

## Neural Control of Sensory Acquisition: The Vestibulo-Ocular Reflex

Michael Paulin, Mark Nelson, James Bower

We present a new hypothesis that the cerebellum plays a key role in actively controlling the acquisition of sensory information by the nervous system. In this paper we explore this idea by examining the function of a simple cerebellar-related behavior, the vestibulo-ocular reflex or VOR, in which eye movements are generated to minimize image slip on the retina during rapid head movements. Considering this system from the point of view of statistical estimation theory, our results suggest that the transfer function of the VOR, often regarded as a static or slowly modifiable feature of the system, should actually be continuously and rapidly changed during head movements. We further suggest that these changes are under the direct control of the cerebellar cortex and propose experiments to test this hypothesis.

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## Modeling the Olfactory Bulb - Coupled Nonlinear Oscillators

Zhaoping Li, John J. Hopfield

The olfactory bulb of mammals aids in the discrimination of odors. A mathematical model based on the bulbar anatomy and electrophysiology is described. Simulations produce a 35-60 Hz modulated activity coherent across the bulb, mimicing the observed field potentials. The decision states (for the odor information) here can be thought of as stable cycles, rather than point stable states typical of simpler neuro-computing models.

Analysis and simulations show that a group of coupled non-linear oscillators are responsible for the oscillatory activities determined by the odor input, and that the bulb, with appropriate inputs from higher centers, can enhance or suppress the sensitivity to particular odors. The model provides a framework in which to understand the transform between odor input and the bulbar output to olfactory cortex.

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## Efficient Parallel Learning Algorithms for Neural Networks

Alan Kramer, Alberto Sangiovanni-Vincentelli

Parallelizable optimization techniques are applied to the problem of learning in feedforward neural networks. In addition to having superior convergence properties, optimization techniques such as the Polak-Ribiere method are also significantly more efficient than the Backpropagation algorithm. These results are based on experiments performed on small boolean learning problems and the noisy real-valued learning problem of hand-written character recognition.

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## The Boltzmann Perceptron Network: A Multi-Layered Feed-Forward Network Equivalent to the Boltzmann Machine

Eyal Yair, Allen Gersho

The concept of the stochastic Boltzmann machine (BM) is attractive for decision making and pattern classification purposes since the probability of attaining the network states is a function of the network energy. Hence, the probability of attaining particular energy minima may be associated with the probabilities of making certain decisions (or classifications). However, because of its stochastic nature, the complexity of the BM is fairly high and therefore such networks are not very likely to be used in practice. In this paper we suggest a way to alleviate this drawback by converting the stochastic BM into a deterministic network which we call the Boltzmann Perceptron Network (BPN). The BPN is functionally equivalent to the BM but has a feed-forward structure and low complexity. No annealing is required. The conditions under which such a conversion is feasible are given. A learning algorithm for the BPN based on the conjugate gradient method is also provided which is somewhat akin to the backpropagation algorithm.

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## Neural Networks that Learn to Discriminate Similar Kanji Characters

Yoshihiro Mori, Kazuhiko Yokosawa  
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#### Computer Modeling of Associative Learning

Daniel Alkon, Francis Quek, Thomas Vogl

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#### Links Between Markov Models and Multilayer Perceptrons

Hervé Bourlard, C. J. Wellekens

Hidden Markov models are widely used for automatic speech recognition.

They inherently incorporate the sequential character of the speech signal and are statistically trained. However, the a-priori choice of the model topology limits their flexibility. Another drawback of these models is their weak discriminating power. Multilayer perceptrons are now promising tools in the connectionist approach for classification problems and have already been successfully tested on speech recognition problems. However, the sequential nature of the speech signal remains difficult to handle in that kind of machine. In this paper, a discriminant hidden Markov model is defined and it is shown how a particular multilayer perceptron with contextual and extra feedback input units can be considered as a general form of such Markov models.

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#### Skeletonization: A Technique for Trimming the Fat from a Network via Relevance Assessment

Michael C. Mozer, Paul Smolensky

This paper proposes a means of using the knowledge in a network to determine the functionality or relevance of individual units, both for the purpose of understanding the network's behavior and improving its performance. The basic idea is to iteratively train the network to a certain performance criterion, compute a measure of relevance that identifies which input or hidden units are most critical to performance, and automatically trim the least relevant units. This skeletonization technique can be used to simplify networks by eliminating units that convey redundant information; to improve learning performance by first learning with spare hidden units and then trimming the unnecessary ones away, thereby constraining generalization; and to understand the behavior of networks in terms of minimal "rules."

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#### A Passive Shared Element Analog Electrical Cochlea

David Feld, Joe Eisenberg, Edwin Lewis

We present a simplified model of the micromechanics of the human cochlea, realized with electrical elements. Simulation of the model shows that it retains four signal processing features whose importance we argue on the basis of engineering logic and evolutionary evidence. Furthermore, just as the cochlea does, the model achieves massively parallel signal processing in a structurally economic way, by means of shared elements. By extracting what we believe are the five essential features of the cochlea, we hope to design a useful front-end filter to process acoustic images and to obtain a better understanding of the auditory system.

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#### Speech Production Using A Neural Network with a Cooperative Learning Mechanism

Mitsuo Komura, Akio Tanaka

We propose a new neural network model and its learning algorithm. The proposed neural network consists of four layers - input, hidden, output and final output layers. The hidden and output layers are multiple. Using the proposed SICL(Spread Pattern Information and Cooperative Learning) algorithm, it is possible to learn analog data accurately and to obtain smooth outputs. Using this neural network, we have developed a speech production system consisting of a phonemic symbol production subsystem and a speech parameter

r production subsystem. We have succeeded in producing natural speech waves with high accuracy.

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#### Associative Learning via Inhibitory Search

David Ackley

ALVIS is a reinforcement-based connectionist architecture that learns associative maps in continuous multidimensional environments. The discovered locations of positive and negative reinforcements are recorded in "do be" and "don't be" subnetworks, respectively. The outputs of the subnetworks relevant to the current goal are combined and compared with the current location to produce an error vector. This vector is backpropagated through a motor-perceptual mapping network to produce an action vector that leads the system towards do-be locations and away from don't-be locations. ALVIS is demonstrated with a simulated robot posed a target-seeking task.

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#### Performance of a Stochastic Learning Microchip

Joshua Alspector, Bhushan Gupta, Robert Allen

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#### Song Learning in Birds

M. Konishi

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#### Modeling Small Oscillating Biological Networks in Analog VLSI

Sylvie Ryckebusch, James Bower, Carver Mead

We have used analog VLSI technology to model a class of small oscillating biological neural circuits known as central pattern generators (CPG). These circuits generate rhythmic patterns of activity which drive locomotor behaviour in the animal. We have designed, fabricated, and tested a model neuron circuit which relies on many of the same mechanisms as a biological central pattern generator neuron, such as delays and internal feedback. We show that this neuron can be used to build several small circuits based on known biological CPG circuits, and that these circuits produce patterns of output which are very similar to the observed biological patterns.

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#### Comparing Biases for Minimal Network Construction with Back-Propagation

Stephen Hanson, Lorien Pratt

learning

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#### What Size Net Gives Valid Generalization?

Eric Baum, David Haussler

We address the question of when a network can be expected to generalize from  $m$  random training examples chosen from some arbitrary probability distribution, assuming that future test examples are drawn from the same distribution. Among our results are the following bounds on appropriate sample vs. network size. Assume  $0 < \epsilon \leq 1/8$ . We show that if  $m > O(\sim \log \sim)$  random examples can be loaded on a feedforward network of linear threshold functions with  $N$  nodes and  $W$  weights, so that at least a fraction  $1 - \epsilon$  of the examples are correctly classified, then one has confidence approaching certainty that the network will correctly classify a fraction  $1 - \epsilon$  of future test examples drawn from the same distribution. Conversely, for fully-connected feedforward nets with one hidden layer, any learning algorithm using fewer than  $O(1/\epsilon)$  random

training examples will, for some distributions of examples consistent with an appropriate weight choice, fail at least some fixed fraction of the time to find a weight choice that will correctly classify more than a  $1 - \epsilon$  fraction of the future test examples.

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#### A Low-Power CMOS Circuit Which Emulates Temporal Electrical Properties of Neurons

Jack Meador, Clint Cole

This paper describes a CMOS artificial neuron. The circuit is directly derived from the voltage-gated channel model of neural membrane, has low power dissipation, and small layout geometry. The principal motivations behind this work include a desire for high performance, more accurate neuron emulation, and the need for higher density in practical neural network implementations.

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#### Linear Learning: Landscapes and Algorithms

Pierre Baldi

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#### Applications of Error Back-Propagation to Phonetic Classification

Hong Leung, Victor W. Zue

This paper is concerned with the use of error back-propagation in phonetic classification. Our objective is to investigate the basic characteristics of back-propagation, and study how the framework of multi-layer perceptrons can be exploited in phonetic recognition. We explore issues such as integration of heterogeneous sources of information, conditions that can affect performance of phonetic classification, internal representations, comparisons with traditional pattern classification techniques, comparisons of different error metrics, and initialization of the network. Our investigation is performed within a set of experiments that attempts to recognize the 16 vowels in American English independent of speaker. Our results are comparable to human performance.

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#### An Analog Self-Organizing Neural Network Chip

James Mann, Sheldon Gilbert

A design for a fully analog version of a self-organizing feature map neural network has been completed. Several parts of this design are in fabrication. The feature map algorithm was modified to accommodate circuit solutions to the various computations required. Performance effects were measured by simulating the design as part of a frontend for a speech recognition system. Circuits are included to implement both activation computations and weight adaptation or learning.

External access to the analog weight values is provided to facilitate weight initialization, testing and static storage. This fully analog implementation requires an order of magnitude less area than a comparable digital/analog hybrid version developed earlier.

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#### A Bifurcation Theory Approach to the Programming of Periodic Attractors in Network Models of Olfactory Cortex

Bill Baird

A new learning algorithm for the storage of static and periodic attractors in biologically inspired recurrent analog neural networks is introduced. For a network of  $n$  nodes,  $n$  static or  $n/2$  periodic attractors may be stored. The algorithm allows programming of the network vector field independent of the patterns to be stored. Stability of patterns, basin geometry, and rates of convergence may be controlled. For orthonormal patterns, the learning operation reduces to a kind of periodic outer product rule that allows local, additive, commutative

e, incremental learning. Standing or traveling wave cycles may be stored to mimic the kind of oscillating spatial patterns that appear in the neural activity of the olfactory bulb and prepyriform cortex during inspiration and suffice, in the bulb, to predict the pattern recognition behavior of rabbits in classical conditioning experiments. These attractors arise, during simulated inspiration, through a multiple Hopf bifurcation, which can act as a critical "decision point" for their selection by a very small input pattern.

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Heterogeneous Neural Networks for Adaptive Behavior in Dynamic Environments  
Randall Beer, Hillel Chiel, Leon S. Sterling

Research in artificial neural networks has generally emphasized homogeneous architectures. In contrast, the nervous systems of natural animals exhibit great heterogeneity in both their elements and patterns of interconnection. This heterogeneity is crucial to the flexible generation of behavior which is essential for survival in a complex, dynamic environment. It may also provide powerful insights into the design of artificial neural networks. In this paper, we describe a heterogeneous neural network for controlling the walking of a simulated insect. This controller is inspired by the neuroethological and neurobiological literature on insect locomotion. It exhibits a variety of statically stable gaits at different speeds simply by varying the tonic activity of a single cell. It can also adapt to perturbations as a natural consequence of its design.

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Programmable Analog Pulse-Firing Neural Networks  
Alister Hamilton, Alan Murray, Lionel Tarassenko

We describe pulse-stream firing integrated circuits that implement asynchronous analog neural networks. Synaptic weights are stored dynamically, and weighting uses time-division of the neural pulses from a signalling neuron to a receiving neuron. MOS transistors in their "ON" state act as variable resistors to control a capacitive discharge, and time-division is thus achieved by a small synapse circuit cell. The VLSI chip set design uses 2.5J.1.m CMOS technology.

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An Analog VLSI Chip for Thin-Plate Surface Interpolation  
John Harris

Reconstructing a surface from sparse sensory data is a well-known problem in computer vision. This paper describes an experimental analog VLSI chip for smooth surface interpolation from sparse depth data. An eight-node ID network was designed in 3J.1m CMOS and successfully tested. The network minimizes a second-order or "thin-plate" energy of the surface. The circuit directly implements the coupled depth/slope model of surface reconstruction (Harris, 1987). In addition, this chip can provide Gaussian-like smoothing of images.

