

**COS-310**

# **Approximation Algorithms for Facility Location Problems**

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# Uncapacitated Facility Location Problem

## Instance:

$C$  = set of clients

$F$  = set of locations where facilities could be opened

$f_i$  = cost of opening a facility at  $i \in F$

$d$  is a metric, where  $d(i, j)$  is the cost of servicing client  $j$  with facility  $i$ .

## Objective:

Find  $S \subseteq F$  and an assignment  $A: C \rightarrow S$  s.t.  $\sum f_i + \sum d(i, j) = c_{\square}(S) + c_{\square}(S) = c(S)$  is minimised.

**Observation:** Once  $S$  is fixed,  $A$  becomes automatically fixed – assign each client to nearest open facility!

# Uncapacitated Facility Location Problem

01	Deterministic Rounding	4-approximation
02	Randomised Rounding	1.736-approximation (expected cost)
03	Primal-Dual Algorithm	3-approximation
04	Local Search	2.414-approximation

Theorem: There is no  $\alpha$ -approx where  $\alpha < 1.463$  unless each problem in NP has  $O(n^{(\log \log n)})$  algorithm!

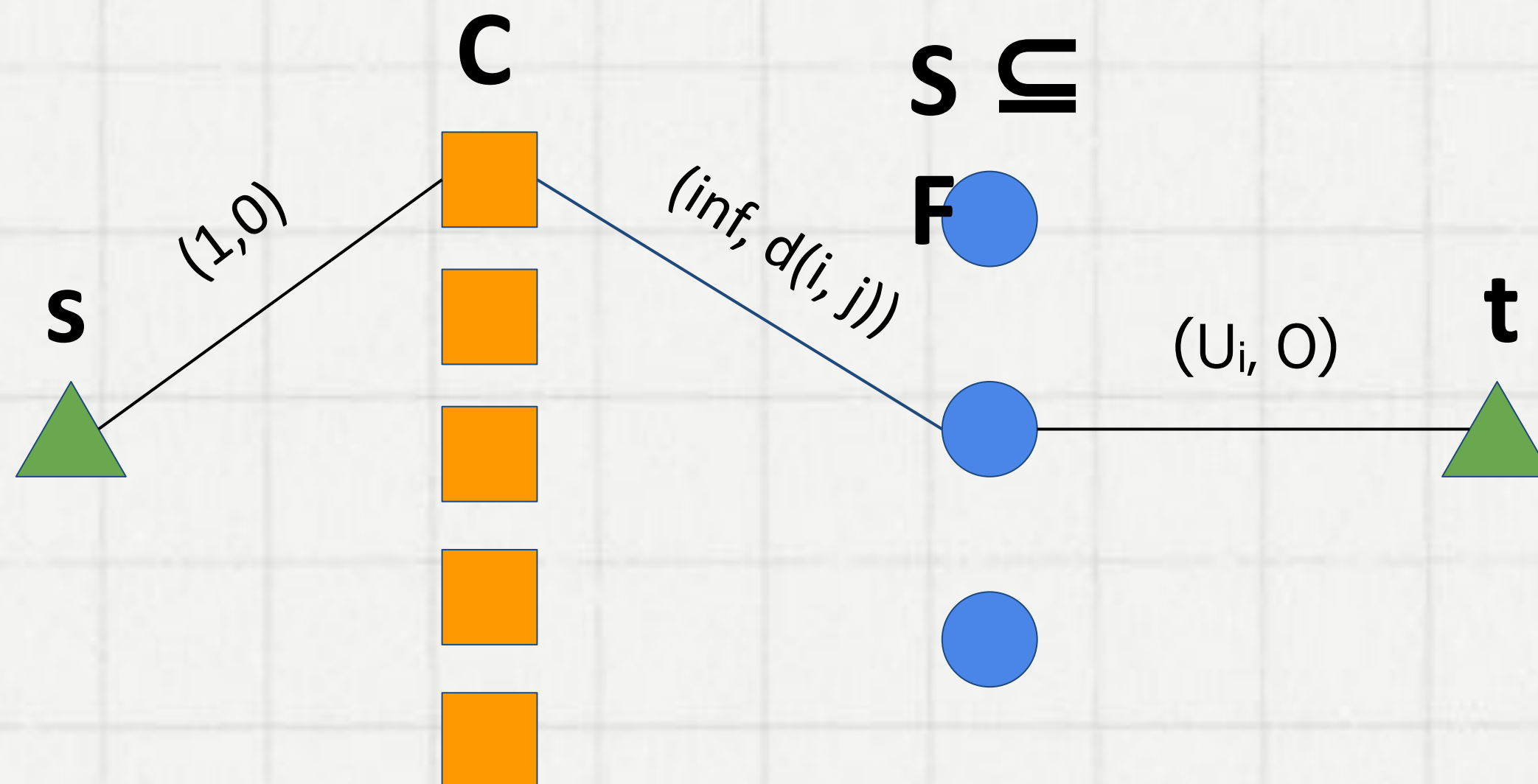
\* Reference: Williamson DP, Shmoys DB. *The Design of Approximation Algorithms*. Cambridge University Press; 2011.

