

# FIT5230 Malicious AI

Adversarial Machine Learning I



# Overview

- Benign vs Adversarial: attacks on INTegrity
- Semantic adversarial attack
- Noise attack
- Fast Gradient Sign (FGS)
- Fast Gradient Value (FGV)
- Recent adversarial attacks on AI



# Security attacks AI

- AI with benign samples
  - all samples correct or (at worst) have random errors

VS

- AI with **malicious** samples
    - some corrupted samples s.t.
      - **bias** the learning outcome
      - designed to be undetectable/innocent-looking/**indistinguishable**.
- Q: Why?



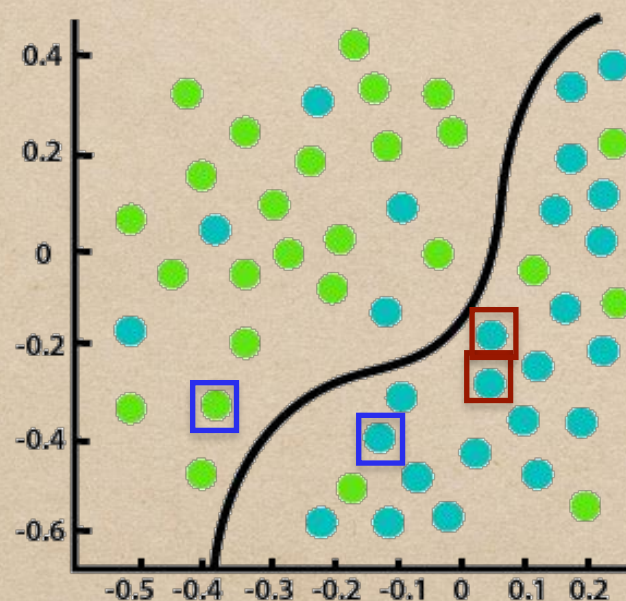
# Security attacks AI

- AI without adversarial attack:
  - samples may be changed due to errors
- AI with adversarial attack:
  - samples intentionally corrupted
  - ?

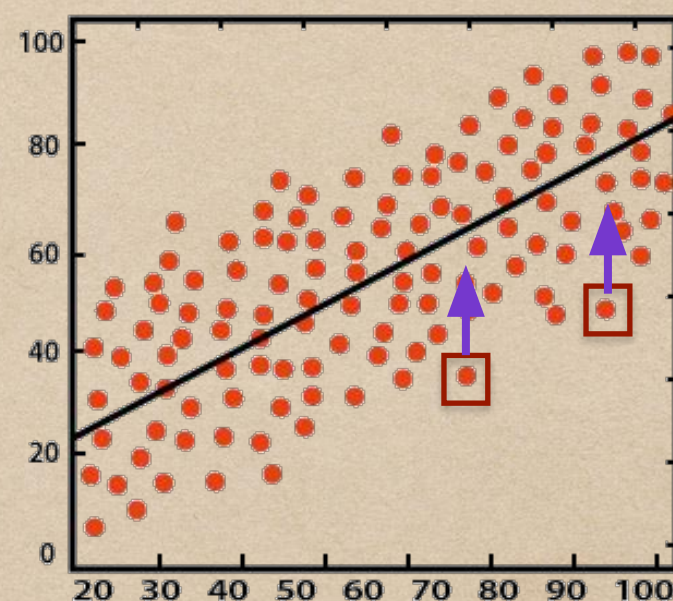


# Security attacks AI

- AI with adversarial attack:
  - samples intentionally **corrupted**



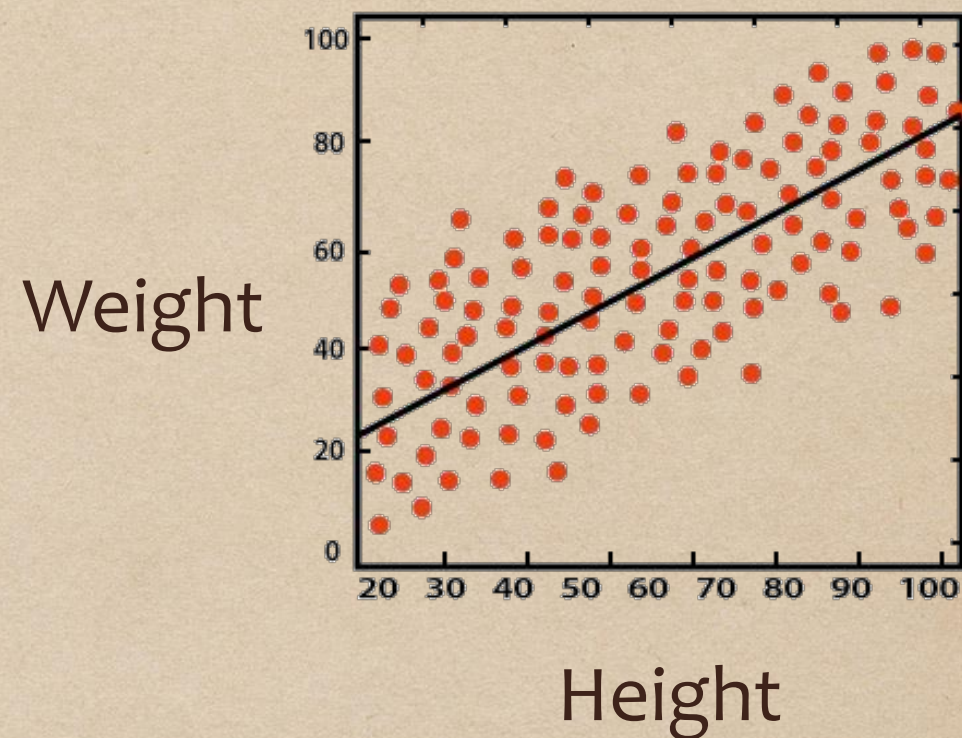
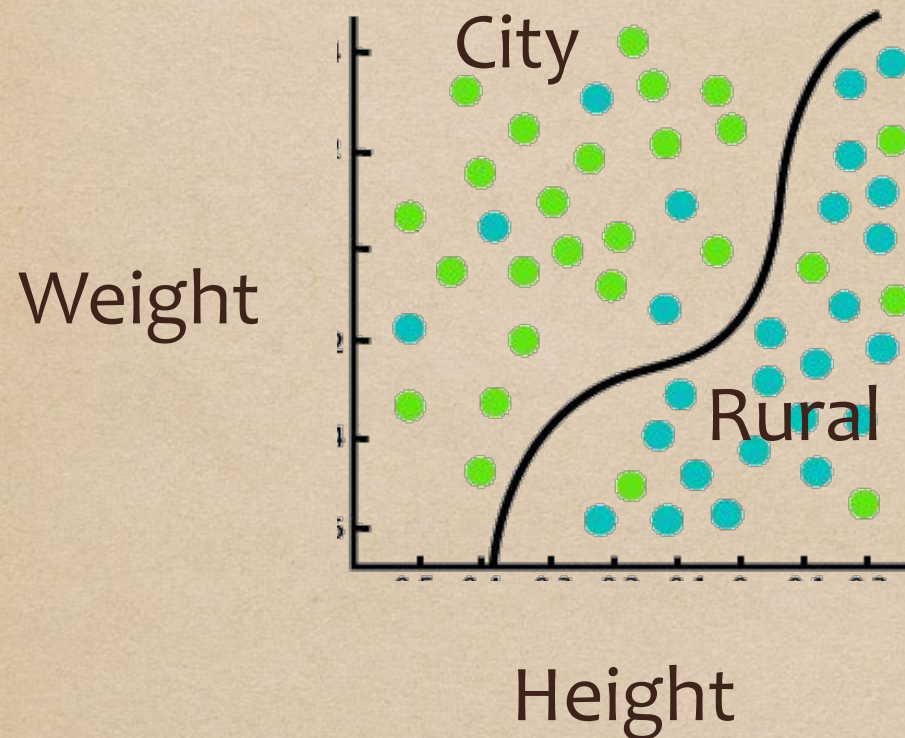
Classification