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Simulation and Measurement of the Electric Fields Generated by Weakly Electric Fish

Brian Rasnow, Christopher Assad, Mark Nelson, James Bower

The weakly electric fish, *Gnathonemus petersii*, explores its environment by generating pulsed electric fields and detecting small perturbations in the fields resulting from nearby objects. Accordingly, the fish detects and discriminates objects on the basis of a sequence of electric "images" whose temporal and spatial properties depend on the timing of the fish's electric organ discharge and its body position relative to objects in its environment. We are interested in investigating how these fish utilize timing and body-position during exploration to aid in object discrimination. We have developed a finite-element simulation of the fish's self-generated electric fields so as to reconstruct the electric sensory consequences of body position and electric organ discharge timing in the fish. This paper describes this finite-element simulation system and presents preliminary electric field measurements which are being used to tune the simulation.

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## Training Multilayer Perceptrons with the Extended Kalman Algorithm

Sharad Singhal, Lance Wu

trained with

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## An Electronic Photoreceptor Sensitive to Small Changes in Intensity

Tobi Delbrück, C. A. Mead

We describe an electronic photoreceptor circuit that is sensitive to small changes in incident light intensity. The sensitivity to change in the intensity is achieved by feeding back to the input a filtered version of the output. The feedback loop includes a hysteretic element. The circuit behaves in a manner reminiscent of the gain control properties and temporal responses of a variety of retinal cells, particularly retinal bipolar cells. We compare the thresholds for detection of intensity increments by a human and by the circuit. Both obey Weber's law and for both the temporal contrast sensitivities are nearly identical.

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## Training a 3-Node Neural Network is NP-Complete

Avrim Blum, Ronald Rivest

We consider a 2-layer, 3-node,  $n$ -input neural network whose nodes compute linear threshold functions of their inputs. We show that it is NP-complete to decide whether there exist weights and thresholds for the three nodes of this network so that it will produce output consistent with a given set of training examples. We extend the result to other simple networks. This result suggests that those looking for perfect training algorithms cannot escape inherent computational difficulties just by considering only simple or very regular networks. It also suggests the importance, given a training problem, of finding an appropriate network and input encoding for that problem.

It is left as an open problem to extend our result to nodes with non-linear functions such as sigmoids.

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## Automatic Local Annealing

Jared Leinbach

This research involves a method for finding global maxima in constraint satisfaction networks. It is an annealing process but unlike most others it requires no annealing schedule. Temperature is instead determined locally by units at each update and thus all processing is done at the unit level. There are two major practical benefits to processing this way: 1) processing can continue in 'bad' areas of the network while 'good' areas remain stable and 2) processing continues in the 'bad' areas as long as the constraints remain poorly satisfied (i.e. it does not stop after some predetermined number of cycles). As a result this method not only avoids the kludge of requiring an externally determined annealing schedule but it also finds global maxima more quickly and consistently than externally scheduled systems the Boltzmann machine (Ackley et al 1985) is made). Finally the implementation of this method is computationally trivial.

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## Adaptive Neural Net Preprocessing for Signal Detection in Non-Gaussian Noise

Richard P. Lippmann, Paul Beckman

A nonlinearity is required before matched filtering in minimum error receivers when additive noise is present which is impulsive and highly non-Gaussian. Experiments were performed to determine whether the correct clipping nonlinearity could be provided by a single-input single-output multi-layer perceptron trained with back propagation. It was found that a multi-layer perceptron with one input and output node, 20 nodes in the first hidden layer, and 5 nodes in the second hidden layer could be trained to provide a clipping nonlinearity with fewer than 5,000 presentations of noiseless and corrupted waveform samples. A network trained at a relatively high signal-to-noise (SIN) ratio and then used as a front end for a linear matched filter detector greatly reduced the

probability of error. The clipping nonlinearity formed by this network was similar to that used in current receivers designed for impulsive noise and provided similar substantial improvements in performance.

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Learning the Solution to the Aperture Problem for Pattern Motion with a Hebb Rule

Martin Sereno

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GENESIS: A System for Simulating Neural Networks

Matthew Wilson, Upinder Bhalla, John Uhley, James Bower

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Neural Architecture

Valentino Braitenberg

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Learning by Choice of Internal Representations

Tal Grossman, Ronny Meir, Eytan Domany

We introduce a learning algorithm for multilayer neural net(cid:173) works composed of binary linear threshold elements. Whereas ex(cid:173)isting algorithms reduce the learning process to minimizing a cost function over the weights, our method treats the internal repre(cid:173)sentations as the fundamental entities to be determined. Once a correct set of internal representations is arrived at, the weights are found by the local aild biologically plausible Perceptron Learning Rule (PLR). We tested our learning algorithm on four problems: adjacency, symmetry, parity and combined symmetry-parity.

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Adaptive Neural Networks Using MOS Charge Storage

Daniel Schwartz, R. Howard, Wayne Hubbard

MOS charge storage has been demonstrated as an effective method to store the weights in VLSI implementations of neural network models by several workers 2. However, to achieve the full power of a VLSI implementation of an adaptive algorithm, the learning operation must be built into the circuit.

We have fabricated and tested a circuit ideal for this purpose by connecting a pair of capacitors with a CCD like structure, allowing for variable size weight changes as well as a weight decay operation. A 2.51-'

CMOS version achieves better than 10 bits of dynamic range in a 140 /' X 3501-' area. A 1.25/' chip based upon the same cell has 1104 weights on a 3.5mm x 6.0mm die and is capable of peak learning rates of at least 2 x 10<sup>9</sup> weight changes per second.

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Implications of Recursive Distributed Representations

Jordan Pollack

I will describe my recent results on the automatic development of fixed-width recursive distributed representations of variable-sized hierarchical data structures. One implication of this work is that certain types of AI-style data-structures can now be represented in fixed-width analog vectors. Simple inferences can be performed using the type of pattern associations that neural networks excel at. Another implication arises from noting that these representations become self-similar in the limit. Once this door to chaos is opened, many interesting new questions about the representational basis of intelligence emerge, and can (and will) be discussed.

