

## Math 504, 9/28

I will begin with group theory, starting with group actions on sets. Given a group  $G$  and a set  $S$ , an action of  $G$  on  $S$  is a rule that assigns to each pair  $(g, s)$  in  $G \times S$  an element  $t$  of  $S$ , usually denoted  $gs$ , such that  $1s = s$  for all  $s$  in  $S$ , where  $i$  is the identity element of  $G$  and  $h(gs) = (hg)s$  for  $g, h$  in  $G$ ,  $s$  in  $S$ . (More precisely, this defines a **left** action of  $G$  on  $S$ ; had we written  $sg$  for the action of the element  $g$  on the element  $s$ , the natural axiom would have been  $(sg)h = s(gh)$ ; this defines a **right** action). It is not difficult to verify that a left action of  $G$  on  $S$  is equivalent to a homomorphism  $\pi$  from  $G$  to the permutation group  $\text{Perm}(S)$  of all bijections from  $S$  to  $S$ , where we decree that  $\pi(g)(s) = gs$ , where  $gs$  is the action of  $g$  on  $s$ . If  $G$  acts on  $S$  and  $s$  is an element of  $S$ , then the set of  $g$  in  $G$  such that  $gs = s$  is an important subgroup of  $G$ , called the **stabilizer** of  $s$  and denoted  $G^s$ . Likewise, we have an important subset  $Gs$  of  $S$ , defined to be the set of all  $gs$  as  $g$  runs through  $G$  and called the orbit of  $s$ . If  $H = G^s$ , then it is easy to check that any two elements of the same left coset  $gH$  of  $H$  in  $G$  map  $s$  to the same element, and in fact two elements of  $G$  map  $s$  to the same element if and only if they lie in the same left coset of  $H$  in  $G$ . If  $G$  is finite, it follows by Lagrange's Theorem (which I assume you have seen) that the order  $|G|$  of  $G$  equals the product of the orders  $|G^s||Gs|$  of the orders of the orbit and stabilizer of any  $s$  in  $S$ : this important formula, called the Orbit Formula, will be used constantly in this course (and beyond). If the set  $S$  has only one  $G$ -orbit, then we call the  $G$ -action on it *transitive*; in this case we can of course replace the orbit  $Gs$  in the Orbit Formula by the entire set  $S$ . In general, no two orbits of  $G$  in  $S$  can overlap, so another useful formula, if  $S$  is finite, is that its order equals the sum of the orders of the orbits in it.

An important but all too rarely seen example occurs when  $G$  is a finite subgroup of  $SO_3$ ; that is, a finite group of  $3 \times 3$  real matrices  $M$  of determinant 1 such that the transpose  $M^t$  of  $M$  equals its inverse  $M^{-1}$ , or equivalently such that  $M$  preserves dot products in  $R^3$ :  $Mv \cdot Mw = v \cdot w$  for all vectors  $v, w$  in  $R^3$ . Any such matrix  $M$  is such that  $\det(M - I) = \det(M^t - I^t) = \det(M^{-1} - I) = \det(M^{-1} - I)(\det M) = \det(I - M) = -\det(M - I) = 0$ , whence  $M$  has an eigenvalue 1 and must fix some nonzero vector  $v$  in  $R^3$ . But then  $M$  preserves the plane in  $R^3$  perpendicular to  $v$ , whence it acts by a rotation in this plane. It follows that  $M$  is either the identity or fixes exactly two unit vectors in  $R^3$  (each the negative of the other), acting by a rotation about the line through these vectors, which also goes through the origin. Thus any  $g$  in  $G$  with  $g \neq 1$  has exactly two poles. If  $N$  is the order of  $G$ , there are  $2(N - 1)$  poles in  $R^3$  of nonidentity elements of  $G$ , counting each as often as it appears as the pole of a nonidentity element. On the other hand, if  $p$  is a pole of some element of  $g$  and  $h$  is any other element of  $G$ , then  $hp$  is a pole of  $hgh^{-1}$ , so  $G$  acts on the set  $P$  of poles of its nonidentity elements. Each pole  $p_i$  in  $P$  will have a stabilizer  $G^{p_i}$  consisting of finitely many rotations in  $G$ ; if there are  $r_i$  such rotations, then the number  $n_i$  of elements in the orbit of  $p$  satisfies  $r_i n_i = N$ . Counting the number of poles again, this time for each pole counting the number of nonidentity elements of  $G$  fixing it and observing that this number is the same

for any pole in the orbit of  $p$ , we get  $\sum n_i(r_i - 1)$ , where there is one index  $i$  for every orbit of poles in  $G$ . Hence

$$2(N - 1) = \sum_i n_i(r_i - 1)$$

where the number of terms in the sum equals the number of orbits. Dividing both sides by  $N$ , we get

$$2(1 - 1/N) = \sum_i (1 - (1/r_i))$$

using again that  $n_i r_i = N$ , by the Orbit Formula. But now the left side is less than 2, while every term in the right side is at least  $1/2$ , so there are at most 3 orbits. More precisely, the left side is at least 1 and each term on the right side is less than 1, so there cannot be just one orbit. If there are two orbits, then we must have  $r_1 = r_2 = N$  (since  $r_1$  and  $r_2$  are less than  $N$ ). In this case there are exactly two poles, each lying in an orbit by itself. The group  $G$  must be a cyclic group, consisting of rotations by multiples of  $2\pi/N$  about a fixed axis. If there are three orbits, then  $(1/r_1) + (1/r_2) + (1/r_3) > 1$ . We will work out the consequences of this elementary but very famous inequality and (sketch) a classification of the groups  $G$  arising in this way next time. A reference for this material is Artin's book *Algebra*, on reserve (and in the stacks) of the Math Library.