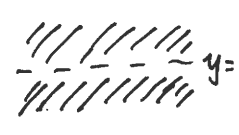

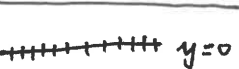
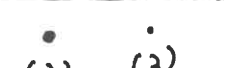


Last time Affine scheme ($\text{Spec} A, \mathcal{T}_{\text{zar}}, \mathcal{O}_{\text{Spec} A}$)

6.3	Scheme	A	$\mathbb{C}[x, y]$	\mathbb{C}^2
7.1	open subscheme (not necessarily affine)	A_f	$\mathbb{C}[x, y]_f$	
8	close subscheme	reduced	A/\mathcal{I}	$\mathbb{C}[x, y]/(x)$ 
		non-reduced	A/I	$\mathbb{C}[x, y]/(x^2)$ 
	disjoint union	$A \times B$	$\mathbb{Z}/12\mathbb{Z} = \mathbb{Z}/4\mathbb{Z} \times \mathbb{Z}/3\mathbb{Z}$	
9	fiber product Sheaf	$A \otimes_{\mathbb{C}} B$	$\mathbb{C}[x, y] = \mathbb{C}[x] \otimes_{\mathbb{C}} \mathbb{C}[y]$	$\mathbb{C}^2 = \mathbb{C} \times \mathbb{C}$

Notation: $\mathcal{F}(U) = \Gamma(U, \mathcal{F}) = H^0(U, \mathcal{F})$

$\Gamma(U, \mathcal{F})$: stress element as a FUNCTION (Section).

E.g.	$X = [0, 1]$	$X \subseteq \mathbb{C}$ open, $U \subseteq X$ open ($X \mapsto \text{RS}$)
	$\Gamma([0, 1], \mathcal{C}') = \mathcal{C}'([0, 1])$	$\Gamma(U, \mathcal{O}_X) = \mathcal{O}_X(U) = \{\text{holo functions on } U\}$
	$\Gamma([0, 1], \mathcal{C}^\infty) = \mathcal{C}^\infty([0, 1])$	$\Gamma(U, \mathcal{M}_X) = \mathcal{M}_X(U) = \{\text{mero fcts on } U\}$

$$X = \text{Spec } A$$

$$\Gamma(U, \mathcal{O}_X) = \mathcal{O}_X(U)$$

$$\text{e.g. } \Gamma(X, \mathcal{O}_X) = \mathcal{O}_X(X) = A \quad \text{"global fcts on } X"$$

The value of $a \in A$ at $\mathfrak{p} \in \text{Spec } A$ is $\pi(a)$, where

$$\begin{array}{ccc} \pi: A & \longrightarrow & A_{\mathfrak{p}} / \mathfrak{p} A_{\mathfrak{p}} = (A/\mathfrak{p})_{\mathfrak{p}} = K(A/\mathfrak{p}) \\ \parallel & & \parallel \\ \mathcal{O}_X(X) & & \mathcal{O}_{X, \mathfrak{p}} / \mathfrak{m}_{X, \mathfrak{p}} \end{array}$$

e.g. $X = \mathbb{A}^1_{\mathbb{C}}$, the function $x^3 + 2x - 1$.

$$\begin{array}{ccc} \text{take value} & \underline{x-1} & \text{in } \underline{\mathbb{C}[x]/(x-i)} \text{ at } (x-i) \\ & \underline{x^3+2x-1} & \text{in } \underline{\mathbb{C}(x)} \text{ at } (0) \end{array}$$

Isomorphism of Sheaves (c.f. 2.3.1)

$\phi: \mathcal{F} \rightarrow \mathcal{G}$ is isomorphism, if $\exists \psi: \mathcal{G} \rightarrow \mathcal{F}$, s.t.
 $\forall U \subseteq X$, $\phi(U) \circ \psi(U) = \text{Id}_{\mathcal{G}(U)}$
 $\psi(U) \circ \phi(U) = \text{Id}_{\mathcal{F}(U)}$

This time Scheme $(X, \mathcal{T}_{\text{Zar}}, \mathcal{O}_X)$

After considering the affine scheme (domain in ~~\mathbb{R}~~ \mathbb{R} or \mathbb{R}^n), we naturally consider the scheme (smooth mfd).

Smooth Structure	Sheaf Structure & Sheaf
↓	↓
Topo (Euclid)	Topo (Zariski)
↓	↓
Set	Set
↓	↓
Manifold	Scheme

Remark. $(\mathbb{C}, \mathcal{T}_{\text{Euclid}}, \mathcal{O}_{\mathbb{C}})$ is not an (affine) scheme!
 \uparrow
hdo fcts

1. Def of scheme

Def A Scheme is a Topo space X with a sheaf \mathcal{O}_X on X , satisfying:

$\forall p \in X, \exists_{p \in U \subseteq X}$ open s.t

$\Phi: (U, \mathcal{O}_X|_U) \rightarrow (\text{Spec } A, \mathcal{O}_{\text{Spec } A})$ is an isomorphism, i.e.