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Backpropagation and Its Application to Handwritten Signature Verification

Timothy Wilkinson, Dorothy Mighell, Joseph Goodman

A pool of handwritten signatures is used to train a neural net(cid:173) work for the task of deciding whether or not a given signature is a forgery. The network is a feedforward net, with a binary image as input. There is a hidden layer, with a single unit output layer. The weights are adjusted according to the backpropagation algorithm. The signatures are entered into a C software program through the use of a Datacopy Electronic Digitizing Camera. The binary signatures are normalized and centered. The performance is examined as a function of the training set and network structure. The best scores are on the order of 2% true signature rejection with 2-4% false signature acceptance.

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#### Theory of Self-Organization of Cortical Maps

Shigeru Tanaka

We have mathematically shown that cortical maps in the primary sensory cortices can be reproduced by using three hypotheses which have physiological basis and meaning. Here, our main focus is on ocular dominance column formation in the primary visual cortex. Monte Carlo simulations on the segregation of ipsilateral and contralateral afferent terminals are carried out. Based on these, we show that almost all the physiological experimental results concerning the ocular dominance patterns of cats and monkeys reared under normal or various abnormal visual conditions can be explained from a viewpoint of the phase transition phenomena.

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#### An Adaptive Network That Learns Sequences of Transitions

C. Winter

We describe an adaptive network, TIN2, that learns the transition function of a sequential system from observations of its behavior. It integrates two subnets, TIN-1 (Winter, Ryan and Turner, 1987) and TIN-2. TIN-2 constructs state representations from examples of system behavior, and its dynamics are the main topics of the paper. TIN-1 abstracts transition functions from noisy state representations and environmental data during training, while in operation it produces sequences of transitions in response to variations in input. Dynamics of both nets are based on the Adaptive Resonance Theory of Carpenter and Grossberg (1987). We give results from an experiment in which TIN2 learned the behavior of a system that recognizes strings with an even number of 1's.

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#### Dynamics of Analog Neural Networks with Time Delay

Charles Marcus, R. Westervelt

A time delay in the response of the neurons in a network can induce sustained oscillation and chaos. We present a stability criterion based on local stability analysis to prevent sustained oscillation in symmetric delay networks, and show an example of chaotic dynamics in a non-symmetric delay network.

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#### On the K-Winners-Take-All Network

E. Majani, Ruth Erlanson, Yaser Abu-Mostafa

We present and rigorously analyze a generalization of the Winner-Take-All Network: the K-Winners-Take-All Network. This network identifies the K largest of a set of N real numbers. The network model used is the continuous Hopfield model.

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#### Does the Neuron "Learn" like the Synapse?

Raoul Tawel

An improved learning paradigm that offers a significant reduction in computation time during the supervised learning phase is described.

It is based on

extending the role that the neuron plays in artificial neural systems. Prior work



has regarded the neuron as a strictly passive, non-linear processing element, and the synapse on the other hand as the primary source of information processing and knowledge retention. In this work, the role of the neuron is extended insofar as allowing its parameters to adaptively participate in the learning phase. The temperature of the sigmoid function is an example of such a parameter. During learning, both the synaptic interconnection weights  $w[j]$  and the neuronal temperatures  $T_r$  are optimized so as to capture the knowledge contained within the training set. The method allows each neuron to possess and update its own characteristic local temperature. This algorithm has been applied to logic type of problems such as the XOR or parity problem, resulting in a significant decrease in the required number of training cycles.

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#### Use of Multi-Layered Networks for Coding Speech with Phonetic Features

Yoshua Bengio, Régis Cardin, Renato de Mori, Piero Cosi

Preliminary results on speaker-independent speech recognition are reported. A method that combines expertise on neural networks with expertise on speech recognition is used to build the recognition systems. For transient sounds, event-driven property extractors with variable resolution in the time and frequency domains are used. For sonorant speech, a model of the human auditory system is preferred to FFT as a front-end module.

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#### Electronic Receptors for Tactile/Haptic Sensing

Andreas Andreou

We discuss synthetic receptors for haptic sensing. These are based on magnetic field sensors (Hall effect structures) fabricated using standard CMOS technologies. These receptors, biased with a small permanent magnet can detect the presence of ferro or ferri-magnetic objects in the vicinity of the sensor. They can also detect the magnitude and direction of the magnetic field.

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#### A Programmable Analog Neural Computer and Simulator

Paul Mueller, Jan Van der Spiegel, David Blackman, Timothy Chiu, Thomas Clare, Joseph Dao, Christopher Donham, Tzu-pu Hsieh, Marc Loinaz

This report describes the design of a programmable general purpose analog neural computer and simulator. It is intended primarily for real-world real-time computations such as analysis of visual or acoustical patterns, robotics and the development of special purpose neural nets. The machine is scalable and composed of interconnected modules containing arrays of neurons, modifiable synapses and switches. It runs entirely in analog mode but connection architecture, synaptic gains and time constants as well as neuron parameters are set digitally. Each neuron has a limited number of inputs and can be connected to any but not all other neurons. For the determination of synaptic gains and the implementation of learning algorithms the neuron outputs are multiplexed, A/D converted and stored in digital memory. Even at moderate size of 10<sup>3</sup> to 10<sup>4</sup> neurons computational speed is expected to exceed that of any current digital computer.

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#### An Information Theoretic Approach to Rule-Based Connectionist Expert Systems

Rodney Goodman, John Miller, Padhraic Smyth

We discuss in this paper architectures for executing probabilistic rule-bases in a parallel manner, using as a theoretical basis recently introduced

d information-theoretic models. We will begin by describing our (non-neural) learning algorithm and theory of quantitative rule modelling, followed by a discussion on the exact nature of two particular models. Finally we work through an example of our approach, going from database to rules to inference network, and compare the network's performance with the theoretical limits for specific problems.

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#### A Connectionist Expert System that Actually Works

Richard Fozzard, Gary Bradshaw, Louis Ceci

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#### Cricket Wind Detection

John Miller

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#### ALVINN: An Autonomous Land Vehicle in a Neural Network

Dean A. Pomerleau

ALVINN (Autonomous Land Vehicle In a Neural Network) is a 3-layer back-propagation network designed for the task of road following. Currently ALVINN takes images from a camera and a laser range finder as input and produces as output the direction the vehicle should travel in order to follow the road. Training has been conducted using simulated road images. Successful tests on the Carnegie Mellon autonomous navigation test vehicle indicate that the network can effectively follow real roads under certain field conditions. The representation developed to perform the task differs dramatically when the network is trained under various conditions, suggesting the possibility of a novel adaptive autonomous navigation system capable of tailoring its processing to the conditions at hand.

