# Representation Theory

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## 0 Introduction

Representaiton theory is the theory of how groups act as groups of linear transformations on  $vector\ spaces$ .

Here the groups are either *finite*, or *compact topological groups* (infinite), for example, SU(n) and O(n). The vector spaces we conside are finite dimensional, and usually over  $\mathbb{C}$ . Actions are *linear* (see below).

Some books: James-Liebeck (CUP); Alperin-Bell (Springer); Charles Thomas, Representations of finite and Lie groups; Online notes: SM, Teleman; P.Webb A course in finite group representation theory (CUP); Charlie Curtis, Pioneers of representation theory (history).

## 1 Group actions

Throughout this course, if not specified otherwise:

- F is a field, usually  $\mathbb{C}$ ,  $\mathbb{R}$  or  $\mathbb{Q}$ . When the field is one of these, we are discussing ordinary representation theory. Sometimes  $F = F_p$  or  $\overline{F}_p$  (algebraic closure, see Galois Theory), in which case the theory is called modular representation theory;
- V is a vector space over F, always finite dimensional;  $GL(V) = \{\theta : V \to V, \theta \text{ linear, invertible}\}$ , i.e.  $\det \theta \neq 0$ .

Recall from Linear Algebra:

If  $\dim_F V = n < \infty$ , choose basis  $e_1, ..., e_n$  over F, so we can identify it with  $F^n$ . Then  $\theta \in GL(V)$  corresponds to an  $n \times n$  matrix  $A_{\theta} = (a_{ij})$ , where  $\theta(e_j) = \sum_i a_{ij} e_i$ . In fact, we have  $A_{\theta} \in GL_n(F)$ , the general linear group.

- (1.1)  $GL(V) \cong GL_n(F)$  as groups by  $\theta \to A_\theta$  ( $A_{\theta_1\theta_2} = A_{\theta_1}A_{\theta_2}$  and bijection). Choosing different basis gives different isomorphism to  $GL_n(F)$ , but:
- (1.2) Matrices  $A_1, A_2$  represent the same element of GL(V) w.r.t different bases iff they are conjugate (similar), i.e.  $\exists X \in GL_n(F)$  s.t.  $A_2 = XA_1X^{-1}$ .

Recall that  $tr(A) = \sum_{i} a_{ii}$  where  $A = (a_{ij})$ , the trace of A.

- (1.3)  $\operatorname{tr}(XAX^{-1}) = \operatorname{tr}(A)$ , hence we can define  $\operatorname{tr}(\theta) = \operatorname{tr}(A_{\theta_1})$  independent of basis.
- (1.4) Let  $\alpha \in GL(V)$  where V in f.d. over  $\mathbb{C}$ , with  $\alpha^m = \iota$  for some m (here  $\iota$  is the identity map). Then  $\alpha$  is diagonalisable.

Recall EndV is the set of all ilnear maps  $V \to V$ , e.g.  $End(F^n) = M_n(F)$  some  $n \times n$  matrices.

- (1.5) Proposition. Take V f.d. over  $\mathbb{C}$ ,  $\alpha \in End(V)$ . Then  $\alpha$  is diagonalisable iff there exists a polynomial f with distinct linear factors with  $f(\alpha) = 0$ . For example, in (1.4), where  $\alpha^m = \iota$ , we take  $f = X^m 1 = \prod_{j=0}^{m-1} (X \omega^j)$  where  $\omega = e^{2\pi i/m}$  is the  $(m^{th})$  root of unity. In fact we have:
- $(1.4)^*$  A finite family of commuting separately diagonalisable automorphisms of a  $\mathbb{C}$ -vector space can be simultaneously diagonalised (useful in abelian groups).

Recall from Group Theory:

- (1.6) The symmetric group,  $S_n = Sym(X)$  on the set  $X = \{1, ..., n\}$  is the set of all permutations of X.  $|S_n| = n!$ . The alternating group  $A_n$  on X is the set of products of an even number of transpositions (2-cycles).  $|A_n| = \frac{n!}{2}$ .
- (1.7) Cyclic groups of order m:  $C_m = \langle x : x^m = 1 \rangle$ . For example,  $(\mathbb{Z}/m\mathbb{Z}, +)$ ; also, the group of  $m^{th}$  roots of unity in  $\mathbb{C}$  (inside  $GL_1(\mathbb{C}) = \mathbb{C}^*$ , the multiplicative group of  $\mathbb{C}$ ). We also have the group of rotations, centre O of regular m-gon in  $\mathbb{R}^2$  (inside  $GL_2(\mathbb{R})$ ).
- (1.8) Dihedral groups  $D_{2m}$  of order  $2m = \langle x, y : x^m = y^2 = 1, yxy^{-1} = x^{-1} \rangle$ . Think of this as the set of rotations and reflections preserving a regular m-gon.

