% under adversarial OOD inputs, surpassing the previous best baseline by a large margin.

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DDPNOpt: Differential Dynamic Programming Neural Optimizer

Guan-Horng Liu, Tianrong Chen, Evangelos Theodorou

Interpretation of Deep Neural Networks (DNNs) training as an optimal control pro blem with nonlinear dynamical systems has received considerable attention recent ly, yet the algorithmic development remains relatively limited. In this work, we make an attempt along this line by reformulating the training procedure from the trajectory optimization perspective. We first show that most widely-used algor ithms for training DNNs can be linked to the Differential Dynamic Programming (DDP), a celebrated second-order method rooted in the Approximate Dynamic Programming. In this vein, we propose a new class of optimizer, DDP Neural Optimizer (DDPNOpt), for training feedforward and convolution networks. DDPNOpt features layer-wise feedback policies which improve convergence and reduce sensitivity to hyper-parameter over existing methods. It outperforms other optimal-control inspired training methods in both convergence and complexity, and is competitive against state-of-the-art first and second order methods. We also observe DDPNOpt has surprising benefit in preventing gradient vanishing. Our work opens up new avenues for principled algorithmic design built upon the optimal control theory.

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Differentiate Everything with a Reversible Domain-Specific Language JinGuo Liu, Taine Zhao

Reverse-mode automatic differentiation (AD) suffers from the issue of having too much space overhead to trace back intermediate computational states for backpro pagation.

The traditional method to trace back states is called checkpointing that stores intermediate states into a global stack and restore state through either stack p op or re-computing.

The overhead of stack manipulations and re-computing makes the general purposed (or not tensor-based) AD engines unable to meet many industrial needs.

Instead of checkpointing, we propose to use reverse computing to trace back states by designing and implementing a reversible programming eDSL, where a program can be executed bi-directionally without implicit stack operations. The absence of implicit stack operations makes the program compatible with existing compiler features, including utilizing existing optimization passes and compiling the co

de as GPU kernels.

We implement AD for sparse matrix operations and some machine learning applications to show that our framework has state-of-the-art performance.

Diverse Video Generation using a Gaussian Process Trigger

Gaurav Shrivastava, Abhinav Shrivastava

Generating future frames given a few context (or past) frames is a challenging t ask. It requires modeling the temporal coherence of videos as well as multi-moda lity in terms of diversity in the potential future states. Current variational a pproaches for video generation tend to marginalize over multi-modal future outco mes. Instead, we propose to explicitly model the multi-modality in the future ou tcomes and leverage it to sample diverse futures. Our approach, Diverse Video Ge nerator, uses a GP to learn priors on future states given the past and maintains a probability distribution over possible futures given a particular sample. We leverage the changes in this distribution over time to control the sampling of d iverse future states by estimating the end of on-going sequences. In particular, we use the variance of GP over the output function space to trigger a change in the action sequence. We achieve state-of-the-art results on diverse future fram e generation in terms of reconstruction quality and diversity of the generated s equences.

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RRL: A Scalable Classifier for Interpretable Rule-Based Representation Learning Zhuo Wang, Wei Zhang, Ning Liu, Jianyong Wang

Rule-based models, e.g., decision trees, are widely used in scenarios demanding high model interpretability for their transparent inner structures and good mode 1 expressivity. However, rule-based models are hard to optimize, especially on 1 arge data sets, due to their discrete parameters and structures. Ensemble method s and fuzzy/soft rules are commonly used to tackle these issues, but they sacrif ice the model interpretability. In this paper, we propose a new classifier, name d Rule-based Representation Learner (RRL), that automatically learns interpretab le non-fuzzy rules for data representation. To train the non-differentiable RRL effectively, we project it to a continuous space and propose a novel training me thod, called Gradient Grafting, that can directly optimize the discrete model us ing gradient descent. An improved design of logical activation functions is also devised to increase the scalability of RRL and enable it to discretize the cont inuous features end-to-end. Exhaustive experiments on 9 small and 4 large data s ets show that RRL outperforms the competitive approaches, has low complexity clo se to the simple decision trees, and is rational for its main technical contribu tions.

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Monotonic Kronecker-Factored Lattice

William Taylor Bakst, Nobuyuki Morioka, Erez Louidor

It is computationally challenging to learn flexible monotonic functions that gua rantee model behavior and provide interpretability beyond a few input features, and in a time where minimizing resource use is increasingly important, we must b e able to learn such models that are still efficient. In this paper we show how to effectively and efficiently learn such functions using Kronecker-Factored Lat tice (\$\mathrm{KFL}\$), an efficient reparameterization of flexible monotonic lat tice regression via Kronecker product. Both computational and storage costs scal e linearly in the number of input features, which is a significant improvement o ver existing methods that grow exponentially. We also show that we can still pro perly enforce monotonicity and other shape constraints. The \$\mathrm{KFL}\$\$ funct ion class consists of products of piecewise-linear functions, and the size of th e function class can be further increased through ensembling. We prove that the function class of an ensemble of \$M\$ base \$\mathrm{KFL}\$ models strictly increas es as \$M\$ increases up to a certain threshold. Beyond this threshold, every mult ilinear interpolated lattice function can be expressed. Our experimental results demonstrate that \$\mathrm{KFL}\$ trains faster with fewer parameters while still achieving accuracy and evaluation speeds comparable to or better than the basel ine methods and preserving monotonicity guarantees on the learned model.

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Training By Vanilla SGD with Larger Learning Rates

Yueyao Yu, Jie Wang, Wenye Li, Yin Zhang

The stochastic gradient descent (SGD) method, first proposed in 1950's, has been the foundation for deep-neural-network (DNN) training with numerous enhancement s including adding a momentum or adaptively selecting learning rates, or using b oth strategies and more. A common view for SGD is that the learning rate should be eventually made small in order to reach sufficiently good approximate soluti ons. Another widely held view is that the vanilla SGD is out of fashion in comp arison to many of its modern variations. In this work, we provide a contrarian claim that, when training over-parameterized DNNs, the vanilla SGD can still com pete well with, and oftentimes outperform, its more recent variations by simply using learning rates significantly larger than commonly used values. We establi sh theoretical results to explain this local convergence behavior of SGD on nonc onvex functions, and also present computational evidence, across multiple tasks including image classification, speech recognition and natural language processing, to support the practice of using larger learning rates.

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Auto Seq-Loss: Searching Metric Surrogates for Semantic Segmentation Hao Li, Chenxin Tao, Xizhou Zhu, Xiaogang Wang, Gao Huang, Jifeng Dai Designing proper loss functions is essential in training deep networks. Especial ly in the field of semantic segmentation, various evaluation metrics have been p roposed for diverse scenarios. Despite the success of the widely adopted cross-e ntropy loss and its variants, the mis-alignment between the loss functions and e valuation metrics degrades the network performance. Meanwhile, manually designin g loss functions for each specific metric requires expertise and significant man power. In this paper, we propose to automate the design of metric-specific loss functions by searching differentiable surrogate losses for each metric. We subst itute the non-differentiable operations in the metrics with parameterized functi ons, and conduct parameter search to optimize the shape of loss surfaces. Two co nstraints are introduced to regularize the search space and make the search effi cient. Extensive experiments on PASCAL VOC and Cityscapes demonstrate that the s earched surrogate losses outperform the manually designed loss functions consist ently. The searched losses can generalize well to other datasets and networks. C ode shall be released at https://github.com/fundamentalvision/Auto-Seg-Loss.

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Deformable DETR: Deformable Transformers for End-to-End Object Detection Xizhou Zhu, Weijie Su, Lewei Lu, Bin Li, Xiaogang Wang, Jifeng Dai DETR has been recently proposed to eliminate the need for many hand-designed com ponents in object detection while demonstrating good performance. However, it su ffers from slow convergence and limited feature spatial resolution, due to the limitation of Transformer attention modules in processing image feature maps. To mitigate these issues, we proposed Deformable DETR, whose attention modules only attend to a small set of key sampling points around a reference. Deformable DETR can achieve better performance than DETR (especially on small objects) with 10 \$\times\$ less training epochs. Extensive experiments on the COCO benchmark demon strate the effectiveness of our approach. Code is released at https://github.com/fundamentalvision/Deformable-DETR.

Interpretable Sequence Classification Via Prototype Trajectory Dat Hong, Stephen Baek, Tong Wang

We propose a novel interpretable recurrent neural network (RNN) model, called Pr otoryNet, in which we introduce a new concept of prototype trajectories. Motivat ed by the prototype theory in modern linguistics, ProtoryNet makes a prediction by finding the most similar prototype for each sentence in a text sequence and f eeding an RNN backbone with the proximity of each of the sentences to the prototypes. The RNN backbone then captures the temporal pattern of the prototypes, to which we refer as prototype trajectories. The prototype trajectories enable intuitive, fine-grained interpretation of how the model reached to the final predict

ion, resembling the process of how humans analyze paragraphs. Experiments conducted on multiple public data sets reveal that the proposed method not only is more interpretable but also is more accurate than the current state-of-the-art prototype-based method. Furthermore, we report a survey result indicating that human users find ProtoryNet more intuitive and easier to understand, compared to the other prototype-based methods.

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Whitening and second order optimization both destroy information about the datas et, and can make generalization impossible

Neha S. Wadia, Daniel Duckworth, Samuel Stern Schoenholz, Ethan Dyer, Jascha Sohl-Dickstein

Machine learning is predicated on the concept of generalization: a model achievi ng low error on a sufficiently large training set should also perform well on no vel samples from the same distribution. We show that both data whitening and sec ond order optimization can harm or entirely prevent generalization. In general, model training harnesses information contained in the sample-sample second momen t matrix of a dataset. For a general class of models, namely models with a fully connected first layer, we prove that the information contained in this matrix i s the only information which can be used to generalize. Models trained using whi tened data, or with certain second order optimization schemes, have less access to this information; in the high dimensional regime they have no access at all, resulting in poor or nonexistent generalization ability. We experimentally verif y these predictions for several architectures, and further demonstrate that gene ralization continues to be harmed even when theoretical requirements are relaxed . However, we also show experimentally that regularized second order optimizatio n can provide a practical tradeoff, where training is accelerated but less infor mation is lost, and generalization can in some circumstances even improve.

Imbalanced Gradients: A New Cause of Overestimated Adversarial Robustness Linxi Jiang, Xingjun Ma, Zejia Weng, James Bailey, Yu-Gang Jiang Evaluating the robustness of a defense model is a challenging task in adversaria 1 robustness research. Obfuscated gradients, a type of gradient masking, have pr eviously been found to exist in many defense methods and cause a false signal of robustness. In this paper, we identify a more subtle situation called \emph{Imb alanced Gradients} that can also cause overestimated adversarial robustness. The phenomenon of imbalanced gradients occurs when the gradient of one term of the margin loss dominates and pushes the attack towards to a suboptimal direction. T o exploit imbalanced gradients, we formulate a \emph{Margin Decomposition (MD)} attack that decomposes a margin loss into individual terms and then explores the attackability of these terms separately via a two-stage process. We examine 12 state-of-the-art defense models, and find that models exploiting label smoothing easily cause imbalanced gradients, and on which our MD attacks can decrease the ir PGD robustness (evaluated by PGD attack) by over 23%. For 6 out of the 12 de fenses, our attack can reduce their PGD robustness by at least 9\%. The results suggest that imbalanced gradients need to be carefully addressed for more reliab le adversarial robustness.

Democratizing Evaluation of Deep Model Interpretability through Consensus Xuhong Li, Haoyi Xiong, Siyu Huang, Shilei Ji, Yanjie Fu, Dejing Dou Deep learning interpretability tools, such as (Bau et al., 2017; Ribeiro et al., 2016; Smilkov et al., 2017), have been proposed to explain and visualize the ways that deep neural networks make predictions. The success of these methods high ly relies on human subjective interpretations, i.e., the ground truth of interpretations, such as feature importance ranking or locations of visual objects, when evaluating the interpretability of the deep models on a specion task. For task so that the ground truth of interpretations is not available, we propose a novel framework Consensus incorporating an ensemble of deep models as the committee for interpretability evaluation. Given any task/dataset, Consensus Test obtains the interpretation results using existing tools, e.g., LIME (Ribeiro et al., 2016), for every model in the committee, then aggregates the results from the entire

committee and approximates the "ground truth" of interpretations through voting. With such approximated ground truth, Consensus evaluates the interpretability of a model through matching its interpretation result and the approximated one, a nd ranks the matching scores together with committee members, so as to pursue the absolute and relative interpretability evaluation results. We carry out extens ive experiments to validate Consensus on various datasets. The results show that Consensus can precisely identify the interpretability for a wide range of model s on ubiquitous datasets that the ground truth is not available. Robustness anal yses further demonstrate the advantage of the proposed framework to reach the consensus of interpretations through simple voting and evaluate the interpretability of deep models. Through the proposed Consensus framework, the interpretability evaluation has been democratized without the need of ground truth as criterion

Iterative Graph Self-Distillation

Hanlin Zhang, Shuai Lin, Weiyang Liu, Pan Zhou, Jian Tang, Xiaodan Liang, Eric Xing How to discriminatively vectorize graphs is a fundamental challenge that attract s increasing attentions in recent years. Motivated by the recent success of unsu pervised contrastive learning, we aim to learn graph-level representation in an unsupervised manner. Specifically, we propose a novel unsupervised graph learnin g paradigm called Iterative Graph Self-Distillation (IGSD) which iteratively per forms the teacher-student distillation with graph augmentations. Different from conventional knowledge distillation, IGSD constructs the teacher with an exponen tial moving average of the student model and distills the knowledge of itself. T he intuition behind IGSD is to predict the teacher network representation of the graph pairs under different augmented views. As a natural extension, we also ap ply IGSD to semi-supervised scenarios by jointly regularizing the network with b oth supervised and unsupervised contrastive loss. Finally, we show that finetuni ng the IGSD-trained models with self-training can further improve the graph repr esentation power. Empirically, we achieve significant and consistent performance gain on various graph datasets in both unsupervised and semi-supervised setting s, which well validates the superiority of IGSD.

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Combining Physics and Machine Learning for Network Flow Estimation Arlei Lopes da Silva, Furkan Kocayusufoglu, Saber Jafarpour, Francesco Bullo, Ananth ram Swami, Ambuj Singh

The flow estimation problem consists of predicting missing edge flows in a netwo rk (e.g., traffic, power, and water) based on partial observations. These missin g flows depend both on the underlying \textit{physics} (edge features and a flow conservation law) as well as the observed edge flows. This paper introduces an optimization framework for computing missing edge flows and solves the problem u sing bilevel optimization and deep learning. More specifically, we learn regular izers that depend on edge features (e.g., number of lanes in a road, the resista nce of a power line) using neural networks. Empirical results show that our meth od accurately predicts missing flows, outperforming the best baseline, and is ab le to capture relevant physical properties in traffic and power networks.

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NeMo: Neural Mesh Models of Contrastive Features for Robust 3D Pose Estimation Angtian Wang, Adam Kortylewski, Alan Yuille

3D pose estimation is a challenging but important task in computer vision. In th is work, we show that standard deep learning approaches to 3D pose estimation ar e not robust to partial occlusion. Inspired by the robustness of generative visi on models to partial occlusion, we propose to integrate deep neural networks wit h 3D generative representations of objects into a unified neural architecture th at we term NeMo. In particular, NeMo learns a generative model of neural feature activations at each vertex on a dense 3D mesh. Using differentiable rendering w e estimate the 3D object pose by minimizing the reconstruction error between NeM o and the feature representation of the target image. To avoid local optima in the reconstruction loss, we train the feature extractor to maximize the distance between the individual feature representations on the mesh using contrastive lea

rning. Our extensive experiments on PASCAL3D+, occluded-PASCAL3D+ and ObjectNet3 D show that NeMo is much more robust to partial occlusion compared to standard d eep networks, while retaining competitive performance on non-occluded data. Inte restingly, our experiments also show that NeMo performs reasonably well even whe n the mesh representation only crudely approximates the true object geometry wit h a cuboid, hence revealing that the detailed 3D geometry is not needed for accurate 3D pose estimation.

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How to Find Your Friendly Neighborhood: Graph Attention Design with Self-Supervi

Dongkwan Kim, Alice Oh

Attention mechanism in graph neural networks is designed to assign larger weight s to important neighbor nodes for better representation. However, what graph attention learns is not understood well, particularly when graphs are noisy. In this paper, we propose a self-supervised graph attention network (SuperGAT), an improved graph attention model for noisy graphs. Specifically, we exploit two attention forms compatible with a self-supervised task to predict edges, whose presence and absence contain the inherent information about the importance of the relationships between nodes. By encoding edges, SuperGAT learns more expressive attention in distinguishing mislinked neighbors. We find two graph characteristics influence the effectiveness of attention forms and self-supervision: homophily and average degree. Thus, our recipe provides guidance on which attention design to use when those two graph characteristics are known. Our experiment on 17 real-world datasets demonstrates that our recipe generalizes across 15 datasets of them, and our models designed by recipe show improved performance over baselines.

MiCE: Mixture of Contrastive Experts for Unsupervised Image Clustering Tsung Wei Tsai, Chongxuan Li, Jun Zhu

We present Mixture of Contrastive Experts (MiCE), a unified probabilistic cluste ring framework that simultaneously exploits the discriminative representations l earned by contrastive learning and the semantic structures captured by a latent mixture model. Motivated by the mixture of experts, MiCE employs a gating functi on to partition an unlabeled dataset into subsets according to the latent semant ics and multiple experts to discriminate distinct subsets of instances assigned to them in a contrastive learning manner. To solve the nontrivial inference and learning problems caused by the latent variables, we further develop a scalable variant of the Expectation-Maximization (EM) algorithm for MiCE and provide proof of the convergence. Empirically, we evaluate the clustering performance of MiCE on four widely adopted natural image datasets. MiCE achieves significantly bet ter results than various previous methods and a strong contrastive learning base line.

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Anytime Sampling for Autoregressive Models via Ordered Autoencoding Yilun Xu, Yang Song, Sahaj Garg, Linyuan Gong, Rui Shu, Aditya Grover, Stefano Ermon Autoregressive models are widely used for tasks such as image and audio generati on. The sampling process of these models, however, does not allow interruptions and cannot adapt to real-time computational resources. This challenge impedes th e deployment of powerful autoregressive models, which involve a slow sampling pr ocess that is sequential in nature and typically scales linearly with respect to the data dimension. To address this difficulty, we propose a new family of aut oregressive models that enables anytime sampling. Inspired by Principal Componen t Analysis, we learn a structured representation space where dimensions are orde red based on their importance with respect to reconstruction. Using an autoregre ssive model in this latent space, we trade off sample quality for computational efficiency by truncating the generation process before decoding into the origina 1 data space. Experimentally, we demonstrate in several image and audio generati on tasks that sample quality degrades gracefully as we reduce the computational budget for sampling. The approach suffers almost no loss in sample quality (meas ured by FID) using only 60\% to 80\% of all latent dimensions for image data. Co de is available at https://github.com/Newbeeer/Anytime-Auto-Regressive-Model.

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Rethinking Convolution: Towards an Optimal Efficiency Tao Wei, Yonghong Tian, Chang Wen Chen

In this paper, we present our recent research about the computational efficiency in convolution. Convolution operation is the most critical component in recent surge of deep learning research. Conventional 2D convolution takes  $O(C^{2}K^{2})$ HW)\$ to calculate, where \$C\$ is the channel size, \$K\$ is the kernel size, while \$H\$ and \$W\$ are the output height and width. Such computation has become really costly considering that these parameters increased over the past few years to me et the needs of demanding applications. Among various implementation of the conv olution, separable convolution has been proven to be more efficient in reducing the computational demand. For example, depth separable convolution reduces the c omplexity to  $O(CHW\cdot Cdot(C+K^{2}))$  while spatial separable convolution reduces the complexity to  $O(C^{2}KHW)$ . However, these are considered an ad hoc design which cannot ensure that they can in general achieve optimal separation. In this research, we propose a novel operator called \emph{optimal separable convolutio n) which can be calculated at  $O(C^{\frac{3}{2}}KHW)$  by optimal design for the internal number of groups and kernel sizes for general separable convolutions. W hen there is no restriction in the number of separated convolutions, an even low er complexity at  $O(CHW \cdot Cdot \log CK^{2})$  can be achieved. Experimental results demonstrate that the proposed optimal separable convolution is able to achieve an improved accuracy-FLOPs and accuracy-#Params trade-offs over both conventiona 1 and depth/spatial separable convolutions.

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Initialization and Regularization of Factorized Neural Layers Mikhail Khodak, Neil A. Tenenholtz, Lester Mackey, Nicolo Fusi

Factorized layers-operations parameterized by products of two or more matrices-o ccur in a variety of deep learning contexts, including compressed model training , certain types of knowledge distillation, and multi-head self-attention archite ctures. We study how to initialize and regularize deep nets containing such laye rs, examining two simple, understudied schemes, spectral initialization and Frob enius decay, for improving their performance. The guiding insight is to design o ptimization routines for these networks that are as close as possible to that of their well-tuned, non-decomposed counterparts; we back this intuition with an a nalysis of how the initialization and regularization schemes impact training wit h gradient descent, drawing on modern attempts to understand the interplay of we ight-decay and batch-normalization. Empirically, we highlight the benefits of sp ectral initialization and Frobenius decay across a variety of settings. In model compression, we show that they enable low-rank methods to significantly outperf orm both unstructured sparsity and tensor methods on the task of training low-me mory residual networks; analogs of the schemes also improve the performance of t ensor decomposition techniques. For knowledge distillation, Frobenius decay enab les a simple, overcomplete baseline that yields a compact model from over-parame terized training without requiring retraining with or pruning a teacher network. Finally, we show how both schemes applied to multi-head attention lead to impro ved performance on both translation and unsupervised pre-training.

CO2: Consistent Contrast for Unsupervised Visual Representation Learning Chen Wei, Huiyu Wang, Wei Shen, Alan Yuille

Contrastive learning has recently been a core for unsupervised visual representa tion learning. Without human annotation, the common practice is to perform an in stance discrimination task: Given a query image crop, label crops from the same image as positives, and crops from other randomly sampled images as negatives. An important limitation of this label assignment is that it can not reflect the heterogeneous similarity of the query crop to crops from other images, but regard ing them as equally negative. To address this issue, inspired by consistency regularization in semi-supervised learning, we propose Consistent Contrast (CO2), which introduces a consistency term into unsupervised contrastive learning framework. The consistency term takes the similarity of the query crop to crops from other images as unlabeled, and the corresponding similarity of a positive crop as

a pseudo label. It then encourages consistency between these two similarities. Empirically, CO2 improves Momentum Contrast (MoCo) by 2.9% top-1 accuracy on Ima geNet linear protocol, 3.8% and 1.1% top-5 accuracy on 1% and 10% labeled semi-s upervised settings. It also transfers to image classification, object detection, and semantic segmentation on PASCAL VOC. This shows that CO2 learns better visu al representations for downstream tasks.

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Geometry-Aware Gradient Algorithms for Neural Architecture Search Liam Li, Mikhail Khodak, Nina Balcan, Ameet Talwalkar

Recent state-of-the-art methods for neural architecture search (NAS) exploit gra dient-based optimization by relaxing the problem into continuous optimization ov er architectures and shared-weights, a noisy process that remains poorly underst ood. We argue for the study of single-level empirical risk minimization to under stand NAS with weight-sharing, reducing the design of NAS methods to devising op timizers and regularizers that can quickly obtain high-quality solutions to this problem. Invoking the theory of mirror descent, we present a geometry-aware fra mework that exploits the underlying structure of this optimization to return spa rse architectural parameters, leading to simple yet novel algorithms that enjoy fast convergence guarantees and achieve state-of-the-art accuracy on the latest NAS benchmarks in computer vision. Notably, we exceed the best published results for both CIFAR and ImageNet on both the DARTS search space and NAS-Bench-201; on the latter we achieve near-oracle-optimal performance on CIFAR-10 and CIFAR-10. Together, our theory and experiments demonstrate a principled way to co-desig

Beyond Fully-Connected Layers with Quaternions: Parameterization of Hypercomplex Multiplications with 1/n Parameters

Aston Zhang, Yi Tay, SHUAI Zhang, Alvin Chan, Anh Tuan Luu, Siu Hui, Jie Fu

n optimizers and continuous relaxations of discrete NAS search spaces.

Recent works have demonstrated reasonable success of representation learning in hypercomplex space. Specifically, "fully-connected layers with quaternions" (qua ternions are 4D hypercomplex numbers), which replace real-valued matrix multipli cations in fully-connected layers with Hamilton products of quaternions, both en joy parameter savings with only 1/4 learnable parameters and achieve comparable performance in various applications. However, one key caveat is that hypercomple x space only exists at very few predefined dimensions (4D, 8D, and 16D). This re stricts the flexibility of models that leverage hypercomplex multiplications. To this end, we propose parameterizing hypercomplex multiplications, allowing mode ls to learn multiplication rules from data regardless of whether such rules are predefined. As a result, our method not only subsumes the Hamilton product, but also learns to operate on any arbitrary \$n\$D hypercomplex space, providing more architectural flexibility using arbitrarily \$1/n\$ learnable parameters compared with the fully-connected layer counterpart. Experiments of applications to the L STM and transformer models on natural language inference, machine translation, t ext style transfer, and subject verb agreement demonstrate architectural flexibi lity and effectiveness of the proposed approach.

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Asynchronous Advantage Actor Critic: Non-asymptotic Analysis and Linear Speedup Han Shen, Kaiqing Zhang, Mingyi Hong, Tianyi Chen

Asynchronous and parallel implementation of standard reinforcement learning (RL) algorithms is a key enabler of the tremendous success of modern RL.

Among many asynchronous RL algorithms, arguably the most popular and effective one is the asynchronous advantage actor-critic (A3C) algorithm.

Although A3C is becoming the workhorse of RL, its theoretical properties are still not well-understood, including the non-asymptotic analysis and the performance gain of parallelism (a.k.a. speedup).

This paper revisits the A3C algorithm with TD(0) for the critic update, termed A 3C-TD(0), with provable convergence guarantees.

With linear value function approximation for the TD update, the convergence of A 3C-TD(0) is established under both i.i.d. and Markovian sampling. Under i.i.d. s ampling, A3C-TD(0) obtains sample complexity of  $\alpha_0$  (\epsilon^{-2.5}/N)\$

per worker to achieve  $\epsilon$ , where  $\pi$  is the number of workers. Compared to the best-known sample complexity of  $\hat{0}(\epsilon_2.5)$  for two-timescale AC, A3C-TD(0) achieves  $\epsilon_3$ , which justifies the advantage of parallelism and asynchrony in AC algorithms theoretically for the first time.

Numerical tests on synthetically generated instances and OpenAI Gym environments have been provided to verify our theoretical analysis.

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Tent: Fully Test-Time Adaptation by Entropy Minimization

Dequan Wang, Evan Shelhamer, Shaoteng Liu, Bruno Olshausen, Trevor Darrell

A model must adapt itself to generalize to new and different data during testing . In this setting of fully test-time adaptation the model has only the test data and its own parameters. We propose to adapt by test entropy minimization (tent): we optimize the model for confidence as measured by the entropy of its predict ions. Our method estimates normalization statistics and optimizes channel-wise a ffine transformations to update online on each batch. Tent reduces generalization error for image classification on corrupted ImageNet and CIFAR-10/100 and reaches a new state-of-the-art error on ImageNet-C. Tent handles source-free domain adaptation on digit recognition from SVHN to MNIST/MNIST-M/USPS, on semantic segmentation from GTA to Cityscapes, and on the VisDA-C benchmark. These results are achieved in one epoch of test-time optimization without altering training.

Bidirectionally Self-Normalizing Neural Networks

Yao Lu, Stephen Gould, Thalaiyasingam Ajanthan

The problem of exploding and vanishing gradients has been a long-standing obstac le that hinders the effective training of neural networks. Despite various trick s and techniques that have been employed to alleviate the problem in practice, t here still lacks satisfactory theories or provable solutions. In this paper, we address the problem from the perspective of high-dimensional probability theory. We provide a rigorous result that shows, under mild conditions, how the exploding/vanishing gradient problem disappears with high probability if the neural networks have sufficient width. Our main idea is to constrain both forward and back ward signal propagation in a nonlinear neural network through a new class of act ivation functions, namely Gaussian-Poincaré normalized functions, and orthogonal weight matrices. Experiments on both synthetic and real-world data validate our theory and confirm its effectiveness on very deep neural networks when applied in practice.

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Reducing Class Collapse in Metric Learning with Easy Positive Sampling Elad Levi, Tete Xiao, Xiaolong Wang, trevor darrell

Metric learning seeks perceptual embeddings where visually similar instances are close and dissimilar instances are apart, but learned representation can be sub -optimal when the distribution of intra-class samples is diverse and distinct su b-clusters are present. We theoretically prove and empirically show that under r easonable noise assumptions, prevalent embedding losses in metric learning, e.g. , triplet loss, tend to project all samples of a class with various modes onto a single point in the embedding space, resulting in a class collapse that usually renders the space ill-sorted for classification or retrieval. To address this p roblem, we propose a simple modification to the embedding losses such that each sample selects its nearest same-class counterpart in a batch as the positive ele ment in the tuple/triplet. This allows for the presence of multiple sub-clusters within each class. The adaptation can be integrated into a wide range of metric learning losses. Our method demonstrates clear benefits on various fine-grained image retrieval datasets over a variety of existing losses; qualitative retriev al results show that samples with similar visual patterns are indeed closer in t he embedding space.

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Crowd-sourced Phrase-Based Tokenization for Low-Resourced Neural Machine Translation: The case of Fon Language

Bonaventure F. P. Dossou, Chris Chinenye Emezue

Building effective neural machine translation (NMT) models for very low-resource d and morphologically rich African indigenous languages is an open challenge. Be sides the issue of finding available resources for them, a lot of work is put in to preprocessing and tokenization. Recent studies have shown that standard token ization methods do not always adequately deal with the grammatical, diacritical, and tonal properties of some African languages. That, coupled with the extremely low availability of training samples, hinders the production of reliable NMT models. In this paper, using Fon language as a case study, we revisit standard to kenization methods and introduce Word-Expressions-Based (WEB) tokenization, a human-involved super-words tokenization strategy to create a better representative vocabulary for training.

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DC3: A learning method for optimization with hard constraints Priya L. Donti, David Rolnick, J Zico Kolter

Large optimization problems with hard constraints arise in many settings, yet cl assical solvers are often prohibitively slow, motivating the use of deep network s as cheap "approximate solvers." Unfortunately, naive deep learning approaches typically cannot enforce the hard constraints of such problems, leading to infea sible solutions. In this work, we present Deep Constraint Completion and Correct ion (DC3), an algorithm to address this challenge. Specifically, this method enforces feasibility via a differentiable procedure, which implicitly completes par tial solutions to satisfy equality constraints and unrolls gradient-based corrections to satisfy inequality constraints. We demonstrate the effectiveness of DC3 in both synthetic optimization tasks and the real-world setting of AC optimal power flow, where hard constraints encode the physics of the electrical grid. In both cases, DC3 achieves near-optimal objective values while preserving feasibil

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Symmetric Wasserstein Autoencoders

Sun Sun, Hongyu Guo

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Leveraging the framework of Optimal Transport, we introduce a new family of gene rative autoencoders with a learnable prior, called Symmetric Wasserstein Autoenc oders (SWAEs). We propose to symmetrically match the joint distributions of the observed data and the latent representation induced by the encoder and the decod er. The resultant algorithm jointly optimizes the modelling losses in both the d ata and the latent spaces with the loss in the data space leading to the denois ing effect. With the symmetric treatment of the data and the latent representati on, the algorithm implicitly preserves the local structure of the data in the latent space. To further improve the latent representation, we incorporate a reconstruction loss into the objective, which significantly benefits both the generation and reconstruction. We empirically show the superior performance of SWAEs over several state-of-the-art generative autoencoders in terms of classification, reconstruction, and generation.

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ResPerfNet: Deep Residual Learning for Regressional Performance Modeling of Deep Neural Networks

Chuan-Chi Wang, Ying-Chiao Liao, Chia-Heng Tu, Ming-Chang Kao, Wen-Yew Liang, Shih-Hao Hung

The rapid advancements of computing technology facilitate the development of diverse deep learning applications. Unfortunately, the efficiency of parallel computing infrastructures varies widely with neural network models, which hinders the exploration of the design space to find high-performance neural network archite ctures on specific computing platforms for a given application. To address such a challenge, we propose a deep learning-based method, ResPerfNet, which trains a residual neural network with representative datasets obtained on the target platform to predict the performance for a deep neural network. Our experimental results show that ResPerfNet can accurately predict the execution time of individual neural network layers and full network models on a variety of platforms. In particular, ResPerfNet achieves 8.4% of mean absolute percentage error for LeNet,

AlexNet and VGG16 on the NVIDIA GTX 1080Ti, which is substantially lower than the previously published works.

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Neighborhood-Aware Neural Architecture Search

Xiaofang Wang, Shengcao Cao, Mengtian Li, Kris M. Kitani

Existing neural architecture search (NAS) methods often return an architecture w ith good search performance but generalizes poorly to the test setting. To achie ve better generalization, we propose a novel neighborhood-aware NAS formulation to identify flat-minima architectures in the search space, with the assumption t hat flat minima generalize better than sharp minima. The phrase ``flat-minima ar chitecture'' refers to architectures whose performance is stable under small per turbations in the architecture (\emph{e.g.}, replacing a convolution with a skip connection). Our formulation takes the ``flatness'' of an architecture into acc ount by aggregating the performance over the neighborhood of this architecture. We demonstrate a principled way to apply our formulation to existing search algo rithms, including sampling-based algorithms and gradient-based algorithms. To fa cilitate the application to gradient-based algorithms, we also propose a differe ntiable representation for the neighborhood of architectures. Based on our formu lation, we propose neighborhood-aware random search (NA-RS) and neighborhood-awa re differentiable architecture search (NA-DARTS). Notably, by simply augmenting DARTS~\cite{liu2018darts} with our formulation, NA-DARTS finds architectures tha t perform better or on par with those found by state-of-the-art NAS methods on e stablished benchmarks, including CIFAR-10, CIAFR-100 and ImageNet.

Enforcing robust control guarantees within neural network policies Priya L. Donti, Melrose Roderick, Mahyar Fazlyab, J Zico Kolter

When designing controllers for safety-critical systems, practitioners often face a challenging tradeoff between robustness and performance. While robust control methods provide rigorous guarantees on system stability under certain worst-cas e disturbances, they often yield simple controllers that perform poorly in the a verage (non-worst) case. In contrast, nonlinear control methods trained using de ep learning have achieved state-of-the-art performance on many control tasks, but often lack robustness guarantees. In this paper, we propose a technique that combines the strengths of these two approaches: constructing a generic nonlinear control policy class, parameterized by neural networks, that nonetheless enforce s the same provable robustness criteria as robust control. Specifically, our approach entails integrating custom convex-optimization-based projection layers into a neural network-based policy. We demonstrate the power of this approach on se veral domains, improving in average-case performance over existing robust control methods and in worst-case stability over (non-robust) deep RL methods.

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Neural Topic Model via Optimal Transport

He Zhao, Dinh Phung, Viet Huynh, Trung Le, Wray Buntine

Recently, Neural Topic Models (NTMs) inspired by variational autoencoders have o btained increasingly research interest due to their promising results on text an alysis. However, it is usually hard for existing NTMs to achieve good document r epresentation and coherent/diverse topics at the same time. Moreover, they often degrade their performance severely on short documents. The requirement of repar ameterisation could also comprise their training quality and model flexibility. To address these shortcomings, we present a new neural topic model via the theor y of optimal transport (OT). Specifically, we propose to learn the topic distrib ution of a document by directly minimising its OT distance to the document's wor d distributions. Importantly, the cost matrix of the OT distance models the weig hts between topics and words, which is constructed by the distances between topi cs and words in an embedding space. Our proposed model can be trained efficientl y with a differentiable loss. Extensive experiments show that our framework sign ificantly outperforms the state-of-the-art NTMs on discovering more coherent and diverse topics and deriving better document representations for both regular an d short texts.

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Robust and Generalizable Visual Representation Learning via Random Convolutions Zhenlin Xu, Deyi Liu, Junlin Yang, Colin Raffel, Marc Niethammer

While successful for various computer vision tasks, deep neural networks have sh own to be vulnerable to texture style shifts and small perturbations to which hu mans are robust. In this work, we show that the robustness of neural networks can be greatly improved through the use of random convolutions as data augmentation. Random convolutions are approximately shape-preserving and may distort local textures. Intuitively, randomized convolutions create an infinite number of new domains with similar global shapes but random local texture. Therefore, we explore using outputs of multi-scale random convolutions as new images or mixing them with the original images during training. When applying a network trained with our approach to unseen domains, our method consistently improves the performance on domain generalization benchmarks and is scalable to ImageNet. In particular, in the challenging scenario of generalizing to the sketch domain in PACS and to ImageNet-Sketch, our method outperforms state-of-art methods by a large margin. More interestingly, our method can benefit downstream tasks by providing a more robust pretrained visual representation.

WrapNet: Neural Net Inference with Ultra-Low-Precision Arithmetic Renkun Ni, Hong-min Chu, Oscar Castaneda, Ping-yeh Chiang, Christoph Studer, Tom Gold stein

Low-precision neural networks represent both weights and activations with few bits, drastically reducing the cost of multiplications. Meanwhile, these products are accumulated using high-precision (typically 32-bit) additions. Additions do minate the arithmetic complexity of inference in quantized (e.g., binary) nets, and high precision is needed to avoid overflow. To further optimize inference, we propose WrapNet, an architecture that adapts neural networks to use low-precision (8-bit) additions while achieving classification accuracy comparable to their 32-bit counterparts. We achieve resilience to low-precision accumulation by in serting a cyclic activation layer that makes results invariant to overflow. We demonstrate the efficacy of our approach using both software and hardware platfor

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Bridging Graph Network to Lifelong Learning with Feature Interaction Chen Wang, Yuheng Qiu, Sebastian Scherer

Graph neural networks (GNN) are powerful models for many graph-structured tasks. In this paper, we aim to bridge GNN to lifelong learning, which is to overcome the effect of ``catastrophic forgetting" for continuously learning a sequence of graph-structured tasks. Although many lifelong learning techniques for convolut ional neural networks (CNN) have been developed, lifelong learning for GNN is st ill underexplored and suffers from incomplete graph structure during learning. T his is because in lifelong learning the nodes increase dynamically and can only be present to the model once, which makes many graph models and sampling strateg ies inapplicable. To solve this problem, we propose a new graph topology based o n feature interaction, called the feature graph. It takes features as new nodes and turns nodes into independent graphs. This successfully converts the original problem of node classification to graph classification, in which the increasing nodes are turned into training samples. Therefore, the lifelong learning techni ques developed for CNN become applicable to GNN for the first time. In the exper iments, we demonstrate both the efficiency and effectiveness of feature graphs f or lifelong learning tasks using a rehearsal method. We expect that it will have broad potential applications for graph-structured tasks in lifelong learning.

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Score-based Causal Discovery from Heterogeneous Data

Chenwei Ding, Biwei Huang, Mingming Gong, Kun Zhang, Tongliang Liu, Dacheng Tao Causal discovery has witnessed significant progress over the past decades. Most algorithms in causal discovery consider a single domain with a fixed distributio n. However, it is commonplace to encounter heterogeneous data (data from differe nt domains with distribution shifts). Applying existing methods on such heterogeneous data may lead to spurious edges or incorrect directions in the learned gra

ph. In this paper, we develop a novel score-based approach for causal discovery from heterogeneous data. Specifically, we propose a Multiple-Domain Score Search (MDSS) algorithm, which is guaranteed to find the correct graph skeleton asympt otically. Furthermore, benefiting from distribution shifts, MDSS enables the det ection of more causal directions than previous algorithms designed for single do main data. The proposed MDSS can be readily incorporated into off-the-shelf sear ch strategies, such as the greedy search and the policy-gradient-based search. Theoretical analyses and extensive experiments on both synthetic and real data de monstrate the efficacy of our method.

ForceNet: A Graph Neural Network for Large-Scale Quantum Chemistry Simulation Weihua Hu, Muhammed Shuaibi, Abhishek Das, Siddharth Goyal, Anuroop Sriram, Jure Lesk ovec, Devi Parikh, Larry Zitnick

Machine Learning (ML) has a potential to dramatically accelerate large-scale phy sics-based simulations. However, practical models for real large-scale and compl ex problems remain out of reach. Here we present ForceNet, a model for accurate and fast quantum chemistry simulations to accelerate catalyst discovery for rene wable energy applications. ForceNet is a graph neural network that uses surround ing 3D molecular structure to estimate per-atom forces---a central capability fo r performing atomic simulations. The key challenge is to accurately capture high ly complex and non-linear quantum interactions of atoms in 3D space, on which fo rces are dependent. To this end, ForceNet adopts (1) expressive message passing architecture, (2) appropriate choice of basis and non-linear activation function s, and (3) model scaling in terms of network depth and width. We show ForceNet r educes the estimation error of atomic forces by 30% compared to existing ML mode ls, and generalizes well to out-of-distribution structures. Finally, we apply F orceNet to the large-scale catalyst dataset, OC20. We use ForceNet to perform qu antum chemistry simulations, where ForceNet is able to achieve 4x higher success rate than existing ML models. Overall, we demonstrate the potential for ML-base d simulations to achieve practical usefulness while being orders of magnitude fa ster than physics-based simulations.

Bi-tuning of Pre-trained Representations

Jincheng Zhong, Ximei Wang, Zhi Kou, Jianmin Wang, Mingsheng Long

It is common within the deep learning community to first pre-train a deep neural network from a large-scale dataset and then fine-tune the pre-trained model to a specific downstream task. Recently, both supervised and unsupervised pre-train ing approaches to learning representations have achieved remarkable advances, wh ich exploit the discriminative knowledge of labels and the intrinsic structure o f data, respectively. It follows natural intuition that both discriminative know ledge and intrinsic structure of the downstream task can be useful for fine-tuni ng, however, existing fine-tuning methods mainly leverage the former and discard the latter. A question arises: How to fully explore the intrinsic structure of data for boosting fine-tuning? In this paper, we propose Bi-tuning, a general le arning framework to fine-tuning both supervised and unsupervised pre-trained rep resentations to downstream tasks. Bi-tuning generalizes the vanilla fine-tuning by integrating two heads upon the backbone of pre-trained representations: a cla ssifier head with an improved contrastive cross-entropy loss to better leverage the label information in an instance-contrast way, and a projector head with a n ewly-designed categorical contrastive learning loss to fully exploit the intrins ic structure of data in a category-consistent way. Comprehensive experiments con firm that Bi-tuning achieves state-of-the-art results for fine-tuning tasks of b oth supervised and unsupervised pre-trained models by large margins (e.g.~10.7\% absolute rise in accuracy on CUB in low-data regime).

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CAFE: Catastrophic Data Leakage in Federated Learning

Xiao Jin, Ruijie Du, Pin-Yu Chen, Tianyi Chen

Private training data can be leaked through the gradient sharing mechanism deployed in machine learning systems, such as federated learning (FL).

Increasing batch size is often viewed as a promising defense strategy against da

ta leakage. In this paper, we revisit this defense premise and propose an advanced data leakage attack to efficiently recover batch data from the shared aggregated gradients.

We name our proposed method as \textit{\underline{c}atastrophic d\underline{a}ta leakage in \underline{f}ederated l\underline{e}arning (CAFE)}.

Comparing to existing data leakage attacks, CAFE demonstrates the ability to per form large-batch data leakage attack with high data recovery quality.

Experimental results on vertical and horizontal FL settings have validated the e ffectiveness of CAFE in recovering private data from the shared aggregated gradients

Our results suggest that data participated in FL, especially the vertical case, have a high risk of being leaked from the training gradients. Our analysis impli es unprecedented and practical data leakage risks in those learning settings.

Guarantees for Tuning the Step Size using a Learning-to-Learn Approach Xiang Wang, Shuai Yuan, Chenwei Wu, Rong Ge

Learning-to-learn—-using optimization algorithms to learn a new optimizer—-has successfully trained efficient optimizers in practice. This approach relies on meta-gradient descent on a meta-objective based on the trajectory that the optim izer generates. However, there were few theoretical guarantees on how to avoid meta-gradient explosion/vanishing problem, or how to train an optimizer with good generalization performance. In this paper, we study the learning-to-learn approach on a simple problem of tuning the step size for quadratic loss. Our results show that although there is a way to design the meta-objective so that the meta-gradient remains polynomially bounded, computing the meta-gradient directly using backpropagation leads to numerical issues that look similar to gradient explosion/vanishing problems. We also characterize when it is necessary to compute the meta-objective on a separate validation set instead of the original training set. Finally, we verify our results empirically and show that a similar phenomenon appears even for more complicated learned optimizers parametrized by neural net works.

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Pre-Training by Completing Point Clouds

Hanchen Wang, Qi Liu, Xiangyu Yue, Joan Lasenby, Matt Kusner

There has recently been a flurry of exciting advances in deep learning models on point clouds. However, these advances have been hampered by the difficulty of c reating labelled point cloud datasets: sparse point clouds often have unclear la bel identities for certain points, while dense point clouds are time-consuming t o annotate. Inspired by mask-based pre-training in the natural language processing community, we propose a pre-training mechanism based point clouds completion. It works by masking occluded points that result from observations at different camera views. It then optimizes a completion model that learns how to reconstruct the occluded points, given the partial point cloud. In this way, our method learns a pre-trained representation that can identify the visual constraints inher ently embedded in real-world point clouds. We call our method Occlusion Completion (OcCo). We demonstrate that OcCo learns representations that improve the semantic understandings as well as generalization on downstream tasks over prior methods, transfer to different datasets, reduce training time and improve label efficiency.

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Rank the Episodes: A Simple Approach for Exploration in Procedurally-Generated E nvironments

Daochen Zha, Wenye Ma, Lei Yuan, Xia Hu, Ji Liu

Exploration under sparse reward is a long-standing challenge of model-free reinf orcement learning. The state-of-the-art methods address this challenge by introd ucing intrinsic rewards to encourage exploration in novel states or uncertain en vironment dynamics. Unfortunately, methods based on intrinsic rewards often fall short in procedurally-generated environments, where a different environment is generated in each episode so that the agent is not likely to visit the same stat e more than once. Motivated by how humans distinguish good exploration behaviors

by looking into the entire episode, we introduce RAPID, a simple yet effective episode-level exploration method for procedurally-generated environments. RAPID regards each episode as a whole and gives an episodic exploration score from bot h per-episode and long-term views. Those highly scored episodes are treated as g ood exploration behaviors and are stored in a small ranking buffer. The agent th en imitates the episodes in the buffer to reproduce the past good exploration be haviors. We demonstrate our method on several procedurally-generated MiniGrid en vironments, a first-person-view 3D Maze navigation task from MiniWorld, and seve ral sparse MuJoCo tasks. The results show that RAPID significantly outperforms the state-of-the-art intrinsic reward strategies in terms of sample efficiency and final performance. The code is available at https://github.com/daochenzha/rapid

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FASG: Feature Aggregation Self-training GCN for Semi-supervised Node Classification

Gongpei Zhao, Tao Wang, Yidong Li, Yi Jin

Recently, Graph Convolutioal Networks (GCNs) have achieved significant success in many graph-based learning tasks, especially for node classification, due to it sexcellent ability in representation learning. Nevertheless, it remains challen ging for GCN models to obtain satisfying prediction on graphs where few nodes are with known labels. In this paper, we propose a novel self-training algorithm be ased on GCN to boost semi-supervised node classification on graphs with little supervised information. Inspired by self-supervision strategy, the proposed method introduces an ingenious checking part to add new nodes as supervision after each training epoch to enhance node prediction. In particular, the embedded checking part is designed based on aggregated features, which is more accurate than previous methods and boosts node classification significantly. The proposed algorithm is validated on three public benchmarks in comparison with several state-of-the-art baseline algorithms, and the results illustrate its excellent performance

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To be Robust or to be Fair: Towards Fairness in Adversarial Training Han Xu, Xiaorui Liu, Yaxin Li, Jiliang Tang

Adversarial training algorithms have been proven to be reliable to improve machine learning models' robustness against adversarial examples. However, we find that adversarial training algorithms tend to introduce severe disparity of accuracy and robustness between different groups of data. For instance, PGD adversarial ly trained ResNet18 model on CIFAR-10 has 93% clean accuracy and 67% PGD \$1\_{\infty}\$-8 adversarial accuracy on the class ''automobile'' but only 59% and 17% on class ''cat''. This phenomenon happens in balanced datasets and does not exist in naturally trained models when only using clean samples. In this work, we theo retically show that this phenomenon can generally happen under adversarial training algorithms which minimize DNN models' robust errors. Motivated by these find ings, we propose a Fair-Robust-Learning (FRL) framework to mitigate this unfairness problem when doing adversarial defenses and experimental results validate the effectiveness of FRL.

Generative Adversarial Neural Architecture Search with Importance Sampling SEYED SAEED CHANGIZ REZAEI, Fred X. Han, Di Niu, Mohammad Salameh, Keith G Mills, Shangling Jui

Despite the empirical success of neural architecture search (NAS) in deep learning applications, the optimality, reproducibility and cost of NAS schemes remain hard to assess. The variation in search spaces adopted has further affected a fair comparison between search strategies. In this paper, we focus on search strategies in NAS and propose Generative Adversarial NAS (GA-NAS), promoting stable and reproducible neural architecture search. GA-NAS is theoretically inspired by importance sampling for rare event simulation, and iteratively refits a generator to previously discovered top architectures, thus increasingly focusing on important parts of the search space. We propose an efficient adversarial learning approach in GA-NAS, where the generator is not trained based on a large number of

observations on architecture performance, but based on the relative prediction m ade by a discriminator, thus significantly reducing the number of evaluations required.

Extensive experiments show that GA-NAS beats the best published results under se veral cases on the public NAS benchmarks including NAS-Bench-101, NAS-Bench-201, and NAS-Bench-301. We further show that GA-NAS can handle ad-hoc search constraints and search spaces. GA-NAS can find new architectures that enhance Efficient Net and ProxylessNAS in terms of ImageNet Top-1 accuracy and/or the number of parameters by searching in their original search spaces.

Temperature check: theory and practice for training models with softmax-cross-en tropy losses

Atish Agarwala, Samuel Stern Schoenholz, Jeffrey Pennington, Yann Dauphin

The softmax function combined with a cross-entropy loss is a principled approach to modeling probability distributions that has become ubiquitous in deep learni ng. The softmax function is defined by a lone hyperparameter, the temperature, t hat is commonly set to one or regarded as a way to tune model confidence after t raining; however, less is known about how the temperature impacts training dynam ics or generalization performance. In this work we develop a theory of early lea rning for models trained with softmax-cross-entropy loss and show that the learn ing dynamics depend crucially on the inverse-temperature \$\beta\$ as well as the magnitude of the logits at initialization,  $|\cdot| \leq f(z)|_{2}$ . We follow up t hese analytic results with a large-scale empirical study of a variety of model a rchitectures trained on CIFAR10, ImageNet, and IMDB sentiment analysis. We find that generalization performance depends strongly on the temperature, but only we akly on the initial logit magnitude. We provide evidence that the dependence of generalization on \$\beta\$ is not due to changes in model confidence, but is a dy namical phenomenon. It follows that the addition of \$\beta\$ as a tunable hyperpa rameter is key to maximizing model performance. Although we find the optimal \$\b eta\$ to be sensitive to the architecture, our results suggest that tuning \$\beta \$ over the range  $$10^{-2}$ \$ to  $$10^1$ improves performance over all architecture$ s studied. We find that smaller \$\beta\$ may lead to better peak performance at t he cost of learning stability.

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Dynamic Relational Inference in Multi-Agent Trajectories

Ruichao Xiao, Manish Kumar Singh, Rose Yu

Unsupervised learning of interactions from multi-agent trajectories has broad ap plications in physics, vision, and robotics. However, existing neural relational inference works are limited to static relations. We consider a more general set ting of dynamic relational inference where interactions change over time. We pro pose DYnamic multi-Agent Relational Inference (DYARI) model, a deep generative model that can reason about dynamic relations. Using a simulated physics system, we study various dynamic relation scenarios, including periodic and additive dy namics. We perform a comprehensive study on the trade-off between dynamic and in ference period, the impact of the training scheme, and model architecture on dyn amic relational inference accuracy. We also showcase an application of our model to infer coordination and competition patterns from real-world multi-agent bask etball trajectories.

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Intrinsically Guided Exploration in Meta Reinforcement Learning Jin Zhang, Jianhao Wang, Hao Hu, Tong Chen, Yingfeng Chen, Changjie Fan, Chongjie Zhan

Deep reinforcement learning algorithms generally require large amounts of data to solve a single task. Meta reinforcement learning (meta-RL) agents learn to ada pt to novel unseen tasks with high sample efficiency by extracting useful prior knowledge from previous tasks. Despite recent progress, efficient exploration in meta-training and adaptation remains a key challenge in sparse-reward meta-RL tasks. We propose a novel off-policy meta-RL algorithm to address this problem, which disentangles exploration and exploitation policies and learns intrinsically motivated exploration behaviors. We design novel intrinsic rewards derived from

information gain to reduce task uncertainty and encourage the explorer to colle ct informative trajectories about the current task. Experimental evaluation show s that our algorithm achieves state-of-the-art performance on various sparse-rew ard MuJoCo locomotion tasks and more complex Meta-World tasks.

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TextSETTR: Label-Free Text Style Extraction and Tunable Targeted Restyling Parker Riley, Noah Constant, Mandy Guo, Girish Kumar, David Uthus, Zarana Parekh We present a novel approach to the challenging problem of label-free text style transfer. Unlike previous approaches that use parallel or non-parallel labeled d ata, our technique removes the need for labels entirely, relying instead on the implicit connection in style between adjacent sentences in unlabeled text. We sh ow that T5 (Raffel et al., 2020), a strong pretrained text-to-text model, can be adapted to extract a style vector from arbitrary text and use this vector to co ndition the decoder to perform style transfer. As the resulting learned style ve ctor space encodes many facets of textual style, we recast transfers as "targete d restyling" vector operations that adjust specific attributes of the input text while preserving others. When trained over unlabeled Amazon reviews data, our r esulting TextSETTR model is competitive on sentiment transfer, even when given o nly four exemplars of each class. Furthermore, we demonstrate that a single mode 1 trained on unlabeled Common Crawl data is capable of transferring along multip le dimensions including dialect, emotiveness, formality, politeness, and sentime nt.

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Online Testing of Subgroup Treatment Effects Based on Value Difference Miao Yu, Wenbin Lu, Rui Song

Online A/B testing plays a critical role in high-tech industry to guide product development and accelerate innovation. It performs a null hypothesis statistical test to determine which variant is better. However, a typical A/B test presents two problems: (i) a fixed-horizon framework inflates the false positive errors under continuous monitoring; (ii) the homogeneous effects assumption fails to id entify a subgroup with a beneficial treatment effect. In this paper, we propose a sequential test for subgroup treatment effects based on value difference, name d SUBTLE, to address these two problems simultaneously. The SUBTLE allows the ex perimenters to "peek" the results during the experiment without harming the stat istical guarantees. It assumes heterogeneous treatment effects and aims to test if some subgroup of the population will benefit from the investigative treatment . If the testing result indicates the existence of such subgroup, a subgroup wil 1 be identified using a readily available estimated optimal treatment rule. We e xamine the empirical performance of our proposed test on both simulations and a real data set. The results show that the SUBTLE has high detection power with co ntrolled type I error at any time, is more robust to noise covariates, and can a chieve early stopping compared with the corresponding fixed-horizon test.

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Neural Point Process for Forecasting Spatiotemporal Events Zihao Zhou, Xingyi Yang, Xinyi He, Ryan Rossi, Handong Zhao, Rose Yu Forecasting events occurring in space and time is a fundamental problem. Existin g neural point process models are only temporal and are limited in spatial infer ence. We propose a family of deep sequence models that integrate spatiotemporal point processes with deep neural networks. Our novel Neural Spatiotemporal Point Process model is flexible, efficient, and can accurately predict irregularly s ampled events. The key construction of our approach is based on space-time sepa ration of temporal intensity function and time-conditioned spatial density funct ion, which is approximated by kernel density estimation. We validate our model on the synthetic spatiotemporal Hawkes process and self-correcting process. On many benchmark spatiotemporal event forecasting datasets, our model demonstrates superior performances. To the best of our knowledge, this is the first neural point process model that can jointly predict the continuous space and time of event

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Making Coherence Out of Nothing At All: Measuring Evolution of Gradient Alignmen

Satrajit Chatterjee, Piotr Zielinski

We propose a new metric (\$m\$-coherence) to experimentally study the alignment of per-example gradients during training. Intuitively, given a sample of size \$m\$, \$m\$-coherence is the number of examples in the sample that benefit from a small step along the gradient of any one example on average. We show that compared to other commonly used metrics, \$m\$-coherence is more interpretable, cheaper to co mpute (\$0(m)\$ instead of  $$0(m^2)$$ ) and mathematically cleaner. (We note that \$m\$-coherence is closely connected to gradient diversity, a quantity previously use d in some theoretical bounds.) Using \$m\$-coherence, we study the evolution of al ignment of per-example gradients in ResNet and EfficientNet models on ImageNet a nd several variants with label noise, particularly from the perspective of the r ecently proposed Coherent Gradients (CG) theory that provides a simple, unified explanation for memorization and generalization [Chatterjee, ICLR 20]. Although we have several interesting takeaways, our most surprising result concerns memor ization. Naively, one might expect that when training with completely random lab els, each example is fitted independently, and so \$m\$-coherence should be close to 1. However, this is not the case: \$m\$-coherence reaches moderately high value s during training (though still much smaller than real labels), indicating that over-parameterized neural networks find common patterns even in scenarios where generalization is not possible. A detailed analysis of this phenomenon provides both a deeper confirmation of CG, but at the same point puts into sharp relief w hat is missing from the theory in order to provide a complete explanation of gen eralization in neural networks.

Stochastic Security: Adversarial Defense Using Long-Run Dynamics of Energy-Based Models

Mitch Hill, Jonathan Craig Mitchell, Song-Chun Zhu

The vulnerability of deep networks to adversarial attacks is a central problem f or deep learning from the perspective of both cognition and security. The curren t most successful defense method is to train a classifier using adversarial imag es created during learning. Another defense approach involves transformation or purification of the original input to remove adversarial signals before the imag e is classified. We focus on defending naturally-trained classifiers using Marko v Chain Monte Carlo (MCMC) sampling with an Energy-Based Model (EBM) for adversa rial purification. In contrast to adversarial training, our approach is intended to secure highly vulnerable pre-existing classifiers. To our knowledge, no prior defensive transformation is capable of securing naturally-trained classifiers, and our method is the first to validate a post-training defense approach that is distinct from current successful defenses which modify classifier training.

The memoryless behavior of long-run MCMC sampling will eventually remove adversa rial signals, while metastable behavior preserves consistent appearance of MCMC samples after many steps to allow accurate long-run prediction. Balancing these factors can lead to effective purification and robust classification. We evaluat e adversarial defense with an EBM using the strongest known attacks against puri fication. Our contributions are 1) an improved method for training EBM's with re alistic long-run MCMC samples for effective purification, 2) an Expectation-Over -Transformation (EOT) defense that resolves ambiguities for evaluating stochastic defenses and from which the EOT attack naturally follows, and 3) state-of-the-art adversarial defense for naturally-trained classifiers and competitive defense compared to adversarial training on CIFAR-10, SVHN, and CIFAR-100. Our code and pre-trained models are available at https://github.com/point0barl/ebm-defense.

Rewriter-Evaluator Framework for Neural Machine Translation Yangming Li, Kaisheng Yao

Encoder-decoder architecture has been widely used in neural machine translation (NMT). A few methods have been proposed to improve it with multiple passes of de coding. However, their full potential is limited by a lack of appropriate termin ation policy. To address this issue, we present a novel framework, Rewriter-Eval

uator. It consists of a rewriter and an evaluator. Translating a source sentence involves multiple passes. At every pass, the rewriter produces a new translation n to improve the past translation and the evaluator estimates the translation quality to decide whether to terminate the rewriting process. We also propose a prioritized gradient descent (PGD) method that facilitates training the rewriter and the evaluator jointly. Though incurring multiple passes of decoding, Rewriter -Evaluator with the proposed PGD method can be trained with similar time to that of training encoder-decoder models. We apply the proposed framework to improve the general NMT models (e.g., Transformer). We conduct extensive experiments on two translation tasks, Chinese-English and English-German, and show that the proposed framework notably improves the performances of NMT models and significantly outperforms previous baselines.

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Defective Convolutional Networks

Tiange Luo, Tianle Cai, Mengxiao Zhang, Siyu Chen, Di He, Liwei Wang

Robustness of convolutional neural networks (CNNs) has gained in importance on a ccount of adversarial examples, i.e., inputs added as well-designed perturbation s that are imperceptible to humans but can cause the model to predict incorrectl y. Recent research suggests that the noise in adversarial examples breaks the te xtural structure, which eventually leads to wrong predictions. To mitigate the t hreat of such adversarial attacks, we propose defective convolutional networks t hat make predictions relying less on textural information but more on shape info rmation by properly integrating defective convolutional layers into standard CNN s. The defective convolutional layers contain defective neurons whose activation s are set to be a constant function. As defective neurons contain no information and are far different from standard neurons in its spatial neighborhood, the te xtural features cannot be accurately extracted, and so the model has to seek oth er features for classification, such as the shape. We show extensive evidence to justify our proposal and demonstrate that defective CNNs can defend against bla ck-box attacks better than standard CNNs. In particular, they achieve state-of-t he-art performance against transfer-based attacks without any adversarial traini ng being applied.

BayesAdapter: Being Bayesian, Inexpensively and Robustly, via Bayesian Fine-tuni

Zhijie Deng, Xiao Yang, Hao Zhang, Yinpeng Dong, Jun Zhu

Despite their theoretical appealingness, Bayesian neural networks (BNNs) are fal ling far behind in terms of adoption in real-world applications compared with no rmal NNs, mainly due to their limited scalability in training, and low fidelity in their uncertainty estimates. In this work, we develop a new framework, named BayesAdapter, to address these issues and bring Bayesian deep learning to the ma sses. The core notion of BayesAdapter is to adapt pre-trained deterministic NNs to be BNNs via Bayesian fine-tuning. We implement Bayesian fine-tuning with a pl ug-and-play instantiation of stochastic variational inference, and propose exemp lar reparameterization to reduce gradient variance and stabilize the fine-tuning . Together, they enable training BNNs as if one were training deterministic NNs with minimal added overheads. During Bayesian fine-tuning, we further propose an uncertainty regularization to supervise and calibrate the uncertainty quantific ation of learned BNNs at low cost. To empirically evaluate BayesAdapter, we cond uct extensive experiments on a diverse set of challenging benchmarks, and observ e satisfactory training efficiency, competitive predictive performance, and cali brated and faithful uncertainty estimates.

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Nearest Neighbor Machine Translation

Urvashi Khandelwal, Angela Fan, Dan Jurafsky, Luke Zettlemoyer, Mike Lewis We introduce \$k\$-nearest-neighbor machine translation (\$k\$NN-MT), which predicts tokens with a nearest-neighbor classifier over a large datastore of cached exam ples, using representations from a neural translation model for similarity searc

h. This approach requires no additional training and scales to give the decoder direct access to billions of examples at test time, resulting in a highly expres sive model that consistently improves performance across many settings. Simply a dding nearest-neighbor search improves a state-of-the-art German-English transla tion model by 1.5 BLEU. \$k\$NN-MT allows a single model to be adapted to diverse domains by using a domain-specific datastore, improving results by an average of 9.2 BLEU over zero-shot transfer, and achieving new state-of-the-art results-without training on these domains. A massively multilingual model can also be specialized for particular language pairs, with improvements of 3 BLEU for translating from English into German and Chinese. Qualitatively, \$k\$NN-MT is easily interpretable; it combines source and target context to retrieve highly relevant examples

Weak and Strong Gradient Directions: Explaining Memorization, Generalization, and Hardness of Examples at Scale

Piotr Zielinski, Shankar Krishnan, Satrajit Chatterjee

Coherent Gradients (CGH) [Chatterjee, ICLR 20] is a recently proposed hypothesis to explain why over-parameterized neural networks trained with gradient descent generalize well even though they have sufficient capacity to memorize the train ing set. The key insight of CGH is that, since the overall gradient for a single step of SGD is the sum of the per-example gradients, it is strongest in directi ons that reduce the loss on multiple examples if such directions exist. In this paper, we validate CGH on ResNet, Inception, and VGG models on ImageNet. Since t he techniques presented in the original paper do not scale beyond toy models and datasets, we propose new methods. By posing the problem of suppressing weak gra dient directions as a problem of robust mean estimation, we develop a coordinate -based median of means approach. We present two versions of this algorithm, M3, which partitions a mini-batch into 3 groups and computes the median, and a more efficient version RM3, which reuses gradients from previous two time steps to co mpute the median. Since they suppress weak gradient directions without requiring per-example gradients, they can be used to train models at scale. Experimentall y, we find that they indeed greatly reduce overfitting (and memorization) and th us provide the first convincing evidence that CGH holds at scale. We also propos e a new test of CGH that does not depend on adding noise to training labels or o n suppressing weak gradient directions. Using the intuition behind CGH, we posit that the examples learned early in the training process (i.e., "easy" examples) are precisely those that have more in common with other training examples. Ther efore, as per CGH, the easy examples should generalize better amongst themselves than the hard examples amongst themselves. We validate this hypothesis with det ailed experiments, and believe that it provides further orthogonal evidence for CGH.

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Towards Robust and Efficient Contrastive Textual Representation Learning Liqun Chen, Yizhe Zhang, Dianqi Li, Chenyang Tao, Dong Wang, Lawrence Carin There has been growing interest in representation learning for text data, based on theoretical arguments and empirical evidence. One important direction involve s leveraging contrastive learning to improve learned representations. We propose an application of contrastive learning for intermediate textual feature pairs, to explicitly encourage the model to learn more distinguishable representations. To overcome the learner's degeneracy due to vanishing contrasting signals, we i mpose Wasserstein constraints on the critic via spectral regularization.

Finally, to moderate such an objective from overly regularized training and to enhance learning efficiency, with theoretical justification, we further lever age an active negative-sample-selection procedure to only use high-quality contr ast examples. We evaluate the proposed method over a wide range of natural lang uage processing applications, from the perspectives of both supervised and unsup ervised learning. Empirical results show consistent improvement over baselines.

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Deformable Capsules for Object Detection Rodney LaLonde, Naji Khosravan, Ulas Bagci

Capsule networks promise significant benefits over convolutional networks by sto ring stronger internal representations, and routing information based on the agr eement between intermediate representations' projections. Despite this, their su ccess has been mostly limited to small-scale classification datasets due to thei r computationally expensive nature. Recent studies have partially overcome this burden by locally-constraining the dynamic routing of features with convolutiona 1 capsules. Though memory efficient, convolutional capsules impose geometric con straints which fundamentally limit the ability of capsules to model the pose/def ormation of objects. Further, they do not address the bigger memory concern of c lass-capsules scaling-up to bigger tasks such as detection or large-scale classi fication. In this study, we introduce deformable capsules (DeformCaps), a new ca psule structure (SplitCaps), and a novel dynamic routing algorithm (SE-Routing) to balance computational efficiency with the need for modeling a large number of objects and classes. We demonstrate that the proposed methods allow capsules to efficiently scale-up to large-scale computer vision tasks for the first time, a nd create the first-ever capsule network for object detection in the literature. Our proposed architecture is a one-stage detection framework and obtains result s on MS COCO which are on-par with state-of-the-art one-stage CNN-based methods, while producing fewer false positive detections.

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Learning Hyperbolic Representations of Topological Features Panagiotis Kyriakis, Iordanis Fostiropoulos, Paul Bogdan

Learning task-specific representations of persistence diagrams is an important p roblem in topological data analysis and machine learning. However, current state of the art methods are restricted in terms of their expressivity as they are fo cused on Euclidean representations. Persistence diagrams often contain features of infinite persistence (i.e., essential features) and Euclidean spaces shrink t heir importance relative to non-essential features because they cannot assign in finite distance to finite points. To deal with this issue, we propose a method t o learn representations of persistence diagrams on hyperbolic spaces, more speci fically on the Poincare ball. By representing features of infinite persistence i nfinitesimally close to the boundary of the ball, their distance to non-essentia 1 features approaches infinity, thereby their relative importance is preserved. This is achieved without utilizing extremely high values for the learnable param eters, thus the representation can be fed into downstream optimization methods a nd trained efficiently in an end-to-end fashion. We present experimental results on graph and image classification tasks and show that the performance of our me thod is on par with or exceeds the performance of other state of the art methods

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Learning Movement Strategies for Moving Target Defense Sailik Sengupta, Subbarao Kambhampati

The field of cybersecurity has mostly been a cat-and-mouse game with the discove ry of new attacks leading the way. To take away an attacker's advantage of recon naissance, researchers have proposed proactive defense methods such as Moving Ta rget Defense (MTD). To find good movement strategies, researchers have modeled M TD as leader-follower games between the defender and a cyber-adversary. We argue that existing models are inadequate in sequential settings when there is incomp lete information about rational adversary and yield sub-optimal movement strateg ies. Further, while there exists an array of work on learning defense policies i n sequential settings for cyber-security, they are either unpopular due to scala bility issues arising out of incomplete information or tend to ignore the strate gic nature of the adversary simplifying the scenario to use single-agent reinfor cement learning techniques. To address these concerns, we propose (1) a unifying game-theoretic model, called the Bayesian Stackelberg Markov Games (BSMGs), tha t can model uncertainty over attacker types and the nuances of an MTD system and (2) a Bayesian Strong Stackelberg Q-learning (BSS-Q) approach that can, via int eraction, learn the optimal movement policy for BSMGs within a reasonable time. We situate BSMGs in the landscape of incomplete-information Markov games and cha

racterize the notion of Strong Stackelberg Equilibrium (SSE) in them. We show th at our learning approach converges to an SSE of a BSMG and then highlight that the learned movement policy (1) improves the state-of-the-art in MTD for web-application security and (2) converges to an optimal policy in MTD domains with incomplete information about adversaries even when prior information about rewards and transitions is absent.

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Latent Programmer: Discrete Latent Codes for Program Synthesis Joey Hong, David Dohan, Rishabh Singh, Charles Sutton, Manzil Zaheer

In many sequence learning tasks, such as program synthesis and document summariz ation, a key problem is searching over a large space of possible output sequence s. We propose to learn representations of the outputs that is specifically meant for search: rich enough to specify the desired output but compact enough to mak e search more efficient. An appealing realization of such representation are dis crete latent codes, as this naturally allows sophisticated combinatorial search strategies. The latent codes are learned using a self-supervised learning princi ple, in which first a discrete autoencoder is trained on the output sequences, a nd then the resulting latent codes are used as intermediate targets for the endto-end sequence prediction task. Based on these insights, we introduce the Laten t Programmer, a program synthesis method that first predicts a discrete latent c odes from input/output examples, and then generates the program in the target la nguage. We evaluate the Latent Programmer on two domains: synthesis of string t ransformation programs, and generation of programs from natural language descrip tions. We demonstrate that the discrete latent representation significantly impr oves synthesis accuracy.

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A Panda? No, It's a Sloth: Slowdown Attacks on Adaptive Multi-Exit Neural Network Inference

Sanghyun Hong, Yigitcan Kaya, Ionu -Vlad Modoranu, Tudor Dumitras

Recent increases in the computational demands of deep neural networks (DNNs), co mbined with the observation that most input samples require only simple models, have sparked interest in input-adaptive multi-exit architectures, such as MSDNet s or Shallow-Deep Networks. These architectures enable faster inferences and cou ld bring DNNs to low-power devices, e.g., in the Internet of Things (IoT). Howev er, it is unknown if the computational savings provided by this approach are rob ust against adversarial pressure. In particular, an adversary may aim to slowdow n adaptive DNNs by increasing their average inference time-a threat analogous to the denial-of-service attacks from the Internet. In this paper, we conduct a sy stematic evaluation of this threat by experimenting with three generic multi-exi t DNNs (based on VGG16, MobileNet, and ResNet56) and a custom multi-exit archite cture, on two popular image classification benchmarks (CIFAR-10 and Tiny ImageNe t). To this end, we show that adversarial example-crafting techniques can be mod ified to cause slowdown, and we propose a metric for comparing their impact on d ifferent architectures. We show that a slowdown attack reduces the efficacy of m ulti-exit DNNs by 90-100%, and it amplifies the latency by 1.5-5× in a typical I oT deployment. We also show that it is possible to craft universal, reusable per turbations and that the attack can be effective in realistic black-box scenarios , where the attacker has limited knowledge about the victim. Finally, we show th at adversarial training provides limited protection against slowdowns. These res ults suggest that further research is needed for defending multi-exit architectu res against this emerging threat. Our code is available at https://github.com/sa nghyun-hong/deepsloth.

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Non-Attentive Tacotron: Robust and controllable neural TTS synthesis including u nsupervised duration modeling

Jonathan Shen, Ye Jia, Mike Chrzanowski, Yu Zhang, Isaac Elias, Heiga Zen, Yonghui Wu This paper presents Non-Attentive Tacotron based on the Tacotron 2 text-to-speec h model, replacing the attention mechanism with an explicit duration predictor. This improves robustness significantly as measured by unaligned duration ratio a nd word deletion rate, two metrics introduced in this paper for large-scale robu

stness evaluation using a pre-trained speech recognition model. With the use of Gaussian upsampling, Non-Attentive Tacotron achieves a 5-scale mean opinion score for naturalness of 4.41, slightly outperforming Tacotron 2. The duration predictor enables both utterance-wide and per-phoneme control of duration at inference time. When accurate target durations are scarce or unavailable in the training data, we propose a method using a fine-grained variational auto-encoder to train the duration predictor in a semi-supervised or unsupervised manner, with results almost as good as supervised training.

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NCP-VAE: Variational Autoencoders with Noise Contrastive Priors

Jyoti Aneja, Alex Schwing, Jan Kautz, Arash Vahdat

Variational autoencoders (VAEs) are one of the powerful likelihood-based generat ive models with applications in various domains. However, they struggle to gener ate high-quality images, especially when samples are obtained from the prior wit hout any tempering. One explanation for VAEs' poor generative quality is the pri or hole problem: the prior distribution fails to match the aggregate approximate posterior. Due to this mismatch, there exist areas in the latent space with high density under the prior that do not correspond to any encoded image. Samples from those areas are decoded to corrupted images. To tackle this issue, we propose an energy-based prior defined by the product of a base prior distribution and a reweighting factor, designed to bring the base closer to the aggregate posteri or. We train the reweighting factor by noise contrastive estimation, and we gene ralize it to hierarchical VAEs with many latent variable groups. Our experiments confirm that the proposed noise contrastive priors improve the generative performance of state-of-the-art VAEs by a large margin on the MNIST, CIFAR-10, CelebA 64, and CelebA HQ 256 datasets.

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Information Condensing Active Learning

Siddhartha Jain, Ge Liu, David Gifford

We introduce Information Condensing Active Learning (ICAL), a batch mode model a gnostic Active Learning (AL) method targeted at Deep Bayesian Active Learning th at focuses on acquiring labels for points which have as much information as poss ible about the still unacquired points. ICAL uses the Hilbert Schmidt Independen ce Criterion (HSIC) to measure the strength of the dependency between a candidat e batch of points and the unlabeled set. We develop key optimizations that allow us to scale our method to large unlabeled sets. We show significant improvement s in terms of model accuracy and negative log likelihood (NLL) on several image datasets compared to state of the art batch mode AL methods for deep learning.

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Adaptive Discretization for Continuous Control using Particle Filtering Policy N  $\,$  etwork

Pei Xu, Ioannis Karamouzas

Controlling the movements of highly articulated agents and robots has been a lon g-standing challenge to model-free deep reinforcement learning. In this paper, we propose a simple, yet general, framework for improving the performance of policy gradient algorithms by discretizing the continuous action space. Instead of using a fixed set of predetermined atomic actions, we exploit particle filtering to adaptively discretize actions during training and track the posterior policy represented as a mixture distribution. The resulting policy can replace the original continuous policy of any given policy gradient algorithm without changing its underlying model architecture. We demonstrate the applicability of our approach to state-of-the-art on-policy and off-policy baselines in challenging control tasks. Baselines using our particle-based policies achieve better final perform ance and speed of convergence as compared to corresponding continuous implementations and implementations that rely on fixed discretization schemes.

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Scalable Graph Neural Networks for Heterogeneous Graphs

Lingfan Yu, Jiajun Shen, Jinyang Li, Adam Lerer

Graph neural networks (GNNs) are a popular class of parametric model for learnin g over graph-structured data. Recent work has argued that GNNs primarily use the

graph for feature smoothing, and have shown competitive results on benchmark ta sks by simply operating on graph-smoothed node features, rather than using end-to-end learned feature hierarchies that are challenging to scale to large graphs. In this work, we ask whether these results can be extended to heterogeneous graphs, which encode multiple types of relationship between different entities. We propose Neighbor Averaging over Relation Subgraphs (NARS), which trains a classifier on neighbor-averaged features for randomly-sampled subgraphs of the 'metagraph' of relations. We describe optimizations to allow these sets of node features to be computed in a memory-efficient way, both at training and inference time. NARS achieves a new state of the art accuracy on several benchmark datasets, ou tperforming more expensive GNN-based methods.

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Selectivity considered harmful: evaluating the causal impact of class selectivity in DNNs

Matthew L Leavitt, Ari S. Morcos

The properties of individual neurons are often analyzed in order to understand t he biological and artificial neural networks in which they're embedded. Class se lectivity-typically defined as how different a neuron's responses are across dif ferent classes of stimuli or data samples-is commonly used for this purpose. How ever, it remains an open question whether it is necessary and/or sufficient for deep neural networks (DNNs) to learn class selectivity in individual units. We i nvestigated the causal impact of class selectivity on network function by direct ly regularizing for or against class selectivity. Using this regularizer to redu ce class selectivity across units in convolutional neural networks increased tes t accuracy by over 2% in ResNet18 and 1% in ResNet50 trained on Tiny ImageNet. F or ResNet20 trained on CIFAR10 we could reduce class selectivity by a factor of 2.5 with no impact on test accuracy, and reduce it nearly to zero with only a sm all (~2%) drop in test accuracy. In contrast, regularizing to increase class sel ectivity significantly decreased test accuracy across all models and datasets. T hese results indicate that class selectivity in individual units is neither suff icient nor strictly necessary, and can even impair DNN performance. They also en courage caution when focusing on the properties of single units as representativ e of the mechanisms by which DNNs function.

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Integrating Categorical Semantics into Unsupervised Domain Translation Samuel Lavoie-Marchildon, Faruk Ahmed, Aaron Courville

While unsupervised domain translation (UDT) has seen a lot of success recently, we argue that mediating its translation via categorical semantic features could broaden its applicability. In particular, we demonstrate that categorical semant ics improves the translation between perceptually different domains sharing mult iple object categories. We propose a method to learn, in an unsupervised manner, categorical semantic features (such as object labels) that are invariant of the source and target domains. We show that conditioning the style encoder of unsup ervised domain translation methods on the learned categorical semantics leads to a translation preserving the digits on MNIST\$\leftrightarrow\$SVHN and to a more realistic stylization on Sketches\$\to\$Reals.

Are Neural Rankers still Outperformed by Gradient Boosted Decision Trees? Zhen Qin,Le Yan,Honglei Zhuang,Yi Tay,Rama Kumar Pasumarthi,Xuanhui Wang,Michael Bendersky,Marc Najork

Despite the success of neural models on many major machine learning problems, th eir effectiveness on traditional Learning-to-Rank (LTR) problems is still not wi dely acknowledged. We first validate this concern by showing that most recent ne ural LTR models are, by a large margin, inferior to the best publicly available Gradient Boosted Decision Trees (GBDT) in terms of their reported ranking accura cy on benchmark datasets. This unfortunately was somehow overlooked in recent ne ural LTR papers. We then investigate why existing neural LTR models under-perfor m and identify several of their weaknesses. Furthermore, we propose a unified fr amework comprising of counter strategies to ameliorate the existing weaknesses of neural models. Our models are the first to be able to perform equally well, co

mparing with the best tree-based baseline, while outperforming recently publishe d neural LTR models by a large margin. Our results can also serve as a benchmark to facilitate future improvement of neural LTR models.

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Zero-shot Synthesis with Group-Supervised Learning Yunhao Ge,Sami Abu-El-Haija,Gan Xin,Laurent Itti

Visual cognition of primates is superior to that of artificial neural networks i n its ability to "envision" a visual object, even a newly-introduced one, in dif ferent attributes including pose, position, color, texture, etc. To aid neural networks to envision objects with different attributes, we propose a family of objective functions, expressed on groups of examples, as a novel learning framew ork that we term Group-Supervised Learning (GSL). GSL allows us to decompose inp uts into a disentangled representation with swappable components, that can be re combined to synthesize new samples. For instance, images of red boats & blue ca rs can be decomposed and recombined to synthesize novel images of red cars. propose an implementation based on auto-encoder, termed group-supervised zero-s hot synthesis network (GZS-Net) trained with our learning framework, that can pr oduce a high-quality red car even if no such example is witnessed during trainin g. We test our model and learning framework on existing benchmarks, in addition to a new dataset that we open-source. We qualitatively and quantitatively demons trate that GZS-Net trained with GSL outperforms state-of-the-art methods 

Learning Algebraic Representation for Abstract Spatial-Temporal Reasoning Chi Zhang, Sirui Xie, Baoxiong Jia, Yixin Zhu, Ying Nian Wu, Song-Chun Zhu Is intelligence realized by connectionist or classicist? While connectionist app roaches have achieved superhuman performance, there has been growing evidence th at such task-specific superiority is particularly fragile in systematic generali zation. This observation lies in the central debate (Fodor et al., 1988; Fodor & McLaughlin, 1990) between connectionist and classicist, wherein the latter conti nually advocates an algebraic treatment in cognitive architectures. In this work , we follow the classicist's call and propose a hybrid approach to improve syste matic generalization in reasoning. Specifically, we showcase a prototype with al gebraic representations for the abstract spatial-temporal reasoning task of Rave n's Progressive Matrices (RPM) and present the ALgebra-Aware Neuro-Semi-Symbolic (ALANS\$^2\$) learner. The ALANS\$^2\$ learner is motivated by abstract algebra and the representation theory. It consists of a neural visual perception frontend a nd an algebraic abstract reasoning backend: the frontend summarizes the visual i nformation from object-based representations, while the backend transforms it in to an algebraic structure and induces the hidden operator on-the-fly. The induce d operator is later executed to predict the answer's representation, and the cho ice most similar to the prediction is selected as the solution. Extensive experi ments show that by incorporating an algebraic treatment, the ALANS\$^2\$ learner o utperforms various pure connectionist models in domains requiring systematic gen eralization. We further show that the algebraic representation learned can be de coded by isomorphism and used to generate an answer.

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Learning Generalizable Visual Representations via Interactive Gameplay Luca Weihs, Aniruddha Kembhavi, Kiana Ehsani, Sarah M Pratt, Winson Han, Alvaro Herra sti, Eric Kolve, Dustin Schwenk, Roozbeh Mottaghi, Ali Farhadi

A growing body of research suggests that embodied gameplay, prevalent not just in human cultures but across a variety of animal species including turtles and ravens, is critical in developing the neural flexibility for creative problem solving, decision making, and socialization. Comparatively little is known regarding the impact of embodied gameplay upon artificial agents. While recent work has produced agents proficient in abstract games, these environments are far removed the real world and thus these agents can provide little insight into the advantages of embodied play. Hiding games, such as hide-and-seek, played universally, provide a rich ground for studying the impact of embodied gameplay on representation learning in the context of perspective taking, secret keeping, and false belief understanding. Here we are the first to show that embodied adversarial reinf

orcement learning agents playing Cache, a variant of hide-and-seek, in a high fi delity, interactive, environment, learn generalizable representations of their o bservations encoding information such as object permanence, free space, and cont ainment. Moving closer to biologically motivated learning strategies, our agents 'representations, enhanced by intentionality and memory, are developed through interaction and play. These results serve as a model for studying how facets of vision develop through interaction, provide an experimental framework for assessing what is learned by artificial agents, and demonstrates the value of moving from large, static, datasets towards experiential, interactive, representation learning.

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VA-RED\$^2\$: Video Adaptive Redundancy Reduction

Bowen Pan, Rameswar Panda, Camilo Luciano Fosco, Chung-Ching Lin, Alex J Andonian, Yu e Meng, Kate Saenko, Aude Oliva, Rogerio Feris

Performing inference on deep learning models for videos remains a challenge due to the large amount of computational resources required to achieve robust recogn ition. An inherent property of real-world videos is the high correlation of info rmation across frames which can translate into redundancy in either temporal or spatial feature maps of the models, or both. The type of redundant features depe nds on the dynamics and type of events in the video: static videos have more tem poral redundancy while videos focusing on objects tend to have more channel redu ndancy. Here we present a redundancy reduction framework, termed VA-RED\$^2\$, whi ch is input-dependent. Specifically, our VA-RED\$^2\$ framework uses an input-depe ndent policy to decide how many features need to be computed for temporal and ch annel dimensions. To keep the capacity of the original model, after fully comput ing the necessary features, we reconstruct the remaining redundant features from those using cheap linear operations. We learn the adaptive policy jointly with the network weights in a differentiable way with a shared-weight mechanism, maki ng it highly efficient. Extensive experiments on multiple video datasets and dif ferent visual tasks show that our framework achieves \$20\% - 40\%\$ reduction in computation (FLOPs) when compared to state-of-the-art methods without any perfor mance loss. Project page: http://people.csail.mit.edu/bpan/va-red/.

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BERTology Meets Biology: Interpreting Attention in Protein Language Models Jesse Vig, Ali Madani, Lav R. Varshney, Caiming Xiong, richard socher, Nazneen Rajani Transformer architectures have proven to learn useful representations for protein classification and generation tasks. However, these representations present challenges in interpretability. In this work, we demonstrate a set of methods for analyzing protein Transformer models through the lens of attention. We show that attention: (1) captures the folding structure of proteins, connecting amino acids that are far apart in the underlying sequence, but spatially close in the three-dimensional structure, (2) targets binding sites, a key functional component of proteins, and (3) focuses on progressively more complex biophysical properties with increasing layer depth. We find this behavior to be consistent across three Transformer architectures (BERT, ALBERT, XLNet) and two distinct protein data sets. We also present a three-dimensional visualization of the interaction between attention and protein structure. Code for visualization and analysis is available at https://github.com/salesforce/provis.

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Physics Informed Deep Kernel Learning

Zheng Wang, Wei Xing, Robert Kirby, Shandian Zhe

Deep kernel learning is a promising combination of deep neural networks and nonp arametric function estimation. However, as a data-driven approach, the performan ce of deep kernel learning can still be restricted by scarce or insufficient dat a, especially in extrapolation tasks. To address these limitations, we propose Physics Informed Deep Kernel Learning (PI-DKL) that exploits physics knowledge represented by differential equations with latent sources. Specifically, we use the posterior function sample of the Gaussian process as the surrogate for the solution of the differential equation, and construct a generative component to integrate the equation in a principled Bayesian hybrid framework. For efficient

and effective inference, we marginalize out the latent variables in the joint probability and derive a simple model evidence lower bound (ELBO), based on which we develop a stochastic collapsed inference algorithm. Our ELBO can be viewed as a nice, interpretable posterior regularization objective. On synthetic datasets and real-world applications, we show the advantage of our approach in both prediction accuracy and uncertainty quantification.

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STRATA: Simple, Gradient-free Attacks for Models of Code Jacob M. Springer, Bryn Marie Reinstadler, Una-May O'Reilly

Adversarial examples are imperceptible perturbations in the input to a neural mo del that result in misclassification. Generating adversarial examples for source code poses an additional challenge compared to the domains of images and natura l language, because source code perturbations must adhere to strict semantic gui delines so the resulting programs retain the functional meaning of the code. We propose a simple and efficient gradient-free method for generating state-of-the-art adversarial examples on models of code that can be applied in a white-box or black-box setting. Our method generates untargeted and targeted attacks, and empirically outperforms competing gradient-based methods with less information and less computational effort.

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Average Reward Reinforcement Learning with Monotonic Policy Improvement Yiming Zhang, Keith W. Ross

In continuing control tasks, an agent's average reward per time step is a more n atural performance measure compared to the commonly used discounting framework s ince it can better capture an agent's long-term behavior. We derive a novel lowe r bound on the difference of the long-term average reward for two policies. The lower bound depends on the average divergence between the policies and on the so-called Kemeny constant, which measures to what degree the unichain Markov chains associated with the policies are well-connected. We also show that previous w ork based on the discounted return (Schulman et al., 2015; Achiam et al., 2017) results in a non-meaningful lower bound in the average reward setting. Based on our lower bound, we develop an iterative procedure which produces a sequence of monotonically improved policies for the average reward criterion. When combined with Deep Reinforcement Learning (DRL) methods, the procedure leads to scalable and efficient algorithms for maximizing the agent's average reward performance. Empirically we demonstrate the effectiveness of our method on continuing control tasks and show how discounting can lead to unsatisfactory performance.

Slot Machines: Discovering Winning Combinations of Random Weights in Neural Networks

Maxwell Mbabilla Aladago, Lorenzo Torresani

In contrast to traditional weight optimization in a continuous space, we demonst rate the existence of effective random networks whose weights are never updated. By selecting a weight among a fixed set of random values for each individual co nnection, our method uncovers combinations of random weights that match the perf ormance of trained networks of the same capacity. We refer to our networks as slot machines'' where each reel (connection) contains a fixed set of symbols (ra ndom values). Our backpropagation algorithm ``spins'' the reels to seek ``winnin combinations, i.e., selections of random weight values that minimize the gi ven loss. Quite surprisingly, we find that allocating just a few random values t o each connection (e.g., 8 values per connection) yields highly competitive comb inations despite being dramatically more constrained compared to traditionally 1 earned weights. Moreover, finetuning these combinations often improves performan ce over the trained baselines. A randomly initialized VGG-19 with 8 values per connection contains a combination that achieves 90% test accuracy on CIFAR-10. Our method also achieves an impressive performance of 98.1% on MNIST for neural networks containing only random weights.

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Trajectory Prediction using Equivariant Continuous Convolution Robin Walters, Jinxi Li, Rose Yu

Trajectory prediction is a critical part of many AI applications, for example, the safe operation of autonomous vehicles. However, current methods are prone to making inconsistent and physically unrealistic predictions. We leverage insights from fluid dynamics to overcome this limitation by considering internal symmetry in real-world trajectories. We propose a novel model, Equivariant Continuous C Onvolution (ECCO) for improved trajectory prediction. ECCO uses rotationally-equivariant continuous convolutions to embed the symmetries of the system. On both vehicle and pedestrian trajectory datasets, ECCO attains competitive accuracy with significantly fewer parameters. It is also more sample efficient, generalizing automatically from few data points in any orientation. Lastly, ECCO improves generalization with equivariance, resulting in more physically consistent predictions. Our method provides a fresh perspective towards increasing trust and transparency in deep learning models. Our code and data can be found at https://github.com/Rose-STL-Lab/ECCO.

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ERMAS: Learning Policies Robust to Reality Gaps in Multi-Agent Simulations Eric Zhao, Alexander R Trott, Caiming Xiong, Stephan Zheng

Policies for real-world multi-agent problems, such as optimal taxation, can be 1 earned in multi-agent simulations with AI agents that emulate humans. However, s imulations can suffer from reality gaps as humans often act suboptimally or opti mize for different objectives (i.e., bounded rationality). We introduce \$\epsilo n\$-Robust Multi-Agent Simulation (ERMAS), a robust optimization framework to lea rn AI policies that are robust to such multi-agent reality gaps. The objective o f ERMAS theoretically guarantees robustness to the \$\epsilon\$-Nash equilibria of other agents - that is, robustness to behavioral deviations with a regret of at most \$\epsilon\$. ERMAS efficiently solves a first-order approximation of the ro bustness objective using meta-learning methods. We show that ERMAS yields robust policies for repeated bimatrix games and optimal adaptive taxation in economic simulations, even when baseline notions of robustness are uninformative or intra ctable. In particular, we show ERMAS can learn tax policies that are robust to c hanges in agent risk aversion, improving policy objectives (social welfare) by u p to 15% in complex spatiotemporal simulations using the AI Economist (Zheng et al., 2020).

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Open-world Semi-supervised Learning Kaidi Cao, Maria Brbic, Jure Leskovec

Supervised and semi-supervised learning methods have been traditionally designed for the closed-world setting which is based on the assumption that unlabeled te st data contains only classes previously encountered in the labeled training dat a. However, the real world is often open and dynamic, and thus novel previously unseen classes may appear in the test data or during the model deployment. Here, we introduce a new open-world semi-supervised learning setting in which the mod el is required to recognize previously seen classes, as well as to discover nove 1 classes never seen in the labeled dataset. To tackle the problem, we propose 0 RCA, an approach that jointly learns a feature representation and a classifier o n the labeled and unlabeled subsets of the data. The key idea in ORCA is in intr oducing uncertainty based adaptive margin that effectively circumvents the bias caused by the imbalance of variance between seen and novel classes. We demonstra te that ORCA accurately discovers novel classes and assigns samples to previousl y seen classes on standard benchmark image classification datasets, including C IFAR and ImageNet. Remarkably, despite solving the harder task ORCA outperforms semi-supervised methods on seen classes, as well as novel class discovery method s on unseen classes, achieving 7% and 151% improvements on seen and unseen class es of the ImageNet dataset.

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Target Training: Tricking Adversarial Attacks to Fail

Blerta Lindqvist

Recent adversarial defense approaches have failed. Untargeted gradient-based att acks cause classifiers to choose any wrong class. Our novel white-box defense tricks untargeted attacks into becoming attacks targeted at designated target classifiers.

ses. From these target classes, we derive the real classes. The Target Training defense tricks the minimization at the core of untargeted, gradient-based advers arial attacks: minimize the sum of (1) perturbation and (2) classifier adversari al loss. Target Training changes the classifier minimally, and trains it with ad ditional duplicated points (at 0 distance) labeled with designated classes. Thes e differently-labeled duplicated samples minimize both terms (1) and (2) of the minimization, steering attack convergence to samples of designated classes, from which correct classification is derived. Importantly, Target Training eliminate s the need to know the attack and the overhead of generating adversarial samples of attacks that minimize perturbations. Without using adversarial samples and a gainst an adaptive attack aware of our defense, Target Training exceeds even def ault, unsecured classifier accuracy of 84.3% for CIFAR10 with 86.6% against Deep Fool attack; and achieves 83.2% against CW- $L_2$ \$ ( $\kappa$ =0) attack. Using adversarial samples, we achieve 75.6% against CW- $L_2$ \$ ( $\kappa$ =40). Due to our deliberate choice of low-capacity classifiers, Target Training does not withstand \$L\_\infty\$ adap tive attacks in CIFAR10 but withstands CW- $L_\infty$  infty $\kappa$  ( $\kappa$ =0) in MNIST. Target Trai ning presents a fundamental change in adversarial defense strategy.

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FactoredRL: Leveraging Factored Graphs for Deep Reinforcement Learning Bharathan Balaji, Petros Christodoulou, Xiaoyu Lu, Byungsoo Jeon, Jordan Bell-Master son

We propose a simple class of deep reinforcement learning (RL) methods, called Fa ctoredRL, that can leverage factored environment structures to improve the sampl e efficiency of existing model-based and model-free RL algorithms. In tabular and linear approximation settings, the factored Markov decision process literature has shown exponential improvements in sample efficiency by leveraging factored environment structures. We extend this to deep RL algorithms that use neural net works. For model-based algorithms, we use the factored structure to inform the state transition network architecture and for model-free algorithms we use the factored structure to inform the Q network or the policy network architecture. We demonstrate that doing this significantly improves sample efficiency in both discrete and continuous state-action space settings.

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Scheduled Restart Momentum for Accelerated Stochastic Gradient Descent Bao Wang, Tan Minh Nguyen, Tao Sun, Andrea Bertozzi, Richard Baraniuk, Stanley Osher Stochastic gradient descent (SGD) algorithms, with constant momentum and its var iants such as Adam, are the optimization methods of choice for training deep neu ral networks (DNNs). There is great interest in speeding up the convergence of t hese methods due to their high computational expense. Nesterov accelerated gradi ent (NAG) with a time-varying momentum, denoted as NAG below, improves the conve rgence rate of gradient descent (GD) for convex optimization using a specially d esigned momentum; however, it accumulates error when an inexact gradient is used (such as in SGD), slowing convergence at best and diverging at worst. In this p aper, we propose scheduled restart SGD (SRSGD), a new NAG-style scheme for train ing DNNs. SRSGD replaces the constant momentum in SGD by the increasing momentum in NAG but stabilizes the iterations by resetting the momentum to zero accordin g to a schedule. Using a variety of models and benchmarks for image classificati on, we demonstrate that, in training DNNs, SRSGD significantly improves converge nce and generalization; for instance, in training ResNet-200 for ImageNet classi fication, SRSGD achieves an error rate of 20.93% vs. the benchmark of 22.13%. Th ese improvements become more significant as the network grows deeper. Furthermor e, on both CIFAR and ImageNet, SRSGD reaches similar or even better error rates with significantly fewer training epochs compared to the SGD baseline.

