

PHYS 660 - Quantum Mechanics I  
 Modern Quantum Mechanics by *J. J. Sakurai*  
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## Homework 1

### Problem 1

Prove that

$$[AB, CD] = -AC\{D, B\} + A\{C, B\}D - C\{D, A\}B + \{C, A\}DB$$

*Proof.* Left hand-side:

$$[AB, CD] = ABCD - CDAB \quad (1)$$

Right hand-side:

$$\begin{aligned} & -AC\{D, B\} + A\{C, B\}D - C\{D, A\}B + \{C, A\}DB \\ &= -AC(DB + BD) + A(CB + BC)D - C(DA + AD)B + (CA + AC)DB \\ &= -ACDB - ACBD + ACBD + ABCD - CDAB - CADB + CADB + ACDB \\ &= ABCD - CDAB \end{aligned}$$

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### Problem 2

Consider a Hermitian operator  $A$ , (i.e.  $A = A^\dagger$ ). Let  $\{|a_i\rangle, i = 1, \dots, N\}$  be a basis of eigenstates  $|a_i\rangle$  of  $A$ , with eigenvalues  $a_i$ . Assume for simplicity that there is no degeneracy, namely all the  $a_i$  are different.

(a) Prove that

$$\prod_{i=1}^N (A - a_i) = 0$$

(b) For a given value of  $i$ , consider the operator

$$P_i = \prod_{j=1, j \neq i}^N \left( \frac{A - a_j}{a_i - a_j} \right)$$

What does  $P_i$  do when applied to an arbitrary state?

(c) Illustrate points **(a)** and **(b)** by using the operator  $S_z$  of a spin 1/2 system.

(d) Discuss how to modify the formulas if there is a degeneracy in the spectrum of  $A$ .

*Proof.* (a) Since the product is over a complete set, the operator  $\prod_{i=1}^N (A - a_i) = 0$  will always encounter an element  $|a_j\rangle$  such that  $a_i = a_j$  in which case the result is zero. Thus, for any state  $|\psi\rangle$ , we have

$$\begin{aligned} \prod_{i=1}^N (A - a_i) |\psi\rangle &= \prod_{i=1}^N (A - a_i) \sum_{j=1}^N |a_j\rangle \langle a_j | \psi \rangle \\ &= \sum_{j=1}^N \prod_{i=1}^N (a_j - a_i) |a_j\rangle \langle a_j | \psi \rangle \\ &= \sum_{j=1}^N 0 \\ &= 0 \end{aligned}$$

(b) If the product instead is over all  $a_i \neq a_j$ , then the only surviving term in the sum is

$$\prod_{i=1}^N (a_j - a_i) |a_j\rangle \langle a_j| \psi\rangle$$

and dividing by the factors  $(a_j - a_i)$  just gives the projection of  $|\psi\rangle$  on the direction  $|a_i\rangle$ . Therefore, it is like a projection operator which projects the  $|a_i\rangle$  component of  $|\psi\rangle$ .

(c) For the operator  $A = S_z$  and  $|a_i\rangle = \{|+\rangle, |-\rangle\}$ , we have

$$\begin{aligned} \prod_{i=1}^N (A - a_i) &= (S_z - |+\rangle) (S_z - |-\rangle) = \left(S_z - \frac{\hbar}{2}\right) \left(S_z + \frac{\hbar}{2}\right) \\ \prod_{j=1, j \neq i}^N \left(\frac{A - a_j}{a_i - a_j}\right) &= \begin{cases} \left(\frac{S_z - |+\rangle}{|-\rangle - |+\rangle}\right) = \left(\frac{S_z - \hbar/2}{-\hbar}\right), & \text{for } a_j = |+\rangle \\ \left(\frac{S_z - |-\rangle}{|+\rangle - |-\rangle}\right) = \left(\frac{S_z + \hbar/2}{\hbar}\right), & \text{for } a_j = |-\rangle. \end{cases} \end{aligned}$$

