



05

Chapter

Constraint Satisfaction Problems

2I1AE1: Artificial Intelligence

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“Saying Deep Blue doesn’t really think about chess
is like saying an airplane doesn’t fly
because it doesn’t flap its wings.”

Drew McDermot

■ Constraint Satisfaction Search

- In which we see how treating states as more than just little black boxes.
 - 1) Constraint Satisfaction Problems
 - 2) Solving CSP with Search Algorithms
 - 3) Solving CSP with specific Algorithms
 - 1) Forward Checking
 - 2) Arc Consistency
 - 4) Heuristics for CSP

Configuration Search Problem

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- Case of search problems of type “configuration finding”
 - **State space search problems**
 - ▶ Each state is a **black box** with no discernible internal structure.
 - States are handled by **problem-specific routines**:
 - `get-successors()` and `is-goal()` functions.
 - ▶ Search algorithms can use **problem-specific heuristics** to speed-up the search
 - **Constraint satisfaction problems (CSP)**
 - ▶ States conform to a **standard and very simple representation (white box)**.
 - ▶ `get-successors()` and `is-goal()` are **general functions**.
 - ▶ Search algorithms use **general-purpose heuristics**.

Constraint Satisfaction Problem

- Constraint Satisfaction Problem is a subpart of configuration search problem where:
 - A **state** can be defined by a **set of variables**: X_1, X_2, \dots, X_n .
 - ▶ Each variable X_i has a nonempty **domain** D_i of valid values.
 - ▶ A set of **constraints** exists on possible variable values: C_1, C_2, \dots, C_m .
 - A complete **assignment** is one in which every variable is mentioned, and a **solution** to a CSP is a complete assignment that satisfies all the constraints.
- Special properties of the CSP lead to special solving algorithms.

Variety of Constraints

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- Unary constraints involve a single variable.
 - e.g., $A \neq \text{green}$, $A > 3$
- Binary constraints involve pairs of variables.
 - e.g., $A \neq C$, $A > C$
- Higher-order constraints involve 3 or more variables.
 - e.g., function `allDiff()`

Example 1. N-Queens

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■ Goal

- N queens placed in non-attacking positions on the board.

■ Variables:

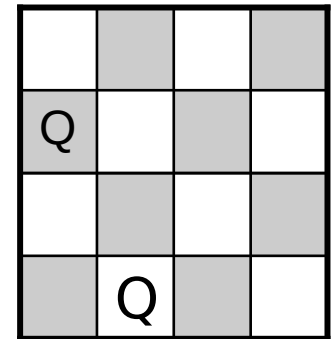
- Represent queens row, one for each column:
 - ▶ Q_1, Q_2, Q_3, Q_4

■ Domain:

- Row placement of each queen on the board:
 - ▶ $Q_i \in \{1, 2, 3, 4\}$

■ Constraints:

- $Q_i \neq Q_j$: two queens not in the same row.
- $|Q_i - Q_j| \neq |i - j|$: two queens not on the same diagonal.



$$Q_1 = 2, Q_2 = 4$$

Example 2. Cryptarithmic Puzzles

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- Decipher the letters using the constraints that no two letters can have the same numerical value and the letters conform to the operation:

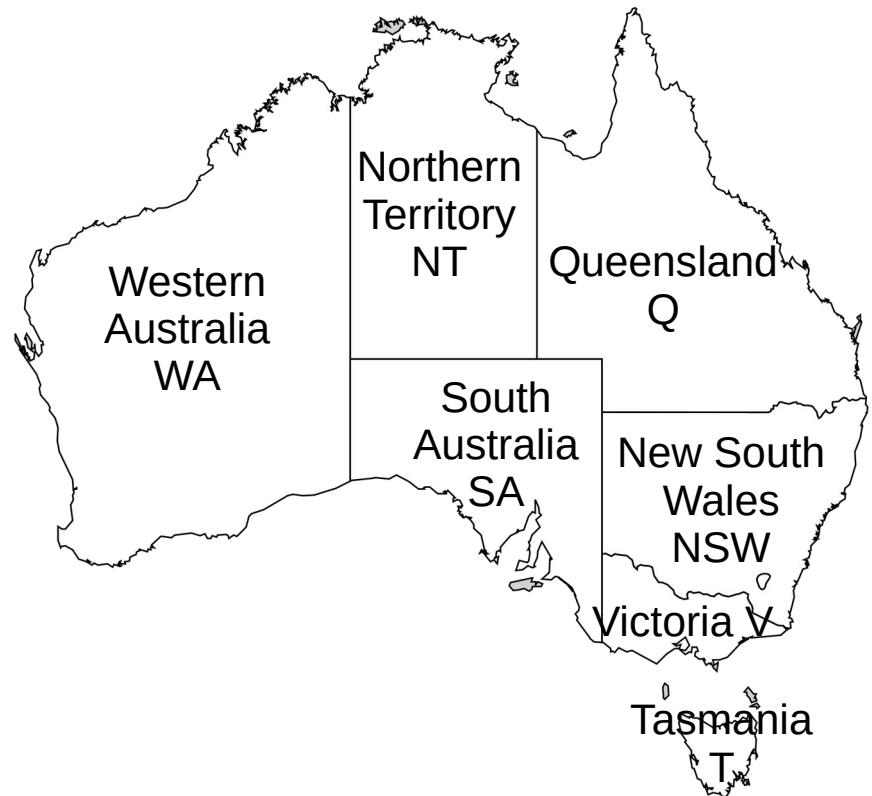
$$\begin{array}{rcccccc} & X_4 & X_3 & X_2 & X_1 & & \\ & & S & E & N & D & \\ + & & M & O & R & E & \\ \hline = & M & O & N & E & Y & \end{array}$$

- Variables?**
 - S, E, N, D, M, O, R, Y
 - X_1, X_2, X_3, X_4
- Domain?**
 - $S, E, N, D, M, O, R, Y \in [0; 9]; X_i \in \{0,1\}$
- Constraints?**
 - $\text{allDiff}(S, E, N, D, M, O, R, Y)$
 - $D + E = Y + 10 \cdot X_1$
 - $X_1 + N + R = E + 10 \cdot X_2$
 - etc

Example 3. Map Coloring

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- Color the Australian map using 3 different colors such that no adjacent countries have the same color.
- **Variables?**
 - Represent countries.
 - ▶ WA, NT, SA, Q, NSW, V, T
- **Domain?**
 - Country $\in \{\text{Red}, \text{Blue}, \text{Green}\}$
- **Constraints?**
 - WA \neq NT,
 - WA \neq SA
 - NT \neq SA, ...



Solving CSP with State Space Search Algorithms

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- Formulation of a CSP as a Search Problem:
 - **States**
 - ▶ Domain D_i of each variables X_i .
 - ▶ Assignment of variables X_i with values from the domain D_i .
 - **Initial state**
 - ▶ Domains: all values for all variables.
 - ▶ No variable is assigned a value: $\{\}$.
 - **Actions**
 - ▶ Assign a value to one of the unassigned variables such that it doesn't violate the constraints.
 - ▶ Remove assigned variable from domains
 - **Goal test**
 - ▶ All variables are assigned and no constraints are violated.
 - **Path cost**
 - ▶ A constant cost for every step (e.g., 1).
 - **Type**
 - ▶ Configuration finding

What search algorithm to use?

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■ Informed search

- Problem: no available heuristics.

■ Breadth-first search algorithm

- Problem: time and space complexity: $O(b^d)$.
- where $b = \max |D_i|$: maximum number of values for the variables
 $d = |X|$: number of variables in the CSP.

■ Depth-first search algorithm

- Since we know the depth d of the tree ($m=d$), it is complete.
- Since we search for a satisfying configuration not an optimal path, depth-first is relevant.
- Time complexity: $O(b^d)$ but space complexity: $O(b.d)$.
- So, we can use DFS and no need to add a closed-list (space complexity is still $O(b.d)$).

Depth-First Search Algorithm

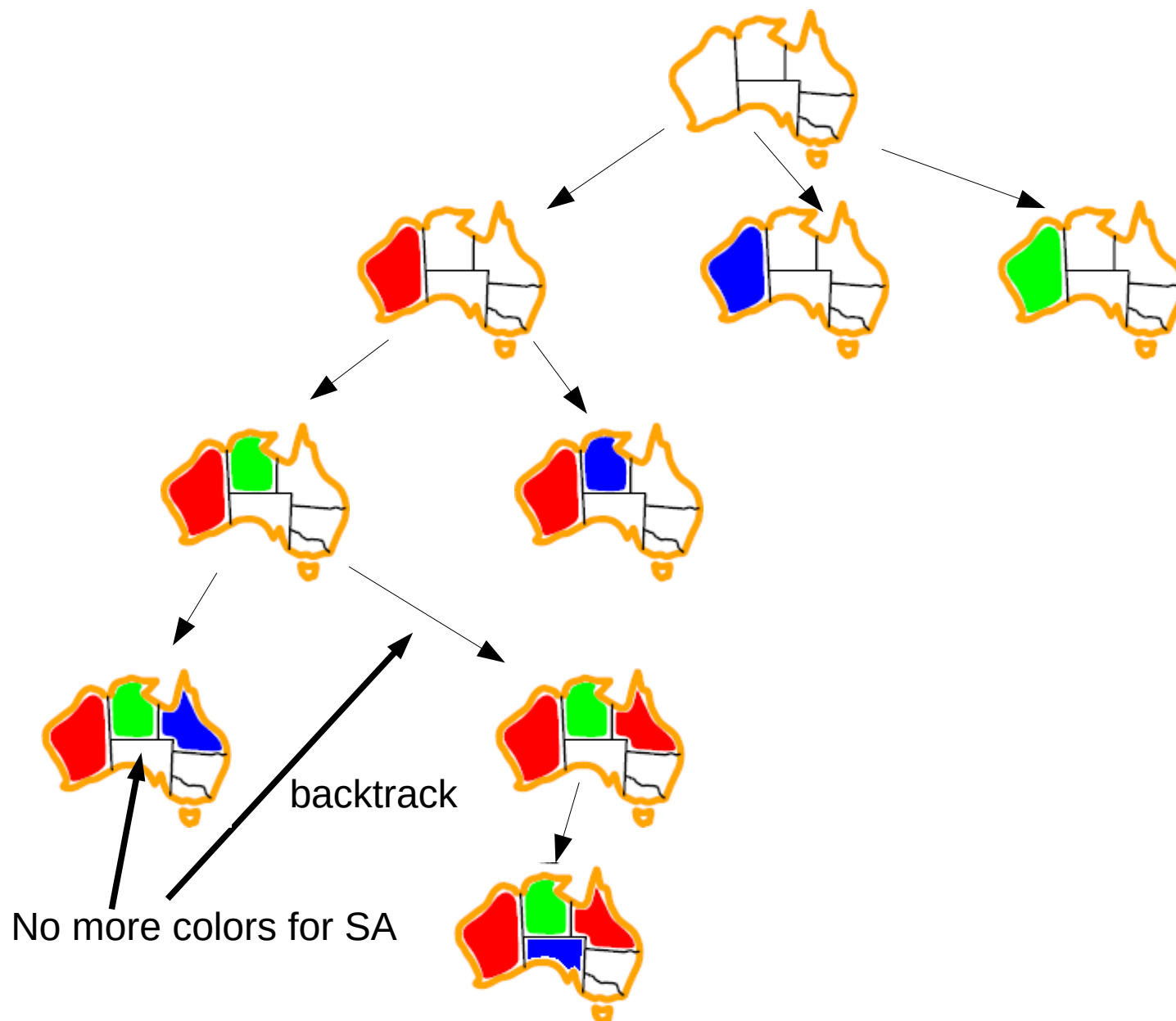
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- No need to use a closed-list just use a subtle generation of successors
→ **backtracking search**:
 - Choose values for one variable at a time that keeps the solution consistency.
 - Backtrack when a variable has no legal value left to assign.

```
function BACKTRACKING-SEARCH(problem) returns a solution, or failure
  return BACKTRACK({ }, problem) # set initial assignment
function BACKTRACK(assignment, problem) returns a solution, or failure
  IF assignment is complete THEN return assignment
  var ← SELECT-UNASSIGNED-VARIABLE(problem)
  FOREACH value in ORDER-DOMAIN-VALUES(var, assignment, problem) DO
    add {var = value} to assignment
    IF value is consistent with assignment THEN
      result ← BACKTRACK(assignment, problem)
      IF result ≠ failure THEN
        return assignment
    remove {var = value} and inferences from assignment
  return failure
```

