1 Limited evidence for selection at the *FADS*
2 locus in Native American populations

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7

8 Abstract

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10 The *FADS* locus contains the genes *FADS1* and *FADS2* that encode enzymes involved in the

11 synthesis of long-chain polyunsaturated fatty acids (LC-PUFA). This locus appears to have been

12 a repeated target of selection in human evolution, likely because dietary input of LC-PUFA

13 varied over time depending on environment and subsistence strategy. Several recent studies

14 have identified selection at the *FADS* locus in Native American populations, interpreted as

15 evidence for adaptation during or subsequent to the passage through Beringia. Here, we show

16 that these signals of selection are confounded by the presence of parallel adaptation–

17 postdating their split from Native Americans–in the European and East Asian populations used

18 in the population branch statistic (PBS) test. This is supported by direct evidence from ancient

19 DNA that one of the putatively selected haplotypes was already common in Northern Eurasia at

20 the time of the separation of Native American ancestors. A more parsimonious explanation for

21 the present-day distribution of the haplotype is that Native Americans retain the ancestral state

22 of Paleolithic Eurasians. Another haplotype at the locus may reflect a secondary selection

23 signal, although its functional impact is unknown.

24

Introduction

Long-chain polyunsaturated fatty acids (LC-PUFA) are essential for many aspects of cellular and organismal function (Marszalek and Lodish 2005; Darios and Davletov 2006). While they can be obtained from dietary sources, they can also be synthesized from short-chain PUFA (SC-PUFA) through the ω-3 and ω-6 biosynthesis pathways. Some of the steps in these pathways are catalyzed by the fatty acid desaturase genes *FADS1* and *FADS2* (Nakamura and Nara 2004) which are located close to each other on human chromosome 11. This locus (which we refer to as the *FADS* locus) has been targeted by selection multiple times in human evolution (Ameur, et al. 2012; Mathias, et al. 2012; Mathieson, et al. 2015; Buckley, et al. 2017; Ye, et al. 2017; Mathieson and Mathieson 2018). There are two LD blocks at the locus, but most studies have focused on the two major haplotypes at LD block 1 (Ameur, et al. 2012), which we refer to as the *ancestral* (A) and *derived* (D) haplotypes. LD block 1 is also where the strongest genome-wide association study signals for lipid levels are detected in European ancestry populations (Teslovich, et al. 2010). Haplotype D appears to have been under selection–likely preceding the out-of-Africa bottleneck–in Africa, and is virtually fixed in present-day African populations (Ameur, et al. 2012; Mathias, et al. 2012). Given this, it is surprising that early Eurasian populations appear to have largely carried the ancestral haplotype, suggesting selection for the ancestral haplotype at some time after the split of present-day African and non-African lineages (Ye, et al. 2017; Mathieson and Mathieson 2018). By the Mesolithic–around 10,000 years before present (BP)–the ancestral haplotype was fixed in Europe (Mathieson, et al. 2015). The derived haplotype was reintroduced to Europe in the Neolithic (beginning around 8400 BP) by the migration of Early Farming populations from Anatolia (Mathieson, et al. 2015), experienced strong positive selection during the Bronze Age (Buckley, et al. 2017; Mathieson and Mathieson 2018), and is now at a frequency of around 60%. The trajectory of the derived haplotype in East Asian populations is less clear, but the observations that the 40,000 year old Tianyuan individual is homozygous for the ancestral haplotype and that the locus has a strong signal of selection in East Asian populations (1000 Genomes Project Consortium 2015), suggest that a very similar process might have occurred.

The ancestral haplotype was common in Upper Paleolithic Eurasia

We examined ancient DNA from 16 individuals from Early Upper Paleolithic Eurasia (Fu, et al. 2014; Raghavan, et al. 2014; Fu, et al. 2016; Sikora, et al. 2017; Yang, et al. 2017; Sikora, et al. 2019). Of these individuals' 32 haplotypes, 4 are derived and 28 are ancestral (3 vs 23 supported by more than 6 reads; Figure 1e). This confirms that the derived LD block 1 haplotype was uncommon, though not completely absent, throughout Upper Paleolithic Eurasia. With a sufficiently intense bottleneck, genetic drift could fix the ancestral haplotype in the ancestors of Native Americans even if it was not under selection (Harris, et al. 2019).