- (1.9) Quaternion group,  $Q_8 = \langle x, y | x^4 = 1, y^2 = x^2, yxy^{-1} = x^{-1} \rangle$  of order 8. For example, in  $GL_2(\mathbb{C})$ , put  $i = \binom{i \ 0}{0 \ i}, j = \binom{0 \ 1}{-1 \ 0}, k = \binom{0 \ i}{i \ 0}$ , then  $Q_8 = \{\pm I_2, \pm i, \pm j, \pm k\}$ .
- (1.10) The conjugacy class (ccls) of  $g \in G$  is  $C_G(g) = \{xgx^{-1} : x \in G\}$ . Then  $|C_G(g)| = |G : C_G(g)|$ , where  $C_G(g) = \{x \in G : xg = gx\}$ , the centraliser of  $g \in G$ .
- (1.11) Let G be a group, X be a set. G acts on X if there exists a map  $\cdot: G \times X \to X$  by  $(g,x) \to g \cdot x$  for  $g \in G$ ,  $x \in X$ , s.t.  $1 \cdot x = x$  for all  $x \in X$ ,  $(gh) \cdot x = g \cdot (h \cdot x)$  for all  $g, h \in G, x \in X$ .
- (1.12) Given an action of G on X, we obtain a homomorphism  $\theta: G \to Sym(X)$ , called the *permutation representation* of G.

*Proof.* For  $g \in G$ , the function  $\theta_g : X \to X$  by  $x \to gx$  is a permutation on X, with inverse  $\theta_{g^{-1}}$ . Moreover,  $\forall g_1, g_2 \in G$ ,  $\theta_{g_1g_2} = \theta_{g_1}\theta_{g_2}$  since  $(g_1g_2)x = g_1(g_2x)$  for  $x \in X$ .

#### 2 Basic Definitions

#### 2.1 Representations

Let G be finite, F be a field, usually  $\mathbb{C}$ .

#### **Definition.** (2.1)

Let V be a f.d. vector space over F. A (linear, in some books) representation of G on V is a group homomorphism

$$\rho = \rho_V : G \to GL(V)$$

Write  $\rho_g$  for the image  $\rho_V(g)$ ; so for each  $g \in G$ ,  $\rho_g \in GL(V)$ , and  $\rho_{g_1g_2} = \rho_{g_1}\rho_{g_2}$ , and  $(\rho_g)^{-1} = \rho_{g^{-1}}$ .

The dimension (or degree) of  $\rho$  is dim<sub>F</sub> V.

(2.2) Recall  $\ker \rho \triangleleft G$  (kernel is a normal subgroup), and  $G/\ker \rho \cong \rho(G) \leq GL(V)$  (1st isomorphism theorem). We say  $\rho$  is faithful if  $\ker \rho = 1$ .

An alternative (and equivalent) approach is to observe that a representation of G on V is "the same as" a linear action of G:

#### **Definition.** (2.3)

G acts linearly on V if there exists a linear action

$$G \times V \to V$$
$$(g, v) \to gv$$

By linear action we mean: (action)  $(g_1g_2)v = g_1(g_2v)$ ,  $1v = v \ \forall g_1, g_2 \in G, v \in V$ , and (linear)  $g(v_1 + v_2) = gv_1 + gv_2$ ,  $g(\lambda v) = \lambda gv \ \forall g \in G, v_1, v_2 \in V, \lambda \in F$ . Now if G acts linearly on V, the map

$$G \to GL(V)$$
  
 $g \to \rho_g$ 

with  $\rho_g: v \to gv$  is a representation of G. Conversely, given a representation  $\rho: G \to GL(V)$ , we have a linear action of G on V via  $g \cdot v := \rho(g)v \ \forall v \in V, g \in G$ .

