

# UNIX System Programming

## Process Management

### Module 2

# Agenda

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**1**

**Process Management**

**2**

**Program Execution**

**3**

**Proc File System**

**4**

**Process Management Commands**

**4**

**Process Layout & Exploring Executables**

# Objectives

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At the end of this module you will be able to

- Understand the role of process management
- Use process related system calls
- Know the organization of proc file system
- Explore proc file system and per process entries in /proc
- Understand the memory layout of a process
- Use commands to explore object and executable files

# Process Management



# Process Management Subsystem

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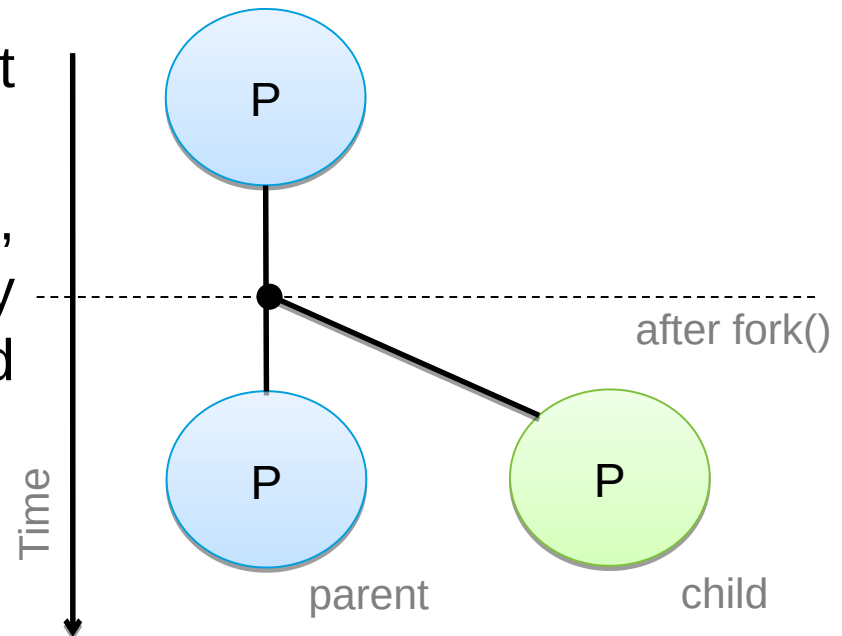
- Process is a program in execution
- Process management subsystem of Unix OS is responsible for
  - Process creation & termination
  - Process scheduling
  - Process accounting
- An executable program, which resides on disk, once executed results in having a process corresponding to the executable.
- A process has a single flow of execution of instructions, and has a context.

# Process related system calls & library functions

- Process related system calls that will be discussed are:-
  - fork           □ create a process
  - getpid         □ get process id
  - getppid       □ get parent process id
  - getuid         □ get user id
  - getgid         □ get group id
  - wait           □ wait for child process to terminate
  - waitpid       □ wait for a specific or any child process to terminate
- exec family    □ replace current process image with new image  
These are C Library functions

# Process creation

- The only way to create a process in UNIX is to fork a process.
- The system call `fork()`, creates a copy of the calling process.
- After `fork()`, there will be two processes in execution, one the original process, and the other being a copy of the first.
- The process calling `fork()` is called parent process and the created process is called the child process.
- Virtual address space of the parent gets replicated for the child.
- If a process is successfully created, there is no guarantee that the newly created process (i.e., child) would get immediately chance to run.



# Process creation (Contd.).

---

```
#include <unistd.h>  
pid_t fork(void);
```

- If **fork()** is successful, it returns the PID of the child process to the calling process and 0 to the child process.
- If fork() fails, then return value is -1. Hence to check for the value of pid in case of error too.



