Asawari Shirodkar

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Senior legal professional with 12+ years experience as an instrumental member of & advisor to executive management; implementing strategic growth initiatives; creating powerful internal & external alliances; building & mentoring a sophisticated cost effective team; overseeing all aspects of corporate governance and managing the corporate legal function through crisis & change, by combining business judgment with legal skills gained during diverse in-house legal management & law firm experience.

Skills

Strategic Legal Counsel | Contract Negotiation & Drafting | Intellectual Property Rights Management | Licensing | Technology & Software Agreements | Technology Laws | Data Privacy | Data Security | Corporate Laws | Corporate Governance | Regulatory Compliance | Competition Laws | Cross Border Transactions | Foreign Exchange Management Laws | Employment Laws | Executive Benefits & Compensation | Mergers & Acquisitions | Joint Ventures | Private Equity | Litigation Management & Support | Due Diligence | Risk Assessment & Management

Work Experience

International Legal Counsel (April 2016 – present) India, Southeast Asia, UAE & Australia Independent Legal Consultant with Fortune 500 Companies, Global Corporations & Start-ups

Consulting with companies in *technology, fintech, e-commerce, food products, healthcare and communication* on a broad range of matters including *contract negotiations* & *drafting, intellectual property rights, technology transfers, software licensing, data privacy, data security, cross border transactions, strategic legal* & *business counsel, start-up advisory, compliance requirements impacting market entry strategy, structuring compliance programs, conducting compliance audits, risk assessment* & *management, mergers* & *acquisitions and venture capital funding.*

- As Legal Counsel for an Emirati food products group, advising on divestitures, corporate
 restructuring, international acquisitions & Greenfield projects; conducting legal & compliance audits;
 conceptualising a comprehensive compliance program; negotiating & drafting complex transaction
 documents; conducting IPR audit & devising an IPR strategy; and drafting data security policies.
- As primary Legal Counsel for an *Indian technology company*, provided legal advice in all technology sourcing matters impacting enterprise operations including Strategic Partnership Agreements with technology service providers; Multi-Channel Agreements; Business Process Outsourcing Agreements; Software License Agreements (including SaaS, PaaS & open source); negotiated & advised on execution of a complex, multi-component, worldwide Enterprise Software license; and negotiated several technology licenses valued at more than \$35 million.
- As sole Legal Counsel for an entity utilising robotics & artificial intelligence to bring to life
 consumer products, negotiated strategic software license (outbound) & service agreements;
 negotiated a five-year \$15 million technology license, implementation & service agreement; developed
 & implemented a legal due diligence process for product release to ensure appropriate licenses were
 secured & intellectual property was used in compliance with such licenses; and trained staff on matters
 including code of conduct, patents, copyrights, trademarks, knowhow & trade secrets.
- As sole Legal Counsel for fundraising in a technology startup founded by women, planned, managed & advised on all aspects of fundraising; formulated a corporate structure for the business; improved & updated the investment proposal; facilitated legal due diligence by investors; reviewed & verified source of funds of investors; negotiated deal terms; reviewed & negotiated transaction documents; and supervised fulfilment of conditions precedent to closing.
- As Lead International Counsel for 3 national early stage start-up acquisitions worth \$13.5 million by a
 top *Indonesian e-commerce company* managed due diligence, documentation support & post-closing
 integration; sole counsel for VC funding; drafted & negotiated technology transfer & software licensing
 agreements; conducted internal compliance due diligence for the company; and conducted in-depth
 research of laws & regulations for e-commerce issues related to website development, email marketing,
 text SMS marketing & mobile location-based advertising & created compliance policies basis the same.
- As Legal Advisor to a Singaporean entity providing cloud-based platforms as enterprise payment solutions, negotiated amendments to partner agreements with MasterCard & American Express; and negotiated & drafted agreements with various entities across APAC for customisable mobile wallet solutions for P2P transfer, Remittance, Top Up channels, Virtual Payment Cards, Loyalty Points & Rewards, Promotions & Offers and Social Payment Platforms.

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- As Fintech Legal Counsel for a Singaporean financial services company, undertook a project to identify, minimise & simplify credit documentation used in Asian jurisdictions; provided strategic & legal support to launch of fintech products; drafted responses to fintech consultation papers issued by the Monetary Authority of Singapore; conducted internal training seminars on legal implications of fintech; and advised on matters related to privacy, anti money laundering & know your client.
- As Asia Legal Counsel for expansion in Asia by a global payments service provider offering carrier billing solutions, negotiated partner agreements with merchants & network operators across Asian; prepared guidelines for data protection under competition law investigations in Singapore & India; and formulated legal procedures for privacy, fraud prevention & data security for the respective jurisdictions.
- As International Legal Consultant for an Australian Healthcare enterprise, spearheaded formulation of the long term trademark strategy & provided inputs on drafting & filing of patent applications; lead counsel on Series A funding; formulated data privacy & data security regime; drafted employment agreements; and formulated legal strategy for authentication requirements for healthcare technology.
- As International Legal Counsel for an Australian company which facilitates global medical tourism, undertook reverse due diligence on the strategic investor; drafted & negotiated investment agreements, service agreements & other business agreements; and provided detailed inputs on legal compliance requirements in markets where the company's clients would travel for medical tourism.
- As Legal Counsel to a Southeast Asian entity providing a subscription video on demand platform, advised on legal compliance impacting market entry strategy across APAC. Drafted & negotiated (i) software & technology agreements worth \$15 million; (ii) agreements for acquisition or licensing of rights worth \$10 million; and (iii) agreements for cross border M&A for increasing the company's reach.