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Learning Collision-free Latent Space for Bayesian Optimization Fengxue Zhang, Yair Altas, Louise Fan, Kaustubh Vinchure, Brian Nord, Yuxin Chen Learning and optimizing a blackbox function is a common task in Bayesian optimiz ation and experimental design. In real-world scenarios (e.g., tuning hyper-param eters for deep learning models, synthesizing a protein sequence, etc.), these functions tend to be expensive to evaluate and often rely on high-dimensional inpu

ts. While classical Bayesian optimization algorithms struggle in handling the sc ale and complexity of modern experimental design tasks, recent works attempt to get around this issue by applying neural networks ahead of the Gaussian process to learn a (low-dimensional) latent representation. We show that such learned re presentation often leads to collisions in the latent space: two points with sign ificantly different observations collide in the learned latent space. Collisions could be regarded as additional noise introduced by the traditional neural netw ork, leading to degraded optimization performance. To address this issue, we pro pose Collision-Free Latent Space Optimization (CoFLO), which employs a novel req ularizer to reduce the collision in the learned latent space and encourage the m apping from the latent space to objective value to be Lipschitz continuous. CoFL O takes in pairs of data points and penalizes those too close in the latent space e compared to their target space distance. We provide a rigorous theoretical jus tification for the regularizer by inspecting the regret of the proposed algorith m. Our empirical results further demonstrate the effectiveness of CoFLO on sever al synthetic and real-world Bayesian optimization tasks, including a case study for computational cosmic experimental design.

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Towards Robustness against Unsuspicious Adversarial Examples Liang Tong, Minzhe Guo, Atul Prakash, Yevgeniy Vorobeychik

Despite the remarkable success of deep neural networks, significant concerns hav e emerged about their robustness to adversarial perturbations to inputs. While m ost attacks aim to ensure that these are imperceptible, physical perturbation at tacks typically aim for being unsuspicious, even if perceptible. However, there is no universal notion of what it means for adversarial examples to be unsuspici ous. We propose an approach for modeling suspiciousness by leveraging cognitive salience. Specifically, we split an image into foreground (salient region) and b ackground (the rest), and allow significantly larger adversarial perturbations i n the background, while ensuring that cognitive salience of background remains 1 ow. We describe how to compute the resulting non-salience-preserving dual-pertur bation attacks on classifiers. We then experimentally demonstrate that our attac ks indeed do not significantly change perceptual salience of the background, but are highly effective against classifiers robust to conventional attacks. Furthe rmore, we show that adversarial training with dual-perturbation attacks yields c lassifiers that are more robust to these than state-of-the-art robust learning a pproaches, and comparable in terms of robustness to conventional attacks.

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Unsupervised Meta-Learning through Latent-Space Interpolation in Generative Mode ls

Siavash Khodadadeh, Sharare Zehtabian, Saeed Vahidian, Weijia Wang, Bill Lin, Ladisla u Boloni

Several recently proposed unsupervised meta-learning approaches rely on synthetic meta-tasks created using techniques such as random selection, clustering and/or augmentation. In this work, we describe a novel approach that generates meta-tasks using generative models. The proposed family of algorithms generate pairs of in-class and out-of-class samples from the latent space in a principled way, a llowing us to create synthetic classes forming the training and validation data of a meta-task. We find that the proposed approach, LAtent Space Interpolation Unsupervised Meta-learning (LASIUM), outperforms or is competitive with current unsupervised learning baselines on few-shot classification tasks on the most wide ly used benchmark datasets.

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Double Generative Adversarial Networks for Conditional Independence Testing Chengchun Shi, Tianlin Xu, Wicher Pieter Bergsma, Lexin Li

In this article, we consider the problem of high-dimensional conditional indepen dence testing, which is a key building block in statistics and machine learning. We propose a double generative adversarial networks (GAN)-based inference proce dure. We first introduce a double GANs framework to learn two generators, and in tegrate the two generators to construct a doubly-robust test statistic. We next consider multiple generalized covariance measures, and take their maximum as our

test statistic. Finally, we obtain the empirical distribution of our test stati stic through multiplier bootstrap. We show that our test controls type-I error, while the

power approaches one asymptotically. More importantly, these theoretical guarant ees are obtained under much weaker and practically more feasible conditions comp ared to existing tests. We demonstrate the efficacy of our test through both syn thetic and real datasets.

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Analyzing and Improving Generative Adversarial Training for Generative Modeling and Out-of-Distribution Detection

Xuwang Yin, Shiying li, Gustavo Rohde

Generative adversarial training (GAT) is a recently introduced adversarial defen se method. Previous works have focused on empirical evaluations of its applicati on to training robust predictive models. In this paper we focus on theoretical u nderstanding of the GAT method and extending its application to generative model ing and out-of-distribution detection. We analyze the optimal solutions of the m aximin formulation employed by the GAT objective, and make a comparative analysis of the minimax formulation employed by GANs. We use theoretical analysis and 2 D simulations to understand the convergence property of the training algorithm. Based on these results, we develop an unconstrained GAT algorithm, and conduct c omprehensive evaluations of the algorithm's application to image generation and adversarial out-of-distribution detection. Our results suggest that generative a dversarial training is a promising new direction for the above applications.

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Heteroskedastic and Imbalanced Deep Learning with Adaptive Regularization Kaidi Cao, Yining Chen, Junwei Lu, Nikos Arechiga, Adrien Gaidon, Tengyu Ma Real-world large-scale datasets are heteroskedastic and imbalanced --- labels ha ve varying levels of uncertainty and label distributions are long-tailed. Hetero skedasticity and imbalance challenge deep learning algorithms due to the difficu lty of distinguishing among mislabeled, ambiguous, and rare examples. Addressing heteroskedasticity and imbalance simultaneously is under-explored. We propose a data-dependent regularization technique for heteroskedastic datasets that regularizes different regions of the input space differently. Inspired by the theoret ical derivation of the optimal regularization strength in a one-dimensional nonp arametric classification setting, our approach adaptively regularizes the data p oints in higher-uncertainty, lower-density regions more heavily. We test our met hod on several benchmark tasks, including a real-world heteroskedastic and imbal anced dataset, WebVision. Our experiments corroborate our theory and demonstrate a significant improvement over other methods in noise-robust deep learning.

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Towards Understanding Fast Adversarial Training Bai Li, Shiqi Wang, Suman Jana, Lawrence Carin

Distribution Embedding Network for Meta-Learning with Variable-Length Input

Lang Liu, Mahdi Milani Fard, Sen Zhao We propose Distribution Embedding Network (DEN) for meta-learning, which is designed for applications where both the distribution and the number of features cou ld vary across tasks. DEN first transforms features using a learned piecewise li near function, then learns an embedding of the underlying data distribution afte r the transformation, and finally classifies examples based on the distribution embedding. We show that the parameters of the distribution embedding and the cla ssification modules can be shared across tasks. We propose a novel methodology t o mass-simulate binary classification training tasks, and demonstrate that DEN o utperforms existing methods in a number of test tasks in numerical studies.

Deep Partial Updating

Zhongnan Qu, Cong Liu, Junfeng Guo, Lothar Thiele

Emerging edge intelligence applications require the server to continuously retra in and update deep neural networks deployed on remote edge nodes to leverage new ly collected data samples. Unfortunately, it may be impossible in practice to continuously send fully updated weights to these edge nodes due to the highly constrained communication resource. In this paper, we propose the weight-wise deep partial updating paradigm, which smartly selects only a subset of weights to update at each server-to-edge communication round, while achieving a similar perform ance compared to full updating. Our method is established through analytically upper-bounding the loss difference between partial updating and full updating, and only updates the weights which make the largest contributions to the upper bound. Extensive experimental results demonstrate the efficacy of our partial updating methodology which achieves a high inference accuracy while updating a rather small number of weights.

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Clearing the Path for Truly Semantic Representation Learning Dominik Zietlow, Michal Rolinek, Georg Martius

The performance of \$\beta\$-Variational-Autoencoders (\$\beta\$-VAEs) and their var iants on learning semantically meaningful, disentangled representations is unpar alleled. On the other hand, there are theoretical arguments suggesting impossibility of unsupervised disentanglement. In this work, we show that small perturbations of existing datasets hide the convenient correlation structure that is easily exploited by VAE-based architectures. To demonstrate this, we construct modified versions of the standard datasets on which (i) the generative factors are perfectly preserved; (ii) each image undergoes a transformation barely visible to the human eye; (iii) the leading disentanglement architectures fail to produce disentangled representations. We intend for these datasets to play a role in separating correlation-based models from those that discover the true causal structure.

The construction of the modifications is non-trivial and relies on recent progre ss on mechanistic understanding of \$\beta\$-VAEs and their connection to PCA, whi le also providing additional insights that might be of stand-alone interest.

Neighbourhood Distillation: On the benefits of non end-to-end distillation Laëtitia Shao, Elad Eban, Yair Movshovitz-Attias

End-to-end training with back propagation is the standard method for training de ep neural networks. However, as networks become deeper and bigger, end-to-end tr aining becomes more challenging: highly non-convex models gets stuck easily in 1 ocal optima, gradients signals are prone to vanish or explode during backpropaga tion, training requires computational resources and time.

In this work, we propose to break away from the end-to-end paradigm in the conte xt of Knowledge Distillation. Instead of distilling a model end-to-end, we propo se to split it into smaller sub-networks - also called neighbourhoods - that are then trained independently. We empirically show that distilling networks in a n on end-to-end fashion can be beneficial in a diverse range of use cases. First, we show that it speeds up Knowledge Distillation by exploiting parallelism and t raining on smaller networks. Second, we show that independently distilled neighb ourhoods may be efficiently re-used for Neural Architecture Search. Finally, bec ause smaller networks model simpler functions, we show that they are easier to t

rain with synthetic data than their deeper counterparts.

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Graph Permutation Selection for Decoding of Error Correction Codes using Self-At tention

Nir Raviv, Avi Caciularu, Tomer Raviv, Jacob Goldberger, Yair Be'ery

Error correction codes are an integral part of communication applications and bo ost the reliability of transmission. The optimal decoding of transmitted codewor ds is the maximum likelihood rule, which is NP-hard. For practical realizations, suboptimal decoding algorithms are employed; however, the lack of theoretical i nsights currently impedes the exploitation of the full potential of these algorithms. One key insight is the choice of permutation in permutation decoding. We present a data-driven framework for permutation selection combining domain knowledge with machine learning concepts such as node embedding and self-attention. Significant and consistent improvements in the bit error rate are shown for the simulated Bose Chaudhuri Hocquenghem (BCH) code as compared to the baseline decode rs. To the best of our knowledge, this work is the first to leverage the benefit s of self-attention networks in physical layer communication systems.

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Improved Denoising Diffusion Probabilistic Models

Alexander Quinn Nichol, Prafulla Dhariwal

We explore denoising diffusion probabilistic models, a class of generative model s which have recently been shown to produce excellent samples in the image and a udio domains. While these models produce excellent samples, it has yet to be sho wn that they can achieve competitive log-likelihoods. We show that, with several small modifications, diffusion models can achieve competitive log-likelihoods in the image domain while maintaining high sample quality. Additionally, our mode ls allow for sampling with an order of magnitude fewer diffusion steps with only a modest difference in sample quality. Finally, we explore how sample quality and log-likelihood scale with the number of diffusion steps and the amount of model capacity. We conclude that denoising diffusion probabilistic models are a promising class of generative models with excellent scaling properties and sample quality.

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Implicit Under-Parameterization Inhibits Data-Efficient Deep Reinforcement Learn ing

Aviral Kumar, Rishabh Agarwal, Dibya Ghosh, Sergey Levine

We identify an implicit under-parameterization phenomenon in value-based deep RL methods that use bootstrapping: when value functions, approximated using deep n eural networks, are trained with gradient descent using iterated regression onto target values generated by previous instances of the value network, more gradie nt updates decrease the expressivity of the current value network. We char- acte rize this loss of expressivity via a drop in the rank of the learned value network features, and show that this typically corresponds to a performance drop. We demonstrate this phenomenon on Atari and Gym benchmarks, in both offline and on nline RL settings. We formally analyze this phenomenon and show that it results from a pathological interaction between bootstrapping and gradient-based optimiz ation. We further show that mitigating implicit under-parameterization by controlling rank collapse can improve performance.

Failure Modes of Variational Autoencoders and Their Effects on Downstream Tasks Yaniv Yacoby, Weiwei Pan, Finale Doshi-Velez

Variational Auto-encoders (VAEs) are deep generative latent variable models that are widely used for a number of downstream tasks. While it has been demonstrate d that VAE training can suffer from a number of pathologies, existing literature lacks characterizations of exactly when these pathologies occur and how they im pact down-stream task performance. In this paper we concretely characterize cond itions under which VAE training exhibits pathologies and connect these failure m odes to undesirable effects on specific downstream tasks, such as learning compressed and disentangled representations, adversarial robustness and semi-supervis

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On Batch-size Selection for Stochastic Training for Graph Neural Networks Yaochen Hu, Amit Levi, Ishaan Kumar, Yingxue Zhang, Mark Coates

Batch size is an important hyper-parameter for training deep learning models with stochastic gradient decent (SGD) method, and it has great influence on the training time and model performance. We study the batch size selection problem for training graph neural network (GNN) with SGD method.

To reduce the training time while keeping a decent model performance, we propose a metric that combining both the variance of gradients and compute time for each mini-batch. We theoretically analyze how batch-size influence such a metric and propose the formula to evaluate some rough range of optimal batch size.

In GNN, gradients evaluated on samples in a mini-batch are not independent and it is challenging to evaluate the exact variance of gradients. To address the dependency, we analyze an estimator for gradients that considers the randomness arising from two consecutive layers in GNN, and suggest a guideline for picking the appropriate scale of the batch size.

We complement our theoretical results with extensive empirical experiments for C lusterGCN, FastGCN and GraphSAINT on 4 datasets: Ogbn-products, Ogbn-arxiv, Redd it and Pubmed. We demonstrate that in contrast to conventional deep learning mod els, GNNs benefit from large batch sizes.

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Measuring and Harnessing Transference in Multi-Task Learning Chris Fifty, Ehsan Amid, Zhe Zhao, Tianhe Yu, Rohan Anil, Chelsea Finn

Multi-task learning can leverage information learned by one task to benefit the training of other tasks. Despite this capacity, naive formulations often degrade performance and in particular, identifying the tasks that would benefit from co-training remains a challenging design question. In this paper, we analyze the dynamics of information transfer, or transference, across tasks throughout training. Specifically, we develop a similarity measure that can quantify transference among tasks and use this quantity to both better understand the optimization dynamics of multi-task learning as well as improve overall learning performance. In the latter case, we propose two methods to leverage our transference metric. The first operates at a macro-level by selecting which tasks should train together while the second functions at a micro-level by determining how to combine task gradients at each training step. We find these methods can lead to significant improvement over prior work on three supervised multi-task learning benchmarks and one multi-task reinforcement learning paradigm.

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Parameterized Pseudo-Differential Operators for Graph Convolutional Neural Networks

Kevin M. Potter, Steven Richard Sleder, Matthew David Smith, John Tencer We present a novel graph convolutional layer that is fast, conceptually simple, and provides high accuracy with reduced overfitting. Based on pseudo-differentia l operators, our layer operates on graphs with relative position information ava ilable for each pair of connected nodes. We evaluate our method on a variety of supervised learning tasks, including superpixel image classification using the M NIST, CIFAR10, and CIFAR100 superpixel datasets, node correspondence using the F AUST dataset, and shape classification using the ModelNet10 dataset. The new la yer outperforms multiple recent architectures on superpixel image classification tasks using the MNIST and CIFAR100 superpixel datasets and performs comparably with recent results on the CIFAR10 superpixel dataset. We measure test accuracy without bias to the test set by selecting the model with the best training accur acy. The new layer achieves a test error rate of 0.80% on the MNIST superpixel d ataset, beating the closest reported rate of 0.95% by a factor of more than 15%. After dropping roughly 70% of the edge connections from the input by performing a Delaunay triangulation, our model still achieves a competitive error rate of 1.04%.

Bowtie Networks: Generative Modeling for Joint Few-Shot Recognition and Novel-Vi

ew Synthesis

Zhipeng Bao, Yu-Xiong Wang, Martial Hebert

We propose a novel task of joint few-shot recognition and novel-view synthesis: given only one or few images of a novel object from arbitrary views with only ca tegory annotation, we aim to simultaneously learn an object classifier and gener ate images of that type of object from new viewpoints. While existing work copes with two or more tasks mainly by multi-task learning of shareable feature repre sentations, we take a different perspective. We focus on the interaction and coo peration between a generative model and a discriminative model, in a way that fa cilitates knowledge to flow across tasks in complementary directions. To this en d, we propose bowtie networks that jointly learn 3D geometric and semantic repre sentations with a feedback loop. Experimental evaluation on challenging fine-gra ined recognition datasets demonstrates that our synthesized images are realistic from multiple viewpoints and significantly improve recognition performance as w ays of data augmentation, especially in the low-data regime.

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Asymmetric self-play for automatic goal discovery in robotic manipulation OpenAI OpenAI, Matthias Plappert, Raul Sampedro, Tao Xu, Ilge Akkaya, Vineet Kosaraju ,Peter Welinder,Ruben D'Sa,Arthur Petron,Henrique Ponde de Oliveira Pinto,Alex P aino, Hyeonwoo Noh, Lilian Weng, Qiming Yuan, Casey Chu, Wojciech Zaremba We train a single, goal-conditioned policy that can solve many robotic manipulat ion tasks, including tasks with previously unseen goals and objects. To do so, w e rely on asymmetric self-play for goal discovery, where two agents, Alice and B ob, play a game. Alice is asked to propose challenging goals and Bob aims to so lve them. We show that this method is able to discover highly diverse and compl ex goals without any human priors. We further show that Bob can be trained with only sparse rewards, because the interaction between Alice and Bob results in a natural curriculum and Bob can learn from Alice's trajectory when relabeled as a goal-conditioned demonstration. Finally, we show that our method scales, resu lting in a single policy that can transfer to many unseen hold-out tasks such as setting a table, stacking blocks, and solving simple puzzles. Videos of a learn ed policy is available at https://robotics-self-play.github.io.

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SLAPS: Self-Supervision Improves Structure Learning for Graph Neural Networks Bahare Fatemi, Seyed Mehran Kazemi, Layla El Asri

Graph neural networks (GNNs) work well when the graph structure is provided. How ever, this structure may not always be available in real-world applications. One solution to this problem is to infer the latent structure and then apply a GNN to the inferred graph. Unfortunately, the space of possible graph structures gro ws super-exponentially with the number of nodes and so the available node labels may be insufficient for learning both the structure and the GNN parameters. In this work, we propose the Simultaneous Learning of Adjacency and GNN Parameters with Self-supervision, or SLAPS, a method that provides more supervision for inf erring a graph structure. This approach consists of training a denoising autoenc oder GNN in parallel with the task-specific GNN. The autoencoder is trained to r econstruct the initial node features given noisy node features as well as a stru cture provided by a learnable graph generator. We explore the design space of SL APS by comparing different graph generation and symmetrization approaches. A com prehensive experimental study demonstrates that SLAPS scales to large graphs wit  $\boldsymbol{h}$  hundreds of thousands of nodes and outperforms several models that have been  $\boldsymbol{p}$ roposed to learn a task-specific graph structure on established benchmarks.

Constraining Latent Space to Improve Deep Self-Supervised e-Commerce Products Embeddings for Downstream Tasks

Cristian Cardellino, Rafael Carrascosa

The representation of products in a e-commerce marketplace is a key aspect to be exploited when trying to improve the user experience on the site. A well known example of the importance of a good product representation are tasks such as product search or product recommendation. There is however a multitude of lesser k nown tasks relevant to the business, examples are the detection of counterfeit i

tems, the estimation of package sizes or the categorization of products, among o thers. It is in this setting that good vector representations of products that c an be reused on different tasks are very valuable. Past years have seen a major increase in research in the area of latent representations for products in e-Com merce. Examples of this are models like Prod2Vec or Meta-Prod2Vec which leverage from the information of a user session in order to generate vectors of the prod ucts that can be used in product recommendations. This work proposes a novel dee p encoder model for learning product embeddings to be applied in several downstr eam tasks. The model uses pairs of products that appear together in a browsing s ession of the users and adds a proximity constraint to the final latent space in order to project the embeddings of similar products close to each other. This h as a regularization effect which gives better features representations to use ac ross multiple downstream tasks, we explore such effect in our experimentation by assessing its impact on the performance of the tasks. Our experiments show effectiveness in transfer learning scenarios comparable to several industrial baselings.

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A Unified Paths Perspective for Pruning at Initialization Thomas Gebhart, Udit Saxena, Paul R. Schrater

A number of recent approaches have been proposed for pruning neural network para meters at initialization with the goal of reducing the size and computational bu rden of models while minimally affecting their training dynamics and generalizat ion performance. While each of these approaches have some amount of well-founded motivation, a rigorous analysis of the effect of these pruning methods on netwo rk training dynamics and their formal relationship to each other has thus far re ceived little attention. Leveraging recent theoretical approximations provided b y the Neural Tangent Kernel, we unify a number of popular approaches for pruning at initialization under a single path-centric framework. We introduce the Path Kernel as the data-independent factor in a decomposition of the Neural Tangent K ernel and show the global structure of the Path Kernel can be computed efficient ly. This Path Kernel decomposition separates the architectural effects from the data-dependent effects within the Neural Tangent Kernel, providing a means to pr edict the convergence dynamics of a network from its architecture alone. We anal yze the use of this structure in approximating training and generalization perfo rmance of networks in the absence of data across a number of initialization prun ing approaches. Observing the relationship between input data and paths and the relationship between the Path Kernel and its natural norm, we additionally propo se two augmentations of the SynFlow algorithm for pruning at initialization.

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Formalizing Generalization and Robustness of Neural Networks to Weight Perturbations

Yu-Lin Tsai, Chia-Yi Hsu, Chia-Mu Yu, Pin-Yu Chen

Studying the sensitivity of weight perturbation in neural networks and its impacts on model performance, including generalization and robustness, is an active research topic due to its implications on a wide range of machine learning tasks such as model compression, generalization gap assessment, and adversarial attacks. In this paper, we provide the first formal analysis for feed-forward neural networks with non-negative monotone activation functions against norm-bounded weight perturbations, in terms of the robustness in pairwise class margin functions and the Rademacher complexity for generalization. We further design a new theory-driven loss function for training generalizable and robust neural networks against weight perturbations. Empirical experiments are conducted to validate our theoretical analysis. Our results offer fundamental insights for characterizing the generalization and robustness of neural networks against weight perturbations

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Saliency is a Possible Red Herring When Diagnosing Poor Generalization Joseph D Viviano, Becks Simpson, Francis Dutil, Yoshua Bengio, Joseph Paul Cohen Poor generalization is one symptom of models that learn to predict target variab les using spuriously-correlated image features present only in the training dist ribution instead of the true image features that denote a class. It is often tho ught that this can be diagnosed visually using attribution (aka saliency) maps. We study if this assumption is correct. In some prediction tasks, such as for me dical images, one may have some images with masks drawn by a human expert, indic ating a region of the image containing relevant information to make the predicti on. We study multiple methods that take advantage of such auxiliary labels, by t raining networks to ignore distracting features which may be found outside of th e region of interest. This mask information is only used during training and has an impact on generalization accuracy depending on the severity of the shift bet ween the training and test distributions. Surprisingly, while these methods impr ove generalization performance in the presence of a covariate shift, there is no strong correspondence between the correction of attribution towards the feature s a human expert have labelled as important and generalization performance. Thes e results suggest that the root cause of poor generalization may not always be s patially defined, and raise questions about the utility of masks as 'attribution priors' as well as saliency maps for explainable predictions.

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(Updated submission 11/20/2020) MISIM: A Novel Code Similarity System Fangke Ye, Shengtian Zhou, Anand Venkat, Ryan Marcus, Nesime Tatbul, Jesmin Jahan Tit hi, Niranjan Hasabnis, Paul Petersen, Timothy G Mattson, Tim Kraska, Pradeep Dubey, Vivek Sarkar, Justin Gottschlich

Semantic code similarity systems are integral to a range of applications from co de recommendation to automated software defect correction. Yet, these systems st ill lack the maturity in accuracy for general and reliable wide-scale usage. To help address this, we present Machine Inferred Code Similarity (MISIM), a novel end-to-end code similarity system that consists of two core components. First, M ISIM uses a novel context-aware semantic structure (CASS), which is designed to aid in lifting semantic meaning from code syntax. We compare CASS with the abstr act syntax tree (AST) and show CASS is more accurate than AST by up to 1.67x. Se cond, MISIM provides a neural-based code similarity scoring algorithm, which can be implemented with various neural network architectures with learned parameter s. We compare MISIM to four state-of-the-art systems: (i) Aroma, (ii) code2seq, (iii) code2vec, and (iv) Neural Code Comprehension. In our experimental evaluati on across 328,155 programs (over 18 million lines of code), MISIM has 1.5x to 43 .4x better accuracy across all four systems.

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Disentangled cyclic reconstruction for domain adaptation David Bertoin, Emmanuel Rachelson

The domain adaptation problem involves learning a unique classification or regre ssion model capable of performing on both a source and a target domain. Although the labels for the source data are available during training, the labels in the target domain are unknown. An effective way to tackle this problem lies in extr acting insightful features invariant to the source and target domains. In this w ork, we propose splitting the information for each domain into a task-related re presentation and its complimentary context representation. We propose an origina 1 method to disentangle these two representations in the single-domain supervise d case. We then adapt this method to the unsupervised domain adaptation problem. In particular, our method allows disentanglement in the target domain, despite the absence of training labels. This enables the isolation of task-specific info rmation from both domains and a projection into a common representation. The tas k-specific representation allows efficient transfer of knowledge acquired from t he source domain to the target domain. We validate the proposed method on severa 1 classical domain adaptation benchmarks and illustrate the benefits of disentan glement for domain adaptation.

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Adversarial Data Generation of Multi-category Marked Temporal Point Processes wi th Sparse, Incomplete, and Small Training Samples Shashika Ranga Muramudalige, Anura Jayasumana, Haonan Wang Asynchronous stochastic discrete event based processes are commonplace in applic ation domains such as social science, homeland security, and health informatics. Modeling complex interactions of such event data via marked temporal point pro cesses (MTPPs) provides the ability of detection and prediction of specific inte rests or profiles. We present a novel multi-category MTPP generation technique f or applications where training datasets are inherently sparse, incomplete, and s mall. The proposed adversarial architecture augments adversarial autoencoder (AA E) with feature mapping techniques, which includes a transformation between the categories and timestamps of marked points and the percentile distribution of the particular category. The transformation of training data to the distribution facilitates the accurate capture of underlying process characteristics despite the sparseness and incompleteness of data. The proposed method is validated using several benchmark datasets. The similarity between actual and generated MTPPs is evaluated and compared with a Markov process based baseline. Results demonstrate the effectiveness and robustness of the proposed technique.

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What Can You Learn From Your Muscles? Learning Visual Representation from Human Interactions

Kiana Ehsani, Daniel Gordon, Thomas Hai Dang Nguyen, Roozbeh Mottaghi, Ali Farhadi Learning effective representations of visual data that generalize to a variety of downstream tasks has been a long quest for computer vision. Most representation nearning approaches rely solely on visual data such as images or videos. In this paper, we explore a novel approach, where we use human interaction and attention cues to investigate whether we can learn better representations compared to visual-only representations. For this study, we collect a dataset of human interactions capturing body part movements and gaze in their daily lives. Our experiments show that our `"muscly-supervised" representation that encodes interaction and attention cues outperforms a visual-only state-of-the-art method MoCo (He et al., 2020), on a variety of target tasks: scene classification (semantic), action recognition (temporal), depth estimation (geometric), dynamics prediction (physics) and walkable surface estimation (affordance). Our code and dataset are available at: https://github.com/ehsanik/muscleTorch.

Maximum Reward Formulation In Reinforcement Learning

SaiKrishna Gottipati, Yashaswi Pathak, Rohan Nuttall,. Sahir, Raviteja Chunduru, Ahm ed Touati, Sriram Ganapathi Subramanian, Matthew E. Taylor, Sarath Chandar Reinforcement learning (RL) algorithms typically deal with maximizing the expect ed cumulative return (discounted or undiscounted, finite or infinite horizon). However, several crucial applications in the real world, such as drug discovery, do not fit within this framework because an RL agent only needs to identify states (molecules) that achieve the highest reward within a trajectory and does not need to optimize for the expected cumulative return. In this work, we formulate an objective function to maximize the expected maximum reward along a trajectory, derive a novel functional form of the Bellman equation, introduce the corresponding Bellman operators, and provide a proof of convergence. Using this formulation, we achieve state-of-the-art results on the task of molecule generation that mimics a real-world drug discovery pipeline.

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Fuzzy c-Means Clustering for Persistence Diagrams

Thomas Davies, Jack Aspinall, Bryan Wilder, Long Tran-Thanh

Persistence diagrams concisely represent the topology of a point cloud whilst ha ving strong theoretical guarantees. Most current approaches to integrating topol ogical information into machine learning implicitly map persistence diagrams to a Hilbert space, resulting in deformation of the underlying metric structure whi lst also generally requiring prior knowledge about the true topology of the space. In this paper we give an algorithm for Fuzzy c-Means (FCM) clustering directly on the space of persistence diagrams, enabling unsupervised learning that auto matically captures the topological structure of data, with no prior knowledge or additional processing of persistence diagrams. We prove the same convergence guarantees as traditional FCM clustering: every convergent subsequence of iterates tends to a local minimum or saddle point. We end by presenting experiments wher

e our fuzzy topological clustering algorithm allows for unsupervised top-\$k\$ can didate selection in settings where (i) the properties of persistence diagrams make them the natural choice over geometric equivalents, and (ii) the probabilistic membership values let us rank candidates in settings where verifying candidate suitability is expensive: lattice structure classification in materials science and pre-trained model selection in machine learning.

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D2RL: Deep Dense Architectures in Reinforcement Learning Samarth Sinha, Homanga Bharadhwaj, Aravind Srinivas, Animesh Garg

While improvements in deep learning architectures have played a crucial role in improving the state of supervised and unsupervised learning in computer vision a nd natural language processing, neural network architecture choices for reinforc ement learning remain relatively under-explored. We take inspiration from succes sful architectural choices in computer vision and generative modeling, and inves tigate the use of deeper networks and dense connections for reinforcement learning on a variety of simulated robotic learning benchmark environments. Our findings reveal that current methods benefit significantly from dense connections and deeper networks, across a suite of manipulation and locomotion tasks, for both proprioceptive and image-based observations. We hope that our results can serve a s a strong baseline and further motivate future research into neural network arc hitectures for reinforcement learning. The project website is at this link https://sites.google.com/view/d2rl-anonymous/home

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Contrastive Behavioral Similarity Embeddings for Generalization in Reinforcement Learning

Rishabh Agarwal, Marlos C. Machado, Pablo Samuel Castro, Marc G Bellemare Reinforcement learning methods trained on few environments rarely learn policies that generalize to unseen environments. To improve generalization, we incorpora te the inherent sequential structure in reinforcement learning into the representation learning process. This approach is orthogonal to recent approaches, which rarely exploit this structure explicitly. Specifically, we introduce a theoretically motivated policy similarity metric (PSM) for measuring behavioral similarity between states. PSM assigns high similarity to states for which the optimal policies in those states as well as in future states are similar. We also present a contrastive representation learning procedure to embed any state similarity metric, which we instantiate with PSM to obtain policy similarity embeddings (PSEs). We demonstrate that PSEs improve generalization on diverse benchmarks, including LQR with spurious correlations, a jumping task from pixels, and Distracting DM Control Suite.

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Prior-guided Bayesian Optimization

Artur Souza, Luigi Nardi, Leonardo Oliveira, Kunle Olukotun, Marius Lindauer, Frank Hutter

While Bayesian Optimization (BO) is a very popular method for optimizing expensi ve black-box functions, it fails to leverage the experience of domain experts. This causes BO to waste function evaluations on bad design choices (e.g., machine learning hyperparameters) that the expert already knows to work poorly. To add ress this issue, we introduce Prior-guided Bayesian Optimization (PrBO). PrBO allows users to inject their knowledge into the optimization process in the form of priors about which parts of the input space will yield the best performance, rather than BO's standard priors over functions (which are much less intuitive for users). PrBO then combines these priors with BO's standard probabilistic model to form a pseudo-posterior used to select which points to evaluate next. We show that PrBO is around 12x faster than state-of-the-art methods without user priors and 10,000x faster than random search on a common suite of benchmarks, and achieves a new state-of-the-art performance on a real-world hardware design applic ation. We also show that PrBO converges faster even if the user priors are not entirely accurate and that it robustly recovers from misleading priors.

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Why Lottery Ticket Wins? A Theoretical Perspective of Sample Complexity on Spars

## e Neural Networks

Shuai Zhang, Meng Wang, Sijia Liu, Pin-Yu Chen, Jinjun Xiong

The \$\textit{lottery ticket hypothesis}\$ (LTH) states that learning on a properl y pruned network (the \$\textit{winning ticket}\$) has improved test accuracy over the originally unpruned network. Although LTH has been justified empirically in a broad range of deep neural network (DNN) involved applications like computer vision and natural language processing, the theoretical validation of the improv ed generalization of a winning ticket remains elusive. To the best of our knowle dge, our work, for the first time, characterizes the performance of training a s parse neural network by analyzing the geometric structure of the objective funct ion and the sample complexity to achieve zero generalization error. We show that the convex region near a desirable model with guaranteed generalization enlarge s as the neural network model is pruned, indicating the structural importance of a winning ticket. Moreover, as the algorithm for training a sparse neural netwo rk is specified as (accelerated) stochastic gradient descent algorithm, we theor etically show that the number of samples required for achieving zero generalizat ion error is proportional to the number of the non-pruned model weights in the h idden layer. With a fixed number of samples, training a pruned neural network en joys a faster convergence rate to the desirable model than training the original unpruned one, providing a formal justification of the improved generalization o f the winning ticket. Our theoretical results are acquired from learning a spars e neural network of one hidden layer, while experimental results are further pro vided to justify the implications in pruning multi-layer neural networks.

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Very Deep VAEs Generalize Autoregressive Models and Can Outperform Them on Image s

Rewon Child

We present a hierarchical VAE that, for the first time, generates samples quickly \$\textit{and}\$ outperforms the PixelCNN in log-likelihood on all natural image benchmarks. We begin by observing that, in theory, VAEs can actually represent autoregressive models, as well as faster, better models if they exist, when made sufficiently deep. Despite this, autoregressive models have historically outper formed VAEs in log-likelihood. We test if insufficient depth explains why by sca ling a VAE to greater stochastic depth than previously explored and evaluating it CIFAR-10, ImageNet, and FFHQ. In comparison to the PixelCNN, these very deep V AEs achieve higher likelihoods, use fewer parameters, generate samples thousands of times faster, and are more easily applied to high-resolution images. Qualita tive studies suggest this is because the VAE learns efficient hierarchical visual representations. We release our source code and models at https://github.com/openai/vdvae.

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Conservative Safety Critics for Exploration

Homanga Bharadhwaj, Aviral Kumar, Nicholas Rhinehart, Sergey Levine, Florian Shkurti, Animesh Garg

Safe exploration presents a major challenge in reinforcement learning (RL): when active data collection requires deploying partially trained policies, we must e nsure that these policies avoid catastrophically unsafe regions, while still ena bling trial and error learning. In this paper, we target the problem of safe exp loration in RL, by learning a conservative safety estimate of environment states through a critic, and provably upper bound the likelihood of catastrophic failu res at every training iteration. We theoretically characterize the tradeoff betw een safety and policy improvement, show that the safety constraints are satisfie d with high probability during training, derive provable convergence guarantees for our approach which is no worse asymptotically then standard RL, and empirica lly demonstrate the efficacy of the proposed approach on a suite of challenging navigation, manipulation, and locomotion tasks. Our results demonstrate that the proposed approach can achieve competitive task performance, while incurring sig nificantly lower catastrophic failure rates during training as compared to prior methods. Videos are at this URL https://sites.google.com/view/conservative-safe ty-critics/

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Hyperrealistic neural decoding: Reconstruction of face stimuli from fMRI measure ments via the GAN latent space

Thirza Dado,Ya∎mur Güçlütürk,Luca Ambrogioni,Gabrielle Ras,Sander E. Bosch,Marce l van Gerven,Umut Güçlü

We introduce a new framework for hyperrealistic reconstruction of perceived naturalistic stimuli from brain recordings. To this end, we embrace the use of generative adversarial networks (GANs) at the earliest step of our neural decoding pipeline by acquiring functional magnetic resonance imaging data as subjects perceived face images created by the generator network of a GAN. Subsequently, we used a decoding approach to predict the latent state of the GAN from brain data. He note, latent representations for stimulus (re-)generation are obtained, leading to state-of-the-art image reconstructions. Altogether, we have developed a highly promising approach for decoding sensory perception from brain activity and systematically analyzing neural information processing in the human brain.

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Multi-Time Attention Networks for Irregularly Sampled Time Series Satya Narayan Shukla, Benjamin Marlin

Irregular sampling occurs in many time series modeling applications where it pre sents a significant challenge to standard deep learning models. This work is mot ivated by the analysis of physiological time series data in electronic health re cords, which are sparse, irregularly sampled, and multivariate. In this paper, we propose a new deep learning framework for this setting that we call Multi-Time Attention Networks. Multi-Time Attention Networks learn an embedding of continu ous time values and use an attention mechanism to produce a fixed-length represe ntation of a time series containing a variable number of observations. We invest igate the performance of this framework on interpolation and classification tasks using multiple datasets. Our results show that the proposed approach performs as well or better than a range of baseline and recently proposed models while of fering significantly faster training times than current state-of-the-art methods

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Graph Traversal with Tensor Functionals: A Meta-Algorithm for Scalable Learning Elan Sopher Markowitz, Keshav Balasubramanian, Mehrnoosh Mirtaheri, Sami Abu-El-Hai ja, Bryan Perozzi, Greg Ver Steeg, Aram Galstyan

Graph Representation Learning (GRL) methods have impacted fields from chemistry to social science. However, their algorithmic implementations are specialized to specific use-cases e.g. "message passing" methods are run differently from "nod e embedding" ones. Despite their apparent differences, all these methods utilize the graph structure, and therefore, their learning can be approximated with st ochastic graph traversals. We propose Graph Traversal via Tensor Functionals (G TTF), a unifying meta-algorithm framework for easing the implementation of diver se graph algorithms and enabling transparent and efficient scaling to large grap hs. GTTF is founded upon a data structure (stored as a sparse tensor) and a sto chastic graph traversal algorithm (described using tensor operations). The algor ithm is a functional that accept two functions, and can be specialized to obtain a variety of GRL models and objectives, simply by changing those two functions. We show for a wide class of methods, our algorithm learns in an unbiased fashion and, in expectation, approximates the learning as if the specialized implement ations were run directly.

With these capabilities, we scale otherwise non-scalable methods to set state-of -the-art on large graph datasets while being more efficient than existing GRL li braries -- with only a handful of lines of code for each method specialization.

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Self-Supervised Variational Auto-Encoders

Ioannis Gatopoulos, Jakub Mikolaj Tomczak

Density estimation, compression, and data generation are crucial tasks in artificial intelligence. Variational Auto-Encoders (VAEs) constitute a single framework to achieve these goals. Here, we present a novel class of generative models, called self-supervised Variational Auto-Encoder (selfVAE), that utilizes determin

istic and discrete transformations of data. This class of models allows performing both conditional and unconditional sampling while simplifying the objective function. First, we use a single self-supervised transformation as a latent variable, where a transformation is either downscaling or edge detection. Next, we consider a hierarchical architecture, i.e., multiple transformations, and we show its benefits compared to the VAE. The flexibility of selfVAE in data reconstruct ion finds a particularly interesting use case in data compression tasks, where we can trade-off memory for better data quality, and vice-versa. We present the performance of our approach on three benchmark image data (Cifar10, Imagenette64, and CelebA).

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What they do when in doubt: a study of inductive biases in seq2seq learners Eugene Kharitonov, Rahma Chaabouni

Sequence-to-sequence (seq2seq) learners are widely used, but we still have only limited knowledge about what inductive biases shape the way they generalize. We address that by investigating how popular seq2seq learners generalize in tasks that have high ambiguity in the training data. We use four new tasks to study learners' preferences for memorization, arithmetic, hierarchical, and compositional reasoning. Further, we connect to Solomonoff's theory of induction and propose to use description length as a principled and sensitive measure of inductive biases. In our experimental study, we find that LSTM-based learners can learn to perform counting, addition, and multiplication by a constant from a single training example. Furthermore, Transformer and LSTM-based learners show a bias toward the hierarchical induction over the linear one, while CNN-based learners prefer the opposite. The latter also show a bias toward a compositional generalization over memorization. Finally, across all our experiments, description length proved to be a sensitive measure of inductive biases.

Approximate Birkhoff-von-Neumann decomposition: a differentiable approach Andrés Hoyos-Idrobo

The Birkhoff-von-Neumann (BvN) decomposition is a standard tool used to draw per mutation matrices from a doubly stochastic (DS) matrix. The BvN decomposition re presents such a DS matrix as a convex combination of several permutation matrice s. Currently, most algorithms to compute the BvN decomposition employ either gre edy strategies or custom-made heuristics. In this paper, we present a novel diff erentiable approach to approximate the BvN decomposition. Our algorithm builds u pon recent advances in Riemannian optimization on Birkhoff polytopes. We offer a n empirical evaluation of this approach in the fairness of exposure in rankings, where we show that the outcome of our method behaves similarly to greedy algori thms. Our approach is an excellent addition to existing methods for sampling fro m DS matrices, such as sampling from a Gumbel-Sinkhorn distribution. However, ou r approach is better suited for applications where the latency in prediction tim e is a constraint. Indeed, we can generally precompute an approximated BvN decom position offline. Then, we select a permutation matrix at random with probabilit y proportional to its coefficient. Finally, we provide an implementation of our method.

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Adaptive N-step Bootstrapping with Off-policy Data Guan Wang, Dong Yan, Hang Su, Jun Zhu

The definition of the update target is a crucial design choice in re inforcement learning. Due to the low computation cost and empirical high perfor mance, n-step returns with off-policy data is a widely used update target to bo otstrap from scratch. A critical issue of applying n-step returns is to identify the optimal value of n. In practice, n is often set to a fixed value, which is either determined by an empirical guess or by some hyper-parameter search. In this work, we point out that the optimal value of n actually differs on each data point, while the fixed value n is a rough average of them. The estimation error can be decomposed into two sources, off-policy bias and approximation error, and the fixed value of n is a trade-off between them. Based on that observation, we introduce a new metric, policy age, to quantify the off-policyness of each

data point. We propose the Adaptive N-step Bootstrapping, which calculates the value of n for each data point by its policy age instead of the empirical guess. We conduct experiments on both MuJoCo and Atari games. The results show that a daptive n-step bootstrap-ping achieves state-of-the-art performance in terms of both final reward and data efficiency.

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Async-RED: A Provably Convergent Asynchronous Block Parallel Stochastic Method u sing Deep Denoising Priors

Yu Sun, Jiaming Liu, Yiran Sun, Brendt Wohlberg, Ulugbek Kamilov

Regularization by denoising (RED) is a recently developed framework for solving inverse problems by integrating advanced denoisers as image priors. Recent work has shown its state-of-the-art performance when combined with pre-trained deep denoisers. However, current RED algorithms are inadequate for parallel processing on multicore systems. We address this issue by proposing a new{asynchronous RED (Async-RED) algorithm that enables asynchronous parallel processing of data, making it significantly faster than its serial counterparts for large-scale inverse problems. The computational complexity of Async-RED is further reduced by using a random subset of measurements at every iteration. We present a complete theo retical analysis of the algorithm by establishing its convergence under explicit assumptions on the data-fidelity and the denoiser. We validate Async-RED on image recovery using pre-trained deep denoisers as priors.

Estimation of Number of Communities in Assortative Sparse Networks Neil Hwang, Jiarui Xu, Shirshendu Chatterjee, Sharmodeep Bhattacharyya

Most community detection algorithms assume the number of communities, \$K\$, to be known \textit{a priori}. Among various approaches that have been proposed to estimate \$K\$, the non-parametric methods based on the spectral properties of the B ethe Hessian matrices have garnered much popularity for their simplicity, comput ational efficiency, and robust performance irrespective of the sparsity of the input data. Recently, one such method has been shown to estimate \$K\$ consistently if the input network is generated from the (semi-dense) stochastic block model, when the average of the expected degrees (\$\tilde{d}\$) of all the nodes in the network satisfies \$\tilde{d} \gg \log(N)\$ (\$N\$ being the number of nodes in the network). In this paper, we prove some finite sample results that hold for \$\tilde{d} = o(\log(N))\$, which in turn show that the estimation of \$K\$ based on the spectra of the Bethe Hessian matrices is consistent not only for the semi-dense regime, but also for the sub-logarithmic sparse regime when \$1 \l1 \tilde{d} \l1 \log(N)\$. Thus, our estimation procedure is a robust method for a wide range of problem settings, regardless of the sparsity of the network input.

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Learning Consistent Deep Generative Models from Sparse Data via Prediction Constraints

Gabriel Hope, Madina Abdrakhmanova, Xiaoyin Chen, Michael C Hughes, Erik B Sudderth We develop a new framework for learning variational autoencoders and other deep generative models that balances generative and discriminative goals. Our framework optimizes model parameters to maximize a variational lower bound on the likelihood of observed data, subject to a task-specific prediction constraint that prevents model misspecification from leading to inaccurate predictions. We further enforce a consistency constraint, derived naturally from the generative model, that requires predictions on reconstructed data to match those on the original data. We show that these two contributions -- prediction constraints and consistency constraints -- lead to promising image classification performance, especially in the semi-supervised scenario where category labels are sparse but unlabeled data is plentiful. Our approach enables advances in generative modeling to directly boost semi-supervised classification performance, an ability we demonstrate by augmenting deep generative models with latent variables capturing spatial transformations.

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Tomographic Auto-Encoder: Unsupervised Bayesian Recovery of Corrupted Data Francesco Tonolini, Pablo Garcia Moreno, Andreas Damianou, Roderick Murray-Smith

We propose a new probabilistic method for unsupervised recovery of corrupted dat a. Given a large ensemble of degraded samples, our method recovers accurate post eriors of clean values, allowing the exploration of the manifold of possible rec onstructed data and hence characterising the underlying uncertainty. In this set ting, direct application of classical variational methods often gives rise to c ollapsed densities that do not adequately explore the solution space. Instead, we derive our novel reduced entropy condition approximate inference method that results in rich posteriors. We test our model in a data recovery task under the common setting of missing values and noise, demonstrating superior performance to existing variational methods for imputation and de-noising with different real data sets. We further show higher classification accuracy after imputation, proving the advantage of propagating uncertainty to downstream tasks with our mode l.

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OPAL: Offline Primitive Discovery for Accelerating Offline Reinforcement Learnin

Anurag Ajay, Aviral Kumar, Pulkit Agrawal, Sergey Levine, Ofir Nachum Reinforcement learning (RL) has achieved impressive performance in a variety of online settings in which an agent's ability to query the environment for transit ions and rewards is effectively unlimited. However, in many practical applicatio ns, the situation is reversed: an agent may have access to large amounts of und irected offline experience data, while access to the online environment is sever ely limited. In this work, we focus on this offline setting. Our main insight is that, when presented with offline data composed of a variety of behaviors, an e ffective way to leverage this data is to extract a continuous space of recurring and temporally extended primitive behaviors before using these primitives for d ownstream task learning. Primitives extracted in this way serve two purposes: they delineate the behaviors that are supported by the data from those that are not, making them useful for avoiding distributional shift in offline RL; and the y provide a degree of temporal abstraction, which reduces the effective horizon yielding better learning in theory, and improved offline RL in practice. In addi tion to benefiting offline policy optimization, we show that performing offline primitive learning in this way can also be leveraged for improving few-shot imit ation learning as well as exploration and transfer in online RL on a variety of benchmark domains. Visualizations and code are available at https://sites.google .com/view/opal-iclr

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Knowledge distillation via softmax regression representation learning Jing Yang, Brais Martinez, Adrian Bulat, Georgios Tzimiropoulos

This paper addresses the problem of model compression via knowledge distillation . We advocate for a method that optimizes the output feature of the penultimate layer of the student network and hence is directly related to representation lea rning. Previous distillation methods which typically impose direct feature match ing between the student and the teacher do not take into account the classificat ion problem at hand. On the contrary, our distillation method decouples representation learning and classification and utilizes the teacher's pre-trained classifier to train the student's penultimate layer feature. In particular, for the same input image, we wish the teacher's and student's feature to produce the same output when passed through the teacher's classifier which is achieved with a simple \$L\_2\$ loss. Our method is extremely simple to implement and straightforward to train and is shown to consistently outperform previous state-of-the-art methods over a large set of experimental settings including different (a) network arc hitectures, (b) teacher-student capacities, (c) datasets, and (d) domains. The code will be available at \url{https://github.com/jingyang2017/KD\_SRRL}.

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Large Associative Memory Problem in Neurobiology and Machine Learning Dmitry Krotov, John J. Hopfield

Dense Associative Memories or modern Hopfield networks permit storage and reliab le retrieval of an exponentially large (in the dimension of feature space) numb er of memories. At the same time, their naive implementation is non-biological, since it seemingly requires the existence of many-body synaptic junctions between the neurons. We show that these models are effective descriptions of a more microscopic (written in terms of biological degrees of freedom) theory that has a dditional (hidden) neurons and only requires two-body interactions between them. For this reason our proposed microscopic theory is a valid model of large associative memory with a degree of biological plausibility. The dynamics of our network and its reduced dimensional equivalent both minimize energy (Lyapunov) functions. When certain dynamical variables (hidden neurons) are integrated out from our microscopic theory, one can recover many of the models that were previously discussed in the literature, e.g. the model presented in "Hopfield Networks is All You Need" paper. We also provide an alternative derivation of the energy function and the update rule proposed in the aforementioned paper and clarify the relationships between various models of this class.

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FTBNN: Rethinking Non-linearity for 1-bit CNNs and Going Beyond Zhuo Su, Linpu Fang, Deke Guo, Dewen Hu, Matti Pietikäinen, Li Liu

Binary neural networks (BNNs), where both weights and activations are binarized into 1 bit, have been widely studied in recent years due to its great benefit of highly accelerated computation and substantially reduced memory footprint that appeal to the development of resource constrained devices. In contrast to previo us methods tending to reduce the quantization error for training BNN structures, we argue that the binarized convolution process owns an increasing linearity to wards the target of minimizing such error, which in turn hampers BNN's discrimin ative ability. In this paper, we re-investigate and tune proper non-linear modul es to fix that contradiction, leading to a strong baseline which achieves stateof-the-art performance on the large-scale ImageNet dataset in terms of accuracy and training efficiency. To go further, we find that the proposed BNN model stil 1 has much potential to be compressed by making a better use of the efficient bi nary operations, without losing accuracy. In addition, the limited capacity of t he BNN model can also be increased with the help of group execution. Based on th ese insights, we are able to improve the baseline with an additional 4\$\sim\$5% top-1 accuracy gain even with less computational cost. Our code and all trained models will be made public.

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Remembering for the Right Reasons: Explanations Reduce Catastrophic Forgetting Sayna Ebrahimi, Suzanne Petryk, Akash Gokul, William Gan, Joseph E. Gonzalez, Marcus Rohrbach, trevor darrell

The goal of continual learning (CL) is to learn a sequence of tasks without suff ering from the phenomenon of catastrophic forgetting. Previous work has shown th at leveraging memory in the form of a replay buffer can reduce performance degra dation on prior tasks. We hypothesize that forgetting can be further reduced whe n the model is encouraged to remember the \textit{evidence} for previously made decisions. As a first step towards exploring this hypothesis, we propose a simpl e novel training paradigm, called Remembering for the Right Reasons (RRR), that additionally stores visual model explanations for each example in the buffer and ensures the model has ``the right reasons'' for its predictions by encouraging its explanations to remain consistent with those used to make decisions at train ing time. Without this constraint, there is a drift in explanations and increase in forgetting as conventional continual learning algorithms learn new tasks. We demonstrate how RRR can be easily added to any memory or regularization-based a pproach and results in reduced forgetting, and more importantly, improved model explanations. We have evaluated our approach in the standard and few-shot settin gs and observed a consistent improvement across various CL approaches using diff erent architectures and techniques to generate model explanations and demonstrat ed our approach showing a promising connection between explainability and contin ual learning. Our code is available at \url{https://github.com/SaynaEbrahimi/Rem embering-for-the-Right-Reasons \} .

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Deep Networks and the Multiple Manifold Problem Sam Buchanan, Dar Gilboa, John Wright

We study the multiple manifold problem, a binary classification task modeled on applications in machine vision, in which a deep fully-connected neural network i s trained to separate two low-dimensional submanifolds of the unit sphere. We pr ovide an analysis of the one-dimensional case, proving for a simple manifold con figuration that when the network depth \$L\$ is large relative to certain geometri c and statistical properties of the data, the network width \$n\$ grows as a suffi ciently large polynomial in \$L\$, and the number of i.i.d. samples from the manif olds is polynomial in \$L\$, randomly-initialized gradient descent rapidly learns to classify the two manifolds perfectly with high probability. Our analysis demo nstrates concrete benefits of depth and width in the context of a practically-mo tivated model problem: the depth acts as a fitting resource, with larger depths corresponding to smoother networks that can more readily separate the class mani folds, and the width acts as a statistical resource, enabling concentration of t he randomly-initialized network and its gradients. The argument centers around t he "neural tangent kernel" of Jacot et al. and its role in the nonasymptotic ana lysis of training overparameterized neural networks; to this literature, we cont ribute essentially optimal rates of concentration for the neural tangent kernel of deep fully-connected ReLU networks, requiring width \$n \geq L\,\mathrm{poly}( d\_0)\$ to achieve uniform concentration of the initial kernel over a \$d\_0\$-dimens ional submanifold of the unit sphere  $\mathbb{S}^{n_0-1}$ , and a nonasymptotic f ramework for establishing generalization of networks trained in the "NTK regime" with structured data. The proof makes heavy use of martingale concentration to optimally treat statistical dependencies across layers of the initial random net work. This approach should be of use in establishing similar results for other n etwork architectures.

Transfer Learning of Graph Neural Networks with Ego-graph Information Maximizati on

Qi Zhu, Yidan Xu, Haonan Wang, Chao Zhang, Jiawei Han, Carl Yang

Graph neural networks (GNNs) have been shown with superior performance in variou s applications, but training dedicated GNNs can be costly for large-scale graphs . Some recent work started to study the pre-training of GNNs. However, none of t hem provide theoretical insights into the design of their frameworks, or clear r equirements and guarantees towards the transferability of GNNs. In this work, we establish a theoretically grounded and practically useful framework for the tra nsfer learning of GNNs. Firstly, we propose a novel view towards the essential g raph information and advocate the capturing of it as the goal of transferable GN N training, which motivates the design of EGI (ego-graph information maximizatio n) to analytically achieve this goal. Secondly, we specify the requirement of st ructure-respecting node features as the GNN input, and conduct a rigorous analys is of GNN transferability based on the difference between the local graph Laplac ians of the source and target graphs. Finally, we conduct controlled synthetic e xperiments to directly justify our theoretical conclusions. Extensive experiment s on real-world networks towards role identification show consistent results in the rigorously analyzed setting of direct-transfering (freezing parameters), whi le those towards large-scale relation prediction show promising results in the  $\mathfrak m$ ore generalized and practical setting of transfering with fine-tuning.

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Chameleon: Learning Model Initializations Across Tasks With Different Schemas Lukas Brinkmeyer, Rafael Rego Drumond, Randolf Scholz, Josif Grabocka, Lars Schmidt-Thieme

Parametric models, and particularly neural networks, require weight initializati on as a starting point for gradient-based optimization. Recent work shows that a n initial parameter set can be learned from a population of supervised learning tasks that enables a fast convergence for unseen tasks even when only a handful of instances is available (model-agnostic meta-learning).

Currently, methods for learning model initializations are limited to a populatio n of tasks sharing the same schema, i.e., the same number, order, type, and sema ntics of predictor and target variables.

In this paper, we address the problem of meta-learning weight initialization acr

oss tasks with different schemas, for example, if the number of predictors varie s across tasks, while they still share some variables. We propose Chameleon, a m odel that learns to align different predictor schemas to a common representation

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In experiments on 23 datasets of the OpenML-CC18 benchmark, we show that Chamele on can successfully learn parameter initializations across tasks with different schemas, presenting, to the best of our knowledge, the first cross-dataset few-s hot classification approach for unstructured data.

Interpreting Knowledge Graph Relation Representation from Word Embeddings Carl Allen, Ivana Balazevic, Timothy Hospedales

Many models learn representations of knowledge graph data by exploiting its low-rank latent structure, encoding known relations between entities and enabling un known facts to be inferred. To predict whether a relation holds between entities, embeddings are typically compared in the latent space following a relation-spe cific mapping. Whilst their predictive performance has steadily improved, how su ch models capture the underlying latent structure of semantic information remain s unexplained. Building on recent theoretical understanding of word embeddings, we categorise knowledge graph relations into three types and for each derive explicit requirements of their representations. We show that empirical properties of relation representations and the relative performance of leading knowledge graph representation methods are justified by our analysis.

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Learning perturbation sets for robust machine learning Eric Wong, J Zico Kolter

Although much progress has been made towards robust deep learning, a significant gap in robustness remains between real-world perturbations and more narrowly de fined sets typically studied in adversarial defenses. In this paper, we aim to b ridge this gap by learning perturbation sets from data, in order to characterize real-world effects for robust training and evaluation. Specifically, we use a c onditional generator that defines the perturbation set over a constrained region of the latent space. We formulate desirable properties that measure the quality of a learned perturbation set, and theoretically prove that a conditional varia tional autoencoder naturally satisfies these criteria. Using this framework, our approach can generate a variety of perturbations at different complexities and scales, ranging from baseline spatial transformations, through common image corr uptions, to lighting variations. We measure the quality of our learned perturbat ion sets both quantitatively and qualitatively, finding that our models are capa ble of producing a diverse set of meaningful perturbations beyond the limited da ta seen during training. Finally, we leverage our learned perturbation sets to t rain models which are empirically and certifiably robust to adversarial image co rruptions and adversarial lighting variations, while improving generalization on non-adversarial data. All code and configuration files for reproducing the expe riments as well as pretrained model weights can be found at https://github.com/l ocuslab/perturbation\_learning.

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Efficient Architecture Search for Continual Learning Qiang Gao, Zhipeng Luo, Diego Klabjan, Fengli Zhang

Continual learning with neural networks is an important learning framework in AI that aims to learn a sequence of tasks well. However, it is often confronted wi th three challenges: (1) overcome the catastrophic forgetting problem, (2) adapt the current network to new tasks, and meanwhile (3) control its model complexit y. To reach these goals, we propose a novel approach named as Continual Learning with Efficient Architecture Search, or CLEAS in short. CLEAS works closely with neural architecture search (NAS) which leverages reinforcement learning techniq ues to search for the best neural architecture that fits a new task. In particul ar, we design a neuron-level NAS controller that decides which old neurons from previous tasks should be reused (knowledge transfer), and which new neurons should be added (to learn new knowledge). Such a fine-grained controller allows find ing a very concise architecture that can fit each new task well. Meanwhile, since

e we do not alter the weights of the reused neurons, we perfectly memorize the k nowledge learned from previous tasks. We evaluate CLEAS on numerous sequential c lassification tasks, and the results demonstrate that CLEAS outperforms other st ate-of-the-art alternative methods, achieving higher classification accuracy whi le using simpler neural architectures.

Gradient Projection Memory for Continual Learning Gobinda Saha, Isha Garq, Kaushik Roy

The ability to learn continually without forgetting the past tasks is a desired attribute for artificial learning systems. Existing approaches to enable such le arning in artificial neural networks usually rely on network growth, importance based weight update or replay of old data from the memory. In contrast, we propo se a novel approach where a neural network learns new tasks by taking gradient s teps in the orthogonal direction to the gradient subspaces deemed important for the past tasks. We find the bases of these subspaces by analyzing network repres entations (activations) after learning each task with Singular Value Decompositi on (SVD) in a single shot manner and store them in the memory as Gradient Projec tion Memory (GPM). With qualitative and quantitative analyses, we show that such orthogonal gradient descent induces minimum to no interference with the past ta sks, thereby mitigates forgetting. We evaluate our algorithm on diverse image cl assification datasets with short and long sequences of tasks and report better or on-par performance compared to the state-of-the-art approaches.

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Evaluating Online Continual Learning with CALM

Germán Kruszewski, Ionut Teodor Sorodoc, Tomas Mikolov

Online Continual Learning (OCL) studies learning over a continuous data stream w ithout observing any single example more than once, a setting that is closer to the experience of humans and systems that must learn "on-the-wild". Yet, commonly available benchmarks are far from these real world conditions, because they explicitly signal different tasks, lack latent similarity structure or assume temporal independence between different examples. Here, we propose a new benchmark for OCL based on language modelling in which input alternates between different languages and domains without any explicit delimitation. Additionally, we propose new metrics to study catastrophic forgetting in this setting and evaluate multiple baseline models based on compositions of experts. Finally, we introduce a simple gating technique that learns the latent similarities between different inputs, improving the performance of a Products of Experts model.

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A Trainable Optimal Transport Embedding for Feature Aggregation and its Relation ship to Attention

Grégoire Mialon, Dexiong Chen, Alexandre d'Aspremont, Julien Mairal

We address the problem of learning on sets of features, motivated by the need of performing pooling operations in long biological sequences of varying sizes, wi th long-range dependencies, and possibly few labeled data. To address this chall enging task, we introduce a parametrized representation of fixed size, which em beds and then aggregates elements from a given input set according to the optima 1 transport plan between the set and a trainable reference. Our approach scales to large datasets and allows end-to-end training of the reference, while also pr oviding a simple unsupervised learning mechanism with small computational cost. Our aggregation technique admits two useful interpretations: it may be seen as a mechanism related to attention layers in neural networks, or it may be seen as a scalable surrogate of a classical optimal transport-based kernel. We experimen tally demonstrate the effectiveness of our approach on biological sequences, ach ieving state-of-the-art results for protein fold recognition and detection of ch romatin profiles tasks, and, as a proof of concept, we show promising results fo r processing natural language sequences. We provide an open-source implementatio n of our embedding that can be used alone or as a module in larger learning mode ls at https://github.com/claying/OTK.

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Unifying Regularisation Methods for Continual Learning Frederik Benzing

Continual Learning addresses the challenge of learning a number of different dis tributions sequentially. The goal of maintaining knowledge of earlier distributi ons without re-accessing them starkly conflicts with standard SGD training for a rtificial neural networks. An influential method to tackle this are so-called re gularisation approaches. They measure the importance of each parameter for model ling a given distribution and subsequently protect important parameters from lar ge changes. In the literature, three ways to measure parameter importance have b een put forward and they have inspired a large body of follow-up work. Here, we present strong theoretical and empirical evidence that these three methods, Elas tic Weight Consolidation (EWC), Synaptic Intelligence (SI) and Memory Aware Syna pses (MAS), all approximate the Fisher Information. Only EWC intentionally relie s on the Fisher, while the other two methods stem from rather different motivati ons. We find that for SI the relation to the Fisher -- and in fact its performan ce -- is due to a previously unknown bias. Altogether, this unifies a large body of regularisation approaches. It also provides the first theoretical explanatio n for the effectiveness of SI- and MAS-based algorithms and offers theoreticall y justified versions of these algorithms. From a practical viewpoint, our insigh ts offer computational speed-ups and uncover conditions needed for different alg orithms to work.

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Amortized Causal Discovery: Learning to Infer Causal Graphs from Time-Series Dat

Sindy Löwe, David Madras, Richard Zemel, Max Welling

Standard causal discovery methods must fit a new model whenever they encounter s amples from a new underlying causal graph. However, these samples often share re levant information – for instance, the dynamics describing the effects of causal relations – which is lost when following this approach. We propose Amortized Ca usal Discovery, a novel framework that leverages such shared dynamics to learn to infer causal relations from time-series data. This enables us to train a single, amortized model that infers causal relations across samples with different underlying causal graphs, and thus makes use of the information that is shared. We demonstrate experimentally that this approach, implemented as a variational model, leads to significant improvements in causal discovery performance, and show how it can be extended to perform well under hidden confounding.

RODE: Learning Roles to Decompose Multi-Agent Tasks

Tonghan Wang, Tarun Gupta, Anuj Mahajan, Bei Peng, Shimon Whiteson, Chongjie Zhang Role-based learning holds the promise of achieving scalable multi-agent learning by decomposing complex tasks using roles. However, it is largely unclear how to efficiently discover such a set of roles. To solve this problem, we propose to first decompose joint action spaces into restricted role action spaces by cluste ring actions according to their effects on the environment and other agents. Lea rning a role selector based on action effects makes role discovery much easier b ecause it forms a bi-level learning hierarchy: the role selector searches in a s maller role space and at a lower temporal resolution, while role policies learn in significantly reduced primitive action-observation spaces. We further integra te information about action effects into the role policies to boost learning eff iciency and policy generalization. By virtue of these advances, our method (1) o utperforms the current state-of-the-art MARL algorithms on 9 of the 14 scenarios that comprise the challenging StarCraft II micromanagement benchmark and (2) ac hieves rapid transfer to new environments with three times the number of agents. Demonstrative videos can be viewed at https://sites.google.com/view/rode-marl.

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Graph Representation Learning for Multi-Task Settings: a Meta-Learning Approach Davide Buffelli, Fabio Vandin

Graph Neural Networks (GNNs) have become the state-of-the-art method for many ap plications on graph structured data. GNNs are a framework for graph representati

on learning, where a model learns to generate low dimensional node embeddings th at encapsulate structural and feature-related information. GNNs are usually trained in an end-to-end fashion, leading to highly specialized node embeddings. While this approach achieves great results in the single-task setting, generating node embeddings that can be used to perform multiple tasks (with performance comparable to single-task models) is an open problem. We propose a novel representation learning strategy, based on meta-learning, capable of producing multi-task node embeddings. Our method avoids the difficulties arising when learning to perform multiple tasks concurrently by, instead, learning to quickly (i.e. with a few steps of gradient descent) adapt to multiple tasks singularly. We show that the embeddings produced by our method can be used to perform multiple tasks with comparable or higher performance than both single-task and multi-task end-to-end models. Our method is model-agnostic and task-agnostic and can hence be applied to a wide variety of multi-task domains.

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Are Graph Convolutional Networks Fully Exploiting the Graph Structure? Davide Buffelli, Fabio Vandin

Graph Convolutional Networks (GCNs) represent the state-of-the-art for many grap h related tasks. At every layer, GCNs rely on the graph structure to define an a ggregation strategy where each node updates its representation by combining info rmation from its neighbours. A known limitation of GCNs is their inability to in fer long-range dependencies. In fact, as the number of layers increases, informa tion gets smoothed and node embeddings become indistinguishable, negatively affe cting performance. In this paper we formalize four levels of injection of graph structural information, and use them to analyze the importance of long-range dep endencies. We then propose a novel regularization technique based on random walk s with restart, called RWRReg, which encourages the network to encode long-range information into node embeddings. RWRReg does not require additional operations at inference time, is model-agnostic, and is further supported by our theoretic al analysis connecting it to the Weisfeiler-Leman algorithm. Our experimental an alysis, on both transductive and inductive tasks, shows that the lack of long-ra nge structural information greatly affects the performance of state-of-the-art m odels, and that the long-range information exploited by RWRReg leads to an avera ge accuracy improvement of more than \$5\%\$ on all considered tasks.

Bractivate: Dendritic Branching in Medical Image Segmentation Neural Architectur e Search

Leila Abdelrahman

Researchers manually compose most neural networks through painstaking experiment ation

This process is taxing and explores only a limited subset of possible architecture. Researchers design architectures to address objectives ranging from low  $\,$ 

space complexity to high accuracy through hours of experimentation. Neural architecture

search (NAS) is a thriving field for automatically discovering architectures achieving these same objectives. Addressing these ever-increasing challenges in computing, we take inspiration from the brain because it has the most efficient neuronal wiring of any complex structure; its physiology inspires us to propose Bractivate, a NAS algorithm inspired by neural dendritic branching. An evolution ary algorithm that adds new skip connection combinations to the most active blocks in the network, propagating salient

information through the network. We apply our methods to lung x-ray, cell nuclei microscopy, and electron microscopy segmentation tasks to highlight Bractivate's robustness

Moreover, our ablation studies emphasize dendritic branching's necessity: ablating

these connections leads to significantly lower model performance. We finally compare our discovered architecture with other state-of-the-art UNet models, highlighting how efficient skip connections allow Bractivate to achieve comparab

results with substantially lower space and time complexity, proving how Bractivate balances efficiency with performance. We invite you to work with our code here: \href{https://tinyurl.com/bractivate}{\textcolor{violet}{https://tinyurl.com/bractivate}}.

Scalable Bayesian Inverse Reinforcement Learning

Alex James Chan, Mihaela van der Schaar

Bayesian inference over the reward presents an ideal solution to the ill-posed n ature of the inverse reinforcement learning problem. Unfortunately current metho ds generally do not scale well beyond the small tabular setting due to the need for an inner-loop MDP solver, and even non-Bayesian methods that do themselves s cale often require extensive interaction with the environment to perform well, b eing inappropriate for high stakes or costly applications such as healthcare. In this paper we introduce our method, Approximate Variational Reward Imitation Le arning (AVRIL), that addresses both of these issues by jointly learning an approximate posterior distribution over the reward that scales to arbitrarily complic ated state spaces alongside an appropriate policy in a completely offline manner through a variational approach to said latent reward. Applying our method to re al medical data alongside classic control simulations, we demonstrate Bayesian r eward inference in environments beyond the scope of current methods, as well as task performance competitive with focused offline imitation learning algorithms.

Multi-Task Multicriteria Hyperparameter Optimization

Kirill Akhmetzyanov, Alexander Yuzhakov

We present a new method for searching optimal hyperparameters among several task s and several criteria. Multi-Task Multi Criteria method (MTMC) provides several Pareto-optimal solutions, among which one solution is selected with given crite ria significance coefficients. The article begins with a mathematical formulation of the problem of choosing optimal hyperparameters. Then, the steps of the MTM C method that solves this problem are described. The proposed method is evaluated on the image classification problem using a convolutional neural network. The article presents optimal hyperparameters for various criteria significance coefficients.

Learning "What-if" Explanations for Sequential Decision-Making Ioana Bica, Daniel Jarrett, Alihan Hüyük, Mihaela van der Schaar

Building interpretable parameterizations of real-world decision-making on the ba sis of demonstrated behavior -- i.e. trajectories of observations and actions made by an expert maximizing some unknown reward function -- is essential for introspe cting and auditing policies in different institutions. In this paper, we propose learning explanations of expert decisions by modeling their reward function in terms of preferences with respect to ``"what if'' outcomes: Given the current hi story of observations, what would happen if we took a particular action? To lear n these cost-benefit tradeoffs associated with the expert's actions, we integrat e counterfactual reasoning into batch inverse reinforcement learning. This offer s a principled way of defining reward functions and explaining expert behavior, and also satisfies the constraints of real-world decision-making---where active experimentation is often impossible (e.g. in healthcare). Additionally, by estim ating the effects of different actions, counterfactuals readily tackle the off-p olicy nature of policy evaluation in the batch setting, and can naturally accomm odate settings where the expert policies depend on histories of observations rat her than just current states. Through illustrative experiments in both real and simulated medical environments, we highlight the effectiveness of our batch, cou nterfactual inverse reinforcement learning approach in recovering accurate and i nterpretable descriptions of behavior.

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Learning advanced mathematical computations from examples

Francois Charton, Amaury Hayat, Guillaume Lample

Using transformers over large generated datasets, we train models to learn mathe

matical properties of differential systems, such as local stability, behavior at infinity and controllability. We achieve near perfect prediction of qualitative characteristics, and good approximations of numerical features of the system. This demonstrates that neural networks can learn to perform complex computations, grounded in advanced theory, from examples, without built-in mathematical knowledge.

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Federated learning using mixture of experts

Edvin Listo Zec, John Martinsson, Olof Mogren, Leon René Sütfeld, Daniel Gillblad Federated learning has received attention for its efficiency and privacy benefit s, in settings where data is distributed among devices. Although federated lear ning shows significant promise as a key approach when data cannot be shared or c entralized, current incarnations show limited privacy properties and have shortcomings when applied to common real-world scenarios. One such scenario is heterogeneous data among devices, where data may come from different generat ing distributions. In this paper, we propose a federated learning framework usin g a mixture of experts to balance the specialist nature of a locally trained mod el with the generalist knowledge of a global model in a federated learning setti Our results show that the mixture of experts model is better suited as a pe rsonalized model for devices when data is heterogeneous, outperforming both glob al and lo-cal models. Furthermore, our framework gives strict privacy guarantee s, which allows clients to select parts of their data that may be excluded from The evaluation shows that the proposed solution is robust to t he setting where some users require a strict privacy setting and do not disclose their models to a central server at all, opting out from the federation partial ly or entirely. The proposed framework is general enough to include any kind of machine learning models, and can even use combinations of different kinds.

Guiding Neural Network Initialization via Marginal Likelihood Maximization Anthony Tai, Chunfeng Huang

We propose a simple, data-driven approach to help guide hyperparameter selection for neural network initialization. We leverage the relationship between neural network and Gaussian process models having corresponding activation and covarian ce functions to infer the hyperparameter values desirable for model initializati on. Our experiment shows that marginal likelihood maximization provides recomme ndations that yield near-optimal prediction performance on MNIST classification task under experiment constraints. Furthermore, our empirical results indicate consistency in the proposed technique, suggesting that computation cost for the procedure could be significantly reduced with smaller training sets.

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Just How Toxic is Data Poisoning? A Benchmark for Backdoor and Data Poisoning A ttacks

Avi Schwarzschild, Micah Goldblum, Arjun Gupta, John P Dickerson, Tom Goldstein Data poisoning and backdoor attacks manipulate training data in order to cause m odels to fail during inference. A recent survey of industry practitioners found that data poisoning is the number one concern among threats ranging from model stealing to adversarial attacks. However, we find that the impressive performance evaluations from data poisoning attacks are, in large part, artifacts of incon sistent experimental design. Moreover, we find that existing poisoning methods have been tested in contrived scenarios, and many fail in more realistic settings. In order to promote fair comparison in future work, we develop standardized be nchmarks for data poisoning and backdoor attacks.

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Diffeomorphic Template Transformers

Tycho F.A. van der Ouderaa, Ivana Isgum, Wouter B. Veldhuis, Bob D. De Vos, Pim Moes kops

In this paper we propose a spatial transformer network where the spatial transformations are limited to the group of diffeomorphisms. Diffeomorphic transformations are a kind of homeomorphism, which by definition preserve topology, a compel ling property in certain applications.

We apply this diffemorphic spatial transformer to model the output of a neural n etwork as a topology preserving mapping of a prior shape. By carefully choosing the prior shape we can enforce properties on the output of the network without r equiring any changes to the loss function, such as smooth boundaries and a hard constraint on the number of connected components.

The diffeomorphic transformer networks outperform their non-diffeomorphic precur sors when applied to learn data invariances in classification tasks. On a breast tissue segmentation task, we show that the approach is robust and flexible enough to deform simple artificial priors, such as Gaussian-shaped prior energies, into high-quality predictive probability densities. In addition to desirable topo logical properties, the segmentation maps have competitive quantitative fidelity compared to those obtained by direct estimation (i.e. plain U-Net).

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Neural CDEs for Long Time Series via the Log-ODE Method James Morrill, Patrick Kidger, Cristopher Salvi, James Foster, Terry Lyons Neural Controlled Differential Equations (Neural CDEs) are the continuous-time a nalogue of an RNN, just as Neural ODEs are analogous to ResNets. However just like RNNs, training Neural CDEs can be difficult for long time series. Here, we propose to apply a technique drawn from stochastic analysis, namely the log-ODE method. Instead of using the original input sequence, our procedure summarises the information over local time intervals via the log-signature map, and uses the resulting shorter stream of log-signatures as the new input. This represents a length/channel trade-off. In doing so we demonstrate efficacy on problems of length up to 17k observations and observe significant training speed-ups, improvement in model performance, and reduced memory requirements compared to the existing algorithm.

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Leveraging Class Hierarchies with Metric-Guided Prototype Learning Vivien Sainte Fare Garnot, Loic Landrieu

In many classification tasks, the set of classes can be organized according to a meaningful hierarchy. This structure can be used to assess the severity of conf using each pair of classes, and summarized under the form of a cost matrix which also defines a finite metric. We propose to integrate this metric in the super vision of a prototypical network in order to model the hierarchical class struct ure. Our method relies on jointly learning a feature-extracting network and a set of class representations, or prototypes, which incorporate the error metric in to their relative arrangement in the embedding space. We show that this simultan eous training allows for consistent improvement of the severity of the network's errors with regard to the class hierarchy when compared to traditional methods and other prototype-based strategies. Furthermore, when the induced metric contains insight on the data structure, our approach improves the overall precision as well. Experiments on four different public datasets—from agricultural time series classification to depth image semantic segmentation—validate our approach.

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Repurposing Pretrained Models for Robust Out-of-domain Few-Shot Learning Namyeong Kwon, Hwidong Na, Gabriel Huang, Simon Lacoste-Julien

Model-agnostic meta-learning (MAML) is a popular method for few-shot learning but assumes that we have access to the meta-training set. In practice, training on the meta-training set may not always be an option due to data privacy concerns, intellectual property issues, or merely lack of computing resources. In this paper, we consider the novel problem of repurposing pretrained MAML checkpoints to solve new few-shot classification tasks. Because of the potential distribution mismatch, the original MAML steps may no longer be optimal. Therefore we propose an alternative meta-testing procedure and combine MAML gradient steps with adversarial training and uncertainty-based stepsize adaptation. Our method outperforms "vanilla" MAML on same-domain and cross-domains benchmarks using both SGD and Adam optimizers and shows improved robustness to the choice of base stepsize.

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"Hey, that's not an ODE'": Faster ODE Adjoints with 12 Lines of Code Patrick Kidger, Ricky T. Q. Chen, Terry Lyons

Neural differential equations may be trained by backpropagating gradients via the adjoint method, which is another differential equation typically solved using an adaptive-step-size numerical differential equation solver. A proposed step is accepted if its error, \emph{relative to some norm}, is sufficiently small; else it is rejected, the step is shrunk, and the process is repeated. Here, we demo nstrate that the particular structure of the adjoint equations makes the usual choices of norm (such as \$L^2\$) unnecessarily stringent. By replacing it with a more appropriate (semi)norm, fewer steps are unnecessarily rejected and the backpropagation is made faster. This requires only minor code modifications. Experime nts on a wide range of tasks---including time series, generative modeling, and physical control---demonstrate a median improvement of 40% fewer function evaluations. On some problems we see as much as 62% fewer function evaluations, so that the overall training time is roughly halved.

Reviving Autoencoder Pretraining

You Xie, Nils Thuerey

The pressing need for pretraining algorithms has been diminished by numerous adv ances in terms of regularization, architectures, and optimizers. Despite this tr end, we re-visit the classic idea of unsupervised autoencoder pretraining and pr opose a modified variant that relies on a full reverse pass trained in conjuncti on with a given training task. We establish links between SVD and pretraining and show how it can be leveraged for gaining insights about the learned structures . Most importantly, we demonstrate that our approach yields an improved performance for a wide variety of relevant learning and transfer tasks ranging from full y connected networks over ResNets to GANs. Our results demonstrate that unsupervised pretraining has not lost its practical relevance in today's deep learning environment

Regularized Mutual Information Neural Estimation

Kwanghee Choi, Siyeong Lee

With the variational lower bound of mutual information (MI), the estimation of M I can be understood as an optimization task via stochastic gradient descent. In this work, we start by showing how Mutual Information Neural Estimator (MINE) se arches for the optimal function \$T\$ that maximizes the Donsker-Varadhan represen tation. With our synthetic dataset, we directly observe the neural network outputs during the optimization to investigate why MINE succeeds or fails: We discover the drifting phenomenon, where the constant term of \$T\$ is shifting through the optimization process, and analyze the instability caused by the interaction between the \$logsumexp\$ and the insufficient batch size. Next, through theoretical and experimental evidence, we propose a novel lower bound that effectively regularizes the neural network to alleviate the problems of MINE. We also introduce an averaging strategy that produces an unbiased estimate by utilizing multiple b atches to mitigate the batch size limitation. Finally, we show that \$L^2\$ regularization achieves significant improvements in both discrete and continuous settings.

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Class-Weighted Evaluation Metrics for Imbalanced Data Classification Akhilesh Gupta, Nesime Tatbul, Ryan Marcus, Shengtian Zhou, Insup Lee, Justin Gottschlich

Class distribution skews in imbalanced datasets may lead to models with predicti on bias towards majority classes, making fair assessment of classifiers a challe nging task. Balanced Accuracy is a popular metric used to evaluate a classifier's prediction performance under such scenarios. However, this metric falls short when classes vary in importance, especially when class importance is skewed differently from class cardinality distributions. In this paper, we propose a simple and general-purpose evaluation framework for imbalanced data classification that is sensitive to arbitrary skews in class cardinalities and importances. Experiments with several state-of-the-art classifiers tested on real-world datasets and benchmarks from two different domains show that our new framework is more effective than Balanced Accuracy -- not only in evaluating and ranking model predict

Learning Continuous-Time Dynamics by Stochastic Differential Networks Yingru Liu, Yucheng Xing, Xuewen Yang, Xin Wang, Jing Shi, Di Jin, Zhaoyue Chen Learning continuous-time stochastic dynamics is a fundamental and essent ial problem in modeling sporadic time series, whose observations are irregular a nd sparse in both time and dimension. For a given system whose latent states an d observed data are high-dimensional, it is generally impossible to derive a pre cise continuous-time stochastic process to describe the system behaviors. To solve the above problem, we apply Variational Bayesian method and propose a flexible continuous-time stochastic recurrent neural network named Variational Stochastic Differential Networks (VSDN), which embed the complicated dynamics o f thesporadic time series by neural Stochastic Differential Equations (SDE). VSD Ns capture the stochastic dependency among latent states and observations by dee p neural networks. We also incorporate two differential Evidence Lower Bounds t o efficiently train the models. Through comprehensive experiments, we sho w that VSDNs outperform state-of-the-art continuous-time deep learning mo dels and achieve remarkable performance on prediction and interpolation tasks f or sporadic data.

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Empirical Analysis of Unlabeled Entity Problem in Named Entity Recognition Yangming Li, lemao liu, Shuming Shi

In many scenarios, named entity recognition (NER) models severely suffer from un labeled entity problem, where the entities of a sentence may not be fully annota ted. Through empirical studies performed on synthetic datasets, we find two caus es of performance degradation. One is the reduction of annotated entities and the other is treating unlabeled entities as negative instances. The first cause has less impact than the second one and can be mitigated by adopting pretraining language models. The second cause seriously misguides a model in training and greatly affects its performances. Based on the above observations, we propose a general approach, which can almost eliminate the misguidance brought by unlabeled entities. The key idea is to use negative sampling that, to a large extent, avoid straining NER models with unlabeled entities. Experiments on synthetic datasets and real-world datasets show that our model is robust to unlabeled entity problem and surpasses prior baselines. On well-annotated datasets, our model is competitive with the state-of-the-art method.

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Luring of transferable adversarial perturbations in the black-box paradigm Rémi Bernhard, Pierre-Alain Moëllic, Jean-Max Dutertre

The growing interest for adversarial examples, i.e. maliciously modified example s which fool a classifier, has resulted in many defenses intended to detect them , render them inoffensive or make the model more robust against them. In this pa per, we pave the way towards a new approach to improve the robustness of a model against black-box transfer attacks. A removable additional neural network is in cluded in the target model and is designed to induce the "luring effect", which tricks the adversary into choosing false directions to fool the target model. Tr aining the additional model is achieved thanks to a loss function acting on the logits sequence order. Our deception-based method only needs to have access to t he predictions of the target model and does not require a labeled data set. We e xplain the luring effect thanks to the notion of robust and non-robust useful fe atures and perform experiments on MNIST, SVHN and CIFAR10 to characterize and ev aluate this phenomenon. Additionally, we discuss two simple prediction schemes, and verify experimentally that our approach can be used as a defense to efficien tly thwart an adversary using state-of-the-art attacks and allowed to perform la rge perturbations.

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Neurally Guided Genetic Programming for Turing Complete Programming by Example Alexander Newton Wild, Barry Porter

The ability to synthesise source code from input/output examples allows nonexper ts to generate programs, and experts to abstract away a wide range of simple pro

gramming tasks. Current research in this area has explored neural synthesis, SMT solvers, and genetic programming; each of these approaches is limited, however, often using highly specialised target languages for synthesis. In this paper we present a novel hybrid approach using neural networks to guide genetic programm ing (GP), which allows us to successfully synthesise code from just ten I/O exam ples in a generalised Turing complete target language, up to and including a sor ting algorithm. We show that GP by itself is able to synthesise a set of simple programs, and show which hints (suggested lines of code for inclusion) are of mo st utility to GP in solving harder problems. Using a form of unstructured curric ulum learning, we then demonstrate that neural networks can be used to determine when to make use of these high-utility hints for specific I/O problems and thus enable complex functions to be successfully synthesised. We apply our approach to two different problem sets: common array-to-array programs (including sorting), and a canvas drawing problem set inspired by So & Oh (2018).

Attention-Based Clustering: Learning a Kernel from Context

Samuel Coward, Erik Visse-Martindale, Chithrupa Ramesh

In machine learning, no data point stands alone. We believe that context is an underappreciated concept in many machine learning methods. We propose Attention-Based Clustering (ABC), a neural architecture based on the attention mechanism, which is designed to learn latent representations that adapt to context within an input set, and which is inherently agnostic to input sizes and number of clusters. By learning a similarity kernel, our method directly combines with any out-of-the-box kernel-based clustering approach. We present competitive results for clustering Omniglot characters and include analytical evidence of the effectiveness of an attention-based approach for clustering.

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Deep Curvature Suite

Diego Granziol, Xingchen Wan, Timur Garipov

The curvature of the loss, provides rich information on the geometry underlying neural networks, with applications in second order optimisation and Bayesian dee p learning. However, accessing curvature information is still a daunting enginee ring challenge, inaccessible to most practitioners. We hence provide a software package the \textbf{Deep Curvature Suite}, which allows easy curvature evaluation n for large modern neural networks. Beyond the calculation of a highly accurate moment matched approximation of the Hessian spectrum using Lanczos, our package provides: extensive \emph{loss surface visualisation}, the calculation of the \e mph{Hessian variance} and \emph{stochastic second order optimisers}. We further address and disprove many common misconceptions in the literature about the Lanc zos algorithm, namely that it learns eigenvalues from the top down. We prove usi ng high dimensional concentration inequalities that for specific matrices a sing le random vector is sufficient for accurate spectral estimation, informing our s pectral visualisation method. We showcase our package practical utility on a ser ies of examples based on realistic modern neural networks such as the VGG-\$16\$ a nd Preactivated ResNets on the CIFAR-\$10\$/\$100\$ datasets. We further detail \$3\$ specific potential use cases enabled by our software: research in stochastic sec ond order optimisation for deep learning, learning rate scheduling using known o ptimality formulae for convex surfaces and empirical verification of deep learni ng theory based on comparing empirical and theoretically implied spectra.

Keep the Gradients Flowing: Using Gradient Flow to study Sparse Network Optimization

Kale-ab Tessera, Sara Hooker, Benjamin Rosman

Training sparse networks to converge to the same performance as dense neural arc hitectures has proven to be elusive. Recent work suggests that initialization is the key. However, while this direction of research has had some success, focusi ng on initialization alone appears to be inadequate. In this paper, we take a br oader view of training sparse networks and consider various choices made during training that might disadvantage sparse networks. We measure the gradient flow a cross different networks and datasets, and show that the default choices of opti

mizers, activation functions and regularizers used for dense networks can disadv antage sparse networks. Based upon these findings, we show that gradient flow in sparse networks can be improved by reconsidering aspects of the architecture de sign and the training regime. Our work suggests that initialization is only one piece of the puzzle and a wider view of tailoring optimization to sparse network s yields promising results.

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DHOG: Deep Hierarchical Object Grouping

Luke Nicholas Darlow, Amos Storkey

Unsupervised learning of categorical representations using data augmentations appears to be a promising approach and has proven useful for finding suitable representations for downstream tasks. However current state-of-the-art methods require preprocessing (e.g. Sobel edge detection) to work. We introduce a mutual information minimization strategy for unsupervised learning from augmentations, that prevents learning from locking on to easy to find, yet unimportant, representations at the expense of more informative ones requiring more complex processing. We demonstrate specifically that this process learns representations which capture higher mutual information between augmentations, and demonstrate that these representations are better suited to the downstream exemplar task of clustering. We obtain substantial accuracy improvements on CIFAR-10, CIFAR-100-20, and SVHN.

Flatness is a False Friend

Diego Granziol

Hessian based measures of flatness, such as the trace, Frobenius and spectral no rms, have been argued, used and shown to relate to generalisation. In this paper we demonstrate that, for feed-forward neural networks under the cross-entropy l oss, low-loss solutions with large neural network weights have small Hessian bas ed measures of flatness. This implies that solutions obtained without L2 regular isation should be less sharp than those with despite generalising worse. We show this to be true for logistic regression, multi-layer perceptrons, simple convolutional, pre-activated and wide residual networks on the MNIST and CIFAR-\$100\$ d atasets. Furthermore, we show that adaptive optimisation algorithms using iterat e averaging, on the VGG-\$16\$ network and CIFAR-\$100\$ dataset, achieve superior g eneralisation to SGD but are \$30 \times\$ sharper. These theoretical and experime ntal results further advocate the need to use flatness in conjunction with the w eights scale to measure generalisation \citep{neyshabur2017exploring,dziugaite20 17computing}.

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Exploring single-path Architecture Search ranking correlations Kevin Alexander Laube, Andreas Zell

Recently presented benchmarks for Neural Architecture Search (NAS) provide the r esults of training thousands of different architectures in a specific search space, thus enabling the fair and rapid comparison of different methods.

Based on these results, we quantify the ranking correlations of single-path arch itecture search methods

in different search space subsets and under several training variations; studying their impact on the expected search results.

The experiments support the few-shot approach and Linear Transformers,

provide evidence against disabling cell topology sharing during the training phase or using strong regularization in the NAS-Bench-201 search space,

and show the necessity of further research regarding super-network size and path sampling strategies.

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Improved Gradient based Adversarial Attacks for Quantized Networks Kartik Gupta, Thalaiyasingam Ajanthan

Neural network quantization has become increasingly popular due to efficient mem ory consumption and faster computation resulting from bitwise operations on the quantized networks. Even though they exhibit excellent generalization capabilities, their robustness properties are not well-understood. In this work, we system atically study the robustness of quantized networks against gradient based adver

sarial attacks and demonstrate that these quantized models suffer from gradient vanishing issues and show a fake sense of robustness. By attributing gradient vanishing to poor forward-backward signal propagation in the trained network, we introduce a simple temperature scaling approach to mitigate this issue while preserving the decision boundary. Despite being a simple modification to existing gradient based adversarial attacks, experiments on CIFAR-10/100 datasets with mult iple network architectures demonstrate that our temperature scaled attacks obtain near-perfect success rate on quantized networks while outperforming original a ttacks on adversarially trained models as well as floating point networks.

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Calibration of Neural Networks using Splines

Kartik Gupta, Amir Rahimi, Thalaiyasingam Ajanthan, Thomas Mensink, Cristian Sminchi sescu, Richard Hartley

Calibrating neural networks is of utmost importance when employing them in safet y-critical applications where the downstream decision making depends on the pred icted probabilities. Measuring calibration error amounts to comparing two empirical distributions. In this work, we introduce a binning-free calibration measure inspired by the classical Kolmogorov-Smirnov (KS) statistical test in which the main idea is to compare the respective cumulative probability distributions. From this, by approximating the empirical cumulative distribution using a differentiable function via splines, we obtain a recalibration function, which maps the network outputs to actual (calibrated) class assignment probabilities. The spline-fitting is performed using a held-out calibration set and the obtained recalibration function is evaluated on an unseen test set. We tested our method against existing calibration approaches on various image classification datasets and our spline-based recalibration approach consistently outperforms existing methods on KS error as well as other commonly used calibration measures. Code is available online at https://github.com/kartikgupta-at-anu/spline-calibration.

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Graph Joint Attention Networks

Tiantian He, Lu Bai, Yew-Soon Ong

Graph attention networks (GATs) have been recognized as powerful tools for learn ing in graph structured data. However, how to enable the attention mechanisms in GATs to smoothly consider both structural and feature information is still very challenging. In this paper, we propose Graph Joint Attention Networks (JATs) to address the aforementioned challenge. Different from previous attention-based g raph neural networks (GNNs), JATs adopt novel joint attention mechanisms which c an automatically determine the relative significance between node features and s tructural coefficients learned from graph subspace, when computing the attention scores. Therefore, representations concerning more structural properties can be inferred by JATs.

Besides, we theoretically analyze the expressive power of JATs and further propo se an improved strategy for the joint attention mechanisms that enables JATs to reach the upper bound of expressive power which every message-passing GNN can ul timately achieve, i.e., 1-WL test. JATs can thereby be seen as most powerful mes sage-passing GNNs. The proposed neural architecture has been extensively tested on widely used benchmarking datasets, including Cora, Cite, and Pubmed and has b een compared with state-of-the-art GNNs for node classification tasks. Experimen tal results show that JATs achieve state-of-the-art performance on all the testing datasets.

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Do Transformers Understand Polynomial Simplification?

Vishesh Agarwal, Somak Aditya, Navin Goyal

Recently researchers have demonstrated that Transformers can be trained to learn symbolic tasks such as solving integration and differential equations in an end -to-end fashion. In these setups, for an input symbolic expression, the Transfor mer predicts the final solution in a single step. Since such tasks may consist of a sequence of logical steps, question remains whether such networks have under stood and learnt individual steps to reach the solution. To take a deeper look,

we consider the task of polynomial simplification. Polynomials can be written in a simple normal form as a sum of monomials which are ordered in a lexicographic order. For a polynomial which is not necessarily in this normal form, a sequence of simplification steps is applied to reach the fully simplified (i.e., in the normal form) polynomial. For this task, we describe a synthetic Polynomial data set generation algorithm which generates polynomials with unique proof steps. Then, we conduct an extensive analysis of the Transformer's abilities to learn the polynomial simplification task along different dimensions.

Probing BERT in Hyperbolic Spaces

Boli Chen, Yao Fu, Guangwei Xu, Pengjun Xie, Chuanqi Tan, Mosha Chen, Liping Jing Recently, a variety of probing tasks are proposed to discover linguistic propert ies learned in contextualized word embeddings. Many of these works implicitly as sume these embeddings lay in certain metric spaces, typically the Euclidean spac e. This work considers a family of geometrically special spaces, the hyperbolic spaces, that exhibit better inductive biases for hierarchical structures and may better reveal linguistic hierarchies encoded in contextualized representations. We introduce a \$\textit{Poincaré probe}\$, a structural probe projecting these e mbeddings into a Poincaré subspace with explicitly defined hierarchies. We focus on two probing objectives: (a) dependency trees where the hierarchy is defined as head-dependent structures; (b) lexical sentiments where the hierarchy is defi ned as the polarity of words (positivity and negativity). We argue that a key de sideratum of a probe is its sensitivity to the existence of linguistic structure s. We apply our probes on BERT, a typical contextualized embedding model. In a s yntactic subspace, our probe better recovers tree structures than Euclidean prob es, revealing the possibility that the geometry of BERT syntax may not necessari ly be Euclidean. In a sentiment subspace, we reveal two possible meta-embeddings for positive and negative sentiments and show how lexically-controlled contextu alization would change the geometric localization of embeddings. We demonstrate the findings with our Poincaré probe via extensive experiments and visualization . Our results can be reproduced at https://qithub.com/FranxYao/PoincareProbe 

Source-free Domain Adaptation via Distributional Alignment by Matching Batch Nor malization Statistics

Masato Ishii, Masashi Sugiyama

In this paper, we propose a novel domain adaptation method for the source-free s etting. In this setting, we cannot access source data during adaptation, while u nlabeled target data and a model pretrained with source data are given. Due to l ack of source data, we cannot directly match the data distributions between doma ins unlike typical domain adaptation algorithms. To cope with this problem, we p ropose utilizing batch normalization statistics stored in the pretrained model to approximate the distribution of unobserved source data. Specifically, we fix the classifier part of the model during adaptation and only fine-tune the remaining feature encoder part so that batch normalization statistics of the features extracted by the encoder match those stored in the fixed classifier. Additionally, we also maximize the mutual information between the features and the classifier's outputs to further boost the classification performance. Experimental result with several benchmark datasets show that our method achieves competitive performance with state-of-the-art domain adaptation methods even though it does not require access to source data.