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#### Fast Learning in Multi-Resolution Hierarchies

John Moody

A class of fast, supervised learning algorithms is presented. They use local receptive fields.

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#### Mapping Classifier Systems Into Neural Networks

Lawrence Davis

Classifier systems are machine learning systems incorporating a genetic algorithm.

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#### A Network for Image Segmentation Using Color

Anya Hurlbert, Tomaso Poggio

We propose a parallel network of simple processors to find color boundaries irrespective of spatial changes in illumination, and to spread uniform colors within marked regions.

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#### Training a Limited-Interconnect, Synthetic Neural IC

M. Walker, S. Haghighi, A. Afghani, Larry Akers

Hardware implementation of neuromorphic algorithms is hampered by high degrees of connectivity. Functionally equivalent feedforward networks may be formed by using limited fan-in nodes and additional layers, but this complicates procedures for determining weight magnitudes. No direct mapping of weights exists between fully and limited-interconnect nets. Low-level nonlinearities prevent the formation of internal representations of widely separated spatial features and the use of gradient descent methods to minimize output error.

is hampered by error magnitude dissipation. The judicious use of linear summations or collection units is proposed as a solution.

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#### A Model for Resolution Enhancement (Hyperacuity) in Sensory Representation

Jun Zhang, John Miller

Heiligenberg (1987) recently proposed a model to explain how sen(cid:173)

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#### Temporal Representations in a Connectionist Speech System

Erich Smythe

SYREN is a connectionist model that uses temporal information in a speech signal for syllable recognition. It classifies the rates and directions of formant center transitions, and uses an adaptive method to associate transition events with each syllable. The system uses explicit spatial temporal representations through de(cid:173)lay lines. SYREN uses implicit parametric temporal representa(cid:173)tions in formant transition classification through node activation onset, decay, and transition delays in sub-networks analogous to visual motion detector cells. SYREN recognizes 79% of six repe(cid:173)titions of 24 consonant-vowel syllables when tested on unseen data, and recognizes 100% of its training syllables.

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#### Neural Network Star Pattern Recognition for Spacecraft Attitude Determination and Control

Phillip Alvelda, A. San Martin

computational bottlenecks

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#### A Massively Parallel Self-Tuning Context-Free Parser

Eugene Santos

The Parsing and Learning System(PALS) is a massively parallel self-tuning context-free parser. It is capable of parsing sentences of unbounded length mainly due to its parse-tree representation scheme. The system is capable of improving its parsing performance through the presentation of training examples.

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#### Neural Net Receivers in Multiple Access-Communications

Bernd-Peter Paris, Geoffrey Orsak, Mahesh Varanasi, Behnaam Aazhang

The application of neural networks to the demodulation of spread-spectrum signals in a multiple-access environment is considered. This study is motivated in large part by the fact that, in a multiuser system, the conventional (matched filter(cid:173) receiver suffers severe performance degradation as the relative powers of the interfering signals become large (the "near-far" problem). Furthermore, the optimum receiver, which alleviates the near-far problem, is too complex to be of practical use. Receivers based on multi-layer perceptrons are considered as a simple and robust alternative to the opti(cid:173)mum solution. The optimum receiver is used to benchmark the performance of the neural net receiver; in particular, it is proven to be instrumental in identifying the decision regions of the neural networks. The back-propagation algorithm and a modified version of it are used to train the neural net. An importance sampling technique is introduced to reduce the number of simulations necessary to evaluate the performance of neural nets. In all examples considered the proposed neu(cid:173)ral ~et receiver significantly outperforms the conventional receiver.

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#### A Computationally Robust Anatomical Model for Retinal Directional Selectivity

Norberto Grzywacz, Franklin Amthor

We analyze a mathematical model for retinal directionally selective cells based on recent electrophysiological data, and show that its computation of motion direction is robust against noise and speed.

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#### Statistical Prediction with Kanerva's Sparse Distributed Memory

David Rogers

A new viewpoint of the processing performed by Kanerva's sparse distri

buted memory (SDM) is presented. In conditions of near- or over- capacity, where the associative-memory behavior of the model breaks down, the processing performed by the model can be interpreted as that of a statistical predictor. Mathematical results are presented which serve as the framework for a new statistical viewpoint of sparse distributed memory and for which the standard formulation of SDM is a special case. This viewpoint suggests possible enhancements to the SDM model, including a procedure for improving the predictiveness of the system based on Holland's work with 'Genetic Algorithms', and a method for improving the capacity of SDM even when used as an associative memory.

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#### Learning Sequential Structure in Simple Recurrent Networks

David Servan-Schreiber, Axel Cleeremans, James McClelland

We explore a network architecture introduced by Elman (1988) for predicting successive elements of a sequence. The network uses the pattern of activation over a set of hidden units from time-step  $t-1$ , together with element  $t$ , to predict element  $t+1$ . When the network is trained with strings from a particular finite-state grammar, it can learn to be a perfect finite-state recognizer for the grammar. Cluster analyses of the hidden-layer patterns of activation showed that they encode prediction-relevant information about the entire path traversed through the network. We illustrate the phases of learning with cluster analyses performed at different points during training.

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#### A Back-Propagation Algorithm with Optimal Use of Hidden Units

Yves Chauvin

This paper presents a variation of the back-propagation algorithm that makes optimal use of a network hidden units by defining an "energy" term written as a function of the squared activations of these hidden units. The algorithm can automatically find optimal or nearly optimal architectures necessary to solve known Boolean functions, facilitate the interpretation of the activation of the remaining hidden units and automatically estimate the complexity of architectures appropriate for phonetic labeling problems. The general principle of the algorithm can also be adapted to different tasks: for example, it can be used to eliminate the  $[0, 0]$  local minimum of the  $[-1, +1]$  logistic activation function while preserving a much faster convergence and forcing binary activations over the set of hidden units.

