

WORKING GROUP ON *CONTRACTING ENDOMORPHISMS OF VALUED FIELDS*

This is all taken from [2].

1. **Notation.** We consider a field of characteristic zero K with a distinguished endomorphism $\sigma \in \text{End}(K)$. We will write $\mathbb{N}[\sigma]$ for the semi-ring of formal finite sums $\lambda_n \sigma^n + \dots + \lambda_1 \sigma + \lambda_0$, where $\lambda_i \in \mathbb{N}$ for all i . If $I \in \mathbb{N}[\sigma]$ can be written as $I = \lambda_n \sigma^n + \dots + \lambda_1 \sigma + \lambda_0$, then by a^I we mean the element $\sigma^n(a)^{\lambda_n} \sigma^{n-1}(a)^{\lambda_{n-1}} \dots \sigma(a)^{\lambda_1} a^{\lambda_0}$. By $K(a^{\mathbb{N}[\sigma]})$ we mean the difference field extension obtained as $K(a, \sigma(a), \sigma^2(a), \dots)$. We will say that an element a in an extension (L, σ) of (K, σ) is *transformally algebraic* over K if there are $\{c_I \mid I \in \mathbb{N}[\sigma]\} \subseteq K$, all but finitely many zero, such that $\sum_I c_I a^I = 0$.

If (K, v) is a valued field, $a \in K$ and $\gamma \in \Gamma_{>0}$, then

$$B_\gamma(a) = \{b \in K \mid v(b - a) > \gamma\} \subseteq B_\gamma[a] = \{b \in K \mid v(b - a) \geq \gamma\}.$$

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2. **The theory FE.** Given a difference field (K, σ) , we will consider it in the language $\mathcal{L}_\sigma = \{+, \times, -, 0, 1\} \cup \{\sigma\}$ and consider the \mathcal{L}_σ -theory FE saying that (K, σ) is a difference field with $\sigma(K)^{\text{alg}} \cap K = \sigma(K)$.

3. **Transformally separable extensions.** We will say that an extension of difference fields $(K, \sigma) \subseteq (L, \sigma)$ is *transformally separable* if K is linearly disjoint from $\sigma(L)$ over $\sigma(K)$. Equivalently, if the inversive closure $K^{\text{inv}} := \bigcup_{n \geq 0} \sigma^{-n}(K)$ is linearly disjoint from L over K .

This notion is not transitive in towers: as an example, consider a tower of difference fields $K \subseteq M \subseteq L$ where K is inversive, M is not, and $L = M^{\text{inv}}$. Trivially, $K \subseteq L$ and $K \subseteq M$ are transformally separable, but $M \subseteq L$ is not.

Lemma 3.1 (Proposition 4.18). If A is a model of FE, which is algebraically closed, and B, C are transformally separable extensions of A which are linearly disjoint over A , then $B \otimes_A C$ is a model of FE.

4. **Transitivity in towers, when the base is transformally algebraic.** The idea is that since we are in characteristic zero, if $(K, \sigma) \models \text{FE}$, then $\sigma(K) \subseteq K$ is not just primary (as imposed by FE) but actually regular, and thus K is linearly disjoint from $\sigma(L)$ over $\sigma(K)$ if and only if K is *algebraically free* from $\sigma(L)$ over $\sigma(K)$ ([2] call this *almost transformally separable*).

Proposition 4.1 (Propositions 4.30 and 4.31). Let $K \subseteq M \subseteq L$ be a tower of models of FE, where $K \subseteq M$ is transformally algebraic. Then $K \subseteq L$ is transformally separable if and only if both $K \subseteq M$ and $M \subseteq L$ are.

Proof. It is enough to prove that

$$K \underset{\sigma(K)}{\overset{\text{alg}}{\downarrow}} \sigma(L) \iff K \underset{\sigma(K)}{\overset{\text{alg}}{\downarrow}} \sigma(M) \wedge M \underset{\sigma(M)}{\overset{\text{alg}}{\downarrow}} \sigma(L).$$

The \Leftarrow direction is clear. As for the \Rightarrow direction, one immediately has that K is algebraically free from $\sigma(M)$ over $\sigma(K)$. It is thus enough to show that M is algebraically free from $\sigma(L)$ over $\sigma(M)$.

