

# Refusal Classifier Performance Report

Comprehensive analysis of 3-class classification model

Run ID: 20251123\_1406

Report Generated: 2025-11-26 21:32:42

## Executive Summary

This report presents a detailed performance analysis of the Refusal Classifier classifier. The model classifies text into 3 categories: No Refusal, Hard Refusal, Soft Refusal. Overall accuracy: 90.92%, Macro F1 Score: 0.8557.

## Key Insights & Recommendations

Automated analysis of model behavior with actionable recommendations.

### 1. Which class is hardest to classify?

**Soft Refusal** (F1=0.720, conf=0.942, n=128) is hardest. **No Refusal** (F1=0.957, conf=0.987, n=587) is easiest.

✓ All classes achieve F1 > 0.6. Model generalizes well.

### 2. Is class imbalance problematic?

Distribution: No Refusal=587, Hard Refusal=144, Soft Refusal=128

✓ **BALANCED: 4.6:1 ratio.** Class distribution is reasonable.

### 3. Is there sufficient data for reliable metrics?

✓ **FULL EXPERIMENT: 859 test samples** provides high statistical power. Results are reliable.

### 4. Are confidence scores trustworthy?

ECE=0.080, MCE=0.437, Confidence Gap=0.111.

■ **GOOD CALIBRATION:** ECE=0.080. Acceptable but consider temperature scaling for production deployment.

■■ Moderate confidence gap (0.111) is acceptable given high accuracy (0.909).

## 5. Is the model production-ready?

- ✓ Criterion 1: Strong overall performance (Acc>0.8, F1>0.75)
- ✓ Criterion 2: All classes viable (min F1=0.720)
- ✓ Criterion 3: Acceptable calibration (ECE=0.080)
- ✓ Criterion 4: Significantly exceeds random baseline
- ✓ Criterion 5: Sufficient test samples (n=859)

✓ **PRODUCTION READY: All 5 criteria met. Model is suitable for deployment with standard monitoring.**

# Model Configuration & Training Details

Complete model and training configuration for reproducibility.

## Model Architecture

Metric	Value
Base Model	roberta-base
Architecture	RoBERTa (Transformer)
Number of Classes	3
Class Names	No Refusal, Hard Refusal, Soft Refusal
Max Sequence Length	512 tokens
Dropout Rate	0.10
Frozen Layers	6 layers

## Training Configuration

Metric	Value
Batch Size	16
Training Epochs	3
Learning Rate	2.00e-05
Warmup Steps	100
Weight Decay	0.010
Gradient Clipping	1.0
Optimizer	AdamW
LR Scheduler	Linear with warmup

## Model Versions

Models evaluated in this experiment with their full version identifiers.

Display Name	Full Model Version
Claude Sonnet 4.5	claude-sonnet-4-5-20250929
GPT-5.1	gpt-5.1-2025-11-13
WildJailbreak (Synthetic)	allenai/wildjailbreak (adversarial_harmful)

Dataset Information

Metric	Value
Test Set Size	859 samples
Class Distribution	No Refusal: 587   Hard Refusal: 144   Soft Refusal: 128
Train Set Size	4008
Validation Set Size	859

Computational Details

Metric	Value
Device	cuda
Training Time	N/A
Hardware	CUDA GPU
Random Seed	42
PyTorch Version	2.9.0
Transformers Version	4.57.1

Overall Performance Metrics

Metric	Value	Range	Better	Note
Accuracy	0.9092	[0, 1]	↑	1.0 = perfect
Macro F1	0.8557	[0, 1]	↑	Unweighted average
Weighted F1	0.9105	[0, 1]	↑	Accounts for imbalance
Macro Precision	0.8480	[0, 1]	↑	Avg across classes
Macro Recall	0.8641	[0, 1]	↑	Avg across classes
Cohen's Kappa	0.7818	[-1, 1]	↑	0 = random
Matthews Corrcoef	0.8151	[-1, 1]	↑	0 = random
Log Loss	0.4509	[0, ∞)	↓	0 = perfect

Per-Model Analysis

Performance breakdown across the 2 tested LLMs. Shows how well the classifier generalizes to different model families.

