# HomeWork\_4\_Spring\_22 (2)

April 21, 2022

# 1 Homework 4 Spring 2022

Due 04/18 23:59

#### 1.0.1 Name-Rakshith Kamath

#### 1.0.2 UNI-rk3165

[1]: import numpy as np

```
import matplotlib.pyplot as plt

import pprint
pp = pprint.PrettyPrinter(indent=4)
import tensorflow as tf

[2]: import sklearn
from sklearn.model_selection import train_test_split
from sklearn.metrics import confusion_matrix
from tensorflow.keras import Sequential
from tensorflow.keras.layers import__
__Dense,Conv2D,MaxPooling2D,Flatten,Dropout,BatchNormalization,Activation
from tensorflow.keras.optimizers import SGD
import seaborn as sns
from tensorflow.keras.utils import to_categorical
```

```
[3]: import warnings

def fxn():
    warnings.warn("deprecated", DeprecationWarning)

with warnings.catch_warnings():
    warnings.simplefilter("ignore")
    fxn()
```

#### 2 Part 1: Feed forward network from scratch!

For this part, you are not allowed to use any library other than numpy.

In this part, you will will implement the forward pass and backward pass (i.e. the derivates of each parameter wrt to the loss) for the following neural network:

The weight matrix for the hidden layer is W1 and has bias b1.

The weight matrix for the ouput layer is W2 and has bias b2.

Activatation function is sigmoid for both hidden and output layer

Loss function is the MSE loss

$$L(y, y_t) = \frac{1}{2N} \sum_{n=1}^{N} (y^n - y_t^n)^2$$

Refer to the below dictionary for dimensions for each matrix

```
[5]: def sigmoid(z): return 1/(1 + np.exp(-z))
```

```
[6]: #Implement the forward pass
def forward_propagation(X, weights):
    # Z1 -> output of the hidden layer before applying activation
    # H -> output of the hidden layer after applying activation
    # Z2 -> output of the final layer before applying activation
    # Y -> output of the final layer after applying activation

Z1 = np.dot(X, weights['W1'].T) + weights['b1']
    H = sigmoid(Z1)

Z2 = np.dot(H, weights['W2'].T) + weights['b2']
    Y = sigmoid(Z2)

return Y, Z2, H, Z1
```

```
[7]: # Implement the backward pass
# Y_T are the ground truth labels
def back_propagation(X, Y_T, weights):
    N_points = X.shape[0]
# forward propagation
```

```
Y, Z2, H, Z1 = forward_propagation(X, weights)
L = (1/(2*N_points)) * np.sum(np.square(Y - Y_T))
# back propagation
dLdY = 1/N_points * (Y - Y_T)
dLdZ2 = np.multiply(dLdY, (sigmoid(Z2)*(1-sigmoid(Z2))))
dLdW2 = np.dot(H.T, dLdZ2)
dLdb2 = dLdZ2
dLdb2 = np.sum(dLdb2, axis=0)
dLdH = np.dot(dLdZ2.reshape(-1,1), weights['W2'].reshape(-1,1).T)
dLdZ1 = np.multiply(dLdH, (sigmoid(Z1)*(1-sigmoid(Z1))))
dLdW1 = np.dot(X.T, dLdZ1)
dLdb1 = dLdZ1
dLdb1 = np.sum(dLdb1, axis=0)
gradients = {
    'W1': dLdW1,
    'b1': dLdb1,
    'W2': dLdW2,
    'b2': dLdb2,
}
return gradients, L
```

```
[8]: gradients, L = back_propagation(X, Y, weights)
print(L)
```

0.1332476222330792

Your answers should be close to L = 0.133 and 'b1': array([ 0.00492, -0.000581, -0.00066]). You will be graded based on your implementation and outputs for L, W1, W2 b1, and b2

You can use any library for the following questions.

#### 3 Part 2: Fashion MNIST dataset

The Fashion-MNIST dataset is a dataset of Zalando's article images—consisting of a training set of 60,000 examples and a test set of 10,000 examples. Each example is a 28x28 grayscale image, associated with a label from 10 classes. It's commonly used as a direct drop-in replacement for the original MNIST dataset for benchmarking machine learning models. You can read more about the dataset at the Fashion-MNIST homepage.

We will utilize tensorflow to import the dataset, however, feel free to use any framework (TF/PyTorch) to answer the assignment questions.

```
[56]: from tensorflow.keras.datasets import fashion_mnist

# load data
(xdev, ydev), (xtest, ytest) = fashion_mnist.load_data()
```

# 3.0.1 2.1 Plot the first 25 samples from both development and test sets on two separate $5\$ \times \$5subplots$ .

Each image in your subplot should be labelled with the ground truth label. Get rid of the plot axes for a nicer presentation. You should also label your plots to indicate if the plotted data is from development or test set. You are given the expected output for development samples.

```
[11]: xdev_image = xdev[:25,:,:]
ydev_image = ydev[:25]
xtest_image = xtest[:25,:,:]
ytest_image = ytest[:25]
```

```
[12]: # Plot dev samples
   plt.figure(figsize=(25,15))
   fig, axes = plt.subplots(nrows=5, ncols=5,figsize=(10,10))
   fig.subplots_adjust(hspace=0.5)
   fig.suptitle('Plotting Development images with labels')

for ax, feature, name in zip(axes.flatten(), xdev_image, ydev_image):
        ax.imshow(feature)
        ax.axis('off')
        ax.set(title=name)
```

<Figure size 1800x1080 with 0 Axes>

#### Plotting Development images with labels



```
[13]: # Plot test samples
fig, axes = plt.subplots(nrows=5, ncols=5,figsize=(10,10))
fig.subplots_adjust(hspace=0.5)
fig.suptitle('Plotting test images with labels')

for ax, feature, name in zip(axes.flatten(), xtest_image, ytest_image):
    ax.imshow(feature)
    ax.axis('off')
```

#### Plotting test images with labels



## 4 Part 3: Feed Forward Network

In this part of the homework, we will build and train a deep neural network on the Fashion-MNIST dataset.

