

**Ques 1: What is meant by an Information Retrieval System? Discuss how the process of searching influences the overall document collection.**

**Definition (high level)**

An **Information Retrieval (IR) System** stores, indexes, and retrieves documents (text, audio, images, etc.) in response to users' queries. Unlike a database (which answers precise structured queries), IR systems handle *unstructured* or *semi-structured* content and return ranked lists of documents by estimated relevance.

**Core goals**

- retrieve documents that satisfy an information need (recall),
- rank the results so the most useful appear early (precision / ranking),
- do both efficiently at web or enterprise scale.

**Main components (brief)**

- Document acquisition (crawlers, ingestion pipelines)
- Text processing (tokenization, stopword removal, normalization, stemming/lemmatization)
- Indexer (usually inverted index: term → postings list)
- Query processor (parsing, expansion)
- Retrieval/ranking model (Boolean, Vector Space, BM25, neural rankers)
- UI & feedback (query logs, relevance feedback)
- Index maintenance (updates, deletions, merges)

**How the search process influences the document collection**

Search is not just a consumer of the collection — it also shapes it. Important influences:

**1. Indexing choices shape what's retrievable**

- Tokenization, stopword lists, stemming/lemmatization, case-folding affect which terms are indexed. If you stem aggressively, morphological variants collapse (better recall, sometimes worse precision).
- Which fields are indexed (title, body, metadata) affects matching.

**2. Query logs drive collection evolution**

- Frequent query patterns signal which documents or topics are important → operators may crawl/ingest more documents on those topics or promote them.
- Query logs fuel query expansion dictionaries, synonym lists, and machine-learned ranking features.

**3. Feedback & relevance judgments shape re-indexing and ranking**

- Relevance feedback (explicit or implicit via clicks) can cause reweighting of documents, learning-to-rank model updates, personalized indexes, or curated content addition/removal.

**4. Curation, deduplication, and quality control**

- Popular search patterns reveal low-quality or spammy documents; operators may block or downrank them, reducing their presence in the effective collection.

**5. Bias and “rich-get-richer” effects**

- Document visibility in search influences subsequent traffic and linking, which can reinforce the prominence of already-popular content; system design (e.g., diversification) is used to mitigate this.

## 6. Storage & partitioning decisions

- Search workloads (hot queries, burst traffic) determine sharding/replication policies and which documents are kept in memory caches vs. cold storage.

## 7. Legal/privacy and retention policies

- Search requirements (e.g., GDPR right-to-be-forgotten) affect which documents are retained and how they are indexed (e.g., low-granularity vs. hashed personally-identifying fields).

## 8. Update & incremental indexing needs

- Frequently searched topics may require low-latency updates (news), pushing the system design toward dynamic/delta indexes instead of large batch rebuilds.

### Practical example

A medical search engine that discovers many queries for a new drug will:

- prioritize crawling papers & official pages about the drug,
- add domain-specific synonyms (brand/generic name),
- choose to index abstracts and clinical-trial tables as fields,
- and expose freshness signals in ranking.

### Summary

Search and the document collection are in a feedback loop: indexing and preprocessing choices determine what search can retrieve; search behavior (queries, clicks) drives collection growth, curation, ranking models, and storage policies.

## Ques 2: What do you understand about Vocabulary Domains? Describe the functional architecture of an Information Retrieval System.

### Vocabulary Domains — meaning & importance

- A **vocabulary domain** is the set of words, phrases, abbreviations, technical terms, and conventions used within a specific subject area or user community (e.g., medical, legal, social media slang).
- **Why it matters:**
  - *Synonymy*: multiple surface forms for same concept (e.g., “myocardial infarction” vs “heart attack”).
  - *Polysemy*: same word different meanings (e.g., “bank”).
  - *Morphology & orthography*: domain affects tokenization (chemical formulas, hyphens, word compounds).
  - *Special tokens*: codes, abbreviations, measurement units, gene names, legal citations.

### Effects on IR design

- Need for domain-specific dictionaries, ontologies (UMLS for medicine), or term normalization.
- Query expansion and mapping of user vocabulary to document vocabulary (synonym maps).
- Different stop-word lists and stemming/lemmatization rules per domain.

### Functional architecture of an IR system (detailed data flow)

I’ll describe a classical pipeline from document ingestion through query to ranking. For each block I include its responsibilities and common data structures.

