

## Math 505, 2/24

Continuing, we recall that  $A$  is a Noetherian local ring with maximal ideal  $M$  and residue field  $K = A/M$  and  $N$  is a finitely generated  $A$ -module. We saw last time that the lengths of the respective quotients  $A/M^n, N/M^n N$  as  $A$ -modules are polynomials  $p_A, p_N$  of the respective degrees  $d(A), d(N)$  for sufficiently large  $n$  and that these degrees are unchanged if the power  $M^n$  is replaced by the ideal  $Q_n$ , where  $Q$  lies between some power  $M^k$  of  $M$  and  $M$  itself and the iseqence  $(Q_n)$  is a stable  $Q$ -filtration. We also saw that  $d(A)$  is bounded above by  $s$  whenever  $M$  (or  $Q$ ) is generated by  $s$  elements. Now let  $N'$  be a submodule of  $N$  and define a filtration  $(N'_n)$  of  $N'$  via  $N'_n = N' \cap N_n$ , then  $(N'_n)$  is a  $Q$ -filtration admitting the increasing chain of submodules  $(N''_n) = (N'_0 + \dots + N'_n + QN_n + Q_n^N + \dots)$ , which must terminate, forcing the induced filtration  $(N'_n)$  to be stable. Now let  $x \in A, x$  not a zero-divisor in  $N$ . Then  $N' = xN \cong N$ ; setting  $\bar{N} = N/xN$  and  $N'_n = N' \cap Q^n N$  as above, we have an exact sequence  $0 \rightarrow N'/N'_n \rightarrow N/Q^n N \rightarrow \bar{N}/Q^n \bar{N} \rightarrow 0$ , whence  $p'_N(n) - p_N(n) + p_{\bar{N}}(n) = 0$  for all sufficiently large  $n$ . Since  $p'_N, p_N$  have the same degree and leading term, we see that  $p_{\bar{N}}$  has degree at most  $d(N) - 1$ . In particular,  $d(A/(x)) \leq d(A) - 1$  if  $A$  is Noetherian local and  $x$  is not a zero-divisor in  $A$ . Now we can show that  $\dim A \leq d(A)$  for any Noetherian local ring  $A$ . To prove this we need a very useful result called *Nakayama's Lemma*: if  $N$  is a finitely generated module over a local ring  $A$  with  $N = MN, M$  the maximal ideal of  $A$ , then  $N = 0$ . Indeed, note first that any  $x \in A$  of the form  $1 + m$  for some  $m \in M$  is a unit, since any non-unit lies in a maximal ideal, but no such  $x$  can lie in  $M$ . If  $N$  is finitely generated but  $N \neq 0$ , let  $n_1, \dots, n_r$  be a minimal set of generators; then we must have  $n_1 = m_1 n_1 + \dots + m_r n_r$  for some  $m_i \in M$ , whence we can divide by  $1 - m_1$  and solve for  $m_1$  in terms of the remaining  $m_i$ , contradicting minimality of  $m_1, \dots, m_r$  as a generating set for  $N$ . Now we prove  $\dim A \leq d(A)$  by induction on  $d(A)$ . If  $d(A) = 0$ , then the length of  $A/M^n$  is a constant for large enough  $n$ , forcing  $M^N = M^{N+1}$  for large  $N$ ; since  $M$  is finitely generated, Nakayama says that  $M^N = 0$ ; but then any prime ideal, containing 0, must contain  $M$  and  $M$  is the only prime ideal, implying that  $\dim A = 0$ . If  $d = d(A) > 0$  and  $P_1 \subset \dots \subset P_r$  is a strictly ascending chain of prime ideals in  $A$ , then choose  $x \in P_1, x \notin P_0$ , and let  $x'$  be the image of  $x$  in  $A' = A/P_0$ . Then  $d(A'/(x')) \leq d(A') - 1$ ; but the unique maximal ideal  $M'$  of  $A'$  (the image of  $M$ ) is such that  $A'/(M')^n$  is a homomorphic image of  $A/M^n$  for all  $n$ , so that  $d(A') \leq d(A)$  and  $d(A'/(x')) \leq d(A) - 1$ . Now the images of  $P_1, \dots, P_r$  form a strict chain of prime ideals in  $A'$ , whence  $r \leq d(A)$  and  $\dim A \leq d(A)$ , as desired. In particular,  $\dim A$  is finite for any Noetherian local ring  $A$ . Next, given any Noetherian local ring of dimension  $d$ , we saw last time how to construct  $x_1, \dots, x_d \in A$  such that the only prime ideal of  $A$  containing the  $x_i$  is  $M$  (the unique prime ideal of height  $d$ ), so  $\dim A \geq \delta(A)$ , where  $\delta(A)$  is the minimum number of generators of any primary ideal with radical  $M$ . Since the radical  $r(I)$  of any ideal  $I$  in  $A$  is finitely generated, say by  $x_1, \dots, x_m$  with  $x_i^{n_i} \in I$ , we see that any product of  $n_1 + \dots + n_k$  combinations of the  $x_i$  lies in  $I$ , so  $I$  contains a power of its radical. Hence the ideal  $(x_1, \dots, x_d)$  constructed

above (where  $d$  is the height of  $M$ ), contains a power  $M^k$  of  $M$  and may be chosen as  $Q$  in the recipes above for computing  $d(A)$ . We finally arrive at our central result: for any Noetherian local ring  $A$ , we have  $d(A) = \dim A = \delta(A)$  and all three quantities are finite. In particular, if  $S = K[x_1, \dots, x_n]$  with  $K$  a field, then  $\dim S = n$ , since  $d(S) = n$  (the Hilbert series of  $S$  as a graded  $S$ -module is  $(1 - t)^{-n}$ ). If  $A = S/P$  with  $P$  a prime ideal and if  $x \in A, x \neq 0$ , then  $x$  is not a zero-divisor in  $A$ , whence any minimal prime ideal over  $(x)$  has height 1. If  $K$  is algebraically closed, then this says that the intersection of an irreducible affine variety  $V$  of dimension  $d$  and a hypersurface  $H$  not containing it has all irreducible components of dimension  $d - 1$ . In fact, given any irreducible varieties  $V, W$  in  $K^n$  with  $V \subset W$  and  $\dim V \leq \dim W - 2$ , there is an irreducible variety  $V'$  strictly between  $V$  and  $W$  and having dimension one less than that of  $W$ : given the prime ideals  $P_V, P_W$  of  $V, W$ , respectively, then  $S/P_W$  has no zero divisors, so choose a nonzero  $x$  lying in the image of  $P_V$  in this quotient and look at a minimal prime ideal over  $(x)$  contained in this image. Hence any two saturated chains of prime ideals in  $S$ , or of irreducible varieties in  $K^n$ , have the same length  $n$ , as claimed previously; we also see for any irreducible variety  $V$  that the dimension of the localization  $K[V]_v$  of the coordinate ring  $K[V]$  at any point  $v \in V$  agrees with that of  $V$ . Given any irreducible projective variety  $V \subset P^n$ , defined by the homogeneous ideal  $I \in S$ , its affine cone  $C(V) \subset K^{n+1}$  (defined by the same ideal) has dimension one larger, since we can compute the dimension of  $V$  as the maximum length of any strict chain  $I \subset \dots \subset I_d$  of homogeneous prime ideals in  $S$  whose last term  $I_d$  is necessarily properly contained in the irrelevant ideal  $S' = (x_1, \dots, x_n)$ , and then construct a corresponding chain to compute  $\dim C(V)$  by adding  $S'$  to the first chain.