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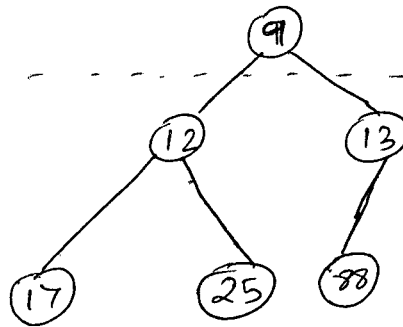
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CS6033 Homework Assignment 2

1)

9	12	13	17	25	88
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a)



BUILD-MAX-HEAP(A)

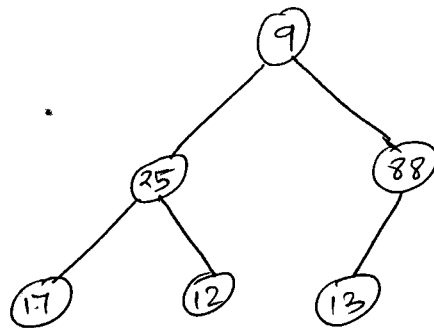
A.heap-size = A.length

for $i = \lfloor A.length/2 \rfloor$ to 1

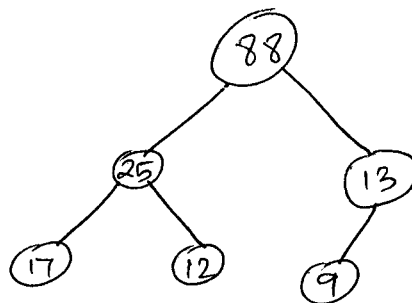
MAX-HEAPIFY(A, i)

- First we take $i = 3$ and MAX-HEAPIFY it.
- Then we take $i = 2$ and repeat the same.

So,



- And then we move top and take $i = 1$ and repeat the procedure.



Ans:

b) $\text{HEAP-EXTRACT-MAX}(A)$

if $A.\text{heapsize} < 1$

error "heap underflow"

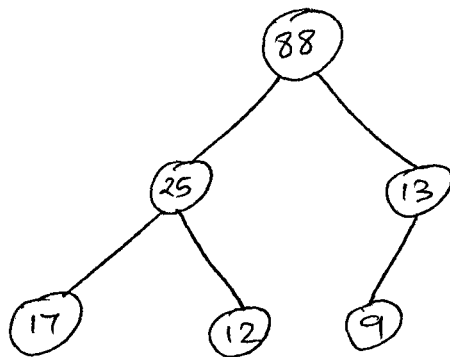
$\text{max} = A[1]$

$A[1] = A[A.\text{heapsize}]$

$A.\text{heapsize} = A.\text{heapsize} - 1$

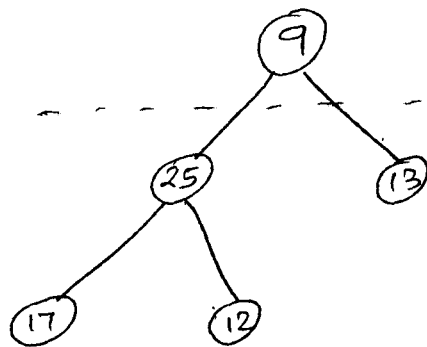
$\text{MAX-HEAPIFY}(A, 1)$

return max.

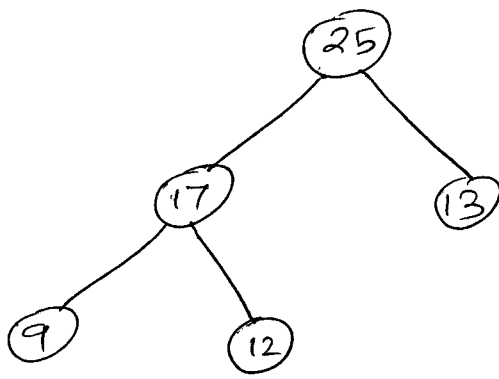


→ so extracting the root element which is the max of Array A, we get

$\text{max} = 88$



→ we do max-HEAPIFY on $A[1]$ and so on to build the proper MAX-HEAP .



