Environmental DNA Metabarcoding for Simultaneous Monitoring and Ecological Assessment of Many Harmful Algae

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8	Abstract
9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27	Harmful algae can have profound economic, environmental, and social consequences. As the timing, frequency, and severity of harmful algal blooms (HABs) change alongside global climate, efficient tools to monitor and understand the current ecological context of these taxa are increasingly important. Here we employ environmental DNA metabarcoding to identify patterns in a wide variety of harmful algae and associated ecological communities in the Hood Canal of Puget Sound in Washington State, USA. We track trends of presence and abundance in a series of water samples across nearly two years. We find putative harmful algal sequences in a majority of samples, suggesting that these groups are routinely present in local waters. We report patterns in variants of the economically important genus <i>Pseudo-nitzschia</i> (family Bacillariaceae), as well as multiple harmful algal taxa previously unknown or poorly documented in the region, including a cold-water variant from the saxitoxin-producing genus <i>Alexandrium</i> (family Gonyaulacaceae), two variants from the karlotoxin-producing genus <i>Karlodinium</i> (family Kareniaceae), and one variant from the parasitic genus <i>Hematodinium</i> (family Syndiniaceae). We then use data on environmental variables and the biological community surrounding each algal taxon to illustrate the ecological context in which these species are commonly found. Environmental DNA metabarcoding thus simultaneously (1) alerts us to potential new or cryptic occurrences of harmful algae, (2) expands our knowledge of the co-occurring conditions and species associated with the growth of these organisms in changing marine environments, and (3) provides a tool for monitoring and management moving forward.
28	Introduction
20	Harmful algae and associated blooms create environmental health and economic challenges at a

- Harmful algae and associated blooms create environmental, health, and economic challenges at a
- global scale, causing mass die-offs in ecosystems from de-oxygenation (Gobler, 2020; Griffith &
- Gobler, 2020), multiple types of poisoning in humans (Trainer et al., 2013), and significant
- losses of revenue for the aquaculture industry (Trainer & Yoshida, 2014; Diaz et al., 2019). For
- these reasons, local, national, and international governing bodies organize and fund monitoring
- programs to track HABs and identify the conditions that lead to their occurrence (Graneli &
- Lipiatou, 2002; Trainer, 2002; Lopez et al., 2008; Moestrup et al., 2020). In addition, changing

- 36 marine environments appear to be causing increases in the duration, frequency, and severity of
- HABs globally in association with rising temperatures and declining pH (Gattuso et al., 2015a;
- 38 Gobler et al., 2017).
- Hood Canal, a natural glacial fjord within the Puget Sound of Washington, USA, is a useful
- and natural system in which to study the ecology of harmful algae and likely future changes to their
- patterns of occurrence. Surface temperatures of the region have risen 1.0°C since the 1950s,
- 42 dissolved oxygen levels are below 5 mg/L in deeper sections of the sound, and pH has dropped
- by 0.05 0.15 units since pre-industrial era (~1750) (Feely et al., 2010; Busch, Harvey &
- McElhany, 2013; Mauger et al., 2015). Warmer temperatures and longer durations of warm
- 45 conditions will create larger windows of growth for some HABs moving forward (Moore,
- 46 Mantua & Salathe Jr, 2011; Mauger et al., 2015), with ocean acidification exacerbating the
- 47 impacts of these blooms by further increasing the toxicity and growth of harmful algal species
- 48 (Fu, Tatters & Hutchins, 2012; Field et al., 2014).
- 49 Harmful algae fall into four primary categories: diatoms, dinoflagellates, haptophytes, and
- raphidophytes. Of particular concern locally are diatoms from the genus *Pseudo-nitzschia* and
- 51 dinoflagellates from the genera Alexandrium, Gonyaulax, and Protoceratium, each of which
- 52 produces toxins that can accumulate in shellfish grazers (Shimizu et al., 1975; Satake,
- MacKenzie & Yasumoto, 1998; Cembella, Lewis & Quilliam, 2000; Trainer et al., 2009, 2016).
- When consumed by humans, the toxins then cause symptoms ranging from amnesia to paralysis,
- and can be deadly (Ferrante et al., 2013; Grattan, Holobaugh & Morris Jr, 2016). Additional
- harmful alga of concern are fish-killing species such as the diatom *Chaetoceros concavicornis*
- and the raphidophyte *Heterosigma akashiwo* (Yang & Albright, 1994; Khan, Arakawa & Onoue,
- 58 1997). There is no current ensemble testing protocol for all of these local problematic algae, and
- both human-mediated transport and warming-related range shifts are likely to introduce
- additional taxa. For example, there is recent evidence that the toxic dinoflagellate *Karenia*
- 61 *mikimotoi* (family Kareniaceae; described from Japan and also occurring in the North Atlantic) is
- 62 now present along the west coast of North America, specifically off of Alaska and California
- 63 (National Centers for Coastal Ocean Science, 2014).
- 64 Because the effects of harmful algae are wide-ranging and potentially devastating (Lewitus et al.,
- 65 2012; Moore et al., 2019), monitoring these organisms and the environmental conditions with
- which they are associated has long been a public health priority in Puget Sound and in many
- locations around the world. Efforts to track blooms of harmful algae have historically relied on
- the work of skilled taxonomists using microscopic visual analysis of cells to identify species
- 69 (e.g. Lapworth, Hallegraeff & Ajani, 2001; Yang et al., 2000). More recently, satellite
- spectrographic data (Tomlinson et al., 2004; Ahn et al., 2006), molecular assays for toxins
- 71 (Pierce & Kirkpatrick, 2001; Murray et al., 2011), and flow cytometry coupled with machine
- 72 learning (Campbell et al., 2010) have been employed to detect and track HABs.
- Adding to the list of technological advances for monitoring are two types of genetic techniques
- 74 that rely on environmental DNA (eDNA) present in the water to classify and assess the
- abundance of harmful algae: quantitative Polymerase Chain Reaction (qPCR) and DNA
- metabarcoding (Al-Tebrineh et al., 2010; Erdner et al., 2010; Antonella & Luca, 2013; Grzebyk
- et al., 2017; Ruvindy et al., 2018). The former method tracks known taxa individually and
- 78 requires substantial sequence data to design species-specific primers and/or probes; the latter
- method involves PCR with less-specific primers to generate amplicons from a broad swath of