# Process creation (Contd.).

```
int pid;
pid = fork();
switch (pid)
{
    case -1:
        // error encountered
        break;
    case 0:
        // in child
        // implement logic for child process
        break;
    default:
        // in parent
        // implement logic for parent process
        break;
}
```

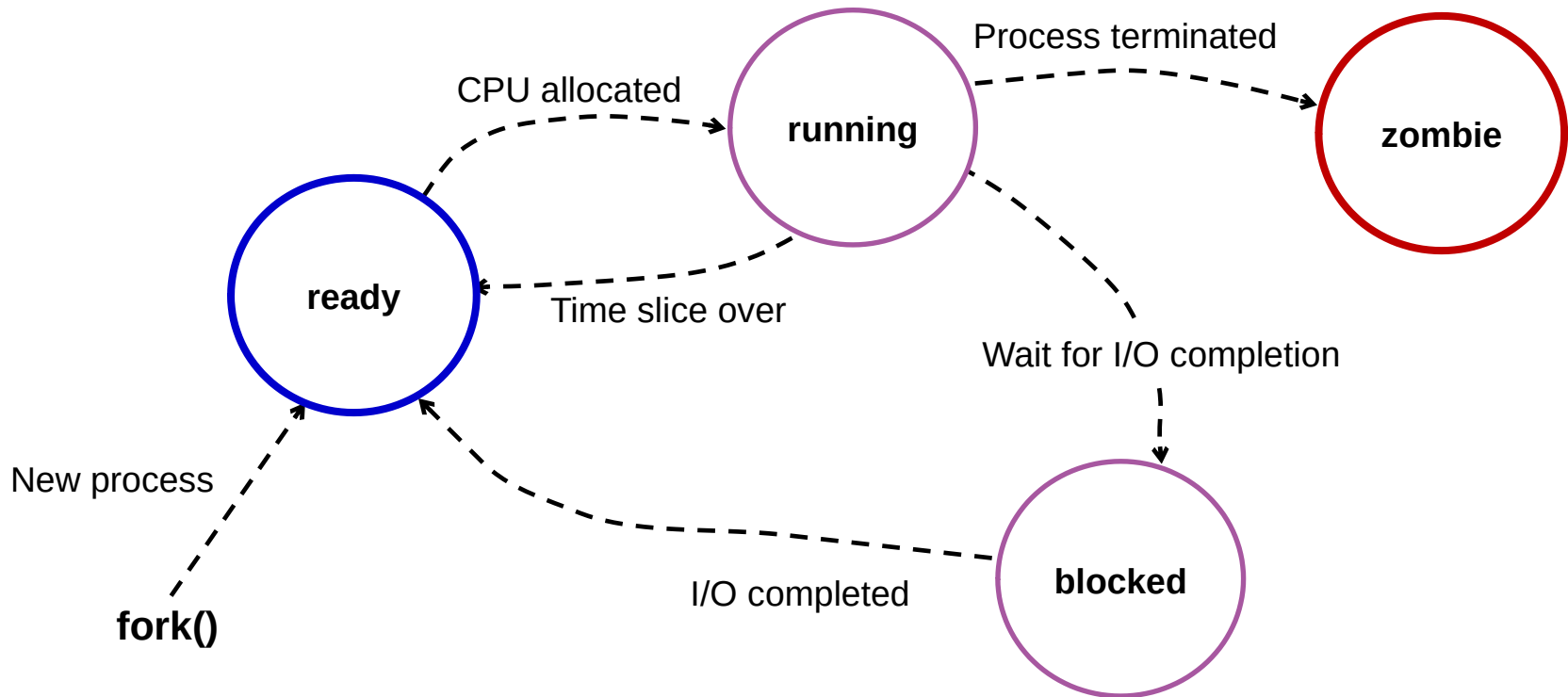
# Process Attributes

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- Every process has a variety of attributes, some of them unique and some inherited from parent process.
- Unique attributes of a process
  - Process ID (PID)
  - Unique task structure
- Inherited attributes of a process
  - File descriptors
  - File size limit
  - Resource limits
  - Nice value

# Process States

- A process from the time it got created till its termination, would make transition into several states.