### 4.0.1 3.1.1 Print their shapes - $x_{\text{dev}}$ , $y_{\text{dev}}$ , $x_{\text{test}}$ , $y_{\text{test}}$

```
[57]: # Print
    print(f"shape of xdev is {xdev.shape}")
    print(f"shape of ydev is {ydev.shape}")
    print(f"shape of xtest is {xtest.shape}")
    print(f"shape of ytest is {ytest.shape}")

shape of xdev is (60000, 28, 28)
    shape of ydev is (60000,)
    shape of xtest is (10000, 28, 28)
    shape of ytest is (10000,)
```

# 4.0.2 3.1.2 Flatten the images into one-dimensional vectors. Again, print out the shapes of $x_{\text{dev}}, x_{\text{test}}$

```
[54]: # Flatten and print
    xdev_flatten=np.reshape(xdev,(xdev.shape[0],-1))
    xtest_flatten=np.reshape(xtest,(xtest.shape[0],-1))
    print(f"shape of xdev is {xdev_flatten.shape}")
    print(f"shape of ydev is {xtest_flatten.shape}")

shape of xdev is (60000, 784)
    shape of ydev is (10000, 784)
```

#### 4.0.3 3.1.3 Standardize the development and test sets.

Note that the images are 28x28 numpy arrays, and each pixel takes value from 0 to 255.0. 0 means background (white), 255 means foreground (black).

```
[16]: # Standardize
xdev_flatten=xdev_flatten/255
xtest_flatten=xtest_flatten/255
```

4.0.4 3.1.4 Assume your neural network has softmax activation as the last layer activation. Would you consider encoding your target variable? Which encoding would you choose and why? The answer depends on your choice of loss function too, you might want to read 2.2.1 and 2.2.5 before answering this one!

Encode the target variable else provide justification for not doing so. Supporting answer may contain your choice of loss function.

Answer- Yes, I have considered using encoding target variable here. Since this is a multi-class classification problem for predicting the target class for the fashion MNIST dataset and we have a softmax activation at the last layer which gives the probabilities of each class that can be assigned, we are doing one hot encoding of the target variable. Since we have used One Hot Encoding the loss function for the same would be to use categorical\_crossentropy loss function.

```
[17]: # answer
ydev = to_categorical(ydev, num_classes=None, dtype='float32')
ytest = to_categorical(ytest, num_classes=None, dtype='float32')
```

#### 4.0.5 3.1.5 Train-test split your development set into train and validation sets (8:2 ratio).

Note that splitting after encoding does not causes data leakage here because we know all the classes beforehand.

```
[18]: Xtrain, Xval, ytrain, yval = train_test_split(xdev_flatten, ydev, test_size=0.2, u arandom_state=42)
```

#### 4.0.6 3.2.1 Build the feed forward network

Using Softmax activation for the last layer and ReLU activation for every other layer, build the following model:

- 1. First hidden layer size 128
- 2. Second hidden layer size 64
- 3. Third and last layer size You should know this

```
[19]: # build model
model = Sequential([
    Dense(128,input_shape=(784,),activation='relu'),
    Dense(64,activation='relu'),
    Dense(10,activation='softmax')
])
```

2022-04-20 21:32:59.196312: I tensorflow/core/platform/cpu\_feature\_guard.cc:151] This TensorFlow binary is optimized with oneAPI Deep Neural Network Library (oneDNN) to use the following CPU instructions in performance-critical operations: SSE4.1 SSE4.2

To enable them in other operations, rebuild TensorFlow with the appropriate compiler flags.

#### 4.0.7 3.2.2 Print out the model summary

```
[20]: # print summary model.summary()
```

Model: "sequential"

Layer (type)	Output Shape	Param #
dense (Dense)	(None, 128)	100480
dense_1 (Dense)	(None, 64)	8256
dense_2 (Dense)	(None, 10)	650

.-----

Total params: 109,386 Trainable params: 109,386 Non-trainable params: 0

\_\_\_\_\_\_

4.0.8 3.2.3 Report the total number of trainable parameters. Do you think this number is dependent on the image height and width? Only Yes/No required.

#### 5 Answer

Total number of trainable parameters is 109,386.

Yes, it depends on image height and width

5.0.1 3.2.4 Print out your model's output on first train sample. This will confirm if your dimensions are correctly set up. Is the sum of this output equal to 1 upto two decimal places?

```
[21]: # answer
      print(f"Output probabilites-{model.predict(Xtrain[:1])}")
      print(f"Sum of all the terms {np.sum(model.predict(Xtrain[:1])):.2f}")
     WARNING:tensorflow:AutoGraph could not transform <function
     Model.make_predict_function.<locals>.predict_function at 0x7fd8b8a6c8c0> and
     will run it as-is.
     Please report this to the TensorFlow team. When filing the bug, set the
     verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
     output.
     Cause: 'arguments' object has no attribute 'posonlyargs'
     To silence this warning, decorate the function with
     @tf.autograph.experimental.do_not_convert
     WARNING: AutoGraph could not transform <function
     Model.make_predict_function.<locals>.predict_function at 0x7fd8b8a6c8c0> and
     will run it as-is.
     Please report this to the TensorFlow team. When filing the bug, set the
     verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
     output.
     Cause: 'arguments' object has no attribute 'posonlyargs'
     To silence this warning, decorate the function with
     @tf.autograph.experimental.do_not_convert
     Output probabilites-[[0.0681811 0.11559495 0.10691907 0.0965947 0.04230984
     0.0723356
       0.18999329 0.14038369 0.09736377 0.07032399]]
     Sum of all the terms 1.00
```

5.0.2 3.2.5 Considering the output of your model and overall objective, what loss function would you choose and why? Choose a metric for evaluation and explain the reason behind your choice.

#### 6 Answer

The output of the model is the probabilities of the given image to be in a particular class. The objective of the model is to predict the class for a given image. Hence, we have used categorical\_crossentropy loss function. We have used accuracy as metric since this is a multi-class classification problem the aim is to check if the predicted labels of the model are in line with the ground truth

# 6.0.1 3.2.6 Using the metric and loss function above, with Adam as the optimizer, train your model for 20 epochs with batch size 128.

Make sure to save and print out the values of loss function and metric after each epoch for both train and validation sets.

Note - Use appropriate learning rate for the optimizer, you might have to try different values

[22]: model.compile("adam", "categorical\_crossentropy", metrics=["accuracy"])

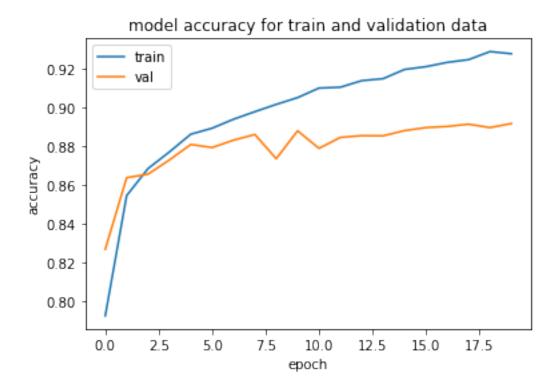
```
[23]: # train
      print("Fit model on training data")
      history = model.fit(
          Xtrain,
          ytrain,
          batch_size=128,
          epochs=20,
          validation_data=(Xval, yval),
          verbose = 1
     Fit model on training data
     Epoch 1/20
     WARNING:tensorflow:AutoGraph could not transform <function
     Model.make_train_function.<locals>.train_function at 0x7fd8b8b87b00> and will
     run it as-is.
     Please report this to the TensorFlow team. When filing the bug, set the
     verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
     output.
     Cause: 'arguments' object has no attribute 'posonlyargs'
     To silence this warning, decorate the function with
     @tf.autograph.experimental.do_not_convert
     WARNING: AutoGraph could not transform <function
     Model.make_train_function.<locals>.train_function at 0x7fd8b8b87b00> and will
     run it as-is.
     Please report this to the TensorFlow team. When filing the bug, set the
     verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
```

```
output.
Cause: 'arguments' object has no attribute 'posonlyargs'
To silence this warning, decorate the function with
@tf.autograph.experimental.do_not_convert
0.7916WARNING:tensorflow:AutoGraph could not transform <function
Model.make_test_function.<locals>.test_function at 0x7fd8ca40bc20> and will run
it as-is.
Please report this to the TensorFlow team. When filing the bug, set the
verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
output.
Cause: 'arguments' object has no attribute 'posonlyargs'
To silence this warning, decorate the function with
@tf.autograph.experimental.do_not_convert
WARNING: AutoGraph could not transform <function
Model.make_test_function.<locals>.test_function at 0x7fd8ca40bc20> and will run
it as-is.
Please report this to the TensorFlow team. When filing the bug, set the
verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
output.
Cause: 'arguments' object has no attribute 'posonlyargs'
To silence this warning, decorate the function with
@tf.autograph.experimental.do_not_convert
accuracy: 0.7923 - val_loss: 0.4719 - val_accuracy: 0.8267
Epoch 2/20
375/375 [============] - 1s 2ms/step - loss: 0.4097 -
accuracy: 0.8545 - val_loss: 0.3860 - val_accuracy: 0.8637
accuracy: 0.8685 - val_loss: 0.3800 - val_accuracy: 0.8656
accuracy: 0.8771 - val_loss: 0.3540 - val_accuracy: 0.8729
accuracy: 0.8862 - val_loss: 0.3316 - val_accuracy: 0.8809
Epoch 6/20
accuracy: 0.8894 - val_loss: 0.3364 - val_accuracy: 0.8793
Epoch 7/20
accuracy: 0.8940 - val_loss: 0.3270 - val_accuracy: 0.8832
Epoch 8/20
accuracy: 0.8979 - val_loss: 0.3182 - val_accuracy: 0.8861
Epoch 9/20
```

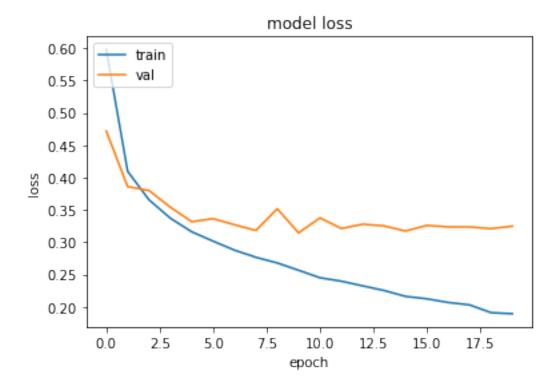
```
accuracy: 0.9017 - val_loss: 0.3517 - val_accuracy: 0.8736
Epoch 10/20
accuracy: 0.9052 - val_loss: 0.3144 - val_accuracy: 0.8880
Epoch 11/20
accuracy: 0.9101 - val_loss: 0.3377 - val_accuracy: 0.8789
Epoch 12/20
375/375 [============] - 1s 2ms/step - loss: 0.2397 -
accuracy: 0.9105 - val_loss: 0.3212 - val_accuracy: 0.8846
Epoch 13/20
accuracy: 0.9139 - val_loss: 0.3278 - val_accuracy: 0.8855
Epoch 14/20
accuracy: 0.9150 - val_loss: 0.3252 - val_accuracy: 0.8854
Epoch 15/20
accuracy: 0.9197 - val_loss: 0.3172 - val_accuracy: 0.8881
Epoch 16/20
accuracy: 0.9212 - val_loss: 0.3261 - val_accuracy: 0.8897
Epoch 17/20
375/375 [============] - 1s 2ms/step - loss: 0.2068 -
accuracy: 0.9234 - val_loss: 0.3236 - val_accuracy: 0.8903
Epoch 18/20
accuracy: 0.9248 - val_loss: 0.3236 - val_accuracy: 0.8914
accuracy: 0.9290 - val_loss: 0.3209 - val_accuracy: 0.8897
Epoch 20/20
accuracy: 0.9279 - val_loss: 0.3247 - val_accuracy: 0.8917
```

# 6.0.2 3.2.7 Plot two separate plots displaying train vs validation loss and train vs validation metric scores over each epoch

```
[24]: # plot
    plt.plot(history.history['accuracy'])
    plt.plot(history.history['val_accuracy'])
    plt.title('model accuracy for train and validation data')
    plt.ylabel('accuracy')
    plt.xlabel('epoch')
    plt.legend(['train', 'val'], loc='upper left')
    plt.show()
```



```
[25]: plt.plot(history.history['loss'])
   plt.plot(history.history['val_loss'])
   plt.title('model loss')
   plt.ylabel('loss')
   plt.xlabel('epoch')
   plt.legend(['train', 'val'], loc='upper left')
   plt.show()
```



#### 6.0.3 3.3.1 Report metric score on test set

```
[26]: # evaluate
score = model.evaluate(xtest_flatten,ytest,verbose =0)
print(f"Test Loss: {score[0]:.3}")
print(f"Test Accuracy:{score[1]:.3}")
```

Test Loss: 0.342 Test Accuracy:0.886

# 6.0.4 3.3.2 Plot confusion matrix on the test set and label the axes appropriately with true and predicted labels.

Labels on the axes should be the original classes (0-9) and not one-hot-encoded. To achieve this, you might have to reverse transform your model's predictions. Please look into the documentation of your target encoder. Sample output is provided

```
[27]: # confusion matrix

ypred=model.predict(xtest_flatten)

ypred=np.argmax(ypred,axis=1)

plt.figure(figsize = (15,10))

sns.heatmap(confusion_matrix(ypred,np.argmax(ytest,axis=1)),annot=True,fmt='d')

plt.title("confusion matrix for the predictions and actiual labels for the test

dataset")
```

WARNING:tensorflow:AutoGraph could not transform <function Model.make\_predict\_function.<locals>.predict\_function at 0x7fd8ca518dd0> and will run it as-is.

Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH\_VERBOSITY=10`) and attach the full output.

Cause: 'arguments' object has no attribute 'posonlyargs'

To silence this warning, decorate the function with

@tf.autograph.experimental.do\_not\_convert

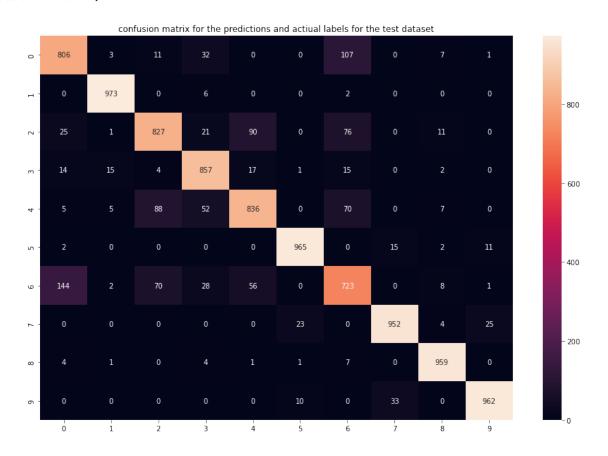
WARNING: AutoGraph could not transform <function

Model.make\_predict\_function.<locals>.predict\_function at 0x7fd8ca518dd0> and will run it as-is.

Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH\_VERBOSITY=10`) and attach the full output.

Cause: 'arguments' object has no attribute 'posonlyargs'
To silence this warning, decorate the function with
@tf.autograph.experimental.do\_not\_convert

[27]: Text(0.5, 1.0, 'confusion matrix for the predictions and actival labels for the test dataset')



6.0.5 3.3.3 Plot the first 25 samples of test dataset on a  $5$\times$5subplotandthistimelabel the images with both the ground truth (GT) and predicted class (P).$ 

For instance, an image of class 3, with predicted class 7 should have the label GT:3, P:7. Get rid of the plot axes for a nicer presentation.

#### Plotting test images with labels



### 7 Part 4: Convolutional Neural Network

In this part of the homework, we will build and train a classical convolutional neural network, LeNet-5, on the Fashion-MNIST dataset.

```
[29]: from tensorflow.keras.datasets import fashion_mnist
# load data again
```

```
(xdev, ydev), (xtest, ytest) = fashion_mnist.load_data()
```

#### **7.0.1 4.1 Preprocess**

- 1. Standardize the datasets
- 2. Encode the target variable.
- 3. Split development set to train and validation sets (8:2).

#### 7.0.2 4.2.1 LeNet-5

We will be implementing the one of the first CNN models put forward by Yann LeCunn, which is commonly referred to as LeNet-5. The network has the following layers:

- 1. 2D convolutional layer with 6 filters, 5x5 kernel, stride of 1 padded to yield the same size as input, ReLU activation
- 2. Maxpooling layer of 2x2
- 3. 2D convolutional layer with 16 filters, 5x5 kernel, 0 padding, ReLU activation
- 4. Maxpooling layer of 2x2
- 5. 2D convolutional layer with 120 filters, 5x5 kernel, ReLU activation. Note that this layer has 120 output channels (filters), and each channel has only 1 number. The output of this layer is just a vector with 120 units!
- 6. A fully connected layer with 84 units, ReLU activation
- 7. The output layer where each unit respresents the probability of image being in that category. What activation function should you use in this layer? (You should know this)

Look at question 7

```
[31]: # TODO: build the model
cnn=Sequential()
cnn.

→add(Conv2D(6,kernel_size=(5,5),activation='relu',padding='same',input_shape=(28,28,1)))
cnn.add(MaxPooling2D(pool_size=(2,2)))
```

```
cnn.add(Conv2D(16,kernel_size=(5,5),activation='relu'))
cnn.add(MaxPooling2D(pool_size=(2,2)))

cnn.add(Conv2D(120,kernel_size=(5,5),activation='relu'))
cnn.add(Flatten())

cnn.add(Dense(84,activation='relu'))
cnn.add(Dense(10,activation='softmax'))
```

#### 7.0.3 4.2.2 Report layer output

Report the output dimensions of each layers of LeNet-5. **Hint:** You can report them using the model summary function that most frameworks have, or you can calculate and report the output dimensions by hand (It's actually not that hard and it's a good practice too!)

```
[32]: # TODO: report model output dimensions cnn.summary()
```

Model: "sequential\_1"

Layer (type)	Output Shape	Param #
conv2d (Conv2D)	(None, 28, 28, 6)	156
<pre>max_pooling2d (MaxPooling2D )</pre>	(None, 14, 14, 6)	0
conv2d_1 (Conv2D)	(None, 10, 10, 16)	2416
<pre>max_pooling2d_1 (MaxPooling 2D)</pre>	(None, 5, 5, 16)	0
conv2d_2 (Conv2D)	(None, 1, 1, 120)	48120
flatten (Flatten)	(None, 120)	0
dense_3 (Dense)	(None, 84)	10164
dense_4 (Dense)	(None, 10)	850

