

# LegalEase AI – Risk Analysis Report

## **Clause 1**

**POLICY: SUSPICIOUS ACTIVITY AND REPORTING OVERVIEW** This policy provides instructions for identifying and reporting suspicious behavior, transactions, or items.

**Risk Level:** Low

**Explanation:**

**Justification:**

**Safer Wording:**

## **Clause 2**

It is designed to maintain safety and ensure compliance with regulatory standards.

**Risk Level:** Low

**Explanation:**

**Justification:**

**Safer Wording:**

## **Clause 3**

**DEFINITION OF SUSPICIOUS ACTIVITY** Activity is deemed suspicious if it meets any of the following criteria: Unusual behavioral patterns: Evasiveness, nervousness, or avoiding identification.

**Risk Level:** Low

**Explanation:**

**Justification:**

**Safer Wording:**

## **Clause 4**

Financial anomalies: Large transactions with no clear purpose or structured payments.

**Risk Level:** Low

**Explanation:**

**Justification:**

**Safer Wording:**

## **Clause 5**

Security threats: Unattended items, unauthorized access to samples, or "tailgating" into secure areas.

**Risk Level:** Low

**Explanation:**

**Justification:**

**Safer Wording:**

## **Clause 6**

Cyber indicators: Phishing attempts, unusual login locations, or requests for sensitive data.

**Risk Level:** Low  
**Explanation:**  
**Justification:**  
**Safer Wording:**

### ***Clause 7***

Record the date, time, location, and description of the event.

**Risk Level:** Low  
**Explanation:**  
**Justification:**  
**Safer Wording:**

### ***Clause 8***

Submit the report to the Security Manager or Compliance Officer.

**Risk Level:** Low  
**Explanation:**  
**Justification:**  
**Safer Wording:**

### ***Clause 9***

If a physical sample is suspicious, do not touch or move it;

**Risk Level:** Low  
**Explanation:**  
**Justification:**  
**Safer Wording:**

### ***Clause 10***

CONFIDENTIALITY AND TIPPING OFF In accordance with 2025 compliance standards, employees must not disclose to any person involved that a report has been filed.

**Risk Level:** Low  
**Explanation:**  
**Justification:**  
**Safer Wording:**

### ***Clause 11***

"Tipping off" is strictly prohibited and may result in legal consequences.

**Risk Level:** Low  
**Explanation:**  
**Justification:**  
**Safer Wording:**

### ***Clause 12***

WHISTLEBLOWER PROTECTION Reports made in good faith are protected under the Company Non-Retaliation Policy.

**Risk Level:** Low

**Explanation:**

**Justification:**

**Safer Wording:**

### ***Clause 13***

Reports can be submitted anonymously through the internal portal.

**Risk Level:** Low

**Explanation:**

**Justification:**

**Safer Wording:**

### ***Clause 14***

CONTACT INFORMATION For immediate emergencies, contact site security at extension

**Risk Level:** Low

**Explanation:**

**Justification:**

**Safer Wording:**

### ***Clause 15***

For regulatory reporting, visit the FinCEN website at <https://www.fincen.gov>.

**Risk Level:** Low

**Explanation:**

**Justification:**

**Safer Wording:**