# Process Termination

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- A process terminates execution, when any one of the following actions happen on a process.
  - Process executes `exit()` or `_exit()`
  - `exit()` is library function, where as `_exit()` is system call.
- `exit()` invokes all registered `cleanup()` routines and exits the process gracefully.
- `_exit()` does not invoke `cleanup()` routines and hence terminates the process abruptly.

# getpid(), getppid()

---

```
#include <sys/types.h>
```

```
#include <unistd.h>
```

```
pid_t getpid(void);
```

- Returns process id (pid) of the calling process

```
pid_t getppid(void);
```

- Returns parent process id (ppid) of the calling process

# Waiting for child process termination

- In some cases parent process wants to wait for the child to terminate
  - Example – shell waits for the command in interactive mode to terminate
- In such cases parent process has to call wait() or waitpid()
- wait() puts the calling process suspended, until one of its child processes terminates
  - If a child has already exited, this function returns immediately.

```
#include <sys/types.h>
#include <sys/wait.h>
pid_t wait(int *status);
```

**status** – to capture the exit status of the terminated process

If the exit status is not required, NULL can be passed as argument.

**Returns the pid of the terminated child process/**

# waitpid()

```
pid_t waitpid(pid_t pid, int *status, int option);
```

- Similar to `wait()`, but provides the flexibility to wait for a specific child process to terminate.
  - If `pid = -1`, wait for any child to terminate. This is equivalent to `wait()`
  - If `pid > 0`, wait for specific child to terminate

Additionally, if `option` is `WNOHANG`, then returns immediately.

Return value will be the `pid` of the child that exited.

Return value will be the 0, if `WNOHANG` specified for options and no child had exited.

# Zombie process

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- A process which creates a child, may or may not be interested to wait for the child's termination
- This causes the child process to be put into zombie or defunct state on termination.
  - On termination of a process, all resources that were acquired by the process get released.
  - However, process exit status is maintained, till the parent process asks for it (using `wait()`/`waitpid()`) or the parent terminates, whichever is earlier.
- There are situations where in a process is not interested in the exit status of its child processes.
- To avoid zombie processes, it requires handling of `SIGCHLD` signal.



# Example – process.c

```
void do_parent()
{
    printf("in parent
          ( pid = %d)\n", getpid());
    sleep(10);
    printf("parent terminated\n");
}

void do_child()
{
    printf("in child
          ( pid = %d)\n", getpid());
    sleep(3);
    printf("child terminated\n");
    exit(0);
}
```

```
int main()
{
    int pid;
    pid = fork();
    switch (pid) {
        case -1:
            printf("failed to
                  fork a process\n");
            break;
        case 0:
            do_child();
            break;
        default:
            do_parent();
            break;
    }
    return 0;
}
```

# Program Execution



# Program execution – `execve()`

- Once a process is created, child process executes the same logic as in the parent process. This is because the “text segment” is shared.
- `execve()` system call lets a new program be loaded into the process image and be run

```
#include <unistd.h>
```

```
int execve(const char *file_path_name,  
           char *const argv[], char *const envp[]);
```

- The system call takes the name of the executable, command arguments and environment string (last two parameters are optional)
- If successful, `execve()` never returns, because it replaces the program that called it; otherwise returns -1

# exec() Library Functions

- C Library provides a variety of functions to perform exec()
- They internally call execve()
- They differ in the way program name, arguments and environment strings are provided to the functions.
  - `int execl(const char *pathname, const char *arg, ..., (char *) NULL, char *const envp[] );`
  - `int execlp(const char *filename, const char *arg, ..., (char *) NULL);`
  - `int execvp(const char *filename, char *const argv[]);`
  - `int execv(const char *pathname, char *const argv[]);`
  - `int execl(const char *pathname, const char *arg, ..., (char *) NULL);`