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Refining Deep Generative Models via Discriminator Gradient Flow Abdul Fatir Ansari, Ming Liang Ang, Harold Soh

Deep generative modeling has seen impressive advances in recent years, to the po int where it is now commonplace to see simulated samples (e.g., images) that clo sely resemble real-world data. However, generation quality is generally inconsis tent for any given model and can vary dramatically between samples. We introduce Discriminator Gradient \$f\$low (DG\$f\$low), a new technique that improves generat ed samples via the gradient flow of entropy-regularized \$f\$-divergences between the real and the generated data distributions. The gradient flow takes the form

of a non-linear Fokker-Plank equation, which can be easily simulated by sampling from the equivalent McKean-Vlasov process. By refining inferior samples, our te chnique avoids wasteful sample rejection used by previous methods (DRS & MH-GAN). Compared to existing works that focus on specific GAN variants, we show our re finement approach can be applied to GANs with vector-valued critics and even oth er deep generative models such as VAEs and Normalizing Flows. Empirical results on multiple synthetic, image, and text datasets demonstrate that DG\$f\$low leads to significant improvement in the quality of generated samples for a variety of generative models, outperforming the state-of-the-art Discriminator Optimal Tran sport (DOT) and Discriminator Driven Latent Sampling (DDLS) methods.

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Coping with Label Shift via Distributionally Robust Optimisation Jingzhao Zhang, Aditya Krishna Menon, Andreas Veit, Srinadh Bhojanapalli, Sanjiv Kumar, Suvrit Sra

The label shift problem refers to the supervised learning setting where the train and test label distributions do not match. Existing work addressing label shift to usually assumes access to an unlabelled test sample. This sample may be used to estimate the test label distribution, and to then train a suitably re-weighted classifier. While approaches using this idea have proven effective, their scope is limited as it is not always feasible to access the target domain; further, they require repeated retraining if the model is to be deployed in multiple test environments. Can one instead learn a single classifier that is robust to arbitrary label shifts from a broad family? In this paper, we answer this question by proposing a model that minimises an objective based on distributionally robust optimisation (DRO). We then design and analyse a gradient descent-proximal mirror ascent algorithm tailored for large-scale problems to optimise the proposed objective. Finally, through experiments on CIFAR-100 and ImageNet, we show that our technique can significantly improve performance over a number of baselines in settings where label shift is present.

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Targeted VAE: Structured Inference and Targeted Learning for Causal Parameter Estimation

Matthew James Vowels, Necati Cihan Camgoz, Richard Bowden

Undertaking causal inference with observational data is extremely useful across a wide range of domains including the development of medical treatments, adverti sements and marketing, and policy making. There are two main challenges associat ed with undertaking causal inference using observational data: treatment assignm ent heterogeneity (i.e., differences between the treated and untreated groups), and an absence of counterfactual data (i.e., not knowing what would have happene d if an individual who did get treatment, were instead to have not been treated). We address these two challenges by combining structured inference and targeted learning. To our knowledge, Targeted Variational AutoEncoder (TVAE) is the first method to incorporate targeted learning into deep latent variable models. Results demonstrate competitive and state of the art performance.

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Stochastic Optimization with Non-stationary Noise: The Power of Moment Estimation

Jingzhao Zhang, Hongzhou Lin, Subhro Das, Suvrit Sra, Ali Jadbabaie

We investigate stochastic optimization under weaker assumptions on the distribut ion of noise than those used in usual analysis. Our assumptions are motivated by empirical observations in training neural networks. In particular, standard results on optimal convergence rates for stochastic optimization assume either the reexists a uniform bound on the moments of the gradient noise, or that the noise decays as the algorithm progresses. These assumptions do not match the empirical behavior of optimization algorithms used in neural network training where the noise level in stochastic gradients could even increase with time. We address this nonstationary behavior of noise by analyzing convergence rates of stochastic gradient methods subject to changing second moment (or variance) of the stochastic oracle. When the noise variation is known, we show that it is always benefic

ial to adapt the step-size and exploit the noise variability. When the noise sta tistics are unknown, we obtain similar improvements by developing an online esti mator of the noise level, thereby recovering close variants of RMSProp~\citep{ti eleman2012lecture}. Consequently, our results reveal why adaptive step size meth ods can outperform SGD, while still enjoying theoretical guarantees.

Learning Monotonic Alignments with Source-Aware GMM Attention

Tae Gyoon Kang, Ho-Gyeong Kim, Min-Joong Lee, Jihyun Lee, Seongmin Ok, Hoshik Lee, You ng Sang Choi

Transformers with soft attention have been widely adopted in various sequence-to -sequence (Seq2Seq) tasks. Whereas soft attention is effective for learning sema ntic similarities between queries and keys based on their contents, it does not explicitly model the order of elements in sequences which is crucial for monoton ic Seq2Seq tasks. Learning monotonic alignments between input and output sequenc es may be beneficial for long-form and online inference applications that are st ill challenging for the conventional soft attention algorithm. Herein, we focus on monotonic Seq2Seq tasks and propose a source-aware Gaussian mixture model att ention in which the attention scores are monotonically calculated considering bo th the content and order of the source sequence. We experimentally demonstrate t hat the proposed attention mechanism improved the performance on the online and long-form speech recognition problems without performance degradation in offline in-distribution speech recognition.

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Learning to Generate Questions by Recovering Answer-containing Sentences Seohyun Back, Akhil Kedia, Sai Chetan Chinthakindi, Haejun Lee, Jaegul Choo To train a question answering model based on machine reading comprehension (MRC) , significant effort is required to prepare annotated training data composed of questions and their answers from contexts. To mitigate this issue, recent resear ch has focused on synthetically generating a question from a given context and a n annotated (or generated) answer by training an additional generative model, wh ich can be utilized to augment the training data. In light of this research dire ction, we propose a novel pre-training approach that learns to generate contextu ally rich questions, by recovering answer-containing sentences. Our approach is composed of two novel components, (1) dynamically determining K answers from a g iven document and (2) pre-training the question generator on the task of generat ing the answer-containing sentence. We evaluate our method against existing ones in terms of the quality of generated questions as well as the fine-tuned MRC mo del accuracy after training on the data synthetically generated by our method. E xperimental results demonstrate that our approach consistently improves the ques tion generation capability of existing models such as T5 and UniLM, and shows st ate-of-the-art results on MS MARCO and NewsQA, and comparable results to the sta te-of-the-art on SQuAD. Additionally, we demonstrate that the data synthetically generated by our approach is beneficial for boosting up the downstream MRC accu racy across a wide range of datasets, such as SQuAD-v1.1, v2.0, and KorQuAD, wit hout any modification to the existing MRC models. Furthermore, our experiments h ighlight that our method shines especially when a limited amount of training dat a is given, in terms of both pre-training and downstream MRC data.

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Semi-Supervised Learning of Multi-Object 3D Scene Representations
Cathrin Elich, Martin R. Oswald, Marc Pollefeys, Joerg Stueckler
Representing scenes at the granularity of objects is a prerequisite for scene un derstanding and decision making. We propose a novel approach for learning multiobject 3D scene representations from images. A recurrent encoder regresses a lat ent representation of 3D shapes, poses and texture of each object from an input RGB image. The 3D shapes are represented continuously in function-space as signe d distance functions (SDF) which we efficiently pre-train from example shapes. By differentiable rendering, we train our model to decompose scenes self-supervis ed from RGB-D images. Our approach learns to decompose images into the constitue nt objects of the scene and to infer their shape, pose and texture properties from a single view. In experiments, we evaluate the accuracy of our model in infer

ring the 3D scene layout and demonstrate the capabilities of the generative 3D scene model.

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Variational State-Space Models for Localisation and Dense 3D Mapping in 6 DoF Atanas Mirchev, Baris Kayalibay, Patrick van der Smagt, Justin Bayer

We solve the problem of 6-DoF localisation and 3D dense reconstruction in spatia l environments as approximate Bayesian inference in a deep state-space model. Our approach leverages both learning and domain knowledge from multiple-view geome try and rigid-body dynamics. This results in an expressive predictive model of the world, often missing in current state-of-the-art visual SLAM solutions. The combination of variational inference, neural networks and a differentiable raycas ter ensures that our model is amenable to end-to-end gradient-based optimisation. We evaluate our approach on realistic unmanned aerial vehicle flight data, nearing the performance of state-of-the-art visual-inertial odometry systems. We demonstrate the applicability of the model to generative prediction and planning.

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Non-greedy Gradient-based Hyperparameter Optimization Over Long Horizons Paul Micaelli, Amos Storkey

Gradient-based meta-learning has earned a widespread popularity in few-shot lear ning, but remains broadly impractical for tasks with long horizons (many gradien t steps), due to memory scaling and gradient degradation issues. A common workar ound is to learn meta-parameters online, but this introduces greediness which co mes with a significant performance drop. In this work, we enable non-greedy meta -learning of hyperparameters over long horizons by sharing hyperparameters that are contiguous in time, and using the sign of hypergradients rather than their m agnitude to indicate convergence. We implement this with forward-mode differenti ation, which we extend to the popular momentum-based SGD optimizer. We demonstra te that the hyperparameters of this optimizer can be learned non-greedily withou t gradient degradation over \$\sim 10^4\$ inner gradient steps, by only requiring \$\sim 10\$ outer gradient steps. On CIFAR-10, we outperform greedy and random sea rch methods for the same computational budget by nearly \$10\%\$. Code will be available upon publication.

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A Distributional Perspective on Actor-Critic Framework

Daniel Wontae Nam, Younghoon Kim, Chan Youn Park

Recent distributional reinforcement learning methods, despite their successes, s till contain fundamental problems that can lead to inaccurate representations of value distributions, such as distributional instability, action type restriction, and conflation between samples and statistics. In this paper, we present a no vel distributional actor-critic framework, GMAC, to address such problems. Adopting a stochastic policy removes the first two problems, and the conflation in the approximation is alleviated by minimizing the Crame of distance between the value distribution and its Bellman target distribution. In addition, GMAC improves data efficiency by generating the Bellman target distribution through the Sample-Replacement algorithm, denoted by  $\mathrm{SR}(\lambda)$ , which provides a distributional generalization of multi-step policy evaluation algorithms. We empirically show that our method captures the multimodality of value distributions and improves the performance of a conventional actor-critic method with low computational cost in both discrete and continuous action spaces, using Arcade Learning Environment (ALE) and PyBullet environment.

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Few-Shot Bayesian Optimization with Deep Kernel Surrogates Martin Wistuba, Josif Grabocka

Hyperparameter optimization (HPO) is a central pillar in the automation of machine learning solutions and is mainly performed via Bayesian optimization, where a parametric surrogate is learned to approximate the black box response function (e.g. validation error). Unfortunately, evaluating the response function is computationally intensive. As a remedy, earlier work emphasizes the need for transfer learning surrogates which learn to optimize hyperparameters for an algorithm from other tasks. In contrast to previous work, we propose to rethink HPO as a fe

w-shot learning problem in which we train a shared deep surrogate model to quick ly adapt (with few response evaluations) to the response function of a new task. We propose the use of a deep kernel network for a Gaussian process surrogate th at is meta-learned in an end-to-end fashion in order to jointly approximate the response functions of a collection of training data sets. As a result, the novel few-shot optimization of our deep kernel surrogate leads to new state-of-the-ar t results at HPO compared to several recent methods on diverse metadata sets.

Fully Convolutional Approach for Simulating Wave Dynamics

Mario Lino Valencia, Chris D Cantwell, Eduardo Pignatelli, Stathi Fotiadis, Anil Anthony Bharath

We investigate the performance of fully convolutional networks to predict the mo tion and interaction of surface waves in open and closed complex geometries. We focus on a U-Net type architecture and assess its ability to capture and extrapo late wave propagation in time as well as the reflection, interference and diffra ction of waves. We investigate how well the network generalises both to long-ti me predictions and to geometric configurations not seen during training. We demo nstrate that this neural network is capable of accurately predicting the height distribution of waves on a liquid surface within curved and multi-faceted open a nd closed geometries, when only simple box and right-angled corner geometries we re seen during training. We found that the RMSE of the predictions remained of o rder \$1\times10^{-4}\$ times the characteristic length of the domain for at least 20 time-steps.

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Robust Learning via Golden Symmetric Loss of (un)Trusted Labels Amirmasoud Ghiassi,Robert Birke,Lydia Y. Chen

Learning robust deep models against noisy labels becomes ever critical when toda y's data is commonly collected from open platforms and subject to adversarial co rruption. The information on the label corruption process, i.e., corruption matr ix, can greatly enhance the robustness of deep models but still fall behind in c ombating hard classes. In this paper, we propose to construct a golden symmetric loss (GSL) based on the estimated confusion matrix as to avoid overfitting to n oisy labels and learn effectively from hard classes. GSL is the weighted sum of the corrected regular cross entropy and reverse cross entropy. By leveraging a s mall fraction of trusted clean data, we estimate the corruption matrix and use i t to correct the loss as well as to determine the weights of GSL. We theoretical ly prove the robustness of the proposed loss function in the presence of dirty l abels. We provide a heuristics to adaptively tune the loss weights of GSL accord ing to the noise rate and diversity measured from the dataset. We evaluate our p roposed golden symmetric loss on both vision and natural language deep models su bject to different types of label noise patterns. Empirical results show that GS L can significantly outperform the existing robust training methods on different noise patterns, showing accuracy improvement up to 18% on CIFAR-100 and 1% on r eal world noisy dataset of Clothing1M.

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Adaptive norms for deep learning with regularized Newton methods Jonas K Kohler, Leonard Adolphs, Aurelien Lucchi

We investigate the use of regularized Newton methods with adaptive norms for opt imizing neural networks. This approach can be seen as a second-order counterpart of adaptive gradient methods, which we here show to be interpretable as first-order trust region methods with ellipsoidal constraints. In particular, we prove that the preconditioning matrix used in RMSProp and Adam satisfies the necessary conditions for provable convergence of second-order trust region methods with standard worst-case complexities on general non-convex objectives. Furthermore, we run experiments across different neural architectures and datasets to find that the ellipsoidal constraints constantly outperform their spherical counterpart both in terms of number of backpropagations and asymptotic loss value. Finally, we find comparable performance to state-of-the-art first-order methods in terms of backpropagations, but further advances in hardware are needed to render Newton methods competitive in terms of computational time.

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Domain-Free Adversarial Splitting for Domain Generalization Xiang Gu, Jiasun Feng, Jian Sun, Zongben Xu

Domain generalization is an approach that utilizes several source domains to tra in the learner to be generalizable to unseen target domain to tackle domain shif t issue. It has drawn much attention in machine learning community. This paper a ims to learn to generalize well to unseen target domain without relying on the k nowledge of the number of source domains and domain labels. To achieve that goal , we unify adversarial training and meta-learning in a novel proposed Domain-Fre e Adversarial Splitting (DFAS) framework. In this framework, we model the domain generalization as a learning problem that enforces the learner to be able to ge neralize well for any train/val subsets splitting of the training dataset. This model can be further transformed to be a min-max optimization problem which can be solved by an iterative adversarial training process. In each iteration, it ad versarially splits the training dataset into train/val subsets to maximize domai n shift between them using current learner, and then updates the learner on this splitting to be able to generalize well from train-subset to val-subset using m eta-learning approach. Extensive experiments on three benchmark datasets under t hree different settings on the source and target domains show that our method ac hieves state-of-the-art results and confirm the effectiveness of our method by a blation study. We also derive a generalization error bound for theoretical under standing of our method.

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Neural Network Surgery: Combining Training with Topology Optimization Elisabeth Schiessler, Roland Aydin, Kevin Linka, Christian Cyron With ever increasing computational capacities, neural networks become more and m ore proficient at solving complex tasks. However, picking a sufficiently good ne twork topology usually relies on expert human knowledge. Neural architecture sea rch aims to reduce the extent of expertise that is needed. Modern architecture s earch techniques often rely on immense computational power, or apply trained met a controllers for decision making. We develop a framework for a genetic algorith m that is both computationally cheap and makes decisions based on mathematical c riteria rather than trained parameters. It is a hybrid approach that fuses train ing and topology optimization together into one process. Structural modification s that are performed include adding or removing layers of neurons, with some retraining applied to make up for incurred change in input-output behaviour. Our a nsatz is tested on both the SVHN and (augmented) CIFAR-10 datasets with limited computational overhead compared to training only the baseline. This algorithm ca n achieve a significant increase in accuracy (as compared to a fully trained bas eline), rescue insufficient topologies that in their current state are only able to learn to a limited extent, and dynamically reduce network size without loss in achieved accuracy.

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\$i\$-Mix: A Domain-Agnostic Strategy for Contrastive Representation Learning Kibok Lee, Yian Zhu, Kihyuk Sohn, Chun-Liang Li, Jinwoo Shin, Honglak Lee Contrastive representation learning has shown to be effective to learn represent ations from unlabeled data. However, much progress has been made in vision domains relying on data augmentations carefully designed using domain knowledge. In this work, we propose i-Mix, a simple yet effective domain-agnostic regularization strategy for improving contrastive representation learning. We cast contrastive learning as training a non-parametric classifier by assigning a unique virtual class to each data in a batch. Then, data instances are mixed in both the input and virtual label spaces, providing more augmented data during training. In experiments, we demonstrate that i-Mix consistently improves the quality of learned representations across domains, including image, speech, and tabular data. Furt hermore, we confirm its regularization effect via extensive ablation studies across model and dataset sizes. The code is available at https://github.com/kibok90/imix.

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ColdExpand: Semi-Supervised Graph Learning in Cold Start

Il-Jae Kwon, Kyoung-Woon On, Dong-Geon Lee, Byoung-Tak Zhang

Most real-world graphs are dynamic and eventually face the cold start problem. A fundamental question is how the new cold nodes acquire initial information in o rder to be adapted into the existing graph. Here we postulates the cold start p roblem as a fundamental issue in graph learning and propose a new learning setting, "`Expanded Semi-supervised Learning." In expanded semi-supervised learning we extend the original semi-supervised learning setting even to new cold nodes that are disconnected from the graph. To this end, we propose ColdExpand model that classifies the cold nodes based on link prediction with multiple goals to tackle. We experimentally prove that by adding additional goal to existing link prediction method, our method outperforms the baseline in both expanded semi-super vised link prediction (at most 24\%) and node classification tasks (at most 15%). To the best of our knowledge this is the first study to address expansion of semi-supervised learning to unseen nodes.

Information distance for neural network functions

Xiao Zhang, Dejing Dou, Ji Wu

We provide a practical distance measure in the space of functions parameterized by neural networks. It is based on the classical information distance, and we propose to replace the uncomputable Kolmogorov complexity with information measure d by codelength of prequential coding. We also provide a method for directly est imating the expectation of such codelength with limited examples. Empirically, we show that information distance is invariant with respect to different paramete rization of the neural networks. We also verify that information distance can faithfully reflect similarities of neural network functions. Finally, we applied information distance to investigate the relationship between neural network models, and demonstrate the connection between information distance and multiple characteristics and behaviors of neural networks.

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Graph Information Bottleneck for Subgraph Recognition

Junchi Yu, Tingyang Xu, Yu Rong, Yatao Bian, Junzhou Huang, Ran He

Given the input graph and its label/property, several key problems of graph lea rning, such as finding interpretable subgraphs, graph denoising and graph compre ssion, can be attributed to the fundamental problem of recognizing a subgraph of the original one. This subgraph shall be as informative as possible, yet con tains less redundant and noisy structure. This problem setting is closely relate d to the well-known information bottleneck (IB) principle, which, however, has l ess been studied for the irregular graph data and graph neural networks (GNNs). In this paper, we propose a framework of Graph Information Bottleneck (GIB) for the subgraph recognition problem in deep graph learning. Under this framework, o ne can recognize the maximally informative yet compressive subgraph, named IB-su bgraph. However, the GIB objective is notoriously hard to optimize, mostly due to the intractability of the mutual information of irregular graph data and the unstable optimization process. In order to tackle these challenges, we propose:

i) a GIB objective based-on a mutual information estimator for the irregular gr aph data; ii) a bi-level optimization scheme to maximize the GIB objective; iii) a connectivity loss to stabilize the optimization process. We evaluate the properties of the IB-subgraph in three application scenarios: improvement of graph c lassification, graph interpretation and graph denoising. Extensive experiments d emonstrate that the information-theoretic IB-subgraph enjoys superior graph properties.

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Perturbation Type Categorization for Multiple \$\ell\_p\$ Bounded Adversarial Robus tness

Pratyush Maini, Xinyun Chen, Bo Li, Dawn Song

Despite the recent advances in \$\textit{adversarial training}\$ based defenses, d eep neural networks are still vulnerable to adversarial attacks outside the pert urbation type they are trained to be robust against. Recent works have proposed defenses to improve the robustness of a single model against the union of multip le perturbation types. However, when evaluating the model against each individua

l attack, these methods still suffer significant trade-offs compared to the ones specifically trained to be robust against that perturbation type. In this work, we introduce the problem of categorizing adversarial examples based on their \$\ ell\_p\$ perturbation types. Based on our analysis, we propose \$\textit{PROTECTOR} \$, a two-stage pipeline to improve the robustness against multiple perturbation types. Instead of training a single predictor, \$\textit{PROTECTOR}\$ first catego rizes the perturbation type of the input, and then utilizes a predictor specific ally trained against the predicted perturbation type to make the final predictio n. We first theoretically show that adversarial examples created by different pe rturbation types constitute different distributions, which makes it possible to distinguish them. Further, we show that at test time the adversary faces a natur al trade-off between fooling the perturbation type classifier and the succeeding predictor optimized with perturbation specific adversarial training. This makes it challenging for an adversary to plant strong attacks against the whole pipel ine. In addition, we demonstrate the realization of this trade-off in deep netwo rks by adding random noise to the model input at test time, enabling enhanced ro bustness against strong adaptive attacks. Extensive experiments on MNIST and CI FAR-10 show that \$\textit{PROTECTOR}\$ outperforms prior adversarial training bas ed defenses by over \$5\%\$, when tested against the union of \$\ell\_1, \ell\_2, \el l \infty\$ attacks.

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Model information as an analysis tool in deep learning

Xiao Zhang, Di Hu, Xingjian Li, Dejing Dou, Ji Wu

Information-theoretic perspectives can provide an alternative dimension of analy zing the learning process and complements usual performance metrics. Recently se veral works proposed methods for quantifying information content in a model (whi ch we refer to as "model information"). We demonstrate using model information a s a general analysis tool to gain insight into problems that arise in deep learn ing. By utilizing model information in different scenarios with different contro l variables, we are able to adapt model information to analyze fundamental eleme nts of learning, i.e., task, data, model, and algorithm. We provide an example in each domain that model information is used as a tool to provide new solutions to problems or to gain insight into the nature of the particular learning setting. These examples help to illustrate the versatility and potential utility of model information as an analysis tool in deep learning.

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Cross-Probe BERT for Efficient and Effective Cross-Modal Search TAN YU, Hongliang Fei, Ping Li

Inspired by the great success of BERT in NLP tasks, many text-vision BERT models emerged recently. Benefited from cross-modal attention, text-vision BERT model s have achieved excellent performance in many language-vision tasks including text-image retrieval. Nevertheless, cross-modal attentions used in text-vision BERT models require too expensive computation cost when solving text-vision retrieval, which is impractical for large-scale search. In this work, we develop a novel architecture, Cross-Probe BERT. It relies on devised text and vision probes, and cross-modal attentions are conducted on text and vision probes. It takes lightweight computation cost, and meanwhile effectively exploits cross-modal attention. Systematic experiments conducted on two public benchmarks demonstrate state-of-the-art effectiveness and efficiency of the proposed method.

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Learning Safe Policies with Cost-sensitive Advantage Estimation Bingyi Kang, Shie Mannor, Jiashi Feng

Reinforcement Learning (RL) with safety guarantee is critical for agents perform ing tasks in risky environments. Recent safe RL algorithms, developed based on C onstrained Markov Decision Process (CMDP), mostly take the safety requirement as additional constraints when learning to maximize the return. However, they usua lly make unnecessary compromises in return for safety and only learn sub-optimal policies, due to the inability of differentiating safe and unsafe state-actions with high rewards. To address this, we propose Cost-sensitive Advantage Estimat ion (CSAE), which is simple to deploy for policy optimization and effective for

guiding the agents to avoid unsafe state-actions by penalizing their advantage v alue properly. Moreover, for stronger safety guarantees, we develop a Worst-case Constrained Markov Decision Process (WCMDP) method to augment CMDP by constrain ing the worst-case safety cost instead of the average one. With CSAE and WCMDP, we develop new safe RL algorithms with theoretical justifications on their benef its for safety and performance of the obtained policies. Extensive experiments c learly demonstrate the superiority of our algorithms in learning safer and bette r agents under multiple settings.

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Towards Adversarial Robustness of Bayesian Neural Network through Hierarchical V ariational Inference

Byung-Kwan Lee, Youngjoon Yu, Yong Man Ro

Recent works have applied Bayesian Neural Network (BNN) to adversarial training, and shown the improvement of adversarial robustness via the BNN's strength of s tochastic gradient defense. However, we have found that in general, the BNN lose s its stochasticity after its training with the BNN's posterior. As a result, the lack of the stochasticity leads to weak regularization effect to the BNN, which increases KL divergence in ELBO from variational inference. In this paper, we propose an enhanced Bayesian regularizer through hierarchical variational inference in order to boost adversarial robustness against gradient-based attack. Furthermore, we also prove that the proposed method allows the BNN's stochasticity to be elevated with the reduced KL divergence. Exhaustive experiment results demonstrate the effectiveness of the proposed method by showing the improvement of a dversarial robustness, compared with adversarial training (Madry et al., 2018) and adversarial-BNN (Liu et al., 2019) under PGD attack and EOT-PGD attack to the \$L\_{\infty}\$ perturbation on CIFAR-10/100, STL-10, and Tiny-ImageNet.

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Rethinking Positional Encoding in Language Pre-training Guolin Ke,Di He,Tie-Yan Liu

In this work, we investigate the positional encoding methods used in language pr e-training (e.g., BERT) and identify several problems in the existing formulatio ns. First, we show that in the absolute positional encoding, the addition operat ion applied on positional embeddings and word embeddings brings mixed correlatio ns between the two heterogeneous information resources. It may bring unnecessary randomness in the attention and further limit the expressiveness of the model. Second, we question whether treating the position of the symbol \texttt{[CLS]} the same as other words is a reasonable design, considering its special role (th e representation of the entire sentence) in the downstream tasks. Motivated from above analysis, we propose a new positional encoding method called  $\text{textbf}\{T\}$ ra nsformer with  $\text{textbf}\{U\}$ ntied  $\text{textbf}\{P\}$ ositional  $\text{textbf}\{E\}$ ncoding (TUPE). In t he self-attention module, TUPE computes the word contextual correlation and posi tional correlation separately with different parameterizations and then adds the  $\ensuremath{\mathtt{m}}$  together. This design removes the mixed and noisy correlations over heterogene ous embeddings and offers more expressiveness by using different projection matr ices. Furthermore, TUPE unties the \texttt{[CLS]} symbol from other positions, m aking it easier to capture information from all positions. Extensive experiments and ablation studies on GLUE benchmark demonstrate the effectiveness of the pro posed method. Codes and models are released at \url{https://github.com/guolinke/ TUPE } .

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Semi-supervised regression with skewed data via adversarially forcing the distribution of predicted values

Dae-Woong Jeong, Kiyoung Kim, Changyoung Park, Sehui Han, Woohyung Lim

Advances in scientific fields including drug discovery or material design are ac companied by numerous trials and errors. However, generally only representative experimental results are reported. Because of this reporting bias, the distribut ion of labeled result data can deviate from their true distribution. A regression model can be erroneous if it is built on these skewed data. In this work, we p ropose a new approach to improve the accuracy of regression models that are trained using a skewed dataset. The method forces the regression outputs to follow t

he true distribution; the forcing algorithm regularizes the regression results while keeping the information of the training data. We assume the existence of enough unlabeled data that follow the true distribution, and that the true distribution can be roughly estimated from domain knowledge or a few samples. During training neural networks to generate a regression model, an adversarial network is used to force the distribution of predicted values to follow the estimated 'true' distribution. We evaluated the proposed approach on four real-world datasets (pLogP, Diamond, House, Elevators). In all four datasets, the proposed approach reduced the root mean squared error of the regression by around 55 percent to 75 percent compared to regression models without adjustment of the distribution.

TEAC: Intergrating Trust Region and Max Entropy Actor Critic for Continuous Cont rol

Hongyu Zang, Xin Li, Li Zhang, Peiyao Zhao, Mingzhong Wang

Trust region methods and maximum entropy methods are two state-of-the-art branch es used in reinforcement learning (RL) for the benefits of stability and explora tion in continuous environments, respectively. This paper proposes to integrate both branches in a unified framework, thus benefiting from both sides. We first transform the original RL objective to a constraint optimization problem and the n proposes trust entropy actor-critic (TEAC), an off-policy algorithm to learn s table and sufficiently explored policies for continuous states and actions. TEAC trains the critic by minimizing the refined Bellman error and updates the actor by minimizing KL-divergence loss derived from the closed-form solution to the L agrangian.

We prove that the policy evaluation and policy improvement in TEAC is guaranteed to converge.

We compare TEAC with 4 state-of-the-art solutions on 6 tasks in the MuJoCo envir onment. The results show that TEAC outperforms state-of-the-art solutions in terms of efficiency and effectiveness.

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Don't stack layers in graph neural networks, wire them randomly Diego Valsesia, Giulia Fracastoro, Enrico Magli

Graph neural networks have become a staple in problems addressing learning and a nalysis of data defined over graphs. However, several results suggest an inheren t difficulty in extracting better performance by increasing the number of layers . Besides the classic vanishing gradient issues, recent works attribute this to a phenomenon peculiar to the extraction of node features in graph-based tasks, i .e., the need to consider multiple neighborhood sizes at the same time and adapt ively tune them. In this paper, we investigate the recently proposed randomly wi red architectures in the context of graph neural networks. Instead of building d eeper networks by stacking many layers, we prove that employing a randomly-wired architecture can be a more effective way to increase the capacity of the networ k and obtain richer representations. We show that such architectures behave like an ensemble of paths, which are able to merge contributions from receptive fiel ds of varied size. Moreover, these receptive fields can also be modulated to be wider or narrower through the trainable weights over the paths. We also provide extensive experimental evidence of the superior performance of randomly wired ar chitectures over three tasks and five graph convolution definitions, using a rec ent benchmarking framework that addresses the reliability of previous testing me thodologies.

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Practical Massively Parallel Monte-Carlo Tree Search Applied to Molecular Design Xiufeng Yang, Tanuj Aasawat, Kazuki Yoshizoe

It is common practice to use large computational resources to train neural netwo rks, known from many examples, such as reinforcement learning applications. Howe ver, while massively parallel computing is often used for training models, it is rarely used to search solutions for combinatorial optimization problems. This p aper proposes a novel massively parallel Monte-Carlo Tree Search (MP-MCTS) algor ithm that works efficiently for a 1,000 worker scale on a distributed memory env

ironment using multiple compute nodes and applies it to molecular design. This p aper is the first work that applies distributed MCTS to a real-world and non-gam e problem. Existing works on large-scale parallel MCTS show efficient scalabilit y in terms of the number of rollouts up to 100 workers. Still, they suffer from the degradation in the quality of the solutions. MP-MCTS maintains the search qu ality at a larger scale. By running MP-MCTS on 256 CPU cores for only 10 minutes , we obtained candidate molecules with similar scores to non-parallel MCTS running for 42 hours. Moreover, our results based on parallel MCTS (combined with a simple RNN model) significantly outperform existing state-of-the-art work. Our me thod is generic and is expected to speed up other applications of MCTS.

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Causal Curiosity: RL Agents Discovering Self-supervised Experiments for Causal R epresentation Learning

Sumedh Anand Sontakke, Arash Mehrjou, Theofanis Karaletsos, Laurent Itti, Bernhard Schölkopf

Humans show an innate ability to learn the regularities of the world through int eraction. By performing experiments in our environment, we are able to discern t he causal factors of variation and infer how they affect the dynamics of our wor ld. Analogously, here we attempt to equip reinforcement learning agents with the ability to perform experiments that facilitate a categorization of the rolled-o ut trajectories, and to subsequently infer the causal factors of the environment in a hierarchical manner. We introduce a novel intrinsic reward, called causal curiosity, and show that it allows our agents to learn optimal sequences of acti ons, and to discover causal factors in the dynamics. The learned behavior allows the agent to infer a binary quantized representation for the ground-truth causa 1 factors in every environment. Additionally, we find that these experimental be haviors are semantically meaningful (e.g., to differentiate between heavy and li ght blocks, our agents learn to lift them), and are learnt in a self-supervised manner with approximately 2.5 times less data than conventional supervised plann ers. We show that these behaviors can be re-purposed and fine-tuned (e.g., from lifting to pushing or other downstream tasks). Finally, we show that the knowled ge of causal factor representations aids zero-shot learning for more complex tas ks.

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Improving Neural Network Accuracy and Calibration Under Distributional Shift with Prior Augmented Data

Jeffrey Ryan Willette, Juho Lee, Sung Ju Hwang

Neural networks have proven successful at learning from complex data distributions by acting as universal function approximators. However, neural networks are often overconfident in their predictions, which leads to inaccurate and miscalibrated probabilistic predictions. The problem of overconfidence becomes especially apparent in cases where the test-time data distribution differs from that which was seen during training. We propose a solution to this problem by seeking out regions in arbitrary feature space where the model is unjustifiably overconfident, and conditionally raising the entropy of those predictions towards that of the Bayesian prior on the distribution of the labels. Our method results in a better calibrated network and is agnostic to the underlying model structure, so it can be applied to any neural network which produces a probability density as an output. We demonstrate the effectiveness of our method and validate its performance on both classification and regression problems by applying it to the training of recent state-of-the-art neural network models.

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Near-Black-Box Adversarial Attacks on Graph Neural Networks as An Influence Maxi mization Problem

Jiaqi Ma, Junwei Deng, Qiaozhu Mei

Graph neural networks (GNNs) have attracted increasing interests. With broad dep loyments of GNNs in real-world applications, there is an urgent need for underst anding the robustness of GNNs under adversarial attacks, especially in realistic setups. In this work, we study the problem of attacking GNNs in a restricted ne ar-black-box setup, by perturbing the features of a small set of nodes, with no

access to model parameters and model predictions. Our formal analysis draws a connection between this type of attacks and an influence maximization problem on the graph. This connection not only enhances our understanding on the problem of adversarial attack on GNNs, but also allows us to propose a group of effective near-black-box attack strategies. Our experiments verify that the proposed strategies significantly degrade the performance of three popular GNN models and outperform baseline adversarial attack strategies.

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Augmenting Physical Models with Deep Networks for Complex Dynamics Forecasting Yuan Yin, Vincent LE GUEN, Jérémie DONA, Emmanuel de Bezenac, Ibrahim Ayed, Nicolas T HOME, patrick gallinari

Forecasting complex dynamical phenomena in settings where only partial knowledge of their dynamics is available is a prevalent problem across various scientific fields. While purely data-driven approaches are arguably insufficient in this c ontext, standard physical modeling based approaches tend to be over-simplistic, inducing non-negligible errors. In this work, we introduce the APHYNITY framewor k, a principled approach for augmenting incomplete physical dynamics described b y differential equations with deep data-driven models. It consists in decomposin g the dynamics into two components: a physical component accounting for the dyna mics for which we have some prior knowledge, and a data-driven component account ing for errors of the physical model. The learning problem is carefully formulat ed such that the physical model explains as much of the data as possible, while the data-driven component only describes information that cannot be captured by the physical model, no more, no less. This not only provides the existence and u niqueness for this decomposition, but also ensures interpretability and benefits generalization. Experiments made on three important use cases, each representat ive of a different family of phenomena, i.e. reaction-diffusion equations, wave equations and the non-linear damped pendulum, show that APHYNITY can efficiently leverage approximate physical models to accurately forecast the evolution of th e system and correctly identify relevant physical parameters.

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DyHCN: Dynamic Hypergraph Convolutional Networks

Nan Yin, zhigang luo, wenjie wang, Fuli Feng, Xiang Zhang

Hypergraph Convolutional Network (HCN) has become a default choice for capturing high-order relations among nodes, \emph{i.e., } encoding the structure of a hypergraph. However, existing HCN models ignore the dynamic evolution of hypergraph s in the real-world scenarios, \emph{i.e., } nodes and hyperedges in a hypergraph change dynamically over time. To capture the evolution of high-order relations and facilitate relevant analytic tasks, we formulate dynamic hypergraph and devise the Dynamic Hypergraph Convolution Networks (DyHCN). In general, DyHCN consists of a Hypergraph Convolution (HC) to encode the hypergraph structure at a time point and a Temporal Evolution module (TE) to capture the varying of the relations. The HC is delicately designed by equipping inner attention and outer attention, which adaptively aggregate nodes' features to hyperedge and estimate the importance of each hyperedge connected to the centroid node, respectively. Extensive experiments on the Tiigo and Stocktwits datasets show that DyHCN achieves superior performance over existing methods, which implies the effectiveness of capturing the property of dynamic hypergraphs by HC and TE modules.

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Sobolev Training for the Neural Network Solutions of PDEs

Hwijae Son, Jin Woo Jang, Woo Jin Han, Hyung Ju Hwang

Approximating the numerical solutions of partial differential equations (PDEs) using neural networks is a promising application of deep learning. The smooth architecture of a fully connected neural network is appropriate for finding the solutions of PDEs; the corresponding loss function can also be intuitively designed and guarantees the convergence for various kinds of PDEs. However, the rate of convergence has been considered as a weakness of this approach. This paper introduces a novel loss function for the training of neural networks to find the solutions of PDEs, making the training substantially efficient. Inspired by the recent studies that incorporate derivative information for the training of neural ne

tworks, we develop a loss function that guides a neural network to reduce the er ror in the corresponding Sobolev space. Surprisingly, a simple modification of the loss function can make the training process similar to Sobolev Training although solving PDEs with neural networks is not a fully supervised learning task. We provide several theoretical justifications for such an approach for the viscous Burgers equation and the kinetic Fokker--Planck equation. We also present several simulation results, which show that compared with the traditional \$L^2\$ loss function, the proposed loss function guides the neural network to a significant ly faster convergence. Moreover, we provide the empirical evidence that shows that the proposed loss function, together with the iterative sampling techniques, performs better in solving high dimensional PDEs.

OFFER PERSONALIZATION USING TEMPORAL CONVOLUTION NETWORK AND OPTIMIZATION Ankur Verma

Lately, personalized marketing has become important for retail/e-retail firms du e to significant rise in online shopping and market competition. Increase in onl ine shopping and high market competition has led to an increase in promotional e xpenditure for online retailers, and hence, rolling out optimal offers has becom e imperative to maintain balance between number of transactions and profit. In t his paper, we propose our approach to solve the offer optimization problem at th e intersection of consumer, item and time in retail setting. To optimize offer, we first build a generalized non-linear model using Temporal Convolutional Netwo rk to predict the item purchase probability at consumer level for the given time period. Secondly, we establish the functional relationship between historical o ffer values and purchase probabilities obtained from the model, which is then us ed to estimate offer-elasticity of purchase probability at consumer item granula rity. Finally, using estimated elasticities, we optimize offer values using cons traint based optimization technique. This paper describes our detailed methodolo gy and presents the results of modelling and optimization across categories. \*\*\*\*\*\*\*\*\*\*\*\*

Manifold-aware Training: Increase Adversarial Robustness with Feature Clustering Ting-An Yen, Chun-Shien Lu, Pau-Choo Chung

The problem of defending against adversarial attacks has attracted increasing at tention in recent years. While various types of defense methods (\$\textit{e.g.}\$, adversarial training, detection and rejection, and recovery) were proven empir ically to bring robustness to the network, their weakness was shown by later works. Inspired by the observation from the distribution properties of the features extracted by the CNNs in the feature space and their link to robustness, this work designs a novel training process called Manifold-Aware Training (MAT), which forces CNNs to learn compact features to increase robustness. The effectiveness of the proposed method is evaluated via comparisons with existing defense mech anisms, \$\textit{i.e.}\$, the TRADES algorithm, which has been recognized as a representative state-of-the-art technology, and the MMC method, which also aims to learn compact features. Further verification is also conducted using the attack adaptive to our method. Experimental results show that MAT-trained CNNs exhibit significantly higher performance than state-of-the-art robustness.

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Sensory Resilience based on Synesthesia Eric Platon, Tom Sonoda

Situated cognition depends on accessing environmental state through sensors. Eng ineering and cost constraints usually lead to limited "pathways" where, for exam ple, a vision sub-system only includes a camera and the software to deal with it. This traditional and rational design style entails any hardware defect on the pathway causes the system to grind to a halt until repair. We propose a "sensori plexer" as drop-in neural component architecture to address this issue, under the common scenario of multiple sensors availability. This component architecture learns to mix and relate pathways, such that an agent facing failure in a sensor y sub-system can degrade gracefully and coherently by relying on its other sub-systems. The architecture is inspired by the concept of synesthesia, and relies on statistical coupling between sensor signals. We show the benefit and limitati

on of the architecture on a simple shape recognition and a more complex emotion recognition scenarios.

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When does preconditioning help or hurt generalization?

Shun-ichi Amari, Jimmy Ba, Roger Baker Grosse, Xuechen Li, Atsushi Nitanda, Taiji Suzuki, Denny Wu, Ji Xu

While second order optimizers such as natural gradient descent (NGD) often speed up optimization, their effect on generalization has been called into question. This work presents a more nuanced view on how the \textit(implicit bias) of optimizers affects the comparison of generalization properties.

We provide an exact asymptotic bias-variance decomposition of the generalization error of preconditioned ridgeless regression in the overparameterized regime, a nd consider the inverse population Fisher information matrix (used in NGD) as a particular example. We determine the optimal preconditioner \$\boldsymbol{P}\$ for both the bias and variance, and find that the relative generalization performan ce of different optimizers depends on label noise and ``shape'' of the signal (t rue parameters): when the labels are noisy, the model is misspecified, or the signal is misaligned with the features, NGD can achieve lower risk; conversely, GD generalizes better under clean labels, a well-specified model, or aligned signa

Based on this analysis, we discuss several approaches to manage the bias-varianc e tradeoff, and the potential benefit of interpolating between first- and second -order updates. We then extend our analysis to regression in the reproducing ker nel Hilbert space and demonstrate that preconditioning can lead to more efficien t decrease in the population risk. Lastly, we empirically compare the generaliza tion error of first- and second-order optimizers in neural network experiments, and observe robust trends matching our theoretical analysis.

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SketchEmbedNet: Learning Novel Concepts by Imitating Drawings

Alexander Wang, Mengye Ren, Richard Zemel

Sketch drawings are an intuitive visual domain that appeals to human instinct. P revious work has shown that recurrent neural networks are capable of producing s ketch drawings of a single or few classes at a time. In this work we investigate representations developed by training a generative model to produce sketches fr om pixel images across many classes in a sketch domain. We find that the embeddings learned by this sketching model are extremely informative for visual tasks and infer a unique visual understanding. We then use them to exceed state-of-theart performance in unsupervised few-shot classification on the Omniglot and mini-ImageNet benchmarks. We also leverage the generative capacity of our model to produce high quality sketches of novel classes based on just a single example.

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Data augmentation as stochastic optimization Boris Hanin, Yi Sun

We present a theoretical framework recasting data augmentation as stochastic opt imization for a sequence of time-varying proxy losses. This provides a unified 1 anguage for understanding techniques commonly thought of as data augmentation, i ncluding synthetic noise and label-preserving transformations, as well as more t raditional ideas in stochastic optimization such as learning rate and batch size scheduling. We then specialize our framework to study arbitrary augmentations i n the context of a simple model (overparameterized linear regression). We extend in this setting the classical Monro-Robbins theorem to include augmentation and obtain rates of convergence, giving conditions on the learning rate and augment ation schedule under which augmented gradient descent converges. Special cases g ive provably good schedules for augmentation with additive noise, minibatch SGD, and minibatch SGD with noise.

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A Good Image Generator Is What You Need for High-Resolution Video Synthesis Yu Tian, Jian Ren, Menglei Chai, Kyle Olszewski, Xi Peng, Dimitris N. Metaxas, Sergey Tulyakov

Image and video synthesis are closely related areas aiming at generating content

from noise. While rapid progress has been demonstrated in improving image-based models to handle large resolutions, high-quality renderings, and wide variation s in image content, achieving comparable video generation results remains proble matic. We present a framework that leverages contemporary image generators to re nder high-resolution videos. We frame the video synthesis problem as discovering a trajectory in the latent space of a pre-trained and fixed image generator. No t only does such a framework render high-resolution videos, but it also is an or der of magnitude more computationally efficient. We introduce a motion generator that discovers the desired trajectory, in which content and motion are disentan gled. With such a representation, our framework allows for a broad range of appl ications, including content and motion manipulation. Furthermore, we introduce a new task, which we call cross-domain video synthesis, in which the image and mo tion generators are trained on disjoint datasets belonging to different domains. This allows for generating moving objects for which the desired video data is n ot available. Extensive experiments on various datasets demonstrate the advantag es of our methods over existing video generation techniques. Code will be releas ed at https://github.com/snap-research/MoCoGAN-HD.

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Out-of-Distribution Generalization Analysis via Influence Function Haotian Ye, Chuanlong Xie, Yue Liu, Zhenguo Li

The mismatch between training dataset and target environment is one major challe nge for current machine learning systems. When training data is collected from multiple environments and the the evaluation is on any new environment, we are facing an Out-of-Distribution (OOD) generalization problem that aims to find a model with the best OOD accuracy, i.e. the best worst-environment accuracy. How ever, with limited access to environments, the worst environment may be unseen, and test accuracy is a biased estimate of OOD accuracy. In this paper, we show that test accuracy may dramatically fail to identify OOD accuracy and mislead the tuning procedure. To this end, we introduce Influence Function, a classical to ol from robust statistics, into the OOD generalization problem and suggest the variance of influence function to measure the stability of a model on training en vironments. We show that the proposed index and test accuracy together can help us discern whether OOD algorithms are needed and whether a model achieves good OOD generalization.

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Learning to Solve Nonlinear Partial Differential Equation Systems To Accelerate MOSFET Simulation

Seungcheol Han, Jonghyun Choi, Sung-Min Hong

Semiconductor device simulation uses numerical analysis, where a set of coupled nonlinear partial differential equations is solved with the iterative Newton-Rap hson method. Since an appropriate initial guess to start the Newton-Raphson meth od is not available, a solution of practical importance with desired boundary co nditions cannot be trivially achieved. Instead, several solutions with intermedi ate boundary conditions should be calculated to address the nonlinearity and int roducing intermediate boundary conditions significantly increases the computatio n time. In order to accelerate the semiconductor device simulation, we propose t o use a neural network to learn an approximate solution for desired boundary con ditions. With an initial solution sufficiently close to the final one by a train ed neural network, computational cost to calculate several unnecessary solutions is significantly reduced. Specifically, a convolutional neural network for MOSF ET (Metal-Oxide-Semiconductor Field-Effect Transistor), the most widely used sem iconductor device, are trained in a supervised manner to compute the initial sol ution. Particularly, we propose to consider device grids with varying size and s pacing and derive a compact expression of the solution based upon the electrosta tic potential. We empirically show that the proposed method accelerates the simu lation by more than 12 times. Results from the local linear regression and a ful ly-connected network are compared and extension to a complex two-dimensional dom ain is sketched.

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A Surgery of the Neural Architecture Evaluators

Xuefei Ning, Wenshuo Li, Zixuan Zhou, Tianchen Zhao, Shuang Liang, Yin Zheng, Huazhong Yang, Yu Wang

Neural architecture search (NAS) has recently received extensive attention due to its effectiveness in automatically designing effective neural architectures. A major challenge in NAS is to conduct a fast and accurate evaluation of neural architectures. Commonly used fast architecture evaluators include parameter-shar ing ones and predictor-based ones. Despite their high evaluation efficiency, the evaluation correlation (especially of the well-performing architectures) is still questionable. In this paper, we conduct an extensive assessment of both the parameter-sharing and predictor-based evaluators on the NAS-Bench-201 search space, and break up how and why different configurations and strategies influence the fitness of the evaluators. Specifically, we carefully develop a set of NAS-oriented criteria to understand the behavior of fast architecture evaluators in different training stages. And based on the findings of our experiments, we give pieces of knowledge and suggestions to guide NAS application and motivate further

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Transformer-QL: A Step Towards Making Transformer Network Quadratically Large Suvadeep Hajra

Transformer networks have shown outstanding performance on many natural language processing tasks. However the context length (the number of previous tokens on which the output states depend) of a Transformer network grows at best linearly with the memory and computational power used. This limitation prevents a transformer network to have very long context in a resource limited application. In this work, we propose a class of transformer networks, namely Transformer-QL (\bf{Q}) addratically \bf{L}arge), in which, the context length can grow at best quadratically with the memory and computational power used. We have empirically evaluated a Transformer-QL model in three long range language modeling datasets. The results show that Transformer-QL can provide significant improvements over other state of the art networks.

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Embedding a random graph via GNN: mean-field inference theory and RL application s to NP-Hard multi-robot/machine scheduling

HYUNWOOK KANG, SEUNGWOO SCHIN, James Morrison, Jinkyoo Park

We develop a theory for embedding a random graph using graph neural networks (GN N) and illustrate its capability to solve NP-hard scheduling problems. We apply the theory to address the challenge of developing a near-optimal learning algori thm to solve the NP-hard problem of scheduling multiple robots/machines with tim e-varying rewards. In particular, we consider a class of reward collection problems called Multi-Robot Reward Collection (MRRC). Such MRRC problems well model r ide-sharing, pickup-and-delivery, and a variety of related problems. We consider the classic identical parallel machine scheduling problem (IPMS) in the Appendi x.

For the theory, we first observe that MRRC system state can be represented as an extension of probabilistic graphical models (PGMs), which we refer to as random PGMs. We then develop a mean-field inference method for random PGMs.

We prove that a simple modification of a typical GNN embedding is sufficient to embed a random graph even when the edge presence probabilities are interdependen t.

Our theory enables a two-step hierarchical inference for precise and transferable Q-function estimation for MRRC and IPMS. For scalable computation, we show that the transferability of Q-function estimation enables us to design a polynomial -time algorithm with 1-1/e optimality bound.

Experimental results on solving NP-hard MRRC problems (and IMPS in the Appendix) highlight the near-optimality and transferability of the proposed methods.

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ARMOURED: Adversarially Robust MOdels using Unlabeled data by REgularizing Diver

sity

Kangkang Lu, Cuong Manh Nguyen, Xun Xu, Kiran Krishnamachari, Yu Jing Goh, Chuan-Shen q Foo

Adversarial attacks pose a major challenge for modern deep neural networks. Rece nt advancements show that adversarially robust generalization requires a large a mount of labeled data for training. If annotation becomes a burden, can unlabele d data help bridge the gap? In this paper, we propose ARMOURED, an adversarially robust training method based on semi-supervised learning that consists of two c omponents. The first component applies multi-view learning to simultaneously opt imize multiple independent networks and utilizes unlabeled data to enforce label ing consistency. The second component reduces adversarial transferability among the networks via diversity regularizers inspired by determinantal point processes and entropy maximization. Experimental results show that under small perturbation budgets, ARMOURED is robust against strong adaptive adversaries. Notably, ARMOURED does not rely on generating adversarial samples during training. When used in combination with adversarial training, ARMOURED yields competitive performance with the state-of-the-art adversarially-robust benchmarks on SVHN and outper forms them on CIFAR-10, while offering higher clean accuracy.

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Optimizing Loss Functions Through Multivariate Taylor Polynomial Parameterizatio  ${\tt n}$ 

Santiago Gonzalez, Risto Miikkulainen

Metalearning of deep neural network (DNN) architectures and hyperparameters has become an increasingly important area of research. Loss functions are a type of metaknowledge that is crucial to effective training of DNNs, however, their pote ntial role in metalearning has not yet been fully explored. Whereas early work focused on genetic programming (GP) on tree representations, this paper proposes continuous CMA-ES optimization of multivariate Taylor polynomial parameterizations. This approach, TaylorGLO, makes it possible to represent and search useful loss functions more effectively. In MNIST, CIFAR-10, and SVHN benchmark tasks, TaylorGLO finds new loss functions that outperform functions previously discovered through GP, as well as the standard cross-entropy loss, in fewer generations. These functions serve to regularize the learning task by discouraging overfitting to the labels, which is particularly useful in tasks where limited training dat a is available. The results thus demonstrate that loss function optimization is a productive new avenue for metalearning.

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Learning Energy-Based Generative Models via Coarse-to-Fine Expanding and Samplin

Yang Zhao, Jianwen Xie, Ping Li

Energy-based models (EBMs) parameterized by neural networks can be trained by th e Markov chain Monte Carlo (MCMC) sampling-based maximum likelihood estimation. Despite the recent significant success of EBMs in image generation, the current approaches to train EBMs are unstable and have difficulty synthesizing diverse a nd high-fidelity images. In this paper, we propose to train EBMs via a multistag e coarse-to-fine expanding and sampling strategy, which starts with learning a c oarse-level EBM from images at low resolution and then gradually transits to lea rn a finer-level EBM from images at higher resolution by expanding the energy fu nction as the learning progresses. The proposed framework is computationally eff icient with smooth learning and sampling. It achieves the best performance on im age generation amongst all EBMs and is the first successful EBM to synthesize hi gh-fidelity images at \$512\times512\$ resolution. It can also be useful for image restoration and out-of-distribution detection. Lastly, the proposed framework i s further generalized to the one-sided unsupervised image-to-image translation a nd beats baseline methods in terms of model size and training budget. We also pr esent a gradient-based generative saliency method to interpret the translation d ynamics.

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Undistillable: Making A Nasty Teacher That CANNOT teach students Haoyu Ma, Tianlong Chen, Ting-Kuei Hu, Chenyu You, Xiaohui Xie, Zhangyang Wang Knowledge Distillation (KD) is a widely used technique to transfer knowledge fro m pre-trained teacher models to (usually more lightweight) student models. Howe ver, in certain situations, this technique is more of a curse than a blessing. F or instance, KD poses a potential risk of exposing intellectual properties (IPs) : even if a trained machine learning model is released in ``black boxes'' (e.g., as executable software or APIs without open-sourcing code), it can still be rep licated by KD through imitating input-output behaviors. To prevent this unwanted effect of KD, this paper introduces and investigates a concept called \$\textit{ Nasty Teacher \\$: a specially trained teacher network that yields nearly the same performance as a normal one, but would significantly degrade the performance of student models learned by imitating it. We propose a simple yet effective algor ithm to build the nasty teacher, called \$\textit{self-undermining knowledge dist illation}\$. Specifically, we aim to maximize the difference between the output o  $\ensuremath{\mathsf{f}}$  the nasty teacher and a normal pre-trained network. Extensive experiments on  $\ensuremath{\mathsf{s}}$ everal datasets demonstrate that our method is effective on both standard KD and data-free KD, providing the desirable KD-immunity to model owners for the first time. We hope our preliminary study can draw more awareness and interest in thi s new practical problem of both social and legal importance. Our codes and pre-t rained models can be found at: \$\url{https://github.com/VITA-Group/Nasty-Teacher

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An information-theoretic framework for learning models of instance-independent label noise

Xia Huang, Kai Fong Ernest Chong

Given a dataset  $\mathcal{D}$  with label noise, how do we learn its underlying n oise model? If we assume that the label noise is instance-independent, then the noise model can be represented by a noise transition matrix \$Q\_{\mathcal{D}}\$. R ecent work has shown that even without further information about any instances w ith correct labels, or further assumptions on the distribution of the label nois e, it is still possible to estimate  $Q_{\mathrm{D}}\$  while simultaneously learn ing a classifier from \$\mathcal{D}\$. However, this presupposes that a good estim ate of  $Q_{\mathrm{D}}\$  requires an accurate classifier. In this paper, we show that high classification accuracy is actually not required for estimating \$Q\_{\ mathcal{D}}\$ well. We shall introduce an information-theoretic-based framework f or estimating  $Q_{\mathrm{D}}$  solely from  $\mathcal{D}$  (without additional in formation or assumptions). At the heart of our framework is a discriminator that predicts whether an input dataset has maximum Shannon entropy, which shall be u sed on multiple new datasets  $\hat{D}$  synthesized from  $\hat{D}$  mathcal Dvia the insertion of additional label noise. We prove that our estimator for \$Q\_  ${\mathbb{D}}\$  is statistically consistent, in terms of dataset size, and the nu mber of intermediate datasets \$\hat{\mathcal{D}}\$ synthesized from \$\mathcal{D}\$\$ . As a concrete realization of our framework, we shall incorporate local intrins ic dimensionality (LID) into the discriminator, and we show experimentally that with our LID-based discriminator, the estimation error for  $Q_{\mathrm{D}}\$ be significantly reduced. We achieved average Kullback--Leibler loss reduction from \$0.27\$ to \$0.17\$ for  $\$40\$  anchor-like samples removal when evaluated on t he CIFAR10 with symmetric noise. Although no clean subset of \$\mathcal{D}\$ is re quired for our framework to work, we show that our framework can also take advan tage of clean data to improve upon existing estimation methods.

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Multi-Prize Lottery Ticket Hypothesis: Finding Accurate Binary Neural Networks by Pruning A Randomly Weighted Network

James Diffenderfer, Bhavya Kailkhura

Recently, Frankle & Carbin (2019) demonstrated that randomly-initialized dense n etworks contain subnetworks that once found can be trained to reach test accuracy comparable to the trained dense network. However, finding these high performing trainable subnetworks is expensive, requiring iterative process of training and pruning weights. In this paper, we propose (and prove) a stronger Multi-Prize Lottery Ticket Hypothesis:

A sufficiently over-parameterized neural network with random weights contains se veral subnetworks (winning tickets) that (a) have comparable accuracy to a dense target network with learned weights (prize 1), (b) do not require any further t raining to achieve prize 1 (prize 2), and (c) is robust to extreme forms of quan tization (i.e., binary weights and/or activation) (prize 3).

This provides a new paradigm for learning compact yet highly accurate binary neu ral networks simply by pruning and quantizing randomly weighted full precision n eural networks. We also propose an algorithm for finding multi-prize tickets (MP Ts) and test it by performing a series of experiments on CIFAR-10 and ImageNet d atasets. Empirical results indicate that as models grow deeper and wider, multi-prize tickets start to reach similar (and sometimes even higher) test accuracy c ompared to their significantly larger and full-precision counterparts that have been weight-trained. Without ever updating the weight values, our MPTs-1/32 not only set new binary weight network state-of-the-art (SOTA) Top-1 accuracy -- 94. 8% on CIFAR-10 and 74.03% on ImageNet -- but also outperform their full-precision counterparts by 1.78% and 0.76%, respectively. Further, our MPT-1/1 achieves S OTA Top-1 accuracy (91.9%) for binary neural networks on CIFAR-10. Code and pretrained models are available at: https://github.com/chrundle/biprop.

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DJMix: Unsupervised Task-agnostic Augmentation for Improving Robustness Ryuichiro Hataya, Hideki Nakayama

Convolutional Neural Networks (CNNs) are vulnerable to unseen noise on input images at the test time, and thus improving the robustness is crucial. In this paper, we propose DJMix, a data augmentation method to improve the robustness by mixing each training image and its discretized one. Discretization is done in an unsupervised manner by an autoencoder, and the mixed images are nearly impossible to distinguish from the original images. Therefore, DJMix can easily be adapted to various image recognition tasks. We verify the effectiveness of our method us ing classification, semantic segmentation, and detection using clean and noisy test images.

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Deep Learning with Data Privacy via Residual Perturbation Wenqi Tao, Huaming Ling, Zuoqiang Shi, Bao Wang

Protecting data privacy in deep learning (DL) is at its urgency. Several celebra ted privacy notions have been established and used for privacy-preserving DL. Ho wever, many of the existing mechanisms achieve data privacy at the cost of signi ficant utility degradation. In this paper, we propose a stochastic differential equation principled \emph{residual perturbation} for privacy-preserving DL, which injects Gaussian noise into each residual mapping of ResNets. Theoretically, we prove that residual perturbation guarantees differential privacy (DP) and reduces the generalization gap for DL. Empirically, we show that residual perturbation outperforms the state-of-the-art DP stochastic gradient descent (DPSGD) in both membership privacy protection and maintaining the DL models' utility. For instance, in the process of training ResNet8 for the IDC dataset classification, residual perturbation obtains an accuracy of 85.7\% and protects the perfect membership privacy; in contrast, DPSGD achieves an accuracy of 82.8\% and protects wo resemembership privacy.

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Semi-Supervised Learning via Clustering Representation Space

Yen-Chieh Huang, Yuh-Jye Lee, Chih-Chi Wu, Yi-Wei Chiu, Yong-Xiang Lin, ■CHENG-YING LI, Po-Hung Ko

We proposed a novel loss function that combines supervised learning with cluster ing in deep neural networks. Taking advantage of the data distribution and the existence of some labeled data, we construct a meaningful latent space. Our loss function consists of three parts, the quality of the clustering result, the mar gin between clusters, and the classification error of labeled instances. Our proposed model is trained to minimize our loss function by backpropagation, avoiding the need for pre-training or additional networks. This guides our network to classify labeled samples correctly while able to find good clusters simultaneousl

y. We applied our proposed method on MNIST, USPS, ETH-80, and COIL-100; the comp arison results confirm our model's outstanding performance over semi-supervised learning.

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A General Computational Framework to Measure the Expressiveness of Complex Networks using a Tight Upper Bound of Linear Regions

Yutong Xie, Gaoxiang Chen, Quanzheng Li

The expressiveness of deep neural network (DNN) is a perspective to understand the surprising performance of DNN. The number of linear regions, i.e. pieces that a piece-wise-linear function represented by a DNN, is generally used to measure the expressiveness. And the upper bound of regions number partitioned by a rectifier network, instead of the number itself, is a more practical measurement of expressiveness of a rectifier DNN. In this work, we propose a new and tighter upper bound of regions number. Inspired by the proof of this upper bound and the framework of matrix computation in \citet{hinz2019framework}, we propose a general computational approach to compute a tight upper bound of regions number for the eoretically any network structures (e.g. DNN with all kind of skip connections and residual structures). Our experiments show our upper bound is tighter than existing ones, and explain why skip connections and residual structures can improve network performance.

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Learning Accurate Entropy Model with Global Reference for Image Compression Yichen Qian, Zhiyu Tan, Xiuyu Sun, Ming Lin, Dongyang Li, Zhenhong Sun, Li Hao, Rong Ji

In recent deep image compression neural networks, the entropy model plays a crit ical role in estimating the prior distribution of deep image encodings. Existing methods combine hyperprior with local context in the entropy estimation function. This greatly limits their performance due to the absence of a global vision. In this work, we propose a novel Global Reference Model for image compression to effectively leverage both the local and the global context information, leading to an enhanced compression rate. The proposed method scans decoded latents and then finds the most relevant latent to assist the distribution estimating of the current latent. A by-product of this work is the innovation of a mean-shifting GDN module that further improves the performance. Experimental results demonstrate that the proposed model outperforms the rate-distortion performance of most of the state-of-the-art methods in the industry.

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Graph Autoencoders with Deconvolutional Networks

Jia Li, Jianwei Yu, Da-Cheng Juan, HAN Zhichao, Arjun Gopalan, Hong Cheng, Andrew Tomk ins

Recent studies have indicated that Graph Convolutional Networks (GCNs) act as a \$\textit{low pass}\$ filter in spectral domain and encode smoothed node represent ations. In this paper, we consider their opposite, namely Graph Deconvolutional Networks (GDNs) that reconstruct graph signals from smoothed node representations. We motivate the design of Graph Deconvolutional Networks via a combination of inverse filters in spectral domain and de-noising layers in wavelet domain, as the inverse operation results in a \$\textit{high pass}\$ filter and may amplify the noise. Based on the proposed GDN, we further propose a graph autoencoder framework that first encodes smoothed graph representations with GCN and then decodes accurate graph signals with GDN. We demonstrate the effectiveness of the proposed method on several tasks including unsupervised graph-level representation, social recommendation and graph generation.

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Towards Principled Representation Learning for Entity Alignment

Lingbing Guo, Zequn Sun, Mingyang Chen, Wei Hu, Huajun Chen

Knowledge graph (KG) representation learning for entity alignment has recently r eceived great attention. Compared with conventional methods, these embedding-bas ed ones are considered to be robuster for highly-heterogeneous and cross-lingual entity alignment scenarios as they do not rely on the quality of machine trans lation or feature extraction. Despite the significant improvement that has been

made, there is little understanding of how the embedding-based entity alignment methods actually work. Most existing methods rest on the foundation that a small number of pre-aligned entities can serve as anchors to connect the embedding sp aces of two KGs. But no one investigates the rationality of such foundation. In this paper, we define a typical paradigm abstracted from the existing methods, a nd analyze how the representation discrepancy between two potentially-aligned en tities is implicitly bounded by a predefined margin in the scoring function for embedding learning. However, such a margin cannot guarantee to be tight enough f or alignment learning. We mitigate this problem by proposing a new approach that explicitly learns KG-invariant and principled entity representations, meanwhile preserves the original infrastructure of existing methods. In this sense, the m odel not only pursues the closeness of aligned entities on geometric distance, b ut also aligns the neural ontologies of two KGs to eliminate the discrepancy in feature distribution and underlying ontology knowledge. Our experiments demonstr ate consistent and significant improvement in performance against the existing e mbedding-based entity alignment methods, including several state-of-the-art ones

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Neural Time-Dependent Partial Differential Equation

Yihao Hu, Tong Zhao, Zhiliang Xu, Lizhen Lin

Partial differential equations (PDEs) play a crucial role in studying a vast num ber of problems in science and engineering. Numerically solving nonlinear and/or high-dimensional PDEs is frequently a challenging task. Inspired by the traditi onal finite difference and finite elements methods and emerging advancements in machine learning, we propose a sequence-to-sequence learning (Seq2Seq) framewor k called Neural-PDE, which allows one to automatically learn governing rules of any time-dependent PDE system from existing data by using a bidirectional LSTM e ncoder, and predict the solutions in next \$n\$ time steps. One critical feature o f our proposed framework is that the Neural-PDE is able to simultaneously learn and simulate all variables of interest in a PDE system. We test the Neural-PDE by a range of examples, from one-dimensional PDEs to a multi-dimensional and no nlinear complex fluids model. The results show that the Neural-PDE is capable of learning the initial conditions, boundary conditions and differential operators defining the initial-boundary-value problem of a PDE system without the knowled ge of the specific form of the PDE system. In our experiments, the Neural-PDE ca n efficiently extract the dynamics within 20 epochs training and produce accurat e predictions. Furthermore, unlike the traditional machine learning approaches f or learning PDEs, such as CNN and MLP, which require great quantity of parameter s for model precision, the Neural-PDE shares parameters among all time steps, an d thus considerably reduces computational complexity and leads to a fast learnin g algorithm.

Latent Causal Invariant Model

Xinwei Sun, Botong Wu, Chang Liu, Xiangyu Zheng, Wei Chen, Tao Qin, Tie-Yan Liu Current supervised learning can learn spurious correlation during the data-fitti ng process, imposing issues regarding interpretability, out-of-distribution (OOD ) generalization, and robustness. To avoid spurious correlation, we propose a \t extbf{La}tent \textbf{C}ausal \textbf{I}nvariance \textbf{M}odel (LaCIM) which p ursues \emph{causal prediction}. Specifically, we introduce latent variables tha t are separated into (a) output-causative factors and (b) others that are spurio usly correlated to the output via confounders, to model the underlying causal fa ctors. We further assume the generating mechanisms from latent space to observed data to be \emph{causally invariant}. We give the identifiable claim of such in variance, particularly the disentanglement of output-causative factors from othe rs, as a theoretical guarantee for precise inference and avoiding spurious corre lation. We propose a Variational-Bayesian-based method for estimation and to opt imize over the latent space for prediction. The utility of our approach is verif ied by improved interpretability, prediction power on various OOD scenarios (inc luding healthcare) and robustness on security.

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Adaptive Stacked Graph Filter

Hoang NT, Takanori Maehara, Tsuyoshi Murata

We study Graph Convolutional Networks (GCN) from the graph signal processing vie wpoint by addressing a difference between learning graph filters with fully-conn ected weights versus trainable polynomial coefficients. We find that by stacking graph filters with learnable polynomial parameters, we can build a highly adapt ive and robust vertex classification model. Our treatment here relaxes the low-f requency (or equivalently, high homophily) assumptions in existing vertex classification models, resulting a more ubiquitous solution in terms of spectral properties. Empirically, by using only one hyper-parameter setting, our model achieve strong results on most benchmark datasets across the frequency spectrum.

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Convex Potential Flows: Universal Probability Distributions with Optimal Transport and Convex Optimization

Chin-Wei Huang, Ricky T. Q. Chen, Christos Tsirigotis, Aaron Courville

Flow-based models are powerful tools for designing probabilistic models with tra ctable density. This paper introduces Convex Potential Flows (CP-Flow), a natura l and efficient parameterization of invertible models inspired by the optimal transport (OT) theory. CP-Flows are the gradient map of a strongly convex neural potential function. The convexity implies invertibility and allows us to resort to convex optimization to solve the convex conjugate for efficient inversion. To enable maximum likelihood training, we derive a new gradient estimator of the log-determinant of the Jacobian, which involves solving an inverse-Hessian vector product using the conjugate gradient method. The gradient estimator has constant—memory cost, and can be made effectively unbiased by reducing the error tolerance level of the convex optimization routine. Theoretically, we prove that CP-Flows are universal density approximators and are optimal in the OT sense. Our empirical results show that CP-Flow performs competitively on standard benchmarks of density estimation and variational inference.

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Towards Noise-resistant Object Detection with Noisy Annotations Junnan Li, Caiming Xiong, Steven Hoi

Training deep object detectors requires large amounts of human-annotated images with accurate object labels and bounding box coordinates, which are extremely ex pensive to acquire. Noisy annotations are much more easily accessible, but they could be detrimental for learning. We address the challenging problem of training object detectors with noisy annotations, where the noise contains a mixture of label noise and bounding box noise. We propose a learning framework which join tly optimizes object labels, bounding box coordinates, and model parameters by performing alternating noise correction and model training. To disentangle label noise and bounding box noise, we propose a two-step noise correction method. The first step performs class-agnostic bounding box correction, and the second step performs label correction and class-specific bounding box refinement. We conduct experiments on PASCAL VOC and MS-COCO dataset with both synthetic noise and matchine-generated noise. Our method achieves state-of-the-art performance by effectively cleaning both label noise and bounding box noise.

Greedy-GQ with Variance Reduction: Finite-time Analysis and Improved Complexity Shaocong Ma, Ziyi Chen, Yi Zhou, Shaofeng Zou

Greedy-GQ is a value-based reinforcement learning (RL) algorithm for optimal con trol. Recently, the finite-time analysis of Greedy-GQ has been developed under l inear function approximation and Markovian sampling, and the algorithm is shown to achieve an \$\epsilon\$-stationary point with a sample complexity in the order of \$\mathref{mathcal}{0}(\epsilon^{-3})\$. Such a high sample complexity is due to the lar ge variance induced by the Markovian samples. In this paper, we propose a varian ce-reduced Greedy-GQ (VR-Greedy-GQ) algorithm for off-policy optimal control. In particular, the algorithm applies the SVRG-based variance reduction scheme to r educe the stochastic variance of the two time-scale updates. We study the finite -time convergence of VR-Greedy-GQ under linear function approximation and Markov ian sampling and show that the algorithm achieves a much smaller bias and varian

ce error than the original Greedy-GQ. In particular, we prove that VR-Greedy-GQ achieves an improved sample complexity that is in the order of  $\mathcal{O}(\ensuremath{\text{CO}})$ . We further compare the performance of VR-Greedy-GQ with that of Greedy-GQ in various RL experiments to corroborate our theoretical findings.

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How much progress have we made in neural network training? A New Evaluation Protocol for Benchmarking Optimizers

Yuanhao Xiong, Xuanqing Liu, Li-Cheng Lan, Yang You, Si Si, Cho-Jui Hsieh

Many optimizers have been proposed for training deep neural networks, and they o ften have multiple hyperparameters, which make it tricky to benchmark their perf ormance. In this work, we propose a new benchmarking protocol to evaluate both e nd-to-end efficiency (training a model from scratch without knowing the best hyp erparameter) and data-addition training efficiency (the previously selected hype rparameters are used for periodically re-training the model with newly collected data). For end-to-end efficiency, unlike previous work that assumes random hype rparameter tuning, which over-emphasizes the tuning time, we propose to evaluate with a bandit hyperparameter tuning strategy. A human study is conducted to sho w our evaluation protocol matches human tuning behavior better than the random s earch. For data-addition training, we propose a new protocol for assessing the h yperparameter sensitivity to data shift. We then apply the proposed benchmarking framework to 7 optimizers and various tasks, including computer vision, natural language processing, reinforcement learning, and graph mining. Our results show that there is no clear winner across all the tasks.

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Large Batch Simulation for Deep Reinforcement Learning

Brennan Shacklett, Erik Wijmans, Aleksei Petrenko, Manolis Savva, Dhruv Batra, Vladle n Koltun, Kayvon Fatahalian

We accelerate deep reinforcement learning-based training in visually complex 3D environments by two orders of magnitude over prior work, realizing end-to-end tr aining speeds of over 19,000 frames of experience per second on a single GPU and up to 72,000 frames per second on a single eight-GPU machine. The key idea of o ur approach is to design a 3D renderer and embodied navigation simulator around the principle of "batch simulation": accepting and executing large batches of re quests simultaneously. Beyond exposing large amounts of work at once, batch sim ulation allows implementations to amortize in-memory storage of scene assets, re ndering work, data loading, and synchronization costs across many simulation req uests, dramatically improving the number of simulated agents per GPU and overall simulation throughput. To balance DNN inference and training costs with faster simulation, we also build a computationally efficient policy DNN that maintains high task performance, and modify training algorithms to maintain sample effici ency when training with large mini-batches. By combining batch simulation and DN N performance optimizations, we demonstrate that PointGoal navigation agents can be trained in complex 3D environments on a single GPU in 1.5 days to 97% of the accuracy of agents trained on a prior state-of-the-art system using a 64-GPU cl uster over three days. We provide open-source reference implementations of our batch 3D renderer and simulator to facilitate incorporation of these ideas into RL systems.

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Adversarial Training using Contrastive Divergence Hongjun Wang, Guanbin Li, Liang Lin

To protect the security of machine learning models against adversarial examples, adversarial training becomes the most popular and powerful strategy against var ious adversarial attacks by injecting adversarial examples into training data. H owever, it is time-consuming and requires high computation complexity to generat e suitable adversarial examples for ensuring the robustness of models, which impedes the spread and application of adversarial training. In this work, we reform ulate adversarial training as a combination of stationary distribution exploring, sampling, and training. Each updating of parameters of DNN is based on several transitions from the data samples as the initial states in a Hamiltonian system

. Inspired by our new paradigm, we design a new generative method for adversaria 1 training by using Contrastive Divergence (ATCD), which approaches the equilibr ium distribution of adversarial examples with only few iterations by building fr om small modifications of the standard Contrastive Divergence (CD). Our adversarial training algorithm achieves much higher robustness than any other state-of-the-art adversarial training acceleration method on the ImageNet, CIFAR-10, and M NIST datasets and reaches a balance between performance and efficiency.

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Hopper: Multi-hop Transformer for Spatiotemporal Reasoning

Honglu Zhou, Asim Kadav, Farley Lai, Alexandru Niculescu-Mizil, Martin Renqiang Min, Mubbasir Kapadia, Hans Peter Graf

This paper considers the problem of spatiotemporal object-centric reasoning in v ideos. Central to our approach is the notion of object permanence, i.e., the abi lity to reason about the location of objects as they move through the video whil e being occluded, contained or carried by other objects. Existing deep learning based approaches often suffer from spatiotemporal biases when applied to video r easoning problems. We propose Hopper, which uses a Multi-hop Transformer for rea soning object permanence in videos. Given a video and a localization query, Hopp er reasons over image and object tracks to automatically hop over critical frame s in an iterative fashion to predict the final position of the object of interes t. We demonstrate the effectiveness of using a contrastive loss to reduce spatio temporal biases. We evaluate over CATER dataset and find that Hopper achieves 73 .2% Top-1 accuracy using just 1 FPS by hopping through just a few critical frame s. We also demonstrate Hopper can perform long-term reasoning by building a CATE R-h dataset that requires multi-step reasoning to localize objects of interest c orrectly.

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Efficient Reinforcement Learning in Factored MDPs with Application to Constraine d RL

Xiaoyu Chen, Jiachen Hu, Lihong Li, Liwei Wang

Reinforcement learning (RL) in episodic, factored Markov decision processes (FMD Ps) is studied. We propose an algorithm called FMDP-BF, which leverages the fact orization structure of FMDP. The regret of FMDP-BF is shown to be exponentially smaller than that of optimal algorithms designed for non-factored MDPs, and imp roves on the best previous result for FMDPs-\citep{osband2014near} by a factor of  $\frac{1}{\sqrt{nH}}$  which  $\frac{1}{\sqrt{nH}}$ , where  $\frac{1}{\sqrt{nH}}$  is the cardinality of the factored state subspace,  $\frac{1}{\sqrt{nH}}$  is the planning horizon and  $\frac{1}{\sqrt{nH}}$  is the number of factored transition. To show the optimality of our bounds, we also provide a lower bound for FMDP, which indicates that our algorithm is near-optimal w.r.t. times tep  $\frac{1}{\sqrt{nH}}$  horizon  $\frac{1}{\sqrt{nH}}$  and factored state-action subspace cardinality. Finally, as an application, we study a new formulation of constrained RL, known as RL with knapsack constraints (RLwK), and provides the first sample-efficient algorithm b ased on FMDP-BF.

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Finding Patient Zero: Learning Contagion Source with Graph Neural Networks Chintan Shah, Nima Dehmamy, Nicola Perra, Matteo Chinazzi, Albert-Laszlo Barabasi, Al essandro Vespignani, Rose Yu

Locating the source of an epidemic, or patient zero (P0), can provide critical insights into the infection's transmission course and allow efficient resource a llocation.

Existing methods use graph-theoretic centrality measures and expensive message-p assing algorithms, requiring knowledge of the underlying dynamics and its parameters.

In this paper, we revisit this problem using graph neural networks (GNNs) to learn P0

We observe that GNNs can identify P0 close to the theoretical bound on accuracy, without explicit input of dynamics or its parameters.

In addition, GNN is over 100 times faster than classic methods for inference on arbitrary graph topologies.

Our theoretical bound also shows that the epidemic is like a ticking clock, emph

asizing the importance of early contact-tracing.

We find a maximum time after which accurate recovery of the source becomes impossible, regardless of the algorithm used.

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Factorized linear discriminant analysis for phenotype-guided representation lear ning of neuronal gene expression data

Mu Qiao, Markus Meister

A central goal in neurobiology is to relate the expression of genes to the struc tural and functional properties of neuronal types, collectively called their phe notypes. Single-cell RNA sequencing can measure the expression of thousands of g enes in thousands of neurons. How to interpret the data in the context of neuron al phenotypes? We propose a supervised learning approach that factorizes the gen e expression data into components corresponding to individual phenotypic charact eristics and their interactions. This new method, which we call factorized linea r discriminant analysis (FLDA), seeks a linear transformation of gene expression s that varies highly with only one phenotypic factor and minimally with the othe rs. We further leverage our approach with a sparsity-based regularization algori thm, which selects a few genes important to a specific phenotypic feature or fea ture combination. We applied this approach to a single-cell RNA-Seq dataset of D rosophila T4/T5 neurons, focusing on their dendritic and axonal phenotypes. The analysis confirms results obtained by conventional methods but also points to ne w genes related to the phenotypes and an intriguing hierarchy in the genetic org anization of these cells.

RankingMatch: Delving into Semi-Supervised Learning with Consistency Regularizat ion and Ranking Loss

Trung Quang Tran, Mingu Kang, Daeyoung Kim

Semi-supervised learning (SSL) has played an important role in leveraging unlabe led data when labeled data is limited. One of the most successful SSL approaches is based on consistency regularization, which encourages the model to produce u nchanged with perturbed input. However, there has been less attention spent on i nputs that have the same label. Motivated by the observation that the inputs hav ing the same label should have the similar model outputs, we propose a novel met hod, RankingMatch, that considers not only the perturbed inputs but also the sim ilarity among the inputs having the same label. We especially introduce a new ob jective function, dubbed BatchMean Triplet loss, which has the advantage of comp utational efficiency while taking into account all input samples. Our RankingMat ch achieves state-of-the-art performance across many standard SSL benchmarks wit h a variety of labeled data amounts, including 95.13% accuracy on CIFAR-10 with 250 labels, 77.65% accuracy on CIFAR-100 with 10000 labels, 97.76% accuracy on S VHN with 250 labels, and 97.77% accuracy on SVHN with 1000 labels. We also perfo rm an ablation study to prove the efficacy of the proposed BatchMean Triplet los s against existing versions of Triplet loss.

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Unbiased Teacher for Semi-Supervised Object Detection

Yen-Cheng Liu, Chih-Yao Ma, Zijian He, Chia-Wen Kuo, Kan Chen, Peizhao Zhang, Bichen Wu, Zsolt Kira, Peter Vajda

Semi-supervised learning, i.e., training networks with both labeled and unlabele d data, has made significant progress recently. However, existing works have pri marily focused on image classification tasks and neglected object detection which requires more annotation effort. In this work, we revisit the Semi-Supervised Object Detection (SS-OD) and identify the pseudo-labeling bias issue in SS-OD. To address this, we introduce Unbiased Teacher, a simple yet effective approach that jointly trains a student and a gradually progressing teacher in a mutually-be eneficial manner. Together with a class-balance loss to downweight overly confident pseudo-labels, Unbiased Teacher consistently improved state-of-the-art methods by significant margins on COCO-standard, COCO-additional, and VOC datasets. Specifically, Unbiased Teacher achieves 6.8 absolute mAP improvements against state-of-the-art method when using 1% of labeled data on MS-COCO, achieves around 10 mAP improvements against the supervised baseline when using only 0.5, 1, 2% of

labeled data on MS-COCO.

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Can Students Outperform Teachers in Knowledge Distillation based Model Compression?

Xiang Deng, Zhongfei Zhang

Knowledge distillation (KD) is an effective technique to compress a large model (teacher) to a compact one (student) by knowledge transfer. The ideal case is th at the teacher is compressed to the small student without any performance dropping. However, even for the state-of-the-art (SOTA) distillation approaches, there is still an obvious performance gap between the student and the teacher. The existing literature usually attributes this to model capacity differences between them. However, model capacity differences are unavoidable in model compression. In this work, we systematically study this question. By designing exploratory experiments, we find that model capacity differences are not necessarily the root reason, and the distillation data matters when the student capacity is greater than a threshold. In light of this, we propose to go beyond in-distribution distillation and accordingly develop KD+. KD+ is superior to the original KD as it ou tperforms KD and the other SOTA approaches substantially and is more compatible with the existing approaches to further improve their performances significantly

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On interaction between augmentations and corruptions in natural corruption robus tness

Eric Mintun, Alexander Kirillov, Saining Xie

Invariance to a broad array of image corruptions, such as warping, noise, or col or shifts, is an important aspect of building robust models in computer vision. Recently, several new data augmentations have been proposed that significantly i mprove performance on ImageNet-C, a benchmark of such corruptions. However, there is still a lack of basic understanding on the relationship between data augmentations and test-time corruptions. To this end, we develop a feature space for i mage transforms, and then use a new measure in this space between augmentations and corruptions called the Minimal Sample Distance to demonstrate there is a strong correlation between similarity and performance. We then investigate recent data augmentations and observe a significant degradation in corruption robustness when the test-time corruptions are sampled to be perceptually dissimilar from I mageNet-C in this feature space. Our results suggest that test error can be improved by training on perceptually similar augmentations, and data augmentations may risk overfitting to the existing benchmark. We hope our results and tools will allow for more robust progress towards improving robustness to image corruptions.

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MetaPhys: Few-Shot Adaptation for Non-Contact Physiological Measurement Xin Liu, Ziheng Jiang, Joshua Wolff Fromm, Xuhai Xu, Shwetak Patel, Daniel McDuff There are large individual differences in physiological processes, making design ing personalized health sensing algorithms challenging. Existing machine learnin g systems struggle to generalize well to unseen subjects or contexts, especially in video-based physiological measurement. Although fine-tuning for a user might address this issue, it is difficult to collect large sets of training data for specific individuals because supervised algorithms require medical-grade sensors for generating the training target. Therefore, learning personalized or customi zed models from a small number of unlabeled samples is very attractive as it wou ld allow fast calibrations. In this paper, we present a novel meta-learning appr oach called MetaPhys for learning personalized cardiac signals from 18-seconds o f video data. MetaPhys works in both supervised and unsupervised manners. We eva luate our proposed approach on two benchmark datasets and demonstrate superior p erformance in cross-dataset evaluation with substantial reductions (42% to 44%) in errors compared with state-of-the-art approaches. Visualization of attention maps and ablation experiments reveal how the model adapts to each subject and wh y our proposed approach leads to these improvements. We have also demonstrated o ur proposed method significantly helps reduce the bias in skin type.

Human-Level Performance in No-Press Diplomacy via Equilibrium Search Jonathan Gray, Adam Lerer, Anton Bakhtin, Noam Brown

Prior AI breakthroughs in complex games have focused on either the purely advers arial or purely cooperative settings. In contrast, Diplomacy is a game of shifting alliances that involves both cooperation and competition. For this reason, Diplomacy has proven to be a formidable research challenge. In this paper we describe an agent for the no-press variant of Diplomacy that combines supervised lear ning on human data with one-step lookahead search via regret minimization. Regret minimization techniques have been behind previous AI successes in adversarial games, most notably poker, but have not previously been shown to be successful in large-scale games involving cooperation. We show that our agent greatly exceed so the performance of past no-press Diplomacy bots, is unexploitable by expert humans, and ranks in the top 2% of human players when playing anonymous games on a popular Diplomacy website.

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Uncovering the impact of hyperparameters for global magnitude pruning Janice Lan, Rudy Chin, Alexei Baevski, Ari S. Morcos

A common paradigm in model pruning is to train a model, prune, and then either f ine-tune or, in the lottery ticket framework, reinitialize and retrain. Prior wo rk has implicitly assumed that the best training configuration for model evaluat ion is also the best configuration for mask discovery. However, what if a traini ng configuration which yields worse performance actually yields a mask which tra ins to higher performance? To test this, we decoupled the hyperparameters for mask discovery (H\_find) and mask evaluation (H\_eval). Using unstructured magnitud e pruning on vision classification tasks, we discovered the "decoupled find-eval phenomenon," in which certain H\_find values lead to models which have lower per formance, but generate masks with substantially higher eventual performance comp ared to using the same hyperparameters for both stages. We show that this phenom enon holds across a number of models, datasets, configurations, and also for one -shot structured pruning. Finally, we demonstrate that different H\_find values y ield masks with materially different layerwise pruning ratios and that the decou pled find-eval phenomenon is causally mediated by these ratios. Our results demo nstrate the practical utility of decoupling hyperparameters and provide clear in sights into the mechanisms underlying this counterintuitive effect.

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How Neural Networks Extrapolate: From Feedforward to Graph Neural Networks Keyulu Xu, Mozhi Zhang, Jingling Li, Simon Shaolei Du, Ken-Ichi Kawarabayashi, Stefan ie Jegelka

We study how neural networks trained by gradient descent extrapolate, i.e., wha t they learn outside the support of the training distribution. Previous works re port mixed empirical results when extrapolating with neural networks: while feed forward neural networks, a.k.a. multilayer perceptrons (MLPs), do not extrapolat e well in certain simple tasks, Graph Neural Networks (GNNs) -- structured netwo rks with MLP modules -- have shown some success in more complex tasks. Working towards a theoretical explanation, we identify conditions under which MLPs and G NNs extrapolate well. First, we quantify the observation that ReLU MLPs quickly converge to linear functions along any direction from the origin, which implies that ReLU MLPs do not extrapolate most nonlinear functions. But, they can provab ly learn a linear target function when the training distribution is sufficiently diverse. Second, in connection to analyzing the successes and limitations of GN Ns, these results suggest a hypothesis for which we provide theoretical and empi rical evidence: the success of GNNs in extrapolating algorithmic tasks to new da ta (e.g., larger graphs or edge weights) relies on encoding task-specific non-li nearities in the architecture or features. Our theoretical analysis builds on a connection of over-parameterized networks to the neural tangent kernel. Empiric ally, our theory holds across different training settings.

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Acceleration in Hyperbolic and Spherical Spaces

## David Martínez-Rubio

We further research on the acceleration phenomenon on Riemannian manifolds by introducing the first global first-order method that achieves the same rates as accelerated gradient descent in the Euclidean space for the optimization of smooth and geodesically convex (g-convex) or strongly g-convex functions defined on the hyperbolic space or a subset of the sphere, up to constants and log factors. To the best of our knowledge, this is the first method that is proved to achieve these rates globally on functions defined on a Riemannian manifold \$\mathrm{mathrm

Additionally, for any Riemannian manifold of bounded sectional curvature, we provide reductions from optimization methods for smooth and g-convex functions to methods for smooth and strongly g-convex functions and vice versa.

MELR: Meta-Learning via Modeling Episode-Level Relationships for Few-Shot Learning

Nanyi Fei, Zhiwu Lu, Tao Xiang, Songfang Huang

Most recent few-shot learning (FSL) approaches are based on episodic training wh ereby each episode samples few training instances (shots) per class to imitate t he test condition. However, this strict adhering to test condition has a negativ e side effect, that is, the trained model is susceptible to the poor sampling of few shots. In this work, for the first time, this problem is addressed by explo iting inter-episode relationships. Specifically, a novel meta-learning via model ing episode-level relationships (MELR) framework is proposed. By sampling two ep isodes containing the same set of classes for meta-training, MELR is designed to ensure that the meta-learned model is robust against the presence of poorly-sam pled shots in the meta-test stage. This is achieved through two key components: (1) a Cross-Episode Attention Module (CEAM) to improve the ability of alleviatin g the effects of poorly-sampled shots, and (2) a Cross-Episode Consistency Regul arization (CECR) to enforce that the two classifiers learned from the two episod es are consistent even when there are unrepresentative instances. Extensive expe riments for non-transductive standard FSL on two benchmarks show that our MELR a chieves 1.0%-5.0% improvements over the baseline (i.e., ProtoNet) used for FSL i n our model and outperforms the latest competitors under the same settings.

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Enabling counterfactual survival analysis with balanced representations
Paidamoyo Chapfuwa, Serge Assaad, Shuxi Zeng, Michael Pencina, Lawrence Carin, Ricard
o Henao

Balanced representation learning methods have been applied successfully to count erfactual

inference from observational data. However, approaches that account for survival outcomes are relatively limited. Survival data are frequently encounter ed

across diverse medical applications, i.e., drug development, risk profiling, and clinical

trials, and such data are also relevant in fields like manufacturing (for equipment

monitoring). When the outcome of interest is time-to-event, special precautions for handling censored events need to be taken, as ignoring censored outcomes may lead to biased estimates. We propose a theoretically grounded unified framework for counterfactual inference applicable to survival outcomes. Further, we formulate

a nonparametric hazard ratio metric for evaluating average and individualized treatment effects. Experimental results on real-world and semi-synthetic dataset s,

the latter which we introduce, demonstrate that the proposed approach significantly

outperforms competitive alternatives in both survival-outcome predictions and treatment-effect estimation.

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Partitioned Learned Bloom Filters

Kapil Vaidya, Eric Knorr, Michael Mitzenmacher, Tim Kraska

Bloom filters are space-efficient probabilistic data structures that are used to test whether an element is a member of a set, and may return false positives. Recently, variations referred to as learned Bloom filters were developed that can provide improved performance in terms of the rate of false positives, by using a learned model for the represented set. However, previous methods for learned Bloom filters do not take full advantage of the learned model. Here we show how to frame the problem of optimal model utilization as an optimization problem, and using our framework derive algorithms that can achieve near-optimal performance in many cases.

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An Empirical Exploration of Open-Set Recognition via Lightweight Statistical Pipelines

Shu Kong, Deva Ramanan

Machine-learned safety-critical systems need to be self-aware and reliably know their unknowns in the open-world. This is often explored through the lens of ano maly/outlier detection or out-of-distribution modeling. One popular formulation is that of open-set classification, where an image classifier trained for 1-of-\$ K\$ classes should also recognize images belonging to a \$(K+1)^{th}\$ "other" clas s, not present in the training set. Recent work has shown that, somewhat surpris ingly, most if not all existing open-world methods do not work well on high-dime nsional open-world images (Shafaei et al. 2019). In this paper, we carry out an empirical exploration of open-set classification, and find that combining classi c statistical methods with carefully computed features can dramatically outperfo  ${\tt rm}$  prior work. We extract features from off-the-shelf (OTS) state-of-the-art  $\,$  ne  $\,$ tworks for the underlying \$K\$-way closed-world task. We leverage insights from t he retrieval community for computing feature descriptors that are low-dimensiona 1 (via pooling and PCA) and normalized (via L2-normalization), enabling the mode ling of training data densities via classic statistical tools such as kmeans and Gaussian Mixture Models (GMMs).

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Recovering Geometric Information with Learned Texture Perturbations Jane Wu, Yongxu Jin, Zhenglin Geng, Hui Zhou, Ronald Fedkiw

Regularization is used to avoid overfitting when training a neural network; unfo rtunately, this reduces the attainable level of detail hindering the ability to capture high-frequency information present in the training data. Even though var ious approaches may be used to re-introduce high-frequency detail, it typically does not match the training data and is often not time coherent. In the case of network inferred cloth, these sentiments manifest themselves via either a lack o f detailed wrinkles or unnaturally appearing and/or time incoherent surrogate wr inkles. Thus, we propose a general strategy whereby high-frequency information i s procedurally embedded into low-frequency data so that when the latter is smear ed out by the network the former still retains its high-frequency detail. We ill ustrate this approach by learning texture coordinates which when smeared do not in turn smear out the high-frequency detail in the texture itself but merely smo othly distort it. Notably, we prescribe perturbed texture coordinates that are s ubsequently used to correct the over-smoothed appearance of inferred cloth, and correcting the appearance from multiple camera views naturally recovers lost geo metric information.

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Skinning a Parameterization of Three-Dimensional Space for Neural Network Cloth Jane Wu, Zhenglin Geng, Hui Zhou, Ronald Fedkiw

We present a novel learning framework for cloth deformation by embedding virtual cloth into a tetrahedral mesh that parametrizes the volumetric region of air su rrounding the underlying body. In order to maintain this volumetric parameteriza tion during character animation, the tetrahedral mesh is constrained to follow the body surface as it deforms. We embed the cloth mesh vertices into this parameterization of three-dimensional space in order to automatically capture much of the nonlinear deformation due to both joint rotations and collisions. We then train a convolutional neural network to recover ground truth deformation by learning

ng cloth embedding offsets for each skeletal pose. Our experiments show signific ant improvement over learning cloth offsets from body surface parameterizations, both quantitatively and visually, with prior state of the art having a mean err or five standard deviations higher than ours. Without retraining, our neural net work generalizes to other body shapes and T-shirt sizes, giving the user some in dication of how well clothing might fit. Our results demonstrate the efficacy of a general learning paradigm where high-frequency details can be embedded into 1 ow-frequency parameterizations.

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Wasserstein Embedding for Graph Learning

Soheil Kolouri, Navid Naderializadeh, Gustavo K. Rohde, Heiko Hoffmann

We present Wasserstein Embedding for Graph Learning (WEGL), a novel and fast fra mework for embedding entire graphs in a vector space, in which various machine l earning models are applicable for graph-level prediction tasks. We leverage new insights on defining similarity between graphs as a function of the similarity b etween their node embedding distributions. Specifically, we use the Wasserstein distance to measure the dissimilarity between node embeddings of different graph s. Unlike prior work, we avoid pairwise calculation of distances between graphs and reduce the computational complexity from quadratic to linear in the number of graphs. WEGL calculates Monge maps from a reference distribution to each node embedding and, based on these maps, creates a fixed-sized vector representation of the graph. We evaluate our new graph embedding approach on various benchmark graph-property prediction tasks, showing state-of-the-art classification perform ance while having superior computational efficiency. The code is available at ht tps://github.com/navid-naderi/WEGL.

