

Student ID: 805167986

Collaborators: David Tran, Sashwath Sundher, Dylan Gunn

## CS181 Winter 2021 – Problem Set 3

Due Tuesday, February 9, 11:59 pm

- Please write your student ID **and the names of anyone you collaborated with** in the spaces provided and attach this sheet to the front of your solutions. **Please do not include your name anywhere since the homework will be blind graded.**
- An extra credit of **5%** will be granted to solutions written using L<sup>A</sup>T<sub>E</sub>X. Here is one place where you can create L<sup>A</sup>T<sub>E</sub>X documents for free: <https://www.overleaf.com/>. The link also has tutorials to get you started. There are several other editors you can use. We have also posted a short L<sup>A</sup>T<sub>E</sub>X tutorial on CCLE under References.
- If you are writing solutions by hand, please write your answers in a neat and readable hand-writing.
- Always explain your answers. When a proof is requested, you should provide a rigorous proof.
- If you don't know the answer, write "I don't know" along with a clear explanation of what you tried. For example: "I couldn't figure this out. I think the following is a start, that is correct, but I couldn't figure out what to do next. [[Write down a start to the answer that you are sure makes sense.]] Also, I had the following vague idea, but I couldn't figure out how to make it work. [[Write down vague ideas.]]" At least 20% will be given for such an answer. Note that if you write things that do not make any sense, no points will be given.
- The homework is expected to take anywhere between 8 to 14 hours. You are advised to start early.
- Submit your homework online on Gradescope. The Gradescope code is 5V7GW5.
- Homework points will be scaled according to the number of homework assignments. All assignments will be weighted equally. As per the syllabus, your homework assignments will together comprise 25% of your final grade.

Note: <i>Suggested practice problems from the book: 2.4 and 2.5. Please, do not turn in solutions to problems from the book.</i>
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1. **(20 points).** Consider a binary operation  $\nabla$  defined as follows: if  $A$  and  $B$  are two languages, then  $A\nabla B = \{xy \mid x \in A, y \in B, \text{ and } |x| = |y|\}$ . Prove that if  $A$  and  $B$  are regular languages, then  $A\nabla B$  is a context-free language.

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Claim:

If  $A$  and  $B$  are regular languages then  $A\nabla B$  is a context-free language.

Proof:

Let  $A$  and  $B$  be regular languages where  $M_A = (Q_A, q_{0_A}, \Sigma_A, \delta_A, F_A)$  and  $M_B = (Q_B, q_{0_B}, \Sigma_B, \delta_B, F_B)$  are two DFAs which solve languages  $A$  and  $B$  respectively. Where  $F_A = \{f_{A_1}, \dots, f_{A_n}\}$  and  $F_B = \{f_{B_1}, \dots, f_{B_x}\}$

To prove that  $A\nabla B$  is a context-free language we need to make a PDA  $P = (Q, q_0, \Sigma, \Gamma, \delta, F)$  which accepts the language of  $A\nabla B$ .

See Figure 1.

$$\begin{aligned}
 Q &= Q_A \cup Q_B \cup \{q_{new}, q_f\} \\
 q_0 &= q_{new} \\
 \Sigma &= \Sigma_A \cup \Sigma_B \\
 \Gamma &= \{\#\} \cup \{\$\} \\
 \delta_1(a, c, \varepsilon) &= \{(\delta_A(a, c), \#)\} \text{ where } a \in A, c \in \Sigma_A \\
 \delta_2(b, d, \#) &= \{(\delta_B(b, d), \varepsilon)\} \text{ where } b \in B, d \in \Sigma_B \\
 \delta(q \in Q, \sigma \in \Sigma, g \in \Gamma) &= \delta_1 \cup \delta_2 \\
 F &= \{q_f\}
 \end{aligned}$$

In our PDA  $P$  we will have states  $Q$  which consist of the two new states  $q_{new}, q_f$  and the original states in both DFAs  $Q_A, Q_B$ .

