

Ramanujan Graphs (The Best Expanders)

Ranveer (CSE, IIT Indore)

Applications

Covers broad areas of mathematics and computer science.

- ① Explicit construction of robust networks
- ② Error correcting codes
- ③ Derandomization of random algorithms
- ④ Quantum cryptography
- ⑤ Analysis of algorithms in computational group theory
- ⑥ Sorting networks
- ⑦ Complexity theory

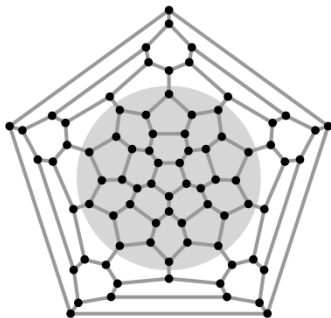
Expanders

Graphs which are

- ① Very sparse
- ② Well-connected

Sparse

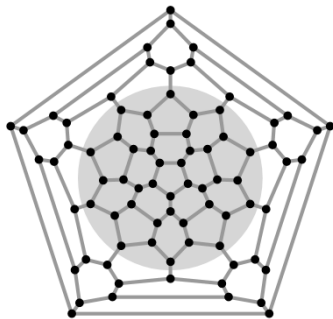
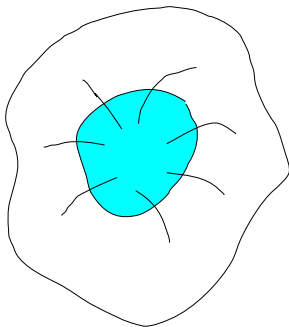
Let $G = (V, E)$ be a graph on $|V| = n$ nodes.
The number of edges $|E| \ll O(n^2)$.



$|E| = \frac{3n}{2}$, that is, $O(n)$.

Well-connected

Every subset of the vertices has large boundary.



Brain graph



The human brain has about 10^{11} (one hundred billion) neurons. Each neuron is connected to only 7,000 other neurons on an average via synapses.

Expansion ratio

The expansion ratio of a graph $G = (V, E)$ on n vertices is

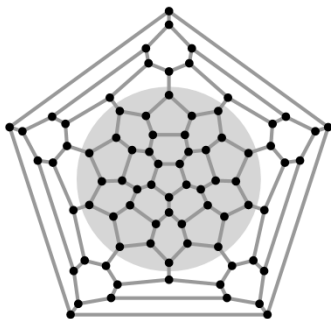
$$h(G) = \min_{S \subset V, 0 < |S| \leq \frac{n}{2}} \frac{|\partial S|}{|S|},$$

where ∂S is the boundary of S , that is, the set of edges with exactly one endpoint in S .

$h(G)$ is also known as the isoperimetric number or Cheeger constant.

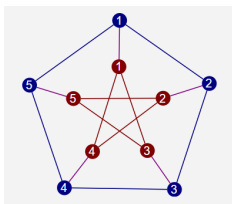
Implication

The number of edges between a subset S and its complement S' is at least $h(G) \times \min(|S|, |S'|)$.



Examples

- ① Cycle C_n on n vertices: $h(C_n) \leq \frac{4}{n} \rightarrow 0$, as $n \rightarrow \infty$.
- ② Complete graph K_n on n vertices: $h(K_n) \sim \frac{n}{2} \rightarrow \infty$, as $n \rightarrow \infty$.
- ③ Petersen graph: $h(G) = 1$.

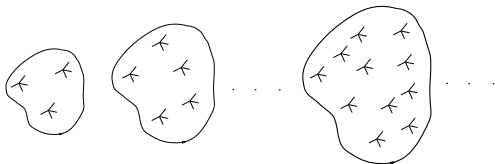


Petersen Graph

- ④ For connected graphs $h(G) > 0$.

Expander graphs

Definition: A family $\{G_n\}$, $n = 1, 2, \dots, \infty$, of d -regular graphs and there exists $\epsilon > 0$ such that $h(G_n) \geq \epsilon$ for every n .



Family of cycle graphs (C_n) and complete graphs (K_n) are **not** expander families.

Intractable $h(G)$

No polynomial time algorithm to calculate $h(G)$.

Tomorrow if there is any polynomial time algorithm for $h(G)$, then

$$\mathbf{P=NP}.$$

Hence, it will settle one among the seven millennium problem of the world at present.

What to do??

Alon, Milman, 1985

Let G be a connected d -regular graph on n vertices and $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n$ be the eigenvalues of the adjacency matrix.