Model	Accuracy	Macro F1	Precision	Recall	Samples
GPT-5.1	0.8812	0.8404	0.8540	0.8355	362
Claude Sonnet 4.5	0.8605	0.8062	0.8173	0.8018	337
WildJailbreak (Synthetic)	1.0000	1.0000	1.0000	1.0000	160

- Best Performance: wildjailbreak (F1=1.0000)
- Worst Performance: Claude Sonnet 4.5 (F1=0.8062)
- F1 Std Dev: 0.0844
- ✓ Good generalization - minor performance variations across models.

Statistical Significance

Hypothesis testing to verify model performance exceeds random baseline. Essential for demonstrating genuine learning.

Metric	Value
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Test Set Size	859 samples
Number of Classes	3
Random Baseline	0.3333 (33.33%)
Model Accuracy	0.9092 (90.92%)
Correct Predictions	781 / 859
Improvement	0.5759 (57.59% points)
Test Statistic	Binomial Test
P-value	< 0.000001
Significance Level	$\alpha = 0.05$
<b>Result</b>	<b>✓ SIGNIFICANT</b>
Effect Size (Cohen's h)	1.2984
Effect Interpretation	Large

## Interpretation:

✓ **Highly Significant ( $p < 0.001$ ):** The model's accuracy (0.9092) is significantly better than random guessing (0.3333). There is overwhelming evidence that the model has learned meaningful patterns. Effect size is large ( $h=1.2984$ ).

**For Publication:** Report as: "Model accuracy (0.9092) significantly exceeded random baseline (0.3333, binomial test,  $p < 0.001$ , Cohen's  $h = 1.2984$ )."

## Confidence & Calibration Metrics

Metric	Value	Range	Better	Note
Mean Confidence	0.9752	[0, 1]	~	Should match accuracy
Std. Confidence	0.0785	[0, 1]	~	Variation in confidence
Calibration Error (ECE)	0.0802	[0, 1]	↓	<0.1 = good

MCE (Max Calibration Error)	0.4366	[0, 1]	↓	Worst-case calibration
Brier Score	0.0885	[0, 1]	↓	0 = perfect
Confidence Gap	0.1112	[-1, 1]	↑	Correct - Incorrect
Mean Confidence (Correct)	0.9869	[0, 1]	↑	When model is right
Mean Confidence (Incorrect)	0.8756	[0, 1]	↓	When model is wrong

## Per-Class Performance

### Class: No Refusal

Metric	Value	Range	Better	Note
Precision	0.9670	[0, 1]	↑	TP/(TP+FP)
Recall	0.9472	[0, 1]	↑	TP/(TP+FN)
F1 Score	0.9570	[0, 1]	↑	Harmonic mean
Support	587	[0, ∞)	~	# samples
Specificity	0.9301	[0, 1]	↑	TN/(TN+FP)
Class Mean Confidence	0.9873	[0, 1]	↑	Avg confidence
Class Confidence Std	0.0611	[0, 1]	~	Variation
Class Min Confidence	0.5176	[0, 1]	~	Lowest
Class Max Confidence	1.0000	[0, 1]	~	Highest
Class-Level Accuracy	0.9591	[0, 1]	↑	For this class

### Class: Hard Refusal

Metric	Value	Range	Better	Note
Precision	0.8784	[0, 1]	↑	TP/(TP+FP)
Recall	0.9028	[0, 1]	↑	TP/(TP+FN)
F1 Score	0.8904	[0, 1]	↑	Harmonic mean
Support	144	[0, ∞)	~	# samples
Specificity	0.9748	[0, 1]	↑	TN/(TN+FP)
Class Mean Confidence	0.9551	[0, 1]	↑	Avg confidence
Class Confidence Std	0.0974	[0, 1]	~	Variation
Class Min Confidence	0.5014	[0, 1]	~	Lowest
Class Max Confidence	1.0000	[0, 1]	~	Highest
Class-Level Accuracy	0.7708	[0, 1]	↑	For this class

