

# ARITHMETICAL TRIGONOMETRIC POLYNOMIALS

Olivier Ramaré

October 11, 2023

Flat ground



Sums of primes



The main tool



Initiation



Varying  $\alpha$  / Charted land



Opening the lens



References



Flat ground

# Primes numbers

- ▶ A prime number is not a product of two integers  $> 1$ .
- ▶  $2, 3, 5, 7, 11, 13, 17, 19, \dots$
- ▶ **THE KEY TO THE MULTIPLICATIVE WORLD:**

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- ▶ Not always easy: try with 2023 or with 14351.

# RSA (1991–2007) and Credit Cards

A key point to information exchanges :

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*But this is not what I'm doing:)*

# Sums of primes

## Goldbach (1742)

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*Hittmeir and AKS have robbed banks with cardboard guns!*

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○○○

Sums of primes  
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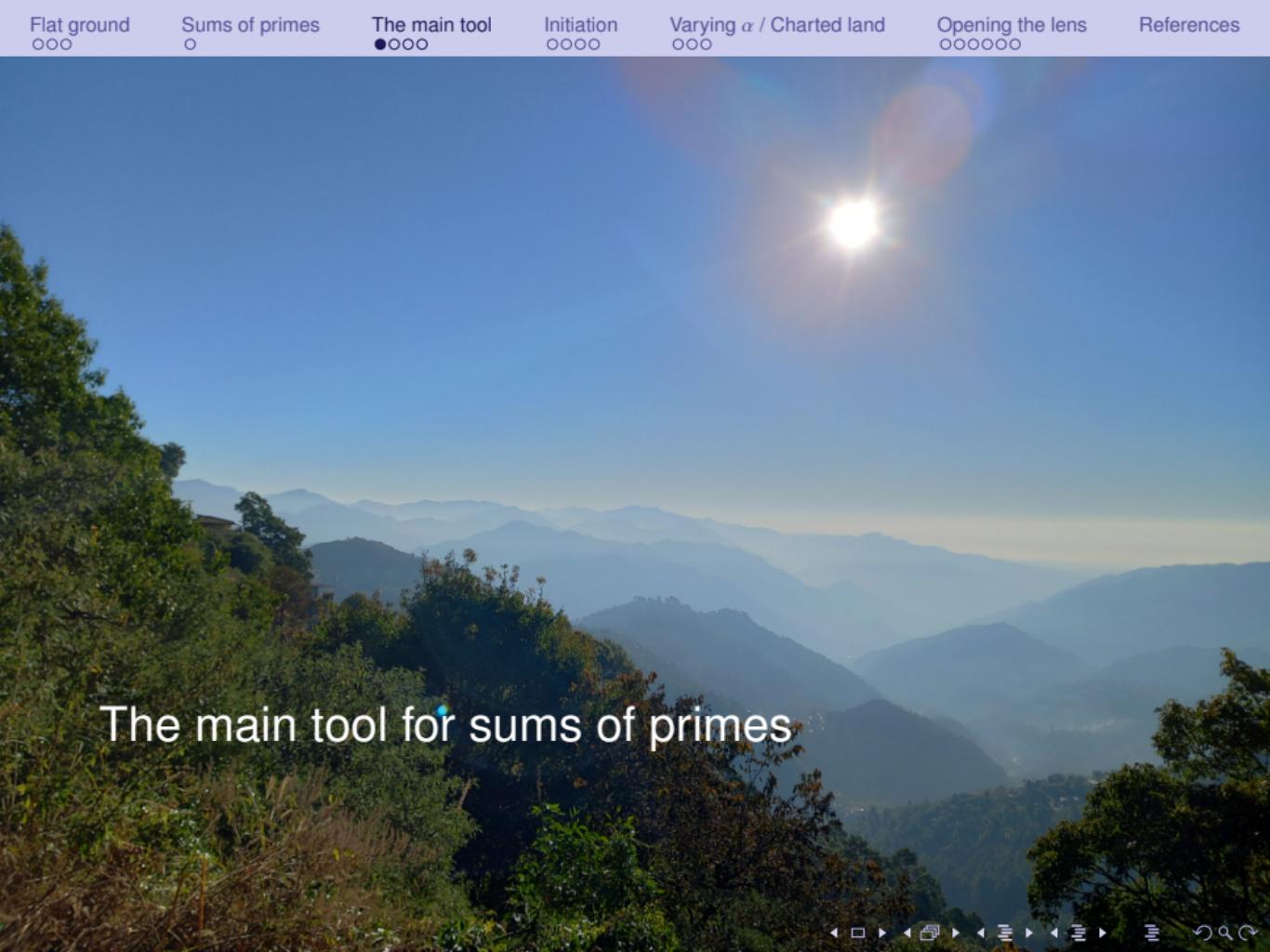
The main tool  
●○○○

Initiation  
○○○○

Varying  $\alpha$  / Charted land  
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Opening the lens  
○○○○○

References



# The main tool for sums of primes

# Before 1936: generating series

- ▶ Look at

$$r(N) = \#\{(a, b, c) \in \mathbb{N}^3, N = a + 2b + 3c\}.$$

- ▶  $N$ -th coefficient of  $\sum_{a \geq 0} z^a \sum_{b \geq 0} z^{2b} \sum_{c \geq 0} z^{3c}$ .

- ▶  $\sum_{a \geq 0} z^a \sum_{b \geq 0} z^{2b} \sum_{c \geq 0} z^{3c} = \frac{1}{(1-z)(1-z^2)(1-z^3)} = G(z)$

- ▶ Poles at  $z = \pm 1$  and at  $z = \exp(\pm 2i\pi/3)$ .

- ▶ Get  $N$ -th coefficient by Cauchy's formula:

*integrate on a circle of radius  $r < 1$ ,  
but close to  $r = 1$  to use the poles of  $G(z)$ .*

*This was the leading idea of (Hardy & Ramanujan, 1916), (Hardy & Ramanujan, 2000),  
(Hardy & Ramanujan, 1917), (Hardy & Ramanujan, 1918).*

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Around 1918 – 1923, *Hardy and then Hardy & Littlewood applied this idea to sums of squares, then to Waring’s problem, then to the Goldbach conjecture, then came the series Partitio numerorum ...*

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Then early 1917: Ramanujan ill, Littlewood incorporated as a soldier...

Around 1918 – 1923, Hardy and then Hardy & Littlewood applied this idea to *sums of squares*, then to *Waring’s problem*, then to the *Goldbach conjecture*, then came the series *Partitio numerorum* ...

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In 1937, I.M. Vinogradov saw that finite sums are enough:

$$\sum_{0 \leq a \leq N} z^a \sum_{0 \leq b \leq N} z^{2b} \sum_{0 \leq c \leq N} z^{3c}.$$

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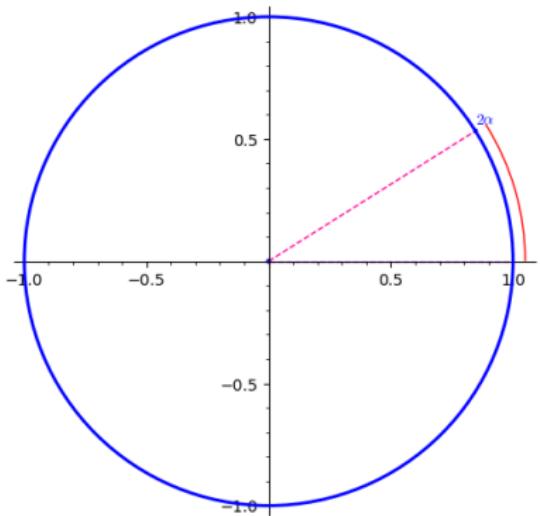
p prime

This is what we use to prove the three prime theorem.



# Initiation

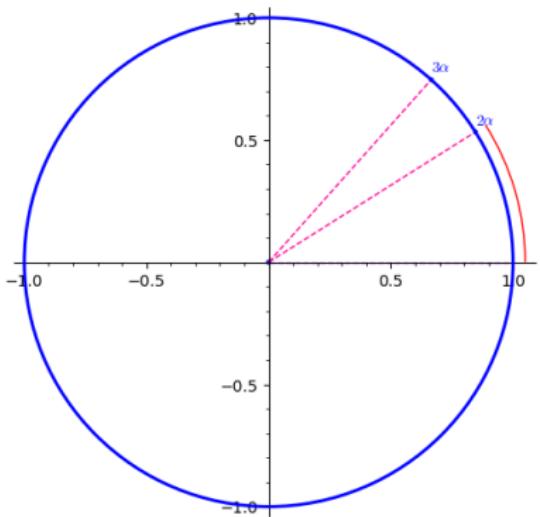
$$\alpha = 2\pi/\sqrt{500} = 0.2809 \dots \text{ for elegant drawings!}$$



The sequence  $(p\alpha)$  for  $p = 2$

# Initiation

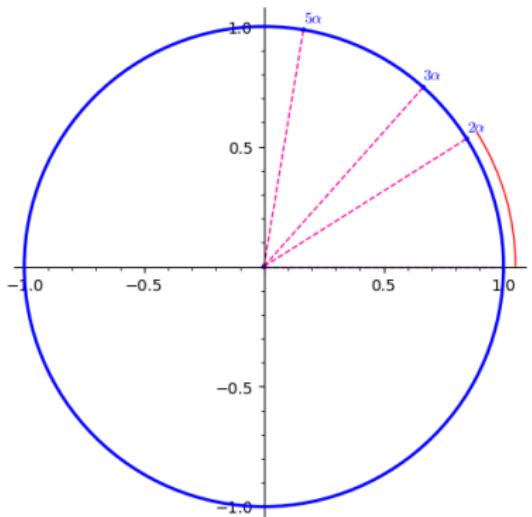
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The sequence  $(p\alpha)$  for  $p = 2, 3$