Backtracking Example: Map Coloring

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Backtracking Search

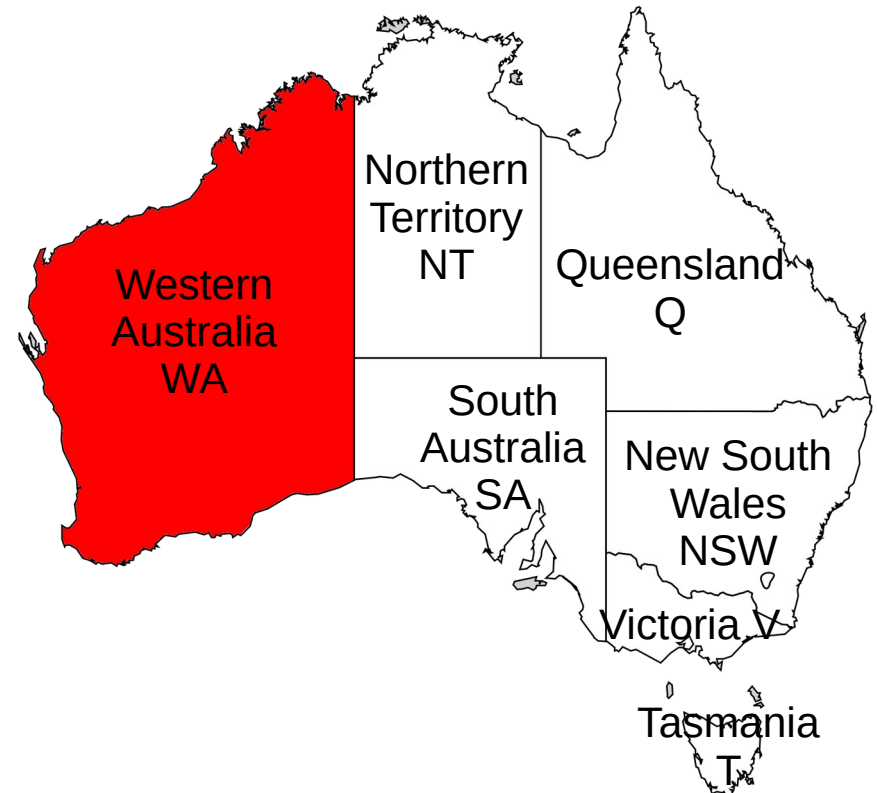
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- Not efficient
 - Based on combination of all possible values for each variable.
 - Time complexity $O(b^d)$
- Can we do better?
 - Yes. Take benefit from constraint propagation.
 - ▶ The choice of one value for one variable reduces the domain for other variables.

Constraint Propagation

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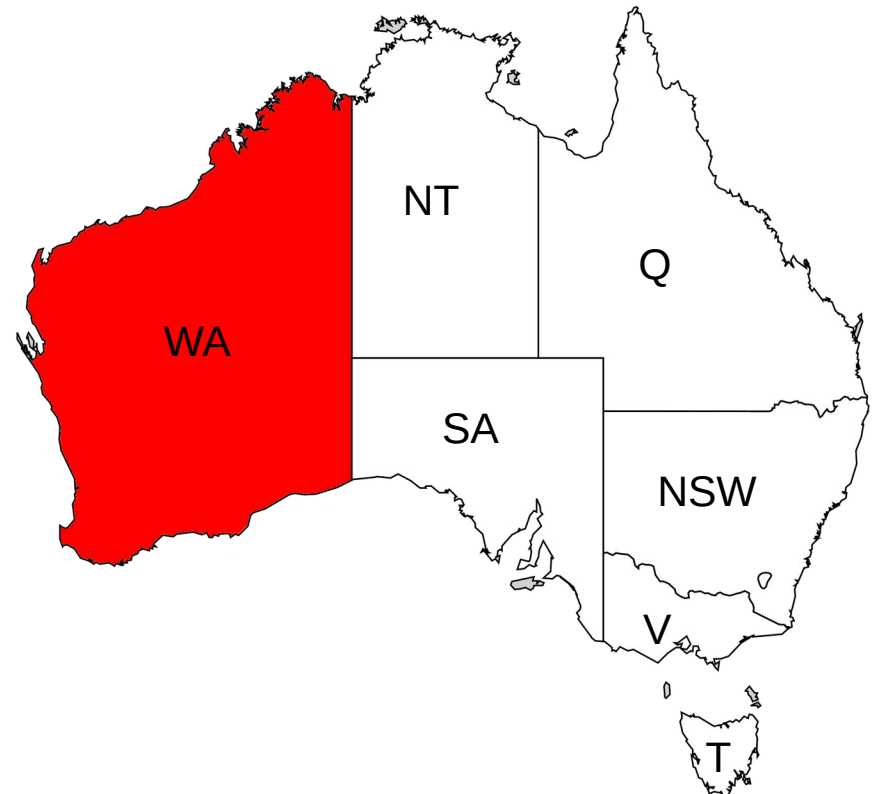
- A state is defined by
 - A set of **variables**.
 - The possible **values** of each variables: domains.
 - List of **legal and illegal assignments** for unassigned variables.
- Legal and illegal assignments are represented via:
 - equations
 - inequations
 - disequations
- **Example: map coloring**
 - Equation: $WA = \text{Red}$
 - Disequation: $NT \neq \text{Red}$



Constraint Propagation

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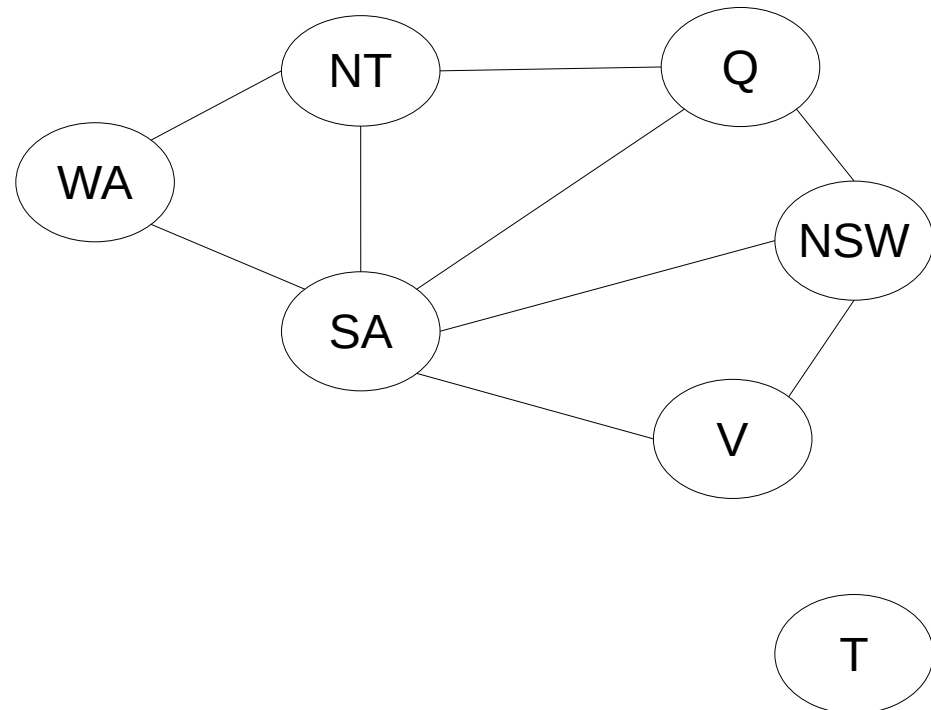
- Constraints + assignments can entail new equations and disequations.
 - e.g. $WA = \text{Red} \rightarrow NT \neq \text{Red}, SA \neq \text{Red}$
- **Constraint propagation**
 - The process of inferring new equations and disequations from existing equations, disequations and inequations.
 - This can drastically reduce the search space (branching factor).



Constraint Graph

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- Constraint graph is an efficient representation of constraints → identify coupling between variables
 - **nodes** are variables.
 - **arcs** are constraints.
- Example: Map coloring of Australia territories with three colors Red, Green, Blue.



Constraint Propagation Techniques

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- Backtracking Search is a **look-back algorithm**.
 - Check consistency only for complete assignments : too late.
- Constraint propagation leads to **look-ahead algorithm**.
 - Check consistency after each assignment through constraint propagation and prune the search tree.
 - Two look-ahead algorithms:
 - ▶ **Forward checking**
 - ▶ **Arc consistency**

1. Forward Checking Algorithm (Haralick 1980)

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■ Idea

- After each assignment prune the domains of variables connected in the constraint graph.

