

## Homework 7

### Problem 1

In this problem, you'll prove the two mathematical facts we needed to know in order for Simon's algorithm to work.

- (a) Let  $A$  be a finite abelian group. Prove that if  $g_1, \dots, g_l$  are  $l$  independently and uniformly randomly chosen elements of  $A$ , then the probability that  $\langle g_1, g_2, \dots, g_l \rangle = A$  is at least  $1 - \frac{|A|}{2^l}$ . [Hint: as an intermediate step, you might use Lagrange's theorem to argue that the probability that  $g_{i+1} \notin \langle g_1, \dots, g_i \rangle$  is at least  $1/2$  whenever  $\langle g_1, \dots, g_i \rangle \neq A$ .]
- (b) Let  $A = (\mathbb{Z}/2\mathbb{Z})^n$  be an  $n$  dimensional vector space over  $\mathbb{Z}/2\mathbb{Z}$ . Let  $s \in A$  be a non-zero element and suppose  $g_1, \dots, g_l \in A$  generate what I called  $\langle s \rangle^\perp$ , which is defined as

$$\langle s \rangle^\perp = \{a \in A \mid a \cdot s = 0 \text{ mod } 2\},$$

where  $a \cdot s$  is the modulo 2 dot product of  $a = (a_1, \dots, a_n)$  and  $s = (s_1, \dots, s_n)$ . Prove that  $s$  is the unique non-zero solution to the system of equations

$$\begin{aligned} g_1 \cdot x &= 0 \quad \text{mod } 2 \\ g_2 \cdot x &= 0 \quad \text{mod } 2 \\ &\vdots \\ g_l \cdot x &= 0 \quad \text{mod } 2. \end{aligned}$$

*Proof.* (a) Let  $A$  be a finite abelian group and take  $g_1, \dots, g_l$  to be  $l$  independently and uniformly chosen elements of  $A$ . We will prove this by mathematical induction.

- **For  $l = 1$ :** We have only one element from  $A$ , namely  $g_1$ . Then  $\mathbb{P}(\langle g_1 \rangle = A) = 1$  since we can construct the group from non-zero elements of the group.
- **For  $l = k$ :** We now make the inductive step and assume that, for  $l = k \geq 1$ ,

$$\mathbb{P}(\langle g_1, g_2, \dots, g_k \rangle = A) \geq 1 - \frac{|A|}{2^k}.$$

- **For  $l = k + 1$ :** We now need to show that

$$\mathbb{P}(\langle g_1, g_2, \dots, g_k, g_{k+1} \rangle = A) \geq 1 - \frac{|A|}{2^{k+1}}.$$

From the inductive step, we know that  $\mathbb{P}(\langle g_1, g_2, \dots, g_k \rangle = A) \geq 1 - \frac{|A|}{2^k}$ . If  $\langle g_1, g_2, \dots, g_k \rangle \neq A$ , then  $g_{k+1} \notin \langle g_1, g_2, \dots, g_k \rangle$ . Then  $\mathbb{P}(g_{k+1} \notin \langle g_1, g_2, \dots, g_k \rangle) = 1 - \frac{|\langle g_1, g_2, \dots, g_k \rangle|}{|A|}$  (by Lagrange's Theorem). Thus

$$\begin{aligned} \mathbb{P}(\langle g_1, g_2, \dots, g_k \rangle = A) &\geq \mathbb{P}(\langle g_1, g_2, \dots, g_k \rangle = A) \times \mathbb{P}(g_{k+1} \notin \langle g_1, g_2, \dots, g_k \rangle) \\ &\geq \left(1 - \frac{|A|}{2^k}\right) \left(1 - \frac{|\langle g_1, g_2, \dots, g_k \rangle|}{|A|}\right) \\ &\geq \left(1 - \frac{|A|}{2^k}\right) \left(\frac{1}{2}\right) \\ &\geq 1 - \frac{|A|}{2^{k+1}}. \end{aligned}$$

Thus, with proof by induction, we have

$$\mathbb{P}(\langle g_1, g_2, \dots, g_k \rangle = A) \geq 1 - \frac{|A|}{2^k}.$$

