

# IoT Security and Privacy

Lightweight IoT communication protocol:  
Message Queuing Telemetry Transport (MQTT)

# Learning Outcomes

Upon completion of this unit:

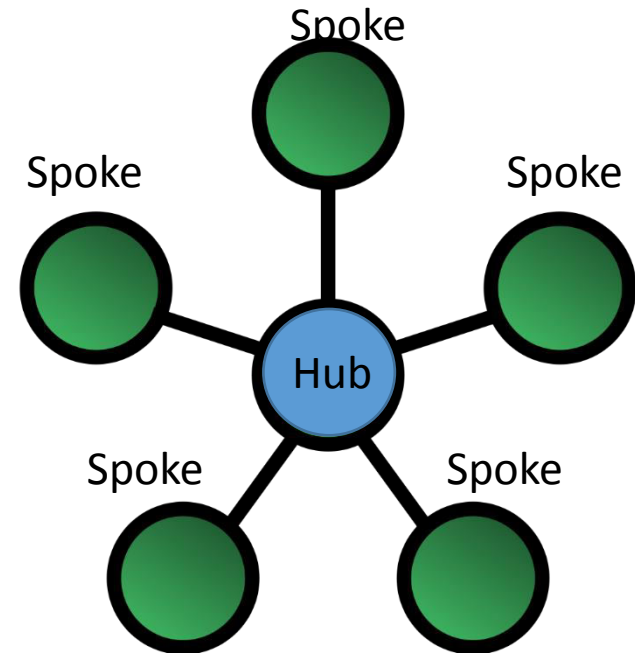
1. Students will understand the IoT communication protocol Message Queuing Telemetry Transport (MQTT)
2. Students will be able to install, configure and use the MQTT implementation – Mosquitto
3. Students will be able to configure the use of SSL with Mosquitto to secure communication
4. Students will be able to configure the use of SSL with Mosquitto for authentication

# Outline

- Message Queuing Telemetry Transport (MQTT)
- MQTT implementation: mosquitto
- MQTT Mosquitto transport security
- MQTT authentication

# Messaging Broker System

- A messaging broker system uses a publish/subscribe protocol based on a “hub and spoke” model
  - Hub: server/broker
  - Spokes: clients
- Clients communicate with each other through the hub



# Message Brokering Basic Terms

- **Broker**, called “servers” too
  - Accepts messages from clients
  - Delivers the messages to any interested clients
- **Client**
  - Publishes a message to a topic, or
  - Subscribes to a topic
  - or both.
- **Topic**: A *namespace* for messages on the broker
  - A **forward slash /** is used to separate the topic hierarchy
  - Clients do not need to initialize a topic before subscribing and publishing, and the broker will process the request automatically
  - e.g., myhome/groundfloor/familyroom/humidity

# Message Brokering Basic Terms (Cont'd)

- **Publish:** a client sends a message to the broker, using a topic name.
- **Subscribe:** a client notifies the broker the topics of interest
  - The broker sends messages published to that topic to subscribers
  - A client can subscribe to multiple topics.
- **Unsubscribe:**
  - Tell the broker not to send the client the messages to a particular topic any more

# MQTT Introduction

- MQTT is a messaging broker system
- Clients can publish (Pub) messages and subscribe (Sub) to topics.
  - Clients can both publish and subscribe.
- A broker communicates with clients.
- Topics can have subtopics.
  - Topics starting with \$ are reserved for special topics
  - Refer to [AWS IoT topics](#)

# Why not just use HTTP?

- [HTTP](#) is heavy
  - A lot of fields in the headers.
  - Needs multiple POST operations to distribute one message to multiple clients while a MQTT broker needs one publish
- A message brokering system is light
  - An MQTT packet can be only 2 bytes.



# Representational state transfer (REST) v.s. HTTP

- REST-compliant Web services define operations for clients to work on web resources
  - **Roy Fielding** defines REST in 2000 in his Ph.D dissertation
- Roy Fielding used REST to design HTTP 1.1 and Uniform Resource Identifiers (URI).
  - RESTful Web service operations through HTTP verbs GET, POST, PUT, DELETE
  - Note: HTTP was initiated by [Tim Berners-Lee](#)

# Outline

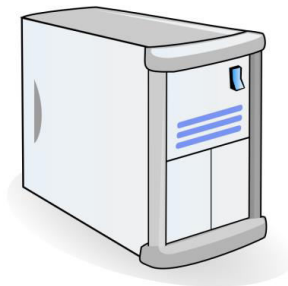
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# Example IoT system