① $\Phi: U \xrightarrow{\sim} \text{Spec } A$ iso of topo spaces

② $\Phi^\# : \pi^*(\mathcal{O}_{\text{Spec } A}) \xrightarrow{\sim} \mathcal{O}_X|_U$ iso of ~~scheme~~ sheaves

(or $\mathcal{O}_{\text{Spec } A} \xrightarrow{\sim} \pi_*(\mathcal{O}_X|_U)$)

We'll call \mathcal{O}_X as the structure sheaf of scheme X .

Remarks 1. By defining iso of schemes, we can prove

$\pi: \text{Spec } A \rightarrow \text{Spec } B$ iso as scheme

$\Leftrightarrow \pi^\#: B \rightarrow A$ iso as ring.

$\text{Spec } \mathbb{Q} \neq \text{Spec } \mathbb{C}$

because of the difference of sheaves!

2. Sheaf is a globally geometry object, so we do not need to make compatibility conditions (like smooth mfd).
(However, we still need them when we glue schemes.)
because we need to construct **NEW** sheaf.

3. Calculation of $\mathcal{O}_X(U)$

Suppose $U = \bigcup_{i \in \Lambda} \text{Spec } A_i$, then we have the LES.

$$0 \rightarrow \mathcal{O}_X(U) \rightarrow \prod_{i \in \Lambda} \mathcal{O}_X(\text{Spec } A_i) \xrightarrow{\Psi} \prod_{(i,j) \in \Lambda \times \Lambda} \mathcal{O}_X(\text{Spec } A_i \cap \text{Spec } A_j)$$

\parallel
 A_i

Then $\mathcal{O}_X(U) \cong \text{Ker } \Psi$.

We especially concern about $\mathcal{O}_X(X) = \Gamma(X, \mathcal{O}_X)$ (global fcts)

2. Constructing New Schemes

2.1. By Universal property (morphisms? \Rightarrow postponed)

① disjoint union of (affine) schemes $(X_1, \mathcal{O}_{X_1}), (X_2, \mathcal{O}_{X_2})$

Scheme structure of $X_1 \amalg X_2$.

• Set: $X_1 \amalg X_2 = X_1 \sqcup X_2$

• Topo: $U \subset X_1 \amalg X_2$ is open

$$\Leftrightarrow U \cap X_1 \overset{\text{open}}{\subset} X_1 \text{ \& \> } U \cap X_2 \overset{\text{open}}{\subset} X_2$$

• Sheaf: $\mathcal{O}(U_1 \amalg U_2) = \mathcal{O}_{X_1}(U_1) \times \mathcal{O}_{X_2}(U_2)$

$$\downarrow \quad \quad \quad \downarrow \rho_1 \times \rho_2$$

$$\mathcal{O}(U_1 \amalg U_2) = \mathcal{O}_{X_1}(U_1) \times \mathcal{O}_{X_2}(U_2)$$

• locally $\cong \text{Spec } A$

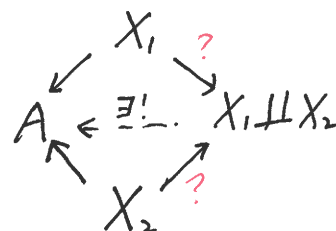
Eg 1. $\text{Spec } A \amalg \text{Spec } B \cong \text{Spec}(A \times B)$

Similarly, for ~~$\{X_i\}_{i \in \Lambda}$~~ a family of schemes $\{X_i\}_{i \in \Lambda}$,

we define schemes $\bigsqcup_{i \in \Lambda} X_i$

Eg 2. $\bigsqcup_{i \in \mathbb{Z}} \text{Spec } \mathbb{C}$ is not an affine scheme

because it is not quasicompact.