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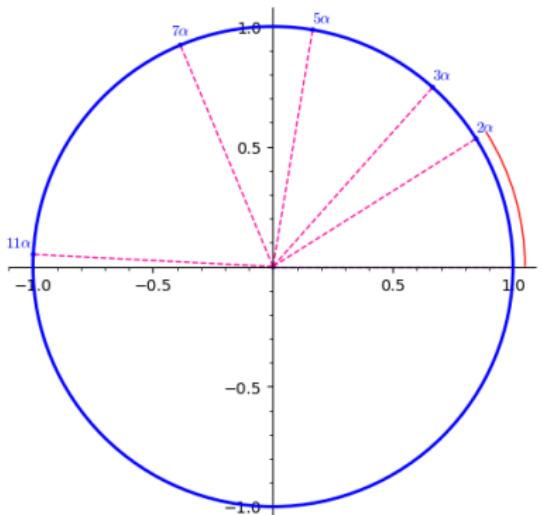
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The sequence  $(p\alpha)$  for  $p = 2, 3, 5$

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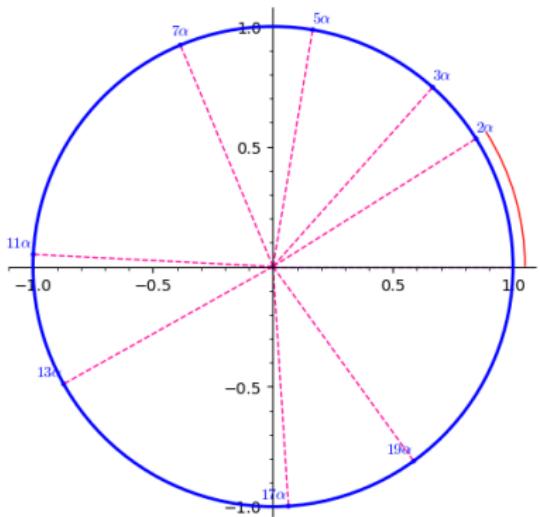
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The sequence  $(p\alpha)$  for  $p = 2, 3, \dots, 11$

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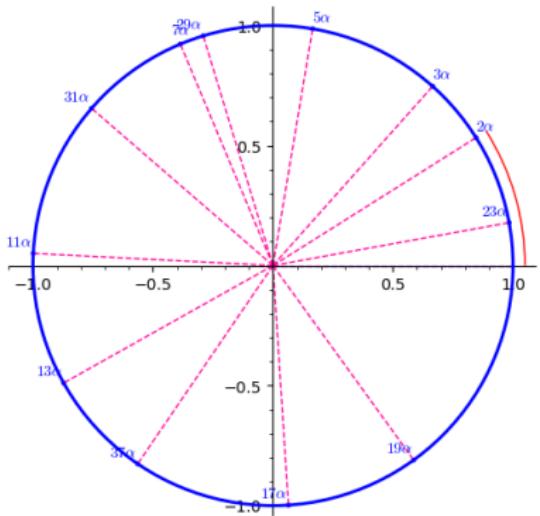
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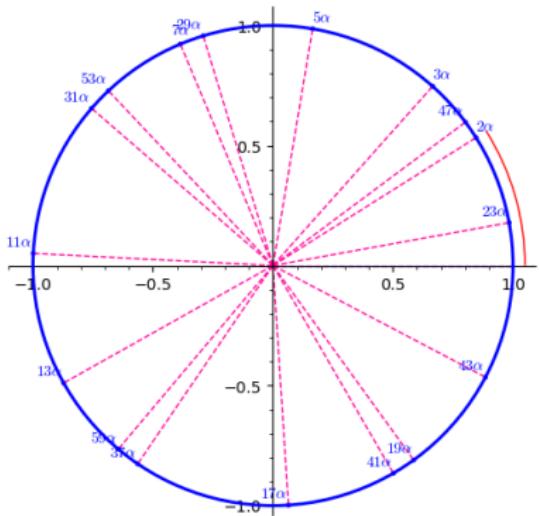
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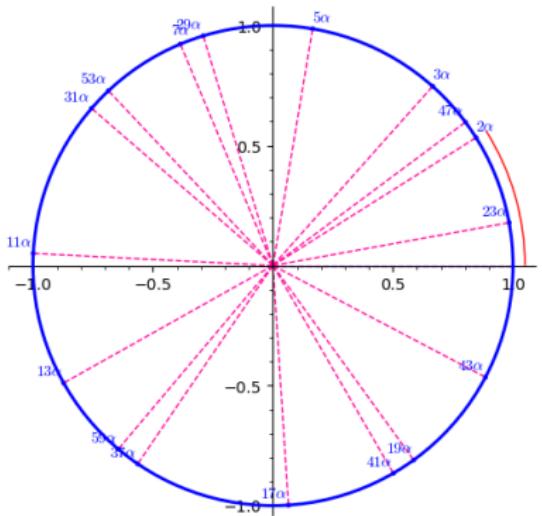
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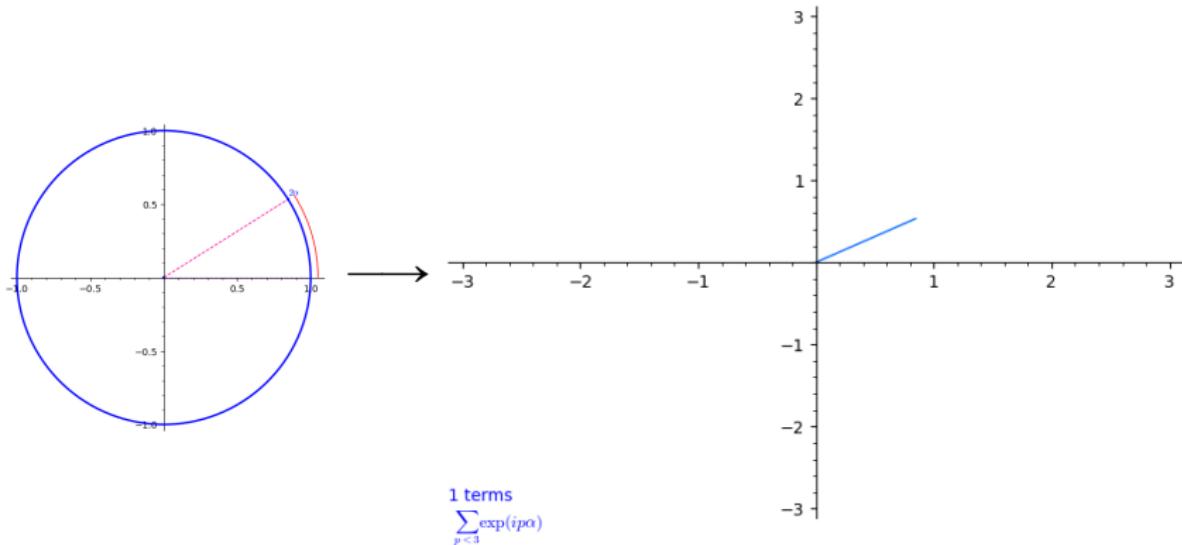
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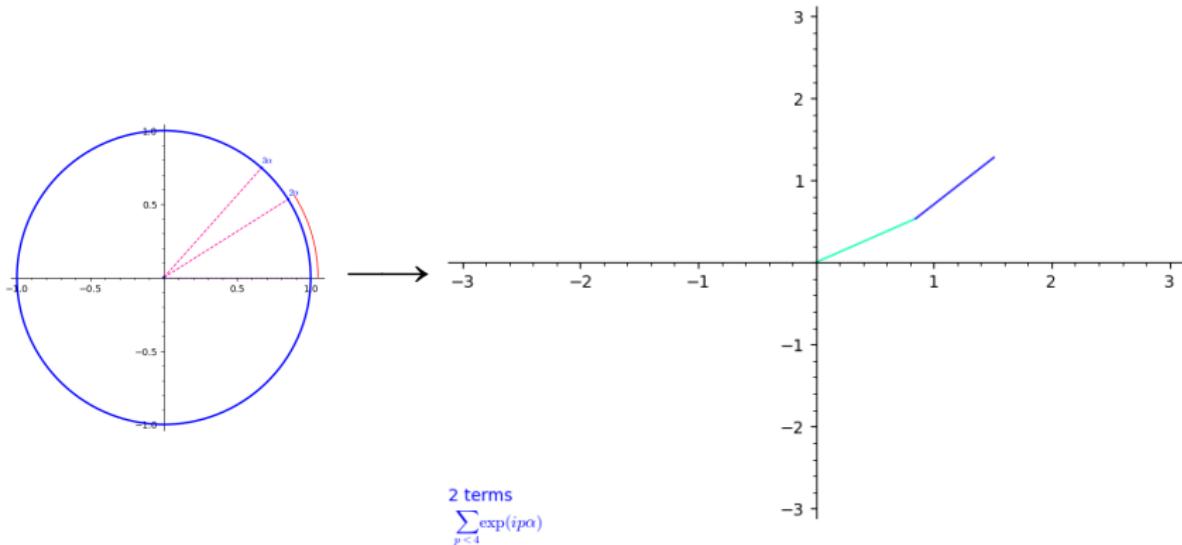
The set  $(p\alpha)$  is dense on the unit circle.