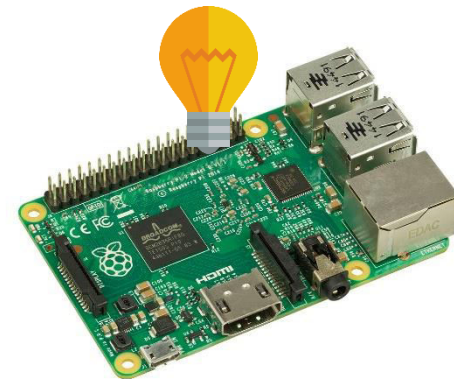
- Example IoT system components
  - Smartphone – controller
  - MQTT server – broker
  - Client: IoT device



Client



Broker



Client

# Mosquitto

- [Open source MQTT Mosquitto](#) is a broker server
  - Shipped with publishing and subscribing utilities - use *mosquitto\_pub* and *mosquitto\_sub*
- **Windows:** [binary installers on mosquitto.org](#)
- **Linux:** install “mosquitto” or “mosquitto-mqtt” with a package manager
- **Mac:** use [homebrew](#) to install mosquitto.
  - Add /usr/local/sbin to PATH by editing /etc/paths if necessary
- Running Mosquitto
  - Runs on port 1883 with no security by default

# Testing Mosquitto

- On one computer, we can test the whole MQTT system
- 1<sup>nd</sup> console (terminal): the server
  - `mosquitto -v` # verbose mode
- 2<sup>rd</sup> console: subscribing to MQTT Topic with Mosquitto
  - `mosquitto_sub -h 127.0.0.1 -i testSub -t debug`
  - **Host flag (-h)** indicates the mosquitto server
  - **Identity flag (-i)** is client id. `mosquitto_sub` creates one if not provided.
  - **Topic flag (-t)** indicates the topic to subscribe
- Notice: no topic is pre-created on the server
  - The topic is created when the subscriber or publisher connects to the server.

# Raspberry Pi as MQTT Client

- Choice one:
  - Install mosquitto and use mosquitto\_pub and mosquitto\_sub to communicate with a MQTT server.
- Choice two:
  - Write a client with an MQTT library for a preferred language like Python

# Install MQTT for Python

- MQTT Python library: [Paho Python Client](#)
  - Open source
  - Supports the latest version of MQTT.
- Installation
  - Install “pip”
  - *pip install paho-mqtt*
  - Or *pip3 install paho-mqtt* for python v3

# Example MQTT Python Code for Raspberry Pi

```
1 import paho.mqtt.publish as publish
2 import time
3 print("Sending 0...")
4 publish.single("ledStatus", "0", hostname="macman")
5 time.sleep(1)
6 print("Sending 1...")
7 publish.single("ledStatus", "1", hostname="macman")
```

[single](#) - Publish a single message to a broker, then disconnect cleanly.



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# Mosquitto broker with SSL/TLS

- **Generating the server certificates**

- `wget https://github.com/owntracks/tools/raw/master/TLS/generate-CA.sh .`
- This script generates a self signed certificate to be used by Mosquito for providing TLS for the MQTT and WebSocket protocol.
- `openssl` is needed.

- The following files are generated:

- **ca.crt** – The CA (Certificate Authority, who published the host certificate) public certificate.
- **hostname.crt** – The hostname, that will run the mosquitto broker, public certificate.
- **hostname.key** – The hostname private key.

# Create a folder for certificates and key files

- Copy the certificates and key files to a folder.
  - `sudo -s`
  - `mkdir -p /etc/mosquitto/certs`
  - `cp ca.crt /etc/mosquitto/certs`
  - `cp hostname.* /etc/mosquitto/certs`

# mosquitto.conf

- **mosquitto.conf**

- Configuration file for mosquitto.
- Can be put anywhere.
- By default, mosquitto does not need a configuration file and will use the default values.
- Refer to the man page `mosquitto(8)` for information on how to load a configuration file.

- Format

- Line with **#** as the very first character are comments.
- Configuration lines: a variable name and its value separated by a single space.

