1

Exercises.

**Solution to Question 1.** We may choose a orthonormal basis  $(e_1, \ldots, e_n)$  for V. And let

$$\mathbf{w} = \sum_{i=1}^n \varphi(\mathbf{e}_i) \mathbf{e}_i.$$

Then for all i = 1, ..., n,

$$\phi(\mathbf{e}_i) = \langle \mathbf{e}_i, \mathbf{w} \rangle.$$

By the linearity of  $\phi$ , we know that for any  $\mathbf{v} \in V$ ,

$$\phi(\mathbf{v}) = \langle \mathbf{v}, \mathbf{w} \rangle.$$

This  $\mathbf{w}$  is unique. If there exists  $\mathbf{w}'$  such that

$$\langle \mathbf{v}, \mathbf{w}' \rangle = \phi(\mathbf{v}) = \langle \mathbf{v}, \mathbf{w} \rangle,$$

then for all  $\mathbf{v} \in V$ ,

$$\langle \mathbf{v}, \mathbf{w}' - \mathbf{w} \rangle = 0.$$

So  $\mathbf{w}' = \mathbf{w}$ .

### 2

# Solution to Question 2.

(a) Let  $(e_1, ..., e_m)$  be a basis for W and define for all i = 1, ..., m,

$$\phi_i(v) := \langle \mathsf{T}(v), \mathbf{e}_i \rangle.$$

We may check that  $\varphi_i$  is linear. Thus, by problem 1, there exists a  $\textbf{u}_i \in W$  such that for all  $\textbf{v} \in V$ 

$$\phi_i(\mathbf{v}) = \langle \mathbf{v}, \mathbf{u}_i \rangle.$$

By uniqueness of such a vector  $\mathbf{u}_i$ , we may define a linear ransformation  $T*: W \to V$  by

$$T^*(\mathbf{e}_i) = \mathbf{u}_i$$
.

Then for all  $\mathbf{v} \in V$  and  $\mathbf{w} \in W$ ,

$$\langle \mathsf{T}(\mathbf{v}), \mathbf{w} \rangle = \langle \mathbf{v}, \mathsf{T}^*(\mathbf{w}) \rangle.$$

(b) Take  $\mathbf{v} = (x, y, z) \in \mathbb{R}^3$  and  $\mathbf{w} = (a, b) \in \mathbb{R}^2$ . Then

$$\langle \mathsf{T}(\mathbf{v}), \mathbf{w} \rangle = (y + 2z)a + 3xb = 3bx + ay + 2az.$$

Therefore,

$$T^*(a, b) = (3b, a, 2a).$$

#### Solution to Question 3.

(a) For any  $\mathbf{u}_1, \mathbf{u}_2 \in V$ , we know that  $\mathbf{u}_1 = \mathbf{u}_2$  if and only if  $\langle \mathbf{v}, \mathbf{u}_1 \rangle = \langle \mathbf{v}, \mathbf{u}_2 \rangle$  for all  $\mathbf{v} \in V$ . Therefore, to prove T\* is linear, we only need to check for all  $\mathbf{v} \in V$  and  $\mathbf{w}_1, \mathbf{w}_2 \in W$ ,

$$\langle \mathbf{v}, \mathsf{T}^*(a\mathbf{w}_1 + b\mathbf{w}_2) \rangle = \langle \mathbf{v}, a\mathsf{T}^*(\mathbf{w}_1) + b\mathsf{T}^*(\mathbf{w}_2) \rangle.$$

This is true, because the LHS is

$$\langle \mathbf{v}, \mathsf{T}^*(a\mathbf{w}_1 + b\mathbf{w}_2) \rangle = \langle \mathsf{T}(\mathbf{v}), (a\mathbf{w}_1 + b\mathbf{w}_2) \rangle$$
  
=  $\langle \mathsf{T}(\mathbf{v}), a\mathbf{w}_1 \rangle + \langle \mathsf{T}(\mathbf{v}), b\mathbf{w}_2 \rangle$   
=  $a\langle \mathsf{T}(\mathbf{v}), \mathbf{w}_1 \rangle + b\langle \mathsf{T}(\mathbf{v}), \mathbf{w}_2 \rangle$ ,

and the RHS is

$$\langle \mathbf{v}, \alpha \mathsf{T}^*(\mathbf{w}_1) + \mathsf{b} \mathsf{T}^*(\mathbf{w}_2) \rangle = \alpha \langle \mathbf{v}, \mathsf{T}^*(\mathbf{w}_1) \rangle + \mathsf{b} \langle \mathbf{v}, \mathsf{T}^*(\mathbf{w}_2) \rangle$$
$$= \alpha \langle \mathsf{T}(\mathbf{v}), \mathbf{w}_1 \rangle + \mathsf{b} \langle \mathsf{T}(\mathbf{v}), \mathbf{w}_2 \rangle$$