For the first equation, it is easy to see we get  $S_z^2 - \frac{\hbar^2}{4} = 0$ . For the second equation, we can work them out explicitly

$$\begin{aligned} \left(\frac{S_z - \hbar/2}{-\hbar}\right) &= -\frac{1}{2} \left[ \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} - \mathbb{I} \right] = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}, \text{ projection on } |-\rangle \\ \left(\frac{S_z + \hbar/2}{\hbar}\right) &= \frac{1}{2} \left[ \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} + \mathbb{I} \right] = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \text{ projection on } |+\rangle \end{aligned}$$

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### Problem 3

Consider the following Hamiltonian of a two-state system

$$H = E(|1\rangle \langle 1| - |2\rangle \langle 2|) + \Delta(|1\rangle \langle 2| + |2\rangle \langle 1|)$$

where  $E, \Delta$  have dimension of energy. Find the energy eigenvalues and the corresponding eigenstates as linear combinations of  $|1\rangle, |2\rangle$ .

*Proof.* We find the following inner products

$$\begin{aligned} \langle 1|H|1\rangle &= \langle 1|E|1\rangle \langle 1|1\rangle = E \\ \langle 1|H|2\rangle &= \langle 1|\Delta|1\rangle \langle 2|2\rangle = \Delta \\ \langle 2|H|1\rangle &= \langle 2|\Delta|2\rangle \langle 1|1\rangle = \Delta \\ \langle 2|H|2\rangle &= -\langle 2|E|2\rangle \langle 2|2\rangle = -E \end{aligned}$$

$H$  can be represented in matrix form as

$$H = \begin{pmatrix} E & \Delta \\ \Delta & -E \end{pmatrix}$$

The eigenvalues are then the solution to the following equation

$$\det(H - \lambda \mathbb{I}) = 0$$

$$\begin{vmatrix} E - \lambda & \Delta \\ \Delta & -E - \lambda \end{vmatrix} = (E - \lambda)(-E - \lambda) - \Delta^2 = \lambda^2 - E^2 - \Delta^2 = 0$$

$$\lambda_{\pm} = \pm \sqrt{E^2 + \Delta^2}$$

For the corresponding eigenvectors, we have

- For  $\lambda_+ = \sqrt{E^2 + \Delta^2}$ :

$$\begin{cases} (E - \sqrt{E^2 + \Delta^2})\alpha + \Delta\beta &= 0 \\ \Delta\alpha + (-E - \sqrt{E^2 + \Delta^2})\beta &= 0 \end{cases}$$

$$\Rightarrow \beta = \frac{\sqrt{E^2 + \Delta^2} - E}{\Delta}\alpha = \left( \sqrt{\left(\frac{E}{\Delta}\right)^2 + 1} - \frac{E}{\Delta} \right) \alpha$$

Thus, the normalized eigenvector  $|+\rangle$  corresponding to  $\lambda_+$  is

$$\begin{aligned} |+\rangle &= \frac{1}{\sqrt{\left(\sqrt{\left(\frac{E}{\Delta}\right)^2 + 1}\right)^2 + \left(\frac{E}{\Delta}\right)^2 - 2\frac{E}{\Delta}\sqrt{\left(\frac{E}{\Delta}\right)^2 + 1}}} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} \\ &= \frac{1}{\sqrt{2\left(\frac{E}{\Delta}\right)^2 + 1 - \frac{2E}{\Delta}\sqrt{\left(\frac{E}{\Delta}\right)^2 + 1}}} \begin{pmatrix} 1 \\ \frac{\sqrt{E^2 + \Delta^2} - E}{\Delta} \end{pmatrix} \end{aligned}$$

- For  $\lambda_- = -\sqrt{E^2 + \Delta^2}$ :

$$\begin{cases} (E + \sqrt{E^2 + \Delta^2})\alpha + \Delta\beta &= 0 \\ \Delta\alpha + (-E + \sqrt{E^2 + \Delta^2})\beta &= 0 \end{cases}$$

$$\Rightarrow \beta = \frac{-\sqrt{E^2 + \Delta^2} - E}{\Delta}\alpha = \left( -\sqrt{\left(\frac{E}{\Delta}\right)^2 + 1} - \frac{E}{\Delta} \right) \alpha$$

