# On Polynomial Approximations for Privacy-Preserving and Verifiable ReLU Networks

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#### Overview

- Outsourcing neural network inference tasks to an untrusted cloud raises data privacy and integrity concerns.
- To address these challenges, several privacy-preserving and verifiable inference techniques replace activation functions such as the ReLU function with polynomials.
- Such techniques may require the coefficients to be in a finite field.
- Previous works proposed replacing the ReLU activation function with

$$\sigma_{
m square}(x)=x^2.$$

• We empirically show that the square function is not the best second-degree polynomial that can replace the ReLU function. We instead propose

$$\sigma_{\text{poly}}(x) = x^2 + x.$$

• Our experiments on the CIFAR-10 dataset show that our proposed activation function significantly outperforms the square activation function.

### **Closely-Related Works**

- CryptoNets [3] proposed a privacy-preserving inference technique based on leveled homomorphic encryption [1], replacing the ReLU with the square function and replacing the max-pooling layers with sum-pooling layers.
- SafetyNets [2] proposed a verifiable inference approach based on the sumcheck protocol [7, 8, 4], replacing the ReLU function with the square function and replacing the max-pooling layers with sum-pooling layers. The square function was shown to work well in a few experiments with 3-layer and 4-layer networks on the MNIST and the TIMIT datasets.

## Polynomial Approximations of the ReLU

1. Fourier Series Based Approximation

$$p_2(x) = \frac{4}{3\pi}x^2 + \frac{1}{2}x + \frac{1}{3\pi}.$$
 (1)

2. Least-Squares Approximation

$$p_2(x) = \frac{15}{32}x^2 + \frac{1}{2}x + \frac{3}{32}.$$
 (2)

3. Minimax Approximation

$$p_2(x) = \frac{1}{2}x^2 + \frac{1}{2}x + \frac{1}{16}.$$
(3)

This motivates us to propose the activation function

$$\sigma_{\text{poly}}(x) = x^2 + x. \tag{4}$$

#### **Evaluation**

We consider an image classification problem on CIFAR-10.

1. We first consider the network architecture of [6]. This network has 7 convolutional layers, 7 ReLU (or polynomial) activation layers, 2 max-pooling (or sum-pooling) layers, a fully connected layer and a Softmax activation layer.

