

why not replace job j<sub>r+1</sub> with job i<sub>r+1</sub>?

## Greedy Analysis Strategies

Greedy algorithm stays ahead. Show that after each step of the greedy algorithm, its solution is at least as good as any other algorithm's.

Structural. Discover a simple "structural" bound asserting that every possible solution must have a certain value. Then show that your algorithm always achieves this bound.

Exchange argument. Gradually transform any solution to the one found by the greedy algorithm without hurting its quality.

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Other greedy algorithms. Kruskal, Prim, Dijkstra, Huffman, ...

## Greedy Algorithms

Kruskal's algorithm. Start with  $T = \phi$ . Consider edges in ascending order of cost. Insert edge e in T unless doing so would create a cycle.

Reverse-Delete algorithm. Start with T = E. Consider edges in descending order of cost. Delete edge e from T unless doing so would disconnect T.

Prim's algorithm. Start with some root node s and greedily grow a tree T from s outward. At each step, add the cheapest edge e to T that has exactly one endpoint in T.

Remark. All three algorithms produce an MST.

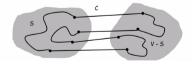
#### Cycle-Cut Intersection

Claim. A cycle and a cutset intersect in an even number of edges.



Cycle C = 1-2, 2-3, 3-4, 4-5, 5-6, 6-1 Cutset D = 3-4, 3-5, 5-6, 5-7, 7-8 Intersection = 3-4, 5-6

Pf. (by picture)



# Applications

## MST is fundamental problem with diverse applications.

#### Network design.

- telephone, electrical, hydraulic, TV cable, computer, road

#### Approximation algorithms for NP-hard problems.

- traveling salesperson problem, Steiner tree

# Indirect applications.

- max bottleneck paths
- LDPC codes for error correction
- image registration with Renvi entropy
- learning salient features for real-time face verification
- reducing data storage in sequencing amino acids in a protein
- model locality of particle interactions in turbulent fluid flows
- autoconfig protocol for Ethernet bridging to avoid cycles in a network  $% \left( 1\right) =\left( 1\right) \left( 1\right) \left($

### Greedy Algorithms

Simplifying assumption. All edge costs c, are distinct.

Cut property. Let S be any subset of nodes, and let e be the min cost edge with exactly one endpoint in S. Then the MST contains e.

Cycle property. Let C be any cycle, and let f be the max cost edge belonging to C. Then the MST does not contain f.





e is in the MST

f is not in the MST

#### Greedy Algorithms

Simplifying assumption. All edge costs  $c_{\rm e}$  are distinct.

Cut property. Let S be any subset of nodes, and let e be the min cost edge with exactly one endpoint in S. Then the MST T\* contains e.

Pf. (exchange argument)

Suppose e does not belong to T\*, and let's see what happens.

Adding e to T\* creates a cycle C in T\*.

Edge e is both in the cycle  ${\mathcal C}$  and in the cutset D corresponding to S

⇒ there exists another edge, say f, that is in both C and D.

T' =  $T^{\star} \cup \{\,e\,\}$  -  $\{\,f\,\}$  is also a spanning tree.

Since  $c_e < c_f$ ,  $cost(T') < cost(T^*)$ .

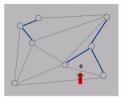
This is a contradiction. •

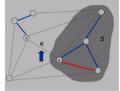


# Kruskal's Algorithm: Proof of Correctness

### Kruskal's algorithm. [Kruskal, 1956]

- Consider edges in ascending order of weight.
- Case 1: If adding e to T creates a cycle, discard e according to cycle property.
- Case 2: Otherwise, insert e = (u, v) into T according to cut property where S = set of nodes in u's connected component.





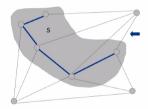
Case 1

Case 2

### Prim's Algorithm: Proof of Correctness

### Prim's algorithm. [Jarník 1930, Dijkstra 1957, Prim 1959]

- Initialize S = any node.
- Apply cut property to S.
- Add min cost edge in cutset corresponding to S to T, and add one new explored node u to S.



#### MST Algorithms: Theory

# Deterministic comparison based algorithms.

 $\begin{array}{lll} O(m\log n) & [Jarník, Prim, Dijkstra, Kruskal, Boruvka] \\ O(m\log \log n). & [Cheriton-Tarjan 1976, Yao 1975] \\ O(m \beta(m,n)). & [Fredman-Tarjan 1987] \\ O(m \log \beta(m,n)). & [Gabow-Galil-Spencer-Tarjan 1986] \\ O(m \alpha (m,n)). & [Chazelle 2000] \\ \end{array}$ 

## Holy grail. O(m).

#### Notable

 $\begin{array}{ll} \textit{O(m) randomized.} & & [\texttt{Karger-Klein-Tarjan 1995}] \\ \textit{O(m) verification.} & & [\texttt{Dixon-Rauch-Tarjan 1992}] \\ \end{array}$ 

# Euclidean.

2-d:  $O(n \log n)$ . compute MST of edges in Delaunay k-d:  $O(k n^2)$ . dense Prim

#### Implementation: Kruskal's Algorithm

# Implementation. Use the union-find data structure.

- Build set T of edges in the MST.
- Maintain set for each connected component.
  - $O(m \log n)$  for sorting and  $O(m \alpha (m, n))$  for union-find.

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m \le n^2 \Rightarrow \log m is O(\log n) essentially a constant
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## Implementation: Prim's Algorithm

## Implementation. Use a priority queue ala Dijkstra.

- Maintain set of explored nodes S.
- For each unexplored node v, maintain attachment cost a[v] = cost of cheapest edge v to a node in S.
- O(n2) with an array; O(m log n) with a binary heap.

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\begin{split} & \text{Prim}(G,\,c) \; \{ & & \text{foreach} \; (v \in V) \; a[v] \leftarrow \infty \\ & \text{Initialize} \; an \; \text{empty} \; \text{priority} \; \text{queue} \; Q \\ & \text{foreach} \; (v \in V) \; \text{insert} \; v \; \text{onto} \; Q \\ & \text{Initialize} \; \text{set} \; \text{of} \; \text{explored} \; \text{nodes} \; S \leftarrow \phi \\ & \text{while} \; (Q \; \text{is} \; \text{not} \; \text{empty}) \; \{ \\ & \; u \leftarrow \; \text{delete} \; \text{min} \; \text{element} \; \text{from} \; Q \\ & \; S \leftarrow S \cup \{ \; u \; \} \\ & \; \text{foreach} \; \{ \text{edge} \; e = \; (u, \; v) \; \text{incident} \; \text{to} \; u) \\ & \; \quad \text{if} \; ( \{ v \in S \; \} \; \text{and} \; \{ c_s < a[v] \} ) \\ & \; \quad \text{decrease} \; \text{priority} \; a[v] \; \text{to} \; c_s \\ \} \end{split}
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