Regression



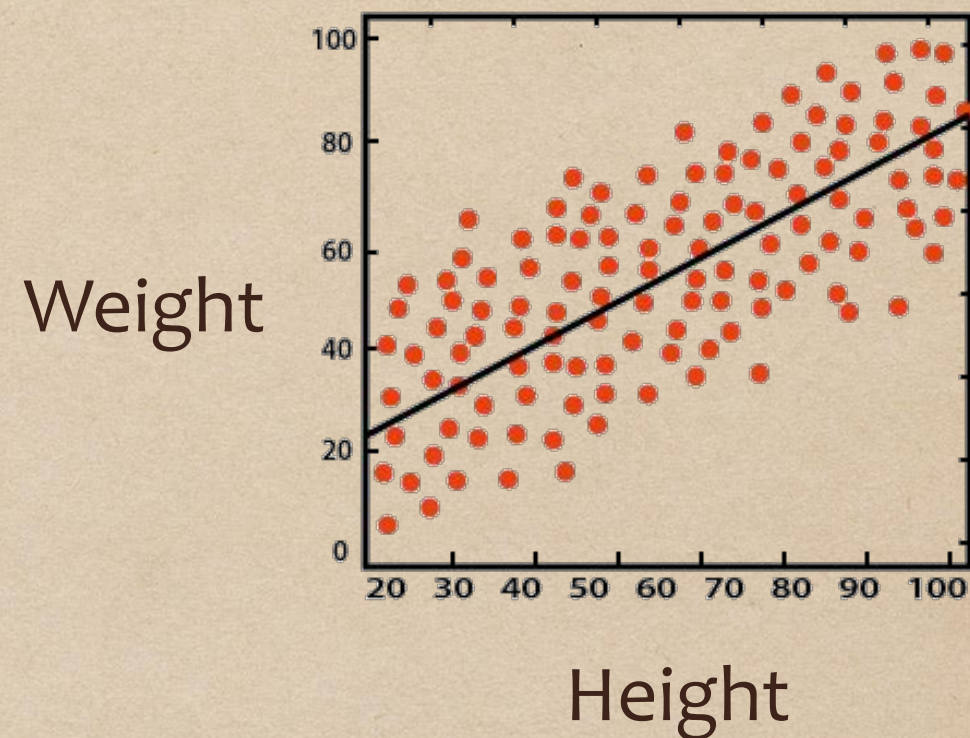
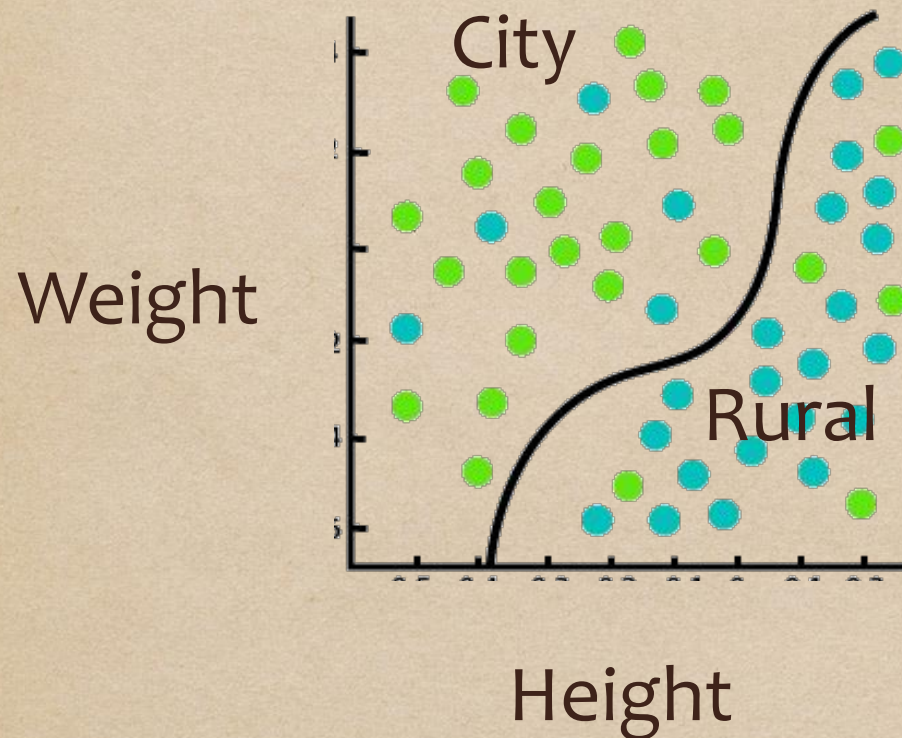
# Security attacks AI



- Classification:
  - learn the boundary, separating classes
  - given unknown class, predict its class based on observed features/attributes



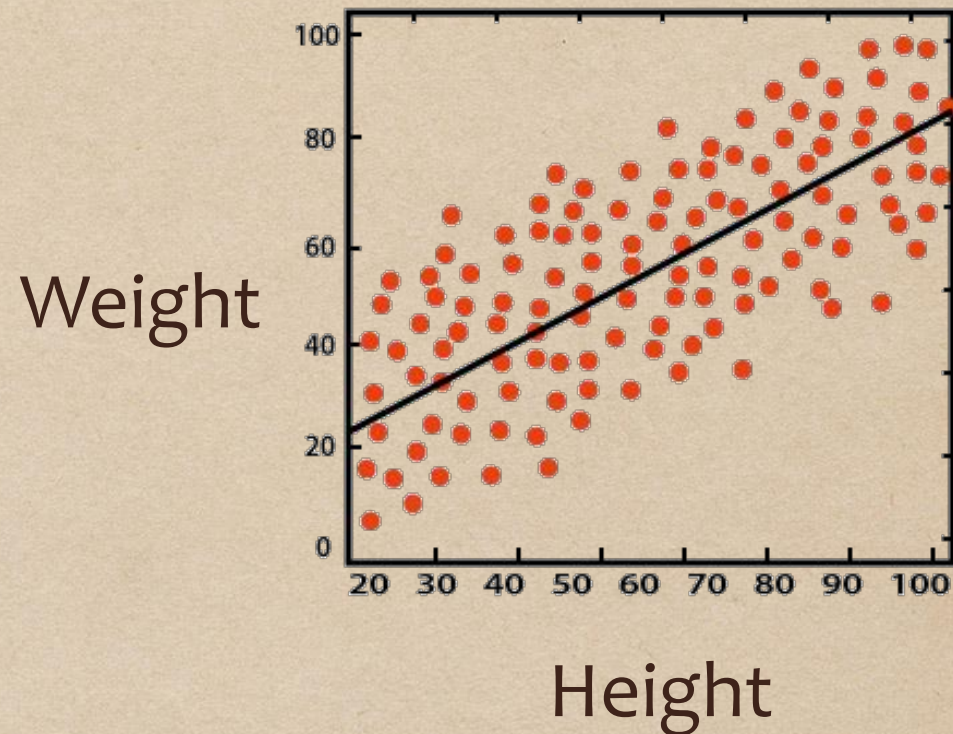
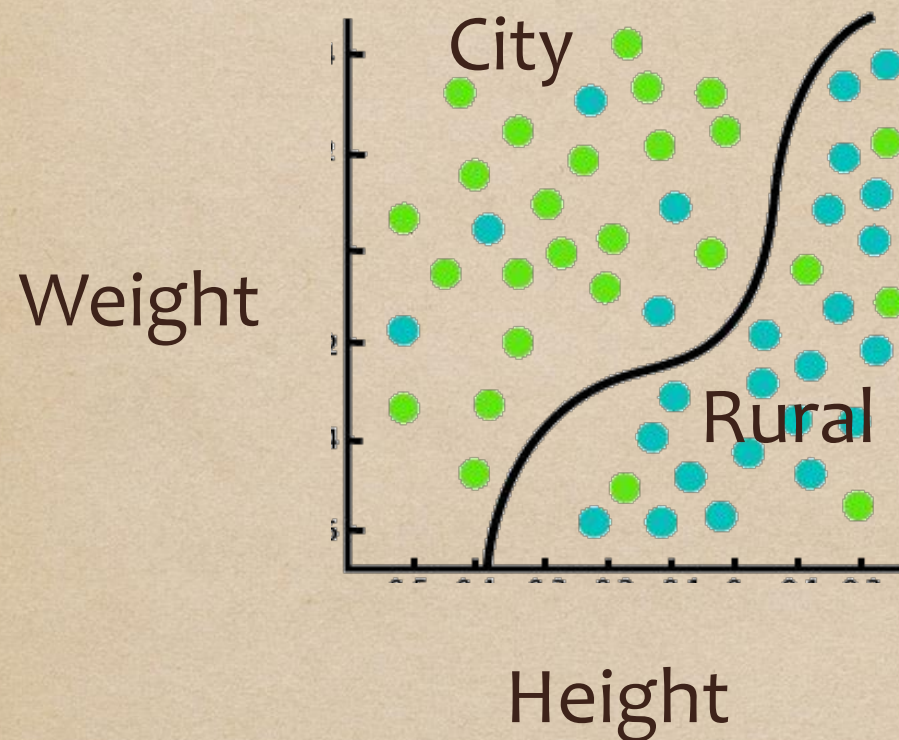
# Security attacks AI



- Classification:
  - e.g. given observed height and weight, predict class/category: s/he's from city or rural?
  - predict discrete value: 0 or 1



