

# Model Theory

October 10, 2018

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## 0 Reviews

### 0.1 Languages and structures

**Definition.** (1.1) A language  $L$  consists of:

- (i) a set  $\mathcal{F}$  of function symbols, and for each  $f \in \mathcal{F}$ , a positive integer  $n_f$ , the arity of  $f$ ;
- (ii) a set  $\mathcal{R}$  of relation symbols, and for each  $R \in \mathcal{R}$ , a positive integer  $n_R$ , the arity of  $R$ ;
- (iii) a set  $\mathcal{C}$  of constant symbols.

Note that each of the above three sets can be empty.

**Example.**  $L = \{\{\cdot, -1\}, \{1\}\}$  where  $\cdot$  is a binary function,  $-1$  is a unary function, and  $1$  is a constant. We call this  $L_{gp}$  (language of groups);  
 $L_{lo} = \{<\}$ , where  $<$  is a binary relation (linear order).

**Definition.** (1.2) Given a language  $L$ , say, an  $L$ -structure consists of:

- (i) a set  $M$ , the *domain*;
- (ii) for each  $f \in \mathcal{F}$ , a function  $f^M : M^{n_f} \rightarrow M$ ;
- (iii) for each  $R \in \mathcal{R}$ , a relation  $R^M \subseteq M^{n_R}$ ;
- (iv) for each  $c \in \mathcal{C}$ , an element  $c^M \in M$ .

$f^M, R^M, c^M$  are called the *interpretation* of  $f, R, c$  respectively.

**Notation.** (1.3)

We often fail to distinguish between the symbols in the language  $L$  and their interpretations in a  $L$ -structure, if the context allows.

We may write  $\mathcal{M} = \langle M, \mathcal{F}, \mathcal{R}, \mathcal{C} \rangle$ .

**Example.** (1.4)

(a)  $\mathcal{R} = \langle \mathbb{R}^+, \{\cdot, -1\}, 1 \rangle$  is an  $L_{gp}$ -structure.

$\mathcal{Z} = \langle \mathbb{Z}, \{+, -\}, 0 \rangle$  is also an  $L_{gp}$ -structure (here  $+$  is a binary and  $-$  is the unary negation function).

$\mathcal{Q} = \langle \mathbb{Q}, < \rangle$  is an  $L_{lo}$  structure ( $<$  is the interpretation of relation).

**Definition.** (1.5)

Let  $L$  be a language, let  $\mathcal{M}$  and  $\mathcal{N}$  be  $L$ -structures.

An *embedding* of  $\mathcal{M}$  into  $\mathcal{N}$  is an injection  $\alpha : M \rightarrow N$  that preserves the structure:

- (i) For all  $f \in \mathcal{F}$ , and  $a_1, \dots, a_{n_f} \in M$ ,

$$\alpha(f^M(a_1, \dots, a_{n_f})) = f^N(\alpha(a_1), \dots, \alpha(a_{n_f}))$$

- (ii) For all  $R \in \mathcal{R}$ , and  $a_1, \dots, a_{n_R} \in M$ ,

$$(a_1, \dots, a_{n_R}) \in R^M \iff (\alpha(a_1), \dots, \alpha(a_{n_R})) \in R^N$$

Note that this is an if and only if. (iii) For all  $c \in \mathcal{C}$ , we need

$$\alpha(c^M) = c^N$$

As anyone could expect, a surjective embedding  $\mathcal{M} \rightarrow \mathcal{N}$  is also called an *isomorphism* of  $\mathcal{M}$  onto  $\mathcal{N}$ .

(1.6) Exercise. Let  $G_1, G_2$  be groups, regarded as  $L_{gp}$ -structures. Check that  $G_1 \cong G_2$  in the usual algebra sense, if and only if there is an isomorphism  $\alpha : G_1 \rightarrow G_2$  in the sense of above definition 1.5.

## 0.2 Terms, formulae, and their interpretations

In addition to the symbols of  $L$ , we also have:

- (i) infinitely many variables,  $\{x_i\}_{i \in I}$ ;
- (ii) logical connectives,  $\wedge, \neg$  (also express  $\vee, \rightarrow, \leftrightarrow$ );
- (iii) quantifier  $\exists$  (also express  $\forall$ );
- (iv) punctuations  $(, )$ .

**Definition.** (2.1)

$L$ -terms are defined recursively as follows:

- any variable  $x_i$  is a term;
- any constant symbol is a term;
- for any  $f \in \mathcal{F}$ ,

$$f(t_1, \dots, t_{n_f})$$

for any terms  $t_1, \dots, t_{n_f}$  is a term;

- nothing else is a term.

Notation: we write  $t(x_1, \dots, x_n)$  to mean that the variables appearing in  $t$  are among  $x_1, \dots, x_n$ .

**Example.** In  $\mathcal{R} = \langle \mathbb{R}, \cdot, -1, 1 \rangle$ ,

- $(\cdot(x_1, x_2), x_3)$  is a term  $(x_1 \cdot x_2) \cdot x_3$ ;
- $(\cdot(1, x_1))^{-1}$  is a term  $(1 \cdot x)^{-1}$ .

