

# GeneralTreesUnionFind

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## 1 General Trees & Union/Find Problem

<https://opensa-server.cs.vt.edu/ODSA/Books/CS3/html/GenTreeIntro.html>

### 1.1 Table of Contents

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### 1.2 General Trees

- many organizations are hierarchical in nature
  - military, most businesses, governments, etc.
- binary tree is not adequate to represent organizations that have many many subordinates at lower level
- to represent these hierarchy of many arbitrary number of children, we use general trees
- general trees are trees whose internal nodes have no fixed number of children
- the following figure depicts a general tree

#### 1.2.1 General Tree Definitions and Terminology

- a tree,  $T$  is a finite set of one or more nodes with one special node  $R$ , the root
- tree may have many **subtrees** rooted at some nodes that are children of  $R$ 
  - subtrees are arranged from left to right
- a node's **out degree** is the number of children for that node
- a **forest** is a collection of one or more trees
- each node (except for root) has precisely one parent
  - a tree with  $n$  nodes must have  $n - 1$  edges because each node, except the root, has one edge connecting that node to its parent

### 1.3 Implementation

- implementation of general tree is much harder compared to binary tree and is ignored

### 1.4 The Union/Find Problem

<https://opensa-server.cs.vt.edu/ODSA/Books/CS3/html/UnionFind.html>

#### 1.4.1 Find: - determine if two objects are in the same set

- MST: given two nodes, are they in the same tree?

#### 1.4.2 Union: efficiently merge two sets into one

- MST: merge two disjoint trees into one
  - Kruskal's minimum spanning tree (MST) uses Union/Find technique
  - what data structure can efficiently implement Union/Find operations?

### 1.5 Parent Pointer Trees

- a simple way to represent general tree
  - for each node store only a pointer to that node's parent
  - called **parent pointer representation**
- helps us precisely solve the Union/Find problem by offering two basic operations:
  1. determine if two objects are in the same set ( the **FIND** operation)
    - follow the series of parent pointers from each node to its respective root
    - if both nodes have same root they belong to the same tree
    - helps if the height of the trees are shorter (or shortest possible)
  2. merge two disjoint sets together (intersection of disjoint sets is empty)
    - disjoint sets are united (the **UNION** operation)
    - perhaps by making one the parent of the other
    - goal is to keep the height shorter when merging
- this 2-step process goes by the name **UNION/FIND**

### 1.6 Parent Pointer Tree Implementation

- represented using a single array
- index represents node and the element stored represents its parent
  - a single array is used to implement a collection of trees
- use path compression and weighted union techniques
  - keep the height of the joined tree to as short as possible

```
[1]: // a simplified demonstration of parent pointer tree
#include <iostream>
#include <vector>

using namespace std;
```

```
[2]: // represent the above tree using parent pointer implementation
vector<int> parent(10, -1); //initialize parent vector of 10 nodes with -1
// can also initialize parent of a node at index i to itself
```

```
[3]: parent[0] = 5;
parent[1] = 0;
parent[2] = 0;
parent[3] = 5;
parent[4] = 3;
```

```
//parent[5] = -1;
parent[6] = 5;
parent[7] = 2;
parent[8] = 5;
// parent[9] = -1
```

[3]: 5

```
[4]: // recursive function to print path in reverse order from node to its root
void printPathReverse(vector<int> &parent, int node) {
    cout << char(node+65) << " ";
    if (parent[node] == -1) return;
    printPathReverse(parent, parent[node]);
}
```

```
[5]: // print path to H
printPathReverse(parent, 7);
```

H C A F

```
[6]: // recursive function to print path in from root to the given node
void printPath(vector<int> &parent, int node) {
    if (node == -1) return;
    printPath(parent, parent[node]);
    cout << char(node+65) << " ";
}
```

```
[7]: // print path from root to to H
printPath(parent, 7);
```

F A C H

```
[8]: // recursively find root without compressing path
int find(vector<int> &parent, int node) {
    if (parent[node] == -1) return node;
    return find(parent, parent[node]);
}
```

```
[9]: // find root of H
cout << char(find(parent, 7)+65);
```

F

```
[10]: // check parents of all the nodes in path to H;
// still the same as path has not been compressed
cout << char(parent[2]+65) << endl; // C
cout << char(parent[0]+65) << endl; // A
```

A  
F

[10]: @0x10b9f7010

## 1.7 Do nodes J and H belong to the same tree?

```
[11]: // find root of J and H  
cout << char(find(parent, 7)+65) << " " << char(find(parent, 9)+65) << endl;
```

F J

```
[12]: if (find(parent, 9) == find(parent, 7))  
      cout << "Yes they belong to the same tree!";  
else  
      cout << "No they do not belong to the same tree!";
```

No they do not belong to the same tree!