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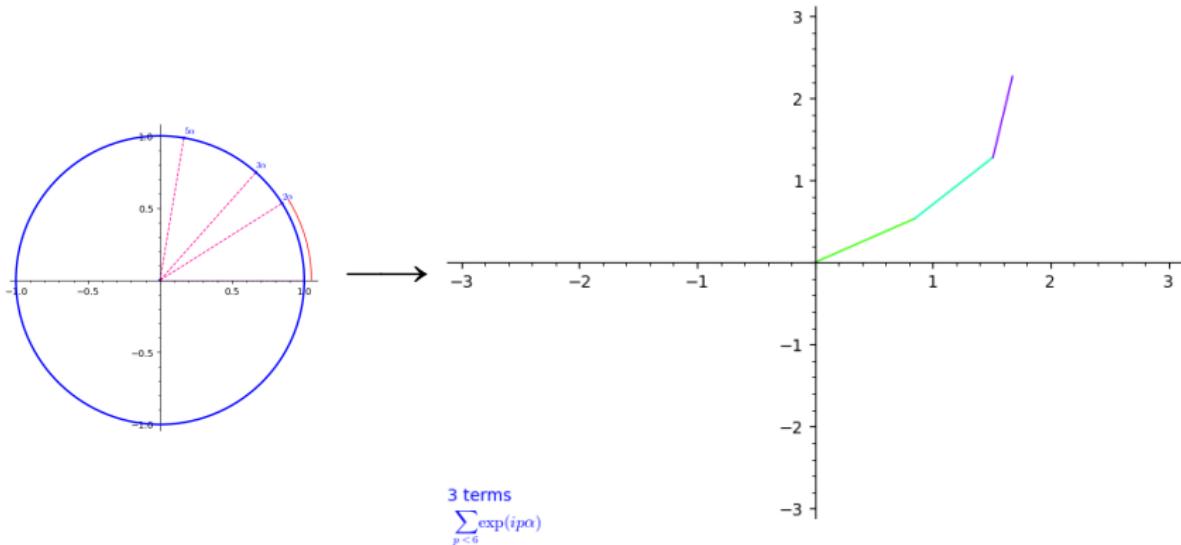
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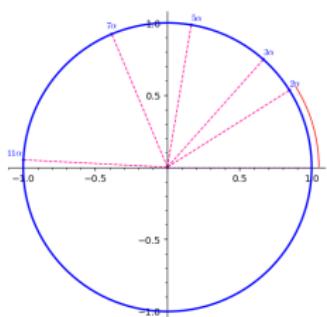
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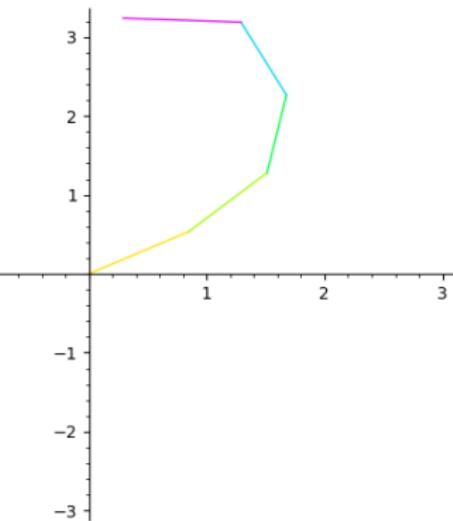
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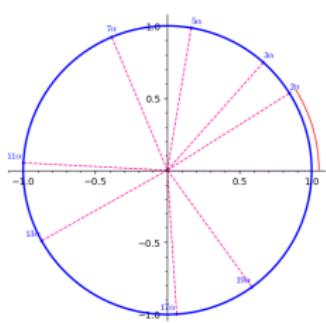
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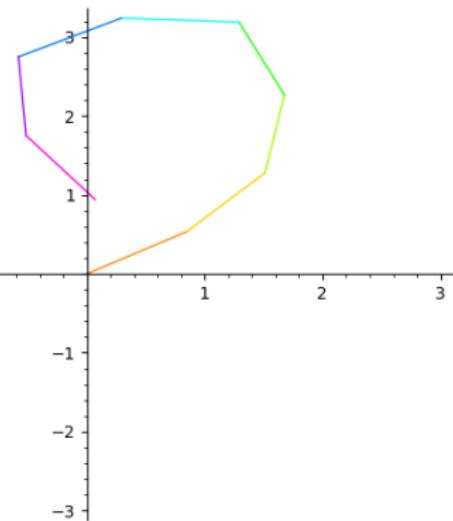
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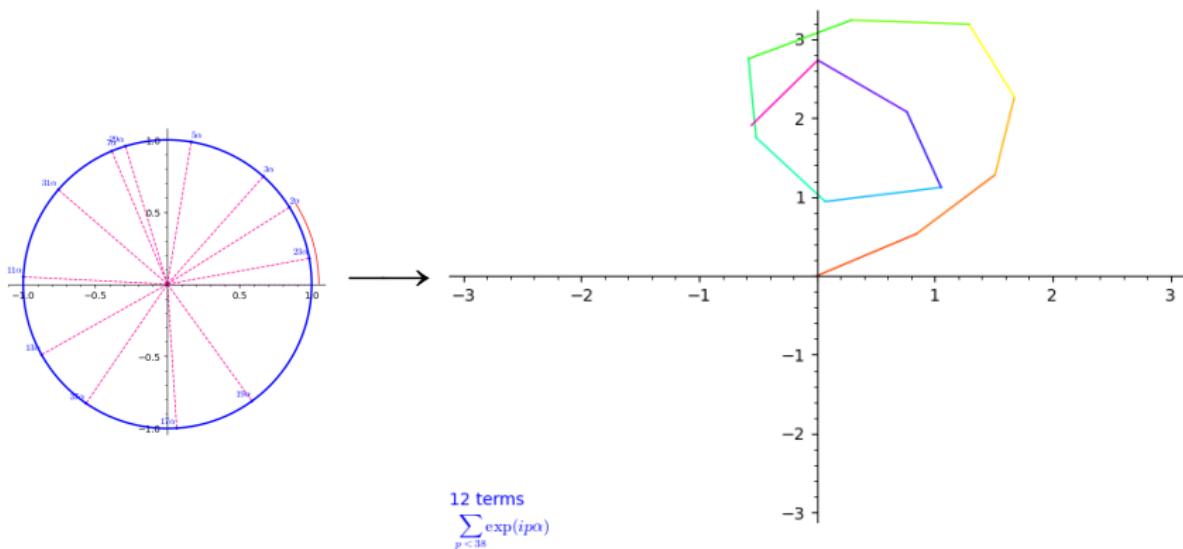
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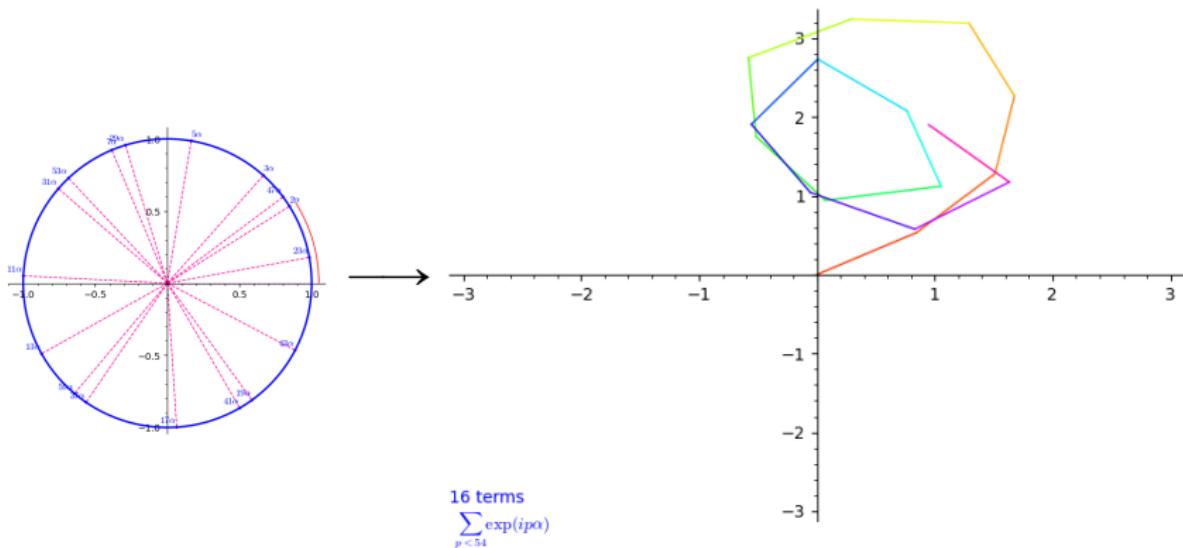
8 terms  
$$\sum_{p < 20} \exp(ip\alpha)$$



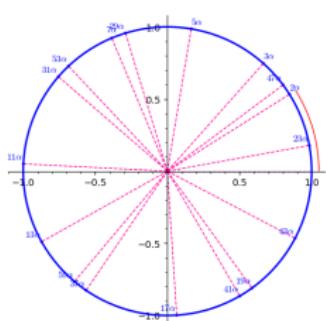
# What about the average value?



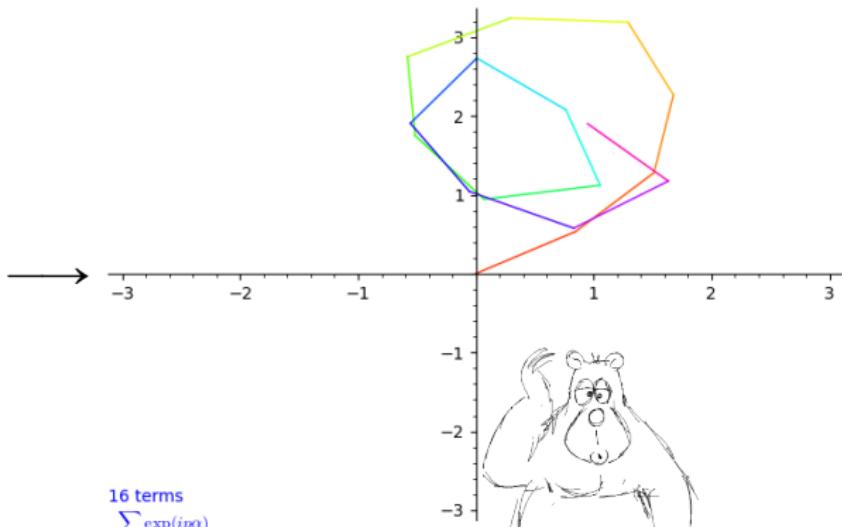
# What about the average value?