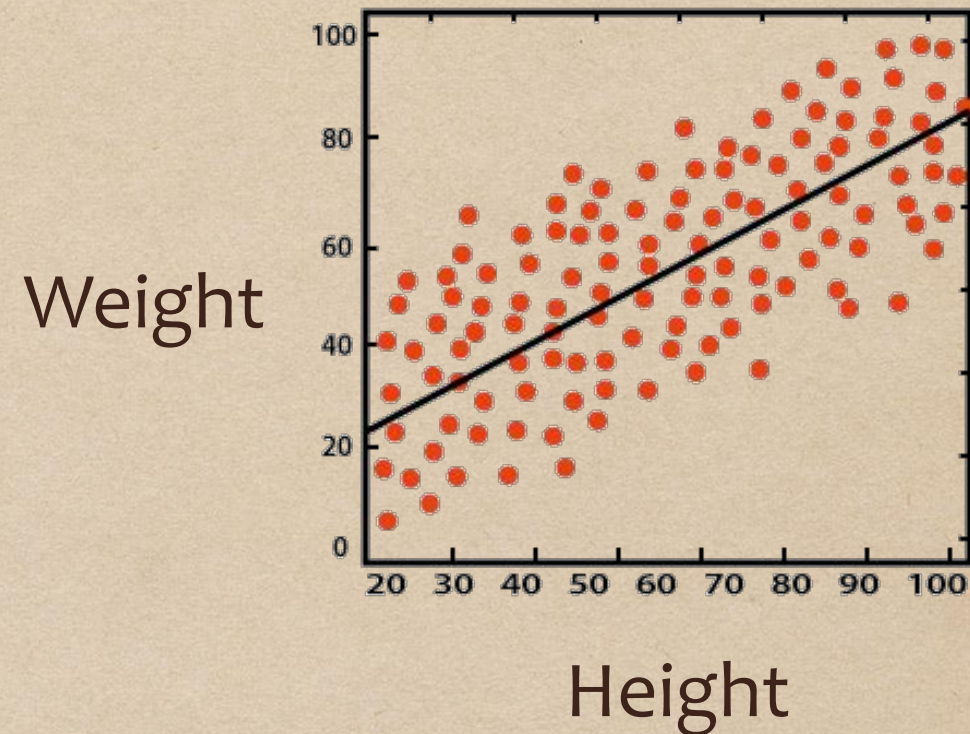
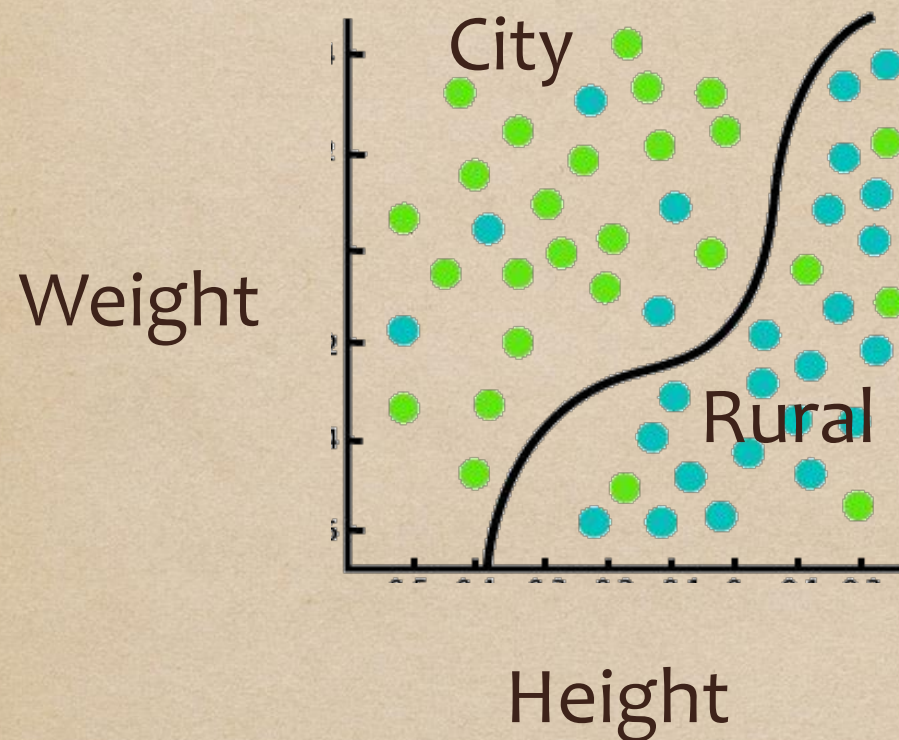
# Security attacks AI



- Regression:
  - learn the function that best represents observed data
  - e.g. Weight depends on Height



# Security attacks AI

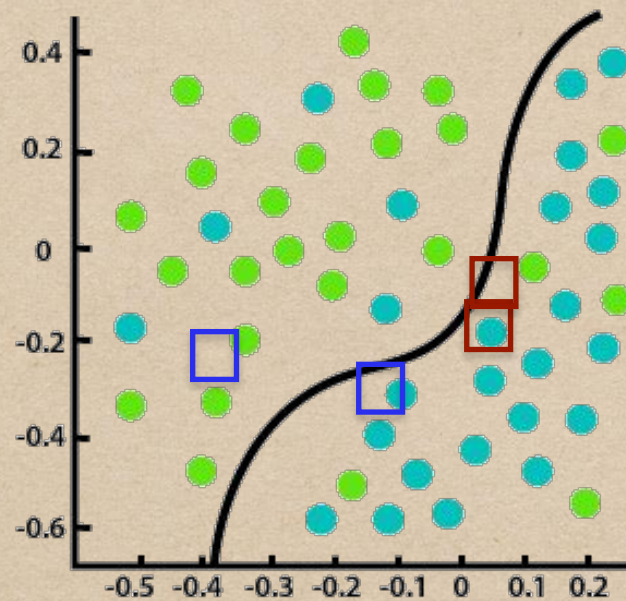


- Regression:
  - e.g. given observed Height, predict Weight
  - predict continuous value: Weight

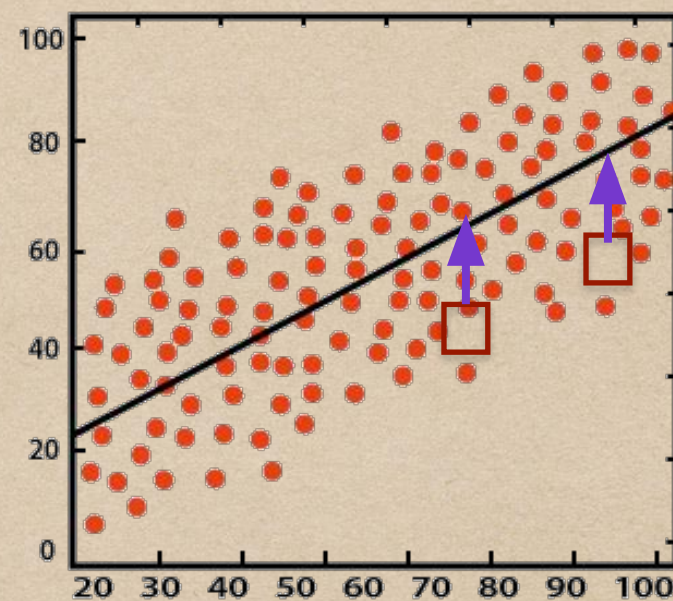


# Security attacks AI

- AI with adversarial attack:
- samples intentionally **corrupted**



Classification



Regression

- Q: what is the difference between the two cases?  
samples changed in both cases



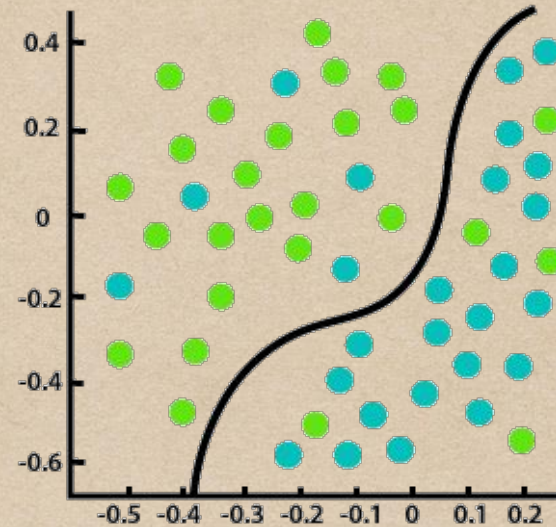
# Security attacks AI

- AI without adversarial attack: samples may be changed due to errors
  - learning outcome will change in a random manner
  - e.g. class 1 samples misclassified as class 2, class 2 samples misclassified as class 1
- AI with adversarial attack: samples intentionally corrupted
  - learning outcome will be biased / targetted