② Open subscheme (Later: for glue)

$$\left. \begin{array}{l} (X, \mathcal{O}_X) \text{ a scheme} \\ U \subset X \text{ open} \end{array} \right\} \mapsto (U, \mathcal{O}_X|_U) \text{ a scheme}$$

Locally: Suppose $p \in U$, choose $p \in \text{Spec } A \subset X$

$$U \cap \text{Spec } A \subset \text{Spec } A$$

$$\Rightarrow p \in U \cap \text{Spec } A = \bigcup_{i \in \Lambda} D(f_i) = \bigcup_{i \in \Lambda} \text{Spec } A_{f_i}$$

$$\Rightarrow \exists i, p \in \text{Spec } A_{f_i}$$

E.g. 3. $(\text{Spec } A, \mathcal{O}_{\text{Spec } A}) \mapsto (\text{Spec } A_f, \mathcal{O}_{\text{Spec } A_f} = \mathcal{O}_{\text{Spec } A}|_{\text{Spec } A_f})$

E.g. 4. $A = k[x, y], \text{Spec } A = \mathbb{A}_k^2$

$$U := \mathbb{A}_k^2 - \{(0, 0)\} = \underbrace{\text{Spec } k[x, y]_x}_{U_1} \cup \underbrace{\text{Spec } k[x, y]_y}_{U_2} \text{ is open}$$

$$\mapsto (U, \mathcal{O}_{\text{Spec } A}|_U) \text{ is a scheme.}$$

Remark U is not an affine scheme because

$$U \neq \text{Spec } (\mathcal{O}_U(U))$$

$$0 \longrightarrow \mathcal{O}_{\mathbb{A}_k^2}(U) \longrightarrow \mathcal{O}_{\mathbb{A}_k^2}(U_1) \oplus \mathcal{O}_{\mathbb{A}_k^2}(U_2) \longrightarrow \mathcal{O}_{\mathbb{A}_k^2}(U_1 \cap U_2)$$

$$\begin{array}{ccccccc} 0 & \longrightarrow & \text{Ker } \varphi & \longrightarrow & k[x, y]_x \oplus k[x, y]_y & \xrightarrow{\varphi} & k[x, y]_{x, y} \\ \parallel & & \parallel & & \parallel & & \parallel \\ 0 & \longrightarrow & k[x, y] & \longrightarrow & \left(\frac{f(x, y)}{x^m}, \frac{g(x, y)}{y^n} \right) & \longmapsto & \frac{f(x, y)}{x^m} - \frac{g(x, y)}{y^n} \end{array}$$

$$\mathcal{O}_U(U) = \mathcal{O}_{\mathbb{A}_k^2}(U) = k[x, y]$$

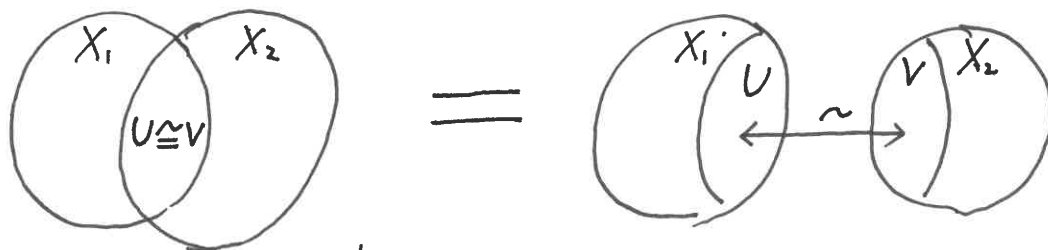
If $(U, \mathcal{O}_U) = (\text{Spec } A, \mathcal{O}_{\text{Spec } A})$, then

$$\begin{array}{l} A = \mathcal{O}_U(U) = k[x, y] \\ \text{Spec } A = \text{Spec } k[x, y] \end{array} \parallel \emptyset \stackrel{U}{=} V(x) \cap V(y) \stackrel{\text{Spec } A}{=} \{(0, 0)\}, \text{ Contradiction!}$$

Sub Remark. 1. We ~~aff~~ often prove the failure of a scheme to be an affine scheme by computing the global sections.
2. Hartogs's Lemma: extend over points.

2.2. By gluing (affine) schemes

Recall the glue of two topo spaces: $X_1 \cup_{\text{glue}} X_2 = X_1 \amalg X_2 / \sim$



$$X_1 \xrightarrow{l_1} X_1 \cup_{\text{glue}} X_2 \xleftarrow{l_2} X_2$$

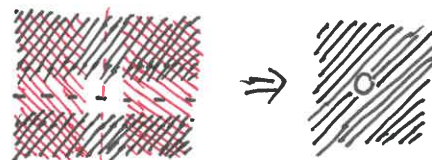
Similarly, if we have

- Schemes $(X, \mathcal{O}_X), (X_2, \mathcal{O}_{X_2})$
- $U \subset X_1, V \subset X_2$ open
- $(U, \mathcal{O}_{X_1}|_U) \cong (V, \mathcal{O}_{X_2}|_V)$

\leadsto A new scheme: $Z := X_1 \cup_{\text{glue}} X_2$

- Set & Topo: \checkmark
- Sheaf: 2.5.D
- Locally affine: \checkmark

$$\begin{array}{ccc} U = V & \hookrightarrow & X_2 \\ \downarrow & & \downarrow \\ X_1 & \hookrightarrow & X_1 \cup_{\text{glue}} X_2 \end{array}$$



E.g. 4' By gluing $\text{Spec } k[x, y]_x$ and $\text{Spec } k[x, y]_y$ with

$$\Phi: \text{Spec}(k[x, y]_x)_y \xrightarrow{\sim} \text{Spec}(k[x, y]_y)_x$$

We get $\mathbb{A}_k^2 - \{(0, 0)\}$.