Selection scans at the locus are confounded by parallel adaptation

Excluding the effects of recent admixture, present-day Native American, Inuit, and Siberian populations are fixed for the ancestral haplotype (Ameur, et al. 2012; Fumagalli, et al. 2015; Harris, et al. 2019). Fumagalli, et al. (2015) found a strong signal of selection at the locus in the Greenlandic Inuit population, which they interpret as an adaption to a PUFA-rich Arctic diet at least 20,000 years ago in the common ancestors of present-day Inuit and Siberian populations. Subsequent studies detected a very similar signal in Native American populations (Amorim, et al. 2017; Hlusko, et al. 2018; Harris, et al. 2019). Some studies interpret this as evidence for selection for the ancestral haplotype relatively early on the Native American lineage, although it could also represent a shared signal from the population ancestral to both Native Americans and Inuit. Both these signals extend across LD blocks 1 (tagging the ancestral haplotype) and 2.

These studies used the population branch statistic (PBS) (Yi, et al. 2010) to compare Native American (NA), European (EUR) and East Asian (EAS) populations. The PBS compares genetic differentiation between three populations and identifies which, if any, of the three branches has excess differentiation that indicates selection. We write PBS(A, (B, C)) to denote the statistic that is testing for excess differentiation on the A branch, relative to B and C. However, the PBS makes the implicit assumption that each of the three branches is independent. If there is parallel selection on the same haplotype in two population, say B and C, then B and C will have low F_{ST}, but each will have high F_{ST} relative to A. Thus, the PBS will misattribute selection

81   to branch A (Fig. 1a-c). Given low frequency of the derived allele in Upper Paleolithic Eurasia

82   (Fig. 1d) and parallel selection in EUR and EAS populations (Fig. 1e), we expect that PBS(NA,

83   (EUR, EAS)) would give a spurious signal of selection in the Native American population.

84

85   We tested this by computing the PBS using Native American, European, and Mesolithic

86   European populations. We used the PEL (Peruvians from Lima) 1000 Genomes population to

87   represent Native Americans (1000 Genomes Project Consortium 2015). For each PEL individual,

88   we restricted to regions of homozygous Native American ancestry (Martin, et al. 2017). We

89   used CEU (Northern and Western European ancestry) to represent present-day Europeans, CHB

90   (Chinese from Beijing) to represent East Asians and 118 ancient European hunter-gatherers

91   (HG) to represent Mesolithic Europe (Mathieson and Mathieson 2018). We replicate the

92   elevated PBS(PEL, (CEU, CHB)) statistic at the *FADS* locus (Fig.2 left column; upper 0.0002

93   quantile), but find that it largely disappears if we replace CHB with HG (Fig. 2 right column;

94   upper 0.016 quantile). Two of the LD block 2 SNPs originally identified by Fumagalli, et al.

95   (2015)–rs74771917 and rs7115739–still have extreme PBS values, but the PBS is no longer

96   elevated across the locus (Fig.2). Conversely, both PBS(CEU, (PEL, HG)) and PBS(CHB, (PEL, HG))

97   do show elevated values (upper 0.00004 and 0.0006 quantiles). These results are consistent

98   with the model of parallel adaptation in CEU and CHB shown in Fig. 1d.

A potential secondary signal of selection

100   While the Native American PBS signal in LD block 1 (containing rs174570, rs174556 and

101   rs174537) disappears when Mesolithic hunter-gatherers are used as an outgroup, part of the

102   signal (at rs74771917 and rs7115739) that is restricted to LD block 2 remains (Fig. 2). Using

103   sequence data from the 1000 Genomes project we identified three additional SNPs that are

104   highly differentiated between present-day Native American and Eurasian ancestral allele

105   carriers (Figure 4). Among individuals who carry the ancestral haplotype at LD block 1, this LD

106   block 2 haplotype has a frequency of around 100% in the Greenland Inuit (Fumagalli, et al.

107   2015) 82% in PEL, 34% in CHB, 9% in HG and 4% in CEU. The Anzick individual (Rasmussen, et al.

108   2014) carries two copies, while the 40,000-year old East Asian Tianyuan individual (Yang, et al.