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#### GEMINI: Gradient Estimation Through Matrix Inversion After Noise Injection

Yann Le Cun, Conrad Galland, Geoffrey E. Hinton

Learning procedures that measure how random perturbations of unit activities correlate with changes in reinforcement are inefficient but simple to implement in hardware. Procedures like back-propagation (Rumelhart, Hinton and Williams, 1986) which compute how changes in activities affect the output error are much more efficient, but require more complex hardware. GEMINI is a hybrid procedure for multilayer networks, which shares many of the implementation advantages of correlational reinforcement procedures but is more efficient. GEMINI injects noise only at the first hidden layer and measures the resultant effect on the output error. A linear network associated with each hidden layer iteratively inverts the matrix which relates the noise to the error change, thereby obtaining the error-derivatives. No back-propagation is involved, thus allowing unknown non-linearities in the system. Two simulations demonstrate the effectiveness of GEMINI.

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#### A Self-Learning Neural Network

Allan Hartstein, R. Koch

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#### Neural Networks for Model Matching and Perceptual Organization

Eric Mjolsness, Gene Gindi, P. Anandan

We introduce an optimization approach for solving problems in com(cid:173)

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#### Neuronal Maps for Sensory-Motor Control in the Barn Owl

Clay D. Spence, John Pearson, J. Gelfand, R. Peterson, W. Sullivan

The barn owl has fused visual/auditory/motor representations of space in its mid brain which are used to orient the head so that visu(cid:173) al or auditory stimuli are centered in the visual field of view. We present models and computer simulations of these structures which address various problems, inclu

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#### Performance of Synthetic Neural Network Classification of Noisy Radar Signals

Stanley Ahalt, F. Garber, I. Jouny, Ashok Krishnamurthy

This study evaluates the performance of the multilayer-perceptron and the frequency-sensitive competitive learning network in iden(cid:173) tifying five commercial aircraft from radar backscatter measure(cid:173) ments. The performance of the neural network classifiers is com(cid:173) pared with that of the nearest-neighbor and maximum-likelihood classifiers. Our results indicate that for this problem, the neural network classifiers are relatively insensitive to changes in the net(cid:173) work topology, and to the noise level in the training data. While, for this problem, the traditional algorithms outperform these sim(cid:173) ple neural classifiers, we feel that neural networks show the poten(cid:173) tial for improved performance.

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#### Connectionist Learning of Expert Preferences by Comparison Training

Gerald Tesauro

A new training paradigm, named the "comparison paradigm," is introduced for tasks in which a network must learn to choose a preferred pattern from a set of  $n$  alternatives, based on examples of human expert preferences. In this paradigm, the input to the network consists of two of the  $n$  alternatives, and the trained output is the expert's judgement of which pattern is better. This paradigm is applied to the learning of backgammon, a difficult board game in which the expert selects a move from a set of legal moves. With comparison training, much higher levels of performance can be achieved, with networks that are much smaller, and with coding schemes that are much simpler and easier to understand. Furthermore, it is possible to set up the network so that it always produces consistent rank-orderings.

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#### Winner-Take-All Networks of $O(N)$ Complexity

J. Lazzaro, S. Ruckebusch, M.A. Mahowald, C. A. Mead

We have designed, fabricated, and tested a series of compact CMOS integrated circuits that realize the winner-take-all function. These analog, continuous-time circuits use only  $O(n)$  of interconnect to perform this function. We have also modified the winner-take-all circuit, realizing a circuit that computes local nonlinear inhibition.

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#### Neural Network Recognizer for Hand-Written Zip Code Digits

John Denker, W. Gardner, Hans Graf, Donnie Henderson, R. Howard, W. Hubbard, L. D. Jackel, Henry Baird, Isabelle Guyon

This paper describes the construction of a system that recognizes hand-printed digits, using a combination of classical techniques and neural-net methods. The system has been trained and tested on real-world data, derived from zip codes seen on actual U.S. Mail. The system rejects a small percentage of the examples as unclassifiable, and achieves a very low error rate on the remaining examples. The system compares favorably with other state-of-the-art recognizers. While

some of the methods are specific to this task, it is hoped that many of the techniques will be applicable to a wide range of recognition tasks.

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#### Analog Implementation of Shunting Neural Networks

Bahram Nabet, Robert Darling, Robert Pinter

An extremely compact, all analog and fully parallel implementation of a class of shunting recurrent neural networks that is applicable to a wide variety of FET-based integration technologies is proposed. While the contrast enhancement, data compression, and adaptation to mean input intensity capabilities of the network are well suited for processing of sensory information or feature extraction for a content addressable memory (CAM) system, the network also admits a global Liapunov function and can thus achieve stable CAM storage itself. In addition the model can readily function as a front-end processor to an analog adaptive resonance circuit.

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#### Range Image Restoration Using Mean Field Annealing

Griff Bilbro, Wesley Snyder

A new optimization strategy, Mean Field Annealing, is presented. Its application to MAP restoration of noisy range images is derived and experimentally verified.

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#### Analyzing the Energy Landscapes of Distributed Winner-Take-All Networks

David Touretzky

DCPS (the Distributed Connectionist Production System) is a neural network with complex dynamical properties. Visualizing the energy landscapes of some of its component modules leads to a better intuitive understanding of the model, and suggests ways in which its dynamics can be controlled in order to improve performance on difficult cases.

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#### Neural Approach for TV Image Compression Using a Hopfield Type Network

Martine Naillon, Jean-Bernard Theeten

A self-organizing Hopfield network has been developed in the context of Vector Quantization, aiming at compression of television images. The metastable states of the spin glass-like network are used as an extra the Minimal Overlap storage resource using and Mezard 1987) to rule (Krauth learning the organization of the attractors. optimize The self-organizing that we have scheme devised the generation of an in adaptive codebook for any given TV image.

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#### Speech Recognition: Statistical and Neural Information Processing Approaches

John Bridle

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#### Convergence and Pattern-Stabilization in the Boltzmann Machine

Moshe Kam, Roger Cheng

The Boltzmann Machine has been introduced as a means to perform global optimization for multimodal objective functions using the principles of simulated annealing. In this paper we consider its utility as a spurious-free content-addressable memory, and provide bounds on its performance in this context. We show how to exploit the machine's ability to escape local minima, in order to use it, at a constant temperature, for unambiguous associative pattern-retrieval in noisy environments. An association rule, which creates a sphere of influence around each stored pattern, is used along with the Machine's dynamics to match the machine's noisy input with one of the pre-stored patterns. Spurious fixed points, whose regions of attraction are not recognized by the rule, are skipped, due to the Machine's finite probability to escape from any state. The results apply to the Boltzmann machine and to the asynchronous net of binary threshold elements.

ts (Hopfield model'). They provide the network designer with worst-case and best-case bounds for the network's performance, and allow polynomial-time tradeoff studies of design parameters.