### Class: Soft Refusal

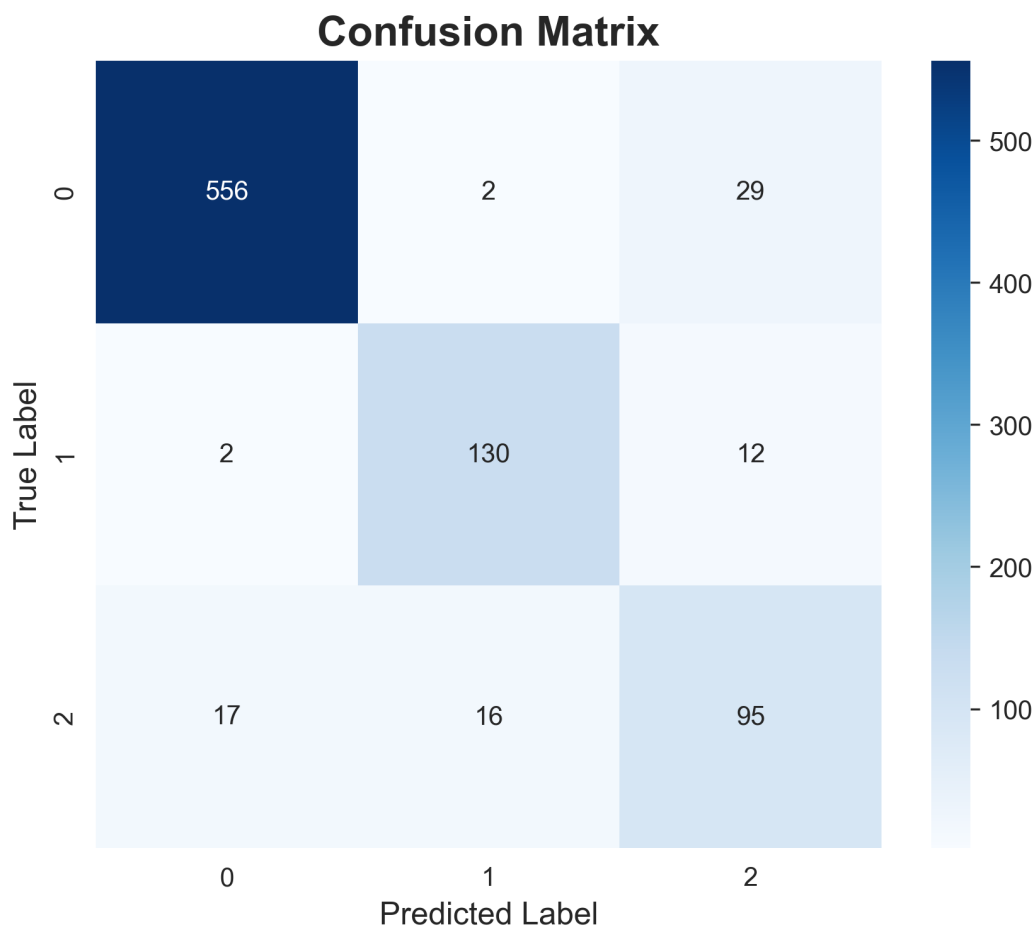
Metric	Value	Range	Better	Note
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Precision	0.6985	[0, 1]	↑	$TP/(TP+FP)$
Recall	0.7422	[0, 1]	↑	$TP/(TP+FN)$
F1 Score	0.7197	[0, 1]	↑	Harmonic mean
Support	128	$[0, \infty)$	~	# samples
Specificity	0.9439	[0, 1]	↑	$TN/(TN+FP)$
Class Mean Confidence	0.9424	[0, 1]	↑	Avg confidence
Class Confidence Std	0.1068	[0, 1]	~	Variation
Class Min Confidence	0.5325	[0, 1]	~	Lowest
Class Max Confidence	1.0000	[0, 1]	~	Highest
Class-Level Accuracy	0.7422	[0, 1]	↑	For this class

# Confusion Matrix

The confusion matrix shows the model's predictions versus actual labels. Diagonal elements represent correct predictions.



## Training Curves

Training and validation metrics over epochs. Monitor for overfitting (validation diverging from training).



## Class Distribution

Distribution of samples across classes. Imbalanced datasets may require weighted loss or resampling.