109   2017) carries one. It therefore remains possible that the high frequency of this haplotype

110   represents a secondary signal of selection in the common ancestor of Inuit and Native

111   Americans. On the other hand, this region is not a genome-wide outlier in the standard

112   window-based PBS analysis and the frequency of this haplotype may have been driven by linked

113   selection on LD block 1. Further, the LD block 2 haplotype has not been shown to affect

114   expression of any of the *FADS* genes or any other phenotype, independent of the LD block 1

115   haplotype. Within-population, the two blocks are highly correlated, so it would be necessary to

116   perform conditional analysis at the locus in East Asian populations to identify any independent

117   effect of the LD block 2 haplotype.

Conclusion

119   The Native American specific signal of selection at the FADS locus is largely an artefact driven by

120   parallel selection on the European and East Asian lineages. The ancestral haplotype at LD block

121   1 may have been selected in Upper Paleolithic Eurasian populations but this likely took place

122   around or before the split of East and West Eurasian populations 40-60,000 years ago and

123   certainly before the Native American and Siberian lineages split. There remains some evidence

124   of a secondary signal of selection in LD block 2 but this is shared by Inuit and Siberians and not

125   specific to Native Americans. The complex history of selection at this locus likely confounds

126   selection scans in other populations as well. Finally, this analysis demonstrates the ability of

127   direct evidence from ancient DNA to resolve complex evolutionary histories that may not be

128   identifiable using present-day data.