- 80 taxa at a common locus. This second method, metabarcoding, allows detection and even
- 81 quantification of many taxa simultaneously.
- Because the specific target organisms need not be chosen a priori, metabarcoding may uncover
- 83 taxa unexpected in the study region, and in addition, can reveal a cross-section of the biological
- 84 community surrounding any particular group of interest (Deiner et al., 2017; Taberlet et al.,
- 85 2018). Previous work has described the ecological context of harmful algae and their blooms
- using environmental covariates (e.g. Wells et al., 2015; Banerji et al., 2019), as well as
- assessments of bloom-associated taxa (typically other microorganisms or viruses) (e.g. Loureiro
- et al., 2011; Buskey, 2008), although the labor required for traditional survey methodology has
- 89 limited the breadth of contextual taxa included in these studies.
- Here, we couple environmental monitoring with eDNA metabarcoding to track the presence of
- 91 dozens of potential harmful algal taxa simultaneously, including a number unexpected or
- 92 understudied in the region of interest. Because of their economic and public-health importance,
- 93 we focus on variants in the genera *Alexandrium* (dinoflagellate family Gonyaulacaceae),
- 94 Hematodinium (dinoflagellate family Syndiniaceae), Karlodinium (dinoflagellate family
- 95 Kareniaceae), and *Pseudo-nitzschia* (diatom family Bacillariaceae), and examine the distribution
- of these in time and space across 19 months of sampling at ten locations in Hood Canal. We
- 97 model the associations of individual algal lineages with key environmental variables, and
- 98 subsequently improve the predictive value of these models by including co-occurring (non-algal)
- taxa as possible indicator species. With these analyses, we present useful information on the
- distributions and ecological contexts of potentially harmful algae in the Puget Sound region, and
- demonstrate how eDNA metabarcoding can improve our understanding and management of
- harmful algae, both locally and globally.

Materials and Methods

Environmental DNA Sampling and Measuring Environmental

105 Variables

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- To identify a broad range of potential harmful algal taxa and simultaneously survey the
- surrounding biological community, we sampled seawater for eDNA from ten sites within Hood
- 108 Canal, a natural glacial fjord in Puget Sound, Washington, USA. Five sampling sites were
- intertidal, and five were nearby nearshore locations at the approximate center of the fjord. For
- intertidal locations at Salisbury Point County Park (SA), Triton Cove State Park (TR), Lilliwaup
- 111 Tidelands State Park (LL), Potlatch State Park (PO), and Twanoh State Park (TW) (see Figure 1
- and Table S1 for location coordinates), we collected three 1 L samples of water from
- immediately below the surface using a bleach-cleaned plastic bottle held at the end of a 1.7 meter
- pole. We sampled intertidal locations every 1-2 months between March 2017 and July 2018 (see
- Table S1 for sampling dates). At these same stations and simultaneous with eDNA sampling, we
- 116 collected one 120 ml water sample from each site and poisoned it with 0.1 ml of saturated HgCl₂
- for carbonate chemistry analysis including pH (Dickson, Sabine & Christian, 2007). We also
- 118 collected in situ measurements of temperature and salinity using a handheld multiprobe (Hanna
- 119 Instruments, USA) and a portable refractometer. We characterized sample carbonate chemistry
- by measuring Total Alkalinity (TA; open-cell automated titration based on a Dosimat plus

- 121 (Metrohm AG) as part of a custom system assembled by Andrew Dickson (University of
- 122 California San Diego) and used in the laboratory of Alex Gagnon at the University of
- Washington) and Dissolved Inorganic Carbon (DIC; Apollo Instruments, USA; CO2 extraction
- system with 10% (v/v) phosphoric acid). Both measurements were calibrated and validated with
- certified reference material from the Scripps Oceanographic Institute. Using DIC and TA, we
- calculated pH and the remaining carbonate system parameters with the R package 'seacarb'
- 127 (Gattuso et al., 2015b), removing a single outlier sample from the dataset used for environmental
- modeling (see below) due to an unreasonably low pH value (<7.5).
- For nearshore locations, we sampled a selection of stations (P8, P14, P12, P11, P402) surveyed
- by the Washington Ocean Acidification Center during triannual cruises (see Figure 1 and Table
- 131 S1 for location coordinates). The samples used here were collected in September 2017 (2
- samples), April 2018 (3 samples), and September 2018 (3 samples); see Table S1 for sampling
- dates. At each station, a CTD was deployed with twelve Niskin bottles, and collected data on
- temperature, salinity, and pH (Alin et al., 2019a,b,c) in addition to water for eDNA from
- immediately below the surface. We filtered 500 mL of each water sample for eDNA from both
- intertidal and nearshore locations with a cellulose acetate filter (47 mm diameter, $0.45 \mu m$ pore
- size), and preserved this filter in Longmire buffer until DNA extraction (Renshaw et al., 2015).
- Many unmeasured variables influence planktonic communities (e.g., nutrients, sunlight, and
- wave energy); nevertheless the minimal set of parameters we analyzed here clearly distinguished
- 140 communities and was adequate for the purposes of assessing temporal and spatial trends. Our
- purpose was to describe patterns of harmful algae over space and time, along with the
- environmental and ecological contexts in which they occurred, rather than to test any particular
- mechanism by which harmful algal taxa might respond to different environmental parameters.

144 Extraction, Amplification, and Sequencing

- To extract DNA from sample filters, we used a phenol:chloroform:isoamyl alcohol protocol
- 146 (modified from Renshaw et al., 2015). To maximize extraction efficiency and minimize co-
- extraction of inhibitors, we incubated filter membranes at 56°C for 30 min before adding 900 μ L
- of phenol:chloroform:isoamyl alcohol and shaking vigorously for 60 s. We conducted two
- consecutive chloroform washes by centrifuging at 14,000 rpm for 5 min, transferring the aqueous
- layer to 700 μ L chloroform, and shaking vigorously for 60 s. After a third centrifugation, we
- transferred 500 μ L of the aqueous layer to tubes containing 20 μ L 5 molar NaCl and 500 μ L
- 152 100% isopropanol, and froze these at -20°C for approximately 15 h. Finally, we centrifuged
- samples at 14,000 rpm for 10 min, poured off or pipetted out any remaining liquid, and dried in a
- vacuum centrifuge at 45°C for 15 min. We resuspended the eluate in 200 μ L water, and used 1
- 155 μ L of diluted DNA extract (between 1:10 and 1:400) as template for PCR.
- To identify a wide variety of metazoan taxa including putative harmful algae and their
- surrounding biological communities from eDNA, we amplified a ~315 base pair segment of the
- 158 Cytochrome Oxidase I (COI) using universal primers described in Leray et al. (2013). To
- distinguish technical from biological variance and to quantify each, we ran and sequenced in
- triplicate PCR reactions from each of the samples (i.e., individual bottles of water). For multiplex
- sequencing on an Illumina MiSeq, we followed a two-step PCR protocol (O'Donnell et al., 2016)
- with redundant 3' and 5' indexing. In the first step, we used a PCR reaction containing 1X
- HotStar Buffer, 2.5 mM MgCl2, 0.5 mM dNTP, 0.3 μM of each primer, and 0.5 units of HotStar

