

DATA STRUCTURES AND ALGORITHMS SWE2001

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- Precise way of Analysing Algorithms is needed to classify some good algorithms.
- Mainly two factors for judging algorithms that have a more direct relationship to performance.
 - Running time of algorithm and data structure operations.
 - Space utilization for each operation of an algorithm.
- Running time is a good measurement.
- The performance of a program means the amount of computer memory and time needed to run a program.
- There are two approaches to determine performance of a program.
 - Analytical Method
 - Experimental Method

- Performance Evaluation can be done in two phases
 - Priori Estimate or Apriori Analysis or Perform Analysis
 - Posteriori Testing or Empirical Method or Performance Measurement
- Priori Estimate:
 - Estimating time and space utilization of an algorithm during execution time.
 - @ algorithmic level.
 - Uses Analytical approach to calculate time and space requirement of the algorithm.
 - This Analytical Model uses RAM (Random Access Machine).

- **Primitive Operations:** Set of High Level operations, which are independent from programming language and available in Pseudo-Code.
- These Primitive operations corresponds to low-level instructions with an execution time that depends on hardware and software environment only.
- Some of the Primitive Operations are:
 - Assigning a value to a variable
 - Calling a method
 - Performing a arithmetic Operation
 - Comparing two numbers
 - Indexing into an array
 - Following an object reference
 - Returning from a method
- Counting primitive operation → computational model → Random Access Model

- **Performance Measurement:**

- Measuring or calculating the Time and Space requirement of the algorithm while executing on ideal machine.
- It gives accurate results.
- The results produced by this approach varies based on the hardware and software environment of Ideal machine.
- So it is very difficult to accepted the those results.

- **Time Complexity:**

- The Amount of Computer Time it needs to run to Completion.

- **Space Complexity:**

- The Amount of Computer Memory it needs to run to Completion.

- The time **$T(P)$** taken by Program-P = **Compile Time** + **Run Time**.
- Compiled Program can be run many times without re-compilation.
- Compile time does not depend on the instance Characteristics.
→ **neglect**
- Run time can be denoted as **t_P** (Instance Characteristics)
- Factors depends on **t_P** are not know in advance → **estimate**
- Once we knew the compiler characteristics → addition, multiplications etc those would used to made Program-P.
- So the Expression is :
- **$t_P = c_a\text{ADD}(n) + c_s\text{SUB}(n) + c_m\text{MUL}(n) + \dots$**
 - $n \rightarrow$ Instance Characteristics; c_a, c_s, c_m are denotes the time needed for Addition, Subtraction, Multiplication ...
 - ADD, SUB, MUL are functions, whose values are number of additions, subtraction, multiplications etc

- Obtaining and following such formula for estimating Time Complexity is Difficult
- **Another Approach: Step Count** → counts only the **program steps**.
- **Program Step:** It is loosely defined as a syntactically or semantically meaningful segment of a program that has an execution time, which is independent of instance characteristics.
- **Example:** $\text{return}(a+b+b*c+d/(a-b*c))$ → treated as a single Program step only.
- Two ways to determine the number of steps needed by program to solve a particular problem instance.
 - Global Count Variable Method
 - Tabular Method

- count is new global variable with initial values as 0.
- Statements to increment count by appropriate amount are introduced into the program.
- Each time the statement in original program is executed, count is incremented by one.
- This count variable resembles the Program steps, which specify time complexity.
- Example: Time Complexity Calculation using Global Count Variable for Summation of n-numbers.

- Time Complexity Calculation using Global Count Variable for Summation of n-numbers

Algorithm Sum(a, n)

```
1: {  
2:  $sum \leftarrow 0$ ;  
3: for  $i \leftarrow 1$  to  $n$  do  
4:    $sum \leftarrow sum + a[i]$ ;  
5: end for  
6: }
```

- Time Complexity Calculation using Global Count Variable for Summation of n-numbers

Algorithm Sum(a,n)

```
1: {  
2:  $sum \leftarrow 0$ ;  
3:  $count \leftarrow count + 1$   
4: for  $i \leftarrow 1$  to  $n$  do  
5:    $count \leftarrow count + 1$   
6:    $sum \leftarrow sum + a[i]$ ;  
7:    $count \leftarrow count + 1$   
8: end for  
9:  $count \leftarrow count + 1$   
10: }
```

- Time Complexity Calculation using Global Count Variable for Summation of n-numbers

Algorithm Sum(a,n)

```
1: {  
2: sum  $\leftarrow$  0;  
3: for i  $\leftarrow$  1 to n do  
4:   sum  $\leftarrow$  sum + a[i];  
5:   count  $\leftarrow$  count + 2      // repeats n-time  
6: end for  
7: count  $\leftarrow$  count + 2  
8: }
```

- So the Time Complexity is $T(\text{Sum}) = t_{\text{Sum}}(n) = 2n+2$

- Algorithm for Matrix Addition \rightarrow Time Complexity \rightarrow Global Count method

Algorithm MatrixAdd(a,b,n,m)

```
1: {  
2:  for  $i \leftarrow 1$  to  $n$  do  
3:    for  $j \leftarrow 1$  to  $m$  do  
4:       $c[i][j] \leftarrow a[i][j] + b[i][j];$   
5:    end for  
6:  end for  
7: }
```

- Algorithm for Matrix Addition \rightarrow Time Complexity \rightarrow Global Count method

Algorithm MatrixAdd(a,b,n,m)

```
1: {  
2:  for  $i \leftarrow 1$  to  $n$  do  
3:     $count \leftarrow count + 1$            //  $i^{th}$  for loop  
4:    for  $j \leftarrow 1$  to  $m$  do  
5:       $count \leftarrow count + 1$        //  $j^{th}$  for loop  
6:       $c[i][j] \leftarrow a[i][j] + b[i][j];$   
7:       $count \leftarrow count + 1$        // for addition logic  
8:    end for  
9:     $count \leftarrow count + 1$          //  $j^{th}$  for loop termination condition  
10: end for  
11:  $count \leftarrow count + 1$            //  $i^{th}$  for loop termination condition  
12: }
```

- Algorithm for Matrix Addition \rightarrow Time Complexity

Algorithm MatrixAdd(a,b,n,m)

```
1: {  
2:  for  $i \leftarrow 1$  to  $n$  do  
3:     $count \leftarrow count + 2$     //  $i^{th}$  loop true and  $j^{th}$  loop false cases  
4:    for  $j \leftarrow 1$  to  $m$  do  
5:       $count \leftarrow count + 2$     //  $j^{th}$  loop true cases and logic  
6:       $c[i][j] \leftarrow a[i][j] + b[i][j];$   
7:    end for  
8:  end for  
9:   $count \leftarrow count + 1$     //  $i^{th}$  loop false cases  
10: }
```

- Time Complexity using Count variable is $2mn+2m+1$

- Summation using Recursion \rightarrow Time Complexity \rightarrow Count variable method

Algorithm RSum(a,n)

```
1: {  
2: if ( $n \leq 0$ ) then  
3:   return 0;  
4: else  
5:   return RSum(a,n-1)+a(n);  
6: end if  
7: }
```

- Summation using Recursion → Time Complexity → Count variable method

Algorithm RSum(a,n)

```
1: {  
2:  count ← count + 1    //for the IF conditional  
3:  if ( $n \leq 0$ ) then  
4:    count ← count + 1    //for the return  
5:    return 0;  
6:  else  
7:    count ← count + 1 // for the addition, invocation and return  
8:    return RSum(a,n-1)+a(n);  
9:  end if  
10: }
```

- Assume $t_{RSum}(n)$ is the runtime of the above Recursive Algorithm.
- if $n = 0$ then $t_{RSum}(0)$ is 2.
- if $n \geq 0$ then count increments by 2 and time taken to execute invocation $RSum()$ from else part.
- Uses Recursive Formula to counting step count for recursive algorithms
- The Recursive Formulae are called as Recurrence Relations.
- They are many ways to solve the recurrence relations.
- One of the method to solve recurrence relations is **Substitution Method**
- **Substitution Method:**
 - Repeated Substitutions for each occurrence of the function t_{RSum} on the right side until all the occurrences disappear.

- The Recurrence Formula for the above Algorithm is

$$t_{RSum}(n-1) = \begin{cases} 2 & \text{if } n = 0 \\ 2 + t_{RSum}(n-1) & \text{if } n > 0 \end{cases} \quad (1)$$

$$\begin{aligned} t_{RSum}(n) &= 2 + t_{RSum}(n-1) \\ &= 2 + 2 + t_{RSum}(n-2) \\ &= 4 + t_{RSum}(n-2) \\ &= 4 + 2 + t_{RSum}(n-3) \\ &= 6 + t_{RSum}(n-3) \\ &\vdots \\ &= n(2) + t_{RSum}(0) \\ &= 2n + 2, \quad n \geq 0 \end{aligned}$$

(2)

• Tabular Method

- The second Method to determine step count is Tabular Method.
- It depends on Steps for Execution(s/e).
- The s/e of a statement is the amount by which the count changes as a result of the execution of that statement.
- Total Number of times that s/e is taken place in algorithm.
- These two quantities gives the Step Counts of the Algorithm

Statement	s/e	Frequency	Total Steps
Algorithm Sum(a,n)	0	-	0
{	0	-	0
$s \leftarrow 0;$	1	1	1
for $i \leftarrow 1$ to n do	1	$n+1$	$n+1$
$s \leftarrow s + a[i];$	1	n	n
return $s;$	1	1	1
}	0	-	0
Total			$2n+3$

- Tabular Method for Recursive Algorithm.

Statement	s/e	frequency		total steps	
		$n = 0$	$n > 0$	$n = 0$	$n > 0$
1 Algorithm RSum(a, n)	0	—	—	0	0
2 {					
3 if ($n \leq 0$) then	1	1	1	1	1
4 return 0.0;	1	1	0	1	0
5 else return					
6 RSum($a, n - 1$) + $a[n]$;	$1 + x$	0	1	0	$1 + x$
7 }	0	—	—	0	0
Total				2	$2 + x$

$$x = t_{\text{RSum}}(n - 1)$$

- **Another Approach to estimate Time Complexity : Operation Count**
 - It used one or more Operations to specify the time complexity of the algorithm
 - It is not considering all the steps used in algorithm
 - The success of this method depends upon the identification of operations, which contributes more to the time complexity.

Algorithm Max(a,n)

```
1: {  
2:  $Max \leftarrow a[0]$ ;  
3: for  $i \leftarrow 1$  to  $n$  do  
4:   if  $Max \leq a[i]$  then  
     $Max \leftarrow a[i]$   
5: 6: end for  
7: return  $i$ ;
```

- **Key Operation:** Number of Comparisons in made between elements of Array.
- If the size of Array is zero \rightarrow Number of Comparisons are zero.
- If array has only one element \rightarrow It will not enter into the for loop \rightarrow Number of comparisons are zero.
- When $n > 1$, each iteration of for loop makes one comparison between the elements of array.
- So, the total number of comparisons is $\max n-1, 0$.
- In this method, It is not including the comparison operation of for loop and other operations also.
- **Disadvantage:** This Method is not considering the entire algorithm. some of the operations are considered for estimating the time complexity.

- Space Complexity = Fixed part + Variable Part
- $S(P) = c + S_P(\text{Instance Characteristics})$
- Fixed Space Requirement
 - Independent of the characteristics of the inputs and outputs
 - Instruction Space
 - space for simple variables, fixed-size structured variable, constants
- Variable Space Requirement
 - Depend on the instance characteristic
 - number, size, values of inputs and outputs associated with Instance Characteristics
 - recursive Runtime stack space, formal parameters, local variables, return address

- Determine the Space Complexity for the following example:

```
1  Algorithm abc(a, b, c)
2  {
3      return a + b + b * c + (a + b - c) / (a + b) + 4.0;
4  }
```

- The problem instance is characterise by a, b and c.
- Assume one word is adequate to store.
- Space needed by above algorithm is independent of the instance characteristics
- $S(abc) = 3 + 0 \Rightarrow S(abc) = 0$

- Space Complexity calculation Example

Algorithm Sum(a,n)

```
1: {  
2: sum  $\leftarrow$  0;  
3: for i  $\leftarrow$  1 to n do  
4:   sum  $\leftarrow$  sum + a[i];  
5: end for  
6: }
```

- The above algorithm is characterised by n .
- The space need by n is one word.
- The space needed by a is atleast n words.
- $S(\text{Sum}) \geq (n+3) \rightarrow n$ for $a[]$, one word for each n , i and s

- Recursive Algorithm for summation of n numbers:

Algorithm RSum(a, n)

```
1: if ( $n \leq 0$ ) then  
2:   return 0;  
3: else  
4:   return RSum( $a, n-1$ ) +  $a(n)$ ;  
5: end if
```

- Here the instance characteristic is n .
- The recursion stack space includes the space for the formal parameters, the local variable and the return address.
- Assume one word used for return address.
- The depth of the recursion is $(n+1)$
- Recursion Stack Space needed is $\geq 3(n+1)$.