## 1. Document acquisition

- Crawlers, file ingestion, connectors (APIs) collect documents.
  - Responsibilities: fetch, deduplicate, respect robots/policies.
- 2. Document parsing & preprocessing**
- Parse raw content (HTML → text, PDF → text).
  - Extract fields (title, author, body, metadata).
  - Language identification, charset normalization.
- 3. Text processing (term extraction pipeline)**
- **Tokenization** (split text into tokens/words).
  - **Stop-word removal** (optional).
  - **Normalization** (case-folding, accent removal, punctuation handling).
  - **Stemming/Lemmatization** (reduce morphological variants).
  - **Named-entity recognition** / phrase detection.
  - Output: list of normalized tokens with positions (for positional indexes).
- 4. Index construction**
- **Lexicon (dictionary)**: mapping term → term ID, document frequency, pointers to postings.
  - **Postings lists**: for each term, list of posting entries (docID, term frequency, positions, field info).
  - **Storage choices**: compressed postings (variable-byte, gamma), skip pointers, positional info.
  - Writes to disk as inverted index; may be partitioned/sharded.
- 5. Index maintenance**
- Merging blocks, delta indexes for dynamic updates, rebuilding/refreshing.
- 6. Query processing**
- Query parsing & normalization (apply same tokenization and normalization as docs).
  - Query expansion (synonyms, spelling correction, query logs).
  - Translate query to operations on index (boolean, term weight vector).
- 7. Retrieval & ranking**
- **Candidate generation**: fetch postings for query terms, union/intersect/posting merges.
  - **Scoring**: compute relevance scores (TF-IDF, BM25, probabilistic models, or neural ranker).
  - **Re-ranking**: apply secondary features (click data, freshness, personalization).
  - **Output**: ranked document list with snippets.
- 8. User interface & feedback**
- Display results, facets, snippets, query suggestions.
  - Collect implicit feedback (clicks/time-on-page) and explicit relevance labels.

## 9. Analytics & learning

- Use query logs, A/B tests, learning-to-rank training, and model updating.

## 10. Scale & distributed architecture

- **Sharding**: split index across machines (by document or by term).
- **Replication**: copies for availability.
- **Coordination**: distributed search front-ends, aggregator nodes.

### Data structures of note

- Inverted index (term  $\rightarrow$  postings)
- Blocked/segment files (for merges)
- Lexicon + vocabulary statistics (df, nt)
- Document store (for retrieving original doc and snippets)
- Forward index or document vectors (sometimes used for re-ranking)

### Wrap-up

The IR architecture is intentionally layered so linguistic processing and indexing are decoupled from ranking and UI. Vocabulary domains feed into preprocessing and query-expansion stages: the closer the preprocessing matches domain usage, the better the retrieval effectiveness.

**Ques 3: What is Boolean Retrieval? Describe the procedure for finding the common elements between two postings lists p1 and p2.**

### Boolean retrieval — quick definition

- **Boolean retrieval** uses Boolean logic (AND, OR, NOT) to retrieve documents that either *satisfy* or *don't* satisfy exact Boolean expressions over terms.
- Documents are either *relevant* or *not* (no ranking by degree of relevance in pure Boolean model).

### Characteristics

- Fast, exact matching.
- Typically implemented with inverted indexes; boolean operators correspond to set operations (intersection, union, complement).
- No ranked ordering (unless augmented with heuristics or later ranking stage).

### Finding common elements between two postings lists (intersection) — merge algorithm

Assume postings lists are sorted in increasing order of docID.

**Input:** p1 (length m), p2 (length n), both sorted.

**Goal:** compute  $p1 \cap p2$  (docs appearing in both).

### Two-pointer merge algorithm (standard)

Pseudocode:

$i \leftarrow 0, j \leftarrow 0$

answer  $\leftarrow []$

while  $i < \text{len}(p1)$  and  $j < \text{len}(p2)$ :

if  $p1[i] == p2[j]$ :

$\text{answer.append}(p1[i])$

$i \leftarrow i + 1$

$j \leftarrow j + 1$

else if  $p1[i] < p2[j]$ :

$i \leftarrow i + 1$

else:

$j \leftarrow j + 1$

return answer

**Complexity:**  $O(m + n)$  comparisons in worst case. Works best when lists are of similar length.

#### Variants / optimizations

- If one list (say  $p\_short$ ) is much shorter than the other, for each element of  $p\_short$  do a binary search in the longer list: cost  $O(|short| \cdot \log |long|)$ . This can be faster than  $O(m+n)$  when  $|short| \ll |long|$ .
- Use **skip pointers** in long postings to jump ahead in larger steps — reduces comparisons when elements are far apart.

