

# Henselian rationality

Aim (CIMM):  $(K, v)$  tame and  $(F, v) / (K, v)$  immediate function field with  $\text{trdeg}_K F = 1$ , then  $F^n = E^n$  for some  $K \subseteq E$  rational function field.

Proof structure:

- 5.2 - rank 1 + sep-alg. closed  $\leftarrow$  TODAY [well, not quite!]
- 5.3 - rank 1
- 5.6 - finite rank
- 5.7 - arbitrary rank

**Proposition 5.2:** Every immediate sep. function field  $(F/K, v)$  of transcendence degree 1 over a sep.-alg. closed field  $K$  of rank 1 is henselian rational.

Pf: Note:  $v$  not trivial on  $K$  as o/w  $VK = VF = \{0\} \Rightarrow v$  trivial on  $F$

$$K \subseteq F \Rightarrow KV = FV = F \Rightarrow F = K$$

Take  $x \in F$  s.t.h.  $F/K(x)$  is separable

(exists, because  $F/K$  is separable!)

As  $(K(x)) / (K, v)$  is immediate

$$\Rightarrow K(x)v = KV \cap F, v|K(x) = v|K = \mathbb{Q}$$

If  $F \not\subseteq K(x)^n$ , then

$F \cdot K(x)^n / K(x)$  is separable, and a

tower of Galois ext. of degree  $p$

(By Ostrowski:  $F \cdot K(x)^n = K(x)^n$  finite)

$$\Rightarrow [F \cdot K(X)^n : K(X)^n] = p^n$$

p-groups are solvable  $\Rightarrow$  get tower of degree-p ext.)

$$\hookrightarrow K(X)^n \stackrel{\text{deg } p}{\subseteq} M_1 \subseteq \dots \subseteq M_n = F \cdot K(X)^n \subseteq F^n$$

**Lemma 4.8:**  $(K, v)$  sep. tame,  $\text{char}(K) = p > 0$ ,  $\text{rk } 1$ .

If  $(K(X)|K, v)$  is immediate,  $E|K(X)^n$  Galois of degree  $p$ , then there is  $\eta \in E$  s.t.  $E = K(\eta)$

**Lemma 4.9:**  $(K, v)$  alg. closed,  $\text{char}(K) = 0$ ,  $\text{rk } 1$ .

If  $(K(X)|K, v)$  is immediate,  $E|K(X)^n$  Galois of degree  $p = \text{char}(K_v) > 0$ , then there is  $\eta \in E$  s.t.  $E = K(\eta)^n$

**PROOFS LATER**

$$\hookrightarrow M_1 = K(\nu_1)^n, M_2 = (K(\nu_1)^n)(\nu_2)^n = K(\nu_1, \nu_2)^n = ?$$

$$\begin{aligned} \text{Induction} \Rightarrow F \cdot K(X)^n &= K(y)^n \text{ for some } y \in F^n \\ \Rightarrow F &\subseteq K(y)^n \end{aligned}$$

① If  $y \in K^c$  a completion of  $(K, v)$   
 $(K, v)$  rank-1  $\xrightarrow{\text{Hensel's Lemma}} (K^c, v^c)$  henselian  
 wlog  $\Rightarrow K(y) \subseteq K^c \Rightarrow F \subseteq K^c$

**Theorem 2.3:**  $(K, v)$  hens.,  $(F|K, v)$  separable func. field.  
 If  $F \subseteq K^c$ , then  $(F|K, v)$  is henselian rational, more precisely,  $F \subseteq K(T)^n$  for every separating trans. base  $T$  of  $F|K$ .

Pf.:  $T$  sep. tr. base, i.e.  $F/K(T)$  sep. alg.  
 $\Rightarrow F \cdot K(T)^n \cap K(T)^n$  is a sep.-alg.  
 subext. of  $K^c / K(T)^n$ . 2

$K(T)^n \subseteq K^c$  rel. sep. alg. closed  
 (as  $K(T)^n$  is henselian)  
 $\Rightarrow F \cdot K(T)^n = K(T)^n.$  □<sub>2.3</sub>

$\hookrightarrow F \subseteq K(x)^n \Rightarrow F^n = K(x)^n.$

② If  $y \notin K^c$

Kuhlmann-Vlahu, Theorem 11.1:

$(K, v)$  valued, rank 1,  $(F/K)$  immediate f.f.,  
 $\text{trdeg}_K F = 1.$  Suppose  $F^n = K(x)^n$  for  
 some  $x \in F^n \setminus K^c$  of transcendental  
 approx. type.

Then, there is  $y \in F$  s.t.  $F^n = K(y)^n.$

PROOF: Needs an entire session

$\hookrightarrow F^n = K(y)^n$  for an appropriate  $y \in F.$

modulo 4.8, 4.9. + [KV] □<sub>5.2</sub>

We say that the approximation type of  
 $x$  over  $K$  is transcendental if for every  
 polynomial  $h(y) \in K[y]$  there is some  
 $\alpha \in v(x - K)$  s.t. for all  $c \in K$  with  
 $v(x - c) \geq \alpha$  the value  $v(h(c))$  is fixed.

# § Galois extensions of degree p of $K(x)^n$

Next stop:

**Lemma 4.8:**  $(K, V)$  dep. tame,  $\text{char}(K) = p > 0$ ,  $\text{rk } 1$ .  
If  $(K(x)|K, V)$  is immediate,  $E|K(x)^n$  Galois  
of degree  $p$ , then there is  $\eta \in E$  s.t.  $E = K(\eta)^n$ .

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**Lemma 4.9:**  $(K, V)$  alg. closed,  $\text{char}(K) = 0$ ,  $\text{rk } 1$ .  
If  $(K(x)|K, V)$  is immediate,  $E|K(x)^n$  Galois  
of degree  $p = \text{char}(KV) > 0$ , then there is  $\eta \in E$   
s.t.  $E = K(\eta)^n$

**Setup:**  $(K(x)|K, V)$  immediate & transc.  
We want to understand  $E|K(x)^n$  Galois  
with  $[E : K(x)^n] = p = \text{char}(KV) > 0$ .

①  $\text{char}(K) = p > 0$ .

$\Rightarrow E|K(x)^n$  is Artin-Schreier ext.,  
i.e. generated by  $\eta \in E$  s.t.  
 $\eta^p - \eta = \alpha \in K(x)^n$ .

Define  $p(x) = x^p - x$  (additive poly)  
 $\Rightarrow$  can replace  $\alpha$  by any  
be  $\alpha + p(K(x)^n)$   
without changing the ext.

Hensel's Lemma  $\Rightarrow M_{K(x)^n} \subseteq p(K(x)^n)$

as  $X^p - X$  has a simple root in  $K_v$ .  
 $\Rightarrow$  can replace  $\alpha$  by any  
be  $\alpha + m_{K(X)^h}$   
without changing the ext.

②  $\text{char}(K) = 0 \neq p = \text{char}(K_v)$

If  $\eta_p \in K$   $\Rightarrow E/K(X)^h$  is Kummer,  
prim. pth  
root of  
unity  $\nearrow$  i.e. generated by  $\eta \in E$  s.th.  
 $\eta^p = \alpha \in K(X)^h$

(can replace  $\alpha$  by any  $b \in \alpha \cdot (K(X)^h)^p$ )

Q: What else can we say about  $\alpha$ ?

Lemma 4.1: If  $(K_v)$  has  $rk-1$  and  
 $(K(X) \mid K_v)$  is immediate, then  $K[X]$   
is dense in  $K(X)^h$

Pf: Any  $rk-1$  field is dense in its hen-  
selization  $\Rightarrow$  STS  $K[X]$  dense in  $K(X)$   
Take  $f(X) \in K[X]$ ,  $\gamma \in vK$   
NTS: ex.  $g(x) \in K[X]$  s.t.  $v(g(x) - f(x)) > \gamma$

$K(X) \mid K$  immediate  $\Rightarrow$  we may  
choose  $c \in K$  s.t.  $v(c) = v(f(x))$  and  
 $\text{res}(f(x)/c) = 1$

$$\Rightarrow v\left(1 - \frac{f(x)}{c}\right) > 0$$

$$\text{rk } K = 1 \Rightarrow \text{ex. } j \in \mathbb{N} \text{ s.t. } j \cdot v(1 - \frac{f(x)}{c}) > g + v(c)$$

for  $h = 1 - \frac{f(x)}{c} \in K[X]$ , we get

$$v\left(\frac{1}{f(x)} - c^{-1} \sum_{i=0}^{j-1} h^i\right) = v\left(\frac{1}{c(1-h)} - c^{-1} \sum_{i=0}^{j-1} h^i\right)$$

$\text{geom. series} = v(c^{-1} h^j) > g \quad \square$

① Assume  $(K, v)$  has rk 1,  $\text{char}(K) = p > 0$ .  
 By 4.1 for every  $a \in K(X)^n$  there is  
 $f(x) \in K[X]$  s.t.  $a - f(x) \in M_{K(X)^n}$ .

$$\stackrel{\text{wlog}}{\Rightarrow} E = K(X)^n / (\mathfrak{a}^p), \text{ with } \mathfrak{a}^p - \mathfrak{a} = f(x) \in K[X]$$

② Assume  $(K, v)$  has rk 1,  $\text{char}(K) = 0$   
 If  $K$  is closed under  $p$ th roots:  
 $a/K$  is immediate  
 $\Rightarrow v(a) \in vK \Rightarrow \text{ex. } d_1 \in K \text{ s.t. } v(d_1^p a) = 0$   
 $\& \text{res}(d_1^p \cdot a) \in Kv \Rightarrow \text{ex. } d_2 \in K \text{ s.t. } \text{res}(d_1^p d_2^p a) = 1$

For  $d = d_1 \cdot d_2 \in K$ , we get

$v((dM)^p - 1) > 0$  &  $dM$  generates  
 $E / K(X)^n$  with  $(dM)^p = 1 + a' \in K(X)^n$   
 with  $v(a') > 0$ .

By 4.1  $\Rightarrow \text{ex. } f(x) \in K[X] \text{ s.t. }$

$$\sqrt{f(x) - \alpha'} > \frac{p}{p-1} v(p)$$

$$\Rightarrow v(f(x)) > 0 \quad \& \quad 1 + f(x) \in 1 + M_{K(X)}$$

(Claim (Lemma 3.1 a))

Any root of  $x^p - (1 + f(x))$  generates the same ext. as  $\alpha'$

Pf: We show two subclaims:

(A)  $(F, v)$  hens.,  $\beta_p \in F$ ,  $\text{char}(F) = 0$

Then  $v(b) > \frac{p}{p-1} v(p) \Rightarrow 1 + b \in (F^\times)^p$

$\Leftrightarrow 1 + f(x) - \alpha' \in ((K(x)^h)^x)^p$

Pf: Consider  $x^p - (1+b)$  and take

$\rightarrow c \in \bar{\mathbb{Q}}$ ,  $c^{p-1} = -p$

setting  $X = CY + 1$ , we obtain

$$f(Y) = (CY + 1)^p - (1 + b) \quad \text{Nok: } C^p = -pc$$

$$= C^p \left( Y^p + \sum_{i=1}^{p-1} \binom{p}{i} C^{i-p} Y^i - Y - \frac{b}{C^p} \right)$$

$$\text{with } g(Y) = \sum_{i=2}^{p-1} \binom{p}{i} C^{i-p} Y^i$$

$$\text{If } v(b) > \frac{p}{p-1} v(p) = v(C^p)$$

$\Rightarrow \text{Res}(\frac{1}{C^p} \cdot f) = Y^p - Y$  splits over  $K_v$

Hensel  $\Rightarrow f(Y)$  splits over  $K$

$\Rightarrow X^p - (1+b)$  has a root.  $\square$  A

(B)  $(F, v)$  hens.,  $\beta_p \in F$ ,  $1+b, 1+c \in 1+M_v$ .

Then  $1+b \in (1+b+c) \cdot (K^\times)^p$

If  $v(c) > \frac{p}{p-1} v(p)$ .

$\Leftrightarrow 1 + f(x) \in (1 + f(x) - \underbrace{f(x) + \alpha'}_{v(\dots) > \frac{p}{p-1} v(p)}) ((K(x)^h)^x)^p$ ,

so claim follows.

Pf:  $1+b \in (1+b+c)(K^\times)^p$

$$\Leftrightarrow \frac{1+b+c}{1+b} = 1 + \frac{c}{1+b} \in (K^\times)^p$$

This follows from A  
 [as  $v(b) > 0$ ,  $v(c/1+b) = v(c)$ ]  $\blacksquare$  B

**Claim  $\Rightarrow$**  wma  $E = K(X)^n (n)$   
 with  $n^p = 1 + f(X) \in K(X)$   
 1-unit.

Next: zoom in on  $f(x)$ .

ENOUGH IS ENOUGH! ☺ tbc... 2022