Globosport Group of Companies (April 2008 – March 2016)

APAC & UAE

An international media, sports & entertainment conglomerate

Director Legal, International Premier Tennis League (January 2015 – March 2016) General Counsel. International Premier Tennis League (June 2013 – December 2014) General Counsel, Globosport Group (April 2012 – January 2016) Senior Legal Counsel, Globosport Group (April 2010 – March 2012) Legal Counsel, Globosport India Private Limited (April 2008 – March 2010)

Hired to serve as the first internal Legal Counsel and develop a full-service in-house legal function. Recruited, trained, mentored & led a sophisticated and cost-effective in-house legal team. Managed all corporate legal functions and provided legal & general business counsel to Boards of Directors of the Group. Spearheaded complex contract negotiations across diverse business verticals.

- Provided strategic legal, structural & business planning for divestitures and corporate financial transactions. Developed the corporate structure for a team-based tennis league showcasing top international players in Asia and undertook its establishment as International Premier Tennis League.
- Lead counsel on all M&A transactions, including acquisitions, joint ventures, investment assets and cobranding relationships.
- Developed & directed corporate legal function; selected & supervised outside counsel; undertook litigation management; proactively identified & managed legal risk; and mitigated litigation costs.
- Ensured corporate governance and supervised general corporate matters.
- Managed all aspects of regulatory affairs. Collaborated & fostered good working relationships with regulatory bodies. Advised on applicable legal & regulatory compliance and strategized, structured & implemented compliance programs basis the same.
- Administered intellectual property management including brand protection & enforcement.
- Structured, drafted & negotiated diverse complex agreements including those for mergers, acquisitions, sponsorships, distribution, licensing, merchandising, broadcasting, investments, vendors & service providers, film production & asset transfers, celebrity management & preferred partner programs.
- Drafted & continually improved internal policies for ethics, privacy, vendor and information security.
- Advised on executive compensation matters including incentives, employment & severance agreements and stock options. Conceptualised, formulated & launched the Group's first ESOP Scheme.
- Reviewed and revised marketing collateral & press releases.
- Integrated & oversaw such allied corporate staff functions as human resources, contract administration, insurance, facilities and third party service providers.

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Lall & Sethi Advocates (June 2006 – March 2008)
India's premier Intellectual Property law firm

Delhi & Mumbai, India & Colombo, Sri Lanka

Head of the Mumbai (India) branch of Lall & Sethi (October 2006 – March 2008)
Head of Colombo (Sri Lanka) branch of Lall & Sethi (April 2007 – March 2008)
Legal Counsel for the ICC Champions Trophy, 2006 (India) (August 2006 – December 2006)
Senior Associate at the Delhi (India) branch of Lall & Sethi (June 2006 – March 2008)

Recruited to grow the operations & business of the organization and develop full-service branches in Indian metros & SAARC nations. Established fully operational independent branches in Mumbai (India) & Colombo (Sri Lanka) contributing \$1.3 million to the organizational revenues within a year.

- Managed complete trademark portfolios of and negotiated trademark licenses for leading global corporations, as well as advised on brand protection & management strategies in line with long term corporate strategy considered along with cultural, geographical, legal & sociological influences & trends in the region.
- Established the first overseas branch of the organization in Colombo, Sri Lanka licensed office premises; and recruited, trained & led an 8 member team of local lawyers & administrative staff.
- Re-opened the Mumbai branch of the organization established and led a 4 member team.
- Spokesperson on CNBC for Anti Piracy issues to advocate changes in regulatory environment.
- Supervised planning, development & execution of legislative agenda on behalf of Motion Pictures Association of America to influence pro-copyright legislative amendments.
- Managed West India enforcement operations and coordinated Anti Piracy initiatives of Motion Pictures Association of America in India.
- Legal Counsel for the ICC Champions Trophy, 2006 (India) and as such developed & coordinated brand protection & management initiatives for the ICC & BCCI in India.
- Led and mentored a team of 4 lawyers in the Delhi branch of the organization.
- Selected & supervised outside counsel to represent clients outside India to achieve litigation management and legal cost containment for clients.

A.R.A Law, Advocates & Solicitors (December 2005 – May 2006) and Juris Corp, Advocates & Solicitors (April 2005 – November 2005) financial services law firms Mumbai, India

- As Senior Associate at A.R.A. Law conducted due diligence & legal audits; reviewed & revised offer
 documents & prospectuses for capital market offerings; liaised with regulatory bodies for investment &
 other approvals; and prepared investment structures for foreign institutional investment in India.
- Drafted and negotiated transactional documents, such as purchase agreements, merger agreements, stockholders' agreements and subscription agreements.
- As Associate at Juris Corp drafted legal opinions on regulatory & compliance matters concerning banks & financial institutions; reviewed & revised securitization agreements; and assisted in structuring Mutual Fund Schemes & Collective Investment Schemes.
- Negotiated, structured, and drafted agreements related to mergers, acquisitions, financings, and other business combinations. Conducted corporate, intellectual property, and real estate due diligence in preparation for such transactions.

Educational Background

<u>Curricular Information and Bar Admission</u>: Completed the 5 year integrated law course from I.L.S. Law College, Pune and was awarded **Bachelor of Social Legal Sciences (B.S.L.) degree** in 2003 & **Bachelor of Laws (LL.B.) degree** in 2005. Admitted as a member of the bar in Maharashtra & Goa (India).

<u>Supporting Qualifications (Diplomas)</u>: Investment & Securities Laws | Corporate Laws | Intellectual Property Laws (WIPO, Switzerland) | Comparative Cyber Laws (Asian School of Cyber Laws) | Taxation Laws (University of Pune) | Housing Laws | Consumer Protection Laws | Human Rights and Law

<u>Languages known</u>: English (native / full professional proficiency) | Marathi (native / limited professional proficiency) | Hindi (limited professional proficiency) | German (basic fluency) | Mandarin (beginner)