- Taq (Qiagen Corp., Valencia, CA, USA) per 20 μL reaction. The PCR protocol for this step
- 165 consisted of 40 cycles, including an annealing touchdown from 62°C to 46°C (-1°C per cycle),
- followed by 25 cycles at 46°C. In the second step, we added identical 6 base-pair nucleotide tags
- to both ends of our amplicons, with unique index sequences for each individual PCR reaction.
- We allowed for no sequencing error in these tags; only sequences with identical tags on both the
- forward and reverse read-directions survived quality control. This gave us high confidence in
- assigning amplicons back to individual field samples.
- We generated amplicons with the same replication scheme for positive control kangaroo (genus
- 172 *Macropus*) tissue, selected because this genus is absent from the sampling sites and common
- molecular biology reagents, but amplifies well with the universal primer set used in this study.
- We could therefore use positive control samples to identify possible cross-contamination: reads
- from other taxa that appear in these samples allow us to estimate and account for the proportion
- of sequences that are present in the incorrect PCR reaction (see Bioinformatics below). We also
- amplified negative controls (molecular grade water) in triplicate alongside environmental
- samples and positive controls, and verified by gel electrophoresis and fluorometry that these
- 179 PCR reactions contained no appreciable amount of DNA (see Kelly, Gallego & Jacobs-Palmer,
- 180 2018 for a discussion of the merits of sequencing positive and not negative controls).
- To prepare libraries of replicated, indexed samples and positive controls, we followed
- manufacturers' protocols (KAPA Biosystems, Wilmington, MA, USA; NEXTflex DNA
- barcodes; BIOO Scientific, Austin, TX, USA). We then performed sequencing on an Illumina
- MiSeq (250-300 bp, paired-end) platform in seven different sets of samples: six for the intertidal
- dataset and one for the nearshore dataset.

186 **Bioinformatics**

- We followed updated versions of previously published procedures for bioinformatics, quality
- 188 control, and decontamination (Kelly, Gallego & Jacobs-Palmer, 2018). This protocol uses a
- custom Unix-based script (Gallego) calling third-party programs to perform initial quality
- control on sequence reads from all four runs combined, demultiplexing sequences to their sample
- of origin and clustering unique variants into amplicon sequence variants (ASVs) (Martin, 2011;
- 192 Callahan et al., 2016).
- 193 Specifically, to address possible cross-sample contamination (see Schnell, Bohmann & Gilbert,
- 194 2015), we subtracted the maximum proportional representation of each ASV across all positive
- control samples (see Extraction, Amplification, and Sequencing above) from the respective ASV
- in field samples. We estimated the probability of ASV occurrence by performing occupancy
- modeling (Royle & Link, 2006; Lahoz-Monfort, Guillera-Arroita & Tingley, 2016). Following
- Lahoz-Monfort et al. (2016) and using the full Bayesian code for package rjags (Plummer et al.,
- 199 2016) provided by those authors, we modeled the probability of occupancy (i.e., true presence)
- 200 for each of the unique sequence variants in our dataset. We treated replicate PCR reactions of
- for each of the unique sequence variants in our dataset. We treated represent the reactions of
- each water bottle as independent trials, estimating the true-positive rate of detection (P_{11}) , false-
- positive rate (P_{10}) , and commonness (psi, ψ) in a binomial model. We then used these
- parameters to estimate the overall likelihood of occupancy (true presence) for each ASV; those
- with low likelihoods (<80%) were deemed unlikely to be truly present in the dataset, and
- therefore culled. We removed samples whose PCR replicates were highly dissimilar by
- 206 calculating the Bray-Curtis dissimilarity amongst PCR replicates from the same bottle of water

- and discarding those with distance to the sample centroid outside a 95% confidence interval. The
- result was a dataset of $3.98 * 10^8$ reads from 5275 unique ASVs. Lastly, to collapse variants
- 209 likely due to PCR error, we converted ASVs to operational taxonomic units (OTUs) by
- clustering with SWARM (Mahé et al., 2015). All bioinformatic and analytical code is included in
- a GitHub repository (https://github.com/ramongallego/Harmful.Algae.eDNA), including the
- details of parameter settings in the bioinformatics pipelines used. Sequence and annotation
- information are included as well, and the former are deposited and publicly available in
- GenBank (upon acceptance; accession numbers will be provided in the published manuscript).

Taxonomy

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- We performed the taxonomic identification using a CRUX-generated database for the Leray
- fragment of the COI gene (see Extraction, Amplification, and Sequencing above), querying that
- database with a Bowtie2 algorithm (as described in Curd et al., 2019). The algorithm classifies
- 219 the query sequence to the last common ancestor of ambiguously classified sequences. Only
- matches with a bootstrap support greater than 90% were kept. Here, we assigned taxonomy at the
- level of genus, rather than species, for two main reasons. First, for some taxa, variation may not
- be sufficient to distinguish species within a genus, and second, representation of local species in
- the databases used may not be complete, leading to the mis-assignment of sequences to their
- nearest represented neighbor. We denoted different lineages within genera using three-character
- abbreviation derived from the sequence variants themselves. Full sequences for each variant are
- provided in Table S2. To assess similarity of putative harmful algal lineages, we translated
- 227 nucleotide sequences with the ExPASy Bioinformatics Resource Portal Translate tool using the
- 228 mold, protozoan, and coelenterate mitochondrial, mycoplasma/spiroplasma genetic code
- 229 (Gasteiger et al., 2003). We created both nucleotide and amino acid alignments with the Clustal
- Omega Multiple Sequence Alignment tool (Sievers & Higgins, 2014).

