

Enhanced Suitability Questionnaire

The following questions will assist us in building upon the Attitude to Risk Questionnaire to ensure any recommendation we make is suitable for your needs.

	No Understanding	Little Understanding	Fair Understanding	High Level of Understanding
When it comes to investing, how would you describe yourself?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	Important	Neither	Not Important
How important is it to you to know that the products that you invest in are covered by the UK Financial Services Compensation Scheme?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
How important is it to you to know that your investments are accessible should you require the funds for emergency purposes?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Some investments allow you to borrow money to increase the total amount invested, by doing this you increase the risk to you as an individual especially should you be unable to repay the amount borrowed. How important therefore is it to you to avoid such risks.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
How important is it to you to know that your funds you invest in only trade in socially responsible areas of investment?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
How important is it for you to be able to vary the underlying risks associated with your investments in line with changes in your personal circumstances?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	Yes	No
I would consider myself a sophisticated investor?	<input type="checkbox"/>	<input type="checkbox"/>
I have experience of investing in UCIS, VCT's & EIS's?	<input type="checkbox"/>	<input type="checkbox"/>
I have liquid assets in excess of £250,000?	<input type="checkbox"/>	<input type="checkbox"/>
I have a good working knowledge of finance and financial products?	<input type="checkbox"/>	<input type="checkbox"/>
I would be happy to invest some of my money in high risk products?	<input type="checkbox"/>	<input type="checkbox"/>
I would be happy to invest in an unregulated product/scheme?	<input type="checkbox"/>	<input type="checkbox"/>
I have made an investment in to an unlisted company in the last 2 years?	<input type="checkbox"/>	<input type="checkbox"/>

If you have answered YES to any of the above questions, in the appended notes section please explain in detail why.

	Important	Neither	Not Important
If you have an investment with more than one provider how important is it to consolidate these to a single provider?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
How important is the level of charges you pay for your investment?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
How important is it to have a wide range of funds for your investment?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
How important is the ability to switch the funds within your investment at no or little cost?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
How important do you consider the transparency of the charging structure offered by your investment to be?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
How important is it that you are able to view your portfolio performance online?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
How important is the financial strength of the provider of your investments?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Some providers offer a reduced level of paperwork to administer the contract. How important is this to you?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Some investment solutions offer a high level of active management where you are not required to make investment decisions. How important is this to you?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
How important is it that your investment portfolio allocation adheres to pre-defined boundaries?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Some investments have in-built guarantees such as capital protection. How important is this for you?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
How important do you view the quality of administration and level of service you receive from you provider?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
How important is it that you retain control of the investment strategy personally?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Notes: