

EMAp Summer Course

# Topological Data Analysis with Persistent Homology

<https://raphaeltinarrage.github.io/EMAp.html>

## Lesson 4: Simplicial complexes



I - Combinatorial simplicial complexes

II - Topology

III - Euler characteristic

(VI - Tutorial)

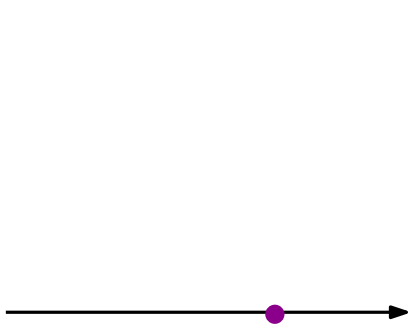
# Standard simplices

4/13 (1/2)

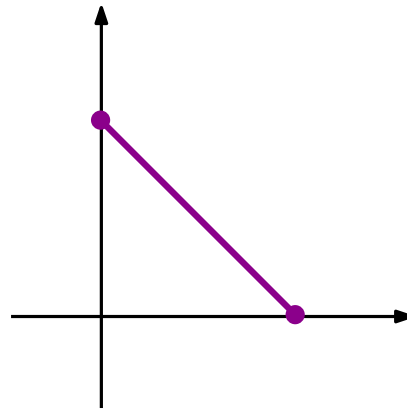
In order to describe topological spaces, we will decompose them into simpler pieces. The pieces we shall consider are the standard simplices.

The *standard simplex of dimension  $n$*  is the following subset of  $\mathbb{R}^{n+1}$

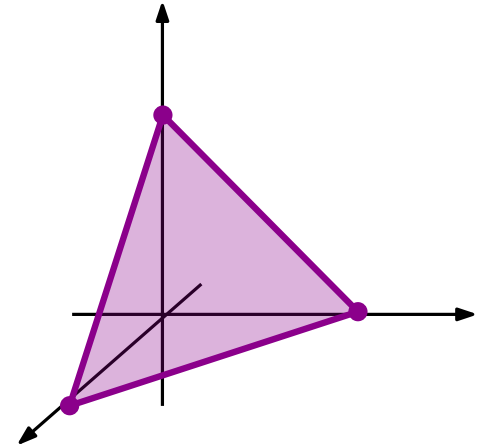
$$\Delta_n = \{x = (x_1, \dots, x_{n+1}) \in \mathbb{R}^{n+1}, x_1, \dots, x_{n+1} \geq 0 \text{ and } x_1 + \dots + x_{n+1} = 1\}$$



$\Delta_0$



$\Delta_1$



$\Delta_2$

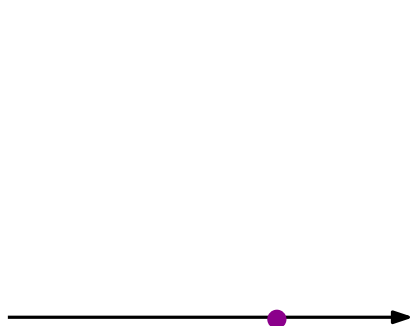
# Standard simplices

4/13 (2/2)

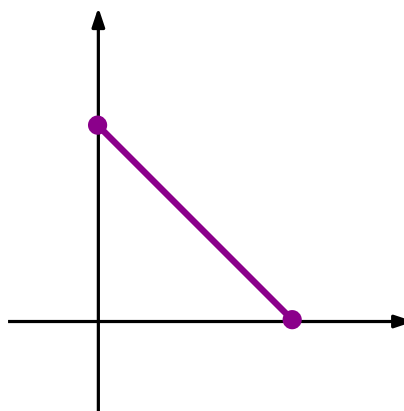
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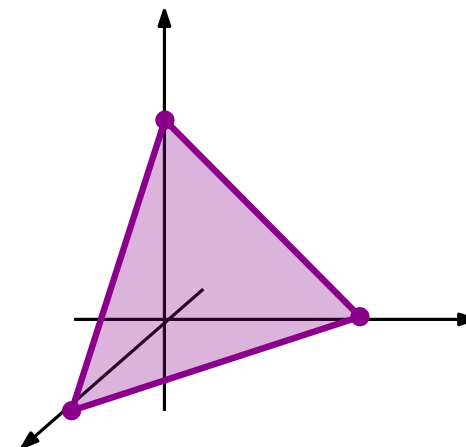
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**Remark:** For any collection of points  $a_1, \dots, a_k \in \mathbb{R}^n$ , their convex hull is defined as:

$$\text{conv}(\{a_1, \dots, a_k\}) = \left\{ \sum_{1 \leq i \leq k} t_i a_i, \quad t_1 + \dots + t_k = 1, \quad t_1, \dots, t_k \geq 0 \right\}.$$

We can say that  $\Delta_n$  is the convex hull of the vectors  $e_1, \dots, e_{n+1}$  of  $\mathbb{R}^{n+1}$ , where

$$e_i = (0, \dots, 1, 0, \dots, 0) \quad (i^{\text{th}} \text{ coordinate } 1, \text{ the other ones } 0).$$

First, a purely combinatorial definition (without geometry):

**Definition:** Let  $V$  be a set (called the set of *vertices*). A *simplicial complex* over  $V$  is a set  $K$  of subsets of  $V$  (called the *simplices*) such that, for every  $\sigma \in K$  and every non-empty  $\tau \subset \sigma$ , we have  $\tau \in K$ .

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If  $\sigma \in K$  is a simplex, its non-empty subsets  $\tau \subset \sigma$  are called *faces* of  $\sigma$ .

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5/13 (4/7)

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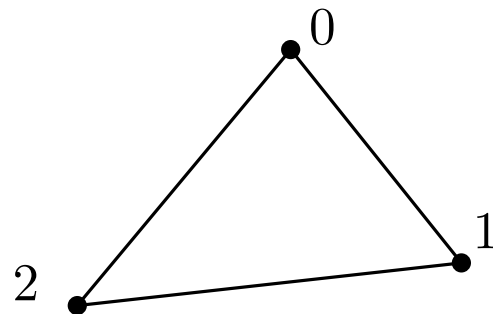
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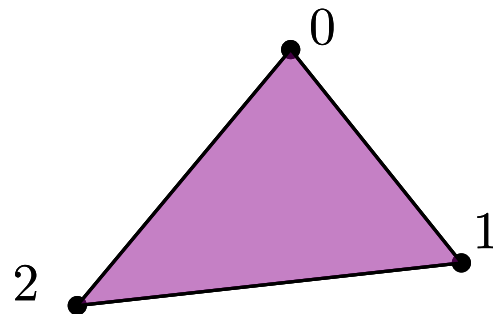
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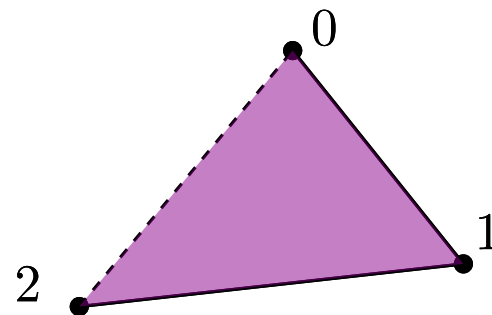
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**Example:** Let  $V = \{0, 1, 2\}$  and

$$K = \{[0], [1], [2], [0, 1], [1, 2], [0, 1, 2]\}.$$

This is not a simplicial complex.

Indeed, the simplex  $[0, 1, 2]$  admits a face  $[2, 0]$  that is not included in  $V$ .



# Simplicial complexes

5/13 (7/7)

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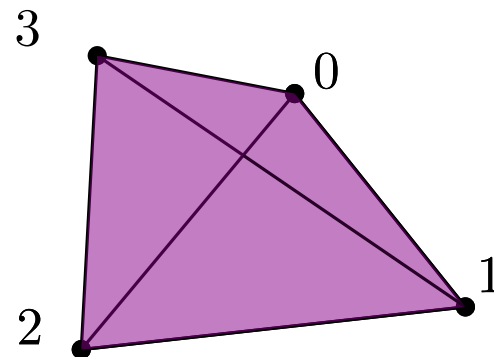
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If  $\sigma$  is a simplex, its dimension is defined as  $|\sigma| - 1$  (cardinality of  $\sigma$  minus 1). If  $K$  is a simplicial complex, its dimension is defined as the maximal dimension of its simplices.

**Example:** Let  $V = \{0, 1, 2, 3\}$  and

$$K = \{[0], [1], [2], [3], [0, 1], [1, 2], [2, 3], [3, 0], [0, 2], [1, 3], [0, 1, 2], [0, 1, 3], [0, 2, 3], [1, 2, 3]\}$$

It a simplicial complex of dimension 2.



I - Combinatorial simplicial complexes

II - Topology

III - Euler characteristic

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Let us give simplicial complexes a topology.

**Definition:** Let  $K$  be a simplicial complex, with vertex  $V = \{1, \dots, n\}$ .

In  $\mathbb{R}^n$ , consider, for every  $i \in \llbracket 1, n \rrbracket$ , the vector  $e_i = (0, \dots, 1, 0, \dots, 0)$  ( $i^{\text{th}}$  coordinate 1, the other ones 0).

Let  $|K|$  be the subset of  $\mathbb{R}^{n+1}$  defined as:

$$|K| = \bigcup_{\sigma \in K} \text{conv}(\{e_j, j \in \sigma\})$$

where  $\text{conv}$  represent the convex hull of points.

Endowed with the subspace topology,  $(|K|, \mathcal{T}_{||K|})$  is a topological space, that we call the *topological realization of  $K$* .

If  $a_1, \dots, a_k \in \mathbb{R}^n$ , the convex hull is defined as:

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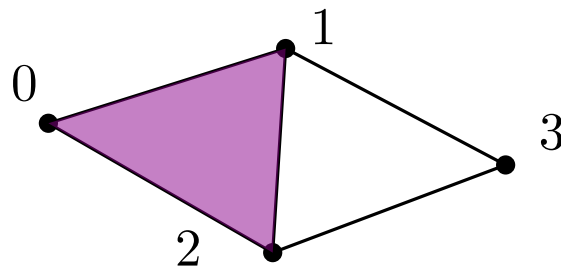
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**Remark:** If the simplicial complex can be drawn in the plane (or space) without crossing itself, then its topological realization simply is the subspace topology.

**Example:**  $K = \{[0], [1], [2], [3], [0, 1], [1, 2], [2, 0], [1, 3], [2, 3], [0, 1, 2]\}$ .



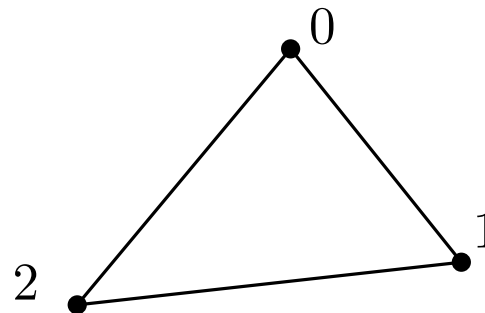
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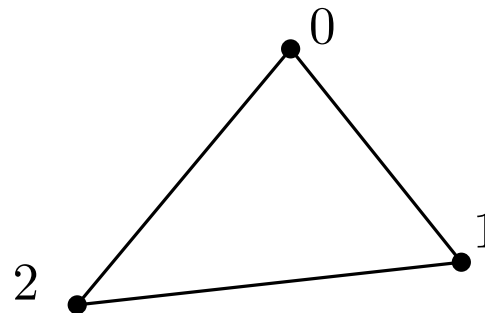
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8/13 (3/4)

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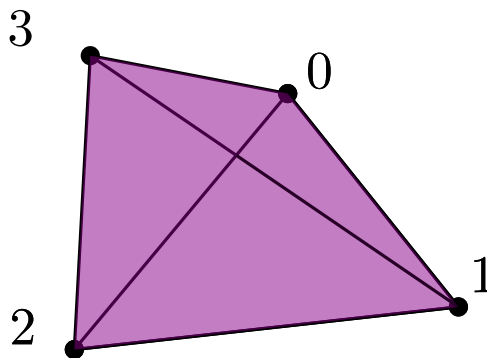
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**Example:** The following simplicial complex is a triangulation of the sphere:

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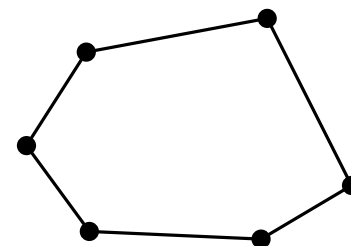
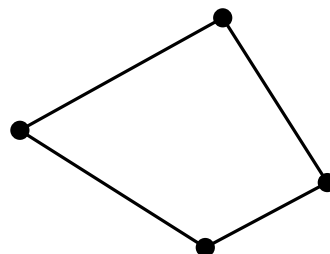
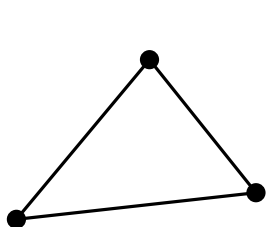


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Given a topological space, it is not always possible to triangulate it. However, when it is, there exists many different triangulations.