Total params: 61,706 Trainable params: 61,706 Non-trainable params: 0

\_\_\_\_\_\_

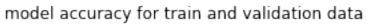
#### 7.0.4 4.2.3 Model training

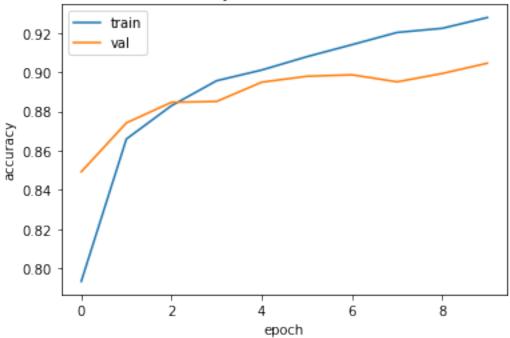
Train the model for 10 epochs. In each epoch, record the loss and metric (chosen in part 3) scores for both train and validation sets. Use two separate plots to display train vs validation metric scores and train vs validation loss. Finally, report the model performance on the test set. Feel free to tune the hyperparameters such as batch size and optimizers to achieve better performance.

```
[33]: cnn.compile(optimizer="adam", loss='categorical_crossentropy',
      →metrics=['accuracy'])
[34]: # TODO: Train the model
     print("Fit model on training data")
     history_cnn = cnn.fit(
         xtrain,
         ytrain,
         batch_size=32,
         epochs=10, verbose = 1,
         validation_data=(xval, yval)
     Fit model on training data
     Epoch 1/10
     WARNING:tensorflow:AutoGraph could not transform <function
     Model.make_train_function.<locals>.train_function at 0x7fd8b8365710> and will
     run it as-is.
     Please report this to the TensorFlow team. When filing the bug, set the
     verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
     output.
     Cause: 'arguments' object has no attribute 'posonlyargs'
     To silence this warning, decorate the function with
     @tf.autograph.experimental.do_not_convert
     WARNING: AutoGraph could not transform <function
     Model.make_train_function.<locals>.train_function at 0x7fd8b8365710> and will
     run it as-is.
     Please report this to the TensorFlow team. When filing the bug, set the
     verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
     output.
     Cause: 'arguments' object has no attribute 'posonlyargs'
     To silence this warning, decorate the function with
     @tf.autograph.experimental.do_not_convert
     0.7930WARNING:tensorflow:AutoGraph could not transform <function
     Model.make_test_function.<locals>.test_function at 0x7fd8b820f440> and will run
     Please report this to the TensorFlow team. When filing the bug, set the
     verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
     Cause: 'arguments' object has no attribute 'posonlyargs'
     To silence this warning, decorate the function with
```

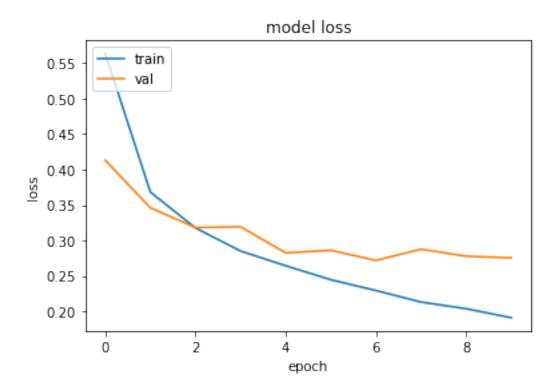
```
@tf.autograph.experimental.do_not_convert
    WARNING: AutoGraph could not transform <function
    Model.make_test_function.<locals>.test_function at 0x7fd8b820f440> and will run
    it as-is.
    Please report this to the TensorFlow team. When filing the bug, set the
    verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
    Cause: 'arguments' object has no attribute 'posonlyargs'
    To silence this warning, decorate the function with
    @tf.autograph.experimental.do_not_convert
    accuracy: 0.7934 - val_loss: 0.4129 - val_accuracy: 0.8493
    Epoch 2/10
    accuracy: 0.8660 - val_loss: 0.3460 - val_accuracy: 0.8742
    Epoch 3/10
    accuracy: 0.8829 - val_loss: 0.3181 - val_accuracy: 0.8847
    Epoch 4/10
    1500/1500 [============= ] - 8s 6ms/step - loss: 0.2850 -
    accuracy: 0.8956 - val_loss: 0.3192 - val_accuracy: 0.8851
    Epoch 5/10
    accuracy: 0.9011 - val_loss: 0.2823 - val_accuracy: 0.8949
    Epoch 6/10
    1500/1500 [============= ] - 8s 5ms/step - loss: 0.2446 -
    accuracy: 0.9079 - val_loss: 0.2861 - val_accuracy: 0.8979
    Epoch 7/10
    1500/1500 [============= ] - 8s 5ms/step - loss: 0.2293 -
    accuracy: 0.9140 - val_loss: 0.2717 - val_accuracy: 0.8987
    Epoch 8/10
    accuracy: 0.9202 - val_loss: 0.2877 - val_accuracy: 0.8951
    Epoch 9/10
    accuracy: 0.9224 - val_loss: 0.2777 - val_accuracy: 0.8993
    Epoch 10/10
    1500/1500 [============= ] - 8s 6ms/step - loss: 0.1910 -
    accuracy: 0.9279 - val_loss: 0.2754 - val_accuracy: 0.9046
[35]: # TODO: Plot accuracy and loss over epochs
    plt.plot(history_cnn.history['accuracy'])
    plt.plot(history_cnn.history['val_accuracy'])
    plt.title('model accuracy for train and validation data')
    plt.ylabel('accuracy')
    plt.xlabel('epoch')
    plt.legend(['train', 'val'], loc='upper left')
```







```
[36]: plt.plot(history_cnn.history['loss'])
   plt.plot(history_cnn.history['val_loss'])
   plt.title('model loss')
   plt.ylabel('loss')
   plt.xlabel('epoch')
   plt.legend(['train', 'val'], loc='upper left')
   plt.show()
```



```
[37]: # TODO: Report model performance on test set
score = cnn.evaluate(xtest,ytest,verbose =0)
print(f"Test Loss: {score[0]:.3}")
print(f"Test Accuracy:{score[1]:.3}")
```

Test Loss: 0.284 Test Accuracy:0.9

What do you see from the plots? Are there signs of overfitting? If so, what are the signs and what techniques can we use to combat overfitting?

We observe that the training and validation losses are starting to diverge at the later epochs i.e. the loss of train is tending to be much less than validation. This gap indicates us that the model is starting to overfit the data. We can use regularization techniques such as dropout and batch normalization to combat it.

#### 7.0.5 4.2.4 Report metric score on test set

```
[38]: # evaluate on test set

ypred=cnn.predict(xtest)

ypred=np.argmax(ypred,axis=1)
```

WARNING:tensorflow:AutoGraph could not transform <function Model.make\_predict\_function.<locals>.predict\_function at 0x7fd8b83368c0> and will run it as-is.

Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH\_VERBOSITY=10`) and attach the full output.

Cause: 'arguments' object has no attribute 'posonlyargs'

To silence this warning, decorate the function with

@tf.autograph.experimental.do\_not\_convert

WARNING: AutoGraph could not transform <function

Model.make\_predict\_function.<locals>.predict\_function at 0x7fd8b83368c0> and will run it as-is.

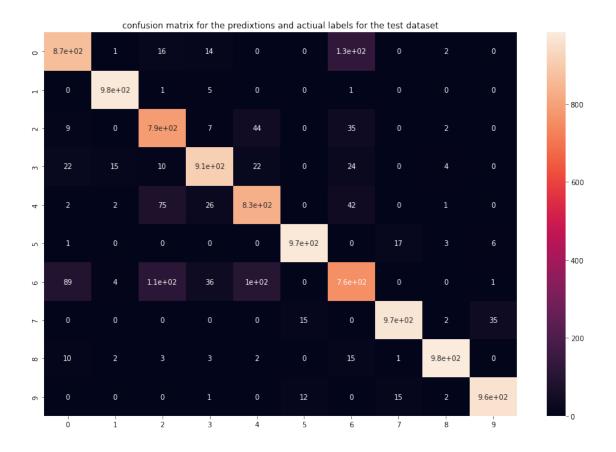
Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH\_VERBOSITY=10`) and attach the full output.