E.g. 5 By gluing $\text{Spec } k[u]$ with $\text{Spec } k[v]$ by

$$\Phi: \text{Spec } k[u]_u \xrightarrow{\sim} \text{Spec } k[v]_v$$

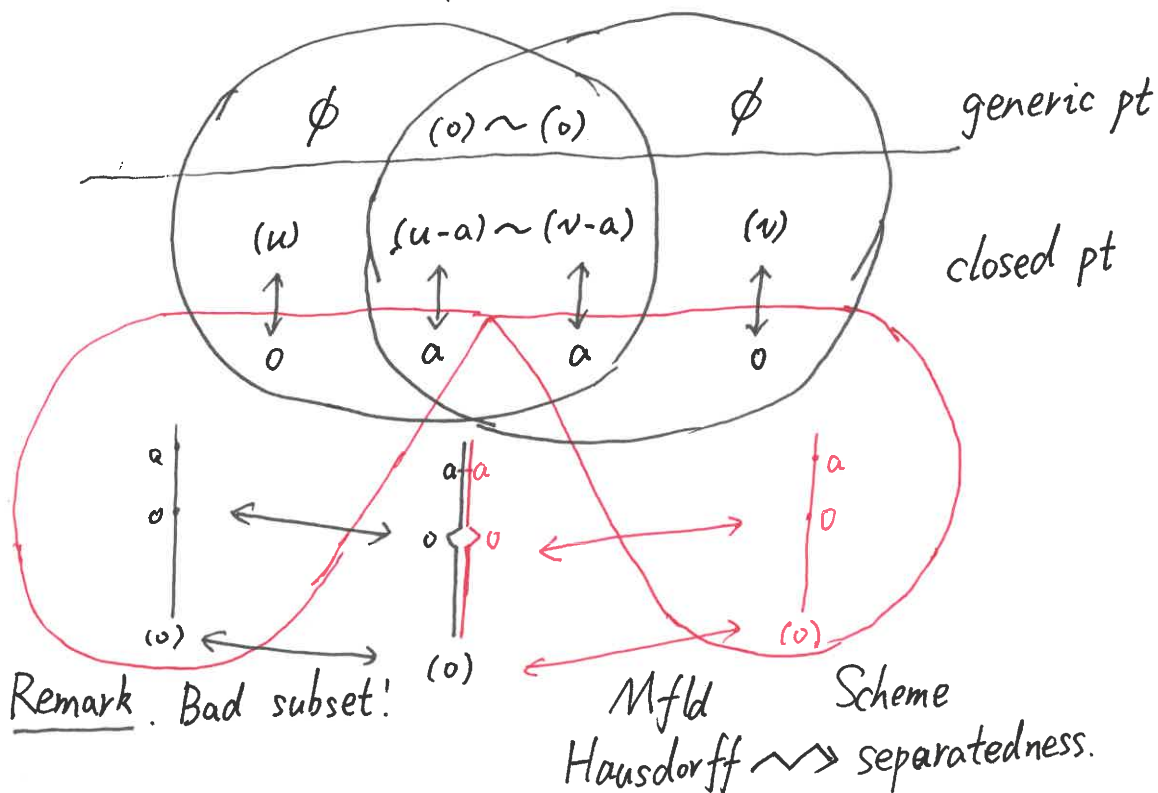
induced by

$$\begin{array}{ccc} k[u]_u & \longleftarrow & k[v]_v : \Phi^\# \\ u & \longleftarrow & v \end{array}$$

We get the affine line with doubled origin.