(b) This is because for any  $\mathbf{v} \in V$  and  $\mathbf{w} \in W$ ,

$$\langle (\mathsf{T}^*)^*(\mathbf{v}), \mathbf{w} \rangle = \langle \mathbf{v}, \mathsf{T}^*(\mathbf{w}) \rangle = \langle \mathsf{T}(\mathbf{v}), \mathbf{w} \rangle.$$

This means for all  $\mathbf{v} \in V$ ,

$$(\mathsf{T}^*)^*(\mathbf{v}) = \mathsf{T}(\mathbf{v}).$$

(c)  $\mathbf{w} \in \text{ker}(\mathsf{T}^*)$  if and only if  $\mathsf{T}^*(\mathbf{w}) = 0$ . This is equivalent to say, for all  $\mathbf{v} \in \mathsf{V}$ ,

$$\langle \mathsf{T}(\mathbf{v}), \mathbf{w} \rangle = 0,$$

i.e.,  $\mathbf{w} \in \operatorname{im}(\mathsf{T})^{\perp}$ . Therefore,  $\mathbf{w} \in \ker(\mathsf{T}^*) \iff \mathbf{w} \in \operatorname{im}(\mathsf{T})^{\perp}$ .

(d)  $\mathbf{u} \in \operatorname{im}(\mathsf{T}^*)$  if and only if  $\mathsf{T}^*(\mathbf{w}) = \mathbf{u}$  for some  $\mathbf{w} \in W$ . For all  $\mathbf{v} \in \ker \mathsf{T}$ ,

$$\langle \mathbf{v}, \mathbf{u} \rangle = \langle \mathbf{v}, \mathsf{T}^*(\mathbf{w}) \rangle = \langle \mathsf{T}(\mathbf{v}), \mathbf{w} \rangle = 0.$$

So im(T\*)  $\subseteq$  ker(T) $^{\perp}$ .

Note that  $\dim \operatorname{im}(T^*) = \operatorname{rank}(T^*)$  and  $\dim \ker(T)^{\perp} = \operatorname{rank}(T)$ . By part (c),

$$\dim \ker(\mathsf{T}^*) = \dim \operatorname{im}(\mathsf{T})^{\perp}$$
.

Because

$$\dim V - \operatorname{rank}(T^*) = \dim \ker(T^*) = \dim \operatorname{im}(T)^{\perp} = \dim V - \operatorname{rank}(T),$$

so

$$dim\,im(T^*)=rank(T^*)=rank(T)=dim\,ker(T)^{\perp}.$$

Hence,

$$im(T^*) = ker(T)^{\perp}$$
.

(e) Because for all  $\mathbf{v} \in V$  and  $\mathbf{w} \in W$ ,

$$\langle \mathsf{T}(\mathbf{v}), \mathbf{w} \rangle = [\mathsf{T}(\mathbf{v})]_{\mathcal{B}}^\mathsf{T}[\mathbf{w}]_{\mathcal{B}} = ([\mathsf{T}]_{\mathcal{A} \leftarrow \mathcal{B}}[\mathbf{v}]_{\mathcal{A}})^\mathsf{T}[\mathbf{w}]_{\mathcal{B}} = [\mathbf{v}]_{\mathcal{A}}^\mathsf{T}[\mathsf{T}]_{\mathcal{A} \leftarrow \mathcal{B}}^\mathsf{T}[\mathbf{w}]_{\mathcal{B}},$$

and

$$\langle \mathsf{T}(\mathbf{v}), \mathbf{w} \rangle = \langle \mathbf{v}, \mathsf{T}^*(\mathbf{w}) \rangle = [\mathbf{v}]_{\mathcal{A}}^\mathsf{T} [\mathsf{T}^*]_{\mathcal{A} \leftarrow \mathcal{B}} [\mathbf{w}]_{\mathcal{B}},$$

so

$$[\mathsf{T}]_{\mathcal{A}\leftarrow\mathcal{B}}^\mathsf{T}=[\mathsf{T}^*]_{\mathcal{A}\leftarrow\mathcal{B}}.$$

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# Solution to Question 4.