#### Worked small example

$p1 = [2, 6, 7, 15]$ ,  $p2 = [1, 2, 7, 10]$

- Compare 2 vs 1  $\rightarrow 2 > 1 \rightarrow$  advance  $p2$
- Compare 2 vs 2  $\rightarrow$  equal  $\rightarrow$  add 2; advance both
- Compare 6 vs 7  $\rightarrow 6 < 7 \rightarrow$  advance  $p1$
- Compare 7 vs 7  $\rightarrow$  equal  $\rightarrow$  add 7; advance both
- Done. Answer = [2,7].

#### Binary-search variant pseudocode

for each doc  $d$  in  $p\_short$ :

if  $\text{binary\_search}(p\_long, d)$  is true:

$\text{answer.append}(d)$

Complexity:  $O(|short| \cdot \log |long|)$ .

Ques 4: Given the 4 documents — create (a) term–document incidence matrix and (b) inverted index.

Documents:

- Doc 1: breakthrough drug for schizophrenia
- Doc 2: new schizophrenia drug
- Doc 3: new approach for treatment of schizophrenia
- Doc 4: new hopes for schizophrenia patients

(I'll treat tokens as lowercased single words, and keep small function words like **for**, **of** as terms unless asked to remove stopwords.)

### Step 1 — Unique terms (sorted)

Let's list unique terms found across the four docs:

1. approach
2. breakthrough
3. drug
4. for
5. hopes
6. new
7. of
8. patients
9. schizophrenia
10. treatment

#### (a) Term–document incidence matrix

Rows = terms (above order). Columns = Doc1, Doc2, Doc3, Doc4. Put 1 if term appears in document.

Term \ Doc	Doc 1	Doc 2	Doc 3	Doc 4
approach	0	0	1	0
breakthrough	1	0	0	0
drug	1	1	0	0
for	1	0	1	1
hopes	0	0	0	1
new	0	1	1	1
of	0	0	1	0
patients	0	0	0	1
schizophrenia	1	1	1	1
treatment	0	0	1	0

(You can reorder terms any way — above is alphabetical. If you remove stopwords like *for* / *of*, drop those rows accordingly.)

#### (b) Inverted index (term → posting list of docIDs)

Sorted docIDs in lists:

- approach: {3}
- breakthrough: {1}

- drug: {1, 2}
- for: {1, 3, 4}
- hopes: {4}
- new: {2, 3, 4}
- of: {3}
- patients: {4}
- schizophrenia: {1, 2, 3, 4}
- treatment: {3}

(If you include term frequencies or positions, you'd store (docID, tf) or (docID, [positions]) in the postings.)

### Ques 5: Describe the following key terms used in Information Retrieval

#### (a) Tokenization

**Definition:** Breaking raw text into basic units (tokens) — typically words, numbers, punctuation tokens or multiword expressions.

##### Issues & choices:

- Whitespace splitting vs language-specific rules.
- Handling punctuation, hyphens, apostrophes (e.g., “don’t”), URLs, email addresses, dates, numbers, contractions.
- Unicode normalization for diacritics.
- Multiword tokens (named entities, phrases) sometimes kept as single token ("New York").
- Tokenization affects indexing and matching, so it must match query processing.

##### Example:

Text: "Dr. Smith's e-mail: dr.smith@example.com — costs \$10.50."

Tokens might be: [ "dr", "smith", "s", "e-mail", "dr.smith@example.com", "costs", "10.50" ] — choices vary with tokenizer.

#### (b) Stop words

**Definition:** Very common words (a, the, of, in, to, etc.) often removed from the index because they offer little discriminatory power.

##### Pros:

- Smaller index, faster searches.
- Less noisy matching.

##### Cons:

- May break phrase queries (“to be or not to be”) and cause incorrect results.
- In some domains (legal, biomedical), common words may be important.

**Modern practice:** For many systems, minimal or no stopword removal is done because storage is cheaper and phrase matching requires keeping stopwords; instead, treat them specially in ranking.

#### (c) Normalization

**Definition:** Converting tokens to a canonical form — includes lowercasing, removing diacritics, mapping punctuation, canonical Unicode normalization, expanding contractions, canonicalizing numbers (e.g., “ten” ↔ “10”), and mapping synonyms/abbreviations.