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High-Capacity Expert Binary Networks

Adrian Bulat, Brais Martinez, Georgios Tzimiropoulos

Network binarization is a promising hardware-aware direction for creating effici ent deep models. Despite its memory and computational advantages, reducing the a ccuracy gap between binary models and their real-valued counterparts remains an unsolved challenging research problem. To this end, we make the following 3 cont ributions: (a) To increase model capacity, we propose Expert Binary Convolution, which, for the first time, tailors conditional computing to binary networks by learning to select one data-specific expert binary filter at a time conditioned on input features. (b) To increase representation capacity, we propose to addres s the inherent information bottleneck in binary networks by introducing an effic ient width expansion mechanism which keeps the binary operations within the same budget. (c) To improve network design, we propose a principled binary network g rowth mechanism that unveils a set of network topologies of favorable properties . Overall, our method improves upon prior work, with no increase in computationa 1 cost, by \$\sim6 \%\$, reaching a groundbreaking \$\sim 71\%\$ on ImageNet classif ication. Code will be made available \$\href{https://www.adrianbulat.com/binary-n 

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Neural Subgraph Matching

Zhitao Ying, Andrew Wang, Jiaxuan You, Chengtao Wen, Arquimedes Canedo, Jure Leskovec Subgraph matching is the problem of determining the presence and location(s) of a given query graph in a large target graph.

Despite being an NP-complete problem, the subgraph matching problem is crucial in domains ranging from network science and database systems to biochemistry and cognitive science.

However, existing techniques based on combinatorial matching and integer program ming cannot handle matching problems with both large target and query graphs. Here we propose NeuroMatch, an accurate, efficient, and robust neural approach to subgraph matching. NeuroMatch decomposes query and target graphs into small subgraphs and embeds them using graph neural networks. Trained to capture geometric constraints corresponding to subgraph relations, NeuroMatch then efficiently performs subgraph matching directly in the embedding space. Experiments demonstrate NeuroMatch is 100x faster than existing combinatorial approaches and 18% more

accurate than existing approximate subgraph matching methods.

SAFENet: A Secure, Accurate and Fast Neural Network Inference Qian Lou, Yilin Shen, Hongxia Jin, Lei Jiang

The advances in neural networks have driven many companies to provide prediction services to users in a wide range of applications. However, current prediction systems raise privacy concerns regarding the user's private data. A cryptographic neural network inference service is an efficient way to allow two parties to execute neural network inference without revealing either party's data or model. Nevertheless, existing cryptographic neural network inference services suffer from huge running latency; in particular, the latency of communication-expensive cryptographic activation function is 3 orders of magnitude higher than plaintext-domain activation function. And activations are the necessary components of the modern neural networks. Therefore, slow cryptographic activation has become the primary obstacle of efficient cryptographic inference.

In this paper, we propose a new technique, called SAFENet, to enable a Secure, A ccurate and Fast nEural Network inference service. To speedup secure inference a nd guarantee inference accuracy, SAFENet includes channel-wise activation approx imation with multiple-degree options. This is implemented by keeping the most us eful activation channels and replacing the remaining, less useful, channels with various-degree polynomials. SAFENet also supports mixed-precision activation approximation by automatically assigning different replacement ratios to various layer; further increasing the approximation ratio and reducing inference latency. Our experimental results show SAFENet obtains the state-of-the-art inference latency and performance, reducing latency by \$38\% \sim 61\%\$ or improving accuracy by \$1.8\% \sim 4\%\$ over prior techniques on various encrypted datasets.

Learning Manifold Patch-Based Representations of Man-Made Shapes Dmitriy Smirnov, Mikhail Bessmeltsev, Justin Solomon

Choosing the right representation for geometry is crucial for making 3D models c ompatible with existing applications. Focusing on piecewise-smooth man-made shap es, we propose a new representation that is usable in conventional CAD modeling pipelines and can also be learned by deep neural networks. We demonstrate its be nefits by applying it to the task of sketch-based modeling. Given a raster image, our system infers a set of parametric surfaces that realize the input in 3D. To capture piecewise smooth geometry, we learn a special shape representation: a deformable parametric template composed of Coons patches. Naively training such a system, however, is hampered by non-manifold artifacts in the parametric shapes and by a lack of data. To address this, we introduce loss functions that bias the network to output non-self-intersecting shapes and implement them as part of a fully self-supervised system, automatically generating both shape templates a nd synthetic training data. We develop a testbed for sketch-based modeling, demonstrate shape interpolation, and provide comparison to related work.

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Universal approximation power of deep residual neural networks via nonlinear con trol theory

Paulo Tabuada, Bahman Gharesifard

In this paper, we explain the universal approximation capabilities of deep residual neural networks through geometric nonlinear control. Inspired by recent work establishing links between residual networks and control systems, we provide a general sufficient condition for a residual network to have the power of universal approximation by asking the activation function, or one of its derivatives, to satisfy a quadratic differential equation. Many activation functions used in practice satisfy this assumption, exactly or approximately, and we show this property to be sufficient for an adequately deep neural network with \$n+1\$ neurons per

layer to approximate arbitrarily well, on a compact set and with respect to the supremum norm, any continuous function from  $\mathbf{R}^n$  to  $\mathbf{R}^n$ . We further show this result to hold for very simple architectures for which the we

ights only need to assume two values. The first key technical contribution consists of relating the universal approximation problem to controllability of an ensemble of control systems corresponding to a residual network and to leverage classical Lie algebraic techniques to characterize controllability. The second technical contribution is to identify monotonicity as the bridge between controllability of finite ensembles and uniform approximability on compact sets.

Dataset Curation Beyond Accuracy

Johan Bjorck, Carla P Gomes

Neural networks are known to be data-hungry, and collecting large labeled datase ts is often a crucial step in deep learning deployment. Researchers have studied dataset aspects such as distributional shift and labeling cost, primarily using downstream prediction accuracy for evaluation. In sensitive real-world applicat ions such as medicine and self-driving cars, not only is the accuracy important, but also the calibration -- the extent that model uncertainty reflects the actu al correctness likelihood. It has recently been shown that modern neural network s are ill-calibrated. In this work, we take a complementary approach -- studying how dataset properties, rather than architecture, affects calibration. For the common issue of dataset imbalance, we show that calibration varies significantly among classes, even when common strategies to mitigate class imbalance are empl oyed. We also study the effects of label quality, showing how label noise dramat ically increases calibration error. Furthermore, poor calibration can come from small dataset sizes, which we motive via results on network expressivity. Our ex periments demonstrate that dataset properties can significantly affect calibrati on and suggest that calibration should be measured during dataset curation.

PareCO: Pareto-aware Channel Optimization for Slimmable Neural Networks Rudy Chin, Ari S. Morcos, Diana Marculescu

Slimmable neural networks provide a flexible trade-off front between prediction error and computational cost (such as the number of floating-point operations or FLOPs) with the same storage cost as a single model. They have been proposed re cently for resource-constrained settings such as mobile devices. However, curren t slimmable neural networks use a single width-multiplier for all the layers to arrive at sub-networks with different performance profiles, which neglects that different layers affect the network's prediction accuracy differently and have d ifferent FLOP requirements. Hence, developing a principled approach for decidin g width-multipliers across different layers could potentially improve the perfor mance of slimmable networks. To allow for heterogeneous width-multipliers across different layers, we formulate the problem of optimizing slimmable networks fro m a multi-objective optimization lens, which leads to a novel algorithm for opti mizing both the shared weights and the width-multipliers for the sub-networks. W e perform extensive empirical analysis with 15 network and dataset combinations and two types of cost objectives, i.e., FLOPs and memory footprint, to demonstra te the effectiveness of the proposed method compared to existing alternatives. Q uantitatively, improvements up to 1.7% and 8% in top-1 accuracy on the ImageNet dataset can be attained for MobileNetV2 considering FLOPs and memory footprint, respectively. Our results highlight the potential of optimizing the channel coun ts for different layers jointly with the weights for slimmable networks.

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Improving Local Effectiveness for Global Robustness Training JINGYUE LU, M. Pawan Kumar

Despite its increasing popularity, deep neural networks are easily fooled. To al leviate this deficiency, researchers are actively developing new training strate gies, which encourage models that are robust to small input perturbations. Sever al successful robust training methods have been proposed. However, many of them rely on strong adversaries, which can be prohibitively expensive to generate when the input dimension is high and the model structure is complicated. We adopt a new perspective on robustness and propose a novel training algorithm that allow so a more effective use of adversaries. Our method improves the model robustness at each local ball centered around an adversary and then, by combining these loc

al balls through a global term, achieves overall robustness. We demonstrate that , by maximizing the use of adversaries via focusing on local balls, we achieve h igh robust accuracy with weak adversaries. Specifically, our method reaches a si milar robust accuracy level to the state of the art approaches trained on strong adversaries on MNIST, CIFAR-10 and CIFAR-100. As a result, the overall training time is reduced. Furthermore, when trained with strong adversaries, our method matches with the current state of the art on MNIST and outperforms them on CIFAR-10 and CIFAR-100.

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On the Reproducibility of Neural Network Predictions

Srinadh Bhojanapalli, Kimberly Jenney Wilber, Andreas Veit, Ankit Singh Rawat, Seung yeon Kim, Aditya Krishna Menon, Sanjiv Kumar

Standard training techniques for neural networks involve multiple sources of ran domness, e.g., initialization, mini-batch ordering and in some cases data augmen tation. Given that neural networks are heavily over-parameterized in practice, s uch randomness can cause {\em churn} -- disagreements between predictions of the two models independently trained by the same algorithm, contributing to the `re producibility challenges' in modern machine learning. In this paper, we study th is problem of churn, identify factors that cause it, and propose two simple mean s of mitigating it. We first demonstrate that churn is indeed an issue, even for standard image classification tasks (CIFAR and ImageNet), and study the role of the different sources of training randomness that cause churn. By analyzing the relationship between churn and prediction confidences, we pursue an approach wi th two components for churn reduction. First, we propose using \emph{minimum ent ropy regularizers} to increase prediction confidences. Second, we present a nov el variant of co-distillation approach~\citep{anil2018large} to increase model a greement and reduce churn. We present empirical results showing the effectivenes s of both techniques in reducing churn while improving the accuracy of the under lying model.

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Are all negatives created equal in contrastive instance discrimination? Tiffany Cai, Jonathan Frankle, David J. Schwab, Ari S. Morcos

Self-supervised learning has recently begun to rival supervised learning on comp uter vision tasks. Many of the recent approaches have been based on contrastive instance discrimination (CID), in which the network is trained to recognize two augmented versions of the same instance (a query and positive while discriminati ng against a pool of other instances (negatives). Using MoCo v2 as our testbed, we divided negatives by their difficulty for a given query and studied which dif ficulty ranges were most important for learning useful representations. We found that a small minority of negatives--just the hardest 5%--were both necessary an d sufficient for the downstream task to reach full accuracy. Conversely, the eas iest 95% of negatives were unnecessary and insufficient. Moreover, we found that the very hardest 0.1% of negatives were not only unnecessary but also detriment al. Finally, we studied the properties of negatives that affect their hardness, and found that hard negatives were more semantically similar to the query, and t hat some negatives were more consistently easy or hard than we would expect by c hance. Together, our results indicate that negatives play heterogeneous roles an d CID may benefit from more intelligent negative treatment.

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NAHAS: Neural Architecture and Hardware Accelerator Search

Yanqi Zhou, Xuanyi Dong, Daiyi Peng, Ethan Zhu, Amir Yazdanbakhsh, Berkin Akin, Mingxi ng Tan, James Laudon

Neural architectures and hardware accelerators have been two driving forces for the rapid progress in deep learning.

Although previous works have optimized either neural architectures given fixed h ardware, or hardware given fixed neural architectures, none has considered optim izing them jointly. In this paper, we study the importance of co-designing neural architectures and hardware accelerators. To this end, we propose NAHAS, an automated hardware design paradigm that jointly searches for the best configuration for both neural architecture and accelerator. In NAHAS, accelerator hardware design paradigm that propose the search accelerator ac

sign is conditioned on the dynamically explored neural networks for the targeted application, instead of fixed architectures, thus providing better performance opportunities. Our experiments with an industry-standard edge accelerator show t hat NAHAS consistently outperforms previous platform-aware neural architecture s earch and state-of-the-art EfficientNet on all latency targets by 0.5% - 1% Imag eNet top-1 accuracy, while reducing latency by about 20%. Joint optimization red uces the search samples by 2x and reduces the latency constraint violations from 3 violations to 1 violation per 4 searches, compared to independently optimizing the two sub spaces.

SyncTwin: Transparent Treatment Effect Estimation under Temporal Confounding Zhaozhi Qian, Yao Zhang, Ioana Bica, Angela Wood, Mihaela van der Schaar Estimating causal treatment effects using observational data is a problem with f  $\ensuremath{\text{ew}}$  solutions when the confounder has a temporal structure, e.g. the history of  $\ensuremath{\text{d}}$ isease progression might impact both treatment decisions and clinical outcomes. For such a challenging problem, it is desirable for the method to be transparent --- the ability to pinpoint a small subset of data points that contributes most to the estimate and to clearly indicate whether the estimate is reliable or not . This paper develops a new method, SyncTwin, to overcome temporal confounding i n a transparent way. SyncTwin estimates the treatment effect of a target individ ual by comparing the outcome with its synthetic twin, which is constructed to cl osely match the target in the representation of the temporal confounders. SyncTw in achieves transparency by enforcing the synthetic twin to only depend on the w eighted combination of few other individuals in the dataset. Moreover, the quali ty of the synthetic twin can be assessed by a performance metric, which also ind icates the reliability of the estimated treatment effect. Experiments demonstrat e that SyncTwin outperforms the benchmarks in clinical observational studies whi le still being transparent.

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Linking average- and worst-case perturbation robustness via class selectivity an d dimensionality

Matthew L Leavitt, Ari S. Morcos

Representational sparsity is known to affect robustness to input perturbations i n deep neural networks (DNNs), but less is known about how the semantic content of representations affects robustness. Class selectivity-the variability of a un it's responses across data classes or dimensions—is one way of quantifying the s parsity of semantic representations. Given recent evidence that class selectivit y may not be necessary for, and in some cases can impair generalization, we soug ht to investigate whether it also confers robustness (or vulnerability) to pertu rbations of input data. We found that class selectivity leads to increased vulne rability to average-case (naturalistic) perturbations in ResNet18, ResNet50, and ResNet20, as measured using Tiny ImageNetC (ResNet18 and ResNet50) and CIFAR10C (ResNet20). Networks regularized to have lower levels of class selectivity are more robust to average-case perturbations, while networks with higher class sele ctivity are more vulnerable. In contrast, we found that class selectivity increa ses robustness to multiple types of worst-case (i.e. white box adversarial) pert urbations, suggesting that while decreasing class selectivity is helpful for ave rage-case perturbations, it is harmful for worst-case perturbations. To explain this difference, we studied the dimensionality of the networks' representations: we found that the dimensionality of early-layer representations is inversely pr oportional to a network's class selectivity, and that adversarial samples cause a larger increase in early-layer dimensionality than corrupted samples. We also found that the input-unit gradient was more variable across samples and units in high-selectivity networks compared to low-selectivity networks. These results 1 ead to the conclusion that units participate more consistently in low-selectivit y regimes compared to high-selectivity regimes, effectively creating a larger at tack surface and hence vulnerability to worst-case perturbations.

Parametric UMAP: learning embeddings with deep neural networks for representatio n and semi-supervised learning

Tim Sainburg, Leland McInnes, Timothy Q Gentner

We propose Parametric UMAP, a parametric variation of the UMAP (Uniform Manifol d Approximation and Projection) algorithm. UMAP is a non-parametric graph-based dimensionality reduction algorithm using applied Riemannian geometry and algebra ic topology to find low-dimensional embeddings of structured data. The UMAP algorithm consists of two steps: (1) Compute a graphical representation of a dataset (fuzzy simplicial complex), and (2) Through stochastic gradient descent, optimize a low-dimensional embedding of the graph. Here, we replace the second step of UMAP with a deep neural network that learns a parametric relationship between data and embedding. We demonstrate that our method performs similarly to its non-parametric counterpart while conferring the benefit of a learned parametric mapping (e.g. fast online embeddings for new data). We then show that UMAP loss can be extended to arbitrary deep learning applications, for example constraining the latent distribution of autoencoders, and improving classifier accuracy for sem i-supervised learning by capturing structure in unlabeled data.

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Second-Moment Loss: A Novel Regression Objective for Improved Uncertainties Joachim Sicking, Maram Akila, Maximilian Alexander Pintz, Tim Wirtz, Asja Fischer, Stefan Wrobel

Quantification of uncertainty is one of the most promising approaches to establ ish safe machine learning. Despite its importance, it is far from being generall y solved, especially for neural networks. One of the most commonly used approach es so far is Monte Carlo dropout, which is computationally cheap and easy to app ly in practice. However, it can underestimate the uncertainty. We propose a new objective, referred to as second-moment loss (SML), to address this issue. While the full network is encouraged to model the mean, the dropout networks are expl icitly used to optimize the model variance. We analyze the performance of the ne w objective on various toy and UCI regression datasets. Comparing to the state-o f-the-art of deep ensembles, SML leads to comparable prediction accuracies and u ncertainty estimates while only requiring a single model. Under distribution shi ft, we observe moderate improvements. From a safety perspective also the study o f worst-case uncertainties is crucial. In this regard we improve considerably. F inally, we show that SML can be successfully applied to SqueezeDet, a modern obj ect detection network. We improve on its uncertainty-related scores while not de teriorating regression quality. As a side result, we introduce an intuitive Wass erstein distance-based uncertainty measure that is non-saturating and thus allow s to resolve quality differences between any two uncertainty estimates.

Adversarial Boot Camp: label free certified robustness in one epoch Ryan Campbell, Chris Finlay, Adam M Oberman

Machine learning models are vulnerable to adversarial attacks. One approach to addressing this vulnerability is certification, which focuses on models that are guaranteed to be robust for a given perturbation size. A drawback of recent ce rtified models is that they are stochastic: they require multiple computationall y expensive model evaluations with random noise added to a given image. In our w ork, we present a deterministic certification approach which results in a certifiably robust model. This approach is based on an equivalence between training with a particular regularized loss, and the expected values of Gaussian averages. We achieve certified models on ImageNet-1k by retraining a model with this loss for one epoch without the use of label information.

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Learning Neural Event Functions for Ordinary Differential Equations Ricky T. Q. Chen, Brandon Amos, Maximilian Nickel

The existing Neural ODE formulation relies on an explicit knowledge of the termination time. We extend Neural ODEs to implicitly defined termination criteria modeled by neural event functions, which can be chained together and differentiate d through. Neural Event ODEs are capable of modeling discrete and instantaneous changes in a continuous-time system, without prior knowledge of when these changes should occur or how many such changes should exist. We test our approach in modeling hybrid discrete- and continuous- systems such as switching dynamical sys

tems and collision in multi-body systems, and we propose simulation-based training of point processes with applications in discrete control.

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Neural Spatio-Temporal Point Processes

Ricky T. Q. Chen, Brandon Amos, Maximilian Nickel

We propose a new class of parameterizations for spatio-temporal point processes which leverage Neural ODEs as a computational method and enable flexible, high-fidelity models of discrete events that are localized in continuous time and space. Central to our approach is a combination of continuous-time neural networks with two novel neural architectures, \ie, Jump and Attentive Continuous-time Normalizing Flows. This approach allows us to learn complex distributions for both the spatial and temporal domain and to condition non-trivially on the observed event history. We validate our models on data sets from a wide variety of contexts such as seismology, epidemiology, urban mobility, and neuroscience.

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Proximal Gradient Descent-Ascent: Variable Convergence under K■ Geometry Ziyi Chen, Yi Zhou, Tengyu Xu, Yingbin Liang

The gradient descent-ascent (GDA) algorithm has been widely applied to solve min imax optimization problems. In order to achieve convergent policy parameters for minimax optimization, it is important that GDA generates convergent variable se quences rather than convergent sequences of function value or gradient norm. How ever, the variable convergence of GDA has been proved only under convexity geome tries, and it is lack of understanding in general nonconvex minimax optimization . This paper fills such a gap by studying the convergence of a more general prox imal-GDA for regularized nonconvex-strongly-concave minimax optimization. Specif ically, we show that proximal-GDA admits a novel Lyapunov function, which monoto nically decreases in the minimax optimization process and drives the variable se quences to a critical point. By leveraging this Lyapunov function and the KL geo metry that parameterizes the local geometries of general nonconvex functions, we formally establish the variable convergence of proximal-GDA to a certain critic al point  $x^*$ , i.e.,  $x_t \to x^*$ ,  $y_t \to y^*(x^*)$ . Furthermore, over the full spectrum of the KL-parameterized geometry, we show that proximal-GDA achieves di fferent types of convergence rates ranging from sublinear convergence up to fini te-step convergence, depending on the geometry associated with the KL parameter. This is the first theoretical result on the variable convergence for nonconvex minimax optimization.

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Adaptive Universal Generalized PageRank Graph Neural Network Eli Chien, Jianhao Peng, Pan Li, Olgica Milenkovic

In many important graph data processing applications the acquired information in cludes both node features and observations of the graph topology. Graph neural n etworks (GNNs) are designed to exploit both sources of evidence but they do not optimally trade-off their utility and integrate them in a manner that is also un iversal. Here, universality refers to independence on homophily or heterophily g raph assumptions. We address these issues by introducing a new Generalized PageR ank (GPR) GNN architecture that adaptively learns the GPR weights so as to joint ly optimize node feature and topological information extraction, regardless of t he extent to which the node labels are homophilic or heterophilic. Learned GPR w eights automatically adjust to the node label pattern, irrelevant on the type of initialization, and thereby guarantee excellent learning performance for label patterns that are usually hard to handle. Furthermore, they allow one to avoid f eature over-smoothing, a process which renders feature information nondiscrimina tive, without requiring the network to be shallow. Our accompanying theoretical analysis of the GPR-GNN method is facilitated by novel synthetic benchmark datas ets generated by the so-called contextual stochastic block model. We also compar e the performance of our GNN architecture with that of several state-of-the-art GNNs on the problem of node-classification, using well-known benchmark homophili c and heterophilic datasets. The results demonstrate that GPR-GNN offers signifi cant performance improvement compared to existing techniques on both synthetic a nd benchmark data.

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Open Question Answering over Tables and Text

Wenhu Chen, Ming-Wei Chang, Eva Schlinger, William Yang Wang, William W. Cohen In open question answering (QA), the answer to a question is produced by retriev ing and then analyzing documents that might contain answers to the question. st open QA systems have considered only retrieving information from unstructured text. Here we consider for the first time open QA over {\em both} tabular and textual data and present a new large-scale dataset \emph{Open Table-and-Text Que stion Answering (OTT-QA) to evaluate performance on this task. Most questions i n OTT-QA require multi-hop inference across tabular data and unstructured text, and the evidence required to answer a question can be distributed in different w ays over these two types of input, making evidence retrieval challenging --- our b aseline model using an iterative retriever and BERT-based reader achieves an exa ct match score less than 10\%. We then propose two novel techniques to address t he challenge of retrieving and aggregating evidence for OTT-QA. The first techni que is to use ``early fusion'' to group multiple highly relevant tabular and tex tual units into a fused block, which provides more context for the retriever to search for. The second technique is to use a cross-block reader to model the cr oss-dependency between multiple retrieved evidence with global-local sparse atte ntion. Combining these two techniques improves the score significantly, to above 27\%.

Deep Goal-Oriented Clustering

Yifeng Shi, Christopher M Bender, Linnea Olsson, Melissa Troester, Katherine A Hoadley, Junier Oliva, Marc Niethammer

Clustering and prediction are two primary tasks in the fields of unsupervised an d supervised learning, respectively. Although much of the recent advances in mac hine learning have been centered around those two tasks, the interdependent, mut ually beneficial relationship between them is rarely explored. One could reasona bly expect appropriately clustering the data would aid the downstream prediction task and, conversely, a better prediction performance for the downstream task c ould potentially inform a more appropriate clustering strategy. In this work, we focus on the latter part of this mutually beneficial relationship. To this end, we introduce Deep Goal-Oriented Clustering (DGC), a probabilistic framework that clusters the data by jointly using supervision via side-information and unsupervised modeling of the inherent data structure in an end-to-end fashion. We show the effectiveness of our model on a range of datasets by achieving prediction a ccuracies comparable to the state-of-the-art, while, more importantly in our set ting, simultaneously learning congruent clustering strategies.

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Certified Watermarks for Neural Networks

Arpit Amit Bansal, Ping-yeh Chiang, Michael Curry, Hossein Souri, Rama Chellappa, John P Dickerson, Rajiv Jain, Tom Goldstein

Watermarking is a commonly used strategy to protect creators' rights to digital images, videos and audio. Recently, watermarking methods have been extended to d eep learning models -- in principle, the watermark should be preserved when an a dversary tries to copy the model. However, in practice, watermarks can often be removed by an intelligent adversary. Several papers have proposed watermarking m ethods that claim to be empirically resistant to different types of removal attacks, but these new techniques often fail in the face of new or better-tuned adversaries. In this paper, we propose the first certifiable watermarking method. Us ing the randomized smoothing technique proposed in Chiang et al., we show that our watermark is guaranteed to be unremovable unless the model parameters are changed by more than a certain \$\ell\_2\$ threshold. In addition to being certifiable, our watermark is also empirically more robust compared to previous watermarking methods.

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Analysing the Update step in Graph Neural Networks via Sparsification changmin wu, Johannes F. Lutzeyer, Michalis Vazirgiannis

In recent years, Message-Passing Neural Networks (MPNNs), the most prominent Gra

ph Neural Network (GNN) framework, have celebrated much success in the analysis of graph-structured data. In MPNNs the computations are split into three steps, Aggregation, Update and Readout. In this paper a series of models to successivel y sparsify the linear transform in the Update step is proposed. Specifically, th e ExpanderGNN model with a tuneable sparsification rate and the Activation-Only GNN, which has no linear transform in the Update step, are proposed. In agreemen t with a growing trend in the relevant literature the sparsification paradigm is changed by initialising sparse neural network architectures rather than expensi vely sparsifying already trained architectures. These novel benchmark models ena ble a better understanding of the influence of the Update step on model performa nce and outperform existing simplified benchmark models such as the Simple Graph Convolution (SGC). The ExpanderGNNs, and in some cases the Activation-Only mode ls, achieve performance on par with their vanilla counterparts on several down-s tream graph prediction tasks, while containing exponentially fewer trainable par ameters. In experiments with matching parameter numbers our benchmark models out perform the state-of-the-art GNNs models. These observations enable us to conclu de that in practice the Update step often makes no positive contribution to the model performance.

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Rethinking Attention with Performers

Krzysztof Marcin Choromanski, Valerii Likhosherstov, David Dohan, Xingyou Song, Andr eea Gane, Tamas Sarlos, Peter Hawkins, Jared Quincy Davis, Afroz Mohiuddin, Lukasz Kaiser, David Benjamin Belanger, Lucy J Colwell, Adrian Weller

We introduce Performers, Transformer architectures which can estimate regular (s oftmax) full-rank-attention Transformers with provable accuracy, but using only linear (as opposed to quadratic) space and time complexity, without relying on a ny priors such as sparsity or low-rankness. To approximate softmax attention-ker nels, Performers use a novel Fast Attention Via positive Orthogonal Random featu res approach (FAVOR+), which may be of independent interest for scalable kernel methods. FAVOR+ can also be used to efficiently model kernelizable attention mec hanisms beyond softmax. This representational power is crucial to accurately com pare softmax with other kernels for the first time on large-scale tasks, beyond the reach of regular Transformers, and investigate optimal attention-kernels. Pe rformers are linear architectures fully compatible with regular Transformers and with strong theoretical guarantees: unbiased or nearly-unbiased estimation of t he attention matrix, uniform convergence and low estimation variance. We tested Performers on a rich set of tasks stretching from pixel-prediction through text models to protein sequence modeling. We demonstrate competitive results with ot her examined efficient sparse and dense attention methods, showcasing effectiven ess of the novel attention-learning paradigm leveraged by Performers.

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Text Generation by Learning from Demonstrations Richard Yuanzhe Pang, He He

Current approaches to text generation largely rely on autoregressive models and maximum likelihood estimation. This paradigm leads to (i) diverse but low-qualit y samples due to mismatched learning objective and evaluation metric (likelihood vs. quality) and (ii) exposure bias due to mismatched history distributions (go ld vs. model-generated). To alleviate these problems, we frame text generation a s an offline reinforcement learning (RL) problem with expert demonstrations (i.e ., the reference), where the goal is to maximize quality given model-generated h istories. We propose GOLD (generation by off-policy learning from demonstrations ): an easy-to-optimize algorithm that learns from the demonstrations by importan ce weighting. Intuitively, GOLD upweights confident tokens and downweights uncon fident ones in the reference during training, avoiding optimization issues faced by prior RL approaches that rely on online data collection. According to both a utomatic and human evaluation, models trained by GOLD outperform those trained b y MLE and policy gradient on summarization, question generation, and machine tra nslation. Further, our models are less sensitive to decoding algorithms and alle viate exposure bias.

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On the Effectiveness of Weight-Encoded Neural Implicit 3D Shapes Thomas Ryan Davies, Derek Nowrouzezahrai, Alec Jacobson

A neural implicit outputs a number indicating whether the given query point in s pace is inside, outside, or on a surface. Many prior works have focused on \_late nt-encoded\_ neural implicits, where a latent vector encoding of a specific shape is also fed as input. While affording latent-space interpolation, this comes at the cost of reconstruction accuracy for any \_single\_ shape. Training a specifi c network for each 3D shape, a \_weight-encoded\_ neural implicit may forgo the la tent vector and focus reconstruction accuracy on the details of a single shape. While previously considered as an intermediary representation for 3D scanning ta sks or as a toy-problem leading up to latent-encoding tasks, weight-encoded neur al implicits have not yet been taken seriously as a 3D shape representation. In this paper, we establish that weight-encoded neural implicits meet the criteria of a first-class 3D shape representation. We introduce a suite of technical cont ributions to improve reconstruction accuracy, convergence, and robustness when 1 earning the signed distance field induced by a polygonal mesh --- the \_de facto\_ standard representation. Viewed as a lossy compression, our conversion outperfo rms standard techniques from geometry processing. Compared to previous latent- a nd weight-encoded neural implicits we demonstrate superior robustness, scalabili ty, and performance.

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FORK: A FORward-looking Actor for Model-Free Reinforcement Learning Honghao Wei, Lei Ying

In this paper, we propose a new type of Actor, named forward-looking Actor or FO RK for short, for Actor-Critic algorithms. FORK can be easily integrated into a model-free Actor-Critic algorithm. Our experiments on six Box2D and MuJoCo envir onments with continuous state and action spaces demonstrate significant performa nce improvement FORK can bring to the state-of-the-art algorithms. A variation of FORK can further solve BipedalWalkerHardcore in as few as four hours using a single GPU.

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Offline Adaptive Policy Leaning in Real-World Sequential Recommendation Systems Xiong-Hui Chen, Yang Yu, Qingyang Li, Zhiwei Tony Qin, Wenjie Shang, Yiping Meng, Jiep ing Ye

The training process of RL requires many trial-and-errors that are costly in rea 1-world applications. To avoid the cost, a promising solution is to learn the po licy from an offline dataset, e.g., to learn a simulator from the dataset, and t rain optimal policies in the simulator. By this approach, the quality of policie s highly relies on the fidelity of the simulator. Unfortunately, due to the stoc hasticity and unsteadiness of the real-world and the unavailability of online sa mpling, the distortion of the simulator is inevitable. In this paper, based on t he model learning technique, we propose a new paradigm to learn an RL policy fro m offline data in the real-world sequential recommendation system (SRS). Instead of increasing the fidelity of models for policy learning, we handle the distort ion issue via learning to adapt to diverse simulators generated by the offline d ataset. The adaptive policy is suitable to real-world environments where dynamic s are changing and have stochasticity in the offline setting. Experiments are co nducted in synthetic environments and a real-world ride-hailing platform. The re sults show that the method overcomes the distortion problem and produces robust recommendations in the unseen real-world.

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The Unbalanced Gromov Wasserstein Distance: Conic Formulation and Relaxation Thibault Sejourne, François-Xavier Vialard, Gabriel Peyré

Comparing metric measure spaces (i.e. a metric space endowed with a probability distribution) is at the heart of many machine learning problems. This includes f or instance predicting properties of molecules in quantum chemistry or generating graphs with varying connectivity. The most popular distance between such metric measure spaces is the Gromov-Wasserstein (GW) distance, which is the solution of a quadratic assignment problem. This distance has been successfully applied to supervised learning and generative modeling, for applications as diverse as qu

antum chemistry or natural language processing. The GW distance is however limit ed to the comparison of metric measure spaces endowed with a probability distrib ution. This strong limitation is problematic for many applications in ML where t here is no a priori natural normalization on the total mass of the data. Further more, imposing an exact conservation of mass across spaces is not robust to outl iers and often leads to irregular matching. To alleviate these issues, we introd uce two Unbalanced Gromov-Wasserstein formulations: a distance and a more tracta ble upper-bounding relaxation. They both allow the comparison of metric spaces e quipped with arbitrary positive measures up to isometries. The first formulation is a positive and definite divergence based on a relaxation of the mass conserv ation constraint using a novel type of quadratically-homogeneous divergence. This divergence works hand in hand with the entropic regularization approach which i s popular to solve large scale optimal transport problems. We show that the unde rlying non-convex optimization problem can be efficiently tackled using a highly parallelizable and GPU-friendly iterative scheme. The second formulation is a d istance between mm-spaces up to isometries based on a conic lifting. Lastly, we provide numerical simulations to highlight the salient features of the unbalance d divergence and its potential applications in ML.

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Fast Partial Fourier Transform

Yong-chan Park, Jun-Gi Jang, U Kang

Given a time-series vector, how can we efficiently compute a specified part of F ourier coefficients? Fast Fourier transform (FFT) is a widely used algorithm that computes the discrete Fourier transform in many machine learning applications. Despite the pervasive use, FFT algorithms do not provide a fine-tuning option f or the user to specify one's demand, that is, the output size (the number of Fourier coefficients to be computed) is algorithmically determined by the input size. Such a lack of flexibility is often followed by just discarding the unused coefficients because many applications do not require the whole spectrum of the frequency domain, resulting in an inefficiency due to the extra computation.

In this paper, we propose a fast Partial Fourier Transform (PFT), an efficient a lgorithm for computing only a part of Fourier coefficients. PFT approximates a p art of twiddle factors (trigonometric constants) using polynomials, thereby reducing the computational complexity due to the mixture of many twiddle factors. We derive the asymptotic time complexity of PFT with respect to input and output s izes, as well as its numerical accuracy. Experimental results show that PFT outperforms the current state-of-the-art algorithms, with an order of magnitude of s peedup for sufficiently small output sizes without sacrificing accuracy.

Support-set bottlenecks for video-text representation learning
Mandela Patrick, Po-Yao Huang, Yuki Asano, Florian Metze, Alexander G Hauptmann, Joao

F. Henriques, Andrea Vedaldi

The dominant paradigm for learning video-text representations - noise contrastive learning - increases the similarity of the representations of pairs of samples that are known to be related, such as text and video from the same sample, and pushes away the representations of all other pairs. We posit that this last behaviour is too strict, enforcing dissimilar representations even for samples that are semantically-related - for example, visually similar videos or ones that share the same depicted action. In this paper, we propose a novel method that alleviates this by leveraging a generative model to naturally push these related samples together: each sample's caption must be reconstructed as a weighted combination of a support set of visual representations. This simple idea ensures that representations are not overly-specialized to individual samples, are reusable across the dataset, and results in representations that explicitly encode semantics shared between samples, unlike noise contrastive learning. Our proposed method outperforms others by a large margin on MSR-VTT, VATEX, ActivityNet, and MSVD for video-to-text and text-to-video retrieval.

Multi-modal Self-Supervision from Generalized Data Transformations Mandela Patrick, Yuki Asano, Polina Kuznetsova, Ruth Fong, Joao F. Henriques, Geoffre

## y Zweig, Andrea Vedaldi

In the image domain, excellent representation can be learned by inducing invaria nce to content-preserving transformations, such as image distortions. In this pa per, we show that, for videos, the answer is more complex, and that better resul ts can be obtained by accounting for the interplay between invariance, distincti veness, multiple modalities and time. We introduce Generalized Data Transformat ions (GDTs) as a way to capture this interplay. GDTs reduce most previous self-s upervised approaches to a choice of data transformations, even when this was not the case in the original formulations. They also allow to choose whether the re presentation should be invariant or distinctive w.r.t. each effect and tell whic h combinations are valid, thus allowing us to explore the space of combinations systematically. We show in this manner that being invariant to certain transform ations and distinctive to others is critical to learning effective video represe ntations, improving the state-of-the-art by a large margin, and even surpassing supervised pretraining. We demonstrate results on a variety of downstream video and audio classification and retrieval tasks, on datasets such as HMDB-51, UCF-1 01, DCASE2014, ESC-50 and VGG-Sound. In particular, we achieve new state-of-theart accuracies of 72.8% on HMDB-51 and 95.2% on UCF-101.

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Tilted Empirical Risk Minimization

Tian Li, Ahmad Beirami, Maziar Sanjabi, Virginia Smith

Empirical risk minimization (ERM) is typically designed to perform well on the a verage loss, which can result in estimators that are sensitive to outliers, gene ralize poorly, or treat subgroups unfairly. While many methods aim to address th ese problems individually, in this work, we explore them through a unified frame work---tilted empirical risk minimization (TERM). In particular, we show that it is possible to flexibly tune the impact of individual losses through a straight forward extension to ERM using a hyperparameter called the tilt. We provide seve ral interpretations of the resulting framework: We show that TERM can increase o r decrease the influence of outliers, respectively, to enable fairness or robust ness; has variance-reduction properties that can benefit generalization; and can be viewed as a smooth approximation to a superquantile method. We develop batch and stochastic first-order optimization methods for solving TERM, and show that the problem can be efficiently solved relative to common alternatives. Finally, we demonstrate that TERM can be used for a multitude of applications, such as e nforcing fairness between subgroups, mitigating the effect of outliers, and hand ling class imbalance. TERM is not only competitive with existing solutions tailo red to these individual problems, but can also enable entirely new applications, such as simultaneously addressing outliers and promoting fairness.

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Uncertainty-Based Adaptive Learning for Reading Comprehension Jing Wang, Jie Shen, Xiaofei Ma, Andrew Arnold

Recent years have witnessed a surge of successful applications of machine readin g comprehension. Of central importance to the tasks is the availability of massi ve amount of labeled data, which facilitates the training of large-scale neural networks. However, in many real-world problems, annotated data are expensive to gather not only because of time cost and budget, but also of certain domain-spec ific restrictions such as privacy for healthcare data. In this regard, we propos e an uncertainty-based adaptive learning algorithm for reading comprehension, wh ich interleaves data annotation and model updating to mitigate the demand of lab eling. Our key techniques are two-fold: 1) an unsupervised uncertainty-based sam pling scheme that queries the labels of the most informative instances with resp ect to the currently learned model; and 2) an adaptive loss minimization paradig m that simultaneously fits the data and controls the degree of model updating. W e demonstrate on the benchmark datasets that 25\% less labeled samples suffice t o guarantee similar, or even improved performance. Our results demonstrate a str ong evidence that for label-demanding scenarios, the proposed approach offers a practical guide on data collection and model training.

Graph Pooling by Edge Cut

Alexis Galland, marc lelarge

Graph neural networks (GNNs) are very efficient at solving several tasks in grap hs such as node classification or graph classification. They come from an adapta tion of convolutional neural networks on images to graph structured data. These models are very effective at finding patterns in images that can discriminate im ages from each others. Another aspect leading to their success is their ability to uncover hierarchical structures. This comes from the pooling operation that p roduces different versions of the input image at different scales. The same way, we want to identify patterns at different scales in graphs in order to improve the classification accuracy. Compared to the case of images, it is not trivial t o develop a pooling layer on graphs. This is mainly due to the fact that in grap hs nodes are not ordered and have irregular neighborhoods. To aleviate this issu e, we propose a pooling layer based on edge cuts in graphs. This pooling layer w orks by computing edge scores that correspond to the importance of edges in the process of information propagation of the GNN. Moreover, we define a regularizat ion function that aims at producing edge scores that minimize the minCUT problem . Finally, through extensive experiments we show that this architecture can comp ete with state-of- the-art methods.

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Explainable Subgraph Reasoning for Forecasting on Temporal Knowledge Graphs Zhen Han, Peng Chen, Yunpu Ma, Volker Tresp

Modeling time-evolving knowledge graphs (KGs) has recently gained increasing int erest. Here, graph representation learning has become the dominant paradigm for link prediction on temporal KGs. However, the embedding-based approaches largely operate in a black-box fashion, lacking the ability to interpret their predicti ons. This paper provides a link forecasting framework that reasons over query-re levant subgraphs of temporal KGs and jointly models the structural dependencies and the temporal dynamics. Especially, we propose a temporal relational attentio n mechanism and a novel reverse representation update scheme to guide the extrac tion of an enclosing subgraph around the query. The subgraph is expanded by an i terative sampling of temporal neighbors and by attention propagation. Our approa ch provides human-understandable evidence explaining the forecast. We evaluate o ur model on four benchmark temporal knowledge graphs for the link forecasting ta sk. While being more explainable, our model obtains a relative improvement of up to 20 \$\%\$ on Hits@l compared to the previous best temporal KG forecasting meth od. We also conduct a survey with 53 respondents, and the results show that the evidence extracted by the model for link forecasting is aligned with human under

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Grounded Language Learning Fast and Slow

Felix Hill, Olivier Tieleman, Tamara von Glehn, Nathaniel Wong, Hamza Merzic, Stephen Clark

Recent work has shown that large text-based neural language models acquire a sur prising propensity for one-shot learning. Here, we show that an agent situated i n a simulated 3D world, and endowed with a novel dual-coding external memory, ca n exhibit similar one-shot word learning when trained with conventional RL algor ithms. After a single introduction to a novel object via visual perception and 1 anguage ("This is a dax"), the agent can manipulate the object as instructed ("P ut the dax on the bed"), combining short-term, within-episode knowledge of the n onsense word with long-term lexical and motor knowledge. We find that, under cer tain training conditions and with a particular memory writing mechanism, the age nt's one-shot word-object binding generalizes to novel exemplars within the same ShapeNet category, and is effective in settings with unfamiliar numbers of obje cts. We further show how dual-coding memory can be exploited as a signal for int rinsic motivation, stimulating the agent to seek names for objects that may be u seful later. Together, the results demonstrate that deep neural networks can exp loit meta-learning, episodic memory and an explicitly multi-modal environment to account for 'fast-mapping', a fundamental pillar of human cognitive development and a potentially transformative capacity for artificial agents.

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Transferable Recognition-Aware Image Processing

Zhuang Liu, Tinghui Zhou, Hung-Ju Wang, Zhiqiang Shen, Bingyi Kang, Evan Shelhamer, Trevor Darrell

Recent progress in image recognition has stimulated the deployment of vision sys tems at an unprecedented scale. As a result, visual data are now often consumed not only by humans but also by machines. Existing image processing methods only optimize for better human perception, yet the resulting images may not be accura tely recognized by machines. This can be undesirable, e.g., the images can be im properly handled by search engines or recommendation systems. In this work, we p ropose simple approaches to improve machine interpretability of processed images : optimizing the recognition loss directly on the image processing network or th rough an intermediate transforming model. Interestingly, the processing model's ability to enhance recognition quality can transfer when evaluated on models of different architectures, recognized categories, tasks and training datasets. Thi s makes the solutions applicable even when we do not have the knowledge of futur e recognition models, e.g., if we upload processed images to the Internet. We co nduct experiments on multiple image processing tasks, with ImageNet classificati on and PASCAL VOC detection as recognition tasks. With our simple methods, subst antial accuracy gain can be achieved with strong transferability and minimal ima ge quality loss. Through a user study we further show that the accuracy gain can transfer to a black-box, third-party cloud model. Finally, we try to explain th is transferability phenomenon by demonstrating the similarities of different mod els' decision boundaries. Code is available at https://github.com/anonymous20202 020/Transferable RA .

Graph Structural Aggregation for Explainable Learning Alexis Galland, marc lelarge

Graph neural networks have proven to be very efficient to solve several tasks in graphs such as node classification or link prediction. These algorithms that op erate by propagating information from vertices to their neighbors allow one to build node embeddings that contain local information. In order to use graph neural networks for graph classification, node embeddings must be aggregated to obtain a graph representation able to discriminate among different graphs (of possibly various sizes). Moreover, in analogy to neural networks for image classification, there is a need for explainability regarding the features that are selected in the graph classification process. To this end, we introduce StructAgg, a simple yet effective aggregation process based on the identification of structural roles for nodes in graphs that we use to create an end-to-end model. Through extensive experiments we show that this architecture can compete with state-of-the-art methods. We show how this aggregation step allows us to cluster together nodes that have comparable structural roles and how these roles provide explainability to this neural network model.

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LEARNED HARDWARE/SOFTWARE CO-DESIGN OF NEURAL ACCELERATORS Zhan Shi, Chirag Sakhuja, Milad Hashemi, Kevin Swersky, Calvin Lin

The use of deep learning has grown at an exponential rate, giving rise to numero us specialized hardware and software systems for deep learning. Because the desi gn space of deep learning software stacks and hardware accelerators is diverse a nd vast, prior work considers software optimizations separately from hardware ar chitectures, effectively reducing the search space. Unfortunately, this bifurca ted approach means that many profitable design points are never explored. This p aper instead casts the problem as hardware/software co-design, with the goal of automatically identifying desirable points in the joint design space. The key to our solution is a new constrained Bayesian optimization framework that avoids i nvalid solutions by exploiting the highly constrained features of this design space, which are semi-continuous/semi-discrete. We evaluate our optimization frame work by applying it to a variety of neural models, improving the energy-delay product by 18% (ResNet) and 40% (DQN) over hand-tuned state-of-the-art systems, as well as demonstrating strong results on other neural network architectures, suc

h as MLPs and Transformers.

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Bayesian Context Aggregation for Neural Processes

Michael Volpp, Fabian Flürenbrock, Lukas Grossberger, Christian Daniel, Gerhard Neum ann

Formulating scalable probabilistic regression models with reliable uncertainty e stimates has been a long-standing challenge in machine learning research. Recen tly, casting probabilistic regression as a multi-task learning problem in terms of conditional latent variable (CLV) models such as the Neural Process (NP) has shown promising results. In this paper, we focus on context aggregation, a centr al component of such architectures, which fuses information from multiple contex t data points. So far, this aggregation operation has been treated separately from the inference of a latent representation of the target function in CLV models. Our key contribution is to combine these steps into one holistic mechanism by phrasing context aggregation as a Bayesian inference problem. The resulting Baye sian Aggregation (BA) mechanism enables principled handling of task ambiguity, which is key for efficiently processing context information. We demonstrate on a range of challenging experiments that BA consistently improves upon the performance of traditional mean aggregation while remaining computationally efficient and fully compatible with existing NP-based models.

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Conformation-Guided Molecular Representation with Hamiltonian Neural Networks Ziyao Li, Shuwen Yang, Guojie Song, Lingsheng Cai

Well-designed molecular representations (fingerprints) are vital to combine medical chemistry and deep learning. Whereas incorporating 3D geometry of molecules (i.e. conformations) in their representations seems beneficial, current 3D algor ithms are still in infancy. In this paper, we propose a novel molecular representation algorithm which preserves 3D conformations of molecules with a Molecular Hamiltonian Network (HamNet). In HamNet, implicit positions and momentums of atoms in a molecule interact in the Hamiltonian Engine following the discretized Hamiltonian equations. These implicit coordinations are supervised with real conformations with translation- & rotation-invariant losses, and further used as inputs to the Fingerprint Generator, a message-passing neural network. Experiments show that the Hamiltonian Engine can well preserve molecular conformations, and that the fingerprints generated by HamNet achieve state-of-the-art performances on MoleculeNet, a standard molecular machine learning benchmark.

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GAN "Steerability" without optimization

Nurit Spingarn, Ron Banner, Tomer Michaeli

Recent research has shown remarkable success in revealing "steering" directions in the latent spaces of pre-trained GANs. These directions correspond to semanti cally meaningful image transformations (e.g., shift, zoom, color manipulations), and have the same interpretable effect across all categories that the GAN can g enerate. Some methods focus on user-specified transformations, while others disc over transformations in an unsupervised manner. However, all existing techniques rely on an optimization procedure to expose those directions, and offer no cont rol over the degree of allowed interaction between different transformations. In this paper, we show that "steering" trajectories can be computed in closed form directly from the generator's weights without any form of training or optimizat ion. This applies to user-prescribed geometric transformations, as well as to un supervised discovery of more complex effects. Our approach allows determining bo th linear and nonlinear trajectories, and has many advantages over previous meth ods. In particular, we can control whether one transformation is allowed to come on the expense of another (e.g., zoom-in with or without allowing translation t o keep the object centered). Moreover, we can determine the natural end-point of the trajectory, which corresponds to the largest extent to which a transformati on can be applied without incurring degradation. Finally, we show how transferri ng attributes between images can be achieved without optimization, even across d ifferent categories.

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Balancing Robustness and Sensitivity using Feature Contrastive Learning Seungyeon Kim, Daniel Glasner, Srikumar Ramalingam, Cho-Jui Hsieh, Kishore Papineni, Sanjiv Kumar

It is generally believed that robust training of extremely large networks is critical to their success in real-world applications. However, when taken to the extreme, methods that promote robustness can hurt the model's sensitivity to rare or underrepresented patterns. In this paper, we discuss this trade-off between robustness and sensitivity by introducing two notions: contextual feature utility and contextual feature sensitivity. We propose Feature Contrastive Learning (FC L) that encourages the model to be more sensitive to the features that have high er contextual utility. Empirical results demonstrate that models trained with FC L achieve a better balance of robustness and sensitivity, leading to improved ge neralization in the presence of noise.

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Convex Regularization in Monte-Carlo Tree Search

Tuan Quang Dam, Carlo D'Eramo, Jan Peters, Joni Pajarinen

Monte-Carlo planning and Reinforcement Learning (RL) are essential to sequential decision making. The recent AlphaGo and AlphaZero algorithms have shown how to successfully combine these two paradigms to solve large scale sequential decisio n problems. These methodologies exploit a variant of the well-known UCT algorith m to trade off the exploitation of good actions and the exploration of unvisited states, but their empirical success comes at the cost of poor sample-efficiency and high computation time. In this paper, we overcome these limitations by stud ying the benefit of convex regularization in Monte-Carlo Tree Search (MCTS) to d rive exploration efficiently and to improve policy updates, as already observed in RL. First, we introduce a unifying theory on the use of generic convex regula rizers in MCTS, deriving the first regret analysis of regularized MCTS and showi ng that it guarantees an exponential convergence rate. Second, we exploit our th eoretical framework to introduce novel regularized backup operators for MCTS, ba sed on the relative entropy of the policy update and on the Tsallis entropy of t he policy. We provide an intuitive demonstration of the effect of each regulariz er empirically verifying the consequence of our theoretical results on a toy pro blem. Finally, we show how our framework can easily be incorporated in AlphaGo a nd AlphaZero, and we empirically show the superiority of convex regularization w .r.t. representative baselines, on well-known RL problems across several Atari g ames.

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Learning with AMIGo: Adversarially Motivated Intrinsic Goals

Andres Campero, Roberta Raileanu, Heinrich Kuttler, Joshua B. Tenenbaum, Tim Rocktäs chel, Edward Grefenstette

A key challenge for reinforcement learning (RL) consists of learning in environm ents with sparse extrinsic rewards. In contrast to current RL methods, humans ar e able to learn new skills with little or no reward by using various forms of in trinsic motivation. We propose AMIGO, a novel agent incorporating -- as form of meta-learning -- a goal-generating teacher that proposes Adversarially Motivated Intrinsic Goals to train a goal-conditioned "student" policy in the absence of (or alongside) environment reward. Specifically, through a simple but effective "constructively adversarial" objective, the teacher learns to propose increasing ly challenging -- yet achievable -- goals that allow the student to learn general skills for acting in a new environment, independent of the task to be solved. We show that our method generates a natural curriculum of self-proposed goals which ultimately allows the agent to solve challenging procedurally-generated tasks where other forms of intrinsic motivation and state-of-the-art RL methods fail

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Training with Quantization Noise for Extreme Model Compression

Pierre Stock, Angela Fan, Benjamin Graham, Edouard Grave, Rémi Gribonval, Herve Jegou .Armand Joulin

We tackle the problem of producing compact models, maximizing their accuracy for

a given model size. A standard solution is to train networks with Quantization Aware Training, where the weights are quantized during training and the gradient s approximated with the Straight-Through Estimator. In this paper, we extend thi s approach to work with extreme compression methods where the approximations int roduced by STE are severe. Our proposal is to only quantize a different random s ubset of weights during each forward, allowing for unbiased gradients to flow th rough the other weights. Controlling the amount of noise and its form allows for extreme compression rates while maintaining the performance of the original mod el. As a result we establish new state-of-the-art compromises between accuracy a nd model size both in natural language processing and image classification. For example, applying our method to state-of-the-art Transformer and ConvNet archite ctures, we can achieve 82.5% accuracy on MNLI by compressing RoBERTa to 14 MB and 80.0% top-1 accuracy on ImageNet by compressing an EfficientNet-B3 to 3.3 MB.

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Meta-Reinforcement Learning With Informed Policy Regularization Pierre-Alexandre Kamienny, Matteo Pirotta, Alessandro Lazaric, Thibault Lavril, Nicolas Usunier, Ludovic Denoyer

Meta-reinforcement learning aims at finding a policy able to generalize to new e nvironments. When facing a new environment, this policy must explore to identify its particular characteristics and then exploit this information for collecting reward. We consider the online adaptation setting where the agent needs to tra de-off between the two types of behaviour within the same episode. Even though p olicies based on recurrent neural networks can be used in this setting by traini ng them on multiple environments, they often fail to model this trade-off, or so lve it at a very high computational cost. In this paper, we propose a new algori thm that uses privileged information in the form of a task descriptor at train t ime to improve the learning of recurrent policies. Our method learns an informe d policy (i.e., a policy receiving as input the description of the current task) that is used to both construct task embeddings from the descriptors, and to reg ularize the training of the recurrent policy through parameters sharing and an a uxiliary objective. This approach significantly reduces the learning sample comp lexity without altering the representational power of RNNs, by focusing on the r elevant characteristics of the task, and by exploiting them efficiently. We eva luate our algorithm in a variety of environments that require sophisticated expl oration/exploitation strategies and show that it outperforms vanilla RNNs, Thomp son sampling and the task-inference approaches to meta-reinforcement learning.

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Interpreting and Boosting Dropout from a Game-Theoretic View Hao Zhang, Sen Li, YinChao Ma, Mingjie Li, Yichen Xie, Quanshi Zhang

This paper aims to understand and improve the utility of the dropout operation f rom the perspective of game-theoretical interactions. We prove that dropout can suppress the strength of interactions between input variables of deep neural net works (DNNs). The theoretical proof is also verified by various experiments. Fur thermore, we find that such interactions were strongly related to the over-fitting problem in deep learning. So, the utility of dropout can be regarded as decreasing interactions to alleviating the significance of over-fitting. Based on this understanding, we propose the interaction loss to further improve the utility of dropout. Experimental results on various DNNs and datasets have shown that the interaction loss can effectively improve the utility of dropout and boost the performance of DNNs.

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Meta-Learning Bayesian Neural Network Priors Based on PAC-Bayesian Theory Jonas Rothfuss, Martin Josifoski, Andreas Krause

Bayesian deep learning is a promising approach towards improved uncertainty quan tification and sample efficiency.

Due to their complex parameter space, choosing informative priors for Bayesian N eural Networks (BNNs) is challenging. Thus, often a naive, zero-centered Gaussia n is used, resulting both in bad generalization and poor uncertainty estimates w hen training data is scarce. In contrast, meta-learning aims to extract such pri or knowledge from a set of related learning tasks. We propose a principled and s

calable algorithm for meta-learning BNN priors based on PAC-Bayesian bounds. Whe reas previous approaches require optimizing the prior and multiple variational p osteriors in an interdependent manner, our method does not rely on difficult nes ted optimization problems and is agnostic to the variational inference method in use. Our experiments show that the proposed method is not only computationally more efficient but also yields better predictions and uncertainty estimates when compared to previous meta-learning methods and BNNs with standard priors.

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Deep Data Flow Analysis

Chris Cummins, Zacharias Fisches, Tal Ben-Nun, Torsten Hoefler, Hugh Leather, Michael O'Boyle

Compiler architects increasingly look to machine learning when building heuristics for compiler optimization. The promise of automatic heuristic design, freeing the compiler engineer from the complex interactions of program, architecture, and other optimizations, is alluring. However, most machine learning methods cann ot replicate even the simplest of the abstract interpretations of data flow analysis that are critical to making good optimization decisions. This must change for machine learning to become the dominant technology in compiler heuristics.

To this end, we propose ProGraML - Program Graphs for Machine Learning - a langu age-independent, portable representation of whole-program semantics for deep lea rning. To benchmark current and future learning techniques for compiler analyses we introduce an open dataset of 461k Intermediate Representation (IR) files for LLVM, covering five source programming languages, and 15.4M corresponding data flow results. We formulate data flow analysis as an MPNN and show that, using Pr oGraML, standard analyses can be learned, yielding improved performance on downs tream compiler optimization tasks.

VTNet: Visual Transformer Network for Object Goal Navigation Heming Du, Xin Yu, Liang Zheng

Object goal navigation aims to steer an agent towards a target object based on o bservations of the agent. It is of pivotal importance to design effective visual representations of the observed scene in determining navigation actions. is paper, we introduce a Visual Transformer Network (VTNet) for learning informa tive visual representation in navigation. VTNet is a highly effective structure that embodies two key properties for visual representations: First, the relatio nships among all the object instances in a scene are exploited; Second, the spat ial locations of objects and image regions are emphasized so that directional na vigation signals can be learned. Furthermore, we also develop a pre-training sch eme to associate the visual representations with navigation signals, and thus fa cilitate navigation policy learning. In a nutshell, VTNet embeds object and regi on features with their location cues as spatial-aware descriptors and then incor porates all the encoded descriptors through attention operations to achieve info rmative representation for navigation. Given such visual representations, agents are able to explore the correlations between visual observations and navigation actions. For example, an agent would prioritize ``turning right'' over ``turnin g left'' when the visual representation emphasizes on the right side of activati on map. Experiments in the artificial environment AI2-Thor demonstrate that VTNe t significantly outperforms state-of-the-art methods in unseen testing environme nts.

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How to compare adversarial robustness of classifiers from a global perspective Niklas Risse, Jan Philip Göpfert, Christina Göpfert

Adversarial robustness of machine learning models has attracted considerable att ention over recent years. Adversarial attacks undermine the reliability of and t rust in machine learning models, but the construction of more robust models hing es on a rigorous understanding of adversarial robustness as a property of a give n model. Point-wise measures for specific threat models are currently the most p opular tool for comparing the robustness of classifiers and are used in most rec ent publications on adversarial robustness. In this work, we use robustness curv

es to show that point-wise measures fail to capture important global properties that are essential to reliably compare the robustness of different classifiers. We introduce new ways in which robustness curves can be used to systematically u ncover these properties and provide concrete recommendations for researchers and practitioners when assessing and comparing the robustness of trained models. Fu rthermore, we characterize scale as a way to distinguish small and large perturb ations, and relate it to inherent properties of data sets, demonstrating that ro bustness thresholds must be chosen accordingly. We hope that our work contribute s to a shift of focus away from point-wise measures of robustness and towards a discussion of the question what kind of robustness could and should reasonably be expected. We release code to reproduce all experiments presented in this paper, which includes a Python module to calculate robustness curves for arbitrary data sets and classifiers, supporting a number of frameworks, including TensorFlow, PyTorch and JAX.

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Exemplary Natural Images Explain CNN Activations Better than State-of-the-Art Fe ature Visualization

Judy Borowski, Roland Simon Zimmermann, Judith Schepers, Robert Geirhos, Thomas S. A. Wallis, Matthias Bethge, Wieland Brendel

Feature visualizations such as synthetic maximally activating images are a widel y used explanation method to better understand the information processing of con volutional neural networks (CNNs). At the same time, there are concerns that the se visualizations might not accurately represent CNNs' inner workings. Here, we measure how much extremely activating images help humans to predict CNN activations.

Using a well-controlled psychophysical paradigm, we compare the informativeness of synthetic images by Olah et al. (2017) with a simple baseline visualization, namely exemplary natural images that also strongly activate a specific feature  ${\tt m}$ ap. Given either synthetic or natural reference images, human participants choos e which of two query images leads to strong positive activation. The experiment is designed to maximize participants' performance, and is the first to probe int ermediate instead of final layer representations. We find that synthetic images indeed provide helpful information about feature map activations (\$82\pm4\%\$ acc uracy; chance would be \$50\%\$). However, natural images --- originally intended to be a baseline --- outperform these synthetic images by a wide margin (\$92\pm2 \%\$). Additionally, participants are faster and more confident for natural image s, whereas subjective impressions about the interpretability of the feature visu alizations by Olah et al. (2017) are mixed. The higher informativeness of natura l images holds across most layers, for both expert and lay participants as well as for hand- and randomly-picked feature visualizations. Even if only a single r eference image is given, synthetic images provide less information than natural images (\$65\pm5\%\$ vs. \$73\pm4\%\$). In summary, synthetic images from a popular feature visualization method are significantly less informative for assessing CN N activations than natural images. We argue that visualization methods should im prove over this simple baseline.

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Learning Representations by Contrasting Clusters While Bootstrapping Instances Junsoo Lee, Hojoon Lee, Inkyu Shin, Jaekyoung Bae, In So Kweon, Jaegul Choo Learning visual representations using large-scale unlabelled images is a holy gr ail for most of computer vision tasks. Recent contrastive learning methods have focused on encouraging the learned visual representations to be linearly separab le among the individual items regardless of their semantic similarity; however, it could lead to a sub-optimal solution if a given downstream task is related to non-discriminative ones such as cluster analysis and information retrieval. In this work, we propose an advanced approach to consider the instance semantics in an unsupervised environment by both i) Contrasting batch-wise Cluster assignment features and ii) Bootstrapping an INstance representations without considering negatives simultaneously, referred to as C2BIN. Specifically, instances in a mi ni-batch are appropriately assigned to distinct clusters, each of which aims to capture apparent similarity among instances. Moreover, we introduce a pyramidal

multi-heads technique, showing positive effects on the representations by captur ing multi-scale semantics. Empirically, our method achieves comparable or better performance than both representation learning and clustering baselines on vario us benchmark datasets: CIFAR-10, CIFAR-100, and STL-10.

Measuring Progress in Deep Reinforcement Learning Sample Efficiency Florian E. Dorner

Sampled environment transitions are a critical input to deep reinforcement learn ing (DRL) algorithms. Current DRL benchmarks often allow for the cheap and easy generation of large amounts of samples such that perceived progress in DRL does not necessarily correspond to improved sample efficiency. As simulating real world processes is often prohibitively hard and collecting real world experience is costly, sample efficiency is an important indicator for economically relevant a pplications of DRL. We investigate progress in sample efficiency on Atari games and continuous control tasks by comparing the amount of samples that a variety of algorithms need to reach a given performance level according to training curves in the corresponding publications. We find exponential progress in sample efficiency with estimated doubling times of around 10 to 18 months on Atari, 5 to 24 months on state-based continuous control and of around 4 to 9 months on pixel-b ased continuous control depending on the specific task and performance level.

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Prioritized Level Replay

Minqi Jiang, Edward Grefenstette, Tim Rocktäschel

Simulated environments with procedurally generated content have become popular b enchmarks for testing systematic generalization of reinforcement learning agents . Every level in such an environment is algorithmically created, thereby exhibit ing a unique configuration of underlying factors of variation, such as layout, p ositions of entities, asset appearances, or even the rules governing environment transitions. Fixed sets of training levels can be determined to aid comparison and reproducibility, and test levels can be held out to evaluate the generalizat ion and robustness of agents. While prior work samples training levels in a dire ct way (e.g.~uniformly) for the agent to learn from, we investigate the hypothes is that different levels provide different learning progress for an agent at spe cific times during training. We introduce Prioritized Level Replay, a general fr amework for estimating the future learning potential of a level given the curren t state of the agent's policy. We find that temporal-difference (TD) errors, whi le previously used to selectively sample past transitions, also prove effective for scoring a level's future learning potential when the agent replays (that is, revisits) that level to generate entirely new episodes of experiences from it. We report significantly improved sample-efficiency and generalization on the maj ority of Procgen Benchmark environments as well as two challenging MiniGrid envi ronments. Lastly, we present a qualitative analysis showing that Prioritized Lev el Replay induces an implicit curriculum, taking the agent gradually from easier to harder levels.

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Fast MNAS: Uncertainty-aware Neural Architecture Search with Lifelong Learning Jihao Liu, Yangting Sun, Ming Zhang, Boxiao Liu, Yu Liu

Sampling-based neural architecture search (NAS) always guarantees better convergence yet suffers from huge computational resources compared with gradient-based approaches, due to the rollout bottleneck -- exhaustive training for each sample dependent on proxy tasks. This work provides a general pipeline to accelerate the convergence of the rollout process as well as the RL learning process in sa mpling-based NAS. It is motivated by the interesting observation that both the a rehitecture and the parameter knowledge can be transferred between different experiments and even different tasks. We first introduce an uncertainty-aware critic (value function) in PPO to utilize the architecture knowledge in previous experiments, which stabilizes the training process and reduces the searching time by 4 times. Further, a life-long knowledge pool together with a block similarity function is proposed to utilize the lifelong parameter knowledge and reduces the searching time by 2 times. It is the first to introduce block-level weight shari

ng in RL-based NAS. The block similarity function guarantees a 100% hitting ration with strict fairness. Besides, we show a simply designed off-policy correction factor that enables 'replay buffer' in RL optimization and further reduces half of the searching time. Experiments on the MNAS search space show the proposed FNAS accelerates standard RL-based NAS process by \$\sim\$10x (e.g. \$\sim\$256 2x2 TPUv2\*days / 20,000 GPU\*hour \$\rightarrow\$ 2,000 GPU\*hour for MNAS), and guarant ees better performance on various vision tasks.

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On the Inversion of Deep Generative Models Aviad Aberdam, Dror Simon, Michael Elad

Deep generative models (e.g. GANs and VAEs) have been developed quite extensivel y in recent years. Lately, there has been an increased interest in the inversion of such a model, i.e. given a (possibly corrupted) signal, we wish to recover the latent vector that generated it. Building upon sparse representation theory, we define conditions that rely only on the cardinalities of the hidden layer and are applicable to any inversion algorithm (gradient descent, deep encoder, etc.), under which such generative models are invertible with a unique solution. Importantly, the proposed analysis is applicable to any trained model, and does not depend on Gaussian i.i.d. weights. Furthermore, we introduce two layer-wise inversion pursuit algorithms for trained generative networks of arbitrary depth, where one of them is accompanied by recovery guarantees. Finally, we validate our theoretical results numerically and show that our method outperforms gradient descent when inverting such generators, both for clean and corrupted signals.

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Filter pre-pruning for improved fine-tuning of quantized deep neural networks Jun Nishikawa, Ryoji Ikegaya

Deep Neural Networks(DNNs) have many parameters and activation data, and these b oth are expensive to implement. One method to reduce the size of the DNN is to q uantize the pre-trained model by using a low-bit expression for weights and acti vations, using fine-tuning to recover the drop in accuracy. However, it is gener ally difficult to train neural networks which use low-bit expressions. One reaso n is that the weights in the middle layer of the DNN have a wide dynamic range a nd so when quantizing the wide dynamic range into a few bits, the step size beco mes large, which leads to a large quantization error and finally a large degrada tion in accuracy. To solve this problem, this paper makes the following three co ntributions without using any additional learning parameters and hyper-parameter s. First, we analyze how batch normalization, which causes the aforementioned pr oblem, disturbs the fine-tuning of the quantized DNN. Second, based on these res ults, we propose a new pruning method called Pruning for Quantization (PfQ) whic h removes the filters that disturb the fine-tuning of the DNN while not affectin g the inferred result as far as possible. Third, we propose a workflow of fine-t uning for quantized DNNs using the proposed pruning method(PfQ). Experiments usi ng well-known models and datasets confirmed that the proposed method achieves hi gher performance with a similar model size than conventional quantization method s including fine-tuning.

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Information Theoretic Regularization for Learning Global Features by Sequential VAE

Kei Akuzawa, Yusuke Iwasawa, Yutaka Matsuo

Sequential variational autoencoders (VAEs) with global latent variable \$z\$ have been studied for the purpose of disentangling the global features of data, which is useful in many downstream tasks. To assist the sequential VAEs further in obtaining meaningful \$z\$, an auxiliary loss that maximizes the mutual information (MI) between the observation and \$z\$ is often employed. However, by analyzing the esequential VAEs from the information theoretic perspective, we can claim that simply maximizing the MI encourages the latent variables to have redundant information and prevents the disentanglement of global and local features. Based on this analysis, we derive a novel regularization method that makes \$z\$ informative while encouraging the disentanglement. Specifically, the proposed method removes redundant information by minimizing the MI between \$z\$ and the local features

by using adversarial training. In the experiments, we trained state-space and au toregressive model variants using speech and image datasets. The results indicat e that the proposed method improves the performance of the downstream classifica tion and data generation tasks, thereby supporting our information theoretic per spective in the learning of global representations.

BROS: A Pre-trained Language Model for Understanding Texts in Document Teakgyu Hong, DongHyun Kim, Mingi Ji, Wonseok Hwang, Daehyun Nam, Sungrae Park Understanding document from their visual snapshots is an emerging and challengin q problem that requires both advanced computer vision and NLP methods. Although the recent advance in OCR enables the accurate extraction of text segments, it i s still challenging to extract key information from documents due to the diversi ty of layouts. To compensate for the difficulties, this paper introduces a pre-t rained language model, BERT Relying On Spatiality (BROS), that represents and un derstands the semantics of spatially distributed texts. Different from previous pre-training methods on 1D text, BROS is pre-trained on large-scale semi-structu red documents with a novel area-masking strategy while efficiently including the spatial layout information of input documents. Also, to generate structured out puts in various document understanding tasks, BROS utilizes a powerful graph-bas ed decoder that can capture the relation between text segments. BROS achieves st ate-of-the-art results on four benchmark tasks: FUNSD, SROIE\*, CORD, and SciTSR. Our experimental settings and implementation codes will be publicly available. \*\*\*\*\*\*\*\*\*\*\*\*\*

Learning Spatiotemporal Features via Video and Text Pair Discrimination Tianhao Li, Limin Wang

Current video representations heavily rely on learning from manually annotated v ideo datasets which are time-consuming and expensive to acquire. We observe vide os are naturally accompanied by abundant text information such as YouTube titles and Instagram captions. In this paper, we leverage this visual-textual connecti on to learn spatiotemporal features in an efficient weakly-supervised manner. We present a general cross-modal pair discrimination (CPD) framework to capture th is correlation between a video and its associated text. We train our CPD models on both standard video dataset (Kinetics-210k) and uncurated web video dataset ( Instagram-300k) to demonstrate its effectiveness. Without further fine-tuning, t he learnt models obtain competitive results for action classification on Kinetic s under the linear classification protocol. Moreover, our visual model provides an effective initialization to fine-tune on downstream tasks, which yields a rem arkable performance gain for action recognition on UCF101 and HMDB51, compared w ith the existing state-of-the-art self-supervised training methods. In addition, our CPD demonstrates that pre-training on a relatively small dataset is able to yield a comparable performance to those methods of using order magnitude more d ata, which is meaningful and practicable for the scenarios with limited computat ional facilities.

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Frequency-aware Interface Dynamics with Generative Adversarial Networks Lukas Prantl, Tassilo Kugelstadt, Jan Bender, Nils Thuerey

We present a new method for reconstructing and refining complex surfaces based on physical simulations. Taking a roughly approximated simulation as input, our method infers corresponding spatial details while taking into account how they e volve over time. We consider this problem in terms of spatial and temporal frequencies, and leverage generative adversarial networks to learn the desired spatio-temporal signal for the surface dynamics. Furthermore, we investigate the possibility to train our network in an unsupervised manner, i.e. without predefined training pairs. We highlight the capabilities of our method with a set of synthetic wave function tests and complex 3D dynamics of elasto-plastic materials.

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Better Optimization can Reduce Sample Complexity: Active Semi-Supervised Learnin g via Convergence Rate Control

Seo Taek Kong, Soomin Jeon, Jaewon Lee, Hong-Seok Lee, Kyu-Hwan Jung Reducing the sample complexity associated with deep learning (DL) remains one of

the most important problems in both theory and practice since its advent. Semisupervised learning (SSL) tackles this task by leveraging unlabeled instances wh ich are usually more accessible than their labeled counterparts. Active learning (AL) directly seeks to reduce the sample complexity by training a classificatio n network and querying unlabeled instances to be annotated by a human-in-the-loo p. Under relatively strict settings, it has been shown that both SSL and AL can theoretically achieve the same performance of fully-supervised learning (SL) usi ng far less labeled samples. While empirical works have shown that SSL can attai n this benefit in practice, DL-based AL algorithms have yet to show their succes s to the extent achieved by SSL. Given the accessible pool of unlabeled instance s in pool-based AL, we argue that the annotation efficiency brought by AL algori thms that seek diversity on labeled samples can be improved upon when using SSL as the training scheme. Equipped with a few theoretical insights, we designed an AL algorithm that rather focuses on controlling the convergence rate of a class ification network by actively querying instances to improve the rate of converge nce upon inclusion to the labeled set. We name this AL scheme convergence rate c ontrol (CRC), and our experiments show that a deep neural network trained using a combination of CRC and a recently proposed SSL algorithm can quickly achieve h igh performance using far less labeled samples than SL. In contrast to a few wor ks combining independently developed AL and SSL (ASSL) algorithms, our method is a natural fit to ASSL, and we hope our work can catalyze research combining AL and SSL as opposed to an exclusion of either.

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Multi-Class Uncertainty Calibration via Mutual Information Maximization-based Binning

Kanil Patel, William H. Beluch, Bin Yang, Michael Pfeiffer, Dan Zhang

Post-hoc multi-class calibration is a common approach for providing high-quality confidence estimates of deep neural network predictions. Recent work has shown that widely used scaling methods underestimate their calibration error, while al ternative Histogram Binning (HB) methods often fail to preserve classification a ccuracy. When classes have small prior probabilities, HB also faces the issue of severe sample-inefficiency after the conversion into K one-vs-rest class-wise c alibration problems. The goal of this paper is to resolve the identified issues of HB in order to provide calibrated confidence estimates using only a small hol dout calibration dataset for bin optimization while preserving multi-class ranki ng accuracy. From an information-theoretic perspective, we derive the I-Max conc ept for binning, which maximizes the mutual information between labels and quant ized logits. This concept mitigates potential loss in ranking performance due to lossy quantization, and by disentangling the optimization of bin edges and repr esentatives allows simultaneous improvement of ranking and calibration performan ce. To improve the sample efficiency and estimates from a small calibration set, we propose a shared class-wise (sCW) calibration strategy, sharing one calibrat or among similar classes (e.g., with similar class priors) so that the training sets of their class-wise calibration problems can be merged to train the single calibrator. The combination of sCW and I-Max binning outperforms the state of th e art calibration methods on various evaluation metrics across different benchma rk datasets and models, using a small calibration set (e.g., 1k samples for Imag eNet).

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Error Controlled Actor-Critic Method to Reinforcement Learning

Xingen Gao, Fei Chao, Changle Zhou, Zhen Ge, Chih-Min Lin, Longzhi Yang, Xiang Chang, Changjing Shang

In the reinforcement learning (RL) algorithms which incorporate function approximation methods, the approximation error of value function inevitably cause overe stimation phenomenon and have a negative impact on the convergence of the algorithms. To mitigate the negative effects of approximation error, we propose a new actor-critic algorithm called Error Controlled Actor-critic which ensures confining the approximation error in value function. In this paper, we firstly present an analysis of how the approximation error can hinder the optimization process of actor-critic methods. Then, we \*derive an upper boundary of the approximation

error of Q function approximator, and found that the error can be lowered by pl acing restrictions on the KL-divergence between every two consecutive policies d uring the training phase of the policy.\* The results of experiments on a range of continuous control tasks from OpenAI gym suite demonstrate that the proposed a ctor-critic algorithm apparently reduces the approximation error and significant ly outperforms other model-free RL algorithms.

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Adversarial representation learning for synthetic replacement of private attributes

John Martinsson, Edvin Listo Zec, Daniel Gillblad, Olof Mogren

Data privacy is an increasingly important aspect of many real-world big data ana lytics tasks. Data sources that contain sensitive information may have immense p otential which could be unlocked using privacy enhancing transformations, but cu rrent methods often fail to produce convincing output. Furthermore, finding the right balance between privacy and utility is often a tricky trade-off. In this w ork, we propose a novel approach for data privatization, which involves two step s: in the first step, it removes the sensitive information, and in the second st ep, it replaces this information with an independent random sample. Our method b uilds on adversarial representation learning which ensures strong privacy by tra ining the model to fool an increasingly strong adversary. While previous methods only aim at obfuscating the sensitive information, we find that adding new rand om information in its place strengthens the provided privacy and provides better utility at any given level of privacy. The result is an approach that can provide stronger privatization on image data, and yet be preserving both the domain a nd the utility of the inputs, entirely independent of the downstream task.

Data Transfer Approaches to Improve Seq-to-Seq Retrosynthesis Katsuhiko Ishiguro, Kazuya Ujihara, Ryohto Sawada, Hirotaka Akita, Masaaki Kotera Retrosynthesis is a problem to infer reactant compounds to synthesize a given product compound through chemical reactions. Recent studies on retrosynthesis focus on proposing more sophisticated prediction models, but the dataset to feed the models also plays an essential role in achieving the best generalizing model

Generally, a dataset that is best suited for a specific task tends to be small.  $\ensuremath{\mathsf{Tn}}$ 

such a case, it is the standard solution to transfer knowledge from a large or clean dataset in the same domain. In this paper, we conduct a systematic and intensive examination of data transfer approaches on end-to-end generative model

in application to retrosynthesis. Experimental results show that typical data transfer

methods can improve test prediction scores of an off-the-shelf Transformer basel ine

model. Especially, the pre-training plus fine-tuning approach boosts the accurac y

scores of the baseline, achieving the new state-of-the-art. In addition, we cond uct a

manual inspection for the erroneous prediction results. The inspection shows that t

the pre-training plus fine-tuning models can generate chemically appropriate or sensible proposals in almost all cases.

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Model-Agnostic Round-Optimal Federated Learning via Knowledge Transfer Qinbin Li, Bingsheng He, Dawn Song

Federated learning enables multiple parties to collaboratively learn a model wit hout exchanging their local data. Currently, federated averaging (FedAvg) is the most widely used federated learning algorithm. However, FedAvg or its variants have obvious shortcomings. It can only be used to learn differentiable models and needs many communication rounds to converge. In this paper, we propose a novel federated learning algorithm FedKT that needs only a single communication round

(i.e., round-optimal). With applying the knowledge transfer approach, our algor ithm can be applied to any classification model. Moreover, we develop the differ entially private versions of FedKT and theoretically analyze the privacy loss. The experiments show that our method can achieve close or better accuracy compared with the other state-of-the-art federated learning algorithms.

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A Discriminative Gaussian Mixture Model with Sparsity Hideaki Hayashi, Seiichi Uchida

In probabilistic classification, a discriminative model based on the softmax fun ction has a potential limitation in that it assumes unimodality for each class in the feature space. The mixture model can address this issue, although it leads to an increase in the number of parameters. We propose a sparse classifier based on a discriminative GMM, referred to as a sparse discriminative Gaussian mixture (SDGM). In the SDGM, a GMM-based discriminative model is trained via sparse Bayesian learning. Using this sparse learning framework, we can simultaneously remove redundant Gaussian components and reduce the number of parameters used in the remaining components during learning; this learning method reduces the model complexity, thereby improving the generalization capability. Furthermore, the SDGM can be embedded into neural networks (NNs), such as convolutional NNs, and can be trained in an end-to-end manner. Experimental results demonstrated that the proposed method outperformed the existing softmax-based discriminative models.

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Fixing Asymptotic Uncertainty of Bayesian Neural Networks with Infinite ReLU Features

Agustinus Kristiadi, Matthias Hein, Philipp Hennig

Approximate Bayesian methods can mitigate overconfidence in ReLU networks. However, far away from the training data, even Bayesian neural networks (BNNs) can still underestimate uncertainty and thus be overconfident. We suggest to fix this by considering an infinite number of ReLU features over the input domain that are never part of the training process and thus remain at prior values. Perhaps surprisingly, we show that this model leads to a tractable Gaussian process (GP) term that can be added to a pre-trained BNN's posterior at test time with negligible cost overhead. The BNN then yields structured uncertainty in the proximity of training data, while the GP prior calibrates uncertainty far away from them. As a key contribution, we prove that the added uncertainty yields cubic predictive variance growth, and thus the ideal uniform (maximum entropy) confidence in multi-class classification far from the training data.

Trusted Multi-View Classification

Zongbo Han, Changqing Zhang, Huazhu Fu, Joey Tianyi Zhou

Multi-view classification (MVC) generally focuses on improving classification ac curacy by using information from different views, typically integrating them int o a unified comprehensive representation for downstream tasks. However, it is al so crucial to dynamically assess the quality of a view for different samples in order to provide reliable uncertainty estimations, which indicate whether predic tions can be trusted. To this end, we propose a novel multi-view classification method, termed trusted multi-view classification, which provides a new paradigm for multi-view learning by dynamically integrating different views at an evidenc e level. The algorithm jointly utilizes multiple views to promote both classific ation reliability (uncertainty estimation during testing) and robustness (out-of -distribution-awareness during training) by integrating evidence from each view. To achieve this, the Dirichlet distribution is used to model the distribution o f the class probabilities, parameterized with evidence from different views and integrated with the Dempster-Shafer theory. The unified learning framework induc es accurate uncertainty and accordingly endows the model with both reliability a nd robustness for out-of-distribution samples. Extensive experimental results va lidate the effectiveness of the proposed model in accuracy, reliability and robu stness.

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Learnable Uncertainty under Laplace Approximations

Agustinus Kristiadi, Matthias Hein, Philipp Hennig

Laplace approximations are classic, computationally lightweight means to construct Bayesian neural networks (BNNs). As in other approximate BNNs, one cannot necessarily expect the induced predictive uncertainty to be calibrated. Here we develop a formalism to explicitly "train" the uncertainty in a decoupled way to the prediction itself. To this end we introduce uncertainty units for Laplace-approximated networks: Hidden units with zero weights that can be added to any pre-trained, point-estimated network. Since these units are inactive, they do not affect the predictions. But their presence changes the geometry (in particular the Hessian) of the loss landscape around the point estimate, thereby affecting the network's uncertainty estimates under a Laplace approximation. We show that such units can be trained via an uncertainty-aware objective, making the Laplace approximation competitive with more expensive alternative uncertainty-quantification frameworks.

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Towards Powerful Graph Neural Networks: Diversity Matters

Xu Bingbing, Huawei Shen, Qi Cao, Yuanhao Liu, Keting Cen, Xueqi Cheng

Graph neural networks (GNNs) offer us an effective framework for graph represent ation learning via layer-wise neighborhood aggregation. Their success is attribu ted to their expressive power at learning representation of nodes and graphs. To achieve GNNs with high expressive power, existing methods mainly resort to comp lex neighborhood aggregation functions, e.g., designing injective aggregation fu nction or using multiple aggregation functions. Consequently, their expressive p ower is limited by the capability of aggregation function, which is tricky to de termine in practice. To combat this problem, we propose a novel framework, namel y diverse sampling, to improve the expressive power of GNNs. For a target node, diverse sampling offers it diverse neighborhoods, i.e., rooted sub-graphs, and t he representation of target node is finally obtained via aggregating the represe ntation of diverse neighborhoods obtained using any GNN model. High expressive p ower is guaranteed by the diversity of different neighborhoods. We use classical GNNs (i.e., GCN and GAT) as base models to evaluate the effectiveness of the pr oposed framework. Experiments are conducted at multi-class node classification t ask on three benchmark datasets and multi-label node classification task on a da taset collected in this paper. Extensive experiments demonstrate the proposed me thod consistently improve the performance of base GNN models. The proposed frame work is applicable to any GNN models and thus is general for improving the expre ssive power of GNNs.

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Stochastic Subset Selection for Efficient Training and Inference of Neural Netwo

Bruno Andreis, Tuan Nguyen, Juho Lee, Eunho Yang, Sung Ju Hwang

Current machine learning algorithms are designed to work with huge volumes of hi gh dimensional data such as images. However, these algorithms are being increasi ngly deployed to resource constrained systems such as mobile devices and embedde d systems. Even in cases where large computing infrastructure is available, the size of each data instance, as well as datasets, can provide a huge bottleneck i n data transfer across communication channels. Also, there is a huge incentive b oth in energy and monetary terms in reducing both the computational and memory r equirements of these algorithms. For non-parametric models that require to lever age the stored training data at the inference time, the increased cost in memory and computation could be even more problematic. In this work, we aim to reduce the volume of data these algorithms must process through an end-to-end two-stage neural subset selection model, where the first stage selects a set of candidate points using a conditionally independent Bernoulli mask followed by an iterativ e coreset selection via a conditional Categorical distribution. The subset selec tion model is trained by meta-learning with a distribution of sets. We validate our method on set reconstruction and classification tasks with feature selection as well as the selection of representative samples from a given dataset, on whi ch our method outperforms relevant baselines. We also show in our experiments th at our method enhances scalability of non-parametric models such as Neural Proce

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IEPT: Instance-Level and Episode-Level Pretext Tasks for Few-Shot Learning Manli Zhang, Jianhong Zhang, Zhiwu Lu, Tao Xiang, Mingyu Ding, Songfang Huang The need of collecting large quantities of labeled training data for each new ta sk has limited the usefulness of deep neural networks. Given data from a set of source tasks, this limitation can be overcome using two transfer learning approa ches: few-shot learning (FSL) and self-supervised learning (SSL). The former aim s to learn `how to learn' by designing learning episodes using source tasks to s imulate the challenge of solving the target new task with few labeled samples. I n contrast, the latter exploits an annotation-free pretext task across all sourc e tasks in order to learn generalizable feature representations. In this work, w e propose a novel Instance-level and Episode-level Pretext Task (IEPT) framework that seamlessly integrates SSL into FSL. Specifically, given an FSL episode, we first apply geometric transformations to each instance to generate extended epi sodes. At the instance-level, transformation recognition is performed as per sta ndard SSL. Importantly, at the episode-level, two SSL-FSL hybrid learning object ives are devised: (1) The consistency across the predictions of an FSL classifie r from different extended episodes is maximized as an episode-level pretext task . (2) The features extracted from each instance across different episodes are in tegrated to construct a single FSL classifier for meta-learning. Extensive exper iments show that our proposed model (i.e., FSL with IEPT) achieves the new state

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Intention Propagation for Multi-agent Reinforcement Learning Chao Qu, Hui Li, Chang Liu, Junwu Xiong, james zhang, Wei Chu, Weiqiang Wang, Yuan Qi, Le Song

A hallmark of an AI agent is to mimic human beings to understand and interact wi th others. In this paper, we propose a \emph{collaborative} multi-agent reinforc ement learning algorithm to learn a \emph{joint} policy through the interactions over agents. To make a joint decision over the group, each agent makes an init ial decision and tells its policy to its neighbors. Then each agent modifies it s own policy properly based on received messages and spreads out its plan. As th is intention propagation procedure goes on, we prove that it converges to a mean -field approximation of the joint policy with the framework of neural embedded p robabilistic inference. We evaluate our algorithm on several large scale challen ging tasks and demonstrate that it outperforms previous state-of-the-arts.

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Membership Attacks on Conditional Generative Models Using Image Difficulty Avital Shafran, Shmuel Peleg, Yedid Hoshen

Membership inference attacks (MIA) try to detect if data samples were used to tr ain a Neural Network model. As training data is very valuable in machine learnin g, MIA can be used to detect the use of unauthorized data. Unlike the traditiona l MIA approaches, addressing classification models, we address conditional image generation models (e.g. image translation).

Due to overfitting, reconstruction errors are typically lower for images used in training. A simple but effective approach for membership attacks can therefore use the reconstruction error.

However, we observe that some images are "universally" easy, and others are difficult. Reconstruction error alone is less effective at discriminating between difficult images used in training and easy images that were never seen before. To overcome this, we propose to use a novel difficulty score that can be computed for each image, and its computation does not require a training set. Our membersh ip error, obtained by subtracting the difficulty score from the reconstruction error, is shown to achieve high MIA accuracy on an extensive number of benchmarks

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Learning Disentangled Representations for Image Translation Aviv Gabbay, Yedid Hoshen

Recent approaches for unsupervised image translation are strongly reliant on gen

erative adversarial training and architectural locality constraints. Despite the ir appealing results, it can be easily observed that the learned class and conte nt representations are entangled which often hurts the translation performance. To this end, we propose OverLORD, for learning disentangled representations for the image class and attributes, utilizing latent optimization and carefully desi gned content and style bottlenecks. We further argue that the commonly used adve rsarial optimization can be decoupled from representation disentanglement and be applied at a later stage of the training to increase the perceptual quality of the generated images. Based on these principles, our model learns significantly more disentangled representations and achieves higher translation quality and greater output diversity than state-of-the-art methods.

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What Matters for On-Policy Deep Actor-Critic Methods? A Large-Scale Study Marcin Andrychowicz, Anton Raichuk, Piotr Sta∎czyk, Manu Orsini, Sertan Girgin, Rapha ël Marinier, Leonard Hussenot, Matthieu Geist, Olivier Pietquin, Marcin Michalski, Sylvain Gelly, Olivier Bachem

In recent years, reinforcement learning (RL) has been successfully applied to ma ny different continuous control tasks. While RL algorithms are often conceptuall y simple, their state-of-the-art implementations take numerous low- and high-lev el design decisions that strongly affect the performance of the resulting agents. Those choices are usually not extensively discussed in the literature, leading to discrepancy between published descriptions of algorithms and their implement ations. This makes it hard to attribute progress in RL and slows down overall progress [Engstrom'20]. As a step towards filling that gap, we implement >50 such `"choices" in a unified on-policy deep actor-critic framework, allowing us to investigate their impact in a large-scale empirical study. We train over 250'000 agents in five continuous control environments of different complexity and provide insights and practical recommendations for the training of on-policy deep actor-critic RL agents.

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Deep Single Image Manipulation

Yael Vinker, Eliahu Horwitz, Nir Zabari, Yedid Hoshen

Image manipulation has attracted much research over the years due to the popular ity and commercial importance of the task. In recent years, deep neural network methods have been proposed for many image manipulation tasks. A major issue with deep methods is the need to train on large amounts of data from the same distribution as the target image, whereas collecting datasets encompassing the entire long-tail of images is impossible. In this paper, we demonstrate that simply training a conditional adversarial generator on the single target image is sufficient for performing complex image manipulations. We find that the key for enabling single image training is extensive augmentation of the input image and provide a novel augmentation method. Our network learns to map between a primitive representation of the image (e.g. edges and segmentation) to the image itself. At man ipulation time, our generator allows for making general image changes by modifying the primitive input representation and mapping it through the network. We extensively evaluate our method and find that it provides remarkable performance.

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Deep Encoder, Shallow Decoder: Reevaluating Non-autoregressive Machine Translati on

Jungo Kasai, Nikolaos Pappas, Hao Peng, James Cross, Noah Smith

Much recent effort has been invested in non-autoregressive neural machine transl ation, which appears to be an efficient alternative to state-of-the-art autoregr essive machine translation on modern GPUs. In contrast to the latter, where gen eration is sequential, the former allows generation to be parallelized across ta rget token positions. Some of the latest non-autoregressive models have achieved impressive translation quality-speed tradeoffs compared to autoregressive basel ines. In this work, we reexamine this tradeoff and argue that autoregressive bas elines can be substantially sped up without loss in accuracy. Specifically, we s tudy autoregressive models with encoders and decoders of varied depths. Our extensive experiments show that given a sufficiently deep encoder, a single-layer au

toregressive decoder can substantially outperform strong non-autoregressive mode ls with comparable inference speed. We show that the speed disadvantage for auto regressive baselines compared to non-autoregressive methods has been overestimat ed in three aspects: suboptimal layer allocation, insufficient speed measurement, and lack of knowledge distillation. Our results establish a new protocol for f uture research toward fast, accurate machine translation. Our code is available at https://github.com/jungokasai/deep-shallow.

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Effective Abstract Reasoning with Dual-Contrast Network Tao Zhuo, Mohan Kankanhalli

As a step towards improving the abstract reasoning capability of machines, we ai m to solve Raven's Progressive Matrices (RPM) with neural networks, since solvin g RPM puzzles is highly correlated with human intelligence. Unlike previous meth ods that use auxiliary annotations or assume hidden rules to produce appropriate feature representation, we only use the ground truth answer of each question fo r model learning, aiming for an intelligent agent to have a strong learning cap ability with a small amount of supervision. Based on the RPM problem formulatio n, the correct answer filled into the missing entry of the third row/column has to best satisfy the same rules shared between the first two rows/co lumns. Thus we design a simple yet effective Dual-Contrast Network (DCNe t) to exploit the inherent structure of RPM puzzles. Specifically, a rule con trast module is designed to compare the latent rules between the filled row/column and the first two rows/columns; a choice contrast module is desig ned to increase the relative differences between candidate choices. Experimenta l results on the RAVEN and PGM datasets show that DCNet outperforms the state-of-the-art methods by a large margin of 5.77%. Further experiments on f ew training samples and model generalization also show the effectiveness of DCNe t. Code is available at https://github.com/visiontao/dcnet.

Diverse Exploration via InfoMax Options

Yuji Kanagawa, Tomoyuki Kaneko

In this paper, we study the problem of autonomously discovering temporally abstr acted actions, or options, for exploration in reinforcement learning. For learning diverse options suitable for exploration, we introduce the infomax termination objective defined as the mutual information between options and their corresponding state transitions. We derive a scalable optimization scheme for maximizing this objective via the termination condition of options, yielding the InfoMax Option Critic (IMOC) algorithm. Through illustrative experiments, we empirically show that IMOC learns diverse options and utilizes them for exploration. Moreover, we show that IMOC scales well to continuous control tasks.

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AMBERT: A Pre-trained Language Model with Multi-Grained Tokenization Xinsong Zhang Li

Pre-trained language models such as BERT have exhibited remarkable performances in many tasks in natural language understanding (NLU). The tokens in the models are usually fine-grained in the sense that for languages like English they are w ords or sub-words and for languages like Chinese they are characters. In English , for example, there are multi-word expressions which form natural lexical units and thus the use of coarse-grained tokenization also appears to be reasonable. In fact, both fine-grained and coarse-grained tokenizations have advantages and disadvantages for learning of pre-trained language models. In this paper, we propose a novel pre-trained language model, referred to as AMBERT (A Multi-grained BERT), on the basis of both fine-grained and coarse-grained tokenizations. For E nglish, AMBERT takes both the sequence of words (fine-grained tokens) and the se quence of phrases (coarse-grained tokens) as input after tokenization, employs o ne encoder for processing the sequence of words and the other encoder for proces sing the sequence of the phrases, utilizes shared parameters between the two enc oders, and finally creates a sequence of contextualized representations of the w ords and a sequence of contextualized representations of the phrases. Experiment

s have been conducted on benchmark datasets for Chinese and English, including C LUE, GLUE, SQuAD and RACE. The results show that AMBERT outperforms the existing best performing models in almost all cases, particularly the improvements are s ignificant for Chinese. We also develop a version of AMBERT which performs equal ly well as AMBERT but uses about half of its inference time.

MQTransformer: Multi-Horizon Forecasts with Context Dependent and Feedback-Aware Attention

Carson Eisenach, Yagna Patel, Dhruv Madeka

Recent advances in neural forecasting have produced major improvements in accura cy for probabilistic demand prediction. In this work, we propose novel improvements to the current state of the art by incorporating changes inspired by recent advances in Transformer architectures for Natural Language Processing. We develop a novel decoder-encoder attention for context-alignment, improving forecasting accuracy by allowing the network to study its own history based on the context for which it is producing a forecast. We also present a novel positional encoding that allows the neural network to learn context-dependent seasonality functions as well as arbitrary holiday distances. Finally we show that the current state of the art MQ-Forecaster (Wen et al., 2017) models display excess variability by failing to leverage previous errors in the forecast to improve accuracy. We propose a novel decoder-self attention scheme for forecasting that produces significant improvements in the excess variation of the forecast.