- ① $\lambda_1 = d$.
- ② $\lambda_n = -d$ iff G is bipartite graph.

Theorem¹

$$\frac{d - \lambda_2}{2} \leq h(G) \leq \sqrt{2d(d - \lambda_2)}$$



Figure: From left: Noga Alon, Milman

¹Alon, N. and Milman, V.D., 1985. 1, isoperimetric inequalities for graphs, and superconcentrators. Journal of Combinatorial Theory, Series B, 38(1), pp.73-88.

Spectral gap

Spectral gap: $d - \lambda_2$.

$$\frac{d - \lambda_2}{2} \leq h(G) \leq \sqrt{2d(d - \lambda_2)}.$$

Smaller λ_2 is better.

First explicit construction of expanders

Margulis, 1973

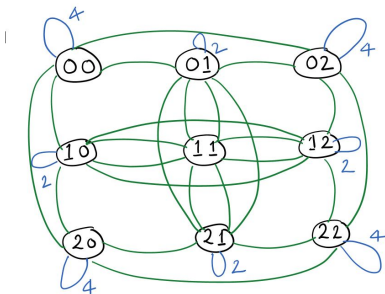
For every natural number m , consider $G = (V, E)$, where $V = \mathbb{Z}_m \times \mathbb{Z}_m$. Every vertex (x, y) is connected to $(x \pm y, y)$, $(x \pm (y + 1), y)$, $(x, y \pm x)$, and $(x, y \pm (x + 1))$, where the arithmetic is modulo m .



Fields Medal - 1978 (Postpone due to denial of Visa to Helsinki)
Abel Prize - 2020 (Postpone due to Covid-19)

Example and analysis²

\mathbb{Z}_3



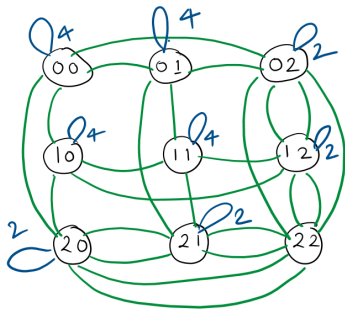
This construction yield family of 8-regular graphs with $\lambda_2 < 8$.

²Gabber, O. and Galil, Z., 1981. Explicit constructions of linear-sized superconcentrators. Journal of Computer and System Sciences, 22(3), pp.407-420.

A slight variant

(x, y) is connected to the vertices

$(x \pm 2y, y)$, $(x \pm (2y + 1), y)$, $(x, y \pm 2x)$, and $(x, y \pm (2x + 1))$.



This variant yields a better known bound $\lambda_2 \leq 5\sqrt{2} \sim 7.071$.

How better an expander family can be³

All sufficiently large d -regular graphs has

$$\lambda_2 \geq 2\sqrt{d-1} - o_n(1),$$

where $o_n(1)$, is the term tending to 0 as $n \rightarrow \infty$.



From left: Noga Alon, Ravi Bopanna

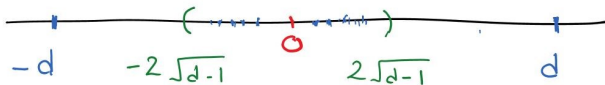
Let $\lambda = \max_{|\lambda_i| < d} |\lambda_i|$, $i = 1, \dots, n$. Also, $\lambda \geq 2\sqrt{d-1} - o_n(1)$.

³Alon, N., 1986. Eigenvalues and expanders. *Combinatorica*, 6(2), pp.83-96. 

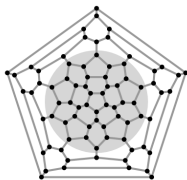
Ramanujan Graphs

The largest spectral gap

The d -regular graphs with $\lambda \leq 2\sqrt{d-1}$.



Examples



$$\lambda = 2.818, h(G) = 0.25$$

Other trivial examples

- 1 Complete graphs : $\lambda = 1$
- 2 Complete bipartite graphs : $\lambda = 0$
- 3 Petersen graph : $\lambda = 2$

Explicit construction of a family of Ramanujan graphs.

A challenge!!

The first construction

LPS⁴

Morgenstern, Lubotzky-Phillips-Sarnak: d -regular Ramanujan graphs exist when $d - 1$ is a prime power.