Ans: The array is $A = [25, 17, 13, 9, 12]$

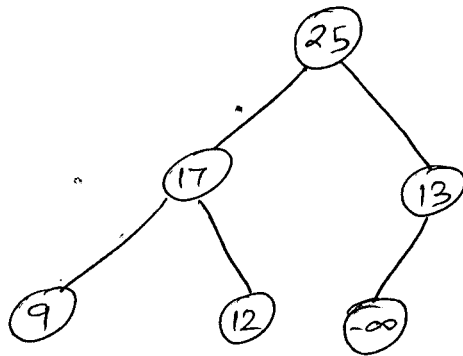
c) MAX-HEAP-INSERT (A, key)

$$A.\text{heapsize} = A.\text{heapsize} + 1$$

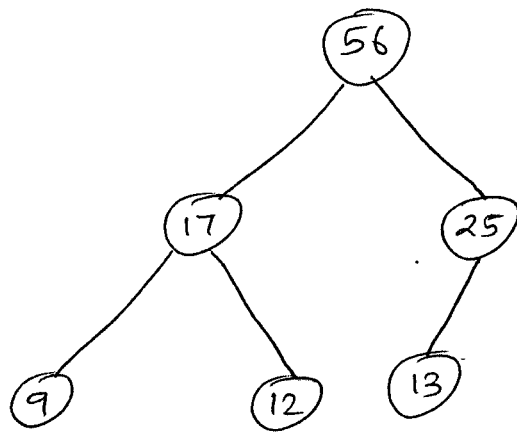
$$A[A.\text{heapsize}] = -\infty$$

$$\text{HEAP-INCREASE-KEY}(A, A.\text{heapsize}, \text{key})$$

- Here our key is 56



- Now our key is placed in last point and its compared and exchanged with parent according to HEAP-INCREASE-KEY.
- This process is repeated until our key is inserted and we get a proper max-heap.



Ans: The Array is $A = [56, 17, 25, 9, 12, 13]$

- 2) - we are given 'n' movies and we should watch best 'k' movies out of it with higher ranks.
- This is clearly a priority queue problem and we solve it with MAX-HEAP.

Algorithm:

Array 'A' contains list of movies with size 'n'.

$A.heapSize = A.length$

for $i = \lfloor A.length/2 \rfloor$ down to 1

 MAX-HEAPIFY(A, i)

for $i = 1$ to K

 max = A[1]

$A[1] = A[A.\text{heap size}]$

$A.\text{heap size} = A.\text{heap size} - 1$

MAX-HEAPIFY (A, 1)

return max

→ Here 'max' is the movie we watch.

→ So everytime we watch the movie with high rank.

→ Running time :

Building the max-heap - $\Theta(n)$

Extracting the highest rank movie from heap - $\Theta(k \log n)$

Total time = $\Theta(k \log n + n)$

$$3) \quad a) \quad \text{Parent}(i) = \lfloor (i-1)/4 \rfloor$$

$$\text{child}_1(i) = 4i+1$$

$$\text{child}_2(i) = 4i+2$$

$$\text{child}_3(i) = 4i+3$$

$$\text{child}_4(i) = 4i+4$$

- Assuming the index starts at '0'.

$$\text{Parent}(i) = (4+i-2)/4$$

$$\text{child}_1(i) = 4i-2$$

$$\text{child}_2(i) = (4i-2)+1$$

$$\text{child}_3(i) = (4i-2)+2$$

$$\text{child}_4(i) = (4i-2)+3$$

- Assuming the index starts at '1'.

b) - Each level has elements $4^0, 4^1, 4^2 \dots$

- So total elements

$$n = 1 + 4 + 4^2 + \dots + 4^h$$

$$4n = 4 + 4^2 + 4^3 + \dots + 4^{h+1}$$

which gives

$$n = \frac{4^{h+1} - 1}{4 - 1}$$

So,

$$h = \ln(4n - n + 1) / \ln(4) - 1$$

$$\Rightarrow h = \text{ceil}(\ln(4n - n + 1) / \ln(4) - 1)$$

C) HEAP-EXTRACT-MAX

if $A.\text{heapsize} < 1$

error "heap underflow"

max = $A[1]$

$A[1] = A[A.\text{heapsize}]$

$A.\text{heapsize} = A.\text{heapsize} - 1$

MAX-HEAPIFY($A, 1$)

return max

Note:

The implementation is similar to algorithm written.