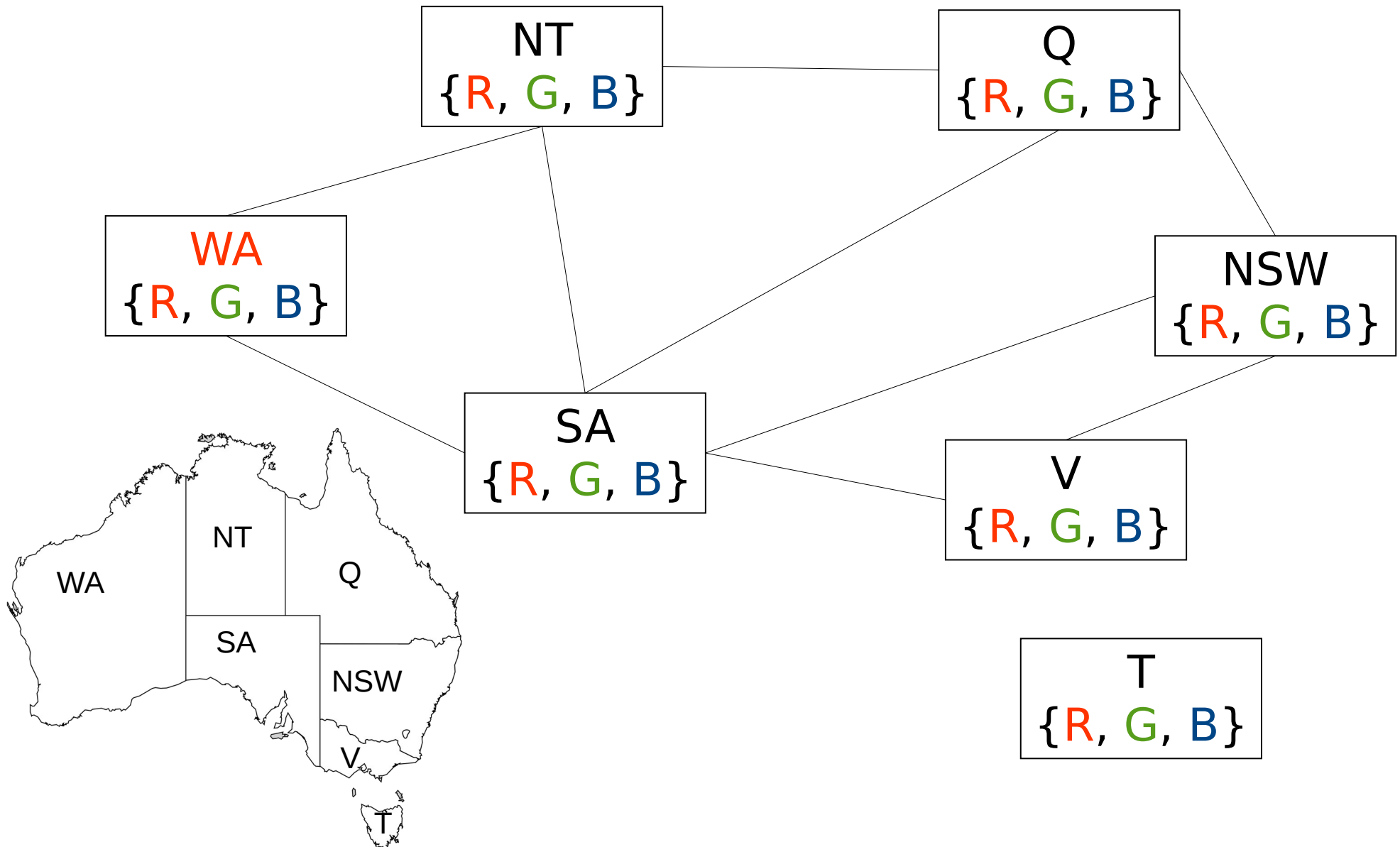
■ Algorithm

- At the start, record the set of all legal values.
- If you assign a variable, remove values that are now not legal anymore from the connected variables.
- If a node's set of legal values becomes empty, then backtrack immediately.

■ Time complexity $O(b^d)$ but often better.

Example: Map Coloring Problem

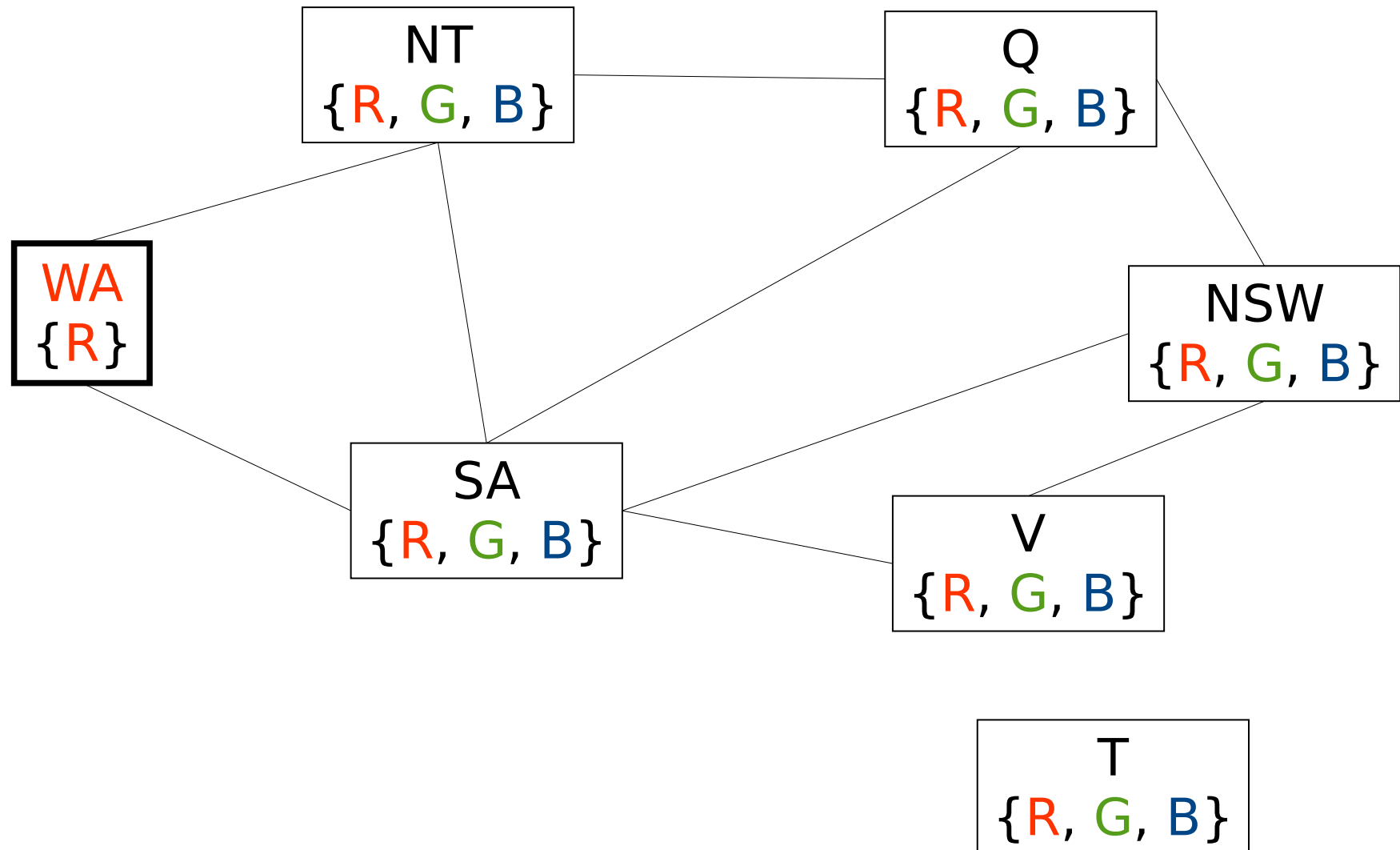
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Example: Map Coloring Problem

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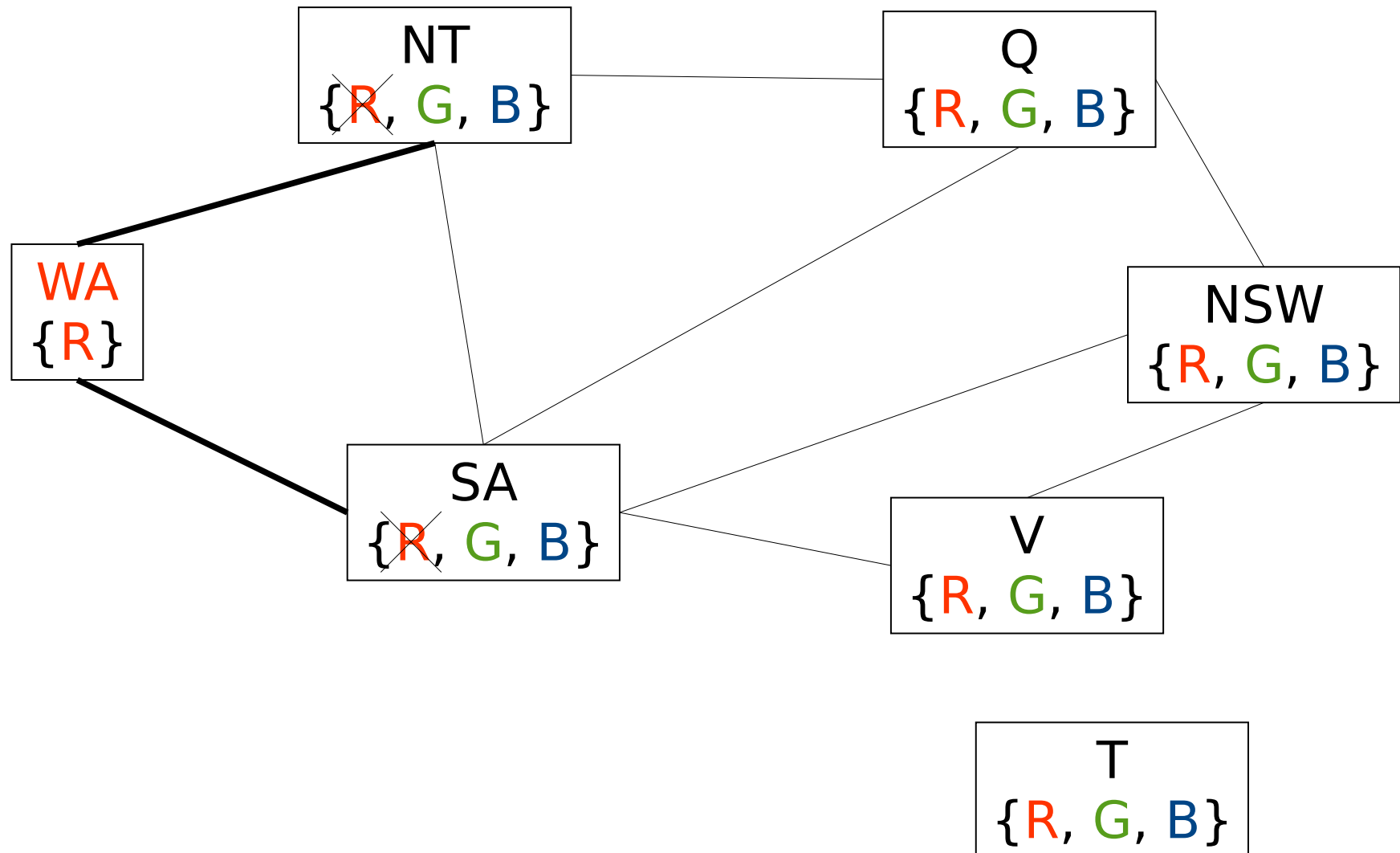
- Assignment: $WA = \{R\}$



