

Raman Marwaha

E-Mail Id: ramans_marwaha@yahoo.co.in

Mobile No.: +91 9130418597,
+91 9331204054

ABOUT ME:

- I am a Chartered Accountant with over 19 plus years of experience within Banking, Consulting and Financial Services domain.
- Currently performing Entity Controllership function for Indian Subsidiaries of Deutsche Bank. Current responsibilities include conversion of accounts to IndAS reporting, IFRS reporting, Treasury Financial Control, Tax and Audit matters. Was previously involved in Financial Control, implementation of BCBS 239 controls, Liquidity Reporting, Cross-Border Loans & Interest, Forex Hedging and funding for Macquarie subsidiaries. Was previously involved in Regulatory and Financial reporting (IFRS, US GAAP and German GAAP), Liquidity controls framework along with Expected Loss provisions (IAS 39 and IFRS 9 implementation norms), CCAR & BASEL 3 reporting for DB Group. Previously worked in financial reporting, liquidity reporting, BASEL reporting and consolidation for Société Générale, Nomura Holdings Inc. and also for financial reporting of institutional and hedge funds for various jurisdictions (HSBC). Handled statutory and internal audits independently and worked in functional areas like finance and operations.
- Possess legal interpretation capabilities, ability to multi-task, working under pressure, strong interpersonal skills and enduing team spirit.
- Aspiring for challenging leadership roles in that will help me to leverage my experience in financial, regulatory (BASEL) and liquidity reporting of investment bank accounting, FPA, hedge and institutional funds, audit, taxation, educational background in investment, finance, my strong analytical skills, flexibility and effective teamwork abilities.

KEY SKILLS, VALUE PROPOSITION AND FOCUS:

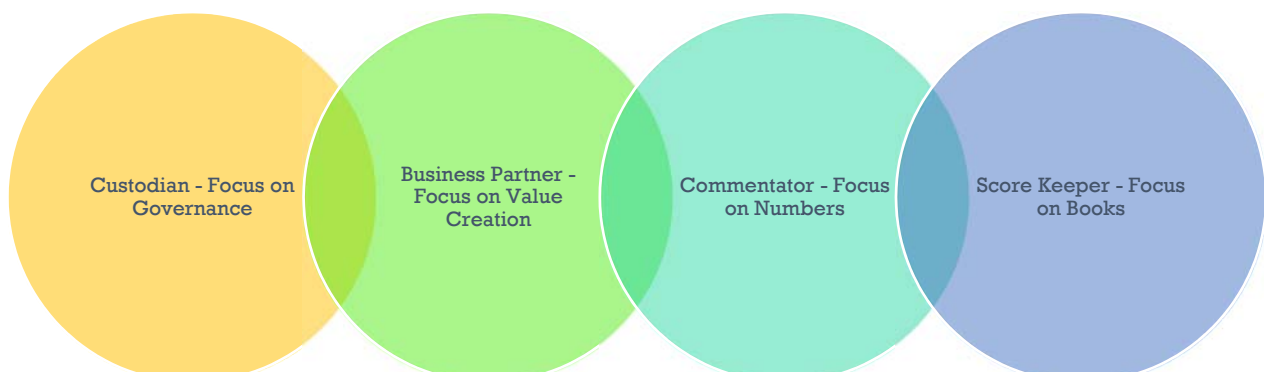
Skills:



Value Proposition:



ROLE FOCUS:



CAREER HIGHLIGHTS



Deutsche Bank

**AVP (Financial Controller for Indian subsidiaries of Deutsche Bank),
20th Aug'2018 to present**

Roles & Responsibilities:

- Legal Entity Control function of the Indian subsidiaries (including Asset Management, Trust services, NBFCs, Equity and research entities) including managing and completing Audit requirements.
- Financial control including accounting oversight, SOx controls (Design and Effectiveness Controls, SOx Compliance)
- Direct and Indirect tax (Service Tax, GST) compliance.
- Regulatory compliance and statutory filings with RBI and SEBI
- Comprehensive stakeholder management including that with the auditors, regulators, Board of Directors, tax, operations and internal regulatory departments.
- Preparation of reports includes ALCO and Board Meeting packs, Structural Liquidity reports, Asset & liability management (ALM) reports etc.