# Capacitated Facility Location Problem

To the uncapacitated problem, we add an additional constraint – each facility can serve a maximum of  $U_i$  clients.

**Observation:** Once  $S$  is fixed,  $A$  becomes automatically fixed.

But note that we cannot necessarily send each client to its nearest open facility now!



# Local Search Algorithm

## Permissible Moves:

1. **Add:**  $S \leftarrow S \cup \{i\}$  for some  $i \notin S$
2. **Delete:**  $S \leftarrow S \setminus \{i\}$  for some  $i \in S$
3. **Swap:**  $S \leftarrow S \cup \{i\} \setminus \{i'\}$  for some  $i \notin S, i' \in S$

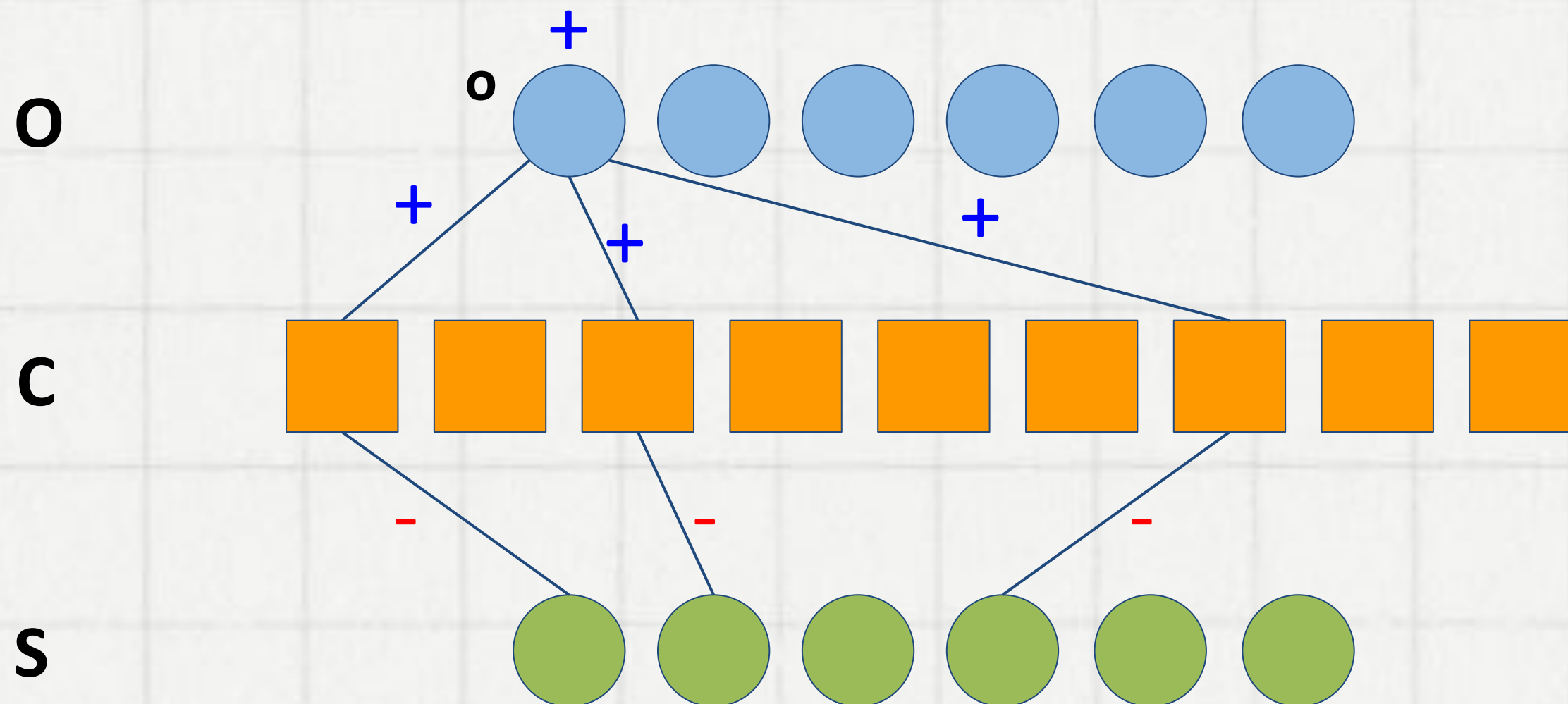


# Local Search Algorithm

Let  $O$  be an optimal solution and let  $S$  be a locally optimum solution.