Example: Map Coloring Problem

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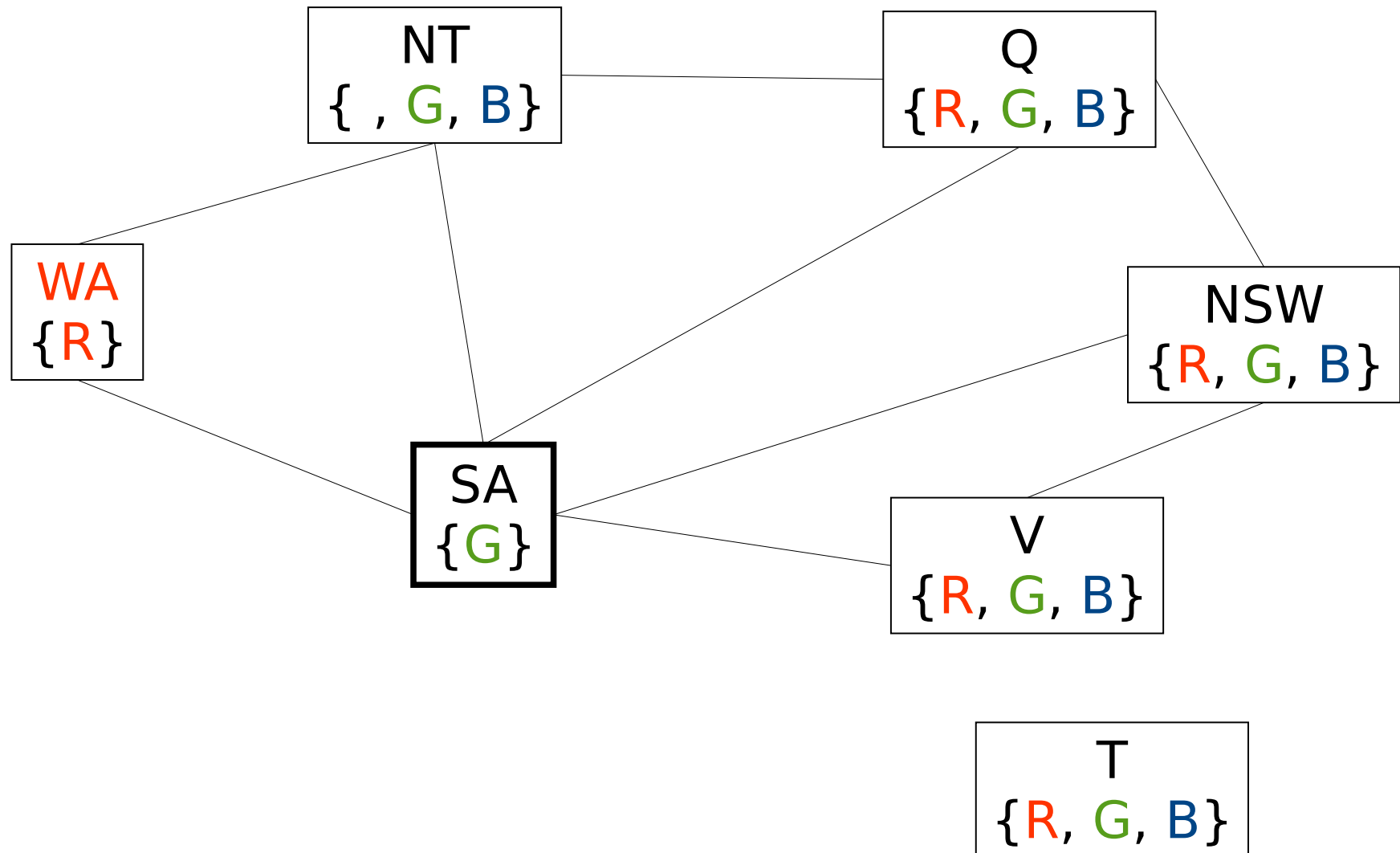
- Constraint propagation



Example: Map Coloring Problem

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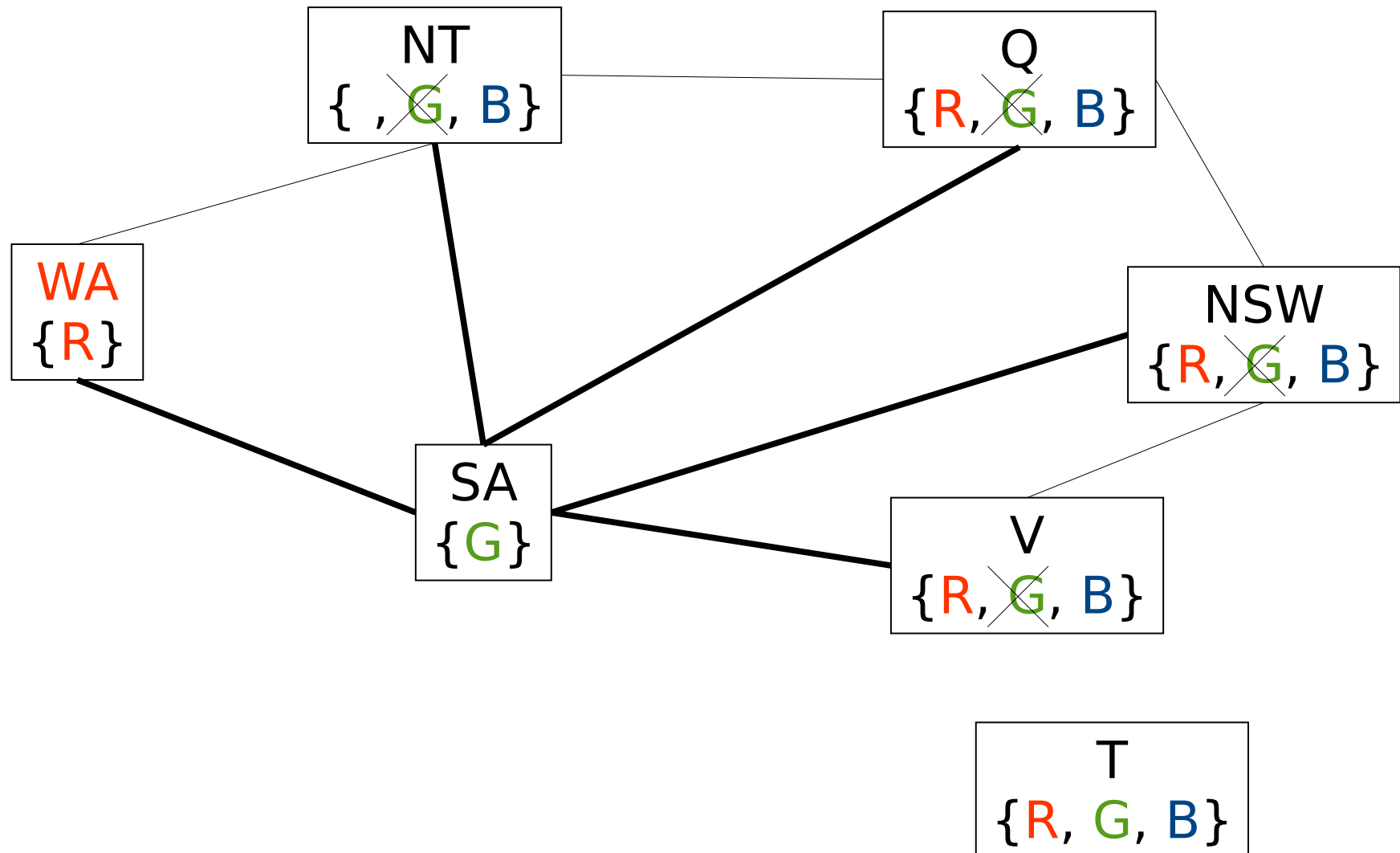
- Assignment: $SA = \{G\}$



Example: Map Coloring Problem

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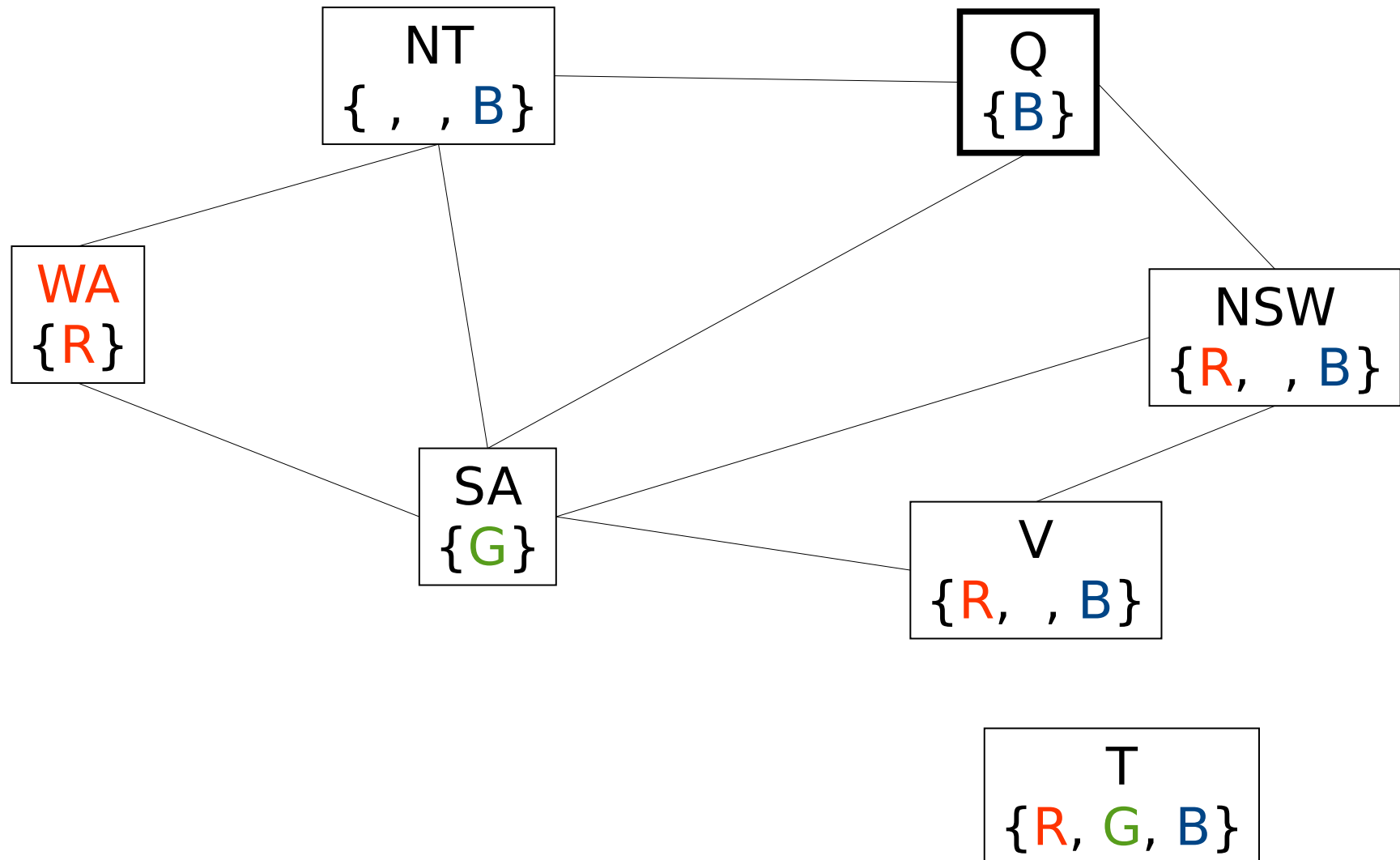
- Constraint propagation