We will have a new starting state  $q_{new}$  which has transitions out of it as seen in Figure 1.

Our new alphabet  $\Sigma$  will be the union of the original alphabets  $\Sigma_A, \Sigma_B$ .

Our stack alphabet will consist of just the bottom of the stack character  $\$$  and an arbitrary symbol  $\#$  which we will use to "remember" how many states are in  $x, |x|$ .

Our transition function  $\delta$  will be defined as the transitions defined in *Figure 1* as well as the union of the transitions  $\delta_1, \delta_2$ .  $\delta_1$  is just the transition function  $\delta_A$  but every move pushes the symbol  $\#$  onto the stack and the  $\delta_2$  is just the transition function  $\delta_B$  but every move pops the  $\#$  off until we reach  $\$$  then if we are in an accepting state of  $M_B$  we can declare that the string we processing is in  $A\nabla B$ . This method makes sure that  $|x| = |y|$  if  $x \in A$  and  $y \in B$ .

$$\delta : Q \times (\Sigma \cup \{\varepsilon\}) \times (\Gamma \cup \{\varepsilon\}) \rightarrow \mathbb{P}(Q \times (\Gamma \cup \{\varepsilon\}))$$

The accepting state is if we are in an accepting state in  $M_B$  and the top of our stack is  $\$$  then we can accept the string because this means that  $|x| = |y|$ .

If we had a string  $s \in A\nabla B$  when we process it in  $P$  we will have an  $x \in A$  and  $y \in B$  where  $s = xy$  and  $|x| = |y|$ . This means the  $x$  will be processed in  $M_A$  where each step pushes a  $\#$  onto the stack. Then when we reach an accepting state in  $M_A$  an epsilon transition will be taken from

$M_A$  to  $M_B$  and each step processing  $y$  in  $M_B$  will pop of a  $\#$  from the stack. Then we will reach an accepting state in  $M_B$ , and there will be a  $\$$  at the top of the stack so we can epsilon transition to the accepting state of  $P$ .

If  $s \notin A \nabla B$  we will either

- (a) not reach an accepting state in  $M_A$ .
- (b) reach an accepting state in  $M_A$  but will not reach an accepting state in  $M_B$ .
- (c) reach an accepting state in  $M_A$  and  $M_B$  but  $|x| \neq |y|$  and we will know this because we will be at an accepting state in  $M_B$  but the top of the stack will have a  $\#$  not a  $\$$ .

Therefore  $s$  will be rejected by  $P$ .

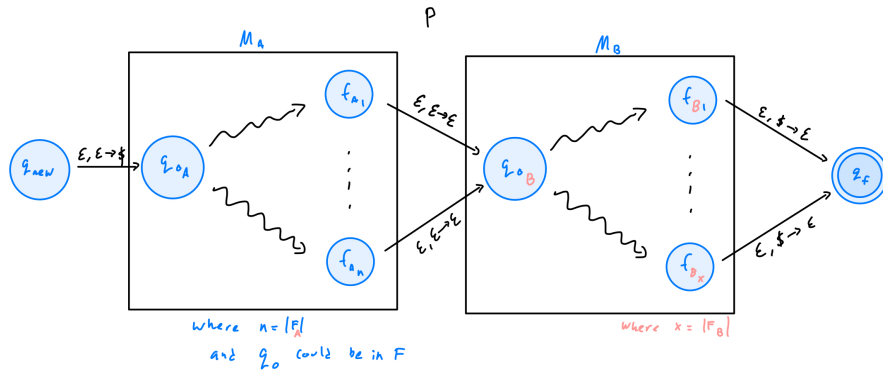


Figure 1: PDA  $P$  made from  $M_A$  and  $M_B$

Because there exists a PDA  $P$  which accepts  $A \nabla B$  we know that a PDA can be converted into a Context-Free Grammar, which we proved in class, and that means that there is CFG which represents  $A \nabla B$ . Therefore we can say that  $A \nabla B$  is a context-free language by proof of construction.