Since $(K, \sigma) \subseteq (M, \sigma)$ and $(K, \sigma) \subseteq (L, \sigma)$ are both transformally separable, we can take the tensor products $M_0 := M \otimes_K K^{\text{inv}}$ and $L_0 := L \otimes_K K^{\text{inv}}$, and upon replacing K with K^{inv} , M with M_0 and L with L_0 , we can assume that we are working with an inversive K .

Now, we argue that if K is inversive and $K \subseteq M$ is transformally algebraic, then actually $\sigma(M) \subseteq M$ is an algebraic extension. Indeed, given any $a \in M$, there are $\{c_I \mid I \in \mathbb{N}[\sigma]\} \subseteq K$, all but finitely many zero, such that $\sum_I c_I a^I = 0$. Upon applying σ^{-1} enough times (since K is inversive), we reduce this equation to an algebraic equation for a over $\sigma(a), \sigma^2(a), \dots$ and K .

As $\sigma(M) \subseteq M$ is algebraic, one gets trivially that M is algebraically free from $\sigma(L)$ over $\sigma(M)$. \square

5. Simple roots. We call expression of the form $\sum_I c_I X^I$, where X is a variable and $\{c_I \mid I \in \mathbb{N}[\sigma]\} \subseteq K$, a *difference polynomial* (or *difference polynomial*, or *transformational polynomial*) over K . Alternatively, we can see a difference polynomial $p(X)$ as obtained from a multivariate polynomial $P(X_0, \dots, X_n) \in K[X_0, \dots, X_n]$ by

$$p(X) := P(X, \sigma(X), \dots, \sigma^n(X)).$$

Under this identification, we can define

$$p'(X) := \frac{\partial P}{\partial X_0}(X, \sigma(X), \dots, \sigma^n(X)).$$

We will say that $a \in L$, where L is some extension of K , is a *simple root* of $p(X)$ if $p(a) = 0$ and $p'(a) \neq 0$.

Proposition 5.1 (Proposition 4.39). We let $(K, \sigma) \models \text{FE}$ and $L = K(a^{\mathbb{N}[\sigma]})$ be a transformally algebraic extension. Then $K \subseteq L$ is transformally separable if and only if there is a difference polynomial $p(X)$ over K such that $p(a) = 0$ and $p'(a) \neq 0$.

Proof. Assume that there is $p(X)$ over K such that $p(a) = 0$ and $p'(a) \neq 0$. This means that a is algebraic over $K(\sigma(a)^{\mathbb{N}[\sigma]})$, i.e. $K\sigma(L) \subseteq L$ is algebraic. As the base is FE, it is enough to check that K and L are algebraically free over $\sigma(K)$. Thus, we compute

$$\text{trdeg}_K(L) = \text{trdeg}_K(K\sigma(L)) \leq \text{trdeg}_{\sigma(K)} \sigma(L) = \text{trdeg}_K L,$$

so we obtain $\text{trdeg}_K(K\sigma(L)) = \text{trdeg}_{\sigma(K)}\sigma(L)$, as needed.

For the reverse implication, we choose a difference polynomial $p(X) = \sum_I c_I X^I$ over K such that $p(a) = 0$. First, we may assume that $p' \neq 0$, i.e. that there is some $I = \lambda_n \sigma^n + \dots + \lambda_1 \sigma + \lambda_0 \in \mathbb{N}[\sigma]$ such that $c_I \neq 0$ and $\lambda_0 \neq 0$. Otherwise, we could apply σ^{-1} and obtain

$$\sum_I c_I^\frac{1}{\sigma} a^\frac{I}{\sigma} = 0,$$

giving a linear dependence relation for $(a^\frac{I}{\sigma})_I$ over $\sigma^{-1}(K)$. But because $K \subseteq L$ is transformally separable, this means that the $(a^\frac{I}{\sigma})_I$ had to be K -linearly dependent already, i.e. there are $(b_I)_I \subseteq K$ such that $\sum_I b_I a^\frac{I}{\sigma} = 0$. Upon iterating this process, we may assume that we land on a difference polynomial $q(X)$ with $q(a) = 0$ and $q' \neq 0$. Now, if $q'(a) = 0$, then we replace q with q' and iterate this process. Eventually, we must find a difference polynomial $r(X)$ with $r(a) = 0$ and $r'(a) \neq 0$. \square

Proposition 5.2 (Proposition 4.39). Let $K \subseteq L$ be a transformally algebraic extension of difference fields, with K a model of FE. Then the extension is transformally separable if and only if every element of L is the simple root of a difference polynomial over K .

6. The FE closure. If K is a difference field, then there is a difference field extension $K \subseteq E$ which is a model of FE and satisfies the universal property: whenever $K \subseteq F$ is a difference field extension and F is a model of FE, then E embeds uniquely in F over K . We can define $E := K^{\text{alg}} \cap K^{\text{inv}}$, and quickly verify all conditions.

7. The relative transformally separable transformally algebraic closure. This is mostly Theorem 4.46.

- (1) If $E \subseteq F$ is an extension of models of FE, then there is a transformally separable, transformally algebraic extension $\tilde{E} \models \text{FE}$ with $E \subseteq \tilde{E} \subseteq F$ that satisfies the following property: if $E \subseteq L$ is a transformally separable, transformally algebraic extension of E that is a model of FE, then any embedding of L in F over E has image contained in \tilde{E} . One has $a \in \tilde{E}$ if and only if it is the simple root of a difference polynomial over E .
- (2) If $E \subseteq \tilde{E} \subseteq F$ is a tower of models of FE as above, then F is transformally separable over \tilde{E} if and only if it is transformally separable over E .

Indeed, to prove (1) consider the set $X \subseteq F$ of simple roots of difference polynomials over E . The difference field $E' := E(X^{\mathbb{N}[\sigma]})$ is a transformally separable, transformally algebraic extension of E . As F is a model of FE, the FE closure E'' of E' sits inside of F over E' , so we have a tower of inclusions $E \subseteq X \subseteq E' \subseteq E''$. Now, we argue that E'' is a transformally separable, transformally algebraic extension of E : indeed, it is transformally algebraic and moreover, since $E \models \text{FE}$, it is enough to check that E^{inv} is algebraically free from E'' over E . However, since this is true for E' in the place of E'' , and $E' \subseteq E''$ is algebraic, this follows. This means in particular that $E'' \subseteq X$, meaning

that the tower of inclusions was a tower of equalities, X is a difference field, and we can define $\tilde{E} := X$.

8. The theory VFE. We will consider structures in the language

$$\mathcal{L}_0 = \mathcal{L}_\sigma \cup \{\mathcal{O}, \mathfrak{m}\},$$

where \mathcal{O} and \mathfrak{m} are unary predicates. We will denote by VFE the \mathcal{L}_0 -theory that says of a model (K, v, σ) that:

- (1) (K, v) is a valued field with valuation ring \mathcal{O}_v and maximal ideal \mathfrak{m}_v ,
- (2) $(K, \sigma) \models \text{FE}$,
- (3) $\sigma^{-1}(\mathcal{O}_v) = \mathcal{O}_v$ and $\sigma^{-1}(\mathfrak{m}_v) = \mathfrak{m}_v$,
- (4) σ is ω -increasing (relative to v), i.e. for any $\alpha \in \mathfrak{m}_v$ and any $n \geq 1$, $v(\sigma(\alpha)) > nv(\alpha)$.

In this language, the model companion is inversive (and it is $\widetilde{\text{VFA}}$ as in [3]). To avoid this, we expand the language to

$$\mathcal{L}_1 = \mathcal{L}_0 \cup \{R_n \mid n \in \mathbb{N}\},$$

where we interpret $R_n(x_1, \dots, x_n)$ to mean that x_1, \dots, x_n are $\sigma(K)$ -linearly independent. Note that this expansion of the language is the one already used in [1] to obtain the model companion of non-inversive difference fields.

9. Transformal henselianity. We say that $(K, v, \sigma) \models \text{VFE}$ is *transformally henselian* if for every difference polynomial $f(X)$ over \mathcal{O}_v and $a \in \mathcal{O}_v$ such that $v(f(a)) > 0$ and $v(f'(a)) = 0$, there is $b \in \mathcal{O}_v$ such that $f(b) = 0$ and $v(b - a) > 0$.

Take an extension $K \subseteq L$ of models of VFE. If $K \subseteq L$ is transformally separably transformally algebraically closed, and L is transformally henselian, then K is transformally henselian. Moreover, k is transformally separably transformally algebraically closed in l : indeed, if $\alpha \in l$ is transformally separably transformally algebraic over k , say as witnessed by some $g(X)$ over k with $g(\alpha) = 0$ and $g'(\alpha) \neq 0$. Then we can lift it to $f(X)$ over K satisfying $v(f(\alpha)) > 0$ and $v(f'(\alpha)) = 0$. By transformal henselianity, we find $a \in L$ with $f(a) = 0$ and residue α . Note that then $f'(a) \neq 0$, since otherwise we would have $g'(\alpha) = 0$. The element a is then transformally separable and transformally algebraic over K , thus $a \in K$. It then follows that $\alpha \in k$.

Remark 9.1. If $K \models \text{VFE}$ is henselian, then k is a model of FE.

We say that K is *strictly transformally henselian* if it is transformally henselian, and k is a model of SCFE.

10. The theory $\widetilde{\text{VFE}}$. We will extend VFE to $\widetilde{\text{VFE}}$ by further imposing on models K that:

- (1) K is strictly transformally henselian,
- (2) $k \models \text{SCFE}$,

- (3) $\Gamma \neq 0$ and it is *tamely transformally divisible*, i.e. for every $\eta \in \mathbb{Z}[\sigma]$ with non-zero constant term, $\eta\Gamma = \Gamma$,
- (4) if $\tau \in K[x^{\mathbb{N}[\sigma]}]$ is an additive operator with $\tau' \neq 0$, then τ is onto on K .

We let $\widetilde{\text{VFE}}_e$ be the expansion of $\widetilde{\text{VFE}}$ that further requires that K is not inversive and it has imperfection degree equal to e . (For us, $e = 0$).

Remark 10.1. Later, we will see that in fact, for models of $\widetilde{\text{VFE}}$, the residue field is a model of ACFA, because the model is dense in its inversive hull.

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11. Descent, generation and amalgamation. This is the second part of Theorem 4.46.

- (1) If E is a model of FE, then there is a canonical equivalence of categories

$$\begin{aligned} \{E \subseteq F \text{ transformally separable transformally algebraic}\} \\ \simeq \\ \{E^{\text{inv}} \subseteq L \text{ inversive transformally algebraic}\}. \end{aligned}$$

- (2) If $E \subseteq F$ is a transformally separable extension of models of FE, then there is a transformal transcendence basis $b \subseteq F$ of F over E such that $E(b^{\mathbb{N}[\sigma]}) \subseteq F$ is transformally separable, transformally algebraic (i.e., $E \subseteq F$ is *transformally separably generated*.)
- (3) If $E = E^{\text{alg}}$ is a model of FE, and $E \subseteq E_1, E_2$ are two transformally separable extensions which are models of FE, then $E_1 \downarrow_E^{\text{l.d.}} E_2$ and $E_1 \otimes_E E_2 \models \text{FE}$.

12. Transformal henselianity, again. Take an extension $K \subseteq L$ of models of VFE. If $K \subseteq L$ is transformally separably transformally algebraically closed, and L is transformally henselian, then K is transformally henselian. Moreover, k is transformally separably transformally algebraically closed in l : indeed, if $\alpha \in l$ is transformally separably transformally algebraic over k , say as witnessed by some $g(X)$ over k with $g(\alpha) = 0$ and $g'(\alpha) \neq 0$. Then we can lift it to $f(X)$ over K satisfying $v(f(\alpha)) > 0$ and $v(f'(\alpha)) = 0$. By transformal henselianity, we find $a \in L$ with $f(a) = 0$ and residue α . Note that then $f'(a) \neq 0$, since otherwise we would have $g'(\alpha) = 0$. The element a is then transformally separable and transformally algebraic over K , thus $a \in K$. It then follows that $\alpha \in k$.

Remark 12.1. If $K \models \text{VFE}$ is henselian, then k is a model of FE.

13. The transformally henselian hull. We consider an extension of models of VFE $K \subseteq L$. We will say that L is a *algebraically closed transformally henselian hull* of K if L is algebraically closed and transformally henselian, and further if $K \subseteq K' \subseteq L$ is an algebraically closed, transformally henselian subfield, then $K' = L$. We will say that K is a *strict amalgamation basis* if its inversive henselian hull has no non-trivial finite

σ -invariant Galois extension (i.e. no non-trivial difference extension which is finite and Galois as a field extension).

Lemma 13.1. If K is a model of VFE and a strict amalgamation basis, then there is up to isomorphism a unique algebraically closed and transformally henselian hull of K , transformally separable over K .

Proof. If K is inersive, then Corollary 5.4 from [3] gives a unique algebraically closed and transformally henselian hull. Then we take the relative transformally separable closure. \square

14. Amalgamation. Let K be a model of VFE, algebraically closed and transformally henselian. Let L_1, L_2 be transformally separable extensions of K and models of VFE. Then there is a model L of VFE, transformally separable over K , in which L_1 and L_2 jointly embed over K . We can take L_1 and L_2 to be linearly disjoint over K in L , and L to be transformally separable over L_1 and L_2 .

We know amalgamation for models of FE; to equip the resulting $L = L_1 \otimes_K L_2$ with an VFE structure, we work with $L_1^{\text{inv}} \otimes_{K^{\text{inv}}} L_2^{\text{inv}}$, for which the result is true by amalgamation in VFA, and then use descent.

15. The strict transformal henselization. Using descent from the same result in VFA, we obtain the following.

Proposition 15.1 (Proposition 5.20). If K is a model of VFE whose residue field k is a model of FE, and k' is a model of FE which is transformally separably transformally algebraic over k , then there is a transformally henselian model K' of VFE which is transformally separably transformally algebraic over K and induces the embedding $k \subseteq k'$, that satisfies the following property: if $K \subseteq L$ is a transformally henselian model of VFE, then every embedding of k into l lifts uniquely to an embedding of K' in L over K . The extension $K \subseteq K'$ is purely inertial, and if K is a strict amalgamation basis and k is algebraically closed, then K' is also a strict amalgamation basis.

If K is an henselian model of VFE, then an extension K' of K is a *strict transformal henselization* of K if it is strictly transformally henselian and it is the K' mentioned in the Proposition above.

16. Some further considerations on $\widetilde{\text{VFE}}$. Recall that $\widetilde{\text{VFE}}$ was the theory of strictly transformally henselian models of VFE with further

- (1) $k \models \text{SCFE}$,
- (2) $\Gamma \neq 0$ and it is *tamely transformally divisible*, i.e. for every $\eta \in \mathbb{Z}[\sigma]$ with non-zero constant term, $\eta\Gamma = \Gamma$,
- (3) if $\tau \in K[x^{\mathbb{N}[\sigma]}]$ is an additive operator with $\tau' \neq 0$, then τ is onto on K .

We write VFE and $\widetilde{\text{VFE}}_0$ if we further require σ to be non-surjective.

{5.25}

Proposition 16.1 (Proposition 5.25). Let $K \models \text{VFE}$. Then $K \models \widetilde{\text{VFE}}$ if and only if $K^{\text{inv}} \models \widetilde{\text{VFA}}$. More generally, if L is a purely transformally inseparably algebraic extension of K , then $K \models \widetilde{\text{VFE}}$ if and only if $L \models \widetilde{\text{VFE}}$. In particular, if $K \models \widetilde{\text{VFE}}$ and $E \subseteq K$ is non-trivially valued and relatively transformally separably algebraically closed, then $E \models \widetilde{\text{VFE}}$.

Proof. The residue field of K^{inv} is k^{inv} , which is then a model of ACFA. Moreover, K^{inv} is a directed union of transformally henselian models of VFE, and thus it is transformally henselian. In other words, K^{inv} is strictly transformally henselian if K is. Viceversa, K is transformally separably, transformally algebraically closed in K^{inv} . \square

We will say that a model of VFE is *deeply transformally ramified* if it is non-trivially valued and dense in its inversive hull.

Proposition 16.2 (Lemma 5.27). Models of $\widetilde{\text{VFE}}$ are deeply transformally ramified.

Proof. Let $F \models \widetilde{\text{VFE}}$. By induction, it is enough to prove that $\sigma(F) \subseteq F$ is dense. In other words, given $a \in F$ and $\gamma \in \Gamma_{>0}$, we want to show that there is $b \in F$ such that $\sigma(b) \in B_\gamma(a)$.

Case 1: $v(a) \geq 0$. Take $c \in K^\times$ with $v(c) > \max(v(a), \gamma)$. By axiom (3) above, there is $b \in K$ with $\sigma(b) - cb - a = 0$. Now, $v(b) \geq 0$, otherwise $v(\sigma(b)) < \min(v(cb), v(a))$, a contradiction. Thus, $v(\sigma(b) - a) = v(cb) \geq v(c) > \gamma$, i.e. $\sigma(b) \in B_\gamma(a)$.

Case 2: $v(a) < 0$. Then we take $c \in K^\times$ such that $v(\sigma(c)) \geq -v(a)$. Then we take $a' = a\sigma(c)$, $\gamma' = \gamma + v(\sigma(c))$, and reduce to case 1. \square

Remark 16.3. What we are really using here is axiom (3), i.e. the fact that all linear difference operators τ with $\tau' \neq 0$ are surjective; indeed, this is exactly the proof that if K is a model of SCVF, then K is dense in K^{alg} .

17. The road ahead. Our goal is proving that $\widetilde{\text{VFE}}_0$ is the model companion of VFE in the language

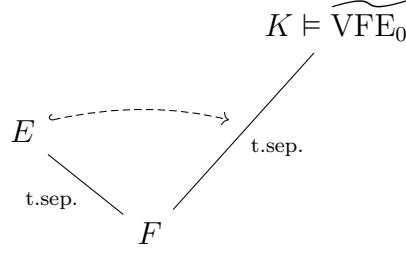
$$\mathcal{L}_2 = \mathcal{L}_0 \cup \{\lambda_n \mid n \in \mathbb{N}\},$$

where λ_n takes (a_1, \dots, a_n, b) as input and outputs 0 if a_1, \dots, a_n are $\sigma(K)$ -linearly dependent, or if $b \notin \langle a_1, \dots, a_n \rangle_{\sigma(K)}$. Otherwise, it outputs the unique $c_1, \dots, c_n \in \sigma(K)$ such that $\sum_{i=1}^n a_i c_i = b$.

We will first prove that models of $\widetilde{\text{VFE}}_0$ are existentially closed, namely the following theorem.

{main}

Theorem 17.1 (Theorem 7.3). Let K be a saturated model of $\widetilde{\text{VFE}}_0$ and let $F \subseteq K$ be a small, strictly amalgamative model of VFE, with K transformally separable over F . If we have a small model E of VFE that is transformally separable over F , then there is an F -embedding of E in K , with K transformally separable over the image.



- Strategy.* (1) First, we may replace E with a transformally separable model of $\widetilde{\text{VFE}}_0$ (Proposition 18.1).
 (2) Next, we may assume that F is relatively transformally separably algebraically closed in E (Proposition 18.2). In particular, F is a model of $\widetilde{\text{VFE}}_0$ (Proposition 16.1).
 (3) Thus, any element $a \in E \setminus F$ is generic over F in a F -definable ball or a properly infinite intersection of F -definable balls (Proposition 19.6).
 (4) By compactness, we may assume that E is transformally separably generated over F , and moreover (by repeating step 1) that $E = F(a^{\mathbb{N}[\sigma]})$. Then E is strictly amalgamative and we can apply Proposition 19.7.

□

{step-1}

18. The steps.

Proposition 18.1 (Proposition 7.2). Let E be a model of VFE . Then there is a model of $\widetilde{\text{VFE}}_0$ transformally separable over E .

Proof. Work inside a big $\mathcal{U} \models \widetilde{\text{VFA}}$ containing F .

- (1) We may assume that F is not inversive. Indeed, let $x \in \mathcal{U}$ be transformally transcendental over F and let $E = F(x^{\mathbb{N}[\sigma]})^{\text{alg}}$. Then E is a model of VFE which fails to be inversive.
- (2) We may assume that F is non-trivially valued. Indeed, let $x \in \mathcal{U}$ be transformally transcendental over F with $v(x) > 0$. Then $E = F(x^{\mathbb{N}[\sigma^{\pm 1}]})$ is a model of VFE transformally separable over F .
- (3) Now we take E to be the relative transformal separable algebraic closure of F in \mathcal{U} . Then E fails to be inversive and is non-trivially valued and transformally separably algebraically closed in \mathcal{U} , and thus it is a model of $\widetilde{\text{VFE}}_0$.

□

{step-2}

Proposition 18.2 (Proposition 5.28). Suppose $K \models \widetilde{\text{VFE}}$ is saturated. Let $F \subseteq K$ be a strict amalgamation basis, with the extension being transformally separable. If $F \subseteq E \models \text{VFE}$ is transformally separably transformally algebraic, then E embeds in K over F , with $E \subseteq K$ transformally separable.

Proof. If there is an embedding, then by (the converse of) transitivity in towers K is automatically transformally separable over E .

So we build the embedding, i.e. for any finite tuple $a \in E$ and quantifier-free formula $\varphi(X)$ in the language \mathcal{L}_0 , if $E \models \varphi(a)$, then there is $a' \in K$ with $K \models \varphi(a')$. We may switch K with the relative transformally separable closure of F in K , thus $F \subseteq K$ is transformally separable and transformally algebraic.

For simplicity, $|a| = 1$. Now, since a is transformally separable transformally algebraic over F , there is a difference polynomial $f(X) \in F[X]_\sigma$ such that $f(a) = 0$ and $f'(a) \neq 0$. Switching $\varphi(X)$ with

$$\varphi(X) \wedge (f(X) = 0) \wedge (f'(X) \neq 0),$$

we may assume all solutions of $\varphi(X)$ are simple roots of $f(X)$. As F is a strict amalgamation basis, the theory of models of $\widetilde{\text{VFA}}$ over F is complete ([3, Proposition 4.29]). Since K^{inv} is one such model, by model completeness $\varphi(X)$ has a solution in K^{inv} . But all simple roots in K^{inv} of difference polynomials over K are elements of K already, hence $\varphi(X)$ has a solution in K . \square

19. Genericity in a ball. We work in a large enough saturated model $\mathcal{U} \models \widetilde{\text{VFA}}$.

Definition 19.1. We work in the three-sorted language of valued fields enlarged with a symbol for the action of σ . We denote by VF the valued field sort, and say that a definable set $B \subseteq \text{VF}^1$ is a *closed ball* if $B = a + \gamma\mathcal{O}$ for some $a \in \text{VF}$, $\gamma \in \Gamma$. We say it is an *open ball* if $B = \text{VF}$ or $B = a + \gamma\mathfrak{m}$ as before. By a (possibly degenerate) *ball* we mean a ball as above, or a singleton. A ∞ -*definable ball* is the intersection of a (small) chain of balls, regarded as a partial quantifier free type.

We work over an algebraically closed, transformally henselian model F of VFE , so that the theory of \mathcal{U} is independent of the choice of \mathcal{U} (and thus definability of balls over F is intrinsic, independent of \mathcal{U}). A ball $B \subseteq \text{VF}$ over F is *split* if there are $a \in F$ and $\gamma \in \Gamma_F$ such that $B = a + \gamma\mathcal{O}$.

Proposition 19.2 (Proposition 6.2). Let $F \models \widetilde{\text{VFE}}$. Then all F -definable balls are split over F .

Proof. Let $E = F^{\text{inv}}$. Then every element of E is F -definable and thus E -definable balls and F -definable balls are the same. As $F \subseteq E$ is dense, being split is also independent of working over F or E . We can thus work over E , and use model completeness to argue that all E -definable balls split over E , since $E \models \widetilde{\text{VFA}}$. \square

Lemma 19.3. Let F be an algebraically closed, transformally henselian model of VFE . Let $f(X)$ be a difference polynomial over F and $B \subseteq \text{VF}$ be a closed ball, definable over F ; then the function $\theta_f: x \mapsto v(f(x))$ has a minimum on B .

Proof. By descent, we may assume that F is a model of $\widetilde{\text{VFA}}$. Then B is split and thus it is affinely isomorphic to \mathcal{O} . We may then assume $B = \mathcal{O}$. If $f = 0$, we are done; then

we assume $f \neq 0$. Upon rescaling (which doesn't change the thesis), we may assume that f has coefficients in \mathcal{O}_F , at least one of them with valuation zero: then $v(f(a)) \geq 0$ for all $a \in \mathcal{O}$. \square

Let B be a F -definable ball or a properly infinite intersection. If B is closed, we call $a \in B$ *generic in B over F* if for every difference polynomial $f(X)$ over F , $v(f(a))$ is the minimum of θ_f on B . If B is an open ball or a properly infinite intersection, then a is *generic in B over F* if whenever $C \subsetneq B$ is a F -definable closed ball, $a \notin C$.

Proposition 19.4 (Proposition 6.5). Let F be an algebraically closed, transformally henselian model of VFE. Let B be an F -definable ball or a properly infinite intersection. Then being generic in B over F gives a complete, consistent, quantifier free type over F . A realization of this type is transformally transcendental over F , and if a is a generic of B over F , then $E = F(a^{\mathbb{N}^{[\sigma]}})$ is a strictly amalgamative model of VFE.

Remark 19.5. Given any $a \in E \setminus F$, a is not F -definable, i.e. it is not in any degenerate F -definable subball: the only elements definable over F but not in F are elements of the inversive hull of F , but $F \subseteq E$ is transformally separable. Moreover, k_F is inversive because F is deeply transformally ramified, so no element of k_E is transformally algebraic over k_F .

{step-3}

Proposition 19.6 (Proposition 6.6). Let F be a model of $\widetilde{\text{VFE}}$. Let E be a model of VFE which is transformally separable over F . If k_F is transformally separably algebraically closed in k_E , then F is transformally separably algebraically closed in E . Every element $a \in E \setminus F$ is generic over F in an F -definable ball or a properly infinite intersection.

Proof. Let \mathcal{B} be the family of all F -definable balls containing a , and $B = \bigcap_{b \in \mathcal{B}} b$. If \mathcal{B} has an open maximal element under reverse inclusion, or no minimal element under reverse inclusion, then a is generic over F in B . We may therefore assume that B is closed, so it is split and we can assume $B = \mathcal{O}$. Now, a must be transformally transcendental over k_F , i.e. a is generic in F over \mathcal{O} . \square

{step-4}

Proposition 19.7 (Proposition 7.1). Let K be a saturated model of $\widetilde{\text{VFE}}_0$. Let $F \subseteq K$ be a small model of VFE which is algebraically closed and transformally henselian, with K transformally separable over F . Let B be a F -definable ball or a properly infinite intersection of F -definable balls. Pick a generic over F in B and let $E = F(a^{\mathbb{N}^{[\sigma]}})$. Then, there is an embedding of E in K over F , with K transformally separable over the image.

20. A few consequences of Theorem 17.1.

Theorem 20.1 (Theorem 7.8). Let $K \models \widetilde{\text{VFE}}_0$ and $F \subseteq K$ a model of VFE. Then $F = \text{acl}(F)$ if and only if it is algebraically closed, transformally henselian, and closed under transformal λ -functions of K .

Theorem 20.2 (Theorem 7.11). The residue field and value group are stably embedded and fully orthogonal in models of $\widetilde{\text{VFE}}_0$, with induced structure of pure difference field and pure ordered transformal module.

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