Example: Map Coloring Problem

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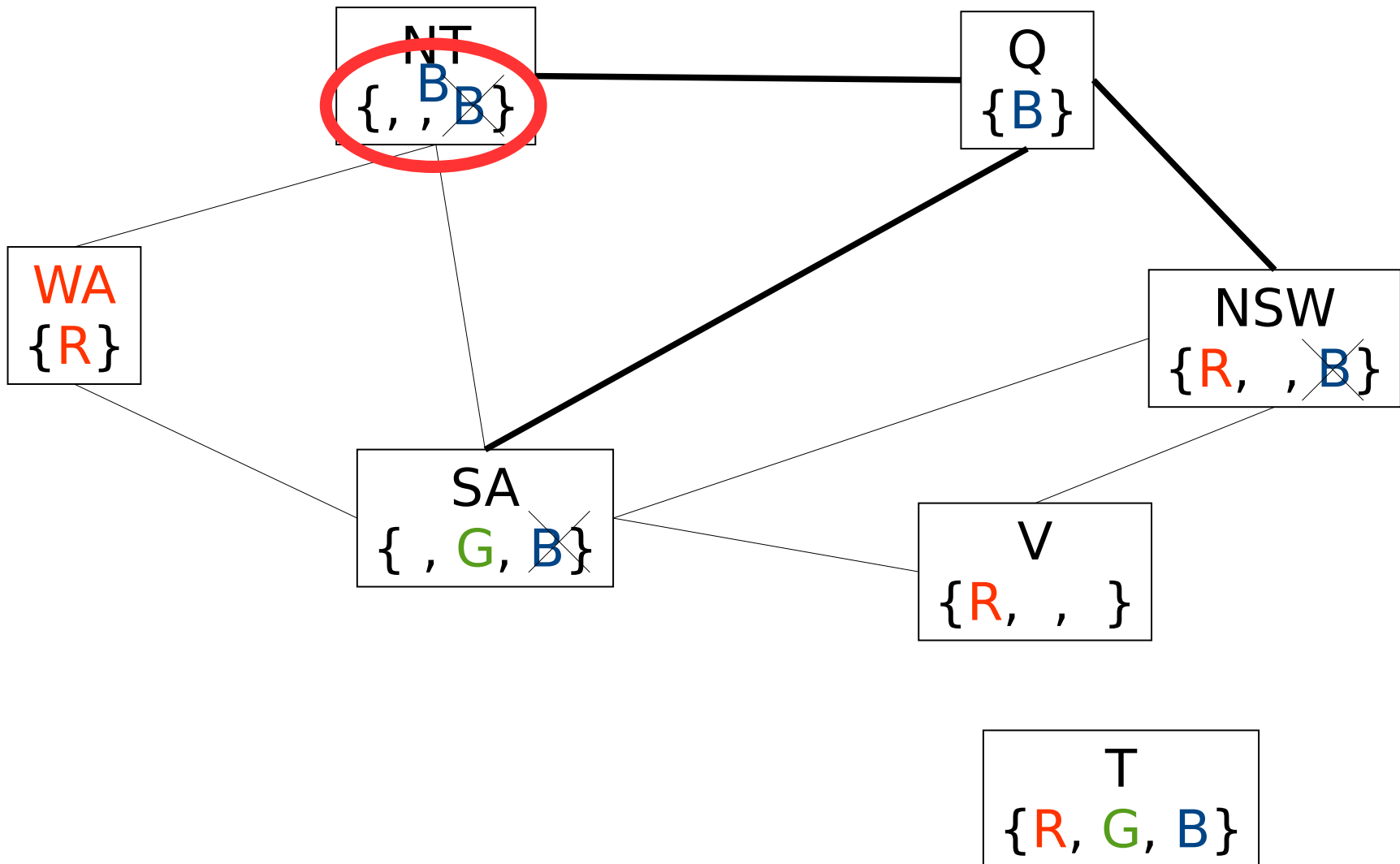
- Assignment: $Q = \{B\}$



Example: Map Coloring Problem

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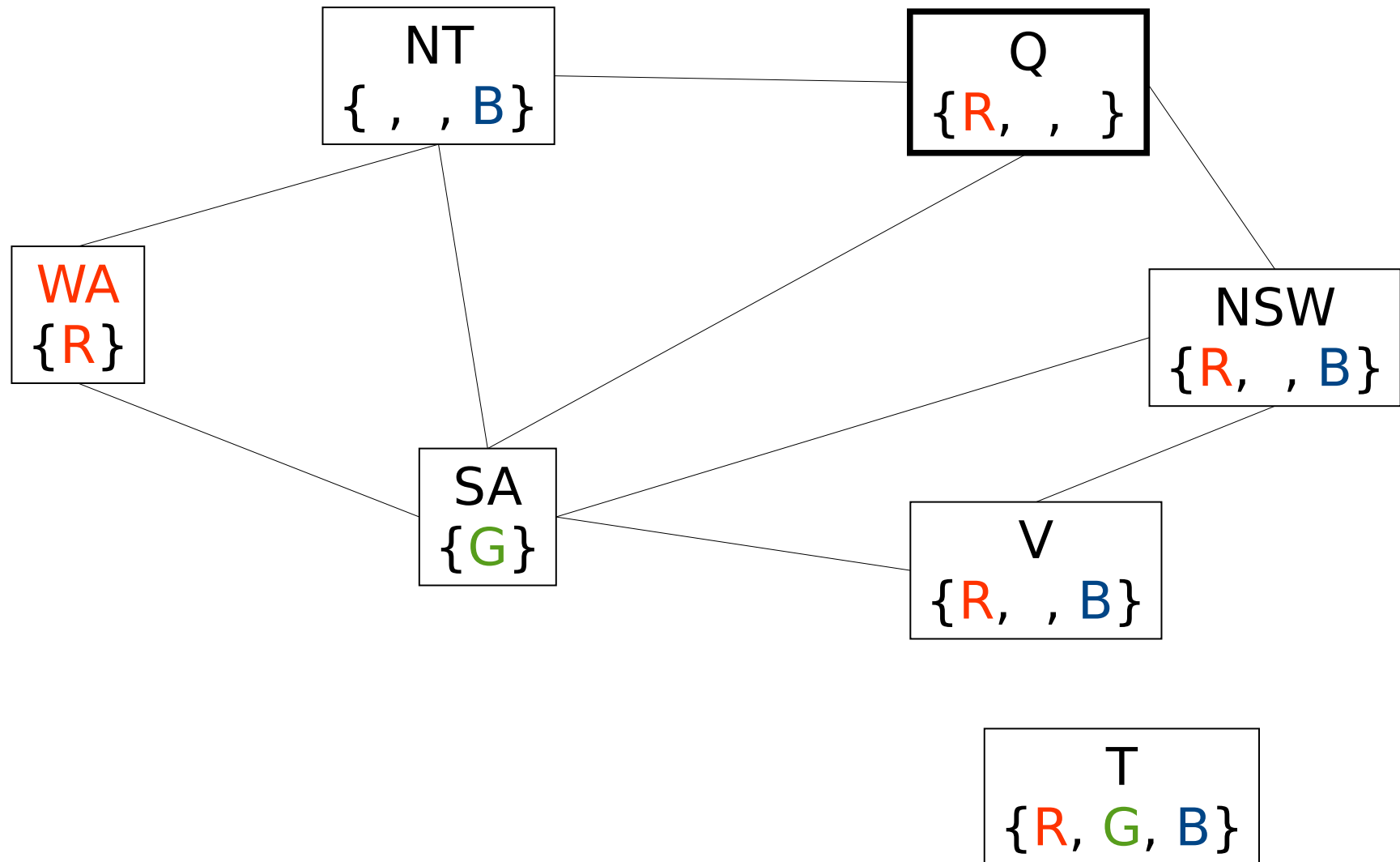
- Constraint propagation