Species Distributions in Space and Time

- To examine the distribution of potential harmful algal taxa in time and space, we calculated an
- index of relative eDNA abundance (hereafter eDNA abundance index). To derive this index, we
- first normalized taxon-specific ASV counts into proportions within a technical replicate, and
- then transformed the proportion values such that the maximum across all samples was scaled to 1
- for each taxon (Kelly, Shelton & Gallego, 2019). Such indexing allowed us to track trends in
- abundance of taxa in time and space by correcting for both differences in read depth among
- samples and differences in amplification efficiency among sequences. We plotted the eDNA
- abundance index for each potential harmful algal taxon across all sampling events from both
- intertidal and nearshore eDNA collections in our time series between March 2017 and September
- 241 2018.

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Environmental and Biological Context

- To explore the ways in which environmental variables were associated with the presence or
- absence of our focal harmful algal taxa, we compared logistic-regression models using taxon
- presence as outcome, and combinations of three environmental variables (temperature, pH, and
- salinity) as predictors. We also fit a variety of models in a Bayesian hierarchical framework,
- 247 where the slopes of predictors and intercepts could vary by season (summer/winter), and

- included all models (hierarchical and non-hierarchical) in our model comparison. Rather than
- 249 mechanically testing all possible combinations of models, we proposed models that were
- reasonable given the observed patterns of occurrences; in total, this resulted in between five and
- 251 17 models per taxon. For purposes of the models, we designated April through September as
- being "summer", and other months "winter". Given many possible predictor variables,
- developing a useful model without overfitting can be a challenge. To combat this, we compared
- 254 models using the widely applicable information criterion (WAIC) (Watanabe, 2010), which
- 255 makes no assumptions about the shape of the posterior probability distribution and like
- information criteria in general penalizes more complex models. Moreover, WAIC quickly
- approximates the results of leave-one-out cross-validation (McElreath, 2020) to estimate out-of-
- sample model performance. Following model selection using WAIC, we reported the in-sample
- model accuracy for reference.
- To determine the species most closely associated with potential harmful algal taxa, we performed
- 261 canonical analysis of principal coordinates (CAP) for each focal variant by implementing the
- 262 capscale function in vegan (Oksanen et al., 2013), which revealed the degree to which other taxa
- in the surrounding biological communities could be associated with presence (versus absence) of
- 264 the potential harmful algal taxon. Using this ordination technique avoids the problem of testing
- 265 each co-occurring taxon for significant associations with our focal putative harmful algae,
- thereby removing the need to statistically correct for multiple comparisons. We then used these
- 267 putative indicator taxa as predictors in a second round of logistic regressions, adding only the
- single most-strongly associated taxon as a separate intercept term to the best-fit environmental
- models for each of our focal lineages (above). Such contextual ecological information is useful
- to the extent that it helps to predict the occurrence of harmful algal lineages without overfitting,
- which we evaluated as described above using WAIC.

Results

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273 **Taxonomy**

- 274 Environmental DNA metabarcoding of 63 samples from five intertidal and five nearshore
- locations in Hood Canal, Washington, United States revealed a total of 605 unique amplicon
- sequence variants (ASVs) for which we were able to assign taxonomy to 262 distinct genera. Of
- 277 these, exactly 100 ASVs were assigned to genera that are known to contain harmful algae
- 278 (Horner & Postel, 1993; Horner, Garrison & Plumley, 1997; Trainer et al., 2016; Moestrup et al.,
- 279 2020). These potential harmful algal taxa are members of four main taxonomic groups diatoms,
- dinoflagellates, haptophytes, and raphidophytes and represent seventeen genera (diatoms:
- 281 Chaetoceros, Nitzschia, Pseudo-nitzschia; dinoflagellates: Alexandrium, Dinophysis, Gonyaulax,
- 282 Gymnodinium (Akashiwo), Hematodinium, Heterocapsa, Karlodinium, Prorocentrum,
- Woloszynskia; haptophytes: Chrysocromulina, Phaeocystis; raphidophytes: Chattonella,
- 284 Heterosigma, Pseudochattonella; See Table S2 for a complete list of potential harmful algal
- taxonomic assignments and COI sequences).
- Taxa that occur only in small numbers of samples lack sufficient observations to allow robust
- tests for association with environmental variables. Consequently, we focus hereafter on the
- ASVs present in at least ten percent of samples (minimum 7 occurrences out of 63 samples), an

289 adequate sample size to compare with environmental variables and biological context. This 290 subset of sequences included 191 total variants, 37 of which belong to potentially harmful algal 291 taxa (Table 1), and the rest to other members of the biological community. These putative 292 harmful algal variants belong to 12 genera containing differing degrees of sequence variation, 293 with some such as *Hematodinium* represented by a single DNA and protein sequence, and others 294 such as Nitzschia represented by a much larger number of DNA (10) and amino acid (5) variants. 295 Each of the potential harmful algal genera represented here exhibit varying degrees and types of 296 toxicity or harm, ranging from physical irritation of fish gill tissue to production of toxins dangerous to human health (Table 1; Trainer et al., 2016; Simonsen & Moestrup, 1997: 297 Lindberg, Moestrup & Daugbjerg, 2005; Stentiford & Shields, 2005; Kotaki et al., 2006; 298 299 Peperzak & Poelman, 2008; Skjelbred et al., 2011; Place et al., 2012; Cho et al., 2017).

Table 1: Potential harmful algal taxa identified by eDNA in at least ten percent of samples from in Hood Canal, WA. Type of harmful algae and genus are given, as well as the number of DNA and protein variants, toxicity, and sampling location(s) for member(s) of that genus.