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#### Models of Ocular Dominance Column Formation: Analytical and Computational Results

Kenneth Miller, Joseph Keller, Michael Stryker

We have previously developed a simple mathematical model of ocular dominance column formation in the visual cortex.

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#### Digital Realisation of Self-Organising Maps

Nigel Allinson, Martin Johnson, Kevin Moon

Kevin J. Moon

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#### Further Explorations in Visually-Guided Reaching: Making MURPHY Smarter

Bartlett Mel

MURPHY is a vision-based kinematic controller and path planner based on a connectionist architecture, and implemented with a video camera and Rhino XR-series robot arm. Imitative of the layout of sensory and motor maps in cerebral cortex, MURPHY'S internal representations consist of four coarse-coded populations of simple units representing both static and dynamic aspects of the sensory-motor environment. In previously reported work [4], MURPHY first learned a direct kinematic model of his camera-arm system during a period of extended practice, and then used this "mental model" to heuristically guide his hand to unobstructed visual targets. MURPHY has since been extended in two ways: First, he now learns the inverse differential-kinematics of his arm in addition to ordinary direct kinematics, which allows him to push his hand directly towards a visual target without the need for search. Secondly, he now deals with the much more difficult problem of reaching in the presence of obstacles.

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#### Scaling and Generalization in Neural Networks: A Case Study

Subutai Ahmad, Gerald Tesauro

The issues of scaling and generalization have emerged as key issues in current studies of supervised learning from examples in neural networks. Questions such as how many training patterns and training cycles are needed for a problem of a given size and difficulty, how to represent the input and how to choose useful training exemplars, are of considerable theoretical and practical importance. Several intuitive rules of thumb have been obtained from empirical studies, but as yet there are few rigorous results. In this paper we summarize a study of generalization in the simplest possible case-perceptron networks learning linearly separable functions. The task chosen was the majority function (i.e. return a 1 if a majority of the input units are on), a predicate with a number of useful properties. We find that many aspects of generalization in multilayer networks learning large, difficult tasks are reproduced in this simple domain, in which concrete numerical results and even some analytic understanding can be achieved.

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#### A Model of Neural Oscillator for a Unified Submodule

Alexandr Kirillov, G. N. Borisyuk, R. M. Borisyuk, Ye. Kovalenko, V. Makarenko, V. Chulaevsky, V. Kryukov

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#### An Optimality Principle for Unsupervised Learning

Terence Sanger

We propose an optimality principle for training an unsupervised feed

forward neural network based upon maximal ability to reconstruct the input data from the network output. We describe an algorithm which can be used to train either linear or nonlinear networks with certain types of nonlinearity. Examples of applications to the problems of image coding, feature detection, and analysis of random dot stereograms are presented.

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#### Neural Analog Diffusion-Enhancement Layer and Spatio-Temporal Grouping in Early Vision

Allen Waxman, Michael Seibert, Robert Cunningham, Jian Wu

A new class of neural network aimed at early visual processing is described; we call it a Neural Analog Diffusion-Enhancement Layer or "NADEL." The network consists of two levels which are coupled through feedforward and shunted feedback connections. The lower level is a two-dimensional diffusion map which accepts visual features as input, and spreads activity over larger scales as a function of time. The upper layer is periodically fed the activity from the diffusion layer and locates local maxima in it (an extreme form of contrast enhancement) using a network of local comparators. These local maxima are fed back to the diffusion layer using an on-center/off-surround searching anatomy. The maxima are also available as output of the network. The network dynamics serves to cluster features on multiple scales as a function of time, and can be used in a variety of early visual processing tasks such as: extraction of corners and high curvature points along edge contours, line end detection, gap filling in contours, generation of fixation points, perceptual grouping on multiple scales, correspondence and path completion in long-range apparent motion, and building 2-D shape representations that are invariant to location, orientation, scale, and small deformation on the visual field.

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#### Optimization by Mean Field Annealing

Griff Bilbro, Reinhold Mann, Thomas Miller, Wesley Snyder, David van den Bout, Mark White

Nearly optimal solutions to many combinatorial problems can be found using stochastic simulated annealing. This paper extends the concept of simulated annealing from its original formulation as a Markov process to a new formulation based on mean field theory. Mean field annealing essentially replaces the discrete degrees of freedom in simulated annealing with their average values as computed by the mean field approximation. The net result is that equilibrium at a given temperature is achieved 1-2 orders of magnitude faster than with simulated annealing. A general framework for the mean field annealing algorithm is derived, and its relationship to Hopfield networks is shown. The behavior of MFA is examined both analytically and experimentally for a generic combinatorial optimization problem: graph bipartitioning. This analysis indicates the presence of critical temperatures which could be important in improving the performance of neural networks.

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#### An Application of the Principle of Maximum Information Preservation to Linear Systems

Ralph Linsker

This paper addresses the problem of determining the weights for a set of linear filters (model "cells") so as to maximize the ensemble-averaged information that the cells' output values jointly convey about their input values, given the statistical properties of the ensemble of input vectors. The quantity that is maximized is the Shannon information rate, or equivalently the average mutual information between input and output. Several models for the role of processing noise are analyzed, and the biological motivation for considering them is described. For simple models in which nearby input signal values (in space or time) are correlated, the cells resulting from this optimization process include center-surround cells and cells sensitive to temporal variations in input signal.

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## Spreading Activation over Distributed Microfeatures

James Hendler

One attempt at explaining human inferencing is that of spreading activation, particularly in the structured connectionist paradigm. This has resulted in the building of systems with semantically nameable nodes which perform inferencing by examining the patterns of activation spread. In this paper we demonstrate that simple structured network inferencing can be performed by passing activation over the weights learned by a distributed algorithm. Thus, an account is provided which explains a well behaved relationship between structured and distributed connectionist approaches.

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## Consonant Recognition by Modular Construction of Large Phonemic Time-Delay Neural Networks

Alex Waibel

In this paper we show that neural networks for speech recognition can be constructed in a modular fashion by exploiting the hidden structure of previously trained phonetic subcategory networks. The performance of resulting larger phonetic nets was found to be as good as the performance of the subcomponent nets by themselves. This approach avoids the excessive learning times that would be necessary to train larger networks and allows for incremental learning. Large time-delay neural networks constructed incrementally by applying these modular training techniques achieved a recognition performance of 96.0% for all consonants.