Cause: 'arguments' object has no attribute 'posonlyargs'
To silence this warning, decorate the function with
@tf.autograph.experimental.do\_not\_convert

[39]: plt.figure(figsize = (15,10))
sns.heatmap(confusion\_matrix(ypred,np.argmax(ytest,axis=1)),annot=True)
plt.title("confusion matrix for the predixtions and actiual labels for the test

→dataset")

[39]: Text(0.5, 1.0, 'confusion matrix for the predixtions and actival labels for the test dataset')



#### **7.0.6 4.3 Overfitting**

#### 7.0.7 4.3.1 Drop-out

To overcome overfitting, we will train the network again with dropout this time. For hidden layers use dropout probability of 0.5. Train the model again for 15 epochs, use two plots to display train vs validation metric scores and train vs validation loss over each epoch. Report model performance on test set. What's your observation?

```
[40]: # TODO: build the model with drop-out layers
cnn_drop=Sequential()
cnn_drop.
    -add(Conv2D(6,kernel_size=(5,5),activation='relu',padding='same',input_shape=(28,28,1)))
cnn_drop.add(MaxPooling2D(pool_size=(2,2)))
cnn_drop.add(Dropout(0.5))

cnn_drop.add(Conv2D(16,kernel_size=(5,5),activation='relu'))
cnn_drop.add(MaxPooling2D(pool_size=(2,2)))
cnn_drop.add(Dropout(0.5))

cnn_drop.add(Conv2D(120,kernel_size=(5,5),activation='relu'))
cnn_drop.add(Dropout(0.5))

cnn_drop.add(Flatten())
cnn_drop.add(Dense(84,activation='relu'))
cnn_drop.add(Dense(84,activation='relu'))
cnn_drop.add(Dense(10,activation='softmax'))
```

# [41]: cnn\_drop.summary()

Model: "sequential\_2"

Layer (type)	Output Shape	Param #
conv2d_3 (Conv2D)	(None, 28, 28, 6)	156
<pre>max_pooling2d_2 (MaxPooling 2D)</pre>	(None, 14, 14, 6)	0
dropout (Dropout)	(None, 14, 14, 6)	0
conv2d_4 (Conv2D)	(None, 10, 10, 16)	2416
<pre>max_pooling2d_3 (MaxPooling 2D)</pre>	(None, 5, 5, 16)	0
dropout_1 (Dropout)	(None, 5, 5, 16)	0

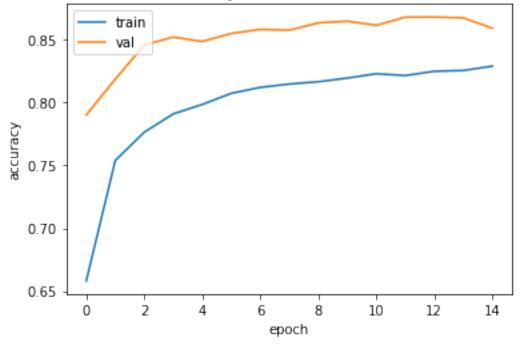
```
conv2d_5 (Conv2D)
                                 (None, 1, 1, 120)
                                                          48120
      dropout_2 (Dropout)
                                 (None, 1, 1, 120)
      flatten_1 (Flatten)
                                 (None, 120)
      dense_5 (Dense)
                                 (None, 84)
                                                          10164
      dense_6 (Dense)
                                 (None, 10)
                                                          850
     _____
     Total params: 61,706
     Trainable params: 61,706
     Non-trainable params: 0
[42]: cnn_drop.compile(optimizer="adam", loss='categorical_crossentropy', __
       →metrics=['accuracy'])
[43]: # TODO: train the model
     print("Fit model on training data")
     history_cnn_drop = cnn_drop.fit(
         xtrain,
         ytrain,
         batch_size=32,
         epochs=15, verbose = 1,
         validation_data=(xval, yval)
     Fit model on training data
     Epoch 1/15
     WARNING:tensorflow:AutoGraph could not transform <function
     Model.make_train_function.<locals>.train_function at 0x7fd8c9f62680> and will
     run it as-is.
     Please report this to the TensorFlow team. When filing the bug, set the
     verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
     output.
     Cause: 'arguments' object has no attribute 'posonlyargs'
     To silence this warning, decorate the function with
     @tf.autograph.experimental.do_not_convert
     WARNING: AutoGraph could not transform <function
     Model.make_train_function.<locals>.train_function at 0x7fd8c9f62680> and will
     run it as-is.
     Please report this to the TensorFlow team. When filing the bug, set the
     verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
     output.
```