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Type	Genus	DNA variants	Protein variants	Toxicity (target)	Sampling Location
Diatom	Chaetoceros	8	5	Gill irritation (finfish)	Intertidal; Nearshore
Diatom	Nitzschia	10	5	Domoic acid and derivatives (human)	Intertidal
Diatom	Pseudo-nitzschia	3	2	Domoic Acid (human)	Intertidal; Nearshore
Dinoflagellate	Alexandrium	2	2	Saxitoxin (human)	Intertidal; Nearshore
Dinoflagellate	Hematodinium	1	1	Parasitism (crab)	Intertidal
Dinoflagellate	Heterocapsa	2	2	Haemolysis (shellfish)	Intertidal; Nearshore
Dinoflagellate	Karlodinium	2	2	Karlotoxin (human)	Intertidal; Nearshore
Dinoflagellate	Woloszynskia	1	1	Reddening of water (general)	Intertidal
Haptophyte	Chrysochromulina	3	3	Haemolysis (shellfish)	Intertidal; Nearshore
Haptophyte	Phaeocystis	2	2	Oxygen depletion (general)	Intertidal; Nearshore
Raphidophyte	Chattonella	2	1	Reactive oxygen species (finfish)	Intertidal; Nearshore
Raphidophyte	Pseudochattonella	1	1	Gill irritation (finfish)	Intertidal

- 303 Amplicon sequences from environmental samples cannot be matched directly with phenotypes,
- 304 by definition, and taxonomic annotations of those sequences depend upon adequate reference
- material. Acknowledging both the intra-specific variation that exists at the COI locus and the
- incompleteness of the GenBank reference database for many of these groups, we treat
- polymorphism within a putative genus as being ambiguous: these variants may be intra-specific,
- 308 or they may represent distinct evolutionary lineages. For these reasons, we conservatively
- perform analyses on the sequence variants themselves (denoted with their genus names and a
- 310 three-character code that abbreviates the hash of the unique nucleotide sequence) rather than
- making assumptions regarding their status as haplotypes versus species.
- Putative harmful algal taxa from a few genera are of particular interest, due to the nature of their
- 313 toxicity (Alexandrium), to their unexpected presence in the study region (Hematodinium and
- 314 *Karlodinium*), or to their potential economic impact (*Pseudo-nitzschia*). For these reasons, we
- chose to examine aspects of their taxonomy, distribution, and ecology in greater detail. We first
- examined COI sequences for these taxa from our original metabarcoding effort, noting that both
- 317 Alexandrium and Karlodinium genera were each represented by two sequence variants, Pseudo-
- 318 *nitzschia* by three sequence variants, and *Hematodinium* by a single sequence variant (Table 1).
- 319 Amino acid translation revealed that the two *Alexandrium* ASVs differed by a single amino acid
- 320 substitution, the two Karlodinium ASVs differed by five substitutions, and although two of the
- 321 three Pseudo-nitzschia sequences (Pseudonitzschia 4e5 and Pseudonitzschia d36) were identical
- in amino acid sequence, they differed from the third (Pseudonitzschia d40) by two substitutions.
- 323 The results below focus on these eight sequence variants, which we hereafter refer to as our
- 324 "focal lineages."

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Species Distributions in Space and Time

- To identify the seasonal and spatial distributions of taxa from our eight focal lineages, we next
- visualized their patterns of presence and absence in time and space (Figure 2). The variants
- 328 assigned to Alexandrium, Alexandrium 3fc and Alexandrium 2b2, had completely non-
- overlapping distributions in space and time, never appearing in the same sampling event.
- 330 Alexandrium 3fc appeared solely in the summer (April-September) months (25 of 43 summer
- samples vs. 0 of 20 winter samples; p < 0.001) whereas Alexandrium 2b2 appeared primarily in
- the winter (October-March) months (1 of 43 summer samples vs. 7 of 20 winter samples; p <
- 333 0.001). In contrast, the single variant assigned to *Hematodinium*, Hematodinium 449, was not
- significantly seasonal (9 of 43 summer samples and 3 of 20 in winter; p = 0.742); neither were
- the two variants assigned to Karlodinium, Karlodinium 8ed and Karlodinium a27
- 336 (Karlodinium 8ed: 14 of 43 summer samples and 7 of 20 winter samples, p = 1;
- Karlodinium a27: 6 of 43 summer samples and 5 of 20 winter samples, p = 0.322). One of the
- three variants assigned to *Pseudo-nitzschia* occurred significantly more frequently in summer
- than in winter months (Pseudonitzchia d36: 10 of 43 summer samples and 0 of 20 winter
- samples, p = 0.023), while the others did not (Pseudonitzchia 4e5: 8 of 43 summer samples and
- 341 1 of 20 winter samples, p = 0.244; Pseudonitzchia d40, 7 of 43 summer samples and 4 of 20 in
- 342 winter; p = 0.737).
- All together, we detected at least one of the eight focal sequence variants in 51 out of 63
- sampling events (81%), indicating that these potential harmful algal taxa are present at some
- level more often than not in local waters. Additionally, the larger intertidal dataset was more

diverse, containing all eight focal lineages, while only three were detected on the nearshore cruises (Alexandrium_3fc, Karlodinium_8ed, Pseudonitzschia_d40).

Environmental Context

The above results suggest both *Alexandrium* lineages and at least one of the three *Pseudo-nitzschia* lineages are associated with environmental conditions that change seasonally, while the others are more stochastic in space and time. For each focal taxon, we fit a series of logistic-regression models (see Methods) describing taxon occurrence as a function of sea-surface temperature, pH, and salinity, both with and without a global intercept term (see Table S3 for a complete list of models tested, by taxon). A subset of our models was also hierarchical, allowing slopes to vary according to season, and we used WAIC to identify the best-fit models of those tested (Table 2).

Table 2: Best-fit models of environmental covariates for eight focal algal lineages.

			True Positive	True
Taxon	Environmental Model	Accuracy	Rate	Negative Rate
Alexandrium_2b2	Intercept + pH + (1 + Temperature Season)	0.92	0.29	1
Alexandrium_3fc	Intercept + (1 + Temperature Season)	0.69	0.33	0.84
Hematodinium_449	pH + Temperature	0.79	0	0.98
Karlodinium_8ed	Intercept + (Intercept + Temperature Season)	0.76	0.45	0.9
Karlodinium_a27	pH + (Intercept + Salinity Season)	0.84	0.09	1
Pseudonitzschia_4e5	Salinity + Temperature + pH	0.87	0.22	0.98
Pseudonitzschia_d36	Intercept + Salinity + pH + (1 Season)	0.89	0.4	0.98
Pseudonitzschia_d40	Salinity	0.82	0.27	0.94

All but one of these models involves multiple environmental parameters, making them difficult to adequately visualize in two dimensions. Nevertheless, plotting the probability of taxon presence as a function of the single most-influential environmental variable and capturing seasonal variation in slope when models are hierarchical illustrates the degree to which the models do (or do not) explain the observed variance in potential harmful algal taxa (Figure 3). Among the environmental variables measured, both putative harmful algal variants most closely-associated with pH occur more frequently in our samples at lower, more acidic values (Hematodinium_449, and Karlodinium_a27). For those that are most closely-associated with temperature, warmer waters see higher frequencies of a majority of putative harmful algae during the season in which they primarily occur (Alexandrium_2b2 in winter, Alexandrium_3fc in summer) with the notable exception of Karlodinium_8ed, which occurs frequently year-round and shows a conflicting relationship with temperature across seasons.