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Acknowledgments

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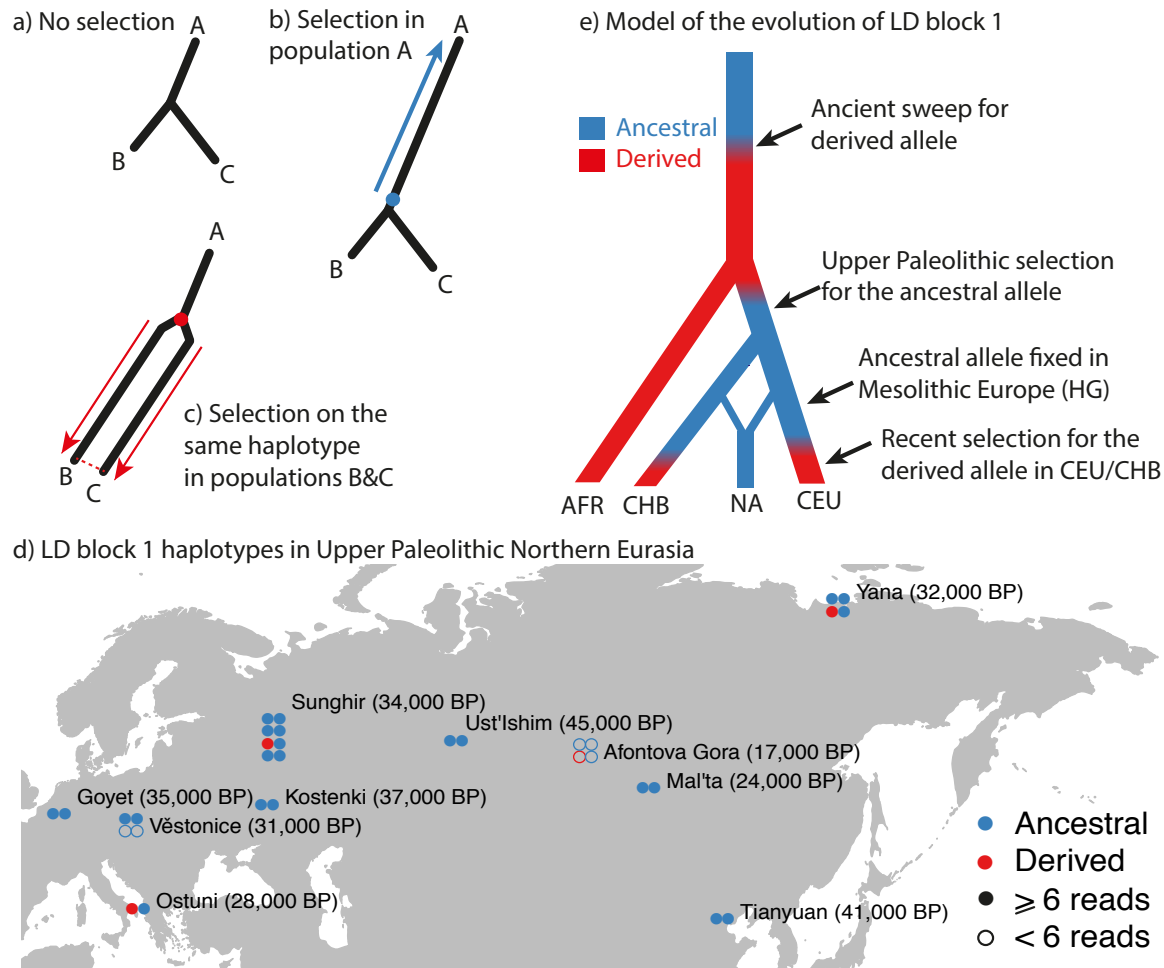204   altitude. Science 329:75-78.
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Figure 1: a) The PBS compares genetic differentiation (branch length) between three populations. **b)** If a new mutation (blue dot) is under selection in one population (A), the branch length leading to A will be longer–a signal of selection. **c)**. If a haplotype that exists in the ancestral population (red dot) is under selection in both populations B and C then, because B and C look very similar, the PBS misattributes the long branch length to A, instead of to B and C. **d)** LD block 1 haplotypes in Upper Paleolithic Eurasia (Fu, et al. 2014; Raghavan, et al. 2014; Fu, et al. 2016; Sikora, et al. 2017; Yang, et al. 2017; Sikora, et al. 2019). Ancestral and derived haplotype defined as haplotypes A and C (Mathieson and Mathieson 2018) **e)** Model for the evolution of present-day African (AFR), East Asian (CHB), Native American (NA) and European (CEU) population showing where derived (red) and ancestral (blue) haplotypes are common.
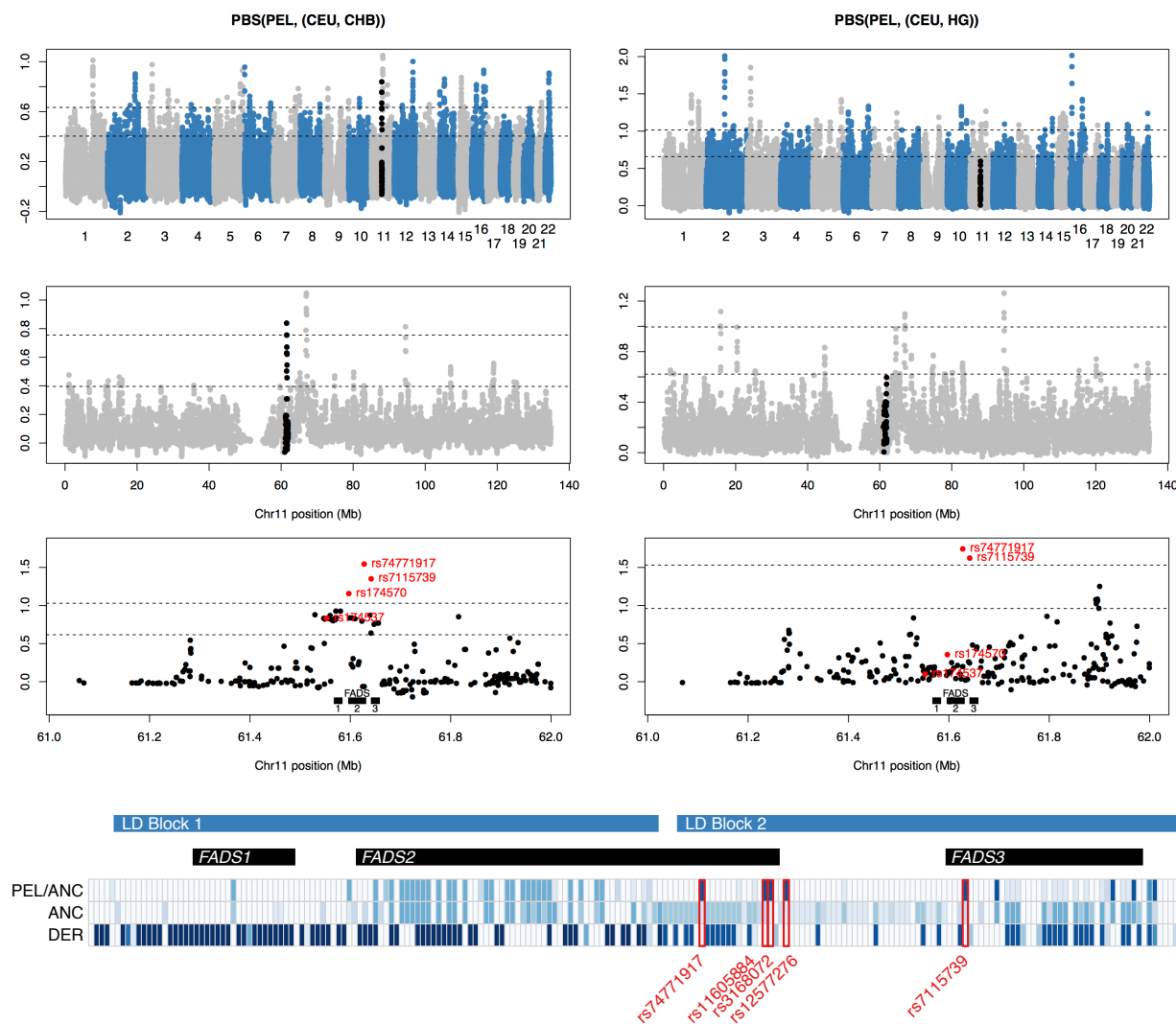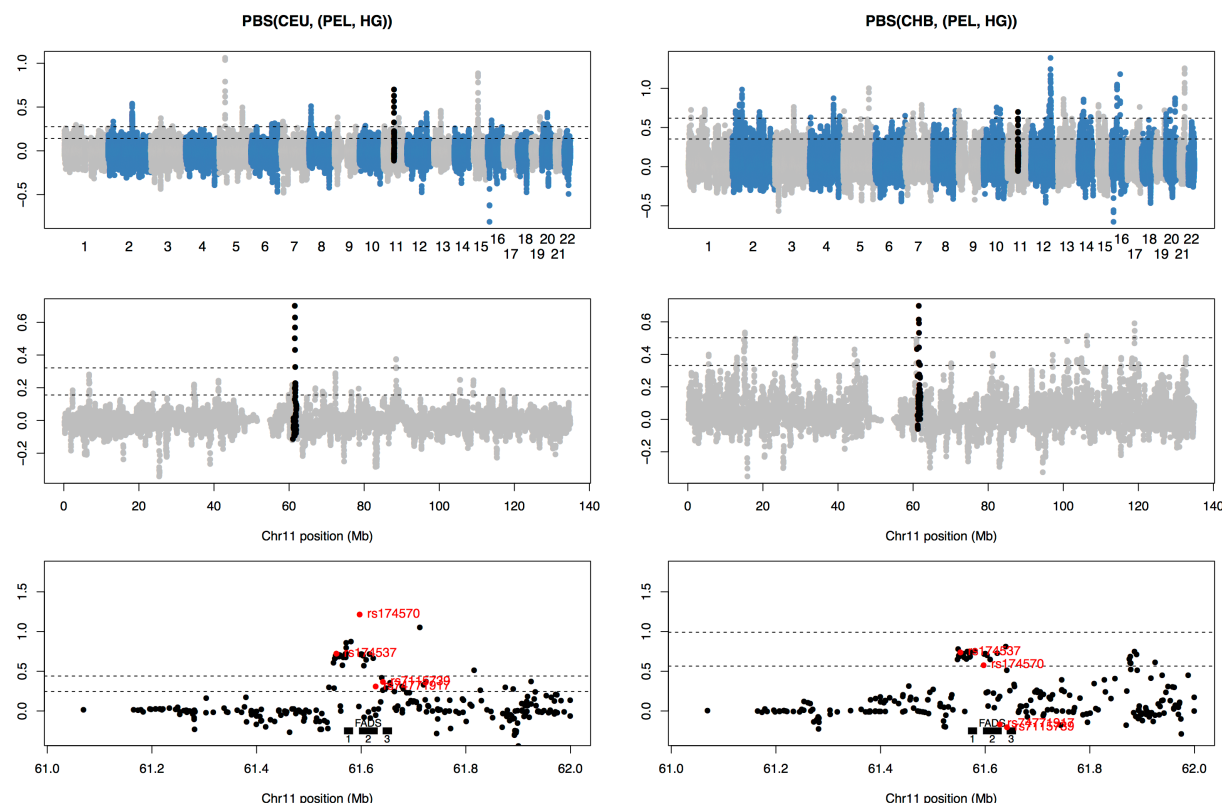
