



Chapter 8: Relational Database Design

Database System Concepts, 6th Ed.

Edited by Radhika Sukapuram

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Testing whether a set α is a superkey

- An attribute B is functionally determined by a set of attributes α if
$$\alpha \rightarrow B$$
- To test whether α is a superkey
 - Compute a set of attributes functionally determined by α
- For this,
 1. Compute F^+
 2. Take all FDs with α as the LHS
 3. Take the union of the RHS of each such FDExpensive, as F^+ can be large.



Closure of Attribute Sets

- Given a set of attributes α , define the **closure** of α **under** F (denoted by α^+) as the set of attributes that are functionally determined by α under F
- Algorithm to compute α^+ , the closure of α under F

```
result :=  $\alpha$ ;  
repeat  
    for each  $\beta \rightarrow \gamma$  in  $F$  do  
        begin  
            if  $\beta \subseteq \textit{result}$  then  $\textit{result} := \textit{result} \cup \gamma$   
        end  
until (no change to result)
```



Example of Attribute Set Closure

- $R = (A, B, C, G, H, I)$
- $F = \{CG \rightarrow H$
 $CG \rightarrow I$
 $B \rightarrow H$
 $A \rightarrow B$
 $A \rightarrow C\}$
- **Compute $(AG)^+$**
- Is AG a candidate key?
 - 1. Is AG a super key?
 - 1. Does $AG \rightarrow R?$ == Is $(AG)^+ \supseteq R$
 - 2. Is any subset of AG a superkey?
 - 1. Does $A \rightarrow R?$ == Is $(A)^+ \supseteq R$
 - 2. Does $G \rightarrow R?$ == Is $(G)^+ \supseteq R$



Example of Attribute Set Closure

- $R = (A, B, C, G, H, I)$
- $F = \{A \rightarrow B$
 $A \rightarrow C$
 $CG \rightarrow H$
 $CG \rightarrow I$
 $B \rightarrow H\}$
- $(AG)^+$
 1. $result = AG$
 2. $result = ABCG$ ($A \rightarrow C$ and $A \rightarrow B$)
 3. $result = ABCGH$ ($CG \rightarrow H$ and $CG \subseteq ABCG$)
 4. $result = ABCGHI$ ($CG \rightarrow I$ and $CG \subseteq AGBCH$)
- Is AG a candidate key?
 1. Is AG a super key?
 1. Does $AG \rightarrow R?$ == Is $(AG)^+ \supseteq R$
 2. Is any subset of AG a superkey?
 1. Does $A \rightarrow R?$ == Is $(A)^+ \supseteq R$
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Uses of Attribute Closure

There are several uses of the attribute closure algorithm:

- Testing for superkey:
 - To test if α is a superkey, we compute α^+ and check if α^+ contains all attributes of R .
- Testing functional dependencies
 - To check if a functional dependency $\alpha \rightarrow \beta$ holds (or, in other words, is in F^+), just check if $\beta \subseteq \alpha^+$.
 - That is, we compute α^+ by using attribute closure, and then check if it contains β .
 - Is a simple and cheap test, and very useful
- Computing closure of F
 - For each $\gamma \subseteq R$, we find the closure γ^+ , and for each $S \subseteq \gamma^+$, we output a functional dependency $\gamma \rightarrow S$ such that $\gamma \cap S = \emptyset$



Computing F^+ using attribute closure

$F = \{ AB \rightarrow C, AD \rightarrow B, B \rightarrow D \}$

Compute F^+ using the method of attribute closure.

1. For each $\gamma \subseteq R$, find the closure γ^+ :



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1. For each $\gamma \subseteq R$, find the closure γ^+ :

$A^+ = A, B^+ = BD, C^+ = C, D^+ = D$



Computing F^+ using attribute closure

$F = \{ AB \rightarrow C, AD \rightarrow B, B \rightarrow D \}$

Compute F^+ using the method of attribute closure.

1. For each $\gamma \subseteq R$, find the closure γ^+ :

$A^+ = A, B^+ = BD, C^+ = C, D^+ = D$

$AB^+ = ABCD, AC^+ = AC, AD^+ = ABCD, BC^+ = BCD, BD^+ = BD, CD^+ = CD$



Computing F^+ using attribute closure

$F = \{ AB \rightarrow C, AD \rightarrow B, B \rightarrow D \}$

Compute F^+ using the method of attribute closure.

1. For each $\gamma \subseteq R$, find the closure γ^+ :

$A^+ = A, B^+ = BD, C^+ = C, D^+ = D$

$AB^+ = ABCD, AC^+ = AC, AD^+ = ABCD, BC^+ = BCD, BD^+ = BD, CD^+ = CD$

$ABC^+ = ABD^+ = ACD^+ = ABCD, BCD^+ = BCD$



Computing F^+ using attribute closure

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Compute F^+ using the method of attribute closure.

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$ABC^+ = ABD^+ = ACD^+ = ABCD, BCD^+ = BCD$

2. For each $S \subseteq \gamma^+$, output a functional dependency $\gamma \rightarrow S$ such that $\gamma \cap S = \emptyset$:



Computing F^+ using attribute closure

$F = \{ AB \rightarrow C, AD \rightarrow B, B \rightarrow D \}$

Compute F^+ using the method of attribute closure.

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2. For each $S \subseteq \gamma^+$, output a functional dependency $\gamma \rightarrow S$ such that $\gamma \cap S = \emptyset$:

$AD \rightarrow BC, AB \rightarrow CD, ABC \rightarrow D, ABD \rightarrow C, ACD \rightarrow B$

The rest are trivial or already present



Proof of algorithm for attribute closure of α under F

```
1 result :=  $\alpha$ ;  
2 while (changes to result) do  
    3 for each  $\beta \rightarrow \gamma$  in  $F$  do  
        4 begin  
            5 if  $\beta \subseteq \textit{result}$  then  $\textit{result} := \textit{result} \cup \gamma$   
        6 end
```



Proof of algorithm for attribute closure of α under F

```
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2 while (changes to result) do  
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        4 begin  
            5 if  $\beta \subseteq \textit{result}$  then result := result  $\cup \gamma$   
        6 end
```

- Step 1- Correct since $\alpha \rightarrow \alpha$ holds. Why ?
 $\alpha \rightarrow \textit{result}$ is trivially true in the beginning
- We can add γ to *result* only if $\beta \subseteq \textit{result}$ and $\beta \rightarrow \gamma$ (steps 3 and 5)
 $\beta \subseteq \textit{result}$ implies $\textit{result} \rightarrow \beta$ by reflexivity
 $\alpha \rightarrow \textit{result}$, $\textit{result} \rightarrow \beta$. Therefore $\alpha \rightarrow \beta$
Now $\alpha \rightarrow \beta$, $\beta \rightarrow \gamma$. Therefore $\alpha \rightarrow \gamma$
 $\alpha \rightarrow \textit{result}$, $\alpha \rightarrow \gamma$. By the union rule, $\alpha \rightarrow \textit{result} \cup \gamma$
- α functionally determines any new *result* generated in the while loop
- Any attribute returned by the algorithm is in α^+



The algorithm finds all of α^+

- Assume there is an attribute that is α^+ that is not yet in *result* at any point during execution
- There must be an FD $\beta \rightarrow \gamma$ for which $\beta \subseteq \text{result}$ and at least one attribute in γ not in *result*
- When the algorithm terminates, all such FDs have been processed and the attributes in γ added to *result*
- Thus all attributes in γ are in *result*



Extraneous Attributes

- Consider a set F of functional dependencies and the functional dependency $\alpha \rightarrow \beta$ in F .
 - Attribute A is **extraneous** in α if $A \in \alpha$ and F logically implies $(F - \{\alpha \rightarrow \beta\}) \cup \{(\alpha - A) \rightarrow \beta\}$.
 - Attribute A is **extraneous** in β if $A \in \beta$ and the set of functional dependencies $(F - \{\alpha \rightarrow \beta\}) \cup \{\alpha \rightarrow (\beta - A)\}$ logically implies F .
- *Note:* implication in the opposite direction is trivial in each of the cases above, since a “stronger” functional dependency always implies a weaker one
 - E.g. : $(F - \{\alpha \rightarrow \beta\}) \cup \{(\alpha - A) \rightarrow \beta\}$ always implies F



Testing if an Attribute is Extraneous

- Consider a set F of functional dependencies and the functional dependency $\alpha \rightarrow \beta$ in F .
- To test if attribute $A \in \alpha$ is extraneous in α
 1. compute $(\{\alpha\} - A)^+$ using the dependencies in F
 2. check that $(\{\alpha\} - A)^+$ contains β ; if it does, A is extraneous in α
- Example: Given $F = \{A \rightarrow C, AB \rightarrow C\}$
 - B is extraneous in $AB \rightarrow C$ because $\{A \rightarrow C, AB \rightarrow C\}$ logically implies $A \rightarrow C$ (i.e. the result of dropping B from $AB \rightarrow C$).



Testing if an Attribute is Extraneous

- Consider a set F of functional dependencies and the functional dependency $\alpha \rightarrow \beta$ in F .
- To test if attribute $A \in \beta$ is extraneous in β
 1. compute α^+ using only the dependencies in $F' = (F - \{\alpha \rightarrow \beta\}) \cup \{\alpha \rightarrow (\beta - A)\}$,
 2. check that α^+ contains A ; if it does, A is extraneous in β
- Example: Given $F = \{A \rightarrow C, AB \rightarrow CD\}$
 - C is extraneous in $AB \rightarrow CD$ since $AB \rightarrow C$ can be inferred even after deleting C from CD