- (2.4) In (2.3) we also say that V is a G-space or that V is a G-module. In fact if we define the *group algebra* FG, or F[G], to be  $\{\sum \alpha_j g : \alpha_j \in F\}$  with natural addition and multiplication, then V is actually a FG-module (in the sense from GRM).
- (2.5) R is a matrix representation of G of degree n if R is a homomorphism  $G \to GL_n(F)$ . Given representation  $\rho: G \to GL(V)$  with  $\dim_F V = n$ , fix basis B; we get matrix representation

$$G \to GL_n(F)$$
  
 $g \to [\rho(g)]_B$ 

Conversely, given matrix representation  $R: G \to GL_n(F)$ , we get representation

$$\rho: G \to GL(F^n)$$
$$g \to \rho_q$$

via  $\rho_g(v) = R_g v$  where  $R_g$  is the matrix of g.

#### Example. (2.6)

Given any group G, take V = F the 1-dimensional space, and

$$\rho: G \to GL(F)$$
$$g \to (id: F \to F)$$

is known as the trivial representation of G. So deg  $\rho = 1$  (dim<sub>F</sub> F = 1).

#### Example. (2.7)

Let  $G = C_4 = \langle x : x^4 = 1 \rangle$ . Let n = 2, and  $F = \mathbb{C}$ . Note that any  $R : x \to X$  will determine  $x^j \to X^j$  as it is a homomorphism, and also we need  $X^4 = I$ . So we can take X to be diagonal matrix – any such with diagonal entries a root to  $x^4 = 1$ , i.e.  $\{\pm 1, \pm i\}$ , or if X is not diagonal then it will be similar to a diagonal matrix by (1.4)  $(X^4 = I)$ .

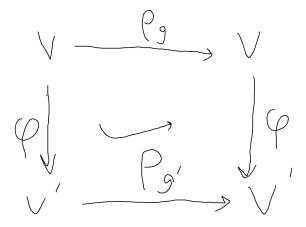
### 2.2 Equivalent representations

#### **Definition.** (2.8)

Fix G, F. Let V, V' be F-spaces, and  $\rho: G \to GL(V), \rho': G \to GL(V')$  which are representations of G. The linear map  $\phi: V \to V'$  is a G-homomorphism if

$$\phi \rho(g) = \rho'(g)\phi \forall g \in G(*)$$

We can understand this more by the following diagram:



We say  $\phi$  intertwines  $\rho$ ,  $\rho'$ . Write  $Hom_G(V, V')$  for the F-space of all these.  $\phi$  is a G-isomorphism if it is also bijective; if such  $\phi$  exists,  $\rho$ ,  $\rho'$  are isomorphic/equivalent representations. If  $\phi$  is a G-isomorphism, we can write (\*) as  $\rho' = \phi \rho \phi^{-1}$ .

#### Lemma. (2.9)

The relation "being isomorphic" is an equivalent relation on the set of all representations of G (over F).

#### **Remark.** (2.10)

If  $\rho, \rho'$  are isomorphic representations, they have the same dimension.

The converse may be false:  $C_4$  has four non-isomorphic 1-dimensional representations: if  $\omega = e^{2\pi i/4}$  then they are  $\rho_j(x^i) = \omega^{ij}$   $(0 \le i \le 3)$ .

#### Remark. (2.11)

Given G, V over F of dimension n and  $\rho: G \to GL(V)$ . Fix basis B for V: we get a linear isomorphism

$$\phi: V \to F^n$$
$$v \to [v]_B$$

and we get a representation  $\rho': G \to GL(F^n)$  isomorphic to  $\rho$ :



(2.12) In terms of matrix representations, we have

$$R: G \to GL_n(F),$$
  
 $R': G \to GL_n(F)$ 

are (G)-isomorphic or equivalent if there exists a nonsingular matrix  $X \in GL_n(F)$  with  $R'(g) = XR(g)X^{-1} \ \forall g \in G$ .

In terms of linear G-actions, the actions of G on V,V' are G-isomorphic if there exists isomorphisms  $\phi:V\to V'$  such that  $g:\phi(v)=\phi(gv)\ \forall v\in V,g\in G.$ 

#### 2.3 Subrepresentations

#### **Definition.** (2.13)

Let  $\rho: G \to GL(V)$  be a representation of G. We say  $W \le V$  is a G-subspace if it's a subspace and it is  $\rho(G)$ -invariant, i.e.  $\rho_g(W) \le W \forall g \in G$ . Obviously  $\{0\}$  and V are G-subspaces, however.