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Noise against noise: stochastic label noise helps combat inherent label noise Pengfei Chen, Guangyong Chen, Junjie Ye, jingwei zhao, Pheng-Ann Heng The noise in stochastic gradient descent (SGD) provides a crucial implicit regul arization effect, previously studied in optimization by analyzing the dynamics o f parameter updates. In this paper, we are interested in learning with noisy lab els, where we have a collection of samples with potential mislabeling. We show t hat a previously rarely discussed SGD noise, induced by stochastic label noise ( SLN), mitigates the effects of inherent label noise. In contrast, the common SGD noise directly applied to model parameters does not. We formalize the differenc es and connections of SGD noise variants, showing that SLN induces SGD noise dep endent on the sharpness of output landscape and the confidence of output probabi lity, which may help escape from sharp minima and prevent overconfidence. SLN no t only improves generalization in its simplest form but also boosts popular robu st training methods, including sample selection and label correction. Specifical ly, we present an enhanced algorithm by applying SLN to label correction. Our co de is released.

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On the Landscape of Sparse Linear Networks

Dachao Lin, Ruoyu Sun, Zhihua Zhang

Network pruning, or sparse network has a long history and practical significance in modern applications. Although the loss functions of neural networks may yiel d bad landscape due to non-convexity, we focus on linear activation which alread y owes benign landscape. With no unrealistic assumption, we conclude the following statements for the squared loss objective of general sparse linear neural net works: 1) every local minimum is a global minimum for scalar output with any sparse structure, or non-intersected sparse first layer and dense other layers with orthogonal training data; 2) sparse linear networks have sub-optimal local-min for only sparse first layer due to low rank constraint, or output larger than the ree dimensions due to the global minimum of a sub-network. Overall, sparsity bre aks the normal structure, cutting out the decreasing path in original fully-connected networks.

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CIGMO: Learning categorical invariant deep generative models from grouped data Haruo Hosoya

Images of general objects are often composed of three hidden factors: category (e.g., car or chair), shape (e.g., particular car form), and view (e.g., 3D orien tation). While there have been many disentangling models that can discover eit

her a category or shape factor separately from a view factor, such models typica lly cannot capture the structure of general objects that the diversity of shapes is much larger across categories than within a category. Here, we propose a n ovel generative model called CIGMO, which can learn to represent the category, s hape, and view factors at once only with weak supervision. Concretely, we devel op mixture of disentangling deep generative models, where the mixture components correspond to object categories and each component model represents shape and v iew in a category-specific and mutually invariant manner. We devise a learning method based on variational autoencoders that does not explicitly use label info rmation but uses only grouping information that links together different views of the same object. Using several datasets of 3D objects including ShapeNet, we demonstrate that our model often outperforms previous relevant models including state-of-the-art methods in invariant clustering and one-shot classification tas ks, in a manner exposing the importance of categorical invariant representation.

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VAEBM: A Symbiosis between Variational Autoencoders and Energy-based Models Zhisheng Xiao, Karsten Kreis, Jan Kautz, Arash Vahdat

Energy-based models (EBMs) have recently been successful in representing complex distributions of small images. However, sampling from them requires expensive M arkov chain Monte Carlo (MCMC) iterations that mix slowly in high dimensional pi xel space. Unlike EBMs, variational autoencoders (VAEs) generate samples quickly and are equipped with a latent space that enables fast traversal of the data ma nifold. However, VAEs tend to assign high probability density to regions in data space outside the actual data distribution and often fail at generating sharp i mages. In this paper, we propose VAEBM, a symbiotic composition of a VAE and an EBM that offers the best of both worlds. VAEBM captures the overall mode structu re of the data distribution using a state-of-the-art VAE and it relies on its EB M component to explicitly exclude non-data-like regions from the model and refin e the image samples. Moreover, the VAE component in VAEBM allows us to speed up MCMC updates by reparameterizing them in the VAE's latent space. Our experimenta l results show that VAEBM outperforms state-of-the-art VAEs and EBMs in generati ve quality on several benchmark image datasets by a large margin. It can generat e high-quality images as large as 256\$\times\$256 pixels with short MCMC chains. We also demonstrate that VAEBM provides complete mode coverage and performs well in out-of-distribution detection.

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Debiased Graph Neural Networks with Agnostic Label Selection Bias Shaohua Fan, Xiao Wang, Chuan Shi, Kun Kuang, Nian Liu, Bai Wang

Most existing Graph Neural Networks (GNNs) are proposed without considering the selection bias in data, i.e., the inconsistent distribution between the training set with test set. In reality, the test data is not even available during the t raining process, making selection bias agnostic. Training GNNs with biased selec ted nodes leads to significant parameter estimation bias and greatly impacts the generalization ability on test nodes. In this paper, we first present an experi mental investigation, which clearly shows that the selection bias drastically hi nders the generalization ability of GNNs, and theoretically prove that the selec tion bias will cause the biased estimation on GNN parameters. Then to remove the bias in GNN estimation, we propose a novel Debiased Graph Neural Networks (DGNN ) with a differentiated decorrelation regularizer. The differentiated decorrelat ion regularizer estimates a sample weight for each labeled node such that the sp urious correlation of learned embeddings could be eliminated. We analyze the reg ularizer in causal view and it motivates us to differentiate the weights of the variables based on their contribution on the confounding bias. Then, these sampl e weights are used for reweighting GNNs to eliminate the estimation bias, thus h elp to improve the stability of prediction on unknown test nodes. Comprehensive experiments are conducted on several challenging graph datasets with two kinds o f label selection bias. The results well verify that our proposed model outperfo rms the state-of-the-art methods and DGNN is a flexible framework to enhance exi sting GNNs.

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Rethinking the Truly Unsupervised Image-to-Image Translation Kyungjune Baek, Yunjey Choi, Youngjung Uh, Jaejun Yoo, Hyunjung Shim

Every recent image-to-image translation model uses either image-level (i.e. input toutput pairs) or set-level (i.e. domain labels) supervision at a minimum. However, even the set-level supervision can be a serious bottleneck for data collect ion in practice. In this paper, we tackle image-to-image translation in a fully unsupervised setting, i.e., neither paired images nor domain labels. To this end, we propose a truly unsupervised image-to-image translation model (TUNIT) that simultaneously learns to separate image domains and translate input images into the estimated domains.

Experimental results show that our model achieves comparable or even better perf ormance than the set-level supervised model trained with full labels, generalize s well on various datasets, and is robust against the choice of hyperparameters (e.g. the preset number of pseudo domains). In addition, TUNIT extends well to the semi-supervised scenario with various amount of labels provided.

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Sufficient and Disentangled Representation Learning Jian Huang, Yuling Jiao, Xu Liao, Jin Liu, Zhou Yu

We propose a novel approach to representation learning called sufficient and dis entangled representation learning (SDRL). With SDRL, we seek a data representati on that maps the input data to a lower-dimensional space with two properties: su fficiency and disentanglement. First, the representation is sufficient in the se nse that the original input data is conditionally independent of the response or label given the representation. Second, the representation is maximally disenta ngled with mutually independent components and rotation invariant in distributio n. We show that such a representation always exists under mild conditions on the input data distribution based on optimal transport theory. We formulate an obje ctive function characterizing conditional independence and disentanglement. This objective function is then used to train a sufficient and disentangled represen tation with deep neural networks. We provide strong statistical guarantees for t he learned representation by establishing an upper bound on the excess error of the objective function and show that it reaches the nonparametric minimax rate u nder mild conditions. We also validate the proposed method via numerical experim ents and real data analysis.

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On Position Embeddings in BERT

Benyou Wang, Lifeng Shang, Christina Lioma, Xin Jiang, Hao Yang, Qun Liu, Jakob Grue S imonsen

Various Position Embeddings (PEs) have been proposed in Transformer based archit ectures~(e.g. BERT) to model word order. These are empirically-driven and perfor m well, but no formal framework exists to systematically study them. To address this, we present three properties of PEs that capture word distance in vector sp ace: translation invariance, monotonicity, and symmetry. These properties form ally capture the behaviour of PEs and allow us to reinterpret sinusoidal PEs in a principled way.

Moreover, we propose a new probing test (called `identical word probing') and mathematical indicators to quantitatively detect the general attention patterns with respect to the above properties. An empirical evaluation of seven PEs (and their combinations) for classification (GLUE) and span prediction (SQuAD) shows that: (1) both classification and span prediction benefit from translation in variance and local monotonicity, while symmetry slightly decreases performance; (2) The fully-learnable absolute PE performs better in classification, while relative PEs perform better in span prediction. We contribute the first formal and quantitative analysis of desiderata for PEs, and a principled discussion about their correlation to the performance of typical downstream tasks.

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On The Adversarial Robustness of 3D Point Cloud Classification Jiachen Sun, Karl Koenig, Yulong Cao, Qi Alfred Chen, Zhuoqing Mao 3D point clouds play pivotal roles in various safety-critical fields, such as au

tonomous driving, which desires the corresponding deep neural networks to be rob ust to adversarial perturbations. Though a few defenses against adversarial poin t cloud classification have been proposed, it remains unknown whether they can p rovide real robustness. To this end, we perform the first security analysis of s tate-of-the-art defenses and design adaptive attacks on them. Our 100% adaptive attack success rates demonstrate that current defense designs are still vulnerab le. Since adversarial training (AT) is believed to be the most effective defense , we present the first in-depth study showing how AT behaves in point cloud clas sification and identify that the required symmetric function (pooling operation) is paramount to the model's robustness under AT. Through our systematic analysi s, we find that the default used fixed pooling operations (e.g., MAX pooling) ge nerally weaken AT's performance in point cloud classification. Still, sorting-ba sed parametric pooling operations can significantly improve the models' robustne ss. Based on the above insights, we further propose DeepSym, a deep symmetric po oling operation, to architecturally advance the adversarial robustness under AT to 47.01% without sacrificing nominal accuracy, outperforming the original desig n and a strong baseline by 28.5% (\$\sim 2.6 \times\$) and 6.5%, respectively, in PointNet.

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Neural Pruning via Growing Regularization

Huan Wang, Can Qin, Yulun Zhang, Yun Fu

Regularization has long been utilized to learn sparsity in deep neural network p runing. However, its role is mainly explored in the small penalty strength regim e. In this work, we extend its application to a new scenario where the regulariz ation grows large gradually to tackle two central problems of pruning: pruning s chedule and weight importance scoring. (1) The former topic is newly brought up in this work, which we find critical to the pruning performance while receives 1 ittle research attention. Specifically, we propose an L2 regularization variant with rising penalty factors and show it can bring significant accuracy gains com pared with its one-shot counterpart, even when the same weights are removed. (2) The growing penalty scheme also brings us an approach to exploit the Hessian in formation for more accurate pruning without knowing their specific values, thus not bothered by the common Hessian approximation problems. Empirically, the prop osed algorithms are easy to implement and scalable to large datasets and network s in both structured and unstructured pruning. Their effectiveness is demonstrat ed with modern deep neural networks on the CIFAR and ImageNet datasets, achievin g competitive results compared to many state-of-the-art algorithms. Our code and trained models are publicly available at https://github.com/mingsun-tse/regular ization-pruning.

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Iterative Image Inpainting with Structural Similarity Mask for Anomaly Detection Hitoshi Nakanishi, Masahiro Suzuki, Yutaka Matsuo

Autoencoders have emerged as popular methods for unsupervised anomaly detection. Autoencoders trained on the normal data are expected to reconstruct only the no rmal features, allowing anomaly detection by thresholding reconstruction errors. However, in practice, autoencoders fail to model small detail and yield blurry reconstructions, which makes anomaly detection challenging. Moreover, there is o bjective mismatching that models are trained to minimize total reconstruction er rors while expecting a small deviation on normal pixels and a large deviation on anomalous pixels. To tackle these two issues, we propose the iterative image in painting method that reconstructs partial regions in an adaptive inpainting mask matrix. This method constructs inpainting masks from the anomaly score of struc tural similarity. Overlaying inpainting mask on images, each pixel is bypassed o r reconstructed based on the anomaly score, enhancing reconstruction quality. Th e iterative update of inpainted images and masks by turns purifies the anomaly s core directly and follows the expected objective at test time. We evaluated the proposed method using the MVTec Anomaly Detection dataset. Our method outperform ed previous state-of-the-art in several categories and showed remarkable improve ment in high-frequency textures.

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Bayesian neural network parameters provide insights into the earthquake rupture physics.

Sabber Ahamed

I present a simple but informative approach to gain insight into the Bayesian ne ural network (BNN) trained parameters. I used 2000 dynamic rupture simulations to train a BNN model to predict if an earthquake can break through a simple 2D fault. In each simulation, fault geometry, stress conditions, and friction parameters vary. The trained BNN parameters show that the network learns the physics of earthquake rupture. Neurons with high positive weights contribute to the earthquake rupture and vice versa. The results show that the stress condition of the fault plays a critical role in determining its strength. The stress is also the top source of uncertainty, followed by the dynamic friction coefficient. When stress and friction drop of a fault have higher value and are combined with higher weighted neurons, the prediction score increases, thus fault likely to be ruptured. Fault's width and height have the least amount of uncertainty, which may not be correct in a real scenario. The study shows that the potentiality of BNN that provides data patterns about rupture physics to make an additional information source for scientists studying the earthquake rupture.

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Mixed-Features Vectors and Subspace Splitting

Alejandro Pimentel-Alarcón, Daniel L. Pimentel-Alarcón

Motivated by metagenomics, recommender systems, dictionary learning, and related problems, this paper introduces subspace splitting(SS): the task of clustering the entries of what we call amixed-features vector, that is, a vector whose subsets of coordinates agree with a collection of subspaces. We derive precise ident ifiability conditions under which SS is well-posed, thus providing the first fundamental theory for this problem. We also propose the first three practical SS a lgorithms, each with advantages and disadvantages: a random sampling method, a projection-based greedy heuristic, and an alternating Lloyd-type algorithm; all allow noise, outliers, and missing data. Our extensive experiments outline the performance of our algorithms, and in lack of other SS algorithms, for reference we compare against methods for tightly related problems, like robust matched subspace detection and maximum feasible subsystem, which are special simpler cases of SS.

Hierarchical Reinforcement Learning by Discovering Intrinsic Options Jesse Zhang, Haonan Yu, Wei Xu

We propose a hierarchical reinforcement learning method, HIDIO, that can learn t ask-agnostic options in a self-supervised manner while jointly learning to utili ze them to solve sparse-reward tasks. Unlike current hierarchical RL approaches that tend to formulate goal-reaching low-level tasks or pre-define ad hoc lower-level policies, HIDIO encourages lower-level option learning that is independent of the task at hand, requiring few assumptions or little knowledge about the task structure. These options are learned through an intrinsic entropy minimization objective conditioned on the option sub-trajectories. The learned options are diverse and task-agnostic. In experiments on sparse-reward robotic manipulation and navigation tasks, HIDIO achieves higher success rates with greater sample efficiency than regular RL baselines and two state-of-the-art hierarchical RL methods. Code at: https://github.com/jesbul/hidio.

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Zero-Shot Learning with Common Sense Knowledge Graphs Nihal Nayak, Stephen Bach

Zero-shot learning relies on semantic class representations such as hand-enginee red attributes or learned embeddings to predict classes without any labeled exam ples. We propose to learn class representations from common sense knowledge grap hs. Common sense knowledge graphs are an untapped source of explicit high-level knowledge that requires little human effort to apply to a range of tasks. To cap ture the knowledge in the graph, we introduce ZSL-KG, a general-purpose framework with a novel transformer graph convolutional network (TrGCN) to generate class representations. Our proposed TrGCN architecture computes non-linear combination

ns of the node neighbourhood and leads to significant improvements on zero-shot learning tasks. We report new state-of-the-art accuracies on six zero-shot bench mark datasets in object classification, intent classification, and fine-grained entity typing tasks. ZSL-KG outperforms the specialized state-of-the-art method for each task by an average 1.7 accuracy points and outperforms the general-purp ose method with the best average accuracy by 5.3 points. Our ablation study on Z SL-KG with alternate graph neural networks shows that our transformer-based aggregator adds up to 2.8 accuracy points improvement on these tasks.

Sharper Generalization Bounds for Learning with Gradient-dominated Objective Functions

Yunwen Lei, Yiming Ying

Stochastic optimization has become the workhorse behind many successful machine learning applications, which motivates a lot of theoretical analysis to understa nd its empirical behavior. As a comparison, there is far less work to study the generalization behavior especially in a non-convex learning setting. In this pap er, we study the generalization behavior of stochastic optimization by leveragin g the algorithmic stability for learning with \$\beta\$-gradient-dominated objecti ve functions. We develop generalization bounds of the order  $0(1/(n\beta))$  plus the convergence rate of the optimization algorithm, where \$n\$ is the sample siz e. Our stability analysis significantly improves the existing non-convex analysi s by removing the bounded gradient assumption and implying better generalization bounds. We achieve this improvement by exploiting the smoothness of loss functi ons instead of the Lipschitz condition in Charles & Papailiopoulos (2018). We ap ply our general results to various stochastic optimization algorithms, which sho w clearly how the variance-reduction techniques improve not only training but al so generalization. Furthermore, our discussion explains how interpolation helps generalization for highly expressive models.

Redefining The Self-Normalization Property

Zhaodong Chen, Zhao WeiQin, Lei Deng, Guoqi Li, Yuan Xie

The approaches that prevent gradient explosion and vanishing have boosted the pe rformance of deep neural networks in recent years. A unique one among them is th e self-normalizing neural network (SNN), which is generally more stable than ini tialization techniques without explicit normalization. The self-normalization pr operty of SNN in previous studies comes from the Scaled Exponential Linear Unit (SELU) activation function. %, which has achieved competitive accuracy on modera te-scale benchmarks. However, it has been shown that in deeper neural networks, SELU either leads to gradient explosion or loses its self-normalization propert y. Besides, its accuracy on large-scale benchmarks like ImageNet is less satisfy ing. In this paper, we analyze the forward and backward passes of SNN with mean -field theory and block dynamical isometry. A new definition for self-normalizat ion property is proposed that is easier to use both analytically and numerically . A proposition is also proposed which enables us compare the strength of the se lf-normalization property between different activation functions. We further dev elop two new activation functions, leaky SELU (ISELU) and scaled SELU (sSELU), t hat have stronger self-normalization property. The optimal parameters in them ca n be easily solved with a constrained optimization program. Besides, analysis on the activation's mean in the forward pass reveals that the self-normalization p roperty on mean gets weaker with larger fan-in, which explains the performance d egradation on ImageNet. This can be solved with weight centralization, mixup dat a augmentation, and centralized activation function. On moderate-scale datasets CIFAR-10, CIFAR-100, and Tiny ImageNet, the direct application of ISELU and sSEL U achieves up to 2.13% higher accuracy. On Conv MobileNet V1 - ImageNet, sSELU w ith Mixup, trainable \$\lambda\$, and centralized activation function reaches 71.9 5% accuracy that is even better than Batch Normalization. (code in Supplementary

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Representation Learning for Sequence Data with Deep Autoencoding Predictive Components

Junwen Bai, Weiran Wang, Yingbo Zhou, Caiming Xiong

We propose Deep Autoencoding Predictive Components (DAPC) -- a self-supervised r epresentation learning method for sequence data, based on the intuition that use ful representations of sequence data should exhibit a simple structure in the la tent space. We encourage this latent structure by maximizing an estimate of \emp h{predictive information} of latent feature sequences, which is the mutual information between the past and future windows at each time step. In contrast to the mutual information lower bound commonly used by contrastive learning, the estim ate of predictive information we adopt is exact under a Gaussian assumption. Add itionally, it can be computed without negative sampling. To reduce the degeneracy of the latent space extracted by powerful encoders and keep useful information from the inputs, we regularize predictive information learning with a challenging masked reconstruction loss. We demonstrate that our method recovers the latent space of noisy dynamical systems, extracts predictive features for forecasting tasks, and improves automatic speech recognition when used to pretrain the encoder on large amounts of unlabeled data.

Descending through a Crowded Valley — Benchmarking Deep Learning Optimizers Robin Marc Schmidt, Frank Schneider, Philipp Hennig

Choosing the optimizer is considered to be among the most crucial design decisio ns in deep learning, and it is not an easy one. The growing literature now lists hundreds of optimization methods. In the absence of clear theoretical guidance and conclusive empirical evidence, the decision is often made based on anecdotes . In this work, we aim to replace these anecdotes, if not with a conclusive rank ing, then at least with evidence-backed heuristics. To do so, we perform an exte nsive, standardized benchmark of more than a dozen particularly popular deep lea rning optimizers while giving a concise overview of the wide range of possible c hoices. Analyzing almost \$35,000\$ individual runs, we contribute the following t hree points: (i) Optimizer performance varies greatly across tasks. (ii) We obse rve that evaluating multiple optimizers with default parameters works approximat ely as well as tuning the hyperparameters of a single, fixed optimizer. (iii) Wh ile we can not discern an optimization method clearly dominating across all test ed tasks, we identify a significantly reduced subset of specific algorithms and parameter choices that generally lead to competitive results in our experiments. This subset includes popular favorites and some lesser-known contenders. We hav e open-sourced all our experimental results, making them directly available as c hallenging and well-tuned baselines. This allows for more meaningful comparisons when evaluating novel optimization methods without requiring any further comput ational efforts.

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Robust Reinforcement Learning using Adversarial Populations

Eugene Vinitsky, Yuqing du, Kanaad V Parvate, Kathy Jang, Pieter Abbeel, Alexandre Bayen

Reinforcement Learning (RL) is an effective tool for controller design but can s truggle with issues of robustness, failing catastrophically when the underlying system dynamics are perturbed. The Robust RL formulation tackles this by adding worst-case adversarial noise to the dynamics and constructing the noise distribu tion as the solution to a zero-sum minimax game. However, existing work on learn ing solutions to the Robust RL formulation has primarily focused on training a s ingle RL agent against a single adversary. In this work, we demonstrate that usi ng a single adversary does not consistently yield robustness to dynamics variati ons under standard parametrizations of the adversary; the resulting policy is hi ghly exploitable by new adversaries. We propose a population-based augmentation to the Robust RL formulation in which we randomly initialize a population of adv ersaries and sample from the population uniformly during training. We empiricall y validate across a variety of benchmarks that the use of an adversarial populat ion results in a less exploitable, more robust policy. Finally, we demonstrate that this approach provides comparable robustness and generalization as domain r andomization on these benchmarks while avoiding a ubiquitous domain randomizatio n failure mode.

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Learning Two-Time-Scale Representations For Large Scale Recommendations Xinshi Chen, Yan Zhu, Haowen Xu, Muhan Zhang, Liang Xiong, Le Song

We propose a surprisingly simple but effective two-time-scale (2TS) model for le arning user representations for recommendation. In our approach, we will partiti on users into two sets, active users with many observed interactions and inactive or new users with few observed interactions, and we will use two RNNs to model them separately. Furthermore, we design a two-stage training method for our model, where, in the first stage, we learn transductive embeddings for users and it ems, and then, in the second stage, we learn the two RNNs leveraging the transductive embeddings trained in the first stage. Through the lens of online learning and stochastic optimization, we provide theoretical analysis that motivates the design of our 2TS model. The 2TS model achieves a nice bias-variance trade-off while being computationally efficient. In large scale datasets, our 2TS model is able to achieve significantly better recommendations than previous state-of-the -art, yet being much more computationally efficient.

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Average-case Acceleration for Bilinear Games and Normal Matrices Carles Domingo-Enrich, Fabian Pedregosa, Damien Scieur

Advances in generative modeling and adversarial learning have given rise to rene wed interest in smooth games. However, the absence of symmetry in the matrix of second derivatives poses challenges that are not present in the classical minimi zation framework. While a rich theory of average-case analysis has been develope d for minimization problems, little is known in the context of smooth games. In this work we take a first step towards closing this gap by developing average-case optimal first-order methods for a subset of smooth games.

We make the following three main contributions. First, we show that for zero-sum bilinear games the average-case optimal method is the optimal method for the minimization of the Hamiltonian. Second, we provide an explicit expression for the optimal method corresponding to normal matrices, potentially non-symmetric. Fin ally, we specialize it to matrices with eigenvalues located in a disk and show a provable speed-up compared to worst-case optimal algorithms. We illustrate our findings through benchmarks with a varying degree of mismatch with our assumptions.

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Unsupervised Cross-lingual Representation Learning for Speech Recognition Alexis Conneau, Alexei Baevski, Ronan Collobert, Abdelrahman Mohamed, Michael Auli This paper presents XLSR which learns cross-lingual speech representations by pretraining a single model from the raw waveform of speech in multiple languages. We build on wav2vec 2.0 which is trained by solving a contrastive task over masked latent speech representations and jointly learns a quantization of the latent shared across languages. The resulting model is fine-tuned on labeled data and experiments show that cross-lingual pretraining significantly outperforms monol ingual pretraining. On the CommonVoice benchmark, XLSR shows a relative phoneme error rate reduction of 72% compared to the best known results. On BABEL, our approach improves word error rate by 16% relative compared to a comparable system. Our approach enables a single multilingual speech recognition model which is competitive to strong individual models. Analysis shows that the latent discrete speech representations are shared across languages with increased sharing for related languages.

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Subspace Clustering via Robust Self-Supervised Convolutional Neural Network Dario Sitnik, Ivica Kopriva

Subspace clustering (SC) approaches based on the self-representation model achie ved encouraging performance when compared with the clustering algorithms that re ly on proximity measures between data points. However, they still face serious l imitations in real-world applications. One limitation relates to the linearity a ssumption of the self-representation model. The reason is that, usually, samples lie in non-linear manifolds, e.g. face images acquired under non-uniform illumination and different poses. Another limitation relates to errors that can be ran

dom or sample-specific (outliers), whereas in real-world applications their orig in is mostly unknown. Furthermore, the majority of existing algorithms use exter nal clustering validation methods to measure clustering quality, and that requir es access to ground-truth (GT) labels. Hence, it is practically important to dev elop a deep SC method that jointly learns self-expressive (SE) feature represent ation and handles data corruptions of unknown origin, and estimates clustering e rror using the internal clustering validation method, i.e. without access to the GT. Mostly, the base of the recently developed deep SC networks is convolutiona l autoencoder. It is an end-to-end fully convolutional network that is based on the minimization of the reconstruction error. Together, the autoencoder and an a dditional SE module are forming a Deep SC network (DSCNet). Hence, the total los s function of DSCNet is composed of reconstruction loss and SE loss. That is, du ring the learning process, the quality of clustering is not taken into account. Self-supervised convolutional SC network (\$S^2\$ConvSCN) addressed this issue thr ough the addition of a fully connected layer (FC) module and a spectral clusteri ng module that, respectively, generate soft- and pseudo-labels.

While inheriting the architecture of the \$S^2\$ConvSCN, this paper proposes a rob ust SE loss and an early self-stopping criterion for training. Robustness to arb itrary (unknown) types of data corruptions is achieved by using the correntropy induced metric (CIM) of the error of the SE model. By mapping the input data space to a reproducible kernel Hilbert space (RKHS), correntropy defines an \$\ell\_2\$\$ distance in RKHS and creates nonlinear distance measure in the original input data space. Hence, correntropy is the optimal measure for error with the arbitrary non-Gaussian distribution as opposed to the MSE that implies Gaussian distribution of errors. Thus, because it is estimated directly from data, CIM can handle data corruption of unknown origin. As opposed to the DSCNet and \$S^2\$ConvSCN, the self-stopping criterion of the proposed algorithm is achieved by reaching a plateau in the change of loss value.

Although the architecture of \$S^2\$ConvSCN contains the FC module, which is capab le of handling out-of-sample data by using a softmax classifier, its performance has not been tested on unseen data. The importance of the FC module is, however, emphasized through self-supervision. In a truly unsupervised setting, pseudo-labels generated from the spectral clustering module guide the learning process through the induced classification error at the end of the FC module. They also enhance the goodness of the self-representation matrix through penalizing incorrectly connected elements. Adding these two loss functions to the total loss makes pseudo-labels an especially important component in label-constrained applications. Such dual self-supervision, in theory, enables deep networks to learn representation from available unlabeled data and to use the small number of labeled data to validate the trained model.

In addition to the main contributions of the paper, this study has three side-co ntributions. It aimed to set up a more transparent way for the proper performanc e estimation of deep SC models and to integrate block-diagonal regularization in to the gradient descent learning process. The majority of SC studies optimize hy perparameters using external clustering validation methodology, whereas the same labels are used for tuning hyperparameters and evaluating the final clustering performance. Furthermore, models like \$\$^2\$ConvSCN suffer from an early commitme nt problem as they depend on weight-transfer from pretrained models that have "s een" the GT already (e.g. DSCNet transferred to \$\$^2\$ConvSCN). From the machine learning perspective, data leakage and hyperparameter tuning based on the test G T are unacceptable. Measured clustering performances of \$S^2\$ConvSCN and DSCNet on the out-of-sample (unseen) data, thus, differ significantly from the optimist ic one presented in the original papers. Also, post-processing of self-represent ation matrix is reduced to a significant extent. Robust \$\$^2\$ConvSCN outperform s its baseline version by a significant amount for both seen and unseen data on four well-known datasets. To the best of our knowledge, such an ablation study o n unseen data has not been reported previously in SC studies.

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Graph-Based Continual Learning

Binh Tang, David S. Matteson

Despite significant advances, continual learning models still suffer from catast rophic forgetting when exposed to incrementally available data from non-stationa ry distributions. Rehearsal approaches alleviate the problem by maintaining and replaying a small episodic memory of previous samples, often implemented as an a rray of independent memory slots. In this work, we propose to augment such an ar ray with a learnable random graph that captures pairwise similarities between it s samples, and use it not only to learn new tasks but also to guard against forg etting. Empirical results on several benchmark datasets show that our model cons istently outperforms recently proposed baselines for task-free continual learnin q.

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Learning Task-General Representations with Generative Neuro-Symbolic Modeling Reuben Feinman, Brenden M. Lake

People can learn rich, general-purpose conceptual representations from only raw perceptual inputs. Current machine learning approaches fall well short of these human standards, although different modeling traditions often have complementary strengths. Symbolic models can capture the compositional and causal knowledge t hat enables flexible generalization, but they struggle to learn from raw inputs, relying on strong abstractions and simplifying assumptions. Neural network mode ls can learn directly from raw data, but they struggle to capture compositional and causal structure and typically must retrain to tackle new tasks. We bring to gether these two traditions to learn generative models of concepts that capture rich compositional and causal structure, while learning from raw data. We develo p a generative neuro-symbolic (GNS) model of handwritten character concepts that uses the control flow of a probabilistic program, coupled with symbolic stroke primitives and a symbolic image renderer, to represent the causal and compositio nal processes by which characters are formed. The distributions of parts (stroke s), and correlations between parts, are modeled with neural network subroutines, allowing the model to learn directly from raw data and express nonparametric st atistical relationships. We apply our model to the Omniglot challenge of human-l evel concept learning, using a background set of alphabets to learn an expressiv e prior distribution over character drawings. In a subsequent evaluation, our GN S model uses probabilistic inference to learn rich conceptual representations fr om a single training image that generalize to 4 unique tasks, succeeding where p revious work has fallen short.

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Preventing Value Function Collapse in Ensemble Q-Learning by Maximizing Represe ntation Diversity

Hassam Sheikh, Ladislau Boloni

The first deep RL algorithm, DQN, was limited by the overestimation bias of the learned Q-function. Subsequent algorithms proposed techniques to reduce this pro blem, without fully eliminating it. Recently, the Maxmin and Ensemble Q-learning algorithms used the different estimates provided by ensembles of learners to re duce the bias. Unfortunately, these learners can converge to the same point in the parametric or representation space, falling back to the classic single neural network DQN. In this paper, we describe a regularization technique to maximize diversity in the representation space in these algorithms. We propose and compare five regularization functions inspired from economics theory and consensus optimization. We show that the resulting approach significantly outperforms the Maxmin and Ensemble Q-learning algorithms as well as non-ensemble baselines.

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Neural spatio-temporal reasoning with object-centric self-supervised learning David Ding, Felix Hill, Adam Santoro, Matthew Botvinick

Transformer-based language models have proved capable of rudimentary symbolic re asoning, underlining the effectiveness of applying self-attention computations to sets of discrete entities. In this work, we apply this lesson to videos of ph ysical interaction between objects. We show that self-attention-based models ope

rating on discrete, learned, object-centric representations perform well on spat io-temporal reasoning tasks which were expressly designed to trouble traditional neural network models and to require higher-level cognitive processes such as c ausal reasoning and understanding of intuitive physics and narrative structure. We achieve state of the art results on two datasets, CLEVRER and CATER, signific antly outperforming leading hybrid neuro-symbolic models. Moreover, we find that techniques from language modelling, such as BERT-style semi-supervised predicti ve losses, allow our model to surpass neuro-symbolic approaches while using 40% less labelled data. Our results corroborate the idea that neural networks can re ason about the causal, dynamic structure of visual data and attain understanding of intuitive physics, which counters the popular claim that they are only effective at perceptual pattern-recognition and not reasoning per se.

Is Label Smoothing Truly Incompatible with Knowledge Distillation: An Empirical Study

Zhiqiang Shen, Zechun Liu, Dejia Xu, Zitian Chen, Kwang-Ting Cheng, Marios Savvides This work aims to empirically clarify a recently discovered perspective that lab el smoothing is incompatible with knowledge distillation. We begin by introducin g the motivation behind on how this incompatibility is raised, i.e., label smoot hing erases relative information between teacher logits. We provide a novel conn ection on how label smoothing affects distributions of semantically similar and dissimilar classes. Then we propose a metric to quantitatively measure the degre e of erased information in sample's representation. After that, we study its one -sidedness and imperfection of the incompatibility view through massive analyses, visualizations and comprehensive experiments on Image Classification, Binary N etworks, and Neural Machine Translation. Finally, we broadly discuss several cir cumstances wherein label smoothing will indeed lose its effectiveness.

MVP-BERT: Redesigning Vocabularies for Chinese BERT and Multi-Vocab Pretraining Wei Zhu

Despite the development of pre-trained language models (PLMs) significantly rais e the performances of various Chinese natural language processing (NLP) tasks, t he vocabulary for these Chinese PLMs remain to be the one provided by Google Chinese Bert, which is based on Chinese characters. Second, the masked language model pre-training is based on a single vocabulary, which limits its downstream task performances. In this work, we first propose a novel method, \$seg\\_tok\$, to form the vocabulary of Chinese BERT, with the help of Chinese word segmentation (CWS) and subword tokenization. Then we propose three versions of multi-vocabulary pretraining (MVP) to improve the models expressiveness. Experiments show that:

(a) compared with char based vocabulary, \$seg\\_tok\$ does not only improves the performances of Chinese PLMs on sentence level tasks, it can also improve efficiency; (b) MVP improves PLMs' downstream performance, especially it can improve \$seg\\_tok\$'s performances on sequence labeling tasks.

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Sparse Quantized Spectral Clustering

Zhenyu Liao, Romain Couillet, Michael W. Mahoney

Given a large data matrix, sparsifying, quantizing, and/or performing other entry-wise nonlinear operations can have numerous benefits, ranging from speeding up iterative algorithms for core numerical linear algebra problems to providing no nlinear filters to design state-of-the-art neural network models. Here, we exploit tools from random matrix theory to make precise statements about how the eigenspectrum of a matrix changes under such nonlinear transformations. In particular, we show that very little change occurs in the informative eigenstructure, even under drastic sparsification/quantization, and consequently that very little downstream performance loss occurs when working with very aggressively sparsified or quantized spectral clustering problems.

We illustrate how these results depend on the nonlinearity, we characterize a ph ase transition beyond which spectral clustering becomes possible, and we show wh en such nonlinear transformations can introduce spurious non-informative eigenve ctors.

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Factor Normalization for Deep Neural Network Models

Haobo Qi, Jing Zhou, Hansheng Wang

Deep neural network (DNN) models often involve features of high dimensions. In m ost cases, the high-dimensional features can be decomposed into two parts. The f irst part is a low-dimensional factor. The second part is the residual feature, with much-reduced variability and inter-feature correlation. This leads to a num ber of interesting theoretical findings for deep neural network training. Accordingly, we are inspired to develop a new factor normalization method for better p erformance. The proposed method leads to a new deep learning model with two important features. First, it allows factor related feature extraction. Second, it a llows adaptive learning rates for factors and residuals, respectively. This leads to fast convergence speed on both training and validation datsets. A number of empirical experiments are presented to demonstrate its superior performance. The code is available at https://github.com/HazardNeo4869/FactorNormalization

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The Unreasonable Effectiveness of Patches in Deep Convolutional Kernels Methods Louis THIRY, Michael Arbel, Eugene Belilovsky, Edouard Oyallon

A recent line of work showed that various forms of convolutional kernel method s can be competitive with standard supervised deep convolutional networks on dat asets like CIFAR-10, obtaining accuracies in the range of 87-90% while being mor e amenable to theoretical analysis. In this work, we highlight the importance of a data-dependent feature extraction step that is key to the obtain good perform ance in convolutional kernel methods. This step typically corresponds to a white ned dictionary of patches, and gives rise to a data-driven convolutional kernel methods. We extensively study its effect, demonstrating it is the key ingredient for high performance of these methods. Specifically, we show that one of the sim plest instances of such kernel methods, based on a single layer of image patche s followed by a linear classifier is already obtaining classification accuracies on CIFAR-10 in the same range as previous more sophisticated convolutional kern el methods. We scale this method to the challenging ImageNet dataset, showing su ch a simple approach can exceed all existing non-learned representation methods. This is a new baseline for object recognition without representation learning m ethods, that initiates the investigation of convolutional kernel models on Im ageNet. We conduct experiments to analyze the dictionary that we used, our ablat ions showing they exhibit low-dimensional properties.

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Graph Coarsening with Neural Networks

Chen Cai, Dingkang Wang, Yusu Wang

As large scale-graphs become increasingly more prevalent, it poses significant c omputational challenges to process, extract and analyze large graph data. Graph coarsening is one popular technique to reduce the size of a graph while maintain ing essential properties. Despite rich graph coarsening literature, there is onl y limited exploration of data-driven method in the field. In this work, we lever age the recent progress of deep learning on graphs for graph coarsening. We firs t propose a framework for measuring the quality of coarsening algorithm and show that depending on the goal, we need to carefully choose the Laplace operator on the coarse graph and associated projection/lift operators. Motivated by the obs ervation that the current choice of edge weight for the coarse graph may be suboptimal, we parametrize the weight assignment map with graph neural networks and train it to improve the coarsening quality in an unsupervised way. Through exte nsive experiments on both synthetic and real networks, we demonstrate that our m ethod significantly improves common graph coarsening methods under various metri cs, reduction ratios, graph sizes, and graph types. It generalizes to graphs of larger size (more than \$25\times\$ of training graphs), adaptive to different los ses (both differentiable and non-differentiable), and scales to much larger grap hs than previous work.

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Cross-State Self-Constraint for Feature Generalization in Deep Reinforcement Learning

Guan Ting Liu, Pu-Jen Cheng, Guan Yu Lin

Representation learning on visualized input is an important yet challenging task for deep reinforcement learning (RL). The feature space learned from visualized input not only dominates the agent's generalization ability in new environments but also affect the data efficiency during training. To help the RL agent learn general and discriminative representation among various states, we present cross-state self-constraint(CSSC), a novel constraint that regularizes the representation feature space by comparing similarity of different pairs of representations. Based on the representation-behavior connection derived from the agent's experience, this constraint helps reinforce the general feature recognition during the learning process and thus enhance the generalization to unseen environment. We test our proposed method on the OpenAI ProcGen benchmark and see significant improvement on generalization performance across most of ProcGen games.

On Size Generalization in Graph Neural Networks

Gilad Yehudai, Ethan Fetaya, Eli Meirom, Gal Chechik, Haggai Maron

Graph neural networks (GNNs) can process graphs of different sizes but their cap acity to generalize across sizes is still not well understood. Size generalizati on is key to numerous GNN applications, from solving combinatorial optimization problems to learning in molecular biology. In such problems, obtaining labels and training on large graphs can be prohibitively expensive, but training on small er graphs is possible.

This paper puts forward the size-generalization question and characterizes important aspects of that problem theoretically and empirically.

We prove that even for very simple tasks, such as counting the number of nodes or edges in a graph, GNNs do not naturally generalize to graphs of larger size. I nstead, their generalization performance is closely related to the distribution of local patterns of connectivity and features and how that distribution changes from small to large graphs. Specifically, we prove that for many tasks, there a re weight assignments for GNNs that can perfectly solve the task on small graphs but fail on large graphs, if there is a discrepancy between their local pattern s. We further demonstrate on several tasks, that training GNNs on small graphs r esults in solutions which do not generalize to larger graphs. We then formalize size generalization as a domain-adaption problem and describe two learning setups where size generalization can be improved. First, as a self-supervised learning problem (SSL) over the target domain of large graphs. Second as a semi-supervised learning problem when few samples are available in the target domain. We demonstrate the efficacy of these solutions on a diverse set of benchmark graph dat

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On the Universality of the Double Descent Peak in Ridgeless Regression David Holzmüller

We prove a non-asymptotic distribution-independent lower bound for the expected mean squared generalization error caused by label noise in ridgeless linear regr ession. Our lower bound generalizes a similar known result to the overparameteri zed (interpolating) regime. In contrast to most previous works, our analysis app lies to a broad class of input distributions with almost surely full-rank featur e matrices, which allows us to cover various types of deterministic or random fe ature maps. Our lower bound is asymptotically sharp and implies that in the pres ence of label noise, ridgeless linear regression does not perform well around th e interpolation threshold for any of these feature maps. We analyze the imposed assumptions in detail and provide a theory for analytic (random) feature maps. U sing this theory, we can show that our assumptions are satisfied for input distr ibutions with a (Lebesgue) density and feature maps given by random deep neural networks with analytic activation functions like sigmoid, tanh, softplus or GELU . As further examples, we show that feature maps from random Fourier features an d polynomial kernels also satisfy our assumptions. We complement our theory with further experimental and analytic results.

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Fast Binarized Neural Network Training with Partial Pre-training Alex Renda, Joshua Wolff Fromm

Binarized neural networks, networks with weights and activations constrained to lie in a 2-element set, allow for more time—and resource-efficient inference th an standard floating-point networks. However, binarized neural networks typicall y take more training to plateau in accuracy than their floating-point counterpar ts, in terms of both iteration count and wall clock time. We demonstrate a techn ique, partial pre-training, that allows for faster from-scratch training of bina rized neural networks by first training the network as a standard floating-point network for a short amount of time, then converting the network to a binarized neural network and continuing to train from there. Without tuning any hyperparam eters across four networks on three different datasets, partial pre-training is able to train binarized neural networks between \$1.26\times\$ and \$1.61\times\$ fa ster than when training a binarized network from scratch using standard low-prec ision training.

Differentiable Graph Optimization for Neural Architecture Search
Chengyne Huang Lingfei Wu Yadong Ding Siliang Tang Fangli Xu Chang Zong Chi

Chengyue Huang, Lingfei Wu, Yadong Ding, Siliang Tang, Fangli Xu, Chang Zong, Chilie Tan, Yueting Zhuang

In this paper, we propose Graph Optimized Neural Architecture Learning (GOAL), a novel gradient-based method for Neural Architecture Search (NAS), to find bette r architectures with fewer evaluated samples. Popular NAS methods usually employ black-box optimization based approaches like reinforcement learning, evolution algorithm or Bayesian optimization, which may be inefficient when having huge combinatorial NAS search spaces. In contrast, we aim to explicitly model the NAS search space as graphs, and then perform gradient-based optimization to learn graph structure with efficient exploitation. To this end, we learn a differentiable graph neural network as a surrogate model to rank candidate architectures, which enable us to obtain gradient w.r.t the input architectures. To cope with the difficulty in gradient-based optimization on the discrete graph structures, we propose to leverage proximal gradient descent to find potentially better architect ures.

Our empirical results show that GOAL outperforms mainstream black-box methods on existing NAS benchmarks in terms of search efficiency.

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Deep Repulsive Clustering of Ordered Data Based on Order-Identity Decomposition Seon-Ho Lee, Chang-Su Kim

We propose the deep repulsive clustering (DRC) algorithm of ordered data for eff ective order learning. First, we develop the order-identity decomposition (ORID) network to divide the information of an object instance into an order-related f eature and an identity feature. Then, we group object instances into clusters ac cording to their identity features using a repulsive term. Moreover, we estimate the rank of a test instance, by comparing it with references within the same cluster. Experimental results on facial age estimation, aesthetic score regression, and historical color image classification show that the proposed algorithm can cluster ordered data effectively and also yield excellent rank estimation performance.

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Rapid Task-Solving in Novel Environments

Samuel Ritter, Ryan Faulkner, Laurent Sartran, Adam Santoro, Matthew Botvinick, David Raposo

We propose the challenge of rapid task-solving in novel environments (RTS), wher ein an agent must solve a series of tasks as rapidly as possible in an unfamilia r environment. An effective RTS agent must balance between exploring the unfamil iar environment and solving its current task, all while building a model of the new environment over which it can plan when faced with later tasks. While modern deep RL agents exhibit some of these abilities in isolation, none are suitable for the full RTS challenge. To enable progress toward RTS, we introduce two chal lenge domains: (1) a minimal RTS challenge called the Memory&Planning Game and (

2) One-Shot StreetLearn Navigation, which introduces scale and complexity from r eal-world data. We demonstrate that state-of-the-art deep RL agents fail at RTS in both domains, and that this failure is due to an inability to plan over gathe red knowledge. We develop Episodic Planning Networks (EPNs) and show that deep-R L agents with EPNs excel at RTS, outperforming the nearest baseline by factors o f 2-3 and learning to navigate held-out StreetLearn maps within a single episode . We show that EPNs learn to execute a value iteration-like planning algorithm a nd that they generalize to situations beyond their training experience.

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Autonomous Learning of Object-Centric Abstractions for High-Level Planning Steven James, Benjamin Rosman, George Konidaris

We propose a method for autonomously learning an object-centric representation of a continuous and high-dimensional environment that is suitable for planning. Such representations can immediately be transferred between tasks that share the same types of objects, resulting in agents that require fewer samples to learn a model of a new task. We first demonstrate our approach on a simple domain where the agent learns a compact, lifted representation that generalises across objects. We then apply it to a series of Minecraft tasks to learn object-centric representations, including object types—directly from pixel data—that can be leveraged to solve new tasks quickly. The resulting learned representations enable the use of a task-level planner, resulting in an agent capable of forming complex, long-term plans with considerably fewer environment interactions.

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Spherical Motion Dynamics: Learning Dynamics of Neural Network with Normalizatio n, Weight Decay, and SGD

Ruosi Wan, Zhanxing Zhu, Xiangyu Zhang, Jian Sun

In this work, we comprehensively reveal the learning dynamics of neural network with normalization, weight decay (WD), and SGD (with momentum), named as Spheric al Motion Dynamics (SMD). Most related works study SMD by focusing on "effective learning rate" in "equilibrium" condition, where weight norm remains unchanged. However, their discussions on why equilibrium condition can be reached in SMD is either absent or less convincing. Our work investigates SMD by directly exploring the cause of equilibrium condition. Specifically, 1) we introduce the assumptions that can lead to equilibrium condition in SMD, and prove that weight norm can converge at linear rate with given assumptions; 2) we propose "angular update" as a substitute for effective learning rate to measure the evolving of neural network in SMD, and prove angular update can also converge to its theoretical value at linear rate; 3) we verify our assumptions and theoretical results on various computer vision tasks including ImageNet and MSCOCO with standard settings. Experiment results show our theoretical findings agree well with empirical observations.

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DINO: A Conditional Energy-Based GAN for Domain Translation Konstantinos Vougioukas, Stavros Petridis, Maja Pantic

Domain translation is the process of transforming data from one domain to anothe r while preserving the common semantics. Some of the most popular domain transla tion systems are based on conditional generative adversarial networks, which use source domain data to drive the generator and as an input to the discriminator. However, this approach does not enforce the preservation of shared semantics si nce the conditional input can often be ignored by the discriminator. We propose an alternative method for conditioning and present a new framework, where two ne tworks are simultaneously trained, in a supervised manner, to perform domain translation in opposite directions. Our method is not only better at capturing the shared information between two domains but is more generic and can be applied to a broader range of problems. The proposed framework performs well even in chall enging cross-modal translations, such as video-driven speech reconstruction, for which other systems struggle to maintain correspondence.

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Self-Supervised Video Representation Learning with Constrained Spatiotemporal Jigsaw

Yuqi Huo, Mingyu Ding, Haoyu Lu, Zhiwu Lu, Tao Xiang, Ji-Rong Wen, Ziyuan Huang, Jianwe n Jiang, Shiwei Zhang, Minggian Tang, Songfang Huang, Ping Luo

This paper proposes a novel pretext task for self-supervised video representatio n learning by exploiting spatiotemporal continuity in videos. It is motivated by the fact that videos are spatiotemporal by nature and a representation learned to detect spatiotemporal continuity/discontinuity is thus beneficial for downstr eam video content analysis tasks. A natural choice of such a pretext task is to construct spatiotemporal (3D) jigsaw puzzles and learn to solve them. However, t his task turns out to be intractable. We thus propose Constrained Spatiotemporal Jigsaw (CSJ) whereby the 3D jigsaws are formed in a constrained manner to ensur e that large continuous spatiotemporal cuboids exist in a shuffled clip to provi de sufficient cues for the model to reason about the continuity. With the constr ained jigsaw puzzles, instead of solving them directly, which could still be ext remely hard, we carefully design four surrogate tasks that are more solvable but meanwhile still ensure that the learned representation is sensitive to spatiote mporal continuity at both the local and global levels. Extensive experiments sho w that our CSJ achieves state-of-the-art on two downstream tasks across various benchmarks.

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Removing Undesirable Feature Contributions Using Out-of-Distribution Data Saehyung Lee, Changhwa Park, Hyungyu Lee, Jihun Yi, Jonghyun Lee, Sungroh Yoon Several data augmentation methods deploy unlabeled-in-distribution (UID) data to bridge the gap between the training and inference of neural networks. However, these methods have clear limitations in terms of availability of UID data and de pendence of algorithms on pseudo-labels. Herein, we propose a data augmentation method to improve generalization in both adversarial and standard learning by us ing out-of-distribution (OOD) data that are devoid of the abovementioned issues. We show how to improve generalization theoretically using OOD data in each lear ning scenario and complement our theoretical analysis with experiments on CIFAR-10, CIFAR-100, and a subset of ImageNet. The results indicate that undesirable f eatures are shared even among image data that seem to have little correlation fr om a human point of view. We also present the advantages of the proposed method through comparison with other data augmentation methods, which can be used in th e absence of UID data. Furthermore, we demonstrate that the proposed method can further improve the existing state-of-the-art adversarial training.

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Cross-Modal Retrieval Augmentation for Multi-Modal Classification Shir Gur, Natalia Neverova, Chris Stauffer, Ser-Nam Lim, Douwe Kiela, Austin Reiter Recent advances in using retrieval components over external knowledge sources have shown impressive results for a variety of downstream tasks in natural language processing. Here, we explore the use of unstructured external knowledge sources of images and their corresponding captions for improving visual question answering (VQA). First, we train a novel alignment model for embedding images and captions in the same space, which achieves state-of-the-art image-caption retrieval performance w.r.t. similar methods.

Second, we show that retrieval-augmented multi-modal transformers using the trained alignment model  $\ensuremath{\mathsf{E}}$ 

significantly improve results on VQA over strong baselines.

We further conduct extensive experiments to establish the promise of this approach, and examine novel applications for inference time such as hot-swapping indices.

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Self-Supervised Multi-View Learning via Auto-Encoding 3D Transformations Xiang Gao, Wei Hu, Guo-Jun Qi

3D object representation learning is a fundamental challenge in computer vision to draw inferences about the 3D world. Recent advances in deep learning have sho wn their efficiency in 3D object recognition, among which view-based methods hav e performed best so far. However, feature learning of multiple views in existing methods is mostly trained in a supervised fashion, which often requires a large amount of data labels with high cost. Hence, it is critical to learn multi-view

feature representations in a self-supervised fashion. To this end, we propose a novel self-supervised learning paradigm of Multi-View Transformation Equivarian t Representations (MV-TER), exploiting the equivariant transformations of a 3D object and its projected multiple views. Specifically, we perform a 3D transformation on a 3D object, and obtain multiple views before and after transformation v ia projection. Then, we self-train a representation learning module to capture t he intrinsic 3D object representation by decoding 3D transformation parameters f rom the fused feature representations of multiple views before and after transformation. Experimental results demonstrate that the proposed MV-TER significantly outperforms the state-of-the-art view-based approaches in 3D object classificat ion and retrieval tasks.

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TopoTER: Unsupervised Learning of Topology Transformation Equivariant Representations

Xiang Gao, Wei Hu, Guo-Jun Qi

We present the Topology Transformation Equivariant Representation (TopoTER) lear ning, a general paradigm of unsupervised learning of node representations of graph data for the wide applicability to Graph Convolutional Neural Networks (GCNNs). We formalize the TopoTER from an information-theoretic perspective, by maximizing the mutual information between topology transformations and node representations before and after the transformations. We derive that maximizing such mutual information can be relaxed to minimizing the cross entropy between the applied topology transformation and its estimation from node representations. In particular, we seek to sample a subset of node pairs from the original graph and flip the edge connectivity between each pair to transform the graph topology. Then, we self-train a representation encoder to learn node representations by reconstructing the topology transformations from the feature representations of the original and transformed graphs. In experiments, we apply the TopoTER to the downstre am node and graph classification tasks, and results show that the TopoTER outper forms the state-of-the-art unsupervised approaches.

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Generalized Gumbel-Softmax Gradient Estimator for Generic Discrete Random Variables

Weonyoung Joo, Dongjun Kim, Seungjae Shin, Il-chul Moon

Estimating the gradients of stochastic nodes, which enables the gradient descent optimization on neural network parameters, is one of the crucial research quest ions in the deep generative modeling community. When it comes to discrete distri butions, Gumbel-Softmax trick reparameterizes Bernoulli and categorical random v ariables by continuous relaxation. However, gradient estimators of discrete dist ributions other than the Bernoulli and the categorical have not been explored, a nd the the Gumbel-Softmax trick is not directly applicable to other discrete dis tributions. This paper proposes a general version of the Gumbel-Softmax estimato r with a theoretical basis, and the proposed estimator is able to reparameterize generic discrete distributions, broader than the Bernoulli and the categorical. In detail, we utilize the truncation of discrete random variables and the Gumbe 1-Softmax trick with a linear transformation for the relaxed reparameterization. The proposed approach enables the relaxed discrete random variable to be repara meterized through a large-scale stochastic computational graph. Our experiments consist of (1) synthetic data analyses and applications on VAE, which show the e fficacy of our methods; and (2) topic models, which demonstrate the value of the proposed estimation in practice.

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Post-Training Weighted Quantization of Neural Networks for Language Models Se Jung Kwon, Dongsoo Lee, Yongkweon Jeon, Byeongwook Kim, Bae Seong Park, Yeonju Ro As a practical model compression technique, parameter quantization is effective especially for language models associated with a large memory footprint. Neural network quantization is usually performed to reduce quantization loss assuming that quantization error of each parameter equally contributes to the overall training loss. The importance of each parameter, however, may highly differ such that for the same number of quantization bits, certain parameters lead to higher tr

aining loss than the others after quantization. In this paper, we consider a non-uniform quantization scheme, specifically binary-coding-based quantization, for high compression ratio and efficient computations while avoiding large accuracy degradation by uniform quantization (e.g., INT8). Then, we derive quantization optimization methods to take into account the importance of each parameter. We demonstrate that for post-training quantization, weight magnitude can represent in mportance and improve model accuracy significantly compared to the previous schemes lacking importance considerations. For various language models including BERT, Distilbert, AWD-LSTM, and Transformer, we achieve 2-4 bits per weight by our proposed post-training quantization with reasonable accuracy degradation.

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Gradient Flow in Sparse Neural Networks and How Lottery Tickets Win Utku Evci, Yani Ioannou, Cem Keskin, Yann Dauphin

Sparse Neural Networks (NNs) can match the generalization of dense NNs using a f raction of the compute/storage for inference, and also have the potential to ena ble efficient training. However, naively training unstructured sparse NNs from r andom initialization results in significantly worse generalization, with the not able exception of Lottery Tickets (LTs) and Dynamic Sparse Training (DST). In th is work, we attempt to answer: (1) why training unstructured sparse networks from random initialization performs poorly and; and (2) what makes LTs and DST the exceptions? We show that sparse NNs have poor gradient flow at initialization and propose a modified initialization for unstructured connectivity. Furthermore, we find that DST methods significantly improve gradient flow during training over traditional sparse training methods. Finally, we show that LTs do not improve gradient flow, rather their success lies in re-learning the pruning solution the y are derived from — however, this comes at the cost of learning novel solutions

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Learning Disconnected Manifolds: Avoiding The No Gan's Land by Latent Rejection Thibaut Issenhuth, Ugo Tanielian, David Picard, Jeremie Mary

Standard formulations of GANs, where a continuous function deforms a connected l atent space, have been shown to be misspecified when fitting disconnected manifo lds. In particular, when covering different classes of images, the generator wil l necessarily sample some low quality images in between the modes. Rather than m odify the learning procedure, a line of works aims at improving the sampling qua lity from trained generators. Thus, it is now common to introduce a rejection st ep within the generation procedure.

Building on this, we propose to train an additional network and transform the la tent space via an adversarial learning of importance weights. This idea has seve ral advantages: 1) it provides a way to inject disconnectedness on any GAN architecture, 2) the rejection avoids going through both the generator and the discriminator saving computation time, 3) this importance weights formulation provides a principled way to estimate the Wasserstein's distance to the true distribution, enabling its minimization. We demonstrate the effectiveness of our method on different datasets, both synthetic and high dimensional, and stress its superior ity on highly disconnected data.

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Temporal Difference Uncertainties as a Signal for Exploration Sebastian Flennerhag, Jane X Wang, Pablo Sprechmann, Francesco Visin, Alexandre Gala shov, Steven Kapturowski, Diana L Borsa, Nicolas Heess, Andre Barreto, Razvan Pascanu An effective approach to exploration in reinforcement learning is to rely on an agent's uncertainty over the optimal policy, which can yield near-optimal explor ation strategies in tabular settings. However, in non-tabular settings that invo lve function approximators, obtaining accurate uncertainty estimates is almost a schallenging as the exploration problem itself. In this paper, we highlight that to value estimates are easily biased and temporally inconsistent. In light of this, we propose a novel method for estimating uncertainty over the value function that relies on inducing a distribution over temporal difference errors. This exploration signal controls for state-action transitions so as to isolate uncertainty in value that is due to uncertainty over the agent's parameters. Because our

measure of uncertainty conditions on state-action transitions, we cannot act on this measure directly. Instead, we incorporate it as an intrinsic reward and tre at exploration as a separate learning problem, induced by the agent's temporal d ifference uncertainties. We introduce a distinct exploration policy that learns to collect data with high estimated uncertainty, which gives rise to a curriculu m that smoothly changes throughout learning and vanishes in the limit of perfect value estimates. We evaluate our method on hard exploration tasks, including De ep Sea and Atari 2600 environments and find that our proposed form of exploration n facilitates efficient exploration.

Federated Continual Learning with Weighted Inter-client Transfer Jaehong Yoon, Wonyong Jeong, Giwoong Lee, Eunho Yang, Sung Ju Hwang

There has been a surge of interest in continual learning and federated learning, both of which are important in deep neural networks in real-world scenarios. Ye t little research has been done regarding the scenario where each client learns on a sequence of tasks from a private local data stream. This problem of federa ted continual learning poses new challenges to continual learning, such as utili zing knowledge from other clients, while preventing interference from irrelevant knowledge. To resolve these issues, we propose a novel federated continual lea rning framework, Federated Weighted Inter-client Transfer (FedWeIT), which decom poses the network weights into global federated parameters and sparse task-speci fic parameters, and each client receives selective knowledge from other clients by taking a weighted combination of their task-specific parameters.FedWeITminimi zes interference between incompatible tasks, and also allows positive knowledge transfer across clients during learning. We validate ourFedWeITagainst existing federated learning and continual learning methods under varying degrees of task similarity across clients, and our model significantly outperforms them with a l arge reduction in the communication cost.

Accurate Learning of Graph Representations with Graph Multiset Pooling Jinheon Baek, Minki Kang, Sung Ju Hwang

Graph neural networks have been widely used on modeling graph data, achieving im pressive results on node classification and link prediction tasks. Yet, obtainin g an accurate representation for a graph further requires a pooling function tha t maps a set of node representations into a compact form. A simple sum or averag e over all node representations considers all node features equally without cons ideration of their task relevance, and any structural dependencies among them. R ecently proposed hierarchical graph pooling methods, on the other hand, may yiel d the same representation for two different graphs that are distinguished by the Weisfeiler-Lehman test, as they suboptimally preserve information from the node features. To tackle these limitations of existing graph pooling methods, we fir st formulate the graph pooling problem as a multiset encoding problem with auxil iary information about the graph structure, and propose a Graph Multiset Transfo rmer (GMT) which is a multi-head attention based global pooling layer that captu res the interaction between nodes according to their structural dependencies. We show that GMT satisfies both injectiveness and permutation invariance, such tha t it is at most as powerful as the Weisfeiler-Lehman graph isomorphism test. Mor eover, our methods can be easily extended to the previous node clustering approa ches for hierarchical graph pooling. Our experimental results show that GMT sign ificantly outperforms state-of-the-art graph pooling methods on graph classifica tion benchmarks with high memory and time efficiency, and obtains even larger pe rformance gain on graph reconstruction and generation tasks.

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AN ONLINE SEQUENTIAL TEST FOR QUALITATIVE TREATMENT EFFECTS Chengchun Shi, Shikai Luo, Rui Song, Hongtu Zhu

Tech companies (e.g., Google or Facebook) often use randomized online experiment s and/or A/B testing primarily based on the average treatment effects to compare their new product with an old one. However, it is also critically important to detect qualitative treatment effects such that the new one may significantly out perform the existing one only under some specific circumstances. The aim of this

paper is to develop a powerful testing procedure to efficiently detect such qua litative treatment effects. We propose a scalable online updating algorithm to i mplement our test procedure. It has three novelties including adaptive randomiza tion, sequential monitoring, and online updating with guaranteed type-I error co ntrol. We also thoroughly examine the theoretical proper- ties of our testing pr ocedure including the limiting distribution of test statistics and the justifica tion of an efficient bootstrap method. Extensive empirical studies are conducted to examine the finite sample performance of our test procedure.

Dream and Search to Control: Latent Space Planning for Continuous Control Anurag Koul, Varun Kumar Vijay, Alan Fern, Somdeb Majumdar

Learning and planning with latent space dynamics has been shown to be useful for sample efficiency in model-based reinforcement learning (MBRL) for discrete and continuous control tasks. In particular, recent work, for discrete action space s, demonstrated the effectiveness of latent-space planning via Monte-Carlo Tree Search (MCTS) for bootstrapping MBRL during learning and at test time. However, the potential gains from latent-space tree search have not yet been demonstrated for environments with continuous action spaces. In this work, we propose and e xplore an MBRL approach for continuous action spaces based on tree-based planning over learned latent dynamics. We show that it is possible to demonstrate the types of bootstrapping benefits as previously shown for discrete spaces. In part icular, the approach achieves improved sample efficiency and performance on a majority of challenging continuous-control benchmarks compared to the state-of-the-art.

Federated Semi-Supervised Learning with Inter-Client Consistency & Disjoint Learning

Wonyong Jeong, Jaehong Yoon, Eunho Yang, Sung Ju Hwang

While existing federated learning approaches mostly require that clients have fu lly-labeled data to train on, in realistic settings, data obtained at the client -side often comes without any accompanying labels. Such deficiency of labels may result from either high labeling cost, or difficulty of annotation due to the r equirement of expert knowledge. Thus the private data at each client may be eith er partly labeled, or completely unlabeled with labeled data being available onl y at the server, which leads us to a new practical federated learning problem, n amely Federated Semi-Supervised Learning (FSSL). In this work, we study two esse ntial scenarios of FSSL based on the location of the labeled data. The first sce nario considers a conventional case where clients have both labeled and unlabele d data (labels-at-client), and the second scenario considers a more challenging case, where the labeled data is only available at the server (labels-at-server). We then propose a novel method to tackle the problems, which we refer to as Fed erated Matching (FedMatch). FedMatch improves upon naive combinations of federat ed learning and semi-supervised learning approaches with a new inter-client cons istency loss and decomposition of the parameters for disjoint learning on labele d and unlabeled data. Through extensive experimental validation of our method in the two different scenarios, we show that our method outperforms both local sem i-supervised learning and baselines which naively combine federated learning wit h semi-supervised learning.

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Contrastive Learning with Adversarial Perturbations for Conditional Text Gener ation

Seanie Lee, Dong Bok Lee, Sung Ju Hwang

Recently, sequence-to-sequence (seq2seq) models with the Transformer architectur e have achieved remarkable performance on various conditional text generation ta sks, such as machine translation. However, most of them are trained with teacher forcing with the ground truth label given at each time step, without being exposed to incorrectly generated tokens during training, which hurts its generalization to unseen inputs, that is known as the "exposure bias" problem. In this work, we propose to solve the conditional text generation problem by contrasting positive pairs with negative pairs, such that the model is exposed to various valid

or incorrect perturbations of the inputs, for improved generalization. However, training the model with naïve contrastive learning framework using random non-t arget sequences as negative examples is suboptimal, since they are easily distin guishable from the correct output, especially so with models pretrained with lar ge text corpora. Also, generating positive examples requires domain-specific augmentation heuristics which may not generalize over diverse domains. To tackle this problem, we propose a principled method to generate positive and negative sam ples for contrastive learning of seq2seq models. Specifically, we generate negative examples by adding small perturbations to the input sequence to minimize its conditional likelihood, and positive examples by adding large perturbations while enforcing it to have a high conditional likelihood. Such `"hard'' positive and negative pairs generated using our method guides the model to better distinguish correct outputs from incorrect ones. We empirically show that our proposed method significantly improves the generalization of the seq2seq on three text generation tasks --- machine translation, text summarization, and question generation.

A REINFORCEMENT LEARNING FRAMEWORK FOR TIME DEPENDENT CAUSAL EFFECTS EVALUATION IN A/B TESTING

Chengchun Shi, Xiaoyu Wang, Shikai Luo, Rui Song, Hongtu Zhu, Jieping Ye A/B testing, or online experiment is a standard business strategy to compare a n ew product with an old one in pharmaceutical, technological, and traditional ind ustries. The aim of this paper is to introduce a reinforcement learn- ing framew ork for carrying A/B testing in two-sided marketplace platforms, while character izing the long-term treatment effects. Our proposed testing procedure allows for sequential monitoring and online updating. It is generally applicable to a variety of treatment designs in different industries. In addition, we systematically investigate the theoretical properties (e.g., size and power) of our testing procedure. Finally, we apply our framework to both synthetic data and a real-world data example obtained from a technological company to illustrate its advantage over the current practice.

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EM-RBR: a reinforced framework for knowledge graph completion from reasoning per spective

Bozhou Chen, Zhaochong An, Houde Quan, Qihui Lin, Hongzhi Wang

Knowledge graph completion aims to predict the new links in given entities among the knowledge graph (KG). Most mainstream embedding methods focus on fact tripl ets contained in the given KG, however, ignoring the rich background information provided by logic rules driven from knowledge base implicitly. To solve this problem, in this paper, we propose a general framework, named EM-RBR(embedding and rule-based reasoning), capable of combining the advantages of reasoning based on rules and the state-of-the-art models of embedding. EM-RBR aims to utilize relational background knowledge contained in rules to conduct multi-relation reasoning link prediction rather than superficial vector triangle linkage in embedding models. By this way, we can explore relation between two entities in deeper context to achieve higher accuracy. In experiments, we demonstrate that EM-RBR achieves better performance compared with previous models on FB15k, WN18 and our new dataset FB15k-R, especially the new dataset where our model perform futher better than those state-of-the-arts. We make the implementation of EM-RBR available at https://github.com/1173710224/link-prediction-with-rule-based-reasoning.

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Learning Intrinsic Symbolic Rewards in Reinforcement Learning Hassam Sheikh, Shauharda Khadka, Santiago Miret, Somdeb Majumdar

Learning effective policies for sparse objectives is a key challenge in Deep Rei nforcement Learning (RL). A common approach is to design task-related dense rewards to improve task learnability. While such rewards are easily interpreted, the y rely on heuristics and domain expertise. Alternate approaches that train neural networks to discover dense surrogate rewards avoid heuristics, but are high-dimensional, black-box solutions offering little interpretability. In this paper,

we present a method that discovers dense rewards in the form of low-dimensional symbolic trees - thus making them more tractable for analysis. The trees use sim ple functional operators to map an agent's observations to a scalar reward, which then supervises the policy gradient learning of a neural network policy. We test our method on continuous action spaces in Mujoco and discrete action spaces in Atari and Pygames environments. We show that the discovered dense rewards are an effective signal for an RL policy to solve the benchmark tasks. Notably, we significantly outperform a widely used, contemporary neural-network based reward-discovery algorithm in all environments considered.

Safety Aware Reinforcement Learning (SARL)

Santiago Miret, Somdeb Majumdar, Carroll Wainwright

As reinforcement learning agents become increasingly integrated into complex, re al-world environments, designing for safety becomes a critical consideration. We specifically focus on researching scenarios where agents can cause undesired si de effects while executing a policy on a primary task. Since one can define mult iple tasks for a given environment dynamics, there are two important challenges. First, we need to abstract the concept of safety that applies broadly to that e nvironment independent of the specific task being executed. Second, we need a me chanism for the abstracted notion of safety to modulate the actions of agents ex ecuting different policies to minimize their side-effects. In this work, we prop ose Safety Aware Reinforcement Learning (SARL) - a framework where a virtual saf e agent modulates the actions of a main reward-based agent to minimize side effe cts. The safe agent learns a task-independent notion of safety for a given envir onment. The main agent is then trained with a regularization loss given by the d istance between the native action probabilities of the two agents. Since the saf e agent effectively abstracts a task-independent notion of safety via its action probabilities, it can be ported to modulate multiple policies solving different tasks within the given environment without further training. We contrast this w ith solutions that rely on task-specific regularization metrics and test our fra mework on the SafeLife Suite, based on Conway's Game of Life, comprising a numbe r of complex tasks in dynamic environments. We show that our solution is able to match the performance of solutions that rely on task-specific side-effect penal ties on both the primary and safety objectives while additionally providing the benefit of generalizability and portability.

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Optimal Conversion of Conventional Artificial Neural Networks to Spiking Neural Networks

Shikuang Deng, Shi Gu

Spiking neural networks (SNNs) are biology-inspired artificial neural networks ( ANNs) that comprise of spiking neurons to process asynchronous discrete signals. While more efficient in power consumption and inference speed on the neuromorph ic hardware, SNNs are usually difficult to train directly from scratch with spik es due to the discreteness. As an alternative, many efforts have been devoted to converting conventional ANNs into SNNs by copying the weights from ANNs and adj usting the spiking threshold potential of neurons in SNNs. Researchers have desi gned new SNN architectures and conversion algorithms to diminish the conversion error. However, an effective conversion should address the difference between th e SNN and ANN architectures with an efficient approximation of the loss function , which is missing in the field. In this work, we analyze the conversion error b y recursive reduction to layer-wise summation and propose a novel strategic pipe line that transfers the weights to the target SNN by combining threshold balance and soft-reset mechanisms. This pipeline enables almost no accuracy loss betwee n the converted SNNs and conventional ANNs with only \$\sim1/10\$ of the typical S NN simulation time. Our method is promising to get implanted onto embedded platf orms with better support of SNNs with limited energy and memory. Codes are avail able at https://github.com/Jackn0/snn\_optimal\_conversion\_pipeline.

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Efficient Continual Learning with Modular Networks and Task-Driven Priors Tom Veniat, Ludovic Denoyer, MarcAurelio Ranzato

Existing literature in Continual Learning (CL) has focused on overcoming catastr ophic forgetting, the inability of the learner to recall how to perform tasks observed in the past.

There are however other desirable properties of a CL system, such as the ability to transfer knowledge from previous tasks and to scale memory and compute sub-linearly with the number of tasks. Since most current benchmarks focus only on forgetting using short streams of tasks, we first propose a new suite of benchmarks to probe CL algorithms across these new axes.

Finally, we introduce a new modular architecture, whose modules represent atomic skills that can be composed to perform a certain task. Learning a task reduces to figuring out which past modules to re-use, and which new modules to instantia te to solve the current task. Our learning algorithm leverages a task-driven pri or over the exponential search space of all possible ways to combine modules, en abling efficient learning on long streams of tasks.

Our experiments show that this modular architecture and learning algorithm perform competitively on widely used CL benchmarks while yielding superior performance on the more challenging benchmarks we introduce in this work. The Benchmark is publicly available at https://github.com/facebookresearch/CTrLBenchmark.

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Neighbor Class Consistency on Unsupervised Domain Adaptation Chang Liu, Kai Li, Yun Fu

Unsupervised domain adaptation (UDA) is to make predictions for unlabeled data i n a target domain with labeled data from source domain available. Recent advance s exploit entropy minimization and self-training to align the feature of two dom ains. However, as decision boundary is largely biased towards source data, class -wise pseudo labels generated by target predictions are usually very noisy, and trusting those noisy supervisions might potentially deteriorate the intrinsic ta rget discriminative feature. Motivated by agglomerative clustering which assumes that features in the near neighborhood should be clustered together, we observe that target features from source pre-trained model are highly intrinsic discrim inative and have a high probability of sharing the same label with their neighbo rs. Based on those observations, we propose a simple but effective method to imp ose Neighbor Class Consistency on target features to preserve and further streng then the intrinsic discriminative nature of target data while regularizing the u nified classifier less biased towards source data. We also introduce an entropybased weighting scheme to help our framework more robust to the potential noisy neighbor supervision. We conduct ablation studies and extensive experiments on t hree UDA image classification benchmarks. Our method outperforms all existing UD A state-of-the-art.

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On the Universality of Rotation Equivariant Point Cloud Networks Nadav Dym, Haggai Maron

Learning functions on point clouds has applications in many fields, including co mputer vision, computer graphics, physics, and chemistry. Recently, there has be en a growing interest in neural architectures that are invariant or equivariant to all three shape-preserving transformations of point clouds: translation, rota tion, and permutation. In this paper, we present a first study of the approximat ion power of these architectures. We first derive two sufficient conditions for an equivariant architecture to have the universal approximation property, based on a novel characterization of the space of equivariant polynomials. We then use these conditions to show that two recently suggested models, Tensor field Netwo rks and SE3-Transformers, are universal, and for devising two other novel universal architectures.

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Neural Learning of One-of-Many Solutions for Combinatorial Problems in Structure d Output Spaces

Yatin Nandwani, Deepanshu Jindal, Mausam ., Parag Singla

Recent research has proposed neural architectures for solving combinatorial problems in structured output spaces. In many such problems, there may exist multiple solutions for a given input, e.g. a partially filled Sudoku puzzle may have ma

ny completions satisfying all constraints. Further, we are often interested in f inding any "one" of the possible solutions, without any preference between them. Existing approaches completely ignore this solution multiplicity. In this paper , we argue that being oblivious to the presence of multiple solutions can severe ly hamper their training ability. Our contribution is two-fold. First, we formal ly define the task of learning one-of-many solutions for combinatorial problems in structured output spaces, which is applicable for solving several problems of interest such as N-Queens, and Sudoku. Second, we present a generic learning fr amework that adapts an existing prediction network for a combinatorial problem t o handle solution multiplicity. Our framework uses a selection module, whose goa l is to dynamically determine, for every input, the solution that is most effect ive for training the network parameters in any given learning iteration. We prop ose an RL based approach to jointly train the selection module with the predicti on network. Experiments on three different domains, and using two different pred iction networks, demonstrate that our framework significantly improves the accu racy in our setting, obtaining up to 21 pt gain over the baselines.

Understanding Classifiers with Generative Models

Laëtitia Shao, Yang Song, Stefano Ermon

Although deep neural networks are effective on supervised learning tasks, they h ave been shown to be brittle. They are prone to overfitting on their training di stribution and are easily fooled by small adversarial perturbations. In this paper, we leverage generative models to identify and characterize instances where c lassifiers fail to generalize.

We propose a generative model of the features extracted by a classifier, and sho w using rigorous hypothesis testing that errors tend to occur when features are assigned low-probability by our model. From this observation, we develop a detection criteria that we test against different sources of classification mistakes: mistakes made on the test set due to poor model generalization, adversarial samples and out-of-distribution samples. Our approach is agnostic to class labels from the training set which makes it applicable to models trained in a semi-super vised way.

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LambdaNetworks: Modeling long-range Interactions without Attention Irwan Bello

We present lambda layers -- an alternative framework to self-attention -- for ca pturing long-range interactions between an input and structured contextual infor mation (e.g. a pixel surrounded by other pixels). Lambda layers capture such int eractions by transforming available contexts into linear functions, termed lambd as, and applying these linear functions to each input separately. Similar to lin ear attention, lambda layers bypass expensive attention maps, but in contrast, t hey model both content and position-based interactions which enables their appli cation to large structured inputs such as images. The resulting neural network a rchitectures, LambdaNetworks, significantly outperform their convolutional and a ttentional counterparts on ImageNet classification, COCO object detection and in stance segmentation, while being more computationally efficient. Additionally, w e design LambdaResNets, a family of hybrid architectures across different scales , that considerably improves the speed-accuracy tradeoff of image classification models. LambdaResNets reach excellent accuracies on ImageNet while being 3.2 -4.4x faster than the popular EfficientNets on modern machine learning accelerato rs. In large-scale semi-supervised training with an additional 130M pseudo-label ed images, LambdaResNets achieve up to 86.7% ImageNet accuracy while being 9.5x faster than EfficientNet NoisyStudent and 9x faster than a Vision Transformer wi th comparable accuracies.

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Understanding and Mitigating Accuracy Disparity in Regression Jianfeng Chi, Han Zhao, Geoff Gordon, Yuan Tian

With the widespread deployment of large-scale prediction systems in high-stakes domains, e.g., face recognition, criminal justice, etc., disparity on prediction

accuracy between different demographic subgroups has called for fundamental und erstanding on the source of such disparity and algorithmic intervention to mitig ate it. In this paper, we study the accuracy disparity problem in regression. To begin with, we first propose an error decomposition theorem, which decomposes the accuracy disparity into the distance between label populations and the distance between conditional representations, to help explain why such accuracy disparity appears in practice. Motivated by this error decomposition and the general idea of distribution alignment with statistical distances, we then propose an algorithm to reduce this disparity, and analyze its game-theoretic optima of the proposed objective function. We conduct experiments on four real-world datasets. The experimental results suggest that our proposed algorithms can effectively mitigate accuracy disparity while maintaining the predictive power of the regression models.

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Removing Dimensional Restrictions on Complex/Hyper-complex Convolutions Chase John Gaudet, Anthony S. Maida

It has been shown that the core reasons that complex and hypercomplex valued neu ral networks offer improvements over their real-valued counterparts is the fact that aspects of their algebra forces treating multi-dimensional data as a single entity (forced local relationship encoding) with an added benefit of reducing p arameter count via weight sharing. However, both are constrained to a set number of dimensions, two for complex and four for quaternions. These observations mot ivate us to introduce novel vector map convolutions which capture both of these properties provided by complex/hypercomplex convolutions, while dropping the unn atural dimensionality constraints their algebra imposes. This is achieved by int roducing a system that mimics the unique linear combination of input dimensions via the Hamilton product using a permutation function, as well as batch normaliz ation and weight initialization for the system. We perform three experiments usi ng three different network architectures to show that these novel vector map con volutions seem to capture all the benefits of complex and hyper-complex networks , such as their ability to capture internal latent relations, while avoiding the dimensionality restriction.

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SHADOWCAST: Controllable Graph Generation with Explainability Wesley Joon-Wie Tann, Ee-Chien Chang, Bryan Hooi

We introduce the problem of explaining graph generation, formulated as controlling the generative process to produce desired graphs with explainable structures. By directing this generative process, we can explain the observed outcomes. We propose SHADOWCAST, a controllable generative model capable of mimicking networks and directing the generation, as an approach to this novel problem. The proposed model is based on a conditional generative adversarial network for graph data. We design it with the capability to control the conditions using a simple and transparent Markov model. Comprehensive experiments on three real-world network datasets demonstrate our model's competitive performance in the graph generation task. Furthermore, we control SHADOWCAST to generate graphs of different struct ures to show its effective controllability and explainability. As the first work to pose the problem of explaining generated graphs by controlling the generation, SHADOWCAST paves the way for future research in this exciting area.

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Continual learning with neural activation importance Sohee Kim, Seungkyu Lee

Continual learning is a concept of online learning along with multiple sequentia l tasks. One of the critical barriers of continual learning is that a network sh ould learn a new task keeping the knowledge of old tasks without access to any d ata of the old tasks. In this paper, we propose a neuron importance based regula rization method for stable continual learning.

We propose a comprehensive experimental evaluation framework on existing benchma rk data sets to evaluate not just the accuracy of a certain order of continual 1 earning performance also the robustness of the accuracy along with the changes i n the order of tasks.

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It Is Likely That Your Loss Should be a Likelihood Mark Hamilton, Evan Shelhamer, William T. Freeman

Many common loss functions such as mean-squared-error, cross-entropy, and recons truction loss are unnecessarily rigid. Under a probabilistic interpretation, the se common losses correspond to distributions with fixed shapes and scales. We in stead argue for optimizing full likelihoods that include parameters like the nor mal variance and softmax temperature. Joint optimization of these ``likelihood p arameters'' with model parameters can adaptively tune the scales and shapes of l osses in addition to the strength of regularization. We explore and systematical ly evaluate how to parameterize and apply likelihood parameters for robust model ing, outlier-detection, and re-calibration. Additionally, we propose adaptively tuning \$L\_2\$ and \$L\_1\$ weights by fitting the scale parameters of normal and Lap lace priors and introduce more flexible element-wise regularizers.

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Reusing Preprocessing Data as Auxiliary Supervision in Conversational Analysis Joshua Yee Kim, Kalina Yacef

Conversational analysis systems are trained using noisy human labels and often r equire heavy preprocessing during multi-modal feature extraction. Using noisy la bels in single-task learning increases the risk of over-fitting. However, auxili ary tasks could improve the performance of the primary task learning. This appro ach is known as Primary Multi-Task Learning (MTL). A challenge of MTL is the sel ection of beneficial auxiliary tasks that avoid negative transfer. In this paper, we explore how the preprocessed data used for feature engineering can be re-used as auxiliary tasks in Primary MTL, thereby promoting the productive use of data in the form of auxiliary supervision learning. Our main contributions are: (1) the identification of sixteen beneficially auxiliary tasks, (2) the method of distributing learning capacity between the primary and auxiliary tasks, and (3) the relative supervision hierarchy between the primary and auxiliary tasks. Extensive experiments on IEMOCAP and SEMAINE data validate the improvements over sin gle-task approaches, and suggest that it may generalize across multiple primary tasks.

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GAN2GAN: Generative Noise Learning for Blind Denoising with Single Noisy Images Sungmin Cha, Taeeon Park, Byeongjoon Kim, Jongduk Baek, Taesup Moon

We tackle a challenging blind image denoising problem, in which only single dist inct noisy images are available for training a denoiser, and no information abou t noise is known, except for it being zero-mean, additive, and independent of th e clean image. In such a setting, which often occurs in practice, it is not poss ible to train a denoiser with the standard discriminative training or with the r ecently developed Noise2Noise (N2N) training; the former requires the underlying clean image for the given noisy image, and the latter requires two independentl y realized noisy image pair for a clean image. To that end, we propose GAN2GAN ( Generated-Artificial-Noise to Generated-Artificial-Noise) method that first lear ns a generative model that can 1) simulate the noise in the given noisy images a nd 2) generate a rough, noisy estimates of the clean images, then 3) iteratively trains a denoiser with subsequently synthesized noisy image pairs (as in N2N), obtained from the generative model. In results, we show the denoiser trained wit h our GAN2GAN achieves an impressive denoising performance on both synthetic and real-world datasets for the blind denoising setting; it almost approaches the p erformance of the standard discriminatively-trained or N2N-trained models that h ave more information than ours, and it significantly outperforms the recent base line for the same setting, \textit{e.g.}, Noise2Void, and a more conventional ye t strong one, BM3D. The official code of our method is available at https://gith ub.com/csm9493/GAN2GAN.

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CPR: Classifier-Projection Regularization for Continual Learning Sungmin Cha, Hsiang Hsu, Taebaek Hwang, Flavio Calmon, Taesup Moon We propose a general, yet simple patch that can be applied to existing regulariz ation-based continual learning methods called classifier-projection regularizati on (CPR). Inspired by both recent results on neural networks with wide local min ima and information theory, CPR adds an additional regularization term that maxi mizes the entropy of a classifier's output probability. We demonstrate that this additional term can be interpreted as a projection of the conditional probability given by a classifier's output to the uniform distribution. By applying the Pythagorean theorem for KL divergence, we then prove that this projection may (in theory) improve the performance of continual learning methods. In our extensive experimental results, we apply CPR to several state-of-the-art regularization-b ased continual learning methods and benchmark performance on popular image recognition datasets. Our results demonstrate that CPR indeed promotes a wide local minima and significantly improves both accuracy and plasticity while simultaneous ly mitigating the catastrophic forgetting of baseline continual learning methods. The codes and scripts for this work are available at https://github.com/csm9493/CPR CL.

Learning Irreducible Representations of Noncommutative Lie Groups Noah Shutty, Casimir Wierzynski

Recent work has constructed neural networks that are equivariant to continuous symmetry groups such as 2D and 3D rotations. This is accomplished using explicit group representations to derive the equivariant kernels and nonlinearities. We present two contributions motivated by frontier applications of equivariance beyond rotations and translations. First, we relax the requirement for explicit Lie group representations, presenting a novel algorithm that finds irreducible representations of noncommutative Lie groups given only the structure constants of the associated Lie algebra. Second, we demonstrate that Lorentz-equivariance is a useful prior for object-tracking tasks and construct the first object-tracking model equivariant to the Poincaré group.

Adaptive Hierarchical Hyper-gradient Descent

RENLONG JIE, Junbin Gao, Andrey Vasnev, Minh-Ngoc Tran

Adaptive learning rates can lead to faster convergence and better final performance

for deep learning models. There are several widely known human-designed adaptive optimizers such as Adam and RMSProp, gradient based adaptive methods such as hyper-descent and L4, and meta learning approaches including learning to learn. However, the issue of balancing adaptiveness and over-parameterization is still a topic to be addressed. In this study, we investigate different levels of

learning rate adaptation based on the framework of hyper-gradient descent, and further propose a method that adaptively learns the model parameters for combining different levels of adaptations. Meanwhile, we show the relationship between adding regularization on over-parameterized learning rates and building combinations of different levels of adaptive learning rates. The experiments on seve ral

network architectures including feed-forward networks, LeNet-5 and ResNet-18/34 show that the proposed multi-level adaptive approach can outperform bas eline

adaptive methods in a variety circumstances with statistical significance.

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Frequency Decomposition in Neural Processes

Jens Petersen, Paul F Jaeger, Gregor Koehler, David Zimmerer, Fabian Isensee, Klaus M aier-Hein

Neural Processes are a powerful tool for learning representations of function sp aces purely from examples, in a way that allows them to perform predictions at t est time conditioned on so-called context observations. The learned representati ons are finite-dimensional, while function spaces are infinite-dimensional, and so far it has been unclear how these representations are learned and what kinds of functions can be represented. We show that deterministic Neural Processes imp licitly perform a decomposition of the training signals into different frequency components, similar to a Fourier transform. In this context, we derive a theore

tical upper bound on the maximum frequency Neural Processes can reproduce, depending on their representation size. This bound is confirmed empirically. Finally, we show that Neural Processes can be trained to only represent a subset of possible frequencies and suppress others, which makes them programmable band-pass or band-stop filters.

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Contrastive Divergence Learning is a Time Reversal Adversarial Game Omer Yair, Tomer Michaeli

Contrastive divergence (CD) learning is a classical method for fitting unnormali zed statistical models to data samples. Despite its wide-spread use, the converg ence properties of this algorithm are still not well understood. The main source of difficulty is an unjustified approximation which has been used to derive the gradient of the loss. In this paper, we present an alternative derivation of CD that does not require any approximation and sheds new light on the objective th at is actually being optimized by the algorithm. Specifically, we show that CD i s an adversarial learning procedure, where a discriminator attempts to classify whether a Markov chain generated from the model has been time-reversed. Thus, al though predating generative adversarial networks (GANs) by more than a decade, C D is, in fact, closely related to these techniques. Our derivation settles well with previous observations, which have concluded that CD's update steps cannot b e expressed as the gradients of any fixed objective function. In addition, as a byproduct, our derivation reveals a simple correction that can be used as an alt ernative to Metropolis-Hastings rejection, which is required when the underlying Markov chain is inexact (e.g., when using Langevin dynamics with a large step).

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Learning Curves for Analysis of Deep Networks

Derek Hoiem, Tanmay Gupta, Zhizhong Li, Michal M Shlapentokh-Rothman

A learning curve models a classifier's test error as a function of the number of training samples. Prior works show that learning curves can be used to select m odel parameters and extrapolate performance. We investigate how to use learning curves to analyze the impact of design choices, such as pre-training, architecture, and data augmentation. We propose a method to robustly estimate learning curves, abstract their parameters into error and data-reliance, and evaluate the effectiveness of different parameterizations. We also provide several interesting observations based on learning curves for a variety of image classification models

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Directional graph networks

Dominique Beaini, Saro Passaro, Vincent Letourneau, William L. Hamilton, Gabriele Corso, Pietro Liò

In order to overcome the expressive limitations of graph neural networks (GNNs), we propose the first method that exploits vector flows over graphs to develop g lobally consistent directional and asymmetric aggregation functions.

We show that our directional graph networks (DGNs) generalize convolutional neur al networks (CNNs) when applied on a grid. Whereas recent theoretical works focu s on understanding local neighbourhoods, local structures and local isomorphism with no global information flow, our novel theoretical framework allows directio nal convolutional kernels in any graph.

First, by defining a vector field in the graph, we develop a method of applying directional derivatives and smoothing by projecting node-specific messages into the field.

Then we propose the use of the Laplacian eigenvectors as such vector field, and we show that the method generalizes CNNs on an  $n^-$ dimensional grid, and is provably more discriminative than standard GNNs regarding the Weisfeiler-Lehman 1-WL test.

Finally, we bring the power of CNN data augmentation to graphs by providing a me ans of doing reflection, rotation and distortion on the underlying directional field. We evaluate our method on different standard benchmarks and see a relative error reduction of 8% on the CIFAR10 graph dataset and 11% to 32% on the molecular ZINC dataset. An important outcome of this work is that it enables to transl

ate any physical or biological problems with intrinsic directional axes into a g raph network formalism with an embedded directional field.

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Contrastive estimation reveals topic posterior information to linear models Christopher Tosh, Akshay Krishnamurthy, Daniel Hsu

Contrastive learning is an approach to representation learning that utilizes nat urally occurring similar and dissimilar pairs of data points to find useful embe ddings of data. In the context of document classification under topic modeling a ssumptions, we prove that contrastive learning is capable of recovering a representation of documents that reveals their underlying topic posterior information to linear models. We apply this procedure in a semi-supervised setup and demonst rate empirically that linear classifiers with these representations perform well in document classification tasks with very few training examples.

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Contrasting distinct structured views to learn sentence embeddings Antoine Simoulin, Benoit Crabbé

We propose a self-supervised method that builds sentence embeddings from the combination of diverse explicit syntactic structures of a sentence. We assume structure is crucial to build consistent representations as we expect sentence meaning to be a function from both syntax and semantic aspects. In this perspective, we hypothesize that some linguistic representations might be better adapted given the considered task or sentence. We, therefore, propose to jointly learn individual representation functions for different syntactic frameworks. Again, by hypothesis, all such functions should encode similar semantic information different ly and consequently, be complementary for building better sentential semantic embeddings. To assess such hypothesis, we propose an original contrastive multi-view framework that induces an explicit interaction between models during the training phase. We make experiments combining various structures such as dependency, constituency, or sequential schemes. We evaluate our method on standard sentence embedding benchmarks. Our results outperform comparable methods on several tasks.

Byzantine-Robust Learning on Heterogeneous Datasets via Resampling Lie He, Sai Praneeth Karimireddy, Martin Jaggi

In Byzantine-robust distributed optimization, a central server wants to train a machine learning model over data distributed across multiple workers. However, a fraction of these workers may deviate from the prescribed algorithm and send ar bitrary messages to the server. While this problem has received significant atte ntion recently, most current defenses assume that the workers have identical dat a distribution. For realistic cases when the data across workers are heterogeneo us (non-iid), we design new attacks that circumvent these defenses leading to si gnificant loss of performance. We then propose a universal resampling scheme that addresses data heterogeneity at a negligible computational cost. We theoretically and experimentally validate our approach, showing that combining resampling with existing robust algorithms is effective against challenging attacks.

Federated Generalized Bayesian Learning via Distributed Stein Variational Gradi ent Descent

Rahif Kassab, Osvaldo Simeone

This paper introduces Distributed Stein Variational Gradient Descent (DSVGD), a non-parametric generalized Bayesian inference framework for federated learning. DSVGD maintains a number of non-random and interacting particles at a central se rver to represent the current iterate of the model global posterior. The particl es are iteratively downloaded and updated by one of the agents with the end goal of minimizing the global free energy. By varying the number of particles, DSVGD enables a flexible trade-off between per-iteration communication load and numbe

r of communication rounds. DSVGD is shown to compare favorably to benchmark freq uentist and Bayesian federated learning strategies, also scheduling a single dev ice per iteration, in terms of accuracy and scalability with respect to the numb er of agents, while also providing well-calibrated, and hence trustworthy, predictions.

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On the Dynamics of Training Attention Models

Haoye Lu, Yongyi Mao, Amiya Nayak

The attention mechanism has been widely used in deep neural networks as a model component. By now, it has become a critical building block in many state-of-the-art natural language models. Despite its great success established empirically, the working mechanism of attention has not been investigated at a sufficient the oretical depth to date. In this paper, we set up a simple text classification ta sk and study the dynamics of training a simple attention-based classification mo del using gradient descent. In this setting, we show that, for the discriminative words that the model should attend to, a persisting identity exists relating i ts embedding and the inner product of its key and the query. This allows us to p rove that training must converge to attending to the discriminative words when the attention output is classified by a linear classifier. Experiments are performed, which validate our theoretical analysis and provide further insights.

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Which Mutual-Information Representation Learning Objectives are Sufficient for C ontrol?

Kate Rakelly, Abhishek Gupta, Carlos Florensa, Sergey Levine

Mutual information maximization provides an appealing formalism for learning rep resentations of data. In the context of reinforcement learning, such representat ions can accelerate learning by discarding irrelevant and redundant information, while retaining the information necessary for control. Much of the prior work on these methods has addressed the practical difficulties of estimating mutual in formation from samples of high-dimensional observations, while comparatively less is understood about \emph{which} mutual information objectives are sufficient for RL from a theoretical perspective. In this paper we identify conditions under which representations that maximize specific mutual-information objectives are theoretically sufficient for learning and representing the optimal policy. Some what surprisingly, we find that several popular objectives can yield insufficient representations given mild and common assumptions on the structure of the MDP. We corroborate our theoretical results with deep RL experiments on a simulated game environment with visual observations.

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Model-Based Offline Planning

Arthur Argenson, Gabriel Dulac-Arnold

Offline learning is a key part of making reinforcement learning (RL) useable in real systems. Offline RL looks at scenarios where there is data from a system's operation, but no direct access to the system when learning a policy. Recent wor k on training RL policies from offline data has shown results both with model-fr ee policies learned directly from the data, or with planning on top of learnt mo dels of the data. Model-free policies tend to be more performant, but are more o paque, harder to command externally, and less easy to integrate into larger syst ems. We propose an offline learner that generates a model that can be used to control the system directly through planning. This allows us to have easily controllable policies directly from data, without ever interacting with the system. We show the performance of our algorithm, Model-Based Offline Planning (MBOP) on a series of robotics-inspired tasks, and demonstrate its ability leverage planning to respect environmental constraints. We are able to find near-optimal polices for certain simulated systems from as little as 50 seconds of real-time system interaction, and create zero-shot goal-conditioned policies on a series of environments.

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Neurosymbolic Deep Generative Models for Sequence Data with Relational Constrain

Halley Young, Maxwell Du, Osbert Bastani

Recently, there has been significant progress designing deep generative models that generate realistic sequence data such as text or music. Nevertheless, it remains difficult to incorporate high-level structure to guide the generative process. We propose a novel approach for incorporating structure in the form of relational constraints between different subcomponents of an example (e.g., lines of a poem or measures of music). Our generative model has two parts: (i) one model to generate a realistic set of relational constraints, and (ii) a second model to generate realistic data satisfying these constraints. To train model (i), we propose a novel program synthesis algorithm that infers the relational constraints present in the training data, and then train the models based on the resulting relational constraints. In our experiments, we show that our approach significantly improves over state-of-the-art approaches in terms of capturing high-level structure in the data, while performing comparably or better in terms of low-level structure.