- Although environmental covariates sea-surface temperature, pH, and salinity are associated with
- 371 the presence or absence of our eight focal lineages, accuracy of these models varies widely, from
- 372 0.69 for Alexandrium_3fc to 0.92 for Alexandrium_2b2 (Table 3). Additionally, these covariates
- alone can predict only a minority of occurrences for all taxa (Table 3, true positive rate).
- 374 Consequently, we use eDNA metabarcoding data from the communities surrounding our focal
- 375 lineages for potentially helpful information about the ecology of these putative harmful algae.

Biological Context

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377 To identify the biological community associated with our focal lineages, we searched for co-378 occurring taxa using a canonical analysis of principal coordinates (CAP) (Anderson & Willis, 379 2003). Constraining this multivariate analysis according to the presence or absence of each 380 potential harmful algal variant revealed no striking patterns of association across taxa (Tables 381 S4-S11), but rather helped to identify individual community members particularly likely or unlikely to co-occur with our focal lineages. These associated community members were those 382 383 with the strongest deviations from 0 on the CAP1 axis (Table 3), and included lineages of 384 Ditylum, a centric diatom, Prasinoderma, a non-harmful green algae, Saxidomus, a clam, 385 Balanus, a barnacle, and Calanus, a copepod.

Table 3: Predictor taxa with highest positive associations to eight focal algal lineages by CAP.

Taxon	Predictor	CAP1
Alexandrium_2b2	Ditylum_ba4	0.2017
Alexandrium_3fc	Prasinoderma_6ac	0.2237
Hematodinium_449	Saxidomus_33e	0.2105
Karlodinium_8ed	Ditylum_a31	0.2405
Karlodinium_a27	Balanus_2eb	0.1727
Pseudonitzschia_4e5	Calanus_79b	0.2233
Pseudonitzschia_d36	Calanus_79b	0.229
Pseudonitzschia_d40	Ditylum_ce4	0.2462

For each of our focal lineages, adding the most closely associated predictor taxon improved model fit even after accounting for the additional model complexity (Table 4). Thus, including information about co-occurring organisms alongside baseline environmental covariates substantially increased our ability to predict the presence of these potential harmful algal taxa within the scope of our sampling. For example, Hematodinium_449 occurs somewhat stochastically in space and time (Figure 2) and is not strongly associated with environmental covariates (Table 2). However, the CAP analysis revealed that a haplotype from the clam genus *Saxidomus* (likely the species *S. giganteus* (butter clam), given the sampling location), was routinely found in samples in which *Hematodinium* also occurred (Table 3). Adding *Saxidomus* as a term in the previous best-fit model more than doubles the model's overall accuracy (Figure 4). All else being equal, when Saxidomus DNA is detected, it more than quadruples the likelihood of *Hematodinium* being detected (0.08 vs. 0.45 mean detection probability), making this biological variable a far better predictor than the measured environmental variables alone.

Performing the same analysis for each of our focal lineages yields similar results (Figure 4),
demonstrating an overall model accuracy substantially above environmental covariates alone for
most potential harmful algal variants. Specifically, adding these candidate indicator taxa
improved the true-positive rate of detection for six of the eight models (Karlodinium_8ed and
Pseudonitzschia_d36 are the exceptions), which accounted for the increase in overall accuracy
across models.

Table 4: Terms of the best-fit models combining environmental variables and most closely associated biological taxon for eight focal algal lineages.

Taxon	NA
Alexandrium_2b2	Temperature + pH + (Intercept Ditylum presence)
Alexandrium_3fc	(Intercept + Temperature Season) + (Intercept Prasinoderma presence)
Hematodinium_449	pH + Temperature + (Intercept Saxidomus presence)
Karlodinium_8ed	(Temperature Season) + (Intercept Ditylum presence)
Karlodinium_a27	pH + (Salinity Season) + (Intercept Balanus presence)
Pseudonitzschia_4e5	Salinity + Temperature + pH + (Intercept Calanus presence)
Pseudonitzschia_d36	Salinity + pH + (Intercept Calanus presence)
Pseudonitzschia_d40	Salinity + (Intercept Ditylum presence)

Discussion

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409 Here, we use genetic monitoring to highlight a wide variety of putative harmful algal taxa from a 410 larger set of several hundred taxa in intertidal and nearshore marine habitats. Within these 411 prospective harmful algal groups, we find several variants from lineages that are unexpected in 412 the study area (Karlodinium, Hematodinium), in addition to cryptic lineages of others (most notably, Alexandrium sp.), and multiple variants of economically important taxa (e.g. Pseudo-413 414 nitzschia). Our time-series sampling indicates different seasonal patterns and attendant 415 associations of sea-surface temperature, pH, and salinity for some of these lineages, but on the 416 whole, models using purely environmental covariates offer poor predictive value. We therefore 417 use a constrained ordination to identify taxa (both algal and non-algal) that commonly occur in association with our focal lineages; adding only a single prospective biological indicator taxon 418 419 greatly improved most of the predictive models.

Detecting Expected and Unexpected Harmful Algal Taxa

eDNA metabarcoding has a number of distinct advantages relative to common techniques currently used to identify harmful algae. First, this technique can reveal a diversity of potential harmful algal variants present, rather than targeting specific species. In our survey of intertidal and nearshore communities using broad-spectrum eukaryotic mitochondrial COI primers, the

425 taxa we identified were largely consistent with what we expected a priori, in that we found

dozens of variants with excellent overlap from records of known local harmful algal genera

427 (Table 1; Moestrup et al., 2020; Horner & Postel, 1993; Horner, Garrison & Plumley, 1997;

428 Trainer et al., 2016), such as three from the genus *Pseudo-nitzschia*, which is represented by

multiple species in the Puget Sound (Hubbard, Olson & Armbrust, 2014). While confirming

expectations demonstrates the reliability of eDNA metabarcoding for identification, detecting

unexpected taxa underscores the ability of this technique to reveal novel lineages, range-shifts, or

ascent invasions with potentially profound ecological and economic consequences. For

example, the genus *Alexandrium*, though known to cause paralytic shellfish poisoning in the

region (Trainer et al., 2016), was not previously understood to have two distinct seasonal forms

435 (see below). Additionally, members of *Karlodinium* produce karlotoxins responsible for fish kills

in the United States and globally (e.g. Karlodinium veneficum (Place et al., 2012)), yet this genus

is not reported from Puget Sound in the peer-reviewed scientific literature, despite having been

noted by a local monitoring program (Amelia Kolb Gabriela Hannach & Swanson, 2016).