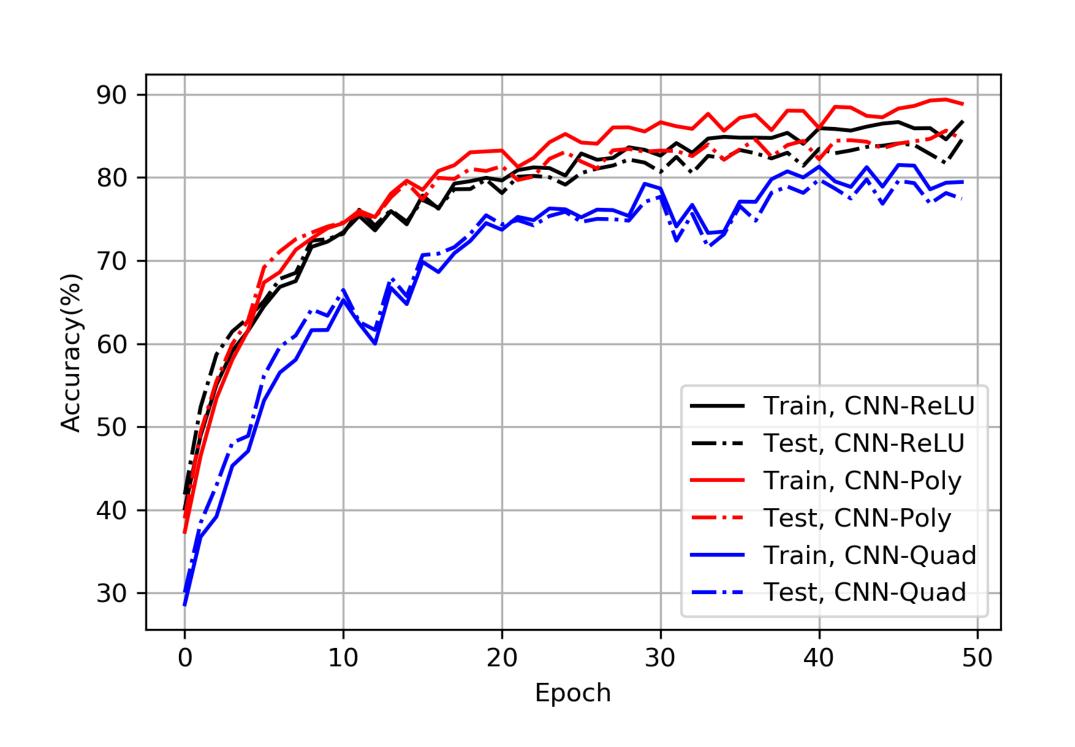


Fig. 1: Accuracy of the CNN architecture in [6].

2. We also consider the "Network In Network (NIN)" architecture of [5].

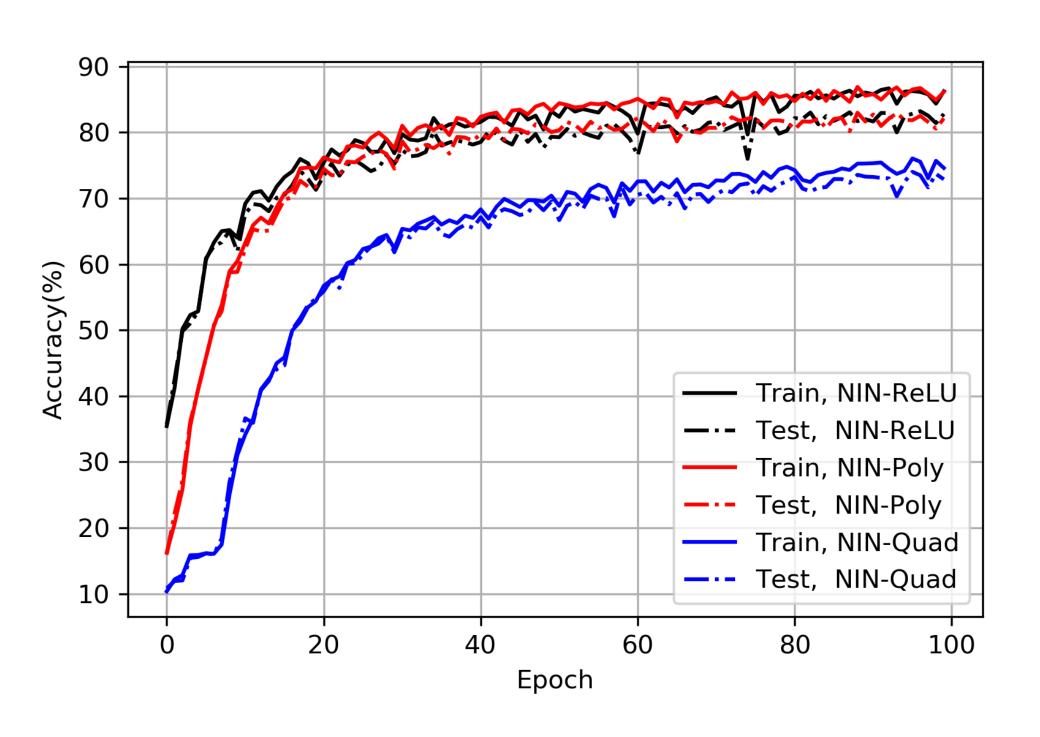


Fig. 2: Accuracy of the Network In Network architecture in [5].

### **Concluding Remarks**

- In this work, we have empirically shown that a second-degree polynomial that has a first order term can significantly outperform the square function.
- Our future work aims to test our activation function on deeper networks and other datasets and to investigate its optimality.

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