**Purpose:** Reduce sparsity of lexical variants so matches succeed (e.g., “Color” vs “colour”).

**Example:**

Normalization steps: "USA" → "usa", "Café" → "cafe", "e-mail" → "email".

#### (d) Stemming and Lemmatization

Both reduce inflectional variants to a canonical form.

##### Stemming

- Heuristic rules that chop word endings (e.g., Porter stemmer).
- Fast, language-specific rules; result may not be a valid word (“comput” from “computing”).
- Good for recall at cost of occasional precision loss.

**Example:**

- running → run (Porter), studies → studi (not always pretty).

##### Lemmatization

- Use vocabulary and morphological analysis (and usually POS) to map word to its dictionary base form (lemma).
- Requires more linguistic resources (POS tagger, wordnet-like resources).
- Produces valid words: better → good (with POS info).

**Example:**

- was → lemma be; children → lemma child.

##### When to use which?

- Stemming is lighter-weight and widely used when speed & simplicity required.
- Lemmatization is preferred where correctness is important (NLP pipelines, when semantics matter).

#### Ques 6: Two-word query — postings lists:

- Long postings list (16 entries):  
[ 4, 6, 10, 12, 14, 16, 18, 20, 22, 32, 47, 81, 120, 122, 157, 180 ]
- Short postings list (1 entry): [ 47 ]

Work out comparisons for intersecting them with:

##### a) Using standard postings lists (merge algorithm)

We’ll simulate the two-pointer merge, always comparing the current element of the long list with 47 (the only element of the short list), starting at the beginning of the long list:

Long list values in order: 4, 6, 10, 12, 14, 16, 18, 20, 22, 32, **47**, 81, ...

We compare until we reach 47.

Comparisons (value vs 47):



1. compare 4 vs 47 → advance long
2. compare 6 vs 47 → advance
3. compare 10 vs 47 → advance
4. compare 12 vs 47 → advance
5. compare 14 vs 47 → advance
6. compare 16 vs 47 → advance
7. compare 18 vs 47 → advance
8. compare 20 vs 47 → advance
9. compare 22 vs 47 → advance
10. compare 32 vs 47 → advance
11. compare 47 vs 47 → match; finish

**Total comparisons = 11.**

(After matching, both pointers would advance; since the short list is exhausted, intersection ends.)

**Justification:** The merge algorithm compares each element of the long list up to the match once; with the match at the 11th position, we needed 11 comparisons.

**b) Using postings lists with skip pointers, skip length =  $\sqrt{P}$**

- Here  $P$  = length of the long postings list = 16.  $\sqrt{P} = 4 \rightarrow$  skip every 4 entries.
- Skip pointers allow jumping from index  $i$  to  $i+4$  (if available) and comparing the *skip target's* docID to 47 to decide whether to skip.

Simulate (showing comparisons between a long-list value or a skip-target value and 47):

1. Compare  $p1[1] = 4$  with 47 → ( $4 < 47$ ). Check skip target  $p1[5] = 14$ .
2. Compare skip value 14 with 47 → ( $14 < 47$ ) → jump to index 5.
3. At index 5 (14), check skip target  $p1[9] = 22$ . Compare 22 with 47 → ( $22 < 47$ ) → jump to index 9.
4. At index 9 (22), check skip target  $p1[13] = 120$ . Compare 120 with 47 → ( $120 > 47$ ) → **cannot** skip to index 13; instead scan linearly:
5. Compare  $p1[10] = 32$  with 47 → ( $32 < 47$ ).
6. Compare  $p1[11] = 47$  with 47 → match.

**Total comparisons = 6.**

**General note:** Using skip pointers reduces the number of element-to-query comparisons by allowing block-wise jumps; ideal skip length often  $\sqrt{P}$  giving expected cost  $\approx 2\sqrt{P}$  in balanced cases. But exact cost depends on the target's position.

**Ques 7: Explain Bi-word indexing and Positional indexing with a suitable example.**

**Bi-word indexing (bi-gram / bigram of adjacent words)**

**Definition:** Index every *adjacent pair of words* (bi-word) as a single token. The bi-word index maps each adjacent pair  $\rightarrow$  list of documents where that pair occurs.