 $\rho$  is *irreducible/simple* representation if there are no proper G-subspaces.

#### **Example.** (2.14)

Any 1-dimensional representation of G is irreducible, but not conversely, e.g.  $D_8$  has 2-dimensional  $\mathbb{C}$ -irreducible representation.

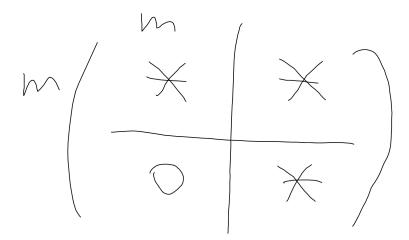
(2.15) In definition (2.13), if W is a G-subspace, then the corresponding map

$$G \to GL(W)$$
  
 $g \to \rho(g)|_W$ 

is a representation of G, a subrepresentation of  $\rho$ .

#### **Lemma.** (2.16)

In definition (2.13), given  $\rho: G \to GL(V)$ , if W is a G-subspace of V and if  $B = \{v_1, ..., v_n\}$  is a basis containing basis  $B_1 = \{v_1, ..., v_m\}$  of W (0 < m < n) then the matrix of  $\rho(g)$  w.r.t. B has block upper triangular form as the graph below, for



each  $g \in G$ .

#### **Example.** (2.17)

(i) The irreducible representations of  $C_4 = \langle x : x^4 = 1 \rangle$  are all 1-dimensional and four of these are  $x \to i, x \to -1, x \to -i, x \to 1$ . In general,  $C_m = \langle x : x^m = 1 \rangle$  has precisely m irreducible complex representations, all of dimension 1. In fact, all complex irreducible representations of a finite abelian group are 1-dimensional (use  $(1.4)^*$  or see (4.4) below).

(ii)  $G = D_6$ : any irreducible C-representation has dimension  $\leq 2$ .

Let  $\rho: G \to GL(V)$  be irreducible G-representation. Let r, s be rotation and reflection in  $D_6$  respectively. Let V be eigenvector of  $\rho(r)$ . So  $\rho(r)v = \lambda v$ 

for some  $\lambda \neq 0$ . Let  $W = span\{v, \rho(s)v\} \leq V$ . Since  $\rho(s)\rho(s)v = v$  and  $\rho(r)\rho(s)v = \rho(s)\rho(r)^{-1}v = \lambda^{-1}\rho(s)v$ , both of which are in W; so W is G-invariant, i.e. a G-subspace. Since V is irreducible, W = V.

#### **Definition.** (2.18)

We say at  $\rho: G \to GL(V)$  is decomposable if there are proper G-invariant subspaces U, W with  $V = U \oplus W$ . Say  $\rho$  is direct sum  $\rho_U \oplus \rho_W$ . If no such decomposition exists, we say that  $\rho$  is indecomposable.

#### **Lemma.** (2.19)

Suppose  $\rho: G \to GL(V)$  is decomposable with G-invariant decomposition  $V = U \oplus W$ . If B is a basis  $\{\underbrace{u_1,...,u_k}_{B_1},\underbrace{w_1,...,w_l}_{B_2}\}$  of V consisting of basis of U

and basis of W, then w.r.t. B,  $\rho(g)_B$  is a block diagonal matrix  $\forall g \in G$  as

$$\rho(g)_B = \begin{pmatrix} [\rho_W(g)]_{B_1} & 0\\ 0 & [\rho_W(g)]_{B_2} \end{pmatrix}$$

#### **Definition.** (2.20)

If  $\rho: G \to GL(V)$ ,  $\rho': G \to GL(V')$ , the direct sum of  $\rho, \rho'$  is

$$\rho \oplus \rho' : G \to GL(V \oplus V')$$

where  $\rho \oplus \rho'(g)(v_1 + v_2) = \rho(g)v_1 + \rho'(g)v_2$ , a block diagonal action. For matrix representations  $R: G \to GL_n(F)$ ,  $R': G \to GL_{n'}(F)$ , define  $R \oplus R': G \to GL_{n+n'}(F)$ :

$$g \to \begin{pmatrix} R(g) & 0 \\ 0 & R'(g) \end{pmatrix}$$

## 3 Complete reducibility and Maschke's theorem

#### **Definition.** (3.1)

A representation  $\rho: G \to GL(V)$  is completely reducible, or semisimple, if it is a direct sum of irreducible representations. Evidently, irreducible implies completely reducible (lol).

#### Remark. (3.2)

- (1) The converse is false;
- (2) See sheet 1 Q3:  $\mathbb{C}$ -representation of  $\mathbb{Z}$  is not completely reducible and also representation of  $C_p$  over  $\mathbb{F}_p$  is not c.r..

From now on, take G finite and char F = 0.

#### Theorem. (3.3)

Every f.d. representation V of a finite group over a field of char 0 is completely reducible, i.e.

$$V \cong V_1 \oplus ... \oplus V_r$$

is a direct sum of representations, each  $V_i$  irreducible.

It is enough to prove:

#### **Theorem.** (3.4 Maschke's theorem, 1899)

Let G be finite,  $\rho: G \to GL(V)$  a f.d. representation,  $char\ F = 0$ . If W is a G-subspace of V, then there exists a G-subspace U of V s.t.  $V = W \oplus U$ , a direct sum of G-subspaces.

#### Proof. (1)

Let W' be any vector subspace complement of W in V, i.e.  $V = W \oplus W'$  as vector spaces, and  $W \cap W' = 0$ . Let  $q: V \to W$  be the projection of V onto W along W' (ker q = W'), i.e. if v = w + w' then q(v) = w. Define

$$\bar{q}: v \to \frac{1}{|G|} \sum_{g \in G} gq(g^{-1}v)$$

the 'average' of q over G. Note that in order for  $\frac{1}{|G|}$  to exists, we need  $char\ F=0$ . It still works if  $char\ F\nmid |G|$ .

Claim (1):  $\bar{q}: V \to W$ : For  $v \in V$ ,  $g(q^{-1}v) \in W$  and  $gW \le W$ ;

Claim (2):  $\bar{q}(w) = w$  for  $w \in W$ :

$$\bar{q}(w) = \frac{1}{|G|} \sum_{g \in G} gq(g^{-1}w) = \frac{1}{|G|} \sum g(g^{-1}w) = \frac{1}{|G|} \sum w = w$$

So these two claims imply that  $\bar{q}$  projects V onto W.

Claim (3) If  $h \in G$  then  $h\bar{q}(v) = \bar{q}(hv)$   $(v \in V)$ :

$$h\bar{q}(v) = h\frac{1}{|G|} \sum_{g} g \cdot q(g^{-1}v)$$

$$= \frac{1}{|G|} \sum_{g} hgq(g^{-1}v)$$

$$= \frac{1}{|G|} \sum_{g} (hg)q((hg)^{-1}hv)$$

$$= \frac{1}{|G|} \sum_{g} gq(g^{-1}(hv))$$

$$= \bar{q}(hv)$$

$$= \bar{q}(hv)$$

We'll then show that the kernel of this map is G-invariant, so this gives a G-summand on Thursday.

Let's now show  $\ker \bar{q}$  is G-invariant. If  $v \in \ker \bar{q}$ , then  $h\bar{q}(v) = 0 = \bar{q}(hv)$ , so  $hv \in \ker \bar{q}$ . Thus  $V = im\bar{q} \oplus \ker \bar{q} = W \oplus \ker \bar{q}$  is a G-subspace decomposition.

We can deduce (3.3) from (3.4) by induction on  $\dim V$ . If  $\dim V = 0$  or V is irreducible, then result is clear. Otherwise, V has non-trivial G-invariant subspace, W. Then by (3.4), there exists G-invariant complement U s.t.  $V = U \oplus W$  as representations of G. But  $\dim U$ ,  $\dim W < \dim V$ . So by induction they can be broken up into direct sum of irreducible subrepresentations.

The second proof uses inner products, hence we need to take  $F=\mathbb{C}$  and can be generalised to compact groups in section 15.

Recall, for V a  $\mathbb{C}$ -space,  $\langle , \rangle$  is a Hermitian inner product if

- (a)  $\langle w, v \rangle = \overline{\langle v, w \rangle} \ \forall v, w \ (Hermitian);$
- (b) linear in RHS (sesquilinear);
- (c)  $\langle v, v \rangle > 0$  iff  $v \neq 0$  (positive definite).

Additionally,  $\langle , \rangle$  is *G-invariant* if

(d) 
$$\langle gv, gw \rangle = \langle v, w \rangle \ \forall v, w \in V, g \in G.$$

Note if W is G-invariant subspace of V, with G-invariant inner product, then  $W^{\perp}$  is also G-invariant, and  $V \oplus W^{\perp}$ . For all  $v \in W^{\perp}$ ,  $g \in G$ , we have to show that  $gv \in W^{\perp}$ . But  $v \in W^{\perp} \iff \langle v, w \rangle = 0 \forall w \in W$ . Thus by (d),  $\langle gv, gw \rangle = 0 \ \forall g \in G \forall w \in W$ . Hence  $\langle gv, w' \rangle = 0 \ \forall w' \in W$ . Since we can choose  $w = g^{-1}w' \in W$  by G-invariance of W. Thus  $gv \in W^{\perp}$  since g was arbitrary.

Hence if there is a G-invariant inner product on any G-space, we get another proof of Maschke's theorem:

(3.4\*) (Weyl's unitary trick)

Let  $\rho$  be a complex representation of the finite group G on the  $\mathbb{C}$ -space V. Then there is a G-invariant Hermitian inner product on V.

**Remark.** Recall the unitary group U(V) on V:  $\{f \in GL(V) : (fu, fv) = (u, v) \forall u, v \in V\} = \{A \in GL_n(\mathbb{C}) : A\bar{A}^T = I\} (= U(n))$  by choosing orthonormal

basis.

Sheet 1 Q.12: any finite subgroup of  $GL_n(\mathbb{C})$  is conjugate to a subgroup of U(n).

#### Proof. (2)

There exist an inner product on V: take basis  $e_1, ..., e_n$  and define  $(e_i, e_j) = \delta_{ij}$ , extended sesquilinearly. Now

$$\langle v, w \rangle := \frac{1}{|G|} \sum_{g \in G} (gv, gw)$$

we claim that  $\langle , \rangle$  is sesquilinear, positive definite and G-invariant: if  $h \in G$ , then

$$\langle hv, hw \rangle = \frac{1}{|G|} \sum_{g \in G} ((gh)v, (gh)w)$$

$$= \frac{1}{|G|} \sum_{g' \in G} (g'v, g'w)$$

$$= \langle v, w \rangle$$

for all  $v, w \in V$ .

**Definition.** (3.5, the regular representation)

Recall group algebra of G is F-space  $FG = span\{e_g : g \in G\}$ . There is a linear G-action

$$h\in G, h\sum_{g\in G}a_ge_g=\sum_{g\in G}a_ge_{hg}(=\sum_{g'\in G}a_{h^{-1}g'}e_{g'})$$

 $\rho_{reg}$  is the corresponding representation, the regular representation of G. This is faithful of dim |G|. FG is the regular module.

**Proposition.** Let  $\rho$  be an irreducible representation of G over a field of characteristic 0. Then  $\rho$  is isomorphic to a subrepresentation of  $\rho_{reg}$ .

*Proof.* Take  $\rho: G \in GL(V)$  irreducible and let  $0 \neq v \in V$ . Let  $\theta: FG \to V$  by  $\sum a_g e_g \to \sum a_g gv$ . Check this is a G-homomorphism. Now V is irreducible so  $im\theta = V$  (since  $im\theta$  is a G-subspace).

Also  $\ker \theta$  is G-subspace of FG. Let W be G-complement of  $\ker \theta$  in FG (Mashke), so that W < FG is G-subspace and  $FG = \ker \theta \oplus W$ . Thus  $W \cong FG/\ker \theta \cong (G-isomorphism)im\theta \cong V$ .

More generally,

#### **Definition.** (3.7)

Let F be a field. Let G act on set X. Let  $FX = span\{e_x : x \in X\}$  with G-action

$$g(\sum a_x e_x) = \sum a_x e_{gx}$$

The representation  $G \to GL(V)$  where V = FX is the corresponding permutation representation. See section 7.

## 4 Schur's lemma

It's really unfair that such an important result is only remembered by a lemma, so we shall call it a theorem.

Theorem. (4.1, Schur)

- (a) Assume V,W are irreducible G-spaces over field F. Then any G-homomorphism  $\theta:V\to W$  is either 0 or is an isomorphism.
- (b) Assume F is algebraically closed, and let V be an irreducible G-space. Then any G-endomorphism  $V \to V$  is a scalar multiple of the identity map  $\iota_V$ .