- (b) For  $1 \leq i \leq l$ ,  $s$  satisfies the system of equations given by  $\langle s \rangle^\perp = \{g_i \in A \mid g_i \cdot s \equiv 0 \pmod{2}\}$ , where  $g_i \in A$ . Let  $x$  be a non-zero solution, then  $x \cdot s \equiv 0 \pmod{2}$  and  $x \in \langle s \rangle^\perp$  is orthogonal to  $s$ . Any element in  $x$  can be expressed as a linear combination of the elements  $g_1, g_2, \dots, g_l$  as

$$x = \sum_{i=1}^l c_i g_i,$$

where  $c_i \in \mathbb{Z}/2\mathbb{Z}$ . We then have

$$\begin{aligned} x \cdot s &= \left( \sum_{i=1}^l c_i g_i \right) \cdot s \\ &= \sum_{i=1}^l c_i (g_i \cdot s) \\ &\equiv 0 \pmod{2}. \end{aligned}$$

Thus,  $x$  satisfies the equation if and only if  $s$  does, but since  $s$  must be a solution, then any other solution must be orthogonal to it, which is a contradiction.

Therefore,  $s$  is the unique non-zero solution to system of equations defined. ■

### Problem 2

List all of the numbers  $1 \leq x \leq 100$  such that Shor's factoring algorithm actually needs to use a quantum computer in order to find a factor.

*Proof.* Needing a quantum computer to find a factor of a number  $N$  is essentially when we do not have an efficient classical algorithm to find a factor of  $N$ . The two classically efficient algorithms for finding a factor of a number  $N$  are if  $N$  is even or if the number  $N$  is some power of a unique prime, *i.e.*  $N = p^r$ , like  $2^6$ ,  $7^3$ , etc. Thus, removing all even numbers, primes, and powers of primes (and 1 trivially) from the list, we are left with

$$\{15, 21, 33, 35, 39, 45, 51, 55, 57, 63, 65, 69, 75, 77, 85, 87, 91, 93, 95, 99\}.$$
■

### Problem A4.17

**(Reduction of order-finding to factoring)** We have seen that an efficient order-finding algorithm allows us to factor efficiently. Show that an efficient factoring algorithm would allow us to efficiently find the order modulo  $N$  of any  $x$  co-prime to  $N$ .

*Proof.* Suppose  $x$  and  $N$  are coprime with  $N = p_1^{a_1} p_2^{a_2} \dots p_n^{a_n}$ . By the Chinese Remainder Theorem, we can identify  $\mathbb{Z}/N\mathbb{Z}$  with a sum of cyclic groups of prime power order. Our goal is to find the smallest  $r$  such that

$$x^r \equiv 1 \pmod{N}.$$

Suppose we have an efficient factoring algorithm and let  $p_1, p_2, \dots, p_n$  be the prime factors of  $N$  as above. Then, by Euler's theorem

$$x^{\phi(N)} \equiv 1 \pmod{N},$$

where  $\phi(N)$  is the Euler totient function which returns the number of positive integers up to  $N$  that are relatively prime with  $N$ , and is given by

$$\phi(N) = N \prod_{p|N} \left(1 - \frac{1}{p}\right),$$

where the product is over the distinct prime numbers dividing  $N$ . Notice that if  $N$  is prime, then every number less than  $N$  is clearly relatively prime with  $N$ , and thus  $\phi(N) = N - 1$ . Additionally, the Euler totient function is multiplicative, so if  $\gcd(m, n) = 1$ , then  $\phi(mn) = \phi(m)\phi(n)$ . Then,

$$\begin{aligned} \phi(N) &= \phi(p_1^{a_1} p_2^{a_2} \cdots p_n^{a_n}) \\ &= \phi(p_1^{a_1}) \phi(p_2^{a_2}) \cdots \phi(p_n^{a_n}) \\ &= p_1^{a_1-1} (p_1 - 1) p_2^{a_2-1} (p_2 - 1) \cdots p_n^{a_n-1} (p_n - 1) \\ &= \prod_i p_i^{a_i-1} (p_i - 1) \\ &= \prod_i \phi(p_i^{a_i}). \end{aligned}$$

In particular, if the order of  $x$  is  $r$ , then  $r$  must divide  $\phi(p_i^{a_i})$ . Since we have an efficient factoring algorithm, then all we have to do is find a factorization of  $p_i - 1$ .