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Modelling Hierarchical Structure between Dialogue Policy and Natural Language Ge nerator with Option Framework for Task-oriented Dialogue System

Jianhong Wang, Yuan Zhang, Tae-Kyun Kim, Yunjie Gu

Designing task-oriented dialogue systems is a challenging research topic, since it needs not only to generate utterances fulfilling user requests but also to gu arantee the comprehensibility. Many previous works trained end-to-end (E2E) mode ls with supervised learning (SL), however, the bias in annotated system utterance es remains as a bottleneck. Reinforcement learning (RL) deals with the problem t hrough using non-differentiable evaluation metrics (e.g., the success rate) as r ewards. Nonetheless, existing works with RL showed that the comprehensibility of generated system utterances could be corrupted when improving the performance o n fulfilling user requests. In our work, we (1) propose modelling the hierarchic al structure between dialogue policy and natural language generator (NLG) with t he option framework, called HDNO, where the latent dialogue act is applied to av oid designing specific dialogue act representations; (2) train HDNO via hierarch ical reinforcement learning (HRL), as well as suggest the asynchronous updates b etween dialogue policy and NLG during training to theoretically guarantee their convergence to a local maximizer; and (3) propose using a discriminator modelled with language models as an additional reward to further improve the comprehensi bility. We test HDNO on MultiWoz 2.0 and MultiWoz 2.1, the datasets on multi-dom ain dialogues, in comparison with word-level E2E model trained with RL, LaRL and HDSA, showing improvements on the performance evaluated by automatic evaluation metrics and human evaluation. Finally, we demonstrate the semantic meanings of latent dialogue acts to show the explanability for HDNO.

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Towards Understanding the Cause of Error in Few-Shot Learning Liang Song, Jinlu Liu, Yongqiang Qin

Few-Shot Learning (FSL) is a challenging task of recognizing novel classes from scarce labeled samples. Many existing researches focus on learning good represen tations that generalize well to new categories. However, given low-data regime, the restricting factors of performance on novel classes has not been well studie d. In this paper, our objective is to understand the cause of error in few-shot classification, as well as exploring the upper limit of error rate. We first int roduce and derive a theoretical upper bound of error rate which is constrained to 1) linear separability in the learned embedding space and 2) discrepancy of ta sk-specific and task-independent classifier. Quantitative experiment is conducted and results show that the error in FSL is dominantly caused by classifier discrepancy. We further propose a simple method to confirm our theoretical analysis and observation. The method adds a constraint to reduce classifier discrepancy so as to lower the upper bound of error rate. Experiments on three benchmarks with different base learners verify the effectiveness of our method. It shows that decreasing classifier discrepancy can consistently achieve improvements in most cases

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The Heavy-Tail Phenomenon in SGD

Mert Gurbuzbalaban, Umut Simsekli, Lingjiong Zhu

In recent years, various notions of capacity and complexity have been proposed f or characterizing the generalization properties of stochastic gradient descent ( SGD) in deep learning. Some of the popular notions that correlate well with the performance on unseen data are (i) the 'flatness' of the local minimum found by SGD, which is related to the eigenvalues of the Hessian, (ii) the ratio of the s tepsize \$\eta\$ to the batch size \$b\$, which essentially controls the magnitude o f the stochastic gradient noise, and (iii) the 'tail-index', which measures the heaviness of the tails of the network weights at convergence. In this paper, we argue that these three seemingly unrelated perspectives for generalization are d eeply linked to each other. We claim that depending on the structure of the Hess ian of the loss at the minimum, and the choices of the algorithm parameters \$\eta a\$ and \$b\$, the SGD iterates will converge to a \emph{heavy-tailed} stationary d istribution. We rigorously prove this claim in the setting of quadratic optimiza tion: we show that even in a simple linear regression problem with independent a nd identically distributed Gaussian data, the iterates can be heavy-tailed with infinite variance. We further characterize the behavior of the tails with respec t to algorithm parameters, the dimension, and the curvature. We then translate o ur results into insights about the behavior of SGD in deep learning. We finally support our theory with experiments conducted on both synthetic data and fully c onnected neural networks.

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Neural Synthesis of Binaural Speech From Mono Audio

Alexander Richard, Dejan Markovic, Israel D. Gebru, Steven Krenn, Gladstone Alexander Butler, Fernando Torre, Yaser Sheikh

We present a neural rendering approach for binaural sound synthesis that can pro duce realistic and spatially accurate binaural sound in realtime. The network ta kes, as input, a single-channel audio source and synthesizes, as output, two-cha nnel binaural sound, conditioned on the relative position and orientation of the listener with respect to the source. We investigate deficiencies of the 12-loss on raw waveforms in a theoretical analysis and introduce an improved loss that overcomes these limitations. In an empirical evaluation, we establish that our a pproach is the first to generate spatially accurate waveform outputs (as measure d by real recordings) and outperforms existing approaches by a considerable marg in, both quantitatively and in a perceptual study. Dataset and code are available online.

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Distilling Knowledge from Reader to Retriever for Question Answering Gautier Izacard, Edouard Grave

The task of information retrieval is an important component of many natural lang uage processing systems, such as open domain question answering. While tradition al methods were based on hand-crafted features, continuous representations based on neural networks recently obtained competitive results. A challenge of using such methods is to obtain supervised data to train the retriever model, corresponding to pairs of query and support documents. In this paper, we propose a technique to learn retriever models for downstream tasks, inspired by knowledge disticulation, and which does not require annotated pairs of query and documents. Our approach leverages attention scores of a reader model, used to solve the task based on retrieved documents, to obtain synthetic labels for the retriever. We evaluate our method on question answering, obtaining state-of-the-art results.

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How to Train Your Super-Net: An Analysis of Training Heuristics in Weight-Sharin g NAS

Kaicheng Yu, Rene Ranftl, Mathieu Salzmann

Weight sharing promises to make neural architecture search (NAS) tractable even on commodity hardware. Existing methods in this space rely on a diverse set of h euristics to design and train the shared-weight backbone network, a.k.a. the sup er-net. Since heuristics substantially vary across different methods and have no t been carefully studied, it is unclear to which extent they impact super-net tr

aining and hence the weight-sharing NAS algorithms. In this paper, we disentangle super-net training from the search algorithm, isolate 14 frequently-used training heuristics, and evaluate them over three benchmark search spaces. Our analysis uncovers that several commonly-used heuristics negatively impact the correlation between super-net and stand-alone performance, whereas simple, but often overlooked factors, such as proper hyper-parameter settings, are key to achieve strong performance. Equipped with this knowledge, we show that simple random search achieves competitive performance to complex state-of-the-art NAS algorithms when the super-net is properly trained.

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Revisiting Loss Modelling for Unstructured Pruning
César Laurent, Camille Ballas, Thomas George, Pascal Vincent, Nicolas Ballas
By removing parameters from deep neural networks, unstructured pruning methods a
im at cutting down memory footprint and computational cost, while maintaining pr
ediction accuracy. In order to tackle this otherwise intractable problem, many o
f these methods model the loss landscape using first or second order Taylor expa
nsions to identify which parameters can be discarded. We revisit loss modelling
for unstructured pruning: we show the importance of ensuring locality of the pru
ning steps, and systematically compare first and second order Taylor expansions.
Finally, we show that better preserving the original network function does not
necessarily transfer to better performing networks after fine-tuning, suggesting
that only considering the impact of pruning on the loss might not be a sufficie
nt objective to design good pruning criteria.

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Continual learning using hash-routed convolutional neural networks Ahmad Berjaoui

Continual learning could shift the machine learning paradigm from data centric to model centric. A continual learning model needs to scale efficiently to handle semantically different datasets, while avoiding unnecessary growth. We introduce hash-routed convolutional neural networks: a group of convolutional units where data flows dynamically. Feature maps are compared using feature hashing and si milar data is routed to the same units. A hash-routed network provides excellent plasticity thanks to its routed nature, while generating stable features through the use of orthogonal feature hashing. Each unit evolves separately and new units can be added (to be used only when necessary). Hash-routed networks achieve excellent performance across a variety of typical continual learning benchmarks without storing raw data and train using only gradient descent. Besides providing a continual learning framework for supervised tasks with encouraging results, our model can be used for unsupervised or reinforcement learning.

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UneVEn: Universal Value Exploration for Multi-Agent Reinforcement Learning Tarun Gupta, Anuj Mahajan, Bei Peng, Wendelin Boehmer, Shimon Whiteson This paper focuses on cooperative value-based multi-agent reinforcement learning (MARL) in the paradigm of centralized training with decentralized execution (CT DE). Current state-of-the-art value-based MARL methods leverage CTDE to learn a centralized joint-action value function as a monotonic mixing of each agent's ut ility function, which enables easy decentralization. However, this monotonic res triction leads to inefficient exploration in tasks with nonmonotonic returns due to suboptimal approximations of the values of joint actions. To address this, w e present a novel MARL approach called Universal Value Exploration (UneVEn), whi ch uses universal successor features (USFs) to learn policies of tasks related t o the target task, but with simpler reward functions in a sample efficient manne r. UneVEn uses novel action-selection schemes between randomly sampled related t asks during exploration, which enables the monotonic joint-action value function of the target task to place more importance on useful joint actions. Empirical results on a challenging cooperative predator-prey task requiring significant co ordination amongst agents show that UneVEn significantly outperforms state-of-th e-art baselines.

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Variance Based Sample Weighting for Supervised Deep Learning Paul Novello, Gaël Poëtte, David Lugato, Pietro Congedo

In the context of supervised learning of a function by a Neural Network (NN), we claim and empirically justify that a NN yields better results when the distribution of the data set focuses on regions where the function to learn is steeper. We first traduce this assumption in a mathematically workable way using Taylor expansion. Then, theoretical derivations allow to construct a methodology that we call Variance Based Samples Weighting (VBSW). VBSW uses local variance of the labels to weight the training points. This methodology is general, scalable, cost effective, and significantly increases the performances of a large class of models for various classification and regression tasks on image, text and multivariate data. We highlight its benefits with experiments involving NNs from shallow linear NN to ResNet or Bert.

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Learning from Demonstrations with Energy based Generative Adversarial Imitation Learning

Kaifeng Zhang

Traditional reinforcement learning methods usually deal with the tasks with expl icit reward signals. However, for vast majority of cases, the environment wouldn 't feedback a reward signal immediately. It turns out to be a bottleneck for mod ern reinforcement learning approaches to be applied into more realistic scenario s. Recently, inverse reinforcement learning has made great progress in making fu ll use of the expert demonstrations to recover the reward signal for reinforceme nt learning. And generative adversarial imitation learning is one promising appr oach. In this paper, we propose a new architecture for training generative adver sarial imitation learning which is so called energy based generative adversarial imitation learning (EB-GAIL). It views the discriminator as an energy function that attributes low energies to the regions near the expert demonstrations and h igh energies to other regions. Therefore, a generator can be seen as a reinforce ment learning procedure to sample trajectories with minimal energies (cost), whi le the discriminator is trained to assign high energies to these generated traje ctories. In detail, EB-GAIL uses an auto-encoder architecture in place of the di scriminator, with the energy being the reconstruction error. Theoretical analysi s shows our EB-GAIL could match the occupancy measure with expert policy during the training process. Meanwhile, the experiments depict that EB-GAIL outperforms other SoTA methods while the training process for EB-GAIL can be more stable.

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Efficient Certified Defenses Against Patch Attacks on Image Classifiers Jan Hendrik Metzen, Maksym Yatsura

Adversarial patches pose a realistic threat model for physical world attacks on autonomous systems via their perception component. Autonomous systems in safety-critical domains such as automated driving should thus contain a fail-safe fallb ack component that combines certifiable robustness against patches with efficien t inference while maintaining high performance on clean inputs. We propose BagCe rt, a novel combination of model architecture and certification procedure that a llows efficient certification. We derive a loss that enables end-to-end optimiza tion of certified robustness against patches of different sizes and locations. On CIFAR10, BagCert certifies 10.000 examples in 43 seconds on a single GPU and o btains 86% clean and 60% certified accuracy against 5x5 patches.

Differentiable Weighted Finite-State Transducers Awni Hannun, Vineel Pratap, Jacob Kahn, Wei-Ning Hsu

We introduce a framework for automatic differentiation with weighted finite-state transducers (WFSTs) allowing them to be used dynamically at training time. Through the separation of graphs from operations on graphs, this framework enables the exploration of new structured loss functions which in turn eases the encoding of prior knowledge into learning algorithms. We show how the framework can combine pruning and back-off in transition models with various sequence-level loss functions. We also show how to learn over the latent decomposition of phrases in to word pieces. Finally, to demonstrate that WFSTs can be used in the interior o

f a deep neural network, we propose a convolutional WFST layer which maps lower-level representations to higher-level representations and can be used as a drop-in replacement for a traditional convolution. We validate these algorithms with experiments in handwriting recognition and speech recognition.

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Meta Adversarial Training

Jan Hendrik Metzen, Nicole Finnie, Robin Hutmacher

Recently demonstrated physical-world adversarial attacks have exposed vulnerabil ities in perception systems that pose severe risks for safety-critical applicati ons such as autonomous driving. These attacks place adversarial artifacts in the physical world that indirectly cause the addition of universal perturbations to inputs of a model that can fool it in a variety of contexts. Adversarial traini ng is the most effective defense against image-dependent adversarial attacks. Ho wever, tailoring adversarial training to universal perturbations is computationa lly expensive since the optimal universal perturbations depend on the model weig hts which change during training. We propose meta adversarial training (MAT), a novel combination of adversarial training with meta-learning, which overcomes th is challenge by meta-learning universal perturbations along with model training. MAT requires little extra computation while continuously adapting a large set o f perturbations to the current model. We present results for universal patch and universal perturbation attacks on image classification and traffic-light detect ion. MAT considerably increases robustness against universal patch attacks compa red to prior work.

Quantifying Differences in Reward Functions

Adam Gleave, Michael D Dennis, Shane Legg, Stuart Russell, Jan Leike

For many tasks, the reward function is inaccessible to introspection or too comp lex to be specified procedurally, and must instead be learned from user data. Pr ior work has evaluated learned reward functions by evaluating policies optimized for the learned reward. However, this method cannot distinguish between the lea rned reward function failing to reflect user preferences and the policy optimiza tion process failing to optimize the learned reward. Moreover, this method can o nly tell us about behavior in the evaluation environment, but the reward may inc entivize very different behavior in even a slightly different deployment environ ment. To address these problems, we introduce the Equivalent-Policy Invariant Co mparison (EPIC) distance to quantify the difference between two reward functions directly, without a policy optimization step. We prove EPIC is invariant on an equivalence class of reward functions that always induce the same optimal policy . Furthermore, we find EPIC can be efficiently approximated and is more robust t han baselines to the choice of coverage distribution. Finally, we show that EPIC distance bounds the regret of optimal policies even under different transition dynamics, and we confirm empirically that it predicts policy training success. O ur source code is available at https://github.com/HumanCompatibleAI/evaluating-r

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Single-Node Attack for Fooling Graph Neural Networks

Ben Finkelshtein, Chaim Baskin, Evgenii Zheltonozhskii, Uri Alon

Graph neural networks (GNNs) have shown broad applicability in a variety of domains.

Some of these domains, such as social networks and product recommendations, are fertile ground for malicious users and behavior.

In this paper, we show that GNNs are vulnerable to the extremely limited scenari o of a single-node adversarial example, where the node cannot be picked by the a ttacker.

That is, an attacker can force the GNN to classify any target node to a chosen l abel by only slightly perturbing another single arbitrary node in the graph, eve n when not being able to pick that specific attacker node. When the adversary is allowed to pick a specific attacker node, the attack is even more effective.

We show that this attack is effective across various GNN types (e.g., GraphSAGE, GCN, GAT, and GIN), across a variety of real-world datasets, and as a targeted

and non-targeted attack.

Our code is available anonymously at https://github.com/gnnattack/SINGLE .

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Dataset Condensation with Gradient Matching

Bo Zhao, Konda Reddy Mopuri, Hakan Bilen

As the state-of-the-art machine learning methods in many fields rely on larger d atasets, storing datasets and training models on them become significantly more expensive. This paper proposes a training set synthesis technique for data-effic ient learning, called Dataset Condensation, that learns to condense large datase t into a small set of informative synthetic samples for training deep neural net works from scratch. We formulate this goal as a gradient matching problem betwee n the gradients of deep neural network weights that are trained on the original and our synthetic data. We rigorously evaluate its performance in several comput er vision benchmarks and demonstrate that it significantly outperforms the state -of-the-art methods. Finally we explore the use of our method in continual learn ing and neural architecture search and report promising gains when limited memor y and computations are available.

Taking Notes on the Fly Helps Language Pre-Training Qiyu Wu, Chen Xing, Yatao Li, Guolin Ke, Di He, Tie-Yan Liu

How to make unsupervised language pre-training more efficient and less resourceintensive is an important research direction in NLP. In this paper, we focus on improving the efficiency of language pre-training methods through providing bett er data utilization. It is well-known that in language data corpus, words follow a heavy-tail distribution. A large proportion of words appear only very few tim es and the embeddings of rare words are usually poorly optimized. We argue that such embeddings carry inadequate semantic signals, which could make the data uti lization inefficient and slow down the pre-training of the entire model. To miti gate this problem, we propose Taking Notes on the Fly (TNF), which takes notes f or rare words on the fly during pre-training to help the model understand them w hen they occur next time. Specifically, TNF maintains a note dictionary and save s a rare word's contextual information in it as notes when the rare word occurs in a sentence. When the same rare word occurs again during training, the note in formation saved beforehand can be employed to enhance the semantics of the curre nt sentence. By doing so, TNF provides a better data utilization since cross-sen tence information is employed to cover the inadequate semantics caused by rare w ords in the sentences. We implement TNF on both BERT and ELECTRA to check its ef ficiency and effectiveness. Experimental results show that TNF's training time is 60% less than its backbone pre-training models when reaching the same perform ance. When trained with same number of iterations, TNF outperforms its backbone methods on most of downstream tasks and the average GLUE score. Code is attache d in the supplementary material.

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Neural Architecture Search of SPD Manifold Networks

Rhea Sanjay Sukthanker, Zhiwu Huang, Suryansh Kumar, Erik Goron, Yan Wu, Luc Van Gool In this paper, we propose a new neural architecture search (NAS) problem of Symm etric Positive Definite (SPD) manifold networks. Unlike the conventional NAS pro blem, our problem requires to search for a unique computational cell called the SPD cell. This SPD cell serves as a basic building block of SPD neural architect ures. An efficient solution to our problem is important to minimize the extraneo us manual effort in the SPD neural architecture design. To accomplish this goal, we first introduce a geometrically rich and diverse SPD neural architecture sea rch space for an efficient SPD cell design. Further, we model our new NAS proble m using the supernet strategy, which models the architecture search problem as a one-shot training process of a single supernet. Based on the supernet modeling, we exploit a differentiable NAS algorithm on our relaxed continuous search spac e for SPD neural architecture search. Statistical evaluation of our method on dr one, action, and emotion recognition tasks mostly provides better results than t he state-of-the-art SPD networks and NAS algorithms. Empirical results show that our algorithm excels in discovering better SPD network design and providing mod els that are more than 3 times lighter than searched by state-of-the-art NAS alg

Fourier Stochastic Backpropagation

Amine Echraibi, Joachim Flocon Cholet, Stéphane Gosselin, Sandrine Vaton

Backpropagating gradients through random variables is at the heart of numerous m achine learning applications. In this paper, we present a general framework for deriving stochastic backpropagation rules for any distribution, discrete or cont inuous. Our approach exploits the link between the characteristic function and t he Fourier transform, to transport the derivatives from the parameters of the di stribution to the random variable. Our method generalizes previously known estim ators, and results in new estimators for the gamma, beta, Dirichlet and Laplace distributions. Furthermore, we show that the classical deterministic backproapag ation rule and the discrete random variable case, can also be interpreted through stochastic backpropagation.

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Predictive Attention Transformer: Improving Transformer with Attention Map Prediction

Yujing Wang, Yaming Yang, Jiangang Bai, Mingliang Zhang, Jing Bai, Jing Yu, Ce Zhang, Yunhai Tong

Transformer is a ubiquitous model for natural language processing and has also a ttracted wide attentions in other domains such as computer vision. The self-atte ntion maps, learned independently for each layer, are indispensable for a transf ormer model to encode the dependencies among input tokens, however, learning the m effectively is still a challenging problem. In this paper, we address this pro blem and propose a novel approach to improve self-attention through supplementar y prediction modules. The underlying assumption is that the attention structures in the current layer should not be completely independent from those in the pre vious layer. Instead, we model their dependencies via a chain of prediction mode ls that take previous attention maps as input to predict the attention maps of a new layer through convolutional neural networks. Specifically, we propose Predi ctive Attention Transformer and obtain significant performance gains for various kinds of tasks on top of multiple state-of-the-art models. On GLUE benchmark, t he average performances of BERT-Base and BERT-Large are lifted by 4.1 and 2.5 po ints respectively. For machine translation, it improves the BLUE score of a vani lla Transformer consistently on IWSLT'14 De-En dataset with different model size s. For ImageNet classification, we achieve significant improvement over a strong backbone model with comparable capacity.

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Accurately Solving Rod Dynamics with Graph Learning

Han Shao, Tassilo Kugelstadt, Torsten Hädrich, Wojciech Pa∎ubicki, Jan Bender, Soren Pirk, Dominik Michels

Iterative solvers are widely used to accurately simulate physical systems. These solvers require initial guesses to generate a sequence of improving approximate solutions. In this contribution, we introduce a novel method to accelerate iter ative solvers for rod dynamics with graph networks (GNs) by predicting the initi al guesses to reduce the number of iterations. Unlike existing methods that aim to learn physical systems in an end-to-end manner, our approach guarantees longterm stability and therefore leads to more accurate solutions. Furthermore, our method improves the run time performance of traditional iterative solvers for ro d dynamics. To explore our method we make use of position-based dynamics (PBD) a s a common solver for physical systems and evaluate it by simulating the dynamic s of elastic rods. Our approach is able to generalize across different initial c onditions, discretizations, and realistic material properties. We demonstrate th at it also performs well when taking discontinuous effects into account such as collisions between individual rods. Finally, to illustrate the scalability of ou  $\ensuremath{\text{r}}$  approach, we simulate complex 3D tree models composed of over a thousand indiv idual branch segments swaying in wind fields.

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Graph Edit Networks

Benjamin Paassen, Daniele Grattarola, Daniele Zambon, Cesare Alippi, Barbara Eva Ham mer

While graph neural networks have made impressive progress in classification and regression, few approaches to date perform time series prediction on graphs, and those that do are mostly limited to edge changes. We suggest that graph edits a re a more natural interface for graph-to-graph learning. In particular, graph edits are general enough to describe any graph-to-graph change, not only edge changes; they are sparse, making them easier to understand for humans and more efficient computationally; and they are local, avoiding the need for pooling layers in graph neural networks. In this paper, we propose a novel output layer - the graph edit network - which takes node embeddings as input and generates a sequence of graph edits that transform the input graph to the output graph. We prove that a mapping between the node sets of two graphs is sufficient to construct training data for a graph edit network and that an optimal mapping yields edit scripts that are almost as short as the graph edit distance between the graphs. We further provide a proof-of-concept empirical evaluation on several graph dynamical systems, which are difficult to learn for baselines from the literature.

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Learn Robust Features via Orthogonal Multi-Path Kun Fang, Xiaolin Huang, Yingwen Wu, Tao Li, Jie Yang

- ■It is now widely known that by adversarial attacks, clean images with invisible perturbations can fool deep neural networks.
- ■To defend adversarial attacks, we design a block containing multiple paths to 1 earn robust features and the parameters of these paths are required to be orthogonal with each other.
- ■The so-called Orthogonal Multi-Path (OMP) block could be posed in any layer of a neural network.
- ■Via forward learning and backward correction, one OMP block makes the neural ne tworks learn features that are appropriate for all the paths and hence are expected to be robust. With careful design and thorough experiments on e.g., the positions of imposing orthogonality constraint, and the trade-off between the variety and accuracy,
- ■the robustness of the neural networks is significantly improved.
- ■For example, under white-box PGD attack with \$1\_\infty\$ bound \${8}/{255}\$ (this is a fierce attack that can make the accuracy of many vanilla neural networks d rop to nearly \$10\%\$ on CIFAR10), VGG16 with the proposed OMP block could keep o ver \$50\%\$ accuracy. For black-box attacks, neural networks equipped with an OMP block have accuracy over \$80\%\$. The performance under both white-box and black-box attacks is much better than the existing state-of-the-art adversarial defenders.

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TAM: Temporal Adaptive Module for Video Recognition Zhaoyang Liu, Limin Wang, Wayne Wu, Chen Qian, Tong Lu

Temporal modeling is crucial for capturing spatiotemporal structure in videos fo r action recognition. Video data is with extremely complex dynamics along its te mporal dimension due to various factors such as camera motion, speed variation, and different activities. To effectively capture this diverse motion pattern, th is paper presents a new temporal adaptive module ({\bf TAM}) to generate video-s pecific kernels based on its own feature maps. TAM proposes a unique two-level a daptive modeling scheme by decoupling dynamic kernels into a location sensitive importance map and a location invariant aggregation weight. The importance map is learned in a local temporal window to capture short term information, while the aggregation weight is generated from a global view with a focus on long-term structure. TAM is a principled module and could be integrated into 2D CNNs to yield a powerful video architecture (TANet) with a very small extra computational cost. The extensive experiments on Kinetics-400 and Something-Something datasets, demonstrate that the TAM outperforms other temporal modeling methods consistently owing to its temporal adaptive modeling strategy.

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FOCAL: Efficient Fully-Offline Meta-Reinforcement Learning via Distance Metric L

earning and Behavior Regularization Langing Li, Rui Yang, Dijun Luo

We study the offline meta-reinforcement learning (OMRL) problem, a paradigm whic h enables reinforcement learning (RL) algorithms to quickly adapt to unseen task s without any interactions with the environments, making RL truly practical in m any real-world applications. This problem is still not fully understood, for whi ch two major challenges need to be addressed. First, offline RL usually suffers from bootstrapping errors of out-of-distribution state-actions which leads to di vergence of value functions. Second, meta-RL requires efficient and robust task inference learned jointly with control policy. In this work, we enforce behavior regularization on learned policy as a general approach to offline RL, combined with a deterministic context encoder for efficient task inference. We propose a novel negative-power distance metric on bounded context embedding space, whose g radients propagation is detached from the Bellman backup. We provide analysis an d insight showing that some simple design choices can yield substantial improvem ents over recent approaches involving meta-RL and distance metric learning. To t he best of our knowledge, our method is the first model-free and end-to-end OMRL algorithm, which is computationally efficient and demonstrated to outperform pr ior algorithms on several meta-RL benchmarks.

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CURI: A Benchmark for Productive Concept Learning Under Uncertainty Shanmukha Ramakrishna Vedantam, Arthur Szlam, Maximilian Nickel, Ari S. Morcos, Brenden M. Lake

Humans can learn and reason under substantial uncertainty in a space of infinite ly many concepts, including structured relational concepts ("a scene with object s that have the same color") and ad-hoc categories defined through goals ("objec ts that could fall on one's head"). In contrast, standard classification benchma rks: 1) consider only a fixed set of category labels, 2) do not evaluate composi tional concept learning and 3) do not explicitly capture a notion of reasoning u nder uncertainty. We introduce a new few-shot, meta-learning benchmark, Composit ional Reasoning Under Uncertainty (CURI) to bridge this gap. CURI evaluates diff erent aspects of productive and systematic generalization, including abstract un derstandings of disentangling, productive generalization, learning boolean opera tions, variable binding, etc. Importantly, it also defines a model-independent " compositionality gap" to evaluate difficulty of generalizing out-of-distribution along each of these axes. Extensive evaluations across a range of modeling choi ces spanning different modalities (image, schemas, and sounds), splits, privileg ed auxiliary concept information, and choices of negatives reveal substantial sc ope for modeling advances on the proposed task. All code and datasets will be av ailable online.

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Effective and Efficient Vote Attack on Capsule Networks Jindong Gu, Baoyuan Wu, Volker Tresp

Standard Convolutional Neural Networks (CNNs) can be easily fooled by images wit h small quasi-imperceptible artificial perturbations. As alternatives to CNNs, t he recently proposed Capsule Networks (CapsNets) are shown to be more robust to white-box attack than CNNs under popular attack protocols. Besides, the class-co nditional reconstruction part of CapsNets is also used to detect adversarial exa mples. In this work, we investigate the adversarial robustness of CapsNets, espe cially how the inner workings of CapsNets change when the output capsules are at tacked. The first observation is that adversarial examples misled CapsNets by ma nipulating the votes from primary capsules. Another observation is the high comp utational cost, when we directly apply multi-step attack methods designed for CN Ns to attack CapsNets, due to the computationally expensive routing mechanism. M otivated by these two observations, we propose a novel vote attack where we atta ck votes of CapsNets directly. Our vote attack is not only effective, but also e fficient by circumventing the routing process. Furthermore, we integrate our vot e attack into the detection-aware attack paradigm, which can successfully bypass the class-conditional reconstruction based detection method. Extensive experime nts demonstrate the superior attack performance of our vote attack on CapsNets.

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Rapid Neural Architecture Search by Learning to Generate Graphs from Datasets Hayeon Lee, Eunyoung Hyung, Sung Ju Hwang

Despite the success of recent Neural Architecture Search (NAS) methods on variou s tasks which have shown to output networks that largely outperform human-design ed networks, conventional NAS methods have mostly tackled the optimization of se arching for the network architecture for a single task (dataset), which does not generalize well across multiple tasks (datasets). Moreover, since such task-spe cific methods search for a neural architecture from scratch for every given task , they incur a large computational cost, which is problematic when the time and monetary budget are limited. In this paper, we propose an efficient NAS framewor k that is trained once on a database consisting of datasets and pretrained netwo rks and can rapidly search for a neural architecture for a novel dataset. The pr oposed MetaD2A (Meta Dataset-to-Architecture) model can stochastically generate graphs (architectures) from a given set (dataset) via a cross-modal latent space learned with amortized meta-learning. Moreover, we also propose a meta-performa nce predictor to estimate and select the best architecture without direct traini ng on target datasets. The experimental results demonstrate that our model metalearned on subsets of ImageNet-1K and architectures from NAS-Bench 201 search sp ace successfully generalizes to multiple unseen datasets including CIFAR-10 and CIFAR-100, with an average search time of 33 GPU seconds. Even under MobileNetV3 search space, MetaD2A is 5.5K times faster than NSGANetV2, a transferable NAS m ethod, with comparable performance. We believe that the MetaD2A proposes a new r esearch direction for rapid NAS as well as ways to utilize the knowledge from ri ch databases of datasets and architectures accumulated over the past years. Code is available at https://github.com/HayeonLee/MetaD2A.

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## Reservoir Transformers

Sheng Shen, Alexei Baevski, Ari S. Morcos, Kurt Keutzer, Michael Auli, Douwe Kiela We demonstrate that transformers obtain impressive performance even when some of the layers are randomly initialized and never updated. Inspired by old and well -established ideas in machine learning, we explore a variety of non-linear reser voir layers interspersed with regular transformer layers, and show improvements in wall-clock compute time until convergence, as well as overall performance, on various machine translation and (masked) language modelling tasks.

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AUTOSAMPLING: SEARCH FOR EFFECTIVE DATA SAMPLING SCHEDULES

Ming Sun, Haoxuan Dou, Baopu Li, Junjie Yan, Wanli Ouyang

Data sampling acts as a pivotal role in training deep learning models. However, an effective sampling schedule is difficult to learn due to its inherent high-di mension as a hyper-parameter. In this paper, we propose the AutoSampling method to automatically learn sampling schedules for model training, which consists of the multi-exploitation step aiming for optimal local sampling schedules and the exploration step for the ideal sampling distribution. More specifically, we achi eve sampling schedule search with shortened exploitation cycle to provide enough supervision. In addition, we periodically estimate the sampling distribution from the learned sampling schedules and perturb it to search in the distribution space. The combination of two searches allows us to learn a robust sampling schedule. We apply our AutoSampling method to a variety of image classification tasks illustrating the effectiveness of the proposed method.

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Deep Reinforcement Learning With Adaptive Combined Critics Huihui Zhang, Wu Huang

The overestimation problem has long been popular in deep value learning, because function approximation errors may lead to amplified value estimates and subopti mal policies. There have been several methods to deal with the overestimation problem, however, further problems may be induced, for example, the underestimation bias and instability. In this paper, we focus on the overestimation issues on continuous control through deep reinforcement learning, and propose a novel algorithm that can minimize the overestimation, avoid the underestimation bias and r

etain the policy improvement during the whole training process. Specifically, we add a weight factor to adjust the influence of two independent critics, and use the combined value of weighted critics to update the policy. Then the updated policy is involved in the update of the weight factor, in which we propose a nove 1 method to provide theoretical and experimental guarantee for future policy improvement. We evaluate our method on a set of classical control tasks, and the results show that the proposed algorithms are more computationally efficient and stable than several existing algorithms for continuous control.

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Impact of Representation Learning in Linear Bandits Jiaqi Yang, Wei Hu, Jason D. Lee, Simon Shaolei Du

We study how representation learning can improve the efficiency of bandit proble ms. We study the setting where we play \$T\$ linear bandits with dimension \$d\$ con currently, and these \$T\$ bandit tasks share a common \$k (\ll d)\$ dimensional lin ear representation. For the finite-action setting, we present a new algorithm wh ich achieves \$\widetilde{0}(T\sqrt{kN} + \sqrt{dkNT})\$ regret, where \$N\$ is the number of rounds we play for each bandit. When \$T\$ is sufficiently large, our al gorithm significantly outperforms the naive algorithm (playing \$T\$ bandits indep endently) that achieves \$\widetilde{0}(T\sqrt{d N})\$ regret. We also provide an \$\0mega(T\sqrt{kN} + \sqrt{dkNT})\$ regret lower bound, showing that our algorith m is minimax-optimal up to poly-logarithmic factors. Furthermore, we extend our algorithm to the infinite-action setting and obtain a corresponding regret bound which demonstrates the benefit of representation learning in certain regimes. We also present experiments on synthetic and real-world data to illustrate our theoretical findings and demonstrate the effectiveness of our proposed algorithms

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BRAC+: Going Deeper with Behavior Regularized Offline Reinforcement Learning Chi Zhang, Sanmukh Rao Kuppannagari, Viktor Prasanna

Online interactions with the environment to collect data samples for training a Reinforcement Learning agent is not always feasible due to economic and safety c oncerns. The goal of Offline Reinforcement Learning (RL) is to address this prob lem by learning effective policies using previously collected datasets. Standard off-policy RL algorithms are prone to overestimations of the values of out-of-d istribution (less explored) actions and are hence unsuitable for Offline RL. Beh avior regularization, which constraints the learned policy within the support se t of the dataset, has been proposed to tackle the limitations of standard off-po licy algorithms. In this paper, we improve the behavior regularized offline rein forcement learning and propose \emph{BRAC+}. We use an analytical upper bound on KL divergence as the behavior regularizor to reduce variance associated with sa mple based estimations. Additionally, we employ state-dependent Lagrange multipl iers for the regularization term to avoid distributing KL divergence penalty acr oss all states of the sampled batch. The proposed Lagrange multipliers allow mor e freedom of deviation to high probability (more explored) states leading to bet ter rewards while simultaneously restricting low probability (less explored) sta tes to prevent out-of-distribution actions.  $\textcolor{blue}{To prevent catastro}$ phic performance degradation due to rare out-of-distribution actions, we add a g radient penalty term to the policy evaluation objective to penalize the gradient of the Q value w.r.t the out-of-distribution actions. By doing so, the Q values evaluated at the out-of-distribution actions are bounded. } On challenging offli ne RL benchmarks, BRAC+ outperforms the state-of-the-art model-free and model-ba sed approaches.

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Creative Sketch Generation

Songwei Ge, Vedanuj Goswami, Larry Zitnick, Devi Parikh

Sketching or doodling is a popular creative activity that people engage in. Howe ver, most existing work in automatic sketch understanding or generation has focu sed on sketches that are quite mundane. In this work, we introduce two datasets of creative sketches -- Creative Birds and Creative Creatures -- containing 10k sketches each along with part annotations. We propose DoodlerGAN -- a part-based

Generative Adversarial Network (GAN) -- to generate unseen compositions of nove l part appearances. Quantitative evaluations as well as human studies demonstrat e that sketches generated by our approach are more creative and of higher qualit y than existing approaches. In fact, in Creative Birds, subjects prefer sketches generated by DoodlerGAN over those drawn by humans!

Self-supervised Representation Learning with Relative Predictive Coding Yao-Hung Hubert Tsai, Martin Q. Ma, Muqiao Yang, Han Zhao, Louis-Philippe Morency, Ruslan Salakhutdinov

This paper introduces Relative Predictive Coding (RPC), a new contrastive repres entation learning objective that maintains a good balance among training stability, minibatch size sensitivity, and downstream task performance. The key to the success of RPC is two-fold. First, RPC introduces the relative parameters to regularize the objective for boundedness and low variance. Second, RPC contains no logarithm and exponential score functions, which are the main cause of training instability in prior contrastive objectives. We empirically verify the effective ness of RPC on benchmark vision and speech self-supervised learning tasks. Lastly, we relate RPC with mutual information (MI) estimation, showing RPC can be used to estimate MI with low variance.

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BBRefinement: an universal scheme to improve precision of box object detectors Petr Hurtik, Marek Vajgl

We present a conceptually simple yet powerful and flexible scheme for refining p redictions of bounding boxes. Our approach is trained standalone on GT boxes and can then be combined with an object detector to improve its predictions. The me thod, called BBRefinement, uses mixture data of image information and the object 's class and center. Due to the transformation of the problem into a domain wher e BBRefinement does not care about multiscale detection, recognition of the object's class, computing confidence, or multiple detections, the training is much m ore effective. It results in the ability to refine even COCO's ground truth labels into a more precise form. BBRefinement improves the performance of SOTA architectures up to 2mAP points on the COCO dataset in the benchmark. The refinement process is fast; it adds 50-80ms overhead to a standard detector using RTX2080, so it can run in real-time on standard hardware. The code is available at https://gitlab.com/irafm-ai/bb-refinement.

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Differentiable Spatial Planning using Transformers Devendra Singh Chaplot, Deepak Pathak, Jitendra Malik

We consider the problem of spatial path planning. In contrast to the classical solutions which optimize a new plan from scratch and assume access to the full map with ground truth obstacle locations, we learn a planner from the data in a differentiable manner that allows us to leverage statistical regularities from past data. We propose Spatial Planning Transformers (SPT), which given an obstacle map learns to generate actions by planning over long-range spatial dependencies, unlike prior data-driven planners that propagate information locally via convolutional structure in an iterative manner. In the setting where the ground truth map is not known to the agent, we leverage pre-trained SPTs to in an end-to-end framework that has the structure of mapper and planner built into it which allow a seamless generalization to out-of-distribution maps and goals. SPTs outperform prior state-of-the-art across all the setups for both manipulation and navigation tasks, leading to an absolute improvement of 7-19%.

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Feature-Robust Optimal Transport for High-Dimensional Data

Mathis Petrovich, Chao Liang, Ryoma Sato, Yanbin Liu, Yao-Hung Hubert Tsai, Linchao Zhu, Yi Yang, Ruslan Salakhutdinov, Makoto Yamada

Optimal transport is a machine learning problem with applications including dist ribution comparison, feature selection, and generative adversarial networks. In this paper, we propose feature-robust optimal transport (FROT) for high-dimensional data, which solves high-dimensional OT problems using feature selection to a void the curse of dimensionality. Specifically, we find a transport plan with di

scriminative features. To this end, we formulate the FROT problem as a min--max optimization problem. We then propose a convex formulation of the FROT problem a nd solve it using a Frank--Wolfe-based optimization algorithm, whereby the subproblem can be efficiently solved using the Sinkhorn algorithm. Since FROT finds the transport plan from selected features, it is robust to noise features. To show the effectiveness of FROT, we propose using the FROT algorithm for the layer selection problem in deep neural networks for semantic correspondence. By conducting synthetic and benchmark experiments, we demonstrate that the proposed method can find a strong correspondence by determining important layers. We show that the FROT algorithm achieves state-of-the-art performance in real-world semantic correspondence datasets.

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Model-based Navigation in Environments with Novel Layouts Using Abstract 2-D M aps

Linfeng Zhao, Lawson L. S. Wong

Efficiently training agents with planning capabilities has long been one of the major challenges in decision-making. In this work, we focus on zero-shot navigat ion ability on a given abstract 2-D occupancy map, like human navigation by read ing a paper map, by treating it as an image. To learn this ability, we need to e fficiently train an agent on environments with a small proportion of training ma ps and share knowledge effectively across the environments. We hypothesize that model-based navigation can better adapt an agent's behaviors to a task, since it disentangles the variations in map layout and goal location and enables longerterm planning ability on novel locations compared to reactive policies. We propo se to learn a hypermodel that can understand patterns from a limited number of a bstract maps and goal locations, to maximize alignment between the hypermodel pr edictions and real trajectories to extract information from multi-task off-polic y experiences, and to construct denser feedback for planners by \$n\$-step goal re labelling. We train our approach on DeepMind Lab environments with layouts from different maps, and demonstrate superior performance on zero-shot transfer to no vel maps and goals.

PURE: An Uncertainty-aware Recommendation Framework for Maximizing Expected Post erior Utility of Platform

Haokun Chen, Zhaoyang Liu, Chen Xu, Ziqian Chen, Jinyang Gao, Bolin Ding Commercial recommendation can be regarded as an interactive process between the recommendation platform and its target users. One crucial problem for the platfo rm is how to make full use of its advantages so as to maximize its utility, i.e. , the commercial benefits from recommendation. In this paper, we propose a novel recommendation framework which effectively utilizes the information of user unc ertainty over different item dimensions and explicitly takes into consideration the impact of display policy on user in order to achieve maximal expected poster ior utility for the platform. We formulate the problem of deriving optimal polic y to achieve maximal expected posterior utility as a constrained non-convex opti mization problem and further propose an ADMM-based solution to derive an approxi mately optimal policy. Extensive experiments are conducted over data collected f rom a real-world recommendation platform and demonstrate the effectiveness of th e proposed framework. Besides, we also adopt the proposed framework to conduct e xperiments with an intent to reveal how the platform achieves its commercial ben efits. The results suggest that the platform should cater to the user's preferen ce for item dimensions that the user prefers, while for item dimensions where th e user is with high uncertainty, the platform can achieve more commercial benefi ts by recommending items with high utilities.

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Transfer among Agents: An Efficient Multiagent Transfer Learning Framework Tianpei Yang, Jianye HAO, Weixun Wang, Hongyao Tang, Zhaopeng Meng, Hangyu Mao, Dong Li, Wulong Liu, Yujing Hu, Yingfeng Chen, Changjie Fan

Transfer Learning has shown great potential to enhance the single-agent Reinforc ement Learning (RL) efficiency, by sharing learned policies of previous tasks. Similarly, in multiagent settings, the learning performance can also be promoted

if agents can share knowledge between each other. However, it remains an open qu estion of how an agent should learn from other agents' knowledge. In this paper, we propose a novel multiagent option-based policy transfer (MAOPT) framework to improve multiagent learning efficiency. Our framework learns what advice to giv e to each agent and when to terminate it by modeling multiagent policy transfer as the option learning problem. MAOPT provides different kinds of variants which can be classified into two types in terms of the experience used during trainin g. One type is the MAOPT with the Global Option Advisor which has the access to the global information of the environment. However, in many realistic scenarios, we can only obtain each agent's local information due to the partial observatio n. The other type contains MAOPT with the Local Option Advisor and MAOPT with th e Successor Representation Option (SRO) which are suitable for this setting and collect each agent's local experience for the update. In many cases, each agent' s experience is inconsistent with each other which causes the option-value estim ation to oscillate and to become inaccurate. SRO is used to handle the experienc e inconsistency by decoupling the dynamics of the environment from the rewards t o learn the option-value function under each agent's preference. MAOPT can be ea sily combined with existing deep RL approaches. Experimental results show it sig nificantly boosts the performance of existing deep RL methods in both discrete a nd continuous state spaces.

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Neighbor2Seq: Deep Learning on Massive Graphs by Transforming Neighbors to Seque

Meng Liu, Shuiwang Ji

Modern Graph Neural Networks (GNNs) follow a recursive neighbor-wise message pas sing scheme and have achieved great success in many fields. However, this recurs ive design brings expensive computation and huge memory usage, making it difficu lt to deploy on large-scale graphs. In this work, we propose Neighbor2Seq, which transforms the hierarchical neighborhood of each node into an ordered sequence and enables the subsequent utilization of general deep learning operations, such as convolution and attention. Neighbor2Seq grants our proposed models, i.e., Ne ighbor2Seq-Conv and Neighbor2Seq-Attn, the ability to learn on arbitrarily large graphs as long as the Neighbor2Seq step can be precomputed. Another potential a dvantage obtained by the way is that Neighbor2Seq can alleviate the over-squashing issue existing in modern GNNs. We conduct thorough experiments on a massive graph with more than 111 million nodes and 1.6 billion edges, as well as several medium-scale graphs, to evaluate our proposed method. Experimental results demon strate that our proposed method is scalable to the massive graph and achieves su perior performance across datasets.

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Additive Poisson Process: Learning Intensity of Higher-Order Interaction in Stoc hastic Processes

Simon Luo, Feng Zhou, Lamiae Azizi, Mahito Sugiyama

We present the Additive Poisson Process (APP), a novel framework that can model the higher-order interaction effects of the intensity functions in stochastic pr ocesses using lower dimensional projections. Our model combines the techniques in information geometry to model higher-order interactions on a statistical manification and in generalized additive models to use lower-dimensional projections to o vercome the effects from the curse of dimensionality. Our approach solves a convex optimization problem by minimizing the KL divergence from a sample distribution in lower dimensional projections to the distribution modeled by an intensity function in the stochastic process. Our empirical results show that our model is able to use samples observed in the lower dimensional space to estimate the higher-order intensity function with extremely sparse observations.

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Hierarchical Probabilistic Model for Blind Source Separation via Legendre Transformation

Simon Luo, Lamiae Azizi, Mahito Sugiyama

We present a novel blind source separation (BSS) method, called information geom etric blind source separation (IGBSS). Our formulation is based on the log-linea

r model equipped with a hierarchically structured sample space, which has theore tical guarantees to uniquely recover a set of source signals by minimizing the K L divergence from a set of mixed signals. Source signals, received signals, and mixing matrices are realized as different layers in our hierarchical sample space. Our empirical results have demonstrated on images and time series data that o ur approach is superior to well established techniques and is able to separate signals with complex interactions.

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Cubic Spline Smoothing Compensation for Irregularly Sampled Sequences Jing Shi, Jing Bi, Yingru Liu, Chenliang Xu

The marriage of recurrent neural networks and neural ordinary differential networks (ODE-RNN) is effective in modeling irregularly sampled sequences.

While ODE produces the smooth hidden states between observation intervals, the R NN will trigger a hidden state jump when a new observation arrives and thus caus e the interpolation discontinuity problem.

To address this issue, we propose the cubic spline smoothing compensation, which is a stand-alone module upon either the output or the hidden state of ODE-RNN a nd can be trained end-to-end.

We derive its analytical solution and provide its theoretical interpolation erro r bound.

Extensive experiments indicate its merits over both ODE-RNN and cubic spline interpolation.

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Human Perception-based Evaluation Criterion for Ultra-high Resolution Cell Membrane Segmentation

Ruohua Shi, Wenyao Wang, Zhixuan Li, Liuyuan He, Kaiwen Sheng, Lei Ma, Kai Du, Tingting Jiang, Tiejun Huang

Computer vision technology is widely used in biological and medical data analysis and understanding. However, there are still two major bottlenecks in the field of cell membrane segmentation, which seriously hinder further research: lack of sufficient high-quality data and lack of suitable evaluation criteria. In order to solve these two problems, this paper first introduces an Ultra-high Resoluti on Image Segmentation dataset for the Cell membrane, called U-RISC, the largest annotated EM dataset for the Cell membrane with multiple iterative annotations a nd uncompressed high-resolution raw data. During the analysis process of the U-R ISC, we found that the current popular segmentation evaluation criteria are inconsistent with human perception. This interesting phenomenon is confirmed by a subjective experiment involving twenty people. Furthermore, to resolve this inconsistency, we propose a Perceptual Hausdorff Distance (PHD) evaluation criterion to measure the quality of cell membrane segmentation results. Detailed performance comparison and discussion of classic segmentation methods along with two iterative manual annotation results under existing criteria and PHD is given.

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With False Friends Like These, Who Can Have Self-Knowledge? Lue Tao, Songcan Chen

Adversarial examples arise from excessive sensitivity of a model. Commonly studied adversarial examples are malicious inputs, crafted by an adversary from correctly classified examples, to induce misclassification. This paper studies an intriguing, yet far overlooked consequence of the excessive sensitivity, that is, a misclassified example can be easily perturbed to help the model to produce correct output. Such perturbed examples look harmless, but actually can be maliciously utilized by a false friend to make the model self-satisfied. Thus we name the hypocritical examples. With false friends like these, a poorly performed model could behave like a state-of-the-art one. Once a deployer trusts the hypocritical performance and uses the "well-performed" model in real-world applications, potential security concerns appear even in benign environments. In this paper, we formalize the hypocritical risk for the first time and propose a defense method specialized for hypocritical examples by minimizing the tradeoff between natural risk and an upper bound of hypocritical risk. Moreover, our theoretical analys is reveals connections between adversarial risk and hypocritical risk. Extensive

experiments verify the theoretical results and the effectiveness of our propose d methods.

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A Sharp Analysis of Model-based Reinforcement Learning with Self-Play Qinghua Liu, Tiancheng Yu, Yu Bai, Chi Jin

Model-based algorithms---algorithms that explore the environment through buildin g and utilizing an estimated model---are widely used in reinforcement learning p ractice and theoretically shown to achieve optimal sample efficiency for singleagent reinforcement learning in Markov Decision Processes (MDPs). However, for m ulti-agent reinforcement learning in Markov games, the current best known sample complexity for model-based algorithms is rather suboptimal and compares unfavor ably against recent model-free approaches. In this paper, we present a sharp ana lysis of model-based self-play algorithms for multi-agent Markov games. We desig n an algorithm  $emph\{Optimistic\ Nash\ Value\ Iteration\}\ (Nash-VI)$  for two-player z ero-sum Markov games that is able to output an \$\epsilon\$-approximate Nash polic y in \$\tilde{\mathcal{0}}(H^3SAB/\epsilon^2)\$ episodes of game playing, where \$S \$ is the number of states, \$A,B\$ are the number of actions for the two players r espectively, and \$H\$ is the horizon length. This significantly improves over the best known model-based guarantee of \$\tilde{\mathcal{0}}(H^4S^2AB/\epsilon^2)\$, and is the first that matches the information-theoretic lower bound \$\Omega(H^3)  $S(A+B)/\exp^2$  except for a  $\min_{A,B}$  factor. In addition, our guarante e compares favorably against the best known model-free algorithm if \$\min\{A,B\} =o(H^3)\$, and outputs a single Markov policy while existing sample-efficient mod el-free algorithms output a nested mixture of Markov policies that is in general non-Markov and rather inconvenient to store and execute. We further adapt our a nalysis to designing a provably efficient task-agnostic algorithm for zero-sum M arkov games, and designing the first line of provably sample-efficient algorithm s for multi-player general-sum Markov games.

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## Contrastive Video Textures

Medhini Narasimhan, Shiry Ginosar, Andrew Owens, Alexei A Efros, Trevor Darrell Existing methods for video generation struggle to generate more than a short seq uence of frames. We introduce a non-parametric approach for infinite video gener ation based on learning to resample frames from an input video. Our work is insp ired by Video Textures, a classic method relying on pixel similarity to stitch s equences of frames, which performs well for videos with a high degree of regular ity but fails in less constrained settings. Our method learns a distance metric to compare frames in a manner that scales to more challenging dynamics and allow s for conditioning on heterogeneous data, such as audio. We learn representation s for video frames and probabilities of transitioning by fitting a video-specifi c bi-gram model trained using contrastive learning. To synthesize the texture, w e represent the video as a graph where the nodes are frames and edges are transi tions with probabilities predicted by our video-specific model. By randomly trav ersing edges with high transition probabilities, we generate diverse temporally smooth videos with novel sequences and transitions. The model naturally extends with no additional training to handle the task of Audio Conditioned Video Synthe sis, when conditioned on an audio signal. Our model outperforms baselines on hum an perceptual scores, can handle a diverse range of input videos, and can combin e semantic and audio-visual cues in order to synthesize videos that synchronize well with an audio signal.

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HALMA: Humanlike Abstraction Learning Meets Affordance in Rapid Problem Solving Sirui Xie, Xiaojian Ma, Peiyu Yu, Yixin Zhu, Ying Nian Wu, Song-Chun Zhu Humans learn compositional and causal abstraction, \ie, knowledge, in response to the structure of naturalistic tasks. When presented with a problem-solving task involving some objects, toddlers would first interact with these objects to reckon what they are and what can be done with them. Leveraging these concepts, they could understand the internal structure of this task, without seeing all of the problem instances. Remarkably, they further build cognitively executable strategies to \emph{rapidly} solve novel problems. To empower a learning agent with

similar capability, we argue there shall be three levels of generalization in ho w an agent represents its knowledge: perceptual, conceptual, and algorithmic. In this paper, we devise the very first systematic benchmark that offers joint eva luation covering all three levels. This benchmark is centered around a novel tas k domain, HALMA, for visual concept development and rapid problem solving. Uniquely, HALMA has a minimum yet complete concept space, upon which we introduce a novel paradigm to rigorously diagnose and dissect learning agents' capability in understanding and generalizing complex and structural concepts. We conduct extensive experiments on reinforcement learning agents with various inductive biases and carefully report their proficiency and weakness.

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Learning to Search for Fast Maximum Common Subgraph Detection

Yunsheng Bai, Derek Qiang Xu, Yizhou Sun, Wei Wang

Detecting the Maximum Common Subgraph (MCS) between two input graphs is fundamen tal for applications in biomedical analysis, malware detection, cloud computing, etc. This is especially important in the task of drug design, where the success ful extraction of common substructures in compounds can reduce the number of exp eriments needed to be conducted by humans. However, MCS computation is NP-hard, and state-of-the-art MCS solvers rely on heuristics in search which in practice cannot find good solution for large graph pairs under a limited search budget. H ere we propose GLSearch, a Graph Neural Network based model for MCS detection, w hich learns to search. Our model uses a state-of-the-art branch and bound algori thm as the backbone search algorithm to extract subgraphs by selecting one node pair at a time. In order to make better node selection decision at each step, we replace the node selection heuristics with a novel task-specific Deep Q-Network (DQN), allowing the search process to find larger common subgraphs faster. To e nhance the training of DQN, we leverage the search process to provide supervisio n in a pre-training stage and guide our agent during an imitation learning stage . Therefore, our framework allows search and reinforcement learning to mutually benefit each other. Experiments on synthetic and real-world large graph pairs de monstrate that our model outperforms state-of-the-art MCS solvers and neural gra ph matching network models.

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One Network Fits All? Modular versus Monolithic Task Formulations in Neural Networks

Atish Agarwala, Abhimanyu Das, Brendan Juba, Rina Panigrahy, Vatsal Sharan, Xin Wang, Qiuyi Zhang

Can deep learning solve multiple, very different tasks simultaneously? We invest igate how the representations of the underlying tasks affect the ability of a single neural network to learn them jointly. We present theoretical and empirical findings that a single neural network is capable of simultaneously learning multiple tasks from a combined data set, for a variety of methods for representing tasks——for example, when the distinct tasks are encoded by well—separated clusters or decision trees over some task—code attributes. Indeed, more strongly, we present a novel analysis that shows that families of simple programming—like constructs for the codes encoding the tasks are learnable by two—layer neural networks with standard training. We study more generally how the complexity of learning such combined tasks grows with the complexity of the task codes; we find that learning many tasks can be provably hard, even though the individual tasks are easy to learn. We provide empirical support for the usefulness of the learning bo unds by training networks on clusters, decision trees, and SQL—style aggregation

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Conditionally Adaptive Multi-Task Learning: Improving Transfer Learning in NLP U sing Fewer Parameters & Less Data

Jonathan Pilault, Amine El hattami, Christopher Pal

Multi-Task Learning (MTL) networks have emerged as a promising method for transf erring learned knowledge across different tasks. However, MTL must deal with cha llenges such as: overfitting to low resource tasks, catastrophic forgetting, and negative task transfer, or learning interference. Often, in Natural Language Pr

ocessing (NLP), a separate model per task is needed to obtain the best performan ce. However, many fine-tuning approaches are both parameter inefficient, i.e., p otentially involving one new model per task, and highly susceptible to losing kn owledge acquired during pretraining. We propose a novel Transformer based Hypern etwork Adapter consisting of a new conditional attention mechanism as well as a set of task-conditioned modules that facilitate weight sharing. Through this con struction, we achieve more efficient parameter sharing and mitigate forgetting b y keeping half of the weights of a pretrained model fixed. We also use a new mul ti-task data sampling strategy to mitigate the negative effects of data imbalanc e across tasks. Using this approach, we are able to surpass single task fine-tun ing methods while being parameter and data efficient (using around 66% of the da ta). Compared to other BERT Large methods on GLUE, our 8-task model surpasses ot her Adapter methods by 2.8% and our 24-task model outperforms by 0.7-1.0% models that use MTL and single task fine-tuning. We show that a larger variant of our single multi-task model approach performs competitively across 26 NLP tasks and yields state-of-the-art results on a number of test and development sets.

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A Deeper Look at Discounting Mismatch in Actor-Critic Algorithms Shangtong Zhang, Romain Laroche, Harm van Seijen, Shimon Whiteson, Remi Tachet des Combes

We investigate the discounting mismatch in actor-critic algorithm implementation s from a representation learning perspective. Theoretically, actor-critic algorithms usually have discounting for both actor and critic, i.e., there is a  $\alpha$ -t\$ term in the actor update for the transition observed at time  $\alpha$ -t\$ in a trajectory and the critic is a discounted value function. Practitioners, however, usually ignore the discounting ( $\alpha$ -the actor while using a discounted critic. We investigate this mismatch in two scenarios. In the first scenario, we consider optimizing an undiscounted objective  $\alpha$ -the discounting in critic in terms of a bias-variance-representation trade-off and provide supporting empirical results. In the second scenario, we consider optimizing a discounted objective ( $\alpha$ -the actor update from an auxiliary task perspective and provide supporting empirical results.

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A Universal Representation Transformer Layer for Few-Shot Image Classification Lu Liu, William L. Hamilton, Guodong Long, Jing Jiang, Hugo Larochelle Few-shot classification aims to recognize unseen classes when presented with onl y a small number of samples. We consider the problem of multi-domain few-shot im age classification, where unseen classes and examples come from diverse data sou rces. This problem has seen growing interest and has inspired the development of benchmarks such as Meta-Dataset. A key challenge in this multi-domain setting i s to effectively integrate the feature representations from the diverse set of t raining domains. Here, we propose a Universal Representation Transformer (URT) l ayer, that meta-learns to leverage universal features for few-shot classificatio n by dynamically re-weighting and composing the most appropriate domain-specific representations. In experiments, we show that URT sets a new state-of-the-art r esult on Meta-Dataset. Specifically, it achieves top-performance on the highest number of data sources compared to competing methods. We analyze variants of URT and present a visualization of the attention score heatmaps that sheds light on how the model performs cross-domain generalization.

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Isometric Propagation Network for Generalized Zero-shot Learning Lu Liu, Tianyi Zhou, Guodong Long, Jing Jiang, Xuanyi Dong, Chengqi Zhang Zero-shot learning (ZSL) aims to classify images of an unseen class only based on a few attributes describing that class but no access to any training sample. A popular strategy is to learn a mapping between the semantic space of class attributes and the visual space of images based on the seen classes and their data. Thus, an unseen class image can be ideally mapped to its corresponding class attributes. The key challenge is how to align the representations in the two spaces

. For most ZSL settings, the attributes for each seen/unseen class are only represented by a vector while the seen-class data provide much more information. Thus, the imbalanced supervision from the semantic and the visual space can make the learned mapping easily overfitting to the seen classes. To resolve this proble m, we propose Isometric Propagation Network (IPN), which learns to strengthen the relation between classes within each space and align the class dependency in the two spaces. Specifically, IPN learns to propagate the class representations on an auto-generated graph within each space. In contrast to only aligning the resulted static representation, we regularize the two dynamic propagation procedures to be isometric in terms of the two graphs' edge weights per step by minimizing a consistency loss between them. IPN achieves state-of-the-art performance on three popular ZSL benchmarks. To evaluate the generalization capability of IPN, we further build two larger benchmarks with more diverse unseen classes and demonstrate the advantages of IPN on them.

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Scalable Learning and MAP Inference for Nonsymmetric Determinantal Point Process

Mike Gartrell, Insu Han, Elvis Dohmatob, Jennifer Gillenwater, Victor-Emmanuel Brune 1

Determinantal point processes (DPPs) have attracted significant attention in mac hine learning for their ability to model subsets drawn from a large item collect ion. Recent work shows that nonsymmetric DPP (NDPP) kernels have significant adv antages over symmetric kernels in terms of modeling power and predictive perform ance. However, for an item collection of size \$M\$, existing NDPP learning and in ference algorithms require memory quadratic in \$M\$ and runtime cubic (for learning) or quadratic (for inference) in \$M\$, making them impractical for many typical subset selection tasks. In this work, we develop a learning algorithm with space and time requirements linear in \$M\$ by introducing a new NDPP kernel decomposition. We also derive a linear-complexity NDPP maximum a posteriori (MAP) inference algorithm that applies not only to our new kernel but also to that of prior work. Through evaluation on real-world datasets, we show that our algorithms scale significantly better, and can match the predictive performance of prior work.

Selective Sensing: A Data-driven Nonuniform Subsampling Approach for Computation -free On-Sensor Data Dimensionality Reduction

Zhikang Zhang, Kai Xu, Fengbo Ren

Designing an on-sensor data dimensionality reduction scheme for efficient signal sensing has always been a challenging task. Compressive sensing is a state-of-t he-art sensing technique used for on-sensor data dimensionality reduction. Howev er, the undesired computational complexity involved in the sensing stage of comp ressive sensing limits its practical application in resource-constrained sensor devices or high-data-rate sensor devices dealing with high-dimensional signals. In this paper, we propose a selective sensing framework that adopts the novel co ncept of data-driven nonuniform subsampling to reduce the dimensionality of acqu ired signals while retaining the information of interest in a computation-free f ashion. Selective sensing adopts a co-optimization methodology to co-train a sel ective sensing operator with a subsequent information decoding neural network. W e take image as the sensing modality and reconstruction as the information decod ing task to demonstrate the 1st proof-of-concept of selective sensing. The exper iment results on CIFAR10, Set5 and Set14 datasets show that selective sensing ca n achieve an average reconstruction accuracy improvement in terms of PSNR/SSIM b y 3.73dB/0.07 and 9.43dB/0.16 over compressive sensing and uniform subsampling c ounterparts across the compression ratios of 4-32x, respectively. Source code is available at https://figshare.com/s/519a923fae8f386d7f5b

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Laplacian Eigenspaces, Horocycles and Neuron Models on Hyperbolic Spaces Ming-Xi Wang

We use hyperbolic Poisson kernel to construct the horocycle neuron model on hyperbolic spaces, which is a spectral generalization of the classical neuron model. We prove a universal approximation theorem for horocycle neurons. As a corollar

y, this theorem leads to a state-of-the-art result on the expressivity of neuron s of the hyperbolic MLR. Our experiments get state-of-the-art results on the Poi ncare-embedding tree classification task and the two-dimensional visualization of images.

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Model-Based Robust Deep Learning: Generalizing to Natural, Out-of-Distribution D ata

Alexander Robey, Hamed Hassani, George J. Pappas

While deep learning (DL) has resulted in major breakthroughs in many application s, the frameworks commonly used in DL remain fragile to seemingly innocuous chan ges in the data. In response, adversarial training has emerged as a principled a pproach for improving the robustness of DL against norm-bounded perturbations. Despite this progress, DL is also known to be fragile to unbounded shifts in the data distribution due to many forms of natural variation, including changes in weather or lighting in images. However, there are remarkably few techniques tha t can address robustness to natural, out-of-distribution shifts in the data dist ribution in a general context. To address this gap, we propose a paradigm shift from perturbation-based adversarial robustness to model-based robust deep learn ing. Critical to our paradigm is to obtain models of natural variation, which v ary data over a range of natural conditions. Then by exploiting these models, w e develop three novel model-based robust training algorithms that improve the ro bustness of DL with respect to natural variation. Our extensive experiments show that across a variety of natural conditions in twelve distinct datasets, classi fiers trained with our algorithms significantly outperform classifiers trained v ia ERM, adversarial training, and domain adaptation techniques. Specifically, w hen training on ImageNet and testing on various subsets of ImageNet-c, our algor ithms improve over baseline methods by up to 30 percentage points in top-1 accur acy. Further, we show that our methods provide robustness (1) against natural, out-of-distribution data, (2) against multiple simultaneous distributional shift s, and (3) to domains entirely unseen during training.

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Long-tail learning via logit adjustment

Aditya Krishna Menon, Sadeep Jayasumana, Ankit Singh Rawat, Himanshu Jain, Andreas Veit, Sanjiv Kumar

Real-world classification problems typically exhibit an imbalanced or long-taile d label distribution, wherein many labels have only a few associated samples. The is poses a challenge for generalisation on such labels, and also makes naive les arning biased towards dominant labels. In this paper, we present a statistical framework that unifies and generalises several recent proposals to cope with the sechallenges. Our framework revisits the classic idea of logit adjustment based on the label frequencies, which encourages a large relative margin between logits of rare positive versus dominant negative labels. This yields two techniques for long-tail learning, where such adjustment is either applied post-hoc to a trained model, or enforced in the loss during training. These techniques are statistically grounded, and practically effective on four real-world datasets with long-tailed label distributions.

Explainable Reinforcement Learning Through Goal-Based Interpretability Gregory Bonaert, Youri Coppens, Denis Steckelmacher, Ann Nowe

Deep Reinforcement Learning agents achieve state-of-the-art performance in many tasks at the cost of making them black-boxes, hard to interpret and understand, making their use difficult in trusted applications, such as robotics or industri al applications. We introduce goal-based interpretability, where the agent produces goals which show the reason for its current actions (reach the current goal) and future goals indicate its desired future behavior without having to run the environment, a useful property in environments with no simulator. Additionally, in many environments, the goals can be visualized to make them easier to unders tand for non-experts. To have a goal-producing agent without requiring domain kn owledge, we use 2-layer hierarchical agents where the top layer produces goals a nd the bottom layer attempts to reach those goals.

Most classical reinforcement learning algorithms cannot be used train goal-prod ucing hierarchical agents. We introduce a new algorithm to train these more inte rpretable agents, called HAC-General with Teacher, an extension of the Hindsight Actor-Critic (HAC) algorithm that adds 2 key improvements: (1) the goals now c onsist of a state \$s\$ to be reached and a reward \$r\$ to be collected, making it possible for the goal-producing policy to incentivize the goal-reaching policy to go through high-reward paths and (2) an expert teacher is leveraged to improve the training of the hierarchical agent, in a process similar but distinct to im itation learning and distillation. Contrarily to HAC, there is no requirement th at environments need to provide the desired end state. Additionally, our experim ents show that it has better performance and learns faster than HAC, and can sol ve environments that HAC fails to solve.

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Fusion 360 Gallery: A Dataset and Environment for Programmatic CAD Reconstruction

Karl Willis, Yewen Pu, Jieliang Luo, Hang Chu, Tao Du, Joseph Lambourne, Armando Solar -Lezama, Wojciech Matusik

Parametric computer-aided design (CAD) is a standard paradigm used for the design of manufactured objects. CAD designers perform modeling operations, such as sketch and extrude, to form a construction sequence that makes up a final design. Despite the pervasiveness of parametric CAD and growing interest from the resear ch community, a dataset of human designed 3D CAD construction sequences has not been available to-date. In this paper we present the Fusion 360 Gallery reconstruction dataset and environment for learning CAD reconstruction. We provide a dat aset of 8,625 designs, comprising sequential sketch and extrude modeling operations, together with a complementary environment called the Fusion 360 Gym, to ass ist with performing CAD reconstruction. We outline a standard CAD reconstruction task, together with evaluation metrics, and present results from a novel method using neurally guided search to recover a construction sequence from a target geometry.

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Teleport Graph Convolutional Networks

Hongyang Gao, Shuiwang Ji

We consider the limitations in message-passing graph neural networks. In message -passing operations, each node aggregates information from its neighboring nodes . To enlarge the receptive field, graph neural networks need to stack multiple m essage-passing graph convolution layers, which leads to the over-fitting issue a nd over-smoothing issue. To address these limitations, we propose a teleport graph convolution layer (TeleGCL) that uses teleport functions to enable each node to aggregate information from a much larger neighborhood. For each node, teleport functions select relevant nodes beyond the local neighborhood, thereby resulting in a larger receptive field. To apply our structure-aware teleport function, we propose a novel method to construct structural features for nodes in the graph. Based on our TeleGCL, we build a family of teleport graph convolutional networks. The empirical results on graph and node classification tasks demonstrate the effectiveness of our proposed methods.

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Weighted Line Graph Convolutional Networks

Hongyang Gao, Shuiwang Ji

Line graphs have shown to be effective in improving feature learning in graph ne ural networks. Line graphs can encode topology information of their original graphs and provide a complementary representational perspective. In this work, we show that the encoded information in line graphs is biased. To overcome this issue, we propose a weighted line graph that corrects biases in line graphs by assigning normalized weights to edges. Based on our weighted line graphs, we develop a weighted line graph convolution layer that takes advantage of line graph structures for better feature learning. In particular, it performs message passing operations on both the original graph and its corresponding weighted line graph. To address efficiency issues in line graph neural networks, we propose to use an

incidence matrix to accurately compute the adjacency matrix of the weighted line graph, leading to dramatic reductions in computational resource usage. Experime ntal results on both real and simulated datasets demonstrate the effectiveness a nd efficiency of our proposed methods.

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The Benefit of Distraction: Denoising Remote Vitals Measurements Using Inverse A ttention

Ewa Magdalena Nowara, Daniel McDuff, Ashok Veeraraghavan

Attention is a powerful concept in computer vision. End-to-end networks that lea rn to focus selectively on regions of an image or video often perform strongly. However, other image regions, while not necessarily containing the signal of int erest, may contain useful context. We present an approach that exploits the idea that statistics of noise may be shared between the regions that contain the sig nal of interest and those that do not. Our technique uses the inverse of an atte ntion mask to generate a noise estimate that is then used to denoise temporal ob servations. We apply this to the task of camera-based physiological measurement. A convolutional attention network is used to learn which regions of a video con tain the physiological signal and generate a preliminary estimate. A noise estim ate is obtained by using the pixel intensities in the inverse regions of the lea rned attention mask, this in turn is used to refine the estimate of the physiolo gical signal. We perform experiments on two large benchmark datasets and show th at this approach produces state-of-the-art results, increasing the signal-to-noi se ratio by up to 5.8 dB, reducing heart rate and breathing rate estimation erro r by as much as 30%, recovering subtle pulse waveform dynamics, and generalizing

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from RGB to NIR videos without retraining.

Locally Free Weight Sharing for Network Width Search

Xiu Su, Shan You, Tao Huang, Fei Wang, Chen Qian, Changshui Zhang, Chang Xu

Searching for network width is an effective way to slim deep neural networks with hardware budgets. With this aim, a one-shot supernet is usually leveraged as a performance evaluator to rank the performance \mathbb{wrt}\timedifferent midth. Nevertheles s, current methods mainly follow a manually fixed weight sharing pattern, which is limited to distinguish the performance gap of different midth. In this paper, to better evaluate each midth, we propose a locally free weight sharing strategy (CafeNet) accordingly. In CafeNet, weights are more freely shared, and each midth is jointly indicated by its base channels and free channels, where free channels are supposed to locate freely in a local zone to better represent each midth. Besides, we propose to further reduce the search space by leveraging our introduced FLOPs-sensitive bins. As a result, our CafeNet can be trained stochastically and get optimized within a min-min strategy. Extensive experiments on ImageNet, CIFAR-10, CelebA and MS COCO dataset have verified our superiority comparing to other state-of-the-art baselines. For example, our method can further boost the benchmark NAS network EfficientNet-BO by 0.41\% via searching its width more

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No Spurious Local Minima: on the Optimization Landscapes of Wide and Deep Neural Networks

Johannes Lederer

Empirical studies suggest that wide neural networks are comparably easy to optim ize, but mathematical support for this observation is scarce. In this paper, we analyze the optimization landscapes of deep learning with wide networks. We prove especially that constraint and unconstraint empirical-risk minimization over such networks has no spurious local minima. Hence, our theories substantiate the common belief that increasing network widths not only improves the expressivenes sof deep-learning pipelines but also facilitates their optimizations.

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Accounting for Unobserved Confounding in Domain Generalization Alexis Bellot, Mihaela van der Schaar

The ability to extrapolate, or generalize, from observed to new related environm ents is central to any form of reliable machine learning, yet most methods fail

when moving beyond i.i.d data. In some cases, the reason lies in a misappreciati on of the causal structure that governs the data, and in particular as a consequence of the influence of unobserved confounders that drive changes in observed distributions and distort correlations. In this paper, we argue for defining gene ralization with respect to a broader class of distribution shifts (defined as ar ising from interventions in the underlying causal model), including changes in observed, unobserved and target variable distributions. We propose a new robust learning principle that may be paired with any gradient-based learning algorithm. This learning principle has explicit generalization guarantees, and relates robustness with certain invariances in the causal model, clarifying why, in some cases, test performance lags training performance. We demonstrate the empirical performance of our approach on healthcare data from different modalities, including image and speech data.

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Dimension reduction as an optimization problem over a set of generalized functions

Rustem Takhanov

We reformulate unsupervised dimension reduction problem (UDR) in the language of tempered distributions, i.e. as a problem of approximating an empirical probability density function  $p_{\rm emp} ({\bf x})$  by another tempered distribution  $q({\bf x})$  whose support is in a k-dimensional subspace. Thus, our problem is reduced to the minimization of the distance between q and  $p_{\rm emp}$ ,  $p_{\rm emp}$ , over a pertinent set of generalized functions.

This infinite-dimensional formulation allows to establish a connection with anot her classical problem of data science --- the sufficient dimension reduction problem (SDR). Thus, an algorithm for the first problem induces an algorithm for the second and vice versa. In order to reduce an optimization problem over distributions to an optimization problem over ordinary functions we introduce a nonnegative penalty function R(f) that ``forces'' the support of f to be f

Then we present an algorithm for minimization of  $I(f)+\lambda R(f)$ , based on the idea of two-step iterative computation, briefly described as a) an adaptation to real data and to fake data sampled around a k-dimensional subspace found a taprevious iteration, b) calculation of a new k-dimensional subspace. We demonstrate the method on 4 examples (3 UDR and 1 SDR) using synthetic data and standard datasets.

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Mutual Information State Intrinsic Control

Rui Zhao, Yang Gao, Pieter Abbeel, Volker Tresp, Wei Xu

Reinforcement learning has been shown to be highly successful at many challengin g tasks. However, success heavily relies on well-shaped rewards. Intrinsically m otivated RL attempts to remove this constraint by defining an intrinsic reward f unction. Motivated by the self-consciousness concept in psychology, we make a na tural assumption that the agent knows what constitutes itself, and propose a new intrinsic objective that encourages the agent to have maximum control on the en vironment. We mathematically formalize this reward as the mutual information bet ween the agent state and the surrounding state under the current agent policy. W ith this new intrinsic motivation, we are able to outperform previous methods, i ncluding being able to complete the pick-and-place task for the first time witho ut using any task reward. A video showing experimental results is available at h ttps://youtu.be/AUCwc9RThpk.

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Multivariate Probabilistic Time Series Forecasting via Conditioned Normalizing F

Kashif Rasul, Abdul-Saboor Sheikh, Ingmar Schuster, Urs M Bergmann, Roland Vollgraf Time series forecasting is often fundamental to scientific and engineering problems and enables decision making. With ever increasing data set sizes, a trivial solution to scale up predictions is to assume independence between interacting time series. However, modeling statistical dependencies can improve accuracy and

enable analysis of interaction effects. Deep learning methods are well suited for this problem, but multi-variate models often assume a simple parametric distribution and do not scale to high dimensions. In this work we model the multi-variate temporal dynamics of time series via an autoregressive deep learning model, where the data distribution is represented by a conditioned normalizing flow. This combination retains the power of autoregressive models, such as good perform ance in extrapolation into the future, with the flexibility of flows as a general purpose high-dimensional distribution model, while remaining computationally tractable. We show that it improves over the state-of-the-art for standard metrics on many real-world data sets with several thousand interacting time-series.

A Mixture of Variational Autoencoders for Deep Clustering Avi Caciularu, Jacob Goldberger

In this study, we propose a deep clustering algorithm that utilizes a variationa lautoencoder (VAE) framework with a multi encoder-decoder neural architecture. This setup enforces a complementary structure that guides the learned latent representations towards a more meaningful space arrangement. It differs from previous VAE-based clustering algorithms by employing a new generative model that uses multiple encoder-decoders.

Slice, Dice, and Optimize: Measuring the Dimension of Neural Network Class Manifolds

Stanislav Fort, Ekin Dogus Cubuk, Surya Ganguli, Samuel Stern Schoenholz Deep neural network classifiers naturally partition input space into regions bel onging to different classes. The geometry of these class manifolds (CMs) is wide ly studied and is intimately related to model performance; for example, the marg in is defined via boundaries between these CMs. We present a simple technique to estimate the effective dimension of CMs as well as boundaries between multiple CMs, by computing their intersection with random affine subspaces of varying dim ension. We provide a theory for the technique and verify that our theoretical pr edictions agree with measurements on real neural networks. Through extensive exp eriments, we leverage this method to show deep connections between the geometry of CMs, generalization, and robustness. In particular we investigate how CM dime nsion depends on 1) the dataset, 2) architecture, 3) random initialization, 4) s tage of training, 5) class, 6) ensemble size, 7) label randomization, 8) trainin g set size, and 9) model robustness to data corruption. Together a picture emerg es that well-performing, robust models have higher dimensional CMs than worse pe rforming models. Moreover, we offer a unique perspective on ensembling via inter sections of CMs. Our core code is available on Github (link in the PDF abstract)

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Geometry-aware Instance-reweighted Adversarial Training

Jingfeng Zhang, Jianing Zhu, Gang Niu, Bo Han, Masashi Sugiyama, Mohan Kankanhalli In adversarial machine learning, there was a common belief that robustness and a ccuracy hurt each other. The belief was challenged by recent studies where we can maintain the robustness and improve the accuracy. However, the other direction, whether we can keep the accuracy and improve the robustness, is conceptually and practically more interesting, since robust accuracy should be lower than standard accuracy for any model. In this paper, we show this direction is also promising. Firstly, we find even over-parameterized deep networks may still have insufficient model capacity, because adversarial training has an overwhelming smooth ing effect. Secondly, given limited model capacity, we argue adversarial data should have unequal importance: geometrically speaking, a natural data point close r to/farther from the class boundary is less/more robust, and the corresponding adversarial data point should be assigned with larger/smaller weight. Finally, to implement the idea, we propose geometry-aware instance-reweighted adversarial training, where the weights are based on how difficult it is to attack a natural

data point. Experiments show that our proposal boosts the robustness of standar d adversarial training; combining two directions, we improve both robustness and accuracy of standard adversarial training.

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Towards Impartial Multi-task Learning

Liyang Liu, Yi Li, Zhanghui Kuang, Jing-Hao Xue, Yimin Chen, Wenming Yang, Qingmin Liao, Wayne Zhang

Multi-task learning (MTL) has been widely used in representation learning. Howev er, naively training all tasks simultaneously may lead to the partial training i ssue, where specific tasks are trained more adequately than others. In this pape r, we propose to learn multiple tasks impartially. Specifically, for the task-sh ared parameters, we optimize the scaling factors via a closed-form solution, suc h that the aggregated gradient (sum of raw gradients weighted by the scaling fac tors) has equal projections onto individual tasks. For the task-specific paramet ers, we dynamically weigh the task losses so that all of them are kept at a comp arable scale. Further, we find the above gradient balance and loss balance are c omplementary and thus propose a hybrid balance method to further improve the per formance. Our impartial multi-task learning (IMTL) can be end-to-end trained wit hout any heuristic hyper-parameter tuning, and is general to be applied on all k inds of losses without any distribution assumption. Moreover, our IMTL can conve rge to similar results even when the task losses are designed to have different scales, and thus it is scale-invariant. We extensively evaluate our IMTL on the standard MTL benchmarks including Cityscapes, NYUv2 and CelebA. It outperforms e xisting loss weighting methods under the same experimental settings.

On Learning Universal Representations Across Languages

Xiangpeng Wei, Rongxiang Weng, Yue Hu, Luxi Xing, Heng Yu, Weihua Luo

Recent studies have demonstrated the overwhelming advantage of cross-lingual pre-trained models (PTMs), such as multilingual BERT and XLM, on cross-lingual NLP tasks. However, existing approaches essentially capture the co-occurrence among tokens through involving the masked language model (MLM) objective with token-le vel cross entropy. In this work, we extend these approaches to learn sentence-le vel representations and show the effectiveness on cross-lingual understanding and generation. Specifically, we propose a Hierarchical Contrastive Learning (HiCT L) method to (1) learn universal representations for parallel sentences distributed in one or multiple languages and (2) distinguish the semantically-related words from a shared cross-lingual vocabulary for each sentence. We conduct evaluations on two challenging cross-lingual tasks, XTREME and machine translation. Experimental results show that the HiCTL outperforms the state-of-the-art XLM-R by an absolute gain of 4.2% accuracy on the XTREME benchmark as well as achieves substantial improvements on both of the high resource and low-resource English\$\rightarrow\$\rightarrow\$X translation tasks over strong baselines.

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Isotropy in the Contextual Embedding Space: Clusters and Manifolds Xingyu Cai, Jiaji Huang, Yuchen Bian, Kenneth Church

The geometric properties of contextual embedding spaces for deep language models such as BERT and ERNIE, have attracted considerable attention in recent years. Investigations on the contextual embeddings demonstrate a strong anisotropic space such that most of the vectors fall within a narrow cone, leading to high cosine similarities. It is surprising that these LMs are as successful as they are, given that most of their embedding vectors are as similar to one another as the yare. In this paper, we argue that the isotropy indeed exists in the space, from a different but more constructive perspective. We identify isolated clusters and low dimensional manifolds in the contextual embedding space, and introduce to ols to both qualitatively and quantitatively analyze them. We hope the study in this paper could provide insights towards a better understanding of the deep language models.

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A Benchmark for Voice-Face Cross-Modal Matching and Retrieval Chuyuan Xiong, Deyuan Zhang, Tao Liu, Xiaoyong Du, Jiankun Tian, Songyan Xue

Cross-modal associations between a person's voice and face can be learned algori thmically, and this is a useful functionality in many audio and visual applicati ons. The problem can be defined as two tasks: voice-face matching and retrieval. Recently, this topic has attracted much research attention, but it is still in its early stages of development, and evaluation protocols and test schemes need to be more standardized. Performance metrics for different subtasks are also scarce, and a benchmark for this problem needs to be established. In this paper, a baseline evaluation framework is proposed for voice-face matching and retrieval tasks. Test confidence is analyzed, and a confidence interval for estimated accuracy is proposed. Various state-of-the-art performances with high test confidence are achieved on a series of subtasks using the baseline method (called TriNet) included in this framework. The source code will be published along with the paper. The results of this study can provide a basis for future research on voice-face cross-modal learning.

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Federated Learning with Decoupled Probabilistic-Weighted Gradient Aggregation Jian-hui Duan, Wenzhong Li, Sanglu Lu

In the federated learning paradigm, multiple mobile clients train local models independently based on datasets generated by edge devices, and the server aggreg ates parameters/gradients from local models to form a global model. However, e xisting model aggregation approaches suffer from high bias on both data distribu and parameter distribution for non-IID datasets, which result in sever e accuracy drop for increasing number of heterogeneous clients. , we proposed a novel decoupled probabilistic-weighted gradient aggregation appr oach called FeDEC for federated learning. The key idea is to optimize gradient parameters and statistical parameters in a decoupled way, and aggregate the para meters from local models with probabilistic weights to deal with the heterogenei ty of clients. Since the overall dataset is unaccessible by the central server, we introduce a variational inference method to derive the optimal probabilist ic weights to minimize statistical bias. We further prove the convergence boun Extensive experiments using mainstream convolution d of the proposed approach. al neural network models based on three federated datasets show that FeDEC sign ificantly outperforms the state-of-the-arts in terms of model accuracy and train ing efficiency.

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Continual Memory: Can We Reason After Long-Term Memorization? Zhu Zhang, Chang Zhou, Zhou Zhao, Zhijie Lin, Jingren Zhou, Hongxia Yang Existing reasoning tasks often follow the setting of ''end-to-end reasoning'', w hich has an important assumption that the input contents can be always accessed while reasoning. However, human beings frequently adopt another reasoning settin g in daily life, referred to ''reasoning after memorizing''. Concretely, human b eings have the ability to unconsciously memorize their experiences within limite d memory capacity, from which they can recall and respond to subsequent tasks. I n this setting, the input contents are no longer available during reasoning, thu s we need to compress and memorize the input stream in one pass, trying to answe r general queries that are unseen before. Memory augmented neural networks intro duce a write-read memory to perform such human-like memorization and reasoning, but they continually update the memory from current information and inevitably f orget the early contents, failing to answer the queries relevant to early inform ation. In this paper, we propose the Continual Memory (CM) to explore this abil ity of reasoning after long-term memorization. To alleviate the gradual forgett ing of early information, we develop self-supervised memorization training with item-level and sequence-level objectives. We demonstrate several interesting cha racteristics of our continual memory via synthetic data, and evaluate its perfor mance by several downstream tasks, including long-term text QA, long-term video QA and recommendation with long sequences.

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MoPro: Webly Supervised Learning with Momentum Prototypes Junnan Li, Caiming Xiong, Steven Hoi

We propose a webly-supervised representation learning method that does not suffe

r from the annotation unscalability of supervised learning, nor the computation unscalability of self-supervised learning. Most existing works on webly-supervised representation learning adopt a vanilla supervised learning method without ac counting for the prevalent noise in the training data, whereas most prior method s in learning with label noise are less effective for real-world large-scale noi sy data. We propose momentum prototypes (MoPro), a simple contrastive learning method that achieves online label noise correction, out-of-distribution sample re moval, and representation learning. MoPro achieves state-of-the-art performance on WebVision, a weakly-labeled noisy dataset. MoPro also shows superior performance when the pretrained model is transferred to down-stream image classification and detection tasks. It outperforms the ImageNet supervised pretrained model by +10.5 on 1-shot classification on VOC, and outperforms the best self-supervised pretrained model by +17.3 when finetuned on 1% of ImageNet labeled samples. Fur thermore, MoPro is more robust to distribution shifts. Code and pretrained model s are available at https://github.com/salesforce/MoPro.

Learning from Noisy Data with Robust Representation Learning Junnan Li, Caiming Xiong, Steven Hoi

Learning from noisy data has attracted much attention, where most methods focus on label noise. In this work, we propose a new framework which simultaneously ad dresses three types of noise commonly seen in real-world data: label noise, out-of-distribution input, and input corruption. In contrast to most existing method s, we combat noise by learning robust representation. Specifically, we embed ima ges into a low-dimensional subspace by training an autoencoder on the deep featu res. We regularize the geometric structure of the subspace with robust contrasti ve learning, which includes an unsupervised consistency loss and a supervised mi xup prototypical loss. Furthermore, we leverage the structure of the learned sub space for noise cleaning, by aggregating information from neighboring samples. E xperiments on multiple benchmarks demonstrate state-of-the-art performance of our method and robustness of the learned representation. Our code will be released

Effective Subspace Indexing via Interpolation on Stiefel and Grassmann manifolds Wenqing Hu, Tiefeng Jiang, Zhu Li

We propose a novel local Subspace Indexing Model with Interpolation (SIM-I) for low-dimensional embedding of image datasets. Our SIM-I is constructed via two st eps: in the first step we build a piece-wise linear affinity-aware subspace mode l under a given partition of the dataset; in the second step we interpolate betw een several adjacent linear subspace models constructed previously using the `"c enter of mass" calculation on Stiefel and Grassmann manifolds. The resulting sub space indexing model built by SIM-I is a globally non-linear low-dimensional emb edding of the original data set. Furthermore, the interpolation step produces a `"smoothed" version of the piece-wise linear embedding mapping constructed in the first step, and can be viewed as a regularization procedure. We provide experimental results validating the effectiveness of SIM-I, that improves PCA recovery for SIFT dataset and nearest-neighbor classification success rates for MNIST and d CIFAR-10 datasets.

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MeshMVS: Multi-view Stereo Guided Mesh Reconstruction

Rakesh Shrestha, Zhiwen Fan, Siyu Zhu, Zuozhuo Dai, Qingkun Su, Ping Tan

Deep learning based 3D shape generation methods generally utilize latent feature s extracted from color images to encode the objects' semantics and guide the shape generation process. These color image semantics only implicitly encode 3D information, potentially limiting the accuracy of the generated shapes. In this paper we propose a multi-view mesh generation method which incorporates geometry in formation in the color images explicitly by using the features from intermediate 2.5D depth representations of the input images and regularizing the 3D shapes a gainst these depth images. Our system first predicts a coarse 3D volume from the color images by probabilistically merging voxel occupancy grids from individual views. Depth images corresponding to the multi-view color images are predicted

which along with the rendered depth images of the coarse shape are used as a con trastive input whose features guide the refinement of the coarse shape through a series of graph convolution networks. Attention-based multi-view feature pooling is proposed to fuse the contrastive depth features from different viewpoints which are fed to the graph convolution networks.

We validate the proposed multi-view mesh generation method on ShapeNet, where we obtain a significant improvement with 34% decrease in chamfer distance to groun d truth and 14% increase in the F1-score compared with the state-of-the-art multi-view shape generation method.

GraphCodeBERT: Pre-training Code Representations with Data Flow

Daya Guo, Shuo Ren, Shuai Lu, Zhangyin Feng, Duyu Tang, Shujie LIU, Long Zhou, Nan Duan, Alexey Svyatkovskiy, Shengyu Fu, Michele Tufano, Shao Kun Deng, Colin Clement, Dawn Drain, Neel Sundaresan, Jian Yin, Daxin Jiang, Ming Zhou

Pre-trained models for programming language have achieved dramatic empirical imp rovements on a variety of code-related tasks such as code search, code completio n, code summarization, etc. However, existing pre-trained models regard a code s nippet as a sequence of tokens, while ignoring the inherent structure of code, w hich provides crucial code semantics and would enhance the code understanding pr ocess. We present GraphCodeBERT, a pre-trained model for programming language th at considers the inherent structure of code. Instead of taking syntactic-level s tructure of code like abstract syntax tree (AST), we use data flow in the pre-tr aining stage, which is a semantic-level structure of code that encodes the relat ion of "where-the-value-comes-from" between variables. Such a semantic-level str ucture is neat and does not bring an unnecessarily deep hierarchy of AST, the pr operty of which makes the model more efficient. We develop GraphCodeBERT based o n Transformer. In addition to using the task of masked language modeling, we int roduce two structure-aware pre-training tasks. One is to predict code structure edges, and the other is to align representations between source code and code st ructure. We implement the model in an efficient way with a graph-guided masked a ttention function to incorporate the code structure. We evaluate our model on fo ur tasks, including code search, clone detection, code translation, and code ref inement. Results show that code structure and newly introduced pre-training task s can improve GraphCodeBERT and achieves state-of-the-art performance on the fou r downstream tasks. We further show that the model prefers structure-level atten tions over token-level attentions in the task of code search.

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Towards Robust Graph Neural Networks against Label Noise

Jun Xia, Haitao Lin, Yongjie Xu, Lirong Wu, Zhangyang Gao, Siyuan Li, Stan Z. Li Massive labeled data have been used in training deep neural networks, thus label noise has become an important issue therein. Although learning with noisy labe ls has made great progress on image datasets in recent years, it has not yet been studied in connection with utilizing GNNs to classify graph nodes. In this paper, we proposed a method, named LPM, to address the problem using Label Propagation (LP) and Meta learning. Different from previous methods designed for image datasets, our method is based on a special attribute (label smoothness) of graph-structured data, i.e., neighboring nodes in a graph tend to have the same label. A pseudo label is computed from the neighboring labels for each node in the training set using LP; meta learning is utilized to learn a proper aggregation o

f the original and pseudo label as the final label. Experimental results demons trate that LPM outperforms state-of-the-art methods in graph node classification task with both synthetic and real-world label noise. Source code to reproduce a ll results will be released.

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To Learn Effective Features: Understanding the Task-Specific Adaptation of MAML Zhijie Lin, Zhou Zhao, Zhu Zhang, Huai Baoxing, Jing Yuan

Meta learning, an effective way for learning unseen tasks with few samples, is a n important research

area in machine learning.

 $\label{lem:model} \verb|Model Agnostic Meta-Learning| (MAML)| (\cite{finn2017model}) is one of the most we$ 

11-known gradient-based meta learning algorithms, that learns

the meta-initialization through the inner and outer optimization loop.

The inner loop is to perform fast adaptation in several gradient update steps with the support datapoints,

while the outer loop to generalize the updated model to the query datapoints.

Recently, it has been argued that instead of rapid learning and adaptation, the learned meta-initialization through MAML

has already absorbed the high-quality features prior, where the task-specific he ad at training

facilitates the feature learning.

In this work, we investigate the impact of the task-specific adaptation of MAML and discuss the general formula for

other gradient-based and metric-based meta-learning approaches.

From our analysis, we further devise the Random Decision Planes~(RDP) algorithm to find a suitable linear classifier

without any gradient descent step and the Meta Contrastive Learning~(MCL) algorithm to exploit the inter-samples relationship

instead of the expensive inner-loop adaptation.

We conduct sufficient experiments on various datasets to explore our proposed algorithms.

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Enjoy Your Editing: Controllable GANs for Image Editing via Latent Space Navigat

Peiye Zhuang, Oluwasanmi O Koyejo, Alex Schwing

Controllable semantic image editing enables a user to change entire image attrib utes with a few clicks, e.g., gradually making a summer scene look like it was t aken in winter. Classic approaches for this task use a Generative Adversarial Ne t (GAN) to learn a latent space and suitable latent-space transformations. Howev er, current approaches often suffer from attribute edits that are entangled, glo bal image identity changes, and diminished photo-realism. To address these conce rns, we learn multiple attribute transformations simultaneously, integrate attri bute regression into the training of transformation functions, and apply a conte nt loss and an adversarial loss that encourages the maintenance of image identit y and photo-realism. We propose quantitative evaluation strategies for measuring controllable editing performance, unlike prior work, which primarily focuses on qualitative evaluation. Our model permits better control for both single- and m ultiple-attribute editing while preserving image identity and realism during tra nsformation. We provide empirical results for both natural and synthetic images, highlighting that our model achieves state-of-the-art performance for targeted image manipulation.

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Deep Jump Q-Evaluation for Offline Policy Evaluation in Continuous Action Space Hengrui Cai, Chengchun Shi, Rui Song, Wenbin Lu

We consider off-policy evaluation (OPE) in continuous action domains, such as dy namic pricing and personalized dose finding. In OPE, one aims to learn the value under a new policy using historical data generated by a different behavior policy. Most existing works on OPE focus on discrete action domains. To handle continuous action space, we develop a brand-new deep jump Q-evaluation method for OPE. The key ingredient of our method lies in adaptively discretizing the action space using deep jump Q-learning. This allows us to apply existing OPE methods in discrete domains to handle continuous actions. Our method is further justified by theoretical results, synthetic and real datasets.

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Fourier Neural Operator for Parametric Partial Differential Equations
Zongyi Li, Nikola Borislavov Kovachki, Kamyar Azizzadenesheli, Burigede liu, Kaushik
Bhattacharya, Andrew Stuart, Anima Anandkumar

The classical development of neural networks has primarily focused on learning m appings between finite-dimensional Euclidean spaces. Recently, this has been ge neralized to neural operators that learn mappings between function spaces. For p

artial differential equations (PDEs), neural operators directly learn the mappin g from any functional parametric dependence to the solution. Thus, they learn an entire family of PDEs, in contrast to classical methods which solve one instance of the equation. In this work, we formulate a new neural operator by parameter izing the integral kernel directly in Fourier space, allowing for an expressive and efficient architecture. We perform experiments on Burgers' equation, Darcy f low, and Navier-Stokes equation. The Fourier neural operator is the first ML-based method to successfully model turbulent flows with zero-shot super-resolution. It is up to three orders of magnitude faster compared to traditional PDE solvers. Additionally, it achieves superior accuracy compared to previous learning-based solvers under fixed resolution.