**Definition.** (2.2)

If  $\mathcal{M}$  is an  $L$ -structure, to each  $L$ -term  $t(x_1, \dots, x_k)$  we assign a function

$$t^M : M^k \rightarrow M$$

defined as follows:

- (i) If  $t = x_i$ ,  $t^M[a_1, \dots, a_k] = a_i$ ;
- (ii) If  $t = c$  is a constant,  $t^M[a_1, \dots, a_k] = c^M$ ;
- (iii) If  $t = f(t_1(x_1, \dots, x_k), \dots, t_{n_f}(x_1, \dots, x_k))$ ,

$$t^M(a_1, \dots, a_k) = f^M(t_1^M(a_1, \dots, a_k), \dots, t_{n_f}^M(a_1, \dots, a_k))$$

—Lecture 2—

No lecture this friday (12th Oct)! Will have an extra one on Monday 22 Oct at 12 (MR12).

First example class: Monday 29th Oct at 12.

Info on course and notes on [http](http://users.mct.open.ac.uk/sb27627/MT.html) :

[users.mct.open.ac.uk/sb27627/MT.html](http://users.mct.open.ac.uk/sb27627/MT.html) (it seems that it only comes after lecture, and is hand-written, so this notes still continues), or google *Silvia Barbina MCT* and follow link *Part III Model Theory* on lecturer's homepage.

**Remark.** (The lecture forgot about this last time) Any language  $L$  includes an equality symbol  $=$ .

Last time we assigned a function  $t^m$ . In  $L_{gp}$ , the term  $x_2 \cdot x_3$  can be described as, say  $t_1(x_1, x_2, x_3), t_2(x_1, x_2, x_3, x_4), \dots$

Then the term  $x_2 \cdot x_3$  can be assigned to functions  $t_1^M : M^3 \rightarrow M : (a_1, a_2, a_3) \rightarrow (a_2 \cdot a_3)$ , or  $t_2^M : M^4 \rightarrow M : (a_1, a_2, a_3, a_4) \rightarrow (a_2 \cdot a_3)$ . These syntactic things are not really important – we just have to know that there is a corresponding action for each term.

We now define the *complexity* of a term  $t$  to be the number of symbols of  $L$  occurring in  $t$ .

Fact (2.3): Let  $\mathcal{M}$  and  $\mathcal{N}$  be  $L$ -structures, and let  $\alpha : \mathcal{M} \rightarrow \mathcal{N}$  be an embedding. For any  $L$ -term  $t(x_1, \dots, x_k)$  and  $a_1, \dots, a_k \in M$ , we have

$$\alpha(t^M(a_1, \dots, a_k)) = t^N(\alpha(a_1), \dots, \alpha(a_k))$$

*Proof.* Prove by induction on complexity of  $t$ .

Let  $\bar{a} = (a_1, \dots, a_k)$  and  $\bar{x} = (x_1, \dots, x_l)$ . Then:

- (i) if  $t = x_i$  a variable, then  $t^M(\bar{a}) = a_i$ , and  $t^N(\alpha(a_1), \dots, \alpha(a_k)) = \alpha(a_i)$ , so the conclusion holds;
- (ii) if  $t = c$  is a constant, then  $t^M(\bar{a}) = c^M$ , and  $t^N(\alpha(\bar{a})) = c^N$  by definition of a term. The key here is that, since  $\alpha$  is an embedding we have  $\alpha(c^M) = c^N$ ;
- (iii) if  $t = f(t_1(\bar{x}), \dots, t_{n_f}(\bar{x}))$ , then

$$\alpha(f^M(t_1^M(\bar{a}), \dots, t_{n_f}^M(\bar{a}))) = f^N(\alpha(t_1^M(\bar{a})), \dots, \alpha(t_{n_f}^M(\bar{a})))$$

as  $\alpha$  is an embedding. But  $t_1(\bar{x}), \dots, t_{n_f}(\bar{x})$  have lower complexity than  $t$ , so the inductive hypothesis applies.  $\square$

Exercise (2.4): conclude the proof of the above fact.  
(Actually is it not done?)

**Definition.** (2.5)

The set of *atomic formulas* of  $L$  is defined as follows:

- (i) if  $t_1, t_2$  are  $L$ -terms, then  $t_1 = t_2$  is an atomic formula;
- (ii) if  $R$  is a relation symbol, and  $t_1, \dots, t_{n_R}$  are  $L$ -terms, then  $R(t_1, \dots, t_{n_R})$  is an atomic formula;
- (iii) nothing else is an atomic formula.