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Figure 2: PBS on the Native American branch. Left column; PBS(PEL,(CEU,CHB)). Right column, PBS(PEL,(CEU,HG)). Upper row; genome-wide PBS in overlapping 20-SNP windows, each shifted by 5 SNPs. Black points indicate the region Chr11:61-62Mb (hg19) that contains the *FADS* locus. Second row; Chromosome 11 PBS in overlapping 20-SNP windows. Third row; per-SNP PBS in the region Chr11:61-62M. Horizontal lines indicate upper 0.01 and 0.001 genome-wide PBS quantiles. In the lower row, red labeled points indicate SNPs previously identified as targets of selection (Fumagalli, et al. 2015; Amorim, et al. 2017). Top three rows restricted to 903,961 autosomal SNPs present on the 1240k capture array with a minor allele frequency of at least 5% in at least one of the four populations. Lower row: Allele frequencies for all SNPs at >1% frequency in at least one population in CEU and CHB individuals carrying the derived haplotype (DER), the ancestral haplotype (ANC) and for PEL individuals carrying the ancestral haplotype (PEL/ANC). Color indicates the frequency of the variant that is less common on the ancestral haplotype. Highlighted in red are the five LD block 2 SNPs that have >50% difference in frequency between ANC and PEL/ANC, and at most 10% frequency in DER.