(a) •  $R_{\theta}$  is a linear transformation on  $\mathbb{R}^2$ : Assume

$$\mathbf{v} = \mathbf{a}(\cos \phi_1, \sin \phi_1),$$
  
 $\mathbf{w} = \mathbf{b}(\cos \phi_2, \sin \phi_2).$ 

Then

$$\begin{split} R_{\theta}(\mathbf{v}) &= \alpha(\cos(\varphi_1 + \theta), \sin(\varphi_1 + \theta)), \\ R_{\theta}(\mathbf{w}) &= b(\cos(\varphi_2 + \theta), \sin(\varphi_2 + \theta)). \end{split}$$

Let  $\mathbf{u} = R_{\theta}(\mathbf{v}) + R_{\theta}(\mathbf{w})$ . Then

$$\|\mathbf{u}\| = \sqrt{\alpha^2 + 2\alpha b \cos(\varphi_1 - \varphi_2) + b^2} = \|\mathbf{v} + \mathbf{w}\|.$$

So we may assume

$$\mathbf{v} + \mathbf{w} = \|\mathbf{u}\|(\cos\psi, \sin\psi),$$

where

$$\|\mathbf{u}\|\cos\psi = a\cos\phi_1 + b\cos\phi_2,$$
  
 $\|\mathbf{u}\|\sin\psi = a\sin\phi_1 + b\sin\phi_2.$ 

Then

$$\begin{split} R_{\theta}(\mathbf{v}+\mathbf{w}) &= \|\mathbf{u}\|(\cos(\psi+\theta),\sin(\psi+\theta)) \\ &= \|\mathbf{u}\|(\cos\psi\cos\theta-\sin\psi\sin\theta,\cos\psi\sin\theta+\cos\theta\sin\psi) \\ &= ((\alpha\cos\varphi_1+b\cos\varphi_2)\cos\theta-(\alpha\sin\varphi_1+b\sin\varphi_2)\sin\theta,\\ (\alpha\cos\varphi_1+b\cos\varphi_2)\sin\theta+\cos\theta(\alpha\sin\varphi_1+b\sin\varphi_2)) \\ &= R_{\theta}(\mathbf{v})+R_{\theta}(\mathbf{w}). \end{split}$$

Also note that the scalar multiple and rotation by  $\theta$  commutes, so  $R_{\theta}(c\mathbf{v}) = cR_{\theta}(\mathbf{v})$ .

• Let  $(\mathbf{e}_1, \mathbf{e}_2)$  be the standard basis. Note that

$$R_{\theta}(\mathbf{e}_1) = \cos \theta \mathbf{e}_1 + \sin \theta \mathbf{e}_2,$$
  

$$R_{\theta}(\mathbf{e}_2) = -\sin \theta \mathbf{e}_1 + \cos \theta \mathbf{e}_2.$$

So

$$R_{\theta} = \begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix}.$$

(b)  $R_{\alpha}R_{\beta} = R_{\alpha+\beta}$ , because rotation by  $\alpha + \beta$  is equivalent to rotation first by  $\alpha$  then by  $\beta$ . So

$$\begin{bmatrix} \cos(\alpha+\beta) & \sin(\alpha+\beta) \\ -\sin(\alpha+\beta) & \cos(\alpha+\beta) \end{bmatrix} = \begin{bmatrix} \cos(\alpha) & \sin(\alpha) \\ -\sin(\alpha) & \cos(\alpha) \end{bmatrix} \begin{bmatrix} \cos(\beta) & \sin(\beta) \\ -\sin(\beta) & \cos(\beta) \end{bmatrix}$$
 
$$= \begin{bmatrix} \cos(\alpha)\cos(\beta) - \sin(\alpha)\sin(\beta) & \cos(\alpha)\sin(\beta) + \cos(\beta)\sin(\alpha) \\ -\cos(\alpha)\sin(\beta) - \cos(\beta)\sin(\alpha) & \cos(\alpha)\cos(\beta) - \sin(\alpha)\sin(\beta) \end{bmatrix}$$