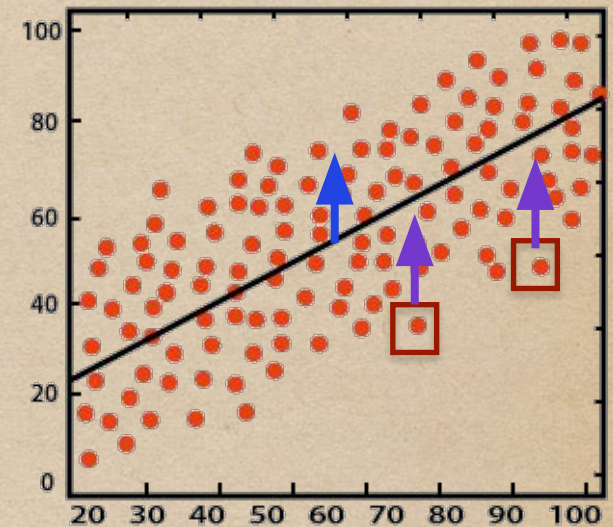


# Security attacks AI

- An adversarial ML attack
  - is an attack on the INT security property of the AI/ML algorithm



Classification



Regression

- the Means: attacks on AI **samples'** INT, i.e. samples modified
- the End Goal: attack on AI learning **outcome's** INT i.e. outcome changed



# Adversarial ML

- Adversarial Classification: Dalvi et al. @KDD 2004
- Jul 2024: 1304 citations, 130/year

## Adversarial classification

N Dalvi, [P Domingos](#), [Mausam](#), [S Sanghai](#)... - Proceedings of the tenth ..., 2004 - dl.acm.org

... We define **adversarial classification** ... **Adversary**, which attempts to make Classifier **classify** positive instances in  $T$  as negative by modifying those instances from  $x$  to  $x = A(x)$ . (**Adversary** ...


☆ Save  Cite Cited by 1304 Related articles All 10 versions

- Can machine learning be secure?: Barreno et al. 2006
- Jul 2024: 1186 citations, 148/year

## Can machine learning be secure?

M Barreno, B Nelson, R Sears, [AD Joseph](#)... - Proceedings of the 2006 ..., 2006 - dl.acm.org

... However, **machine learning** algorithms themselves **can** ... "**Can machine learning be secure?**"  
Novel contributions of this paper include a taxonomy of different types of attacks on **machine** ...

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# Adversarial DL

- Intriguing properties of neural networks:  
Szegedy et al. (incl Goodfellow) @ICLR 2014
- Jul 2024: 16847 citations, 1684/year

## Intriguing properties of neural networks

[C Szegedy](#), [W Zaremba](#), [I Sutskever](#), [J Bruna](#)... - arXiv preprint arXiv ..., 2013 - arxiv.org

... **neural networks** learn input-output mappings that are fairly discontinuous to a significant extent. We can cause the **network** to ... D has the following **intriguing properties** which we will sup...

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# Semantic Adversarial Attack

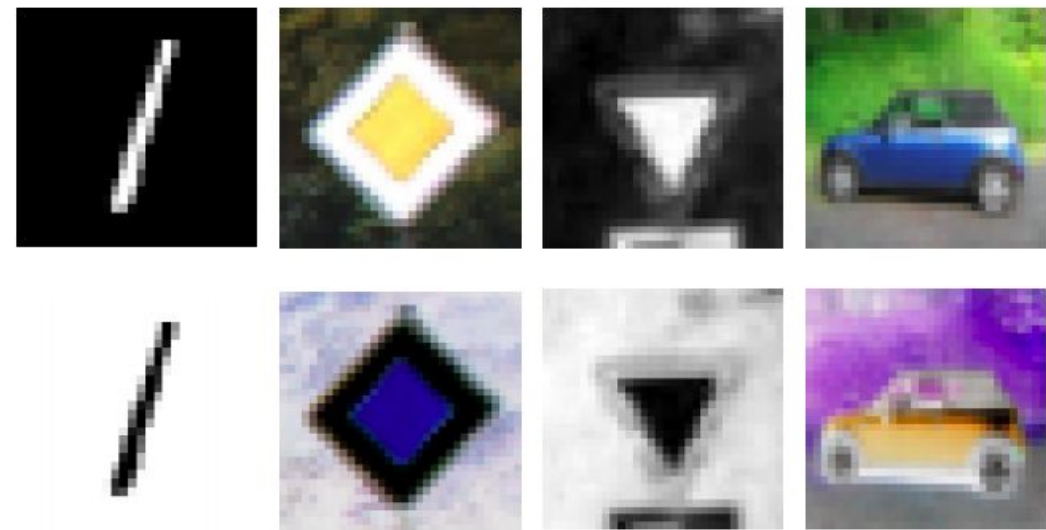
## On the Limitation of Convolutional Neural Networks in Recognizing Negative Images

Hossein Hosseini, Baicen Xiao, Mayoore Jaiswal and Radha Poovendran

Network Security Lab (NSL), Department of Electrical Engineering, University of Washington, Seattle, WA

{hosseinh, bcxiao, mayoore, rp3}@uw.edu

**Abstract**—Convolutional Neural Networks (CNNs) have achieved state-of-the-art performance on a variety of computer vision tasks, particularly visual classification problems, where new algorithms reported to achieve or even surpass the human performance. In this paper, we examine whether CNNs are capable of learning the semantics of training data. To this end, we evaluate CNNs on negative images, since they share the same structure and semantics as regular images and humans can classify them correctly. Our experimental results indicate that when training on regular images and testing on negative images, the model accuracy is significantly lower than when it is tested on regular images. This leads us to the conjecture that current training methods do not effectively train models to generalize the

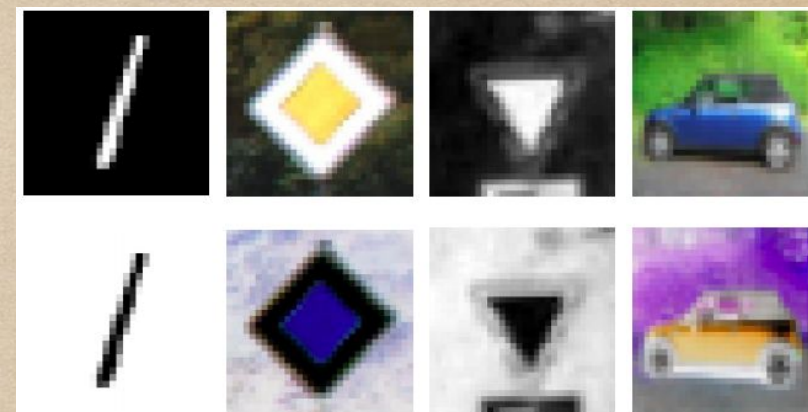


<https://arxiv.org/pdf/1703.06857.pdf>



# Semantic Adversarial Attack

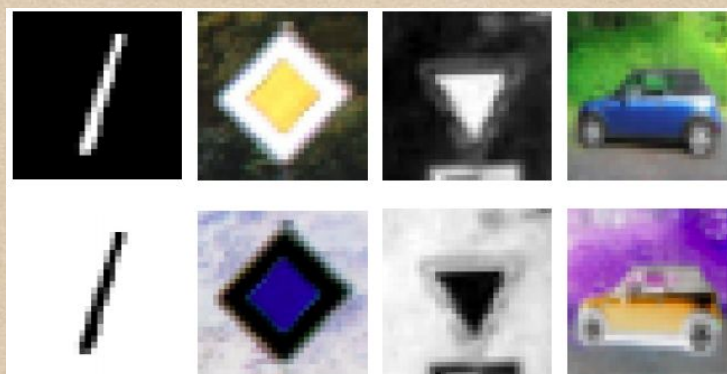
- Can Convolutional Neural Network (CNN) learn semantics of training samples?
- Gist of **Semantic adversarial samples**: semantically the same as original, but otherwise quite different, e.g. negative images



- Negative image:
  - share same semantics & structure as regular image, humans can easily classify
  - reversed brightness, large pixel-wise perturbation



# Semantic Adversarial Attack



- Negative image:
  - reversed brightness, large pixel-wise perturbation, though semantically same
  - e.g. consider a grayscale pixel:
    - 0 = black, (max) 255 = white
    - reverse the brightness:  $\text{max-pixelvalue} - \text{pixelvalue}$ 
      - black  $\rightarrow$  white:  $0 \rightarrow 255 - 0 = 255$
      - white  $\rightarrow$  black:  $255 \rightarrow 255 - 255 = 0$



# Semantic Adversarial Attack

- Limitation of DL based training
  - networks trained to memorise inputs, but not really learn the object structures, so cannot semantically differentiate
  - if test samples not distributed like training samples, won't work well: aka out-of-distribution (OOD) attack
  - Q: for negative images case, why it is OOD?
- Accuracy (measure) as performance metric not adequate
- Q: what is a good metric to measure performance in this case?