Suppose  $p_i - 1$  is a product of prime powers  $q_j^{b_j}$ , then

$$\phi(p_i^{a_i}) = p_i^{a_i-1} (p_i - 1) = p_i^{a_i-1} \prod_j q_j^{b_j}.$$

Iterating through all the divisors of  $\phi(p_i^{a_i})$ , we find the smallest  $r$  such that  $x^r \equiv 1 \pmod{p_i^{a_i}}$ . This last part can be done efficiently since the powers  $a_i$  and  $b_j$  are relatively smaller than both  $x$  and  $N$ . ■

### Problem 5.13

Prove (5.44). (*Hint:*  $\sum_{s=0}^{r-1} \exp(-2\pi i s k / r) = r \delta_{k0}$ .) In fact, prove that

$$\frac{1}{\sqrt{r}} \sum_{s=0}^{r-1} e^{2\pi i s k / r} |u_s\rangle = |x^k \bmod N\rangle.$$

*Proof.* Starting with the left hand side of Equation (5.44), we have

$$\begin{aligned} \frac{1}{\sqrt{r}} \sum_{s=0}^{r-1} |u_s\rangle &= \frac{1}{\sqrt{r}} \sum_{s=0}^{r-1} \left[ \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} e^{\frac{2\pi i s k}{r}} |x^k \bmod N\rangle \right] \\ &= \frac{1}{r} \sum_{s=0}^{r-1} \sum_{k=0}^{r-1} e^{\frac{-2\pi i s k}{r}} |x^k \bmod N\rangle \\ &= \frac{1}{r} \sum_{k=0}^{r-1} \sum_{s=0}^{r-1} e^{\frac{-2\pi i s k}{r}} |x^k \bmod N\rangle \\ &= \frac{1}{r} \sum_{k=0}^{r-1} r \delta_{k0} |x^k \bmod N\rangle \\ &= \sum_{k=0}^{r-1} \delta_{k0} |x^k \bmod N\rangle \\ &= |1\rangle. \end{aligned}$$

Additionally, we have

$$\begin{aligned}
 \frac{1}{\sqrt{r}} \sum_{s=0}^{r-1} e^{\frac{2\pi i s k}{r}} |u_s\rangle &= \frac{1}{\sqrt{r}} \sum_{s=0}^{r-1} e^{\frac{2\pi i s k}{r}} \left[ \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} e^{\frac{2\pi i s k}{r}} |x^k \bmod N\rangle \right] \\
 &= \frac{1}{r} \sum_{s=0}^{r-1} \sum_{k'=0}^{r-1} e^{\frac{2\pi i s (k-k')}{r}} |x^{k'} \bmod N\rangle \\
 &= \frac{1}{r} \sum_{k'=0}^{r-1} \sum_{s=0}^{r-1} e^{\frac{2\pi i s (k-k')}{r}} |x^{k'} \bmod N\rangle \\
 &= \frac{1}{r} \sum_{k'=0}^{r-1} r \delta_{kk'} |x^{k'} \bmod N\rangle \\
 &= \sum_{k'=0}^{r-1} \delta_{kk'} |x^{k'} \bmod N\rangle \\
 &= |x^k \bmod N\rangle.
 \end{aligned}$$

■

**Problem 5.16**

For all  $x \geq 2$  prove that  $\int_x^{x+1} 1/y^2 dy \geq 2/3x^2$ . Show that

$$\sum_q \frac{1}{q^2} \leq \frac{3}{2} \int_2^\infty \frac{1}{y^2} dy = \frac{3}{4}$$

and thus that (5.58) holds.