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(VI - Tutorial)

**Definition:** Let  $K$  be a simplicial complex of dimension  $n$ . Its *Euler characteristic* is the integer

$$\chi(K) = \sum_{0 \leq i \leq n} (-1)^i \cdot (\text{number of simplices of dimension } i).$$

# Euler characteristic

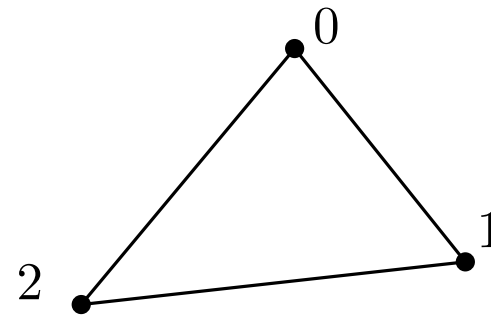
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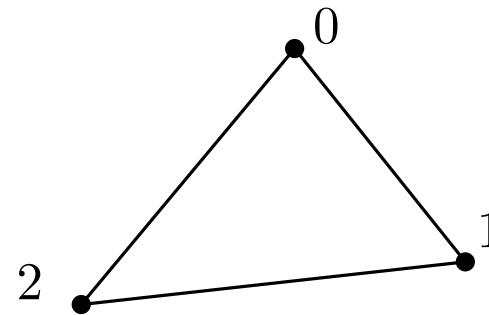
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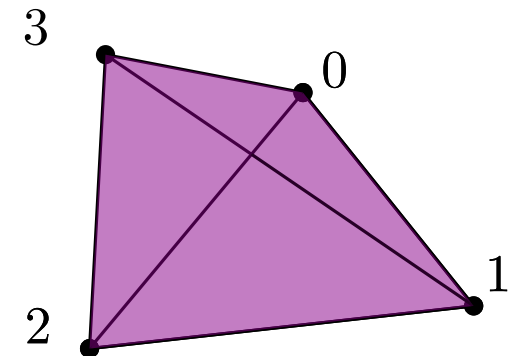


**Example:** The simplicial complex

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$$\chi(K) = 4 - 6 + 4 = 2$$



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**Definition:** Let  $X$  be a topological space. Its Euler characteristic is defined as the Euler characteristic of any triangulation of it.

Two issues:

- $X$  must admit a triangulation
- we have to make sure the the triangulations of  $X$  all have the same Euler characteristic. In other words, if  $K$  and  $K'$  are two triangulations of  $X$ , we must have  $\chi(K) = \chi(K')$ .



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→ this is true!

but we won't be able to prove it in this summer course...

# Euler characteristic

10/13 (7/7)

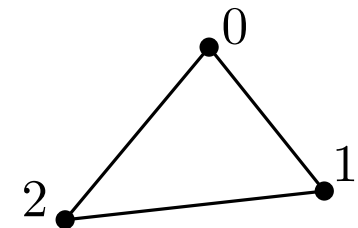
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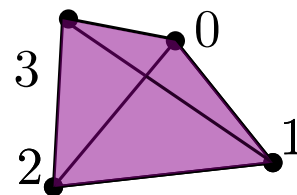
**Example:** The circle has Euler characteristic 0 because it admits a triangulation

$$K = \{[0], [1], [2], [0, 1], [1, 2], [2, 0]\}$$



**Example:** The sphere has Euler characteristic 2 because it admits a triangulation

$$K = \{[0], [1], [2], [3], [0, 1], [1, 2], [2, 3], [3, 0], [0, 2], [1, 3], [0, 1, 2], [0, 1, 3], [0, 2, 3], [1, 2, 3]\}$$



# Euler characteristic is an invariant

11/13 (1/2)

**Proposition:** If  $X$  and  $Y$  are two homotopy equivalent topological spaces, then  $\chi(X) = \chi(Y)$ .

Therefore, the Euler characteristic is an *invariant* of homotopy equivalence classes.

We can use this information to prove that two spaces are not homotopy equivalent.

# Euler characteristic is an invariant

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**Example:** The circle has Euler characteristic 0, and the sphere Euler characteristic 2. Therefore, they are not homotopy equivalent.

**Exercise (21):** Show that  $\mathbb{R}^3$  and  $\mathbb{R}^4$  are not homotopy equivalent.

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# Conclusion

We learnt how to represent topological spaces on a computer.

We defined a new topological invariant.

Homeworks for next week: Exercises 20, 23, 24

Facultative exercise: Exercises 21, 26\*\*\*

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Obrigado!