Similarly, member(s) of the genus *Hematodinium*, which have caused massive losses for the

tanner crab (Chionoecetes bairdi) and snow crab (C. opilio) fisheries in the United States

(Meyers et al., 1987, 1996; Wood et al., 2017) and among other species worldwide (Stentiford &

Shields, 2005), have also not previously been reported within Puget Sound in the peer-reviewed

scientific literature.

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Additionally, eDNA metabarcoding can help to standardize data collection and analysis across

studies. Here we employ samples collected with two distinct methods by two groups: intertidal

water was gathered on foot and by hand, whereas nearshore water was gathered by boat on the

Washington Ocean Acidification Cruise in a more routinized process. Nonetheless, potential

harmful algal taxa identified in nearshore surface samples were all found within the larger

intertidal dataset as well, suggesting excellent agreement despite differences in methods and

personnel. Such congruence between studies also arises from how the data are analyzed: eDNA

sequences from multiple studies can consistently identify cryptic taxa by sequence (e.g. Uchii,

Doi & Minamoto, 2016; Thomsen & Willersley, 2015), and can undergo identical taxonomic

analyses that are not subject to differences in interpretation via morphology (Proschold &

Leliaert, 2007). However, we note that the success of eDNA studies rests heavily on the

shoulders of expert taxonomists: without their contributions to the identification of specimens

with sequences in databases, it is impossible to link a fragment of DNA found in the water to an

organism (Manoylov, 2014; Zimmermann et al., 2014).

Species Distributions in Space and Time

- Our spatial and temporal data indicate that many putative harmful algal taxa are constitutively
- 460 present in the intertidal and nearshore environment, or at the very least are routinely detectable
- 461 (Table 1; Figure 2). Although challenges in relating sequence counts to absolute organism
- abundances limit the utility of eDNA metabarcoding for precise measurement of bloom intensity,
- the ability of eDNA to reveal harmful algal taxa even when cell counts are much lower than
- bloom conditions can be advantageous. For example, we detect *Alexandrium* variants year-round
- in Hood Canal, including winters, when recorded *Alexandrium* blooms are rare, but when
- 466 fisheries closures due to presence of paralytic shellfish toxin do exist (Trainer et al., 2003;
- Moore, Mantua & Salathe Jr, 2011). When paired with more traditional methods, this tool

therefore provides another layer of information regarding the behavior of harmful algae, and at

the very least can indicate a temporal and spatial starting place for more time-, labor-, and

470 taxonomic expertise-intensive counting strategies.

Sampling many taxa over time and space additionally facilitates important within- and cross-

species comparisons (Figure 2). Here, such comparisons reveal two lineages of *Alexandrium*

with different temporal patterns, and completely non-overlapping distributions. Although

474 taxonomic revisions of the *Alexandrium tamarense* species complex – and competing

classifications of local taxa (Lilly, Halanych & Anderson, 2007; John et al., 2014) – make it

impossible to identify the variants present in our survey without additional information, amino

acid differences in the COI sequence of the two lineages alongside temporal distribution

information suggest that they may represent distinct species, rather than haplotypes. Regardless,

479 recognizing two distinct *Alexandrium* lineages with opposite seasonal dynamics is likely to be

important to local monitoring and research programs aiming to identify risk of saxitoxin

poisoning (e.g. Amelia Kolb Gabriela Hannach & Swanson, 2016; Trainer et al., 2016). Previous

work on *Alexandrium* within the Puget Sound found a lower limit for toxic bloom events at 13°C

483 (Nishitani & Chew, 1984), with recent work identifying higher growth of cells above 17-18°C

484 (Bill et al., 2016), and more frequent blooms accompanying warmer air and sea surface

temperatures over multiple decades (Moore et al., 2009; Moore, Mantua & Salathe Jr, 2011).

Alexandrium 2b2, present nearly exclusively in winter, cold-water samples, does not match the

profile expected given these studies, suggesting either that it does not bloom frequently and/or

that it is a recent introduction to the local algal community, whose role is not yet appreciated.

Our dataset also underscores the dynamism and diversity of harmful algal taxa in local waters

490 (Figure 2). For example, some unexpected variants in our dataset (e.g. Hematodinium 449 and

Karlodinium_a27) are highly variable in space and time, while others are more consistent and

492 widespread (e.g Karlodinium 8ed, which appears in 20 of 63 samples). These results indicate our

493 method may reveal transient appearances as well as the well-established presence of

494 understudied taxa in the region. Notably, members of the genus *Hematodinium* have been

reported on the west coast of North America in the Bering Sea and Southeast Alaska (Meyers et

al., 1987, 1996; Jensen et al., 2010; Small, 2012). Likewise, Karlodinium venificum was first

documented in San Francisco Bay in 2005, with continuing observations over the following

decade (Nejad, Schraga & Cloern), but not elsewhere on the West Coast of North America

499 (Moestrup et al., 2020). This study therefore adds evidence to reports of these taxa in nearby

locales, suggesting that more work is necessary to track their presence in the Puget Sound region,

where it is possible that they might impact important fisheries in the future.

Nucleotide variants from the genus *Pseudo-nitzschia* identified here are not unexpected; multiple

species from this genus have been described locally using both visual (e.g. Trainer et al., 2016)

and molecular tools (e.g. Hubbard, Olson & Armbrust, 2014). In the Western Pacific, clades of a

single *Pseudo-nitzschia* species (*P. pungens*) with distinct ecological niches and hybrid zones

have been documented (Kim et al., 2018); it is interesting to note that here we similarly find two

507 Pseudo-nitzschia variants with distinct nucleotide but identical amino acid sequences at the COI

locus. Based on their overlap in time and space, it is likely these represent haplotype lineages

that have begun to diverge but are not yet distinct species. Additionally, the specific local

antecedents of toxicity in *Pseudo-nitzschia* are still under study (e.g. Zhu et al., 2017; Trick et

al., 2018), with production of domoic acid historically limited to the outer coast of Washington

- 512 (Trainer et al., 2017), but recently moving into Puget Sound (Trainer et al., 2007). Revealing the
- diversity and pattern of genetic variants present in time and space by eDNA metabarcoding
- might thus support efforts to better characterize the causes underlying dangerous and costly
- 515 Pseudo-nitzschia bloom events.