**How it answers phrase queries:**

- A phrase query with words  $w_1 \ w_2 \ \dots \ w_k$  can be answered by looking up bi-words  $w_1 \ w_2, w_2 \ w_3, \dots, w_{(k-1)} \ w_k$  and intersecting their posting lists.
- Since bi-words capture adjacency, intersecting the bi-word postings ensures the phrase occurs exactly (assuming no gaps).

**Example (using Doc2 from earlier)**

Doc2: new schizophrenia drug

Bigrams:

- new\_schizophrenia  $\rightarrow$  doc2
- schizophrenia\_drug  $\rightarrow$  doc2

To answer phrase query "new schizophrenia drug":

- Lookup new\_schizophrenia  $\rightarrow$  docs {2,3,4?} (only doc2 actually for that bigram)
- Lookup schizophrenia\_drug  $\rightarrow$  docs {2}
- Intersect  $\rightarrow$  {2}  $\rightarrow$  doc2 contains the exact phrase.

**Pros:**

- Simple and fast for exact phrase queries.
- No positional lists needed for basic adjacency.

**Cons:**

- Index size grows (many bigrams).
- Cannot handle queries with intervening words or proximity queries easily.
- If phrase length large, intersecting many bigram lists can be costly.
- Fails on queries that require matching across sentence boundaries or non-contiguous phrases.

**Positional indexing**

**Definition:** For each term, the postings list stores not only docIDs but also the *positions* (word offsets) where the term appears in each document.

**Format (per term):** term  $\rightarrow$  list of (docID, [pos1, pos2, ...])

**How to answer a phrase query** (e.g., "fools rush in"):

- Fetch positional lists for fools, rush, in.
- For each doc that appears in all three lists, check if there exists a position  $p$  for fools such that rush occurs at  $p+1$  and in occurs at  $p+2$ . That is, check offsets with appropriate offsets.

**Example using a small made-up doc**

DocX: "the fools rush in to the garden"

Positions (0-based or 1-based consistently). Suppose 1-based:

- fools: [2]

- `rush: [3]`
- `in: [4]`

Check:  $2+1=3$  matches `rush`, and  $2+2=4$  matches `in` → phrase found.

#### Pros:

- Handles exact phrase queries and arbitrary proximity queries (e.g., within  $k$  words).
- More general and accurate than bi-word indexing.

#### Cons:

- More storage (positions stored).
- Slightly slower or larger index, although compression mitigates this.

#### Which to choose?

- For general-purpose IR supporting phrases and proximity, **positional indexing** is the standard choice. Bi-word indexing is a lighter-weight alternative when phrase queries are common and index size is less of a concern.

#### Ques 8: Given positional index snippet — which document(s) match phrase queries?

You provided a positional index excerpt (terms with doc: positions):

(abridged)

```
angels: 2: <36,174,252,651>; 4: <12,22,102,432>; 7: <17>;
fools: 2: <1,17,74,222>; 4: <8,78,108,458>; 7: <3,13,23,193>;
fear: 2: <87,704,722,901>; 4: <13,43,113,433>; 7: <18,328,528>;
in: 2: <3,37,76,444,851>; 4: <10,20,110,470,500>; 7: <5,15,25,195>;
rush: 2: <2,66,194,321,702>; 4: <9,69,149,429,569>; 7: <4,14,404>;
to: 2: <47,86,234,999>; 4: <14,24,774,944>; 7: <199,319,599,709>;
tread: 2: <57,94,333>; 4: <15,35,155>; 7: <20,320>;
where: 2: <67,124,393,1001>; 4: <11,41,101,421,431>; 7: <16,36,736>;
```

We test the phrase queries:

##### a. “fools rush in”

We need documents where `fools` at position  $p$ , `rush` at  $p+1$ , and `in` at  $p+2$ .

Check **Doc 2**:

- `fools: 1,17,74,222`
- `rush: 2,66,194,321,702`
- `in: 3,37,76,444,851`  
Look for  $p$  such that  $p+1$  is in `rush` and  $p+2$  in `in`:
- $p = 1 \rightarrow p+1 = 2 \in \text{rush}, p+2 = 3 \in \text{in} \rightarrow$  **match in Doc 2.**

Check **Doc 4**:

- `fools: 8,78,108,458`
- `rush: 9,69,149,429,569`
- `in: 10,20,110,470,500`

- $p = 8 \rightarrow 9 \in \text{rush}, 10 \in \text{in} \rightarrow \text{match in Doc 4.}$

Check **Doc 7**:

- fools: 3,13,23,193
- rush: 4,14,404
- in: 5,15,25,195
- $p = 3 \rightarrow 4 \in \text{rush}, 5 \in \text{in} \rightarrow \text{match in Doc 7.}$

**Answer (a):** Documents **2, 4, and 7** match the phrase "fools rush in".

#### b. "fools rush in" AND "angels fear to tread"

We already know which docs match the first phrase: {2, 4, 7}. Now check which of these also contain the second phrase "angels fear to tread" — requires angels at p, fear at p+1, to at p+2, tread at p+3.