# Noise Attack

- naïve untargeted black box attack
  - simply **add random noise** to affect the learning outcome
  - untargeted: not aiming to bias the outcome towards something specific, just be different
  - black box: not need info on the ML model
- Q: is the semantic adversarial attack
  - an untargeted attack?
  - a black box attack?



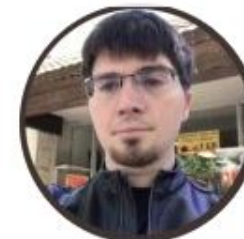
# Fast Gradient Signed (FGS) Method

- Goodfellow et al. Explaining & Harnessing Adversarial Examples @ICLR 2015
- Jul 2024: 21192 citations, 2354/year

## Explaining and harnessing adversarial examples

[IJ Goodfellow](#), [J Shlens](#), [C Szegedy](#)

arXiv preprint [arXiv:1412.6572](#), 2014 · [arxiv.org](#)



Ian Goodfellow

DeepMind

Verified email at deepmind.com

[Deep Learning](#)

Several machine learning models, including neural networks, consistently misclassify adversarial examples---inputs formed by applying small but intentionally worst-case perturbations to examples from the dataset, such that the perturbed input results in the model outputting an incorrect answer with high confidence. Early attempts at explaining this phenomenon focused on nonlinearity and overfitting. We argue instead that the primary cause of neural networks' vulnerability to adversarial perturbation is their linear

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# Generative Adversarial Networks

## Generative adversarial nets

[I Goodfellow, J Pouget-Abadie...](#) - Advances in neural ..., 2014 - [proceedings.neurips.cc](https://proceedings.neurips.cc)

... We propose a new framework for estimating **generative** models via **adversarial nets**, in which we simultaneously train two models: a **generative** model G that captures the data ...

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Ian Goodfellow

DeepMind

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Deep Learning

- Aug 2023: 58748 citations, +12000/year
- Aug 2022: 47467 citations, +14000/year



# Fast Gradient Sign (FGS) Method

- Paper: Goodfellow et al.: Explaining and Harnessing Adversarial Examples @ICLR 2015

## Explaining and harnessing adversarial examples

[IJ Goodfellow](#), [J Shlens](#), [C Szegedy](#)

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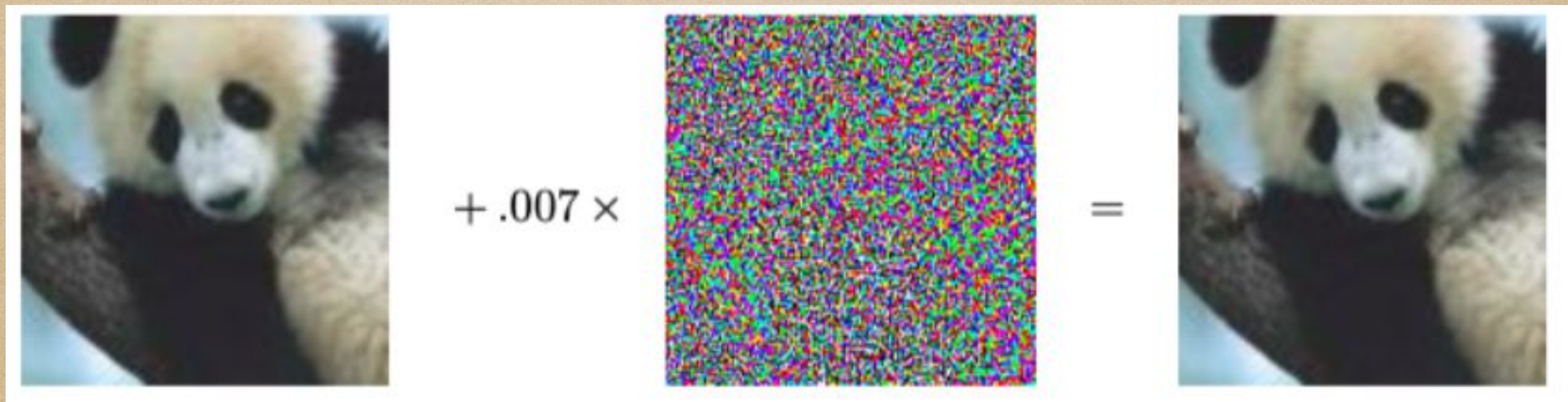
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# Fast Gradient Sign (FGS) Method

- a white box attack:
  - attacker has complete access to the victim model
- add small **perturbations**/distortions  $\delta$  until the classifier labels it as different class/category:
  - $x' = x + \delta$





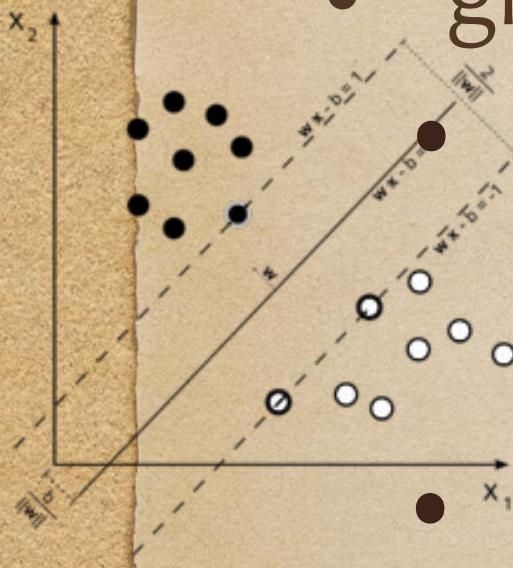
# Machine Learning (ML) points

- supervised learning

- given labelled samples  $\{ (x_i, y_i) \}$

**classification** ( $y_i$  denotes the label of  $x_i$ ): learn the mapping  $f: X \rightarrow Y$ , & given test sample  $x$  with ground truth label  $y$ , predict its label  $\hat{y}$

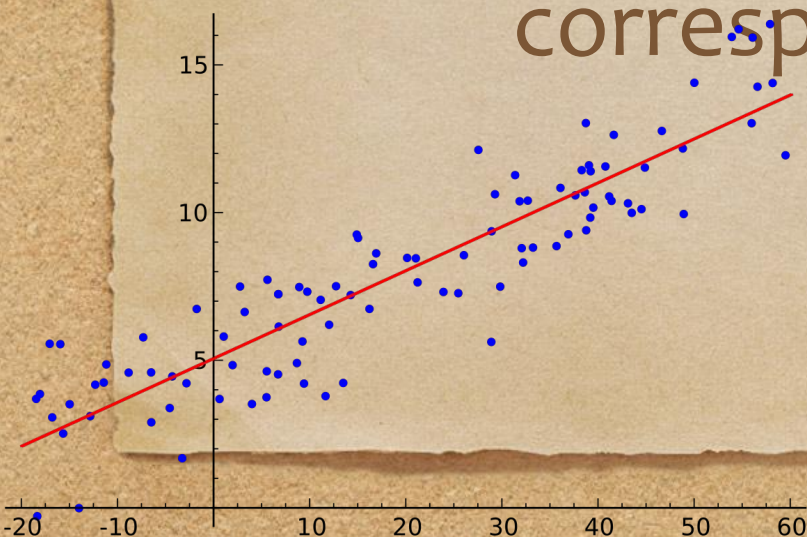
- regression ( $y_i$  denotes the variable dependent on  $x_i$ ): learn the mapping  $f: X \rightarrow Y$ , & given test sample  $x$  with ground truth variable  $y$ , predict its corresponding dependent variable  $\hat{y}$





# Machine Learning (ML) points

- supervised learning
  - given labelled samples  $\{ (x_i, y_i) \}$ 
    - classification ( $y_i$  denotes the label of  $x_i$ ): learn the mapping  $f: X \rightarrow Y$ , & given test sample  $x$  with ground truth label  $y$ , predict its label  $\hat{y}$
    - regression ( $y_i$  denotes the variable dependent on  $x_i$ ): learn the mapping  $f: X \rightarrow Y$ , & given test sample  $x$  with ground truth variable  $y$ , predict its corresponding dependent variable  $\hat{y}$