Example: Map Coloring Problem

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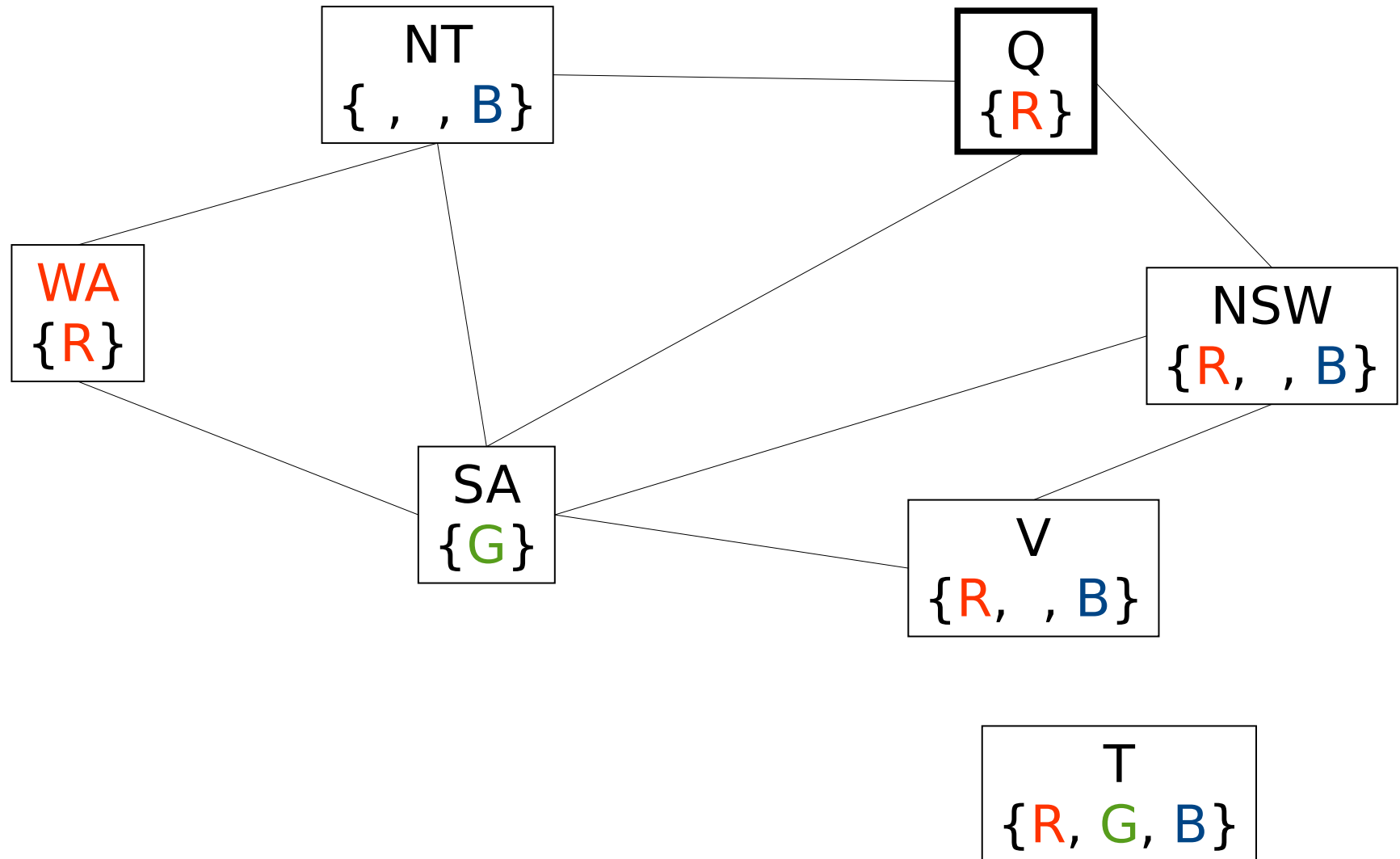
- Backtrack to last assignment $Q = \{B\}$



Example: Map Coloring Problem

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- Assignment: $Q = \{R\}$, etc



Forward Checking Algorithm

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```
function FC-SEARCH(domains) returns solution/failure
  return RECURSIVE-FC-SEARCH({ }, domains)
function RECURSIVE-FC-SEARCH(assignment, domains) returns solution
  IF assignment is complete THEN return assignment
  var ← SELECT-UNASSIGNED-VARIABLE(assignment, domains)
  FOREACH value in ORDER-DOMAIN-VALUES(var, assignment, domains) DO
    add {var = value} to assignment
    domains1 ← FORWARD-CHECKING(var, value, copy(domains))
    IF domains1 != failure THEN
      result ← RECURSIVE-FC-SEARCH(assignment, domains1)
      IF result != failure THEN return assignment
    remove {var = value} from assignment
  return failure