Ex:

$A.\text{heapsize} = n$

(length of Array)

MAX-HEAPIFY(A, i)

child1 = CHILD1(i)

child2 = CHILD2(i)

child3 = CHILD3(i)

child4 = CHILD4(i)

if child1 $\leq A.\text{heapsize}$ and $A[\text{child1}] > A[i]$

largest = child1

else largest = i

if child2 $\leq A.\text{heapsize}$ and $A[\text{child2}] > A[\text{largest}]$

largest = child2

else largest = i

Note:

In implementation the CHILD(i) is found with formula on question @

if $\text{child}_3 \leq A.\text{heapsize}$ and $A[\text{child}_3] > A[\text{largest}]$

$\text{largest} = \text{child}_3$

else $\text{largest} = i$

if $\text{child}_4 \leq A.\text{heapsize}$ and $A[\text{child}_4] > A[\text{largest}]$

$\text{largest} = \text{child}_4$

if $\text{largest} \neq i$

exchange $A[i]$ with $A[\text{largest}]$

$\text{MAX-HEAPIFY}(A, \text{largest})$

- Here clearly the running time depends on MAX-HEAPIFY as other work done takes constant time.

- In MAX-HEAPIFY , we compare the node with all four children and we move down to the depth of tree.

\Rightarrow Running time is $O(4 \log_4 n) \Leftrightarrow O(\log_4 n)$

Note:

d) MAX-HEAP-INSERT (A, Key)

A.heapsize = n

A.heapsize = A.heapsize + 1

A[A.heapsize] = ~~-∞~~

HEAP-INCREASEKEY (A, A.heapsize, Key)

- Here we know that HEAP-INCREASEKEY runs in $O(\log_4 n)$ time.

- since insert just adds element in constant time

Running time is $O(\log_4 n)$

e) HEAP-INCREASE-KEY (A, i, Key)

A[i] = max(A[i], Key)

if Key = A[i]

while $i > 1$ and $A[\lfloor i/4 \rfloor] < A[i]$

do

Exchange A[i] and A[$\lfloor i/4 \rfloor$]

$i = \lfloor i/4 \rfloor$

- Running time is $O(\log_4 n)$ since it is proportional to the depth of tree.

4) HEAP-DECREASE-KEY (A, i, Key)

if $\text{Key} > A[i]$

error "new Key is larger"

$A[i] = \text{Key}$

while $i > 1$ and $A[\text{Parent}(i)] > A[i]$

exchange $A[i]$ with $A[\text{Parent}(i)]$

$i = \text{Parent}(i)$

Loop Invariant : At the start of each iteration, each node $i+1, i+2, \dots, n$ is the root of min-heap or is a leaf node.

Initialization : i would ~~either~~ be leaf node, so it satisfies the condition.

maintenance : At start of each iteration the subtree will be a min-heap.

Termination: At the end, i would be 1 and the entire tree would be a min-heap.

5) a) Here we divide the Array A into 2 different parts continuously and then compare to find the maximum.