# How to use exec() library functions

```
int    ret, pid;
pid = fork();
switch (pid) {
    case 0:  // in child
        // execute target program
        ret = any exec function with suitable args
        if (ret == -1) // exec failed
            _exit(EXIT_FAILURE);
    case -1:  // notify fork failed
    default: // in parent
        // parent specific logic
}
```

# Using execvp()

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- Uses the PATH environment variable

```
int ret;  
char* arglist[ ] = { "echo", "hello", "world",  
                     NULL };  
    /* list has to be terminate with NULL */  
ret = execvp(arglist[0], arglist);
```

# Proc File System



# About proc file system

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- Proc file system is a virtual file system
- Contains information about the system environment such as h/w, processes, file systems, device drivers etc.
- /proc is the mount point for the proc file system
- the file system resides in memory; never gets saved on disk.



# Directories in /proc

<b>1/</b>	<b>2330/</b>	<b>2579/</b>	<b>2638/</b>
<b>13/</b>	<b>2388/</b>	<b>2580/</b>	<b>2653/</b>
<b>1896/</b>	<b>2455/</b>	<b>2581/</b>	<b>3/</b>
<b>1897/</b>	<b>2471/</b>	<b>2582/</b>	<b>4/</b>
<b>1898/</b>	<b>2500/</b>	<b>25821/</b>	<b>5/</b>
<b>1899/</b>	<b>2509/</b>	<b>25823/</b>	<b>6/</b>
<b>1900/</b>	<b>2520/</b>	<b>25824/</b>	<b>68/</b>
<b>2/</b>	<b>2531/</b>	<b>2583/</b>	<b>7/</b>
<b>2266/</b>	<b>2555/</b>	<b>26115/</b>	<b>8/</b>
<b>2270/</b>	<b>2565/</b>	<b>26277/</b>	<b>9/</b>
<b>2298/</b>	<b>2577/</b>	<b>2637/</b>	
<b>2318/</b>	<b>2578/</b>		

**Process specific directories**

**Directory exists as long as the corresponding process exists**

# About proc file system

**System specific  
directories/files**

**File contents keep  
changing  
dynamically,  
reflecting the  
system at that  
instance**

apm	iomem	net/
bus/	Ioports	partitions
cmdline	irq/	pci
cpuinfo	kcore	scsi/
crypto	kmsg	self@
devices	ksyms	slabinfo
dma	loadavg	stat
dri/	locks	swaps
driver/	mdstat	sys/
execdomains	meminfo	sysrq-trigger
fb	misc	sysvipc/
filesystems	modules	tty/
fs/	mounts@	uptime
ide/	mtrr	version
interrupts		

# Directory entries of a process in /proc

```
$ ls -l 25824
total 0
-r--r--r-- 1 wipro18 wipro18 0 May 21 10:46 cmdline
lrwxrwxrwx 1 wipro18 wipro18 0 May 21 10:46 cwd ->
/proc/25824
-r----- 1 wipro18 wipro18 0 May 21 10:46 environ
lrwxrwxrwx 1 wipro18 wipro18 0 May 21 10:46 exe -> /bin/bash
dr-x----- 2 wipro18 wipro18 0 May 21 10:46 fd
-r----- 1 wipro18 wipro18 0 May 21 10:46 maps
-rw----- 1 wipro18 wipro18 0 May 21 10:46 mem
-r--r--r-- 1 wipro18 wipro18 0 May 21 10:46 mounts
lrwxrwxrwx 1 wipro18 wipro18 0 May 21 10:46 root -> /
-r--r--r-- 1 wipro18 wipro18 0 May 21 10:46 stat
-r--r--r-- 1 wipro18 wipro18 0 May 21 10:46 statm
-r--r--r-- 1 wipro18 wipro18 0 May 21 10:46 status
$
```