function FORWARD-CHECKING(var, value, domains) returns domains/failure
  FOREACH xi in domains whose values are constrained by var
    IF  $\exists v$  in domains st xi=v () is inconsistent with var=value THEN
      remove v from the domain of xi in domains
    IF the domain of xi is empty THEN return failure
  return domains
```

2. Arc Consistency Algorithm

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■ Constant

- Forward checking does not look far enough forward After each variable assignment X_i , forward checking is limited to a propagation of constraints to the variables X_j directly connected to X in the constraint graph.

■ Idea

- After each assignment, prune the domains of all the connected variables and all variables connected to variable whose domain has been modified.

■ Definition

- 'Arc' refers to direct arc in the constraint graph.
- $X \rightarrow Y$ is consistent iff for every value of X , there is some value of Y after applying all the constraints.

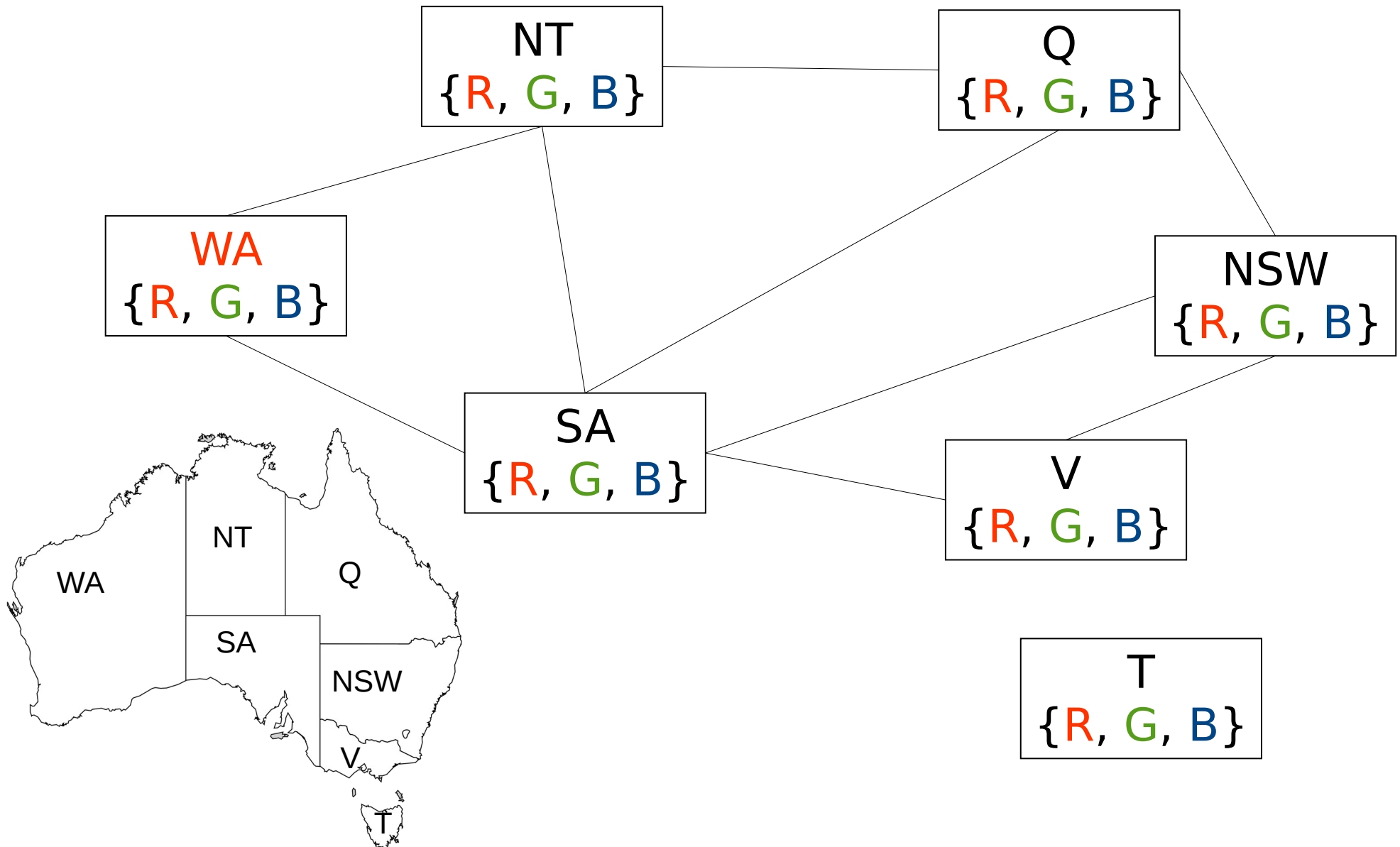
■ Algorithm

- Consider all arcs $X \rightarrow Y$.
- Remove all values from X that makes the $X \rightarrow Y$ inconsistent.
- If X loses a value, neighbors of X need to be rechecked.
- If X is empty, then backtrack.

■ Time complexity: $O(b^2d^3)$

Example: Map Coloring Problem

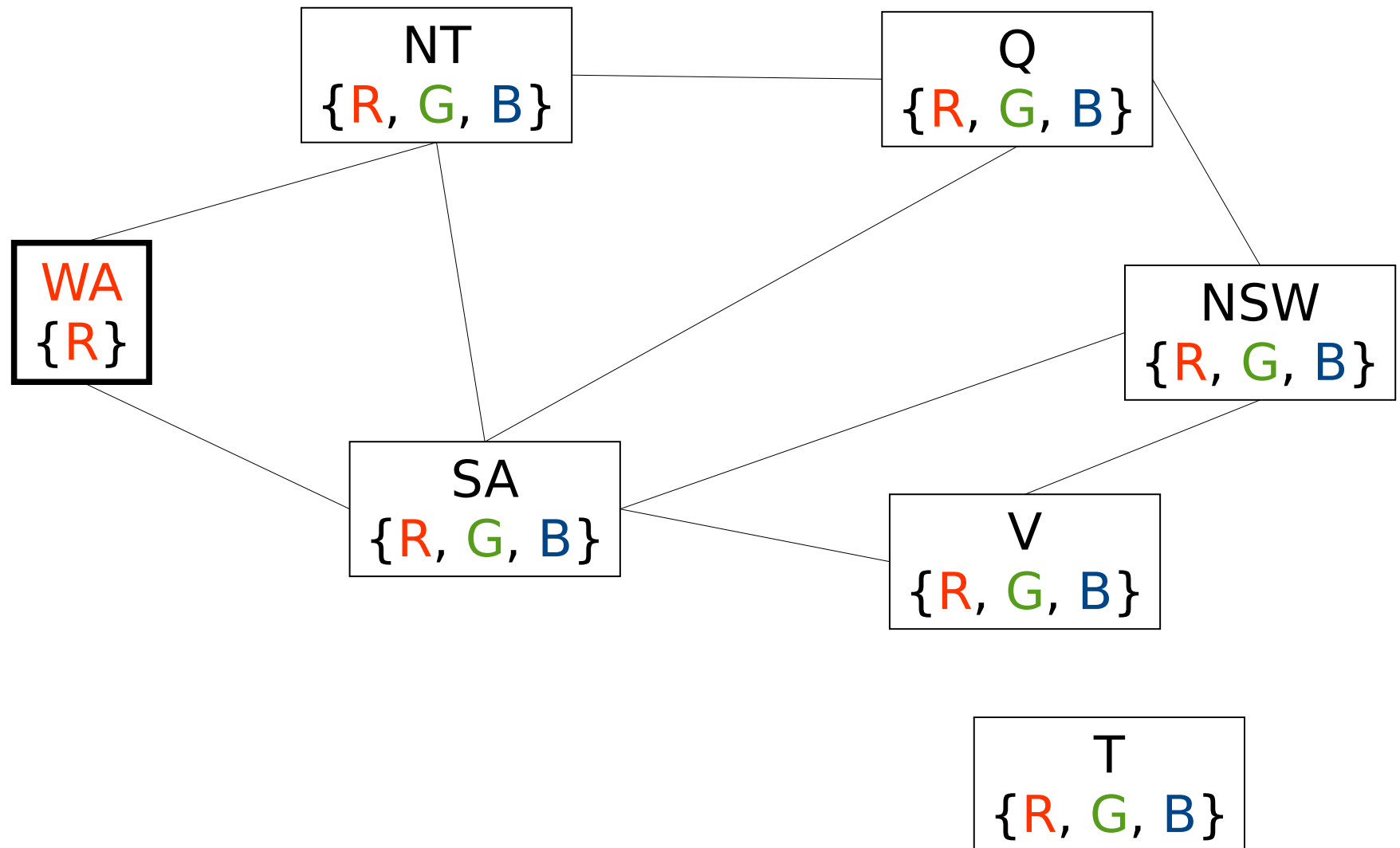
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Example: Map Coloring Problem

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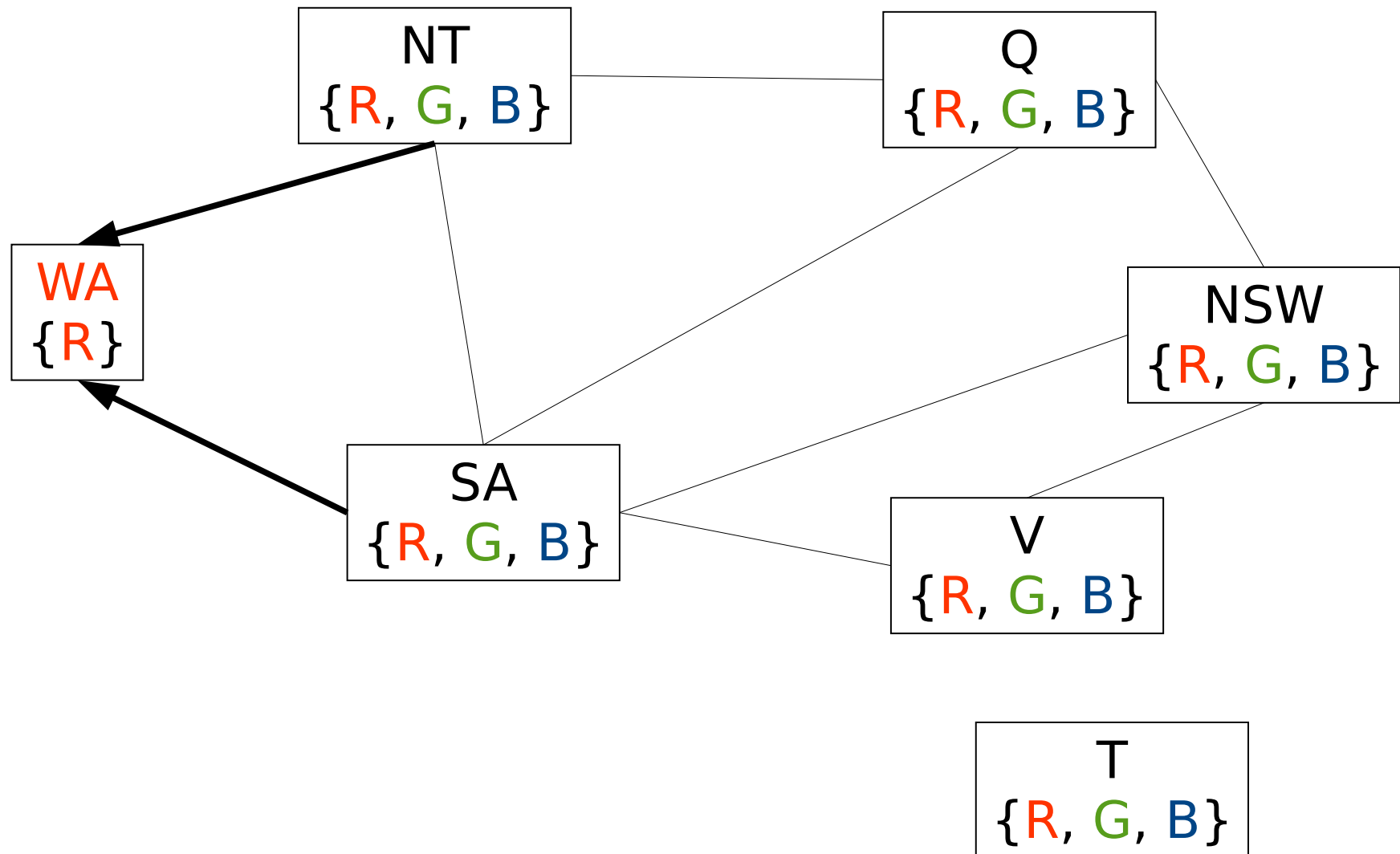
- Assignment: $WA = \{R\}$



Example: Map Coloring Problem

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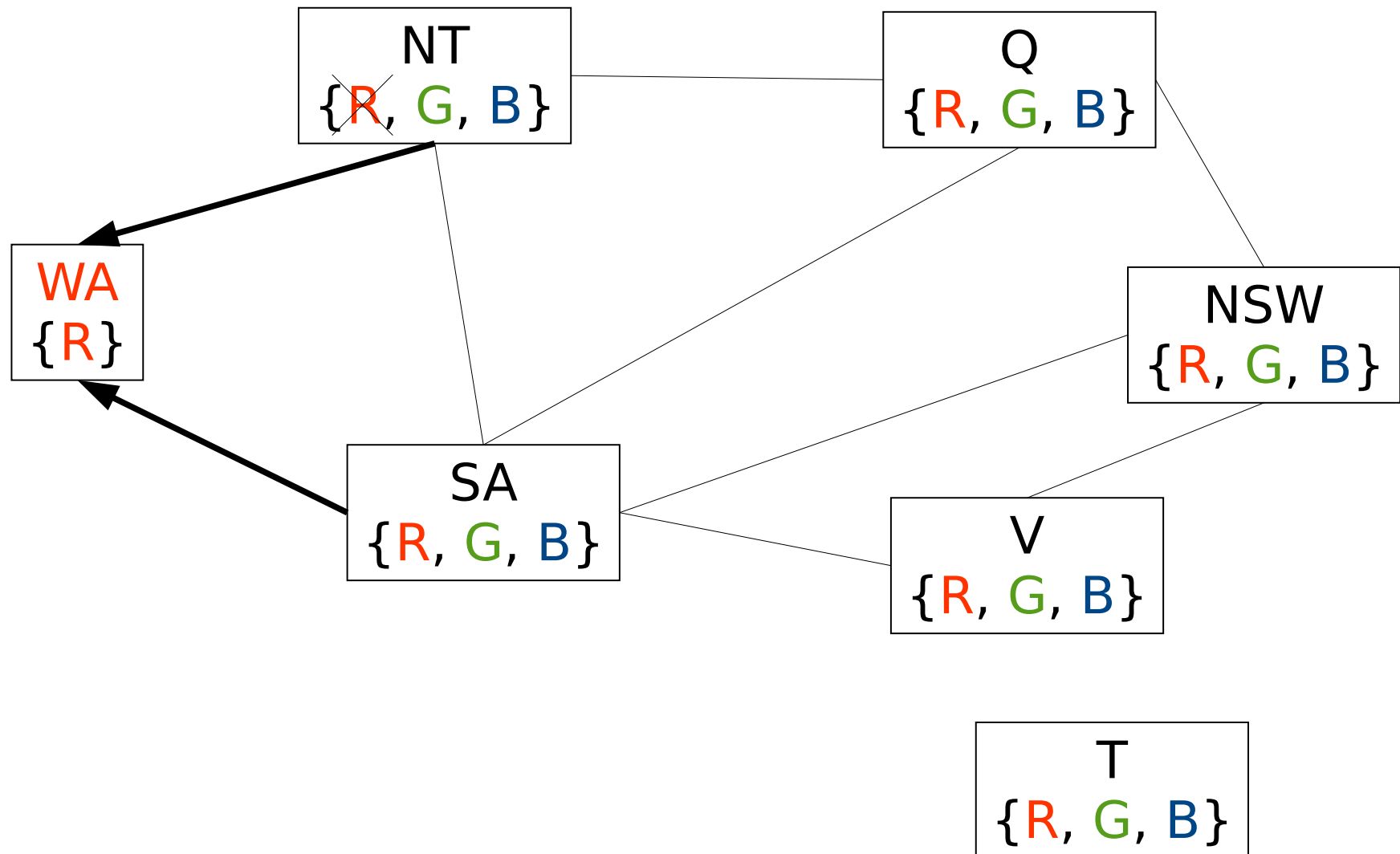
- Arc consistency: check arcs $\{NT \rightarrow WA, SA \rightarrow WA\}$



Example: Map Coloring Problem

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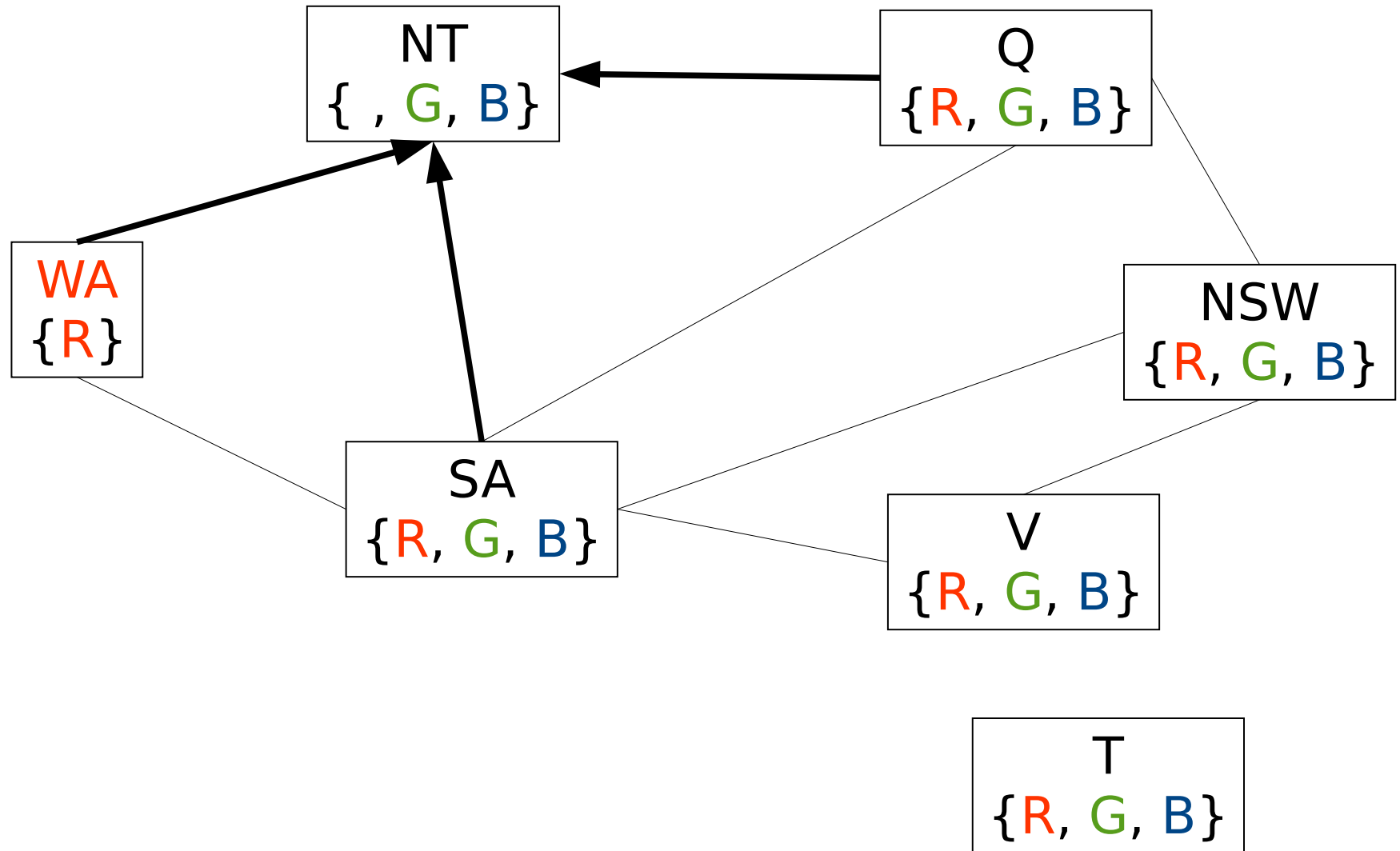
- NT \rightarrow WA: R makes the node inconsistent, so remove R from NT



Example: Map Coloring Problem

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- Since NT domain was modified, add arcs $WA \rightarrow NT$, $SA \rightarrow NT$, $Q \rightarrow NT$
- In the list $\{SA \rightarrow WA, WA \rightarrow NT, SA \rightarrow NT, Q \rightarrow NT\}$



Arc Consistency Algorithm: AC-3

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```
function AC3(domains) returns domains
    queue  $\leftarrow$  all the arcs in domains
    WHILE queue is not empty DO
        ( $X_i, X_j$ )  $\leftarrow$  REMOVE-FIRST(queue)
        IF RM-INCONSISTENT-VALUES( $X_i, X_j, domains$ ) THEN
            IF domains[ $X_i$ ] is empty THEN return failure
            FOREACH  $X_k$  in NEIGHBOURS[ $X_i$ ] DO
                add ( $X_k, X_i$ ) to queue
    return domains

function RM-INCONSISTENT-VALUES( $X_i, X_j, domains$ ) returns boolean
    removed  $\leftarrow$  false
    FOREACH  $x$  in domains[ $X_i$ ] DO
        IF no value  $y$  in domains[ $X_j$ ] allows ( $x, y$ ) to satisfy constraint ( $X_i, X_j$ )
            THEN delete  $x$  from domains[ $X_i$ ]
            removed  $\leftarrow$  true
    return removed
```