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Environmental and Biological Context

- Quantitative models of each focal lineage with respect to environmental variables (Table 2),
- motivated by taxon-specific patterns in space and time (Figure 2), yield a synoptic view of the
- occurrence of many potentially harmful algal taxa in the region a perspective that is otherwise
- not easily achievable, though many detailed quantitative models have been built for individual
- harmful algal species (e.g. Moore et al., 2015; Hubbard, Olson & Armbrust, 2014). Across taxa,
- we note that values expected with global climate change (higher sea surface temperature) and
- ocean acidification (lower pH) are typically associated with increases in the occurrence of our
- focal lineages, such as Alexandrium 2b2, Alexandrium 3fc, Hematodinium 449, and
- Karlodinium a27. These results in sum align with other studies of local harmful algal taxa,
- suggesting future scenarios will involve greater seasonal windows of opportunity for toxic bloom
- events (e.g. Moore, Mantua & Salathe Jr, 2011; Trainer et al., 2020).
- Overall, however, the quantitative models we have built with environmental variables alone have
- low accuracy, and universally fail to predict a majority of occurrences for our focal lineages
- 530 (Table 2). The difficulty of predicting the presence of harmful algal taxa and their blooms based
- on a limited number of environmental covariates is not atypical; building accurate models of
- these species' ecology has long been a challenge for the field (Flynn & McGillicuddy, 2018),
- even when many more environmental covariates are considered. In this study, the variant
- Hematodinium 449 is an extreme representative of this challenge; our environmental model
- does not predict its presence correctly even once (Table 2), though it appears in 12 of 63 samples
- gathered. Similarly, Karlodinium_a27 and Pseudonitzchia_4e5 models both have true positive
- rates less than 0.25, according to their respective environmental models (Table 2). These models
- are consequently worse than uniformative; they can be actively misleading by inaccurately
- predicting the absence of harmful species.
- Fortunately, eDNA metabarcoding provides an additional layer of information regarding the
- context of harmful algal taxa: the surrounding biological community members (both algal and
- 542 non-algal). Specifically, the choice of universal eukaryotic primers amplifying a common
- molecular marker (mitochondrial COI) allows us to identify over 600 taxa in total, from more
- than 250 genera. These data enable us to associate the presence and absence of individual focal
- variants with a wide range of eukaryotes by CAP (Tables 3 and S4-S11). Studies such as this one
- that examine harmful algae within the breadth of their biological communities are rare, but
- provide an opportunity to improve prediction (e.g. Banerji et al., 2019). Here, we see that adding
- only the single best predictor taxon to our quantitative models of focal lineages universally
- improves their fit (Table 5), justifying added model complexity. Although it may appear circular
- to identify co-occurring species in the dataset and subsequently add them into the model of the
- same dataset, use of WAIC allows us to assess the value of additional information for future out-
- of-sample data, generating testable hypotheses for harmful algal indicator species. As an
- example, adding the presence of an easily surveyed indicator taxon, a *Saxidomus* clam, improves
- the prediction of Hematodinium 449 dramatically, in particular the true-positive measure

555 essential to management (Figure 4). The majority of focal lineages examined here similarly show 556

an improvement in model accuracy driven by large increases in the true positive rate with

557 addition of biological information.

Conclusion

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559 In this study, we employ COI universal primers (Leray et al., 2013) to simultaneously identify

560 dozens of potentially harmful algal variants, as well as hundreds of other local taxa comprising

the biological context for these harmful algae. The broad nature of eDNA metabarcoding surveys 561

562 allows us to track both expected and unexpected taxa, and the distribution in time and space of

563 eight focal variants from the genera Alexandrium, Hematodinium, Karlodinium, and Pseudo-

564 nitzschia suggests the constitutive presence of harmful algae in the study region, as well the

565 possibility of nascent range shifts, invasions, and/or ongoing evolutionary divergence. Building

566 individual quantitative models for each of eight focal lineages, we find that many variants are

567 likely to become more common under conditions of higher sea surface temperature and ocean

568 acidification, but note that models using environmental covariates alone have low explanatory

569 power. Adding even a single associated member of the biological community, however,

570 improves most models, and in particular boosts the true positive rates useful for prediction of

571 harmful algal taxa in the field. eDNA metabarcoding is hence an opportunity to reveal harmful

572 algae outside of bloom events and expected ranges, to map phylogenetic complexity underlying

573 HAB dynamics, to interrogate the relevant environmental context in an era of global change, and

574 to improve models of harmful algal prediction with inclusion of the biological milieu.

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Figure Legends

- Figure 1: Intertidal and nearshore sampling locations in Hood Canal, Washington, USA. Site 588
- 589 abbreviations are described in the text, and coordinates are given in Table S1. Inset map shows
- 590 the Pacific coast of the continental United States.
- 591 Figure 2: Spatial and temporal distribution of HAB taxa. Distribution of eight focal algal
- 592 lineages across time and space. Larger and lighter circles indicate greater relative abundances;

- 593 'x' symbol indicates non-detection at that site/date. Site abbreviations are as in Figure 1 and
- 594 *Table S1*.
- Figure 3: Best-fit logistic models for eight focal algal lineages. Here, probability of presence is
- shown as a function of the single most influential environmental variable in the model, along
- 597 with overall model means (lines) and 50% and 95% credibility intervals (shaded areas). Where
- 598 two-panel figures are shown for a taxon, the best-fit model included a slope term that varied by
- 599 season.
- 600 Figure 4: In-sample predictive value of best-fit models for eight focal algal lineages, as
- 601 measured by accuracy, true-negative rate, and true-positive rate. Red dots indicate values for
- models combining environmental information with a single associated predictor taxon; blue dots
- 603 indicate values for models with environmental information alone. Where only a single dot is
- 604 visible, models produced equivalent results.

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