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# FIRST-ORDER LOGIC

CMSC 421: CHAPTER 8 AND SECTION 10.3

## Pros and cons of propositional logic

- + Propositional logic is **declarative**: pieces of syntax correspond to facts
- + Propositional logic allows partial/disjunctive/negated information (unlike most data structures and databases)
- + Propositional logic is **compositional**:  
meaning of  $B_{1,1} \wedge P_{1,2}$  is derived from meaning of  $B_{1,1}$  and of  $P_{1,2}$
- + Meaning in propositional logic is **context-independent**  
(unlike natural language, where meaning depends on context)
- Propositional logic has very limited expressive power  
E.g., cannot say “pits cause breezes in adjacent squares”  
except by writing one sentence for each square

Need a logic that's more expressive

⇒ First Order Logic (FOL)

# Outline

- ◇ Syntax and semantics of FOL
- ◇ Examples of sentences
- ◇ Wumpus world in FOL

## Basic entities in FOL

Whereas propositional logic assumes world contains **facts**, first-order logic (like natural language) assumes the world contains

- *Objects*: people, houses, numbers, theories, Ronald McDonald, colors, baseball games, wars, centuries . . .
- *Relations*: red, round, bogus, prime, multistoried . . . ,  
is the brother of, is bigger than, is inside, is part of, has color, occurred after, owns, comes between, . . .
- *Functions*: father of, best friend, third inning of, one more than, end of . . .

## Syntax of FOL: Basic elements

Constant symbols	<i>KingJohn, 2, UniversityofMaryland, ...</i>
Predicate symbols	<i>Brother, &gt;, ...</i>
Function symbols	<i>Sqrt, LeftLegOf, ...</i>
Variable symbols	<i>x, y, a, b, ...</i>
Connectives	$\wedge \vee \neg \Rightarrow \Leftrightarrow$
Equality	$=$
Quantifiers	$\forall \exists$
Punctuation	$( ) ,$

# Atomic sentences

Atomic sentence = *predicate*(*term*<sub>1</sub>, ..., *term*<sub>*n*</sub>)  
or *term*<sub>1</sub> = *term*<sub>2</sub>

Term = *function*(*term*<sub>1</sub>, ..., *term*<sub>*n*</sub>)  
or *constant* or *variable*

E.g.,

*Brother*(*KingJohn*, *RichardTheLionheart*)

> (*Length*(*LeftLegOf*(*Richard*)), *Length*(*LeftLegOf*(*KingJohn*)))

# Complex sentences

Complex sentences are made from atomic sentences using connectives

$$\neg S, \quad S_1 \wedge S_2, \quad S_1 \vee S_2, \quad S_1 \Rightarrow S_2, \quad S_1 \Leftrightarrow S_2$$

E.g.,

$$\textit{Sibling}(\textit{KingJohn}, \textit{Richard}) \Rightarrow \textit{Sibling}(\textit{Richard}, \textit{KingJohn})$$

$$>(1, 2) \vee \leq(1, 2)$$

$$>(1, 2) \wedge \neg >(1, 2)$$

# Truth in first-order logic

In FOL, a *model* is a pair  $M = (D, I)$ , where  $D$  is a *domain* and  $I$  is an *interpretation*

$D$  contains  $\geq 1$  objects (*domain elements*)  
and relations among them

$I$  specifies referents for

constant symbols  $\rightarrow$  objects in the domain

predicate symbols  $\rightarrow$  relations over objects in the domain

function symbols  $\rightarrow$  functional relations over objects in the domain

Recall that mathematically, a *relation* is a set of ordered  $n$ -tuples

An atomic sentence  $predicate(term_1, \dots, term_n)$  is true in  $M$   
iff the *objects* referred to by  $term_1, \dots, term_n$   
are in the *relation* referred to by  $predicate$

Like before, we say  $M$  is a *model of* a sentence  $\alpha$  if  $\alpha$  is true in  $M$



# Truth example

Suppose  $M = (D, I)$ , where  
 $D$  is the domain shown at right,  
and  $I$  is an interpretation in which