# Directory entries of a process in /proc (Contd.).

```
$ ls -l 25824/fd
total 0
lrwx----- 1 wipro18  wipro18  4 May 21 11:02 0 -> /dev/pts/0
lrwx----- 1 wipro18  wipro18 64 May 21 11:02 1 -> /dev/pts/0
lrwx----- 1 wipro18  wipro18 64 May 21 11:02 2 -> /dev/pts/0
lrwx----- 1 wipro18  wipro18 64 May 21 11:02 255 -> /dev/pts/
0
$
```

# Process Management Commands



# Process Management Commands

- Process management commands that will be discussed are :-

<b>ps</b>	□ prints a report of processes
<b>top</b>	□ displays the topmost CPU intensive processes
<b>fg</b>	□ resume specific job in foreground
<b>jobs</b>	□ list active jobs
<b>nice</b>	□ change scheduling priority of a process

- Note: **bg**, **fg** and **jobs** are built-in commands of bash shell.

# ps command

```
$ ps ax
```

PID	TTY	STAT	TIME	COMMAND	Process state code
1	?	S	0:04	init	
2	?	SW	0:00	[keventd]	R runnable (on run queue)
3	?	SW	0:00	[kapmd]	S sleeping
4	?	SWN	0:00	[ksoftirqd/0]	T traced or stopped
7	?	SW	0:00	[bdflush]	Z zombie process
5	?	SW	0:00	[kswapd]	N low-priority task
6	?	SW	0:00	[kscand]	W no resident pages
8	?	SW	0:00	[kupdated]	
...					
21380	pts/1	S	0:00	-bash	
21460	?	S	0:00	sshd: wipro18 [priv]	
21462	?	S	0:00	sshd: wipro18@pts/0	
21463	pts/0	S	0:00	-bash	
29258	pts/1	R	0:00	ps ax	

```
$
```

# ps command (Contd.).

**\$ ps -u wipro18**      □ get process listing specific to a user

PID	TTY	TIME	CMD
21379	?	00:00:00	sshd
21380	pts/1	00:00:00	bash
21462	?	00:00:00	sshd
21463	pts/0	00:00:00	bash
29557	pts/1	00:00:00	ps

\$

**\$ ps -u wipro18 -O pid,ppid,state,cmd**

PID	PPID	S	CMD
21379	21377	S	sshd: wipro18@pts/1
21380	21379	S	-bash
21462	21460	S	sshd: wipro18@pts/0
21463	21462	S	-bash
30253	21463	R	ps -u wipro18 -o pid,ppid,state,cmd

\$

use -o option to  
choose output  
columns



# top command

- displays the topmost CPU intensive processes

```
wipro18@localhost:~$ top
17:22:46 up 4 days, 23:08, 3 users, load average: 0.00, 0.01, 0.00
54 processes: 47 sleeping, 4 running, 0 zombie, 3 stopped
CPU states:  cpu      user      nice    system    irq     softirq  iowait    idle
              total    0.0%    0.0%     0.0%    0.2%     0.0%     0.0%    99.8%
Mem:  2050480k av, 903840k used, 1146640k free,      0k shrd, 187856k buff
      419928k active,      208840k inactive
Swap: 4096532k av,      0k used, 4096532k free      476604k cached
```

