



Chapter 11: Indexing

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Database System Concepts, 7th Ed.

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Basic Concepts

- Indexing mechanisms are used to speed up access to desired data.
 - E.g. index at the back of a book
 - Query: “Find all instructors in the Physics department”
 - ▶ Instead of reading every tuple to check if *dept_name* is Physics, the system must be able to locate the record directly
 - Create additional structures that we associate with files
- **Search Key** - attribute or set of attributes used to look up records in a file [Different from primary/candidate/super key]
- An **index file** consists of records (called **index entries**) of the form

search-key	pointer
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- Index files are typically much smaller than the original file



Basic types of indices

- Two basic kinds of indices:
 - **Ordered indices:** search keys are stored in sorted order
 - **Hash indices:** search keys are distributed uniformly across “buckets” using a “hash function”.



Index Evaluation Metrics

- Access types are supported efficiently.
 - Finding records with a specified value in the attribute
 - Finding records with an attribute value falling in a specified range of values.
 - Etc.
- Access time
- Insertion time
 - Time to insert data item
 - Time to update index structure
- Deletion time
 - Time to delete data item
 - Time to update index structure
- Space occupied by the index structure



Ordered Indices

- **Ordered index:** index entries are stored based on a sorted ordering of the search key values.
 - E.g., author catalog in library.
 - **Clustering index:** in a sequentially ordered file, the index whose search key specifies the sequential order of the file.
 - ▶ Also called **primary index**
 - ▶ The search key of a clustering index is usually (but not necessarily) the primary key.
 - **Non-clustering index:** an index whose search key specifies an order different from the sequential order of the file. Also called **secondary index**.
- Two types of ordered indices: **dense** and **sparse**.