When $K = \mathbb{C}$,

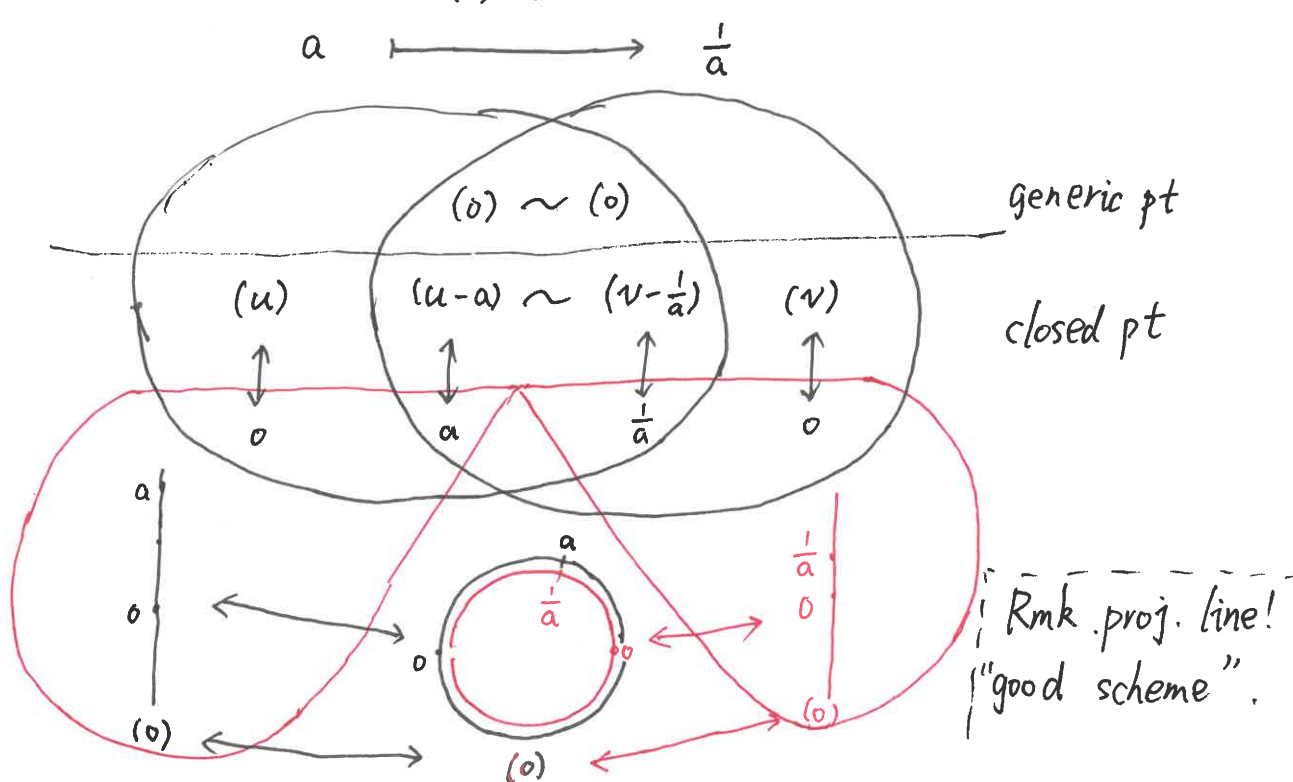
$$\Phi: (\mathbb{C} - \{0\}) \sqcup \{0\} \xrightarrow{\sim} (\mathbb{C} - \{0\}) \sqcup \{0\}$$



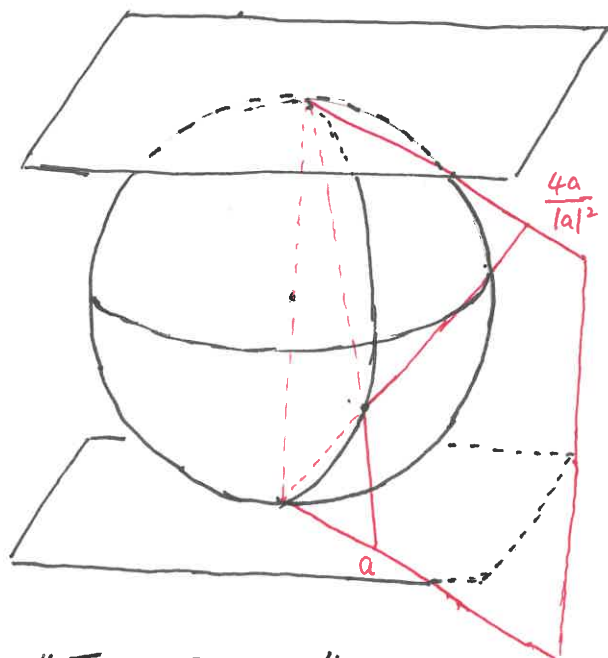
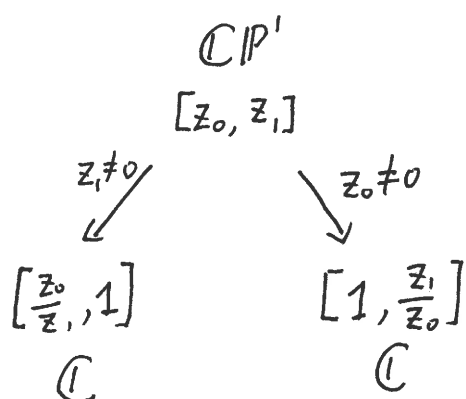
Eg 6. We get the projective line.