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Supplementary Figure 1: Population branch statistics around the *FADS* locus on European and East Asian branches. Left column; PBS(CEU,(PEL,HG)). Right column, PBS(CHB,(PEL,HG)). Upper row; genome-wide PBS in overlapping 20-SNP windows, each shifted by 5 SNPs. Black points indicate the region Chr11:61-62Mb (hg19) that contains the *FADS* locus. Middle row; Chromosome 11 PBS in overlapping 20-SNP windows. Lower row; per-SNP PBS in the region Chr11:61-62M. In each plot, horizontal lines indicate upper 0.01 and 0.001 genome-wide PBS quantiles. In the lower row, the location of *FADS1, 2* and 3 is indicted with black bars, while red labeled points indicate SNPs previously identified as targets of selection (Fumagalli, et al. 2015; Amorim, et al. 2017). Restricted to 903,961 SNPs present on the 1240k capture array with a minor allele frequency of at least 5% in at least one of the four populations.

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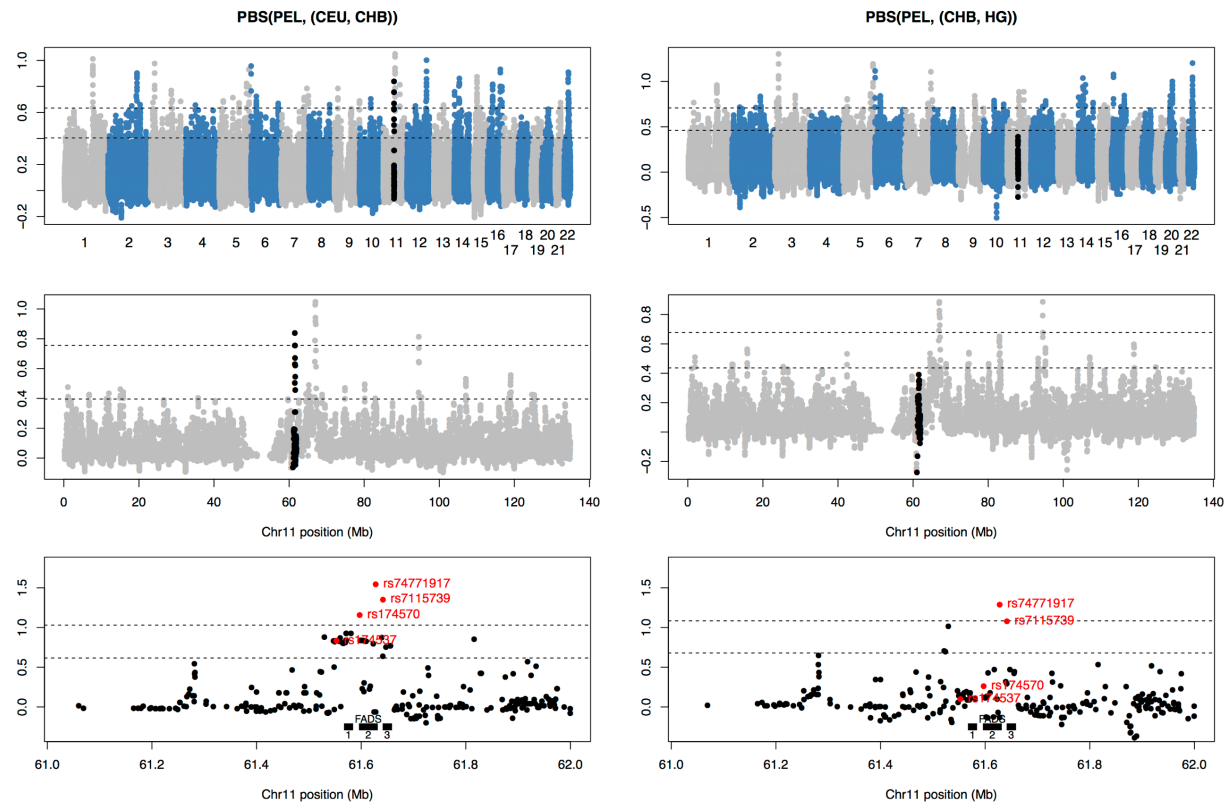
Supplementary Figure 2: As Figure 2, but with PBS(PEL,(CHB,HG)) in the right-hand column, instead of PBS(PEL, (CEU,HG)