PID	USER	PRI	NI	SIZE	RSS	SHARE	STAT	%CPU	%MEM	TIME	CPU	COMMAND
1	root	15	0	508	508	448	S	0.0	0.0	0:04	0	init
2	root	15	0	0	0	0	SW	0.0	0.0	0:00	0	keventd
3	root	15	0	0	0	0	RW	0.0	0.0	0:00	0	kapmd
4	root	34	19	0	0	0	SWN	0.0	0.0	0:00	0	ksoftirqd/0
7	root	25	0	0	0	0	SW	0.0	0.0	0:00	0	bdflush
5	root	15	0	0	0	0	RW	0.0	0.0	0:00	0	kswapd
6	root	15	0	0	0	0	SW	0.0	0.0	0:00	0	kscand
8	root	15	0	0	0	0	SW	0.0	0.0	0:00	0	kupdated
9	root	25	0	0	0	0	SW	0.0	0.0	0:00	0	mdrecoveryd
13	root	15	0	0	0	0	SW	0.0	0.0	0:00	0	kjournald
68	root	25	0	0	0	0	SW	0.0	0.0	0:00	0	khubd
1896	root	15	0	0	0	0	SW	0.0	0.0	0:00	0	kjournald
1897	root	15	0	0	0	0	SW	0.0	0.0	0:00	0	kjournald
1898	root	15	0	0	0	0	SW	0.0	0.0	0:00	0	kjournald
1899	root	15	0	0	0	0	SW	0.0	0.0	0:00	0	kjournald
1900	root	15	0	0	0	0	SW	0.0	0.0	2:15	0	kjournald
2266	root	15	0	592	592	512	S	0.0	0.0	0:22	0	syslogd
2270	root	15	0	460	460	396	S	0.0	0.0	0:00	0	klogd
2298	rpc	21	0	564	564	488	S	0.0	0.0	0:00	0	portmap

# Job control

- Commands associated with job control are

- jobs
- fg
- bg
- nice
- renice

- Jobs command lists the jobs

```
$ sleep 100&
[1] 32202
$ sleep 200&
[2] 32203
$ sleep 300&
[3] 32204
$ jobs
[1]    Running    sleep 100 &
[2]-  Running    sleep 200 &
[3]+  Running    sleep 300 &
$ fg 3
sleep 300
[1]    Done       sleep 100
CTRL+Z
[3]+  Stopped     sleep 300
$
```

# bg & fg commands

- `fg` ☐ resume specified job  
foreground  
`fg jobnumber`
- `bg` ☐ resume specified  
suspended job in background  
`bg jobnumber`

```
$ sleep 100&
[1] 32202
$ sleep 200&
[2] 32203
$ sleep 300&
[3] 32204
$ jobs
[1]      Running      sleep 100 &
[2]-    Running      sleep 200 &
[3]+    Running      sleep 300 &
$ fg 3
sleep 300
[1]      Done          sleep 100
CTRL+Z
[3]+    Stopped        sleep 300
$
```

# nice command

---

- Nice command is used to run a command with a specific priority

`nice [option] command [args]`

**-N** or **-n N** where N is a number is the adjustment value

Default adjustment value is 10

# nice command – example

```
$ sleep 100&
[1] 29015
$ ps -l 29015
...    PID    PPID    C  PRI   NI   ...  CMD
...  29015  20685    0   75    0   ...  sleep 100
$ nice sleep 100&
[2] 29017
$ ps -l 29017
...    PID    PPID    C  PRI   NI   ...  CMD
...  29017  20685    0   90   10   ...  sleep 100
$ nice -15 sleep 100&
[3] 29045
$ ps -l 29045
...    PID    PPID    C  PRI   NI   ...  CMD
...  29045  20685    0   95   15   ...  sleep 100
```

# renice command

---

- change scheduling priority of a running process

renice *priority* *pid*

# renice command – example

```
$ sleep 500&
```

```
[2] 29777
```

```
$ ps -l 29777
```

...	PID	PPID	C	PRI	NI	...	CMD
...	29777	20685	0	75	0	...	sleep 500

```
$ renice 15 29777
```

```
29777: old priority 0, new priority 15
```

```
$ ps -l 29777
```

...	PID	PPID	C	PRI	NI	...	CMD
...	29777	20685	0	95	15	...	sleep 500

```
$ renice 17 29777
```

```
29777: old priority 15, new priority 17
```

```
$ ps -l 29777
```