Improved Uncertainty Post-Calibration via Rank Preserving Transforms Yu Bai, Tengyu Ma, Huan Wang, Caiming Xiong

Modern machine learning models with high accuracy often exhibit poor uncertainty calibration: the output probabilities of the model do not reflect its accuracy, and tend to be over-confident. Existing post-calibration methods such as temper ature scaling recalibrate a trained model using rather simple calibrators with o ne or few parameters, which can have a rather limited capacity. In this paper, w e propose Neural Rank Preserving Transforms (NRPT), a new post-calibration metho d that adjusts the output probabilities of a trained classifier using a calibrat or of higher capacity, while maintaining its prediction accuracy. NRPT learns a calibrator that preserves the rank of the probabilities through general monotoni c transforms, individualizes to the original input, and allows learning with any loss function that encourages calibration. We show experimentally that NRPT imp roves the expected calibration error (ECE) significantly over existing post-cali bration methods such as (local) temperature scaling on large-scale image and tex t classification tasks. The performance of NRPT can further match ensemble metho ds such as deep ensembles, while being much more parameter-efficient. We further demonstrate the improved calibration ability of NRPT beyond the ECE metric, suc h as accuracy among top-confidence predictions, as well as optimizing the tradeo ff between calibration and sharpness.

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Training BatchNorm and Only BatchNorm: On the Expressive Power of Random Feature s in CNNs

Jonathan Frankle, David J. Schwab, Ari S. Morcos

A wide variety of deep learning techniques from style transfer to multitask lear ning rely on training affine transformations of features. Most prominent among t hese is the popular feature normalization technique BatchNorm, which normalizes activations and then subsequently applies a learned affine transform. In this pa per, we aim to understand the role and expressive power of affine parameters use d to transform features in this way. To isolate the contribution of these parame ters from that of the learned features they transform, we investigate the perfor mance achieved when training only these parameters in BatchNorm and freezing all weights at their random initializations. Doing so leads to surprisingly high pe rformance considering the significant limitations that this style of training im poses. For example, sufficiently deep ResNets reach 82% (CIFAR-10) and 32% (Imag eNet, top-5) accuracy in this configuration, far higher than when training an eq uivalent number of randomly chosen parameters elsewhere in the network. BatchNor m achieves this performance in part by naturally learning to disable around a th ird of the random features. Not only do these results highlight the expressive p ower of affine parameters in deep learning, but - in a broader sense - they char acterize the expressive power of neural networks constructed simply by shifting and rescaling random features.

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Information Laundering for Model Privacy

Xinran Wang, Yu Xiang, Jun Gao, Jie Ding

In this work, we propose information laundering, a novel framework for enhancing model privacy. Unlike data privacy that concerns the protection of raw data information, model privacy aims to protect an already-learned model that is to be d

eployed for public use. The private model can be obtained from general learning methods, and its deployment means that it will return a deterministic or random response for a given input query. An information-laundered model consists of pro babilistic components that deliberately maneuver the intended input and output f or queries of the model, so the model's adversarial acquisition is less likely. Under the proposed framework, we develop an information-theoretic principle to q uantify the fundamental tradeoffs between model utility and privacy leakage and derive the optimal design.

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USING OBJECT-FOCUSED IMAGES AS AN IMAGE AUGMENTATION TECHNIQUE TO IMPROVE THE AC CURACY OF IMAGE-CLASSIFICATION MODELS WHEN VERY LIMITED DATA SETS ARE AVAILABLE Ahmad Melhem Hammoud, Ahmad Rabih Ghandour

Today, many of the machine learning models are extremely data hungry. On the oth er hand, the accuracy of the algorithms used is very often affected by the amoun t of the training data available, which is, unfortunately, rarely abundant. Fort unately, image augmentation is one of the very powerful techniques that can be u sed by computer-vision engineers to expand their existing image data sets. This paper presents an innovative way for creating a variation of existing images and introduces the idea of using an Object-Focused Image (OFI). This is when an ima ge includes only the labeled object and everything else is made transparent. The objective of OFI method is to expand the existing image data set and hence impr ove the accuracy of the model used to classify images. This paper also elaborate s on the OFI approach and compares the accuracy of five different models with th e same network design and settings but with different content of the training da ta set. The experiments presented in this paper show that using OFIs along with the original images can lead to an increase in the validation accuracy of the us ed model. In fact, when the OFI technique is used, the number of the images supp lied nearly doubles.

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Robust Learning for Congestion-Aware Routing

Sreenivas Gollapudi, Kostas Kollias, Benjamin Plaut, Ameya Velingker

We consider the problem of routing users through a network with unknown congesti on functions over an infinite time horizon. On each time step \$t\$, the algorithm receives a routing request and must select a valid path. For each edge \$e\$ in the selected path, the algorithm incurs a cost  $\$c_e^t = f_e(x_e^t) + \epsilon_e^t$ , where  $\$x_e^t$  is the flow on edge \$e\$ at time \$t\$,  $\$f_e\$$  is the congestion function, and  $\$e_e^t$  is a noise sample drawn from an unknown distribution. The algorithm observes  $\$c_e^t$ , and can use this observation in future routing decision  $\$c_e^t$ . The routing requests are supplied adversarially.

We present an algorithm with cumulative regret  $\hat{O}(|E| t^{2/3})$ , where the regret on each time step is defined as the difference between the total cost incurred by our chosen path and the minimum cost among all valid paths. Our algorithm has space complexity  $O(|E| t^{1/3})$  and time complexity  $O(|E| \log t)$ . We also validate our algorithm empirically using graphs from New York City road networks.

Towards Faster and Stabilized GAN Training for High-fidelity Few-shot Image Synt

Bingchen Liu, Yizhe Zhu, Kunpeng Song, Ahmed Elgammal

Training Generative Adversarial Networks (GAN) on high-fidelity images usually r equires large-scale GPU-clusters and a vast number of training images. In this p aper, we study the few-shot image synthesis task for GAN with minimum computing cost. We propose a light-weight GAN structure that gains superior quality on 102 4^2 resolution. Notably, the model converges from scratch with just a few hours of training on a single RTX-2080 GPU, and has a consistent performance, even with less than 100 training samples. Two technique designs constitute our work, a skip-layer channel-wise excitation module and a self-supervised discriminator trained as a feature-encoder. With thirteen datasets covering a wide variety of image domains (The datasets and code are available at https://github.com/odegeasslb

c/FastGAN-pytorch), we show our model's superior performance compared to the state-of-the-art StyleGAN2, when data and computing budget are limited.

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Task Calibration for Distributional Uncertainty in Few-Shot Classification Sungnyun Kim, Se-Young Yun

As numerous meta-learning algorithms improve performance when solving few-shot c lassification problems for practical applications, accurate prediction of uncert ainty, though challenging, has been considered essential. In this study, we cont emplate modeling uncertainty in a few-shot classification framework and propose a straightforward method that appropriately predicts task uncertainty. We suppose that the random sampling of tasks can generate those in which it may be hard for the model to infer the queries from the support examples. Specifically, measuring the distributional mismatch between support and query sets via class-wise similarities, we propose novel meta-training that lets the model predict with car eful confidence. Moreover, our method is algorithm-agnostic and readily expanded to include a range of meta-learning models. Through extensive experiments including dataset shift, we present that our training strategy helps the model avoid being indiscriminately confident, and thereby, produce calibrated classification results without the loss of accuracy.

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Layer-wise Adversarial Defense: An ODE Perspective Zonghan Yang, Yang Liu, Chenglong Bao, Zuoqiang Shi

Deep neural networks are observed to be fragile against adversarial attacks, whi ch have dramatically limited their practical applicability. On improving model r obustness, the adversarial training techniques have proven effective and gained increasing attention from research communities. Existing adversarial training ap proaches mainly focus on perturbations to inputs, while the effect of the pertur bations in hidden layers remains underexplored. In this work, we propose layer-w ise adversarial defense which improves adversarial training by a noticeable marg in. The basic idea of our method is to strengthen all of the hidden layers with perturbations that are proportional to the back-propagated gradients. In order t o study the layer-wise neural dynamics, we formulate our approach from the persp ective of ordinary differential equations (ODEs) and build up its extended relat ionship with conventional adversarial training methods, which tightens the relat ionship between neural networks and ODEs. In the implementation, we propose two different training algorithms by discretizing the ODE model with the Lie-Trotter and the Strang-Marchuk splitting schemes from the operator-splitting theory. Ex periments on CIFAR-10 and CIFAR-100 benchmarks show that our methods consistentl y improve adversarial model robustness on top of widely-used strong adversarial training techniques.

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Direct Evolutionary Optimization of Variational Autoencoders with Binary Latents Enrico Guiraud, Jakob Drefs, Jorg Lucke

Discrete latent variables are considered important to model the generation proce ss of real world data, which has motivated research on Variational Autoencoders (VAEs) with discrete latents. However, standard VAE training is not possible in this case, which has motivated different strategies to manipulate discrete distributions in order to train discrete VAEs similarly to conventional ones.

Here we ask if it is also possible to keep the discrete nature of the latents fully intact by applying a direct discrete optimization for the encoding model. The studied approach is consequently strongly diverting from standard VAE training by altogether sidestepping absolute standard VAE mechanisms such as sampling approximation, reparameterization trick and amortization.

Discrete optimization is realized in a variational setting using truncated posteriors in conjunction with evolutionary algorithms (using a recently suggested approach). For VAEs with binary latents, we first show how such a discrete variational method (A) $\sim$ ties into gradient ascent for network weights and (B) $\sim$ uses the decoder network to select latent states for training.

More conventional amortized training is, as may be expected, more efficient than direct discrete optimization, and applicable to large neural networks.

However, we here find direct optimization to be efficiently scalable to hundreds of latent variables using smaller networks.

More importantly, we find the effectiveness of direct optimization to be highly competitive in 'zero-shot' learning (where high effectiveness for small networks is required).

In contrast to large supervised neural networks, the here investigated VAEs can denoise a single image without previous training on clean data and/or training on large image datasets.

More generally, the studied approach shows that training of VAEs is indeed possi ble without sampling-based approximation and reparameterization, which may be in teresting for the analysis of VAE training in general. In the regime of few data , direct optimization, furthermore, makes VAEs competitive for denoising where t hey have previously been outperformed by non-generative approaches.

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The Compact Support Neural Network

Adrian Barbu, Hongyu Mou

Neural networks are popular and useful in many fields, but they have the proble m of giving high confidence responses for examples that are away from the training data. This results in the neural networks being very confident while making gross mistakes, thus limiting their reliability for safety critical applications such as autonomous driving, space exploration, etc.

In this paper, we present a more generic neuron formulation that contains the st andard dot-product based neuron and the RBF neuron as two extreme cases of a sha pe parameter. Using ReLU as the activation function we obtain a novel neuron that compact support, which means its output is zero outside a bounded domain. We also show how to avoid difficulties in training a neural network with such neurons, by starting with a trained standard neural network and gradually increasing the shape parameter to the desired value.

Through experiments on standard benchmark datasets, we show the promise of the p roposed approach, in that it can have good prediction on in-distribution samples, and it can consistently detect and have low confidence on out of distribution samples.

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Rethinking the Pruning Criteria for Convolutional Neural Network Zhongzhan Huang, Xinjiang Wang, Ping Luo

Channel pruning is a popular technique for compressing convolutional neural netw orks (CNNs), and various pruning criteria have been proposed to remove the redun dant filters of CNNs. From our comprehensive experiments, we find some blind spots on pruning criteria: (1) Similarity: There are some strong similarities among several primary pruning criteria that are widely cited and compared. According to these criteria, the ranks of filters' importance in a convolutional layer are almost the same, resulting in similar pruned structures. (2) Applicability: For a large network (each convolutional layer has a large number of filters), some criteria can not distinguish the network redundancy well from their measured filters' importance. In this paper, we theoretically validate these two findings with our assumption that the well-trained convolutional filters in each layer approximately follow a Gaussian-alike distribution. This assumption is verified through systematic and extensive statistical tests.

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UPDeT: Universal Multi-agent RL via Policy Decoupling with Transformers Siyi Hu, Fengda Zhu, Xiaojun Chang, Xiaodan Liang

Recent advances in multi-agent reinforcement learning have been largely limited in training one model from scratch for every new task. The limitation is due to the restricted model architecture related to fixed input and output dimensions. This hinders the experience accumulation and transfer of the learned agent over

tasks with diverse levels of difficulty (e.g. 3 vs 3 or 5 vs 6 multi-agent games ). In this paper, we make the first attempt to explore a universal multi-agent reinforcement learning pipeline, designing one single architecture to fit tasks with the requirement of different observation and action configurations. Unlike previous RNN-based models, we utilize a transformer-based model to generate a fl exible policy by decoupling the policy distribution from the intertwined input o bservation with an importance weight measured by the merits of the self-attentio n mechanism. Compared to a standard transformer block, the proposed model, named as Universal Policy Decoupling Transformer (UPDeT), further relaxes the action restriction and makes the multi-agent task's decision process more explainable. UPDeT is general enough to be plugged into any multi-agent reinforcement learnin g pipeline and equip them with strong generalization abilities that enables the handling of multiple tasks at a time. Extensive experiments on large-scale SMAC multi-agent competitive games demonstrate that the proposed UPDeT-based multi-ag ent reinforcement learning achieves significant results relative to state-of-the -art approaches, demonstrating advantageous transfer capability in terms of both performance and training speed (10 times faster).

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Towards Counteracting Adversarial Perturbations to Resist Adversarial Examples  $\operatorname{Haimin} \operatorname{ZHANG}, \operatorname{Min} \operatorname{Xu}$ 

Studies show that neural networks are susceptible to adversarial attacks. This e xposes a potential threat to neural network-based artificial intelligence system s. We observe that the probability of the correct result outputted by the networ k increases by applying small perturbations generated for class labels other tha  $\ensuremath{\text{n}}$  the original predicted one to adversarial examples. Based on this observation, we propose a method of counteracting adversarial perturbations to resist advers arial examples. In our method, we randomly select a number of class labels and g enerate small perturbations for these selected labels. The generated perturbatio ns are added together and then clamped to a specified space. The obtained pertur bation is finally added to the adversarial example to counteract the adversarial perturbation contained in the example. The proposed method is applied at infere nce time and does not require retraining or finetuning the model. We validate th e proposed method on CIFAR-10 and CIFAR-100. The experimental results demonstrat e that our method effectively improves the defense performance of the baseline m ethods, especially against strong adversarial examples generated using more iter ations.

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Compositional Video Synthesis with Action Graphs

Amir Bar,Roei Herzig,Xiaolong Wang,Gal Chechik,Trevor Darrell,Amir Globerson Videos of actions are complex signals, containing rich compositional structure. Current video generation models are limited in their ability to generate such videos. To address this challenge, we introduce a generative model (AG2Vid) that can be conditioned on an Action Graph, a structure that naturally represents the dynamics of actions and interactions between objects. Our AG2Vid model disentang les appearance and position features, allowing for more accurate generation. AG2 Vid is evaluated on the CATER and Something-Something datasets and outperforms of ther baselines. Finally, we show how Action Graphs can be used for generating no vel compositions of actions.

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Free Lunch for Few-shot Learning: Distribution Calibration Shuo Yang, Lu Liu, Min Xu

Learning from a limited number of samples is challenging since the learned model can easily become overfitted based on the biased distribution formed by only a few training examples. In this paper, we calibrate the distribution of these few -sample classes by transferring statistics from the classes with sufficient exam ples. Then an adequate number of examples can be sampled from the calibrated dis tribution to expand the inputs to the classifier. We assume every dimension in the feature representation follows a Gaussian distribution so that the mean and the variance of the distribution can borrow from that of similar classes whose statistics are better estimated with an adequate number of samples. Our method can

be built on top of off-the-shelf pretrained feature extractors and classificati on models without extra parameters. We show that a simple logistic regression cl assifier trained using the features sampled from our calibrated distribution can outperform the state-of-the-art accuracy on three datasets (~5% improvement on miniImageNet compared to the next best). The visualization of these generated fe atures demonstrates that our calibrated distribution is an accurate estimation.

Learning Stochastic Behaviour from Aggregate Data Shaojun Ma, Shu Liu, Hongyuan Zha, Hao-Min Zhou

Learning nonlinear dynamics from aggregate data is a challenging problem since the full trajectory of each individual is not available, namely, the individual observed at one time point may not be observed at next time point, or the identity of individual is unavailable. This is in sharp contrast to learning dynamics with trajectory data, on which the majority of existing methods are based. We propose a novel method using the weak form of Fokker Planck Equation (FPE) to describe density evolution of data in a sampling form, which is then combined with Wasserstein generative adversarial network (WGAN) in training process. In such a sample-based framework we are able to study nonlinear dynamics from aggregate data without solving the partial differential equation (PDE). The model can also handle high dimensional cases with the help of deep neural networks. We demonstrate our approach in the context of a series of synthetic and real-world data sets.

Targeted Attack against Deep Neural Networks via Flipping Limited Weight Bits Jiawang Bai, Baoyuan Wu, Yong Zhang, Yiming Li, Zhifeng Li, Shu-Tao Xia

To explore the vulnerability of deep neural networks (DNNs), many attack paradig ms have been well studied, such as the poisoning-based backdoor attack in the tr aining stage and the adversarial attack in the inference stage. In this paper, we study a novel attack paradigm, which modifies model parameters in the deployment stage for malicious purposes. Specifically, our goal is to misclassify a specific sample into a target class without any sample modification, while not significantly reduce the prediction accuracy of other samples to ensure the stealthiness. To this end, we formulate this problem as a binary integer programming (BIP), since the parameters are stored as binary bits (\$i.e.\$, 0 and 1) in the memory. By utilizing the latest technique in integer programming, we equivalently reformulate this BIP problem as a continuous optimization problem, which can be effectively and efficiently solved using the alternating direction method of multipliers (ADMM) method. Consequently, the flipped critical bits can be easily determined through optimization, rather than using a heuristic strategy. Extensive experiments demonstrate the superiority of our method in attacking DNNs.

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FedBN: Federated Learning on Non-IID Features via Local Batch Normalization Xiaoxiao Li, Meirui JIANG, Xiaofei Zhang, Michael Kamp, Qi Dou

The emerging paradigm of federated learning (FL) strives to enable collaborative training of deep models on the network edge without centrally aggregating raw d ata and hence improving data privacy. In most cases, the assumption of independe nt and identically distributed samples across local clients does not hold for fe derated learning setups. Under this setting, neural network training performance may vary significantly according to the data distribution and even hurt trainin g convergence. Most of the previous work has focused on a difference in the dist ribution of labels or client shifts. Unlike those settings, we address an import ant problem of FL, e.g., different scanners/sensors in medical imaging, differen t scenery distribution in autonomous driving (highway vs. city), where local cli ents store examples with different distributions compared to other clients, whic h we denote as feature shift non-iid. In this work, we propose an effective meth od that uses local batch normalization to alleviate the feature shift before ave raging models. The resulting scheme, called FedBN, outperforms both classical Fe  ${\tt dAvg}$ , as well as the state-of-the-art for non-iid data (FedProx) on our extensiv e experiments. These empirical results are supported by a convergence analysis t hat shows in a simplified setting that FedBN has a faster convergence rate than FedAvg. Code is available at https://github.com/med-air/FedBN.

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MixCon: Adjusting the Separability of Data Representations for Harder Data Recovery

Xiaoxiao Li, YANGSIBO HUANG, Binghui Peng, Zhao Song, Kai Li

To address the issue that deep neural networks (DNNs) are vulnerable to model in version attacks, we design an objective function to adjust the separability of the hidden data representations as a way to control the trade-off between data utility and vulnerability to inversion attacks. Our method is motivated by the the oretical insights of data separability in neural networking training and results on the hardness of model inversion. Empirically, we show that there exist sweet spots by adjusting the separability of data representation, such that it is difficult to recover data during inference while maintaining data utility.

Gradient Based Memory Editing for Task-Free Continual Learning Xisen Jin, Junyi Du, Xiang Ren

Prior work on continual learning often operate in a "task-aware" manner, by assu ming that the task boundaries and identifies of the data examples are known at a ll times. While in practice, it is rarely the case that such information are exp osed to the methods (i.e., thus called "task-free")—a setting that is relatively underexplored. Recent attempts on task-free continual learning build on previou s memory replay methods and focus on developing memory construction and replay s trategies such that model performance over previously seen examples can be best retained. In this paper, looking from a complementary angle, we propose a novel approach to "edit" memory examples so that the edited memory can better retain p ast performance when they are replayed. We use gradient updates to edit memory e xamples so that they are more likely to be "forgotten" in the future. Experiment s on five benchmark datasets show the proposed method can be seamlessly combined with baselines to significantly improve the performance.

Stego Networks: Information Hiding on Deep Neural Networks Youngwoo Cho, Beomsoo Kim, Jaegul Choo

The best way of keeping a secret is to pretend there is not one. In this spirit, a class of techniques called steganography aims to hide secret messages on vari ous media leaving as little detectable trace as possible. This paper considers n eural networks as novel steganographic cover media, which we call stego networks , that can be used to hide one's secret messages. Although there have been numer ous attempts to hide information in the output of neural networks, techniques fo r hiding information in the neural network parameters themselves have not been a ctively studied in the literature. The widespread use of deep learning models in various cloud computing platforms and millions of mobile devices as of today im plies the importance of safety issues regarding stego networks among deep learni ng researchers and practitioners. In response, this paper presents the advantage s of stego networks over other types of stego media in terms of security and cap acity. We provide observations that the fraction bits of some typical network pa rameters in a floating-point representation tend to follow uniform distributions and explain how it can help a secret sender to encrypt messages that are indist inguishable from the original content. We demonstrate that network parameters ca n embed a large amount of secret information. Even the most significant fraction bits can be used for hiding secrets without inducing noticeable performance deg radation while making it significantly hard to remove secrets by perturbing insi gnificant bits. Finally, we discuss possible use cases of stego networks and met hods to detect or remove secrets from stego networks.

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AdamP: Slowing Down the Slowdown for Momentum Optimizers on Scale-invariant Weights

Byeongho Heo, Sanghyuk Chun, Seong Joon Oh, Dongyoon Han, Sangdoo Yun, Gyuwan Kim, You ngjung Uh, Jung-Woo Ha

Normalization techniques, such as batch normalization (BN), are a boon for moder n deep learning. They let weights converge more quickly with often better genera lization performances. It has been argued that the normalization-induced scale i

nvariance among the weights provides an advantageous ground for gradient descent (GD) optimizers: the effective step sizes are automatically reduced over time, stabilizing the overall training procedure. It is often overlooked, however, tha t the additional introduction of momentum in GD optimizers results in a far more rapid reduction in effective step sizes for scale-invariant weights, a phenomen on that has not yet been studied and may have caused unwanted side effects in th e current practice. This is a crucial issue because arguably the vast majority o f modern deep neural networks consist of (1) momentum-based GD (e.g. SGD or Adam ) and (2) scale-invariant parameters (e.g. more than 90% of the weights in ResNe t are scale-invariant due to BN). In this paper, we verify that the widely-adopt ed combination of the two ingredients lead to the premature decay of effective s tep sizes and sub-optimal model performances. We propose a simple and effective remedy, SGDP and AdamP: get rid of the radial component, or the norm-increasing direction, at each optimizer step. Because of the scale invariance, this modific ation only alters the effective step sizes without changing the effective update directions, thus enjoying the original convergence properties of GD optimizers. Given the ubiquity of momentum GD and scale invariance in machine learning, we have evaluated our methods against the baselines on 13 benchmarks. They range fr om vision tasks like classification (e.g. ImageNet), retrieval (e.g. CUB and SOP ), and detection (e.g. COCO) to language modelling (e.g. WikiText) and audio cla ssification (e.g. DCASE) tasks. We verify that our solution brings about uniform gains in performances in those benchmarks. Source code is available at https:// github.com/clovaai/adamp

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One Reflection Suffice

Alexander Mathiasen, Frederik Hvilshøj

Orthogonal weight matrices are used in many areas of deep learning. Much previou s work attempt to alleviate the additional computational resources it requires to constrain weight matrices to be orthogonal. One popular approach utilizes \*man y\* Householder reflections. The only practical drawback is that many reflections cause low GPU utilization. We mitigate this final drawback by proving that \*one \* reflection is sufficient, if the reflection is computed by an auxiliary neural network.

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Learning Subgoal Representations with Slow Dynamics Siyuan Li, Lulu Zheng, Jianhao Wang, Chongjie Zhang

In goal-conditioned Hierarchical Reinforcement Learning (HRL), a high-level poli cy periodically sets subgoals for a low-level policy, and the low-level policy i s trained to reach those subgoals. A proper subgoal representation function, whi ch abstracts a state space to a latent subgoal space, is crucial for effective g oal-conditioned HRL, since different low-level behaviors are induced by reaching subgoals in the compressed representation space. Observing that the high-level agent operates at an abstract temporal scale, we propose a slowness objective to effectively learn the subgoal representation (i.e., the high-level action space ). We provide a theoretical grounding for the slowness objective. That is, selec ting slow features as the subgoal space can achieve efficient hierarchical explo ration. As a result of better exploration ability, our approach significantly ou tperforms state-of-the-art HRL and exploration methods on a number of benchmark continuous-control tasks. Thanks to the generality of the proposed subgoal repre sentation learning method, empirical results also demonstrate that the learned r epresentation and corresponding low-level policies can be transferred between di stinct tasks.

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A Unified Approach to Interpreting and Boosting Adversarial Transferability Xin Wang, Jie Ren, Shuyun Lin, Xiangming Zhu, Yisen Wang, Quanshi Zhang In this paper, we use the interaction inside adversarial perturbations to explain and boost the adversarial transferability. We discover and prove the negative correlation between the adversarial transferability and the interaction inside a dversarial perturbations. The negative correlation is further verified through d ifferent DNNs with various inputs. Moreover, this negative correlation can be re

garded as a unified perspective to understand current transferability-boosting m ethods. To this end, we prove that some classic methods of enhancing the transfe rability essentially decease interactions inside adversarial perturbations. Base d on this, we propose to directly penalize interactions during the attacking process, which significantly improves the adversarial transferability. We will release the code when the paper is accepted.

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NeurWIN: Neural Whittle Index Network for Restless Bandits via Deep RL Khaled Nakhleh, Santosh Ganji, Ping-Chun Hsieh, I-Hong Hou, Srinivas Shakkottai Whittle index policy is a powerful tool to obtain asymptotically optimal solutio ns for the notoriously intractable problem of restless bandits. However, finding the Whittle indices remains a difficult problem for many practical restless bandits with convoluted transition kernels. This paper proposes NeurWIN, a neural Whittle index network that seeks to learn the Whittle indices for any restless bandits by leveraging mathematical properties of the Whittle indices. We show that a neural network that produces the Whittle index is also one that produces the optimal control for a set of Markov decision problems. This property motivates using deep reinforcement learning for the training of NeurWIN. We demonstrate the utility of NeurWIN by evaluating its performance for three recently studied restless bandit problems. Our experiment results show that the performance of NeurWIN is either better than, or as good as, state-of-the-art policies for all three problems.

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Differentiable Optimization of Generalized Nondecomposable Functions using Linear Programs

Zihang Meng, Lopamudra Mukherjee, Vikas Singh, Sathya N. Ravi

We propose a framework which makes it feasible to directly train deep neural net works with respect to popular families of task-specific non-decomposable per- fo rmance measures such as AUC, multi-class AUC, F -measure and others, as well as models such as non-negative matrix factorization. A common feature of the optimi zation model that emerges from these tasks is that it involves solving a Linear Programs (LP) during training where representations learned by upstream layers i nfluence the constraints. The constraint matrix is not only large but the constr aints are also modified at each iteration. We show how adopting a set of influen tial ideas proposed by Mangasarian for 1-norm SVMs - which advocates for solving LPs with a generalized Newton method - provides a simple and effective solution . In particular, this strategy needs little unrolling, which makes it more effic ient during backward pass. While a number of specialized algorithms have been pr oposed for the models that we de- scribe here, our module turns out to be applic able without any specific adjustments or relaxations. We describe each use case, study its properties and demonstrate the efficacy of the approach over alternat ives which use surrogate lower bounds and often, specialized optimization scheme s. Frequently, we achieve superior computational behavior and performance improv ements on common datasets used in the literature.

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Out-of-Distribution Generalization via Risk Extrapolation (REx)

David Krueger, Ethan Caballero, Joern-Henrik Jacobsen, Amy Zhang, Jonathan Binas, Rém i LE PRIOL, Dinghuai Zhang, Aaron Courville

Distributional shift is one of the major obstacles when transferring machine lea rning prediction systems from the lab to the real world. To tackle this problem, we assume that variation across training domains is representative of the varia tion we might encounter at test time, but also that shifts at test time may be m ore extreme in magnitude. In particular, we show that reducing differences in risk across training domains can reduce a model's sensitivity to a wide range of extreme distributional shifts, including the challenging setting where the input contains both causal and anti-causal elements. We motivate this approach, Risk Extrapolation (REX), as a form of robust optimization over a perturbation set of extrapolated domains (MM-REX), and propose a penalty on the variance of training risks (V-REX) as a simpler variant. We prove that variants of REX can recover t

he causal mechanisms of the targets, while also providing some robustness to changes in the input distribution (``covariate shift''). By appropriately trading-off robustness to causally induced distributional shifts and covariate shift, REx is able to outperform alternative methods such as Invariant Risk Minimization in situations where these types of shift co-occur.

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Hidden Incentives for Auto-Induced Distributional Shift

David Krueger, Tegan Maharaj, Jan Leike

Decisions made by machine learning systems have increasing influence on the worl d, yet it is common for machine learning algorithms to assume that no such influ ence exists. An example is the use of the i.i.d. assumption in content recommen dation. In fact, the (choice of) content displayed can change users' perception s and preferences, or even drive them away, causing a shift in the distribution of users. We introduce the term auto-induced distributional shift (ADS) to desc ribe the phenomenon of an algorithm causing a change in the distribution of its own inputs. Our goal is to ensure that machine learning systems do not leverage ADS to increase performance when doing so could be undesirable. We demonstrate that changes to the learning algorithm, such as the introduction of meta-learni ng, can cause hidden incentives for auto-induced distributional shift (HI-ADS) t o be revealed. To address this issue, we introduce `unit tests' and a mitigatio n strategy for HI-ADS, as well as a toy environment for modelling real-world iss ues with HI-ADS in content recommendation, where we demonstrate that strong meta -learners achieve gains in performance via ADS. We show meta-learning and Q-lea rning both sometimes fail unit tests, but pass when using our mitigation strateg

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Orthogonal Subspace Decomposition: A New Perspective of Learning Discriminative Features for Face Clustering

Jianfeng Wang, Thomas Lukasiewicz, zhongchao shi

Face clustering is an important task, due to its wide applications in practice. Graph-based face clustering methods have recently made a gre at progress and achieved new state-of-the-art results. Learning discriminative node features is the key to further improve the performance of graph-based face clustering. To this end, we propose subspace learning as a new way to learn discriminative node features, which is implemented by a new orthogonal subspace de composition (OSD) module. In graph-based face clustering, OSD leads to more discriminative node features, which better reflect the relationship between each pair of faces, thereby boosting the accuracy of face clustering. Extensive experiments show that OSD outperforms state-of-the-art results with a healthy margin.

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Efficiently Disentangle Causal Representations

Yuanpeng Li, Joel Hestness, Mohamed Elhoseiny, Liang Zhao, Kenneth Church

In this paper, we propose a novel approach to efficiently learning disentangled representations with causal mechanisms, based on the difference of conditional p robabilities in original and new distributions. We approximate the difference wi th model's generalization abilities so that it fits in standard machine learning framework and can be efficiently computed. In contrast to the state-of-the-art approach, which relies on learner's adaptation speed to new distribution, the pr oposed approach only requires evaluating the generalization ability of the model . We provide theoretical explanation for the advantage of the proposed method, a nd our experiments show that the proposed technique is 1.9-11.0x more sample eff icient and 9.4-32.4x quicker than the previous method on various tasks.

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Perceptual Adversarial Robustness: Defense Against Unseen Threat Models Cassidy Laidlaw, Sahil Singla, Soheil Feizi

A key challenge in adversarial robustness is the lack of a precise mathematical characterization of human perception, used in the definition of adversarial attacks that are imperceptible to human eyes. Most current attacks and defenses try to get around this issue by considering restrictive adversarial threat models su ch as those bounded by  $L_2\$  or  $L_{\infty}$  distance, spatial perturbations, etc.

However, models that are robust against any of these restrictive threat models are still fragile against other threat models, i.e. they have poor generalizatio n to unforeseen attacks. Moreover, even if a model is robust against the union of several restrictive threat models, it is still susceptible to other imperceptible adversarial examples that are not contained in any of the constituent threat models. To resolve these issues, we propose adversarial training against the set of all imperceptible adversarial examples. Since this set is intractable to compute without a human in the loop, we approximate it using deep neural networks. We call this threat model the neural perceptual threat model (NPTM); it includes adversarial examples with a bounded neural perceptual distance (a neural network-based approximation of the true perceptual distance) to natural images. Through an extensive perceptual study, we show that the neural perceptual distance correlates well with human judgements of perceptibility of adversarial examples, validating our threat model.

Under the NPTM, we develop novel perceptual adversarial attacks and defenses. Be cause the NPTM is very broad, we find that Perceptual Adversarial Training (PAT) against a perceptual attack gives robustness against many other types of advers arial attacks. We test PAT on CIFAR-10 and ImageNet-100 against five diverse adversarial attacks: \$L\_2\$, \$L\_\infty\$, spatial, recoloring, and JPEG. We find that PAT achieves state-of-the-art robustness against the union of these five attack s-more than doubling the accuracy over the next best model-without training against any of them. That is, PAT generalizes well to unforeseen perturbation types. This is vital in sensitive applications where a particular threat model cannot be assumed, and to the best of our knowledge, PAT is the first adversarial training defense with this property.

Code and data are available at https://github.com/cassidylaidlaw/perceptual-adve  $\mathbf{x}$ 

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Better Fine-Tuning by Reducing Representational Collapse

Armen Aghajanyan, Akshat Shrivastava, Anchit Gupta, Naman Goyal, Luke Zettlemoyer, Sonal Gupta

Although widely adopted, existing approaches for fine-tuning pre-trained languag e models have been shown to be unstable across hyper-parameter settings, motivat ing recent work on trust region methods. In this paper, we present a simplified and efficient method rooted in trust region theory that replaces previously used adversarial objectives with parametric noise (sampling from either a normal or uniform distribution), thereby discouraging representation change during fine-tu ning when possible without hurting performance. We also introduce a new analysis to motivate the use of trust region methods more generally, by studying represe ntational collapse; the degradation of generalizable representations from pre-tr ained models as they are fine-tuned for a specific end task. Extensive experimen ts show that our fine-tuning method matches or exceeds the performance of previo us trust region methods on a range of understanding and generation tasks (includ ing DailyMail/CNN, Gigaword, Reddit TIFU, and the GLUE benchmark), while also be ing much faster. We also show that it is less prone to representation collapse; the pre-trained models maintain more generalizable representations every time th ey are fine-tuned.

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Cross-Modal Domain Adaptation for Reinforcement Learning

Xiong-Hui Chen, Shengyi Jiang, Feng Xu, Yang Yu

Domain adaptation is a promising direction for deploying RL agents in real-world applications, where vision-based robotics tasks constitute an important part. C ur-rent methods that train polices on simulated images not only require a delica tely crafted simulator, but also add extra burdens to the training process. In t his paper, we propose a method that can learn a mapping from high-dimensional im ages to low-level simulator states, allowing agents trained on the source domain of state input to transfer well to the target domain of image input. By fully 1 everaging the sequential information in the trajectories and incorporating the p

olicy to guide the training process, our method overcomes the intrinsic ill-pose dness in cross-modal domain adaptation when structural constraints from the same modality are unavailable. Experiments on MuJoCo environments show that the policy, once combined with the mapping function, can be deployed directly in the target domain with only a small performance gap, while current methods designed for same-modal domain adaptation fail on this problem.

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DeeperGCN: Training Deeper GCNs with Generalized Aggregation Functions Guohao Li, Chenxin Xiong, Ali Thabet, Bernard Ghanem

Graph Convolutional Networks (GCNs) have been drawing significant attention with the power of representation learning on graphs. Recent works developed frameworks to train deep GCNs. Such works show impressive results in tasks like point cloud classification and segmentation, and protein interaction prediction. In this work, we study the performance of such deep models in large scale graph dataset s from the Open Graph Benchmark (OGB). In particular, we look at the effect of a dequately choosing an aggregation function, and its effect on final performance. Common choices of aggregation are mean, max, and sum. It has shown that GCNs are sensitive to such aggregations when applied to different datasets. We further validate this point and propose to alleviate it by introducing a novel Generalized Aggregation Function. Our new aggregation not only covers all commonly used ones, but also can be tuned to learn customized functions for different tasks. Our generalized aggregation is fully differentiable, and thus its parameters can be learned in an end-to-end fashion. We add our generalized aggregation into a deep GCN framework and show it achieves state-of-the-art results in six benchmarks from OGB

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DiffAutoML: Differentiable Joint Optimization for Efficient End-to-End Automated Machine Learning

Kaichen Zhou, Lanqing HONG, Fengwei Zhou, Binxin Ru, Zhenguo Li, Trigoni Niki, Jiashi Feng

The automated machine learning (AutoML) pipeline comprises several crucial compo nents such as automated data augmentation (DA), neural architecture search (NAS) and hyper-parameter optimization (HPO). Although many strategies have been deve loped for automating each component in separation, joint optimization of these c omponents remains challenging due to the largely increased search dimension and different input types required for each component. While conducting these compon ents in sequence is usually adopted as a workaround, it often requires careful c oordination by human experts and may lead to sub-optimal results. In parallel to this, the common practice of searching for the optimal architecture first and t hen retraining it before deployment in NAS often suffers from architecture perfo rmance difference in the search and retraining stages. An end-to-end solution th at integrates the two stages and returns a ready-to-use model at the end of the search is desirable. In view of these, we propose a differentiable joint optimiz ation solution for efficient end-to-end AutoML (DiffAutoML). Our method performs co-optimization of the neural architectures, training hyper-parameters and data augmentation policies in an end-to-end fashion without the need of model retrai ning. Experiments show that DiffAutoML achieves state-of-the-art results on Ima geNet compared with end-to-end AutoML algorithms, and achieves superior performa nce compared with multi-stage AutoML algorithms with higher computational effici ency.

To the best of our knowledge, we are the first to jointly optimize automated DA, NAS and HPO in an en-to-end manner without retraining.

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Learning N:M Fine-grained Structured Sparse Neural Networks From Scratch Aojun Zhou, Yukun Ma, Junnan Zhu, Jianbo Liu, Zhijie Zhang, Kun Yuan, Wenxiu Sun, Hongs heng Li

Sparsity in Deep Neural Networks (DNNs) has been widely studied to compress and accelerate the models on resource-constrained environments. It can be generally categorized into unstructured fine-grained sparsity that zeroes out multiple ind ividual weights distributed across the neural network, and structured coarse-gra

ined sparsity which prunes blocks of sub-networks of a neural network. Fine-grai ned sparsity can achieve a high compression ratio but is not hardware friendly a nd hence receives limited speed gains. On the other hand, coarse-grained sparsit y cannot simultaneously achieve both apparent acceleration on modern GPUs and decent performance. In this paper, we are the first to study training from scrat ch an N:M fine-grained structured sparse network, which can maintain the advanta ges of both unstructured fine-grained sparsity and structured coarse-grained spa rsity simultaneously on specifically designed GPUs. Specifically, a 2 : 4 sparse network could achieve 2x speed-up without performance drop on Nvidia A100 GPUs. Furthermore, we propose a novel and effective ingredient, sparse-refined straig ht-through estimator (SR-STE), to alleviate the negative influence of the approx imated gradients computed by vanilla STE during optimization. We also define a m etric, Sparse Architecture Divergence (SAD), to measure the sparse network's top ology change during the training process. Finally, We justify SR-STE's advantage s with SAD and demonstrate the effectiveness of SR-STE by performing comprehensive experiments on various tasks. Anonymous code and model will be at available at https://github.com/anonymous-NM-sparsity/NM-sparsity.

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Vision at A Glance: Interplay between Fine and Coarse Information Processing Pathways

Zilong Ji, Xiaolong Zou, Tiejun Huang, Si Wu

Object recognition is often viewed as a feedforward, bottom-up process in machin e learning, but in real neural systems, object recognition is a complicated process which involves the interplay between two signal pathways. One is the parvoce llular pathway (P-pathway), which is slow and extracts fine features of objects; the other is the magnocellular pathway (M-pathway), which is fast and extracts coarse features of objects. It has been suggested that the interplay between the two pathways endows the neural system with the capacity of processing visual in formation rapidly, adaptively, and robustly. However, the underlying computation al mechanism remains largely unknown. In this study, we build a two-pathway mode 1 to elucidate the computational properties associated with the interactions bet ween two visual pathways. The model consists of two convolution neural networks: one mimics the P-pathway, referred to as FineNet, which is deep, has small-size kernels, and receives detailed visual inputs; the other mimics the M-pathway, referred to as CoarseNet, which is shallow, has large-size kernels, and receives blurred visual inputs.

The two pathways interact with each other to facilitate information processing. Specifically, we show that CoarseNet can learn from FineNet through imitation to improve its performance considerably, and that through feedback from CoarseNet, the performance of FineNet is improved and becomes robust to noises. Using visu al backward masking as an example, we demonstrate that our model can explain visual cognitive behaviors that involve the interplay between two pathways. We hope that this study will provide insight into understanding visual information processing and inspire the development of new object recognition architectures in machine learning.

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EqCo: Equivalent Rules for Self-supervised Contrastive Learning Benjin Zhu, Junqiang Huang, Zeming Li, Xiangyu Zhang, Jian Sun

In this paper, we propose a method, named EqCo (Equivalent Rules for Contrastive Learning), to make self-supervised learning irrelevant to the number of negative samples in the contrastive learning framework. Inspired by the InfoMax princip le, we point that the margin term in contrastive loss needs to be adaptively scaled according to the number of negative pairs in order to keep steady mutual information bound and gradient magnitude. EqCo bridges the performance gap among a wide range of negative sample sizes, so that for the first time, we can use only a few negative pairs (e.g. 16 per query) to perform self-supervised contrastive training on large-scale vision datasets like ImageNet, while with almost no accuracy drop. This is quite a contrast to the widely used large batch training or memory bank mechanism in current practices. Equipped with EqCo, our simplified M oCo (SiMo) achieves comparable accuracy with MoCo v2 on ImageNet (linear evaluat

ion protocol) while only involves 16 negative pairs per query instead of 65536, suggesting that large quantities of negative samples might not be a critical factor in contrastive learning frameworks.

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Synthesising Realistic Calcium Traces of Neuronal Populations Using GAN Bryan M. Li, Theoklitos Amvrosiadis, Nathalie Rochefort, Arno Onken Calcium imaging has become a powerful and popular technique to monitor the activ ity of large populations of neurons in vivo. However, for ethical considerations and despite recent technical developments, recordings are still constrained to a limited number of trials and animals. This limits the amount of data available from individual experiments and hinders the development of analysis techniques and models for more realistic sizes of neuronal populations. The ability to arti ficially synthesize realistic neuronal calcium signals could greatly alleviate t his problem by scaling up the number of trials. Here, we propose a Generative Ad versarial Network (GAN) model to generate realistic calcium signals as seen in n euronal somata with calcium imaging. To this end, we propose CalciumGAN, a model based on the WaveGAN architecture and train it on calcium fluorescent signals w ith the Wasserstein distance. We test the model on artificial data with known gr ound-truth and show that the distribution of the generated signals closely resem bles the underlying data distribution. Then, we train the model on real calcium traces recorded from the primary visual cortex of behaving mice and confirm that the deconvolved spike trains match the statistics of the recorded data. Togethe r, these results demonstrate that our model can successfully generate realistic calcium traces, thereby providing the means to augment existing datasets of neur onal activity for enhanced data exploration and modelling.

Advantage-Weighted Regression: Simple and Scalable Off-Policy Reinforcement Lear ning

Xue Bin Peng, Aviral Kumar, Grace Zhang, Sergey Levine

In this work, we aim to develop a simple and scalable reinforcement learning alg orithm that uses standard supervised learning methods as subroutines, while also being able to leverage off-policy data. Our proposed approach, which we refer t o as advantage-weighted regression (AWR), consists of two standard supervised le arning steps: one to regress onto target values for a value function, and anothe r to regress onto weighted target actions for the policy. The method is simple a nd general, can accommodate continuous and discrete actions, and can be implemen ted in just a few lines of code on top of standard supervised learning methods. We provide a theoretical motivation for AWR and analyze its properties when inco rporating off-policy data from experience replay. We evaluate AWR on a suite of standard OpenAI Gym benchmark tasks, and show that it achieves competitive perfo rmance compared to a number of well-established state-of-the-art RL algorithms. AWR is also able to acquire more effective policies than most off-policy algorit hms when learning from purely static datasets with no additional environmental  ${\rm i}$ nteractions. Furthermore, we demonstrate our algorithm on challenging continuous control tasks with highly complex simulated characters.

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Active Contrastive Learning of Audio-Visual Video Representations Shuang Ma, Zhaoyang Zeng, Daniel McDuff, Yale Song

Contrastive learning has been shown to produce generalizable representations of audio and visual data by maximizing the lower bound on the mutual information (M I) between different views of an instance. However, obtaining a tight lower bound requires a sample size exponential in MI and thus a large set of negative samples. We can incorporate more samples by building a large queue-based dictionary, but there are theoretical limits to performance improvements even with a large number of negative samples. We hypothesize that random negative sampling leads to a highly redundant dictionary that results in suboptimal representations for downstream tasks. In this paper, we propose an active contrastive learning approach that builds an actively sampled dictionary with diverse and informative items, which improves the quality of negative samples and improves performances on tasks where there is high mutual information in the data, e.g., video classificati

on. Our model achieves state-of-the-art performance on challenging audio and visual downstream benchmarks including UCF101, HMDB51 and ESC50.

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Relevance Attack on Detectors

Sizhe Chen, Fan He, Xiaolin Huang, Kun Zhang

This paper focuses on high-transferable adversarial attacks on detectors, which are hard to attack in a black-box manner, because of their multiple-output chara cteristics and the diversity across architectures. To pursue a high attack trans ferability, one plausible way is to find a common property across detectors, whi ch facilitates the discovery of common weaknesses. We are the first to suggest that the relevance map for detectors is such a property. Based on it, we design a Relevance Attack on Detectors (RAD), which achieves a state-of-the-art transfer ability, exceeding existing results by above 20%. On MS COCO, the detection mAPs for all 8 black-box architectures are more than halved and the segmentation mAPs are also significantly influenced. Given the great transferability of RAD, we generate the first adversarial dataset for object detection, i.e., Adversarial O bjects in COntext (AOCO), which helps to quickly evaluate and improve the robust ness of detectors.

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Deep Evolutionary Learning for Molecular Design

Yifeng Li, Hsu Kiang Ooi, Alain Tchagang

In this paper, we propose a deep evolutionary learning (DEL) process that integr ates fragment-based deep generative model and multi-objective evolutionary comp utation for molecular design. Our approach enables (1) evolutionary operations in the latent space of the generative model, rather than the structural space, to generate novel promising molecular structures for the next evolutionary generation, and (2) generative model fine-tuning using newly generated high-quality samples. Thus, DEL implements a data-model co-evolution concept which improves both sample population and generative model learning. Experiments on two public data sets indicate that sample population obtained by DEL exhibits improved property distributions, and dominates samples generated by multi-objective Bayesian optimization algorithms.

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A law of robustness for two-layers neural networks Sebastien Bubeck, Yuanzhi Li, Dheeraj Mysore Nagaraj

We initiate the study of the inherent tradeoffs between the size of a neural net work and its robustness, as measured by its Lipschitz constant. We make a precis e conjecture that, for any Lipschitz activation function and for most datasets, any two-layers neural network with \$k\$ neurons that perfectly fit the data must have its Lipschitz constant larger (up to a constant) than  $\sqrt{n/k}$  where n\$ is the number of datapoints. In particular, this conjecture implies that overp arametrization is necessary for robustness, since it means that one needs roughl y one neuron per datapoint to ensure a \$0(1)\$-Lipschitz network, while mere data fitting of \$d\$-dimensional data requires only one neuron per \$d\$ datapoints. We prove a weaker version of this conjecture when the Lipschitz constant is replac ed by an upper bound on it based on the spectral norm of the weight matrix. We a lso prove the conjecture in the high-dimensional regime \$n \approx d\$ (which we also refer to as the undercomplete case, since only \$k \leq d\$ is relevant here) . Finally we prove the conjecture for polynomial activation functions of degree \$p\$ when \$n \approx d^p\$. We complement these findings with experimental evidenc e supporting the conjecture.

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Relational Learning with Variational Bayes

Kuang-Hung Liu

In psychology, relational learning refers to the ability to recognize and respon d to

relationship among objects irrespective of the nature of those objects. Relation

learning has long been recognized as a hallmark of human cognition and a key question in artificial intelligence research. In this work, we propose an unsupe

rvised

learning method for addressing the relational learning problem where we learn the underlying relationship between a pair of data irrespective of the nature

of those data. The central idea of the proposed method is to encapsulate the relational

learning problem with a probabilistic graphical model in which we perform inference to learn about data relationships and other relational processing task

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PseudoSeg: Designing Pseudo Labels for Semantic Segmentation

Yuliang Zou, Zizhao Zhang, Han Zhang, Chun-Liang Li, Xiao Bian, Jia-Bin Huang, Tomas P fister

Recent advances in semi-supervised learning (SSL) demonstrate that a combination of consistency regularization and pseudo-labeling can effectively improve image classification accuracy in the low-data regime. Compared to classification, sem antic segmentation tasks require much more intensive labeling costs. Thus, these tasks greatly benefit from data-efficient training methods. However, structured outputs in segmentation render particular difficulties (e.g., designing pseudo-labeling and augmentation) to apply existing SSL strategies. To address this pro blem, we present a simple and novel re-design of pseudo-labeling to generate well-calibrated structured pseudo labels for training with unlabeled or weakly-labeled data. Our proposed pseudo-labeling strategy is network structure agnostic to apply in a one-stage consistency training framework. We demonstrate the effectiveness of the proposed pseudo-labeling strategy in both low-data and high-data regimes. Extensive experiments have validated that pseudo labels generated from wisely fusing diverse sources and strong data augmentation are crucial to consist ency training for segmentation. The source code will be released.

Finding Physical Adversarial Examples for Autonomous Driving with Fast and Diffe rentiable Image Compositing

Jinghan Yang, Adith Boloor, Ayan Chakrabarti, Xuan Zhang, Yevgeniy Vorobeychik There is considerable evidence that deep neural networks are vulnerable to adver sarial perturbations applied directly to their digital inputs. However, it remai ns an open question whether this translates to vulnerabilities in real-world sys tems. Specifically, in the context of image inputs to autonomous driving systems , an attack can be achieved only by modifying the physical environment, so as to ensure that the resulting stream of video inputs to the car's controller leads to incorrect driving decisions. Inducing this effect on the video inputs indirec tly through the environment requires accounting for system dynamics and tracking viewpoint changes. We propose a scalable and efficient approach for finding adv ersarial physical modifications, using a differentiable approximation for the ma pping from environmental modifications-namely, rectangles drawn on the road-to t he corresponding video inputs to the controller network. Given the color, locati on, position, and orientation parameters of the rectangles, our mapping composit es them onto pre-recorded video streams of the original environment. Our mapping accounts for geometric and color variations, is differentiable with respect to rectangle parameters, and uses multiple original video streams obtained by varyi ng the driving trajectory. When combined with a neural network-based controller, our approach allows the design of adversarial modifications through end-to-end gradient-based optimization. We evaluate our approach using the Carla autonomous driving simulator, and show that it is significantly more scalable and far more effective at generating attacks than a prior black-box approach based on Bayesi an Optimization.

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CorrAttack: Black-box Adversarial Attack with Structured Search Zhichao Huang, Yaowei Huang, Tong Zhang

We present a new method for score-based adversarial attack, where the attacker q ueries the loss-oracle of the target model.

Our method employs a parameterized search space with a structure that captures t

he relationship of the gradient of the loss function. We show that searching ove r the structured space can be approximated by a time-varying contextual bandits problem, where the attacker takes feature of the associated arm to make modifica tions of the input, and receives an immediate reward as the reduction of the los s function. The time-varying contextual bandits problem can then be solved by a Bayesian optimization procedure, which can take advantage of the features of the structured action space. The experiments on ImageNet and the Google Cloud Vision API demonstrate that the proposed method achieves the state of the art success rates and query efficiencies for both undefended and defended models.

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Correcting experience replay for multi-agent communication Sanjeevan Ahilan, Peter Dayan

We consider the problem of learning to communicate using multi-agent reinforceme nt learning (MARL). A common approach is to learn off-policy, using data sampled from a replay buffer. However, messages received in the past may not accurately reflect the current communication policy of each agent, and this complicates le arning. We therefore introduce a 'communication correction' which accounts for the non-stationarity of observed communication induced by multi-agent learning. It works by relabelling the received message to make it likely under the communicator's current policy, and thus be a better reflection of the receiver's current environment. To account for cases in which agents are both senders and receivers, we introduce an ordered relabelling scheme. Our correction is computationally efficient and can be integrated with a range of off-policy algorithms. We find in our experiments that it substantially improves the ability of communicating M ARL systems to learn across a variety of cooperative and competitive tasks.

Counterfactual Generative Networks

Axel Sauer, Andreas Geiger

Neural networks are prone to learning shortcuts -- they often model simple corre lations, ignoring more complex ones that potentially generalize better. Prior wo rks on image classification show that instead of learning a connection to object shape, deep classifiers tend to exploit spurious correlations with low-level te xture or the background for solving the classification task. In this work, we ta ke a step towards more robust and interpretable classifiers that explicitly expo se the task's causal structure. Building on current advances in deep generative modeling, we propose to decompose the image generation process into independent causal mechanisms that we train without direct supervision. By exploiting approp riate inductive biases, these mechanisms disentangle object shape, object textur e, and background; hence, they allow for generating counterfactual images. We de monstrate the ability of our model to generate such images on MNIST and ImageNet . Further, we show that the counterfactual images can improve out-of-distributio n robustness with a marginal drop in performance on the original classification task, despite being synthetic. Lastly, our generative model can be trained effic iently on a single GPU, exploiting common pre-trained models as inductive biases

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Quantile Regularization : Towards Implicit Calibration of Regression Models Saiteja Utpala, Piyush Rai

Recent works have shown that most deep learning models are often poorly calibra ted, i.e., they may produce overconfident

predictions that are wrong, implying that their uncertainty estimates are unreliable. While a number of approaches have been proposed recently to calibrate classification models, relatively little work exists on calibrating regression models. Isotonic Regression has recently been advocated for regression calibration. We provide a detailed formal analysis of the \emph{side-effects} of Isotonic Regression when used for regression calibration. To address this, we recast quant ile calibration as entropy estimation, and leverage this idea to construct a novel quantile regularizer, which can be used in any optimization based probabilisit regression models. Unlike most of the existing approaches for calibrating regression models, which are based on \emph{post-hoc} processing of the model's out

put, and require an additional dataset, our method is trainable in an end-to-end fashion, without requiring an additional dataset. We provide empirical results demonstrating that our approach improves calibration for regression models trained on diverse architectures that provide uncertainty estimates, such as Dropout VI, Deep Ensembles

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Multi-Agent Trust Region Learning

Ying Wen, Hui Chen, Yaodong Yang, Zheng Tian, Minne Li, Xu Chen, Jun Wang

Trust-region methods are widely used in single-agent reinforcement learning. e advantage is that they guarantee a lower bound of monotonic payoff improvement for policy optimization at each iteration. Nonetheless, when applied in multi-a gent settings, such guarantee is lost because an agent's payoff is also determin ed by other agents' adaptive behaviors. In fact, measuring agents' payoff impro vements in multi-agent reinforcement learning (MARL) scenarios is still challeng ing. Although game-theoretical solution concepts such as Nash equilibrium can be applied, the algorithm (e.g., Nash-Q learning) suffers from poor scalability be yond two-player discrete games. To mitigate the above measurability and tractabi lity issues, in this paper, we propose Multi-Agent Trust Region Learning (MATRL) method. MATRL augments the single-agent trust-region optimization process with the multi-agent solution concept of stable fixed point that is computed at the p olicy-space meta-game level. When multiple agents learn simultaneously, stable f ixed points at the meta-game level can effectively measure agents' payoff improv ements, and, importantly, a meta-game representation enjoys better scalability f or multi-player games. We derive the lower bound of agents' payoff improvements for MATRL methods, and also prove the convergence of our method on the  $\operatorname{meta-gam}$ e fixed points. We evaluate the MATRL method on both discrete and continuous mul ti-player general-sum games; results suggest that MATRL significantly outperform s strong MARL baselines on grid worlds, multi-agent MuJoCo, and Atari games.

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Certified Distributional Robustness via Smoothed Classifiers
Jungang Yang, Liyao Xiang, Ruidong Chen, Yukun Wang, Wei Wang, Xinbing Wang
The robustness of deep neural networks against adversarial example attacks has r
eceived much attention recently. We focus on certified robustness of smoothed cl
assifiers in this work, and propose to use the worst-case population loss over n
oisy inputs as a robustness metric. Under this metric, we provide a tractable up
per bound serving as a robustness certificate by exploiting the duality. To impr
ove the robustness, we further propose a noisy adversarial learning procedure to
minimize the upper bound following the robust optimization framework. The smoot
hness of the loss function ensures the problem easy to optimize even for non-smo
oth neural networks. We show how our robustness certificate compares with others
and the improvement over previous works. Experiments on a variety of datasets a
nd models verify that in terms of empirical accuracies, our approach exceeds the
state-of-the-art certified/heuristic methods in defending adversarial examples.

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Global Self-Attention Networks for Image Recognition

Zhuoran Shen, Irwan Bello, Raviteja Vemulapalli, Xuhui Jia, Ching-Hui Chen Recently, a series of works in computer vision have shown promising results on v arious image and video understanding tasks using self-attention. However, due to the quadratic computational and memory complexities of self-attention, these wo rks either apply attention only to low-resolution feature maps in later stages of a deep network or restrict the receptive field of attention in each layer to a small local region. To overcome these limitations, this work introduces a new global self-attention module, referred to as the GSA module, which is efficient e nough to serve as the backbone component of a deep network. This module consists of two parallel layers: a content attention layer that attends to pixels based only on their content and a positional attention layer that attends to pixels based on their spatial locations. The output of this module is the sum of the outputs of the two layers. Based on the proposed GSA module, we introduce new standa lone global attention-based deep networks that use GSA modules instead of convolutions to model pixel interactions. Due to the global extent of the proposed GSA

module, a GSA network has the ability to model long-range pixel interactions th roughout the network. Our experimental results show that GSA networks outperform the corresponding convolution-based networks significantly on the CIFAR-100 and ImageNet datasets while using less number of parameters and computations. The p roposed GSA networks also outperform various existing attention-based networks on the ImageNet dataset.

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Contemplating Real-World Object Classification ali borji

Deep object recognition models have been very successful over benchmark datasets such as ImageNet. How accurate and robust are they to distribution shifts arising from natural and synthetic variations in datasets? Prior research on

this problem has primarily focused on ImageNet variations (e.g., ImageNetV2, ImageNet-A). To avoid potential inherited biases in these studies, we take a different approach. Specifically, we reanalyze the ObjectNet dataset recently proposed by Barbu et al. containing objects in daily life situations. They showe

a dramatic performance drop of the state of the art object recognition models on this dataset. Due to the importance and implications of their results regarding the generalization ability of deep models, we take a second look at their analys is.

We find that applying deep models to the isolated objects, rather than the entir e

scene as is done in the original paper, results in around 20-30% performance improvement. Relative to the numbers reported in Barbu et al., around 10-15% of the performance loss is recovered, without any test time data augmentation. Despite this gain, however, we conclude that deep models still suffer drasticall v

on the ObjectNet dataset. We also investigate the robustness of models against synthetic image perturbations such as geometric transformations (e.g., scale, rotation, translation), natural image distortions (e.g., impulse noise, blur) as well

as adversarial attacks (e.g., FGSM and PGD-5). Our results indicate that limitin  $\ensuremath{\mathtt{g}}$ 

the object area as much as possible (i.e., from the entire image to the bounding box to the segmentation mask) leads to consistent improvement in accuracy and robustness. Finally, through a qualitative analysis of ObjectNet data, we find that

i) a large number of images in this dataset are hard to recognize even for human s.

and ii) easy (hard) samples for models match with easy (hard) samples for humans

Overall, our analysis shows that ObjecNet is still a challenging test platform t hat

can be used to measure the generalization ability of models. The code and data are available in [masked due to blind review].

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Semantically-Adaptive Upsampling for Layout-to-Image Translation Hao Tang, Nicu Sebe

We propose the Semantically-Adaptive UpSampling (SA-UpSample), a general and hig hly effective upsampling method for the layout-to-image translation task. SA-UpS ample has three advantages: 1) Global view. Unlike traditional upsampling method s (e.g., Nearest-neighbor) that only exploit local neighborhoods, SA-UpSample can aggregate semantic information in a global view.

2) Semantically adaptive. Instead of using a fixed kernel for all locations (e.g., Deconvolution), SA-UpSample enables semantic class-specific upsampling via g enerating adaptive kernels for different locations. 3) Efficient. Unlike Spatial Attention which uses a fully-connected strategy to connect all the pixels, SA-UpSample only considers the most relevant pixels, introducing little computational

l overhead. We observe that SA-UpSample achieves consistent and substantial gain s on six popular datasets. The source code will be made publicly available.

Transferability of Compositionality

Yuanpeng Li, Liang Zhao, Joel Hestness, Ka Yee Lun, Kenneth Church, Mohamed Elhoseiny Compositional generalization is the algebraic capacity to understand and produce large amount of novel combinations from known components. It is a key element o f human intelligence for out-of-distribution generalization. To equip neural net works with such ability, many algorithms have been proposed to extract compositi onal representations from the training distribution. However, it has not been di scussed whether the trained model can still extract such representations in the test distribution. In this paper, we argue that the extraction ability does not transfer naturally, because the extraction network suffers from the divergence o f distributions. To address this problem, we propose to use an auxiliary reconst ruction network with regularized hidden representations as input, and optimize t he representations during inference. The proposed approach significantly improve s accuracy, showing more than a 20% absolute increase in various experiments com pared with baselines. To our best knowledge, this is the first work to focus on the transferability of compositionality, and it is orthogonal to existing effort s of learning compositional representations in training distribution. We hope th is work will help to advance compositional generalization and artificial intelli gence research.

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Bayesian Online Meta-Learning

Pauching Yap, Hippolyt Ritter, David Barber

Neural networks are known to suffer from catastrophic forgetting when trained on sequential datasets. While there have been numerous attempts to solve this prob lem for large-scale supervised classification, little has been done to overcome catastrophic forgetting for few-shot classification problems. Few-shot meta-lear ning algorithms often require all few-shot tasks to be readily available in a batch for training. The popular gradient-based model-agnostic meta-learning algorithm (MAML) is a typical algorithm that suffers from these limitations. This work introduces a Bayesian online meta-learning framework to tackle the catastrophic forgetting and the sequential few-shot tasks problems. Our framework incorporates MAML into a Bayesian online learning algorithm with Laplace approximation or variational inference. This framework enables few-shot classification on a range of sequentially arriving datasets with a single meta-learned model and training on sequentially arriving few-shot tasks. The experimental evaluations demonstrate that our framework can effectively prevent catastrophic forgetting and is capable of online meta-learning in various few-shot classification settings.

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Towards Nonlinear Disentanglement in Natural Data with Temporal Sparse Coding David A. Klindt, Lukas Schott, Yash Sharma, Ivan Ustyuzhaninov, Wieland Brendel, Matt hias Bethge, Dylan Paiton

Disentangling the underlying generative factors from complex data has so far bee n limited to carefully constructed scenarios. We propose a path towards natural data by first showing that the statistics of natural data provide enough structu re to enable disentanglement, both theoretically and empirically. Specifically, we provide evidence that objects in natural movies undergo transitions that are typically small in magnitude with occasional large jumps, which is characteristi c of a temporally sparse distribution. To address this finding we provide a nove 1 proof that relies on a sparse prior on temporally adjacent observations to rec over the true latent variables up to permutations and sign flips, directly provi ding a stronger result than previous work. We show that equipping practical esti mation methods with our prior often surpasses the current state-of-the-art on se veral established benchmark datasets without any impractical assumptions, such a s knowledge of the number of changing generative factors. Furthermore, we contri bute two new benchmarks, Natural Sprites and KITTI Masks, which integrate the me asured natural dynamics to enable disentanglement evaluation with more realistic datasets. We leverage these benchmarks to test our theory, demonstrating improv

ed performance. We also identify non-obvious challenges for current methods in s caling to more natural domains. Taken together our work addresses key issues in disentanglement research for moving towards more natural settings.

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Explainable Deep One-Class Classification

Philipp Liznerski, Lukas Ruff, Robert A. Vandermeulen, Billy Joe Franks, Marius Kloft, Klaus Robert Muller

Deep one-class classification variants for anomaly detection learn a mapping that concentrates nominal samples in feature space causing anomalies to be mapped a way. Because this transformation is highly non-linear, finding interpretations p oses a significant challenge. In this paper we present an explainable deep one-c lass classification method, Fully Convolutional Data Description (FCDD), where t he mapped samples are themselves also an explanation heatmap. FCDD yields compet itive detection performance and provides reasonable explanations on common anoma ly detection benchmarks with CIFAR-10 and ImageNet. On MVTec-AD, a recent manufa cturing dataset offering ground-truth anomaly maps, FCDD sets a new state of the art in the unsupervised setting. Our method can incorporate ground-truth anomal y maps during training and using even a few of these (~5) improves performance s ignificantly. Finally, using FCDD's explanations we demonstrate the vulnerabilit y of deep one-class classification models to spurious image features such as image watermarks.

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Batch Reinforcement Learning Through Continuation Method

Yijie Guo, Shengyu Feng, Nicolas Le Roux, Ed Chi, Honglak Lee, Minmin Chen

Many real-world applications of reinforcement learning (RL) require the agent to learn from a fixed set of trajectories, without collecting new interactions. P olicy optimization under this setting is extremely challenging as: 1) the geomet ry of the objective function is hard to optimize efficiently; 2) the shift of da ta distributions causes high noise in the value estimation. In this work, we pro pose a simple yet effective policy iteration approach to batch RL using global o ptimization techniques known as continuation. By constraining the difference be tween the learned policy and the behavior policy that generates the fixed trajec tories, and continuously relaxing the constraint, our method 1) helps the agent escape local optima; 2) reduces the error in policy evaluation in the optimizati on procedure. We present results on a variety of control tasks, game environme nts, and a recommendation task to empirically demonstrate the efficacy of our proposed method.

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Protecting DNNs from Theft using an Ensemble of Diverse Models Sanjay Kariyappa, Atul Prakash, Moinuddin K Qureshi

Several recent works have demonstrated highly effective model stealing (MS) atta cks on Deep Neural Networks (DNNs) in black-box settings, even when the training data is unavailable. These attacks typically use some form of Out of Distributi on (OOD) data to query the target model and use the predictions obtained to trai n a clone model. Such a clone model learns to approximate the decision boundary of the target model, achieving high accuracy on in-distribution examples. We pro pose Ensemble of Diverse Models (EDM) to defend against such MS attacks. EDM is made up of models that are trained to produce dissimilar predictions for OOD inp uts. By using a different member of the ensemble to service different queries, o ur defense produces predictions that are highly discontinuous in the input space for the adversary's OOD queries. Such discontinuities cause the clone model tra ined on these predictions to have poor generalization on in-distribution example s. Our evaluations on several image classification tasks demonstrate that EDM de fense can severely degrade the accuracy of clone models (up to \$39.7\%\$). Our de fense has minimal impact on the target accuracy, negligible computational costs during inference, and is compatible with existing defenses for MS attacks.

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Domain Generalization with MixStyle

Kaiyang Zhou, Yongxin Yang, Yu Qiao, Tao Xiang

Though convolutional neural networks (CNNs) have demonstrated remarkable ability

in learning discriminative features, they often generalize poorly to unseen dom ains. Domain generalization aims to address this problem by learning from a set of source domains a model that is generalizable to any unseen domain. In this paper, a novel approach is proposed based on probabilistically mixing instance-level feature statistics of training samples across source domains. Our method, ter med MixStyle, is motivated by the observation that visual domain is closely related to image style (e.g., photo vs.~sketch images). Such style information is captured by the bottom layers of a CNN where our proposed style-mixing takes place. Mixing styles of training instances results in novel domains being synthesized implicitly, which increase the domain diversity of the source domains, and hence the generalizability of the trained model. MixStyle fits into mini-batch train ing perfectly and is extremely easy to implement. The effectiveness of MixStyle is demonstrated on a wide range of tasks including category classification, instance retrieval and reinforcement learning.

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Dynamic Backdoor Attacks Against Deep Neural Networks Ahmed Salem, Rui Wen, Michael Backes, Shiqing Ma, Yang Zhang

Current Deep Neural Network (DNN) backdooring attacks rely on adding static trig gers (with fixed patterns and locations) on model inputs that are prone to detec tion. In this paper, we propose the first class of dynamic backdooring techniques: Random Backdoor, Backdoor Generating Network (BaN), and conditional Backdoor Generating Network (c-BaN). Triggers generated by our techniques have random patterns and locations. In particular, BaN and c-BaN based on a novel generative network are the first two schemes that algorithmically generate triggers. Moreover, c-BaN is the first conditional backdooring technique that given a target label, it can generate a target-specific trigger. Both BaN and c-BaN are essentially a general framework which renders the adversary the flexibility for further c ustomizing backdoor attacks. We extensively evaluate our techniques on three ben chmark datasets and show that our techniques achieve almost perfect attack performance on backdoored data with a negligible utility loss. More importantly, our techniques can bypass state-of-the-art defense mechanisms.

Efficient Inference of Flexible Interaction in Spiking-neuron Networks Feng Zhou, Yixuan Zhang, Jun Zhu

Hawkes process provides an effective statistical framework for analyzing the tim e-dependent interaction of neuronal spiking activities. Although utilized in man y real applications, the classic Hawkes process is incapable of modelling inhibitory interactions among neurons. Instead, the nonlinear Hawkes process allows for a more flexible influence pattern with excitatory or inhibitory interactions. In this paper, three sets of auxiliary latent variables (Polya-Gamma variables, latent marked Poisson processes and sparsity variables) are augmented to make functional connection weights in a Gaussian form, which allows for a simple iterative algorithm with analytical updates. As a result, an efficient expectation-max imization (EM) algorithm is derived to obtain the maximum a posteriori (MAP) est imate. We demonstrate the accuracy and efficiency performance of our algorithm on synthetic and real data. For real neural recordings, we show our algorithm can estimate the temporal dynamics of interaction and reveal the interpretable functional connectivity underlying neural spike trains.

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Deep Clustering and Representation Learning that Preserves Geometric Structures Lirong Wu, Zicheng Liu, Zelin Zang, Jun Xia, Siyuan Li, Stan Z. Li

In this paper, we propose a novel framework for Deep Clustering and multimanifol d Representation Learning (DCRL) that preserves the geometric structure of data. In the proposed DCRL framework, manifold clustering is done in the latent space guided by a clustering loss. To overcome the problem that clusteringoriented losses may deteriorate the geometric structure of embeddings in the latent space, an isometric loss is proposed for preserving intra-manifold structure locally and a ranking loss for inter-manifold structure globally. Experimental results on various datasets show that the DCRL framework leads to performances comparable to current state-of-the-art deep clustering algorithms, yet exhibits superior per

formance for manifold representation. Our results also demonstrate the importanc e and effectiveness of the proposed losses in preserving geometric structure in terms of visualization and performance metrics. The code is provided in the Supp lementary Material.

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RMIX: Risk-Sensitive Multi-Agent Reinforcement Learning

Wei Qiu, Xinrun Wang, Runsheng Yu, Xu He, Rundong Wang, Bo An, Svetlana Obraztsova, Zin ovi Rabinovich

Centralized training with decentralized execution (CTDE) has become an important paradigm in multi-agent reinforcement learning (MARL). Current CTDE-based metho ds rely on restrictive decompositions of the centralized value function across a gents, which decomposes the global Q-value into individual Q values to guide ind ividuals' behaviours. However, such expected, i.e., risk-neutral, Q value decomp osition is not sufficient even with CTDE due to the randomness of rewards and th e uncertainty in environments, which causes the failure of these methods to trai n coordinating agents in complex environments. To address these issues, we propo se RMIX, a novel cooperative MARL method with the Conditional Value at Risk (CVa R) measure over the learned distributions of individuals' Q values. Our main con tributions are in three folds: (i) We first learn the return distributions of in dividuals to analytically calculate CVaR for decentralized execution; (ii) We th en propose a dynamic risk level predictor for CVaR calculation to handle the tem poral nature of the stochastic outcomes during executions; (iii) We finally prop ose risk-sensitive Bellman equation along with Individual-Global-MAX (IGM) for M ARL training. Empirically, we show that our method significantly outperforms sta te-of-the-art methods on many challenging StarCraft II tasks, demonstrating sign ificantly enhanced coordination and high sample efficiency.

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Robust Meta-learning with Noise via Eigen-Reptile

Dong Chen, Lingfei Wu, Siliang Tang, Fangli Xu, Juncheng Li, Chang Zong, Chilie Tan, Yu eting Zhuang

Recent years have seen a surge of interest in meta-learning techniques for tackl ing the few-shot learning (FSL) problem. However, the meta-learner's initial mod el is prone to meta-overfit, as there are only a few available samples with samp ling noise. Besides, when handling the data sampled with label noise for FSL, me ta-learner could be extremely sensitive to label noise. To address these two cha llenges that FSL with sampling and label noise. In particular, we first cast the meta-overfitting problem (overfitting on sampling and label noise) as a gradien t noise problem since few available samples cause meta-learner to overfit on exi sting examples (clean or corrupted) of an individual task at every gradient step . We present Eigen-Reptile (ER) that updates the meta-parameters with the main d irection of historical task-specific parameters to alleviate gradient noise. Spe cifically, the main direction is computed by a special mechanism for the paramet er's large size. Furthermore, to obtain a more accurate main direction for Eigen -Reptile in the presence of label noise, we propose Introspective Self-paced Lea rning (ISPL) that constructs a plurality of prior models to determine which samp le should be abandoned. We have proved the effectiveness of Eigen-Reptile and IS PL, respectively, theoretically and experimentally. Moreover, our experiments on different tasks demonstrate that the proposed methods outperform or achieve hig hly competitive performance compared with the state-of-the-art methods with or w ithout noisy labels.

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DICE: Diversity in Deep Ensembles via Conditional Redundancy Adversarial Estimation

Alexandre Rame, Matthieu Cord

Deep ensembles perform better than a single network thanks to the diversity amon g their members. Recent approaches regularize predictions to increase diversity; however, they also drastically decrease individual members' performances. In th is paper, we argue that learning strategies for deep ensembles need to tackle th e trade-off between ensemble diversity and individual accuracies. Motivated by a rguments from information theory and leveraging recent advances in neural estima

tion of conditional mutual information, we introduce a novel training criterion called DICE: it increases diversity by reducing spurious correlations among feat ures. The main idea is that features extracted from pairs of members should only share information useful for target class prediction without being conditionally redundant. Therefore, besides the classification loss with information bottlen eck, we adversarially prevent features from being conditionally predictable from each other. We manage to reduce simultaneous errors while protecting class information. We obtain state-of-the-art accuracy results on CIFAR-10/100: for example, an ensemble of 5 networks trained with DICE matches an ensemble of 7 networks trained independently. We further analyze the consequences on calibration, unce retainty estimation, out-of-distribution detection and online co-distillation.

Universal Weakly Supervised Segmentation by Pixel-to-Segment Contrastive Learnin

Tsung-Wei Ke, Jyh-Jing Hwang, Stella Yu

Weakly supervised segmentation requires assigning a label to every pixel based on training instances with partial annotations such as image-level tags, object bounding boxes, labeled points and scribbles. This task is challenging, as coarse annotations (tags, boxes) lack precise pixel localization whereas sparse annotations (points, scribbles) lack broad region coverage. Existing methods tackle these two types of weak supervision differently: Class activation maps are used to localize coarse labels and iteratively refine the segmentation model, whereas conditional random fields are used to propagate sparse labels to the entire image

We formulate weakly supervised segmentation as a semi-supervised metric learning problem, where pixels of the same (different) semantics need to be mapped to the same (distinctive) features. We propose 4 types of contrastive relationships between pixels and segments in the feature space, capturing low-level image similarity, semantic annotation, co-occurrence, and feature affinity They act as priors; the pixel-wise feature can be learned from training images with any partial annotations in a data-driven fashion. In particular, unlabeled pixels in training images participate not only in data-driven grouping within each image, but als o in discriminative feature learning within and across images. We deliver a universal weakly supervised segmenter with significant gains on Pascal VOC and Dense Pose. Our code is publicly available at https://github.com/twke18/SPML.

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Learning the Connections in Direct Feedback Alignment Matthew Bailey Webster, Jonghyun Choi, changwook Ahn

Feedback alignment was proposed to address the biological implausibility of the backpropagation algorithm which requires the transportation of the weight transpose during the backwards pass. The idea was later built upon with the proposal of direct feedback alignment (DFA), which propagates the error directly from the output layer to each hidden layer in the backward path using a fixed random weight matrix. This contribution was significant because it allowed for the parallelization of the backwards pass by the use of these feedback connections. However, just as feedback alignment, DFA does not perform well in deep convolutional networks. We propose to learn the backward weight matrices in DFA, adopting the methodology of Kolen-Pollack learning, to improve training and inference accuracy in deep convolutional neural networks by updating the direct feedback connections such that they come to estimate the forward path. The proposed method improves the accuracy of learning by direct feedback connections and reduces the gap between parallel training to serial training by means of backpropagation.

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Discovering Parametric Activation Functions

Garrett Bingham, Risto Miikkulainen

Recent studies have shown that the choice of activation function can significant ly affect the performance of deep learning networks. However, the benefits of no vel activation functions have been inconsistent and task-dependent, and therefor e the rectified linear unit (ReLU) is still the most commonly used. This paper p

roposes a technique for customizing activation functions automatically, resultin g in reliable improvements in performance. Evolutionary search is used to discover the general form of the function, and gradient descent to optimize its parameters for different parts of the network and over the learning process. Experiments with three different neural network architectures on the CIFAR-100 image classification dataset show that this approach is effective. It discovers different activation functions for different architectures, and consistently improves accuracy over ReLU and other recently proposed activation functions by significant margins. The approach can therefore be used as an automated optimization step in applying deep learning to new tasks.

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SVMax: A Feature Embedding Regularizer

Ahmed Taha, Alex Hanson, Abhinav Shrivastava, Larry S. Davis

A neural network regularizer (eg, weight decay) boosts performance by explicitly penalizing the complexity of a network. In this paper, we penalize inferior net work activations -- feature embeddings -- which in turn regularize the network's weights implicitly. We propose singular value maximization (SVMax) to learn a u niform feature embedding. The SVMax regularizer integrates seamlessly with both supervised and unsupervised learning. During training, our formulation mitigates model collapse and enables larger learning rates. Thus, our formulation converg es in fewer epochs, which reduces the training computational cost. We evaluate the SVMax regularizer using both retrieval and generative adversarial networks. We leverage a synthetic mixture of Gaussians dataset to evaluate SVMax in an unsu pervised setting. For retrieval networks, SVMax achieves significant improvement margins across various ranking losses.

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Gradient Descent Resists Compositionality

Yuanpeng Li, Liang Zhao, Joel Hestness, Kenneth Church, Mohamed Elhoseiny

In this paper, we argue that gradient descent is one of the reasons that make co mpositionality learning hard during neural network optimization. We find that the optimization process imposes a bias toward non-compositional solutions. This is caused by gradient descent, trying to use all available and redundant information from input, violating the conditional independence property of compositionality. Based on this finding, we suggest that compositionality learning approaches considering only model architecture design are unlikely to achieve complete compositionality. This is the first work to investigate the relation between compositional learning and gradient descent. We hope this study provides novel insight into compositional generalization, and forms a basis for new research directions to equip machine learning models with such skills for human-level intelligence

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Shape-Texture Debiased Neural Network Training

Yingwei Li,Qihang Yu,Mingxing Tan,Jieru Mei,Peng Tang,Wei Shen,Alan Yuille,cihan q xie

Shape and texture are two prominent and complementary cues for recognizing objects. Nonetheless, Convolutional Neural Networks are often biased towards either texture or shape, depending on the training dataset. Our ablation shows that such bias degenerates model performance. Motivated by this observation, we develop a simple algorithm for shape-texture debiased learning. To prevent models from exclusively attending on a single cue in representation learning, we augment training data with images with conflicting shape and texture information (eg, an image of chimpanzee shape but with lemon texture) and, most importantly, provide the corresponding supervisions from shape and texture simultaneously.

Experiments show that our method successfully improves model performance on seve ral image recognition benchmarks and adversarial robustness. For example, by tra ining on ImageNet, it helps ResNet-152 achieve substantial improvements on Image Net (+1.2%), ImageNet-A (+5.2%), ImageNet-C (+8.3%) and Stylized-ImageNet (+11.1%), and on defending against FGSM adversarial attacker on ImageNet (+14.4%). Our method also claims to be compatible with other advanced data augmentation stra

tegies, eg, Mixup, and CutMix. The code is available here: https://github.com/Li Yinqwei/ShapeTextureDebiasedTraining.

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Interactive Weak Supervision: Learning Useful Heuristics for Data Labeling Benedikt Boecking, Willie Neiswanger, Eric Xing, Artur Dubrawski

Obtaining large annotated datasets is critical for training successful machine 1 earning models and it is often a bottleneck in practice. Weak supervision offers a promising alternative for producing labeled datasets without ground truth ann otations by generating probabilistic labels using multiple noisy heuristics. Thi s process can scale to large datasets and has demonstrated state of the art perf ormance in diverse domains such as healthcare and e-commerce. One practical issu e with learning from user-generated heuristics is that their creation requires c reativity, foresight, and domain expertise from those who hand-craft them, a pro cess which can be tedious and subjective. We develop the first framework for int eractive weak supervision in which a method proposes heuristics and learns from user feedback given on each proposed heuristic. Our experiments demonstrate that only a small number of feedback iterations are needed to train models that achi eve highly competitive test set performance without access to ground truth train ing labels. We conduct user studies, which show that users are able to effective ly provide feedback on heuristics and that test set results track the performanc e of simulated oracles.

Learning a unified label space

Xingyi Zhou, Vladlen Koltun, Philipp Kraehenbuehl

How do we build a general and broad object detection system? We use all labels of all concepts ever annotated. These labels span many diverse datasets with pote ntially inconsistent semantic labels. In this paper, we show how to integrate the ese datasets and their semantic taxonomies in a completely automated fashion. On ce integrated, we train an off-the-shelf object detector on the union of the datasets. This unified recognition system performs as well as dataset-specific mode ls on each training domain, but generalizes much better to new unseen domains. Entries based on the presented methodology ranked first in the object detection and instance segmentation tracks of the ECCV 2020 Robust Vision Challenge.

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MoVie: Revisiting Modulated Convolutions for Visual Counting and Beyond Duy Kien Nguyen, Vedanuj Goswami, Xinlei Chen

This paper focuses on visual counting, which aims to predict the number of occur rences given a natural image and a query (e.g. a question or a category). Unlike most prior works that use explicit, symbolic models which can be computationally expensive and limited in generalization, we propose a simple and effective alternative by revisiting modulated convolutions that fuse the query and the image locally. Following the design of residual bottleneck, we call our method MoVie, short for Modulated conVolutional bottlenecks. Notably, MoVie reasons implicitly and holistically and only needs a single forward-pass during inference. Nevertheless, MoVie showcases strong performance for counting: 1) advancing the state-of-the-art on counting-specific VQA tasks while being more efficient; 2) outperforming prior-art on difficult benchmarks like COCO for common object counting; 3) helped us secure the first place of 2020 VQA challenge when integrated as a module for 'number' related questions in generic VQA models. Finally, we show evide not that modulated convolutions such as MoVie can serve as a general mechanism for reasoning tasks beyond counting.

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MLR-SNet: Transferable LR Schedules for Heterogeneous Tasks

Jun Shu, Yanwen Zhu, Qian Zhao, Deyu Meng, Zongben Xu

The learning rate (LR) is one of the most important hyper-parameters in stochast ic gradient descent (SGD) for deep neural networks (DNN) training and generaliza tion. However, current hand-designed LR schedules need to manually pre-specify a fixed form, which limits their ability to adapt to non-convex optimization prob lems due to the significant variation of training dynamics. Meanwhile, it always needs to search a proper LR schedule from scratch for new tasks. To address the

se issues, we propose to parameterize LR schedules with an explicit mapping form ulation, called MLR-SNet. The learnable structure brings more flexibility for ML R-SNet to learn a proper LR schedule to comply with the training dynamics of DNN. Image and text classification benchmark experiments substantiate the capability of our method for achieving proper LR schedules. Moreover, the meta-learned M LR-SNet is tuning-free plug-and-play to generalize to new heterogeneous tasks. We transfer our meta-trained MLR-SNet to tasks like different training epochs, network architectures, datasets, especially large scale ImageNet dataset, and achieve comparable performance with hand-designed LR schedules. Finally, MLR-Net can achieve better robustness when training data is biased with corrupted noise.

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IALE: Imitating Active Learner Ensembles Christoffer Löffler, Christopher Mutschler

Active learning (AL) prioritizes the labeling of the most informative data sampl es. However, the performance of AL heuristics depends on the structure of the un derlying classifier model and the data. We propose an imitation learning scheme that imitates the selection of the best expert heuristic at each stage of the AL cycle in a batch-mode pool-based setting. We use DAGGER to train the policy on a dataset and later apply it to datasets from similar domains. With multiple AL heuristics as experts, the policy is able to reflect the choices of the best AL heuristics given the current state of the AL process. Our experiment on well-kno wn datasets show that we both outperform state of the art imitation learners and heuristics.

Sample weighting as an explanation for mode collapse in generative adversarial n etworks

Aksel Wilhelm Wold Eide, Eilif Solberg, Ingebjørg Kåsen

Generative adversarial networks were introduced with a logistic MiniMax cost for mulation, which normally fails to train due to saturation, and a Non-Saturating reformulation. While addressing the saturation problem, NS-GAN also inverts the generator's sample weighting, implicitly shifting emphasis from higher-scoring to lower-scoring samples when updating parameters. We present both theory and empirical results suggesting that this makes NS-GAN prone to mode dropping. We design MM-nsat, which preserves MM-GAN sample weighting while avoiding saturation by rescaling the MM-GAN minibatch gradient such that its magnitude approximates NS-GAN's gradient magnitude. MM-nsat has qualitatively different training dynamics, and on MNIST and CIFAR-10 it is stronger in terms of mode coverage, stability and FID. While the empirical results for MM-nsat are promising and favorable als o in comparison with the LS-GAN and Hinge-GAN formulations, our main contribution is to show how and why NS-GAN's sample weighting causes mode dropping and training collapse.

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Connection- and Node-Sparse Deep Learning: Statistical Guarantees Johannes Lederer

Neural networks are becoming increasingly popular in applications, but a compreh ensive mathematical understanding of their potentials and limitations is still m issing. In this paper, we study the prediction accuracies of neural networks from a statistical point of view. In particular, we establish statistical prediction guarantees for deep learning with different types of sparsity-inducing regular ization. Our bounds feature a mild dependence on network widths and depths, and, therefore, support the current trend toward wide and deep networks. The tools that we use in our derivations are uncommon in deep learning and, hence, might be of additional interest.

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Improve Object Detection with Feature-based Knowledge Distillation: Towards Accurate and Efficient Detectors

Linfeng Zhang, Kaisheng Ma

Knowledge distillation, in which a student model is trained to mimic a teacher m odel, has been proved as an effective technique for model compression and model accuracy boosting. However, most knowledge distillation methods, designed for im

age classification, have failed on more challenging tasks, such as object detect ion. In this paper, we suggest that the failure of knowledge distillation on object detection is mainly caused by two reasons: (1) the imbalance between pixels of foreground and background and (2) lack of distillation on the relation between different pixels. Observing the above reasons, we propose attention-guided distillation and non-local distillation to address the two problems, respectively. Attention-guided distillation is proposed to find the crucial pixels of foreground objects with attention mechanism and then make the students take more effort

und objects with attention mechanism and then make the students take more effort to learn their features. Non-local distillation is proposed to enable students to learn not only the feature of an individual pixel but also the relation betwe en different pixels captured by non-local modules. Experiments show that our met hods achieve excellent AP improvements on both one-stage and two-stage, both anc hor-based and anchor-free detectors. For example, Faster RCNN (ResNet101 backbon e) with our distillation achieves 43.9 AP on COCO2017, which is 4.1 higher than the baseline. Codes have been released on Github.

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Revisiting Dynamic Convolution via Matrix Decomposition

Yunsheng Li, Yinpeng Chen, Xiyang Dai, mengchen liu, Dongdong Chen, Ye Yu, Lu Yuan, Zicheng Liu, Mei Chen, Nuno Vasconcelos

Recent research in dynamic convolution shows substantial performance boost for e fficient CNNs, due to the adaptive aggregation of K static convolution kernels. It has two limitations: (a) it increases the number of convolutional weights by K-times, and (b) the joint optimization of dynamic attention and static convolut ion kernels is challenging. In this paper, we revisit it from a new perspective of matrix decomposition and reveal the key issue is that dynamic convolution app lies dynamic attention over channel groups after projecting into a higher dimens ional latent space. To address this issue, we propose dynamic channel fusion to replace dynamic attention over channel groups. Dynamic channel fusion not only e nables significant dimension reduction of the latent space, but also mitigates the joint optimization difficulty. As a result, our method is easier to train and requires significantly fewer parameters without sacrificing accuracy. Source co de is at https://github.com/liyunsheng13/dcd.

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GraphSAD: Learning Graph Representations with Structure-Attribute Disentanglemen

Minghao Xu, Hang Wang, Bingbing Ni, Wenjun Zhang, Jian Tang

Graph Neural Networks (GNNs) learn effective node/graph representations by aggre gating the attributes of neighboring nodes, which commonly derives a single representation mixing the information of graph structure and node attributes. However, these two kinds of information might be semantically inconsistent and could be useful for different tasks. In this paper, we aim at learning node/graph representations with Structure-Attribute Disentanglement (GraphSAD). We propose to disentangle graph structure and node attributes into two distinct sets of representations, and such disentanglement can be done in either the input or the embedding space. We further design a metric to quantify the extent of such a disentanglement. Extensive experiments on multiple datasets show that our approach can indeed disentangle the semantics of graph structure and node attributes, and it ach ieves superior performance on both node and graph classification tasks.

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Self-supervised Graph-level Representation Learning with Local and Global Struct ure

Minghao Xu, Hang Wang, Bingbing Ni, Hongyu Guo, Jian Tang

This paper focuses on unsupervised/self-supervised whole-graph representation le arning, which is critical in many tasks including drug and material discovery. C urrent methods can effectively model the local structure between different graph instances, but they fail to discover the global semantic structure of the entir e dataset. In this work, we propose a unified framework called Local-instance and Global-semantic Learning (GraphLoG) for self-supervised whole-graph representation learning. Specifically, besides preserving the local instance-level structure, GraphLoG leverages a nonparametric strategy to learn hierarchical prototypes

of the data. These prototypes capture the semantic clusters in the latent space , and the number of prototypes can automatically adapt to different feature dist ributions. We evaluate GraphLoG by pre-training it on massive unlabeled graphs f ollowed by fine-tuning on downstream tasks. Extensive experiments on both chemic al and biological benchmark datasets demonstrate the effectiveness of our approach.

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Towards Multi-Sense Cross-Lingual Alignment of Contextual Embeddings Linlin Liu, Thien Hai Nguyen, Shafiq Joty, Lidong Bing, Luo Si Cross-lingual word embeddings (CLWE) have been proven useful in many cross-lingu al tasks. However, most existing approaches to learn CLWE including the ones wit h contextual embeddings are sense agnostic. In this work, we propose a novel fra mework to align contextual embeddings at the sense level by leveraging cross-lin gual signal from bilingual dictionaries only. We operationalize our framework by first proposing a novel sense-aware cross entropy loss to model word senses exp licitly. The monolingual ELMo and BERT models pretrained with our sense-aware cr oss entropy loss demonstrate significant performance improvement for word sense disambiguation tasks. We then propose a sense alignment objective on top of the sense-aware cross entropy loss for cross-lingual model pretraining, and pretrain cross-lingual models for several language pairs (English to German/Spanish/Japa nese/Chinese). Compared with the best baseline results, our cross-lingual models achieve 0.52%, 2.09% and 1.29% average performance improvements on zero-shot cr oss-lingual NER, sentiment classification and XNLI tasks, respectively. We will release our code.

Improving Adversarial Robustness via Channel-wise Activation Suppressing Yang Bai, Yuyuan Zeng, Yong Jiang, Shu-Tao Xia, Xingjun Ma, Yisen Wang The study of adversarial examples and their activations have attracted significa nt attention for secure and robust learning with deep neural networks (DNNs). D ifferent from existing works, in this paper, we highlight two new characteristic s of adversarial examples from the channel-wise activation perspective: activation magnitudes of adversarial examples are higher than that of natural ex amples; and 2) the channels are activated more uniformly by adversarial examples than natural examples. We find that, while the state-of-the-art defense adversa rial training has addressed the first issue of high activation magnitude via tra ining on adversarial examples, the second issue of uniform activation remains. This motivates us to suppress redundant activations from being activated by adve rsarial perturbations during the adversarial training process, via a Channel-wis e Activation Suppressing (CAS) training strategy. We show that CAS can train a model that inherently suppresses adversarial activations, and can be easily appl ied to existing defense methods to further improve their robustness. Our work pr ovides a simplebut generic training strategy for robustifying the intermediate 1 ayer activations of DNNs.

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DynaTune: Dynamic Tensor Program Optimization in Deep Neural Network Compilation Minjia Zhang, Menghao Li, Chi Wang, Mingqin Li

Recently, the DL compiler, together with Learning to Compile has proven to be a powerful technique for optimizing deep learning models. However, existing method s focus on accelerating the convergence speed of the individual tensor operator rather than the convergence speed of the entire model, which results in long opt imization time to obtain a desired latency.

In this paper, we present a new method called DynaTune, which provides significa ntly faster convergence speed to optimize a DNN model. In particular, we conside r a Multi-Armed Bandit (MAB) model for the tensor program optimization problem. We use UCB to handle the decision-making of time-slot-based optimization, and we devise a Bayesian belief model that allows predicting the potential performance gain of each operator with uncertainty quantification, which guides the optimiz ation process. We evaluate and compare DynaTune with the state-of-the-art DL compiler. The experiment results show that DynaTune is 1.2--2.4 times faster to ach

ieve the same optimization quality for a range of models across different hardware architectures.

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Learning Latent Topology for Graph Matching Tianshu Yu, Runzhong Wang, Junchi Yan, Baoxin Li

Graph matching (GM) has been traditionally modeled as a deterministic optimizati on problem characterized by an affinity matrix under pre-defined graph topology. Though there have been several attempts on learning more effective node-level a ffinity/representation for matching, they still heavily rely on the initial grap h structure/topology which is typically obtained through heuristic ways (e.g. De launey or \$k\$-nearest) and will not be adjusted during the learning process to a dapt to problem-specific patterns. We argue that a standalone graph representati on learning is insufficient for GM task, whereby a GM solver may favor some late nt topology other than pre-defined one. Motivated by this hypothesis, we propose to learn latent graph topology in replacement of the fixed topology as input. To this end, we devise two types of latent graph generation procedures in deterministic and generative fashion, respectively. Particularly, the generative proced ure emphasizes the across-graph consistency and thus can be viewed as a \textbf{co-generative} model. Our methods show superior performance over previous state-of-the-arts on several benchmarks, thus strongly supporting our hypothesis.

