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Hard disk drive

A hard disk drive (HDD), hard disk, hard drive, or fixed disk, [b] is an electro-mechanical data storage device that uses magnetic storage to store and retrieve digital information using one or more rigid rapidly rotating disks (platters) coated with magnetic material. The platters are paired with magnetic heads, usually arranged on a moving actuator arm, which read and write data to the platter surfaces. [2] Data is accessed in a random-access manner, meaning that individual blocks of data can be stored or retrieved in any order and not only sequentially. HDDs are a type of non-volatile storage, retaining stored data even when powered off. [3][4][5]

Introduced by IBM in 1956,^[6] HDDs became the dominant secondary storage device for general-purpose computers by the early 1960s. Continuously improved, HDDs have maintained this position into the modern era of servers and personal computers. More than 224 companies have produced HDDs historically, though after extensive industry consolidation most units are manufactured by Seagate, Toshiba, and Western Digital. HDDs dominate the volume of storage produced (exabytes per year) for servers. Though production is growing slowly, sales revenues and unit shipments are declining because solid-state drives (SSDs) have higher data-transfer rates, higher areal storage density, better reliability,^[7] and much lower latency and access times.^{[8][9][10][11]}

The revenues for SSDs, most of which use NAND, slightly exceed those for HDDs.^[12] Though SSDs have nearly 10 times higher cost per bit, they are replacing HDDs in applications where speed, power consumption, small size, and durability are important.^{[10][11]}

The primary characteristics of an HDD are its capacity and performance. Capacity is specified in unit prefixes corresponding to powers of 1000: a 1-terabyte (TB) drive has a capacity of 1,000 gigabytes (GB; where 1 gigabyte = 1 billion bytes). Typically, some of an HDD's capacity is unavailable to the user because it is used by the file system and the computer operating system, and possibly inbuilt redundancy for error correction and recovery. Also there is confusion regarding storage capacity, since capacities are stated in decimal Gigabytes (powers of 10) by HDD manufacturers, whereas some operating systems report capacities in binary Gibibytes, which results in a smaller number than advertised.

Hard disk drive



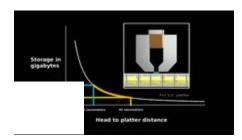
Internals of a 2.5-inch SATA hard disk drive

Date	24 December	
invented	1954 ^[a]	

Invented IBM team led byby Rey Johnson



A disassembled and labeled 1997 HDD lying atop a mirror



An overview of how HDDs work

Performance is specified by the time required to move the heads to a track or cylinder (average access time) adding the time it takes for the desired sector to move under the head (average <u>latency</u>, which is a function of the physical <u>rotational</u> speed in revolutions per minute), and finally the speed at which the data is transmitted (data rate).

The two most common form factors for modern HDDs are 3.5-inch, for desktop computers, and 2.5-inch, primarily for laptops. HDDs are connected to systems by standard interface cables such as PATA (Parallel ATA), SATA (Serial ATA), USB or SAS (Serial Attached SCSI) cables.

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History

The first production IBM hard disk drive, the 350 disk storage, shipped in 1957 as a component of the IBM 305 RAMAC system. It was approximately the size of two medium-sized refrigerators and stored five million six-bit characters (3.75 megabytes)^[13] on a stack of 50 disks.^[28]

In 1962, the IBM 350 was superseded by the IBM 1301 disk storage unit, [29] which consisted of 50 platters, each about $\frac{1}{8}$ -inch thick and 24 inches in diameter. [30] While the IBM 350 used only two read/write heads, [28] the 1301 used an array of heads, one per platter, moving as a single unit. Cylinder-mode read/write operations were supported, and the heads flew about 250 micro-inches (about 6 μ m) above the platter surface. Motion of the



Video of modern HDD operation (cover removed)

head array

Improvement of HDD characteristics over time

depended upon a binary Parameter St		Started with (1957) Developed to (2019		Improvement
adder system of hydraulic	Capacity (formatted)	3.75 megabytes ^[13]	16 terabytes ^[14]	4-million-to- one ^[15]
actuators which	Physical volume	68 cubic feet $(1.9 \text{ m}^3)^{[c][6]}$	2.1 cubic inches $(34 \text{ cm}^3)^{[16][d]}$	56,000-to- one ^[17]
assured repeatable	Weight	2,000 <u>pounds</u> (910 <u>kg</u>) ^[6]	2.2 <u>ounces</u> $(62 \ \underline{g})^{[16]}$	15,000-to- one ^[18]
cabinet was about the size of three home refrigerators		approx. 600 milliseconds ^[6]	2.5 ms to 10 ms; RW RAM dependent	about 200-to-one ^[19]
		US\$9,200 per megabyte (1961) ^[20]	US\$0.032 per <u>gigabyte</u> by 2015 ^[21]	300-million-to- one ^[22]
		2,000 bits per square inch ^[23]	1.3 terabits per square inch in 2015 ^[24]	650-million-to- one ^[25]
storing the equivalent of	Average lifespan	c. 2000 hrs <u>MTBF</u>	c. 2,500,000 hrs (~285 years) MTBF ^[26]	1250-to- one ^[27]

about 21

million eight-bit bytes. Access time was about a quarter of a second.

Also in 1962, IBM introduced the model 1311 disk drive, which was about the size of a washing machine and stored two million characters on a removable disk pack. Users could buy additional packs and interchange them as needed, much like reels of magnetic tape. Later models of removable pack drives, from IBM and others, became the norm in most computer installations and reached capacities of 300 megabytes by the early 1980s. Non-removable HDDs were called "fixed disk" drives.

Some high-performance HDDs were manufactured with one head per track (e.g. IBM 2305 in 1970) so that no time was lost physically moving the heads to a track.^[31] Known as fixed-head or head-per-track disk drives they were very expensive and are no longer in production.^[32]

In 1973, IBM introduced a new type of HDD code-named "Winchester". Its primary distinguishing feature was that the disk heads were not withdrawn completely from the stack of disk platters when the drive was powered down. Instead, the heads were allowed to "land" on a special area of the disk surface upon spin-down, "taking off" again when the disk was later powered on. This greatly reduced the cost of the head actuator mechanism, but precluded removing just the disks from the drive as was done with the disk packs of the day. Instead, the first models of "Winchester technology" drives featured a removable disk module, which included both the disk pack and the head assembly, leaving the actuator motor in the drive upon removal. Later "Winchester" drives abandoned the removable media concept and returned to non-removable platters.

Like the first removable pack drive, the first "Winchester" drives used platters 14 inches (360 mm) in diameter. A few years later, designers were exploring the possibility that physically smaller platters might offer advantages. Drives with non-removable eight-inch platters appeared, and then drives that used a $5\frac{1}{4}$ in (130 mm) form factor (a mounting width equivalent to that used by contemporary floppy disk drives). The latter were primarily intended for the then-fledgling personal computer (PC) market.

As the 1980s began, HDDs were a rare and very expensive additional feature in PCs, but by the late 1980s their cost had been reduced to the point where they were standard on all but the cheapest computers.

Most HDDs in the early 1980s were sold to PC end users as an external, add-on subsystem. The subsystem was not sold under the drive manufacturer's name but under the subsystem manufacturer's name such as Corvus Systems and Tallgrass Technologies, or under the PC system manufacturer's name such as the Apple ProFile. The IBM PC/XT in 1983 included an internal 10 MB HDD, and soon thereafter internal HDDs proliferated on personal computers.

External HDDs remained popular for much longer on the Apple Macintosh. Many Macintosh computers made between 1986 and 1998 featured a SCSI port on the back, making external expansion simple. Older compact Macintosh computers did not have user-accessible hard drive bays (indeed, the Macintosh 128K, Macintosh 512K, and Macintosh Plus did not feature a hard drive bay at all), so on those models external SCSI disks were the only reasonable option for expanding upon any internal storage.

Driven by ever increasing areal density, HDDs have continuously improved; a few highlights are listed in the table above. Market applications expanded through the 2000s, from the mainframe computers of the late 1950s to most mass storage applications including computers and consumer applications such as storage of entertainment content.

NAND performance is improving faster than HDDs, and applications for HDDs are eroding. In 2018, the largest hard drive had a capacity of 15TB while the largest capacity SSD had a capacity of 30.72TB and HDDs are not expected to reach 100TB capacities until somewhere around 2025. [33] Smaller form factors, 1.8-inches and below, were discontinued around 2010. The price of solid-state storage (NAND), represented by Moore's law, is improving faster than HDDs. NAND has a higher price elasticity of demand than HDDs, and this drives market growth. [34] During the late 2000s and 2010s, the product life cycle of HDDs entered a mature phase, and slowing sales may indicate the onset of the declining

phase.^[35] Relatively new technologies like HDMR, HAMR and MAMR, Bit patterned media and dual independent actuator arms increase the speed and capacity of HDDs and are expected to make HDDs more competitive with SSDs.

The 2011 Thailand floods damaged the manufacturing plants and impacted hard disk drive cost adversely between 2011 and 2013. [36]

Technology

Magnetic recording

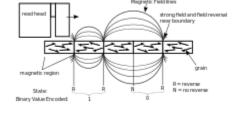
A modern HDD records data by magnetizing a thin film of ferromagnetic material^[e] on both sides of a disk. Sequential changes in the direction of magnetization represent binary data bits. The data is read from the disk by detecting the transitions in magnetization. User data is encoded using an encoding scheme, such as run-length limited encoding,^[f] which determines how the data is represented by the magnetic transitions.

A typical HDD design consists of a *spindle* that holds flat circular disks, called platters, which hold the recorded data. The platters are made from a

non-magnetic material, usually aluminum alloy, glass, or ceramic. They are coated with a shallow layer of magnetic material typically 10–20 nm in depth, with an outer layer of carbon for protection. [38][39][40] For reference, a standard piece of copy paper is 0.07–0.18 mm (70,000–180,000 nm)^[41] thick.



Destroyed hard disk, glass platter visible



Magnetic cross section & frequency modulation encoded binary data

The platters in contemporary HDDs are spun at speeds varying from 4,200 RPM in energy-efficient portable devices, to 15,000 rpm for high-performance servers. [43] The first HDDs spun at 1,200 rpm [6] and, for many years, 3,600 rpm was the norm. [44] As of December 2013, the platters in most consumer-grade HDDs spin at either 5,400 RPM or 7,200 RPM. [45]

Information is written to and read from a platter as it rotates past devices called read-and-write heads that are positioned to operate very close to the magnetic surface, with their flying height often in the range of tens of nanometers. The read-and-write head is used to detect and modify the

magnetization of the material passing immediately under it.

In modern drives, there is one head for each magnetic platter surface on the spindle, mounted on a common arm. An actuator arm (or access arm) moves the heads on an arc (roughly radially) across the platters as they spin, allowing each head to access almost the entire surface of the platter as it spins. The arm is moved using a voice coil actuator or in some older designs a stepper motor. Early hard disk drives wrote data at some constant bits per second, resulting in all tracks having the same amount of data per track but modern drives (since the 1990s) use zone bit recording – increasing the write speed from inner to outer zone and thereby storing more data per track in the outer zones.

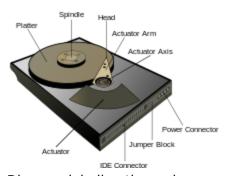


Diagram labeling the major components of a computer HDD

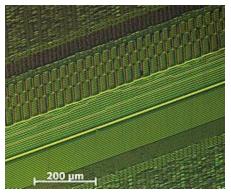
In modern drives, the small size of the magnetic regions creates the danger that their magnetic state might be lost because of thermal effects—

thermally induced magnetic instability which is commonly known as the "superparamagnetic"

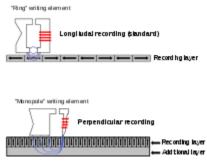
limit". To counter this, the platters are coated with

two parallel magnetic layers, separated by a three-atom layer of the non-magnetic element <u>ruthenium</u>, and the two layers are magnetized in opposite orientation, thus reinforcing each other.^[46] Another technology used to overcome thermal effects to allow greater recording densities is <u>perpendicular recording</u>, first shipped in 2005,^[47] and as of 2007 used in <u>certain HDDs.^{[48][49][50]</sub></u></u>}

In 2004, a new concept was introduced to allow further increase of the data density in magnetic recording: the use of recording media consisting of coupled soft and hard magnetic layers. So-called *exchange spring media* magnetic storage technology, also known as *exchange coupled composite media*, allows good writability due to the write-assist nature of the soft layer. However, the thermal stability is determined only by the hardest layer and not influenced by the soft layer.^{[51][52]}



Recording of single magnetisations of bits on a 200 MB HDD-platter (recording made visible using CMOS-MagView).^[42]



Longitudinal recording (standard) & perpendicular recording diagram

Components

A typical HDD has two electric motors; a spindle motor that spins the disks and an actuator (motor) that positions the read/write head assembly across the spinning disks. The disk motor has an external rotor attached to the disks; the stator windings are fixed in place. Opposite the actuator at the end of the head support arm is the read-write head; thin printed-circuit cables connect the read-write heads to amplifier electronics mounted at the pivot of the actuator. The head support arm is very light, but also stiff; in modern drives, acceleration at the head reaches 550 g.

The *actuator* is a <u>permanent magnet</u> and <u>moving coil</u> motor that swings the heads to the desired position. A metal plate supports a squat <u>neodymium-iron-boron</u> (NIB) high-flux <u>magnet</u>. Beneath this plate is the moving coil, often referred to as the <u>voice coil</u> by analogy to the coil in <u>loudspeakers</u>, which is attached to the actuator hub, and beneath that is a second NIB magnet, mounted on the bottom plate of the motor (some drives have only one magnet).

The voice coil itself is shaped rather like an arrowhead, and made of doubly coated copper magnet wire. The inner layer is insulation, and the outer is thermoplastic, which bonds the coil together after it is wound on a form, making it self-



HDD with disks and motor hub removed exposing copper colored stator coils surrounding a bearing in the center of the spindle motor. Orange stripe

along the side of the arm is thin printed-circuit cable, spindle bearing is in the center and the actuator is in the upper left

supporting. The portions of the coil along the two sides of the arrowhead (which point to actuator bearing center) then interact with the magnetic field of the fixed magnet. Current flowing radially outward along one side of the arrowhead and radially inward on the other produces the tangential force. If the magnetic field were uniform, each side would generate opposing forces that would cancel each other out. Therefore, the

Head stack with an actuator coil on the left and read/write heads on the right



Close-up of a single read-write head, showing the side facing the platter

surface of the magnet is half north pole and half south pole, with the radial dividing line in the middle, causing the two sides of the coil to see opposite magnetic fields and produce forces that add instead of canceling. Currents along the top and bottom of the coil produce radial forces that do not rotate the head.

The HDD's electronics control the movement of the actuator and the rotation of the disk, and perform reads and writes on demand from the disk controller. Feedback of the drive electronics is accomplished by means of special segments of the disk dedicated to servo feedback. These are either complete concentric circles (in the case of dedicated servo technology), or segments interspersed with real data (in the case of embedded servo technology). The servo feedback optimizes the signal to noise ratio of the GMR sensors by adjusting the voice-coil of the actuated arm. The spinning of the disk also uses a servo motor. Modern disk firmware is capable of scheduling reads and writes efficiently on the platter surfaces and remapping sectors of the media which have failed.

Error rates and handling

Modern drives make extensive use of error correction codes (ECCs), particularly Reed-Solomon error correction. These techniques store extra bits, determined by mathematical formulas, for each block of data; the extra bits allow many errors to be corrected invisibly. The extra bits themselves take up space on the HDD, but allow higher recording densities to be employed without causing uncorrectable errors, resulting in much larger storage capacity.^[53] For example, a typical 1 TB hard disk with 512-byte sectors provides additional capacity of about 93 GB for the ECC data. [54]

In the newest drives, as of 2009, [55] low-density parity-check codes (LDPC) were supplanting Reed-Solomon; LDPC codes enable performance close to the Shannon Limit and thus provide the highest storage density available. [55][56]

Typical hard disk drives attempt to "remap" the data in a physical sector that is failing to a spare physical sector provided by the drive's "spare sector pool" (also called "reserve pool"), [57] while relying on the ECC to recover stored data while the number of errors in a bad sector is still low enough. The S.M.A.R.T (Self-Monitoring, Analysis and Reporting Technology) feature counts the total number of errors in the entire HDD fixed by ECC (although not on all hard drives as the related S.M.A.R.T attributes "Hardware ECC Recovered" and "Soft ECC Correction" are not consistently supported), and the total number of performed sector remappings, as the occurrence of many such errors may predict an HDD failure.

The "No-ID Format", developed by IBM in the mid-1990s, contains information about which sectors are bad and where remapped sectors have been located.^[58]

Only a tiny fraction of the detected errors end up as not correctable. Examples of specified uncorrected bit read error rates include:

- 2013 specifications for enterprise SAS disk drives state the error rate to be one uncorrected bit read error in every 10¹⁶ bits read, ^{[59][60]}
- 2018 specifications for consumer SATA hard drives state the error rate to be one uncorrected bit read error in every 10¹⁴ bits.^{[61][62]}

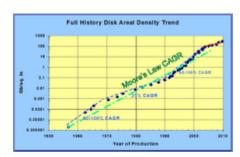
Within a given manufacturers model the uncorrected bit error rate is typically the same regardless of capacity of the drive. [59][60][61][62]

The worst type of errors are silent data corruptions which are errors undetected by the disk firmware or the host operating system; some of these errors may be caused by hard disk drive malfunctions while others originate elsewhere in the connection between the drive and the host.^[63]

Development

The rate of areal density advancement was similar to Moore's law (doubling every two years) through 2010: 60% per year during 1988–1996, 100% during 1996–2003 and 30% during 2003–2010. [64] Speaking in 1997, Gordon Moore called the increase "flabbergasting", [65] while observing later that growth cannot continue forever. [66] Price improvement decelerated to –12% per year during 2010–2017, [67] as the growth of areal density slowed. The rate of advancement for areal density slowed to 10% per year during 2010–2016, [68] and there was difficulty in migrating from perpendicular recording to newer technologies. [69]

As bit cell size decreases, more data can be put onto a single drive platter. In 2013, a production desktop 3 TB HDD (with four platters) would have had an areal density of about 500 Gbit/in² which would have amounted to



Leading-edge hard disk drive areal densities from 1956 through 2009 compared to Moore's law

a bit cell comprising about 18 magnetic grains (11 by 1.6 grains).^[70] Since the mid-2000s areal density progress has increasingly been challenged by a <u>superparamagnetic</u> trilemma involving grain size, grain magnetic strength and ability of the head to write.^[71] In order to maintain acceptable signal to noise smaller grains are required; smaller grains may self-

reverse (electrothermal instability) unless their magnetic strength is increased, but known write head materials are unable to generate a strong enough magnetic field sufficient to write the medium in the increasingly-smaller space taken by grains.

Several new magnetic storage technologies are being developed to overcome or at least abate this trilemma and thereby maintain the competitiveness of HDDs with respect to products such as flash memory–based solid-state drives (SSDs). In 2013, Seagate introduced shingled magnetic recording (SMR),^[72] intended as something of a "stopgap" technology between PMR and Seagate's intended successor heat-assisted magnetic recording (HAMR), SMR utilises overlapping tracks for increased data density, at the cost of design complexity and lower data access speeds (particularly write speeds and random access 4k speeds).^{[73][74]} By contrast, competitor Western Digital focused on developing ways to seal helium-filled drives, the aim being to reduce turbulence and friction effects, and fit more platters of a traditional design into the same enclosure space, by filling the drives with helium (which is a notoriously difficult gas to prevent escaping) instead of the usual filtered air.

Other new recording technologies that remain under development as of February 2019, include Seagate's heat-assisted magnetic recording (HAMR) drives, scheduled for commercial launch in the first half of 2019,^[75] HAMR's planned successor, bit-patterned recording (BPR),^[76] Western Digital's microwave-assisted magnetic recording (MAMR),^{[77][78]} two-dimensional magnetic recording (TDMR),^{[70][79]} and "current perpendicular to plane" giant magnetoresistance (CPP/GMR) heads.^{[80][81][82]}

The rate of areal density growth has dropped below the historical Moore's law rate of 40% per year, and the deceleration is expected to persist through at least 2020. Depending upon assumptions on feasibility and timing of these technologies, the median forecast by industry observers and analysts for 2020 and beyond for areal density growth is 20% per year with a range of 10–30%. [83][84][85][86] The achievable limit for the HAMR technology in combination with BPR and SMR may be 10 Tbit/in², [87] which would be 20 times higher than the 500 Gbit/in² represented by 2013 production desktop HDDs. Seagate began sampling HAMR HDDs in 2018. [88] They require a different architecture, with redesigned media and read/write heads, new lasers, and new near-field optical transducers. [89]

Capacity

The capacity of a hard disk drive, as reported by an operating system to the end user, is smaller than the amount stated by the manufacturer for several reasons: the operating system using some space, use of some space for data redundancy, and space use for file system structures. Also the difference in capacity reported in SI decimal prefixed units vs. binary prefixes can lead to a false impression of missing capacity.

Calculation

Modern hard disk drives appear to their host controller as a contiguous set of logical blocks, and the gross drive capacity is calculated by multiplying the number of blocks by the block size. This information is available from the manufacturer's product specification, and from the drive itself through use of operating system functions that invoke low-level drive commands.^{[90][91]}

The gross capacity of older HDDs is calculated as the product of the number of cylinders per recording zone, the number

of bytes per sector (most commonly 512), and the count of zones of the drive. Some modern SATA drives also report cylinder-head-sector (CHS) capacities, but these are not physical parameters because the reported values are constrained by historic operating system interfaces. The C/H/S scheme has been replaced by logical block addressing (LBA), a simple linear addressing scheme that locates blocks by an integer index, which starts at LBA 0 for the first block and increments thereafter.^[92] When using the C/H/S method to describe modern large drives, the number of heads is often set to 64, although a typical hard disk drive, as of 2013, has between one and four platters.

In modern HDDs, spare capacity for defect management is not included in the published capacity; however, in many early HDDs a certain number of sectors were reserved as spares, thereby reducing the capacity available to the operating system.

For RAID subsystems, data integrity and fault-tolerance requirements also reduce the realized capacity. For example, a RAID 1 array has about half the total capacity as a result of data mirroring, while a RAID 5 array with x drives loses 1/x of capacity (which equals to the capacity of a single drive) due to storing parity information. RAID subsystems are multiple drives that appear to be one drive or more drives to the user, but provide fault tolerance. Most RAID vendors use checksums to improve data integrity at the block level. Some vendors design systems using HDDs with sectors of 520 bytes to contain 512 bytes of user data and eight checksum bytes, or by using separate 512-byte sectors for the checksum data. [93]

Some systems may use hidden partitions for system recovery, reducing the capacity available to the end user.

Formatting

Data is stored on a hard drive in a series of logical blocks. Each block is delimited by markers identifying its start and end, error detecting and correcting information, and space between blocks to allow for minor timing variations. These blocks often contained 512 bytes of usable data, but other sizes have been used. As drive density increased, an initiative known as Advanced Format extended the block size to 4096 bytes of usable data, with a resulting significant reduction in the amount of disk space used for block headers, error checking data, and spacing.

The process of initializing these logical blocks on the physical disk platters is called *low-level formatting*, which is usually performed at the factory and is not normally changed in the field. [94] *High-level formatting* writes data structures used by the operating system to organize data files on the disk. This includes writing partition and file system structures into selected logical blocks. For example, some of the disk space will be used to hold a directory of disk file names and a list of logical blocks associated with a particular file.

Examples of partition mapping scheme include <u>Master boot record</u> (MBR) and <u>GUID Partition Table</u> (GPT). Examples of data structures stored on disk to retrieve files include the <u>File Allocation Table</u> (FAT) in the <u>DOS</u> file system and <u>inodes</u> in many <u>UNIX</u> file systems, as well as other operating system data structures (also known as <u>metadata</u>). As a consequence, not all the space on an HDD is available for user files, but this system overhead is usually small compared with user data.

Units

The total capacity of HDDs is given by manufacturers using SI decimal prefixes such as gigabytes (1 GB =

	ity advertised by nufacturers ^[g]	Capacity expected by some consumers ^[h]		Reported capacity	
With prefix	Bytes	Bytes	Diff.	Windows ^[h]	macOS ver 10.6+ ^[g]
100 GB	100,000,000,000	107,374,182,400	7.37%	93.1 GB	100 GB
1 <u>TB</u>	1,000,000,000,000	1,099,511,627,776	9.95%	931 GB	1,000 GB, 1,000,000 MB

Decimal and binary unit prefixes interpretation^{[95][96]}

1,000,000,000 bytes) and terabytes (1 TB = 1,000,000,000,000 bytes). This practice dates back to the early days of computing; by the 1970s, "million", "mega" and "M" were consistently used in the decimal sense for drive capacity. However, capacities of memory are quoted using a binary interpretation of the prefixes, i.e. using powers of 1024 instead of 1000.

Software reports hard disk drive or memory capacity in different forms using either decimal or binary prefixes. The Microsoft Windows family of operating systems uses the binary convention when reporting storage capacity, so an HDD offered by its manufacturer as a 1 TB drive is reported by these operating systems as a 931 GB HDD. Mac OS X 10.6 ("Snow Leopard") uses decimal convention when reporting HDD capacity. [101] The default behavior of the df command-line utility on Linux is to report the HDD capacity as a number of 1024-byte units. [102]

The difference between the decimal and binary prefix interpretation caused some consumer confusion and led to class action suits against HDD manufacturers. The plaintiffs argued that the use of decimal prefixes effectively misled consumers while the defendants denied any wrongdoing or liability, asserting that their marketing and advertising complied in all respects with the law and that no class member sustained any damages or injuries. [103][104][105]

Price evolution

HDD price per byte improved at the rate of -40% per year during 1988–1996, -51% per year during 1996–2003 and -34% per year during 2003–2010^{[21][64]}. The price improvement decelerated to -13% per year during 2011–2014, as areal density increase slowed and the $\underline{2011 \text{ Thailand floods}}$ damaged manufacturing facilities^[69] and have held at -11% per year during 2010-2017^[106].

Form factors

IBM's first hard disk drive, the IBM 350, used a stack of fifty 24-inch platters and was of a size comparable to two large refrigerators. In 1962, IBM introduced its model 1311 disk, which used six 14-inch (nominal size) platters in a removable pack and was roughly the size of a washing machine. This became a standard platter size for many years, used also by other manufacturers. [107] The IBM 2314 used platters of the same size in an eleven-high pack and introduced the "drive in a drawer" layout. sometimes called the "pizza oven", although the "drawer" was not the complete drive. Into the 1970s HDDs were offered in standalone cabinets of varying dimensions containing from one to four HDDs.

Beginning in the late 1960s drives were offered that fit entirely into a chassis that would mount in a 19-inch rack. Digital's RK05 and RL01 were early examples using single 14-inch platters in removable packs, the entire drive fitting in a 10.5-inch-high rack space (six rack units). In the mid-to-late 1980s the similarly sized Fujitsu Eagle, which used (coincidentally) 10.5-inch platters, was a popular product.

With increasing sales of microcomputers having built in floppy-disk drives (FDDs), HDDs that would fit to the FDD mountings became desirable. Starting with the Shugart Associates SA1000 HDD Form factors, initially followed those of 8-inch, 5½-inch, and 3½-inch floppy disk drives. Although referred to by these nominal sizes, the actual sizes for those three drives respectively are 9.5″, 5.75″ and 4″ wide. Because there were no smaller floppy disk drives, smaller HDD form factors developed from product offerings or industry standards. 2½-inch drives are actually 2.75″ wide.

As of 2019, 2½-inch and 3½-inch hard disks are the most popular sizes. By 2009, all manufacturers had discontinued the development of new products for the 1.3-inch, 1-inch and 0.85-inch form factors due to falling prices of flash memory, [108][109] which has no moving parts. While nominal sizes are in inches, actual dimensions are specified in millimeters.

Performance characteristics

The factors that limit the time to access the data on an HDD are mostly related to the mechanical nature of the rotating disks and moving heads, including:



8-, 5.25-, 3.5-, 2.5-, 1.8- and 1-inch HDDs, together with a ruler to show the length of platters and read-write heads



A newer 2.5-inch (63.5 mm) 6,495 MB HDD compared to an older 5.25-inch full-height 110 MB HDD

- Seek time is a measure of how long it takes the head assembly to travel to the track of the disk that contains data.
- Rotational latency is incurred because the desired <u>disk sector</u> may not be directly under the head when data transfer is requested. Average rotational latency is shown in the table, based on the statistical relation that the average latency is one-half the rotational period.
- The bit rate or data transfer rate (once the head is in the right position) creates delay which is a function of the number of blocks transferred; typically relatively small, but can be quite long with the transfer of large contiguous files.

Delay may also occur if the drive disks are stopped to save energy.

Defragmentation is a procedure used to minimize delay in retrieving data by moving related items to physically proximate areas on the disk.^[110] Some computer operating systems perform defragmentation automatically. Although automatic defragmentation is intended to reduce access delays, performance will be temporarily reduced while the procedure is in progress.^[111]

Time to access data can be improved by increasing rotational speed (thus reducing latency) or by reducing the time spent seeking. Increasing areal density increases throughput by increasing data rate and by increasing the amount of data under a set of heads, thereby potentially reducing seek activity for a given amount of data. The time to access data has not kept up with throughput increases, which themselves have not kept up with growth in bit density and storage capacity.

Latency

Data transfer rate

As of 2010, a typical 7,200-rpm desktop HDD has a sustained "disk-to-buffer" data transfer rate up to 1,030 Mbit/s. [112] This rate depends on the track location; the rate is higher for data on the outer tracks (where there are more data sectors per rotation) and lower toward the inner tracks (where there are fewer data sectors per rotation);

Rotational speed [rpm]	Average rotational latency [ms]
15,000	2
10,000	3
7,200	4.16
5,400	5.55
4,800	6.25

and is generally somewhat higher for 10,000-rpm drives. A current widely used standard for the "buffer-to-computer" interface is 3.0 Gbit/s SATA, which can send about 300 megabyte/s (10-bit encoding) from the buffer to the computer, and thus is still comfortably ahead of today's disk-to-buffer transfer rates. Data transfer rate (read/write) can be measured by writing a large file to disk using special file generator tools, then reading back the file. Transfer rate can be influenced by file system fragmentation and the layout of the files.^[110]

HDD data transfer rate depends upon the rotational speed of the platters and the data recording density. Because heat and vibration limit rotational speed, advancing density becomes the main method to improve sequential transfer rates. Higher speeds require a more powerful spindle motor, which creates more heat. While areal density advances by increasing both the number of tracks across the disk and the number of sectors per track,^[113] only the latter increases the data transfer rate for a given rpm. Since data transfer rate performance tracks only one of the two components of areal density, its performance improves at a lower rate.^[114]

Other considerations

Other performance considerations include quality-adjusted <u>price</u>, power consumption, audible noise, and both operating and non-operating shock resistance.

The Federal Reserve Board has a quality-adjusted price index for large-scale enterprise storage systems including three or more enterprise HDDs and associated controllers, racks and cables. Prices for these large-scale storage systems improved at the rate of -30% per year during 2004–2009 and -22% per year during 2009–2014. [64]

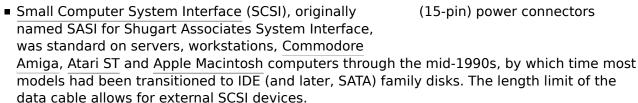
Access and interfaces

Current hard drives connect to a computer over one of several bus types, including parallel ATA, Serial ATA, Serial

Attached SCSI (SAS), and Fibre Channel. Some drives, especially external portable drives, use IEEE 1394, or USB. All of these interfaces are digital; electronics on the drive process the analog signals from the read/write heads. Current drives present a consistent interface to the rest of the computer, independent of the data encoding scheme used internally, and independent of the physical number of disks and heads within the drive.

Typically a <u>DSP</u> in the electronics inside the drive takes the raw analog voltages from the read head and uses <u>PRML</u> and <u>Reed-Solomon error correction^[115]</u> to decode the data, then sends that data out the standard interface. That DSP also watches the error rate detected by <u>error detection and correction</u>, and <u>performs bad sector remapping</u>, data collection for <u>Self-Monitoring</u>, <u>Analysis</u>, and <u>Reporting Technology</u>, and other internal tasks.

Modern interfaces connect the drive to the host interface with a single data/control cable. Each drive also has an additional power cable, usually direct to the power supply unit. Older interfaces had separate cables for data signals and for drive control signals.



- Integrated Drive Electronics (IDE), later standardized under the name AT Attachment (ATA, with the alias PATA (Parallel ATA) retroactively added upon introduction of SATA) moved the HDD controller from the interface card to the disk drive. This helped to standardize the host/controller interface, reduce the programming complexity in the host device driver, and reduced system cost and complexity. The 40-pin IDE/ATA connection transfers 16 bits of data at a time on the data cable. The data cable was originally 40-conductor, but later higher speed requirements led to an "ultra DMA" (UDMA) mode using an 80-conductor cable with additional wires to reduce cross talk at high speed.
- EIDE was an unofficial update (by Western Digital) to the original IDE standard, with the key improvement being the use of direct memory access (DMA) to transfer data between the disk and the computer without the involvement of the CPU, an improvement later adopted by the official ATA standards. By directly transferring data between memory and disk, DMA eliminates the need for the CPU to copy byte per byte, therefore allowing it to process other tasks while the data transfer occurs.
- Fibre Channel (FC) is a successor to parallel SCSI interface on enterprise market. It is a serial protocol. In disk drives usually the Fibre Channel Arbitrated Loop (FC-AL) connection topology is used. FC has much broader usage than mere disk interfaces, and it is the cornerstone of storage area networks (SANs). Recently other protocols for this field, like iSCSI and ATA over Ethernet have been developed as well. Confusingly, drives usually use *copper* twisted-pair cables for Fibre Channel, not fibre optics. The latter are traditionally reserved for larger



Inner view of a 1998 Seagate HDD that used Parallel ATA interface



2.5-inch SATA drive on top of 3.5-inch SATA drive, showing close-up of (7-pin) data and (15-pin) power connectors

devices, such as servers or disk array controllers.

- Serial Attached SCSI (SAS). The SAS is a new generation serial communication protocol for devices designed to allow for much higher speed data transfers and is compatible with SATA. SAS uses a mechanically identical data and power connector to standard 3.5-inch SATA1/SATA2 HDDs, and many server-oriented SAS RAID controllers are also capable of addressing SATA HDDs. SAS uses serial communication instead of the parallel method found in traditional SCSI devices but still uses SCSI commands.
- Serial ATA (SATA). The SATA data cable has one data pair for differential transmission of data to the device, and one pair for differential receiving from the device, just like EIA-422. That requires that data be transmitted serially. A similar differential signaling system is used in RS485, LocalTalk, USB, FireWire, and differential SCSI.
 SATA I to III are designed to be compatible with, and use, a subset of SAS commands, and compatible interfaces. Therefore a SATA hard drive can be connected to and controlled by a SAS hard drive controller (with some minor exceptions such as drives/controllers with limited compatibility). However they cannot be connected the other way round a SATA controller cannot be connected to a SAS drive.

Integrity and failure

Due to the extremely close spacing between the heads and the disk surface, HDDs are vulnerable to being damaged by a head crash – a failure of the disk in which the head scrapes across the platter surface, often grinding away the thin magnetic film and causing data loss. Head crashes can be caused by electronic failure, a sudden power failure, physical shock, contamination of the drive's internal enclosure, wear and tear, corrosion, or poorly manufactured platters and heads.

The HDD's spindle system relies on <u>air density</u> inside the <u>disk enclosure</u> to support the heads at their proper <u>flying height</u> while the disk rotates. HDDs require a certain range of air densities to operate properly. The connection to the external environment and density occurs through a small hole in the enclosure (about 0.5 mm in breadth), usually with a filter on the inside (the *breather filter*). [116] If the air density is too low, then there is not enough lift for the flying head, so the head gets too close to the disk, and there is a risk of head crashes and data loss. Specially manufactured



Close-up of an HDD head resting on a disk platter; its mirror reflection is visible on the platter surface.

sealed and pressurized disks are needed for reliable high-altitude operation, above about 3,000 m (9,800 ft).^[117] Modern disks include temperature sensors and adjust their operation to the operating environment. Breather holes can be seen on all disk drives – they usually have a sticker next to them, warning the user not to cover the holes. The air inside the operating drive is constantly moving too, being swept in motion by friction with the spinning platters. This air passes through an internal recirculation (or "recirc") filter to remove any leftover contaminants from manufacture, any particles or chemicals that may have somehow entered the enclosure, and any particles or outgassing generated internally in normal operation. Very high humidity present for extended periods of time can corrode the heads and platters.

For giant magnetoresistive (GMR) heads in particular, a minor head crash from contamination (that does not remove the

magnetic surface of the disk) still results in the head temporarily overheating, due to friction with the disk surface, and can render the data unreadable for a short period until the head temperature stabilizes (so called "thermal asperity", a problem which can partially be dealt with by proper electronic filtering of the read signal).

When the logic board of a hard disk fails, the drive can often be restored to functioning order and the data recovered by replacing the circuit board with one of an identical hard disk. In the case of read-write head faults, they can be replaced using specialized tools in a dust-free environment. If the disk platters are undamaged, they can be transferred into an identical enclosure and the data can be copied or cloned onto a new drive. In the event of disk-platter failures, disassembly and imaging of the disk platters may be required. For logical damage to file systems, a variety of tools, including fsck on UNIX-like systems and CHKDSK on Windows, can be used for data recovery. Recovery from logical damage can require file carving.

A common expectation is that hard disk drives designed and marketed for server use will fail less frequently than consumer-grade drives usually used in desktop computers. However, two independent studies by Carnegie Mellon University^[119] and Google^[120] found that the "grade" of a drive does not relate to the drive's failure rate.

A 2011 summary of research, into SSD and magnetic disk failure patterns by Tom's Hardware summarized research findings as follows:^[121]

- Mean time between failures (MTBF) does not indicate reliability; the annualized failure rate is higher and usually more relevant.
- Magnetic disks do not have a specific tendency to fail during early use, and temperature has only a minor effect; instead, failure rates steadily increase with age.
- S.M.A.R.T. warns of mechanical issues but not other issues affecting reliability, and is therefore not a reliable indicator of condition.^[122]
- Failure rates of drives sold as "enterprise" and "consumer" are "very much similar", although these drive types are customized for their different operating environments. [123][124]
- In drive arrays, one drive's failure significantly increases the short-term risk of a second drive failing.

Market segments

Desktop HDDs

They typically store between 60 GB and 8 TB and rotate at 5,400 to 10,000 rpm, and have a media transfer rate of 0.5 Gbit/s or higher (1 GB = 10^9 bytes; 1 Gbit/s = 10^9 bit/s). As of May 2019, the highest-capacity desktop HDDs stored 14 TB, $^{[14]}$ with plans to release 16 TB and 18 TB drives later in 2019. $^{[125]}$ As of 2016, the typical speed of a hard drive in an average desktop computer is 7200 RPM, whereas low-cost desktop computers may use 5900 RPM or 5400 RPM drives. For some time in the 2000s and early 2010s some desktop users also used 10k RPM drives such as Western Digital Raptor but such drives have become much rarer as of 2016 and are not commonly used now, having been replaced by NAND flash-based SSDs.

Mobile (laptop) HDDs

Smaller than their desktop and enterprise counterparts, they tend to be slower and have lower capacity. Mobile HDDs spin at 4,200 rpm, 5,200 rpm, 5,400 rpm, or 7,200 rpm, with 5,400 rpm being typical. 7,200 rpm drives tend to be more expensive and have smaller capacities, while 4,200 rpm models usually have very high storage capacities. Because of smaller platter(s), mobile HDDs generally have lower capacity than their desktop counterparts.

There are also 2.5-inch drives spinning at 10,000 rpm, which belong to the enterprise segment with no intention to be used in laptops.



Two enterprise-grade SATA 2.5-inch 10,000 rpm HDDs, factory-mounted in 3.5-inch adapter frames

Enterprise HDDs

Typically used with multiple-user computers running enterprise software. Examples are: transaction processing databases, internet infrastructure (email, webserver, e-commerce), scientific computing software, and nearline storage management software. Enterprise drives commonly operate continuously ("24/7") in demanding environments while delivering the highest possible performance without sacrificing reliability. Maximum capacity is not the primary goal, and as a result the drives are often offered in capacities that are relatively low in relation to their cost. [126] The fastest enterprise HDDs spin at 10,000 or 15,000 rpm, and can achieve sequential media transfer speeds above 1.6 Gbit/s^[127] and a sustained transfer rate up to 1 Gbit/s.[127] Drives running at 10,000 or 15,000 rpm use smaller platters to mitigate increased power requirements (as they have less air drag) and therefore generally have lower capacity than the highest capacity desktop drives. Enterprise HDDs are commonly connected through Serial Attached SCSI (SAS) or Fibre Channel (FC). Some support multiple ports, so they can be connected to a redundant host bus adapter.

Enterprise HDDs can have sector sizes larger than 512 bytes (often 520, 524, 528 or 536 bytes). The additional per-sector space can be used by hardware RAID controllers or applications for storing Data Integrity Field (DIF) or Data Integrity Extensions (DIX) data, resulting in higher reliability and prevention of silent data corruption.^[128]

Consumer electronics HDDs

They include drives embedded into digital video recorders and automotive

<u>vehicles</u>. The former are configured to provide a guaranteed streaming capacity, even in the face of read and write errors, while the latter are built to resist larger amounts of shock. They usually spin at a speed of 5400 RPM.

Manufacturers and sales

More than 200 companies have manufactured HDDs over time, but consolidations have concentrated production to just three manufacturers today: Western Digital, Seagate, and Toshiba. Production is mainly in the Pacific rim.

Worldwide revenue for disk storage declined 4% per year, from a peak of \$38 billion in 2012 to \$27 billion in 2016. Production of HDDs grew 16% per year, from 335 exabytes in 2011 to 693 exabytes in 2016. Shipments declined 7% per year during this time period, from 620 million units to 425 million. [68] In 2018, Seagate has 40% of unit shipments, Western Digital has 37% of unit shipments, while Toshiba has 23% of unit shipments. [129] The average sales price for the two largest manufacturers was \$60 per unit in 2015. [130]

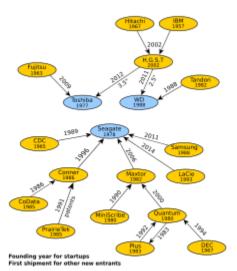


Diagram of HDD manufacturer consolidation

Competition from solid-state drives

HDDs are being superseded by SSDs in markets where their higher speed (up to 3500 megabytes per second for m.2 SSDs^[131] or 2500 megabytes per second for PCIe drives^[132]), ruggedness and lower power are more important than price since SSDs are still twice as expensive than an HDD of the same capacity. They also have a relatively short lifespan (Enterprise HDDs can be rated for up to 550TB of read and/or write endurance per year of warranty where as SSDs are usually not) and their performance drops over time, because NAND flash memory, on which most SSDs are based, degrades with every write operation. The lifespan or write endurance of SSDs is measured by either Terabytes Written (TBW) or Drive Writes Per Day (DWPD). In the case of the latter, if a 1 TB drive is rated for 1 DWPD, and the drive has a 1-year warranty, the Terabytes Written will be equal to 365. Some SSDs offer larger capacities (up to 100 TB^[133]) than the largest HDD and/or higher storage densities (100 TB and 30 TB SSDs are housed in 2.5 inch HDD cases but with the same height as a 3.5-inch HDD^{[134][135][136][137][138]}), although their cost remains prohibitive.

The maximum areal storage density for flash memory used in solid state drives (SSDs) is 2.8 Tbit/in² in laboratory demonstrations as of 2016, and the maximum for HDDs is 1.5 Tbit/in². The areal density of flash memory is doubling every two years, similar to Moore's law (40% per year) and faster than the 10–20% per year for HDDs. As of 2018, the maximum possible capacity was 16 terabytes for an HDD,^[139] and 100 terabytes for an SSD.^[24] HDDs were used in 70% of the desktop and notebook computers produced in 2016, and SSDs were used in 30%. The usage share of HDDs is declining and could drop below 50% in 2018–2019 according to one forecast, because SSDs are replacing smaller-capacity (less than one-terabyte) HDDs in desktop and notebook computers and MP3 players.^[140]

The market for silicon-based flash memory (NAND) chips, used in SSDs and other applications, is growing rapidly. Worldwide revenue grew 12% per year during 2011–2016. It rose from \$22 billion in 2011 to \$39 billion in 2016, while production grew 46% per year from 19 exabytes to 120 exabytes. [68]

External hard disk drives

External hard disk drives typically connect via <u>USB</u>; variants using USB 2.0 interface generally have slower data transfer rates when compared to internally mounted hard drives connected through SATA. <u>Plug and play</u> drive functionality offers system compatibility and features large storage options and portable design. As of March 2015, available capacities for external hard disk drives ranged from 500 GB to 10 TB.^[141]

External hard disk drives are usually available as pre-assembled integrated products, but may be also assembled by combining an external enclosure (with USB or other interface) with a separately purchased drive. They are available in 2.5-inch and 3.5-inch sizes; 2.5-inch variants are typically called *portable external drives*, while



Toshiba 1 TB 2.5" external USB 2.0 hard disk drive

3.5-inch variants are referred to as *desktop external drives*. "Portable" drives are packaged in smaller and lighter enclosures than the "desktop" drives; additionally, "portable" drives use power provided by the USB connection, while "desktop" drives require external power bricks.

Features such as biometric security or multiple interfaces (for example, Firewire) are available at a higher cost. [142] There are pre-assembled external hard disk drives that, when taken out from their enclosures, cannot be used internally in a laptop or desktop computer due to embedded USB interface on their printed circuit boards, and lack of SATA (or Parallel ATA) interfaces. [143][144]



In <u>GUIs</u>, hard disk drives are commonly symbolized with a drive icon

See also

- Automatic acoustic management
- Cleanroom
- Click of death
- Data erasure
- Drive mapping
- Error recovery control

- Hybrid drive
- Microdrive
- Network drive (file server, shared resource)
- Object storage
- Write precompensation
- Comparison of disk encryption software

Notes

- a. This is the original filing date of the application which led to US Patent 3,503,060, generally accepted as the definitive hard disk drive patent.^[1]
- b. Further inequivalent terms used to describe various hard disk drives include <u>disk drive</u>, <u>disk</u> <u>file</u>, <u>direct access storage device</u> (DASD), <u>CKD disk</u>, and <u>Winchester disk drive</u> (after the <u>IBM</u> 3340). The term "DASD" includes other devices beside disks.
- c. Comparable in size to a large side-by-side refrigerator.
- d. The 1.8-inch form factor is obsolete; sizes smaller than 2.5 inches have been replaced by flash memory.
- e. Initially gamma iron oxide particles in an epoxy binder, the recording layer in a modern HDD typically is domains of a granular Cobalt-Chrome-Platinum-based alloy physically isolated by an oxide to enable perpendicular recording.^[37]
- f. Historically a variety of run-length limited codes have been used in magnetic recording including for example, codes named <u>FM</u>, <u>MFM</u> and <u>GCR</u> which are no longer used in modern HDDs.
- g. Expressed using decimal multiples.
- h. Expressed using binary multiples.

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Cite error: A list-defined reference named "AutoMK-66" is not used in the content (see the help page).

Further reading

- Mueller, Scott (2011). *Upgrading and Repairing PCs* (20th ed.). Que. ISBN 978-0-7897-4710-5.
- Messmer, Hans-Peter (2001). *The Indispensable PC Hardware Book* (4th ed.). Addison-Wesley. ISBN 978-0-201-59616-8.

External links

- Hard Disk Drives Encyclopedia (https://smarthdd.com/help.htm)
- Video showing an opened HD working (https://www.youtube.com/watch?v=Wiy_eHdj8kg&feature=youtu.be)
- Average seek time of a computer disk (https://web.archive.org/web/20121014191631/http://faculty.plattsburgh.edu/jan.plaza/teaching/papers/seektime.html)
- Timeline: 50 Years of Hard Drives (http://www.pcworld.com/article/127105/article.html)
- HDD from inside: Tracks and Zones. How hard it can be? (http://hddscan.com/doc/HDD Tracks and Zones.html)
- Hard disk hacking (https://spritesmods.com/?art=hddhack) firmware modifications, in eight parts, going as far as booting a Linux kernel on an ordinary HDD controller board
- Hiding Data in Hard Drive's Service Areas (https://web.archive.org/web/20150226053423 /http://www.recover.co.il/SA-cover/SA-cover.pdf), February 14, 2013, by Ariel Berkman
- Rotary Acceleration Feed Forward (RAFF) Information Sheet (http://www.wdc.com/wdproducts /library/other/2579-001079.pdf), Western Digital, January 2013
- PowerChoice Technology for Hard Disk Drive Power Savings and Flexibility (http://www.seagate.com/files/docs/pdf/en-GB/whitepaper/tp608-powerchoice-tech-provides-gb.pdf), Seagate Technology, March 2010
- Shingled Magnetic Recording (SMR) (http://www.hgst.com/science-of-storage/emerging-technologies/shingled-magnetic-recording), HGST, Inc., 2015
- The Road to Helium (http://www.hgst.com/science-of-storage/emerging-technologies/helium-technology), HGST, Inc., 2015
- Research paper about perspective usage of magnetic photoconductors in magneto-optical data storage. (http://www.nature.com/articles/ncomms13406)

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