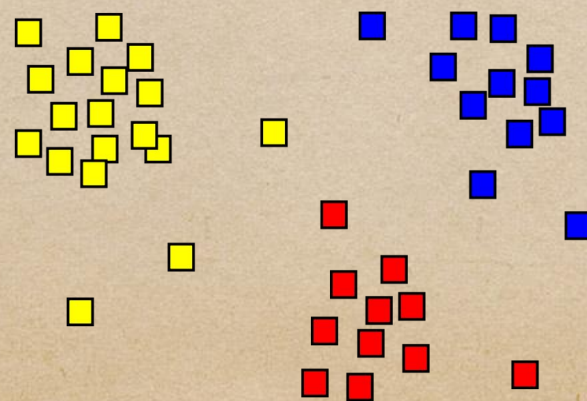


# Machine Learning (ML) points

- unsupervised
  - given  $n$  unlabelled samples  $\{x_i\}$ , look for patterns
    - clustering: partition into  $k$  subsets  $S_i$  s.t.

minimize: 
$$\sum_{j=1}^k \sum_{i=1}^n \|x_i^{(j)} - c_j\|^2$$

where  $c_j$  is the centroid for cluster  $j$





# Machine Learning (ML) points

- loss(distance)/cost function J
  - distance between actual  $y$  & predicted  $\hat{y}$
- Mean Squared Error (MSE)

$$MSE = \frac{1}{n} \sum (y_i - \hat{y}_i)^2$$

- Root MSE (L2 norm)

$$RMSE = \sqrt{\sum_{i=1}^n \frac{(\hat{y}_i - y_i)^2}{n}}$$



# Machine Learning (ML) points

- loss/cost function  $J$ 
  - distance between actual  $y$  & predicted  $\hat{y}$
- Mean Absolute Error (MAE) (based on L1 norm)

$$MAE = \frac{\sum_{i=1}^n |y_i - \hat{y}_i|}{n}$$

- Mean Bias Error (MBE)

$$MBE = \frac{\sum_{i=1}^n (y_i - \hat{y}_i)}{n}$$



# Machine Learning (ML) points

- loss/cost function J
  - distance between actual & predicted
- Cross Entropy (Negative Log Likelihood) loss

$$-\sum_i y_i \log(\hat{y}_i)$$

where  $y_i$  is the actual and  $\hat{y}_i$  is the predicted



# Fast Gradient Sign (FGS) Method

- **perturbation**: use gradient  $\nabla$  of the loss  $J$  wrt the input image  $x$ , aiming to **maximize** that loss  $J$
- $x' = x + \delta = x + \varepsilon * \text{sign}(\nabla_x J(\theta, x, y))$
- $y$  = original label of input image  $x$
- $\varepsilon$  = multiplier (learning rate) to keep the perturbation small
- $\theta$  = model parameters
- $J$  = loss



# Machine Learning (ML) points

- partial derivative (in the direction of the axes)

$$\frac{\partial f}{\partial x}$$

e.g.  $\frac{\partial f}{\partial x}$  means rate of change along direction of x-axis.

Q: rate of change in direction not along axes?

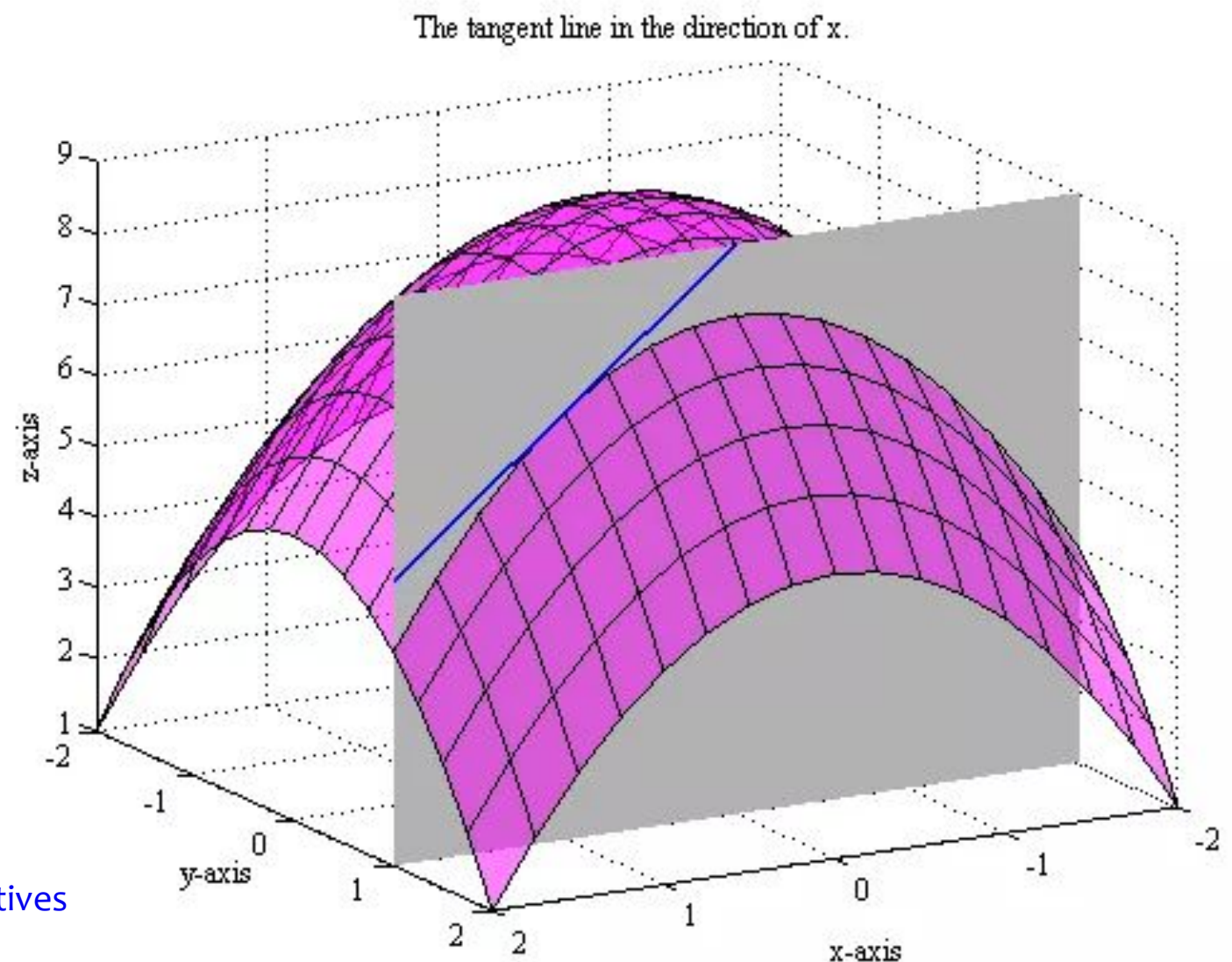
- directional derivative (in the direction denoted by  $u$ )
  - $u$  = unit vector i.e.  $\|u\| = 1$  representing any direction



# Machine Learning (ML) points

- partial derivative (in the direction of the axes)

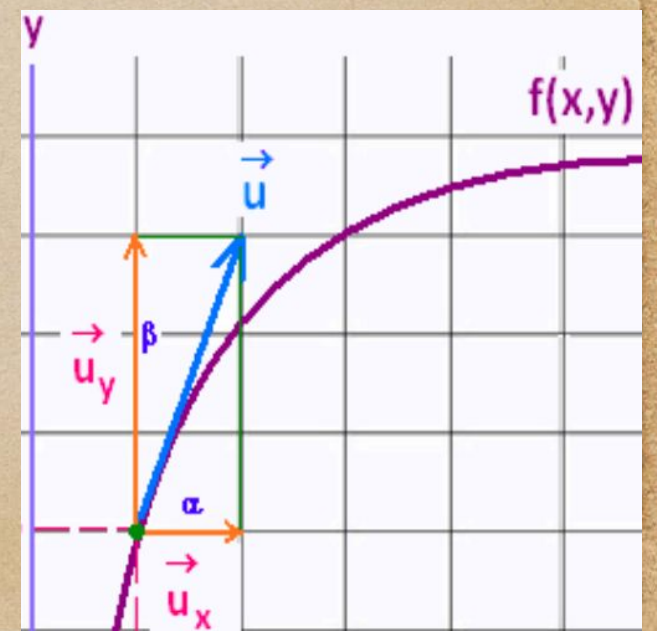
e.g.  $\frac{\partial f}{\partial x}$  means rate of change along direction of x-axis:





# Machine Learning (ML) points

- partial derivative (in the direction of the axes)  
e.g. means rate of change along direction of x-axis. Q: rate of change in direction not along axes?
- **directional derivative** (in the direction denoted by  $\mathbf{u}$ )
  - $\mathbf{u}$  = unit vector i.e.  $\|\mathbf{u}\| = 1$ , representing any direction
  - $$D_{\mathbf{u}}f(\mathbf{a}) = \lim_{h \rightarrow 0} \frac{f(\mathbf{a} + h\mathbf{u}) - f(\mathbf{a})}{h}$$
  - i.e. @ point  $\mathbf{a}$ : when tiny change  $h$  in the direction of  $\mathbf{u}$ ,  $f(\mathbf{a})$  changes to  $f(\mathbf{a} + h\mathbf{u})$