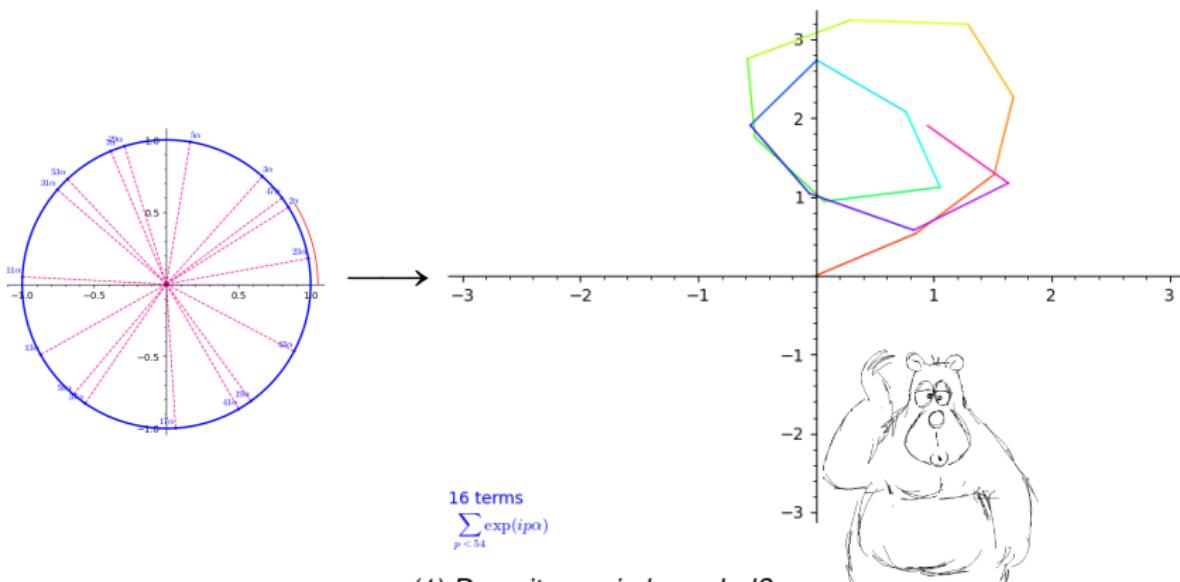
# What about the average value?



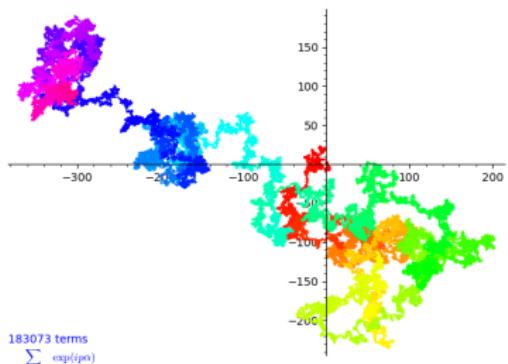
16 terms  
 $\sum_{p < 54} \exp(ip\alpha)$



# What about the average value?

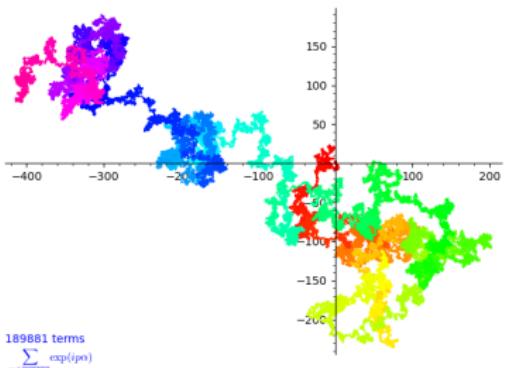


# Seen from farther away



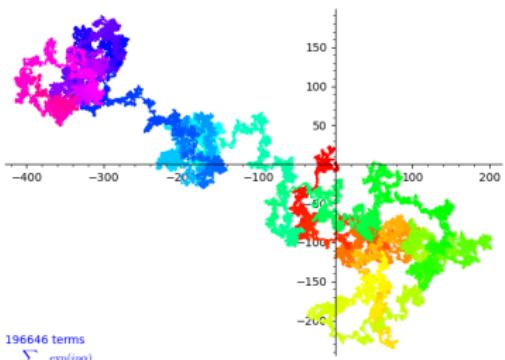
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 2500000$

# Seen from farther away



The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 2600000$

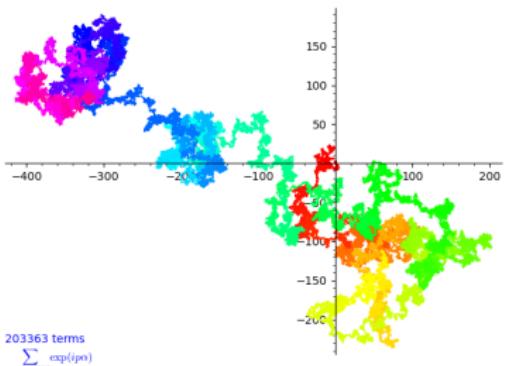
# Seen from farther away



196646 terms  
 $\sum_{p < 2700000} \exp(ip\alpha)$

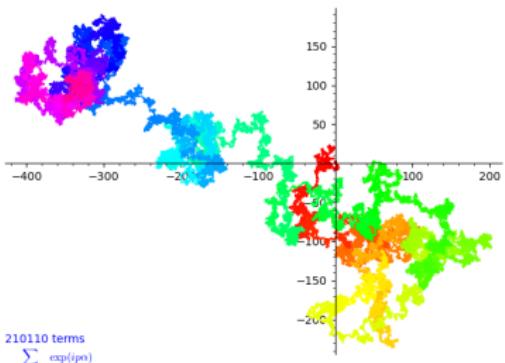
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 2700000$

# Seen from farther away



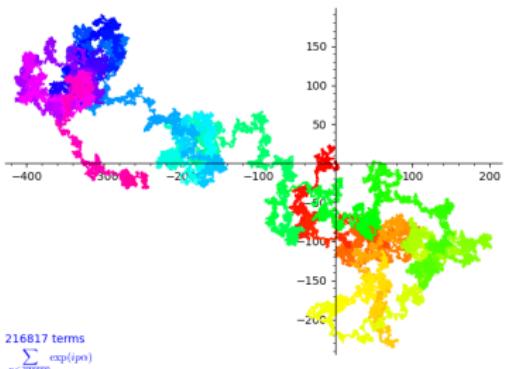
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 2800000$

# Seen from farther away



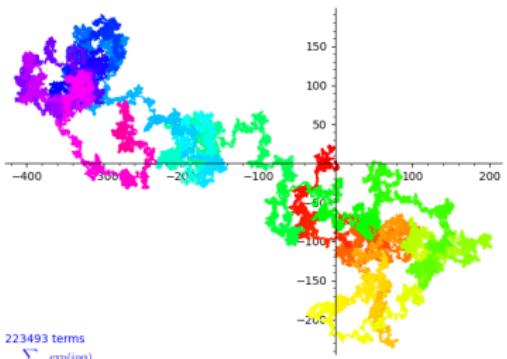
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 2900000$

# Seen from farther away



The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 3\,000\,000$

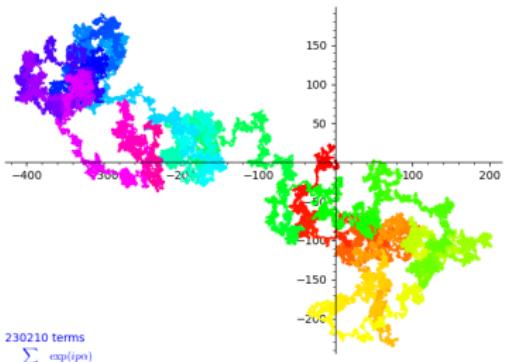
# Seen from farther away



223493 terms  
 $\sum_{p < 3100000} \exp(ip\alpha)$

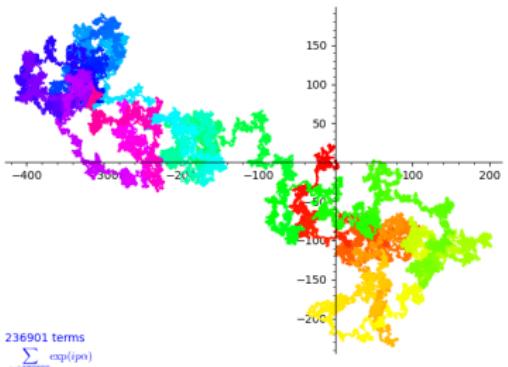
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 3100000$

# Seen from farther away



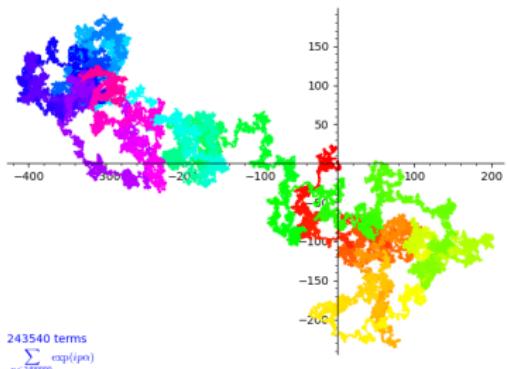
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 3\,200\,000$

# Seen from farther away



The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 3300000$

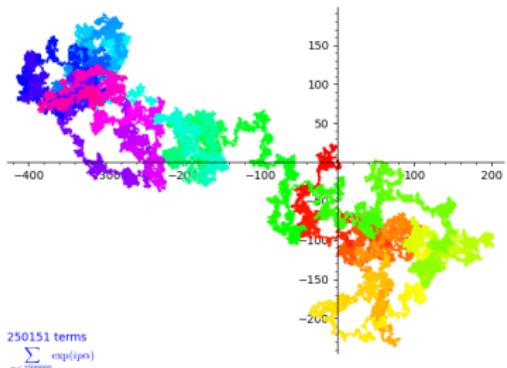
# Seen from farther away



243540 terms  
 $\sum_{p < 3400000} \exp(ip\alpha)$

The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 3400000$

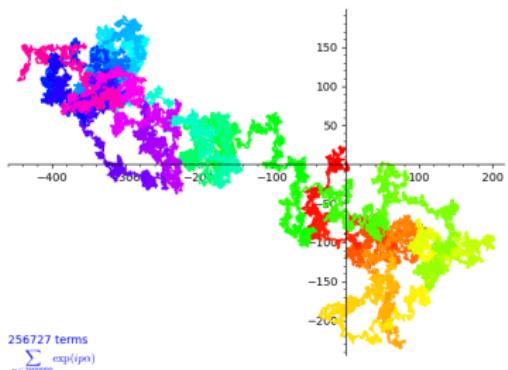
# Seen from farther away



250151 terms  
 $\sum_{p < 3500000} \exp(ip\alpha)$

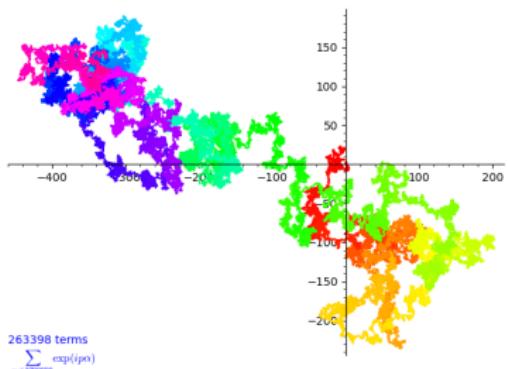
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 3500000$