*Richard*  $\rightarrow$

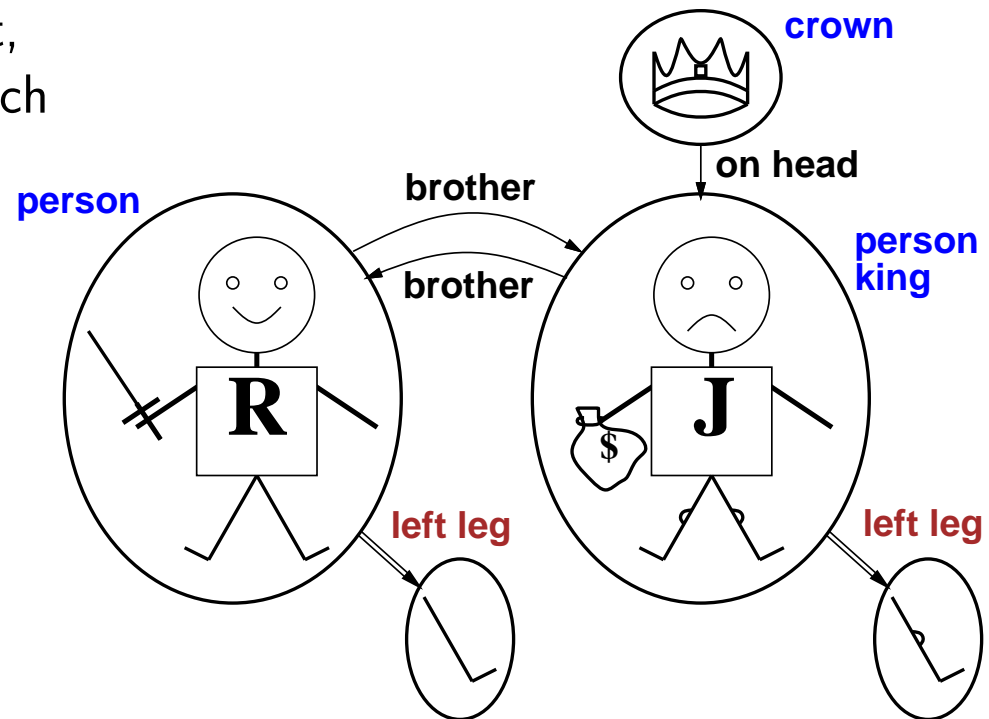
Richard the Lionheart

*John*  $\rightarrow$

the evil King John

*Brother*  $\rightarrow$

the brotherhood relation



$Brother(Richard, John)$  is true in  $M$  iff the pair consisting of  
Richard the Lionheart and the evil King John  
is in the brotherhood relation

# Models for FOL: Lots!

Entailment in propositional logic can be computed by enumerating the possible worlds (i.e., model checking)

How to enumerate possible worlds in FOL?

For each number of domain elements  $n$  from 1 to  $\infty$

For each  $k$ -ary predicate  $P_k$  in the vocabulary

For each possible  $k$ -ary relation on  $n$  objects

For each constant symbol  $C$  in the vocabulary

For each choice of referent for  $C$  from  $n$  objects ...

Computing entailment in this way is not easy!

# Universal quantification

$\forall \langle variables \rangle \langle sentence \rangle$

Everyone at the University of Maryland is smart:

$\forall x \text{ } At(x, UMD) \Rightarrow Smart(x)$

$\forall x \text{ } P$  is true in a model  $m$  iff  $P$  is true with  $x$  being **each** possible object in the model

Roughly equivalent to the **conjunction** of **instantiations** of  $P$

$$\begin{aligned} & (At(KingJohn, UMD) \Rightarrow Smart(KingJohn)) \\ \wedge & (At(Richard, UMD) \Rightarrow Smart(Richard)) \\ \wedge & (At(UMD, UMD) \Rightarrow Smart(UMD)) \\ \wedge & \dots \end{aligned}$$

## A common mistake to avoid

Common mistake with  $\forall$ :

using  $\wedge$  when you meant to use  $\Rightarrow$

$$\forall x \text{ } At(x, UMD) \wedge Smart(x)$$

means “Everyone is at UMD and everyone is smart”

Probably you meant to say

$$\forall x \text{ } At(x, UMD) \Rightarrow Smart(x)$$

Everyone at UMD is smart.

# Existential quantification

$\exists \langle variables \rangle \langle sentence \rangle$

Someone at UMD is smart:

$\exists x \text{ At}(x, UMD) \wedge \text{Smart}(x)$

$\exists x P$  is true in a model  $m$  iff  $P$  is true with  $x$  being **some** possible object in the model

Roughly equivalent to the **disjunction** of **instantiations** of  $P$

$$\begin{aligned} & (\text{At}(\text{KingJohn}, UMD) \wedge \text{Smart}(\text{KingJohn})) \\ \vee & (\text{At}(\text{Richard}, UMD) \wedge \text{Smart}(\text{Richard})) \\ \vee & (\text{At}(UMD, UMD) \wedge \text{Smart}(UMD)) \\ \vee & \dots \end{aligned}$$

## Another common mistake to avoid

A common mistake with  $\exists$ :

using  $\Rightarrow$  when you meant to use  $\wedge$ :

$$\exists x \text{ } At(x, UMD) \Rightarrow Smart(x)$$

This is equivalent to

$$\exists x \neg At(x, UMD) \vee Smart(x)$$

There's someone who either is smart or isn't at UMD.

That's true if there's anyone who is not at UMD.

Probably you meant to say this instead:

$$\exists x \text{ } At(x, UMD) \wedge Smart(x)$$

There's someone who is at UMD and is smart.

# Properties of quantifiers

$\forall x \forall y$  is the same as  $\forall y \forall x$

$\exists x \exists y$  is the same as  $\exists y \exists x$

$\exists x \forall y$  is **not** the same as  $\forall y \exists x$

$\exists x \forall y \text{ Loves}(x, y)$

“There is a person who loves everyone in the world”

$\forall y \exists x \text{ Loves}(x, y)$

“Everyone in the world is loved by at least one person”

**Quantifier duality:** each can be expressed using the other

$\forall x \text{ Likes}(x, \text{IceCream}) \quad \neg \exists x \neg \text{Likes}(x, \text{IceCream})$

$\exists x \text{ Likes}(x, \text{Broccoli}) \quad \neg \forall x \neg \text{Likes}(x, \text{Broccoli})$

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One's mother is one's female parent

$$\forall x, y \text{ } Mother(x, y) \Leftrightarrow (Female(x) \wedge Parent(x, y))$$

A first cousin is a child of a parent's sibling

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One's mother is one's female parent

$$\forall x, y \text{ } Mother(x, y) \Leftrightarrow (Female(x) \wedge Parent(x, y))$$

A first cousin is a child of a parent's sibling

$$\begin{aligned} \forall x, y \text{ } FirstCousin(x, y) \Leftrightarrow \\ \exists px, py \text{ } Parent(px, x) \wedge Sibling(px, py) \wedge Parent(py, y) \end{aligned}$$

# Equality

$term_1 = term_2$  is true under a given interpretation  
if and only if  $term_1$  and  $term_2$  refer to the same object

E.g.,  $1 = 2$  and  $\forall x \neg (Sqrt(x), Sqrt(x)) = x$  are satisfiable  
(true under at least one interpretation)

$2 = 2$  is valid (true in every interpretation)

E.g., definition of *Sibling* in terms of *Parent*:

$$\forall x, y \text{ Sibling}(x, y) \Leftrightarrow [\neg(x = y) \wedge \exists m, f \neg(m = f) \wedge \\ \text{Parent}(m, x) \wedge \text{Parent}(f, x) \wedge \text{Parent}(m, y) \wedge \text{Parent}(f, y)]$$

# Substitutions

*Substitution*: a set of variable bindings

Consider a substitution  $\sigma$  that assigns  $x = 1, y = f(z)$

A logician would write  $\sigma = \{1/x, f(z)/y\}$

Russell and Norvig write  $\sigma = \{x/1, y/f(z)\}$

To try to avoid ambiguity, I'll try to write  $\sigma = \{x \leftarrow 1, y \leftarrow f(z)\}$

Given a sentence  $S$  and a substitution  $\sigma$ ,

$S\sigma$  (postfix notation) is the result of applying  $\sigma$  to  $S$

$S = \text{GreaterThan}(x, y)$

$\sigma = \{x \leftarrow 1, y \leftarrow f(z)\}$

$S\sigma = \text{GreaterThan}(1, f(z))$

The substitutions are performed simultaneously like **let**,  
not sequentially like **let\***

$S = \text{GreaterThan}(x, y)$

$\sigma = \{x \leftarrow 2, y \leftarrow g(x)\}$

$S\sigma = \text{GreaterThan}(2, g(x))$

## Interacting with FOL KBs

Suppose an agent has an FOL KB of axioms for how the Wumpus world works

A model of the KB consists of a domain and interpretation (e.g., an actual Wumpus World) that makes every sentence in the KB true

Suppose we have a way to do inference in the FOL KB (see next chapter)

Suppose the agent perceives a smell and a breeze (but no glitter) at  $t = 5$ :

$Tell(KB, Percept([Smell, Breeze, None], 5))$

$Ask(KB, \exists a \text{ Action}(a, 5))$

I.e., does  $KB$  entail any particular actions at  $t = 5$ ?

Answer:  $Yes, \{a \leftarrow Shoot\} \leftarrow substitution$

$Ask(KB, S)$  returns some/all  $\sigma$  such that  $KB \models S\sigma$

# Knowledge base for the wumpus world

## “Perception”

$\forall b, g, t \text{ Percept}([Smell, b, g], t) \Rightarrow Smelled(t)$

$\forall s, b, t \text{ Percept}([s, b, Glitter], t) \Rightarrow AtGold(t)$

Reflex:  $\forall t \text{ AtGold}(t) \Rightarrow \text{Action}(Grab, t)$

Reflex with internal state: do we have the gold already?

$\forall t \text{ AtGold}(t) \wedge \neg Holding(Gold, t) \Rightarrow \text{Action}(Grab, t)$

$Holding(Gold, t)$  cannot be observed

$\Rightarrow$  keeping track of change is essential



## Deducing hidden properties

Properties of locations:

$$\forall x, t \text{ } At(Agent, x, t) \wedge Smelled(t) \Rightarrow Smelly(x)$$

$$\forall x, t \text{ } At(Agent, x, t) \wedge Breeze(t) \Rightarrow Breezy(x)$$

Squares are breezy near a pit:

*Diagnostic* rule—infer cause from effect

$$\forall y \text{ } Breezy(y) \Rightarrow \exists x \text{ } Pit(x) \wedge Adjacent(x, y)$$

*Causal* rule—infer effect from cause

$$\forall x, y \text{ } Pit(x) \wedge Adjacent(x, y) \Rightarrow Breezy(y)$$

Neither of these is complete—e.g., the causal rule doesn't say whether squares far away from pits can be breezy

*Definition* for the *Breezy* predicate:

$$\forall y \text{ } Breezy(y) \Leftrightarrow [\exists x \text{ } Pit(x) \wedge Adjacent(x, y)]$$

# Keeping track of change

Facts hold in *situations*, rather than eternally

E.g., truth of *Holding(Gold)* depends on whether we've grabbed the gold

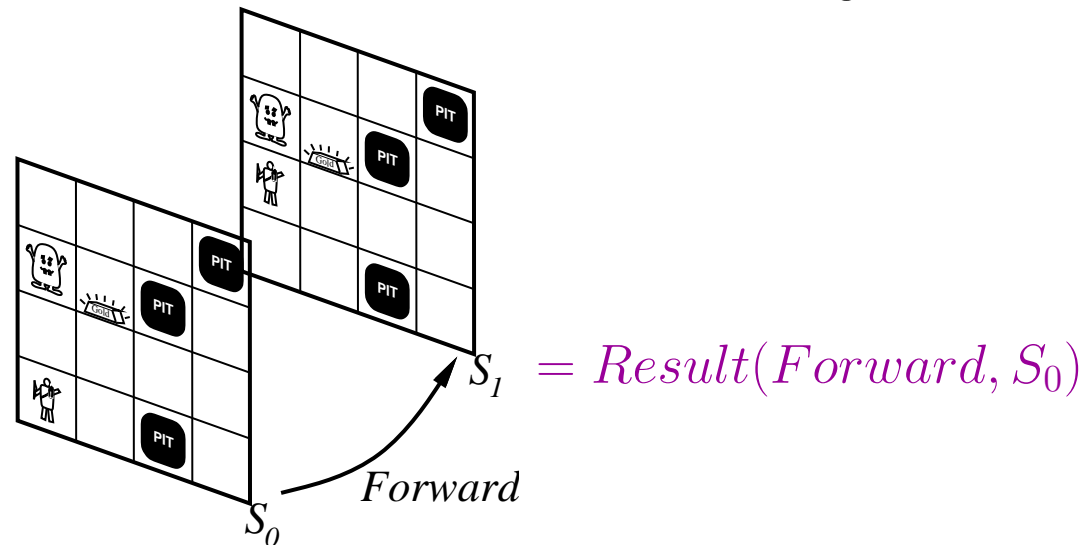
**Situation calculus** is one way to represent change in FOL:

Adds a situation argument to each non-eternal predicate

e.g., *Holding(Gold, s)* to mean we're holding the gold in situation *s*

Situations are connected by the *Result* function

*Result(a, s)* is the situation that results from doing *a* in *s*



## Describing actions I

“Effect” axiom—describe changes due to action

$$\forall s \text{ } AtGold(s) \Rightarrow Holding(Gold, Result(Grab, s))$$

**Is this the only effect?**

# Describing actions I

“Effect” axiom—describe changes due to action

$$\forall s \text{ } AtGold(s) \Rightarrow Holding(Gold, Result(Grab, s))$$

“Frame” axiom—describe **non-changes** due to action

$$\forall s \text{ } HaveArrow(s) \Rightarrow HaveArrow(Result(Grab, s))$$

*Frame problem*: find a way to handle non-change

*Qualification problem*: true descriptions of real actions require endless caveats—what if gold is slippery or nailed down or . . .

*Ramification problem*: real actions have many secondary consequences—what about the dust on the gold, wear and tear on gloves, . . .

The Wumpus world is so simple that it's easy to specify all the qualifications and ramifications of each action

But we still need to handle the frame problem

# Summary

First-order logic:

- objects and relations are semantic primitives
- syntax: constants, functions, predicates, equality, quantifiers

Increased expressive power: sufficient to define wumpus world

Situation calculus:

- conventions for describing actions and change in FOL
- can formulate and solve planning problems (inefficiently)  
as inference on a situation calculus KB