Vice-President – Treasury Finance Control– Mar'2017 to Aug'2018

Roles & Responsibilities:

- Responsibilities included analysis of Cross-Border Loans (with ratings and LGD analysis) and interest as well as analysis of liquidity position of subsidiaries.
- Responsible for funding for Macquarie subsidiaries along with inter-company remittances and subsidiaries' funding along with global Forex Hedging for the Bank at Group (corporate) level.
- Also involved in devising funding structure on new deals/ transactions as well as new hybrid capital issuances, Implementation of Treasury Controls with regard to SOx compliance.
- Financial planning and budgeting – variance analysis and commentary.
- Prime focus on Operational Excellence and led a Green Belt project for FTE savings within the process via automation.
- Created management reporting packs like dashboards for efficient reporting and control and automating for monthly deliverables.
- Independent handling of audit topics and involved in regular interaction with Finance Directors and Treasury.



Deutsche Bank

**Assistant Vice-President – Group Finance and Control –
Nov'2013 to Mar'2017**

- Responsible for Expected Loss provisions as per IFRS 39 along with IFRS 9 implementation, review of Impaired Loans for DB Group as a whole including analysis of related credit-risk parameters like Probability of Default, Loan Equivalent Exposure, Loss Given Default etc. and the related impact to Risk Weighted Assets (RWA) as per BASEL 3 norms.
- Spearheaded the migration the process from Frankfurt and set-up the team in Pune.
- SOx compliance : Testing and Implementation (Design and Effectiveness controls), Monthly compliance including reporting to European Central Bank, Bundesbank and BaFin (European regulators).
- Reporting for Impaired Loans, Forborne (Restructured Loans), liaison with Credit officers for restructuring, business reasons etc.
- Responsibilities included CCAR, BASEL 3, FINREP and SREP reporting and performing related SOx controls (implementation and regular validation). Involved in the analysis of Credit Risk for the portfolio, Liquidity reporting, Asset Liability Management (ALM) and Operational risk.
- Handled Group Financial and Regulatory Reporting (preparation and review) of DB Group numbers (all the group entities) as per IFRS, US GAAP and German GAAP) relating to Asset Quality and Balance Sheet reporting (including leverage).
- Variance analysis (for Asset types, impairments and related provisions like Specific, Homogeneous and Country Risk and involvement in Risk Consolidation topics by way of adjustments to RWA).
- Implemented diverse Operational Excellence projects ranging from process and systems resulting in cost savings along with efficiency in operations.
- Independent handling of audit topics, closure of audit points, regular interaction with Finance Directors, Credit Managers.
- Heavy involvement in system migration to meet requirements from regulators (alignment of risk and accounting systems), Stress Testing and IFRS 9.

OTHER EXPERIENCES

Organisation	Role	Tenure
Société Générale	Senior Manager – Legal Entity Control/ Financial Control and Operations Control,	November 2011 to October 2013
Nomura India	Assistant Manager - Finance Control	March 2010 – October 2011
HSBC Securities Services,	Assistant Manager-Financial Reporting	July 2006-March 2010
Zacks Research Pvt. Ltd	Team Leader	June 2005 - July 2006
S.B.Billimoria & Co.-Deloitte, Haskins & Sells	Semi-Qualified Auditor	March 2004 -May 2005
M/S Rajendra Kumar Agarwal & Co, Chartered Accountants	Semi-Qualified Auditor	July 2000- January 2004

ACADEMIA:

- 2017 - Post-Graduate Certificate in Strategic Management - **Indian Institute of Management, Kozhikode**
- 2010 - **CISI - Chartered Institute of Securities and Investment**, UK
- 2005 - **Chartered Accountant** - The Institute of Chartered Accountants of India
- 2004 - Derivatives Core Module December 2004 -- The National Stock Exchange of India
- 2002 - **Bachelor of Commerce (Honours)**- St. Xavier's College, Kolkata

TECHNICAL SKILLS:

Application Software:Micro strategy, SAP, SAP HANA (implementation – current role), Alteryx, Oracle, PeopleSoft Financials, Qlikview, Hyperion-Ess base, Business Objects, One Stop Shop and DIVA consolidation soft wares, MS-Office, MS PowerPoint, MS Access, AS/2 auditing software, Advent Geneva and Icon platforms for fund accounting.

INTERESTS:

- Member of National Service Scheme in college.
- Organising and involvement in social services through NGOs in rural development programmes
- Member of SLOBA (St Lawrence Old Boys Association)
- Participated in quiz competitions in school and college
- Regional and international politics, current affairs, monitoring daily movements and trends in stock markets.

PERSONAL INFO:

- Date of Birth: 27th August 1980
- Languages Known: English, Hindi, Punjabi & Bengali
- Address: C-502, Konark Eureka, Sainath Nagar, Wadgaon Sheri, Pune – 411014, Maharashtra