# Seen from farther away



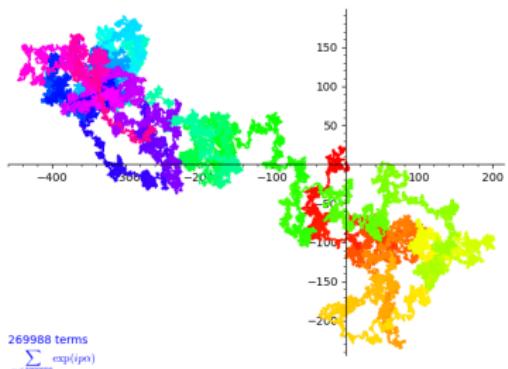
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 3600000$

# Seen from farther away



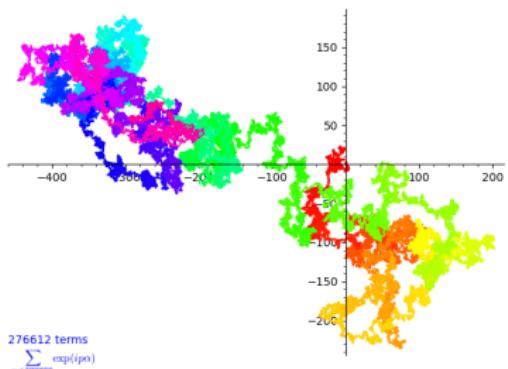
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 3700000$

# Seen from farther away



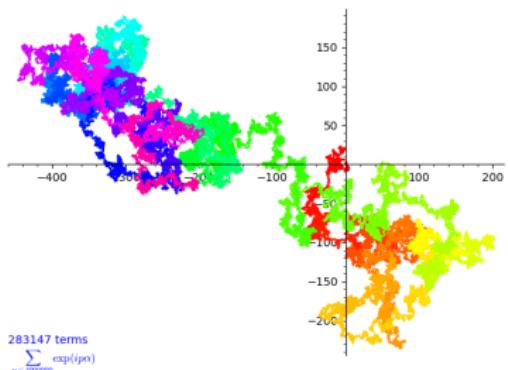
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 3800\,000$

# Seen from farther away



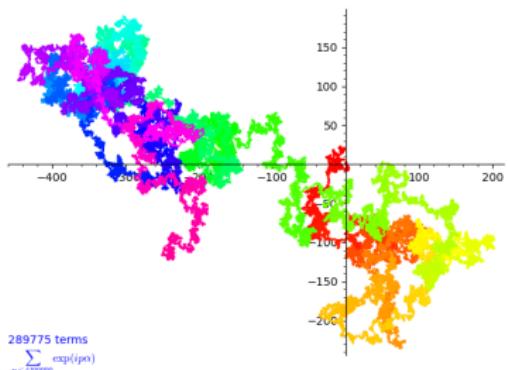
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 3900000$

# Seen from farther away



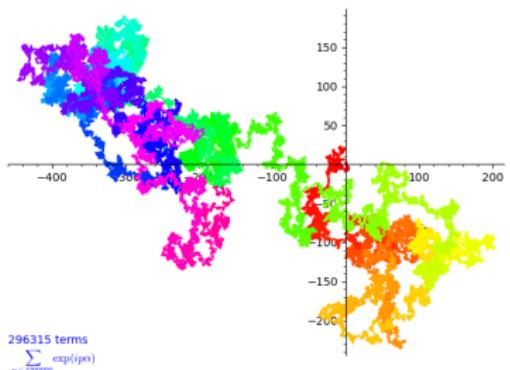
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 4\,000\,000$

# Seen from farther away



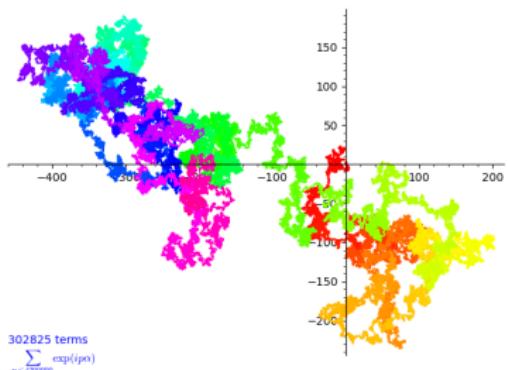
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 4100000$

# Seen from farther away



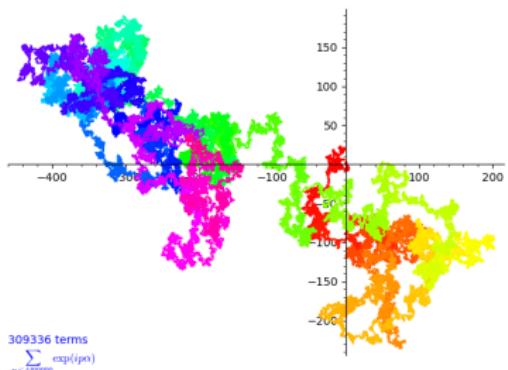
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 4\,200\,000$

# Seen from farther away



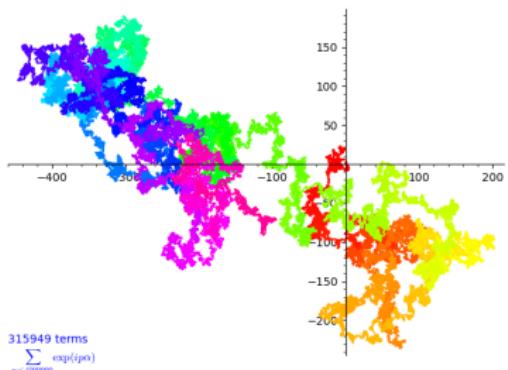
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 4\,300\,000$

# Seen from farther away



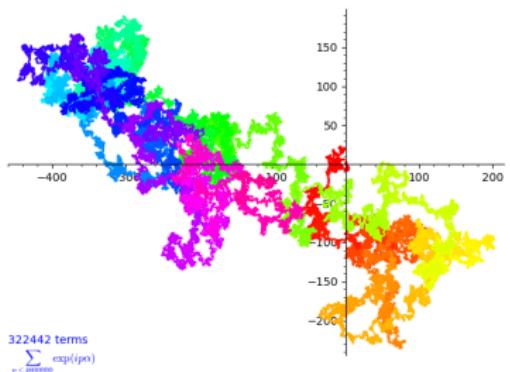
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 4400000$

# Seen from farther away



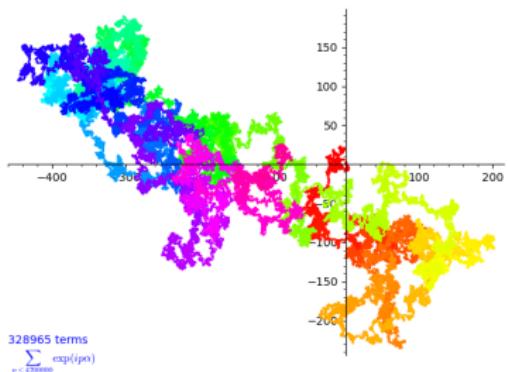
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 4500000$

# Seen from farther away



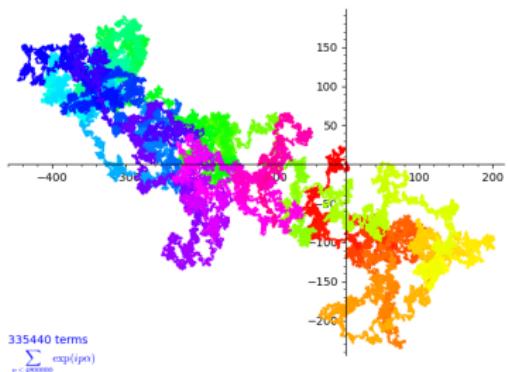
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 4\,600\,000$

# Seen from farther away



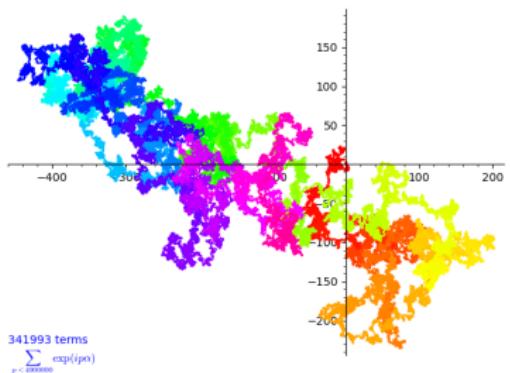
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 4700000$

# Seen from farther away



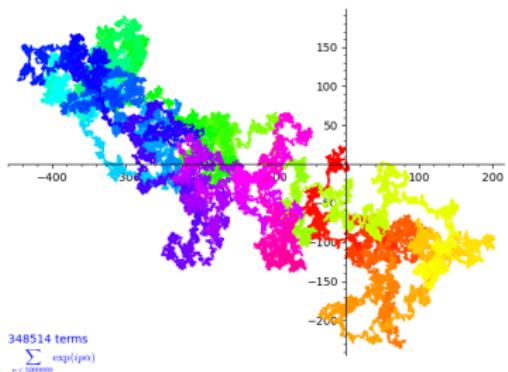
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 4800000$

