

PERSONA

Privacy, ethical, regulatory and social no-gate crossing point solutions acceptance



Vrije Universiteit Brussel (VUB) Research Group on Law, Science, Technology & Society (LSTS)
Queen Mary University of London (QMUL) The Multimedia and Vision Research Group

Warsaw, 14 June 2018

FRONTEX - European Border and Coast Guard Agency
Workshop on EU funded border security research projects



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The seven circumstances

- ubi (where)
- cur (why)
- quem ad modum (in what way)
- quibus adminiculis (by what means)



Why?

- European Commission identified a need

The risk of invading people's privacy, and the societal and political acceptance of technologies for "no gate solutions" is required **prior** to their implementation.

- Expected impact: "A method, and metrics, to assess acceptability by the society of the concept of border control processes based on "no gate crossing point solutions", and of the various technology components that may be required."

The screenshot shows a project management interface for the European Commission. The project title is "ICP: Acceptability of no gate crossing point solutions". Key details include:

- Topic:** Intelligent Borders
- Project Identifier:** ICP-19-000-0219
- Lead institution:** Vrije Universiteit Brussel
- Type of action:** IC1 Research and Innovation action
- Duration:** 01 March 2019 - 31 December 2022
- Budget:** €2,149,000.00
- Start Date:** 01 March 2019
- End Date:** 31 December 2022

Below the main project summary, there are three tabs: **Project Details**, **Task Overview**, and **Task Description**. The **Task Overview** tab is active, showing a table with one row:

Task ID	Task Name	Start Date	End Date	Budget (EUR)
1	Acceptability of no gate crossing point solutions	01/03/2019	31/12/2022	€2,149,000.00

The **Task Description** tab contains a detailed description of the task, mentioning the goal of developing a method and metrics to assess the acceptability of no gate crossing point solutions, and the role of the project in defining the acceptability of such solutions.



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Why? (2)

- Technology developers
- Researchers
- Border authorities
- LEAs
- Policy-makers
- Other stakeholders

By fostering proactive thinking in connection with

- Privacy, Ethical, Regulatory and Social No-gate crossing point solutions Acceptance (PERSONA)



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Why? (3)

Impact assessment method and to carry out countless crossing point technologies, taking into requirements, privacy and personal data other societal issues.

ent will provide robust information for decision-makers in a form of enumeration of potential threats and benefits, possible measures to minimise the former and maximise the latter as well as overall guidelines in order to drive the innovation and deployment of future solutions by both industry and border control authorities.



Why? (4) benefits outweigh costs

- aids to:
 - foster informed decision-making
 - the protection of societal concerns
- forces to reflect
- ex ante thinking/early warning system
- inward/outward orientation
- best-effort obligation
- demonstrates accountability
- means for the public to have their voice heard

- cons
 - bureaucracy
 - waste of effort
 - complexity
 - instrumental use
 - inward/outward orientation

An impact assessment is a tool used for the analysis of possible consequences of an initiative on a relevant societal concern or concern; if this initiative can present dangers to these concerns, with a view to support the informed decision-making whether to deploy this initiative and under what conditions, ultimately constituting a means to protect these concerns.

Who and where?

10 partners

- Vrije Universiteit Brussel (VUB)
- Institut for Fredsforskning Stiftelse Peace Research Institute Oslo (PRIO)
- Queen Mary, University of London (QMUL)

SME:

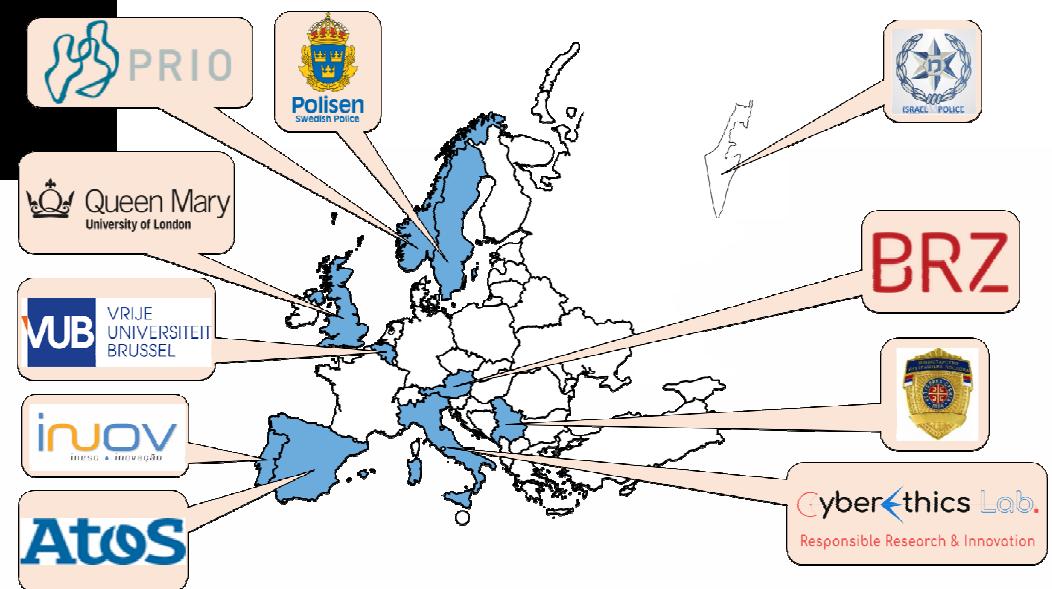
- Cyberethics Lab (CEL)

Industry:

- Atos Spain S.A. (ATOS)
- INOV Inesc Inovação (INOV)

LEA

- Bundesrechenzentrum – Federal Computing Centre (BRZ)
- Swedish Police Authority, National Forensic Centre (SPA)
- Ministry of Interior of the Republic of Serbia (SMOI)
- Ministry of Public Security – Israel National Police (MOPS-INP)



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What?

- Analysis of the existing assessment methods
 - PIA
 - Art. 8 ECHR
 - DPIA
 - Art. 35 GDPR
 - Art. 27 Directive
 - EIA
 - Autonomy, dignity, non-maleficence, beneficence
 - SIA
 - Societal acceptance
 - SIA
 - Security aspects
 - RIA
 - National Facilitation Programme
 - Registered Traveller Programme
 - eurodac, dublin, sis, eurosur, etc.



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What? (2) an ideal impact assessment



5. Includes recommendations

6. Constitutes best efforts obligations

7. [REDACTED] sufficient knowledge and know-how

8. Documented

- 9. Deliberative
- 10. Accountable
- 11. Assessor is independent
- 12. Simple
- 13. Adaptive
- 14. Inclusive
- 15. Receptive
- 16. Grows in supportive environment

What? (3)

- Developing a framework for border authorities;
- Assessing technologies on the relevant privacy, regulatory as well as societal concerns;
- Assessing the impacts of various categories of stakeholders;
- Developing a textbook to assist organizations and LEAs in the assessment of the impacts of border crossing technologies;
- Supporting decision-makers' financial and human resources through the development of guidelines necessary for the adequate use of impact assessments;
- Improving the harmonisation of impact assessment methods across the EU, through the use of uniform materials for carrying out an impact assessment.

How and by what means?

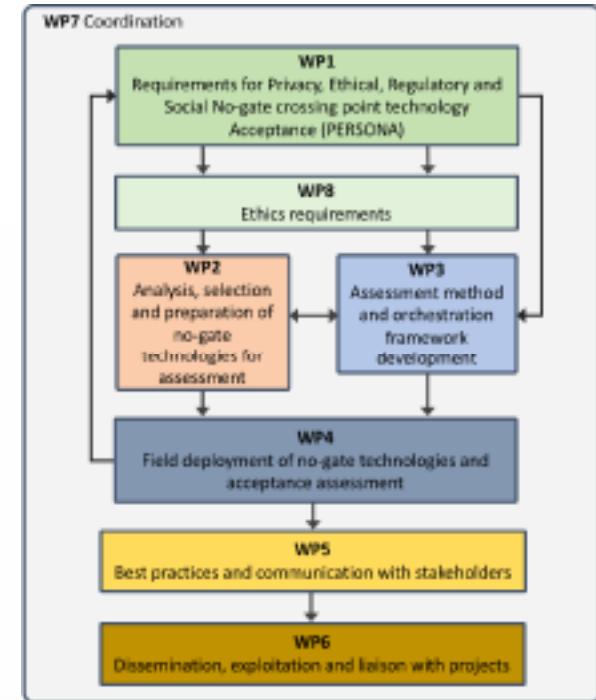
- WP1 – Requirements for Privacy, Ethical, Regulatory

framework development

- WP4 – Field deployment of no-gate technologies and acceptance assessment

Best practices and communication with stakeholders

- WP6 – Dissemination, exploitation and liaison with projects
- WP7 – Coordination
- WP8 – Ethics requirements



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How and by what means? (2) WP1

best practises regarding the acceptance of

and user scenarios for the deployment and

define technical requirements for no-gate border security solutions selected for impact assessment;

- **identify PERSONA requirements** based on user and technical requirements and latest EU regulations;
- study and identify potential risks and **mitigation controls**;
- to **perform thorough analysis of impacts** against defined requirements in order to identify elements which require further treatment and mitigation;



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How and by what means? (3) WP2

technologies and solutions for no-gate border

upon the assessment of the selected

tune and setup the latest verification scanners and smart sensors

- **investigate and setup** latest video analytics and data fusion solutions for person, object and abnormal behavior detection
- **investigate and setup** security assessment and decision support systems
- **prepare system** for selected national facilitation programme for assessment
- carry on **technical validation** of selected technologies



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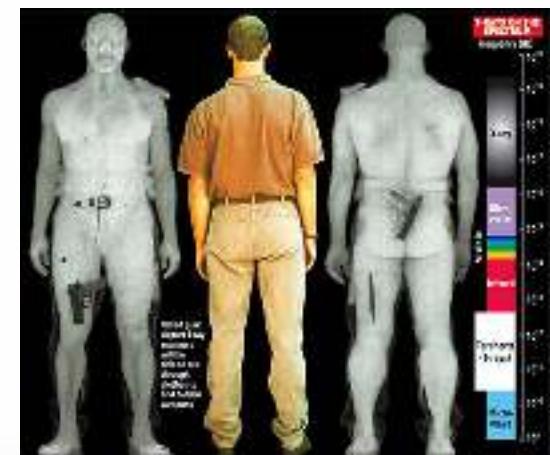
How and by what means? (4) WP2

Technologies to be assessed

[REDACTED] or ultra-

[REDACTED] [REDACTED]

- Water Poisoning, chemical sensor
- GPS, mounted on vehicle with I/O interface (e.g. ignition on/off
[REDACTED] status)
- Body & Luggage Scanner
- Unmanned Ground Vehicle (UGV) with chemical sensor on board
- Face Recognition sensor
- Access control



How and by what means? (5) WP2

Technologies to be assessed (cont.)

ation of an mobile-phone
detect: Abandon Object, Trip Wire, Loitering and

and Material and Percentages

Flight data, Season and Resource info, airport operation management system

- Surface Movement Guidance and Control System (SMGCS) Radar, Object Localization i.e. Surface movement radar (SMR)

Easy: Decision Support System

- No-gate crossing point mobile system
- National Facilitation Programme
- Person trackers based on distinctive region of interest
- Image and face recovery



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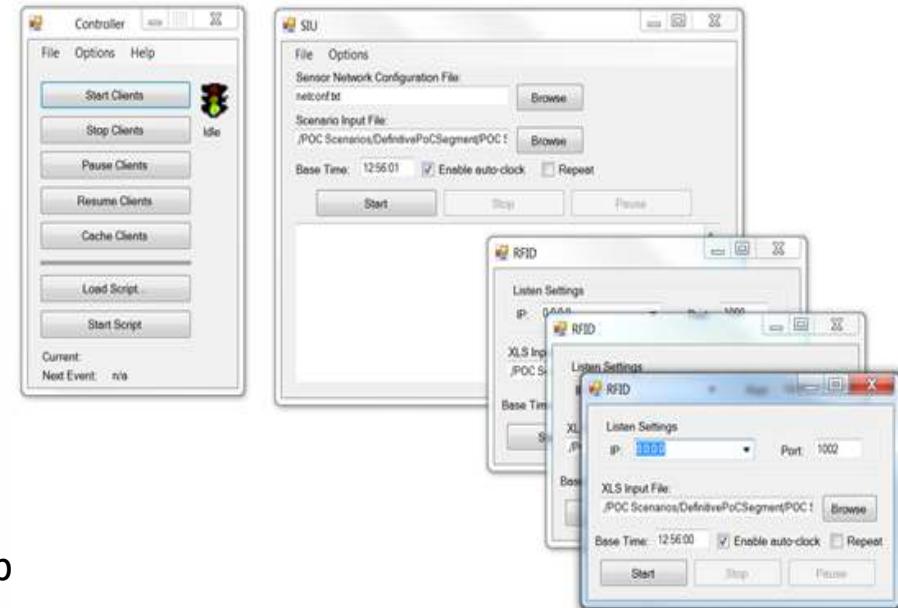
PERSONA

