

CA Aditya Kumar Sureka

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Profile Summary:

- **A seasoned professional with nearly 15 years of experience** (with NBFCs, PE/Debt Funds, COEs, Manufacturing and Asset Management) in financial management, financial reporting, compliances (statutory & secretarial), taxation (including transfer pricing), fund management, internal controls, project controlling, due diligence, transaction support, customer & investor relation and cost optimization.
- **Defining the operating framework, providing leadership and facilitating the work processes** in order to achieve high performance standards and maintain/develop relationship with customers, investors & regulators.
- **Contribute in the development of the organization strategy/decision making and setting up the business direction** and guiding the reporting teams (25 HCs) in line with stated organizational priorities.
- **Aligning with Cross Functional team** on specific matters & providing support & financial input when required.
- **Instrumental in setting up of processes and policies** towards accounting, payables, cost optimization, internal controls, preparation of **dashboards, KPIs, MIS** & managing day to day organizational activities.
- **Fund raising** through NCDs issuance (Private & Public), Equity IPOs (deal origination), ECBs, CPs & Bank Credit.
- **Leading team of 6/8 HCs for implementation** of Loan Management System, SAP COPA & SAP PS module and Treasury Accounting (SunGard).
- **Successfully migrated end to end three activities from EMEA & NAM region** & responsible for SLA, Risk Control matrix, status update, process note update and sharing the best practices with other teams & Global Regions for two yrs.
- Major Industry experience Infrastructure (Roads, Renewables etc.), Shared Services, Oil & Gas/Water and FMCG

CORE COMPETENCIES

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|------------------------------|----------------------------|----------------------------------|
| • Financial Strategy | • Financial Controlling | • Financial Reporting |
| • Capital Management | • Planning & Variance | • Investor Relations |
| • Due Diligence/Fund raising | • Fund Management | • Treasury Management |
| • Asset Liability Mismatch | • Compliances & Governance | • Risk Management |
| • Policies & Framework | • Commercial Management | • Business Driver Identification |

SOFT SKILLS

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|-----------------------------------|-----------------------|--------------------------|
| • Leadership | • Detail Oriented | • Stakeholder Management |
| • Clear & Assertive Communication | • Resource Management | • Investor Relations |
| • Cross Functional Alignment | • Intuitive | • Decision Making |
| • Change Management | • Value Proposition | • Digitization |

PROCESS AUTOMATION

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| • Successfully lead automation of accounts payables for employee reimbursement and travel expenses saving ~ 1000 hours or 2/3 FTEs | • Introduced 3-way reconciliation for correct allocation of cost to BU resulting in revenue leakage saves & generate tax efficiency | • Ideated creation of common expense database from multiple reporting system for BU using macros resulting in saves of ~ 250 hours monthly or 1.5 FTE |
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PROFESSIONAL EXPERIENCE			
Organization	From	To	Designation
Endress & Hauser (India) Private Limited	Mar,2018	Till Date	Deputy General Manager
India Infradebt Limited, IDF NBFC	Apr, 2016	Feb,2018	Senior Associate
Citi Corp Services India Private Limited	Jun, 2013	Mar, 2016	Manager
Srei Infrastructure Finance Limited, IFC	May, 2008	Jun, 2013	Manager
D.E. Shaw India Software Pvt Ltd	Mar, 2006	May, 2007	Associate
Reliance Capital Asset Management	May, 2004	Feb, 2006	Area Project Manager

Experiences & Achievements

Endress+Hauser (India) Private Limited – Switzerland HQ

- **Financial Planning:** Responsible for budget review of 6 entities and submission of quarterly forecasts & reporting the actuals vis-à-vis forecast & budget. ***Plan & implement necessary actions to meet the budget.***
- **Financial Controlling & Reporting:** Responsible for balance sheet management, internal controls, statutory & secretarial compliances & reporting to the corporate management and other stakeholders.
- **Treasury Management:** Responsible for fund raising, bank guarantees and managing the forex liability & risk through hedging, investment of idle cash & early liability payment for lower interest cost. **(+0.3% vs 2019)**
- **Strategy:** Cost optimization of nearly INR 90 million during COVID-19, working capital management by shifting core focus on **receivables (+2% vs 2019)**, reformed targets to achieve break-even, process review & increase digitalization (Share-point, Microsoft Teams, Automation of various reports).
- **Change Management:** Implementing the end to end role of **Project Controller** (order to cash) and introducing it as a new function resulting **margin improvement (+1.5% vs 2019)** by saves of liquidated damages/penalty, control over costs spend & monitoring monthly variances (cost & schedules).
- **Contract & Commercial Management:** Responsible for Pan India including policy framework, being custodian of all agreement & setting up of limits resulting in **improvement of DSO by 40 days in 2 years.**
- **Risk & Control:** Responsible for evaluation of PSU tenders (Oil & Gas, Water/Wastewater) commercially, price consideration, payment terms review etc. & managing credit/local risks, risk appetite (within prescribed business limit) and indicators and submit risk mitigation plan to committee for each tender.
- **Negotiation:** All claims and disputes to **increase profitability (+0.85% in 2020)**

India Infradebt Limited (Infrastructure Debt Fund) – strategic investment of Citi Bank N.A.

