

# Hashing

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## 1 Hashing

- <https://opendsa-server.cs.vt.edu/ODSA/Books/CS3/html/HashIntro.html>

### 1.1 Introduction

- method for storing and retrieving records from a database
- lets you search/insert/delete records based on key
- when properly implemented, these operations can be done in  $O(1)$ 
  - as opposed to  $O(\log n)$  taken by binary search or BST
- hashing principle is simple but proper implementation is difficult

### 1.2 Terminologies

#### 1.2.1 Hash Table

- records are stored in an array called **hash table**, let's say **HT**

#### 1.2.2 Hash Function

- finds the position of search key  $K$  in  $HT$  containing the record associated with  $K$
- the goal is to arrange things such that, for any  $K$  and hash function  $hash$ ,  $i = hash(K)$
- locations are usually numbered from 0 to  $N-1$
- See: <https://opendsa-server.cs.vt.edu/ODSA/Books/CS3/html/HashIntro.html> for a simple demo

### 1.3 Pros and Cons of Hashing

- not good for applications with duplicate keys or multiple records with same key
- not good for answering range queries; e.g., retrieve all records where key  $\geq$  value and  $\leq$  another\_value
- great choice for exact key matching
- suitable for both in-memory and disk-based searching (most databases use this technique besides B-tree)

### 1.4 Hash Function Principles

- when large range of values are hashed and stored into small number of slots, collision will likely occur

- collisions occurs when two records hash to the same slot/index in the table
- E.g. Birthday Paradox: if there are 23 students in a class, there's 50% probability that two will share a birthday
  - even though there are 365 days; 100% when there are 367
- **HT** collusion depends on the distribution of the keys which we typically do not have control over
- generally, pick a hash function that maps keys to slots in a way that makes each slot in the hash table have equal probability of being filled for the actual set of keys being used

## 1.5 Sample Hash Functions

### 1.5.1 1. Simple Mod Function

- hash function to store integers in a table with 10 slots

```
[2]: #include <iostream>
#include <cstdlib>
#include <ctime>
#include <chrono> //sleep thread
#include <thread>
#include <unistd.h>

using namespace std;
```

```
[3]: // 10 slots: 0-9
int intHash(int num) {
    return num%10;
}
```

```
[4]: int nums[10] = {};
```

```
[5]: int getRandomNumber() {
    srand(time(NULL)); // use current time to generate random number
    return rand()%100;
}
```

```
[8]: // get 10 random numbers and insert into nums table
for (int i=0; i<10; i++) {
    int num = getRandomNumber();
    // wait for a second so; we get new random number
    //std::this_thread::sleep_for(std::chrono::milliseconds(1000));
    usleep(1000); // sleep for microseconds
    int index = intHash(num);
    nums[index] = num;
}
```

```
[9]: // see all the numbers stored
for (int i=0; i<10; i++) {
```

```
    cout << nums[i] << " ";
}
```

30 81 2 23 74 95 16 37 88 79

### 1.5.2 2. Binning

- if keys are in the given range say 0 to 999, and we've a hash table of size 10
- simply divide the key value by 100
- so all keys in the range 0-99 → 0, 100-199 → 1, and so on
  - record with  $K$  is stored at index  $K/X$  from some value  $X$  (using integer division)
- this technique is called binning

### 1.5.3 3. Mid-Square Method

- good hash function for integer key values
- method:
  1. square the key value
    - take out the middle  $r$  bits of the result
      - \* gives the value in the range 0 to  $2^r - 1$
- e.g.: say records are 4-digit numbers in base 10
  - the goal is to hash these key values to a table of size 100 (range of 0-99)
  - equivalent to 2 digits in base 10; so  $r = 2$
  - say  $K = 4567$
  - $K^2 = 20857489$  - the highlighted middle two digits is the index
  - middle digits are affected by every digit of the original key value

## 1.6 Simple Hash Function for Strings

- sum of ASCII value of all the characters in the string

```
[ ]: #include <cstring>
#include <string>
#include <iostream>
using namespace std;
```

```
[ ]: // hash str to table of size M
int strHash(string str, int M) {
    int sum = 0;
    for (char c: str)
        sum += int(c);
    return sum%M;
}
```

```
[ ]: cout << strHash("Hello World!", 5);
```

```
[ ]: cout << strHash("John Legend", 5);
```

### 1.6.1 String Folding

- process string N bytes/chars at a time
- integer values for the N-byte chunks are added together
- convert the resulting sum to 0-M using modulus operator

```
[ ]: // use folding on a string, summed 4 bytes at a time
int strHashFold(string str, int M) {
    long long unsigned int sum = 0;
    int mul = 1;
    for (int i=0; i<str.size(); i++) {
        mul = (i%4 == 0)? 1: mul*256;
        sum += int(str[i]) * mul;
    }
    return sum%M;
}
```

```
[ ]: cout << strHashFold("Hello World!", 5);
```

```
[ ]: cout << strHashFold("John Legend", 5);
```

```
[ ]: cout << strHashFold("this is a long sentence!", 5);
```

### 1.7 Collision Resolutions

- two classes: Open Hashing and Closed Hashing
- main difference is:
  - open hashing: collisions are stored outside the table
  - close hashing: collisions are stored in the table in one of the available slots

### 1.8 Open Hashing

- technique that tries to minimize collisions
- also called separate chaining
- e.g., vector of linked list where each records with duplicate keys are pushed backed into the linked list the key is hashed into
- records within the slot's list can be ordered in several ways:
  - insertion order; key value order; frequency of access, etc.
  - ordering provides an advantage in the case of an unsuccessful search (stop early)
- open hashing is most appropriate when the hash table is kept in main memory

### 1.9 Closed Hashing

- bucket hashing is used to store all records directly in the hash table
- each record,  $R$  has a **home position**; i.e.,  $h(K)$
- if another record already occupies where  $R$  needs to be inserted, use collision resolution policy to determine another available slot in the table

### 1.9.1 Bucket Hashing

- group hash table into **buckets**
- $M$  slots of hash table are divided into  $B$  buckets; each bucket with  $M/B$  slots
- hash function assigns each record to the first slot within the one of the buckets
  - if this slot is already occupied, bucket slots are searched sequentially until an open slot is found
  - if a bucket is entirely full, the record is stored in **overflow bucket** of infinite capacity at the end of the table
  - all buckets share the same overflow bucket
  - a good implementation will use a hash function that distributes the records evenly among the buckets so that as few records as possible go into the overflow bucket
- Visualize bucket hashing demo here: <https://opensa-server.cs.vt.edu/ODSA/Books/CS3/html/BucketHash.html#id1>

### 1.9.2 Alternate Approach

- pretend there are no buckets; so use  $N$  whole slots as home position
- if the home position is full, then search through the rest of the bucket to find an empty slot
- this reduces initial collisions as each slot can be a home position rather than just the first slot in the bucket
- visualize alternate closed hashing technique here: <https://opensa-server.cs.vt.edu/ODSA/Books/CS3/html/BucketHash.html#an-alternate-approach>

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