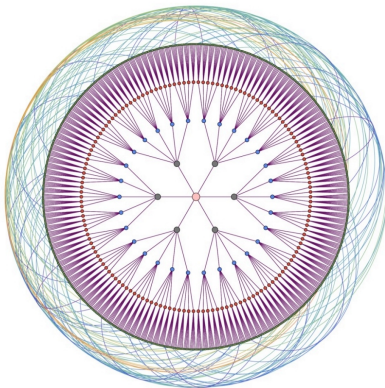
From left: Alex Lubotzky, Ralph S. Phillips, Peter Sarnak

It uses a Ramanujan conjecture hence they coined the name.

⁴Lubotzky, A., Phillips, R. and Sarnak, P., 1988. Ramanujan graphs. *Combinatorica*, 8(3), pp.261-277.

LPS Example

An 6-regular Ramanujan graph.



Random d -regular graphs

For d fixed and $\epsilon > 0$ the probability that $\lambda \leq 2\sqrt{d-1} + \epsilon$ tends to 1 as $n \rightarrow \infty$.



So a random d -regular graph is asymptotically Ramanujan.

Expanders

2-Lift

Given a graph $G = (V, E)$, a 2-Lift of G is a graph $\hat{G} = (\hat{V}, \hat{E})$ that has two vertices $\{v_0, v_1\} \subseteq \hat{V}$ for each vertex $v \in V$. If (u, v) is an edge in E , then \hat{E} can either contain the pair of edges

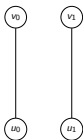
$$\{(u_0, v_0), (u_1, v_1)\},$$

or

$$\{(u_0, v_1), (u_1, v_0)\}.$$



in G



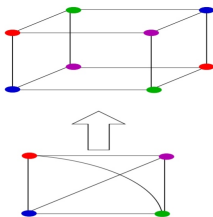
in \hat{G}

OR

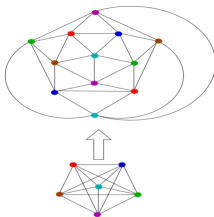


in \hat{G}

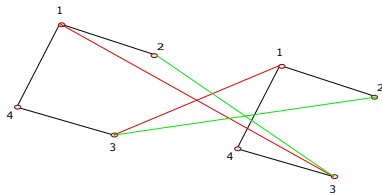
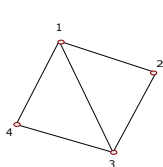
More examples



A 3-D cube is a 2-lift of K_4

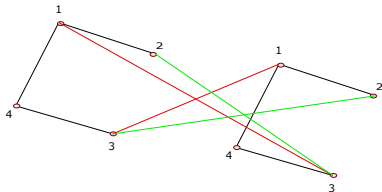
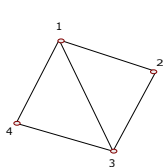


The icosahedron graph is a 2-lift of K_6



$$A = \begin{bmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 \end{bmatrix}$$

The eigenvalues of A are $\{2.56, 0, -1, -1.56\}$



Signed adjacency matrix

$$A_s = \begin{bmatrix} 0 & 1 & -1 & 1 \\ 1 & 0 & -1 & 0 \\ -1 & -1 & 0 & 1 \\ 1 & 0 & 1 & 0 \end{bmatrix}$$

The eigenvalues of A_s are $\{2, 1, -1, -2\}$

The eigenvalues of 2-lifts

Old eigenvalues of \hat{G} : $\sigma(A) = \{2.56, 0, -1, -1.56\}$.

New eigenvalues of \hat{G} : $\sigma(A_s) = \{2, 1, -1, -2\}$.

The eigenvalues of \hat{G} : $\sigma(\hat{A}) = \{2.56, 2, 1, 0, -1, -1, -1.56, -2\}$.

Theorem: $\sigma(\hat{A}) = \sigma(A) \cup \sigma(A_s)$ taken with multiplicities.

Proof

The adjacency matrix of 2-lift can be written as

$$\hat{A} = \begin{bmatrix} A_1 & A_2 \\ A_2 & A_1 \end{bmatrix}. \quad (1)$$

Note that, $A = A_1 + A_2, A_s = A_1 - A_2$. Suppose $(\alpha, v), (\beta, u)$ be eigenpairs of A, A_s , respectively. Then

$$\left(\alpha, \begin{bmatrix} v \\ v \end{bmatrix} \right), \left(\beta, \begin{bmatrix} u \\ -u \end{bmatrix} \right)$$

are eigenpairs of \hat{A} . As $\begin{bmatrix} v \\ v \end{bmatrix}, \begin{bmatrix} u \\ -u \end{bmatrix}$ are orthogonal, and they are $2n$ in numbers, thus span all the eigenvectors of \hat{A} .

Conjecture⁶

Bilu and Linial conjectured that every d -regular graph has a signing in which all of the new eigenvalues have absolute value at most $2\sqrt{d-1}$.



From left: Nati, Bilu

For every d -regular graph there is A_s with spectral radius $O(\sqrt{d} \cdot \log^{3/2} d)$.

⁶Bilu, Y. and Linial, N., 2006. Lifts, discrepancy and nearly optimal spectral gap. *Combinatorica*, 26(5), pp.495-519.

Infinite Bipartite Ramanujan graphs⁷

Srivastava-Marcus-Spielman proved the conjecture for d -regular bipartite graphs.

Since the 2-lift of a bipartite graph is also bipartite, starting with a d -regular complete bipartite and inductively forming the appropriate 2-lifts gives an infinite sequence of d -regular bipartite Ramanujan graphs.



⁷Marcus, A.W., Spielman, D.A. and Srivastava, N., 2015. Interlacing families I: Bipartite Ramanujan graphs of all degrees. *Annals of Mathematics*, 182, 307–325

Continue..Open problem

Later Srivastava-Marcus-Spielman⁸ there exist bipartite Ramanujan graphs of every degree and every number of vertices.

Michael B. Cohen⁹ showed how to construct these graphs in polynomial time.

Open Problem: Are there exist infinitely many d -regular non-bipartite Ramanujan graphs for any $d \geq 3$?

⁸Marcus, A.W., Spielman, D.A. and Srivastava, N., 2018. Interlacing families IV: Bipartite Ramanujan graphs of all sizes. SIAM Journal on Computing, 47(6), pp.2488-2509.

⁹Cohen, M.B., 2016, October. Ramanujan graphs in polynomial time. In 2016 IEEE 57th Annual Symposium on Foundations of Computer Science (FOCS) (pp. 276-281). IEEE.

zig-zag product¹⁰

Define a (n, m) -graph as any m -regular graph on n vertices. Also, $[m] = \{1, \dots, m\}$. Let G be an (n, m) -graph and H be an (m, d) -graph. For every vertex $v \in V(G)$ we fix some numbering e_v^1, \dots, e_v^m of the edges incident with v .

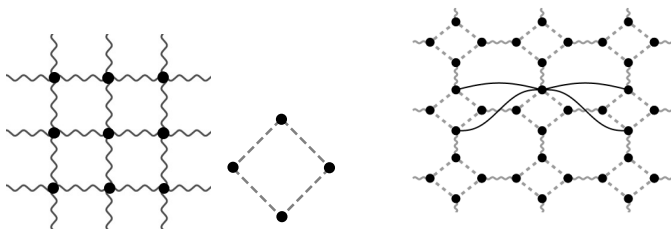
Definition: $G \odot H = (V(G) \times [m], E')$, where $((v, i), (u, j)) \in E'$ iff there are some $k, l \in [m]$ such that $(i, k), (l, j) \in E(H)$ and $e_v^k = e_u^l$.



From left: Gold, Vadhan, Avi

¹⁰O. Reingold, S. Vadhan, and A. Wigderson. Entropy waves, the zig-zag graph product, and new constant-degree expanders. *Annals of Mathematics* (2), 155(1):157–187, 2002.

Example



G : Grid \mathbb{Z}^2 (left), H : 4-cycle (middle), $G \odot H$ (right)

Define a (n, d, λ) -graph as any d -regular graph on n vertices,
 $\lambda = \max_{|\lambda_i| < d} |\lambda_i|, i = 1, \dots, n$.

Let G be (n, m, λ_1) -graph and H be (m, d, λ_2) -graph, then $G \odot H$
 is $(nm, d^2, f(\lambda_1, \lambda_2))$ -graph, where $f(\lambda_1, \lambda_2) < \lambda_1 + \lambda_2 + \lambda_2^2$.

Other references used

- ① Hoory, S., Linial, N. and Wigderson, A., 2006. Expander graphs and their applications. Bulletin of the American Mathematical Society, 43(4), pp.439-561.
- ② Goldreich, O., 2011. Basic facts about expander graphs. In Studies in Complexity and Cryptography. Miscellanea on the Interplay between Randomness and Computation (pp. 451-464). Springer, Berlin, Heidelberg.
- ③ Sarnak, P.C., 2004. What is... an expander?. notices of the American Mathematical Society, 51(7), pp.762-763.
- ④ Google images