CHR	POS	ID	REF	ALT	GoyetQ116	Vestonice 13	Vestonice 16	Ostuni 1	Kostenki 14	Sunghir I	Sunghir II	Sunghir III	Sunghir IV	Afontova Gora 2	Afontova Gora 3	Ust'Ishim	MA1	Tianyuan	Yana	Yana 2
11	61551927	rs174536	A	C	0,1	0,2	0,1	1,1	0,12	0,1	0,4	3,5	0,1	0,0	0,0	0,29	0,1	0,0	11,7	0,8
11	61552680	rs174537	G	T	0,3	0,0	0,0	0,0	0,16	0,1	0,6	3,8	0,2	1,0	0,0	0,19	0,1	0,5	11,10	0,8
11	61557826	rs102274	T	C	0,6	0,3	0,10	1,2	0,93	0,1	0,7	10,7	0,7	0,0	0,0	0,20	0,1	0,11	10,20	0,4
11	61569306	rs174545	C	G	0,0	0,0	0,0	0,0	0,0	0,2	0,5	6,6	0,3	0,0	0,0	0,39	0,0	0,0	14,20	0,8
11	61569830	rs174546	C	T	0,6	0,0	0,17	0,0	0,75	0,0	0,4	7,8	0,7	0,1	0,2	0,38	0,4	0,0	10,14	0,8
11	61570783	rs174547	T	C	0,0	0,0	0,5	0,0	1,19	0,0	0,9	9,3	0,4	0,0	0,0	0,33	0,0	0,7	13,15	1,7
11	61571478	rs174550	T	C	0,0	0,0	0,10	0,0	0,67	0,5	0,5	5,7	0,5	0,1	0,1	0,25	0,1	0,9	11,17	0,6
11	61575158	rs174553	A	G	0,0	0,0	0,0	0,0	0,0	0,0	0,8	3,7	0,3	1,0	0,0	0,30	0,0	0,0	9,17	0,4
11	61579463	rs174554	A	G	0,0	0,0	0,3	1,0	1,20	0,0	0,2	4,4	0,4	0,0	0,0	5,20	0,0	0,0	14,16	1,6
11	61585144	rs174562	A	G	0,0	0,0	0,0	0,0	0,0	0,1	0,4	10,9	0,4	0,0	0,0	1,46	0,0	0,0	21,18	0,3
11	61588305	rs174564	A	G	0,0	0,0	2,0	0,0	7,9	0,0	0,1	3,1	0,5	0,0	0,0	15,15	0,0	0,0	7,1	0,2
Ancestral (A) or Dervied (D) haplotype					AA	AA?	AA	AD	AA	AA	AA	AD	AA	AD?	AA?	AA	AA	AA	AD	AA

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250 **Supplementary Table 1**: Reads supporting the reference (derived) and alternative (ancestral)

251 allele (der,anc) at 11 SNPs used to define derived haplotype C (Mathieson and Mathieson

252 2018).