- **Financial Planning:** Responsible for budget preparation & reporting the actuals vis-à-vis forecast & budget.
- **Finance:** Responsible for financial accounting, reporting, MIS, tax reporting, review NAV calculation and reconcile with the fund administrator, publication of financial results and presentation to Board of Directors.
- **External Investor Reporting:** Coordinate and prepare investor letter/correspondence, prepare for operation due diligence, engage in DDQ process and participate in investor meetings.
- **Statutory Fillings:** Timely disclosure to regulators (RBI, BSE, SEBI, Credit Rating Agencies etc.) through various monthly, quarterly & annual return fillings like NBS – 7, ALM 1 & 2 etc.
- **Fund Raising (INR 60 billion through private placement of NCDs):** Perform end to end activities for fund raising through Institutional Investors, Provident Fund, Insurance companies etc. & maintain relationships with Banks and Arrangers.
- **Improvement in Net Interest Margin (NIM):** Negotiation and Improvement in Asset interest rate and reduction in cost of borrowings **(+0.21% in 2017 & +0.45% in 2018)**
- **Operations & Investment review:** No Audit comments on Operation team as responsible for managing pre & post investment documentation, due diligence/periodic review & its minutes, timely payment collection etc.
- **Asset Liability Mismatch & ALCO:** Responsible for preparation of structural Liquidity, dynamic liquidity, interest rate sensitivity & monthly conduct of ALCO and finalizing the ALM minutes.
- **Audits:** Handling of statutory (including Internal Finance Control, Limited Review) & regulatory audits (Annual RBI audit, Trustee Audits) & ensuring other regulatory compliances & reporting.

Citi Corp Services India Private Limited (Center of Excellence – EMEA & APAC) – Citi Bank N.A.

- **P&L Management:** Maintain & review the books & records of corporate banking, oversee year end audits & providing insights on business metrics & communicate analysis & information to stakeholders through crisp summary. (-2% deviation in 2015 actual vs rollover forecast and annual budget)
- **Change Management:** 3-way monitoring process after concurrence from stakeholders resulting in material reduction in EBIT position & controlled allocation of charges and/or billing to different geographies based on monthly expenses and/or volumes & ICSA agreement to correct cost centers/account numbers.

Srei Infrastructure Finance Limited, IFC – NBFC

- **Fund Raising (Resourced INR 15 billion):** Adequate experience in originating & selling of NCDs (Public & Private placement), Bank Limits (end to end) Commercial Paper, and ICDs etc. after successful liaising with Mutual Funds, PSU Banks, Pension Funds and Provident Funds.
- **IPO Marketing, Deal Origination & Due Diligence:** Participated in managing end to end mandate of 9 Debt Syndication, 4 equity IPOs, 6 warrant issuances by conducting due diligence, finalization of BRLM & filling with SEBI etc. and operational support around deal structure.
- **Fund Management:** Monitoring free cash flows and generated a profit of INR 10 million by effectively managing the idle cash and saves in interest rates through keeping funds in lower interest rate banks.
- **Treasury Accounting:** Responsible for treasury accounting and preparation of loan & borrowings cost amortization schedule, interest reconciliation & its subsequent recovery.
- **Dashboard/MISs/KPI:** Instrumental in conceptualizing and implementing Analytical MIS & Reporting System & preparing ancillary statement for borrowing mix & assets mix and reconciliation of group consolidated financials with cash flow summary.
- **Regulatory Framework & Risk Reporting:** Accountable for RBI returns like FFR, NBS-1 etc. periodic Stock Statement, Filling of Form 8 and Form 17 and Debenture and Listing Agreement Compliance.
- **ALM & ALCO Reporting:** Preparation of ALM & IRS and part of team representing report to ALCO Committee.
- **System Implementation:** Responsible for implementation of SunGard Treasury Module.
- **Audits:** No comments on internal/statutory audits and process improvement comments in 3 nos. of RBI Audits.

D E Shaw India Software Private Limited – PE Fund

- **Business Analytics:** Successfully managed to help Traders by quarterly analysis of the background for increase/decrease in holding percentage into different sectors and/or of companies for peer Hedge Fund & Mutual Fund companies.
- **Investment Analytics:** Analyzing Top 100 BSE Listed companies based on different investment strategy & corporate actions.
- **Prepare Investment Notes and operational support around deal structure in PE space.**
- **NAV Calculation:** Prepare books and records of the funds & perform NAV calculation.

Reliance Capital Asset Management Limited

- **Business Development:** Managed HNI's & UHNI's funds (INR 5000 million) by advising investments in FMP, Liquid Funds etc. and marketed Equity oriented and debt schemes (SIP & One-time investment).