*Proof.* We have

$$\begin{aligned}
 \int_x^{x+1} \frac{1}{y^2} dy &= -\frac{1}{y} \Big|_x^{x+1} \\
 &= -\frac{1}{x+1} + \frac{1}{x} \\
 &= \frac{1}{x(x+1)}.
 \end{aligned}$$

Consider  $\frac{1}{x(x+1)} - \frac{2}{3x^2} = \frac{x-1}{3x^2(x+1)}$ . For values of  $x \geq 2$ , the right hand side is always positive, which means that

$$\frac{1}{x(x+1)} = \int_x^{x+1} \frac{1}{y^2} dy \geq \frac{2}{3x^2}.$$

Now, we have

$$\begin{aligned}
 \frac{3}{2} \int_2^\infty \frac{1}{y^2} dy &= \frac{3}{2} \sum_{q=2}^\infty \int_q^{q+1} \frac{1}{y^2} dy \\
 &\geq \frac{3}{2} \sum_{q=2}^\infty \frac{2}{3q^2} \\
 &= \sum_{q=2}^\infty \frac{1}{q^2}.
 \end{aligned}$$

On the other hand,

$$\begin{aligned}\frac{3}{2} \int_2^\infty \frac{1}{y^2} dy &= \frac{3}{2} \left. -\frac{1}{y} \right|_2^\infty \\ &= \frac{3}{2} \left( -\frac{1}{\infty} + \frac{1}{2} \right) \\ &= \frac{3}{4}.\end{aligned}$$

Thus,

$$\sum_q \frac{1}{q^2} \leq \frac{3}{2} \int_2^\infty \frac{1}{y^2} dy = \frac{3}{4}.$$

Finally, from Equation (5.58), we have

$$1 - \sum_{q=2}^\infty \frac{1}{q^2} \geq 1 - \frac{3}{4} = \frac{1}{4}.$$

Thus, Equation (5.58) holds. ■

### Problem 5.17

Suppose  $N$  is  $L$  bits long. The aim of this exercise is to find an efficient classical algorithm to determine whether  $N = a^b$  for some integers  $a \geq 1$  and  $b \geq 2$ . This may be done as follows:

- (a) Show that  $b$ , if it exists, satisfies  $b \leq L$ .
- (b) Show that it takes at most  $O(L^2)$  operations to compute  $\log_2(N)$ ,  $x = y/b$  for  $b \leq L$ , and the two integers  $u_1$  and  $u_2$  nearest to  $2^x$ .
- (c) Show that it takes at most  $O(L^2)$  operations to compute  $u_1^b$  and  $u_2^b$  (use repeated squaring) and check to see if either is equal to  $N$ .
- (d) Combine the previous results to give an  $O(L^3)$  operation algorithm to determine whether  $N = a^b$  for integers  $a$  and  $b$ .

*Proof.* (a) We have  $N = a^b$ . Taking the logarithm on both sides, we get  $L = b \log(a)$ .

- If  $a = 1$ , then  $L = 1$  and  $b = 0$ .
- If  $a \geq 2$ , then  $\log(a) \geq 1$  and  $b$  is a positive integer with  $b \leq L$ .

- (b) To calculate two estimates of  $x = \log N/b$ , we need  $O(1)$  operations to find  $y$ ,  $O(L^2)$  operations to compute  $x = y/b$  for a specific  $b$ , and  $O(1)$  operations to calculate  $2^x$  and find the nearest integers  $u_1$  and  $u_2$ .
- (c) When taking the square of a number, we roughly multiply the number of digits by two. Considering the  $\log_2(b)$  loops,  $a \times a$  takes
  - $O(L^2)$  in the first iteration.
  - $O((2L)^2)$  in the second iteration.
  - $O((4L)^2)$  in the third iteration.
  - $O((2^{k-1}L)^2)$  in the  $k$ th iteration.

Assuming the number of iterations  $k$  is relatively small compared to the number of digits  $L$  of  $N$ , then we need  $O(L^3)$  operations to compute  $u_1^b$  and  $u_2^b$  using repeated squaring and to check to see if either is equal to  $N$ .

- (d) We need to do parts (b) and (c)  $L$  times, requiring a total of  $O(L^3)$  operations. ■