\*\*\*\*\*

## Constraints on Adaptive Networks for Modeling Human Generalization

Mark Gluck, M. Pavel, Van Henkle

The potential of adaptive networks to learn categorization rules and to model human performance is studied by comparing how natural and artificial systems respond to new inputs, i.e., how they generalize. Like humans, networks can learn a deterministic categorization task by a variety of alternative individual solutions. An analysis of the constraints imposed by using networks with the minimal number of hidden units shows that this "minimal configuration" constraint is not sufficient to explain and predict human performance; only a few solutions were found to be shared by both humans and minimal adaptive networks. A further analysis of human and network generalizations indicates that initial conditions may provide important constraints on generalization. A new technique, which we call "reversed learning", is described for finding appropriate initial conditions.

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## Self Organizing Neural Networks for the Identification Problem

Manoel Tenorio, Wei-Tsih Lee

This work introduces a new method called Self Organizing Neural Network (SONN) algorithm and demonstrates its use in a system identification task. The algorithm constructs the network, chooses the neuron functions, and adjusts the weights. It is compared to the Back-Propagation algorithm in the identification of the chaotic time series. The results show that SONN constructs a simpler, more accurate model requiring less training data and epochs. The algorithm can be applied and generalized to applications as a classifier.

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## Learning with Temporal Derivatives in Pulse-Coded Neuronal Systems

David Parker, Mark Gluck, Eric Reifsnider

A number of learning models have recently been proposed which involve calculations of temporal differences (or derivatives in continuous-time models). These models, like most adaptive network models, are formulated in terms of frequency (or activation), a useful abstraction of neuronal firing rates. To more precisely evaluate the implications of a neuronal model, it may be preferable to develop a model which transmits discrete pulse-coded information. We point out that

many functions and properties of neuronal processing and learning may depend. in subtle ways. on the pulse-coded nature of the information coding and transmission properties of neuron systems. When compared to formulations in terms of activation. computing with temporal derivatives (or differences) as proposed by Kosko (1986). Klopff (1988). and Sutton (1988). is both more stable and easier when reformulated for a more neurally realistic pulse-coded system. In reformulating these models in terms of pulse-coding. our motivation has been to enable us to draw further parallels and connections between real-time behavioral models of learning and biological circuit models of the substrates underlying learning and memory.

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Using Backpropagation with Temporal Windows to Learn the Dynamics of the CMU Direct-Drive Arm II

Kenneth Goldberg, Barak Pearlmutter

Computing the inverse dynamics of a robot arm is an active area of research in the control literature. We hope to learn the inverse dynamics by training a neural network on the measured response of a physical arm. The input to the network is a temporal window of measured positions; output is a vector of torques. We train the network on data measured from the first two joints of the CMU Direct-Drive Arm II as it moves through a randomly-generated sample of "pick-and-place" trajectories. We then test generalization with a new trajectory and compare its output with the torque measured at the physical arm. The network is shown to generalize with a root mean square error/standard deviation (RMSS) of 0.10. We interpreted the weights of the network in terms of the velocity and acceleration filters used in conventional control theory.

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Storing Covariance by the Associative Long-Term Potentiation and Depression of Synaptic Strengths in the Hippocampus

Patric Stanton, Terrence J. Sejnowski

In modeling studies of memory based on neural networks, both the selective enhancement and depression of synaptic strengths are required for efficient storage of information (Sejnowski, 1977a,b; Kohonen, 1984; Bienenstock et al, 1982; Sejnowski and Tesauero, 1989). We have tested this assumption in the hippocampus, a cortical structure of the brain that is involved in long-term memory. A brief, high-frequency activation of excitatory synapses in the hippocampus produces an increase in synaptic strength known as long-term potentiation, or LTP (Buzsaki and Lomo, 1973), that can last for many days. LTP is known to be Hebbian since it requires the simultaneous release of neurotransmitter from presynaptic terminals coupled with postsynaptic depolarization (Kelso et al, 1986; Malinow and Miller, 1986; Gustafsson et al, 1987). However, a mechanism for the persistent reduction of synaptic strength that could balance LTP has not yet been demonstrated. We studied the associative interactions between separate inputs onto the same dendritic trees of hippocampal pyramidal cells of field CA1, and found that a low-frequency input which, by itself, does not persistently change synaptic strength, can either increase (associative LTP) or decrease in strength (associative long-term depression or LTD) depending upon whether it is positively or negatively correlated in time with a second, high-frequency bursting input. LTP of synaptic strength is Hebbian, and LTD is anti-Hebbian since it is elicited by pairing presynaptic firing with postsynaptic hyperpolarization sufficient to block postsynaptic activity. Thus, associative LTP and associative LTD are capable of storing information contained in the covariance between separate, converging hippocampal inputs.

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Dynamic, Non-Local Role Bindings and Inferencing in a Localist Network for Natural Language Understanding

Trent Lange, Michael Dyer

This paper introduces a means to handle the critical problem of non-local role-bindings in localist spreading-activation networks. Every conceptual node in the network broadcasts a stable, uniquely-identifying activation

n pattern, called its signature. A dynamic role-binding is created when a role's binding node has an activation that matches the bound concept's signature. Most importantly, signatures are propagated across long paths of nodes to handle the non-local role-bindings necessary for inferencing. Our localist network model, ROBIN (ROle Binding and Inferencing Network), uses signature activations to robustly represent schemata role-bindings and thus perform the inferencing, plan/goal analysis, schema instantiation, word-sense disambiguation, and dynamic reinterpretation portions of the natural language understanding process.

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#### Fixed Point Analysis for Recurrent Networks

Patrice Simard, Mary Ottaway, Dana Ballard

This paper provides a systematic analysis of the recurrent backpropagation (RBP) algorithm, introducing a number of new results. The main limitation of the RBP algorithm is that it assumes the convergence of the network to a stable fixed point in order to backpropagate the error signals. We show by experiment and eigenvalue analysis that this condition can be violated and that chaotic behavior can be avoided. Next we examine the advantages of RBP over the standard backpropagation algorithm. RBP is shown to build stable fixed points corresponding to the input patterns. This makes it an appropriate tool for content addressable memories, one-to-many function learning, and inverse problems.

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