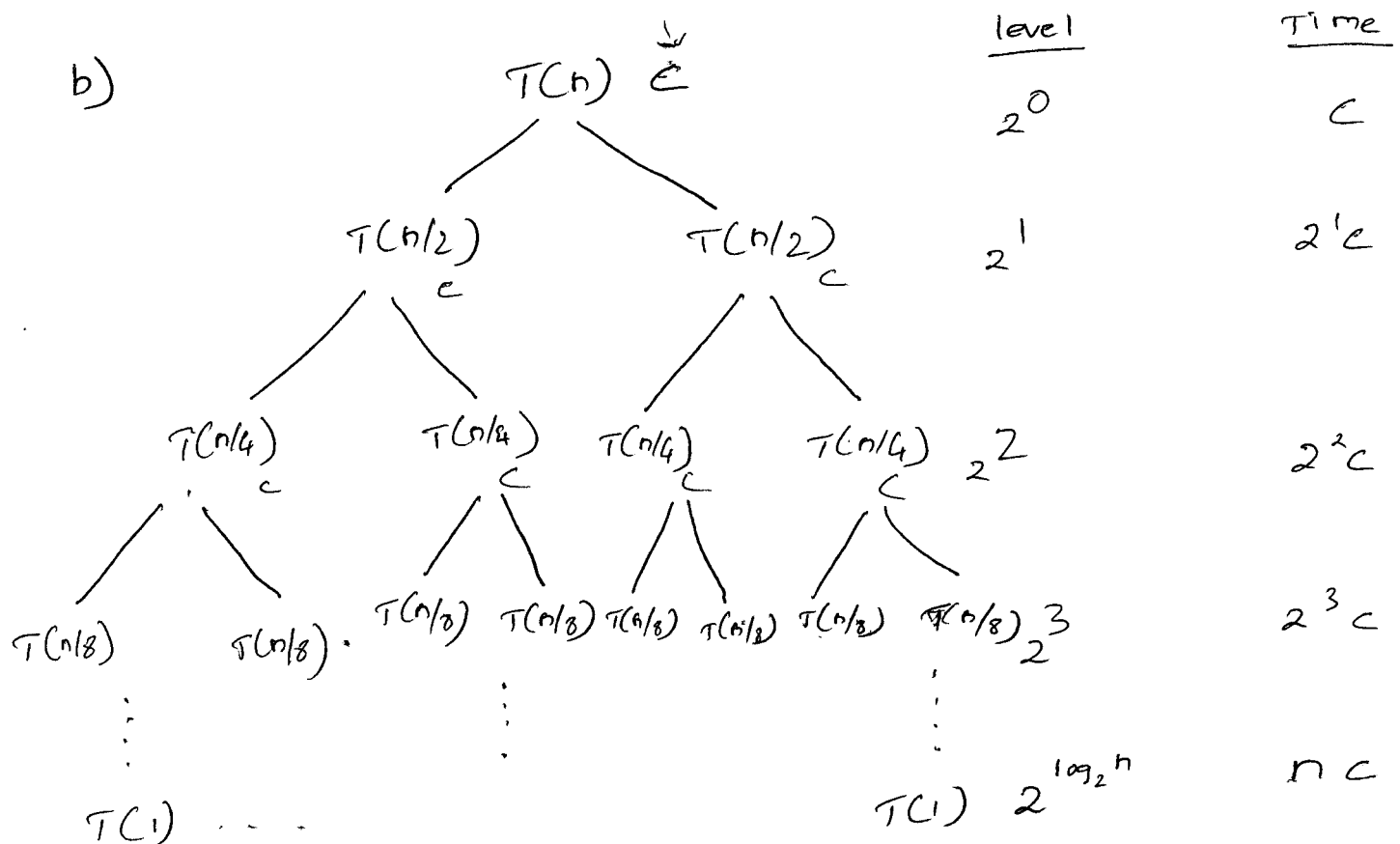
So

$$T(n) = 2(T(n/2)) + O(1)$$

since divide & combine takes constant time.

Ans:

$$T(n) = \begin{cases} O(1) & \text{if } n=1 \\ 2T(n/2) + O(1) & \text{otherwise.} \end{cases}$$



∴ So the running time is

$$T(n) = \Theta(n)$$

c) CALCULATE-MEDIAN()

MAX-HEAP = BUILD-MAX-HEAP(A)

// Constructing 2

MIN-HEAP = BUILD-MIN-HEAP(A)

// Heaps

if A.length == 1

return median is A[1]

else if A.length == 2

// only for first

item1 = A[1]

// 2 items

item2 = A[2]

if item1 < item2

MAX-HEAP-INSERT (MAX-HEAP, item1)

MIN-HEAP-INSERT (MIN-HEAP, item2)

else

~~MAX-INSERT~~

MAX-HEAP-INSERT (MAX-HEAP, item2)

MIN-HEAP-INSERT (MIN-HEAP, item1)

else

item = GET-ITEM(A)

// From 3rd item

MAXROOT = HEAP-~~EXTRACT~~-MAXIMUM (MAX-HEAP)

if item < MAXROOT

MAX-HEAP-INSERT (MAX-HEAP, item)

else

MIN-HEAP-INSERT(MIN-HEAP, item)

if MAX-HEAP.size - MIN-HEAP.size > 1

BALANCE-HEAPS(MAX-HEAP, MIN-HEAP)

MAXSIZE = MAX-HEAP.size

MINSIZE = MIN-HEAP.size

if MAXSIZE == MINSIZE

return median =
$$\left[\frac{\text{HEAP-MAXIMUM}(\text{MAX-HEAP}) + \text{HEAP-MINIMUM}(\text{MIN-HEAP})}{2} \right]$$

else

if MAXSIZE > MINSIZE

return median = HEAP-MAXIMUM(MAX-HEAP)

else

return median = HEAP-MINIMUM(MIN-HEAP)

BALANCE-HEAPS(A, B)

while (A.size - B.size > 1)

i = HEAP-EXTRACT-MAX(A)

MIN-HEAP-INSERT(B, i)

// remove root element from Heap with more

// elements and add it to other

d) Compare Binary trees with 4-ary trees and explain the scenario in which Binary Heap takes less time and prove it with example.