How and by what means? (6) WP2

PERSONA orchestration framework

The PERSONA system includes a simulation module, which is used to run scenarios, that allows

- To repeat specific scenarios (stories)
- Reproduce complex scenarios (e.g. full Proof of Concept (POC) scenarios)
- Simulate dangerous situations (example: explosive detection alarms)
- Simulator output events are logged with time stamp
- Support PERSONA's operational training



How and by what means? (7) WP3

[REDACTED] hensive acceptance assessment of no-gate

[REDACTED] metrics for the effective assessment process;

- develop an **orchestration plan** and set guideline for the **orchestration framework** for the assessment of the diversity of solutions;

[REDACTED] and deploy the universal orchestration framework for seamless integration and assessment of wide range of no-gate technologies



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How and by what means? (8) WP4

[REDACTED] selected no-gate crossing point solutions;
[REDACTED] solutions for acceptance assessment;
[REDACTED] res for collection and management of

- conduct thorough assessment of selected no-gate crossing point solutions with focus on privacy, regulatory and data protection aspects

[REDACTED] ssessments of the social and ethical acceptance of selected solutions by public



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How and by what means? (9) WP5

[REDACTED] through the following experts and key stakeholders in the field of [REDACTED]

[REDACTED] experts of the end-user organisations in the [REDACTED]

[REDACTED] and decision-makers for direct communication on PERSONA requirements, outcomes and best practises;

- develop a **detailed contingency plan** and treatment measures which will form an important part of the guidelines and recommendations to decision makers;
- produce and publish the **PERSONA textbook**, a complete guide and report from the entire acceptance assessment work;
- **communicate** derived guidelines and best practises with stakeholders and decision makers from PERSONA network and beyond



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How and by what means? (10) WP6

[REDACTED] g and developing different strategies to

[REDACTED] oject results to the relevant stakeholders

[REDACTED] object, especially **BES-15 projects** and setup synergies for cooperation in technology assessment;

- **organise three dedicated workshops** in order to connect with key stakeholders, exchange assessment results and discuss strategies;
- perform a **detailed analysis of PERSONA assets**, research trends and drivers in order to support the project exploitation;
- undertake **standardisation activities** among international and EU governing bodies with the support of LEAs.



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How and by what means? (11)

[REDACTED] internal stakeholders, external stakeholders)

- Meetings
- Workshops
- Participation on each others' events
- Aim is the create a best practice that will be actually used



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How and by what means? (12) Dissemination

- Related European border and custom authorities
- Security industry
- Scientific community
- End users (airports, border crossing points etc.)

52 deliverables

- website/brochure/newsletter/social media
- [redacted] and research publication
- EC dissemination mechanisms
- Demonstrations
- Workshops



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When?