Thus, the normalized eigenvector  $|-\rangle$  corresponding to  $\lambda_-$  is

$$\begin{aligned} |-\rangle &= \frac{1}{\sqrt{\left(\sqrt{\left(\frac{E}{\Delta}\right)^2 + 1}\right)^2 + \left(\frac{E}{\Delta}\right)^2 + 2\frac{E}{\Delta}\sqrt{\left(\frac{E}{\Delta}\right)^2 + 1}}} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} \\ &= \frac{1}{\sqrt{2\left(\frac{E}{\Delta}\right)^2 + 1 + \frac{2E}{\Delta}\sqrt{\left(\frac{E}{\Delta}\right)^2 + 1}}} \begin{pmatrix} 1 \\ \frac{-\sqrt{E^2 + \Delta^2} - E}{\Delta} \end{pmatrix} \end{aligned}$$

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#### Problem 4

Consider the following Hamiltonian of a three-state system

$$H = \frac{\epsilon}{\sqrt{2}} \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

where  $\epsilon$  has dimension of energy. Find the energy eigenvalues and the corresponding eigenstates.

*Proof.* Finding the eigenvalues of the given matrix

$$\begin{aligned} \det(H - \lambda\mathbb{I}) &= 0 \\ \Rightarrow \begin{pmatrix} -\lambda & 1 & 0 \\ 1 & -\lambda & 1 \\ 0 & 1 & -\lambda \end{pmatrix} &= -\lambda(\lambda^2 - 1) + \lambda = \lambda(2 - \lambda^2) = 0 \\ \Rightarrow \lambda_1 &= -\sqrt{2}, \lambda_2 = 0, \lambda_3 = \sqrt{2}. \end{aligned}$$

Finding the eigenvectors

- For  $\lambda_1 = -\sqrt{2}$ :

$$\begin{pmatrix} \sqrt{2} & 1 & 0 \\ 1 & \sqrt{2} & 1 \\ 0 & 1 & \sqrt{2} \end{pmatrix} |\lambda_1\rangle = 0 \implies |\lambda_1\rangle = \frac{1}{2} \begin{pmatrix} 1 \\ -\sqrt{2} \\ 1 \end{pmatrix}$$

- For  $\lambda_2 = 0$ :

$$\begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} |\lambda_2\rangle = 0 \implies |\lambda_2\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix}$$

- For  $\lambda_3 = \sqrt{2}$ :

$$\begin{pmatrix} -\sqrt{2} & 1 & 0 \\ 1 & -\sqrt{2} & 1 \\ 0 & 1 & -\sqrt{2} \end{pmatrix} |\lambda_3\rangle = 0 \implies |\lambda_3\rangle = \frac{1}{2} \begin{pmatrix} 1 \\ \sqrt{2} \\ 1 \end{pmatrix}.$$

Finally, the corresponding eigenstates of the Hamiltonian of our three-state system are

$$E_1 = -\epsilon, |E_1\rangle = \frac{1}{2} \begin{pmatrix} 1 \\ -\sqrt{2} \\ 1 \end{pmatrix}$$

$$E_2 = 0, |E_2\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix}$$

$$E_3 = \epsilon, |E_3\rangle = \frac{1}{2} \begin{pmatrix} 1 \\ \sqrt{2} \\ 1 \end{pmatrix}.$$

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### Problem 5

Consider the following observables in a three-state system:

$$A = \begin{pmatrix} a & 0 & 0 \\ 0 & -a & 0 \\ 0 & 0 & -a \end{pmatrix}, \quad B = \begin{pmatrix} b & 0 & 0 \\ 0 & 0 & -ib \\ 0 & ib & 0 \end{pmatrix},$$

where  $a, b$  are real numbers.