Check **Doc 2**:

- angels: 36,174,252,651
- fear: 87,704,722,901
- to: 47,86,234,999
- tread: 57,94,333  
We need p so that  $p+1 \in \text{fear}, p+2 \in \text{to}, p+3 \in \text{tread}$ . None of the angels positions (36,174,252,651) are followed by such immediate consecutive positions in the other lists (e.g., angels=36  $\rightarrow$  fear would need 37 but fear has 87 etc.). **No match in Doc 2.**

Check **Doc 4**:

- angels: 12,22,102,432
- fear: 13,43,113,433
- to: 14,24,774,944
- tread: 15,35,155  
Look at  $p = 12 \rightarrow 13 \in \text{fear}, 14 \in \text{to}, 15 \in \text{tread} \rightarrow \text{match in Doc 4.}$

Check **Doc 7**:

- angels: 17
- fear: 18,328,528
- to: 199,319,599,709
- tread: 20,320  
For  $p = 17 \rightarrow$  fear has 18 (OK), to would need 19 but to does not have 19 (it has 199,319,...). So **no match in Doc 7.**

**Answer (b):** Only **Doc 4** contains both phrases "fools rush in" and "angels fear to tread".

*(Method note: phrase queries on positional indexes are answered by merging the postings for the phrase terms and checking offsets for consecutive positions — exactly what we did.)*

**Ques 9: What are wildcard queries? Explain spelling corrections and Edit distance in it with an example.**

## Wildcard queries

- A **wildcard query** uses special wildcard characters (e.g., \*, ?) to match multiple possible strings. Common forms:
  - `compu*` → `computer`, `computing`, `computation`
  - `te?t` → `test`, `text` (where ? matches a single character)
  - `*ology` → `biology`, `technology` (if leading \* supported)

## Implementation approaches

1. **Query-time expansion**: enumerate all index terms matching the wildcard pattern (using a lexicon/trie) then OR their postings.
2. **Index-time k-gram (n-gram) index**: build an index of k-character substrings (`$comput` → `^c`, etc.). To answer `compu*` find all terms that contain `compu` as prefix by intersecting k-gram candidates.
3. **Permuterm index**: rotate terms with special end-marker for handling leading wildcards; allows match of `*ology` by transforming queries into prefix lookups on rotated terms.
4. **Tries / prefix trees**: fast prefix wildcard lookups.

**Trade-offs**: wildcard queries can be expensive (many candidate expansions and large postings unions); k-gram or permuterm indexes precompute substrings to speed this up at cost of index size.

## Spelling correction & edit distance

**Goal**: Map a possibly misspelled query term to the intended correct term(s) to improve retrieval.

### Edit distance (Levenshtein distance)

- Minimum number of single-character operations (insertions, deletions, substitutions) required to transform one string into another.
- Classic dynamic programming algorithm computes distance in  $O(mn)$  time where  $m$  and  $n$  are the string lengths.

### Example

- Misspelled word: "speling"
- Correct target: "spelling"

Compute edit operations: insert one 'l' between l and i → 1 insertion → **edit distance = 1**.

Another classic example: "kitten" → "sitting" has distance 3 (substitute  $k \rightarrow s$ ,  $e \rightarrow i$ , insert  $g$ ).

## How to use edit distance for spelling correction

1. **Candidate generation**
  - Generate all dictionary terms within edit distance  $\leq k$  ( $k$  usually 1 or 2). Generating all candidates naïvely is expensive.
  - Use **k-gram index**, **BK-tree** (metric tree for strings using edit distance), or **hashing techniques** to retrieve close candidates quickly.
2. **Candidate ranking**
  - Use noisy-channel model: choose candidate  $c$  maximizing  $P(c) \cdot P(q \mid c)$  where  $P(c)$  is prior (word frequency) and  $P(q \mid c)$  the error model (related to edit distance and specific error probabilities).

- Simpler: choose lowest edit distance; break ties by corpus frequency.