□

2. **(45 points)**. This problem explores two related languages. Remember to use the ideas from part (a) in part (b).

(a) **(20 points)**. Show that the language

$$L_1 = \{x\$y \mid x, y \in \{0, 1\}^* \text{ and } x \neq y\}$$

over the alphabet  $\Sigma = \{\$, 0, 1\}$  is a context-free language.

Claim:

$L_1$  is a context-free language.

Proof:

Let a CFG  $G = (V, \Sigma, S \in V, R \subseteq V \times (V \cup \Sigma \cup \{\varepsilon\})^*)$ .

$$V = \{S, U, V, W, X, Y, Z\}$$

$$\Sigma = \{0, 1, \$\}$$

$$S \rightarrow U|V$$

$$U \rightarrow Y1W|Z0W$$

$$V \rightarrow XVX|\$XW|XW\$$$

$$W \rightarrow XW|\varepsilon$$

$$X \rightarrow 1|0$$

$$Y \rightarrow XYX|0W\$$$

$$Z \rightarrow XZX|1W\$$$

We have our non terminal variables  $V$ , alphabet  $\Sigma$ , starting variable  $S$ , and rules  $R$ .

We start by going from  $S$  to  $U$  or  $V$ .

Then we will have  $W$  which will allow us to make any string  $x$  where  $x \in \{0, 1\}^*$ .

If we have a string  $s \in L_1$  where  $s = x\$y$  and  $|x| = |y|$ . There must be an index  $i$  s.t.  $x_i \neq y_i$ . We will catch this in our terminal variable  $U$ . Those rules will make a string where  $s = x\$y$  where  $x = j0k$  and  $y = l1h$

or

$$x = j1k \text{ and } y = l0h$$

but for either case  $|j| = |l|$  which means we will be able to find the difference between  $x$  and  $y$  at index  $|j| + 1$ .

If we have a different string  $s \in L_1$  where  $s = x\$y$  and  $|x| \neq |y|$ . This means our CFG must accept if and only if  $x \neq y$ . Our rule  $V$  does this because either  $|x| > |y|$  or  $|y| > |x|$ .

Because we were able to construct a CFG  $G$  which accepts the language  $L_1$  we can say that  $L_1$  is infact a context-free language by proof by construction.

□

(b) **(25 points)**. Show that the language

$$L_2 = \{xy \mid x, y \in \{0, 1\}^*, |x| = |y|, \text{ and } x \neq y\}$$

is a context-free language.

*Hint: Have non-determinism on your mind.*

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Claim:

The language  $L_2$  is a context-free language.

Proof:

Consider a CFG  $G = (V, \Sigma, S \in V, R \subseteq V \times (V \cup \Sigma \cup \{\varepsilon\})^*)$

$$V = \{S, X, Y, Z\}$$

$$\Sigma = \{1, 0\}$$

$$S \rightarrow XY|YX$$

$$Z \rightarrow 1|0$$

$$X \rightarrow ZXZ|1$$

$$Y \rightarrow ZYZ|0$$

Our CFG  $G$  will be able to make sure that the first half  $x$  of a string  $s$  and the second half  $y$  have the same magnitude  $|x| = |y|$  and have at least one difference s.t.  $x \neq y$ .

We have two cases.

Let  $q, w, e, r \in \Sigma^*$

(a)  $s = q0we1r \in L_2$

(b)  $s = q1we0r \in L_2$

From our rules  $R$  we can see that  $|q| = |w|$  and  $|e| = |r|$ .

The length of our string  $s$  is  $2 \times |q| + 2 \times |e| + 2$ , and we can split this string into an  $x$  and  $y$  s.t. the first half  $x$  and the second half  $y$  have at least one difference at some index. This means we can say that  $x \neq y$  where  $|x| = |y|$ .

Because we can create a CFG  $G$  which solves  $L_2$  we can say that  $L_2$  is a context-free language by proof of construction.

□