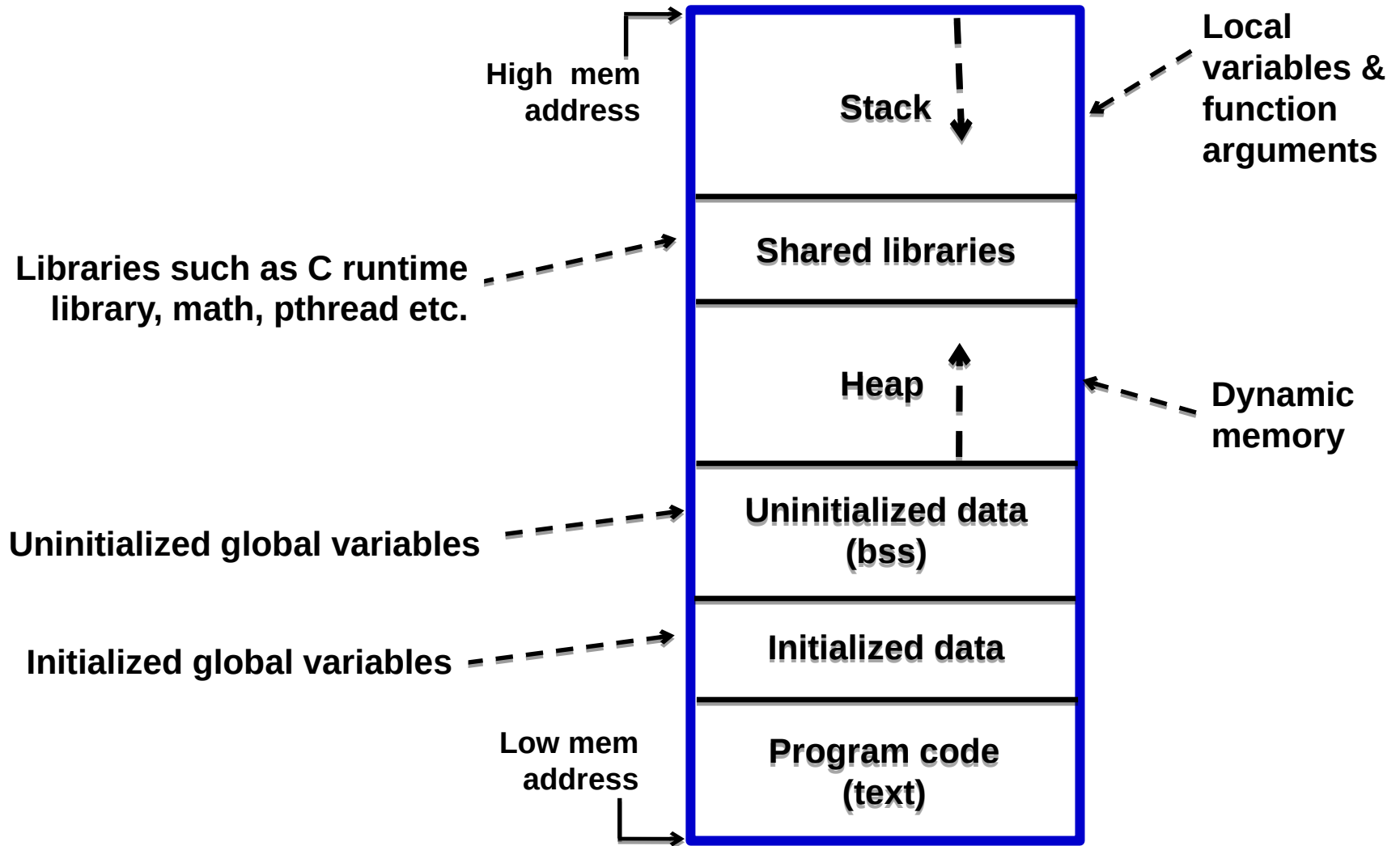
...	PID	PPID	C	PRI	NI	...	CMD
...	29777	20685	0	97	17	...	sleep 500