### Example pipeline

- Query: "recieve"
- Candidate generation yields "receive", "recipe", etc.
- Edit distance for "receive" is 1 (swap ie to ei can be considered substitution/transposition in extended model). Choose "receive" if it has higher corpus frequency.

### Extensions

- **Damerau-Levenshtein** includes transpositions (important for common typos).
- **Phonetic algorithms** (Soundex, Metaphone) help with errors that preserve pronunciation.
- **Context-aware corrections**: use surrounding query words or language models to prefer "New York" vs "new yrok" → correct to "new york" based on bigram frequency.

## Ques 10: Define Index construction and define the following terms

### Index construction — definition

**Index construction** is the process of transforming the document collection into an index (usually an inverted index) that supports efficient retrieval. Steps include tokenization, normalization, generating term-document pairs (or term-document-position), sorting/merging, compressing, and writing index files to disk. Index construction must consider memory constraints, disk I/O efficiency, and support for incremental updates.

Now the specific methods:

#### a. Blocked sort-based indexing (BSBI)

##### Idea:

- Break the collection into blocks that fit into memory.
- For each block:
  1. Parse documents and produce list of (termID, docID) pairs.
  2. Sort those pairs in memory (typically by termID then docID).
  3. Write sorted block to disk as a partial inverted index.
- After processing all blocks, **merge** sorted block files in a multi-way merge to produce the final global inverted index.

### Properties

- Disk I/O efficient because we write a set of sorted runs then merge.
- Sorting cost dominates; memory limited by the block size.
- Good for large collections where entire index doesn't fit in memory.

### Complexity

- Sorting each block  $O(B \log B)$ , and merging  $K$  blocks using a  $K$ -way merge (using heap) efficient; total cost dominated by disk I/O.

#### b. Single-pass in-memory indexing (SPIMI)

**Idea:**

- Process documents in a streaming way and build in-memory dictionary → postings incrementally.
- When memory threshold reached, write out the current dictionary + postings as a block (term-sorted), then clear memory and continue.
- Blocks written are *already in inverted list form* (term → postings) so you do not sort all (term, doc) pairs first — this saves memory & CPU.

**Advantages over BSBI**

- Lower memory overhead for temporary arrays of term-doc pairs.
- Faster in practice because it avoids building huge arrays to sort; uses hash table to accumulate postings.

**SPIMI algorithm (sketched):**

- For each token in stream:
  - Insert token into in-memory hash map mapping term → postings list.
- When memory full:
  - Sort terms, write block (term → postings) to disk.
  - Clear in-memory map and continue.

**Use:** Widely used in modern indexers due to simplicity and performance.

**c. Distributed indexing****Idea:**

- Use parallel/distributed storage & computation to scale indexing across many machines (MapReduce/Hadoop or other distributed frameworks).

**Typical MapReduce pattern**

- **Map:** Each mapper reads a subset of docs and outputs (term, docID) pairs.
- **Shuffle/Sort:** Key-based redistribution groups the same term's pairs to the same reducers.
- **Reduce:** Aggregates postings for each term into a single postings list (possibly compressed), writes index shards.

**Why distributed?**

- Large web-scale collections require many machines for parsing, sorting, and storing huge indexes.
- Enables fault tolerance and parallelization.
- Also used to create *sharded* search architectures: each shard is a subset of docs and has its own index.

**Extra details**

- Postings can be partitioned by term hashing or document partitioning.
- Requires careful merging, load balancing, and fault tolerance.

**d. Dynamic indexing**

**Definition:** Techniques to allow updates (additions/deletions/edits) to an index without rebuilding the entire index from scratch.

## Approaches

1. **In-memory delta index + periodic merge**
  - Maintain a small in-memory index for recent documents (fast inserts).
  - Periodically merge the delta (small) index into the main on-disk index (batch merge).
2. **Log-structured Merge Trees (LSM-tree)**
  - Maintain series of components (C0, C1, C2...) with increasing sizes; writes are appended to C0 then merged downstream.
3. **Document-level deletion markers**
  - Mark documents deleted logically (tombstones); garbage collect during merges.
4. **Incremental merging / real-time indexes**
  - Keep a set of indexes (main + incremental) and search across them at query time; merge when needed.

## Trade-offs

- Fast updates vs search efficiency. Searching multiple segments increases query-time cost, but merges amortize it.
- Complexity in maintaining skip pointers, positions, compressed postings across merges.