**Definition.** (2.6)

The set of  $L$ -formulas is defined as follows:

- (i) any atomic formula is an  $L$ -formula;
- (ii) if  $\phi$  is an  $L$ -formula, then so is  $\neg\phi$ ;
- (iii) if  $\phi$  and  $\psi$  are  $L$ -formulas, then so is  $\phi \wedge \psi$ ;
- (iv) if  $\phi$  is an  $L$ -formula, for any  $i \geq 1$ ,  $\exists x_i \phi$  is a formula;
- (v) nothing else is a formula (note that  $\forall$  can be constructed by  $\neg$  and  $\exists$ ).

**Example.** In  $L_{gp}$ ,  $x_1 \cdot x_1 = x_2$ , or  $x_1 \cdot x_2 = 1$  are both atomic formulas;  $\exists x_1(x_1 \cdot x_2) = 1$  is an  $L$ -formula, but (obviously) not atomic.

A variable occurs *freely* in a formula if it does not occur within the scope of a quantifier  $\exists$ . We sometimes also say that the variable is *free* (from Part II Logic and Sets). Otherwise we say the variable is *bound*.

We'll use the convention that no variable occurs both freely and as a bound variable in the same formula.

A *sentence* is a formula with no free variables. For example,  $\exists x_1 \exists x_2 (x_1 \cdot x_2 = 1)$  is an  $L_{gp}$ -sentence.

Notation:  $\phi(x_1, \dots, x_k)$  means that the free variables in  $\phi$  are among  $x_1, \dots, x_k$ .

Now we introduce a long and inductive (and also in logic and sets) definition for which sentences are *true*:

**Definition.** (2.7)

Let  $\phi(x_1, \dots, x_k)$  be an  $L$ -formula, let  $\mathcal{M}$  be an  $L$ -structure, and let  $\bar{a} = a_1, \dots, a_k$  be elements of  $\mathcal{M}$ .

We define  $\mathcal{M} \models \phi(\bar{a})$  (syntactic implication, read as  $\mathcal{M}$  models  $\phi(\bar{a})$ ) as follows:

- (i) if  $\phi$  is  $t_1 = t_2$ , then  $\mathcal{M} \models \phi(\bar{a}) \iff t_1^M(\bar{a}) = t_2^M(\bar{a})$ ;
- (ii) if  $\phi$  is  $R(t_1, \dots, t_{n_R})$ , then  $\mathcal{M} \models \phi(\bar{a})$  iff

$$(t_1^M(\bar{a}), \dots, t_{n_R}^M(\bar{a})) \in R^M$$

- (iii) if  $\phi$  is a conjunction, say  $\psi \wedge \chi$ , then  $\mathcal{M} \models \phi(\bar{a})$  iff  $\mathcal{M} \models \psi(\bar{a})$  and  $\mathcal{M} \models \chi(\bar{a})$ ;
- (iv) if  $\phi$  is  $\exists x_j \chi(x_1, \dots, x_k, x_j)$  (where we'll assume that  $x_j$  is not one of the free variables  $x_1, \dots, x_k$ ), then  $\mathcal{M} \models \phi(\bar{a})$  iff there exists  $b \in \mathcal{M}$  s.t.  $\mathcal{M} \models \chi(a_1, \dots, a_k, b)$ ;
- (v) (lecture forgets this, this should probably be more in front rather than in the end) if  $\phi$  is  $\neg\psi$ , then  $\mathcal{M} \models \phi(\bar{a})$  iff  $\mathcal{M} \not\models \psi(\bar{a})$ .

**Example.** Consider  $\mathcal{R} = \langle \mathbb{R}^*, \cdot, -1, 1 \rangle$ , the multiplicative group of non-negative reals, and suppose we have  $\phi(x_1) = \exists x_2 (x_2 \cdot x_2 = x_1)$ , then  $\mathcal{R} \models \phi(1)$ , but  $\mathcal{R} \not\models \phi(-1)$ .

Notation (2.8) (useful abbreviations, closer to real life. The precise formulas are not that important – the abbreviations mean what we expect in real life):

- $\phi \vee \psi$  for  $\neg(\neg\phi \wedge \neg\psi)$ ;
- $\phi \rightarrow \psi$  for  $\neg\phi \vee \psi$ ;
- $\phi \leftrightarrow \psi$  for  $(\phi \rightarrow \psi) \wedge (\psi \rightarrow \phi)$ ;
- $\forall x_i \phi$  for  $\neg \exists x_i (\neg\phi)$ .

**Proposition.** (2.9)

Let  $\mathcal{M}$  and  $\mathcal{N}$  be  $L$ -structures, and let  $\alpha : \mathcal{M} \rightarrow \mathcal{N}$  be an embedding.

Let  $\phi(\bar{x})$  be an atomic(!) formula, and  $\bar{a} \in M^k$  (from now on, when we write a tuple like  $\bar{a}$ , we will assume that it has the correct length without explicitly stating that), then

$$\mathcal{M} \models \phi(\bar{a}) \iff \mathcal{N} \models \phi(\alpha(\bar{a}))$$

Question: if  $\phi$  is an  $L$ -formula, not necessarily atomic, does (2.9) still hold? (the answer is no!)