# Machine Learning (ML) points

- directional derivative

$$D_{\mathbf{u}}f(\mathbf{a}) = \nabla f(\mathbf{a}) \cdot \mathbf{u} = \|\nabla f(\mathbf{a})\| \cos \theta$$

- this has max value when  $\theta = 0$  (i.e. gradient  $\nabla f$  in the same direction as  $\mathbf{u}$ ) since  $\max \cos \theta = \cos 0 = 1$ 
  - $\nabla f$  ( $-\nabla f$ ) points in direction of greatest increase of  $f$ , i.e. direction of steepest ascent (descent)

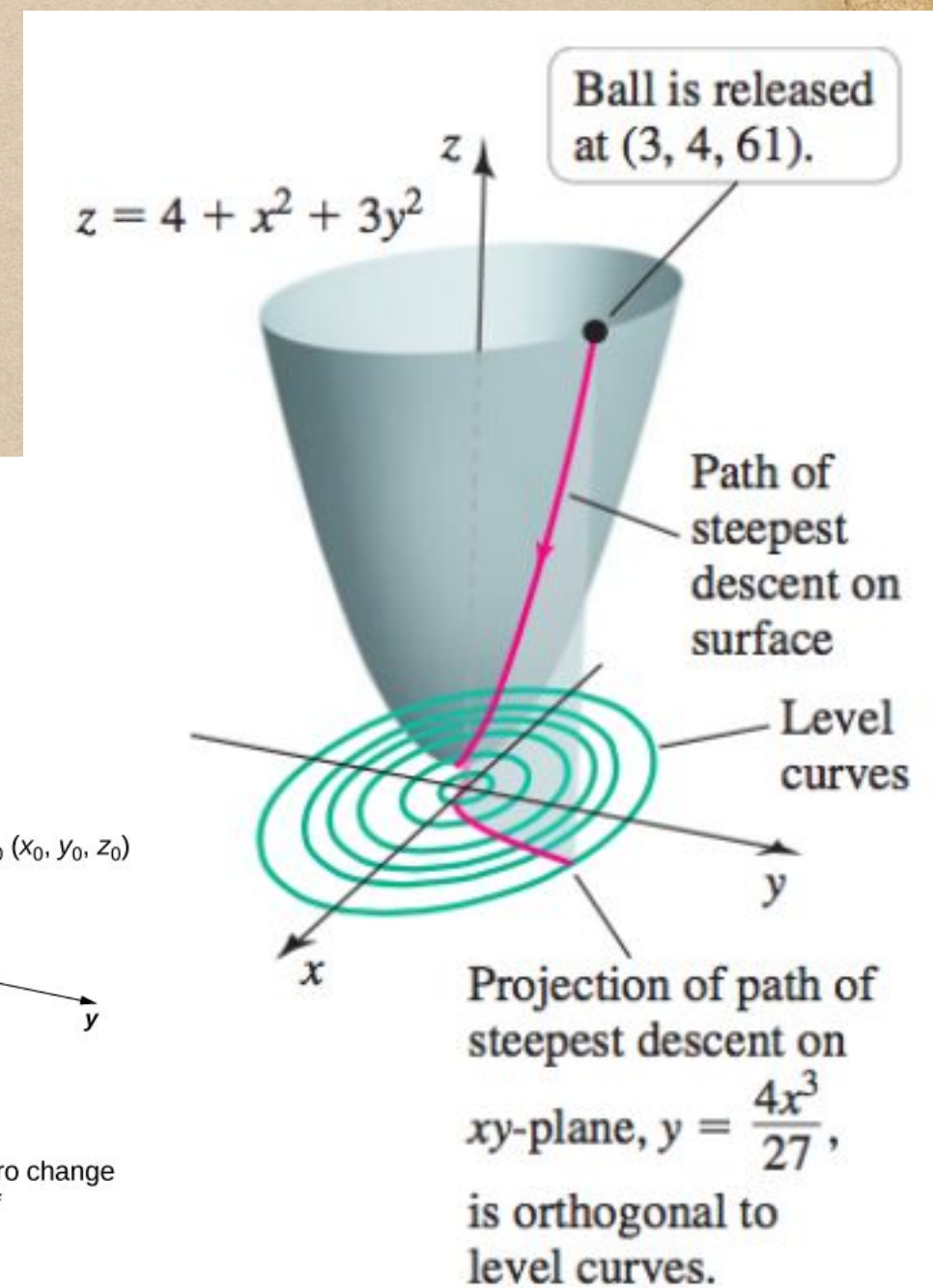
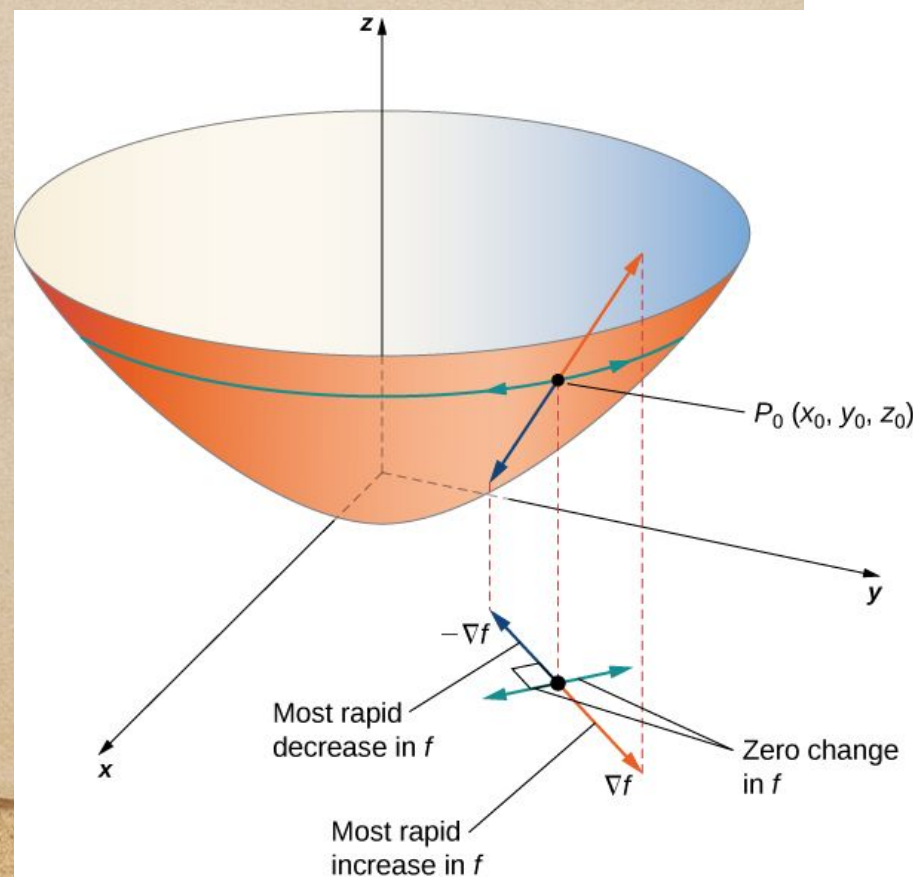
- Note: gradient  $\nabla f(\mathbf{a})$  (is a vector)

$$\nabla f = \left( \frac{\partial f}{\partial x}, \frac{\partial f}{\partial y} \right)$$