When $K = \mathbb{C}$,

$$\Phi: (\mathbb{C} - \{0\}) \sqcup \{0\} \xrightarrow{\sim} (\mathbb{C} - \{0\}) \sqcup \{0\}$$



E.x. Compute $\mathcal{O}_X(X)$ in Eg 5, Eg 6, and prove it's not an affine scheme.
Compared with \mathbb{CP}^1 :



"Two Charts"

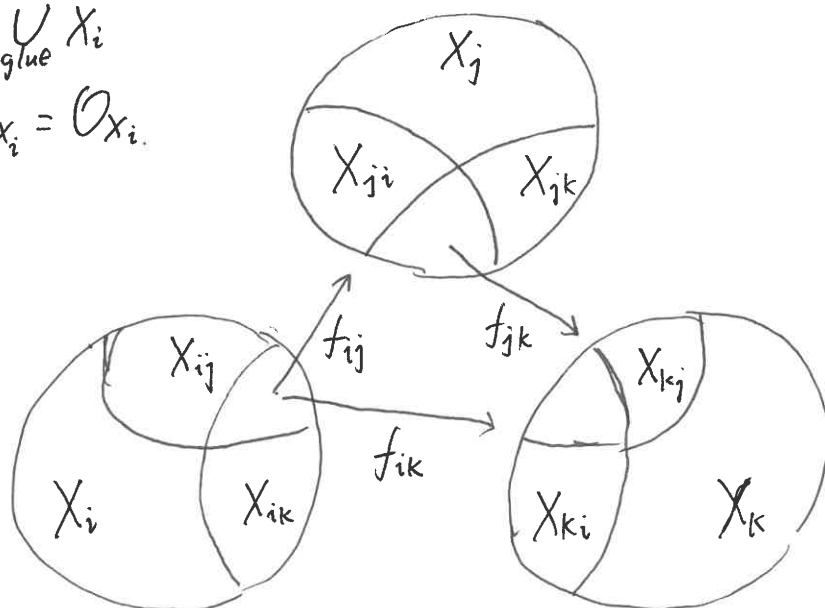
Generalization: gluing schemes. (not just two!)

Thm (4.4.A) Suppose we're given,

- schemes X_i ($i \in I$)
- open subschemes $X_{ij} \subset X_i$ with $X_{ii} = X_i$
- iso $f_{ij}: X_{ij} \rightarrow X_{ji}$ with $f_{ii} = \text{Id}_{X_i}$
- They satisfy the cocycle condition:
 - $f_{ij}(X_{ik} \cap X_{ij}) \subset X_{jk}$
 - $f_{ik}|_{X_{ij} \cap X_{ik}} = f_{jk}|_{X_{ji} \cap X_{jk}} \circ f_{ij}|_{X_{ij} \cap X_{ik}}$

Then we get a unique scheme (X, \mathcal{O}_X) , satisfying

- $X = \bigcup_{\text{glue}} X_i$
- $\mathcal{O}_X|_{X_i} = \mathcal{O}_{X_i}$



Remark. $\text{Spec } A = \bigcup_{f \in A} \text{Spec } A_f = \bigcup_{i \in \Lambda} \text{Spec } A_{f_i}$ if $\langle f_i \rangle_{i \in \Lambda} = A$
can be seen as the glued scheme.

Eg 7. projective space \mathbb{P}_A^n

Recall the property of \mathbb{CP}^n :

(4.4.F) (8.2.0) • $\mathbb{CP}^n = \mathbb{C}^{n+1} \setminus \{0\} / \sim$

(4.4.9) • \mathbb{CP}^n has local chart (U_i, φ_i)

$$\varphi_i: U_i = \{[t_0, \dots, t_n] \mid t_i \neq 0\} \rightarrow \mathbb{C}^n$$

$$[t_0, \dots, t_n] \mapsto \left(\frac{t_0}{t_i}, \dots, \frac{\hat{t_i}}{t_i}, \dots, \frac{t_n}{t_i} \right)$$

and

$$\begin{array}{c}
 U_i \cap U_j \\
 \swarrow \quad \searrow \\
 \mathbb{C}^n \quad \quad \mathbb{C}^n \\
 \uparrow \quad \quad \downarrow \\
 [s_0/i, \dots, \underset{i}{\overset{\uparrow}{1}}, \dots, s_n/i] \\
 \swarrow \quad \searrow \\
 (s_0/i, \dots, \hat{s}_{i/i}, \dots, s_n/i) \xrightarrow{\psi_{ij}} \left(\frac{s_0/i}{s_{j/i}}, \dots, \frac{\hat{s}_{i/i}}{s_{j/i}}, \dots, \frac{s_n/i}{s_{j/i}} \right)
 \end{array}$$

where ψ_{ij} is the transition map.