# Process Layout & Exploring Executables





# UNIX Process Layout



# Exploring executables

---

- The following bin utilities are useful to explore an executable
  - file      □ determine file type
  - nm      □ to print symbols of executable or object file  
                 symbol names include variables or  
                 function names
  - size      □ print section sizes of executable or object  
                 files

# file command

---

```
$ file sample.o
```

```
sample.o: ELF 32-bit LSB relocatable, Intel  
80386, version 1 (SYSV), not stripped  
$
```

```
$ file a.out
```

```
a.out: ELF 32-bit LSB executable, Intel  
80386, version 1 (SYSV), for GNU/Linux  
2.2.5, dynamically linked (uses shared  
libs), not stripped  
$
```

# Sample program

```
static int a;
int b = 10;
int c;
void foo_2(int x) {
    printf("in foo_2()\n");
    printf("foo_2  x+a = %d\n", x+a);
}
void foo_1(int x) {
    printf("in foo_1()\n");
    c = 1;
    foo_2(x+b+c);
}
```

```
int main()
{
    int k = 3;

    foo_1(k);

    return 0;
}
```

# nm command

```
$ nm -l a.out
```

```
080495ec (b) a
```

```
080494f0 (D) b
```

```
080495f0 (B) c
```

```
08048375 (T) foo_1 /home/wipro18/.x/sample.c:14
```

```
08048344 T foo_2 /home/wipro18/.x/sample.c:7
```

```
08048250 T _init
```

```
080483b1 T main /home/wipro18/.x/sample.c:23
```

```
08048298 (T) _start
```

D ☐ initialized data

B ☐ global uninitialized data

b ☐ uninitialized data

T ☐ code section

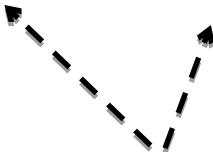
lower case indicates local identifier

UPPER case indicates global identifier

# size command

```
$ size a.out
```

text	data	bss	dec	hex	filename
968	260	12	1240	4d8	a.out



size of text, data, bss in  
decimal & hex notation

text □ executable code in bytes (in decimal format)

data □ initialized data in bytes (in decimal format)

bss □ uninitialized data in bytes (in decimal format)

---

# Hands-on and Assignments



# Exercises

---

- 1) Write a program which forks a process and the parent waits for the child to terminate and prints the exit status, considering the following cases
  - a) Child terminates without a call to `exit()`
  - b) Child terminates calling `exit(n)`
  - c) Child terminates calling `return(n)`Try with values  $n = 0$ ,  $n > 0$  and  $n < 0$  for argument **n**
  
- 2) Write an application that creates **N** child processes each sleeping for random amount of time.  
use `waitpid()`, to see that the parent process waits for each of the child processes to terminate and prints the pid of the child process and its exit status.



- 
- 1) Write a program which forks a process and the parent waits(wait()) for the child to terminate and prints the exit status, considering the following cases
    - a) wait()
    - b) exit status of the terminated process**

Give one example for renice command

# Exercises (Contd.).

---

- 3) On the executables of the programs that you have already created run the following commands:
- a) file
  - b) size
  - c) nm

# Summary

---

In this module, we discussed

- process related system calls
- how to create a process
- about the organization of proc file system
- per process entries in /proc
- memory layout of a process
- commands to explore object & executable files

# Review Questions

---

- 1) What are the different states of a process?
- 2) When does a process get into zombie state?
- 3) Identify one invalid process state transition.
- 4) Give one example for uninitialized data.
- 5) How a command to be executed along with its arguments is passed to `execvp()`?

# References

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- 1) W. Richard Stevens and Stephen A. Rago, Ed 2., New Delhi: Pearson Education, 2009.
- 2) Kay A. Robbins and Steven Robbins, UNIX Systems Programming, New Delhi: Pearson Education, 2009.
- 3) Rochkind, Advanced Unix Programming, Ed 2. New Delhi: Pearson Education, 2008.
- 4) Arnold Robbins, Linux Programming by Example, New Delhi: Pearson Education, 2008.

**Thank You**