# Seen from farther away



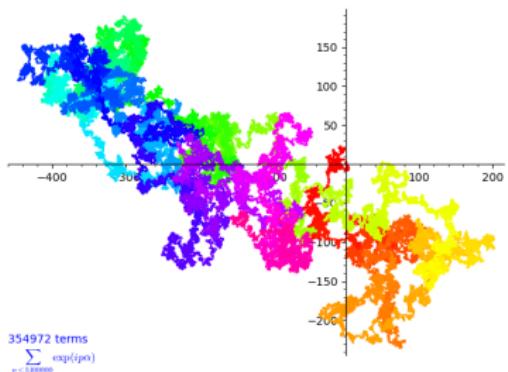
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 4900000$

# Seen from farther away



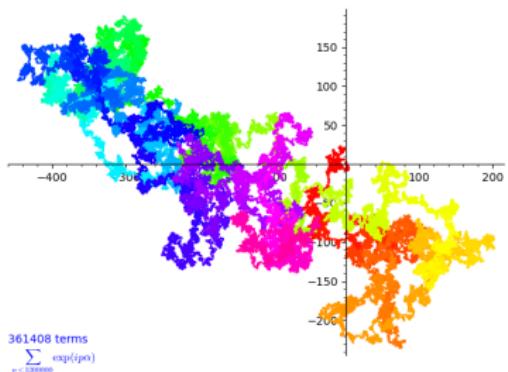
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 5\,000\,000$

# Seen from farther away



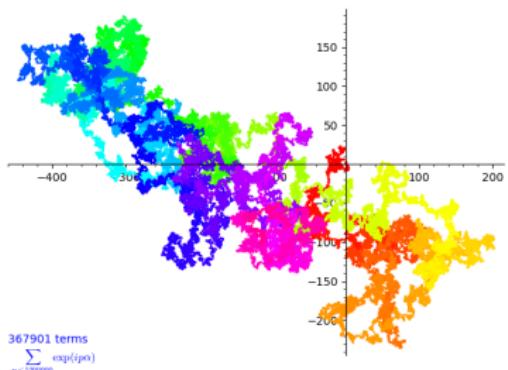
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 5100000$

# Seen from farther away



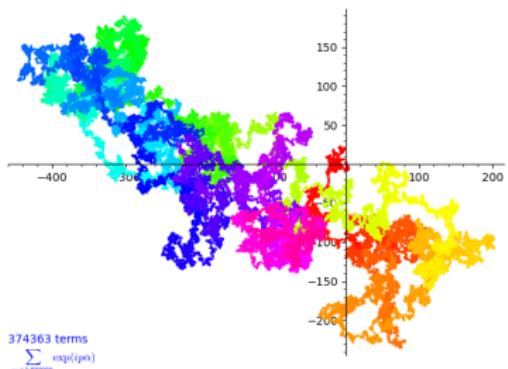
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 5\,200\,000$

# Seen from farther away



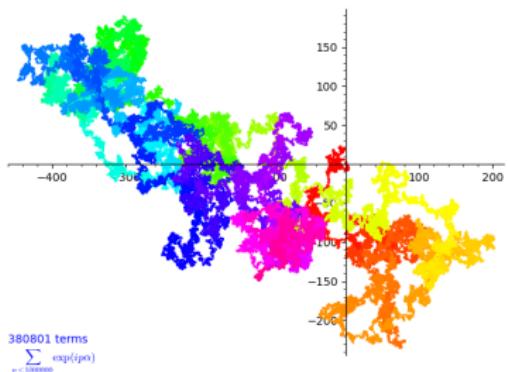
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 5\,300\,000$

# Seen from farther away



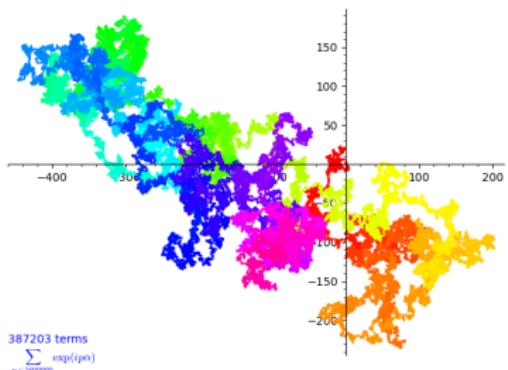
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 5400000$

# Seen from farther away



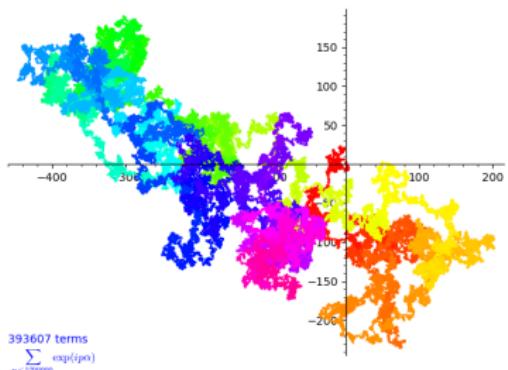
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 5500000$

# Seen from farther away



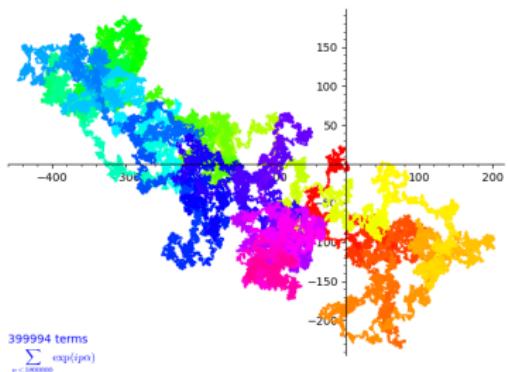
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 5600000$

# Seen from farther away



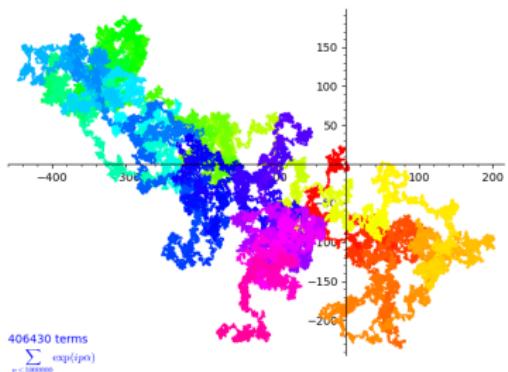
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 5700000$

# Seen from farther away



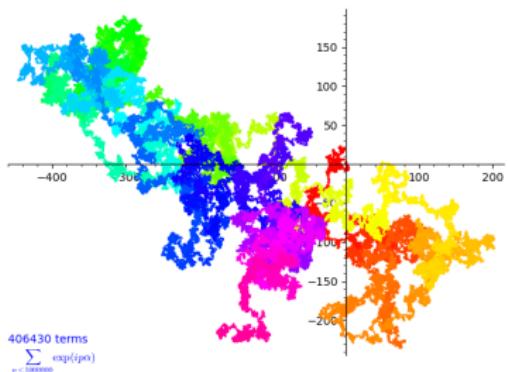
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
 $N = 1, 2, \dots, 5800000$

# Seen from farther away



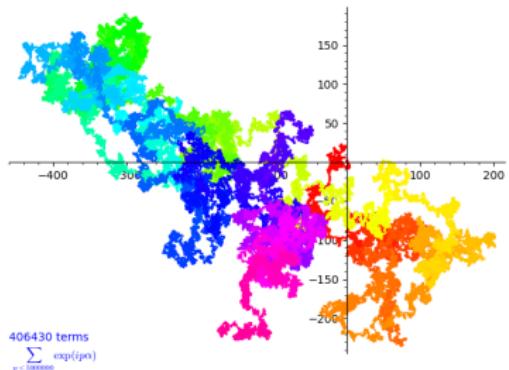
The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
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# Seen from farther away



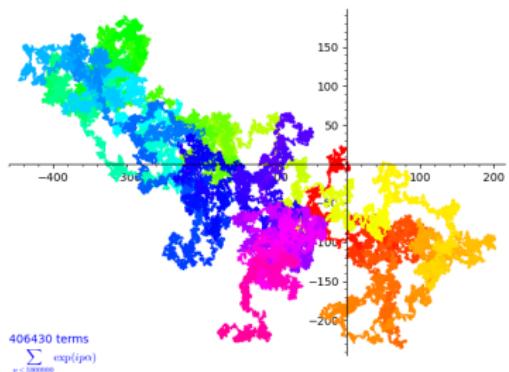
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# Seen from farther away



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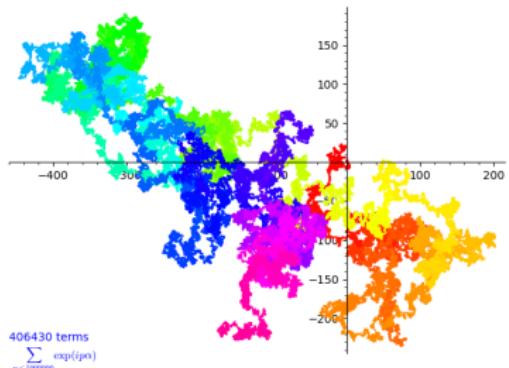


The sequence  $\sum_{p \leq N} \exp(ip\alpha)$  for  
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- (1) This sum is very, very small!
- (2) Where are the loops coming from?
- (3) Does this sum "fill" the space?

# Seen from farther away



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All what is known:

(Vaughan, 1975): 
$$\sum_{p \leq N} \exp(ip\alpha) \ll N^{4/5} (\log N)^3$$

# A dynamical viewpoint

Dynamics studies the transform:  $T : [0, 2\pi) \rightarrow [0, 2\pi)$   
 $x \mapsto x + \alpha \pmod{2\pi}$

and the “trajectories” (iterates):  $T(x), T^2(x), T^3(x), \dots$

(Starting from  $x = 0$ , we get  $\alpha, 2\alpha, 3\alpha, \dots$  on the circle).