We get trig angle addition formulas

$$\cos(\alpha + \beta) = \cos(\alpha)\cos(\beta) - \sin(\alpha)\sin(\beta),$$
  

$$\sin(\alpha + \beta) = \cos(\alpha)\sin(\beta) + \cos(\beta)\sin(\alpha).$$

(c) Three Givens rotations in  $\mathbb{R}^3$  are

$$G(1,2,\theta) = \begin{bmatrix} \cos\theta & \sin\theta & 0 \\ -\sin\theta & \cos\theta & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$G(1,3,\theta) = \begin{bmatrix} \cos\theta & 0 & \sin\theta \\ 0 & 1 & 0 \\ -\sin\theta & 0 & \cos\theta \end{bmatrix}$$

$$G(2,3,\theta) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos\theta & \sin\theta \\ 0 & -\sin\theta & \cos\theta \end{bmatrix}$$

(d) Let  $G = G(i, j, \theta)$ . We need to check that  $G^TG = I$ . Let  $\langle \mathbf{v}, \mathbf{w} \rangle = \mathbf{v} \cdot \mathbf{w}$ . Taking the standard basis,  $\langle \mathbf{v}, \mathbf{w} \rangle = \mathbf{v}^T \mathbf{w}$ . If we rotation  $\mathbf{v}$  and  $\mathbf{w}$  by the same angle  $\theta$ , then their inner product should stay the same. Therefore, for all  $\mathbf{v}, \mathbf{w} \in V$ ,

$$(G(\mathbf{v}))^{\mathsf{T}}(G(\mathbf{w})) = \langle G(\mathbf{v}), G(\mathbf{w}) \rangle = \langle \mathbf{v}, \mathbf{w} \rangle = \mathbf{v}^{\mathsf{T}}\mathbf{w}.$$

So  $G^TG = I$ .

# **Solution to Question 5.**

(a) Check that  $H^T = H$ 

$$\mathbf{H}^{\mathsf{T}} = (\mathbf{I} - 2\mathbf{u}\mathbf{u}^{\mathsf{T}})^{\mathsf{T}} = \mathbf{I} - 2\mathbf{u}\mathbf{u}^{\mathsf{T}} = \mathbf{H},$$

and that  $H^TH = I$ 

$$H^{\mathsf{T}}H = H^{2}$$

$$= (I - 2\mathbf{u}\mathbf{u}^{\mathsf{T}})(I - 2\mathbf{u}\mathbf{u}^{\mathsf{T}})$$

$$= I^{2} - 4\mathbf{u}\mathbf{u}^{\mathsf{T}} + 4\mathbf{u}(\mathbf{u}^{\mathsf{T}}\mathbf{u})\mathbf{u}^{\mathsf{T}}$$

$$= I^{2} - 4\mathbf{u}\mathbf{u}^{\mathsf{T}} + 4\mathbf{u}\mathbf{u}^{\mathsf{T}}$$

$$= I.$$

(b)

$$H(\mathbf{v}) = (\mathbf{I} - 2\mathbf{u}\mathbf{u}^{\mathsf{T}})\mathbf{v}$$

$$= \mathbf{v} - 2\mathbf{u}\mathbf{u}^{\mathsf{T}}\mathbf{v}$$

$$= \mathbf{v} - 2\mathbf{u}\|\mathbf{v}\|$$

$$= \mathbf{v} - 2\mathbf{v}$$

$$= -\mathbf{v}.$$

If  $\mathbf{w} \cdot \mathbf{v} = 0$ , then

$$H(\mathbf{w}) = (I - 2\mathbf{u}\mathbf{u}^{\mathsf{T}})\mathbf{w}$$
$$= \mathbf{w} - 2\mathbf{u}(\mathbf{u}^{\mathsf{T}}\mathbf{w})$$
$$= \mathbf{w}.$$

#### 8

Extended Glossary.

**Definition 1.** A **permutation matrix** is a square matrix that has exactly one entry of 1 in each row and each column and 0s elsewhere.

**Example 1.**  $\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$  is a 2 × 2 permutation matrix.

**Non-example 1.**  $\begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix}$  is not a permutation matrix.

**Theorem 1.** *There are* n!  $n \times n$  *permutation matrices.* 

*Proof.* By definition, a  $n \times n$  matrix is a permutaion matrix if and only if it has exactly one entry of 1 in each row and column, and 0s elsewhere. We may record the location of 1s by

where  $(r_1, r_2, \ldots, r_n)$  is a permutation of  $(1, 2, \ldots, n)$ . Given a permutation matrix, we obtain such a  $(r_1, r_2, \ldots, r_n)$ . Conversely, each of such a permutation gives a permutation matrix. Hence, there is a one-to-one correspondence between permutations of  $(1, 2, \ldots, n)$  and  $n \times n$  permutation matrices. So there are n! of them.