## 1.8 Path Compression

- path compression technique can be used to create extremely shallow trees
- resets the parent of every node on the path from say  $X$  to  $R$  to  $R$
- keeps the cost of subsequent FIND operations very close to constant
  - $O(\log n)$  in the worst case

```
[13]: // find root of node by compressing the path  
// all the nodes in path to node will have their root changed to the root of  
int findCompression(vector<int> &parent, int node) {  
    if (parent[node] == -1) return node;  
    parent[node] = findCompression(parent, parent[node]);  
    return parent[node];  
}
```

```
[14]: // find root of H and compress path  
cout << char(findCompression(parent, 7)+65);
```

F

```
[15]: // check parent of H  
cout << char(parent[7]+65) << endl;
```

F

```
[16]: // check parent of all the nodes in path to H  
// path should be compressed making C and A's parents same as H's parents  
cout << char(parent[2]+65) << endl; // 2->C  
cout << char(parent[0]+65) << endl; // 0->A
```

F  
F

[16]: @0x10b9f7010

## 1.9 Weighted Union

- technique to join two sets by reducing their height
  - limits the total depth of the tree to  $O(\log n)$
- joins the tree with fewer nodes to the tree with more nodes
  - make the smaller tree's root point to the root of the bigger tree
- visualize weighted union here: <https://opensa-server.cs.vt.edu/ODSA/Books/CS3/html/UnionFind.html>

### 1.9.1 parent pointer tree implementation as ADT

```
[17]: #include <iostream>
#include <vector>
#include <string>
#include <algorithm>
#include <sstream>
#include <iostream>
using namespace std;
```

```
[18]: // general Parent-Pointer Tree implementation for UNION/FIND
class ParPtrTree {
private:
    vector<int> parents; // parent pointer vector
    vector<int> weights; // weights for weighted union
public:
    // constructor
    ParPtrTree(size_t size) {
        parents.resize(size); //create parents vector
        fill(parents.begin(), parents.end(), -1); // each node is its own root
        //to start
        weights.resize(size);
        fill(weights.begin(), weights.end(), 1); // set all base weights to 1
    }

    // Return the root of a given node with path compression
    // recursive algorithm that makes all ancestors of the current node
    // point to the root
    int FIND(int node) {
        if (parents[node] == -1) return node;
        parents[node] = FIND(parents[node]);
        return parents[node];
    }
}
```

```

// Merge two subtrees if they are different
void UNION(int node1, int node2) {
    int root1 = FIND(node1);
    int root2 = FIND(node2);
    // MERGE two trees
    if (root1 != root2) {
        // if weight of root1 is smaller;
        // root1 will point to root2
        if (weights[root1] < weights[root2]) {
            parents[root1] = root2;
            weights[root2] += weights[root1];
        }
        // root2 will point to root1
        else {
            parents[root2] = root1;
            weights[root1] += weights[root2];
        }
    }
}

// print representation of ParentPtrTree;
// assuming nodes are A, B, C... as shown in the figure
void print() {
    int w = 5, w1 = 15;
    cout << setw(w1) << "parent nodes:";
    for (int i=0; i < this->parents.size(); i++) {
        if (parents[i] == -1)
            cout << setw(w) << "/";
        else
            cout << setw(w) << char(this->parents[i]+65);
    }
    cout << '\n' << setw(w1) << "parent ids:";
    for (int i=0; i < this->parents.size(); i++) {
        cout << setw(w) << this->parents[i];
    }
    cout << '\n' << setw(w1) << "node ids:";
    for (int i=0; i < this->parents.size(); i++) {
        cout << setw(w) << i;
    }
}
};

```

### 1.9.2 Test ParPtrTree

- the following test code can be modified to test examples provided here: <https://opensa-server.cs.vt.edu/ODSA/Books/CS3/html/UnionFind.html>

```
[19]: // 10 disjoint sets: A-J mapped as 0-9
      // A: 0, B: 1, ... J: 9
      ParPtrTree ptr(10);
```

```
[20]: ptr.print();
```

```
parent nodes:  /   /   /   /   /   /   /   /   /   /
parent ids:   -1  -1  -1  -1  -1  -1  -1  -1  -1  -1
node ids:      0   1   2   3   4   5   6   7   8   9
```

```
[21]: // union nodes (H) and (J)
      ptr.UNION(7, 9);
      ptr.print();
```

```
parent nodes:  /   /   /   /   /   /   /   /   /   H
parent ids:   -1  -1  -1  -1  -1  -1  -1  -1  -1   7
node ids:      0   1   2   3   4   5   6   7   8   9
```

```
[22]: // union nodes (G) and (I)
      ptr.UNION(6, 8);
      ptr.print();
```

```
parent nodes:  /   /   /   /   /   /   /   /   G   H
parent ids:   -1  -1  -1  -1  -1  -1  -1  -1   6   7
node ids:      0   1   2   3   4   5   6   7   8   9
```

```
[24]: // union nodes (A) and (J)
      ptr.UNION(0, 9);
      ptr.print();
```

```
parent nodes:  H   /   /   /   /   /   /   /   G   H
parent ids:      7  -1  -1  -1  -1  -1  -1  -1   6   7
node ids:      0   1   2   3   4   5   6   7   8   9
```

```
[25]: ptr.UNION(1, 7);
      ptr.print();
```

```
parent nodes:  H   H   /   /   /   /   /   /   G   H
parent ids:      7   7  -1  -1  -1  -1  -1  -1   6   7
node ids:      0   1   2   3   4   5   6   7   8   9
```

```
[26]: ptr.UNION(6, 9);
      ptr.print();
```

```
parent nodes:  H   H   /   /   /   /   H   /   G   H
parent ids:      7   7  -1  -1  -1  -1   7  -1   6   7
node ids:      0   1   2   3   4   5   6   7   8   9
```

## 1.10 Exercises

1. Tildes - <https://open.kattis.com/problems/tildes>
  - Hint: recursively update weights of intermediate nodes similar to find
- Union-Find - <https://open.kattis.com/problems/unionfind>

[ ]: