

# étale methods in the model theory of fields

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Source: "étale-open topology and the stable fields conjecture"

Johnson, Tran, Walsberg, Ye - 2009.02.19

Goal: Stable large fields are separably closed.

↳ étale maps

↳ large fields

↳ stability theory

## I. ÉTALE MAPS

MOTIVATION:  $\varphi: M \rightarrow N$   $C^\infty$  map of smooth manifolds

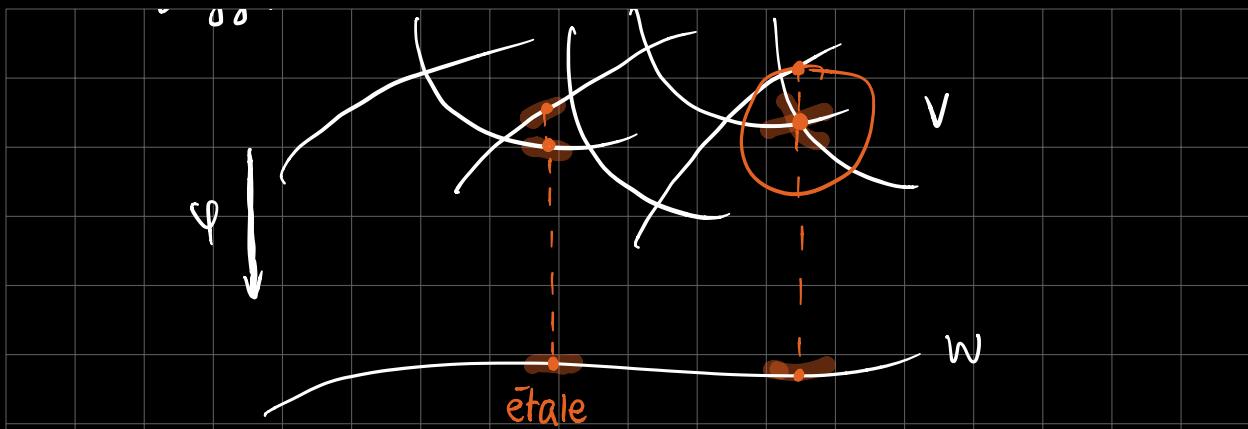
$$\varphi_{*,p}: T_p M \rightarrow T_{\varphi(p)} N$$

Implicit function theorem:

$\varphi_{*,p}$  linear iso  $\Rightarrow$  locally around  $p$   $\varphi$  is  
a diffeo

Def. a map  $\varphi: V \rightarrow W$   $k$ -varifies is étale at  $p \in V$   
if  $\varphi_{*,p}$  is a linear iso.

Suggestion:



An example:

$$k \models \text{ACF}, \text{char}(k) \neq p$$

$$q \in \mathbb{A}^1, \quad \varphi_{*,q} = \int q^{p-1} dx$$

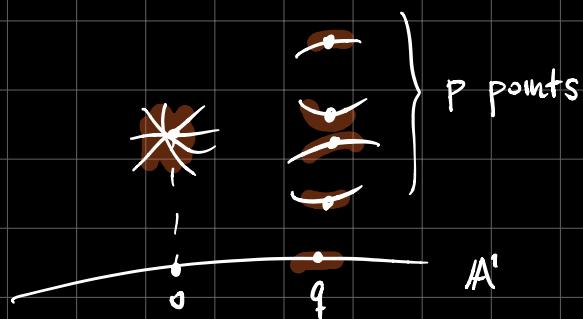
linear ISO

$$\lambda \Rightarrow$$

$$q \neq 0$$

$$\varphi: \begin{cases} \mathbb{A}^1 \rightarrow \mathbb{A}^1 \\ x \mapsto x^p \end{cases}$$

$$\rightsquigarrow \varphi|_{\mathbb{A}^1 \setminus \{0\}} \text{ étale}$$



Def.  $k$ -variety = separated  $k$ -scheme of finite type

$\varphi: V \rightarrow W$  étale if it is smooth of rel. dim. zero

Def:  $V$   $k$ -variety

an étale image<sup>o</sup> in  $V$ :  $U \subseteq V(k)$  of the form  
 $U = \varphi(X(k))$   
for  $\varphi: X \rightarrow V$  étale

Fact: finite unions & finite inters. of étale images  
are étale image.

→ étale images are basis for a topology  
on  $V(k)$   $\mathcal{E}_V$ : étale-open topology on  $V$

→ functor:  $\mathcal{E}: \underline{\text{Var}}_k \rightarrow \underline{\text{Top}}$  (system of topologies)

④ Theorem:  $k$  is not separably closed iff  $\mathcal{E}_V$  is Hausdorff  
on every q.p.  $V$   
( $k = \text{SCF}$ ,  $\mathcal{E}$  is Tanski topology)

Facts: étale maps are finite-to-one,  
local coordinates are étale.

## II. LARGE FIELDS

1996, Pop

Def: a field  $K$  is large if for every smooth  $k$ -curve  
 $C$  s.t.  $C(K) \neq \emptyset$ , then  $|C(K)| \geq w$ .

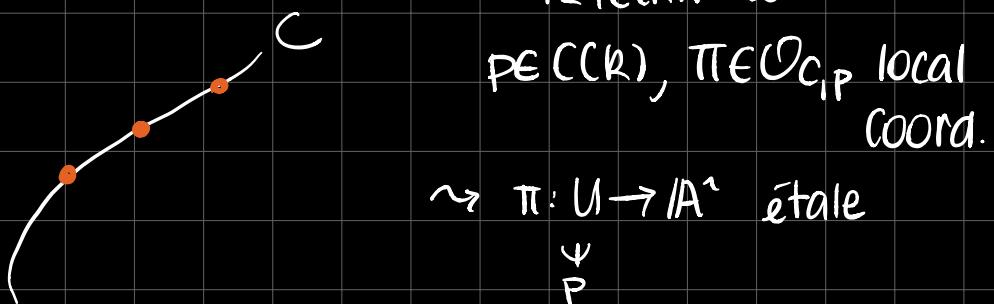
Theorem: TFAE:

1.  $k$  is large,
2.  $k \not\cong_1 k((t))$ ,
3.  $(\mathbb{A}^1(k), \mathcal{E}_{\mathbb{A}^1})$  is not discrete.

PROOF SKETCH (1 $\Leftrightarrow$ 3):

$k$  is not large  $\rightsquigarrow$   $C$  smooth  $k$ -curve

$$1 \leq |C(k)| < \omega$$



$\rightsquigarrow \pi: U \rightarrow \mathbb{A}^1$  étale  
 $\Downarrow_p$

$\rightsquigarrow \pi(U(k)) \subseteq \mathbb{A}^1(k)$  finite étale image

\*  $\mathcal{E}_{\mathbb{A}^1}$  is  $T_1$

\* affine grp acts transitively

$\rightsquigarrow \mathcal{E}_{\mathbb{A}^1}$  discrete.

$\mathcal{E}_{\mathbb{A}^1}$  discrete  $\Rightarrow \{0\}$  étale image

$\rightsquigarrow \varphi: X \rightarrow \mathbb{A}^1$  étale map,  
 $\varphi(X(k)) = \{0\}$

$\rightsquigarrow X$  smooth  $k$ -curve  
 $X(k) + \phi$  but finite.

$\rightsquigarrow k$  not large.  $\square$

**Examples:** ACF, PAC, RCF,  $(k, v)$  henselian valued fields

**Non-examples:**  $\mathbb{Q}$ , number fields, function fields

Open:  $\mathbb{Q}^{ab}$ ?

### III. STABILITY THEORY (Chernikov)

- $(G, \cdot)$  grp,  $X$  definable  
genenc if  $\exists a_1, \dots, a_n \in G$ ,  
 $G = a_1 X \cup \dots \cup a_n X$

- $k$  field,  $X$  definable  
additively genenc: genenc for  $(k, +)$   
multiplicatively genenc:  $(k^*, \cdot)$

a type is a additive genenc / multiplicative

generic if it only contains add./mult.  
generic formulae.

Theorem:  $\Psi_k$  stable

There is a unique add. generic  $P_+$ ,  
mult. generic  $P_x$ ,

$$P_+ = P_x$$

and a definable  $X$  is generic iff  
 $P_+ = P_x$  concentrates on  $X$ .

## IV. STABLE FIELDS CONJECTURE

Stable infinite fields are  
separably closed.

Scanlon:  $\mathbb{C}(t)$  stable?

Theorem: stable large fields are separably closed.

PROOF:

$\Psi_k$  stable, large, not sep. closed



$E_{\mathbb{A}^n}$  Hausdorff



$U, V$  disjoint étale images  
definable



$U$  or  $V$  is not generic  
 $k^* \cdot U$  generic  
 $a_1, \dots, a_n \in k^*$ ,

$$k^* = a_1(k^* \cdot U) \cup \dots \cup a_n(k^* \cdot U)$$

$$\{0\} = a_1 U \cap \dots \cap a_n U$$

affine transformations are étale  
 $\leadsto$  lot étale image

$\rightarrow E_{IA'}$  discrete  $\Rightarrow k$  not large.  $\blacksquare$

## V. GENERALIZATION

Theorem: virtually large fields with stable existential formulae are separably closed.

PROOF STRATEGY:

$k \subseteq L$  large of min. deg.

↪ not separably closed



$L \subseteq L'$   
finite

1)  $L' \rightarrow L'$  Artin-Schreier

2)  $(L')^x \rightarrow (L')^x$  p-th power

not surjective

$P$  image

étale image

ex. definable

↪  $\Psi$  defines cosets of  $P$

$Q$  coset of  $P$ ,  $Q \cap P = \emptyset$  defined by  $\Psi$

If  $\Psi$  stable:

LOCAL STABILITY THEORY:

(Conant, Pillay, Terry)

$P$  or  $Q$  not  $\Psi$ -generic

↪  
     $P' = P - c$ ,  $c \in D$   
     $Q$  not  $\Psi$ -generic

{0} étale image

↪  $L$  not large,  $\perp$ .

Beyond: pseudofinite fields (char  $\neq 2$ )

•  $\mathbb{F}_2$  fields (Walsburg, Ye)

↳ definable sets are  
finite unions of definable  
 $\acute{\text{e}}\text{tale}$ -open subsets of Zarski closed  
subsets

$\mathbb{F}$  DSF, char  $\mathbb{F} \neq 2$ ,  
 $\mathcal{E}_v$  not a field topology