

LegalEase AI – Risk Analysis Report

Clause 1

POLICY: SUSPICIOUS ACTIVITY AND REPORTING OVERVIEW This policy provides instructions for identifying and reporting suspicious behavior, transactions, or items.

Risk Level: Low

Explanation:

Justification:

Safer Wording:

Clause 2

It is designed to maintain safety and ensure compliance with regulatory standards.

Risk Level: Low

Explanation:

Justification:

Safer Wording:

Clause 3

DEFINITION OF SUSPICIOUS ACTIVITY Activity is deemed suspicious if it meets any of the following criteria: Unusual behavioral patterns: Evasiveness, nervousness, or avoiding identification.

Risk Level: Low

Explanation:

Justification:

Safer Wording:

Clause 4

Financial anomalies: Large transactions with no clear purpose or structured payments.

Risk Level: Low

Explanation:

Justification:

Safer Wording:

Clause 5

Security threats: Unattended items, unauthorized access to samples, or "tailgating" into secure areas.

Risk Level: Low

Explanation:

Justification:

Safer Wording:

Clause 6

Cyber indicators: Phishing attempts, unusual login locations, or requests for sensitive data.

Risk Level: Low
Explanation:
Justification:
Safer Wording:

Clause 7

Record the date, time, location, and description of the event.

Risk Level: Low
Explanation:
Justification:
Safer Wording:

Clause 8

Submit the report to the Security Manager or Compliance Officer.

Risk Level: Low
Explanation:
Justification:
Safer Wording:

Clause 9

If a physical sample is suspicious, do not touch or move it;

Risk Level: Low
Explanation:
Justification:
Safer Wording:

Clause 10

CONFIDENTIALITY AND TIPPING OFF In accordance with 2025 compliance standards, employees must not disclose to any person involved that a report has been filed.

Risk Level: Low
Explanation:
Justification:
Safer Wording:

Clause 11

"Tipping off" is strictly prohibited and may result in legal consequences.

Risk Level: Low
Explanation:
Justification:
Safer Wording:

Clause 12

WHISTLEBLOWERS PROTECTION Reports made in good faith are protected under the Company Non-Retaliation Policy.

Risk Level: Low
Explanation:
Justification:
Safer Wording:

Clause 13

Reports can be submitted anonymously through the internal portal.

Risk Level: Low
Explanation:
Justification:
Safer Wording:

Clause 14

CONTACT INFORMATION For immediate emergencies, contact site security at extension

Risk Level: Low
Explanation:
Justification:
Safer Wording:

Clause 15

For regulatory reporting, visit the FinCEN website at <https://www.fincen.gov>.

Risk Level: Low
Explanation:
Justification:
Safer Wording: