

## Title ideas 1) Developing self-consistent equations of state and force fields 2) Advancing the hybrid data set approach: Going beyond the traditional Lennard-Jones potential 3) High accuracy force fields for prediction of mixture properties

The understanding of natural mechanisms and design of efficient and reliable chemical processes requires accurate prediction of thermophysical properties of fluids over a wide range of temperatures and pressures. Fundamental equations of state (FEOS), such as those based on the Helmholtz energy ( $A$ ), are a powerful approach for consistently representing the pressure, density, temperature ( $P\rho T$ ) behavior and caloric properties, such as internal energy ( $U$ ) and isochoric/isobaric heat capacities ( $c_v$  and  $c_p$ , respectively) of pure species and mixtures. The United States of America National Institute of Standards and Technology (NIST) is the world wide leader in FEOS development. During my time at NIST, I have been fortunate to work closely with the developers of the NIST FEOS, REFPROP (Reference Fluid Properties<sup>1</sup>).

In general, FEOS separate the Helmholtz energy into an ideal gas ( $A^{ig}$ ) and a residual ( $A^r$ ) contribution. As  $A^{ig}$  is typically obtained using first principles (*ab initio*) calculations, the primary focus of FEOS development is modeling  $A^r$ . State-of-the-art FEOS, such as REFPROP, utilize a semi-empirical model for  $A^r$  with between 50 and 100 non-linear fitting parameters. The number of fitting parameters and, thence, the FEOS accuracy is determined by the quality, quantity, and diversity of experimental data. When an FEOS correlation is reliable over the entire fluid region of technological interest, it is considered to be of “technical accuracy.”

Since most chemical processes contain mixtures of several species, reliable estimates of mixture properties are essential. The development of mixture FEOS is a key endeavor at NIST and several sessions were dedicated to this topic at the 20th Symposium on Thermophysical Properties organized by NIST in 2018. The primary challenge is that mixture FEOS require additional fitting parameters for  $A^r$ , despite the scarcity (due to the additional composition variable) and questionable quality of experimental mixture data. Furthermore, any deficiencies in the pure species FEOS propagate when predicting mixture properties. Therefore, the ability to develop “technical accuracy” mixture FEOS necessitates “technical accuracy” pure species FEOS. For this reason, the discussion that follows focuses on pure species.

Unfortunately, most compounds do not have sufficient *reliable* experimental data for a diverse set of thermodynamic properties covering a wide range of  $P\rho T$  conditions to develop a “technical accuracy” FEOS. For example, REFPROP contains less than 100 compounds of “technical accuracy.” Due to the large amount of fitting parameters, FEOS predictions can result in substantial errors when extrapolated to higher temperatures and pressures than those of the training data. Improvement in an FEOS at high temperatures and pressures necessitates additional data for those conditions. The lack of experimental data at high temperatures and pressures, especially, is attributed to the inherent safety, cost, and complexity of such experiments (e.g., chemical instability above the thermal decomposition temperature).

By contrast, molecular simulation (i.e. Monte Carlo, MC, and molecular dynamics, MD) methods at high temperatures and pressures do not suffer from any of these lim-

itations. For this reason, the host, Vrabec, and coworkers proposed the use of “hybrid data sets” consisting of both available experimental data and molecular simulation results at extreme temperatures and pressures [2](#). Together with collaborators, Vrabec has demonstrated for several compounds that this hybrid data set extends the range of applicability for the FEOS.<sup>[2-6](#)</sup> The simulation values that are included in hybrid data sets are derivatives of the residual Helmholtz energy with respect to inverse temperature and/or density

$$A_{xy}^r R_g T \equiv (1/T)^x \rho^y \frac{\partial^{x+y} A^r}{\partial (1/T)^x \partial \rho^y} \quad (1)$$

where  $R_g$  is the gas constant. We would like to emphasize the advantage of using  $A_{xy}^r$  for developing FEOS, as this choice eliminates redundant information found in traditional macroscopic properties.<sup>[2,7-11](#)</sup> Furthermore, experimental data are typically measured for properties that relate only to first and second derivatives, whereas, in principle, molecular simulation provides an avenue for estimating higher order derivatives, which could be invaluable when fitting FEOS.

The infrastructure is already in place to implement the hybrid data set approach at the host institute, Technische Universitat Berlin. Specifically, Vrabec’s group have developed *ms2*,<sup>[12](#)</sup> a highly optimized and parallelized code written in Fortran 90 that is capable of performing both MC and MD simulations. More importantly, *ms2* is the only open-source simulation package that computes  $A_{xy}^r$  for various values of  $x$  and  $y$ . In addition, Vrabec’s group has already automated the entire process of simulating the necessary  $P\rho T$  state space and processing the  $A_{xy}^r$  results with minimal human interaction. With access to tens of thousands of cores on a supercomputer, Vrabec’s group is capable of generating all the required molecular simulation results for a given force field in just a few hours.

The primary limitation of the hybrid data set approach is that most force fields are not “transferable” over a wide range of  $P\rho T$  conditions. For example, in a recent study,<sup>[13](#)</sup> we demonstrated the poor transferability to high pressures of the popular united-atom Mie  $n$ -6 potential (of which the traditional Lennard-Jones 12-6 is a subclass) for normal and branched alkanes. This deficiency in the force field causes the FEOS to be inconsistent with the force field.

The question that this study will address is whether or not it is possible to develop a pairwise additive force field of the same “technical accuracy” as the FEOS. As thermodynamic properties are extremely sensitive to intermolecular interactions, we propose that more theoretical and flexible non-bonded potential functions be considered when developing FEOS. For example, two-body potentials developed from *ab initio* values typically utilize more than ten fitting parameters because of the high information content in *ab initio* energy calculations performed at different intermolecular distances (see Equation 6 of Reference [14](#)).

For nearly half a century the Lennard-Jones 12-6 potential has inundated the molecular simulation literature. Only in the past decade has the Mie  $n$ -6 potential received considerable attention. The popular choice of  $n = 12$  (i.e., the Lennard-Jones 12-6 potential) has no theoretical basis and is a historical artifact based primarily on computational reasons that are no longer significant. In this study, we propose the development of extended Lennard-Jones (ex-LJ) force fields to improve performance at high pressures.

The most general expression for the extended Lennard-Jones non-bonded potential

is:

$$u_{\text{nb,exLJ}}(\mathbf{C}) = \sum_{m=6,8,\dots} C_m r^{-m} \quad (2)$$

where  $m$  are integer (typically even) values and the parameter set,  $\mathbf{C}$ , consists of the  $C_m$  coefficients corresponding to the  $r^{-m}$  terms. Note that the traditional Lennard-Jones 12-6 potential is obtained if  $C_{12} = 4\epsilon\sigma^{12}$  and  $C_6 = -4\epsilon\sigma^6$  (where  $\sigma$  and  $\epsilon$  are the Lennard-Jones size and energy parameters, respectively), while all other  $C_m$  values are zero. The attractive terms (negative  $C_m$ ) are derived rigorously from London dispersion forces.<sup>15</sup> By contrast, the repulsive terms (positive  $C_m$ ) are strictly empirical and, if necessary, can be replaced by more theoretical functions (e.g.  $\exp(-r)$ ,<sup>14,16</sup>).

The ex-LJ potential is more flexible than the two-parameter ( $\epsilon$  and  $\sigma$ ) LJ 12-6, and more theoretically justified than the three-parameter Mie  $n$ -6, particularly when  $n \gg 12$ . However, it has not been tested as extensively as the LJ 12-6, Mie  $n$ -6, and exponential-6 potentials. By demonstrating significant improvement at high pressures, the potential impact of this research would be to initiate a paradigm shift in non-bonded potentials.

The question remains, when enough non-zero terms are included in Equation 2, can an ex-LJ force field fit higher order derivatives of the Helmholtz energy over the entire fluid region of technological interest? The development of “technical accuracy” ex-LJ force fields allows for prediction of other properties not available from the FEOS, such as transport properties (e.g., self-diffusivity, shear viscosity) and structural/kinetic phenomena, as well as various mixture properties.

As the ex-LJ has received relatively little attention in the literature, some additional questions naturally arise. For example, which combining rules (e.g., Lorentz-Berthelot) should be used for cross interactions? Combining rules simplify force field parameterization for compounds with multiple interaction site types. More importantly, combining rules are essential for performing simulations of mixtures, which is a key motivation for developing “technical accuracy” force fields for pure species. Although numerous combining rules are proposed in the literature for the Lennard-Jones parameters (cf. Reference<sup>17</sup>), combining rules for  $C_m$  are less straightforward and will likely require innovative formulations.

Since most *ab initio* based two-body potentials utilize  $r^{-6}$ ,  $r^{-8}$ , and  $r^{-10}$  terms for attractive interactions, should the  $C_6$ ,  $C_8$ , and  $C_{10}$  coefficients necessarily be negative? Similarly, how many non-zero terms in Equation 2 should be included such that the model is sufficiently flexible but not over-fit? Which terms provide the greatest improvement in the force field?

Although the ex-LJ was proposed over three decades ago,<sup>18</sup> the main reason for the lack of popularity is the additional complexity in parameterizing the ex-LJ potential when several  $C_m$  terms are non-zero. This presents another essential question, what optimization method is best suited for parameterizing the ex-LJ potential? For this reason, The development of an optimization scheme will allow future researchers to parameterize “technical accuracy” ex-LJ force fields.

In general, *ab initio* based two-body potentials do not perform well in liquid and supercritical phases and are, therefore, ill-suited for developing “technical accuracy” force fields. Instead, effective non-bonded parameters (which indirectly account for three-body and higher-body interactions) are fit empirically to macroscopic condensed phase properties. For example, previous hybrid data set studies optimized the non-bonded parameters with vapor-liquid equilibria (VLE) data, e.g. saturated liquid den-

sity, saturated vapor pressure. However, it is unlikely that VLE properties alone can provide a unique set of parameters for more than three non-zero  $C_m$  terms. By contrast, derivatives of Helmholtz energy ( $A_{xy}^r$ ) provide high information content regarding the non-bonded potential. Unfortunately, reliable  $A_{xy}^r$  values require an accurate FEOS, which is not available *a priori*. For this reason, we propose a *novel* iterative hybrid data set approach:

1. Develop FEOS over  $P\rho T$  range where reliable experimental data exist
2. Parameterize the ex-LJ force field with FEOS  $A_{xy}^r$  over  $P\rho T$  region of applicability
3. Iterate:
  - (a) Estimate  $A_{xy}^r$  for ex-LJ force field at extreme temperatures and pressures
  - (b) Refit FEOS to the hybrid data set
  - (c) Re-parameterize the ex-LJ force field using updated FEOS  $A_{xy}^r$

With this iterative approach, it is possible to ensure that the Helmholtz energy derivative properties are internally consistent between the FEOS and the ex-LJ force field. The aim is to improve the extrapolation of the FEOS and the force field transferability. As the FEOS and ex-LJ force field become more self-consistent with each successive iteration, it is possible to increase the number of non-zero  $C_m$  terms in Step 3c, although this may necessitate including higher order derivatives ( $A_{xy}^r$  for  $x + y > 2$ ).

Step 3c of this algorithm is the computational bottleneck when direct molecular simulations are performed for each re-parameterization of the ex-LJ force field. In fact, the traditional brute-force trial-and-error optimization approach is not computationally feasible for more than three non-zero  $C_m$  terms. To facilitate parameterization of ex-LJ potentials with  $A_{xy}^r$ , we propose the use of Multistate Bennett Acceptance Ratio (MBAR) combined with basis functions ( $\Phi$ ). **In my previous publications, I demonstrated that MBAR- $\Phi$  reduces the computational cost to estimate ensemble averages by several orders of magnitude compared to direct molecular simulation.**<sup>13,19</sup> Therefore, utilizing MBAR- $\Phi$  in Step 3c (and Step 2) is essential for this algorithm to be computationally tractable. In addition, MBAR- $\Phi$  can be applied in Step 3a to eliminate the need to re-simulate the high temperature and pressure state points for each iteration. Due to the essential role of MBAR- $\Phi$  in this algorithm, we now present a brief overview of this method.

MBAR is a statistical method that reweights configurations sampled with a reference force field(s) to predict ensemble averages for a non-simulated force field.<sup>19,20</sup> For example, derivatives of the Helmholtz energy for parameter set  $\mathbf{C}$  are estimated according to

$$A_{xy}^r(\mathbf{C}) = F_i[\langle U(q_{\text{ref}}, \mathbf{C})^i \rangle_{\text{MBAR}}] + F_j[\langle U(q_{\text{ref}}, \mathbf{C})^j \rangle_{\text{MBAR}}] \quad (3)$$

where  $q_{\text{ref}}$  are configurations sampled using the reference force field(s),  $\langle \rangle_{\text{MBAR}}$  are ensemble averages estimated using MBAR (see Equations 9 to 11 of Reference 19), and  $F_i$  and  $F_j$  are functionals that depend on different powers of the internal energy (see Equations 27 and 30 of Reference 21).

While MBAR reweighting is orders of magnitude faster than performing a direct molecular simulation, MBAR requires “recalculating” the non-bonded energies for each configuration sampled. Basis functions greatly accelerate the cost of this recalculation step by several orders of magnitude compared to the Gromacs “rerun” function,

which is already highly optimized.<sup>22</sup> Due to the linear relationship between the total non-bonded internal energy ( $U_{\text{nb,total}}$ ) and Equation 2, the ex-LJ potential is amenable to basis functions.  $U_{\text{nb,total}}$  is computed from  $\sum_{i=1}^{N_{\text{sites}}-1} \sum_{j=i+1}^{N_{\text{sites}}} \sum_m C_{m,ij} r_{ij}^{-m}$ , where  $N_{\text{sites}}$  is the number of interacting sites in the system,  $C_{m,ij}$  is the  $C_m$  term for the  $ij$  interaction and  $r_{ij}$  is the intermolecular distance between sites  $i$  and  $j$ . Rather than storing the configurations of all  $N$  molecules, basis functions store the  $\sum_{i=1}^{N_{\text{sites}}-1} \sum_{j=i+1}^{N_{\text{sites}}} r_{ij}^{-m}$  contributions for different values of  $m$ . Recomputing  $U_{\text{nb,total}}$  for a perturbed set of  $C_{m,ij}$  parameters requires simple and *fast* matrix multiplication.

In summary, MBAR- $\Phi$  permits rapid estimation of  $A_{xy}^r$  for non-simulated extended Lennard-Jones potentials. This renders the iterative hybrid data set approach computationally feasible by removing the need for performing thousands of molecular simulations to re-parameterize the force field. **My expertise with MBAR- $\Phi$ , Vrabec’s hybrid data set method and computational resources, and our strong connections with NIST and other developers of FEOS (i.e. Roland Span’s group) are essential for developing a self-consistent FEOS and force field of “technical accuracy.”**

Four deliverables are expected from this project. First, a “technical accuracy” FEOS for each molecule studied. Second, an extended Lennard-Jones force field of “technical accuracy.” Third, an increased theoretical understanding of non-bonded potentials. Fourth, an infrastructure for rapid force field parameterization using Helmholtz energy derivatives.

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