

Jun 26

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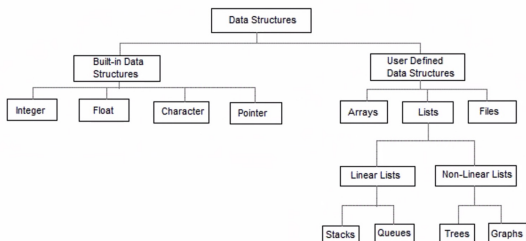
## Algorithm Design

Some of the tools to answer these questions are already familiar; others we will learn about in this class. The course itself is structured around techniques for designing algorithms. The major techniques we will cover include:

1. Basic mathematical concepts and constructions
2. Principles of algorithm design and data structures
3. Complexity, efficiency and tractability of algorithms
4. Basic constructions and properties of graphs and algorithms on graphs
5. Greedy algorithms
6. Divide-and-conquer
7. Dynamic programming
8. Network flow
9. Complexity classes
10. Randomized algorithms\*
11. Algorithms that run forever\*

\* pending time limitations

## Basic Data Structures



## Stable Matching Problem

**Perfect matching:** everyone is matched monogamously.

- Each man gets exactly one woman.
- Each woman gets exactly one man.

**Stability:** no incentive for some pair of participants to undermine assignment by joint action.

- In matching  $M$ , an unmatched pair  $m-w$  is **unstable** if man  $m$  and woman  $w$  prefer each other to current partners.
- Unstable pair  $m-w$  could each improve by eloping.

**Stable matching:** perfect matching with no unstable pairs.

**Stable matching problem.** Given the preference lists of  $n$  men and  $n$  women, find a stable matching if one exists.

## Grading Policies

Grading criteria:

- A : 90 - 100 B : 75 - 89 C : 50 - 74 D : 31 - 49 F : 0 - 30

Grades are based on:

- Homework: will be given at the end of the week and students will have to submit it in a week after the homework is posted on the web site of the course. All submissions go to the TA of your group. However, late submissions will be accepted but 20 points will be subtracted for a late submission. These are graded primary on effort, and total 40% of the final grade.
- Tests will be take-home (time to submit is 24 hours from posting). All submissions go to the TA of your group. No late submissions.
  - Midterm: covering the first half of the course. The midterm is 20% of the final grade.
  - Final exam (during final exam week.) This is 40% of the final grade.

Data types

Queue  
Tree

## Stable Matching Problem

Q. Is assignment X-C, Y-B, Z-A stable?

A. No. Bertha and Xavier will hook up.

	favorite ↓	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	least favorite ↓
Men's Preference Profile	Xavier	Amy	Bertha	Clare	
Women's Preference Profile	Amy	Yancey	Xavier	Zeus	
	Bertha	Xavier	Yancey	Zeus	
	Clare	Xavier	Yancey	Zeus	

## Stable Matching Problem

Q. Is assignment X-A, Y-B, Z-C stable?

A. Yes.

	favorite ↓	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	least favorite ↓
Men's Preference Profile	Xavier	Amy	Bertha	Clare	
Women's Preference Profile	Amy	Yancey	Xavier	Zeus	
	Bertha	Xavier	Yancey	Zeus	
	Clare	Xavier	Yancey	Zeus	

**Propose-and-reject algorithm.** [Gale-Shapley 1962] Intuitive method that guarantees to find a stable matching.



```

Initialize each person to be free.
while (some man is free and hasn't proposed to every woman) {
    Choose such a man m
    w = 1st woman on m's list to whom m has not yet proposed
    if (w is free)
        assign m and w to be engaged
    else if (w prefers m to her fiancé m')
        assign m and w to be engaged, and m' to be free
    else
        w rejects m
}

```

### Proof of Correctness: Perfection

**Claim.** All men and women get matched.

**Pf.** (by contradiction)

- Suppose, for sake of contradiction, that Zeus is not matched upon termination of algorithm.
- Then some woman, say Amy, is not matched upon termination.
- By Observation 2, Amy was never proposed to.
- But, Zeus proposes to everyone, since he ends up unmatched. ■

### Efficient Implementation

**Efficient implementation.** We describe  $O(n^2)$  time implementation.

**Representing men and women.**

- Assume men are named 1, ..., n.
- Assume women are named 1', ..., n'.

**Engagements.**

- Maintain a list of free men, e.g., in a queue.
- Maintain two arrays `wife[m]`, and `husband[w]`.
  - set entry to 0 if unmatched
  - if m matched to w then `wife[m]=w` and `husband[w]=m`

**Men proposing.**

- For each man, maintain a list of women, ordered by preference.
- Maintain an array `count[m]` that counts the number of proposals made by man m.

**Observation 1.** Men propose to women in decreasing order of preference.

**Observation 2.** Once a woman is matched, she never becomes unmatched; she only "trades up."

**Claim.** Algorithm terminates after at most  $n^2$  iterations of while loop.

**Pf.** Each time through the while loop a man proposes to a new woman.

There are only  $n^2$  possible proposals. ■

	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	4 <sup>th</sup>	5 <sup>th</sup>		1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	4 <sup>th</sup>	5 <sup>th</sup>
Victor	A	B	C	D	E	Amy	W	X	Y	Z	V
Wyatt	B	C	D	A	E	Bertha	X	Y	Z	V	W
Xavier	C	D	A	B	E	Clare	Y	Z	V	W	X
Yancey	D	A	B	C	E	Diane	Z	V	W	X	Y
Zeus	A	B	C	D	E	Enka	V	W	X	Y	Z

$n(n-1) + 1$  proposals required

### Proof of Correctness: Stability

**Claim.** No unstable pairs.

**Pf.** (by contradiction)

- Suppose A-Z is an unstable pair: each prefers each other to partner in Gale-Shapley matching  $S^*$ .
- Case 1: Z never proposed to A.
  - men propose in decreasing order of preference
  - ⇒ Z prefers his GS partner to A.
  - ⇒ A-Z is stable.
- Case 2: Z proposed to A.
  - ⇒ A rejected Z (right away or later)
  - ⇒ A prefers her GS partner to Z. ← women only trade up
  - ⇒ A-Z is stable.
- In either case A-Z is stable, a contradiction. ■

$S^*$

Amy-Yancey
Bertha-Zeus
...

### Efficient Implementation

**Women rejecting/accepting.**

- Does woman w prefer man m to man m'?
- For each woman, create **inverse** of preference list of men.
- Constant time access for each query after  $O(n)$  preprocessing.

Amy	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	4 <sup>th</sup>	5 <sup>th</sup>	6 <sup>th</sup>	7 <sup>th</sup>	8 <sup>th</sup>
Pref	8	3	7	1	4	5	6	2

Amy	1	2	3	4	5	6	7	8
Inverse	4 <sup>th</sup>	8 <sup>th</sup>	2 <sup>nd</sup>	5 <sup>th</sup>	6 <sup>th</sup>	7 <sup>th</sup>	3 <sup>rd</sup>	1 <sup>st</sup>

Amy prefers man 3 to 6  
since  $\text{inverse}[3] < \text{inverse}[6]$

2      7

```

for i = 1 to n
    inverse[pref[i]] = i

```

**Q.** For a given problem instance, there may be several stable matchings. Do all executions of Gale-Shapley yield the same stable matching? If so, which one?

**Def.** Man  $m$  is a **valid partner** of woman  $w$  if there exists some stable matching in which they are matched.

**Man-optimal assignment.** Each man receives best valid partner.

**Claim.** All executions of *GS* yield **man-optimal** assignment, which is a stable matching!

- No reason a priori to believe that man-optimal assignment is perfect, let alone stable.
- Simultaneously best for each and every man.

**Stable matching problem.** Given preference profiles of  $n$  men and  $n$  women, find a **stable** matching.

no man and woman prefer to be with each other than assigned partner

**Gale-Shapley algorithm.** Finds a stable matching in  $O(n^2)$  time.

**Man-optimality.** In version of *GS* where men propose, each man receives best valid partner.

$w$  is a valid partner of  $m$  if there exist some stable matching where  $m$  and  $w$  are paired