Take a function  $f : [0, 2\pi) \rightarrow \mathbb{R}$

and study the (Birkhoff) average  $\frac{1}{N} \sum_{n \leq N} f(T^n(x))$ .

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(Green & Tao, 2008) considered  $\frac{1}{\pi(N)} \sum_{p \leq N} f(T^p(x))$ .

There are infinitely many solutions to  
 $p_4 - p_3 = p_3 - p_2 = p_2 - p_1$ .

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A large body of work emerged on “nilsequences”,  
and on “Sarnak’s conjecture”.

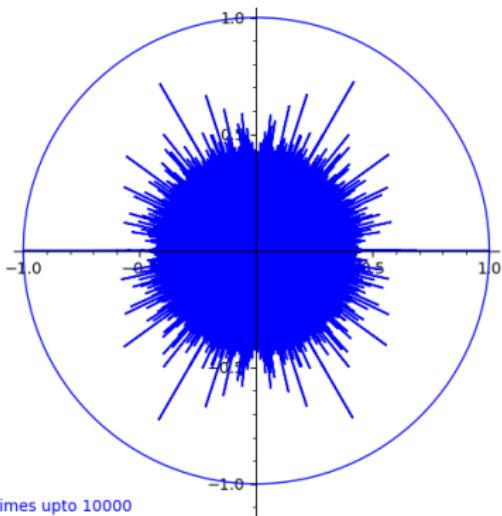


Varying  $\alpha$  / Charted land

# Let have $\alpha$ vary

We looked at  $S(\alpha) = \sum_{p \leq N} \exp(ip\alpha)$  for  $\alpha$  fixed and  $N$  growing.

Let us look now at  $|S(\theta)|$  with  $\theta$  variable:

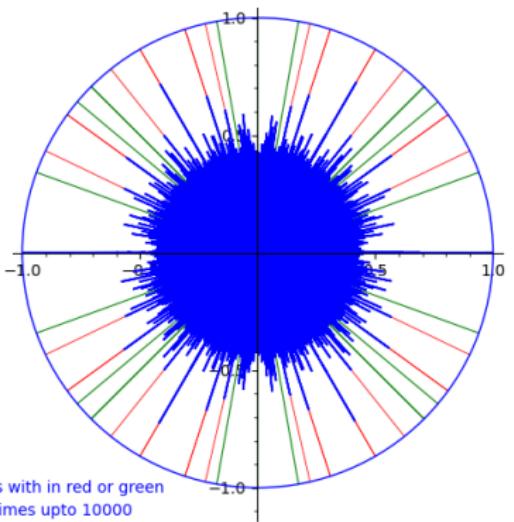


The blue, normalised module in

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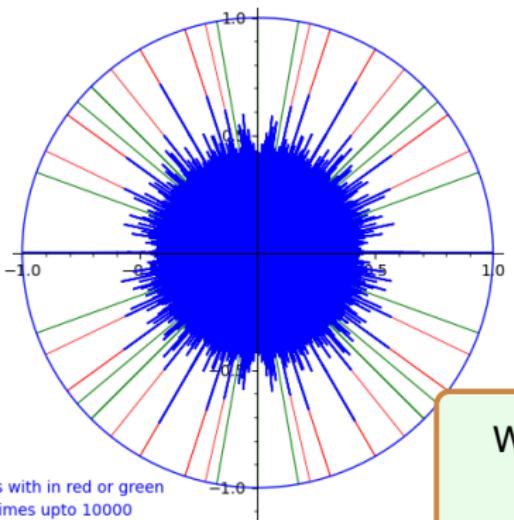


The normalised module in blue, the angles  $2\pi a/q$  are in green when... and in red when...

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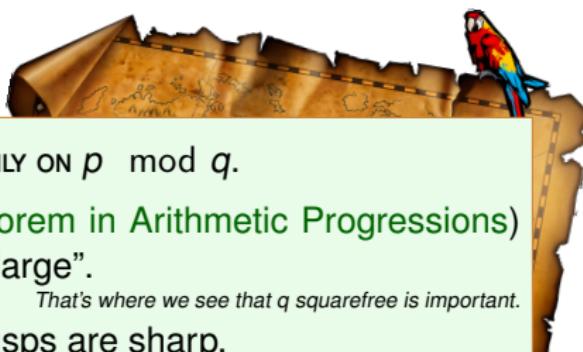


Rational angles with in red or green  
We take primes upto 10000

The normalised module in blue, the angles  $2\pi a/q$  are in green when... and in red when...

We know how to explain the “cusps”  
→ the Three Primes Theorem

# Full sequence: the charted territory



- ▶ THE VALUE OF  $\exp(2i\pi pa/q)$  DEPENDS ONLY ON  $p \pmod q$ .
- ▶ Hence PNTAP (Prime Number Theorem in Arithmetic Progressions) controls  $S(2\pi a/q)$  when  $q$  is “not too large”.

*That's where we see that  $q$  squarefree is important.*
- ▶ Good error term in PNTAP → the cusps are sharp.

*These are the “major arcs” in Hardy-Littlewood-Ramanujan terminology.*
- ▶ Away from these? Technique “à la Vinogradov”. Best is  $N^{3/4}$ .

*These are the “minor arcs” in Hardy-Littlewood-Ramanujan terminology.*  
*Cf “sums of type B” in Vinogradov.*
- ▶ Better bounds? Open territory.
- ▶ Angular distribution? Open territory.

# Opening the lens

# Lack of precision versus generality

We lack of precision,  
so maybe we can gain in generality!

(OR+Ruzsa, 98): subsequences  $\mathcal{P}^*$  (of the primes ) of density

$$\text{for instance, when } N \geq 2, \#\{p \in \mathcal{P}^* : p \leq N\} \geq \frac{N}{100 \log N}.$$

..... *Follows lots of work !!! ....*

⊕ *ergodic aspect (linked to Szemerédi's theorem)*

The leading idea is that primes may be "described" by arithmetic progressions.  
Arithmetic progressions share additive properties with the set of every integers.

→ "So" primes may share the same properties!  
Green set to follow this program on Szemerédi's Theorem.

(Green & Tao, 2008): There are infinitely many solutions to

$$p_4 - p_3 = p_3 - p_2 = p_2 - p_1.$$

And (Tao & Ziegler, 2008), (Green & Tao, 2012), (Tao & Ziegler, 2015), ...

Let us go back to the (theoretical but not historical) beginning!

# On the cusps

*The number of cusps is finite!*

(Green & Tao, 2006): Set  $S^*(\alpha) = \sum_{\mathcal{P}^* \ni p \leq N} \exp(2i\pi\alpha p)$ .

Then

$$\#\left\{\alpha \in \left\{\frac{1}{N}, \frac{2}{N}, \dots, \frac{N}{N}\right\} : |S^*(\alpha)| \geq \xi S^*(0)\right\} \ll (1/\xi)^{2+\varepsilon}.$$

Maximum size:  $|S^*(\alpha)| \leq S^*(0)$ .

Number of small Farey points:

$$\#\left\{\frac{a}{q} \in [0, 1] : q \leq 1/\xi\right\} \ll (1/\xi)^2.$$

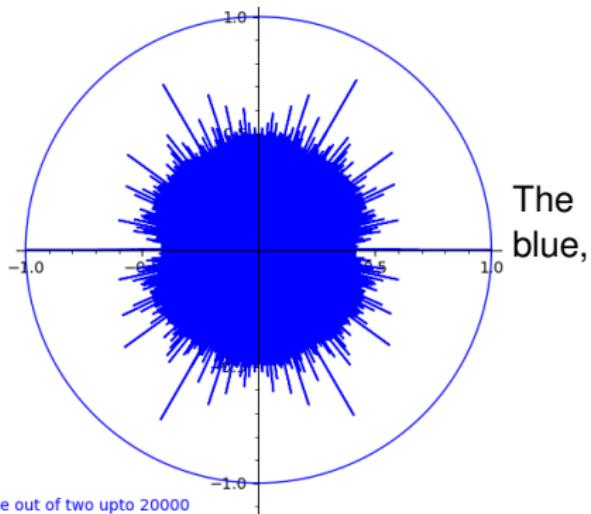
(OR, 2022, 2023):

$$\#\left\{\alpha \in \left\{\frac{1}{N}, \frac{2}{N}, \dots, \frac{N}{N}\right\} : |S^*(\alpha)| \geq \xi S^*(0)\right\} \ll (1/\xi)^2.$$

Are all the cusps at Farey points??

# A trial

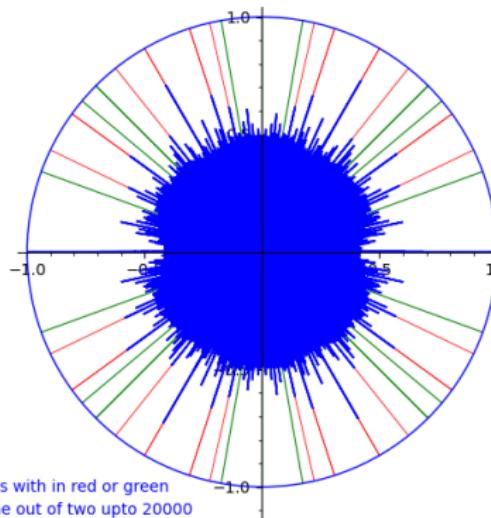
Let us take a prime out of two:



The blue,  
normalised module in

# A trial

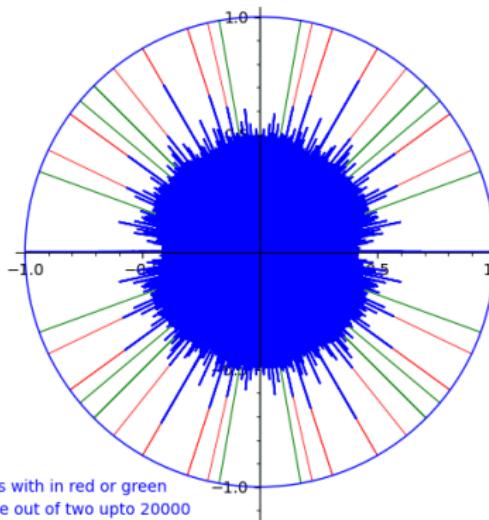
Let us take a prime out of two:



The normalised module in blue, the angles  $2\pi a/q$  are in green when... and in red when...