	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112	113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128	129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144	145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160	161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176	177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192	193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208	209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224	225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240	241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256	257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272	273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288	289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304	305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320	321	322	323	324	325	326	327	328	329	330	331	332	333	334	335	336	337	338	339	340	341	342	343	344	345	346	347	348	349	350	351	352	353	354	355	356	357	358	359	360	361	362	363	364	365	366	367	368	369	370	371	372	373	374	375	376	377	378	379	380	381	382	383	384	385	386	387	388	389	390	391	392	393	394	395	396	397	398	399	400	401	402	403	404	405	406	407	408	409	410	411	412	413	414	415	416	417	418	419	420	421	422	423	424	425	426	427	428	429	430	431	432	433	434	435	436	437	438	439	440	441	442	443	444	445	446	447	448	449	450	451	452	453	454	455	456	457	458	459	460	461	462	463	464	465	466	467	468	469	470	471	472	473	474	475	476	477	478	479	480	481	482	483	484	485	486	487	488	489	490	491	492	493	494	495	496	497	498	499	500	501	502	503	504	505	506	507	508	509	510	511	512	513	514	515	516	517	518	519	520	521	522	523	524	525	526	527	528	529	530	531	532	533	534	535	536	537	538	539	540	541	542	543	544	545	546	547	548	549	550	551	552	553	554	555	556	557	558	559	560	561	562	563	564	565	566	567	568	569	570	571	572	573	574	575	576	577	578	579	580	581	582	583	584	585	586	587	588	589	590	591	592	593	594	595	596	597	598	599	600	601	602	603	604	605	606	607	608	609	610	611	612	613	614	615	616	617	618	619	620	621	622	623	624	625	626	627	628	629	630	631	632	633	634	635	636	637	638	639	640	641	642	643	644	645	646	647	648	649	650	651	652	653	654	655	656	657	658	659	660	661	662	663	664	665	666	667	668	669	670	671	672	673	674	675	676	677	678	679	680	681	682	683	684	685	686	687	688	689	690	691	692	693	694	695	696	697	698	699	700	701	702	703	704	705	706	707	708	709	710	711	712	713	714	715	716	717	718	719	720	721	722	723	724	725	726	727	728	729	730	731	732	733	734	735	736	737	738	739	740	741	742	743	744	745	746	747	748	749	750	751	752	753	754	755	756	757	758	759	760	761	762	763	764	765	766	767	768	769	770	771	772	773	774	775	776	777	778	779	780	781	782	783	784	785	786	787	788	789	790	791	792	793	794	795	796	797	798	799	800	801	802	803	804	805	806	807	808	809	8010	8011	8012	8013	8014	8015	8016	8017	8018	8019	8020	8021	8022	8023	8024	8025	8026	8027	8028	8029	8030	8031	8032	8033	8034	8035	8036	8037	8038	8039	8040	8041	8042	8043	8044	8045	8046	8047	8048	8049	8050	8051	8052	8053	8054	8055	8056	8057	8058	8059	8060	8061	8062	8063	8064	8065	8066	8067	8068	8069	8070	8071	8072	8073	8074	8075	8076	8077	8078	8079	8080	8081	8082	8083	8084	8085	8086	8087	8088	8089	8090	8091	8092	8093	8094	8095	8096	8097	8098	8099	80100	80101	80102	80103	80104	80105	80106	80107	80108	80109	80110	80111	80112	80113	80114	80115	80116	80117	80118	80119	80120	80121	80122	80123	80124	80125	80126	80127	80128	80129	80130	80131	80132	80133	80134	80135	80136	80137	80138	80139	80140	80141	80142	80143	80144	80145	80146	80147	80148	80149	80150	80151	80152	80153	80154	80155	80156	80157	80158	80159	80160	80161	80162	80163	80164	80165	80166	80167	80168	80169	80170	80171	80172	80173	80174	80175	80176	80177	80178	80179	80180	80181	80182	80183	80184	80185	80186	80187	80188	80189	80190	80191	80192	80193	80194	80195	80196	80197	80198	80199	80200	80201	80202	80203	80204	80205	80206	80207	80208	80209	80210	80211	80212	80213	80214	80215	80216	80217	80218	80219	80220	80221	80222	80223	80224	80225	80226	80227	80228	80229	80230	80231	80232	80233	80234	80235	80236	80237	80238	80239	80240	80241	80242	80243	80244	80245	80246	80247	80248	80249	80250	80251	80252	80253	80254	80255	80256	80257	80258	80259	80260	80261	80262	80263	80264	80265	80266	80267	80268	80269	80270	80271	80272	80273	80274	80275	80276	80277	80278	80279	80280	80281	80282	80283	80284	80285	80286	80287	80288	80289	80290	80291	80292	80293	80294	80295	80296	80297	80298	80299	80300	80301	80302	80303	80304	80305	80306	80307	80308	80309	80310	80311	80312	80313	80314	80315	80316	80317	80318	80319	80320	80321	80322	80323	80324	80325	80326	80327	80328	80329	80330	80331	80332	80333	80334	80335	80336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