- The spectrum of  $A$  is degenerate. How about the spectrum of  $B$ ?
- Show that  $A$  and  $B$  commute.
- Find a new orthonormal basis where both  $A$  and  $B$  are diagonal. Do  $A$  and  $B$  form a complete set of observables for this system?

*Proof.* (a) The eigenvalues of  $A$  are obviously  $\pm a$ , with  $-a$  twice. Finding the eigenvalues of  $B$ , we have

$$\det(B - \lambda \mathbb{I}) = 0$$

$$\implies \begin{pmatrix} b - \lambda & 0 & 0 \\ 0 & -\lambda & -ib \\ 0 & ib & -\lambda \end{pmatrix} = (\lambda - b)(\lambda^2 - b^2) = (\lambda - b)^2(\lambda + b) = 0$$

$$\implies \lambda_{1,2} = b, \lambda_3 = -b$$

Since we have degenerate eigenvalues, then the spectrum of  $B$  is also degenerate.

(b)

$$\begin{aligned}
 [A, B] &= AB - BA = \begin{pmatrix} a & 0 & 0 \\ 0 & -a & 0 \\ 0 & 0 & -a \end{pmatrix} \begin{pmatrix} b & 0 & 0 \\ 0 & 0 & -ib \\ 0 & ib & 0 \end{pmatrix} - \begin{pmatrix} b & 0 & 0 \\ 0 & 0 & -ib \\ 0 & ib & 0 \end{pmatrix} \begin{pmatrix} a & 0 & 0 \\ 0 & -a & 0 \\ 0 & 0 & -a \end{pmatrix} \\
 &= \begin{pmatrix} ab & 0 & 0 \\ 0 & 0 & iab \\ 0 & -iab & 0 \end{pmatrix} - \begin{pmatrix} ab & 0 & 0 \\ 0 & 0 & iab \\ 0 & -iab & 0 \end{pmatrix} \\
 &= 0
 \end{aligned}$$

(c) Notice that  $A$  and  $B$  are Hermitian. Since  $A$  and  $B$  are Hermitian and  $[A, B] = 0$ , then there exists a basis where both  $A$  and  $B$  are both diagonal. To find these, write the eigenvector components as  $u_i$ ,  $i = 1, 2, 3$ . Clearly, the basis states  $|1\rangle$ ,  $|2\rangle$ , and  $|3\rangle$  are eigenvectors of  $A$  with eigenvalues  $a$ ,  $-a$ , and  $-a$  respectively. We can notice that  $|1\rangle$  is a common eigenvector for both  $A$  and  $B$ . We just need to work out the  $2 \times 2$  block basis for  $|2\rangle$  and  $|3\rangle$ . Indeed, both of these states have eigenvalues  $a$  for  $A$ , so one linear combinations should have eigenvalue  $b$  for  $B$ , and orthogonal combination with eigenvalue  $-b$ .

Let the eigenvector components be  $u_2$  and  $u_3$ . Then, for eigenvalue  $b$ , we have

$$-ibu_3 = bu_2 \quad \text{and} \quad ibu_2 = bu_3$$

both of which imply  $u_3 = iu_2$ . For eigenvalue  $-b$ , we have

$$-ibu_3 = -bu_2 \quad \text{and} \quad ibu_2 = -bu_3$$

both of which imply  $u_3 = -iu_2$ .

Choosing  $u_2$  to be real, then we have the set of simultaneous eigenstates

$$\begin{aligned}
 \lambda_{A1} &= a, \quad \lambda_{B1} = b : |1\rangle \\
 \lambda_{A2} &= -a, \quad \lambda_{B2} = b : \frac{1}{\sqrt{2}}(|2\rangle + i|3\rangle) \\
 \lambda_{A3} &= -a, \quad \lambda_{B3} = -b : \frac{1}{\sqrt{2}}(|2\rangle - i|3\rangle).
 \end{aligned}$$

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