# Machine Learning (ML) points

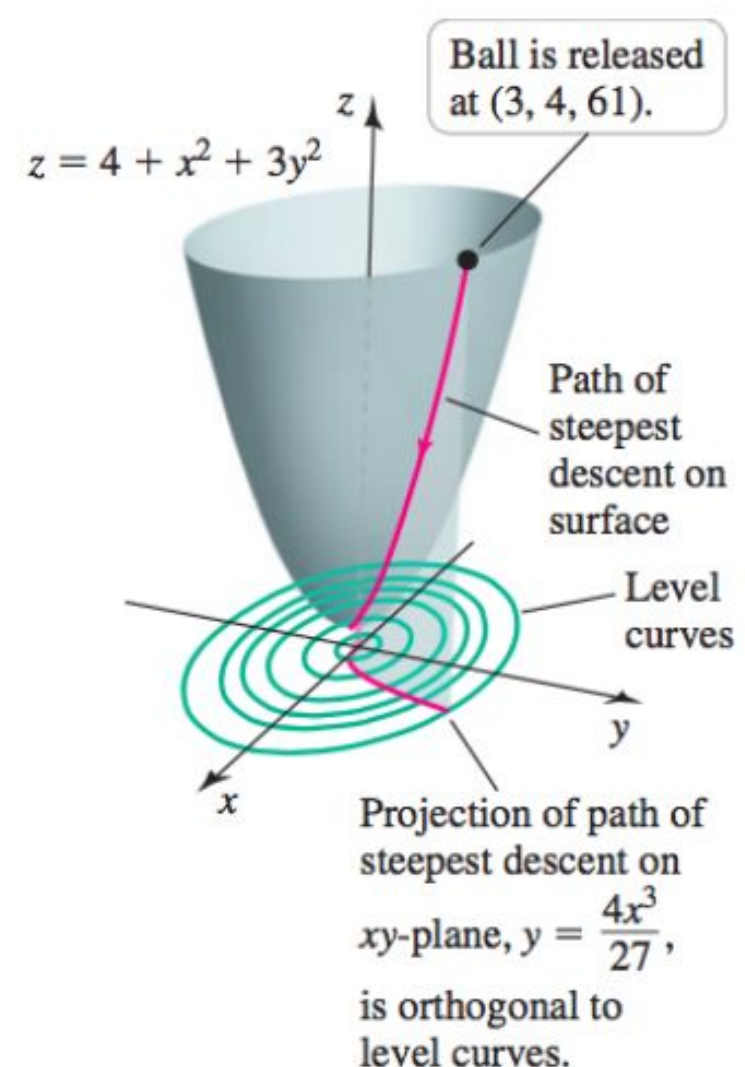
- direction of steepest ascent/descent
- $\nabla f$  ( $-\nabla f$ ) points in direction of greatest increase of  $f$ , i.e. direction of steepest ascent (descent)





# ML points\*

- direction of steepest ascent/descent



**EXAMPLE 7 Path of steepest descent** Consider the paraboloid  $z = f(x, y) = 4 + x^2 + 3y^2$  (Figure 13.73). Beginning at the point  $(3, 4, 61)$  on the surface, find the path in the  $xy$ -plane that points in the direction of steepest descent on the surface.

**SOLUTION** Imagine releasing a ball at  $(3, 4, 61)$  and assume that it rolls in the direction of steepest descent at all points. The projection of this path in the  $xy$ -plane points in the direction of  $-\nabla f(x, y) = \langle -2x, -6y \rangle$ , which means that at the point  $(x, y)$  the line tangent to the path has slope  $y'(x) = (-6y)/(-2x) = 3y/x$ . Therefore, the path in the  $xy$ -plane satisfies  $y'(x) = 3y/x$  and passes through the initial point  $(3, 4)$ . You can verify that the solution to this differential equation is  $y = 4x^3/27$  and the projection of the path of steepest descent in the  $xy$ -plane is the curve  $y = 4x^3/27$ . The descent ends at  $(0, 0)$ , which corresponds to the vertex of the paraboloid (Figure 13.73). At all points of the descent, the curve in the  $xy$ -plane is orthogonal to the level curves of the surface.



# Machine Learning (ML) points\*

- direction of steepest ascent/descent
  - $\nabla f$  ( $-\nabla f$ ) points in direction of greatest increase of  $f$ , i.e. direction of steepest ascent (descent)
- e.g.  $f(x,y) = 4 + x^2 + 3y^2$
- $\nabla f = (\partial f/\partial x, \partial f/\partial y) = (2x, 6y)$
- $-\nabla f = (-2x, -6y)$
- slope  $dy/dx$  of this gradient:
  - $dy/dx = (-6y)/(-2x) = 3y/x$
  - solve this differential equation:
    - ...
    - $y = (4x^3)/(27)$

$$\nabla f = \left( \frac{\partial f}{\partial x}, \frac{\partial f}{\partial y} \right)$$





# Fast Gradient Sign (FGS) Method

- perturbation: use gradient  $\nabla$  of the loss  $J$  wrt the input image  $x$ , aiming to maximize that loss
- $x' = x + \delta = x + \varepsilon * \text{sign}(\nabla_x J(\theta, x, y))$

```
adv_x = x + ε*signedGrad
```

- $\nabla_x$ : Gradient only wrt  $x$  because model already trained, so parameters  $\theta$  constant
- $\nabla_x J(\cdot, x, \cdot)$ :

```
gradient = tape.gradient(J, x)
```

- $\text{sign}(\nabla_x J(\cdot, x, \cdot))$ :

```
signedGrad = tf.sign(gradient)
```



# Fast Gradient Value (FGV) Method

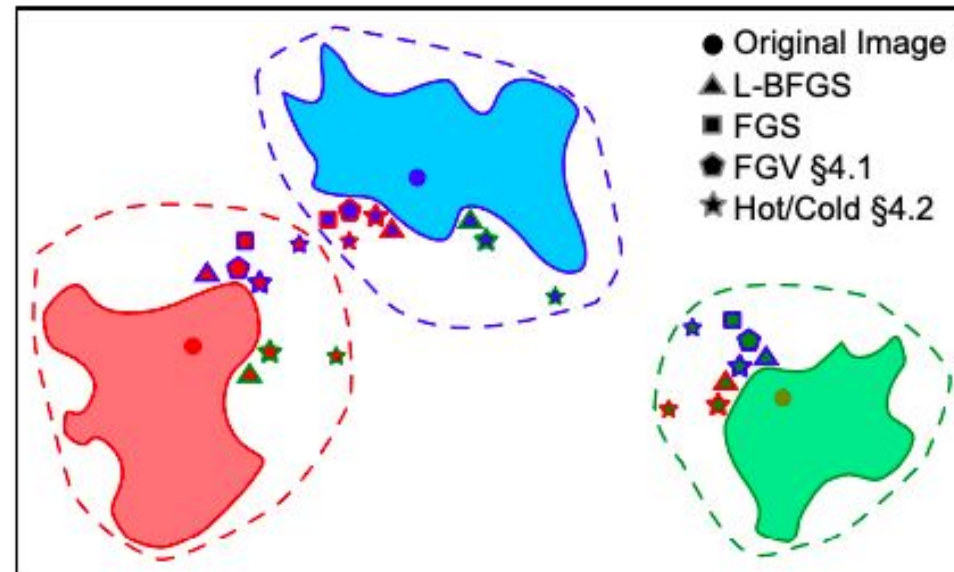
## Adversarial Diversity and Hard Positive Generation

Andras Rozsa, Ethan M. Rudd, and Terrance E. Boult\*

University of Colorado at Colorado Springs  
Vision and Security Technology (VAST) Lab  
{arozsa,erudd,tboult}@vast.uccs.edu

### Abstract

*State-of-the-art deep neural networks suffer from a fundamental problem – they misclassify adversarial examples formed by applying small perturbations to inputs. In this paper, we present a new psychometric perceptual adversarial similarity score (PASS) measure for quantifying adversarial images, introduce the notion of hard positive generation, and use a diverse set of adversarial perturbations – not just the closest ones – for data augmentation. We introduce a novel hot/cold approach for adversarial example generation, which provides multiple possible adversarial pertur-*



<https://arxiv.org/abs/1605.01775>



# Fast Gradient Value (FGV) Method

- perturbation: use gradient  $\nabla$  of the loss  $J$  wrt the input image  $x$ , aiming to maximise that loss
- $x' = x + \delta = x + \varepsilon * \text{sign}(\nabla_x J(\theta, x, y)) \leftrightarrow \text{FGS}$
- $x' = x + \delta = x + \varepsilon * \nabla_x J(\theta, x, y) \leftrightarrow \text{FGV}$



# Recent Adversarial Attacks on AI

- [https://proceedings.neurips.cc/paper\\_files/paper/2023/hash/a97b58c4f7551053b0512f92244b0810-Abstract-Conference.html?](https://proceedings.neurips.cc/paper_files/paper/2023/hash/a97b58c4f7551053b0512f92244b0810-Abstract-Conference.html?)
- <https://ojs.aaai.org/index.php/AAAI/article/view/26739>
- <https://arxiv.org/abs/2403.09766>
- <https://arxiv.org/abs/2402.09132>
- <https://arxiv.org/abs/2402.15911>
- [https://openaccess.thecvf.com/content/CVPR2024/html/Li\\_One\\_Prompt\\_Word\\_is\\_Enough\\_to\\_Boost\\_Adversarial\\_Robustness\\_for\\_CVPR\\_2024\\_paper.html](https://openaccess.thecvf.com/content/CVPR2024/html/Li_One_Prompt_Word_is_Enough_to_Boost_Adversarial_Robustness_for_CVPR_2024_paper.html)
- <https://arxiv.org/abs/2305.14950>
- <https://arxiv.org/abs/2406.04031>
- <https://proceedings.mlr.press/v239/schwinn23a.html>