- Pros and cons
 - The number of backtracking is reduced with arc consistency (less steps).
 - The computation time is increased at each step with arc consistency.
- Arc consistency (eg, AC-3) can be used in two ways:
 - **Preprocessing**
 - ▶ Pruning the domain of variables before the beginning of the search process.
 - ▶ Then use forward checking for example.
 - **Maintaining Arc Consistency**
 - ▶ Propagation step after every assignment during search (like forward checking).
 - ▶ Add in the queue only the arc to the assigned variable.

- Previous CSP algorithms leave two things unspecified:
 - Which variable to assign next? (ie, content of method `SELECT-UNASSIGNED-VARIABLE()` of the general algorithm)
 - Which value to choose first? (ie, content of method `ORDER-DOMAIN-VALUES()` of the general algorithm)
- Heuristics
 - Variable ordering
 - ▶ **Most constrained variable**
 - Choose the variable with the minimum remaining values.
 - ▶ **Degree heuristic**
 - Choose the variable involved in the largest number of constraints.

→ Constraint propagation will reduce the branching factor of search tree.
 - Value ordering
 - ▶ **Least constraining value**
 - Choose the value removing the least values from the domain of the neighbor variables

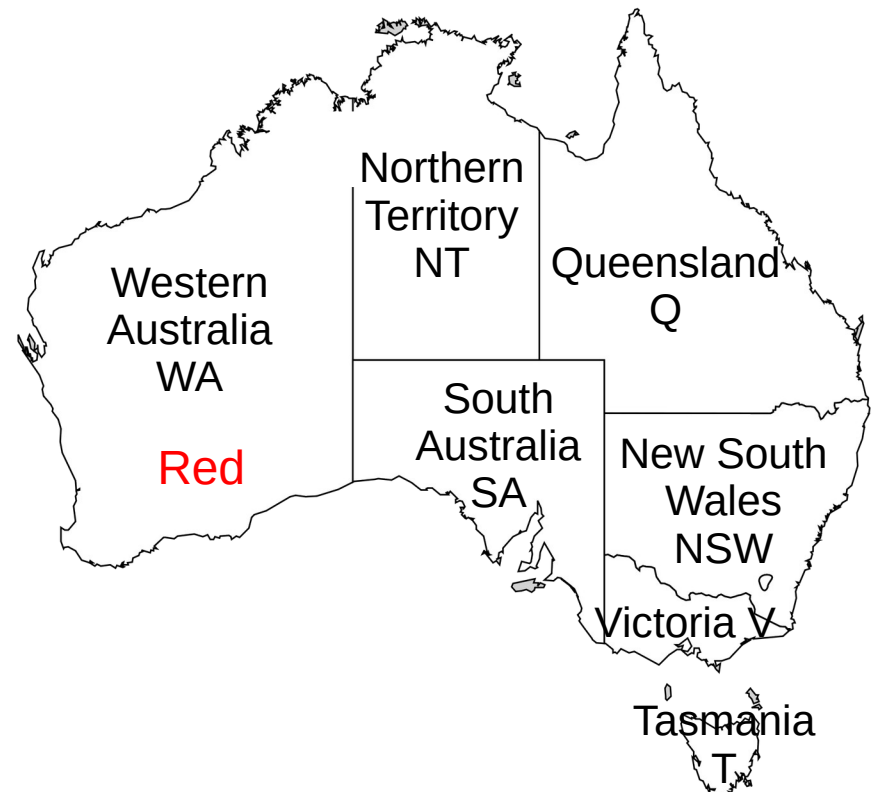
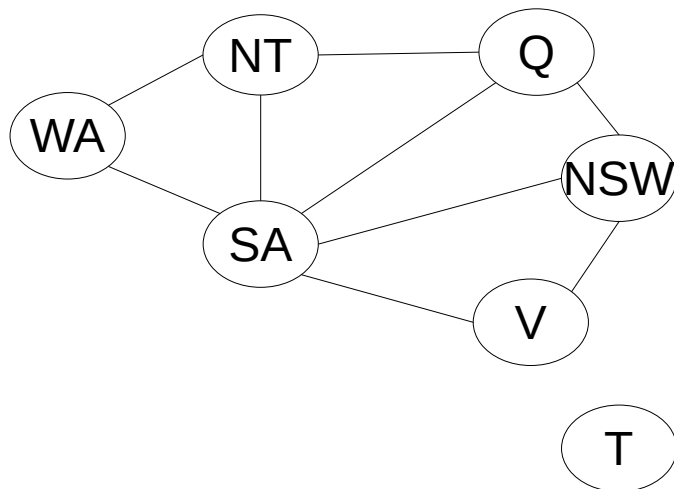
→ Try to leave the maximum flexibility for subsequent variable assignments.

Example. Map Coloring

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■ Heuristics

- **Most constrained variable**
 - ▶ Countries **NT** and **SA** are the most constrained one (cannot use Red).
- **Degree heuristic**
 - ▶ Country **SA** is the variable involved in the largest number of constraints.
- **Least constraining value**
 - ▶ **Red** is the least constraining valid color for Q.



- Constraint networks consist of variables associated with finite domains and constraints.
 - A partial assignment maps some variables to values, a total assignment does so for all variables.
 - A partial assignment is consistent if it complies with all constraints.
 - A consistent total assignment is a solution.
- The constraint satisfaction problem (CSP) consists in finding a solution for a constraint network.
- In practice
 - Experimental results have shown that in most cases a good constraint propagation algorithm (like Forward Checking), preceded by Arc Consistency Checking with a good set of heuristics (like Minimum Remaining Values or Least Constraint Value) can go a long way in solving difficult CSP problems.

- Backtracking
 - Sudoku1-1.sh on grid 0 (explored states : **27**)
 - On other grids, no solution in reasonable time
- Forward Checking
 - Sudoku2-1.sh sur la grille 0 (time: 0s, explored states: **18**)
 - Sudoku2-2.sh sur la grille 1 (1s, **5942**)
- AC3 et MAC
 - Sudoku3-1.sh AC3 on grid 1 (0s, **81**)
 - Sudoku3-2.sh MAC on grid 1 (0.1s, **82**)
 - Sudoku3-3.sh AC3 on grid 5 (0.6s, **5343**)
 - Sudoku3-4.sh MAC on grid 5 : (0.6s, **460**)
- Heuristic
 - Sudoku4-1.sh FC without heuristic on grid 5 (1.8s, **10,074**)
 - Sudoku4-2.sh FC with heuristic on grid 5 (0s, **232**)
 - Sudoku4-3.sh AC3 with heuristic on grid 5 (0s, **232**)
 - Sudoku4-4.sh MAC with heuristic on grid 5 (longer: 0.1s, **96**)