# Mosquitto configuration

## **# Plain MQTT protocol**

```
listener 1883
```

```
# End of plain MQTT configuration
```

## **# MQTT over TLS/SSL**

```
listener 8883
```

```
cafile /etc/mosquitto/certs/ca.crt
```

```
certfile /etc/mosquitto/certs/hostname.crt
```

```
keyfile /etc/mosquitto/certs/hostname.key
```

```
# End of MQTT over TLS/SSL configuration
```

## **# Plain WebSockets configuration**

```
listener 9001
```

```
protocol websockets
```

```
# End of plain Websockets configuration
```

## **# WebSockets over TLS/SSL**

```
listener 9883
```

```
protocol websockets
```

```
cafile /etc/mosquitto/certs/ca.crt
```

```
certfile /etc/mosquitto/certs/hostname.crt
```

```
keyfile /etc/mosquitto/certs/hostname.key
```

# Testing MQTT TLS/SSL configuration

- `mosquitto_pub --cafile /etc/mosquitto/certs/ca.crt -h localhost -t "test" -m "message" -p 8883`
- `mosquitto_sub -t \${SYS}/broker/bytes/\# -v --cafile /etc/mosquitto/certs/ca.crt -p 8883`

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# Authentication

- By default, no authentication
- **Unauthenticated encrypted support** is provided through the use of the certificate based SSL/TLS based options cafile/capath, certfile and keyfile.
  - The broker needs to provide the client a certificate



# username/password authentication

- Through *password\_file*
  - Define usernames and passwords.
- If no encryption used, the username and password will be transmitted in plaintext
  - SSL/TLS should be used

# Authentication via certificate based encryption

- Through *require\_certificate*, which can be *true* or *false*
- If *require\_certificate false*, no certificate based authentication for clients
  - Clients can verify server's certificate
  - Authentication of clients will have to rely on username/password if needed
- If *require\_certificate true*, the client must provide a valid certificate to the server before further communication
  - *use\_identity\_as\_username* can affect the authentication.
    - If true, the Common Name (CN) from the client certificate is used as the identity
    - “If false, the client **must** (?) authenticate as normal (if required by *password\_file*) through the MQTT options.”

# pre-shared-key based encryption

- pre-shared-key based encryption through the *psk\_hint* and *psk\_file* options in the configuration file,
  - the client must provide a valid identity and key to connect to the broker

```
pi@raspberrypi:~ $ mosquitto -c /etc/mosquitto/conf.d/mosquitto.conf
```

```
pi@raspberrypi:~ $ mosquitto_pub -h 129.63.17.134 -p 8883 -t mqtt --psk-identity  
client --psk 1234abcd -m "Test: different side"
```

- If `use_identity_as_username` is true
  - The PSK identity is used for access control purposes.
- If `use_identity_as_username` is false
  - The client may still authenticate via username/password so that different users have different passwords?
- Note: for programming paho does not support psk yet and c language [libmosquitto](#) supports it

# Notes

- Certificate and PSK based encryption are configured for each listener.
- Authentication plugins can be created to replace the password authentication (*password\_file*) and psk authentication *psk\_file*
  - For example, database lookups.
- Multiple authentication schemes can be simultaneously supported

```
# Plain MQTT protocol
listener 1883

# End of plain MQTT configuration

# MQTT over TLS/SSL
listener 8883
cafile /etc/mosquitto/certs/ca.crt
certfile /etc/mosquitto/certs/hostname.crt
keyfile /etc/mosquitto/certs/hostname.key

# End of MQTT over TLS/SSL configuration
```

# Reference

- [1] James Lewis, MQTT Introduction and Tutorial Part One - [Message Brokers and why your IoT device should use them](#), February 17, 2016.
- [2] James Lewis, MQTT Tutorial for Raspberry Pi, Arduino, and ESP8266 - [Send MQTT messages between 3 different platforms](#), February 24, 2016
- [3] Python Software Foundation, [paho-mqtt 1.2](#), 2016
- [4] [mosquitto.conf](#) — the configuration file for mosquitto, 2016
- [5] Primal Cortex, [MQTT Mosquitto broker with SSL/TLS transport security](#), March 31, 2016
- [6] J. Dunmire, [SSL/TLS Client Certs to Secure MQTT](#), 2016
- [7] MosquittoAn Open Source MQTT v3.1/v3.1.1 Broker, [Documentation](#), 2016
- [8] HuyITF, [Configure SSL/TLS for MQTT broker mosquitto](#), Jun 2, 2016
- [9] Roger Light, [libmosquitto](#) — MQTT version 3.1 c client library, 2016
- [10] [mosquitto.h](#), 2016