**Lemma:**  $C(S) \leq C(O)$

**Proof:** Using add operations, we can deduce the result.



# Local Search Algorithm

## 6-approximation

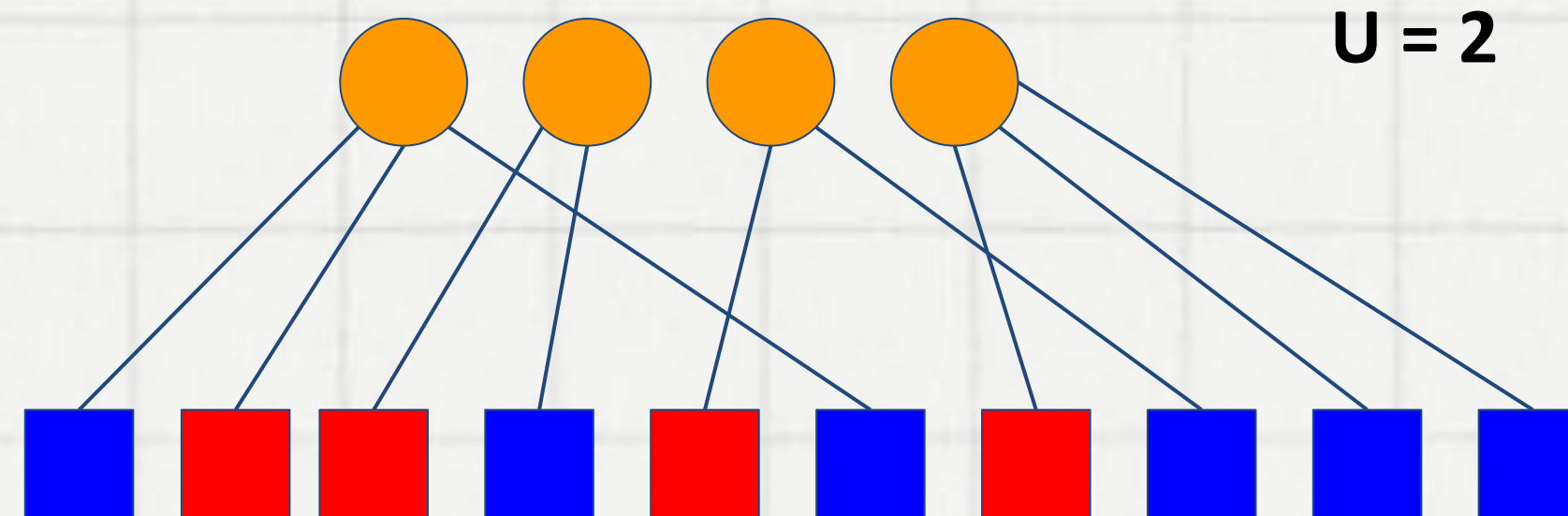
- For uniform capacities.
- Use the light/heavy facility approach.
- *Integral mapping* from clients to clients s.t mapping is one-one, no facility in  $S$  is overburdened.
- Clients who do not have a mapping are swapped, the ones who have a mapping are redirected to the facility serving their map.
- Add up all obtained inequalities.

## Best Guarantee: 3-approximation

- For uniform capacities.
- *Fractional mapping* from clients to clients .
- Use a convex combination of all obtained inequalities.

# A New Variant of Capacitated Facility Location!

- Each client now demands for **one out of  $k$  services** – can be visualised as a  $k$ -coloured variant of the previous problem.
- Each facility now has a capacity w.r.t each service.



First we consider the case where capacities are uniform across clients and across services.



# Easy Guarantee

We are working on finding an approximation guarantee for the new variant (Special Case: Uniform Capacities across colours and facilities) using the same local search algorithm.

**Observation:** A  $3k$  approximation can be obtained by solving CFL w.r.t each service (colour) separately and then considering the union.

Note that this guarantee is independent of the # facility locations or # clients, just dependent on  $k$ .

**Question:**

Can we get a better approximation algorithm for the problem?

# Better Guarantee?

Have tried out the natural extensions of integral mapping analysis, and the fractional mapping approach –  $O(k)$  guarantees!

Also haven't been able to find an example where a local search gives an  $O(k)$  approximation.

# Scope

Will continue to work on the problem through the next semester:

- (possibly) establish a constant (independent of  $k$ ) guarantee for the local search algorithm.
- relax the constraint that capacities are uniform across colours.
- relax the constraint that capacities are uniform across facilities.

# References

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4. An, Hyung-Chan & Singh, Mohit & Svensson, Ola. (2014). LP-Based Algorithms for Capacitated Facility Location. Proceedings – Annual IEEE Symposium on Foundations of Computer Science, FOCS. 10.1109/FOCS.2014.35.
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