• $\mathbb{CP}^n = \mathbb{C}^n \sqcup \mathbb{CP}^{n-1} = \mathbb{C}^n \sqcup \mathbb{C}^{n-1} \sqcup \dots \sqcup \mathbb{C}^1 \sqcup \{0\}$

$$\begin{array}{cc}
 \swarrow & \searrow \\
 [1, \dots] & [0, \dots] \\
 \mathbb{C}^n & \mathbb{CP}^{n-1}
 \end{array}$$

Construction of \mathbb{P}_k^n

• ~~Open~~ open cover (Thinking $x_{i/j} = \frac{x_i}{x_j}$)

$$X_i = \text{Spec } k[x_{0/i}, x_{1/i}, \dots, x_{n/i}] / (x_{i/i} - 1)$$

$$\stackrel{\text{IS}}{\text{Spec } k[x_{0/i}, x_{1/i}, \dots, \hat{x}_{i/i}, \dots, x_{n/i}]}$$

• open subschemes

$$X_{ij} = \text{Spec } (A_i)_{x_{j/i}}$$

$$= \text{Spec } k[x_{0/i}, \dots, x_{n/i}, \frac{1}{x_{j/i}}] / (x_{i/i} - 1)$$

$$X_{ii} = \text{Spec } (A_i)_{x_{i/i}} = \text{Spec } A_i = X_i$$

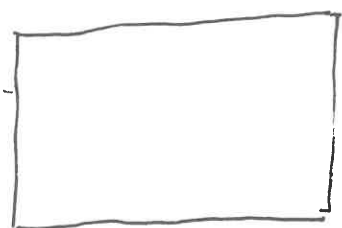
. isomorphisms.

$f_{ij}: X_{ij} \longrightarrow X_{ji}$ induced by

$$k[x_{0/j}, \dots, x_{n/j}, \frac{1}{x_{i/j}}] / (x_{i/j} - 1) \longrightarrow k[x_{0/i}, \dots, x_{n/i}, \frac{1}{x_{j/i}}] / (x_{j/i} - 1)$$

You can check $f_{ij}^{x_{t/j}}$ satisfy the cocycle $\frac{x_{t/i}}{x_{j/i}}$ condition.

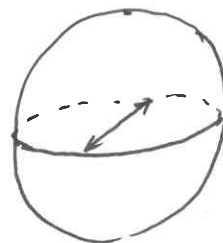
Remark We construct proj. space as the compactation of \mathbb{R}^n or \mathbb{C}^n , as it is shown below.



\mathbb{C}^2

(x, y)

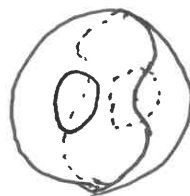
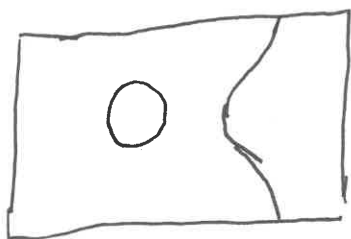
$\text{Spec } k[x, y]$



$\mathbb{C} \mathbb{P}^2$

$[x : y : 1]$

\mathbb{P}_k^2



$$\{(x, y) \in \mathbb{C}^2 \mid y^2 = 4x^3 + ax + b\} \longrightarrow \mathbb{P}^2 X = \{[x : y : z] \mid y^2 z = 4x^3 + axz^2 + bz^3\}$$

$\text{Spec } k[x, y] / (y^2 - 4x^3 - ax - b)$

?

We want to construct the alg. geo. obj. as the analog of X . But how?

Method 1. Let

$$X_0 = \text{Spec}(K[x, y, z]/(y^2z - 4 - az^2 - bz^3))$$

$$X_1 = \text{Spec}(K[x, 1, z]/(z - 4x^3 - axz^2 - bz^3))$$

$$X_2 = \text{Spec}(K[x, y, 1]/(y^2 - 4x^3 - ax - b))$$

Then glue them.

Ex. To convince yourself, write down X_{ij} and f_{ij} .

Method 2. Proj construction.