Cause: 'arguments' object has no attribute 'posonlyargs'

```
To silence this warning, decorate the function with
@tf.autograph.experimental.do_not_convert
0.6581WARNING:tensorflow:AutoGraph could not transform <function
Model.make_test_function.<locals>.test_function at 0x7fd8cb4d9710> and will run
it as-is.
Please report this to the TensorFlow team. When filing the bug, set the
verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
Cause: 'arguments' object has no attribute 'posonlyargs'
To silence this warning, decorate the function with
@tf.autograph.experimental.do_not_convert
WARNING: AutoGraph could not transform <function
Model.make_test_function.<locals>.test_function at 0x7fd8cb4d9710> and will run
Please report this to the TensorFlow team. When filing the bug, set the
verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
Cause: 'arguments' object has no attribute 'posonlyargs'
To silence this warning, decorate the function with
@tf.autograph.experimental.do_not_convert
accuracy: 0.6581 - val_loss: 0.5891 - val_accuracy: 0.7903
Epoch 2/15
accuracy: 0.7540 - val_loss: 0.5172 - val_accuracy: 0.8188
Epoch 3/15
accuracy: 0.7765 - val_loss: 0.4471 - val_accuracy: 0.8460
Epoch 4/15
1500/1500 [============] - 9s 6ms/step - loss: 0.5603 -
accuracy: 0.7911 - val_loss: 0.4162 - val_accuracy: 0.8522
Epoch 5/15
accuracy: 0.7986 - val_loss: 0.4130 - val_accuracy: 0.8487
Epoch 6/15
accuracy: 0.8075 - val_loss: 0.3941 - val_accuracy: 0.8552
Epoch 7/15
accuracy: 0.8122 - val_loss: 0.3884 - val_accuracy: 0.8584
Epoch 8/15
accuracy: 0.8149 - val_loss: 0.3825 - val_accuracy: 0.8577
Epoch 9/15
1500/1500 [============== ] - 9s 6ms/step - loss: 0.4932 -
accuracy: 0.8167 - val_loss: 0.3669 - val_accuracy: 0.8636
Epoch 10/15
```

```
1500/1500 [============] - 9s 6ms/step - loss: 0.4846 -
    accuracy: 0.8196 - val_loss: 0.3636 - val_accuracy: 0.8649
    Epoch 11/15
    1500/1500 [============= ] - 9s 6ms/step - loss: 0.4793 -
    accuracy: 0.8231 - val_loss: 0.3738 - val_accuracy: 0.8617
    Epoch 12/15
    1500/1500 [=====
                           =========] - 9s 6ms/step - loss: 0.4786 -
    accuracy: 0.8216 - val_loss: 0.3557 - val_accuracy: 0.8682
    Epoch 13/15
    1500/1500 [=====
                           =========] - 9s 6ms/step - loss: 0.4699 -
    accuracy: 0.8250 - val_loss: 0.3571 - val_accuracy: 0.8683
    Epoch 14/15
    1500/1500 [============= ] - 9s 6ms/step - loss: 0.4690 -
    accuracy: 0.8256 - val_loss: 0.3519 - val_accuracy: 0.8676
    accuracy: 0.8291 - val_loss: 0.3835 - val_accuracy: 0.8593
[44]: # TODO: plot
     plt.plot(history_cnn_drop.history['accuracy'])
     plt.plot(history_cnn_drop.history['val_accuracy'])
     plt.title('model accuracy for train and validation data')
     plt.ylabel('accuracy')
     plt.xlabel('epoch')
     plt.legend(['train', 'val'], loc='upper left')
     plt.show()
```

### model accuracy for train and validation data



```
[45]: plt.plot(history_cnn_drop.history['loss'])
   plt.plot(history_cnn_drop.history['val_loss'])
   plt.title('model loss')
   plt.ylabel('loss')
   plt.xlabel('epoch')
   plt.legend(['train', 'val'], loc='upper left')
   plt.show()
```

## model loss 0.9 train val 0.8 0.7 0.6 0.5 0.4 6 10 0 2 4 8 12 14 epoch

```
[46]: # TODO: Report model performance on test set
score = cnn_drop.evaluate(xtest,ytest,verbose =0)
print(f"Test Loss: {score[0]:.3}")
print(f"Test Accuracy:{score[1]:.3}")
```

Test Loss: 0.394 Test Accuracy:0.855

### What's your observation?

**Answer:** Using this regularization technique we see that the model is no longer overfitting. This can be seen in the loss plot between validation and train data, were the loss of validation is consistently lower than the train. This indicates a good fit to the model.

#### 7.0.8 4.3.2 Batch Normalization

This time, let's apply a batch normalization after every hidden layer, train the model for 15 epochs, plot the metric scores and loss values, and report model performance on test set as above. Compare this technique with the original model and with dropout, which technique do you think helps with overfitting better?

```
[47]: # TODO: build the model with batch normalization layers
      cnn_batch=Sequential()
      cnn_batch.add(Conv2D(6,kernel_size=(5,5),padding='same',input_shape=(28,28,1)))
      cnn_batch.add(BatchNormalization())
      cnn_batch.add(Activation('relu'))
      cnn_batch.add(MaxPooling2D(pool_size=(2,2)))
      cnn_batch.add(Conv2D(16,kernel_size=(5,5)))
      cnn_batch.add(BatchNormalization())
      cnn_batch.add(Activation('relu'))
      cnn_batch.add(MaxPooling2D(pool_size=(2,2)))
      cnn_batch.add(Conv2D(120,kernel_size=(5,5)))
      cnn_batch.add(BatchNormalization())
      cnn_batch.add(Activation('relu'))
      cnn_batch.add(Flatten())
      cnn_batch.add(Dense(84,activation='relu'))
      cnn_batch.add(Dense(10,activation='softmax'))
```

## [48]: cnn\_batch.summary()

Model: "sequential\_3"

Layer (type)	Output Shape	Param #
conv2d_6 (Conv2D)	(None, 28, 28, 6)	156
<pre>batch_normalization (BatchN ormalization)</pre>	(None, 28, 28, 6)	24
activation (Activation)	(None, 28, 28, 6)	0
<pre>max_pooling2d_4 (MaxPooling 2D)</pre>	(None, 14, 14, 6)	0
conv2d_7 (Conv2D)	(None, 10, 10, 16)	2416
<pre>batch_normalization_1 (Batc hNormalization)</pre>	(None, 10, 10, 16)	64
activation_1 (Activation)	(None, 10, 10, 16)	0

```
max_pooling2d_5 (MaxPooling (None, 5, 5, 16)
      2D)
      conv2d_8 (Conv2D)
                                 (None, 1, 1, 120)
                                                          48120
      batch_normalization_2 (Batc (None, 1, 1, 120)
                                                          480
      hNormalization)
      activation_2 (Activation)
                                (None, 1, 1, 120)
      flatten_2 (Flatten)
                                 (None, 120)
      dense_7 (Dense)
                                 (None, 84)
                                                          10164
      dense_8 (Dense)
                                 (None, 10)
                                                          850
     ______
     Total params: 62,274
     Trainable params: 61,990
     Non-trainable params: 284
[49]: cnn_batch.compile(optimizer="adam", loss='categorical_crossentropy',__
      →metrics=['accuracy'])
[50]: # TODO: train the model
     print("Fit model on training data")
     history_cnn_batch = cnn_batch.fit(
         xtrain,
         ytrain,
         batch_size=32,
         epochs=15, verbose = 1,
         validation_data=(xval, yval)
     )
     Fit model on training data
     Epoch 1/15
     WARNING:tensorflow:AutoGraph could not transform <function
     Model.make_train_function.<locals>.train_function at 0x7fd8e8d48b00> and will
     run it as-is.
     Please report this to the TensorFlow team. When filing the bug, set the
     verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
     output.
     Cause: 'arguments' object has no attribute 'posonlyargs'
     To silence this warning, decorate the function with
     @tf.autograph.experimental.do_not_convert
     WARNING: AutoGraph could not transform <function
```

Model.make\_train\_function.<locals>.train\_function at 0x7fd8e8d48b00> and will run it as-is.

Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH\_VERBOSITY=10`) and attach the full output.

Cause: 'arguments' object has no attribute 'posonlyargs'

To silence this warning, decorate the function with

@tf.autograph.experimental.do\_not\_convert

0.8426WARNING:tensorflow:AutoGraph could not transform <function

Model.make\_test\_function.<locals>.test\_function at 0x7fd8e8d5ea70> and will run it as-is.

Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH\_VERBOSITY=10`) and attach the full output.

Cause: 'arguments' object has no attribute 'posonlyargs'

To silence this warning, decorate the function with

@tf.autograph.experimental.do\_not\_convert

WARNING: AutoGraph could not transform <function

Model.make\_test\_function.<locals>.test\_function at 0x7fd8e8d5ea70> and will run it as-is.

Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH\_VERBOSITY=10`) and attach the full output.

Cause: 'arguments' object has no attribute 'posonlyargs'

To silence this warning, decorate the function with

@tf.autograph.experimental.do\_not\_convert

```
1500/1500 [============= ] - 13s 8ms/step - loss: 0.4337 -
```

accuracy: 0.8426 - val\_loss: 0.3434 - val\_accuracy: 0.8710

Epoch 2/15

1500/1500 [============== ] - 12s 8ms/step - loss: 0.3083 -

accuracy: 0.8869 - val\_loss: 0.3026 - val\_accuracy: 0.8852

Epoch 3/15

1500/1500 [============= ] - 12s 8ms/step - loss: 0.2728 -

accuracy: 0.8978 - val\_loss: 0.3009 - val\_accuracy: 0.8919

Epoch 4/15

1500/1500 [============= ] - 11s 8ms/step - loss: 0.2476 -

accuracy: 0.9078 - val\_loss: 0.3020 - val\_accuracy: 0.8878

Epoch 5/15

1500/1500 [============== ] - 12s 8ms/step - loss: 0.2286 -

accuracy: 0.9140 - val\_loss: 0.2703 - val\_accuracy: 0.9035

Epoch 6/15

1500/1500 [============== ] - 12s 8ms/step - loss: 0.2147 -

accuracy: 0.9188 - val\_loss: 0.2561 - val\_accuracy: 0.9067

Epoch 7/15

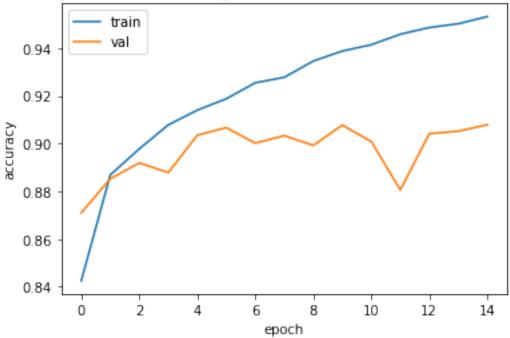
1500/1500 [=============== ] - 12s 8ms/step - loss: 0.1978 -

accuracy: 0.9255 - val\_loss: 0.2775 - val\_accuracy: 0.9002

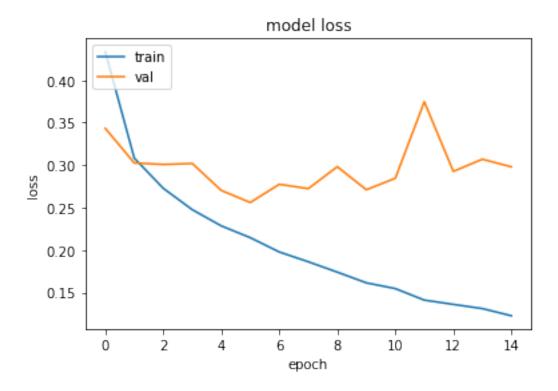
Epoch 8/15

```
1500/1500 [============== ] - 12s 8ms/step - loss: 0.1863 -
     accuracy: 0.9277 - val_loss: 0.2724 - val_accuracy: 0.9033
     Epoch 9/15
     1500/1500 [============== ] - 12s 8ms/step - loss: 0.1741 -
     accuracy: 0.9346 - val_loss: 0.2982 - val_accuracy: 0.8992
     Epoch 10/15
     1500/1500 [============== ] - 12s 8ms/step - loss: 0.1615 -
     accuracy: 0.9388 - val_loss: 0.2711 - val_accuracy: 0.9078
     Epoch 11/15
     1500/1500 [============== ] - 12s 8ms/step - loss: 0.1547 -
     accuracy: 0.9414 - val_loss: 0.2846 - val_accuracy: 0.9008
     Epoch 12/15
     1500/1500 [============== ] - 12s 8ms/step - loss: 0.1411 -
     accuracy: 0.9458 - val_loss: 0.3747 - val_accuracy: 0.8806
     1500/1500 [============== - - 12s 8ms/step - loss: 0.1362 -
     accuracy: 0.9486 - val_loss: 0.2927 - val_accuracy: 0.9042
     Epoch 14/15
     1500/1500 [=============== ] - 12s 8ms/step - loss: 0.1312 -
     accuracy: 0.9502 - val_loss: 0.3070 - val_accuracy: 0.9052
     Epoch 15/15
     1500/1500 [============== ] - 12s 8ms/step - loss: 0.1228 -
     accuracy: 0.9532 - val_loss: 0.2981 - val_accuracy: 0.9079
[51]: # TODO: plot
     plt.plot(history_cnn_batch.history['accuracy'])
     plt.plot(history_cnn_batch.history['val_accuracy'])
     plt.title('model accuracy for train and validation data')
     plt.ylabel('accuracy')
     plt.xlabel('epoch')
     plt.legend(['train', 'val'], loc='upper left')
     plt.show()
```





```
[52]: plt.plot(history_cnn_batch.history['loss'])
   plt.plot(history_cnn_batch.history['val_loss'])
   plt.title('model loss')
   plt.ylabel('loss')
   plt.xlabel('epoch')
   plt.legend(['train', 'val'], loc='upper left')
   plt.show()
```



```
[53]: # TODO: Report model performance on test set
score = cnn_batch.evaluate(xtest,ytest,verbose =0)
print(f"Test Loss: {score[0]:.3}")
print(f"Test Accuracy:{score[1]:.3}")
```

Test Loss: 0.323 Test Accuracy:0.901

### Observation, comparison with Dropout:

**Answer**: We see that the in comparison to the vanilla CNN and the dropout version of CNN, batch normalization helps in faster convergence of the model. Since we are running for same epochs, then it starts to overfit the data. Also the accouracy of the batch normalization seems to be better compared to the other models.