# A trial

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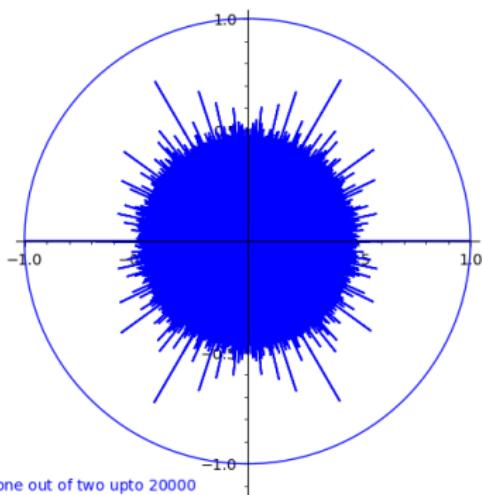
The **normalised module** in blue, the angles  $2\pi a/q$  are in green when... and in red when...



- (1) Again the “urchin” structure,
- (2) Again cusps at the  $a/q!!$

# What about randomly?

Let us take randomly one prime out of two:

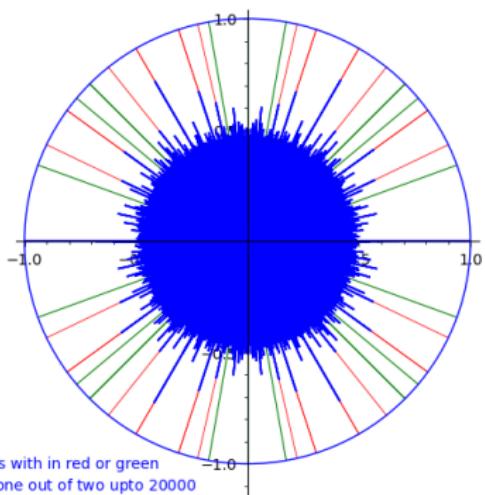


The normalised module in

We take random  $p$  one out of two upto 20000

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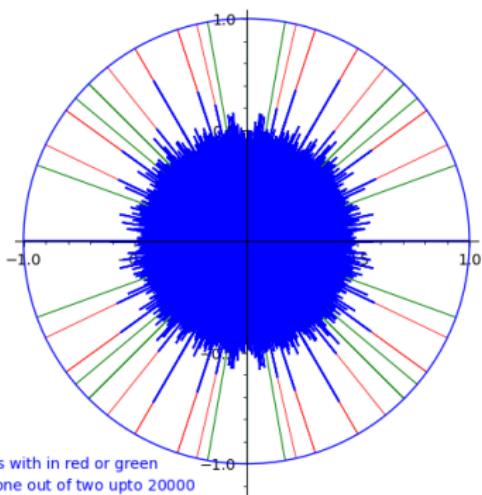
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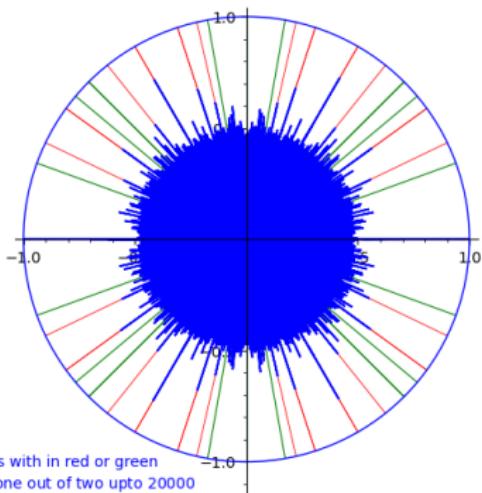


The **normalised module** in blue, the angles  $2\pi a/q$  are in green when... and in red when...

Rational angles with in red or green  
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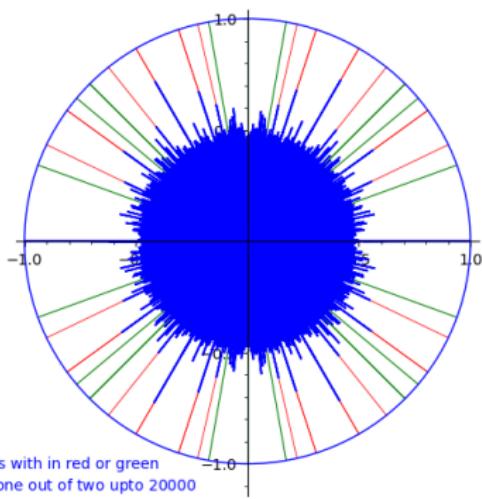
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Let us take randomly one prime out of two:

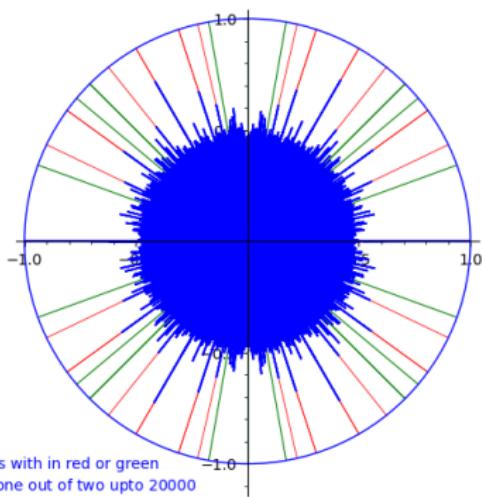


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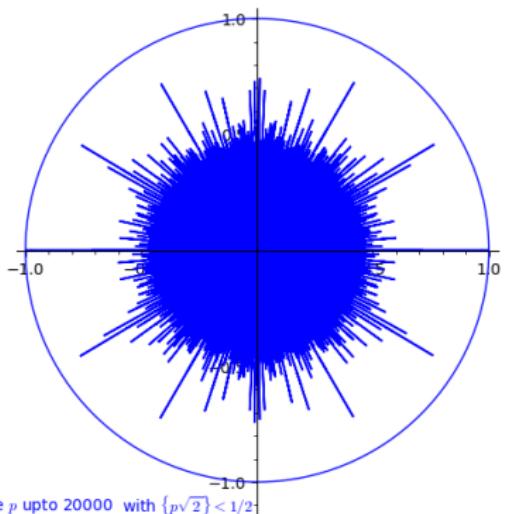
The **normalised module** in blue, the angles  $2\pi a/q$  are in green when... and in red when...



- (1) Again the “urchin” structure,
- (2) Again cusps at the  $a/q$ !!
- (3) It can be proved!

# May we get a different behaviour???

Select the primes  $p$  by  $\{p\sqrt{2}\} < 1/2$  :

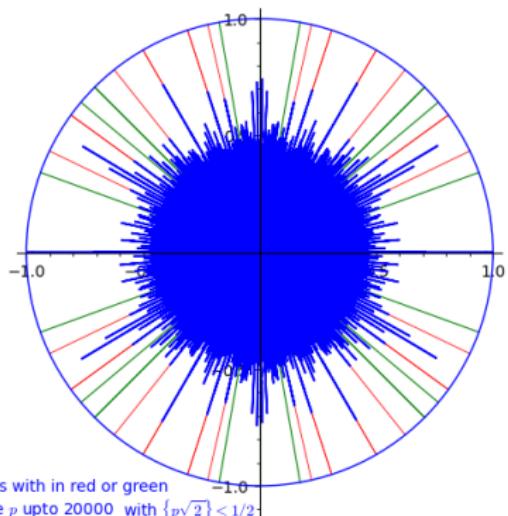


The normalised module in blue,

We take  $p$  upto 20000 with  $\{p\sqrt{2}\} < 1/2$

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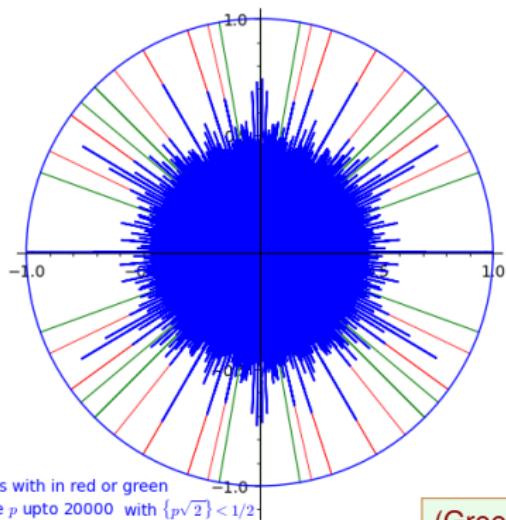
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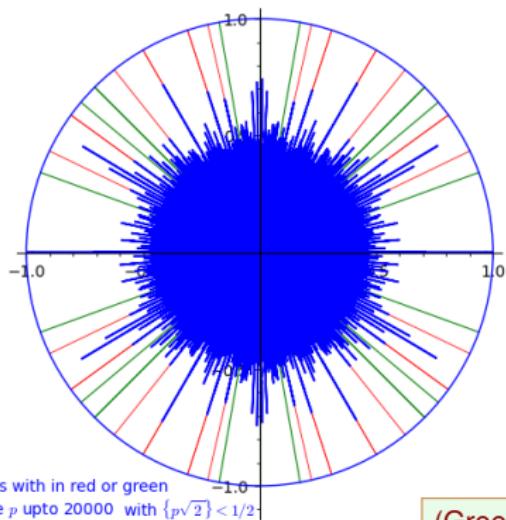
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Cusps are few:

(Green & Tao, 2006): For every subsequence of primes of density, the number of “cusps” is finite.

# May we get a different behaviour???

Select the primes  $p$  by  $\{p\sqrt{2}\} < 1/2$ :



Cusps are few:

Very few:

The normalised module in blue, the angles  $2\pi a/q$  are in green when... and in red when...

(Green & Tao, 2006): For every subsequence of primes of density, the number of “cusps” is finite.

(OR, 2023): This number of “cusps” is comparable to the number of rationals of small height.

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