3. Proj Construction.

Advantages: • Glue: (☹)

- See the underlying set & Topo clearly.
- A similar analogue compared with $A \leftrightarrow \text{Spec} A$.

Def (\mathbb{N} -graded rings)

A \mathbb{N} -graded ring is a ring $S = \bigoplus_{n \in \mathbb{N}} S_n$, where

- $S_0 \subseteq S_1$
- S_n is an S_0 -module ($S_n + S_n \subseteq S_n$)
- $S_m \times S_n \subseteq S_{m+n}$

E.g. $\mathbb{C}[x, y, z]$ & $\mathbb{C}[x, y, z]/(y^2z - 4x^3 - axz^2 - bz^3)$ are \mathbb{N} -graded ring.

Def (homogeneous element/ideal)

Let $S = \bigoplus_{n \in \mathbb{N}} S_n$ is a graded ring,

- $a \in S, a \neq 0$ is called the homo element if $a \in S_n$. define $\deg a = n$

- $I \triangleleft S$ is called homo ideal if

I can be written as $\langle a_i \rangle_{i \in \mathbb{N}}$ where $a_i \in \bigcup_{n \in \mathbb{N}} S_n$

Prop. (4.5.C). Suppose $I \triangleleft S$. Define $S_+ = \bigoplus_{i \geq 0} S_i$.

- I is homo $\Leftrightarrow I = \bigoplus_{n \in \mathbb{N}} I \cap S_n$
- homo ideals are closed under $I+J, IJ, I \cap J, \sqrt{I}$
- I is prime $\Leftrightarrow \forall a, b \in \bigcup_{n \in \mathbb{N}} S_n \quad \begin{matrix} a \notin I \\ b \notin I \end{matrix} \Rightarrow ab \notin I$
- I is homo $\Rightarrow S/I$ is graded ring
- $f \in \bigcup_{n \in \mathbb{N}^+} S_n$, then $(S.)_f$ is graded ring with $\{\text{homo prime ideal of } (S.)_f\} \xleftrightarrow{1:1} \mathbb{P}\text{-Spec}((S.)_f)_0$.

Def (f.g. graded ring & generated in deg 1)

Constructing Proj S.

- Set: $\text{Proj } S := \{I \triangleleft S \mid \begin{matrix} \text{homo} \\ \text{prime} \end{matrix} \mid I \neq S_+\}$
- Topo: $V(T) := \{I \in \text{Proj } S \mid I \supseteq T\}$ ($T = \{y_i\}_{i \in \mathbb{N}}, \deg y_i \geq 0$)
defined as a close set
- Local chart: If $f \in \bigcup_{n \in \mathbb{N}^+} S_n$, then

$$X_f := \text{Spec}((S.)_f)_0 \leftrightarrow \text{homo prime ideals of } (S.)_f \subseteq \text{Proj } S.$$

Please verify the compatibility of local chart. (2.5.D)

Left: Topo properties & Hilbert correspondence about Proj S.

E.g. 7. $\mathbb{P}^n_A := \text{Proj } A[x_0, \dots, x_n]$

E.g. 8. $\mathbb{P}^2_{\mathbb{C}} := \text{Proj } \mathbb{C}[x, y, z]$

$$f(x, y, z) = y^2z - 4x^3 - axz^2 - b^3$$

$$\rightsquigarrow V(f) = \text{Proj}(\mathbb{C}[x, y, z]/(f)).$$

Remark When $4x^3+ax+b$ has no multiple root,
 $(\text{Proj}(\mathbb{C}[x,y,z]/(f)), (x, \underset{\uparrow}{y-1}, z))$ is called the
base pt

Complex elliptic curve. Denote the closed points
of $\text{Proj}(\mathbb{C}[x,y,z]/(f))$ as C , then

- C has a group structure
(compatible with scheme $\leadsto C$ is an group scheme)
- C is iso to \mathbb{C}/Λ as a topo group.

Q. Can we def an analogue of \mathbb{C}/Λ in alg geo?

Finally remark: Why do we need to study scheme?

1. to get the geometric understanding of some algebraic concepts.

e.g. A M graded rings $\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$ (9.2.E)

Chinese Remainder Theorem (4.4.11)

2. algebraic function are easier to handle

then smooth/analytic function

e.g. $\mathcal{O}_{\mathbb{C}}(\mathbb{C})$ compared with $\mathcal{O}_{A_{\mathbb{C}}}(\mathbb{A}_{\mathbb{C}}')$

3. We can generalize it a lot.

e.g. • treat curves/surfaces with singularity

• $\mathbb{C} \leadsto \mathbb{Q}, \mathbb{Q}_p$ etc

\leadsto arithmetic geometry

(the application of techniques
from AG to problems in number theory)