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Decoupling Representation Learning from Reinforcement Learning Adam Stooke, Kimin Lee, Pieter Abbeel, Michael Laskin

In an effort to overcome limitations of reward-driven feature learning in deep r einforcement learning (RL) from images, we propose decoupling representation lea rning from policy learning. To this end, we introduce a new unsupervised learni ng (UL) task, called Augmented Temporal Contrast (ATC), which trains a convoluti onal encoder to associate pairs of observations separated by a short time differ ence, under image augmentations and using a contrastive loss. In online RL expe riments, we show that training the encoder exclusively using ATC matches or outp erforms end-to-end RL in most environments. Additionally, we benchmark several leading UL algorithms by pre-training encoders on expert demonstrations and usin g them, with weights frozen, in RL agents; we find that agents using ATC-trained encoders outperform all others. We also train multi-task encoders on data from multiple environments and show generalization to different downstream RL tasks. Finally, we ablate components of ATC, and introduce a new data augmentation to enable replay of (compressed) latent images from pre-trained encoders when RL r equires augmentation. Our experiments span visually diverse RL benchmarks in De epMind Control, DeepMind Lab, and Atari, and our complete code is available at \ url{hidden url}.

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MALI: A memory efficient and reverse accurate integrator for Neural ODEs Juntang Zhuang, Nicha C Dvornek, sekhar tatikonda, James s Duncan

Neural ordinary differential equations (Neural ODEs) are a new family of deep-le arning models with continuous depth. However, the numerical estimation of the gr adient in the continuous case is not well solved: existing implementations of th e adjoint method suffer from inaccuracy in reverse-time trajectory, while the na ive method and the adaptive checkpoint adjoint method (ACA) have a memory cost t hat grows with integration time. In this project, based on the asynchronous leap frog (ALF) solver, we propose the Memory-efficient ALF Integrator (MALI), which has a constant memory cost \$w.r.t\$ integration time similar to the adjoint metho d, and guarantees accuracy in reverse-time trajectory (hence accuracy in gradien t estimation). We validate MALI in various tasks: on image recognition tasks, to our knowledge, MALI is the first to enable feasible training of a Neural ODE on ImageNet and outperform a well-tuned ResNet, while existing methods fail due to either heavy memory burden or inaccuracy; for time series modeling, MALI signif icantly outperforms the adjoint method; and for continuous generative models, MA LI achieves new state-of-the-art performance. We provide a pypi package: https:/ /jzkay12.github.io/TorchDiffEqPack

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CROSS-SUPERVISED OBJECT DETECTION

Zitian Chen, Zhiqiang Shen, Jiahui Yu, Erik Learned-Miller

After learning a new object category from image-level annotations (with no objec t bounding boxes), humans are remarkably good at precisely localizing those obje cts. However, building good object localizers (i.e., detectors) currently requir es expensive instance-level annotations. While some work has been done on learni ng detectors from weakly labeled samples (with only class labels), these detecto rs do poorly at localization. In this work, we show how to build better object d etectors from weakly labeled images of new categories by leveraging knowledge le arned from fully labeled base categories. We call this learning paradigm cross-s upervised object detection. While earlier works investigated this paradigm, they did not apply it to realistic complex images (e.g., COCO), and their performance e was poor. We propose a unified framework that combines a detection head traine d from instance-level annotations and a recognition head learned from image-leve l annotations, together with a spatial correlation module that bridges the gap b etween detection and recognition. These contributions enable us to better detect novel objects with image-level annotations in complex multi-object scenes such as the COCO dataset.

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Weak NAS Predictor Is All You Need

Junru Wu, Xiyang Dai, Dongdong Chen, Yinpeng Chen, Mengchen Liu, Ye Yu, Zhangyang Wang, Zicheng Liu, Mei Chen, Lu Yuan

Neural Architecture Search (NAS) finds the best network architecture by explorin q the architecture-to-performance manifold. It often trains and evaluates a larg e amount of architectures, causing tremendous computation cost. Recent predictor -based NAS approaches attempt to solve this problem with two key steps: sampling some architecture-performance pairs and fitting a proxy accuracy predictor. Exi sting predictors attempt to model the performance distribution over the whole ar chitecture space, which could be too challenging given limited samples. Instead, we envision that this ambitious goal may not be necessary if the final aim is t o find the best architecture. We present a novel framework to estimate weak pred ictors progressively. Rather than expecting a single strong predictor to model t he whole space, we seek a progressive line of weak predictors that can connect a path to the best architecture, thus greatly simplifying the learning task of ea ch predictor. It is based on the key property of the predictors that their proba bilities of sampling better architectures will keep increasing. We thus only sam ple a few well-performed architectures guided by the predictive model, to estima te another better weak predictor. By this coarse-to-fine iteration, the ranking of sampling space is refined gradually, which helps find the optimal architectur es eventually. Experiments demonstrate that our method costs fewer samples to fi nd the top-performance architectures on NAS-Bench-101 and NAS-Bench-201, and it achieves the state-of-the-art ImageNet performance on the NASNet search space.

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VECO: Variable Encoder-decoder Pre-training for Cross-lingual Understanding and Generation

Fuli Luo, Wei Wang, Jiahao Liu, Yijia Liu, Bin Bi, Songfang Huang, Fei Huang, Luo Si Recent studies about learning multilingual representations have achieved significant performance gains across a wide range of downstream cross-lingual tasks. They train either an encoder-only Transformer mainly for understanding tasks, or an encoder-decoder Transformer specifically for generation tasks, ignoring the correlation between the two tasks and frameworks. In contrast, this paper presents a variable encoder-decoder (VECO) pre-training approach to unify the two mainst reams in both model architectures and pre-training tasks. VECO splits the standard Transformer block into several sub-modules trained with both inner-sequence and cross-sequence masked language modeling, and correspondingly reorganizes certain sub-modules for understanding and generation tasks during inference. Such a workflow not only ensures to train the most streamlined parameters necessary for two kinds of tasks, but also enables them to boost each other via sharing common sub-modules. As a result, VECO delivers new state-of-the-art results on various cross-lingual understanding tasks of the XTREME benchmark covering text classi

fication, sequence labeling, question answering, and sentence retrieval. For gen eration tasks, VECO also outperforms all existing cross-lingual models and state -of-the-art Transformer variants on WMT14 English-to-German and English-to-French translation datasets, with gains of up to  $1\sim2$  BLEU.

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SelfNorm and CrossNorm for Out-of-Distribution Robustness

Zhiqiang Tang, Yunhe Gao, Yi Zhu, Zhi Zhang, Mu Li, Dimitris N. Metaxas

Normalization techniques are crucial in stabilizing and accelerating the trainin g of deep neural networks. However, they are mainly designed for the independent and identically distributed (IID) data, not satisfying many real-world out-of-d istribution (OOD) situations. Unlike most previous works, this paper presents tw o normalization methods, SelfNorm and CrossNorm, to promote OOD generalization. SelfNorm uses attention to recalibrate statistics (channel-wise mean and varianc e), while CrossNorm exchanges the statistics between feature maps. SelfNorm and CrossNorm can complement each other in OOD generalization, though exploring diff erent directions in statistics usage. Extensive experiments on different domains (vision and language), tasks (classification and segmentation), and settings (supervised and semi-supervised) show their effectiveness.

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Meta-Model-Based Meta-Policy Optimization

Takuya Hiraoka, Takahisa Imagawa, Voot Tangkaratt, Takayuki Osa, Takashi Onishi, Yosh imasa Tsuruoka

Model-based reinforcement learning (MBRL) has been applied to meta-learning settings and has demonstrated its high sample efficiency.

However, in previous MBRL for meta-learning settings, policies are optimized via rollouts that fully rely on a predictive model of an environment.

Thus, its performance in a real environment tends to degrade when the predictive model is inaccurate.

In this paper, we prove that performance degradation can be suppressed by using branched meta-rollouts.

On the basis of this theoretical analysis, we propose Meta-Model-based Meta-Poli cy Optimization (M3PO), in which the branched meta-rollouts are used for policy optimization.

We demonstrate that M3PO outperforms existing meta reinforcement learning method s in continuous-control benchmarks.

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On the Marginal Regret Bound Minimization of Adaptive Methods Wenjie Li, Guang Cheng

Numerous adaptive algorithms such as AMSGrad and Radam have been proposed and ap plied to deep learning recently. However, these modifications do not improve the convergence rate of adaptive algorithms and whether a better algorithm exists s till remains an open question. In this work, we propose a new motivation for des igning the proximal function of adaptive algorithms, named as marginal regret bo und minimization. Based on such an idea, we propose a new class of adaptive algorithms that not only achieves marginal optimality but can also potentially converge much faster than any existing adaptive algorithms in the long term. We show the superiority of the new class of adaptive algorithms both theoretically and empirically using experiments in deep learning.

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Bag of Tricks for Adversarial Training

Tianyu Pang, Xiao Yang, Yinpeng Dong, Hang Su, Jun Zhu

Adversarial training (AT) is one of the most effective strategies for promoting model robustness. However, recent benchmarks show that most of the proposed improvements on AT are less effective than simply early stopping the training proced ure. This counter-intuitive fact motivates us to investigate the implementation details of tens of AT methods. Surprisingly, we find that the basic settings (e.g., weight decay, training schedule, etc.) used in these methods are highly inconsistent. In this work, we provide comprehensive evaluations on CIFAR-10, focusing on the effects of mostly overlooked training tricks and hyperparameters for a dversarially trained models. Our empirical observations suggest that adversarial

robustness is much more sensitive to some basic training settings than we thought. For example, a slightly different value of weight decay can reduce the model robust accuracy by more than \$7\%\$, which is probable to override the potential promotion induced by the proposed methods. We conclude a baseline training setting and re-implement previous defenses to achieve new state-of-the-art results. These facts also appeal to more concerns on the overlooked confounders when benchmarking defenses.

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Rethinking Sampling in 3D Point Cloud Generative Adversarial Networks He Wang, Zetian Jiang, Li Yi, Kaichun Mo, Hao Su, Leonidas Guibas

In this paper, we examine the long-neglected yet important effects of point sampling patterns in point cloud GANs. Through extensive experiments, we show that sampling-insensitive discriminators (e.g. PointNet-Max) produce shape point clouds with point clustering artifacts while sampling-oversensitive discriminators (e.g. PointNet++, DGCNN, PointConv, KPConv) fail to guide valid shape generation. We propose the concept of sampling spectrum to depict the different sampling sensitivities of discriminators. We further study how different evaluation metrics weigh the sampling pattern against the geometry and propose several perceptual metrics forming a sampling spectrum of metrics. Guided by the proposed sampling spectrum, we discover a middle-point sampling-aware baseline discriminator, PointNet-Mix, which improves all existing point cloud generators by a large margin on sampling-related metrics. We point out that, given that recent research has been focused on the generator design, the discriminator design needs more attention. Our work provides both suggestions and tools for building future discriminators. We will release the code to facilitate future research.

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Robustness against Relational Adversary

Yizhen Wang, Xiaozhu Meng, Mihai Christodorescu, Somesh Jha, Ke Wang

Test-time adversarial attacks have posed serious challenges to the robustness of machine-learning models, and in many settings the adversarial perturbation need not be bounded by small lp-norms. Motivated by the semantics-preserving attack s in vision and security domain, we investigate relational adversaries, a broad class of attackers who create adversarial examples that are in a remexive-transitive closure of a logical relation. We analyze the conditions for robustness and propose normalize-and-predict - a learning framework with provable robustness guarantee. We compare our approach with adversarial training and derive an unimed framework that provides benewits of both approaches. Guided by our theoretical machines, we apply our framework to malware detection and image classification. Results of both tasks show that attacks using relational adversaries frequently foo lexisting models, but our unimed framework can significantly enhance their robustness.

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Self-Pretraining for Small Datasets by Exploiting Patch Information Zhang Chunyang

Deep learning tasks with small datasets are often tackled by pretraining model s with large datasets on relevent tasks. Although pretraining methods mitigate t he problem of overfitting, it can be difficult to find appropriate pretrained mo dels sometimes. In this paper, we proposed a self-pretraining method by exploit ing patch information in the dataset itself without pretraining on other dataset s. Our experiments show that the self-pretraining method leads to better perform ance than training from scratch both in the condition of not using other data.

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Dual Graph Complementary Network

Chenhua Liu, Kun Zhan

As a powerful representation learning method on graph data, graph neural network s (GNNs) have shown great popularity in tackling graph analytic problems. Althou gh many attempts have been made in literatures to find strategies about extracting better embedding of the target nodes, few of them consider this issue from a comprehensive perspective. Most of current GNNs usually employ some single metho

d which can commendably extract a certain kind of feature but some equally important features are often ignored. In this paper, we develop a novel dual graph complementary network (DGCN) to learn representation complementarily. We use two different branches, and inputs of the two branches are the same, which are composed of structure and feature information. At the same time, there is also a complementary relationship between the two branches. Beyond that, our extensive experiments show that DGCN outperforms state-of-the-art methods on five public benchmark datasets.

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Graph View-Consistent Learning Network

Zhuolin Liao, Kun Zhan

Recent years, methods based on neural networks have made great achievements in s olving large and complex graph problems. However, high efficiency of these metho ds depends on large training and validation sets, while the acquisition of groun d-truth labels is expensive and time-consuming. In this paper, a graph view-cons istent learning network (GVCLN) is specially designed for the semi-supervised le arning when the number of the labeled samples is very small. We fully exploit th e neighborhood aggregation capability of GVCLN and use dual views to obtain diff erent representations. Although the two views have different viewing angles, the ir observation objects are the same, so their observation representations need t o be consistent. For view-consistent representations between two views, two loss functions are designed besides a supervised loss. The supervised loss uses the known labeled set, while a view-consistent loss is applied to the two views to o btain the consistent representation and a pseudo-label loss is designed by using the common high-confidence predictions. GVCLN with these loss functions can obt ain the view-consistent representations of the original feature. We also find th at preprocessing the node features with specific filter before training is good for subsequent classification tasks. Related experiments have been done on the t hree citation network datasets of Cora, Citeseer, and PubMed. On several node cl assification tasks, GVCLN achieves state-of-the-art performance.

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Robust Loss Functions for Complementary Labels Learning Defu Liu, Guowu Yang

In ordinary-label learning, the correct label is given to each training sample. Similarly, a complementary label is also provided for each training sample in complementary-label learning. A complementary label indicates a class that the example does not belong to. Robust learning of classifiers has been investigated from many viewpoints under label noise, but little attention has been paid to complementary-label learning. In this paper, we present a new algorithm of complementary-label learning with the robustness of loss function. We also provide two sufficient conditions on a loss function so that the minimizer of the risk for complementary labels is theoretically guaranteed to be consistent with the minimizer of the risk for ordinary labels. Finally, the empirical results validate our method's superiority to current state-of-the-art techniques. Especially in cifarl 0, our algorithm achieves a much higher test accuracy than the gradient ascent a lgorithm, and the parameters of our model are less than half of the ResNet-34 they used.

Learning to Generate 3D Shapes with Generative Cellular Automata Dongsu Zhang, Changwoon Choi, Jeonghwan Kim, Young Min Kim

In this work, we present a probabilistic 3D generative model, named Generative C ellular Automata, which is able to produce diverse and high quality shapes. We f ormulate the shape generation process as sampling from the transition kernel of a Markov chain, where the sampling chain eventually evolves to the full shape of the learned distribution. The transition kernel employs the local update rules of cellular automata, effectively reducing the search space in a high-resolution 3D grid space by exploiting the connectivity and sparsity of 3D shapes. Our progressive generation only focuses on the sparse set of occupied voxels and their neighborhood, thus enables the utilization of an expressive sparse convolutional network. We propose an effective training scheme to obtain the local homogeneou

s rule of generative cellular automata with sequences that are slightly differen t from the sampling chain but converge to the full shapes in the training data. Extensive experiments on probabilistic shape completion and shape generation dem onstrate that our method achieves competitive performance against recent methods

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Learning Graph Normalization for Graph Neural Networks Yihao Chen, Xin Tang, Xianbiao Qi, Chun-Guang Li, Rong Xiao

Graph Neural Networks (GNNs) have emerged as a useful paradigm to process graphstructured data. Usually, GNNs are stacked to multiple layers and the node repre sentations in each layer are computed through propagating and aggre- gating the neighboring node features with respect to the graph. To effectively train a GNN with multiple layers, some normalization techniques are necessary. Though the ex isting normalization techniques have been shown to accelerate train- ing GNNs, t he structure information on the graph is ignored yet. In this paper, we propose two graph-aware normalization methods to effectively train GNNs. Then, by taking into account that normalization methods for GNNs are highly task-relevant and i t is hard to know in advance which normalization method is the best, we propose to learn attentive graph normalization by optimizing a weighted combination of m ultiple graph normalization methods at different scales. By op-timizing the com bination weights, we can automatically select the best or the best combination o f multiple normalization methods for a specific task. We con-duct extensive exp eriments on benchmark datasets for different tasks and confirm that the graph-aw are normalization methods lead to promising results and that the learned weights suggest the more appropriate normalization methods for specific task

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SEED: Self-supervised Distillation For Visual Representation Zhiyuan Fang, Jianfeng Wang, Lijuan Wang, Lei Zhang, Yezhou Yang, Zicheng Liu This paper is concerned with self-supervised learning for small models. The prob lem is motivated by our empirical studies that while the widely used contrastive self-supervised learning method has shown great progress on large model trainin g, it does not work well for small models. To address this problem, we propose a new learning paradigm, named \$\textbf{SE}\$lf-Sup\$\textbf{E}\\$rvised \$\textbf{D}\\$ istillation (\${\large S}\$EED), where we leverage a larger network (as Teacher) t o transfer its representational knowledge into a smaller architecture (as Studen t) in a self-supervised fashion. Instead of directly learning from unlabeled dat a, we train a student encoder to mimic the similarity score distribution inferre d by a teacher over a set of instances. We show that \${\large S}\EED dramaticall y boosts the performance of small networks on downstream tasks. Compared with se lf-supervised baselines, \${\large S}\$EED improves the top-1 accuracy from 42.2% to 67.6% on EfficientNet-BO and from 36.3% to 68.2% on MobileNet-v3-Large on the ImageNet-1k dataset.

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Long-tailed Recognition by Routing Diverse Distribution-Aware Experts Xudong Wang, Long Lian, Zhongqi Miao, Ziwei Liu, Stella Yu

Natural data are often long-tail distributed over semantic classes. Existing re cognition methods tackle this imbalanced classification by placing more emphasis on the tail data, through class re-balancing/re-weighting or ensembling over different data groups, resulting in increased tail accuracies but reduced head accuracies.

We take a dynamic view of the training data and provide a principled model bias and variance analysis as the training data fluctuates: Existing long-tail classi fiers invariably increase the model variance and the head-tail model bias gap re mains large, due to more and larger confusion with hard negatives for the tail. We propose a new long-tailed classifier called RoutIng Diverse Experts (RIDE). It reduces the model variance with multiple experts, reduces the model bias with a distribution-aware diversity loss, reduces the computational cost with a dyna mic expert routing module. RIDE outperforms the state-of-the-art by 5% to 7% on CIFAR100-LT, ImageNet-LT and iNaturalist 2018 benchmarks. It is also a univers al framework that is applicable to various backbone networks, long-tailed algori

thms and training mechanisms for consistent performance gains. Our code is avail able at: https://github.com/frank-xwang/RIDE-LongTailRecognition.

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PDE-Driven Spatiotemporal Disentanglement

Jérémie Donà, Jean-Yves Franceschi, sylvain lamprier, patrick gallinari

A recent line of work in the machine learning community addresses the problem of predicting high-dimensional spatiotemporal phenomena by leveraging specific too ls from the differential equations theory. Following this direction, we propose in this article a novel and general paradigm for this task based on a resolution method for partial differential equations: the separation of variables. This in spiration allows us to introduce a dynamical interpretation of spatiotemporal di sentanglement. It induces a principled model based on learning disentangled spat ial and temporal representations of a phenomenon to accurately predict future ob servations. We experimentally demonstrate the performance and broad applicabilit y of our method against prior state-of-the-art models on physical and synthetic video datasets.

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Generalization in data-driven models of primary visual cortex

Konstantin-Klemens Lurz, Mohammad Bashiri, Konstantin Willeke, Akshay Jagadish, Eric Wang, Edgar Y. Walker, Santiago A Cadena, Taliah Muhammad, Erick Cobos, Andreas S. Tolias, Alexander S Ecker, Fabian H. Sinz

Deep neural networks (DNN) have set new standards at predicting responses of neu ral populations to visual input. Most such DNNs consist of a convolutional netw ork (core) shared across all neurons which learns a representation of neural com putation in visual cortex and a neuron-specific readout that linearly combines t he relevant features in this representation. The goal of this paper is to test w hether such a representation is indeed generally characteristic for visual corte  $\mathbf{x}$ , i.e. generalizes between animals of a species, and what factors contribute to obtaining such a generalizing core. To push all non-linear computations into th e core where the generalizing cortical features should be learned, we devise a n ovel readout that reduces the number of parameters per neuron in the readout by up to two orders of magnitude compared to the previous state-of-the-art. It does so by taking advantage of retinotopy and learns a Gaussian distribution over th e neuron's receptive field position. With this new readout we train our network on neural responses from mouse primary visual cortex (V1) and obtain a gain in performance of 7% compared to the previous state-of-the-art network. We then in vestigate whether the convolutional core indeed captures general cortical featur es by using the core in transfer learning to a different animal. When transferr ing a core trained on thousands of neurons from various animals and scans we exc eed the performance of training directly on that animal by 12%, and outperform a commonly used VGG16 core pre-trained on imagenet by 33%. In addition, transfer learning with our data-driven core is more data-efficient than direct training, achieving the same performance with only 40% of the data. Our model with its nov el readout thus sets a new state-of-the-art for neural response prediction in mo use visual cortex from natural images, generalizes between animals, and captures better characteristic cortical features than current task-driven pre-training a pproaches such as VGG16.

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Non-Inherent Feature Compatible Learning

Yantao Shen, Fanzi Wu, Ying Shan

The need of Feature Compatible Learning (FCL) arises from many large scale retri eval-based applications, where updating the entire library of embedding vectors is expensive. When an upgraded embedding model shows potential, it is desired to transform the benefit of the new model without refreshing the library. While pr ogresses have been made along this new direction, existing approaches for featur e compatible learning mostly rely on old training data and classifiers, which ar e not available in many industry settings. In this work, we introduce an approach for feature compatible learning without inheriting old classifier and training data, i.e., Non-Inherent Feature Compatible Learning. Our approach requires only features extracted by \emph{old} model's backbone and \emph{new} training data

, and makes no assumption about the overlap between old and new training data. We propose a unified framework for FCL, and extend it to handle the case where the old model is a black-box. Specifically, we learn a simple pseudo classifier in lieu of the old model, and further enhance it with a random walk algorithm. As a result, the embedding features produced by the new model can be matched with those from the old model without sacrificing performance. Experiments on ImageN et ILSVRC 2012 and Places365 data proved the efficacy of the proposed approach.

FLAG: Adversarial Data Augmentation for Graph Neural Networks Kezhi Kong, Guohao Li, Mucong Ding, Zuxuan Wu, Chen Zhu, Bernard Ghanem, Gavin Taylor, Tom Goldstein

Data augmentation helps neural networks generalize better, but it remains an ope n question how to effectively augment graph data to enhance the performance of G NNs (Graph Neural Networks). While most existing graph regularizers focus on augmenting graph topological structures by adding/removing edges, we offer a novel direction to augment in the input node feature space for better performance. We propose a simple but effective solution, FLAG (Free Large-scale Adversarial Augmentation on Graphs), which iteratively augments node features with gradient-based adversarial perturbations during training, and boosts performance at test time. Empirically, FLAG can be easily implemented with a dozen lines of code and is flexible enough to function with any GNN backbone, on a wide variety of large-scale datasets, and in both transductive and inductive settings. Without modifying a model's architecture or training setup, FLAG yields a consistent and salient performance boost across both node and graph classification tasks. Using FLAG, we reach state-of-the-art performance on the large-scale ogbg-molpcba, ogbg-ppa, and ogbg-code datasets.

VEM-GCN: Topology Optimization with Variational EM for Graph Convolutional Networks

Rui Yang, Wenrui Dai, Chenglin Li, Junni Zou, Hongkai Xiong

Over-smoothing has emerged as a severe problem for node classification with grap h convolutional networks (GCNs). In the view of message passing, the over-smooth ing issue is caused by the observed noisy graph topology that would propagate in formation along inter-class edges, and consequently, over-mix the features of no des in different classes. In this paper, we propose a novel architecture, namely VEM-GCN, to address this problem by employing the variational EM algorithm to j ointly optimize the graph topology and learn desirable node representations for classification. Specifically, variational EM approaches a latent adjacency matri x parameterized by the assortative-constrained stochastic block model (SBM) to e nhance intra-class connection and suppress inter-class interaction of the observ ed noisy graph. In the variational E-step, graph topology is optimized by approx imating the posterior probability distribution of the latent adjacency matrix wi th a neural network learned from node embeddings. In the M-step, node representa tions are learned using the graph convolutional network based on the refined gra ph topology for the downstream task of classification. VEM-GCN is demonstrated t o outperform existing strategies for tackling over-smoothing and optimizing grap h topology in node classification on seven benchmark datasets.

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Do 2D GANs Know 3D Shape? Unsupervised 3D Shape Reconstruction from 2D Image GAN s

Xingang Pan, Bo Dai, Ziwei Liu, Chen Change Loy, Ping Luo

Natural images are projections of 3D objects on a 2D image plane. While state-of -the-art 2D generative models like GANs show unprecedented quality in modeling t he natural image manifold, it is unclear whether they implicitly capture the und erlying 3D object structures. And if so, how could we exploit such knowledge to recover the 3D shapes of objects in the images? To answer these questions, in th is work, we present the first attempt to directly mine 3D geometric cues from an off-the-shelf 2D GAN that is trained on RGB images only. Through our investigat ion, we found that such a pre-trained GAN indeed contains rich 3D knowledge and thus can be used to recover 3D shape from a single 2D image in an unsupervised m

anner. The core of our framework is an iterative strategy that explores and exploits diverse viewpoint and lighting variations in the GAN image manifold. The framework does not require 2D keypoint or 3D annotations, or strong assumptions on object shapes (e.g. shapes are symmetric), yet it successfully recovers 3D shapes with high precision for human faces, cats, cars, and buildings. The recovered 3D shapes immediately allow high-quality image editing like relighting and object rotation. We quantitatively demonstrate the effectiveness of our approach compared to previous methods in both 3D shape reconstruction and face rotation. Our code is available at https://github.com/XingangPan/GAN2Shape.

Rethinking Soft Labels for Knowledge Distillation: A Bias-Variance Tradeoff Pers pective

Helong Zhou, Liangchen Song, Jiajie Chen, Ye Zhou, Guoli Wang, Junsong Yuan, Qian Zhan g

Knowledge distillation is an effective approach to leverage a well-trained netwo rk or an ensemble of them, named as the teacher, to guide the training of a stud ent network. The outputs from the teacher network are used as soft labels for s upervising the training of a new network. Recent studies (M ■uller et al., 2019 ; Yuan et al., 2020) revealed an intriguing property of the soft labels that mak ing labels soft serves as a good regularization to the student network. he perspective of statistical learning, regularization aims to reduce the varia nce, however how bias and variance change is not clear for training with soft l In this paper, we investigate the bias-variance tradeoff brought by dis tillation with soft labels. Specifically, we observe that during training the bias-variance tradeoff varies sample-wisely. Further, under the same distillati on temperature setting, we observe that the distillation performance is negative ly associated with the number of some specific samples, which are named as regul arization samples since these samples lead to bias increasing and variance decre asing. Nevertheless, we empirically find that completely filtering out regulari zation samples also deteriorates distillation performance. Our discoveries insp ired us to propose the novel weighted soft labels to help the network adaptively handle the sample-wise bias-variance tradeoff. Experiments on standard evaluat ion benchmarks validate the effectiveness of our method. Our code is available i n the supplementary.

Detection Booster Training: A detection booster training method for improving the accuracy of classifiers.

Ali Ghobadzadeh, Deepak Sridhar, Juwei Lu, Wei Li

Deep learning models owe their success at large, to the availability of a large amount of annotated data. They try to extract features from the data that contai n useful information needed to improve their performance on target applications. Most works focus on directly optimizing the target loss functions to improve th e accuracy by allowing the model to implicitly learn representations from the da ta. There has not been much work on using background/noise data to estimate the statistics of in-domain data to improve the feature representation of deep neura l networks. In this paper, we probe this direction by deriving a relationship be tween the estimation of unknown parameters of the probability density function ( pdf) of input data and classification accuracy. Using this relationship, we show that having a better estimate of the unknown parameters using background and in -domain data provides better features which leads to better accuracy. Based on t his result, we introduce a simple but effective detection booster training (DBT) method that applies a detection loss function on the early layers of a neural n etwork to discriminate in-domain data points from noise/background data, to impr ove the classifier accuracy. The background/noise data comes from the same famil y of pdfs of input data but with different parameter sets (e.g., mean, variance) . In addition, we also show that our proposed DBT method improves the accuracy e ven with limited labeled in-domain training samples as compared to normal traini ng. We conduct experiments on face recognition, image classification, and speake r classification problems and show that our method achieves superior performance over strong baselines across various datasets and model architectures.

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Distributed Momentum for Byzantine-resilient Stochastic Gradient Descent El Mahdi El Mhamdi, Rachid Guerraoui, Sébastien Rouault

Byzantine-resilient Stochastic Gradient Descent (SGD) aims at shielding model training from Byzantine faults, be they ill-labeled training datapoints, exploited software/hardware vulnerabilities, or malicious worker nodes in a distributed setting.

Two recent attacks have been challenging state-of-the-art defenses though, often successfully precluding the model from even fitting the training set.

The main identified weakness in current defenses is their requirement of a sufficiently low variance-norm ratio for the stochastic gradients.

We propose a practical method which, despite increasing the variance, reduces the variance-norm ratio, mitigating the identified weakness.

We assess the effectiveness of our method over 736 different training configurations, comprising the 2 state-of-the-art attacks and 6 defenses.

For confidence and reproducibility purposes, each configuration is run 5 times with specified seeds (1 to 5), totalling 3680 runs.

In our experiments, when the attack is effective enough to decrease the highest observed top-1 cross-accuracy by at least 20% compared to the unattacked run, our technique systematically increases back the highest observed accuracy, and is able to recover at least 20% in more than 60% of the cases.

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Can Kernel Transfer Operators Help Flow based Generative Models? Zhichun Huang, Rudrasis Chakraborty, Xingjian Zhen, Vikas Singh Flow-based generative models refer to deep generative models with tractable likelihoods, and offer several attractive properties including efficient density estimation and sampling. Despite many advantages, current formulations (e.g., normalizing flow) often have an expensive memory/run time footprint, which hinders their use in a number of applications. In this paper, we consider the setting where we have access to an autoencoder, w

suitably effective for the dataset of interest. Under some mild conditions, we show that we can calculate a mapping to a RKHS which subsequently enables deploying

mature ideas from the kernel methods literature for flow-based generative models . Specifically, we can explicitly map the RKHS distribution (i.e.,

approximate the flow) to match or align with

a template/well-characterized distribution, via kernel transfer operators. This leads to a direct and resource efficient approximation avoiding iterative optimi zation. We empirically show that this simple idea yields competitive results on popular datasets such as CelebA,

as well as promising results on a public 3D brain imaging dataset where the samp le sizes are much smaller.

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Stochastic Canonical Correlation Analysis: A Riemannian Approach Zihang Meng, Rudrasis Chakraborty, Vikas Singh

We present an efficient stochastic algorithm (RSG+) for canonical correlation a nalysis (CCA) derived via a differential geometric perspective of the underlying optimization task. We show that exploiting the Riemannian structure of the problem reveals natural strategies for modified forms of manifold stochastic gradien t descent schemes that have been variously used in the literature for numerical optimization on manifolds. Our developments complement existing methods for this problem which either require  $0(d^3)$  time complexity per iteration with  $0(f^2 ac\{1\}{\sqrt{t}\})$  convergence rate (where \$d\$ is the dimensionality) or only ext ract the top \$1\$ component with  $0(\frac{1}{t})$  convergence rate. In contrast, our algorithm achieves  $0(d^2k)$  runtime complexity per iteration for extracting top \$k\$ canonical components with  $0(\frac{1}{t})$  convergence rate. We present our theoretical analysis as well as experiments describing the empirical behavi or of our algorithm, including a potential application of this idea for training fair models where the label of protected attribute is missing or otherwise unav

ailable.

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Secure Network Release with Link Privacy

Carl Yang, Haonan Wang, Ke ZHANG, Lichao Sun

Many data mining and analytical tasks rely on the abstraction of networks (graph s) to summarize relational structures among individuals (nodes). Since relational data are often sensitive, we aim to seek effective approaches to release utility-preserved yet privacy-protected structured data. In this paper, we leverage the differential privacy (DP) framework, to formulate and enforce rigorous privacy constraints on deep graph generation models, with a focus on edge-DP to guarantee individual link privacy. In particular, we enforce edge-DP by injecting Gaussian noise to the gradients of a link reconstruction based graph generation model, and ensure data utility by improving structure learning with structure-oriented graph comparison. Extensive experiments on two real-world network datasets show that our proposed DPGGAN model is able to generate networks with effectively preserved global structure and rigorously protected individual link privacy.

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Scaling the Convex Barrier with Active Sets

Alessandro De Palma, Harkirat Behl, Rudy R Bunel, Philip Torr, M. Pawan Kumar Tight and efficient neural network bounding is of critical importance for the sc aling of neural network verification systems. A number of efficient specialised dual solvers for neural network bounds have been presented recently, but they ar e often too loose to verify more challenging properties. This lack of tightness is linked to the weakness of the employed relaxation, which is usually a linear program of size linear in the number of neurons. While a tighter linear relaxati on for piecewise linear activations exists, it comes at the cost of exponentiall y many constraints and thus currently lacks an efficient customised solver. We a lleviate this deficiency via a novel dual algorithm that realises the full poten tial of the new relaxation by operating on a small active set of dual variables. Our method recovers the strengths of the new relaxation in the dual space: tigh tness and a linear separation oracle. At the same time, it shares the benefits o f previous dual approaches for weaker relaxations: massive parallelism, GPU impl ementation, low cost per iteration and valid bounds at any time. As a consequence e, we obtain better bounds than off-the-shelf solvers in only a fraction of thei r running time and recover the speed-accuracy trade-offs of looser dual solvers if the computational budget is small. We demonstrate that this results in signif icant formal verification speed-ups.

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Model Compression via Hyper-Structure Network Shangqian Gao, Feihu Huang, Heng Huang

In this paper, we propose a novel channel pruning method to solve the problem of compression and acceleration of Convolutional Neural Networks (CNNs). Previous channel pruning methods usually ignore the relationships between channels and la yers. Many of them parameterize each channel independently by using gates or sim ilar concepts. To fill this gap, a hyper-structure network is proposed to genera te the architecture of the main network. Like the existing hypernet, our hyper-s tructure network can be optimized by regular backpropagation. Moreover, we use a regularization term to specify the computational resource of the compact networ k. Usually, FLOPs is used as the criterion of computational resource. However, i f FLOPs is used in the regularization, it may over penalize early layers. To add ress this issue, we further introduce learnable layer-wise scaling factors to ba lance the gradients from different terms, and they can be optimized by hyper-gradient descent. Extensive experimental results on CIFAR-10 and ImageNet show that our method is competitive with state-of-the-art methods.

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REPAINT: Knowledge Transfer in Deep Actor-Critic Reinforcement Learning Yunzhe Tao, Sahika Genc, TAO SUN, Sunil Mallya

Accelerating the learning processes for complex tasks by leveraging previously 1 earned tasks has been one of the most challenging problems in reinforcement lear ning, especially when the similarity between source and target tasks is low or u

nknown. In this work, we propose a REPresentation-And-INstance Transfer algorith m (REPAINT) for deep actor-critic reinforcement learning paradigm. In representa tion transfer, we adopt a kickstarted training method using a pre-trained teache r policy by introducing an auxiliary cross-entropy loss. In instance transfer, we develop a sampling approach, i.e., advantage-based experience replay, on transitions collected following the teacher policy, where only the samples with high advantage estimates are retained for policy update. We consider both learning an unseen target task by transferring from previously learned teacher tasks and learning a partially unseen task composed of multiple sub-tasks by transferring from a pre-learned teacher sub-task. In several benchmark experiments, REPAINT significantly reduces the total training time and improves the asymptotic performance compared to training with no prior knowledge and other baselines.

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Wasserstein Distributional Normalization : Nonparametric Stochastic Modeling for Handling Noisy Labels

Sung Woo Park, Junseok Kwon

We propose a novel Wasserstein distributional normalization (WDN) algorithm to h andle noisy labels for accurate classification. In this paper, we split our dat a into uncertain and certain samples based on small loss criteria. We investigat e the geometric relationship between these two different types of samples and en hance this relation to exploit useful information, even from uncertain samples.

To this end, we impose geometric constraints on the uncertain samples by normal izing them into the Wasserstein ball centered on certain samples. Experimental r esults demonstrate that our WDN outperforms other state-of-the-art methods on the ClothinglM and CIFAR-10/100 datasets, which have diverse noisy labels. The proposed WDN is highly compatible with existing classification methods, meaning it can be easily plugged into various methods to improve their accuracy significant ly.

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Grounded Compositional Generalization with Environment Interactions Yuanpeng Li

In this paper, we present a compositional generalization approach in grounded ag ent instruction learning. Compositional generalization is an important part of h uman intelligence, but current neural network models do not have such ability. This is more complicated in multi-modal problems with grounding. Our proposed approach has two main ideas. First, we use interactions between agent and the environment to find components in the output. Second, we apply entropy regularization to learn corresponding input components for each output component. The results show the proposed approach significantly outperforms baselines in most tasks, with more than 25% absolute average accuracy increase. We also investigate the impact of entropy regularization and other changes with ablation study. We hope this work is the first step to address grounded compositional generalization, and it will be helpful in advancing artificial intelligence research.

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Distantly Supervised Relation Extraction in Federated Settings

Dianbo Sui, Yubo Chen, Kang Liu, Jun Zhao

Distant supervision is widely used in relation extraction in order to create a l arge-scale training dataset by aligning a knowledge base with unstructured text. Most existing studies in this field have assumed there is a great deal of centr alized unstructured text. However, in practice, text may be distributed on different platforms and cannot be centralized due to privacy restrictions. Therefore, it is worthwhile to investigate distant supervision in the federated learning paradigm, which decouples the training of the model from the need for direct access to the raw text. However, overcoming label noise of distant supervision becomes more difficult in federated settings, because the sentences containing the same entity pair scatter around different platforms. In this paper, we propose a federated denoising framework to suppress label noise in federated settings. The core of this framework is a multiple instance learning based denoising method that is able to select reliable sentences via cross-platform collaboration. Variou

s experimental results on New York Times dataset and miRNA gene regulation relation dataset demonstrate the effectiveness of the proposed method.

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Patch-level Neighborhood Interpolation: A General and Effective Graph-based Reg ularization Strategy

Ke Sun, Bing Yu, Zhouchen Lin, Zhanxing Zhu

Regularization plays a crucial role in machine learning models, especially for d eep neural networks. The existing regularization techniques mainly rely on the i .i.d. assumption and only consider the knowledge from the current sample, withou t the leverage of the neighboring relationship between samples. In this work, we propose a general regularizer called Patch-level Neighborhood Interpolation~(\t extbf{Pani}) that conducts a non-local representation in the computation of netw ork. Our proposal explicitly constructs patch-level graphs in different network layers and then linearly interpolates neighborhood patch features, serving as a general and effective regularization strategy. Further, we customize our approac h into two kinds of popular regularization methods, namely Virtual Adversarial T raining (VAT) and MixUp as well as its variants. The first derived \textbf{Pani VAT} presents a novel way to construct non-local adversarial smoothness by emplo ying patch-level interpolated perturbations. In addition, the second derived \te xtbf{Pani MixUp} method extends the original MixUp regularization and its varian t to the Pani version, achieving a significant improvement in the performance. Finally, extensive experiments are conducted to verify the effectiveness of our Patch-level Neighborhood Interpolation approach in both supervised and semi-supe rvised settings.

BSQ: Exploring Bit-Level Sparsity for Mixed-Precision Neural Network Quantization

Huanrui Yang, Lin Duan, Yiran Chen, Hai Li

Mixed-precision quantization can potentially achieve the optimal tradeoff betwee n performance and compression rate of deep neural networks, and thus, have been widely investigated. However, it lacks a systematic method to determine the exac t quantization scheme. Previous methods either examine only a small manually-des igned search space or utilize a cumbersome neural architecture search to explore the vast search space. These approaches cannot lead to an optimal quantization scheme efficiently. This work proposes bit-level sparsity quantization (BSQ) to tackle the mixed-precision quantization from a new angle of inducing bit-level s parsity. We consider each bit of quantized weights as an independent trainable v ariable and introduce a differentiable bit-sparsity regularizer. BSQ can induce all-zero bits across a group of weight elements and realize the dynamic precisio n reduction, leading to a mixed-precision quantization scheme of the original mo del. Our method enables the exploration of the full mixed-precision space with a single gradient-based optimization process, with only one hyperparameter to tra deoff the performance and compression. BSQ achieves both higher accuracy and hig her bit reduction on various model architectures on the CIFAR-10 and ImageNet da tasets comparing to previous methods.

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Meta-Active Learning in Probabilistically-Safe Optimization

Mariah L Schrum, Mark Connolly, Eric Cole, Mihir Ghetiya, Robert Gross, Matthew C. Go mbolay

Learning to control a safety-critical system with latent dynamics (e.g. for dee p brain stimulation) requires judiciously taking calculated risks to gain inform ation. We present a probabilistically-safe, meta-active learning approach to eff iciently learn system dynamics and optimal configurations. The key to our approach is a novel integration of meta-learning and chance-constrained optimization in which we 1) meta-learn an LSTM-based embedding of the active learning sample h istory, 2) encode a deep learning-based acquisition function with this embedding into a mixed-integer linear program (MILP), and 3) solve the MILP to find the optimal action trajectory, trading off the predicted information gain from the acquisition function and the likelihood of safe control. We set a new state-of-the-art in active learning to control a high-dimensional system with latent dynamic

s, achieving a 46% increase in information gain and a 20% speedup in computation time. We then outperform baseline methods in learning the optimal parameter se ttings for deep brain stimulation in rats to enhance the rats' performance on a cognitive task while safely avoiding unwanted side effects (i.e., triggering sei zures).

Group-Connected Multilayer Perceptron Networks

Mohammad Kachuee, Sajad Darabi, Shayan Fazeli, Majid Sarrafzadeh

Despite the success of deep learning in domains such as image, voice, and graphs , there has been little progress in deep representation learning for domains wit hout a known structure between features. For instance, a tabular dataset of diff erent demographic and clinical factors where the feature interactions are not gi ven as a prior. In this paper, we propose Group-Connected Multilayer Perceptron (GMLP) networks to enable deep representation learning in these domains. GMLP is based on the idea of learning expressive feature combinations (groups) and expl oiting them to reduce the network complexity by defining local group-wise operat ions. During the training phase, GMLP learns a sparse feature grouping matrix us ing temperature annealing softmax with an added entropy loss term to encourage t he sparsity. Furthermore, an architecture is suggested which resembles binary tr ees, where group-wise operations are followed by pooling operations to combine i nformation; reducing the number of groups as the network grows in depth. To eval uate the proposed method, we conducted experiments on different real-world datas ets covering various application areas. Additionally, we provide visualizations on MNIST and synthesized data. According to the results, GMLP is able to success fully learn and exploit expressive feature combinations and achieve state-of-the -art classification performance on different datasets.

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Continuous Transfer Learning

Jun Wu, Jingrui He

Transfer learning has been successfully applied across many high-impact applicat ions. However, most existing work focuses on the static transfer learning settin g, and very little is devoted to modeling the time evolving target domain, such as the online reviews for movies. To bridge this gap, in this paper, we focus on the continuous transfer learning setting with a time evolving target domain. On e major challenge associated with continuous transfer learning is the time evolv ing relatedness of the source domain and the current target domain as the target domain evolves over time. To address this challenge, we first derive a generic generalization error bound on the current target domain with flexible domain dis crepancy measures. Furthermore, a novel label-informed C-divergence is proposed to measure the shift of joint data distributions (over input features and output labels) across domains. It could be utilized to instantiate a tighter error upp er bound in the continuous transfer learning setting, thus motivating us to deve lop an adversarial Variational Auto-encoder algorithm named CONTE by minimizing the C-divergence based error upper bound. Extensive experiments on various data sets demonstrate the effectiveness of our CONTE algorithm.

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Adversarially Robust Federated Learning for Neural Networks Yao Zhou, Jun Wu, Jingrui He

In federated learning, data is distributed among local clients which collaborati vely train a prediction model using secure aggregation. To preserve the privacy of the clients, the federated learning paradigm requires each client to maintain a private local training data set, and only uploads its summarized model update s to the server. In this work, we show that this paradigm could lead to a vulner able model, which collapses in performance when the corrupted data samples (under adversarial manipulations) are used for prediction after model deployment. To improve model robustness, we first decompose the aggregation error of the central server into bias and variance, and then, propose a robust federated learning framework, named Fed\_BVA, that performs on-device adversarial training using the bias-variance oriented adversarial examples supplied by the server via asymmetri

cal communications. The experiments are conducted on multiple benchmark data set s using several prevalent neural network models, and the empirical results show that our framework is robust against white-box and black-box adversarial corrupt ions under both IID and non-IID settings.

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Rethinking Content and Style: Exploring Bias for Unsupervised Disentanglement Xuanchi Ren, Tao Yang, Wenjun Zeng, Yuwang Wang

Content and style (C-S) disentanglement intends to decompose the underlying expl anatory factors of objects into two independent latent spaces. Aiming for unsupe rvised disentanglement, we introduce an inductive bias to our formulation by ass igning different and independent roles to content and style when approximating the real data distributions. The content embeddings of individual images are forced to share a common distribution. The style embeddings encoding instance-specific features are used to customize the shared distribution. The experiments on se veral popular datasets demonstrate that our method achieves the state-of-the-art disentanglement compared to other unsupervised approaches and comparable or even better results than supervised methods. Furthermore, as a new application of C-S disentanglement, we propose to generate multi-view images from a single view image for 3D reconstruction.

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Dual-mode ASR: Unify and Improve Streaming ASR with Full-context Modeling Jiahui Yu, Wei Han, Anmol Gulati, Chung-Cheng Chiu, Bo Li, Tara N Sainath, Yonghui Wu, Ruoming Pang

Streaming automatic speech recognition (ASR) aims to emit each hypothesized word as quickly and accurately as possible, while full-context ASR waits for the com pletion of a full speech utterance before emitting completed hypotheses. In this work, we propose a unified framework, Dual-mode ASR, to train a single end-to-e nd ASR model with shared weights for both streaming and full-context speech reco gnition. We show that the latency and accuracy of streaming ASR significantly be nefit from weight sharing and joint training of full-context ASR, especially wit h inplace knowledge distillation during the training. The Dual-mode ASR framewor k can be applied to recent state-of-the-art convolution-based and transformer-ba sed ASR networks. We present extensive experiments with two state-of-the-art ASR networks, ContextNet and Conformer, on two datasets, a widely used public datas et LibriSpeech and a large-scale dataset MultiDomain. Experiments and ablation s tudies demonstrate that Dual-mode ASR not only simplifies the workflow of traini ng and deploying streaming and full-context ASR models, but also significantly i mproves both emission latency and recognition accuracy of streaming ASR. With Du al-mode ASR, we achieve new state-of-the-art streaming ASR results on both Libri Speech and MultiDomain in terms of accuracy and latency.

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Policy-Driven Attack: Learning to Query for Hard-label Black-box Adversarial Examples

Ziang Yan, Yiwen Guo, Jian Liang, Changshui Zhang

To craft black-box adversarial examples, adversaries need to query the victim mo del and take proper advantage of its feedback. Existing black-box attacks genera lly suffer from high query complexity, especially when only the top-1 decision (i.e., the hard-label prediction) of the victim model is available. In this pape r, we propose a novel hard-label black-box attack named Policy-Driven Attack, to reduce the query complexity. Our core idea is to learn promising search directi ons of the adversarial examples using a well-designed policy network in a novel reinforcement learning formulation, in which the queries become more sensible. E xperimental results demonstrate that our method can significantly reduce the que ry complexity in comparison with existing state-of-the-art hard-label black-box attacks on various image classification benchmark datasets. Code and models for reproducing our results are available at https://github.com/ZiangYan/pda.pytorch

Hierarchical Meta Reinforcement Learning for Multi-Task Environments

Dongyang Zhao, Yue Huang, Changnan Xiao, Yue Li, Shihong Deng

Deep reinforcement learning algorithms aim to achieve human-level intelligence b

y solving practical decisions-making problems, which are often composed of multiple sub-tasks. Complex and subtle relationships between sub-tasks make tradition al methods hard to give a promising solution. We implement a first-person shooting environment with random spatial structures to illustrate a typical representative of this kind. A desirable agent should be capable of balancing between different sub-tasks: navigation to find enemies and shooting to kill them. To address the problem brought by the environment, we propose a Meta Soft Hierarchical reinforcement learning framework (MeSH), in which each low-level sub-policy focuses on a specific sub-task respectively and high-level policy automatically learns to utilize low-level sub-policies through meta-gradients. The proposed framework is able to disentangle multiple sub-tasks and discover proper low-level policies under different situations. The effectiveness and efficiency of the framework are shown by a series of comparison experiments. Both environment and algorithm code will be provided for open source to encourage further research.

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BASGD: Buffered Asynchronous SGD for Byzantine Learning Yi-Rui Yang, Wu-Jun Li

Distributed learning has become a hot research topic due to its wide application in cluster-based large-scale learning, federated learning, edge computing and s o on. Most traditional distributed learning methods typically assume no failure or attack on workers. However, many unexpected cases, such as communication fail ure and even malicious attack, may happen in real applications. Hence, Byzantine learning (BL), which refers to distributed learning with failure or attack, has recently attracted much attention. Most existing BL methods are synchronous, wh ich are impractical in some applications due to heterogeneous or offline workers . In these cases, asynchronous BL (ABL) is usually preferred. In this paper, we propose a novel method, called buffered asynchronous stochastic gradient descent (BASGD), for ABL. To the best of our knowledge, BASGD is the first ABL method t hat can resist malicious attack without storing any instances on server. Compare d with those methods which need to store instances on server, BASGD takes less r isk of privacy leakage. BASGD is proved to be convergent, and be able to resist failure or attack. Empirical results show that BASGD significantly outperforms v anilla ASGD and other ABL baselines when there exists failure or attack on worke

DEEP ADAPTIVE SEMANTIC LOGIC (DASL): COMPILING DECLARATIVE KNOWLEDGE INTO DEEP N EURAL NETWORKS

Karan Sikka, Andrew Silberfarb, John Byrnes, Indranil Sur, Ed Chow, Ajay Divakaran, Richard Rohwer

We introduce Deep Adaptive Semantic Logic (DASL), a novel framework for automating the generation of deep neural networks that incorporates user-provided formal knowledge to improve learning from data. We provide formal semantics that demonstrate that our knowledge representation captures all of first order logic and that finite sampling from infinite domains converges to correct truth values. DASL's representation improves on prior neuro-symbolic work by avoiding vanishing gradients, allowing deeper logical structure, and enabling richer interactions be tween the knowledge and learning components. We illustrate DASL through a toy problem in which we add structure to an image classification problem and demonstrate that knowledge of that structure

reduces data requirements by a factor of 1000. We apply DASL on a visual relationship detection task and demonstrate that the addition of commonsense knowledge improves performance by 10.7% in a data scarce setting.

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Sequential Density Ratio Estimation for Simultaneous Optimization of Speed and Accuracy

Akinori F Ebihara, Taiki Miyagawa, Kazuyuki Sakurai, Hitoshi Imaoka

Classifying sequential data as early and as accurately as possible is a challeng ing yet critical problem, especially when a sampling cost is high. One algorithm that achieves this goal is the sequential probability ratio test (SPRT), which is known as Bayes-optimal: it can keep the expected number of data samples as sm

all as possible, given the desired error upper-bound. However, the original SPRT makes two critical assumptions that limit its application in real-world scenari os: (i) samples are independently and identically distributed, and (ii) the like lihood of the data being derived from each class can be calculated precisely. He re, we propose the SPRT-TANDEM, a deep neural network-based SPRT algorithm that overcomes the above two obstacles. The SPRT-TANDEM sequentially estimates the log-likelihood ratio of two alternative hypotheses by leveraging a novel Loss function for Log-Likelihood Ratio estimation (LLLR) while allowing correlations up to  $N \rightarrow \mathbb{N}$  preceding samples. In tests on one original and two public video databases, Nosaic MNIST, UCF101, and SiW, the SPRT-TANDEM achieves statistically significantly better classification accuracy than other baseline class ifiers, with a smaller number of data samples. The code and Nosaic MNIST are publicly available at https://github.com/TaikiMiyaqawa/SPRT-TANDEM.

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Uncertainty Sets for Image Classifiers using Conformal Prediction Anastasios Nikolas Angelopoulos, Stephen Bates, Michael Jordan, Jitendra Malik Convolutional image classifiers can achieve high predictive accuracy, but quanti ■fying their uncertainty remains an unresolved challenge, hindering their deploy ment in consequential settings. Existing uncertainty quantification techniques, such as Platt scaling, attempt to calibrate the network's probability estimates, but they do not have formal guarantees. We present an algorithm that modifies a ny classifier to output a predictive set containing the true label with a user-s pecified probability, such as 90%. The algorithm is simple and fast like Platt s caling, but provides a formal finite-sample coverage guarantee for every model a nd dataset. Our method modifies an existing conformal prediction algorithm to gi ve more sta ble predictive sets by regularizing the small scores of unlikely cla sses after Platt scaling. In experiments on both Imagenet and Imagenet-V2 with R esNet-152 and other classifiers, our scheme outperforms existing approaches, ach ieving coverage with sets that are often factors of 5 to 10 smaller than a stand -alone Platt scaling baseline.

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L2E: Learning to Exploit Your Opponent

Zhe Wu, Kai Li, Hang Xu, Meng Zhang, Haobo Fu, Bo An, Junliang Xing

Opponent modeling is essential to exploit sub-optimal opponents in strategic int eractions. One key challenge facing opponent modeling is how to fast adapt to op ponents with diverse styles of strategies. Most previous works focus on building explicit models to predict the opponents' styles or strategies directly. Howeve r, these methods require a large amount of data to train the model and lack the adaptability to new opponents of unknown styles. In this work, we propose a nove 1 Learning to Exploit (L2E) framework for implicit opponent modeling. L2E acquir es the ability to exploit opponents by a few interactions with different opponen ts during training so that it can adapt to new opponents with unknown styles dur ing testing quickly. We propose a novel Opponent Strategy Generation (OSG) algor ithm that produces effective opponents for training automatically. By learning t o exploit the challenging opponents generated by OSG through adversarial trainin g, L2E gradually eliminates its own strategy's weaknesses. Moreover, the general ization ability of L2E is significantly improved by training with diverse oppone nts, which are produced by OSG through diversity-regularized policy optimization . We evaluate the L2E framework on two poker games and one grid soccer game, whi ch are the commonly used benchmark for opponent modeling. Comprehensive experime ntal results indicate that L2E quickly adapts to diverse styles of unknown oppon

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Shape Defense

ali borji

Humans rely heavily on shape information to recognize objects. Conversely, convolutional

neural networks (CNNs) are biased more towards texture. This fact is perhaps the main reason why CNNs are susceptible to adversarial examples. Here, we explore how shape bias can be incorporated into CNNs to improve their

robustness. Two algorithms are proposed, based on the observation that edges are invariant to moderate imperceptible perturbations. In the first one, a classifie r is

adversarially trained on images with the edge map as an additional channel. At inference time, the edge map is recomputed and concatenated to the image. In the second algorithm, a conditional GAN is trained to translate the edge maps, from clean and/or perturbed images, into clean images. The inference is done over the generated image corresponding to the input's edge map. A large number of experim ents

with more than 10 data sets have proved the effectiveness of the proposed algorithms against FGSM and `1 PGD-40 attacks. against FGSM and `\$\ell\_\infty\$ P GD-40 attacks.

Further, we show that edge information can a) benefit other adversarial training methods, b) be even more effective

in conjunction with background subtraction, c) be used to defend against poisoning

attacks, and d) make CNNs more robust against natural image corruptions such as motion blur, impulse noise, and JPEG compression, than CNNs trained solely on RGB images. From a broader perspective, our study suggests that CNNs do not adequately account for image structures and operations that are crucial for

robustness. The code is available at: https://github.com/[masked].

Modal Uncertainty Estimation via Discrete Latent Representations Di Qiu, Zhanghan Ke, Peng Su, Lok Ming Lui

Many important problems in the real world don't have unique solutions. It is thu s important for machine learning models to be capable of proposing different pla usible solutions with meaningful probability measures.

In this work we propose a novel deep learning based framework, named {\it modal uncertainty estimation} (MUE), to learn the one-to-many mappings between the in puts and outputs, together with faithful uncertainty estimation.

Motivated by the multi-modal posterior collapse problem in current conditional g enerative models, MUE uses a set of discrete latent variables, each representing a latent mode hypothesis that explains one type of input-output relationship, t o generate the one-to-many mappings. Benefit from the discrete nature of the lat ent representations, MUE can estimate any input the conditional probability dist ribution of the outputs effectively. Moreover, MUE is efficient during training since the discrete latent space and its uncertainty estimation are jointly learn ed.

We also develop the theoretical background of MUE and extensively validate it on both synthetic and realistic tasks. MUE demonstrates (1) significantly more acc urate uncertainty estimation than the current state-of-the-art, and (2) its info rmativeness for practical use.

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Apollo: An Adaptive Parameter-wised Diagonal Quasi-Newton Method for Nonconvex S tochastic Optimization

Xuezhe Ma

In this paper, we introduce Apollo, a quasi-newton method for noncovex stochastic optimization, which dynamically incorporates the curvature of the loss function by approximating the Hessian via a diagonal matrix. Algorithmically, Apollo requires only first-order gradients and updates the approximation of the Hessian diagonally such that it satisfies the weak secant relation. To handle nonconvexity, we replace the Hessian with its absolute value, the computation of which is a lso efficient under our diagonal approximation, yielding an optimization algorithm with linear complexity for both time and memory. Experimentally, through three tasks on vision and language we show that Apollo achieves significant improvements over other stochastic optimization methods, including SGD and variants of A dam, in term of both convergence speed and generalization performance.

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Improving Tail Label Prediction for Extreme Multi-label Learning Tong Wei, Wei-Wei Tu, Yu-Feng Li

Extreme multi-label learning (XML) works to annotate objects with relevant label s from an extremely large label set. Many previous methods treat labels uniforml y such that the learned model tends to perform better on head labels, while the performance is severely deteriorated for tail labels. However, it is often desir able to predict more tail labels in many real-world applications. To alleviate t his problem, in this work, we show theoretical and experimental evidence for the inferior performance of representative XML methods on tail labels. Our finding is that the norm of label classifier weights typically follows a long-tailed dis tribution similar to the label frequency, which results in the over-suppression of tail labels. Base on this new finding, we present two new modules: (1)~\algoa ~learns to re-rank the predictions by optimizing a population-aware loss, which predicts tail labels with high rank; (2)~\algob~augments tail labels via a decou pled learning scheme, which can yield more balanced classification boundary. We conduct experiments on commonly used XML benchmarks with hundreds of thousands o f labels, showing that the proposed methods improve the performance of many stat e-of-the-art XML models by a considerable margin (6\% performance gain with resp ect to PSP@1 on average).

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GN-Transformer: Fusing AST and Source Code information in Graph Networks Junyan Cheng, Iordanis Fostiropoulos, Barry Boehm

As opposed to natural languages, source code understanding is influenced by gram mar relations between tokens regardless of their identifier name. Considering gr aph representation of source code such as Abstract Syntax Tree (AST) and Control Flow Graph (CFG), can capture a token's grammatical relationships that are not obvious from the source code. Most existing methods are late fusion and underper form when supplementing the source code text with a graph representation. We pro pose a novel method called GN-Transformer to fuse representations learned from g raph and text modalities under the Graph Networks (GN) framework with attention mechanism. Our method learns the embedding on a constructed graph called Syntax-Code Graph (SCG). We perform experiments on the structure of SCG, an ablation st udy on the model design and the hyper-paramaters to conclude that the performance advantage is from the fusion method and not the specific details of the model. The proposed method achieved state of the art performance in two code summariza tion datasets and across three metrics.

Siddhartha Datta

Recent work in black-box adversarial attacks for NLP systems has attracted atten tion. Prior black-box attacks assume that attackers can observe output labels fr om target models based on selected inputs. In this work, inspired by adversarial transferability, we propose a new type of black-box NLP adversarial attack that an attacker can choose a similar domain and transfer the adversarial examples to the target domain and cause poor performance in target model. Based on domain adaptation theory, we then propose a defensive strategy, called Learn2Weight, which trains to predict the weight adjustments for target model in order to defen se the attack of similar-domain adversarial examples. Using Amazon multi-domain sentiment classification dataset, we empirically show that Learn2Weight model is effective against the attack compared to standard black-box defense methods such as adversarial training and defense distillation. This work contributes to the growing literature on machine learning safety.

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Graph Convolution with Low-rank Learnable Local Filters

Xiuyuan Cheng, Zichen Miao, Qiang Qiu

Geometric variations like rotation, scaling, and viewpoint changes pose a significant challenge to visual understanding. One common solution is to directly mode l certain intrinsic structures, e.g., using landmarks. However, it then becomes

non-trivial to build effective deep models, especially when the underlying non-E uclidean grid is irregular and coarse. Recent deep models using graph convolutio ns provide an appropriate framework to handle such non-Euclidean data, but many of them, particularly those based on global graph Laplacians, lack expressivenes s to capture local features required for representation of signals lying on the non-Euclidean grid. The current paper introduces a new type of graph convolution with learnable low-rank local filters, which is provably more expressive than p revious spectral graph convolution methods. The model also provides a unified fr amework for both spectral and spatial graph convolutions. To improve model robus tness, regularization by local graph Laplacians is introduced. The representation stability against input graph data perturbation is theoretically proved, making use of the graph filter locality and the local graph regularization. Experiments on spherical mesh data, real-world facial expression recognition/skeleton-based action recognition data, and data with simulated graph noise show the empiric all advantage of the proposed model.

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Necessary and Sufficient Conditions for Compositional Representations Yuanpeng Li

Humans leverage compositionality for flexible and efficient learning, but curren t machine learning algorithms lack such ability. Despite many efforts in specific cases, there is still absence of theories and tools to study it systematically. In this paper, we leverage group theory to mathematically prove necessary and sufficient conditions for two fundamental questions of compositional representations. (1) What are the properties for a set of components to be expressed compositionally. (2) What are the properties for mappings between compositional and entangled representations. We provide examples to better understand the conditions and how to apply them. E.g., we use the theory to give a new explanation of why attention mechanism helps compositionality. We hope this work will help to advance understanding of compositionality and improvement of artificial intelligence towards human level.

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Stochastic Inverse Reinforcement Learning

The goal of the inverse reinforcement learning (IRL) problem is to recover the r eward functions from expert demonstrations. However, the IRL problem like any il l-posed inverse problem suffers the congenital defect that the policy may be optimal for many reward functions, and expert demonstrations may be optimal for many policies. In this work, we generalize the IRL problem to a well-posed expectat ion optimization problem stochastic inverse reinforcement learning (SIRL) to recover the probability distribution over reward functions. We adopt the Monte Carl expectation-maximization (MCEM) method to estimate the parameter of the probability distribution as the first solution to the SIRL problem. The solution is succinct, robust, and transferable for a learning task and can generate alternative solutions to the IRL problem. Through our formulation, it is possible to observe the intrinsic property for the IRL problem from a global viewpoint, and our approach achieves a considerable performance on the objectworld.

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PSTNet: Point Spatio-Temporal Convolution on Point Cloud Sequences Hehe Fan, Xin Yu, Yuhang Ding, Yi Yang, Mohan Kankanhalli

Point cloud sequences are irregular and unordered in the spatial dimension while exhibiting regularities and order in the temporal dimension. Therefore, existin g grid based convolutions for conventional video processing cannot be directly a pplied to spatio-temporal modeling of raw point cloud sequences. In this paper, we propose a point spatio-temporal (PST) convolution to achieve informative representations of point cloud sequences. The proposed PST convolution first disentangles space and time in point cloud sequences. Then, a spatial convolution is employed to capture the local structure of points in the 3D space, and a temporal convolution is used to model the dynamics of the spatial regions along the time dimension. Furthermore, we incorporate the proposed PST convolution into a deep

p network, namely PSTNet, to extract features of point cloud sequences in a hier archical manner. Extensive experiments on widely-used 3D action recognition and 4D semantic segmentation datasets demonstrate the effectiveness of PSTNet to mo del point cloud sequences.

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Numeric Encoding Options with Automunge

Nicholas Teague

Mainstream practice in machine learning with tabular data may take for granted that any feature engineering beyond scaling for numeric sets is superfluous in context of deep neural networks. This paper will offer arguments for potential benefits of extended encodings of numeric streams in deep learning by way of a survey of options for numeric transformations as available in the Automunge open source python library platform for tabular data pipelines, where transformations may be applied to distinct columns in "family tree" sets with generations and branches of derivations. Automunge transformation options include normalization, bin ning, noise injection, derivatives, and more. The aggregation of these methods into family tree sets of transformations are demonstrated for use to present numeric features to machine learning in multiple configurations of varying information content, as may be applied to encode numeric sets of unknown interpretation. Experiments demonstrate the realization of a novel generalized solution to data augmentation by noise injection for tabular learning, as may materially benefit model performance in applications with underserved training data.

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Adversarial Synthetic Datasets for Neural Program Synthesis Alexander Suh, Yuval Timen

Program synthesis is the task of automatically generating a program consistent w ith a given specification. A natural way to specify programs is to provide examp les of desired input-output behavior, and many current program synthesis approach have achieved impressive results after training on randomly generated input-output examples. However, recent work has discovered that some of these approach es generalize poorly to data distributions different from that of the randomly g enerated examples. We show that this problem applies to other state-of-the-art a pproaches as well and that current methods to counteract this problem are insuff icient. We then propose a new, adversarial approach to control the bias of synth etic data distributions and show that it outperforms current approaches.

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A Simple and General Graph Neural Network with Stochastic Message Passing Ziwei Zhang, Chenhao Niu, Peng Cui, Bo Zhang, Wei Cui, Wenwu Zhu

Graph neural networks (GNNs) are emerging machine learning models on graphs. One key property behind the expressiveness of existing GNNs is that the learned nod e representations are permutation-equivariant. Though being a desirable property for certain tasks, however, permutation-equivariance prevents GNNs from being p roximity-aware, i.e., preserving the walk-based proximities between pairs of nod es, which is another critical property for graph analytical tasks. On the other hand, some variants of GNNs are proposed to preserve node proximities, but they fail to maintain permutation-equivariance. How to empower GNNs to be proximity a ware while maintaining permutation-equivariance remains an open problem. In this paper, we propose Stochastic Message Passing (SMP), a general and simple GNN to maintain both proximity-awareness and permutation-equivariance properties. Spec ifically, we augment the existing GNNs with stochastic node representations lear ned to preserve node proximities. Though seemingly simple, we prove that such a mechanism can enable GNNs to preserve node proximities in theory while maintaini ng permutation-equivariance with certain parametrization. Extensive experimental results demonstrate the effectiveness and efficiency of SMP for tasks including node classification and link prediction.

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Network Pruning That Matters: A Case Study on Retraining Variants Duong Hoang Le, Binh-Son Hua

Network pruning is an effective method to reduce the computational expense of ov er-parameterized neural networks for deployment on low-resource systems. Recent

state-of-the-art techniques for retraining pruned networks such as weight rewind ing and learning rate rewinding have been shown to outperform the traditional fi ne-tuning technique in recovering the lost accuracy (Renda et al., 2020), but so far it is unclear what accounts for such performance. In this work, we conduct extensive experiments to verify and analyze the uncanny effectiveness of learning rate rewinding. We find that the reason behind the success of learning rate rewinding is the usage of a large learning rate. Similar phenomenon can be observed in other learning rate schedules that involve large learning rates, e.g., the 1-cycle learning rate schedule (Smith et al., 2019). By leveraging the right learning rate schedule in retraining, we demonstrate a counter-intuitive phenomenon in that randomly pruned networks could even achieve better performance than met hodically pruned networks (fine-tuned with the conventional approach). Our results emphasize the cruciality of the learning rate schedule in pruned network retraining - a detail often overlooked by practitioners during the implementation of network pruning.

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EEC: Learning to Encode and Regenerate Images for Continual Learning Ali Ayub, Alan Wagner

The two main impediments to continual learning are catastrophic forgetting and m emory limitations on the storage of data. To cope with these challenges, we prop ose a novel, cognitively-inspired approach which trains autoencoders with Neural Style Transfer to encode and store images. Reconstructed images from encoded ep isodes are replayed when training the classifier model on a new task to avoid ca tastrophic forgetting. The loss function for the reconstructed images is weighted to reduce its effect during classifier training to cope with image degradation. When the system runs out of memory the encoded episodes are converted into cen troids and covariance matrices, which are used to generate pseudo-images during classifier training, keeping classifier performance stable with less memory. Our approach increases classification accuracy by 13-17% over state-of-the-art meth ods on benchmark datasets, while requiring 78% less storage space.

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Mind the Pad -- CNNs Can Develop Blind Spots

Bilal Alsallakh, Narine Kokhlikyan, Vivek Miglani, Jun Yuan, Orion Reblitz-Richardso

We show how feature maps in convolutional networks are susceptible to spatial bi as. Due to a combination of architectural choices, the activation at certain loc ations is systematically elevated or weakened. The major source of this bias is the padding mechanism. Depending on several aspects of convolution arithmetic, t his mechanism can apply the padding unevenly, leading to asymmetries in the lear ned weights. We demonstrate how such bias can be detrimental to certain tasks su ch as small object detection: the activation is suppressed if the stimulus lies in the impacted area, leading to blind spots and misdetection. We explore altern ative padding methods and propose solutions for analyzing and mitigating spatial bias.

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Revisiting Point Cloud Classification with a Simple and Effective Baseline Ankit Goyal, Hei Law, Bowei Liu, Alejandro Newell, Jia Deng

Processing point cloud data is an important component of many real-world systems . As such, a wide variety of point-based approaches have been proposed, reportin g steady benchmark improvements over time. We study the key ingredients of this progress and uncover two critical results. First, we find that auxiliary factors like different evaluation schemes, data augmentation strategies, and loss funct ions, which are independent of the model architecture, make a large difference in performance. The differences are large enough that they obscure the effect of architecture. When these factors are controlled for, PointNet++, a relatively older network, performs competitively with recent methods. Second, a very simple projection-based method, which we refer to as SimpleView, performs surprisingly well. It achieves on par or better results than sophisticated state-of-the-art methods on ModelNet40, while being half the size of PointNet++. It also outperform

s state-of-the-art methods on ScanObjectNN, a real-world point cloud benchmark, and demonstrates better cross-dataset generalization.

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DARTS-: Robustly Stepping out of Performance Collapse Without Indicators Xiangxiang Chu, Xiaoxing Wang, Bo Zhang, Shun Lu, Xiaolin Wei, Junchi Yan Despite the fast development of differentiable architecture search (DARTS), it s uffers from a standing instability issue regarding searching performance, which extremely limits its application. Existing robustifying methods draw clues from the outcome instead of finding out the causing factor. Various indicators such a s Hessian eigenvalues are proposed as a signal of performance collapse, and the searching should be stopped once an indicator reaches a preset threshold. However, these methods tend to easily reject good architectures if thresholds ar e inappropriately set, let alone the searching is intrinsically noisy. In this p aper, we undertake a more subtle and direct approach to resolve the collapse. We first demonstrate that skip connections with a learnable architectural coeffi cient can easily recover from a disadvantageous state and become dominant. We c onjecture that skip connections profit too much from this privilege, hence causi ng the collapse for the derived model. Therefore, we propose to factor out this benefit with an auxiliary skip connection, ensuring a fairer competition for all operations. Extensive experiments on various datasets verify that our approach can substantially improve the robustness of DARTS. Our code is available at http s://github.com/Meituan-AutoML/DARTS-

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Transformers satisfy

Feng Shi, CHEN LI, Shijie Bian, Yiqiao Jin, Ziheng Xu, Tian Han, Song-Chun Zhu The Propositional Satisfiability Problem (SAT), and more generally, the Constraint Satisfaction Problem (CSP), are mathematical questions defined as finding an assignment to a set of objects that satisfies a series of constraints. The moder napproach is trending to solve CSP through neural symbolic methods. Most recent works are sequential model-based and adopt neural embedding, i.e., reinforcement learning with neural graph networks, and graph recurrent neural networks. This work proposes a one-shot model derived from the eminent Transformer architecture for factor graph structure to solve the CSP problem. We define the heterogeneous attention mechanism based on meta-paths for the self-attention between literals, the cross-attention based on the bipartite graph links from literal to clauses, or vice versa. This model takes advantage of parallelism. Our model achieves high speed and very high accuracy on the factor graph for CSPs with arbitrary size.

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Meta-k: Towards Unsupervised Prediction of Number of Clusters Azade Farshad, Samin Hamidi, Nassir Navab

Data clustering is a well-known unsupervised learning approach. Despite the rece nt advances in clustering using deep neural networks, determining the number of clusters without any information about the given dataset remains an existing pro blem. There have been classical approaches based on data statistics that require the manual analysis of a data scientist to calculate the probable number of clusters in a dataset. In this work, we propose a new method for unsupervised prediction of the number of clusters in a dataset given only the data without any labels. We evaluate our method extensively on randomly generated datasets using the scikit-learn package and multiple computer vision datasets and show that our method is able to determine the number of classes in a dataset effectively without any supervision.

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Ranking Cost: One-Stage Circuit Routing by Directly Optimizing Global Objective Function

Shiyu Huang, Bin Wang, Dong Li, Jianye Hao, Jun Zhu, Ting Chen

Circuit routing has been a historically challenging problem in designing electro nic systems such as very large-scale integration (VLSI) and printed circuit boar ds (PCBs). The main challenge is that connecting a large number of electronic co

mponents under specific design rules and constraints involves a very large search space, which is proved to be NP-complete.

Early solutions are typically designed with hard-coded heuristics, which suffer from problems of non-optimum solutions and lack of flexibility for new design ne eds. Although a few learning-based methods have been proposed recently, their me thods are cumbersome and hard to extend to large-scale applications. In this wor k, we propose a new algorithm for circuit routing, named as Ranking Cost (RC), which innovatively combines search-based methods (i.e., A\* algorithm) and learni ng-based methods (i.e., Evolution Strategies) to form an efficient and trainable router under a proper parameterization. Different from two-stage routing method s ( i.e., first global routing and then detailed routing), our method involves a one-stage procedure that directly optimizes the global objective function, thus it can be easy to adapt to new routing rules and constraints. In our method, we introduce a new set of variables called cost maps, which can help the A\* router to find out proper paths to achieve the global object. We also train a ranking parameter, which can produce the ranking order and further improve the performan ce of our method. Our algorithm is trained in an end-to-end manner and does not use any artificial data or human demonstration. In the experiments, we compare o ur method with the sequential A\* algorithm and a canonical reinforcement learnin q approach, and results show that our method outperforms these baselines with hi gher connectivity rates and better scalability. Our ablation study shows that ou r trained cost maps can capture the global information and guide the routing res ult to approach global optimum.

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Stabilized Medical Image Attacks

Gege Qi, Lijun GONG, Yibing Song, Kai Ma, Yefeng Zheng

Convolutional Neural Networks (CNNs) have advanced existing medical systems for automatic disease diagnosis. However, a threat to these systems arises that adve rsarial attacks make CNNs vulnerable. Inaccurate diagnosis results make a negati ve influence on human healthcare. There is a need to investigate potential adver sarial attacks to robustify deep medical diagnosis systems. On the other side, t here are several modalities of medical images (e.g., CT, fundus, and endoscopic image) of which each type is significantly different from others. It is more cha llenging to generate adversarial perturbations for different types of medical im ages. In this paper, we propose an image-based medical adversarial attack method to consistently produce adversarial perturbations on medical images. The object ive function of our method consists of a loss deviation term and a loss stabiliz ation term. The loss deviation term increases the divergence between the CNN pre diction of an adversarial example and its ground truth label. Meanwhile, the los s stabilization term ensures similar CNN predictions of this example and its smo othed input. From the perspective of the whole iterations for perturbation gener ation, the proposed loss stabilization term exhaustively searches the perturbati on space to smooth the single spot for local optimum escape. We further analyze the KL-divergence of the proposed loss function and find that the loss stabiliza tion term makes the perturbations updated towards a fixed objective spot while d eviating from the ground truth. This stabilization ensures the proposed medical attack effective for different types of medical images while producing perturbat ions in small variance. Experiments on several medical image analysis benchmarks including the recent COVID-19 dataset show the stability of the proposed method

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Forward Prediction for Physical Reasoning

Rohit Girdhar, Laura Gustafson, Aaron B. Adcock, Laurens van der Maaten

Physical reasoning requires forward prediction: the ability to forecast what will happen next given some initial world state. We study the performance of state-of-the-art forward-prediction models in the complex physical-reasoning tasks of the PHYRE benchmark (Bakhtin et al., 2019). We do so by incorporating models that operate on object or pixel-based representations of the world into simple physical-reasoning agents. We find that forward-prediction models can improve physical-reasoning performance, particularly on complex tasks that involve many object

s. However, we also find that these improvements are contingent on the test task s being small variations of train tasks, and that generalization to completely n ew task templates is challenging. Surprisingly, we observe that forward predicto rs with better pixel accuracy do not necessarily lead to better physical-reasoning performance. Nevertheless, our best models set a new state-of-the-art on the PHYRE benchmark.

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Proper Measure for Adversarial Robustness Hyeongji Kim, Ketil Malde

This paper analyzes the problems of adversarial accuracy and adversarial training. We argue that standard adversarial accuracy fails to properly measure the rob ustness of classifiers. Its definition has a tradeoff with standard accuracy even when we neglect generalization. In order to handle the problems of the standard adversarial accuracy, we introduce a new measure for the robustness of classifiers called genuine adversarial accuracy. It can measure the adversarial robustness of classifiers without trading off accuracy on clean data and accuracy on the adversarially perturbed samples. In addition, it does not favor a model with invariance-based adversarial examples, samples whose predicted classes are unchanged even if the perceptual classes are changed. We prove that a single nearest neighbor (1-NN) classifier is the most robust classifier according to genuine adversarial accuracy for given data and a norm-based distance metric when the class for each data point is unique. Based on this result, we suggest that using poor distance metrics might be one factor for the tradeoff between test accuracy and \$1 p\$ norm-based test adversarial robustness.

THE EFFICACY OF L1 REGULARIZATION IN NEURAL NETWORKS Gen Li, Yuantao Gu, Jie Ding

A crucial problem in neural networks is to select the most appropriate number of hidden neurons and obtain tight statistical risk bounds. In this work, we prese nt a new perspective towards the bias-variance tradeoff in neural networks. As a n alternative to selecting the number of neurons, we theoretically show that \$L\_1\$ regularization can control the generalization error and sparsify the input di mension. In particular, with an appropriate \$L\_1\$ regularization on the output 1 ayer, the network can produce a statistical risk that is near minimax optimal. M oreover, an appropriate \$L\_1\$ regularization on the input layer leads to a risk bound that does not involve the input data dimension. Our analysis is based on a new amalgamation of dimension-based and norm-based complexity analysis to bound the generalization error. A consequent observation from our results is that an excessively large number of neurons do not necessarily inflate generalization er rors under a suitable regularization.

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BiPointNet: Binary Neural Network for Point Clouds

Haotong Qin, Zhongang Cai, Mingyuan Zhang, Yifu Ding, Haiyu Zhao, Shuai Yi, Xianglong Liu, Hao Su

To alleviate the resource constraint for real-time point cloud applications that run on edge devices, in this paper we present BiPointNet, the first model binar ization approach for efficient deep learning on point clouds. We discover that the immense performance drop of binarized models for point clouds mainly stems from two challenges: aggregation-induced feature homogenization that leads to a degradation of information entropy, and scale distortion that hinders optimization and invalidates scale-sensitive structures. With theoretical justifications and in-depth analysis, our BiPointNet introduces Entropy-Maximizing Aggregation (EMA) to modulate the distribution before aggregation for the maximum information entropy, and Layer-wise Scale Recovery (LSR) to efficiently restore feature representation capacity. Extensive experiments show that BiPointNet outperforms existing binarization methods by convincing margins, at the level even comparable with the full precision counterpart. We highlight that our techniques are generic, guaranteeing significant improvements on various fundamental tasks and mainstream backbones. Moreover, BiPointNet gives an impressive 14.7× speedup and 18.9× st

orage saving on real-world resource-constrained devices.

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Center-wise Local Image Mixture For Contrastive Representation Learning Hao Li, XIAOPENG ZHANG, Ruoyu Sun, Hongkai Xiong, Qi Tian

Recent advances in unsupervised representation learning have experienced remarka ble progress, especially with the achievements of contrastive learning, which re gards each image as well its augmentations as a separate class, while does not c onsider the semantic similarity among images. This paper proposes a new kind of data augmentation, named Center-wise Local Image Mixture, to expand the neighbor hood space of an image. CLIM encourages both local similarity and global aggrega tion while pulling similar images. This is achieved by searching local similar s amples of an image, and only selecting images that are closer to the correspondi ng cluster center, which we denote as center-wise local selection. As a result, similar representations are progressively approaching the clusters, while do not break the local similarity. Furthermore, image mixture is used as a smoothing  ${\bf r}$ egularization to avoid overconfident the selected samples. Besides, we introduce multi-resolution augmentation, which enables the representation to be scale inv ariant. Integrating the two augmentations produces better feature representation on several unsupervised benchmarks. Notably, we reach 75.5% top-1 accuracy with linear evaluation over ResNet-50, and 59.3% top-1 accuracy when fine-tuned with only 1% labels, as well as consistently outperforming supervised pretraining on several downstream transfer tasks.

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Mixture of Step Returns in Bootstrapped DQN

PoHan Chiang, Hsuan-Kung Yang, Zhang-Wei Hong, Chun-Yi Lee

The concept of utilizing multi-step returns for updating value functions has bee n adopted in deep reinforcement learning (DRL) for a number of years. Updating v alue functions with different backup lengths provides advantages in different as pects, including bias and variance of value estimates, convergence speed, and ex ploration behavior of the agent. Conventional methods such as TD-lambda leverage these advantages by using a target value equivalent to an exponential average o f different step returns. Nevertheless, integrating step returns into a single t arget sacrifices the diversity of the advantages offered by different step retur n targets. To address this issue, we propose Mixture Bootstrapped DQN (MB-DQN) b uilt on top of bootstrapped DQN, and uses different backup lengths for different bootstrapped heads. MB-DQN enables heterogeneity of the target values that is u navailable in approaches relying only on a single target value. As a result, it is able to maintain the advantages offered by different backup lengths. In this paper, we first discuss the motivational insights through a simple maze environm ent. In order to validate the effectiveness of MB-DQN, we perform experiments on the Atari 2600 benchmark environments and demonstrate the performance improveme nt of MB-DQN over a number of baseline methods. We further provide a set of abla tion studies to examine the impacts of different design configurations of MB-DQN

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Multilayer Dense Connections for Hierarchical Concept Classification Toufiq Parag, Hongcheng Wang

Classification is a pivotal function for many computer vision tasks such as imag e recognition, object detection, scene segmentation. Multinomial logistic regre ssion with a single final layer of dense connections has become the ubiquitous t echnique for CNN-based classification. While these classifiers project a mapping between the input and a set of output category classes, they do not typically y ield a comprehensive description of the category. In particular, when a CNN bas ed image classifier correctly identifies the image of a Chimpanzee, its output d oes not clarify that Chimpanzee is a member of Primate, Mammal, Chordate families and a living thing. We propose a multilayer dense connectivity for a CNN to si multaneously predict the category \emph{and} its conceptual superclasses in hier archical order. We experimentally demonstrate that our proposed dense connections, in conjunction with popular convolutional feature layers, can learn to predict the conceptual classes with minimal increase in network size while maintaini

ng the categorical classification accuracy.

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Segmenting Natural Language Sentences via Lexical Unit Analysis Yangming Li, lemao liu, Shuming Shi

In this work, we present Lexical Unit Analysis (LUA), a framework for general se quence segmentation tasks. Given a natural language sentence, LUA scores all the valid segmentation candidates and utilizes dynamic programming (DP) to extract the maximum scoring one. LUA enjoys a number of appealing properties such as inh erently guaranteeing the predicted segmentation to be valid and facilitating glo bally optimal training and inference. Besides, the practical time complexity of LUA can be reduced to linear time, which is very efficient. We have conducted ex tensive experiments on 5 tasks, including syntactic chunking, named entity recog nition (NER), slot filling, Chinese word segmentation, and Chinese part-of-speech (POS) tagging, across 15 datasets. Our models have achieved the state-of-the-art performances on 13 of them. The results also show that the F1 score of identifying long-length segments is notably improved.

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AdaFuse: Adaptive Temporal Fusion Network for Efficient Action Recognition Yue Meng,Rameswar Panda,Chung-Ching Lin,Prasanna Sattigeri,Leonid Karlinsky,Kate Saenko,Aude Oliva,Rogerio Feris

Temporal modelling is the key for efficient video action recognition. While unde rstanding temporal information can improve recognition accuracy for dynamic actions, removing temporal redundancy and reusing past features can significantly save computation leading to efficient action recognition. In this paper, we introduce an adaptive temporal fusion network, called AdaFuse, that dynamically fuses channels from current and past feature maps for strong temporal modelling. Specifically, the necessary information from the historical convolution feature maps is fused with current pruned feature maps with the goal of improving both recognition accuracy and efficiency. In addition, we use a skipping operation to further reduce the computation cost of action recognition. Extensive experiments on SomethingV1 & V2, Jester and Mini-Kinetics show that our approach can achieve about 40% computation savings with comparable accuracy to state-of-the-art methods.

The project page can be found at https://mengyuest.github.io/AdaFuse/

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Truthful Self-Play

Shohei Ohsawa

We present a general framework for evolutionary learning to emergent unbiased st ate representation without any supervision. Evolutionary frameworks such as self-play converge to bad local optima in case of multi-agent reinforcement learning in non-cooperative partially observable environments with communication due to information asymmetry. Our proposed framework is a simple modification of self-play inspired by mechanism design, also known as {\em reverse game theory}, to e licit truthful signals and make the agents cooperative. The key idea is to add i maginary rewards using the peer prediction method, i.e., a mechanism for evaluating the validity of information exchanged between agents in a decentralized environment. Numerical experiments with predator prey, traffic junction and StarCraft tasks demonstrate that the state-of-the-art performance of our framework.

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Transforming Recurrent Neural Networks with Attention and Fixed-point Equations Zhaobin Xu, Baotian Hu, Buzhou Tang

Transformer has achieved state of the art performance in multiple Natural Langua ge Processing tasks recently. Yet the Feed Forward Network(FFN) in a Transformer block is computationally expensive. In this paper, we present a framework to transform Recurrent Neural Networks(RNNs) and their variants into self-attention-style models, with an approximation of Banach Fixed-point Theorem. Within this framework, we propose a new model, StarSaber, by solving a set of equations obtained from RNN with Fixed-point Theorem and further approximate it with a Multi-layer Perceptron. It provides a view of stacking layers. StarSaber achieves better performance than both the vanilla Transformer and an improved version called ReZ

ero on three datasets and is more computationally efficient, due to the reduction of Transformer's FFN layer. It has two major parts. One is a way to encode position information with two different matrices. For every position in a sequence, we have a matrix operating on positions before it and another matrix operating on positions after it. The other is the introduction of direct paths from the in put layer to the rest of layers. Ablation studies show the effectiveness of these two parts. We additionally show that other RNN variants such as RNNs with gate s can also be transformed in the same way, outperforming the two kinds of Transformers as well.

LAYER SPARSITY IN NEURAL NETWORKS

Mohamed Hebiri, Johannes Lederer

Sparsity has become popular in machine learning, because it can save computation al resources, facilitate interpretations, and prevent overfitting. In this paper, we discuss sparsity in the framework of neural networks. In particular, we for mulate a new notion of sparsity that concerns the networks' layers and, therefor e, aligns particularly well with the current trend toward deep networks. We call this notion layer sparsity. We then introduce corresponding regularization and refitting schemes that can complement standard deep-learning pipelines to genera te more compact and accurate networks.

Generating Furry Cars: Disentangling Object Shape and Appearance across Multiple Domains

Utkarsh Ojha, Krishna Kumar Singh, Yong Jae Lee

We consider the novel task of learning disentangled representations of object shape and appearance across multiple domains (e.g., dogs and cars). The goal is to learn a generative model that learns an intermediate distribution, which borrows a subset of properties from each domain, enabling the generation of images that did not exist in any domain exclusively. This challenging problem requires a naccurate disentanglement of object shape, appearance, and background from each domain, so that the appearance and shape factors from the two domains can be in terchanged. We augment an existing approach that can disentangle factors within a single domain but struggles to do so across domains. Our key technical contribution is to represent object appearance with a differentiable histogram of visual features, and to optimize the generator so that two images with the same late nt appearance factor but different latent shape factors produce similar histograms. On multiple multi-domain datasets, we demonstrate our method leads to accurate and consistent appearance and shape transfer across domains.

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Dynamic Graph: Learning Instance-aware Connectivity for Neural Networks Kun Yuan, Quanquan Li, Dapeng Chen, Aojun Zhou, Junjie Yan

One practice of employing deep neural networks is to apply the same architecture to all the input instances. However, a fixed architecture may not be representa tive enough for data with high diversity. To promote the model capacity, existin g approaches usually employ larger convolutional kernels or deeper network struc ture, which may increase the computational cost. In this paper, we address this issue by raising the Dynamic Graph Network (DG-Net). The network learns the inst ance-aware connectivity, which creates different forward paths for different ins tances. Specifically, the network is initialized as a complete directed acyclic graph, where the nodes represent convolutional blocks and the edges represent th e connection paths. We generate edge weights by a learnable module \textit{route r} and select the edges whose weights are larger than a threshold, to adjust the connectivity of the neural network structure. Instead of using the same path of the network, DG-Net aggregates features dynamically in each node, which allows the network to have more representation ability. To facilitate the training, we represent the network connectivity of each sample in an adjacency matrix. The ma trix is updated to aggregate features in the forward pass, cached in the memory, and used for gradient computing in the backward pass. We verify the effectiven ess of our method with several static architectures, including MobileNetV2, ResN et, ResNeXt, and RegNet. Extensive experiments are performed on ImageNet classif

ication and COCO object detection, which shows the effectiveness and generalizat ion ability of our approach.

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Towards Defending Multiple Adversarial Perturbations via Gated Batch Normalizati on

Aishan Liu, Shiyu Tang, Xianglong Liu, Xinyun Chen, Lei Huang, Zhuozhuo Tu, Dawn Song, Dacheng Tao

There is now extensive evidence demonstrating that deep neural networks are vuln erable to adversarial examples, motivating the development of defenses against a dversarial attacks. However, existing adversarial defenses typically improve mod el robustness against individual specific perturbation types. Some recent method s improve model robustness against adversarial attacks in multiple \$\ell\_p\$ ball s, but their performance against each perturbation type is still far from satisf actory. To better understand this phenomenon, we propose the \emph{multi-domain} hypothesis, stating that different types of adversarial perturbations are drawn from different domains. Guided by the multi-domain hypothesis, we propose~\emph {Gated Batch Normalization (GBN)}, a novel building block for deep neural networ ks that improves robustness against multiple perturbation types. GBN consists of a gated sub-network and a multi-branch batch normalization (BN) layer, where th e gated sub-network separates different perturbation types, and each BN branch i s in charge of a single perturbation type and learns domain-specific statistics for input transformation. Then, features from different branches are aligned as domain-invariant representations for the subsequent layers. We perform extensive evaluations of our approach on MNIST, CIFAR-10, and Tiny-ImageNet, and in doing so demonstrate that GBN outperforms previous defense proposals against multiple perturbation types, \ie, \$\ell\_1\$, \$\ell\_2\$, and \$\ell\_{\infty}\$ perturbations, by large margins of 10-20%.

Parsed Categoric Encodings with Automunge

Nicholas Teague

The Automunge open source python library platform for tabular data pre-processin g automates feature engineering data transformations of numerical encoding and  $\mathfrak{m}$ issing data infill to received tidy data on bases fit to properties of columns i n a designated train set for consistent and efficient application to subsequent data pipelines such as for inference, where transformations may be applied to di stinct columns in "family tree" sets with generations and branches of derivation s. Included in the library of transformations are methods to extract structure f rom bounded categorical string sets by way of automated string parsing, in which comparisons between entries in the set of unique values are parsed to identify character subset overlaps which may be encoded by appended columns of boolean ov erlap detection activations or by replacing string entries with identified overl ap partitions. Further string parsing options, which may also be applied to unbo unded categoric sets, include extraction of numeric substring partitions from en tries or search functions to identify presence of specified substring partitions . The aggregation of these methods into "family tree" sets of transformations ar e demonstrated for use to automatically extract structure from categoric string compositions in relation to the set of entries in a column, such as may be appli ed to prepare categoric string set encodings for machine learning without human intervention.

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Pruning Neural Networks at Initialization: Why Are We Missing the Mark? Jonathan Frankle, Gintare Karolina Dziugaite, Daniel Roy, Michael Carbin Recent work has explored the possibility of pruning neural networks at initializ ation. We assess proposals for doing so: SNIP (Lee et al., 2019), GraSP (Wang et al., 2020), SynFlow (Tanaka et al., 2020), and magnitude pruning. Although these methods surpass the trivial baseline of random pruning, they remain below the accuracy of magnitude pruning after training, and we endeavor to understand why. We show that, unlike pruning after training, randomly shuffling the weights the se methods prune within each layer or sampling new initial values preserves or improves accuracy. As such, the per-weight pruning decisions made by these method

s can be replaced by a per-layer choice of the fraction of weights to prune. This property suggests broader challenges with the underlying pruning heuristics, the desire to prune at initialization, or both.

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Provable More Data Hurt in High Dimensional Least Squares Estimator Zeng Li, Chuanlong Xie, QINWEN WANG

This paper investigates the finite-sample prediction risk of the high-dimensiona l least squares estimator. We derive the central limit theorem for the prediction risk when both the sample size and the number of features tend to infinity. Furthermore, the finite-sample distribution and the confidence interval of the prediction risk are provided. Our theoretical results demonstrate the sample-wise non-monotonicity of the prediction risk and confirm ''more data hurt'' phenomenon

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ABS: Automatic Bit Sharing for Model Compression

Jing Liu, Bohan Zhuang, Peng Chen, Yong Guo, Chunhua Shen, Jianfei Cai, Mingkui Tan We present Automatic Bit Sharing (ABS) to automatically search for optimal model compression configurations (e.g., pruning ratio and bitwidth). Unlike previous works that consider model pruning and quantization separately, we seek to optimi ze them jointly. To deal with the resultant large designing space, we propose a novel super-bit model, a single-path method, to encode all candidate compression configurations, rather than maintaining separate paths for each configuration. Specifically, we first propose a novel decomposition of quantization that encaps ulates all the candidate bitwidths in the search space. Starting from a low bitw idth, we sequentially consider higher bitwidths by recursively adding re-assignm ent offsets. We then introduce learnable binary gates to encode the choice of bi twidth, including filter-wise 0-bit for pruning. By jointly training the binary gates in conjunction with network parameters, the compression configurations of each layer can be automatically determined. Our ABS brings two benefits for mode 1 compression: 1) It avoids the combinatorially large design space, with a reduc ed number of trainable parameters and search costs. 2) It also averts directly f itting an extremely low bit quantizer to the data, hence greatly reducing the op timization difficulty due to the non-differentiable quantization. Experiments on CIFAR-100 and ImageNet show that our methods achieve significant computational cost reduction while preserving promising performance.

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BRECQ: Pushing the Limit of Post-Training Quantization by Block Reconstruction Yuhang Li, Ruihao Gong, Xu Tan, Yang Yang, Peng Hu, Qi Zhang, Fengwei Yu, Wei Wang, Shi

We study the challenging task of neural network quantization without end-to-end retraining, called Post-training Quantization (PTQ). PTQ usually requires a smal 1 subset of training data but produces less powerful quantized models than Quant ization-Aware Training (QAT). In this work, we propose a novel PTQ framework, dubbed BRECQ, which pushes the limits of bitwidth in PTQ down to INT2 for the firs t time. BRECQ leverages the basic building blocks in neural networks and reconst ructs them one-by-one. In a comprehensive theoretical study of the second-order error, we show that BRECQ achieves a good balance between cross-layer dependency and generalization error. To further employ the power of quantization, the mixe d precision technique is incorporated in our framework by approximating the inte r-layer and intra-layer sensitivity. Extensive experiments on various handcrafte d and searched neural architectures are conducted for both image classification and object detection tasks. And for the first time we prove that, without bells and whistles, PTQ can attain 4-bit ResNet and MobileNetV2 comparable with QAT an d enjoy 240 times faster production of quantized models. Codes are available at https://github.com/yhhhli/BRECQ.

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